We thank the two anonymous reviewers for their valuable comments and constructive suggestions on the manuscript. Below, we explain how the comments and suggestions are addressed and make note of the revision in the revised manuscript.

Reviewer #1

This study describes a novel use of a variable resolution configuration of the Community Earth System Model (VR-CESM) to explore impacts of light absorbing aerosols in snow of the Rocky Mountains. Previously, these and other mountain ranges could not be adequately resolved in coarse resolution GCM studies to quantify impacts of aerosols on mountain snow. The configuration applied here represents the Rocky Mountains with 0.125 degree horizontal resolution, constituting a substantial improvement over previous global and even regional model simulations. This is a very thorough end-to-end study including evaluation of simulated atmospheric and in-snow aerosol concentrations against observations followed by analysis of radiative forcings, temperature response, and hydrological response to the presence of black carbon and dust. Overall, I find this to be an excellent, logically-organized, and well-written study. I have only minor comments.

Reply: We thank the reviewer for his/her detailed review and encouraging comments. The text and figures are revised as the reviewer suggested.

General comments:

The authors point out that simulated dust-in-snow concentrations are 1-2 orders of magnitude lower in the San Juans than measured by Skiles and Painter (2016) and simulated radiative forcing from dust is about an order of magnitude smaller. These are substantial biases. The authors also mention that dust particles larger than 10um are not included in the simulations, but comprise a majority of dust mass in measurements from this region. Is there good reason to believe that these model biases persist (and are of similar magnitude) throughout the study area, or do the authors believe these biases are somewhat unique to the San Juan Mountain area? If the former, I would consider mentioning in the abstract the omission of particles larger than 10um as a potential source of systemic bias in dust-in-snow SRE throughout the study area.

Reply: We thank the reviewer for the comments. We explore more extensively these biases and associated dust size distribution. It has been recognized that dust particles with the diameter larger than 10 μm can transport regionally for hundreds of kilometers, especially under favorable weather conditions, such as strong winds. Observations made by Reynolds et al. (2016) show that airborne dust mass concentration are mainly contributed from larger particles (diameter>10μm) in Utah-Colorado region. We mention that they also show large portion of dust mass in snow is from larger particles in Colorado, which we didn't mention in the previous manuscript. This supports for the importance of larger particles in snow. As the stations used in Reynolds et al. (2016) are widely distributed across the Southern Rockies, it is reasonable to believe that large portion of larger dust particles (diameter >10μm) exist in atmosphere and in snow across the Southern Rockies.

For the surface radiative effects (SRE), we have included the comparison of our results with another study, Skiles et al. (2015), which shows SRE by dust is smaller but in a similar magnitude (32-50 W/m^2) in Grand Mesa (~150 km to the north of SBBSA) compared to that in SBBSA in San Juan Mountains (50-65 W/m^2). Compared to their estimations, our simulated SRE by dust (reaching up to 2-5 W/m^2) is one magnitude smaller at both stations. Therefore, these model biases of SRE may persist throughout the Southern Rockies. In the revised manuscript, we have mentioned the persistence of biases in Southern Rockies in Abstract: "Compared to previous studies based on field observations, our estimation of dust-induced SRE is generally one-order of magnitude smaller in the Southern Rockies, which is ascribed to the omission of larger particles (with the diameter >10μm) in the model. This calls for the inclusion of larger particles into the model to reduce this discrepancy".

In Greater Yellowstone region and Northern Rockies which are farther from the dust source regions (Figure 1), there is no available observation for dust size distribution in atmosphere and in snow. It is possible that dust particles with diameter>10μm may still exist, but their mass concentrations should become smaller than in Southern Rockies and the biases of SRE caused by omission of dust particles with diameter>10μm should be smaller as well. We discuss this in Section 5: "Note that such bias in SRE may become smaller in the Greater Yellowstone region and

Northern Rockies which are farther from the dust source regions than Southern Rockies.”.

The study acknowledges that use of a coarse resolution (1.9x2.5 degrees) BC emissions inventory could have biased the simulation, which was conducted at ~16 times higher resolution. In fact, the native resolution of the emissions inventory produced by Lamarque et al (2010) was 0.5 degrees (see abstract of that paper), so in fact finer resolution emissions could have been applied in this study. I do not suggest that the runs be conducted again, but I mention it so the authors are aware that higher resolution versions of their emissions data exist.

Reply: we thank the reviewer for pointing out the native resolution of the emissions inventory produced by Lamarque et al (2010). Although the native resolution is 0.5º ×0.5º, this dataset is further processed to be at the resolution of 1.9º×2.5º for its adoption in standard CESM model (at ~1º or ~2º). It is desirable that we directly process the dataset at its native resolution (0.5º×0.5º) for CESM model, which can benefit our high-resolution simulation to resolve more spatial variations of BC emissions. We plan to do this in the future. We have clarified in the revised manuscript in Section 2: “We note that BC emission data is natively at a resolution of 0.5º×0.5º (Lamarque et al, 2010). However, it is processed to be at a relatively coarse resolution of 1.9º×2.5º for adoption in standard CESM, which is used in this study.” and “It is desirable to adopt BC emission at its native resolution for our high-resolution simulation. The sensitivity of our simulation results to the resolution of BC emission will be analyzed in a separate study.”.

Please describe in more detail which version of the modal aerosol model (MAM) is applied here. i.e., is MAM3, MAM4, or MAM7 used? How, briefly, are black carbon and dust treated in this version of MAM/CESM?

Reply: We thank the reviewer for the comment. We use MAM3 in this study. We have described MAM3 and the treatment of BC and dust within MAM3 in the revised manuscript in Section 2: “Here, we use the 3-mode version of MAM (MAM3). These three modes are aitken, accumulation, and coarse modes. In MAM3, BC is treated in the accumulation mode. BC particles are instantaneously mixed with sulfate and other components in the accumulation mode once they are emitted. Dust particles with the diameter range of 0.1-1 μm and 1-10 μm are emitted into the accumulation mode and coarse mode, respectively. Airborne aerosol particles are then transported by winds and delivered back to the land surface by both dry and wet deposition, as described in Liu et al. (2012). ”.

Specific comments:

line 91: "except that" -> perhaps "except when" (grammar issue)

Reply: Done.

line 113: "by comparing against" -> "in comparison with"

Reply: Done.

line 132: "for for"

Reply: We have deleted a redundant “for”.

line 216: "all aerosols except BC (dust) as Flanner et al." -> "all aerosols except BC (i.e., only dust in this case) as in Flanner et al."

Reply: Done.

line 217: "the five regions" -> "five regions"
Reply: Done.

line 293: "snow samples at a depth of 30cm" - Here, I suspect you mean "snow samples through a depth of 30 cm" (i.e. samples collected from 0 - 30cm depth).

Reply: We change “snow samples at a depth of 30cm” to “snow samples in the top 30 cm of the snow column”.

line 301: "is mainly contributed from" -> "consists mainly of"

Reply: We change “is mainly contributed from” to “is mainly from”. We think that “consists mainly of” may apply to the number of particles, but not to the mass of particles.

line 309: "cycles" and "cycle" -> "circles" and "circle"

Reply: Done.

line 324: "although it is much weaker" -> "although they are much weaker"

Reply: Done.

line 398: "Rockiest" -> "Rockies"

Reply: We agree with the reviewer, but this sentence is not used in the revised manuscript (we recalculate simulated BC-in-snow concentration by using daily results instead of monthly-mean results, and the analysis of comparison results is re-written.)

line 405-408: The description of how a monthly-mean BC-in-snow concentration differs between the model and reality in cases where there is no snow during part of the month is unclear to me. Please elaborate a bit on this description, and if necessary describe any associated implications more clearly.

Reply: We thank the reviewer for pointing out this. In the previous manuscript, we derive the simulated BC-in-snow concentration from monthly model result. The monthly result is an average of the results for all the timesteps during the month. If snow is not present (e.g., completely melted) in some days, BC-in-snow concentration is set to zero in these days and the monthly mean accounts for these “zero” values during the month. For observations, only the BC samples within snow (snow depth $\geq$ 5 mm or snow water equivalent $\geq$ 1 mm) are analyzed and they are not “zero”.

To be consistent with the observations, in the revised manuscript, we use daily model output and derive the in-snow BC concentrations (with snow water equivalent $\geq$ 1 mm) on the same date (month/day) as the observations. By doing this, we eliminate the influence of “zero” values in the monthly model results. We clarify this in the revised manuscript: "As our simulation period (1981-2005) does not encompass the years 2013 and 2014, we will use the daily simulation results of C_{BC} on the same month/day (or months/days; Table 1) when the observations were made (i.e., we will ignore the exact year) and compare them (means and standard deviations) with the observations. At each station, simulated daily mean C_{BC} is used only when snow is present (i.e., daily mean snow water equivalent $\geq$ 1mm) in the simulation. 1 mm is chosen to be consistent with the minimum snow-layer thickness in observations." (Section 3).

lines 498-502: In the discussion of dust SRE I would acknowledge again that the results do not include particles larger than 10um, which may/probably constitute the majority of dust-in-snow mass.

Reply: We thank the reviewer for the comment. We add the discussion: "Note that dust-induced SRE shown here doesn't take into account dust particles larger than 10 μm, which can constitute the majority of dust-in-snow mass (Reynolds et al., 2016). Therefore, our estimations of dust-induced SRE may be biased low."

line 525: "This suggests that snow on the high mountains is less susceptible to the aerosol SDE" - I would re-word this. A higher snow cover fraction does not necessarily imply lower aerosol SDE. Quite often it is the opposite.

Reply: We thank the reviewer for the comment. We agree with the reviewer and re-word this sentence: "Local aerosol SDE may also induce substantial impacts on the surface temperature and snowpack on the high mountains, but these impacts may be canceled out by the increase of snowfall (Figure 9f)."

line 543: "ratio of surface air temperature change to SRE" - I suggest emphasizing that the efficacy is defined here in terms of *local* delta T and SRE.

Reply: We change "ratio of surface air temperature change to SRE" to "ratio of local surface air temperature change to SRE over a specific region".

line 559: "... which corresponds to a fraction of ..." - The meaning of this fraction (apparently a fraction of snow cover fraction) is confusing. I suggest using the

terms "absolute" and "relative" to differentiate the two, or maybe just removing the relative fractions because I don’t really think they are necessary.

Reply: We thank the reviewer for pointing out this. Following the reviewer’s suggestion, we remove the relative fractions.

line 565: ".., the runoff includes the surface runoff and sub-surface runoff" - Is subsurface runoff examined at all in this study? If not, I would brielfy mention that here.

Reply: We thank the reviewer for the comment. We only show the total runoff in the previous manuscript. We combine surface runoff and subsurface runoff, as they both will flow to river and become discharge. Following the reviewer’s suggestion, we clarify this and briefly mention the simulation results of surface/surface runoff in the revised manuscript: “Our simulations show that the spatial distribution and seasonal evolution of surface runoff and sub-surface runoff are generally similar to total runoff (Figure not shown), and surface runoff and subsurface runoff accounts for 30-40% and 60-70%, respectively, of annual total runoff in the mountains. Here we only show the simulation results of total runoff, as both surface runoff and sub-surface runoff will flow to rivers and become discharge.”.

Figure 3 caption: Please define "cold season"

Reply: We add “(winter and spring)” after “cold season”.

Figure 5: There is a lot of white space in this figure. I think the axis ranges could be narrowed a bit.

Reply: Now we have narrowed down the horizontal and vertical axis ranges.

Figure 8 caption: There are two references to "bottom row". The first should be "middle row"

Reply: We thank the reviewer for pointing out this. The first “bottom row” is changed to “middle row”.

Figure 14: Does this depict surface runoff only, or total runoff (including the sub-surface component)?

Reply: We also show the simulation results of total runoff in the previous manuscript. We combine surface runoff and subsurface runoff, as they both will flow to river and become discharge. We clarify in the revised manuscript by change "runoff" before "total runoff including surface and subsurface runoff".

Reviewer #2

This is a very end-to-end modeling analysis of the effects on surface air temperature and snowpack (SWE, fraction and runoff) in several regions of the western U.S. It includes a comparison of modeled near-surface atmospheric BC concentrations and mixing ratios of BC and dust in snow against observational datasets.

I have no fundamental problems with the analysis. The paper should be accepted after addressing the issues noted below. It could use some editing for English but overall is well-written, if a bit long, in part due to being repetitive in some places. I have enclosed an annotated version of the paper showing the small edits I think are needed for better English/readability.

Reply: We thank the reviewer for detailed review and helpful comments. The text, tables, and figures are revised as the reviewer suggested. We have also included the edits made by the reviewer.

The following issues need addressing:

Pg 8, lines 153-154: "…CLM4 explicitly represents the snowpack (snow accumulation and melt)…" Does it also represent sublimation?

Reply: Yes, CLM4 also represents sublimation. We clarify this in the revised manuscript: "…CLM4 explicitly represents the snowpack (accumulation due to snowfall and frost, loss due to sublimation, and melt)…".

Pg. 8, lines 160-162: I think it should be explicitly pointed out that SNICAR includes the effects of feedbacks to the snowpack (grain size, melt) that are driven by albedo reduction with LAA deposition.

Reply: We thank the reviewer for the comment. We add the statement in the revised manuscript: "It should be mentioned that SNICAR includes the effects of feedbacks to the snowpack (grain size, melt) that are driven by snow albedo reduction due to LAA deposition."

Pg. 9, lines 172-173 and Figure 1b: "As shown in Figure 1b, the high-resolution grids resolve well the variations of terrain in the Rocky Mountains." First: Is Figure 1.b at 0.125deg resolution? That's not clear. The figure caption just says 1b shows the terrain height within the region that is modeled at 0.125deg res – but not that the terrain height data shown in the figure is itself at 0.125deg resolution. Second: The figure just shows terrain height – there's nothing to indicate whether the terrain height at 0.125deg res "resolves well" the terrain (e.g. an actual comparison of terrain height at 0.125deg res vs at some much high res) so I'm not sure what the basis is for this assertion.

Reply: We thank the reviewer for pointing out this. Figure 1b shows the terrain height used in VR-CESM, and the resolution is same as the variable resolution grid (i.e., the resolution is 0.125 degree only in the region surrounded by dashed lines and increases gradually to 1 degree outside of the region). Comparisons with United States Geological Survey (USGS) 3km data is shown in Wu et al. (2017), and the results reveal that the topography data used in VR-CESM resolves well the variations of terrain in the Rocky Mountains. We clarify this in the revised manuscript: "Figure 1b shows the spatial variations of terrain height for the variable resolution grid used in VR-CESM. Compared to United States Geological Survey (USGS) 3km topography data (Lauritzen et al., 2015), the topography data used in VR-CESM resolve well the variations of terrain in the Rocky Mountains (see Figure 2 of Wu et al. (2017))." (Section 2) and "(b) Terrain height (m) in the western U.S. for the variable resolution grid used in VR-CESM. The refined region at a resolution of 0.125º is surrounded by dashed lines." (Figure 1 captions).

Pg. 10 lines 200-201: It is not clear here that the a-posteriori tuning factor is determined as part of this study, or if it was done as part of a previous study and you are just applying an additional adjustment factor here, based on the high-resolution model fields.

Reply: We thank the reviewer for the comment. Before we conducted VR-CESM simulation presented in this study, we had run a test simulation using VR-CESM, which shows that surface dust concentrations are overestimated in North America. Therefore, we reduced the tuning factor (T) accordingly and conduct VR-CESM simulation for this study. We clarify in the revised manuscript: "Due to the large uncertainty in modeled dust emission, the dust emission scheme also adopts a tuning factor (*T*) to simulate the reasonable dust emission amount. Our test simulation shows that with the increase of model resolution, VR-CESM produces much higher dust concentrations compared to the observations (section 3) in North America if *T* used in the standard CESM with quasi-uniform 1º resolution is used. Therefore, for VR-CESM simulation in this study, *T* is reduced by a factor of 2.6 to produce the similar magnitudes of near-surface dust concentrations as the observations, as will be shown in section 4.1".

Pg. 13, line 257: Are the 80 and 94 stations "totals" all stations in existence or the total number of stations from which data are used in this analysis?

Reply: 80 and 94 are for the stations from which data are used in this analysis. We clarify this in the revised manuscript: "In total 80 and 94 stations are selected for BC and dust observations, respectively, in the western U.S. (Figure 2).".

