# Response to Comments by Anonymous Referee #1 on "Uncertain Henry's Law Constants Compromise Equilibrium Partitioning Calculations of Atmospheric Oxidation Products" by Chen Wang et al.

This manuscript addresses and explores uncertainty in parameters for modeling phase partitioning of atmospheric organic compounds, a critical outstanding source of uncertainty in current atmospheric chemical models. The authors calculate partitioning coefficients between the vapor phase and both aqueous and organic condensed phases using three different approaches built on different underlying methodologies. Discrepancies between these parameter estimation techniques are discussed and used to identify current critical gaps in understanding. In addition, the results of each approach are explored in the context of ambient conditions, and the authors demonstrate that differences between approaches significantly change the expected phase of many organic compounds in the atmosphere. This work is generally a valuable step toward understanding and eventually addressing current shortcomings in atmospheric partitioning models, and this reviewer recommends publication with only minor revisions. Response: Thanks for the comments.

General comments:

1) By providing the calculated results for all species, this work significantly advances future modeling. The Excel spreadsheet seems to be corrupt, though, or at least did not work on my computer. Please fix this, and perhaps provide the data in a more portable form as well, such as CSV.

Response: Thanks for the reviewer's suggestions. We have updated the excel spreadsheet and added a csv file in the supporting information.

2) Additional detail about the three estimation approaches, in particular SPARC and ppLFER, should be provided in the methods. Throughout the manuscript the reader has to sort of piece together everything that goes into these two approaches. They should instead be given more explanation in the Methods section.

Response: We have rewritten lines 122-127 in the Method section to include more details about the ppLFER method: "In brief, ppLFERs are developed by performing a multi-linear regression of experimental *K* values against compound specific solute descriptors (Endo and Goss, 2014). These descriptors represent a solute's hydrogen-bond acidity (*A*), hydrogen-bond basicity (*B*), dipolarity/polarizability (*S*), McGowan volume (cm<sup>3</sup>/mol) divided by 100 (*V*), excess molar refraction (*E*), and logarithmic hexadecane-air partitioning constant at 25°C (*L*). In this study, solute descriptors for the 3414 compounds were predicted with ABSOLV (ACD/Labs, Advanced Chemistry Development, Inc., Toronto, Canada). The regression coefficients in ppLFERs are denoted by *a*, *b*, *s*, *v*, *e*, and *l*; *c* is the regression constant. The ppLFER for air-water partitioning was taken from (Goss, 2006):

 $\log K_{W/G} = c + aA + bB + sS + vV + eE$ 

whereas ppLFERs for four different organic aerosol were taken from (Arp et al., 2008);

 $\log K_{A \text{erosol/G}} = c + aA + bB + sS + vV + IL$ 

As described in Wania et al. (2014), the average of the four  $K_{Aerosol/G}$  was compared with the  $K_{WIOM/G}$  predicted by the other two methods." We have also added the reference Endo and Goss (2014) which gives a comprehensive introduction to ppLFERs: "Endo, S., Goss, K.-U.,

Applications of polyparameter linear free energy relationships in environmental chemistry, Environmental Science and Technology, 48, 12477-12491, 2014. "

We have further added more details on the SPARC method on line 127: "SPARC is a commercial web-based calculator for prediction of physical chemical properties from molecular structure developed by the US Environmental Protection Agency (Hilal et al., 2004). The predictions of  $K_{W/G}$  and  $K_{WIOM/G}$  are based on solvation models in SPARC that describe the intermolecular interaction between different molecules (solute and solvent), including dispersion, induction, dipole-dipole, and H-bonding interactions, which are developed and calibrated with experimental data (Hilal et al., 2008)."

3) The section on "Comparison between Different Prediction Methods" focuses on MD and MAD, but this somewhat masks the true scope of uncertainty. For instance, in Table 1, these metrics suggest the  $K_{W/G}$  comparison between ppLFER and SPARC is not much different than the  $K_{WIOM/G}$  comparison except for >5 functional groups. Claims by the author to the contrary are somewhat overstated. From the breakdown by functional groups and from Figure 1, though, it is clear there are some extreme or at least more varied cases. It seems relevant not only to ask "what is the average difference?" but also to ask "what is the probability that these two methods differ substantially?" Including as an additional figure a distribution (or cumulative distribution) of differences would help answer this question by showing not only average difference (the center of the distribution), but also the range of differences (the width and range of the distribution), and would strengthen the author's claims that there is a substantial difference in the uncertainty of these parameters.

Response: We have added a figure to the supporting information (see Figure S1 at the end of this document) with plots showing the frequency of the discrepancies for predictions between any two prediction methods and added the following sentence on line 167: "Figure S1 in the supporting information illustrates the frequency of the discrepancies between different pairs of predicted log  $K_{WIMO/G}$  and log  $K_{W/G}$  values." The figure numbers in the manuscript and Supporting information have been changed accordingly.

4) In discussing atmospheric implications of different prediction methods, an important metric is the number (or fraction) of compounds that are in a different phase with different prediction methods, not just the number in each phase with each method as in Table 2. For instance, how many compounds that are condensed with ppLFER that "volatilize" with COSMOtherm? This would highlight the implications and importance of the differences.

Response: This can be evaluated by comparing the fraction of a certain compound in the gas phase, i.e. whether or not it is present mostly in the condensed phase, predicted by different method under certain conditions (WIOM phase and liquid water content). This is illustrated in the partitioning space plots in Figure 3.

In addition, we calculated how many (percentage) of the compounds change their preferred phase when a different estimation method is used. The threshold used was 50 % in the gas phase, i.e. if a compound is less than 50 % in the gas phase it is predominantly in the condensed phase. The number of compounds changing from being predominantly present in the gas phase to being predominantly in the condensed phase when a different method is used is summarized in Table S1. The following sentence has been added to the manuscript on line 448: "Table S1 in the supporting information summarizes the number and percentage of compounds that change

their partitioning between gas and condensed phase under different atmospheric conditions when a different prediction method is used. Depending on the scenarios, a total of 2.0 % up to 34 % of the 3414 compounds have a different dominant phase when using a different prediction method. This change is larger for the cloud scenarios and much lower for the aerosol scenarios especially if the aerosol contains no water."

Table S1Number (percentage) of compounds that change from predominant partitioning<br/>to gas phase to predominant partitioning to the condensed phase(s) under<br/>different atmospheric conditions when a different prediction method is used

(a) aerosol (L	WC=10 μg/m³, OM=10 μg/m³)		(c) aerosl without water (LWC=0 µg/m <sup>3</sup> , OM=10 µg/m <sup>3</sup> )				
from ppLFER to SPARC	from SPARC to ppLFER	total change	from ppLFER to SPARC	from SPARC to ppLFER	total change		
107 (3.1%)	195 (5.7%)	302 (8.8%)	146 (4.3 %)	11 (0.3%)	157 (4.6%)		
from ppLFER to COSMOtherm	from COSMOtherm to ppLFER	total change	from ppLFER to COSMOtherm	from COSMOtherm to ppLFER	total change		
17 (0.5%)	481 (14.1 %)	498 (14.6%)	26 (0.8%)	41 (1.2%)	67 (2.0%)		
from SPARC to COSMOtherm	from COSMOtherm to SPARC	total change	from SPARC to COSMOtherm	from COSMOtherm to SPARC	total change		
12 (0.4%)	388 (11.4%)	400 (11.7%)	14 (0.4%)	164 (4.8%)	178 (5.2%)		
(b) cloud (L\	WC=0.3 g/m <sup>3</sup> , OM=10 μg/m <sup>3</sup> )		(d) cloud non-phase separated (LWC=0.3 g/m <sup>3</sup> , OM=0 μg/m <sup>3</sup> )				
from ppLFER to SPARC	from SPARC to ppLFER	total change	from ppLFER to SPARC	from SPARC to ppLFER	total change		
166 (4.9%)	207 (6.1%)	373 (10.9%)	167 (4.9%)	206 (6.0%)	373 (10.9%)		
from ppLFER to COSMOtherm	from COSMOtherm to ppLFER	total change	from ppLFER to COSMOtherm	from COSMOtherm to ppLFER	total change		
46 (1.3%)	1103 (32.3 %)	1149 (33.7%)	47 (1.4%)	1111 (32.5%)	1158 (33.9%)		
from SPARC to COSMOtherm	from COSMOtherm to SPARC	total change	from SPARC to COSMOtherm	from COSMOtherm to SPARC	total change		
40 (1.2%)	1056 (30.9 %)	1096 (32.1%)	40 (1.2%)	1065 (31.2%)	1105 (32.4%)		

\*The column "total change" indicates total number (percentage) of compounds that have different predicted dominant phases using different methods.

