

Report #1

1. In Figure 4(a), please add the variation of NO<sup>+</sup>/NO<sub>2</sub><sup>+</sup> ratio as a function of diameter.

**REPLY:**

We have added it in Figure 4(a).

2. In Eqn. (9), the organic nitrate yield should be included to calculate “production potential of organic nitrates”.  $K_i \cdot [VOC_i] \cdot [NO_3]$  only represents the loss rate of NO<sub>3</sub> radical.

**REPLY:**

Yes, the organic nitrate yield should be included to calculate “production potential of organic nitrates” in Eqn. (9). However, we didn’t multiply the organic nitrates yield parameters in calculative process, because only a few organic nitrate yields for BVOCs were available in the literature (Fry et al., 2014; Ng et al., 2017). However, given the fact that the values of  $K_i \cdot [VOC_i] \cdot [NO_3]$  for different VOC species can differ by orders of magnitude, not multiplying the organic nitrate yields (ranging from 0 to 1) would not significantly affect the PP ranking of VOCs. We have added the detailed explanation in section 3.4.

3. When using Eqn. (11), I suggest to use “potential SOA formation” in the discussion.

**REPLY:**

We have amended it in related discussion.

**References**

- Fry, J.L., Draper, D.C., Barsanti, K.C., Smith, J.N., Ortega, J., Winkler, P.M., Lawler, M.J., Brown, S.S., Edwards, P.M., Cohen, R.C.: Secondary Organic Aerosol Formation and Organic Nitrate Yield from NO<sub>3</sub> Oxidation of Biogenic Hydrocarbons. *Environ. Sci. Technol.* 48, 11944–11953. <https://doi.org/10.1021/es502204x>, 2014.
- Ng, N. L., Brown, S. S., Archibald, A. T., Atlas, E., Cohen, R. C., Crowley, J. N., Day, D. A., Donahue, N. M., Fry, J. L., Fuchs, H., Griffin, R. J., Guzman, M. I., Herrmann, H., Hodzic, A., Iinuma, Y., Jimenez, J. L., Kiendler-Scharr, A., Lee, B. H., Luecken, D. J., Mao, J., McLaren, R., Mutzel, A., Osthoff, H. D., Ouyang, B., Picquet-Varrault, B., Platt, U., Pye, H. O. T., Rudich, Y., Schwantes, R. H., Shiraiwa, M., Stutz, J., Thornton, J. A., Tilgner, A., Williams, B. J., and Zaveri, R. A.: Nitrate radicals and biogenic volatile organic compounds: oxidation, mechanisms, and organic aerosol, *Atmos. Chem. Phys.*, 17, 2103-2162, <https://doi.org/10.5194/acp-17-2103-2017>, 2017.

## Report #2

The authors have made substantial improvements addressing the referees' concerns, by presenting additional supporting plots and explanations, putting the measurements more in context of other studies, and reframing the implications and conclusions to better reflect the evidence presented. However, there still appear to be some explanations and conclusions drawn that are not well supported – and therefore should not be published in current form. Details are below along with a few other suggestions/questions.

### 1. Section 3.4 and Fig. 6 on model/measurement comparisons:

It is stated “The concentrations of BC and LO-OOA generally decreased slowly after sunset till sunshine due to the combined effect of both the planetary boundary layer variation and traffic emissions while particulate organic nitrates showed a different trend with two clear growth processes (19:00-22:00 and 3:00-6:00) at night, suggesting their unique sources. In contrast, the production potentials of the six notable VOCs with NO<sub>3</sub> had two roughly similar increases at the same periods as those of particulate organic nitrates, which supported the key role of NO<sub>3</sub>+VOCs reactions for nighttime organic nitrate formation.”

How do PBL and traffic emissions explain the steady decline of BC and LO-OOA? More clarification is needed on why this would be the case and how it is known. Generally, statements like this seem to be made a bit haphazardly throughout the manuscript – i.e. strongly stating an explanation for something that is likely a complex process, while providing little explanation/evidence. Loose language/science like this is detrimental to the scientific literature.

More importantly, the statement that the nocturnal cycles of the PP and organic nitrate match is simply not supported by the data! It seems to rather describe what the authors hoped to see in the data based on the modeling. The PP for the dominant SOA-forming compounds shows a 2-4 fold increase at 21-1 hrs while the organic nitrates show subtle decreases. Thus, the observed aerosol data does not seem to support any connection between modeled sources and measurements based on what is shown. The authors should revise the discussion accordingly to accurately reflect what can be supported with the data. As is, it seems to suggest that either the modeling framework does not represent the dominant chemistry producing organic nitrates and SOA or there are other factors at play that make the effects difficult to observe. Perhaps there are other more important anthropogenic precursors, that were not measured, that build up during the nighttime in the lower nocturnal BL and react with NO<sub>3</sub> or O<sub>3</sub>? That would be consistent with the stronger correlations with NO<sub>2</sub> than with the VOCs show in Fig. S11.

As I suggested in my initial review, the subtle features in the organic nitrates may be just driven by averaging artifacts and further investigation of time series or correlations may shed some light on the connections (or lack thereof). For example, during nights when the PP is particularly high, are the organic nitrates and LO-OOA correspondingly elevated? How do the correlations of LO-OOA and organic nitrates vs calculated PP look? Or better yet, versus computed particle organic nitrate or SOA production. Without further compelling observational evidence, any conclusions about the importance of particular VOCs+NO<sub>3</sub> sources should be strictly framed as theoretical, based on the modeling of potential sources throughout the paper. In fact, in that case, the possibility that other anthropogenic VOC may be driving the nighttime organic nitrate production should be considered and discussed.

Also, if the authors choose to keep Fig. 6, it would be very useful to include the nocturnal cycle of the calculated SOA and organic nitrate formation rate since that is more directly related to the particle-phase measurements being discussed.

**REPLY:**

We thank the reviewer for the valuable advice. We have reorganized the statement for Figure 6 in section 3.4 and provided more evidence to support the correlation between NO<sub>3</sub>+VOCs reactions and the organic nitrate formation. Figure 6 also has been revised to diurnal cycle instead of nocturnal cycle to see clearly the variations between day and night. Unfortunately, we can't add the cycles of the calculate SOA and organic nitrates formation rate into Figure 6 because the related kinetic reaction parameters and other important factors (i.e., dynamics, dilution, mixing, etc.) are not available. However, we have done other important investigation as the reviewer suggested, including the correlations between PPs with organic nitrates and LO-OOA and addressing the possible contribution of other important anthropogenic VOC precursors that would be responsible for the formation of organic nitrates but has not yet been identified. The revised statement in section 3.4 is followed as:

“The estimation of potential SOA production above suggests significant contributions of  $\alpha$ -pinene, limonene, and styrene to SOA, and the significant contribution of camphene is also possible. Thus, we further explored the diurnal variations of the PPs of these four VOCs. Figure 6 shows the diurnal trends of BC, LO-OOA, NO<sub>3.org\_ratio\_1</sub>, NO<sub>3.org\_PMF</sub>, and the PPs of the four VOCs during the spring campaign. There were two apparent nighttime growth periods (i.e., I: 19:00–22:00 and II: 2:00–6:00) for both NO<sub>3.org\_ratio\_1</sub> and NO<sub>3.org\_PMF</sub>. During Period I, BC maintained a relatively higher level, suggesting stable anthropogenic emissions. In contrast, the increases of all the PPs during Period I indicated that these precursors contributed to the organic nitrate growth. After 22:00, while the PPs still showed a rapid growth, BC and organic nitrates began to decrease, implying possible existence of other important anthropogenic VOC precursors, which were not identified by the GC-FID/MS analysis but would dominate the formation of organic nitrates at this stage. During Period II, the anthropogenic emissions remained at a stable lower level, as indicated by BC, while all the PPs increased with organic nitrates again, indicating that these four precursors also contributed to, or could dominate, this organic nitrate growth. As shown in Figure S13, organic nitrates correlated better with the PPs ( $R=0.63-0.74$ ) than with LO-OOA ( $R=0.19-0.31$ ) or BC ( $R=0.02-0.05$ ) during Period II at the spring campaign, suggesting the significant contributions of the NO<sub>3</sub> reactions with these precursors.”

**2. Sect. 3.3 on chemically-resolved size distributions:**

This an interesting addition since the original manuscript. To my knowledge high-resolution nitrate and/or NO<sup>+</sup> and NO<sub>2</sub><sup>+</sup> has not been reported before in the published literature. The authors should confirm that the NO<sup>+</sup>/NO<sub>2</sub><sup>+</sup> ratio was computed using high-resolution PToF analysis (since UMR  $m/z$  30/46 would be much less meaningful due to CH<sub>2</sub>O<sub>x</sub><sup>+</sup> interferences). Therefore, can the authors please show size distributions of the NO<sup>+</sup> and NO<sub>2</sub><sup>+</sup> signals for the different seasons (in SI)? This would help to understand how well the HR PToF worked in separating those two ions and provide a helpful example for future analyses using AMS HR-PToF data.

Additionally, if this is indeed HR-PToF, I would strongly encourage that the authors compute the size distributions of organic and inorganic nitrate separately (using the NO<sub>x</sub><sup>+</sup> ratio equations and the total nitrate, NO<sup>+</sup> and NO<sub>2</sub><sup>+</sup> size distributions) and show them in Figure 4. That would allow more direct and intuitive inspection of where the different modes reside (particularly for the non-AMS aficionado). And relatedly, showing that organic nitrates size distributions are indeed significantly different from the OA distributions is critical to support the interpretation in this section that the size-dependence of the NO<sub>x</sub> ratio indicates fresher SOA sources.

**REPLY:**

Due to the lack of HR-PTof data, our analyses used the UMR-PTof data ( $m/z$  30 and 46), which may bring in the interferences of  $\text{CH}_2\text{O}_x^+$ . However, for all four seasons, the contributions of  $\text{CH}_2\text{O}_x^+$  in  $m/z$  30 and 46 in the HR data of  $\text{PM}_{10}$  were less than 10% (Figure S10), which indicates that the interferences were negligible in this study. The size distributions of the  $\text{NO}^+$  and  $\text{NO}_2^+$  signals for the different seasons have been shown in Figure S11.

### 3. Section 3.5 on comparison to other studies:

It is stated “Note that, particulate organic nitrates constituted the major part (86-100%) of total nitrates in the atmosphere scarce of  $\text{NO}_x$  (in Centreville and Woodland Park), suggesting that  $\text{NO}_x$  was very quickly consumed to form particulate organic nitrates and thus the formation of particulate organic nitrates should be  $\text{NO}_x$ -limited.”

