

## Response to Comments by Referee #1

Thank you for your commendation and appreciate your suggestions on all scientific, technical aspects of our article. The manuscript has been revised accordingly. Listed below is our point-to-point response to each comment.

### General comments:

This paper reports a comparison of primary emissions and secondary organic aerosol formation from one gasoline direct injection (GDI) and one port fuel injection (PFI) gasoline vehicle. There is a limited but nonetheless useful study and interpretation of the formation of secondary organic aerosol (SOA) in relation to the low molecular weight aromatic compounds contained within the exhaust. My main concern over this paper is that previous work has shown quite substantial variations between vehicles of similar engine specification using the same fuel, and hence comparing one GDI vehicle with one PFI vehicle and then making very general statements about one technology versus another has the potential to mislead seriously. In this context, the title of the paper is most inappropriate as there is no evidence that the vehicles tested are representative of GDI and PFI vehicles more generally. For this reason, major modifications are recommended and the overall tenor of the paper needs to be much more subdued in relation to the comparison.

Response: We thank the referee for pointing out this. The gasoline vehicles tested in this study are representative in market share in China. And we agree with the referee that one vehicle for each gasoline engine technology exists uncertainty. We will conduct more experiments with more vehicles. We have revised title and added discussions as the suggestion.

The title is revised as: "Comparison of primary aerosol emission and secondary aerosol formation from gasoline direct injection and port fuel injection vehicles".

Following discussions are added between Line 312 to Line 315: "It should be pointed out that the SOA formation factors in this study are based on one GDI vehicle and one PFI vehicle. Some previous studies proposed that vehicles have variations even though they meet similar specification vehicles and use the same fuel (Gordon et al., 2014; Jathar et al., 2014). Thus more researches with more vehicles for each technology are needed on SOA formation from vehicle exhaust".

There are also issues over the way in which the technologies are described. Lines 25-26 state that "these studies show that GDI vehicles emit more primary particles than PFI vehicles, and even diesel vehicles equipped with diesel particulate filter" and lines 289-290 state that "the considerable particle number emitted by gasoline vehicles, especially in GDI vehicles exhaust... needs to be controlled in the future emissions standards". This ignores the fact that the European Euro 6 regulations set a particle mass and a number emissions standard for GDI which is the same as the emissions standard for diesel vehicles and consequently GDI engines with high particle emissions are fitted with particulate filters in order to meet the emissions regulations. Such filters will remove elemental carbon and POA as well as reducing the emission of organic vapors (as they have catalytic

activity), and consequently SOA production will be substantially reduced. Thus, the GDI vehicle tested in this study is almost certainly not representative of current GDI vehicles on sale in Europe (and most probably North America, although I am less familiar with that market) and for this reason also, the presentation of the results is likely to prove highly misleading.

Response: Thanks for the suggestion. In China, the PN will be restricted in China Phase VI Emissions Standard in 2020, so the gasoline particulate filters (GPFs) have not been used widely. The gasoline vehicles certified China Phase IV and V Emissions Standard dominate current on-road gasoline vehicles. Thus, this study could reflect the gasoline vehicle emissions in China and other developing countries. Moreover, it should be acknowledged that the GPFs have significant contribution on reducing primary aerosol emission from GDI vehicles (Chan et al., 2014). Some studies also reported that the VOCs emission from GDI vehicles might be reduced by catalyzed GPFs (Ito et al., 2015), which would lead to SOA formation reduction. Therefore, further studies on GPFs are needed in our future studies.

#### Specific comments:

Other points worthy of attention are the following: (a) Line 71 states that the gasoline fuel meets the China phase V fuel standard. This means nothing to the general reader. Fuel quality standards have in recent years been driven by sulphur content. As a minimum, the sulphur content of this fuel should be indicated.

Response: Thanks for your suggestion. Accepted, and adding sulfur content of the fuel between Line 88 to Line 90: “The fuel used in the experiments was a typical Phase V gasoline on the China market (sulfur content = 6 mg kg<sup>-1</sup>). More information of the fuel is provided in Table S1 in the Supplement”.

Table S1 Details of the fuel used in the experiments.

Specifications	Fuel
Density (g mL <sup>-1</sup> )	0.7
Rvp (kPa)	55.4
Aromatics (% v/v)	36.7
Olefin (% v/v)	15.4
Ethanol (% v/v)	0.01
Oxygen (% m/m)	0.02
Mn (mg kg <sup>-1</sup> )	< 0.1
Sulfur (mg kg <sup>-1</sup> )	6
T10 (°C)	55.4
T50 (°C)	109.9
T90 (°C)	164.3
Fbp (°C)	194.4

(b) Line 240-241 suggests that since semi-volatile vapours may partition more strongly into the particle phase at higher aerosol concentrations, this would increase SOA formation. There are two factors here. The authors appear to be referring to the partitioning of the oxidation products which will give an increase in SOA yield at higher pre-existing particle loadings. However, there is a second effect which may be more significant. If the precursors of the SOA partition more strongly into the condensed phase at higher ambient particle loadings, there will be less vapour phase compound present to be oxidised and therefore the rate of SOA production will be reduced. This point needs to be considered when discussing the SOA yields on page 9.

Response: Thanks for your suggestion. The two aspects are considered and added between Line 248 to Line 256:

“Although particle wall-loss correction as well as particle and gas dilution corrections were considered in this study, several factors may still contribute to the uncertainties of the SOA productions. First, the deposition of semi-volatile vapors to the chamber walls was not corrected, which may result in an underestimation of the rate of SOA production with a factor of 1.1-4.1 (Zhang et al., 2014). Second, under some ambient conditions such as severe urban haze events (Guo et al., 2014), particle mass concentrations can be as high as 200-300  $\mu\text{g m}^{-3}$ , much higher than the  $23 \pm 6 \mu\text{g m}^{-3}$  under the chamber condition in this study. High particle mass loadings are favorable for the partition of semi-volatile compounds into the particle phase, potentially increasing the rate of SOA production (Odum et al., 1996). Third, stronger partitioning of SOA precursors into the particle phase may reduce the oxidized products in the gas phase, which will potentially reduce the rate of SOA production (Seinfeld et al., 2003)”.

(c) Table 2 refers to measurement of OC/EC but does not specify the analyser or the protocol. This should be included.

Response: We thank the reviewer for reminding this. The instrument for OC/EC measurement is added between Line 126 to Line 128: “...to analyze the mass, organic carbon (OC) and elemental carbon (EC) emission factors using a balance and OC/EC analyzer (Sunset Lab, USA)”.

(d) Table 4 reports emission factors for PAH but does not specify which compounds.

Response: Thanks for the suggestions. The PAHs emission factor reported in this study is a sum of 31 polycyclic aromatic hydrocarbons compounds. Their emission factors are listed in the Table S2 in the Supplement.

Table S2 The EFs of Particulate-phase PAHs from GDI and PFI vehicles.

Compound	Emission factor (ng km <sup>-1</sup> )	
	GDI	PFI
Napthalene	0.025	<0.0001
1-Methylnaphthalene	<0.0001	<0.0001
2-Methylnaphthalene	0.012	0.004
2,6-Dimethylnaphthalene	0.006	0.003
Acenaphthylene	0.012	0.009
Acenaphthene	<0.0001	0.015
Fluorene	0.003	0.105
Methyl-fluorene	0.083	0.105
Dibenzofuran	0.006	0.039
Retene	0.009	0.011
9-Methylanthracene	<0.0001	0.013
Phenanthrene	0.244	0.069
Anthracene	0.048	0.018
Fluoranthene	0.201	0.034
Pyrene	0.246	0.029
Methyl-fluoranthene	0.004	0.007
Benzo[a]anthracene	0.006	0.036
Chrysene	0.020	0.065
Methyl-chrysene	<0.0001	0.007
Benzo[b]fluoranthene	0.034	0.147
Benzo[k]fluoranthene	0.041	0.129
Benzo[e]pyrene	0.028	0.051
Benzo[a]pyrene	0.012	0.041
Benzo[ghi]fluoranthene	0.095	0.027
Cyclopenta[cd]pyrene	<0.0001	0.032
Dibenzo[a,h]anthracene	<0.0001	<0.0001
Picene	<0.0001	<0.0001
Perylene	0.009	<0.0001
Benzo[ghi]perylene	<0.0001	<0.0001
Indeno[1,2,3-cd]pyrene	<0.0001	<0.0001
Coronene	<0.0001	<0.0001
Sum PAHs	1.144	0.994

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# Comparison of primary aerosol emission and secondary aerosol formation from gasoline direct injection and port fuel injection vehicles

Zhuofei Du<sup>1</sup>, Min Hu<sup>1,3\*</sup>, Jianfei Peng<sup>1†</sup>, Wenbin Zhang<sup>2</sup>, Jing Zheng<sup>1</sup>, Fangting Gu<sup>1</sup>, Yanhong Qin<sup>1</sup>, Yudong Yang<sup>1</sup>, Mengren Li<sup>1</sup>, Yusheng Wu<sup>1</sup>, Min Shao<sup>1</sup>, Shijin Shuai<sup>2</sup>

1. State Key Joint Laboratory of Environmental Simulation and Pollution Control, College of Environmental Sciences and Engineering, Peking University, Beijing 100871, China

2. State Key Laboratory of Automotive Safety and Energy, Department of Automotive Engineering, Tsinghua University, Beijing 100084, China

3. Beijing Innovation Center for Engineering Sciences and Advanced Technology, Peking University, Beijing 100871, China

<sup>†</sup> Now at Department of Atmospheric Sciences, Texas A&M University, College Station, TX 77843, US

\*Corresponding author: Min Hu, minhu@pku.edu.cn

## Abstract

Gasoline vehicles greatly contribute to urban particulate matter (PM) pollution. Gasoline direct injection (GDI) engines, known as their higher fuel efficiency than that of port fuel injection (PFI) engines, have been increasingly employed in new gasoline vehicles. However, the impact of this trend on air quality is still poorly understood. Here, we investigated both primary emissions and secondary organic aerosol (SOA) formation from GDI and PFI vehicles under urban-like condition, using combined approaches involving chassis dynamometer measurement and environmental chamber simulation. The PFI vehicle emits slightly more volatile organic compounds, e.g., benzene and toluene, whereas the GDI vehicle emits more particulate components, e.g., the total PM, elemental carbon, primary organic aerosols and polycyclic aromatic hydrocarbons. Strikingly, a much higher SOA production (by a factor of approximately 2.7) is found from the exhaust of the GDI vehicle than that of the PFI vehicle under the

same conditions. More importantly, the higher SOA production found in the GDI vehicle exhaust occurs concurrently with lower concentrations of traditional SOA precursors, e.g., benzene and toluene, indicating a greater contribution of intermediate volatility organic compounds and semivolatile organic compounds in the GDI vehicle exhaust to the SOA formation. Our results highlight the considerable potential contribution of GDI vehicles to urban air pollution in the future.

## 1 Introduction

Organic aerosols account for approximately 20-50 % of ambient fine particulate matter (PM<sub>2.5</sub>), with significant environment and health effects (Kanakidou et al., 2005). Primary organic aerosol (POA) is emitted directly by sources, while secondary organic aerosol (SOA) is mainly formed via oxidation of gaseous precursors in the atmosphere and account for about 30-90 % of the organic aerosol (OA) mass worldwide (Zhang et al., 2007; Hu et al., 2016), but SOA source remain poorly constrained. Robinson et al. (2007) proposed that low-volatility gas-phase species emitted from diesel vehicles were important sources for urban ambient SOA, which achieved better mass closure between observed and modeled SOA. Using an updated CMAQ model, Jathar et al. (2017) found that 30-40% OA was contributed from vehicles in the southern California, and half of which was SOA. Huang et al. (2014) recently revealed that 15-65 % of SOA was contributed by fossil fuel consumption (i.e., traffic and coal burning) in megacities in China. These indicated that vehicles have important contribution to ambient SOA in urban areas. An ambient organic aerosol measurement in the Los Angeles Basin demonstrated that SOA contributed from gasoline vehicles was significant in the urban air, much larger than that from diesel vehicles (Bahreini et al., 2012). Similar conclusion was reached by Hayes et al. (2013) based on mass spectrometer results. Meanwhile, several chamber simulation studies concluded that exhaust of gasoline vehicles could form substantial SOA (Jathar et al., 2014). Thus, gasoline vehicles exhaust is highly associated with ambient SOA formation.

Gasoline vehicles can be categorized into two types based on the fuel injection technologies in their engines, i.e., port fuel injection (PFI) vehicles and gasoline direct injection (GDI) vehicles. Unlike a PFI engine, in which

51 gasoline is injected into intake port, gasoline is sprayed into cylinder directly in a GDI engine. With the increased  
52 atomization and vaporization rate of fuel, and more accurate control of fuel volume and injection time, a GDI  
53 engine has many advantages, such as better fuel efficiency, lower CO<sub>2</sub> emissions and less fuel pumping loss  
54 (Alkidas, 2007; Myung et al., 2012; Liang et al., 2013). In past decades, PFI vehicles dominated the market share  
55 of gasoline cars in the world. However, in recent years, GDI vehicles have been increasingly employed, due to their  
56 higher fuel efficiency. The market share of GDI vehicles in sales in 2016 reached about 25 %, 50 % and 60 % in  
57 China, the US and Europe, respectively (Wen et al., 2016; Zimmerman et al., 2016).

58 Several previous studies investigated the emissions of GDI and PFI vehicles, in terms of concentrations of  
59 gaseous pollutants, particle numbers and mass concentrations, and evaluated the reduction of emissions with the  
60 upgrading emission standards (Ueberall et al., 2015; Zhu et al., 2016; Saliba et al., 2017). These studies show that  
61 GDI vehicles emit more primary particles than PFI vehicles (Zhu et al., 2016; Saliba et al., 2017), and even diesel  
62 vehicles equipped with diesel particulate filter (DPF) (Wang et al., 2016), which is likely due to insufficient time  
63 allowed for gasoline fuel to be mixed with air thoroughly, as well as gasoline droplets impinging onto pistons and  
64 surfaces of combustion chamber in GDI engine (Chen et al., 2017; Fu et al., 2017). However, in most studies,  
65 vehicles were tested under the driving cycles of the US or European standards, indicating that those results are not  
66 representative of China's traffic conditions.

67 SOA production from gasoline vehicle exhaust was previously simulated in smog chambers and potential  
68 aerosol mass (PAM) flow reactors. SOA formed from gaseous pollutants exceeds the related POA emissions and  
69 having much more contribution to air quality degradation. These studies mostly focused on the impacts of SOA  
70 formation by the model year (Gordon et al., 2014; Jathar et al., 2014; Liu et al., 2015), fuel formulations (Peng et  
71 al., 2017), driving cycles (including idling) (Nordin et al., 2013; Platt et al., 2013) and start-up modes of the gasoline  
72 vehicles (Nordin et al., 2013). Few studies, however, have investigated SOA formation from vehicles with different  
73 engine technologies (GDI and PFI) under the same working condition.

74 In this study, both primary emissions and secondary aerosol formation from GDI and PFI vehicles were  
75 investigated. To represent typical urban driving patterns in megacities such as Beijing, the vehicles were tested



76 using gasoline fuel meeting the China Phase V fuel standard, and were operated with the cold-start Beijing cycle  
77 (BJC). The SOA formation from both the PFI and GDI vehicle exhausts were then simulated using a smog chamber.  
78 Finally, the overall contributions of the GDI and PFI gasoline vehicles to ambient particulate matter (PM) were  
79 evaluated. This study is part of a project that investigates the relationship between vehicle (engine) emissions and  
80 ambient aerosols, including potential of SOA formation from a PFI engine (Du et al., 2017) and the effects of  
81 gasoline aromatics on SOA formation (Peng et al., 2017).

82

## 83 **2 Materials and methods**

### 84 **2.1 Vehicles**

85 One PFI vehicle and one GDI vehicle were tested in this study to investigate their primary emissions and SOA  
86 formations. In this study, the selected PFI and GDI vehicles were certified to the China Phase IV Emissions  
87 Standard (equivalent to Euro IV) and the China Phase V Emissions Standard (equivalent to Euro V), respectively.  
88 More information of the vehicles is shown in Table 1. **The fuel used in the experiments was a typical Phase V**  
89 **gasoline on the China market (sulfur content = 6 mg kg<sup>-1</sup>). More information of the fuel is provided in Table S1 in**  
90 **the Supplement.** Cold-start BJC, characterized by a higher proportion of idling periods and lower acceleration  
91 speeds than the New European Driving Cycle (NEDC), was performed to simulate the repeated braking and  
92 acceleration on road in megacities such as Beijing. The BJC lasted approximately 17 minutes, with a maximum  
93 speed of 50 km h<sup>-1</sup> (Peng et al., 2017).

94

### 95 **2.2 Experimental setup**

96 The chamber experiments were carried out in the summer at the State Key Laboratory of Automotive Safety  
97 and Energy of Tsinghua University in Beijing, including two experiments conducted with GDI vehicle and four  
98 experiments conducted with PFI vehicle. The tested vehicles were placed on a chassis dynamometer system (Burke  
99 E. Porter Machinery Company) with a controlled room temperature and absolute humidity of 26.4±2.5 °C and  
100 11.5±2.4 g m<sup>-3</sup>, respectively. The exhaust emitted by the vehicle tailpipe was diluted in a constant volume sampler

101 (CVS) system, where the flow was maintained at  $5.5 \text{ m}^3 \text{ min}^{-1}$  using filtered ambient air, achieving about 20 times  
102 dilution of the exhaust. Several instruments, including an AVL CEBII gas analyzer, a Cambustion Differential  
103 Mobility Spectrometer (DMS500) and a particle sampler, were connected to the CVS (detailed in Figure 1 and  
104 section 2.3) to characterize the primary gas- and particulate-phase pollutants. The diluted exhausts produced by the  
105 CVS system were injected into an outdoor chamber, where secondary aerosol formation from gasoline vehicle  
106 exhausts was simulated. This was the second dilution step of the exhausts and had a dilution factor of approximately  
107 15. A schematic illustration of the outdoor experimental setup is shown in Figure 1.

108 The photochemical oxidation experiments were carried out in a quasi-atmospheric aerosol evolution study  
109 (QUALITY) outdoor chamber. More details of the setup and performance of the QUALITY chamber were  
110 introduced by Peng et al. (2017). Prior to each experiment, the chamber was covered with a double-layer anti-  
111 ultraviolet (anti-UV) shade to block sunlight and was cleaned with zero air for about 15 h to create a clean  
112 environment. Approximately 120 ppb  $\text{O}_3$  were injected into the chamber prior to the injection of vehicle exhaust to  
113 make the oxidation environment similar to the mean  $\text{O}_3$  peak concentration in the ambient atmosphere. Before the  
114 chamber was exposed to sunlight, about 15-minute period was left to ensure that the pollutants mixed sufficiently  
115 in the chamber, then the initial concentrations were characterized in the dark. Subsequently, the anti-UV shade  
116 were removed from the chamber and photo-oxidation was initiated. A suite of high time resolution instruments was  
117 utilized to track the evolution of pollutants during the chamber experiments. Zero air was added into the chamber  
118 when sampling to maintain a constant pressure.

