Ice nucleating particle concentrations unaffected by urban air pollution in Beijing, China

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13 Key Points:

- 14 Ice nucleation
- 15 Urban aerosol
- 16 Immersion mode

17 Abstract

18 Exceedingly high levels of PM_{2.5} with complex chemical composition occur frequently in China. It has 19 been speculated if anthropogenic PM_{2.5} may significantly contribute ice nucleating particles (INP). 20 However, few studies have focused on the ice-nucleating properties of urban particles. In this work, two 21 ice-nucleating droplet arrays have been used to determine the atmospheric number concentration of INP 22 $(N_{\rm INP})$ in the range from -6 °C to -25 °C in Beijing. No correlations between $N_{\rm INP}$ and neither PM_{2.5} nor 23 black carbon mass concentrations were found, although both varied by more than a factor of 30 during 24 the sampling period. Similarly, there were no correlations between $N_{\rm INP}$ and either total particle number 25 concentration or number concentrations for particles with diameters > 500 nm. Furthermore, there was 26 no clear difference between day and night samples. All these indicate that Beijing air pollution did not 27 increase or decrease INP concentrations in the examined temperature range above values observed in 28 non-urban areas, hence, the background INP concentrations might not be anthropogenically influenced 29 as far as urban air pollution is concerned, at least in the examined temperature range.

30 1 Introduction

31 Formation of the ice phase in clouds can be modulated by aerosols emitted from anthropogenic and 32 natural sources (Morris et al., 2014; Murray et al., 2012; Rosenfeld et al., 2008) via heterogeneous ice 33 nucleation (Pruppacher et al., 1998). This results in a significant impact on the cloud extent, lifetime, 34 formation of precipitation, and radiative properties of clouds (DeMott et al., 2010). Currently, four 35 mechanisms are proposed for the heterogeneous ice nucleation in mixed-phase clouds: deposition ice 36 nucleation, condensation freezing, immersion freezing, and contact freezing (Vali et al., 2015; Hoose 37 and Möhler, 2012). It is under discussion if condensation freezing is different from immersion freezing 38 on a fundamental level (Wex et al., 2014) and if at least some of the observed deposition ice nucleation 39 can be attributed to pore condensation and freezing (Marcolli, 2014). For mixed-phase clouds, immersion 40 freezing has been widely reported to be the most important ice nucleation mechanism (Ansmann et al., 41 2008; Murray et al., 2012; Westbrook and Illingworth, 2013). During the past decades, great efforts have 42 been dedicated to understanding heterogeneous ice nucleation. However, it has become obvious that 43 many fundamental questions in this field are still unsolved (Kanji et al., 2017).

44 Numerous studies have attempted to quantify the ice nucleation ability of selected aerosol particles 45 of a specific composition in immersion mode, such as dust (DeMott et al., 2015; Kaufmann et al., 2016; 46 DeMott et al., 2003), marine (Wilson et al., 2015; DeMott et al., 2016; Alpert et al., 2011) and biological 47 particles (Pummer et al., 2012; Hartmann et al., 2013; Fröhlich-Nowoisky et al., 2015). Szyrmer and 48 Zawadzki (1997), Hoose and Möhler (2012), Murray et al. (2012) and Kanji et al. (2017) are all reviews 49 which give a more extensive overview over materials that can induce ice nucleation. In general, biogenic 50 particles have been assumed to provide atmospheric ice nucleating particles (INP) which are ice active 51 in the immersion mode at comparably high temperatures (above -15°C, Murray et al., 2012; Petters & 52 Wright, 2015). Ice activity at lower temperatures is attributed to mineral dust particles (Murray et al.,

54 Biogenic particles in general have long been known to be able to induce ice nucleation at 55 comparably high temperatures above -10°C (e.g. Schnell and Vali, 1972). It has been widely accepted 56 that biological particles can act as efficient INP, with some bacteria and fungi reported to possess the 57 ability to arouse freezing at temperatures as high as -2°C to -5°C (Lundheim, 2002). Fungal spores 58 (O'Sullivan et al., 2016; Pummer et al., 2015) and lichen (Moffett et al., 2015) are known to nucleate ice 59 in the temperature range above -10°C, while pollen (Augustin et al., 2013; Pummer et al., 2012) may 60 compete with mineral dust particles in terms of their ability to nucleate ice, albeit not in terms of their 61 atmospheric abundance.

2012) while the role of soot particles in atmospheric ice nucleation is still debated (Kanji et al., 2017).

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Recognized as the dominant INPs in mixed-phase clouds (Kamphus et al., 2010), particles from various mineral dusts were found to catalyse ice formation effectively in chamber experiments (Murray et al., 2012; Kanji et al., 2017). Among mineral dust particles, those containing K-feldspar might be particularly ice active (Atkinson et al., 2013).

66 In general, burning of liquid fuels produces soot particles (i.e., particles that are mostly organic), 67 while burning of solid material as e.g., biomass or coal will also produce ash particles which contain the inorganic components that made up the fuel. Umo et al. (2015) and Grawe et al. (2016) examined the ice 68 69 activity of ash particles from wood and coal burning in the immersion mode and both find that these 70 particles are ice active. In Grawe et al. (2016), ash particles with atmospherically relevant sizes of 300 nm 71 were examined and the most active particles came from a sample of fly ash from a coal burning power 72 plant, inducing immersion freezing below -22°C. Both, Umo et al. (2015) and Grawe et al. (2016) suggest 73 that ash particles might play a role in the atmosphere, however, point to a lack of knowledge of their

atmospheric abundance. Also, different ash samples showed different ice activities, and also large differences in the results between the methods used for the examination were described, i.e., it is still inconclusive if ash particle might play an important role as atmospheric INP.

77 Although there has been a considerable number of studies aimed at understanding the ability of 78 black carbon (BC)-containing particles acting as INP, the results are still controversial. Some studies 79 show that BC-containing particles did not act as good INP (Schill et al., 2016; Chou et al., 2013). Chou 80 et al. (2013) observed that soot particles from diesel engines and wood burning form ice at -40°C, and 81 unrealistically high relative humidity (RH) was needed for freezing initiation above this temperature. 82 Schill et al. (2016) showed that neither fresh nor aged emissions from diesel engines contributed 83 appreciably to atmospheric INP concentrations. However, some studies considered BC-containing 84 particles as possible INPs (Cozic et al., 2008; Levin et al., 2016; Cozic et al., 2007). Observation of 85 abundant BC in ice particle residuals in field experiments suggested that some BC-containing particles 86 may preferentially act as INP (Cozic et al., 2008). In the experiments conducted by Levin et al. (2016), 87 emissions of different types of biomass fuel produced measurable concentrations of INPs (0.1-10 cm⁻³) 88 associated with higher BC concentration accounting for about 0-70%. Determination of ice nucleating 89 properties of physically and chemically aged soot particles suggests that the heterogeneous ice nucleation 90 activity of freshly emitted diesel soot particles is sensitive to some of the aging processes (Kulkarni et 91 al., 2016).

