### **Response to reviewer**

# Reviewer comments are in black. Author responses are in blue. Reported changes in the manuscript are in italic.

### Summary

The revised manuscript presented by Visioni et al. provides an interesting new perspective on sulfate geoengineering which was not previously available from the literature. Lack of novelty in the manuscript was a concern of both myself and reviewer #1, but for my part I believe this concern to now have been addressed. The authors now confront directly the question of interactions between the QBO, sulfate geoengineering, and impacts (using the proxy of sulfate deposition), which adds a valuable contribution relative to existing work by Kravitz et al. and highlights the novelty of these findings. The authors have also made an effort to further caveat their findings and to highlight differences between modeled geoengineering, observed volcanic impacts, and the true effects of any future geoengineering, which was my second major concern and one highlighted by another commenter. As such I believe that the manuscript presents results which are both novel and interesting enough to warrant publication in ACP.

The authors have addressed all of my comments from the first review directly and to my satisfaction, in each case either instituting significant rewrites or providing compelling explanations for their decision not to do so. However, I believe that there are still some areas where the manuscript would benefit from changes. As such, I recommend that the manuscript undergo some minor technical corrections. I have one concern regarding scope which is presented as a major comment, followed by a list of purely typographical or stylistic corrections and suggestions presented as minor comments.

We would like to thank the reviewer again for his kind comments regarding the manuscript, and for his helpful comments that greatly improved our original work.

# Major comments

The study addresses the question of the effects that injection of sulfur dioxide might have on sulfur deposition, but this is not the only way in which sulfate geoengineering has been proposed. Alternative methods such as direct emissions of sulfur acid have been discussed (e.g. Pierce et al 2010, Keith and MacMartin 2015) and may produce different outcomes with respect to aerosol size distribution. For moderate-to-large injection rate scenarios such as that presented in this study, the rate of cross-tropopause flux as a function of latitude may be affected by this assumption. The authors should caveat that some (although certainly not all) of the results may not hold or may change if direct injection of sulfur trioxide or sulfuric acid is used, due to the different microphysical circumstances.

We believe this point to be a great addiction to the discussion. We have added to the conclusion a sentence commenting about different injection strategies that could potentially produce different size distributions and thus different cross-tropopause fluxes, including the suggested citations. In the conclusions we now state: "However, it should be noted that our results and the previous discussion only focus on sulfate geoengineering considering a stratospheric injection of SO<sub>2</sub>, with the subsequent microphysical processes previously discussed (gas condensation, coagulation, sedimentation). It might be possible to obtain different results in terms of size distribution of the sulfate particles if a different injection strategy is designed, for instance using sulfuric acid or

sulfur trioxide (Pierce et al., 2010; Keith and MacMartin, 2015), thus producing different results in term of cross tropopause fluxes, and finally in term of surface sulfur deposition."

# Minor comments

The new section dedicated to QBO impacts on sulfate deposition (section 4.2) is a welcome addition, in particular the new Figure 14. However, it is clear that the opening text of the section was written separately from the rest of the section. An opening sentence for section 4.2 to introduce the purpose of the section would help to make the manuscript flow better. It would also help the reader to have this section referenced more explicitly throughout the text.

We have added a short sentence, as suggested. The beginning of section 4.2 now states: "In this section we show how our results discussed in Section 3 regarding the role of SG strat-trop downward fluxes can be linked to the sulfate deposition flux changes discussed in Section 4.1 and shown in Fig. 10a. In order to do so, we present in Fig. 13a the time averaged G4-Base changes of the downward cross-tropopause sulfur flux, for the ULAQ-CCM."

Throughout the introduction (e.g. page 2, line 15) the authors discuss prior investigations of sulfate geoengineering, but do not state the size of the injections in each case. I recommend that, where possible, the injection sizes are quantified to provide context to the reader.

We have added this information whenever possible, thank you.

There are a number of typographical and grammatical errors in the manuscript. I have done my best to find them (listed below) but recommend the authors take another pass to clear any remaining errors from the manuscript. For each entry below, page X, line Y is listed as PX LY:

P1 L22: "and the sequent" should be "and the subsequent" Corrected.

P1 L25: "is one of techniques" should be "is one of the techniques" Changed.

P2 L20: "such those" should be "such as those" Corrected.

P3 L25: "pointing out to the" should be "pointing out the" Corrected.

P16 L9: "seeTrepte" is missing a space Added.

P16 L15: "so that they result anti-correlated" should be "such that they are anti-correlated" Modified.

P16 L20 (and throughout): the "mu" for "micro" should not be italicized This is a problem with how Latex requires greek letters to be inserted in the document class required by ACP (through math equations). In our experience, in the copy-edit version greek letters are not italicized anymore.

P22 L12: this should be "1-2 days", rather than using a division sign

Substituted.

P22 L33: "results to be" should be "is" Changed.

P24 L22: two more instances of an incorrect division sign Modified.

P29 L19: "The QBO important role" should be "The QBO's important role" Modified.

P29 L29: "consistently" should be "consistent" Corrected.

P34 L3: "point out to an" should be "point to an" Modified.

P34 L16: Need an "and" before "circulation changes" Modified.

References

Keith, D. W. and MacMartin D. G., 2015. A temporary, moderate and responsive scenario for solar geoengineering. Nat. Clim. Chang. 5 201–206 (2015) doi:10.1038/nclimate2493

Pierce, J. R., Weisenstein D. K., Heckendorn P., Peter T. and Keith D. W., 2010. Efficient formation of stratospheric aerosol for climate engineering by emission of condensable vapor from aircraft. Geophys. Res. Lett., 37, Online: <u>http://doi.wiley.com/10.1029/2010GL043975</u>

Added.

# Sulfur deposition changes under sulfate geoengineering conditions: QBO effects on transport and lifetime of stratospheric aerosols

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#### Abstract.

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Sustained injection of sulfur dioxide (SO<sub>2</sub>) in the tropical lower stratosphere has been proposed as a climate engineering technique for the coming decades. Among several possible environmental side effects, the increase of sulfur deposition deserves additional investigation. In this study we present results from a composition-climate coupled model (ULAQ-CCM) and a chemistry-transport model (GEOS-Chem), assuming a sustained lower stratospheric equatorial injection of 8 Tg-SO<sub>2</sub>/yr. Total S-deposition is found to globally increase by 5.2% when sulfate geoengineering is deployed, with a clear interhemispheric asymmetry (+3.8% and +10.3% in NH and SH, due to +2.2 Tg-S/yr and +1.8 Tg-S/yr, respectively). The two models show good consistency, both globally and on regional scale under background and geoengineering conditions, except for S-deposition changes over Africa and the Arctic. The consistency is on time averaged values, but also on monthly and inter-annual deposition

- 10 changes. The latter is driven essentially by the variability of stratospheric large-scale transport associated to the quasi-biennial oscillation (QBO). Using an externally nudged QBO, it is shown how a zonal wind E-shear favors aerosol confinement in the tropical pipe and a significant increase of their effective radius (+13% with respect to W-shear conditions). The net result is an increase of the downward cross-tropopause S-flux over the tropics with dominant E-shear conditions respect to W-shear periods (+0.61 Tg-S/yr, +42%, mostly due to enhanced aerosol gravitational settling) and a decrease over the extratropics (-
- 15 0.86 Tg-S/yr, -35%, mostly due to decreased large scale strat-trop exchange of geoengineering sulfate). This translates into S-deposition changes that are significantly different under opposite QBO wind shears, with an E-W anomaly of +0.32 Tg-S/yr in the tropics and -0.67 Tg-S/yr in the extra-tropics. Most online QBO schemes predict a significant change of the zonal wind periodicity, up to a blocked E-shear condition for large enough injections, so that our results indicate an upper limit tropical increase of S-deposition by 16.5% relative to average conditions of unperturbed QBO periodicity and a correspondent
- 20 extratropical S-deposition decrease by 16%.

#### 1 Introduction

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The evidences of the increase in greenhouse gases (GHGs) due to anthropogenic emissions and the sequent subsequent increase in surface temperatures has started discussions on the possibility of temporarily altering the climate to alleviate some of the consequences. Injecting sulfur dioxide (SO<sub>2</sub>) in the tropical lower stratosphere in order to simulate the cooling effects of explosive volcanic eruptions is one of the techniques proposed for this purpose. In the case of explosive eruptions, the cooling

effect comes from the increase in stratospheric aerosol optical depth (by one order of magnitude or more) due to the nucleation and condensation of  $H_2SO_4$  formed through OH oxidation of the initial volcanic  $SO_2$  cloud injected into the stratosphere (McCormick and Veiga (1992); Lambert et al. (1993) ; Long and Stowe (1994)). These gas-particle microphysical processes, coupled to additional aerosol growth due to coagulation, produce an optically active cloud which is highly reflective in the

- 5 visible and UV, causing a substantial decrease in solar radiation reaching the Earth surface and, subsequently, a global surface cooling. This same effect could in principle be achieved by deliberately injecting SO<sub>2</sub> into the stratosphere (Budyko (1974); Crutzen (2006); Niemeier and Tilmes (2017)). However, other direct and indirect effects have been observed together with the surface cooling, such as a 2-3 K warming of the tropical lower stratosphere after the Pinatubo eruption (Labitzke and Mc-Cormick (1992)) and a decrease of about 20 DU of the tropical ozone column in the 16-28 km layer during October-November
- 10 1991 (Grant et al. (1992)).

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Many studies have already been carried out regarding possible side-effects of sulfate geoengineering (SG) (Visioni et al. (2017a)), mainly under the Geoengineering Model Intercomparison Project (GeoMIP), where several different model experiments regarding SG have been devised (Kravitz et al. (2011); Robock et al. (2011); Kravitz et al. (2012)), considering a background anthropogenic forcing profile corresponding to Representative Concentration Pathway 4.5 (RCP4.5) (Taylor et al. (2012)). In particular, the G4 experiment described in (Kravitz et al. (2011)) aims to simulate a constant injection of a certain number of Tg-S/yr into the lower stratosphere. Regarding possible effects on ozone, an enhancement of stratospheric ozone destruction has been reported in Tilmes et al. (2008), with subsequent significant increase of surface UVB in the polar re-

gions (Tilmes et al. (2012)) and together with a general decrease in upper tropospheric ozone due to perturbed strat-trop fluxes

- 20 (Xia et al. (2017)). An increase in the concentration and lifetime of methane has also been found (Visioni et al. (2017b)) for the same injection magnitude of this study. Coordinated modeling experiments, such as those under the umbrella of the on-going SPARC-CCMI intercomparison, have been suggested by Tilmes et al. (2015), through the use of a prescribed field of surface area density of stratospheric sulfate aerosols, in order to bound model uncertainties pointed out in Pitari et al. (2014).
- 25 Dynamical changes and perturbations in the transport of stratospheric tracer species, due to the local stratospheric heating and to the cooling of the surface have already been studied regarding volcanic sulfate particles, as documented in a rather rich literature (e.g. Pitari (1993); Kirchner et al. (1999); Soden et al. (2002)). The increase of aerosol heating rates in the tropical lower stratosphere affects the stratospheric mean meridional circulation, while at the same time the changing atmospheric stability (due to the surface cooling) alters the planetary wave propagation in the mid-to high latitude lower stratosphere. Re-
- 30 garding possible side effects of SG, a study by Aquila et al. (2014) analyzed the effects on the quasi-biennial oscillation (QBO), a periodic oscillation between zonally symmetric easterly and westerly winds that may significantly impact the whole stratospheric dynamics, as for example the strength of the polar vortex (Holton and Tan (1980)) and the transport of stratospheric aerosols and trace gases from the tropics to mid-high latitudes (Trepte and Hitchman (1992)). In the aforementioned study by Aquila et al. (2014), further confirmed by Niemeier and Timmreck (2015), a prolonged QBO westerly phase in the lower
- 35 stratosphere was found as a consequence of SG, with larger SO<sub>2</sub> injections producing increasing heating rates and finally larger

# QBO perturbations. While Aquila et al. (2014) used a 5 and 10 Tg-SO<sub>2</sub>/yr injection at two different altitudes (15-26 km and 22.5-25 km), Niemeier and Timmreck (2015) reached injections of up to 200 Tg-SO<sub>2</sub>/yr.

The lifetime of tropical aerosols in the lower stratosphere may change under different QBO conditions, since the latter controls the isolation of the tropical pipe, thus reducing the amount of large scale transport in the downwelling branch of the Brewer-Dobson circulation. In particular, the stratospheric aerosol lifetime during volcanic eruptions taking place under a QBO easterly shear of the equatorial winds (e.g. Nevado del Ruiz, Pinatubo) has been shown to be longer with respect to the lifetime for eruptions under a QBO westerly wind shear (e.g. Agung, El Chichón) (Pitari et al. (2016b); Pitari et al. (2016a)).

