

Response to Reviewer # 1

Reviewer's comments are in blue. Author responses are in black.

General comment.

In the introduction, the authors already say that this question has been addressed by Kravitz et al. (2009), but they do not make a case for doing the same study again. What are the scientific questions that they are addressing that have not been answered before? Without this, I wonder why readers would want to wade their way through all the details in this paper of repeating the same experiment with two more models. Is there something about the current two models that would produce a more accurate simulation and have the potential to get a different result than before? If not, why do it? Yes, these models have an explicit nudged QBO, but would that be expected to produce anything fundamental that is different about sulfate deposition? Therefore, I recommend this paper be rejected as it has no new scientific conclusions.

We believe the reviewer is wrong in its initial judgement, starting from the title the reviewer gives to its review: "nothing new here". It is a matter of fact that any scientific study, starting from a pioneering one, tries to go further by analyzing important issues that were not considered in the first place. Otherwise, in the case of sulfate geoengineering, we would still be at the first pioneering study of Crutzen (2006). Or, if we only consider the issue of sulfur deposition, we could simply say that at the steady state what is globally deposited at the surface is what we inject in the stratosphere! Our study, on the other hand, is in our opinion a robust step forward with respect to the one of Kravitz et al. (2009), because it tries to better understand how stratospheric large scale transport and its periodic oscillations (i.e., QBO) may impact the cross tropopause sulfur fluxes and finally the regional sulfur deposition. This is what we call (even from the manuscript title) "quantification" of sulfur deposition.

We discuss what are the various complex mechanisms (dynamics and transport plus aerosol microphysics) driving the internannual changes of lower stratospheric and cross tropopause sulfur transport. The reviewer asks if the fact that the models have an explicit nudged QBO would "be expected to produce anything fundamental that is different about sulfate deposition": the results of the presence of the QBO are discussed in depth throughout section 3 and section 4.1 and 4.2 and in Figures 5,6,7,8 and 12. The connection between the QBO and the sulfate deposition is in particular detailed in Fig. 8 and 12, and in section 4.2. Only section 4.3 (Continental scale deposition) is dedicated to quantifying the deposition at a regional scale in a way that resembles the work of Kravitz et al. (2009), and we fully acknowledge it from the beginning. Furthermore, in the case of the aforementioned paper, they explicitly say that "that all the sulfur deposition is sulfuric acid" in their model, in order to calculate the upper limit of the sulfate deposition. In our simulations (see Table 4) we also tried to give an estimate for the share of SO_2/SO_4 and wet/dry deposition. Another point where our study differs from (and somewhat improves) the results from Kravitz et al. (2009) is the fact that their simulations were done with a prescribed effective radius. In our case, for ULAQ-CCM at least, we use a calculate size distribution for our aerosols and we analyze how the size of the sulfate aerosol population responds to stratospheric changes, and how this affects the optical depth (Fig. 7) and, lastly, the radiative forcing efficiency.

In conclusion, before responding to the single points raised by the reviewer, we disagree with the statement of the reviewer towards the rejection of this paper, on grounds that it is a repetition of an already published paper. We believe, indeed, that connecting changes in stratospheric

dynamics, sulfate aerosol microphysics and deposition is definitely a robust step forward, and that this is highlighted in multiple parts in our manuscript, in particular:

- 1) in Fig. 4 where we lay out the basis for our “advancement”.
- 2) In Fig. 5 and 6 where we show how the tropical sulfate burden (and thus, as we show in Fig. 7, the AOD) is modified by the stratospheric dynamics. In Section 3, we conclude by looking at how these modulations are connected to different RFs. We also point out, in Section 3 and in the conclusions, that having an externally nudged QBO allows us to separate the feedback of the QBO in the confinement of the aerosols in a way that other studies (i.e. Niemeier and Timmreck, 2015), which have an internally generated QBO cannot, and how this might be important to better understand the underlying physical feedbacks.
- 3) In Fig. 12 where the microphysical and dynamical changes are highlighted by looking at the differences in strat-trop exchange during the different QBO phases.
- 4) Lastly, in fig. 15, where the regional deposition results are shown with the variability due to the discussed stratospheric changes.

Following this introduction, we will answer each issue the reviewer has raised, in hope that they (and any reader that might be interested) might be convinced of the goodness of our effort.

The abstract and conclusions use the metric of % of current sulfur deposition, but this relative value is not nearly as important as the total mass. Does the increased deposition in pristine regions represent a threat in terms of acid deposition on land or in the ocean?

The calculations are done throughout the paper in terms of total mass (Tg-S/yr; global, hemispheric, regional) (see Figures from 9 to 15 and Tables 2,3,4 and 5). In addition we show relative changes (in percent), simply because the community would like to know how much a potential implementation of a sulfate geoengineering SRM technique will affect local sulfur deposition values, relatively to the unperturbed background value. The reviewer suggestion to include also in the abstract the total mass metric will be taken into account by presenting there both absolute and relative calculated sulfur deposition changes. In the revised manuscript we shall also try to improve our final discussion towards deposition changes in pristine areas.

The global average results they get can already be easily calculated because in equilibrium 8 Tg SO₂ into the stratosphere per year will produce the same surface deposition.

This seems rather obvious. At the equilibrium (and if the mass is conserved, as should be in a climate model) we have to deposit 8 Tg-SO₂/yr, if this amount is actually injected into the stratosphere. However, we show throughout the paper how significant interannual variations are produced by the QBO modulation of the stratospheric circulation, with induced changes in cross tropopause sulfur fluxes (in particular see Fig. 12, which shows the E-W phase average differences, produced by a full coupling of aerosol microphysics and large scale transport). This is indeed a robust step forward of our study, contrary to the reviewer general statements (see above).

p. 3, lines 31-33: The authors say they did a G4 experiment, but G4 required 5 Tg SO₂ per year and not 8 Tg/year. This has to be corrected here and throughout the paper. G4 also had a 50-year emission and then a halt to emissions. The authors also have to explain why they chose to use 8 Tg/year.

Although the original definition of G4 in the Kravitz et al. (2011) paper is the one correctly reported by the reviewer, the overall meaning of the G4 experiment is to impose a fixed SO₂ injection, contrary to G3 where a time increasing injection is adopted in order to balance the increasing TOA positive radiative flux (essentially due to GHGs). We have adopted a “minor” adjustment of the original G4 definition by simply using a 8 Tg-SO₂/yr injection instead of 5 Tg-SO₂/yr and for a time period shorter than 50 years (even though the complete G4 numerical experiments run with the ULAQ model were carried out for 50 years plus 20 years termination period, as documented in Vioni et al., 2017b). The reviewer is right in asking us to explain why we chose a 8 Tg/yr injection: we did it in order to have, in ULAQ-CCM, the appropriate surface temperature taken from a fully coupled simulation run with the CCSM-CAM4 model that used a 8 Tg-SO₂/yr injection. In note 3 of Table 1 we briefly cite the aforementioned Vioni et al. (2017b) paper where we discussed this in depth. The choice of injection for GEOS-Chem follows in order to have a comparable injection to ULAQ-CCM. In the revised manuscript we will try to make it clear once again. In the conclusions, however, we already acknowledge (line 26-28, p. 34) that the proposed G4 injection by Kravitz et al. (2011) is 2.5 Tg-S/yr.

Why was the ULAQ model run with such low horizontal resolution but high vertical resolution? Does this affect the results? For example, how well is tropospheric deposition really simulated, as I would think the precipitation would not be expected to be able to address issues like rainout and washout of sulfate aerosols, and distinguish between wet and dry deposition? How well does it do this for the current climate with not geoengineering? Geos-Chem also seems to combine low horizontal resolution with high vertical resolution. Why?

Both ULAQ-CCM and GEOS-Chem use (in this study) a horizontal resolution close to T21, which we would not define as a “such low horizontal resolution”. This is a fully acceptable resolution for studies focusing on stratospheric dynamics and transport and strat-trop exchange. Of course, it is possible to use higher horizontal resolutions, but this is not a strictly physical requirement. Many model intercomparison campaigns prove this (see for example SPARC-CCMVal-2 or CCMi). The use of a high vertical resolution is necessary to properly catch the tropopause altitude, due to the different aerosol behavior above and below the tropopause altitude. We do not understand the reviewer argument on wet and dry deposition. Both models account for wet, dry and gravitational deposition. Washout takes into account the contribution of large-scale and convective precipitation (see descriptions at page 9 lines 11-15 for ULAQ-CCM and page 10 lines 11-14 for GEOS-Chem).

Furthermore, regarding the reviewer question “How well does it do this for the current climate with not geoengineering?”, we believe this is deeply explored in the manuscript considering we use Fig. 13 to compare our regional deposition results in the non-geoengineered case with two previously published results (Lamarque et al., 2013 and Vet et al., 2014) that used both multi-model ensembles and observations and Table 4 to compare our breakdown of wet and dry deposition to the same papers.

p. 9, line 22, starts in the middle of a sentence. Something is missing. How do you explain the longitudinal patterns of deposition changes in Fig. 11? Why are the depositions in the Northern Hemisphere much larger in the already polluted regions? Kravitz et al. (2009) also found this (their Fig. 2), at least for North America and Asia. Is this the region of maximum STE along tropopause folds and storminess, and just over the polluted regions by chance?

We apologize for the mistake at p.9, line 22. Yes, the words “The ULAQ-CCM” are missing, so that the correct phrase is “The ULAQ-CCM ability in producing a correct confinement of sulfate aerosols in the tropical stratosphere in SG or post-volcanic conditions has already been extensively tested...”

Regarding the deposition changes, we believe we have discussed this in p. 24, lines 10-13: “Non-zonal asymmetries of mid-latitude deposition flux changes result essentially from planetary wave modulation of the strat-trop downward flux, coupled to the precipitation frequency in the lower troposphere (see discussion below)” and lines 25-31: “Its maxima resemble a planetary wavenumber 1-2 modulation of the lower stratospheric poleward sulfate transport from the tropical pipe reservoir, thus consequently producing non-zonal asymmetries in the tropospheric sulfate influx. The tropospheric convective vertical mixing coupled to wet scavenging produces a tropospheric sulfate lifetime of approximately 5 days in the ULAQ-CCM (Pitari et al., 2016a). In a first approximation, zonal transport operated by the westerlies tends to move the downward moving sulfate coming from the tropopause by approximately 6500 km in a time period comparable to the tropospheric sulfate lifetime. This seems roughly consistent with the westerly displacement of mid-latitude sulfur deposition flux changes of Fig. 11a with the strat-trop sulfur downward fluxes of Fig. 12a.”

While it is true that some of those changes happen in already polluted zones, this is true for the East coast of North America and for the East coast of Asia also in our models, but not, for instance, Europe. This is because the reason is a combination of the position of the cross-tropopause downwelling with rainout at certain locations. This is in good agreement with the findings in Kravitz et al. (2009), as the reviewer states. We will be sure to add this in the discussion in the revised manuscript.

The authors would also need to address the 14 comments in the attached annotated manuscript.

- 1) P. 1, line 2: In the IPCC report from the Working Group III (Mitigation), Geoengineering is listed in Table 2.1 as a “other mitigation” scenario type, so we thought it was appropriate. However, following the reviewer advice, we will replace it with the word “offsetting”.
- 2) P.1, line 7-8: we agree, and will consistently add in the abstract also the absolute mass changes.
- 3) P.1, line 21: corrected.
- 4) P.1, line 23: we acknowledge this is imprecise and will correct it.
- 5) P.2, line 2: corrected.
- 6) P.2, line 5: we are aware of that. The error arose because the year refers to the republishing year of the chapter “The Climate of the Future” by the AGU (<http://onlinelibrary.wiley.com/doi/10.1002/9781118665251.ch7/summary>) but the year should definitely be 1977 which is when it was published for the first time.
- 7) P.3, line 10: changed.
- 8) Table 1: “pressure”, corrected.

9) Table 1: “Online” instead of nudging: considering that GEOS-Chem is a CTM, and that it is driven by assimilated meteorological fields (in this case, MERRA reanalysis), we believe it is not correct to say that there is a “nudged” QBO, that for ULAQ-CCM means that the latter model calculated its own circulation (being a CCM), but then is nudged towards a certain QBO. So we believe that the use of two different words is correct. We will further expand on this in the appropriate sections (2.1 and 2.2) to make it clearer.

10) Page 10, line 22: “The ULAQ-CCM” added, as discussed above.

11) Page 33, line 3-4: as we explain right after, we meant to say that the cooling effect of injecting sulfate in the stratosphere, given what it has been measured in case of explosive volcanic eruptions, would be a rather certain effect. However, we agree that a rephrasing would clarify our meaning and make it more correct.

12) Page 33, line 5: corrected.

13) Page 34, line 10-11: We are not sure about what the reviewer means: As we explain in the manuscript (see for instance table 2), our emissions consider both anthropogenic and natural (DMS) emissions, so that the global Base deposition is a result of both human and natural emissions. Therefore, our changes in case of SG are calculated against the sum of those two. If it was not the case, Fig. 13 (where we compare our Base results against Lamarque et al., 2013 and Vet et al., 2014, where they consider both natural and human emissions) would not give correct results.

14) Page 36, line 13: corrected, as discussed above.

Response to Reviewer # 2

Reviewer's comments are in blue. Author responses are in black.

This is an interesting new investigation into the response of global sulfur deposition to a sulfate geoengineering scenario. Three central questions are addressed: the net global and regional response of sulfur deposition rates to a specific sulfate geoengineering scenario; the quantitative and mechanistic differences between two models (with and without dynamic feedbacks) in their calculated responses; and the role of the quasi-biennial oscillation (QBO) in understanding the response. Feedbacks via modification of the QBO are not investigated. The authors conclude that, although the models are in agreement regarding background sulfur deposition, significant inter-model differences exist between the deposition patterns predicted for a 4 TgS/yr geoengineering scenario. However, the effect of the QBO is broadly consistent between the two models. During the QBO W phase (E shear), longer lifetimes are observed for the injected aerosols, but the overall AOD achieved is maximized during the E phase (W shear).

The central questions of this paper are mixed in terms of the level of interest, but the methods used are appropriate. The data produced by the paper fully support the conclusions, which have been appropriately caveated to take into account the limited scope. I particularly appreciate the fact that the paper is trying to isolate the effect of the QBO on sulfate geoengineering in the absence of feedbacks, which provides insight which might be lost or obscured in a model with a fully interactive QBO.

We would like to thank the reviewer for taking the time to thoroughly read the paper, and for his generally positive comments.

However, the paper is misrepresented by its title. It promises only a rerun of the work by Kravitz et al (2009), which already quantified sulfur deposition under a geoengineering scenario almost identical to that presented here. This is a shame, because the authors present a detailed and interesting investigation of the mechanisms by which sulfate geoengineering might increase sulfur deposition rates, with a deep dive into the role that might be played by the QBO which I find to be deep and insightful. I would strongly advise that the authors consider a new title which highlights their work on mechanisms, model intercomparison, and the role of the QBO.

We thank the reviewer for his insightful analyses. We will most definitively modify the title to shift the focus more towards the mechanisms that tie stratospheric dynamics and sulfur deposition. We believe the new title could be "Sulfur deposition changes under sulfate geoengineering conditions: QBO effects on transport and lifetime of stratospheric aerosols". The abstract will also be largely adjusted to highlight these aspects.

Such an intercomparison should include a thorough analysis of the differences and similarities between the results from this study and those from Kravitz et al's original analysis. The paper should also be restructured to bring their thorough work on mechanisms to the fore, rather than the already-explored net impact of geoengineering on deposition. If such changes are made, and if the other comments below are addressed, I believe that the results shown would be appropriate for publication in ACP.

We thank the reviewer again. In the revised manuscript, we will move the focus more towards what is now Section 3 (different effects of E,W QBO regimes on the injected stratospheric sulfate)

and in that part of Section 4 dealing with QBO effects on strat-trop aerosol exchange and surface deposition. We will make it clear how a coupling of stratospheric transport oscillations (i.e., QBO) and aerosol microphysics may produce significant effects in sulfate aerosol transport, size distribution, lifetime, cross-tropopause fluxes and finally surface deposition.

As for many studies of geoengineering, the authors make comparisons to results from studies of volcanic eruptions. However, the comparisons often seem superficial, such as the paragraph beginning on line 16 of page 24, where a study of Tambora by Marshall et al (2017) is invoked without any serious quantitative comparison. The Marshall et al paper in particular is heavily referenced in spite of not having passed peer review at time of submission. I recommend that the authors make their comparison to volcanic eruptions more quantitative. The differences between a volcanic and SG scenario should also be made clearer and more quantitative prior to any comparison, including differences in aerosol size evolution, lifetime, and distribution.

Regarding the Marshall et al. (2017) paper (now in pre-print), we didn't make the discussion quantitative because we were aware that it would not have been completely scientifically sound, as we did for example regarding the baseline deposition with the Vet et al. (2014) paper. We will try to make it clearer in the revised version what are the limits of comparing volcanic eruptions and SG, as the reviewer suggests, by discussing specific points, such as the size distribution and e-folding time evolution and the impact of both geographical location of the eruption and timing (in relation to the QBO phase). The reference to Marshall et al. (2017) will be made significantly lighter in the revised manuscript. However, we believe that the use of sulfate deposition measurements from ice cores in Greenland and Antarctica may be seen as an added value of our study, although with the above caveats.

The authors may want to consider reporting their results normalized by the injection rate. This would allow a more direct comparison to other work, including that of Kravitz et al, and is already implied by (eg) the discussion regarding linearity on page 30.

This is a very interesting suggestion, and one we most definitely follow. Thank you. Part of the discussion on surface deposition will be changed by normalizing the results to the injection rate.

Minor comments and suggestions

Table 1: The GEOS-Chem vertical grid should read "hybrid pressure-sigma", not "hybrid pressure-sigma"

Corrected

Page 6, line 20: "with a 11.5% due to" should be "with 11.5% due to"

Corrected

Page 10, line 17: "aerosol firsts by looking" should be "aerosol first by looking"

Changed

Page 10, line 22: The opening of this sentence appears to be missing.

We corrected this oversight on our part. The words “The ULAQ-CCM” are missing.

Page 21, line 11: I assume this should be “1-2” days. The use of a division sign instead of a dash happens elsewhere too (also page 24, line 13).

Corrected everywhere.

Page 24, line 4: “pointing out to a” should be “pointing to a”

Corrected

Figures 13, 14, 15, and 16: it is not clear to me why the points are joined by a line. This implies continuity between data points along an axis where none exists, as each point represents a distinct region.

Fig. 13 will be modified accordingly (local deposition is closely related to local tropospheric emissions of sulfur with short lifetime and to the surface area of any region; so that there is no rationale in connecting points for different geographical regions). Fig. 16 does not exist. Figure 14 and 15 will be merged in a single six-panel figure with the first two panels in absolute units and no connection of points (absolute deposition changes are again a function of the surface area of any region). The subsequent four panels will be those of Fig. 15 (percent changes) and we believe they might stand as they are now. Here, in fact, we would like to emphasize the interannual variability driven by the QBO with respect the total variability, which also includes monthly changes. Both land and ocean regions are ordered from South to North, so that the latitude-dependent relative weight of the QBO-driven variability on percent deposition changes is better readable and the use of shaded areas helps in showing the two variabilities at the same time.

