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- 1 Sectorial and regional uncertainty analysis of the contribution of anthropogenic emissions
- 2 to regional and global PM<sub>2.5</sub> health impacts

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#### Abstract

In this work we couple the HTAPv2.2 global air pollutant emission inventory with the global source receptor model TM5-FASST to evaluate the relative contribution of the major anthropogenic emission sources (power generation, industry, ground transport, residential, agriculture and international shipping) to air quality and human health in 2010. We focus on particulate matter (PM) concentrations because of the relative importance of PM<sub>2.5</sub> emissions in populated areas and the proven cumulative negative effects on human health. We estimate that in 2010 regional annual averaged anthropogenic PM<sub>2.5</sub> concentrations varied between ca 1 and 40 µg/m<sup>3</sup> depending on the region, with the highest concentrations observed in China and India, and lower concentrations in Europe and North America. The relative contribution of anthropogenic emission source sectors to PM<sub>2.5</sub> concentrations varies between the regions. European PM pollution is mainly influenced by the agricultural and residential sectors, while the major contributing sectors to PM pollution in Asia and the emerging economies are the power generation, industrial and residential sectors. We also evaluate the emission sectors and emission regions in which pollution reduction measures would lead to the largest improvement on the overall air quality. We show that in order to improve air quality, regional policies should be implemented (e.g. in Europe) due to the transboundary features of PM pollution. In addition, we investigate emission inventory uncertainties and their propagation to PM<sub>2.5</sub> concentrations, in order to identify the most effective strategies to be implemented at sector and regional level to improve emission inventories knowledge and air quality. We show that the uncertainty of PM concentrations depends not only on the uncertainty of local emission inventories but also on that of the surrounding regions. Finally, we propagate emission inventories uncertainty to PM concentrations and health impacts.

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#### 1 Introduction

- Ambient particulate matter pollution ranks among the top five risk factors globally for loss of healthy life years and is the largest environmental risk factor (Lim et al., 2012;Anderson et al., 2012;Anenberg et al., 2012). The world health organization (WHO, 2016) reported about 3 million premature deaths worldwide attributable to ambient air pollution in 2012. Health impacts
- 39 of air pollution can be attributed to different anthropogenic emission sectors (power generation,
- 40 industry, residential, transport, agriculture, etc.) and sector-specific policies could effectively

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1 reduce health impacts of air pollution. These policies are usually implemented under national 2 legislation, while in Europe transboundary air pollution is also addressed by the regional protocol 3 under the UNECE Convention on Long-Range Transport of Air Pollution (CLRTAP). Indeed, 4 particulate matter can travel thousands of kilometers, crossing national borders, oceans and even 5 continents (HTAP, part A, 2010). Therefore local, regional and international coordination is 6 needed to define air pollution policies to improve globally air quality and human health. The CLRTAP's Task Force on Hemispheric Transport of Air Pollution looks at the long-range 7 8 transport of air pollutants in the Northern Hemisphere aiming to identify promising mitigation 9 measures to reduce background pollution levels and its contribution to pollution in rural as well as urban regions. Although primary PM<sub>2.5</sub> (particulate matter with a diameter less than 2.5 µm) 10 11 can travel over long distances, the transboundary components of anthropogenic PM are mainly 12 associated with secondary aerosols which are formed in the atmosphere through complex chemical reactions and gas-to-aerosol transformation of gaseous precursors transported over 13 14 source regions (Maas and Grennfelt, 2016). Secondary aerosol from anthropogenic sources consists of both inorganic (mainly ammonium nitrate and ammonium sulfate and ammonium 15 16 bisulfate and associated water, formed from emissions of sulphur dioxide (SO<sub>2</sub>), nitrogen oxides (NOx) and ammonia (NH<sub>3</sub>)) and organic compounds involving thousands of compounds and 17 18 often poorly known reactions (Hallquist et al., 2009). Exposure of human to aerosol can be 19 estimated by a variety of approaches, ranging from epidemiological studies to pure modeling approaches. At the global scale, models, in some cases using satellite information (Brauer et al., 20 2015; Van Donkelaar et al., 2016), are the most practical source of information of exposure to air 21 pollution. However, model calculations are subject to a range of uncertainties related with 22 incomplete understanding of transport, chemical transformation, removal processes, and not to 23 24 the least emission information.

This work is developed in the context of the TF HTAP Phase 2 (Galmarini et al., 2017), where a set of models is deployed to assess long-range sensitivities to extra-regional emissions, using the prescribed HTAP\_v2 emission inventory (Janssens-Maenhout et al., 2015). Differences in model results illustrate uncertainties in model formulations of transport, chemistry and removal processes, and are addressed in separate studies (West et al., 2017, in prep.), but not of uncertainties in emission inventories. The objective of this study is to evaluate the relevance of uncertainties in regional sectorial emission inventories (power generation, industry, ground transport, residential, agriculture and international shipping), and its propagation in modeled PM<sub>2.5</sub> concentrations and associated impacts on health, comparing the derived uncertainties in PM<sub>2.5</sub> from within the region to extra-regional uncertainties. A second objective of this analysis is to evaluate the importance of emission uncertainties at sector and regional level on PM<sub>2.5</sub>, to better inform local, regional and hemispheric air quality policy makers on the potential impacts of less known emission sectors or regions. In this work we couple the HTAP\_v2.2 global emission inventory for the year 2010 and the global source-receptor model TM5-FASST (TM5-FAst Scenario Screening Tool) to estimate global air quality in terms of PM<sub>2.5</sub> concentrations.

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#### 2 Methodology

#### 42 2.1 HTAP\_v2.2 emissions

The global anthropogenic emission inventory HTAP\_v2.2 for the year 2010 is input to the global source-receptor model TM5-FASST to evaluate PM<sub>2.5</sub> concentrations for each world

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region/country with the corresponding health effects. The HTAP\_v2.2 inventory includes for 1 2 most countries official and semi-official annual anthropogenic emissions of SO<sub>2</sub>, NOx, CO (carbon monoxide), NMVOC (non-methane volatile organic compounds), PM<sub>10</sub> (particulate 3 4 matter with a diameter less than 10 µm) PM<sub>2.5</sub>, BC (black carbon) and OC (organic carbon) by 5 country and sector (Janssens-Maenhout et al., 2015), downloadable 6 http://edgar.jrc.ec.europa.eu/htap v2/index.php. Here we focus on the 6 major anthropogenic emission sectors contributing to global PM<sub>2.5</sub> concentrations, namely the power generation 7 8 ("power"), non-power industry, industrial processes and product use ("industry"), ground 9 transportation ("transport"), residential combustion and waste disposal ("residential"), 10 agriculture ("agriculture") and international shipping ("ship"). It should be noted that agricultural emissions do not include agricultural waste burning. Details on the emissions included in each 11 12 aggregated sector can be found in Janssens-Maenhout et al. (2015). In addition to the reference HTAP\_v2.2 emissions for the year 2010, a set of scenarios has been created by subtracting from 13 14 the reference dataset the emissions of each sector. Under the assumption that the individual sector contributions add up linearly to total PM<sub>2.5</sub>, the comparison of PM<sub>2.5</sub> concentrations 15 16 calculated for the reference and scenario case yields an estimation of the contribution of each 17 sector to total PM<sub>2.5</sub> concentrations (Van Dingenen et al., 2017, in preparation).