- 10 Moreover, a question that often arises regarding SG, is how much the injection of sulfate would affect its deposition, and whether this deposition would take the form of acid rain by considering which portion of deposition is wet and which is dry. Early results on this problem have been obtained by Kravitz et al. (2009), with a simulated 5 Tg-SO<sub>2</sub>/yr injection, who found that the additional sulfate deposition (in their studies, considered as if all deposition is in the form of sulfuric acid) would not be enough to have any impact on ecosystem throughout the globe (see also the available addendum correction, Kravitz et al.
- 15 (2010), that does not change the overall conclusions of the previous paper, as the authors state). In the present work, we plan to expand on their findings further, by including the QBO effects on the stratospheric circulation, to see if these dynamical oscillations may produce significant changes in S-deposition.

This paper is organized in 3 subsequent parts, plus the conclusions. In the first part we describe the two models used in
the experiment (University of L'Aquila Composition-Chemistry Model ULAQ-CCM and Goddard Earth Observing System
Chemistry-Transport Model GEOS-Chem). In the second part we analyze how the lifetime of geoengineering sulfate aerosols
can be correlated to stratospheric circulation changes and different QBO phases, in order to better understand the mechanisms
regulating the sulfur deposition time variability. The latter will be shown to be mostly produced by QBO-driven stratospheric
circulation changes and induced modifications of the aerosol size distribution. In the third part, we first evaluate the model
results of baseline S-deposition with independent multi-model simulations and available surface observations. After that, we
quantify the time-averaged continental-scale deposition changes produced by SG, pointing out to the role of different QBO

wind shears in regulating the latitudinal distribution of S-deposition changes. We finally highlight a possible upper limit latitudinal modification of the time-averaged S-deposition in the case SG aerosol heating rates are allowed to feedback on the QBO itself.

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#### 2 Description of models

In this section, we present a compact description of the two models used in this experiment. The choice to use a chemistryclimate model (CCM) and a chemical transport model (CTM) stems from the need to account for changes of the stratospheric circulation, attributable to chemical and radiative interactions of geoengineering sulfate aerosols. The ULAQ-CCM was already tested in similar conditions both for large explosive volcanic eruptions (Pitari et al. (2016b); Pitari et al. (2016a)) and sulfate geoengineering (Pitari et al. (2014); Visioni et al. (2017b)). At the same time, we wanted to support the global CCM conclusions on sulfate deposition with the results of a 'transport-robust' and widely tested community model such as GEOS-Chem, a

5 CTM using observed meteorology from MERRA reanalysis.

We performed two sets of simulations with both models: an unperturbed (Base) case and a geoengineering perturbed (G4) case, with an injection of 8 Tg-SO<sub>2</sub>/yr in the equatorial stratosphere (between 18 and 25 km of altitude), as described in the GeoMIP G4 experiment (Kravitz et al. (2011)). The simulations were however performed during different time periods for ULAQ-CCM and GEOS-Chem. For the former, the simulated period is between 2020-2090, with analyses focusing on the 2030-2039 decade, with the Base and the G4 cases both taking place under the same background RCP4.5 scenario. For GEOS-Chem, on the other hand, the simulated period is between 1998 and 2005 (with 1998-99 for spin-up) and the simulations use assimilated dynamics for those years, both in the unperturbed and geoengineering perturbed experiments and with the same sulfur injection amount as in ULAQ-CCM for the G4 case. A third simulation was carried out with ULAQ-CCM as a reference 15 case during the historical period (1990-2010), in order to consistently evaluate model results on regional deposition against

GEOS-Chem results, independent multi-model simulations and available surface observations.

A compact summary of model features in these numerical experiments is presented in Table 1, along with the most relevant aerosol related quantities averaged over years 2000-2005 in GEOS-Chem and ULAQ-CCM reference case, and over 20 years 2030-39 for ULAQ-CCM Base (RCP4.5) and G4 simulations. The most important drivers of stratospheric sulfate aerosol formation, horizontal/vertical transport and removal are highly consistent in the two models, namely: SO<sub>2</sub> oxidation, tropical upwelling coupled to isentropic mixing out of the tropical pipe, tropospheric influx due to large-scale downwelling in the Brewer-Dobson lower branch and gravitational sedimentation. The same is also true for the calculated SO<sub>2</sub> and SO<sub>4</sub> lifetimes, with a somewhat longer lifetime for geoengineering stratospheric aerosols in GEOS-Chem with respect to ULAQ-CCM (i.e.,

13.5 months versus 12.1 months) mostly attributable to a larger effective radius of aerosols particles in the latter model. The assumption of a uniform SO<sub>2</sub> stratospheric injection in GEOS-Chem is also significant from this point of view, by keeping a larger fraction of geoengineering sulfate mass at higher altitudes over the tropical tropopause, with respect to ULAQ-CCM, which adopted a Gaussian distribution centred at 21.5 km. Global budgets of sulfur emission and deposition fluxes at the ground surface are also consistent between the two models.

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**Table 1.** Summary of model features in this experiment. Aerosol related quantities are averaged over years 2000-2005 in GEOS-Chem andULAQ-CCM reference, and over years 2030-39 for ULAQ-CCM Base (RCP4.5) and G4 simulations.

Model	GEOS-Chem	ULA	Q-CCM	
Years of simulation	1998-2005	1990-2010	2020-2050	
Type of simulation	Base + G4	Reference	Base (RCP4.5) + G4	
Ensemble size	1+1	2	1+2	
	$4^{\circ} \times 5^{\circ}, L72$	$5^{\circ} \times 6^{\circ}$ , L126		
Horizontal and vertical	hybrid pressure-sigma		ressure	
resolution	top: 0.01 hPa	top: 0.04 hPa		
Chemistry	On-line (strat + trop)		strat + trop)	
Dynamics	Assimilated <sup>1</sup>	Calculated <sup>2</sup>	Calculated <sup>3</sup>	
		Nudged (from	Nudged (iteration of	
QBO	Online (with	equatorial wind	observed cycles of	
	assimilated winds)	observations)	equatorial winds)	
Tropical w (mm/s)			+0.25 (Base)	
[30-70 hPa] [20S-20N]	+0.24	+0.25	+0.26 (G4)	
Altitude of equatorial injection	18-25 km		18-25 km	
of SO <sub>2</sub> in experiment G4	(uniform distribution)	-	(Gaussian distribution)	
Stratospheric sulfate aerosols	Bulk <sup>4</sup>		Calculated size distr <sup>5</sup>	
50 hPa equatorial effective	0.19 (Base)	Calculated size distr <sup>5</sup>	0.19 (Base)	
radius (µm)]	0.62 (G4)	0.19	0.78 (G4)	
Aerosol settling velocity (mm/s)	-0.09 (Base)		-0.09 (Base)	
[30-70 hPa] [20S-20N]	-0.34 (G4)	-0.09	-0.38 (G4)	
Stratospheric SO <sub>4</sub> flux out of	0.05 (Base)		0.04 (Base)	
the tropical pipe (Tg-S/yr)	2.31 (G4-Base)	0.04	2.55 (G4-Base)	
Stratospheric lifetime of	13.7 (Base)		12.6 (Base)	
SO <sub>4</sub> (months)	13.5 (G4-Base)	12.4	12.1 (G4-Base)	
Stratospheric lifetime of	27.9 (Base)		23.4 (Base)	
SO <sub>2</sub> (days)	29.0 (G4-Base)	27.5	32.1 (G4-Base)	
S-emission fluxes	$60 (SO_x)$	67 (SO <sub>x</sub> )	50 (SO <sub>x</sub> )	
(Tg-S/yr) [Base]	18 (DMS)	28 (DMS)	28 (DMS)	
	76.8 (total)	93.3 (total)	76.2 (total)	
S-deposition fluxes	44.1 (land)	54.0 (land)	38.0 (land)	
(Tg-S/yr) [Base]	32.7 (ocean)	an) 39.3 (ocean) 38.2 (o		
	4.0 (total) [5.2%]		4.0 (total) [5.2%]	
S-deposition flux changes	[3.2% NH 10.6% SH]		[4.4% NH 10.0% SH]	
(Tg-S/yr) [G4-Base]	1.5 (land)	-	1.8 (land)	
	2.5 (ocean)		2.2 (ocean)	

 $^1$  30-year reanalysis MERRA, at native horizontal resolution of  $0.5^\circ \times 0.666^\circ.$ 

<sup>2</sup> Sea surface temperatures from observations; calculated land temperatures.

<sup>3</sup> Surface temperatures from CCSM-CAM4, separately for RCP4.5 and G4 (Visioni et al. (2017b)).

<sup>4</sup> Effective radius calculated from sulfate volume density, using the fit of Grainger et al. (1995).

<sup>5</sup> Sectional approach (Pitari et al. (2002); Pitari et al. (2014))

The sulfur budget in both models is summarized in Tables 2-4, looking at integrated sulfur emission and deposition fluxes for baseline conditions over land, ocean and entire globe, including a comparison with data presented in Vet et al. (2014) and Lamarque et al. (2013). Both models are consistent with observations-multimodel coupled data of sulfur emission and depo-

sition fluxes reported in Vet et al. (2014), as well as with multimodel ensemble data reported in Lamarque et al. (2013) (see Table 2). Global DMS emission in GEOS-Chem is lower than in ULAO-CCM: these are in the lower and upper bounds of the variability shown in Lamarque et al. (2013). The global sulfur deposition is always somewhat smaller than the total  $SO_x$ +DMS emission, due to the 87% yield of DMS oxidation in SO<sub>2</sub>, which finally produces sulfate (as discussed in Lamarque et al.

- 5 (2013)); the remaining part goes into MSA aerosols, that are finally lost by wet deposition. The geoengineering SO<sub>2</sub> injection adopted in this study (8 Tg-SO<sub>2</sub>/yr, i.e., 4 Tg-S/yr) represents globally 5.1% of the baseline anthropogenic and natural sulfur emissions (see 3), and the resulting surface deposition represents 5.2% of the baseline deposition, with a significant interhemispheric asymmetry (3.8% and 10.3% in NH and SH, respectively, as a model average) (see Table 1). The latter is mostly due to the quasi-homogeneous tropospheric influx of sulfate formed in the stratosphere from a geoengineering equatorial  $SO_2$
- injection, and by the highly inhomogeneous amount of anthropogenic sulfur emissions in the boundary layer (mostly localized 10 in the Northern Hemisphere).

One important difference between the GEOS-Chem simulations performed here and ULAO-CCM is that the first adopts a bulk approach for stratospheric aerosols, whereas ULAQ-CCM predicts on-line the aerosol size distribution, with a more detailed calculation of the net sedimentation loss. The explicitly calculated effective radius (ULAQ-CCM) or indirectly derived 15 using the Grainger et al. (1995) method (GEOS-Chem) are both consistent with the SAGE-II derived estimates approximately one year after the Pinatubo eruption, with comparable integrated stratospheric sulfate mass (Pitari et al. (2014); Visioni et al. (2017b)). The breakdown of global SO<sub>x</sub> deposition fluxes, among SO<sub>2</sub>, SO<sub>4</sub> dry and wet deposition terms, is summarized in Table 4 for the two models, and a comparison is made with multimodel data presented in Lamarque et al. (2013). As expected, the deposition of geoengineering SO<sub>x</sub> (G4-Base) is greatly attributable to SO<sub>4</sub> wet deposition (85.8%), with a 11.5% due to

- 20
- $SO_x$  dry deposition (model averages).

Table 2. Integrated sulfur emission and deposition fluxes for baseline conditions over land, ocean and entire globe, for ULAQ-CCM and GEOS-Chem, compared to Vet et al. (2014) and Lamarque et al. (2013) values (Tg- S/yr).

