

# Convective environment in pre-monsoon and monsoon conditions over the Indian subcontinent: the impact of surface forcing

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1 **Abstract.** Thermodynamic soundings for premonsoon and monsoon seasons from the Indian subcontinent are analyzed to  
2 document differences between convective environments. Pre-monsoon environment features more variability for both near-  
3 surface moisture and free-tropospheric temperature and moisture profiles. As a result, level of neutral buoyancy (LNB) and  
4 pseudo-adiabatic Convective Available Potential Energy (CAPE) vary more for the pre-monsoon environment. Pre-monsoon  
5 soundings also feature higher Lifting Condensation Levels (LCLs). LCL heights are shown to depend on the availability of  
6 surface moisture, with low LCLs corresponding to high surface humidity arguably because of the availability of soil moisture. A  
7 simple theoretical argument is developed and showed to mimic the observed relationship between LCL and surface moisture.  
8 We argue that the key element is the partitioning of surface energy flux into its sensible and latent components, that is, the  
9 surface Bowen ratio, and the way Bowen ratio affects surface buoyancy flux. We support our argument with observations of  
10 changes in the Bowen ratio and LCL height around the monsoon onset, and with idealized simulations of cloud fields driven  
11 by surface heat fluxes with different Bowen ratios.

## 12 1 Introduction

13 Convective environment over the Indian subcontinent changes significantly from hot and dry pre-monsoon conditions to cooler  
14 and wetter monsoon. The change comes from the dramatic evolution of the large-scale circulation (e.g., Yin, 1949; Lau and  
15 Yang, 1996 and references therein) that brings significant oceanic moisture during the monsoon. The conveyor belt of mois-  
16 ture is the monsoon low-level jet (Joseph and Sijikumar, 2004) that moistens land areas, changes cloud characteristics, and  
17 brings monsoon rains that are key to the Indian economy. The change from pre-monsoon to monsoon conditions is rapid with  
18 convective precipitation driven by the surface heating in the pre-monsoon period giving way to an increase in cloud cover and  
19 surface rainfall during the monsoon season (e.g., Ananthakrishnan and Soman, 1988). Significant rainfall occurs over the west  
20 coast and the northeastern region, and it further extends westward in association with the northwestward movement of weather  
21 systems formed over the Bay of Bengal (Gadgil et al., 1984).

22 The monsoon low-level jet weakens during the monsoon break periods, influencing moisture content over land and strongly  
23 reducing the rainfall (Sandeep et al., 2014; Balaji et al., 2017). Intraseasonal oscillations of monsoon rainfall are well docu-  
24 mented (e.g., Goswami and Mohan, 2001; Gadgil, 2003) with active and break periods featuring considerable spatiotemporal

25 variations (Rajeevan et al., 2010). Initial studies of the monsoon boundary layers focused on the contrast between active and  
26 break monsoon periods (e.g.,Parasnis et al., 1985) with a contrasting moisture availability in the lower troposphere. The ac-  
27 tive/break monsoon conditions are characterized by lower/higher boundary layer heights (e.g., Kusuma et al., 1991). Higher  
28 cloud bases also occur during weak monsoon conditions when lower atmosphere is drier compared to the active monsoon.  
29 Parasnis and Goyal (1990) reports enhanced convective instability in the boundary layer on weak monsoon days when com-  
30 pared to the active monsoon. Convective Available Potential Energy (CAPE), a proxy for the strength of convection, feature  
31 higher values over coastal regions because of the presence of higher moisture in the boundary layer (Alappattu and Kun-  
32 hikrishnan, 2009). That study argues that the temporal variability of CAPE and convective inhibition (CIN) is predominantly  
33 controlled by the boundary-layer moisture. Resmi et al. (2016) shows that sustaining convective storms in the diurnal cycle  
34 is possible because of moisture advection and increase of CAPE over rain shadow region of the Indian subcontinent. Diurnal  
35 variations of CAPE are directly linked to water vapor content near surface, with higher CAPE environments favoring higher  
36 precipitation (Balaji et al., 2017). Precipitable water (PW) and lifting condensation level (LCL) derived from various obser-  
37 vations are also closely related (Murugavel et al., 2016). Balaji et al. (2017) shows that high PW conditions correspond to  
38 shallower boundary layer (with boundary layer height close to LCL) and higher LCL combined with deeper boundary layer  
39 height typically occur during drier conditions. Balaji et al. (2017) also illustrates diurnal variations of PW and CAPE during  
40 wet and dry regimes within the monsoon.

41 The monsoon onset marks a striking change in the surface and boundary layer conditions because of the change of the par-  
42 titioning of the surface energy flux into its sensible and latent components. However, there are no comprehensive comparisons  
43 of pre-monsoon and monsoon thermodynamic environments and their contrasting characteristics with respect to parcel buoy-  
44 ancy and boundary layer characteristics. The soil moisture variations typically follow rainfall patterns or variations. Transition  
45 from pre-monsoon to monsoon conditions is associated with increase in soil moisture (Sathyanadh et al., 2016) and thus with  
46 the change of the partitioning of the surface energy flux into its sensible and latent fluxes. The ratio of the sensible to latent  
47 surface heat fluxes is commonly referred to as the Bowen ratio. Bowen ratio affects surface buoyancy flux that drives boundary  
48 layer dynamics (e.g., Stevens, 2007 and references therein) and affects the rate at which convective boundary layer deepens.  
49 It also sets the mean boundary layer humidity (e.g., Ek and Mahrt, 1994), impacts the efficiency of moist convection heat  
50 cycle (i.e., the ratio between mechanical work and energy input at the surface; Shutts and Gray, 1999) and the distribution of  
51 shallow convection cloud base mass flux (Sakradzija and Hohenegger, 2017). One thus might expect different boundary layer  
52 characteristics in surface-forced pre-monsoon and monsoon conditions due to different Bowen ratios for the two environments.  
53 However, Bowen ratio does not seem to affect the updraft intensity in deep convection (Hansen and Back, 2015). Instead,  
54 the free-tropospheric conditions, impacted by larger-scale atmospheric dynamics, may affect the strength of convection as  
55 measured by parameters such as CAPE, LCL height, or maximum pseudo-adiabatic parcel buoyancy.

56 Present study contrasts pre-monsoon and monsoon environments by analyzing a large set of soundings released from Pune,  
57 India, in the semi-arid Western Ghat mountains rain-shadow region. Traditional measures of convective environment are dis-  
58 cussed with the emphasis of surface forcing. Since no surface flux information is available for the region where long period  
59 soundings were obtained, we use data collected at another location in the rain shadow area to document changes in the Bowen

60 ratio and LCL height between pre-monsoon and monsoon conditions. Subsequently, we discuss two sets of idealized numerical  
61 simulations that consider the impact of the surface Bowen ratio on convective development. We argue that model results are  
62 broadly consistent with our interpretation of the sounding analysis. A brief summary concludes the paper.

## 63 **2 Observations**

### 64 **2.1 Data and Instrumentation**

65 Data from radiosonde measurements conducted at Pune ( $18^{\circ}31' N$ ,  $73^{\circ}51' E$ , elevation 530 m amsl) and measurements from  
66 Mahabubnagar ( $16^{\circ}45' N$ ,  $78^{\circ}00' E$ , elevation 498 m amsl), about 500 km south-east of Pune) are used in this study. Both  
67 locations are in the leeward side of Western Ghat mountains in the semi-arid rain-shadow region. Total of 84 soundings from years  
68 2010-2014 from Pune, divided into 42 pre-monsoon (March, April, May) and 42 monsoon (June, July, August, September)  
69 soundings were analyzed. The Pune soundings are launched irregularly (typically once a week) and they are not part of the  
70 daily global sounding network (i.e., they are not available, for instance, from the Wyoming air sounding database; [http://](http://weather.uwyo.edu/upperair/sounding.html)  
71 [weather.uwyo.edu/upperair/sounding.html](http://weather.uwyo.edu/upperair/sounding.html)). The original data are archived at Indian Institute for Tropical Meteorology (IITM)  
72 and they feature high spatial resolution as explained below. Vaisala radiosonde RS92-SGP is used, measuring atmospheric  
73 temperature, pressure and humidity. Wind speed and direction (not considered in this study) are obtained by tracking the  
74 position of radiosonde using GPS. Launch time is 13:00 IST when the solar insolation is near its peak. The operation takes  
75 almost two hours with the radiosonde reaching typically up to 30 km altitude with an ascent rate around  $5 \text{ ms}^{-1}$ . Data is  
76 available at approximately 3 m vertical resolution.

77 Second set of observations are surface flux and tropospheric profiles from the Integrated Ground Observational Campaign  
78 (IGOC) at Mahabubnagar, south-east of Pune. Observations were conducted during the transition from pre-monsoon to mon-  
79 soon and during the monsoon season of 2011. The latent and sensible surface heat fluxes were measured using eddy covariance  
80 sensors located on a meteorological tower at 6 m above surface. In addition to the surface heat flux measurements, a microwave  
81 radiometer profiler (MWRP) was also placed about 1.2 km from the tower location. MWRP provides vertical profiles of tem-  
82 perature and humidity during the diurnal cycle (Balaji et al., 2017). This information is used to calculate lifting condensation  
83 level applying the same method as for the Pune soundings (see the next section).

### 84 **2.2 Analysis of Pune soundings**

85 For Pune soundings, thermodynamic parameters such as the potential temperature ( $\theta$ ), equivalent potential temperature ( $\theta_e$ ),  
86 water vapour mixing ratio ( $q_v$ ), relative humidity ( $RH$ ), cloud water mixing ratio ( $q_c$ ), parcel buoyancy ( $B$ ) and cumulative  
87 Convective Available Potential Energy ( $cCAPE$ ) were derived using thermodynamic equations and standard procedures as  
88 described below. Standard parameters describing convective environment, such as lifting condensation level (LCL), level of  
89 free convection (LFC), and level of neutral buoyancy (LNB) were calculated as well.