#### 18 2.2 TM5-FASST model

19 In order to calculate PM<sub>2.5</sub> concentrations from the HTAP\_v2.2 emissions, the gridded TM5-20 FASST version 1.4b (Van Dingenen et al., 2017, in preparation). The TM5-FASST sourcereceptor model is based on a set of emission perturbation experiments (-20 %) of SO<sub>2</sub>, NOx, CO, 21 22 NH<sub>3</sub>, and VOC and CH<sub>4</sub> using the global 1°x1° resolution TM5 model, the meteorological year 23 2001 (chosen for HTAP Phase 1) and the representative concentration pathway (RCP) emissions for the year 2000 (Lamarque et al., 2010). The concentration of PM<sub>2.5</sub> contributing from and to 24 25 each of 56 receptor regions is estimated as a linear function of the emissions of the source 26 regions, including the aerosol components BC, primary organic matter (POM), SO<sub>4</sub>, NO<sub>3</sub>, and NH<sub>4</sub>. While SOA of natural sources is included using the parameterisation described in Dentener 27 28 et al. (2006), no explicit treatment of anthropogenic SOA is considered. Specifically, the change in  $PM_{2.5}$  concentrations, compared to a reference concentration in the receptor region y (dPM), 29 30 induced by changes in precursor emissions in the source region x relativey to the reference case 31 (dE), is estimated as following:

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$$dPM(\mathbf{y}) = \sum_{i} SRC_{i}[x, y] \cdot [E_{i}(x) - E_{i,ref}(x)]$$
 (Eq. 1)

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$$SRC_i[x,y] = \frac{\Delta PM_{ref}(y)}{\Delta E_{i,ref}(x)}$$
 (Eq. 2)

34 where the summation is made over all primary emitted components and precursors (i) for secondary components, and  $SRC_i[x,y]$  is a set of Source-Receptor Coefficients describing the 35 linearized relationship between each precursor emission of specific components and 36 37 concentration for each pair of source and receptor region. Therefore to calculate total PM2.5 38 concentration in each receptor region, the sum of the 56 source region contributions must be taken into account. Using this approach, it is possible to evaluate the PM<sub>2.5</sub> concentrations from 39 40 "within-region" and "extra-regional" PM<sub>2.5</sub> emissions. Further details about the TM5-FASST methodology and assumptions can be found in Van Dingenen et al. (2017, in preparation) and 41 42 Leitão et al. (2013). As depicted in Fig. S1, the 56 TM5-FASST regions cover the entire globe,

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- 1 but their areal extent differs in terms of size, population, emission magnitude and presence of
- 2 neighbouring countries (e.g. Europe comprises 18 TM5-FASST regions). In order to make
- 3 smaller regions (e.g. European countries) comparable with larger regions (like USA, China and
- 4 India), in this work an aggregation procedure to 10 world regions (refer to Table S2) has been
- 5 applied (China+, India+, SE Asia, North America, Europe, Oceania, Latin America, Africa,
- 6 Russia and Middle East). In this work we focus on particulate matter due to its negative effects
- on human health (WHO, 2013; Pope and Dockery, 2006), (Worldbank, 2016). The TM5-FASST
- 8 model includes an assessment of the premature mortality due to ambient PM<sub>2.5</sub> concentrations on
- 9 exposed population following the methodology developed by Burnett et al. (2014), as discussed
- in Sect. 4. Health impacts of indoor air pollution are not evaluated.

#### 2.3 Emission inventory uncertainties

- 12 In order to investigate how computed PM<sub>2.5</sub> concentrations are affected by the uncertainty of
- emission inventories, we perform a sensitivity analysis testing the upper and lower range of
- 14 HTAP\_v2.2 emissions including their uncertainties. Aggregated emissions of a certain pollutant
- 15 p, from a sector i and country c are calculated as the product of activity data (AD) and emission
- factors (EF), therefore the corresponding uncertainty  $(\sigma_{i,c,p})$  is calculated as following:

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$$\sigma_{EMI\ i,c,p} = \sqrt{\sigma_{ADi,c}^2 + \sigma_{EF,i,p,c}^2}$$
 (Eq.3)

where  $\sigma_{AD}$  and  $\sigma_{EF}$  are the uncertainties (%) of the activity data and emission factors for a certain

- 19 sector, country and pollutant. Uncertainty values of the activity data by sector and country are
- 20 obtained from Janssens-Maenhout et al. and references therein (2017, submitted.), while
- 21 uncertainty values for the emission factors of gaseous pollutants are retrieved from the
- 22 EMEP/EEA Guidebook (2013) and Bond et al. (2004) for particulate matter. Differently from
- 23 gridded emission inventories which often make use of similar proxies and spatial correlation
- 24 structures, while errors in emissions may be correlated (e.g. the same systematic error in an
- 25 estimate of EF introduced in the inventory for a number of countries), we assume here that
- 26 reported countries emissions are based on independent evaluation of activity data and estimated
- 27 EFs, and hence no cross-country correlation structure is assumed. Therefore, we can calculate the
- overall uncertainty  $\sigma_{EMI\ p,c}$  for a certain pollutant (p) due to all sectors (i) in a specific country
- 29 (c) with the following equation (EMEP/EEA, 2013).

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$$\sigma_{EMI\ p,c} = \sqrt{\sum_{i} \left(\sigma_{EMI\ i,c,p} * \frac{EMI_{i,c,p}}{EMI_{tot,c,p}}\right)^{2}}$$
 (Eq. 4)

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where  $EMI_{i,c,p}$  (in kton) represents the emission of a certain pollutant in a certain country from a

specific sector (i) and  $EMI_{tot,c,p}$  (in kton) the corresponding emissions from all sectors for that

35 country and pollutant.

Table S3, reports the overall uncertainty calculated for each pollutant and for each TM5-FASST

37 region. Using an additional constraint that EFs and activities cannot be negative, a lognormal

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- 1 distribution of the calculated uncertainties is assumed (Bond et al., 2004); therefore we can
- 2 calculate the upper and lower range of emission estimates multiplying and dividing the reference
- 3 emissions by  $(1+\sigma_{p,c})$ , respectively. We do not account for the uncertainties of the atmospheric
- 4 transport model and the uncertainties due to aggregation which are larger over smaller TM5-
- 5 FASST regions.

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#### 3 TM5-FASST modelling results

#### 3.1 Regional contributions to PM<sub>2.5</sub> concentrations

9 Figure 1 provides a global perspective on the fraction of within-region and extra-regional PM<sub>2.5</sub> 10 concentrations for 10 aggregated receptor regions using emissions of the year 2010, with the extra-regional fraction broken down into source region contributions. Annual average population 11 weighted anthropogenic PM<sub>2.5</sub> concentrations ranged from few µg/m<sup>3</sup> (e.g. in Oceania or Latin 12 America), around 7-8 µg/m<sup>3</sup> for North America and Europe, and up to 33-39 µg/m<sup>3</sup> in China+ 13 14 (including also Mongolia) and India+ (including also the rest of South Asia). Anthropogenic 15 PM<sub>2.5</sub> pollution in China+ and India+ is mainly affected by large emission sources within the 16 country (98 and 96%, respectively), although 4 % of the Indian anthropogenic PM<sub>2.5</sub> pollution is transported from the Gulf region. North America (98%) and Oceania (98%) are mainly 17 influenced by within-regional pollution due to their geographical isolation from other regions. 18 TM5-FASST computations attributed 11 % of the PM<sub>2.5</sub> in Europe to extra-regional sources; for 19 the Middle East and Gulf region extra-regional contributions amount to 18% (mainly from 20 21 Europe and Russia), for Africa 25% (mainly from Europe and Middle East), and Russia 28% 22 (mainly from Europe, Middle East and Gulf region and China). Shipping emissions are not

to be an important issue in the rest of Asia, in particular for pollution transported from China to Korea and Japan (Park et al., 2014) and we estimate that the contribution of transported PM is up

considered in this analysis due to their international origin. Transboundary air pollution is known

to 40% in South Eastern Asia (mainly from China and India). Within-region and extra-regional

27 PM<sub>2.5</sub> concentrations for all the TM5-FASST regions are reported in Table S2.

Focusing on Europe, Fig. 2 shows within-region (in black) vs. extra-regional absolute 28 population-weighted PM<sub>2.5</sub> concentrations (in μg/m<sup>3</sup>) for 16 EU countries plus Norway and 29 30 Switzerland, defined in TM5-FASST, as well as the source regions contributing to this pollution. Annual averages of PM<sub>2.5</sub> concentrations in Europe vary between 2-4 µg/m<sup>3</sup> in Northern 31 European countries (like Finland, Norway and Sweden) up to 10-12 µg/m<sup>3</sup> for continental 32 Europe. Although most of EU annual average PM2.5 concentrations are below the World Health 33 Organization Air Quality Guideline of 10 µg/m<sup>3</sup> PM<sub>2.5</sub> (as annual average), these values 34 represent only regional averages while several exceedances especially in urban areas are often 35 observed in Europe. As discussed in Sect. 3.2, an additional contribution to PM<sub>2.5</sub> concentrations 36 comes from the shipping sector, mainly influencing Mediterranean countries (like Italy, Spain 37 38 and France) and countries facing the North Sea, Baltic Sea and Atlantic Ocean (e.g. Benelux, 39 Sweden, Great Britain, etc.). From a European perspective, PM<sub>2.5</sub> represents a transboundary 40 issue since extra-regional contributions range between 27% and 75% (on average 51%). 41 Countries surrounded by oceans, are mainly influenced by within-region pollution due to their 42 geographical isolation from other source regions (e.g. Italy, Spain, Great Britain and Norway); 43 therefore the fraction of extra-regional pollution ranges from 27% to 35%. The largest extra-