119

## 120 **2.3 Instrumentation**

121 Primary gases and aerosols were measured by the instruments connected to the CVS. The concentrations of  
122 gaseous pollutants, including  $\text{CO}$ ,  $\text{CO}_2$ ,  $\text{NO}_x$  and total hydrocarbon (THC) were monitored with a gas analyzer  
123 AVL Combustion Emissions Bench II (CEB II, AVL, Austria). Primary aerosols were measured with both on-line  
124 and off-line instruments. A DMS500 (Cambustion, UK) was implemented to monitor the real-time number size  
125 distribution and total number concentration of primary particles. Its sampling line was heated to maintain the

126 temperature at 150°C. The aerosols were also collected on Teflon and quartz filters by AVL Particulate Sampling  
127 System (SPC472, AVL, Austria) to analyze the mass, organic carbon (OC) and elemental carbon (EC) emission  
128 factors using a balance and OC/EC analyzer (Sunset Lab, USA).

129 During the chamber experiments, a suite of real-time instruments was utilized to characterize the evolutions  
130 of the gas and particulate-phase pollutants. CO analyzer, NO-NO<sub>2</sub>-NO<sub>x</sub> analyzer and O<sub>3</sub> analyzer (Thermo Fisher  
131 Scientific Inc., USA) were employed to measure the concentrations of CO, NO<sub>x</sub> (including NO and NO<sub>2</sub>) and O<sub>3</sub>,  
132 respectively. The evolutions of volatile organic compounds (VOCs) were monitored with a proton transfer reaction  
133 mass spectrometer (PTR-MS, IoniconAnalytik, Austria) (Lindinger et al., 1998). H<sub>3</sub>O<sup>+</sup> was used as the reagent ion,  
134 which reacted with the target compounds. The resulting ions were detected by a quadrupole mass spectrometer.  
135 Meanwhile, the particles size distribution was characterized using a scanning mobility particle sizer system (SMPS,  
136 TSI, USA), which consisted of a differential mobility analyzer (DMA, TSI, USA) and a condensation particle  
137 counter (CPC, TSI, USA). This system can measure aerosols with a diameters ranging from 15 nm to 700 nm. A  
138 high-resolution time-of-flight aerosol mass spectrometer (HR-ToF-AMS, Aerodyne Research, USA) was applied to  
139 obtain mass concentrations and size distributions of submicron, non-refractory aerosols, including sulfate, nitrate,  
140 ammonium, chloride and organic (DeCarlo et al., 2006). Table 2 lists the instruments used to measure the primary  
141 emissions and their evolutions in the chamber experiments.

142

## 143 **3 Results**

### 144 **3.1 Primary emissions**

#### 145 **Gaseous pollutant emissions**

146 Emission factors (EFs) of CO<sub>2</sub>, THC, benzene and toluene from the GDI and PFI vehicles are listed in Table  
147 3. The EFs of CO<sub>2</sub> and THC are derived from measured concentrations in CVS, while the EFs of benzene and  
148 toluene were calculated from the initial concentrations in the chamber. The THC emission factor was reported in  
149 units of carbon mass, g C kg<sup>-1</sup>fuel<sup>-1</sup>.

150 The GDI vehicle emitted less CO<sub>2</sub> and THC than the PFI vehicle due to their different fuel injection strategies

151 and mixing features (Liang et al. 2013; Gao et al., 2015). The EF of THC from the GDI vehicle met the standard  
152 of the China Phase V Emission Standard ( $0.1 \text{ g km}^{-1}$ ), but that from the PFI vehicle was slightly beyond the standard  
153 limit. The PFI vehicle used in this study met lower emission standard (the China Phase IV), which might cause  
154 additional THC emission when compared to the China Phase V Emission Standard. In addition, BJC and NEDC  
155 were applied in this study and emission standard, respectively. More repeated braking and acceleration in BJC  
156 might cause incomplete combustion and consequently higher THC emission from the PFI vehicle in this study. As  
157 typical VOC species emitted by vehicles, benzene and toluene were measured in this study. For both vehicles, the  
158 EFs of toluene were higher than those of benzene. Consistent with the feature of THC emission, the PFI vehicle  
159 emitted more benzene and toluene than the GDI vehicle, and the enhancement of toluene was much larger than that  
160 of benzene.

161 The EFs of the gaseous pollutants in this study had similar magnitudes to those in previous studies in which  
162 gasoline vehicles met comparable levels of emission standards and were tested under cold-start driving condition,  
163 while the results in this study were slightly higher, as shown in Table 3. This difference might be because the  
164 California ultralow-emission vehicles (ULEV) (Saliba et al., 2017) and most LEV II vehicles (manufactured in  
165 2004 or later) (May et al., 2014) meet the US certification gasoline emission standards for the ULEV category,  
166 which has a lower limit of gaseous pollutants than the China Phase V Emission Standard. In addition, the different  
167 driving cycles of our study and those other studies (listed in Table 3) might be another explanation for the difference  
168 in the EFs of gaseous pollutants.

### 169 **Primary particle emissions**

170 The EFs of PM, elemental carbon (EC), POA and particulate polycyclic aromatic hydrocarbons (PAHs) are  
171 shown in Table 4. The EF of  $\text{PM}_{2.5}$  from the GDI vehicle was about 1.4 times higher than that of the PFI vehicle.  
172 Both vehicles met the China Phase V Emission Standard for PM emission ( $4.5 \text{ mg km}^{-1}$ ). The GDI vehicle emitted  
173 about 3.3 times more EC and 1.2 times more POA than the PFI vehicle. The primary carbonaceous aerosols  
174 (EC+POA) accounted for 85 % and 82 % of the PM in the GDI and PFI vehicles respectively, suggesting that  
175 carbonaceous aerosols were the major contributors in the PM from gasoline vehicles, especially for the GDI vehicle.

PAHs account for a small fraction of particulate organic matter in the atmosphere, but the molecular signature of PAHs can be utilized in source identification of vehicle emissions (Kamal et al., 2015). The GDI vehicle emitted about 1.5 times the PAHs of the PFI vehicle. **The EFs of PAH compounds are listed in Table S2 in the Supplement, and details of PAHs measurement was described in Li et al. (2016).** It should be noted that the PAHs were tested under warm-start cycles. A higher EF of PAHs would be obtained under cold-start cycle, since the lower temperature led to inefficient catalyst at the beginning of cold-start (Mathis et al., 2005). The main contributors to total PAHs mass emitted from gasoline vehicle exhaust in this study, especially from the GDI vehicle exhaust, was similar with the results reported by previous studies (Schauer et al., 2002; Hays et al., 2013).

The lower PM<sub>2.5</sub> and POA emissions from GDI vehicle were found in previous studies, except that a little higher PM<sub>2.5</sub> emission from GDI vehicle was illustrated in Saliba's study (Platt et al., 2013; May et al., 2014; Zhu et al., 2016; Saliba et al., 2017). The EC emissions were in the range of those of previous studies but on the lower level. The EF of the POA measured in this study was higher than those of other studies, leading to a higher OC/EC ratio, which could be attributed to the less strict emission standard of our vehicles and the different driving cycles applied in the experiments.

The bimodal number size distributions of the primary PM from the vehicles measured by the DMS500 are shown in Figure 2. The particle distributions of the exhausts of the GDI and PFI vehicles illustrated similar patterns, with two peaks located at about 10 nm for nucleation mode and at 60-90 nm for accumulation mode, respectively, which are consistent with the results of previous studies (Maricq et al., 1999; Chen et al., 2017). The particle number size distribution of the exhausts of the GDI vehicle showed a similar pattern to that of the PFI vehicle, with a much higher number concentration that is consistent with the emission of more particle mass.

### **3.2 SOA formation from gasoline vehicle exhaust**

The time-resolved concentrations of gases and particles during the chamber experiments are illustrated in Figure 3. Before removing the anti-UV shade, the initial concentrations of NO<sub>x</sub>, benzene and toluene from the PFI and GDI vehicles were 80 ppb, 3 ppb, 5 ppb and 100 ppb, 4 ppb, 14 ppb respectively.

After the aging experiment started ( $t=0$  in Figure 3), NO was formed from NO<sub>2</sub> photolysis, and then reacted with O<sub>3</sub> to form NO<sub>2</sub>. The O<sub>3</sub> concentration increased rapidly to a maximum within 2-3 h and then decreased via reactions and dilution. Benzene and toluene decayed during the aging process at different rates.

New particle formation was found inside the chamber 15 minutes after the exhaust was exposed to sunlight, providing substantial seeds for secondary aerosol formation. Significant growths of particles in both size and mass were observed in the chamber, indicating that a large amount of secondary aerosol was formed during the photochemical oxidation. The chemical compositions of the secondary aerosols were measured continuously by HR-Tof-AMS. Organic was the dominant composition of the secondary aerosol, accounting for 88-95 % of the total particle mass inside the chamber (Figure S1), which is consistent with our previous research (Peng et al., 2017). The SOA mass exhibited different growth rate for the two types of vehicles. After a 4 h oxidation in the chamber, the SOA formed from the exhaust of the GDI vehicle was approximately double that of the PFI vehicle.

The solar radiation conditions significantly influenced the SOA formation. Thus, OH exposure was used to characterize the photochemical age as a normalization, instead of the experiment time. Two VOC species with noticeable differences in their reaction rate constants with OH radicals could be utilized to calculate the OH exposure ( $[OH] \Delta t$ ) based on Equation 1 (for benzene and toluene, as used in this study) (Yuan et al., 2012).

$$[OH] \Delta t = \frac{1}{k_T - k_B} \times \left( \ln \frac{[T]}{[B]} \Big|_{t=0} - \ln \frac{[T]}{[B]} \right) \quad (1)$$

where  $k_T$  and  $k_B$  are the OH rate constants of benzene ( $1.2 \times 10^{-12} \text{ cm}^3 \text{ molecule}^{-1} \text{ s}^{-1}$ ) (Yuan et al., 2012) and toluene ( $5.5 \times 10^{-12} \text{ cm}^3 \text{ molecule}^{-1} \text{ s}^{-1}$ ) (Kramp and Paulson, 1998), respectively.  $\frac{[T]}{[B]} \Big|_{t=0}$  is the concentration ratio of toluene to benzene at the beginning of the aging process, and  $\frac{[T]}{[B]}$  is their concentration ratio measured during aging process.

The SOA concentrations as a function of OH exposure are illustrated in Figure 4. Wall-loss correction and dilution correction, including both particles and gaseous pollutants, were taken into consideration in the calculation of the SOA mass concentration in the chamber. Detailed descriptions of corrections are given in the Supplement. Assuming the mean OH concentration was  $1.6 \times 10^6 \text{ molecular cm}^{-3}$  in Beijing (Lu et al., 2013), the whole aging

225 procedure in the chamber experiments was equal to a 6-10 h atmospheric photochemical oxidation. The average  
226 SOA concentrations were  $9.25 \pm 1.80$  and  $4.68 \pm 1.32 \mu\text{g m}^{-3}$  for the GDI and PFI vehicles, respectively, when the  
227 OH exposure was  $5 \times 10^6$  molecular  $\text{cm}^{-3} \text{ h}$  in the chamber. Considering the driving cycle mileage and fuel  
228 consumption, the SOA productions were  $54.77 \pm 10.70 \text{ mg kg-fuel}^{-1}$  or  $3.06 \pm 0.60 \text{ mg km}^{-1}$  for the GDI vehicle and  
229  $20.57 \pm 5.82 \text{ mg kg-fuel}^{-1}$  or  $1.55 \pm 0.44 \text{ mg km}^{-1}$  for the PFI vehicle. Compared with the PFI vehicle, the GDI vehicle  
230 exhaust exhibited a higher potential of SOA formation, even though the PFI vehicle emitted more VOCs, which  
231 are considered as dominant class of SOA precursors. This result indicates that higher concentrations of some other  
232 SOA precursors exist in the exhaust of GDI vehicles, which will be further discussed in section 3.3.

233 The results from chamber simulation of SOA formation from individual gasoline vehicles are illustrated in  
234 Figure 5. The SOA production from the both vehicles in this study is in the range of the results of previous studies  
235 (Nordin et al., 2013; Platt et al., 2013; Jathar et al., 2014; Liu et al., 2015; Peng et al., 2017). The variation of the  
236 SOA production among these studies might be caused by several factors: the model years of vehicles  
237 (corresponding to emission standards) (Nordin et al., 2013; Liu et al., 2015), their driving cycles (Nordin et al.,  
238 2013), the initial concentrations of gaseous pollutants in the chamber (Jathar et al., 2014), and the ratio of VOCs to  
239  $\text{NO}_x$  (Zhao et al., 2017) in the chamber experiments.

240 To investigate the dominant contributors to ambient PM from the GDI and PFI vehicles, Figure 6 illustrates  
241 the EFs of EC and POA as well as the production factors of SOA in this study. The SOA production from the GDI  
242 vehicle was approximately 2.7 times higher than that from the PFI vehicle. At  $5 \times 10^6$  molecular  $\text{cm}^{-3} \text{ h}$  OH exposure,  
243 the SOA/POA ratio was approximately 1. Figure 4 illustrates that the SOA production increased with  
244 photochemical age rapidly (within  $2 \times 10^7$  molecular  $\text{cm}^{-3} \text{ h}$ ). Thus, SOA would exceed POA at higher OH exposure,  
245 e.g., the SOA/POA ratio reached about 4 at  $10^7$  molecular  $\text{cm}^{-3} \text{ h}$  OH exposure, becoming the major PM contributor.  
246 In terms of the POA and EC emissions as well as the SOA formation, the GDI vehicle contributed 2.2 times more  
247 than the PFI vehicle.

248 Although particle wall-loss correction as well as particle and gas dilution corrections were considered in this  
249 study, several factors may still contribute to the uncertainties of the SOA productions. First, the deposition of semi-

volatile vapors to the chamber walls was not corrected, which may result in an underestimation of the rate of SOA production with a factor of 1.1-4.1 (Zhang et al., 2014). Second, under some ambient conditions such as severe urban haze events (Guo et al., 2014), particle mass concentrations can be as high as 200-300  $\mu\text{g m}^{-3}$ , much higher than the  $23 \pm 6 \mu\text{g m}^{-3}$  under the chamber condition in this study. High particle mass loadings are favorable for the partition of semi-volatile compounds into the particle phase, potentially increasing the rate of SOA production (Odum et al., 1996). Third, stronger partitioning of SOA precursors into the particle phase may reduce the oxidized products in the gas phase, which will potentially reduce the rate of SOA production (Seinfeld et al., 2003)

### 3.3 SOA mass closure

SOA production ( $\Delta\text{OA}_{\text{predicted}}$ ) estimated from VOC precursors can be defined as Eq. (2):

$$\Delta\text{OA}_{\text{predicted}} = \sum_i (\Delta_i \times Y_i) \quad (2)$$

where  $\Delta_i$  is the concentration change of precursor  $\text{VOC}_i$  measured with PTR-MS in the chamber experiments, and  $Y_i$  is the SOA yield of the  $\text{VOC}_i$ . In this study, benzene, toluene, C8 benzene and C9 benzene were involved in the estimation of SOA production, and alkanes and alkenes were not considered. A recent study found that ozonolysis of alkenes from gasoline vehicle exhaust could form SOA through aldol condensation reactions (Yang et al., 2018). However, much low declines of concentrations were observed than those of aromatics during chamber experiments, so alkenes might not play significant role in SOA formation in this study.

The SOA yield is sensitive to VOCs/ $\text{NO}_x$  ratio (Song et al., 2005). In this study, the VOCs/ $\text{NO}_x$  ratio was in the range of 0.5-1.0 ppbC/ppb, thus, the SOA formation from the vehicle exhaust was determined under high  $\text{NO}_x$  conditions. The high  $\text{NO}_x$  SOA yields of benzene and toluene were taken from Ng et al. (2007). The C8 and C9 benzene used the SOA yield of m-xylene from Platt et al. (2013).

The increased predicted SOA contribution from the VOC precursors as a function of OH exposure accumulation is demonstrated in Figure 7. At the end of the experiments, the SOA estimated from these speciated VOCs accounted for about 25 % and 53 % of the measured SOA formation from the GDI and PFI vehicle exhausts, respectively. Similar to the results of previous studies (Platt et al., 2013; Nordin et al., 2013; Gordon et al., 2014),



single-ring aromatics played an important role in the SOA formation, especially for the PFI vehicle which shows higher predicted SOA fraction.

The unpredicted fraction of the measured SOA in the chamber experiments was in the range of 47-75 %. Contributions from intermediate volatility organic compounds (IVOCs) and semivolatile organic compounds (SVOCs), e.g., long branched and cyclic alkanes and gas-phase polycyclic aromatic hydrocarbons could be a possible explanation for this underestimation. The SOA formed by oxidation of IVOCs and SVOCs is found to dominate over that from single-ring aromatics (Robinson et al., 2007; Zhao et al., 2016). The unpredicted SOA ratio exhibited a maximum value at the beginning of the experiment, indicating that the IVOCs and SVOCs with low volatilities produced SOA much more efficiently than the single-ring aromatics with high volatilities, as the first generation products of photo-oxidation of these precursors form SOA (Robinson et al., 2007).

The larger fraction of the unpredicted SOA from the GDI vehicle exhaust might be associated with higher IVOCs and SVOCs emissions. Gas-phase PAH is one of the main component of speciated IVOCs (Zhao et al., 2016). The particulate-phase PAHs from the GDI vehicle were more abundant than those from the PFI vehicle by a factor of 1.5 (section 3.1). Based on gas-particle equilibrium, this indicates that more gas-phase PAHs, including some aromatic IVOCs, might be emitted by the GDI vehicles, contributing to the SOA enhancement.

290

#### 291 **4 Discussions and conclusions**

GDI and PFI vehicles have different fuel injection technologies in their engines, which affects their emissions of gaseous and particulate pollutants. In GDI engine, the fuel is directly injected into cylinder, which benefits the fuel atomization and vaporization and provides better control of fuel volume and the combustion process (Liang et al. 2013; Gao et al., 2015). Thus, in this study, the tested GDI vehicle has higher fuel economy and lower THC emission than the PFI vehicle. However, the insufficient mixing time allowed for the fuel and air leads to incomplete combustion in the GDI engine (Fu et al., 2014). In addition, direct fuel injection leads to fuel impingement onto surfaces of combustion chamber, where liquid pools form, favoring soot-like particulate formation (Ueberall et al., 2015; Chen et al., 2017). Consequently, larger particle mass and number are emitted by

the GDI vehicle than from the PFI vehicle. The particles emitted by the GDI vehicle have higher EC mass fraction, leading to lower OC/EC ratio. The considerable particle number emitted by gasoline vehicles, especially in GDI vehicles exhaust, makes a significant contribution to particle number concentration as well as seeds for further reactions in the atmosphere, and needs to be controlled in the future emission standards.