	GEOS-Chem ULAQ		Vet et al. (2014)	Lamarque et al. (2013)	ULAQ-CCM	Lamarque et al. (2013)	
	[2000-05]	[2000-05]	[2001]	[2001]	[2030-39]	[2030 RCP4.5]	
Land total emissions	49.1	59.7	50.4	56	45.0	43	
Ocean total emissions	28.9	35.3	40.6	33	34.6	35	
Total globe emissions	78.0	95.0	91.0	$89\pm13$	77.6	$78\pm 6$	
Land total deposition	44.1	54.0	40.2	44	38.0	36	
Ocean total deposition	32.7	39.3	44.6	43	38.2	40	
Total globe deposition	76.8	93.3	84.8	$87\pm17$	76.2	76± 16	

#### Table 3. Breakdown of global sulfur emission fluxes (Tg-S/yr).

	GEOS-Chem	ULAQ-CCM	Lamarque et al. (2013)	ULAQ-CCM	Lamarque et al. (2013)
	[2000-05]	[2000-05]	[2001]	[2030-39]	[2030 RCP4.5]
$SO_x$	60	67	66	50	55
DMS	18	28	23	28	23
Total Base	78	95	$89\pm14$	78	$78\pm 6$
SO <sub>2</sub> geoengineering	4.0 [5.1%]	-	-	4.0 [5.1%]	-

**Table 4.** Breakdown of global  $SO_x$  deposition fluxes (percent).

	GEOS-Chem	Lamarque et al. (2013)	GEOS-Chem	ULAQ-CCM	Lamarque et al. (2013)	ULAQ-CCM
	[2000-05]	[2000]	[2000-05]	[2030-2039]	[2030 RCP4.5]	[2030-2039]
	Base		G4-Base	Base		G4-Base
SO <sub>2</sub> dry deposition	27.5		3.2	35.7		9.7
SO <sub>2</sub> wet deposition	9.8		1.2	6.6		4.2
SO <sub>4</sub> dry deposition	8.8		6.8	6.0		3.3
SO <sub>4</sub> wet deposition	53.9		88.8	51.7		82.8
$SO_x$ dry deposition	36.3	41.9	10.0	41.7	40.9	13.0
$SO_x$ wet deposition	63.7	58.1	90.0	58.3	59.1	87.0

Both models have been fully described in recent literature. For the sake of completeness, we report in the following two sub-sections some of the main model features, in particular those relevant for sulfur species and aerosols.

#### 2.1 ULAQ-CCM

- 5 ULAQ-CCM has been described in its first version in Pitari et al. (2002), and later in the framework of SPARC-CCMVal (Stratosphere-troposphere Processes And their Role in Climate Chemistry Climate Models Validation) and the on-going SPARC-CCMI (Chemistry-Climate Models Intercomparison) campaigns (Eyring et al. (2006); Morgenstern et al. (2010); Morgenstern et al. (2017)). Important model updates regarding horizontal and vertical resolution (now T21 with 126 log pressure levels), species cross sections and Schumann-Runge bands treatment, and upgrades of the radiative transfer code were described and tested in Pitari et al. (2014). This radiative module, crucial for a good prediction of the sulfate aerosol interaction
- with shortwave solar and longwave planetary radiation has been tested for tropospheric aerosols in SPARC-AEROCOM (Randles et al. (2013)) and also for stratospheric aerosols after major volcanic eruptions (Pitari et al. (2016b)). The shortwave radiative module uses a two-stream delta-Eddington approximation and operates on-line in the ULAQ-CCM. It is used for both photolysis rate calculations in ultra-violet (UV) to visible (VIS) wavelengths and also for solar heating rates and radiative
- 15 forcing in UV-VIS and solar near-infrared (NIR) bands. In addition, a companion broadband, k-distribution longwave radiative module is used to compute radiative transfer and heating rates in the planetary infrared spectrum (Chou (2001)).

The skills of the model regarding upper troposphere and lower stratosphere (UTLS) dynamics have been evaluated in multimodel assessment both in the tropical region (Gettelman et al. (2010)) and in the extra-tropics (Hegglin et al. (2010)). Particularly important for the geoengineering study discussed in the present study are the effects on lower stratospheric dynamics of the QBO and sea surface temperatures (SST). The ULAQ-CCM uses a nudged QBO extrapolated from an observed historical

5 data series (Morgenstern et al. (2017)). The treatment of surface temperatures, and their importance under a geoengineering scenario, has been discussed in Visioni et al. (2017b). ULAQ-CCM does not have a coupled ocean, but the simulation under a control scenario RCP4.5 and the geoengineering simulation G4 use different surface temperatures, that are externally calculated in a fully coupled atmosphere-ocean model (Community Climate System Model-Community Atmosphere Model v. 4.0 (CCSM-CAM4)).

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For the G4 simulations,  $SO_2$  is injected at 0° longitude on the equator, throughout the altitude range 18-25 km with a Gaussian distribution centered at 21.5 km. Stratospheric  $SO_2$  oxidation by OH (calculated on-line in the full chemistry module) produces  $SO_4$ . The resulting size distribution of supercooled  $H_2O-H_2SO_4$  particles is calculated in an aerosol microphysics module with sectional approach, starting from gas-particle interaction processes (homogeneous and heterogeneous nucleation,

15 sulfuric acid condensation, water vapor growth) and then including aerosol coagulation, gravitational settling and evaporation in the upper stratosphere.

Aerosol optical thickness and single scattering albedo are calculated as a function of wavelength at all model grid-points, with on-line calculation of up/down diffuse radiation and absorption of solar near-infrared and planetary radiation. Aerosol modulated radiative fluxes may then explicitly impact species photolysis and heating rates of ozone and aerosols. The sur-20 face area density of sulfate aerosols is calculated interactively in the model starting from the calculated size distribution of these particles, as well as for polar stratospheric cloud particles, which are also treated with a sectional approach (explicit microphysics, particle transport, impact on stratospheric denitrification and dehydration) without imposing thermodynamics equilibrium (Pitari et al. (2002); Butchart et al. (2010); Morgenstern et al. (2017)). This allows an explicit full coupling of 25 aerosol, chemistry and radiation modules in the ULAO-CCM; for this reason the acronym CCM (in this specific case) results to be more appropriate for 'composition-climate' rather than for 'chemistry-climate' model, as it usually stands for. Geoengineering sulfate aerosols (or those produced after major volcanic eruptions) may significantly perturb wavelength-dependent aerosol extinction, absorption and asymmetry parameter at all model grid-points, thus allowing on-line calculation of radiative flux perturbations, with consequent changes of  $O_2$  and  $O_3$  photolysis,  $O_3$  heating rates and aerosol heating rates in the solar and planetary infrared ranges (Pitari et al. (2014); Pitari et al. (2016b)). 30

In the troposphere, the ULAQ-CCM includes the major aerosol families (sulfate, nitrate, organic and black carbon, soil dust, sea salt). The sulfate aerosol module starts from DMS and SO<sub>2</sub> emissions (fossil fuel, biomass burning, non-explosive volcanoes) (Eyring et al. (2013);Lamarque et al. (2010)) and includes SO<sub>x</sub> chemistry with gas phase oxidation of DMS into SO<sub>2</sub>,

via reactions with OH (daytime) and NO<sub>3</sub> (night time), and gas phase and aqueous/ice SO<sub>2</sub> oxidation (by OH and  $H_2O_2$ ,  $O_3$ ,

respectively) to produce SO4 (Feichter et al. (1996); Clegg and Abbatt (2001)). As in the stratosphere, gas-particle conversion allows formation of aerosol particles, typically made of ammonium sulfate (in the boundary layer and lower-mid troposphere) or supercooled  $H_2O-H_2SO_4$  in the upper troposphere. The resulting size distribution is regulated by the above cited microphysical processes. The tropospheric and stratospheric  $SO_x$  budget in the ULAQ-CCM (for unperturbed background conditions)

5 was first discussed in Pitari et al. (2002) and more recently in Pitari et al. (2016c), with focus on the role of non-explosive volcanic sulfur emissions. Surface mixing ratios of long-lived species and gridded emission fluxes of tropospheric ozone precursors (NO<sub>x</sub>, CO, VOC) and aerosols are all prescribed in the RCP4.5 baseline scenario, following the Eyring et al. (2013) recommendations for the CCMI intercomparison campaign; gridded data for short-lived species emissions were made available from Lamarque et al. (2010)).

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Dry deposition of gas species and aerosols is calculated in terms of a surface deposition velocity (Muller and Brasseur (1995)). Washout of soluble gases and aerosols is treated as a first-order loss rate, in terms of climatological monthly averaged precipitation rates; the vertical distribution is calculated as a function of climatological distributions of cumulonimbus and nimbostratus clouds (Muller and Brasseur (1995); Pitari et al. (2002)). The aerosol gravitational sedimentation is treated in sectional approach, by calculating the appropriate settling velocity for a given particle composition and size.

#### 2.2 GEOS-Chem

GEOS-Chem is a community global Eulearian chemistry-transport model originally described in Bey et al. (2001). Here we employ version v11-01 of the model (www.geos-chem.org). GEOS-Chem is driven by assimilated meteorological fields from
the Goddard Earth Observation System (GEOS) of the NASA Global Modeling and Assimilation Office (GMAO). Here we use the 30-year reanalysis MERRA provided at a native horizontal resolution of 0.5° × 0.666° and degraded here at 4° × 5° for GEOS-Chem simulations. The number of hybrid pressure-sigma vertical levels is 72 up to 0.01 hPa (ca. 80 km), with spacing gradually increasing with height from 0.1 km near the surface to 2 km near model top. Advection is calculated using the semi-Lagrangian scheme developed by Lin and Rood (1996), convective transport is calculated following Wu et al. (2007), and mixing in the planetary boundary layer is calculated using the non-local scheme implemented by Lin and McElroy (2010).

Anthropogenic emissions of CO,  $NO_x$  and  $SO_2$  use the global EDGAR4.2 inventory (Lin and McElroy (2010)), complemented with regional inventories for US, Canada, Mexico, Europe and East Asia (see http://acmg.seas.harvard.edu/geos/geos\_ chem\_narrative.html for details). For N<sub>2</sub>O, CFCs, HCFCs, OCS and other chlorine species a fixed global mixing ratio is specified at the model surface (Eastham et al. (2014)), while bromine species emissions are described in Parrella et al. (2012).

Eruptive and non-eruptive volcanic  $SO_2$  emissions use the AEROCOM database as implemented by Fisher et al. (2011).

The chemical mechanism of GEOS-Chem includes a detailed  $HO_x$ -NO<sub>x</sub>-VOC-O<sub>3</sub>-BrO<sub>x</sub> tropospheric chemistry originally described by Bey et al. (2001) and updated to the most recent JPL/IUPAC recommendations. Stratospheric chemistry mech-

anism uses the Universal tropospheric-stratospheric Chemistry eXtension (UCX) developed by Eastham et al. (2014). The sulfate-nitrate-ammonium and carbonaceous aerosol chemistry was originally developed by Park et al. (2003), Park et al. (2004), and subsequently updated for the thermodynamic module and the organic aerosol scheme (http://acmg.seas.harvard. edu/geos/geos chem narrative.html). Stratospheric aerosol simulation is split in two main components, liquid and solid (East-

5 ham et al., 2014). The former includes all stratospheric sulfate aerosols, ranging from  $H_2SO_4$  liquid binary solutions (LBS) to supercooled ternary solution (STS). The latter consists of type Ib and type II polar stratospheric clouds (PSCs), made up of nitric acid trihydrate (NAT) and ice. Up to date heterogeneous chemistry reactions are included in the mechanism (Eastham et al. (2014)). Photolysis rates for both the troposphere and the stratosphere are calculated using the Fast-JX code (Bian and Prather (2002)).

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Dry deposition is calculated with the resistance-in-series scheme proposed by Wesely (1989), and implemented in GEOS-Chem as described by Wang et al. (1998) for gases and Zhang et al. (2001) for aerosols. Aerosol gravitational settling in the stratosphere is described in Eastham et al. (2014). Wet deposition scheme is implemented as described in Amos et al. (2012) for gases and Liu et al. (2001) for water-soluble aerosols.

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#### **3** Stratospheric sulfate aerosols

In this section we analyze the distribution and lifetime of the injected stratospheric aerosols firsts by looking at the multi-annual average for both models and then by looking at the time-dependent modifications of the sulfate lifetime caused by oscillations of the stratospheric dynamics.