#### Specific comments:

p. 3 line 49: "number of organic species in the atmosphere is in the hundreds of thousands." Please cite your source, as these numbers often vary in the literature between thousands, tens of thousands, and hundreds of thousands, but probably only if including constitutional isomers with the same functional groups in different positions.

Response: This sentence (line 49) has been changed: "Furthermore, there are many thousand organic species in the atmosphere (Hallquist et al., 2009); the number is even higher when considering their isomers." The following reference has been added: Hallquist, M., Wenger, J. C., Baltensperger, U., Rudich, Y., Simpson, D., Claeys, M., Dommen, J., Donahue, N. M., George, C., Goldstein, A. H., Hamilton, J. F., Herrmann, H., Hoffmann, T., Iinuma, Y., Jang, M., Jenkin, M. E., Jimenez, J. L., Kiendler-Scharr, A., Maenhaut, W., McFiggans, G., Mentel, T. F., Monod, A., Prevot, A. S. H., Seinfeld, J. H., Surratt, J. D., Szmigielski, R., and Wildt, J.: The formation, properties and impact of secondary organic aerosol: current and emerging issues, Atmospheric Chemistry and Physics, 9, 5155-5236, 2009.

p. 3 line 58: Suggest including a reference to Compernolle et al., doi:10.5194/acp-11-9431-2011, which also explores this issue in some detail, specifically comparing various v.p. estimation methods used in this field

Response: The reference suggested by the reviewer has been included: "Compernolle, S., Ceulemans, K., and Muller, J. F.: EVAPORATION: a new vapour pressure estimation method for organic molecules including non-additivity and intramolecular interactions, Atmospheric Chemistry and Physics, 11, 9431-9450, 10.5194/acp-11-9431-2011, 2011."

p. 4 line 76-78: Here and throughout the paragraph, it may be worth noting the expected uncertainties in some or all of these methods. The Hodzic approach suffers from fairly large scatter in the c\*-H<sub>eff</sub> trend. The authors also mention the cross comparison of GROMHE SPARC and HENRYWIN, and later cite a similar such comparison by Isaacman-VanWertz et al., but don't mention the results of these comparisons here (several orders of magnitude discrepancy). This paragraph would better motivate the work by giving a quantitative discussion of previous estimates of variation across methods.

Response: We have added the following discussion on line 85 on the quantitative performance of the estimation methods for the Henry's constant: "Even for the relatively simple molecules for which experimental evaluation data exist, these methods have considerable uncertainties. Raventos-Duran et al. (2010) reported Root Mean Square Errors (RMSE) of 0.38, 0.61, and 0.73 log units for Henry's constants predicted by GROMHE, SPARC and HENRYWIN, respectively. The ppLFER developed by Goss (2006) has a RMSE of 0.15 log units for the 217 compounds used for calibration. The error can be expected to be much larger for molecules that either are not part of the calibration (GROHME, ppLFER) or are more complex. For a compound with multiple functional groups, Isaacman-VanWertz et al. (2016) found discrepancies in predicted Henry's law constant for atmospheric oxidation products of different precursors also has uncertainties of several orders of magnitude."

p. 5 line 97: Why not use all non-radical species in the MCM? Or is 3414 all of them? If not, what was excluded and why?

Response: The 3414 compounds include all of them.

p. 5 line 107: Should be "units" instead of "unit" Response: "unit" on line 107 and 108 are changed to "units".

p. 6 line 122: Based on comments throughout the manuscript, it sounds like ppLFER includes some empirical calibrations- please elaborate a bit more on this approach.Response: Details of this method have been added to the Method section. Please refer to response to an earlier comment.

p. 6 line 123: What is a "solute descriptor"? Please define Response: Details of this method have been added to the Method section. Please refer to response to an earlier comment.

p. 6 lines 130-131: See general comment 2. A lot more information is provided about COSMOtherm than ppLFER or SPARC. Please provide a one-sentence description of what approach to these calculations SPARC takes

Response: A detailed description of the SPARC method has been added in the Method section.

p. 7 lines 155, 162: It is a little confusing to including the Hodzic ranges in both their units and K\_CP/G units. Consider sticking to the latter.

Response: We have changed lines 155-159 as follows: "Hodzic et al. (2014) predicted a log  $K_{WIOM/G}$  in the range of approximately 0 and 20 at 25 °C (see conversion between C\* and  $K_{WIOM/G}$  in the supporting information) for oxidation products of different VOCs (including n-alkanes,

benzene, toluene, xylene, isoprene and terpenes), i.e. their data set included higher  $K_{WIOM/G}$  values than those generated here, even though  $K_{WIOM/G}$  values are lower at higher temperature."

Lines 162-164 have been changed to: "Hodzic et al. (2014) predicted a log  $K_{W/G}$  in the range of - 2.6 and 17.4 at 25°C (see conversion to  $K_{H}$  in the supporting information)."

p. 7 line 158: To add clarity, consider reminding the reader of physical meaning when using statements like "higher  $K_{\text{WIOM/G}}$ ", such as adding a parenthetical "(lower volatility)". Response: We added "(indicating generally lower volatility)" after "higher  $K_{\text{WIOM/G}}$ ".

p. 7 line 167: It would be worth pointing out early in this section that agreement between methods does not confirm or disconfirm accuracy. An easy first conclusion from Figure 1 is that COSMOtherm is just way off in K\_W/G since the others agree. This is a conclusion the authors thoroughly discuss and debunk later, but it may help to guide readers away from this conclusion in the first place

Response: The following sentence has been added on line 167: "This discrepancy only indicates the agreement between any two predictions with little indication of the accuracy of the prediction for reasons discussed later."

p. 10 line 208: Again, consider adding Compernolle et al. to this citation. Response: This reference has been added on line 206.

p. 10 line 224: It overstates the data to claim that "K\_WIOM/G is almost always smaller than one log unit". Of the 21 functional group comparison "bins" in table 1, 5 have MAD above 1 log unit, and another 4 have MAD between 0.9 and 1. So 20-40% of the bins fall outside or nearly outside this claim.

Response: The discrepancy in predicted log  $K_{WIOM/G}$  is smaller than 1 log unit for 64 % (ppLFER vs. SPARC), 66 % (COSMOtherm vs. SPARC) and 75% (COSMOtherm vs. ppLFER) of the 3414 compounds. We changed "almost always" on line 224 to "mostly (and on average)".

p. 13 line 282: "Partition" should be "partitioning" Response: Changed accordingly.

p. 13 line 293-295: Here and below, the authors suggest that a lot of the issue with ppLFER lies in the limitations of solute description from ABSOLV, but do not discuss a means for improving this descriptors. What data would the authors need for this? This should be discussed, because if there is no way to get improved data, then this is an inherent limitation of ppLFER, or on the other hand it may be trivial to improve ppLFER in future work.

Response: A detailed description on how to empirically determine solute descriptors for organic substances is given in Endo and Goss (2014). We have added the following sentence: "While the use of measured solute descriptors therefore would likely greatly improve the ppLFER prediction (Endo and Goss, 2014), those are unlikely to become available for atmospheric oxidation products."

p. 14 line 326: Again, a little confusing to switch between  $K_{CP}$ , v.p. and C\* in discussion

Response: We added "and C\*, i.e. underestimating the volatility of the organic compounds" after "vapor pressures  $(P_L)$ " on line 320 to help the readers to understand the discussion.

p. 15 line 330: Define or remove  $P_L$ Response:  $P_L$  is vapor pressure and has been defined when it appeared first on line 320.

p. 15 line 351-352: Transition to the bulleted list is awkward. Change to: "However, we can infer that: - the fact.... - the generally...."
 Response: Changed accordingly.

p.15 line 353-354: Again, it overstates the data to claim " $K_{\text{WIOM/G}}$  that are on average within one order of magnitude for all studied compounds" particular when including the claim including highly oxygenated multifunctional organic compounds," which differ by 1.5-2 orders of magnitude between COSMOtherm and the others

Response: "including highly oxygenated multifunctional organic compounds" on line 354 has been changed to "and less than two orders of magnitude for highly oxygenated multifunctional organic compounds"

p. 16 line 359: This is the first mention the ppLFER use real aerosols as a calibration reference. This highlights that information about what exactly goes into ppLFER is spread throughout the manuscript, it should be discussed in much more detail in the methods. Response: A detailed description has been added in Method section.

p. 19 line 439: See general comment 4. Quantifying the compounds that switch from condensedto gas-phase between methods would provide more insight into the potential impact on SOA mass. Note that this is different than just the number of compounds in each phase with each method as in Table 2. A compound in the WIOM phase in all 3 methods doesn't "care" what method is used. Instead, the relevant metric for discussing SOA implications here and throughout the paragraph is changes in phase, in particular changes from condensed- to gas-phase. Response: Please refer to the response to general comment 4.

