

1 **Cloud Condensation Nuclei Activity of CaCO<sub>3</sub> Particles**  
2 **with Oleic Acid and Malonic Acid Coatings**

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13

14 **Abstract.**

15 Condensation of carboxylic acids on mineral particles will lead to coatings, and  
16 impact on the particles' potential to act as cloud condensation nuclei (CCN). To  
17 determine how the CCN activity of mineral particles is impacted by carboxylic acid  
18 coatings, the CCN activities of CaCO<sub>3</sub> particles and CaCO<sub>3</sub> particles with oleic acid  
19 and malonic acid coatings were compared in this study. The results revealed that small

20 amounts of oleic acid coating (volume fraction (vf)  $\leq$  4.3%) decreased the CCN  
21 activity of CaCO<sub>3</sub> particles, while more oleic acid coating (vf  $\geq$  16%) increased the  
22 CCN activity of CaCO<sub>3</sub> particles. This phenomenon has not been reported before. On  
23 the other hand, the CCN activity of CaCO<sub>3</sub> particles coated with malonic acid  
24 increased with the thickness of the malonic acid coating (vf = 0.4 - 40%). Even  
25 smallest amounts of malonic acid coating (vf = 0.4%) significantly enhanced the CCN  
26 activity of CaCO<sub>3</sub> particles from  $\kappa = 0.0028 \pm 0.0001$  to  $\kappa = 0.0123 \pm 0.0005$ . This  
27 supports that a small amount of water-soluble organic acid coating may significantly  
28 enhance the CCN activity of mineral particles. The presence of water vapor during the  
29 coating process with malonic acid additionally increased the CCN activity of the  
30 coated CaCO<sub>3</sub> particles, probably because more CaCO<sub>3</sub> reacts with malonic acid if  
31 sufficient water is available.  
32

## 33 **1 Introduction**

34 Atmospheric aerosols serve as cloud condensation nuclei and change the radiative  
35 properties (cloud albedo effect) and lifetime (cloud lifetime effect) of clouds, thus  
36 affecting the Earth's climate indirectly (Liu and Wang, 2010; Gantt et al., 2012;  
37 Penner et al., 2004; Haywood and Boucher, 2000). Mineral aerosol is one of the most  
38 abundant components of the atmospheric aerosol. It is estimated that 1500-2600 Tg of  
39 mineral aerosol particles with radii between 0.1 and 8  $\mu\text{m}$  are emitted annually into  
40 the atmosphere on a global scale (Cakmur et al., 2006). Mineral aerosol particles are  
41 mainly composed of substances that are slightly soluble or insoluble in water. Cloud  
42 condensation nuclei (CCN) activity measurements show that the hygroscopicity  
43 parameter  $\kappa$  (Petters and Kreidenweis, 2007) varies between 0.001 and 0.08 for  
44 mineral aerosols, including  $\text{CaCO}_3$  aerosol, clay aerosols and mineral dust aerosols  
45 generated in the laboratory or sampled from various locations worldwide (Garimella  
46 et al., 2014; Yamashita et al., 2011; Zhao et al., 2010; Koehler et al., 2009; Sullivan et  
47 al., 2010; Herich et al., 2009). The low  $\kappa$  indicates that the CCN activity of mineral  
48 aerosol is much lower than that of water soluble salts like  $(\text{NH}_4)_2\text{SO}_4$  ( $\kappa = 0.61$ ) and  
49  $\text{NaCl}$  ( $\kappa = 1.28$ ), which are also common in atmospheric aerosols (Petters and  
50 Kreidenweis, 2007). Tang et al. (2016) reviewed recently the interaction of mineral  
51 dust particles with water.

52 Mineral aerosol particles can be coated by organic vapors during their residence and  
53 transport in the atmosphere. Many individual particle measurements have shown that  
54 mineral components and organic matter can coexist in the same individual aerosol

55 particle in the real atmosphere (Falkovich et al., 2004; Falkovich et al., 2001; Russell  
56 et al., 2002; Li and Shao, 2010). Carboxylic acids ( $R(C=O)OH$ ) are abundant species  
57 among the organic matter that coexists with mineral particles. Russell et al. (2002)  
58 found that carbonyls ( $R(C=O)R$ ), alkanes, and  $R(C=O)OH$  are present in individual  
59 mineral (and sea salt) aerosol particles, with enhanced concentration of  $R(C=O)OH$ .  
60 They also found that  $Ca^{2+}$ ,  $CO_3^{2-}$ ,  $R(C=O)OH$  and  $R(C=O)R$  coexisted in some  
61 individual mineral aerosol particles with a strong correlation between  $CO_3^{2-}$  and  
62  $R(C=O)OH$ . These particles could be formed by  $CaCO_3$  particles (partly) coated with  
63 organic film. Falkovich et al. (2004) also found that organic and inorganic  
64 components coexisted in individual mineral aerosol particles with the organic  
65 component consisting of various short-chain ( $C_1$ - $C_{10}$ ) mono- and dicarboxylic acids  
66 (MCA and DCA). The concentration of short-chain carboxylic acids in mineral  
67 aerosol particles increased with the increase of the ambient relative humidity. A  
68 possible explanation for such observations could be that when more water is  
69 condensed onto mineral particles at higher ambient relative humidity, the adsorbed  
70 carboxylic acids are ionized in the aqueous environment and react with mineral  
71 particles forming organic acid salts. Of the major components of mineral aerosol  
72 particles (clay, calcite ( $CaCO_3$ ), quartz, mica, feldspar, etc.), only  $CaCO_3$  with  
73 alkaline character can react with carboxylic acids in this way. Thus  $CaCO_3$  may play a  
74 key role in the uptake of carboxylic acids by mineral aerosol particles.

75 Carboxylic acid coatings on mineral aerosol particles change their chemical  
76 composition and thus may have an impact on their CCN activity. Many previous  
77 studies have investigated the CCN activity of pure mineral aerosol (Garimella et al.,  
78 2014; Yamashita et al., 2011; Zhao et al., 2010; Koehler et al., 2009; Sullivan et al.,  
79 2010; Herich et al., 2009) and pure carboxylic acid aerosol (Kumar et al., 2003; Hori

80 et al., 2003; Cruz and Pandis, 1997; Hartz et al., 2006), but only a few studies have  
81 investigated the CCN activity of mineral aerosol particles with carboxylic acid  
82 coatings (Tang et al., 2015; Hatch et al., 2008; Gierlus et al., 2012).

83 In this study we used malonic acid and oleic acid as coating materials and  $\text{CaCO}_3$   
84 particles as cores, and investigated the CCN activity of the coated  $\text{CaCO}_3$  particles.  
85 Herein we varied the coating thickness and the relative humidity during the coating  
86 process. Malonic acid is a representative of the class of dicarboxylic acids and oleic  
87 acid is an example of surfactant like compounds. Dicarboxylic acids are ubiquitous in  
88 the atmosphere (Kawamura et al., 1996; Kawamura and Ikushima, 1993; Ho et al.,  
89 2007; Mkoma and Kawamura, 2013; Kawamura and Bikkina, 2016) and formed by  
90 photochemical reactions and ozonolysis (Chebbi and Carlier 1996; Kawamura and  
91 Bikkina, 2016; Kawamura et al., 1996; Khare et al. 1999; Mellouki et al., 2015). It has  
92 been reported that dicarboxylic acids ( $\text{C}_2\text{-C}_{10}$ ) account for 0.06-1.1% of the total  
93 aerosol mass, with higher values in the summer, and 1.8% of the total aerosol carbon  
94 (TC) in urban aerosol, in which oxalic acid, malonic acid, and succinic acid are the  
95 most abundant species (Kawamura et al., 1996; Kawamura and Ikushima, 1993; Ho et  
96 al., 2007; Mkoma and Kawamura, 2013). Oleic acid, which is emitted into the  
97 atmosphere by the cooking of meat, wood burning, and automobile source (Schauer et  
98 al., 1999; Rogge et al., 1998; Rogge et al., 1993), is present in atmospheric aerosols of  
99 urban, rural, and forest areas (Cheng et al., 2004; Ho et al., 2010). The water  
100 solubility of the two organic acids is complementary; it is high for malonic acid while  
101 it is very low for oleic acid. Coatings of malonic acid and oleic acid could thus have  
102 different effects on CCN activity of mineral particles.

103

104

## 105 **2 Experimental**

106 As general procedure, CaCO<sub>3</sub> aerosol was generated according to Zhao et al. (2010),  
107 and then poly- or monodisperse CaCO<sub>3</sub> aerosol particles were coated by malonic or  
108 oleic acid in a coating device. A flow tube was optionally applied to extend the  
109 residence time. The particle size, chemical composition, and CCN activity of the  
110 CaCO<sub>3</sub> particles were measured before and after coating. Figure 1 shows the  
111 schematic of the experimental set up.

### 112 **2.1 Generation of CaCO<sub>3</sub> aerosol**

113 CaCO<sub>3</sub> aerosol was generated by spraying a saturated Ca(HCO<sub>3</sub>)<sub>2</sub> solution. A sample  
114 of CaCO<sub>3</sub> powder (2 g, pro analysis, ≥99%, Merck, Darmstadt, Germany) was  
115 suspended in 1-L Milli-Q water (18.2 MΩcm, TOC <5 ppb). Then about 1.5 L min<sup>-1</sup>  
116 CO<sub>2</sub> (purity ≥99.995%, Praxair Industriegase GmbH & Co. KG, Magdeburg,  
117 Germany) was bubbled into the suspension at room temperature for 3 h, while the  
118 suspension was stirred using a magnetic stirrer. During bubbling, CO<sub>2</sub> reacted with  
119 CaCO<sub>3</sub> to produce Ca(HCO<sub>3</sub>)<sub>2</sub>. After bubbling, the suspension was allowed to settle  
120 for 10 min, the supernatant clear Ca(HCO<sub>3</sub>)<sub>2</sub> solution was decanted and used for  
121 spraying by a constant output atomizer (Model 3076, TSI, Shoreview, MN, USA)  
122 using 1.75 L min<sup>-1</sup> high-purity N<sub>2</sub> (Linde LiPur 6.0, purity 99.9999%, Linde AG,  
123 Munich, Germany).

124

125 The major portion ( $0.9 \text{ L min}^{-1}$ ) of the aerosol flow generated by spraying was dried  
126 in a diffusion drier filled with silica gel. The relative humidity was below 10% after  
127 drying. The remainder of the aerosol flow was drawn off by a pump and discarded.  
128 The dry aerosol was passed through a tube furnace (Model RS 120/1000/12,  
129 Nabertherm GmbH, Lilienthal, Germany) set at  $300 \text{ }^\circ\text{C}$ . The residence time of the  
130 aerosol in the furnace was 5.2 s. Zhao et al. (2010) described this method for  
131 generating  $\text{CaCO}_3$  aerosol in detail. At room temperature dry  $\text{Ca}(\text{HCO}_3)_2$  is  
132 thermodynamically unstable and decays into  $\text{CaCO}_3$ ,  $\text{CO}_2$ , and  $\text{H}_2\text{O}$  (Keiser and  
133 Leavitt, 1908). With this method the aerosol still contained some  $\text{Ca}(\text{HCO}_3)_2$  after  
134 drying, but after heating at  $300 \text{ }^\circ\text{C}$  it was completely converted into  $\text{CaCO}_3$  (Zhao et  
135 al., 2010). The  $\text{CaCO}_3$  aerosol generated was either first size selected by a Differential  
136 Mobility Analyzer (DMA, TSI 3081) with a neutralizer on the inlet or entered the  
137 coating device directly as poly-disperse aerosol.