Response to Short Comment by Guido Visconti

We thank the commenter for having taken the time to read the manuscript and give us such a long and thorough feedback. We address below all the points he raised.

Comments are in blue. Author responses are in black.

This paper as most of modeling papers neglects the experimental data. I would require the authors to make a comparison of their baseline results (without the SO₂ injection) with the available data as done for example in the Vet et al (2014) paper (see Figure 1 bottom).

We don't know about other modeling papers, but in our work the comparison with available data for the baseline deposition is already made [see Fig. 13, in particular; but also: Table 2; Section 1, page 3 lines 17-18; Section 4.3, page 28 lines 1-12; see also Section 4.3, page 30 lines 1-13]. In light of this, we wonder if the commenter did really read the manuscript, as it should obviously be the case in the scientific community to have a solid and detailed background for writing a meaningful comment. By the way, we made such a comparison exactly with values from Vet et al. (2014), who give estimates (as stated in lines 4-5, page 28) that rely on both a multi-model intercomparison and available observations. So as a coincidence, what the commenter "required" us to do (possibly mistaking himself for one of the reviewers) had already been accomplished.

They should also produce a figure for the baseline deposition results so that the effects of the injection could be compared with absolute values.

Fig. 13 does already show the baseline deposition results integrated over the different regions of the globe (both land and oceans) and we believe it should not be a major problem for the commenter to take the integrated values expressed in Tg-S/yr, divide them by the surface area of the specific region and obtain the deposition flux in the same units as in the figure presenting the geoengineering changes (Fig. 11). Should it? Anyway, for the sake of "graphical completeness" in the supplementary material of the revised manuscript we will also provide the lat/lon map in units of mg-S m⁻² yr⁻¹.

As a matter of fact Kravitz et al. (2010) paper shows for a 2.5 Mt S injection a deposition which is comparable to the present observed acid deposition in regions of Europe, Asia and North America (see attached Figure 1). The suspicion is that this paper has similar results (increase in areas deposition rate up to 15%).

This comment is contradictory. First of all, the commenter's attached Figure 1 shows indeed that the sulfur deposition in regions of Europe, Asia and North America increases up to 15%. To us this is not "comparable to the present observed acid deposition", but one order of magnitude less! Said that, why should he write "suspicion", when our paper clearly shows the relative deposition changes in Fig. 11, Fig. 14 and also in Table 5? (with no mystery at all).

If this is so it means that injecting sulfur in the stratosphere would produce an acid deposition similar or greater to the present one especially for the envisioned large injection rates at the end of the century (Kravitz et al., 2017).

As replied above, an injection of 2.5 or 4 Tg-S/yr in the tropical lower stratosphere does not produce an acid deposition similar or greater to the present one, anywhere in the globe (but typically lower than 15%, or even much lower). Different is the case for larger envisaged injections. Sadly, the Kravitz et al. (2017) paper came out after we submitted this, so we could not discuss it in our conclusions. However, we already planned to discuss their results in the revised manuscript (along with those from the other companion papers, such as Tilmes et al., 2017 and Richter et al., 2017).

By the way they refer always to Kravitz et al. (2009) paper ignoring the correction to the same paper (Kravitz et al., 2010).

We are, of course, aware of the correction. However, as the authors state in the correction, the mistake they made “does not change the conclusion that all but the most sensitive, pristine areas of the world have significant buffering capacity against additional sulfuric acid that would result from geoengineering.”. Indeed, our comparison with the results from Kravitz et al. (2009) is mainly done with their Figure 3 as presented in their Correction. We agree with the commenter that we should mention in the paper the presence of the Correction itself and we shall do so in the revised manuscript.

If they really want to show the effects of QBO they could make this comparison with the QBO on and off in their model and again make a comparison with experimental data.

We disagree with the commenter on this. Our point is not to discuss the presence of the QBO in a baseline scenario, regarding base sulfate deposition. As we point out in page 14, line 6, this has been studied in Hommel et al. (2015) and is not the point of our paper. As they point out, the amount of baseline stratospheric sulfur is so low and the particles so small that the effect is difficult to constraint. The point we try to make in our paper is that the added sulfur, which produces much larger sulfate particles than the ones already present, is rather sensible to the QBO wind shear. Furthermore, the different confinement produces different dynamical effects that we showed in Fig. 5, Fig 7 and lastly in Fig. 12, where the deposition of the added sulfate is analyzed during the two different QBO regimes. This also means that for greater injections (like the ones discussed in Kravitz et al., 2017) which are capable of significantly impact the QBO, the deposition would not follow the pattern shown in our paper and in Kravitz et al. (2009-2010), but would be more localized in the tropical regions (see Fig. 12). However, as shown in Richter et al. (2017), this QBO modification could be reduced if the sulfur injection is not made at the equator, but closer to the subtropics.

Beside this question of QBO is quite peculiar. QBO (like AO or PDO) should be an intrinsic feature of any general circulation model and not introduced with a specific routine in the model. The authors should explain such characteristic.

For a discussion of the methods used in the CCM family to treat the QBO, including an external nudging procedure, we suggest the commenter to read Morgenstern et al. (2010) and Morgenstern et al. (2017), both cited in our paper. We agree that having an internally generated QBO would be ideal (for inclusion of the feedbacks), however our externally nudged QBO produces results that, when compared to available observations performs rather well: see for instance Visoni et al. (2017b), where a validation of ULAQ-CCM with available CH₄ and N₂O data (from HALOE and TES) is presented, or Pitari et al. (2016a) and Pitari et al. (2016b), where the

ULAQ-CCM results are compared against available observations for past volcanic eruptions of aerosol optical thickness (against SAGE-II and AVHRR measurement), w^* (against MERRA reanalyses) and age-of-air (against measurements available in Strahan et al., 2011, Andrews et al., 2011 and Engel et al., 2009). We take the liberty to cite here an intelligent comment of the anonymous reviewer 2: “I particularly appreciate the fact that the paper is trying to isolate the effect of the QBO on sulfate geoengineering in the absence of feedbacks, which provides insight which might be lost or obscured in a model with a fully interactive QBO”.

In light of this (and considering that, as opposite to what the commenter states in the first line of the comment, we always provide in our studies any form of evaluation based on available observations), we disagree with the commenter when he states that a certain feature SHOULD be an intrinsic feature of a GCM. Our model, as many CCMs, doesn't have such an intrinsic feature (i.e. internally generated QBO), but uses a nudged QBO from the observed time series of equatorial winds, and still performs in a reasonable way when compared to observations. Furthermore, in this particular case, having an externally nudged QBO allows us to separate the different effects of the stratospheric sulfur injection in a way that makes it possible to provide useful information regarding the stratospheric distribution of the aerosols and the strat-trop exchange under geoengineering conditions, which then relates to the zonal deposition of sulfate.

Quantification of sulfur Sulfur deposition changes under sulfate geoengineering conditions: QBO effects on transport and lifetime of stratospheric aerosols

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Abstract.

Sustained injection of sulfur dioxide (SO₂) in the tropical lower stratosphere has been proposed as a climate engineering technique ~~with the purpose of temporarily mitigating the surface warming predicted~~ for the coming decades. Among several possible environmental side effects, the increase of sulfur deposition ~~at the ground surface still needs to be thoroughly~~ investigated deserves additional investigation. In this study we present results from a composition-climate coupled model (ULAQ-CCM) and a chemistry-transport model (GEOS-Chem), assuming a sustained lower stratospheric equatorial injection of 8 Tg-SO₂/yr. Total S-deposition is found to globally increase by 5.2% when sulfate geoengineering is deployed, with a clear interhemispheric asymmetry (+3.8% and +10.3% in NH and SH, respectively). ~~The latter is mostly due to the combination of a quasi-homogeneous tropospheric influx of sulfate from the stratosphere, and the highly inhomogeneous amount of anthropogenic sulfur emissions in the boundary layer (mostly located in the Northern Hemisphere due to +2.2 Tg-S/yr and +1.8 Tg-S/yr, respectively)~~. The two models show good consistency in their sulfur species behavior, both globally and on regional scale under background and geoengineering conditions, ~~not only for global and hemispheric budgets but also for regional except for S-deposition values (except over Arctic and Africa) changes over Africa and the Arctic~~. The consistency ~~between models is not limited to~~ is on time averaged values, but ~~it extends to also on~~ monthly and inter-annual deposition changes. The latter is driven essentially by the variability of stratospheric large-scale transport associated to the quasi-biennial oscillation (QBO). ~~According to model mean values, geoengineering S-deposition percent changes on polar regions range between -7.7±0.7% over Antarctica and 8.5±1.3% over the Arctic, where the uncertainty reflects the model-averaged interannual variability. Similar S-deposition changes are found over quasi-clean continental regions of the Southern Hemisphere, and smaller values are calculated over polluted continental regions of the Northern Hemisphere (-2÷-4%)~~ Using an externally nudged QBO, it is shown how a zonal wind E-shear favors aerosol confinement in the tropical pipe and a significant increase of their effective radius (+13% with respect to W-shear conditions). The ~~largest difference between the two models is found over Africa and the Arctic (-11% and 2%, respectively, for GEOS-Chem, against 2% and 15%, respectively, for ULAQ-CCM)~~ net result is an increase of the downward cross-tropopause S-flux over the tropics with dominant E-shear conditions respect to W-shear periods (+0.61 Tg-S/yr, +42%, mostly due to enhanced aerosol gravitational settling) and a decrease over the extratropics (-0.86 Tg-S/yr, -35%, mostly due to decreased large scale strat-trop exchange of geoengineering sulfate). This

translates into S-deposition changes that are significantly different under opposite QBO wind shears, with an E-W anomaly of +0.32 Tg-S/yr in the tropics and -0.67 Tg-S/yr in the extra-tropics. Most online QBO schemes predict a significant change of the zonal wind periodicity, up to a blocked E-shear condition for large enough injections, so that our results indicate an upper limit tropical increase of S-deposition by 16.5% relative to average conditions of unperturbed QBO periodicity and a correspondent extratropical S-deposition decrease by 16%.

1 Introduction

The evidences of the increase in greenhouse gases (GHGs) due to ~~increased~~ anthropogenic emissions and the sequent increase in surface temperatures has started discussions on the possibility of temporarily altering the climate to alleviate some of the consequences. Injecting ~~sulfate aerosol (in particular, sulfur dioxide (SO₂))~~ in the tropical lower stratosphere in order to simulate the cooling effects of explosive volcanic ~~eruption eruptions~~ is one of ~~those~~techniques proposed for this purpose. In the case of explosive eruptions, the cooling effect comes from the increase in stratospheric aerosol optical depth (by one order of magnitude or more) due to the nucleation and condensation of H₂SO₄ formed through OH oxidation of the initial volcanic SO₂ cloud injected into the stratosphere (McCormick and Veiga (1992); Lambert et al. (1993) ; Long and Stowe (1994)). ~~✗~~ These gas-particle microphysical processes, coupled to additional aerosol growth due to coagulation, produce an optically active cloud which is highly reflective in the visible and UV, causing a substantial decrease in solar radiation reaching the Earth surface and, subsequently, a global surface cooling. This same effect could in principle be achieved by deliberately injecting ~~SO₂~~ SO₂ into the stratosphere (Budyko (1974); Crutzen (2006); Niemeier and Tilmes (2017)). However, other direct and indirect effects have been observed together with the surface cooling, such as a 2-3 K warming of the tropical lower stratosphere after the Pinatubo eruption (Labitzke and McCormick (1992)) and a decrease of about 20 DU of the tropical ozone column in the 16-28 km layer during October-November 1991 (Grant et al. (1992)).

Many studies have already been carried out regarding possible side-effects of sulfate geoengineering (SG) (Visioni et al. (2017a)), mainly under the Geoengineering Model Intercomparison Project (GeoMIP), where several different model experiments regarding SG have been devised (Kravitz et al. (2011); Robock et al. (2011); Kravitz et al. (2012)), considering a background anthropogenic forcing profile corresponding to Representative Concentration Pathway 4.5 (RCP4.5) (Taylor et al. (2012)). In particular, the G4 experiment described in (Kravitz et al. (2011)) aims to simulate a constant injection of a certain number of Tg-S/yr into the lower stratosphere. Regarding possible effects on ozone, an enhancement of stratospheric ozone destruction has been reported in Tilmes et al. (2008), with subsequent significant increase of surface UVB in the polar regions (Tilmes et al. (2012)) and together with a general decrease in upper tropospheric ozone due to perturbed strat-trop fluxes (Xia et al. (2017)). An increase in the concentration and lifetime of methane has also been found (Visioni et al. (2017b)). Coordinated modeling experiments, such those under the umbrella of the on-going SPARC-CCMI intercomparison, have been suggested by Tilmes et al. (2015), through the use of a prescribed field of surface area density of stratospheric sulfate aerosols,

in order to bound model uncertainties pointed out in Pitari et al. (2014).

Dynamical changes and perturbations in the transport of stratospheric tracer species, due to the local stratospheric heating and to the cooling of the surface have already been studied regarding volcanic sulfate particles, as documented in a rather rich literature (e.g. Pitari (1993); Kirchner et al. (1999); Soden et al. (2002)). The increase of aerosol heating rates in the tropical lower stratosphere affects the stratospheric mean meridional circulation, while at the same time the changing atmospheric stability (due to the surface cooling) alters the planetary wave propagation in the mid-to high latitude lower stratosphere. Regarding possible side effects of SG, a study by Aquila et al. (2014) analyzed the effects on the quasi-biennial oscillation (QBO), a periodic oscillation between zonally symmetric easterly and westerly winds that ~~affects many other components of the dynamics of the atmosphere, such as~~ may significantly impact the whole stratospheric dynamics, as for example the strength of the polar vortex (Holton and Tan (1980)) and the transport of stratospheric aerosols and trace gases from the tropics to mid-high latitudes (Trepte and Hitchman (1992)). In the aforementioned study by Aquila et al. (2014), further confirmed by Niemeier and Timmreck (2015), a prolonged QBO westerly phase in the lower stratosphere was found as a consequence of SG, with larger SO₂ injections producing increasing heating rates and finally larger QBO perturbations.

The lifetime of tropical aerosols in the lower stratosphere may change under different QBO conditions, since the latter controls the isolation of the tropical pipe, thus reducing the amount of large scale transport in the downwelling branch of the Brewer-Dobson circulation. In particular, the stratospheric aerosol lifetime during volcanic eruptions taking place under a QBO easterly shear of the equatorial winds (e.g. Nevado del Ruiz, Pinatubo) has been shown to be longer with respect to the lifetime for eruptions under a QBO westerly wind shear (e.g. Agung, El Chichón) (Pitari et al. (2016b); Pitari et al. (2016a)).

Moreover, a question that often arises regarding SG, is how much the injection of sulfate would affect its deposition, and whether this deposition would take the form of acid rain by considering which portion of deposition is wet and which is dry. Early results on this problem have been obtained by Kravitz et al. (2009), who found that the additional sulfate deposition ~~(assuming in their studies, considered as if~~ all deposition is in the form of sulfuric acid) ~~wouldn't~~ would not be enough to have any impact on ecosystem throughout the globe ~~. In this work (see also the available addendum correction, Kravitz et al. (2010), that does not change the overall conclusions of the previous paper, as the authors state). In the present work,~~ we plan to ~~further~~ expand on their ~~finding~~ findings further, by including the QBO effects on the stratospheric circulation, to see if these dynamical oscillations may produce significant changes in S-deposition.

This paper is organized in 3 subsequent parts, plus the conclusions. In the first part we describe the two models used in the experiment ~~, the~~ (University of L'Aquila Composition-Chemistry Model (ULAQ-CCM) and the community and Goddard Earth Observing System Chemistry-Transport Model (GEOS-Chem). In the second part we analyze how the lifetime of geo-engineering sulfate aerosols can be correlated to ~~changes in the stratospheric circulation and to different phases of the QBO~~ and stratospheric circulation changes and different QBO phases, in order to better understand the mechanisms regulating the

sulfur deposition time variability. The latter will be shown to be mostly produced by QBO-driven stratospheric circulation changes and induced modifications of the aerosol size distribution. In the third part ~~we evaluate~~, we first evaluate the model results of ~~sulfur deposition baseline S-deposition~~ with independent multi-model simulations and available surface observations ~~and~~. After that, we quantify the geoengineering-driven deposition changes on land and ocean regions, looking at both time
5 averaged values and at the time variability induced by inter-annual oscillations of the stratospheric circulation. time-averaged
continental-scale deposition changes produced by SG, pointing out to the role of different QBO wind shears in regulating
the latitudinal distribution of S-deposition changes. We finally highlight a possible upper limit latitudinal modification of the
time-averaged S-deposition in the case SG aerosol heating rates are allowed to feedback on the QBO itself.

10 2 Description of models

In this section, we present a compact description of the two models used in this experiment. The choice to use a chemistry-climate model (CCM) and a chemical transport model (CTM) stems from the need to account for changes of the stratospheric circulation, attributable to chemical and radiative interactions of geoengineering sulfate aerosols. The ULAQ-CCM was already tested in similar conditions both for large explosive volcanic eruptions (Pitari et al. (2016b); Pitari et al. (2016a)) and sulfate
15 geoengineering (Pitari et al. (2014); Visioni et al. (2017b)). At the same time, we wanted to support the global CCM conclusions on sulfate deposition with the results of a 'transport-robust' and widely tested community model such as GEOS-Chem, a CTM using observed meteorology from MERRA reanalysis.

We performed two sets of simulations with both models: an unperturbed (Base) case and a geoengineering perturbed (G4)
20 case, with an injection of 8 Tg-SO₂/yr in the equatorial stratosphere (between 18 and 25 km of altitude), as described in the GeoMIP G4 experiment (Kravitz et al. (2011)). The simulations were however performed during different time periods for ULAQ-CCM and GEOS-Chem. For the former, the simulated period is between 2020-2090, with analyses focusing on the 2030-2039 decade, with the Base and the G4 cases both taking place under the same background RCP4.5 scenario. For GEOS-Chem, on the other hand, the simulated period is between 1998 and 2005 (with 1998-99 for spin-up) and the simulations use
25 assimilated dynamics for those years, both in the unperturbed and geoengineering perturbed experiments and with the same sulfur injection amount as in ULAQ-CCM for the G4 case. A third simulation was carried out with ULAQ-CCM as a reference case during the historical period (1990-2010), in order to consistently evaluate model results on regional deposition against GEOS-Chem results, independent multi-model simulations and available surface observations.