Figures 1 and 2: Considering that much of the discussion is comparing difference in  $K_{WIOM/G}$  vs.  $K_{W/G}$ , it would be helpful to keep the top and bottom panels on the same y-axis scale. Also, in the headings of "Y vs X", generally X is on the x-axis and Y is on the y-axis, instead of the opposite used here

Response: Figure 1 has been changed according to the reviewer's suggestion (see below). Figures S2, S4, S6, S7, S8 in supporting information have also been changed accordingly.

We did not change the scales in Figure 2 for a better illustration of the data since the range of the discrepancy for  $K_{W/G}$  is much larger than that for  $K_{WIOM/G}$ . We added a note under the caption of Figure 2 on line 237 to clarify the differences in the scales: "Note the different scales for different panels."

Figure 2: Gridlines on the major y-axis ticks would be helpful Response: We have added gridlines for y-axis ticks in Figure 2.



Figure S1 Frequency of discrepancies between different pairs of predictions of log  $K_{WIOM/G}$  (top) and log  $K_{W/G}$  (bottom).



**Revised Figure 1** 



**Revised Figure 2** 

# Response to Comments by Anonymous Referee #2 on "Uncertain Henry's Law Constants Compromise Equilibrium Partitioning Calculations of Atmospheric Oxidation Products" by Chen Wang et al.

This manuscript describes in detail a modeling experiment to determine the best approach to describe partitioning of organic gases (thousands of compounds tested) into the particle-phase's aqueous and organic medium. The authors employ 3 modeling approaches to describe partitioning with a focus on highly oxidized material. The authors also offer comparison and a critique of an approach currently implemented in an atmospheric model based on volatility. The authors make a compelling argument for their main thesis: "The large uncertainty in Kw/g predictions for highly functionalized organic compounds needs to be resolved to improve the quantitative treatment of SOA formation." Predicted organic aerosol amounts in atmospheric models will be highly dependent on and sensitive to the chosen partitioning parameterizations, which are highly uncertain. The authors identify a key knowledge gap.

I recommend the paper for publication provided adequate response and revision to the comments provided below.

Response: Thanks for the comments.

My biggest challenge understanding this paper was Figure 3, which I believe is the most important. Perhaps there is a way to draw in 3 dimensions to make more clear?

It is confusing to have the vertical purple line "without aqueous phase" drawn in the aqueous phase. It is also confusing to just have this scenario for only the ppLFER experiments. Casual readers will not understand what the circled dots in the Figure 3c are.

Response: We have simplified Figure 3 and added two more figures (S13 and S14) in the supporting information to make the figures more understandable (see figures below). The text in the manuscript has been modified accordingly.

Lines 383-387 have been changed to: "The blue dotted lines represent a cloud scenario where LWC is 0.3 g/m<sup>3</sup> and OM is 10  $\mu$ g/m<sup>3</sup>. Figures S13 and S14 in the supporting information show an aerosol scenario without an aqueous phase and a cloud scenario without a separated organic phase because all of the OM is dissolved in the aqueous phase (see also Figure S12 (c) and (d))."

The following sentence was added at the end of line 433 "Those compounds are not sufficiently soluble in water to partition to the cloud and are not sufficiently volatile to be in the gas phase."

"Figure S12" on line 408 and 411 was replaced with "Figure S15".

Line 448 has been changed to: "The number of compounds on the right side of the blue dotted boundary in Figure S13 does not vary substantially with different predictions."

Why do there appear to be 'straight' lines in the dots for all models, most pronounced for 0 and 1 functional groups?

Response: There are no straight lines in the dots in Figure 3 so nothing has been changed.

Page 4, Line 71/72: May an additional reason for the study and importance of VOC oxidation products be that in addition to their higher affinity, they have a great atmospheric abundance? Response: On line 72, we add "and a great atmospheric abundance."

Figure 2: can the method for 'possible outlier' and 'extreme value' be explicitly stated here Response: The "possible outliers", i.e., the circles, are values that are either  $1.5 \times IQR$  or more above the third quartile or  $1.5 \times IQR$  or more below the first quartile, where IQR is the range between the first and third quartile of the boxplot, called interquartile range (IQR). The asterisks or stars are "extreme outliers", which are either  $3 \times IQR$  or more above the third quartile or  $3 \times IQR$  or more below the first quartile.

Editorial: p. 7, Line 159: "value" should be "values" Response: Changed.



**Figure 3** Partitioning space plot, showing in pink, blue and green the combinations of partitioning properties that lead to dominant equilibrium partitioning to the gas, aqueous, and WIOM phases, respectively. The blue solid and dotted lines are boundaries for an aerosol scenario (LWC 10  $\mu$ g/m<sup>3</sup>, 10  $\mu$ g/m<sup>3</sup> OM) and a cloud scenario (LWC 0.3 g/m<sup>3</sup>, 10  $\mu$ g/m<sup>3</sup> OM), respectively. The differently colored dots indicate the number of functional groups in the molecules.



**Figure S13** Partitioning space plot, showing in pink and green the combinations of partitioning properties that lead to dominant equilibrium partitioning to the gas and WIOM phases, respectively. The blue dotted lines are boundaries for an aerosol scenario without an aqueous phase (LWC 0  $\mu$ g/m<sup>3</sup>, 10  $\mu$ g/m<sup>3</sup> OM). The differently colored dots indicate the number of functional groups in the molecules



**Figure S14** Partitioning space plot, showing in pink and blue the combinations of partitioning properties that lead to dominant equilibrium partitioning to the gas and aqueous phases, respectively. The horizontal blue dashed lines a cloud scenario where LWC is 0.3 g/m<sup>3</sup> and OM 0  $\mu$ g/m<sup>3</sup>. The differently colored dots indicate the number of functional groups in the molecules.

# **1** Uncertain Henry's Law Constants Compromise Equilibrium

# 2 Partitioning Calculations of Atmospheric Oxidation Products

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#### 16 Abstract

Gas-particle partitioning governs the distribution, removal and transport of organic compounds 17 in the atmosphere and the formation of secondary organic aerosol. The large variety of 18 19 atmospheric species and their wide range of properties make predicting this partitioning equilibrium challenging. Here we expand on earlier work and predict gas-organic and gas-20 aqueous phase partitioning coefficients for 3414 atmospherically relevant molecules using 21 COSMOtherm, SPARC and poly-parameter linear free energy relationships. The Master 22 Chemical Mechanism generated the structures by oxidizing primary emitted volatile organic 23 compounds. Predictions for gas-organic phase partitioning coefficients ( $K_{WIOM/G}$ ) by different 24 25 methods are on average within one order of magnitude of each other, irrespective of the 26 numbers of functional groups, except for predictions by COSMOtherm and SPARC for 27 compounds with more than three functional groups, which have a slightly higher discrepancy. Discrepancies between predictions of gas-aqueous partitioning ( $K_{W/G}$ ) are much larger and 28 29 increase with the number of functional groups in the molecule. In particular, COSMOtherm often predicts much lower  $K_{W/G}$  for highly functionalized compounds than the other methods. 30 While the guantum-chemistry based COSMOtherm accounts for the influence of intramolecular 31 32 interactions on conformation, highly functionalized molecules likely fall outside of the 33 applicability domain of the other techniques, which at least in part rely on empirical data for calibration. Further analysis suggests that atmospheric phase distribution calculations are 34 sensitive to the partitioning coefficient estimation method, in particular to the estimated value 35 of  $K_{W/G}$ . The large uncertainty in  $K_{W/G}$  predictions for highly functionalized organic compounds 36 needs to be resolved to improve the quantitative treatment of SOA formation. 37

#### 38 Introduction

39 Volatile organic compounds (VOCs) emitted to the atmosphere are oxidized to form secondary products. These products tend to be more oxygenated, less volatile and more water-soluble 40 than their parent compounds, and thus have higher affinity for aerosol particles and aqueous 41 42 droplets. Equilibrium partitioning coefficients are often needed to assess the distribution of these oxidized compounds among different phases in the atmosphere such as aerosol particles, 43 fog and cloud droplets. In particular, the partitioning between gas and organic phase and 44 45 between gas and aqueous phase is required for the evaluation of an organic compound's contribution to secondary organic aerosol (SOA) formation, its transport, removal and lifetime. 46 Experimentally determined partitioning coefficients are rarely available for the oxidation 47 products of VOCs due to the difficulties in making the measurements and obtaining chemical 48 standards. Furthermore, there are many thousand organic species in the atmosphere (Hallquist 49 et al., 2009); the number is even higher when considering their isomers. Their gas-particle 50 partitioning is therefore usually predicted. Reliable estimation methods for gas-organic and gas-51 52 aqueous partitioning should be applicable to a wide range of organic compounds, especially to 53 multifunctional species generated during the multi-step atmospheric oxidation of precursor VOCs. 54

