

1 **Cloud Condensation Nuclei Activity of CaCO<sub>3</sub> Particles**  
2 **with Oleic Acid and Malonic Acid Coatings**

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13

14 **Abstract.**

15 Condensation of carboxylic acids on mineral particles will lead to coatings, and  
16 impact on the particles' potential to act as cloud condensation nuclei (CCN). To  
17 determine how the CCN activity of mineral particles is impacted by carboxylic acid  
18 coatings, the CCN activity of CaCO<sub>3</sub> particles and CaCO<sub>3</sub> particles with oleic acid  
19 and malonic acid coatings were compared in this study. The results revealed that small

20 amounts of oleic acid coating (volume fraction (vf)  $\leq$  4.3%) decreased the CCN  
21 activity of CaCO<sub>3</sub> particles, while more oleic acid coating (vf  $\geq$  16%) increased the  
22 CCN activity of CaCO<sub>3</sub> particles. This phenomenon has not been reported before. On  
23 the other hand, the CCN activity of CaCO<sub>3</sub> particles coated with malonic acid  
24 increased with the thickness of the malonic acid coating (vf = 0.4 - 40%). Even  
25 smallest amounts of malonic acid coating (vf = 0.4%) significantly enhanced the CCN  
26 activity of CaCO<sub>3</sub> particles from  $\kappa = 0.0028 \pm 0.0001$  to  $\kappa = 0.0123 \pm 0.0005$ . This  
27 supports that a small amount of water-soluble organic acid coating may significantly  
28 enhance the CCN activity of mineral particles. The presence of water vapor during the  
29 coating process with malonic acid additionally increased the CCN activity of the  
30 coated CaCO<sub>3</sub> particles, probably because more CaCO<sub>3</sub> reacts with malonic acid if  
31 sufficient water is available.  
32

## 33 **1 Introduction**

34 Atmospheric aerosols serve as cloud condensation nuclei and change the radiative  
35 properties (cloud albedo effect) and lifetime (cloud lifetime effect) of clouds, thus  
36 affecting the Earth's climate indirectly (Liu and Wang, 2010; Gantt et al., 2012;  
37 Penner et al., 2004; Haywood and Boucher, 2000). Mineral aerosol is one of the most  
38 abundant components of the atmospheric aerosol. It is estimated that 1500-2600 Tg of  
39 mineral aerosol particles with radii between 0.1 and 8  $\mu\text{m}$  are emitted annually into  
40 the atmosphere on a global scale (Cakmur et al., 2006). Mineral aerosol particles are  
41 mainly composed of substances that are slightly soluble or insoluble in water. Cloud  
42 condensation nuclei (CCN) activity measurements show that the hygroscopicity  
43 parameter  $\kappa$  (Petters and Kreidenweis, 2007) varies between 0.001 and 0.08 for  
44 mineral aerosols, including  $\text{CaCO}_3$  aerosol, clay aerosols and mineral dust aerosols  
45 generated in the laboratory or sampled from various locations worldwide (Garimella  
46 et al., 2014; Yamashita et al., 2011; Zhao et al., 2010; Koehler et al., 2009; Sullivan et  
47 al., 2010; Herich et al., 2009). The low  $\kappa$  indicates that the CCN activity of mineral  
48 aerosol is much lower than the CCN activity of water soluble salts like  $(\text{NH}_4)_2\text{SO}_4$  ( $\kappa$   
49 = 0.61) and  $\text{NaCl}$  ( $\kappa = 1.28$ ), which are also common in atmospheric aerosols (Petters  
50 and Kreidenweis, 2007). Tang et al. (2016) reviewed recently the interaction of  
51 mineral dust particles with water.

52 Mineral aerosol particles can be coated by organic vapors during their residence and  
53 transport in the atmosphere. Many individual particle measurements have shown that  
54 mineral components and organic matter can coexist in the same individual aerosol

55 particle in the real atmosphere (Falkovich et al., 2004; Falkovich et al., 2001; Russell  
56 et al., 2002; Li and Shao, 2010). Carboxylic acids ( $R(C=O)OH$ ) are abundant species  
57 among the organic matter that coexists with mineral particles. Russell et al. (2002)  
58 found that carbonyls ( $R(C=O)R$ ), alkanes, and  $R(C=O)OH$  are present in individual  
59 mineral (and sea salt) aerosol particles, with enhanced concentration of  $R(C=O)OH$ .  
60 They also found that  $Ca^{2+}$ ,  $CO_3^{2-}$ ,  $R(C=O)OH$  and  $R(C=O)R$  coexisted in some  
61 individual mineral aerosol particles with a strong correlation between  $CO_3^{2-}$  and  
62  $R(C=O)OH$ . These particles could be formed by  $CaCO_3$  particles (partly) coated with  
63 organic film. Falkovich et al. (2004) also found that organic and inorganic  
64 components coexisted in individual mineral aerosol particles with the organic  
65 component consisting of various short-chain ( $C_1$ - $C_{10}$ ) mono- and dicarboxylic acids  
66 (MCA and DCA). The concentration of short-chain carboxylic acids in mineral  
67 aerosol particles increased with the increase of the ambient relative humidity. A  
68 possible explanation for such observations could be that when more water is  
69 condensed onto mineral particles at higher ambient relative humidity, the adsorbed  
70 carboxylic acids are ionized in the aqueous environment and react with mineral  
71 particles forming organic acid salts. Of the major components of mineral aerosol  
72 particles (clay, calcite ( $CaCO_3$ ), quartz, mica, feldspar, etc.), only  $CaCO_3$  with  
73 alkaline character can react with carboxylic acids in this way. Thus  $CaCO_3$  may play a  
74 key role in the uptake of carboxylic acids by mineral aerosol particles.

75 Carboxylic acid coatings on mineral aerosol particles change their chemical  
76 composition and thus may have an impact on their CCN activity. Many previous  
77 studies have investigated the CCN activity of pure mineral aerosol (Garimella et al.,  
78 2014; Yamashita et al., 2011; Zhao et al., 2010; Koehler et al., 2009; Sullivan et al.,  
79 2010; Herich et al., 2009) and pure carboxylic acid aerosol (Kumar et al., 2003; Hori

80 et al., 2003; Cruz and Pandis, 1997; Hartz et al., 2006), but only a few studies have  
81 investigated the CCN activity of mineral aerosol particles with carboxylic acid  
82 coatings (Tang et al., 2015; Hatch et al., 2008; Gierlus et al., 2012).

83 In this study we used malonic acid and oleic acid as coating materials and  $\text{CaCO}_3$   
84 particles as cores, and investigated the CCN activity of the coated  $\text{CaCO}_3$  particles.  
85 Herein we varied the coating thickness and the relative humidity during the coating  
86 process. Malonic acid is a representative of the class of dicarboxylic acids and oleic  
87 acid is an example of surfactant like compounds. Dicarboxylic acids are ubiquitous in  
88 the atmosphere (Kawamura et al., 1996; Kawamura and Ikushima, 1993; Ho et al.,  
89 2007; Mkoma and Kawamura, 2013; Kawamura and Bikkina, 2016) and formed by  
90 photochemical reactions and ozonolysis (Chebbi and Carlier 1996; Kawamura and  
91 Bikkina, 2016; Kawamura et al., 1996; Khare et al. 1999; Mellouki et al., 2015). It has  
92 been reported that dicarboxylic acids ( $\text{C}_2\text{-C}_{10}$ ) account for 0.06-1.1% of the total  
93 aerosol mass, with higher values in the summer, and 1.8% of the total aerosol carbon  
94 (TC) in urban aerosol, in which oxalic acid, malonic acid, and succinic acid are the  
95 most abundant species (Kawamura et al., 1996; Kawamura and Ikushima, 1993; Ho et  
96 al., 2007; Mkoma and Kawamura, 2013). Oleic acid, which is emitted into the  
97 atmosphere by the cooking of meat, wood burning, and automobile source (Schauer et  
98 al., 1999; Rogge et al., 1998; Rogge et al., 1993), is present in atmospheric aerosols of  
99 urban, rural, and forest areas (Cheng et al., 2004; Ho et al., 2010). The water  
100 solubility of the two organic acids is complementary; it is high for malonic acid while  
101 it is very low for oleic acid. Coatings of malonic acid and oleic acid could thus have  
102 different effects on CCN activity of mineral particles.

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104

## 105 **2 Experimental**

106 As general procedure, CaCO<sub>3</sub> aerosol was generated according to Zhao et al. (2010),  
107 and then poly- or monodisperse CaCO<sub>3</sub> aerosol particles were coated by malonic or  
108 oleic acid in a coating device. A flow tube was optionally applied to extend the  
109 residence time. The particle size, chemical composition, and CCN activity of the  
110 CaCO<sub>3</sub> particles were measured before and after coating. Figure 1 shows the  
111 schematic of the experimental set up.

### 112 **2.1 Generation of CaCO<sub>3</sub> aerosol**

113 CaCO<sub>3</sub> aerosol was generated by spraying a saturated Ca(HCO<sub>3</sub>)<sub>2</sub> solution. A sample  
114 of CaCO<sub>3</sub> powder (2 g, pro analysis, ≥99%, Merck, Darmstadt, Germany) was  
115 suspended in 1-L Milli-Q water (18.2 MΩcm, TOC <5 ppb). Then about 1.5 L min<sup>-1</sup>  
116 CO<sub>2</sub> (purity ≥99.995%, Praxair Industriegase GmbH & Co. KG, Magdeburg,  
117 Germany) was bubbled into the suspension at room temperature for 3 h, while the  
118 suspension was stirred using a magnetic stirrer. During bubbling, CO<sub>2</sub> reacted with  
119 CaCO<sub>3</sub> to produce Ca(HCO<sub>3</sub>)<sub>2</sub>. After bubbling, the suspension was allowed to settle  
120 for 10 min, the supernatant clear Ca(HCO<sub>3</sub>)<sub>2</sub> solution was decanted and used for  
121 spraying by a constant output atomizer (Model 3076, TSI, Shoreview, MN, USA)  
122 using 1.75 L min<sup>-1</sup> high-purity N<sub>2</sub> (Linde LiPur 6.0, purity 99.9999%, Linde AG,  
123 Munich, Germany).

124

125 The major portion ( $0.9 \text{ L min}^{-1}$ ) of the aerosol flow generated by spraying was dried  
126 in a diffusion drier filled with silica gel. The relative humidity was below 10% after  
127 drying. The remainder of the aerosol flow was drawn off by a pump and discarded.  
128 The dry aerosol was passed through a tube furnace (Model RS 120/1000/12,  
129 Nabertherm GmbH, Lilienthal, Germany) set at  $300 \text{ }^\circ\text{C}$ . The residence time of the  
130 aerosol in the furnace was 5.2 s. Zhao et al. (2010) described this method for  
131 generating  $\text{CaCO}_3$  aerosol in detail. At room temperature dry  $\text{Ca}(\text{HCO}_3)_2$  is  
132 thermodynamically unstable and decays into  $\text{CaCO}_3$ ,  $\text{CO}_2$ , and  $\text{H}_2\text{O}$  (Keiser and  
133 Leavitt, 1908). With this method the aerosol still contained some  $\text{Ca}(\text{HCO}_3)_2$  after  
134 drying, but after heating at  $300 \text{ }^\circ\text{C}$  it was completely converted into  $\text{CaCO}_3$  (Zhao et  
135 al., 2010). The  $\text{CaCO}_3$  aerosol generated was either first size selected by a Differential  
136 Mobility Analyzer (DMA, TSI 3081) with a neutralizer on the inlet or entered the  
137 coating device directly as poly-disperse aerosol.