30 A compact summary of model features in these numerical experiments is presented in Table 1, along with the most relevant aerosol related quantities averaged over years 2000-2005 in GEOS-Chem and ULAQ-CCM reference case, and over years 2030-39 for ULAQ-CCM Base (RCP4.5) and G4 simulations. The most important drivers of stratospheric sulfate aerosol formation, horizontal/vertical transport and removal are highly consistent in the two models, namely: SO₂ oxidation, tropical

upwelling coupled to isentropic mixing out of the tropical pipe, tropospheric influx due to large-scale downwelling in the Brewer-Dobson lower branch and gravitational sedimentation. The same is also true for the calculated SO_2 and SO_4 lifetimes, with a somewhat longer lifetime for geoengineering stratospheric aerosols in GEOS-Chem with respect to ULAQ-CCM (i.e., 13.5 months versus 12.1 months) mostly attributable to a larger effective radius of aerosols particles in the latter model. The

5 assumption of a uniform SO_2 stratospheric injection in GEOS-Chem is also significant from this point of view, by keeping a larger fraction of geoengineering sulfate mass at higher altitudes over the tropical tropopause, with respect to ULAQ-CCM, which adopted a Gaussian distribution centred at 21.5 km. Global budgets of sulfur emission and deposition fluxes at the ground surface are also consistent between the two models.

Table 1. Summary of model features in this experiment. Aerosol related quantities are averaged over years 2000-2005 in GEOS-Chem and ULAQ-CCM reference, and over years 2030-39 for ULAQ-CCM Base (RCP4.5) and G4 simulations.

Model	GEOS-Chem	ULAQ-CCM	
Years of simulation	1998-2005	1990-2010	2020-2050
Type of simulation	Base + G4	Reference	Base (RCP4.5) + G4
Ensemble size	1 + 1	2	1 + 2
Horizontal and vertical resolution	4° × 5°, L72 hybrid pressure-sigma top: 0.01 hPa	5° × 6°, L126 log-pressure top: 0.04 hPa	
Chemistry	On-line (strat + trop)	On-line (strat + trop)	
Dynamics	Assimilated ¹	Calculated ²	Calculated ³
QBO	Online (with assimilated winds)	Nudged (from equatorial wind observations)	Nudged (iteration of observed cycles of equatorial winds)
Tropical w (mm/s) [30-70 hPa] [20S-20N]	+0.24	+0.25	+0.25 (Base) +0.26 (G4)
Altitude of equatorial injection of SO ₂ in experiment G4	18-25 km (uniform distribution)	-	18-25 km (Gaussian distribution)
Stratospheric sulfate aerosols [50 hPa equatorial effective radius (μm)]	Bulk ⁴ 0.19 (Base) 0.62 (G4)	Calculated size distr ⁵ 0.19	Calculated size distr ⁵ 0.19 (Base) 0.78 (G4)
Aerosol settling velocity (mm/s) [30-70 hPa] [20S-20N]	-0.09 (Base) -0.34 (G4)	-0.09	-0.09 (Base) -0.38 (G4)
Stratospheric SO ₄ flux out of the tropical pipe (Tg-S/yr)	0.05 (Base) 2.31 (G4-Base)	0.04	0.04 (Base) 2.55 (G4-Base)
Stratospheric lifetime of SO ₄ (months)	13.7 (Base) 13.5 (G4-Base)	12.4	12.6 (Base) 12.1 (G4-Base)
Stratospheric lifetime of SO ₂ (days)	27.9 (Base) 29.0 (G4-Base)	27.5	23.4 (Base) 32.1 (G4-Base)
S-emission fluxes (Tg-S/yr) [Base]	60 (SO _x) 18 (DMS)	67 (SO _x) 28 (DMS)	50 (SO _x) 28 (DMS)
S-deposition fluxes (Tg-S/yr) [Base]	76.8 (total) 44.1 (land) 32.7 (ocean)	93.3 (total) 54.0 (land) 39.3 (ocean)	76.2 (total) 38.0 (land) 38.2 (ocean)
S-deposition flux changes (Tg-S/yr) [G4-Base]	4.0 (total) [5.2%] [3.2% NH 10.6% SH] 1.5 (land) 2.5 (ocean)	-	4.0 (total) [5.2%] [4.4% NH 10.0% SH] 1.8 (land) 2.2 (ocean)

¹ 30-year reanalysis MERRA, at native horizontal resolution of 0.5° × 0.666°.

² Sea surface temperatures from observations; calculated land temperatures.

³ Surface temperatures from CCSM-CAM4, separately for RCP4.5 and G4 (Visioni et al. (2017b)).

⁴ Effective radius calculated from sulfate volume density, using the fit of Grainger et al. (1995).

⁵ Sectional approach (Pitari et al. (2002); Pitari et al. (2014))

The sulfur budget in both models is summarized in Tables 2-4, looking at integrated sulfur emission and deposition fluxes for baseline conditions over land, ocean and entire globe, including a comparison with data presented in Vet et al. (2014) and Lamarque et al. (2013). Both models are consistent with observations-multimodel coupled data of sulfur emission and depo-

sition fluxes reported in Vet et al. (2014), as well as with multimodel ensemble data reported in Lamarque et al. (2013) (see Table 2). Global DMS emission in GEOS-Chem is lower than in ULAQ-CCM: these are in the lower and upper bounds of the variability shown in Lamarque et al. (2013). The global sulfur deposition is always somewhat smaller than the total SO_x +DMS emission, due to the 87% yield of DMS oxidation in SO_2 , which finally produces sulfate (as discussed in Lamarque et al. (2013)); the remaining part goes into MSA aerosols, that are finally lost by wet deposition. The geoengineering SO_2 injection adopted in this study (8 Tg- SO_2 /yr, i.e., 4 Tg-S/yr) represents globally 5.1% of the baseline anthropogenic and natural sulfur emissions (see 3), and the resulting surface deposition represents 5.2% of the baseline deposition, with a significant inter-hemispheric asymmetry (3.8% and 10.3% in NH and SH, respectively, as a model average) (see Table 1). The latter is mostly due to the quasi-homogeneous tropospheric influx of sulfate formed in the stratosphere from a geoengineering equatorial SO_2 injection, and by the highly inhomogeneous amount of anthropogenic sulfur emissions in the boundary layer (mostly localized in the Northern Hemisphere).

One important difference between the GEOS-Chem simulations performed here and ULAQ-CCM is that the first adopts a bulk approach for stratospheric aerosols, whereas ULAQ-CCM predicts on-line the aerosol size distribution, with a more detailed calculation of the net sedimentation loss. The explicitly calculated effective radius (ULAQ-CCM) or indirectly derived using the Grainger et al. (1995) method (GEOS-Chem) are both consistent with the SAGE-II derived estimates approximately one year after the Pinatubo eruption, with comparable integrated stratospheric sulfate mass (Pitari et al. (2014); Vioni et al. (2017b)). The breakdown of global SO_x deposition fluxes, among SO_2 , SO_4 dry and wet deposition terms, is summarized in Table 4 for the two models, and a comparison is made with multimodel data presented in Lamarque et al. (2013). As expected, the deposition of geoengineering SO_x (G4-Base) is greatly attributable to SO_4 wet deposition (85.8%), with a 11.5% due to SO_x dry deposition (model averages).

Table 2. Integrated sulfur emission and deposition fluxes for baseline conditions over land, ocean and entire globe, for ULAQ-CCM and GEOS-Chem, compared to Vet et al. (2014) and Lamarque et al. (2013) values (Tg- S/yr).

	GEOS-Chem [2000-05]	ULAQ-CCM [2000-05]	Vet et al. (2014) [2001]	Lamarque et al. (2013) [2001]	ULAQ-CCM [2030-39]	Lamarque et al. (2013) [2030 RCP4.5]
Land total emissions	49.1	59.7	50.4	56	45.0	43
Ocean total emissions	28.9	35.3	40.6	33	34.6	35
Total globe emissions	78.0	95.0	91.0	89 ± 13	77.6	78 ± 6
Land total deposition	44.1	54.0	40.2	44	38.0	36
Ocean total deposition	32.7	39.3	44.6	43	38.2	40
Total globe deposition	76.8	93.3	84.8	87 ± 17	76.2	76 ± 16

Table 3. Breakdown of global sulfur emission fluxes (Tg-S/yr).

	GEOS-Chem [2000-05]	ULAQ-CCM [2000-05]	Lamarque et al. (2013) [2001]	ULAQ-CCM [2030-39]	Lamarque et al. (2013) [2030 RCP4.5]
SO _x	60	67	66	50	55
DMS	18	28	23	28	23
Total Base	78	95	89 ± 14	78	78 ± 6
SO ₂ geoengineering	4.0 [5.1%]	-	-	4.0 [5.1%]	-

Table 4. Breakdown of global SO_x deposition fluxes (percent).

	GEOS-Chem [2000-05] Base	Lamarque et al. (2013) [2000] Base	GEOS-Chem [2000-05] G4-Base	ULAQ-CCM [2030-2039] Base	Lamarque et al. (2013) [2030 RCP4.5] Base	ULAQ-CCM [2030-2039] G4-Base
SO ₂ dry deposition	27.5		3.2	35.7		9.7
SO ₂ wet deposition	9.8		1.2	6.6		4.2
SO ₄ dry deposition	8.8		6.8	6.0		3.3
SO ₄ wet deposition	53.9		88.8	51.7		82.8
SO _x dry deposition	36.3	41.9	10.0	41.7	40.9	13.0
SO _x wet deposition	63.7	58.1	90.0	58.3	59.1	87.0

Both models have been fully described in recent literature. For the sake of completeness, we report in the following two sub-sections some of the main model features, in particular those relevant for sulfur species and aerosols.

2.1 ULAQ-CCM

- 5 ULAQ-CCM has been described in its first version in Pitari et al. (2002), and later in the framework of SPARC-CCMVal (Stratosphere-troposphere Processes And their Role in Climate - Chemistry Climate Models Validation) and the on-going SPARC-CCMI (Chemistry-Climate Models Intercomparison) campaigns (Eyring et al. (2006); Morgenstern et al. (2010); Morgenstern et al. (2017)). Important model updates regarding horizontal and vertical resolution (now T21 with 126 log pressure levels), species cross sections and Schumann-Runge bands treatment, and upgrades of the radiative transfer code were described and tested in Pitari et al. (2014). This radiative module, crucial for a good prediction of the sulfate aerosol interaction with shortwave solar and longwave planetary radiation has been tested for tropospheric aerosols in SPARC-AEROCOM (Randles et al. (2013)) and also for stratospheric aerosols after major volcanic eruptions (Pitari et al. (2016b)). The shortwave radiative module uses a two-stream delta-Eddington approximation and operates on-line in the ULAQ-CCM. It is used for both photolysis rate calculations in ultra-violet (UV) to visible (VIS) wavelengths and also for solar heating rates and radiative forcing in UV-VIS and solar near-infrared (NIR) bands. In addition, a companion broadband, k-distribution longwave radiative module is used to compute radiative transfer and heating rates in the planetary infrared spectrum (Chou (2001)).

The skills of the model regarding upper troposphere and lower stratosphere (UTLS) dynamics have been evaluated in multi-model assessment both in the tropical region (Gettelman et al. (2010)) and in the extra-tropics (Hegglin et al. (2010)). Particularly important for the geoengineering study discussed in the present study are the effects on lower stratospheric dynamics of the QBO and sea surface temperatures (SST). The ULAQ-CCM uses a nudged QBO extrapolated from an observed historical data series (Morgenstern et al. (2017)). The treatment of surface temperatures, and their importance under a geoengineering scenario, has been discussed in Visoni et al. (2017b). ULAQ-CCM does not have a coupled ocean, but the simulation under a control scenario RCP4.5 and the geoengineering simulation G4 use different surface temperatures, that are externally calculated in a fully coupled atmosphere-ocean model (Community Climate System Model-Community Atmosphere Model v. 4.0 (CCSM-CAM4)).

For the G4 simulations, SO_2 is injected at 0° longitude on the equator, throughout the altitude range 18-25 km with a Gaussian distribution centered at 21.5 km. Stratospheric SO_2 oxidation by OH (calculated on-line in the full chemistry module) produces SO_4 . The resulting size distribution of supercooled H_2O - H_2SO_4 particles is calculated in an aerosol microphysics module with sectional approach, starting from gas-particle interaction processes (homogeneous and heterogeneous nucleation, sulfuric acid condensation, water vapor growth) and then including aerosol coagulation, gravitational settling and evaporation in the upper stratosphere.

Aerosol optical thickness and single scattering albedo are calculated as a function of wavelength at all model grid-points, with on-line calculation of up/down diffuse radiation and absorption of solar near-infrared and planetary radiation. Aerosol modulated radiative fluxes may then explicitly impact species photolysis and heating rates of ozone and aerosols. The surface area density of sulfate aerosols is calculated interactively in the model starting from the calculated size distribution of these particles, as well as for polar stratospheric cloud particles, which are also treated with a sectional approach (explicit microphysics, particle transport, impact on stratospheric denitrification and dehydration) without imposing thermodynamics equilibrium (Pitari et al. (2002); Butchart et al. (2010); Morgenstern et al. (2017)). This allows an explicit full coupling of aerosol, chemistry and radiation modules in the ULAQ-CCM; for this reason the acronym CCM (in this specific case) results to be more appropriate for 'composition-climate' rather than for 'chemistry-climate' model, as it usually stands for. Geoengineering sulfate aerosols (or those produced after major volcanic eruptions) may significantly perturb wavelength-dependent aerosol extinction, absorption and asymmetry parameter at all model grid-points, thus allowing on-line calculation of radiative flux perturbations, with consequent changes of O_2 and O_3 photolysis, O_3 heating rates and aerosol heating rates in the solar and planetary infrared ranges (Pitari et al. (2014); Pitari et al. (2016b)).

In the troposphere, the ULAQ-CCM includes the major aerosol families (sulfate, nitrate, organic and black carbon, soil dust, sea salt). The sulfate aerosol module starts from DMS and SO_2 emissions (fossil fuel, biomass burning, non-explosive volcanoes) (Eyring et al. (2013); Lamarque et al. (2010)) and includes SO_x chemistry with gas phase oxidation of DMS into SO_2 , via reactions with OH (daytime) and NO_3 (night time), and gas phase and aqueous/ice SO_2 oxidation (by OH and H_2O_2 , O_3 ,

respectively) to produce SO₄ (Feichter et al. (1996); Clegg and Abbatt (2001)). As in the stratosphere, gas-particle conversion allows formation of aerosol particles, typically made of ammonium sulfate (in the boundary layer and lower-mid troposphere) or supercooled H₂O-H₂SO₄ in the upper troposphere. The resulting size distribution is regulated by the above cited microphysical processes. The tropospheric and stratospheric SO_x budget in the ULAQ-CCM (for unperturbed background conditions) was first discussed in Pitari et al. (2002) and more recently in Pitari et al. (2016c), with focus on the role of non-explosive volcanic sulfur emissions. Surface mixing ratios of long-lived species and gridded emission fluxes of tropospheric ozone precursors (NO_x, CO, VOC) and aerosols are all prescribed in the RCP4.5 baseline scenario, following the Eyring et al. (2013) recommendations for the CCMI intercomparison campaign; gridded data for short-lived species emissions were made available from Lamarque et al. (2010)).

Dry deposition of gas species and aerosols is calculated in terms of a surface deposition velocity (Muller and Brasseur (1995)). Washout of soluble gases and aerosols is treated as a first-order loss rate, in terms of climatological monthly averaged precipitation rates; the vertical distribution is calculated as a function of climatological distributions of cumulonimbus and nimbostratus clouds (Muller and Brasseur (1995); Pitari et al. (2002)). The aerosol gravitational sedimentation is treated in sectional approach, by calculating the appropriate settling velocity for a given particle composition and size.

2.2 GEOS-Chem

GEOS-Chem is a community global Eulerian chemistry-transport model originally described in Bey et al. (2001). Here we employ version v11-01 of the model (www.geos-chem.org). GEOS-Chem is driven by assimilated meteorological fields from the Goddard Earth Observation System (GEOS) of the NASA Global Modeling and Assimilation Office (GMAO). Here we use the 30-year reanalysis MERRA provided at a native horizontal resolution of $0.5^\circ \times 0.666^\circ$ and degraded here at $4^\circ \times 5^\circ$ for GEOS-Chem simulations. The number of hybrid pressure-sigma vertical levels is 72 up to 0.01 hPa (ca. 80 km), with spacing gradually increasing with height from 0.1 km near the surface to 2 km near model top. Advection is calculated using the semi-Lagrangian scheme developed by Lin and Rood (1996), convective transport is calculated following Wu et al. (2007), and mixing in the planetary boundary layer is calculated using the non-local scheme implemented by Lin and McElroy (2010).

Anthropogenic emissions of CO, NO_x and SO₂ use the global EDGAR4.2 inventory (Lin and McElroy (2010)), complemented with regional inventories for US, Canada, Mexico, Europe and East Asia (see http://acmg.seas.harvard.edu/geos/geos_chem_narrative.html for details). For N₂O, CFCs, HCFCs, OCS and other chlorine species a fixed global mixing ratio is specified at the model surface (Eastham et al. (2014)), while bromine species emissions are described in Parrella et al. (2012). Eruptive and non-eruptive volcanic SO₂ emissions use the AEROCOM database as implemented by Fisher et al. (2011).

The chemical mechanism of GEOS-Chem includes a detailed HO_x-NO_x-VOC-O₃-BrO_x tropospheric chemistry originally described by Bey et al. (2001) and updated to the most recent JPL/IUPAC recommendations. Stratospheric chemistry mech-

anism uses the Universal tropospheric-stratospheric Chemistry eXtension (UCX) developed by Eastham et al. (2014). The sulfate-nitrate-ammonium and carbonaceous aerosol chemistry was originally developed by Park et al. (2003), Park et al. (2004), and subsequently updated for the thermodynamic module and the organic aerosol scheme (http://acmg.seas.harvard.edu/geos/geos_chem_narrative.html). Stratospheric aerosol simulation is split in two main components, liquid and solid (Eastham et al., 2014). The former includes all stratospheric sulfate aerosols, ranging from H_2SO_4 liquid binary solutions (LBS) to supercooled ternary solution (STS). The latter consists of type Ib and type II polar stratospheric clouds (PSCs), made up of nitric acid trihydrate (NAT) and ice. Up to date heterogeneous chemistry reactions are included in the mechanism (Eastham et al. (2014)). Photolysis rates for both the troposphere and the stratosphere are calculated using the Fast-JX code (Bian and Prather (2002)).

Dry deposition is calculated with the resistance-in-series scheme proposed by Wesely (1989), and implemented in GEOS-Chem as described by Wang et al. (1998) for gases and Zhang et al. (2001) for aerosols. Aerosol gravitational settling in the stratosphere is described in Eastham et al. (2014). Wet deposition scheme is implemented as described in Amos et al. (2012) for gases and Liu et al. (2001) for water-soluble aerosols.

3 Stratospheric sulfate aerosols

In this section we analyze the distribution and lifetime of the injected stratospheric aerosols firsts by looking at the multi-annual average for both models and then by looking at the time-dependent modifications of the sulfate lifetime caused by ~~stratospherical~~oscillations of the stratospheric dynamics.

3.1 Time-averaged sulfate distribution

The ULAQ-CCM ability in producing a correct confinement of sulfate aerosols in the tropical stratosphere in SG or post-volcanic conditions has already been extensively tested, with comparison against SAGE II data following the Pinatubo eruption, (see Pitari et al. (2014), Pitari et al. (2016b), Vioni et al. (2017b)). A fully comparable behavior is also shown in GEOS-Chem, which, on the other hand, was not tested before regarding a stratospheric sulfur injection. In Fig. 1 we show the zonally averaged SO_4 mixing ratio averaged over the simulation period for both models, for both Base and G4 experiments (SG with 8 Tg- SO_2 injection). This is done in order to highlight similarities between the two models in the stratospheric aerosol tropical confinement, combined with isentropic horizontal mixing in the layer immediately above the tropopause, which enables poleward transport of sulfate from the tropical reservoir.