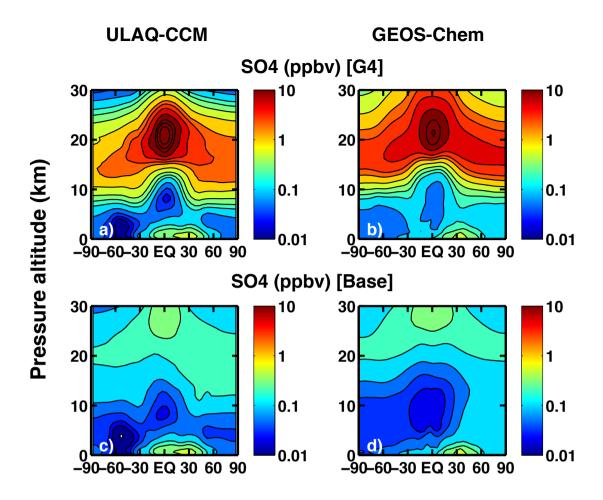
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#### 3.1 Time-averaged sulfate distribution

The ULAQ-CCM ability in producing a correct confinement of sulfate aerosols in the tropical stratosphere in SG or post-volcanic conditions has already been extensively tested, with comparison against SAGE II data following the Pinatubo eruption, (see Pitari et al. (2014), Pitari et al. (2016b), Visioni et al. (2017b)). A fully comparable behavior is also shown in GEOS-Chem,
which, on the other hand, was not tested before regarding a stratospheric sulfur injection. In Fig. 1 we show the zonally averaged SO<sub>4</sub> mixing ratio averaged over the simulation period for both models, for both Base and G4 experiments (SG with 8 Tg-SO<sub>2</sub> injection). This is done in order to highlight similarities between the two models in the stratospheric aerosol tropical confinement, combined with isentropic horizontal mixing in the layer immediately above the tropopause, which enables poleward transport of sulfate from the tropical reservoir.

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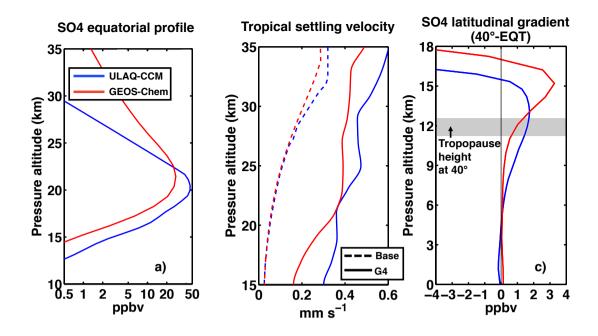
Although the aerosol confinement looks similar, some differences are still present between the two models. Fig. 2a shows the  $SO_4$  equatorial vertical profile, corresponding to the zonal mean values in Fig. 1. There is a small but significant differ-



**Figure 1.**  $SO_4$  mixing ratios (ppbv) averaged from 2030 to 2039 for ULAQ-CCM (panels a,c) and from 2000 to 2005 for GEOS-Chem (panels b,d). Panels (a,b) are for the G4 experiment; panels (c,d) are for the Base experiment. The contour line increment is logarithmic, with three lines per decade.

ence in the distribution of tropical SO<sub>4</sub> between the two cases, with the ULAQ-CCM maximum situated at a somewhat lower altitude with respect to the one predicted by GEOS-Chem. Furthermore, 80% of the SO<sub>4</sub> mass is situated in the 20-70 hPa layer for GEOS-Chem while 78% of the SO<sub>4</sub> mass is confined in the 40-90 hPa layer for ULAQ-CCM. The reasons for this are substantially two: on one hand, there is a difference in sulfur injection, because ULAQ-CCM injects SO<sub>2</sub> with a Gaussian

5 distribution centered at 21.5 km altitude. In this way, a larger sulfate fraction is kept in the 19-21 km band, with respect to the one resulting from the GEOS-Chem SG simulation, where sulfur is injected uniformly in the 18-25 km altitude band. This is consistent with differences found in the aerosol vertical distribution between ULAQ-CCM and GEOSCCM in Visioni et al. (2017b), where similar SO<sub>2</sub> injections were adopted in the two models. On the other hand, GEOS-Chem uses a bulk approach for sulfate aerosols, with an assumed aerosol effective radius smaller with respect to the one ULAQ-CCM calculates from a



**Figure 2.** Panel (a): equatorial SO<sub>4</sub> profiles (ppbv) for ULAQ-CCM (blue) and GEOS-Chem (red), in the G4 experiment (panels a,b of Fig.1). Panel (b): tropical settling velocities (mm/s) for the two models (averaged 20S-20N), with dashed and solid lines for Base and G4 experiments, respectively. Panel (c): latitudinal SO<sub>4</sub> gradient (ppbv), calculated in the G4 experiment as the mixing ratio difference between  $40^{\circ}$  (40S and 40N average) and the equator.

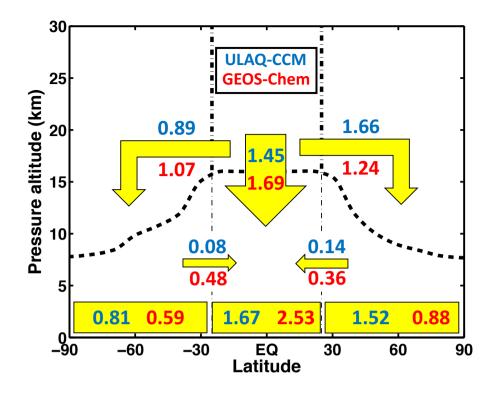
predicted aerosol size distribution with a sectional approach (see Table 1). Some differences will then result in the tropical settling velocities of the aerosol particles, as shown in Fig. 2b, from which we may expect a somewhat enhanced downward displacement in ULAQ-CCM.

A third difference is shown in Fig. 2c: the latitudinal gradient of  $SO_4$  at the altitude of the mid-latitude tropopause (and also a few kilometers below it) is larger in ULAQ-CCM with respect to GEOS-Chem. This results from a slower upper tropospheric horizontal mixing in ULAQ-CCM and does not allow (with respect to GEOS-Chem) the same amount of tropospheric tropical influx of sulfate moving downwards from the region where the large scale strat-trop exchange (STE) is maximum. Implications of this effect on the latitudinal distribution of sulfur deposition will be discussed ahead.

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Once the injected sulfate has reached a steady state, it has to come down at a rate of 4 Tg-S/yr, the same rate at which it is injected. In Fig. 3 a budget scheme of geoengineering sulfur fluxes is presented for both models (G4-Base). Sulfate aerosols, formed in the tropical lower stratosphere after oxidation of  $SO_2$  injected continuously at the equator above the tropicale, may leave the tropical pipe in two ways: less than half (according to the models) is removed directly across the tropical tropopause, due to particle gravitational sedimentation and large scale downwelling taking place in limited regions of the tropical sedimentation and large scale downwelling taking place in limited regions of the tropical sedimentation and large scale downwelling taking place in limited regions of the tropical sedimentation and large scale downwelling taking place in limited regions of the tropical sedimentation and large scale downwelling taking place in limited regions of the tropical sedimentation and large scale downwelling taking place in limited regions of the tropical sedimentation and large scale downwelling taking place in limited regions of the tropical sedimentation and large scale downwelling taking place in limited regions of the tropical sedimentation and large scale downwelling taking place in limited regions of the tropical sedimentation and large scale downwelling taking place in limited regions of the tropical sedimentation and large scale downwelling taking place in limited regions of the tropical sedimentation and large scale downwelling taking place in limited regions of the tropical sedimentation and large scale downwelling taking place in limited regions of the tropical sedimentation and large scale downwelling taking place in limited regions of the tropical sedimentation and large scale downwelling taking place in limited regions of the tropical sedimentation and large scale downwelling taking place in limited regions of the tropical sedimentation sedimentation and large scale downwelling taking

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**Figure 3.** Breakdown of geoengineering sulfur fluxes for ULAQ-CCM (blue, average 2030-2039) and GEOS-Chem (red, average 2000-2005). The dashed line represents the mean tropopause, the dashed-dotted lines represent the subtropical barriers. The lowermost boxes represent sulfur surface deposition. All values are in Tg-S/yr.

ical tropopause; the rest is moved horizontally out of the tropics via poleward isentropic transport. Once the sulfate aerosols have reached the subtropics and mid-latitudes, they may be efficiently removed from the stratosphere by extratropical STE in the lower branch of the Brewer-Dobson circulation (and to a lesser extent via particle gravitational sedimentation).

- 5 The two models agree on the general partitioning of stratospheric sulfur fluxes, although some differences are present, especially in the horizontal flux moving toward the northern hemisphere mid-latitudes, which is 0.42 Tg-S/yr larger in ULAQ-CCM compared to GEOS-Chem. A larger inter-model difference is found in the tropospheric mixing from the mid-latitudes toward the tropics. The upper tropospheric tropical influx of sulfur is calculated to be much larger in GEOS-Chem (0.84 Tg-S/yr) with respect to ULAQ-CCM (0.22 Tg-S/yr), thus explaining the larger upper tropospheric latitudinal gradient of geoengineering
- 10 sulfate presented in Fig. 2c. This difference is then reproduced in the zonally averaged deposition, which presents an excess deposition of 0.86 Tg-S/yr at the tropics in GEOS-Chem with respect to ULAQ-CCM. The discussion on deposition results will be further expanded in Section 4.

#### 3.2 **OBO** impact on stratospheric sulfate

Previous studies (Aquila et al. (2014); Niemeier and Timmreck (2015)) have focused on the potential effects of sulfate geoengineering on the OBO. Aquila et al. (2014), for instance, reported an increasing stratospheric aerosol burden the more the QBO shifted to a lower stratospheric permanent W-phase (i.e., E shear of the mean zonal equatorial winds). On the other hand,

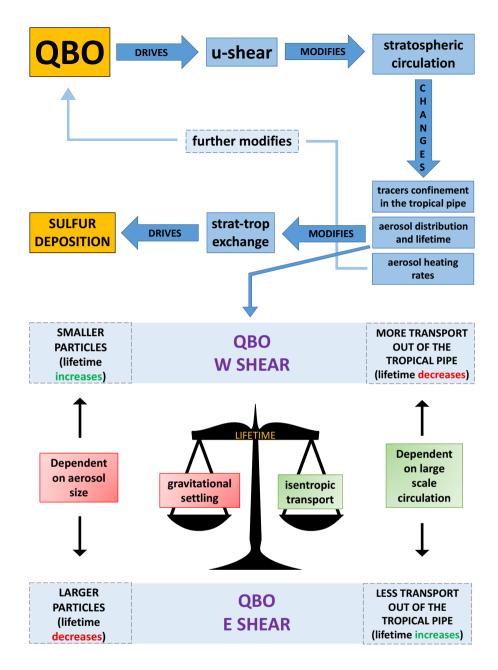
- the modulation the QBO itself may introduce on the stratospheric aerosol lifetime (and deposition) has not been explored in 5 depth in case of a geoengineering constant tropical injection of sulfur. This effect, however, was studied for the time evolution of the unperturbed stratospheric aerosol layer by Hommel et al. (2015). They found that the aerosol burden non-linearly correlate with the QBO phase because of a wide range of reasons, amongst those the rather wide differences in the size range of the aerosols. The OBO impact on the e-folding time of stratospheric sulfate aerosols injected in past major volcanic eruptions
- was studied in Pitari et al. (2016b), where a clear correlation is found between a larger e-folding time and a QBO E shear of 10 the mean zonal equatorial winds, as a consequence of a higher aerosol confinement in the tropical pipe (consistently with the findings of Trepte and Hitchman (1992)). It should be noted that the stratospheric aerosol distribution in case of SG, or after a major tropical explosive volcanic eruption, is so different with respect to the atmospheric background, both spatially and in size (see Fig. 1 and Table 1), that the expected QBO impact might significantly differ in the two cases.
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Fig. 4 presents a schematic representation of the interactions between the QBO and stratospheric sulfate aerosols. The QBO modulation of the mean zonal wind shear and (indirectly) of the stratospheric mean meridional circulation may efficiently impact the tropical pipe confinement of atmospheric tracers. This, in turn, is expected to produce changes in the global scale aerosol distribution and lifetime, thus modulating the lower stratospheric aerosol heating rates. QBO-driven changes in aerosol distribution and lifetime produce in turn modifications of the STE, which eventually regulates the latitudinal distribution of the 20 sulfur deposition. Direct QBO effects may be visible both in models with prescribed circulation (CTMs) and with calculated dynamics via chemistry-climate coupling (CCMs), whereas the effects of changes in aerosol heating rates can only be seen in CCMs. The ULAQ-CCM does not include an internally-generated QBO, but uses instead a nudging approach (see Table 1), so that the schematic representation in Fig. 4 shows the further modification of the QBO by the aerosol heating rates as a possible significant effect (Aquila et al. (2014); Niemeier and Timmreck (2015)), but not explored in the present work.