90 Pressure ( $p$ ), temperature ( $T$ ), water vapour mixing ratio ( $q_v$ ) and relative humidity ( $RH$ ) of the environment were given as  
91 the standard sounding data. Geometrical heights of data levels were obtained by integrating the hydrostatic pressure equation  
92 from the surface upwards. Subsequently, the input data were interpolated to a regular vertical grid with a uniform spacing of  
93 50 m. A simple adiabatic parcel model was then applied to calculate various parameters describing convective environment.  
94 Initial conditions for parcel came from lowest levels available in the sounding, typically corresponding to the near-surface  
95 conditions. The potential temperature, water vapour mixing ratio, cloud water mixing ratio and the pseudo-adiabatic buoy-  
96 ancy ( $B$ ) inside the parcel (i.e., neglecting cloud water which is assumed to convert to precipitation and fall out) were de-  
97 rived considering only condensation of water vapor. Condensation was calculated assuming that the parcel maintained water  
98 saturation and corresponding latent heating was added to parcel potential temperature. The first level where condensation oc-  
99 curred was marked as LCL. The level above LCL where parcel buoyancy became positive was marked as LFC, and the level  
100 where parcel buoyancy changed from positive to negative (typically in the upper troposphere) was marked as LNB. Pseudo-  
101 adiabatic parcel buoyancy was calculated as  $B = g(\Delta\theta_v/\theta_{ve})$  where  $\theta_v$  and  $\theta_{ve}$  are virtual potential temperatures of rising  
102 parcel and of the environment, respectively, and  $g$  is gravitational acceleration. The virtual potential temperature is defined as  
103  $\theta_v = \theta(1 + \varepsilon q_v)$ , where  $\varepsilon = R_v/R_d - 1 \approx 0.61$  and  $R_v$  and  $R_d$  are gas constants for the water vapour and dry air, respectively.  
104 The cumulative CAPE ( $cCAPE$ ) was calculated by vertical integration of the parcel positive buoyancy; it is formally defined  
105 as  $cCAPE(z) = \int_0^z \max(0, B) dz$ . Cumulative CAPE shows how CAPE builds up within a rising pseudo-adiabatic parcel.  
106 Note that CAPE is given as  $cCAPE(z = LNB)$ . In addition, the equivalent potential temperature  $\theta_e$  was calculated using  
107 approximate formula:

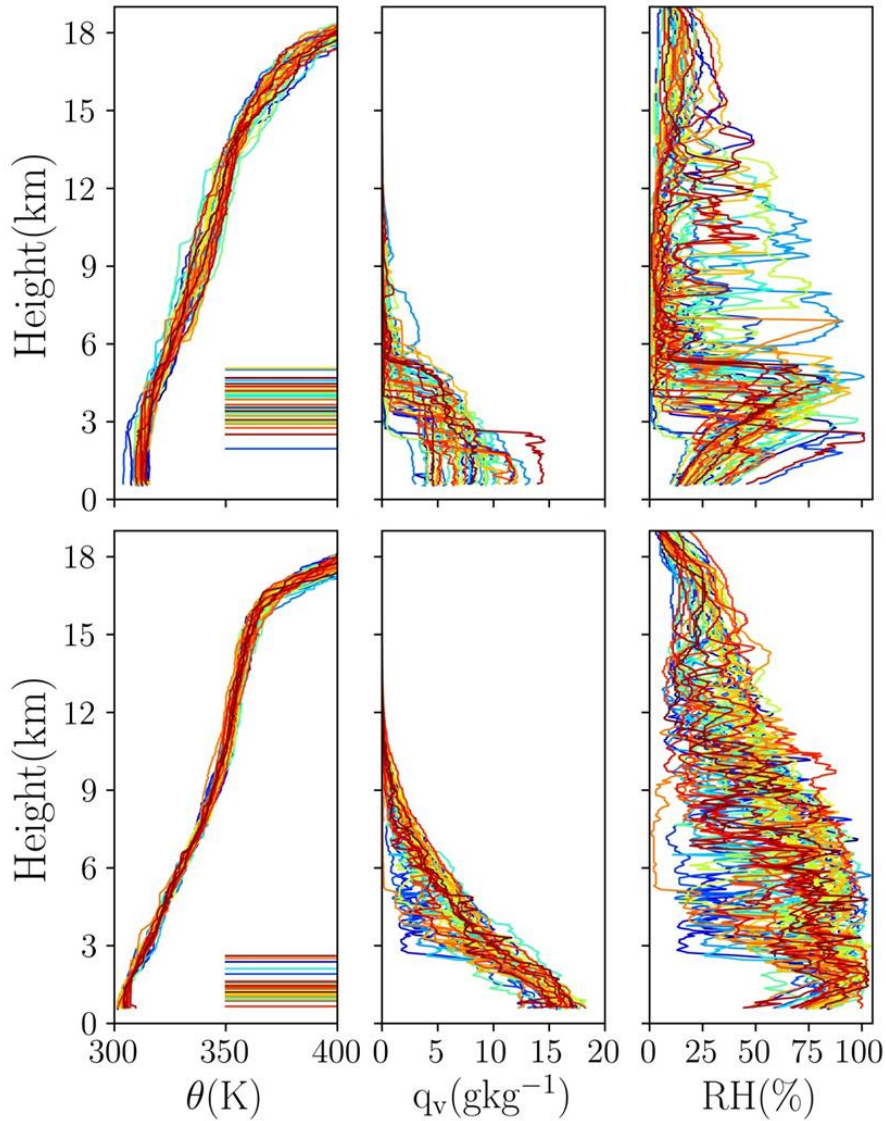
$$108 \theta_e = \theta \exp\left(\frac{L}{C_p T} q_v\right) \quad (1)$$

109 where  $L$  is latent heat of condensation.

## 110 3 Results of sounding analysis

### 111 3.1 Temperature and moisture profiles

112 Figure 1 shows vertical profiles of the potential temperature ( $\theta$ ), water vapor mixing ratio ( $q_v$ ) and corresponding relative  
113 humidity ( $RH$ ) separated into pre-monsoon and monsoon periods. Panels with the potential temperature profiles also show  
114 corresponding LCL levels, represented by horizontal lines. The atmosphere exhibits contrasting features during the two seasons.  
115 Pre-monsoon soundings are characterised by a deeper boundary layer (BL) than monsoon soundings. BL can be identified by  
116 regions of constant potential temperature in the lower atmosphere up to 3 km from the surface. LCL heights show higher  
117 cloud base heights for pre-monsoon clouds and lower cloud base heights for monsoon clouds. Lower and middle troposphere  
118 is significantly more humid for the monsoon when compared to the pre-monsoon. Pre-monsoon BL is typically topped by a  
119 strong inversion that is accompanied by a decrease of RH within a few hundred meters. Higher RH for monsoon BL with values  
120 closer to saturation is evident. Monsoon soundings show a well-defined tropopause identified from potential temperature sharp  
121 gradient at the height of around 16 km. Details of these are discussed in 3.1.1 – 3.1.3.



**Fig. 1.** Profiles of potential temperature ( $\theta$ ), water vapour mixing ratio ( $q_v$ ) and relative humidity ( $RH$ ) for (upper panels) premonsoon and (lower panels) monsoon soundings. Different colours represent different soundings with a total of 42 soundings for both cases. Horizontal lines in left panels are LCL heights with the same colour as the corresponding profile.

### 122 3.1.1 Temperature and moisture profiles and their variability

123 For pre-monsoon conditions, the surface temperature is on average several degrees warmer and water vapor mixing ratio is on  
 124 average about half of that for monsoon period. The latter is arguably related to the contrasting levels of soil moisture in pre-  
 125 monsoon and monsoon conditions. The temperature and moisture profiles exhibit less day-to-day variability for the monsoon

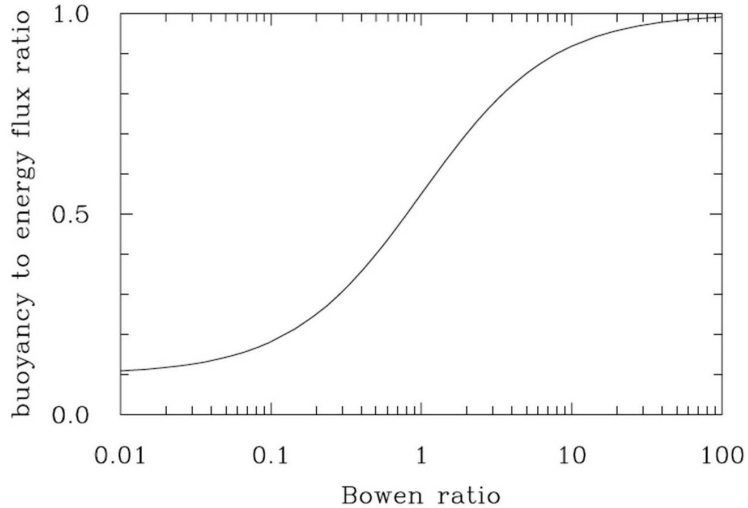
126 period. The spread of temperature in middle troposphere in the monsoon environment is about half of that for pre-monsoon.  
127 In upper troposphere and lower stratosphere, the differences are smaller. For the pre-monsoon period, moisture profiles below  
128 6 km vary significantly and atmosphere is significantly drier above 6 km when compared to monsoon soundings. Arguably,  
129 higher moisture contents in the middle and upper troposphere during monsoon come from convection reaching higher levels  
130 as documented later in the paper. However, differences due to large-scale horizontal advection may play some role as well.

131 Individual moisture profiles feature significant fluctuations, even more apparent if no smoothing is applied to the original  
132 high resolution data. This is evident at lower levels (i.e., within the boundary layer) as well as aloft. Fluctuations within  
133 boundary layer show that it is not well-mixed for the water vapor in most soundings, especially for monsoon conditions.  
134 However, relative humidity does increase approximately linearly within the boundary layer in most profiles similar to the case  
135 of well-mixed mixed boundary layer (i.e., featuring constant with height potential temperature and water vapor mixing ratio).

### 136 3.1.2 LCL/boundary layer height

137 In surface-driven convective situations and mid-day conditions with either shallow or deep convective clouds above boundary  
138 layer, LCL height should be relatively close to boundary layer height as noted by Balaji et al., 2017 using temperature and  
139 moisture profile observations with microwave radiometer profiler. This is because the adiabatic (neutral) temperature profile  
140 (i.e., constant  $\theta$ ) within the well-mixed boundary layer has to change to stably-stratified profile (i.e.,  $\theta$  increasing with height)  
141 in the free troposphere aloft. Since LCL marks the transition from dry to moist temperature lapse rate within a rising adiabatic  
142 parcel, the change from neutral boundary layer and moist-convecting stratified atmosphere aloft should also correspond to  
143 LCL. This is consistent with idealized simulations of the diurnal cycle of shallow and deep convection over land (see Brown  
144 et al., 2002 and Grabowski et al., 2006, respectively). These simulations show that deepening of boundary layer is accompanied  
145 by an increase of LCL height. However, presence of deep convection and significant precipitation can lead to the separation  
146 of the well-mixed boundary layer height and LCL height as illustrated later in the paper in idealized simulations (cf., Section  
147 5). As Fig. 1 documents, LCLs around 13:00 LST are significantly higher for pre-monsoon period. This may come from either  
148 different surface fluxes during the course of the day between pre-monsoon and monsoon periods or from partitioning of the  
149 surface energy flux into sensible and latent components. One can argue, however, that the energy passed from earth surface to  
150 the atmosphere (the sum of sensible and latent heat fluxes) should be similar in pre-monsoon and monsoon conditions because  
151 the solar insolation is similar in both cases. Presence of extensive clouds in monsoon conditions can make a difference for  
152 surface energy budget, but we neglect this aspect for the qualitative discussion here. Thus, we assume that development of  
153 convective boundary layer during pre-monsoon and monsoon periods is to the leading order affected by partitioning of total  
154 surface energy flux into its sensible and latent components, and not by the differences in total flux.