138 Figure 2 (upper panel) shows the total number concentration and mean size of the  
139 bare  $\text{CaCO}_3$  aerosol particles generated at different spraying time, which were  
140 measured with the SMPS described below. The mean size stabilized after about 50  
141 min in the range 49.8-55.5 nm. Over the 232 min spraying time, the total number  
142 concentration varied in the range  $1.8 \cdot 10^6$ – $4.5 \cdot 10^6 \text{ cm}^{-3}$ . The total number  
143 concentration decreased by about 1/3 in the initial 70 min. The decrease became  
144 slower after 70 min and the total number concentration tended to stabilize after 155  
145 min. After 70 min the total number concentration varied in a smaller range of  $1.8 \cdot 10^6$ –  
146  $2.9 \cdot 10^6 \text{ cm}^{-3}$ , therefore, the measurements in this study typically started after 70 min

147 spraying. The typical size distribution of the CaCO<sub>3</sub> aerosol particles after 70 min  
148 spraying is shown in Fig. 2 (lower panel). The CaCO<sub>3</sub> particles showed a single mode  
149 distribution with a mode diameter at 32.2 nm. The number concentration was more  
150 than 10000 cm<sup>-3</sup> for particles between 12.6 and 151.2 nm.

## 151 **2.2 Organic acid coating**

152 The coating device (Fig. 1, right hand side) used in this study was designed by Roselli  
153 (2006), and showed good reproducibility, controllability, and stability. The glass  
154 apparatus consisted of a small storage bulb (100 ml) holding the organic coating  
155 substances which was directly connected to a mixing cell (about 35 ml). The storage  
156 bulb and mixing cell were fully immersed in a flow-through water heater connected to  
157 a thermostatic bath (F25, Julabo GmbH, Seelbach, Germany). The temperature range  
158 of the thermostatic bath used in this study was 30-80 °C. An extra N<sub>2</sub> stream could be  
159 passed through the storage bulb in order to enhance the organic vapors flowing into  
160 the mixing cell. The outflow of the coating device was connected to a Liebig type  
161 water cooler. The water cooler was controlled by another thermostatic bath (F25,  
162 Julabo GmbH, Seelbach, Germany) operated at 25 °C throughout all of the  
163 experiments.

164 The bottom of the storage bulb was filled with either 5.0 g malonic acid powder  
165 (assay ≥98%(T), Fluka Chemika, Sigma-Aldrich, St Louis, MO, USA) or 10.0 ml  
166 oleic acid (chemical purity (GC) 99.5%, Alfa Aesar, Ward Hill, MA, USA). A flow of  
167 0.9 L min<sup>-1</sup> high purity N<sub>2</sub> was used to carry the organic acid vapor up into the mixing  
168 cell. The flow of 0.9 L min<sup>-1</sup> CaCO<sub>3</sub> aerosol was passed through the mixing cell and  
169 mixed with the 0.9 L min<sup>-1</sup> N<sub>2</sub> flow carrying the organic acid vapor. The mixed flow



170 then entered the water cooler. The organic acid vapor was condensed on CaCO<sub>3</sub>  
171 aerosol particles in both the mixing cell and the water cooler. The residence time of  
172 the aerosol in the coating device including the cooler was about 6 seconds. Three  
173 identical coating devices, with the same heating and cooling thermostatic bath, were  
174 used: one for malonic acid coating, one for oleic acid coating, and a blank one without  
175 organic acid for assessing the impact caused by heating the CaCO<sub>3</sub> aerosol in the  
176 coating device without organic acid (Roselli, 2006).

177 The aerosol could enter the measuring instruments directly, or after passing through a  
178 flow tube to increase its residence time. The flow tube was made of a straight circular  
179 glass tube with a 2.5 cm internal diameter. The aerosol flow in the flow tube was  
180 laminar flow. The average residence time of the aerosol in the flow tube was 23.7 s.

181 For the coating process we mixed flows of 0.9 L min<sup>-1</sup> of dry N<sub>2</sub> and of aerosol dried  
182 to <10% relative humidity (RH) at room temperature (RT). As a consequence RH at  
183 the outlet of the coating device was <5% at RT. To investigate the impact of water on  
184 the coating process and CCN activity, organic coating at a higher relative humidity  
185 was also performed. For that a bubbling device filled with Milli-Q water was utilized  
186 to saturate the N<sub>2</sub> stream with water vapor before it entered the storage bulb (RH>90%  
187 at RT). After mixing with the aerosol stream at RH <10%, the water concentration in  
188 the mixing cell corresponded to RH ≈ 50% at RT or a partial pressure of ≈1500 Pa.  
189 The relative humidity of the aerosol at the outlet of the coating device at RT was  
190 indeed ~47% when humidification was applied. For the partial water vapor pressure  
191 of 1500 Pa we calculated RH >7% at 60°C (for MA), and RH >3% at 80°C (for OA)  
192 which is about an order of magnitude higher than RH in the dry cases. In fact RH will  
193 be somewhat higher as the gas-phase may not reach the bath temperature which

194 primarily serves to warm up the coating agent and control its vapor pressure.

### 195 **2.3 Size and chemical composition measurements**

196 The number size distribution of the aerosol particles was measured using a Scanning  
197 Mobility Particle Sizer (SMPS, TSI 3080 Electrostatic Classifier with TSI 3081 DMA,  
198 TSI 3786 UWCPC). The sample flow was set to  $0.6 \text{ L min}^{-1}$  and the sheath flow was  
199 set to  $6.0 \text{ L min}^{-1}$ . The size range measured was 9.82-414.2 nm with a size resolution  
200 of 64 channels per decade and the time resolution was 3 min for a complete scan.  
201 Despite the maximum resolution of the SMPS the size bin width was still substantial  
202 compared to the observed growth by coating. We therefore derived the diameter of the  
203 coated (and the respective bare  $\text{CaCO}_3$  particles) by interpolating in between the size  
204 bins. For that we considered 5-9 size bins around the size bin of nominal mode and  
205 fitted a lognormal distribution to these data. The fitted mode positions are listed in  
206 Table 1. The error bars in x direction in Figure S1 in the supplement, show the shifts  
207 of the fitted mode position relative to the nominal size bin.

208 The chemical composition and the vacuum aerodynamic diameter of the aerosol  
209 particles were measured using a High-Resolution Time-of-Flight Aerosol Mass  
210 Spectrometer (HR-ToF-AMS, Aerodyne Research Inc., Billerica, MA, USA (DeCarlo  
211 et al., 2006)). The aerosol particles were vaporized at  $600 \text{ }^\circ\text{C}$  and ionized by electron  
212 impact ionization at 70 eV, i.e. we focused on the measurements of the organic  
213 coatings and sacrificed a direct  $\text{CaCO}_3$  determination by AMS (compare Zhao et al.  
214 2010). The AMS was routinely operated in V-mode in two alternating modes: 1 min  
215 MS mode to measure the chemical composition and 2 min PToF mode. Only MS  
216 mode data were analyzed. AMS measurements and SMPS measurements were  
217 synchronous and both were repeated at least four times for each sample. Size

218 information for bare CaCO<sub>3</sub> was taken from SMPS data in the blank coating device.

219 We used specific marker  $m/z$  to derive the amount of organic coating. For pure oleic  
220 acid the signal at  $m/z41$  (C<sub>3</sub>H<sub>5</sub><sup>+</sup>) was reported to be the strongest signal in the mass  
221 spectrum measured by Q-AMS at EI energy of 70 eV and vaporizer temperature of  
222 600 °C (Sage et al., 2009). The signal at  $m/z41$  was also strongest for oleic acid  
223 coatings in our HR mass spectra. In order to get a high signal to noise ratio we choose  
224 the signal at  $m/z41$  in the MS mode of the AMS measurement as a marker for oleic  
225 acid in the coated CaCO<sub>3</sub> particles. There was no significant signal at  $m/z41$  for the  
226 uncoated CaCO<sub>3</sub> particles. The average background signal at  $m/z41$  per single aerosol  
227 particle corresponded to  $2.7\pm0.9\cdot10^{-12}$  µg for bare CaCO<sub>3</sub>. The average value  
228 presented the baseline of the mass spectra and the standard deviation was derived  
229 from the noise of the mass spectra at  $m/z41$ . Similarly, the signal at  $m/z42$  (C<sub>2</sub>H<sub>2</sub>O<sup>+</sup>)  
230 was one of the strongest signals in the mass spectrum of pure malonic particles  
231 measured by Q-AMS with EI energy of 70 eV and vaporizer temperature of 600 °C  
232 (Takegawa et al., 2007). That signal was also observed for malonic acid coatings in  
233 our HR mass spectra and used as marker for malonic acid coatings. The average  
234 background signal per aerosol particle at  $m/z42$  for bare CaCO<sub>3</sub> particles was  
235  $1.4\pm0.4\cdot10^{-12}$ µg. The average value represented the baseline of the mass spectra at  
236  $m/z42$  and the standard deviation was derived from the noise in the mass spectra.

237 The coating amount for both organic compounds was derived as follows. The  
238 observed signal at the respective marker  $m/z$  was corrected for the background signal  
239 from bare CaCO<sub>3</sub> and then scaled to the volume increase (per particle) calculated  
240 from the shift of the particle diameter  $D_p$  for the largest coating amount achieved at 80  
241 °C coating temperature. Because of the relative large bin width compared to the

242 growth by coating we used the  $D_p$ 's, interpolated between the nominal size bins of the  
243 SMPS (see above). This assumed spherical core shell morphology, based on Zhao et  
244 al. (2011) where we showed that the  $\text{CaCO}_3$  particles generated by our spray drying  
245 method are spherical. The relation between AMS derived organic mass (baseline  
246 corrected marker signals at  $m/z41$  or  $m/z42$  per particle) and SMPS derived organic  
247 mass ( $\rho_{\text{org}} \times \pi/6 \times (D_p - D_{\text{PCaCO}_3})^3$ ) is linear within the limits of the method (see Figure  
248 S1 in the supplement). For discussion we will refer to the AMS results, as we are able  
249 to detect amounts of organic coatings as small as few time  $10^{-12}$   $\mu\text{g}$  per particle with  
250 the AMS, while these could be not be detected by the SMPS.

#### 251 **2.4 CCN activity measurement**

252 The aerosol was dried to  $\text{RH} < 3\%$  by another diffusion drier before the CCN activity  
253 was measured. To determine the CCN activity of the aerosol, the number  
254 concentration of the cloud condensation nuclei (CCN) of the aerosol was measured  
255 with a continuous flow CCN counter (CCNC, DMT-100, Droplet Measurement  
256 Technologies, Boulder, CO, USA). The total number concentration (CN) of the  
257 aerosol particles was synchronously measured using an ultrafine water-based  
258 condensation particle counter (UWCPC, TSI 3786, cf. Zhao et al., 2010). The ratio of  
259 CCN to CN (CCN/CN) is called the activated fraction ( $a_f$ ). In cases where a  
260 poly-disperse aerosol was coated, the coated aerosol particles were size selected by  
261 scanning a DMA between 10.6 and 478.3 nm, and the CCN and CN concentrations  
262 were determined for each size bin while the supersaturation (SS) kept constant  
263 (known as 'Scanning Mobility CCN Analysis (SMCA)', Moore et al., 2010). The  
264 activated fraction was calculated after the CCN and CN concentrations were corrected  
265 for the multiple charged particles.

