We thank the reviewers for their thoughtful reviews, which helped us to improve our manuscript. Point-by-point responses are provided as follows.

## Reviewer 1:

Abstract: If most of the OC is secondary and Ca is predominantly primary, how can they have similar sources (line 32)? Is it that the precursor VOC has a similar source as Ca? Could it be that the species undergo similar atmospheric processing?

# Response:

The reviewer's points are well taken. Accordingly, we have revised the sentence (line 29-31; note that the line number refers to the revised version of the manuscript) as follows. "Total OC was correlated with Ca (R<sup>2</sup> of 0.63), suggesting that OC precursors and Ca may have had similar sources, and the possibility that they underwent similar atmospheric processing."

Starting at Line 70: Is atmospheric re-suspended dust due to wind or human activity? If it is due to human activity is it "anthropogenic"?

# Response:

It is likely that both mechanisms are important in controlling dust loadings. To remove the ambiguity, line 72 was revised as "In prior studies conducted in the Middle East, dust was identified as the major source of PM<sub>10</sub> (Givehchi, et al., 2013);"

The chosen cities for comparison in Table 1 seem randomly chosen, and this is probably not the case. Are these areas or their air quality similar to Riyadh in some way?

## Response:

We sought observations of OC/EC measurements made in the last 10 years in urban areas world-wide. Urban areas may be hypothesized to have similar sources of PM, e.g. vehicular exhaust and industrial emissions, albeit that the nature of the PM will vary depending on factors such as local fleet and fuel mixes and local industry. Thus, the table title is revised as "Comparison of OC and EC concentrations ( $\mu g \ m^{-3}$ ) measured in urban areas world-wide". In the revision, we added one more Korean urban city and replaced the US study with two large cities as follows below. We also compared our measurements with other studies in the Middle East expected to have similar climatological conditions as Riyadh.

Combining a response to this point and to Review 2, comment 3, the related main text (lines 237-254) was revised as follows:

"Table 1 presents some comparative values of measured EC and OC concentrations in PM<sub>2.5</sub> in urban areas world-wide, since urban areas are expected to share some anthropogenic source types (e.g. vehicular and industrial emissions) with Riyadh. The average concentrations in this work for both EC and OC were remarkably consistent with those reported by von Schneidemesser et al. (2010) and Abdeen et al. (2014) for 11

Middle Eastern sampling sites, including Tel Aviv, a major city in Israel (OC: 4.8 and EC: 1.6  $\mu g\ m^{-3}$ ). The average OC concentrations in Riyadh were also comparable to those reported for suburban Hong Kong (4.7  $\mu g\ m^{-3}$ , Huang et al., 2014b), higher than Cleveland and Detroit, US (3.10 and 3.54  $\mu g\ m^{-3}$ , Snyder et al., 2010), but lower than those reported for Gwangju, Korea (5.0  $\mu g\ m^{-3}$ , Batmunkh et al., 2016), Veneto, Italy (5.5  $\mu g\ m^{-3}$ , Khan et al., 2016), Athens, Greece (6.8  $\mu g\ m^{-3}$ , Grivas et al., 2012), urban Hong Kong (10.1  $\mu g\ m^{-3}$ , Ho et al., 2006), Delhi, Indian (16.5  $\pm$  6.6  $\mu g\ m^{-3}$ , Satsangi et al., 2012), and Beijing, China (18.2  $\pm$  13.8  $\mu g\ m^{-3}$ , Zhao et al., 2013), reflective of the different mix of sources and different photochemical environments. EC concentrations also vary widely among urban regions, depending on the characteristics of local sources."

City	Duration	EC	OC	EC	ОС	References
		Conc (µg m	-	S.D. (µg m <sup>-3</sup> )		
Athens, Greece	Jan to Aug, 2003	2.2	6.8			Grivas et al., 2012
Gwangju, Korea	Winter of 2011	1.7	5.0	0.9 0.0	2.5 0.7	Batmunkh et al., 2016
Cleveland, US	Jul, 2007 and Jan, 2008	0.33	3.10	1	8 0.8	Snyder et al., 2010
Detroit, US		0.35	3.54	1	6	
Beijing, China	Selective days in four seasons from 2009 to 2010	6.3	18.2	2.9	13. 8	Zhao et al., 2013
Urban, Hong Kong	Nov, 2000 to Feb, 2001 and Jun, 2001 to Aug, 2001	5.71	10.1 2	0.8 9	1.9 2	Ho et al., 2006
Suburban, Hong Kong	Mar, 2011 to Feb, 2012	0.86	4.7	0.5 3	2.8 7	Huang et al., 2014b
Veneto, Italy	Apr 2012 to Feb 2013	1.3	5.5			Khan et al., 2016
Delhi, India	Dec 20, 2012 to Feb 26, 2013	12.0 4	16.4 6	4.4 3	6.6 1	Panda et al., 2016
Middle East	Jan to Dec, 2007	2.1	5.3	2.2	4	von Schneidemesser, et al., 2010 Abdeen, et al., 2014
(11 sampling sites in Palestine, Jordan and Israel)						
Riyadh, Saudi Arabia	Apr to Sep, 2012	2.13	4.76	2.5 2	4.4	this study

## References added:

Batmunkh, T., Lee, K., Kim, Y. J., Bae, M.-S., Maskey, S., Park, K.: Optical and thermal characteristics of carbonaceous aerosols measured at an urban site in Gwangju, Korea, in the winter of 2011, J. Air & Waste Manage Association, 66, 151-163, DOI: 10.1080/10962247.2015.1101031, 2016.

Snyder, D. C., Rutter, A. P., Worley, C., Olson, M., Plourde, A., Bader, R. C., Dallmann, T., Schauer, J. J.: Spatial variability of carbonaceous aerosols and associated source tracers in two cities in the Midwestern United States, Atmos. Environ., 44, 1597-1608, 2010.

von Schneidemesser, E., Zhou, J., Stone, E. A., Schauer, J. J., Qasrawi, R., Abdeen, Z., Shpund, J., Vanger, A., Sharf, G., Moise, T., Brenner, S., Nassar, K., Saleh, R., Al-Mahasneh., Q. M., Sarnat, J.A.: Seasonal and spatial trends in the sources of fine particle organic carbon in Israel, Jordan, and Palestine, Atmos. Environ., 44, 3669-3678, 2010.

Starting at line 276 "Since OC concentrations had no significant weekday-weekend variation, the increase in OC/EC ratio during the weekend likely indicates the importance of regional photochemical sources of SOC, although decreased NOx emissions on weekends may promote more efficient photochemical processing of local SOC precursors" This is a curious conclusion to draw from Figures 5 and S2, but maybe not in the context of results discussed later in the manuscript. From these figures, it appears that changes in [EC] are what drive the changes in the OC/EC ratio predominantly. Perhaps the authors are intending to state primary OC emissions follow trends in EC and that the OC on Wednesdays is more primary than say on the weekends when SOC makes a larger contribution? It seems the authors allude to this when discussing figure 6. This needs to be shown first and the authors need to state the findings to support this statement more articulately.

# Response:

Thank you for these helpful comments. We switched the order of sections 3.2 and 3.3 and "Diurnal variation of OC and EC" now comes before "Weekend effect in OC and EC concentrations", as suggested.

# Also, regarding this sentence:

"Since OC concentrations had no significant weekday-weekend variation, the increase in OC/EC ratio during the weekend likely indicates the importance of regional photochemical sources of SOC, although decreased  $NO_x$  emissions on weekends may promote more efficient photochemical processing of local SOC precursors (Gentner et al., 2012)." we have modified it as follows:

"OC concentrations had no significant weekday-weekend variation. The decrease of EC was the main driver of the increasing OC/EC ratio during the weekends, indicating reduced primary emissions and effective SOC formation / transport during the weekends."

Why not explore the diurnal profiles also separated by weekend/weekday. That would help support the statements the authors make (above) regarding OC/EC findings.

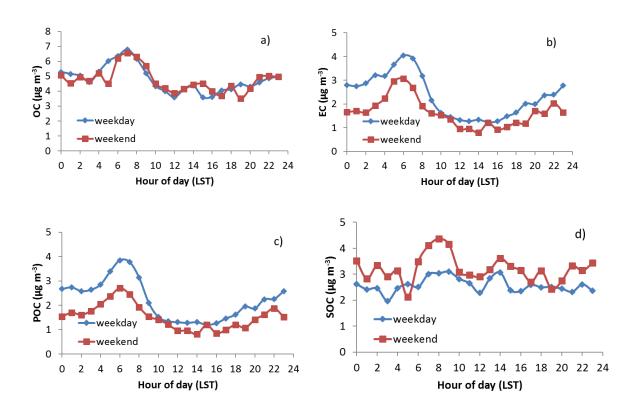
### Response:

We replaced the original Fig. S3 with the following figure and added the corresponding discussion in lines 276 to 277:

"The diurnal variations of OC and EC on weekdays and weekends exhibited similar trends (Fig. S3), but EC was higher during weekdays."
Lines 345 to 350:

"The diurnal variations of POC and SOC were similar on weekdays and weekends, but the weekday-to-weekend changes in POC and SOC had opposite trends. The estimated POC was  $2.2\pm2.5~\mu g~m^{-3}$  on weekdays and decreased to  $1.5\pm1.9~\mu g~m^{-3}$  on weekends. The estimated SOC was  $2.6\pm2.9~\mu g~m^{-3}$  on weekdays and increased by 23% to  $3.2\pm4.5$ 

 $\mu g\ m^{\text{-}3}$  on weekends. The elevated SOC during weekends was likely due to regional production and transport."



Is it possible that if calcium carbonate forms, other compounds, for example would potassium carbonate form? It does seem from Figure 8 that there are two regimes for K vs. Ca. Does that inform the OC and Ca correlation analysis further?

## Response:

Yes, it is possible that other carbonates were present. We revised lines 377 to 380 as follows:

"The correlation between Ca and other dust metal species (Al, Fe, K, Fe and Mg), however, showed two divergent regimes, suggestive of an additional Ca-containing source besides dust, that may have shared the same sources as OC."

Editorial: Sometimes the authors use present tense (e.g., line 80) and sometimes past tense (e.g., line 216, 218) and it is distracting.

# Response:

Revised accordingly.

We thank the reviewers for their thoughtful reviews, which helped us to improve our manuscript. Point-by-point responses are provided as follows.

## Reviewer 2:

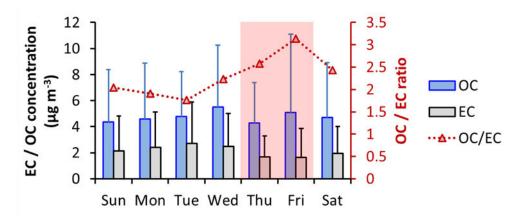
This is mostly an excellent submission, presenting results from a long-term measurement campaign in Saudi Arabia and source apportionment analysis. Questions I had were subsequently answered in the submission, which is usually a sign the authors have done a thorough job with the analysis. However, one glaring flaw appears to be that in 2012, the weekend in Saudi Arabia was Thu-Fri, not Fri-Sat. The authors should re-analyze their data accordingly. 2013 news article about the weekend switch: <a href="http://english.ahram.org.eg/NewsContent/2/8/74730/World/Region/Saudi-Arabia-changes-working-week-to-SunThurs-Offi.aspx">http://english.ahram.org.eg/NewsContent/2/8/74730/World/Region/Saudi-Arabia-changes-working-week-to-SunThurs-Offi.aspx</a>

# Response:

Thank you to the reviewer for pointing out this flaw.