155 The partitioning of surface flux into sensible and latent components depends on the soil moisture that differs drastically  
156 between pre-monsoon and monsoon conditions. The surface buoyancy flux that drives boundary layer dynamics is affected  
157 by the surface Bowen ratio. Since the thermodynamic variable relevant for buoyancy flux is the virtual potential temperature  
158  $\theta_v = \theta(1 + \varepsilon q_v)$ , the total surface buoyancy flux (BF) can be approximated as  $BF = \langle w\theta_v \rangle = \langle w\theta \rangle + \theta_o \varepsilon \langle wq_v \rangle$ , where  
159  $\theta_o$  is the surface potential temperature. Total surface energy flux (EF) can be similarly written (using the moist static energy or



**Fig. 2.** The ratio of surface buoyancy flux to total energy flux (sensible plus latent) as a function of Bowen ratio.

160 the equivalent potential temperature) as  $EF = \langle w\theta \rangle + \frac{L}{c_p} \langle wq_v \rangle$ . Consequently, BF/EF ratio between the buoyancy and  
 161 energy surface fluxes can then be represented as:

$$162 \quad BF/EF = (\alpha + B)/(1 + B) \quad (2)$$

163 where  $\alpha = \theta_o \varepsilon \frac{c_p}{L} \approx 0.1$  is a numerical coefficient, and  $B = \frac{c_p \langle w\theta \rangle}{L \langle wq_v \rangle}$  is the Bowen ratio. For small Bowen ratios (i.e., surface  
 164 latent heat flux dominates as typically over the oceans) the BF/EF ratio approaches 0.1, that is, only 10 % of the total surface  
 165 energy flux contributes to the buoyancy flux. For large Bowen ratios (i.e., surface sensible heat flux dominates as over arid and  
 166 semi-arid areas) the BF/EF ratio approaches 1, that is, all of the total surface energy flux contributes to the buoyancy flux. For  
 167 Bowen ratio of 1 (i.e., equal surface sensible and latent fluxes), only about half of the energy flux contributes to the buoyancy  
 168 flux. The equation (2) is shown in Fig. 2. The impact of the surface Bowen ratio on the shallow convective cloud base mass  
 169 flux has recently been highlighted by Sakradzija and Hohenegger (2017).

170 The above considerations explain the well-known fact that daytime convective boundary layer develops deep over arid and  
 171 semi-arid areas that feature high Bowen ratio due to limited availability of water at the surface. For instance, over the Sahara  
 172 desert, the boundary layer height can reach several kilometres (e.g., Ao et al., 2012). In contrast, surface-driven convective  
 173 boundary layer over tropical and sub-tropical oceans is relatively shallow, often a mere several hundred meters. We argue  
 174 that the differences between pre-monsoon and monsoon periods can, to a large degree, be explained by the availability of soil  
 175 moisture and partitioning of surface energy flux between sensible and latent components. These differences will be further  
 176 illustrated by model simulations discussed in section 5.

### 177 3.1.3 Troposphere-stratosphere transition

178 As Fig. 1 illustrates, the tropopause is much better defined and varies less during monsoon. In contrast, transition from tropo-  
179 sphere to the stratosphere is gradual in pre-monsoon environment. This may come from the fact that convection not always have  
180 a chance to get to the tropopause in pre-monsoon environment (as documented later in the paper) and other processes (e.g.,  
181 large-scale advection or radiative transfer) play an important or even dominant role. A well-defined tropopause is a feature  
182 of the monsoon environment. This is associated with the mid tropospheric anticyclone of the Asian monsoon system. Dethof  
183 et al. (1999) shows that the upper level monsoon anticyclone located close to tropopause is moistened by the monsoon convec-  
184 tion. The strong potential vorticity gradients around tropopause prevent transport across upper-troposphere lower-stratosphere  
185 (UTLS) region and result in strong temperature gradients there. Pune latitude is in the region separating upper level westerlies  
186 to the north and easterlies to the south that are associated with mid tropospheric anticyclone.

187 In the case of pre-monsoon conditions, the moisture availability in BL is considerably reduced and this has a significant  
188 influence on cloud base height. Air parcels need to rise to greater heights in pre-monsoon conditions to reach LCL compared  
189 to monsoon conditions. Significant variations are observed in LCL heights during these two seasons. Pre-monsoon clouds have  
190 their bases at higher levels, 2 to 6 km from the surface, whereas monsoon soundings indicate cloud bases at lower levels with  
191 most of them being lower than 2 km. This result is highly correlated with surface level moisture as documented below.

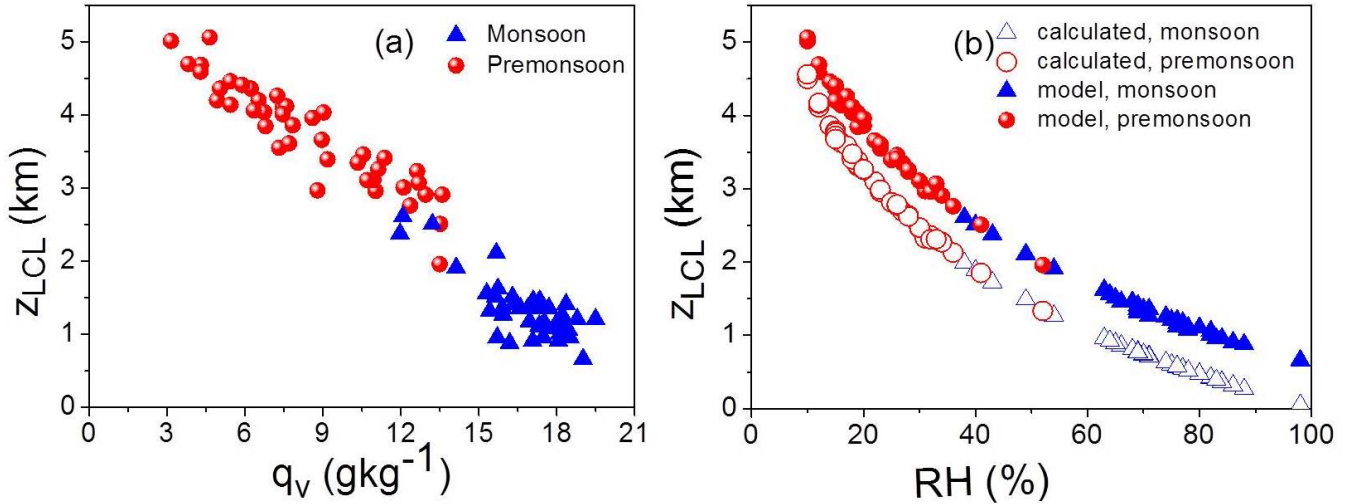
192 BL as well as mid-tropospheric moisture for the two seasons exhibit contrasting characteristics. The mean tropospheric  
193 moisture is higher for monsoon soundings. During monsoon, the surface values of  $q_v$  are higher compared to pre-monsoon,  
194 and most of them fall within the range of 14-18  $\text{gkg}^{-1}$ . Pre-monsoon surface  $q_v$  has a lower but wider range from 3 to 14  
195  $\text{gkg}^{-1}$ . Monsoon soundings also indicate higher levels of mid-tropospheric moisture. The main reason is south westerly winds  
196 that transport moisture from Arabian Sea to Indian subcontinent. Because of Western Ghat mountains, the transport features  
197 strong low-level convergence over Indian west coast. However, for the inland locations over rain shadow region, the jet core  
198 level is seen at 1.5-2 km, just above the boundary layer. Arguably, boundary layer convection developing during the day pushes  
199 jet layer to an elevated height.

### 200 3.2 Cloud base height and surface level moisture

201 For a well-mixed boundary layer, water vapor mixing ratio near surface is the main determining factor for cloud base height.  
202 Figure 3 shows the scatterplot of the cloud base height and the surface moisture. Monsoon soundings with higher surface  
203 mixing ratios correspond to lower cloud bases, and pre-monsoon soundings with lower mixing ratios have significantly higher  
204 cloud bases. The relationship between mixing ratio at the surface and cloud base height is approximately linear but with a  
205 significant scatter. However, the relationship between cloud base height and near surface  $RH$  is nonlinear, with little scatter.

206 The following simple theoretical analysis explains the tight relationship between surface relative humidity and the cloud  
207 base height as shown in Fig. 3. The key assumptions are that the boundary layer is well-mixed and the cloud base is not far  
208 from boundary layer top. The two assumptions ensure that air parcels originating from near the surface and reaching LCL  
209 insignificantly change their thermodynamic properties during their rise. Overall, these should be valid assumptions in surface-





**Fig. 3.** Variation of LCL height  $z_{LCL}$  with (a) surface  $q_v$  and (b) surface  $RH$ . Red/blue circles/triangles represent pre-monsoon/monsoon cases. Parcel model derived parameters ( $RH$  and LCL height) are shown as filled symbols. LCL heights derived using Eq. (3) are shown as empty symbols.

210 driven convective situations. However, presence of significant precipitation can change this picture as documented later in  
 211 the paper. When the two assumptions are valid, then the height of the cloud base (i.e., LCL) is the level where adiabatic  
 212 air parcel rising from surface reaches saturation. If  $T_{LCL}$  depicts the LCL temperature and  $T_s$  and  $RH_s$  depict temperature  
 213 and relative humidity at the surface, then  $q_{vs}(T_{LCL})/q_{vs}(T_s) = RH_s$ . Since  $q_{vs} \approx e_s/p$  where  $e_s$  and  $p$  are the saturation  
 214 water vapor pressure and environmental air pressure, it follows that  $e_s(T_{LCL})/e_s(T_s) = p_{LCL}/p_s RH_s$ , where  $p_{LCL}$  and  $p_s$   
 215 represent pressure at the LCL and surface, respectively. Applying an approximate Clausius-Clapeyron formula in the form:  
 216  $e_s(T) = e_0 \exp[\frac{L}{R_v} (\frac{1}{T_0} - \frac{1}{T})]$ , where  $e_0$  is the saturated water vapor pressure at the temperature  $T_0$ , leads to:  $\frac{1}{T_s} - \frac{1}{T_{LCL}} =$   
 217  $\frac{R_v}{L} \ln(\frac{p_{LCL}}{p_s RH_s})$ . Using the dry-adiabatic relationship between  $T_{LCL}$  and  $T_s$  in the form  $T_{LCL} = T_s - \frac{g z_{LCL}}{C_p}$  gives:

$$218 \ln\left(\frac{p_{LCL}}{p_s RH_s}\right) = -\frac{L g z_{LCL}}{c_p R_v T_{LCL} T_s} \quad (3)$$

219 To show that the relationship is approximately valid for the data used in this study, we derived  $z_{LCL}$  from observed  $p_s$ ,  $RH_s$   
 220 and  $T_s$ , and the parcel model derived  $p_{LCL}$  and  $T_{LCL}$ . As the figure shows, Equation(3) provides  $z_{LCL}$  estimates that are  
 221 lower than the  $z_{LCL}$  calculated from the parcel model, and the difference between  $z_{LCL}$  estimated from the parcel model and  
 222 derived from Equation(3) is typically around 600 meters regardless of the surface humidity.

223 There are at least two explanations for the underestimation of  $z_{LCL}$  by Equation(3), both associated with the well-mixed  
 224 assumption for the boundary layer. The first one has to do with the presence of superadiabatic layer near the surface (i.e., the  
 225 potential temperature decreasing with height), clearly evident in many soundings shown in Fig. 1. With the surface temperature  
 226 higher than the mean boundary layer potential temperature,  $z_{LCL}$  needs to be higher to keep  $z_{LCL}/(T_{LCL} T_s)$  approximately  
 227 constant on the right-hand-side of (3) as  $p_{LCL}/p_s$  can change little. Since 600 m corresponds to about 6 K along the dry adia-

228 batic lapse rate, such an explanation would imply that the air temperature change across the superadiabatic layer is universally  
229 about 6 K in the sounding data used here. This does not seem inconsistent with at least some soundings shown in Fig. 1. The  
230 boundary layer may also become not well-mixed (i.e., develop moisture and temperature stratification) because of precipitation  
231 or low-level horizontal advection, presence of neither is possible to deduce from the available data. In convective situations,  
232 significant surface precipitation is always accompanied by convective-scale downdrafts and boundary-layer cold pools. Since  
233 the air in a cold pool typically comes from middle troposphere, the low-level water vapor mixing ratio inside the cold pool is  
234 typically lower than on the outside (e.g., Tompkins, 2001). In such a situation, the boundary layer cannot be assumed well-  
235 mixed and entrainment of boundary-layer air into a plume rising from surface would lead to plume dilution and thus to the  
236 increase of LCL height. Moreover the parcel model constitutes a significant simplification of real atmosphere in which the  
237 sonde is flown taking a Lagrangian path and cutting across different air columns.