Pg. 13, lines 270-271: Two important things you need to clarify here: First, that you used the snow mixing ratios from the full snow column (not, e.g., just the surface snow mixing ratios) Second, you need to clarify how the column mixing ratio was calculated. Did you average the mass mixing ratios, or calculate the masses of BC throughout the snow column and of snow (SWE) through the whole column, then calculate the mixing ratio from that?

Reply: We thank the reviewer for pointing out this. We clarify this in the revised manuscript: "For comparison with model simulations, we derive observed BC mass mixing ratios (C_{BC}) in the whole snow column at sites #1-12 and #16-17 by dividing total BC mass throughout the snow column by total snow mass throughout the snow column. At sites #13-15, the averages of C_{BC} for all the aged snow samples (from various depths and columns) were reported by Doherty et al. (2016) and are used here.".

Pg. 15, lines 299-300: Important: The dust in snow may have a much larger size distribution than the typical tropospheric dust size distribution. Dust >10microns can be lofted from the surface but will not travel very far because they will rapidly dry-deposit to the surface (i.e. to the snow!), so they don't contribute much to the atmospheric dust but can contribute significant mass to deposited dust. For dust deposited to snow, this will of course be the case more so the closer the snow is to the dust source.

Reply: We thank the reviewer for the comment. We agree with the reviewer, and will highlight the importance of large dust particles (>10 μm) in snow. We more extensively examine previous observational studies, and find that in addition to atmospheric dust particles, Reynolds et al. (2016) also measured the dust mass in snow, which show that the in-snow dust mass is mainly from dust particles with diameter > 10 μm (consistent with the size distribution of atmospheric dust particles). This can directly support the existence of large dust particles (>10 μm) in snow. We add the observational evidence of dust particle size in snow from Reynolds et al. (2016): "According to the observations of Reynolds et al. (2016), the mass concentration of total suspended particles (TSP) both in the atmosphere and in snow is mainly from particles with diameters larger than 10 μm in the Utah-Colorado region.". We also point out the importance of large dust particles (>10 μm) in snow, but they are omitted in the model: "Compared to previous studies based on field observations, our estimation of dust-induced SRE is generally one-order of magnitude smaller in the Southern Rockies, which is ascribed to the omission of larger dust particles (with the diameter >10μm) in the model. This calls for the inclusion of larger dust particles into the model to reduce this discrepancy." (Abstract).

Pg. 16, lines 333-334: Important: "Overall, the model captures the magnitudes of observed near-surface BC and dust concentrations: : :" Here and in several other places assertions such as this are made, which give the impression that agreement is much better than in fact it is. In fact the correlation is not very good (R-squared

of 0.3), and being within a factor of 5 is not necessarily representing mixing ratios well…Instead, please just state quantitatively what you found, e.g., that "the modeled concentrations are generally within a factor of 5 of the observed concentrations, and the two are moderately correlated (R-squared 0.3). Averaged across all comparison points, the model concentrations are a factor of 1.8 lower than the observed concentrations."

Reply: We thank the reviewer for pointing out this. We have revised the analysis and state quantitatively the comparison results: "The modeled concentrations are generally within a factor of 5 of the observed concentrations, and the two are moderately correlated (the correlation coefficients (R) being 0.56 and 0.47 for BC and dust concentrations, respectively). Averaged across all comparison stations, the modeled BC concentration is a factor of 1.8 lower than the observed concentrations, and the modeled dust concentration a factor of 1.4 higher.". We also read through the manuscript, and revised the statements like this.

Pg. 18, lines 364-365: I don't think it's really shown – except in a very hand-waving way, but certainly not quantitatively – that the model "does reasonably well" in simulating the spatial variations in surface atmospheric BC. So I'd omit this sentence and let Figure 2 speak for itself, unless you want to add an analysis showing quantitatively how well spatial variations are represented.

Reply: We thank the reviewer for pointing out this. We have deleted this sentence in the revised manuscript.

Pg. 19, lines 384-386: "This indicates that BC and dust accumulate within the snow column…". BC and dust will be added any time snow is added, but this doesn't make the MIXING RATIO at the surface higher, so this statement is misleading. It's not clear what point you're trying to make here.

Reply: We thank the reviewer for pointing out this. We agree with the reviewer, and identify the reason for larger BC/dust mixing ratios in snow in spring than in winter is the larger BC/dust deposition. Therefore we delete this statement and clarify in the revised manuscript: "This is due to larger deposition of BC/dust in spring than in winter, resulting from larger northward transport of BC/dust in spring (Figure not shown). Larger dust deposition in spring can also be partly explained by the larger dust emission in this season.".

Pg. 19, lines 388-391: "As observations only sampled the snow in one day …. Are given for the comparison." I don't understand what you are trying to say in this sentence; please re-write for better clarity.

Reply: We thank the reviewer for the comment. I use this sentence to state that the observation is based on short-term measurement (one day or tens of days), and how the model results are specifically derived for a fair comparison. In the revised manuscript, we delete this sentence as we already describe how to derive the simulation results for comparison with the observations in Section 3.

Pg. 19, lines 393-394. "The model reproduces reasonably the magnitude of observed BC-in-snow mass mixing ratios at most of the stations". Again, this judgement of "reasonably" is not really justified. As with the comparison to atmospheric concentrations, please just let the data speak for itself, and give quantification of agreement (R-squared; agree within a factor of XX; mean bias…)

Reply: We thank the reviewer for the comment. Following the reviewers' suggestion, we compare the simulated results with the observations more in the revised manuscript: "Simulated BC mixing ratios range from 8.3 ng g^{-1} to 30.6 ng g^{-1} at these sites, which are in the range of observations. Despite this, simulated BC-in-snow mass mixing ratios differ from the observations by a factor of up to 4 at some stations. Averaged across at the 17 sites, the simulated BC mass mixing ratio is 35% larger than the observed value.".

Pg. 20, lines 399-400. I don't see how this "indicates the northward transport of BC"

Reply: We thank the reviewer for pointing out this. We delete this statement in the revised manuscript.

Pg. 20, lines 405-207: "When snow is melted completely, BC-in-snow mixing ratio will be zero, but the model will average the simulation results at different time steps

to derive the mean result." I am not clear what is being said here. IMPORTANT: Does this mean the average mixing ratio includes zeros where there is no snow present? If so, this is a problem, as this will incorrectly bias the average model mixing ratios low. Modeled snow BC (or dust) mixing ratios should only be averaged across locations where snow is present. Please clarify.

Reply: We thank the reviewer for pointing out this. Yes, in the previous manuscript the monthly mean results from the model include "zero" values in some days where there is no snow present. We agree with the reviewer that this will incorrectly bias the average model mixing ratios low. To be consistent with the observations, in the revised manuscript, we use the daily BC mixing ratios when snow is present (snow water equivalent ≥1mm) and average the simulated results on the same date (month/day) as the observations for comparison. As expected, the modeled in-snow BC mixing ratios are larger compared to the monthly mean results at the stations where snow layers are thin (e.g., sites #9, #10, #15, and #16). In the revised manuscript, we clarify that: "As our simulation period (1981-2005) does not encompass the years 2013 and 2014, we will use the daily simulation results of C_{BC} on the same month/day (or months/days; Table 1) when the observations were made (i.e., we will ignore the exact year) and compare them (means and standard deviations) with the observations. At each station, daily simulation results are used only when snow is present (i.e., daily mean snow water equivalent ≥ 1mm). 1 mm is chosen to be consistent with the minimum snow-layer thickness in observations." (Section 3) and "Simulated BC mixing ratios range from 8.3 to 30.6 ng g^{-1} at these sites, which are in the range of observations. Despite this, simulated BC-in-snow mass mixing ratios differs from the observations by a factor of up to 4 at some stations. Averaged across at the 17 sites, the simulated BC mass mixing ratio is 35% larger than the observed value.." (Section 4.2).

Modeled dust mixing ratios are also averaged when snow is present: "For the simulation, we will calculate mean C_{dust} for May-June from daily C_{dust} on the days when snow is present (i.e., snow water equivalent ≥10 mm).". Snow water equivalent of 10 mm is equivalent to snow depth of 30-100 mm, which is comparable to the snow-layer interval of 3 cm in the observation.

Pg. 21, lines 425-427: This could also be due to compensating errors in BC deposition and snowfall.

Reply: We thank the reviewer for the comment. We add this explanation in the revised manuscript: "Another reason for the inconsistency of BC mass mixing ratios in snow and near-surface BC concentrations in the atmosphere may be related to the compensating errors in BC deposition and snowfall."

Pg. 21, line 439: Are the TSP numbers mass concentrations or number concentrations. I'm pretty sure it must be the former, but it would be good to specify.

Reply: They are mass concentrations. We have added "mass" before "concentrations".

Pg. 23, line 461: I think it would be good to point out that this amplification in spring is due in part to feedbacks

Reply: We have mentioned feedbacks in the revised manuscript: "This is because of the stronger solar insolation and larger albedo reduction due to snow aging, aerosol accumulation within snow, and feedbacks in spring."

Pg. 23, line 470: Note the correction in the annotated .pdf: SRE is a function of MIXING RATIO not MASS.

Reply: We have changed "mass values" to "mixing ratios".

Pg. 24, lines 496-497: "For the contribution of different aerosols, BC-induced springtime SRE is larger than dust-induced SRE in the five regions." This is a repeat of sentence on pg 23, lines 464-465.

Reply: We delete this sentence in the revised manuscript.

Pg. 25, lines 512 and 518: change "around the mountains" to "adjacent to the mountains" or "surrounding the mountains". "Around the mountains" could be misinterpreted to mean in the mountains. (Ah, the joys of English!)

Reply: We have changed "around the mountains" to "in the regions adjacent to the mountains".

Pg. 26, lines 524-525: I'd think it is SWE that's a stronger determinant here. Low snow fraction = lower area over which forcing is exerted, but with lower SWE the snow albedo feedback (via exposure of the underlying surface) occurs more readily.

Reply: We thank the reviewer for the comment. We agree with the reviewer and have changed this sentence to "For example, winter and spring snow water equivalent is mostly above 50 mm on the high mountains (see Figure 8 of Wu et al. (2017)).".

Pg. 26, lines 532-533: "… which is likely related to the large-scale circulation change due to the aerosol SDE." Nowhere is it shown that the aerosol SDE induces a largescale circulation change. You either need to show this here, as part of this analysis, or point to a reference where this is shown. It's not clear where this assertion is coming from.

Reply: We thank the reviewer for the comment. This assertion is based on our simulation results and we apology for not showing the results in the previous manuscript. In the revised manuscript, we have added the analysis of simulation results and clarified the assertion: "The increase of snowfall in Figure 9 is likely related to the large-scale circulation change due to aerosol SDE. Figure 10 shows wintertime tropospheric temperature and zonal winds in CTL and NoSDE simulations and their difference. In the NoSDE simulation, we have turned off the SDE not only in the Rocky Mountain region, but also in other regions of the globe. Due to aerosol SDE, temperature is increased in the high-latitudes of northern hemisphere (Figure 10c), which can reduce the meridional temperature gradient, thus leading to the weakening polar jet stream north of 50 ºN (Figure 10f). This suggests a shift to a more meridional wind pattern in winter, which can enhance the broader meanders and thus the formation of winter storms (Wu et al., 2017). Enhanced winter storm activity further reduces surface temperature to the north of Rocky Mountain region as well as in the northern part of Rocky Mountain region (Figures 8a and 10c). This, together with the increased temperature in the southwestern U.S. and southern part of Rocky Mountain region, increases meridional temperature gradient and leads to stronger westerly at 30-45ºN (Figure 10f). Stronger westerly at 30-45 ºN favors the water vapor transport from the Pacific Ocean. The enhance of winter storm activity and water vapor transport may lead to the increase of precipitation (mainly in terms of snowfall in winter). In spring, the change in temperature and zonal winds is similar to that in winter, but with a northward shift of the patterns as a result of northward movements of the polar jet stream and westerlies in spring (Figure not shown). Therefore, the change of snowfall is likely a result of circulation change induced by SDE from both the Rocky Mountain region and remote regions. It is worth isolating the impacts of SDE from the Rocky Mountain region and remote regions (e.g., high-latitudes) in the future."

Pg 26, line 540: "around 0.003-0.17degC". "Around 0.003" is a bit silly, since "around" implies approximate, but then you give 3 decimals of precision. Instead, say "around 0 to 0.17deg C" or (probably better) "around 0 to 0.2deg C".

Reply: We have changed "around 0.003-0.17 °C" to "around 0-0.2 °C".

Pg. 30, lines 624-626: I don't understand what you are trying to say here, regarding the aerosol SDE being "more significant" in July.

Reply: We stated aerosol SDE in July is more significant in terms of the larger relative change of runoff (the ratio of absolute runoff change to original runoff). To clarify, we have deleted "more significant" in the revised manuscript: "Runoff is relatively smaller in July versus in previous months, and aerosol SDE can reduce the runoff by 0.04 (8%), 0.17 (23%), and 0.06 mm day^{-1} (16%) in the three regions, respectively".

Pg. 31, line 634 "the model also reproduces observed distributions of near-surface atmospheric BC and dust…" vs pg 31, line 638 "BC concentrations are mostly underestimated" Which is it?? The former implies the modeled and observed values agree; the latter shows they do not.

Reply: We thank the reviewer for pointing out this. The former indicates the general spatial patterns are similar for simulation and observations, such as larger BC concentrations in West Coast/Southwester U.S. and smaller BC concentrations in

Rocky Mountains/Great Plain. The latter applies to the comparison of BC concentrations in the Rocky Mountains. To clarify, we have deleted the former statement and only emphasized the comparison in the Rocky Mountain region: "Here we show that the model simulates similar magnitude of near-surface dust concentrations at most stations in the Rocky Mountain region compared to IMPROVE observations. The model tends to underestimate near-surface atmospheric BC concentrations mostly by a factor of 1.5-5 in the Rocky Mountain region.".

Pg. 31, lines 641-645 (e.g. "closely related"). This is a rather optimistic qualitative statement about how the model does. As noted earlier, better is to just state quantitatively what the model vs. obs bias and correlation were.

Reply: We thank the reviewer for the comment. In the revised manuscript, we have deleted "Simulated aerosol-in-snow concentrations are closely related to the distributions of both snowpack and near-surface atmospheric aerosol concentrations." and state quantitatively the comparison result: "Simulated BC-in-snow concentrations ranges from 2 to 50 ng g^{-1} in the Rocky Mountain region, and they are 35% larger than the observations for the average at the 17 sites.".

Pg. 33, lines 674-675 "reproduces observed magnitudes" What does this mean? What is the metric here? Averaging across all sites? Please quantify.

Reply: We thank the reviewer for the comment. We mean the magnitudes of simulated BC-in-snow concentrations are comparable to at most stations (i.e., in the range of observations). To clarify, we have quantify the comparison result: "however, overestimates BC-in-snow concentrations by 35% for the average across the 17 observational sites.".

Pg. 679: As noted earlier, the snowpack dust size distribution may skewed towards even larger sizes than the atmospheric distribution, which already has significant mass>10microns.

Reply: We thank the reviewer for the comment. In the revised manuscript, we have added the observation evidence from Reynolds et al. (2016) for significant contribution of larger particles to total dust mass. We have also emphasized the importance of larger particles in Abstract.

Figure 3: The yellow color for Utah and Nevada is pretty much invisible. Please use a different color.

Reply: We have changed the yellow color to black color in the revised manuscript.

Figure 5: Please state in the caption what the dashed lines represent.

Reply: We have added in the caption "The 1:1 (solid) and 1:5/5:1 (dash) lines are plotted for reference."

Figure 8: The black crosses are really difficult to see against the dark blue. Maybe try bright yellow, at least in panels c) through f)?

Reply: We thank the reviewer for the comment. We try bright yellow, but it looks a little messy. Therefore, we change the color scheme by not using the dark blue and keep the black crosses. The revised Figure 8 looks more clear now.

Please also note the supplement to this comment: https://www.atmos-chem-phys-discuss.net/acp-2017-799/acp-2017-799-RC2-supplement.pdf

Reply: We thank the reviewer for the edits, which improves the manuscript. We have includes these edits in the revised manuscript.