138 Figure 2 (upper panel) shows the total number concentration and mean size of the  
139 bare  $\text{CaCO}_3$  aerosol particles generated at different spraying time, which were  
140 measured with the SMPS described below. The mean size (mode diameter) stabilized  
141 after about 50 min in the range 49.8-55.5 nm. Over the 232 min spraying time, the  
142 total number concentration varied in the range  $1.8 \times 10^6$ – $4.5 \times 10^6 \text{ cm}^{-3}$ . The total  
143 number concentration decreased by about 1/3 in the initial 70 min. The decrease  
144 became slower after 70 min and the total number concentration tended to stabilize  
145 after 155 min. After 70 min the total number concentration varied in a smaller range  
146 of  $1.8 \times 10^6$ – $2.9 \times 10^6 \text{ cm}^{-3}$ , therefore, the measurements in this study typically started

147 after 70 min spraying. The typical size distribution of the CaCO<sub>3</sub> aerosol particles  
148 after 70 min spraying is shown in Fig. 2 (lower panel). The CaCO<sub>3</sub> particles showed a  
149 single mode distribution with a mode diameter at 32.2 nm. The number concentration  
150 was more than 100 cm<sup>-3</sup> for particles between 9.82 and 346 nm.

## 151 **2.2 Organic acid coating**

152 The coating device (Fig. 1, right hand side) used in this study was designed by Roselli  
153 (2006), and showed good reproducibility, controllability, and stability. The glass  
154 apparatus consisted of a small storage bulb (100 ml) holding the organic coating  
155 substances which was directly connected to a mixing cell (about 35 ml). The storage  
156 bulb and mixing cell were fully immersed in a flow-through water heater connected to  
157 a thermostatic bath (F25, Julabo GmbH, Seelbach, Germany). The temperature range  
158 of the thermostatic bath used in this study was 30-80 °C. An extra N<sub>2</sub> stream could be  
159 passed through the storage bulb in order to enhance the organic vapors flowing into  
160 the mixing cell. The outflow of the coating device was connected to a Liebig type  
161 water cooler. The water cooler was controlled by another thermostatic bath (F25,  
162 Julabo GmbH, Seelbach, Germany) operated at 25 °C throughout all of the  
163 experiments.

164 The bottom of the storage bulb was filled with either 5.0 g malonic acid powder  
165 (assay ≥98%(T), Fluka Chemika, Sigma-Aldrich, St Louis, MO, USA) or 10.0 ml  
166 oleic acid (chemical purity (GC) 99.5%, Alfa Aesar, Ward Hill, MA, USA). A flow of  
167 0.9 L min<sup>-1</sup> high purity N<sub>2</sub> was used to carry the organic acid vapor up into the mixing  
168 cell. The flow of 0.9 L min<sup>-1</sup> CaCO<sub>3</sub> aerosol was passed through the mixing cell and  
169 mixed with the 0.9 L min<sup>-1</sup> N<sub>2</sub> flow carrying the organic acid vapor. The mixed flow



170 then entered the water cooler. The organic acid vapor was condensed on CaCO<sub>3</sub>  
171 aerosol particles in both the mixing cell and the water cooler. The residence time of  
172 the aerosol in the coating device including the cooler was about 6 seconds Three  
173 identical coating devices, with the same heating and cooling thermostatic bath, were  
174 used: one for malonic acid coating, one for oleic acid coating, and a blank one without  
175 organic acid for assessing the impact caused by heating the CaCO<sub>3</sub> aerosol in the  
176 coating device without organic acid (Roselli, 2006).

177 The aerosol could enter the measuring instruments directly, or after passing through a  
178 flow tube to increase its residence time. The flow tube was made of a straight circular  
179 glass tube with a 2.5 cm internal diameter. The aerosol flow in the flow tube was  
180 laminar flow. The residence time of the aerosol in the flow tube was 23.7 s.

181 For the coating process we mixed flows of 0.9 L min<sup>-1</sup> of dry N<sub>2</sub> and of aerosol dried  
182 to <10% relative humidity (RH) at room temperature (RT). As a consequence RH at  
183 the outlet of the coating device was <5% at RT. To investigate the impact of water on  
184 the coating process and CCN activity, organic coating at a higher relative humidity  
185 was also performed. For that a bubbling device filled with Milli-Q water was utilized  
186 to saturate the N<sub>2</sub> stream with water vapor before it entered the storage bulb (RH>90%  
187 at RT). After mixing with the aerosol stream at RH ≈10%, the water concentration in  
188 the mixing cell corresponded to RH ≈ 50% at RT or a partial pressure of ≈1500 Pa.  
189 The relative humidity of the aerosol at the outlet of the coating device at RT was  
190 indeed ~47% when humidification was applied. For the partial water vapor pressure  
191 of 1500 Pa we calculated RH >7% at 60°C (for MA), and RH >3% at 80°C (for OA)  
192 which is about an order of magnitude higher than RH in the dry cases. In fact RH will  
193 be somewhat higher as the gas-phase may not reach the bath temperature which

194 primarily serves to warm up the coating agent and control its vapor pressure.

### 195 **2.3 Size and chemical composition measurements**

196 The number size distribution of the aerosol particles was measured using a Scanning  
197 Mobility Particle Sizer (SMPS, TSI 3080 Electrostatic Classifier with TSI 3081 DMA,  
198 TSI 3786 UWCPC). The sample flow was set to  $0.6 \text{ L min}^{-1}$  and the sheath flow was  
199 set to  $6.0 \text{ L min}^{-1}$ . The size range measured was 9.82-414.2 nm with a size resolution  
200 of 64 channels per decade and the time resolution was 3 min for a complete scan.  
201 Despite the maximum resolution of the SMPS the size bin width was still substantial  
202 compared to the observed growth by coating. We therefore derived the diameter of the  
203 coated (and the respective bare  $\text{CaCO}_3$  particles) by interpolating in between the size  
204 bins. For that we considered 5-9 size bins around the size bin of nominal mode and  
205 fitted a lognormal distribution to these data. The fitted mode positions are listed in  
206 Table 1. The error bars in x direction in Figure S1 in the supplement, show the shifts  
207 of the fitted mode position relative to the nominal size bin.

208 The chemical composition and the vacuum aerodynamic diameter of the aerosol  
209 particles were measured using a High-Resolution Time-of-Flight Aerosol Mass  
210 Spectrometer (HR-ToF-AMS, Aerodyne Research Inc., Billerica, MA, USA (DeCarlo  
211 et al., 2006)). The aerosol particles were vaporized at  $600 \text{ }^\circ\text{C}$  and ionized by electron  
212 impact ionization at 70 eV, i.e. we focused on the measurements of the organic  
213 coatings and sacrificed a direct  $\text{CaCO}_3$  determination by AMS (compare Zhao et al.  
214 2010). The AMS was routinely operated in V-mode in two alternating modes: 1 min  
215 MS mode to measure the chemical composition and 2 min PToF mode. Only MS  
216 mode data were analyzed. AMS measurements and SMPS measurements were  
217 synchronous and both were repeated at least four times for each sample. Size

218 information for bare CaCO<sub>3</sub> was taken from SMPS data in the blank coating device.

219 We used specific marker  $m/z$  to derive the amount of organic coating. For pure oleic  
220 acid the signal at  $m/z41$  (C<sub>3</sub>H<sub>5</sub><sup>+</sup>) was reported to be the strongest signal in the mass  
221 spectrum measured by Q-AMS at EI energy of 70 eV and vaporizer temperature of  
222 600 °C (Sage et al., 2009). The signal at  $m/z41$  was also strongest for oleic acid  
223 coatings in our HR mass spectra. In order to get a high signal to noise ratio we choose  
224 the signal at  $m/z41$  in the MS mode of the AMS measurement as a marker for oleic  
225 acid in the coated CaCO<sub>3</sub> particles. There was no significant signal at  $m/z41$  for the  
226 uncoated CaCO<sub>3</sub> particles. The average background signal at  $m/z41$  per single aerosol  
227 particle corresponded to  $2.4 \pm 0.79 \cdot 10^{-12}$  µg for bare CaCO<sub>3</sub>. The average value  
228 presented the baseline of the mass spectra and the standard deviation was derived  
229 from the noise of the mass spectra at  $m/z41$ . Similarly, the signal at  $m/z42$  (C<sub>2</sub>H<sub>2</sub>O<sup>+</sup>)  
230 was one of the strongest signals in the mass spectrum of pure malonic particles  
231 measured by Q-AMS with EI energy of 70 eV and vaporizer temperature of 600 °C  
232 (Takegawa et al., 2007). That signal was also observed for malonic acid coatings in  
233 our HR mass spectra and used as marker for malonic acid coatings. The average  
234 background signal per aerosol particle at  $m/z42$  for bare CaCO<sub>3</sub> particles was  
235  $1.4 \pm 0.42 \cdot 10^{-12}$  µg. The average value represented the baseline of the mass spectra at  
236  $m/z42$  and the standard deviation was derived from the noise in the mass spectra.

237 The coating amount for both organic compounds was derived as follows. The  
238 observed signal at the respective marker  $m/z$  was corrected for the background signal  
239 from bare CaCO<sub>3</sub> and then scaled to the volume increase (per particle) calculated  
240 from the shift of the particle diameter  $D_p$  for the largest coating amount achieved at 80  
241 °C coating temperature. Because of the relative large bin width compared to the

242 growth by coating we used the  $D_p$ 's, interpolated between the nominal size bins of the  
243 SMPS (see above). This assumed spherical core shell morphology, based on Zhao et  
244 al. (2011) where we showed that the  $\text{CaCO}_3$  particles generated by our spray drying  
245 method are spherical. The relation between AMS derived organic mass (baseline  
246 corrected marker signals at  $m/z41$  or  $m/z42$  per particle) and SMPS derived organic  
247 mass ( $\pi/6 \cdot (D_p)^3 / \rho_{\text{org}}$ ) is linear within the limits of the method (see Figure S1 in the  
248 supplement). For discussion we will refer to the AMS results, as we are able to detect  
249 amounts of organic coatings as small as few time  $10^{-12}$  ug per particle with the AMS,  
250 while these could be not be detected by the SPMS.

## 251 **2.4 CCN activity measurement**

252 The aerosol was dried to RH <3% by another diffusion drier before the CCN activity  
253 was measured. To determine the CCN activity of the aerosol, the number  
254 concentration of the cloud condensation nuclei (CCN) of the aerosol was measured  
255 with a continuous flow CCN counter (CCNC, DMT-100, Droplet Measurement  
256 Technologies, Boulder, CO, USA). The total number concentration (CN) of the  
257 aerosol particles was synchronously measured using an ultrafine water-based  
258 condensation particle counter (UWCPC, TSI 3786, cf. Zhao et al., 2010). The ratio of  
259 CCN to CN (CCN/CN) is called the activated fraction ( $a_f$ ). In cases where  
260 poly-disperse aerosol was coated, the coated aerosol particles were size selected by  
261 scanning a DMA between 10.6 and 478.3 nm , and the CCN and CN concentrations  
262 were determined for each size bin while the super-saturation (SS) kept constant  
263 (known as 'Scanning Mobility CCN Analysis (SMCA)', Moore et al., 2010). The  
264 activated fraction was calculated after the CCN and CN concentrations were corrected  
265 for the multiple charged particles.

