1 Author response

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We thank the Co-editor for the review. The updated manuscript has been carefully revised by
several people and we used the free version of the 'grammarly' software to correct any mistakes
in the grammar.

Please note that we also changed the order of the Figures in order to make the structure of theupdated manuscript more logical. Previous Figure 8 is now Figure 4.

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Ground-based observation of clusters andnucleation mode particles in the Amazon

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41 Abstract

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43 We investigated atmospheric new particle formation (NPF) in the Amazon rainforest using direct 44 measurement methods. To our knowledge this is the first direct observation of NPF events in the 45 Amazon region. However, previous observations elsewhere in Brazil showed the occurrence of 46 nucleation mode particles. Our measurements covered two field sites and both wet and dry season. 47 We measured the variability of air ion concentrations (0.8 - 12 nm) with an ion spectrometer 48 between September 2011 and January 2014 at a rainforest site (T0t). Between February and 49 October 2014, the same measurements were performed at a grassland pasture site (T3) as part of 50 the GoAmazon 2014/5 Experiment, with two intensive operating periods (IOP1 and IOP2 during the wet and the dry season respectively). The GoAmazon 2014/5 Experiment was designed to study the 51 52 influence of anthropogenic emissions on the changing climate in the Amazon region. The 53 experiment included basic aerosol and trace gas measurements at the ground, remote sensing 54 instrumentation and two aircraft-based measurements.

55 The results presented in this work are from measurements performed at ground level at both sites. 56 The site inside the rainforest (TOt) is located 60 km NNW of Manaus and influenced by pollution 57 about once per week. The pasture (T3) site is located 70 km downwind from Manaus and influenced 58 by the Manaus pollution plume typically once per day or every second day, especially in the 59 afternoon. No new particle formation (NPF) events were observed inside the rainforest (site TOt) at ground level during the measurement period. However, rain-induced ion and particle bursts 60 61 (hereafter, "rain-events") occurred frequently (643/1031 days) at both sites during the wet and dry 62 season, being most frequently during the wet season. During the rain-events, the ion concentrations 63 in three size ranges (0.8-2 nm, 2-4 nm and 4-12 nm) increased up to about 10⁴ - 10⁵ cm⁻³. This effect was most pronounced in the intermediate and large size ranges, where the background ion 64 65 concentrations were about 10 - 15 cm⁻³, as compared with 700 cm⁻³ for the cluster ion background. 66 We observed 8 NPF events at the pasture site during the wet season. We calculated the growth 67 rates and formation rates of neutral particles and ions for the size ranges 2-3 nm and 3-7 nm using 68 the ion spectrometer data. The observed median growth rates were 0.8 nm h⁻¹ and 1.6 nm h⁻¹ for 2-69 3 nm-sized ions and particles, respectively, with larger growth rates (13.3 nm h⁻¹ and 7.9 nm h⁻¹) in 70 the 3-7 nm size range. The measured nucleation rates were of the order of 0.2 cm⁻³ s⁻¹ for particles and 4-9x10⁻³ cm⁻³ s⁻¹ for ions. There was no clear difference in the sulfuric acid concentrations 71 72 between the NPF event days and non-event days (~9x10⁵ cm⁻³). The two major differences between the NPF days and non-event days were a factor of 1.8 lower condensation sink on NPF event days 73 $(1.8 \times 10^{-3} \text{ s}^{-1})$ compared to non-events $(3.2 \times 10^{-3} \text{ s}^{-1})$ and different air mass origins. 74

To our knowledge, this is the first time that results from ground-based sub-3 nm aerosol particlemeasurements have been obtained from the Amazon rainforest.

77 1 Introduction

78

79 Globally, atmospheric new particle formation (NPF) and growth has been estimated to account for 80 a major, if not dominant, fraction of cloud condensation nuclei (Merikanto et al. 2009, Wang and 81 Penner, 2009, Yu and Luo, 2009, Dunne et al., 2016, Kulmala et al., 2016). The formation of 82 atmospheric nanoparticles is a multi-stage process, in which stable clusters form from gas phase 83 precursors, followed by the activation of these clusters and their further growth (Kulmala et al. 84 2014). Although atmospheric NPF is occurring frequently in many environments (e.g. Kulmala et al. 85 2004, Manninen et al. 2010), the Amazon basin is one of the locations where the initial steps of the 86 formation of nanoparticles have not been previously observed from ground-based measurements (Martin et al, 2010a). 87

- 88 In the Amazon basin, emissions and oxidation of volatile organic compounds (e.g. Lelieveld et al. 89 2008), aerosol activation to cloud droplets, and eventually rain formation, are tightly connected 90 with synoptic processes, such as deep convection (e.g. Lelieveld et al. 2008, Wang et al., 2016). 91 Aerosol concentrations in the Amazonian atmosphere are rapidly changing as a result of 92 deforestation and the associated biomass burning and economic development in the Amazon region 93 (Martin et al. 2016, Artaxo et al., 2013). The Manaus metropolis (population 2 million) is the capital 94 of the state of Amazonia, Brazil, surrounded by the largest rainforest on Earth (Martin et al, 2017), 95 as shown in Fig. 1.
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97 The measurements discussed in this paper took place at two different locations in the Amazon 98 rainforest: a pasture site 70 km downwind from Manaus (T3; Martin et al., 2016); and a site within 99 the rainforest, mostly unaffected by Manaus pollution (T0t; Martin et al., 2010b). The sites are 100 described in more detail in section 2.1. Depending on the wind direction, these sites can represent 101 (i) one of the most anthropogenically undisturbed continental locations on Earth, or (ii) a location 102 affected by both polluted and clean air masses (Martin et al., 2016). The complexity of the mixture 103 of trace gases and aerosol population make the Amazon rainforest an interesting place to study. 104 During most of the wet season, the Amazon basin is one of the cleanest continental regions on Earth 105 (Andreae, 2007; Martin et al., 2010a, Artaxo et al., 2013, Andreae et al., 2015). During the dry 106 season, the Amazon basin is highly influenced by anthropogenic emissions mostly from biomass 107 burning. Additionally, our study region experiences frequent high-intensity precipitation episodes.

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109 The primary goal of this paper was to investigate the characteristics of the wet and the dry season 110 at different sampling locations and the occurrence of new particle formation (NPF) and growth in 111 the Amazon region, and to quantify the role of ions and aerosol particles in this process.

112 2 Methods

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114 The measurements inside the rainforest at site T0t were performed over 3 years from September

115 2011 to January 2014. The second measurement site (T3) was part of the Green Ocean Amazon

116 (GoAmazon2014/5) Experiment (Martin et al., 2016). The GoAmazon2014/5 Experiment operated

- 117 from January 1, 2014 to December 31, 2015. The experiment included two intensive operation
- periods (IOP1 and IOP2). IOP1 extended from February 1, 2014 to March 31, 2014 and IOP2 from

August 15, 2014 to October 15, 2014 (Martin et al., 2016). The ion spectrometer measurements
were conducted from January 28 to October 13, 2014. Figure 1 shows the locations of both
measurement sites. Table 1 shows an overview over the available dataset presented in this study.

- 122 2.1. Measurement sites
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- 124 2.1.1 Measurement site inside the rainforest
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The T0t ecological reserve (Martin et al, 2010b) is a terrestrial ecosystem science measurement site
located 70 km NNW of the Manaus metropolis in the central region of Brazil (2.609°S, 60.2092°W).
Two major rivers, the Solimoes and the Rio Negro converge in Manaus to become the Amazon river.
The city of Manaus is the capital of the state of Amazonia, Brazil, with more than 2 million
inhabitants (IBGE, 2015). It is the seventh biggest city in Brazil and is surrounded by forest for 1500
km in all directions (Martin et al., 2016).