This is wild speculation and almost certainly false. The organic nitrate concentrations at those sites were much lower than  $\text{NO}_x$  and simply the fact that organic nitrate  $\gg$  inorganic nitrate does not provide a direct line of reasoning to what the major losses of  $\text{NO}_x$  are. Lower  $\text{NO}_x$  at those sites was likely due to the fact they were removed from strong urban emissions. Moreover, the use of “ $\text{NO}_x$ -limited” here and throughout the paper is loosely and ambiguously invoked. With lower  $\text{NO}_x$  concentrations,  $\text{NO}_3$  radical production may decrease, however the BVOC will still most certainly instead react with  $\text{O}_3$  or  $\text{OH}$  and form organic nitrates and SOA. In this section and throughout the authors need to clarify what is meant by  $\text{NO}_x$ -limited – including discussion of other literature for context. As is, all discussions of “ $\text{NO}_x$ -limited” is ambiguous and underdeveloped. I think for the analysis of this particular study being analyzed, the authors mean that it is driven by  $\text{NO}_3$  chemistry. But that is not the same as “ $\text{NO}_x$ -limited”.

#### **REPLY:**

We have amended the ambiguous and undeveloped statement in section 3.5. The relevant changes are as follow:

“Higher concentrations of particulate organic nitrates generally is associate with higher  $\text{NO}_x$  concentrations rather than BVOC concentrations. On the other hand, although the BVOC concentrations in Bakersfield were far less than that in other campaigns, the concentration of particulate organic nitrates there showed an intermediate level among all the campaigns. Therefore, it is suggested that the formation of particulate organic nitrates may be more relevant with  $\text{NO}_x$  than BVOCs, which is consistent with the finding that the organic nitrate production was dominated by  $\text{NO}_x$  in the southeastern US (Edwards et al., 2017). In the spring campaign of this study, we examined the correlation between organic nitrates and  $\text{NO}_2$  or VOCs (by the sum of  $\alpha$ -pinene, limonene and styrene) at night (Figure S14) and found a significant correlation of organic nitrates with  $\text{NO}_2$  ( $R=0.40-0.47$ ) rather than with VOCs ( $R=0.22-0.23$ ), which further suggests that the organic nitrates formation was driven by the  $\text{NO}_x$ -involved  $\text{NO}_3$  chemistry.”

4. Table 2 and caption and manuscript text: variability with FPEAK is not a metric of “uncertainty”. Bootstrapping is typically used as an estimate of uncertainties. “Uncertainties” in this context should be replaced with something like “variability”. Also, it is not clear what the number in the table reported is. Is that the stand deviation of all values with different FPEAK, or the max difference from the FPEAK=0 solution? Also it should be written as FPEAK, not fpeak.

#### **REPLY:**

We have changed “Uncertainties” to “standard deviations” and “fpeak” to “FPEAK” in the context.

5. Figure 6: “alpha” is misspelled.

**REPLY:**

We have corrected it.

6. Table S3. Alpha and beta Pinene are misspelled.

**REPLY:**

We have corrected it.

7. General: There are a quite a few grammatical mistakes, particularly in the new text that was added.

**REPLY:**

We have amended them.

**References**

Edwards, P. M.; Aikin, K. C.; Dube, W. P.; Fry, J. L.; Gilman, J. B.; de Gouw, J. A.; Graus, M. G.; Hanisco, T. F.; Holloway, J.; Hübler, G.; Kaiser, J.; Keutsch, F. N.; Lerner, B. M.; Neuman, J. A.; Parrish, D. D.; Peischl, J.; Pollack, I. B.; Ravishankara, A. R.; Roberts, J. M.; Ryerson, T. B.; Trainer, M.; Veres, P. R.; Wolfe, G. M.; Warneke, C.; Brown, S. S.: Transition from high- to low-NO<sub>x</sub> control of night-time oxidation in the southeastern US. *Nature Geoscienc*, 10, (7), 490-495. <https://doi.org/10.1038/ngeo2976>, 2017.

## A list of all relevant changes

1. Line 199-203: Added “The size distributions of the  $\text{NO}^+$  and  $\text{NO}_2^+$  signals for the different seasons have been shown in Figure S11. Due to the lack of HR-PTof data, our analyses used the UMR-PTof data ( $m/z$  30 and 46), which may bring in the interferences of  $\text{CH}_2\text{O}_x^+$ . However, for all four seasons, the contributions of  $\text{CH}_2\text{O}_x^+$  in  $m/z$  30 and 46 in the HR data of  $\text{PM}_1$  were less than 10% (Figure S10), which indicates that the interferences were negligible in this study. The average size distributions of different aerosol species and  $\text{NO}^+/\text{NO}_2^+$  ratio in four seasons are shown in Figure 4a”.
2. Line 226-229: Added “It should be noted that no organic nitrates yield parameter was introduced in Eq. (9), because only a few organic nitrate yields for BVOCs were available in the literature (Fry et al., 2014; Ng et al., 2017). However, given the fact that the values of  $K_i \cdot [\text{VOC}_i] \cdot [\text{NO}_3]$  for different VOC species can differ by orders of magnitude, not multiplying the organic nitrate yields (ranging from 0 to 1) would not significantly affect the PP ranking of VOCs.
3. Line 248: Changed to “potential SOA production”.
4. Line 253: Changed to “estimated potential SOA production”.
5. Line 272-284: Added “The estimation of potential SOA production above suggests significant contributions of  $\alpha$ -pinene, limonene, and styrene to SOA, and the significant contribution of camphene is also possible. Thus, we further explored the diurnal variations of the PPs of these four VOCs. Figure 6 shows the diurnal trends of BC, LO-OOA,  $\text{NO}_{3,\text{org\_ratio\_1}}$ ,  $\text{NO}_{3,\text{org\_PMF}}$ , and the PPs of the four VOCs during the spring campaign. There were two apparent nighttime growth periods (i.e., I: 19:00–22:00 and II: 2:00–6:00) for both  $\text{NO}_{3,\text{org\_ratio\_1}}$  and  $\text{NO}_{3,\text{org\_PMF}}$ . During Period I, BC maintained a relatively higher level, suggesting stable anthropogenic emissions. In contrast, the increases of all the PPs during Period I indicated that these precursors contributed to the organic nitrate growth. After 22:00, while the PPs still showed a rapid growth, BC and organic nitrates began to decrease, implying possible existence of other important anthropogenic VOC precursors, which were not identified by the GC-FID/MS analysis but would dominate the formation of organic nitrates at this stage. During Period II, the anthropogenic emissions remained at a stable lower level, as indicated by BC, while all the PPs increased with organic nitrates again, indicating that these four precursors also contributed to, or could dominate, this organic nitrate growth. As shown in Figure S13, organic nitrates correlated better with the PPs ( $R=0.63\text{--}0.74$ )

than with LO-OOA ( $R=0.19-0.31$ ) or BC ( $R=0.02-0.05$ ) during Period II at the spring campaign, suggesting the significant contributions of the  $\text{NO}_3$  reactions with these precursors”.

6. Figure 6: Changed to “Diurnal trends of BC, LO-OOA,  $\text{NO}_{3,\text{org\_ratio}_1}$ ,  $\text{NO}_{3,\text{org\_PMF}}$  and production potential (PP) of  $\alpha$ -pinene, limonene, styrene, and camphene during the spring campaign”.

7. Line 290-292: Added “In this study, however, we found that anthropogenic VOCs could also play significant roles in particulate organic nitrate formation at night. Besides styrene, one of major aromatics (Cabrera-Perez et al., 2016), there were also other important anthropogenic VOC precursors that we did not identify in the spring campaign”.

8. Line 304-311: Changed to “On the other hand, although the BVOC concentrations in Bakersfield were far less than that in other campaigns, the concentration of particulate organic nitrates there showed an intermediate level among all the campaigns. Therefore, it is suggested that the formation of particulate organic nitrates may be more relevant with  $\text{NO}_x$  than BVOCs, which is consistent with the finding that the organic nitrate production was dominated by  $\text{NO}_x$  in the southeastern US (Edwards et al., 2017). In the spring campaign of this study, we examined the correlation between organic nitrates and  $\text{NO}_2$  or VOCs (by the sum of  $\alpha$ -pinene, limonene, styrene and camphene) at night (Figure S14) and found a significant correlation of organic nitrates with  $\text{NO}_2$  ( $R=0.40-0.47$ ) rather than with VOCs ( $R=0.22-0.23$ ), which further suggests that the organic nitrates formation was driven by the  $\text{NO}_x$ -involved  $\text{NO}_3$  chemistry”.

10. Line 373-377: Added “Edwards, P. M.; Aikin, K. C.; Dube, W. P.; Fry, J. L.; Gilman, J. B.; de Gouw, J. A.; Graus, M. G.; Hanisco, T. F.; Holloway, J.; Hübler, G.; Kaiser, J.; Keutsch, F. N.; Lerner, B. M.; Neuman, J. A.; Parrish, D. D.; Peischl, J.; Pollack, I. B.; Ravishankara, A. R.; Roberts, J. M.; Ryerson, T. B.; Trainer, M.; Veres, P. R.; Wolfe, G. M.; Warneke, C.; Brown, S. S.: Transition from high- to low- $\text{NO}_x$  control of night-time oxidation in the southeastern US. *Nature Geoscienc*, 10, (7), 490-495. <https://doi.org/10.1038/ngeo2976>, 2017”.

# Characterization of nighttime formation of particulate organic nitrates based on high-resolution aerosol mass spectrometry in an urban atmosphere in China

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**Abstract.** Organic nitrates are important atmospheric species that significantly affect the cycling of NO<sub>x</sub> and ozone production. However, characterization of particulate organic nitrates and their sources in polluted atmosphere is a big challenge and has not been comprehensively studied in Asia. In this study, an Aerodyne high-resolution time-of-flight aerosol mass spectrometer (HR-ToF-AMS) was deployed at an urban site in China from 2015 to 2016 to characterize particulate organic nitrates in total nitrates with high time resolution. Based on the cross validation of two different data processing methods, organic nitrates were effectively quantified to contribute a notable fraction of organic aerosol (OA): 9-21% in spring, 11-25% in summer, 9-20% in autumn, while very small fraction in winter. The good correlation between organic nitrates and fresh secondary organic aerosol (SOA) at night as well as the diurnal trend of size distribution of organic nitrates indicated a key role of nighttime secondary formation in Shenzhen, which is consistent with that reported in the US and Europe. The size distribution of organic nitrates also implied that organic nitrates were mainly a local product and could experience substantial loss during air mass transport. Furthermore, theoretical calculations of nighttime SOA production of NO<sub>3</sub> reactions with volatile organic compounds (VOCs) measured during the spring campaign were performed, resulting in three biogenic VOCs ( $\alpha$ -pinene, limonene, and camphene) and one anthropogenic VOC (styrene) identified as the possible key VOC precursors for particulate organic nitrates. The comparison with similar studies in the literature implied that nighttime particulate organic nitrates formation is highly relevant with NO<sub>x</sub> levels. This study proposes that unlike the documented cases in the United States and Europe, modeling nighttime particulate organic nitrate formation in China should incorporate not only biogenic VOCs but also anthropogenic VOCs with high SOA yield for urban air pollution, which needs the support of relevant smog chamber studies in the future.