Upon re-analyzing the data for the correct weekend dates, we discovered that the analysis was correct (i.e., the weekend was defined as Thu-Fri) but the labels in the figure were incorrect. The axis label in Fig. 5 (now Fig. 6) was updated as shown below. The main text (lines 289-293) has also been corrected:

"To investigate whether a weekend effect could be discerned in the Riyadh dataset, twosample t-tests assuming unequal variances were performed for hourly EC and OC samples, grouped according to whether they were obtained on weekdays (Saturday to Wednesday) or on weekends (Thursday and Friday)."



1. How often or when was the OC/EC filter changed? Was the OC/EC correction different at the beginning than at the end? Did the switch coincide with particular days of the week?

# Response:

OC/EC filters were changed after the laser intensity was reduced to 2000 or 3000 [a.u.] We have added a footnote to Table S1 to indicate this. The time to reach this threshold was mainly controlled by particulate concentrations, especially during dust storms. Some

filters lasted for only 24-48 hours, while some lasted for about 6-7 days. The OC/EC correction was the same over the entire filter lifetime, as long as there were refractive particles on the filters.

2. Lines 450-451 - the authors say the limited sample size means they can't quantify the local and regional contributions to OC and EC. However, this limitation only applies to the 24-hour metals analysis, which also appears to show that SOC, associated with Ca, may be regional. So couldn't the authors use the hourly-resolved OC/EC data to estimate local contributions to OC and EC?

# Response:

We removed this sentence.

3. Table 1 could be rearranged to list the current study next to the 2007 middle-east study, as that is most relevant to the present analysis. I would have liked to see a more extensive comparison of the two sets of results.

# Response:

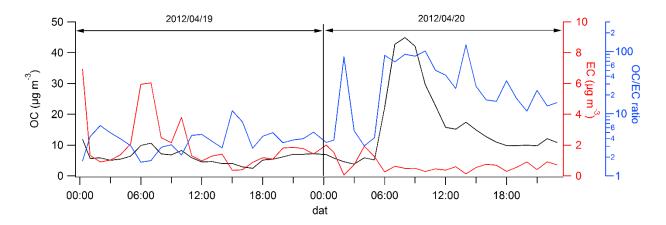
We have rearranged the table as suggested, revised the discussion of Table 1, lines 275-289.

4. Figures 2 and 3 just have EC/OC concentrations as the axis title, but the OC/EC ratios are also shown. Maybe put the ratio on the secondary axis with an appropriate title? Also, OC/EC ratios in the 100s - admittedly outliers - are interesting. Are those associated with low pollution levels?

## Response:

Figs. 2 and 3 have been modified as suggested.

Most of the high OC/EC ratios (>100) were caused by rapid, large increases in OC and an accompanying decrease in EC during the measurement. An example is shown below, covering two consecutive days of data. On 2012/04/19, OC was 6.24±2.27  $\mu g$  m<sup>-3</sup>, EC was 2.08±1.79  $\mu g$  m<sup>-3</sup>, and OC/EC ratio was 4.11±2.07; on 2012/04/20, OC increased to 16.09±12.20  $\mu g$  m<sup>-3</sup>, EC decreased to 0.71±0.51  $\mu g$  m<sup>-3</sup>, and the OC/EC ratio increased to 39.80±37.37. The highest OC/EC ratio on 2012/04/20 was 102. The total carbon on the second day was almost double that of the first day. This event may be a dust plume, as the methodology applied in the study cannot correct for carbonate interference in the OC/EC observations, although we have made inferences as to the presence of carbonate, as described in the text. While we do not have additional data to fully explore the causes of these excursions, we have no firm reason to remove these data, and thus we kept them in our dataset.



5. Figure 6(c) - Axis title is wrong. Also, the average ratios appear to be wrong, as almost all of them are higher than 75th percentile of the data. What do the caps represent - 90th or 95th or 99th percentile?

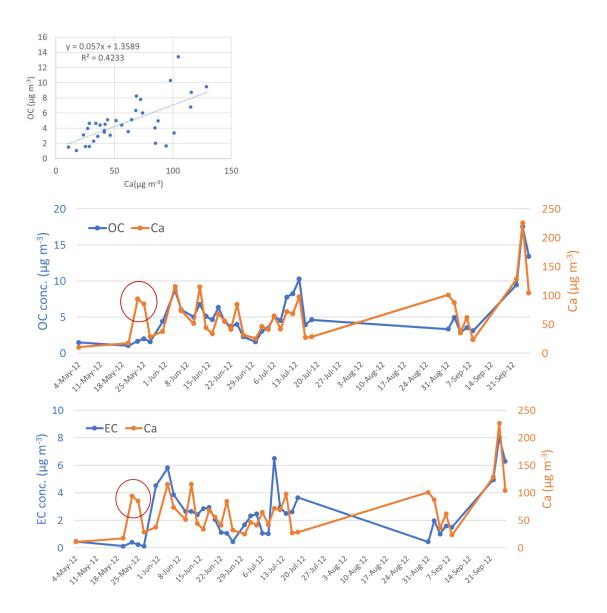
# Response:

Thank you for pointing this out; we have corrected the y-axis title. The upper and lower caps represent 90<sup>th</sup> and 10<sup>th</sup> percentiles, respectively. The high average OC/EC ratios were caused by several individual high OC/EC ratios, as explained in comment 4. We retained the median and removed the point indicating the average in Fig. 5 (now Fig 6) to avoid confusion, and made similar changes to the other box-and-whisker figures.

6. Figure 8 - the high correlation between OC and Ca appears driven by a single high-value sample. Is that really good enough to push the OC-Ca connection?

## Response:

Removing the high-value data point indeed decreased R², however, the time series of OC and Ca matched each other well and better than that of EC and Ca (see the figures below). The relationship between OC and Ca looks to be real, but what caused the relationship was uncertain as we discussed in the main text: whether a methodology artifact or that these species actually shared the same source origins. We have included these time series in the supporting material to illustrate this relationship and modified the sentence 368-370 as follows "However, OC had a relatively strong correlation with Ca (R² of 0.63) (Fig. 8 and Fig. S7) but, similar to EC, a poor correlation with other dust species (not shown)."



7. Figure 11 - what happened to the samples in August? Also, maybe the Aug 31 sample should be grouped with September?

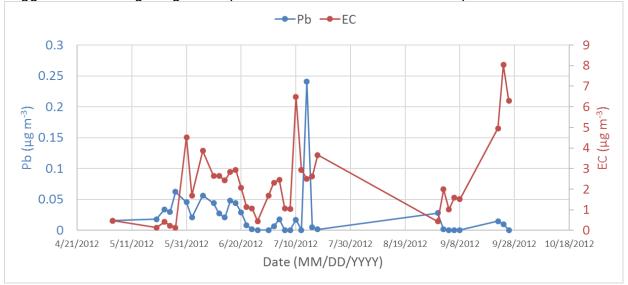
# Response:

In 2012, Ramadan and Eid ran from July 20-August 24 and no measurements were made during this period. Thank you for the suggestion to regroup the Aug 31 sample with the September samples. We have done this and updated the numbers in lines 474 to 478. "The contribution of the mixed source ranged from 37% in May (0.7  $\mu$ g m<sup>-3</sup>) to 95% in September (6.7  $\mu$ g m<sup>-3</sup>). The EC concentration was also mainly attributed to the mixed source (1.9  $\mu$ g m<sup>-3</sup>, 92%)."

8. Figure 11 - was there no cement or gas flare or local vehicular contributions in May? That seems inconceivable. The authors should explain a bit more.

# Response:

EC was indicated as a tracer for the mixed sources and Pb was the tracer for long-range transport, according to the PMF-resolved source profile (Figure 10). Daily-average EC concentrations were relatively low while Pb concentrations were high in May samples compared with those in the other periods, as shown in the following figure. This suggests that long range transport was dominant at that time. Due to the limited number of samples, PMF was unable to pick up the low contribution from mixed sources, with the result that minimal cement / gas flare / local vehicular contributions were found for most May samples. Lines 478 to 480 are revised as follows, "In some May samples, the mixed source contribution was negligible, as the source tracer, EC, was only 0.1-0.4 μg m<sup>-3</sup>, about one order of magnitude lower than that in other periods. The tracer analysis suggested that long-range transport was dominant for those samples."



9. Figure A.2 shows that all the corrected laser values increase in transmittance at the beginning, which is rather strange - no EC should have left the filter in He1! What is going on - is the correction wrong?

## Response:

Figure A.2a shows a thermogram for one of the "aged" blank samples. As expected, negligible carbon was detected in this sample, but the refractive particles that remained on the filter from previous samples still significantly influenced the laser variation. Fig. A.1a shows that the quadratic fit could not reproduce the signal at low temperature (<200 °C), so the increasing corrected laser signal during the He1 and He2 phases is likely an artifact of the correction methodology, and may not indicate EC evolution. However, we note that the studies of Wang et al. (2010) and Bladt et al. (2012) showed that refractory metal oxides / salts may cause premature EC evolution in an inert environment, thereby increasing the laser signal. A high loading of dust metals on the filters in our Riyadh samples may thus have caused premature EC evolution during the He stage. If this were the case, the original variable laser signal that we showed was

dependent on temperature may have masked that phenomenon, while the corrected one revealed it.

We revised the main text from lines 561 to 570as follows:

"It is noted that although the quadratic equation correction produced a better laser signal for purposes of the carbon analyses, this correction did not work perfectly in the low temperature He phase, where the corrected laser signals exhibited unexpected increases. However, this shortcoming did not substantially influence the accuracy of the correction during subsequent carbon evolution. We note that premature evolution of EC, leading to an increasing laser signal in the inert environment due to the existence of refractory metal oxides, was observed in the studies of Wang et al. (2010) and Bladt et al. (2012). The increases in the corrected laser signal during the He stage in this study may be partially due to the same cause, as Riyadh samples contained abundant metal oxides."

## Reference:

Bladt, H., Schmid, J., Kireeva, E.D., Popovicheva, O. B., Perseantseva, N. M., Timofeev, M. A., Heister, K., Uihlein, J., Ivleva, N. P., Niessner, R: Impact of Fe Content in Laboratory-Produced Soot Aerosol on its Composition, Structure, and Thermo-Chemical Properties, Aerosol Sci. Tech., 46, 1337-1348, DOI:10. 1080/02786826.2012.711917, 2012.

Wang, Y., Chung A., Paulson, S.E.: The effect of metal salts on quantification of elemental and organic carbon in diesel exhaust particles using thermal-optical evolved gas analysis, Atmos. Chem. and Phys., 10, 11447-11457, 2010.

# Sources of PM<sub>2.5</sub> carbonaceous aerosol in Riyadh, Saudi Arabia

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#### **Abstract**

- Knowledge of the sources of carbonaceous aerosol affecting air quality in Riyadh, Saudi Arabia is <u>was-limited</u>, but needed for the development of pollution control strategies. We
- conducted sampling of PM<sub>2.5</sub> from April to September, 2012 at various sites in the city, and used a thermo-optical semi-continuous method to quantify the organic carbon (OC)
- and elemental carbon (EC) concentrations. The average OC and EC concentrations were  $4.7 \pm 4.4$  and  $2.1 \pm 2.5 \mu g \text{ m}^{-3}$ , respectively, during this period. Both OC and EC
- 20 concentrations had strong diurnal variations, with peaks at 6-8 am and 20-22 pm, attributed to the combined effect of increased vehicle emissions during rush hour and the
- shallow boundary layer in the early morning and at night. This finding suggests suggested a significant influence of local vehicular emissions on OC and EC. The OC/EC ratio in
- primary emissions was estimated to be 1.01, close to documented values for diesel emissions. Estimated primary (POC) and secondary (SOC) organic carbon
- concentrations were comparable, with average concentrations of 2.0  $\pm$  2.4 and 2.8  $\pm$  3.4  $\mu$ g m<sup>-3</sup>, respectively.
- We also collected 24 hour samples of PM<sub>10</sub> onto quartz microfiber filters and analyzed these for an array of metals by ICP-OES. Total OC was correlated with Ca (R<sup>2</sup> of 0.63),
- suggesting that they OC precursors and Ca may have similar sources, and the possibility that they underwent the similar atmospheric progress processing. In addition to a
- ubiquitous dust source, Ca is emitted during desalting processes in the numerous refineries in the region and from cement kilns, suggesting these sources may also

contribute to observed OC concentrations in Riyadh. Concentration weighted trajectory 34 (CWT) analysis showed that high OC and EC concentrations were associated with air 36 masses arriving from the Persian Gulf and the region around Baghdad, locations with high densities of oil fields and refineries as well as a large Saudi Arabian cement plant. 38 We further applied positive matrix factorization to the aligned data set of EC, OC and metal concentrations (Al, Ca, Cu, Fe, K, Mg, Mn, Na, Ni, Pb and V). Three factors were 40 derived, and were proposed to be associated with oil combustion, industrial emissions (Pb-based), and a combined source from oil fields, cement production, and local vehicular emissions. The dominant OC and EC source was the combined source, contributing 3.9 42  $\mu$ g m<sup>-3</sup> (80%) to observed OC and 1.9  $\mu$ g m<sup>-3</sup> (92%) to observed EC.

