

Responses to referees “Measurement-model comparison of stabilized Criegee Intermediate and Highly Oxygenated Molecule production in the CLOUD chamber” by Sarnela et al.

5 Referee’s comments in black

Author’s answers in blue

The revised manuscript with track changes can be found below the responses to the referees.

Responses to Referee #1

10 The authors present measurements of highly oxidised molecules (HOMs) and sulfuric acid (H₂SO₄) using chemical ionization atmospheric pressure interface time-of-flight (CI-API-TOF) mass spectrometry in α -pinene ozonolysis experiments in the CLOUD chamber at CERN. The data are used in conjunction with model calculations to infer yields of HOMs and stabilized Criegee intermediates (sCI), which, in the presence of the OH radical scavenger H₂, are assumed to be responsible for the
15 observed production of H₂SO₄.

The experiments, and analyses of the observations, are challenging, and the manuscript will be of interest to the atmospheric science community. However, I have a number of comments listed below which ought to be addressed prior to publication, notably relating to the treatment of uncertainties and comparisons made between measurements and model simulations without any quantification.

20 We thank the referee for very precise comments. These comments improved the manuscript considerably.

Comments:

Page 1, line 9: Comma after ‘Douglas’.

25 Page 1, line 34: Remove ‘the’ in ‘: : : through the ozonolysis: : :’.
We corrected these mistakes.

Page 2, line 1: What do the authors mean by the term ‘dynamic model’? A kinetic model, or simply calculation of expected production rates, might be a better description.

We changed the description to kinetic model.

30 Page 2, line 1: Remove ‘the’ in ‘: : : for the HOM formation: : :’.
Corrected as suggested.

Page 2, line 2: Please be clear which measured concentrations the model is fit to – sCI concentrations have not been measured directly.

We added “measured sulfuric acid concentration” to clarify the sentence.

35 Page 2, line 4: Please quantify ‘faster than simulated’.

We added a sentence to quantify the results: “In those experiments the simulated and measured concentrations met when the concentration reached plateau but plateau was reached 20-50 minutes later in simulations.”

Page 2, line 11: Remove ‘a’ in ‘: : : can act as a cloud : : :’.

40 Page 2, line 12: Replace ‘have’ with ‘has’ in ‘A lot of effort have been: : :’.
We corrected these errors.

Page 2, lines 28-30 and elsewhere: There is an inconsistency in the use of ‘f’ and ‘ph’ in the spellings for sulfur compounds. IUPAC recommend ‘sulfur’ over ‘sulphur’ and the authors should at least be consistent in their choice for all S compounds throughout the manuscript.

We changed sulphur to sulfur according to IUPAC recommendation.

- 5 Page 2, line 33: The Mauldin III et al. reference does not explicitly demonstrate the reaction of sCI + SO₂, but rather it is inferred as a possible explanation for their measurements.

This is true. We tried to bring this up by stating “the potential of the atmospheric relevance of sCI+SO₂”. We also added a reference of Berndt et al. 2012.

- 10 Page 2, line 35: ‘collision stabilized’ to ‘collisionally stablized’. Is the 2011 reference the most appropriate? There was an awareness of this behaviour prior to 2011.

In revised manuscript we refer also to Herron et al. 1982, which is indeed important to mention.

Page 3, line 10: Please be clear which compound you are referring to in ‘: : : in which the compound is : : :’. Perhaps something along the lines of ‘: : : in which the radical(s) produced after the initial oxidation are: : :’.

- 15 Page 3, line 10,13&elsewhere : Please be consistent is in use of ‘oxidized’ or ‘oxidised’.

Page 3, line 13: Perhaps insert ‘intramolecular’ before ‘H-shift’? It is not clear whether this mechanism was introduced by Ehn et al. as it has been known for many years in combustion chemistry.

Page 3, line 16: ‘oxidixed’.

We corrected these errors as guided.

- 20 Page 3, line 20: Reactions of RO \hat{A} n₂ with NO, RO₂ and HO₂ do not always form closed shell products. We changed the verb to “can” instead of “will” to remove the meaning that closed shell products are the only result.

Page 3, line 25: Remove ‘the’ in ‘: : : at least in the forested regions: : :’.

Page 4, Table 1: CLOUD description ‘residence’.

- 25 Corrected.

Page 4, line 21: The temperature stability seems extremely accurate for such a large volume. How is this achieved? Can the authors be sure there are no temperature gradients? A stability of 0.05 K is perhaps possible for the cooling system used for the chamber, but seems rather accurate for the entire volume of the chamber itself.

- 30 The chamber is designed to achieve a high standard of temperature stability. The chamber is surrounded by an insulated thermal housing. The CLOUD chamber temperature is controlled by precisely regulating the temperature of air circulating in the space between the chamber and the thermal housing and two fans run in counter flow to achieve efficient turbulent mixing of the gases and the ions in the chamber. Forty temperature sensors monitor the temperature of the chamber's external wall and a string of 5 PT100
35 temperature sensors is placed at midplane level inside the chamber, at distances of 0.05, 0.2, 0.4, 0.8, and 1.2 m from the chamber wall. The design of the chamber is well described in Duplissy et al. 2016 and we added this reference to temperature stability.

Page 5, line 8: Please consider changing ‘cutting’.

Page 5, line 9: ‘formed particles’ to ‘particles formed’.

- 40 Page 6, line 5: Is there an additional space before C₆H₈O₇?

Corrected.

Page 6, line 13: What are the uncertainties in the calibration coefficients?

The uncertainty for sulfuric acid concentration is estimated to be +50%/−33%. This estimate is based on a comparison of sulfuric acid measurements with a CIMS and a calibrated H₂SO₄ generator (Kirkby et al. 2016). Sulphuric acid calibration is taken into account in the systematic scale uncertainties of both sulfuric acid and HOM concentrations. As we do not have direct calibration for HOM, we used the same calibration coefficient with additional terms as explained in section “2.3 Estimation of HOM sensitivity”.

Page 7: Please provide some more details regarding the previous determinations of k(HOM), k(SA) and T(SA)/T(HOM). Given HOMs are a wide range of species, how representative is the value of k(HOM) determined experimentally? What are the ranges reported for k(HOM) and k(SA)? Are these upper and lower limits? What were the mean values and uncertainties? The statement that the rates are ‘close to each other’ assumes that k(HOM) and k(SA) are each at the same point in their range, which is not necessarily the case. How would the results from this work be impacted if one were at its upper limit and the other at its lower limit? Please also provide further details on how the systematic uncertainties in H₂SO₄ and HOM concentrations were estimated, how these uncertainties compare to the simulations presented in Figures 4&5 and how the determinations of the yields are affected.

The k(HOM) values presented earlier are not experimental but computational. The general framework for computing collision frequencies using quantum chemical data is discussed in detail e.g. by Garden et al. (2009). The collision frequency depends on the dipole moment and polarisability, both which can be obtained (as discussed in the reference above) fairly accurately with quantum chemical methods (much more accurately than e.g. reaction rate or equilibrium coefficients). For precisely known chemical structures, collision rates computed with high-level quantum chemistry are (according to the above reference) accurate to about 5% (Garden et al. 2009), while more modest levels of theory lead to accuracies of about 20%. For the HOM, the problem is that the structures are not precisely known. Fortunately, the larger the molecule, the less dependent the collision rate is on the precise chemical structure (e.g. location of functional groups with respect to each other). This is because the collision rate depends both on the dipole moment, which varies significantly between structural (and conformational) isomers, and the polarisability, which depends much less on the particular molecular structure (and more on the molecular size, general type of functional groups present, etc). The larger the molecule, the more important the relative contribution of the polarisability compared to the dipole moment. The values in the Ehn et al. (2014) study were based on three representative HOM structures (in line with the general mechanism presented in the paper), with dipole moments varying from 2.1 to 6.3 Debye, and (isotropic) polarizabilities varying from 20.7 to 21.37 Bohr³. The relatively large variation dipole moments and small variation in polarizabilities illustrates the issue described above. Ehn et al. performed calculations at a quite modest level of theory, corresponding to the “inherent” error margin of 20% discussed by Garden et al. (2009). Assuming as an upper limit that the dipole moments of HOM might vary from 1 to 9 Debye (the upper limit corresponding to dipole moments computed for acid-base clusters with proton transfer - likely much larger than the dipole moment of single any oxidised organic molecule), and that the polarizabilities of HOM monomers with ca 10 C atoms and 7-10 O atoms (i.e. masses roughly around 250 amu) varies between 15 and 25 Bohr³ (this relatively wide range includes the 20% error from the computational method), they obtained collision frequencies with HNO₃*NO₃⁻ varying between 1.1×10⁻⁹ and 2.6×10⁻⁹ cm³s⁻¹ mol⁻¹, and collision frequencies with NO₃⁻ varying between 1.5×10⁻⁹ and 3.4×10⁻⁹ cm³s⁻¹ mol⁻¹. Varying the assumed HOM mass between 150 and 350 amu further extended the upper limit of the range to 3.7×10⁻⁹ while the lower limit remained the same with two-digit precision at 1.1×10⁻⁹.

This given range (1.1×10^{-9} to 3.7×10^{-9}) thus represents the feasible maximum and minimum collision rates with $\text{NO}_3^-(\text{HNO}_3)_{0-1}$ for HOM monomers - much higher or much lower values would require very exotic chemical structures (even more so than the polyhydroperoxides already postulated). Mean values cannot be meaningfully computed without more detailed structural information (including actual yields of different structural isomers corresponding to the same elemental composition). However, the above calculations indicate that $k(\text{HOM})$ is unlikely to differ from $k(\text{SA})$ in either direction by much more than a factor of 2 for a quite wide range of potential HOM structures and this is referred as “close to each other” in the text.

We presented HOM yields of 3.5-6.5% with an estimated uncertainty of -60%/+100%. If $k(\text{HOM})$ and $k(\text{SA})$ were at the opposite sides of their range, $k(\text{SA})/k(\text{HOM})$ would be 0.53 or 1.67 instead of our approximation of 1. This would change our yields to values 1.9-10.8%. These yields are still within our uncertainty and close to the yields that Ehn et al. (3.5-10.5%) and Jokinen et al. (1.7-6.8%) have previously presented.

The systematic scale uncertainty for $[\text{H}_2\text{SO}_4]$ is estimated to be +50%/-33%. This estimate is based on a comparison of $[\text{H}_2\text{SO}_4]$ measurements with a CIMS and a calibrated H_2SO_4 generator. After consideration we increased the HOM yield estimated uncertainty from +100%/-50% to +100%/-60%. The systematic uncertainties for $[\text{HOM}]$ have the following sources and fractional errors (1σ): sulfuric acid calibration (50%), charging efficiency of HOMs in the ion source (25%), mass dependent transmission efficiency (50%) and sampling line losses (20%). This results in an overall systematic scale uncertainty for $[\text{HOM}]$ of +80%/-45%. The uncertainty in the HOM yield from ozonolysis is estimated by adding the $[\text{HOM}]$ uncertainty in quadrature with the errors for α -pinene (10%), O_3 (10%), HOM wall loss rate (6%) and rate constants (35% for the α -pinene O_3 reaction). This results in a mean estimated uncertainty in HOM yield of +100%/-60%. This explanation can be found in Kirkby *et al.* 2016 which describes the same CLOUD experiments and that we have referred in the text. We described the uncertainty sources in the text: “We estimated an uncertainty of +80%/-45% for HOM concentrations taking the sulfuric acid calibration, charging efficiency, mass dependent transmission efficiency calibration and sampling line losses into consideration (Jokinen et al., 2015; Kirkby et al., 2016). The uncertainty for HOM yield arises from the uncertainties of α -pinene concentration, O_3 concentration, HOM wall loss rate and rate constants. This results in a mean estimated uncertainty in HOM yield of +100%/-60%.”

Page 8, lines 3-7: Was a yield term required to calculate the production of sCI? What are the references for the temperature-dependent rate coefficients? Are they also Atkinson et al. (2006)?