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Tot is surrounded by a dense rainforest. The rainforest canopy is homogeneous with an average height of 30 m. Tot is influenced by anthropogenic pollution about once per week (Martin et al., 2010b supplementary material, Thalmann et al, 2017, de Sa et al, 2017). Otherwise, the location of the Tot site allows the characterization of an almost completely undisturbed environment (Martin et al, 2016).

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- 139 2.1.2 Open pasture measurement site
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The open pasture site, T3, is influenced by the Manaus pollution plume typically once per day or 141 142 every second day, especially in the afternoon (Martin et al., 2010b supplementary material, 143 Thalmann et al, 2017, de Sa et al, 2017). A Mobile Facility from the Atmospheric Radiation 144 Measurement (ARM) Program (Mather et al., 2014) of the United States Department of Energy was deployed at the T3 site close to Manacapuru, about 70 km downwind of the city of Manaus 145 146 (3.2133°S, 60.5987°W), and included an ARM Mobile Aerosol Observing System (MAOS). The site also hosted numerous instrument systems from other GoAmazon2014/5 participants (Martin et al., 147 148 2016).

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150 2.2 Instrumentation

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- **152** 2.2.1 Neutral cluster and Air Ion Spectrometer (NAIS)
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A Neutral cluster and Air Ion Spectrometer (NAIS; Manninen et al., 2016) was used to determine the early stages of atmospheric nucleation and subsequent growth. The NAIS measures the mobility distributions in the range 3.2 - 0.0013 cm² V⁻¹ s⁻¹, which corresponds to a mobility equivalent diameter range of 0.8 - 42 nm. The ion and neutral particle size distributions are measured in three different stages: ion, particle, and offset. The NAIS consists of two parallel cylindrical DMAs

159 (Differential Mobility Analyzers), one for classifying negative ions and the other for positive ions. In 160 the ion mode, the corona chargers and the electrostatic filters are switched off to allow only 161 naturally-charged ions to enter the DMA. During the particle mode, the particles are charged by a 162 corona charger in order to be detected by the DMA. The inlet flow into the NAIS is 60 liters per 163 minute (Lpm), whereas the sample and the sheath flows of the DMAs are 30 and 60 Lpm, 164 respectively. The NAIS time resolution was set to 5 min, which includes a full measurement cycle of 165 negative ions, positive ions and total particles. The NAIS instrument and calibration are described in more details in Asmi et al. (2009), Wagner et al. (2016) and Manninen et al. (2016). The NAIS 166 measurement accuracy was estimated to be 10 - 30%, which was mainly due to flow rate 167 uncertainties (Manninen et al., 2016; Wagner et al., 2016). The electrometers measure a current 168 169 which can be transformed into particle/ion concentrations. If the measured currents are low, the 170 noise can be quite high and can result in negative concentration values. The environmental 171 conditions in the Amazon are very challenging for NAIS measurements, especially the high relative 172 humidity (RH). To reduce the NAIS sampling RH, an electric heater was installed at the inlet to heat 173 the sampling air to 60° C in order to evaporate some water before entering the instrument.

174

The NAIS was placed inside a hut at the TOt site, sampling at 2 m above the ground level. In January 2014, the NAIS was moved to the T3 site where it was placed outside under a roof. The sampling setup was the same at both sites. In total 736 days of neutral particle data and 718 days of ion data were taken at both sites together (see Table 1).

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180 2.2.2 Particle Size Magnifier (PSM)

182 A Particle Size Magnifier (PSM; Airmodus A09; Vanhanen et al., 2011) was used to determine aerosol 183 particle concentrations at sizes below 3 nm. The PSM is a mixing-type condensation particle counter 184 (CPC), in which the aerosol is turbulently mixed with air saturated with diethylene glycol (DEG). DEG only grows the particles to about 90 nm, so the PSM system consists of a second stage, where the 185 186 particles are grown to optically-detectable sizes. The 50% activation diameter of the instrument can 187 be varied across a size range of 1–4 nm in a mobility diameter (Vanhanen et al., 2011) by changing 188 the mixing ratio between the saturator and the sample flow. At GoAmazon2014/5, the PSM was 189 used in a scanning mode in which the saturator flow is continuously changing, altering the cut-off 190 diameters between 1 and 4 nm. One scan takes 4 minutes and the system was set up to do one 191 upscan, followed by a downscan. Due to the challenging measurement conditions, the size-resolved 192 data was not used in this analysis.

193

Prior to the deployment during the GoAmazon2014/5 campaign, the PSM system was equipped with an inlet system specifically designed to decrease the relative humidity of the sample without disturbing the sample itself and to maintain high flow rates to minimize diffusion losses. The inlet system comprises a core sampling probe combined with a sintered tube. The core sampling probe consists of two cylindrical tubes with different outer diameters (10 mm and 6 mm). The larger diameter of the outer tube allows a total laminar flow rate of up to 10 Lpm, to minimize diffusional losses. The inner tube is directly attached to the PSM with an airflow of 2.5 Lpm. The excess airflow
is discarded into an exhaust line (Kangasluoma et al., 2016). Downstream of the core sampling line
there is a sintered tube where dry, pressurized air is introduced. The water molecules in the sample
flow are pushed towards the outer walls of the sintered material by diffusion, drying the airflow.
Laboratory studies have shown that RH can drastically affect the PSM counting efficiency (higher
sensitivity at smaller sizes at higher RH; Kangasluoma et al, 2013, lida et al, 2009).

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Since the aerosol in Brazil was expected to be composed of mostly organic species, the PSM with the inlet was calibrated using limonene and its oxidation products (Kangasluoma et al., 2014) as a test aerosol. The resulting lowest PSM cut-off diameter was 1.5 nm (±0.3 nm), where the uncertainty was estimated as a combination of the calibration uncertainty and the influence of the ambient RH on the PSM cut-off diameter. In total, 38 days of data obtained during the dry season were used.

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- 213 2.2.3. Supporting instrumentation at both sites

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215 At T0t, the submicron aerosol number size distributions and total particle number concentrations 216 were monitored with a DMPS system (Aalto et al., 2001) and a CPC. The CPC time resolution was 1 217 minute, with a 50% cut-off size of about 6 nm. The DMPS measured number size distributions over 218 the mobility diameter range of 6 – 800 nm (Backman et al., 2012), and a complete size distribution 219 was obtained every 10 minutes. During the 10-minute measurement cycle, size-segregated aerosols 220 were measured for 8 minutes, followed by 2 minutes of total particle number concentration 221 measurements, using a bypass valve. The transmission at 4 nm of the inlet used the AMAZE-08 222 (Martin et al., 2010b) experiment was 50%. The diffusion losses increase exponentially with a 223 decreasing particle size. For the measurements reported here, a similar setup with a 60 m sampling 224 line was used. The DMPS data should be used in a qualitative rather than quantitative manner as 225 the losses due to diffusion in the sampling line are not precisely known and therefore not 226 considered. In addition to the ion spectrometer measurements, the measurement hut hosted an 227 automated weather system (Vaisala; WXT-520) for acquiring meteorological parameters.

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The auxiliary data from the T3 site, presented in this manuscript includes measurements from an ultrafine CPC (TSI Inc; 3010), with a 50% activation diameter of 10 nm and an SMPS with a lower cut-off of 20 nm. The meteorological data were also retrieved from an automated weather station (Vaisala; WXT-520). Those datasets are available at the ARM data browser.