55 Current approaches for predicting partitioning into non-aqueous organic aerosol phases almost exclusively rely on predictions of vapor pressure. These predictions have large uncertainties; 56 57 comparison among different vapor pressure prediction methods suggest increasing discrepancies with increasing numbers of functional groups in an organic compound (Valorso et 58 al., 2011; Barley and McFiggans, 2010; McFiggans et al., 2010; Compernolle et al., 2011). This 59 uncertainty matters, because it is the multi-functional oxidation products that can occur in 60 either gas or condensed phases in the atmosphere. Instead of relying on predictions for vapor 61 pressures, Wania et al. (2014) proposed using three alternative methods for direct gas-particle 62 partitioning prediction: poly-parameter linear free energy relationships (ppLFERs), the on-line 63 64 calculator of SPARC Performs Automated Reasoning in Chemistry (SPARC) and the quantumchemistry based program COSMOtherm. Wania et al. (2014) found that partitioning coefficients 65

66 predicted for the oxidation products of n-alkanes are within one order of magnitude, and 67 mutual agreement does not deteriorate with increasing number of functional groups. Because 68 of the relatively small number of oxidation products in that study, the reliability of these 69 prediction methods for other organic compounds requires further evaluation.

70 While more experimental data exist for the Henry's law constant of atmospherically relevant 71 compounds than gas-organic phase partitioning coefficients (Sander, 2015), data are not usually 72 available for VOC oxidation products, which potentially have a higher affinity for atmospheric 73 aqueous phases and a great atmospheric abundance. Currently available prediction methods for the air-water partitioning coefficient include GROup contribution Method for Henry's law 74 Estimate (GROMHE) (Raventos-Duran et al., 2010), SPARC (Hilal et al., 2008), HENRYWIN in EPI 75 76 suite (US EPA, 2012), and ppLFERs (Goss, 2006). Sander (2015) provides a more comprehensive 77 list of websites as well as quantitative structure-property relationships for Henry's law constants. COSMOtherm can also predict gas-aqueous phase partitioning of organic compounds, 78 79 including VOC oxidation products (Wania et al., 2015). Though many different methods are 80 available for Henry's law constant prediction, they have not been systematically evaluated for a large set of organic compounds of atmospheric relevance. An exception is the comparison of 81 82 GROMHE, SPARC and HENRYWIN predictions for 488 organic compounds bearing functional 83 groups of atmospheric relevance (Raventos-Duran et al., 2010).

84 Even for the relatively simple molecules for which experimental evaluation data exist, these 85 methods have considerable uncertainties. Raventos-Duran et al. (2010) reported Root Mean Square Errors (RMSE) of 0.38, 0.61, and 0.73 log units for Henry's constants predicted by 86 GROMHE, SPARC and HENRYWIN, respectively. The ppLFER developed by Goss (2006) has a 87 RMSE of 0.15 log units for the 217 compounds used for calibration. The error can be expected 88 89 to be much larger for molecules that either are not part of the calibration (GROHME, ppLFER) or are more complex. For a compound with multiple functional groups, Isaacman-VanWertz et al. 90 91 (2016) found discrepancies in predicted Henry's law constant of several orders of magnitude. 92 Hodzic et al. (2014)'s method of estimating the Henry's law constant for atmospheric oxidation products of different precursors also has uncertainties of several orders of magnitude. 93

94 The objective of this paper was to compare and evaluate gas-particle partitioning predictions for a large number of organic compounds of atmospheric interest using ppLFER (in combination 95 96 with ABSOLV-predicted solute descriptors), SPARC and COSMOtherm. While all three methods are able to estimate both gas-organic and gas-aqueous partitioning, they are based on different 97 principles: ppLFERs are empirically calibrated multiple linear regressions, SPARC contains 98 solvation models based on fundamental chemical structure theory (Hilal et al., 2004), and 99 100 COSMOtherm combines quantum chemistry with statistical thermodynamics (Klamt and Eckert, 2000). This study thus expands earlier work (Wania et al., 2014) to a much larger number of 101 compounds and to aqueous phase partitioning. As such, it includes quantum-chemistry based 102 103 predictions for an unprecedented number of atmospherically relevant compounds.

#### 104 Method

105 The Master Chemical Mechanism (MCM v3.2, http://mcm.leeds.ac.uk/MCM) a near-explicit chemical mechanism was used to generate 3414 non-radical species through the multi-step gas 106 phase oxidation of 143 parent VOCs (methane + 142 non-methane VOCs). Reactions of the 107 parent VOCs with O<sub>3</sub>, OH and NO<sub>3</sub> are included in the MCM mechanism whenever such 108 reactions are possible. The details about the studied compounds are given in the supporting 109 information (Excel spreadsheet), including the compounds' MCM ID, SMILES, precursors (i.e. 110 the parent VOC), molecular weight, molecular formula, elements, generation of oxidation, 111 number and species of functional groups, O:C ratio, and average carbon oxidation state ( $\overline{OS}_{C}$ ) 112 113 (Kroll et al., 2011).

Three prediction methods are used to estimate the equilibrium partitioning coefficients between a water-insoluble organic matter phase (WIOM) and the gas phase ( $K_{WIOM/G}$ ) at 15 °C in units of m<sup>3</sup> (air)/m<sup>3</sup> (WIOM) as well as the equilibrium partitioning coefficients between water and gas phase ( $K_{W/G}$ ) at 15 °C in units of m<sup>3</sup> (air)/m<sup>3</sup> (water). The two partitioning coefficients are defined as:

$$119 \quad K_{\text{WIOM/G}} = C_{\text{WIOM}}/C_{\text{G}} \tag{1}$$

120 
$$K_{W/G} = C_W/C_G$$
 (2)

 $C_{WIOM}$ ,  $C_W$  and  $C_G$  (mol/m<sup>3</sup>) are equilibrium concentrations of an organic compound in WIOM, 121 122 water, and gas phase, respectively. Partitioning between gas and aqueous phase can be 123 significantly influenced by the presence of inorganic salts (i.e. the salt effect) (Endo et al., 124 2012; Wang et al., 2016; Wang et al., 2014; Waxman et al., 2015), the hydration of carbonyls (Ip et al., 2009) and the dissociation of organic acids (Mouchel-Vallon et al., 2013), particularly in 125 the aqueous phase of aerosols. However, in this study only the partitioning between gas and 126 pure water, i.e. the Henry's law constant, is predicted, and no hydration, salt effect or acid 127 dissociation is considered. Conversion of partitioning coefficients  $K_{W/G}$  to Henry's constant ( $K_{H}$ ) 128 in units M/atm or  $K_{WIOM/G}$  to saturation concentration ( $C^*$ ,  $\mu g/m^3$ ) is provided in the supporting 129 130 information.

131 Wania et al. (2014) describe each prediction method in detail. In brief, ppLFERs are developed 132 by performing a multi-linear regression of experimental K values against compound specific solute descriptors (Endo and Goss, 2014). These descriptors represent a solute's hydrogen-133 134 bond acidity (A), hydrogen-bond basicity (B), dipolarity/polarizability (S), McGowan volume 135 (cm<sup>3</sup>/mol) divided by 100 (V), excess molar refraction (E), and logarithmic hexadecane-air partitioning constant at 25°C (L). In this study, solute descriptors for the 3414 compounds were 136 137 predicted with ABSOLV (ACD/Labs, Advanced Chemistry Development, Inc., Toronto, Canada). 138 The regression coefficients in ppLFERs are denoted by a, b, s, v, e, and l; c is the regression constant. The ppLFER for air-water partitioning was taken from (Goss, 2006): 139

140  $\log K_{W/G} = c + aA + bB + sS + vV + eE$ (3)

141 whereas ppLFERs for four different organic aerosol were taken from (Arp et al., 2008);

142  $\log K_{\text{Aerosol/G}} = c + aA + bB + sS + vV + IL$ (4)

143As described in Wania et al. (2014), the average of the four  $K_{Aerosol/G}$  was compared with the144 $K_{WIOM/G}$  predicted by the other two methods. SPARC is a commercial web-based calculator for145prediction of physical chemical properties from molecular structure developed by the US146Environmental Protection Agency (Hilal et al., 2004). The predictions of  $K_{W/G}$  and  $K_{WIOM/G}$  are147based on solvation models in SPARC that describe the intermolecular interaction between