There is a yield term in sCI production as shown in Eq. 5. In Atkinson et al. (2006) there is an equation for temperature-dependent rate coefficient ($8.05 \times 10^{-16} \exp(-640/T)$). The equation can be found in updated data sheet, and that is now clearly added to the text:

“(Atkinson et al., 2006, updated data sheet can be found: http://iupac.pole-ether.fr/htdocs/datasheets/pdf/Ox_VOC8_O3_apinene.pdf).”

Page 8, line 7: ‘is competed’ to ‘in competition with’.

Corrected.

Page 8, line 9: Is there any evidence for reaction with the water dimer?

CH_2OO has been seen to react fast with water dimer (Berndt *et al.* 2014) but similar results have not been measured with α -pinene.

- Page 8, lines 16: Are the reaction parameters referred to those given in lines 25 & 26?
This was imprecisely written, we corrected: “supporting the reaction parameters achieved by Sipilä et al. (2014)”.
- 5 Page 8, lines 17-18: Which ‘other compound’ does this refer to? The sCI reaction partner? Is this relevant for discussion of reaction with SO₂ or water?
In this we mean the reaction partner and we changed the term as “reaction partner”. With these sentences we wanted to explain that sCI does not react with SVOCs that are in the chamber as written in the subsequent sentence which leaves water as the only competitive reagent with SO₂.
- 10 Page 8, lines 20-22: Please consider some additional brackets in the equations.
We added brackets for clarification.
- Page 9, line 1: Please consider changing ‘minima and maxima’ to ‘lower and upper limits’ if this is what is being reported.
Changed as suggested.
- 15 Page 10, line 9: Can the ‘low’ concentrations of contaminants be quantified?
With this sentence we meant that as it can be seen in Fig. 1, most of the compounds that we see in the spectra are HOM (or sulfuric acid in lower mass range). Surely there are other identified and unidentified compounds in the spectra but major peaks are SA or HOM. We cannot quantify the rest of the compounds without calibration.
- 20 Page 12, Figure 2: Are the data shown in (b) included in Figures 4&5? Is it necessary to reproduce the plots? Inclusion of the model simulations in Figures 4&5 make is more informative than the data shown in Figure 2.
Yes the data is also shown in Fig 4. and 5. With this plot we wanted to show how the particle formation is linked to the vapours. The particle concentrations are not shown in any other figures. The comparison of source vapours and the particle concentrations is in our opinion easier when they are plotted in the
- 25 same figure.
- Page 12, line 13: Can the statement ‘formed right after RO₂’ be quantified? How soon is ‘right after’?
We changed the sentence to “The formation of closed shell monomers (C₁₀H₁₄O₇ and C₁₀H₁₄O₉) started a few minutes after the RO₂.” to give the order of magnitude of the time.
- 30 Page 14, line 16: Quantify ‘fast’.
This was unclearly written. We changed it to “in two hours”.
- Page 14, line 18: What was the expectation based on? If this uses model simulations can these be shown?
We took the sentence as expected off since no additional simulations were used.
- Page 14, line 24: Is there a closing parenthesis missing?
- 35 Page 14, line 32: Remove ‘to’ following ‘: : relatively high for : : :’.
Corrected as suggested.
- Page 15, Figure 4: Which parameters were varied in the fitted simulation?
We specified “simulation with fitted yield term to the measured concentration” to make this clear.
- 40 Page 15, line 3: Is the hyphen needed in ‘-chamber’?
Hyphen is removed.
- Page 15, line 11: Quantify ‘slightly higher’.

We added the exact concentrations to the text “(measured 1.6×10^7 molecules cm^{-3} , upper range simulated concentration 1.2×10^7 molecules cm^{-3})”.

Page 16, lines 1&7&22: Quantify the terms ‘matched perfectly’, ‘increased significantly’ and ‘reproduce the measured concentrations very well’.

5 Thank you for these remarks, clearly we have described some of our results vaguely. We added following sentences to make our statements more precise:

“the simulated time evolution matched perfectly with the measured concentrations, so that the trend in measured and simulated concentration was identical and the difference of simulated concentration from measured concentration did not exceed 30%.”

10 “increased significantly (two-fold increase in both condensation sink and sCI yield).”

“The highest difference between simulated and measured concentration was 40% but in most experiments the simulated and measured concentrations matched within 20% difference.”

Page 16, line 11: Is there a full stop missing at the end of the sentence?

Full stop added.

15 Page 17, Figure 5: What does the colour in the plot represent that isn’t listed in the legend? Is it the overlap between the simulations using Ehn results with those of Jokinen? Uncertainty in the fit? Which parameters were fitted?

It is the overlap and it is explained in the caption. “The overlapping area within the error estimates of these studies is coloured in orange shade.” We also added “The simulation with fitted yield term...” to the caption.

20

Page 18, line 21: Which reaction parameters specifically? What is meant by ‘broader modelling’?

We specified the parameters “thus making the experimentally determined yield and loss terms more reliable for following modelling and theoretical use”

References: Several formatting issues (e.g. page 19 line 19, page 20, line 11) and with subscripts.

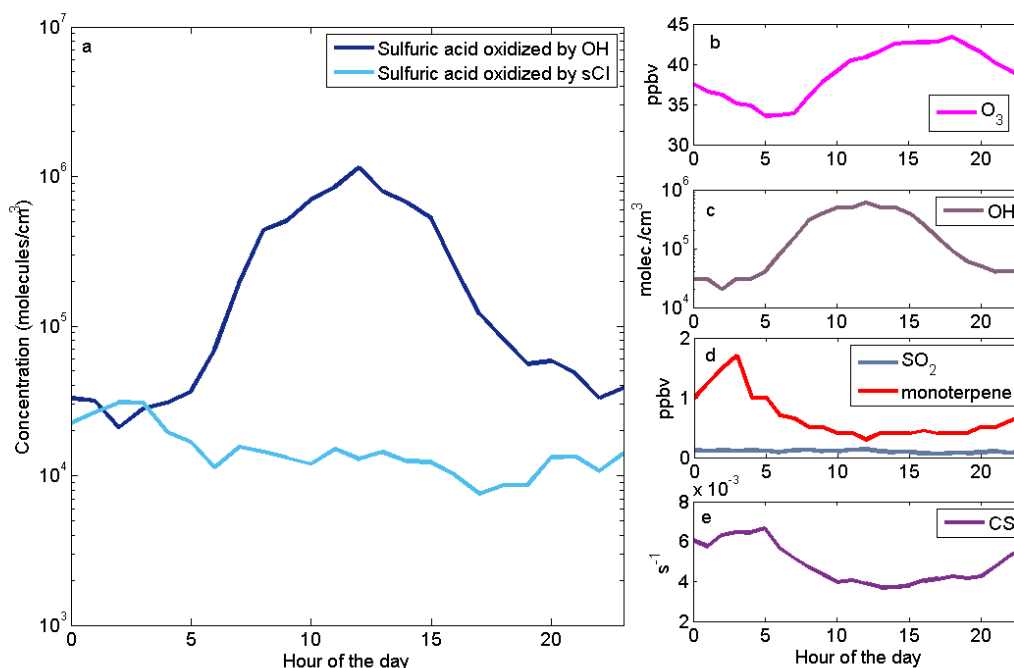
25 Errors corrected.

Responses to Referee #2

Sarnela et al. presented α -pinene ozonolysis experimental results regarding modelled and observational comparisons of H_2SO_4 from sCI bi-radical reactions and HOM. This paper is clearly written and provides a comprehensive overview on α -pinene ozonolysis. The discussion about the current photochemical understanding of α -pinene ozonolysis contained in the 0D box model is well developed by comparing with observational results using a Api-Tof-CIMS instrument. The discussion outcomes will be highly beneficial to the research community so I support the publication of the manuscript. I would like to see some clarification on the argument in the conclusion suggesting the potential importance of the roles of the sCI sulfuric acid formation pathway during the night time when OH becomes absence. However, in this time, ozone should be also low due to low photochemical activities. Therefore, it would be more informative to provide quantitative comparisons between the OH and the sCI pathways by calculating 24 hour H_2SO_4 productions from the both pathways using typical ozone and OH diurnal averages.

40 We thank the referee for the positive appraisal of the manuscript. We also thank for the fine suggestion how to better show the importance of sCI in SA formation during night time. We added a new paragraph and a figure to the manuscript with the 24 hour H_2SO_4 production calculation.

“To get more insight in the sulfuric acid production with ambient concentrations we calculated 24 hour production of sulphuric acid from OH and sCI oxidation pathways. We used typical spring – summer time concentrations of precursors in boreal forest: measured OH concentrations (medians of event day concentrations from late March to early June, Petäjä et al., 2008), measured O₃, SO₂, (medians of concentrations from April to June in 2013, Smart-SMEAR <https://avaa.tdata.fi/web/smart/smea>, Junninen et al., 2009), measured monoterpene concentrations (concentrations measured in July 2004, Rinne et al., 2005) and calculated condensation sink values (median of data from April to June 2013 Junninen et al., 2009; Kulmala et al., 2001). The 24 hour sulfuric acid productions were calculated with sCI yield of 22% and the results are shown in Fig. 5a. During the daytime the sulfuric acid produced by OH dominates but during night time both of the production pathways are important. In this example with conditions of boreal forest the SO₂ concentration is significantly lower than in our experiments (Fig 5d, around 0.1 ppbv). Ozone concentrations are the lowest during early morning being around 35 ppbv while the concentration reach 43 ppbv in the evening. The importance of sCI in the sulfuric acid production strongly depends on the monoterpene concentrations: in this example the monoterpene concentration is the highest during early hours and at that time the sulfuric acid concentration reaches 4×10^4 molecules/cm³. We calculated sCI yield term of 32% in our experiment with low SO₂ and if we use that yield term in the calculation the highest sulfuric acid concentration is 6×10^4 molecules/cm³.”



20 **Figure 5. Example of sulfuric acid concentration produced by OH and sCI in ambient boreal forest conditions (a). The precursor gas concentrations and condensation sink used are shown in plots b-e.**

References:

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5 Measurement-model comparison of stabilized Criegee Intermediate and Highly Oxygenated Molecule production in the CLOUD chamber

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35 **Abstract.** Atmospheric oxidation is an important phenomenon, which produces large quantities of low-volatile compounds such as sulphuric acid and oxidised organic compounds. Such species may be involved in nucleation of particles and enhance their subsequent growth to reach the size of cloud condensation nuclei (CCN).

In this study, we investigate α -pinene, the most abundant monoterpene globally, and its oxidation products formed through ~~the~~ ozonolysis in the Cosmic Leaving OUtdoors Droplets (CLOUD) chamber at CERN (the European Organization for Nuclear Research). By scavenging hydroxyl radicals (OH) with hydrogen (H₂), we were able to investigate the formation of Highly Oxygenated Molecules (HOM) purely driven by ozonolysis, and study the oxidation of ~~sulph~~sulfur dioxide (SO₂) driven by stabilized Criegee Intermediates (sCI). We measured the concentrations of HOM and ~~sulph~~sulfuric acid with a chemical ionization atmospheric pressure interface time-of-flight (CI-API-TOF) mass spectrometer and compared the measured concentrations with simulated concentrations calculated with a ~~dynamic~~kinetic model. We found molar yields in the range of 3.5 – 6.5% for ~~the~~HOM formation and 22 - 32% for the formation of stabilized Criegee Intermediates by fitting our model to the measured ~~sulfuric acid~~ concentrations. The simulated time evolution of the ozonolysis products was in good agreement with measured concentrations except that in some of the experiments ~~sulph~~sulfuric acid formation was faster than simulated. In those experiments the simulated and measured concentrations met when the concentration reached plateau but plateau was reached 20-50 minutes later in simulations. The results shown here are consistent with the recently published yields for HOM formation from different laboratory experiments. Together with the sCI yields, these results help to understand atmospheric oxidation processes better and make the reaction parameters more comprehensive for broader use.