266 The activated fraction as a function of the particle size was fitted with a cumulative  
267 Gaussian distribution function (Rose et al., 2008). The turning point of the function is  
268 the critical dry diameter ( $D_{\text{crit}}$  or  $D_{50}$ ) at the set SS. The activation efficiency (i.e., the  
269 activated fraction when aerosol particles are completely activated) was 83% for the  
270 CCN instrument, determined using 150 nm  $(\text{NH}_4)_2\text{SO}_4$  particles at  $\text{SS}=0.85\%$ .  
271 Besides  $\text{CaCO}_3$  and coated  $\text{CaCO}_3$  particles, the CCN activity of malonic acid  
272 particles, oleic acid particles, and mixed particles of  $\text{CaCO}_3$  and malonic acid was  
273 also measured. The oleic acid particles were generated by heating 10.0 ml oleic acid  
274 to 97 °C in the storage bulb and then cooling the vapor to 2 °C in the water cooler in a  
275 clean coating device.  $1.75 \text{ L min}^{-1}$  high-purity  $\text{N}_2$  was used as carrying gas and went  
276 into the storage bulb through '1  $\text{N}_2$  in' entrance in Fig. 1; the '3 Aerosol in' entrance in  
277 Fig. 1 was closed. This way, pure oleic acid particles with diameters up to 333 nm  
278 were generated. Mixed  $\text{CaCO}_3$ /malonic acid particles were generated by spraying the  
279 supernatant clear solutions which were prepared by settling suspensions containing  
280  $\text{CaCO}_3$  and malonic acid in molar ratios of about 1:1 and 3:1. The suspensions were  
281 prepared with 0.020 g malonic acid and 0.021 g  $\text{CaCO}_3$  and 0.025 g malonic acid and  
282 0.076 g  $\text{CaCO}_3$  in 1000 ml Milli-Q water, respectively. The suspensions were allowed  
283 to stand for 24h.

284 For aerosols where monodisperse aerosol particles with a dry diameter  $D_p$  were  
285 coated, the CCN concentration was measured at different SS and the CN  
286 concentration was measured synchronously. Similarly, the activated fraction as a  
287 function of SS was fitted with a sigmoidal function. The turning point of the function  
288 is the critical supersaturation ( $\text{SS}_{\text{crit}}$ ) and the corresponding to the dry diameter  $D_p$  is  
289 also called the critical diameter,  $D_{\text{crit}}$ . The hygroscopicity parameter  $\kappa$  (Petters and  
290 Kreidenweis, 2007) was then calculated from the  $D_p(D_{\text{crit}})\text{-SS}_{\text{crit}}$  or  $\text{SS}(\text{SS}_{\text{crit}})\text{-}D_{\text{crit}}$  data

291 set. The SS settings of the CCN counter were calibrated weekly using  $(\text{NH}_4)_2\text{SO}_4$   
292 aerosol based on the theoretic values in the literature (summarized by Rose et al.,  
293 2008).

## 294 **3 Results and discussion**

### 295 **3.1 CCN activity of $\text{CaCO}_3$ aerosol**

296 Before the coating experiments we determined the CCN activity of the bare  $\text{CaCO}_3$   
297 aerosol particles. It was measured by the scanning method (SMCA) using  
298 poly-disperse  $\text{CaCO}_3$  aerosol particles. The value of the hygroscopicity parameter  $\kappa$  of  
299 the  $\text{CaCO}_3$  aerosol was  $0.0028 \pm 0.0001$  derived by the least-square-fitting of  $D_{\text{crit}}$  as a  
300 function of SS ( $\text{SS}_{\text{crit}}$ ). This  $\kappa$  value is quite small, indicating that the CCN activity of  
301 the  $\text{CaCO}_3$  aerosol is low. Our  $\kappa$  is well within the range of  $\kappa$ 's of  $0.0011 \pm 0.0004$  to  
302  $0.0070 \pm 0.0017$  found in previous studies for wet generated  $\text{CaCO}_3$  particles (Zhao et  
303 al., 2010; Sullivan et al., 2009; Gierlus et al., 2012, Tang et al., 2016), but larger than  
304  $\kappa$  for dry generated  $\text{CaCO}_3$  aerosols (0.008-0.0018, Sullivan et al., 2009).

305 The CCN activity for  $\text{CaCO}_3$  aerosol passed through the blank coating device and  
306 exposed to temperatures of 60 °C and 80 °C was determined using the same method.  
307 The  $\kappa$  value remained  $0.0028 \pm 0.0001$  up to 60 °C and increased to  $0.0036 \pm 0.0001$   
308 at 80 °C. The increased  $\kappa$  value of 0.0008 at 80 °C was lower than the differences of  
309 reported  $\kappa$  values for  $\text{CaCO}_3$  aerosol in various studies, and much lower than the  
310 changes of  $\kappa$  values measured in this study when the  $\text{CaCO}_3$  aerosol particles were  
311 coated by malonic or oleic acid. So the effect of heating the  $\text{CaCO}_3$  aerosol during the

312 coating process on the CCN activity of the CaCO<sub>3</sub> aerosol was neglected. The D<sub>crit</sub> at  
 313 different supersaturations (SS<sub>crit</sub>) for the CaCO<sub>3</sub> aerosol and for the CaCO<sub>3</sub> aerosol  
 314 passed through a blank coating device at heating temperatures of 60 °C and 80 °C are  
 315 shown in Fig. 5 (red, yellow and green circles).

316 As the solubility of CaCO<sub>3</sub> in water is very low, droplet activation of CaCO<sub>3</sub> (and  
 317 other mineral dust components) is often described by a water adsorption approach,  
 318 wherein the solute term B in the Köhler equation (Köhler 1936, Seinfeld and Pandis,  
 319 2006, see eq. (S1-S3) in the supplement) is replaced by a water adsorption term. The  
 320 equations (1) and (2) show application of the Frenkel Halsey Hill adsorption isotherm  
 321 (FHH) as proposed by Sorjamaa and Laaksonen (2007) and Kumar et al. (2009):

$$322 \quad B = -A_{\text{FHH}} \cdot \theta^{-B_{\text{FHH}}} \quad (1)$$

323 Therein the water coverage  $\theta$  by (Sorjamaa and Laaksonen, 2007) is given as:

$$324 \quad \theta = \frac{D_w - d_u}{2 \cdot 2.75 \cdot 10^{-4}} \quad [\text{um}] \quad (2)$$

325 and D<sub>w</sub> and d<sub>u</sub> are the diameter of the wet particles and the insoluble core. We applied  
 326 the FHH parameter for CaCO<sub>3</sub> (AFHH=0.25 and BFHH=1.19, Kumar et al. 2009) and  
 327 derived a critical supersaturation of 1.52% for CaCO<sub>3</sub> particles with d<sub>u</sub> = 101.9 nm  
 328 (Figure 7, blue line). In comparison  $\kappa$ -Köhler theory predicts SS<sub>crit</sub>=1.49% for  
 329  $\kappa=0.0028$ . Such an SS<sub>crit</sub>=1.49% would also be achieved by  $8.5 \cdot 10^{-20}$  mole solute per  
 330 particle (Figure 7, black line). Figure 7 also shows the SS<sub>crit</sub> for the bare CaCO<sub>3</sub>  
 331 particles processed at 80°C coating temperature and the range of SS<sub>crit</sub> for 101.9nm

332 particles calculated from the range of  $\kappa$ 's given in the literature (Tang et al., 2016 and  
333 references therein) for wet generated CaCO<sub>3</sub> particles.

334 We conclude that the surface of our CaCO<sub>3</sub> particles is a little more wettable than the  
335 dry generated particles studied by Kumar et al. (2009). We presume formation of  
336 Ca(OH)(HCO<sub>3</sub>) structures on the surface during the spray-drying generation process  
337 as commonly observed whenever the CaCO<sub>3</sub> surface has been exposed to gaseous  
338 water or liquid water (Stipp, 1999; Stipp and Hochella, 1991; Neagle and Rochester,  
339 1990). In case of soluble components causing the lower SS<sub>crit</sub> their amount must be of  
340 the order of  $1 \cdot 10^{-19}$  mole per particles.

### 341 **3.2 CCN activity of CaCO<sub>3</sub> particles with oleic acid coating**

342 For the coating with oleic acid, we selected monodisperse CaCO<sub>3</sub> aerosol particles of  
343 101.8 nm diameter using the DMA, and measured the size and chemical composition  
344 of the particles before (uncoated) and after (coated) coating with oleic acid. The  
345 results are listed in the upper part of Table 1.

346 The mode diameters of number size distribution for the uncoated CaCO<sub>3</sub> particles at  
347 30-80 °C remained in the 101.8 nm size bin, identical to that selected by the DMA.  
348 Interpolation in between the size bins as described in the experimental section led to  
349 an average dry diameters of bare CaCO<sub>3</sub> of  $d_u = 101.9$  nm. The mode diameters of the  
350 CaCO<sub>3</sub> particles after coating with oleic acid in the range of 30-50 °C stayed in the  
351 pre-selected size bin at 101.8 nm, which means that the layers were too thin to



352 effectively grow the particles to the next size bin; the mode diameters increased  
353 distinctively in the temperature range of 60-80 °C (Fig. 3, upper panel). However, the  
354 bin-interpolated diameters  $D_p$  which are shown in Table 1 increased monotonically  
355 over the whole temperature range.

356 The values of  $m/z41$  [ $\mu\text{g}$  per particle] originating from the oleic acid coating for the  
357 coated  $\text{CaCO}_3$  particles at 30-80 °C were at all temperatures significantly larger than  
358 for the bare  $\text{CaCO}_3$  particles, and increased with the increasing coating temperature  
359 ( $3.7 \cdot 10^{-12}$  -  $390 \cdot 10^{-12}$   $\mu\text{g}$  per particle, compare Table 1 and Fig. 3, bottom panel, red  
360 circles). The AMS detected increase at  $m/z41$  showed that the  $\text{CaCO}_3$  particles already  
361 contained small amounts of oleic acid after coating with oleic acid at temperatures  
362 below 60 °C although the  $D_p$  shifted less than a size bin.

363 The organic volume fraction (vf) in the aerosol particles,  $V_{\text{OA}}/V_{\text{par}}$  [%], was calculated.  
364 Herein  $V_{\text{par}} = (V_{\text{OA}} + V_{\text{CaCO}_3})$ ,  $V_{\text{OA}}$  is the oleic acid volume derived by AMS and  
365  $V_{\text{CaCO}_3}$  the volume of the bare  $\text{CaCO}_3$  before coating (101.9 nm).  $V_{\text{OA}}/V_{\text{par}}$  for the  
366 uncoated  $\text{CaCO}_3$  particles is by definition zero. The vf for the coated  $\text{CaCO}_3$  particles  
367 at 30-80 °C increased with the increase in the coating temperature from 0.8% at 30 °C  
368 to 44% at 80 °C (Fig. 3, bottom panel, red crosses and Table 1). The  $\text{CaCO}_3$  particles  
369 were indeed coated with a significant amount of oleic acid and the amount of oleic  
370 acid coating increased with the increase in the coating temperature. The experiments  
371 were repeated at least four times. The according standard deviations for the oleic acid  
372 mass per particle in Table 1 demonstrate that the reproducibility of the experiments

373 was good and the performance of the coating device was stable.

374 The activated fractions at different SS for monodisperse CaCO<sub>3</sub> particles with d<sub>u</sub> =  
375 101.9 nm before and after oleic acid coating at 30-80 °C are shown in Fig. 4. The top  
376 panel in Fig. 4 shows the results at 30-60 °C with up to  $23 \pm 1.2 \cdot 10^{-12}$  ug of coating  
377 material deposited on the CaCO<sub>3</sub> particles (vf = 4.3%). At the lowest SS of 0.17% and  
378 0.35%, the activated fractions were very low and independent of the presence of the  
379 coating material within the errors. When the SS increased to 0.52%, 0.70%, and  
380 0.87%, the activated fractions for the coated CaCO<sub>3</sub> particles were *lower* than those  
381 for the uncoated particles. Notably the activated fractions for the coated CaCO<sub>3</sub>  
382 particles *decreased* with the increase in the coating material in the range of vf  
383 0.8-2.7%. The activated fractions for the CaCO<sub>3</sub> particles with different amounts of  
384 coating spread with larger SS applied. However this trend reversed at the coating  
385 temperature of 60 °C and an oleic acid vf of 4.3%, and the activated fractions at vf =  
386 4.3% became higher than those at 2.7% at the three largest SS. In summary, we found  
387 that the CCN activity of the coated CaCO<sub>3</sub> particles with vf of OA in a range 0.8-4.3%  
388 was lower than that of the uncoated CaCO<sub>3</sub> particles. The CCN activity of the coated  
389 CaCO<sub>3</sub> particles decreased with the increasing vf in between 0.8-2.7%, i.e. the CCN  
390 activity became lower when more coating material deposited on the CaCO<sub>3</sub> particles.  
391 This trend turned somewhere at a vf somewhere between 2.7 and 4.3%. As the D<sub>p</sub> also  
392 increased at 60 °C we cannot differentiate if the increase in the activated fractions is  
393 due to increasing size or because of increasing wettability.