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## 31 1. Introduction

32 Organic nitrates (ON) in aerosols have an important impact on the fate of NO<sub>x</sub> and ozone production (Lelieveld et al., 2016),  
33 which can be formed in a minor channel of the reaction between peroxy radicals and NO (R1 and R2) (usually, an increased  
34 fraction of this reaction leads to the formation of alkoxy radicals and NO<sub>2</sub> (R3)) or via the NO<sub>3</sub>-induced oxidation of unsaturated  
35 hydrocarbons (R4). Even though some recent studies have suggested that the formation of organic nitrates from peroxy radicals  
36 and NO may play a larger role than previously recognized (Teng et al., 2015, 2017), yields of organic nitrates via NO<sub>3</sub> reacting  
37 with alkenes are generally much higher (Fry et al., 2009; Ayres et al., 2015; Boyd et al., 2015, 2017).



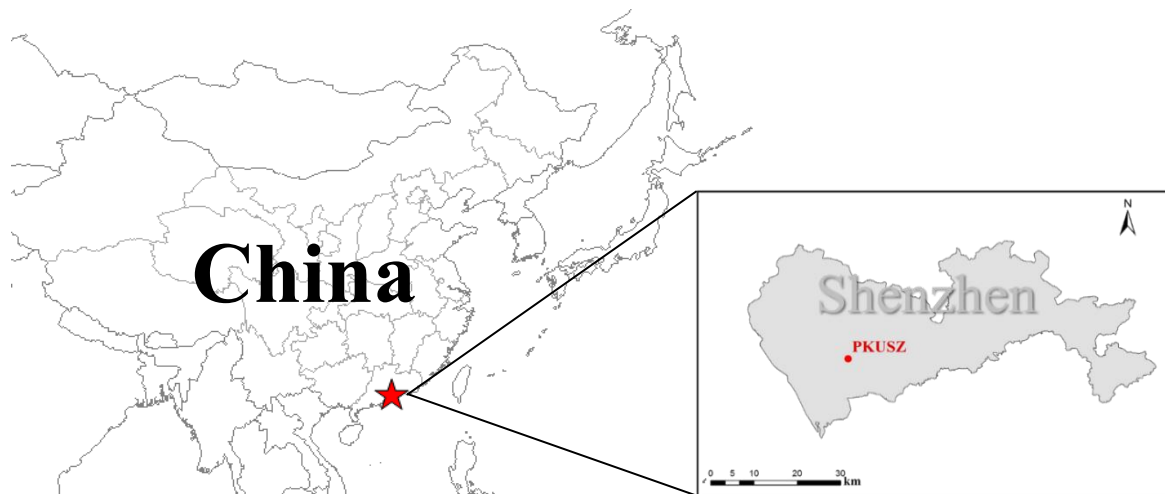
42 Several methods have been developed to directly measure total organic nitrates (gas + particle) in the real atmosphere. For  
43 example, Rollins et al. (2012) used a thermal-dissociation laser-induced fluorescence technique (TD-LIF) to observe organic  
44 nitrates in the United States; Sobanski et al. (2017) measured organic nitrates in Germany using the thermal dissociation cavity  
45 ring-down spectroscopy (TD-CRDS). Field and laboratory studies around the world indicated that particulate organic nitrates  
46 could contribute a large portion of secondary organic aerosol (SOA) (Rollins et al., 2012; Xu et al., 2015a; Fry et al., 2013;  
47 Ayres et al., 2015; Boyd et al., 2015; Lee et al., 2016). Recently, researchers have proposed some estimation methods for  
48 particle-phase organic nitrates based on aerosol mass spectrometry (AMS) with high time resolution (Farmer et al., 2010; Hao  
49 et al., 2014; Xu et al., 2015a, 2015b). Ng et al. (2017) reviewed the nitrate radical chemistry and the abundance of particulate  
50 organic nitrates in the United States and Europe, and further concluded that particulate organic nitrates are formed substantially  
51 via NO<sub>3</sub>+BVOC chemistry, which plays an important role in SOA formation. Unfortunately, relevant Chinese datasets are  
52 scarce yet and not included in this review. This was because (1) the contributions of organic nitrates in SOA and total nitrates  
53 in Chinese atmosphere remain poorly understood; (2) the anthropogenic and biogenic precursor emissions in China are  
54 significantly different from those in the United States and Europe, and thus cannot be easily estimated. To our best knowledge,  
55 few studies have investigated the concentrations and formation pathways of particulate organic nitrates in China. Xu et al.  
56 (2017) estimated the mass concentration of organic nitrogen in Beijing using AMS, but in this study they ignored the  
57 contribution of NO<sub>x</sub><sup>+</sup> family, which are the major fragments of organic nitrates.

58 Shenzhen is a megacity of China in a subtropical region, where NO<sub>x</sub> involved photochemical reactions are very active, given  
59 considerable biogenic and anthropogenic VOC emissions (Zhang et al., 2008). To assess the evolution of particulate organic  
60 nitrates in a polluted urban atmosphere, we deployed an Aerodyne high-resolution time-of-flight aerosol mass spectrometer  
61 (HR-ToF-AMS) and other instruments in Shenzhen from 2015 to 2016 in this study. Organic nitrates and their contributions  
62 to OA in different seasons were estimated by different methods using the HR-ToF-AMS datasets, based on which, the  
63 secondary formation pathway of particulate organic nitrates in Shenzhen was further explored.

## 64 2. Experiment methods

### 65 2.1 Sampling site and period

66 The sampling site (22.6°N, 113.9°E) was on the roof (20 m above ground) of an academic building on the campus of Peking  
67 University Shenzhen Graduate School (PKUSZ), which is located in the western urban area in Shenzhen (Figure 1). This site  
68 is mostly surrounded by subtropical plants without significant anthropogenic emission sources nearby, except a local road that  
69 is ~100 m from the site. In this study, we used the statistical data from the Meteorological Bureau of Shenzhen Municipality  
70 (<http://www.szmb.gov.cn/site/szmb/Esztq/index.html>) as the reference data to determine the sampling periods for four  
71 different seasons during 2015-2016, as shown in Table 1.



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73

**Figure 1.** The location of the sampling site.

74 **Table 1.** Meteorological conditions, PM<sub>1</sub> species concentrations and relevant parameters for different sampling periods in  
75 Shenzhen.

	Sampling period	4.1-4.30, 2016	8.1-8.31, 2015	11.4-11.30, 2015	1.21-2.3, 2016
		Spring	Summer	Autumn	Winter
<b>Meteorology</b>	T (°C)	24.5±2.5	29.0±3.0	23.6±3.7	10.7±4.7
	RH (%)	78.0±12.7	71.2±17.5	68.2±15.8	75.4±18.7
	WS (m s <sup>-1</sup> )	1.4±0.8	1.0±0.7	1.2±0.7	1.5±0.8
<b>Species</b>	Org	4.3±3.2	10.0±6.9	7.8±5.9	5.1±3.5
	SO <sub>4</sub> <sup>2-</sup>	3.2±1.8	5.8±3.3	2.3±1.5	1.9±1.2
	Total NO <sub>3</sub> <sup>-</sup>	0.96±1.4	0.91±0.90	1.3±1.4	1.6±1.0

$(\mu\text{g m}^{-3})$	$\text{NH}_4^+$	1.4±0.8	2.0±1.1	1.1±0.8	1.2±0.6
	$\text{Cl}^-$	0.14±0.19	0.03±0.05	0.22±0.36	0.64±0.85
	BC	1.9±2.1	2.4±1.6	3.5±2.6	2.4±1.5
	Total	12.0±8.9	15.1±13.8	11.8±9.5	12.2±7.2
<b>ON relevant</b>	$R_{\text{NH}_4\text{NO}_3}$	2.80	3.20	3.32	3.48
	$R_{\text{obs}}$	3.74	6.14	4.30	3.55
<b>parameters</b>	Fraction of positive numbers of $R_{\text{obs}} - R_{\text{NH}_4\text{NO}_3}$	99%	99%	84%	47%

## 76 2.2 Instrumentation

### 77 2.2.1 High Resolution Time-of-Flight Aerosol Mass Spectrometer

78 During the sampling periods, chemical composition of non-refractory  $\text{PM}_{10}$  was measured by an Aerodyne HR-ToF-AMS, and  
79 detailed descriptions of this instrument are given in the literature (DeCarlo et al., 2006; Canagaratna et al., 2007). The setup  
80 and operation of the HR-ToF-AMS can be found in our previous publications (Huang et al., 2010, 2012; Zhu et al., 2016). To  
81 remove coarse particles, a  $\text{PM}_{2.5}$  cyclone inlet was installed before the sampling copper tube with a flow rate of  $10 \text{ l min}^{-1}$ .  
82 Before entering the AMS, the sampled air was dried by a nafion dryer (MD-070-12S-4, Perma Pure Inc.) to eliminate the  
83 potential influence of relative humidity on particle collection (Matthew et al., 2008). The ionization efficiency (IE) calibrations  
84 were performed using pure ammonium nitrate every two weeks. The relative ionization efficiencies (RIEs) used in this study  
85 were 1.2 for sulfate, 1.1 for nitrate, 1.3 for chloride, 1.4 for organics and 4.0 for ammonium, respectively (Jimenez et al., 2003).  
86 Composition-dependent collection efficiencies (CEs) were applied to the data according to the method in Middlebrook et al.  
87 (2012). The instrument was operated at two ion optical modes with a cycle of 4 min, including 2 min for the mass-sensitive  
88 V-mode and 2 min for the high mass resolution W-mode. The HR-ToF-AMS data analysis was performed using the software  
89 SQUIRREL (version 1.57) and PIKA (version 1.16) written in Igor Pro 6.37 (Wave Metrics Inc.)  
90 (<http://cires1.colorado.edu/jimenezgroup/ToFAMSResources/ToFSoftware/index.html>).