1. Introduction

Atmospheric new-particle formation begins when trace gases form small molecular clusters, which can grow to larger sizes through the condensation of vapours. When they have reached a large enough diameter, these particles can act as a cloud and ice condensation nuclei that may affect the optical properties of clouds or have other effects on climate and air quality such as decrease in visibility. A lot of effort have been put into identifying the vapours responsible for nucleation and growth of the particles. Various studies have identified ~~sulph~~sulfuric acid and low volatility organic compounds as the key compounds in atmospheric new particle formation (Bianchi et al., 2016; Kirkby et al., 2016; Riccobono et al., 2014; Riipinen et al., 2011; Sihto et al., 2006; Tröstl et al., 2016; Weber et al., 1997; Wehner et al., 2005). Other important aerosol precursors identified in several laboratory studies include ammonia and amines (Almeida et al., 2013; Ball et al., 1999; Murphy et al., 2007). Laboratory measurements (Almeida et al., 2013; Berndt et al., 2010, 2014b; Jen et al., 2014; Kirkby et al., 2011) and computational studies (Kurtén et al., 2008; Paasonen et al., 2012) suggest that ammonia and amines can enhance particle formation but it is challenging to estimate their importance in the ambient atmosphere without comprehensive measurements of their concentration in the atmosphere. Neutral ~~sulph~~sulfuric acid – amine clusters have been observed in the CLOUD chamber experiments (Kürten et al., 2014) but similar neutral clusters have not yet been detected in the atmosphere. Field studies suggest that iodine oxides could be the key compounds for new particle formation in coastal areas during periods when high tidal movements expose algae beds to sunlight (O’Dowd et al., 2002; Sipilä et al., 2016). However, these iodine oxides do not appear as abundantly in the atmosphere as ~~sulph~~sulfuric acid or low volatile organic vapours, so their importance seem to be limited to coastal areas.

~~Sulph~~Sulfuric acid is linked with new particle formation events all around the world (Kulmala et al., 2004). Gas phase ~~sulph~~sulfuric acid was previously thought to be formed solely via OH-radical oxidation of ~~sulph~~sulfur dioxide (SO₂) and dimethylsulfide (Lucas and Prinn, 2005). However, stabilized Criegee Intermediates (sCI), formed in a reaction between unsaturated hydrocarbons and ozone, are also capable of oxidising SO₂ into

~~sulph~~sulfuric acid. While sCI's (Chuong et al., 2004; Donahue et al., 2011; Drozd and Donahue, 2011) and their reactions with SO₂ (Cox and Penkett, 1971) have been investigated for decades, the potential of the atmospheric relevance of sCI+SO₂ was demonstrated more recently (Berndt et al., 2012; Mauldin III et al., 2012; Welz et al., 2012). In the reaction between ozone and alkenes, a primary ozonide is formed which decomposes quickly to a carbonyl and a carbonyl oxide known as the Criegee Intermediate (Criegee, 1975). A fraction of the Criegee Intermediates can be collisionally stabilized to form sCI (Donahue et al., 2011; Herron et al., 1982). In the case of α -pinene and other endocyclic alkenes, both functional groups—the carbonyl and Criegee Intermediate—remain in the same molecule. Recent studies indicate that the sCI can have a significant role in ambient ~~sulph~~sulfuric acid formation (Boy et al., 2013; Welz et al., 2012; Yao et al., 2014). Determining the reaction rate constants for sCI + SO₂ reactions has been challenging and the previous estimates have varied considerably due to the lack of direct measurements of sCI compounds (Johnson and Marston, 2008). Recent studies (Berndt et al., 2012; Mauldin III et al., 2012; Welz et al., 2012) with new experimental methods have shown up to four orders of magnitude higher reaction rate constants for the reaction between a sCI and SO₂ compared to previous estimates. Also differences between the reactivity of sCI derived from different alkenes and their reactivity towards SO₂, water and several other atmospheric compounds has been emphasized (Berndt et al., 2014a; Sipilä et al., 2014; Taatjes et al., 2013; Vereecken et al., 2012, 2014).

The other important reaction pathway associated with ozonolysis of alkenes, such as α -pinene, is the formation of Highly Oxygenated Molecules (HOM, Ehn et al., 2014; Kirkby et al., 2016; Tröstl et al., 2016). Crouse et al. (2013) suggested that autoxidation, in which the radicals produced after the initial oxidation are compound is oxidised by atmospheric oxygen, plays an important role in the atmospheric oxidation of organic compounds. Organic radicals, including radicals formed when Criegee Intermediates decompose, will react with molecular oxygen (O₂) to form an peroxy radical (RO₂). The RO₂ can subsequently undergo an intramolecular H-shift, which will be followed by subsequent O₂ addition to form a more oxidized RO₂. According to the mechanism introduced by Ehn et al. (2014), the RO₂ can undergo several additional reactions with O₂, which eventually leads to the formation of HOM, also referred to as Extremely Low Volatility Organic Compounds (ELVOC, Donahue et al., 2012; Ehn et al., 2014; Jokinen et al., 2015) or Highly Oxidized Multifunctional organic compound (HOM, Ehn et al., 2012). Here we call them HOM, as it was recently recognized, that not all HOM necessarily are extremely low volatile (Tröstl et al., 2016). The RO₂ can also react with nitrogen oxide (NO), hydroperoxyl radical (HO₂) or another RO₂, which can will terminate the autoxidation reaction chain and form a closed shell product. The molar yield of HOM formed from α -pinene and ozone is reported to be around 3-7% (Ehn et al., 2014; Jokinen et al., 2015; Kirkby et al., 2016).

In Earth's atmosphere α -pinene is the most abundant monoterpene having yearly emissions of 50 Tg globally (Guenther et al., 1995; Seinfeld and Pankow, 2003) and around 80% of the emitted α -pinene undergo oxidation via ozonolysis (Griffin et al., 1999). The high yields of HOM acting as condensing vapours can explain a large portion of the formed secondary organic aerosol (SOA) at least in ~~the~~ forested regions. At atmospheric pressure, ozonolysis of the endocyclic α -pinene generates sCI with a low but important yield, measured to be around 15% (15% (Drozd and Donahue, 2011), 15% \pm 7% (Sipilä et al., 2014)).

In this study we conducted pure ozonolysis experiments in which OH was removed by a scavenger in the CLOUD chamber facility at CERN (Kirkby et al., 2011; Duplissy et al., 2016) during the CLOUD7 campaign in fall 2012.

We examined the formation of sulphuric acid originating from Criegee Intermediate oxidation and of HOM from α -pinene oxidation and compared the temporal trends of the measured to the modelled concentrations. The modelling of HOM concentration was based on the experimental yield terms obtained from recent studies by Ehn et al. (2014) and Jokinen et al. (2015) while the sulphuric acid concentration was modelled using the reaction coefficient and the yield term from the study by Sipilä et al. (2014). In addition, we calculated the yield terms for sCI and HOM formation in the CLOUD experiments by fitting our model to the measured sulphuric acid and HOM concentrations.

2. Methods

2.1 Experiments

We conducted the experiments in the CLOUD chamber, which is a 26.1 m³ electro-polished stainless steel cylinder at CERN (Geneva, Switzerland, Kirkby et al., 2011; Duplissy et al., 2016). We compared our results to previous experiments of α -pinene ozonolysis conducted in the Tropos Laminar Flow Tube (Berndt et al., 2005) and the Jülich Plant Atmosphere Chamber facility (Mentel et al., 2009)(Table 1). In contrast to these experimental facilities, the CLOUD chamber has a smaller wall loss rate (e.g. around $1.8 \times 10^{-3} \text{ s}^{-1}$ for sulphuric acid), which is similar to the condensation sink in relatively unpolluted ambient environments. This feature allows us to investigate nucleation and growth processes with precursors at atmospherically relevant concentrations.

Table 1. Description of different experimental systems compared in this study

	TROPOS-LFT	JPAC	CLOUD
	Tropos Laminar Flow Tube, Leipzig, Germany (Berndt et al., 2005)	Jülich Plant Atmosphere Chamber, Jülich, Germany (Mentel et al., 2009)	The Cosmics Leaving Outdoor Droplets, Geneva, Switzerland (Kirkby et al., 2011)
Description	Laminar flow glass tube with 40s residence time	Borosilicate glass chamber with 45min residence time	Stainless steel chamber with 3h residence time
Volume	0.025 m ³	1.45 m ³	26.1 m ³
Temperature	293 K	289 K	278 K
RH	25-50%	63%	38%
Wall loss	10-27%	$11 \times 10^{-3} \text{ s}^{-1}$	$1.2-1.9 \times 10^{-3} \text{ s}^{-1}$
Scavenger (for OH)	H ₂ , propane	CO	H ₂

For this study, only α -pinene ozonolysis experiments fulfilling certain conditions were selected:

- Only ozone, α -pinene and sulphur dioxide were added as precursors to the chamber
- H₂ was used as OH scavenger
- Ions were constantly removed from the chamber (i.e. neutral conditions)
- 38% relative humidity and 278 K temperature

We used two electrodes operating at voltages of +/-30 kV inside the chamber to produce an electric field of 20 kV/m throughout the chamber which removed all the ions in order to maintain neutral conditions. All the experiments were done at 278 K and the thermal insulation kept the temperature stable within 0.05 K (Duplissy et al., 2016). The relative humidity was kept at 38% during all the experiments. The synthetic air used in the chamber was provided from cryogenic liquid N₂ and O₂ (79:21, volume ratio) and 0.1% of H₂ was added to the air to scavenge all the hydroxyl radicals (OH) and prevent any OH-initiated reactions. The ozone mixing ratio was kept around 22 ppbv in all the experiments. Sulphur dioxide was added to the chamber at a mixing ratio around 70 ppbv in four experiments and at a mixing ratio of 17 ppbv in one experiment. α -Pinene was supplied with mixing ratios varying between 80 pptv and 600 pptv from a temperature controlled evaporator using N₂ as a carrier gas. Two counter-rotating stainless steel fans are mounted inside the chamber to achieve efficient turbulent mixing of the gases and ions (Voigtländer et al., 2012). The total flow through the chamber is kept constant during the experiments.

We started the ozonolysis experiments with a constant concentration of SO₂, O₃ and H₂ in the chamber (background measurement). Then we injected α -pinene into the chamber with a constant flow rate during the whole experiment (4-7 hours). In between the experiments, the chamber was cleaned by closing the α -pinene flow and flushing the chamber with pure air (mixture of evaporated liquid nitrogen and liquid oxygen). All formed particles were removed by repeated charging the particles and applying the high-voltage clearing electric field inside the chamber. The conditions of each experiment are shown in Table 2.

Table 2: The measured concentrations of precursor vapours (ozone, α -pinene and sulphur dioxide), formation rates at 2.5 nm, growth rates of sub-3nm particles and calculated yields for sCI and HOM during the experiments.

	O ₃ (ppbv)	α -pinene (pptv)	SO ₂ (ppbv)	Formation rate (cm ⁻³ s ⁻¹)	Growth rate (nm h ⁻¹)	sCI yield (%)	HOM yield (%)
1	22	80	72	13.26	1.88	22	5
2	24	80	72	9.11×10 ⁻²	1.50	22	3.5
3	22	600	67	47.98	7.21	23	6
4	22	170	68	3.95	2.32	24	5.5
5	22	530	17	18.10	3.77	32	6.5

2.2 Instruments

A Proton Transfer Reaction Mass Spectrometer (PTR-MS, Ionicon Analytik GmbH, Lindinger et al., 1998) was used to measure the concentrations of volatile organic compounds (including α -pinene). The neutral particle size distribution of 2-40 nm particles was measured with a Neutral cluster and Air Ion Spectrometer (NAIS, Mirme and Mirme, 2013). The particle size distribution of 5 to 80 nm particles was measured with a nano Scanning Mobility Particle Sizer (nanoSMPS, Wang and Flagan, 1990) and the condensation sink due to particles in the chamber was calculated from the size distribution. Sulphur dioxide concentration was measured with a high

sensitivity pulse fluorescence analyzer (model 43i-TLE; Thermo Fisher Scientific Inc), and ozone with a UV photometric ozone analyzer (model 49C, Thermo Environmental Instruments).