394 The activated fractions of CaCO<sub>3</sub> particles after coating with oleic acid with vf of 16%  
395 and 44% (coating temperatures of 70 and 80 °C , respectively) were considerably  
396 higher than that before coating, as shown in Fig. 4 (bottom panel). The increased  
397 activated fractions resulted from both the increase in particle size (Fig. 3) and the  
398 increase of the OA volume fraction of particles. At vf of 16% and 44%, the activated  
399 fractions of the CaCO<sub>3</sub> particles after coating increased with the increase of SS and  
400 reached complete activation. (Note, because the activation efficiency is 83%, the  
401 activated fractions appear at values less than 100% at the points of full activation.)  
402 For vf of 16% and 44% SS<sub>crit</sub> was determined by fitting a sigmoidal function to the  
403 activated fraction as a function of SS. The particle dry diameter D<sub>P</sub> which is D<sub>crit</sub> in  
404 these cases is given as in Table 1. The hygroscopicity parameter κ was determined  
405 from D<sub>P</sub> (D<sub>crit</sub>) and the corresponding SS<sub>crit</sub>. The κ values of the CaCO<sub>3</sub> particles  
406 coated with vf of oleic acid of 16% and 44% were 0.0237 ± 0.0006 and 0.0673 ±  
407 0.0016, respectively. The respective κ values for the CaCO<sub>3</sub> particles with a diameter  
408 of 101.9 nm without coating and after coating with oleic acid at 30-60 °C (oleic acid  
409 vf ≤4.1%) could not be determined by this method because these particles could not  
410 be fully activated at the highest SS reachable by the CCN counter. Therefore we give  
411 as upper limit κ = 0.0028 ± 0.0001 for the uncoated CaCO<sub>3</sub> particles determined by  
412 scanning the size of the poly-disperse CaCO<sub>3</sub> aerosol particles as described above (see  
413 Fig. 5).

414 So we conclude that for vf of oleic acid of 0.8-2.7% the CCN activity of CaCO<sub>3</sub>  
415 particles after coating is lower than that of uncoated CaCO<sub>3</sub> particles and decreases

416 with the fraction of oleic acid. The trend turns at a vf between 2.7 and 4.3%. CCN  
417 activity was higher than that of the bare CaCO<sub>3</sub> particles at vf of oleic acid of 16%  
418 (70°C) and 44% (80°C) with CCN activity  $\kappa = 0.0237 \pm 0.0006$  and  $\kappa = 0.0673 \pm$   
419 0.0016), respectively. The enhanced and reduced CCN activity of CaCO<sub>3</sub> particles  
420 coated with oleic acid at 80 °C and 60 °C, respectively, was also evident from the  
421 CCN activity measurement using *poly-disperse* aerosols (Fig. 5).

422 A possible explanation for our observation can be based on the amphiphilic character  
423 of oleic acid, namely that one end of the oleic acid molecule is hydrophobic (the  
424 hydrocarbon chain), while the other is hydrophilic (the carboxyl group).

425 We refer to Ca(OH)(HCO<sub>3</sub>) structures at the surface which offer polar surface sites to  
426 bind the hydrophilic ends (the carboxyl groups) of the oleic acid molecules. The  
427 hydrophobic ends of oleic acid molecules (the hydrocarbon chains) are then exposed  
428 on the particle surface hence increase the hydrophobicity of the particle surface. Such  
429 a formation of a hydrophobic layer should be occurring until all polar sites are  
430 occupied or monolayer coverage - maybe in form of a self-assembled layer - is  
431 reached. This can hinder the uptake of water. Activation of CaCO<sub>3</sub> particles can be  
432 described by the Kelvin term and a water absorption term, e.g. Frenkel Halsey Hill  
433 isotherm (Sorjamaa and Laaksonen, 2007, Kumar et al. 2009). In terms of  
434 Kelvin/FHH theory the hydrophobic OA coating will lower  $A_{FHH}$  and/or likely  
435 increase  $B_{FHH}$ . (The formation of a monolayer of OA on black carbon particles with  
436 the polar groups pointing outwards was postulated by Dalirian et al. (2017), which

437 lead to increased activation of the black carbon particles. Thus, they observed a  
438 similar effect of layer formation, but with switched polarity.)

439 Garland et al. (2008) suggested that OA at sub-monolayer coverage form  
440 self-associated islands rather than uniformly covering the surfaces, and OA molecules  
441 are oriented vertically, with polar heads facing to the surface. This is in support of our  
442 working hypothesis: the formation of a hydrophobic surface film. We conclude that  
443 all hygroscopic sites on the  $\text{CaCO}_3$  surface are covered at OA vf somewhere between  
444 2.7% and 4.3%, as here the trend turns and droplet activation starts to increase again.  
445 This would place the monolayer coverage above 3%, organic volume fraction.  
446 According to the measurements and calculations of the length of oleic acid molecule,  
447 the thickness of oleic acid sub-monolayer on solid surfaces, and the thickness of  
448 deuterated oleic acid monolayers at the air-water interface (Garland et al., 2008; King  
449 et al., 2009; Iwahashi et al., 2000), we estimate 2.3 nm as the likely thickness of oleic  
450 acid monolayer on  $\text{CaCO}_3$  particles, accordingly a monolayer would be achieved at  
451 about 12-13% organic volume fraction. As a consequence the re-increase of  
452 hygroscopicity starts at sub-monolayer coverage and we propose that a fraction of  
453 oleic acid binds to already adsorbed oleic acid tail by tail such that carboxylic groups  
454 are facing outwards.

455 For  $\text{CaCO}_3$  particles coated with more than an OA monolayer (vf = 16% and 44% at  
456 70 and 80 °C coating temperatures), OA in the first layer should still combine with the  
457  $\text{CaCO}_3$  surface, the heads pointing downwards. We suppose that now a portion of the

458 carboxyl groups of the oleic acid molecules, which are not in the first layer, will be  
459 exposed to the particle surface, in analogy to the formation of lipid bilayers, e.g. in  
460 cells, though the structure of this part of oleic acid is not known. The particle surface  
461 then becomes more hydrophilic.

462 When carboxylic groups of OA are exposed at the surface, the interaction of water  
463 with the OA layer becomes stronger, and the surface becomes wettable. In terms of  
464 the Kelvin/FHH approach, the surface water interaction becomes stronger and  $A_{\text{FHH}}$   
465 increases and likely also the interaction between the higher water layers ( $B_{\text{FHH}}$   
466 decreases). From this point of view water adsorption by the “OA bilayer” should  
467 become similar to thin malonic acid layers (compare next section). In addition, when  
468 droplets form, oleic acid will transfer to the surface of the droplets and lower the  
469 surface tension of the solution (the surface tension of oleic acid is  $0.033 \text{ J m}^{-2}$ , which  
470 is much lower than that of pure water of  $0.072 \text{ J m}^{-2}$ ). Thus, the activation of OA  
471 coated particles is probably a complex interaction between formation of a specific  
472 hydrophobic layers and more hydrophilic multilayers, surface tension effects and for  
473 the largest coating amounts, simple size effects. As shown in Figure 7,  $\text{SS}_{\text{crit}}$  for OA is  
474 lower than for thin malonic acid coatings, probably because of the surface tension  
475 effect, but higher than for thick MA coatings, because of the missing solute effect.

476 The CCN activity of all oleic coated particles is higher than the CCN activity of pure  
477 oleic acid. Our CCN activity measurement showed that pure oleic acid particles up to  
478 333 nm did not activate at 0.87% SS; this sets an upper limit for CCN activity of oleic

479 acid particles ( $\kappa < 0.0005$ ), in agreement with Kumar et al. (2003) and Broekhuizen et  
480 al. (2004). In liquid state oleic acid (OA) forms micelle like structures, the hydrophilic  
481 ends (the carboxyl groups) of oleic acid molecules tend to combine together by  
482 hydrogen bonds and the hydrophobic tails (the hydrocarbon chains) are exposed at the  
483 outside (Iwahashi et al., 2000; Garland et al., 2008). The arrangement of oleic acid  
484 molecules in pure oleic acid particles should be similar. Hydrophobic tails facing  
485 outwards can explain the hydrophobicity of the particle surface and the hindrance of  
486 the uptake of water, making the CCN activity of pure oleic acid particles very low.  
487 For sub-monolayer coatings of OA of  $v_f$  0.8 - 2.7% the CCN activity seem to  
488 approach that of pure OA. However, the arrangement of oleic acid molecules in these  
489 thin coatings will be influenced by the  $\text{CaCO}_3$  core with its polar, hydrophilic sides  
490 differing from pure oleic acid particles and can thus be less hydrophobic

491 Even at the largest coating with an organic volume fraction of 44%, the coating  
492 thickness is about 10 nm, which corresponds to about only 4 monolayers of oleic acid  
493 (assuming the thickness of oleic acid monolayer on  $\text{CaCO}_3$  particles is about 2.3 nm).  
494 And the arrangement of oleic acid molecules will still be likely influenced by the  
495  $\text{CaCO}_3$  core. Water can probably adsorb at the carboxylic groups facing outward  
496 (“bilayer” type structure) and diffuse through the thin oleic acid coatings. It may form  
497 an adsorbed water phase near the  $\text{CaCO}_3$  surface. This could push the oleic acid out to  
498 act as surfactant which lowers the Kelvin term. Such processes should also happen in  
499 pure oleic acid particles. Because of the presence of  $\text{CaCO}_3$  core the SS to achieve  
500 this is lower than for pure OA.

501 The phenomenon described above is reported for the first time in the studies on the  
502 CCN activity of multicomponent aerosols. This phenomenon also shows a limitation  
503 of the otherwise very useful mixing rule (Petters and Kreidenweis, 2007) for  
504 multicomponent aerosols with specific morphologies.

505 In Fig. 5 we additionally show the influence of water vapor on CCN activity of  
506  $\text{CaCO}_3$  particles coated with oleic acid for the highest coating temperature (80 °C)  
507 and thus largest oleic acid amount. Herein we determined  $D_{\text{crit}}$  at different  
508 super-saturations ( $SS_{\text{crit}}$ ) for *poly-disperse*  $\text{CaCO}_3$  aerosol particles (by SMCA). The  
509 experiments were performed at RH 0.3% and at RH 3% at the coating temperature of  
510 80 °C on cooling to room temperature the RH increased to 47%. The presence of  
511 more water vapor (1500 Pa) in the coating process increased  $\kappa$  somewhat and  
512 enhanced the CCN activity. This is of importance since often RH will larger than 0.3%  
513 if coating appears in the atmosphere. This will be discussed further in context of  
514 malonic acid coatings at enhanced water vapor.

### 515 **3.3 CCN activity of $\text{CaCO}_3$ particles with malonic acid coating**

516 For the study with malonic acid coatings, the  $\text{CaCO}_3$  particles were also size selected  
517 with a diameter of 101.8 nm. The size  $D_p$  and chemical composition of  $\text{CaCO}_3$  aerosol  
518 particles are listed in Table 1 before and after coating with malonic acid (MA) at  
519 temperatures in a range of 30-80 °C. The mode diameter did not shift after coating in a  
520 temperature range of 30-60 °C, but it increased for coatings at 70 and 80 °C with  
521 increasing coating temperature. The size bin interpolated particle diameter  $D_p$  of the



522 MA coated particles increased monotonically with the coating temperature. The  
523 average of the interpolated diameter of bare CaCO<sub>3</sub> particles in the temperature range  
524 30°C-80°C was  $d_u = 101.9$  nm.