In the lower part of Fig. 4 scheme, we focused on how the aerosol lifetime is modulated by OBO. On one hand, the lifetime depends on particles size. With an increased tropical confinement (E shear), the sulfate aerosols have more time to grow through coagulation and gas condensation, with resulting larger particles that may sediment faster, thus enhancing the tro-

pospheric influx and decreasing the stratospheric lifetime. On the other hand, the aerosol lifetime is regulated by how much 30 time they may remain confined in the tropical pipe. Once transported at the subtropics and at the mid-latitudes by means of lower stratospheric poleward isentropic transport, the aerosol may effectively be removed from the stratosphere by STE; this extra-tropical horizontal transport is favored during a OBO W shear (Trepte and Hitchman (1992)). The lower part of Fig. 4 is



**Figure 4.** Upper part: schematic links between QBO, stratospheric circulation, geoengineering aerosol lifetime, strat-trop exchange, sulfur deposition at the surface. Lower part: schematic balance of the two main effects regulating the sulfate aerosol lifetime, starting from the driving QBO wind shear.

an attempt to represent the 'balance' between the competing QBO-effects that regulate the stratospheric aerosol lifetime.

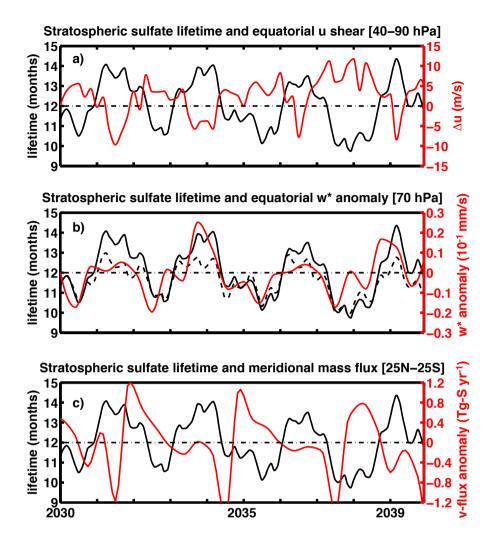
In Fig. 5 and Fig. 6 we present the stratospheric sulfate lifetime time series (Fig. 5 for ULAQ-CCM and Fig. 6 for GEOS-Chem) correlated with the QBO-driven changes of dynamical quantities, as discussed in Fig. 4. The lifetime of the injected sulfate is calculated as the stratospheric burden in the G4 case minus the stratospheric burden in the Base case divided by the integrated stratospheric loss of the sulfate, which at the steady state (on average) is equal to the source, that is 4 Tg-S/yr. In Fig.

- 5 5a and Fig. 6a the lifetime (in black) is compared with the equatorial mean zonal wind shear (in red). This shear is calculated differently for the two models, considering the already discussed differences in the vertical extent of most of the sulfate burden (Fig. 2a). For both models we observe an oscillation of the lifetime that is strongly anti-correlated with the equatorial u-shear, with positive values (W shear) connected with a shorter lifetime. Since during a W shear a decreased equatorial upwelling is present (see Trepte and Hitchman (1992)), we see in Fig. 5b and Fig. 6b how then the oscillations of the residual vertical
- 10 velocity (w\*) anomalies are positively correlated with the lifetime oscillations, considering the w\* value at the center of the vertical layer where the largest fraction of the tropical aerosol mass is confined. This is because during periods of QBO W shear, a smaller amount of tropical aerosols is moved upwards to the mid-stratosphere and a larger amount remains displaced in the lower part of the tropical pipe, where horizontal isentropic mixing with the extratropics is faster. Lastly, in Fig. 5c and Fig. 6c we show the meridional mass flux anomalies at the edges of the tropical pipe, which is smaller during E shear periods
- 15 (due to the reduced isentropic transport immediately above the tropopause), so that they result such that they are anti-correlated with the lifetime oscillations.

Although both models agree with the response of the lifetime to changes in stratospheric dynamics, some differences between the models are visible. First of all, as seen in Table 1, the average aerosol lifetime is different for the two models (12.1 months for ULAQ-CCM against 13.5 months for GEOS-Chem). This might be due to a series of factors, amongst those a different r<sub>eff</sub> for the sulfate aerosol (0.62 μm in GEOS-Chem and 0.78 μm in ULAQ-CCM, as equatorial LS values) and a different treatment of the aerosol microphysics itself (bulk approach with diagnosed effective radius in GEOS-Chem and explicitly calculated size distribution approach for ULAQ-CCM). The lifetime oscillations are also of different magnitude: in this case the difference might in part be explained by looking at the ULAQ-CCM results using the Base case circulation (i.e., with a CTM-like approach) (see 5b). The decreased amplitude of the sulfate lifetime oscillations when the Base case circulation is used in the G4 case originates from the missing aerosol radiative feedback on dynamics and the consequent lack of additional

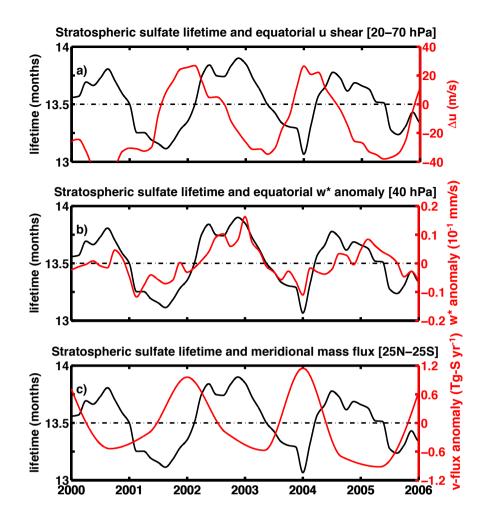
tropical upwelling due the stratospheric aerosol heating rates (w\*= $0.22 \pm 0.12$  for the CCM approach and  $0.20 \pm 0.09$  for the CTM approach, as a 20-70 hPa equatorial mean). A 25% reduction is found for the tropical upwelling time variability expressed with the standard deviation of monthly mean values in the 2030-39 decade. Another reason for the decreased amplitude of the

sulfate lifetime oscillations should be found in the missing impact on lower stratospheric horizontal eddy mixing of decreasing SSTs in G4 with respect to the Base case (see Visioni et al. (2017b)) ( $\Phi_V$ =2.55 ± 0.56 Tg-S/yr for the CCM approach and 2.34 ± 0.42 Tg-S/yr for the CTM-like approach; again with a 25% reduction of the net poleward meridional sulfate mass flux, integrated vertically above the tropopause at the subtropical barriers).



**Figure 5.** Panel (a): monthly means of geoengineering SO<sub>4</sub> lifetime (black, left scale, months) and equatorial zonal wind shear between 40hPa and 90hPa (red, right scale, m/s) in the ULAQ-CCM (years 2030-2039). Panel (b): SO<sub>4</sub> lifetime, as in panel (a), but compared against the 70 hPa equatorial w\* anomalies (red, right scale, mm/s). The calculated average lifetime of stratospheric sulfate from geoengineering sulfur injection of 8 Tg-SO<sub>2</sub>/yr is 12.1 ± 1.2 months in ULAQ-CCM (with an equatorial residual vertical velocity w\* = 0.22 ± 0.12 mm/s, as an time average between 20 and 70 hPa). The average lifetime decreases to 11.6 ± 0.6 months, when using winds from the baseline simulation, i.e., in a CTM approach (black dashed curve) (see text for discussion). Panel (c): SO<sub>4</sub> lifetime, as in panel (a), but compared against the net poleward meridional sulfate mass flux anomalies integrated above the tropopause at the subtropical barriers at 25S and 25N (red, right scale, Tg-S/yr). The meridional flux is defined as v×[SO<sub>4</sub>] and defined positive when poleward, i.e.,  $\Phi_V = v[SO_4](25N) - v[SO_4](25S)$ , where v is the meridional wind and [SO<sub>4</sub>] the sulfate concentration ( $\Phi_V = 2.55 \pm 0.56$  Tg-S/yr).

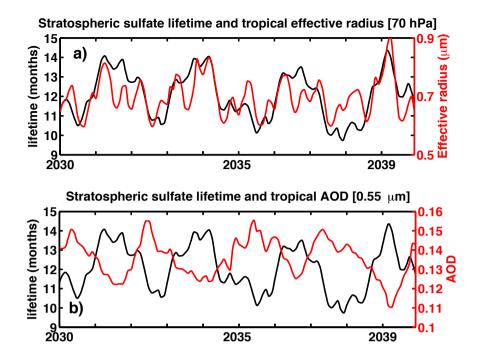
The interannual variability of the sulfate lifetime is smaller in GEOS-Chem (0.3 months) than in ULAQ-CCM (1.2 months), but closer to the latter when the ULAQ model is operated in CTM mode (0.6 months), i.e., using the Base circulation for the



**Figure 6.** As in Fig. 5, but for GEOS-Chem for years 2000-2005. The calculated average lifetime of stratospheric sulfate from geoengineering sulfur injection of 8 Tg-SO<sub>2</sub>/yr is  $13.5 \pm 0.3$  months in GEOS-Chem (equatorial residual vertical velocity w\* =  $0.14 \pm 0.06$  mm/s, as a time average between 20 and 70 hPa; net poleward meridional sulfate flux out the tropical pipe  $\Phi_V = 2.31 \pm 0.38$  Tg-S/yr, as a time average at 25S and 25N latitude above the tropopause). The monthly variability of the sulfate lifetime (0.3 months) is smaller than in ULAQ-CCM (1.2 months), but closer to the latter when the ULAQ model is operated in CTM mode (0.6 months), i.e., using the Base circulation for the G4 case, without including the aerosol radiative feedback on dynamics (see text).

G4 case, without including the aerosol radiative feedback on dynamics. The remaining difference is mainly connected with the different QBO treatment in the two models (assimilated wind fields for GEOS-Chem, nudged observed zonal winds in the equatorial stratosphere for ULAQ-CCM) (w\*= $0.14 \pm 0.06$  mm/s and v= $2.31 \pm 0.38$  Tg-S/yr in GEOS-Chem, both defined as above for the ULAQ model). Additional 33% and 10% reductions of the time variability are found with respect to the ULAQ model operated in CTM mode, for tropical upwelling and the subtropical sulfate mass flux, respectively.

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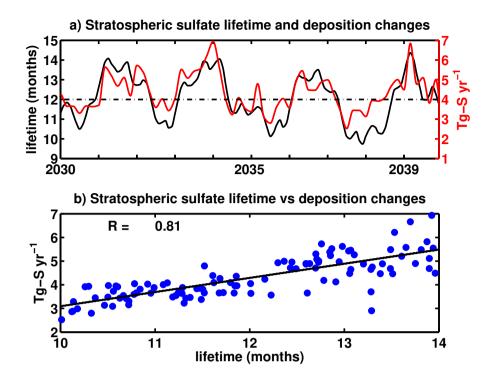


**Figure 7.** Panel (a): monthly means of geoengineering SO<sub>4</sub> lifetime (black, left scale, months) and tropical effective radius at 70 hPa (red, right scale,  $\mu$ m) (20S-20N) for ULAQ-CCM (years 2030-2039). Panel (b): SO<sub>4</sub> lifetime, as in panel (a) and tropical aerosol optical depth at =0.55  $\mu$ m (20S-20N). Average values in the 2030-2039 decade are:  $r_{eff} = 0.70 \pm 0.06 \mu$ m, tropical AOD = 0.136  $\pm$  0.010, global AOD = 0.079  $\pm$  0.003. The calculated all-sky tropopause-adjusted radiative forcing from stratospheric geoengineering sulfate (G4-Base) is -1.73  $\pm$  0.07 W/m<sup>2</sup> (shortwave), +0.53  $\pm$  0.02 W/m<sup>2</sup> (longwave) and -1.20  $\pm$  0.05 W/m<sup>2</sup> (net). The E-W shear average anomaly of the net RF is calculated to be +0.06 W/m<sup>2</sup> (i.e., when the lifetime is longer, there is an average 4% decrease of the long-term calculated net RF).