### 91 2.2.2 Other co-located instruments

92 In addition to the HR-ToF-AMS, other relevant instruments were deployed at the same sampling site. An aethalometer (AE-  
93 31, Magee) was used for measurement of refractory black carbon (BC) with a resolution of 5 min. An SMPS system (3775  
94 CPC and 3080 DMA, TSI Inc.) was used to obtain the particle number size distribution in 15–615 nm (mobility diameter) with  
95 a time resolution of 5 min. Ozone and  $\text{NO}_x$  were measured by a 49i ozone analyzer and a 42i nitrogen oxide analyzer (Thermo  
96 Scientific), respectively. In the spring campaign, ambient VOC concentrations were also measured using an on-line VOC  
97 monitoring system (TH-300B, Tianhong Corp.), including an ultralow-temperature preconcentration cold trap and an

98 automated in-situ gas chromatograph (Agilent 7820A) equipped with a mass spectrometer (Agilent 5977E). The system had  
 99 both a flame ionization detector (FID) gas channel for C2–C5 hydrocarbons and a mass spectrometer (MS) gas channel for  
 100 C5–C12 hydrocarbons, halohydrocarbons and oxygenated VOCs. A complete working cycle of the system was one hour and  
 101 included five steps: sample collection, freeze-trapping, thermal desorption, GC-FID/MS analysis, heating, and anti-blowing  
 102 purification. The sample collection time was 5 min. The sampling flow speed was 60 ml min<sup>-1</sup>. The anti-blowing flow speed  
 103 was 200 ml min<sup>-1</sup>. The calibration of over 100 VOCs was performed using mixed standard gas before and after the campaign.  
 104 Detection limits for most compounds were near 5 pptv. More description of this instrument can be found in Wang et al. (2014).

### 105 **2.3 Organic nitrate estimation methods**

106 In this study, we used two independent methods to estimate particulate organic nitrates based on the AMS data, following the  
 107 approaches in Xu et al. (2015b). The first method is based on the NO<sup>+</sup>/NO<sub>2</sub><sup>+</sup> ratio (NO<sub>X</sub><sup>+</sup> ratio) in the HR-AMS spectrum. Due  
 108 to the very different NO<sub>X</sub><sup>+</sup> ratios of organic nitrates and inorganic nitrate (i.e., R<sub>ON</sub> and R<sub>NH<sub>4</sub>NO<sub>3</sub></sub>, respectively) (Farmer et al.,  
 109 2010; Boyd et al., 2015; Fry et al., 2008; Bruns et al., 2010), the NO<sub>2</sub><sup>+</sup> and NO<sup>+</sup> concentrations of organic nitrates (NO<sub>2,ON</sub> and  
 110 NO<sub>ON</sub>) can be quantified with the HR-AMS data via Eqs. (1) and (2), respectively (Farmer et al., 2010):

$$111 \quad NO_{2,ON}^+ = \frac{NO_{2,obs}^+ \times (R_{obs} - R_{NH_4NO_3})}{R_{ON} - R_{NH_4NO_3}} \quad (1)$$

$$112 \quad NO_{ON}^+ = R_{ON} \times NO_{2,ON} \quad (2)$$

113 where R<sub>obs</sub> is the NO<sub>X</sub><sup>+</sup> ratio from the observation. The value of R<sub>ON</sub> is difficult to determine because it varies between  
 114 instruments and precursor VOCs. However, R<sub>NH<sub>4</sub>NO<sub>3</sub></sub> was determined by IE calibration using pure NH<sub>4</sub>NO<sub>3</sub> every two weeks  
 115 for each campaign and the results showed stable values: In spring, the average R<sub>NH<sub>4</sub>NO<sub>3</sub></sub> was 2.66 for the first IE calibration and  
 116 2.94 for the second one; in summer, the average R<sub>NH<sub>4</sub>NO<sub>3</sub></sub> was 3.05 and 3.34 for the first and second IE calibrations, respectively;  
 117 in autumn, the average R<sub>NH<sub>4</sub>NO<sub>3</sub></sub> was 3.33 and 3.31 for the first and second IE calibrations, respectively; in winter, the average  
 118 R<sub>NH<sub>4</sub>NO<sub>3</sub></sub> was 3.45 and 3.51 for the first and second IE calibrations, respectively. We adopted the R<sub>ON</sub>/R<sub>NH<sub>4</sub>NO<sub>3</sub></sub> estimation range  
 119 (from 2.08 to 3.99) for variation of precursor VOCs in the literature to determine R<sub>ON</sub> (Farmer et al., 2010; Boyd et al., 2015;  
 120 Bruns et al., 2010; Sato et al., 2010; Xu et al., 2015b), and thus two R<sub>ON</sub> values were calculated for each season to provide the  
 121 upper bound (NO<sub>3\_org\_ratio\_1</sub>) and lower bound (NO<sub>3\_org\_ratio\_2</sub>) of NO<sub>3,org</sub> mass concentration.

122 The second method is based on the traditional positive matrix factorization (PMF) analysis of HR organic mass spectra, which  
 123 resolves different organic factors (Zhang et al., 2011; Ng et al., 2010; Huang et al., 2013). Combined with NO<sup>+</sup> and NO<sub>2</sub><sup>+</sup> ions,  
 124 the same analysis of HR organic mass spectra was performed to separate NO<sup>+</sup> and NO<sub>2</sub><sup>+</sup> ions into different organic factors  
 125 and an inorganic nitrate factor (Hao et al., 2014; Xu et al., 2015b). The PMF analysis procedures in this study can be found in  
 126 our previous publications (Huang et al., 2010; Zhu et al., 2016; He et al., 2011), resulting in three organic factors and one  
 127 inorganic factor in spring, summer and autumn: a hydrocarbon-like OA (HOA) characterized by C<sub>n</sub>H<sub>2n+1</sub><sup>+</sup> and C<sub>n</sub>H<sub>2n-1</sub><sup>+</sup> and O/C  
 128 of 0.11 to 0.18, a less-oxidized oxygenated OA (LO-OOA) characterized by C<sub>x</sub>H<sub>y</sub>O<sub>z</sub><sup>+</sup> especially C<sub>2</sub>H<sub>3</sub>O<sup>+</sup> and O/C of 0.28 to  
 129 0.70, a more-oxidized oxygenated OA (MO-OOA) also characterized by C<sub>x</sub>H<sub>y</sub>O<sub>z</sub><sup>+</sup> especially CO<sub>2</sub><sup>+</sup> and O/C of 0.78 to 1.24,

130 and a nitrate inorganic aerosol (NIA) characterized by overwhelming  $\text{NO}^+$  and  $\text{NO}_2^+$ , as indicated in Fig S6. According to the  
131 diagnostic plots of the PMF analysis shown in Figure S2 to S4, the same organic factors as those in the traditional PMF analysis  
132 of only organic mass spectra were obtained. The  $\text{NO}^+$  and  $\text{NO}_2^+$  ions were distributed among different OA factors and the NIA  
133 factor; thus the concentrations of nitrate functionality ( $\text{NO}_{org}^+$  and  $\text{NO}_{2,org}^+$ ) in organic nitrates ( $\text{NO}_{3,org}$ ) are equal to the sum of  
134  $\text{NO}_2^+$  and  $\text{NO}^+$  via Eqs. (3) and (4), respectively (Xu et al., 2015b):

$$\text{NO}_{2,org}^+ = \sum([\text{OA factor}]_i \times f_{\text{NO}_2,i}) \quad (3)$$

$$\text{NO}_{org}^+ = \sum([\text{OA factor}]_i \times f_{\text{NO},i}) \quad (4)$$

137 where  $[\text{OA factor}]_i$  represents the mass concentration of OA factor  $i$ , and  $f_{\text{NO}_2,i}$  and  $f_{\text{NO},i}$  represent the mass fractions of  $\text{NO}_2^+$   
138 and  $\text{NO}^+$ , respectively.

139 It should be noted that the 4-factor solution seemed to have a “mixed factor” problem to some extent (Zhu et al., 2018). For  
140 example, HOA mixed with COA (clear  $\text{C}_3\text{H}_3\text{O}^+$  in  $m/z$  55 for spring, summer and autumn) (Mohr et al., 2012), and BBOA  
141 mixed with LO-OOA (clear  $m/z$  60 and 73 signals in LO-OOA in autumn) (Cubison et al., 2011). However, running PMF with  
142 more factors would produce unexplained factors but less influence on the apportionment of  $\text{NO}^+$  and  $\text{NO}_2^+$  ions between  
143 organic nitrates and inorganic nitrate (Table S1). In addition, the standard deviations of  $\text{NO}^+$  and  $\text{NO}_2^+$  ions in the OA factors  
144 across different FPEAK values (from  $-1.0$  to  $1.0$ ) were very small (Table S2). Therefore, the 4-factor solution was used for  
145 quantifying organic nitrates in spring, summer and autumn.

### 146 3. Results and discussion

#### 147 3.1 Organic nitrates estimation

148 Table 2 shows the concentrations of nitrate functionality in organic nitrates (i.e.,  $\text{NO}_{3,org}$ ), estimated by both the  $\text{NO}^+/\text{NO}_2^+$   
149 ratio method and PMF method, as well as their contributions to the total measured nitrate. It should be noted that the small  
150 difference between the average  $R_{\text{obs}}$  and  $R_{\text{NH}_4\text{NO}_3}$  in winter leads to a large portion of negative data using the  $\text{NO}^+/\text{NO}_2^+$  ratio  
151 method (Table 1). The result from the PMF method shows that the contribution of organic nitrates in total nitrates is only 4.2%  
152 in winter (Figure S6), suggesting a negligible contribution of organic nitrates. Thus, we will only discuss organic nitrate  
153 estimation results in spring, summer and autumn. The analytical outcomes by the  $\text{NO}^+/\text{NO}_2^+$  ratio method and by the PMF  
154 method consistently suggest that organic nitrates had the highest ambient concentration ( $0.34\text{-}0.53 \mu\text{g m}^{-3}$ ) and proportion in  
155 total nitrates (41-64%) in summer among the different seasons. This finding agrees with the finding in (Ng et al., 2017) and it  
156 implies a seasonal trend in comparison with that of total nitrates in Table 1. Assuming the average molecular weight of organic  
157 nitrates of  $200$  to  $300 \text{ g mol}^{-1}$  (Rollins et al., 2012), we found that organic nitrates contributed 9-21% to OA in spring, 11-25%  
158 in summer, and 9-20% in autumn.

