1	Increasing persistent hazes in Beijing: potential impacts of weakening East Asian winter
2	monsoons associated with northwestern Pacific sea surface temperature trends
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11 Abstract:

12 Over the past decades, Beijing, the capital city of China, has encountered increasingly frequent 13 persistent haze events (PHE). While the increased pollutant emissions are considered as the most 14 important reason, changes in regional atmospheric circulations associated with large-scale climate 15 warming also play a role. In this study, we find a significant positive trend of PHE in Beijing for the winters from 1980-2016 based on updated daily observations. This trend is closely related to 16 17 an increasing frequency of extreme anomalous southerly episodes in North China, a weakened 18 East Asian trough in the mid-troposphere, and a northward shift of the East Asian jet stream in the 19 upper troposphere. These conditions together depict a weakened EAWM system, which is then 20 found to be associated with an anomalous warm and high-pressure system in the mid-lower 21 troposphere over the northwestern Pacific. A practical EAWM index is defined as the seasonal 22 meridional wind anomaly at 850 hPa in winter over North China. Over the period 1900-2016, this 23 EAWM index is positively correlated with the sea surface temperature anomalies over the 24 northwestern Pacific, which indicates a wavy positive trend, with an enhanced positive phase 25 since the mid-1980s. Our results suggest an observation-based mechanism linking the increase in 26 PHE in Beijing with large-scale climatic warming through changes in the typical regional 27 atmospheric circulation.

28

## 30 1 Introduction

31 In the past decades, pollutant emissions have considerably increased in China because of rapid economic development (Guo et al., 2011; Zhou et al., 2010). The capital city Beijing encountered 32 increasingly severe hazes, especially in winter (Niu et al., 2010; Ding and Liu, 2014; Chen and 33 34 Wang, 2015; Wang et al., 2015). Notable is the increasing tendency of persistent haze events (PHE) during the past decades (Zhang et al., 2014; Wu et al., 2017). Severe haze with a high 35 36 concentration of fine particles such as  $PM_{2.5}$  not only leads to a sharp decrease in visibility, 37 causing traffic hazards and disruptions, and, hence, affecting economic activities (Chen and Wang, 2015; Li et al., 2016; Huang et al., 2014), but also induces serious health problems such as 38 39 respiratory illnesses, heart disease, premature death and cancers (Pope and Dockery, 2006; Wang 40 and Mauzerall, 2006; Hou et al., 2012; Sun et al., 2013; Xie et al., 2014; Gao et al., 2015). PHE 41 would aggravate these serious consequences. From 14-25 January 2013, eastern China was hit by 42 a severe haze event affecting about 800 million people, while Beijing reached its highest level of 43 air pollution on record, with the announcement of the first orange haze alert (Sun et al., 2014; 44 Zhang et al., 2014). In this period, about 200 cases of premature death, and thousands of cases of 45 hospital admissions for respiratory, cardiovascular and asthma bronchitis diseases were found to 46 be associated with the high level of PM 2.5 (Xu et al., 2013). Correspondingly, this event resulted 47 in health-related economic losses amounting to about 489 million RMB (~80 million USD). As 48 both government bodies and the general public have paid extensive attention to the issue of haze, 49 in particular PHE, detailed studies on the characteristics and the underlying reasons of the 50 increasing occurrence of PHE around Beijing are urgently needed.

Many studies have suggested that the increased emissions of pollutants into the atmosphere 51 52 because of rapid economic development and urbanization in China are the main cause of the 53 increasing number of haze days (Liu and Diamond, 2005; Wang et al., 2013; Wang et al., 2014). 54 Zhang et al. (2013) suggest that the chemical constituents and sources of PM<sub>2.5</sub> in Beijing can 55 largely vary with season, depending on the meteorology and diverse air-pollution sources. For pollution in Beijing, vehicles, coal combustion and cross-regional transport are equally important 56 sources of PM<sub>2.5</sub> (He et al., 2013). Nevertheless, specific meteorological conditions play a key role 57 58 in forming a haze weather phenomenon (Chen and Wang, 2015; Li et al., 2016; Huang et al., 2014; 59 Tang et al., 2015; Zhang et al., 2015). The meteorological conditions of the severe haze event in 60 January 2013 in eastern China were closely related to a weak East Asian winter monsoon (EAWM) 61 in January, including anomalous southerly flow in the mid-lower troposphere, weakened surface 62 wind speeds, a reduction of the vertical shear of horizontal winds, and anomalous near-surface temperature inversion (Zhang et al., 2014). By analyzing the haze episode from 21-26 October 63 64 2014, Zhu et al. (2016) found that the severe air pollution in Beijing was formed by southerly 65 transport and strengthened by local contributions. Conducive meteorological conditions around 66 Beijing include an inversion in the atmospheric boundary layer, weak wind speeds near the surface, 67 and sufficient moisture in the air (Liao et al., 2014). Wu et al. (2017) have categorized two types

