

# Ground-based observation of molecular clusters and nucleation mode particles in the Amazon

Daniela Wimmer<sup>1</sup>, Stephany Buenrostro Mazon<sup>1</sup>, Hanna Elina Manninen<sup>1,2</sup>, Juha Kangasluoma<sup>1</sup>, Alessandro Franchin<sup>1,3,4</sup>, Tuomo Nieminen<sup>1,5</sup>, John Backman<sup>6</sup>, Jian Wang<sup>8</sup>, Chongai Kuang<sup>8</sup>, Radovan Krejci<sup>7</sup>, Joel Brito<sup>9,10</sup>, Fernando Goncalves Morais<sup>9</sup>, Scot Turnbull Martin<sup>11</sup>, Paulo Artaxo<sup>9</sup>, Markku Kulmala<sup>1</sup>, Veli-Matti Kerminen<sup>1</sup> and Tuukka Petäjä<sup>1</sup>

<sup>1</sup>Department of Physics, University of Helsinki, Gustaf Hallströmin katu 2a, 00560, Helsinki, Finland

<sup>2</sup>European Organization for Nuclear Research (CERN), 1211 Geneva, Switzerland

<sup>3</sup>NOAA Earth System Research Laboratory (ESRL), Chemical Sciences Division, Boulder, CO, USA

<sup>4</sup>Cooperative Institute for Research in Environmental Sciences, University of Colorado Boulder, Boulder, CO, USA

<sup>5</sup>Department of Applied Physics, University of Eastern Finland, Post Office Box 1627, 70211 Kuopio, Finland

<sup>6</sup>Finnish Meteorological Institute, Atmospheric composition research, Erik Palménin aukio 1, 00560, Helsinki, Finland

<sup>7</sup>Stockholm University, Department of Environmental Science and Analytical Chemistry (ACES), 106 91 Stockholm, Sweden

<sup>8</sup>Environmental and Climate Sciences Department, Brookhaven National Laboratory, Upton, New York, USA

<sup>9</sup>Institute of Physics, University of São Paulo, de Física, Universidade de São Paulo, Rua do Matao 1371, CEP 05508-090, São Paulo, Brazil

<sup>10</sup>Laboratory for Meteorological Physics (LaMP), Université Clermont Auvergne, F-63000 Clermont-Ferrand, France

<sup>11</sup>School of Engineering and Applied Sciences, Harvard University Cambridge, Massachusetts 02138, United States of America

Correspondence to: Daniela Wimmer (daniela.wimmer@helsinki.fi)

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## Abstract

We investigated atmospheric new particle formation (NPF) in the Amazon rainforest using direct measurement methods. The occurrence of NPF on ground level in the Amazon region has not been observed previously in pristine conditions. In this work, pristine refers to CCN concentrations of a few hundred cm<sup>-3</sup>. Our measurements extended to two field sites and two tropical seasons (wet and dry). We measured the variability of air ion concentrations (0.8–20

nm) with an ion spectrometer between 2011 and 2014 at the T0t site and between February and October 2014 at the GoAmazon 2014/5 T3 site. The T0t site is surrounded by dense rainforest, mostly unaffected by the Manaus pollution plume. The T3 site, instead is an open pasture site, 70km downwind of Manaus.

The main difference between the two sites is their geographical location. Both sites are influenced by the Manaus pollution plume yet with different frequencies. T0t is influenced by pollution about once per week, where T3 on the other hand is reached once per day/once per every second day, especially in the afternoon (Martin et al., 2010b supplementary material, Thalmann et al, 2017, de Sa et al, 2017). The sampling was performed at ground level at both sites. At T0t the instrumentation was located inside the rainforest, whereas the T3 site was an open pasture site. T0t site is mostly parallel wind to Manaus, whereas T3 site is downwind of Manaus. No NPF events were observed inside the rainforest (site T0t) at ground level during the period Sep 2011- Jan 2014. However, rain-induced ion and particle bursts (hereafter, “rain events”) occurred frequently (306/529 days) at T0t throughout the year but most frequently between January and April (wet season). Rain events increased nucleation mode (2-20 nm) particle and ion concentrations on the order of  $10^4 \text{ cm}^{-3}$ .

We observed 8 NPF events at the pasture site during the wet season. We calculated the growth rates (GR) and formation rates of neutral particles and ions for the size ranges 2-3 nm, 3-7 nm and 7-20 nm using the ion spectrometer data. One explanation for the absence of new particle formation events at the T0t site could be a combination of cleaner air masses and the rainforest canopy acting as an ‘umbrella’, hindering the mixing of the air masses down to the measurement height. Neutral particle growth rates in the 3-7 nm regime showed two phenomena. Growth rates were either about  $2 \text{ nmh}^{-1}$  or about  $14 \text{ nmh}^{-1}$ . There was no clear difference in the sulfuric acid concentrations for NPF event days vs days without NPF. The two major differences between NPF days and non event days are two. A factor of 2 lower condensation sink on NPF days and different air mass origins for the NPF days compared to non event days.

## 1 Introduction

Globally, atmospheric new particle formation (NPF) and growth has been estimated to account for a major, if not dominant, fraction of atmospheric cloud condensation nuclei (Merikanto et al. 2009, Wang and Penner, 2009, Yu and Luo, 2009, Dunne et al., 2016; Kulmala et al., 2016). The formation of atmospheric nanoparticles is a multi-stage process, in which stable clusters

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86 form from gas phase precursors followed by the activation of these clusters ~~and~~ further growth  
 87 (Kulmala et al. 2014). Although atmospheric NPF is occurring frequently in many  
 88 environments (e.g. Kulmala et al. 2004, Manninen et al. 2010), the Amazon basin is one of the  
 89 locations where the initial steps of the formation of nanoparticles have not been previously  
 90 observed from ground based measurements (Martin et al, 2010a). In the Amazon, emissions  
 91 and oxidation of volatile organic compounds (e.g. Lelieveld et al. 2008), aerosol activation to  
 92 cloud droplets, and eventually rain formation, are tightly connected ~~to synoptic processes~~, such  
 93 as ~~deep convection for example (Lelieveld et al. 2008, Wang et al., 2016)~~. Aerosol  
 94 concentrations in the atmosphere are rapidly changing with deforestation and the associated  
 95 biomass burning and economic development in the Amazon region (Martin et al. 2016, Artaxo  
 96 et al., 2013).

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97 The Manaus metropolis (population 2 million) is the capital of the state of Amazonia, Brazil,  
 98 surrounded by the largest rainforest on Earth, as shown in Fig 1 (Martin et al, 2017). The  
 99 measurements discussed in this paper took place at two different locations in the Amazon  
 100 rainforest: a ~~pasture~~ site 70 km downwind from Manaus (T3; Martin et al., 2016), and a site  
 101 inside the rainforest, mostly unaffected by Manaus pollution (T0t; Martin et al., 2010b). The  
 102 sites will be described in more detail in section 2.1. Depending on the wind direction, these  
 103 sites can represent (i) one of the most natural continental locations on Earth, or (ii) a location  
 104 affected by both polluted metropolis and rainforest (Martin et al., 2016). ~~The different~~  
 105 ~~meteorological and aerosol dynamical conditions during the wet and the dry season in the~~  
 106 ~~Amazon basin, offer an interesting natural environment for studying aerosol particle dynamics.~~  
 107 During most of the wet season the Amazon basin is one of the cleanest continental regions on  
 108 Earth (Andreae, 2007; Martin et al., 2010a, Artaxo et al., 2013, Andreae et al., 2015). ~~During~~  
 109 ~~the dry season, the Amazon basin is highly influenced by anthropogenic emissions mostly from~~  
 110 ~~biomass burning. Additionally, our study region experiences frequent high-intensity~~  
 111 precipitation episodes.

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112 The primary goal of this paper was to investigate the occurrence of new particle formation  
 113 (NPF) and growth in the Amazon region, and to quantify the role of ions and aerosol particles  
 114 in this process. No NPF events were observed during the long-term measurements at the site  
 115 largely unaffected by Manaus emissions. A clear correlation between rain intensities and ion  
 116 concentrations was found for both measurement sites. At the more polluted pasture site, we  
 117 observed 8 NPF events, which occurred during the wet season. The data from comprehensive

130 measurements shows that the freshly formed particles were growing to sizes of about 60 nm at  
131 which they start to act as cloud condensation nuclei.

## 132 2 Methods

133 The measurements discussed here were conducted in 2014 outside the rainforest canopy as a  
134 part of the Green Ocean Amazon (GoAmazon2014/5) Experiment (Martin et al., 2016), which  
135 was going on for the period from 1 January 2014 to 31 December 2015. GoAmazon2014/5 was  
136 designed to study the perturbation in cloud and aerosol dynamics by the Manaus emissions.  
137 Our measurement campaign took place during 28 January – 13 October 2014 near the city of  
138 Manacapuru, Brazil, 70 km downwind of Manaus. We compare the campaign data to long-  
139 term measurements made between September 2011 and January 2014. Wet and dry season in  
140 the Amazon are Dec-March and June-September respectively (Martin et al, 2010a). Due to the  
141 measurement periods available for our dataset, we define the dry season as dry and transition  
142 season Apr-Oct.