266 The activated fraction as a function of the particle size was fitted with a cumulative  
267 Gaussian distribution function (Rose et al., 2008). The turning point of the function is  
268 the critical dry diameter ( $D_{\text{crit}}$  or  $D_{50}$ ) at the set SS. The activation efficiency (i.e., the  
269 activated fraction when aerosol particles are completely activated) was 83% for the  
270 CCN instrument, determined using 150 nm  $(\text{NH}_4)_2\text{SO}_4$  particles at  $\text{SS}=0.85\%$ .  
271 Besides  $\text{CaCO}_3$  and coated  $\text{CaCO}_3$  particles, the CCN activities of malonic acid  
272 particles, oleic acid particles, and mixed particles of  $\text{CaCO}_3$  and malonic acid were  
273 also measured. The malonic acid particles were generated by spray-drying a malonic  
274 acid solution. The oleic acid particles were generated by heating 10.0 ml oleic acid to  
275  $97\text{ }^\circ\text{C}$  in the storage bulb and then cooling the vapor to  $2\text{ }^\circ\text{C}$  in the water cooler in a  
276 clean coating device.  $1.75\text{ L min}^{-1}$  high-purity  $\text{N}_2$  was used as carrying gas and went  
277 into the storage bulb through '1  $\text{N}_2$  in' entrance in Fig. 1; the '3 Aerosol in' entrance in  
278 Fig. 1 was closed. This way, pure oleic acid particles with diameters up to 333 nm  
279 were generated. Mixed  $\text{CaCO}_3$ /malonic acid particles were generated by spraying the  
280 supernatant clear solutions which were prepared by settling suspensions containing  
281  $\text{CaCO}_3$  and malonic acid in molar ratios of about 1:1 and 3:1. The suspensions were  
282 prepared with 0.020 g malonic acid and 0.021 g  $\text{CaCO}_3$  and 0.025 g malonic acid and  
283 0.076 g  $\text{CaCO}_3$  in 1000 ml Milli-Q water, respectively. The suspensions were allowed  
284 to stand for 24h.

285 For aerosols where monodisperse aerosol particles with a dry diameter  $D_p$  were  
286 coated, the CCN concentration was measured at different SS and the CN  
287 concentration was measured synchronously. Similarly, the activated fraction as a  
288 function of SS was fitted with a sigmoidal function. The turning point of the function  
289 is the critical supersaturation ( $\text{SS}_{\text{crit}}$ ) and the corresponding dry diameter  $D_p$  is called  
290 the critical diameter,  $D_{\text{crit}}$ . The hygroscopicity parameter  $\kappa$  (Petters and Kreidenweis,

291 2007) was then calculated from the  $D_p(D_{crit})$ - $SS_{crit}$  or  $SS(SS_{crit})$ - $D_{crit}$  data set. The SS  
292 settings of the CCN counter were calibrated weekly using  $(NH_4)_2SO_4$  aerosol based  
293 on the theoretic values in the literature (summarized by Rose et al., 2008).

## 294 **3 Results and discussion**

### 295 **3.1 CCN activity of $CaCO_3$ aerosol**

296 Before the coating experiments we determined the CCN activity of the bare  $CaCO_3$   
297 aerosol particles. It was measured by the SMCA method using poly-disperse  $CaCO_3$   
298 aerosol particles. The value of the hygroscopicity parameter  $\kappa$  of the  $CaCO_3$  aerosol  
299 was  $0.0028 \pm 0.0001$  derived by the least-square-fitting of  $D_{crit}$  as a function of SS  
300 ( $SS_{crit}$ ). This  $\kappa$  value is quite small, indicating that the CCN activity of the  $CaCO_3$   
301 aerosol is low. Our  $\kappa$  is well within the range of  $\kappa$ 's of  $0.0011 \pm 0.0004$  to  $0.0070 \pm$   
302  $0.0017$  found in previous studies for wet generated  $CaCO_3$  particles (Zhao et al., 2010;  
303 Sullivan et al., 2009; Gierlus et al., 2012, Tang et al., 2016), but larger than  $\kappa$  for dry  
304 generated  $CaCO_3$  aerosols (0.0008-0.0018, Sullivan et al., 2009).

305 The CCN activity for  $CaCO_3$  aerosol passed through the blank coating device exposed  
306 to temperatures of 60 °C and 80 °C was determined using the same method. The  $\kappa$   
307 value remained at  $0.0028 \pm 0.0001$  up to 60 °C and increased to  $0.0036 \pm 0.0001$  at 80  
308 °C. The increase in  $\kappa$  by 0.0008 at 80 °C was smaller than the differences of reported  $\kappa$   
309 values for  $CaCO_3$  aerosol in various studies, and much smaller than the changes of  $\kappa$   
310 values measured in this study when the  $CaCO_3$  aerosol particles were coated by  
311 malonic or oleic acid. So the effect of heating the  $CaCO_3$  aerosol during the coating

312 process on the CCN activity of the CaCO<sub>3</sub> aerosol was neglected. The D<sub>crit</sub> at different  
313 supersaturations (SS<sub>crit</sub>) for the CaCO<sub>3</sub> aerosol and for the CaCO<sub>3</sub> aerosol passed  
314 through a blank coating device at heating temperatures of 60 °C and 80 °C are shown  
315 in Fig. 5 (red, yellow and green circles).

316 As the solubility of CaCO<sub>3</sub> in water is very low, droplet activation of CaCO<sub>3</sub> (and  
317 other mineral dust components) is often described by a water adsorption approach,  
318 wherein the solute term B in the Köhler equation (Köhler 1936, Seinfeld and Pandis,  
319 2006, see eq. (S1-S3) in the supplement) is replaced by a water adsorption term. The  
320 equations (1) and (2) show application of the Frenkel Halsey Hill adsorption isotherm  
321 (FHH) as proposed by Sorjamaa and Laaksonen (2007) and Kumar et al. (2009):

$$322 \quad B = -A_{\text{FHH}} \cdot \theta^{-B_{\text{FHH}}} \quad (1)$$

323 Therein the water coverage  $\theta$  (Sorjamaa and Laaksonen, 2007) is given as:

$$324 \quad \theta = \frac{D_w - d_u}{2 \cdot 2.75 \cdot 10^{-4}} \quad [\mu\text{m}] \quad (2)$$

325 and D<sub>w</sub> and d<sub>u</sub> are the diameter of the wet particles and the insoluble core. We applied  
326 the FHH parameter for CaCO<sub>3</sub> (A<sub>FHH</sub>=0.25 and B<sub>FHH</sub>=1.19, Kumar et al. 2009) and  
327 derived a critical supersaturation of 1.52% for CaCO<sub>3</sub> particles with d<sub>u</sub> = 101.9 nm  
328 (Figure 7, blue line). In comparison  $\kappa$ -Köhler theory predicts SS<sub>crit</sub>=1.49% for  
329  $\kappa=0.0028$ . Such an SS<sub>crit</sub>=1.49% would also be achieved by  $8.5 \cdot 10^{-20}$  mole solute per  
330 particle (Figure 7, black line). Figure 7 also shows the SS<sub>crit</sub> for the bare CaCO<sub>3</sub>  
331 particles processed at 80 °C temperature and the range of SS<sub>crit</sub> for 101.9 nm particles

332 calculated from the range of  $\kappa$ 's given in the literature (Tang et al., 2016 and  
333 references therein) for wet generated CaCO<sub>3</sub> particles.

334 We conclude that the surface of our CaCO<sub>3</sub> particles is a little more wettable than the  
335 dry generated particles studied by Kumar et al. (2009). We presume formation of  
336 Ca(OH)(HCO<sub>3</sub>) structures on the surface during the spray-drying generation process  
337 as commonly observed whenever the CaCO<sub>3</sub> surface has been exposed to gaseous  
338 water or liquid water (Stipp, 1999; Stipp and Hochella, 1991; Neagle and Rochester,  
339 1990). In case of soluble components causing the lower SS<sub>crit</sub> their amount must be of  
340 the order of  $1 \cdot 10^{-19}$  mole per particles.

### 341 **3.2 CCN activity of CaCO<sub>3</sub> particles with oleic acid coating**

342 For the coating with oleic acid, we selected monodisperse CaCO<sub>3</sub> aerosol particles of  
343 101.8 nm diameter using the DMA, and measured the size and chemical composition  
344 of the particles before (uncoated) and after (coated) coating with oleic acid. The  
345 results are listed in the upper part of Table 1.

346 The mode diameters of number size distribution for the uncoated CaCO<sub>3</sub> particles at  
347 30-80 °C remained in the 101.8 nm size bin, identical to that selected by the DMA.  
348 Interpolation in between the size bins as described in the experimental section led to  
349 an average dry diameters of bare CaCO<sub>3</sub> of  $d_u = 101.9$  nm. The mode diameters of the  
350 CaCO<sub>3</sub> particles after coating with oleic acid in the range of 30-50 °C stayed in the  
351 pre-selected size bin at 101.8 nm, which means that the layers were too thin to



352 effectively grow the particles to the next size bin; the mode diameters increased  
353 distinctively in the temperature range of 60-80 °C (Fig. 3, upper panel). However, the  
354 bin-interpolated diameters  $D_p$  which are shown in Table 1 increased monotonically  
355 over the whole temperature range.

356 The values of  $m/z41$  [ $\mu\text{g}$  per particle] originating from the oleic acid coating for the  
357 coated  $\text{CaCO}_3$  particles at 30-80 °C were at all temperatures significantly larger than  
358 for the bare  $\text{CaCO}_3$  particles, and increased with the increasing coating temperature  
359 ( $3.7 \cdot 10^{-12}$  -  $390 \cdot 10^{-12}$   $\mu\text{g}$  per particle, compare Table 1 and Fig. 3, bottom panel, red  
360 circles). The AMS detected increase at  $m/z41$  showed that the  $\text{CaCO}_3$  particles already  
361 contained small amounts of oleic acid after coating with oleic acid at temperatures  
362 below 60 °C although the  $D_p$  shifted less than a size bin.

363 The organic volume fraction (vf) in the aerosol particles,  $V_{\text{OA}}/V_{\text{par}}$  [%], was calculated.  
364 Herein  $V_{\text{par}} = (V_{\text{OA}} + V_{\text{CaCO}_3})$ ,  $V_{\text{OA}}$  is the oleic acid volume derived by AMS and  
365  $V_{\text{CaCO}_3}$  the volume of the bare  $\text{CaCO}_3$  before coating (101.9 nm).  $V_{\text{OA}}/V_{\text{par}}$  for the  
366 uncoated  $\text{CaCO}_3$  particles is by definition zero. The vf for the coated  $\text{CaCO}_3$  particles  
367 at 30-80 °C increased with the increase in the coating temperature from 0.8% at 30 °C  
368 to 44% at 80 °C (Fig. 3, bottom panel, green square and Table 1). The  $\text{CaCO}_3$  particles  
369 were indeed coated with a significant amount of oleic acid and the amount of oleic  
370 acid coating increased with the increase in the coating temperature. The experiments  
371 were repeated at least four times. The according standard deviations for the oleic acid  
372 mass per particle in Table 1 demonstrate that the reproducibility of the experiments

373 was good and the performance of the coating device was stable.

374 The activated fractions at different SS for monodisperse CaCO<sub>3</sub> particles with d<sub>u</sub> =  
375 101.9 nm before and after oleic acid coating at 30-80 °C are shown in Fig. 4. The top  
376 panel in Fig. 4 shows the results at 30-60 °C with up to  $23 \pm 1.2 \cdot 10^{-12}$  μg of coating  
377 material deposited on the CaCO<sub>3</sub> particles (vf up to 4.3%). At the lowest SS of 0.17%  
378 and 0.35%, the activated fractions were very low and independent of the presence of  
379 the coating material within the errors. When the SS increased to 0.52%, 0.70%, and  
380 0.87%, the activated fractions for the coated CaCO<sub>3</sub> particles were *lower* than those  
381 for the uncoated particles. Notably the activated fractions for the coated CaCO<sub>3</sub>  
382 particles *decreased* with the increase in the coating material in the range of vf  
383 0.8-2.7%. The activated fractions for the CaCO<sub>3</sub> particles with different amounts of  
384 coating spread with larger SS applied. However this trend reversed at the coating  
385 temperature of 60 °C and an oleic acid vf of 4.3%, and the activated fractions at vf =  
386 4.3% became higher than those at 2.7% at the three largest SS. In summary, we found  
387 that the CCN activity of the coated CaCO<sub>3</sub> particles with vf of OA in a range 0.8-4.3%  
388 was lower than that of the uncoated CaCO<sub>3</sub> particles. The CCN activity of the coated  
389 CaCO<sub>3</sub> particles decreased with the increasing vf in between 0.8-2.7%, i.e. the CCN  
390 activity became lower when more coating material deposited on the CaCO<sub>3</sub> particles.  
391 This trend turned at a vf somewhere between 2.7 and 4.3%. As the D<sub>p</sub> also increased  
392 at 60 °C we cannot differentiate if the increase in the activated fractions is due to  
393 increasing size or because of increasing wettability.

