

# 1 **Comparison of primary aerosol emission and secondary aerosol formation from gasoline direct injection and** 2 **port fuel injection vehicles**

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## 16 **Abstract**

17 Gasoline vehicles ~~greatly~~ contribute to urban particulate matter (PM) pollution. Gasoline direct injection (GDI)  
18 engines, known as their higher fuel efficiency than that of port fuel injection (PFI) engines, have been increasingly  
19 employed in new gasoline vehicles. However, the impact of this trend on air quality is still poorly understood. Here,  
20 we investigated both primary emissions and secondary organic aerosol (SOA) formation from GDI and PFI vehicles  
21 under urban-like condition, using combined approaches involving chassis dynamometer measurement and  
22 environmental chamber simulation. The PFI vehicle emits slightly more volatile organic compounds, e.g., benzene  
23 and toluene, whereas the GDI vehicle emits more particulate components, e.g., the total PM, elemental carbon,  
24 primary organic aerosols and polycyclic aromatic hydrocarbons. Strikingly, a much higher SOA production (by a  
25 factor of approximately 2.7) is found from the exhaust of the GDI vehicle than that of the PFI vehicle under the

26 same conditions. More importantly, the higher SOA production found in the GDI vehicle exhaust occurs  
27 concurrently with lower concentrations of traditional SOA precursors, e.g., benzene and toluene, indicating a  
28 greater contribution of intermediate volatility organic compounds and semivolatile organic compounds in the GDI  
29 vehicle exhaust to the SOA formation. Our results highlight the considerable potential contribution of GDI vehicles  
30 to urban air pollution in the future.

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### 33 **1 Introduction**

34 Organic aerosols account for approximately 20-50 % of ambient fine particulate matter (PM<sub>2.5</sub>), with  
35 significant environment and health effects (Kanakidou et al., 2005). Primary organic aerosol (POA) is emitted  
36 directly by sources, while secondary organic aerosol (SOA) is mainly formed via oxidation of gaseous precursors  
37 in the atmosphere and account for about 30-90 % of the organic aerosol (OA) mass worldwide (Zhang et al., 2007;  
38 Hu et al., 2016), but SOA source remain poorly constrained. Robinson et al. (2007) proposed that low-volatility  
39 gas-phase species emitted from diesel vehicles were important sources for urban ambient SOA, which achieved  
40 better mass closure between observed and modeled SOA. Using an updated CMAQ model, Jathar et al. (2017)  
41 found that 30-40% OA was contributed from vehicles in the southern California, and half of which was SOA.  
42 Huang et al. (2014) recently revealed that 15-65 % of SOA was contributed by fossil fuel consumption (i.e., traffic  
43 and coal burning) in megacities in China. These indicated that vehicles have important contribution to ambient  
44 SOA in urban areas. An ambient organic aerosol measurement in the Los Angeles Basin demonstrated that SOA  
45 contributed from gasoline vehicles was significant in the urban air, much larger than that from diesel vehicles  
46 (Bahreini et al., 2012). Similar conclusion was reached by Hayes et al. (2013) based on mass spectrometer results.  
47 Meanwhile, several chamber simulation studies concluded that exhaust of gasoline vehicles could form substantial  
48 SOA (Jathar et al., 2014). Thus, gasoline vehicles exhaust is highly associated with ambient SOA formation.

49 Gasoline vehicles can be categorized into two types based on the fuel injection technologies in their engines,  
50 i.e., port fuel injection (PFI) vehicles and gasoline direct injection (GDI) vehicles. Unlike a PFI engine, in which

51 gasoline is injected into intake port, gasoline is sprayed into cylinder directly in a GDI engine. With the increased  
52 atomization and vaporization rate of fuel, and more accurate control of fuel volume and injection time, a GDI  
53 engine has many advantages, such as better fuel efficiency, lower CO<sub>2</sub> emissions and less fuel pumping loss  
54 (Alkidas, 2007; Myung et al., 2012; Liang et al., 2013). In past decades, PFI vehicles dominated the market share  
55 of gasoline cars in the world. However, in recent years, GDI vehicles have been increasingly employed, due to their  
56 higher fuel efficiency. The market share of GDI vehicles in sales in 2016 reached about 25 %, 50 % and 60 % in  
57 China, the US and Europe, respectively (Wen et al., 2016; Zimmerman et al., 2016).

58 Several previous studies investigated the emissions of GDI and PFI vehicles, in terms of concentrations of  
59 gaseous pollutants, particle numbers and mass concentrations, and evaluated the reduction of emissions with ~~the~~  
60 upgrading emission standards (Ueberall et al., 2015; Zhu et al., 2016; Saliba et al., 2017). These studies show that  
61 GDI vehicles emit more primary particles than PFI vehicles (Zhu et al., 2016; Saliba et al., 2017), and even diesel  
62 vehicles equipped with ~~a~~ diesel particulate filter (DPF) (Wang et al., 2016), ~~which is~~ likely due to insufficient time  
63 allowed for gasoline fuel to be mixed with air thoroughly, as well as gasoline droplets impinging onto pistons and  
64 surfaces of combustion chamber in GDI engine (Chen et al., 2017; Fu et al., 2017). However, in most studies,  
65 vehicles were tested under the driving cycles of the US or European standards, ~~indicating that~~ those results ~~are~~ not  
66 representative of China's traffic conditions.

67 SOA production from gasoline vehicle exhaust was previously simulated in smog chambers and potential  
68 aerosol mass (PAM) flow reactors. SOA formed from gaseous pollutants exceeds the related POA emissions and  
69 having much more contribution to air quality degradation. These studies mostly focused on the impacts of SOA  
70 formation by the model year (Gordon et al., 2014; Jathar et al., 2014; Liu et al., 2015), fuel formulations (Peng et  
71 al., 2017), driving cycles (including idling) (Nordin et al., 2013; Platt et al., 2013) and start-up modes of the gasoline  
72 vehicles (Nordin et al., 2013). Few studies, however, have investigated SOA formation from vehicles with different  
73 engine technologies (GDI and PFI) under the same working condition.

74 In this study, both primary emissions and secondary aerosol formation from GDI and PFI vehicles were  
75 investigated. To represent typical urban driving patterns in megacities such as Beijing, the vehicles were tested

76 using gasoline fuel meeting the China Phase V fuel standard, and were operated with the cold-start Beijing cycle  
77 (BJC). The SOA formation from both the PFI and GDI vehicle exhausts were then simulated using a smog chamber.  
78 Finally, the overall contributions of the GDI and PFI gasoline vehicles to ambient particulate matter (PM) were  
79 evaluated. This study is part of a project that investigates the relationship between vehicle (engine) emissions and  
80 ambient aerosols, including potential of SOA formation from a PFI engine (Du et al., 2017) and the effects of  
81 gasoline aromatics on SOA formation (Peng et al., 2017).