525 Values of the malonic acid marker  $m/z42$  per particle were significantly larger for  
526 CaCO<sub>3</sub> particles after coating at 30-80 °C and the MA mass increased from  $3.3 \cdot 10^{-12}$   
527 to  $610 \cdot 10^{-12}$  ug per particle with the coating temperature (Table 1, Figure 3, bottom  
528 panel). The organic volume fraction  $vf$  of malonic acid ( $V_{MA}/(V_{MA}+V_{CaCO_3})[\%]$ ) was  
529 calculated as in the case of the oleic acid and ranged from 0.4 to 40%. As for oleic  
530 acid the malonic acid experiments were repeated at least four times and the  
531 reproducibility and stability were good (see standard deviations in Table 1).

532 The activated fractions at different SS for 101.9 nm CaCO<sub>3</sub> particles before and after  
533 coating with malonic acid at 30-80 °C are shown in Fig. 6.  $SS_{crit}$  was determined by  
534 fitting a sigmoidal function to the data and the  $\kappa$  value was calculated from the  
535  $D_p(D_{crit})$  and the corresponding  $SS_{crit}$ . The results are listed in Table 1. In this  
536 procedure we had to neglect the contribution of double charged particles as the step in  
537 the CN/CCN vs SS data in Fig.6 is not sufficiently expressed to separate a plateau for  
538 multiply charged particles (e.g. Sullivan et al. 2009). The exception is the MA coating  
539 with  $vf = 0.04\%$ . For this case we compared a sigmoidal fitting both from the  
540 beginning (the first point) and from the multiply-charged plateau (the third point) to  
541 the “completely-activated plateau” (Figure S3, supplement). We yield  $SS_{crit} =$   
542  $0.887 \pm 0.005\%$  for fitting from the beginning and  $SS_{crit} = 0.900 \pm 0.013\%$  for fitting

543 from the multiply-charged plateau, a difference of 0.013%. The underestimate in  $SS_{crit}$   
544 is the largest (0.013%) when the MA mass is the smallest ( $vf = 0.04\%$ ) and the  
545 underestimate will be reduced with increasing  $vf$  of MA. At the largest two MA  $vf$  it  
546 can be neglected. We have to concede a systematic error in  $SS_{crit}$ , but it is distinctively  
547 less than 0.02%

548 The  $\kappa$  values of the  $CaCO_3$  particles after coating with malonic acid at 30-80 °C were  
549 higher than the  $\kappa$  value of the uncoated  $CaCO_3$  particles ( $\kappa = 0.0028 \pm 0.0001$ ), and  
550 increased with the increasing coating MA mass per particle and increasing MA  $vf$ .  
551 The CCN activity of the  $CaCO_3$  particles increased monotonically after coating with  
552 increasing malonic acid mass. This result differs from that of oleic acid which is not  
553 surprising since malonic acid is easily soluble in water.

554 The  $\kappa$  value for the  $CaCO_3$  particles after coating with a mass of malonic acid as small  
555 as  $3.3 \cdot 10^{-12}$  ug per particle and  $vf$  of MA of only 0.4% was  $0.0123 \pm 0.0005$  thus  
556 considerably larger than the  $\kappa$  value for the uncoated  $CaCO_3$  particles ( $\kappa = 0.0028 \pm$   
557  $0.0001$ ). This suggests that already a small amount of malonic acid can significantly  
558 enhance the CCN activity of  $CaCO_3$  particles. Such phenomenon, that traces of water  
559 soluble substances can strongly affect droplet activation has been reported before  
560 (Bilde and Svenningsson, 2004).

561 We applied Koehler theory to  $CaCO_3$  particles coated with malonic acid assuming  
562 that the malonic acid coating will fully dissolve in water when droplets form (see  
563 supplement eq. (S1-S3). Increasing MA solute decreases the activity of water in

564 solution, and lowers the critical supersaturation  $SS_{\text{crit}}$  for droplet activation.

565 The resulting Koehler curves, i.e. equilibrium supersaturation (SS) over the solution  
566 droplet as a function of the wet diameter  $D_w$ , are shown in Figure S2. Therein the  
567 maximum of each SS curve is the critical supersaturation (theory  $SS_{\text{crit}}$ ). In Table S1  
568 and Figure 7 we compare the  $SS_{\text{crit}}$  predicted by the Köhler approach (red) with the  
569 observed  $SS_{\text{crit}}$  (black). Koehler theory overpredicts  $SS_{\text{crit}}$  for thin coatings  
570 substantially, meaning it underestimates the hygroscopicity of the thinly coated  
571 particles. But with increasing coating Koehler theory approaches the observed  $SS_{\text{crit}}$   
572 and  $SS_{\text{crit}}$  for a particle of 121 nm diameter composed of pure malonic acid is the  
573 limiting case (red circle).

574 From the Koehler results we derived the water content of the particles at  $SS_{\text{crit}}$  and we  
575 calculated molality and mass fraction of the solute in the solution at the point of  
576 activation. The molality at minimum and maximum malonic acid load of  $3.3 \cdot 10^{-12}$   
577  $\mu\text{g}/\text{particle}$  and  $610 \cdot 10^{-12}$   $\mu\text{g}/\text{particle}$  were  $0.006 \text{ mol kg}^{-1}$  and  $0.0015 \text{ mol kg}^{-1}$ ,  
578 respectively. We used these values in the AIOMFAC model (Zuend et al. 2011) to  
579 calculate the deviation from ideality for the solution at point of activation for a flat  
580 solution. The both solutions are highly non-ideal with respect to the MA ( $a_x = 0.4$ ),  
581 wherein MA was treated as solute with reference state infinite dilution (mole fraction  
582  $x_{\text{solute}} \rightarrow 0$ ). However this did not affect much the activity coefficient of water, which  
583 is essentially 1, water treated as solvent with reference state pure liquid (mole fraction  
584  $x_{\text{water}} = 1$ ). Moreover, in this concentration range, the surface tension of aqueous

585 malonic acid solutions is about  $0.070 \text{ J m}^{-2}$ , thus the nearly same as for water (Table  
586 S2 in the supplement). One should expect that Koehler theory would predict SS quite  
587 well under such conditions.

588 To bring Köhler theory in agreement with the observation for the thinnest coating,  
589 *more* solute entities would be required. Thus, disagreement cannot be caused by an ill  
590 determined van't Hoff factor as we used already maximum  $\nu = 3$  and reducing  $\nu$  will  
591 increase the deviation. Note, that recent observations point to the importance of the  
592 surface effect by organic surface films over the solute effect for water soluble  
593 inorganics in presence of organics, including malonic acid (Ruehl et al., 2016). A  
594 lower surface tension will bring Koehler prediction and observation punctually in  
595 better agreement and still allow for smaller van't Hoff factors. As an example, a  
596 surface tension of 55% of  $\sigma_w$  and a van't Hoff factor of one will bring  $SS_{crit}$  predicted  
597 by Koehler theory and observation in agreement for the thinnest coating. However, a  
598 surface tension 55% of  $\sigma_w$  will cause disagreement for the thickest coating, because  
599 the solute term gains in importance. Probably, the findings for the mixed solutions of  
600 malonic acid and water soluble ammonium sulfate are not directly transferable to our  
601 systems with insoluble inorganic core, where we expect dilute aqueous solutions of  
602 0.006 mol/kg of malonic acid at the activation point. At such concentrations malonic  
603 acid does not reduce  $\sigma_w$ , moreover in the study of Ruehl et al. (2016) malonic acid  
604 was one of the more Koehler  $\kappa$  behaving organics.

605 In Figure 7 we show the prediction of  $SS_{crit}=1.52\%$  for activating  $\text{CaCO}_3$  by the

606 Kelvin/FHH theory with the  $\text{CaCO}_3$  parameters taken from Kumar et al. (2009).  $\text{SS}_{\text{crit}}$   
607 for our bare  $\text{CaCO}_3$  particles is 1.49% and the lower  $\text{SS}_{\text{crit}}$  should be due to a more  
608 adsorptive surface, e.g. the presence of  $\text{Ca(OH)(HCO}_3\text{)}$  structures. According to  
609 classical Koehler theory the equivalent of  $8.5 \cdot 10^{-20}$  moles of dissolvable entities  
610 would be needed to explain a  $\kappa$  of 0.0028 and  $\text{SS}_{\text{crit}}$  of 1.49%, which is only about  $\frac{1}{4}$   
611 of the moles MA in the thinnest MA coating. Therefore, whatever makes our  $\text{CaCO}_3$   
612 particles wettable is not sufficient to explain the low  $\text{SS}_{\text{crit}}$  of 0.9 % at the thinnest MA  
613 coating - in terms of Koehler theory.

614 We estimate monolayer coverage by MA at 2-3% vf; this would be achieved in  
615 between MA mass loads of  $13 \cdot 10^{-12}$  -  $38 \cdot 10^{-12}$  ug per particle. Thus a sub-monolayer  
616 coating of  $3.3 \cdot 10^{-12}$  ug MA per particle caused a drop of  $\text{SS}_{\text{crit}}$  from 1.49 to 0.9 and  
617 increased  $\kappa$  from 0.0028 to 0.012. Therefore we conclude that  $\text{CaCO}_3/\text{MA}$  coatings  
618 show a non-Koehler behavior at thin coatings, but approach Koehler behavior with  
619 increasing MA load.

620 This means there must be specific interactions between MA and the  $\text{CaCO}_3$  surface  
621 which eases water adsorption and CCN activation. We refer to the  $\text{Ca(OH)(HCO}_3\text{)}$   
622 structures that likely exist on the particle surface. When  $\text{CaCO}_3$  particles are coated by  
623 malonic acid (or oleic acid) the hydrophilic sides can serve as polar surface active  
624 sites for accommodation of the acids. In case of MA there is no long hydrophobic  
625 organic chain, but a second carboxylic group which still could support the adsorption  
626 of water films.

627 In terms of Kelvin/FHH theory one could explain the observed low  $SS_{crit}$  for thin MA  
628 coatings by net stronger interaction with water (higher  $A_{FHH}$ ) and/or stronger  
629 interaction between the adsorbed water layers (lower  $B_{FHH}$ ) compared to bare  $CaCO_3$ .  
630 If coatings become thicker the Koehler solute effect starts increasingly to contribute  
631 and eventually controls the CCN activation. Our data are not sufficient to determine  
632  $A_{FHH}$  and  $B_{FHH}$ . (The only system in the literature which comes close - in a far sense -  
633 is CaOxalate Monohydrate, with  $A_{FHH} = 0.57$  and  $B_{FHH} = 0.88$  (Kumar et al., 2009).  
634 Plug in these FHH parameters will lead to  $SS_{crit} = 0.53\%$  commensurable with our  
635 observed value of 0.56% for  $13 \cdot 10^{-12}$  ug MA coating which represents an organic  
636 volume fraction of 1.6%, thus is close to monolayer.)

637 The  $D_{crit}$  at different super-saturations ( $SS_{crit}$ ) for *poly-disperse*  $CaCO_3$  aerosol  
638 particles before and after coating with malonic acid are shown in Fig. 8. Our  
639 observation of  $\kappa = 0.25 \pm 0.04$  for pure malonic is consistent with the  $\kappa$  derived from  
640 the data of Kumar et al. (2003) ( $\kappa = 0.20-0.25$ ) and Prenni et al. (2001) ( $\kappa = 0.24$ ), but  
641 significantly lower than the  $\kappa$  derived from the data of Giebl et al. (2002) ( $\kappa =$   
642 0.41-1.04). The behavior of poly-disperse coated aerosol was similar to the result  
643 obtained from the monodisperse  $CaCO_3$  aerosol particles.