Our results show that the GDI vehicle contributes more to both primary and secondary aerosol than the PFI vehicle, and has greater impact on environment and air quality. In recent years, the market share of GDI vehicles exerts a continuous growth in China because they provide better fuel economy and lower CO<sub>2</sub> emissions. In 2016, GDI vehicles accounted for 25 % of China's market share in sales, and this proportion is expected to reach 60 % by 2020 (Wen et al., 2016). The PM enhancement of GDI vehicles with increasing population could potentially offset any PM emission reduction benefits, including the development of gasoline emission and fuel standards and the advanced engine technologies of gasoline vehicles. Therefore, our results highlight the necessity of further research and regulation of GDI vehicles.

It should be pointed out that the SOA formation factors in this study are based on one GDI vehicle and one PFI vehicle. Some previous studies proposed that vehicles have variations even though they meet similar specification vehicles and use the same fuel (Gordon et al., 2014; Jathar et al., 2014). Thus more researches with more vehicles for each technology are needed on SOA formation from vehicle exhaust.

Primary emissions and secondary organic formation from one GDI vehicle and one PFI vehicle were investigated when driving under cold-start BJC. The primary PM emitted by the GDI vehicle was 1.4 times greater than that from the PFI vehicle and the SOA formation from the GDI vehicle exhaust was 2.7 times greater than that from the PFI vehicle exhaust for the same OH exposure. The SOA production factors were  $54.77 \pm 10.70 \text{ mg kg}^{-1} \text{ fuel}^{-1}$  or  $3.06 \pm 0.60 \text{ mg km}^{-1}$  for the GDI vehicle and  $20.57 \pm 5.82 \text{ mg kg-fuel}^{-1}$  or  $1.55 \pm 0.44 \text{ mg km}^{-1}$  for the PFI vehicle at an OH exposure of  $5 \times 10^6 \text{ molecular cm}^{-3} \text{ h}$ , which is consistent with the values seen in previous studies. Considering the higher amounts of OA derived from primary emission and secondary formation, the GDI vehicle contribute considerably more to particle mass concentrations in the ambient air than the PFI vehicle.

The SOA formation was predicted from the gaseous precursors emitted by the GDI and PFI vehicles under

325 high NO<sub>x</sub> condition. Single-ring aromatic VOCs could explain only 25-53 % of the measured SOA formation in  
326 the chamber experiments. The GDI vehicle exhibited higher fraction of unexplained SOA. More IVOCs and  
327 SVOCs were inferred as being emitted by the GDI vehicle.

328 With increasing population of GDI vehicles, any benefits of the aerosol emission reduction of gasoline  
329 vehicles are substantially offset, because GDI vehicles have significant contributions to ambient aerosols. More  
330 work is needed to improve the understanding of GDI vehicle emissions and to provide information for the  
331 regulation of gasoline vehicles.

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334 *Data availability.* The data presented in this article are available from the authors upon request  
335 ([minhu@pku.edu.cn](mailto:minhu@pku.edu.cn)).

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344

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522

523 Table 1 Descriptions of the gasoline direct injection (GDI) and port fuel injection (PFI) vehicles used in the  
524 experiments.

Vehicle	Make and model	Emission standard class	Model year	Mileage (km)	Displacement (cm <sup>3</sup> )	Power (kW)	Weight (kg)
GDI	VW Sagitar	China V	2015	3000	1395	110	1395
PFI	Honda Civic	China IV	2009	42500	1799	103	1280

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Table 2 Overview of all instruments used to measure the gas and particulate phase pollutants in the experiments.

Parameter	Phase	Instrument	Note
CO, CO <sub>2</sub> , NO <sub>x</sub> and total hydrocarbon (THC) concentration	Gas	Gas analyzer AVL Combustion Emissions Bench II	On-line
Aerosol number size distribution	Particle	DMS500	On-line
PM <sub>2.5</sub>	Particle	Balance (AX105DR)	Off-line
Organic carbon/Elemental carbon concentration	Particle	OC/EC analyzer	Off-line
CO concentration	Gas	48i CO analyzer	On-line
NO, NO <sub>2</sub> , and NO <sub>x</sub> concentration	Gas	42i NO-NO <sub>2</sub> -NO <sub>x</sub> analyzer	On-line
O <sub>3</sub> concentration	Gas	49i O <sub>3</sub> analyzer	On-line
VOCs concentration	Gas	Proton transfer reaction mass spectrometer (PTR-MS)	On-line
Aerosol number (mass) size distribution	Particle	Scanning mobility particle sizer (SMPS, consist of 3081-DMA and 3775-CPC),	On-line
Size resolved non-refractory aerosol	Particle	High resolution time-of-flight aerosol mass spectrometer (HR-Tof-AMS)	On-line

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Table 3 Emission factors (EFs) of gaseous pollutants from the gasoline direct injection (GDI) and port fuel injection (PFI) vehicles in

530

this study and those of previous studies.

	This study				Saliba et al., 2017		May et al., 2014	Platt et al., 2013		Zhu et al., 2016	
	GDI		PFI		GDI	PFI	PFI <sup>a</sup>			GDI	PFI
	China V		China IV		ULEV	ULEV	LEV II	Euro V		China IV	China IV
	Cold BJC				Cold UC <sup>b</sup>		Cold UC	Cold NEDC		Cold WLTC <sup>c</sup>	
	g kg- fuel <sup>-1</sup>	g km <sup>-1</sup>	g kg- fuel <sup>-1</sup>	g km <sup>-1</sup>	g km <sup>-1</sup>	g km <sup>-1</sup>	g kg-fuel <sup>-1</sup>	g kg-fuel <sup>-1</sup>	g km <sup>-1</sup>	g km <sup>-1</sup>	g km <sup>-1</sup>
CO <sub>2</sub>	3439	213	3350	283	-	-	-	-	-	187	215
	±23	±4	±24	±4							
THC	1.55	0.09	1.70	0.13	0.02	0.06	0.64	0.91-1.06	0.036-	0.05	0.03
	±0.22	±0.01	±0.19	±0.01					0.042		
Benzene	0.056	0.003	0.061	0.005	-	-	0.018	-	0.002	-	-
	±0.011	±0.001	±0.016	±0.001							
Toluene	0.101	0.006	0.220	0.017	-	-	0.026	-	0.002	-	-
	±0.004	±0.001	±0.047	±0.004							

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<sup>a</sup> 22 PFI vehicles and 3 GDI vehicles;

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<sup>b</sup> UC: Unified Cycle;

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<sup>c</sup> WLTC: Worldwide-harmonized Light-duty Test Cycle

534 Table 4 EFs of primary aerosols, including carbonaceous aerosols and particulate polycyclic aromatic hydrocarbons (PAHs) from the  
535 GDI and PFI vehicles in this study and those of previous studies.

	This study				Saliba et al., 2017		May et al., 2014	Platt et al., 2013		Zhu et al., 2016	
	GDI		PFI		GDI	PFI	PFI			GDI	PFI
	China V		China IV		ULEV	ULEV	LEV II	Euro V		China IV	China IV
	Cold BJC				Cold UC		Cold UC	Cold NEDC		Cold WLTC	
	mg kg-	mg km <sup>-1</sup>	mg kg-	mg km <sup>-1</sup>	mg km <sup>-1</sup>	mg km <sup>-1</sup>	mg kg-fuel <sup>-1</sup>	mg kg-	mg km <sup>-1</sup>	mg km <sup>-1</sup>	mg km <sup>-1</sup>
	fuel <sup>-1</sup>		fuel <sup>-1</sup>					fuel <sup>-1</sup>			
PM <sub>2.5</sub>	61.7±24.5	3.4±1.4	33.4±25.6	2.5±1.9	3.9	2.4	18.0	-	-	1.5	1.0
EC	10.7±3.6	0.6±0.2	2.4±1.6	0.2±0.1	3.0	0.6	12.2	11.2-20.0	1.2-1.7	-	-
POA	41.7±9.8	2.3±0.6	25.0±0.3	1.9±0.1	0.4	0.6	5.2	24.5-19.7	0.4-1.4	-	-
OC/EC	3.2		8.7		0.1	0.8	0.4	0.2-1.8		-	-
PAHs(×10 <sup>6</sup> )	20.4±2.1	1.1±0.1	13.2±4.1	1.0±0.3	-	-	-	-	-	-	-

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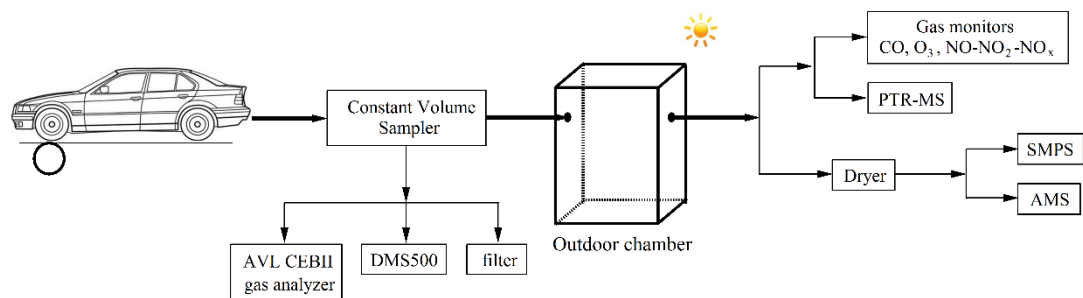
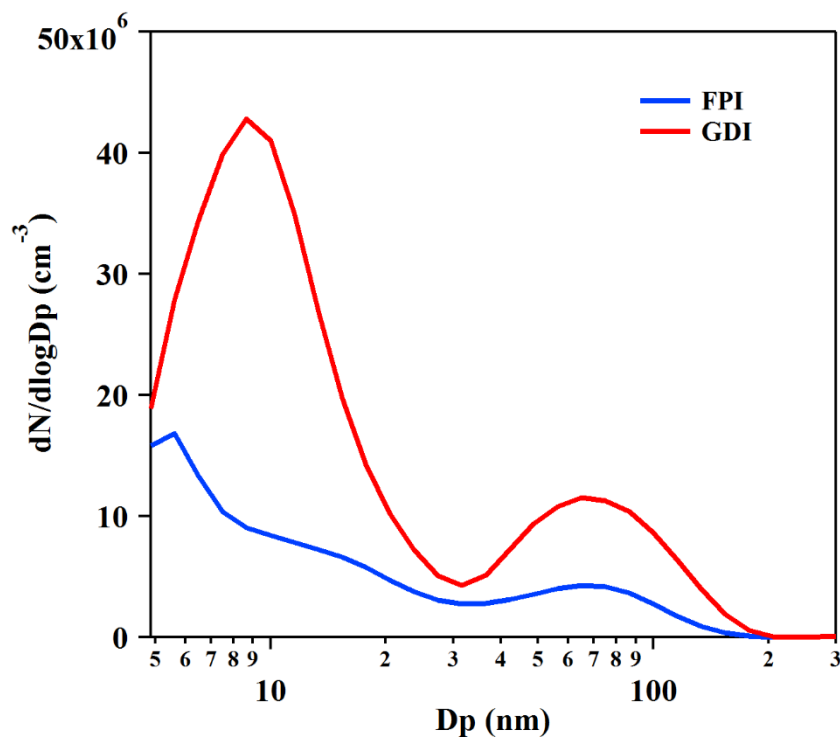


Figure 1. Schematic diagram of the outdoor chamber set up for the experiments.





541  
 542 Figure 2. Number size distributions of primary PM emitted from the GDI (red line) and PFI (blue line) gasoline  
 543 vehicles. The results are average of particle number emissions from vehicles during a whole BJC, measured by  
 544 DMS500 in the CVS system. The particles were heated to 150°C in the DMS500.  
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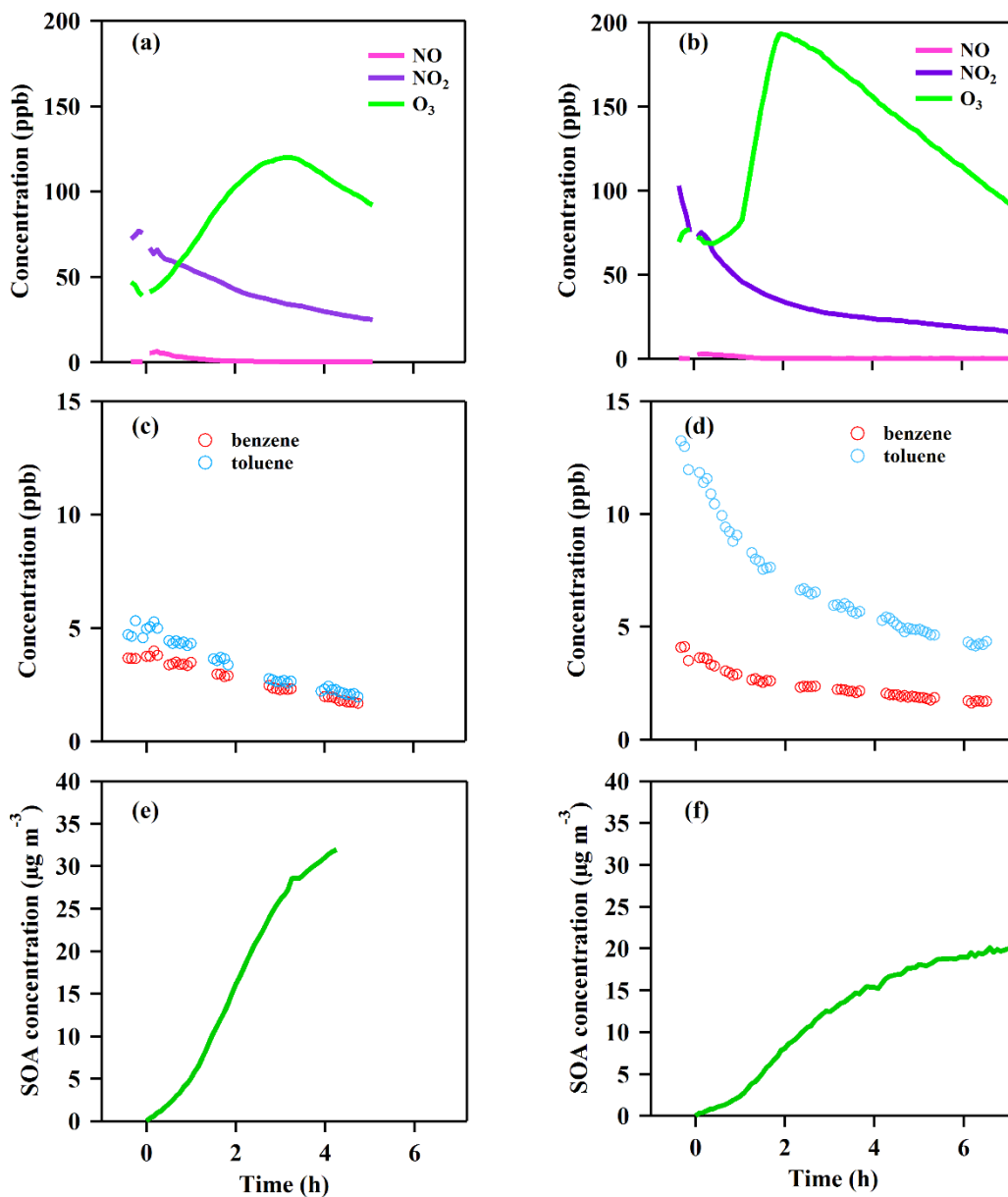
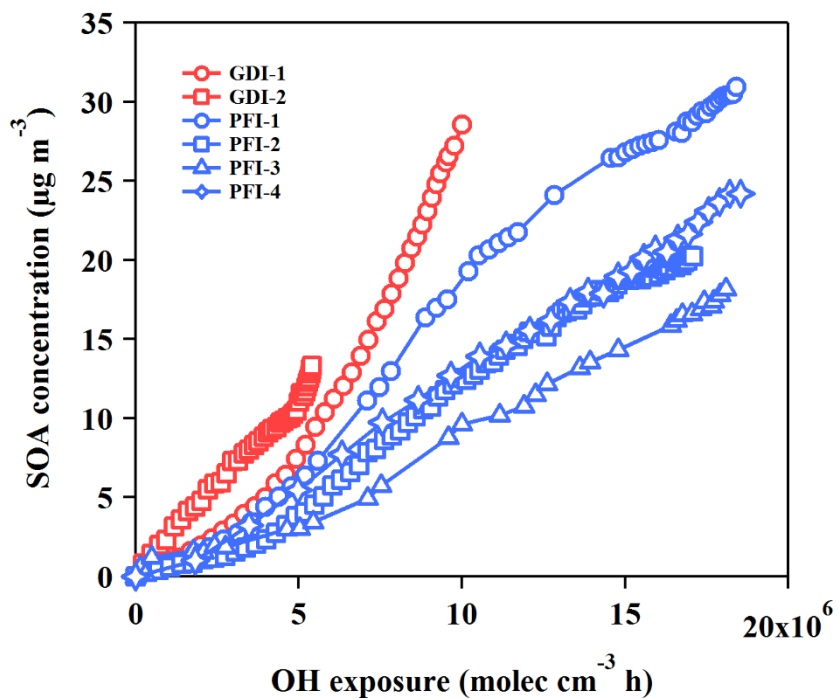


Figure 3. Time series of the gases and particle evolutions over the photochemical age in the chamber experiments from the GDI vehicle exhaust (a, c, e) and PFI vehicle exhaust (b, d, f). (a, b): NO, NO<sub>2</sub> and O<sub>3</sub> concentration; (c, d): benzene and toluene concentration; (e, f): corrected SOA concentration.



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552 Figure 4. SOA productions from the GDI vehicle exhaust (red markers) and the PFI vehicle exhaust (blue markers)

553 as functions of OH exposure in the chamber experiments.