92 In the atmosphere of urban areas with dense population, various sources and complex aging 93 transformations (such as coagulation, condensation of vapor, chemical reaction) of particles can be found. 94 Particularly, urban aerosol may be rich in BC-containing particles resulting from anthropogenic activities, 95 such as fossil fuel combustion and biomass burning (Bond et al., 2013), which were speculated to play a 96 role for the formation of ice in clouds (Kanji et al., 2017). However, the ice nucleating properties of 97 particles produced in urban regions have rarely been the focus of previous studies. Exceptions are Knopf 98 et al. (2010) and Corbin et al. (2012), examining the ice nucleation activity of particles in the 99 anthropogenically influenced atmospheric aerosol in Mexico City and Toronto, respectively. In both 100 studies the relative humidity at which measurements were made were below water vapor saturation (with 101 respect to liquid water). Using filter samples, Knopf et al. (2010) state that organic particles included in 102 their samples might potentially induce ice nucleation at conditions relevant to cirrus formation. Corbin 103 et al. (2012) used a CFDC (Continuous-Flow Diffusion Chamber) operating at -33°C together with a

particle mass spectrometer. Statistical limitations impeded a statistical sound analysis, but their data suggests that dust particles, particles from biomass burning and particles containing elemental carbon might be sources of INP at their experimental conditions. They explicitly encourage further studies of these particles types concerning their role as possible INP.

108 In the present study, we measured the ice nucleating activity of urban aerosols in parallel with BC 109 and PM_{2.5} mass concentration and particle number concentrations in the atmosphere of the mega-city 110 Beijing, which is frequently experiencing heavy pollution. During heavy haze episodes, PM_{2.5} mass 111 concentration can be several hundred micrograms per cubic meter and composed of a complex mixture 112 of different chemical components (organic matter, inorganic ions and black carbon) (Zheng et al., 2016). 113 The goal of this project is to find out if anthropogenic sources which are dominant in the urban 114 atmosphere significantly contribute to the local INP concentrations, focusing particularly on the ice 115 nucleating ability of BC in urban aerosols.

116 2 Materials and Methods

117 **2.1 Sample collection and particle number measurement**

The sampling site for particle collection was on the roof of a six-floor building (about 30 m above ground level) on the campus of Peking University (39°59′20″N, 116°18′26″E), located in the northwestern urban area of Beijing.

121 Particles with an aerodynamic diameter less than or equal to 2.5 micro-meters (PM_{2.5}) were collected on quartz fiber (Whatman, 1851-865) and PTFE filters (Whatman, 7592-104) using a 4-channel sampler 122 123 with 2.5µm impactors from 27th November 2016 to 1st December 2016 and 13th December 2016 to 22th 124 December 2016. Daytime filters were collected from 8:00 am to 8:00 pm and nighttime filters were collected from 8:00 pm to 8:00 am with an air flow rate of 16.7 L min⁻¹, resulting in a total volume of air 125 126 sampled on each filter of ~12000 L. Note that all sample volumes used herein were converted to standard 127 volumes. The quartz filters were treated before the sampling by heating them to 550 °C for 6 h. After 128 sampling, all filters were kept at \leq -18 °C during storage, and the INP analysis was done within 20 days, 129 starting on 5th February in 2017.

A scanning mobility particle sizer (SMPS, TSI Inc., USA) system was used to obtain particle
number distribution in the 3-700 nm (electrical mobility diameter) size range during the sampling period

132 while an aerodynamic particle sizer (APS, TSI model 3321, TSI Inc., USA) measured particle number 133 size distributions between 800 nm and 2.5µm (aerodynamic diameter). The APS results were transformed 134 from aerodynamic diameter to Stokes diameter with a particle density of 1.5 g cm⁻³ which were measured 135 by a CPMA (centrifugal particle mass analyzer) and combined with the measured and inverted size 136 distributions obtained from the SMPS. From these combined size distributions, we calculated the total 137 particle number concentration of particles in the diameter range from 3nm to $2.5 \mu m (N_{total})$ and number 138 concentrations of particles larger than 500nm ($N_{>500nm}$). When comparing with filter results, we use 12h-139 average values of N_{total} and $N_{>500\text{nm}}$, where the averages were always made from 8:00 am to 8:00 pm for 140 daytime data and from 8:00 pm to 8:00 am for nighttime data. $N_{>500nm}$ was derived, as in general larger 141 particles are expected to be more efficient INP, and also as this size range was selected in DeMott et al. 142 (2010, 2015) to serve as a base for parameterizations of INP number concentrations.

143 Concentrations of BC were continuously measured by a multi-angle absorption photometer (5012 144 MAAP, Thermo Fisher Scientific, Waltham, MA, USA) utilizing a 637 nm LED as a light source (Müller 145 et al., 2011). The instrument measures the absorption of particles collected on a filter with a time 146 resolution of 5 min and automatically derives BC mass concentration from the measurement while 147 accounting for multiple scattering occurring on the filter. It might be worth noting that a comparison of 148 BC concentrations obtained from the MAAP with concentrations of EC determined by a filter-based 149 SUNSET EC/OC analyzer during a different field campaign showed, that both instruments measured the 150 same trends while the mean ratio of concentrations of BC to EC was about 1.35.

151 **2.2 Chemical analysis**

Two PTEF filters were always sampled in parallel, and while one was used for INP analysis, the other was selected for the total mass and water-soluble ion analysis. $PM_{2.5}$ mass concentration was obtained with an analytical balance by the gravimetric method (Mettler Toledo AG285) (Yang et al., 2011). As for water-soluble inorganic compounds analysis, Guo et al. (2012) described the method for seven major ions (K⁺, Mg²⁺, Ca²⁺, NH₄⁺, NO₃⁻, SO₄²⁻ and Cl⁻) measured by ion-chromatograph (DIONEX, ICS-2500/2000) based on the usage of PTEF filters. Post-sampling, all filters were stored in the refrigerator at -18 °C before analysis.

159 2.3 INDA and LINA analysis

160 Two devices called INDA (Ice Nucleation Droplet Array) and LINA (Leipzig Ice Nucleation Array) 161 have been set up at the Leibniz Institute for Tropospheric Research (TROPOS) in Germany following 162 the design described in Conen et al. (2012) and in Budke & Koop (2015), respectively. INDA was used 163 to investigate the immersion freezing properties of the quartz fibre filter samples while LINA was used 164 to test the particles on PTFE filters.

165 INDA consists of a thermostat (JULABO FP40) with a 16 L cooling bath. 96 circles (1mm in diameter each) of each quartz filter were cut out by a punch and immersed separately in the tubes of a 166 PCR (Polymerase chain reaction) tray which each contained 50 µl distilled water. While Conen et al. 167 (2012) originally used separate Eppendorf Tubes®, the use of PCR trays for immersion freezing studies 168 169 has been suggested before in Hill et al. (2016) and was adapted in the LINA set-up. The PCR trays were 170 placed on a sample-holder and exposed to decreasing temperatures with a cooling rate of approximately 171 1 K min⁻¹ in the cooling bath down to -30 °C. Real time images of the PCR trays were recorded every 6 seconds by a CCD (Charge Coupled Device) camera. A flat light that was fixed at the bottom of the 172 173 cooling bath helped to yield proper contrast between frozen and unfrozen droplets on the recorded 174 pictures, so that frozen droplets could be identified according to the brightness change during the freezing 175 process. A program recorded the current temperature of the cooling bath and related it to the real-time 176 images from the CCD camera. The temperature in the PCR trays had been calibrated previously as 177 described in section 1.1 of the appendix.