644 In Fig. 8 we added results for coating in presence of enhanced water vapor (1500 Pa)  
645 and aerosols generated by spraying mixtures of malonic acid and  $CaCO_3$ . At the  
646 coating temperature of 60 °C, when the RH increased from 0.7% to 7% and eventually  
647 to 47% at room temperature, the CCN activity of the coated  $CaCO_3$  particles

648 increased substantially (compare “dry” (blue triangles) and “wet” (lilac triangles) in  
649 Fig. 8). The effect is more distinct than for the oleic acid coating shown in Fig. 5, and  
650  $\kappa$  increases by about an order of magnitude. At a wet conditions, the reaction between  
651  $\text{CaCO}_3$  and malonic acid maybe more efficient and formation of calcium malonate  
652 will reduce  $d_u$ , i.e. the diameter of the insoluble core and according to eq, (S1) this  
653 may be the reason for the higher CCN activity at the higher RH. The hypothesis of  
654 malonate formation is supported by the CCN activity of “calcium malonate” aerosols,  
655 generated by spraying solutions containing  $\text{CaCO}_3$  and malonic acid with molar ratios  
656 of about 1:1 and 3:1. Here the CCN activity is similar to that arising in the coating  
657 process in presence of water vapor. The change of the Ca/malonate ratio from 3:1 to  
658 1:1 had no large effects. But taking the data of pure malonic acid particles also into  
659 account there is a trend to lower  $\kappa$  with increasing Ca in the initial solution.

660 The increasing of residence time (by 23.7 s) had no significant impact on CCN  
661 activity for both oleic acid coating and malonic acid coating at both dry and enhanced  
662 water vapor conditions, probably because the coating process was already completed  
663 in the coating device and no further reactions occurred in the flow tube.

664 Our findings may be important for aging processes of mineral particles in the  
665 atmosphere. The dependence of CCN activity of the coated particles on RH during the  
666 coating process will help to enhance the increase of the CCN activity by the coating  
667 process as water will be abundant in many instances. The effect probably will be  
668 relatively small for oleic acid and similar organics, which are hardly water soluble,

669 but strong for malonic acid and similar organic acids, which are highly water soluble.

#### 670 **4 Conclusions**

671 The CCN activity of CaCO<sub>3</sub> particles with oleic acid and malonic acid coatings was  
672 investigated in this study. The results show that oleic acid coating and malonic acid  
673 coating have different impacts on the CCN activity of CaCO<sub>3</sub> particles. This can be  
674 attributed to the amphiphilic property of oleic acid in contrast to the high water  
675 solubility of malonic acid. Small amounts of oleic acid coating ( $vf \leq 4.3\%$ ) decreased  
676 the CCN activity of the CaCO<sub>3</sub> particles, while more oleic acid coating ( $vf \geq 16\%$ )  
677 increased it. This phenomenon was reported here for the first time, and attributed to  
678 stepwise passivating the active sites of CaCO<sub>3</sub> by oleic acid. Once all active sites are  
679 occupied we suggest the formation of a lipid like bilayer with the carboxylic groups  
680 facing outwards.

681 On the other hand, malonic acid coating (0.4-40%) increased the CCN activity of  
682 CaCO<sub>3</sub> particles regardless of the amount of the coating. The CCN activity of CaCO<sub>3</sub>  
683 particles with malonic acid coating increased with the amount of the coating. Even a  
684 small amount of malonic acid coating ( $vf = 0.4\%$ ) significantly enhanced the CCN  
685 activity of CaCO<sub>3</sub> particles from  $\kappa = 0.0028 \pm 0.0001$  to  $\kappa = 0.0123 \pm 0.0005$ .  
686 Increasing the relative humidity during the coating increased the CCN activity of the  
687 CaCO<sub>3</sub> particles with malonic acid coating, probably because more CaCO<sub>3</sub> reacted  
688 with malonic acid to soluble CaMalonate. This process will help to increase the CCN  
689 activity.



690 Although malonic acid is well soluble in water,  $SS_{crit}$  for MA coated particles was  
691 overpredicted by Köhler theory. Our results indicate that thin MA coatings provide a  
692 wettable particle surface, which favors adsorption of water. For thicker coatings the  
693 coated particles approached Köhler behavior, because of increasing importance of the  
694 solute effect.

695 Mineral aerosol is one of the most abundant components of the atmospheric aerosol,  
696 but its low water solubility limits its CCN activity. This study showed that  
697 water-soluble organic acid coating might significantly enhance the CCN activity of  
698 mineral aerosol particles. This could lead to mineral aerosol playing a more important  
699 role in cloud formation.

700

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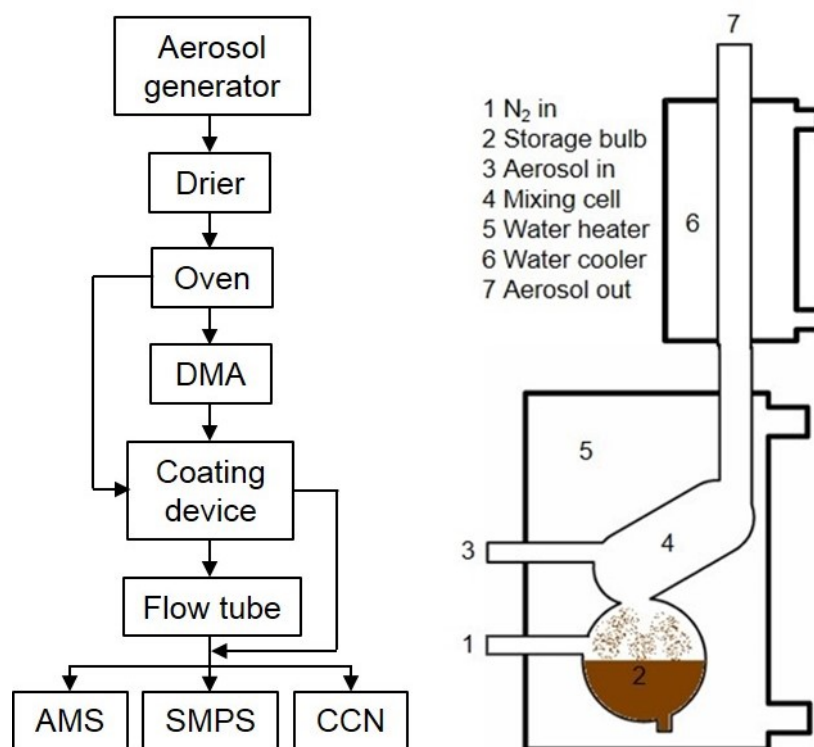
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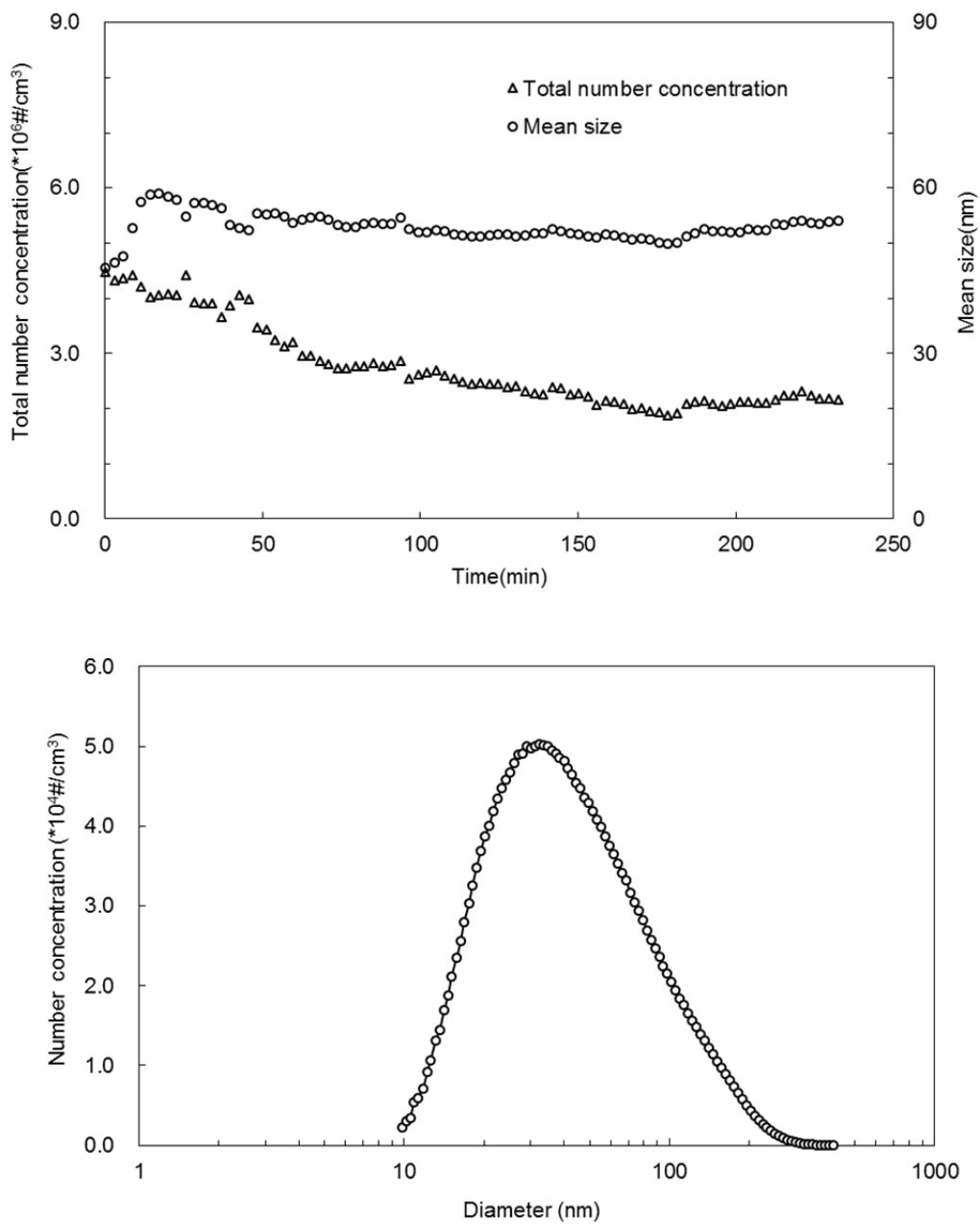
**Table 1.** Mode diameters, chemical compositions, and  $\kappa$  values of CaCO<sub>3</sub> aerosol particles (size selected by DMA at 101.8 nm) before (uncoated) and after coating with oleic (OA) or malonic acid (MA) at 30-80 °C.