159 In the PMF method, the mass fractions of organic nitrates in HOA, LO-OOA and MO-OOA were 31%, 49% and 20%,  
160 respectively, in spring; 28%, 52% and 20%, respectively, in summer; 30%, 46% and 24%, respectively, in autumn. The major

161 fraction of organic nitrates occurring in LO-OOA for the three seasons implied that organic nitrates were mostly related to  
 162 fresher secondary OA formation. The NIA factors in all seasons were dominated by but are not limited to  $\text{NO}^+$  and  $\text{NO}_2^+$ .  
 163 Some organic fragments, such as  $\text{CO}_2^+$  and  $\text{C}_2\text{H}_3\text{O}^+$ , are also part of these factors, which agreed with the findings in the  
 164 literature (Hao et al., 2014; Xu et al., 2015b; Sun et al., 2012). This indicated the potential interference of organics in the NIA  
 165 factor. It is also worth to be noted that the  $\text{NO}^+/\text{NO}_2^+$  ratios in NIA (2.93 for spring, 3.53 for summer and 3.54 for autumn)  
 166 were higher than that for pure  $\text{NH}_4\text{NO}_3$  (Table 1), indicating an underestimation of  $\text{NO}_{3,\text{org}}$  concentration by the PMF method.  
 167 This finding may also explain the reason that the concentration of  $\text{NO}_{3,\text{org}}$  estimated using the PMF method was always close  
 168 to the lower estimation bound of  $\text{NO}_{3,\text{org}}$  concentration estimated using the  $\text{NO}^+/\text{NO}_2^+$  ratio method in each season (Table 2).

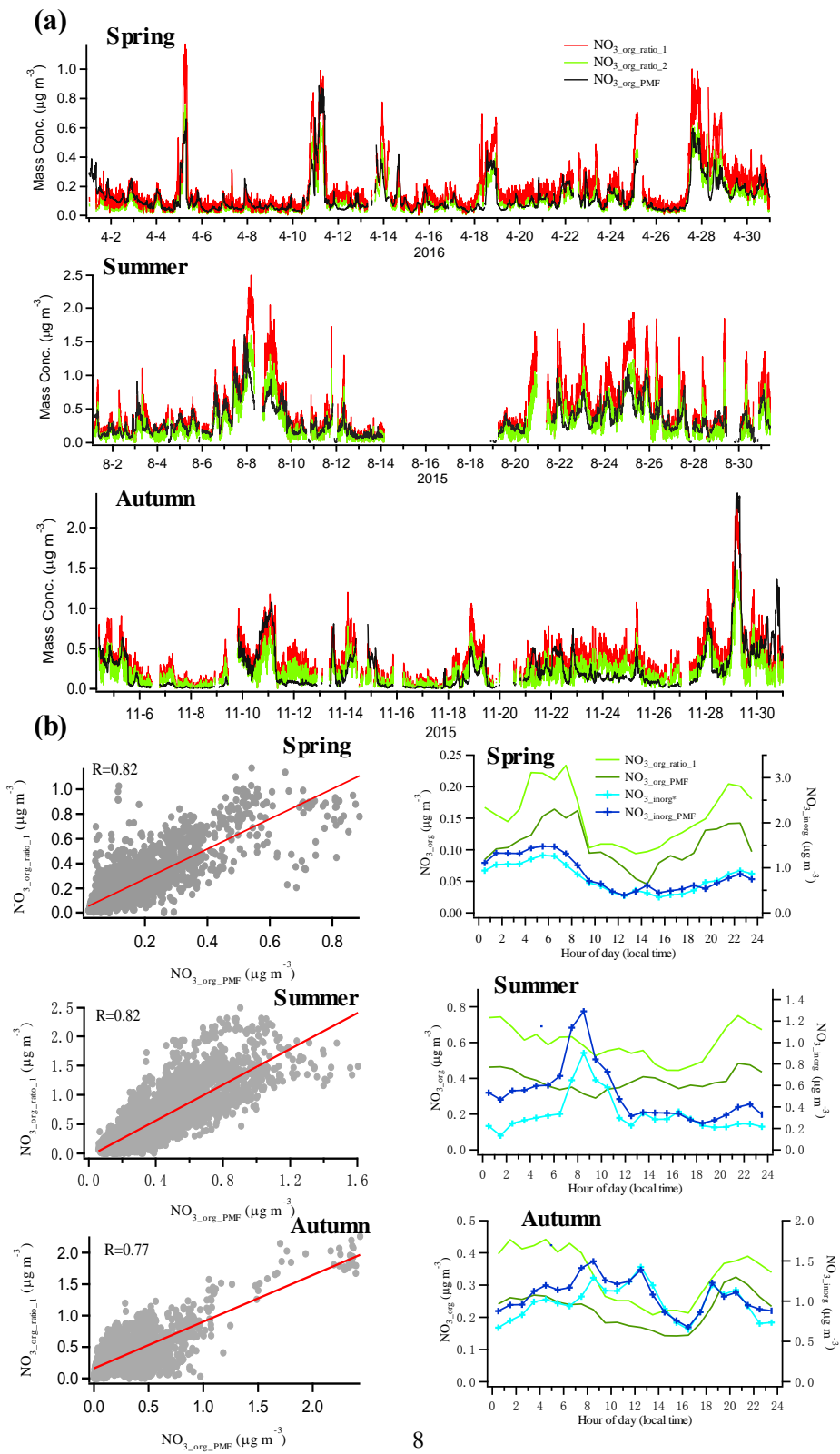
169 **Table 2.** Summary of organic nitrates estimations using the  $\text{NO}^+/\text{NO}_2^+$  ratio method and the PMF method

Sampling period	NO <sup>+</sup> /NO <sub>2</sub> <sup>+</sup> ratio method				PMF method	
	NO <sub>3,org</sub> (μg m <sup>-3</sup> ) <sup>a</sup>		NO <sub>3,org</sub> /NO <sub>3</sub>		NO <sub>3,org</sub> (μg m <sup>-3</sup> ) <sup>b</sup>	NO <sub>3,org</sub> /NO <sub>3</sub>
	lower	upper	lower	upper		
<b>Spring</b>	0.12	0.19	13%	21%	0.12	12%
<b>Summer</b>	0.34	0.53	41%	64%	0.39	43%
<b>Autumn</b>	0.21	0.33	16%	25%	0.21	16%
<b>Winter</b>	/	/	/	/	0.07	4.2%

170 <sup>a</sup> NO<sub>3,org</sub> for upper bound is denoted as NO<sub>3,org\_ratio\_1</sub>, and NO<sub>3,org</sub> for lower bound is denoted as NO<sub>3,org\_ratio\_2</sub>.

171 <sup>b</sup> NO<sub>3,org</sub> estimated using the PMF method is denoted as NO<sub>3,org\_PMF</sub>.

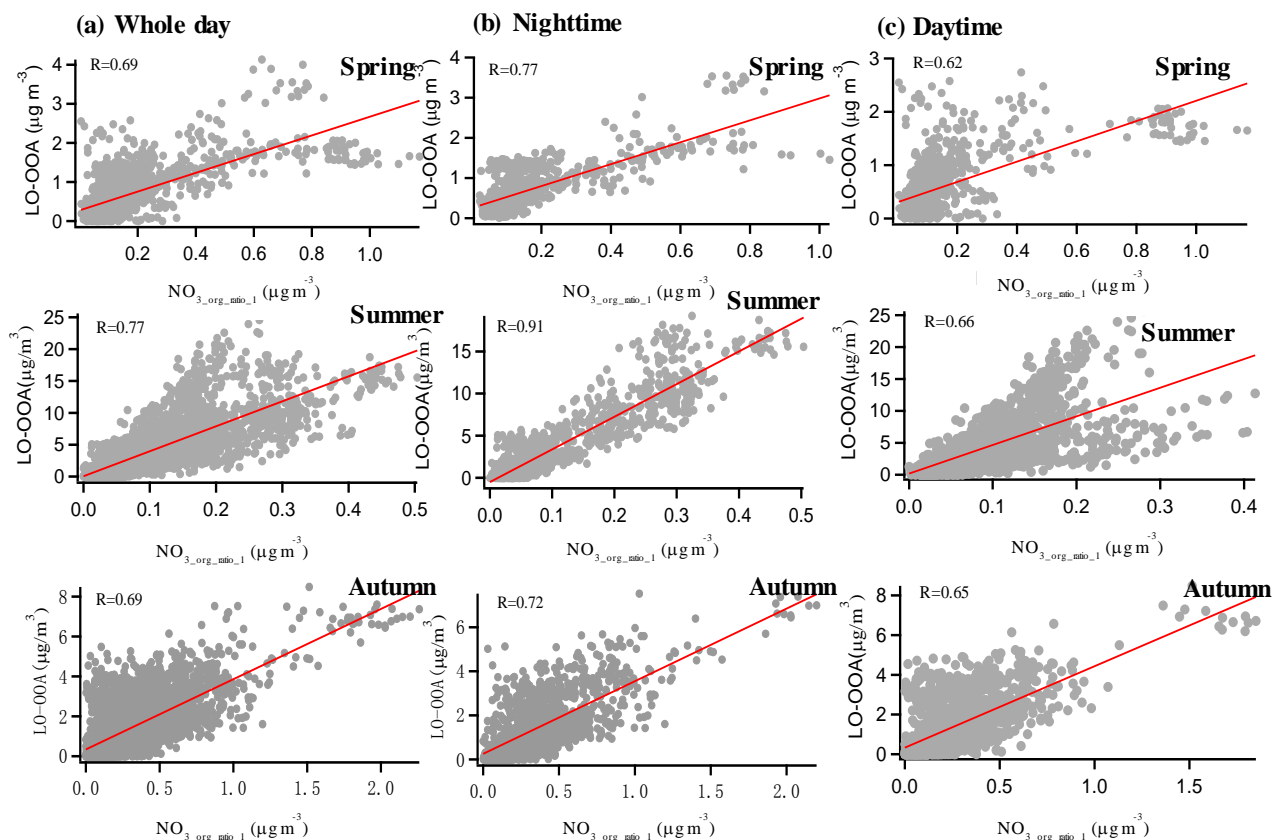
172 To further verify the reliability of the estimated results of organic nitrates, the NO<sub>3,org</sub> concentration time series calculated by  
 173 the two methods in each season are shown in Figure 2a. The computed correlation coefficients (R) are good (0.82 for spring,  
 174 0.82 for summer and 0.77 for autumn), indicating that similar results were achieved. The inorganic nitrate (NO<sub>3\_inorg</sub>\*) obtained  
 175 by subtracting NO<sub>3,org\_ratio\_1</sub> from total measured nitrates also correlated well with the inorganic nitrate estimated using the PMF  
 176 method (R=0.92 for spring, 0.87 for summer and 0.86 for autumn). While they were distinctive from those of inorganic  
 177 nitrate (Figure 2b), which indicates that organic nitrates had been well separated from inorganic nitrate in this study, the diurnal  
 178 trends of organic nitrates obtained by the two methods were similar in each season, with lower concentrations in the daytime  
 179 and higher concentrations at night.



181 **Figure 2.** (a) Time series of  $\text{NO}_{3,\text{org}}$  concentration estimated by the  $\text{NO}^+/\text{NO}_2^+$  ratio method and PMF method for each  
 182 season; (b) correlations between  $\text{NO}_{3,\text{org\_ratio\_1}}$  and  $\text{NO}_{3,\text{org\_PMF}}$  (left panel); diurnal trends of organic nitrates and  $\text{NO}_{3,\text{org}}$   
 183 estimated by the different methods (right panel).