### 144 2.1. Measurement sites

#### 145 2.1.1 Measurements inside the rainforest

146 The T0t ecological reserve (Martin et al, 2010b) is a terrestrial ecosystem science measurement  
147 site located 60 km north of the Manaus metropolis in the central region of Brazil (-2.609° lat,  
148 -60.2092° lon). Manaus is the capital of the state of Amazonia, Brazil and is located where the  
149 Rio Negro merges with the Solimoes river which then form the Amazon river. The city with  
150 more than 2 million inhabitants is the seventh biggest city in Brazil and is surrounded by 1500  
151 km of forests in all directions (IBGE, 2015; Martin et al., 2016). T0t is mostly unaffected by  
152 the Manaus pollution and is surrounded by dense rainforest. It allows the characterization of  
153 an almost completely undisturbed natural environment (Martin et al, 2016). The rainforest  
154 canopy is homogeneous with an average height of 30 m. A Neutral cluster and Air Ion  
155 Spectrometer (NAIS) was placed inside a hut within the rainforest canopy, with an inlet system  
156 2 m above the ground level. In addition to the ion spectrometer measurements, the  
157 measurement hut hosts a Vaisala system (WXT520) for acquiring meteorological parameters  
158 and a differential mobility particle sizer (DMPS). The DMPS was sampling from an inlet 60 m  
159 above the ground level, therefore sampling aerosols above the canopy. Both the DMPS and the  
160 NAIS were measuring at the T0t site from 2011-2014. For the GoAmazon2014/5 campaign the

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Deleted: Manaus is situated at the confluence of the Black River (Rio Negro) with the Solimões river, which together form the Amazon river. The city is an isolated urban region with a population of more than 2 million people (IBGE, 2015; Martin et al., 2017)

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170 NAIS was moved to a measurement site outside the rainforest canopy, which is described in  
171 Section 2.1.2. The nucleation and growth rates reported here were all determined from the  
172 direct measurements provided by the NAIS.

### 173 2.1.2. Measurements outside the rainforest

174 T3 is a site equipped with an Atmospheric Radiation Measurement (ARM) Climate Research  
175 Facility of the United States Department of Energy, 70 km downwind of the city of Manaus (-  
176 3.2133° lat, -60.5987° lon; Mather et al., 2014) and included an ARM Mobile Aerosol  
177 Observing System (MAOS). The site is an open pasture site, where the Manaus pollution plume  
178 regularly intersects and the rainforest canopy did not hinder mixing. Due to the site location,  
179 T3 is either a pristine environment or highly influenced by the Manaus pollution plume, mainly  
180 depending on the wind direction. This site also hosted numerous instrument systems from other  
181 GoAmazon2014/5 participants (Martin et al., 2016). The same NAIS used at T0t was deployed  
182 at T3 from end of January 2014 onwards. Sub-3 nm neutral particle measurements were done  
183 with a Particle Size Magnifier (PSM). The PSM and NAIS inlets sampled at 2 meters from  
184 ground level.

## 185 2.2 Instrumentation

### 187 2.2.1 Neutral cluster and Air Ion Spectrometer (NAIS)

188 A Neutral cluster and Air Ion Spectrometer (NAIS; Manninen et al., 2016) was used to  
189 determine the early stages of atmospheric nucleation and subsequent growth. The NAIS  
190 measures the mobility distributions in the range  $3.2-0.0013 \text{ cm}^2 \text{V}^{-1} \text{ s}^{-1}$ , which corresponds to  
191 a mobility diameter range of 0.8–42 nm. The ion and particle size distributions are measured  
192 in three different stages: ion, particle and offset. The NAIS consists of two parallel cylindrical  
193 DMA's (Differential Mobility Analyzers), one for classifying negative ions and the other for  
194 positive ions. When in ion mode, corona chargers and electrostatic filters are switched off to  
195 allow only naturally charged ions into the DMA. During the neutral particle mode, the particles  
196 are charged and then filtered by an electrostatic filter to neutralize them before entering the  
197 DMA. The inlet flow into the NAIS is 60 liters per minute (LPM), whereas the sample and the  
198 sheath flows of the DMA's are 30 and 60 LPM, respectively. The NAIS time resolution was  
199 set to 5 min, where a measurement cycle of negative ions, positive ions, and total particles is  
200 included.

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212 The instrument and calibration are described in more details in Asmi et al. (2009), Wagner et  
213 al. (2016) and Manninen et al. (2016). The accuracy of the ion concentration of the NAIS was  
214 estimated to be 10-30%, which was mainly due to flow rate uncertainties (Manninen et al.,  
215 2016; Wagner et al., 2016). During the campaign, the deposition of particulate matter inside  
216 the instrument caused decreasing flow rates between the maintenance periods. This may have  
217 further increased the uncertainty in measured particle sizes and number especially at sizes  
218 bigger than 20 nm.

219

### 220 2.2.2 Particle Size Magnifier (PSM)

221 The instrument we used to determine aerosol particle concentrations at sizes below 3 nm was  
222 a Particle Size Magnifier (PSM; Airmodus A09; Vanhanen et al., 2011). The PSM is a mixing-  
223 type condensation particle counter (CPC), in which the aerosol is turbulently mixed with air  
224 saturated with diethylene glycol (DEG). DEG only grows the particles to about 90 nm, so the  
225 PSM system consists of a second stage, where the particles are grown to optically detectable  
226 sizes. The 50% activation diameter of the instrument can be varied in size range 1–4 nm in  
227 mobility diameter (Vanhanen et al., 2011) by changing the mixing ratio of the saturator and  
228 sample flows. At GoAmazon2014/5, the PSM was used in scanning mode. In scanning mode,  
229 the saturator flow is continuously changing, altering the cut-off diameters from 1-4 nm. One  
230 scan takes 4 min and the system was setup to do one upscan, followed by a downscan. Due to  
231 the challenging measurement conditions, the size resolved data could not be used for the  
232 analysis.

233 Prior to the deployment during the GoAmazon2014/5 campaign, the PSM was equipped with  
234 an inlet system, especially designed to decrease the relative humidity of the sample without  
235 disturbing the sample itself and maintaining high flow rates (10 Lpm) until the actual sampling  
236 to minimize diffusion losses. The inlet system consists of a core sampling probe combined with  
237 a sintered tube. The core sampling probe consists of two cylindrical tubes with different outer  
238 diameters (10 mm and 6 mm). The larger diameter of the outer tube allows up to 10 Lpm  
239 total laminar flowrate, to minimize diffusional losses. The inner tube is directly attached to the  
240 PSM with an airflow of 2.5 Lpm. The excess airflow is discarded into an exhaust line  
241 (Kangasluoma et al, 2016). Downstream of the core sampling line is a sintered tube where dry

242 pressurized air is introduced. The water molecules in the sample flow are pushed towards the  
243 outer walls of the sinter material by diffusion, drying the airflow.

244 Laboratory studies have shown that the RH affects the counting efficiency of the PSM  
245 drastically (higher sensitivity at smaller sizes at higher RH; Kangasluoma et al, 2013, Iida et  
246 al, 2009). The PSM with the inlet was calibrated, using limonene and its oxidation products  
247 (Kangasluoma et al, 2014) as the test aerosol. We expect the aerosol sample in Brazil to be  
248 mostly organic species, hence the decision to calibrate with limonene. The resulting lowest cut-  
249 off diameter of the PSM was 1.5 nm ( $\pm 0.3$  nm). The estimated error is a combination of  
250 calibration uncertainty and the influence of the ambient RH on the cut-off diameter of the PSM.  
251 To our knowledge, this is the first time when results from ground-based sub-3 nm aerosol  
252 particle measurements are shown for the Amazon rainforest. In total, 38 days of data obtained  
253 during the dry season were used.

### 254 2.2.3 Supporting instrumentation at T0t site

255 Submicron aerosol number size distributions and total particle number concentrations were  
256 monitored with a DMPS system (Aalto et al., 2001) and a CPC. The time resolution of the CPC  
257 with a cut-off of about 6 nm was 1 minute. The DMPS measured number size distributions  
258 over the mobility diameter range of 6–800 nm (Backman et al., 2012). The complete size  
259 distribution is obtained in a 10-minute time resolution. The DMPS system was designed so that  
260 the size segregated aerosols were measured for 8 minutes and for the remaining 2 minutes of  
261 the 10 min cycle, total particle number concentrations were measured with the CPC directly  
262 using a bypass valve. The line losses for the DMPS were estimated to be about 50% for particles  
263 smaller than 4 nm in diameter during the AMAZE-08 Experiment (Martin et al., 2010b). For  
264 the measurements reported here, a similar setup with a 60 m sampling line was used. The  
265 DMPS data reported here are qualitative, not quantitative, as the losses due to diffusion in the  
266 sampling line are not precisely known and therefore not taken into account in the data presented  
267 later in this manuscript. Formation and growth rates were analyzed using NAIS data only.  
268 Meteorological data from a Vaisala weather station includes temperature, relative humidity,  
269 wind speed and wind direction, and precipitation intensity.