Impacts of absorbing aerosol deposition on snowpack and hydrologic cycle in the Rocky Mountain region based on variable-resolution CESM (VR-CESM) simulations

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Abstract

Deposition of light-absorbing aerosols (LAAs) such as black carbon (BC) and dust onto snow cover has been suggested to reduce the snow albedo, and modulate the snowpack and consequent hydrologic cycle. In this study we use the variable-resolution Community Earth System Model (VR-CESM) with a regionally refined high-resolution (0.125º) grid to quantify the impacts of LAAs in snow in the Rocky Mountain region during the period of 1981-2005. We first evaluate the model simulation of LAA concentrations both near the surface and in snow, and then investigate the snowpack and runoff changes induced by LAAs in snow. The model simulates similar magnitudes of near-surface atmospheric dust concentrations as observations in the Rocky Mountain region. Although the model underestimates near-surface atmospheric BC concentrations, the model overestimates BC-in-snow concentrations by 35% on average. Regional mean surface radiative effect (SRE) due to LAAs in snow reaches up to 0.6-1.7 W m^{-2} in spring, and dust contributes to about 21-43% of total SRE. Due to positive snow-albedo feedbacks induced by the LAAs' SRE, snow water equivalent reduces by 2-50 mm and snow cover fraction by 5-20% in the two regions around the mountains (Eastern Snake River Plain and Southwestern Wyoming), corresponding to an increase of surface air temperature by 0.9-1.1°C. During the snow melting period, LAAs accelerate the hydrologic cycle with monthly runoff increases of 0.15-1.00 mm day^{-1} in April-May and reductions of 0.04-0.18 mm day^{-1} in June-July in the mountainous regions. Of all the mountainous regions,

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Southern Rockies experience the largest reduction of total runoff by 15% during the later stage of snow melt (i.e., June and July). Compared to previous studies based on field observations, our estimation of dust-induced SRE is generally one-order of magnitude smaller in the Southern Rockies, which is ascribed to the omission of larger dust particles (with the diameter >10μm) in the model. This calls for the inclusion of larger dust particles into the model to reduce the discrepancies. Overall these results highlight the potentially important role of LAA interactions with snowpack and subsequent impacts on the hydrologic cycles across the Rocky Mountains.

1. Introduction

Water resources are essential to human society and economic development as well as ecosystems in the western United States. Most primary water resources in the inland western U.S. come from the Rocky Mountains' snowpack (Serreze et al., 1999). Therefore, to develop a water resource management strategy, it is necessary to have information on snow accumulation and snowmelt timing. Climate change is an important factor influencing the snowpack in the Rocky Mountain region, as has been shown in many previous studies (e.g., Abatzoglou, 2011; Pederson et al., 2011; Rhoades et al., 2017). Another important factor is the light-absorbing aerosols (LAAs, e.g., black carbon (BC), organic carbon (OC), and dust) in snow (e.g., Flanner et al., 2007; Painter et al., 2007; Qian et al., 2015; Yasunari et al., 2015). Previous studies

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have shown that LAAs in snow can significantly reduce the surface albedo (often known as snow darkening effect (SDE)), modify the surface energy budget and snowmelt, and lead to the modification of hydrologic cycles (e.g., Warren and Wiscombe, 1980; Hansen and Nazarenko, 2004; Flanner et al., 2007, 2009; Painter et al., 2007, 2010; Qian et al., 2009, 2011; Yasunari et al., 2015). Moreover, the LAAs-induced snow albedo reduction may initiate positive feedback processes, which can amplify the reduction of snowpack (e.g., Flanner et al., 2009; Qian et al., 2009).

In past decades modeling studies have been undertaken to quantify the impacts of SDE by LAAs (e.g., Flanner et al., 2007; Qian et al., 2009; Oaida et al., 2015; Yasunari et al., 2015). Generally the models they developed have the ability to simulate the temporal evolution of snow albedo under the influence of LAAs in snow. These studies have enhanced our understanding of the spatial and temporal variations of climate forcings and responses due to LAAs in snow from regional scales (e.g., Qian et al., 2009; Oaida et al., 2015) to global scales (e.g., Flanner et al., 2007; Yasunari et al., 2015). For example, the impacts of LAA in snow are stronger in regions with considerable snow cover and sufficient LAAs deposition (e.g., Arctic, Northeast China, Tibetan Plateau, and western U.S.), and they are largest during the snowmelt period due to the positive snow-albedo feedback. However, as also mentioned in these studies, reliable quantification of impacts of LAAs in snow is hindered by the model deficiencies in simulating the snowpack and aerosol cycles,

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with additional uncertainties induced by the parameterization of snow-aerosol-radiation interactions.

In particular, previous studies have used coarse-resolution global climate models (GCMs) or high-resolution regional climate models (RCMs) to quantify the impacts of LAAs in snow. However, there are weaknesses both in coarse-resolution GCMs and in RCMs. Both snowfall and snow accumulation depend on temperature and precipitation, and thus the distribution of snowpack depends strongly on topographic variablility. Current GCMs with a typical horizontal resolution of 1° to 2° cannot resolve the snowpack over the regions with complex terrains (e.g., Rocky Mountains) due to the coarse resolution (Rhoades et al., 2016; Wu et al., 2017), which impedes the reliable quantifications of SDE by LAAs in mountainous regions (e.g., Flanner et al., 2007; Yasunari et al., 2015). RCMs can simulate the snowpack more accurately than coarse-resolution GCMs, but they are not able to simulate the global transport of aerosols to the focused region except when aerosol transport along the boundary is prescribed (e.g., Qian et al., 2009). Moreover, LAAs in snow may also influence the climate beyond the focused region (e.g., Yasunari et al., 2015), which cannot be accounted for in RCMs. Variable resolution GCMs (VR-GCMs) can overcome these weaknesses of either coarse-resolution GCMs or RCMs and serve as a better tool to quantify the impacts of LAAs in snow. Although GCMs with globally uniform high resolutions (10-30 km) may be an ideal tool to simulate the snowpack and snow-aerosol-radiation interactions, they are not widely applied due to the

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constraints of computational resources (e.g., Haarsma et al., 2016). Instead, using VR-GCMs is a more economic approach and has gained increasing utility in recent years (e.g., Zarzycki et al, 2014a, b; Sakaguchi et al., 2015).

A variable-resolution version of the Community Earth System Model (VR-CESM) has been developed (Zarzycki et al., 2014a, b). With a refined high resolution, VR-CESM has shown significant improvements of the Atlantic tropical storms (Zarzycki and Jablonowski, 2014) and South America orographic precipitation (Zarzycki et al., 2015). The model has also been used in the regional climate simulations over the western U.S., and results show the VR-CESM is capable of reproducing the spatial patterns and the seasonal evolution of temperature, precipitation, and snowpack in the Sierra Nevada (Huang et al., 2016; Rhoades et al., 2016) and Rocky Mountains (Wu et al., 2017). In particular, VR-CESM simulates reasonably the magnitude of snow water equivalent, the timing of snow water equivalent peaks, and the duration of snow cover in the Rocky Mountains, as shown in comparison with Snow Telemetry (SNOTEL) and MODIS (Moderate Resolution Imaging Spectroradiometer) snow cover observations (Wu et al., 2017).

Following the evaluation study of Wu et al. (2017), here we use VR-CESM to investigate the impacts of LAAs in snow (BC and dust) on the snowpack and hydrologic cycles over the Rocky Mountains. By comparing the two VR-CESM simulations with and without LAAs in snow, we examine the impacts on surface radiative transfer, temperature, snowpack, and runoff induced by LAAs in snow. To

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our knowledge, it is the first time that VR-CESM is applied for the study of LAAs in snow. Our results will demonstrate that VR-CESM is skillful for this kind of research.

The remainder of the paper is organized as follows. Section 2 introduces the model and experimental design. Section 3 describes the observation data used for validation of model simulations of aerosol fields in the surface air and in snow. Section 4 presents the evaluation of aerosols fields, followed by their surface radiative effect (SRE), as well as the change of surface temperature, snowpack, and runoff induced by LAAs in snow. Discussion and conclusions are given in section 5.

2. Model and experimental design

The model used in this study is VR-CESM, a version of CESM (version 1.2.0) with the variable-resolution capability (Zarzycki et al., 2014a, b). CESM is a state-of-the-art Earth system modeling framework that allows for investigation of a diverse set of Earth system interactions across multiple time and space scales (Hurrell et al., 2013). CESM uses the Community Atmosphere Model version 5 (CAM5) for the atmospheric component (Neale et al., 2010). The variable-resolution capability is implemented into the Spectral Element (SE) dynamic core of CAM5. The SE dynamic core uses a continuous Galerkin spectral finite-element method designed for fully unstructured quadrilateral meshes, and has demonstrated near-optimal (close to linear) parallel scalability on tens of thousands of cores (Dennis et al., 2012). This enables the model to run efficiently on decadal to multi-decadal time scales. For the land component, CESM uses the Community Land Model version 4 (CLM4). CLM4

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can be run at the same horizontal resolutions as CAM5 and thus can also benefit from the variable-resolution capability of CAM5.

CESM also includes advanced physics for CAM5 (Neale et al., 2010) and CLM4 (Oleson et al., 2010). The CAM5 physics suite consists of shallow convection (Park and Bretherton, 2009), deep convection (Zhang and McFarlane, 1995; Richter and Rasch, 2008), cloud microphysics (Morrison and Gettelman 2008) and macrophysics (Park et al. 2014), radiation (Iacono et al. 2008), and aerosols (Liu et al., 2012). For aerosols, a modal aerosol module (MAM) is adopted to represent the internal and external mixing of aerosol components such as BC, OC, sulfate, ammonium, sea salt, and mineral dust (Liu et al., 2012). Here, we use the 3-mode version of MAM (MAM3). These three modes are aitken, accumulation, and coarse modes. In MAM3, BC is treated in the accumulation mode. BC particles are instantaneously mixed with sulfate and other components in the accumulation mode once emitted. Dust particles with the diameter range of 0.1-1 μm and 1-10 μm are emitted into the accumulation mode and coarse mode, respectively. Airborne aerosol particles are then transported by winds and delivered back to the land surface by both dry and wet deposition, as described in Liu et al. (2012).

CLM4 physics includes a suite of parameterizations for land-atmosphere exchange of water, energy and chemical compounds. In particular, CLM4 explicitly represents the snowpack (accumulation due to snowfall and frost, loss due to sublimation, and melt) by a snow model and its coupling with the SNow, Ice and

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Aerosol Radiation (SNICAR) model for snow-aerosol-climate interactions (Flanner et al., 2007). SNICAR incorporates a two-stream radiative transfer solution of Toon et al. (1989) to calculate the snow albedo and the vertical absorption profile from solar zenith angle, albedo of the substrate underlying snow, mass concentrations of atmospheric-deposited aerosols (BC and dust), and ice effective grain size (r_e). r_e is simulated with a snow aging routine (Oleson et al., 2010). SNICAR is compatible with the new modal aerosol module of CAM5 in the treatment of aerosol deposition (Liu et al., 2012). It should be mentioned that SNICAR includes the effects of feedbacks to the snowpack (grain size, melt) that are driven by the snow albedo reduction due to LAA deposition. As our knowledge of OC optical properties is limited, the impact of absorbing OC on snow albedo is not included in the standard CLM4 and thus not considered in this study. Note that the SNICAR model we use assumes spherical snow grains and aerosol-snow external mixing for the calculation of snowpack optical properties (Flanner et al., 2007; Oleson et al., 2010). Recent studies have shown that non-spherical snow grains play a critical role in snow albedo calculations and reduce the snow albedo reductions included by LAAs compared with spherical snow grains (e.g., Liou et al., 2014; Dang et al., 2016; He et al., 2014, 2017). Nonetheless, the knowledge of snow grain shape evolution is limited and thus spherical snow grains are assumed. Studies have also shown the significant enhancement of solar radiation absorption with larger snow albedo reductions by aerosol-snow internal mixing compared to aerosol-snow external mixing (e.g.,

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Flanner et al., 2012; He et al., 2014; Liou et al., 2014). However, although without considering aerosol-snow internal mixing, the SNICAR model we use assumes absorption-enhancing sulfate coatings to hydrophilic BC, which can mimic BC coatings by snow and compensate the neglect of absorption-enhancement by aerosol-snow internal mixing (Flanner et al., 2007; Flanner et al., 2012). Therefore, the impacts of BC in snow shown in this study (section 4) are not necessarily biased low. Despite this, assuming dust-snow external mixing this study may underestimate the impacts of dust in snow.

For the high-resolution modeling, we have designed a variable-resolution grid that transits from global quasi-uniform 1° resolution to a refined 0.125° resolution in the Rocky Mountains (Figure 1a). The variable-resolution grid is the same as that used in Wu et al. (2017), and is generated by the open-source software package called SQuadGen (Ullrich, 2014). A topographical dataset for this variable-resolution grid is also generated accordingly by the National Center for Atmospheric Research (NCAR) global model topography generation software called NCAR_Topo (v1.0) (Lauritzen et al., 2015) as described in Wu et al. (2017). Figure 1b shows the spatial variations of terrain height for the variable resolution grid used in VR-CESM. Compared to United States Geological Survey (USGS) 3km topography data (Lauritzen et al., 2015), the topography data used in VR-CESM resolve well the variations of terrain in the Rocky Mountains (see Figure 2 of Wu et al. (2017)). In Wu et al. (2017), we have shown that VR-CESM performs well in the simulation of regional climate patterns, including

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spatial distributions and seasonal evolution of temperature, precipitation, and snowpack in the Rocky Mountain region. In this study, we further apply VR-CESM to simulate the SDE of LAAs and its impacts on snowpack and hydrologic cycles in the Rocky Mountains.

VR-CESM is run in the coupled land-atmosphere mode with prescribed observed monthly 1º×1º sea surface temperature and sea ice coverage (Hurrell et al., 2008), following the Atmospheric Model Intercomparison Project (AMIP) protocols (Gates, 1992). The simulation period is from 1979 to 2005, and the results for the last 25 years (1981-2005) are used for the analysis shown below. Historical greenhouse gas concentrations, and anthropogenic aerosol and precursor gas emissions are prescribed from the datasets of Lamarque et al. (2010). In particular, the BC emissions consist of various sources, including domestic, energy, transportation, waste, shipping, and wildfire (forest and grass fires) emissions. The horizontal resolution for BC emission used in this study is 1.9×2.5º. We note that BC emission data is natively at a resolution of 0.5º×0.5º (Lamarque et al, 2010). However, it is processed to be at a relatively coarse resolution of 1.9º×2.5º for adoption in standard CESM, which is used in this study. The relatively coarse resolution of BC emission may partly explain the model's bias in the simulation of BC concentrations near the surface and in snow across regions where local BC sources can contribute significantly to the observed BC concentrations, as will be discussed in section 4. It is desirable to adopt BC emission at its native resolution for our high-resolution

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simulation. The sensitivity of our simulation results to the resolution of BC emission will be analyzed in a separate study.

For dust aerosol, the emission flux is calculated interactively in the model at each time step by a dust emission scheme (Oleson et al., 2010). The dust emission flux is calculated from the friction velocity, threshold friction velocity, atmospheric density, clay content in the soil, areal fraction of exposed bare soil, and source erodibility (Oleson et al., 2010; Wu et al., 2016). Due to the large uncertainty in modeled dust emission, the dust emission scheme also adopts a tuning factor (*T*) to simulate the reasonable dust emission amount. Our test simulation shows that with the increase of model resolution, VR-CESM produces much higher dust concentrations compared to the observations (section 3) in North America if *T* used in the standard CESM with quasi-uniform 1° resolution is used. Therefore, for VR-CESM simulation in this study, *T* is reduced by a factor of 2.6 to produce the similar magnitudes of near-surface dust concentrations as the observations, as will be shown in section 4.1. Note that such a reduction of *T* is only applied in North America, since other continents have a resolution of quasi-uniform 1°, the same as in the standard CESM.