### 184 3.2 Correlation between organic nitrates and OA factors

185 As indicated by the results in the PMF method, the majority of organic nitrates were associated with LO-OOA in spring,  
 186 summer and autumn in the urban atmosphere in Shenzhen, implying a dominant secondary origin of organic nitrates. To further  
 187 confirm this relationship, we made the correlation analysis between organic nitrates estimated by the  $\text{NO}^+/\text{NO}_2^+$  ratio method  
 188 and the three factors resolved by the PMF analysis with only organic mass spectra in the three seasons. Generally, organic  
 189 nitrates were found better-correlated with LO-OOA ( $R=0.69-0.77$  in Figure 3) than with HOA and MO-OOA ( $R=0.03-0.69$  in  
 190 Figures S6-S8), which is consistent with the fact that the majority of organic nitrates were associated with LO-OOA in the  
 191 PMF method. However, the moderate correlation between organic nitrates and HOA implied possibility of direct emissions of  
 192 organic nitrates. Furthermore, we found a noticeably improved correlation between LO-OOA and organic nitrates at night  
 193 (19:00-6:00) and a reduced correlation during the daytime (7:00-18:00) in Figure 3, especially in summer, implying that  
 194 organic nitrates formation might be more closely related to secondary formation at night.



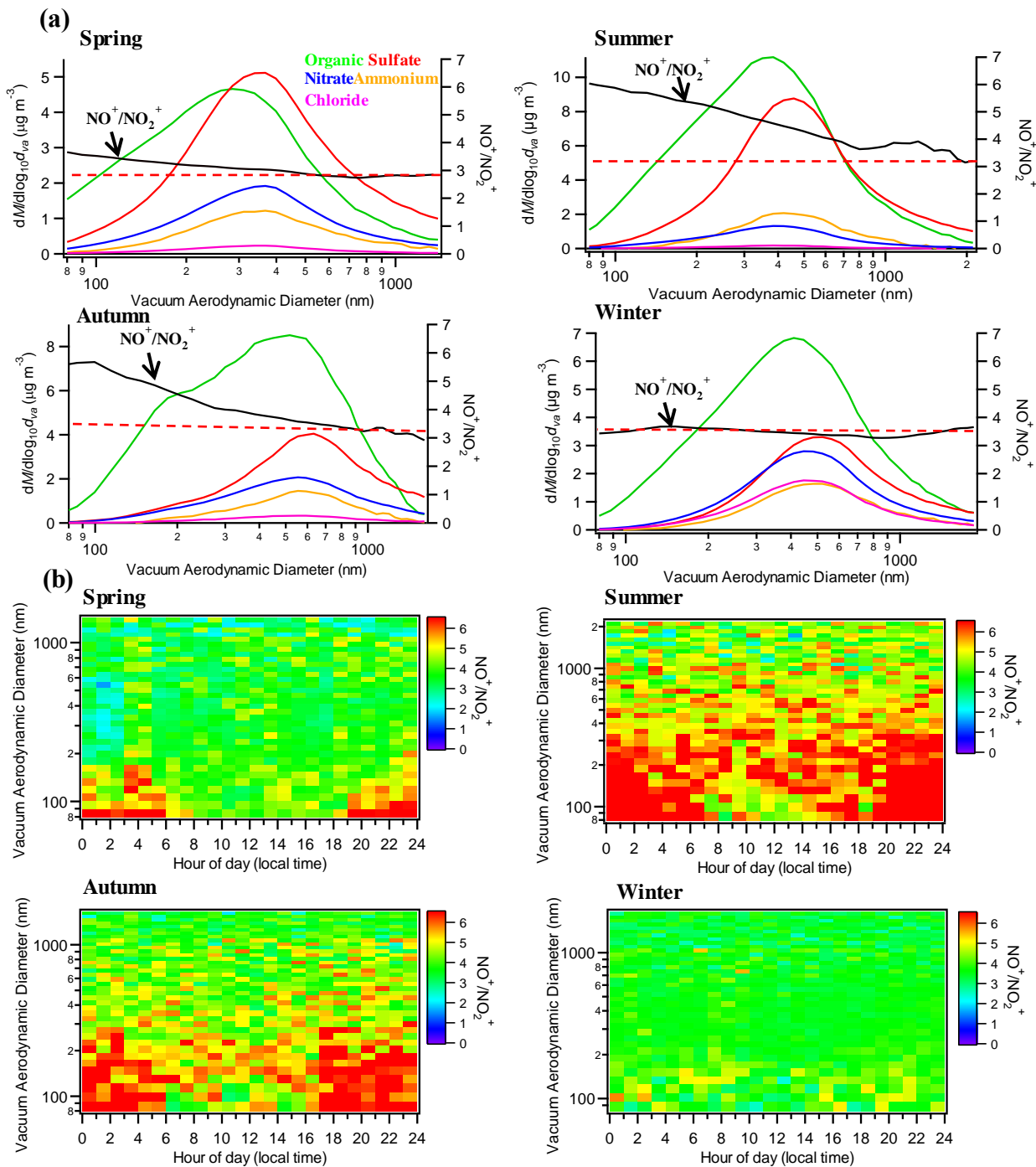
195



196 **Figure 3.**Correlation of  $\text{NO}_3\text{-org\_ratio}_1$  and LO-OOA in each season for the whole day (a), at night (b) and in the daytime (c).

### 197 **3.3 Size distribution characteristics of organic nitrates**

198 In this section, we used the  $\text{NO}^+/\text{NO}_2^+$  ratio as an indicator to investigate the size distribution of organic nitrates. The size  
199 distributions of the  $\text{NO}^+$  and  $\text{NO}_2^+$  signals for the different seasons have been shown in Figure S11. Due to the lack of HR-  
200 PToF data, our analyses used the UMR-PToF data ( $m/z$  30 and 46), which may bring in the interferences of  $\text{CH}_2\text{O}_x^+$ . However,  
201 for all four seasons, the contributions of  $\text{CH}_2\text{O}_x^+$  in  $m/z$  30 and 46 in the HR data of  $\text{PM}_{10}$  were less than 10% (Figure S10),  
202 which indicates that the interferences were negligible in this study. The average size distributions of different aerosol species  
203 and  $\text{NO}^+/\text{NO}_2^+$  ratio in four seasons are shown in Figure 4a. It is clearly found that the  $\text{NO}^+/\text{NO}_2^+$  ratio exhibited a decreasing  
204 trend in spring, summer and autumn, while it kept constant in winter, similar to the value of  $R_{\text{NH}_4\text{NO}_3}$  (red dotted line in Figure  
205 4). It should also be noted that in spring, summer and autumn, the lowest values of  $\text{NO}^+/\text{NO}_2^+$  ratio occurring at  $>1 \mu\text{m}$   
206 approximated to the corresponding seasonal values of  $R_{\text{NH}_4\text{NO}_3}$ . These characteristics clearly indicated that organic nitrates  
207 existed mostly in fresh particles with smaller sizes. Different from the bulk OA and inorganic species, very limited amount of  
208 organic nitrates exist in larger aged particles, implying that they could be easily removed by deposition and/or chemical  
209 degradation during air mass transport. In addition, the diurnal trends of size distribution of  $\text{NO}^+/\text{NO}_2^+$  ratio in spring, summer  
210 and autumn in Figure 4b show apparent higher values at small sizes at night, suggesting an important nighttime local origin of  
211 organic nitrates. Combining with the analysis in section 3.2, local nighttime secondary formation of organic nitrates in warmer  
212 seasons in the urban polluted atmosphere in Shenzhen is highlighted. This is consistent with the previous findings in the US  
213 and Europe that the nighttime  $\text{NO}_3+\text{VOCs}$  reactions serve as an important source for particulate organic nitrates (Rollins et al.,  
214 2012; Xu et al., 2015a, 2015b; Fry et al., 2013; Lee et al., 2016). We will then explore the nighttime  $\text{NO}_3+\text{VOCs}$  reactions in  
215 Shenzhen in the following section.



216

217

218

**Figure 4.**(a) Average size distributions of aerosol species and  $\text{NO}^+/\text{NO}_2^+$  ratio (red dotted line represents  $R_{\text{NH}_4\text{NO}_3}$ ); (b) diurnal trends of size distribution of  $\text{NO}^+/\text{NO}_2^+$  ratio.

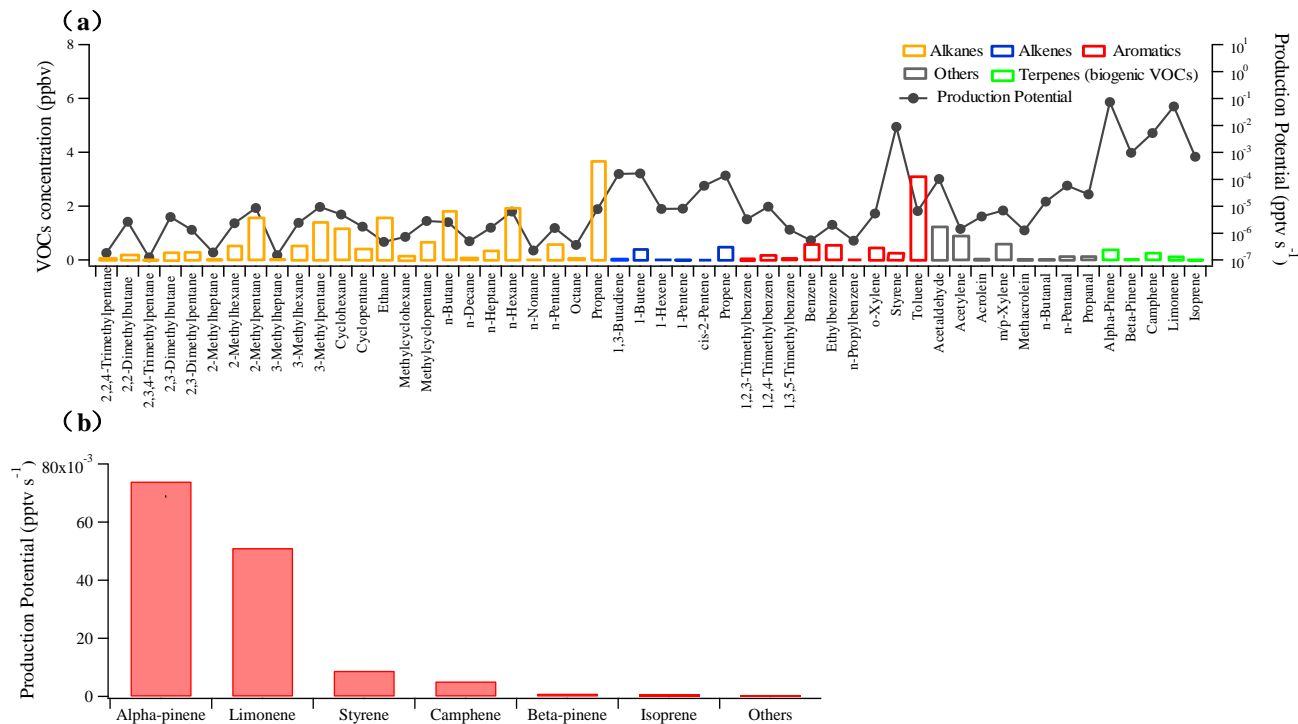
### 219 3.4 Nighttime particulate organic nitrates formation via NO<sub>3</sub>+VOCs