- 233 2.3 Measurement periods: wet and dry season
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The differences in the tropical seasons present contrasting environmental conditions (Martin et al.,

236 2016, Artaxo et al., 2013). In our manuscript, we follow Artaxo et al. (2013) and define the wet

- season in the Amazon from January to June, and the dry season from July to December.
- 238

239 The most dominant anthropogenic influence in the Amazon region is dictated by biomass burning 240 emissions, which are strongest during the dry season. The most intense biomass burning and 241 atmospheric perturbations take place at the southern and eastern edges of the forest (Brito et al., 242 2014), however, their transport impacts the whole basin. During the wet season, Manaus emissions 243 are the main anthropogenic influence on the aerosol population in the Amazon region (Andreae, 244 2007; Martin et al., 2010a). During the dry season, the wet deposition decreases whereas the 245 condensation sink increases. That leads to an overall increase in aerosol concentration in the 246 accumulation mode of about one order of magnitude even in remote areas (Artaxo et al., 2013).

247

248 The planetary boundary layer development displays a strong diel behavior, with a stable nocturnal layer and strong vertical mixing during the daytime. The vertical transport is enhanced in strong 249 250 convective situations when particles are lofted and entrained into the free troposphere. The stable 251 nocturnal layer, on the other hand, traps the emissions near the surface; the impact can be more 252 pronounced during the dry season as biomass burning usually starts at midday and continues into 253 evening hours (Martin et al, 2010a). The boundary layer development is also different for the two 254 different measurement sites, as the boundary layer develops more rapidly over the pasture area, 255 with more efficient vertical mixing compared to the site enclosed by the rainforest.

256 2.4 Data analysis

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All the available data from the NAIS were cleaned for a potential instrumental noise. The cleaning 258 259 process was done visually using the particle and ion size distributions as surface plots. The non-260 reliable data were removed based on the guidelines introduced by Manninen et al. (2010). The NAIS 261 data turned out to be unreliable during the measurements presented here mostly in the size range 262 above 15 nm. Therefore, we decided to show data for the sizes up to 12 nm only in our analysis. We 263 divided the measured ion and neutral particle concentrations into three sub size-ranges: cluster 264 size-range (0.8 - 2 nm), intermediate size-range (2 - 4 nm), and large size-range (4 - 12 nm). Defining the lower and upper limits of the intermediate ion size range varies in the scientific literature (see 265 266 Hirsikko et al., 2011 and references therein). The definition of using 2-4 nm as intermediate size-267 range allows for differentiating between new particle formation events and non-events when using 268 ion measurements (Leino et al., 2016).

269

270 We observed an increase in the concentrations of the cluster ions in the NAIS starting from October 271 7, 2013 to January 21, 2014. By investigating the raw data files, this drift was observed to be due to 272 too low currents in the sheath air filters. The sheath air filters are electrical filters, using corona 273 needles to neutralize all the remaining ions, which leads to an over-estimation of ion concentrations. 274 A correction factor of 1.8 was applied to account for this problem in the 4 smallest size channels of 275 the NAIS (0.8-1.25 nm) for the data taken at the TOt site after the drift was observed. This increased 276 level in the positive polarity of the natural ions continued when the NAIS was re-deployed at the T3 277 site. The cause was the same (too low a current in the sheath air filters). We consider the positive 278 polarity of the natural charged ions in the NAIS at the T3 site unreliable, therefore the data,

regarding the absolute concentrations, using the positive channel for the T3 site is not shown in this
study. Additionally, the ion data from September 9-26, 2014 at the T3 site was considered unreliable
and was also excluded from our analysis.

282

Rain-induced ion events were selected as the times when an ion burst coincided with the onset of precipitation. The median and the maximum (99th percentile) ion concentrations were calculated during the periods when the rain intensity was >0 mm h⁻¹. In case of more than one rain-event per day, two separate rain-events were classified as such, if the start of the second one occurred more than an hour after the end of the first one. Any fluctuations in the rain intensity for a time period shorter than 1 hour were considered to be part of a single rain-event. We classified 962 rain-events at the TOt site and 221 rain-events at the T3 site.

The new particle formation event analysis from the ion spectrometer data, including the event classification and formation and growth rate calculations, followed the already well-defined guidelines (Kulmala et al., 2012). In the data analysis, the first step was to classify all available days into NPF event and non-event days according to methods introduced earlier by Hirsikko et al. (2007) and Manninen et al. (2010). The days that do not fulfill the criteria of an event or non-event day, are categorized as undefined days. However, no days were classified as undefined in this study.

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297 The classification was performed manually through a visual inspection of daily contour plots of 298 particle number size distributions. The second step in the analysis was to define the characteristics 299 related to each NPF event, such as the particle growth rate (GR) and formation rate (J). The GRs 300 were calculated for two different size bins (2-3 nm and 3-7 nm in particle diameter) using both ion 301 and neutral particle data from the NAIS. The particle growth rate was determined by finding the 302 times at which the maximum concentrations of ions/particles in each of these size ranges occurred. 303 A fit between the points was then applied to resolve the growth rates. The particle formation rate 304 was determined for the lower end of each size bin (2 and 3 nm) by taking the particle growth rate, 305 condensation sink and coagulation sink into account.

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307 3 Results

All the times mentioned below are local Manaus time (LT), which is Coordinated Universal Time (UTC) - 4 h.

3.1 Number concentrations of ions and particles at the two sites

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313 An overview of the observed number concentrations of ions and particles together with the 314 meteorological conditions at the two measurement sites is presented in Table 2.

315

When comparing the two measurement sites, the most apparent differences are: (i) the almost factor of 3 lower intermediate negative ion concentrations at the T3 site compared to the T0t site (6 cm⁻³ and 5 cm⁻³ during the wet and dry season, respectively, at T3; 17 cm⁻³ during both wet and dry season at T0t). This difference is likely to be caused by the enhanced formation of negative ions by the precipitation. (ii) By contrast, the large-sized ion concentrations were about a factor of 2 higher at the T3 site compared to the T0t site (20 cm⁻³ and 12 cm⁻³ during the wet and dry season, respectively, at T3; 8 cm⁻³ during both wet and dry season at T0t). (iii) Also, the intermediate and large-sized neutral particles were by about a factor of 3 higher at the T3 compared to the T0t site. These enhanced concentrations were probably due to a combination of stronger mixing of the boundary layer and the occurrence of NPF events at the T3 site.

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The environmental variables were relatively similar at the two measurement sites, with higher average temperatures and lower average RH at the T3 site compared to the T0t site. The wind directions at both sites varied from the wet to the dry season. At T3, the air masses arrived, on average, from 115° N during the wet season and from 95° N during the dry season. At T0t, the average wind direction changed from 94° during the wet season to 105 °N throughout the dry season (see Table 2).

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334 3.1.1 Inside rainforest site (TOt)

At the T0t site, the negative cluster ion concentrations were very comparable during the wet (856 cm⁻³) and the dry season (952 cm⁻³). The positive cluster ion concentrations were by about a factor of 1.7 lower throughout both seasons compared to the negative ions (549 cm⁻³ (wet) and 537cm⁻³ (dry)). These values are comparable to those observed in several other locations (eg. urban Paris, Dos Santos et al. 2015; coastal Mace Head, Vana et al. 2008 and Finokalia, Kalvitis et al. 2012; Puy de Dome, Rose et al. 2016).

342

343 The ion concentrations in the intermediate size range (2-4 nm) were a factor of 2 higher in the 344 positive polarity compared to the negative polarity both in the wet and in the dry season (17 cm^{-3} (-) and 34 cm⁻³ (+) during the wet season; 17 cm⁻³ (-) and 34 cm⁻³ (+) during the dry season). We 345 346 observed the same concentrations in the large size range on the other hand in both polarities and 347 both seasons (8 cm⁻³). The neutral particle concentrations were higher in the intermediate (2-4 nm) 348 size range compared to the large (4-12 nm) size range, being very similar in the wet and dry season. 349 The characteristics of the wet and the dry season in the Amazon (Rissler et al. 2006, Martin et al. 350 2010a) can be observed in the concentrations of the negative ions as shown in Figure 2. The negative 351 ion concentrations were decreasing from April to September and increasing between September 352 and February. Most likely, the local biomass burning during the dry season increased the ion concentrations. During the wet season, the ion concentrations decreased most likely due to wet 353 354 deposition and reduced source strengths.