#### 1. Introduction

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Organic carbon (OC) and elemental carbon (EC) (or black carbon, BC, operationally identified based on detection method) are key components of the atmospheric aerosol (Jacobson et al., 2000). The contribution of carbonaceous components to total particulate matter (PM) concentrations varies with site and season, comprising from 20 to 90% of the total mass (Kanakidou, et al., 2005). EC is emitted from a variety of combustion processes (Bond et al., 2013), is classified as a short-lived climate forcer that contributes to 50 atmospheric warming (Ramanathan and Carmichael, 2008), and is also associated with 52 human morbidity and mortality (Weinhold, 2012). OC includes both direct emissions (primary organic carbon, POC) and secondary OC (SOC) formed in the atmosphere via oxidation (Robinson et al., 2007). Common sources of atmospheric POC and of SOC precursors are vehicular exhaust, industrial emissions, biogenic emissions, and biomass burning (Millet et al., 2005; Saarikoski et al., 2008; Genberg et al., 2011; Hu et al., 2012; 56 Vodička et al., 2013; Heal and Hammonds, 2014; Huang et al., 2014a, b). Except near strong emission sources, secondary organic aerosol is the main contributor to the total 58 organic aerosol mass concentration, frequently accounting for 72±21% (Zhang et al. 2007; Jimenez et al., 2009).

Trace metals account for only a small fraction of PM mass concentrations, but they can adversely impact human health (e.g., Lippmann et al., 2006; Hong et al., 2010). As some emission sources release specific trace elements, these elements can serve as useful source markers in PM source apportionment studies (Lee et al., 2011; Peltier and Lippmann, 2010; Han et al., 2005; Harrison et al., 2012; Karanasiou et al., 2009; Ondov et al., 2006; Querol et al., 2007; Viana et al., 2008; Yu et al., 2013). Elemental enrichments can also be used to roughly differentiate natural and anthropogenic sources (Khodeir et al., 2012; Rushdi, et al., 2013). Relative abundances of crustal elements can help identify the sources of suspended dust, as these abundances are known to be different for different dust source regions (Engelbrecht et al., 2009).

In this study, we report measurements of ambient particulate matter in Riyadh, the capital 72 of Saudi Arabia. In prior studies conducted in the Middle East, dust / re-suspended dust iswas identified as the major source of PM<sub>10</sub> (Ginoux, et al., 2012; Givehchi, et al., 2013); 74 however, contributions from anthropogenic sources to PM mass concentrations are-were found to be significant -(>82% of total PM<sub>10</sub> mass, Al-Dabbous and Kumar, 2015; >50% of PM<sub>10</sub> Tsiouri et al., 2015). Tsiouri et al. (2015) summarized the major sources of PM<sub>10</sub> 76 in ambient air in the Middle East as oil combustion, re-suspended soil, road traffic, crustal 78 dust, and marine aerosol; significant sources of PM<sub>2.5</sub> were oil combustion in power plants, re-suspended soil, sand dust, and road traffic. Carbonaceous particles were estimated to account for 50-60% of PM<sub>2.5</sub> in cities in Palestine, Jordan, and Israel (Abdeen et al., 2014). 80 Not surprisingly, since oil production and processing is-was widespread across the Middle 82 East, heavy oil combustion was estimated to contribute 69% to PM<sub>2.5</sub> mass and 18% to PM<sub>10</sub> in in Jeddah, Saudi Arabia (Khodeir et al., 2012). Air quality in Riyadh reflects not only the impact of local and regional dust and regional oil extraction and refining, but also 84 significant local sources that include a heavy traffic load and multiple industries. We focus here on identifying the major sources of PM<sub>2.5</sub> carbonaceous aerosol in Riyadh to provide 86 a basis for formulating air pollutant mitigation strategies.

## 2. Methodology

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#### 2.1 Sampling sites and data collection

Riyadh and its environs were divided into 16 12 km x 12 km sampling cells as shown in Fig. 1. Sampling locations within each cell were carefully chosen to best represent the mix of land use and other characteristics of the cell. From April through September, 2012, an in-situ semi-continuous OC/EC analyzer (Sunset Laboratory Inc., Model-4), installed in a mobile laboratory, moved from cell to cell and measured hourly EC and OC, with some interruptions due to instrument maintenance or holidays. The sampling strategy is documented in Table S1. In this instrument, volatile gases are were removed from the samples by carbon denuders prior to collection. Airborne particles smaller than 2.5 µm weare then collected on quartz fiber filters at a flow rate of 8 I min<sup>-1</sup>. Upon completion of a preset sampling duration, all carbon that hads been accumulated on the filter\_iswas removed by heating the sample in multiple increasing temperature steps, first in a completely oxygen-free helium environment and then in a He/O2 environment. The vaporized compounds flowed through an oxidizer oven, are were oxidized to carbon dioxide, and weare detected via an infrared analyzer. An external methane (CH<sub>4</sub>) standard is was injected at the end of every analysis and used to normalize the analytical result. Since in theory the quartz filter has had all of the collected carbonaceous aerosol removed during each analysis cycle, the filter is-was re-used for multiple samples and changed only periodically.

A detailed description of the PM<sub>10</sub> sample collection and elemental analysis methodologies can be found in Alharbi et al. (2015). In brief, sampling was conducted from the same mobile platform and concurrent with the OC/EC sampling. A PM<sub>10</sub> inlet was used to sample ambient aerosol onto quartz microfiber filters over a 24 h period. These samples were collected every three days and elemental analyses for Al, As, B, Ca, Cd, Co, Cr, Cu, Fe, K, Li, Mg, Mn, Mo, Na, Ni, Pb, Te, V, and Zn were performed by ICP-OES. NO and NO<sub>2</sub> (NO<sub>x</sub>) were measured by chemiluminescence and O<sub>3</sub> was measured by UV photometer simultaneously using Signal Ambirak air quality monitoring system (Signal Ambitech Ltd, UK).

#### 118 2.2 EC and OC re-split method

The Sunset semi-continuous EC/OC analyzer adopts the same thermal-optical analysis 120 method for determination of OC and EC that is commonly applied to the offline analysis of filter samples. The OC and EC mass concentrations (as mass of C) are quantified by a calibrated non-dispersive infrared sensor (NDIR) signal that detects the evolved CO<sub>2</sub>. 122 Ideally, OC is defined as the carbon evolved under increasing temperature ramps conducted in an inert atmosphere (100% He) and EC is defined to be the subsequent 124 carbon evolution in an oxidizing atmosphere (He/10% O2 mixture). In the inert atmosphere, rather than simply volatilizing, a fraction of OC may be pyrolyzed due to 126 insufficient oxygen, and this pyrolyzed OC may be evolved in the subsequent oxidizing atmosphere, appearing as EC. This fraction of OC is usually called pyrolyzed organic 128 carbon (PyOC). To subtract PyOC from EC, laser transmittance or reflectance is deployed 130 to monitor the variations in filter darkness; the transmittance or reflectance responds to the presence of EC throughout the analysis, but then drops when PyOC is formed and rises again as PyOC is evolved. The fraction of total assigned EC evolved in the oxidizing 132 atmosphere before the laser signal returns to its initial value is believed to be due to PyOC, 134 so in post-analysis the final EC is reported as the difference between the total carbon evolved in the oxidizing atmosphere and the PyOC. This methodology has been automated in the Sunset instrument. However, unusual EC and OC splits for a large 136 number of samples were observed during the study period: (a) split points jumped to the end of the analysis because the laser response did not rebound to its initial value before 138 the CH<sub>4</sub> calibration phase; or (b) split-points were located in the pre-oxygen position. 140 These split point deviations may bewere ascribed to refractory residue on the filters: the laser correction factor supplied in the standard manufacturer software may not be applicable to the dusty environment of Riyadh (Polidori et al., 2006; Jung et al., 2011; 142 Wang et al., 2012). Therefore, observed relationships between laser response and 144 temperature in the CH<sub>4</sub> + O<sub>2</sub> injection calibration phase were used to develop a corrected split point. The correction methodology assumed that only refractory material was present on the filter in this phase, so that effects of this refractory material on the laser response 146 to temperature variations could be isolated, corrected, and these corrections applied

during the other analysis phases. A full description of the methodology is found in the 148 Appendix. We noted, however, that measurement artifacts from carbonates in dust may 150 be have been present in this study, which would result in a high bias in the OC measurements. As noted in Karanasiou et al. (2011) and in the standard operating procedure (SOP) document published by the Research Triangle Institute (RTI) 152 (https://www3.epa.gov/ttnamti1/files/ambient/pm25/spec/RTIIMPROVEACarbonAnalysis 154 SSLSOP.pdf), the evolution of carbonates from filter samples during thermal analysis can occur over several carbon peaks. While it is preferred to use acid decomposition of carbonates (on separate sample punches) to obtain the best quantification, Karanasiou 156 et al. (2011) demonstrated that the protocol used in this study completely evolves carbonates in the OC fraction, and that manual integration to isolate the carbonate 158 concentration is was possible but carrieds large uncertainty. Hence, we de-did not attempt to separately quantify carbonate in this work. 160

#### 2.3 SOC estimation by minimum R squared (MRS) method

The EC tracer method is widely used to estimate secondary organic carbon mass concentrations, applying the following equations, which assume that EC has only combustion sources:

$$POC = (\frac{oc}{FC})_{pri} \times EC$$
 Eqn 1

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$$SOC = OC_{total} - \left(\frac{OC}{EC}\right)_{pri} \times EC - b$$
 Eqn 2

where (OC/EC)<sub>pri</sub> is the OC/EC ratio in fresh combustion emissions, b denotes noncombustion-derived POC, and OCtotal and EC are ambient measurements. The key to 168 successful application of this method is to begin with an appropriate estimate of the 170 (OC/EC)<sub>pri</sub> ratio. Several approaches have been documented to determine (OC/EC)<sub>pri</sub>. Gray et al. (1986) directly adopted the ratios from emission inventories. Turpin and Huntzicker (1995) used the measured OC/EC ratio when local emissions were dominant 172 in a certain location or over a specified period. Based on the expectation that co-emitted 174 POC and EC are well correlated, Lim and Turpin (2002) took the slope of OC against EC using OC/EC ratio data for the lowest 5-10% values of that ratio. Millet et al. (2005) proposed that a critical point where SOC is-was independent of EC should represent the 176 primary OC/EC ratio; the critical point is-was found by a minimum R-squared (MRS) 178 method. Assuming that non-combustion sources (i.e., the b term in Eqn 2) are negligible, this method can derive the most accurate primary OC/EC ratio, compared with previously-180 proposed approaches (Wu and Yu, 2016). However, this method may underestimate the SOC concentration if some SOC is associated with EC: co-emitted semi-volatile POC could rapidly oxidize to low-volatility SOC and partition on the surface of EC. However, 182 given that accurate emission inventories are-were not available for Riyadh, we employed

- this method in the absence of a priori knowledge of (OC/EC)<sub>pri</sub> to provide a conservative estimate of the SOC concentration during our observational period.
- The methodology for and applications of the MRS method were documented in Millet et al., (2005), Hu et al., (2012), and Wu and Yu (2016). The non-combustion source (*b* term)
- is-was assumed to be zero. A series of coefficients of determination (R2) between EC and SOC calculated by Eqns 1 and 2, varying (OC/EC)<sub>pri</sub> from 0 to 10 using steps of 0.01 in
- the ratio, is was generated. At low (OC/EC)<sub>pri</sub> ratio, a significant portion of the estimated SOC still belongeds to POC. At high (OC/EC)<sub>pri</sub> ratio, the term (OC/EC)<sub>pri</sub>×EC largely
- 192 exceeds exceeded OCtotal and becameomes dominant. At the correct ratio, all the POC has been was removed and R<sup>2</sup> of SOC and EC reacheds a minimum. This ratio is was
- then used to estimate SOC in all samples.