394 The activated fractions of CaCO<sub>3</sub> particles after coating with oleic acid with vf of 16%  
395 and 44% (coating temperatures of 70 and 80 °C , respectively) were considerably  
396 higher than that before coating, as shown in Fig. 4 (bottom panel). The increased  
397 activated fractions resulted from both the increase in particle size (Fig. 3) and the  
398 increase of the OA volume fraction of particles. At vf of 16% and 44%, the activated  
399 fractions of the CaCO<sub>3</sub> particles after coating increased with the increase of SS and  
400 reached complete activation. (Note, because the activation efficiency is 83%, the  
401 activated fractions appear at values less than 100% at the points of full activation.)  
402 For vf of 16% and 44% SS<sub>crit</sub> was determined by fitting a sigmoidal function to the  
403 activated fraction as a function of SS. The particle dry diameter D<sub>P</sub> which is D<sub>crit</sub> in  
404 these cases is given in Table 1. The hygroscopicity parameter κ was determined from  
405 D<sub>P</sub> (D<sub>crit</sub>) and the corresponding SS<sub>crit</sub>. The κ values of the CaCO<sub>3</sub> particles coated  
406 with vf of oleic acid of 16% and 44% were 0.0241±0.0006 and 0.0649±0.0008,  
407 respectively. The respective κ values for the CaCO<sub>3</sub> particles with a diameter of 101.9  
408 nm without coating and after coating with oleic acid at 30-60 °C (oleic acid vf ≤4.3%)  
409 could not be determined by this method because these particles could not be fully  
410 activated at the highest SS reachable by the CCN counter. Therefore we give κ =  
411 0.0028 ± 0.0001 for the uncoated CaCO<sub>3</sub> particles determined by scanning the size of  
412 the poly-disperse CaCO<sub>3</sub> aerosol particles as described above (see Fig. 5).

413 So we conclude that for vf of oleic acid of 0.8-2.7% the CCN activity of CaCO<sub>3</sub>  
414 particles after coating is lower than that of uncoated CaCO<sub>3</sub> particles and decreases  
415 with the fraction of oleic acid. The trend turns at a vf between 2.7 and 4.3%. CCN

416 activity was higher than that of the bare CaCO<sub>3</sub> particles at vf of oleic acid of 16%  
417 (70°C) and 44% (80°C) with CCN activity  $\kappa = 0.0241 \pm 0.0006$  and  $\kappa = 0.0649 \pm 0.0008$ ,  
418 respectively. The enhanced and reduced CCN activity of CaCO<sub>3</sub> particles coated with  
419 oleic acid at 80 °C and 60 °C, respectively, was also evident from the CCN activity  
420 measurement using *poly-disperse* aerosols (Fig. 5).

421 A possible explanation for our observation can be based on the amphiphilic character  
422 of oleic acid, namely that one end of the oleic acid molecule is hydrophobic (the  
423 hydrocarbon chain), while the other is hydrophilic (the carboxyl group).

424 We refer to Ca(OH)(HCO<sub>3</sub>) structures at the surface which offer polar surface sites to  
425 bind the hydrophilic ends (the carboxyl groups) of the oleic acid molecules. The  
426 hydrophobic ends of oleic acid molecules (the hydrocarbon chains) are then exposed  
427 on the particle surface hence increase the hydrophobicity of the particle surface. Such  
428 a formation of a hydrophobic layer should be occurring until all polar sites are  
429 occupied or monolayer coverage is reached, maybe in form of a self-assembled layer.  
430 This can hinder the uptake of water. Activation of CaCO<sub>3</sub> particles can be described  
431 by the Kelvin term and a water absorption term, e.g. Frenkel Halsey Hill isotherm  
432 (Sorjamaa and Laaksonen, 2007, Kumar et al., 2009). In terms of Kelvin/FHH theory  
433 the hydrophobic OA coating will lower  $A_{FHH}$  and/or likely increase  $B_{FHH}$ . The  
434 formation of a monolayer of OA on black carbon particles with the polar groups  
435 pointing outwards was postulated by Dalirian et al. (2017), which lead to increased  
436 activation of the black carbon particles. Thus, they observed a similar effect of layer

437 formation, but with switched polarity.

438 Garland et al. (2008) suggested that OA at sub-monolayer coverage form  
439 self-associated islands rather than uniformly covering the surfaces, and OA molecules  
440 are oriented vertically, with polar heads facing to the surface. This is in support of our  
441 working hypothesis: the formation of a hydrophobic surface film. We conclude that  
442 all hygroscopic sides on the CaCO<sub>3</sub> surface are covered at OA vf somewhere between  
443 2.7% and 4.3%, as here the trend turns and droplet activation starts to increase again.  
444 This would place the monolayer coverage above 3% organic volume fraction.  
445 According to the measurements and calculations of the length of oleic acid molecule,  
446 the thickness of oleic acid sub-monolayer on solid surfaces, and the thickness of  
447 deuterated oleic acid monolayers at the air-water interface (Garland et al., 2008; King  
448 et al., 2009; Iwahashi et al., 2000), we estimate 2.3 nm as the likely thickness of oleic  
449 acid monolayer on CaCO<sub>3</sub> particles, accordingly a monolayer would be achieved at  
450 about 12-13% organic volume fraction. As a consequence the re-increase of  
451 hygroscopicity starts at sub-monolayer coverage and we propose that a fraction of  
452 oleic acid binds to already adsorbed oleic acid tail by tail such that carboxylic groups  
453 are facing outwards.

454 For CaCO<sub>3</sub> particles coated with more than an OA monolayer (vf = 16% and 44% at  
455 70 and 80 °C coating temperatures), OA in the first layer should still combine with the  
456 CaCO<sub>3</sub> surface, the heads pointing downwards. We suppose that now a portion of the  
457 carboxyl groups of the oleic acid molecules, which are not in the first layer, will be

458 exposed to the particle surface, in analogy to the formation of lipid bilayers, e.g. in  
459 cells, though the structure of this part of oleic acid is not known. The particle surface  
460 then becomes more hydrophilic.

461 When carboxylic groups of OA are exposed at the surface, the interaction of water  
462 with the OA layer becomes stronger, and the surface becomes wettable. In terms of  
463 the Kelvin/FHH approach, the surface water interaction becomes stronger and  $A_{\text{FHH}}$   
464 increases and likely also the interaction between the higher water layers ( $B_{\text{FHH}}$   
465 decreases). From this point of view water adsorption by the “OA bilayer” should  
466 become similar to thin malonic acid layers (compare next section). In addition, when  
467 droplets form, oleic acid will transfer to the surface of the droplets and lower the  
468 surface tension of the solution (the surface tension of oleic acid is  $0.033 \text{ J m}^{-2}$ , which  
469 is much lower than that of pure water of  $0.072 \text{ J m}^{-2}$ ). Thus, the activation of OA  
470 coated particles is probably a complex interaction between formation of a specific  
471 hydrophobic layers and more hydrophilic multilayers, surface tension effects and for  
472 the largest coating amounts, simple size effects. As shown in Figure 7,  $SS_{\text{crit}}$  for OA is  
473 lower than for thin malonic acid coatings, probably because of the surface tension  
474 effect, but higher than for thick MA coatings, because of the missing solute effect.

475 The CCN activity of all oleic coated particles is higher than the CCN activity of pure  
476 oleic acid. Our CCN activity measurement showed that pure oleic acid particles up to  
477 333 nm did not activate at 0.87% SS; this sets an upper limit for CCN activity of oleic  
478 acid particles ( $\kappa < 0.0005$ ), in agreement with Kumar et al. (2003) and Broekhuizen et

479 al. (2004). In liquid state oleic acid (OA) forms micelle like structures, the hydrophilic  
480 ends (the carboxyl groups) of oleic acid molecules tend to combine together by  
481 hydrogen bonds and the hydrophobic tails (the hydrocarbon chains) are exposed at the  
482 outside (Iwahashi et al., 2000; Garland et al., 2008). The arrangement of oleic acid  
483 molecules in pure oleic acid particles should be similar. Hydrophobic tails facing  
484 outwards can explain the hydrophobicity of the particle surface and the hindrance of  
485 the uptake of water, making the CCN activity of pure oleic acid particles very low.  
486 For sub-monolayer coatings of OA of  $v_f$  0.8 - 2.7% the CCN activity seem to  
487 approach that of pure OA. However, the arrangement of oleic acid molecules in these  
488 thin coatings will be influenced by the  $\text{CaCO}_3$  core with its polar, hydrophilic sides  
489 differing from pure oleic acid particles and can thus be less hydrophobic.

490 Even at the largest coating with an organic volume fraction of 44%, the coating  
491 thickness is about 10 nm, which corresponds to about only 4 monolayers of oleic acid  
492 (assuming the thickness of oleic acid monolayer on  $\text{CaCO}_3$  particles is about 2.3 nm).  
493 And the arrangement of oleic acid molecules will still be likely influenced by the  
494  $\text{CaCO}_3$  core. Water can probably adsorb at the carboxylic groups facing outward  
495 (“bilayer” type structure) and diffuse through the thin oleic acid coatings. It may form  
496 an adsorbed water phase near the  $\text{CaCO}_3$  surface. This could push the oleic acid out to  
497 act as surfactant which lowers the Kelvin term. Such processes should also happen in  
498 pure oleic acid particles. Because of the presence of  $\text{CaCO}_3$  core the SS to achieve  
499 this is lower than for pure OA.

500 The phenomenon described above is reported for the first time in the studies on the  
501 CCN activity of multicomponent aerosols. This phenomenon also shows a limitation  
502 of the otherwise very useful mixing rule (Petters and Kreidenweis, 2007) for  
503 multicomponent aerosols with specific morphologies.

504 In Fig. 5 we additionally show the influence of water vapor on CCN activity of  
505  $\text{CaCO}_3$  particles coated with oleic acid for the highest coating temperature (80 °C)  
506 and thus largest oleic acid amount. Herein we determined  $D_{\text{crit}}$  at different  
507 supersaturations ( $SS_{\text{crit}}$ ) for *poly-disperse*  $\text{CaCO}_3$  aerosol particles (by SMCA). The  
508 experiments were performed at RH 0.3% and at RH 3% at the coating temperature of  
509 80 °C on cooling to room temperature the RH increased to 47%. The presence of  
510 more water vapor (1500 Pa) in the coating process increased  $\kappa$  somewhat and  
511 enhanced the CCN activity. This is of importance since RH will often larger than 0.3%  
512 if coating appears in the atmosphere. This will be discussed further in context of  
513 malonic acid coatings at enhanced water vapor.

### 514 **3.3 CCN activity of $\text{CaCO}_3$ particles with malonic acid coating**

515 For the study with malonic acid coatings, the  $\text{CaCO}_3$  particles were also size selected  
516 with a diameter of 101.8 nm. The size  $D_p$  and chemical composition of  $\text{CaCO}_3$  aerosol  
517 particles are listed in Table 1 before and after coating with malonic acid (MA) at  
518 temperatures in a range of 30-80 °C. The mode diameter did not shift after coating in a  
519 temperature range of 30-60 °C, but it increased for coatings at 70 and 80 °C with  
520 increasing coating temperature. The size bin interpolated particle diameter  $D_p$  of the



521 MA coated particles increased monotonically with the coating temperature. The  
522 average of the interpolated diameter of bare CaCO<sub>3</sub> particles in the temperature range  
523 30°C-80°C was  $d_u = 101.9$  nm.