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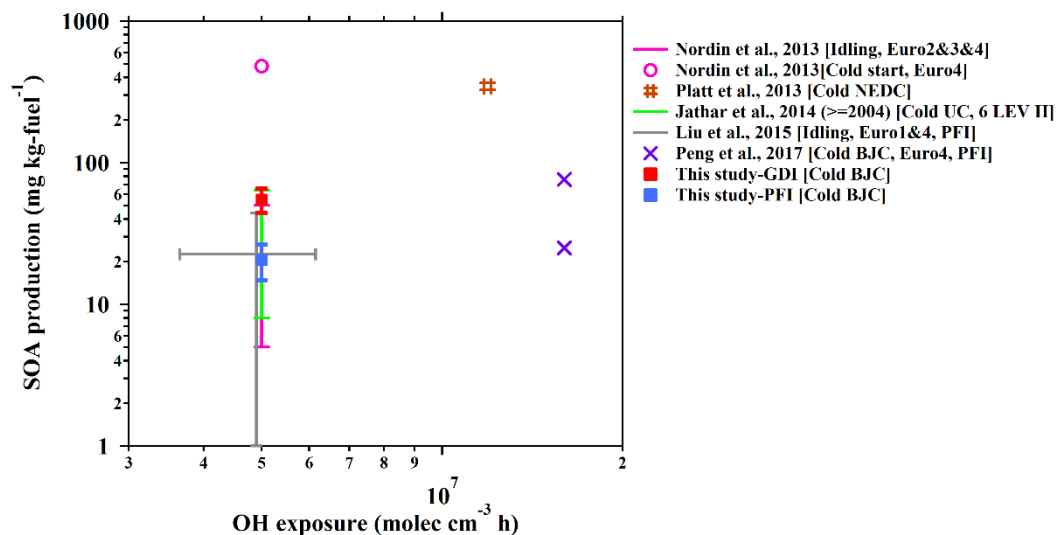
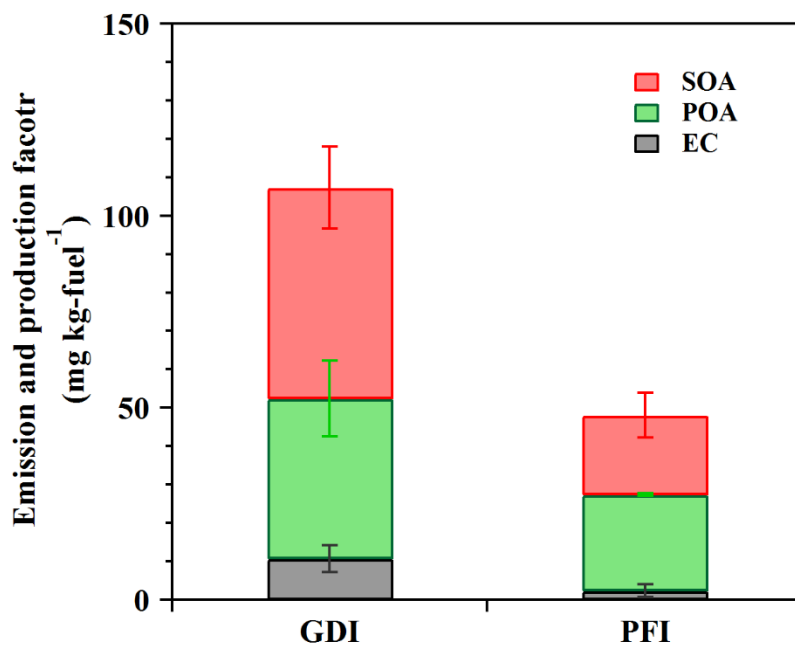


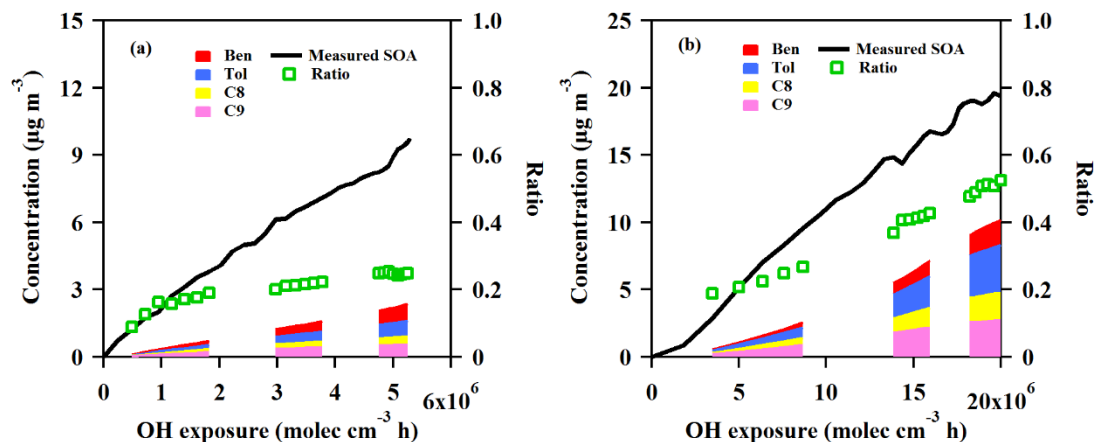
Figure 5. Fuel-based SOA production from gasoline vehicle exhaust as a function of OH exposure in the chamber simulations. The SOA production data are from published studies of chamber simulation of gasoline vehicle exhaust. From the study of Jathar et al. (2014), the SOA production of vehicles manufactured in 2004 or later (LEV II) is selected, which is a model year that is more close to those of the vehicles in this study. The error bars of previous results indicate the range of OH exposure (x axis) and SOA production (y axis) in their simulations. The driving cycles and vehicle information are also noted in the legend of each study.



563

564 Figure 6 EC and POA EFs as well as corrected SOA production factors from the GDI and PFI vehicle exhausts in  
565 this study (OH exposure =  $5 \times 10^6$  molecular  $\text{cm}^{-3}$  h).

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567

568 Figure 7. Measured and predicted SOA concentration as a function of OH exposure from GDI vehicle exhaust (a)  
 569 and PFI vehicle exhaust (b) in the chamber experiments. The black line is the measured SOA concentration with  
 570 wall-loss and particle dilution correction during the experiment. The red, blue, yellow and pink areas are predicted  
 571 SOA concentration estimated from benzene, toluene, C8 benzene and C9 benzene, respectively. The green markers  
 572 are the ratios of the predicted SOA to the measured SOA.

573

**Supplementary information**

**Comparison of primary aerosol emission and secondary aerosol formation from gasoline direct injection and port fuel injection vehicles**

Zhuofei Du<sup>1</sup>, Min Hu<sup>1, 3\*</sup>, Jianfei Peng<sup>1†</sup>, Wenbin Zhang<sup>2</sup>, Jing Zheng<sup>1</sup>, Fangting Gu<sup>1</sup>, Yanhong Qin<sup>1</sup>, Yudong Yang<sup>1</sup>, Mengren Li<sup>1</sup>, Yusheng Wu<sup>1</sup>, Min Shao<sup>1</sup>, Shijin Shuai<sup>2</sup>

1. State Key Joint Laboratory of Environmental Simulation and Pollution Control, College of Environmental Sciences and Engineering, Peking University, Beijing 100871, China

2. State Key Laboratory of Automotive Safety and Energy, Department of Automotive Engineering, Tsinghua University, Beijing 100084, China

3. Beijing Innovation Center for Engineering Sciences and Advanced Technology, Peking University, Beijing 100871, China

<sup>†</sup> Now at Department of Atmospheric Sciences, Texas A&M University, College Station, TX 77843, US

\*Corresponding author: Min Hu, minhu@pku.edu.cn

## Data correction

Wall-loss correction as well as particle and gas dilution corrections were considered in this study. The details of wall-loss correction are introduced by Du et al. (2017). The real-time instruments sampled from the chamber during the whole photo-oxidation experiment, and zero air was added to maintain a constant pressure inside the chamber. This led to particle dilution that the sampled particles would not be included in the subsequent measurement, and gas dilution that the sampled gas would not participate in the subsequent photo-oxidation reaction and SOA formation. The particle dilution corrected mass concentration  $C_{corr,n+1}$  could be calculated as:

$$C_{corr,n+1} = C_{n+1} + \sum_{i=1}^n (k_{wall} \times C_i) + \sum_{i=1}^n (k_{dilu,i} \times C_i) \quad (1)$$

where  $C_{n+1}$  was the measured particle mass concentration at time n+1,  $k_{wall}$  was the wall loss decay constant and  $k_{dilu,i}$  was dilution ratio at time i.

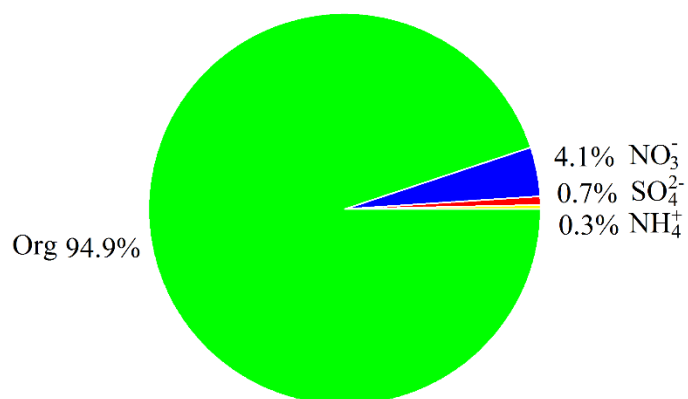
Then the gas dilution was taken into consideration. The final particle mass concentration  $C_{final,n+1}$  could be calculated as:

$$C_{final,n+1} = C_{corr,n+1} - C_1 + \sum_{i=1}^n (\sum_{j=1}^{n+1} k_{dilu,i}) \times (C_{corr,n+1} - C_{corr,n}) \quad (2)$$

## References

Du, Z., Hu, M., Peng, J., Guo, S., Zheng, R., Zheng, J., Shang, D., Qin, Y., Niu, H., Li, M., Yang, Y., Lu, S., Wu, Y., Shao, M., and Shuai, S.: Potential of secondary aerosol formation from Chinese gasoline engine exhaust, Journal of environmental sciences, in press.



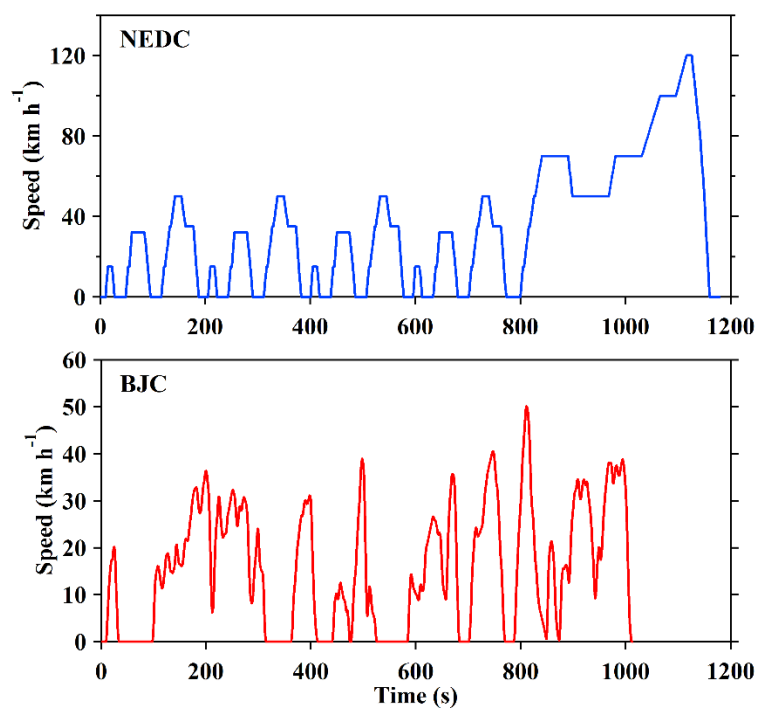


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42 Figure S1. Chemical composition of secondary aerosol formed in the chamber experiment

43 (Experiment GDI-1).

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47 **Figure S2. Speed profiles of NEDC and BJC driving cycle.**

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49 Table S1 Details of the fuel used in the experiments.

Specifications	Fuel
Density (g mL <sup>-1</sup> )	0.7
Rvp (kPa)	55.4
Aromatics (% v/v)	36.7
Olefin (% v/v)	15.4
Ethanol (% v/v)	0.01
Oxygen (% m/m)	0.02
Mn (mg kg <sup>-1</sup> )	< 0.1
Sulfur (mg kg <sup>-1</sup> )	6
T10 (°C)	55.4
T50 (°C)	109.9
T90 (°C)	164.3
Fbp (°C)	194.4

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Table S2 The EFs of Particulate-phase PAHs from GDI and PFI vehicles.

Compound	Emission factor (ng kg-fuel <sup>-1</sup> )	
	GDI	PFI
Napthalene	0.025	<0.0001
1-Methylnaphthalene	<0.0001	<0.0001
2-Methylnaphthalene	0.012	0.004
2,6-Dimethylnaphthalene	0.006	0.003
Acenaphthylene	0.012	0.009
Acenaphthene	<0.0001	0.015
Fluorene	0.003	0.105
Methyl-fluorene	0.083	0.105
Dibenzofuran	0.006	0.039
Retene	0.009	0.011
9-Methylanthracene	<0.0001	0.013
Phenanthrene	0.244	0.069
Anthracene	0.048	0.018
Fluoranthene	0.201	0.034
Pyrene	0.246	0.029
Methyl-fluoranthene	0.004	0.007
Benzo[a]anthracene	0.006	0.036
Chrysene	0.020	0.065
Methyl-chrysene	<0.0001	0.007
Benzo[b]fluoranthene	0.034	0.147
Benzo[k]fluoranthene	0.041	0.129
Benzo[e]pyrene	0.028	0.051
Benzo[a]pyrene	0.012	0.041
Benzo[ghi]fluoranthene	0.095	0.027
Cyclopenta[cd]pyrene	<0.0001	0.032
Dibenzo[a,h]anthracene	<0.0001	<0.0001

Picene	<0.0001	<0.0001
Perylene	0.009	<0.0001
Benzo[ghi]perylene	<0.0001	<0.0001
Indeno[1,2,3-cd]pyrene	<0.0001	<0.0001
Coronene	<0.0001	<0.0001
Sum PAHs	1.144	0.994

## **Response to Comments by Referee #2**

Thank you for your commendation and appreciate your suggestions on all scientific, technical aspects of our article. The manuscript has been revised accordingly. Listed below is our point-to-point response to each comment.

Du et al. report on emissions and chamber experiments performed on port fuel injection (PFI) and gasoline direct injection (GDI) gasoline vehicles and their potential to form secondary organic aerosol (SOA). They find that the PFI vehicle emits more VOCs compared to the GDI vehicle but the GDI vehicle emits both primary particles and forms more SOA than the PFI vehicle. They claim that the higher SOA from GDI vehicles could be attributed to higher emissions of intermediate volatility organic compounds (IVOCs).

The study builds on previous work done with PFI and GDI vehicles to answer an important question currently on the minds of researchers and regulators: are GDI vehicles a cause for more primary and secondary pollution in the future? Thus, the work is well-motivated and very topical. The manuscript could benefit from a copyedit from someone with fluency in English since there are some styling and phrasing issues. The methods are appropriate and the experimental results are worth publishing although there are some minor issues that need to be resolved (see comments below). My major concern is the study design that only used one vehicle of each technology type and that the conclusions are generalized for all vehicles in that technology type. I recommend publication after the authors have had an opportunity to respond to my comments.

### Major comment:

Vehicle-to-vehicle variability - Vehicles certified to the same emissions standard can vary significantly in their tailpipe emissions and their potential to form SOA (order of magnitude or more). For example, see any of the large studies done over the past decade and a half (Kishan et al., 2008; May et al., 2014). In the United States where successive emissions standards for any given pollutant do not change by an order of magnitude, it follows then that it is likely that a randomly picked vehicle certified to a newer standard emits more pollutants than a randomly picked vehicle certified to an older standard. In the context of this work then, one needs to be careful in comparing absolute emissions/production from one PFI vehicle against another GDI vehicle and using those comparisons to make broader conclusions about PFI versus GDI vehicles. For example, it is imprecise to imply that all GDI vehicles had higher SOA production factors than PFI vehicles (as mentioned in the title) or that the GDI versus PFI SOA difference could be attributed to higher S/IVOC emissions (as mentioned in the discussion section). If this study would have included many more vehicles or somehow performed on the same vehicle but with interchangeable injection methods, this comment would not apply but since only one vehicle was chosen for each technology type this becomes a concern. Can the authors comment on why the vehicles they picked are representative of their technology type and why the differences from those vehicles (e.g., Table 3 and 4) can be extrapolated to a whole class of vehicles?

Response: We thank the reviewer for reminding this. The GDI and PFI vehicles chosen in this study have high market share of on-road gasoline vehicles in China. We agree with the referee that one vehicle for each gasoline engine technology exists uncertainty, and more researches with more vehicles will be conducted in the future. The title and discussions are revised as the suggestion.

The title is revised as: "Comparison of primary aerosol emission and secondary aerosol formation from gasoline direct injection and port fuel injection vehicles".

Following discussion is added between Line 312 to Line 315: "It should be pointed out that the SOA formation factors in this study are only based on only one GDI vehicle and one PFI vehicle. For vehicles with similar specification using the same fuel, some previous studies show that their emissions have some variations (Gordon et al., 2014; Jathar et al., 2014). Thus more researches of more vehicles for each technology are needed on SOA formation from vehicle exhaust".

#### Specific comments:

1. Line 34-44: There is a lot more modeling and measurement work done around understanding the motor vehicle contribution to OA in urban areas. Perhaps work on a better literature review to motivate the work?

Response: Thanks for the suggestion. More previous studies on vehicles contribution to urban OA are added, and the literature review is revised as:

Line 34 to Line 48: "Organic aerosols account for approximately 20-50 % of ambient fine particulate matter (PM<sub>2.5</sub>), with significant environment and health effects (Kanakidou et al., 2005). Primary organic aerosol (POA) is emitted directly by sources, while secondary organic aerosol (SOA) is mainly formed via oxidation of gaseous precursors in the atmosphere and account for about 30-90 % of the organic aerosol (OA) mass worldwide (Zhang et al., 2007; Hu et al., 2016), but SOA source remain poorly constrained. Robinson et al. (2007) proposed that low-volatility gas-phase species emitted from diesel vehicles were important sources for urban ambient SOA, which achieved better mass closure between observed and modeled SOA. Using an updated CMAQ model, Jathar et al. (2017) found that 30-40% OA was contributed from vehicles in the southern California, and half of which was SOA. Huang et al. (2014) recently revealed that 15-65 % of SOA was contributed by fossil fuel consumption (i.e., traffic and coal burning) in megacities in China. These indicated that vehicles have important contribution to ambient SOA in urban areas. An ambient organic aerosol measurement in the Los Angeles Basin demonstrated that SOA contributed from gasoline vehicles was significant in the urban air, much larger than that from diesel vehicles (Bahreini et al., 2012). Similar conclusion was reached by Hayes et al. (2013) based on mass spectrometer results. Meanwhile, several chamber simulation studies concluded that exhaust of gasoline vehicles could form substantial SOA (Jathar et al., 2014). Thus, gasoline vehicles exhaust is highly associated with ambient SOA formation".

2. Line 40: Does Huang et al. (2014) only refer to Chinese cities?

Response: Huang et al. (2014) refers to Beijing, Xi'an, Shanghai and Guangzhou four Chinese cities. More literatures on other cities were added between Line 38 to Line 41: "Robinson et al. (2007)

proposed that low-volatility gas-phase species emitted from diesel vehicles were important sources for urban ambient SOA, which achieved better mass closure between observed and modeled SOA. Using an updated CMAQ model, Jathar et al. (2017) found that 30-40% OA was contributed from vehicles in the southern California, and half of which was SOA”.

3. Line 42: ‘exhaust’ not ‘exhausts’.

Response: Thanks for the advice. Modified as suggested.

4. Line 47-49: In comparison to what type of vehicle? My understanding is that one of the major advantages of the GDI is that it eliminates pumping losses.

Response: Thanks for the advice. The GDI vehicle is compared to PFI vehicle based on their engine technologies. The less fuel pumping loss of GDI engine is added between Line 52 to Line 54: “... a GDI engine has many advantages, such as better fuel efficiency, lower CO<sub>2</sub> emissions and less fuel pumping loss (Alkidas, 2007; Myung et al., 2012; Liang et al., 2013)”.