355

We observed a similar diel pattern for both the wet and the dry season for the neutral particles as shown in Figure 3. The concentrations in both size ranges increase at around 06:00 – 09:00 during the wet season. This effect was less pronounced in the dry season. A clear decrease in neutral particle concentrations during evening times (after 17:00) can be observed.

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361 3.1.2. Pasture site (T3)

363 As shown in Table 2, cluster ion concentrations at the T3 site were typically higher during the wet 364 season (1300 cm⁻³) than the dry season (890 cm⁻³). Similar, high ion concentrations were reported in an Australian rainforest in Tumbarumba (Suni et al. 2008) and in a wetland site in Abisko 365 366 (Svennigsson et al., 2008). Those experiments showed concentrations of ~2400 (1700) cm⁻³ for 367 negative (positive) ions. The median negative ion concentrations in the intermediate and large size ranges (2 - 4 nm and 4 - 12 nm) were very similar during the wet season (6 cm⁻³, 20 cm⁻³) and the 368 369 dry season (5 cm⁻³, 12 cm⁻³). The neutral particle concentrations were also very similar in both 370 seasons at the T3 site. The median total concentrations measured by the ultrafine MAOS CPC (>10 371 nm) were observed to be more than a factor of 2 higher in the dry season than in the wet season (928 cm⁻³ versus 2000 cm⁻³). This difference is most likely due to the enhanced biomass burning 372 373 during the dry season. The average temperatures and the average relative humidity were very 374 similar in both seasons.

375

376 The PSM measurements were performed during the dry season only. In total, 38 days of PSM data 377 were included in this study and the results are shown in Figure 4. The PSM was used in scanning 378 mode but, due to the challenging environmental conditions, only data measured at the highest 379 supersaturation (total particle concentration at >1.5 nm) is shown. The PSM data showed a similar 380 diel pattern as the cluster ion concentrations measured by the NAIS (see Fig. 9), with higher median 381 concentrations observed during the early morning (03:00-06:00), a dip in concentrations during the 382 early afternoon (12:00-15:00), and then elevated median concentrations again in the evening 383 (18:00-24:00). This could be explained by the Carnegie curve (Harrison, R. G. and Carslaw, K. S., 384 2003), which manifests as a diel variation in the ionospheric potential.

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386 3.2 Rain-induced ion formation events

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While no NPF events were observed within the rainforest at site T0t, rain-induced ion burst events
(hereafter, rain-events) were common and observed during 264 out of the 384 measurement days.
Since multiple rain episodes could occur in a single day, each rain-event was investigated separately,
giving a total of 962 rain-events at T0t site and 221 at the T3 site.

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Figure 5 shows an example of multiple rain-events that took place during January 24, 2013 (wet season). The negative ion concentrations in the cluster size range of 0.8 - 2 nm increased during the precipitation from about 1000 cm⁻³ to 1500 cm⁻³. The positive cluster ion concentrations on the other hand decreased during the precipitation episode from 1000 cm⁻³ to about 500 cm⁻³. The intermediate (2 - 4 nm) ion concentrations showed an increase in both polarities, although the buildup was more pronounced for the negative intermediate-sized ions. In the intermediate size range, the concentrations of negative ions reached up to 3000 cm⁻³, while during the same 400 precipitation event, the positive intermediate ion concentrations only rose to 200 cm⁻³. A similar 401 feature for 2-8 nm-sized negative ions during rain-events has also been reported for an Australian 402 rainforest (Suni et al. 2008). In that study, the positive ion concentrations increased only in the 3-7 403 nm size range and decreased in the 1-3 nm size range during the precipitation episodes. These rain-404 induced ion bursts are thought to be a result of a balloelectric effect, in which splashing water 405 produces intermediate ions, such that the negative ions are smaller in size than the positive ions 406 (Horrak et al., 2005, Hirsikko et al., 2007, Tammet et al., 2009).

407

The duration of the 962 rain-events in our study varied from a couple of minutes to 22 hours, with over half of the rain-events lasting for two hours or less. The statistics of the rain-event frequency from the T0t measurement site are shown in Figure 6. The bars show the mean number of days with and without rain and the black line shows the median total average precipitation per month. Figure 6 shows that rain-events occurred in both seasons. However, the number of days without rain increased during the dry season (July to December).

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Figure 7 shows the correlation between the maximum negative ion concentration and the median rain intensity during each rain-event. While no clear connection between these two quantities was found, two specific features are apparent: at the site within the canopy (T0t), the highest cluster and intermediate ion concentrations occurred almost entirely in correlation with rather strong rain intensities, whereas at the pasture site (T3) almost no increase in negative ion concentrations in any size range during precipitation periods could be observed.

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422 The rain-events were also evident in the total particle concentration measured by the NAIS, as 423 depicted in Figure 8. In this example, the rain intensity peaks twice, about 40 mm h⁻¹ at ~09:00 followed by a second peak of 10 mm h⁻¹ at ~11:00. The ion and particle concentrations measured 424 425 by the NAIS increased and decreased almost simultaneously with the increase and decrease of the 426 precipitation rate. Additionally, the DMPS data showed an appearance of nucleation mode particles 427 between 6 and 10 nm, also following the onset of the rain. Please note, that the DMPS was sampling 428 at a height of 60 m, which is well above the rainforest canopy. The concentration of 6-10 nm 429 particles increased by a factor of 4 during the rain-event, as compared to a background 430 concentration of 5 cm⁻³ in the absence of precipitation. The 10-20 nm particle concentrations first showed a drop, followed by an increase up to ~35 cm⁻³. The maximum concentrations of the 10 – 431 20 nm particles appeared about 4 hours after the short-term increase of the 6-10 nm particles. 432

433

The appearance of 6-10 nm particles and their peak concentration could present a similar scenario as observed in Wang et al (2016). They reported a production of small aerosol particles as a result of new particle formation in cloud outflow regions, with further transport into the boundary layer due to strong convection during precipitation events in the Amazon. Wang et al. (2016) noted that the <20 nm particle concentrations decreased very rapidly. We suggest the process that we observe is a local one because the production of ions was observed to only last for the duration of the precipitation.

441 3.3 New particle formation events at T3

442

We observed no NPF events during the dry season. During the wet season, on 9% of the days, we did observe NPF events. A similar event frequency has been observed in the Finnish boreal forest environment during the autumn as an example (Kontkanen et al., 2017). An earlier study by Backman et al. (2012) showed that in the metropolitan area of São Paulo (population 20 million), Brazil, NPF events occurred on 11% of the days. Zhou et al. (2002) observed an ultrafine particle mode in central Amazonia on 18% of the measurement days.

449

450 We selected all eight NPF event days to characterize the behavior of ions and aerosol particles 451 during the bursts of particle formation. A comparison of the diel cycle for particles and ions between 452 NPF and non-event days is shown in Figure 9. The cluster ions showed a clear diel cycle with higher 453 concentrations in the morning and evening for both NPF and non-event days. An increase in the 454 concentrations of intermediate and large ions (2-4 nm and 4-12 nm) occurred during NPF event 455 days, which was due to the growth of the ions from the cluster ion size range (0.8-2 nm) to larger 456 sizes. The intermediate neutral particle concentrations increased at around 09:00, suggesting an 457 onset of NPF after the sunrise when the boundary layer begins to grow and turbulent mixing starts. 458 On non-event days these particles showed the highest concentrations after the sunrise (06:00) and 459 the sunset (18:00). The total particle concentration measured by the MAOS CPC showed a clear 460 concentration increase on NPF event days, starting from 10:00, which clearly indicates that the 461 particles had grown from smaller sizes into the 10 nm detection limit of the MAOS CPC. No clear 462 diel pattern in the MAOS CPC measurements was visible on non-event days.