Although the aerosol confinement looks similar, some differences are ~~present~~still present between the two models. Fig. 2a shows the SO_4 equatorial vertical profile, corresponding to the zonal mean values in Fig. 1. There is a small but significant

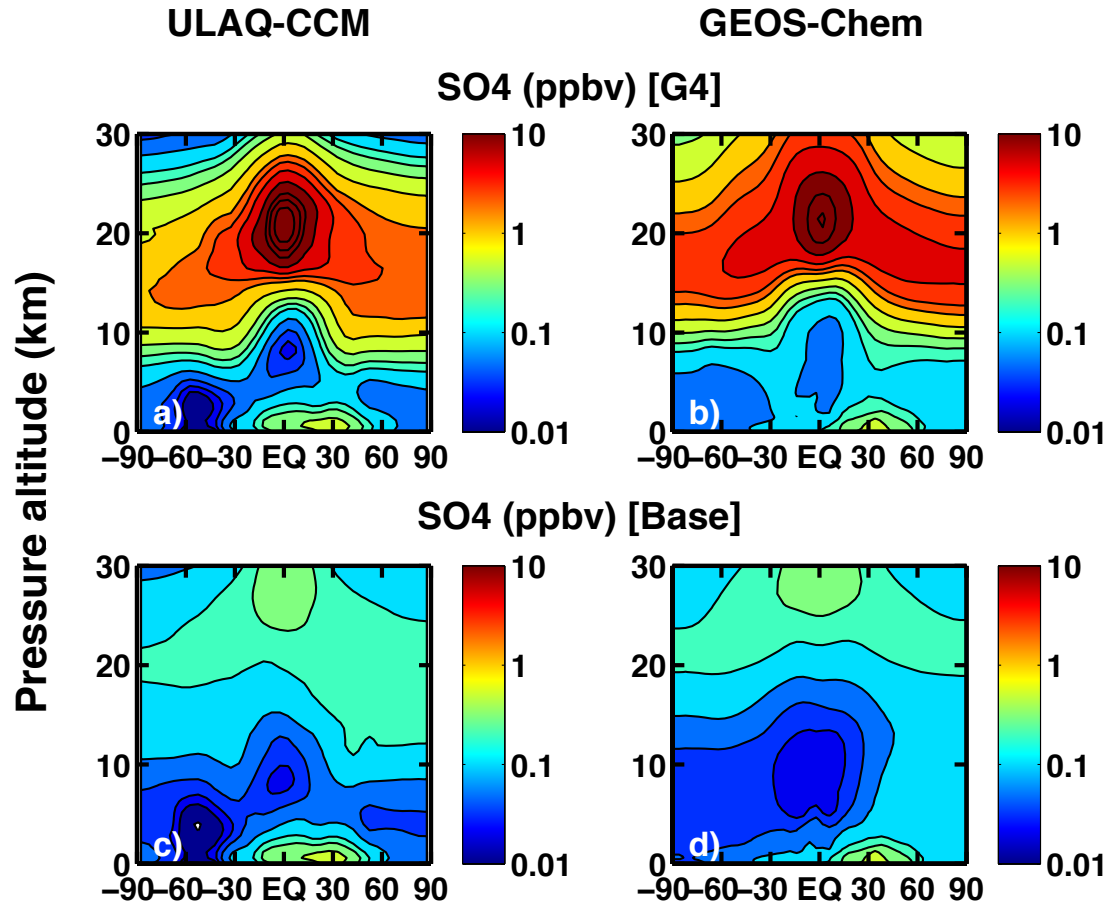


Figure 1. SO₄ mixing ratios (ppbv) averaged from 2030 to 2039 for ULAQ-CCM (panels a,c) and from 2000 to 2005 for GEOS-Chem (panels b,d). Panels (a,b) are for the G4 experiment; panels (c,d) are for the Base experiment. The contour line increment is logarithmic, with three lines per decade.

difference in the distribution of tropical SO₄ between the two cases, with the ULAQ-CCM maximum situated at a somewhat lower height-altitude with respect to the one predicted by GEOS-Chem. Furthermore, 80% of the SO₄ mass is situated in the 20-70 hPa region-layer for GEOS-Chem while 78% of the SO₄ mass is confined in the 40-90 hPa region-layer for ULAQ-CCM. The reasons for this are substantially two: on one hand, there is a difference in sulfur injection, because ULAQ-CCM injects SO₂ with a Gaussian distribution centered at 21.5 km altitude. In this way, a larger sulfate fraction is kept in the 19-21 km band, with respect to the one resulting from the GEOS-Chem SG simulation, where similar SO₂ injections were adopted in the two models sulfur is injected uniformly in the 18-25 km altitude band. This is consistent with differences found in the aerosol vertical distribution between ULAQ-CCM and GEOSCCM in Visioni et al. (2017b), where a similar difference was presentsimilar SO₂ injections were adopted in the two models. On the other hand, GEOS-Chem uses a bulk approach for sulfate aerosols,

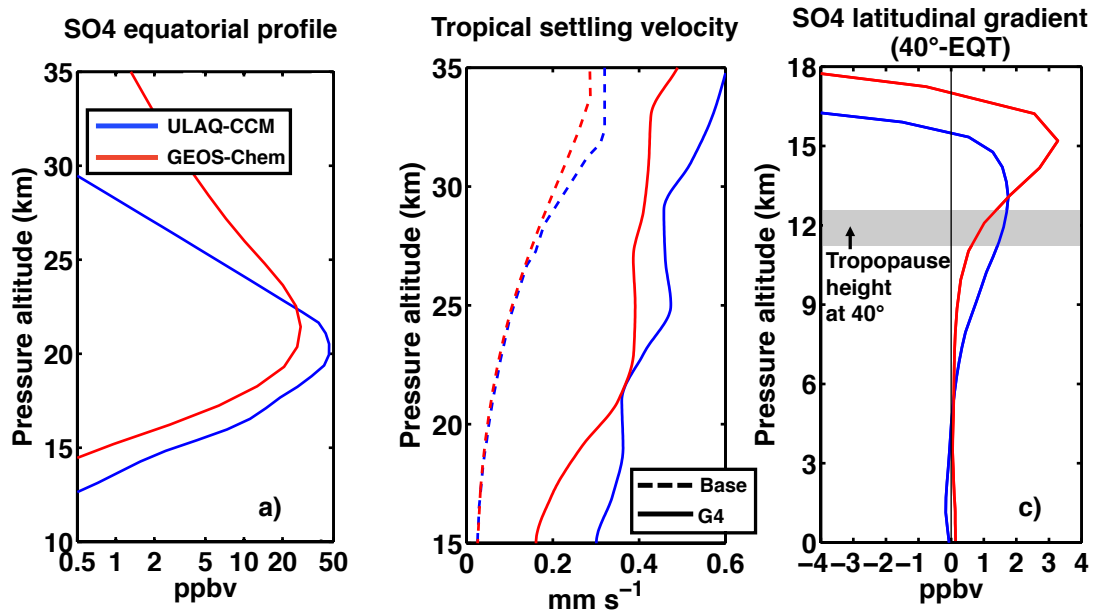


Figure 2. Panel (a): equatorial SO₄ profiles (ppbv) for ULAQ-CCM (blue) and GEOS-Chem (red), in the G4 experiment (panels a,b of Fig.1). Panel (b): tropical settling velocities (mm/s) for the two models (averaged 20S-20N), with dashed and solid lines for Base and G4 experiments, respectively. Panel (c): latitudinal SO₄ gradient (ppbv), calculated in the G4 experiment as the mixing ratio difference between 40° (40S and 40N average) and the equator.

with an assumed aerosol effective radius smaller with respect to the one ULAQ-CCM calculates from a predicted aerosol size distribution with a sectional approach (see Table 1). Some differences will then result in the tropical settling velocities of the aerosol particles, as shown in Fig. 2b, from which we may expect a somewhat enhanced downward displacement in ULAQ-CCM.

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A third difference is shown in Fig. 2c: the latitudinal gradient of SO₄ at the altitude of the mid-latitude tropopause (and also a few kilometers below it) is larger in ULAQ-CCM with respect to GEOS-Chem. This results from a slower upper tropospheric horizontal mixing in ULAQ-CCM and does not allow (with respect to GEOS-Chem) the same amount of tropospheric tropical influx of sulfate moving downwards from the region where the large scale strat-trop exchange (STE) is maximum. Implications of this effect on the latitudinal distribution of sulfur deposition will be discussed ahead.

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Once the injected sulfate has reached a steady state, it has to come down at a rate of 4 Tg-S/yr, the same rate at which it is injected. In Fig. 3 a budget scheme of geoengineering sulfur fluxes is presented for both models (G4-Base). Sulfate aerosols, formed in the tropical lower stratosphere after oxidation of SO₂ injected continuously at the equator above the tropopause, may leave the tropical pipe in two ways: less than half ([according to the models](#)) is removed directly across the tropical

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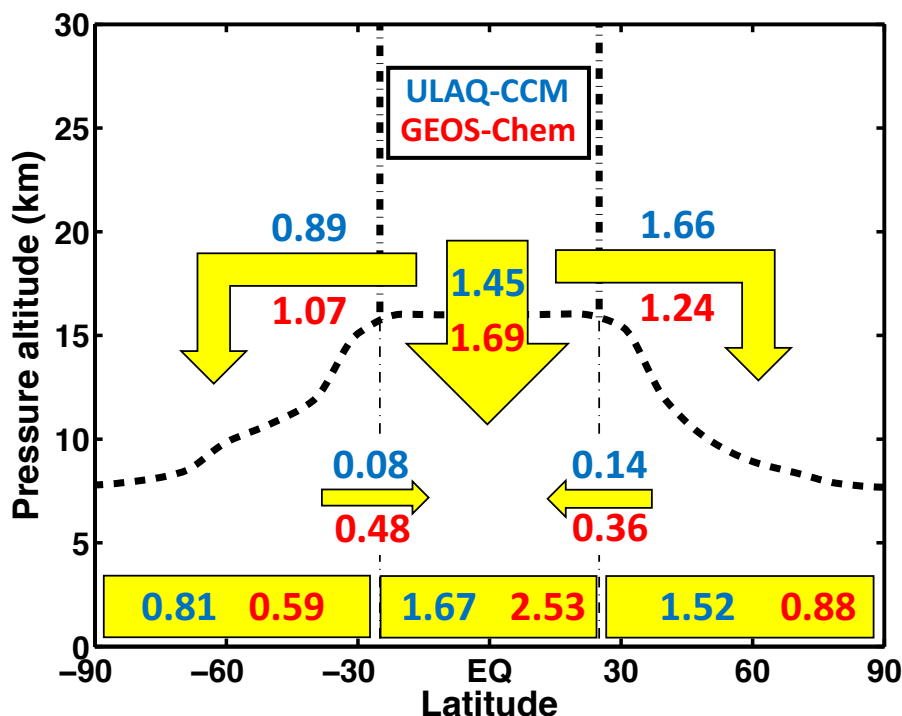


Figure 3. Breakdown of geoengineering sulfur fluxes for ULAQ-CCM (blue, average 2030-2039) and GEOS-Chem (red, average 2000-2005). The dashed line represents the mean tropopause, the dashed-dotted lines represent the subtropical barriers. The lowermost boxes represent sulfur surface deposition. All values are in Tg-S/yr.

tropopause, due to particle gravitational sedimentation and large scale downwelling taking place in limited regions of the tropical tropopause; the rest is moved horizontally out of the tropics via poleward isentropic transport. Once the sulfate aerosols have reached the subtropics and mid-latitudes, they may be efficiently removed from the stratosphere by extratropical STE in the lower branch of the Brewer-Dobson circulation (and to a lesser extent via particle gravitational sedimentation).

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The two models agree on the general partitioning of stratospheric sulfur fluxes, although some differences are present, especially in the horizontal flux moving toward the northern hemisphere mid-latitudes, which is 0.42 Tg-S/yr larger in ULAQ-CCM compared to GEOS-Chem. A larger inter-model difference is found in the tropospheric mixing from the mid-latitudes toward the tropics. The upper tropospheric tropical influx of sulfur is calculated to be much larger in GEOS-Chem (0.84 Tg-S/yr) with respect to ULAQ-CCM (0.22 Tg-S/yr), thus explaining the larger upper tropospheric latitudinal gradient of geoengineering sulfate presented in Fig. 2c. This difference is then reproduced in the zonally averaged deposition, which presents an excess deposition of 0.86 Tg-S/yr at the tropics in GEOS-Chem with respect to ULAQ-CCM. The discussion on deposition results will be further expanded in Section 4.

3.2 QBO impact on stratospheric sulfate

Previous studies (Aquila et al. (2014); Niemeier and Timmreck (2015)) have focused on the potential effects of sulfate geo-engineering on the QBO. Aquila et al. (2014), for instance, reported an increasing stratospheric aerosol burden the more the QBO shifted to a lower stratospheric permanent W-phase (i.e., E shear of the mean zonal equatorial winds). On the other hand, the modulation the QBO itself may introduce on the stratospheric aerosol lifetime (and deposition) has not been explored in depth in case of a geoengineering constant tropical injection of sulfur. This effect, however, was studied for the time evolution of the unperturbed stratospheric aerosol layer by Hommel et al. (2015). They found that the aerosol burden non-linearly correlate with the QBO phase because of a wide range of reasons, amongst those the rather wide differences in the size range of the aerosols. The QBO impact on the e-folding time of stratospheric sulfate aerosols injected in past major volcanic eruptions was studied in Pitari et al. (2016b), where a clear correlation is found between a larger e-folding time and a QBO E shear of the mean zonal equatorial winds, as a consequence of a higher aerosol confinement in the tropical pipe (consistently with the findings of Trepte and Hitchman (1992)). It should be noted that the stratospheric aerosol distribution in case of SG, or after a major tropical explosive volcanic eruption, is so different with respect to the atmospheric background, both spatially and in size (see Fig. 1 and Table 1), that the expected QBO impact might significantly differ in the two cases.

Fig. 4 presents a schematic representation of the interactions between the QBO and stratospheric sulfate aerosols. The QBO modulation of the mean zonal wind shear and (indirectly) of the stratospheric mean meridional circulation may efficiently impact the tropical pipe confinement of atmospheric tracers. This, in turn, is expected to produce changes in the global scale aerosol distribution and lifetime, thus modulating the lower stratospheric aerosol heating rates. QBO-driven changes in aerosol distribution and lifetime produce in turn modifications of the STE, which eventually regulates the latitudinal distribution of the sulfur deposition. Direct QBO effects may be visible both in models with prescribed circulation (CTMs) and with calculated dynamics via chemistry-climate coupling (CCMs), whereas the effects of changes in aerosol heating rates can only be seen in CCMs. The ULAQ-CCM does not include an internally-generated QBO, but uses instead a nudging approach (see Table 1), so that the schematic representation in Fig. 4 shows the further modification of the QBO by the aerosol heating rates as a possible significant effect (Aquila et al. (2014); Niemeier and Timmreck (2015)), but not explored in the present work.

In the lower part of Fig. 4 scheme, we focused on how the aerosol lifetime is modulated by QBO. On one hand, the lifetime depends on particles size. With an increased tropical confinement (E shear), the sulfate aerosols have more time to grow through coagulation and gas condensation, with resulting larger particles that may sediment faster, thus enhancing the tropospheric influx and decreasing the stratospheric lifetime. On the other hand, the aerosol lifetime is regulated by how much time they may remain confined in the tropical pipe. Once transported at the subtropics and at the mid-latitudes by means of lower stratospheric poleward isentropic transport, the aerosol may effectively be removed from the stratosphere by STE; this extra-tropical horizontal transport is favored during a QBO W shear (Trepte and Hitchman (1992)). The lower part of Fig. 4 is

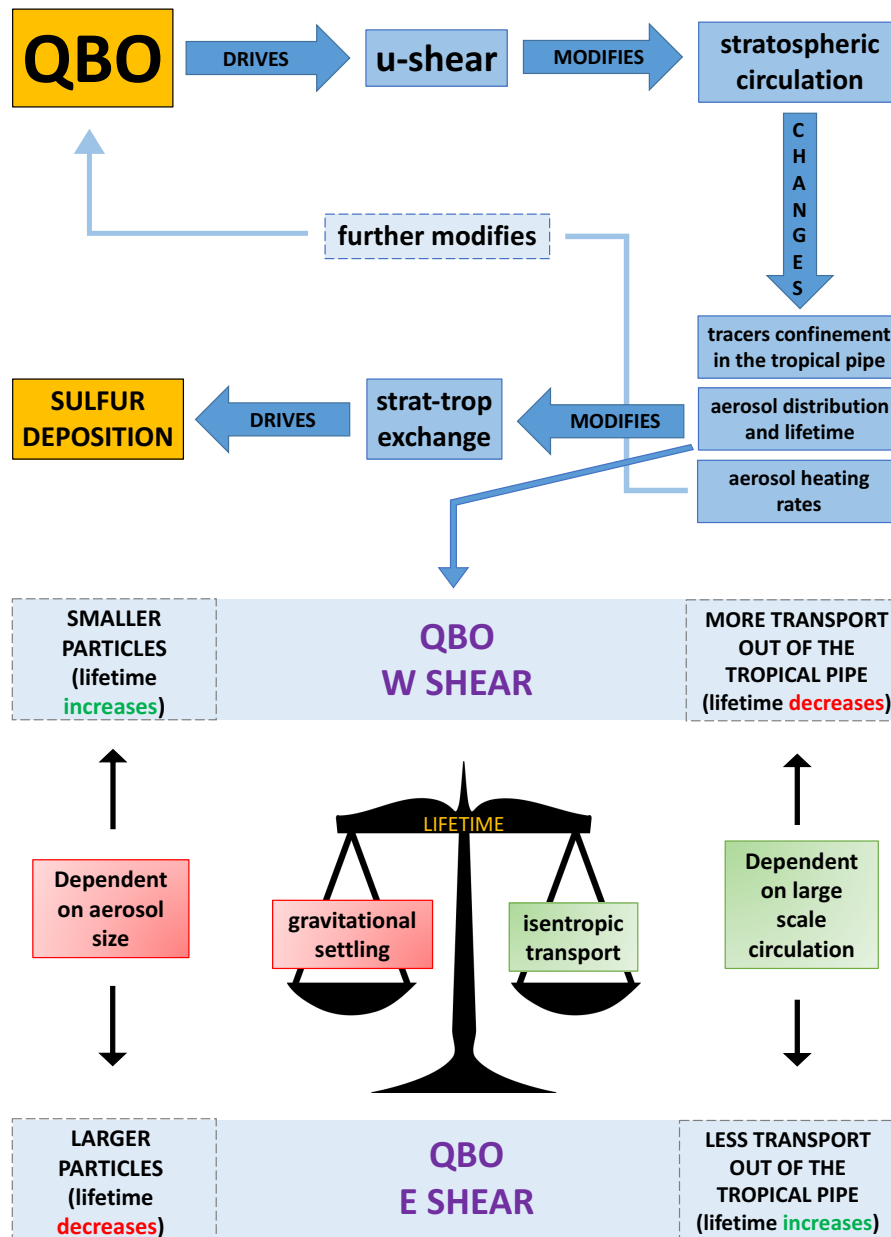


Figure 4. Upper part: schematic links between QBO, stratospheric circulation, geoengineering aerosol lifetime, strat-trop exchange, sulfur deposition at the surface. Lower part: schematic balance of the two main effects regulating the sulfate aerosol lifetime, starting from the driving QBO wind shear.

an attempt to represent the 'balance' between the competing QBO-effects that regulate the stratospheric aerosol lifetime.

