# Estimation of bubbled-mediated air/sea gas exchange from concurrent DMS and CO<sub>2</sub> transfer velocities at intermediate-high wind speeds

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#### 18 Abstract

19 Simultaneous air/sea fluxes and concentration differences of dimethylsulfide (DMS) and 20 carbon dioxide (CO<sub>2</sub>) were measured during a summertime North Atlantic cruise in 2011. This dataset reveals significant differences between the gas transfer velocities of these two 21 gases ( $\Delta k_w$ ) over a range of wind speeds up to 21 m s<sup>-1</sup>. These differences occur at and above 22 23 the approximate wind speed threshold when waves begin breaking. Whitecap fraction (a 24 proxy for bubbles) was also measured and has a positive relationship with  $\Delta k_w$ , consistent with enhanced bubble-mediated transfer of the less soluble CO<sub>2</sub> relative to that of the more 25 26 soluble DMS. However, the correlation of  $\Delta k_w$  with whitecap fraction is no stronger than with 27 wind speed. Models used to estimate bubble-mediated transfer from in situ whitecap fraction under-predict the observations, particularly at intermediate wind speeds. Examining the differences between gas transfer velocities of gases with different solubilities is a useful way to detect the impact of bubble-mediated exchange. More simultaneous gas transfer measurements of different solubility gases across a wide range of oceanic conditions are needed to understand the factors controlling the magnitude and scaling of bubble-mediated gas exchange.

#### 34 **1** Introduction

35 Air/sea exchange is a significant process for many compounds that have biogeochemical and 36 climatic importance. Approximately 25% of the carbon dioxide (CO<sub>2</sub>) released into the 37 atmosphere by anthropogenic activities has been taken up by the world oceans, which has 38 tempered its climate forcing while leading to ocean acidification (Le Quéré et al., 2015). The 39 biogenic gas dimethylsulfide (DMS) is a major contributor to the mass of marine atmospheric 40 aerosol (Virkkula et al., 2006). Volatile organic compounds (VOCs) such as isoprene, 41 acetone and acetaldehyde alter the oxidising capacity of the troposphere (Carpenter et al., 42 2012). The solubility differences between these VOCs mean that their exchange is controlled 43 to differing degrees by processes on the water and air side of the air/sea interface (Yang et al., 44 2014). Many of the factors influencing air/sea gas exchange will be altered by future changes 45 in climate, ocean circulation and biology. Earth system models and air quality models require 46 more accurate understanding of the processes that influence air/sea gas transfer.

47 Air/sea gas exchange is typically parameterised as a function of the ocean/atmosphere bulk 48 concentration difference ( $\Delta C$ ) and the physical mixing induced by wind stress at the interface 49 (Liss and Slater, 1974). The air/sea flux is typically described using the expression:

50

# $Flux = K(C_w - \alpha C_a)$ Equation 1

51 where  $C_w$  and  $C_a$  are the trace gas bulk concentration on either side of the interface,  $\alpha$  is the 52 dimensionless water/air solubility of the gas in seawater and *K* is the gas transfer velocity. 53 The physics of gas transfer are implicitly represented by the gas transfer velocity, which is 54 commonly expressed in water-side units of velocity (cm hr<sup>-1</sup>) and parameterized as a function 55 of wind speed ( $U_{10}$ ) and Schmidt number (*Sc*). The simplicity of Equation 1 belies the 56 complexity of the processes involved in air/sea gas transfer. These processes include 57 diffusion, surface renewal and bubble-mediated transport. In turn, turbulence can be 58 generated by wind stress, wave-induced mixing, buoyancy, currents and wave breaking. A 59 variety of theoretical, laboratory, and field approaches have been used to study the processes 60 that control air/sea transfer, but we do not yet have a firm understanding of their relative 61 importance under a range of atmospheric and oceanic conditions.

62 The gas transfer-wind speed relationships for gases of different solubility may be affected by 63 breaking waves and bubbles (Keeling, 1993; Woolf, 1993, 1997). Gas invasion and evasion 64 via bubbles  $(k_{bub})$  is sensitive to the void fraction (ratio of air volume to total volume) of the 65 bubble plume as well as the bubble size distribution. Bubble injection depth and cleanliness of the surface (influenced by surfactants) affect bubble rise velocity and residence time. 66 67 Bubble residence time determines the time available for equilibration to occur while bubble 68 volume, pressure and gas diffusivity (Sc) govern the time needed for a bubble to equilibrate. The magnitude of  $k_{bub}$  is expected to be greater for sparingly soluble gases (e.g. CO<sub>2</sub>, 69 70 dimensionless solubility ~1) than for more soluble gases such as DMS (dimensionless 71 solubility ~15), particularly when bubbles are fully equilibrated. Bubble-mediated gas 72 transfer has been studied in the laboratory (Asher et al., 1996; Rhee et al., 2007) and using 73 models (e.g. Woolf, 2005; Woolf et al., 2007; Fairall et al., 2011; Goddijn-Murphy et al., 74 2016).

75 Deliberate, dual-tracer techniques have estimated gas transfer by measuring the evasion of a pair of sparingly soluble gases with different diffusivity (<sup>3</sup>He and  $SF_6$ , dimensionless 76 77 solubility  $\leq 0.01$ ). These studies observed a non-linear wind speed dependence of the gas 78 transfer velocity, in qualitative agreement with earlier studies in wind-wave tanks (e.g. 79 Wanninkhof et al., 1985; Liss and Merlivat, 1986; Watson et al., 1991). Direct, shipboard 80 measurements of waterside gas transfer have also been made by eddy covariance (e.g. 81 McGillis et al., 2001; Huebert et al., 2004; Marandino et al., 2007; Miller et al., 2010; Bell et 82 al., 2013). These measurements typically show DMS gas transfer velocities that are lower 83 and exhibit more linear wind speed dependence than the  $CO_2$  transfer velocity-wind speed 84 relationship inferred from dual tracer studies (e.g. Yang et al., 2011; Goddijn-Murphy et al., 85 2012; Bell et al., 2015). It has been suggested that the difference between the open ocean gas 86 transfer velocities of CO<sub>2</sub> and DMS is due to the reduced importance of bubble-mediated 87 exchange for DMS (Blomquist et al., 2006; Fairall et al., 2011; Goddijn-Murphy et al., 2016).

Only one set of concurrent CO2 and DMS gas transfer velocity measurements have been 88 published to date (Miller et al., 2009). In that study, no data were collected for winds greater 89 than 10 m s<sup>-1</sup> and no statistically significant difference was observed in the CO<sub>2</sub> and DMS gas 90 91 transfer-wind speed relationships after normalising both gases to a common diffusivity. This 92 study presents a more extensive set of CO<sub>2</sub> and DMS gas transfer velocities that were 93 measured simultaneously aboard the R/V Knorr in the 2011 summertime North Atlantic in both oligotrophic and highly productive waters. The DMS gas transfer velocities are 94 95 discussed separately in detail by Bell et al. (2013). Here we focus specifically on what can be 96 learned about gas transfer from the differences in behaviour of two different solubility gases 97 at intermediate and high wind speeds.