463

464 The type of NPF events that we observed are likely of regional nature, requiring relatively 465 homogeneous air masses for at least a few hours (Vana et al., 2004; Manninen et al., 2010). The 466 most likely explanations for why no new particle formation events were observed at the TOt site 467 are: (i) either the lack of SO₂ sources for forming sulfuric acid or (ii) that the sampling at the less 468 polluted T0t site was performed within the rainforest, where mixing with the atmospheric boundary 469 layer above is hindered. The gaps, or fluctuations, in the usually distinct NPF shape in time-size-470 concentration plots could be caused by some degree of heterogeneity in the measured air masses. 471 All NPF events occurred during the daytime, starting at around 08:00 - 09:00, 2 - 3 hours after 472 sunrise. All NPF events took place during the wet season, which might be due to the lower 473 condensation sink at this time of the year, as shown in Table 1. The median sulfuric acid 474 concentrations, as measured by a quadrupole HOx CIMS (Martin et al, 2016, supplementary material), were about 9x10⁵ cm⁻³ for both NPF event and non-event days. Similar sulfuric acid 475 476 concentrations were reported for the Finnish boreal forest measurements in autumn, where about 477 12% of the days were classified as NPF event days (Kontkanen et al., 2017).

478

479 Back trajectories using HYSPLIT (<u>http://ready.arl.noaa.gov/hypub-bin/trajtype.pl?runtype=archive;</u>

480 Rolph et al., 2017) were calculated using Global Data Assimilation System model data produced by

the National Centers for Environmental Prediction (NCEP), and showed a clear difference in the air

482 mass origins arriving at the measurement site during the NPF event and non-event days. The back trajectories were calculated as ensembles for 24 hours to arrive at 13:00 UTC (09:00 local time) at 483 484 500m a. s. l. The back-trajectories were calculated on NPF event days and for each day prior to and 485 following an NPF event day. If an NPF event occurred on two consecutive days, the day after both 486 events was used for the non-event day back-trajectory calculations. On non-event days, the 50th 487 percentile of air masses originated from 2. 9°S, 58.6°W and 545 m.a.s.l., a location upstream of the 488 Amazon river. On NPF event days, the back-trajectory calculations show an origin at 2.5°S, 58.5°W 489 and 602.5 m a. s. l.; further north, which is an area with dense rainforest. The results of the backtrajectory calculations are shown in Figure 10. 490

- Figure 11 shows an example of an NPF event observed at the pasture site (T3), displaying MAOS SMPS data, NAIS total particle concentrations, and NAIS negative ion concentrations. The intermediate ion concentrations increased during this NPF event, starting at 08:00, with a continuous growth, reaching the smallest size channel of the MAOS SMPS at 10:00 (blue dashed line in panel d) of Figure 11). The same can be observed in the large particle and ion (4-12 nm) channel from the NAIS (red and black lines respectively).
- 497

Table 3 shows a comparison of the median particle and ion concentrations (25th – 75th percentiles in brackets), as well as the condensation sink, for the time window 08:00-12:00 comparing the NPF event and non-event days. The condensation sink was clearly lower on NPF event days (0.0018 s⁻¹) than on non-event days (0.003 s⁻¹), and the median concentration of intermediate (2-4 nm) ions and neutral particles was 1.3 times higher on NPF event days. The ion concentrations in the large size range were about a factor of 1.7 higher and neutral particle concentrations in the same size range were 2.4 times higher during the time of NPF compared to non-event days.

505

506 We also compared environmental variables, including the temperature, relative humidity and wind 507 direction. No precipitation during any of the classified NPF events was observed, but on two 508 classified NPF event days, precipitation periods were observed before or after the NPF events 509 (starting at 06:00 and 17:00 respectively). The median temperature and RH were about the same 510 for NPF event and non-event days, whereas the median wind direction changed (83°N on event days 511 and 105.5°N during non-event days), consistent with the results from the back-trajectory analysis.

512

513 Table 4 shows the calculated growth rates, particle formation rates, and condensation sinks for each 514 classified NPF event day. Note that here, the condensation sink was calculated for the time of the 515 event, whereas the numbers in Tables 2 and 3 are for the whole day. The quantiles of both particle 516 formation rate and growth rate were determined for two different size ranges (2-3 nm and 3-7 nm), 517 and calculated separately for the ion and particle data. The results show considerably lower ion 518 formation rates compared with neutral particle formation rates, consistent with observations made 519 at most other continental sites (Manninen et al., 2010; Hirsikko et al., 2011). The growth rates of 520 particles and ions were comparable to each other and typically smaller for the 2-3 nm size range 521 than the 3-7 nm size range. An increase in the particle and ion growth rate with an increasing particle 522 size has previously been reported at a few other sites (see Häkkinen et al., 2013, and references

523 therein). We observed two regimes when looking at the neutral 3-7 nm growth rate. On 3 days, the growth rate was about 2 nm h⁻¹, with sulfuric acid concentrations of about 2x10⁶ cm⁻³. According to 524 theoretical calculations about 10⁷ cm⁻³ of sulfuric acid molecules can account for 1 nm h⁻¹ (Nieminen 525 et al., 2010). It is most likely that other compounds are contributing to the growth. Other NPF event 526 527 days showed growth rates of about 14 nm h⁻¹ for the same 3-7 nm size range, and the sulfuric acid 528 concentration was even lower (about 6x10⁵ cm⁻³). These growth rates are most likely driven by 529 organic compounds (Tröstl et al., 2016). Tröstl et al. (2016) calculated that about 10⁶-10⁷ cm⁻³ of 530 highly oxidized organic compounds are required to explain a growth rate of about 10 nm h^{-1} .

- 531 4 Summary and Conclusions
- 532

533 We performed direct measurements of atmospheric new particle formation (NPF) events in the 534 Amazon region with state-of-the-art aerosol instrumentation. The measurement campaigns were 535 performed at two observation sites (T0t and T3) in the vicinity of Manaus in Brazil. One site was 536 located within the rainforest (T0t), providing long-term (September 2011 to January 2014) 537 measurement data, to complement data from a pasture site (T3, January to October 2014).

538

539 No NPF events were observed at the TOt site during the long-term measurement period. However, 540 we observed rain-induced ion and particle burst events ("rain-events") inside the rainforest during 541 264 of the 384 days. Concentrations of 2 - 4 nm and 4 - 12 nm ions and total particles were enhanced 542 by up to 3 orders of magnitude during such rain-events (~10⁴-10⁵ cm⁻³) at T0t site. The rain-events occurred throughout the year, but the number of days with precipitation was the highest from 543 544 December to June corresponding to the wet season. Multiple rain-events could occur during the 545 same day, totaling 1491 rain-events in 643 rainy days for both sites together. The duration of the 546 rain-events ranged from a couple of minutes to 22 hours, but over 50% of the events lasted for <2 547 hours. During the rain-events, 0.8 - 2 nm and 2 - 4 nm negative ions increased more than the same-548 size positive ions. The production of small (0.8 - 2 nm) and intermediate negative ions (2 - 4 nm) 549 during rain-events reached a maximum of 10⁵ cm⁻³ at the T0t site.