178 For the measurement of ice nucleating particles at lower temperature, LINA was built according 179 to an optical freezing array named BINARY, which was described in detail by Budke & Koop (2015). 180 PTFE filters collected during the same period as quartz fibre filters were used for LINA. Half of the 181 PTFE filter of each day was immersed in 10 ml distilled water and shaken for 1 h to wash particles off. 182 For each measurement, 90 droplets with the volume of 1 μ l were pipetted from the resulting suspension 183 onto a thin hydrophobic glass slide (diameter 40 mm, thickness 0.13-0.16 mm, obtained from Marienfeld-184 superior), with each droplet being contained in a separate compartment. These compartments were round 185 holes with diameters of 3 mm, drilled into an aluminium plate with a diameter of 40 mm and a thickness of 14 mm. Both, hydrophobic glass slide and the aluminium plate with the compartments were 186 187 surrounded by an aluminium ring with an inner diameter of 40 mm, which acted to keep glass slide and

188 aluminium plate in place. Slide, plate and ring were all arranged before the droplets were pipetted. A 189 second thin glass slide was put on top of the plate so that the compartments were all separated from each 190 other and that evaporation of the droplets was prevented. The droplets were cooled on a Peltier element 191 with a cooling rate of 1 K min⁻¹. There was a thin oil (squalene) film between the hydrophobic glass slide 192 and the Peltier element for optimal heat conductivity. The temperature on the glass slide had been 193 determined previous to the experiments as described in section 1.2 of the appendix, and the temperature 194 shift between that set on the Peltier element and that observed on the glass slide was accounted for in the 195 data presented herein. The freezing process again was recorded by taking pictures with a CCD camera 196 every 6 seconds and detecting the freezing based on a change in the reflectance of the droplets upon 197 freezing.

As mentioned above, the temperature calibration for these two instruments is described in detail in the section 1.1 and 1.2 of the appendix. The background freezing signal of pure distilled water and circles cut from clean filters were tested as well. These results are shown in the section 2 of the appendix.

201 The measurements resulted in frozen fractions (f_{ice}) as defined in Eq. (1):

202
$$f_{ice} = \frac{N_{frozen}}{N_t}$$
 (1)

where N_{frozen} is the number of frozen tubes or droplets at a certain temperature and N_t is the total number of tubes in PCR trays (i.e., 96) or droplets on the slides (i.e., 90).

205 The temperature dependent cumulative number concentration of INP (N_{INP}) per volume of sampled 206 air was calculated according to Eq. (2), similarly to Vali (1971) and Conen et al. (2012):

207
$$N_{INP}(T) = -\frac{\ln(1 - f_{ice}(T))}{V_{sampled}}$$
(2)

where $N_{unfrozen}$ is the number of tubes or droplets still unfrozen (liquid) at a certain temperature, and $V_{sampled}$ is the volume of air converted to standard conditions (0°C and 1013hPa) from which the particles were collected that were suspended in each of the droplets in LINA or that were collected on each filter punch used for INDA measurements, respectively.

The chemical ion analysis in section 3.1 and the determination of the $PM_{2.5}$ mass concentration was done at Peking University. The filters used for INP measurements were brought to TROPOS where then INP measurements were done. Filters were continuously cooled below 0°C in a portable ice box during transport.

216 **3 Results and Discussion**

217 **3.1 Severe PM_{2.5} pollution in Beijing**

218 Fig. 1 shows the time series of PM_{2.5} mass concentrations and chemical composition during the sampling period. The PM_{2.5} mass concentration with a mean value of $97.30\pm77.9 \ \mu g \ m^{-3}$ ranged from 219 6.54 µg m⁻³ up to 273.06 µg m⁻³. Here, the cases with PM_{2.5} above 50 µg m⁻³ were defined as polluted 220 221 days, whereas the rest was defined as clean days. On average, the sulfate, nitrate, and ammonia (SNA) 222 accounted for around 35% of PM_{2.5} during the whole period with an obvious enhancement in polluted 223 days (53%), indicating that generation of secondary particulate mass is one major contributor to the 224 formation of particulate pollution, as it has previously been described in Guo et al. (2010) and Zheng et 225 al. (2016). In this study, when we refer to secondarily formed particulate matter, this will always stand 226 mainly for SNA and secondary organic substances. Dust particles are in the coarse mode, and only 227 contribute little to the total PM_{2.5} load (Lu et al., 2015; Li and Shao, 2009). In these studies, Ca²⁺ as a 228 tracer for dust particles showed a low proportion in PM2.5, suggesting that the dust particles also only 229 contributed little to PM_{2.5} during our observations as well.

During the sampling period, BC mass concentrations varied from 0.50 μ g m⁻³ on clean days up to 17.26 μ g m⁻³ on polluted days. On average, the mean mass concentration of BC, 7.77±5.23 μ g m⁻³, accounted for about 13% of PM_{2.5}. During night time, BC concentrations were higher than those during daytime due to stronger diesel engine emissions and a lower boundary layer (Guo et al., 2012). Our previous studies showed that secondarily and primarily formed organic particulate matter contributed to around 36% of non-refractory PM₁ detected by an aerosols mass spectrometer during wintertime in the atmosphere of Beijing (Hu et al., 2017).

237 Additionally, Fig. 2 shows 2-day back-trajectories obtained by the NOAA HYSPLIT model, with 238 one trajectory related to each sampled filter, starting at the median sampling time of each filter. Fig. 3 239 shows minutely recorded data for wind-direction and wind-speed collected by an Auto weather station 240 (Met One Instruments Inc.) located on the same roof top as the aerosol sampling equipment. Both pictures 241 are colored-coded with respect to PM2.5 mass concentrations. The air masses that came from north or 242 north-western directions were generally coincident with higher wind-speeds. They brought clean air with 243 lower PM_{2.5} mass concentrations. They did cross desert regions, however, Beijing was reported to be 244 affected by desert dust mainly only in spring (Wu et al., 2009). Typically, the air masses coming from south and south-west of Beijing moved slowly and spent much more time over industrialized regions,

resulting in high particulate matter mass concentrations. This here observed pattern is typical for Beijing,

and these connections between wind-direction and pollution levels in Beijing have been analyzed in

- 248 detail previously in Wehner et al. (2008).
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49 **3.2 Particle number concentrations**

Fig. 4 shows the time series of the total number concentration of particles from 3 nm up to 2.5 μ m (N_{total}) and the number concentration of particles larger than 500 nm ($N_{>500nm}$), where N_{total} varied from 3*10³-7*10⁴ cm⁻³ and $N_{>500nm}$ varied from 10 to 4*10³ cm⁻³. Obviously, in the atmosphere of Beijing during the sampling period, small particles less than 500 nm account for a large faction of the total particle number concentration, but during strong pollution events, also a large increase in $N_{>500nm}$ is seen.