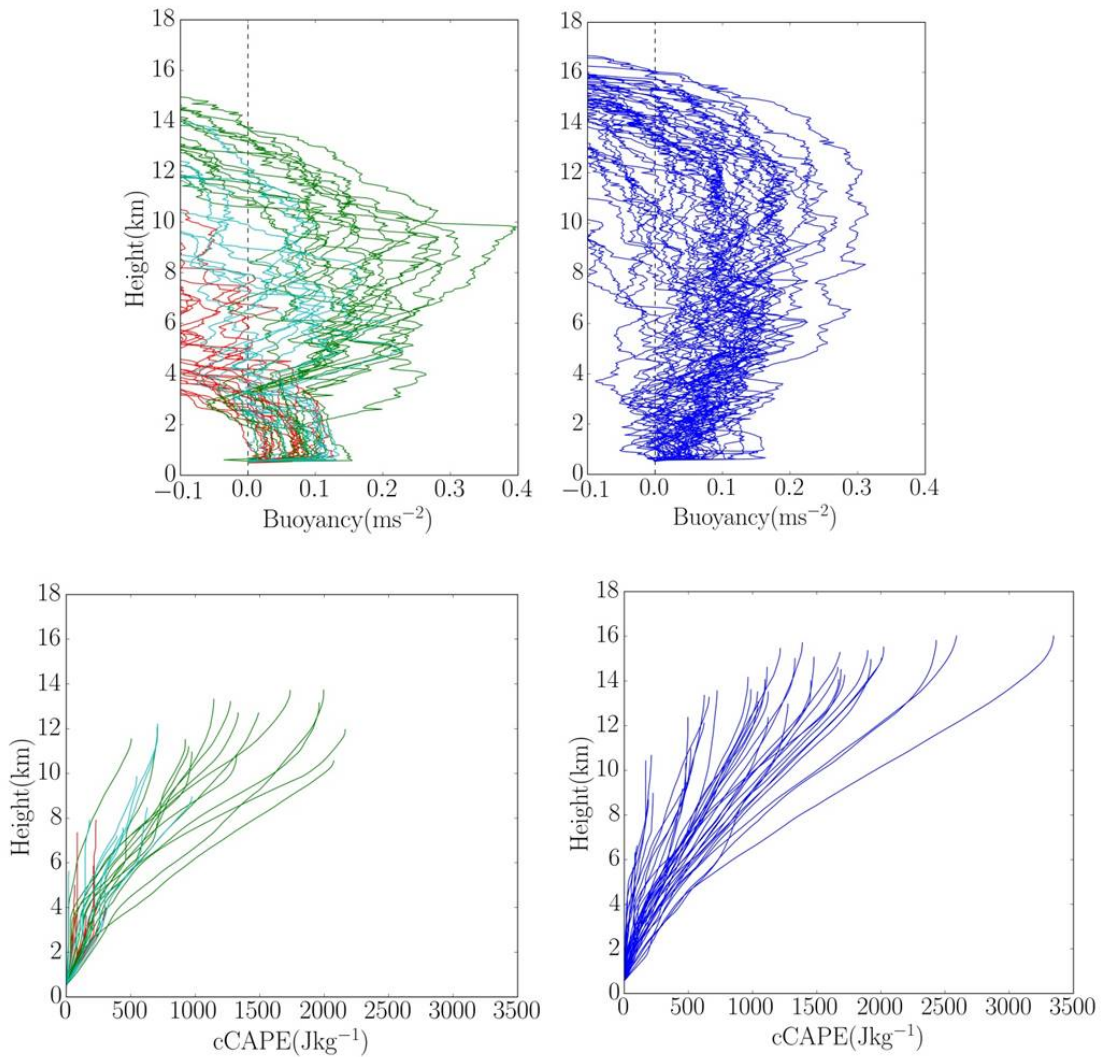
238 The above analysis is consistent with results discussed in Murugavel et al. (2016). They showed that the column precipitable  
239 water (PW), the vertical integral of water vapor density in the atmosphere, is a good predictor of LCL temperature and height  
240 over the Indian subcontinent. Since the column PW is dominated by moisture in the lowest levels (and in the boundary layer  
241 in particular), the mixing ratio near the surface should then be well correlated with LCL height as documented in Fig. 3.

242 The above results can also be used in reverse. The fact that, despite some offset, there is an almost a perfect relationship  
243 between  $RH$  and  $z_{LCL}$  implies that mid-day boundary layer for all soundings considered in this study is of convective type,  
244 that is, with close to the adiabatic potential temperature profile from above the superadiabatic surface layer up to the convective  
245 boundary layer height and LCL.

### 246 3.3 Profiles of pseudo-adiabatic buoyancy and cCAPE

247 CAPE represents the energy available for moist convection and larger values of CAPE indicate larger potential for strong con-  
248 vection. Figure 4 shows profiles of pseudo-adiabatic buoyancy (i.e., the difference in the virtual potential temperature between  
249 pseudo-adiabatic parcel and the environment) and cCAPE from all soundings separated into pre-monsoon and monsoon con-  
250 ditions. In addition, pre-monsoon soundings are divided into three groups (marked by red, blue, and green lines in left panels)  
251 depending on CAPE values, with red/blue/green colors corresponding to low/medium/high CAPE values. This partitioning  
252 will be used in the subsequent analysis. Monsoon and pre-monsoon environments exhibit distinct patterns. First, there is a  
253 significant day-to-day variability for both environments as marked by the spread in profiles, but the variability seems larger  
254 for pre-monsoon conditions. The variability is affected mostly by the surface water vapor mixing ratio as quantified later in  
255 the paper. Large CAPE pre-monsoon soundings (green colour) are characterized by pseudo-adiabatic parcel maximum buoy-  
256 ancies that are not different from their monsoon counterparts, but LNBs and CAPE values (evident from end points of cCAPE  
257 profiles) are typically lower for the pre-monsoon environment.

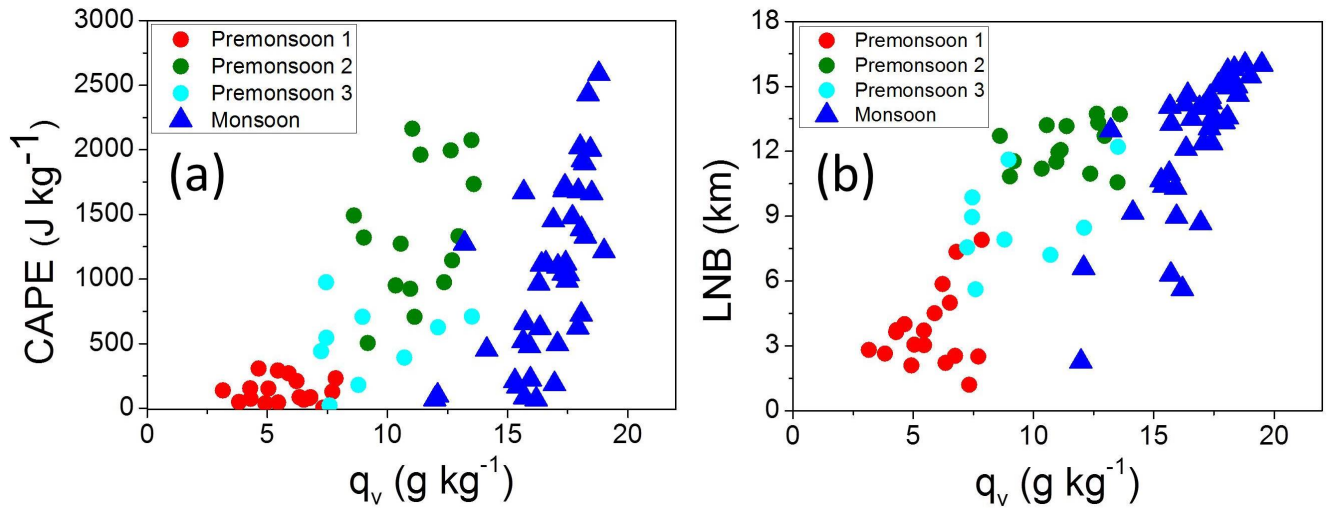
258 Most of the monsoon pseudo-adiabatic buoyancy and cCAPE profiles follow a consistent pattern, as shown in right panels of  
259 Fig. 4. These soundings maintain positive pseudo-adiabatic buoyancies up to the upper troposphere with CAPE values typically  
260 between 1000 and 2000  $\text{Jkg}^{-1}$ , except for a few cases. This is different for pre-monsoon soundings that feature wide range  
261 of maximum in-cloud buoyancies, with three distinct branches. The first branch represented by green lines follows a pattern



**Fig. 4.** Profiles of the pseudo-adiabatic buoyancy (upper panels) and cCAPE (lower panels) for pre-monsoon (left column) and monsoon (right column) soundings. cCAPE profiles terminate at LNB. Pre-monsoon soundings are divided into three groups marked by red, light blue and green lines depending on the CAPE value.

262 similar to monsoon cases, but with lower CAPE values and lower LNBs. The second branch, marked by blue lines, represents  
 263 intermediate soundings with CAPE typically between 500 and 1000  $\text{Jkg}^{-1}$ , and LNBs typically in middle troposphere. Red  
 264 lines represent the cases with low CAPE and LNB located in lower or middle troposphere.