### 270 2.3 Measurement periods: wet and dry season

271 The differences in tropical seasons - the wet and dry seasons - allowed for an additional  
272 comparison between two contrasting environmental conditions (Martin et al., 2016, Artaxo et

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al., 2013). The aerosol particle population in the Amazon basin is a mixture between pristine conditions and anthropogenic influence, which is mostly dominated by biomass burning emissions. Biomass burning emissions are strongest during the dry and transition season (April to October), whereas in the wet season (December to March), the Manaus plume aside, the Amazon basin is one of the cleanest continental regions on Earth (Andreae, 2007; Martin et al., 2010a). The most intense biomass burning and atmospheric perturbations take place at the southern and eastern edges of the forest (Brito et al., 2014), however their transport impact the whole basin. During the dry season, the wet deposition decreases whereas the condensation sink increases. That leads to an overall increase in aerosol concentration in the accumulation mode of about one order of magnitude even in remote areas (Artaxo et al., 2013).

Planetary boundary layer development has also a seasonal behavior: stable nocturnal layer and a strong vertical mixing during daytime. The vertical mixing can be enhanced during the wet season as particles are lifted out of the mixed layer due to convective clouds. The nocturnal layer on the other hand traps the emissions near the surface, which can be more pronounced during dry season, as biomass burning usually starts at midday and continues into evening hours (Martin et al, 2010a). The boundary layer development is also different at the two different measurement sites. It develops more rapidly in the pasture area, causing a more efficient vertical mixing compared to the site enclosed by rainforest. From our observations, we conclude that the main differences in the dynamics of the aerosol particle population at the two measurement sites is due to the 'umbrella effect' of the rainforest canopy.

## 2.4 Data analysis

All the available data from the NAIS was cleaned for potential instrumental noise. The cleaning process was done visually using the particle and ion size distributions as surface plots. The NAIS can measure both naturally charged ions and neutral particle size distributions. We present data from both measurements in the following. Based on this initial screening, the decision was made whether one or more of the electrometers was reliable or not and the non-reliable data was removed. On 44.7% of the days the cleaning procedure was applied. Mostly the particle data in the smaller size ranges (up to 3 nm) was unreliable. The procedure follows the guidelines introduced by Manninen et al., 2010. We observed an unexplained increase in the concentrations of the cluster ions in the NAIS towards the end of October 2013 to January 2014 at the T0t site. This increased level continued when the NAIS was taken to the T3 site. We consider this drift instrumental. By comparing the 2014 concentrations of the NAIS

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321 channels to those prior to the increase (January 2012 and 2013), a correction factor of 1.8 was  
322 applied to the 4 smallest size channels of the NAIS (0.8-1.25 nm) to account for the drift for  
323 the subsequent data.

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324 Rain-induced ion events were selected as a day which included an ion burst coincided with the  
325 onset of precipitation. Median and maximum (95 percentile) ion concentrations were calculated  
326 during the time when the rain intensity was  $>0 \text{ mm hr}^{-1}$  ( $>0.1 \text{ mm hr}^{-1}$  for the T3 site, as the  
327 rain data from the T3 site showed some rain signal on almost all of the days). In some of the  
328 days, rain occurred sporadically several times per day. In order to take this into account, two  
329 separate rain events were classified as such if they occurred  $>1 \text{ hr.}$  apart from the end of the  
330 first and the start of the second. Any fluctuations in the rain intensity for a shorter time period  
331 than 1 hr was considered to be part of a single rain event.

332 The new particle formation event analysis from the ion spectrometer data, including the event  
333 classification and formation and growth rate calculations, followed the already well-defined  
334 guidelines (Kulmala et al., 2012). In the data analysis, the first step was to classify all available  
335 days into NPF event and non-event days according to methods introduced earlier by Hirsikko  
336 et al. (2007) and Manninen et al. (2010). The days which do not fulfill the criteria of an event  
337 or non-event day, are categorized as undefined days. However, no days were classified as  
338 undefined days in this study. The classification was done visually using daily contour plots of  
339 particle number size distributions. The second step in the analysis was to calculate various  
340 quantities related to each NPF event, such as the particle growth rate (GR) and formation rate  
341 ( $J$ ). Both growth and formation rates were calculated for three different size bins (2-3 nm, 3-7  
342 nm and 7- 20 nm in diameter) using both ion and neutral particle data from the NAIS. The  
343 particle growth rate was determined by finding the times at which the maximum concentrations  
344 of ions/particles in each of these size bins occurred. A fit between the points was then applied  
345 to determine the GR. The particle formation rate was determined for lower end of each size bin  
346 (2, 3 and 7 nm) by taking into account the growth rates, condensation sink and coagulation  
347 sink.

### 348 3 Results

349 All the times mentioned below are local Manaus time (LT), which is Coordinated Universal  
350 Time (UTC)  $-4 \text{ h.}$

### 3.1 Number concentrations of ions and particles at the two sites

An overview of the observed number concentrations of ions and particles as well as ambient conditions at the two measurement sites is presented in Table 1. We divided the measured ions into three sub-size ranges: cluster ions (0.8-2 nm), intermediate ions (2-4 nm) and large ions (4-20 nm) and the same for neutral particles. The lower and upper limits of the intermediate ion size range vary in the scientific literature (see Hirsikko et al., 2011 and references therein). Here, 2-4 nm was chosen, as this size range seems to work well in differentiating between atmospheric new particle events and non-events when using ion measurements (Leino et al., 2016). Additionally, the wet and dry seasonality characteristic for the Amazon (Rissler et al. 2006, Martin et al. 2010a) can be observed in the concentration of the large ions (4-20nm): the local biomass burning during the dry season seems to increase large ion concentrations, whereas during the wet season their concentrations are decreased most likely due to wet deposition and reduced source strengths.

Particle and ion concentrations were, in general, higher at the open pasture T3 site, downwind of Manaus. The average concentrations of 4 – 20 nm particles were up to a factor of 3 higher in comparison to the less polluted site (T0t). The environmental variables were relatively similar between the two sites, the temperature and RH being slightly lower at the pasture site compared with the inside rainforest site.

#### 3.1.1 Inside rainforest site (T0t)

Figure 2 shows the monthly variability of particles in two size ranges (0.8-2nm, 2-4 nm) for the 2011-2014 period. The cluster ions had a median concentration of 814 cm<sup>-3</sup> and 968 cm<sup>-3</sup> (wet) and 605 cm<sup>-3</sup> and 765cm<sup>-3</sup> (dry) for negative and positive ions, respectively. These medians are higher than those found in several other locations (eg. urban Paris, Dos Santos et al. 2015; coastal Mace Head, Vana et al. 2008 and Finokalia, Kalvitis et al. 2012; Puy de Dome, Rose et al. 2016), but comparable to those reported at a boreal forest site in Hyytiälä, Finland (Hirsikko et al. 2005). Higher cluster ion concentrations have been reported in an Australian rainforest in Tumbarumba (Suni et al. 2008) and in a wetland site in Abisko (Svennigsson et al., 2008), both sites having concentrations of ~2400 (1700) cm<sup>-3</sup> for negative (positive) ions. The size bin of 2-4 nm had a median concentration of 5-11 cm<sup>-3</sup> for both negative and positive ions. Large ions 4-20 nm had a median negative (positive) ion concentration of 84 (147) cm<sup>-3</sup>.

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(wet) and 132 (162) cm<sup>-3</sup> (dry) when considering the 149 days (out of 524 days), that had data for this size range. These values are comparable, for example, to intermediate and large ion concentrations found in coastal Mace Head (Vana et al. 2008) outside the periods of rain or active NPF. In general, the positive cluster ion concentrations are higher in all the cluster ion and intermediate ion size classes for all the months. Table 2 summarizes the annual concentrations of ions and total particles for the three size bins.

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Differences between the wet (Dec-Mar) and dry and transition season (Apr-Oct) were also observed in the diel cycle of the ion and particle concentration. Positive and negative cluster ion concentrations were, on average, higher during the wet season compared to the dry season as shown in Table 1.

Deleted: In both seasons, there were more positive than negative cluster ions (Table 1). The lower concentrations of negative ions are expected due to the Earth's ground 'electrode' effect, in which negative ions are pushed away from the Earth surface (Hoppel W. A., 1967). Additionally, cluster ions (0.8-2 nm) showed slightly higher concentrations in the morning and evening, compared to other times of the day. Enhanced median cluster ion concentrations in the early morning have also been reported elsewhere, likely due to higher radon concentration levels at that time of the day (Hirsikko et al 2011 and references therein). A dip in the median ion concentration after midday coincides with a higher median concentration of large ions, which is a sign of a larger sink for cluster ions. Intermediate and large ions (2-4 nm and 4-20 nm) had only one daytime peak in their concentration in both seasons, similar to the total particle concentrations shown in Figure 3. For 2-4 nm ions, this occurred in the late afternoon and was more pronounced during the wet season compared with the dry season for both polarities. The peak does not seem to be a result of the wet season's rain-induced ion bursts (Horrak et al. 1998), as discussed in more detail in Section 3.2. Lastly, 4-20 nm ions peaked at around midday during the wet season, while their diel pattern was more irregular during the dry season. The negative 4-20 nm ions had the highest concentrations (>1000 cm<sup>-3</sup>) during the dry season, most likely due to biomass burning and weaker wet deposition. This feature could not be observed for positive large ions.

The total concentrations of 2-4 nm and 4-20 nm neutral particles had similar daytime peaks with otherwise stable night-time concentrations (Fig. 3). The median concentration of 2-4 nm neutral particles was ~500 cm<sup>-3</sup>, which about a factor 100 higher than the median concentration of 2-4 nm ions. Similar to ions in the same size range, 4-20 nm particles peaked at around midday, reaching values of about 1000 to 2000 cm<sup>-3</sup>.