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256 Fig. 5(a) and Fig. 5(b) show INP number concentrations $(N_{\rm INP})$ as a function of temperature for 257 INDA measurements. The lines are colour coded depending on the $PM_{2.5}$ mass concentration (Fig. 5(a)) 258 and 12h-average $N_{>500nm}$ (Fig. 5(b)) during the respective filter sampling, where each line (30 in total) 259 represents an individual result of a filter. Exemplary measurement uncertainties are given in section 3 of 260 the appendix. All filter samples had INP that were active at -12.5°C and the highest freezing temperature 261 was observed to be -6°C. Overall, N_{INP} varied from 10⁻³ to 1 L⁻¹. Already at a first glance, there is no 262 clear trend in $N_{\rm INP}$ with PM_{2.5} mass concentration and 12h-average $N_{>500nm}$, indicating that the dominant 263 pollutants of urban atmosphere may not significantly contribute to INPs active down to roughly -16°C 264 in an urban region.

To verify the results observed in INDA at lower temperatures, PM2.5 collected by PTEF filters in 265 266 the same period were used for LINA which can test the ice nucleating properties of droplets down to 267 below -20°C. Washing particles off from the PTFE filters was more complete for some filters than for 268 others. This showed in differently large deviations in N_{INP} from INDA and LINA measurements in the 269 overlapping temperature range, where results determined from INDA were always similar to or higher 270 than those from LINA as particle removal by washing the filters was frequently incomplete. It is 271 mentioned in Conen et al. (2012), that a quantitative extraction of particles from quartz fiber filters was 272 not possible without also extracting large amounts of quartz fibers. We tried to overcome this issue by

using PTFE filters, as degradation of the PTFE filter during washing does not occur due to the hydrophobic properties of the filter material. But we observed that not all particles were released into the water during the washing procedure (likely those collected deep within the filter), as filters frequently still looked greyish after washing, independent from the washing procedure (we experimented with different washing times up to 4 hours and with the use of an ultrasonic bath).

278 For our INDA measurements, punches of quartz filters were measured after they were immersed in 279 water, representing the ice nucleating properties of all collected particles (Conen et al., 2012). However, 280 as already mentioned above, $N_{\rm INP}$ derived from LINA measurements were lower than those from INDA, 281 due to particles that did not come off during washing. Based on our observations, we cannot recommend 282 the use of sampling on PTFE filters followed by particle extraction in water. But we still decided to select 283 those data from LINA measurements that showed the lowest deviation to the respective INDA results in 284 the overlapping temperature range for use in this study. After calculating the deviation between INDA 285 and LINA results, represented as the factor ($N_{\rm INP}$ of INDA / $N_{\rm INP}$ of LINA), ten LINA measurements 286 from different days were selected to be used. For these measurements, the factor representing the 287 deviation was in a range from 1.3 to 4.4. These data are shown in Fig. 5(c) and Fig. 5(d). The LINA data 288 is represented by the dotted lines and the respective INDA data from the same sampling periods is 289 represented by solid lines. In the temperature from -20°C to -25°C, results of LINA also show no clear 290 trend in $N_{\rm INP}$ with PM_{2.5} mass concentration and 12h-average $N_{\rm >500nm}$, even though a lower temperature 291 has been involved, extending our statement that urban pollution might not contribute to INP down to -292 25°C.

293 **3.3** Correlation of N_{INP} with PM_{2.5}, and BC mass concentration and particle number concentrations

There have been many studies carried out in field and laboratory focusing on the ice nucleating properties of BC particles, however with inconclusive results. Some held the view that BC is not an efficient ice nucleation active species (Kamphus et al., 2010; Schill et al., 2016), whereas some described BC particles as possible INPs (Cozic et al., 2008; Cozic et al., 2007).

Here we selected N_{INP} derived from INDA measurements at -16°C and plotted them against BC (Fig. 6 (a)), PM_{2.5} mass concentrations (Fig. 6 (b)) and 12h-average values of N_{total} (Fig. 6 (c)), $N_{>500nm}$ (Fig. 6

300 (d)), and N_{INP} at -16°C derived from DeMott et al. (2010) (Fig.6 (e)) and DeMott et al. (2015) (Fig.6 (f)).

301 To determine the latter two, the 12h-averages of $N_{>500 \text{ nm}}$ shown in Fig. 3 were used, following 302 parameterizations suggested by DeMott et al. (2010, 2015). Linear fits are included in all panels of Fig. 303 6, and values for R² and p for these fits are shown in Table 1 Our results discussed in the following, based 304 on N_{INP} at -16°C, are similarly valid for all other temperatures down to -25°C.

305 Fig. 6(a) to (f) show that there was no clear trend between N_{INP} and any of the displayed parameters, 306 be it BC or PM_{2.5} mass concentration or any of the 12h-average particle number concentrations. Also the 307 R^2 and p values given in Table 1 clearly show that there was no correlation between N_{INP} and any of the 308 examined parameters. In the urban region of Beijing during winter, the INP could be assumed to be soot 309 or ash particles from traffic emissions, biomass burning and coal combustion, or to be dust particles 310 advected from the desert regions during prevailing northern and north-western wind, or to originate from 311 the biosphere. While mineral dust and biological particles are generally assumed to be the most abundant 312 INP in the atmosphere (Murray et al., 2012, Kanji et al., 2017), the role of particles from combustion, 313 i.e., of soot and ash particles, as INP is still controversial (Kanji et al., 2017). Our results indicate that 314 BC particles did not correlate with INP concentrations in the urban atmosphere. It is possible that the BC 315 particles emitted from coal burning, biomass burning, and traffic emissions are not ice active in the first 316 place, or that they underwent atmospheric aging processes (such as coagulation, condensation upon vapor, 317 and chemical reaction) resulting in more internally mixed particles after emission (Pöschl, 2005), which 318 might inactivate their potential to act as INP. In the atmosphere of Beijing, the aging timescale is much 319 shorter than in cleaner urban environments, which was shown in Peng et al. (2016). For example, to 320 achieve a complete morphology modification for BC particles in Beijing, the aging timescale was 321 estimated to be 2.3 h, compared to 9 h in Houston (Peng et al., 2016). PM_{2.5} chemical composition 322 indicated that the BC particles may be aged and coated by secondarily formed chemical components 323 (SNA and other secondary organic materials) during the heavy haze episodes (Peng et al., 2016), thereby, 324 resulting in weakened heterogeneous ice nucleation activity of freshly emitted diesel soot particles 325 (Kulkarni et al., 2016).

However, if a possible coating was soluble, it would dissolve both during immersion freezing and during our experiments and would not impede the ice activity of BC particles, unless it reacted chemically with an ice active site. It has been observed that a coating did not impede the ice activity of mineral dust particles coated with nitric acid in Sullivan et al. (2010) and coated with succinic acid or levoglucosan in Wex et al. (2014). 331 Another study conducted in Ulaanbaatar in Mongolia, a city suffering from severe air pollution, 332 showed a low ice activity towards heterogeneous ice nucleation when the sulphur content of particles 333 was highest (Hasenkopf et al., 2016). It is interesting to note that we observe the opposite in our study, 334 i.e., the increase of PM_{2.5} mass concentration and percentage of SNA in PM_{2.5} during haze periods also 335 seem to have no negative impact on INP concentrations. Not only did increased BC mass concentrations 336 not increase the observed INP concentrations, but also were INP concentrations not particularly low 337 during pollution episodes. Furthermore, we conclude that the strong formation of secondary particulate 338 matter during haze days would not contribute to INP. In addition, there is no clear difference of ice 339 nucleation between day and night time samples.