82

## 83 **2 Materials and methods**

### 84 **2.1 Vehicles**

85 One PFI vehicle and one GDI vehicle were tested in this study to investigate their primary emissions and SOA  
86 formations. ~~In this study, the selected PFI and GDI~~ vehicles were certified to the China Phase IV Emissions  
87 Standard (equivalent to Euro IV) and the China Phase V Emissions Standard (equivalent to Euro V), respectively.  
88 More information of the vehicles is shown in Table 1. The fuel used in the experiments was a typical Phase V  
89 gasoline on the China market (sulfur content = 6 mg kg<sup>-1</sup>). More information ~~of the fuel~~ is provided in Table S1 in  
90 the Supplement. Cold-start BJC, characterized by a higher proportion of idling periods and lower acceleration  
91 speeds than the New European Driving Cycle (NEDC), was performed to simulate the repeated braking and  
92 acceleration on road in megacities such as Beijing. The BJC lasted approximately 17 minutes, with a maximum  
93 speed of 50 km h<sup>-1</sup> (Peng et al., 2017).

94

### 95 **2.2 Experimental setup**

96 The chamber experiments were carried out in the summer at the State Key Laboratory of Automotive Safety  
97 and Energy of Tsinghua University in Beijing, including two experiments conducted with GDI vehicle and four  
98 experiments conducted with PFI vehicle. The tested vehicles were placed on a chassis dynamometer system (Burke  
99 E. Porter Machinery Company) with a controlled room temperature ~~and absolute humidity~~ of 26.4±2.5 °C ~~and~~  
100 ~~11.5±2.4 g m<sup>-3</sup>, respectively.~~ The exhaust emitted by the vehicle tailpipe was diluted in a constant volume sampler

101 (CVS) system, where the flow was maintained at  $5.5 \text{ m}^3 \text{ min}^{-1}$  using filtered ambient air, achieving about 20 times  
102 dilution of the exhaust. Several instruments, including an AVL CEBII gas analyzer, a Combustion Differential  
103 Mobility Spectrometer (DMS500) and a particle sampler, were connected to the CVS (detailed in Figure 1 and  
104 section 2.3) to characterize the primary gas- and particulate-phase pollutants. The diluted exhausts produced by the  
105 CVS system were injected into an outdoor chamber, where secondary aerosol formation from gasoline vehicle  
106 exhausts was simulated. This was the second dilution step of the exhausts and had a dilution factor of approximately  
107 15. A schematic illustration of the outdoor experimental setup is shown in Figure 1.

108 The photochemical oxidation experiments were carried out in a quasi-atmospheric aerosol evolution study  
109 (QUALITY) outdoor chamber. More details of the setup and performance of the QUALITY chamber were  
110 introduced by Peng et al. (2017). Prior to each experiment, the chamber was covered with a double-layer anti-  
111 ultraviolet (anti-UV) shade to block sunlight and was cleaned with zero air for about 15 h to create a clean  
112 environment. Approximately 120 ppb  $\text{O}_3$  were injected into the chamber prior to the injection of vehicle exhaust to  
113 make the oxidation environment similar to the mean  $\text{O}_3$  peak concentration in the ambient atmosphere. Before the  
114 chamber was exposed to sunlight, about 15-minute period was left to ensure that the pollutants mixed sufficiently  
115 in the chamber, then the initial concentrations were characterized in the dark. Subsequently, the anti-UV shade  
116 were removed from the chamber and photo-oxidation was initiated. A suite of high time resolution instruments was  
117 utilized to track the evolution of pollutants during the chamber experiments. Zero air was added into the chamber  
118 when sampling to maintain a constant pressure.

119

## 120 **2.3 Instrumentation**

121 Primary gases and aerosols were measured by the instruments connected to the CVS. The concentrations of  
122 gaseous pollutants, including  $\text{CO}$ ,  $\text{CO}_2$ ,  $\text{NO}_x$  and total hydrocarbon (THC) were monitored with a gas analyzer  
123 AVL Combustion Emissions Bench II (CEB II, AVL, Austria). Primary aerosols were measured with both on-line  
124 and off-line instruments. A DMS500 (Combustion, UK) was implemented to monitor the real-time number size  
125 distribution and total number concentration of primary particles. Its sampling line was heated to maintain the

126 temperature at 150°C. The aerosols were also collected on Teflon and quartz filters by AVL Particulate Sampling  
127 System (SPC472, AVL, Austria) to analyze the mass, organic carbon (OC) and elemental carbon (EC) emission  
128 factors using a balance and OC/EC analyzer (Sunset Lab, USA).

129 During the chamber experiments, a suite of real-time instruments was utilized to characterize the evolutions  
130 of the gas and particulate-phase pollutants. CO analyzer, NO-NO<sub>2</sub>-NO<sub>x</sub> analyzer and O<sub>3</sub> analyzer (Thermo Fisher  
131 Scientific Inc., USA) were employed to measure the concentrations of CO, NO<sub>x</sub> (including NO and NO<sub>2</sub>) and O<sub>3</sub>,  
132 respectively. The evolutions of volatile organic compounds (VOCs) were monitored with a proton transfer reaction  
133 mass spectrometer (PTR-MS, IoniconAnalytik, Austria) (Lindinger et al., 1998). H<sub>3</sub>O<sup>+</sup> was used as the reagent ion,  
134 which reacted with the target compounds. The resulting ions were detected by a quadruple mass spectrometer.  
135 Meanwhile, the particles size distribution was characterized using a scanning mobility particle sizer system (SMPS,  
136 TSI, USA), which consisted of a differential mobility analyzer (DMA, TSI, USA) and a condensation particle  
137 counter (CPC, TSI, USA). This system can measure aerosols with diameters ranging from 15 nm to 700 nm. A  
138 high-resolution time-of-flight aerosol mass spectrometer (HR-Tof-AMS, Aerodyne Research, USA) was applied to  
139 obtain mass concentrations and size distributions of submicron, non-refractory aerosols, including sulfate, nitrate,  
140 ammonium, chloride and organic (DeCarlo et al., 2006). Table 2 lists the instruments used to measure the primary  
141 emissions and their evolutions in the chamber experiments.

142

### 143 **3 Results**

#### 144 **3.1 Primary emissions**

##### 145 **Gaseous pollutant emissions**

146 Emission factors (EFs) of CO<sub>2</sub>, THC, benzene and toluene from the GDI and PFI vehicles are listed in Table  
147 3. The EFs of CO<sub>2</sub> and THC are derived from measured concentrations in CVS, while the EFs of benzene and  
148 toluene were calculated from the initial concentrations in the chamber. The THC emission factor was reported in  
149 units of carbon mass, g C kg<sup>-1</sup>fuel<sup>-1</sup>.

