

1 **Impacts of absorbing aerosol deposition on snowpack and hydrologic**
2 **cycle in the Rocky Mountain region based on variable-resolution**
3 **CESM (VR-CESM) simulations**

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Abstract

Deposition of light-absorbing aerosols (LAAs) such as black carbon (BC) and dust onto snow cover has been suggested to reduce the snow albedo, and modulate the snowpack and consequent hydrologic cycle. In this study we use the variable-resolution Community Earth System Model (VR-CESM) with a regionally refined high-resolution (0.125°) grid to quantify the impacts of LAAs in snow in the Rocky Mountain region during the period of 1981-2005. We first evaluate the model simulation of LAA concentrations both near the surface and in snow, and then investigate the snowpack and runoff changes induced by LAAs in snow. The model simulates similar magnitudes of near-surface atmospheric dust concentrations as observations in the Rocky Mountain region. Although the model underestimates near-surface atmospheric BC concentrations, the model overestimates BC-in-snow concentrations by 35% on average. Regional mean surface radiative effect (SRE) due to LAAs in snow reaches up to $0.6\text{-}1.7\text{ W m}^{-2}$ in spring, and dust contributes to about 21-43% of total SRE. Due to positive snow-albedo feedbacks induced by the LAAs' SRE, snow water equivalent reduces by 2-50 mm and snow cover fraction by 5-20% in the two regions around the mountains (Eastern Snake River Plain and Southwestern Wyoming), corresponding to an increase of surface air temperature by $0.9\text{-}1.1^\circ\text{C}$. During the snow melting period, LAAs accelerate the hydrologic cycle with monthly runoff increases of $0.15\text{-}1.00\text{ mm day}^{-1}$ in April-May and reductions of $0.04\text{-}0.18\text{ mm day}^{-1}$ in June-July in the mountainous regions. Of all the mountainous regions,

Southern Rockies experience the largest reduction of total runoff by 15% during the later stage of snow melt (i.e., June and July). Compared to previous studies based on field observations, our estimation of dust-induced SRE is generally one-order of magnitude smaller in the Southern Rockies, which is ascribed to the omission of larger dust particles (with the diameter $>10\mu\text{m}$) in the model. This calls for the inclusion of larger dust particles into the model to reduce the discrepancies. Overall these results highlight the potentially important role of LAA interactions with snowpack and subsequent impacts on the hydrologic cycles across the Rocky Mountains.

1. Introduction

Water resources are essential to human society and economic development as well as ecosystems in the western United States. Most primary water resources in the inland western U.S. come from the Rocky Mountains' snowpack (Serreze et al., 1999). Therefore, to develop a water resource management strategy, it is necessary to have information on snow accumulation and snowmelt timing. Climate change is an important factor influencing the snowpack in the Rocky Mountain region, as has been shown in many previous studies (e.g., Abatzoglou, 2011; Pederson et al., 2011; Rhoades et al., 2017). Another important factor is the light-absorbing aerosols (LAAs, e.g., black carbon (BC), organic carbon (OC), and dust) in snow (e.g., Flanner et al., 2007; Painter et al., 2007; Qian et al., 2015; Yasunari et al., 2015). Previous studies

have shown that LAAs in snow can significantly reduce the surface albedo (often known as snow darkening effect (SDE)), modify the surface energy budget and snowmelt, and lead to the modification of hydrologic cycles (e.g., Warren and Wiscombe, 1980; Hansen and Nazarenko, 2004; Flanner et al., 2007, 2009; Painter et al., 2007, 2010; Qian et al., 2009, 2011; Yasunari et al., 2015). Moreover, the LAAs-induced snow albedo reduction may initiate positive feedback processes, which can amplify the reduction of snowpack (e.g., Flanner et al., 2009; Qian et al., 2009).

In past decades modeling studies have been undertaken to quantify the impacts of SDE by LAAs (e.g., Flanner et al., 2007; Qian et al., 2009; Oaida et al., 2015; Yasunari et al., 2015). Generally the models they developed have the ability to simulate the temporal evolution of snow albedo under the influence of LAAs in snow. These studies have enhanced our understanding of the spatial and temporal variations of climate forcings and responses due to LAAs in snow from regional scales (e.g., Qian et al., 2009; Oaida et al., 2015) to global scales (e.g., Flanner et al., 2007; Yasunari et al., 2015). For example, the impacts of LAA in snow are stronger in regions with considerable snow cover and sufficient LAAs deposition (e.g., Arctic, Northeast China, Tibetan Plateau, and western U.S.), and they are largest during the snowmelt period due to the positive snow-albedo feedback. However, as also mentioned in these studies, reliable quantification of impacts of LAAs in snow is hindered by the model deficiencies in simulating the snowpack and aerosol cycles,

with additional uncertainties induced by the parameterization of snow-aerosol-radiation interactions.

In particular, previous studies have used coarse-resolution global climate models (GCMs) or high-resolution regional climate models (RCMs) to quantify the impacts of LAAs in snow. However, there are weaknesses both in coarse-resolution GCMs and in RCMs. Both snowfall and snow accumulation depend on temperature and precipitation, and thus the distribution of snowpack depends strongly on topographic variability. Current GCMs with a typical horizontal resolution of 1° to 2° cannot resolve the snowpack over the regions with complex terrains (e.g., Rocky Mountains) due to the coarse resolution (Rhoades et al., 2016; Wu et al., 2017), which impedes the reliable quantifications of SDE by LAAs in mountainous regions (e.g., Flanner et al., 2007; Yasunari et al., 2015). RCMs can simulate the snowpack more accurately than coarse-resolution GCMs, but they are not able to simulate the global transport of aerosols to the focused region except when aerosol transport along the boundary is prescribed (e.g., Qian et al., 2009). Moreover, LAAs in snow may also influence the climate beyond the focused region (e.g., Yasunari et al., 2015), which cannot be accounted for in RCMs. Variable resolution GCMs (VR-GCMs) can overcome these weaknesses of either coarse-resolution GCMs or RCMs and serve as a better tool to quantify the impacts of LAAs in snow. Although GCMs with globally uniform high resolutions (10-30 km) may be an ideal tool to simulate the snowpack and snow-aerosol-radiation interactions, they are not widely applied due to the

constraints of computational resources (e.g., Haarsma et al., 2016). Instead, using VR-GCMs is a more economic approach and has gained increasing utility in recent years (e.g., Zarzycki et al., 2014a, b; Sakaguchi et al., 2015).

A variable-resolution version of the Community Earth System Model (VR-CESM) has been developed (Zarzycki et al., 2014a, b). With a refined high resolution, VR-CESM has shown significant improvements of the Atlantic tropical storms (Zarzycki and Jablonowski, 2014) and South America orographic precipitation (Zarzycki et al., 2015). The model has also been used in the regional climate simulations over the western U.S., and results show the VR-CESM is capable of reproducing the spatial patterns and the seasonal evolution of temperature, precipitation, and snowpack in the Sierra Nevada (Huang et al., 2016; Rhoades et al., 2016) and Rocky Mountains (Wu et al., 2017). In particular, VR-CESM simulates reasonably the magnitude of snow water equivalent, the timing of snow water equivalent peaks, and the duration of snow cover in the Rocky Mountains, as shown in comparison with Snow Telemetry (SNOTEL) and MODIS (Moderate Resolution Imaging Spectroradiometer) snow cover observations (Wu et al., 2017).

Following the evaluation study of Wu et al. (2017), here we use VR-CESM to investigate the impacts of LAAs in snow (BC and dust) on the snowpack and hydrologic cycles over the Rocky Mountains. By comparing the two VR-CESM simulations with and without LAAs in snow, we examine the impacts on surface radiative transfer, temperature, snowpack, and runoff induced by LAAs in snow. To

our knowledge, it is the first time that VR-CESM is applied for the study of LAAs in snow. Our results will demonstrate that VR-CESM is skillful for this kind of research.

The remainder of the paper is organized as follows. Section 2 introduces the model and experimental design. Section 3 describes the observation data used for validation of model simulations of aerosol fields in the surface air and in snow. Section 4 presents the evaluation of aerosols fields, followed by their surface radiative effect (SRE), as well as the change of surface temperature, snowpack, and runoff induced by LAAs in snow. Discussion and conclusions are given in section 5.

2. Model and experimental design

The model used in this study is VR-CESM, a version of CESM (version 1.2.0) with the variable-resolution capability (Zarzycki et al., 2014a, b). CESM is a state-of-the-art Earth system modeling framework that allows for investigation of a diverse set of Earth system interactions across multiple time and space scales (Hurrell et al., 2013). CESM uses the Community Atmosphere Model version 5 (CAM5) for the atmospheric component (Neale et al., 2010). The variable-resolution capability is implemented into the Spectral Element (SE) dynamic core of CAM5. The SE dynamic core uses a continuous Galerkin spectral finite-element method designed for fully unstructured quadrilateral meshes, and has demonstrated near-optimal (close to linear) parallel scalability on tens of thousands of cores (Dennis et al., 2012). This enables the model to run efficiently on decadal to multi-decadal time scales. For the land component, CESM uses the Community Land Model version 4 (CLM4). CLM4

can be run at the same horizontal resolutions as CAM5 and thus can also benefit from the variable-resolution capability of CAM5.

CESM also includes advanced physics for CAM5 (Neale et al., 2010) and CLM4 (Oleson et al., 2010). The CAM5 physics suite consists of shallow convection (Park and Bretherton, 2009), deep convection (Zhang and McFarlane, 1995; Richter and Rasch, 2008), cloud microphysics (Morrison and Gettelman 2008) and macrophysics (Park et al. 2014), radiation (Iacono et al. 2008), and aerosols (Liu et al., 2012). For aerosols, a modal aerosol module (MAM) is adopted to represent the internal and external mixing of aerosol components such as BC, OC, sulfate, ammonium, sea salt, and mineral dust (Liu et al., 2012). Here, we use the 3-mode version of MAM (MAM3). These three modes are aiten, accumulation, and coarse modes. In MAM3, BC is treated in the accumulation mode. BC particles are instantaneously mixed with sulfate and other components in the accumulation mode once emitted. Dust particles with the diameter range of 0.1-1 μm and 1-10 μm are emitted into the accumulation mode and coarse mode, respectively. Airborne aerosol particles are then transported by winds and delivered back to the land surface by both dry and wet deposition, as described in Liu et al. (2012).

CLM4 physics includes a suite of parameterizations for land-atmosphere exchange of water, energy and chemical compounds. In particular, CLM4 explicitly represents the snowpack (accumulation due to snowfall and frost, loss due to sublimation, and melt) by a snow model and its coupling with the SNow, Ice and

Aerosol Radiation (SNICAR) model for snow-aerosol-climate interactions (Flanner et al., 2007). SNICAR incorporates a two-stream radiative transfer solution of Toon et al. (1989) to calculate the snow albedo and the vertical absorption profile from solar zenith angle, albedo of the substrate underlying snow, mass concentrations of atmospheric-deposited aerosols (BC and dust), and ice effective grain size (r_e). r_e is simulated with a snow aging routine (Oleson et al., 2010). SNICAR is compatible with the new modal aerosol module of CAM5 in the treatment of aerosol deposition (Liu et al., 2012). It should be mentioned that SNICAR includes the effects of feedbacks to the snowpack (grain size, melt) that are driven by the snow albedo reduction due to LAA deposition. As our knowledge of OC optical properties is limited, the impact of absorbing OC on snow albedo is not included in the standard CLM4 and thus not considered in this study. Note that the SNICAR model we use assumes spherical snow grains and aerosol-snow external mixing for the calculation of snowpack optical properties (Flanner et al., 2007; Oleson et al., 2010). Recent studies have shown that non-spherical snow grains play a critical role in snow albedo calculations and reduce the snow albedo reductions included by LAAs compared with spherical snow grains (e.g., Liou et al., 2014; Dang et al., 2016; He et al., 2014, 2017). Nonetheless, the knowledge of snow grain shape evolution is limited and thus spherical snow grains are assumed. Studies have also shown the significant enhancement of solar radiation absorption with larger snow albedo reductions by aerosol-snow internal mixing compared to aerosol-snow external mixing (e.g.,

Flanner et al., 2012; He et al., 2014; Liou et al., 2014). However, although without considering aerosol-snow internal mixing, the SNICAR model we use assumes absorption-enhancing sulfate coatings to hydrophilic BC, which can mimic BC coatings by snow and compensate the neglect of absorption-enhancement by aerosol-snow internal mixing (Flanner et al., 2007; Flanner et al., 2012). Therefore, the impacts of BC in snow shown in this study (section 4) are not necessarily biased low. Despite this, assuming dust-snow external mixing this study may underestimate the impacts of dust in snow.

For the high-resolution modeling, we have designed a variable-resolution grid that transits from global quasi-uniform 1° resolution to a refined 0.125° resolution in the Rocky Mountains (Figure 1a). The variable-resolution grid is the same as that used in Wu et al. (2017), and is generated by the open-source software package called SquadGen (Ullrich, 2014). A topographical dataset for this variable-resolution grid is also generated accordingly by the National Center for Atmospheric Research (NCAR) global model topography generation software called NCAR_Topo (v1.0) (Lauritzen et al., 2015) as described in Wu et al. (2017). Figure 1b shows the spatial variations of terrain height for the variable resolution grid used in VR-CESM. Compared to United States Geological Survey (USGS) 3km topography data (Lauritzen et al., 2015), the topography data used in VR-CESM resolve well the variations of terrain in the Rocky Mountains (see Figure 2 of Wu et al. (2017)). In Wu et al. (2017), we have shown that VR-CESM performs well in the simulation of regional climate patterns, including

209 spatial distributions and seasonal evolution of temperature, precipitation, and
210 snowpack in the Rocky Mountain region. In this study, we further apply VR-CESM to
211 simulate the SDE of LAAs and its impacts on snowpack and hydrologic cycles in the
212 Rocky Mountains.