340 The size distribution measurements show that the largest fraction of all particles occurred in the size 341 range below 500 nm. However, during the strongest pollution event towards the end of our measurement 342 period (Dec. 17 during daytime (1217D) till the night from Dec. 21 to Dec. 22 (1221N)), also $N_{>500nm}$ 343 increased noticeably to much larger values than before. In general, also particles in this size range were 344 affected by the pollution, e.g., by an increase in size of pre-existing particles via atmospheric aging 345 processes (such as coagulation, condensation, chemical reaction) where particles advected from southern 346 industrial areas of Beijing might also contribute. This is at the base of the explanation why the 347 parameterizations for $N_{\rm INP}$ by DeMott et al. (2010, 2015) were not able to describe the measured values, 348 as seen in Fig. 6 (e) and (f). Additionally, the time series of $N_{\rm INP}$ at -16 °C, based on DeMott et al. (2010, 349 2015) and are shown as blue and green squares in Fig. 7, respectively. Also shown are values for $N_{\rm INP}$ at 350 -16°C as measured by LINA (red circles), i.e., the same values used in Fig. 7. Mostly, the 351 parameterization by DeMott et al. (2015) yields larger values and a larger spread, compared to the 352 parameterization by DeMott et al. (2010), but naturally both follow the trends in $N_{>500nm}$. A correction 353 factor of 3, as suggested in DeMott et al. (2015), was not applied, as this would simply increase all 354 respective values by this factor, i.e., it will not change the results. Indeed, during the pollution phase, the 355 parameterizations overestimate the observed values by more than two orders of magnitude. But also 356 during clean phases, neither $N_{>500nm}$ nor the parameterizations by DeMott et al. (2010, 2015) correlate 357 with $N_{\rm INP}$. Summarizing, this shows that pollution events not only did not add INP, but also that for the 358 aerosol observed during our study, a parameterization of $N_{\rm INP}$ based on particles in the size range > 500 359 nm is not feasible. Interestingly, as will be shortly discussed in the next section, a much older 360 parameterization by Fletcher (1962) captures $N_{\rm INP}$ as measured in this study rather surprisingly well, at

least within one order of magnitude (Fig. 8). In summary, during polluted days, the increase of BC concentration, secondary components (SNA) and other compounds contributing to $PM_{2.5}$, as well as particle concentrations have no impact on INP concentrations down to -25° C in the urban region we examined in our study. This means that anthropogenic pollution did not contribute to the INP concentration. But it also indicates that that anthropogenic pollution in Beijing did not deactivate the present INP, as polluted periods did not show particularly low INP concentrations, although aging and formation of secondary particulate matter typically are intense during times of strong pollution.

In addition to what we discussed above, also no correlation was observed between N_{INP} and windspeed, as can be seen by the respective values for R² and p given in Table 1. Fig. 9 indicates that there was also no correlation with wind-direction. The fact that we find no correlation with either wind-speed or wind-direction agrees with the desert regions towards the north-west not being efficient dust sources in winter, and are a hint that we may have observed average background INP concentrations in Beijing during our measurements.

374 Additionally, also no correlation was found between any of the water-soluble constituents that were 375 analyzed with ion chromatography and INP concentrations. This is not too astounding, as INP make up 376 only a small fraction of all particles, as can be seen when comparing number concentrations from Fig. 4 377 and Fig. 7, and hence they make up only a small fraction of the mass, likely too small to be detected. 378 Furthermore, a number of different components might contribute to INP, e.g., biological INP that are 379 generally ice active at higher temperatures $(> -15^{\circ}C)$ and mineral dusts which are ice active at lower 380 temperatures, therefore one common tracer for INP might not be applicable. As far as K is concerned, 381 which might be connected to K-feldspar containing mineral dust particles with high ice activity (Atkinson 382 et al., 2013), we only analyzed the water soluble fraction, i.e., K related to feldspar would not have been 383 analyzed. Moreover, K is also emitted by biomass burning and hence influenced by anthropogenic 384 pollution. It remains to be seen if a simple correlation between chemical constituents of the atmospheric 385 aerosol and INP concentrations can be established at all.

386 **3.4 Comparison with literature**

First, we compare our results with results of $N_{\rm INP}$ derived from precipitation samples as collected in Petters and Wright (2015) as shown in Fig. 8. These literature data were collected in various locations 389 in North America and Europe, and none of these locations was one with strong anthropogenic pollution, 390 different from the sample location in the present study. The $N_{\rm INP}$ in our study varied from 10⁻³-10 L⁻¹ air 391 at the temperature range of -10° C to -25° C. The data of this study (dark green and brownish lines) are 392 within the range of values given in Petters and Wright (2015), in the whole temperature range for which 393 INP concentrations were derived here. A comparison with Corbin et al. (2012) and Knopf et al. (2010), 394 who bothexamined INP also in urban air in Toronto and Mexico City, respectively, is not possible due 395 to different examined ice nucleation modes, and also because they only measured at -34°C (Corbin et al., 396 2012), i.e., outside of the temperature range examined in this study, or only reported ice onset 397 temperatures (Knopf et al., 2010). But we want to point towards the fact that an older parameterization 398 based on Fletcher (1962), which has been used for large scale modelling, agrees well with our data (see 399 Fig. 8) down to -20°C. It should, however, also be pointed out that the occurring variability in the data 400 certainly cannot be captured by such a single line. But the increase in $N_{\rm INP}$ towards lower temperatures 401 as parameterized in Fletcher (1962) is similar to that of our data, where it should also be said that this 402 parameterization is known to overestimate atmospheric observations at lower temperatures (roughly 403 below -25°C, see e.g., Meyers et al., 1992). A similar observation was recently described in Welti et al. 404 (2017), where down to -20°C the temperature trend of $N_{\rm INP}$ derived from filter samples taken on the Cape 405 Verde islands also agreed well with the parameterisation by Fletcher (1962), while at lower temperatures, 406 the parameterization exceeded the measurements. In general, for the case of the Beijing air masses 407 examined in this study, both the range of $N_{\rm INP}$ given in Petters and Wright (2015) as well as the 408 parameterization by Fletcher (1962) agree better with our measurements than the parameterizations by 409 DeMott et al. (2010, 2015).

410 All of this is again indicative for the fact that Beijing severe air pollution did not increase or decrease 411 INP concentrations above or below values typically observed in other, non-urban areas on the Earth, and 412 hence, that the background INP concentrations, at least down to -25°C might in general not be directly 413 anthropogenically influenced.

414 Measurements of $N_{\rm INP}$ in China have been done as early as 1963 by You and Shi (1964), and a few 415 further studies listed in Table 2 have been carried out in recent years. Table 2 includes some campaigns 416 finished in different regions of China including mountains, plateaus and suburban districts with low PM_{2.5} 417 concentration and BC-containing particles. In contrast to these observations, our study shows $N_{\rm INP}$ 418 detected in an urban region during highly polluted days with complex particle sources. In our study,

419 immersion freezing was examined, while not all studies listed in Table 2 examined this ice nucleation 420 mode. But due to the scarcity of data, we include the results from all these studies in our discussion here. 421 Apparently, compared with results in Table 2, $N_{\rm INP}$ determined for the urban site of this study (1 L⁻¹ Air 422 at -20°C) was on the lower end of reported values, which were up to roughly 20 L⁻¹ Air at -20°C for nondust events. Highest concentrations were observed for dust events with values up to 604 L⁻¹ · Air at -20°C 423 424 detected at a suburban site in Beijing, showing that INP from mineral dust contribute to the overall $N_{\rm INP}$ 425 already at this temperature (You et al., 2002). Despite the difference among methods and ice nucleating 426 modes, this again suggests that urban pollution aerosol particles might not be efficient immersion 427 freezing INP and that the ice nucleating ability of particles in urban aerosols might originate from the 428 non-urban background aerosol particles that are included in the urban aerosol, i.e., that INP observed in 429 urban environments might have the same sources among bioaerosols and dust particles as non-urban INP. 430 An additional contribution from urban biogenic or dust particles to the INP observed in this study cannot 431 be fully excluded, but the agreement between our data and rural data presented in literature (see Fig. 8 432 and Table 2) corroborates our assumption that atmospheric INP in general originate from non-urban 433 sources.