148 different molecules (solute and solvent), including dispersion, induction, dipole-dipole, and H-149 bonding interactions, which are developed and calibrated with experimental data (Hilal et al., 150 2008). For the calculations of  $K_{WIOM/G}$  by SPARC and COSMOtherm, the phase WIOM is represented by the surrogate structure "B" as proposed by Kalberer et al. (2004) and adopted 151 previously by Arp and Goss (2009) and Wania et al. (2014). SPARC calculations were carried out 152 using the on-line calculator (http://archemcalc.com/sparc-web/calc), with SMILES (simplified 153 molecular-input line-entry system) strings as input. COSMOtherm predicts a large variety of 154 properties based on COSMO-RS (conductor-like screening model for real solvents) theory, 155 which uses quantum-chemical calculations and statistical thermodynamics (Klamt and Eckert, 156 157 2000;Klamt, 2005). First, TURBOMOLE (version 6.6, 2014, University of Karlsruhe & Forschungszentrum Karlsruhe GmbH, 1989–2007, TURBOMOLE GmbH, since 2007 available 158 from www.turbomole.com) optimizes the geometry of the molecules of interest at the BP-TZVP 159 level. COSMOconf (version 3.0, COSMOlogic) then selects a maximum of ten lowest energy 160 conformers for each calculated molecule and generates COSMO files. Calculations with 161 TURBOMOLE and COSMOconf were performed on the General Purpose Cluster (GPC) 162 supercomputer at the SciNet HPC Consortium at University of Toronto (Loken et al., 2010). 163 164 Finally, COSMOtherm (version C30 1501 with BP TZVP C30 1501 parameterization, COSMOlogic GmbH & Co. KG, Leverkusen, Germany, 2015) calculates partitioning coefficients 165 from the selected COSMO files at 15 °C. 166

167 In order to compare different predictions numerically, we calculated the mean difference (MD) 168 and the mean absolute difference (MAD) for each pair of  $K_{WIOM/G}$  or  $K_{W/G}$  sets:

169 
$$MD_{XY} = \frac{1}{n} \sum_{i} (\log_{10} K_{i,CP/G X} - \log_{10} K_{i,CP/G Y})$$
 (5)

170 
$$| MAD_{XY} = \frac{1}{n} \sum_{i} | log_{10} K_{i,CP/G X} - log_{10} K_{i,CP/G Y} |$$
 (6)

where CP ("condensed phase") stands for either WIOM or water and X and Y represents twoprediction techniques.

## 173 **Results**

### 174 The Range of Estimated Partitioning Coefficients

- 175 Partitioning coefficients predicted for each compound with different methods are given in an
- 176 Excel spreadsheet as Supporting Information. All three methods predicted the log  $K_{WIOM/G}$  for
- these organic compounds to range from approximately 0 to 15 (Figure 1 (a)-(c)). Hodzic et al.
- 178 (2014) predicted a log  $K_{WIOM/G}$  in the range of approximately 0 and 20 at 25 °C (see conversion
- 179 between *C*\* and *K*<sub>WIOM/G</sub> in the supporting information) for oxidation products of different VOCs
- 180 (including n-alkanes, benzene, toluene, xylene, isoprene and terpenes), i.e. their data set
- 181 included higher *K*<sub>WIOM/G</sub> values (indicating generally lower volatility) than those generated here,
- 182 even though  $K_{WIOM/G}$  values are lower at higher temperature.
- 183 The log  $K_{W/G}$  range predicted for the studied compounds by the three methods is more variable
- 184 (Figure 1 (d)-(f)), with the ABSOLV/ppLFER predictions covering a wider range (-1.4 to 21.3) than
- 185 either SPARC (-2.7 to 17.2) or COSMOtherm (-2 to 13.8). Hodzic et al. (2014) predicted a log
- 186  $K_{W/G}$  in the range of -2.6 and 17.4 at 25°C (see conversion to  $K_{H}$  in the supporting information).
- 187 The wider range of the ABSOLV/ppLFER predictions is due to much higher predicted  $K_{W/G}$ -values
- 188 for compounds with the highest affinity for the aqueous phase.
- 189 Comparison between Different Prediction Methods
- The discrepancies between different predictions (MAD and MD) are given in Table 1. Figure S1 190 in the supporting information illustrates the frequency of the discrepancies between different 191 pairs of predicted log  $K_{WIMO/G}$  and log  $K_{W/G}$  values. This discrepancy only indicates the 192 193 agreement between any two predictions with little indication of the accuracy of the prediction, 194 for reasons discussed later. The agreement between the K<sub>WIOM/G</sub> predictions by COSMOtherm, SPARC and ABSOLV/ppLFER was reasonable (Figure 1 (a)-(c)). In particular, the MAD between 195  $K_{WIOM/G}$  predictions is less than 1 log units (Table 1) and therefore similar to what had been 196 previously found for a much smaller set of *n*-alkane oxidation products (Wania et al., 2014). The 197  $K_{WIOM/G}$ -values predicted by SPARC tend to be higher than those predicted by COSMOtherm and 198 ABSOLV/ppLFER (MD of -0.64 and -0.79 in log units, respectively), whereas the latter two 199

predictions have a slightly better agreement, with a MD of 0.15 log units (Figure 1 (c) and Table 1). Overall, the agreement in the  $K_{WIOM/G}$  predicted with these three methods, which are based on very different theoretical foundations, is much better than that between different vapor pressure estimation methods commonly used for gas-particle partitioning calculations (Valorso et al., 2011).



206Figure 1Comparison of the  $K_{WIOM/G}$  (upper panel) and  $K_{W/G}$  (lower panel) predicted using207COSMOtherm, SPARC and ABSOLV/ppLFERs. The differently colored dots indicate the208number of functional groups in the molecules. The solid line indicates a 1:1 agreement.209The dotted lines indicate a deviation by ±1 log unit.

205

The  $K_{W/G}$  predicted by ABSOLV/ppLFER and SPARC differ from COSMOtherm predictions substantially, on average by more than two orders of magnitude. In Figure 1 (e) and (f), predictions are more scattered (indicating a larger MAD) and most markers are located above the 1:1 line, indicating that  $K_{W/G}$  predicted by COSMOtherm are mostly lower than those predicted by SPARC and ABSOLV/ppLFER, with a MD of -2.06 and -2.42 log units, respectively. 215 These discrepancies tend to increase with the  $K_{W/G}$ . Raventos-Duran et al. (2010) also showed 216 that the reliability of  $K_{W/G}$  estimates made by GROMHE, SPARC and HENRYWIN decreases with 217 increasing affinity for the aqueous phase.  $K_{W/G}$  predictions by SPARC and ABSOLV/ppLFER are more consistent (with a MAD around 1 log units see Figure 1 (d)). The largest discrepancies 218 between ABSOLV/ppLFER and SPARC (and also between ABSOLV/ppLFER and COSMOtherm) 219 occur for compounds with the highest  $K_{W/G}$  as predicted by ABSOLV/ppLFER (purple markers in 220 Figure 1 (d) and (f)). Further analysis indicates that these compounds have the largest number 221 of functional groups (≥6) and oxygen (9~12 oxygen) in the molecule; this will be discussed in 222 detail below. 223

224Table 1Mean absolute differences (MAD) and mean differences (MD) between SPARC,225ABSOLV/ppLFER and COSMOtherm predictions for compounds with different numbers226of functional groups

Number of Functional Groups		0	1	2	3	4	5	>5	All	
Number of Compounds		63	372	1179	1064	565	111	60	3414	
logK <sub>WIOM/G</sub>	ppLFER vs. SPARC	MAD	0.24	0.70	0.95	0.93	1.08	0.75	0.54	0.91
		MD	0.05	-0.70	-0.91	-0.81	-0.83	-0.24	-0.30	-0.79
	COSMOtherm vs. SPARC	MAD	0.36	0.48	0.80	0.94	1.42	1.22	2.11	0.94
		MD	0.29	-0.19	-0.55	-0.57	-1.21	-0.78	-1.83	-0.64
	COSMOtherm vs. ppLFER	MAD	0.32	0.67	0.63	0.74	0.89	0.93	1.72	0.73
		MD	0.24	0.51	0.36	0.24	-0.38	-0.54	-1.53	0.15
logK <sub>W/G</sub>	ppLFER vs. SPARC	MAD	0.75	0.57	0.84	1.08	1.48	1.53	5.78	1.10
		MD	0.74	-0.09	-0.15	0.38	0.87	1.45	5.76	0.36
	COSMOtherm vs. SPARC	MAD	0.51	0.86	1.61	2.31	3.78	4.34	4.55	2.23
		MD	0.48	-0.59	-1.44	-2.18	-3.74	-4.04	-4.36	-2.06
	COSMOtherm vs. ppLFER	MAD	0.40	1.16	1.64	2.63	4.62	5.55	10.09	2.64
		MD	-0.26	-0.50	-1.29	-2.56	-4.61	-5.50	-10.05	-2.42

#### 227 Dependence of Partitioning Coefficients on Attributes of the Compounds

The equilibrium partitioning coefficients depend on molecular attributes. Here we explored this dependency on the number of functional groups, molecular mass, generation of oxidation, number of oxygens and O:C ratio.