5. Line 51-52: Are these percentages for sales of new vehicles only?

Response: These percentages refer to market shares of sales. The sentence is revised as follows:  
Line 56 to Line 57: “The market share of GDI vehicles in sales in 2016 reached about 25 %, 50 % and 60 % in China, the US and Europe, respectively (Wen et al., 2016; Zimmerman et al., 2016)”.

6. Line 67: Gentner et al. (2017) is a review paper. Please state the primary study.

Response: We thank the referee for pointing out this. The reference is revised, and the sentence is modified as follows:

Line 69 to Line 72: These studies mostly focused on the impacts of SOA formation by the model year (Gordon et al., 2014; Jathar et al., 2014; Liu et al., 2015), fuel formulations (Peng et al., 2017), driving cycles (including idling) (Nordin et al., 2013; Platt et al., 2013) and start-up modes of the gasoline vehicles (Nordin et al., 2013).

7. Line 72: ‘formation’ not ‘formations’.

Response: Thanks for the advice. Modified as suggested.

8. Line 89: How many experiments were performed? Figure 4 suggests two for the GDI and four for the PFI. Mention this in the methods section.



Response: Thanks for the suggestion. Six chamber experiments, including two for the GDI vehicle and four for the PFI vehicle, were conducted in this study. A sentence is added between Line 96 to Line 98 to make it clear:

“The chamber experiments were carried out in the summer at the State Key Laboratory of Automotive Safety and Energy of Tsinghua University in Beijing, including two experiments conducted with GDI vehicle and four experiments conducted with PFI vehicle”.

9. Line 97-99: How was the setup designed to reduce losses of gases and particles from the CVS to the chamber? Were the transfer lines heated? Were they coated to reduce losses of vapors and particles? How long were the transfer lines? Were the gas and particle losses in the transfer line characterized before performing the vehicle experiments?

Response: Thanks. Due to the short transfer tube from the CVS to the chamber (1-1.5 m) and high flowrate, residence time was short, and loss of vapor as well as particles was low. So the exhaust was controlled as room temperature  $26.4 \pm 2.5$  °C without heating.

10. Line 110-111: How were the concentration data corrected for additional dilution?

Response: The flowrate of zero air added to chamber is equal to that of instruments sampling from chamber during that time. So the known volume of zero air is involved in the dilution calculation.

11. Does a DMS500 thermally denude the particles before measurement? This should be mentioned. Also, what is the denuding temperature?

Response: We thank the referee for pointing out these. The sampling line of DMS500 is heated to 150°C. The information is added between Line 125 to Line 126: “Its sampling line was heated to maintain the temperature at 150°C”.

12. Line 147-148: Are the BJC versus NEDC differences shown in this work?

Response: Thanks for the advice. The speed profiles of BJC and NEDC driving cycle are added in the Supplement as Figure S2.

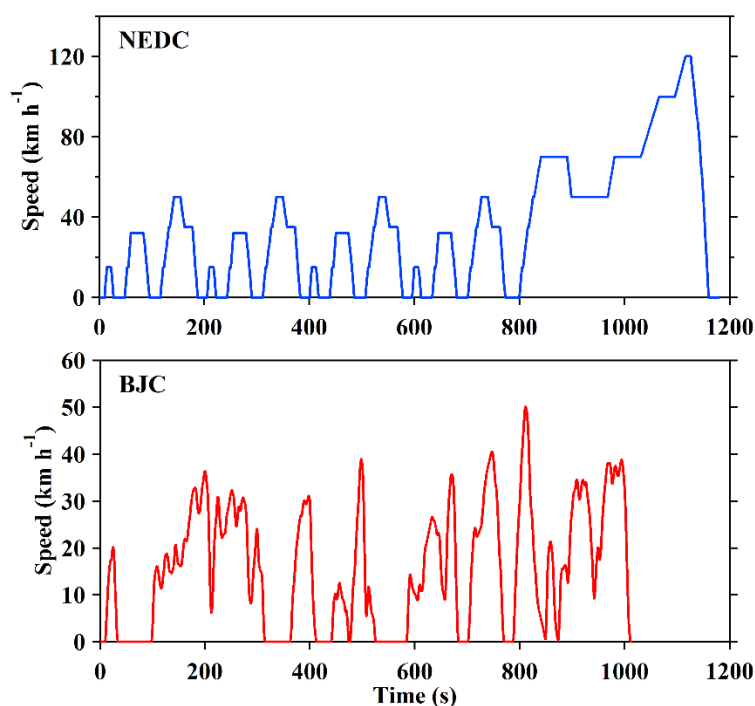


Figure S2. Speed profiles of NEDC and BJC driving cycle.

13. Line 150: What was the benzene to toluene ratio in the fuel and does this align with the emissions measurements?

Response: The toluene to benzene ratio is about 1000 in the fuel. The ratio in the fuel showing significantly higher than that in the exhaust, might due to many complex procedures during fuel combustion.

14. Line 166: What was the EC+POA mass compared to? Teflon filters? Were artifacts on the Quartz filters considered in the comparison? What OM to OC ratio was used to get POA mass?

Response: The EC+POA mass was compared to PM<sub>2.5</sub> measured by Teflon filters. The AVL Particulate Sampling System was equipped with a denuder (filled with activated carbon) to remove organic vapors, preventing organic vapors condensing on the Quartz filters.

The OM/OC ratio of 1.2 was applied to get POA mass, representing emissions of fresh vehicle (Saliba et al., 2017).

15. Line 172: POA was not higher in Saliba et al. (2017) only EC was.

Response: We apologize for the mistake. The sentence is revised as:

Line 184 to Line 186: “The lower PM<sub>2.5</sub> and POA emissions from GDI vehicle were found in previous studies, except that a little higher PM<sub>2.5</sub> emission from GDI vehicle was illustrated in

Saliba's study (Platt et al., 2013; May et al., 2014; Zhu et al., 2016; Saliba et al., 2017)".

16. Line 181-184: The tailpipe aerosol size distribution can vary substantially with atmospheric processes (e.g., coagulation, evaporation) on very short timescales so care needs to be exercised in comparing source measurements with ambient measurements for particle size. Also, is it possible that the bimodal ambient measurements are influenced by nucleation rather than exhibiting a vehicle signature?

Response: We thank the referee for pointing out this. We agree that the particles emitted from tailpipe vary substantially in the atmosphere, and the bimodal particle distributions resolved from ambient aerosol cannot link with the particle distribution in the vehicle exhaust directly. So that sentence is deleted in the manuscript.

17. Line 212-214: It would be better to see a description of the correction for dilution, particle, and vapor wall-losses in the methods section.

Response: Thanks for the suggestion. The details of wall-loss correction as well as particle and gas dilution corrections are added in the Supplement.

18. Line 226-229: Zhao et al. (2017) argued that some of the differences in SOA formation between the vehicles they tested could be explained by differences in the NO<sub>x</sub> levels. Is it possible that differences could be explained by different NO<sub>x</sub> levels?

Response: Thanks for the suggestion. Zhao et al. reported that ratio of VOCs to NO<sub>x</sub> has a complex relationship with SOA formation, which is nonmonotonic (Zhao et al., 2017). It could support the discussion in this study.

The sentence has been revised as: "The variation of the SOA production among these studies might be caused by several factors: the model years of vehicles (corresponding to emission standards) (Nordin et al., 2013; Liu et al., 2015), their driving cycles (Nordin et al., 2013), the initial concentrations of gaseous pollutants in the chamber (Jathar et al., 2014), and the ratio of VOCs to NO<sub>x</sub> (Zhao et al., 2017) in the chamber experiments" between Line 238 to Line 242.

19. Line 239: Explain what underestimated here means?

Response: The loss of semi-volatile vapor deposited to the chamber wall is not calculated, leading to underestimation of SOA production in the chamber experiment.

20. Line 252: Yang et al. (2017) recently suggested that ozonolysis of alkenes in gasoline exhaust could form SOA through aldol condensation reactions. Perhaps cite that study here?

Response: Thanks for your advice. Some discussions on alkenes forming SOA are added between Line 263 to Line 266:

“A recent study found that ozonolysis of alkenes from gasoline vehicle exhaust could form SOA through aldol condensation reactions (Yang et al., 2018). However, much low declines of concentrations were observed than those of aromatics during chamber experiments, so alkenes might not play significant role in SOA formation in this study”.

21. Line 255: Units for VOC/NO<sub>x</sub> ratio? How does this ratio compare to those in megacities?

Response: Thanks for your advice. The unit for VOC/NO<sub>x</sub> ratio is ppbC/ppb, and modified in the manuscript.

Previous studies revealed that the VOC/NO<sub>x</sub> ratios were 2.2-9.6 ppbC/ppb for Tianjin (Liu et al., 2016) and 4.3-14.1 ppbC/ppb for Guangzhou (Zou et al., 2015). The ratio in this study is close to the level of high NO<sub>x</sub> condition in megacities in China.

22. Line 258: Figure 7 shows SOA measurements that are not corrected for vapor wall losses but presumably the SOA mass yields for the aromatic precursors include the effects of vapor wall losses. What is the implication of this? Discuss here.

Response: Thanks. Because the measured SOAs are not corrected for deposition of vapors on the walls, the predicted SOAs calculated by speciated single-ring aromatics including the wall loss vapor lead to overestimation of ratio of predicted SOAs to measured SOAs, indicating that the unspeciated precursors, such as IVOCs and SVOCs, might play a more important role in SOA formation from gasoline vehicle exhaust.

23. Line 264-271: Did the authors consider the detailed speciation data of Zhao et al. (2016) to model the SOA formation from S/IVOCs? Can S/IVOCs explain the unexplained SOA?

Response: Thanks for the suggestion. Zhao et al. reported that the IVOCs accounted for only 4% of NMHC emitted from gasoline vehicles, but they contributed a lot to SOA formation, as much or more than single-ring aromatics. It is a strong support to our study, and it is cited in the Line 283.

24. Line 272-276: My reading of Saliba et al. (2017) (and even May et al. (2014)) is that they do not find any differences in speciation between GDI and PFI vehicles (aromatics versus S/IVOCs) where this work does see differences in SOA production, which it then attributes to differences emissions of aromatics versus S/IVOCs. Elaborate on this discrepancy.

Response: Thanks for the suggestion. The discrepancy might be caused by following reasons: First, some S/IVOCs categories are not measured in the studies of Saliba et al. (2017) and May et al.

(2014), such as long branched and cyclic alkanes. Second, the vehicle-to-vehicle variability and fuel discrepancy might lead to difference in NMOG emissions. And the limited vehicles tested in this studies might also result in uncertainty. More vehicles will be tested and S/IVOC measurement will be included in our future studies.

25. Line 294: GDI vehicles are 25% of the on-road vehicle stock or new vehicle sales?

Response: We thank the reviewer for reminding this. The 25% refers to market share of GDI vehicles in sales. Modified as suggested.

26. Figure 2: Can you add more detail to the caption? What instrument are these data from? Are these from the CVS or chamber? Are these time-averaged? Are the particles denuded?

Response: Thanks for the advice. One sentence is added between Line 543 to Line 544: “The results are average of particle number emissions from vehicles during a whole BJC, measured by DMS500 in the CVS system. The particles were heated to 150°C in the DMS500”.

27. Figure 4: There are definitely more PAH studies that can be compared here, e.g., Hays et al. (2017).

Response: Thanks for the advice. The EFs of PAH compounds are added in Table S2. The main contributors to PAHs mass in this study is compared to the reports from study of Schauer et al. (2002) and Hays et al. (2013) between Line 181 to Line 183: “The main contributors to total PAHs mass emitted from gasoline vehicle exhaust in this study, especially from the GDI vehicle exhaust, was similar with the results reported by previous studies (Schauer et al., 2002; Hays et al., 2013)”.

28. Figure 5: This figure is misleading and needs to be redone accounting for the right OH exposures for each study since clearly some of the studies had different OH exposures for different vehicles.

Response: We thank the referee for pointing out this. The Figure 5 has been modified as follow:

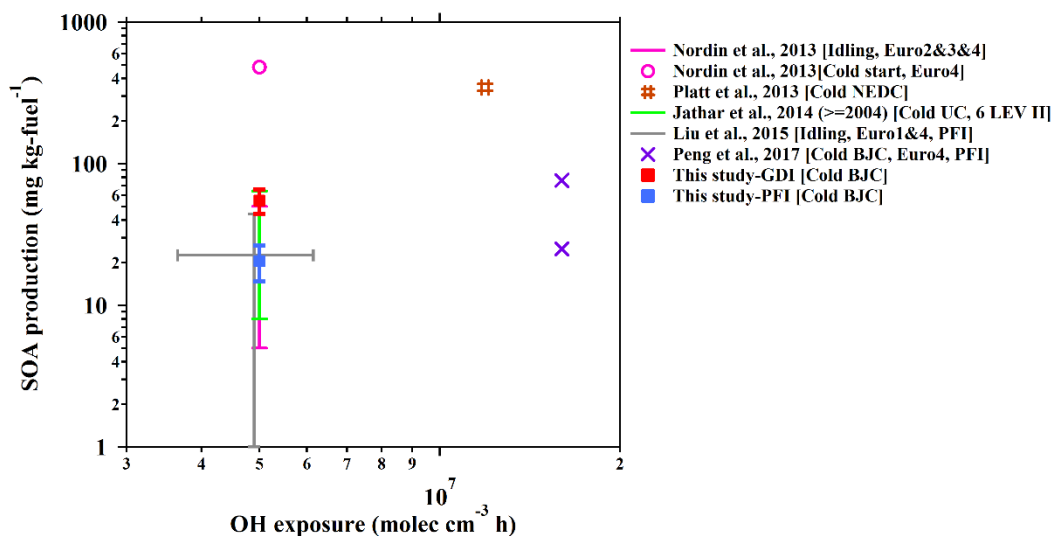


Figure 5. Fuel-based SOA production from gasoline vehicle exhaust as a function of OH exposure in the chamber simulations. The SOA production data are from published studies of chamber simulation of gasoline vehicle exhaust. From the study of Jathar et al. (2014), the SOA production of vehicles manufactured in 2004 or later (LEV II) is selected, which is a model year that is more close to those of the vehicles in this study. The error bars of previous results indicate the range of OH exposure (x axis) and SOA production (y axis) in their simulations. The driving cycles and vehicle information are also noted in the legend of each study.

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# Comparison of primary aerosol emission and secondary aerosol formation from gasoline direct injection and port fuel injection vehicles

Zhuofei Du<sup>1</sup>, Min Hu<sup>1,3\*</sup>, Jianfei Peng<sup>1†</sup>, Wenbin Zhang<sup>2</sup>, Jing Zheng<sup>1</sup>, Fangting Gu<sup>1</sup>, Yanhong Qin<sup>1</sup>, Yudong Yang<sup>1</sup>, Mengren Li<sup>1</sup>, Yusheng Wu<sup>1</sup>, Min Shao<sup>1</sup>, Shijin Shuai<sup>2</sup>

1. State Key Joint Laboratory of Environmental Simulation and Pollution Control, College of Environmental Sciences and Engineering, Peking University, Beijing 100871, China

2. State Key Laboratory of Automotive Safety and Energy, Department of Automotive Engineering, Tsinghua University, Beijing 100084, China

3. Beijing Innovation Center for Engineering Sciences and Advanced Technology, Peking University, Beijing 100871, China

<sup>†</sup> Now at Department of Atmospheric Sciences, Texas A&M University, College Station, TX 77843, US

\*Corresponding author: Min Hu, minhu@pku.edu.cn

## Abstract

Gasoline vehicles greatly contribute to urban particulate matter (PM) pollution. Gasoline direct injection (GDI) engines, known as their higher fuel efficiency than that of port fuel injection (PFI) engines, have been increasingly employed in new gasoline vehicles. However, the impact of this trend on air quality is still poorly understood. Here, we investigated both primary emissions and secondary organic aerosol (SOA) formation from GDI and PFI vehicles under urban-like condition, using combined approaches involving chassis dynamometer measurement and environmental chamber simulation. The PFI vehicle emits slightly more volatile organic compounds, e.g., benzene and toluene, whereas the GDI vehicle emits more particulate components, e.g., the total PM, elemental carbon, primary organic aerosols and polycyclic aromatic hydrocarbons. Strikingly, a much higher SOA production (by a factor of approximately 2.7) is found from the exhaust of the GDI vehicle than that of the PFI vehicle under the

same conditions. More importantly, the higher SOA production found in the GDI vehicle exhaust occurs concurrently with lower concentrations of traditional SOA precursors, e.g., benzene and toluene, indicating a greater contribution of intermediate volatility organic compounds and semivolatile organic compounds in the GDI vehicle exhaust to the SOA formation. Our results highlight the considerable potential contribution of GDI vehicles to urban air pollution in the future.

## 1 Introduction

Organic aerosols account for approximately 20-50 % of ambient fine particulate matter (PM<sub>2.5</sub>), with significant environment and health effects (Kanakidou et al., 2005). Primary organic aerosol (POA) is emitted directly by sources, while secondary organic aerosol (SOA) is mainly formed via oxidation of gaseous precursors in the atmosphere and account for about 30-90 % of the organic aerosol (OA) mass worldwide (Zhang et al., 2007; Hu et al., 2016), but SOA source remain poorly constrained. Robinson et al. (2007) proposed that low-volatility gas-phase species emitted from diesel vehicles were important sources for urban ambient SOA, which achieved better mass closure between observed and modeled SOA. Using an updated CMAQ model, Jathar et al. (2017) found that 30-40% OA was contributed from vehicles in the southern California, and half of which was SOA. Huang et al. (2014) recently revealed that 15-65 % of SOA was contributed by fossil fuel consumption (i.e., traffic and coal burning) in megacities in China. These indicated that vehicles have important contribution to ambient SOA in urban areas. An ambient organic aerosol measurement in the Los Angeles Basin demonstrated that SOA contributed from gasoline vehicles was significant in the urban air, much larger than that from diesel vehicles (Bahreini et al., 2012). Similar conclusion was reached by Hayes et al. (2013) based on mass spectrometer results. Meanwhile, several chamber simulation studies concluded that exhaust of gasoline vehicles could form substantial SOA (Jathar et al., 2014). Thus, gasoline vehicles exhaust is highly associated with ambient SOA formation.

Gasoline vehicles can be categorized into two types based on the fuel injection technologies in their engines, i.e., port fuel injection (PFI) vehicles and gasoline direct injection (GDI) vehicles. Unlike a PFI engine, in which

51 gasoline is injected into intake port, gasoline is sprayed into cylinder directly in a GDI engine. With the increased  
52 atomization and vaporization rate of fuel, and more accurate control of fuel volume and injection time, a GDI  
53 engine has many advantages, such as better fuel efficiency, lower CO<sub>2</sub> emissions and less fuel pumping loss  
54 (Alkidas, 2007; Myung et al., 2012; Liang et al., 2013). In past decades, PFI vehicles dominated the market share  
55 of gasoline cars in the world. However, in recent years, GDI vehicles have been increasingly employed, due to their  
56 higher fuel efficiency. The market share of GDI vehicles in sales in 2016 reached about 25 %, 50 % and 60 % in  
57 China, the US and Europe, respectively (Wen et al., 2016; Zimmerman et al., 2016).