150 The GDI vehicle emitted less CO<sub>2</sub> and THC than the PFI vehicle due to their different fuel injection strategies

151 and mixing features (Liang et al. 2013; Gao et al., 2015). The EF of THC from the GDI vehicle met the standard  
152 of the China Phase V Emission Standard ( $0.1 \text{ g km}^{-1}$ ), but that from the PFI vehicle was slightly beyond the standard  
153 limit. The PFI vehicle used in this study met lower emission standard (the China Phase IV), which might cause  
154 additional THC emission when compared to the China Phase V Emission Standard. In addition, ~~BJC and NEDC~~  
155 ~~were applied in this study and emission standard, respectively.~~ More repeated braking and acceleration in BJC  
156 might cause incomplete combustion and consequently higher THC emission from the PFI vehicle in this study. As  
157 typical VOC species emitted by vehicles, benzene and toluene were measured in this study. For both vehicles, the  
158 EFs of toluene were higher than those of benzene. Consistent with the feature of THC emission, the PFI vehicle  
159 emitted more benzene and toluene than the GDI vehicle, and the enhancement of toluene was much larger than that  
160 of benzene.

161 The EFs of the gaseous pollutants in this study had similar magnitudes to those in previous studies in which  
162 gasoline vehicles met comparable levels of emission standards and were tested under cold-start driving condition,  
163 while the results in this study were slightly higher, as shown in Table 3. This difference might be because the  
164 California ultralow-emission vehicles (ULEV) (Saliba et al., 2017) and most LEV II vehicles (manufactured in  
165 2004 or later) (May et al., 2014) meet the US certification gasoline emission standards for the ULEV category,  
166 which has a lower limit of gaseous pollutants than the China Phase V Emission Standard. In addition, the different  
167 driving cycles of our study and those other studies (listed in Table 3) might be another explanation for the difference  
168 in the EFs of gaseous pollutants.

### 169 **Primary particle emissions**

170 The EFs of PM, elemental carbon (EC), POA and particulate polycyclic aromatic hydrocarbons (PAHs) are  
171 shown in Table 4. The EF of  $\text{PM}_{2.5}$  from the GDI vehicle was about 1.4 times higher than that of the PFI vehicle.  
172 Both vehicles met the China Phase V Emission Standard for PM emission ( $4.5 \text{ mg km}^{-1}$ ). The GDI vehicle emitted  
173 about 3.3 times more EC and 1.2 times more POA than the PFI vehicle. The primary carbonaceous aerosols  
174 (EC+POA) accounted for 85 % and 82 % of the PM in the GDI and PFI vehicles respectively, suggesting that  
175 carbonaceous aerosols were the major contributors in the PM from gasoline vehicles, especially for the GDI vehicle.

176 PAHs account for a small fraction of particulate organic matter in the atmosphere, but the molecular signature  
177 of PAHs can be utilized in source identification of vehicle emissions (Kamal et al., 2015). The GDI vehicle emitted  
178 about 1.5 times the PAHs of the PFI vehicle. The EFs of PAH compounds are listed in Table S2 in the Supplement,  
179 and details of PAHs measurement was described in Li et al. (2016). It should be noted that the PAHs were tested  
180 under warm-start cycles. A higher EF of PAHs would be obtained under cold-start cycle, since the lower  
181 temperature led to inefficient catalyst at the beginning of cold-start (Mathis et al., 2005). The main contributors to  
182 total PAHs mass emitted from gasoline vehicle exhaust in this study, especially from the GDI vehicle exhaust, was  
183 similar with the results reported by previous studies (Schauer et al., 2002; Hays et al., 2013).

184 The lower PM<sub>2.5</sub> and POA emissions from GDI vehicle were found in previous studies, except that a little  
185 higher PM<sub>2.5</sub> emission from GDI vehicle was illustrated in Saliba's study (Platt et al., 2013; May et al., 2014; Zhu  
186 et al., 2016; Saliba et al., 2017). The EC emissions were in the range of those of previous studies but on the lower  
187 level. The EF of the POA measured in this study was higher than those of other studies, leading to a higher OC/EC  
188 ratio, which could be attributed to the less strict emission standard of our vehicles and the different driving cycles  
189 applied in the experiments.

190 The bimodal number size distributions of the primary PM from the vehicles measured by the DMS500 are  
191 shown in Figure 2. The particle distributions of the exhausts of the GDI and PFI vehicles illustrated similar patterns,  
192 with two peaks located at about 10 nm for nucleation mode and at 60-90 nm for accumulation mode, respectively,  
193 which are consistent with the results of previous studies (Maricq et al., 1999; Chen et al., 2017). The particle  
194 number size distribution of the exhausts of the GDI vehicle showed a similar pattern to that of the PFI vehicle, with  
195 a much higher number concentration that is consistent with the emission of more particle mass.

196

### 197 **3.2 SOA formation from gasoline vehicle exhaust**

198 The time-resolved concentrations of gases and particles during the chamber experiments are illustrated in  
199 Figure 3. Before removing the anti-UV shade, the initial concentrations of NO<sub>x</sub>, benzene and toluene from the PFI  
200 and GDI vehicles were 80 ppb, 3 ppb, 5 ppb and 100 ppb, 4 ppb, 14 ppb respectively.



201 After the aging experiment started (t=0 in Figure 3), NO was formed from NO<sub>2</sub> photolysis, and then reacted  
202 with O<sub>3</sub> to form NO<sub>2</sub>. The O<sub>3</sub> concentration increased rapidly to a maximum within 2-3 h and then decreased via  
203 reactions and dilution. Benzene and toluene decayed during the aging process at different rates.

204 New particle formation was found inside the chamber 15 minutes after the exhaust was exposed to sunlight,  
205 providing substantial seeds for secondary aerosol formation. Significant growths of particles in both size and mass  
206 were observed in the chamber, indicating that a large amount of secondary aerosol was formed during the  
207 photochemical oxidation. The chemical compositions of the secondary aerosols were measured continuously by  
208 HR-Tof-AMS. Organic was the dominant composition of the secondary aerosol, accounting for 88-95 % of the  
209 total particle mass inside the chamber (Figure S1), which is consistent with our previous research (Peng et al.,  
210 2017). The SOA mass exhibited different growth rate for the two types of vehicles. After a 4 h oxidation in the  
211 chamber, the SOA formed from the exhaust of the GDI vehicle was approximately double that of the PFI vehicle.

212 The solar radiation conditions significantly influenced the SOA formation. Thus, OH exposure was used to  
213 characterize the photochemical age as a normalization, instead of the experiment time. Two VOC species with  
214 noticeable differences in their reaction rate constants with OH radicals could be utilized to calculate the OH  
215 exposure ([OH] Δt) based on Equation 1 (for benzene and toluene, as used in this study) (Yuan et al., 2012).

$$216 \quad [\text{OH}] \Delta t = \frac{1}{k_T - k_B} \times \left( \ln \frac{[T]}{[B]} \Big|_{t=0} - \ln \frac{[T]}{[B]} \right) \quad (1)$$

217 where  $k_T$  and  $k_B$  are the OH rate constants of benzene ( $1.2 \times 10^{-12} \text{ cm}^3 \text{ molecule}^{-1} \text{ s}^{-1}$ ) (Yuan et al., 2012) and toluene  
218 ( $5.5 \times 10^{-12} \text{ cm}^3 \text{ molecule}^{-1} \text{ s}^{-1}$ ) (Kramp and Paulson, 1998), respectively.  $\frac{[T]}{[B]} \Big|_{t=0}$  is the concentration ratio of  
219 toluene to benzene at the beginning of the aging process, and  $\frac{[T]}{[B]}$  is their concentration ratio measured during  
220 aging process.