#### 98 2 Methods

### 99 **2.1** Seawater, atmospheric and flux measurement systems

100 The measurement setups for DMS and CO<sub>2</sub> concentrations in air and water and the eddy 101 covariance flux systems have been discussed in detail elsewhere (Miller et al., 2008; 102 Saltzman et al., 2009; Miller et al., 2010; Bell et al., 2013; Landwehr et al., 2014; Bell et al., 103 2015; Landwehr et al., 2015). We provide a summary plus some additional details in the 104 Appendix.

# 105 **2.2 Gas transfer velocity calculations**

In this section we describe the calculation of DMS and  $CO_2$  gas transfer velocities from the Knorr\_11 cruise data. Measured gas transfer velocities are transformed into water side only gas transfer velocities in order to remove the influence of air-side resistance. The relative contribution of air-side resistance to the total resistance is a function of solubility and thus different for the two gases. Finally, we discuss the most appropriate approach for comparing the water-side gas transfer velocities, given that the two gases have different molecular diffusivity and solubility.

Total gas transfer velocities (*K*) are calculated for  $CO_2$  and DMS for each 10-minute flux interval of the Knorr\_11 cruise using Equation 1. The temperature-dependent dimensionless solubilities of  $CO_2$  and DMS in seawater are calculated following Weiss (1974) and Dacey et al. (1984) respectively. These gas transfer velocities reflect the result of resistance on both sides of the interface (Liss and Slater, 1974). The water side contribution to the totalresistance is determined as follows:

119 
$$k_{w} = \left[\frac{1}{K} - \frac{\alpha}{k_{a}}\right]^{-1}$$
 Equation 2

120 where  $k_w$  and  $k_a$  are the air side and water side gas transfer velocities and  $\alpha$  is dimensionless water/air solubility. Note that we use the  $\alpha$  reported by Dacey et al. (1984) in these 121 calculations rather than the Henry's Law constant (H, units of atm L mol<sup>-1</sup>) as there appears 122 123 to be an error in conversion between  $\alpha$  and H in that study (see Supplemental information for 124 discussion). CO<sub>2</sub> solubility is sufficiently low that air side resistance is negligible and the water side gas transfer is assumed equal to the total transfer velocity ( $k_{CO_2} = K_{CO_2}$ ). The air 125 side resistance for DMS needs to be accounted for because it is a moderately soluble gas 126 (McGillis et al., 2000). Air side gas transfer velocities  $(k_a)$  for DMS were calculated for each 127 128 10 minute flux interval with the NOAA COAREG 3.1 model, using sea surface temperature 129 (SST) and horizontal wind speed measured during the cruise. The NOAA COAREG 3.1 130 model (Fairall et al., 2011) is an extension of the COARE bulk parameterization for air/sea 131 energy and momentum fluxes to parameterize gas transfer (Fairall et al., 1998; Fairall et al., 132 2000). The air side resistance contributes about 5% on average to the total resistance for 133 DMS. NOAA COAREG 3.1 model calculations were carried out using a turbulent/molecular 134 coefficient, A = 1.6, and bubble-mediated coefficient, B = 1.8 (Fairall et al., 2011). Knorr\_11 135 measurements of SST, air temperature, relative humidity, air pressure, downward radiation and wind speed were used as input parameters to the model. Note that the use of the 136 COAREG 3.1 model introduces a small uncertainty in our estimates of waterside DMS gas 137 transfer velocity (approximately  $\pm 2\%$  when wind speed = 20 m s<sup>-1</sup>). 138

To facilitate comparison of transfer coefficients for the two gases across a range of sea surface temperatures, gas transfer velocities are corrected for changes in molecular diffusivity and viscosity. The correction typically involves the normalisation of water side gas transfer velocities to a common Schmidt number (Sc=660), equivalent to CO<sub>2</sub> in seawater at 20°C:

143 
$$k_{X,660} = k_X \left(\frac{660}{Sc_X}\right)^{-0.5}$$
 Equation 3

where subscript  $_X$  refers to CO<sub>2</sub> or DMS (i.e.  $k_{DMS,660}$  and  $k_{CO_2,660}$ ). Temperature-dependent Sc<sub>CO2</sub> and Sc<sub>DMS</sub> were obtained using the *in situ* seawater temperature from the ship's bow sensor and parameterisations from Wanninkhof (1992) and Saltzman et al. (1993).

147 The *Sc* number normalization (Equation 3) is commonly used across the whole range of wind 148 speeds. In fact, it is only appropriate at low or moderate winds when interfacial gas transfer 149 dominates over bubble-mediated gas exchange. If bubbles are an important component of 150 gas transfer then solubility also plays a role and normalization based on *Sc* alone may not be 151 sufficient.

To develop a more rigorous comparison of  $k_{DMS}$  and  $k_{CO_2}$ , we normalized the water side transfer velocities of DMS to the Schmidt number of CO<sub>2</sub> at the *in situ* sea surface temperature of each 10-minute flux interval, as follows:

155 
$$k_{DMS,Sc} = k_{DMS} \cdot \left(\frac{Sc_{CO_2}}{Sc_{DMS}}\right)^{-0.5}$$
 Equation 4

where  $Sc_{CO_2}$  and  $Sc_{DMS}$  are the Schmidt numbers of CO<sub>2</sub> and DMS at the *in situ* sea surface temperature. Compared to normalizing both DMS and CO<sub>2</sub> to Sc=660, this approach has the advantage of correcting only  $k_{DMS}$ , with no correction to  $k_{CO2}$ . The *Sc* correction for DMS should be reasonably accurate, assuming that the bubble-mediated transfer for the more soluble DMS is relatively small.

161 On the Knorr\_11 cruise, the variability in sea surface temperature was small ( $1\sigma = \pm 1^{\circ}$ C). As 162 a result, there is little difference in the variability or wind speed dependence of *Sc*-corrected 163  $k_{CO2}$  compared to  $k_{CO2}$  at the *in situ* temperature (Figure 5 vs. Figure S5 in Supplemental 164 information). In Section 3.4, the relationship between CO<sub>2</sub> and DMS gas transfer velocities 165 and wind speed is examined using  $k_{DMS,Sc}$  and kCO<sub>2</sub>.

# 166 **2.3 Calculation of** $k_{bub,CO_2}$

167 The flux of a water-side controlled gas is equal to the sum of the interfacial flux and the 168 bubble-mediated flux. For gases with significant air/sea disequilibrium these processes are 169 often considered as parallel transfer velocities, i.e. total transfer velocity  $k_w = k_{int} + k_{bub}$ . See 170 Woolf (1997) for a more complete discussion of bubble-mediated transfer for gases close to 171 ocean/atmosphere equilibrium. We assume that turbulence and diffusive mixing at the sea 172 surface operate similarly upon the interfacial air/sea transfer of CO<sub>2</sub> and DMS (i.e.  $k_{int,CO2} =$ 173  $k_{int,DMS}$ ), given appropriate normalization for the differences in molecular diffusivity. 174 Observed differences between  $k_{DMS,Sc}$  and  $k_{CO_2}$  should therefore be a measure of the 175 difference between the bubble-mediated contributions to DMS and CO<sub>2</sub> gas transfer:

176 
$$\Delta k_w = k_{bub,CO_2} - k_{bub,DMS}$$
 Equation 5

Strictly speaking, Equation 5 should also account for the influence of bubble overpressure, 177 178 which alters the gas flux due to bubbles when the concentration gradient is into the ocean. The extra pressure on the gas in the bubbles is calculated following Woolf (1997):  $\Delta =$ 179  $(U_{10}/U_i)^2$ % where  $U_i$  is the wind speed at which the supersaturation of a particular gas equals 180 1% (49 m s<sup>-1</sup> in the case of CO<sub>2</sub>). A high wind speed (20 m s<sup>-1</sup>) gives  $\Delta = 0.167\%$ , which 181 182 would lead to only a  $\sim 2\%$  enhancement of the CO<sub>2</sub> flux when the air/sea concentration gradient is 30 ppm (minimum for this study) and into the ocean. The magnitude of this effect 183 184 would be larger for gases less soluble than CO<sub>2</sub> but we are able to ignore it for the purposes of this study. 185

186  $k_{bub,CO_2}$  and  $k_{bub,DMS}$  are related by the influence of solubility and diffusivity upon bubble-187 mediated transfer. We parameterize this relationship simply as  $k_{bub,DMS} = f.k_{bub,CO_2}$ . 188 Substitution into Equation 5 yields:

189 
$$k_{bub,CO_2} = \frac{\Delta k_w}{1 - f}$$
 Equation 6

190 The value of f depends on seawater temperature and the complex dynamics of bubble 191 formation and cycling (size distributions, surfactants, etc.). At the mean SST encountered in 192 this study (9.8°C), the bubble gas transfer models of Woolf (Woolf, 1997) and Asher (Asher 193 and Wanninkhof, 1998; Asher et al., 2002) yield values for f of 0.14 and 0.28, respectively 194 (see Supplemental information for model equations).

# 195 **2.4 Sea surface imaging**

196 Whitecap areal fraction was measured using images of the sea surface recorded with a digital 197 camera (5 mega pixel Arecont Vision, 16 mm focal length lens) mounted 14.6 m above the

ocean surface at an angle of  $\sim 75^{\circ}$  from the nadir. Image footprints represent  $\sim 7600 \text{ m}^2$  of sea 198 199 surface. Images were collected at a sample interval of about 1 second and post-processed for 200 whitecap fraction according to the Automated Whitecap Extraction algorithm method 201 (Callaghan and White, 2009). More detail on the methodology, camera exposure settings and 202 data comparability are provided in the Supplemental information. Images were further 203 processed to distinguish whitecap pixels as either stage A or stage B whitecaps by applying a 204 spatial separation technique (Scanlon and Ward, 2013). The whitecap fraction measurements 205 were averaged in the same way as the gas transfer velocities (i.e. time-averaged mean values as well as  $2 \text{ m s}^{-1}$  wind speed bins). 206

#### 207 **3 Results**

# 208 **3.1** Cruise location and environmental conditions

209 This study took place in the summertime North Atlantic (June 24 – July 18, 2011; DOY 175-210 199), departing and returning to Woods Hole, MA. Most of the data were collected north of 211 50°N, including the occupation of four 24-36 hr stations – ST181, ST184, ST187 and ST191 212 (Figure 1). The cruise track was designed to sample regions with high biological productivity and phytoplankton blooms, with large air/sea concentration differences for CO<sub>2</sub> and DMS. 213 The cruise meteorology and physical oceanography is discussed in detail by (Bell et al., 214 215 2013). A series of weather systems travelling from West to East passed over the region during the cruise. Wind speeds ranged from  $\sim 1$  to 22 m s<sup>-1</sup>, with strongest winds during the 216 217 frontal passages at stations ST184 and ST191 (Figure 1b). Atmospheric boundary layer 218 stability was close to neutral for most of the cruise (|z/L| < 0.07; 75% of the time), with 219 infrequent stable conditions (z/L > 0.05; <8% of the time, Figure 1a). There was no evidence 220 that the stable periods affected the flux measurements (Bell et al., 2013). Whitecap areal fraction increased up to a maximum of  $\sim 0.06$  in response to high wind speeds (Figure 1b). 221

#### 222 **3.2 Whitecaps**

Whitecaps were observed during Knorr\_11 when wind speeds exceeded 4.5 m s<sup>-1</sup>, a typical wind speed threshold for whitecap formation in the open ocean (Callaghan et al., 2008; Schwendeman and Thomson, 2015). Whitecap areal fraction is a strong, non-linear function of wind speed (Figure 2a). The whitecap vs. wind speed relationship for Knorr\_11 is similar in shape to recently-published, wind speed-based whitecap parameterisations (Callaghan et al., 2008; Schwendeman and Thomson, 2015). At intermediate wind speeds the Knorr\_11 whitecap data are lower than the parameterisations (Figure 2a). Total whitecap coverage is a function of (i) active 'stage A whitecaps' ( $W_A$ ) produced from recent wave breaking and (ii) maturing 'stage B whitecaps' ( $W_B$ ) that are decaying foam from previous breakers. The Stage A whitecap fraction data is highly variable at ~11 m s<sup>-1</sup> wind speeds (Figure 2b), which is driven by the difference in the wind-wave conditions during Knorr\_11 (see discussion in Supplemental information).

## 235 **3.3 Concentrations, fluxes and gas transfer velocities**

Seawater pCO<sub>2</sub> was consistently lower than the overlying atmosphere throughout the study region due to biological uptake (Figure 3a). As a result, the air/sea concentration difference  $(\Delta pCO_2)$  was large and always into the ocean, with  $\Delta pCO_2 < 45$  ppm for more than 80% of the measurements. Periods with particularly enhanced  $\Delta pCO_2$  into the ocean were during the transit between ST181 and ST184 ( $\Delta pCO_2$  as large as -120 ppm) and during ST191 ( $\Delta pCO_2$ consistently -75 ppm).

242 Seawater DMS levels were much higher than atmospheric levels, reflecting the biogenic sources in seawater and the relatively short atmospheric lifetime (~1 day; Kloster et al., 243 244 2006). The largest air/sea DMS concentration differences ( $\Delta DMS$ ) of 6-12 ppb were observed during DOY 185-190 (Figure 4a). The  $\Delta DMS$  and  $\Delta pCO_2$  did not co-vary 245 246 (Spearman  $\rho = 0.11$ , n=918, p<0.001). This is not surprising because, although seawater 247 DMS and CO<sub>2</sub> signals are both influenced by biological activity, they are controlled by 248 different processes. Seawater CO<sub>2</sub> levels reflect the net result of community photosynthesis 249 and respiration, while DMS production is related to metabolic processes that are highly 250 species-dependent (Stefels et al., 2007).

251 CO<sub>2</sub> fluxes ( $F_{CO2}$ ) were generally into the ocean, as expected given the direction of the air/sea 252 concentration difference (Figure 3b). The variability in  $F_{CO2}$  observed on this cruise reflects 253 dependence on both wind speed and  $\Delta pCO_2$ . For example, during DOY182 air-to-sea CO<sub>2</sub> 254 fluxes increase due to a gradual increase in  $\Delta pCO_2$  with fairly constant wind speed. More 255 commonly,  $\Delta pCO_2$  was fairly constant and variability in  $F_{CO2}$  reflected changes in wind 256 speed. For example, from DOY 185-187 wind speeds gradually declined from ~10 to 5 m s<sup>-1</sup> 257 with a concurrent decline in  $F_{CO2}$ . DMS eddy covariance fluxes were always out of the ocean. 258 Ten minute averaged DMS fluxes ( $F_{DMS}$ ) clearly show the influence of  $\Delta$ DMS (e.g. DOY 259 188) and wind speed (e.g. DOY 184).