The gas-phase sulphuric acid and HOM were detected with a nitrate ion-based Chemical Ionization Atmospheric Pressure interface Time of Flight mass spectrometer (nitrate-CI-APi-TOF, Tofwerk AG, Thun, Switzerland and Aerodyne Research Inc., USA, Jokinen et al., 2012; Junninen et al., 2010). A soft X-ray source (Hamamatsu L9490) was deployed to ionize nitric acid to nitrate ions ($(\text{HNO}_3)_{0.2}\text{NO}_3^-$), which were used as the reagent ions for the chemical ionization. The ionization method is selectively suited for detecting strong acids such as sulphuric acid or methane sulfonic acid (Eisele and Tanner, 1993). In the case of the oxidised organic compounds, it requires molecules to have at least two hydroperoxy (OOH) groups or some other H-bond donating groups to be ionized (Hyttinen et al., 2015). A previous study of cyclohexene ozonolysis showed that in contrast to highly oxygenated products such as $\text{C}_6\text{H}_8\text{O}_7$ and $\text{C}_6\text{H}_8\text{O}_9$ (with three carbonyl groups and two and three hydroperoxy groups, respectively) products like $\text{C}_6\text{H}_8\text{O}_5$ (three carbonyl groups and one hydroperoxy group), could not be detected (Rissanen et al., 2014). However, in previous α -pinene experiments oxidised products with a O:C ratio of as low as 0.6 have been detected (Jokinen et al., 2015; Praplan et al., 2015).

The concentration of sulphuric acid was calculated according to equation 1, where a calibration coefficient c is applied on the count rates of the bisulfate ion and its cluster with nitric acid normalized to the sum of count rates of reagent ions (Jokinen et al., 2012). To obtain the calibration coefficient c , the instrument was calibrated for sulphuric acid with a calibration setup described by Kürten et al. (2012). The calibration constant was measured to be 5×10^9 molecules/cm³. Taking sample tube losses into account a value of 1.25×10^{10} molecules/cm³ was obtained for c .

$$[\text{H}_2\text{SO}_4] = \frac{\text{HSO}_4^- + (\text{HNO}_3)\text{HSO}_4^-}{\text{NO}_3^- + (\text{HNO}_3)\text{NO}_3^- + (\text{HNO}_3)_2\text{NO}_3^-} \times c \quad (1)$$

In the experiments the concentration of sulphuric acid clusters was low since there were no stabilizing agents such as amines or ammonia added into the chamber. Thus the vast majority of the sulphuric acid concentration was in form of a monomer, not in the clusters as “hidden sulphuric acid” (Rondo et al., 2016). At most, less than 2% of the total sulphuric acid concentration was involved in the clusters while most of the time no sulphuric acid clusters were detected.

2.3 Estimation of HOM sensitivity

In this study, we counted all the α -pinene oxidation products that were detected and identified with nitrate-CI-APi-TOF as HOM. The total concentration of HOM was calculated by summing up the high resolution fitted signals of identified highly oxygenated compounds (see the full list of peaks in the Appendix). These compounds were detected in the range of 220 – 620 Th and their O:C ratios were between 0.6 and 1.3. Most of the elemental compositions found in the experiments were the same as have been published by Ehn et al., (2012) and Jokinen et al. (2014). The sum of signals was divided by reagent ion signals and multiplied by the same calibration constant that was used for sulphuric acid (Eq. (2)).

$$[\text{HOM}] = \frac{\sum \text{HOM} \cdot \text{NO}_3^-}{\text{NO}_3^- + (\text{HNO}_3)\text{NO}_3^- + (\text{HNO}_3)_2\text{NO}_3^-} \times c \quad (2)$$

Since we did not have a direct calibration method for HOM, we considered three additional terms, which may affect the detection of molecules before the calibration constant of [sulphsulfuric acid](#) can be used (Eq. (2), (Kürten et al., 2014)).

$$5 \quad [\text{HOM}] = \frac{k_{SA}}{k_{HOM}} \times \frac{T_{SA}}{T_{HOM}} \times \frac{e_{SA}}{e_{HOM}} \times \frac{\sum \text{HOM} \cdot \text{NO}_3^-}{\text{NO}_3^- + (\text{HNO}_3)\text{NO}_3^- + (\text{HNO}_3)_2\text{NO}_3^-} \times c \quad (3)$$

The first term k_{SA}/k_{HOM} corrects for the difference in reaction rate between the HOM and the reagent ions compared to [sulphsulfuric acid](#) and the reagent ions. In the chemical ionisation method, there is an excess of nitric acid in the drift tube, where the sample flow and reagent ions meet. The nitrate dimer, $\text{HNO}_3\text{NO}_3^-$, is an extremely stable cluster, which means that if there are some other clusters forming with NO_3^- in the drift tube, they need to be even more stable than the nitrate dimer. As we can detect a large total signal of HOM-nitrate clusters, we can assume that they are very stable. If we assume that all the HOM that collide with nitrate ions in the drift tube form clusters and stick together subsequently, we get the lower limit of HOM concentration from our measurements. If all collisions would not in reality produce clusters or if some fraction of the clusters would decompose in the drift tube or inside the high vacuum region of the TOF, the real concentrations of HOM would be higher than assumed by this method. Ehn et al. (2014) reported calculated collision limited reaction rates of $k_{HOM} = (1.5\text{--}2.8) \times 10^{-9} \text{ cm}^3 \text{ s}^{-1}$ for HOM and $k_{SA} = (1.5\text{--}2.5) \times 10^{-9} \text{ cm}^3 \text{ s}^{-1}$ for [sulphsulfuric acid](#). [To achieve these values Ehn et al. used the formulation of Su and Bowers \(Su and Bowers, 1973\) and assumed some possible structures of HOM and calculated the collision frequencies of nitrate clusters \$\(\text{HNO}_3\)_{0-2}\text{NO}_3^-\$ with selected HOM and sulfuric acid.](#) -The collision limited reaction rates are so close to each other that we approximated the term k_{SA}/k_{HOM} to be 1.

The second term T_{SA}/T_{HOM} describes the differences in the transmission efficiency of different sized molecules or clusters through the sampling line, as increasing size of the molecule or cluster implies smaller diffusivity. A third term e_{SA}/e_{HOM} takes into account the mass discrimination effects inside the mass spectrometer. The total effect of the terms T_{SA}/T_{HOM} and e_{SA}/e_{HOM} was determined experimentally with a high resolution differential mobility analyser (HR-DMA) method (Junninen et al., 2010). By this method, trioctylmethylammonium bis(trifluoromethylsulfonyl)imide particles were produced with an electrospray, and size ranges were selected with a high-resolution Vienna type Differential Mobility Analyzer (UDMA, Steiner et al., 2010) and the selected size range was guided to the API-TOF. To calculate the transmission the signal in mass spectrometer was divided by the signal in electrometer. The transmission in the mass range between 90 and 600 Th varied so that the largest difference compared to the transmission of [sulphsulfuric acid](#) was 1.4-fold at 320 Th (7.3×10^{-4}). Since HOM could be measured over a wide mass range, the transmission varied between individual HOM molecules ($(6.4\text{--}10.4) \times 10^{-4}$). The averaged difference of the transmissions was around 30% so that the transmission of HOM signals was higher than the [sulphsulfuric acid](#) signals, and this was taken into account in the concentration calculations by correcting the values according the transmission curve.

We estimated a systematic uncertainty of +50%/-33% for the ~~sulph~~ sulfuric acid concentration (Kirkby et al., 2016). The estimation is based on the uncertainty of the ~~sulph~~ sulfuric acid calibration and a comparison with the ~~sulph~~ sulfuric acid concentration measured by an other CIMS instrument (independently measured ~~sulph~~ sulfuric acid concentration at CLOUD experiments (Kürten et al., 2011)). For the HOM concentration the uncertainty is larger due to lack of a direct calibration method. We estimated an uncertainty of +80%/-45% ~~100%/-50%~~ for HOM concentrations taking the ~~sulph~~ sulfuric acid calibration, charging efficiency, mass dependent transmission efficiency calibration and sampling line losses into consideration (Jokinen et al., 2015; Kirkby et al., 2016). The uncertainty for HOM yield arises from the uncertainties of α -pinene concentration, O_3 concentration, HOM wall loss rate and rate constants. This results in a mean estimated uncertainty in HOM yield of +100%/-60%.

2.3 The simulations of sCI and HOM concentrations

The temporal behaviour of the reaction products from monoterpene ozonolysis in the CLOUD chamber was simulated with a 0-dimensional dynamic model. The production of stabilized Criegee Intermediates was calculated from the measured α -pinene and ozone concentrations using a reaction rate coefficient of $8.05 \times 10^{-17} \text{ cm}^3 \text{ s}^{-1}$ (Atkinson et al., 2006, updated data sheet can be found: http://iupac.pole-ether.fr/htdocs/datasheets/pdf/Ox_VOC8_O3_apinene.pdf). Since the temperature of CLOUD experiments was lower than in previous experiments done in TROPOS-LFT and JPAC (Table 1) we used a lower reaction rate coefficient ($8.66 \times 10^{-17} \text{ cm}^3 \text{ s}^{-1}$ in JPAC experiments and $1.1 \times 10^{-16} \text{ cm}^3 \text{ s}^{-1}$ in TROPOS-LFT experiments). The reaction of sCI with SO_2 is in competition ~~competed~~ with the reaction of sCI with water vapour, thus, three loss paths were taken into account for the sCI: (1) its reaction with ~~sulph~~ sulfur dioxide (k_{sCI+SO_2}), (2) the thermal decomposition of sCI (k_{dec}) and (3) its reaction with water vapour (k_{sCI+H_2O}). The latter two reactions are included in the loss term k_{loss} (Eq. (4)). The condensation sink, wall loss and dilution are negligible compared to the loss term k_{loss} . The reaction rate of sCI and water vapour has been found to strongly depend on the structure of the Criegee Intermediate (Berndt et al., 2014c; Huang et al., 2015) and for the monoterpene-derived sCIs, the relative rate coefficients k_{loss} / k_{sCI+SO_2} was found to be nearly independent of the relative humidity (Sipilä et al., 2014). The kinetic study of Huang et al. (2015) suggested that sCIs with more complicated substitution groups (such as α -pinene derived sCIs) react with water slowly but react with SO_2 quickly, thus, supporting the reaction parameters achieved by Sipilä et al. (2014) ~~used~~. Other possible loss paths of sCI are considered to be negligible. The studies of Vereecken et al. (2012 and 2014) show that a high substitution of CI and/or the ~~other compound~~ reaction partner result in strong steric hindrance between the substituents, which effectively inhibits reactions between them. Thus, reactions between monoterpene-derived sCI and SVOCs are not favorable.

$$k_{loss} = k_{dec} + (k_{(sCI+H_2O)} \times [H_2O]) \quad (4)$$

$$\frac{d[sCI]}{dt} = (Y_{sCI} \times k_{O_3+\alpha\text{-pinene}} \times [O_3] \times [\alpha\text{-pinene}]) - (k_{loss} \times [sCI]) - (k_{sCI+SO_2} \times [SO_2] \times [sCI]) \quad (5)$$

The concentration of sCI was calculated according to equation 5, in which the values of the reaction rate coefficient (k_{sCI+SO_2}), the sCI yield term (Y_{sCI}) and the loss term (k_{loss}) were taken from the TROPOS-LFT measurements (Sipilä et al., 2014). Those measurements were conducted at 50% relative humidity (RH) and the derived sCI yield from the reaction between α -pinene and ozone was determined to be 0.15 ± 0.07 and the ratio between the loss term

and k_{sCI+SO_2} was $(2.0\pm 0.4) \times 10^{12}$ molecules cm^{-3} . Sipilä et al. (2014) also found that in the case of α -pinene and limonene the ratio k_{loss} / k_{sCI+SO_2} was nearly independent of the relative humidity therefore we neglected the difference in RH of the experiments shown here (38%) compared to the experiments at TROPOS-LFT (50%). The temperature was 278 K in the CLOUD experiments whereas it was 293 K in the previous experiments. The influence of temperature on the H_2SO_4 formation from the gas-phase reaction of monoterpene-derived sCIs has not yet been investigated. It is very likely that k_{dec} is higher at higher temperatures, which would cause underestimation of the sulphuric acid concentration in the CLOUD simulations, where we are using the loss term derived from experiments performed at higher temperature. In the sCI yield experiments performed with acetone oxide the temperature influence on the ratio of k_{dec} / k_{sCI+SO_2} was 2-fold when the temperature was increased by 10 K (Berndt et al., 2014c).