265 These results show that monsoon season feature convective environments that are all similar and can be grouped into a  
 266 single family. In contrast, pre-monsoon season witnesses a wide range of atmospheric conditions and convection with diverse

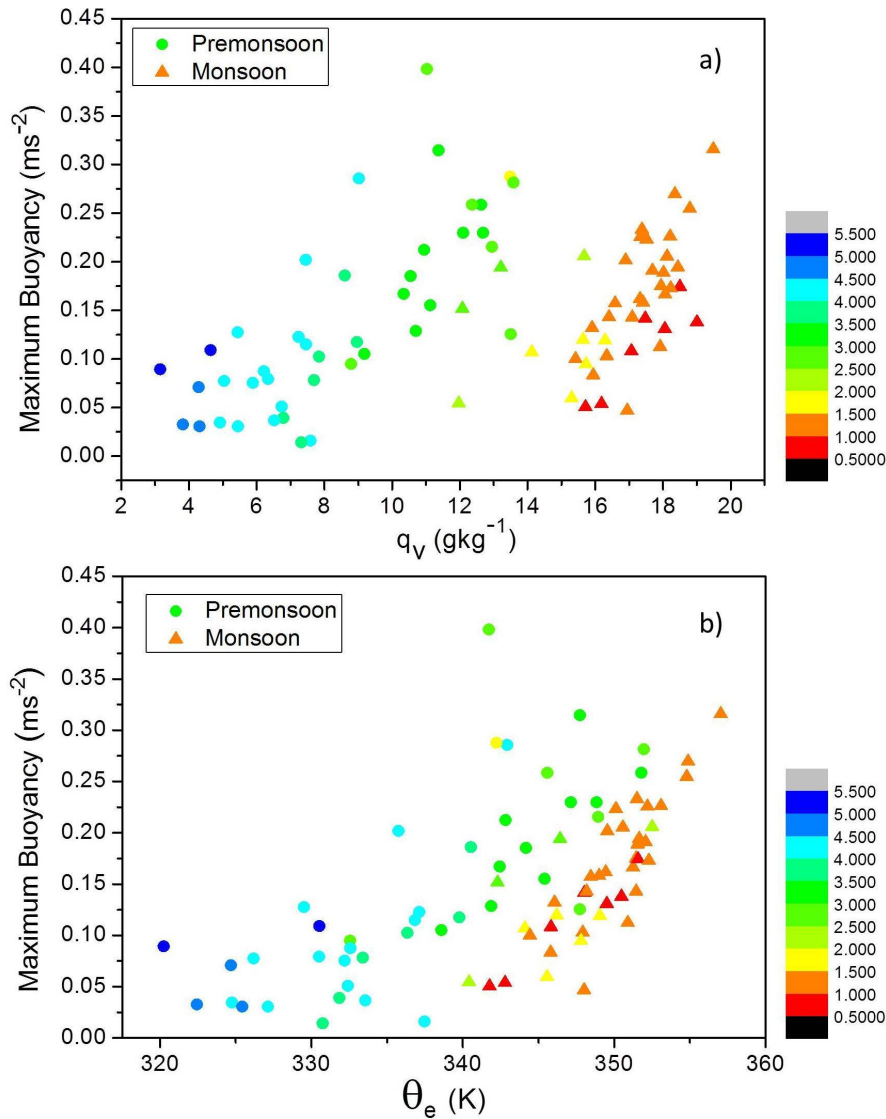


**Fig. 5.** Variation of (a) CAPE and (b) LNB as a function of surface water vapor mixing ratio. Pre-monsoon cases are grouped similarly as in Fig. 4

267 properties, from situations with low-CAPE and LNBs in the lower and middle troposphere to situations with CAPE comparable  
 268 to monsoon environments and LNBs in the upper troposphere. One distinct feature of high-CAPE pre-monsoon category is  
 269 that the positive buoyancy increases steeply above the level of free convection (LFC) compared to the monsoon cases where  
 270 buoyancy increased gradually above the boundary layer. This is possibly due to the stark difference in moisture above LFC  
 271 between pre-monsoon and monsoon environments and its impact on the pseudo-adiabatic buoyancy.

### 272 3.4 CAPE, LNB and maximum buoyancy as a function of surface conditions

273 Figure 5 relates CAPE and LNB to the surface water vapor mixing ratio  $q_v$ . Using surface relative humidity instead of  $q_v$   
 274 gives similar results (not shown). Despite significant scatter, the clear pattern is evident: low  $q_v$  pre-monsoon environment is  
 275 associated with the lowest LNB and CAPE, with  $q_v$  as low as a quarter of the high-CAPE monsoon cases. Gradual increase of  
 276  $q_v$  in pre-monsoon cases leads to gradual increase of CAPE and LNB. High CAPE and LNB monsoon cases are associated with  
 277 high surface  $q_v$ . The increase of CAPE with surface humidity is consistent with results reported in Alappattu and Kunhikrishnan  
 278 (2009), who analysed pre-monsoon observations over oceanic region surrounding the Indian subcontinent (cf., Fig. 8. therein).  
 279 Our study also supports findings of Bhat (2001), who reported that CAPE over Bay of Bengal during monsoon season varies  
 280 linearly with mixed layer specific humidity (cf., Fig. 3 therein). In our analysis, the linear relationship between surface  $q_v$  and  
 281 CAPE is well defined for monsoon season, arguably because of the small free-troposphere temperature variations (cf., Fig. 1)  
 282 and small variations of LNB (Fig. 5b). Pre-monsoon convective environments exhibit larger scatter, arguably because of larger  
 283 variability of temperature profiles (Fig. 1) and LNBs (Fig. 5b). However, there appears to be a threshold value of surface  $q_v$



**Fig. 6.** Variation of the maximum quasi-adiabatic buoyancy as a function of (a) surface water mixing ratio and (b) surface equivalent potential temperature. Triangular/circular symbols are for monsoon/pre-monsoon soundings, with the color depicting the cloud base height. Pre-monsoon cases are grouped as in Fig. 4 and 5.

284 above which CAPE responds linearly to changes in  $q_v$ . This may suggest a separation between shallow and deeper convection  
 285 (e.g., congestus).

286 Figure 6 shows the maximum pseudo-adiabatic parcel buoyancy as a function of surface water vapor mixing ratio,  $q_v$  (panel  
 287 a) and the surface equivalent potential temperature,  $\theta_e$  (panel b). Circles (triangles) mark pre-monsoon (monsoon) conditions  
 288 and the symbol colour depicts cloud base height according to the color scale shown to the right of the panels. Overall, neither

289 surface  $q_v$  nor surface  $\theta_e$  is a good predictor of the parcel maximum buoyancy. The maximum buoyancy does seem to increase  
290 with the surface  $q_v$ , but the relationship is rather weak and there is a large scatter. The scatter reduced while soundings with  
291 similar cloud base heights are considered.

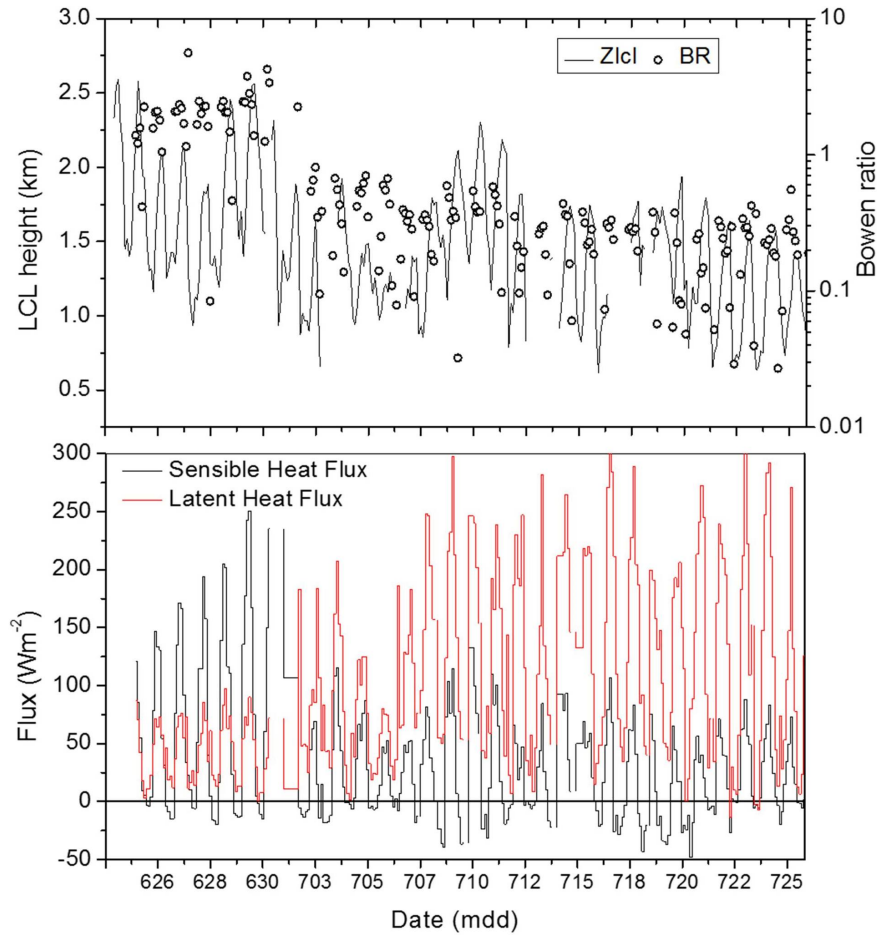
292 The most apparent pattern, already discussed in section 3.2, is that the surface  $q_v$  strongly affects the cloud base height. The  
293 main contrast between pre-monsoon and monsoon conditions comes from contrasting relationship in low-level temperature  
294 and humidity, that is, higher temperature and lower humidity for pre-monsoon cases, lower temperature and higher humidity  
295 for monsoon cases. Because of compensating effects of the temperature and humidity on  $\theta_e$ , its surface values is thus not a  
296 good predictor of the maximum pseudo-adiabatic parcel buoyancy either.

297 In summary, the availability of surface moisture seems to be a significant determinant of deep convection development  
298 over Indian subcontinent (assuming that conditions near Pune can be taken as a representative for the rain shadow region),  
299 with pre-monsoon and monsoon conditions providing contrasting examples of the impact. Day-to-day variability of surface  
300 moisture is larger during pre-monsoon season and it adds to the variability associated with free-tropospheric conditions, such  
301 as temperature and moisture stratification.

#### 302 **4 Observations of surface forcing during the pre-monsoon to monsoon transition**

303 Since surface flux observations are not available simultaneously with Pune sounding data, we use observations collected during  
304 IGO campaign to contrast the role of surface forcing between pre-monsoon and monsoon conditions. As explained in section  
305 2.1, IGO tower measurements of surface sensible and latent heat fluxes are combined with the estimates of LCL using  
306 MWRP-derived lower-tropospheric temperature and moisture profiles. Figure 7 shows evolutions of surface fluxes, Bowen  
307 ratio, and LCL height between June 24 and end of July using 3-hourly data during the diurnal cycle. Pre-monsoon to monsoon  
308 transition (monsoon onset hereafter) around July 1st is clearly evident in the figure. Before the monsoon onset, sensible heat  
309 flux is typically much larger than latent flux, and Bowen ratio is larger than 1. After monsoon onset, latent and sensible fluxes  
310 reverse, with latent heat flux becoming much larger than the sensible flux and Bowen ratio becomes smaller than one. The  
311 LCL height seems to decrease as Bowen ratio decreases after the monsoon onset and diurnal variations of LCL height become  
312 less significant after the monsoon onset. There seems to be a weak decreasing trend in the evolutions of Bowen ratio and LCL  
313 height after the monsoon onset, arguably consistent with the gradual increase of soil moisture during monsoon.

314 Although IGO flux data shown here are for a single monsoon onset case, in contrast to 5 years of sounding data, the  
315 transition from the high-Bowen ratio pre-monsoon environment to the low-Bowen ratio monsoon environment is fairly typical  
316 over Indian subcontinent. The impact of surface Bowen ratio on the evolution of monsoon deep convection is further illustrated  
317 by numerical simulations discussed in the next section.



**Fig. 7.** Evolutions of (upper panel) Bowen ratio (BR) and LCL height ( $Z_{lcl}$ ) and (lower panel) sensible (black lines) and latent (red lines) surface heat fluxes around the monsoon onset from the IGO data.