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### 3.1.2. Outside rainforest site (T3)

The median ion and particle number concentrations during the wet and dry season at the T3 site, outside the canopy and downwind of Manaus, are given in Table 1. The diel cycles of ion and neutral particle concentrations at this site appeared to be very similar in both wet and dry season. The cluster ions showed a clear 24 hr cycle: in the mornings (00:00-07:00) their concentrations were ~1500 cm<sup>-3</sup> for negative ions, then decreased to ~1000 cm<sup>-3</sup> and eventually increased back to ~1500 cm<sup>-3</sup> after 18:00. This daytime decrease in the concentration is most likely due to the dilution of the boundary layer. The intermediate ions (2-4 nm) showed higher concentrations between 03:00 and 06:00 during the dry season compared with the wet season.

The total particle concentration measured by the MAOS CPC (>10 nm total particle concentration) did not show any diel seasonal cycle. The median total particle concentrations were about a factor of 1.5 higher during wet season (about 1000 cm<sup>-3</sup>) compared with the dry season (about 700 cm<sup>-3</sup>).

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### 3.2 Rain-induced ion formation events at inside rainforest measurement site

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467 While no NPF events were observed inside the rainforest site (site T0t), rain-induced ion burst  
468 events (hereafter, rain-events) were common and observed during 306 out the 524  
469 measurement days. Since multiple rain episodes could occur in a single day, each rain event  
470 was investigated separately, giving a total of 579 rain-events. Figure 4 shows an example of  
471 multiple rain-events that took place during 24 January 2013 (wet season). It is clear from this  
472 Figure that the negative ions in the size ranges of 1-3 nm and 3-7 nm increased during the  
473 precipitation. A similar feature for 2-8 nm negative ions during rain events has also been  
474 reported for an Australian rainforest (Sun et al. 2008). Positive ions increased only in the 3-7  
475 nm size range, and showed even a decrease in the 1-3 nm size range during the time of the  
476 precipitation.

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478 Rain-induced bursts are likely a result of a balloelectric effect, in which splashing water  
479 produces intermediate ions such that the negative ions are smaller in size than the positive ions  
480 (Horrak et al., 2005, Hirsikko et al., 2007, Tammet et al., 2009). The duration of the 579 rain  
481 events varied from a couple of minutes to 22 hours, with over half the rain events lasting for  
482 two hours or less. The rain events were more common during the wet season, peaking in August  
483 which can be considered as transition season (Fig. 5) when also the median rain intensity was  
484 higher. Although less frequent, rain-induced particle bursts were also observed during the dry  
485 season. Wang et al., 2016 reported the production of small aerosol particles, as a result of new  
486 particle formation at cloud outflow regions and further transport into the boundary via strong  
487 convection during precipitation events in the Amazon. In the study by Wang et al. the <20 nm  
488 particle concentrations decrease very rapidly, therefore we suggest the process that we observe  
489 to be a local one, as described above. Also, the production of ions we observed only lasted for  
490 the duration of the precipitation, whereas Wang et al., observed a change in the size spectrum  
491 that lasted for hours even after the precipitation event.

492 Figure 6 shows the correlation between the median ion concentration and rain intensity during  
493 each rain event. While no clear correlation between these two quantities was found, some  
494 specific features were apparent. First, at the inside canopy site (T0t), the highest cluster ion and  
495 2-4 ion concentrations occurred almost entirely during rather strong rain intensities. Second, at  
496 the site outside the rainforest (T3) shown in the same Figure for comparison, some log-linear  
497 relation between the ion concentration and rain intensity could be observed for rain intensities  
498 >1 mm h<sup>-1</sup> for all the three size bins.

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501 Rain events were evident also when looking at the total particle concentrations measured by  
 502 the NAIS, as depicted in Figure 7. ~~The first rain event showed a maximum of about 40 mmh<sup>-1</sup>~~  
 503 ~~and the second one about 10 mmh<sup>-1</sup>.~~ In this example, the rain intensity peaks twice, the first  
 504 one at ~09:00 followed by a second one at ~11:00. The ion and particle concentrations  
 505 ~~measured by the NAIS~~ followed these two peaks closely. Additionally, the DMPS data showed  
 506 an appearance of nucleation mode particles between 6 and 10 nm following the onset of rain.  
 507 The DMPS was sampling at a height of 60 m, which is well above the rainforest canopy. The  
 508 concentration of these 6-10 nm particles increased to ~20 cm<sup>-3</sup> during the rain event, while  
 509 being below 5 cm<sup>-3</sup> throughout the day outside this peak. The 10-20 nm particle concentration  
 510 showed first a decrease followed by a slight increase up to ~35 cm<sup>-3</sup>, peaking later than the 6-  
 511 10 nm particles. However, it is unlikely that these ~~10-20 nm particles originate from~~ the same  
 512 rain-induced burst as seen inside the canopy, ~~as there is no apparent particle growth from the~~  
 513 ~~NAIS measurements. It is unlikely that those particles survive until the top of the canopy, as~~  
 514 ~~the tree leaves would filter them out.~~ Wang et al. (2016) reported that nucleation mode particles  
 515 produced in cloud outflows will be transported down with the rain, such that they can be  
 516 observed at the ground level as an increase in nucleation and Aitken mode concentrations (Dp  
 517 <50 nm). The appearance of 6-10 nm particles with its peak concentration, ~~could present a~~  
 518 similar scenario of small particles brought down from the free troposphere.

### 520 3.3 New particle formation events at T3

521 Table 3 summarizes the overall statistics collected at the ~~pasture~~ site (T3). We observed no  
 522 NPF events during the dry season, while on 12% of the days during the wet season we ~~did~~  
 523 observe NPF. Similar event frequency has been observed in Finnish boreal forest environment  
 524 during autumn for example (Kontkanen et al., 2017). An earlier study by Backman et al. (2012)  
 525 showed that in metropolitan area of São Paulo (population 20 million), Brazil, NPF events  
 526 occurred on 18% of the days.

527 From the NAIS measurements, a total of 113 days were available for the outside canopy  
 528 measurements. For the wet season, the data from 28 January until 31 March were used (64  
 529 days) and for the dry season the data from 29 August until 13 October was used (46 days). Due  
 530 to technical issues, no NAIS data were available for the period 1 April – 28 August 2014. The  
 531 PSM measurements were carried out during the dry season only. In total, 38 days of the PSM  
 532 data were used and the results are shown in Figure 8. The PSM was used in ~~scanning mode but~~

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Deleted: and subsequent increase in the 6-20 nm particle concentration,

Deleted: However, any possible above-canopy source would be masked by such high rain-induced concentrations inside the canopy, and it is likely that the dense rainforest canopy would filter small particles before reaching the ground.

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546 due to challenging environmental conditions, only the data measured at the highest  
547 supersaturation (total particle concentration at >1.5 nm) is shown.

548 The PSM shows a similar diel pattern as the cluster ion concentrations measured by the NAIS  
549 (see Fig. 9). We observed a higher median concentration during the early morning (03:00-  
550 06:00), a dip in this concentrations during the early afternoon (12:00-15:00), and then a higher  
551 median concentration in the evening (18:00-24:00). This could be explained by the Carnegie  
552 curve (Harrison, R. G. and Carslaw, K. S., 2003), which shows the diel variation of the  
553 ionospheric potential.

554 We selected all eight NPF event days to characterize the behavior of ions and aerosol particles  
555 during the particle formation bursts. A comparison of the diel cycle for particles and ions for  
556 nucleation versus no nucleation event days is shown in Figure 9. The cluster ions showed a  
557 clear diel cycle with higher concentrations in the morning and evening both for NPF and non  
558 ~~event~~ days. A clear increase in the concentration of the intermediate ions (2-4 nm) occurred  
559 during the NPF event days, which is due to the growth of the ions out of the cluster ion size  
560 range (0.8-2 nm). The intermediate ion concentration increased at around 09:00, suggesting an  
561 onset of the particle formation after sunrise when the boundary layer rises and mixing starts.  
562 The number concentration of 4-20 nm total particles rose within the time window (09:00-12:00)  
563 during the nucleation events, while on non NPF days these particles showed highest  
564 concentrations after the sunrise (06:00) and sunset (18:00). The total particle concentration  
565 measured by the MAOS CPC showed a clear concentration increase on NPF event days starting  
566 from 09:00, which clearly indicates that the particles had grown from the smaller sizes to >10  
567 nm, which is the lowest detection limit of the MAOS CPC. No clear diel pattern from the  
568 MAOS CPC measurements was visible on the non ~~event~~ days.