The ~~minima and maxima~~ lower and upper limits of the sCI concentration were modelled with the upper and lower values for the yield and loss term so that the lower limit was calculated with a yield of 8% and a k_{loss} / k_{sCI+SO_2} ratio of 2.4×10^{12} molecules cm^{-3} while the upper limit was calculated with a yield of 22% and a k_{loss} / k_{sCI+SO_2} ratio of 1.6×10^{12} molecules cm^{-3} (Eq. (5)). For the calculations we needed to separate the terms k_{loss} and k_{sCI+SO_2} from each other. As long as the ratio between the terms stays the same, the chosen values do not make a difference for the sulphuric acid concentration.

The concentration of sulphuric acid in the CLOUD chamber was modelled according to equation 6.

$$\frac{d[\text{H}_2\text{SO}_4]}{dt} = k_{sCI+SO_2} \times [\text{sCI}] \times [\text{SO}_2] - (\text{CS} + k_{wall_loss} + k_{dil}) \times [\text{H}_2\text{SO}_4] \quad (6)$$

As an OH scavenger was used in the experiments, the only formation pathway for sulphuric acid was assumed to be the reaction between the sCI and SO_2 . The production of sulphuric acid was calculated with the modelled sCI concentration, measured sulphur dioxide concentration and the reaction coefficient k_{sCI+SO_2} (Sipilä et al., 2014). The sulphur dioxide and ozone concentrations were kept constant during the experiments. Three loss processes were taken into account for sulphuric acid: the condensation sink (CS), the wall loss (k_{wall_loss}) and the dilution (k_{dil}). The lifetime of sulphuric acid with respect to wall loss in the CLOUD chamber has been measured to be around 550s (Almeida et al., 2013; Duplissy et al., 2016; Rondo et al., 2014). The dilution rate due to injection of makeup gases into the chamber was $0.1 \times 10^{-3} \text{ s}^{-1}$.

The production rate of HOM in the CLOUD chamber (Eq. (7)) was calculated from the measured α -pinene and ozone concentrations, a reaction rate coefficient of $8.05 \times 10^{-17} \text{ cm}^3 \text{ s}^{-1}$ (Atkinson et al., 2006) and an experimentally derived yield term (Ehn et al., 2014; Jokinen et al., 2015). The yield of HOM from the reaction between α -pinene and ozone was reported in recent studies. Ehn et al. (2014) obtained a yield of $7 \pm 3.5\%$ in their experiments in the Jülich Plant Atmosphere Chamber while Jokinen et al. (2015) calculated a yield of 3.4% with an estimated uncertainty of $-1.7/+3.4\%$ from the experiments done in the TROPOS-LFT and Kirkby et al. (2016) reported a yield of 2.9% for the CLOUD experiments with and without ions. The same loss paths were taken into account in the modelled HOM concentration as for the sulphuric acid concentration. The lifetime of HOM was measured to be around 900s which is longer than the lifetime of sulphuric acid (Kirkby et al., 2016). All the values used in the modelling of sulphuric acid and HOM concentrations are shown in the Table 3.

$$\frac{d[HOM]}{dt} = Y_{HOM} \times k_{O_3+\alpha-pinene} \times [O_3] \times [\alpha-pinene] - (CS + k_{wall_loss} + k_{dil}) \times [HOM] \quad (7)$$

5

Table 3: Reaction rates, loss terms and yields used in simulations.

k_{loss} / k_{sCI+SO_2}	$(1.6-2.4) \times 10^{12} \text{ molecules cm}^{-3}$
$k_{O_3+\alpha-pinene}$	$8.05 \times 10^{-17} \text{ cm}^3 \text{ s}^{-1}$
Y_{sCI} (Sipilä <i>et al.</i> 2014)	0.08-0.22
$k_{wall_loss} (SA)$	$1.8 \times 10^{-3} \text{ cm}^3 \text{ s}^{-1}$
$k_{wall_loss} (HOM)$	$1.1 \times 10^{-3} \text{ cm}^3 \text{ s}^{-1}$
k_{dil}	$0.1 \times 10^{-3} \text{ cm}^3 \text{ s}^{-1}$
Y_{HOM} (Ehn <i>et al.</i> 2014)	0.035-0.105
Y_{HOM} (Jokinen <i>et al.</i> 2014)	0.017-0.068

10 3 Results

3.1 Reaction products from α -pinene ozonolysis

During the ozonolysis experiments of α -pinene, a simultaneous increase of the concentrations of ~~sulph~~sulfuric acid and HOM were observed. Several highly oxidised α -pinene oxidation products were observed between 220 and 620 Th (Fig. 1). All the HOM were detected as clusters with a nitrate ion (NO_3^-). As a result of the high cleanliness of the CLOUD chamber, the mass spectra consist mainly of the oxidation products and concentrations of contaminants were low. The most abundant HOM monomers, containing a C_{10} carbon skeleton, had an O:C ratio between 0.7 and 1.1 whereas the most abundant HOM dimers, containing a C_{20} carbon skeleton, had an O:C ratio around 0.6-0.8. The highest concentrations were observed from compounds identified as $\text{C}_{10}\text{H}_{14}\text{O}_7$, $\text{C}_{10}\text{H}_{15}\text{O}_8$, $\text{C}_{10}\text{H}_{14}\text{O}_9$, $\text{C}_{10}\text{H}_{15}\text{O}_{10}$, $\text{C}_{10}\text{H}_{16}\text{O}_{10}$ and $\text{C}_{19}\text{H}_{28}\text{O}_{11}$, which represent the majority of the total concentration of HOM. These compounds have also been found to be abundant in the boreal forest, when analysing the naturally charged ions (Ehn *et al.*, 2012). The rest of the compounds taken into account in the concentration calculation are listed in Table A1.

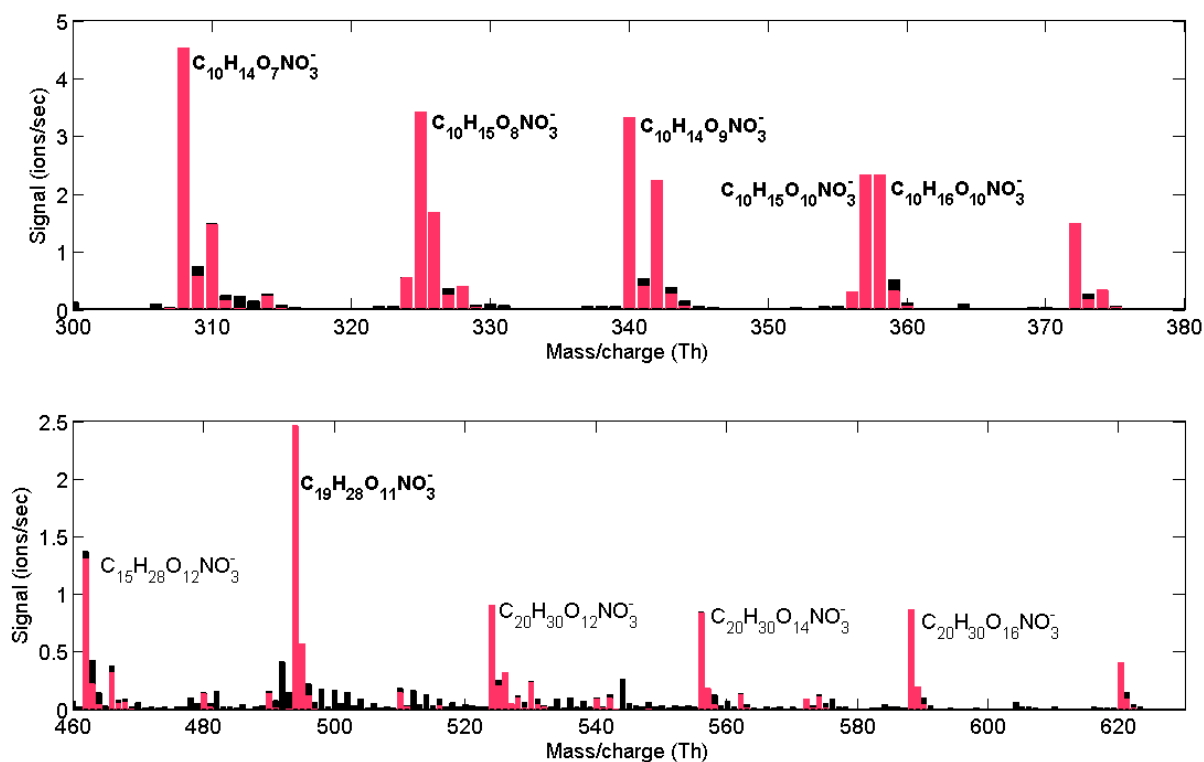


Figure 1: HOM mass spectrum during an α -pinene ozonolysis experiment (C10 compounds in the upper panel and C20 compounds in the lower panel). The measured mass spectrum is depicted in black and the compounds identified as HOM are depicted in red. The elemental composition of the compounds with the highest concentrations are shown in the figure and the six most abundant compounds are labelled in bold face.

During most of the experiments, clear particle formation and growth was observed shortly after the α -pinene injection was started. In Figure 2 the particle size distribution and precursor vapour concentrations during an example ozonolysis experiment are shown. In this experiment the α -pinene injection started at noon and the sulphuric acid and HOM concentrations started to increase immediately. The particle growth above 3 nm can be seen approximately 45 minutes after the injection. While the concentration of α -pinene continued to increase, the sulphuric acid and HOM concentrations reach their steady-state concentrations after one to two hours. The sulphuric acid reaches its steady-state concentration slightly before the HOM concentration reaches its maximum value which is expected due to its faster wall loss rate.