## 2.4 Back trajectory analysis

To develop an understanding of potential regional influences on observed PM, we calculated 24-hr back trajectories (BTs) every 3 hours during each sampling period using the National Oceanic and Atmospheric Administration (NOAA) Hybrid Single-Particle Lagrangian Integrated Trajectory (HYSPLIT; Stein, et al., 2015; Rolph, 2016). Trajectories were initiated for a starting height of 500 m above ground level (AGL). Residence time analysis (RTA), describing the probability of air mass origins, was also performed (Ashbaugh et al., 1985). The probability (P<sub>ij</sub>), representing the residence time of a randomly selected air mass in the ijth cell during the observational period, can bewas calculated as follows:

$$P_{ij} \cong \frac{n_{ij}}{N}$$
 Eqn 3

- where  $n_{ij}$  is the number of trajectory segment endpoints that fell in the  $ij_{th}$  cell and N is the total number of endpoints.
- 208 Concentration weighted trajectory analysis (CWT) is another effective tool that we combined with back trajectory data and pollutant concentration to trace the source origin for certain species. The calculation formula is as follows:

$$C_{ij} = \frac{1}{\sum_{l=1}^{M} \tau_{ijl}} \sum_{l=1}^{M} C_i \tau_{ijl}$$
 Eqn 4

- where C<sub>ij</sub> is the average weighted concentration in the grid cell (i, j), C<sub>i</sub> is the measured species concentration, τ<sub>ijl</sub> is the number of trajectory endpoints in the grid cell (i, j) and M is the number of samples that have trajectory endpoints in the grid cell (i, j).
  - 2.5 Positive matrix factorization (PMF) analysis

Positive matrix factorization (PMF) has been successfully applied to aerosol composition 216 data to suggest sources impacting the sampling site (Reff et al., 2007 and Viana et al., 218 2008). We aligned daily-average OC and EC with concurrent averaged measurements of metal concentrations in the PM<sub>10</sub> fraction (Al, Ca, Cu, Fe, K, Mg, Mn, Na, Ni, Pb and V) and prepared a matrix with of size of 35 ×13 for input to the USEPA PMF, version 5.0 220 (https://www.epa.gov/air-research/positive-matrix-factorization-model-environmental-222 data-analyses). Data points with "ND" were replaced by ½ of the detection limit and the corresponding uncertainties were assigned as 5/6 of the detection limit. The uncertainties for all other data were calculated as  $s_{ij}$  + DL<sub>ij</sub>/3, where  $s_{ij}$  represents the analytical 224 uncertainty for species i in the sample j and DLij represents the detection limit (Polissar et al., 1998; Reff et al., 2007). In this study, the analytical uncertainty was assumed to be 226 5% of the corresponding concentration for metal species. Uncertainties for the EC and OC data were not reported. Norris et al. (2014) suggested that, for such cases, the initial 228 uncertainties be set to a proportion of the concentration. The uncertainties for OC and EC were therefore calculated as 10% of the corresponding concentrations for this study. 230

#### 3. Results and discussion

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#### 3.1 Overview of EC and OC concentrations

Fig. S1.a shows the time series of OC and EC concentrations during the study period and denotes the corresponding sampling cells in which the measurements were obtained. Average OC and EC concentrations during the observational period were 4.8 ± 4.4 and 2.1 ± 2.5 μg C m<sup>-3</sup>, respectively (we will use μg m<sup>-3</sup> for OC and EC hereafter when referring to µg C m<sup>-3</sup>). Table 1 presents some comparative values of measured EC and OC concentrations in PM<sub>2.5</sub> in urban areas world-wide, since urban areas are expected to share some similar anthropogenic source types (e.g. vehicular and industrial emissions) with Riyadh. The average concentrations in this work for both EC and OC were remarkably consistent with those reported by von Schneidemesser et al. (2010) and Abdeen et al. (2014) for 11 Middle Eastern sampling sites., including Tel Aviv, a major city in Israel (OC: 4.8 and EC: 1.6 µg m<sup>-3</sup>). The average OC concentrations were also comparable to those reported for suburban Hong Kong (4.7 µg m<sup>-3</sup>, Huang et al., 2014b), higher than Cleveland and Detroit, US (3.10 and 3.54 µg m<sup>-3</sup>, Snyder et al., 2010), but lower than those reported for Gwangju, Korea (5.0 µg m<sup>-3</sup>, Batmunkh et al., 2016), Veneto, Italy (5.5 μg m<sup>-3</sup>, Khan et al., 2016), Athens, Greece (6.8 μg m<sup>-3</sup>, Grivas et al., 2012), urban Hong Kong (10.1  $\mu$ g m<sup>-3</sup>, Ho et al., 2006), Delhi, Indian (16.5  $\pm$  6.6  $\mu$ g m<sup>-3</sup>, Satsangi et al., 2012), and Beijing, China (18.2 ± 13.8 µg m<sup>-3</sup>, Zhao et al., 2013), reflective of the different mix of sources and different photochemical environments. EC concentrations were similar to those in Athens, Greece, higher than those reported for Veneto, Italy and suburban Hong Kong, and lower than all other measurements shown in Table 1 EC concentrations also vary widely among urban regions, depending on the characteristics of local sources.

The Riyadh sampling site characteristics and the corresponding average OC and EC concentrations in each grid cell are summarized in Table S1. Results of a one-sided t-test (p<0.001) on OC and EC data from industrial and residential sites suggested a significant difference in carbonaceous aerosol concentrations between the two site types: OC mass concentrations in industrial sites were 1.4 times those in the residential sites, and EC mass concentrations were 1.7 times higher (Fig. 2). The mean OC/EC ratio was lower in the industrial sites (3.1) than in residential sites (6.0), suggesting the importance of POC emissions in industrial regions and a larger SOC contribution in residential areas. We also divided Riyadh into four quadrants to investigate the spatial variation of OC and EC across the city. Fig. 3 shows that OC and EC concentrations were higher in the eastern quadrants.

Fig. 4 shows the results of the RTA, demonstrating that air masses arriving in Riyadh were mainly from within Saudi Arabia and from the south / southwest of the city in April and May, and from the north / northeast from June to September, extending to the west coast of the Persian Gulf. These two dominant wind directions have beenwere used to stratify data in Fig. S1b, which shows that the average OC concentration increased from 3.8 to 5.3 μg m<sup>-3</sup> and EC from 1.1 to 2.7 μg m<sup>-3</sup> when the air mass source region shifted from south/southeast to north/northeast, respectively.

## 3.32 Diurnal variation of OC and EC

Fig. 65 showsed the diurnal variations in OC and EC mass concentrations. OC and EC concentrations peaked from 6-9 am and were elevated during nighttime (after 1600 pm). NO<sub>x</sub> also showsed a similar diurnal pattern (Fig. S2). The morning peak coincidesd with traffic rush hours. Fig. S3 showed that T he diurnal variations of OC and EC on weekdays and weekends exhibited the similar trends (Fig. S3), but EC was higher during weekdays. The elevation of OC, EC and NO<sub>x</sub> at night after 1600 pm may be attributed to the accumulation of pollutants in the shallower nocturnal boundary layer. Average OC/EC ratios showed no obvious trends; however, the median OC/EC ratio decreased slightly over the time period when OC and EC concentrations built up, probably due to the increased contributions from primary emissions. The average OC/EC ratio had a peak around 14:00 pm, corresponding with peak concentrations of O<sub>3</sub>, suggestive of secondary aerosol formation.

#### 3.23 Weekend effect in OC and EC concentrations

A "weekend effect" in concentrations of traffic-derived PM has been noted in previous studies (e.g. Grivas et al., 2012; Bae et al., 2004; Moteballi et al., 2003; Lim\_and Turpin, 2002; Jeong et al., 2004; Lough et al., 2006). To investigate whether a weekend effect <u>can\_could\_</u> be discerned in the Riyadh dataset, two-sample t-tests assuming unequal variances were performed for hourly EC and OC samples, grouped according to whether

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they were obtained on weekdays (Sunday Saturday to Thursday Wednesday) or on weekends (Thursday and Friday and Saturday). The test indicated a statistically 294 significant difference (29% lower on weekends) in EC concentrations between weekday and weekend, but no significant difference in OC (p < 0.001 with a 95% confidence level), 296 as shown in Fig. 56. NO<sub>x</sub> concentrations were also reduced during weekends, by 48% compared to weekdays (Fig. \$2\$4). This reduction may be ascribed to the decrease in 298 vehicular activities and industrial activities during the weekend. Since OC concentrations had no significant weekday weekend variation, the increase in OC/EC ratio during the 300 weekend likely indicates the importance of regional photochemical sources of SOC, although decreased NO\* emissions on weekends may promote more efficient photochemical processing of local SOC precursors (Gentner et al., 2012).\_OC 302 concentrations had no significant weekday-weekend variation. The decrease of EC was the main driver of the increasing OC/EC ratio during the weekends, indicating the reduced 304 primary emission and effective SOC formation / transport during the weekends.

#### 3.3 Diurnal variation of OC and EC

Fig. 6 shows the diurnal variations in OC and EC mass concentrations. OC and EC concentrations peaked from 6.9 am and were elevated during nighttime (after 1600 pm). NO<sub>x</sub> also shows a similar diurnal pattern. The morning peak coincides with traffic rush hours. The elevation of OC, EC and NO<sub>x</sub> at night after 1600 pm may be attributed to the accumulation of pollutants in the shallower necturnal boundary layer. Average OC/EC ratios showed no obvious trends; however, the median OC/EC ratio decreased slightly over the time period when OC and EC concentrations built up, probably due to the increased contributions from primary emissions. The average OC/EC ratio had a peak around 14:00 pm, corresponding with peak concentrations of O<sub>3</sub>, suggestive of secondary acrosol formation.