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- 1 regional contributions are calculated for Hungary (75%, mainly from Austria, Czech Republic,
- 2 Rest of Central EU, Poland and Germany), Czech Republic (67%, mainly from Poland, Germany
- and Austria), Austria and Slovenia (66%, mainly from Czech Republic, Germany and Italy),
- 4 Sweden+Denmark (65%, mainly from Germany, Norway and Poland), Bulgaria (63%, mainly
- 5 from Romania), Greece (61%). The remaining EU countries are both affected by within-region
- 6 and extra-regional pollution (the latter ranging from 40% to 59%), highlighting the importance of
- 7 transboundary transport of PM<sub>2.5</sub> concentrations. For example Switzerland is influenced by the
- 8 pollution coming from France, Italy and Germany; Rest of Central EU by Poland and Germany;
- 9 Germany by France and Benelux; Poland by Czech Republic and Germany. Interestingly,
- 10 Romania, Bulgaria, Greece and Hungary are also significantly affected by the pollution
- transported by Ukraine and Turkey, which is included in the "rest of the world" contribution of
- 12 Fig. 2. Our results are consistent with the findings of the latest UNECE Scientific Assessment
- Report (Maas and Grennfelt, 2016) where the importance of transboundary transport to PM,
- which in Europe mainly consists of secondary organic (not fully treated by TM5-FASST) and
- 15 inorganic particles (e.g. ammonium nitrate and sulfate) formed from gaseous precursors, is
- highlighted. Therefore, in order to reduce regional mean PM concentrations, across-regional
- approach taking into account atmospheric transport and chemical transformations of pollutants
- over a wide scale could be considered.

#### 3.2 Sectorial contributions to PM<sub>2.5</sub> concentrations

Figure 3 shows the relative sectorial contributions to anthropogenic PM<sub>2.5</sub> concentrations for the 56 TM5-FASST receptor regions, separating the fraction of extra-regional (shaded) and withinregion pollution, while Table 1 shows regional average values of sector-specific relative contributions. In most African regions (except Egypt) anthropogenic PM2.5 concentrations are mainly produced by emissions in the residential sector. Agriculture is an important sector for Egypt, while Northern Africa is strongly influenced by shipping emissions in the Mediterranean (30%). PM<sub>2.5</sub> in emerging economies in Asia, Latin America and Middle East are dominated by PM<sub>2.5</sub> concentrations from the residential sector, power generation and industrial. Asian countries, China, India, Indonesia and Philippines are mainly influenced by within-region pollution with the largest contributions coming from power, industry and residential sectors. Japan is characterized by the contribution of local sources like transport and agriculture but it is also affected by transported pollution from China, especially from the industrial sector. Anthropogenic PM<sub>2.5</sub> in the remaining Asian countries is influenced by more than 50% by the pollution coming from China (e.g. Vietnam, Malaysia, Thailand, Mongolia, South Korea, Taiwan) or India (e.g. Rest of South Asia and South Eastern Asia) from the power, industry and residential activities. A different picture is observed for Europe where according to our calculations, PM concentrations stem mainly from the agricultural and residential sectors with somewhat less contribution from the transport sector. In Eastern European countries relevant contributions are also found from the power and industrial sectors in Eastern European countries, related to the relatively extensive use of polluting fuels like coal. PM<sub>2.5</sub> concentrations in USA and Canada are mostly affected by the power, industry and agricultural sectors. In Oceania industry and agriculture are the most important sectors. PM<sub>2.5</sub> concentrations formed from ship emissions mainly affect coastal areas of North Africa, SE Asia (e.g. in Japan, Taiwan, Malaysia, Indonesia and Philippines), Mediterranean countries (Spain by 11%, Italy by 5%, France by 7% of their corresponding country totals), Northern EU regions (Great Britain by 10%, Norway by 6%, Sweden and Denmark by 10% of their corresponding country totals) and Oceania (22% of

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- 1 the regional total). Over the international areas of sea and air no distinction between within-
- region and extra-regional concentrations is reported. Further details on within-region and extra-2
- regional concentrations can be found in section S2 of the Supplementary Material. 3

#### 4 3.3 Gridded PM<sub>2.5</sub> concentrations

- Figure 4 shows the global 1°x1° gridmaps of anthropogenic PM<sub>2.5</sub> concentrations in 2010 for the 5
- reference case as well as the contribution from each of the major anthropogenic emission sectors. 6
- 7 Global PM<sub>2.5</sub> concentrations are ubiquitous and range from few  $\mu g/m^3$  over the oceans and seas
- to more than 50 µg/m<sup>3</sup> over Asia. As shown also in Fig. 3, the most polluted countries in Asia 8
- are China, India and Rest of South Asia (which includes Afghanistan, Bangladesh, Bhutan, 9
- Nepal and Pakistan) with annual average anthropogenic PM<sub>2.5</sub> concentrations ranging from 29 to 10
- 40 μg/m<sup>3</sup>; rather polluted areas are also found in Mongolia and North Korea, Vietnam, South 11
- Korea, Rest of South Eastern Asia (including Cambodia, Lao People's Democratic Republic and
- 12
- 13 Myanmar), Thailand, Japan and Taiwan with PM<sub>2.5</sub> concentration in the range of 6 to 14 μg/m<sup>3</sup>.
- The highest PM<sub>2.5</sub> concentrations in Africa are observed in Egypt (11  $\mu$ g/m<sup>3</sup> as annual average), 14 15 Republic of South Africa (6.1 μg/m<sup>3</sup> as annual average) and Western Africa (4.0 μg/m<sup>3</sup> as annual
- average). The highest pollution in Europe is observed in the Benelux region, Italy and in some of 16
- the Eastern countries (e.g. Romania, Bulgaria and Czech Republic), while in Latin America the 17
- most polluted areas are Chile (13.7 µg/m<sup>3</sup> as annual average) and Mexico (4.2 µg/m<sup>3</sup> as annual 18
- average). Middle East, the Gulf region, Turkey, Ukraine and former USSR are also characterised 19
- by PM<sub>2.5</sub> concentrations ranging between 7.5  $\mu$ g/m<sup>3</sup> and 9.2  $\mu$ g/m<sup>3</sup> as annual averages. 20
- Modelled PM<sub>2.5</sub> concentrations are in the range of the measurements and satellite-based estimates 21
- provided in several literature studies (Brauer et al., 2012; Brauer et al., 2015; Boys et al., 22
- 2014; Evans et al., 2013; Van Donkelaar et al., 2016), reporting for the whole Europe annual 23
- averaged PM<sub>2.5</sub> concentrations in the range between 11 and 17 µg/m<sup>3</sup>, for Asia from 16 to 58 24
- μg/m<sup>3</sup>, Latin America 7-12 μg/m<sup>3</sup>, Africa and Middle East 8-26 μg/m<sup>3</sup>, Oceania 6 μg/m<sup>3</sup> and 25
- North America 13 µg/m<sup>3</sup> (note that measurements and satellite estimates would not separate 26
- 27 anthropogenic and natural sources of PM, e.g. dust, biomass burning, while the concentrations in
- this study pertain to anthropogenic emissions alone). 28
- In order to understand the origin of global PM<sub>2.5</sub> concentrations, we look at sector specific maps 29
- 30 (Fig. 4). The power and industrial sectors are mainly contributing to PM concentrations in
- 31 countries having emerging economies and fast development (e.g. Middle East, China and India),
- 32 while the ground transport sector is a more important source of PM concentrations in
- 33 industrialised countries (e.g. North America and Europe) and in developing Asian countries. The
- 34 residential sector is one of the most significant sources of PM all over the world, potentially also
- affecting indoor air quality. Africa and Asia are strongly influenced by PM concentrations 35
- 36 produced by this sector due to the incomplete combustion of rather dirty fuels and solid biomass
- 37 deployed for domestic purposes (both heating and cooking). Interestingly, the agricultural sector
- 38 is affecting pollution in Asia as well as in Europe and North America, confirming the findings of
- 39 the UNECE Scientific Assessment Report (Maas and Grennfelt, 2016). The residential and
- agriculture sectors are less spatially confined, and more difficult to effectively regulate with 40
- 41 emission reductions than point source emissions of the industrial sectors (e.g. in Europe the 42 Large Combustion Plant Directive, the National Emission Ceilings or the Industrial Emissions,
- the Euro norms for road transport, etc.). Finally, shipping is mainly contributing to the pollution 43