213 VR-CESM is run in the coupled land-atmosphere mode with prescribed
214 observed monthly $1^\circ \times 1^\circ$ sea surface temperature and sea ice coverage (Hurrell et al.,
215 2008), following the Atmospheric Model Intercomparison Project (AMIP) protocols
216 (Gates, 1992). The simulation period is from 1979 to 2005, and the results for the last
217 25 years (1981-2005) are used for the analysis shown below. Historical greenhouse
218 gas concentrations, and anthropogenic aerosol and precursor gas emissions are
219 prescribed from the datasets of Lamarque et al. (2010). In particular, the BC
220 emissions consist of various sources, including domestic, energy, transportation,
221 waste, shipping, and wildfire (forest and grass fires) emissions. The horizontal
222 resolution for BC emission used in this study is $1.9^\circ \times 2.5^\circ$. We note that BC emission
223 data is natively at a resolution of $0.5^\circ \times 0.5^\circ$ (Lamarque et al, 2010). However, it is
224 processed to be at a relatively coarse resolution of $1.9^\circ \times 2.5^\circ$ for adoption in standard
225 CESM, which is used in this study. The relatively coarse resolution of BC emission
226 may partly explain the model's bias in the simulation of BC concentrations near the
227 surface and in snow across regions where local BC sources can contribute
228 significantly to the observed BC concentrations, as will be discussed in section 4. It is
229 desirable to adopt BC emission at its native resolution for our high-resolution

simulation. The sensitivity of our simulation results to the resolution of BC emission will be analyzed in a separate study.

For dust aerosol, the emission flux is calculated interactively in the model at each time step by a dust emission scheme (Oleson et al., 2010). The dust emission flux is calculated from the friction velocity, threshold friction velocity, atmospheric density, clay content in the soil, areal fraction of exposed bare soil, and source erodibility (Oleson et al., 2010; Wu et al., 2016). Due to the large uncertainty in modeled dust emission, the dust emission scheme also adopts a tuning factor (T) to simulate the reasonable dust emission amount. Our test simulation shows that with the increase of model resolution, VR-CESM produces much higher dust concentrations compared to the observations (section 3) in North America if T used in the standard CESM with quasi-uniform 1° resolution is used. Therefore, for VR-CESM simulation in this study, T is reduced by a factor of 2.6 to produce the similar magnitudes of near-surface dust concentrations as the observations, as will be shown in section 4.1. Note that such a reduction of T is only applied in North America, since other continents have a resolution of quasi-uniform 1° , the same as in the standard CESM.

In addition to the control experiment with the impacts of LAAs (BC and dust) in snow included (CTL), we conduct a sensitivity experiment that turns off the impact of LAAs in snow (NoSDE). Through the comparison of these two simulations (CTL and NoSDE), the impacts of SDE by LAAs on the snowpack and hydrologic cycles can be identified. To facilitate the analysis of SDE, we also calculate the surface

radiative effect (SRE) by BC in snow in the control experiment from the difference of absorbed radiation with all aerosols (i.e., the standard radiation call) and with all aerosols except BC (i.e., only dust in this case) as in Flanner et al. (2007) (a diagnostic radiation call). SRE by dust and by BC and dust are calculated similarly.

To quantify the impacts of LAAs in snow, we mainly focus on five regions. Three of these regions are in the high mountains: Northern Rockies, Greater Yellowstone region, and Southern Rockies. The elevation is higher in Greater Yellowstone region and Southern Rockies (>2250 m) than in Northern Rockies (>750 m). The other two regions are over the plains near the mountains: Snake River Basin and Southwestern Wyoming. These two regions are selected because they are close to the source regions of BC and dust and also have considerable snow cover ($>50\%$) in winter. These five regions are shown in Figure 1c.

3. Observations

We will use various observations to validate the model simulation of aerosol (BC and dust) concentrations near the surface and in snow.

First, we use the observations of near-surface atmospheric BC and dust concentrations from the Interagency Monitoring of PROtected Visual Environments (IMPROVE) network (Malm et al., 1994). Observed mass concentrations of Elemental Carbon (EC) are used for the comparison with model simulation of BC concentrations. Although EC can be somewhat different from BC (Andreae and Gelencser, 2006), EC concentrations have been widely used for the validation of BC

272 concentrations in previous studies (e.g., Koch et al., 2009; Liu et al., 2012). For dust,
273 simulated dust concentration accounts for dust particles with diameters below 10 μm .
274 To compare, observed mass concentrations of fine soil (FS, with the diameter <2.5
275 μm) and coarse mass (CM, with the diameter between 2.5 μm and 10 μm) from
276 IMPROVE are combined, following the approach of Kavouras et al. (2007) and Wells
277 et al. (2007). In reality, in addition to dust, CM may also contain other aerosols such
278 as sulfate, nitrate, organic and elemental carbon, and sea salt. However, according to
279 the study of Malm et al. (2007), who analyzed the speciation of coarse particles
280 collected at nine selected rural IMPROVE stations in 2004, the contributions of dust
281 to CM are above 70% (74-90%) at the three stations in inland western U.S. In their
282 study, lower contributions of dust to CM (34% and 65%) were found in the two
283 stations near the coast. We caution that these two stations were <150 km away from
284 the metropolitan regions indicating that urban emissions may also contribute to CM
285 there. Additional contributions may result from sea salt or sodium nitrate resulting
286 from reactions of nitric acid with sea salt, as mentioned in their study (Malm et al.,
287 2007). Therefore, to minimize the contributions of other aerosols to CM, we do not
288 use the stations in or near the metropolitan regions or near the coast for the validation
289 of dust concentration. Nonetheless, we acknowledge that there may be small
290 contributions from other aerosols to CM and the estimated dust concentration by
291 summing FS and CM may represent an upper limit of dust concentrations (with the
292 diameter <10 μm) from the observations. Note that the observation period of

293 IMPROVE varies with the stations; some stations started collecting data in the 1980s,
294 and some more recently (2000s). To derive a climatological dataset for model
295 comparisons, we only select the stations with more than 5 years of dust observations.
296 In total 80 and 94 stations are selected for BC and dust observations, respectively, in
297 the western U.S. (Figure 2).

298 Second, we use field measurements of BC mass mixing ratio in snow (C_{BC})
299 from previously published studies. Although field observations of C_{BC} in snow
300 extended back to 1980s, they were made mostly in the polar regions, Alps Mountains,
301 Cascade Mountains, eastern Canada, and West Texas/New Mexico (see Qian et al.
302 (2015) and references therein). Recently, Doherty et al. (2014) made valuable
303 measurements of the vertical profiles of LAAs in seasonal snow from January to
304 March of 2013 in the western U.S. They used an Integrating Sphere integrating
305 SandWich (ISSW) Spectrophotometer to estimate the C_{BC} over 67 sites in North
306 America (including 17 sites in the Rocky Mountain region). Observed C_{BC} by
307 Doherty et al. (2014) was recorded on a single day. Doherty et al. (2016) further
308 provided the temporal variations of C_{BC} at four stations, three in Idaho (January to
309 March of 2014) and one in Utah (February to March of 2013 and 2014). Doherty et al.
310 (2016) also calibrated the ISSW measurements using an incandescence technique (the
311 Single Particle Soot Photometer, SP2) in a subset of the observations, which was
312 supposed to capture C_{BC} more accurately, and derived a ratio of C_{BC} by ISSW to C_{BC}
313 by SP2 based on their linear relationship for the estimation of real C_{BC} . This

calibration is applied to the dataset of Doherty et al. (2014) in our study, and thus the observations of Doherty et al. (2014) and Doherty et al. (2016) used here are comparable.

In addition, Skiles and Painter (2016b) made daily measurements of BC-in-snow with an SP2 in the Senator Beck Basin Study Area (SBBSA) in the San Juan Mountains during a period of two months (late March to middle May) in 2013. The locations and sample dates as well as the measurements for these stations are given in Table 1. For comparison with model simulations, we derive observed BC mass mixing ratios (C_{BC}) in the whole snow column at sites #1-12 and #16-17 by dividing total BC mass throughout the snow column by total snow mass throughout the snow column. At sites #13-15, the averages of C_{BC} for all the aged snow samples (from various depths and columns) were reported by Doherty et al. (2016) and are used here. If measurements of C_{BC} on multiple days were made, the means and standard deviations of C_{BC} are given. As our simulation period (1981-2005) does not encompass the years 2013 and 2014, we will use the daily simulation results of C_{BC} on the same month/day (or months/days; Table 1) when the observations were made (i.e., we will ignore the exact year) and compare them (means and standard deviations) with the observations. At each station, simulated daily simulation results are used only when snow is present (i.e., daily mean snow water equivalent ≥ 1 mm). 1 mm is chosen to be consistent with the minimum snow-layer thickness in observations.

There are few observations of dust mass mixing ratio in snow (C_{dust}) in the Rocky Mountain region. To our best knowledge, the only published observations were conducted at two sites in Southern Rockies: one in the Senator Beck Basin Study Area (SBBSA) in the San Juan Mountains with at least 9-year (2005-2013) records (Painter et al., 2012; Skiles et al., 2015; Skiles and Painter, 2016a, 2016b); the other in the Grand Mesa (~150 km to the north of SBBSA) with at least 4-year (2010-2013) records (Skiles et al., 2015). Snow samples in the top 30 cm of the snow column were collected at irregular time intervals from March to June. Here we will use the end-of-year (EOY) C_{dust} , which was reported from the samples collected just prior to snow depletion and consisted of the majority of dust in the snow column (Skiles et al., 2015; Skiles and Painter, 2016a). For the simulation, we will calculate mean C_{dust} for May-June from daily C_{dust} on the days when snow is present (i.e., snow water equivalent ≥ 10 mm). Another consideration is that observed C_{dust} contains all the dust particles while simulated C_{dust} only accounts for the dust particles with diameters smaller than 10 μm . According to the observations by Reynolds et al. (2016), the mass concentration of total suspended particles (TSP) both in the atmosphere and in snow is mainly from particles with diameters larger than 10 μm in the Utah-Colorado region. This will affect the model comparison with the observations, which will be discussed in section 4.

4. Results

4.1 Spatial patterns of near-surface aerosol concentrations

355 Before we examine the impacts of aerosol deposition onto snow, we will first
356 evaluate the aerosol simulations by the model. Figure 2 shows the spatial patterns of
357 cold season (winter and spring) mean emission fluxes and near-surface concentrations
358 of BC and dust in the western U.S. from the VR-CESM simulation. The IMPROVE
359 stations are also denoted by circles with larger circle sizes indicating higher observed
360 near-surface BC/dust concentrations. In the model, the BC emission flux is prescribed
361 and is largest in the Pacific Coast and southern Arizona. BC emission fluxes are
362 relatively large in central–northern Colorado and Northwestern Utah, where large
363 metropolises are located. Corresponding to the patterns of BC emission flux,
364 simulated near-surface BC concentrations ($>100 \text{ ng m}^{-3}$) are also higher in these
365 regions. A band with relatively high near-surface BC concentrations around 50-100
366 ng m^{-3} is also found in southern Idaho, to the west of the Greater Yellowstone region
367 and to the south of Northern Rockies, indicating the transportation of BC around the
368 mountains. Near-surface BC concentrations decrease at higher elevations. The spatial
369 patterns simulated by the model are generally consistent with observations, e.g.,
370 higher BC concentrations in the source regions and lower in the mountains.

371 Dust sources are located in the dry regions with exposed bare soils, such as the
372 southwestern U.S. (southern California, western Arizona, and southern New Mexico),
373 the northern Mexico, the Great Basin, and the Colorado Plateau. Dust emissions are
374 also found in the Great Plains, although they are much weaker. In the Great Plains
375 agricultural activities can disturb the soil, making it vulnerable to wind erosion

(Ginoux et al., 2012). Simulated cold season mean dust concentrations are higher (10-500 $\mu\text{g m}^{-3}$) in the source regions, but decrease dramatically (0.1-5 $\mu\text{g m}^{-3}$) to the mountains. Compared to the observations, the model reproduces the spatial patterns of near-surface dust concentrations with higher concentrations in the southwestern part of US. However, the model tends to overestimate the dust concentrations in Utah, indicating that dust emission may be overestimated there.

Comparisons of modeled and observed near-surface BC/dust concentrations at the IMPROVE stations are further shown in Figure 3. The modeled concentrations are generally within a factor of 5 of the observed concentrations, and the two are moderately correlated (the correlation coefficients (R) being 0.56 and 0.47 for BC and dust concentrations, respectively). Averaged across all comparison stations, the modeled BC concentration is a factor of 1.8 lower than the observed concentrations, and the modeled dust concentration a factor of 1.4 higher. The model tends to systematically underestimate observed near-surface BC concentrations in Utah–Nevada regions, the Rocky Mountains, and the Great Plains, where the stations are located downwind of source regions (Figure 2b). In particular, observed near-surface BC concentrations are underestimated mostly by a factor of 1.5-5 in the Rocky Mountains. The underestimation of near-surface BC concentrations in these regions may suggest that transport of BC in our simulations is too weak. This deficiency may also be ascribed to local BC sources (e.g., Doherty et al., 2014) not resolved by the prescribed BC emission in the model (e.g., at $1.9\times 2.5^\circ$ resolution). For

dust, although the model overestimates near-surface dust concentrations for most of the stations near the dust sources (southwestern U.S., Utah, and Nevada), the model simulates reasonably the magnitude of near-surface dust concentrations in the Rocky Mountains. This may also be associated with underestimated transport in the model, consistent with the low bias in near-surface BC concentrations in downwind regions.

Note that although only the BC and dust emission fluxes over the western U.S. are shown in Figure 2, long-range transport of these aerosols from other regions (e.g., Asia and Africa) can also contribute to BC (e.g., Zhang et al., 2015) and dust (Wells et al., 2007) concentrations in the western U.S. In addition, there are substantial variations of aerosol emission in the western U.S. As mentioned in section 2, although we adopt VR-CESM with a refined high resolution (0.125°) in the Rocky Mountains, we use a coarse resolution gridded emission dataset (i.e., $1.9^\circ \times 2.5^\circ$) for BC. For dust, the small-scale variations of dust emissions can be represented in the model as it is calculated online in the model. However, dust emission depends on many variables such as near-surface winds, soil moisture, vegetation cover, and soil texture (Oleson et al., 2010; Wu et al., 2016), which may themselves be biased. In particular, in Utah and Nevada, simulated near-surface dust concentrations are about 2-3 times as large as observed, indicating significant overestimation of dust emissions in the region.