434 4 Conclusions

INP concentrations down to -25°C determined from PM2.5 samples collected at an urban site of the 435 436 megacity Beijing, China, in winter were found to not be influenced by the highly variable amount of air 437 pollution, both in mass and particle number concentrations, that was present during the sampling period. 438 Therefore, we conclude that neither BC nor other pollutants contributed to INP, including secondarily 439 formed particulate mass. On the other hand, we also conclude that the present INP were not noticeably 440 deactivated during strong pollution events. Particle number concentrations for particles with diameters > 441 500nm were affected by pollution events, and INP concentrations did not correlate with these 442 concentrations. Therefore, as can be expected, parameterizations based on these concentrations (DeMott 443 et al., 2010, 2015) do not reproduce the INP concentrations under these extreme conditions and yield 444 values which are up to more than two orders of magnitude higher than the measured values. On the other 445 hand, INP concentrations were in the middle of the range reported for atmospheric, non-urban, 446 concentrations in Petters and Wright (2015), and on the lower end of reported values collected from 447 previous atmospheric observations in China, while they were much lower than observations during dust

events in China. From this, we conclude that INP concentrations might not be influenced directly by anthropogenic activities, at least not down to roughly -25°C and maybe even below, and that particularly natural mineral dust sources might effect INP concentrations observed in China. It should be noted that ice nucleation observed at high freezing temperatures (particularly above -10°C, but maybe as low as -20°C) is typically attributed to biogenic ice activity. But while identifying the nature of the INP detected here is beyond the reach of our study, we assume that they originated from natural sources and not from anthropogenic combustion sources. However, it should be kept in mind that an indirect anthropogenic influence on INP concentrations is still possible due to land use changes and related changes in atmospheric dust loadings as well as due to vegetation changes and related changes in the biosphere.

478 Appendix

479 480

1. Temperature calibration and background of INDA and LINA

481

482 **1.1 Temperature calibration of INDA**

483 The bath of the thermostat was well mixed during the cooling cycle, and the cooling rate was at 1 484 K min⁻¹. PCR trays were immersed into the cooling liquid such that the water level in the tubes was 485 below the level of the liquid in the thermostat. The temperature inside the tubes was determined before 486 the experiments by putting a temperature sensor into a tube during cooling. This was repeated for tubes 487 in several locations. This worked down to -7°C, below which the sensor induced freezing. In this 488 temperature range, generally a small constant shift of 0.2 K was observed which was assumed to be 489 overall valid and was incorporated in the data at all temperatures. A comparison of data obtained for 490 suspensions of Snomax with previous work done at TROPOS with LACIS (Leipzig Aerosol Cloud 491 Interaction Simulator) and within INUIT (Ice Nuclei Research Unit, (Wex et al., 2015)) showed good 492 agreement down to the lowest temperature at which the experiments for the comparison were run, which 493 was -16°C.

494

495 **1.2 Temperature calibration of LINA**

496 The temperature on the glass slide in LINA was obtained by feeding an air flow with a known dew 497 point temperature through the instrument, while the instrument cooled down with 1 K min⁻¹, i.e., with 498 the same freezing rate used in the experiments. The humidified air flow was obtained by mixing a dry 499 air flow with an air flow that was humidified in a Nafion humidifier (Perma Pure MH-110-12S-4, Perma 500 Pure, Toms River, New Jersey, USA) which was connected to a thermostat (HAAKE C25P, HAAKE 501 GmbH, Karlsruhe, Germany) that kept the temperature in the humidifier at 10°C. By mixing the two air 502 streams, dew point temperatures below 0°C were obtained. The dew point temperature was measured 503 with a dew point mirror (Dew Prime I-S2, Edge Tech, Milford, Massachusetts, USA). The overall setup 504 is based on the principle of a dew point mirror, i.e., the glass slide on the Peltier element in LINA started 505 to fog when its temperature reached the dew point temperature adjusted in the air flow. Optical detection 506 by the CCD camera was deployed similar to how it is used during measurements, i.e., taking a picture 507 every 6 s. Subsequently detected greyscale images were compared to an image that was taken well before 508 fogging began. Brightness differences between this original picture and the following pictures were taken 509 and resulted in a S-shaped curve, reaching the maximum plateau once the glass slide was fogged over 510 completely. A fit was applied to the curve in order to find the temperature where 50% are fogged, which 511 was taken to represent the actual temperature. Using this principle, the temperature on the glass plate in 512 LINA was calibrated repeatedly at 5 different temperatures in the range from -2.3°C to -22.3°C. A 513 comparison of data obtained for suspensions of pollen washing water with previous work done at 514 TROPOS with LACIS (Augustin et al., 2013) showed good agreement down to the lowest temperature 515 at which the experiments for the comparison were run, which was -25°C.

516

517 2. Background measurement of INDA and LINA

518

In the background experiments of INDA, clean filters in distilled water froze from -17° C to -26° C, while filters with atmospheric particles froze from -6° C to -22° C. The f_{ice} of the clean filters was 5 to 14 times lower than that of atmospheric samples at the same temperature, showing a low impact. In LINA measurements, the background of clean filters washed with distilled water was even lower, as droplets started to freeze at -22° C. Figure A1 and A2 show the measured frozen fractions of the samples and the background from pure water and the water with clean filters for both INDA and LINA, to corroborate that the measurements were well separated from the background.

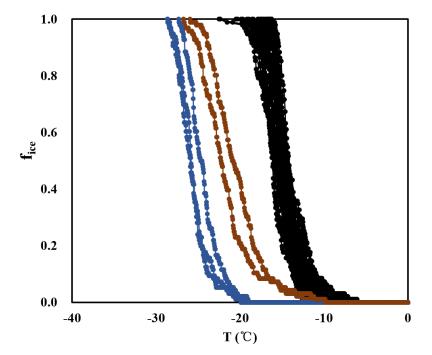


Fig. A1 Frozen fractions determined from INDA (black lines), together with background signals determined
 for pure water (blue lines) and for pure water containing punches of a clean filter (brown lines).

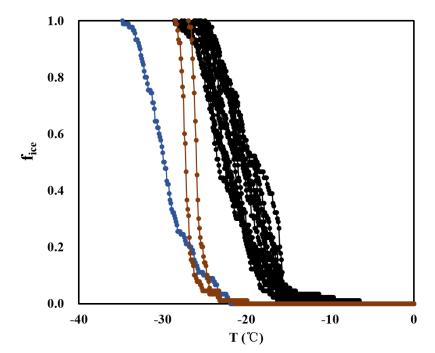


Fig. A2 Frozen fractions determined from LINA, together with background signals determined for pure water
 and for pure water in which a clean filter was put and washed, similar to the procedure for the samples.