### 318 5 Simulations of deep convection driven by surface forcing

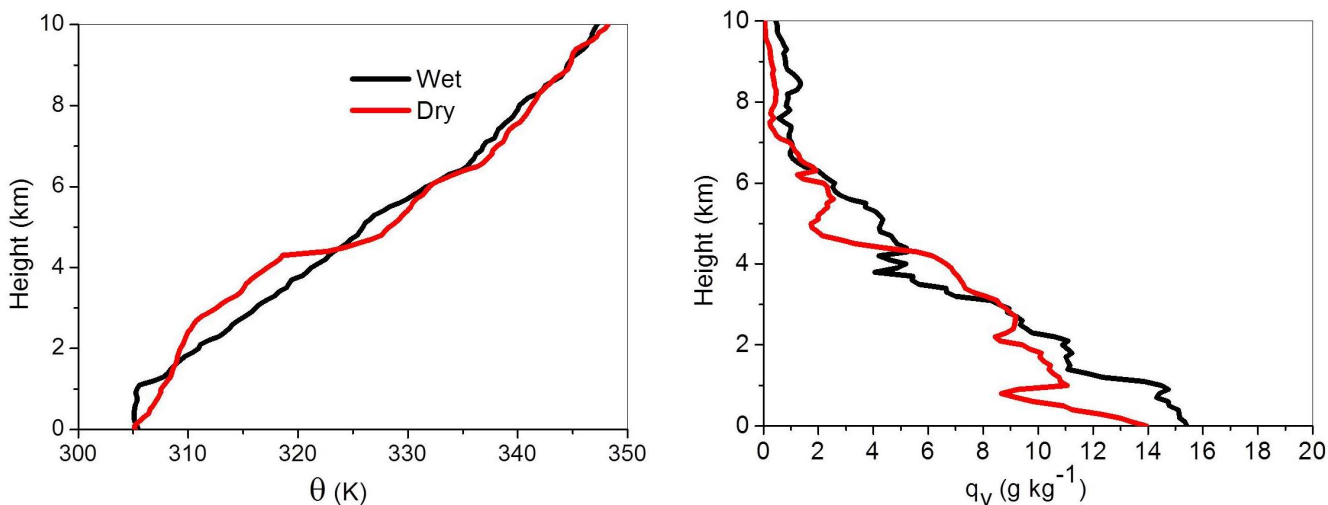
319 Two sets of idealized simulations of moist convection with emphasis on the surface forcing are discussed in this section in  
 320 support of analysis presented previously. The first pair of simulations considers monsoon convection applying two specific  
 321 mid-day soundings from IGO field project, one corresponding to relatively moist surface conditions and second one for dry  
 322 condition. The soundings are from the period toward the end of monsoon, 18th September (wet case) and 2nd October (dry  
 323 case). As already explained, the soundings come from radiosonde released about 1.2 km away from surface flux tower site.  
 324 The simulations are idealized because they apply mid-day sounding as initial condition and use mid-day observed surface  
 325 conditions to calculate surface fluxes, driving the several-hour-long simulations. In reality, surface conditions change because  
 326 of the diurnal variations of surface insolation.



327 Because of such a limitation, we employ a second set of simulations that considers a daytime convective development from  
 328 an early morning sounding driven by evolving surface fluxes. The simulations are based on observations in the South American  
 329 Amazon region (Grabowski et al., 2006). As an illustration, we introduce a simple modification of the surface Bowen ratio and  
 330 analyze its impact. Although idealized (i.e., prescribed horizontally-uniform surface fluxes), the simulations provide additional  
 331 illustration of the role of surface forcing for deep convection development.

### 332 5.1 Two IGOC cases of monsoon convection over India

333 Two contrasting soundings, referred to as wet and dry, were collected as the southwest monsoon was receding from Indian  
 334 subcontinent and the lower atmosphere was getting progressively dry. The wet case is September 18th and the dry case is  
 335 October 2nd. Soundings on both days were conducted around noon local time. The surface potential temperature and water  
 336 vapor mixing ratio for the wet case were 305.2 K and  $16.6 \text{ gkg}^{-1}$ . Corresponding values for the dry case were 306.1 K and  
 337  $13.5 \text{ gkg}^{-1}$ . The contrasting surface temperature and moisture has been the determining factor for selecting these two cases.



**Fig. 8.** Vertical profile of potential temperature and water vapour mixing ratio used as initial conditions in the simulations for wet and dry cases from the IGOC field project.

338 Figure 8 compares the two soundings. Wet sounding features about 1 km deep mixed layer (although with a noticeable  
 339 vertical moisture gradient) and relatively uniform free-tropospheric stability aloft. In contrast, dry sounding features no mixed  
 340 layer near the surface, and a fairly complex structure in the lowest 5 km with distinct layers of approximately constant stability:  
 341 weakly stratified layer between the surface and about 3 km, typically-stratified layer between 3 and approximately 4.5 km, and  
 342 an inversion between 4.5 and 5 km. The wet case has higher wind speed (greater than  $4 \text{ ms}^{-1}$ ; not shown) compared to the  
 343 dry case (smaller than  $3 \text{ ms}^{-1}$ ). The mid-tropospheric inversion provides a barrier for deep convection as illustrated by model



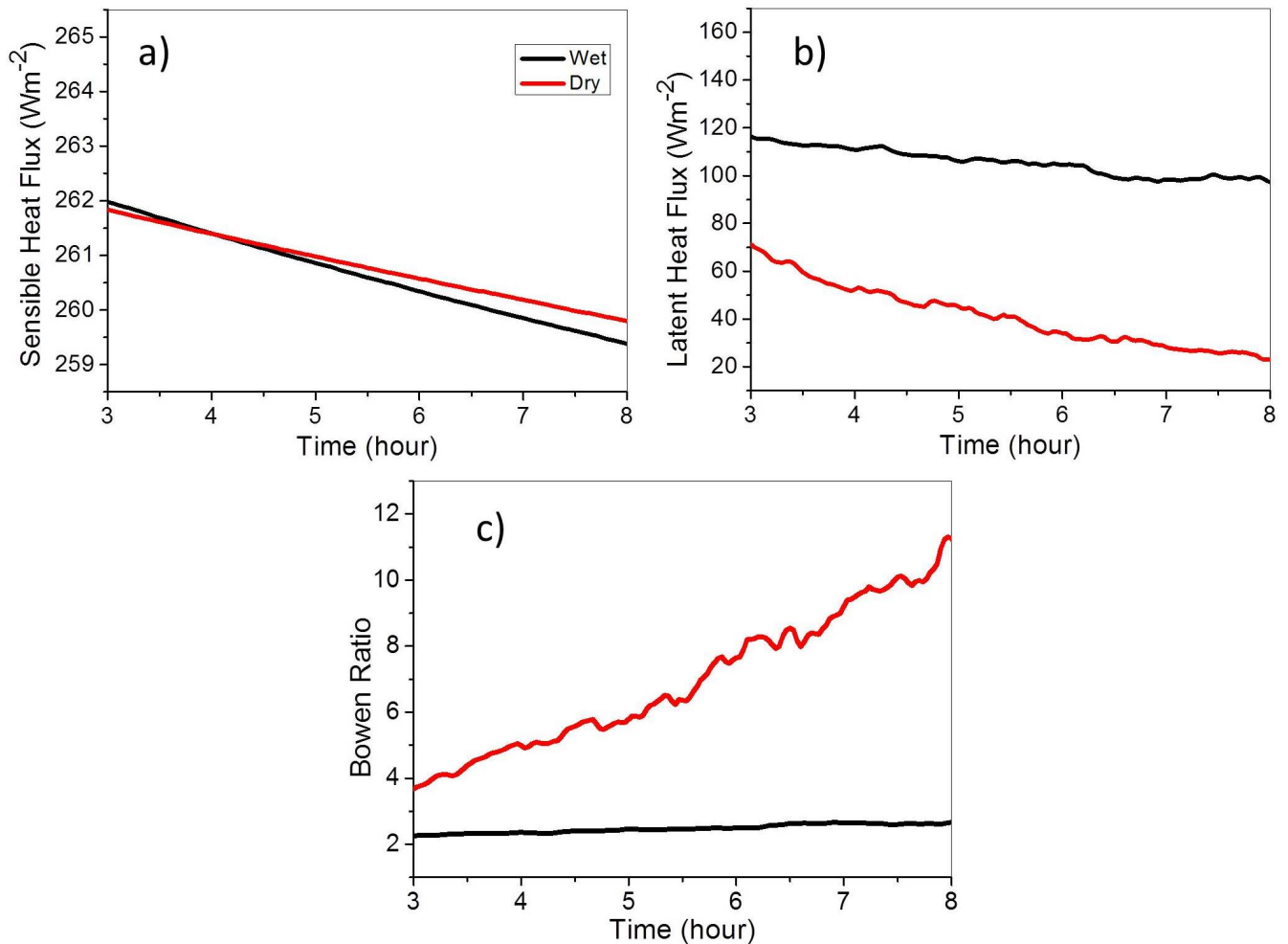
344 results. LCL height for the wet case is around 1.1 km, significantly lower than that for the dry case (around 1.6 km) due to  
345 moisture availability near the surface.

346 The model used for the two case simulations is the NCAR Weather Research and Forecasting (WRF) model (Skamarock  
347 et al., 2005) ran in the LES mode. The horizontal domain of 20 by 20 km<sup>2</sup> applies the 100 m grid length. The 10-km deep  
348 vertical domain is covered with a uniform grid with a 50-m vertical spacing. The model is run in an idealized manner for 8 hours  
349 applying surface fluxes derived from initial prescribed constant surface temperature and moisture values. Both simulations are  
350 initialized with radiosonde observations shown in Fig. 8. Surface conditions are prescribed from micrometeorological tower  
351 based observations of temperature, water vapor. Time step used in the simulation is 1 second. Since the simulations start with  
352 horizontally-uniform conditions and require spin-up time to develop small-scale circulations and clouds, we present model  
353 results starting from hour 3. Figure 9 shows evolution of surface sensible and latent heat fluxes and Bowen ratio between hours  
354 3 and 8. The sensible heat fluxes change little during the simulations, but latent fluxes decrease significantly, especially in the  
355 dry case. The initial total surface heat flux is about 20 Wm<sup>-2</sup> larger in the wet case and the difference increases as simulations  
356 progress. This implies that the surface total heat flux is larger in wet case and the difference between two simulations increases  
357 with time. The Bowen ratio is approximately 2 at the onset of two simulations. It remains close to 2 for the wet simulation, but  
358 increases to values around 12 at hour 8 for the dry case.

359 For the wet case, initial sounding features already a well-identifiable mixed layer (at least for the potential temperature),  
360 and the surface energy and the Bowen ratio change little throughout the simulation. Thus, the boundary-layer height increases  
361 steadily throughout the simulation, as shown in Fig. 10. The increase of boundary layer height in the wet case is accompanied  
362 by the increase of cloud base height. The depth of the cloud field, however, increases at a higher rate, from about 2.5 km at  
363 hour 3 to about 5 km at hour 8. For the dry case, mixed layer is absent in the initial sounding, and thus it rapidly develops  
364 during the initial couple hours of the simulation. Boundary layer depth is about 1 km at hour 1 (not shown) and about 2.2 km  
365 at hour 3. The rate then decreases significantly and boundary layer deepens subsequently at a rate comparable to the wet case,  
366 about 100 m per hour. The cloud base height rises at a similar rate, and cloud field depth remains quite steady at around 2 km  
367 between hours 3 and 8. The presence of a deep inversion between 4.5 and 5 km (see Fig. 9) provides an efficient lid for the  
368 convective development.