In Fig. 5 and Fig. 6 we ~~compare~~present the stratospheric sulfate lifetime time series (Fig. 5 for ULAQ-CCM and Fig. 6 for GEOS-Chem) correlated with the QBO-driven changes of dynamical quantities, as discussed in Fig. 4. The lifetime of the injected sulfate is calculated as the stratospheric burden in the G4 case minus the stratospheric burden in the Base case divided by the integrated stratospheric loss of the sulfate, which at the steady state (on average) is equal to the source, that is 4 Tg-S/yr. In Fig. 5a and Fig. 6a the lifetime (in black) is compared with the equatorial mean zonal wind shear (in red). This shear is calculated differently for the two models, considering the already discussed differences in the vertical extent of most of the sulfate burden (Fig. 2a). For both models we observe an oscillation of the lifetime that is strongly anti-correlated with the equatorial u-shear, with positive values (W shear) connected with a shorter lifetime. Since during a W shear a decreased equatorial upwelling is present (see Trepte and Hitchman (1992)), we see in Fig. 5b and Fig. 6b how then the oscillations of the residual vertical velocity (w^*) anomalies are positively correlated with the lifetime oscillations, considering the w^* value at the center of the vertical layer where the largest fraction of the tropical aerosol mass is confined. This is because during periods of QBO W shear, a smaller amount of tropical aerosols is moved upwards to the mid-stratosphere and a larger amount remains displaced in the lower part of the tropical pipe, where horizontal isentropic mixing with the extratropics is faster. Lastly, in Fig. 5c and Fig. 6c we show the meridional mass flux anomalies at the edges of the tropical pipe, which is smaller during E shear periods (due to the reduced isentropic transport immediately above the tropopause), so that they result anti-correlated with the lifetime oscillations.

Although both models agree with the response of the lifetime to changes in stratospheric dynamics, some differences between the models are visible. First of all, as seen in Table 1, the average aerosol lifetime is different for the two models (12.1 months for ULAQ-CCM against 13.5 months for GEOS-Chem). This might be due to a series of factors, amongst those a different r_{eff} for the sulfate aerosol ($0.62 \mu\text{m}$ in GEOS-Chem and $0.78 \mu\text{m}$ in ULAQ-CCM, as equatorial LS values) and a different treatment of the aerosol microphysics itself (bulk approach with diagnosed effective radius in GEOS-Chem and explicitly calculated size distribution approach for ULAQ-CCM). The lifetime oscillations are also of different magnitude: in this case the difference might in part be explained by looking at the ULAQ-CCM results using the Base case circulation (i.e., with a CTM-like approach) (see 5b). The decreased amplitude of the sulfate lifetime oscillations when the Base case circulation is used in the G4 case originates from the missing aerosol radiative feedback on dynamics and the consequent lack of additional tropical upwelling due the stratospheric aerosol heating rates ($w^*=0.22 \pm 0.12$ for the CCM approach and 0.20 ± 0.09 for the CTM approach, as a 20-70 hPa equatorial mean). A 25% reduction is found for the tropical upwelling time variability expressed with the standard deviation of monthly mean values in the 2030-39 decade. Another reason for the decreased amplitude of the sulfate lifetime oscillations should be found in the missing impact on lower stratospheric horizontal eddy mixing of decreasing SSTs in G4 with respect to the Base case (see Vioni et al. (2017b)) ($\Phi_V=2.55 \pm 0.56$ Tg-S/yr for the CCM approach and 2.34 ± 0.42 Tg-S/yr for the CTM-like approach; again with a 25% reduction of the net poleward meridional sulfate mass flux, integrated vertically above the tropopause at the subtropical barriers).

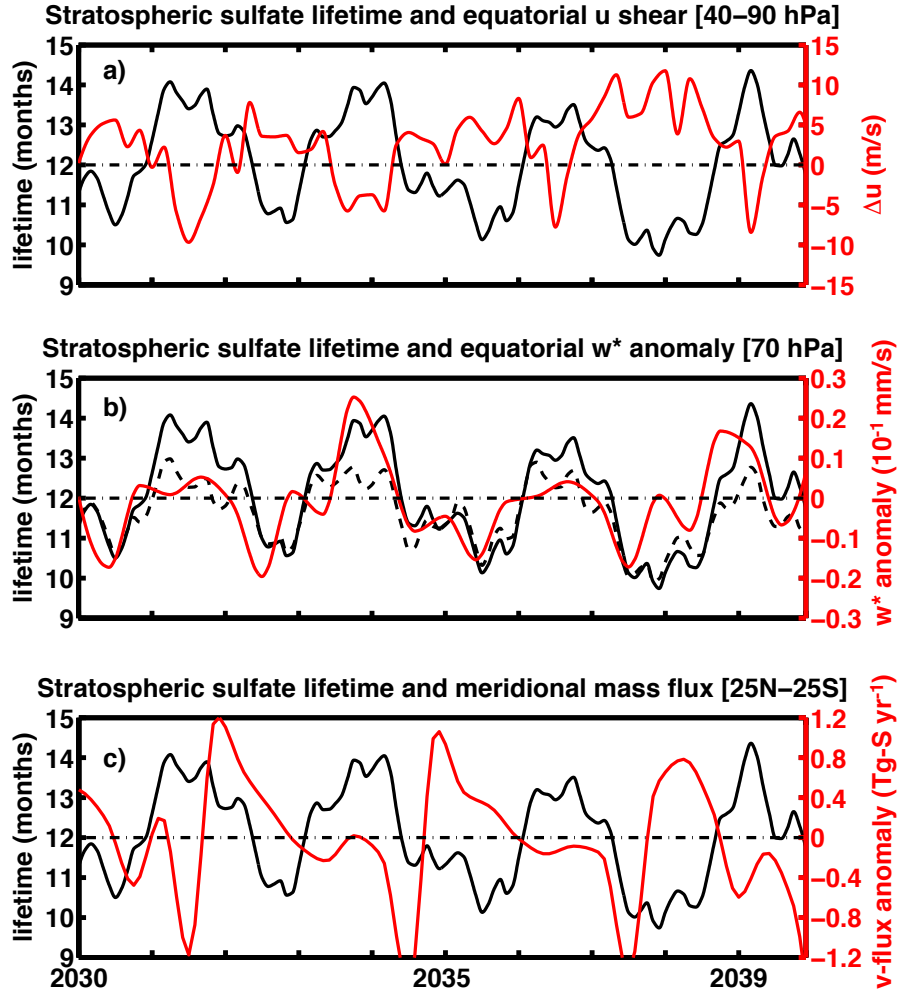


Figure 5. Panel (a): monthly means of geoengineering SO_4 lifetime (black, left scale, months) and equatorial zonal wind shear between 40hPa and 90hPa (red, right scale, m/s) in the ULAQ-CCM (years 2030-2039). Panel (b): SO_4 lifetime, as in panel (a), but compared against the 70 hPa equatorial w^* anomalies (red, right scale, mm/s). The calculated average lifetime of stratospheric sulfate from geoengineering sulfur injection of 8 Tg- SO_2 /yr is 12.1 ± 1.2 months in ULAQ-CCM (with an equatorial residual vertical velocity $w^* = 0.22 \pm 0.12$ mm/s, as an time average between 20 and 70 hPa). The average lifetime decreases to 11.6 ± 0.6 months, when using winds from the baseline simulation, i.e., in a CTM approach (black dashed curve) (see text for discussion). Panel (c): SO_4 lifetime, as in panel (a), but compared against the net poleward meridional sulfate mass flux anomalies integrated above the tropopause at the subtropical barriers at 25S and 25N (red, right scale, Tg-S/yr). The meridional flux is defined as $v \times [\text{SO}_4]$ and defined positive when poleward, i.e., $\Phi_V = v[\text{SO}_4](25\text{N}) - v[\text{SO}_4](25\text{S})$, where v is the meridional wind and $[\text{SO}_4]$ the sulfate concentration ($\Phi_V = 2.55 \pm 0.56$ Tg-S/yr).

The interannual variability of the sulfate lifetime is smaller in GEOS-Chem (0.3 months) than in ULAQ-CCM (1.2 months), but closer to the latter when the ULAQ model is operated in CTM mode (0.6 months), i.e., using the Base circulation for the

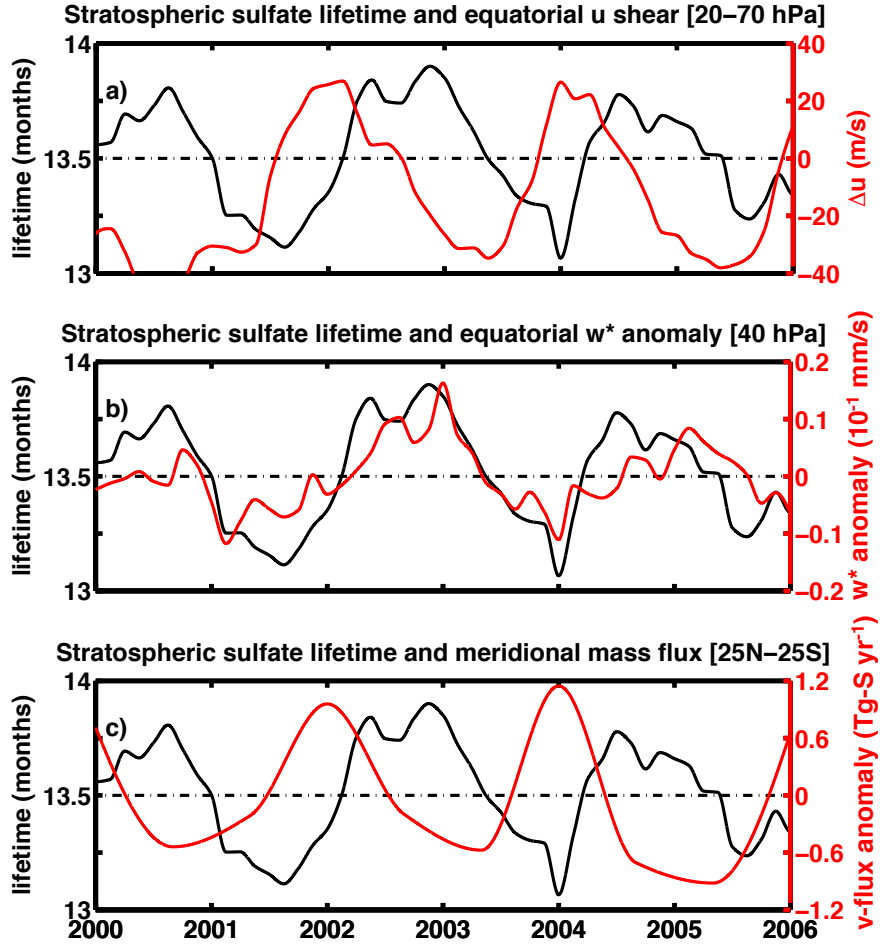


Figure 6. As in Fig. 5, but for GEOS-Chem for years 2000–2005. The calculated average lifetime of stratospheric sulfate from geoengineering sulfur injection of 8 Tg-SO₂/yr is 13.5 ± 0.3 months in GEOS-Chem (equatorial residual vertical velocity $w^* = 0.14 \pm 0.06$ mm/s, as a time average between 20 and 70 hPa; net poleward meridional sulfate flux out the tropical pipe $\Phi_V = 2.31 \pm 0.38$ Tg-S/yr, as a time average at 25S and 25N latitude above the tropopause). The monthly variability of the sulfate lifetime (0.3 months) is smaller than in ULAQ-CCM (1.2 months), but closer to the latter when the ULAQ model is operated in CTM mode (0.6 months), i.e., using the Base circulation for the G4 case, without including the aerosol radiative feedback on dynamics (see text).

G4 case, without including the aerosol radiative feedback on dynamics. The remaining difference is mainly connected with the different QBO treatment in the two models (assimilated wind fields for GEOS-Chem, nudged observed zonal winds in the equatorial stratosphere for ULAQ-CCM) ($w^* = 0.14 \pm 0.06$ mm/s and $v = 2.31 \pm 0.38$ Tg-S/yr in GEOS-Chem, both defined as above for the ULAQ model). Additional 33% and 10% reductions of the time variability are found with respect to the ULAQ

5 model operated in CTM mode, for tropical upwelling and the subtropical sulfate mass flux, respectively.

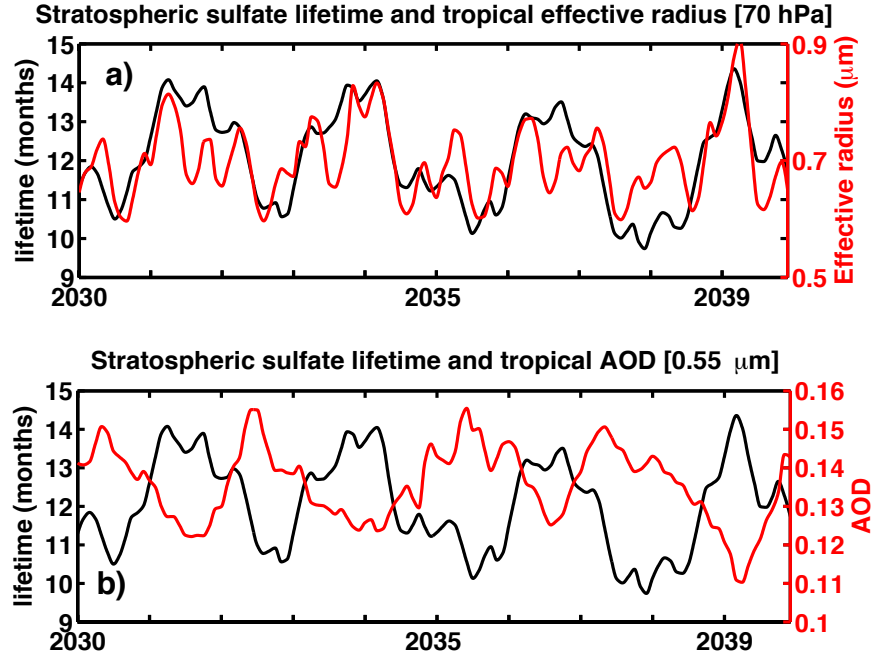


Figure 7. Panel (a): monthly means of geoengineering SO_4 lifetime (black, left scale, months) and tropical effective radius at 70 hPa (red, right scale, μm) (20S-20N) for ULAQ-CCM (years 2030-2039). Panel (b): SO_4 lifetime, as in panel (a) and tropical aerosol optical depth at $\lambda=0.55 \mu\text{m}$ (20S-20N). Average values in the 2030-2039 decade are: $r_{\text{eff}} = 0.70 \pm 0.06 \mu\text{m}$, tropical AOD = 0.136 ± 0.010 , global AOD = 0.079 ± 0.003 . The calculated all-sky tropopause-adjusted radiative forcing from stratospheric geoengineering sulfate (G4-Base) is $-1.73 \pm 0.07 \text{ W/m}^2$ (shortwave), $+0.53 \pm 0.02 \text{ W/m}^2$ (longwave) and $-1.20 \pm 0.05 \text{ W/m}^2$ (net). The E-W shear average anomaly of the net RF is calculated to be $+0.06 \text{ W/m}^2$ (i.e., when the lifetime is longer, there is an average 54% decrease of the long-term calculated net RF).

The link of QBO-driven transport oscillations with the sulfate aerosol particle size, already discussed in Fig. 4, is presented in Fig. 7, using ULAQ-CCM results. In Fig. 7a we show how a higher lifetime is connected to a larger tropical effective radius. This is a consequence of what we showed in Fig. 5b, with the lifetime being higher under an E shear, when w^* presents positive anomalies and Φ_V negative anomalies. As discussed in Fig. 4, a higher tropical confinement favors the enhancement of microphysical processes responsible for particle growth (gas condensation and coagulation). While the average effective radius r_{eff} is $0.70 \mu\text{m}$ over the whole decade, when considering only years with a QBO E shear we obtain a value of $r_{\text{eff}}=0.75 \mu\text{m}$, against $r_{\text{eff}}=0.66 \mu\text{m}$ for years with dominant W shear is. This implies a 13% change of the average effective radius between the two QBO regimes. In Fig. 7b we show that this increased particle size produces in turn a smaller tropical AOD at $\lambda=0.55 \mu\text{m}$, due to a decreased scattering efficiency of the sulfate particles themselves. This is because the extinction coefficient at $0.55 \mu\text{m}$ varies greatly around the maximum and minimum values of the radii shown in Fig. 7a, with a peak closer to the values found under a W shear. This result appears to be in line with the findings of Niemeier and Schmidt (2017) and Kleinschmitt et al. (2017) regarding particle growth under different QBO wind shears and its effect on AOD and forcing effi-

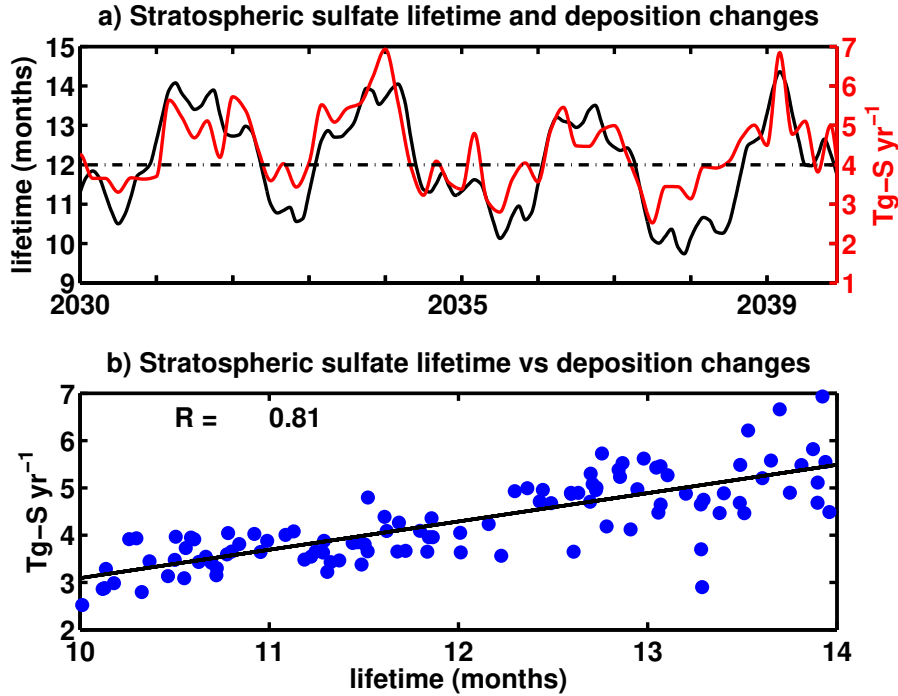


Figure 8. Panel (a): monthly means of geoengineering SO₄ lifetime (black, left scale, months) and sulfur deposition changes (G4-Base) (red, right scale, Tg-S/yr) for ULAQ-CCM (years 2030-2039). To highlight the correlation between the stratospheric SO₄ lifetime and the sulfate deposition, the monthly values of the latter have been treated as follows: 1) an annual mean cycle was first calculated over the whole decade; the annual variability was then removed, in order to keep the interannual variability alone; 2) detrended monthly deposition values were finally shifted ahead by 8 months. This latter value was chosen for optimizing the correlation and is close the average time needed for G4 aerosols formed in the stratospheric tropical pipe to reach the tropopause, where they are exchanged with the troposphere and finally lost by surface deposition. Panel (b): scatter plot of the values presented as time series in panel (a) (0.81 correlation coefficient). A comparable behavior is also found in the GEOS-Chem results (not shown), with a 0.92 correlation between monthly values of the stratospheric sulfate lifetime and detrended monthly deposition values with 8 months lag.

ciency (although in their case the QBO reacted to sulfate injection and their SO₂ injection was larger with respect to the one adopted in the present study). In years with dominant W shear, the tropical AOD is maximized with an average value of 0.144, which is reduced to 0.127 during years with dominant E shear. In our calculations, this in turn produces an 8.5% difference in the net radiative forcing between the two QBO regimes, with a decadal average value of -1.20 W/m² (all-sky conditions).