# 3.4 SOC estimation

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Fig. 7 shows the determination of (OC/EC)<sub>pri</sub> using the minimum R squared method (MRS). The value of this ratio derived in this study <u>wais</u> 1.01, which occurred at the 14<sup>th</sup> percentile in the observed OC/EC ratios. In the compilation of PM<sub>2.5</sub> OC and EC emission profiles presented by Chow et al. (2011), the (OC/EC)<sub>pri</sub> for oil combustion <u>wais</u> documented to range from 0.2 to 2.5 with an average of 1.0±0.2, 0.9 to 8.1 with an average of 3.4±2.2 for gasoline emissions, and 0.2 to 2.7 with an average of 1.0±0.8 for diesel emissions. Our estimate <u>is was</u> within with these ranges and <u>is-was</u> closer to the averages for oil combustion and diesel emissions, consistent with expected important contributions from these sources to PM<sub>2.5</sub> carbonaceous aerosol in Riyadh. Using our MRS-derived (OC/EC)<sub>pri</sub> in equations (1) and (2), we computed average POC and SOC concentrations of 2.0±2.4 and 2.8±3.4 μg m<sup>-3</sup>, respectively, suggesting that POC and SOC contributions to PM<sub>2.5</sub> were comparable during our study. The average POC and

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SOC concentrations were 1.0±1.0 and 2.7±4.0 µg m<sup>-3</sup>, respectively, when transport was from the south/southwest. POC increased to 2.5±2.7 µg m<sup>-3</sup> and SOC was almost unchanged when the direction of transport was from the north / northeast. Variability in OC was thus mainly due to variability in POC. The sampling locations were in cells classified as being in the outskirts of the city when south/southwesterly transport was prevalent, but included both outskirts and in-city grids when north/northeasterly transport was prevalent. The increase in POC during northerly transport regimes could not therefore be attributed solely to the influence of local primary emissions, since transport of POC from outside Riyadh was also possible.

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The diurnal variation of SOC (Fig. S3) showed a small peak of SOC concentration in the morning from 7-9 am, lagging behind the POC and EC morning peaks by about two hours; this result wais not unexpected since photochemical production of SOC will require time for reactions to proceed once precursors have accumulated in the atmosphere. A second small peak in SOC concentration occurred at 14:00 pm, concurrent with ozone formation (Fig. \$4\$2) and consistent with the variation in OC/EC ratios discussed in Section 3.3. The diurnal variations of POC and SOC were similar on weekdays and weekends, but the weekday-to-weekend changes in POC and SOC had opposite trends. The estimated POC was 2.2±2.5 μg m-3 on weekdays and decreased to 1.5±1.9 μg m-3 on weekends. The estimated SOC was 2.6±2.9 μg m<sup>-3</sup> on weekdays and increased by 23% to 3.2±4.5 μg m<sup>-3</sup> on weekends. The elevated SOC during weekends was likely due to regional production and transport. With regards to spatial variation, POC and SOC were 3.5±2.7 and 3.2±2.9 µg m<sup>-3</sup> in the industrial sites, 2.1±2.6 and 2.6±3.0 µg m<sup>-3</sup> in the residential sites, and 1.1±1.1 and 2.8±4.1µg m<sup>-3</sup> in the outskirts sites, respectively. SOC concentrations were 2.5 times those of POC in the outskirts sites, an expected result since these latter sites are farther removed from the sources of primary emissions within the city proper. The results were consistent with the study of von Schneidemesser et al. (2010) that SOC (i.e., OC that was left unapportioned by a chemical mass balance model) was estimated to be 30-74% of the total OC in 11 sites in the Middle East, having climatological conditions similar to those in Riyadh.

#### 3.5 Possible sources of PM<sub>2.5</sub> carbonaceous aerosols

#### 3.5.1 Correlation between OC, EC and other elemental species

As a first step in seeking signatures of sources of carbonaceous aerosol in Riyadh, we conducted an analysis of the correlations between OC or EC and measured elemental species. We note that OC and EC were measured in the PM<sub>2.5</sub> fraction, while elemental species concentrations were obtained for the PM<sub>10</sub> fraction, which also includes included the PM<sub>2.5</sub>. OC and EC were poorly correlated with K, which we interpreted as indicating a negligible influence of biomass burning on PM. Al, Fe, Mg, Mn, and Ca are found in crustal soils and in PM samples of windblown dust. EC did not correlate well with these

species (R<sup>2</sup> < 0.35; not shown). However, OC had a relatively strong correlation with Ca 368 (R2 of 0.63) (Fig. 8 and Fig. S57) but, similar to EC, a poor correlation with other dust 370 species (not shown). These findings indicated that OC may have shared a source with Ca, but this source is-was not likely to be associated with windblown dust. The correlation between SOC and Ca was stronger than that between POC and Ca (Fig. \$5\$6). The 372 thermo-optical method may have measured CaCO3 as OC, and the subsequent estimates 374 of SOC separated two sources, one associated with combustion and EC ("primary"), and another associated with CaCO<sub>3</sub> (and mis-labeled "secondary"). Concentrations of Al and of other metals (Fe, K, Mg and Mn) were strongly correlated (R2>0.9), supporting their 376 common dust origin (Fig. 8). The correlation between Ca and other dust metal species (Al, Fe, K, Fe and Mg), however, showeds two divergent regimes, suggestive of an 378 additional Ca-containing source besides dust, that may have shared the same sources as OC. Therefore, understanding the sources of Ca becomes became a prerequisite in 380 understanding the sources of OC.

The enrichment factor (EF) is a practical and convenient tool to differentiate natural and anthropogenic sources of metal species (Khodeir et al., 2012; Rushdi, et al., 2013). The EF can be calculated using the following equation (Taylor, 1964):

$$EF = \frac{\left(X / C_{ref}\right)_{air}}{\left(X / C_{ref}\right)_{varre}}$$
 Eqn 5

386 where X is the measured metal concentration, and  $C_{ref}$  is the concentration of the reference metal species. The equation compares the ambient elemental abundance of two species with their source abundance. An EF less than 10 suggests that the sample 388 may come from a natural crustal source and an EF value > 10 indicates possible anthropogenic influence (Biegalski et al., 1998). Al, Fe, and K were all used as reference 390 species to test for robustness of the findings. Fig. S6 shows that, for all three reference 392 species, the EFs for Al, Fe, K, Mn, Mg, Na and V were calculated to be less than 10, suggesting a dominant crustal type origin. The EFs of Ni, Zn, Cr, Co, Pb, Li, B, As, Mo, Cd, and Te were calculated to be larger than 10, suggestive of the influence of 394 anthropogenic emissions, e.g. traffic emissions, fossil fuel combustion and non-ferrous 396 metal industries. The EF for Ca was calculated to be ~10, consistent with the idea that it may have two sources in Riyadh, one natural and one anthropogenic.

Cement kilns are have been documented to be important sources of elemental Ca in the atmospheric aerosol (Zhang et al., 2014). Chow et al. (2004) noted an important contribution of PM<sub>2.5</sub> POC from cement factories. Hence, contributions from cement production sources may have led to the good correlation between OC and Ca at the receptor sites. In the Middle East, another possible anthropogenic source for Ca is from the desalting and demetalization of crude oil in refineries (Wu et al., 2014); refineries are

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certainly contributing to the observed OC in Riyadh. A third possibility is-was that the Ca is crustal in origin, but from a different source region than most of the other sampled dust. Ca enrichment in dusts may vary across the Middle East region (Coz et al., 2010), and thus the correlation between Ca and other crustal species could diverge depending upon the dust source region. Regardless of dust source region, during transport to Riyadh, as ambient SOC precursors weare oxidized, the products may be-have partitioned to particle surfaces, resulting in simultaneous transport of Ca and OC. Finally, , it is important towe note that a correlation between Ca and OC may have occurred if calcium carbonate is was being sampled and the carbonate detected as OC in the thermal analysis protocol, as mentioned in the Methods section above. While it is was not possible to definitively distinguish between these various possibilities based only on EF, the large dust loadings that were present in nearly all samples suggest that this latter explanation could play a significant role in producing the observed Ca-OC correlations.

## 3.5.2 CWT analysis for Ca/Al ratio, Pb, OC and EC

418 We used CWT analysis to identify possible source origins for the observed highest values of Ca/Al ratio, Pb, OC and EC (Fig. 9). The CWT plot for the Ca/Al ratio shows showed that, when this ratio was high in Riyadh PM samples, air masses were most likely to have passed over regions along the western shoreline of the Persian Gulf, and in particular, the highest ratio was found for air masses passing over the site of a large Saudi Arabian cement plant (Fig. \$7\$8). This transport pathway is was thus consistent with the idea that refineries and cement plants may represent anthropogenic sources of Ca. CWT analysis of Pb showeds that high observed concentrations in Riyadh aerosol were associated with transport from Iraq, consistent with the continued usage of leaded fuel in that country (Shaik et al., 2014). PM<sub>10</sub> Pb concentrations were 0.035±0.088 µg m<sup>-3</sup> in this study, lower than measurements reported for eastern China (0.05 to 0.5 µg m<sup>-3</sup>, Li et al., 2010) and 428 the greater Cairo area (0.3 μg m<sup>-3</sup>, Safar and Labib, 2010), both locations for which leaded fuel has been phased out of usage-, and lower than the U.S ambient concentration standard for lead (0.15 µg m<sup>-3</sup> on a 3-3-month rolling basis; U.S. EPA, 2006). The comparison shows showed that although Pb may have multiple potential sources in Riyadh, the concentration levels are were still below those of concern for human health. Industrial emissions along the Saudi Arabian coast may also contribute some Pb to the measured aerosol. While high OC concentrations were associated with transport from a similar region of the Persian Gulf as was high Pb, the high-concentration source region extended further north, encompassing areas with oil fields and refineries and the Baghdad 438 urban region (Fig. \$8\$9). Finally, the CWT plots for OC and EC are were similar, suggesting their highest concentrations may be attributed to similar sources, i.e., refineries, cement factories and urban pollution.

## 3.6 PMF analysis

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Three- to five-factor solutions were tested in the PMF model; the three-factor solution was 442 found to have the best solution characteristics (Fig.10). Most of the OC (77%) and EC 444 (90%) together with fractions of the crustal elements appeared in the first factor. We note that 54% of Ca is-was loaded in this factor, as expected since OC was found to be 446 correlated with Ca. No significant crude oil tracers (Ni and V) appeared in the factor, indicating that this factor wais not related to oil combustion (Ganor et al., 1988). The CWT 448 analysis suggested that high OC and EC coming from the shoreline of the Persian Gulf may be associated with industrial emissions, including refineries, gas flares in oil fields, 450 and cement production. However, we could annot rule out potential contributions to this factor from local vehicular emissions. Therefore, this factor is-was identified as a mixed source: cement industries / gas flares / local vehicles. 452

A key signature in the second factor is—was the significant loading of Pb (98%); it also includes included some dust species. While leaded fuels have been phased out in Saudi Arabia, as mentioned above, they are—were still in use in Iraq; further, deposition of lead to soils and resuspension is a documented exposure pathway (Laidlaw and Filippelli, 2008). CWT analysis also supports—supported a source origin of Pb from Iraq (Fig. 9). Hence Pb may have served as a regional transport tracer in this PMF analysis. However, Pb can—could also be contained in other industrial emissions, including cement manufacturing in the city. The second factor is—was thus identified as leaded fuel combustion from long range transport / industrial emissions.

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The third factor centains contained almost all of the V and a large fraction of Ni (>60%), as well as some crustal elements and OC. V and Ni and their ratios have been suggested as markers of emissions from oil fired power plants (Ganor et al., 1988). Barwise (1990) found that the highest V/Ni ratios (>1) among oil samples that they characterized were associated with Abu Dhabi and Suez oils, as contrasted with samples from the North Sea, China, Indonesia, and Australia, reflecting geological differences. The ratio of V/Ni in factor 3 is 3.5, consistent with the Arabian Gulf source of oil in this region. Dust species and some OC and EC are—were also associated with this factor, which we therefore identifiedly as oil combustion.