550

551 At the pasture site, we observed a clear diel pattern in the cluster ion concentration during both the 552 wet and the dry season, with higher concentrations observed during the morning and evening. The 553 PSM observations showed a similar diel cycle for particles >1.5 nm, with higher concentrations in 554 the early morning, a dip in the afternoon, and an increase again in the evening after sunset. The diel 555 cycle was less pronounced inside the rainforest, indicating that the rainforest canopy hinders 556 vertical mixing.

557

558 We observed eight NPF events showing particle growth at the pasture site during January to March 559 2014. The formation rates were considerably higher for neutral particles than ions during these NPF 560 events. The growth rates of newly-formed ions and particles were comparable to each other and 561 showed a clear increase with increasing size in the sub-20 nm size range. We found two different 562 regimes for growth rates in the 3-7 nm size range; 3 out of 8 NPF days showed a growth rate of about 2 nmh⁻¹, and on 4 out of 8 NPF days, a growth rate of about 14 nmh⁻¹ was observed. The
 sulfuric acid concentrations were similar for both NPF event and non-event days (approx. 9x10⁵ cm⁻
 Most likely the observed growth for all NPF events is driven by highly oxidized organic compounds
 (Tröstl et al., 2016).

567

568 The back-trajectory calculations using HYSPLIT did not show any clear difference between the days with high and low growth rates. Nevertheless, there was a clear difference in air mass origin 569 570 between the days with NPF events when back-trajectories originated from the rainforest, and non-571 event days when back-trajectories originated from the Amazon river. As observed in SMPS 572 measurements, particles grew to the sizes of around 60 nm during all the NPF events, above which 573 they are able to act as cloud condensation nuclei (McFiggans et al, 2006; Andreae and Rosenfeld, 574 2008; Kerminen et al. 2012). There were clear differences in the median cluster and intermediate ion concentrations between the NPF event and non-event days for the local time window of 08:00-575 12:00. For NPF event days, the median intermediate ion and particle concentration was higher by a 576 577 factor of 1.3 compared to non-event days. The condensation sink was also lower on the NPF event days (0.0016 s⁻¹) than on non-event days (0.003 s⁻¹). There were no NPF events observed during the 578 579 dry season, when it is likely that the condensation sink is too high for new particle formation.

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580

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- 583

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890	Tables

Table 1. Overall data availability for the measurements presented in the manuscript.

			# of days with rain data	# of days with rain event	NAIS particle data	NAIS ion data	NPF
	2011	August	5	2	0	0	0
		September	6	1	4	4	0
		October	28	14	31	31	0
		November	30	18	30	30	0
		December	31	23	16	16	0
total 2011			100	58	81	81	0
	2012	January	31	31	31	31	0
		February	29	18	29	29	0
		March	31	0	9	9	0
		April	30	29	29	29	0
		May	31	25	16	16	0
		June	30	23	4	4	0
		July	31	24	0	0	0
		August	31	12	0	0	0
		September	30	4	0	0	0
		October	31	0	0	0	0
		November	30	5	0	0	0
		December	31	24	16	16	0
total 2012			366	195	134	134	0
	2013	January	31	26	31	31	0
		February	28	28	28	28	0
		March	31	24	31	31	0
		April	30	29	30	30	0
		May	31	27	31	31	0
		June	30	23	30	30	0
		July	31	9	31	31	0
		August	31	15	26	26	0
		September	30	13	30	30	0
		October	30	16	31	31	0
		November	30	24	30	30	0
		December	31	17	31	31	0
total 2013			364	251	360	360	0
	2014	January T0t (rain data only from T0t)	20	13	25	25	0
		January T3	0	0	5	5	2
		February	28	23	28	28	2
		March	31	28	31	31	4
		April	30	27	23	23	0
		May	0	0	0	0	0
		June	0	0	0	0	0
		July	0	0	0	0	0

		# of days with rain data	# of days with rain event	NAIS particle data	NAIS ion data	NPF
	August	31	13	6	6	0
	September	30	16	30	12	0
	October	31	19	13	13	0
total 2014		201	139	161	143	8
total		1031	643	736	718	8
Table 2. A con	nparison of the two	measurement	sites and the w	et and the dry	season. The	values
	ure site are on the le			•		
-	le. The months for t					
measurement	sites. Aerosol and	ion parameters	from the NAIS	measurements	listed are:	the ion
concentration	s in three size bins (0.8-2, 2 - 4 and	4 - 12 nm); the	neutral particle	e concentra	tions in
	size bins from the NA	-	-			
•	MAO CPC measuren					
	vn. The numbers p					
	environmental para ate, the wind direct		•	rature, the rela	ative numid	ity, the
precipitation	ate, the wind direct		u speeu.			

	Pasture	site (T3)	Inside rainforest (T0t)			
	Particle and io	n concentration	S			
Season	Wet	Dry	Wet	Dry		
Cluster ions (0.8-2 nm) [cm ⁻³]	1300(-) (1000-1800)	890(-) (468-1400)	856 (-) (535 -1300) 549(+) (298 - 924)	952(-) (637- 1400) 537 (+) (297-915)		
Intermediate ions (2-4 nm) [cm ⁻³]	6 (-) (4-11)	5(-) (1-10)	17(-) (10-28) 34(+) (20-48)	17 (-) (10-28) 34(+) (21-48)		
Large ions (4-12 nm) [cm ⁻³]	20(-) (11-32)	12(-) (4 - 25)	8(-) (4-16) 8(+) (4-14)	8(-) (4-16) 8 (4-14)		
Intermediate particles (2-4 nm) [cm ⁻³]	612 (304 - 1000)	640 (291 - 1200)	358 (128-713)	404 (155-819)		
Large particles (4 - 12 nm) [cm ⁻³]	363 (196 - 675)	314 (179 - 551)	115 (42-250)	141(-) (52-313)		
CPC total particles (>10 nm) [cm ⁻³]	928 (516 - 1500)	2000 (1100 - 3000)	-	-		
SMPS condensation sink [s ⁻¹]	$\frac{1.9 \times 10^{-3}}{(9.5 \times 10^{-4} - 2.4 \times 10^{-3})}$	5.2x10 ⁻³ (2.3x10 ⁻³ -6.1x10 ⁻³)	-	-		
	Environmen	tal parameters				
Temp [°C]	26 (24.4 - 29.9)	25.9 (24.5 – 28.6)	24.1 (23.2 -25.6)	24.5 (23.4 - 26.5)		
RH [%]	92.8 (76 – 97.3)	94.6 (83 - 98)	96.9 (93-98)	94.4 (87 - 97)		
Total average precipitation [mm]	35.6	49	578	236		
Wind direction [°; relative to north]	114.7 (32.7 – 231.5)	94.7 (45.8 – 178.6)	94 (58-138)	105 (58 - 167)		
Wind speed [m s ⁻¹]	1.1 (0.5 - 2.1)	1.3 (0.6 - 2.4)	0.2 (0.2 - 0.3)	0.2 (0.2 - 0.3)		

942 Table 3. The parameters from the pasture site for NPF days and non-event days are shown. The 943 values shown are: the median total particle concentration measured by a CPC, the median neutral 944 particle concentrations measured by the NAIS in two size ranges (2 - 4 and 4 - 12 nm), and the 945 median negative ion concentrations from the NAIS in three size ranges (0.8-2, 2-4 and 4-12 nm). The values are calculated for the time window 08:00 - 12:00, which is when the NPF events take 946 place. The numbers in the brackets represent the 25th and 75th percentiles. The second part of the 947 table includes median numbers of environmental parameters for the whole day: temperature, RH, 948 949 Precipitation and wind direction for NPF /non-event days.