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569 The type of NPF events that we observed are likely of regional nature, requiring relatively  
570 homogenous air masses for at least a few hours (Vana et al., 2004, Manninen et al., 2010). The  
571 most likely explanation that no new particle formation events have been observed at the T0t  
572 site compared to the T3 site are either the lack of sources of SO<sub>2</sub> to form sulfuric acid in the  
573 more remote site. Another explanation might be that the sampling at the less polluted T0t site  
574 was performed within the canopy, so the mixing of the boundary layer is hindered by the  
575 presence of the rainforest canopy. The gaps, or fluctuations, in the distinct shape of NPF could  
576 be caused by some degree of heterogeneity in the measured air masses. All the NPF events  
577 occurred during daytime, starting at around 09:00. Sunrise takes place at 06:00 in the Amazon

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basin. All the NPF events occurred during the wet season, which might be due to the lower condensation sink at this time of the year, as shown in Table 1. The median sulfuric acid concentrations as measured by a quadrupole HOx CIMS (Martin et al, 2016, supplementary material) resulted in about  $9 \times 10^5 \text{ cm}^{-3}$  both for nucleation event days and non event days. Similar values have been reported for Finnish boreal forest measurement site in autumn, where also about 12% of the days were classified as nucleation event days (Kontkanen et al., 2017).

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Back trajectory calculations using HYSPLIT (<http://ready.arl.noaa.gov/hypub-bin/trajtype.pl?runtype=archive>) showed a clear difference in air mass origin arriving to the measurement site between NPF and non event days. Back trajectories were calculated 24h backwards, arriving at 13:00 UTC (09:00 LT) on the NPF days at 500m a. s. l. The same calculations were performed for each day before/after an NPF day. If an NPF event occurred on two consecutive days, the day after both events was used for the non event day back trajectory calculations. On NPF days, the 50<sup>th</sup> percentile of air masses originate from about -1.6°lat, -56.5°lon, and 738.9 m a. s. l. On non NPF days, the back-trajectory calculations show origin at -2.6°lat, -56.6°lon, and 537.4 m a. s. l. These air masses all originate from upstream of the Amazon river, where the NPF day air mass originate from further north, which is an area with dense rainforest. The results of the back trajectory calculations are shown in Figure 10. The red line shows the median of an ensemble of the non event days and the blue line for NPF days.

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Fig. 11 shows an example of a NPF event observed at the outside canopy (T3) site for MAOS SMPS and both negative ion and total particle concentration, as measured by the NAIS. The surface Figure of the SMPS clearly shows a second mode starting from the smallest channel at around 10:00. The intermediate ion concentrations showed a clear increase during this NPF event, starting at 09:00, with a continuous growth at 10:00 to the smallest size channel of the MAOS SMPS as indicated by the black line in the lowest panel of Figure 11. The same can be observed in the large particles and ions (4-20 nm) channel from the NAIS.

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Deleted: The median diameter of the smallest particle mode measured by the MAOS SMPS decreased at the start of the NPF event, followed by its continuous growth up to about 60 nm.

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Table 4 shows a comparison of the median particle and ion concentrations (25<sup>th</sup> – 75<sup>th</sup> percentiles in brackets), as well as the condensation sink for the time window 09:00-12:00 between the NPF event and non-event days. Clearly, the condensation sink was lower for the NPF event days ( $0.001 \text{ s}^{-1}$ ) compared with the non-event days ( $0.003 \text{ s}^{-1}$ ). We also compared environmental variables, including the temperature, relative humidity, wind direction and precipitation. The biggest differences were the factor of 1.6 higher median concentration of

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intermediate (2-4 nm) ions for the event days. The environmental variables indicated that there was no precipitation during any of the classified NPF events. On two classified NPF event days, there was precipitation before or after the NPF events (starting at 06:00 and 17:00 respectively). The median RH was about the same and the median wind direction was 83° during event days compared to 105° during non-event days. The temperature was relatively similar between the NPF event and non-event days.

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Table 5 shows the calculated GRs, particle formation rates and condensation sinks for each of the classified NPF event day. Both these quantities were determined for three different size ranges (2-3, 3-7 and 7- 20 nm), and calculated separately for the ion and particle data. The results show considerably lower ion formation rates compared with neutral particle formation rates, consistent with observations made at most other continental sites (Manninen et al., 2010; Hirsikko et al., 2011). The growth rates of particles and ions were comparable to each other and typically smaller for the 2-3 nm size range compared with the 3-7 and 7-20 nm size ranges. An increase in the particle/ion growth rate with an increasing particle size has been reported earlier in a few other sites (see Häkkinen et al., 2013, and references therein).

We observed two regimes when looking at the neutral 3-7 nm GR. On 3 days, the GR were about 2 nm h<sup>-1</sup>. Those days showed sulfuric acid concentrations of about 2\*10<sup>6</sup> cm<sup>-3</sup>. According to theoretical calculations about 10<sup>7</sup> cm<sup>-3</sup> of sulfuric acid can account for 1 nm h<sup>-1</sup> (Nieminen et al., 2010). It is most likely that other compounds are participating to the growth. The other NPF event days, showed GR of about 14 nmh<sup>-1</sup> for the same 3-7 nm size range. On those days, the sulfuric acid concentration was even lower (about 6\*10<sup>5</sup> cm<sup>-3</sup>). These GR are most likely driven by organic compounds (Tröstl et al., 2016). Tröstl et al. calculated that about 10<sup>6</sup>-10<sup>7</sup> of highly oxidized organic compounds are required to explain GR of about 10 nmh<sup>-1</sup>.

#### 4 Summary and Conclusions

We performed direct observations of atmospheric new particle formation (NPF) events in the Amazon area with state-of-the-art aerosol instrumentation. The measurement campaigns were carried out at two observation sites (T0t and T3) in the vicinity of Manaus city in Brazil. One of these sites was located inside the rainforest (T0t), providing long-term (Sep 2011-Jan 2014) measurement data to complement data from a pasture site (T3).

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668 No NPF events were observed inside the canopy during the period Sep 2011-Jan 2014.  
 669 However, we observed rain-induced ion and particle burst events (“rain-events”) inside the  
 670 rainforest during 306 of the 529 days. Concentrations of 2-4 nm and 4-20 nm ions and total  
 671 particles were enhanced by up to 3 orders of magnitude during such rain-events ( $\sim 10^4$ - $10^5$   $\text{cm}^{-3}$   
 672 <sup>3</sup>). The rain events occurred throughout the year, but were most frequent during the wet season  
 673 (December to March) when also the median rain intensity was the strongest. Multiple rain  
 674 events could occur during the same day, totaling 579 rain events in 306 rainy days. The duration  
 675 of the rain events ranged from a couple of minutes to 22 hours, but over 50% of the events  
 676 lasted for <2 hours. Overall, the median positive cluster ion (0.8-2 nm) concentrations was  
 677 higher than that of negative cluster ions, as can be expected from the Earth’s electrode effect  
 678 (Hoppel, W. A., 1967). However, during the rain-events 0.8-2 nm and 2-4 nm negative ions  
 679 dominated over similar-size positive ions. Similar rain-events were found at the pasture site  
 680 (T3). The production of small (0.8-2 nm) and intermediate ions (2-4 nm) during rain events  
 681 reached a maximum of  $10^4$   $\text{cm}^{-3}$  at the pasture site, where it was one order of magnitude higher  
 682 at the T0t site. Large ion concentrations reached similar concentrations during rain events at  
 683 both measurement sites.

684 Outside the rainforest, we observed a clear diel pattern in the cluster ion concentration during  
 685 both wet and dry season, with higher concentrations during the morning and evening compared  
 686 with other times of the day. The results from the PSM showed a very similar pattern: the median  
 687 diel cycle of >1.5 nm particles showed a higher concentration in the early morning and a dip  
 688 in the afternoon followed by an increase in the evening after sunset. The diel pattern was less  
 689 pronounced inside the rainforest, which indicates that the rainforest canopy acts as a sink for  
 690 newly formed particles and hinders vertical mixing.

691 We observed eight NPF events showing particle growth at site T3 outside the canopy during  
 692 Jan-March 2014, which is during the wet season. The formation rates were considerably higher  
 693 for neutral particles compared with ions during the NPF events. The growth rates of newly  
 694 formed ions and particles were comparable to each other and showed a clear increase with  
 695 increasing size in the sub-20 nm size range. We found two different regimes for GRs in the 3-  
 696 7 nm size range. We found 3 out of 8 NPF days with GR of about 2 nm h<sup>-1</sup> and 4 out of 8 NPF  
 697 days with GR of about 14 nm h<sup>-1</sup>. The sulfuric acid concentrations were the same for the  
 698 nucleation event days and non-event days (approx.  $9 \cdot 10^5$   $\text{cm}^{-3}$ ). The back-trajectory  
 699 calculations using HYSPLIT did not show any clear difference between days with small GR

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710 compared to days with high GR. Nevertheless, a clear difference in air mass origin on NPF  
711 days compared to the same number of days without NPF was observed. Most likely the  
712 observed growth on all the NPF days is driven by highly oxidized organic compounds (Tröstl  
713 et al., 2016). The back-trajectory calculations show air mass origin over rainforest area on NPF  
714 days, vs the Amazon river on days where no NPF was observed. As shown by the SMPS, the  
715 particles grew to sizes of around 60 nm during all the NPF events, which means they are able  
716 to act as cloud condensation nuclei (McFiggans et al, 2006; Andreae and Rosenfeld, 2008;  
717 Kerminen et al. 2012). There were clear differences in median cluster and intermediate ion  
718 concentrations between the NPF event days and non-event days for the time window of 09:00-  
719 12:00. The median cluster ion concentration was lower, and the median intermediate ion  
720 concentration was higher by a factor of 1.6, during the NPF event days compared with non-  
721 event days. The condensation sink was lower during the NPF event days (0.0016) compared  
722 with non-event days (0.003). No precipitation was observed during any of the NPF events.  
723 Most likely, during the dry season the condensation sink is too high for new particle formation.