of circulation conditions during PHE in the Beijing–Tianjin–Hebei region: the zonal westerly type and the high-pressure ridge type, giving rise to descending air in the mid-lower troposphere, thus leading to a reduced boundary-layer height, with a higher concentration of pollutants near the surface. While these studies have explored ambient conditions in case studies, the large-scale atmospheric circulation background of PHE around Beijing remains unclear from the perspective of long-term climate change.

74 Recently, the role of underlying climatic factors in modulating regional weather conditions in 75 association with severe haze events has been reported, and is expected to have influenced the 76 change in the severity of hazes (e.g., Niu et al., 2010; Wang et al., 2015; Cai et al., 2017; Zou et al., 77 2017; Yin and Wang, 2017). These climatic factors include a weakened EAWM system and the 78 associated decreased near-surface wind speeds (Niu et al., 2010) and increased relative humidity 79 in the region (Chen and Wang, 2015), reduced Arctic sea ice (Wang et al., 2015), and anomalous 80 sea surface temperature (SST) in the subtropical western Pacific (Yin and Wang, 2016). The 81 observed negative trend of the EAWM during the past few decades caused significantly reduced wind speeds over North China, subdued atmospheric transport of pollutants, and hence contributed 82 83 to the increasing number of haze days (Niu et al., 2010; Huang et al., 2012; Li et al., 2016). The 84 latest studies have analyzed the possible influences of anthropogenic climate change on haze 85 occurrences (e.g. Cai et al., 2017; Zou et al., 2017; Yin and Wang, 2017). Based on historical and 86 future climate simulations, Cai et al. (2017) suggested that anthropogenic greenhouse gas 87 emissions and the associated changes would increase the occurrences of weather conditions conducive to Beijing winter severe haze. Zou et al. (2017) indicated that the unprecedented severe 88 89 haze event in January 2013 resulted from the extremely poor ventilation conditions in eastern 90 China, which is linked to Arctic sea ice loss and extensive Eurasian snowfall. However, the 91 connection of the underlying climatic factors to the changes in PHE around Beijing remains 92 unclear, especially on long-term (multidecadal to centennial) timescales, and, specifically, the 93 extent to which large-scale climate change may have contributed to the local pollution changes in 94 Beijing in the last decades.

95 In this paper, we investigate the climatology of PHE in Beijing for the winter monsoon season 96 including long-term changes in PHE connected with large-scale climate change. First, based on 97 updated daily observations, we examine the increase in PHE in Beijing from 1980 to 2016. We 98 then analyze the associated changes in atmospheric circulation, especially those connected with 99 the EAWM, and explore a relationship between the EAWM and sea surface temperature anomalies 100 (SSTA) over the northwestern Pacific for the centennial period 1900–2016. Finally, we propose a 101 mechanism linking the large-scale climate warming, the weakening EAWM and the positive trend 102 of PHE in Beijing. We describe the data and methods used in Sect. 2, and demonstrate the changes 103 of PHE in Beijing in the past decades, the associated changes of climatic conditions related to the 104 EAWM system, and the connection between the EAWM and SSTA over the northwestern Pacific 105 since 1900 in Sect. 3, with a discussion and summary given in Sect. 4.