260 Gas transfer velocities of CO<sub>2</sub> and DMS from this cruise exhibit two systematic differences: i)  $k_{DMS}$  values are generally lower than  $k_{CO_2}$ , particularly during episodes of high wind speed; 261 and ii)  $k_{CO_2}$  is characterized by much larger scatter than  $k_{DMS}$ . We attribute the large scatter in 262  $k_{\rm CO_2}$  to the greater random uncertainty associated with the eddy covariance measurement of 263 264 air/sea CO<sub>2</sub> fluxes compared to those of DMS. As shown by Miller et al. (2010), the 265 analytical approach used in this study (dried air, closed path LI7500) has sufficient precision to adequately resolve the turbulent fluctuations in atmospheric CO<sub>2</sub> associated with the 266 surface flux over most of the cruise ( $\Delta pCO_2 < -30$  ppm). The scatter in the CO<sub>2</sub> flux 267 268 measurements is more likely due to environmental variability resulting from fluctuations in 269 boundary layer CO<sub>2</sub> mixing ratio arising from horizontal and/or vertical transport unrelated to 270 air/sea flux (Edson et al., 2008; Blomquist et al., 2014). These effects likely have a much 271 smaller effect on air/sea DMS fluxes, because the air/sea DMS concentration difference is 272 always much larger than the mean atmospheric DMS concentration (due to the short 273 atmospheric lifetime of DMS). For example, a  $\Delta pCO_2$  of 100 ppm at a wind speed of 10 m s<sup>-</sup> <sup>1</sup> will produce turbulent fluctuations that are ~0.02% of the background  $CO_2$  on average. In 274 contrast, a typical seawater DMS concentration (2.6 nM) at a wind speed of 6 m s<sup>-1</sup> generates 275 276 fluctuations that are 20% of the background (Table 1; Blomquist et al., 2012). Thus,  $F_{CO2}$ 277 measurements are highly sensitive to small fluctuations in background CO<sub>2</sub> and the relative 278 uncertainty is expected to be much larger than that for  $F_{DMS}$ .

# 279 **3.4** Comparison of $k_{CO_2}$ and $k_{DMS,Sc}$

The differences between CO<sub>2</sub> and DMS gas transfer velocities observed in the time series are also evident when the data are examined as a function of wind speed. From the 10-minute averaged data, it is clear that  $k_{CO_2}$  is greater than  $k_{DMS}$  and has a stronger wind speeddependence over most of the wind speed range (Figure 5a,b). These broad trends are also easily seen in longer time-averaged data. Flux and  $\Delta C$  measurements were averaged into 2 hour periods (minimum of 3 flux intervals per 2 hour period), which reduced the scatter in  $F_{CO2}$  while preserving the temporal variability (see Figure S7 in Supplemental information).

- Gas transfer velocities were then recalculated from the 2 hour averaged data. 10-minute  $k_{co_2}$ and  $k_{DMS,Sc}$  data were also averaged into 2 m s<sup>-1</sup> wind speed bins, with a minimum of five 10minute periods per bin. The 2 hour averaged data and the wind speed binned data show  $k_{co_2}$ and  $k_{DMS,Sc}$  diverging at intermediate wind speeds, differing by a factor of roughly two at 10 m s<sup>-1</sup> (Figure 5c,d).
- 292 DMS gas transfer velocities on this cruise exhibit complex behaviour at intermediate to high 293 wind speeds, as discussed in Bell et al. (2013).  $k_{DMS.Sc}$  increases linearly with wind speed up to  $\sim 11 \text{ m s}^{-1}$  (Figure 5). Under the sustained high wind, high wave conditions encountered 294 295 during ST191, the wind speed-dependence of  $k_{DMS,Sc}$  was lower than expected, with a slope 296 roughly half that of the rest of the cruise data. This effect was not observed at ST184 - for 297 detailed discussion, see Bell et al. (2013). Such coherent spatial-temporal variation means 298 that wind speed bin averaging of the higher wind speed  $k_{DMS,Sc}$  may mask real variability in 299 the relationship with wind speed. Relationships developed from wind speed bin-averaged gas 300 transfer data should be interpreted with caution, especially when it comes to developing 301 generalizable air/sea gas transfer models.

302 The Knorr\_11  $k_{CO_1}$  data also demonstrate a clear wind speed dependence (Figure 5). The NOAA COARE model for CO<sub>2</sub> has been tuned to previous eddy covariance flux 303 304 measurements (McGillis et al., 2001), with bubble-mediated transfer determining the non-305 linear relationship with wind speed (Fairall et al., 2011). There is reasonable agreement between the COARE model gas transfer velocity predictions and the Knorr\_11  $k_{CO_2}$  data up 306 to ~11 m s<sup>-1</sup> wind speed. Above 11 m s<sup>-1</sup>, the COARE model over predicts  $k_{CO_2}$ . This could 307 be interpreted as indicating high wind speed suppression of gas transfer for CO2 as observed 308 for DMS (as discussed by Bell et al., 2013). However, it is important to note that the number 309 of high wind speed (>15 m s<sup>-1</sup>) gas transfer measurements in this study is limited to 9 hours 310 and 16 hours of data for DMS and CO2 respectively. Much more data are needed in order to 311 312 firmly establish the high wind speed behaviour.

The COAREG 3.1 model parameterizes interfacial gas transfer by scaling to *Sc* and friction velocity and estimates bubble-mediated gas transfer following Woolf (1997). The lower solubility of  $CO_2$  leads to enhanced gas transfer relative to that of DMS at high wind speeds where bubble transport is significant (Fairall et al., 2011). There is good agreement between 317 the COAREG model gas transfer velocity predictions and the Knorr\_11  $k_{CO_2}$  and  $k_{DMS}$  data 318 until ~11 m s<sup>-1</sup> wind speed.

319 Earlier in this paper we introduced the quantity  $\Delta k_w$  as an observational measure of the difference in gas transfer velocities of CO<sub>2</sub> and DMS (Section 2.3, Equation 6). The 320 321 relationship between  $\Delta k_w$  and wind speed is positive and shows no systematic differences 322 related to temporal variability (Figure 6). Sea surface temperature (SST) is indicated by 323 symbol size. Some of the scatter in Figure 6 could be driven by changes in Sc due to SST variability. Nearly all of the data in Figure 6 are from periods when SST was relatively 324 constant (9.7±1.1°C). Many of the  $k_{CO_2}$  data with warm seawater (i.e. ST181, SST > 12°C) 325 were rejected by our quality control criteria (see Appendix A.3). These data were collected 326 327 when wind speeds were low, which resulted in small CO<sub>2</sub> fluxes with large variability at low 328 frequencies. Of the periods with  $SST > 12^{\circ}C$  that passed the quality control criteria, the 329 majority contributed fewer data within a 2 hour averaging period than the minimum threshold 330 (three 10-minute averaged data points).