58 Several previous studies investigated the emissions of GDI and PFI vehicles, in terms of concentrations of  
59 gaseous pollutants, particle numbers and mass concentrations, and evaluated the reduction of emissions with the  
60 upgrading emission standards (Ueberall et al., 2015; Zhu et al., 2016; Saliba et al., 2017). These studies show that  
61 GDI vehicles emit more primary particles than PFI vehicles (Zhu et al., 2016; Saliba et al., 2017), and even diesel  
62 vehicles equipped with diesel particulate filter (DPF) (Wang et al., 2016), which is likely due to insufficient time  
63 allowed for gasoline fuel to be mixed with air thoroughly, as well as gasoline droplets impinging onto pistons and  
64 surfaces of combustion chamber in GDI engine (Chen et al., 2017; Fu et al., 2017). However, in most studies,  
65 vehicles were tested under the driving cycles of the US or European standards, indicating that those results are not  
66 representative of China's traffic conditions.

67 SOA production from gasoline vehicle exhaust was previously simulated in smog chambers and potential  
68 aerosol mass (PAM) flow reactors. SOA formed from gaseous pollutants exceeds the related POA emissions and  
69 having much more contribution to air quality degradation. These studies mostly focused on the impacts of SOA  
70 formation by the model year (Gordon et al., 2014; Jathar et al., 2014; Liu et al., 2015), fuel formulations (Peng et  
71 al., 2017), driving cycles (including idling) (Nordin et al., 2013; Platt et al., 2013) and start-up modes of the gasoline  
72 vehicles (Nordin et al., 2013). Few studies, however, have investigated SOA formation from vehicles with different  
73 engine technologies (GDI and PFI) under the same working condition.

74 In this study, both primary emissions and secondary aerosol formation from GDI and PFI vehicles were  
75 investigated. To represent typical urban driving patterns in megacities such as Beijing, the vehicles were tested

76 using gasoline fuel meeting the China Phase V fuel standard, and were operated with the cold-start Beijing cycle  
77 (BJC). The SOA formation from both the PFI and GDI vehicle exhausts were then simulated using a smog chamber.  
78 Finally, the overall contributions of the GDI and PFI gasoline vehicles to ambient particulate matter (PM) were  
79 evaluated. This study is part of a project that investigates the relationship between vehicle (engine) emissions and  
80 ambient aerosols, including potential of SOA formation from a PFI engine (Du et al., 2017) and the effects of  
81 gasoline aromatics on SOA formation (Peng et al., 2017).

82

## 83 **2 Materials and methods**

### 84 **2.1 Vehicles**

85 One PFI vehicle and one GDI vehicle were tested in this study to investigate their primary emissions and SOA  
86 formations. In this study, the selected PFI and GDI vehicles were certified to the China Phase IV Emissions  
87 Standard (equivalent to Euro IV) and the China Phase V Emissions Standard (equivalent to Euro V), respectively.  
88 More information of the vehicles is shown in Table 1. The fuel used in the experiments was a typical Phase V  
89 gasoline on the China market (sulfur content = 6 mg kg<sup>-1</sup>). More information of the fuel is provided in Table S1 in  
90 the Supplement. Cold-start BJC, characterized by a higher proportion of idling periods and lower acceleration  
91 speeds than the New European Driving Cycle (NEDC), was performed to simulate the repeated braking and  
92 acceleration on road in megacities such as Beijing. The BJC lasted approximately 17 minutes, with a maximum  
93 speed of 50 km h<sup>-1</sup> (Peng et al., 2017).

94

### 95 **2.2 Experimental setup**

96 The chamber experiments were carried out in the summer at the State Key Laboratory of Automotive Safety  
97 and Energy of Tsinghua University in Beijing, including two experiments conducted with GDI vehicle and four  
98 experiments conducted with PFI vehicle. The tested vehicles were placed on a chassis dynamometer system (Burke  
99 E. Porter Machinery Company) with a controlled room temperature and absolute humidity of 26.4±2.5 °C and  
100 11.5±2.4 g m<sup>-3</sup>, respectively. The exhaust emitted by the vehicle tailpipe was diluted in a constant volume sampler

101 (CVS) system, where the flow was maintained at  $5.5 \text{ m}^3 \text{ min}^{-1}$  using filtered ambient air, achieving about 20 times  
102 dilution of the exhaust. Several instruments, including an AVL CEBII gas analyzer, a Cambustion Differential  
103 Mobility Spectrometer (DMS500) and a particle sampler, were connected to the CVS (detailed in Figure 1 and  
104 section 2.3) to characterize the primary gas- and particulate-phase pollutants. The diluted exhausts produced by the  
105 CVS system were injected into an outdoor chamber, where secondary aerosol formation from gasoline vehicle  
106 exhausts was simulated. This was the second dilution step of the exhausts and had a dilution factor of approximately  
107 15. A schematic illustration of the outdoor experimental setup is shown in Figure 1.

108 The photochemical oxidation experiments were carried out in a quasi-atmospheric aerosol evolution study  
109 (QUALITY) outdoor chamber. More details of the setup and performance of the QUALITY chamber were  
110 introduced by Peng et al. (2017). Prior to each experiment, the chamber was covered with a double-layer anti-  
111 ultraviolet (anti-UV) shade to block sunlight and was cleaned with zero air for about 15 h to create a clean  
112 environment. Approximately 120 ppb  $\text{O}_3$  were injected into the chamber prior to the injection of vehicle exhaust to  
113 make the oxidation environment similar to the mean  $\text{O}_3$  peak concentration in the ambient atmosphere. Before the  
114 chamber was exposed to sunlight, about 15-minute period was left to ensure that the pollutants mixed sufficiently  
115 in the chamber, then the initial concentrations were characterized in the dark. Subsequently, the anti-UV shade  
116 were removed from the chamber and photo-oxidation was initiated. A suite of high time resolution instruments was  
117 utilized to track the evolution of pollutants during the chamber experiments. Zero air was added into the chamber  
118 when sampling to maintain a constant pressure.

119

## 120 **2.3 Instrumentation**

121 Primary gases and aerosols were measured by the instruments connected to the CVS. The concentrations of  
122 gaseous pollutants, including  $\text{CO}$ ,  $\text{CO}_2$ ,  $\text{NO}_x$  and total hydrocarbon (THC) were monitored with a gas analyzer  
123 AVL Combustion Emissions Bench II (CEB II, AVL, Austria). Primary aerosols were measured with both on-line  
124 and off-line instruments. A DMS500 (Cambustion, UK) was implemented to monitor the real-time number size  
125 distribution and total number concentration of primary particles. Its sampling line was heated to maintain the

126 **temperature at 150°C**. The aerosols were also collected on Teflon and quartz filters by AVL Particulate Sampling  
127 System (SPC472, AVL, Austria) to analyze the mass, organic carbon (OC) and elemental carbon (EC) emission  
128 factors using a balance and OC/EC analyzer (Sunset Lab, USA).

129 During the chamber experiments, a suite of real-time instruments was utilized to characterize the evolutions  
130 of the gas and particulate-phase pollutants. CO analyzer, NO-NO<sub>2</sub>-NO<sub>x</sub> analyzer and O<sub>3</sub> analyzer (Thermo Fisher  
131 Scientific Inc., USA) were employed to measure the concentrations of CO, NO<sub>x</sub> (including NO and NO<sub>2</sub>) and O<sub>3</sub>,  
132 respectively. The evolutions of volatile organic compounds (VOCs) were monitored with a proton transfer reaction  
133 mass spectrometer (PTR-MS, IoniconAnalytik, Austria) (Lindinger et al., 1998). H<sub>3</sub>O<sup>+</sup> was used as the reagent ion,  
134 which reacted with the target compounds. The resulting ions were detected by a quadruple mass spectrometer.  
135 Meanwhile, the particles size distribution was characterized using a scanning mobility particle sizer system (SMPS,  
136 TSI, USA), which consisted of a differential mobility analyzer (DMA, TSI, USA) and a condensation particle  
137 counter (CPC, TSI, USA). This system can measure aerosols with a diameters ranging from 15 nm to 700 nm. A  
138 high-resolution time-of-flight aerosol mass spectrometer (HR-ToF-AMS, Aerodyne Research, USA) was applied to  
139 obtain mass concentrations and size distributions of submicron, non-refractory aerosols, including sulfate, nitrate,  
140 ammonium, chloride and organic (DeCarlo et al., 2006). Table 2 lists the instruments used to measure the primary  
141 emissions and their evolutions in the chamber experiments.

142

## 143 **3 Results**

### 144 **3.1 Primary emissions**

#### 145 **Gaseous pollutant emissions**

146 Emission factors (EFs) of CO<sub>2</sub>, THC, benzene and toluene from the GDI and PFI vehicles are listed in Table  
147 3. The EFs of CO<sub>2</sub> and THC are derived from measured concentrations in CVS, while the EFs of benzene and  
148 toluene were calculated from the initial concentrations in the chamber. The THC emission factor was reported in  
149 units of carbon mass, g C kg<sup>-1</sup>fuel<sup>-1</sup>.

150 The GDI vehicle emitted less CO<sub>2</sub> and THC than the PFI vehicle due to their different fuel injection strategies

151 and mixing features (Liang et al. 2013; Gao et al., 2015). The EF of THC from the GDI vehicle met the standard  
152 of the China Phase V Emission Standard ( $0.1 \text{ g km}^{-1}$ ), but that from the PFI vehicle was slightly beyond the standard  
153 limit. The PFI vehicle used in this study met lower emission standard (the China Phase IV), which might cause  
154 additional THC emission when compared to the China Phase V Emission Standard. In addition, BJC and NEDC  
155 were applied in this study and emission standard, respectively. More repeated braking and acceleration in BJC  
156 might cause incomplete combustion and consequently higher THC emission from the PFI vehicle in this study. As  
157 typical VOC species emitted by vehicles, benzene and toluene were measured in this study. For both vehicles, the  
158 EFs of toluene were higher than those of benzene. Consistent with the feature of THC emission, the PFI vehicle  
159 emitted more benzene and toluene than the GDI vehicle, and the enhancement of toluene was much larger than that  
160 of benzene.

161 The EFs of the gaseous pollutants in this study had similar magnitudes to those in previous studies in which  
162 gasoline vehicles met comparable levels of emission standards and were tested under cold-start driving condition,  
163 while the results in this study were slightly higher, as shown in Table 3. This difference might be because the  
164 California ultralow-emission vehicles (ULEV) (Saliba et al., 2017) and most LEV II vehicles (manufactured in  
165 2004 or later) (May et al., 2014) meet the US certification gasoline emission standards for the ULEV category,  
166 which has a lower limit of gaseous pollutants than the China Phase V Emission Standard. In addition, the different  
167 driving cycles of our study and those other studies (listed in Table 3) might be another explanation for the difference  
168 in the EFs of gaseous pollutants.

### 169 **Primary particle emissions**

170 The EFs of PM, elemental carbon (EC), POA and particulate polycyclic aromatic hydrocarbons (PAHs) are  
171 shown in Table 4. The EF of  $\text{PM}_{2.5}$  from the GDI vehicle was about 1.4 times higher than that of the PFI vehicle.  
172 Both vehicles met the China Phase V Emission Standard for PM emission ( $4.5 \text{ mg km}^{-1}$ ). The GDI vehicle emitted  
173 about 3.3 times more EC and 1.2 times more POA than the PFI vehicle. The primary carbonaceous aerosols  
174 (EC+POA) accounted for 85 % and 82 % of the PM in the GDI and PFI vehicles respectively, suggesting that  
175 carbonaceous aerosols were the major contributors in the PM from gasoline vehicles, especially for the GDI vehicle.

PAHs account for a small fraction of particulate organic matter in the atmosphere, but the molecular signature of PAHs can be utilized in source identification of vehicle emissions (Kamal et al., 2015). The GDI vehicle emitted about 1.5 times the PAHs of the PFI vehicle. The EFs of PAH compounds are listed in Table S2 in the Supplement, and details of PAHs measurement was described in Li et al. (2016). It should be noted that the PAHs were tested under warm-start cycles. A higher EF of PAHs would be obtained under cold-start cycle, since the lower temperature led to inefficient catalyst at the beginning of cold-start (Mathis et al., 2005). The main contributors to total PAHs mass emitted from gasoline vehicle exhaust in this study, especially from the GDI vehicle exhaust, was similar with the results reported by previous studies (Schauer et al., 2002; Hays et al., 2013).

The lower PM<sub>2.5</sub> and POA emissions from GDI vehicle were found in previous studies, except that a little higher PM<sub>2.5</sub> emission from GDI vehicle was illustrated in Saliba's study (Platt et al., 2013; May et al., 2014; Zhu et al., 2016; Saliba et al., 2017). The EC emissions were in the range of those of previous studies but on the lower level. The EF of the POA measured in this study was higher than those of other studies, leading to a higher OC/EC ratio, which could be attributed to the less strict emission standard of our vehicles and the different driving cycles applied in the experiments.

The bimodal number size distributions of the primary PM from the vehicles measured by the DMS500 are shown in Figure 2. The particle distributions of the exhausts of the GDI and PFI vehicles illustrated similar patterns, with two peaks located at about 10 nm for nucleation mode and at 60-90 nm for accumulation mode, respectively, which are consistent with the results of previous studies (Maricq et al., 1999; Chen et al., 2017). The particle number size distribution of the exhausts of the GDI vehicle showed a similar pattern to that of the PFI vehicle, with a much higher number concentration that is consistent with the emission of more particle mass.

### 3.2 SOA formation from gasoline vehicle exhaust

The time-resolved concentrations of gases and particles during the chamber experiments are illustrated in Figure 3. Before removing the anti-UV shade, the initial concentrations of NO<sub>x</sub>, benzene and toluene from the PFI and GDI vehicles were 80 ppb, 3 ppb, 5 ppb and 100 ppb, 4 ppb, 14 ppb respectively.



After the aging experiment started ( $t=0$  in Figure 3), NO was formed from NO<sub>2</sub> photolysis, and then reacted with O<sub>3</sub> to form NO<sub>2</sub>. The O<sub>3</sub> concentration increased rapidly to a maximum within 2-3 h and then decreased via reactions and dilution. Benzene and toluene decayed during the aging process at different rates.

New particle formation was found inside the chamber 15 minutes after the exhaust was exposed to sunlight, providing substantial seeds for secondary aerosol formation. Significant growths of particles in both size and mass were observed in the chamber, indicating that a large amount of secondary aerosol was formed during the photochemical oxidation. The chemical compositions of the secondary aerosols were measured continuously by HR-Tof-AMS. Organic was the dominant composition of the secondary aerosol, accounting for 88-95 % of the total particle mass inside the chamber (Figure S1), which is consistent with our previous research (Peng et al., 2017). The SOA mass exhibited different growth rate for the two types of vehicles. After a 4 h oxidation in the chamber, the SOA formed from the exhaust of the GDI vehicle was approximately double that of the PFI vehicle.

The solar radiation conditions significantly influenced the SOA formation. Thus, OH exposure was used to characterize the photochemical age as a normalization, instead of the experiment time. Two VOC species with noticeable differences in their reaction rate constants with OH radicals could be utilized to calculate the OH exposure ( $[OH] \Delta t$ ) based on Equation 1 (for benzene and toluene, as used in this study) (Yuan et al., 2012).

$$[OH] \Delta t = \frac{1}{k_T - k_B} \times \left( \ln \frac{[T]}{[B]} \Big|_{t=0} - \ln \frac{[T]}{[B]} \right) \quad (1)$$

where  $k_T$  and  $k_B$  are the OH rate constants of benzene ( $1.2 \times 10^{-12} \text{ cm}^3 \text{ molecule}^{-1} \text{ s}^{-1}$ ) (Yuan et al., 2012) and toluene ( $5.5 \times 10^{-12} \text{ cm}^3 \text{ molecule}^{-1} \text{ s}^{-1}$ ) (Kramp and Paulson, 1998), respectively.  $\frac{[T]}{[B]} \Big|_{t=0}$  is the concentration ratio of toluene to benzene at the beginning of the aging process, and  $\frac{[T]}{[B]}$  is their concentration ratio measured during aging process.

The SOA concentrations as a function of OH exposure are illustrated in Figure 4. Wall-loss correction and dilution correction, including both particles and gaseous pollutants, were taken into consideration in the calculation of the SOA mass concentration in the chamber. Detailed descriptions of corrections are given in the Supplement. Assuming the mean OH concentration was  $1.6 \times 10^6 \text{ molecular cm}^{-3}$  in Beijing (Lu et al., 2013), the whole aging

225 procedure in the chamber experiments was equal to a 6-10 h atmospheric photochemical oxidation. The average  
226 SOA concentrations were  $9.25 \pm 1.80$  and  $4.68 \pm 1.32 \mu\text{g m}^{-3}$  for the GDI and PFI vehicles, respectively, when the  
227 OH exposure was  $5 \times 10^6$  molecular  $\text{cm}^{-3} \text{ h}$  in the chamber. Considering the driving cycle mileage and fuel  
228 consumption, the SOA productions were  $54.77 \pm 10.70 \text{ mg kg-fuel}^{-1}$  or  $3.06 \pm 0.60 \text{ mg km}^{-1}$  for the GDI vehicle and  
229  $20.57 \pm 5.82 \text{ mg kg-fuel}^{-1}$  or  $1.55 \pm 0.44 \text{ mg km}^{-1}$  for the PFI vehicle. Compared with the PFI vehicle, the GDI vehicle  
230 exhaust exhibited a higher potential of SOA formation, even though the PFI vehicle emitted more VOCs, which  
231 are considered as dominant class of SOA precursors. This result indicates that higher concentrations of some other  
232 SOA precursors exist in the exhaust of GDI vehicles, which will be further discussed in section 3.3.

233 The results from chamber simulation of SOA formation from individual gasoline vehicles are illustrated in  
234 Figure 5. The SOA production from the both vehicles in this study is in the range of the results of previous studies  
235 (Nordin et al., 2013; Platt et al., 2013; Jathar et al., 2014; Liu et al., 2015; Peng et al., 2017). The variation of the  
236 SOA production among these studies might be caused by several factors: the model years of vehicles  
237 (corresponding to emission standards) (Nordin et al., 2013; Liu et al., 2015), their driving cycles (Nordin et al.,  
238 2013), the initial concentrations of gaseous pollutants in the chamber (Jathar et al., 2014), and the ratio of VOCs to  
239  $\text{NO}_x$  (Zhao et al., 2017) in the chamber experiments.