	<b>D<sub>p</sub></b> [nm]	<b>Organic mass</b> <b>per particle</b> [10 <sup>-12</sup> μg]	<b>Mole organics</b> <b>per particle</b> [10 <sup>-20</sup> mole]	<b>Org. volume</b> <b>fraction [%]</b>	<b>κ</b>
<b>Oleic acid</b>					
<b>Uncoated CaCO<sub>3</sub> 30-80 °C</b>	101.9	(backgr. <i>m/z</i> 41: 2.7±0.9)	0	0.0	0.0028±0.0001
<b>CaCO<sub>3</sub>+Oleic acid 30 °C</b>	102.1	3.7±1.9	1.3	0.8	
<b>CaCO<sub>3</sub>+Oleic acid 40 °C</b>	102.5	7.0±2.8	2.5	1.4	
<b>CaCO<sub>3</sub>+Oleic acid 50 °C</b>	103.7	14±3.7	5.1	2.7	
<b>CaCO<sub>3</sub>+Oleic acid 60 °C</b>	104.9	23±1.2	8.3	4.3	
<b>CaCO<sub>3</sub>+Oleic acid 70 °C</b>	109.2	96±3.7	34	16	0.0241±0.0006
<b>CaCO<sub>3</sub>+Oleic acid 80 °C</b>	123.7	390±14	140	44	0.0649±0.0008
<b>Malonic acid</b>					
<b>Uncoated CaCO<sub>3</sub> 30-80 °C</b>	101.9	(backgr. <i>m/z</i> 42: 1.4±0.4)	0	0.0	0.0028±0.0001
<b>CaCO<sub>3</sub>+Malonic acid 30 °C</b>	102.0	3.3±0.3	3.2	0.4	0.0123±0.0005
<b>CaCO<sub>3</sub>+Malonic acid 40 °C</b>	102.1	6.8±1.2	6.5	0.8	0.0231±0.0008
<b>CaCO<sub>3</sub>+Malonic acid 50 °C</b>	102.2	13±1.8	13	1.5	0.0380±0.0012
<b>CaCO<sub>3</sub>+Malonic acid 60 °C</b>	102.7	38±1.6	36	4.1	0.1063±0.0023
<b>CaCO<sub>3</sub>+Malonic acid 70 °C</b>	107.8	160±8.1	160	15	0.1907±0.0031
<b>CaCO<sub>3</sub>+Malonic acid 80 °C</b>	121.0	610±24	590	40	0.3126±0.0062

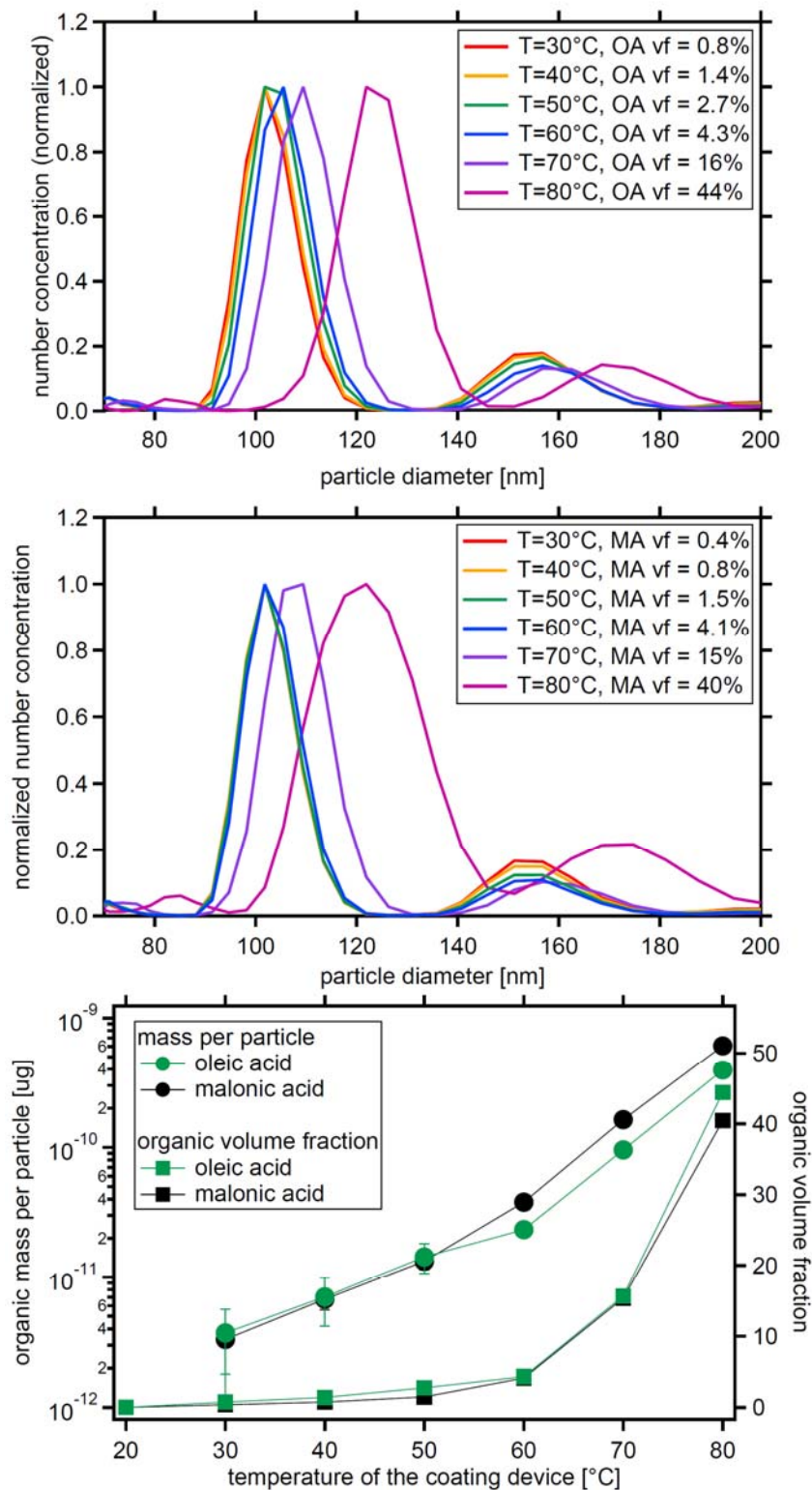


**Figure 1.** Schematics of the experimental set up (left side).  $\text{CaCO}_3$  aerosol is generated by spray-drying of saturated  $\text{Ca}(\text{HCO}_3)_2$  solutions and tempering the aerosol passing through an oven at  $300^\circ\text{C}$ . The *poly-disperse*  $\text{CaCO}_3$  aerosol is either led directly to the coating device (right side, after Roselli, 2006) or led to a differential mobility Analyzer (DMA) for size selection first. Optional, a flow tube can be switched into the pass to enhance the reaction time of the coated particles. The stream of coated particles is finally split to the analytical instruments, namely aerosol mass spectrometer (AMS), scanning mobility particle sizer (SMPS) and CCN counter.

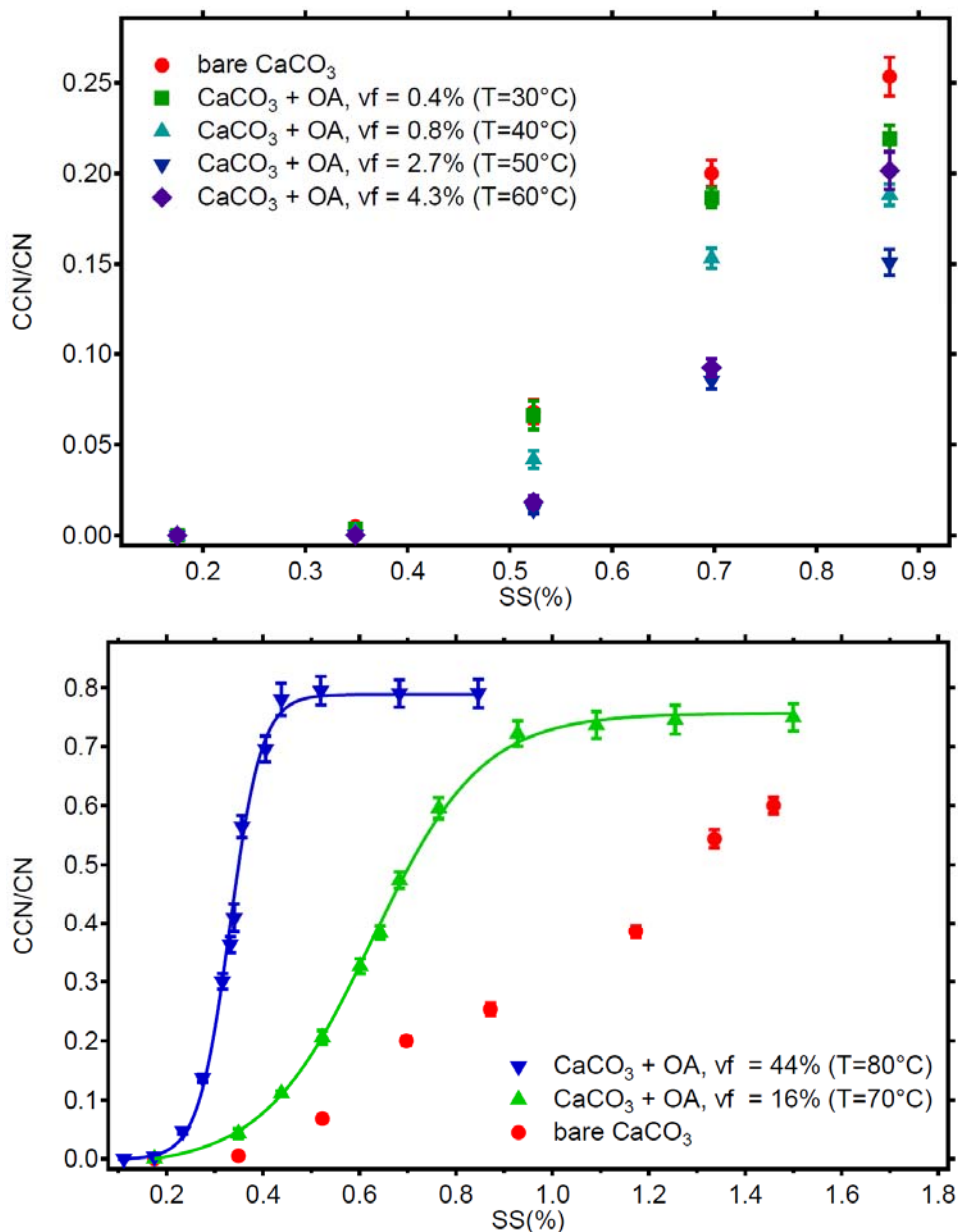




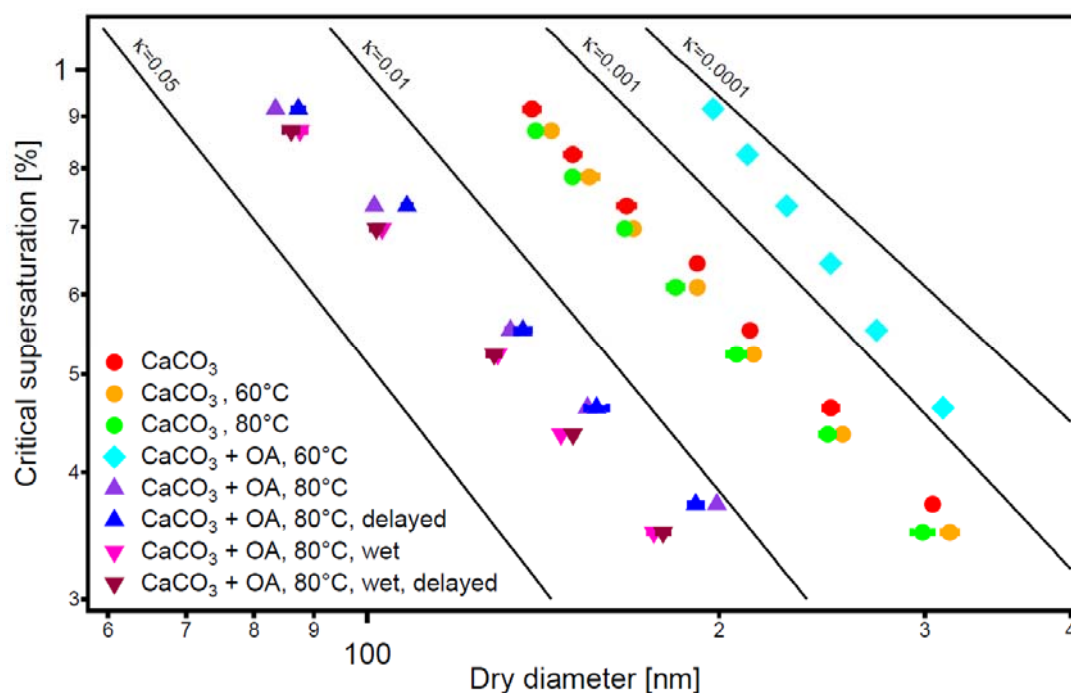
**Figure 2.** Total number concentration and mean diameter of CaCO<sub>3</sub> aerosol particles generated as a function of the spraying time (upper panel). Typical size distribution of the CaCO<sub>3</sub> aerosol after 70 min spraying (lower panel).