#### **331 4 Discussion**

332 The bubble-mediated component of gas transfer is a strong function of wind speed and 333 breaking waves. Previous estimates of bubble-mediated air/sea gas exchange have used data from laboratory experiments (Keeling, 1993; Asher et al., 1996; Woolf, 1997). The 334 335 differences between gas transfer velocities for DMS and CO<sub>2</sub> provide a unique way to constrain the importance of bubble-mediated transfer under natural conditions. This study 336 shows that  $\Delta k_w$  is near zero (< 4.5 cm hr<sup>-1</sup>) at low wind speeds (U<sub>10</sub>  $\leq$  4.5 m s<sup>-1</sup>), which is 337 consistent with the wind speed at which whitecap fraction becomes significant ( $W_T > 10^{-5}$ , 338 Figure 2a). Above 4.5 m s<sup>-1</sup>,  $\Delta k_w$  increases non-linearly, consistent with an increase in 339 bubble-mediated CO<sub>2</sub> transfer associated with wave breaking. The relationship between  $\Delta k_w$ 340 and wind speed is non-linear, and a power law wind speed-dependence yields a good fit ( $R^2 =$ 341 342 0.66; Figure 6):

343

$$\Delta k_w = 0.177 U_{10}^{1.928}$$
 Equation 7

The functional form of this relationship is qualitatively consistent with those found between  $U_{10}$  and breaking waves/wave energy dissipation (Melville and Matusov, 2002) and  $U_{10}$  vs. whitecap areal fraction (e.g. Callaghan et al., 2008; Schwendeman and Thomson, 2015). Bubble-mediated gas transfer is the only viable explanation for the magnitude and windspeed dependence of  $\Delta k_w$ . The only alternative explanation would require a large systematic bias in the measurement of relative gas transfer velocities of DMS and CO<sub>2</sub>. There are no obvious candidates for such biases.

351 During strong wind/large wave conditions, the Knorr\_11 data suggest that bubble-mediated 352 exchange is a dominant contributor to the total transfer of CO<sub>2</sub>. For example, when wind speeds were 11-12 m s<sup>-1</sup>,  $\Delta k_w$  was about 50% of the total CO<sub>2</sub> gas transfer ( $k_{CO_2}$ ). A 353 354 significant contribution by bubbles to the total gas transfer velocity means that bubble-355 mediated exchange must be included and adequately parameterised by gas transfer models. 356 The Schmidt number (Sc) normalisation (Equation 4) assumes that the gas transfer velocity is 357 purely interfacial. An alternative normalisation (involving Sc and solubility) is required when 358 bubble-mediated transfer is significant. Our data suggest that the current Sc normalisation 359 should be applied with caution to gas transfer data for different solubility gases at wind speeds greater than 10 m s<sup>-1</sup>. 360

361 If  $\Delta k_w$  reflects the difference between the bubble-mediated contribution to the transfer of CO<sub>2</sub> 362 and DMS, one would expect  $\Delta k_w$  to correlate with wave-breaking, and hence with the areal 363 coverage of whitecaps. Breaking waves generate plumes of bubbles (Stage A whitecaps, 364  $W_A$ ), which then rise to the surface and persist for a short period as foam (Stage B whitecaps, 365  $W_B$ ). Almost all whitecap measurements represent the fraction of the sea surface that is covered by bubble plumes and/or foam i.e.  $W_T = W_A + W_B$ .  $\Delta k_w$  is positively correlated with 366 367 both  $W_T$  (Spearman  $\rho = 0.65$ , n=43, p<0.001) and  $W_A$  (Spearman  $\rho = 0.74$ , n=32, p<0.001) 368 (Figure 7a,b). These correlations are approximately the same strength as the correlation 369 between  $\Delta k_w$  and wind speed (Spearman  $\rho = 0.73$ , n=88, p<0.001). The functional form of the 370 relationship between  $\Delta k_w$  and whitecap areal extent appears to be linear for  $W_T > 0.005$ . However, the Knorr\_11 dataset is small and quite scattered, particularly when  $W_T < 0.005$ . 371 372 More data are required to fully test the validity of whitecap areal fraction as a proxy for 373 bubbles and bubble-mediated exchange.

Observations of the decaying white cap signal ( $W_B$ ) suggest that the persistence of surface foam is related to both bubble plume depth (deeper bubble plumes take longer to degas) and sea surface chemistry (Callaghan et al., 2013). As measured here,  $W_B$  is approximately an order of magnitude larger than  $W_A$  and thus dominates the  $W_T$  signal. It is often assumed that 378 gas exchange takes place in bubble plumes formed by active wave breaking (i.e.  $W_A$ ), while  $W_B$  may vary widely due to surfactant concentration with little or no impact upon bubble-379 380 mediated gas exchange (e.g. Pereira et al., 2016). In this case,  $\Delta k_w$  should be more strongly 381 correlated with  $W_A$  than  $W_B$  or  $W_T$ . The Knorr\_11 data do not suggest that  $W_A$  is an 382 improvement upon either  $W_T$  or even wind speed as a measure of bubble mediated exchange. 383 This may be because whitecaps do not fully represent the bubbles facilitating gas exchange as these may dissolve before they reach the sea surface. Alternatively,  $W_T$  and  $W_A$  may be 384 385 equally good (or poor) proxies for bubbles because: (i) surfactant activity was either 386 insignificant or sufficiently invariant in the study region (despite high biological productivity) 387 that  $W_B$  does not confound the relationship between  $W_T$  and  $W_A$ ; (ii)  $W_A$  is no better than  $W_T$  at representing the volume of air entrained by breaking waves; and/or (iii) bubbles residing at 388 389 the surface (i.e.  $W_B$ ) continue to contribute to gas transfer (Goddijn-Murphy et al., 2016).

390 As shown earlier, the bubble-mediated contribution to gas transfer  $(k_{bub,CO_2})$  can be obtained 391 from  $\Delta k_w$  using information from mechanistic bubble gas transfer models (*f*, see Section 2.3). The  $k_{bub,CO_2}$  datasets derived from the Knorr\_11 data using the Asher (Asher and Wanninkhof, 392 393 1998; Asher et al., 2002) and Woolf (Woolf, 1997) models differ by about 15% (Figure 8). The field-based estimates of  $k_{bub,CO_2}$  can also be compared to model-only estimates for the 394 395 Knorr\_11 conditions using the Asher and Woolf models. Both models are based on total whitecap areal fraction,  $W_T$ . A non-linear fit of the Knorr\_11  $W_T$  and wind speed 396 measurements ( $W_T = 1.9 \times 10^{-6} U_{10n}^{3.36}$ ) was used to drive both models (Figure 8). Asher et al. 397 (2002) is based on laboratory tipping bucket gas evasion experiments (Asher et al., 1996) and 398 399 the model was then adjusted to represent the flux of CO<sub>2</sub> into the ocean (invasion). Woolf 400 (1997) scaled a single bubble model to the open ocean based on laboratory experiments.

401 Both models significantly underestimate  $k_{bub,CO_2}$  at wind speeds below about 11 m s<sup>-1</sup>. At 402 higher wind speeds, the Asher et al. (2002) model increases rapidly with wind speed to agree 403 better with the Knorr\_11 data. In contrast, Woolf (1997) consistently underestimates  $k_{bub,CO_2}$ 404 at all wind speeds. Both  $k_{bub,CO_2}$  models depend on the choice of wind speed-whitecap 405 parameterisation. Using the Schwendeman and Thomson (2015) whitecap parameterisation 406 instead of the Knorr\_11 best fit makes some difference to the model output, but not enough to 407 adequately fit to the data (Figure 8). A 'dense plume model' was also developed by Woolf et 408 al. (2007) to take account of the interaction of a bubble plume with the interstitial water 409 between bubbles. This model yields estimates of  $k_{bub,CO_2}$  that are even lower than the original 410 Woolf (1997) 'single bubble model' (data not shown).