240 To investigate the dominant contributors to ambient PM from the GDI and PFI vehicles, Figure 6 illustrates  
241 the EFs of EC and POA as well as the production factors of SOA in this study. The SOA production from the GDI  
242 vehicle was approximately 2.7 times higher than that from the PFI vehicle. At  $5 \times 10^6$  molecular  $\text{cm}^{-3} \text{ h}$  OH exposure,  
243 the SOA/POA ratio was approximately 1. Figure 4 illustrates that the SOA production increased with  
244 photochemical age rapidly (within  $2 \times 10^7$  molecular  $\text{cm}^{-3} \text{ h}$ ). Thus, SOA would exceed POA at higher OH exposure,  
245 e.g., the SOA/POA ratio reached about 4 at  $10^7$  molecular  $\text{cm}^{-3} \text{ h}$  OH exposure, becoming the major PM contributor.  
246 In terms of the POA and EC emissions as well as the SOA formation, the GDI vehicle contributed 2.2 times more  
247 than the PFI vehicle.

248 Although particle wall-loss correction as well as particle and gas dilution corrections were considered in this  
249 study, several factors may still contribute to the uncertainties of the SOA productions. First, the deposition of semi-

volatile vapors to the chamber walls was not corrected, which may result in an underestimation of the rate of SOA production with a factor of 1.1-4.1 (Zhang et al., 2014). Second, under some ambient conditions such as severe urban haze events (Guo et al., 2014), particle mass concentrations can be as high as 200-300  $\mu\text{g m}^{-3}$ , much higher than the  $23 \pm 6 \mu\text{g m}^{-3}$  under the chamber condition in this study. High particle mass loadings are favorable for the partition of semi-volatile compounds into the particle phase, potentially increasing the rate of SOA production (Odum et al., 1996). Third, stronger partitioning of SOA precursors into the particle phase may reduce the oxidized products in the gas phase, which will potentially reduce the rate of SOA production (Seinfeld et al., 2003)

257

### 258 3.3 SOA mass closure

259 SOA production ( $\Delta\text{OA}_{\text{predicted}}$ ) estimated from VOC precursors can be defined as Eq. (2):

$$260 \Delta\text{OA}_{\text{predicted}} = \sum_i (\Delta_i \times Y_i) \quad (2)$$

261 where  $\Delta_i$  is the concentration change of precursor  $\text{VOC}_i$  measured with PTR-MS in the chamber experiments, and  
262  $Y_i$  is the SOA yield of the  $\text{VOC}_i$ . In this study, benzene, toluene, C8 benzene and C9 benzene were involved in the  
263 estimation of SOA production, and alkanes and alkenes were not considered. A recent study found that ozonolysis  
264 of alkenes from gasoline vehicle exhaust could form SOA through aldol condensation reactions (Yang et al., 2018).  
265 However, much low declines of concentrations were observed than those of aromatics during chamber experiments,  
266 so alkenes might not play significant role in SOA formation in this study.

267 The SOA yield is sensitive to  $\text{VOCs}/\text{NO}_x$  ratio (Song et al., 2005). In this study, the  $\text{VOCs}/\text{NO}_x$  ratio was in  
268 the range of 0.5-1.0  $\text{ppbC/ppb}$ , thus, the SOA formation from the vehicle exhaust was determined under high  $\text{NO}_x$   
269 conditions. The high  $\text{NO}_x$  SOA yields of benzene and toluene were taken from Ng et al. (2007). The C8 and C9  
270 benzene used the SOA yield of m-xylene from Platt et al. (2013).

271 The increased predicted SOA contribution from the VOC precursors as a function of OH exposure  
272 accumulation is demonstrated in Figure 7. At the end of the experiments, the SOA estimated from these speciated  
273 VOCs accounted for about 25 % and 53 % of the measured SOA formation from the GDI and PFI vehicle exhausts,  
274 respectively. Similar to the results of previous studies (Platt et al., 2013; Nordin et al., 2013; Gordon et al., 2014),

single-ring aromatics played an important role in the SOA formation, especially for the PFI vehicle which shows higher predicted SOA fraction.

The unpredicted fraction of the measured SOA in the chamber experiments was in the range of 47-75 %. Contributions from intermediate volatility organic compounds (IVOCs) and semivolatile organic compounds (SVOCs), e.g., long branched and cyclic alkanes and gas-phase polycyclic aromatic hydrocarbons could be a possible explanation for this underestimation. The SOA formed by oxidation of IVOCs and SVOCs is found to dominate over that from single-ring aromatics (Robinson et al., 2007; Zhao et al., 2016). The unpredicted SOA ratio exhibited a maximum value at the beginning of the experiment, indicating that the IVOCs and SVOCs with low volatilities produced SOA much more efficiently than the single-ring aromatics with high volatilities, as the first generation products of photo-oxidation of these precursors form SOA (Robinson et al., 2007).

The larger fraction of the unpredicted SOA from the GDI vehicle exhaust might be associated with higher IVOCs and SVOCs emissions. Gas-phase PAH is one of the main component of speciated IVOCs (Zhao et al., 2016). The particulate-phase PAHs from the GDI vehicle were more abundant than those from the PFI vehicle by a factor of 1.5 (section 3.1). Based on gas-particle equilibrium, this indicates that more gas-phase PAHs, including some aromatic IVOCs, might be emitted by the GDI vehicles, contributing to the SOA enhancement.

290

#### 291 **4 Discussions and conclusions**

GDI and PFI vehicles have different fuel injection technologies in their engines, which affects their emissions of gaseous and particulate pollutants. In GDI engine, the fuel is directly injected into cylinder, which benefits the fuel atomization and vaporization and provides better control of fuel volume and the combustion process (Liang et al. 2013; Gao et al., 2015). Thus, in this study, the tested GDI vehicle has higher fuel economy and lower THC emission than the PFI vehicle. However, the insufficient mixing time allowed for the fuel and air leads to incomplete combustion in the GDI engine (Fu et al., 2014). In addition, direct fuel injection leads to fuel impingement onto surfaces of combustion chamber, where liquid pools form, favoring soot-like particulate formation (Ueberall et al., 2015; Chen et al., 2017). Consequently, larger particle mass and number are emitted by

the GDI vehicle than from the PFI vehicle. The particles emitted by the GDI vehicle have higher EC mass fraction, leading to lower OC/EC ratio. The considerable particle number emitted by gasoline vehicles, especially in GDI vehicles exhaust, makes a significant contribution to particle number concentration as well as seeds for further reactions in the atmosphere, and needs to be controlled in the future emission standards.

Our results show that the GDI vehicle contributes more to both primary and secondary aerosol than the PFI vehicle, and has greater impact on environment and air quality. In recent years, the market share of GDI vehicles exerts a continuous growth in China because they provide better fuel economy and lower CO<sub>2</sub> emissions. In 2016, GDI vehicles accounted for 25 % of China's market share in sales, and this proportion is expected to reach 60 % by 2020 (Wen et al., 2016). The PM enhancement of GDI vehicles with increasing population could potentially offset any PM emission reduction benefits, including the development of gasoline emission and fuel standards and the advanced engine technologies of gasoline vehicles. Therefore, our results highlight the necessity of further research and regulation of GDI vehicles.

It should be pointed out that the SOA formation factors in this study are based on one GDI vehicle and one PFI vehicle. Some previous studies proposed that vehicles have variations even though they meet similar specification vehicles and use the same fuel (Gordon et al., 2014; Jathar et al., 2014). Thus more researches with more vehicles for each technology are needed on SOA formation from vehicle exhaust.

Primary emissions and secondary organic formation from one GDI vehicle and one PFI vehicle were investigated when driving under cold-start BJC. The primary PM emitted by the GDI vehicle was 1.4 times greater than that from the PFI vehicle and the SOA formation from the GDI vehicle exhaust was 2.7 times greater than that from the PFI vehicle exhaust for the same OH exposure. The SOA production factors were  $54.77 \pm 10.70 \text{ mg kg}^{-1} \text{ fuel}^{-1}$  or  $3.06 \pm 0.60 \text{ mg km}^{-1}$  for the GDI vehicle and  $20.57 \pm 5.82 \text{ mg kg-fuel}^{-1}$  or  $1.55 \pm 0.44 \text{ mg km}^{-1}$  for the PFI vehicle at an OH exposure of  $5 \times 10^6 \text{ molecular cm}^{-3} \text{ h}$ , which is consistent with the values seen in previous studies. Considering the higher amounts of OA derived from primary emission and secondary formation, the GDI vehicle contribute considerably more to particle mass concentrations in the ambient air than the PFI vehicle.

The SOA formation was predicted from the gaseous precursors emitted by the GDI and PFI vehicles under

325 high NO<sub>x</sub> condition. Single-ring aromatic VOCs could explain only 25-53 % of the measured SOA formation in  
326 the chamber experiments. The GDI vehicle exhibited higher fraction of unexplained SOA. More IVOCs and  
327 SVOCs were inferred as being emitted by the GDI vehicle.

328 With increasing population of GDI vehicles, any benefits of the aerosol emission reduction of gasoline  
329 vehicles are substantially offset, because GDI vehicles have significant contributions to ambient aerosols. More  
330 work is needed to improve the understanding of GDI vehicle emissions and to provide information for the  
331 regulation of gasoline vehicles.

332

333

334 *Data availability.* The data presented in this article are available from the authors upon request  
335 ([minhu@pku.edu.cn](mailto:minhu@pku.edu.cn)).

336

337

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344

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522

523 Table 1 Descriptions of the gasoline direct injection (GDI) and port fuel injection (PFI) vehicles used in the  
524 experiments.

Vehicle	Make and model	Emission standard class	Model year	Mileage (km)	Displacement (cm <sup>3</sup> )	Power (kW)	Weight (kg)
GDI	VW Sagitar	China V	2015	3000	1395	110	1395
PFI	Honda Civic	China IV	2009	42500	1799	103	1280

525

526 Table 2 Overview of all instruments used to measure the gas and particulate phase pollutants in the experiments.

Parameter	Phase	Instrument	Note
CO, CO <sub>2</sub> , NO <sub>x</sub> and total hydrocarbon (THC) concentration	Gas	Gas analyzer AVL Combustion Emissions Bench II	On-line
Aerosol number size distribution	Particle	DMS500	On-line
PM <sub>2.5</sub>	Particle	Balance (AX105DR)	Off-line
Organic carbon/Elemental carbon concentration	Particle	OC/EC analyzer	Off-line
CO concentration	Gas	48i CO analyzer	On-line
NO, NO <sub>2</sub> , and NO <sub>x</sub> concentration	Gas	42i NO-NO <sub>2</sub> -NO <sub>x</sub> analyzer	On-line
O <sub>3</sub> concentration	Gas	49i O <sub>3</sub> analyzer	On-line
VOCs concentration	Gas	Proton transfer reaction mass spectrometer (PTR-MS)	On-line
Aerosol number (mass) size distribution	Particle	Scanning mobility particle sizer (SMPS, consist of 3081-DMA and 3775-CPC),	On-line
Size resolved non-refractory aerosol	Particle	High resolution time-of-flight aerosol mass spectrometer (HR-Tof-AMS)	On-line

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Table 3 Emission factors (EFs) of gaseous pollutants from the gasoline direct injection (GDI) and port fuel injection (PFI) vehicles in

530

this study and those of previous studies.

	This study				Saliba et al., 2017		May et al., 2014	Platt et al., 2013		Zhu et al., 2016	
	GDI		PFI		GDI	PFI	PFI <sup>a</sup>			GDI	PFI
	China V		China IV		ULEV	ULEV	LEV II		Euro V	China IV	China IV
	Cold BJC				Cold UC <sup>b</sup>		Cold UC		Cold NEDC	Cold WLTC <sup>c</sup>	
	g kg- fuel <sup>-1</sup>	g km <sup>-1</sup>	g kg- fuel <sup>-1</sup>	g km <sup>-1</sup>	g km <sup>-1</sup>	g km <sup>-1</sup>	g kg-fuel <sup>-1</sup>	g kg-fuel <sup>-1</sup>	g km <sup>-1</sup>	g km <sup>-1</sup>	g km <sup>-1</sup>
CO <sub>2</sub>	3439	213	3350	283	-	-	-	-	-	187	215
	±23	±4	±24	±4							
THC	1.55	0.09	1.70	0.13	0.02	0.06	0.64	0.91-1.06	0.036-	0.05	0.03
	±0.22	±0.01	±0.19	±0.01					0.042		
Benzene	0.056	0.003	0.061	0.005	-	-	0.018	-	0.002	-	-
	±0.011	±0.001	±0.016	±0.001							
Toluene	0.101	0.006	0.220	0.017	-	-	0.026	-	0.002	-	-
	±0.004	±0.001	±0.047	±0.004							

531

<sup>a</sup> 22 PFI vehicles and 3 GDI vehicles;

532

<sup>b</sup> UC: Unified Cycle;

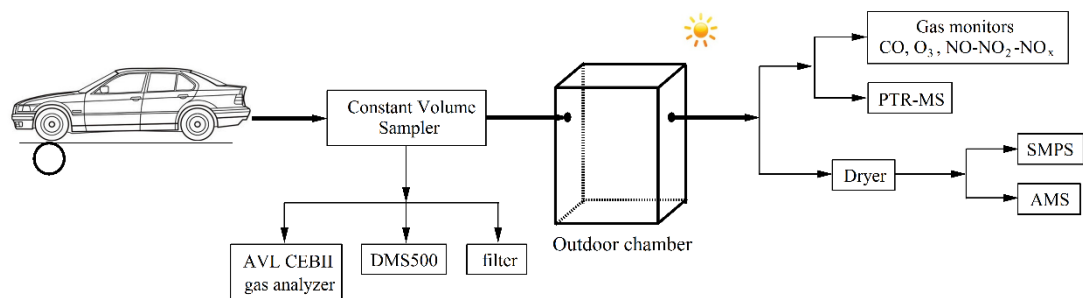
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<sup>c</sup> WLTC: Worldwide-harmonized Light-duty Test Cycle

534 Table 4 EFs of primary aerosols, including carbonaceous aerosols and particulate polycyclic aromatic hydrocarbons (PAHs) from the  
535 GDI and PFI vehicles in this study and those of previous studies.

	This study				Saliba et al., 2017		May et al., 2014	Platt et al., 2013		Zhu et al., 2016	
	GDI		PFI		GDI	PFI	PFI			GDI	PFI
	China V		China IV		ULEV	ULEV	LEV II	Euro V		China IV	China IV
	Cold BJC				Cold UC		Cold UC	Cold NEDC		Cold WLTC	
	mg kg-	mg km <sup>-1</sup>	mg kg-	mg km <sup>-1</sup>	mg km <sup>-1</sup>	mg km <sup>-1</sup>	mg kg-fuel <sup>-1</sup>	mg kg-	mg km <sup>-1</sup>	mg km <sup>-1</sup>	mg km <sup>-1</sup>
	fuel <sup>-1</sup>		fuel <sup>-1</sup>					fuel <sup>-1</sup>			
PM <sub>2.5</sub>	61.7±24.5	3.4±1.4	33.4±25.6	2.5±1.9	3.9	2.4	18.0	-	-	1.5	1.0
EC	10.7±3.6	0.6±0.2	2.4±1.6	0.2±0.1	3.0	0.6	12.2	11.2-20.0	1.2-1.7	-	-
POA	41.7±9.8	2.3±0.6	25.0±0.3	1.9±0.1	0.4	0.6	5.2	24.5-19.7	0.4-1.4	-	-
OC/EC	3.2		8.7		0.1	0.8	0.4	0.2-1.8		-	-
PAHs(×10 <sup>6</sup> )	20.4±2.1	1.1±0.1	13.2±4.1	1.0±0.3	-	-	-	-	-	-	-

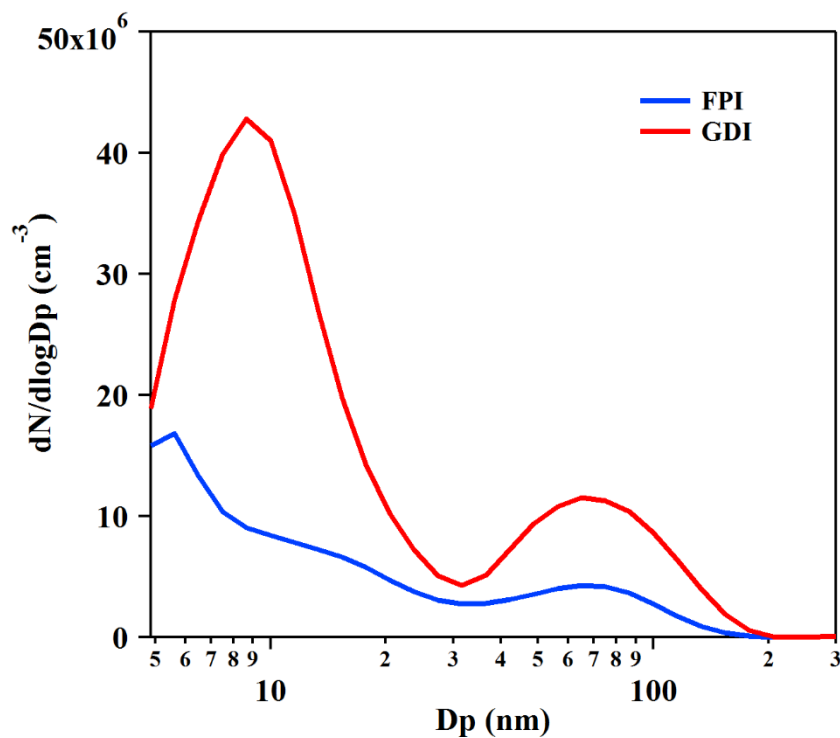
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539 Figure 1. Schematic diagram of the outdoor chamber set up for the experiments.