4.2 Aerosol-in-snow concentrations

Figure 4 shows the spatial distributions of BC and dust mass mixing ratios in snow in winter and spring from VR-CESM simulations. BC-in-snow mass mixing

ratio in the Rocky Mountains ranges from 2-50 ng g⁻¹, which is consistent with a previous study (Qian et al., 2009). The dust-in-snow mass mixing ratio (0.1-50 µg g⁻¹) is about 2-3 orders of magnitude higher than that of BC-in-snow. The spatial pattern of BC-in-snow mixing ratios is consistent with that of near-surface atmospheric BC concentration, which features higher values in northern Utah and southern Idaho and lower values in the higher mountains (Figure 2b). Dust-in-snow mixing ratios are higher in Utah and downwind regions (western Colorado and southern Idaho), which is consistent with the distribution of near-surface atmospheric dust concentrations. Dust-in-snow mixing ratio is also higher in the northern Great Plains, where dust emission is also evident (Figure 2c). In addition, BC and dust mixing ratios are larger (10-100 ng g⁻¹ and 2-50 µg g⁻¹, respectively) in the Southern Rockies than in Northern Rockies and Greater Yellowstone region. BC and dust mass mixing ratios are smaller in the Greater Yellowstone region with ranges from 10-50 ng g⁻¹ and from 0.2-2 µg g⁻¹, respectively, and are smallest in the Northern Rockies with the values below 20 ng g⁻¹ and below 2 µg g⁻¹, respectively. BC and dust mixing ratios in snow are larger in spring than in winter in most of the Rocky Mountain region. This is due to larger deposition of BC/dust in spring than in winter, resulting from larger northward transport of BC/dust in spring (Figure not shown). Larger dust deposition in spring can also be partly explained by the larger dust emission in this season.

The comparison of BC mass mixing ratios in the snow column at the 17 sites from VR-CESM simulations and observations is shown in Figure 5. Observed

439 BC-in-snow mass mixing ratios range from 5.5 ng g⁻¹ to 33.6 ng g⁻¹ at the 17 sites.
440 Simulated BC mixing ratios range from 8.3 ng g⁻¹ to 30.6 ng g⁻¹ at these sites, which
441 are in the range of observations. Despite this, simulated BC-in-snow mass mixing
442 ratios differ from the observations by a factor of up to 4 at some stations. Averaged
443 across all the 17 sites, the simulated BC mass mixing ratio is 35% larger than the
444 observed value. Note that there is a large interannual variability of BC-in-snow mass
445 mixing ratios, such as at site #16, as shown in Doherty et al. (2016). Therefore, the
446 observation period was not long enough for the derivation of a climatological mean as
447 in the simulation, which may partly explain the inconsistency between the
448 observations and simulations

449 Note that although near-surface BC concentrations in the atmosphere are
450 underestimated in the Rocky Mountains in the model (section 4.1), BC mass mixing
451 ratios in the snow are not overall underestimated. In our study, we calibrate the
452 observational data of Doherty et al. (2014) by using the correction factor based on the
453 comparison of ISSW and SP2, assuming that SP2 can more accurately measure the
454 mass mixing ratio of BC compared to ISSW (Doherty et al., 2016). However,
455 although SP2 can provide a direct measurement of BC, SP2 may underestimate the
456 real amount of BC-in-snow mass when BC is attached to larger particles (e.g., dust
457 and sea salt) or aggregates to large sizes in snow due to the size range (e.g., ~0.08-0.7
458 μm) limitations in SP2 (Qian et al., 2015). Because of this, the real amount of
459 BC-in-snow mass may be higher than that measured by SP2. Another reason for the

inconsistency of BC mass mixing ratios in snow and near-surface BC concentrations in the atmosphere may be related to the compensating errors in BC deposition and snowfall. This inconsistency may also be related to the snow aging/melting and BC-in-snow accumulation and flushing-out, which are associated with large uncertainties (Flanner et al., 2007; Qian et al., 2014).

For dust in snow, the simulated mean dust mass mixing ratio in snow in May-June is 31.0 (27.8) $\mu\text{g g}^{-1}$ in the San Juan Mountains (Grand Mesa), with the standard deviation, minimum, and maximum being 20.4 (10.0) $\mu\text{g g}^{-1}$, 8.9 (14.8) $\mu\text{g g}^{-1}$, and 81.4 (50.4) $\mu\text{g g}^{-1}$, respectively. These values are one to two orders of magnitude smaller compared to the observed mixing ratios from Skiles et al. (2015), which showed that, at the end of the snow season, the total dust-in-snow mass mixing ratios range from 0.2 to 4.8 mg g^{-1} and from 0.6 - 1.7 mg g^{-1} , respectively, in the San Juan Mountains and Grand Mesa. Much smaller dust-in-snow mass mixing ratios in the simulations may be ascribed to the fact that the model only accounts for dust particles with diameters smaller than $10\text{ }\mu\text{m}$, while the observations include all the sizes of dust particles in the snow. Observation by Reynolds et al. (2016) in the Colorado region showed that mass concentrations of dust particles in snow are mostly from larger particles with diameters larger than $10\text{ }\mu\text{m}$. Therefore, the model may underestimate the impacts of dust deposition into snow. Dust impacts calculated in this study, which will be discussed below, should be regarded as those from the dust particles with diameters smaller than $10\text{ }\mu\text{m}$.

4.3 Surface radiative effect (SRE) by aerosol-in-snow

Figure 6 shows the spatial distribution of instantaneous surface radiative effect (SRE) due to BC- and dust-induced snow albedo change, respectively, in winter (December-January-February) and spring (March-April-May). Due to the decrease of surface albedo, surface net shortwave radiation is increased. The spatial patterns of SRE are determined by both the amount of aerosol in snow and the snowpack distribution (snow depth and snow cover fraction). Finer-scale structures of SRE in the Rocky Mountains and the adjacent regions are simulated by VR-CESM with a higher horizontal resolution compared to previous simulations by coarse-resolution GCMs (e.g., Flanner et al., 2009; Yasunari et al., 2015). The SRE is generally above 0.2 W m^{-2} over the mountains especially in the Greater Yellowstone region and Southern Rockies. SRE can reach similar magnitudes on the southern periphery of Northern Rockies and west side of the Greater Yellowstone region, where higher near-surface atmospheric BC/dust concentrations and BC/dust-in-snow mass mixing ratios are simulated (Figures 2 and 4). SRE is stronger in spring than in winter for both BC and dust, which is consistent with previous studies (Flanner et al., 2009; Yasunari et al., 2015). This is because of the stronger solar insolation and larger albedo reduction due to snow aging, aerosol accumulation within snow, and feedbacks in spring. Dust emissions, and consequent dust transport and deposition, are higher in spring than in winter, which may also partly contribute to the larger dust-induced SRE in spring than in winter. BC-induced SRE is somewhat larger than

502 dust-induced SRE in both winter and spring. BC-induced SRE is mostly below 1 W
503 m^{-2} in winter, but reaches up to 2-5 W m^{-2} in spring. Dust-induced SRE is mostly
504 below 0.5 W m^{-2} in winter and increases to 1-5 W m^{-2} in spring.

505 Compared to the Greater Yellowstone region and Southern Rockies, SRE in
506 the Northern Rockies is much smaller (mostly below 0.05 and 0.5 W m^{-2} in winter
507 and spring), because of smaller aerosol-in-snow mixing ratios in this region (Figure 4).
508 Note that BC-induced SRE is still significant (mostly around 0.2-2 W m^{-2} and 2-5 W
509 m^{-2} in some local regions) in the northern Great Plains, eastern U.S., southern Canada,
510 and eastern Canada. This was also shown in previous studies using coarse-resolution
511 GCMs (e.g., Flanner et al., 2009; Yasunari et al., 2015). In addition, the model also
512 simulates non-negligible dust-induced SRE (mostly around 0.05-0.2 W m^{-2} and up to
513 0.2-0.5 W m^{-2} in some local regions) near the dust sources in southern Canada and the
514 northern Great Plains.

515 Figure 7 shows the monthly variations of SRE induced by BC and dust SDE in
516 the five regions (Northern Rockies, Greater Yellowstone region, Southern Rockies,
517 Eastern Snake River Plain, and Southwestern Wyoming). Table 2 gives the regional
518 averaged winter and spring SRE in these five regions. Consistent with the spatial
519 distributions shown in Figure 6, aerosol-induced SRE averaged in Northern Rockies is
520 about half to one-fourth of that in the Greater Yellowstone region and Southern
521 Rockies. Compared to that in winter, SRE is much larger in spring, which is a result
522 of aerosol accumulation in snow and relatively strong solar insolation. Maxima in the

monthly SRE occur in April-May in the three mountainous regions (Northern Rockies, Greater Yellowstone region, and Southern Rockies), consistent with the progress of snowmelt after the peaks of snow water equivalent (early to middle April; see figure 11 of Wu et al. (2017)). In the Eastern Snake River Basin and Southwestern Wyoming, maxima in monthly SRE occur in March, which is different from the three mountainous regions because the snowmelt period begins earlier (in February to March) in these two regions (section 4.4). Regional mean total SRE in spring induced by BC and dust can reach up to 1.58-1.7 W m² with peaks around 2.0 W m² in Greater Yellowstone region and Southern Rockies. In the Eastern Snake River Plain and Southwestern Wyoming regions, regional mean total SRE in winter and spring is around 0.4-0.6 W m² and 0.7-0.8 W m², respectively. Dust-induced springtime SRE can contribute to about 20-30% of total springtime SRE in the northern part of Rocky Mountains (Northern Rockies, Greater Yellowstone region and Eastern Snake River Plain). In the southern part of Rocky Mountains (Southwestern Wyoming and Southern Rockies), dust-induced springtime SRE contributes more significantly (about 30-40%) to total springtime SRE. Note that dust-induced SRE shown here doesn't take into account dust particles larger than 10 µm, which may constitute the majority of dust-in-snow mass (Reynolds et al., 2016). Therefore, our estimations of dust-induced SRE may be biased low.

4.4 Impacts of aerosol SDE on the surface temperature and snowpack

Figure 8 shows surface air temperature, snow water equivalent, and snow cover fraction changes due to the aerosol SDE in winter and spring, respectively. Snow water equivalent is defined as the amount of water contained within the snowpack, measured kg m^{-2} which is equivalent to mm after divided by the density of water (1000 kg m^{-3}). Snow cover fraction is defined as the fraction of surface area covered by snow. These changes are derived from the difference between the two simulations (CTL and NoSDE). The crosses in the figure denote the regions where changes are statistically significant at the 0.1 level. Although SRE is largest over the mountains, surface air temperature change is largest in the regions adjacent to the mountains, such as over the Eastern Snake River Plain, Northern Utah, and Central-Southwestern Wyoming, where surface air temperatures are increased by around $0.5\text{-}2^\circ\text{C}$ due to the aerosol SDE. The large surface air temperature increase corresponds well to the significant reductions of snow water equivalent (by $2\text{-}50 \text{ mm}$) and snow cover fraction (by $5\text{-}20\%$) in these regions. This indicates a pronounced positive feedback between snow albedo, radiation, and surface temperature in the regions adjacent to the mountains, where snow water equivalent values are relatively lower and snow cover fractions are smaller than those over the mountains. The positive feedback amplifies the surface warming and snow melting, as was also found in a previous study using the Weather Research and Forecasting (WRF) model (Qian et al., 2009). We note, however, both snow water equivalent and snow cover fraction are larger over the mountains. For example, winter and spring snow water equivalent

is mostly above 50 mm on the high mountains (see Figure 8 of Wu et al. (2017)). Local aerosol SDE may also induce substantial impacts on the surface temperature and snowpack on the high mountains, but these impacts may be canceled out by the increase of snowfall (Figure 9f). As shown in Figure 8, the smaller change of surface air temperature over the mountains corresponds well with the increase of snow water equivalent and snow cover fraction (especially in the Northern Rockies and Greater Yellowstone region).

The increase of snowfall in Figure 9 is likely related to the large-scale circulation change due to aerosol SDE. Figure 10 shows wintertime tropospheric temperature and zonal winds in CTL and NoSDE simulations and their difference. In the NoSDE simulation, we have turned off the SDE not only in the Rocky Mountain region, but also in other regions of the globe. Due to aerosol SDE, temperature is increased in the high-latitudes of northern hemisphere (Figure 10c), which can reduce the meridional temperature gradient, thus leading to the weakening polar jet stream north of 50 °N (Figure 10f). This suggests a shift to a more meridional wind pattern in winter, which can enhance the broader meanders and thus the formation of winter storms (Wu et al., 2017). Enhanced winter storm activity further reduces surface temperature to the north of Rocky Mountain region as well as in the northern part of Rocky Mountain region (Figures 8a and 10c). This, together with the increased temperature in the southwestern U.S. and southern part of Rocky Mountain region, increases meridional temperature gradient and leads to stronger westerly at 30-45°N

(Figure 10f). Stronger westerly at 30-45 °N favors the water vapor transport from the Pacific Ocean. The enhance of winter storm activity and water vapor transport may lead to the increase of precipitation (mainly in terms of snowfall in winter). In spring, the change in temperature and zonal winds is similar to that in winter, but with a northward shift of the patterns as a result of northward movements of the polar jet stream and westerlies in spring (Figure not shown). Therefore, the change of snowfall is likely a result of circulation change induced by SDE from both the Rocky Mountain region and remote regions. It is worth isolating the impacts of SDE from the Rocky Mountain region and remote regions (e.g., high-latitudes) in the future. Note that increases of snow water equivalent and snow cover fraction in the Northern Rockies and Greater Yellowstone region due to aerosol SDE do not pass the significant test at 0.1 level because of the large interannual variability in these regions.

Table 2 gives the winter and spring surface air temperature changes due to LAAs in snow averaged over the five regions. Seasonal mean surface air temperature change is around 0.9-1.1 °C in the Eastern Snake River Plain in winter and spring, while this change is around 0-0.2 °C (winter) and around 0.3-0.5 °C (spring) in the mountainous regions (Northern Rockies, Greater Yellowstone region, and Southern Rockies). In Table 2, we also show the efficacy of snow albedo forcing, which is defined as the ratio of local surface air temperature change to SRE over a specific region. The efficacy is mostly around 0.1-0.5 in the three mountainous regions, but it

is 1.3-2.2 in the Eastern Snake River Plain and Southwestern Wyoming. This indicates that stronger snow albedo feedbacks exist in the latter two regions.

Figures 11-12 show the monthly evolution of regional mean surface air temperature, snow water equivalent, and snow cover fraction, and their changes due to aerosol SDE in the Eastern Snake River Plain and Southwestern Wyoming, respectively. Monthly variations of surface air temperature, snow water equivalent, and snow cover fraction are similar between the two regions: lowest surface air temperature and largest snow cover fraction in January, and highest snow water equivalent in February-March. Significant changes of these variables from the aerosol SDE occur in both regions. The largest surface air temperature increase is 1.5 °C in the Eastern Snake River Plain and 1.6 °C in Southwestern Wyoming, occurring in April and December, respectively. In the Eastern Snake River Plain (Southwestern Wyoming), aerosol SDE leads to the reduction of snow water equivalent by 6-28 mm (6-16 mm) and snow cover fraction by 5-15% (6-19%) from December to March. In April (late snowmelt period) when snow water equivalent and snow cover fraction are both relatively small, the aerosol SDE is more significant, which reduces snow water equivalent values and snow cover fractions by about half.