It is likely that the Knorr\_11 cruise data will be compared with estimates of  $k_{bub,CO_2}$  derived 411 412 from future field campaigns, which will be conducted under different environmental conditions. Our  $k_{bub,CO_2}$  data is at *in situ* seawater temperature (~10°C) and thus *in situ* CO<sub>2</sub> 413 414 solubility ( $\alpha$ =1.03) and diffusivity (Sc=1150). We use the Asher et al. (2002) and Woolf (1997) bubble models to make estimates of  $k_{bub,CO_2}$  normalised to a standard seawater 415 temperature of 20°C ( $k_{bub,CO_{2},20^{\circ}C}$ , where  $\alpha$ =0.78 and Sc=666). The 2 hour averaged Knorr\_11 416 cruise data, including estimates of  $\Delta k_w$ ,  $k_{bub,CO_2}$  and  $k_{bub,CO_2,20^{\circ}C}$ , are provided in Supplemental 417 Table S1. 418

419 The approach used in this study to estimate  $\Delta k_w$  and  $k_{bub,CO_2}$  from the Knorr\_11 field data neglects the effect of sea surface skin temperature and CO<sub>2</sub> chemical enhancement. Skin 420 421 temperature is typically only a few tenths of a degree less than bulk seawater under the conditions encountered in this study (Fairall et al., 1996). The impact upon  $k_{CO_2}$  due to skin 422 temperature effects on CO<sub>2</sub> solubility and carbonate speciation is likely on the order of 3% 423 (Woolf et al., 2016). There is a chemical enhancement of the CO<sub>2</sub> flux due to ionization at 424 the sea surface (Hoover and Berkshire, 1969). The effect on  $k_{CO_2}$  has been estimated to be up 425 to about 8% at a wind speed of 4-6 m s<sup>-1</sup> (Wanninkhof and Knox, 1996), which amounts to a 426 maximum impact of a few cm hr<sup>-1</sup>. By neglecting these effects we have slightly 427 428 overestimated  $\Delta k_w$  and  $k_{bub,CO_2}$ , but the magnitude of these corrections would be small 429 relative to the environmental scatter or measurement uncertainty.

# 430 **5** Conclusions

431 The Knorr\_11 concurrent measurements of DMS and  $CO_2$  gas transfer velocities show 432 significant differences in gas transfer between the two gases at intermediate-high wind 433 speeds. These data indicate that: i) bubble-mediated gas transfer becomes significant for  $CO_2$ 434 at or above the threshold for wave-breaking; and ii) the wind speed-dependence is non-linear, 435 with a similar functional form to proposed relationships predicting whitecap areal extent from wind speed. However, existing models of bubble-mediated gas transfer using the Knorr\_11 *in situ* observations of whitecap fraction significantly underestimate the importance of this
process.

439 There are a number of assumptions behind model estimates of bubble-mediated gas exchange 440 (Goddijn-Murphy et al., 2016). Model bias can be crudely split into: i) uncertainties in the 441 scaling of whitecap fraction to the bubble population (e.g. using Cipriano and Blanchard, 442 1981); and ii) the relationship between gas exchange and bubble properties, which are 443 predicted as a function of air entrainment into the surface ocean by a breaking wave, bubble 444 injection depth, size distribution and mobility through the water (a function of surface 445 cleanliness and surfactants). The underestimation of bubble-mediated CO<sub>2</sub> gas transfer by both models is particularly apparent at low-intermediate wind speeds and low whitecap 446 447 fraction. This could indicate that either bubble production during microscale breaking is an 448 important process for gas transfer or the relationship between whitecap fraction and the 449 bubble population is poorly constrained.

In summary, the approach of using simultaneous measurements of multiple gases with different solubility appears to be a viable way to constrain the magnitude of bubble-mediated gas transfer. Analysis of additional sparingly soluble gases, such as methane or oxygenated hydrocarbons would further strengthen this approach. A much larger data set, under a wider range of oceanographic conditions is certainly needed. In particular, it would be useful to examine DMS and  $CO_2$  gas transfer velocities in ocean regions with different temperatures, where the solubility of each gas is significantly different from this study.

457

### 458 Appendix A

# 459 A.1 Seawater CO<sub>2</sub> and DMS measurements

Seawater  $CO_2$  and DMS were monitored in the supply of seawater pumped continuously through the ship from an intake on the bow located 6 m below the sea surface.  $CO_2$  was equilibrated with air in a recirculating showerhead-type system. Alternate air and water side p $CO_2$  were each measured for 5 min by the same Infrared Gas Analyser (IRGA). Seawater DMS was equilibrated with DMS-free air in a tubular porous membrane equilibrator, operated in a single-pass, counterflow mode. DMS was measured at 1 Hz using chemical ionization mass spectrometry and bin-averaged at 1 minute intervals (UCI miniCIMS;
Saltzman et al., 2009). DMS was calibrated by continuously pumping an internal standard of
tri-deuterated, DMS (d3-DMS) into the seawater flow just before the equilibrator. Details of
the methods and instrumentation used for equilibration and detection of seawater DMS are
described in Saltzman et al. (2009).

#### 471 **A.2** Mast-mounted instrumentation and data acquisition

472 The eddy covariance system was mounted 13.6 m above the sea surface on the bow mast. 473 Platform angular rates and accelerations were measured by two Systron Donner Motion Pak 474 II (MPII) units. Three dimensional winds and sonic temperature were measured by two 475 Campbell CSAT3 sonic anemometers. Air sampling inlets for DMS and CO<sub>2</sub> were located at the same height as the anemometers and within 20 cm of the measurement region. GPS and 476 477 digital compass output were digitally logged at 1 Hz. Winds were corrected for ship motion 478 and orientation as described in Miller et al. (2008) and Landwehr et al. (2015). The eddy 479 covariance data streams were logged in both analog and digital format as described in Bell et 480 al. (2013).

# 481 A.3 High frequency atmospheric DMS and CO<sub>2</sub> measurements

Atmospheric DMS measurements were made at 10 Hz using an atmospheric pressure chemical ionisation mass spectrometer located in a lab van (UCI mesoCIMS; Bell et al. (2013)). Air was drawn to the instrument through a 28 m long ½ in OD Teflon tube. A subsample of the air stream was passed through a Nafion drier prior to entering the mass spectrometer. The measurement was calibrated using an internal gas standard of trideuterated DMS added to the inlet (see Bell et al., 2013).