- **3. Measurement uncertainty for INP measurements**
- 535

536 The highest and lowest freezing curved detected with INDA are shown exemplarily in Fig. A3 537 together with the measurement uncertainty. The derivation of the uncertainty was based on the fact that 538 at each temperature, all INP that are ice active at that or any higher temperature are Poission distributed 539 to the examined droplets. It followed a method described in Harrison et al. (2016). For LINA, no 540 uncertainties are given, as we know that washing off from the filters was incomplete, and the fraction of 541 particles that was retained on the filters cannot be determined. The largest deviation that we allowed 542 between LINA and INDA, i.e., a factor of 4.4 (see Sec. 3.2), is the base for the maximum uncertainty for 543 fice detected with LINA. For both, INDA and LINA, the temperature uncertainty is 0.5K.

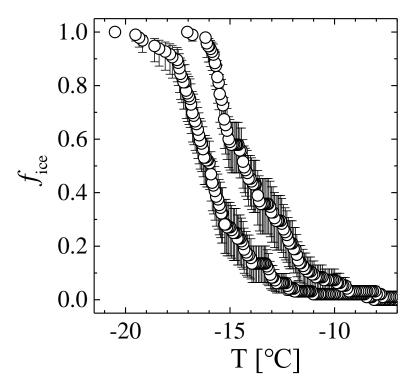




Fig. A3. The highest and lowest freezing curved detected with INDA together with the measurement
 uncertainty.

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- 550

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Table and Figures:

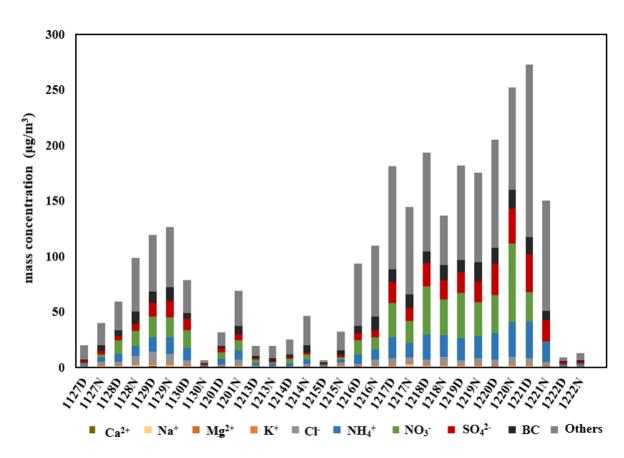
Table 1 Coefficient of determination (R²) and a measure for the statistical significance of the assumption of a
linear correlation (p) for the comparison of N_{INP} at -16°C with the different parameters shown in Fig. 5.

parameter	\mathbf{R}^2	р
(a) BC concentration	0.003	0.79
(b) PM _{2.5} concentration	0.006	0.71
(c) N _{total}	0.005	0.73
(d) $N_{>500nm}$ at -16°C	0.008	0.67
(e) N_{INP} at -16°C, based on DeMott et al. (2010)	0.005	0.73
(f) N_{INP} at -16°C, based on DeMott et al. (2015)	0.007	0.67
(g) Wind speed	<0.001	0.99

856 Table 2. Comparison of INP measurements in different regions of China, including NINP (i.e., INP number

857 concentrations) and corresponding temperature

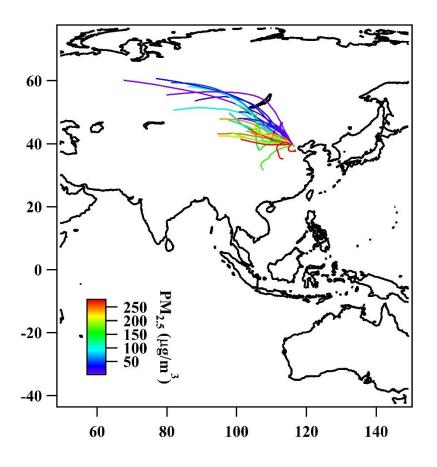
Sampling site	Citation	Sampling Date	Instruments	Temperature (°C)	Average INP (L ⁻¹ ·Air)	Mode
Huangshan	(Jiang et al.,	September-	Vacauum water vapor	-15~-23	0.27~7.02	Deposition
(mountain site)	2015)	October,2012	diffusion chamber	-1525	0.2747.02	Deposition
Huangshan (mountain site)	(Jiang et al., 2014)	May- September,2011	Mixing cloud chamber The static diffusion cloud chamber	-20	16.6	Deposition/ Condensation
Huangshan (mountain site)	(Hang et al., 2014)	May- September,2011; September- October,2012	Static vacuum water vapor diffusion cloud chamber	-20	18.74	All modes
Tianshan (mountain site)	(Jiang et al., 2016)	14-24 May, 2014	Vacauum water vapor diffusion chamber;	-20	11(non-dust) Hundreds(dust)	Deposition
Nanjing (suburban site)	(Yang et al., 2012)	May-August,2011	Mixing cloud chamber; The statistic diffusion chamber;	-20	20.11	All modes
Qing Hai (plateau site)	(Shi et al., 2006)	5-26 October, 2003	The Bigger mixing cloud chamber	-15, -20, -25	23.3~85.4	Deposition
Beijing (suburban site)	(You and Shi, 1964)	18 March- 30 April,1963	Mixing cloud chamber	-20	3.9~4.8	All modes
Beijing	(You et al.,	18 March-	The Bigger mixing cloud	15 00	21,78.9(non-dust)	A 11 I
(suburban site)	2002)	30 April,1995	chamber	-15, -20	604(dust)	All modes
Beijing (urban site)	This study	27 November- 22 December, 2016	Ice Nucleation droplets Array	-10 ~ -28	0.001~10	Immersion



877 Figure 1. The time series of PM_{2.5} concentrations and chemical composition. Data are shown for 15 different

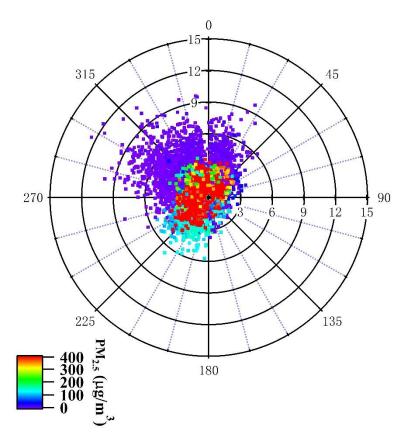
878 days where the dates are indicated in the x-axis-labeling and "D" and "N" stand for daytime and nighttime,

879 respectively.