In addition to the control experiment with the impacts of LAAs (BC and dust) in snow included (CTL), we conduct a sensitivity experiment that turns off the impact of LAAs in snow (NoSDE). Through the comparison of these two simulations (CTL and NoSDE), the impacts of SDE by LAAs on the snowpack and hydrologic cycles can be identified. To facilitate the analysis of SDE, we also calculate the surface

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radiative effect (SRE) by BC in snow in the control experiment from the difference of absorbed radiation with all aerosols (i.e., the standard radiation call) and with all aerosols except BC (i.e., only dust in this case) as in Flanner et al. (2007) (a diagnostic radiation call). SRE by dust and by BC and dust are calculated similarly.

To quantify the impacts of LAAs in snow, we mainly focus on five regions. Three of these regions are in the high mountains: Northern Rockies, Greater Yellowstone region, and Southern Rockies. The elevation is higher in Greater Yellowstone region and Southern Rockies (>2250 m) than in Northern Rockies (>750 m). The other two regions are over the plains near the mountains: Snake River Basin and Southwestern Wyoming. These two regions are selected because they are close to the source regions of BC and dust and also have considerable snow cover (>50%) in winter. These five regions are shown in Figure 1c.

3. Observations

We will use various observations to validate the model simulation of aerosol (BC and dust) concentrations near the surface and in snow.

First, we use the observations of near-surface atmospheric BC and dust concentrations from the Interagency Monitoring of PROtected Visual Environments (IMPROVE) network (Malm et al., 1994). Observed mass concentrations of Elemental Carbon (EC) are used for the comparison with model simulation of BC concentrations. Although EC can be somewhat different from BC (Andreae and Gelencser, 2006), EC concentrations have been widely used for the validation of BC

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concentrations in previous studies (e.g., Koch et al., 2009; Liu et al., 2012). For dust, simulated dust concentration accounts for dust particles with diameters below 10 µm. To compare, observed mass concentrations of fine soil (FS, with the diameter <2.5 µm) and coarse mass (CM, with the diameter between 2.5 µm and 10 µm) from IMPROVE are combined, following the approach of Kavouras et al. (2007) and Wells et al. (2007). In reality, in addition to dust, CM may also contain other aerosols such as sulfate, nitrate, organic and elemental carbon, and sea salt. However, according to the study of Malm et al. (2007), who analyzed the speciation of coarse particles collected at nine selected rural IMPROVE stations in 2004, the contributions of dust to CM are above 70% (74-90%) at the three stations in inland western U.S. In their study, lower contributions of dust to CM (34% and 65%) were found in the two stations near the coast. We caution that these two stations were <150 km away from the metropolitan regions indicating that urban emissions may also contribute to CM there. Additional contributions may result from sea salt or sodium nitrate resulting from reactions of nitric acid with sea salt, as mentioned in their study (Malm et al., 2007). Therefore, to minimize the contributions of other aerosols to CM, we do not use the stations in or near the metropolitan regions or near the coast for the validation of dust concentration. Nonetheless, we acknowledge that there may be small contributions from other aerosols to CM and the estimated dust concentration by summing FS and CM may represent an upper limit of dust concentrations (with the diameter <10 µm) from the observations. Note that the observation period of

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IMPROVE varies with the stations; some stations started collecting data in the 1980s, and some more recently (2000s). To derive a climatological dataset for model comparisons, we only select the stations with more than 5 years of dust observations. In total 80 and 94 stations are selected for BC and dust observations, respectively, in the western U.S. (Figure 2).

Second, we use field measurements of BC mass mixing ratio in snow (C_{BC}) from previously published studies. Although field observations of C_{BC} in snow extended back to 1980s, they were made mostly in the polar regions, Alps Mountains, Cascade Mountains, eastern Canada, and West Texas/New Mexico (see Qian et al. (2015) and references therein). Recently, Doherty et al. (2014) made valuable measurements of the vertical profiles of LAAs in seasonal snow from January to March of 2013 in the western U.S. They used an Integrating Sphere integrating SandWich (ISSW) Spectrophotometer to estimate the C_{BC} over 67 sites in North America (including 17 sites in the Rocky Mountain region). Observed C_{BC} by Doherty et al. (2014) was recorded on a single day. Doherty et al. (2016) further provided the temporal variations of C_{BC} at four stations, three in Idaho (January to March of 2014) and one in Utah (February to March of 2013 and 2014). Doherty et al. (2016) also calibrated the ISSW measurements using an incandescence technique (the Single Particle Soot Photometer, SP2) in a subset of the observations, which was supposed to capture C_{BC} more accurately, and derived a ratio of C_{BC} by ISSW to C_{BC} by SP2 based on their linear relationship for the estimation of real C_{BC}. This

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calibration is applied to the dataset of Doherty et al. (2014) in our study, and thus the observations of Doherty et al. (2014) and Doherty et al. (2016) used here are comparable.

In addition, Skiles and Painter (2016b) made daily measurements of BC-in-snow with an SP2 in the Senator Beck Basin Study Area (SBBSA) in the San Juan Mountains during a period of two months (late March to middle May) in 2013. The locations and sample dates as well as the measurements for these stations are given in Table 1. For comparison with model simulations, we derive observed BC mass mixing ratios (C_{BC}) in the whole snow column at sites #1-12 and #16-17 by dividing total BC mass throughout the snow column by total snow mass throughout the snow column. At sites #13-15, the averages of C_{BC} for all the aged snow samples (from various depths and columns) were reported by Doherty et al. (2016) and are used here. If measurements of C_{BC} on multiple days were made, the means and standard deviations of C_{BC} are given. As our simulation period (1981-2005) does not encompass the years 2013 and 2014, we will use the daily simulation results of C_{BC} on the same month/day (or months/days; Table 1) when the observations were made (i.e., we will ignore the exact year) and compare them (means and standard deviations) with the observations. At each station, simulated daily simulation results are used only when snow is present (i.e., daily mean snow water equivalent ≥ 1mm). 1 mm is chosen to be consistent with the minimum snow-layer thickness in observations.

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There are few observations of dust mass mixing ratio in snow (C_{dust}) in the Rocky Mountain region. To our best knowledge, the only published observations were conducted at two sites in Southern Rockies: one in the Senator Beck Basin Study Area (SBBSA) in the San Juan Mountains with at least 9-year (2005-2013) records (Painter et al., 2012; Skiles et al., 2015; Skiles and Painter, 2016a, 2016b); the other in the Grand Mesa (~150 km to the north of SBBSA) with at least 4-year (2010-2013) records (Skiles et al., 2015). Snow samples in the top 30 cm of the snow column were collected at irregular time intervals from March to June. Here we will use the end-of-year (EOY) C_{dust}, which was reported from the samples collected just prior to snow depletion and consisted of the majority of dust in the snow column (Skiles et al., 2015; Skiles and Painter, 2016a). For the simulation, we will calculate mean C_{dust} for May-June from daily C_{dust} on the days when snow is present (i.e., snow water equivalent ≥ 10 mm). Another consideration is that observed C_{dust} contains all the dust particles while simulated C_{dust} only accounts for the dust particles with diameters smaller than 10 µm. According to the observations by Reynolds et al. (2016), the mass concentration of total suspended particles (TSP) both in the atmosphere and in snow is mainly from particles with diameters larger than 10 µm in the Utah-Colorado region. This will affect the model comparison with the observations, which will be discussed in section 4.

4. Results

4.1 Spatial patterns of near-surface aerosol concentrations

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Before we examine the impacts of aerosol deposition onto snow, we will first evaluate the aerosol simulations by the model. Figure 2 shows the spatial patterns of cold season (winter and spring) mean emission fluxes and near-surface concentrations of BC and dust in the western U.S. from the VR-CESM simulation. The IMPROVE stations are also denoted by circles with larger circle sizes indicating higher observed near-surface BC/dust concentrations. In the model, the BC emission flux is prescribed and is largest in the Pacific Coast and southern Arizona. BC emission fluxes are relatively large in central–northern Colorado and Northwestern Utah, where large metropolises are located. Corresponding to the patterns of BC emission flux, simulated near-surface BC concentrations (>100 ng m^{-3}) are also higher in these regions. A band with relatively high near-surface BC concentrations around 50-100 ng m^{-3} is also found in southern Idaho, to the west of the Greater Yellowstone region and to the south of Northern Rockies, indicating the transportation of BC around the mountains. Near-surface BC concentrations decrease at higher elevations. The spatial patterns simulated by the model are generally consistent with observations, e.g., higher BC concentrations in the source regions and lower in the mountains.

Dust sources are located in the dry regions with exposed bare soils, such as the southwestern U.S. (southern California, western Arizona, and southern New Mexico), the northern Mexico, the Great Basin, and the Colorado Plateau. Dust emissions are also found in the Great Plains, although they are much weaker. In the Great Plains agricultural activities can disturb the soil, making it vulnerable to wind erosion

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(Ginoux et al., 2012). Simulated cold season mean dust concentrations are higher (10-500 μg m^{-3}) in the source regions, but decrease dramatically (0.1-5 μg m^{-3}) to the mountains. Compared to the observations, the model reproduces the spatial patterns of near-surface dust concentrations with higher concentrations in the southwestern part of US. However, the model tends to overestimate the dust concentrations in Utah, indicating that dust emission may be overestimated there.

Comparisons of modeled and observed near-surface BC/dust concentrations at the IMPROVE stations are further shown in Figure 3. The modeled concentrations are generally within a factor of 5 of the observed concentrations, and the two are moderately correlated (the correlation coefficients (R) being 0.56 and 0.47 for BC and dust concentrations, respectively). Averaged across all comparison stations, the modeled BC concentration is a factor of 1.8 lower than the observed concentrations, and the modeled dust concentration a factor of 1.4 higher. The model tends to systematically underestimate observed near-surface BC concentrations in Utah–Nevada regions, the Rocky Mountains, and the Great Plains, where the stations are located downwind of source regions (Figure 2b). In particular, observed near-surface BC concentrations are underestimated mostly by a factor of 1.5-5 in the Rocky Mountains. The underestimation of near-surface BC concentrations in these regions may suggest that transport of BC in our simulations is too weak. This deficiency may also be ascribed to local BC sources (e.g., Doherty et al., 2014) not resolved by the prescribed BC emission in the model (e.g., at 1.9×2.5° resolution). For

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dust, although the model overestimates near-surface dust concentrations for most of the stations near the dust sources (southwestern U.S., Utah, and Nevada), the model simulates reasonably the magnitude of near-surface dust concentrations in the Rocky Mountains. This may also be associated with underestimated transport in the model, consistent with the low bias in near-surface BC concentrations in downwind regions.

Note that although only the BC and dust emission fluxes over the western U.S. are shown in Figure 2, long-range transport of these aerosols from other regions (e.g., Asia and Africa) can also contribute to BC (e.g., Zhang et al., 2015) and dust (Wells et al., 2007) concentrations in the western U.S. In addition, there are substantial variations of aerosol emission in the western U.S. As mentioned in section 2, although we adopt VR-CESM with a refined high resolution (0.125°) in the Rocky Mountains, we use a coarse resolution gridded emission dataset (i.e., 1.9°×2.5°) for BC. For dust, the small-scale variations of dust emissions can be represented in the model as it is calculated online in the model. However, dust emission depends on many variables such as near-surface winds, soil moisture, vegetation cover, and soil texture (Oleson et al., 2010; Wu et al., 2016), which may themselves be biased. In particular, in Utah and Nevada, simulated near-surface dust concentrations are about 2-3 times as large as observed, indicating significant overestimation of dust emissions in the region.

4.2 Aerosol-in-snow concentrations

Figure 4 shows the spatial distributions of BC and dust mass mixing ratios in snow in winter and spring from VR-CESM simulations. BC-in-snow mass mixing

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ratio in the Rocky Mountains ranges from 2-50 ng g^{-1}, which is consistent with a previous study (Qian et al., 2009). The dust-in-snow mass mixing ratio (0.1-50 μg g^{-1}) is about 2-3 orders of magnitude higher than that of BC-in-snow. The spatial pattern of BC-in-snow mixing ratios is consistent with that of near-surface atmospheric BC concentration, which features higher values in northern Utah and southern Idaho and lower values in the higher mountains (Figure 2b). Dust-in-snow mixing ratios are higher in Utah and downwind regions (western Colorado and southern Idaho), which is consistent with the distribution of near-surface atmospheric dust concentrations. Dust-in-snow mixing ratio is also higher in the northern Great Plains, where dust emission is also evident (Figure 2c). In addition, BC and dust mixing ratios are larger (10-100 ng g^{-1} and 2-50 μg g^{-1}, respectively) in the Southern Rockies than in Northern Rockies and Greater Yellowstone region. BC and dust mass mixing ratios are smaller in the Greater Yellowstone region with ranges from 10-50 ng g^{-1} and from 0.2-2 μg g^{-1}, respectively, and are smallest in the Northern Rockies with the values below 20 ng g^{-1} and below 2 μg g^{-1}, respectively. BC and dust mixing ratios in snow are larger in spring than in winter in most of the Rocky Mountain region. This is due to larger deposition of BC/dust in spring than in winter, resulting from larger northward transport of BC/dust in spring (Figure not shown). Larger dust deposition in spring can also be partly explained by the larger dust emission in this season.

The comparison of BC mass mixing ratios in the snow column at the 17 sites from VR-CESM simulations and observations is shown in Figure 5. Observed

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BC-in-snow mass mixing ratios range from 5.5 ng g^{-1} to 33.6 ng g^{-1} at the 17 sites. Simulated BC mixing ratios range from 8.3 ng g^{-1} to 30.6 ng g^{-1} at these sites, which are in the range of observations. Despite this, simulated BC-in-snow mass mixing ratios differ from the observations by a factor of up to 4 at some stations. Averaged across all the 17 sites, the simulated BC mass mixing ratio is 35% larger than the observed value. Note that there is a large interannual variability of BC-in-snow mass mixing ratios, such as at site #16, as shown in Doherty et al. (2016). Therefore, the observation period was not long enough for the derivation of a climatological mean as in the simulation, which may partly explain the inconsistency between the observations and simulations.

Note that although near-surface BC concentrations in the atmosphere are underestimated in the Rocky Mountains in the model (section 4.1), BC mass mixing ratios in the snow are not overall underestimated. In our study, we calibrate the observational data of Doherty et al. (2014) by using the correction factor based on the comparison of ISSW and SP2, assuming that SP2 can more accurately measure the mass mixing ratio of BC compared to ISSW (Doherty et al., 2016). However, although SP2 can provide a direct measurement of BC, SP2 may underestimate the real amount of BC-in-snow mass when BC is attached to larger particles (e.g., dust and sea salt) or aggregates to large sizes in snow due to the size range (e.g., ~0.08-0.7 μm) limitations in SP2 (Qian et al., 2015). Because of this, the real amount of BC-in-snow mass may be higher than that measured by SP2. Another reason for the

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inconsistency of BC mass mixing ratios in snow and near-surface BC concentrations in the atmosphere may be related to the compensating errors in BC deposition and snowfall. This inconsistency may also be related to the snow aging/melting and BC-in-snow accumulation and flushing-out, which are associated with large uncertainties (Flanner et al., 2007; Qian et al., 2014).

For dust in snow, the simulated mean dust mass mixing ratio in snow in May-June is 31.0 (27.8) μg g^{-1} in the San Juan Mountains (Grand Mesa), with the standard deviation, minimum, and maximum being 20.4 (10.0) μg g^{-1}, 8.9 (14.8) μg g^{-1}, and 81.4 (50.4) μg g^{-1}, respectively. These values are one to two orders of magnitude smaller compared to the observed mixing ratios from Skiles et al. (2015), which showed that, at the end of the snow season, the total dust-in-snow mass mixing ratios range from 0.2 to 4.8 mg g^{-1} and from 0.6-1.7 mg g^{-1}, respectively, in the San Juan Mountains and Grand Mesa. Much smaller dust-in-snow mass mixing ratios in the simulations may be ascribed to the fact that the model only accounts for dust particles with diameters smaller than 10 μm, while the observations include all the sizes of dust particles in the snow. Observation by Reynolds et al. (2016) in the Colorado region showed that mass concentrations of dust particles in snow are mostly from larger particles with diameters larger than 10 μm. Therefore, the model may underestimate the impacts of dust deposition into snow. Dust impacts calculated in this study, which will be discussed below, should be regarded as those from the dust particles with diameters smaller than 10 μm.