The link of QBO-driven transport oscillations with the sulfate aerosol particle size, already discussed in Fig. 4, is presented in Fig. 7, using ULAQ-CCM results. In Fig. 7a we show how a higher lifetime is connected to a larger tropical effective radius. This is a consequence of what we showed in Fig. 5b, with the lifetime being higher under an E shear, when w\* presents positive anomalies and  $\Phi_V$  negative anomalies. As discussed in Fig. 4, a higher tropical confinement favors the enhancement of microphysical processes responsible for particle growth (gas condensation and coagulation). While the average effective radius  $r_{eff}$ is 0.70  $\mu$ m over the whole decade, when considering only years with a QBO E shear we obtain a value of  $r_{eff}$ =0.75  $\mu$ m, against  $r_{eff}$ =0.66  $\mu$ m for years with dominant W shear is. This implies a 13% change of the average effective radius between the two QBO regimes. In Fig. 7b we show that this increased particle size produces in turn a smaller tropical AOD at  $\lambda$ =0.55  $\mu$ m, due to a decreased scattering efficiency of the sulfate particles themselves. This is because the extinction coefficient at 0.55  $\mu$ m

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10 varies greatly around the maximum and minimum values of the radii shown in Fig. 7a, with a peak closer to the values found under a W shear. This result appears to be in line with the findings of Niemeier and Schmidt (2017) and Kleinschmitt et al. (2017) regarding particle growth under different QBO wind shears and its effect on AOD and forcing efficiency (although in



**Figure 8.** Panel (a): monthly means of geoengineering SO<sub>4</sub>lifetime (black, left scale, months) and sulfur deposition changes (G4-Base) (red, right scale, Tg-S/yr) for ULAQ-CCM (years 2030-2039). To highlight the correlation between the stratospheric SO<sub>4</sub> lifetime and the sulfate deposition, the monthly values of the latter have been treated as follows: 1) an annual mean cycle was first calculated over the whole decade; the annual variability was then removed, in order to keep the interannual variability alone; 2) detrended monthly deposition values were finally shifted ahead by 8 months. This latter value was chosen for optimizing the correlation and is close the average time needed for G4 aerosols formed in the stratospheric tropical pipe to reach the tropopause, where they are exchanged with the troposphere and finally lost by surface deposition. Panel (b): scatter plot of the values presented as time series in panel (a) (0.81 correlation coefficient). A comparable behavior is also found in the GEOS-Chem results (not shown), with a 0.92 correlation between monthly values of the stratospheric sulfate lifetime and detrended monthly deposition values with 8 months lag.

their case the QBO reacted to sulfate injection and their  $SO_2$  injection was larger with respect to the one adopted in the present study). In years with dominant W shear, the tropical AOD is maximized with an average value of 0.144, which is reduced to 0.127 during years with dominant E shear. In our calculations, this in turn produces an 8.5% difference in the net radiative forcing between the two QBO regimes, with a decadal average value of -1.20 W/m<sup>2</sup> (all-sky conditions).

5

Lastly, we show in Fig. 8 how the oscillations in the stratospheric sulfate lifetime are correlated with changes in sulfur ground deposition. In order to avoid masking the interannual variability of surface deposition with the seasonal component, a detrending method has been applied to retain only interannual changes. Furthermore, the deposition values have been shifted by 8 months, in order to show how the stratospheric sulfate lifetime is well correlated with the deposition changes (G4-Base)

after the time needed for the particles in the tropical pipe to reach the tropopause. We have estimated this time close to 8 months, considering both isentropic transport out of the tropical pipe and settling of the particles from the height at which they are produced down to the tropical tropopause. The scatter plot in Fig. 8b shows the good correlation of the stratospheric sulfate lifetime (on monthly basis) with detrended and time-shifted deposition change values.

#### 4 Sulfur deposition

In the previous section, the physical mechanisms regulating the stratospheric sulfate mean distribution and abundance have been discussed, along with its interannual variability under SG conditions. Fig. 8 has proven that interannual oscillations in large scale stratospheric transport not only regulate the integrated sulfate mass above the tropopause (i.e., the SG lifetime), but

5 also the globally integrated surface deposition changes of sulfur. In this section we analyze, both globally and on continental scale, how SG surface deposition is regulated by cross tropopause downward fluxes. We will also evaluate the model calculated background surface deposition of sulfur and quantify absolute and relative deposition changes due to SG, looking also at the QBO-driven variability of the deposition.

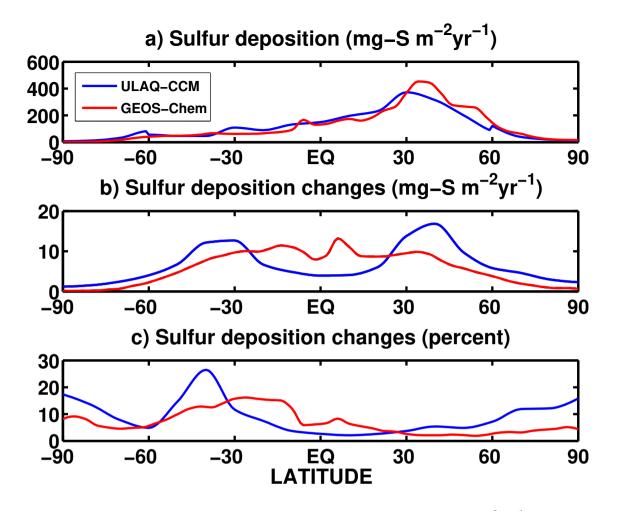
#### 10 4.1 Global and continental scale time-average deposition

The model calculated zonally averaged sulfur deposition in baseline conditions is presented in Fig. 9a: as expected from the short tropospheric sulfur lifetime ( $\sim$ 5 days for SO<sub>4</sub> and  $1\div 2\cdot 1\cdot 2$  days for SO<sub>2</sub> and DMS) and from the model-consistent global and regional sulfur emission fluxes (see Tables 1-3), the annually and zonally averaged sulfur deposition (dry+wet, SO<sub>2</sub>+SO<sub>4</sub>, Base case) does not show significant departures between GEOS-Chem and ULAQ-CCM. Following the latitudinal pattern of

15 anthropogenic fossil fuel SO<sub>2</sub> emissions, most of the background deposition is confined to the NH mid-latitudes, producing a large interhemispheric asymmetry.

Annually and zonally averaged sulfur deposition changes due to SG (i.e., G4-Base) are presented in Fig. 9b. Here a significant difference between the two models is visible: deposition changes in ULAQ-CCM peak at the subtropics up to approximately 45° latitude in both hemisphere (~15 mg-S m<sup>-2</sup> yr<sup>-1</sup>), with smaller values in the tropics (~4 mg-S m<sup>-2</sup> yr<sup>-1</sup>), which reflects the large-scale STE latitudinal pattern, coupled to the cross-tropopause aerosol sedimentation flux. The deposition change peak in the NH is larger than in the SH by approximately 50%, consistently with the larger stratospheric poleward flux at the NH tropical barrier (1.66 Tg-S/yr), with respect to the SH (0.89 Tg-S/yr) (see Fig. 3). On the other hand, GEOS-Chem predicts a flatter distribution of the zonally averaged sulfur deposition, from the subtropics equatorwards, in both hemispheres. This is again consistent with what shown in Fig. 3, regarding both the tropical sulfur downward flux at the tropopause and the upper tropospheric equatorward horizontal mixing at the subtropics. Both are larger in GEOS-Chem with respect to ULAQ-CCM and mainly for the tropical sulfur influx due to tropospheric horizontal mixing.

The large scale sulfate transport behavior in GEOS-Chem results from downward fluxes at the subtropical tropopause with further downward motion in the troposphere coupled to a significant equatorward component. This is consistent with analyses of the ozone STE made by Hsu et al. (2005), using the University of California at Irvine (UCI) chemistry-transport model. The tropospheric equatorward transport component in the ULAQ-CCM is much weaker, so that the integrated tropical sulfur deposition flux in this model (1.67 Tg-S/yr) results to be is significantly smaller that in GEOS-Chem (2.53 Tg-S/yr). Never-



**Figure 9.** Panel (a): zonally-annually averaged sulfur deposition fluxes in the baseline experiment (mg-S m<sup>-2</sup> yr<sup>-1</sup>), for ULAQ-CCM (blue, years 2030-2039) and GEOS-Chem (red, years 2000-2005). Panel (b): as in panel (a), but for the sulfur deposition flux changes (G4-Base). Panel (c): as in panel (b), but in percent of the Base case.

theless, some of the model results presented in Marshall et al. (2017) for the Tambora eruption case, using four independent Atmosphere-Ocean Global Circulation Models (AOGCMs), highlight distinct sulfur deposition maxima over the mid-latitudes, with limited sulfate penetration in the tropical band.

5 Sulfur deposition changes due to SG are further highlighted in Fig. 9c, where the increased deposition is shown in percent of the Base case. In the NH the increase is typically much less than 10% (except over the Artic for ULAQ-CCM), whereas in the SH the deposition increase ranges between 10% and 20%, with a 27% peak for ULAQ-CCM around 40S. The interhemispheric asymmetry is largely produced by the much larger NH deposition of tropospheric sulfur (Fig. 9a). Looking at the zonally averaged season-dependent sulfur deposition (Fig. S1ab), it is easy to find the signature of sub-tropics and mid-latitude cross-tropopause stratospheric influx. As well documented for ozone (Hsu et al. (2005)), as an example for an atmospheric tracer with stratospheric reservoir, the STE reaches maximum values during springtime months at the sub-tropics, close to 30° latitude in both hemispheres. The correlation of sub-tropics and mid-latitude monthly maxima of the STE with

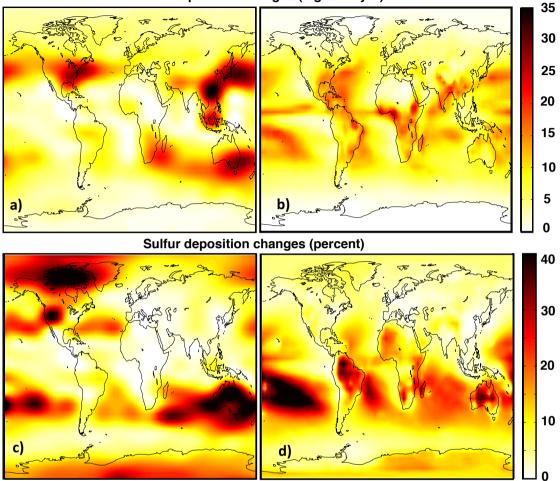
- 5 sulfur deposition maxima is observed in both models, with additional near-equatorial maxima in GEOS-Chem, due to a more efficient upper tropospheric horizontal mixing in this model (see discussion on Fig. 2c and Fig. 9b). The STE  $O_3$  flux diagnosed in Hsu et al. (2005) shows a significant subtropical influx most of the year, with mid-latitude influx important only in spring and summer in the NH. They also note that the STE  $O_3$  flux generally travels further downwards in the troposphere with a significant equatorward component, which is in agreement with the GEOS-Chem findings of a larger equatorward tropospheric
- 10 mixing of stratospheric sulfate coming from the subtropical STE (as already noted above in the discussion of Fig. 9). Sulfur deposition changes relative to atmospheric unperturbed conditions (Fig. S1cd) are also consistent in the two models, except over the Arctic, where the ULAQ-CCM predicts a significantly larger impact of the SG sulfur deposition with respect to the Base case, pointing out to a stronger polar descent (also visible in Fig. 9bc).
- Annually averaged sulfur deposition flux changes are shown in Fig. 10, as a function of latitude and longitude. The effects of the tropical sulfate influx in the upper troposphere are clear in the GEOS-Chem deposition fields (Fig. 10bd), when compared to those of ULAQ-CCM (Fig. 10ac). In the latter case, a significant tropical deposition is only predicted over south-east Asia (in absolute values). Mid-latitude maxima, on the other hand, are rather consistent between the two models, as well visible in the SH percent changes (Fig. 10cd). Non-zonal asymmetries of mid-latitude deposition flux changes result essentially from planetary wave modulation of the strat-trop downward flux, coupled to the precipitation frequency in the lower troposphere (see discussion below). Sulfur deposition changes in the polar regions are of the same order of magnitude in the two models only over Antarctica (5:125-12% in GEOS-Chem and 10:2010-20% in ULAQ-CCM). The Arctic increase, on the other hand, is much larger in the ULAQ-CCM, with a peak of 35% east of Greenland; as already noted in the discussion of Fig. S1, this is most likely related to a stronger polar descent in the ULAQ-CCM.