221 The SOA concentrations as a function of OH exposure are illustrated in Figure 4. Wall-loss correction and  
222 dilution correction, including both particles and gaseous pollutants, were taken into consideration in the calculation  
223 of the SOA mass concentration in the chamber. Detailed descriptions of corrections are given in the Supplement.  
224 Assuming the mean OH concentration was  $1.6 \times 10^6 \text{ molecular cm}^{-3}$  in Beijing (Lu et al., 2013), the whole aging

225 procedure in the chamber experiments was equal to a 6-10 h atmospheric photochemical oxidation. The average  
226 SOA concentrations were  $9.25 \pm 1.80$  and  $4.68 \pm 1.32 \mu\text{g m}^{-3}$  for the GDI and PFI vehicles, respectively, when the  
227 OH exposure was  $5 \times 10^6$  molecular  $\text{cm}^{-3} \text{ h}$  in the chamber. Considering the driving cycle mileage and fuel  
228 consumption, the SOA productions were  $54.77 \pm 10.70 \text{ mg kg-fuel}^{-1}$  or  $3.06 \pm 0.60 \text{ mg km}^{-1}$  for the GDI vehicle and  
229  $20.57 \pm 5.82 \text{ mg kg-fuel}^{-1}$  or  $1.55 \pm 0.44 \text{ mg km}^{-1}$  for the PFI vehicle. Compared with the PFI vehicle, the GDI vehicle  
230 exhaust exhibited a higher potential of SOA formation, even though the PFI vehicle emitted more VOCs, which  
231 are considered as dominant class of SOA precursors. This result indicates that higher concentrations of some other  
232 SOA precursors exist in the exhaust of GDI vehicles, which will be further discussed in section 3.3.

233 The results from chamber simulation of SOA formation from individual gasoline vehicles are illustrated in  
234 Figure 5. The SOA production from the both vehicles in this study is in the range of the results of previous studies  
235 (Nordin et al., 2013; Platt et al., 2013; Jathar et al., 2014; Liu et al., 2015; Peng et al., 2017). The variation of the  
236 SOA production among these studies might be caused by several factors: the model years of vehicles  
237 (corresponding to emission standards) (Nordin et al., 2013; Liu et al., 2015), their driving cycles (Nordin et al.,  
238 2013), the initial concentrations of gaseous pollutants in the chamber (Jathar et al., 2014), and the ratio of VOCs to  
239  $\text{NO}_x$  (Zhao et al., 2017) in the chamber experiments.

240 To investigate the dominant contributors to ambient PM from the GDI and PFI vehicles, Figure 6 illustrates  
241 the EFs of EC and POA as well as the production factors of SOA in this study. The SOA production from the GDI  
242 vehicle was approximately 2.7 times higher than that from the PFI vehicle. At  $5 \times 10^6$  molecular  $\text{cm}^{-3} \text{ h}$  OH exposure,  
243 the SOA/POA ratio was approximately 1. Figure 4 illustrates that the SOA production increased with  
244 photochemical age rapidly (within  $2 \times 10^7$  molecular  $\text{cm}^{-3} \text{ h}$ ). Thus, SOA would exceed POA at higher OH exposure,  
245 e.g., the SOA/POA ratio reached about 4 at  $10^7$  molecular  $\text{cm}^{-3} \text{ h}$  OH exposure, becoming the major PM contributor.  
246 In terms of the POA and EC emissions as well as the SOA formation, the GDI vehicle contributed 2.2 times more  
247 than the PFI vehicle.

248 Although particle wall-loss correction as well as particle and gas dilution corrections were considered in this  
249 study, several factors may still contribute to the uncertainties of the SOA productions. First, the deposition of semi-

250 volatile vapors to the chamber walls was not corrected, which may result in an underestimation of the rate of SOA  
251 production with a factor of 1.1-4.1 (Zhang et al., 2014). Second, under some ambient conditions such as severe  
252 urban haze events (Guo et al., 2014), particle mass concentrations can be as high as 200-300  $\mu\text{g m}^{-3}$ , much higher  
253 than the  $23 \pm 6 \mu\text{g m}^{-3}$  under the chamber condition in this study. High particle mass loadings are favorable for the  
254 partition of semi-volatile compounds into the particle phase, potentially increasing the rate of SOA production  
255 (Odum et al., 1996). Third, stronger partitioning of SOA precursors into the particle phase may reduce the oxidized  
256 products in the gas phase, which will potentially reduce the rate of SOA production (Seinfeld et al., 2003)

257

### 258 3.3 SOA mass closure

259 SOA production ( $\Delta\text{OA}_{\text{predicted}}$ ) estimated from VOC precursors can be defined as Eq. (2):

$$260 \Delta\text{OA}_{\text{predicted}} = \sum_i (\Delta_i \times Y_i) \quad (2)$$

261 where  $\Delta_i$  is the concentration change of precursor  $\text{VOC}_i$  measured with PTR-MS in the chamber experiments, and  
262  $Y_i$  is the SOA yield of the  $\text{VOC}_i$ . In this study, benzene, toluene, C8 benzene and C9 benzene were involved in the  
263 estimation of SOA production, and alkanes and alkenes were not considered. A recent study found that ozonolysis  
264 of alkenes from gasoline vehicle exhaust could form SOA through aldol condensation reactions (Yang et al., 2018).  
265 However, much low declines of concentrations were observed than those of aromatics during chamber experiments,  
266 so alkenes might not play significant role in SOA formation in this study.

267 The SOA yield is sensitive to VOCs/ $\text{NO}_x$  ratio (Song et al., 2005). In this study, the VOCs/ $\text{NO}_x$  ratio was in  
268 the range of 0.5-1.0 ppbC/ppb, thus, the SOA formation from the vehicle exhaust was determined under high  $\text{NO}_x$   
269 conditions. The high  $\text{NO}_x$  SOA yields of benzene and toluene were taken from Ng et al. (2007). The C8 and C9  
270 benzene used the SOA yield of m-xylene from Platt et al. (2013).

271 The increased predicted SOA contribution from the VOC precursors as a function of OH exposure  
272 accumulation is demonstrated in Figure 7. At the end of the experiments, the SOA estimated from these speciated  
273 VOCs accounted for about 25 % and 53 % of the measured SOA formation from the GDI and PFI vehicle exhausts,  
274 respectively. Similar to the results of previous studies (Platt et al., 2013; Nordin et al., 2013; Gordon et al., 2014),

275 single-ring aromatics played an important role in the SOA formation, especially for the PFI vehicle which shows  
276 higher predicted SOA fraction.