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4.3 Surface radiative effect (SRE) by aerosol-in-snow

Figure 6 shows the spatial distribution of instantaneous surface radiative effect (SRE) due to BC- and dust-induced snow albedo change, respectively, in winter (December-January-February) and spring (March-April-May). Due to the decrease of surface albedo, surface net shortwave radiation is increased. The spatial patterns of SRE are determined by both the amount of aerosol in snow and the snowpack distribution (snow depth and snow cover fraction). Finer-scale structures of SRE in the Rocky Mountains and the adjacent regions are simulated by VR-CESM with a higher horizontal resolution compared to previous simulations by coarse-resolution GCMs (e.g., Flanner et al., 2009; Yasunari et al., 2015). The SRE is generally above 0.2 W m^{-2} over the mountains especially in the Greater Yellowstone region and Southern Rockies. SRE can reach similar magnitudes on the southern periphery of Northern Rockies and west side of the Greater Yellowstone region, where higher near-surface atmospheric BC/dust concentrations and BC/dust-in-snow mass mixing ratios are simulated (Figures 2 and 4). SRE is stronger in spring than in winter for both BC and dust, which is consistent with previous studies (Flanner et al., 2009; Yasunari et al., 2015). This is because of the stronger solar insolation and larger albedo reduction due to snow aging, aerosol accumulation within snow, and feedbacks in spring. Dust emissions, and consequent dust transport and deposition, are higher in spring than in winter, which may also partly contribute to the larger dust-induced SRE in spring than in winter. BC-induced SRE is somewhat larger than

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dust-induced SRE in both winter and spring. BC-induced SRE is mostly below 1 W m^{-2} in winter, but reaches up to 2-5 W m^{-2} in spring. Dust-induced SRE is mostly below 0.5 W m^{-2} in winter and increases to 1-5 W m^{-2} in spring.

Compared to the Greater Yellowstone region and Southern Rockies, SRE in the Northern Rockies is much smaller (mostly below 0.05 and 0.5 W m^{-2} in winter and spring), because of smaller aerosol-in-snow mixing ratios in this region (Figure 4). Note that BC-induced SRE is still significant (mostly around 0.2-2 W m^{-2} and 2-5 W m^{-2} in some local regions) in the northern Great Plains, eastern U.S., southern Canada, and eastern Canada. This was also shown in previous studies using coarse-resolution GCMs (e.g., Flanner et al., 2009; Yasunari et al., 2015). In addition, the model also simulates non-negligible dust-induced SRE (mostly around 0.05-0.2 W m^{-2} and up to 0.2-0.5 W m^{-2} in some local regions) near the dust sources in southern Canada and the northern Great Plains.

Figure 7 shows the monthly variations of SRE induced by BC and dust SDE in the five regions (Northern Rockies, Greater Yellowstone region, Southern Rockies, Eastern Snake River Plain, and Southwestern Wyoming). Table 2 gives the regional averaged winter and spring SRE in these five regions. Consistent with the spatial distributions shown in Figure 6, aerosol-induced SRE averaged in Northern Rockies is about half to one-fourth of that in the Greater Yellowstone region and Southern Rockies. Compared to that in winter, SRE is much larger in spring, which is a result of aerosol accumulation in snow and relatively strong solar insolation. Maxima in the

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monthly SRE occur in April-May in the three mountainous regions (Northern Rockies, Greater Yellowstone region, and Southern Rockies), consistent with the progress of snowmelt after the peaks of snow water equivalent (early to middle April; see figure 11 of Wu et al. (2017)). In the Eastern Snake River Basin and Southwestern Wyoming, maxima in monthly SRE occur in March, which is different from the three mountainous regions because the snowmelt period begins earlier (in February to March) in these two regions (section 4.4). Regional mean total SRE in spring induced by BC and dust can reach up to 1.58-1.7 W m^2 with peaks around 2.0 W m^{-2} in Greater Yellowstone region and Southern Rockies. In the Eastern Snake River Plain and Southwestern Wyoming regions, regional mean total SRE in winter and spring is around 0.4-0.6 W m^{-2} and 0.7-0.8 W m^{-2}, respectively. Dust-induced springtime SRE can contribute to about 20-30% of total springtime SRE in the northern part of Rocky Mountains (Northern Rockies, Greater Yellowstone region and Eastern Snake River Plain). In the southern part of Rocky Mountains (Southwestern Wyoming and Southern Rockies), dust-induced springtime SRE contributes more significantly (about 30-40%) to total springtime SRE. Note that dust-induced SRE shown here doesn't take into account dust particles larger than 10 µm, which may constitute the majority of dust-in-snow mass (Reynolds et al., 2016). Therefore, our estimations of dust-induced SRE may be biased low.

4.4 Impacts of aerosol SDE on the surface temperature and snowpack

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Figure 8 shows surface air temperature, snow water equivalent, and snow cover fraction changes due to the aerosol SDE in winter and spring, respectively. Snow water equivalent is defined as the amount of water contained within the snowpack, measured kg m^{-2} which is equivalent to mm after divided by the density of water (1000 kg m^{-3}). Snow cover fraction is defined as the fraction of surface area covered by snow. These changes are derived from the difference between the two simulations (CTL and NoSDE). The crosses in the figure denote the regions where changes are statistically significant at the 0.1 level. Although SRE is largest over the mountains, surface air temperature change is largest in the regions adjacent to the mountains, such as over the Eastern Snake River Plain, Northern Utah, and Central-Southwestern Wyoming, where surface air temperatures are increased by around 0.5-2 °C due to the aerosol SDE. The large surface air temperature increase corresponds well to the significant reductions of snow water equivalent (by 2-50 mm) and snow cover fraction (by 5-20%) in these regions. This indicates a pronounced positive feedback between snow albedo, radiation, and surface temperature in the regions adjacent to the mountains, where snow water equivalent values are relatively lower and snow cover fractions are smaller than those over the mountains. The positive feedback amplifies the surface warming and snow melting, as was also found in a previous study using the Weather Research and Forecasting (WRF) model (Qian et al., 2009). We note, however, both snow water equivalent and snow cover fraction are larger over the mountains. For example, winter and spring snow water equivalent

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is mostly above 50 mm on the high mountains (see Figure 8 of Wu et al. (2017)). Local aerosol SDE may also induce substantial impacts on the surface temperature and snowpack on the high mountains, but these impacts may be canceled out by the increase of snowfall (Figure 9f). As shown in Figure 8, the smaller change of surface air temperature over the mountains corresponds well with the increase of snow water equivalent and snow cover fraction (especially in the Northern Rockies and Greater Yellowstone region).

The increase of snowfall in Figure 9 is likely related to the large-scale circulation change due to aerosol SDE. Figure 10 shows wintertime tropospheric temperature and zonal winds in CTL and NoSDE simulations and their difference. In the NoSDE simulation, we have turned off the SDE not only in the Rocky Mountain region, but also in other regions of the globe. Due to aerosol SDE, temperature is increased in the high-latitudes of northern hemisphere (Figure 10c), which can reduce the meridional temperature gradient, thus leading to the weakening polar jet stream north of 50 ºN (Figure 10f). This suggests a shift to a more meridional wind pattern in winter, which can enhance the broader meanders and thus the formation of winter storms (Wu et al., 2017). Enhanced winter storm activity further reduces surface temperature to the north of Rocky Mountain region as well as in the northern part of Rocky Mountain region (Figures 8a and 10c). This, together with the increased temperature in the southwestern U.S. and southern part of Rocky Mountain region, increases meridional temperature gradient and leads to stronger westerly at 30-45ºN

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(Figure 10f). Stronger westerly at 30-45 ºN favors the water vapor transport from the Pacific Ocean. The enhance of winter storm activity and water vapor transport may lead to the increase of precipitation (mainly in terms of snowfall in winter). In spring, the change in temperature and zonal winds is similar to that in winter, but with a northward shift of the patterns as a result of northward movements of the polar jet stream and westerlies in spring (Figure not shown). Therefore, the change of snowfall is likely a result of circulation change induced by SDE from both the Rocky Mountain region and remote regions. It is worth isolating the impacts of SDE from the Rocky Mountain region and remote regions (e.g., high-latitudes) in the future. Note that increases of snow water equivalent and snow cover fraction in the Northern Rockies and Greater Yellowstone region due to aerosol SDE do not pass the significant test at 0.1 level because of the large interannual variability in these regions.

Table 2 gives the winter and spring surface air temperature changes due to LAAs in snow averaged over the five regions. Seasonal mean surface air temperature change is around 0.9-1.1 °C in the Eastern Snake River Plain in winter and spring, while this change is around 0-0.2 °C (winter) and around 0.3-0.5 °C (spring) in the mountainous regions (Northern Rockies, Greater Yellowstone region, and Southern Rockies). In Table 2, we also show the efficacy of snow albedo forcing, which is defined as the ratio of local surface air temperature change to SRE over a specific region. The efficacy is mostly around 0.1-0.5 in the three mountainous regions, but it

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is 1.3-2.2 in the Eastern Snake River Plain and Southwestern Wyoming. This indicates that stronger snow albedo feedbacks exist in the latter two regions.

Figures 11-12 show the monthly evolution of regional mean surface air temperature, snow water equivalent, and snow cover fraction, and their changes due to aerosol SDE in the Eastern Snake River Plain and Southwestern Wyoming, respectively. Monthly variations of surface air temperature, snow water equivalent, and snow cover fraction are similar between the two regions: lowest surface air temperature and largest snow cover fraction in January, and highest snow water equivalent in February-March. Significant changes of these variables from the aerosol SDE occur in both regions. The largest surface air temperature increase is 1.5 °C in the Eastern Snake River Plain and 1.6 °C in Southwestern Wyoming, occurring in April and December, respectively. In the Eastern Snake River Plain (Southwestern Wyoming), aerosol SDE leads to the reduction of snow water equivalent by 6-28 mm (6-16 mm) and snow cover fraction by 5-15% (6-19%) from December to March. In April (late snowmelt period) when snow water equivalent and snow cover fraction are both relatively small, the aerosol SDE is more significant, which reduces snow water equivalent values and snow cover fractions by about half.

4.5 Runoff change induced by aerosol SDE

In the model, the total runoff includes the surface runoff and sub-surface runoff. Our simulations show that the spatial distribution and seasonal evolution of surface runoff and sub-surface runoff are generally similar to total runoff (Figure not

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shown), and surface runoff and subsurface runoff accounts for 30-40% and 60-70%, respectively, of annual total runoff in the mountains. Here we only show the simulation results of total runoff, as both surface runoff and sub-surface runoff will flow to rivers and become discharge. Runoff is mainly from rainfall and snowmelt. The change of rainfall is shown in Figures 9c-9d, and the snowmelt change is shown in Figure 13. Aerosol SDE increases the snowmelt by 0.1-2 mm/day in the mountains during the snow accumulation and early snowmelt period (in autumn, winter and spring). In the late snowmelt period, aerosol SDE reduces the snowmelt due to there being less snowpack available for melting in the plains (in spring) and in the mountains (in summer). Note that snowmelt is slightly reduced by the aerosol SDE in autumn in the Southern Rockies, which is a result of less snowpack available for melting due to the reduced snowfall in this region (Figure not shown).

Because of the change in rainfall and snowmelt due to aerosol SDE, runoff changes too. Figure 14 shows the runoff change induced by aerosol SDE in four seasons. In winter, runoff is barely modified by the aerosol SDE in the Rocky Mountains, except in the Northern Rockies where runoff is increased by 0.1-2 mm day^{-1}, associated with increased rainfall (Figure 9c) and increased snowmelt (Figure 13a). In spring, runoff changes the most compared to all of the other seasons, with the runoff increased by up to 0.5-2 mm day^{-1} in the mountainous regions. This is mainly due to the increase of snowmelt resulting from surface warming (Figure 13b) as well as due to more snow available for melt resulting from snowfall increase (Figure 9f).

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The changes in runoff are statistically significant at 0.1 level in most of the mountainous regions in spring. Absolute runoff increases are stronger in the Northern Rockies and Greater Yellowstone regions than in the Southern Rockies in terms of the area and magnitude, probably due to the smaller snow water equivalent in Southern Rockies (Wu et al., 2017). As more snowmelt occurs in spring, less snowpack is available for melt in summer and thus surface runoff is reduced by about 0.1-1 mm day^{-1}. There is little runoff change in autumn, as there is less runoff generated from rainfall and snowmelt than in other seasons. Overall, BC and dust residing in snow accelerate the hydrologic cycles by increasing the runoff in spring and reducing the runoff in summer. Surface warming also increases the ratio of rainfall to total precipitation, which can accelerate the generation of runoff. Note that in some regions of the plains, such as the central-eastern Montana, Southwestern Wyoming, and the Snake River Basin, the snowmelt changes by 0.1-1 mm/day due to the aerosol SDE, but the runoff changes little. This is because the water generated from snowmelt is mainly stored in soil or transformed into evapotranspiration in these regions. Also note that there are statistically significant increases of runoff in the southern Great Plains in spring, but the change is small (around 0.05-0.1 mm/day; Figure 13b). This change is a result of slight increases in both rainfall (Figure 9d) and snowmelt (Figure 13b).

Figure 15 shows the monthly evolution of runoff and its change due to the aerosol SDE in the three mountainous regions (Northern Rockies, Greater

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Yellowstone region, and Southern Rockies). In the three regions, runoff peaks in the late spring and early summer (in May in Northern Rockies and Southern Rockies, and in June in Greater Yellowstone region) when snow melting progresses after the peak of snow water equivalent in early-to-middle April (Wu et al., 2017). This indicates the significant contribution of snowmelt to runoff. Overall, runoff changes are larger in the Northern Rockies and Greater Yellowstone region than in Southern Rockies, which is consistent with the spatial distribution of runoff changes shown in Figure 14. Runoff is significantly increased in spring and decreased in June and July, indicating the acceleration of the local hydrologic cycle by aerosol SDE. In the Northern Rockies, runoff is also increased from October to March but in much smaller magnitudes (below 0.2 mm day^{-1}) compared to April and May. In April (May), runoff is increased by 0.39 (0.56), 0.22 (1.00), and 0.17 (0.15) mm day^{-1} in the the Northern Rockies, Greater Yellowstone region, and Southern Rockies, respectively. This increase contributes to 26% (13%), 42% (27%), and 29% (7%) of the runoff from the NoSDE simulation in April (May) for the three regions, respectively. The reduction of runoff in June is relatively small (0.06 and 0.11 mm day^{-1}, respectively) in the Northern Rockies and Greater Yellowstone, only accounting for 2% of runoff from the NoSDE simulation. However, it reaches up to 0.18 mm day^{-1} in the Southern Rockies, which accounts for 15% of runoff. In addition, due to the reduction of snow available for melting later in July, the runoff is further reduced. Runoff is relatively smaller in July versus in previous months, and aerosol SDE can reduce the runoff by

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0.04 (8%), 0.17 (23%), and 0.06 mm day^{-1} (16%) in the three regions, respectively. Note that due to increase of precipitation, the annual mean runoff is increased by 0.12 (12%), 0.09 (10%), and 0.01 mm day^{-1} (2%) in these three regions, respectively.

5. Conclusions

In this study, we use VR-CESM to quantify the impacts of LAA (BC and dust) deposition to the snowpack and hydrologic cycles and to surface air temperatures in the Rocky Mountains. Our previous study has shown that VR-CESM reproduces reasonably the spatial distributions and seasonal evolution of snowpack in the Rocky Mountains (Wu et al., 2017). Here we show that the model simulates similar magnitude of near-surface dust concentrations at most stations in the Rocky Mountain region compared to IMPROVE observations. The model tends to underestimate near-surface atmospheric BC concentrations mostly by a factor of 1.5-5 in the Rocky Mountain region. The underestimation of near-surface BC concentrations may be due to the absence of local sources in the BC emissions dataset used and too weak transport in the model. Simulated aerosol-in-snow concentrations are closely related to the distributions of both snowpack and near-surface atmospheric aerosol concentrations. Simulated BC-in-snow concentrations ranges from 2 to 50 ng g^{-1} in the Rocky Mountain region, and they are 35% larger than the observations for the average at the 17 sites.