Particle and ion conce	ntrations- 08:00 –	- 12:00 LT
	NPF day	Non NPF day
Cluster ions	1000 (-)	1000 (-)
(0.8-2 nm) [cm ⁻³]	(862 - 1300)	(865 - 1300)
Intermediate ions	10 (-)	8 (-)
(2-4 nm) [cm ⁻³]	(5 - 22)	(4-15)
Large ions	29 (-)	17 (-)
(4-12 nm) [cm ⁻³]	(17 - 56)	(7 - 33)
Intermediate particles	800	640
(2-4 nm) [cm ⁻³]	(865 - 1300)	(281 - 1200)
Large particles	785	321
(4-12 nm) [cm ⁻³]	(446-1300)	(170 - 580)
CPC total particles	1000	938
(>10 nm) [cm ⁻³]	(607 - 1900)	(400 - 1800)
Full	day data	
SMPS Condensation sink	1.8x10 ⁻³	3.2x10 ⁻³
[s ⁻¹]	$(1x10^{-3}-2.8x10^{-3})$	$(1.7 \times 10^{-3} - 5.3 \times 10^{-3})$
Environmental	parameters-full o	lay
	NPF day	Non NPF day
Temp [°C]	26.5	26
	(24.8 - 31.9)	(24.4 - 29.2)
RH [%]	90.6	93.5
	(66.6 – 96.3)	(78.9 – 97.6)
Precipitation rate [mm hr ⁻¹]	0.16	0
	(0.15 – 0.35)	(0 - 0.16)
Wind direction [°; relative to north]	83.8	104.2
	(7.3 – 200.6)	(39.6 – 215.5)
Wind speed [m s ⁻¹]	0.9	1.25
	(0.23 - 1.8)	(0.6 - 2.3)

Table 4. The growth rates (GR, nmh⁻¹) and the nucleation rates (J; cm⁻³s⁻¹) determined from the NAIS ion and particle data for each nucleation event are presented. Both, the GR values and the nucleation rates present median values for positive and negative ions. Also, the median values for the calculated condensation sink values (CS; s⁻¹) for each event are shown. The condensation sink parameter is calculated from the SMPS size distributions. The last line of the table shows the median values for GR and J for all the nucleation event days are shown, except the ion GR at 2-3 nm of 19.8 nmh⁻¹ on 06.02.2014 is considered to be an outlier and not included in the median.

Size bins	2-3 nm			3-7 nm					
	Particles		ions		Particles		Ions		
	GR (nm h ⁻ ¹)	J (cm ⁻³ s ⁻ ¹)	$\mathbf{GR}_{(nm h^{-1})}$	J (cm ⁻³ s ⁻¹)	$\mathbf{GR}_{(nm h^{-1})}$	J (cm ⁻³ s ⁻¹)	$\mathbf{GR} \atop (nm h^{-1})$	J (cm ⁻³ s ⁻¹)	CS (s ⁻¹)
29.01.2014	0.8	0.19	1.4	0.003	2.8	0.097	1.7	0.001	-
30.01.2014	-	-	3.7	0.011	13.6	-	7.1	0.13	0.00076
06.02.2014	0.8	-	19.8	0.07	29	0.87	11	0.01	0.0016
12.02.2014	0.7	0.17	1.2	0.005	1.3	0.09	1.2	0.003	0.0016
12.03.2014	1.1	0.2	1.7	0.002	13.3	-	11.2	0.008	0.0014
13.03.2014	1.5	0.2	1.6	-	1.2	-	8	-	0.0015
18.03.2014	-	-	0.7	0.002	-	-	7.7	0.009	0.0017
25.03.2014	0.8	0.11	_	_	15.7	0.4	15.8	0.018	0.0017
median	0.8	0.18	1.6	0.004	13.3	0.25	7.85	0.009	0.0016

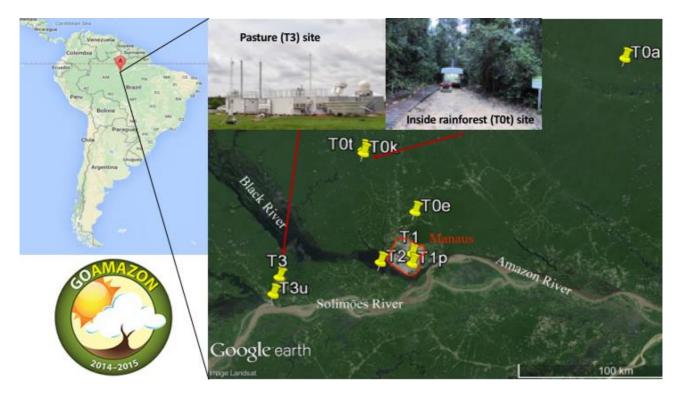


Figure 1. The location on the map and photos of the inside rainforest (T0t) and open pasture (T3) sampling sites in Amazonas is shown here. The left column shows a map of South America and the right-hand side shows a satellite view and photos of the T0t and T3 environment.

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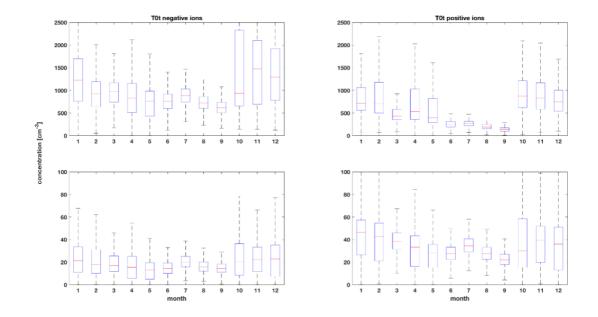
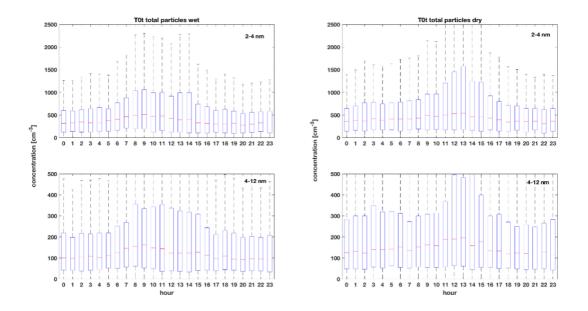


Figure 2. The median annual variations for positive and negative cluster (0.8 - 2nm) and intermediate (2 - 4 nm) ions, from the inside the rainforest site are shown. The boxes show the 25th-75th percentile and the whiskers are 1.5 x IQR (interquartile range), data points beyond the whiskers are considered outliers.



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Figure 3. The median diel patterns of the intermediate (2-4 nm) and the large (4-12 nm) particles from the NAIS measurements at the T0t measurement site are shown. On the left -hand side are the values for the wet and on the right-hand side the values for the dry season. The boxes represent $25^{th} - 75^{th}$ percentiles and the whiskers are 1.5 x IQR (interquartile range), data points beyond the whiskers are considered outliers.