Atmospheric CO<sub>2</sub> measurements were made on air drawn at 8 L min<sup>-1</sup> through a filtered inlet 488 489 (90 mm diameter with 1 micron pore size, Savillex) near the sonic anemometers on the bow 490 mast, through 5 m of 5.9 mm ID polyethylene-lined Dekabon tubing to two fast-response 491 CO<sub>2</sub>/H<sub>2</sub>O IRGAs in an enclosure on the bow mast. The IRGAs were open-path style sensors 492 (LI7500, Licor Inc.) converted to a closed-path configuration (see Miller et al., 2010) and 493 were plumbed in series. A Nafion multi-tube membrane drier (PD-200T, PermaPure) with 6 L min<sup>-1</sup> dry air counter flow was installed between the two IRGAs such that the upstream 494 495 IRGA sampled undried air and the downstream IRGA sampled the same air after drying. This

- 496 technique removes 97% of the Webb Correction from the measured  $CO_2$  flux (first shown by 497 Miller et al. (2010) and confirmed by Landwehr et al. (2014)).
- The air flow through both the CO<sub>2</sub> and DMS inlets was fully turbulent (Re > 10,000). The inlets used in this study introduced a small delay ( $\Delta t = 2.2$  s for DMS,  $\Delta t = 1.2$  s for CO<sub>2</sub>) between measured wind and atmospheric measurements, as well as minor loss of covariance at high frequencies (<5%). The methods used to estimate the delay and loss of flux are given in Bell et al. (2013).
- 503 Eddy covariance fluxes were computed for DMS and CO<sub>2</sub> as  $F_{DMS}$  or  $F_{CO2} = \sigma_{air} < w'c' >$

where  $\sigma_{air}$  is the dry air density, w' is the fluctuation in vertical winds and c' is the delayadjusted fluctuation in gas concentration. Average covariance fluxes were processed in 10 minute and 9.5 minute intervals for DMS and CO<sub>2</sub>, respectively (hereafter referred to as 10 minute intervals). Momentum and sensible heat fluxes were also computed for 10 minute intervals (see Bell et al., 2013).

Sampling intervals with a mean wind direction relative to the bow of >90° were excluded from the final data set.  $CO_2$  fluxes were also excluded from intervals when either: i) relative wind direction changed excessively (SD > 10°); ii) relative wind speed was low (< 1 m s<sup>-1</sup>); or iii)  $\Delta CO_2$  was low (< |30| ppm). DMS and  $CO_2$  fluxes were quality controlled for excessive low frequency flux as described in the Supplemental information of Bell et al. (2013). These quality control criteria excluded 62% of the intervals for  $CO_2$  and 55% for DMS and significantly reduced the scatter in the data.

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- 528

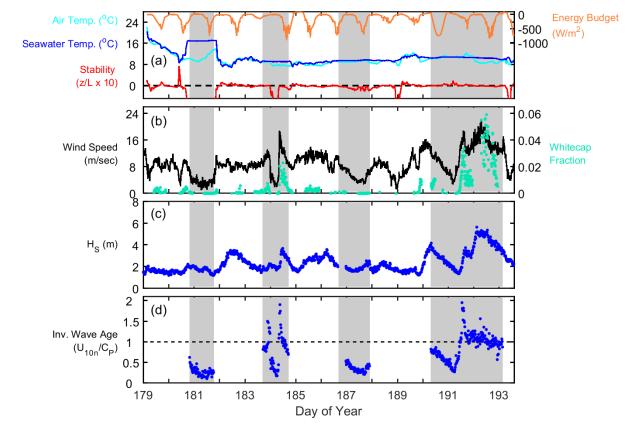
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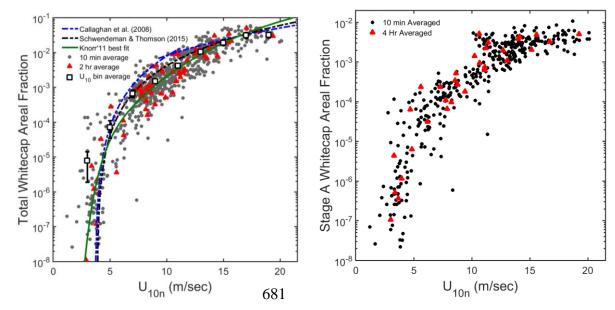
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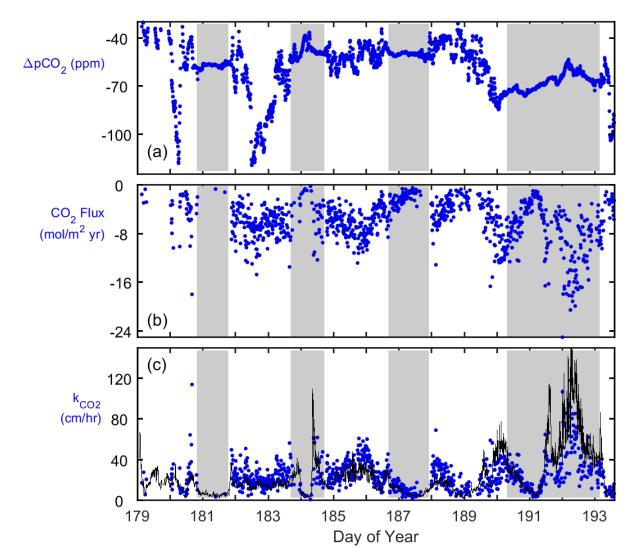


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**Figure 1:** Time series of ten minute averaged data collected during the Knorr\_11 cruise. Dashed black line in panel (a) indicates neutral atmospheric stability (z/L = 0). Grey shaded regions represent intervals when the ship occupied stations ST181, ST184, ST187, and ST191. Measured wave properties (see Bell et al., 2013) are presented in panel (c) and (d): significant wave height ( $H_s$ , c) and inverse wave age (d).  $U_{10n}/C_p \ge 1$  represent younger seas and  $U_{10n}/C_p < 1$  represent older seas.

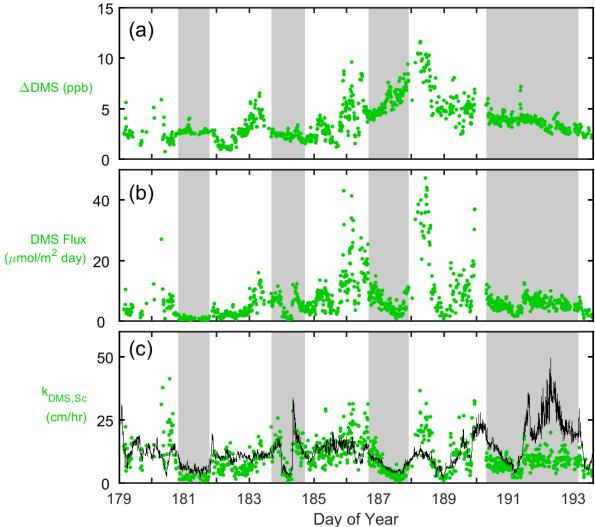


**Figure 2:** Semi-log plots of whitecap areal fraction as a function of mean horizontal wind speed at 10 m above the sea surface  $(U_{10n})$  during the Knorr\_11 cruise. 10 min average (grey dots) and 2 hour average (red triangles) data are shown on both panels. Left panel shows total whitecap area  $(W_T)$ versus  $U_{10n}$  bin averaged data (open squares, 2 m s<sup>-1</sup> bins). The best fit line to Knorr'11 2 hr average data (green;  $\log_{10}(W_T) = -42.19e^{(-0.95U)} - 6.5e^{(-0.0886U)})$  and wind speed parameterisations from the recent literature are shown for reference. Right panel is the whitecap area considered to be solely from wave breaking (Stage A whitecaps  $(W_A)$ , see text for definition).