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Lastly, we show in Fig. 8 how the oscillations in the stratospheric sulfate lifetime are correlated with changes in sulfur ground deposition. In order to avoid masking the interannual variability of surface deposition with the seasonal component, a detrending method has been applied to retain only interannual changes. Furthermore, the deposition values have been shifted by 8 months, in order to show how the stratospheric sulfate lifetime is well correlated with the deposition changes (G4-Base)

after the time needed for the particles in the tropical pipe to reach the tropopause. We have estimated this time close to 8 months, considering both isentropic transport out of the tropical pipe and settling of the particles from the height at which they are produced down to the tropical tropopause. The scatter plot in Fig. 8b shows the good correlation of the stratospheric sulfate lifetime (on monthly basis) with detrended and time-shifted deposition change values.

5

4 Sulfur deposition

In the previous section, the physical mechanisms regulating the stratospheric sulfate mean distribution and abundance have been discussed, along with its interannual variability under SG conditions. Fig. 8 has proven that interannual oscillations in large scale stratospheric transport not only regulate the integrated sulfate mass above the tropopause (i.e., the SG lifetime), but also the globally integrated surface deposition changes of sulfur. In this section we analyze, both globally and on continental scale, how SG surface deposition is regulated by cross tropopause downward fluxes. We will also evaluate the model calculated background surface deposition of sulfur and quantify absolute and relative deposition changes due to SG, looking also at the QBO-driven variability of the deposition.

4.1 ~~Global-scale~~ Global and continental scale time-average deposition

The model calculated zonally averaged sulfur deposition in baseline conditions is presented in Fig. 9a: as expected from the short tropospheric sulfur lifetime (~ 5 days for SO_4 and $1 \div 2$ days for SO_2 and DMS) and from the model-consistent global and regional sulfur emission fluxes (see Tables 1-3), the annually and zonally averaged sulfur deposition (dry+wet, $\text{SO}_2 + \text{SO}_4$, Base case) does not show significant departures between GEOS-Chem and ULAQ-CCM. Following the latitudinal pattern of anthropogenic fossil fuel SO_2 emissions, most of the background deposition is confined to the NH mid-latitudes, producing a large interhemispheric asymmetry.

Annually and zonally averaged sulfur deposition changes due to SG (i.e., G4-Base) are presented in Fig. 9b. Here a significant difference between the two models is visible: deposition changes in ULAQ-CCM peak at the subtropics up to approximately 45° latitude in both hemisphere ($\sim 15 \text{ mg-S m}^{-2} \text{ yr}^{-1}$), with smaller values in the tropics ($\sim 4 \text{ mg-S m}^{-2} \text{ yr}^{-1}$), which reflects the large-scale STE latitudinal pattern, coupled to the cross-tropopause aerosol sedimentation flux. The deposition change peak in the NH is larger than in the SH by approximately 50%, consistently with the larger stratospheric poleward flux at the NH tropical barrier (1.66 Tg-S/yr), with respect to the SH (0.89 Tg-S/yr) (see Fig. 3). On the other hand, GEOS-Chem predicts a flatter distribution of the zonally averaged sulfur deposition, from the subtropics equatorwards, in both hemispheres. This is again consistent with what shown in Fig. 3, regarding both the tropical sulfur downward flux at the tropopause and the upper tropospheric equatorward horizontal mixing at the subtropics. Both are larger in GEOS-Chem with respect to ULAQ-CCM and mainly for the tropical sulfur influx due to tropospheric horizontal mixing.

The large scale sulfate transport behavior in GEOS-Chem results from downward fluxes at the subtropical tropopause with further downward motion in the troposphere coupled to a significant equatorward component. This is consistent with analyses of the ozone STE made by Hsu et al. (2005), using the University of California at Irvine (UCI) chemistry-transport model. The tropospheric equatorward transport component in the ULAQ-CCM is much weaker, so that the integrated tropical sulfur deposition flux in this model (1.67 Tg-S/yr) results to be significantly smaller than in GEOS-Chem (2.53 Tg-S/yr). Never-

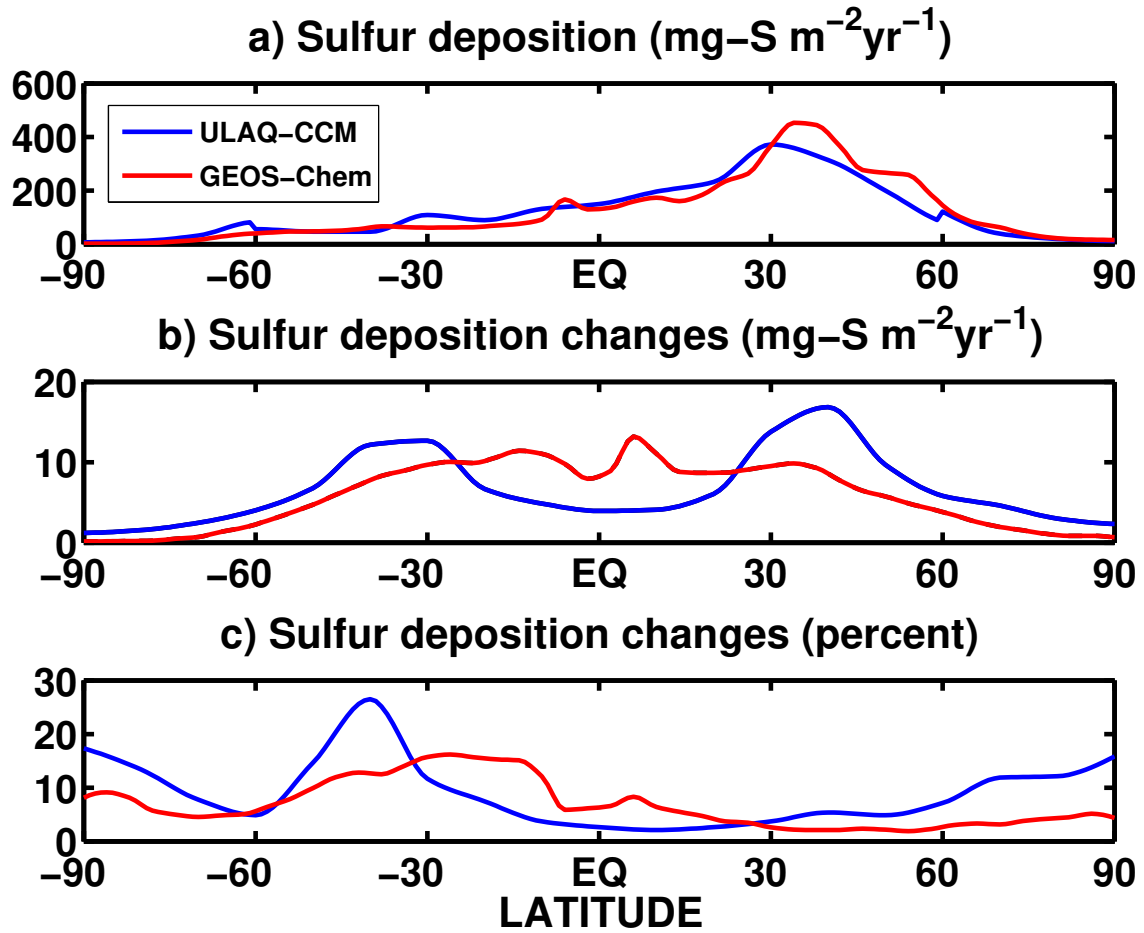


Figure 9. Panel (a): zonally-annually averaged sulfur deposition fluxes in the baseline experiment ($\text{mg-S m}^{-2} \text{yr}^{-1}$), for ULAQ-CCM (blue, years 2030-2039) and GEOS-Chem (red, years 2000-2005). Panel (b): as in panel (a), but for the sulfur deposition flux changes (G4-Base). Panel (c): as in panel (b), but in percent of the Base case.

theless, some of the model results presented in Marshall et al. (2017) for the Tambora eruption case, [using four independent Atmosphere-Ocean Global Circulation Models \(AOGCMs\)](#), highlight distinct sulfur deposition maxima over the mid-latitudes, with limited sulfate penetration in the tropical band.

- 5 Sulfur deposition changes due to SG are further highlighted in Fig. 9c, where the increased deposition is shown in percent of the Base case. In the NH the increase is typically much less than 10% (except over the Arctic for ULAQ-CCM), whereas in the SH the deposition increase ranges between 10% and 20%, with a 27% peak for ULAQ-CCM around 40S. The interhemispheric asymmetry is largely produced by the much larger NH deposition of tropospheric sulfur (Fig. 9a).

Zonally averaged sulfur deposition flux changes (G4-Base), as a function of latitude and months, for ULAQ-CCM in panels (a,c) (years 2030-2039) and GEOS-Chem in panels (b,d) (years 2000-2005). Panels (a,b) show absolute changes ($\text{mg-S m}^{-2} \text{yr}^{-1}$); panels (c,d) show percent changes with respect to the Base case.

Looking at the zonally averaged season-dependent sulfur deposition (Fig. ??abS1ab), it is easy to find the signature of sub-tropics and mid-latitude cross-tropopause stratospheric influx. As well documented for ozone (Hsu et al. (2005)), as an example for an atmospheric tracer with stratospheric reservoir, the STE reaches maximum values during springtime months at the sub-tropics, close to 30° latitude in both hemispheres. The correlation of sub-tropics and mid-latitude monthly maxima of the STE with sulfur deposition maxima is observed in both models, with additional near-equatorial maxima in GEOS-Chem, due to a more efficient upper tropospheric horizontal mixing in this model (see discussion on Fig. 2c and Fig. 9b). The STE O_3 flux diagnosed in Hsu et al. (2005) shows a significant subtropical influx most of the year, with mid-latitude influx important only in spring and summer in the NH. They also note that the STE O_3 flux generally travels further downwards in the troposphere with a significant equatorward component, which is in agreement with the GEOS-Chem findings of a larger equatorward tropospheric mixing of stratospheric sulfate coming from the subtropical STE (as already noted above in the discussion of Fig. 9). Sulfur deposition changes relative to atmospheric unperturbed conditions (Fig. ??edS1cd) are also consistent in the two models, except over the Arctic, where the ULAQ-CCM predicts a significantly larger impact of the SG sulfur deposition with respect to the Base case, pointing out to a stronger polar descent (also visible in Fig. 9bc).

Annually averaged sulfur deposition flux changes are shown in Fig. 10, as a function of latitude and longitude. The effects of the tropical sulfate influx in the upper troposphere are clear in the GEOS-Chem deposition fields (Fig. 10bd), when compared to those of ULAQ-CCM (Fig. 10ac). In the latter case, a significant tropical deposition is only predicted over south-east Asia (in absolute values). Mid-latitude maxima, on the other hand, are rather consistent between the two models, as well visible in the SH percent changes (Fig. 10cd). Non-zonal asymmetries of mid-latitude deposition flux changes result essentially from planetary wave modulation of the strat-trop downward flux, coupled to the precipitation frequency in the lower troposphere (see discussion below). Sulfur deposition changes in the polar regions are of the same order of magnitude in the two models only over Antarctica ($5 \div 12\%$ in GEOS-Chem and $10 \div 20\%$ in ULAQ-CCM). The Arctic increase, on the other hand, is much larger in the ULAQ-CCM, with a peak of 35% east of Greenland; as already noted in the discussion of Fig. ??S1, this is most likely related to a stronger polar descent in the ULAQ-CCM.

Mid-latitude maxima also appear to be consistent with the findings of Marshall et al. (2017), regarding the latitude-longitude distribution of sulfate deposition after the Tambora eruption in 1815, as simulated by four Atmosphere-Ocean Global Circulation Models (AOGCMs). Although a one-to-one comparison is not possible, because an impulsive rather than sustained tropical SO_2 injection is considered in the aforementioned study, similarities can be found with the spatial distribution of sulfate presented in Fig. ?? and Fig. 10.

4.2 QBO impact on global-scale deposition

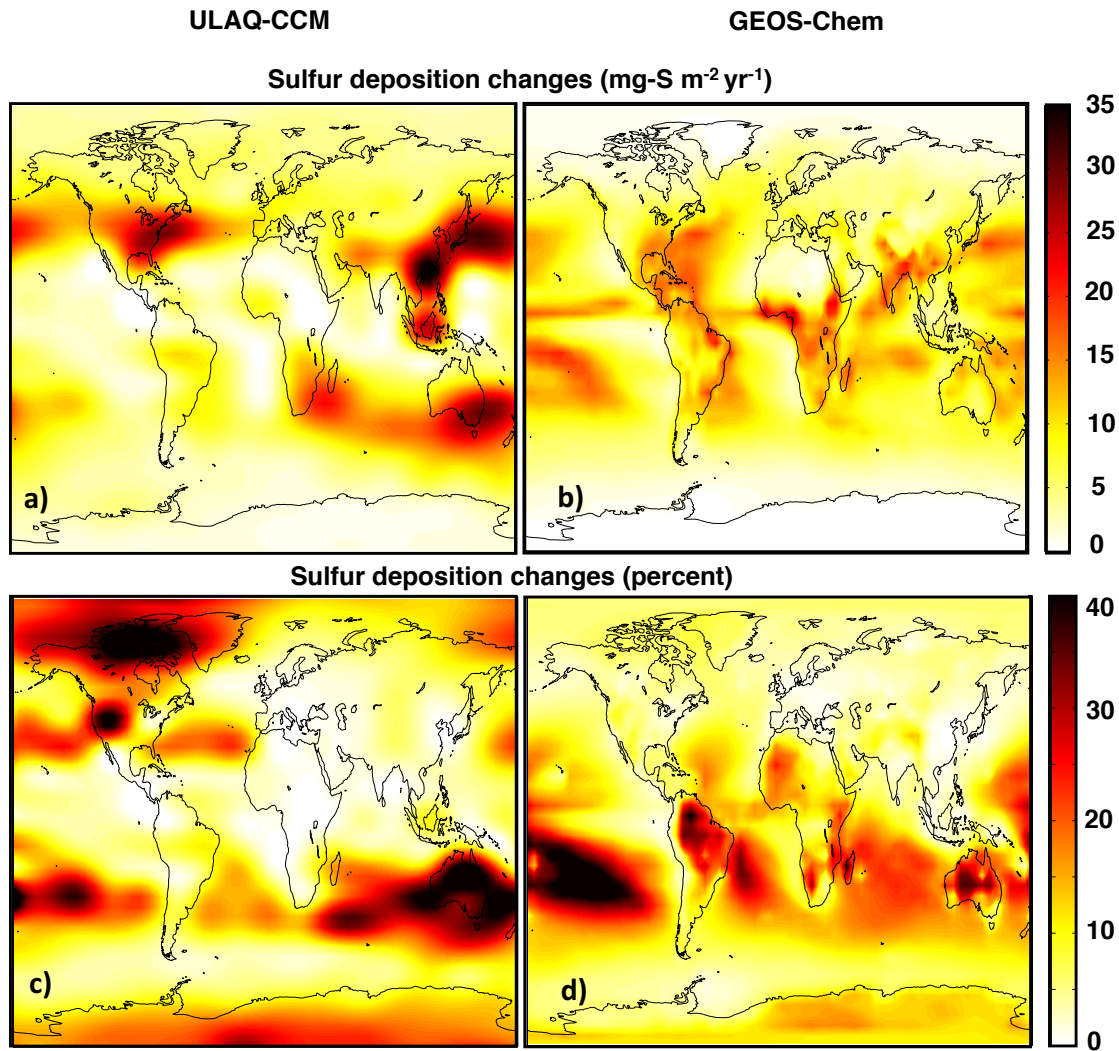


Figure 10. Annually averaged sulfur deposition flux changes (G4-Base), as a function of latitude and longitude, for ULAQ-CCM in panels (a,c) (years 2030-2039) and GEOS-Chem in panels (b,d) (years 2000-2005). Panels (a,b) show absolute changes ($\text{mg-S m}^{-2} \text{ yr}^{-1}$); panels (c,d) show percent changes with respect to the Base case.

In order to highlight the role of SG strat-trop downward fluxes on non-zonal asymmetries of the mid-latitude deposition flux changes presented in Fig. 10a, we show in Fig. 13a the time averaged G4-Base changes of the downward cross-tropopause sulfur flux, for the ULAQ-CCM. Its maxima resemble a planetary wavenumber 1-2 modulation of the lower stratospheric poleward sulfate transport from the tropical pipe reservoir, thus consequently producing non-zonal asymmetries in the tropospheric sulfate influx. The tropospheric convective vertical mixing coupled to wet scavenging produces a tropospheric sulfate lifetime of approximately 5 days in the ULAQ-CCM (Pitari et al. (2016a)). In a first approximation, zonal transport operated by the

westerlies tends to move the downward-moving sulfate coming from the tropopause by approximately 6500 km in a time period comparable to the tropospheric sulfate lifetime. This seems roughly consistent with the westerly displacement of mid-latitude sulfur deposition flux changes of Fig. 10a with the strat-trop sulfur downward fluxes of Fig. 13a.