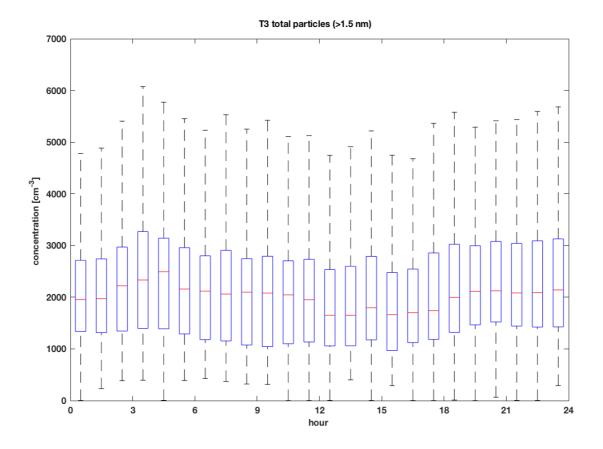
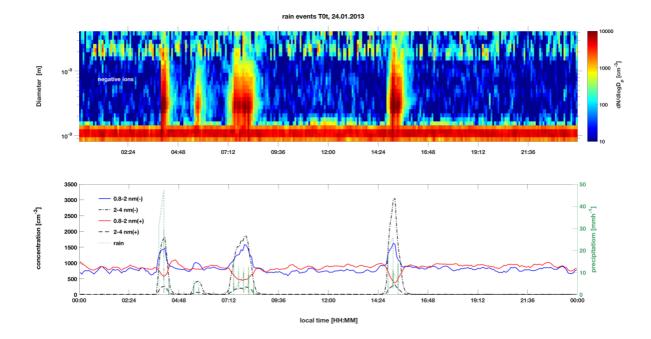


Figure 4. The diel cycle of particles bigger than 1.5 nm measured by the PSM during the dry season at the pasture site is shown. In total, 38 days of data were used. The data show hourly median concentrations, the boxes represent the 25th and 75th percentiles and the whiskers are 1.5 x IQR (interquartile range), data points beyond the whiskers are considered outliers.



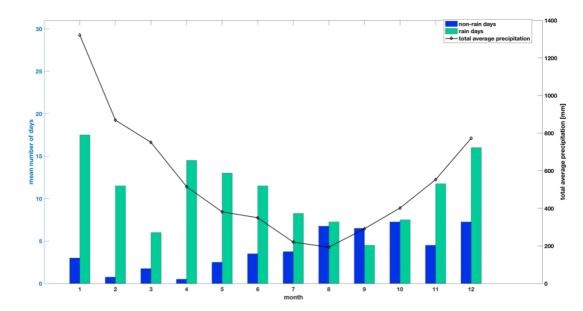
1037 Figure 5. An example for a rain event at the T0t, inside the rainforest measurement site is shown.

1038 The upper panel shows the surface Figure of the NAIS negative ion channel. The lower panel

1039 shows (i) the concentrations of positive (red line), (ii) negative (blue line) cluster ions (0.8 – 2 nm),

1040 (iv) positive (dashed black line), and (v) negative (dot-dashed line) intermediate (2 -4 nm) ions on

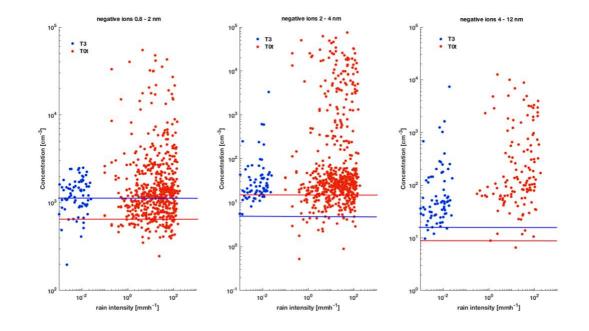
1041 the left - hand axis. The precipitation rate in mmh⁻¹ is shown in green on the right - hand axis.



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Figure 6. The statistics of the precipitation days at the TOt site are shown. The blue bars show the mean number of days per month with no precipitation and the green bars the mean number of days per month with precipitation rates above zero. The black line shows the average total precipitation per month in mm on the right-hand axis.

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1053 Figure 7. The maximum (99 percentile) negative ion concentrations as a function of rain intensity at

the inside rainforest site (T0t) between September 2011 and January 2014 (red circles) are shown.
For comparison, the ion concentrations from the open pasture site are added (blue circles). The
lines indicate the median ion concentrations at the absence of precipitation for T0t (red) and T3
(blue).

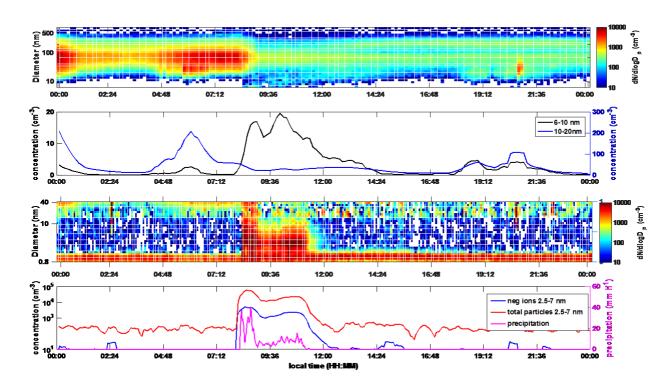
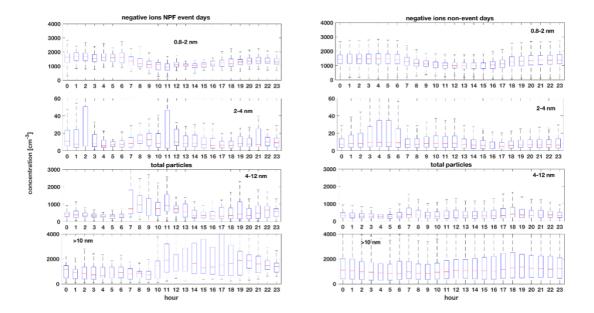


Figure 8. An Example for of a rain-induced event is shown. The upper panel shows the surface Figure for total particles (DMPS). The second panel from the top shows the particle concentrations measured by the DMPS for the size range of 6-10 nm (black line, left-hand axis) and the size range of 10-20 nm (blue line, right-hand axis). The third panel from the top shows the surface Figure for the negative ions, measured by the NAIS. The lower panel shows the negative ion concentrations for 2.5-7 nm in blue and the neutral particle concentration in the same size range from the NAIS in red. For the neutral particles, the scale is on the left-hand axis. The pink trace shows the precipitation rate in mm h⁻¹ on the right-hand axis.



1087Figure 9. The median diel cycles of cluster ions (0.8 - 2nm), intermediate ions (2 - 4 nm), large (4 - 12 nm) neutral particles, and total particles (>10 nm) for new particle formation event days (left)1089and non-event days (right) are shown. The boxes show the $25^{th} - 75^{th}$ percentiles and the whiskers1090are 1.5 x IQR (interquartile range), data points beyond the whiskers are considered outliers.



Figure 10. The median back trajectories for NPF (blue) and non-event (red) days are shown. The trajectories were calculated as ensembles, 24hours backwards arriving at 09:00 local time at 500 m a.s.l. at the open pasture measurement site.



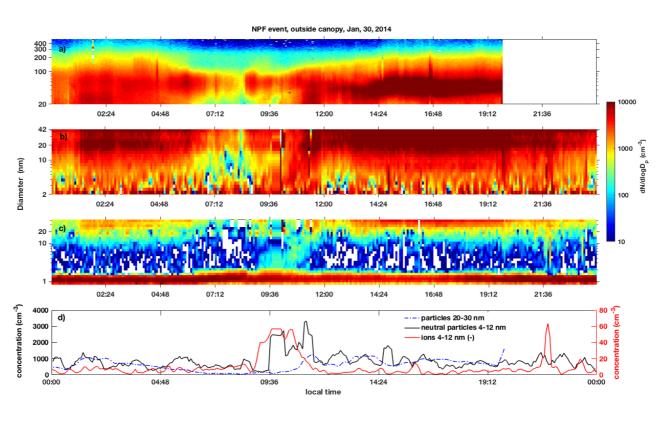


Figure 11. One example of an NPF event day, as observed at the open pasture (T3) site. (a) shows the surface Figure from the SMPS, (b) and (c) show the surface Figures from the NAIS, (b) for neutral particles and (c) for negative ions. The color code indicates the measured concentrations. Panel (d) shows concentrations for the 20-30nm size range from the SMPS on the left-hand axis (blue dashed line). The solid red line shows the 4-12 nm negative ion concentration on the right-hand axis and the solid black line the 4-12 nm neutral particle concentration on the left-hand axis.