524 Values of the malonic acid marker  $m/z42$  per particle were significantly larger for  
525 CaCO<sub>3</sub> particles after coating at 30-80 °C and the MA mass increased from  $3.3 \cdot 10^{-12}$   
526 to  $610 \cdot 10^{-12}$  µg per particle with the coating temperature (Table 1, Figure 3, bottom  
527 panel). The organic volume fraction  $vf$  of malonic acid ( $V_{MA}/(V_{MA}+V_{CaCO_3})[\%]$ ) was  
528 calculated as in the case of the oleic acid and ranged from 0.4 to 40%. As for oleic  
529 acid the malonic acid experiments were repeated at least four times and the  
530 reproducibility and stability were good (see standard deviations in Table 1).

531 The activated fractions at different SS for 101.9 nm CaCO<sub>3</sub> particles before and after  
532 coating with malonic acid at 30-80 °C are shown in Fig. 6.  $SS_{crit}$  was determined by  
533 fitting a sigmoidal function to the data and the  $\kappa$  value was calculated from the  
534  $D_p(D_{crit})$  and the corresponding  $SS_{crit}$ . The results are listed in Table 1. In this  
535 procedure we had to neglect the contribution of double charged particles as the step in  
536 the CN/CCN vs SS data in Fig.6 is not sufficiently expressed to separate a plateau for  
537 multiply charged particles (e.g. Sullivan et al., 2009). The exception is the MA  
538 coating with  $vf = 0.4\%$ . For this case we compared a sigmoidal fitting both from the  
539 beginning (the first point) and from the multiply-charged plateau (the third point) to  
540 the “completely-activated plateau” (Figure S3, supplement). We yield  $SS_{crit} =$   
541  $0.887 \pm 0.005\%$  for fitting from the beginning and  $SS_{crit} = 0.900 \pm 0.013\%$  for fitting

542 from the multiply-charged plateau, a difference of 0.013%. The underestimate in  $SS_{crit}$   
543 is the largest (0.013%) when the MA mass is the smallest ( $vf = 0.4\%$ ) and the  
544 underestimate will be reduced with increasing  $vf$  of MA. At the largest two MA  $vf$  it  
545 can be neglected. We have to concede a systematic error in  $SS_{crit}$ , but it is distinctively  
546 less than 0.02%.

547 The  $\kappa$  values of the  $CaCO_3$  particles after coating with malonic acid at 30-80 °C were  
548 higher than the  $\kappa$  value of the uncoated  $CaCO_3$  particles ( $\kappa = 0.0028 \pm 0.0001$ ), and  
549 increased with the increasing coating MA mass per particle and increasing MA  $vf$ .  
550 The CCN activity of the  $CaCO_3$  particles increased monotonically after coating with  
551 increasing malonic acid mass. This result differs from that of oleic acid which is not  
552 surprising since malonic acid is easily soluble in water.

553 The  $\kappa$  value for the  $CaCO_3$  particles after coating with a mass of malonic acid as small  
554 as  $3.3 \cdot 10^{-12}$   $\mu g$  per particle and  $vf$  of MA of only 0.4% was  $0.0123 \pm 0.0005$  thus  
555 considerably larger than the  $\kappa$  value for the uncoated  $CaCO_3$  particles ( $\kappa = 0.0028 \pm$   
556  $0.0001$ ). This suggests already that a small amount of malonic acid can significantly  
557 enhance the CCN activity of  $CaCO_3$  particles. Such phenomenon, that traces of water  
558 soluble substances can strongly affect droplet activation has been reported before  
559 (Bilde and Svenningsson, 2004).

560 We applied Koehler theory to  $CaCO_3$  particles coated with malonic acid assuming  
561 that the malonic acid coating will fully dissolve in water when droplets form (see  
562 supplement eq. (S1-S3). Increasing MA solute decreases the activity of water in

563 solution, and lowers the critical supersaturation  $SS_{\text{crit}}$  for droplet activation.

564 The resulting Koehler curves, i.e. equilibrium supersaturation (SS) over the solution  
565 droplet as a function of the wet diameter  $D_w$ , are shown in Figure S2. Therein the  
566 maximum of each SS curve is the critical supersaturation (theory  $SS_{\text{crit}}$ ). In Table S1  
567 and Figure 7 we compare the  $SS_{\text{crit}}$  predicted by the Köhler approach (red) with the  
568 observed  $SS_{\text{crit}}$  (black). Koehler theory overpredicts  $SS_{\text{crit}}$  for thin coatings  
569 substantially, meaning it underestimates the hygroscopicity of the thinly coated  
570 particles. But with increasing coating Koehler theory approaches the observed  $SS_{\text{crit}}$   
571 and  $SS_{\text{crit}}$  for a particle of 121 nm diameter composed of pure malonic acid is the  
572 limiting case (red circle).

573 From the Koehler results we derived the water content of the particles at  $SS_{\text{crit}}$  and we  
574 calculated molality and mass fraction of the solute in the solution at the point of  
575 activation. The molality at minimum and maximum malonic acid load of  $3.3 \cdot 10^{-12}$   
576  $\mu\text{g}/\text{particle}$  and  $610 \cdot 10^{-12}$   $\mu\text{g}/\text{particle}$  were  $0.006 \text{ mol kg}^{-1}$  and  $0.0015 \text{ mol kg}^{-1}$ ,  
577 respectively. We used these values in the AIOMFAC model (Zuend et al. 2011) to  
578 calculate the deviation from ideality for the solution at point of activation for a flat  
579 solution. The both solutions are highly non-ideal with respect to the MA ( $a_x = 0.4$ ),  
580 wherein MA was treated as solute with reference state infinite dilution (mole fraction  
581  $x_{\text{solute}} \rightarrow 0$ ). However this did not affect much the activity coefficient of water, which  
582 is essentially 1, water treated as solvent with reference state pure liquid (mole fraction  
583  $x_{\text{water}} = 1$ ). Moreover, in this concentration range, the surface tension of aqueous

584 malonic acid solutions is about  $0.070 \text{ J m}^{-2}$ , thus the nearly same as for water (Table  
585 S2 in the supplement). One should expect that Koehler theory would predict SS quite  
586 well under such conditions.

587 To bring Köhler theory in agreement with the observation for the thinnest coating,  
588 *more* solute entities would be required. Thus, disagreement cannot be caused by an ill  
589 determined van't Hoff factor as we used already maximum  $\nu = 3$  and reducing  $\nu$  will  
590 increase the deviation. Note, that recent observations point to the importance of the  
591 surface effect by organic surface films over the solute effect for water soluble  
592 inorganics in presence of organics, including malonic acid (Ruehl et al., 2016). A  
593 lower surface tension will bring Koehler prediction and observation punctually in  
594 better agreement and still allow for smaller van't Hoff factors (Varga et al., 2007). As  
595 an example, a surface tension of 55% of  $\sigma_w$  and a van't Hoff factor of one will bring  
596  $SS_{\text{crit}}$  predicted by Koehler theory and observation in agreement for the thinnest  
597 coating. However, a surface tension 55% of  $\sigma_w$  will cause disagreement for the  
598 thickest coating, because the solute term gains in importance. Probably, the findings  
599 for the mixed solutions of malonic acid and water soluble ammonium sulfate are not  
600 directly transferable to our systems with insoluble inorganic core, where we expect  
601 dilute aqueous solutions of 0.006 mol/kg of malonic acid at the activation point. At  
602 such concentrations malonic acid does not reduce  $\sigma_w$ , moreover in the study of Ruehl  
603 et al. (2016) malonic acid was one of the more Koehler  $\kappa$  behaving organics.

604 In Figure 7 we show the prediction of  $SS_{\text{crit}}=1.52\%$  for activating  $\text{CaCO}_3$  by the

605 Kelvin/FHH theory with the  $\text{CaCO}_3$  parameters taken from Kumar et al. (2009).  $\text{SS}_{\text{crit}}$   
606 for our bare  $\text{CaCO}_3$  particles is 1.49% and the lower  $\text{SS}_{\text{crit}}$  should be due to a more  
607 adsorptive surface, e.g. the presence of  $\text{Ca}(\text{OH})(\text{HCO}_3)$  structures. According to  
608 classical Koehler theory the equivalent of  $8.5 \cdot 10^{-20}$  moles of dissolvable entities  
609 would be needed to explain a  $\kappa$  of 0.0028 and  $\text{SS}_{\text{crit}}$  of 1.49%, which is only about  $\frac{1}{4}$   
610 of the moles MA in the thinnest MA coating. Therefore, whatever makes our  $\text{CaCO}_3$   
611 particles wettable is not sufficient to explain the low  $\text{SS}_{\text{crit}}$  of 0.9 % at the thinnest MA  
612 coating in terms of Koehler theory.

613 We estimate monolayer coverage by MA at 2-3% vf; this would be achieved in  
614 between MA mass loads of  $13 \cdot 10^{-12}$  -  $38 \cdot 10^{-12}$   $\mu\text{g}$  per particle. Thus a sub-monolayer  
615 coating of  $3.3 \cdot 10^{-12}$   $\mu\text{g}$  MA per particle caused a drop of  $\text{SS}_{\text{crit}}$  from 1.49 to 0.9 and  
616 increased  $\kappa$  from 0.0028 to 0.012. Therefore we conclude that  $\text{CaCO}_3/\text{MA}$  coatings  
617 show a non-Koehler behavior at thin coatings, but approach Koehler behavior with  
618 increasing MA load.

619 This means there must be specific interactions between MA and the  $\text{CaCO}_3$  surface  
620 which eases water adsorption and CCN activation. We refer to the  $\text{Ca}(\text{OH})(\text{HCO}_3)$   
621 structures that likely exist on the particle surface. When  $\text{CaCO}_3$  particles are coated by  
622 malonic acid (or oleic acid) the hydrophilic sides can serve as polar surface active  
623 sites for accommodation of the acids. In case of MA there is no long hydrophobic  
624 organic chain, but a second carboxylic group which still could support the adsorption  
625 of water films.

626 In terms of Kelvin/FHH theory one could explain the observed low  $SS_{crit}$  for thin MA  
627 coatings by net stronger interaction with water (higher  $A_{FHH}$ ) and/or stronger  
628 interaction between the adsorbed water layers (lower  $B_{FHH}$ ) compared to bare  $CaCO_3$ .  
629 If coatings become thicker the Koehler solute effect starts increasingly to contribute  
630 and eventually controls the CCN activation. Our data are not sufficient to determine  
631  $A_{FHH}$  and  $B_{FHH}$ . (The only system in the literature which comes close - in a far sense -  
632 is CaOxalate Monohydrate, with  $A_{FHH} = 0.57$  and  $B_{FHH} = 0.88$  (Kumar et al., 2009).  
633 Plug in these FHH parameters will lead to  $SS_{crit} = 0.53\%$  commensurable with our  
634 observed value of 0.56% for  $13 \cdot 10^{-12}$   $\mu g$  MA coating which represents an organic  
635 volume fraction of 1.5%, thus is close to monolayer.)

636 The  $D_{crit}$  at different supersaturations ( $SS_{crit}$ ) for *poly-disperse*  $CaCO_3$  aerosol  
637 particles before and after coating with malonic acid are shown in Fig. 8. Our  
638 observation of  $\kappa = 0.25 \pm 0.04$  for pure malonic is consistent with the  $\kappa$  derived from  
639 the data of Kumar et al. (2003) ( $\kappa = 0.20-0.25$ ) and Prenni et al. (2001) ( $\kappa = 0.24$ ), but  
640 significantly lower than the  $\kappa$  derived from the data of Giebl et al. (2002) ( $\kappa =$   
641  $0.41-1.04$ ). The behavior of poly-disperse coated aerosol was similar to the result  
642 obtained from the monodisperse  $CaCO_3$  aerosol particles.