4.5 Runoff change induced by aerosol SDE

In the model, the total runoff includes the surface runoff and sub-surface runoff. Our simulations show that the spatial distribution and seasonal evolution of surface runoff and sub-surface runoff are generally similar to total runoff (Figure not

626 shown), and surface runoff and subsurface runoff accounts for 30-40% and 60-70%,
627 respectively, of annual total runoff in the mountains. Here we only show the
628 simulation results of total runoff, as both surface runoff and sub-surface runoff will
629 flow to rivers and become discharge. Runoff is mainly from rainfall and snowmelt.
630 The change of rainfall is shown in Figures 9c-9d, and the snowmelt change is shown
631 in Figure 13. Aerosol SDE increases the snowmelt by 0.1-2 mm/day in the mountains
632 during the snow accumulation and early snowmelt period (in autumn, winter and
633 spring). In the late snowmelt period, aerosol SDE reduces the snowmelt due to there
634 being less snowpack available for melting in the plains (in spring) and in the
635 mountains (in summer). Note that snowmelt is slightly reduced by the aerosol SDE in
636 autumn in the Southern Rockies, which is a result of less snowpack available for
637 melting due to the reduced snowfall in this region (Figure not shown).

638 Because of the change in rainfall and snowmelt due to aerosol SDE, runoff
639 changes too. Figure 14 shows the runoff change induced by aerosol SDE in four
640 seasons. In winter, runoff is barely modified by the aerosol SDE in the Rocky
641 Mountains, except in the Northern Rockies where runoff is increased by 0.1-2 mm
642 day⁻¹, associated with increased rainfall (Figure 9c) and increased snowmelt (Figure
643 13a). In spring, runoff changes the most compared to all of the other seasons, with the
644 runoff increased by up to 0.5-2 mm day⁻¹ in the mountainous regions. This is mainly
645 due to the increase of snowmelt resulting from surface warming (Figure 13b) as well
646 as due to more snow available for melt resulting from snowfall increase (Figure 9f).

The changes in runoff are statistically significant at 0.1 level in most of the mountainous regions in spring. Absolute runoff increases are stronger in the Northern Rockies and Greater Yellowstone regions than in the Southern Rockies in terms of the area and magnitude, probably due to the smaller snow water equivalent in Southern Rockies (Wu et al., 2017). As more snowmelt occurs in spring, less snowpack is available for melt in summer and thus surface runoff is reduced by about 0.1-1 mm day⁻¹. There is little runoff change in autumn, as there is less runoff generated from rainfall and snowmelt than in other seasons. Overall, BC and dust residing in snow accelerate the hydrologic cycles by increasing the runoff in spring and reducing the runoff in summer. Surface warming also increases the ratio of rainfall to total precipitation, which can accelerate the generation of runoff. Note that in some regions of the plains, such as the central-eastern Montana, Southwestern Wyoming, and the Snake River Basin, the snowmelt changes by 0.1-1 mm/day due to the aerosol SDE, but the runoff changes little. This is because the water generated from snowmelt is mainly stored in soil or transformed into evapotranspiration in these regions. Also note that there are statistically significant increases of runoff in the southern Great Plains in spring, but the change is small (around 0.05-0.1 mm/day; Figure 13b). This change is a result of slight increases in both rainfall (Figure 9d) and snowmelt (Figure 13b).

Figure 15 shows the monthly evolution of runoff and its change due to the aerosol SDE in the three mountainous regions (Northern Rockies, Greater

668 Yellowstone region, and Southern Rockies). In the three regions, runoff peaks in the
 669 late spring and early summer (in May in Northern Rockies and Southern Rockies, and
 670 in June in Greater Yellowstone region) when snow melting progresses after the peak
 671 of snow water equivalent in early-to-middle April (Wu et al., 2017). This indicates the
 672 significant contribution of snowmelt to runoff. Overall, runoff changes are larger in
 673 the Northern Rockies and Greater Yellowstone region than in Southern Rockies,
 674 which is consistent with the spatial distribution of runoff changes shown in Figure 14.
 675 Runoff is significantly increased in spring and decreased in June and July, indicating
 676 the acceleration of the local hydrologic cycle by aerosol SDE. In the Northern
 677 Rockies, runoff is also increased from October to March but in much smaller
 678 magnitudes (below 0.2 mm day^{-1}) compared to April and May. In April (May), runoff
 679 is increased by 0.39 (0.56), 0.22 (1.00), and 0.17 (0.15) mm day^{-1} in the the Northern
 680 Rockies, Greater Yellowstone region, and Southern Rockies, respectively. This
 681 increase contributes to 26% (13%), 42% (27%), and 29% (7%) of the runoff from the
 682 NoSDE simulation in April (May) for the three regions, respectively. The reduction of
 683 runoff in June is relatively small (0.06 and 0.11 mm day^{-1} , respectively) in the
 684 Northern Rockies and Greater Yellowstone, only accounting for 2% of runoff from
 685 the NoSDE simulation. However, it reaches up to 0.18 mm day^{-1} in the Southern
 686 Rockies, which accounts for 15% of runoff. In addition, due to the reduction of snow
 687 available for melting later in July, the runoff is further reduced. Runoff is relatively
 688 smaller in July versus in previous months, and aerosol SDE can reduce the runoff by

0.04 (8%), 0.17 (23%), and 0.06 mm day⁻¹ (16%) in the three regions, respectively.

Note that due to increase of precipitation, the annual mean runoff is increased by 0.12

(12%), 0.09 (10%), and 0.01 mm day⁻¹ (2%) in these three regions, respectively.

5. Conclusions

In this study, we use VR-CESM to quantify the impacts of LAA (BC and dust) deposition to the snowpack and hydrologic cycles and to surface air temperatures in the Rocky Mountains. Our previous study has shown that VR-CESM reproduces reasonably the spatial distributions and seasonal evolution of snowpack in the Rocky Mountains (Wu et al., 2017). Here we show that the model simulates similar magnitude of near-surface dust concentrations at most stations in the Rocky Mountain region compared to IMPROVE observations. The model tends to underestimate near-surface atmospheric BC concentrations mostly by a factor of 1.5-5 in the Rocky Mountain region. The underestimation of near-surface BC concentrations may be due to the absence of local sources in the BC emissions dataset used and too weak transport in the model. Simulated aerosol-in-snow concentrations are closely related to the distributions of both snowpack and near-surface atmospheric aerosol concentrations. Simulated BC-in-snow concentrations ranges from 2 to 50 ng g⁻¹ in the Rocky Mountain region, and they are 35% larger than the observations for the average at the 17 sites.

Due to the deposition of LAAs to snow, surface net shortwave radiation is increased. Regional- and seasonal- averaged SRE induced by LAAs in snow is 0.1-0.5

710 W m^{-2} in winter in the three mountainous regions (Northern Rockies, Greater
711 Yellowstone region, and Southern Rockies) and $0.4\text{-}0.6 \text{ W m}^{-2}$ in the two regions
712 around the mountains (Eastern Snake River Plain and Southwestern Wyoming).
713 Seasonal average SRE is much larger in spring and reaches up to $0.6\text{-}1.7 \text{ W m}^{-2}$ in
714 these five regions (Table 2). Dust contributes 21-43% to the total SRE induced by
715 LAAs in snow in spring, indicating the important role of dust residing in snow. Of the
716 five regions, dust contributes the most (43%) to the total SRE in the Southern Rockies.
717 This is not unexpected as this region is close to dust sources in the Colorado Plateau.

718 As a result of SRE induced by LAAs in snow, surface air temperature
719 increases in most of the Rocky Mountain region. The surface air temperature increase
720 is largest over the Eastern Snake River Plain and Southwestern Wyoming, with winter
721 and spring surface air temperature increased by $0.9\text{-}1.1^{\circ}\text{C}$. Significant reductions of
722 snow water equivalent (by 2-50 mm) and snow cover fraction (by 5-20%) occur in
723 these two regions, indicating a strong positive snow-albedo feedback there.

724 Aerosol SDE accelerates the hydrologic cycle in the mountainous regions. In
725 April and May, monthly mean runoff is increased by 7%-42% in the three
726 mountainous regions (Northern Rockies, Greater Yellowstone region, Southern
727 Rockies). This is because of the accelerated snowmelt resulting from surface warming
728 as well as the increased snowfall resulting from enhanced winter storm activity and
729 water vapor transport from the Pacific Ocean. This enhancement may be related to
730 large-scale circulation changes. In the later stage of snowmelt, monthly runoff is

reduced by 2-15% in June and 8-23% in July in the three mountainous regions. In particular, aerosol SDE leads to a reduction of total runoff by about 15% in June and July in the Southern Rockies. This highlights the important role of aerosol SDE in modulating the hydrologic cycle in these mountainous regions.

We note that VR-CESM still underestimates the near-surface BC concentrations, however, overestimates BC-in-snow concentrations by 35% for the average across the 17 observational sites. For dust in snow, the model used in this study only accounts for dust particles smaller than 10 μm , while observations made by Reynolds et al. (2016) suggest that most airborne and in-snow dust mass concentrations are characterized by dust particles with diameters larger than 10 μm in the Utah-Colorado region. Therefore, our simulations may significantly underestimate the impacts of dust in snow especially over Southern Rockies. In the Southern Rockies, our simulations suggest SRE induced by dust-in-snow can reach up to 2-5 W m^{-2} in the Southern Rockies, which is nearly an order of magnitude smaller than values given by Painter et al. (2007) and Skiles et al. (2015) based on observed dust-in-snow particles in the same region. Note that such bias in SRE may become smaller in the Greater Yellowstone region and Northern Rockies as these regions are farther from the dust source regions than Southern Rockies. Future observations of LAAs in snow, particularly for the temporal evolution of LAAs in different snow layers, as well as detailed size distribution measurements of dust particles in snow

will help reduce the uncertainties in the model quantification of the impacts of LAAs in snow.

Although uncertainties still exist, our results show LAAs in snow can significantly affect the snowpack and consequent hydrologic cycle in the Rocky Mountains. Previous studies have demonstrated that snowpack on the Rocky Mountains has declined significantly in the second half of 20th century (e.g., Pederson et al., 2011). The role of LAAs in this decrease of snowpack is still unknown. It would be interesting to investigate the role of LAAs and compare it with those of other climate factors (such as natural climate variability and greenhouse gas concentrations). Moreover, BC and dust emissions may also be subject to changes in the future. Therefore, for better projections of future changes in Rocky Mountain snowpack, the impacts of LAAs in snow under future emissions scenarios need to be taken into account.

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1042

1043 **Table 1.** Observations of BC mass concentration in snow column (C_{BC} , ng g^{-1} , i.e., ng
1044 gram BC per g snow) in the Rocky Mountain region compiled from previously
1045 published literature.

1046

No.	Latitude (°N)	Longitude (°W)	Elevation (m)	Date sampled	C_{BC} (ng g^{-1}) ^a	Source
1	40.9014	115.8910	1949	2/1/13	7.6	Site 8 of Doherty et al. (2014)
2	42.2767	116.0115	1772	2/1/13	5.6	Site 9 of Doherty et al. (2014)
3	43.3495	115.3968	1538	2/3/13	6.0	Site 10 of Doherty et al. (2014)
4	43.5927	113.5894	1942	2/3/13	5.8	Site 11 of Doherty et al. (2014)
5	43.4010	111.2053	1727	2/4/13	6.8	Site 12 of Doherty et al. (2014)
6	42.9357	109.8576	2274	2/4/13	6.3	Site 13 of Doherty et al. (2014)
7	41.7297	109.3668	2223	2/5/13	29.1	Site 14 of Doherty et al. (2014)
8	40.7464	109.4776	2583	2/5/13	9.3	Site 15 of Doherty et al. (2014)
9	40.1316	109.4711	1538	2/7/13	14.3	Site 16 of Doherty et al. (2014)
10	40.4929	107.8994	1962	2/8/13	9.5	Site 17 of Doherty et al. (2014)
11	40.6695	106.4158	2512	2/9/13	11.4	Site 18 of Doherty et al. (2014)
12	48.2318	105.0949	648	2/17/13	10.9	Site 24 of Doherty et al. (2014)
13	44.9475	116.0813	1528	1/27/14-3/24/14	9.8 (5.4)	Site McCall of Doherty et al. (2016)
14	44.4224	115.9899	1450	2/1/14-3/4/14	13.3 (9.5)	Site Cascade Valley of Doherty et al. (2016)
15	44.0949	115.9771	960	2/1/14-3/4/14	14.9 (8.9)	Site Garden Valley of Doherty et al. (2016)
16	40.143	109.467	1620	1/28/13-2/21/13 1/17/14-2/13/14	33.6 (25.4)	Site Vernal of Doherty et al. (2016)
17	37.9069	107.7113	3368	3/25/13-5/18/13	5.5 (1.7)	At Senator Beck Basin Study Area (SBBSA) (Skiles and Painter, 2016b)

1047 ^a: If multi-measurements of C_{BC} are made during the observation period, the mean C_{BC} is given
1048 with the standard deviation of C_{BC} shown in parenthesis next to the mean C_{BC} .

1049

Table 2. Winter (December-January-February) and spring (March-April-May) mean surface shortwave radiative effect (SRE; W m^{-2}) due to BC alone, dust alone and BC and dust together in snow, as well as surface air temperature (SAT; $^{\circ}\text{C}$) change and the efficacy of SRE in SAT change in the five regions (see Figure 1c). Note that SRE induced by BC and dust together is slightly larger than the sum of SRE induced by BC and SRE by dust separately.

Season	SRE by BC ^a	SRE by dust ^a	SRE by BC & dust	SAT change	Efficacy ^b
Northern Rockies					
Winter	0.13 (92%)	0.01 (8%)	0.14	0.08	0.57
Spring	0.42 (79%)	0.11 (21%)	0.57	0.32	0.56
Greater Yellowstone region					
Winter	0.24 (88%)	0.03 (12%)	0.28	0.004	0.014
Spring	1.11 (71%)	0.45 (29%)	1.70	0.50	0.29
Southern Rockies					
Winter	0.36 (77%)	0.11 (23%)	0.50	0.17	0.34
Spring	0.79 (58%)	0.58 (42%)	1.58	0.30	0.19
Eastern Snake River Plain					
Winter	0.50 (84%)	0.09 (16%)	0.62	0.93	1.5
Spring	0.54 (73%)	0.20 (27%)	0.80	1.13	1.41
Southwestern Wyoming					
Winter	0.33 (81%)	0.08 (19%)	0.43	0.93	2.16
Spring	0.43 (67%)	0.22 (33%)	0.70	0.90	1.29

^a: The fraction of SRE by BC (dust) to the sum of SRE by BC and SRE by dust is given in parenthesis next to SRE by BC (dust).