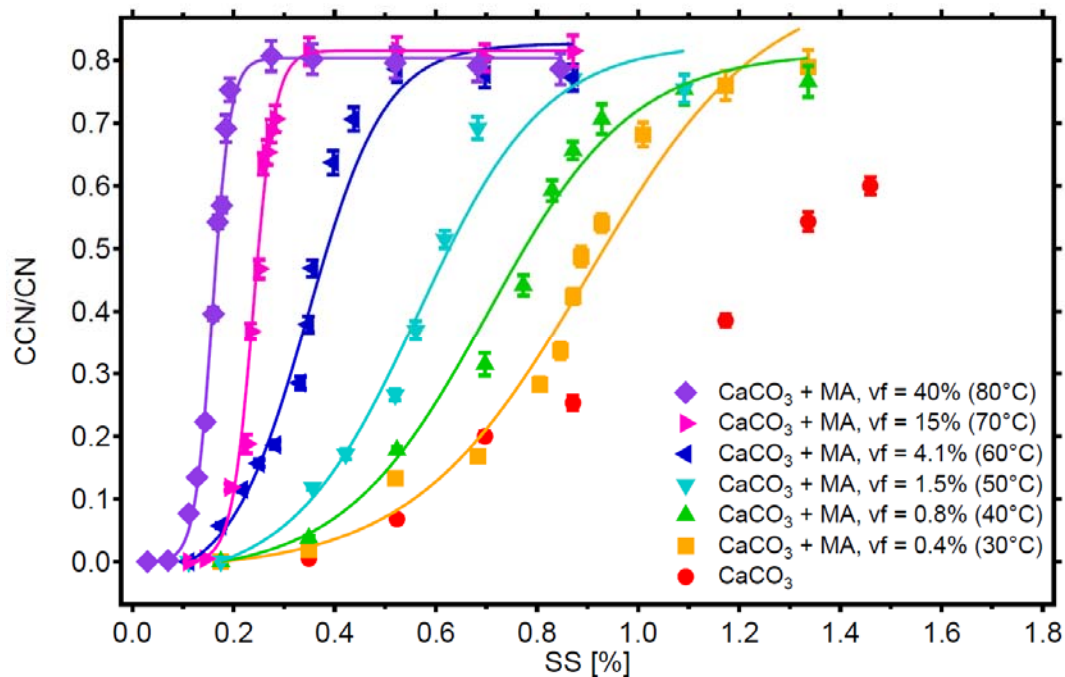
**Figure 3.** Size distribution of monodisperse  $\text{CaCO}_3$  aerosol particles after coating with oleic acid (top panel) or malonic acid (middle panel). Coating amount and organic volume fraction for oleic and malonic acid as a function of the coating temperature for the same experiments (bottom panel, data in Table 1).



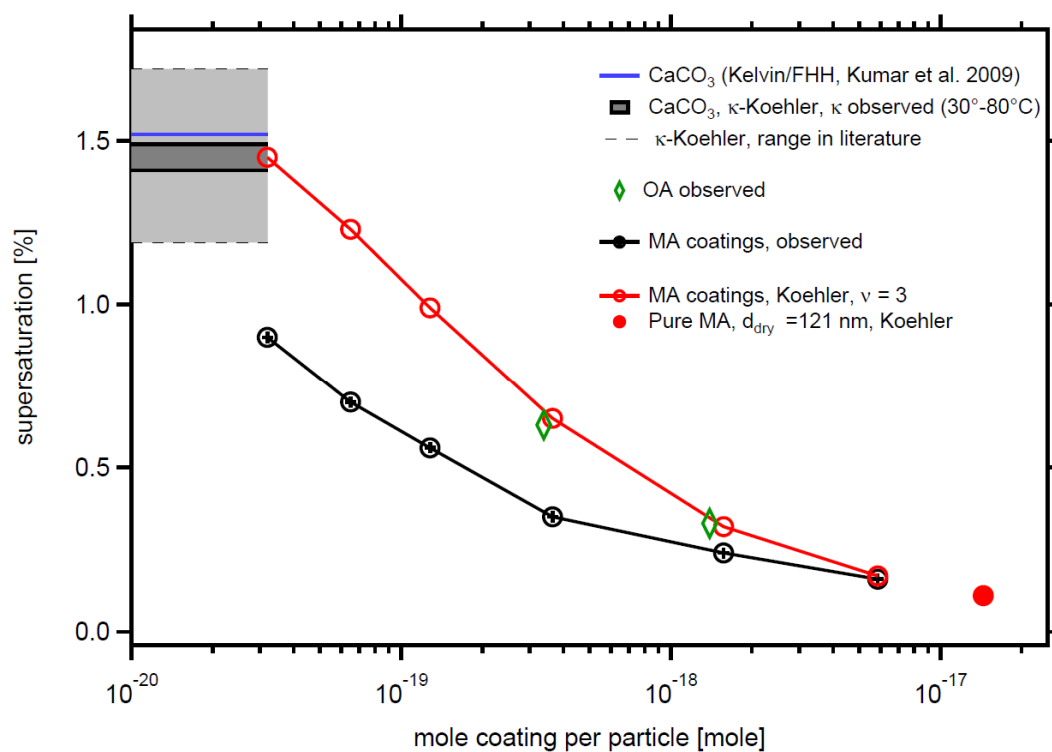
**Figure 4.** Activated fractions (CCN/CN) of *monodisperse* CaCO<sub>3</sub> aerosol particles (diameter  $d_u = 101.9$  nm) at different supersaturations before and after oleic acid coating. With increasing coating temperatures of 30-50 °C the activated fraction decreases despite the increase of organic vf from 0.8% - 2.7%. At vf = 4.3% at 60°C this trend turns. Considering the invariant particle diameter at 30-50 °C, the increased particle diameter at 60 °C, and the reduced activated fraction simultaneously, the CCN activity of the coated CaCO<sub>3</sub> particles at 30-60 °C was lower than that of the uncoated CaCO<sub>3</sub> particles (top panel). At coating vf of 16% and 44% (T= 70-80 °C) the activated fractions, thus CCN activities, are higher than for bare CaCO<sub>3</sub> and increase with coating vf. In these two cases all particles are activated at the highest SS and  $SS_{crit}$  and  $\kappa$  can be determined from the turning point of the sigmoidal fit (bottom panel, compare Table 1).



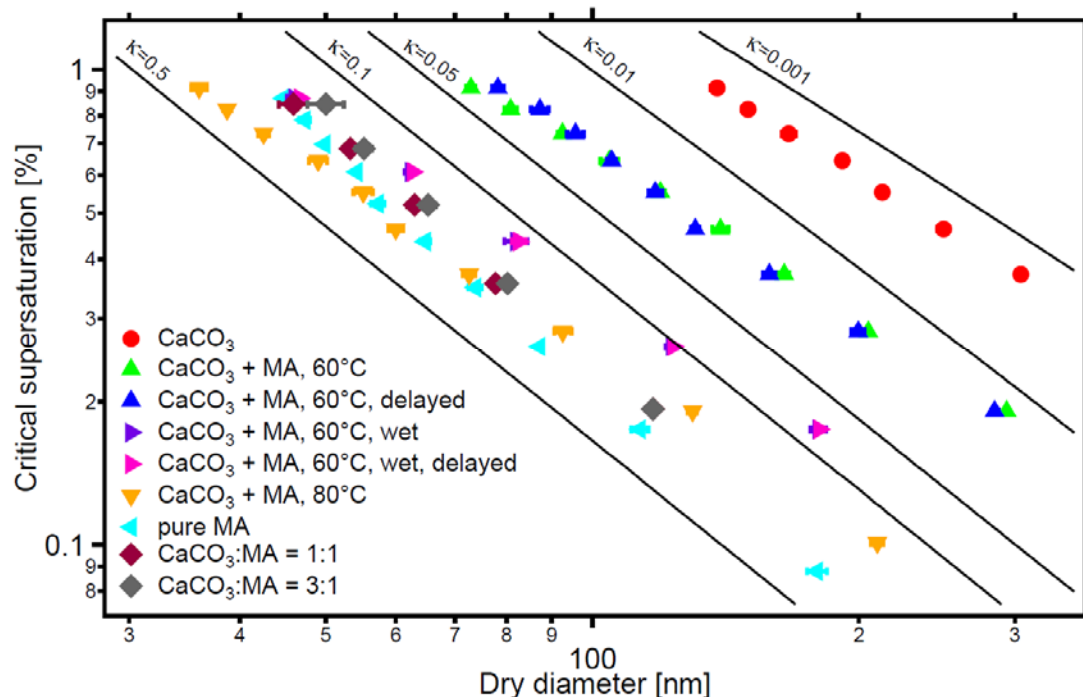
**Figure 5.** Critical dry diameters at different supersaturations (SS) of *poly-disperse* CaCO<sub>3</sub> aerosol before (circles) and after oleic acid coating. Experiments were performed at 60°C (turquoise diamond) and 80°C (triangles) coating temperatures. The flow tube experiments at 80° C were performed (indicated by ‘delayed’) at dry conditions (normal, blue triangles) and at enhanced water vapor (‘wet’, brown triangles). The effect of the temperature in the coating device on the CaCO<sub>3</sub> core is negligible (red, green, and orange circles). As for the monodisperse case in Figure 4, at 60° coating temperature the particles are less CCN active than bare CaCO<sub>3</sub> while at 80°C the coated particle more CCN active. The presence of water vapor (1500 Pa) in the coating process enhances CCN activity. The increasing of residence time (23.7 s) of the coated aerosol in the flow tube had no significant effect on CCN activity in both experiments.



**Figure 6.** Activated fractions ( $CCN/CN$ ) of *monodisperse*  $CaCO_3$  aerosol particles (with  $CaCO_3$  core,  $d_u = 101.9$  nm) at different supersaturations before (red circles) and after malonic acid coating. With increasing coating, i.e. MA volume fraction  $vf$  the activated fraction, thus CCN activity, increase compared to bare  $CaCO_3$  particles. All coated particles can be activated at sufficiently high SS and  $SS_{crit}$  and  $\kappa$  was determined (see Table 1).



**Figure 7:** Comparison of  $SS_{crit}$  predicted by Koehler theory with observations. Koehler theory for aqueous MA solutions assuming full dissociation overpredicts  $SS_{crit}$  (red circles) compared to the observation (black circles). With increasing coating amount Koehler theory approaches the observation, with the limiting  $SS_{crit}$  for 121.0 nm particles made of pure malonic acid. For comparison we show observed  $SS_{crit}$  for the two thickest OA coatings (diamonds show). The horizontal lines indicate  $SS_{crit}$  of the bare  $CaCO_3$  particles as calculated from our observed  $\kappa$  observed (black) and predicted by Kelvin/FFH theory (blue). Light grey area between the thin dashed grey lines shows the range of  $SS_{crit}$  for 101.9 nm particles calculated from the range of  $\kappa$  in literature for wet generated  $CaCO_3$  particles (compare Tang et al. 2016).



**Figure 8.** Critical dry diameters at different super-saturations (SS) of *poly-disperse*  $\text{CaCO}_3$  aerosol before (circles) and after malonic acid coating (triangles). Experiments were performed at 60°C and 80°C coating temperatures. The results are similar to the monodisperse case in Figure 6. Critical dry diameters as a function of SS are also shown for malonic acid particles and particles that were generated by spraying mixed solution with molar ratios of  $\text{CaCO}_3$ /malonic acid of 1:1 and 3:1. The CCN activity decreases with increasing  $\text{CaCO}_3$  content. The flow tube experiments at 60 °C were performed (indicated by ‘delayed’) at dry condition (blue triangles) and in presence of 1500 Pa water vapor (magenta triangles). The presence of water in the coating process substantially enhanced  $\kappa$  and CCN activity. The increasing of residence time (23.7 s) of the coated aerosol in the flow tube had no significant effect on CCN activity in both experiments.