643 In Fig. 8 we added results for coating in presence of enhanced water vapor (1500 Pa)  
644 and aerosols generated by spraying mixtures of malonic acid and  $CaCO_3$ . At the  
645 coating temperature of 60 °C, when the RH increased from 0.7% to 7% and eventually  
646 to 47% at room temperature, the CCN activity of the coated  $CaCO_3$  particles

647 increased substantially (compare “dry” (green triangles) and “wet” (lilac triangles) in  
648 Fig. 8). The effect is more distinct than for the oleic acid coating shown in Fig. 5, and  
649  $\kappa$  increases by about an order of magnitude. At a wet conditions, the reaction between  
650  $\text{CaCO}_3$  and malonic acid maybe more efficient and formation of calcium malonate  
651 will reduce  $d_u$ , i.e. the diameter of the insoluble core and according to eq, (S1) this  
652 may be the reason for the higher CCN activity at the higher RH. The hypothesis of  
653 malonate formation is supported by the CCN activity of “calcium malonate” aerosols,  
654 generated by spraying solutions containing  $\text{CaCO}_3$  and malonic acid with molar ratios  
655 of about 1:1 and 3:1. Here the CCN activity is similar to that arising in the coating  
656 process in presence of water vapor. The change of the Ca/malonate ratio from 3:1 to  
657 1:1 had no large effects. But taking the data of pure malonic acid particles also into  
658 account there is a trend to lower  $\kappa$  with increasing Ca in the initial solution.

659 The increasing of residence time (by 23.7 s) had no significant impact on CCN  
660 activity for both oleic acid coating and malonic acid coating at both dry and enhanced  
661 water vapor conditions, probably because the coating process was already completed  
662 in the coating device and no further reactions occurred in the flow tube.

663 Our findings may be important for aging processes of mineral particles in the  
664 atmosphere. The dependence of CCN activity of the coated particles on RH during the  
665 coating process will help to enhance the increase of the CCN activity by the coating  
666 process as water will be abundant in many instances. The effect probably will be  
667 relatively small for oleic acid and similar organics, which are hardly water soluble,

668 but strong for malonic acid and similar organic acids, which are highly water soluble.

#### 669 **4 Conclusions**

670 The CCN activity of CaCO<sub>3</sub> particles with oleic acid and malonic acid coatings was  
671 investigated in this study. The results show that oleic acid coating and malonic acid  
672 coating have different impacts on the CCN activity of CaCO<sub>3</sub> particles. This can be  
673 attributed to the amphiphilic property of oleic acid in contrast to the high water  
674 solubility of malonic acid. Small amounts of oleic acid coating ( $vf \leq 4.3\%$ ) decreased  
675 the CCN activity of the CaCO<sub>3</sub> particles, while more oleic acid coating ( $vf \geq 16\%$ )  
676 increased it. This phenomenon was reported here for the first time, and attributed to  
677 stepwise passivating the active sites of CaCO<sub>3</sub> by oleic acid. Once all active sites are  
678 occupied we suggest the formation of a lipid like bilayer with the carboxylic groups  
679 facing outwards.

680 On the other hand, malonic acid coating (0.4-40%) increased the CCN activity of  
681 CaCO<sub>3</sub> particles regardless of the amount of the coating. The CCN activity of CaCO<sub>3</sub>  
682 particles with malonic acid coating increased with the amount of the coating. Even a  
683 small amount of malonic acid coating ( $vf = 0.4\%$ ) significantly enhanced the CCN  
684 activity of CaCO<sub>3</sub> particles from  $\kappa = 0.0028 \pm 0.0001$  to  $\kappa = 0.0123 \pm 0.0005$ .  
685 Increasing the relative humidity during the coating increased the CCN activity of the  
686 CaCO<sub>3</sub> particles with malonic acid coating, probably because more CaCO<sub>3</sub> reacted  
687 with malonic acid to soluble CaMalonate. This process will help to increase the CCN  
688 activity.



689 Although malonic acid is well soluble in water,  $SS_{crit}$  for MA coated particles was  
690 overpredicted by Köhler theory. Our results indicate that thin MA coatings provide a  
691 wettable particle surface, which favors adsorption of water. For thicker coatings the  
692 coated particles approached Köhler behavior, because of increasing importance of the  
693 solute effect.

694 Mineral aerosol is one of the most abundant components of the atmospheric aerosol,  
695 but its low water solubility limits its CCN activity. This study showed that  
696 water-soluble organic acid coating might significantly enhance the CCN activity of  
697 mineral aerosol particles. This could lead to mineral aerosol playing a more important  
698 role in cloud formation.

699

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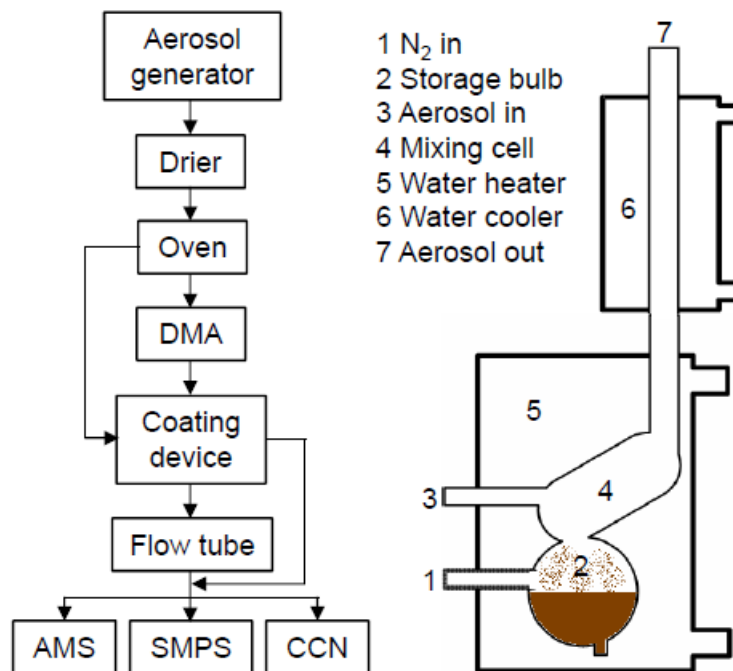
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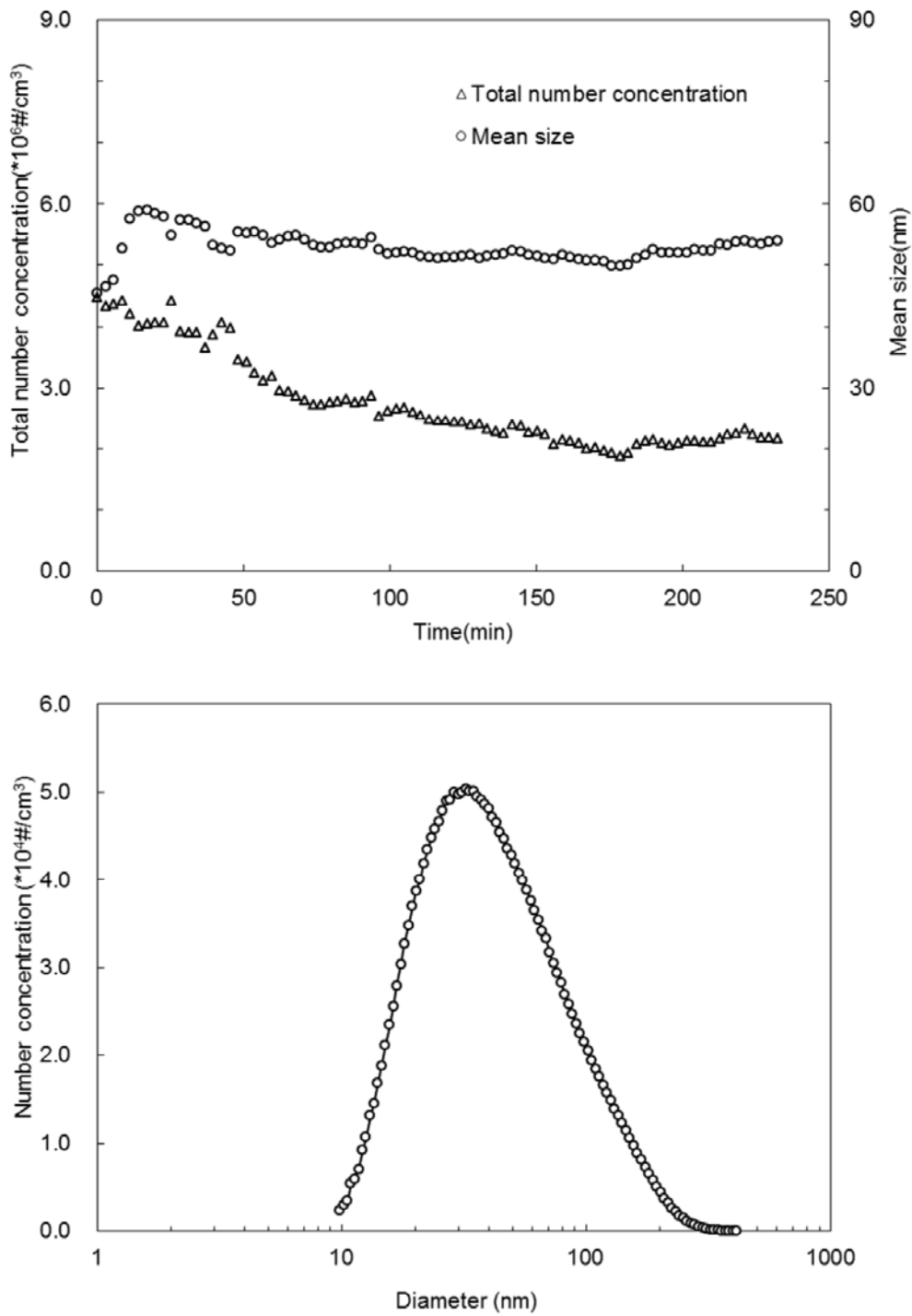
**Table 1.** Mode diameters, chemical compositions, and  $\kappa$  values of  $\text{CaCO}_3$  aerosol particles (size selected by DMA at 101.8 nm) before (uncoated) and after coating with oleic (OA) or malonic acid (MA) at 30-80 °C.