^b: Efficacy of snow/ice albedo forcing ($^{\circ}\text{C}$ increase per 1 W m^{-2}) is defined as the ratio of SAT change to SRE.

Figure captions:

Figure 1. (a) Model meshes for variable resolution (uniform 1° with refined 0.125° in the Rocky Mountains) used in VR-CESM. Note that each element shown contains additional 3×3 collocation gridcells. (b) Terrain height (m) in the western US for the variable resolution grid used in VR-CESM (section 2). The refined region at a resolution of 0.125° is surrounded by dashed lines. (c) Five regions identified for the analysis in this study, including three mountainous region (1, Northern Rockies; 2, Greater Yellowstone region; 3, Southern Rockies) and two regions in the plains around the mountains (4, Eastern Snake River Plain; 5, Southwestern Wyoming).

Figure 2. Spatial distribution of cold season (winter and spring) mean (a) BC emission flux and (b) near-surface BC concentration from the VR-CESM simulation; (c) and (d) for dust emission flux and near-surface dust concentration, respectively. Also shown are the IMPROVE stations (blue open circle) selected for model validation, with the size of the circles from small to large indicating the magnitude of observed near-surface BC/dust concentrations. The black rectangles in (b) and (d) denotes the five regions (A, West Coast; B, Rocky Mountains; C, Utah and Nevada; D, Southwestern US; E, Great Plain), which will be used to classify the stations in Figure 3. Note that units for BC and dust concentrations are ng m^{-3} and $\mu\text{g m}^{-3}$, respectively.

Figure 3. Comparison of cold season (winter and spring) mean near-surface (a) BC and (b) dust concentrations at IMPROVE stations from VR-CESM simulation and IMPROVE observations. Also given are the mean results at all the stations from simulation and observations and their correlation coefficient (R). The 1:1 (solid) and 1:5/5:1 (dash) lines are plotted for reference.

Figure 4. Winter (December-January-February (DJF); left) and spring (March-April-May (MAM); right) mean BC (upper row) and dust (bottom row) mass mixing ratios in snow column. Also shown are the stations for observations of BC mass in snow column (a, b) and for observations of dust mass in snow column in the San Juan Mountains and Grand Mesa (denoted by the diamond and square, respectively; c, d). Note that the units for BC and dust mass mixing ratios are given in different units, i.e., ng g^{-1} and $\mu\text{g g}^{-1}$, respectively.

Figure 5. Comparison of BC mass concentrations in the snow column (C_{BC}) at the 17 sites (see Table 1) from VR-CESM simulations and observations with the error bars

denoting the corresponding standard deviations. The observations are compiled from the previously published studies (Table 1). If multiple observations are recorded at a certain site, the observed standard deviations are calculated from these multiple observations (section 3). Simulated BC mass concentration in the snow column and its standard deviation are calculated from the 25-year mean and standard deviation of simulation on the same month/day as the observations (section 3). The 1:1 (solid) and 1:5/5:1 (dash) lines are plotted for reference.

Figure 6. Winter (December-January-February (DJF), left) and spring (March-April-May (MAM), right) mean surface shortwave radiative effect (SRE, W m^{-2}) induced by BC (top) and dust (bottom).

Figure 7. Monthly variations of surface radiative effect (SRE; W m^{-2}) during the water year (October 1st to September 30th) averaged over the Northern Rockies, Greater Yellowstone region, Southern Rockies, Eastern Snake River Basin, and Southwestern Wyoming, respectively.

Figure 8. Changes in surface air temperature (upper row; $^{\circ}\text{C}$), snow water equivalent (middle row; mm), and snow cover fraction (bottom row; %) in winter (left) and spring (right) induced by BC- and dust-in-snow. The crosses denote the regions where changes are statistically significant at 0.1 level.

Figure 9. As Figure 8, but for total precipitation change (top), rainfall change (center), and snowfall change (bottom). The unit is mm day^{-1} .

Figure 10. (a–c) Wintertime temperature ($^{\circ}\text{C}$) and (e–f) zonal winds (m s^{-1}) averaged at $102\text{--}125^{\circ}\text{W}$ from CTL and NoSDE simulations and their difference. Note that zonal winds are averaged for a range of longitudes, which correspond to the east-western boundary of western U.S. including the Rocky Mountains and upwind regions (Figure 1).

Figure 11. Seasonal evolution of (a) surface air temperature, (c) snow water equivalent, and (e) snow cover fraction and their changes due to SDE (b, d, and f) averaged over the Eastern Snake River Plain.

Figure 12. As Figure 11, but for Southwestern Wyoming.

Figure 13. Snowmelt change (mm day^{-1}) due to SDE of BC and dust in four seasons: (a) December-January-February (DJF), (b) March-April-May (MAM), (c) June-July-August (JJA), and (d) September-October-November (SON). The crosses denote the regions where changes induced by SDE are statistically significant at 0.1 level.

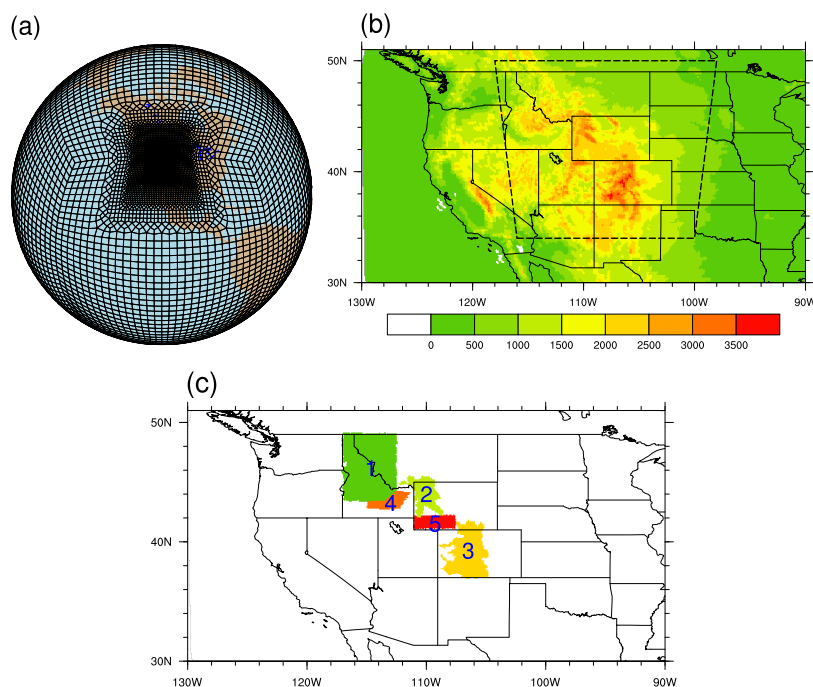
1131 **Figure 14.** As Figure 13, but for runoff change (mm day^{-1}).

1132 **Figure 15.** Seasonal evolution of total runoff including surface and subsurface runoff
1133 (left) and their change (right) in the Northern Rockies (top), the Greater Yellowstone
1134 region (center), and Southern Rockies (bottom). The unit is mm day^{-1} .

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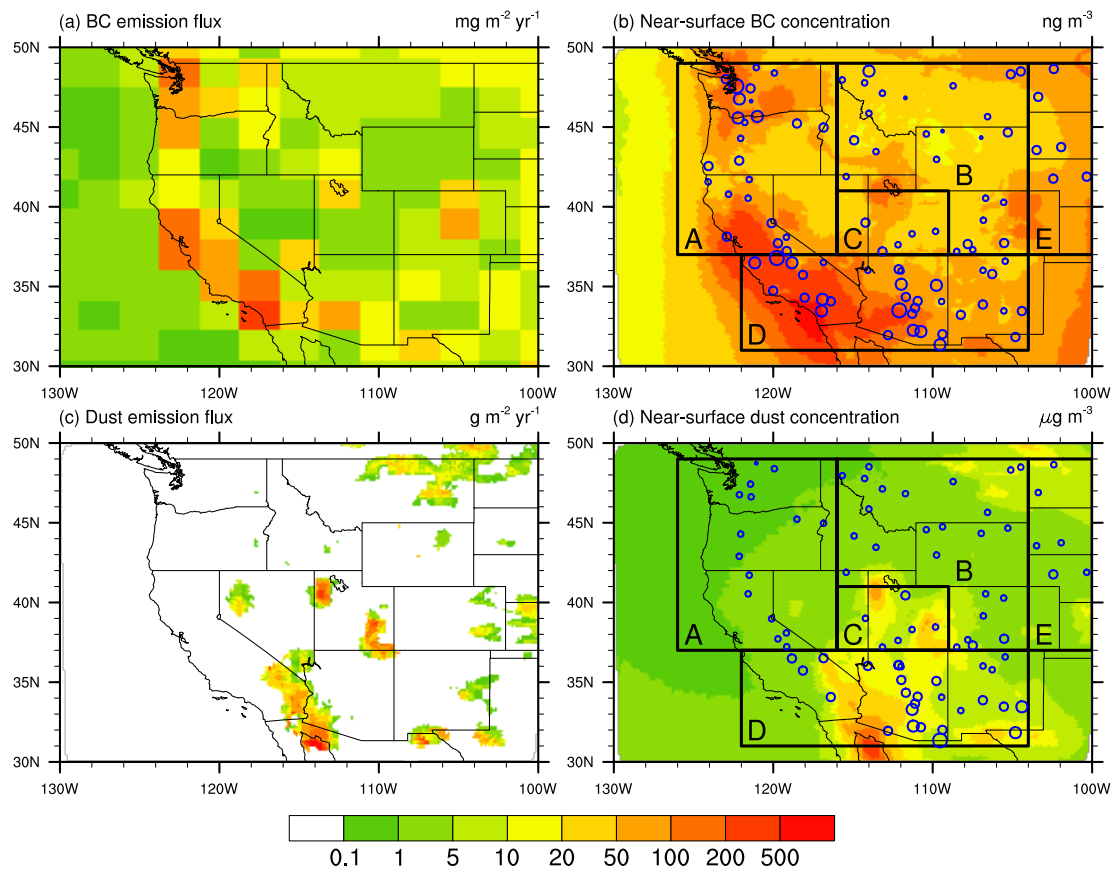
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1141 **Figure 1.** (a) Model meshes for variable resolution (uniform 1° with refined 0.125° in
 1142 the Rocky Mountains) used in VR-CESM. Note that each element shown contains
 1143 additional 3×3 collocation gridcells. (b) Terrain height (m) in the western US for the
 1144 variable resolution grid used in VR-CESM (section 2). The refined region at a
 1145 resolution of 0.125° is surrounded by dashed lines. (c) Five regions identified for the
 1146 analysis in this study, including three mountainous region (1, Northern Rockies; 2,
 1147 Greater Yellowstone region; 3, Southern Rockies) and two regions in the plains
 1148 around the mountains (4, Eastern Snake River Plain; 5, Southwestern Wyoming).

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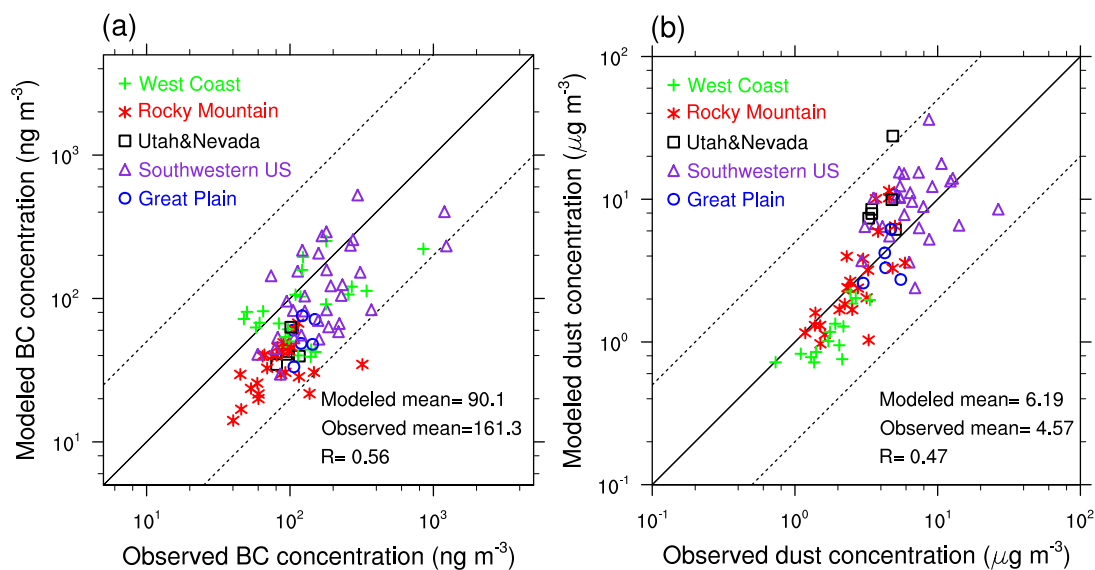
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1153 **Figure 2.** Spatial distribution of cold season (winter and spring) mean (a) BC
1154 emission flux and (b) near-surface BC concentration from the VR-CESM simulation;
1155 (c) and (d) for dust emission flux and near-surface dust concentration, respectively.
1156 Also shown are the IMPROVE stations (blue open circle) selected for model
1157 validation, with the size of the circles from small to large indicating the magnitude of
1158 observed near-surface BC/dust concentrations. The black rectangles in (b) and (d)
1159 denotes the five regions (A, West Coast; B, Rocky Mountains; C, Utah and Nevada;
1160 D, Southwestern US; E, Great Plain), which will be used to classify the stations in
1161 Figure 3. Note that units for BC and dust concentrations are ng m^{-3} and $\mu\text{g m}^{-3}$,
1162 respectively.

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1168 **Figure 3.** Comparison of cold season (winter and spring) mean near-surface (a) BC
 1169 and (b) dust concentrations at IMPROVE stations from VR-CESM simulation and
 1170 IMPROVE observations. Also given are the mean results at all the stations from
 1171 simulation and observations and their correlation coefficient (R). The 1:1 (solid) and
 1172 1:5/5:1 (dash) lines are plotted for reference.