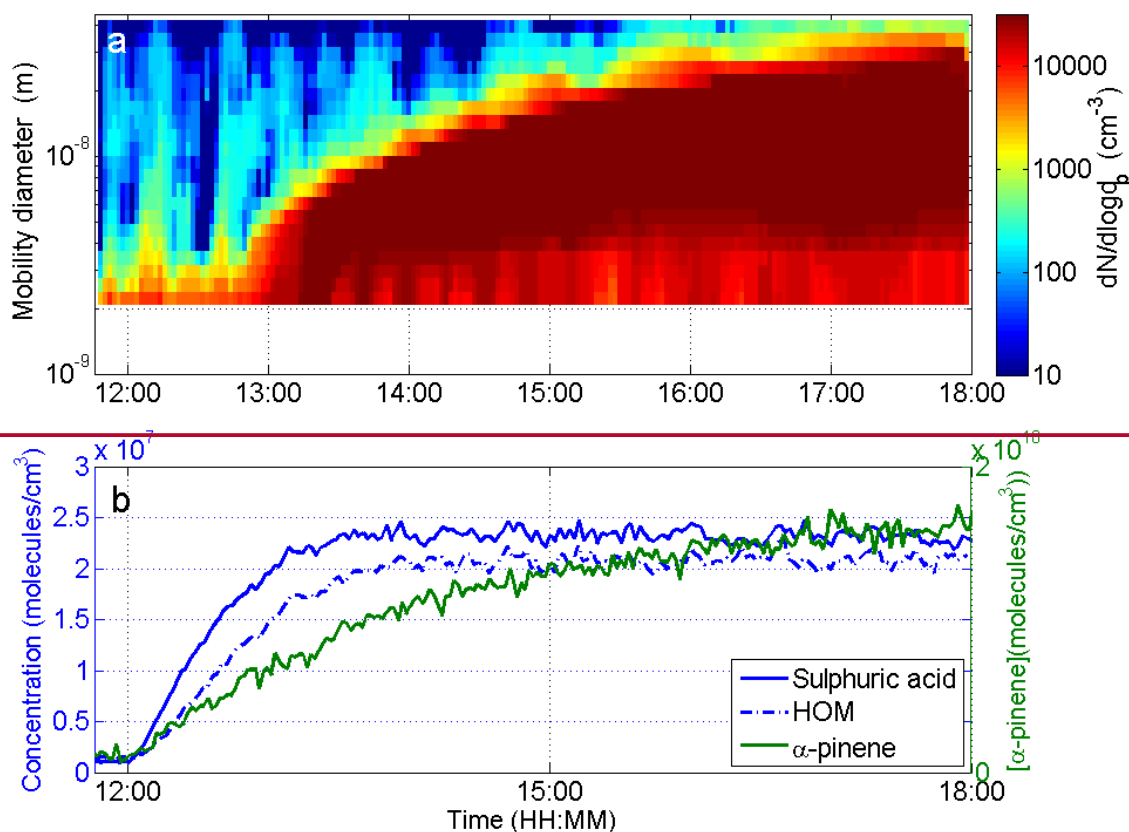
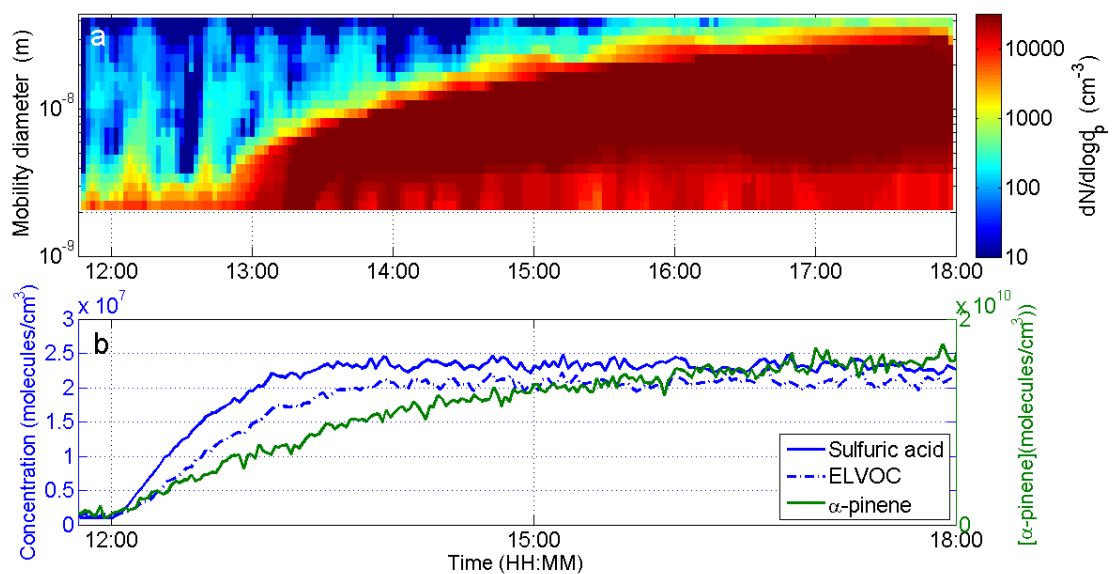


Figure 2: Example of the size distribution (2-40 nm, measured by NAIS) of neutral particles (a) and the concentrations of ~~sulph~~sulfuric acid, HOM and α -pinene (b) during an ozonolysis experiment in the CLOUD chamber.

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The method presented in Sec. 2.3 was used to describe the temporal behaviour of the total HOM concentration. Since the total HOM is a sum of several molecules that are formed by the same autoxidation mechanism but possibly via various different intermediate steps, the time evolution of individual HOM molecules can differ from one to another. The time evolution of sulphuric acid and the most abundant HOM was studied in detail using mass spectra integrated over 30s. The time evolution of the experiment with 600 pptv of α -pinene, 22 ppbv of O_3 and 67 ppbv of SO_2 is shown in Fig. 3. In our studies sulphuric acid concentration started to increase first followed by the concentration of RO_2 ($C_{10}H_{15}O_8$ and $C_{10}H_{15}O_{10}$). The formation of closed shell monomers ($C_{10}H_{14}O_7$ and $C_{10}H_{14}O_9$) started a few minutes were formed right after the RO_2 . The most oxidised closed shell monomer of the selected HOM ($C_{10}H_{16}O_{10}$) and the dimer ($C_{19}H_{28}O_{11}$) took more than ten minutes to start increasing. The time evolution of the compounds might give us information about the formation of the molecules. The rapid formation of the radicals and $C_{10}H_{15}O_8$ and $C_{10}H_{15}O_{10}$ implies that they are formed via autoxidation in which the peroxy radical undergoes oxidation by adding oxygen molecules stepwise. The selected dimer ($C_{19}H_{28}O_{11}$) formation starts clearly later, which supports the hypothesis that it forms from reaction of two RO_2 (Ehn et al., 2014; Jokinen et al., 2014). The carbon number 19 can be explained by loss of CO from RO_2 (Jagiella et al., 2000; Rissanen et al., 2014), followed by reaction with a 10-carbon RO_2 . The interesting feature in this data is that $C_{10}H_{16}O_{10}$ appears significantly later than most monomers, at the same time that the first dimer appears in the spectrum. This might indicate that this more highly oxidised product is also formed via bi-molecular reaction of two RO_2 radicals. The time evolution was similar in all the experiments. In the experiments with low α -pinene (80 pptv), the concentrations of $C_{19}H_{28}O_{11}$ and $C_{10}H_{16}O_{10}$ were very low. It is also a possibility that the formation of dimers (and other compounds that appear later in the measurements) starts already earlier but the concentrations are just below the detection limit. Understanding the exact formation mechanisms of individual HOM compounds requires additional experiments and will be a topic of further studies.

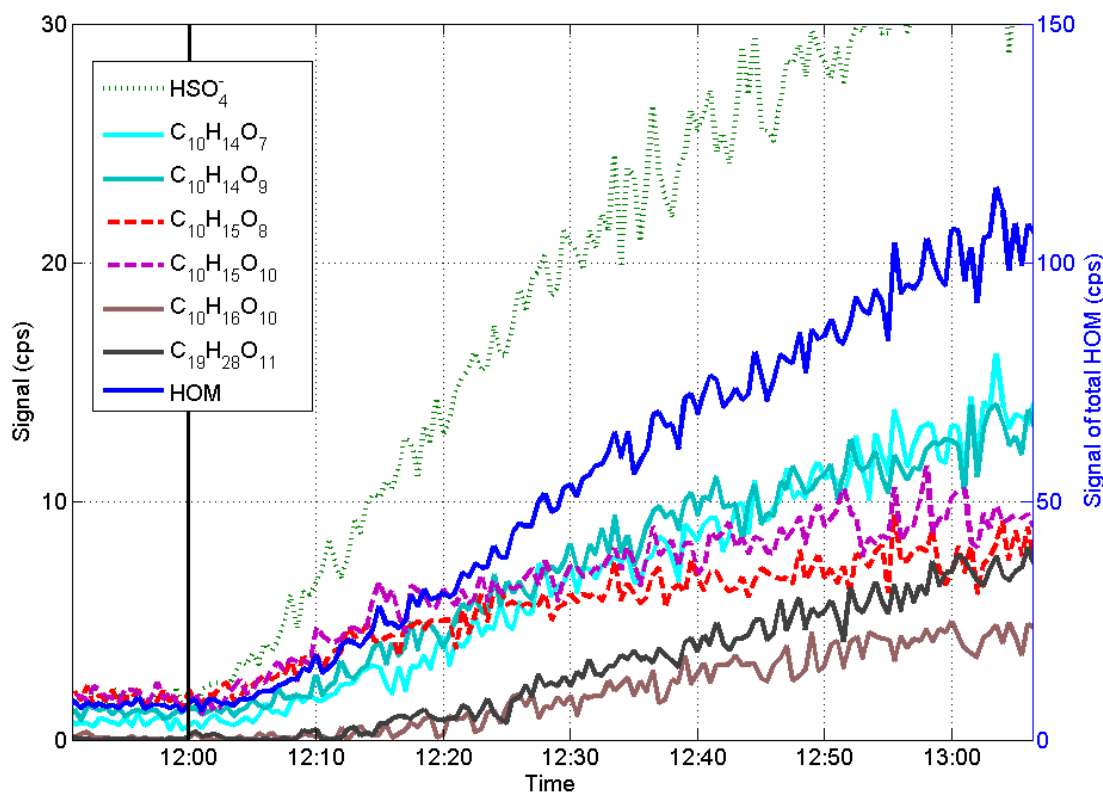


Figure 3: The time evolution of bisulphate ion (green dotted line), total HOM (blue line) and the HOM signals of the highest concentrations with 30s time resolution in experiment with 600 ppt of α -pinene and 67 ppb of SO_2 . RO_2 signals are shown with dashed lines. All HOM are detected as clusters with nitrate-ion. The black line shows the start of the experiment (i.e. α -pinene injection).

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In our experiments, we used H_2 to scavenge the OH. In the reaction of OH and H_2 , water and H is produced and subsequently H can react with O_2 to form HO_2 (Eq. (8) and (9)). HO_2 can then react with RO_2 ending its autoxidation process (Ehn et al., 2014). This means that in the presence of HO_2 the HOM concentration can be lower because the organic compounds that react with HO_2 are not oxidised further into highly oxidised products. In these experiments, we did not have an instrument capable of measuring less oxidised products from α -pinene ozonolysis. The relevance of these experiments to the atmosphere depends on the relative and absolute levels of all species participating in the autoxidation process, including RO_2 , HO_2 , and NO in both the experiment and the atmosphere. Jokinen et al. (2015) also used H_2 to scavenge OH while Ehn et al. (2014) used CO, both of which produce also H and then HO_2 (Eq. (10)). Thus, these experiments and the yield terms determined from them are equally affected by HO_2 . However, Jokinen et al. (2015) did also experiments with propane as OH scavenger, which does not produce HO_2 , and found similar yields as with H_2 . This implies that HO_2 , produced by the scavenger reactions, does not significantly affect HOM formation.

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3.2 The formation of sulphuric acid

5 The sulphuric acid formation in the CLOUD chamber was simulated as described in section 2.3. The measured steady-state concentrations varied between 4×10^6 and 2×10^7 molecules cm^{-3} (Fig. 4). Sulphuric acid concentrations were the highest in the experiments where also the α -pinene mixing ratio was the highest, around 600 pptv. The steady-state concentration was reached in two hours fast in the experiment at high SO_2 concentrations (~70 ppbv) whereas in the experiment with the same amount of α -pinene but significantly lower sulphur dioxide concentration (17 ppbv), the steady-state was reached an hour later ~~(as expected)~~. In the other three
10 experiments the α -pinene mixing ratio was clearly lower (80 pptv and 170 pptv) and the increase of sulphuric acid concentration took more time and continued throughout the whole experiment.