Due to the deposition of LAAs to snow, surface net shortwave radiation is increased. Regional- and seasonal- averaged SRE induced by LAAs in snow is 0.1-0.5

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W m^{-2} in winter in the three mountainous regions (Northern Rockies, Greater Yellowstone region, and Southern Rockies) and 0.4-0.6 W m^{-2} in the two regions around the mountains (Eastern Snake River Plain and Southwestern Wyoming). Seasonal average SRE is much larger in spring and reaches up to 0.6-1.7 W m^{-2} in these five regions (Table 2). Dust contributes 21-43% to the total SRE induced by LAAs in snow in spring, indicating the important role of dust residing in snow. Of the five regions, dust contributes the most (43%) to the total SRE in the Southern Rockies. This is not unexpected as this region is close to dust sources in the Colorado Plateau.

As a result of SRE induced by LAAs in snow, surface air temperature increases in most of the Rocky Mountain region. The surface air temperature increase is largest over the Eastern Snake River Plain and Southwestern Wyoming, with winter and spring surface air temperature increased by 0.9-1.1°C. Significant reductions of snow water equivalent (by 2-50 mm) and snow cover fraction (by 5-20%) occur in these two regions, indicating a strong positive snow-albedo feedback there.

Aerosol SDE accelerates the hydrologic cycle in the mountainous regions. In April and May, monthly mean runoff is increased by 7%-42% in the three mountainous regions (Northern Rockies, Greater Yellowstone region, Southern Rockies). This is because of the accelerated snowmelt resulting from surface warming as well as the increased snowfall resulting from enhanced winter storm activity and water vapor transport from the Pacific Ocean. This enhancement may be related to large-scale circulation changes. In the later stage of snowmelt, monthly runoff is

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reduced by 2-15% in June and 8-23% in July in the three mountainous regions. In particular, aerosol SDE leads to a reduction of total runoff by about 15% in June and July in the Southern Rockies. This highlights the important role of aerosol SDE in modulating the hydrologic cycle in these mountainous regions.

We note that VR-CESM still underestimates the near-surface BC concentrations, however, overestimates BC-in-snow concentrations by 35% for the average across the 17 observational sites. For dust in snow, the model used in this study only accounts for dust particles smaller than 10 µm, while observations made by Reynolds et al. (2016) suggest that most airborne and in-snow dust mass concentrations are characterized by dust particles with diameters larger than 10 µm in the Utah-Colorado region. Therefore, our simulations may significantly underestimate the impacts of dust in snow especially over Southern Rockies. In the Southern Rockies, our simulations suggest SRE induced by dust-in-snow can reach up to 2-5 W m^{-2} in the Southern Rockies, which is nearly an order of magnitude smaller than values given by Painter et al. (2007) and Skiles et al. (2015) based on observed dust-in-snow particles in the same region. Note that such bias in SRE may become smaller in the Greater Yellowstone region and Northern Rockies as these regions are farther from the dust source regions than Southern Rockies. Future observations of LAAs in snow, particularly for the temporal evolution of LAAs in different snow layers, as well as detailed size distribution measurements of dust particles in snow

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will help reduce the uncertainties in the model quantification of the impacts of LAAs in snow.

Although uncertainties still exist, our results show LAAs in snow can significantly affect the snowpack and consequent hydrologic cycle in the Rocky Mountains. Previous studies have demonstrated that snowpack on the Rocky Mountains has declined significantly in the second half of 20th century (e.g., Pederson et al., 2011). The role of LAAs in this decrease of snowpack is still unknown. It would be interesting to investigate the role of LAAs and compare it with those of other climate factors (such as natural climate variability and greenhouse gas concentrations). Moreover, BC and dust emissions may also be subject to changes in the future. Therefore, for better projections of future changes in Rocky Mountain snowpack, the impacts of LAAs in snow under future emissions scenarios need to be taken into account.

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41575095), and Chinese Academy of Sciences "The Belt and Road Initiatives" Program on International Cooperation: Climate Change Research and Observation Project (grant 134111KYSB20160010). We thank the team for maintaining the Interagency Monitoring of PROtected Visual Environments (IMPROVE) network and making the observation dataset available to use (http://vista.cira.colostate.edu/Improve/improve-data/). We also thank Dr. Sarah Doherty from the University of Washington and Dr. S. McKenzie Skiles from Jet Propulsion Laboratory, California Institute of Technology for providing the observations of absorbing aerosols in snow and helpful suggestions on use of the data. We thank Alan M. Rhoades and Paul A. Ullrich from University of California, Davis as well as Colin M. Zarzycki from NCAR for helpful discussions during this study. We would like to acknowledge the use of computational resources by conducting the model simulations (ark:/85065/d7wd3xhc) at the NCAR-Wyoming Supercomputing Center provided by the NSF and the State of Wyoming, and supported by NCAR's Computational and Information Systems Laboratory. The simulation results can be obtained by contacting the corresponding author X. Liu (xliu6@uwyo.edu).

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Table 1. Observations of BC mass concentration in snow column (C_{BC}, ng g^{-1}, i.e., ng gram BC per g snow) in the Rocky Mountain region compiled from previously published literature.

No.	Latitude (°N)	Longitude (°W)	Elevation (m)	Date sampled	C_{BC} (ng g^{-1})[a]	Source
1	40.9014	115.8910	1949	2/1/13	7.6	Site 8 of Doherty et al. (2014)
2	42.2767	116.0115	1772	2/1/13	5.6	Site 9 of Doherty et al. (2014)
3	43.3495	115.3968	1538	2/3/13	6.0	Site 10 of Doherty et al. (2014)
4	43.5927	113.5894	1942	2/3/13	5.8	Site 11 of Doherty et al. (2014)
5	43.4010	111.2053	1727	2/4/13	6.8	Site 12 of Doherty et al. (2014)
6	42.9357	109.8576	2274	2/4/13	6.3	Site 13 of Doherty et al. (2014)
7	41.7297	109.3668	2223	2/5/13	29.1	Site 14 of Doherty et al. (2014)
8	40.7464	109.4776	2583	2/5/13	9.3	Site 15 of Doherty et al. (2014)
9	40.1316	109.4711	1538	2/7/13	14.3	Site 16 of Doherty et al. (2014)
10	40.4929	107.8994	1962	2/8/13	9.5	Site 17 of Doherty et al. (2014)
11	40.6695	106.4158	2512	2/9/13	11.4	Site 18 of Doherty et al. (2014)
12	48.2318	105.0949	648	2/17/13	10.9	Site 24 of Doherty et al. (2014)
13	44.9475	116.0813	1528	1/27/14-3/24/14	9.8 (5.4)	Site McCall of Doherty et al. (2016)
14	44.4224	115.9899	1450	2/1/14-3/4/14	13.3 (9.5)	Site Cascade Valley of Doherty et al. (2016)

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15	44.0949	115.9771	960	2/1/14-3/4/14	14.9 (8.9)	Site Garden Valley of Doherty et al. (2016)
16	40.143	109.467	1620	1/28/13-2/21/13 1/17/14-2/13/14	33.6 (25.4)	Site Vernal of Doherty et al. (2016)
17	37.9069	107.7113	3368	3/25/13-5/18/13	5.5 (1.7)	At Senator Beck Basin Study Area (SBBSA) (Skiles and Painter, 2016b)

[a]: If multi-measurements of C_{BC} are made during the observation period, the mean C_{BC} is given with the standard deviation of C_{BC} shown in parenthesis next to the mean C_{BC}.

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Table 2. Winter (December-January-February) and spring (March-April-May) mean surface shortwave radiative effect (SRE; W m^{-2}) due to BC alone, dust alone and BC and dust together in snow, as well as surface air temperature (SAT; ºC) change and the efficacy of SRE in SAT change in the five regions (see Figure 1c). Note that SRE induced by BC and dust together is slightly larger than the sum of SRE induced by BC and SRE by dust separately.

Season	SRE by BC[a]	SRE by dust[a]	SRE by BC & dust	SAT change	Efficacy[b]
			Northern Rockies		
Winter	0.13 (92%)	0.01 (8%)	0.14	0.08	0.57
Spring	0.42 (79%)	0.11 (21%)	0.57	0.32	0.56
			Greater Yellowstone region		
Winter	0.24 (88%)	0.03 (12%)	0.28	0.004	0.014
Spring	1.11 (71%)	0.45 (29%)	1.70	0.50	0.29
			Southern Rockies		
Winter	0.36 (77%)	0.11 (23%)	0.50	0.17	0.34
Spring	0.79 (58%)	0.58 (42%)	1.58	0.30	0.19
			Eastern Snake River Plain		
Winter	0.50 (84%)	0.09 (16%)	0.62	0.93	1.5
Spring	0.54 (73%)	0.20 (27%)	0.80	1.13	1.41
			Southwestern Wyoming		
Winter	0.33 (81%)	0.08 (19%)	0.43	0.93	2.16
Spring	0.43 (67%)	0.22 (33%)	0.70	0.90	1.29

[a]: The fraction of SRE by BC (dust) to the sum of SRE by BC and SRE by dust is given in parenthesis next to SRE by BC (dust).

[b]: Efficacy of snow/ice albedo forcing (ºC increase per 1 W m^{-2}) is defined as the ratio of SAT change to SRE.

Figure captions:

Figure 1. (a) Model meshes for variable resolution (uniform 1° with refined 0.125° in the Rocky Mountains) used in VR-CESM. Note that each element shown contains additional 3×3 collocation gridcells. (b) Terrain height (m) in the western US for the variable resolution grid used in VR-CESM (section 2). The refined region at a resolution of 0.125º is surrounded by dashed lines. (c) Five regions identified for the analysis in this study, including three mountainous region (1, Northern Rockies; 2, Greater Yellowstone region; 3, Southern Rockies) and two regions in the plains around the mountains (4, Eastern Snake River Plain; 5, Southwestern Wyoming).

Figure 2. Spatial distribution of cold season (winter and spring) mean (a) BC emission flux and (b) near-surface BC concentration from the VR-CESM simulation; (c) and (d) for dust emission flux and near-surface dust concentration, respectively. Also shown are the IMPROVE stations (blue open circle) selected for model validation, with the size of the circles from small to large indicating the magnitude of observed near-surface BC/dust concentrations. The black rectangles in (b) and (d) denotes the five regions (A, West Coast; B, Rocky Mountains; C, Utah and Nevada; D, Southwestern US; E, Great Plain), which will be used to classify the stations in Figure 3. Note that units for BC and dust concentrations are ng m^{-3} and µg m^{-3}, respectively.

Figure 3. Comparison of cold season (winter and spring) mean near-surface (a) BC and (b) dust concentrations at IMPROVE stations from VR-CESM simulation and IMPROVE observations. Also given are the mean results at all the stations from simulation and observations and their correlation coefficient (*R*). The 1:1 (solid) and 1:5/5:1 (dash) lines are plotted for reference.

Figure 4. Winter (December-January-February (DJF); left) and spring (March-April-May (MAM); right) mean BC (upper row) and dust (bottom row) mass mixing ratios in snow column. Also shown are the stations for observations of BC mass in snow column (a, b) and for observations of dust mass in snow column in the San Juan Mountains and Grand Mesa (denoted by the diamond and square, respectively; c, d). Note that the units for BC and dust mass mixing ratios are given in different units, i.e., ng g^{-1} and µg g^{-1}, respectively.

Figure 5. Comparison of BC mass concentrations in the snow column (C_{BC}) at the 17 sites (see Table 1) from VR-CESM simulations and observations with the error bars

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denoting the corresponding standard deviations. The observations are compiled from the previously published studies (Table 1). If multiple observations are recorded at a certain site, the observed standard deviations are calculated from these multiple observations (section 3). Simulated BC mass concentration in the snow column and its standard deviation are calculated from the 25-year mean and standard deviation of simulation on the same month/day as the observations (section 3). The 1:1 (solid) and 1:5/5:1 (dash) lines are plotted for reference.

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Figure 6. Winter (December-January-February (DJF), left) and spring (March-April-May (MAM), right) mean surface shortwave radiative effect (SRE, W m^{-2}) induced by BC (top) and dust (bottom).

Figure 7. Monthly variations of surface radiative effect (SRE; W m^{-2}) during the water year (October 1st to September 30th) averaged over the Northern Rockies, Greater Yellowstone region, Southern Rockies, Eastern Snake River Basin, and Southwestern Wyoming, respectively.

Figure 8. Changes in surface air temperature (upper row; °C), snow water equivalent (middle row; mm), and snow cover fraction (bottom row; %) in winter (left) and spring (right) induced by BC- and dust-in-snow. The crosses denote the regions where changes are statistically significant at 0.1 level.

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Figure 9. As Figure 8, but for total precipitation change (top), rainfall change (center), and snowfall change (bottom). The unit is mm day^{-1}.

Figure 10. (a–c) Wintertime temperature (°C) and (e-f) zonal winds (m s^{-1}) averaged at 102–125°W from CTL and NoSDE simulations and their difference. Note that zonal winds are averaged for a range of longitudes, which correspond to the east-western boundary of western U.S. including the Rocky Mountains and upwind regions (Figure 1).

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Figure 11. Seasonal evolution of (a) surface air temperature, (c) snow water equivalent, and (e) snow cover fraction and their changes due to SDE (b, d, and f) averaged over the Eastern Snake River Plain.

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Figure 12. As Figure 11, but for Southwestern Wyoming.

Figure 13. Snowmelt change (mm day^{-1}) due to SDE of BC and dust in four seasons: (a) December-January-February (DJF), (b) March-April-May (MAM), (c) June-July-August (JJA), and (d) September-October-November (SON). The crosses denote the regions where changes induced by SDE are statistically significant at 0.1 level.

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Figure 14. As Figure 13, but for runoff change (mm day^{-1}).

Figure 15. Seasonal evolution of total runoff including surface and subsurface runoff (left) and their change (right) in the Northern Rockies (top), the Greater Yellowstone region (center), and Southern Rockies (bottom). The unit is mm day^{-1}.

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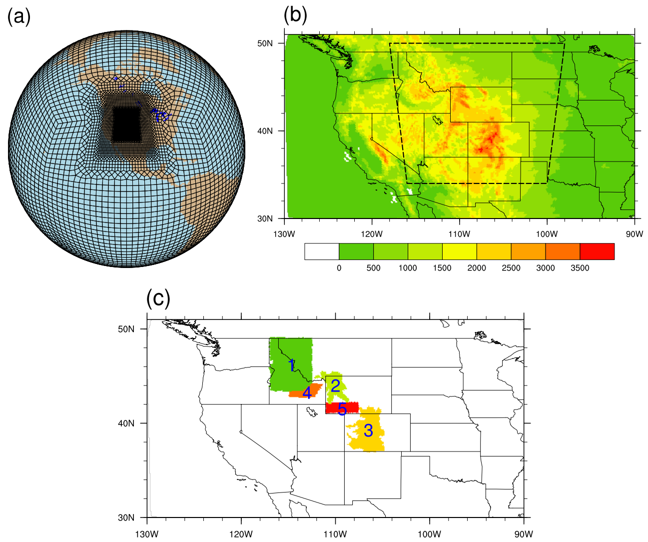

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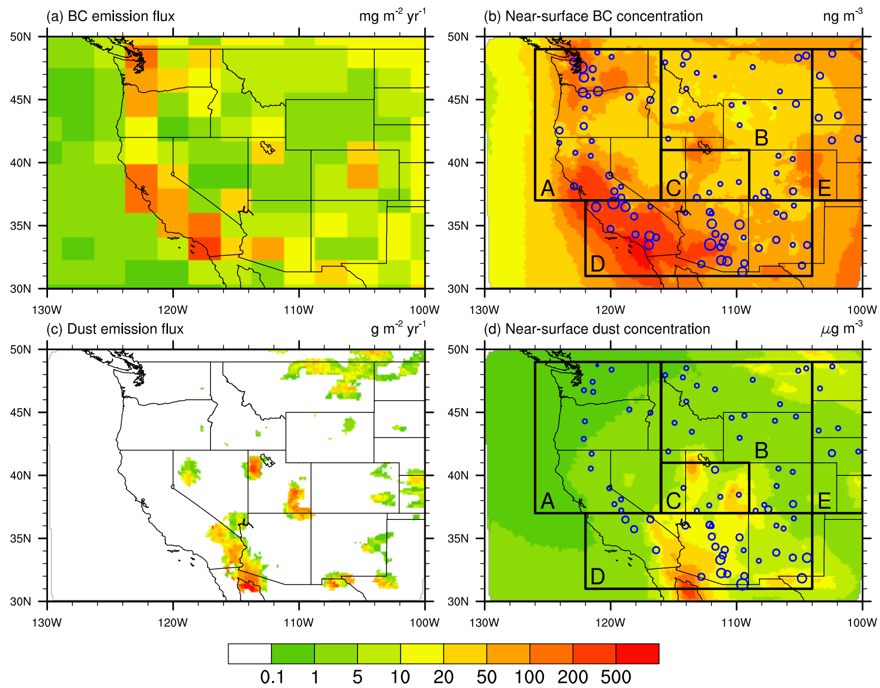