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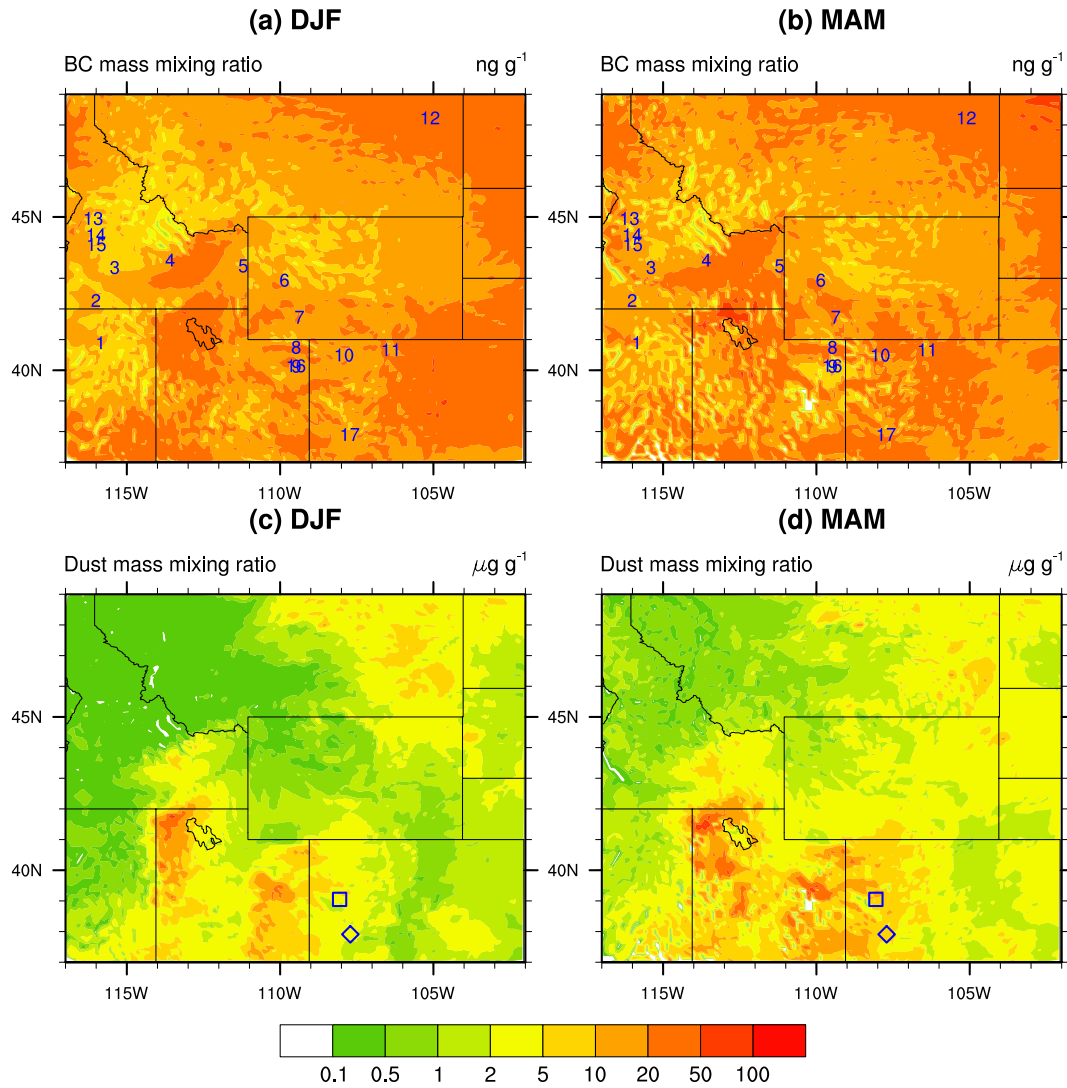


Figure 4. Winter (December-January-February (DJF); left) and spring (March-April-May (MAM); right) mean BC (upper row) and dust (bottom row) mass mixing ratios in snow column. Also shown are the stations for observations of BC mass in snow column (a, b) and for observations of dust mass in snow column in the San Juan Mountains and Grand Mesa (denoted by the diamond and square, respectively; c, d). Note that the units for BC and dust mass mixing ratios are given in different units, i.e., ng g^{-1} and $\mu\text{g g}^{-1}$, respectively.

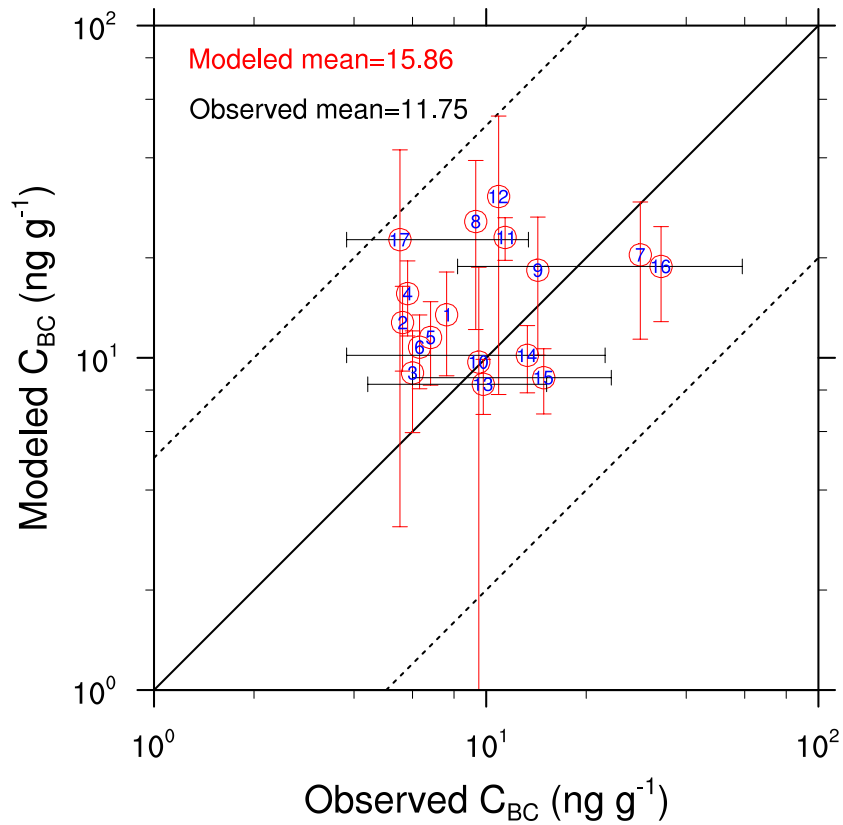


Figure 5. Comparison of BC mass concentrations in the snow column (C_{BC}) at the 17 sites (see Table 1) from VR-CESM simulations and observations with the error bars denoting the corresponding standard deviations. The observations are compiled from the previously published studies (Table 1). If multiple observations are recorded at a certain site, the observed standard deviations are calculated from these multiple observations (section 3). Simulated BC mass concentration in the snow column and its standard deviation are calculated from the 25-year mean and standard deviation of simulation on the same month/day as the observations (section 3). The 1:1 (solid) and 1:5/5:1 (dash) lines are plotted for reference.

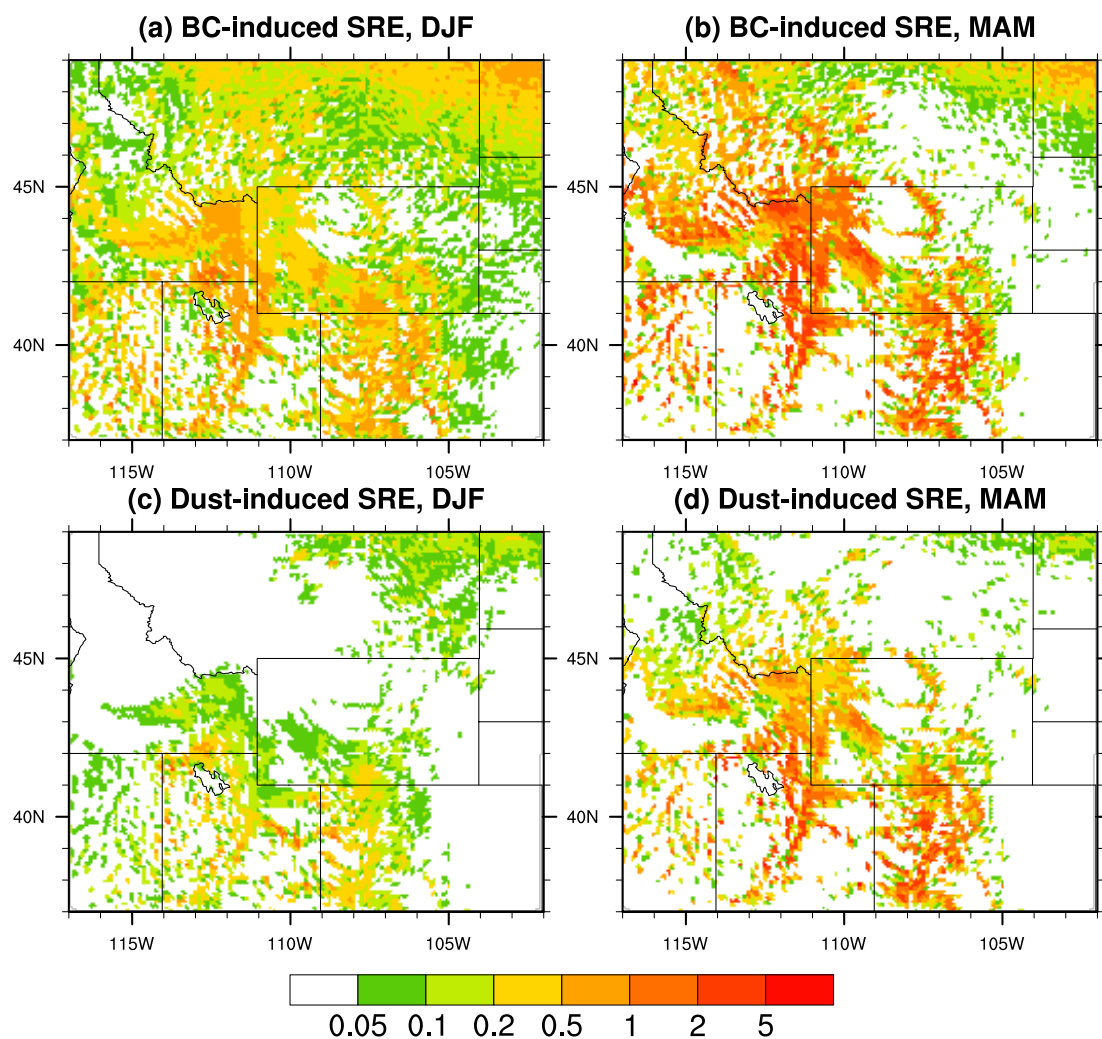
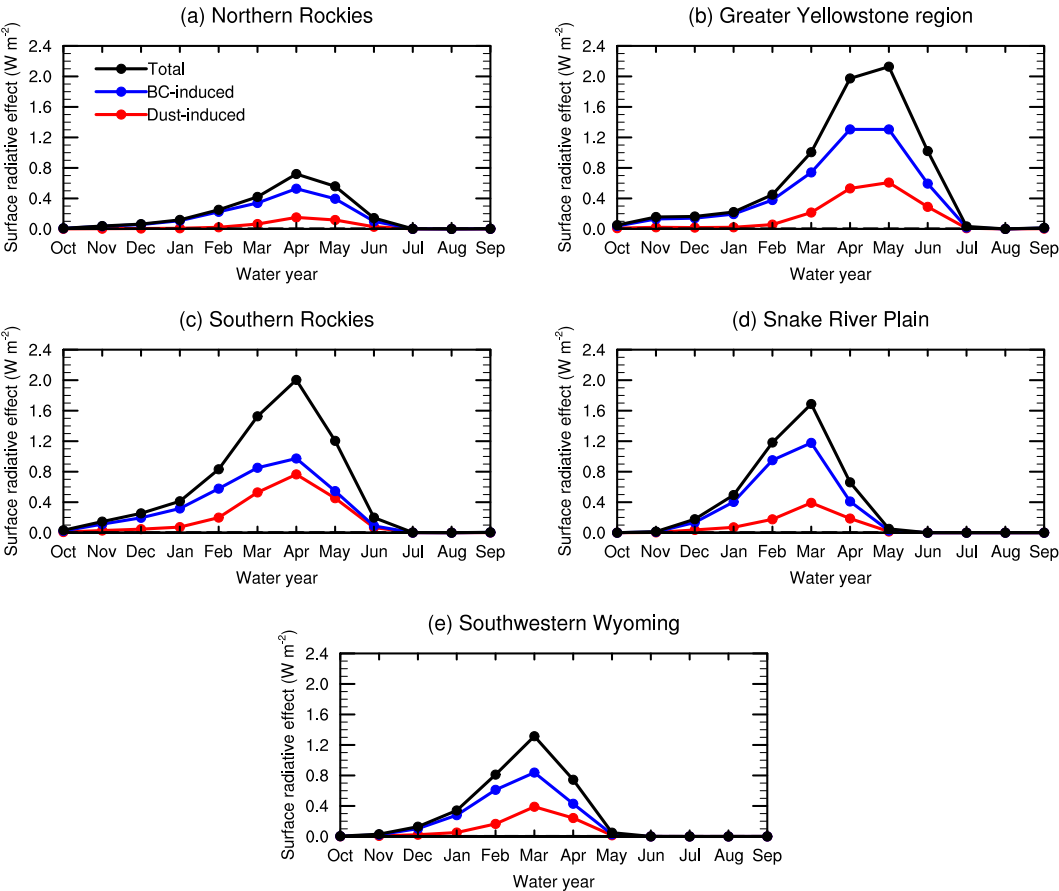


Figure 6. Winter (December-January-February (DJF), left) and spring (March-April-May (MAM), right) mean surface shortwave radiative effect (SRE, W m^{-2}) induced by BC (top) and dust (bottom).

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1206 **Figure 7.** Monthly variations of surface radiative effect (SRE; W m^{-2}) during the
1207 water year (October 1st to September 30th) averaged over the Northern Rockies,
1208 Greater Yellowstone region, Southern Rockies, Eastern Snake River Basin, and
1209 Southwestern Wyoming, respectively.