~~To compare the sCI oxidation with ambient sulphuric acid formation, we calculated the sulphuric acid produced at typical ambient OH concentration for otherwise similar conditions as in these experiments. The sulphur dioxide concentration was high in most of the experiments and with atmospherically relevant concentration of OH (1×10^6 molecules cm^{-3}) the sulphuric acid concentration would be around 6.3×10^8 molecules cm^{-3} (SO_2 67 ppbv, reaction rate constant 8.5×10^{-13} $\text{cm}^3 \text{s}^{-1}$ (Weber et al., 1996)). With lower SO_2 concentration (17 ppbv) the OH produced sulphuric acid would be around 1.6×10^8 molecules cm^{-3} . Thus, the sulphuric acid concentrations that resulted from sCI oxidation in these experiments were around 3% of what would be formed from OH oxidation in high SO_2 conditions and 10% in low SO_2 conditions at typical OH concentrations. It should be noted that in the atmosphere the mixture of gases is much more complex. In ambient conditions the α -pinene concentration is often less than the concentration used in this calculation (600 pptv) but on the other hand in the atmosphere there are also other alkenes than α -pinene that can be oxidized to form sCI. Also the sulphur dioxide concentrations used in the experiments are relatively high for to most of the atmosphere, such as rural areas (Mikkonen et al., 2011).~~

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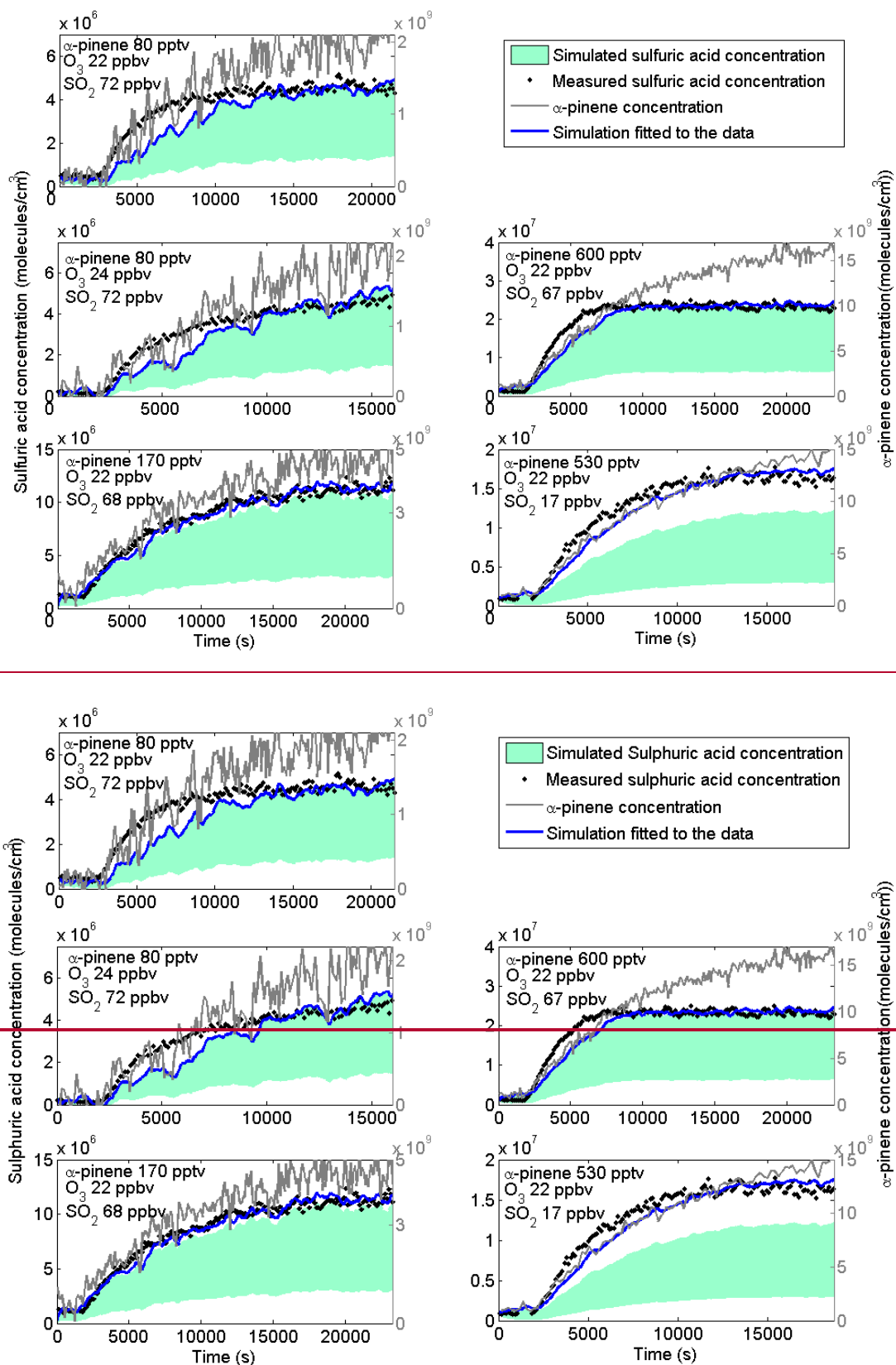


Figure 4: Measured (black dots) and modelled (green shade shows the concentration with uncertainty) **sulphuric acid** concentrations formed from the oxidation of SO₂ by SCI in the CLOUD -chamber. The

simulation with fitted yield term to the measured concentration is shown as a blue line and the α -pinene concentration is a grey line. Under conditions of atmospheric concentrations of OH (1×10^6 molecules/cm³) the sulphsulfuric acid concentrations would be significantly higher, around 6.3×10^8 molecules/cm³ in the experiment with 67 ppb of SO₂ and 1.6×10^8 molecules/cm³ in the experiment with 17 ppb of SO₂.

5 In the simulations, the minimum and maximum concentrations were calculated from the upper and lower limits of given k_{loss} / k_{sCI+SO_2} and sCI yield term in Sipilä et al. (2014). In the CLOUD experiments, the measured sulphsulfuric acid concentrations were at the upper range of the simulated concentrations in all the cases (Fig. 4). In the experiment where we had the least sulphsulfur dioxide in the chamber, the measured concentration was slightly higher than the simulated one (measured 1.6×10^7 molecules cm⁻³, upper range simulated concentration
10 1.2×10^7 molecules cm⁻³). The sulphsulfuric acid was formed in the fast reaction between SO₂ and sCI, thus, the formation of sulphsulfuric acid was strongly dependent on the formation of sCI. We calculated yield terms for the sCI in CLOUD experiments by fitting the model to the measured concentrations. When using a value of 1.6×10^{12} for the term k_{loss} / k_{sCI+SO_2} (lower end of the range given in Sipilä et al. 2014) the calculated yields of sCI were 22-24% for the experiments with higher concentration of SO₂ and 32% for the experiment with low SO₂. (Table 3).
15 In the study done in the TROPOS-LFT the sCI yield from α -pinene oxidation was determined to be 8-22% (Sipilä et al., 2014). The simulated sulphsulfuric acid concentration represent the measured concentrations well. When the α -pinene concentration was low, the measured α -pinene concentration was fluctuating which led to fluctuation in the simulated sulphsulfuric acid concentration. In the experiment with a middle-range α -pinene concentration (170 pptv), the upper bound of the simulated time evolution matched perfectly with the measured concentrations,
20 so that the trend in measured and simulated concentration was identical and the difference of simulated concentration from measured concentration did not exceed 30%. ~~But~~ in the other experiments the measured sulphsulfuric acid concentration increased faster than the simulated concentration in the beginning but then stabilized at the upper level of the simulated concentrations. The difference is still small and mostly within the measurement uncertainty. In all the experiments, the simulated sulphsulfuric acid concentration followed the
25 measured concentration very well after 10000 s (166 min) and thus the discrepancy cannot be explained by only one term. The simulation can be modified to match the measurements better if, for example, both the sCI yield term and condensation sink values are increased significantly (two-fold increase in both condensation sink and sCI yield). However, it seems unlikely that condensation sink for sulphsulfuric acid would have such a large error. As mentioned earlier, the influence of temperature on the H₂SO₄ formation from the gas-phase reaction of
30 monoterpene-derived sCIs has not been investigated. It is likely that we underestimate the sulphsulfuric acid concentration in the CLOUD experiments as we are using the loss term derived from experiments performed at higher temperature.

To compare the sCI oxidation with ambient sulfuric acid formation, we calculated the sulfuric acid produced at typical ambient OH concentration for otherwise similar conditions as in these experiments. The sulfur dioxide concentration was high in most of the experiments and with atmospherically relevant concentration of OH (1×10^6 molecules cm⁻³) the sulfuric acid concentration would be around 6.3×10^8 molecules cm⁻³ (SO₂ 67 ppbv, reaction rate constant 8.5×10^{-13} cm³s⁻¹ (Weber et al., 1996)). With lower SO₂ concentration (17 ppbv) the OH-produced sulfuric acid would be around 1.6×10^8 molecules cm⁻³. Thus, the sulfuric acid concentrations that resulted from sCI oxidation in these experiments were around 3% of what would be formed from OH oxidation in high SO₂

conditions and 10% in low SO₂ conditions at typical OH concentrations. It should be noted that in the atmosphere the mixture of gases is much more complex. In ambient conditions the α-pinene concentration is often less than the concentration used in this calculation (600 pptv) but on the other hand in the atmosphere there are also other alkenes than α-pinene that can be oxidised to form sCI. Also the sulfur dioxide concentrations used in the experiments are relatively high for most of the atmosphere, such as rural areas (Mikkonen et al., 2011).

To get more insight in the sulfuric acid production with ambient concentrations we calculated 24 hour production of sulfuric acid from OH and sCI oxidation pathways. We used typical spring – summer time concentrations of precursors in boreal forest: measured OH concentrations (medians of event day concentrations from late March to early June, Petäjä et al., 2008), measured O₃, SO₂, (medians of concentrations from April to June in 2013, Smart-SMEAR: <https://avaa.tdata.fi/web/smart/smeat>, Junninen et al., 2009), measured monoterpene concentrations (concentrations measured in July 2004, Rinne et al., 2005) and calculated condensation sink values (median of data from April to June 2013 (Junninen et al., 2009; Kulmala et al., 2001)). The 24 hour sulfuric acid productions were calculated with sCI yield of 22% and the results are shown in Fig. 5a. During the daytime the sulfuric acid produced by OH dominates but during night time both of the production pathways are important. In this example with conditions of boreal forest the SO₂ concentration is significantly lower than in our experiments (Fig 5d, around 0.1 ppbv). Ozone concentrations are the lowest during early morning being around 35 ppbv while the concentration reach 43 ppbv in the evening. The importance of sCI in the sulfuric acid production strongly depends on the monoterpene concentrations: in this example the monoterpene concentration is the highest during early hours and at that time the sulfuric acid concentration reaches 4×10⁴ molecules/cm³. We calculated sCI yield term of 32% in our experiment with low SO₂ and if we use that yield term in the calculation the highest sulfuric acid concentration is 6×10⁴ molecules/cm³.