220 Since on-line VOCs measurement was only performed during the spring campaign (described in section 2.2), the following  
221 theoretical analysis of NO<sub>3</sub>+VOCs reactions applies only to the spring case. NO<sub>3</sub>+VOCs reactions would yield a large mass of  
222 gas- and particle-phase organic nitrates (Rollins et al., 2012; Nah et al., 2016; Boyd et al., 2015, 2017; Xu et al., 2015a, 2015b;  
223 Lee et al., 2016). We used Eq. (9) to roughly judge the production potential (PP) of organic nitrates from a NO<sub>3</sub>+VOC reaction:

$$224 \quad [\text{Production Potential}]_{\text{NO}_3+\text{VOC}_i} = K_i \cdot [\text{VOC}_i] \cdot [\text{NO}_3] \quad (9)$$

225 Where  $K_i$  represents the reaction rate coefficient for NO<sub>3</sub> radical and a VOC;  $[\text{VOC}_i]$  is the concentration of the specific VOC  
226  $[\text{NO}_3]$  is the concentration of NO<sub>3</sub> radical. It should be noted that no organic nitrates yield parameter was introduced in Eq.  
227 (9), because only a few organic nitrate yields for BVOCs were available in the literature (Fry et al., 2014; Ng et al., 2017).  
228 However, given the fact that the values of  $K_i \cdot [\text{VOC}_i] \cdot [\text{NO}_3]$  for different VOC species can differ by orders of magnitude, not  
229 multiplying the organic nitrate yields (ranging from 0 to 1) would not significantly affect the PP ranking of VOCs. In the spring  
230 campaign, the diurnal variations of NO<sub>2</sub>, O<sub>3</sub> and estimated NO<sub>3</sub> radical concentrations are shown in Figure S12. It was found  
231 that as a comparison to the nighttime NO<sub>3</sub> radical concentrations reported in literature in the United States (Rollins et al., 2012;  
232 Xu et al., 2015a), high concentrations of NO<sub>2</sub> ( $19.93 \pm 2.31$  ppb) at night led to high yield of NO<sub>3</sub> radical ( $1.24 \pm 0.76$  ppt)  
233 in Shenzhen, as calculated in Text S1.

234 The reaction rate coefficients of typical measured nighttime VOC concentrations with NO<sub>3</sub> radical and the production  
235 potentials are listed in Table S3 and shown in Figure 5. These VOCs were considered based on their higher ambient  
236 concentrations and availability for reaction kinetics with NO<sub>3</sub> radical. According to the distribution of production potential,  
237 five biogenic VOCs (BVOCs) (i.e.,  $\alpha$ -pinene, limonene, camphene,  $\beta$ -pinene and isoprene) and one anthropogenic VOC  
238 (styrene) were identified as notable VOC precursors with high production potential, while the sum of production potential  
239 from the other VOCs was negligible as shown in Figure 5b.



240  
241 **Figure 5.** (a) Mean concentrations of VOCs and the corresponding calculated production potential of NO<sub>3</sub>+VOC at night  
242 during the spring campaign; (b) production potential ranking of VOCs at night during the spring campaign.

243  
244 Based on the production potential evaluation above, we further explore SOA yield of NO<sub>3</sub>+the six notable VOC precursors  
245 according to the analysis method of particulate organic nitrate formation in Xu et al. (2015a). Briefly, NO<sub>3</sub> and ozone are two  
246 main oxidants for SOA formation from VOCs at night. Based on the concentrations of oxidants and the reaction rate constants  
247 for VOCs with NO<sub>3</sub> and ozone, the branching ratio of each VOC that reacts with NO<sub>3</sub> can be estimated as in Eq. (10). By  
248 combining the estimated branching ratios and SOA yields from chamber studies (Table 3), potential SOA production  
249 from these VOCs can be calculated as in Eq. (11) (Xu et al., 2015a):

$$250 \quad \text{branching ratio}_{\text{species } i + \text{NO}_3} = \frac{k_{[\text{species } i + \text{NO}_3] \times [\text{NO}_3]}}{k_{[\text{species } i + \text{NO}_3] \times [\text{NO}_3]} + k_{[\text{species } i + \text{O}_3] \times [\text{O}_3]}} \quad (10)$$

$$251 \quad [\text{SOA}]_{\text{species, oxidant}} = [\text{species}] \times \text{branching ratio}_{\text{species, oxidant}} \times \text{yield}_{\text{species, oxidant}} \quad (11)$$

252 The results in Table 3 show that all six notable VOC species were prone to react with NO<sub>3</sub> radical instead of O<sub>3</sub> at night, and  
253 the estimated potential SOA production from NO<sub>3</sub>+VOCs reactions using SOA mass yields in the literature was 0-0.33 μg m<sup>-3</sup>  
254 for α-pinene, 0.09-1.28 μg m<sup>-3</sup> for limonene, 0.24 μg m<sup>-3</sup> for styrene, 0.004-0.06 μg m<sup>-3</sup> for β-pinene and 0.002-0.02 μg m<sup>-3</sup>  
255 for isoprene. The SOA yield from camphene is currently unknown in the literature. It is seen that the average observed

256 nighttime concentration of particulate organic nitrates during the spring campaign ( $0.39\text{--}0.83\mu\text{g m}^{-3}$ , converting  $\text{NO}_{3,\text{org\_ratio}_1}$ ,  
 257  $\text{NO}_{3,\text{org\_PMF}}$  in Figure 6 into organic nitrates assuming the average molecular weight of organic nitrates of 200 to  $300\text{ g mol}^{-1}$ )  
 258 was well within the estimated SOA concentration ranges produced by  $\alpha$ -pinene, limonene and styrene in Table 3, indicating  
 259 that these three VOCs were the key VOC precursors in urban atmosphere in Shenzhen. Considering both the production  
 260 potentials and SOA yields, the contributions of  $\beta$ -pinene and isoprene to nighttime formation of particulate organic nitrates  
 261 could be negligible.

262 **Table 3.** Average concentrations, reaction branching and SOA production of  $\alpha$ -pinene, limonene, styrene, camphene,  $\beta$ -  
 263 pinene and isoprene with respect to different oxidants at night in the spring campaign.

Species	Concentration (ppbv)	Rate coefficient <sup>a</sup>		Branching ratio		SOA yield from the literature (with $\text{NO}_3$ )	SOA from VOCs + $\text{NO}_3$ ( $\mu\text{g m}^{-3}$ )
		$\text{NO}_3$	$\text{O}_3$	$\text{NO}_3$	$\text{O}_3$		
<b><math>\alpha</math>-pinene</b>	0.39	6.64E-12	7.2E-17	0.962	0.038	0-0.16 <sup>b</sup>	0-0.33
<b>Limonene</b>	0.14	1.22E-11	1.54E-16	0.957	0.043	0.12-1.74 <sup>c</sup>	0.09-1.28
<b>Styrene</b>	0.19	1.50E-12	1.70E-17	0.941	0.059	0.23 <sup>d</sup>	0.24
<b>Camphene</b>	0.28	6.20E-13	9.0E-19	0.992	0.008	/	/
<b><math>\beta</math>-pinene</b>	0.01	2.51E-12	1.50E-17	0.968	0.032	0.07-1.04 <sup>e</sup>	0.004-0.06
<b>Isoprene</b>	0.032	6.96E-13	1.27E-17	0.908	0.091	0.02-0.24 <sup>f</sup>	0.002-0.02

264 <sup>a</sup> Rate coefficients for all species except camphene are from the Master Chemical Mechanism model  
 265 (<http://mcm.leeds.ac.uk/MCM/>; under 25 °C), rate coefficients for camphene are from Martínez et al. (1999) and Atkinson et  
 266 al. (1990).

267 <sup>b</sup> Hallquist et al. (1999); Spittler et al. (2006); Perraud et al. (2010); Fry et al. (2014); Nah et al. (2016).

268 <sup>c</sup> Fry et al. (2011, 2014); Spittler et al. (2006); Boyd et al. (2017).

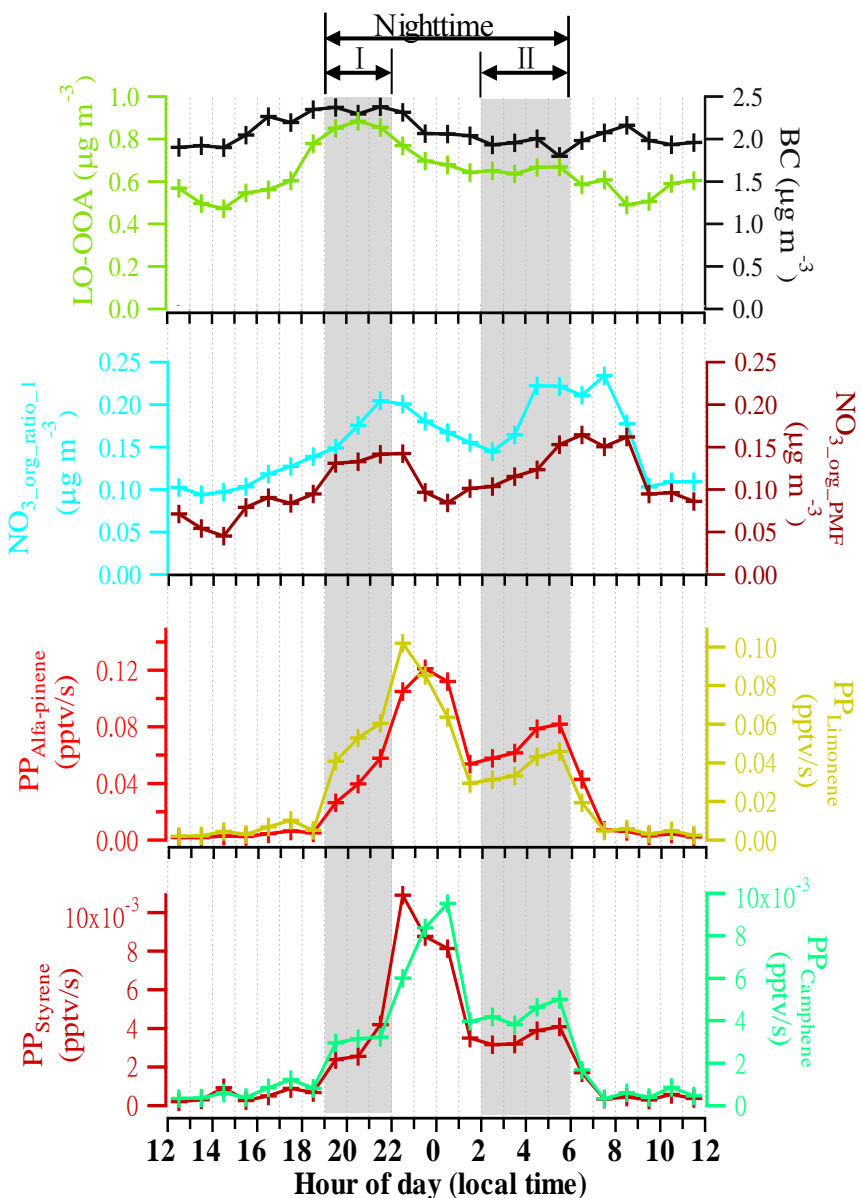
269 <sup>d</sup> Cabrera-Perez et al. (2017).