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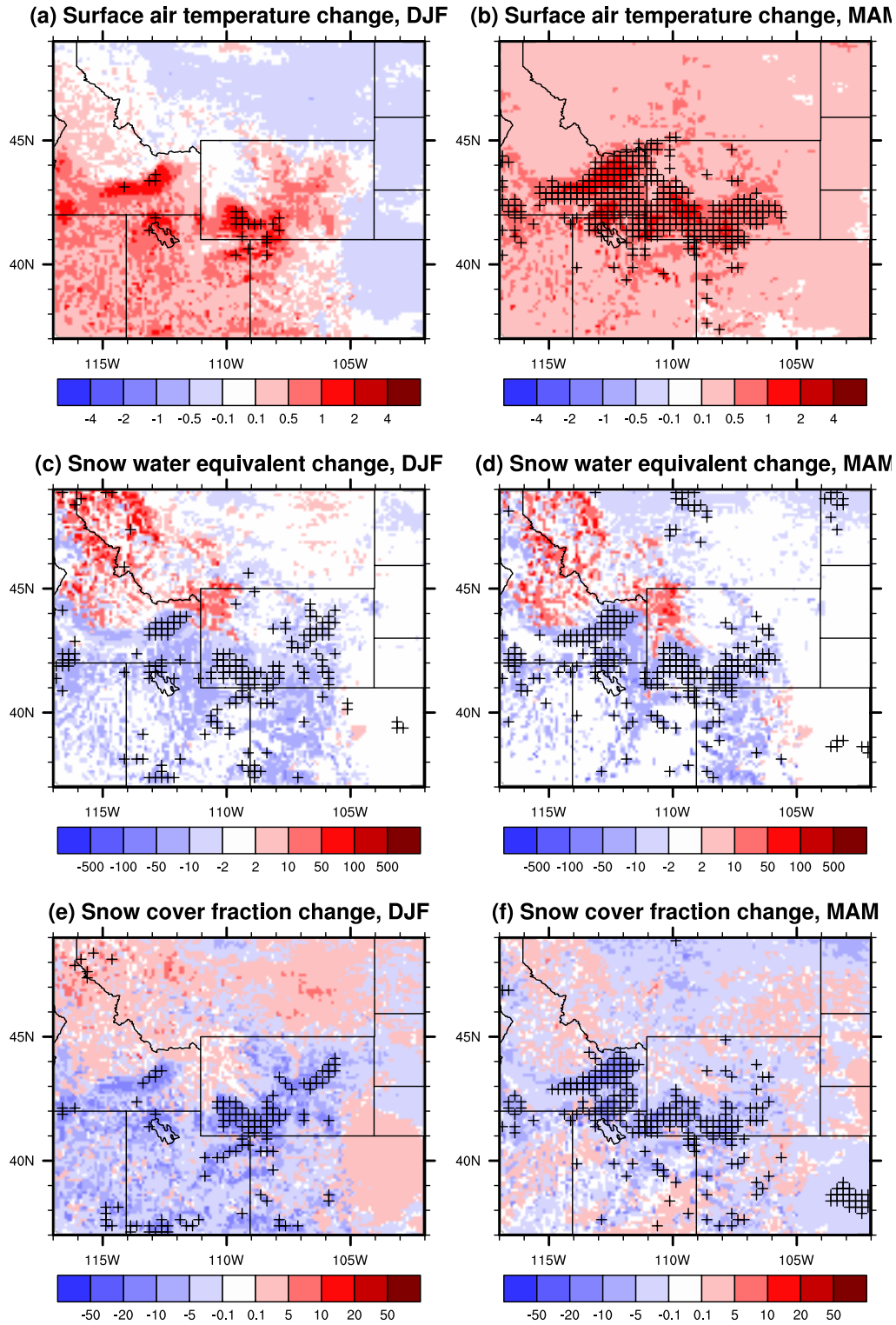


Figure 8. Changes in surface air temperature (upper row; °C), snow water equivalent (middle row; mm), and snow cover fraction (bottom row; %) in winter (left) and spring (right) induced by BC- and dust-in-snow. The crosses denote the regions where changes are statistically significant at 0.1 level.

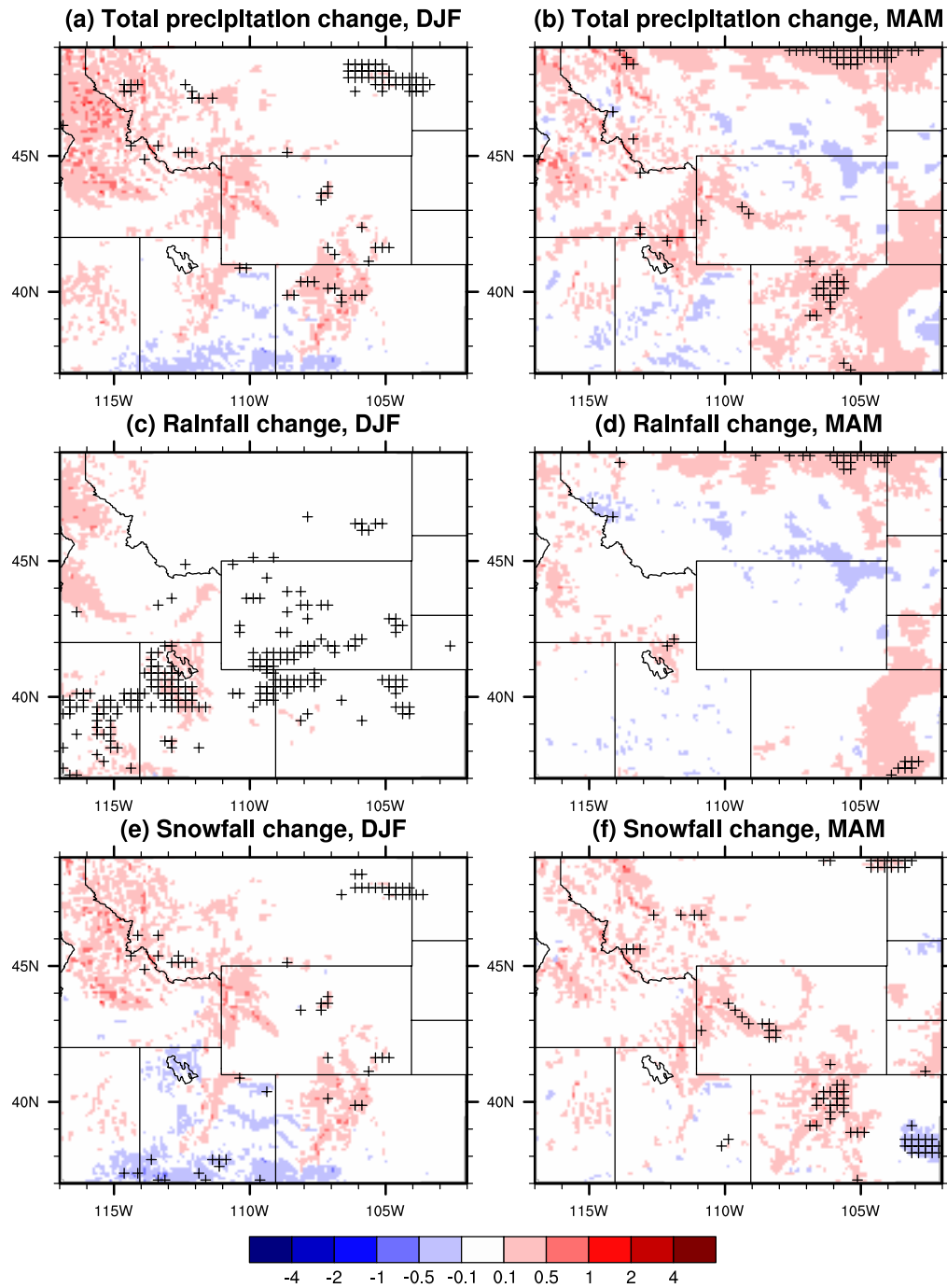


Figure 9. As Figure 8, but for total precipitation change (top), rainfall change (center), and snowfall change (bottom). The unit is mm day^{-1} .

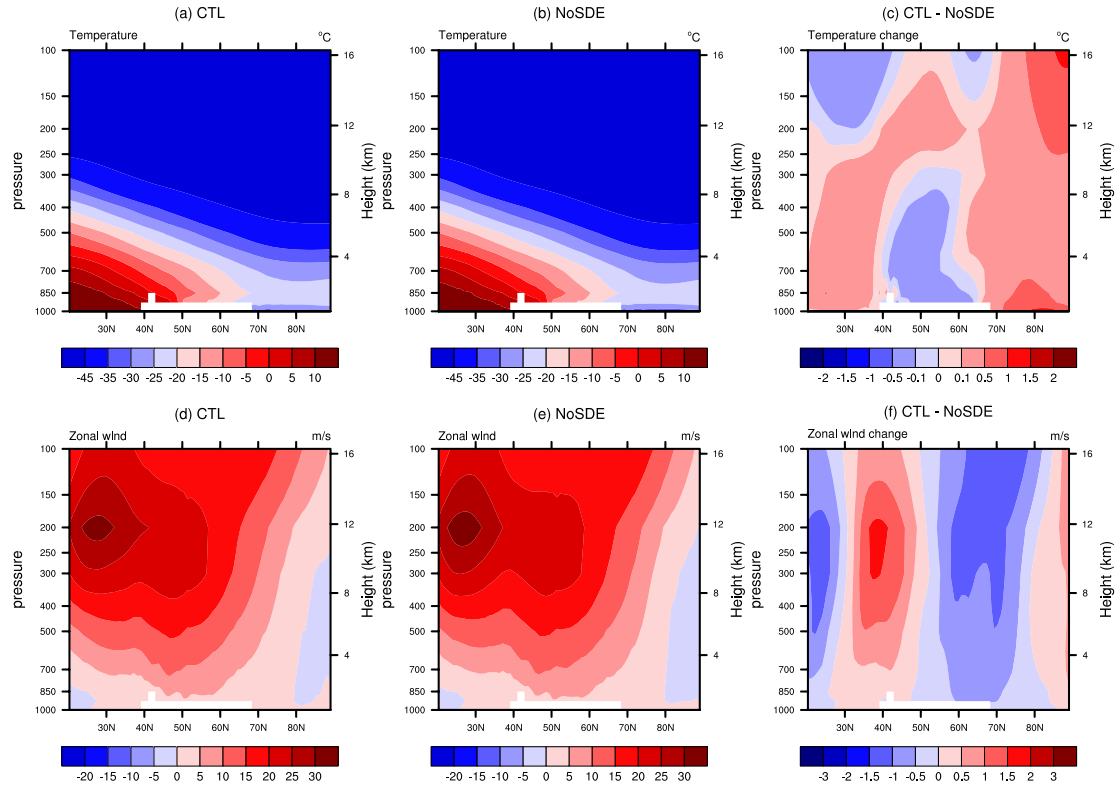
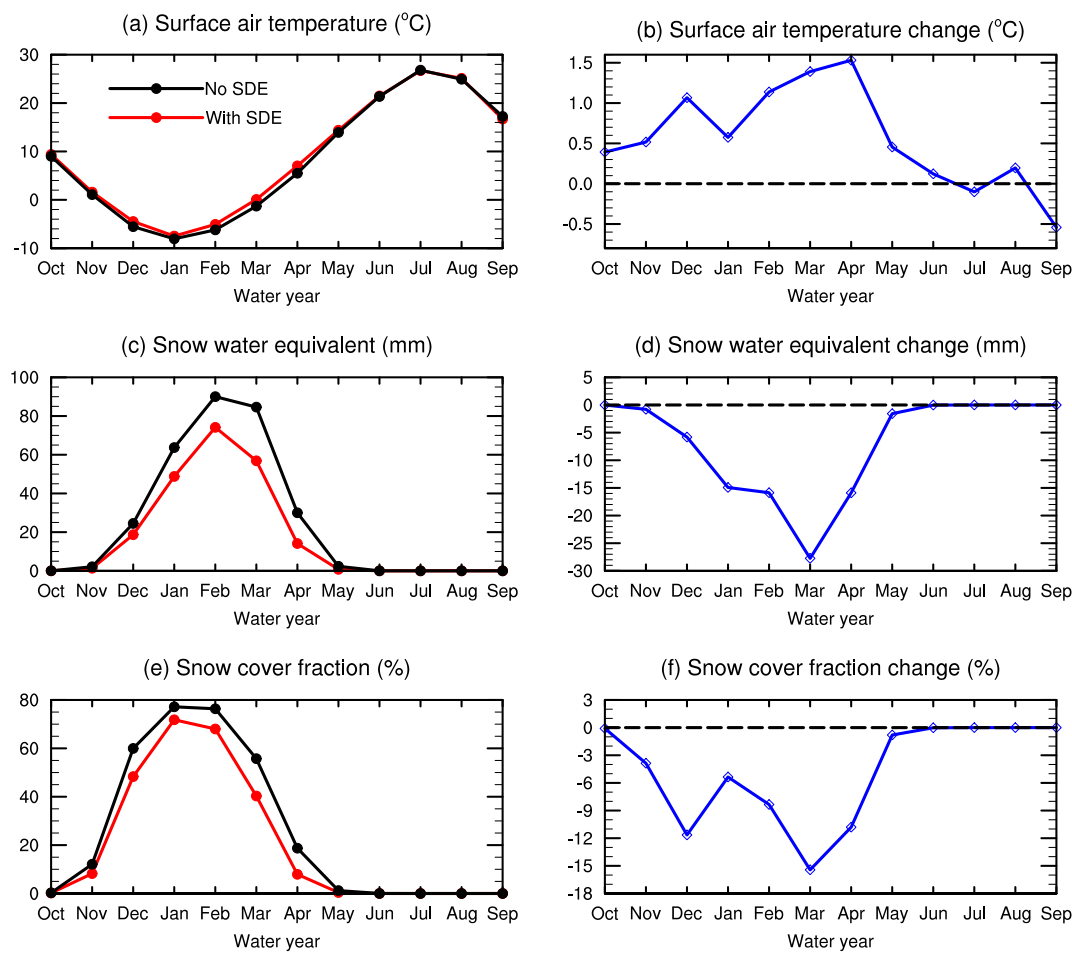


Figure 10. (a–c) Wintertime temperature ($^{\circ}\text{C}$) and (e–f) zonal winds (m s^{-1}) averaged at $102\text{--}125^{\circ}\text{W}$ from CTL and NoSDE simulations and their difference. Note that zonal winds are averaged for a range of longitudes, which correspond to the east-western boundary of western U.S. including the Rocky Mountains and upwind regions (Figure 1).

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Figure 11. Seasonal evolution of (a) surface air temperature, (c) snow water equivalent, and (e) snow cover fraction and their changes due to SDE (b, d, and f) averaged over the Eastern Snake River Plain.