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- 1 in countries and regions with substantial coastal areas, and with ship tracks on the Mediterranean
- 2 Sea, the Atlantic, Pacific and Indian Oceans, as depicted in Fig. 4.

#### 3 3.4 Uncertainty from emissions

### 4 3.4.1 Propagation of emission uncertainties to $PM_{2.5}$ concentrations

- Table 2, as well as Fig. 5, report the annual average  $PM_{2.5}$  concentrations ( $\mu g/m^3$ ) estimated by
- 6 TM5-FASST with the uncertainty bars representing the upper and lower range of concentrations
- 7 due to emission inventories uncertainty. The extra-regional contribution to uncertainty is also
- 8 addressed as well as the contribution of the uncertainty of primary particulate matter emissions to
- 9 the upper range of PM<sub>2.5</sub> concentrations (refer to Table 2). We observe that primary PM
- 10 represents the dominant source of uncertainties in terms of emissions, contributing from 45% to
- 11 97% to the total uncertainty of PM<sub>2.5</sub> concentrations for each country/region.
- 12 Figure 5 depicts the results of the propagation of lowest and highest range of emissions including
- their uncertainty to PM<sub>2.5</sub> concentrations for Asia (panel a) and in more detail- Europe (panel
- b), highlighting the contribution of within-region and extra-regional PM<sub>2.5</sub> concentrations and
- uncertainties (error bars). Due to their large sizes, Indian and Chinese PM<sub>2.5</sub> concentrations and
- uncertainties are mainly affected by uncertainties from the residential, transport and agricultural
- 17 sectors within these countries. Interestingly, in South Eastern and Eastern Asia uncertainties in
- PM<sub>2.5</sub> are strongly influenced by the Indian residential emissions. On the other hand, PM<sub>2.5</sub> in
- 19 Thailand, Japan, Taiwan, South Korea, Mongolia and Vietnam are strongly affected by the
- 20 uncertainty in the Chinese residential and industrial emissions. Therefore our study finds that
- 21 reducing the uncertainties in the Chinese and Indian emission inventories will be highly relevant
- for understanding the long-range contribution of PM<sub>2.5</sub> pollution in most of Asian countries.
- 23 In Europe the highest uncertainties in PM<sub>2.5</sub> concentrations are associated with the emissions
- 24 from the residential, agriculture and transport sectors. In most of the Central and Eastern
- 25 European countries modelled PM<sub>2.5</sub> is strongly affected by the uncertainty of transported extra-
- 26 regional pollution, especially from the residential, agricultural and transport sectors. Conversely,
- uncertainties in Norway are dominated by the national emissions, mainly from the residential and
- transport sectors, and in Italy from the residential and agriculture sectors. The remaining
- 29 European countries are affected both by within-region and imported uncertainties. Panel *c* of Fig.
- 5 represents the results of the propagation of the emissions range including their uncertainty to
- PM<sub>2.5</sub> concentrations for North America, Latin America, Oceania and Russia, while panel d for
- The state of the s
- 32 Africa, Middle East and the Gulf region. The uncertainty in the USA agricultural and residential
- emissions affect more than 50% of modelled Canadian PM<sub>2.5</sub> concentrations and the uncertainty
- 34 in Mexico and Argentina is influenced by similar magnitudes (30-50%) by neighbouring
- countries. The uncertainty of within-region emissions, especially from the residential sector,
- dominates the overall levels of  $PM_{2.5}$  uncertainties in Latin America. In addition, in Chile also
- 37 the within-region agriculture and power sectors contribute significantly to the overall uncertainty
- levels. PM<sub>2.5</sub> levels in most of the African regions are strongly affected by the uncertainty in
- their own residential emissions, while in Egypt they are mostly influenced by the agricultural sector uncertainties (refer to Fig. 5, panel d). Interestingly, anthropogenic PM<sub>2.5</sub> in Northern
- 41 Africa is influenced by Italian emissions uncertainty as well as by emissions from shipping.
- 42 Conversely, the Middle East and Turkey regions are influenced by a range of extra-regional

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1 emission uncertainties (e.g. Middle East is affected by the uncertainty of Turkey, Egypt and the

2 Gulf region, while Turkey by Bulgaria, Gulf region and rest of Central EU).

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#### 4 3.4.2 Ranking the sector specific contribution to emission uncertainties

Figure 6 shows the average sector relative contribution to total emission inventory related 5 uncertainty for the main PM2.5 concentration precursors and world regions, representing the 6 ranking of the most effective improvements to be taken regionally to better constrain their 7 8 inventories and reduce the final formation of PM<sub>2.5</sub> concentrations. The complete overview of all TM5-FASST regions is provided in Fig. S2, where the share of each term of the sum of Eq. 4, 9 representing the sector contribution to the uncertainty of each pollutant in each region, is 10 11 reported. SO<sub>2</sub> uncertainties mainly derive from the power generation sector for most of the world countries especially those that are coal dominated; however, relevant contributions are 12 13 also observed from the industrial sector in South Africa, Asia, Norway, some Latin American countries, Canada and Russian countries. Interestingly some contributions are also observed 14 from the residential sector in Africa and from the transport sector in some Asian countries (e.g. 15 Korea, Vietnam, Indonesia, South Eastern Asia, etc.). NOx emissions uncertainty mainly derives 16 from the transport sector, although some contributions are also seen from the power generation 17 in Russian countries with gas and Middle East and residential sector in Africa. Depending on the 18 19 region, CO uncertainty is dominated by the transport or residential (particularly in Africa and Asia) sector and for some regions by a similar contribution of these two sectors. NMVOC 20 emission uncertainties mainly derive from the industrial, transport and residential activities 21 22 which are still not well characterized in terms of NMVOC emissions due to the complex mixture and reactivity of such pollutants. As expected, NH<sub>3</sub> emission uncertainty is dominated by the 23 24 agricultural sector which appears to be less relevant for all other pollutants. Primary particulate matter emissions should be mainly improved for the residential and transport sectors and partly 25 for the industrial one. Black carbon emission inventories should be better characterised in 26 Europe, Japan, Korea, Malaysia etc. for the transport sector, where the higher share of diesel 27 28 used as fuel for vehicles leads to higher BC emissions; in addition, BC emissions from the residential sector require further effort to better characterise them in terms of EFs for the 29 different type of fuels used under different combustion conditions. To constrain and improve 30 organic matter emissions, efforts should be dedicated to the residential emissions 31 32 characterisation. Therefore, in the following section, we try to assess one of the major sources of uncertainty in the residential emissions in Europe which is the use of solid biofuel. 33

# 3.4.3 Assessing the uncertainty in household biofuel consumption with an independent inventory in Europe

The combustion of solid biomass (i.e. biofuel) for household heating and cooking purposes is one of the major sources of particulate matter emissions in the world. Wood products and residues are largely deployed in residential activities, but national reporting often underestimates the emissions from this sector in Europe, due to the fact that often informal economic wood sales are not accurately reflected in the official statistics of wood consumption (AD) (Denier Van Der Gon et al., 2015). An additional uncertainty is related to the lack of information in the inventory regarding the emission factors (EF) variability, which depends on the combustion efficiency and type of wood (Weimer et al., 2008; Chen et al., 2012). In our work we estimate the uncertainty