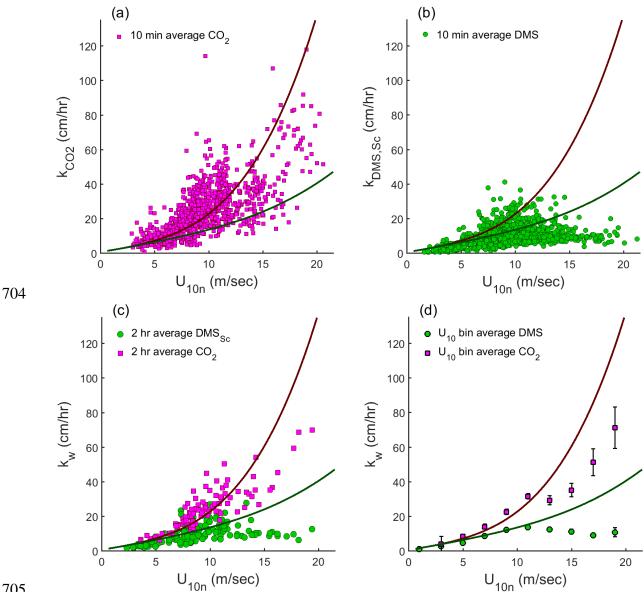
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691 **Figure 3:** Knorr\_11 cruise time series of ten minute averaged CO<sub>2</sub>: (a) air/sea concentration 692 difference (ΔpCO<sub>2</sub>); (b) flux ( $F_{CO2}$ ); and (c) gas transfer velocity ( $k_{CO2}$ ) (water-side only, no *Sc* 693 correction). Panel (c) also shows  $k_{CO2}$  calculated using the NOAA COARE model (black line). Note 694 that negative  $k_{CO2}$  data points in (c) were omitted for clarity (see Supplemental Figure S6 for full data 695 set). Grey shaded regions represent periods on station. 696



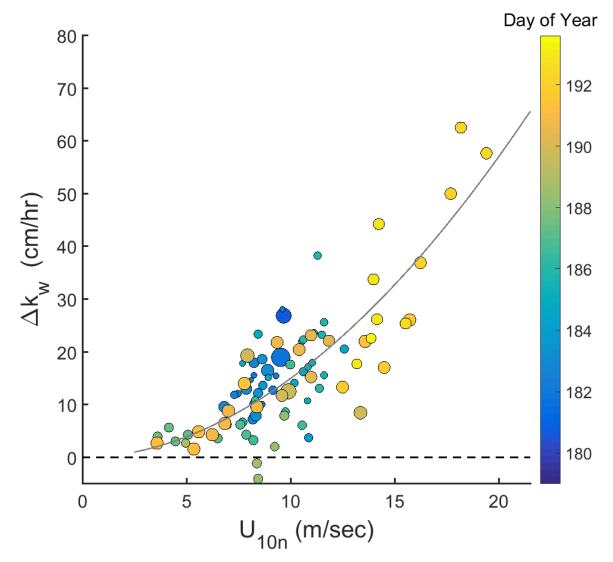
697 Day of Year 698 **Figure 4:** Knorr\_11 cruise time series of ten minute averaged DMS: (a) air/sea concentration 699 difference ( $\Delta$ DMS); (b) flux ( $F_{DMS}$ ); and (c) gas transfer velocity normalised to the *in situ* CO<sub>2</sub> Sc 700 number ( $k_{DMS,Sc}$ ). Panel (c) shows  $k_{DMS,Sc}$  calculated using NOAA COARE model output (black line). 701 Grey shaded regions represent periods on station.



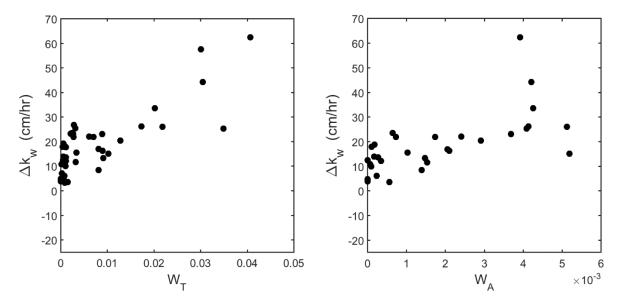




706 Figure 5: Gas transfer velocities plotted against mean horizontal wind speed  $(U_{10})$  from the 707 Knorr\_11 cruise. Ten minute average data for  $CO_2$  (a) and DMS (b). DMS gas transfer velocities are 708 normalised to the *in situ* CO<sub>2</sub> Sc number. Data are averaged into 2 hour periods (c) and 2 m s<sup>-1</sup> wind 709 speed bins (d). Note that negative  $k_{CO2}$  data in (a) have not been plotted for clarity (see Supplemental 710 Figure S8 for full data set). For reference, the NOAA COAREG3.1 model output for CO<sub>2</sub> (magenta 711 line) and DMS (green line) is plotted on all four panels. The COARE model was run with the 712 turbulent/molecular coefficient, A = 1.6, and the bubble-mediated coefficient, B = 1.8, and used mean 713 Knorr\_11 data for the input parameters.

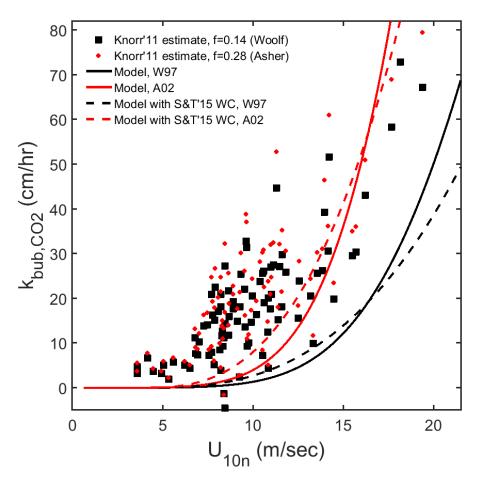


**Figure 6:** Difference  $(\Delta k_w)$  between 2 hour average  $k_{CO_2}$  and  $k_{DMS,Sc}$  plotted against  $U_{10}$ . Data are coloured by the date of measurement (Day of Year). Sea surface temperature (SST) is indicated by symbol size (range is 7.1 to 12.5°C). The solid grey line describes the power law fit to the data (see Equation 7).





**Figure 7:** Knorr\_11  $\Delta k_w$  data plotted against total whitecap areal fraction (left panel) and against Stage A whitecap areal fraction (right panel). Each point is a 2 hour average of coincident measurements of whitecap fraction and DMS and CO<sub>2</sub> gas transfer.



**Figure 8:** Bubble-mediated transfer velocity of  $CO_2$  ( $k_{bub,CO_2}$ ) as a function of wind speed. Individual points are Knorr\_11 observations using solubility and diffusivity scaling from Woolf (1997) (black squares) and Asher et al. (2002) (red circles). Continuous lines are model calculations of  $k_{bub,CO_2}$  using the Knorr\_11 wind speed-whitecap areal fraction relationship (see Figure 2) and mean SST (Woolf (1997), black; Asher et al. (2002), red). Model calculations were also performed using the Schwendeman and Thomson (2015) wind speed-whitecap areal fraction relationship (dashed lines).