Fig. 11 shows the source contribution to OC and EC from these three factors in individual samples. On average, the OC concentration was dominated by the mixed source (factor 1) (3.8 μg m<sup>-3</sup>, 77%), followed by leaded fuel from long range transport (0.8 μg m<sup>-3</sup>, 27%) and oil combustion (0.3 μg m<sup>-3</sup>, 6%). The contribution of the mixed source ranged from 37% in May (0.7 μg m<sup>-3</sup>) to 9795% in September (7.66.7 μg m<sup>-3</sup>). In some May samples, mixed source contribution was negligible while the leaded fuel from long range transport was dominant. The EC concentration was also mainly attributed to the mixed source (1.9 μg m<sup>-3</sup>, 92%). In some May samples, the mixed source contribution was negligible, as the source tracer, EC, was only 0.1-0.4 μg m<sup>-3</sup>, about one order of magnitude lower than that

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in other periods. The tracer analysis suggested that long-range transport was dominant for those samples.

#### 4. Conclusions

To our knowledge, this study represents the first reported long-term and spatially resolved hourly measurements of ambient OC and EC concentrations for Riyadh, Saudi Arabia, along with supporting measurements that enabled a source apportionment of these important aerosol species. We found that OC and EC average concentrations were comparable to other reported measurements in Middle Eastern cities, and diurnal and weekly variations indicated a clear influence from local emissions. However, OC and EC concentrations varied with air mass source origin, indicative of not only variations across Riyadh and its outskirts, but also of the influence of regional sources on carbonaceous aerosol concentrations. Due to the limited sample size, this study could not separately quantify the local and regional source contributions for OC and EC. About half of the measured OC was attributed to secondary formation, and positive matrix factorization suggested that EC and OC were mainly attributed to a mixed source category comprising cement industries, gas flaring activities, and local vehicles.

Measurement of OC and EC via the online thermo-optical technique was found to be challenging in the dusty environment encountered year-round in Riyadh. Our dataset required correction via a hand analysis, as reported in the supplementary materials, as the automated split method implemented by the manufacturer frequently failed for our samples. The lack of a separate independent carbonate analysis, however, meants that our reported OC concentrations may be biased high, as also suggested by the strong correlation between OC and Ca. However, the correlation between OC and Ca may also suggest co-emission of OC and its precursors with metal Ca from desalting and demetalization processes in refineries; co-emission of Ca and OC from cement plants; or condensation of OC on Ca-rich dust during long-range transport. In future studies of ambient aerosol OC concentrations in dusty environments via online thermo-optical techniques, additional observations or different measurement protocols are needed to separate the contributions of carbonates to the measured OC and EC concentrations. With such added information, the implied sources of Ca and OC can be further investigated and their potential contributions to observed OC quantified.

## Appendix A: Correction method for OC/EC splits in data from the Sunset semicontinuous analyzer

Laser response and temperature for individual blanks were well correlated, suggesting that the influence of temperature on laser response may indirectly affect the EC/OC split points (Figure A.1). This phenomenon has been pointed out previously, and

Versions RT-Calc 114 and newer of the Sunset instrument analysis software introduced a laser correction factor to counteract the influence of temperature on the laser signal. This correction factor is calculated in each cycle from the variation in the laser signal when the analysis enters the methane calculation stage (Jung et al., 2011). However, it was obvious that this correction approach did not work well for the Riyadh samples, since many returned EC/TC=0, the case when the initial reflectance is not recovered in the analysis. A revised method of finding the point of return to the original laser signal, and thus determining the POC and EC contributions, was therefore proposed for this study and used to correct the dataset.

The relationship for the Riyadh samples between laser response and temperature during the calibration phase of the  $CH_4 + O_2$  injection was used to develop a corrected split point, assuming that only refractory material is present in this phase, and the effects of this refractory material on the laser response to temperature variations could be isolated and then applied during the other analysis phases. A correlation between laser response and temperature in the calibration phase was derived using linear and quadratic functions. The derived parameters from the two functions were applied in the following equations to recompute a corrected laser signal for each analysis, instead of the laser correction factor automatically generated by the Sunset program:

Signal<sub>new</sub> = Signal<sub>original</sub> + 
$$a$$
 (Temp<sup>2</sup><sub>initial</sub> – Temp<sup>2</sup><sub>original</sub>)  
+  $b$  (Temp<sub>initial</sub> – Temp<sub>original</sub>) (A.1)

$$Signal_{new} = Signal_{original} + c (Temp_{initial} - Temp_{original})$$
 (A.2)

where *Signal*<sub>original</sub> represents\_represented the original laser signal, *Signal*<sub>new</sub> represents represented the signal after correction to the initial temperature, *Temp*<sub>initial</sub> represents represented the temperature at the initial condition when each analysis beginsbegan, and *Temp*<sub>original</sub> represents represented the original temperature for each analysis; a and b in Eq. (A.1) are were derived from the quadratic equation for each analysis, and c in Eq. (A.2) was derived from a linear fit.

Since refractory residues accumulated on the filter during the measurement period, the derived correlation between laser response and temperature varied sample by sample. The equations to derive the corrected laser signal were, therefore, applied individually to each sample. In the blank sample, the quadratic-function-generated laser signal was smoother than the linear-function-generated one, especially during the calibration phase

of the CH<sub>4</sub> + O<sub>2</sub> injection (Figure A.2a). The relationship between temperature and laser 552 signal for the newly replaced filter tended to be closer to linear, while the signal for the 554 aged filter with residue accumulation showed a better fit using a quadratic equation. A quadratic equation was therefore selected to correct the laser signal for the entire dataset. 556 The new split points were then set to where the corrected laser signal rebounded to its value just before OC pyrolyzed and the laser signal decreased due to pyrolyzed organic 558 carbon formation. The method worked for both incorrect split-point cases, bringing the split point back to the He + O<sub>2</sub> phase as expected and leading to more reasonable EC/OC 560 split points, i.e., neither at the end of the analysis nor in the pre-oxygen analysis phase. It is noted that although the quadratic equation correction produced a better laser signal for purposes of the carbon analyses, this correction deesdid not work perfectly in the low 562 temperature He phase, where the corrected laster signals exhibiteds unexpected increases. However, this shortcoming deesdid not substantially influence the accuracy of 564 the correction during subsequent carbon evolution. We note that premature evolution of 566 EC, leading to an increasing laser signal in the inert environment due to the existence of refractory metal oxides, was observed in the studies of Wang et al. (2010) and Bladt et al. (2012). The increases in the corrected laser signal during the He stage in this study 568 may be partially due to the same cause, as Riyadh samples contained abundant metal 570 oxides.

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Table 1 Comparison of OC and EC  $\underline{\text{concentrations}}$  ( $\mu g \text{ m}^{-3}$ ) measured in  $\underline{\text{the different cities}}\underline{\text{urban}}$   $\underline{\text{areas world-wide}}$ .

City	<del>Duration</del>	EC	<del>OC</del>	EC	<del>OC</del>	References
		Conc. (µg m <sup>-3</sup> )		S.D. (µg m <sup>-3</sup> )		
Anthens, Greece	Jan to Aug, 2003	2.2	6.8			Grivas et al., 2012
Riesel, TX, US	May, 2011 to Aug, 2012	0.18	2.67	0.09	1.62	Barrett and Sheesley, 2014
Beijing, China	Selective days in four seasons from 2009 to 2010	6.3	18.2	2.9	<del>13.8</del>	Zhao et al., 2013
<del>Urban, Hong Kong</del>	Nov, 2000 to Feb, 2001 and Jun, 2001 to Aug, 2001	5.71	10.12	0.89	1.92	Ho et al., 2006
<del>Suburban, Hong</del> <del>Kong</del>	Mar, 2011 to Feb, 2012	0.86	4.70	0.53	2.87	Huang et al., 2014b
Middle east (11-sampling sites in Palestine, Jordan and Israel)	<del>Jan to Dec, 2007</del>	<del>2.1</del>	<del>5.3</del>	<del>2.2</del>	4.0	Abdeen, et al., 2014
Veneto, Italy	Apr 2012 to Feb 2013	1.3	5.5			Khan et al., 2016
<del>Delhi, India</del>	Dec 20, 2012 to Feb 26, 2013	12.04	16.46	4.43	6.61	Panda et al., 2016
<del>Riyadh, Saudi</del> <del>Arabia</del>	Apr to Sep, 2012	2.13	4.76	2.52	4.4	this study

City	<u>Duration</u>	EC	<u>OC</u>	EC	<u>OC</u>	References
		<u>Conc.</u> (μg m <sup>-3</sup> )		<u>S.D.</u> (µg m <sup>-3</sup> )		
Athens, Greece	Jan to Aug, 2003	2.2	6.8			Grivas et al., 2012
Gwangju, Korea	Winter of 2011	<u>1.7</u>	5.0	0.9	2.5	Batmunkh et al., 2016
Cleveland, US	Jul, 2007 and Jan, 2008	0.33	<u>3.1</u>	0.01	0.78	Snyder et al., 2010
Detroit, US		0.35	3.54	0.01	0.86	
Beijing, China	Selective days in four seasons from 2009 to 2010	6.3	18.2	2.9	<u>13.8</u>	Zhao et al., 2013
Urban, Hong Kong	Nov, 2000 to Feb, 2001 and Jun, 2001 to Aug, 2001	<u>5.71</u>	<u>10.12</u>	0.89	1.92	Ho et al., 2006
Suburban, Hong Kong	Mar, 2011 to Feb, 2012	0.86	<u>4.7</u>	0.53	2.87	Huang et al., 2014b
Veneto, Italy	Apr 2012 to Feb 2013	<u>1.3</u>	<u>5.5</u>			Khan et al., 2016
Delhi, India	Dec 20, 2012 to Feb 26, 2013	12.04	<u>16.46</u>	4.43	6.61	Panda et al., 2016
Middle East	Jan to Dec. 2007	<u>2.1</u>	<u>5.3</u>	2.2	<u>4</u>	Von Schneidemesser, et al., 2010 Abdeen, et al., 2014
(11 sampling sites in Palestine, Jordan and Israel)						
Riyadh, Saudi Arabia	Apr to Sep. 2012	<u>2.13</u>	<u>4.76</u>	2.52	<u>4.4</u>	this study

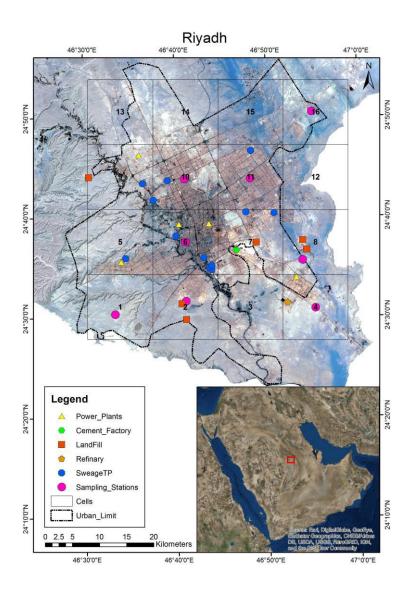


Figure 1 Image of Riyadh and immediate surroundings. Potential emission sources and 16 sampling locations are indicated. The characteristics of the sampling locations are listed in Table 1.

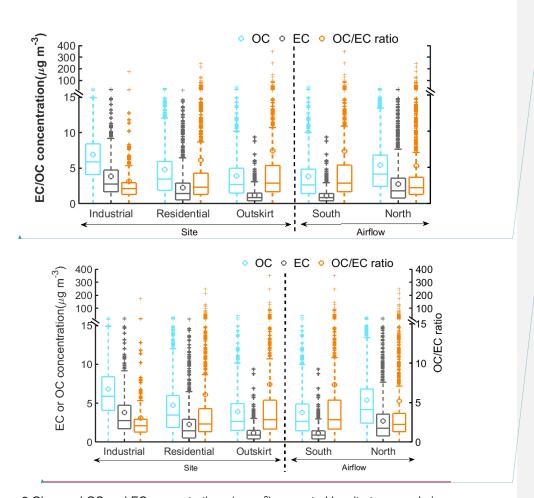
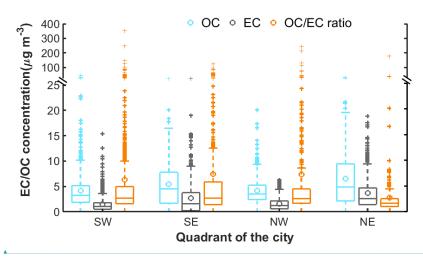


Figure 2 Observed OC and EC concentrations ( $\mu g \ m^{-3}$ ) separated by site types and air mass source region according Table 1 and Figure 1b. Box and whisker plots show median and quartile values; averages are shown as circles and outliers as crosses.