369 The changes in cloud field between hour 5 and 8 are illustrated in Fig. 11 that shows corresponding cloud fraction profiles  
370 for the two simulations. The figure illustrates increase of cloud base heights with time that are similar for dry and moist cases,  
371 a significant deepening of the cloud field in moist case, and the impact of inversion between 4.5 and 5 km for the dry case that  
372 results in almost 100 % cloud cover within the inversion.

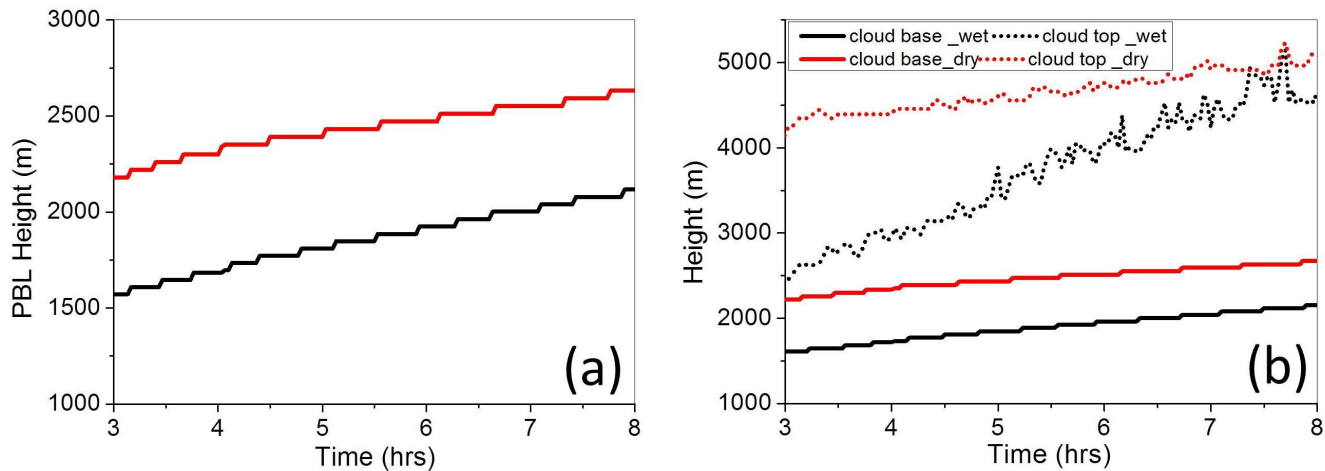
373 In summary, high-resolution simulations of contrasting realistic cases observed over Indian subcontinent illustrate the impact  
374 of surface forcing and highlight the role of specific free-tropospheric conditions for convective development and cloud fraction.  
375 The latter are no doubt responsible to some spread of the observed convective environments apparent in Pune sounding data  
376 analyzed in section 3.



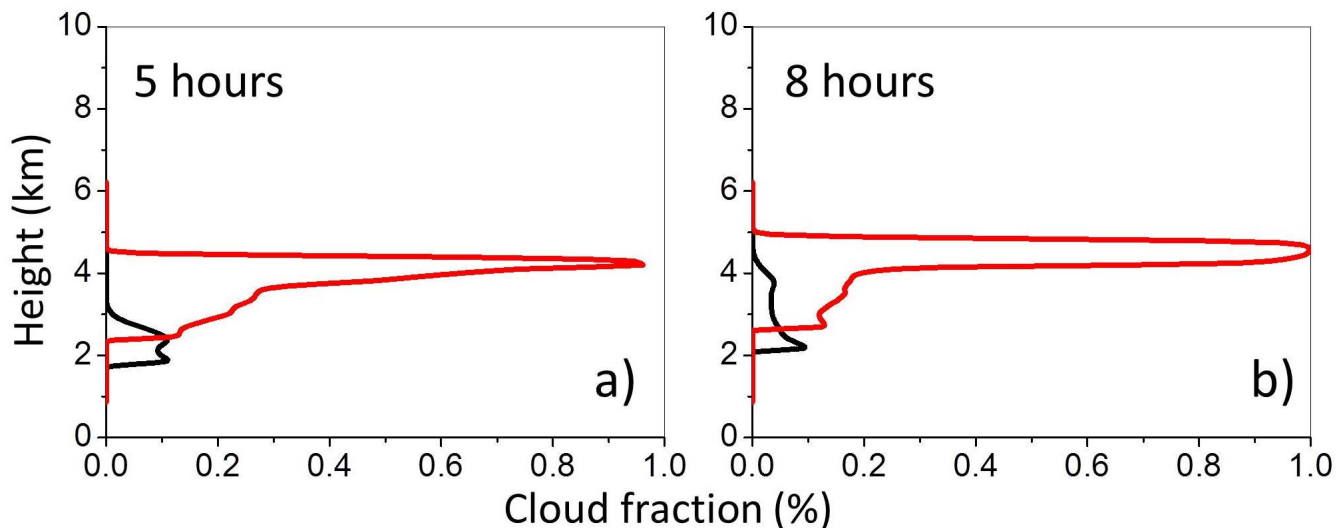
**Fig. 9.** Evolution of (a) sensible heat flux, (b) latent heat flux, and (c) Bowen ratio in simulations of dry and wet cases from the IGOE field project. Red/black lines are for dry/wet case.

## 377 5.2 Idealized simulations of daytime convective development over land: the LBA case

378 Since the first set of simulations applied highly idealized forcing, we use another pair of simulations that aim at simulating  
 379 daytime convective development over land, starting from the cloud-free morning sounding and finishing with the mid-day deep  
 380 convection. We apply the case developed in Grabowski et al. (2006) where observations from Amazon region motivated the  
 381 design of a simple modeling case. The case features formation and deepening of the cloud-free convective boundary layer in  
 382 the early morning hours, development of shallow convection in the late morning, and transition to deep convection around the  
 383 local noon. The 6-hour simulation covers period from 7.30 am local time (approximately at the sunrise) to the mid-day hours  
 384 (1.30 pm local time). It starts from horizontally-homogeneous morning sounding and is forced by increasing surface latent and



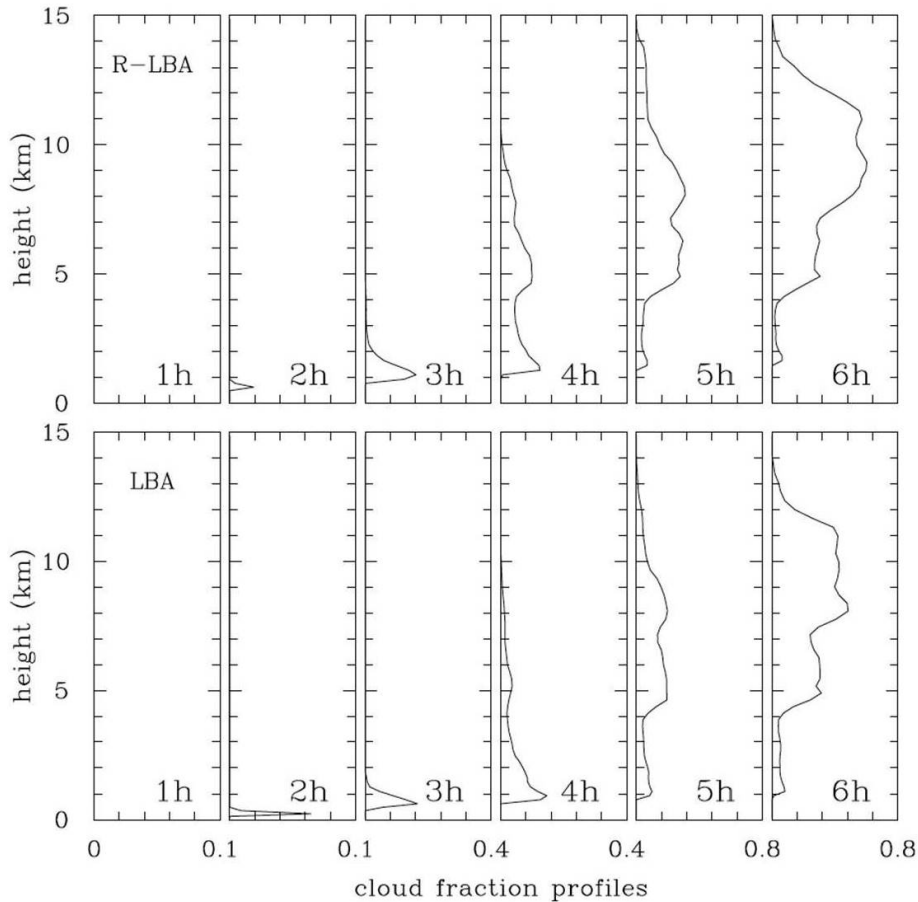
**Fig. 10.** Evolution of (a) PBL height and (b) cloud base and cloud top heights from dry and wet simulations of the IGOE field project. Red/black lines are for dry/wet case.



**Fig. 11.** Cloud fraction profiles at (a) hour 5 and (b) hour 8 from dry and wet simulations of the IGOE field project. Red/black lines are for dry/wet case.

385 sensible heat fluxes mimicking effects of the increasing daytime surface insolation. This case has been used in several past  
 386 studies, such as Khairoutdinov and Randall (2006), Grabowski (2015) and Grabowski and Morrison (2016, 2017). We apply  
 387 the microphysical setup based on Grabowski (1999), that is, the one referred to as IAB in Grabowski (2015).

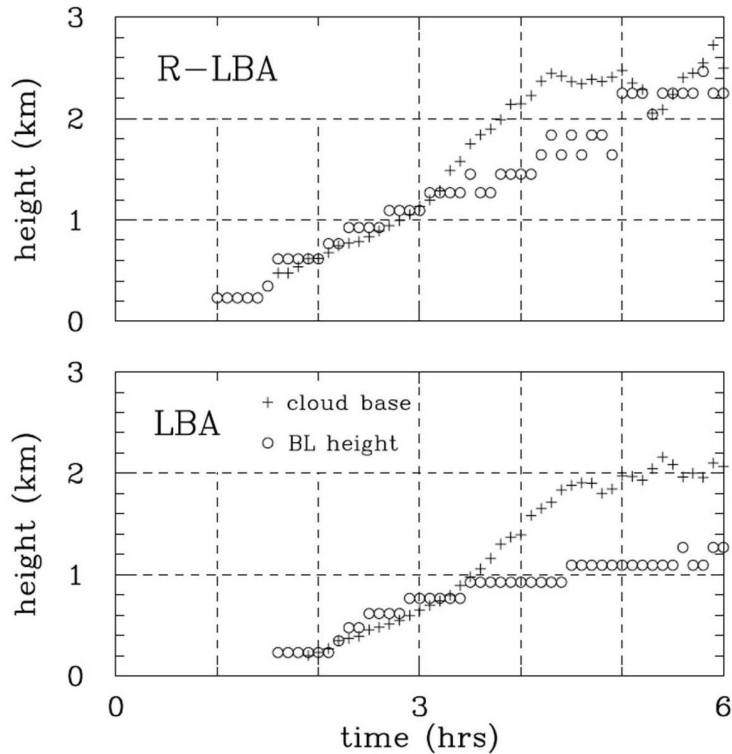
388 Two simulations are performed. The first simulation, referred to as LBA, follows original setup and features significantly  
 389 larger surface latent flux compared to the sensible flux, with the Bowen ratio between 0.4 and 0.5 as the surface fluxes evolve



**Fig. 12.** Cloud fraction profiles at hour 1, 2, 3, 4, 5, and 6 from (upper panels) R-LBA and (lower panels) LBA simulations.