## 106 2 Data and methods

## 107 2.1 Definition of a haze day,

Haze is a multidisciplinary weather phenomenon defined by different variables depending on the 108 branch, e.g., visibility and humidity in meteorology, and PM<sub>2.5</sub> concentration in the environmental 109 110 sciences. In meteorology, haze is usually defined based on the observations of relative humidity and visibility with specified criteria depending on the organization (e.g., the World Meteorological 111 Organization and the UK Met Office) or the empirical analyses (e.g., Schichtel et al., 2001; Doyle 112 and Dorling, 2002; Wu, 2006; Vautard et al., 2009; Ding and Liu, 2014). In China, the standard 113 observational procedures and criteria of a weather phenomenon record of 'haze' were not unified 114 115 until around 2000, so that the weather phenomenon observation record cannot be directly used in climate research (Wu et al., 2009). In contrast, the observations of visibility and humidity were 116 117 quite evenly distributed over a longer temporal range, which enables the establishment of 118 long-term time series of haze. In general, a haze day should be of a weather phenomenon record of 119 'haze' with visibility<10km and relative humidity<90%. There were mainly three methods for 120 defining a haze day, which are based on these criteria with any single observation beyond the 121 criteria in the day, the daily mean and the observation at 14:00PM, respectively. Wu et al. (2014) 122 have suggested that the calculation based on the daily mean criteria involves more widespread and 123 lasting haze processes, while that based on records at 14:00PM neglects haze events with poor 124 visibility caused by the rise in humidity in the morning and night. Therefore, in this study, a haze 125 day is defined if a haze weather phenomenon is recorded with daily mean visibility < 10 km, and 126 daily mean relative humidity < 90%. Persistent haze events are defined here as haze events recorded at more than one site in the region for four consecutive days in Beijing. The number of 127 persistent haze days is calculated as the sum of the days during a particular event. 128

129 The meteorological data used here are from the quality-controlled station observations collected at 130 the National Meteorological Information Center of China, including the relative humidity, visibility, and weather phemomenon records. The data include four observations per day at 02:00, 131 08:00, 14:00, and 20:00 local time (LT). Consecutive records during the winters (December, 132 133 January and February, DJF) from 1980 to 2016 at 20 stations in Beijing are used. For example, the winter of 1980 refers to the average of December 1980, January 1981 and February 1981. The 134 visibility data at stations were obtained in different ways before and after 2013. Before 23 January 135 136 2013, the visibility was measured for meteorological purposes as a quantity estimated by a human observer. Since then, the observations of visibility have been transformed to instrumental 137 measurements of the meteorological optical range (MOR). MOR is defined as the length of the 138 139 path in the atmosphere required to reduce the luminous flux of a collimated beam from an incandescent lamp at a color temperature of 2700 K to 5 percent of its original value; the luminous 140 141 flux is evaluated by means of the photometric luminosity function of the International 142 Commission on Illumination (WMO, 2008). According to the theoretical calculation (WMO, 1990; 143 2008), the transformation formula between the visual estimate  $VIS_{Observer}$  and the instrumental 144 measurement  $VIS_{Instrumental}$  is

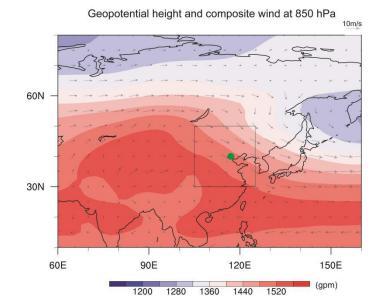
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$$\frac{VIS_{Instrumental}}{VIS_{Observer}} = \frac{(1/\kappa) \times \ln(1/0.05)}{(1/\kappa) \times \ln(1/0.02)} \approx 0.766,$$
 (1)

146 where  $\kappa$  is the extinction coefficient. As it is necessary to adjust these data and maintain their 147 consistency before analysis, the visibility observations from 2013–2016 are transformed to be 148 comparable with the earlier visual estimations.

#### 149 **2.2** Global climate observations

The daily and monthly data of wind speed, geopotential height, specific humidity, sea level 150 pressure and air temperature from the NCEP/NCAR (National Centers for Environmental 151 Prediction/National Center for Atmospheric Research) for the period of 1980-2017 at 2.5° 152 153 resolution (Kalnay et al., 1997) are used for the analysis of atmospheric conditions during PHE. 154 We also use the monthly data of meridional wind at 850 hPa for the period 1900–2010 from the 155 20th Century Reanalysis (20CR) version 2 at 2 °resolution (Compo et al., 2011), and the European Centre for Medium-Range Weather Forecasts Atmospheric Reanalysis (ECMWF) of the 20th 156 Century (ERA-20C) at 1 ° grid resolution (Poli et al., 2016). The monthly SST data used are from 157 the Hadley Center Sea Ice and Sea Surface Temperature (HadISST) dataset version 1.1 at 1° 158 resolution (Rayner et al., 2003) for the period 1900-2016. 159