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attributable to wood combustion in the HTAP\_v2.2 residential sector ( $\sigma_{AD,RES\_bio}$ ) by comparing it to the recent TNO RWC (residential wood combustion) inventory of Denier van der Gon et al. (2015), which includes a revised biomass fuel consumption, with the corresponding EDGARv4.3.2 activity data (Janssens-Maenhout et al., 2017, in prep.), as shown in Table S4. In the TNO RWC inventory, wood use for each country has been updated comparing the officially reported per capita wood consumption data (from GAINS and IEA) with the expected specific wood use for a country including the wood availability information (Visschedijk et al., 2009; Denier Van Der Gon et al., 2015). We can therefore assume that the TNO RWC inventory represents an independent estimate of wood consumption in the residential sector, allowing a more precise uncertainty estimation of the AD for this sector. Assuming that emissions are calculated as the product of AD and EF, the corresponding uncertainty can be calculated with Eq. 3, where  $\sigma_{AD}$  ranges from 5 to 10% for European countries and Russia as reported for international statistics (Olivier et al., 2016). We can therefore calculate the residential emission factors uncertainty of each individual pollutant ( $\sigma_{EF,p}$ ) from Eq. 3. In addition, based on the comparison of the recent estimates of wood consumption provided by TNO RWC AD, which should match better with observations and the EDGARv4.3.2 ones, we can evaluate the mean normalized absolute error (MNAE) considering all N countries, following Eq. 5 (Yu et al., 2006), which represents our estimate of  $\sigma_{AD,RES,bio}$ .

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$$MNAE = \frac{1}{N} * \sum_{j}^{N} \frac{|TNO\ RWC_{j} - EDGARv4.3.2_{j}|}{TNO\ RWC_{j}}$$
(Eq.5)

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We estimate a value of  $\sigma_{AD,RES\_bio}$  of 38.9% which is much larger compared to the 5-10% uncertainty assumed for the fuel consumption of the international statistics ( $\sigma_{AD}$ ). The issue of biofuel uncertainty mainly affects rural areas where wood is often used instead of fossil fuel.

Then, using Eq. 3 and the calculated  $\sigma_{AD,RES\_bio}$  and  $\sigma_{EF,p}$ , we can evaluate a new  $\sigma_{EMI,p,RES\_bio}$ for the residential sector including the uncertainty of the AD due to the use of wood as fuel for this sector, as reported in Table S5. Comparing the results shown in Table S5 with the factor of two uncertainty values expected for PM emissions from the residential sector (Janssens-Maenhout et al., 2015), we derive that the uncertainty associated with the emission factors for biomass combustion in the residential sector is the dominant source of uncertainty compared to the AD (wood consumption) uncertainty. Large increases in reported biomass usage for domestic use has been noted in IEA energy statistics for some European countries (IEA, 2013,2014,2015,2016) and further increases are expected as countries are shifting their methodologies to estimate biofuel activity data away from fuel sales statistics to a modeling approach based on energy demand. In addition, several EU countries have planned to increase the use of biomass to accomplish the targets set in the context of the renewable energy directive (2009/28/EC) reported in their national renewable action as energy (http://ec.europa.eu/energy/node/71). When comparing the UNFCCC and the TNO RWC data, a higher value of  $\sigma_{AD,RES\ bio}$  is obtained (59.5% instead of 38.9%), although its effect on the final residential emission uncertainty is less strong, as shown in Table S6. Table 3 shows the impact of biofuel combustion uncertainty in the residential sector on PM<sub>2.5</sub> concentrations. Upper-end

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uncertainties indicate that PM<sub>2.5</sub> concentrations could be between 2.6 and 3.7 times larger than those derived from the HTAP\_v2.2 inventory.

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#### 4 Health impact assessment

Annual population weighted PM<sub>2.5</sub> concentration represents the most robust and widely used 6 7 metric to analyse the long-term impacts of particulate matter air pollution on human health, as 8 demonstrated by several epidemiological studies (Pope and Dockery, 2006; Dockery, 2009). The 9 mortality estimation in TM5-FASST is based on the integrated exposure-response functions 10 defined by Burnett et al. (2014). The increased risk from exposure to air pollution is estimated using exposure-response functions for five relevant deaths causes, namely Ischemic heart disease 11 (IHD), Cerebrovascular Disease (CD, stroke), Chronic Obstructive Pulmonary Disease (COPD), 12 Lung Cancer (LC), Acute Lower Respiratory Infections (ALRI). The relative risk (RR) 13 14 represents the proportional increase in the assessed health outcome due to a given increase in 15 PM<sub>2.5</sub> concentrations (Burnett, 2014).

In this section, we investigate the impact of total and sector-specific anthropogenic population 16 17 weighted PM<sub>2.5</sub> concentrations on health and we show comparisons with mortality estimates provided by WHO and scientific publications (Silva et al., 2016). Figure 7 represents the 18 19 premature deaths (PD) distribution due to air pollution, using population weighted PM<sub>2.5</sub> concentrations and representative for anthropogenic emissions in the year 2010. The most 20 21 affected areas are China and India, but also some countries of Western Africa and urban areas in 22 Europe (in particular in the Benelux region and Eastern Europe). Our computations indicate that 23 annual global outdoor premature mortality due to anthropogenic PM<sub>2.5</sub> amounts to 2.1 million premature deaths, with an uncertainty range related to emission uncertainty of 1-3.3 million 24 deaths/year. In 2010, 82% of the PD occurs in fast growing economies and developing countries, 25 26 especially in China with 670000 and India with an almost equal amount of 610000 PD/year. Table 4 summarizes our estimates of premature mortality for aggregated world regions, with 27 Europe accounting for 210000 PD/year and North America 100000 PD/year. 28

29 Our results are comparable with Lelieveld et al. (2015) and Silva et al. (2016) who estimate 30 using the Burnett et al. (2014) methodology a global premature mortality of 2.5 and 2.2 million people, respectively, due to air quality in 2010 for the same anthropogenic sectors. However, a 31 32 recent work published by Cohen et al. (2017) estimates a global mortality of 3.9 million PD/year. When comparing mortality estimates we need to take into account that several elements affect 33 the results, like the resolution of the model, the urban increment subgrid adjustment, the 34 35 inclusion or not of natural components, the impact threshold value used, and RR functions. We 36 also estimate that 7 % of the global non accidental mortalities are advanced by air pollution; 8.6% of total mortality in Europe is due to air pollution, ranging from less than 1% up to 17% 37 38 depending on the country; similarly, Asian premature mortality due to air quality is equal to 8.7% of total Asian mortality, with 10.6% contribution in China and 8.5% in India. Lower values 39 40 are found for African countries and Latin America where other causes of mortalities are still 41 dominant compared to developed countries.

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1 Table 5 shows the number of premature deaths from a source region perspective, highlighting the

2 premature mortality induced by each source region within the country itself and outside the

3 emitting region. The PD induced by Chinese and Indian emissions are mainly found within these

4 two countries; however, the annual PDs caused by China and India in external regions equal

5 54000 and 76000 PD/year, respectively, representing a high contribution of ca. 10 % to the

6 global mortality. Clearly, reducing emissions and emission uncertainties in these two regions will

7 have therefore the largest over-all benefit on global air quality improvement and understanding

8 as well as on global human health. For most of the TM5-FASST regions, PD due to

anthropogenic emissions within the source region are higher than the extra-regional

10 contributions. However, there are marked exceptions, such the Gulf region, Hungary, Czech

11 Republic, Mongolia, etc., where the extra-regional and within-region contributions to mortality

12 are at least comparable.