390 (this is similar to wet cases during the Indian monsoon season). Surface fluxes are switched in the second simulation, that is,  
 391 the sensible flux takes values of the latent flux and the latent flux assumes values of the sensible flux. This simulation is referred  
 392 to as reversed LBA, or R-LBA, and it features the surface Bowen ratio between 2.0 and 2.5. According to Fig. 2, such a change  
 393 approximately doubles the buoyancy to energy flux ratio, from about 0.4 to about 0.7. One thus should expect significantly  
 394 deeper boundary layer to develop during the course of the R-LBA simulation.

395 The model used in the two simulations is the same as in Grabowski (2015) and Grabowski and Morrison (2016, 2017),  
 396 referred to as babyEULAG, a simplified version of the EULAG model (see <http://www2.mmm.ucar.edu/eulag/>). Since the  
 397 interest is in the boundary layer development, we apply a higher horizontal resolution with horizontal grid length of 200 m and  
 398 the same stretched vertical grid as in Grabowski (2015) and Grabowski and Morrison (2016, 2017). The horizontal domain  
 399 is 24 x 24 km<sup>2</sup>. Overall, one can argue that differences between LBA and R-LBA towards the end of the simulation should  
 400 be relevant to the differences in the mid-day soundings between dry pre-monsoon and humid monsoon situations discussed  
 401 earlier.



**Fig. 13.** Evolution of the cloud base height (plus signs) and the boundary layer height (circles) in R-LBA (upper panel) and LBA (lower panel) simulations. Dashed line are included to highlight differences between the simulations.

402 Figure 12 and Fig. 13 summarize results of the two simulations pertinent to the impact of the surface flux Bowen ratio on  
 403 convective development. Figure 12 shows profiles of the cloud fraction in 1 hour intervals from 6-hour long LBA and R-LBA.  
 404 Overall, the profiles evolve in a quite similar way, with only shallow clouds at hour 2 and 3, and deep convection present at hour  
 405 5 and 6. The profiles at hour 4 correspond to the shallow-to-deep transition period. The differences in the cloud base height  
 406 in the simulations are apparent, with R-LBA (higher Bowen ratio) featuring higher mean cloud base. Figure 13 shows the  
 407 evolution of the mean cloud base height together with the evolution of the estimated height of the boundary layer. As the figure  
 408 shows, boundary layer depth is up to twice as deep in the R-LBA case than in the LBA case, especially between hours 2 and  
 409 3 and during the hour 5 of these simulations. The cloud base height and the height of the boundary layer top track each other  
 410 well up to the onset of a significant precipitation after hour 3. The difference between the two heights is especially evident in  
 411 the LBA case as boundary layer height changes little during the two final hours. Specific differences between LBA and R-LBA  
 412 in the last two hours of the simulations may not be statistically significant due to the small domain size.  
 413 Overall, differences simulated in LBA and R-LBA cases highlight the impact of surface flux Bowen ratio and provide additional  
 414 support for its role in the difference between pre-monsoon and monsoon soundings.

## 415 6 Summary

416 Thermodynamic soundings released around local noon for several pre-monsoon and monsoon seasons over Indian subcontinent  
417 were analysed. Various parameters, such as pseudo-adiabatic parcel buoyancy, Lifting Condensation Level (LCL), Level of  
418 Free Convection (LFC), Level of Neutral Buoyancy (LNB), Convective Available Potential Energy (CAPE), cumulative CAPE  
419 (cCAPE) were derived applying pseudo-adiabatic parcel model. Overall, pre-monsoon soundings show more variability of  
420 surface and free-tropospheric conditions as documented in Fig. 1. For the surface, the key is availability of surface moisture  
421 in both pre-monsoon and monsoon environments, whereas variability of free tropospheric temperature and humidity for pre-  
422 monsoon is arguably because of the impact of factors other than deep convection itself, for instance, the large-scale dynamics.

423 The pre-monsoon soundings feature higher cloud bases than monsoon soundings. We argue that this is a consequence of  
424 partitioning of surface energy flux into its sensible and latent components as expressed by the Bowen ratio. For large Bowen  
425 ratios (sensible surface flux is much larger than latent flux), the ratio between the buoyancy to energy flux is close to one, that  
426 is, all surface flux contributes to buoyancy flux that drives boundary layer dynamics. For small Bowen ratios (i.e., sensible  
427 surface flux much smaller than latent flux), only about 10 % of the energy flux is used for surface buoyancy flux (see Fig. 2).  
428 We argue that the partitioning of surface energy flux into its sensible and latent components determines variations in the LCL  
429 height as illustrated by observed rapid changes in Bowen ratio and LCL height near monsoon onset and illustrated in idealized  
430 numerical simulations. Observations of LCL height and Bowen ratio during the pre-monsoon to monsoon transition illustrate  
431 rapid and concurrent changes, with the Bowen ratio and LCL height decreasing significantly as the monsoon sets in. The impact  
432 of surface forcing on the evolution of boundary layer and moist convection is also illustrated through numerical simulations  
433 that complement sounding analysis.

434 The sounding data show that LCL height is linearly related to surface level moisture content with some scatter around the  
435 perfect linear relationship (Fig. 3a). The scatter is eliminated when surface level relative humidity ( $RH$ ) is used as a measure  
436 of surface layer moisture content (Fig. 3b). A theoretical basis for such a relationship is developed, see Eq. 3. The theoretical  
437 relationship between LCL height and surface level  $RH$  mimics the relationship obtained with the parcel model. However, a  
438 significant offset is present between the theoretical LCL height and LCL predicted by the parcel model. The offset is argued  
439 to most likely come from the presence of surface superadiabatic layer not considered in the theoretical argument. The general  
440 consistency between theoretical and parcel-model derived relationships between LCL height and surface moisture (Fig. 3)  
441 supports the conjecture that surface forcing determines LCL height. This should be expected in high-insolation pre-monsoon  
442 and monsoon conditions when surface forcing due to diurnal cycle drives formation of well-mixed convective boundary layer  
443 in the morning and development of deep convection at later hours.

444 Overall, LNB and CAPE vary more for the pre-monsoon soundings. Large CAPE pre-monsoon soundings are characterized  
445 by maximum pseudo-adiabatic parcel buoyancies that are similar to monsoon soundings. With a few monsoon exceptions,  
446 low LNB and thus low CAPE soundings are present only for pre-monsoon environment. For both pre-monsoon and monsoon  
447 soundings LNB and CAPE are linearly related to the surface  $q_v$  with a larger scatter for the pre-monsoon environment. In  
448 general, neither surface  $q_v$  nor surface  $\theta_e$  are good predictors of the parcel maximum pseudo-adiabatic buoyancy, although

449 there is a general increase of the maximum buoyancy and CAPE with the increase of either the surface  $q_v$  or  $\theta_e$ . The increase  
450 is along different paths for pre-monsoon and monsoon soundings, see Fig. 4 and 5. The scatter is small for monsoon cases, no  
451 doubt because of smaller variability of free-tropospheric structure as documented in Fig. 1.

452 In this study, we consider changes of the Bowen ratio as the controlling factor rather than the effect of monsoon precipitation.  
453 Because monsoon precipitation changes the surface moisture availability, the Bowen ratio is both the effect (say, on longer  
454 time scales) and the cause (say, on a daily time scale) of the differences in convection and precipitation. This brings the issue  
455 of the soil – precipitation (S-P) feedback. Land surface parameters such as soil moisture, vegetation cover etc., collectively  
456 determine the surface energy balance that influences turbulent motions and boundary layer depth (Jones and Brunsell, 2009).  
457 Arguably, of all the surface properties, soil moisture has the largest impact on the Bowen ratio. Soil moisture has the memory  
458 of atmospheric processes (Orlowsky and Seneviratne, 2010), it responds to precipitation variability, and affects precipitation  
459 through evaporation (Douville et al., 2001). The S-P feedback has been studied in the past for the Indian monsoon region.  
460 For instance, Asharaf et al. (2012) found that the pre-monsoon soil moisture significantly influences monsoonal precipitation.  
461 However, for a single day, the Bowen ratio acts as the controlling factor, especially for the semi-arid region presented in the  
462 present study. This has been demonstrated in several studies. Rabin et al. (1990) studied the observed variability of clouds  
463 over a landscape using a one-dimensional parcel model, attributing changes in cloudiness to changes in the Bowen ratio. Our  
464 study points to previous findings by Rabin (1977) which notice that on moist days clouds develop earlier over places with low  
465 Bowen ratio, and on dry days convection occurs sooner over regions with higher Bowen ratio. Lewellen et al. (1996) considered  
466 the impact of the Bowen ratio on boundary layer clouds using Large Eddy Simulations (LES). They suggest that lower cloud  
467 ceilings occur for low values of the Bowen ratio. Schär et al. (1999) conducted simulations using a regional climate model  
468 focusing on the S-P feedback and noticed that wet soils with small Bowen ratio produces shallow boundary layer. All these  
469 studies are consistent with the picture emerging from our analysis.

470 It may be important to mention that soil moisture has different scales of variability from a few minutes to several months and  
471 holding the memory into subsequent seasons. The surface fluxes are also controlled by the net insolation. Although the latent  
472 height flux remains high during the monsoon period, the sensible heat flux increases during the break periods and this leads to  
473 slight variations in the Bowen ratio as indicated in Fig. 7. However, during the monsoon season, the Bowen ratio stays close to  
474 2, mostly due to consistently high latent heat flux. It comes from both the surface and vegetation through evapotranspiration.  
475 Consistent cloudiness during the season reduces incident radiation, which gives lower sensible heat flux. A detailed study of  
476 surface fluxes and relation with clouds and radiation can be found in Urankar et al. (2012), indicating that clouds also have a  
477 significant feedback on the surface energy budget.

478 This study is not adequate to explain active and break period characteristics of monsoon convective environments. Relevant  
479 studies concerning monsoon active and break periods (e.g., Pai et al., 2016; Rajeevan et al., 2010) introduce classification  
480 based on the weather properties over the monsoon core region that covers most of the central India. Our study considers high  
481 resolution radiosonde measurements where the data is collected over a single location over the Indian subcontinent. The local  
482 data are insufficient to explain active break conditions because of the significant spatio-temporal variability of the monsoon.

483 Finally, results presented in this paper should help understanding effects of aerosols, dramatically different between highly-  
484 polluted pre-monsoon environment and relatively clean environment during the monsoon, on moist convection over Indian  
485 subcontinent. Understanding dynamical effects, for instance, partitioning of the surface heat flux into its sensible and latent  
486 components and how the partitioning affects cloud base height and cloud buoyancy, is required for a confident selection of  
487 deep convection cases suitable for cloud seeding, the target of the ongoing Indian precipitation enhancement program (Prabha  
488 et al., 2011; Kulkarni et al., 2012; Prabha, 2014).

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