Panel (a): downward cross-tropopause sulfur flux changes (G4-Base) in ULAQ-CCM, as a function of latitude and longitude and averaged over years 2030-2039 ($\text{mg-S m}^{-2} \text{yr}^{-1}$). Panels (b,c): as in (a), but showing differences between years with QBO easterly shear and years with QBO westerly shear; panel (b) shows the difference is in absolute units ($\text{mg-S m}^{-2} \text{yr}^{-1}$), whereas panel (c) shows the difference in percent of the decadal averaged flux changes presented in panel (a). Positive and negative anomalies are separated by the thick black curve (zero contour line); thin black/white curves show positive/negative contours with step of $5 \text{ mg-S m}^{-2} \text{yr}^{-1}$ in panel (b) and 10% in panel (c); dotted lines highlight the subtropical barriers at 25N and 25S. Integrated S-flux anomalies (QBO E-W shear) are as follows; tropics: $+0.61 \text{ Tg-S/yr}$ (+42%); NH: -0.51 Tg-S/yr (-31%); SH: -0.35 Tg-S/yr (-39%); global: -0.25 Tg-S/yr (-6%)

As summarized in Fig. 3, the latitudinal distribution of sulfur deposition is regulated by the cross-tropopause downward fluxes due to both large-scale STE in the lower branch of the Brewer-Dobson circulation and by gravitational settling of the aerosol particles. The latter may be significantly modulated by the changing aerosol size distribution during different QBO phases, mainly in the tropical region (see Fig. 4 and Fig. 7). The former is also modulated by the QBO, as discussed and summarized in Fig. 4 and proved in Fig. 5-6 for both ULAQ-CCM and GEOS-Chem, with the net effect discussed in Fig. 8 for the ULAQ-CCM. Percent deposition changes are calculated with respect to time-averaged Base values, presented in Fig.

The QBO important role in modulating the poleward isentropic transport of sulfate from the tropical pipe reservoir (and consequently the extra-tropical strat-trop downward flux of sulfur) can be clearly highlighted by showing in Fig. 13b the equivalent of Fig. 13a, but in terms of QBO E-W shear anomalies of the cross-tropopause sulfur fluxes. Under an E shear the tropical confinement is increased, resulting in both a reduction of the lower-stratospheric isentropic transport toward the mid-latitudes and an increase of tropical particle size, because of the larger amount of sulfate mass concentration in the tropical pipe. Fig. 13b shows that the combination of these two factors modify the cross-tropopause sulfur fluxes between E shear and W shear periods of the QBO, by increasing the downward flux in the tropics (for the larger aerosol settling velocities) and decreasing it in the extra-tropics due to reduced poleward isentropic transport. This is further highlighted in Fig. 13c where the differences are drawn in percent of the decadal average presented in Fig. 13a. The integrated positive tropical difference (+42%) is larger with respect to each of the integrated negative extra-tropical differences (-31% in the NH and -39% in the SH). The net E-W globally integrated flux anomaly, however, is negative (-0.25 Tg-S/yr , i.e., -6%), consistently with the stratospheric sulfate lifetime oscillations shown in Fig. 5.

4.2 Continental-scale deposition

The last part of the present work is dedicated to analyzing the sulfur deposition changes due to SG on continental scale. A careful evaluation of these values is made at continental scale, on the basis of regionally integrated values. To do so, we first present in Fig. 11 an evaluation of the Base emission and deposition fluxes over land and over oceans for both models, using available literature: in particular, we ~~compared~~ compare our results with Vet et al. (2014), ~~that uses~~ who use a multi-model

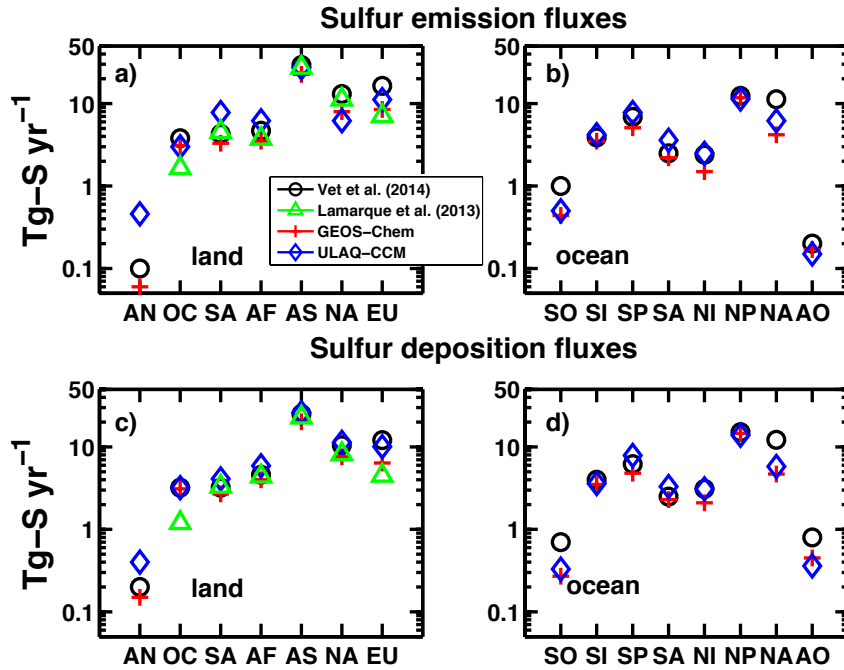


Figure 11. Regional area averaged emission and deposition fluxes of sulfur, in panels (a,b) and (c,d), respectively. Values from ULAQ-CCM (blue) and GEOS-Chem (red) are averaged over years 2000-2002 (i.e., historical reference experiment for ULAQ-CCM and Base case for GEOS-Chem). Observations and multi-model averages reported in Vet et al. (2014) and Lamarque et al. (2013) are shown for comparison (years 2001 and 2000, respectively). Land regions are presented in panels (a,c) (Antarctica, Oceania, South America, Africa, Asia, North America, Europe); ocean regions are presented in panels (b,d) (Southern Ocean, South Indian, South Pacific, South Atlantic, North Indian, North Pacific, North Atlantic, Arctic Ocean).

plus observation approach, and Lamarque et al. (2013) who rely on a-an independent multi-model approach. In particular, the former work allows us to compare emission and deposition fluxes in all land and oceanic regions of the planet, whereas the latter offers regional values for land regions (except Antarctica). The regions are ordered from the southernmost to the northernmost, in order to highlight inter-hemispheric differences, if present. From Fig. 11ab we can see that both models correctly reproduce emission fluxes at a regional level, with the correct order of magnitude almost everywhere, both on land and oceans. A significant model spread is found over Antarctica, where ULAQ-CCM overestimates the Vet et al. (2014) estimate, contrary to GEOS-Chem, which on the other hand underestimate it. The deposition values presented in Fig. 11ab are equally consistent, if not even more, consistent with Vet et al. (2014) values.

Once sure that both models properly simulate emission and deposition fluxes, we have estimated the amount of increased deposition on all regions, produced by the 4 Tg-S/yr injection in the equatorial lower stratosphere. These results are shown in Table ??-S1-S2 and its equivalent graphical form in Figure 12. The standard deviation given for both models in each region

(Fig. 12) represent the inter-annual variability due to the QBO, as explained in Fig. 8. As already highlighted in Fig. 9, the two models differ in their estimate of the increased sulfur deposition in the tropics, with GEOS-Chem giving a significantly larger deposition change over Africa. As it has been shown in Fig. 3, this is a result of both the larger cross-tropopause tropical downward flux and the larger mid-upper tropospheric mixing toward tropical latitudes in GEOS-Chem compared to ULAQ-CCM (see also the discussion relative to Fig. 9-10). When looking at Fig. 12 we see that this translates in a much larger relative deposition change over Africa for GEOS-Chem with respect to ULAQ-CCM.

Considering the imbalance of Base deposition fluxes between SH and NH (Fig. 11), we obtain relative changes in panels (c-f) of Fig. 12 that appear much smaller in the NH (as a whole, an increase of 3.8% of the Base deposition) compared to SH values (as a whole, an increase of 10.3% of the Base deposition). This means that over some regions in the SH, the sulfur deposition increases by more than 10% (Oceania and South America for ULAQ-CCM, with 10.6% and 10.1%). A rather large difference is also present in percent changes over the Arctic Ocean, with a $14.7 \pm 2.2\%$ for ULAQ-CCM compared to a $2.3 \pm 0.3\%$ for GEOS-Chem, a difference already shown and discussed in Fig. 9.

~~a) Area integrated sulfur deposition changes for continental regions in the geoengineering G4 case, with respect to the unperturbed Base case: ULAQ-CCM 2030-2039; GEOS-Chem 2000-2005. b) As in a), but for the oceans. The standard deviation in each region represents the inter-annual variability due to the QBO.~~

~~Regional area averaged deposition flux changes of sulfur (G4-Base) for land and ocean regions, in panels (a,e) and (b,d), respectively. Regions are those listed in Fig. 11. Absolute changes are shown in panels (a,b) (Tg-S/yr); percent changes with respect to the Base case are shown in panels (c,d). Whiskers show the standard deviation of detrended monthly deposition change values, for years 2030-2039 in ULAQ-CCM and 2000-2005 in GEOS-Chem (annual variability is removed, as explained in Fig. 8, to highlight the impact on surface deposition changes of the stratospheric circulation interannual variability, mainly due to the QBO).~~

~~Although the deposition change value predicted by the ULAQ-CCM over the Arctic might seem much too large compared to GEOS-Chem. With the average regional deposition percent changes, we also highlight the standard deviation due to both seasonal and interannual changes (darker shading for the latter alone). This visual representation allows to see that, when looking at the absolute values an (albeit imperfect) comparison can be drawn with the values presented in Marshall et al. (2017), regarding the sulfur deposition over single deposition change values, there might be a combination of seasonal and QBO-driven effects that may produce a variability of relative deposition changes with an upper limit as high as 15% over Africa for GEOS-Chem, or as low as close to zero over Africa and Asia for ULAQ-CCM.~~

The large difference in the predicted sulfur deposition changes over the Arctic between the two models (as shown in Table S2) warrants a further comparison with measured values, in order to understand if the models correctly simulate the aerosol transport to polar latitudes. A semi-quantitative comparison can be made using values appropriate for past explosive volcanic eruptions, as retrieved from ice cores in Antarctica and Greenland, as simulated by four AOGCMs after the Tambora eruption for example those of the 1815 eruption of Tambora (Sigl et al. (2015); Gao et al. (2007)). However, there are many significant

differences between a sustained sulfur injection (SG) and an impulsive one (volcanoes) that prevent us from making a precise comparison, as we did for the baseline sulfur deposition fluxes using data from Vet et al. (2014): 1) Atmospheric dynamical conditions of the specific year of eruption (April 1815, for Tambora) play a decisive role in the subsequent aerosol plume dispersal, both in terms of aerosol lifetime and spatial distribution, with respect to results from a sustained injection. These are in fact 'climatologically-averaged' over a certain amount of years. 2) The size itself of the aerosols plays an important part in the latitudinal distribution of sulfur deposition (as discussed in subsection 4.2) and cannot be retrieved from ice cores. 3) The Tambora eruption took place at 8°S, thus presumably favoring a larger plume dispersal in the Southern Hemisphere, with respect to our SG experiments with equatorial S-injection. ~~If we scale the Tambora emission of~~ Nonetheless, the following comparison can give us a first approximation idea of how realistic the model estimates are in terms of S-deposition over the polar regions. By normalizing the deposition fluxes to the amount of injected sulfur (as made for the models in Table S2) and considering that the sulfur injection from the Tambora eruption is estimated close to 60 Tg-SO₂ to our 8 Tg-SO₂ SG scenario, we obtain for those four models a spread in the simulated deposition that ranges between 0.008 to 0.05 Tg-S for Greenland and from 0.03 to 0.47 Tg-SO₂, we obtain observed normalized values of 0.0035 Tg-S for Antarctica, against an estimated deposition from ice cores (Sigl et al. (2015); Gao et al. (2007)) of 0.013 Tg-S for Greenland and 0.09-0.024 Tg-S for Antarctica (again, linearly scaling the results from 60 Tg-SO₂ to 8 Tg-SO₂). The ULAQ-CCM estimated deposition calculated normalized S-deposition in the two areas (0.011 regions (0.0027 Tg-S/yr for Greenland and 0.03-0.0075 Tg-S/yr for Antarctica) fit inside the multi-model range in Marshall et al. (2017) and actually come close to comes closer to the estimated (scaled) values from ice cores in the two areas, compared to the values of calculated normalized deposition in GEOS-Chem (0.004-0.0010 Tg-S/yr for Greenland and 0.01-0.0025 Tg-S/yr for Antarctica), which appear to be much lower. However, considering that such a large volcanic injection of SO₂ had certainly produced a different size distribution of stratospheric sulfate aerosols with respect to the one considered in the present SG experiment, a simple linear scaling of the emission may result rather inappropriate, allowing nothing more than an order of magnitude comparison between these results.

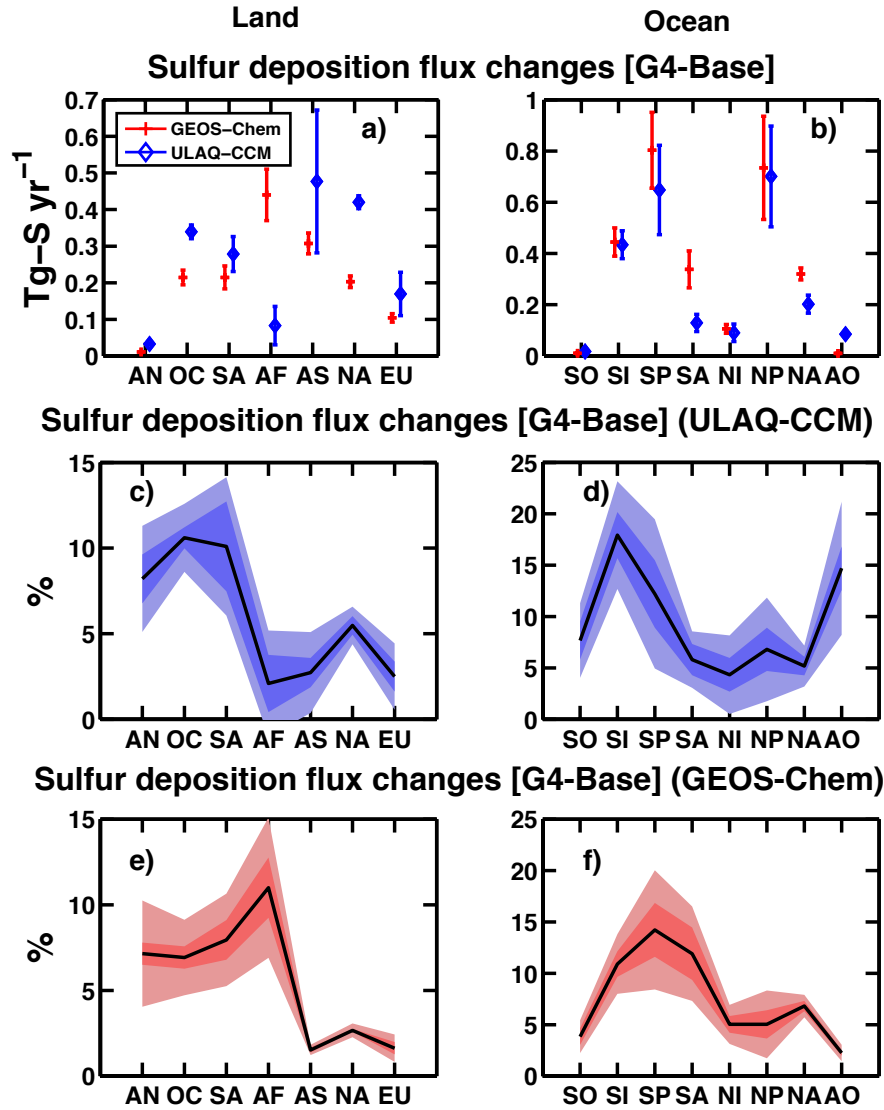


Figure 12. Regional area averaged deposition flux changes of sulfur (G4-Base) for land and ocean regions, in panels (a) and (b), respectively. Regions are those listed in Fig. 11. Whiskers show the standard deviation of detrended monthly deposition change values, for years 2030-2039 in ULAQ-CCM and 2000-2005 in GEOS-Chem (annual variability is removed, as explained in Fig. 8, to highlight the impact on surface deposition changes of the stratospheric circulation interannual variability, mainly due to the QBO). Percent changes from ULAQ-CCM are shown in panels (c,d); those from GEOS-Chem are in panels (e,f) (both respect to the Base experiment). Shaded areas (blue for ULAQ-CCM and red for GEOS-Chem) show the standard deviation of monthly deposition percent change values, for years 2030-2039 in ULAQ-CCM and 2000-2005 in GEOS-Chem. Darker blue/red shaded areas show the standard deviation for detrended monthly deposition change values (with annual variability removed, as explained in Fig. 8 and specified above for panels (a,b)).

4.2 QBO impact on global-scale deposition

In order to highlight the role of SG strat-trop downward fluxes on non-zonal asymmetries of the mid-latitude deposition flux changes presented in Fig. 10a, we show in Fig. 13a the time averaged G4-Base changes of the downward cross-tropopause sulfur flux, for the ULAQ-CCM. Its maxima resemble a planetary wavenumber 1-2 modulation of the lower stratospheric poleward sulfate transport from the tropical pipe reservoir, thus consequently producing non-zonal asymmetries in the tropospheric sulfate influx. The tropospheric convective vertical mixing coupled to wet scavenging produces a tropospheric sulfate lifetime of approximately 5 days in the ULAQ-CCM (Pitari et al. (2016a)). In a first approximation, zonal transport operated by the westerlies tends to move the downward moving sulfate coming from the tropopause by approximately 6500 km in a time period comparable to the tropospheric sulfate lifetime. This seems roughly consistent with the westerly displacement of mid-latitude sulfur deposition flux changes of Fig. 10a with the strat-trop sulfur downward fluxes of Fig. 13a.

Lastly, As summarized in Fig. ?? we show the regional deposition percent changes, highlighting the standard deviation. The latitudinal distribution of sulfur deposition is regulated by the cross-tropopause downward fluxes due to both seasonal and interannual changes (darker shading for the latter, same as shown in Fig. 12). This visual representation allows to see that, when looking at single deposition change values, there might be a combination of seasonal and QBO-driven effects that may produce avariability of relative deposition changes with an upper limit as high as 15% over Africa for large scale STE in the lower branch of the Brewer-Dobson circulation and by gravitational settling of the aerosol particles. The latter may be significantly modulated by the changing aerosol size distribution during different QBO phases, mainly in the tropical region (see Fig. 4 and Fig. 7). The former is also modulated by the QBO, as discussed and summarized in Fig. 4 and proved in Fig. 5-6 for both ULAQ-CCM and GEOS-Chem, or as low as close to zero over Africa and Asia for with the net effect discussed in Fig. 8 for the ULAQ-CCM.