Previous work observed that discrepancies between vapor pressure predictions by different methods increased with the number of functional groups in atmospherically relevant organic 233 compounds (Valorso et al., 2011; Barley and McFiggans, 2010; Compernolle et al., 2011). For 234 instance, the MAD between different vapor pressure predictions increased from 0.47 to 3.6 log 235 units when the number of functional groups in the molecules increased from one to more than three (Valorso et al., 2011). In order to explore if the partitioning coefficients predicted with 236 SPARC, ABSOLV/ppLFER and COSMOtherm show the same dependence on the number of 237 functional groups, we counted the number of hydroxyl (ROH), aldehyde (RCHO), ketone (RCOR'), 238 carboxylic acid (RCOOH), ester (RCOOR'), ether (ROR'), peracid (RCOOOH), peroxide (ROOH, 239 ROOR'), nitrate (NO3), peroxyacyl nitrate (PAN), nitro (NO2) groups, halogen (Cl, Br), and 240 sulphur (S) in the 3414 molecules. About two thirds (2243) of the compounds contain two or 241 242 three functional groups (Table 1). 736 compounds contain more than three functional groups and the rest contains just one or no functional group. In Figure 1 the compounds are colored 243 according to the number of functional groups in a molecule and Table 1 lists the MAD and MD 244 between predictions based on the number of functional groups. The predicted partitioning 245 coefficients (both  $K_{WIOM/G}$  and  $K_{W/G}$ ) generally increase with the number of functional groups 246 247 (Figure 1 and Figure S2). Compounds with no functional groups are the precursor compounds, which generally have a smaller discrepancy among different prediction methods. 248

249 The boxplots in Figure 2 show the difference in SPARC, ABSOLV/ppLFER and COSMOtherm predictions for compounds having different number of functional groups. The mean absolute 250 difference in predicted log  $K_{WIOM/G}$  is mostly (and on average) smaller than one log unit for 251 252 compounds with up to seven functional groups (Table 1). There is a slightly larger discrepancy in 253 the predicted log  $K_{WIOM/G}$  values for compounds with more than three functional groups. The 254 agreement among different methods does not deteriorate as much with increasing number of functional groups as that among vapor pressure predictions. The largest MADs of 1.72 and 2.11 255 between COSMOtherm and ABSOLV/ppLFER, and between COSMOtherm and SPARC, 256 respectively, for compounds with >5 functional groups (Table 1) are still much lower than 257 258 discrepancies reported between different vapor pressure prediction methods (Valorso et al., 2011). 259

260 Different from the predictions for  $K_{WIOM/G}$ , the discrepancy between COSMOtherm and SPARC and between COSMOtherm and ABSOLV/ppLFER in the predicted  $K_{W/G}$  increases significantly 261 with the number of functional groups (Figures 1 and 2), from less than one order of magnitude 262 for compounds with no functional groups to up to five orders of magnitude for compounds with 263 more than three functional groups (Table 1). In addition, the MD in Table 1 and Figure 2 264 indicate that the discrepancies are almost always in one specific direction, i.e. a lower value of 265  $K_{W/G}$  estimated by COSMOtherm. This is evidenced by the almost identical absolute values of 266 MAD and MD between COSMOtherm and ABSOLV/ppLFER and between COSMOtherm and 267 SPARC for compounds with more than three functional groups (Table 1). The uncertainty of the 268 269 SPARC, ABSOLV/ppLFER and COSMOtherm predictions of  $K_{W/G}$  tends to increase with the number of functional groups. Clearly, the reliability of K<sub>W/G</sub> estimates for multifunctional 270 271 compounds needs further assessment.



272

273

Figure 2

274 275

Boxplot of difference in SPARC, ABSOLV/ppLFER and COSMOtherm predictions for compounds with different number of functional groups. The line inside each box shows the median difference for log  $K_{WIOM/G}$  or log  $K_{W/G}$  for different categories of compounds.

276 277 The marker circle and star indicates possible outliers and extreme values, respectively. Note the different scales for different panels.

It is also possible to explore the dependence of the prediction discrepancy on other molecular 278 279 attributes, such as molecular mass (Figures S3 and S4), the number of oxygen in the molecule (Figures S5 and S6), the O:C ratio (Figure S7), the number of oxidation steps a molecular has 280 undergone (oxidation generation, Figure S8), or the number of occurrences of a specific type of 281 functional group, e.g. hydroxyl, in a molecule (Figure S9). The prediction discrepancies become 282 larger with an increase in each of these parameters, especially for  $K_{W/G}$ . This is not surprising as 283 284 these molecular attributes all tend to be highly correlated, i.e. with each oxidation step a molecule becomes more oxygenated, has a large molar mass, a larger number of oxygen, a 285 higher O:C ratio, and a larger number of functional groups. 286

#### 287 **Discussion**

We believe there are primarily two factors that are contributing to errors in the prediction of 288  $K_{CP/G}$  for the SOA compounds. One is the lack of experimental data for compounds that are 289 similar to the SOA compounds, which implies that prediction methods relying on calibration 290 with experimental data are being used outside their applicability domain. The other is the 291 failure of some prediction methods to account for the various conformations that compounds 292 293 with multiple functional groups can undergo due to extensive intra-molecular interaction (mostly internal hydrogen bonding, see Figure S10 for example). The two factors are related: in 294 some instances a prediction method cannot account for such conformations precisely because 295 the calibration data set does not contain compounds that undergo such intra-molecular 296 interactions. 297

SPARC relies to some extent on calibrations with empirical data. While the experimental data underlying SPARC have not been disclosed, it is highly unlikely that they include multifunctional compounds of atmospheric relevance (e.g. compounds containing multiple functional groups, including peroxides, peroxy acids etc.), simply because such empirical data do not exist. It is therefore safe to assume that many of the 3414 SOA compounds will fall outside of the domain

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303 of applicability of SPARC. It is also likely that SPARC can only account for intra-molecular 304 interactions and conformations to a limited extent, if at all.

In the case of ppLFER, there are actually two predictions that rely on calibration with empirical 305 data, the prediction of solute descriptors and the prediction of  $K_{CP/G}$ . The solute descriptors are 306 307 predicted with ABSOLV, because experimentally measured descriptors are unavailable for 308 multifunctional atmospheric oxidation products. ABSOLV relies on a group contribution 309 approach (Platts et al., 1999) complemented by some other, undisclosed procedures that make 310 use of experimental partitioning coefficients between various phases (ACD/Labs, 2016). Again, those experimental data do not comprise compounds structurally similar to the multifunctional 311 atmospheric oxidation products considered here. As a group contribution method, which adds 312 313 up the contributions of different functional groups to a compound's property, ABSOLV therefore cannot, or only to a limited extent, consider the interactions between different 314 315 functional groups in a molecule.

316 Ideally, when supplied with well-characterized solute descriptors, ppLFERs should be able to consider the influence of both intra-molecular interactions and the interactions a molecule has 317 with its surroundings, i.e. the involved partitioning phases. Even if a molecule has different 318 319 conformations in different phases, i.e. if the solute descriptors for a compound are phase dependent, it is possible to derive well-calibrated "average" descriptors to use in a ppLFER 320 (Niederer and Goss, 2008). However ABSOLV cannot correctly predict such "average" 321 descriptors and our ppLFER predictions therefore cannot account for the influence of 322 323 conformations.