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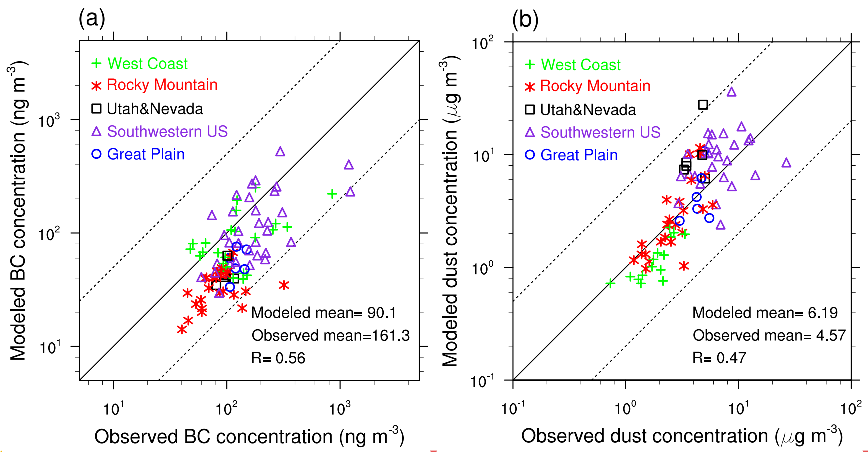

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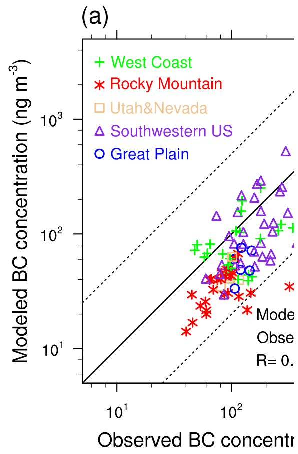

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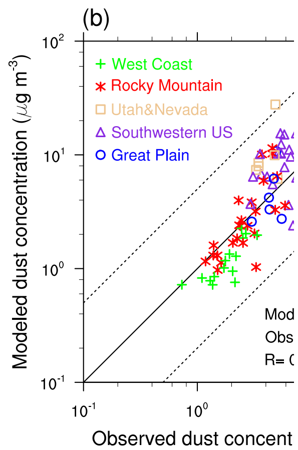

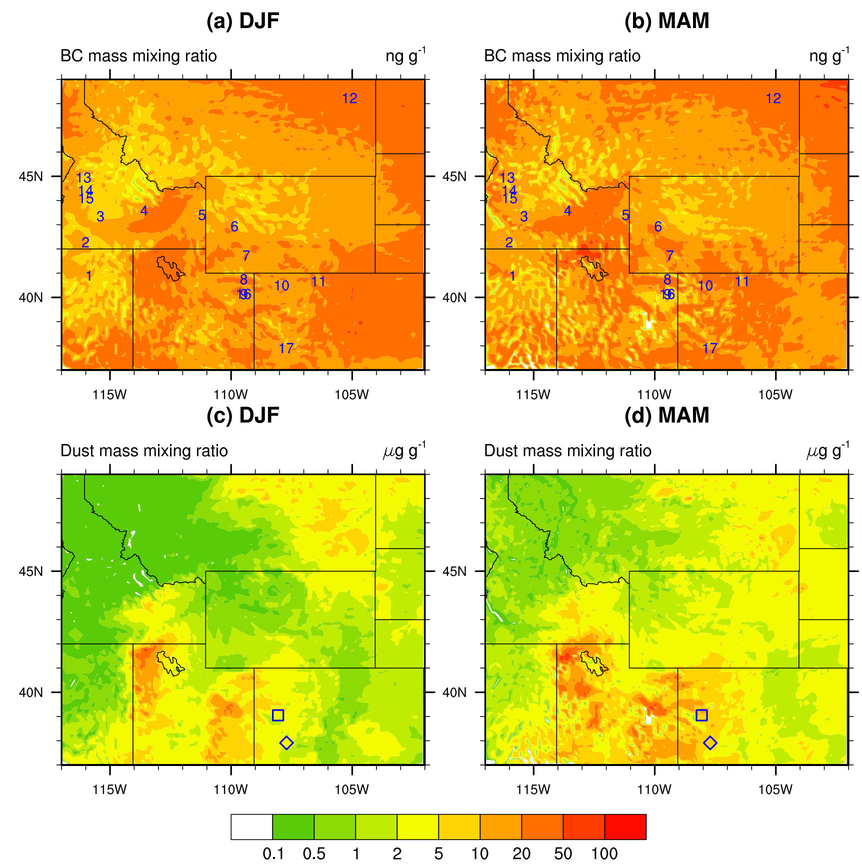

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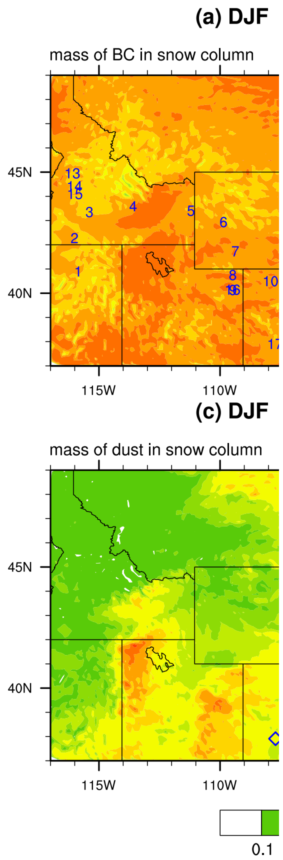

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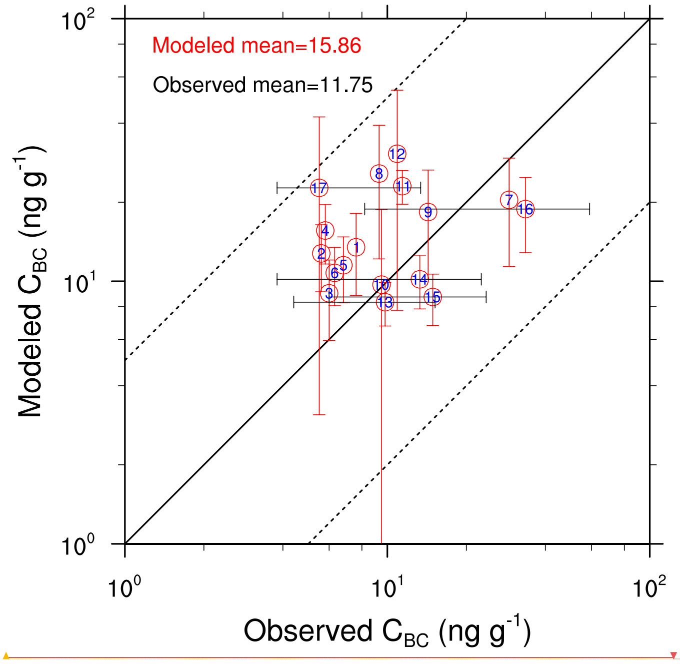

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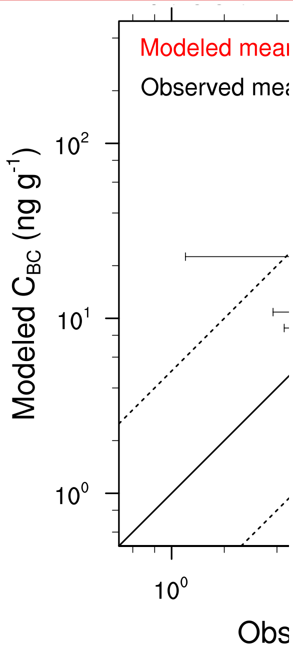

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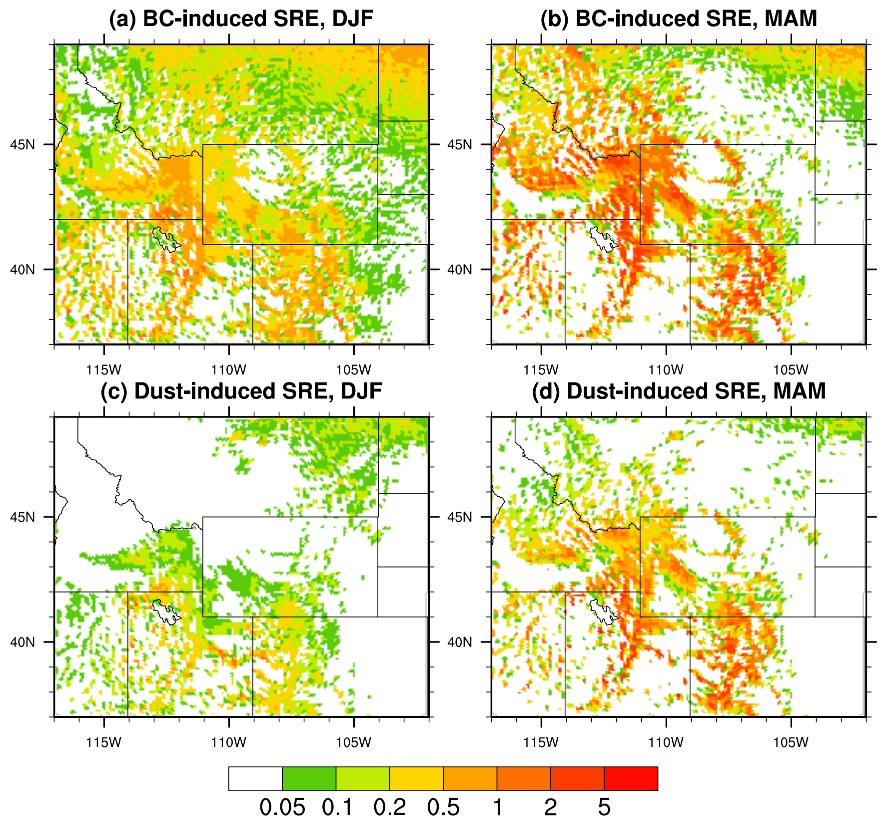

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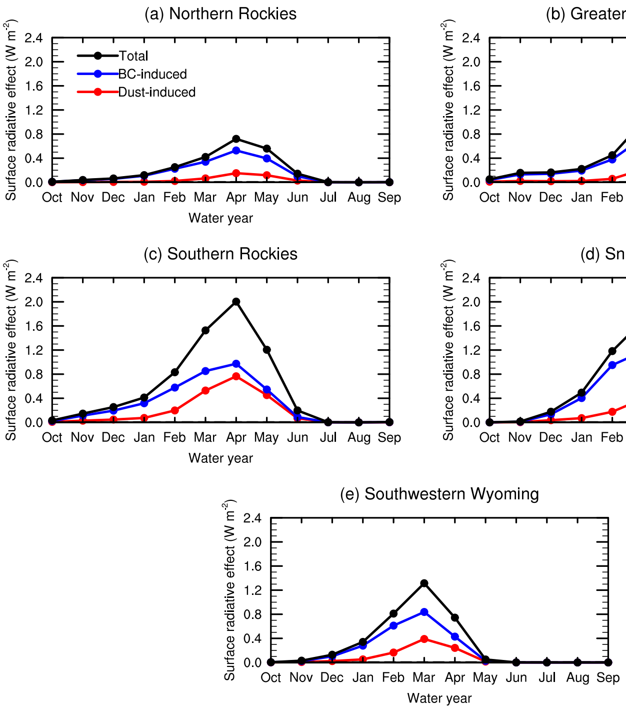

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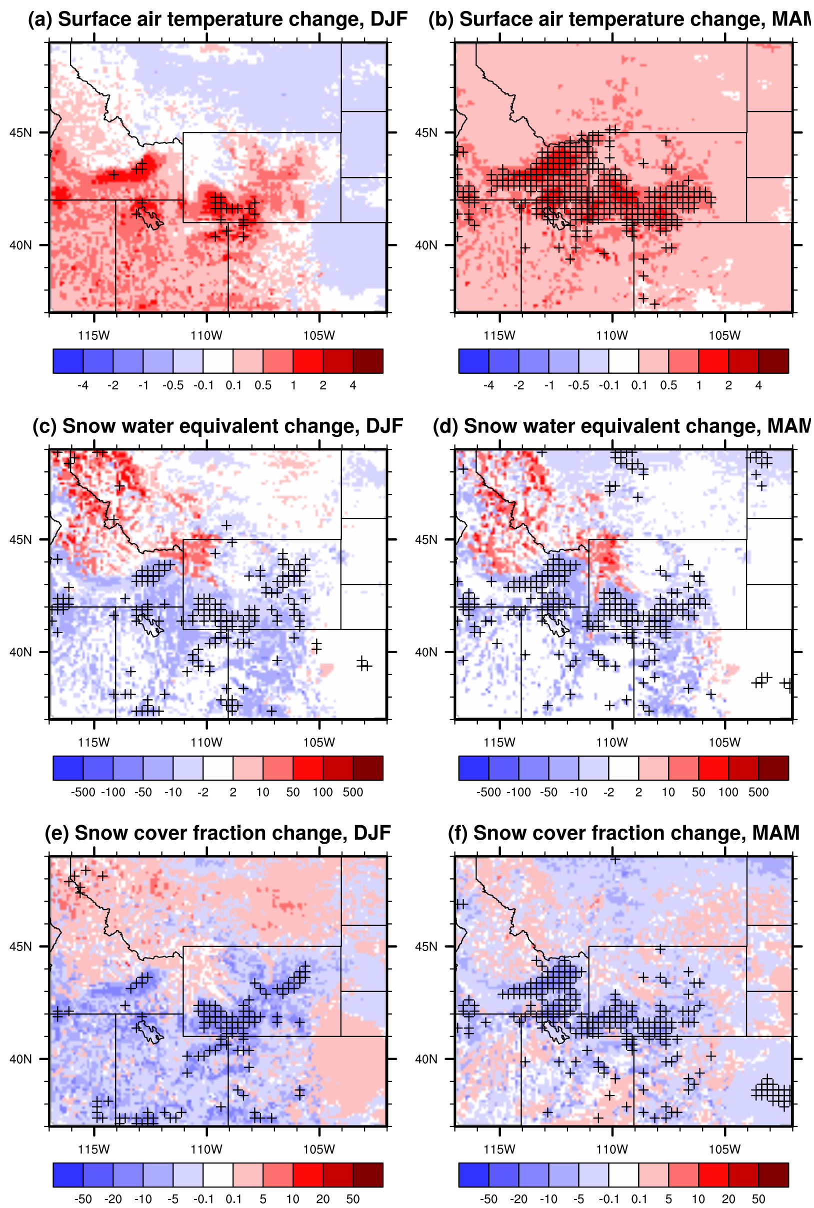

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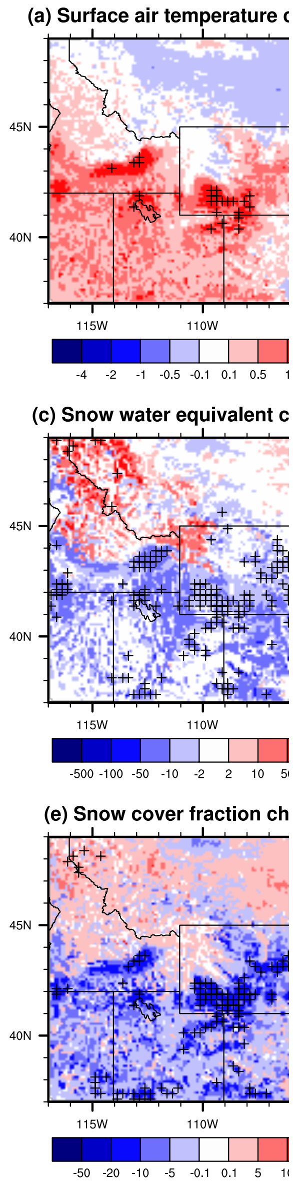