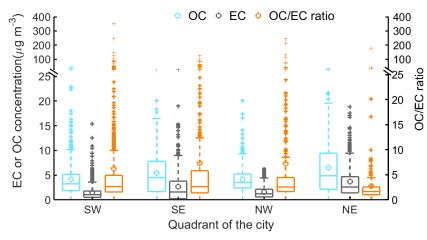


Figure 3 Spatial variation of OC and EC concentrations (µg m<sup>-3</sup>) and OC/EC ratios in each quadrant of Riyadh. SW represents southwest Riyadh and includes the sampling cells 1, 2, 5 and 6; SE represents southeast Riyadh and includes the cells 3, 4, 7, and 8; NW represents northwest Riyadh and includes the cells 9, 10, 13, and 14; and NE represents northeast Riyadh, and includes cells 11, 12, 15, and 16.

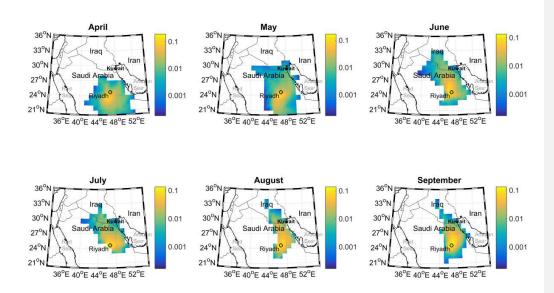
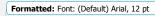


Figure 4 Back trajectory (24 h) residence time analysis of air masses arriving at Riyadh from April to September, 2011. Back trajectories were initiated from a starting height of 500 m above ground level. The color bar represents the normalized number count of the end points.



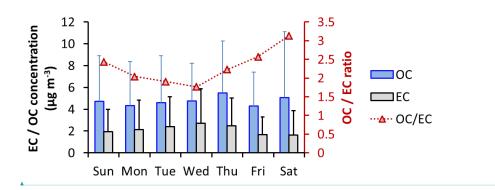
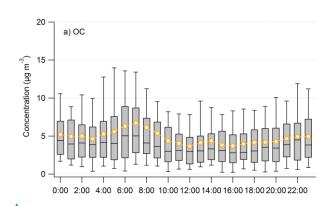
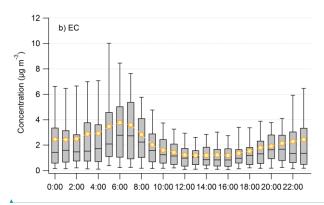
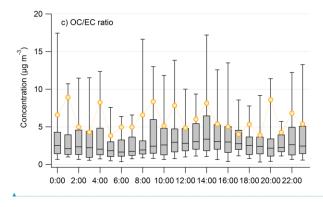


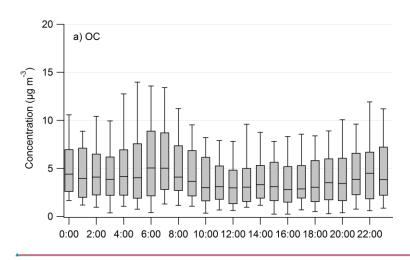
Figure 5 Day of week variation in OC ( $\mu g \ m^3$ ), EC ( $\mu g \ m^3$ ) and OC/EC ratio during the observational period.

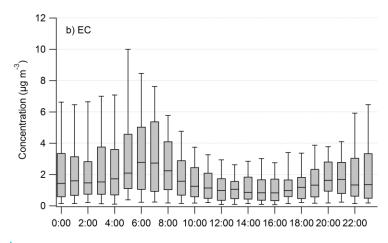






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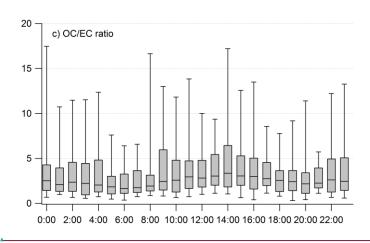


Figure 6-5 Diurnal variation of a) OC, b) EC and c) OC/EC ratio. Box and whisker plots show median represent the interquartile range and quartile the upper and lower whisker represent-90% and 10%, respectively values; averages are shown as orange circles.

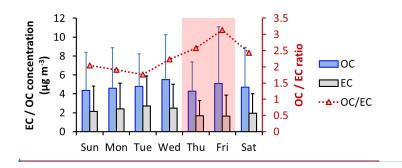


Figure 6 Day-of-week variation in OC (μg m<sup>-3</sup>), EC (μg m<sup>-3</sup>) and OC/EC ratio during the observational period. The shading days (Thu and Fri) were the weekends in Saudi Arabia in 2012.

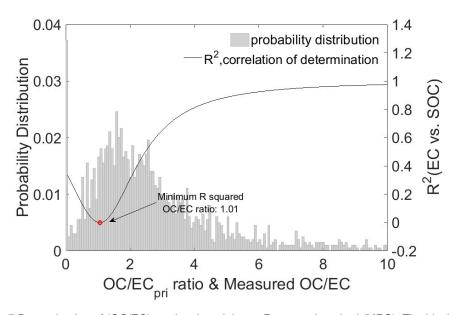


Figure 7 Determination of  $(OC/EC)_{pri}$  using the minimum R squared method (MRS). The black curve is the coefficient of determination (R<sup>2</sup>) between SOC and EC as a function of the assumed primary OC/EC ratio. The grey shaded area represents the probability distribution of the measured OC / EC ratios. The turning point (red circle) in the curve gives the best-fit primary emission ratio  $(OC/EC)_{pri}$ .

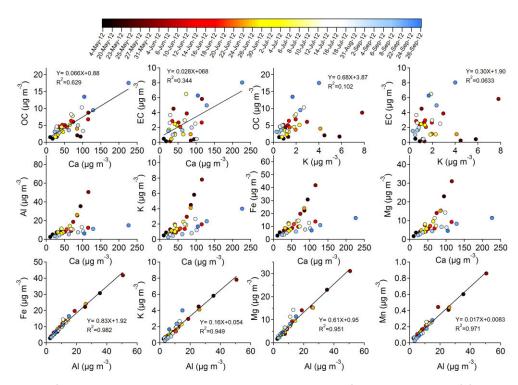


Figure 8 Correlation between dust species (Al, Fe, K, Mg, Mn and Ca), organic carbon (OC) and elemental carbon (EC) concentrations ( $\mu g \ m^{-3}$ ). Color bar represents the corresponding sampling date.

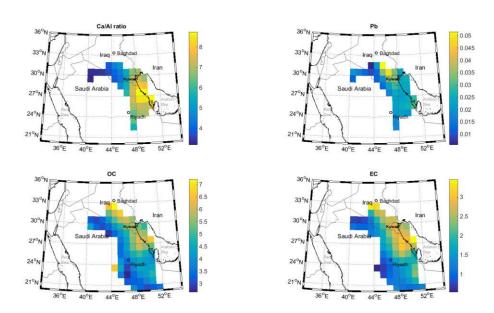


Figure 9 Concentration weighted trajectory analysis for indicated species, for 24-hr back trajectories with a starting height of 500 m. Color bars represent Ca/Al ratio, Pb concentrations (ng m $^{-3}$ ), OC concentrations (µg m $^{-3}$ ), and EC concentrations (µg m $^{-3}$ ).

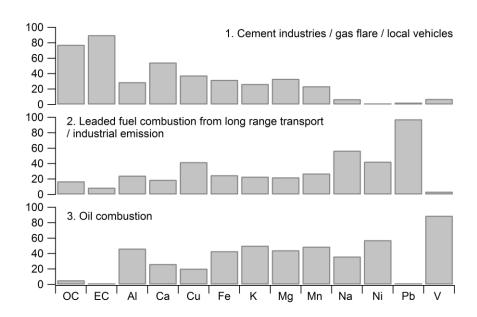
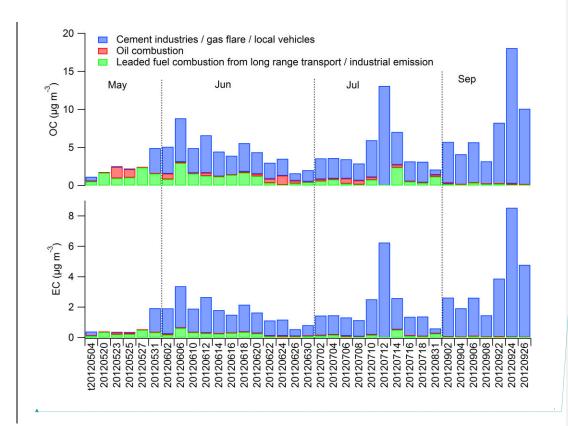


Figure 10 Source profile of PMF analysis of combined  $PM_{2.5}$  OC and EC and  $PM_{10}$  metals concentrations. The sum of the species for all the factors was normalized to unity.



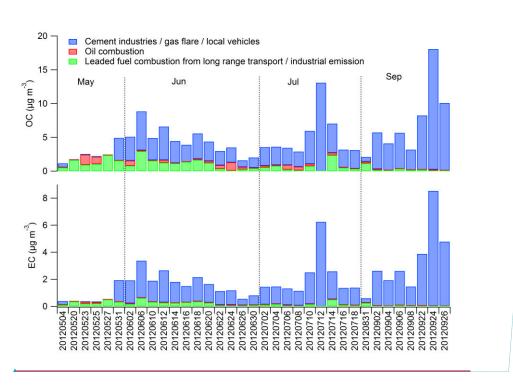


Figure 11 Source contributions to (a) OC and (b) EC (µg m<sup>-3</sup>) from three sources, for each sample.

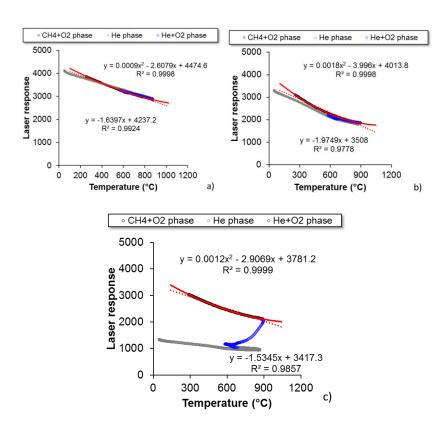


Figure A.1: Correlation between laser response and temperature (°C) for the three samples whose thermograms are shown in Figure A.2. (a) blank at 00:15 am, 20120706; (b) ambient sample at 20:00 pm, 20120706 (c) ambient sample at 6:00 am, 20120709. The gray lines indicate points during the oxygen-free (He only) phase of the analysis, the blue line is for points during the oxidizing stage (He+O<sub>2</sub>) of the analysis and the black line is for the points during the calibration stage (CH<sub>4</sub>+O<sub>2</sub>). The red line is a best-fit polynomial through the CH<sub>4</sub>+O<sub>2</sub> points, while the dashed red lines are linear fits.