In the case of the actual ppLFER prediction of  $K_{W/G}$  and  $K_{WIOM/G}$ , the empirical calibration datasets are public (Goss, 2006;Arp et al., 2008) and do not comprise compounds that are representative of the 3414 SOA compounds in terms of the number of functional groups per molecule or the range of *K*-values. For instance, the log  $K_{W/G}$  of the 217 compounds Goss (2006) used for the development of a ppLFER ranged from -2.4 to 7.4, i.e. the highest  $K_{W/G}$  predicted here is almost 14 orders of magnitude higher than the highest  $K_{W/G}$  included in the calibration. Similarly, Arp and Goss (2009) developed the ppLFERs for atmospheric aerosol from an

14

331 empirical dataset of 50~59 chemicals, whose log  $K_{WIOM/G}$  ranged from approximately 2 to 7. The highest  $K_{WIOM/G}$  predicted here is eight orders of magnitude higher. Predictions for compounds 332 333 outside of the calibration domain may introduce large errors and the high  $K_{W/G}$  and  $K_{WIOM/G}$ values estimated by ppLFER can thus be expected to be highly uncertain. Overall, however, we 334 expect the uncertainty of the ABSOLV-predicted solute descriptors to be larger than the 335 uncertainty introduced by the ppLFER equation, especially for the relatively well-calibrated 336 water/gas phase partition system. While the use of measured solute descriptors therefore 337 would likely greatly improve the ppLFER prediction (Endo and Goss, 2014), those are unlikely to 338 become available for atmospheric oxidation products. 339

In contrast to the other methods, COSMOtherm relies only in a very fundamental way on some empirical calibrations (and these calibrations are not specific for specific compound classes or partition systems) and it considers intra-molecular interactions and the different conformations of a molecule. As such, COSMOtherm is not constrained by the limitations the other methods face, namely the lack of suitable calibration data, which necessitates extreme extrapolations and predictions beyond the applicability domain, and the failure to account for the effect of intra-molecular interactions and conformations on the interactions with condensed phases.

Because intra-molecular interactions are likely to reduce the potential of a compound to 347 interact with condensed phases (i.e. the organic and aqueous phase), ignoring them can be 348 349 expected to lead to overestimated partitioning coefficients  $K_{CP/G}$  and to underestimated vapor pressures  $(P_L)$  and  $C^*$ , i.e. underestimating the volatility of the organic compounds. This is 350 351 consistent with COSMOtherm-predicted  $K_{WIOM/G}$  and  $K_{W/G}$ -values for multifunctional compounds that are lower than the SPARC and ABSOLV/ppLFER predictions (i.e. MD<0 in Table 1), because 352 353 the latter do not account for the influence of intra-molecular interactions. Kurtén et al. (2016) 354 similarly found that COSMOtherm-predicted saturation vapor pressures for most of the more highly oxidized monomers were significantly higher (up to 8 orders of magnitude) than those 355 356 predicted by group-contribution methods. The wider range on the higher end of the log C\* 357 values estimated by Hodzic et al. (2014) is possibly due to the large uncertainties associated 358 with vapor pressure estimation (likely underestimation) for low volatile compounds. Valorso et al. (2011) also found group contribution methods to underestimate the saturation vaporpressure of multifunctional species.

Compared to  $K_{WIOM/G}$ ,  $P_L$  and  $C^*$ , ignoring intra-molecular interaction is likely even more 361 problematic in the case of  $K_{W/G}$  prediction. Intra-molecular interactions mostly affect the ability 362 363 of the molecule to undergo H-bonding with solvent molecules. The system constants describing H-bond interactions (a and b) are larger in the ppLFER equations for  $K_{W/G}$  than in the one for 364 365  $K_{WIOM/G}$  (Arp et al., 2008;Goss, 2006), indicating a stronger effect of H-bonds on water/gas 366 partitioning than WIOM/gas partitioning. This likely is the reason why the COSMOthermpredicted  $K_{W/G}$  are so much lower than the  $K_{W/G}$  predicted by the other two methods, whereas 367 the difference is much smaller for the  $K_{WIOM/G}$  (Table 1). It likely also explains why the 368 discrepancies among the predicted  $K_{W/G}$  increase with the number of functional groups. It is 369 370 more difficult to predict  $K_{W/G}$  than  $K_{WIOM/G}$ , because the free energy cost of cavity formation in 371 water is influenced more strongly by H-bonding and therefore much more variable than in 372 WIOM. Certainly, the activity coefficient in water ( $\gamma_{\rm W}$ ) is much more variable than the activity coefficient in WIOM ( $\gamma_{WIOM}$ ) for the investigated substances. log  $\gamma_{WIOM}$  predicted by 373 COSMOtherm at 15 °C varies from -3.8 to 1.8 (with an average of 0.04, indicating a  $\gamma_{WIOM}$  close 374 375 to unity, and a standard deviation of 0.5, 94 % of the compounds have a log  $\gamma_{WIOM}$  between -1 and 1), whereas  $\gamma_W$  ranges from -2.3 to 8.9 (with an average of 2.7 and a standard deviation of 376 377 1.4) (Supporting information Excel spreadsheet and Figure S11).

In the absence of experimental data for multi-functional SOA compounds, we do not know whether COSMOtherm-predicted  $K_{W/G}$  and  $K_{WIOM/G}$  values are any better than the other predictions. For example, two earlier studies suggested that COSMOtherm might be overestimating vapor pressures of multi-functional oxygen-containing compounds (Kurtén et al., 2016;Schröder et al., 2016). However, we can infer that:

the fact that COSMOtherm on the one hand and ABSOLV/ppLFERs and SPARC on the other
 hand predict K<sub>WIOM/G</sub> that are on average within one order of magnitude for all studied
 compounds, and less than two orders of magnitude for highly oxygenated multifunctional
 organic compounds, lends credibility to all three predictions and suggests that partly

ignoring intra-molecular interactions and extrapolating beyond the applicability domain incurs only limited errors in the  $K_{WIOM/G}$  prediction of ABSOLV/ppLFERs and SPARC. In addition, COSMOtherm and SPARC use a single surrogate molecule to represent the WIOM phase, while ppLFERs were calibrated from atmospheric aerosols. The agreement among different methods suggests that the surrogate suitably represents the solvation properties of organic aerosol.

393 - <u>the</u> generally better agreement between  $K_{W/G}$  values predicted by ABSOLV/ppLFER and 394 SPARC (Figure 1(d)) should not be seen as an indication that these methods are better at 395 predicting  $K_{W/G}$ . In fact, the lower  $K_{W/G}$  values predicted by COSMOtherm have a higher 396 chance of being correct than the  $K_{W/G}$  values predicted by ABSOLV/ppLFER and SPARC.

While ABSOLV/ppLFERs, SPARC and the group contributions methods currently used in the 397 atmospheric chemistry community are much more easily implemented for the large number of 398 compounds implicated in SOA formation, the current study demonstrates that the expertise 399 and time required to perform quantum-chemical calculations for atmospherically relevant 400 molecules should constitute but a minor impediment to a wider adoption of COSMOtherm 401 predictions. Here, we are not only compiling all the predictions we have made in the supporting 402 403 information file, we are also making available the cosmo-files (see Data Availability for details), whose generation is the major time and CPU-demanding step in the use of COSMOtherm. 404

#### 405 Atmospheric Implications

406 The phase distribution of an organic compound in the atmosphere depends on its partitioning coefficients. The two-dimensional partitioning space defined by log  $K_{W/G}$  and log  $K_{WIOM/G}$ 407 introduced recently (Wania et al., 2015) is used here to illustrate the difference in the 408 equilibrium phase distribution of these compounds in the atmosphere that arises from using 409 partitioning coefficients estimated by different methods (Figure 3). A detailed description of 410 partitioning space has been provided by Wania et al. (2015), a brief explanation is given in the 411 supporting information (Figure S12). Briefly, the blue solid lines between the differently colored 412 fields indicate partitioning property combinations that lead to equal distributions between two 413 phases in a phase-separated aerosol scenario, with a liquid water content (LWC) of 10  $\mu$ g/m<sup>3</sup> 414

and organic matter loading (OM) of 10  $\mu$ g/m<sup>3</sup>. The blue dotted lines represent a cloud scenario 415 where LWC is 0.3 g/m<sup>3</sup> and OM is 10  $\mu$ g/m<sup>3</sup>. Figures S13 and S14 in the supporting information 416 show an aerosol scenario without an aqueous phase and a cloud scenario without a separated 417 organic phase because all of the OM is dissolved in the aqueous phase (see also Figure S12 (c) 418 and (d)). Compounds are located in the partitioning space based on their estimated partitioning 419 coefficients ( $K_{WIOM/G}$  and  $K_{W/G}$ ). Compounds on the boundary lines have 50 % in either of the 420 two phases on both sides of the boundary and are thus most sensitive to uncertain partitioning 421 properties. On the other hand, for substances that fall far from the boundary lines indicating a 422 phase transition (e.g. volatile compounds with two or less functional groups), even relatively 423 424 large uncertainties in the partitioning coefficients could be tolerated, because they are 425 inconsequential.



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428Figure 3Partitioning space plot, showing in pink, blue and green the combinations of partitioning429properties that lead to dominant equilibrium partitioning to the gas, aqueous, and430WIOM phases, respectively. The blue solid and dotted lines are boundaries for an431aerosol scenario (LWC 10  $\mu$ g/m³, 10  $\mu$ g/m³ OM) and a cloud scenario (LWC 0.3 g/m³, 10432 $\mu$ g/m³ OM), respectively. The differently colored dots indicate the number of functional433groups in the molecules.