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1058 **Tables**

1059 Table 1. A comparison between the sites during wet and dry season. Outside canopy site values  
1060 on the left, inside canopy on the right. The months chosen for the wet season for inside the  
1061 canopy are Jan-Mar and Dec-Mar for inside the canopy. Dry season includes Aug-Oct and  
1062 July-Sept for outside and inside canopy. Aerosol and ion parameters from the NAIS  
1063 measurements listed are ion concentrations in three size bins (0.8-2, 2-4 and 4-20 nm). Neutral  
1064 particle concentrations in two different size bins from the NAIS (2-4 and 4-20 nm) and total  
1065 particle concentrations (>10 nm) from CPC measurements and condensation sink from the  
1066 SMPS. The numbers present diel medians and in brackets 25<sup>th</sup>-75<sup>th</sup> percentiles. Environmental  
1067 parameters are temperature, relative humidity, precipitation, wind direction.  
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	<u>Pasture site (T3)</u>	<u>Inside rainforest (T0t)</u>		
Particle and ion concentrations				
	<i>Wet</i>	<i>Dry</i>	<i>Wet</i>	<i>Dry</i>
Cluster ions (0.8-2 nm) [cm <sup>-3</sup> ]	1000 (-) (836-1500)	988 (-) (688-1400)	814 (-) (641-1051) 968(+) (790-1178)	605(-) (465-801) 765(+) (604-1003)
Intermediate ions (2-4 nm) [cm <sup>-3</sup> ]	7 (-) (3-14)	8 (-) (4-16)	5(-) (2-10) 11(+) (7-17)	4(-) (2-8) 11(+) (7-16)
Large ions (4-20 nm) [cm <sup>-3</sup> ]	58 (-) (27-107)	56 (-) (30-106)	84(-) (40-178) 147(+) (62-410)	132(-) (52-425) 162 (80-329)
Intermediate particles (2-4 nm) [cm <sup>-3</sup> ]	579 (286-943)	550 (276-927)	477 (252-810)	591 (323-1003)
Large particles (4-20 nm) [cm <sup>-3</sup> ]	1000 (547-2150)	922 (552-1600)	308 (169-690)	530(-) (250-1070)
CPC total particles (>10 nm) [cm <sup>-3</sup> ]	1000 (533-1352)	731 (411-2000)	-	-
SMPS condensation sink [s <sup>-1</sup> ]	<u>1.6</u> e-3 (9.5e-4-2.4e-3)	<u>3.8</u> e-3 (2.3e-3-6.1e-3)	-	-
Environmental parameters				
Temp [°C]	25.7 ( <u>24.4 – 28.5</u> )	26.1 ( <u>24.5 – 29.5</u> )	24 (23-25)	24 (23-26)
RH [%]	94.8 ( <u>79.6 – 98.8</u> )	92.8 ( <u>78.1 – 97.4</u> )	97 (93-98)	96 (90-98)
Precipitation <u>rate</u> [mm hr <sup>-1</sup> ]	<u>0</u> (0-0.15)	<u>0</u> (0-0.16)	<u>1.5</u> (0-6.5)	<u>0.4</u> (0-3.9)
<u>Total average precipitation</u> [mm]	<u>6.3</u> (5.6-13)	<u>3.2</u> (0.3-13.6)	<u>7.5</u> (3.4-30)	<u>6.5</u> (2.3-13.9)
Wind direction [°; relative to north]	<u>86.7</u> (40.85 - 156)	<u>107.6</u> (38 - 224)	97 (58-143)	97 (60-147)
<u>Wind speed</u> [m s <sup>-1</sup> ]	<u>1.5</u> (0.7 – 2.6)	<u>1.1</u> (0.5 – 2.2)		

Deleted: Outside canopy  
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1077 Table 2. Annual statistics for ion and total particle concentration in T0t inside canopy site for  
1078 the period of 2011-2014. Values represent median (25<sup>th</sup>-75<sup>th</sup> percentiles).  
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Size bin	Negative ion	Positive ions	Total particles
0.8-2 nm	723 (537-1012)	879 (683-1124)	-
2-4 nm	5 (2-9)	10 (7-16)	521 (278-889)
4-20 nm	85 (40-182)	151 (68-382)	380 (192-872)

1080  
1081 Table 3. New particle formation (NPF) characteristics at the outside canopy (T3) site.  
1082 Classified NPF event and rain-induced ion event frequencies.  
1083

	NPF days	Undefined	Non-events	Rain events	No-rain events
Wet season (Jan-Mar)	8/64 (12.5%)	0/64 (0%)	57/64 (89%)	61/64 (95%)	04/64 (6%)
Dry season (Aug-Oct)	0/46 (0%)	0/46 (0%)	46/46 (100%)	15/46 (32.6%)	34/46 (74%)

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Table 4. The parameters shown are from the outside canopy site for nucleation/no nucleation event days. Median total particle concentration measured by a CPC, measured by the NAIS in two size ranges (2-4 and 4-20 nm) and negative ion concentrations from the NAIS in three size ranges (0.8-2, 2-4 and 4-20). The median values are calculated for the time window 09:00 – 12:00 as this is the time window of NPF events. The numbers in the brackets represent the 25<sup>th</sup> and 75<sup>th</sup> percentile. The second part of the table includes median numbers of environmental parameters for the whole day: temperature, RH, Precipitation and wind direction for NPF /no NPF days. The main differences are the condensation sink and the wind direction.

Particle and ion concentrations_09:00 – 12:00 LT		
	NPF day	Non NPF day
Cluster ions (0.8-2 nm) [cm <sup>-3</sup> ]	800 (-) (692-905)	870 (-) (687-1000)
Intermediate ions (2-4 nm) [cm <sup>-3</sup> ]	13 (-) (6-23)	8 (-) (4-15)
Large ions (4-20 nm) [cm <sup>-3</sup> ]	83 (-) (44-137)	62 (-) (25-119)
Intermediate particles (2-4 nm) [cm <sup>-3</sup> ]	606 (303-969)	547 (522-1600)
Large particles (4-20 nm) [cm <sup>-3</sup> ]	1000 (604-1600)	970 (238-1000)
Full day data		
SMPS Condensation sink [s <sup>-1</sup> ]	1.6e-3 (8.4e-4-2.6e-3)	3.3e-3 (1.7e-3-5.5e-3)
CPC total particles (>10 nm) [cm <sup>-3</sup> ]	1100 (579-1860)	1000 (404-2000)
Environmental parameters_full day		
	NPF day	Non NPF day
Temp [°C]	25.6 (23.8 – 28.9)	26 (24.5 – 29.3)
RH [%]	94.2 (78.8 – 98.1)	93.5 (78.9 – 97.6)
Precipitation <u>rate</u> [mm hr <sup>-1</sup> ]	0 (0 - 0)	0 (0 – 0.16)
<u>Total average precipitation [mm day<sup>-1</sup>]</u>	6.9 (5.8-8.2)	5.6 (0.9-15.3)
Wind direction [°; relative to north]	83 (56.95 – 120.8)	105.5 (38.8 – 217)
<u>Wind speed [m s<sup>-1</sup>]</u>	1.85 (0.96 – 3.04)	1.2 (0.6 – 2.3)

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1111 Table 5. Growth rates (GR, nmh<sup>-1</sup>) and nucleation rates (J; cm<sup>-3</sup>s<sup>-1</sup>) determined from the NAIS  
1112 ion and particle data for each nucleation event. Also the median values for the condensation  
1113 sink (CS; s<sup>-1</sup>) for each event day are shown. **The condensation sink is calculated from the SMPS**  
1114 **size distributions.** The GR were determined by finding the maximum concentration for  
1115 different size bins for 2-3 nm, 3-7nm and 7-20 nm. The GR values present median values of  
1116 the GR for positive and negative ions. The nucleation rates represent median numbers for  
1117 positive and negative ions. ▼

**Deleted:** The GR between ions and particles agree well with each other for the individual nucleation events. GR are smaller for the smaller size ranges and increase with particle size. The nucleation rates are in general higher for the particles than the ions. The Condensation is calculated from the SMPS size distributions.