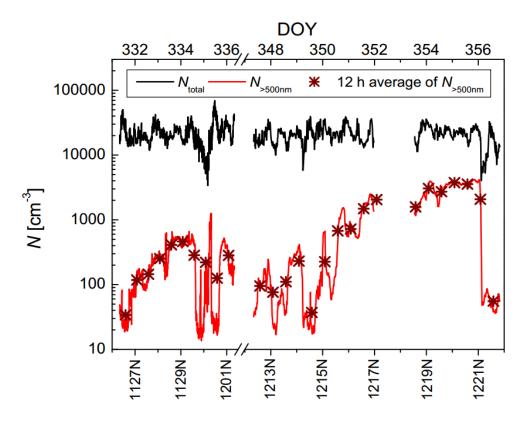
881 Figure 2. The 2-day back-trajectories obtained by the NOAA HYSPLIT model colored-coded with respect to

882 PM_{2.5} mass concentration determined by PTEF filter.

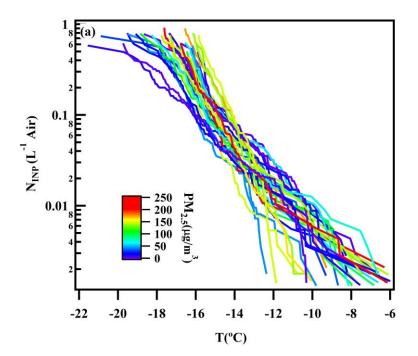


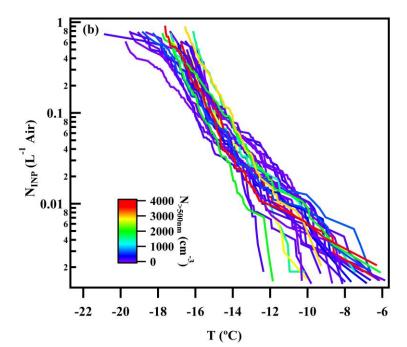
884 Figure 3. Minutely recorded data for wind-direction and wind-speed colored-coded with respect to PM_{2.5} mass

885 concentration.



890 Figure 4. The time series of *N*_{total}, *N*_{>500nm} and 12-h average *N*_{>500nm} at -16°C.





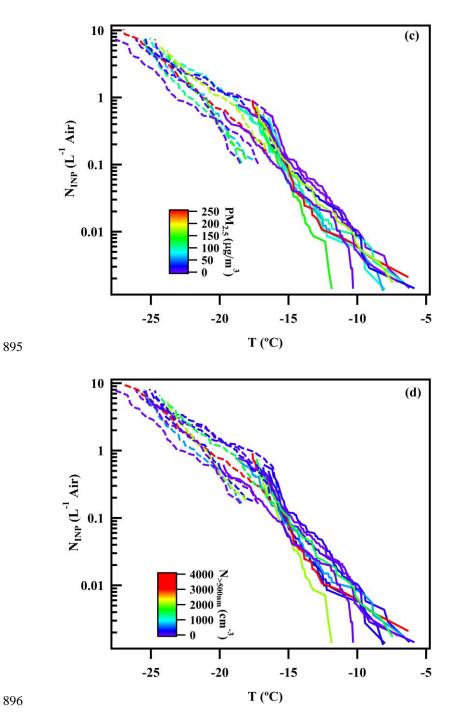
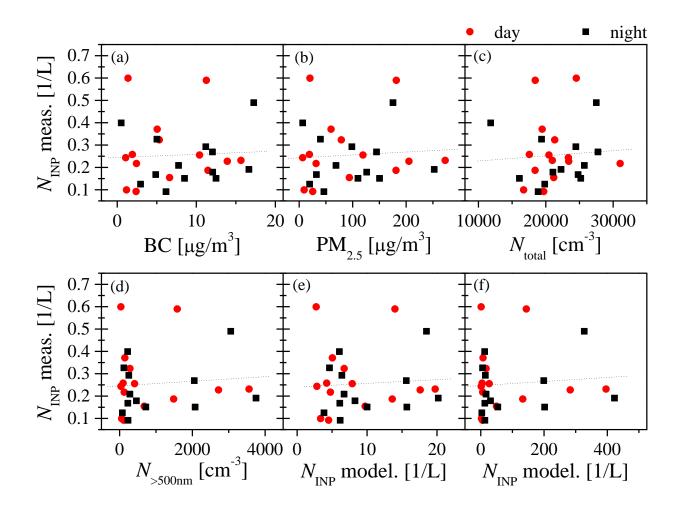


Figure 5. N_{INP} as function of temperature, panel (a) and (b) show INDA results coloured by PM_{2.5} mass concentration and 12h-average N>500nm, (c) and (d) for 10 comparable results of INDA and LINA coloured by PM_{2.5} mass concentration and 12h-average N_{>500nm}, dotted lines represents LINA results while solid lines represents INDA results.



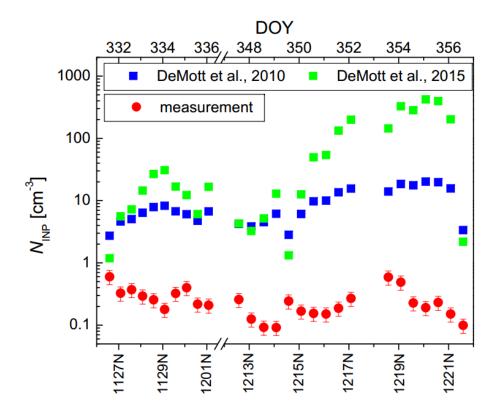


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905 Figure 6. *N*_{INP} at -16°C as function of mass concentrations of BC (a) and PM_{2.5} (b), and of 12h-average values

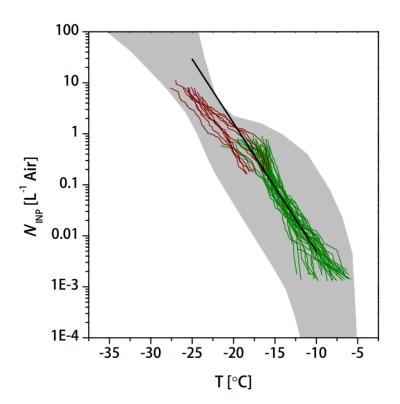
906 of N_{total} (c). Furthermore, we show $N_{>500\text{nm}}$ (d), and N_{INP} at -16°C derived based on (DeMott et al., 2010) (e)

907 and DeMott et al. (2015) (f) for daytime (red round symbols) and nighttime (green square symbols) samples.



909 Figure 7. The time series of measured N_{INP} and N_{INP} parameterized according to DeMott et al. (2010, 2015) at

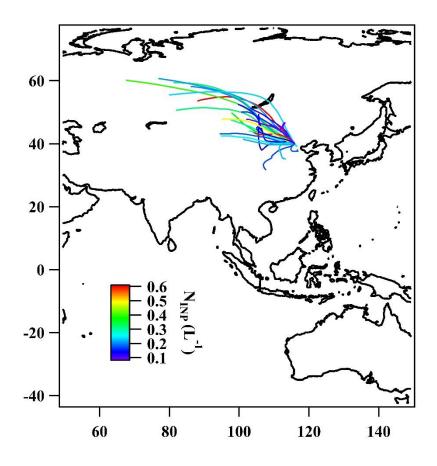
-16°C.





916 Figure 8. *N*_{INP} as derived from precipitation samples collected in Petters and Wright (2015) (grey area) and a

917 parameterization based on Fletcher (1962) (black line), together with our results (dark green and brownish
918 lines from INDA and LINA measurements, respectively).



921 Figure 9. The 2-day back-trajectories obtained by the NOAA HYSPLIT model colored-coded with respect

- 922 to INP concentration