13 Detailed information on the premature deaths for each TM5-FASST region and the contributing

anthropogenic emission sectors is shown in Figs. 8a and 8b. Health effects induced by air quality

in industrialized countries are mainly related with agriculture (32.4% of total mortality or 68000

PD/year), residential combustion (17.8% or 37000 PD/year) and road transport (18.7% or 39000

17 PD/year) for Europe and with power generation (26.4% or 26000 PD/year), industry (19% or

18 19000 PD/year), residential (17% or 17000 PD/year) and agriculture (24.0% or 24000 PD/year)

19 for North America. The health impacts observed in most Western EU countries is due both to

20 within-regions and extra-regional pollution, while in several Eastern EU countries the impact of

21 neighbouring countries is even larger compared to within-region pollution. PM related mortality

22 in developing countries and fast growing economies is mostly affected by industrial (up to 42%

in China or 279000 PD/year) and residential activities (ranging from 27% in China and 76% in

Western Africa), and also by power generation (up to 24% in India or 113000 PD/year). Chinese

emissions have a strong impact on China, Japan, Vietnam, Mongolia+Korea, Thailand while the

Indian emissions impact the rest of South and South Eastern Asia. Reducing Chinese and Indian

27 emissions will reduce the PM related mortality in almost all countries in Asia. Our results are in

agreement with the study of Oh et al. (2015) where they highlight the role of transported

29 pollution from China in affecting Korean and other South Eastern Asian countries PM<sub>2.5</sub>

30 concentrations and health effects, as well as the need of international measures to improve air

31 quality.

#### Conclusions

We coupled the global anthropogenic emission estimates provided by the HTAP\_v2.2 inventory

34 for 2010 (merging national and regional inventories) to the global source receptor model TM5-

35 FASST, to study PM<sub>2.5</sub> concentrations and the corresponding health impacts, including an

36 evaluation of the impacts of uncertainties in national emission inventories. Annual and regionally

averaged anthropogenic PM<sub>2.5</sub> concentrations, corresponding to the 2010 emissions, vary

between ca 1 and 40  $\mu$ g/m<sup>3</sup>, with the highest annual concentrations computed in China (40

39  $\mu g/m^3$ ) India (35  $\mu g/m^3$ ), Europe and North America (each 8  $\mu g/m^3$ ). Anthropogenic PM<sub>2.5</sub>

40 concentrations are mainly due to emissions within the source region, but extra-regional

41 transported air pollution can contribute by up to 40%, e.g. from China to SE Asia, from EU to

42 Russia, etc.). Moreover, due to the transport of PM between European countries, EU wide

directives can help improving the air quality across Europe.

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- 1 For our analysis we aggregate our results derived from 56 TM5-FASST source regions, into 10
- 2 global regions to facilitate the comparison of results in regions of more equal size. The relative
- 3 contribution of anthropogenic sectors to PM<sub>2.5</sub> concentrations varies in different regions. In
- 4 Europe in 2010, the agriculture and residential combustion sectors contribute strongest to PM<sub>2.5</sub>
- 5 concentrations and these sectors are also associated with relatively large emission uncertainties.
- 6 PM<sub>2.5</sub> concentrations in China and other emerging economies are predominantly associated with
- 7 the power generation, industry and residential activities.
- 8 Using HTAP\_v2.2 and TM5-FASST, we also evaluate how the uncertainty in sectors and
- 9 regions propagates to PM<sub>2.5</sub>. The aim of our analysis is to provide insights on where the emission
- 10 inventories of each country could be improved, because of their highest uncertainty and highest
- 11 contribution to the formation of PM<sub>2.5</sub> concentrations. The uncertainty of PM concentrations
- depends in variable proportions to the uncertainties of the emissions within receptor regions, and
- surrounding regions. We show that reducing the uncertainties in the Chinese and Indian emission
- inventories (e.g. from industry and residential sectors) will be highly relevant for understanding
- the long-range sources of PM<sub>2.5</sub> pollution in most of Asian countries. Here we demonstrate how
- analysis of uncertainties in national/regional sectorial emission inventories can further inform
- 17 coordinated transboundary and sector-specific policies to significantly improve global air
- 18 quality. Among all anthropogenic emission sectors, the combustion of biomass for household
- 19 purposes represents one of the major sources of uncertainties in emission inventories both in
- 20 terms of wood consumption and emission factor estimates. Further effort is therefore required at
- 21 national level to better characterize this source.
- 22 Finally, we analyse the air quality effects on health. Global health effects due to PM<sub>2.5</sub>
- 23 concentrations calculated with TM5-FASST and anthropogenic emissions in 2010 are estimated
- to be ca 2.1 million premature deaths/year, but the uncertainty associated with emission ranges
- between 1-3.4 million deaths/year, of which the largest fraction (82%) occurs in developing
- 26 countries.

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#### 1 Tables and Figures

Table 1 - Sector specific contribution [%] to annual anthropogenic PM<sub>2.5</sub> concentrations for aggregated world region. The largest contributing sectors (above a threshold of 15%) are shaded in blue.

	POWER	INDUSTRY	TRANSPORT	RESIDENTIAL	AGRICULTURE	SHIP
Africa	26.7	16.1	3.6	37.9	8.2	7.4
China+	18.3	42	7.5	23.1	8.8	0.3
India+	20.8	19.4	11.4	45.2	3	0.2
SE Asia	17.1	35.9	9	27.2	7.4	3.4
Europe	15.1	14.3	18.7	19.7	27.7	4.4
Latin America	25.6	33.7	6.6	18.9	12.6	2.6
Middle East	37.9	25.2	9.7	11.7	13.7	1.8
Russia	23.5	30.9	8.6	13	23.1	0.8
North America	20.4	23.5	10.8	15.5	25.6	4.2
Oceania	13.9	30.7	5.1	9.8	18.6	21.8

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Table 2 - Annual average  $PM_{2.5}$  concentrations ( $\mu g/m^3$ ) with upper and lower range in brackets due to emission inventories uncertainty ( $\sigma$ ). The upper and lower range of  $PM_{2.5}$  concentrations are calculated as the reference concentrations multiplied and divided by (1+ $\sigma$ ) respectively. Uncertainty due to the contribution of primary  $PM_{2.5}$  emissions.

World region	TM5-FASST region	PM <sub>2.5</sub> concentration (μg/m³)	Fraction of uncertainty due to primary PM emissions (%)
	South Korea	13.8 (8.3 - 24.9)	71%
	Japan	6.9 (3.8 - 13.3)	84%
	Mongolia+ North Korea	14.6 (9.0 - 25.9)	75%
	China	39.9 (22.4 - 76.6)	78%
	Taiwan	6.4 (3.7 - 10.9)	77%
	Rest of South Asia	29.3 (13.9 - 64.9)	87%
Asia	India	34.7 (16.6 - 73.4)	86%
	Indonesia	2.4 (1.3 - 4.6)	86%
	Thailand	8.0 (5.1 - 12.6)	88%
	Malaysia	3.1 (1.8 - 5.2)	85%
	Philippines	2.0 (1.1 - 3.8)	80%
	Vietnam	14.2 (7.0 - 30.4)	92%
	Rest of South Eastern Asia	8.6 (4.6 - 17.6)	89%
	Austria+Slovenia	8.4 (4.0 - 19.6)	59%
	Switzerland	10.1 (4.9 - 23.3)	52%
	Benelux	10.1 (5.2 - 22.7)	59%
	Spain+Portugal	5.4 (3.4 -9.4)	77%
	Finland	2.6 (1.3 - 5.8)	66%
	France	9.3 (5.0 - 19.0)	69%
	Great Britain+Ireland	6.1 (3.2 - 13.0)	66%
	Greece+Cyprus	7.6 (4.8 - 12.7)	74%
obe	Italy+Malta	11.8 (6.2 - 25.2)	64%
Europe	Germany	9.3 (5.0 - 20.0)	54%
	Sweden+Denmark	4.1 (2.2 - 8.4)	65%
	Norway	2.4 (1.2 - 5.4)	89%
	Bulgaria	10.6 (5.4 - 21.6)	66%
	Hungary	9.2 (4.4 - 21.6)	60%
	Poland+Baltic	7.9 (3.6 - 20.2)	54%
	Rest of Central EU	9.3 (4.7 – 20.4)	63%
	Czech Republic	10.3 (4.8 - 25.1)	58%
	Romania	10.9 (5.5 - 24.1)	67%