277 The unpredicted fraction of the measured SOA in the chamber experiments was in the range of 47-75 %.  
278 Contributions from intermediate volatility organic compounds (IVOCs) and semivolatile organic compounds  
279 (SVOCs), e.g., long branched and cyclic alkanes and gas-phase polycyclic aromatic hydrocarbons could be a  
280 possible explanation for this underestimation. The SOA formed by oxidation of IVOCs and SVOCs is found to  
281 dominate over that from single-ring aromatics (Robinson et al., 2007; Zhao et al., 2016). The unpredicted SOA  
282 ratio exhibited a maximum value at the beginning of the experiment, indicating that the IVOCs and SVOCs with  
283 low volatilities produced SOA much more efficiently than the single-ring aromatics with high volatilities, as the  
284 first generation products of photo-oxidation of these precursors form SOA (Robinson et al., 2007).

285 The larger fraction of the unpredicted SOA from the GDI vehicle exhaust might be associated with higher  
286 IVOCs and SVOCs emissions. Gas-phase PAH is one of the main component of speciated IVOCs (Zhao et al.,  
287 2016). The particulate-phase PAHs from the GDI vehicle were more abundant than those from the PFI vehicle by  
288 a factor of 1.5 (section 3.1). Based on gas-particle equilibrium, this indicates that more gas-phase PAHs, including  
289 some aromatic IVOCs, might be emitted by the GDI vehicles, contributing to the SOA enhancement.

290

#### 291 **4 Discussions and conclusions**

292 GDI and PFI vehicles have different fuel injection technologies in their engines, which affects their emissions  
293 of gaseous and particulate pollutants. In GDI engine, the fuel is directly injected into cylinder, which benefits the  
294 fuel atomization and vaporization and provides better control of fuel volume and the combustion process (Liang et  
295 al. 2013; Gao et al., 2015). Thus, in this study, the tested GDI vehicle has higher fuel economy and lower THC  
296 emission than the PFI vehicle. However, the insufficient mixing time allowed for the fuel and air leads to  
297 incomplete combustion in the GDI engine (Fu et al., 2014). In addition, direct fuel injection leads to fuel  
298 impingement onto surfaces of combustion chamber, where liquid pools form, favoring soot-like particulate  
299 formation (Ueberall et al., 2015; Chen et al., 2017). Consequently, larger particle mass and number are emitted by

300 the GDI vehicle than from the PFI vehicle. The particles emitted by the GDI vehicle have higher EC mass fraction,  
301 leading to lower OC/EC ratio. The considerable particle number emitted by gasoline vehicles, especially in GDI  
302 vehicles exhaust, makes a significant contribution to particle number concentration as well as seeds for further  
303 reactions in the atmosphere, and needs to be controlled in the future emission standards.

304 Our results show that the GDI vehicle contributes more to both primary and secondary aerosol than the PFI  
305 vehicle, and has greater impact on environment and air quality. In recent years, the market share of GDI vehicles  
306 exerts a continuous growth in China because they provide better fuel economy and lower CO<sub>2</sub> emissions. In 2016,  
307 GDI vehicles accounted for 25 % of China's market share in sales, and this proportion is expected to reach 60 %  
308 by 2020 (Wen et al., 2016). The PM enhancement of GDI vehicles with increasing population could potentially  
309 offset any PM emission reduction benefits, including the development of gasoline emission and fuel standards and  
310 the advanced engine technologies of gasoline vehicles. Therefore, our results highlight the necessity of further  
311 research and regulation of GDI vehicles.

312 It should be pointed out that the SOA formation factors in this study are based on one GDI vehicle and one  
313 PFI vehicle. Some previous studies proposed that vehicles have variations even though they meet similar  
314 specification vehicles and use the same fuel (Gordon et al., 2014; Jathar et al., 2014). Thus more researches with  
315 more vehicles for each technology are needed on SOA formation from vehicle exhaust.

316 Primary emissions and secondary organic formation from one GDI vehicle and one PFI vehicle were  
317 investigated when driving under cold-start BJC. The primary PM emitted by the GDI vehicle was 1.4 times greater  
318 than that from the PFI vehicle and the SOA formation from the GDI vehicle exhaust was 2.7 times greater than that  
319 from the PFI vehicle exhaust for the same OH exposure. The SOA production factors were  $54.77 \pm 10.70$  mg kg<sup>-1</sup>  
320 fuel<sup>-1</sup> or  $3.06 \pm 0.60$  mg km<sup>-1</sup> for the GDI vehicle and  $20.57 \pm 5.82$  mg kg-fuel<sup>-1</sup> or  $1.55 \pm 0.44$  mg km<sup>-1</sup> for the PFI  
321 vehicle at an OH exposure of  $5 \times 10^6$  molecular cm<sup>-3</sup> h, which is consistent with the values seen in previous studies.  
322 Considering the higher amounts of OA derived from primary emission and secondary formation, the GDI vehicle  
323 contribute considerably more to particle mass concentrations in the ambient air than the PFI vehicle.

324 The SOA formation was predicted from the gaseous precursors emitted by the GDI and PFI vehicles under

325 high NO<sub>x</sub> condition. Single-ring aromatic VOCs could explain only 25-53 % of the measured SOA formation in  
326 the chamber experiments. The GDI vehicle exhibited higher fraction of unexplained SOA. More IVOCs and  
327 SVOCs were inferred as being emitted by the GDI vehicle.

328 With increasing population of GDI vehicles, any benefits of the aerosol emission reduction of gasoline  
329 vehicles are substantially offset, because GDI vehicles have significant contributions to ambient aerosols. More  
330 work is needed to improve the understanding of GDI vehicle emissions and to provide information for the  
331 regulation of gasoline vehicles.

332

333

334 *Data availability.* The data presented in this article are available from the authors upon request  
335 ([minhu@pku.edu.cn](mailto:minhu@pku.edu.cn)).

336

337

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345

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523

524 Table 1 Descriptions of the gasoline direct injection (GDI) and port fuel injection (PFI) vehicles used in the  
525 experiments.

Vehicle	Make and model	Emission standard class	Model year	Mileage (km)	Displacement (cm <sup>3</sup> )	Power (kW)	Weight (kg)
GDI	VW Sagitar	China V	2015	3000	1395	110	1395
PFI	Honda Civic	China IV	2009	42500	1799	103	1280

526

527 Table 2 Overview of all instruments used to measure the gas and particulate phase pollutants in the experiments.

Parameter	Phase	Instrument	Note
CO, CO <sub>2</sub> , NO <sub>x</sub> and total hydrocarbon (THC) concentration	Gas	Gas analyzer AVL Emissions Bench II	On-line
Aerosol number size distribution	Particle	DMS500	On-line
PM <sub>2.5</sub>	Particle	Balance (AX105DR)	Off-line
Organic carbon/Elemental carbon concentration	Particle	OC/EC analyzer	Off-line
CO concentration	Gas	48i CO analyzer	On-line
NO, NO <sub>2</sub> , and NO <sub>x</sub> concentration	Gas	42i NO-NO <sub>2</sub> -NO <sub>x</sub> analyzer	On-line
O <sub>3</sub> concentration	Gas	49i O <sub>3</sub> analyzer	On-line
VOCs concentration	Gas	Proton transfer reaction mass spectrometer (PTR-MS)	On-line
Aerosol number (mass) size distribution	Particle	Scanning mobility particle sizer (SMPS, consist of 3081-DMA and 3775-CPC),	On-line
Size resolved non-refractory aerosol	Particle	High resolution time-of-flight aerosol mass spectrometer (HR-ToF-AMS)	On-line

528

529



530 Table 3 Emission factors (EFs) of gaseous pollutants from the gasoline direct injection (GDI) and port fuel injection (PFI) vehicles in  
 531 this study and those of previous studies.