25

Percent deposition changes are calculated with respect to time-averaged Base values, presented in Fig. S2. A careful evaluation of these values is made at continental scale, on the basis of regionally integrated values. To do so, we first present in Fig. 11 an evaluation of the Base emission and deposition fluxes over land and oceans for both models, using available literature: in particular, we compare our results with Vet et al. (2014), who use a multi-model plus observation approach, and Lamarque

- 30 et al. (2013) who rely on an independent multi-model approach. In particular, the former work allows us to compare emission and deposition fluxes in all land and oceanic regions of the planet, whereas the latter offers regional values for land regions (except Antarctica). The regions are ordered from the southernmost to the northernmost, in order to highlight inter-hemispheric differences, if present. From Fig. 11ab we can see that both models correctly reproduce emission fluxes at a regional level, with the correct order of magnitude almost everywhere, both on land and oceans. A significant model spread is found over
- 35 Antarctica, where ULAQ-CCM overestimates the Vet et al. (2014) estimate, contrary to GEOS-Chem, which on the other hand

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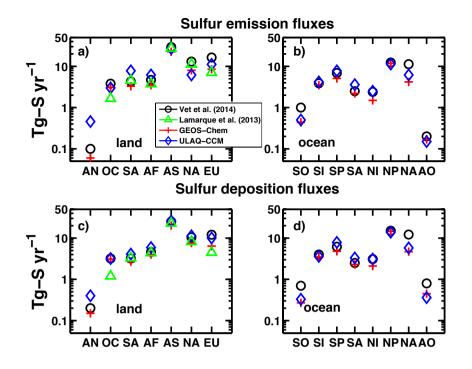


Sulfur deposition changes (mg-S m<sup>-2</sup> yr<sup>-1</sup>)

**Figure 10.** Annually averaged sulfur deposition flux changes (G4-Base), as a function of latitude and longitude, for ULAQ-CCM in panels (a,c) (years 2030-2039) and GEOS-Chem in panels (b,d) (years 2000-2005). Panels (a,b) show absolute changes (mg-S  $m^{-2} yr^{-1}$ ); panels (c,d) show percent changes with respect to the Base case.

underestimate it. The deposition values presented in Fig. 11ab are equally consistent, if not even more, with Vet et al. (2014) values.

Once sure that both models properly simulate emission and deposition fluxes, we have estimated the amount of increased deposition on all regions, produced by the 4 Tg-S/yr injection in the equatorial lower stratosphere. These results are shown in Table S1-S2 and its equivalent graphical form in Figure 12. The standard deviation given for both models in each region (Fig. 12) represent the inter-annual variability due to the QBO, as explained in Fig. 8. As already highlighted in Fig. 9, the two



**Figure 11.** Regional area averaged emission and deposition fluxes of sulfur, in panels (a,b) and (c,d), respectively. Values from ULAQ-CCM (blue) and GEOS-Chem (red) are averaged over years 2000-2002 (i.e., historical reference experiment for ULAQ-CCM and Base case for GEOS-Chem). Observations and multi-model averages reported in Vet et al. (2014) and Lamarque et al. (2013) are shown for comparison (years 2001 and 2000, respectively). Land regions are presented in panels (a,c) (Antartica, Oceania, South America, Africa, Asia, North America, Europe); ocean regions are presented in panels (b,d) (Southern Ocean, South Indian, South Pacific, South Atlantic, North Indian, North Pacific, North Atlantic, Artic Ocean).

models differ in their estimate of the increased sulfur deposition in the tropics, with GEOS-Chem giving a significantly larger deposition change over Africa. As it has been shown in Fig. 3, this is a result of both the larger cross-tropopause tropical downward flux and the larger mid-upper tropospheric mixing toward tropical latitudes in GEOS-Chem compared to ULAQ-CCM (see also the discussion relative to Fig. 9-10). When looking at Fig. 12ce we see that this translates in a much larger relative deposition change over Africa for GEOS-Chem with respect to ULAQ-CCM.

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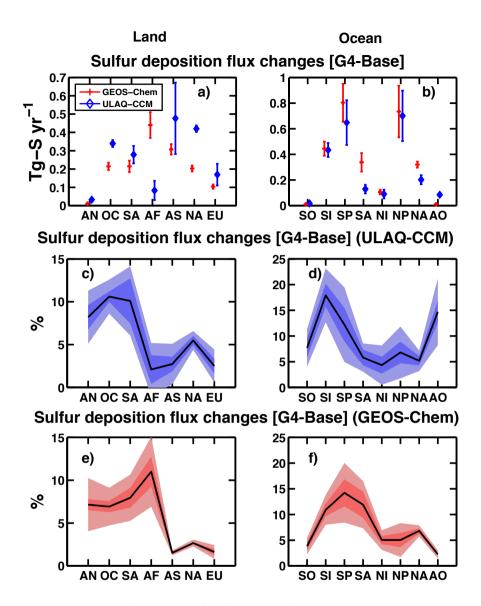
Considering the imbalance of Base deposition fluxes between SH and NH (Fig. 11), we obtain relative changes in panels (c-f) of Fig. 12 that appear much smaller in the NH (as a whole, an increase of 3.8% of the Base deposition) compared to SH values (as a whole, an increase of 10.3% of the Base deposition). This means that over some regions in the SH, the sulfur
deposition increases by more than 10% (Oceania and South America for ULAQ-CCM, with 10.6% and 10.1%). A rather large difference is also present in percent changes over the Arctic Ocean, with a 14.7± 2.2% for ULAQ-CCM compared to a 2.3

 $\pm$  0.3% for GEOS-Chem, a difference already shown and discussed in Fig. 9. With the average regional deposition percent changes, we also highlight the standard deviation due to both seasonal and interannual changes (darker shading for the latter alone). This visual representation allows to see that, when looking at single deposition change values, there might be a combination of seasonal and QBO-driven effects that may produce a variability of relative deposition changes with an upper limit as

5 high as 15% over Africa for GEOS-Chem, or as low as close to zero over Africa and Asia for ULAQ-CCM.

The large difference in the predicted sulfur deposition changes over the Arctic between the two models (as shown in Table S2) warrants a further comparison with measured values, in order to understand if the models correctly simulate the aerosol transport to polar latitudes. A semi-quantitative comparison can be made using values appropriate for past explosive volcanic

- 10 eruptions, as retrieved from ice cores in Antarctica and Greenland, for example those of the 1815 eruption of Tambora (Sigl et al. (2015); Gao et al. (2007)). However, there are many significant differences between a sustained sulfur injection (SG) and an impulsive one (volcanoes) that prevent us from making a precise comparison, as we did for the baseline sulfur deposition fluxes using data from Vet et al. (2014): 1) Atmospheric dynamical conditions of the specific year of eruption (April 1815, for Tambora) play a decisive role in the subsequent aerosol plume dispersal, both in terms of aerosol lifetime and spatial distribu-
- 15 tion, with respect to results from a sustained injection. These are in fact 'climatologically-averaged' over a certain amount of years. 2) The size itself of the aerosols plays an important part in the latitudinal distribution of sulfur deposition (as discussed in subsection 4.2) and cannot be retrieved from ice cores. 3) The Tambora eruption took place at 8°S, thus presumably favoring a larger plume dispersal in the Southern Hemisphere, with respect to our SG experiments with equatorial S-injection. Nonetheless, the following comparison can give us a first approximation idea of how realistic the model estimates are in terms
- of S-deposition over the polar regions. By normalizing the deposition fluxes to the amount of injected sulfur (as made for the models in Table S2) and considering that the sulfur injection from the Tambora eruption is estimated close to 60 Tg-SO2, we obtain observed normalized values of 0.0035 Tg-S for Greenland and 0.024 Tg-S for Antarctica. The ULAQ-CCM calculated normalized S-deposition in the two regions (0.0027 Tg-S/yr for Greenland and 0.0075 Tg-S/yr for Antarctica) comes closer to the estimated (scaled) values from ice cores, compared to the calculated normalized deposition in GEOS-Chem (0.0010
- 25 Tg-S/yr for Greenland and 0.0025 Tg-S/yr for Antarctica).



**Figure 12.** Regional area averaged deposition flux changes of sulfur (G4-Base) for land and ocean regions, in panels (a) and (b), respectively. Regions are those listed in Fig. 11. Whiskers show the standard deviation of detrended monthly deposition change values, for years 2030-2039 in ULAQ-CCM and 2000-2005 in GEOS-Chem (annual variability is removed, as explained in Fig. 8, to highlight the impact on surface deposition changes of the stratospheric circulation interannual variability, mainly due to the QBO). Percent changes from ULAQ-CCM are shown in panels (c,d); those from GEOS-Chem are in panels (e,f) (both respect to the Base experiment). Shaded areas (blue for ULAQ-CCM and red for GEOS-Chem) show the standard deviation of monthly deposition percent change values, for years 2030-2039 in ULAQ-CCM and 2000-2005 in GEOS-Chem. Darker blue/red shaded areas show the standard deviation for detrended monthly deposition change values (with annual variability removed, as explained in Fig. 8 and specified above for panels (a,b)).

#### 4.2 QBO impact on global-scale deposition

In order to highlight the this section we will show how our results discussed in Section 3 regarding the the role of SG strat-trop downward fluxes on non-zonal asymmetries of the mid-latitude can be linked to the sulfate deposition flux changes discussed in Section 4.1 and presented in Fig. 10a.

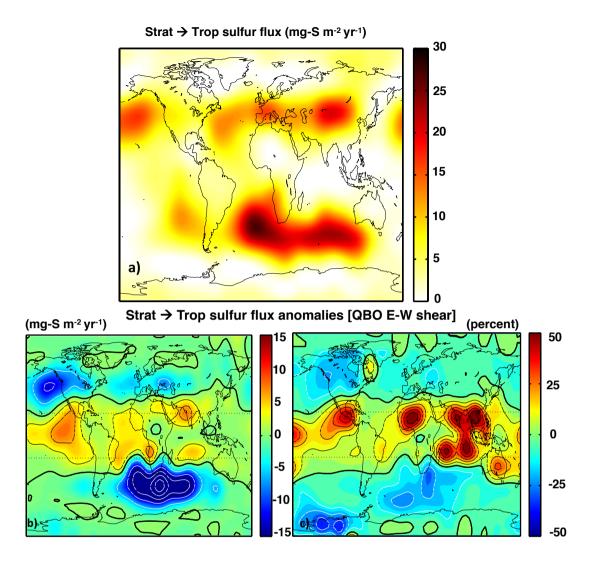
- 5 In order to do so, we show in Fig. 13a the time averaged G4-Base changes of the downward cross-tropopause sulfur flux, for the ULAQ-CCM. Its maxima resemble a planetary wavenumber 1-2 modulation of the lower stratospheric poleward sulfate transport from the tropical pipe reservoir, thus consequently producing non-zonal asymmetries in the tropospheric sulfate influx. The tropospheric convective vertical mixing coupled to wet scavenging produces a tropospheric sulfate lifetime of approximately 5 days in the ULAQ-CCM (Pitari et al. (2016a)). In a first approximation, zonal transport operated by the westerlies tends to
- 10 move the downward moving sulfate coming from the tropopause by approximately 6500 km in a time period comparable to the tropospheric sulfate lifetime. This seems roughly consistent with the westerly displacement of mid-latitude sulfur deposition flux changes of Fig. 10a with the strat-trop sulfur downward fluxes of Fig. 13a.

As summarized in Fig. 3, the latitudinal distribution of sulfur deposition is regulated by the cross-tropopause downward 15 fluxes due to both large scale STE in the lower branch of the Brewer-Dobson circulation and by gravitational settling of the aerosol particles. The latter may be significantly modulated by the changing aerosol size distribution during different QBO phases, mainly in the tropical region (see Fig. 4 and Fig. 7). The former is also modulated by the QBO, as discussed and summarized in Fig. 4 and proved in Fig. 5-6 for both ULAQ-CCM and GEOS-Chem, with the net effect discussed in Fig. 8 for the ULAQ-CCM.

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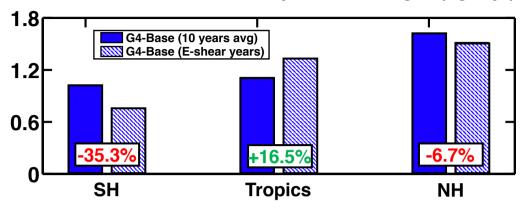
The QBO's important role in modulating the poleward isentropic transport of sulfate from the tropical pipe reservoir (and consequently the extra-tropical strat-trop downward flux of sulfur) can be clearly highlighted by showing in Fig. 13bc the equivalent of Fig. 13a, but in terms of QBO E-W shear anomalies of the cross-tropopause sulfur fluxes. Under an E shear the tropical confinement is increased, resulting in both a reduction of the lower stratospheric isentropic transport toward the mid-latitudes and an increase of tropical particle size, because of the larger amount of sulfate mass concentration in the tropical pipe. Fig.13b shows that the combination of these two factors modify the cross-tropopause sulfur fluxes between E shear and W shear periods of the QBO, by increasing the downward flux in the tropics (for the larger aerosol settling velocities) and decreasing it in the extra-tropics due to reduced poleward isentropic transport. This is further highlighted in Fig. 13c where the differences are drawn in percent of the decadal average presented in Fig. 13a. The integrated positive tropical difference

30 (+42%) is larger with respect to each of the integrated negative extra-tropical differences (-31% in the NH and -39% in the SH). The net E-W globally integrated flux anomaly, however, is negative (-0.25 Tg-S/yr, i.e., -6%), consistently-consistent with the stratospheric sulfate lifetime oscillations shown in Fig. 5.