270 <sup>e</sup> Griffin et al. (1999); Fry et al. (2009); Fry et al. (2014); Boyd et al. (2015).

271 <sup>f</sup> Rollins et al. (2009); Ng et al. (2008).

272 The estimation of potential SOA production above suggests significant contributions of  $\alpha$ -pinene, limonene, and styrene to  
 273 SOA, and the significant contribution of camphene is also possible. Thus, we further explored the diurnal variations of the PPs  
 274 of these four VOCs. Figure 6 shows the diurnal trends of BC, LO-OOA,  $\text{NO}_{3,\text{org\_ratio}_1}$ ,  $\text{NO}_{3,\text{org\_PMF}}$ , and the PPs of the four  
 275 VOCs during the spring campaign. There were two apparent nighttime growth periods (i.e., I: 19:00–22:00 and II: 2:00–6:00)  
 276 for both  $\text{NO}_{3,\text{org\_ratio}_1}$  and  $\text{NO}_{3,\text{org\_PMF}}$ . During Period I, BC maintained a relatively higher level, suggesting stable anthropogenic  
 277 emissions. In contrast, the increases of all the PPs during Period I indicated that these precursors contributed to the organic  
 278 nitrate growth. After 22:00, while the PPs still showed a rapid growth, BC and organic nitrates began to decrease, implying

279 possible existence of other important anthropogenic VOC precursors, which were not identified by the GC-FID/MS analysis  
 280 but would dominate the formation of organic nitrates at this stage. During Period II, the anthropogenic emissions remained at  
 281 a stable lower level, as indicated by BC, while all the PPs increased with organic nitrates again, indicating that these four  
 282 precursors also contributed to, or could dominate, this organic nitrate growth. As shown in Figure S13, organic nitrates  
 283 correlated better with the PPs ( $R=0.63-0.74$ ) than with LO-OOA ( $R=0.19-0.31$ ) or BC ( $R=0.02-0.05$ ) during Period II at the  
 284 spring campaign, suggesting the significant contributions of the  $\text{NO}_3$  reactions with these precursors.



285

286 **Figure 6.** Diurnal trends of BC, LO-OOA,  $\text{NO}_{3,\text{org\_ratio}_1}$ ,  $\text{NO}_{3,\text{org\_PMF}}$  and production potential (PP) of  $\alpha$ -pinene, limonene,  
287 styrene, and camphene during the spring campaign.

288 It should be noted that, all previous studies on nighttime organic nitrate formation in the US and Europe focused on mechanisms  
289 of  $\text{NO}_3$  reactions with BVOCs (Hallquist et al., 1999; Spittler et al., 2006; Perraud et al., 2010; Fry et al., 2014; Nah et al.,  
290 2016; Boyd et al., 2015, 2017). In this study, however, we found that anthropogenic VOCs could also play significant roles in  
291 particulate organic nitrate formation at night. Besides styrene, one of major aromatics (Cabrera-Perez et al., 2016), there were  
292 also other important anthropogenic VOC precursors that we did not identify in the spring campaign. In China, styrene has been  
293 actually identified as an important VOC of non-methane hydrocarbons (NMHCs) in urban areas, and has a notable contribution  
294 to ozone formation and SOA production (An et al., 2009; Yuan et al., 2013; Zhu et al., 2019). This study highlights the possible  
295 key roles of anthropogenic VOC precursors in nighttime particulate organic nitrate formation in urban atmosphere in China,  
296 and relevant smog chamber studies for anthropogenic VOCs+ $\text{NO}_3$  reactions are needed to support parameterization in  
297 modeling.

### 298 3.5 Comparison with other similar studies and implications

299 Table 4 shows the average ambient temperatures, average concentrations of  $\text{NO}$ ,  $\text{NO}_2$ , monoterpenes,  $\text{NO}_{3,\text{org}}$ , the ratio of  
300  $\text{NO}_{3,\text{org}}$  to  $\text{NO}_{3,\text{total}}$  and the ratio of organic nitrates to total organics in several similar field campaigns available in the literature,  
301 which implies the key role of  $\text{NO}_3$ +VOCs reactions for nighttime particulate organic nitrate formation. In general, the variation  
302 of the particulate organic nitrates concentration is within an order of magnitude ( $0.06\text{-}0.98 \mu\text{g}/\text{m}^3$ ) among the different sites.  
303 Higher concentrations of particulate organic nitrates generally is associate with higher  $\text{NO}_x$  concentrations rather than BVOC  
304 concentrations. On the other hand, although the BVOC concentrations in Bakersfield were far less than that in other campaigns,  
305 the concentration of particulate organic nitrates there showed an intermediate level among all the campaigns. Therefore, it is  
306 suggested that the formation of particulate organic nitrates may be more relevant with  $\text{NO}_x$  than BVOCs, which is consistent  
307 with the finding that the organic nitrate production was dominated by  $\text{NO}_x$  in the southeastern US (Edwards et al., 2017). In  
308 the spring campaign of this study, we examined the correlation between organic nitrates and  $\text{NO}_2$  or VOCs (by the sum of  $\alpha$ -  
309 pinene, limonene, styrene and camphene) at night (Figure S14) and found a significant correlation of organic nitrates with  $\text{NO}_2$   
310 ( $R=0.40\text{-}0.47$ ) rather than with VOCs ( $R=0.22\text{-}0.23$ ), which further suggests that the organic nitrates formation was driven by  
311 the  $\text{NO}_x$ -involved  $\text{NO}_3$  chemistry.

312

313 **Table 4.** Average ambient temperatures, average concentrations of monoterpenes,  $\text{NO}_{3,\text{total}}$ ,  $\text{NO}_{3,\text{org}}$ ,  $\text{NO}_{3,\text{org}}/\text{NO}_{3,\text{total}}$  and the ratio of organic nitrates to total organics (ON/Org) for different  
 314 field campaigns around the world. The ON results at the European and US sites are from Kiendler-Scharr et al. (2016) and Ng et al. (2017).

Sampling site	Site type	Sampling period	Temperature (°C)	NO (ppbv)	NO <sub>2</sub> (ppbv)	Monoterpenes (ppbv)	NO <sub>3,org</sub> (µg m <sup>-3</sup> )	NO <sub>3,org</sub> /NO <sub>3,total</sub>	ON/Org	Reference/Note
Bakersfield, US	rural	May-June, 2010	23.0		8.2	0.045 ( $\alpha$ -pinene) 0.004 ( $\beta$ -pinene) 0.034 (limonene)	0.16	0.28	0.23	Rollins et al. (2012)/ NO <sub>3,org</sub> measured by TD-LIF
Woodland Park, US	high attitude	July-August, 2011	15.0		1.2	0.25 (monoterpene)	0.06	0.86	0.09	Fry et al. (2013)/ Use AMS data to estimate NO <sub>3,org</sub>
Centreville, US	rural	June-July, 2013	24.7	0.1	1.1	0.350 ( $\alpha$ -pinene)* 0.312 ( $\beta$ -pinene)* 0.050 (limonene)*	0.08	1.00	0.10	Xu et al. (2015a)  Xu et al. (2015b)/ Use AMS data to estimate NO <sub>3,org</sub>
Barcelona, Spain	urban	March, 2009	13.3	11.0	23.6	0.423 (monoterpene)	0.48	0.13	0.13	Mohr et al. (2012)  Pandolfi et al. (2014) / Use AMS data to estimate NO <sub>3,org</sub>
Shenzhen, China	urban	April, 2016	24.5	8.0	19.4	0.391 ( $\alpha$ -pinene)* 0.013 ( $\beta$ -pinene)* 0.137 (limonene)*	0.16	0.17	0.11	This study/ Use AMS data to estimate NO <sub>3,org</sub>

315 \*BVOC concentration at night.



#### 316 4. Conclusions

317 An Aerodyne HR-ToF-AMS was deployed in urban Shenzhen for about one month per season during 2015–2016 to  
318 characterize particulate organic nitrates with high time resolution. We discovered high mass fractions of organic nitrates in  
319 total organics during warmer seasons, including spring (9-21%), summer (11-25%) and autumn (9-20%), while particulate  
320 organic nitrates were negligible in winter. The correlation analysis between organic nitrates and each OA factor showed high  
321 correlation ( $R=0.77$  in spring,  $0.91$  in summer and  $0.72$  in autumn) between organic nitrates and LO-OOA at night. The diurnal  
322 trend analysis of size distribution of  $\text{NO}^+/\text{NO}_2^+$  ratio further suggested that organic nitrates formation mainly occurred at night.  
323 It also suggested that organic nitrates concentrated on smaller sizes, indicating that they were mostly local product. The  
324 calculated theoretical nighttime production potential of  $\text{NO}_3$  reactions with VOCs measured in spring showed that six VOC  
325 species (i.e.,  $\alpha$ -pinene, limonene, styrene, camphene,  $\beta$ -pinene and isoprene) were prominent precursors. The SOA yield  
326 analysis and the nighttime variation of production potential further indicated that  $\alpha$ -pinene, limonene, camphene and styrene  
327 could contribute significantly to nighttime formation of particulate organic nitrates in spring in Shenzhen, highlighting the  
328 unique contribution of anthropogenic VOCs as a comparison to that documented in previous studies in the US and Europe.  
329 Finally, the comparison of the results in this study with other similar studies implied that nighttime formation of particulate  
330 organic nitrates is more relevant with  $\text{NO}_x$  levels.

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