	This study				Saliba et al., 2017		May et al., 2014	Platt et al., 2013		Zhu et al., 2016	
	GDI		PFI		GDI	PFI	PFI <sup>a</sup>			GDI	PFI
	China V		China IV		ULEV	ULEV	LEV II	Euro V		China IV	China IV
	Cold BJC				Cold UC <sup>b</sup>		Cold UC	Cold NEDC		Cold WLTC <sup>c</sup>	
	g kg- fuel <sup>-1</sup>	g km <sup>-1</sup>	g kg- fuel <sup>-1</sup>	g km <sup>-1</sup>	g km <sup>-1</sup>	g km <sup>-1</sup>	g kg-fuel <sup>-1</sup>	g kg-fuel <sup>-1</sup>	g km <sup>-1</sup>	g km <sup>-1</sup>	g km <sup>-1</sup>
CO <sub>2</sub>	3439 ±23	213 ±4	3350 ±24	283 ±4	-	-	-	-	-	187	215
THC	1.55 ±0.22	0.09 ±0.01	1.70 ±0.19	0.13 ±0.01	0.02	0.06	0.64	0.91-1.06	0.036- 0.042	0.05	0.03
Benzene	0.056 ±0.011	0.003 ±0.001	0.061 ±0.016	0.005 ±0.001	-	-	0.018	-	0.002	-	-
Toluene	0.101 ±0.004	0.006 ±0.001	0.220 ±0.047	0.017 ±0.004	-	-	0.026	-	0.002	-	-

532 <sup>a</sup> 22 PFI vehicles and 3 GDI vehicles;

533 <sup>b</sup> UC: Unified Cycle;

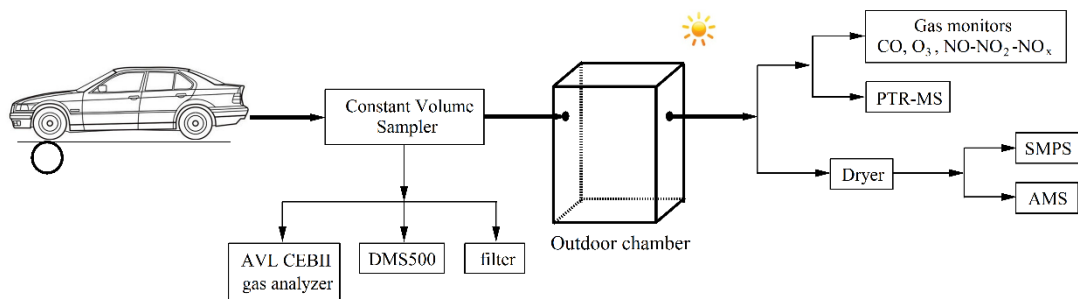
534 <sup>c</sup> WLTC: Worldwide-harmonized Light-duty Test Cycle

535 Table 4 EFs of primary aerosols, including carbonaceous aerosols and particulate polycyclic aromatic hydrocarbons (PAHs) from the  
 536 GDI and PFI vehicles in this study and those of previous studies.

	This study				Saliba et al., 2017		May et al., 2014	Platt et al., 2013		Zhu et al., 2016	
	GDI		PFI		GDI	PFI	PFI			GDI	PFI
	China V		China IV		ULEV	ULEV	LEV II	Euro V		China IV	China IV
	Cold BJC				Cold UC		Cold UC	Cold NEDC		Cold WLTC	
	mg kg- fuel <sup>-1</sup>	mg km <sup>-1</sup>	mg kg- fuel <sup>-1</sup>	mg km <sup>-1</sup>	mg km <sup>-1</sup>	mg km <sup>-1</sup>	mg kg-fuel <sup>-1</sup>	mg kg- fuel <sup>-1</sup>	mg km <sup>-1</sup>	mg km <sup>-1</sup>	mg km <sup>-1</sup>
PM <sub>2.5</sub>	61.7±24.5	3.4±1.4	33.4±25.6	2.5±1.9	3.9	2.4	18.0	-	-	1.5	1.0
EC	10.7±3.6	0.6±0.2	2.4±1.6	0.2±0.1	3.0	0.6	12.2	11.2-20.0	1.2-1.7	-	-
POA	41.7±9.8	2.3±0.6	25.0±0.3	1.9±0.1	0.4	0.6	5.2	24.5-19.7	0.4-1.4	-	-
OC/EC	3.2		8.7		0.1	0.8	0.4	0.2-1.8		-	-
PAHs(×10 <sup>6</sup> )	20.4±2.1	1.1±0.1	13.2±4.1	1.0±0.3	-	-	-	-	-	-	-