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T	T	1	1
World region	TM5-FASST region	PM <sub>2.5</sub> concentration (μg/m³)	Fraction of uncertainty due to primary PM emissions (%)
	Northern Africa	4.2 (2.3 - 4.3)	80%
	Egypt	11.0 (5.0 - 27.8)	46%
Africa	Western Africa	4.0 (1.7 - 10.2)	96%
Afr	Eastern Africa	2.7 (1.4 - 5.7)	89%
	Southern Africa	1.0 (0.5 - 2.2)	90%
	Rep. of South Africa	6.1 (3.1 - 12.5)	84%
idle	Middle East	9.2 (5.4 - 17.8)	58%
Gulf/Middle East	Turkey	8.7 (4.9 - 17.1)	67%
Gulf	Gulf region	7.8 (4.7 - 14.5)	57%
	Brazil	1.6 (1.1 - 2.6)	85%
g	Mexico	4.2 (2.1 - 9.2)	62%
Latin America	Rest of Central America	2.0 (1.0 - 4.0)	78%
tin A	Chile	13.7 (7.3 - 29)	70%
L L	Argentina+Uruguay	1.1 (0.7 - 1.9)	77%
	Rest of South America	2.4 (1.6 - 3.9)	69%
₹	Canada	4.3 (2.4 - 8.3)	66%
NA	USA	7.8 (4.4 - 14.4)	71%
	Kazakhstan	4.9 (3.2 - 8.9)	62%
_	Former USSR Asia	7.5 (4.0 - 17.6)	49%
Russia	Russia (EU)	3.3 (1.9 - 6.7)	57%
<b>1</b>	Russia (Asia)	2.7 (1.7 - 5.1)	64%
	Ukraine	7.8 (4.2 - 15.9)	65%
<u>.g</u>	Australia	1.1 (0.8 - 1.4)	84%
Oceania	New Zealand	0.3 (0.1 - 0.5)	60%
0	Pacific Islands	0.2 (0.1 - 0.4)	75%

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Table 3 -  $PM_{2.5}$  concentrations due to the residential sector emissions in Europe, Russia (EU), Ukraine and Turkey and uncertainty range including the uncertainty in the biomass consumption for the same sector.

	PM <sub>2.5</sub> (μg/m <sup>3</sup> ) - RESIDENTIAL	PM <sub>2.5</sub> (μg/m <sup>3</sup> )- RESIDENTIAL including biomass uncertainty
Romania	3.1	11.4
Czech Republic	2.9	10.7
Italy+Malta	3.6	10.6
Rest of Central EU	2.5	9.2
Hungary	2.5	9.1
Bulgaria	2.3	8.6
Poland+Baltic	2.2	8.3
Austria+Slovenia	2.2	7.1
Ukraine	1.7	6.1
France	2.1	6.0
Turkey	1.7	5.9
Norway	1.3	4.1
Switzerland	1.4	3.9
Greece+Cyprus	1.2	3.8
Germany	1.1	3.0
Spain+Portugal	1.0	2.7
Benelux	0.9	2.5
Sweden+Denmark	0.8	2.4
Finland	0.7	2.1
Great Britain+Ireland	0.7	1.8
Russia (EU)	0.4	1.3

 $Table \ 4-Number \ of \ premature \ deaths/year \ due \ to \ anthropogenic \ PM_{2.5} \ air \ pollution \ in \ world \ regions \ and \ corresponding \ uncertainty \ range.$ 

	PD (deaths/year)		
China+	6.7E+05 (3.5E+05 - 1.0E+06)		
India+	6.1E+05 (2.7E+05 - 9.6E+05)		
Europe	2.6E+05 (1.4E+05 - 4.8E+05)		
SE Asia	1.5E+05 (8.3E+04 - 2.5E+05)		
Russia	1.1E+05 (6.7E+04 - 2.4E+05)		
North America	1.0E+05 (5.5E+04 - 1.7E+05)		
Africa	7.4E+04 (3.4E+04 - 1.6E+05)		
Middle East	5.6E+04 (3.2E+04 - 9.7E+04)		
Latin America	2.6E+04 (1.4E+04 - 5.3E+04)		
Oceania	5.5E+01 (3.4E+01 - 1.2E+02)		

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Table 5 – Number of premature deaths caused by major source regions and their contribution to global mortality (within-region and extra-regional attribution).

world regions	TM5-FASST FASST codes	PD induced by source region (deaths/year)	Within-region PD (deaths/year)	Extra-regional PD (deaths/year)
Africa	Eastern Africa	9451	8218	1233
Africa	Egypt	11137	10783	354
Africa	Northern Africa	3904	3427	477
Africa	Rep. of South Africa	8813	8797	15
Africa	Southern Africa	248	32	216
Africa	Western Africa	19785	19785	0
Asia	China	696823	643129	53694
Asia	Indonesia	15352	14803	549
Asia	India	488319	412298	76021
Asia	Japan	15181	15181	0
Asia	South Korea	8789	7510	1279
Asia	Mongolia+ North Korea	8786	4076	4710
Asia	Malaysia	2225	1058	1167
Asia	Philippines	94	94	0
Asia	Rest of South Asia	113040	67170	45870
Asia	Rest of South Eastern Asia	4064	3814	250
Asia	Thailand	10898	10495	403
Asia	Taiwan	1028	1028	0
Asia	Vietnam	24401	20286	4115
Europe	Austria+Slovenia	3668	1674	1994
Europe	Bulgaria	5986	2269	3717
Europe	Benelux	12991	6057	6933
Europe	Switzerland	3036	1404	1632
Europe	Czech Republic	8957	3540	5417
Europe	Germany	33343	23001	10342
Europe	Spain+Portugal	10454	9541	914
Europe	Finland	0	0	0
Europe	France	23901	15148	8753
Europe	Great Britain+Ireland	12588	11157	1431
Europe	Greece+Cyprus	2112	1520	592
Europe	Hungary	4629	3889	740
Europe	Italy+Malta	18541	17373	1168
Europe	Norway	26	26	0
Europe	Poland+Baltic	23825	16811	7014
Europe	Rest of Central EU	9570	6239	3331
Europe	Romania	15374	8360	7014
Europe	Sweden+Denmark	90	88	2
Latin America	Argentina+Uruguay	114	75	39

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Latin America	Brazil	4089	3968	120
Latin America	Chile	3391	3283	108
Latin America	Mexico	9410	8447	964
Latin America	Rest of Central America	3569	2772	797
Latin America	Rest of South America	4205	4164	41
Middle East	Golf region	34270	11225	23046
Middle East	Middle East	3993	2804	1189
Middle East	Turkey	32442	24191	8252
North America	Canada	5279	1491	3788
North America	USA	92885	90176	2709
Oceania	Australia	1010	25	985
Oceania	New Zealand	15	15	0
Oceania	Pacific Islands	1	1	0
Russia	Kazakhstan	2000	1100	900
Russia	Former USSR Asia	7419	6420	999
Russia	Russia (Asia)	3607	601	3006
Russia	Russia (EU)	19419	12704	6714
Russia	Ukraine	57352	44604	12748

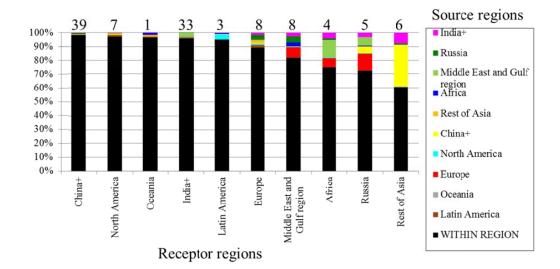


Figure 1 – Within-region vs. imported extra-regional anthropogenic  $PM_{2.5}$  concentrations [%] for aggregated world regions. Annual average population weighted anthropogenic concentrations (in  $\mu g/m^3$ ) are reported on top of each bar. Ship emissions were not included.