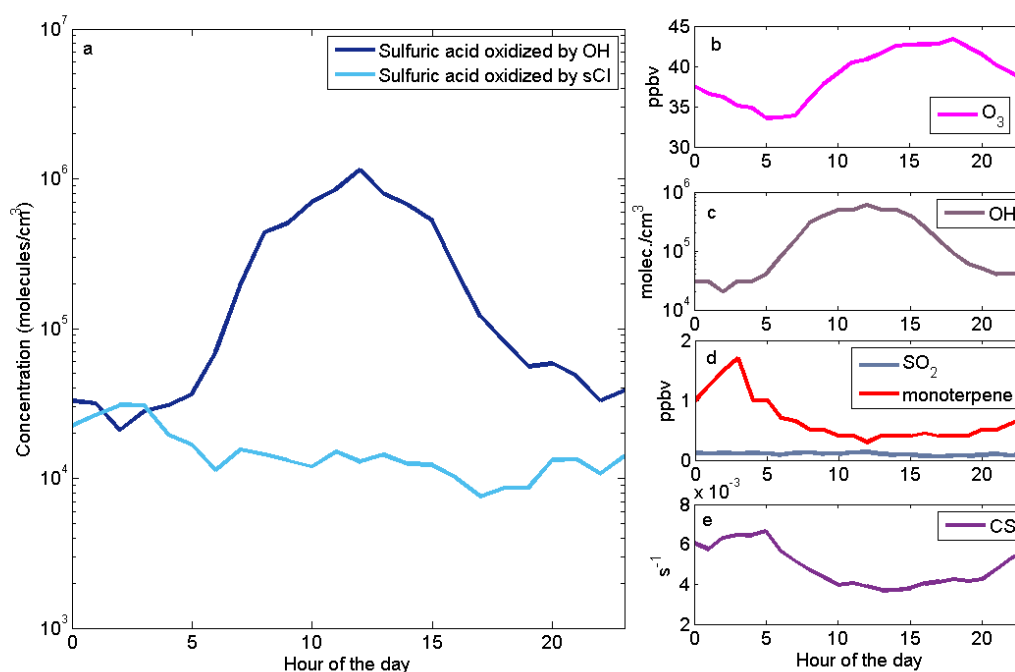
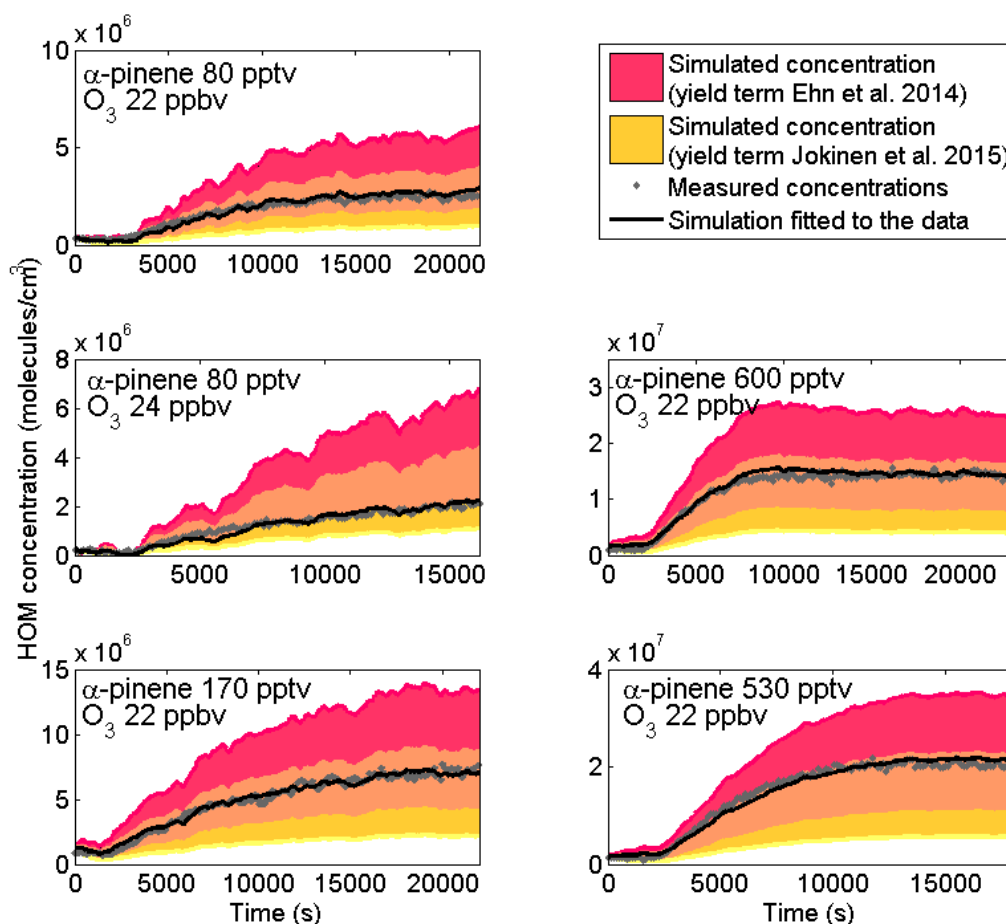


Figure 5. Example of sulfuric acid concentration produced by OH and sCI in ambient boreal forest conditions (a). The precursor gas concentrations and condensation sink used are shown in plots b-e.

3.3 The formation of HOM

The HOM formation in the CLOUD chamber was simulated as described in section 2.3. The measured steady-state concentrations of HOM varied between 2×10^6 and 2×10^7 molecules cm^{-3} (Fig. 65). The respective α -pinene and ozone concentrations were atmospherically relevant, so these HOM concentrations are similar to those found in ambient air (Sarnela et al., 2015; Yan et al., 2016). We calculated the HOM yield by fitting the model to the measured concentrations and obtained yields of 3.5-6.5% for the experiments in the CLOUD chamber (Table 3). In previous studies of α -pinene ozonolysis, yield terms for HOM formation have been experimentally determined. Ehn et al. (2014) measured a yield of $7 \pm 3.5\%$ and Jokinen et al. (2015) a yield of 3.4% with an estimated uncertainty of $-50\%/+100\%$. Kirkby et al. (2016) made a fit to both neutral and charged experiments and obtained a yield of 2.9% for the CLOUD experiments. The yields calculated in this study are in good agreement with all previous studies and the simulated time evolution- reproduce the measured concentrations very well. The highest difference between simulated and measured concentration was 40% but in most experiments the simulated and measured concentrations matched within 20% difference.



15 **Figure 65:** Measured (grey dots) and simulated (shaded area show the concentration with uncertainty) HOM concentrations formed from ozonolysis of α -pinene in CLOUD-chamber. The simulation with fitted yield term to the measured concentration is shown as a black line. The modelled concentration with a yield

term from Ehn et al. (2014) is shown in red shade while the concentration with the yield term from Jokinen et al. (2015) is shown in yellow shade. The overlapping area within the error estimates of these studies is coloured in orange shade.

5 4 Conclusions

In this study we conducted several α -pinene ozonolysis experiments in an ultraclean environment, the CLOUD-chamber. These experiments were designed to be OH-radical free, thus allowing to study the formation of Highly Oxidized Molecules (HOM), from the ozonolysis of α -pinene. The other objective of this study was to observe the formation of sulphuric acid from the oxidation SO₂ by stabilized Criegee Intermediates. Both HOM and sulphuric acid concentrations were experimentally measured with a high resolution time-of-flight mass spectrometer by utilizing a highly selective chemical ionization method. To estimate the molar yield of the HOM and the sCI-yield in our experiments, we used a 0-dimensional model with reaction parameters some of which were obtained from other recent publications on sCI and HOM formation (Ehn et al., 2014; Jokinen et al., 2015; Sipilä et al., 2014).

The formation of HOM was initiated immediately after an α -pinene injection into the chamber with a stable ozone concentration. We observed a consecutive formation of peroxy radicals, HOM monomer and dimer species, which is in agreement with previous studies conducted in both, a laminar flow tube and in a continuously stirred flow reactor (Ehn et al., 2014; Jokinen et al., 2014). The simulated time evolution of the HOM followed the measured concentrations very precisely and the calculated yields from several experiments were in the range of 3.5-6.5%.

The yields observed in the CLOUD chamber were within the range of previously published HOM yields for α -pinene ozonolysis (3.5-10.5% by Ehn et al., 2014, 1.7-6.8% by Jokinen et al., 2015, 1.2-5.8% Kirkby et al., 2016).

Sulphuric acid in the chamber was assumed to be solely produced via stabilized Criegee Intermediates reacting with the added SO₂. The formation of sulphuric acid started promptly after the α -pinene injection and the associated sCI formation. The measured concentration increased quickly, in some experiments even faster than was expected from the simulations. With a high SO₂ concentration (70 ppbv), the sCI yields were measured to reach 22-24%, which are on the upper edge of the values than found by Sipilä et al. (2014), i.e. 15±7%. When the SO₂ concentration was considerably lower (17 ppbv) the sCI yield was higher (32%). These results are not denying that OH is the main daytime oxidizer of sulphur dioxide. In the presence of OH the role of sCI in the formation of sulphuric acid is relatively small but in dark conditions there can be considerable sulphuric acid formation due to sCI. The results of this study emphasize the potential importance of stabilized Criegee Intermediates in sulphuric acid formation, also in the presence of water vapour. In this paper we introduce a way to simulate the ozonolysis products of α -pinene in a simple manner. The results indicate that the CLOUD experiments on α -pinene ozonolysis support the recently published chemistry of HOM and sCI formation, thus making the experimentally determined yield and loss terms reaction parameters more reliable for following broader modelling and theoretical use.

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Appendix A

Table A1: The elemental compositions and exact masses of most abundant isotopes of the HOM compounds that were added together to make the total HOM

Elemental composition	Exact mass (Th)
C ₇ H ₁₀ O ₄ NO ₃ ⁻	220.0463
C ₅ H ₆ O ₆ NO ₃ ⁻	224.0048
C ₅ H ₆ O ₇ NO ₃ ⁻	239.9997
C ₈ H ₁₂ O ₇ NO ₃ ⁻	282.0461
C ₈ H ₁₂ O ₈ NO ₃ ⁻	298.0416
C ₁₀ H ₁₄ O ₇ NO ₃ ⁻	308.0623
C ₉ H ₁₂ O ₈ NO ₃ ⁻	310.0416
C ₁₀ H ₁₆ O ₇ NO ₃ ⁻	310.0780
C ₈ H ₁₂ O ₉ NO ₃ ⁻	314.0365
C ₁₀ H ₁₄ O ₈ NO ₃ ⁻	324.0572
C ₁₀ H ₁₅ O ₈ NO ₃ ⁻	325.0651
C ₉ H ₁₂ O ₉ NO ₃ ⁻	326.0365
C ₁₀ H ₁₆ O ₈ NO ₃ ⁻	326.0729
C ₉ H ₁₄ O ₉ NO ₃ ⁻	328.0521
C ₁₀ H ₁₄ O ₉ NO ₃ ⁻	340.0521
C ₉ H ₁₂ O ₁₀ NO ₃ ⁻	342.0314
C ₁₀ H ₁₆ O ₉ NO ₃ ⁻	342.0678
C ₁₀ H ₁₄ O ₁₀ NO ₃ ⁻	356.0471
C ₁₀ H ₁₅ O ₁₀ NO ₃ ⁻	357.0549
C ₉ H ₁₂ O ₁₁ NO ₃ ⁻	358.0263
C ₁₀ H ₁₆ O ₁₀ NO ₃ ⁻	358.0627
C ₁₀ H ₁₄ O ₁₁ NO ₃ ⁻	372.0420
C ₉ H ₁₂ O ₁₂ NO ₃ ⁻	374.0212
C ₁₀ H ₁₆ O ₁₁ NO ₃ ⁻	374.0576
C ₁₀ H ₁₄ O ₁₃ NO ₃ ⁻	404.0318
C ₁₅ H ₂₈ O ₁₂ NO ₃ ⁻	462.1464
C ₁₇ H ₂₄ O ₁₁ NO ₃ ⁻	466.1202
C ₁₇ H ₂₆ O ₁₁ NO ₃ ⁻	468.1359
C ₁₈ H ₂₆ O ₁₁ NO ₃ ⁻	480.1359
C ₁₄ H ₂₀ O ₁₅ NO ₃ ⁻	490.0686
C ₁₉ H ₂₈ O ₁₁ NO ₃ ⁻	494.1515
C ₂₀ H ₃₂ O ₁₁ NO ₃ ⁻	510.1828
C ₁₇ H ₂₆ O ₁₄ NO ₃ ⁻	516.1206
C ₂₀ H ₃₀ O ₁₂ NO ₃ ⁻	524.1621
C ₁₉ H ₂₈ O ₁₃ NO ₃ ⁻	526.1414
C ₁₈ H ₂₆ O ₁₄ NO ₃ ⁻	528.1206
C ₁₈ H ₂₈ O ₁₄ NO ₃ ⁻	530.1363
C ₁₇ H ₂₆ O ₁₅ NO ₃ ⁻	532.1155
C ₂₀ H ₃₀ O ₁₃ NO ₃ ⁻	540.1570
C ₂₀ H ₃₂ O ₁₃ NO ₃ ⁻	542.1727
C ₁₇ H ₂₆ O ₁₆ NO ₃ ⁻	548.1105
C ₂₀ H ₃₀ O ₁₄ NO ₃ ⁻	556.1519
C ₁₈ H ₂₈ O ₁₆ NO ₃ ⁻	562.1261
C ₂₀ H ₃₀ O ₁₅ NO ₃ ⁻	572.1468
C ₂₀ H ₃₂ O ₁₅ NO ₃ ⁻	574.1625
C ₂₀ H ₃₀ O ₁₆ NO ₃ ⁻	588.1418
C ₁₈ H ₂₈ O ₁₈ NO ₃ ⁻	594.1159
C ₂₀ H ₃₀ O ₁₈ NO ₃ ⁻	620.1316