540



541  
 542 Figure 2. Number size distributions of primary PM emitted from the GDI (red line) and PFI (blue line) gasoline  
 543 vehicles. The results are average of particle number emissions from vehicles during a whole BJC, measured by  
 544 DMS500 in the CVS system. The particles were heated to 150°C in the DMS500.  
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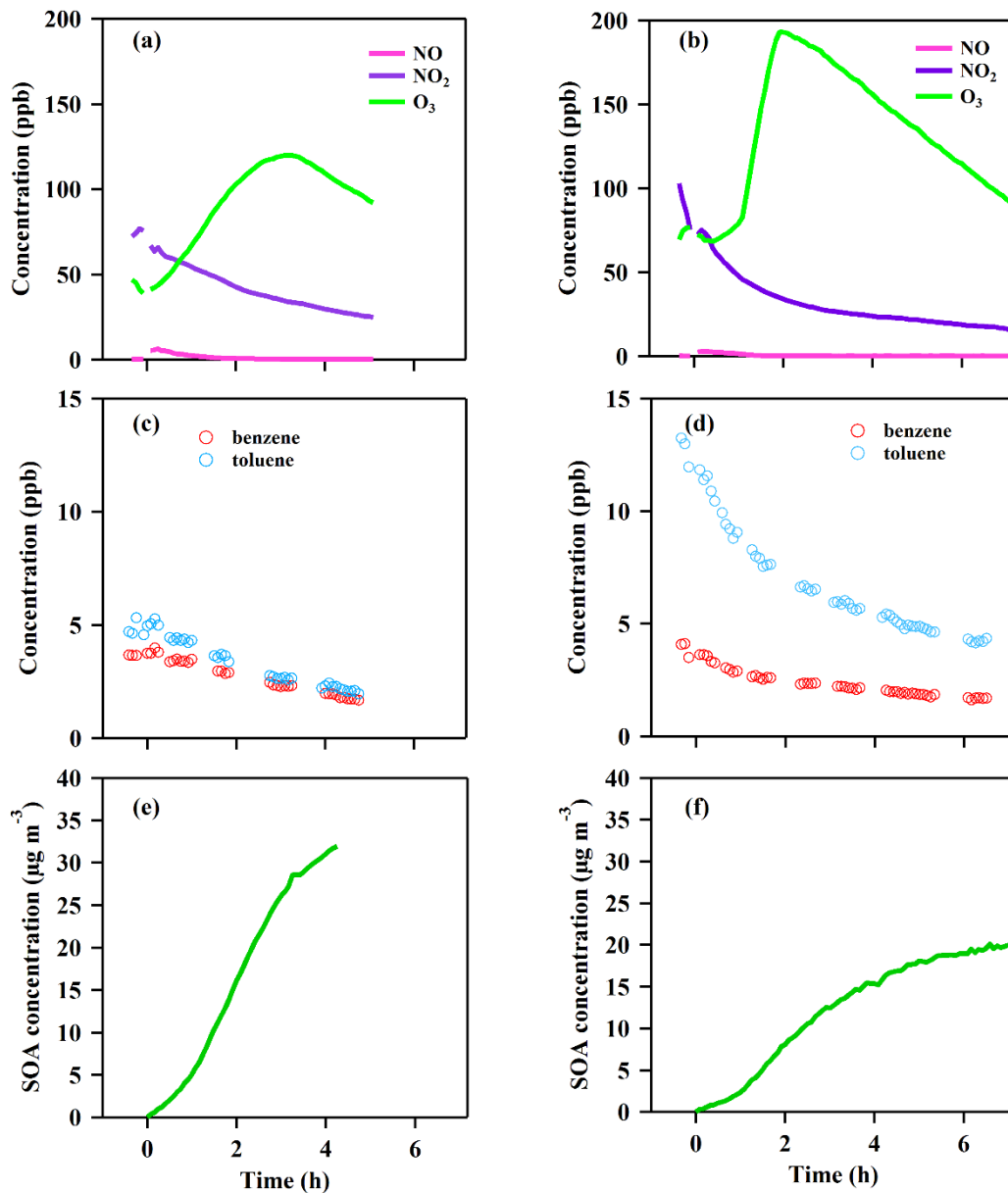
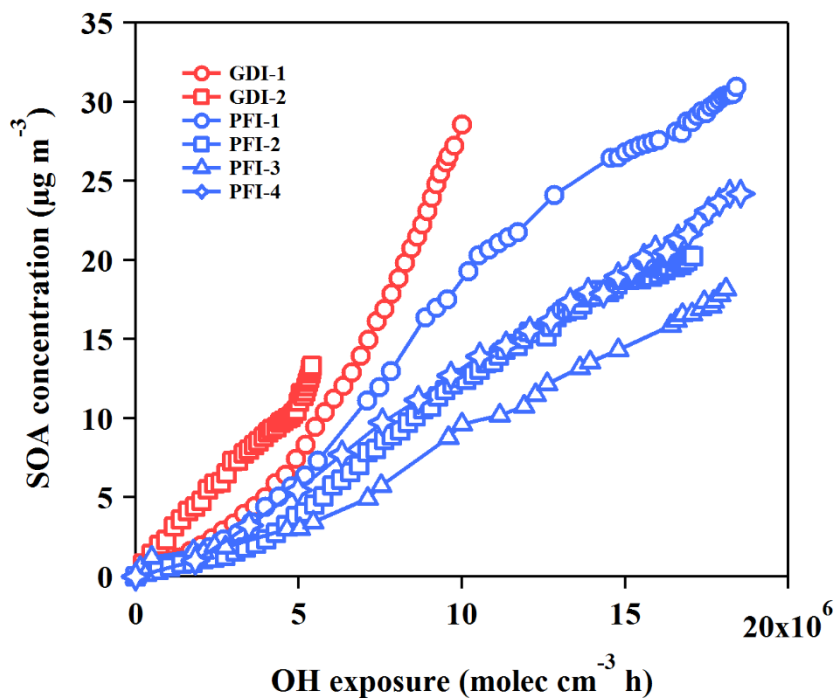


Figure 3. Time series of the gases and particle evolutions over the photochemical age in the chamber experiments from the GDI vehicle exhaust (a, c, e) and PFI vehicle exhaust (b, d, f). (a, b): NO, NO<sub>2</sub> and O<sub>3</sub> concentration; (c, d): benzene and toluene concentration; (e, f): corrected SOA concentration.



551  
 552 Figure 4. SOA productions from the GDI vehicle exhaust (red markers) and the PFI vehicle exhaust (blue markers)  
 553 as functions of OH exposure in the chamber experiments.  
 554

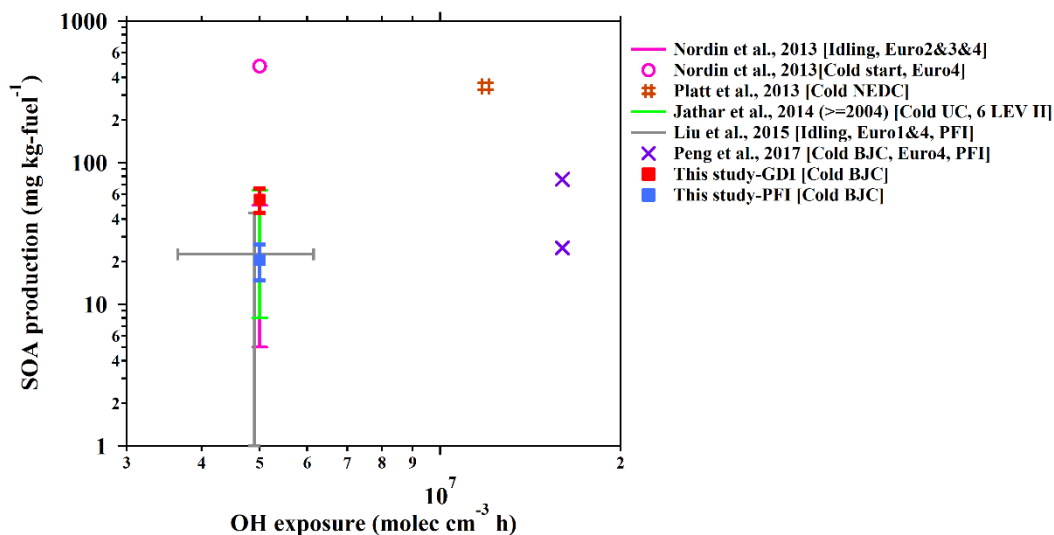
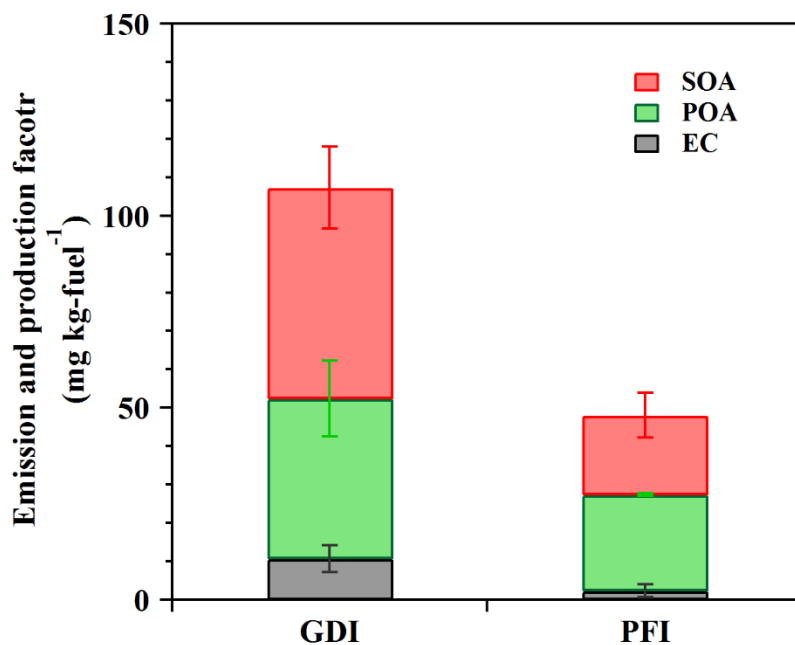
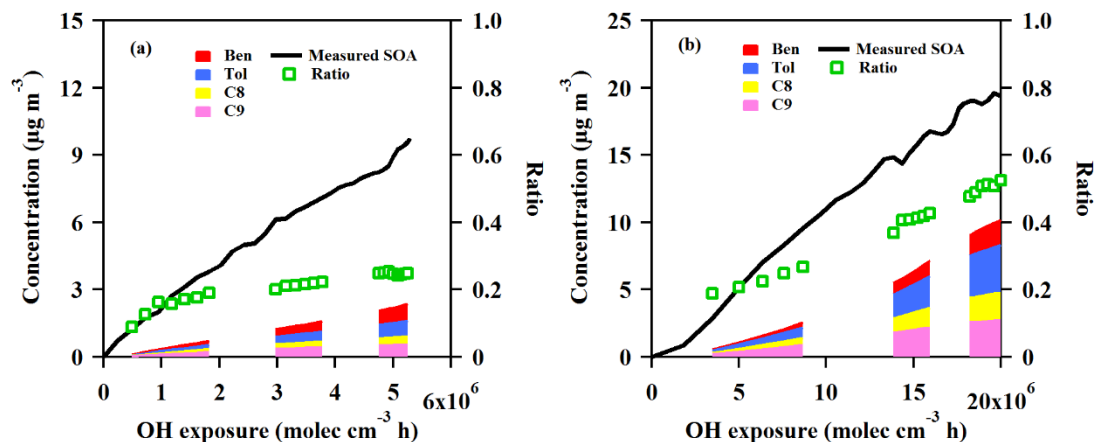


Figure 5. Fuel-based SOA production from gasoline vehicle exhaust as a function of OH exposure in the chamber simulations. The SOA production data are from published studies of chamber simulation of gasoline vehicle exhaust. From the study of Jathar et al. (2014), the SOA production of vehicles manufactured in 2004 or later (LEV II) is selected, which is a model year that is more close to those of the vehicles in this study. The error bars of previous results indicate the range of OH exposure (x axis) and SOA production (y axis) in their simulations. The driving cycles and vehicle information are also noted in the legend of each study.



563  
 564 Figure 6 EC and POA EFs as well as corrected SOA production factors from the GDI and PFI vehicle exhausts in  
 565 this study (OH exposure =  $5 \times 10^6$  molecular  $\text{cm}^{-3}$  h).  
 566





567

568 Figure 7. Measured and predicted SOA concentration as a function of OH exposure from GDI vehicle exhaust (a)  
 569 and PFI vehicle exhaust (b) in the chamber experiments. The black line is the measured SOA concentration with  
 570 wall-loss and particle dilution correction during the experiment. The red, blue, yellow and pink areas are predicted  
 571 SOA concentration estimated from benzene, toluene, C8 benzene and C9 benzene, respectively. The green markers  
 572 are the ratios of the predicted SOA to the measured SOA.

573

**Supplementary information**

**Comparison of primary aerosol emission and secondary aerosol formation from gasoline direct injection and port fuel injection vehicles**

Zhuofei Du<sup>1</sup>, Min Hu<sup>1, 3\*</sup>, Jianfei Peng<sup>1†</sup>, Wenbin Zhang<sup>2</sup>, Jing Zheng<sup>1</sup>, Fangting Gu<sup>1</sup>, Yanhong Qin<sup>1</sup>, Yudong Yang<sup>1</sup>, Mengren Li<sup>1</sup>, Yusheng Wu<sup>1</sup>, Min Shao<sup>1</sup>, Shijin Shuai<sup>2</sup>

1. State Key Joint Laboratory of Environmental Simulation and Pollution Control, College of Environmental Sciences and Engineering, Peking University, Beijing 100871, China

2. State Key Laboratory of Automotive Safety and Energy, Department of Automotive Engineering, Tsinghua University, Beijing 100084, China

3. Beijing Innovation Center for Engineering Sciences and Advanced Technology, Peking University, Beijing 100871, China

<sup>†</sup> Now at Department of Atmospheric Sciences, Texas A&M University, College Station, TX 77843, US

\*Corresponding author: Min Hu, minhu@pku.edu.cn

## Data correction

Wall-loss correction as well as particle and gas dilution corrections were considered in this study. The details of wall-loss correction are introduced by Du et al. (2017). The real-time instruments sampled from the chamber during the whole photo-oxidation experiment, and zero air was added to maintain a constant pressure inside the chamber. This led to particle dilution that the sampled particles would not be included in the subsequent measurement, and gas dilution that the sampled gas would not participate in the subsequent photo-oxidation reaction and SOA formation. The particle dilution corrected mass concentration  $C_{corr,n+1}$  could be calculated as:

$$C_{corr,n+1} = C_{n+1} + \sum_{i=1}^n (k_{wall} \times C_i) + \sum_{i=1}^n (k_{dilu,i} \times C_i) \quad (1)$$

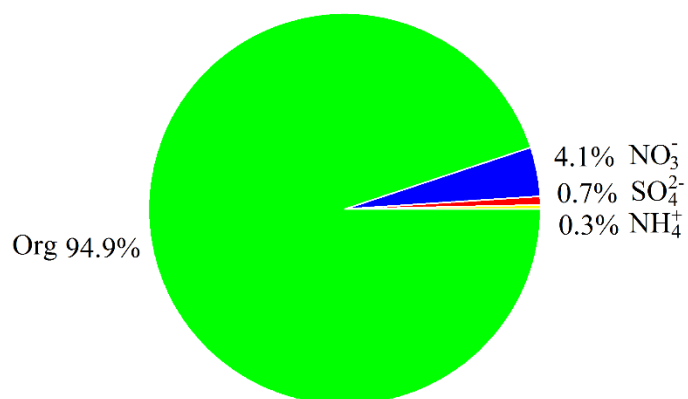
where  $C_{n+1}$  was the measured particle mass concentration at time n+1,  $k_{wall}$  was the wall loss decay constant and  $k_{dilu,i}$  was dilution ratio at time i.

Then the gas dilution was taken into consideration. The final particle mass concentration  $C_{final,n+1}$  could be calculated as:

$$C_{final,n+1} = C_{corr,n+1} - C_1 + \sum_{i=1}^n (\sum_{j=1}^{n+1} k_{dilu,i}) \times (C_{corr,n+1} - C_{corr,n}) \quad (2)$$

## References

Du, Z., Hu, M., Peng, J., Guo, S., Zheng, R., Zheng, J., Shang, D., Qin, Y., Niu, H., Li, M., Yang, Y., Lu, S., Wu, Y., Shao, M., and Shuai, S.: Potential of secondary aerosol formation from Chinese gasoline engine exhaust, Journal of environmental sciences, in press.

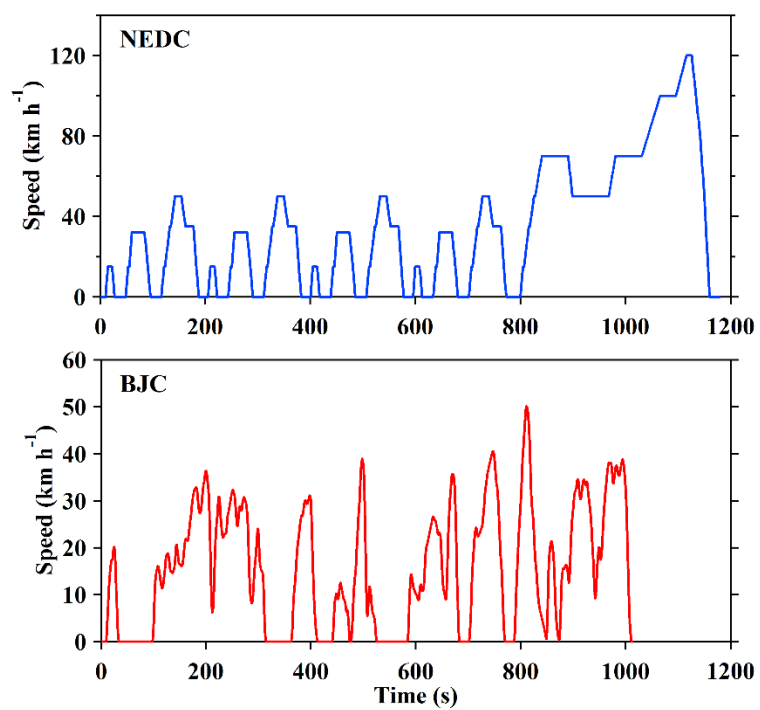


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42 Figure S1. Chemical composition of secondary aerosol formed in the chamber experiment

43 (Experiment GDI-1).

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47 **Figure S2. Speed profiles of NEDC and BJC driving cycle.**

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49 Table S1 Details of the fuel used in the experiments.

Specifications	Fuel
Density (g mL <sup>-1</sup> )	0.7
Rvp (kPa)	55.4
Aromatics (% v/v)	36.7
Olefin (% v/v)	15.4
Ethanol (% v/v)	0.01
Oxygen (% m/m)	0.02
Mn (mg kg <sup>-1</sup> )	< 0.1
Sulfur (mg kg <sup>-1</sup> )	6
T10 (°C)	55.4
T50 (°C)	109.9
T90 (°C)	164.3
Fbp (°C)	194.4

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Table S2 The EFs of Particulate-phase PAHs from GDI and PFI vehicles.

Compound	Emission factor (ng kg-fuel <sup>-1</sup> )	
	GDI	PFI
Napthalene	0.025	<0.0001
1-Methylnaphthalene	<0.0001	<0.0001
2-Methylnaphthalene	0.012	0.004
2,6-Dimethylnaphthalene	0.006	0.003
Acenaphthylene	0.012	0.009
Acenaphthene	<0.0001	0.015
Fluorene	0.003	0.105
Methyl-fluorene	0.083	0.105
Dibenzofuran	0.006	0.039
Retene	0.009	0.011
9-Methylanthracene	<0.0001	0.013
Phenanthrene	0.244	0.069
Anthracene	0.048	0.018
Fluoranthene	0.201	0.034
Pyrene	0.246	0.029
Methyl-fluoranthene	0.004	0.007
Benzo[a]anthracene	0.006	0.036
Chrysene	0.020	0.065
Methyl-chrysene	<0.0001	0.007
Benzo[b]fluoranthene	0.034	0.147
Benzo[k]fluoranthene	0.041	0.129
Benzo[e]pyrene	0.028	0.051
Benzo[a]pyrene	0.012	0.041
Benzo[ghi]fluoranthene	0.095	0.027
Cyclopenta[cd]pyrene	<0.0001	0.032
Dibenzo[a,h]anthracene	<0.0001	<0.0001

Picene	<0.0001	<0.0001
Perylene	0.009	<0.0001
Benzo[ghi]perylene	<0.0001	<0.0001
Indeno[1,2,3-cd]pyrene	<0.0001	<0.0001
Coronene	<0.0001	<0.0001
Sum PAHs	1.144	0.994