	$D_p$ [nm]	Organic mass per particle [ $10^{-12}$ $\mu\text{g}$ ]	Mole organics per particle [ $10^{-20}$ mole]	Org. volume fraction [%]	$\kappa$
<b>Oleic acid</b>					
Uncoated $\text{CaCO}_3$ 30-80 °C	101.9	(backgr. $m/z$ 41: 2.7 $\pm$ 0.9)	0	0.0	0.0028 $\pm$ 0.0001
$\text{CaCO}_3$ +Oleic acid 30 °C	102.1	3.7 $\pm$ 1.9	1.3	0.8	
$\text{CaCO}_3$ +Oleic acid 40 °C	102.5	7.0 $\pm$ 2.8	2.5	1.4	
$\text{CaCO}_3$ +Oleic acid 50 °C	103.7	14 $\pm$ 3.7	5.1	2.7	
$\text{CaCO}_3$ +Oleic acid 60 °C	104.9	23 $\pm$ 1.2	8.3	4.3	
$\text{CaCO}_3$ +Oleic acid 70 °C	109.2	96 $\pm$ 3.7	34	16	0.0241 $\pm$ 0.0006
$\text{CaCO}_3$ +Oleic acid 80 °C	123.7	390 $\pm$ 14	140	44	0.0649 $\pm$ 0.0008
<b>Malonic acid</b>					
Uncoated $\text{CaCO}_3$ 30-80 °C	101.9	(backgr. $m/z$ 42: 1.4 $\pm$ 0.4)	0	0.0	0.0028 $\pm$ 0.0001
$\text{CaCO}_3$ +Malonic acid 30 °C	102.0	3.3 $\pm$ 0.3	3.2	0.4	0.0123 $\pm$ 0.0005
$\text{CaCO}_3$ +Malonic acid 40 °C	102.1	6.8 $\pm$ 1.2	6.5	0.8	0.0231 $\pm$ 0.0008
$\text{CaCO}_3$ +Malonic acid 50 °C	102.2	13 $\pm$ 1.8	13	1.5	0.0380 $\pm$ 0.0012
$\text{CaCO}_3$ +Malonic acid 60 °C	102.7	38 $\pm$ 1.6	36	4.1	0.1063 $\pm$ 0.0023
$\text{CaCO}_3$ +Malonic acid 70 °C	107.8	160 $\pm$ 8.1	160	15	0.1907 $\pm$ 0.0031
$\text{CaCO}_3$ +Malonic acid 80 °C	121.0	610 $\pm$ 24	590	40	0.3126 $\pm$ 0.0062

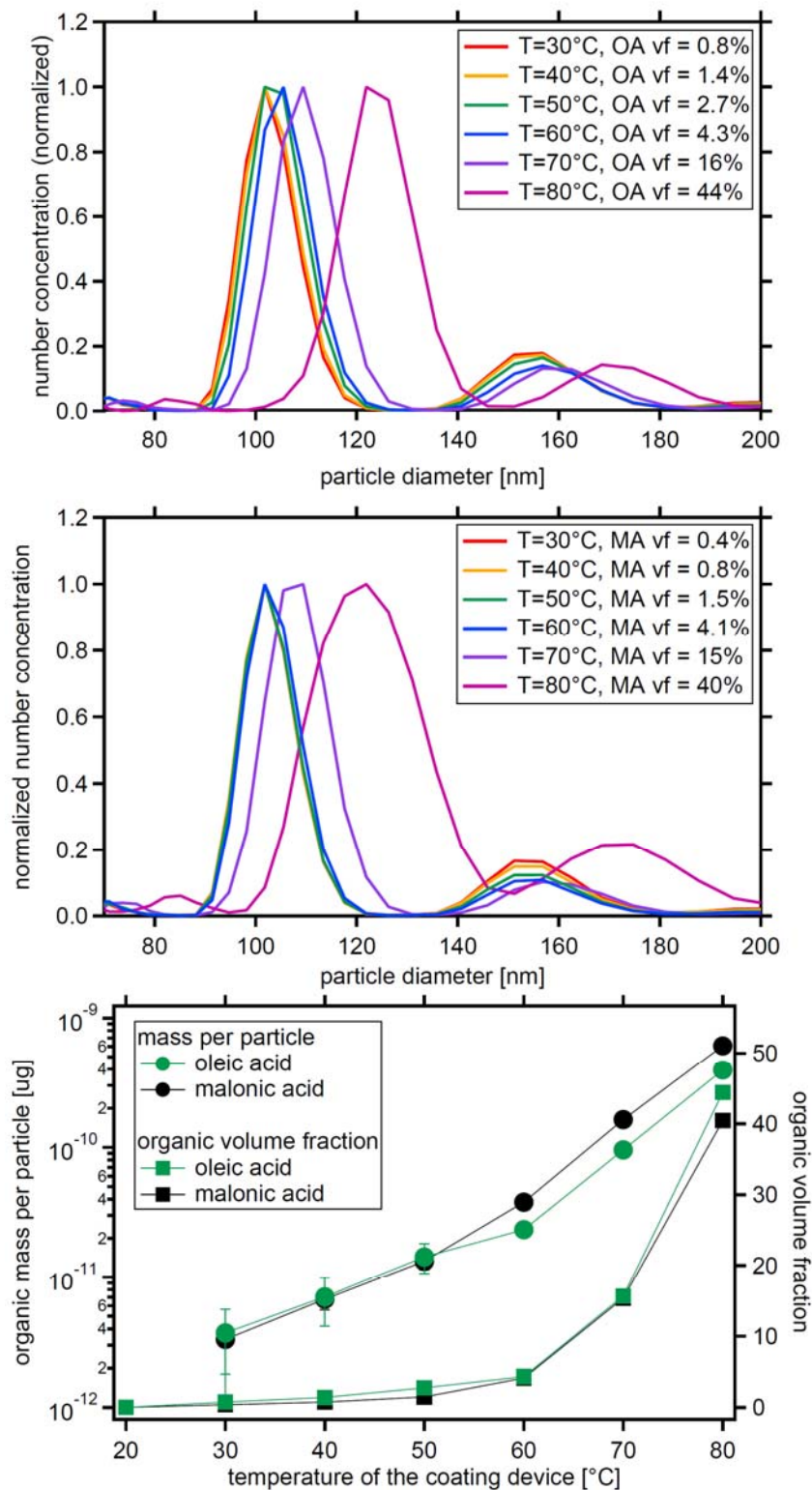




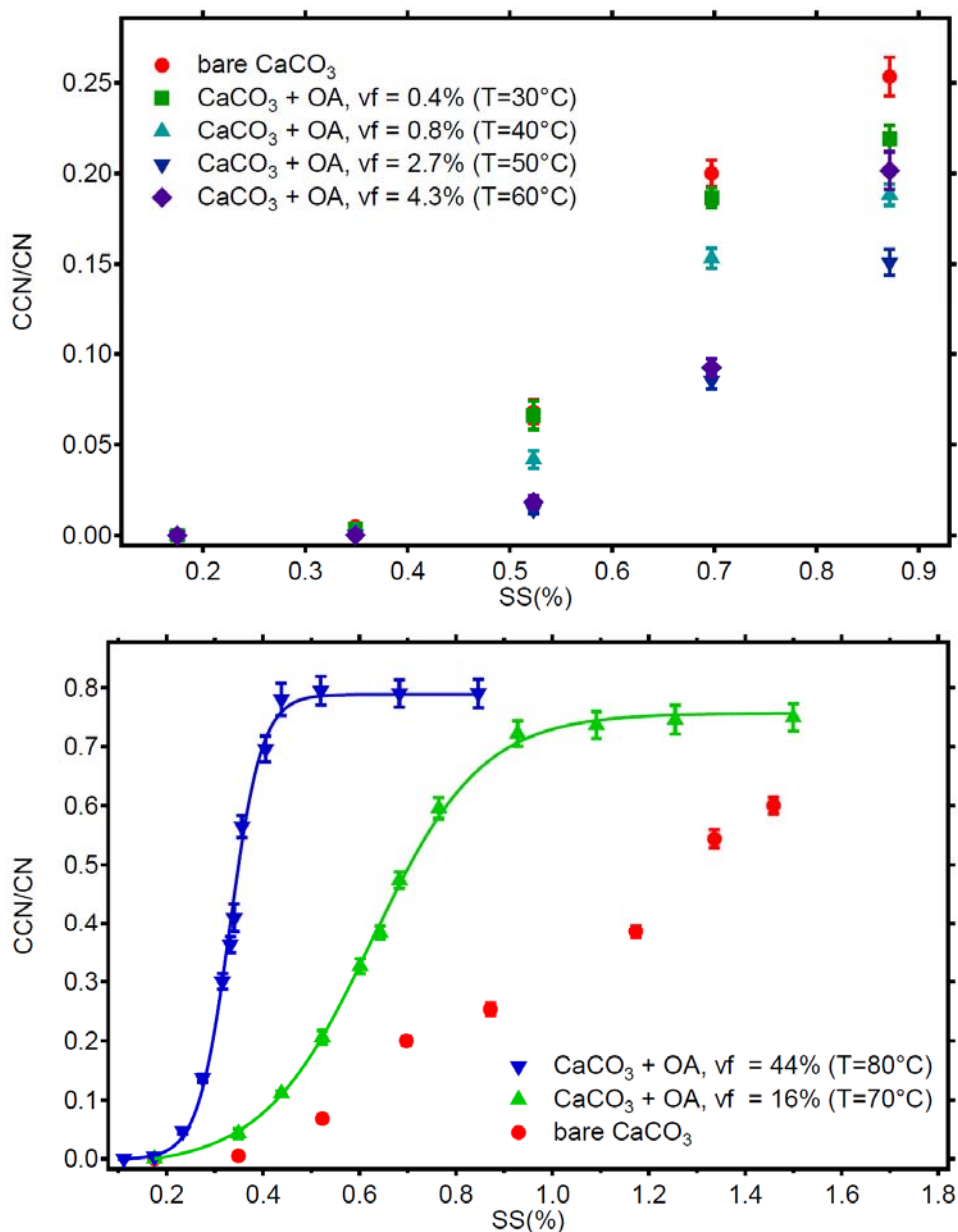
**Figure 1.** Schematics of the experimental set up (left side).  $\text{CaCO}_3$  aerosol is generated by spray-drying of saturated  $\text{Ca}(\text{HCO}_3)_2$  solutions and tempering the aerosol passing through an oven at  $300\text{ }^\circ\text{C}$ . The *poly-disperse*  $\text{CaCO}_3$  aerosol is either led directly to the coating device (right side, after Roselli, 2006) or led to a differential mobility analyzer (DMA) for size selection first. Optional, a flow tube can be switched into the pass to enhance the reaction time of the coated particles. The stream of coated particles is finally split to the analytical instruments, namely aerosol mass spectrometer (AMS), scanning mobility particle sizer (SMPS) and CCN counter.



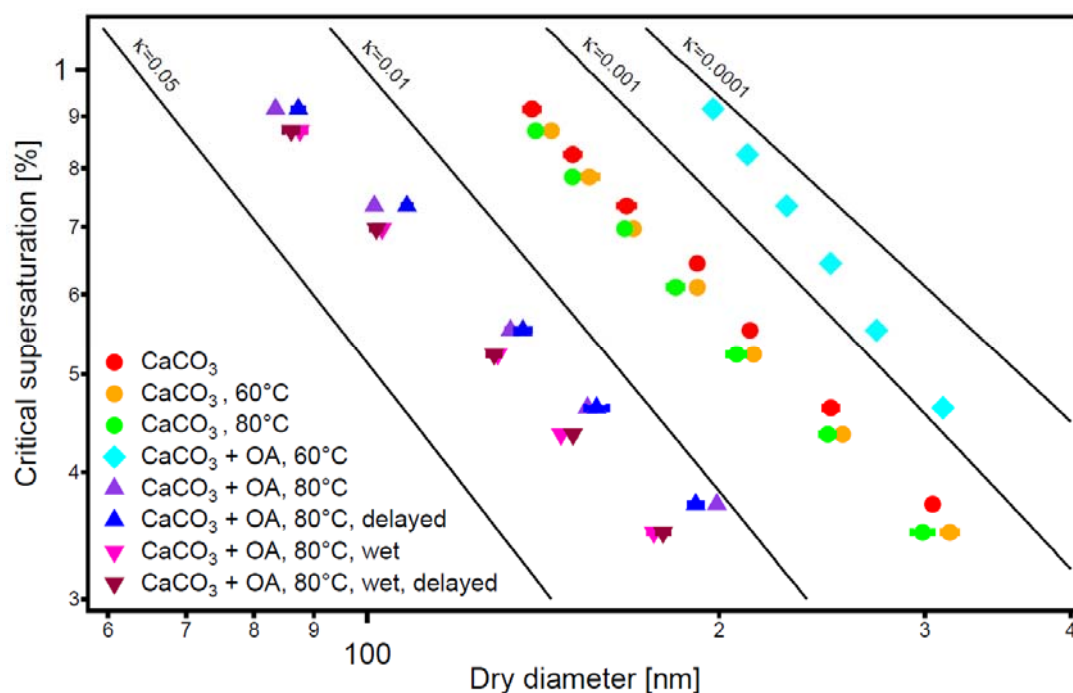
**Figure 2.** Total number concentration and mean diameter of CaCO<sub>3</sub> aerosol particles generated as a function of the spraying time (upper panel). Typical size distribution of the CaCO<sub>3</sub> aerosol after 70 min spraying (lower panel).