The QBO important role in modulating the poleward isentropic transport of sulfate from the tropical pipe reservoir (and consequently the extra-tropical strat-trop downward flux of sulfur) can be clearly highlighted by showing in Fig. 13bc the equivalent of Fig. 13a, but in terms of QBO E-W shear anomalies of the cross-tropopause sulfur fluxes. Under an E shear the tropical confinement is increased, resulting in both a reduction of the lower stratospheric isentropic transport toward the mid-latitudes and an increase of tropical particle size, because of the larger amount of sulfate mass concentration in the tropical pipe. Fig.13b shows that the combination of these two factors modify the cross-tropopause sulfur fluxes between E shear and W shear periods of the QBO, by increasing the downward flux in the tropics (for the larger aerosol settling velocities) and decreasing it in the extra-tropics due to reduced poleward isentropic transport. This is further highlighted in Fig. 13c where the differences are drawn in percent of the decadal average presented in Fig. 13a. The integrated positive tropical difference (+42%) is larger with respect to each of the integrated negative extra-tropical differences (-31% in the NH and -39% in the SH). The net E-W globally integrated flux anomaly, however, is negative (-0.25 Tg-S/yr. i.e., -6%), consistently with the stratospheric

sulfate lifetime oscillations shown in Fig. 5.

5 The above discussed QBO E-W anomalies of cross-tropopause fluxes directly translate onto surface deposition fluxes, as shown in Fig. 14. If we isolate those years in our numerical simulation with a dominant E shear of mean zonal winds and calculate the average S-deposition changes due to SG only during these years, we obtain the results summarized in Fig. 14 for the three latitudinal bands identified in Fig. 13 (tropics and the two extra-tropical regions). Significant anomalies of the integrated S-deposition flux changes are found with respect to the decadal average including both E,W wind shears, as in Fig. 9 and Fig. 10. The extratropical S-deposition is found to decrease under E shear conditions up to 35.3% in the Southern Hemisphere and by 16% as an average over both hemispheres. This is the direct consequence of the enhanced tropical confinement of SG aerosols under easterly wind shear, with decreasing isentropic poleward transport of sulfate. At the same time, the increasing aerosol size in the tropics (see Fig. 4 and Fig. 7) produces a larger cross tropopause sedimentation flux and finally an increase of the tropical S-deposition change by 16.5% in our calculations.

15 Other than simply discriminating between the two QBO regimes, these results also show what changes in regional deposition one might expect in case of an injection large enough to lock the QBO into a permanent E shear (Aquila et al. (2014)). Although possible feedbacks of the QBO modifications to the aerosol microphysics (see Fig. 4) cannot be present in our model, if we average the S-deposition only for years with a QBO E shear, this can be seen as a proxy of the actual S-deposition changes under a modified QBO regime with permanent locking into the E shear. This type of average may allow to estimate the possible latitudinal distribution of the S-deposition changes in a SG scenario with a larger sulfur injection, when the results are scaled accordingly.

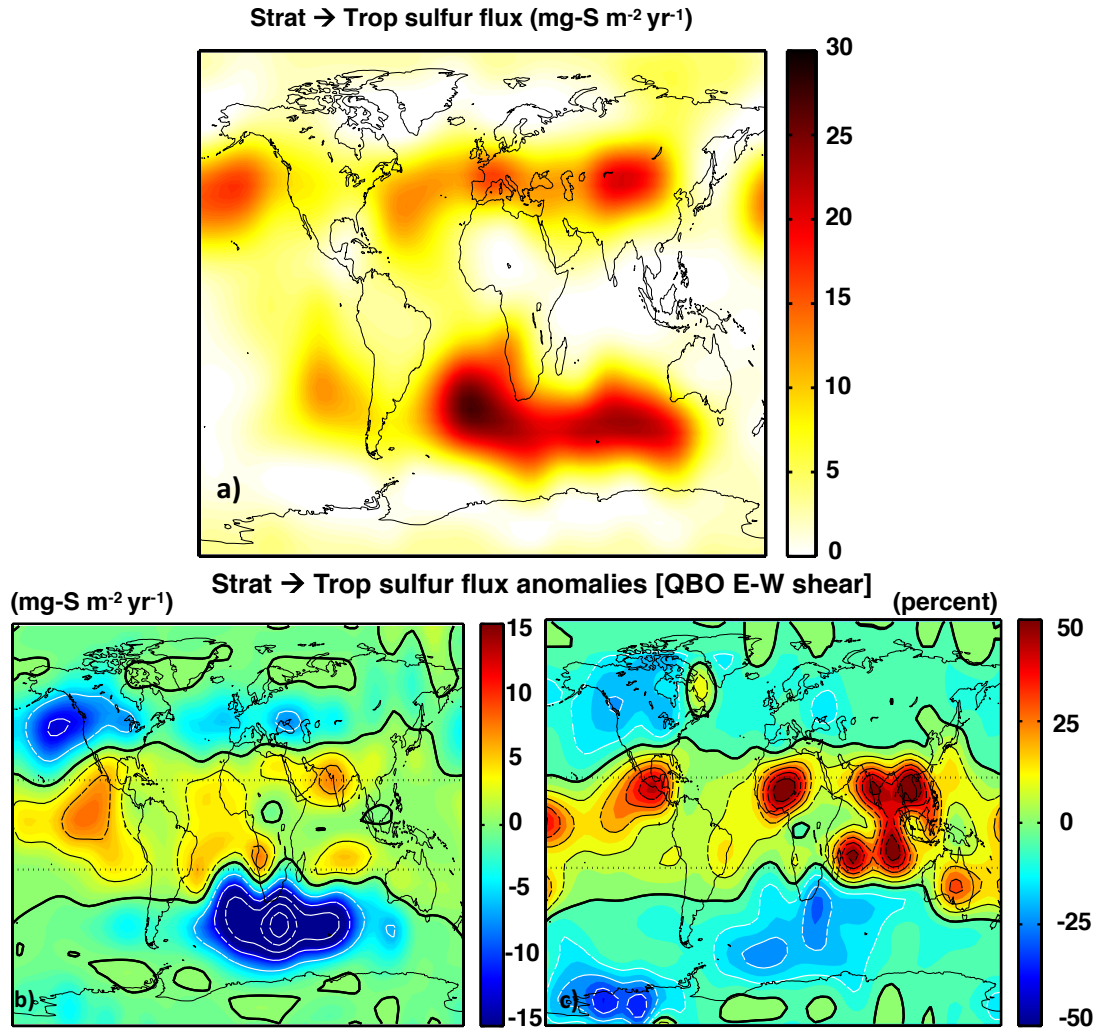


Figure 13. Regional-area-averaged-deposition Panel (a): downward cross-tropopause sulfur flux changes of sulfur (G4-Base) for land and ocean regions, in panels (a) ULAQ-CCM, (c) as a function of latitude and longitude and averaged over years 2030-2039 (mg-S m⁻² yr⁻¹). Panels (b, d), respectively. Regions are those listed: as in Fig. 11. Percent changes from ULAQ-CCM are shown in panels (a), but showing differences between years with QBO easterly shear and years with QBO westerly shear; panel (b) ; those from GEOS-Chem are shows the difference is in panels absolute units (e, d, mg-S m⁻² yr⁻¹), whereas panel (both respect to c) shows the Base experiment difference in percent of the decadal averaged flux changes presented in panel (a). Shaded areas (blue for ULAQ-CCM Positive and red for GEOS-Chem negative anomalies are separated by the thick black curve (zero contour line); thin black/white curves show the standard deviation positive/negative contours with step of monthly deposition percent change values, for years 2030-2039 5 mg-S m⁻² yr⁻¹ in ULAQ-CCM panel (b) and 2000-2005 10% in GEOS-Chem. Darker blue/red shaded areas show the standard deviation for detrended monthly deposition change values panel (with annual variability removed, as explained in Fig. 8 c); dotted lines highlight the subtropical barriers at 25N and specified in Fig. 25S. 42 Integrated S-flux anomalies (QBO E-W shear) are as follows; tropics: +0.61 Tg-S/yr (+42%); NH: -0.51 Tg-S/yr (-31%); SH: -0.35 Tg-S/yr (-39%); global: -0.25 Tg-S/yr (-6%)

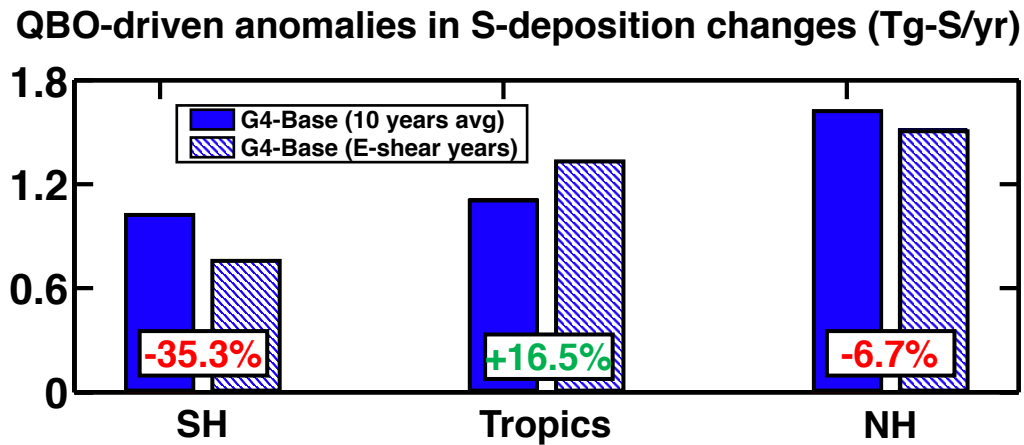


Figure 14. QBO-driven anomalies of S-deposition changes (Tg-S/yr) in the tropical region [25S-25N] and in the two extra-tropical regions, i.e., [90S-25S] and [25N-90N]. The full-coloured blue bars represent the S-deposition changes in the ULAQ-CCM averaged over the full decade 2030-39. The striped blue bars represent the S-deposition changes calculated only under E shear condition. The numbers in the boxes show the percent changes of the S-deposition changes due to SG under E shear condition with respect to the one calculated over the full decade, i.e. under both QBO regimes.

5 Conclusions

The main goal of geoengineering is to reduce our planet surface warming, bound to happen if the amount of GHGs is not reduced via cuts on anthropogenic emissions (IPCC (2013)). In the case of SG, the main effect of cooling the planet could surely be achieved [to some extent if the sulfate was actually injected in the tropical stratosphere](#), and we are assured on that by both looking at explosive volcanic eruption and their effect on climate and on many results from the GeoMIP project, coming from a vast array of simulations from independent models ([Kravitz et al. \(2011\)](#)[Kravitz et al. \(2012\)](#); Vioni et al. (2017a)). However, in terms of possible side effects there is much still left to study and understand. In this study we focused on the SG impact on the surface deposition of sulfur, in case of an injection of 8 Tg-SO₂/yr simulated in two global-scale models, ULAQ-CCM and GEOS-Chem. Results from these simulations tell us that the stratospheric SO₄ lifetime is highly correlated with the QBO phase (as already found in Pitari et al. (2016b) for explosive volcanic eruptions).

When the westerly phase is localized in the lower stratosphere (i.e., with an E shear of the equatorial mean zonal winds), the stratospheric SO₄ lifetime is found to increase in the ULAQ-CCM by up to 4 months, with respect to the lifetime under a QBO easterly phase localized in the lower stratosphere (i.e., with a W shear of the equatorial mean zonal winds). This happens for two reasons: with an E shear, the horizontal isentropic transport of sulfate out of the tropical pipe is slower and the tropical upwelling is enhanced at all vertical layers (Trepte and Hitchman (1992)), thus allowing for a longer stratospheric residence time of the aerosols. This is the net result of two competing effects: less extratropical strat-trop exchange is allowed during the E wind shear and overcompensates for an increasing tropical sedimentation of the sulfate particles, which may grow larger with an enhanced sulfur confinement in the tropical pipe.

A limitation of this study is the use of an assimilated or nudged QBO, for both GEOS-Chem and ULAQ-CCM. This means that changes of QBO amplitude and periodicity due to aerosol radiative effects connected with SG conditions cannot be seen, as instead evidenced and discussed in Aquila et al. (2014), Niemeier and Timmreck (2015) and Niemeier and Schmidt (2017). In a way, this does not allow to draw any broad conclusions regarding the final effect that the mutual interactions of aerosol size distribution, heating rate changes and QBO have on each other (the complex 'balance' shown in Fig. 4). In another way, constraining some of the degrees of freedom does allow us to answer some compelling scientific questions regarding the uncertainties of sulfate geoengineering (MacMartin et al. (2016)).

The consistency of results from the two models used in this study suggests, with a certain degree of confidence, that the E wind shear (i.e., QBO W phase in the lower equatorial stratosphere) is more favorable for producing a longer stratospheric lifetime of SG aerosols. However, when the aerosol size distribution is explicitly calculated on-line with inclusion of the most important microphysical processes, the QBO modulation of the particle effective radius (see discussion relative to Fig. 7) implies that the largest surface cooling is achieved in the least favorable conditions in terms of stratospheric sulfate mass accumulation, that is with W wind shear (i.e., QBO E phase in the lower equatorial stratosphere). Niemeier and Timmreck (2015)

and later Niemeier and Schmidt (2017) have already pointed out that larger injections tend to be less efficient in terms of radiative forcing. Our results can add to this the observation that, since injections under an E shear produce a decreased scattering (and forcing) efficiency, the most favorable SG scenario would be one that tends to prolong the E shear as little as possible. For instance, taking as an example the results shown in Aquila et al. (2014), we can suppose that the 2.5 Tg-S/yr injection scenario ~~that only prolonged the QBO-E shear,~~ would have been ~~(had those simulations had an interactive aerosol microphysics)~~ more favorable in terms of radiative forcing ~~rather than the~~ with respect to the (E shear locked) 5 Tg-S/yr scenario, ~~that locked it completely in a E shear~~ had those simulations had an interactive aerosol microphysics.

Regarding surface deposition, in agreement with Kravitz et al. (2009) with an injection of 2.5 Tg-S/yr, we found for both ULAQ-CCM and GEOS-Chem that sulfur deposition changes are never above 15% of the Base scenario, and that over continents they are on average around 5% for either models. However, when looking more in depth, a large inter-hemispheric difference is present (3.8% for the Northern Hemisphere against 10.3% for the Southern Hemisphere), and the same differences can be seen when looking at single areas, such as those where very little background deposition is present ~~in the first place~~: Oceania and South America, with $8.8 \pm 0.7\%$ (0.27 ± 0.02 Tg-S/yr) and $9.0 \pm 1.4\%$ (0.28 ± 0.04 Tg-S/yr) respectively, and Antarctica, with $7.7 \pm 0.7\%$ ~~(the uncertainties given here should be intended as variations in the annual deposition due to different QBO phases)~~ 0.02 ± 0.01 Tg-S/yr, where the uncertainties refer to the inter-annual S-deposition variability due to the QBO. While in those areas both models agree on the magnitude of the changes, in some other areas, such as the Arctic Ocean ~~($2.30 \pm 0.10 \pm 0.3\%$ -0.003 Tg-S/yr in GEOS-Chem against $14.70 \pm 0.03 \pm 2.2\%$ -0.01 Tg-S/yr in ULAQ-CCM)~~ or Africa ~~($11.00 \pm 0.44 \pm 1.8\%$ against 2.10 ± 0.07 Tg-S/yr against $0.08 \pm 1.3\%$ -0.05 Tg-S/yr, in GEOS-Chem and ULAQ-CCM, respectively),~~ the differences between models are large and the results do not allow a definitive answer. Regarding polar regions, especially in the NH where the two models differ significantly, ULAQ-CCM values seem to be more in line with ~~the findings of Marshall et al. (2017) and~~ retrieved values from ice cores after the Tambora eruption (at least indirectly, via a linear emission scaling, and then only in a first approximation).

Furthermore, these deposition results could be scaled down when considering stratospheric sulfur injections lower than 4 Tg-S/yr. This might happen, for instance, in the following cases: 1) a less aggressive approach is considered to achieve different temperature reduction targets (Tilmes et al. (2016)); 2) we consider different scenarios over which to apply the proposed solar radiation management (MacMartin et al. (2014)) or 3) the sum all of indirect radiative effects of SG end up producing a negative forcing that, by going the same way as the direct solar radiation scattering, would allow for a smaller injection to obtain a certain target (Visioni et al. (2017a)). As an example, considering the 2.5 Tg-S/yr injection proposed in the GeoMIP G4 experiment (Kravitz et al. (2011)), the resulting deposition would be lowered down to 2.3% in the NH and 6.4% in the SH. ~~On the other hand, if we consider higher injection scenario, with modification of the QBO shear,~~

~~Furthermore, these deposition results could be further scaled when considering lower stratospheric sulfate injections than 4~~ Looking at some of our regional results scaled per unit Tg-S/yr injection and comparing them with the baseline deposition fluxes reported in Vet et al. (2014), we conclude that South America would receive 0.06 Tg-S/yr more deposition (against 3.2 Tg-S/yr

, in case a less aggressive approach is considered to achieve different temperature reduction targets (Tilmes et al. (2016)), or considering different scenarios over which to apply the proposed solar radiation management (MacMartin et al. (2014)). As an example, considering the 2.5 of baseline S-deposition, i.e., 2% per injected Tg-S/yr; the Indian Ocean would receive additional 0.12 Tg-S/yr (against 7.1 Tg-S/yr, i.e., 1.7% per injected Tg-S/yr); injection proposed in the GeoMIP experiment (Kravitz et al. (2011)), the resulting deposition would be lowered down to 2.3% in the NH and 6.4% in the SH with a simple sealing. On the other hand, if we consider higher injection scenario that would produce a modification of the QBO shear, a stronger deposition over the tropical regions should be expected, as discussed; Europe and North America would receive additional 0.032 Tg-S/yr and 0.078 Tg-S/yr, respectively (against 12.1 Tg-S/yr and 10.5 Tg-S/yr, respectively, i.e., 0.26% and 0.74% per injected Tg-S/yr).

Focusing on the latitudinal distribution of S-deposition, we suggest a potential significant impact due to the SG feedback on the QBO. Considering scenarios with larger injections that end up locking the lower stratospheric mean zonal winds in westerly phase (see Aquila et al. (2014); Niemeier and Schmidt (2017)), the results presented in Fig. 13, and a simple sealing of the obtained results would not be possible anymore bc-14 point out to an upper limit increase of the tropical SG deposition change by 16.5% with respect to a time-averaged value with an externally nudged QBO. The other evidence is a corresponding upper limit decrease of the extra-tropical deposition by 16%. However, recent investigations by Tilmes et al. (2017) and Richter et al. (2017) show that the SG impact on the baseline QBO regimes would be significantly decreased in case of a sulfur injection located off the equator and closer to the sub-tropics.

As already noted by Kravitz et al. (2009), deposition results do not take into account local changes in precipitation patterns that might occur over specific areas of the globe, or the response of single ecosystems, but they might give some indications towards which areas might get affected more. In this way, the results obtained in this study should not be considered as an endorsement of sulfate geoengineering, and more results on this subject are needed, especially regarding the sulfur deposition increase over Arctic and Antarctic polar regions. We also believe that the need for further studies regarding SG is highlighted (as shown also in this paper) by the complexity and non-linear interaction among some processes that together regulate the latitude-longitude distribution of sulfur deposition changes, namely aerosol microphysics and heating rates, QBO, forcing efficiency, circulation changes.

Data availability. Data from model simulations are available from the corresponding author.

Competing interests. The authors declare no conflict of interest

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