537

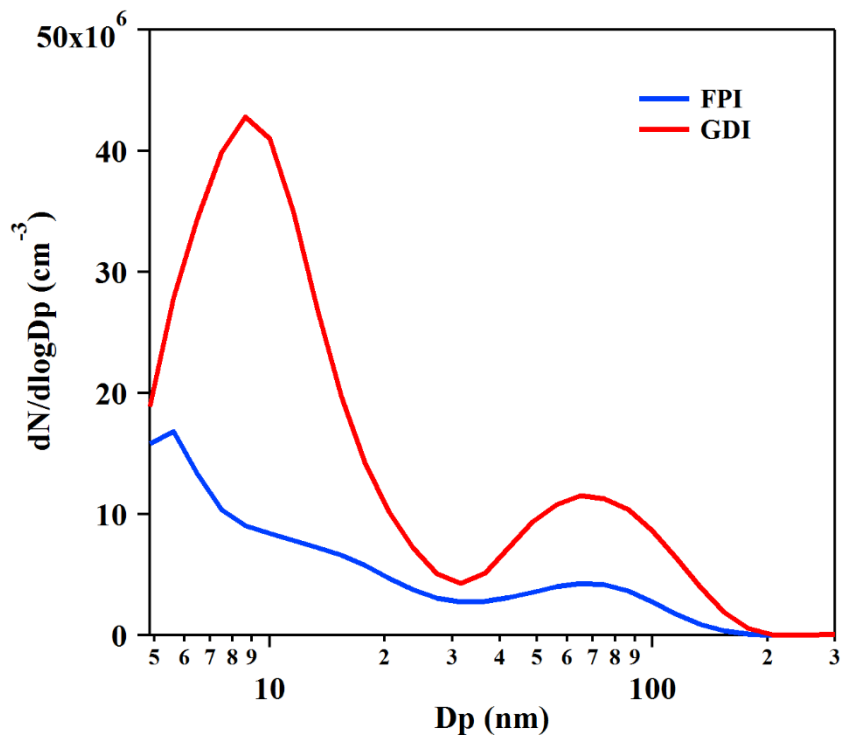
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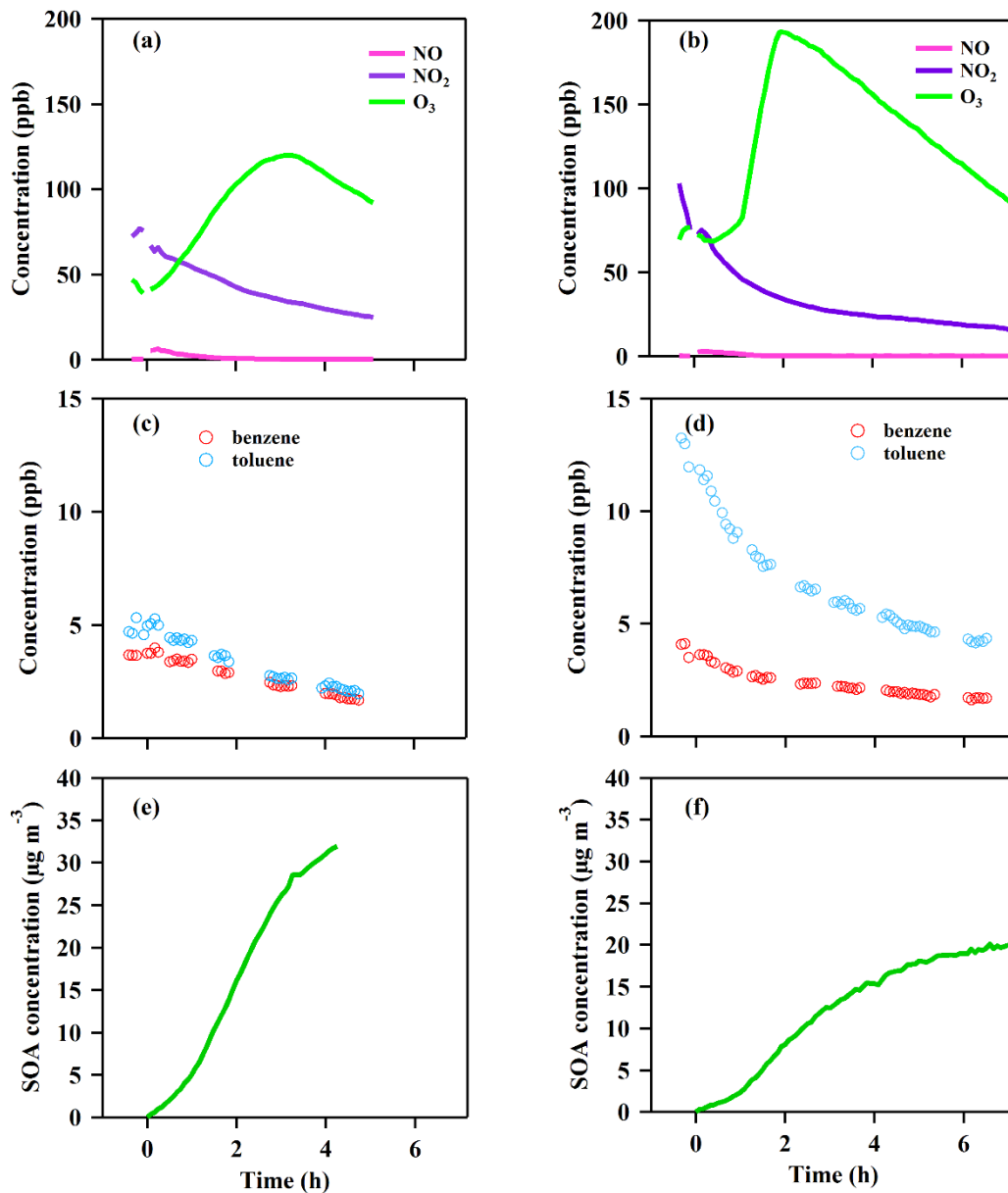
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540 Figure 1. Schematic diagram of the outdoor chamber set up for the experiments.

541



542  
 543 Figure 2. Number size distributions of primary PM emitted from the GDI (red line) and PFI (blue line) gasoline  
 544 vehicles. The results are average of particle number emissions from vehicles during a whole BJC, measured by  
 545 DMS500 in the CVS system. The particles were heated to 150°C in the DMS500.  
 546



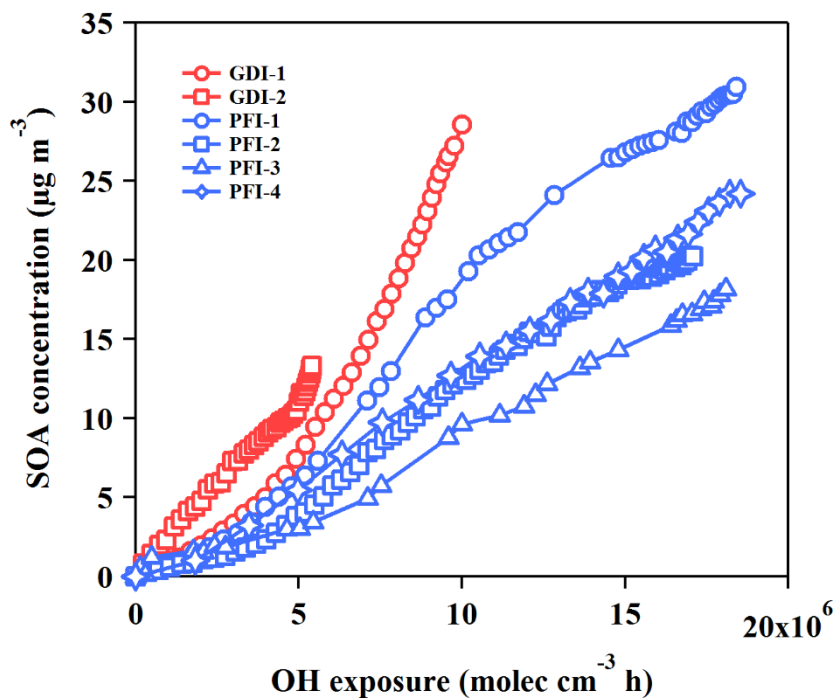
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548 Figure 3. Time series of the gases and particle evolutions over the photochemical age in the chamber experiments

549 from the GDI vehicle exhaust (a, c, e) and PFI vehicle exhaust (b, d, f). (a, b): NO, NO<sub>2</sub> and O<sub>3</sub> concentration; (c,

550 d): benzene and toluene concentration; (e, f): corrected SOA concentration.