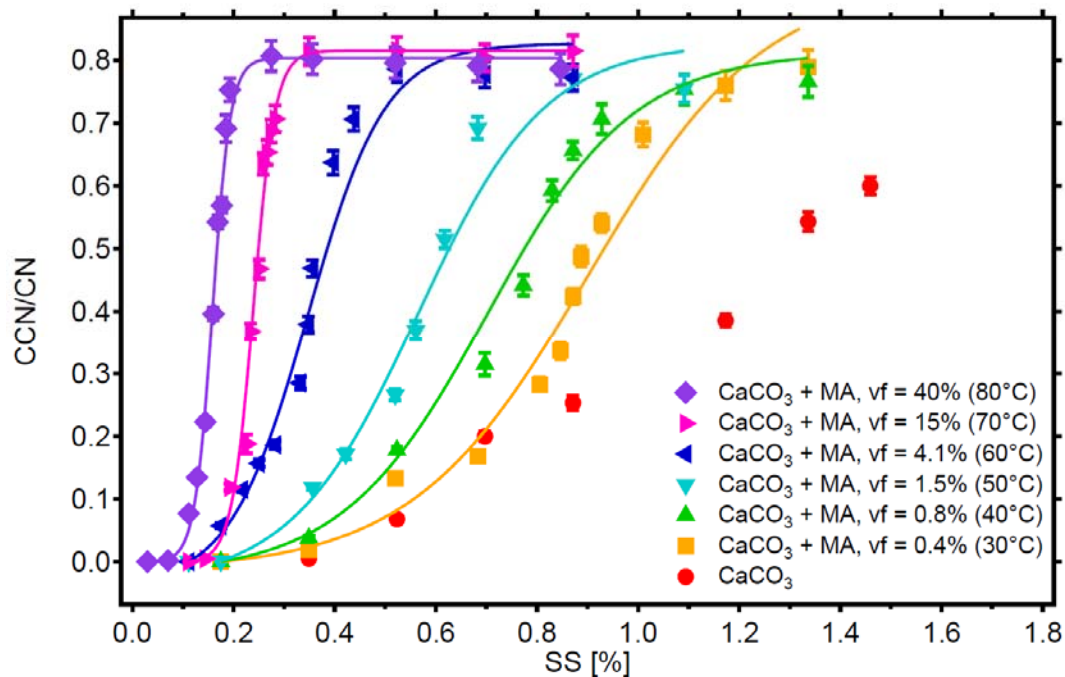
**Figure 3.** Size distribution of monodisperse  $\text{CaCO}_3$  aerosol particles after coating with oleic acid (top panel) or malonic acid (middle panel). Coating amount and organic volume fraction for oleic and malonic acid as a function of the coating temperature for the same experiments (bottom panel, data in Table 1).



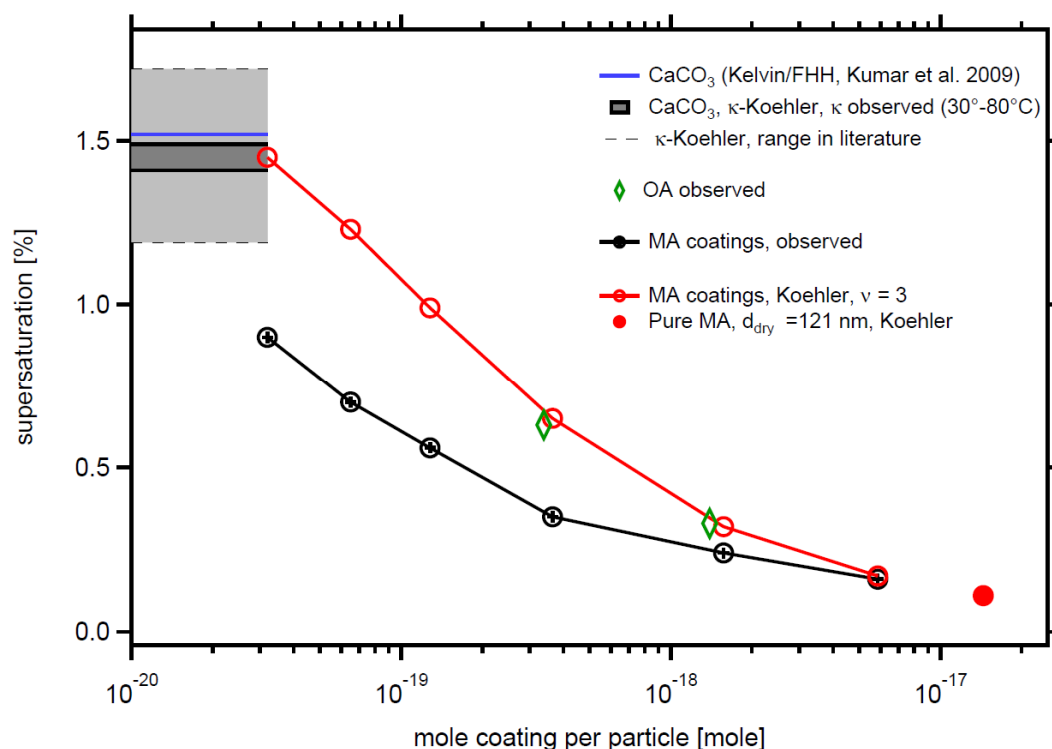
**Figure 4.** Activated fractions (CCN/CN) of *monodisperse* CaCO<sub>3</sub> aerosol particles (diameter  $d_u = 101.9$  nm) at different supersaturations before and after oleic acid coating. With increasing coating temperatures of 30-50 °C the activated fraction decreases despite the increase of organic vf from 0.8% - 2.7%. At vf = 4.3% at 60 °C this trend turns. Considering the increased particle diameter at 30-60 °C and the reduced activated fraction simultaneously, the CCN activity of the coated CaCO<sub>3</sub> particles at 30-60 °C was lower than that of the uncoated CaCO<sub>3</sub> particles (top panel). At coating vf of 16% and 44% (T= 70-80 °C) the activated fractions, thus CCN activities, are higher than for bare CaCO<sub>3</sub> and increase with coating vf. In these two cases all particles are activated at the highest SS and SS<sub>crit</sub> and  $\kappa$  can be determined from the turning point of the sigmoidal fit (bottom panel, compare Table 1).



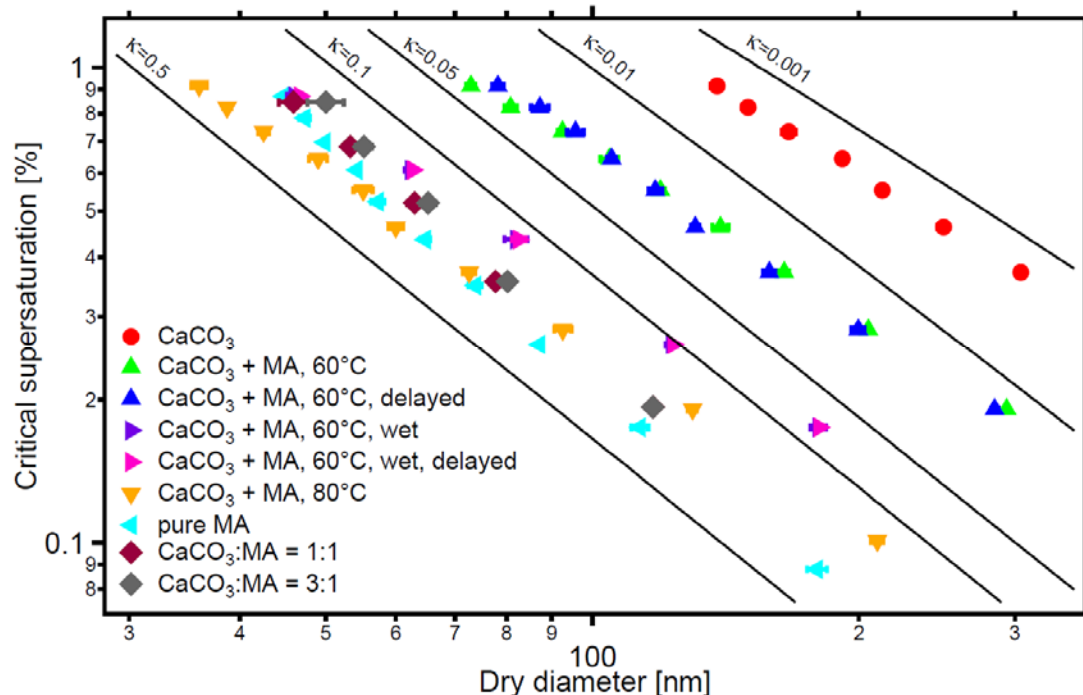
**Figure 5.** Critical dry diameters at different supersaturations (SS) of *poly-disperse* CaCO<sub>3</sub> aerosol before (circles) and after oleic acid coating. Experiments were performed at 60°C (turquoise diamond) and 80°C (triangles) coating temperatures. The flow tube experiments at 80° C were performed (indicated by ‘delayed’) at dry conditions (normal, blue triangles) and at enhanced water vapor (‘wet’, brown triangles). The effect of the temperature in the coating device on the CaCO<sub>3</sub> core is negligible (red, green, and orange circles). As for the monodisperse case in Figure 4, at 60° coating temperature the particles are less CCN active than bare CaCO<sub>3</sub> while at 80°C the coated particle more CCN active. The presence of water vapor (1500 Pa) in the coating process enhances CCN activity. The increasing of residence time (23.7 s) of the coated aerosol in the flow tube had no significant effect on CCN activity in both experiments.



**Figure 6.** Activated fractions ( $CCN/CN$ ) of *monodisperse*  $CaCO_3$  aerosol particles (with  $CaCO_3$  core,  $d_u = 101.9$  nm) at different supersaturations before (red circles) and after malonic acid coating. With increasing coating, i.e. MA volume fraction  $vf$  the activated fraction, thus CCN activity, increase compared to bare  $CaCO_3$  particles. All coated particles can be activated at sufficiently high SS and  $SS_{crit}$  and  $\kappa$  was determined (see Table 1).



**Figure 7.** Comparison of  $SS_{crit}$  predicted by Koehler theory with observations. Koehler theory for aqueous MA solutions assuming full dissociation ( $\nu=3$ ) overpredicts  $SS_{crit}$  (red circles) compared to the observation (black circles). With increasing coating amount Koehler theory approaches the observation, with the limiting  $SS_{crit}$  for 121.0 nm particles made of pure malonic acid. For comparison we show also observed  $SS_{crit}$  for the two thickest OA coatings (green diamonds). The horizontal lines indicate  $SS_{crit}$  of the bare  $CaCO_3$  particles as calculated from our observed  $\kappa$  (black) and predicted by Kelvin/FFH theory (blue). Light grey area between the thin dashed grey lines shows the range of  $SS_{crit}$  for 101.9 nm particles calculated from the range of  $\kappa$  in literature for wet generated  $CaCO_3$  particles (compare Tang et al. 2016).



**Figure 8.** Critical dry diameters at different supersaturations (SS) of *poly-disperse*  $\text{CaCO}_3$  aerosol before (circles) and after malonic acid coating (triangles). Experiments were performed at 60°C and 80°C coating temperatures. The results are similar to the monodisperse case in Figure 6. Critical dry diameters as a function of SS are also shown for malonic acid particles and particles that were generated by spraying mixed solution with molar ratios of  $\text{CaCO}_3$ /malonic acid of 1:1 and 3:1. The CCN activity decreases with increasing  $\text{CaCO}_3$  content. The flow tube experiments at 60 °C were performed (indicated by ‘delayed’) at dry condition (blue triangles) and in presence of 1500 Pa water vapor (magenta triangles). The presence of water in the coating process substantially enhanced  $\kappa$  and CCN activity. The increasing of residence time (23.7 s) of the coated aerosol in the flow tube had no significant effect on CCN activity in both experiments.