Size bins	2-3 nm				3-7 nm				7-20 nm		
	Particles		ions		Particles		Ions		Particles		
	GR (nm h <sup>-1</sup> )	J (cm <sup>-3</sup> s <sup>-1</sup> )	GR (nm h <sup>-1</sup> )	J (cm <sup>-3</sup> s <sup>-1</sup> )	GR (nm h <sup>-1</sup> )	J (cm <sup>-3</sup> s <sup>-1</sup> )	GR (nm h <sup>-1</sup> )	J (cm <sup>-3</sup> s <sup>-1</sup> )	GR (nm h <sup>-1</sup> )	J (cm <sup>-3</sup> s <sup>-1</sup> )	CS (s <sup>-1</sup> )
29.01.2014	0.8	0.19	1.4	0.003	2.8	0.097	1.7	0.001	-	-	-
30.01.2014	-	-	3.7	0.011	13.6	-	7.1	0.13	4.4	0.38	0.00076
06.02.2014	0.8	-	19.8	0.07	29	0.87	11	0.01	24	0.28	0.0016
12.02.2014	0.7	0.17	1.2	0.005	1.3	0.09	1.2	0.003	3	0.09	0.0016
12.03.2014	1.1	0.2	1.7	0.002	13.3	-	11.2	0.008	4.9	-	0.0014
13.03.2014	1.5	0.2	1.6	-	1.2	-	8	-	13.6	0.24	0.0015
18.03.2014	-	-	0.7	0.002	-	-	7.7	0.009	-	-	0.0017
25.03.2014	0.8	0.11	-	-	15.7	0.4	15.8	0.018	14	0.18	0.0017

## Figures

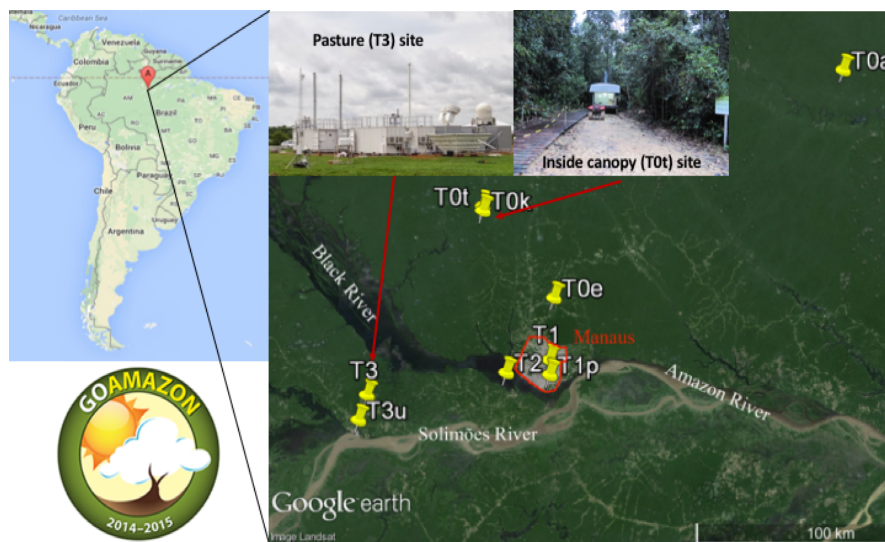
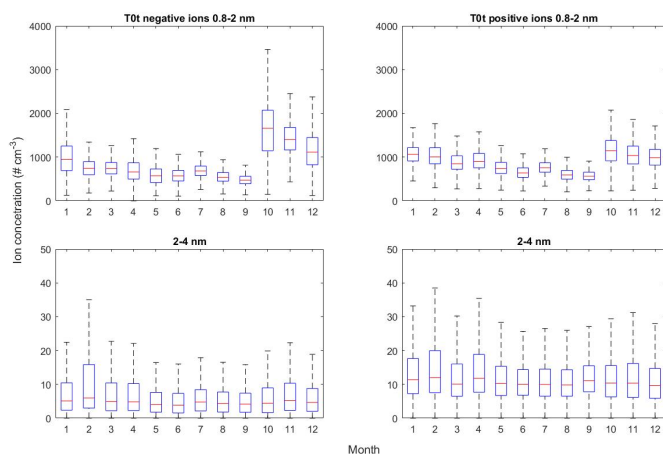


Figure 1. Location on map and photos of the inside canopy (T0t) and outside canopy (T3) sampling sites in Amazonas. The left column shows a map of South America and the right side shows a satellite view and photos of the T0t and T3 environment. From the inside canopy site we present the long term data, whereas from the outside canopy site we show the comparison of wet and dry season. The inside ~~rainforest~~ measurements at T0t is located in a pristine area, whereas the outside canopy site is located at T3, downwind of Manaus.

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Figure 2. Annual variation of the negative (left column) and positive (right column) ion concentrations for 2011–2014 from inside the rainforest canopy. The bars represent median monthly ion concentrations, and the whiskers represent 25<sup>th</sup> and 75<sup>th</sup> percentiles.

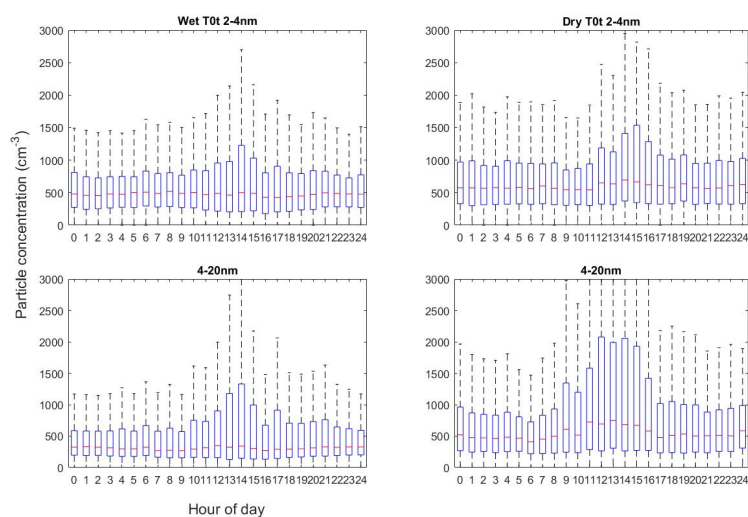


Figure 3. Diel cycle of total particle concentration at the inside canopy site (T0t) during the wet (Dec-Mar; left) and dry (Apr-Oct; right) season, for 2-4 nm (top) and 4-20 nm (bottom) particles.

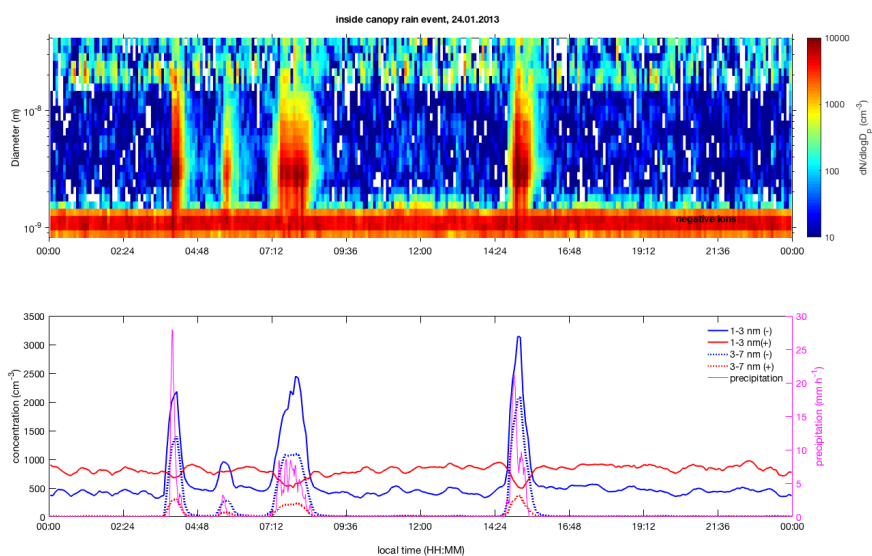


Figure 4. Typical rain-induced event at the inside canopy (T0t) site (Jan 24, 2013). Upper panel: negative ion number size distribution during a selected rain-induced ion production day measured with the NAIS. Lower panel: precipitation in  $\text{mm h}^{-1}$  (pink trace) on the right axis, concentration of small (1-3 nm) negative (blue) and positive (red) ions with the scale on the left axis.

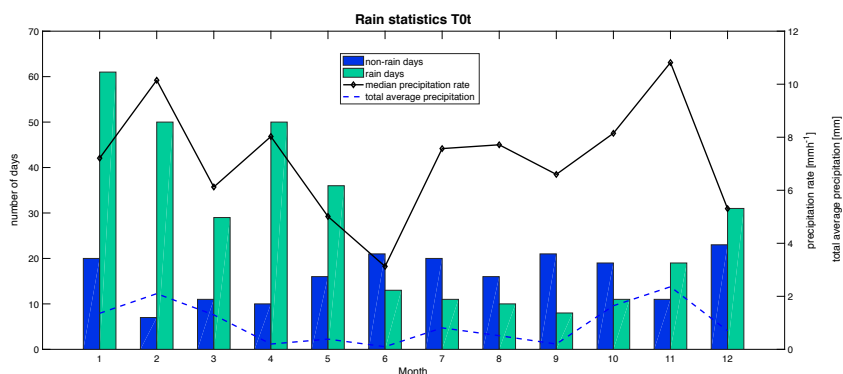


Figure 5. Inside canopy site (T0t) number of days with rain (green bars), no rain (red bars). On the right hand axis median precipitation rates (dashed blue line) and total average rain (solid black line).