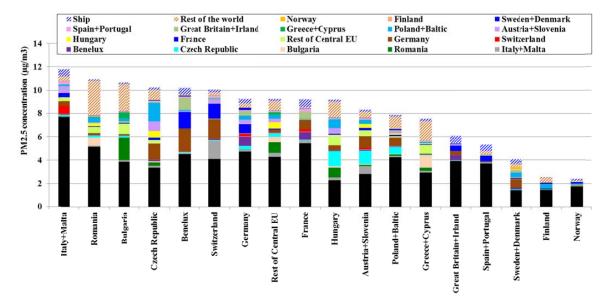


Figure 2 – Anthropogenic  $PM_{2.5}$  concentrations in 18 countries and sub-regions in Europe separated in within-region and extra-regional contributions.





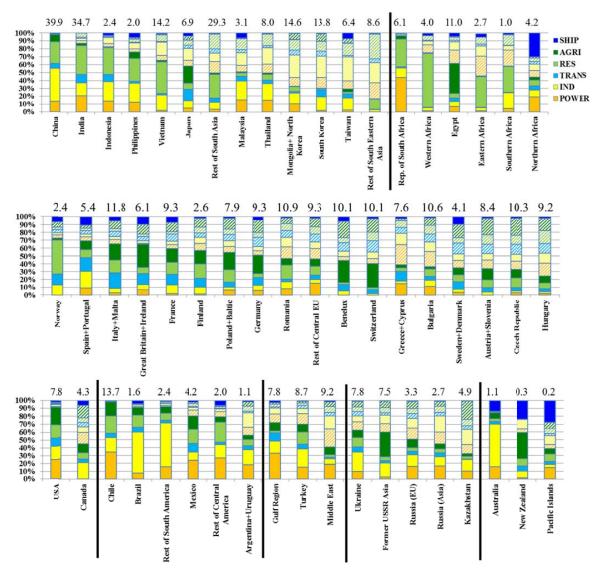


Figure 3 - Fraction of within-region and extra-regional (shaded areas) anthropogenic  $PM_{2.5}$  concentrations separate by sector for receptor region within the macro-regions: Asia and Africa (upper panel), Europe (middle panel), North America, Latin America, Middle East, Russia and Oceania (lower panel). Annual averaged anthropogenic concentrations (in  $\mu g/m^3$ ) are reported on top of each bar.

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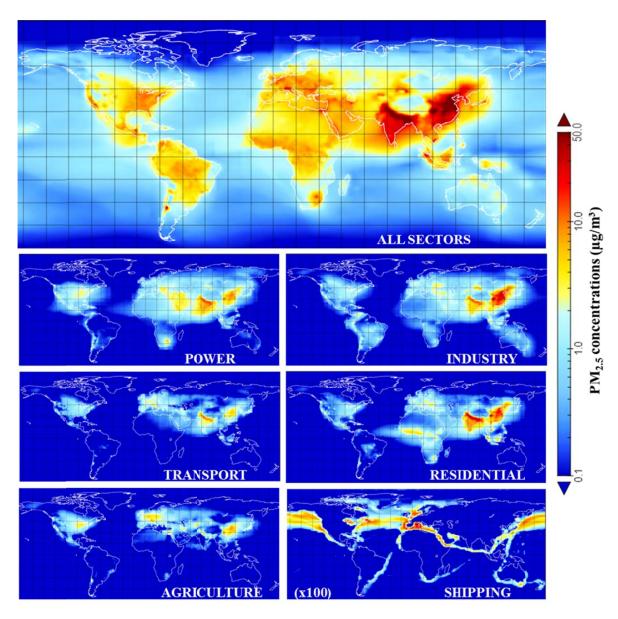


Figure 4 – Total anthropogenic  $PM_{2.5}$  concentrations ( $\mu g/m^3$ ) and sectorial contributions using 2010 emissions.





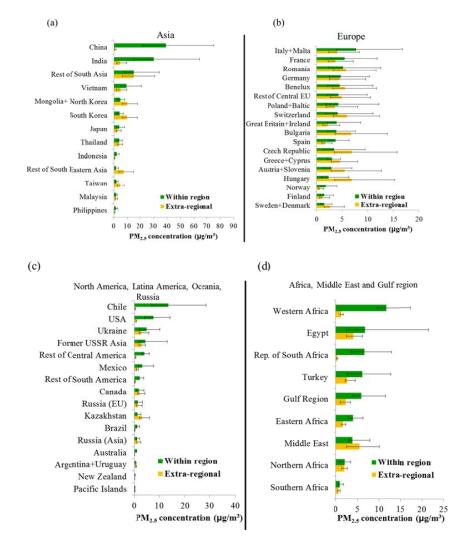


Figure 5 - Within-region and extra-regional anthropogenic PM<sub>2.5</sub> concentrations for Asia (panel a), Europe (panel b), North America, Latin America, Oceania and Russia (panel c) and Africa, Gulf region and Middle East (panel d). The error bars are calculated multiplying and dividing the reference emissions by (1+ $\sigma$ ) as discussed in Sect. 2.3.





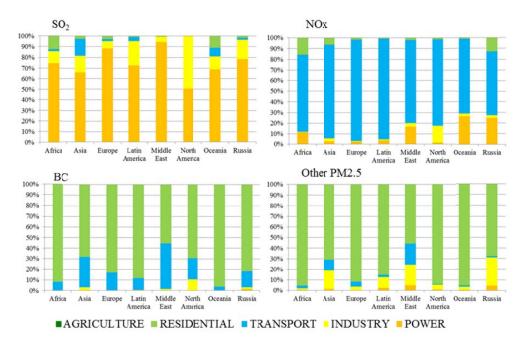


Figure 6 – Contribution of anthropogenic sectors to the emission uncertainty of various pollutants S for different world regions.

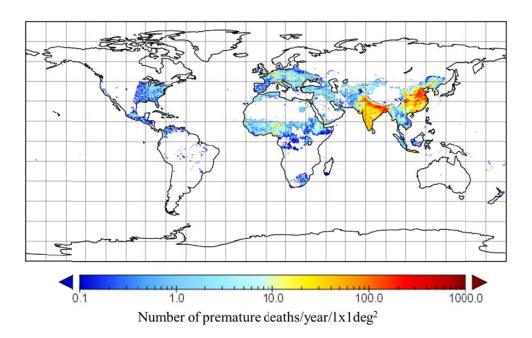






Figure 7 – Global distribution of premature deaths in 2010 caused by anthropogenic particulate matter pollution estimated using the methodology described in Burnett et al. (2014).

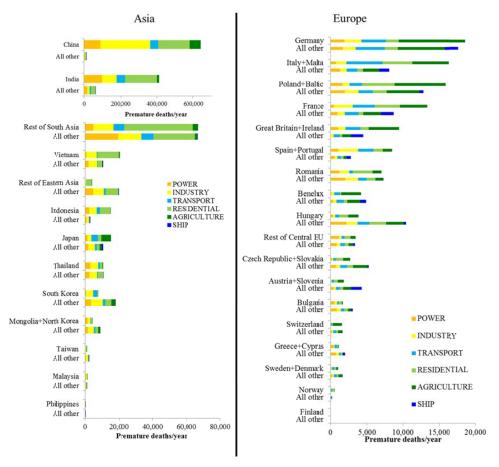


Figure 8a – Anthropogenic emission sector contributions to premature mortality (deaths/year) due to  $PM_{2.5}$  population weighted concentrations in the TM5-FASST receptor regions of Asia and Europe.





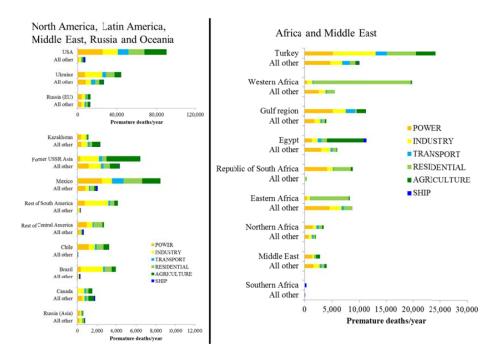


Figure 8b – Anthropogenic emission sector contributions to premature mortality (deaths/year) due to  $PM_{2.5}$  population weighted concentrations in the TM5-FASST receptor regions of North America, Latin America, Russia, Middle East and Oceania (left hand side) and Africa (right hand side). Note that mortality estimates for Argentina+Uruguay, Australia, New Zealand and Pacific Islands are not reported being several orders of magnitude lower than other countries estimates.