434 When plotted in the chemical partition space, the 3414 chemicals occupy more or less the same 435 region as the much smaller set of SOA compounds investigated earlier (Wania et al., 2015). 436 When using predictions by COSMOtherm the SOA compounds cover a relatively smaller region as compared to ABSOLV/ppLFER and SPARC. With increasing number of functional groups 437 (Figure 3) or molecular weight (Figure S15), an increasing fraction of these compounds 438 439 partitions into the condensed phases, i.e. WIOM or water. In general, compounds with water or WIOM as the dominant phase usually are multifunctional, i.e. contain more than two functional 440 groups. According to Figure S15, compounds with predominant partitioning into WIOM usually 441 have a molar mass in excess of 200 g/mol, while some compounds with molar mass less than 442 443 200 g/mol prefer the aqueous phase. Other than the water content and WIOM loadings illustrated in Figure 3, in reality a compound's atmospheric phase distribution depends on other 444 factors such as the organic matter composition, salt content, pH, and temperature (Wania et 445 al., 2015; Wang et al., 2015). 446

447 Comparing the different panels of Figure 3 reveals that the atmospheric equilibrium phase distribution of SOA compounds can be very different depending on which methods is used for 448 partitioning coefficient estimation. The difference is most striking when comparing the 449 placement of highly functionalized compounds (with more than 3 functional groups) based on 450 ABSOLV/ppLFER and COSMOtherm predictions. The large  $K_{W/G}$  values estimated by 451 ABSOLV/ppLFERs lead to these compounds having a high affinity for aqueous aerosol. In 452 contrast, predictions by COSMOtherm suggest that only very few of them (and not even the 453 454 ones with the highest number of functional groups) prefer the aqueous aerosol phase; instead 455 most of them have either gas or WIOM as the dominant phase. SPARC predicts a slightly larger preference of highly functionalized compounds for the aqueous phase than COSMOtherm. 456

In a cloud scenario with a much higher LWC (shown by the blue dotted boundary lines in Figure 3), the choice of  $K_{W/G}$  prediction method also matters. Whereas with ABSOLV/ppLFER and SPARC most of the highly functionalized compounds (i.e. 96 % or 97 % of the 736 compounds with >3 functional groups) partitions into aqueous phase, only two-thirds (64 %) do so when the  $K_{W/G}$ s predicted by COSMOtherm are used. Further, only COSMOtherm predicts that some of the SOA compounds (circled in Figure 3 (c)) would prefer to form a separate WIOM phase
rather than dissolve in the bulk aqueous phase. <u>Those compounds are not sufficiently soluble in</u>
water to partition to the cloud and are not sufficiently volatile to be in the gas phase.

465 Table 2 summarizes the number and percentage of compounds that have dominant partitioning 466 (at least 50 %) into different phases, which shows the impact of using different prediction 467 techniques on phase distribution calculations in different atmospheric scenarios. In a parameterisation of SOA formation that includes an aqueous aerosol phase, use of K<sub>W/G</sub> 468 469 predicted by ABSOLV/ppLFERs (and probably also the commonly employed group contribution methods) would lead to much higher SOA mass than use of  $K_{W/G}$  predicted by COSMOtherm. 470 For instance, 10 % and 17 % of the compounds predominantly partition into the aqueous phase 471 472 when predictions by SPARC and ABSOLV/ppLFER are used, in contrast to only 14 compounds (less than 1 %) with COSMOtherm predictions (Table 2 scenario (a)). A large difference also 473 occurs in the cloud scenarios (Table 2 scenarios (b) and (d)), where SPARC and ABSOLV/ppLFER 474 475 predict twice as many compounds partitioning into the aqueous phase than COSMOtherm. 476 Incidentally, in a parameterization of SOA formation that does not account for an aqueous aerosol phase (the scenario in Figure S12 (c) and Table 2 (c)), the impact of the choice of 477 478 partitioning prediction method is much smaller. The number of compounds on the right side of the blue dotted boundary in Figure S13 does not vary substantially with different predictions. 479 Table S1 in the supporting information summarizes the number and percentage of compounds 480 481 that change their partitioning between gas and condensed phase under different atmospheric 482 conditions when a different prediction method is used. Depending on the scenarios, a total of 483 2.0 % up to 34 % of the 3414 compounds have a different dominant phase when using a 484 different prediction method. This change is larger for the cloud scenarios and much lower for the aerosol scenarios especially if the aerosol contains no water. 485

486Table 2Percentage and number of compounds with at least 50 % in gas, water or WIOM phase487under different aerosol and cloud scenarios predicted with SPARC, ABSOLV/ppLFER and488COSMOtherm. The four scenarios (a-d) correspond to the scenarios in Figure S12 (a-d) in489Supporting information.

aerosol scenarios	(a) (LWC=10 μ	g/m³, OM=10 µ	(c) without water phase (LWC=0 μg/m <sup>3</sup> , OM=10 μg/m <sup>3</sup> )		
	$\Phi_{\rm G}$ >50 % <sup>a</sup>	$\Phi_{\rm W}$ >50 % <sup>a</sup>	$\phi_{\rm WIOM}$ >50 % <sup>a</sup>	$\Phi_{\rm G}$ >50 %	$\phi_{ m wiom}$ >50 %
SPARC	85 % (2892) <sup>b</sup>	10 % (352)	4% (134)	92 % (3132)	8 % (282)
ABSOLV/ppLFER	82 % (2804)	17 % (570)	1% (25)	96 % (3267)	4 % (141)
COSMOtherm	96 % (3268)	0 % (14)	3% (119)	96 % (3282)	4 % (131)
cloud scenarios	(b) (LWC=0.3 g	g/m <sup>3</sup> , OM=10 μ	(d) without WIOM phase (LWC=0.3 g/m <sup>3</sup> , OM=0 μg/m <sup>3</sup> )		
	$\Phi_{\rm G}$ >50%	Φ <sub>w</sub> >50 %	$\phi_{ m wiom}$ >50 %	$\Phi_{\rm G}$ >50 %	Φ <sub>w</sub> >50 %
SPARC	36 % (1242)	64 % (2168)	0 % (0)	36 % (1242)	64 % (2172)
ABSOLV/ppLFER	35 % (1201)	65 % (2211)	0 % (0)	35 % (1203)	65 % (2211)
COSMOtherm	66 % (2258)	33 % (1137)	0 % (9)	66 % (2267)	34 % (1147)

490 <sup>a</sup>  $\phi_{G}$ ,  $\phi_{W}$  and  $\phi_{WIOM}$  represent for fractions of compounds in gas phase, water phase and WIOM phase, respectively.

491 <sup>b</sup> number in brackets are number of compounds

### 492 **Conclusions**

For compounds implicated in SOA formation, the prediction of  $K_{W/G}$  is much more uncertain 493 494 than the prediction of  $K_{WIOM/G}$ . This is true even if we consider that  $K_{WIOM/G}$  will vary somewhat 495 depending on the composition of the WIOM (Wang et al., 2015). In particular, the methods 496 currently used for  $K_{W/G}$  prediction of these substances have the potential to greatly 497 overestimate  $K_{W/G}$ . This uncertainty is consequential, as the predicted equilibrium phase 498 distribution in the atmosphere, and therefore also the predicted aerosol yield, is very sensitive 499 to the predicted values of  $K_{W/G}$ : depending on the method used for prediction, the aqueous 500 phase is either very important for SOA formation from the studied set of compounds or hardly 501 at all. Isaacman-VanWertz et al. (2016) recently found the estimated phase distribution of 2-502 methylerythritol, an isoprene oxidation product (in Figure S6), highly dependent on the chosen 503 method for predicting  $K_{W/G}$ . Here we show that this is a general issue potentially affecting a 504 very large number of SOA compounds. In order to identify reliable prediction methods, it will be 505 necessary to experimentally determine the phase distribution of highly functionalized, 506 atmospherically relevant substances, whereby the focus should be on establishing their 507 partitioning into aqueous aerosol.

# 508 Data Availability

509 COSMO files for the 3414 organic compounds can be accessed by contacting the corresponding 510 author.

# 511 Supporting Information

The supporting information contains figures and text mentioned in the paper, including detailed information on the organic compounds, e.g. SMILES, molecular formula, molecular weight, functional groups, O:C ratios, predicted *K*-values, ABSOLV predicted solute descriptors, COSMOtherm predicted vapor pressures and activity coefficients in WIOM and water.

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