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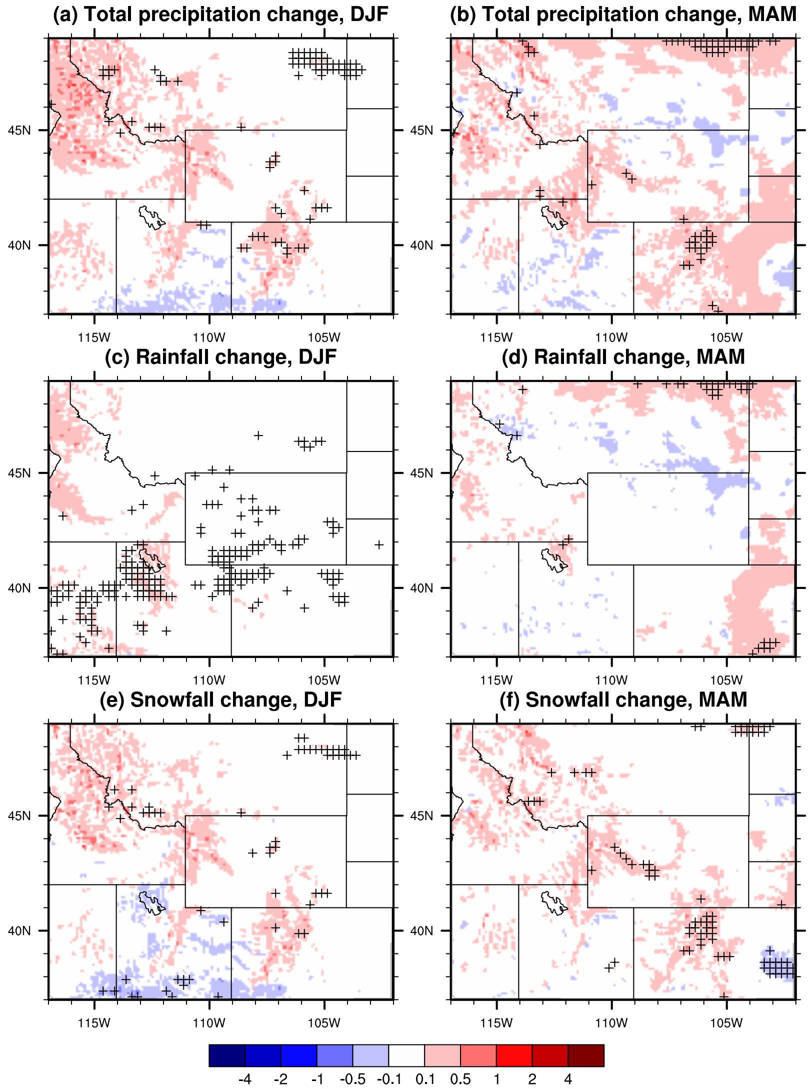

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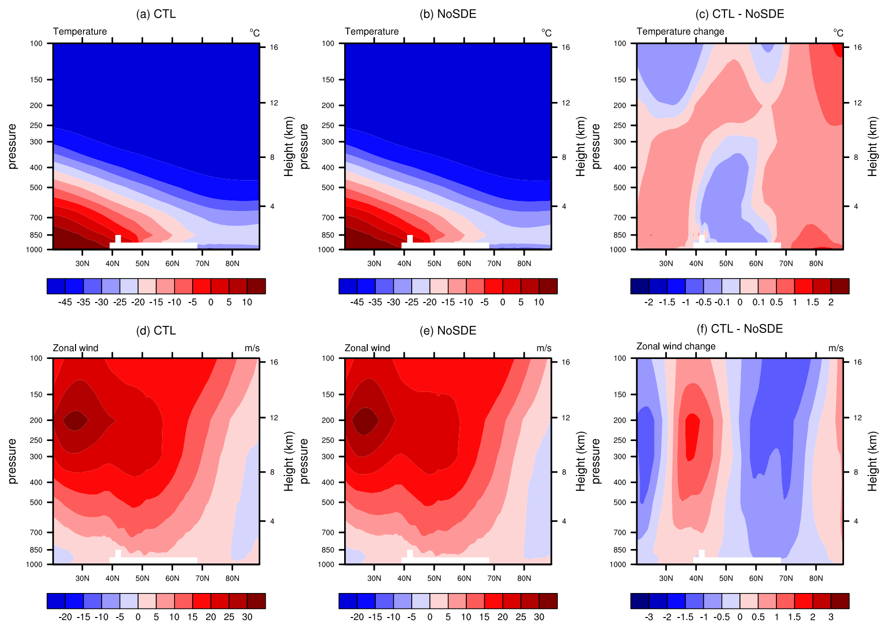

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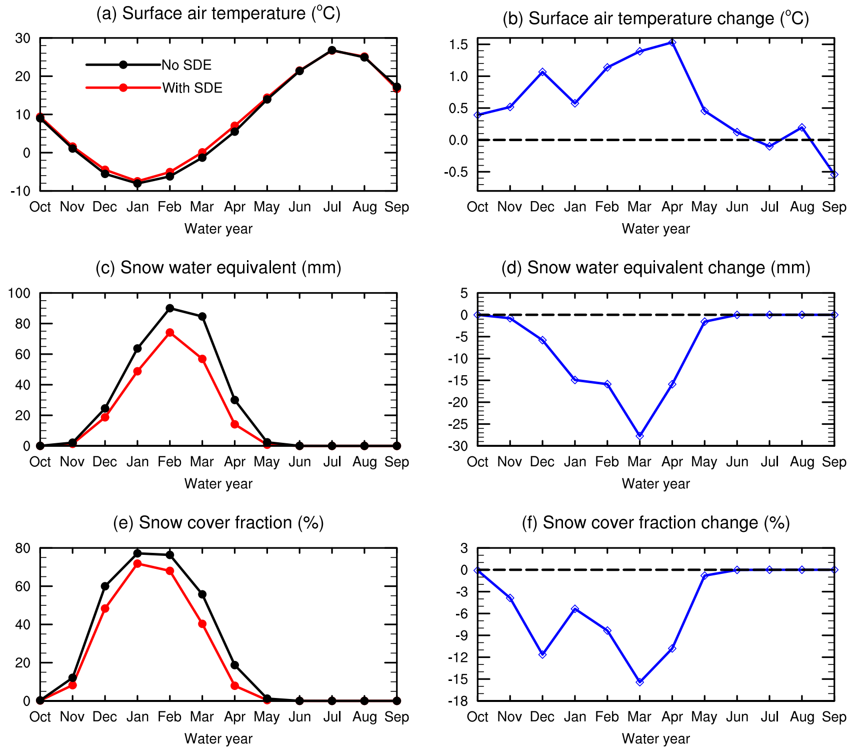

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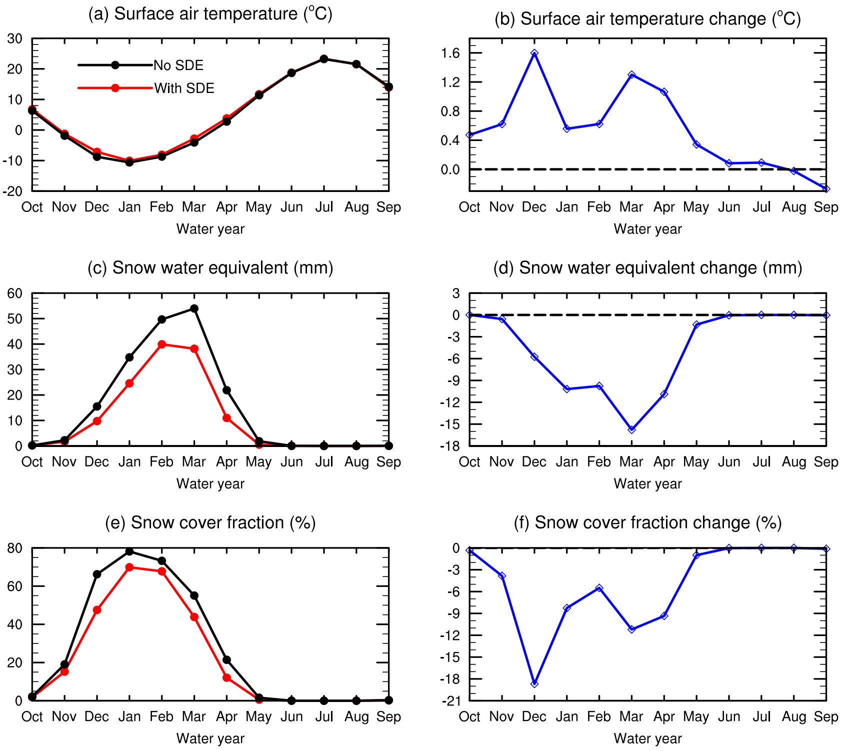

Figure 12. As Figure 11, but for Southwestern Wyoming.

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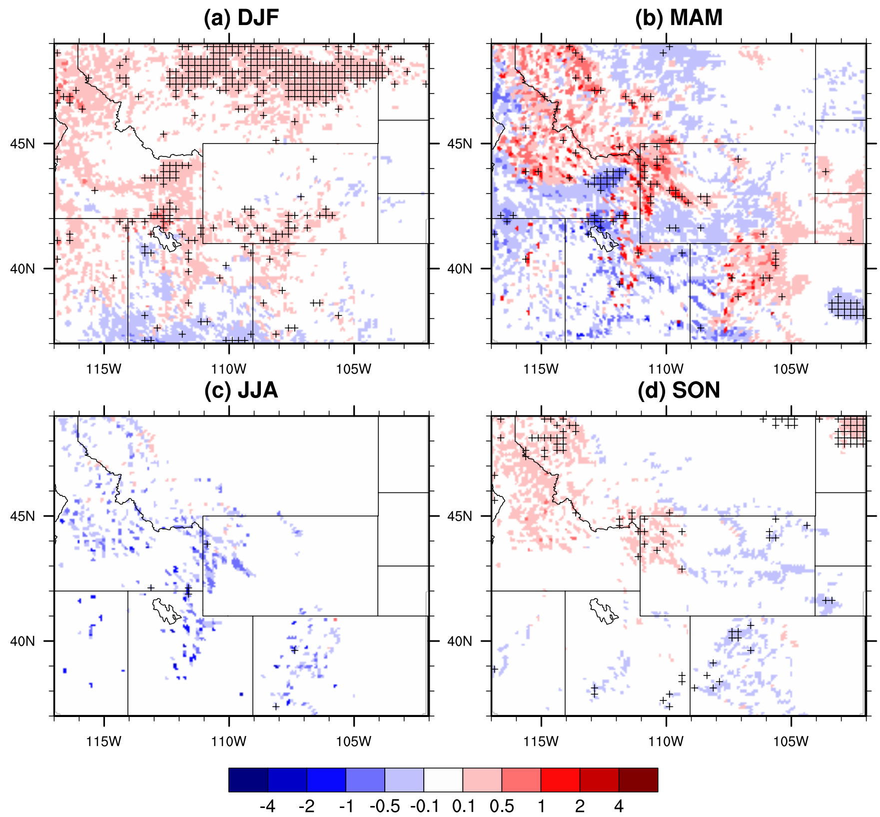

Figure 13. Snowmelt change (mm day^{-1}) due to SDE of BC and dust in four seasons: (a) December-January-February (DJF), (b) March-April-May (MAM), (c) June-July-August (JJA), and (d) September-October-November (SON). The crosses denote the regions where changes induced by SDE are statistically significant at 0.1 level.

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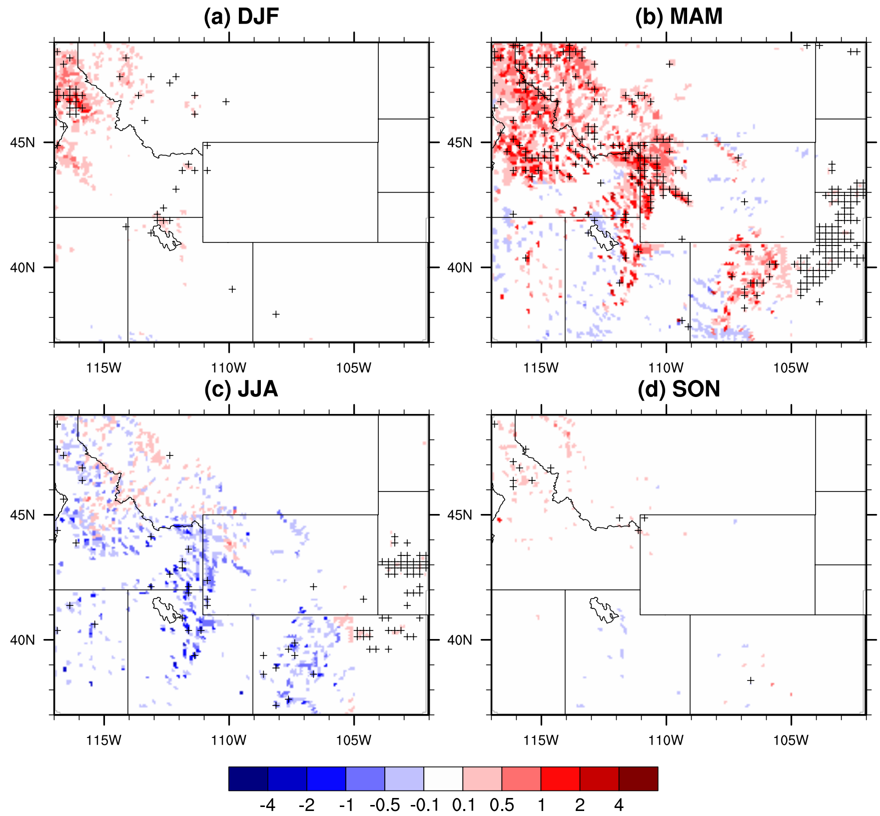

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1637 **Figure 14.** As Figure 13, but for total runoff change (mm day^{-1}).

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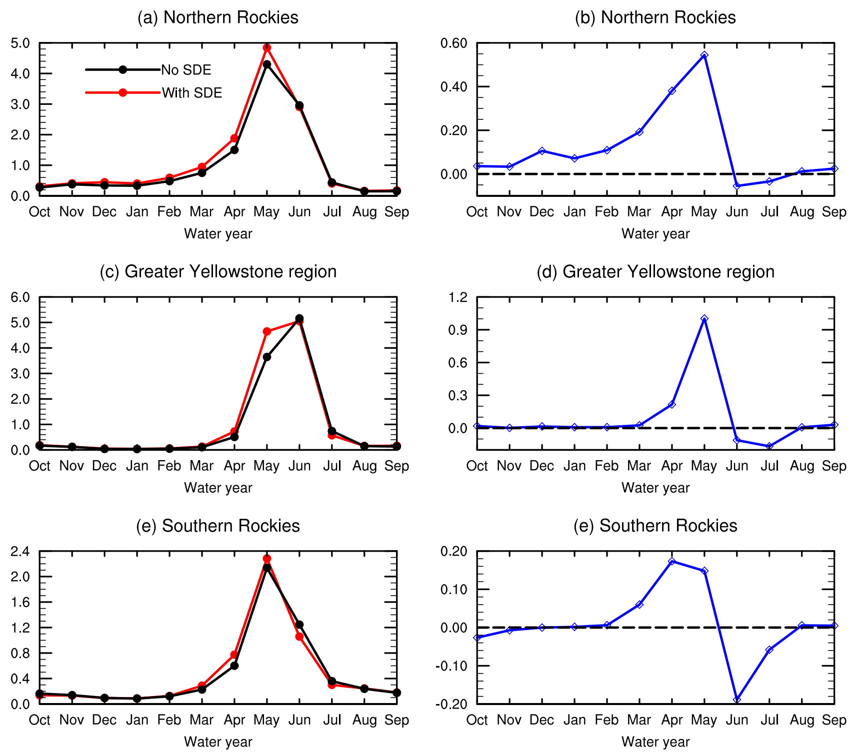

Figure 15. Seasonal evolution of total runoff including surface and sub-surface runoff (left) and their change (right) in the Northern Rockies (top), the Greater Yellowstone region (center), and Southern Rockies (bottom). The unit is mm day^{-1}.

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