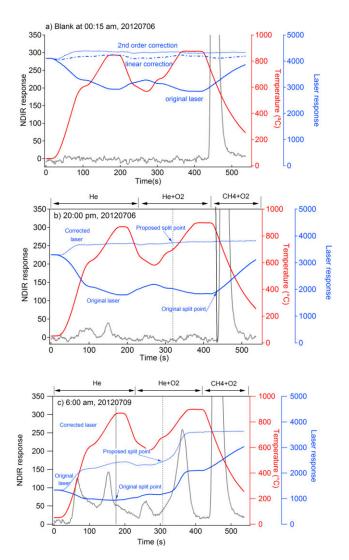


Figure A.2: Thermograms of selected Riyadh samples: (a) blank at 00:15 am, 20120706 (YYYYMMDD); (b) ambient sample at 20:00 pm, 20120706 with relatively low EC loading; (c) ambient sample at 6:00 am, 20120709 with relatively high EC loading.

## Sources of PM<sub>2.5</sub> carbonaceous aerosol in Riyadh, Saudi Arabia

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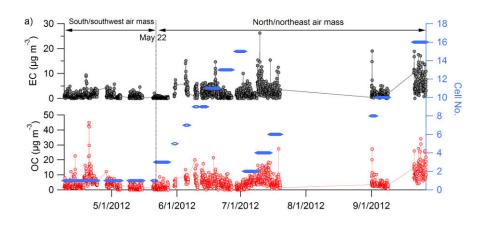
<sup>\*</sup>Corresponding author: <a href="mailto:bianqj@atmos.colostate.edu">bianqj@atmos.colostate.edu</a> and sonia@atmos.colostate.edu

Table S1 Summary of sampling sites, dates and average concentration ( $\mu g \ m^{-3}$ ) for OC and EC measurements (after Alharbi et al. 2014). Grid cells are shown in Figure 1.

Sampling cell	Designation	Туре	Approx. number industrial sources	Cycle 1	Cycle 2	OC¹	EC <sup>1</sup>
1	SW corner, semirural	Outskirts	9	Apr 8-14, 16- 24, 28-30; May 1-5, 7-15, 20-21		3.84±4.27	1.14±1.06
2	Residential and some small scale industrial area (suburban area)	Residential	187		Jul 3-8	4.83±1.95	1.73±1.37
3	Moderately populated residential area with car salvage yards and some agricultural farms (suburban area)	Residential	25	May 22-27		1.56±1.54	0.24±0.26
4	Industrial area (urban area)	Industrial	658		Jul 9-14	8.53±3.63	4.45±3.47
5	Residential densely populated area (urban area)	Residential	102	May 30-31, June 2		6.07±7.90	3.36±2.17
6	Commercial, industrial and residential very densely populated area with city sewage wastewater treatment plants (urban area)	Residential	344		Jul 15-19	4.35±3.27	2.89±2.45
7	Industrial and residential area with a cement factory situated in this area with continual stone crushing operations activities (urban area)	Industrial	289	Jun 3, 5-8		7.27±1.86	5.07±3.43
8	Semi industrial area with commercial train route passing through (suburban area)	Industrial	20		Aug 28- 31, Sep 1-2	5.58±4.67	2.74±3.43
9	Residential area mostly covered with agricultural land (suburban area)	Residential	12	Jun 9-14		6.52±3.63	2.64±1.67
10	Residential area (urban area)	Residential	130		Sep 3-8	3.34±1.30	1.51±0.96
11	Residential and semi industrial area with number of automobile workshops (urban area)	Industrial	394	Jun 15-20		5.18±2.88	3.03±2.28

12	This area is considered a residential area with a number of automobile workshops (suburban area)	Residential	0		Sep 10- 14	N/A	N/A
13	Semi background/residential area (semirural area)	Residential	5	Jun 21-26		3.22±2.19	0.93±0.88
14	Residential area with extended construction activities (suburban area)	Residential	8		Sep 15- 20	N/A	N/A
15	Residential area (suburban area)	Residential	44	June 28-Jul 2		1.78±1.51	1.71±1.40
16	Semi background with small populated residential area and large non-agricultural vacant land (semirural area)	Residential	4		Sep 21- 26	12.49±5.30	6.44±4.00

<sup>&</sup>lt;sup>1</sup> OC/EC filters were changed after the laser intensity was reduced to 2000 or 3000 [a.u.]



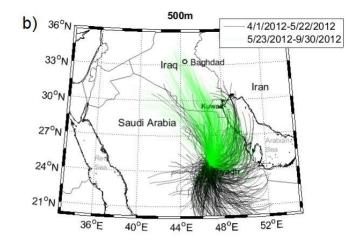


Figure S1: a) Time series of OC and EC measurements and the corresponding sampling cells at Riyadh in 2012; b) 24 hr back trajectories (starting height of 500 m) for the sampling period April 1 – May 22 (black lines) and May 23 – September 30 (green lines), 2012.

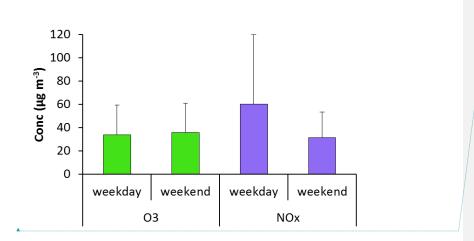
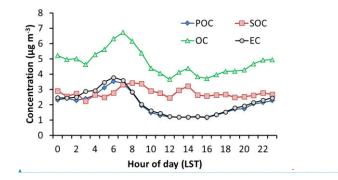
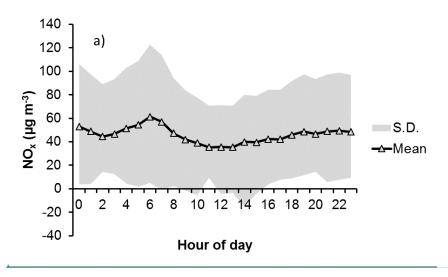


Figure S2 Weekday weekend variation of O<sub>3</sub> and NO<sub>\*</sub> concentrations (µg m<sup>-3</sup>).





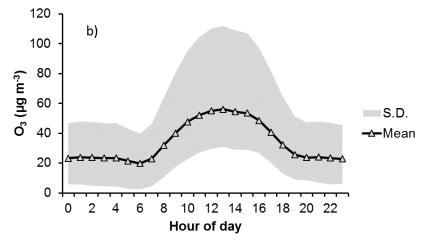


Figure S42: Hourly variation of a) NO<sub>x</sub> and b) O<sub>3</sub> (µg m<sup>-3</sup>) during the observational periods.

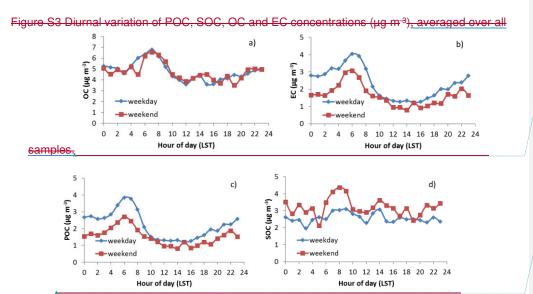


Figure S3: Diurnal variation of POC, SOC, OC and EC concentrations (µg m³) inon the weekdays and weekends.

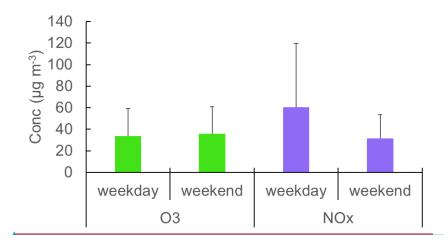
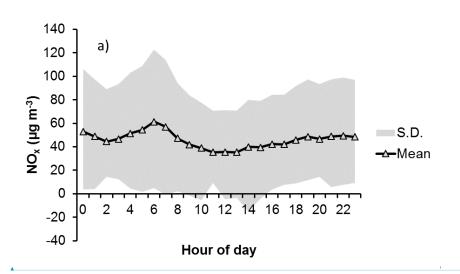
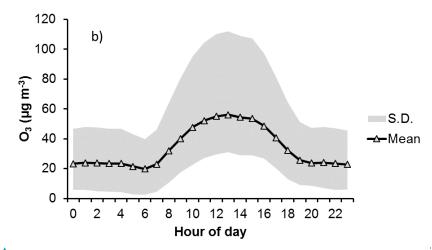


Figure S4: Weekday-weekend variation of O<sub>3</sub> and NO<sub>x</sub> concentrations (μg m<sup>-3</sup>).

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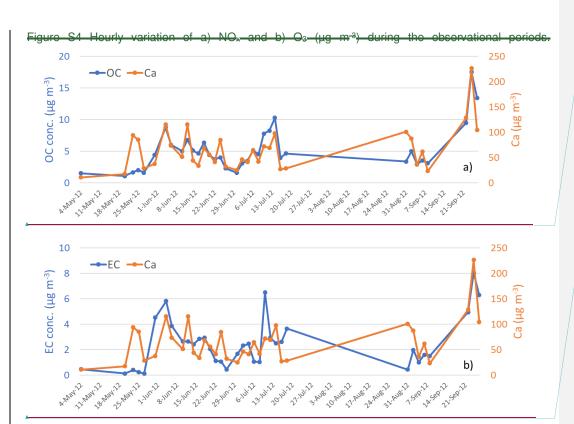
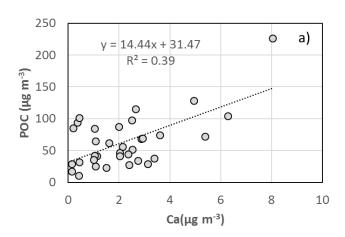


Figure S5: a) Time series of daily-average OC and Ca ( $\mu g \ m^{-3}$ ) and b) time series of daily-average EC and Ca ( $\mu g \ m^{-3}$ )



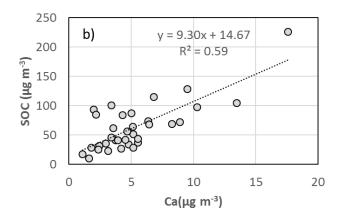


Figure S5-S6: a) Correlation between POC and Ca ( $\mu g \ m^{-3}$ ) and b) correlation between SOC and Ca ( $\mu g \ m^{-3}$ )

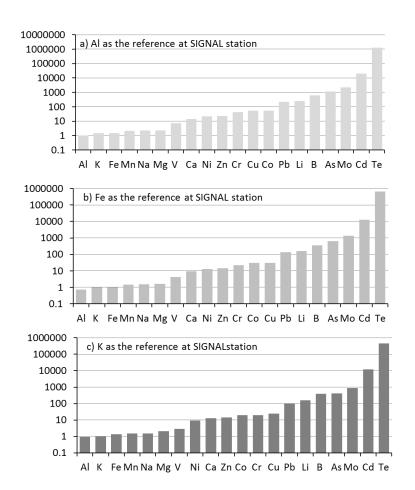


Figure \$6-\$7: Enrichment factor calculation a) using AI as the reference species; b) using Fe as the reference species; c) using K as the reference species.

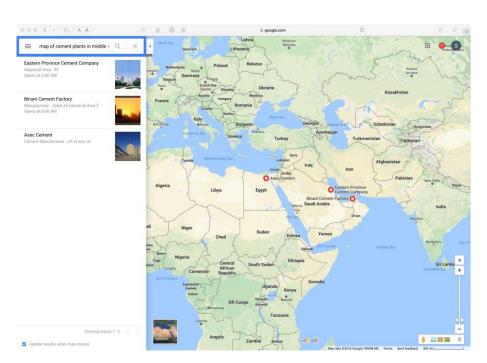


Figure <u>\$7-\$8:</u> Locations of cement plants, via a search in Google Maps.



Figure \$8\$9: Map indicating the distribution of oil or gas fields and refineries. Available at: <a href="http://www.silverbearcafe.com/private/11.10/images/nigelmaund102010A.jpg">http://www.silverbearcafe.com/private/11.10/images/nigelmaund102010A.jpg</a>