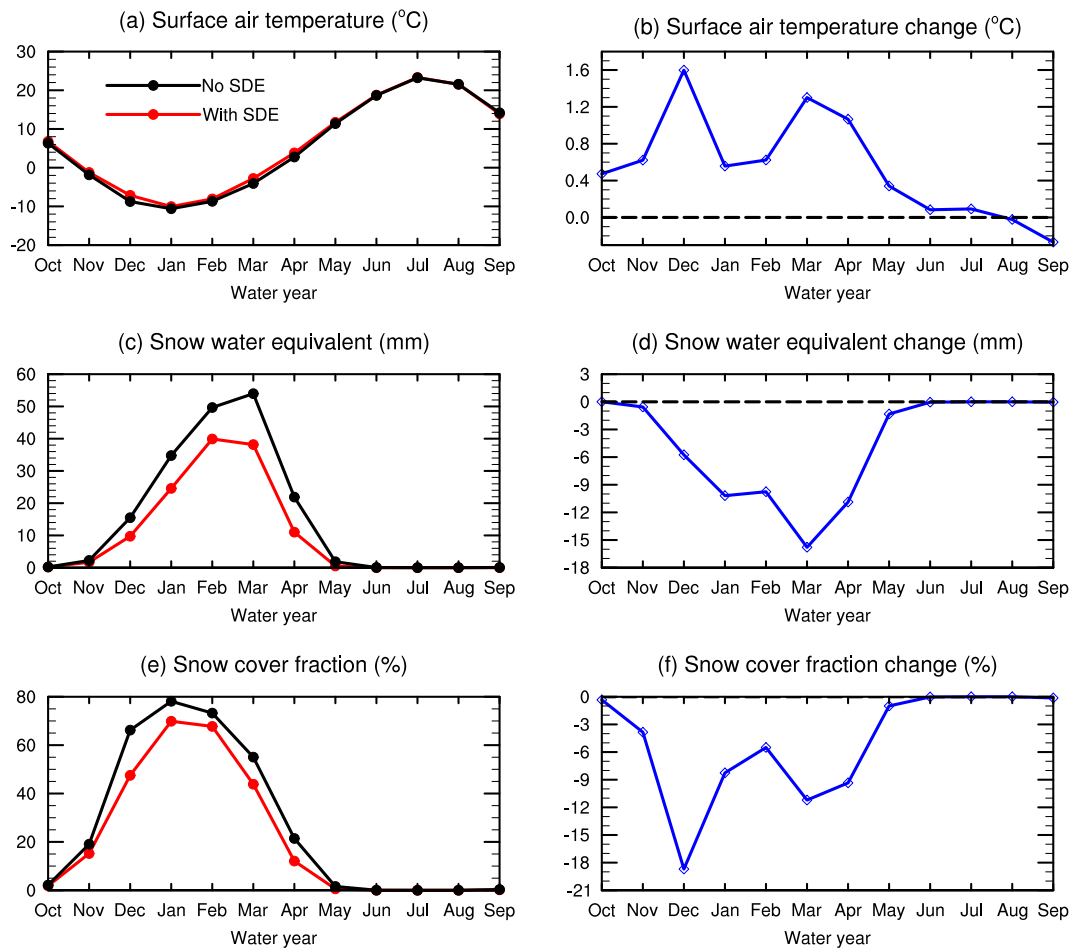


Figure 12. As Figure 11, but for Southwestern Wyoming.

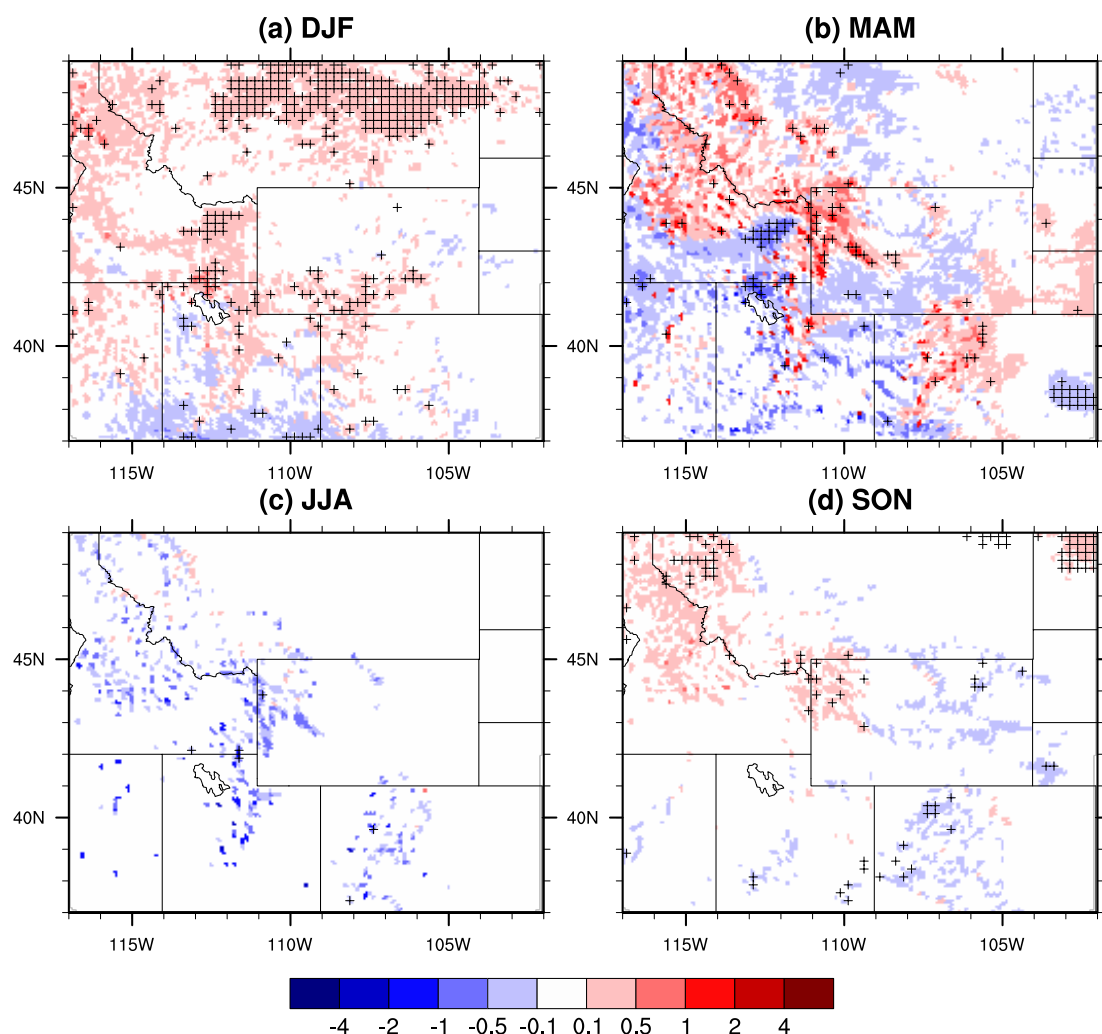


Figure 13. Snowmelt change (mm day⁻¹) due to SDE of BC and dust in four seasons: (a) December-January-February (DJF), (b) March-April-May (MAM), (c) June-July-August (JJA), and (d) September-October-November (SON). The crosses denote the regions where changes induced by SDE are statistically significant at 0.1 level.

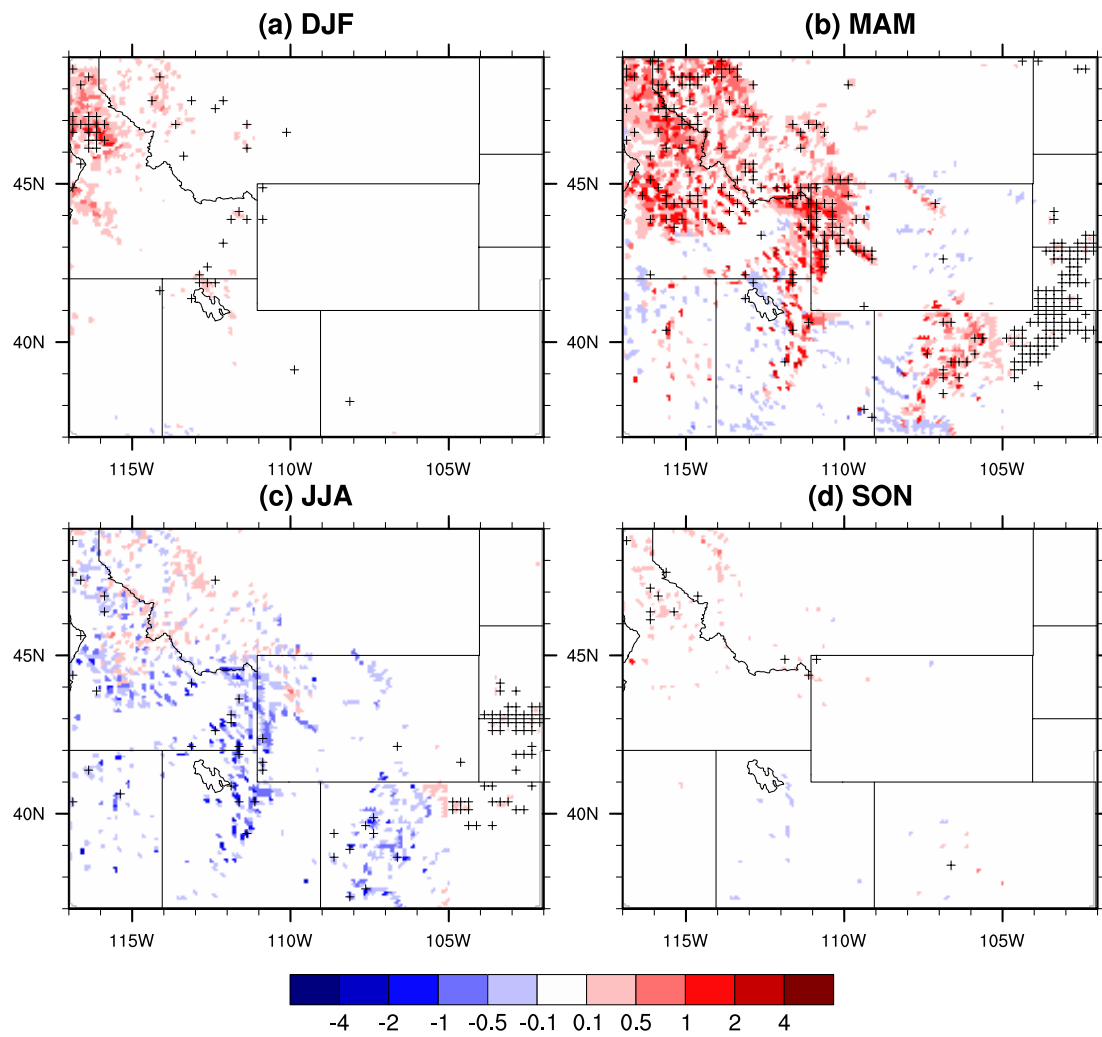


Figure 14. As Figure 13, but for total runoff change (mm day⁻¹).

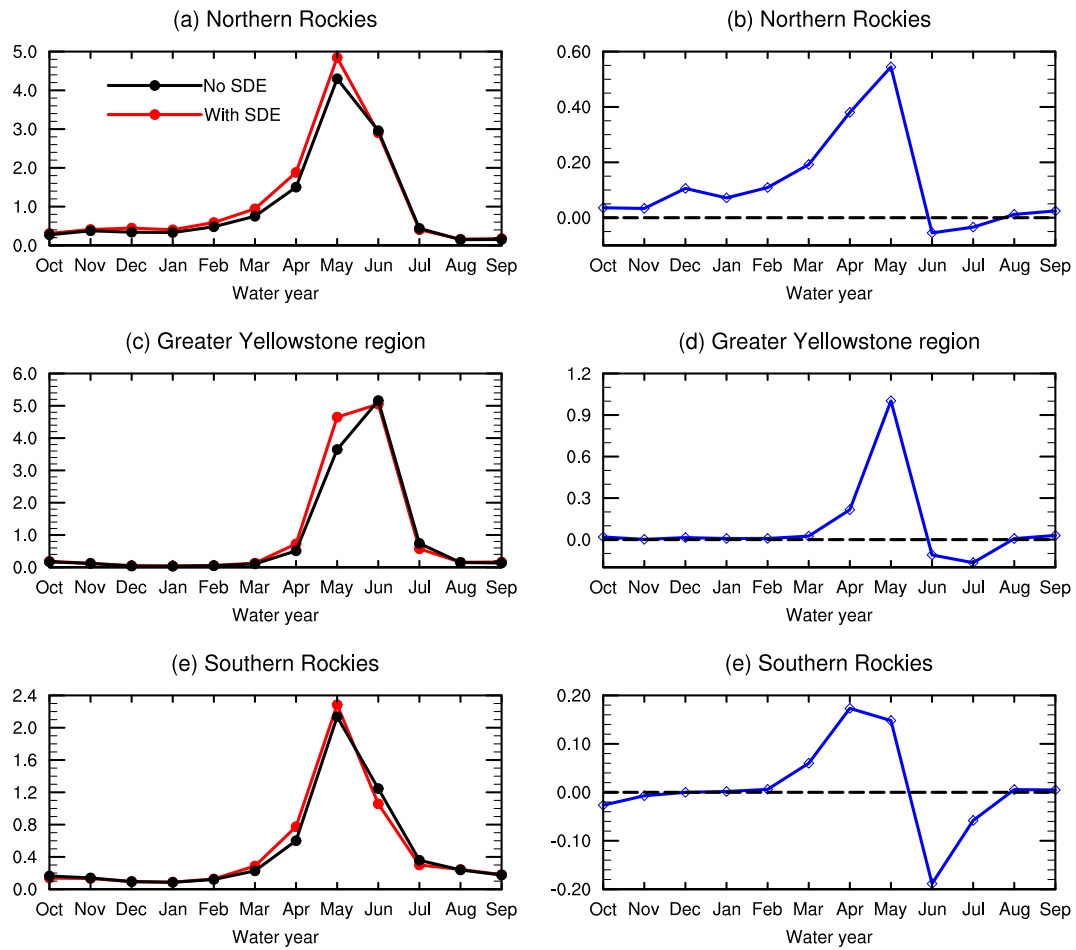


Figure 15. Seasonal evolution of total runoff including surface and sub-surface runoff (left) and their change (right) in the Northern Rockies (top), the Greater Yellowstone region (center), and Southern Rockies (bottom). The unit is mm day^{-1} .