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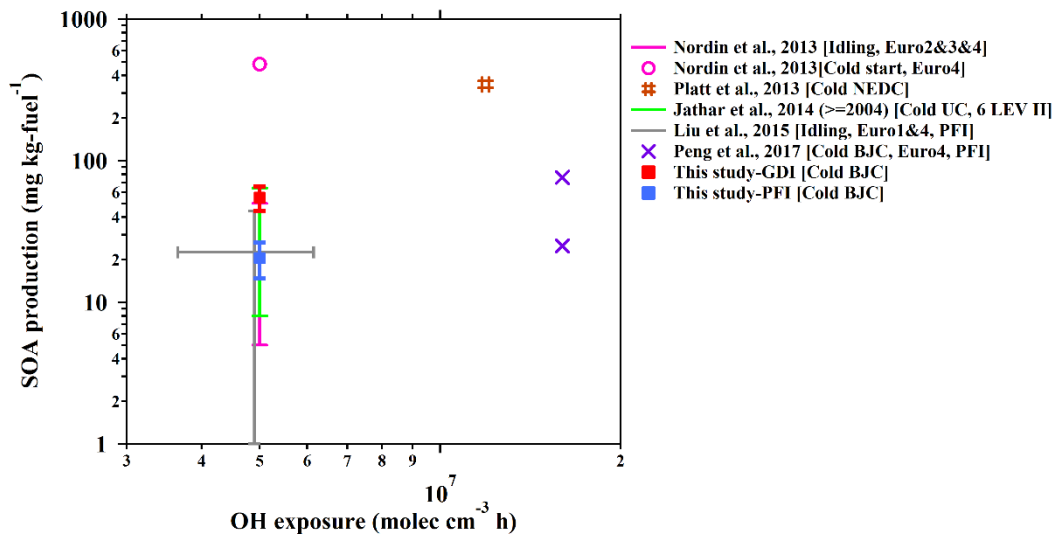


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553 Figure 4. SOA productions from the GDI vehicle exhaust (red markers) and the PFI vehicle exhaust (blue markers)

554 as functions of OH exposure in the chamber experiments.

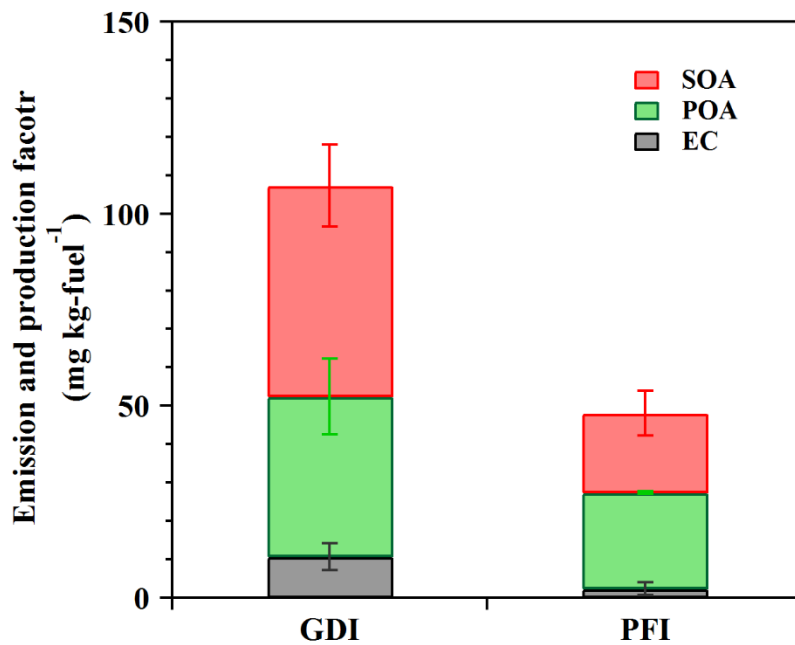
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557 Figure 5. Fuel-based SOA production from gasoline vehicle exhaust as a function of OH exposure in the chamber  
 558 simulations. The SOA production data are from published studies of chamber simulation of gasoline vehicle  
 559 exhaust. From the study of Jathar et al. (2014), the SOA production of vehicles manufactured in 2004 or later (LEV  
 560 II) is selected, which is a model year that is more close to those of the vehicles in this study. The error bars of  
 561 previous results indicate the range of OH exposure (x axis) and SOA production (y axis) in their simulations. The  
 562 driving cycles and vehicle information are also noted in the legend of each study.

563

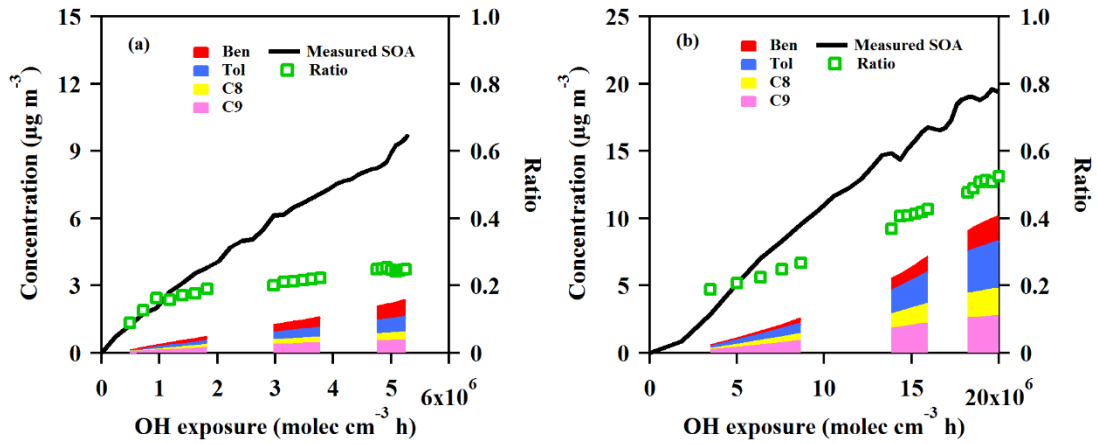


564

565 Figure 6 EC and POA EFs as well as corrected SOA production factors from the GDI and PFI vehicle exhausts in  
 566 this study (OH exposure =  $5 \times 10^6$  molecular  $\text{cm}^{-3}$  h).

567





568

569 Figure 7. Measured and predicted SOA concentration as a function of OH exposure from GDI vehicle exhaust (a)  
 570 and PFI vehicle exhaust (b) in the chamber experiments. The black line is the measured SOA concentration with  
 571 wall-loss and particle dilution correction during the experiment. The red, blue, yellow and pink areas are predicted  
 572 SOA concentration estimated from benzene, toluene, C8 benzene and C9 benzene, respectively. The green markers  
 573 are the ratios of the predicted SOA to the measured SOA.

574