**Figure 13.** Panel (a): downward cross-tropopause sulfur flux changes (G4-Base) in ULAQ-CCM, as a function of latitude and longitude and averaged over years 2030-2039 (mg-S m<sup>-2</sup> yr<sup>-1</sup>). Panels (b,c): as in (a), but showing differences between years with QBO easterly shear and years with QBO westerly shear; panel (b) shows the difference is in absolute units (mg-S m<sup>-2</sup> yr<sup>-1</sup>), whereas panel (c) shows the difference in percent of the decadal averaged flux changes presented in panel (a). Positive and negative anomalies are separated by the thick black curve (zero contour line); thin black/white curves show positive/negative contours with step of 5 mg-S m<sup>-2</sup> yr<sup>-1</sup> in panel (b) and 10% in panel (c); dotted lines highlight the subtropical barriers at 25N and 25S. Integrated S-flux anomalies (QBO E-W shear) are as follows; tropics: +0.61 Tg-S/yr (+42%); NH: -0.51 Tg-S/yr (-31%); SH: -0.35 Tg-S/yr (-39%); global: -0.25 Tg-S/yr (-6%)

The above discussed QBO E-W anomalies of cross-tropopause fluxes directly translate onto surface deposition fluxes, as shown in Fig. 14. If we isolate those years in our numerical simulation with a dominant E shear of mean zonal winds and cal-



QBO-driven anomalies in S-deposition changes (Tg-S/yr)

**Figure 14.** QBO-driven anomalies of S-deposition changes (Tg-S/yr) in the tropical region [25S-25N] and in the two extra-tropical regions, i.e., [90S-25S] and [25N-90N]. The full-coloured blue bars represent the S-deposition changes in the ULAQ-CCM averaged over the full decade 2030-39. The striped blue bars represent the S-deposition changes calculated only under E shear condition. The numbers in the boxes show the percent changes of the S-deposition changes due to SG under E shear condition with respect to the one calculated over the full decade, i.e. under both QBO regimes.

culate the average S-deposition changes due to SG only during these years, we obtain the results summarized in Fig. 14 for the three latitudinal bands identified in Fig. 13 (tropics and the two extra-tropical regions). Significant anomalies of the integrated S-deposition flux changes are found with respect to the decadal average including both  $E_{\frac{1}{2}}$  and W wind shears, as in Fig. 9 and Fig. 10. The extratropical S-deposition is found to decrease under E shear conditions up to 35.3% in the Southern Hemisphere and by 16% as an average over both hemispheres. This is the direct consequence of the enhanced tropical confinement of SG aerosols under easterly wind shear, with decreasing isentropic poleward transport of sulfate. At the same time, the increasing aerosol size in the tropics (see Fig. 4 and Fig. 7) produces a larger cross tropopause sedimentation flux and finally an increase of the tropical S-deposition change by 16.5% in our calculations.

5

- 10 Other than simply discriminating between the two QBO regimes, these results also show what changes in regional deposition one might expect in case of an injection large enough to lock the QBO into a permanent E shear (Aquila et al. (2014)). Although possible feedbacks of the QBO modifications to the aerosol microphysics (see Fig. 4) cannot be present in our model, if we average the S-deposition only for years with a QBO E shear, this can be seen as a proxy of the actual S-deposition changes under a modified QBO regime with permanent locking into the E shear. This type of average may allow to estimate the possible
- 15 latitudinal distribution of the S-deposition changes in a SG scenario with a larger sulfur injection, when the results are scaled

accordingly.

#### 5 Conclusions

The main goal of geoengineering is to reduce our planet surface warming, bound to happen if the amount of GHGs is not reduced via cuts on anthropogenic emissions (IPCC (2013)). In the case of SG, the main effect of cooling the planet could surely be achieved to some extent if the sulfate was actually injected in the tropical stratosphere, and we are assured on that by both

- 5 looking at explosive volcanic eruption and their effect on climate and on many results from the GeoMIP project, coming from a vast array of simulations from indipendent models (Kravitz et al. (2012); Visioni et al. (2017a)). However, in terms of possible side effects there is much still left to study and understand. In this study we focused on the SG impact on the surface deposition of sulfur, in case of an injection of 8 Tg-SO<sub>2</sub>/yr simulated in two global-scale models, ULAQ-CCM and GEOS-Chem. Results from these simulations tell us that the stratospheric SO<sub>4</sub> lifetime is highly correlated with the QBO phase (as already found in
- 10 Pitari et al. (2016b) for explosive volcanic eruptions).

When the westerly phase is localized in the lower stratosphere (i.e., with an E shear of the equatorial mean zonal winds), the stratospheric  $SO_4$  lifetime is found to increase in the ULAQ-CCM by up to 4 months, with respect to the lifetime under a QBO easterly phase localized in the lower stratosphere (i.e., with a W shear of the equatorial mean zonal winds). This happens

15 for two reasons: with an E shear, the horizontal isentropic transport of sulfate out of the tropical pipe is slower and the tropical upwelling is enhanced at all vertical layers (Trepte and Hitchman (1992)), thus allowing for a longer stratospheric residence time of the aerosols. This is the net result of two competing effects: less extratropical strat-trop exchange is allowed during the E wind shear and overcompensates for an increasing tropical sedimentation of the sulfate particles, which may grow larger with an enhanced sulfur confinement in the tropical pipe.

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A limitation of this study is the use of an assimilated or nudged QBO, for both GEOS-Chem and ULAQ-CCM. This means that changes of QBO amplitude and periodicity due to aerosol radiative effects connected with SG conditions cannot be seen, as instead evidenced and discussed in Aquila et al. (2014), Niemeier and Timmreck (2015) and Niemeier and Schmidt (2017). In a way, this does not allow to draw any broad conclusions regarding the final effect that the mutual interactions of aerosol size distribution, heating rate changes and QBO have on each other (the complex 'balance' shown in Fig. 4). In another way, constraining some of the degrees of freedom does allow us to answer some compelling scientific questions regarding the uncertainties of sulfate geoengineering (MacMartin et al. (2016)).

The consistency of results from the two models used in this study suggests, with a certain degree of confidence, that the 30 E wind shear (i.e., QBO W phase in the lower equatorial stratosphere) is more favorable for producing a longer stratospheric lifetime of SG aerosols. However, when the aerosol size distribution is explicitly calculated on-line with inclusion of the most important microphysical processes, the QBO modulation of the particle effective radius (see discussion relative to Fig. 7) implies that the largest surface cooling is achieved in the least favorable conditions in terms of stratospheric sulfate mass accumulation, that is with W wind shear (i.e., QBO E phase in the lower equatorial stratosphere). Niemeier and Timmreck (2015) and later Niemeier and Schmidt (2017) have already pointed out that larger injections tend to be less efficient in terms of radiative forcing. Our results can add to this the observation that, since injections under an E shear produce a decreased scattering (and forcing) efficiency, the most favorable SG scenario would be one that tends to prolong the E shear as little as possible. For instance, taking as an example the results shown in Aquila et al. (2014), we can suppose that the 2.5 Tg-S/yr

5 injection scenario would have been more favorable in terms of radiative forcing, with respect to the (E shear locked) 5 Tg-S/yr scenario, had those simulations had an interactive aerosol microphysics.

Regarding surface deposition, in agreement with Kravitz et al. (2009) with an injection of 2.5 Tg-S/yr, we found for both ULAQ-CCM and GEOS-Chem that sulfur deposition changes are never above 15% of the Base scenario, and that over continents they are on average around 5% for either models. However, when looking more in depth, a large inter-hemispheric difference is present (3.8% for the Northern Hemisphere against 10.3% for the Southern Hemisphere), and the same differences can be seen when looking at single areas, such as those where very little background deposition is present: Oceania and South America, with 8.8±0.7% (0.27±0.02 Tg-S/yr) and 9.0±1.4% (0.28±0.04 Tg-S/yr) respectively, and Antarctica, with 7.7±0.7% (0.02±0.01 Tg-S/yr), where the uncertainties refer to the inter-annual S-deposition variability due to the QBO. While
in those areas both models agree on the magnitude of the changes, in some other areas, such as the Arctic Ocean (0.010±0.003 Tg-S/yr in GEOS-Chem against 0.03±0.01 TgS/yr in ULAQ-CCM) or Africa (0.44±0.07 Tg-S/yr against 0.08±0.05 Tg-S/yr, in GEOS-Chem and ULAQ-CCM, respectively), the differences between models are large and the results do not allow a defini-

tive answer. Regarding polar regions, especially in the NH where the two models differ significantly, ULAQ-CCM values seem to be more in line with retrieved values from ice cores after the Tambora eruption (at least indirectly, via a linear emission
scaling, and then only in a first approximation).

Furthermore, these deposition results could be scaled down when considering stratospheric sulfur injections lower than 4 Tg-S/yr. This might happen, for instance, in the following cases: 1) a less aggressive approach is considered to achieve different temperature reduction targets (Tilmes et al. (2016)); 2) we consider different scenarios over which to apply the proposed solar radiation management (MacMartin et al. (2014)) or 3) the sum all of indirect radiative effects of SG end up producing a negative forcing that, by going the same way as the direct solar radiation scattering, would allow for a smaller injection to obtain a certain target (Visioni et al. (2017a)). As an example, considering the 2.5 Tg-S/yr injection proposed in the GeoMIP G4 experiment (Kravitz et al. (2011)), the resulting deposition would be lowered down to 2.3% in the NH and 6.4% in the SH. Looking at some of our regional results scaled per unit Tg-S/yr injection and comparing them with the baseline deposition

- 30 fluxes reported in Vet et al. (2014), we conclude that South America would receive 0.06 Tg-S/yr more deposition (against 3.2 Tg-S/yr of baseline S-deposition, i.e., 2% per injected Tg-S/yr); the Indian Ocean would receive additional 0.12 Tg-S/yr (against 7.1 Tg-S/yr, i.e., 1.7% per injected Tg-S/yr); Europe and North America would receive additional 0.032 Tg-S/yr and 0.078 Tg-S/yr, respectively (against 12.1 Tg-S/yr and 10.5 Tg-S/yr, respectively, i.e., 0.26% and 0.74% per injected Tg-S/yr). However, it should be noted that our results and the previous discussion only focus on sulfate geoengineering considering a
- 35 stratospheric injection of SO<sub>2</sub>, with the subsequent coagulation process previously discussed (gas condensation, coagulation,

sedimentation). It might be possible to obtain different results in term of size distribution of the sulfate particles if a different injection strategy is designed, for instance using sulfuric acid or sulfur trioxide (Pierce et al. (2010); Keith and MacMartin (2015)), thus producing different results in term of cross tropopause fluxes, and finally in term of surface deposition.

- 5 Focusing on the latitudinal distribution of S-deposition, we suggest a potential significant impact due to the SG feedback on the QBO. Considering scenarios with larger injections that end up locking the lower stratospheric mean zonal winds in westerly phase (see Aquila et al. (2014); Niemeier and Schmidt (2017)), the results presented in Fig. 13bc-14 point out to an upper limit increase of the tropical SG deposition change by 16.5% with respect to a time-averaged value with an externally nudged QBO. The other evidence is a corresponding upper limit decrease of the extra-tropical deposition by 16%. However, recent
- 10 investigations by Tilmes et al. (2017) and Richter et al. (2017) show that the SG impact on the baseline QBO regimes would be significantly decreased in case of a sulfur injection located off the equator and closer to the sub-tropics, <u>using injections from</u> <u>6 to 12 Tg-SO<sub>2</sub>/yr</u>.
- As already noted by Kravitz et al. (2009), deposition results do not take into account local changes in precipitation patterns that might occur over specific areas of the globe, or the response of single ecosystems, but they might give some indications towards which areas might get affected more. In this way, the results obtained in this study should not be considered as an endorsement of sulfate geoengineering, and more results on this subject are needed, especially regarding the sulfur deposition increase over Arctic and Antarctic polar regions. We also believe that the need for further studies regarding SG is highlighted (as shown also in this paper) by the complexity and non-linear interaction among some processes that together regulate the latitude-longitude distribution of sulfur deposition changes, namely aerosol microphysics and heating rates, QBO, forcing efficiency - and circulation changes.

Data availability. Data from model simulations are available from the corresponding author.

Competing interests. The authors declare no conflict of interest

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