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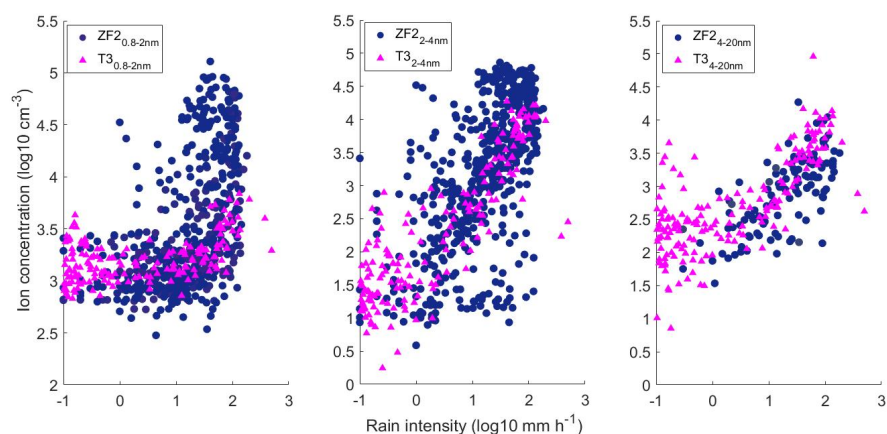


Figure 6. Median daily ion concentrations as a function of rain intensity at the inside canopy site (T0t) between September 2011 and January 2014 (blue circles). Outside canopy site (T3) rain events concentrations are added for comparison (triangles). (A) Cluster ions (0.8-2 nm), (B) 2-4 nm, and (C) 4-20 nm.

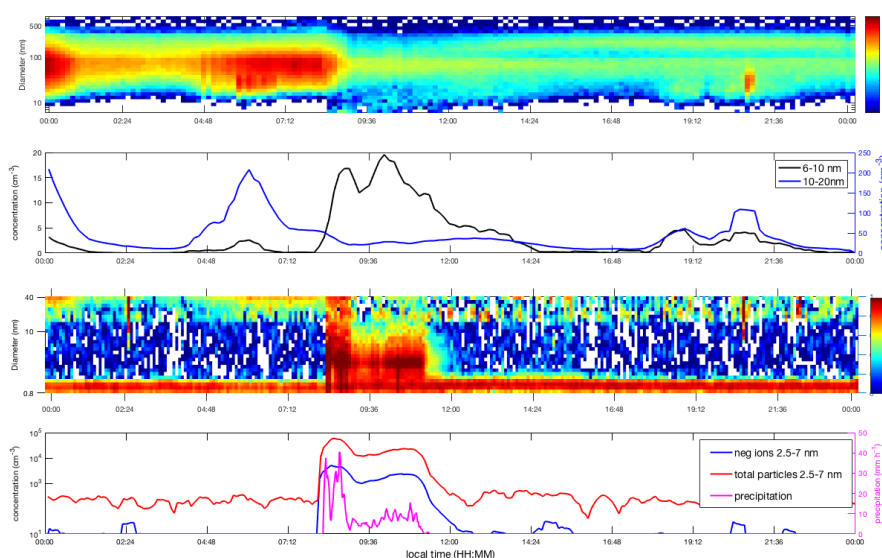


Figure 7. Example for a rain-induced event for total particles (DMPS). The DMPS measurements are taken above the canopy (60 m height), NAIS measurements are inside the canopy. Panel (a) shows the DMPS surface Figure. Panel (b) shows the particles measured by the DMPS for 6-10 nm (black line, left hand axis) and 10-20 nm (blue line, right hand axis). Panel (c) shows the surface Figure for the negative ions, measured by the NAIS. Panel (d) shows the negative ion concentrations for 2.5-7 nm in blue and the total particle concentration in the same size range from the NAIS in red with the scale on the left axis. The pink trace shows the precipitation in  $\text{mm h}^{-1}$  on the right axis.

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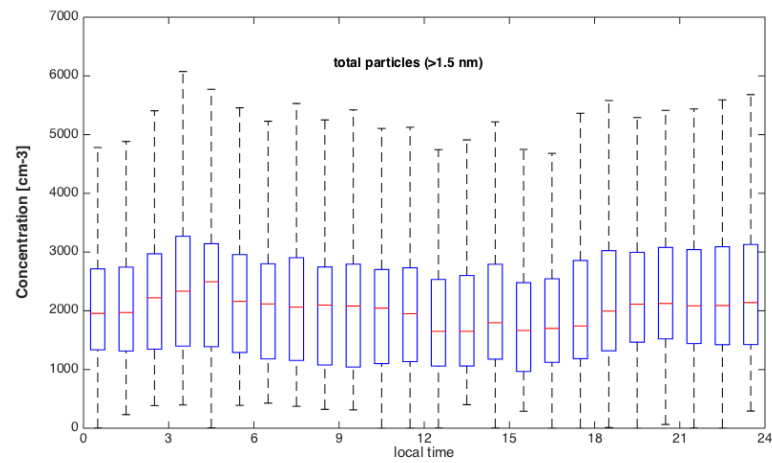
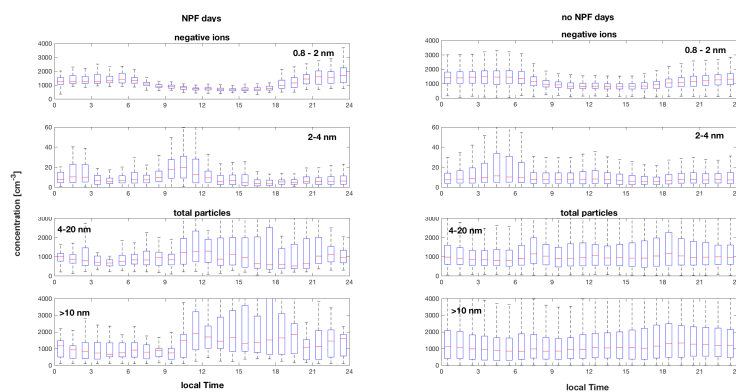


Fig 8. Diel cycle of particles bigger than 1.5 nm measured by the PSM during the dry season outside the rainforest canopy. In total, 38 days of data were used. The data shows hourly median concentrations, the whiskers 25<sup>th</sup> and 75<sup>th</sup> percentile.



Figure 9. Diel cycle of ions measured outside the canopy by the NAIS (small: 0.8–2 nm; intermediate: 2–4 nm; The lowest two panels show the total particles (large: 4–20 nm) from the NAIS and total particles >10 nm as measured by the MAOS CPC. The left column shows the NPF event days and the right column the non NPF days. The markers are hourly median number concentrations and the whiskers 25<sup>th</sup> and 75<sup>th</sup> percentiles.

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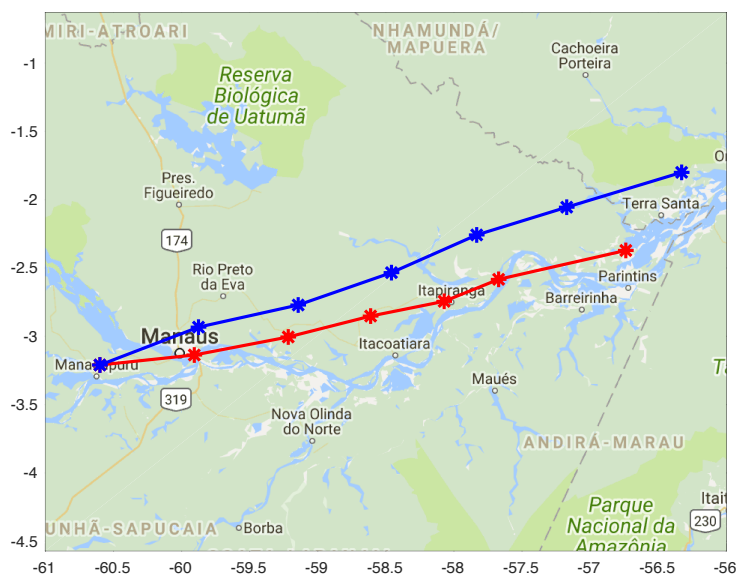


Figure 10: median back trajectories for NPF (blue) and non NPF (red) days. The trajectories were calculated 24hours backwards arriving at 09:00 local time at 500m a.s.l. at the measurement site.

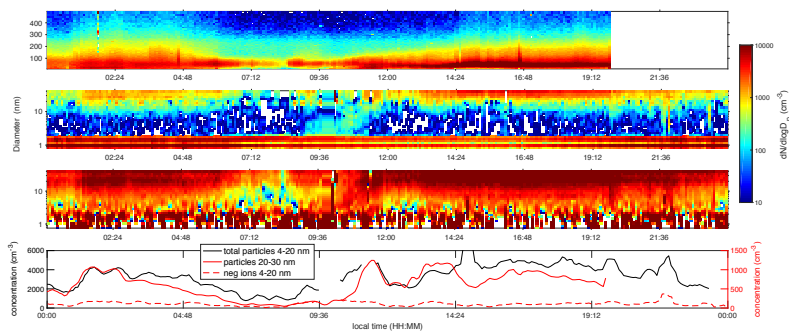


Figure 11 One example NPF day as observed at the outside canopy (T3) site. (a) shows the surface Figure from the SMPS, (b) and (c) show the surface Figures from the NAIS, (b) for negative ions, (c) for total particles. The color code indicates the measured concentrations. Panel (d) shows concentrations for the 20-30nm size range from the SMPS (black line) and from the NAIS the negative ion (dashed red line) and total particle concentrations (solid red line) in the 4-20 nm size range.

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Deleted: the mode diameter as measured by the MAOS SMPS. The mode diameter decreases at the start of the NPF event followed by continuous growth up to about 60 nm. Panel (d) shows negative ion and neutral particle concentrations in two size ranges (2-4 nm and 4-20 nm). Note the left axis is for the 2-4 nm ion concentration and 2-4 nm particle concentrations, right 4-20 neutral particle and ion concentrations.