160 The dominant feature of the winter monsoon over East Asia is the northwesterly wind direction in 161 the lower troposphere (Fig. 1). During severe haze, northwesterly flow from the near-surface to 162 mid-lower troposphere weakens, or even reverses to a southerly direction, indicating a weak 163 EAWM system (Niu et al., 2010). The daily meridional wind anomaly at 850 hPa over eastern China was found to be critical to the accumulation of PM<sub>2.5</sub> in Beijing (Cai et al., 2017). Here, we 164 165 define a practical index for assessing the EAWM strength as the seasonal mean meridional wind 166 anomaly at 850 hPa during winter over the region (30 °-50 °N, 105 °-125 °E) as outlined in Fig. 1. 167 This seasonal anomaly (Iw) is calculated with respect to the climatological mean level (Iwmean) 168 from 1981 to 2010. An extreme anomalous southerly day is so defined if the daily meridional 169 wind anomaly exceeds  $2\sigma$  (the standard deviation of the *Iw* series) beyond *Iwmean*, representing 170 an unusually weak winter monsoon weather condition.



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Fig. 1. Climatological mean of geopotential height (shading, units: gpm) and composite wind
speed (vectors) at 850 hPa in winter over East Asia during 1980–2016. The outlined region
(30 °-50 ° N, 105 °-125 ° E) is used to calculate a regional mean climatological background
around Beijing (green dot, 40 °N, 117 °E).

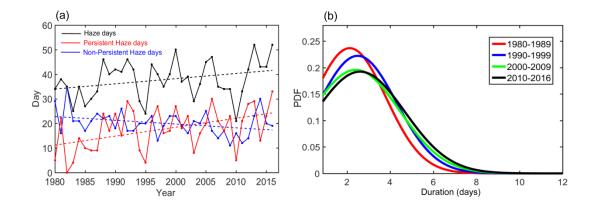
## 176 2.3 Ensemble Empirical Mode Decomposition

In this study, the ensemble empirical mode decomposition (EEMD) method is applied to separate 177 the multidecadal-to-centennial timescale variations of SSTA time series over the northwestern 178 179 Pacific. The EEMD method is an adaptive time-frequency, data analysis technique developed by 180 Wu et al. (2007) and Wu and Huang (2009). It is an efficient way to separate specific timescale variations in the original data series. The EEMD method is a refinement of the empirical mode 181 182 decomposition (EMD) method, which emphasizes the adaptiveness and temporal locality of the 183 data decomposition (Huang et al. 1998; Huang and Wu 2008). With the EMD method, any complicated data series can be decomposed into a few amplitude-frequency-modulated oscillatory 184 185 components called intrinsic mode functions (IMF) at distinct timescales.

The main steps of the EEMD analysis are as follows (Qian, 2010): (1) add a random white noise series with an amplitude of 0.2 times the standard deviation of the data to the target time series to provide a relatively uniform and high-frequency extreme distribution, allowing the EMD method to avoid the effect of potential intermittent noise in the original data; (2) decompose the data with the added white noise into IMFs using the EMD method; (3) repeat steps 1–2 for 1000 times, but with distinct random white noise series added each time; (4) obtain the mean IMF of the 1000 ensemble results to produce the final result. With the EEMD method, the SSTA series over the northwestern Pacific (120°-150°E, 26°-40°E)
in winter during 1900-2009 is decomposed into a nonlinear secular trend and five major
timescales of IMF (figure not shown). The multidecadal variability is represented by the fifth IMF,
with an oscillatory period between half and one century.

197 **3 Results** 

#### 198 3.1 Increasing persistent haze events in Beijing from 1980 to 2016



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Fig. 2. (a) Number of haze days (black), persistent haze days (red) and non-persistent haze days (blue) in winter in Beijing from 1980 to 2016. Dashed lines show the least-squares trends. (b) Probability distribution function (PDF) of the duration (days) of haze events in Beijing for each decadal period from 1980 to 2016.

204 The number of haze days in Beijing exhibits a large inter-annual variability with a non-significant 205 positive trend (black curve in Fig. 2a) consistent with previous studies (e.g., Chen and Wang, 206 2015). However, the number of persistent haze days (red curve) in Beijing exhibits a significant positive trend, while that of the non-persistent haze days (blue curve) exhibits a significant 207 negative trend, both at the 0.05 level. Figure 2b shows the duration of haze events in Beijing have 208 209 changed in this period, with most haze events lasting for about 3 days. The largest shift in the 210 maximum of the PDF occurred from the 1980s to the 1990s, with a higher probability of events 211 with durations longer than 3 days. Since then, the maximum of the PDF has decreased with 212 increasing probability of persistent haze events longer than 4 days. These results show that it is the number of persistent haze days that has been increasing from 1980 to 2016 and the duration of 213 214 haze events tends to get longer over the last decades.

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# 216 **3.2** Meteorological conditions for persistent haze events

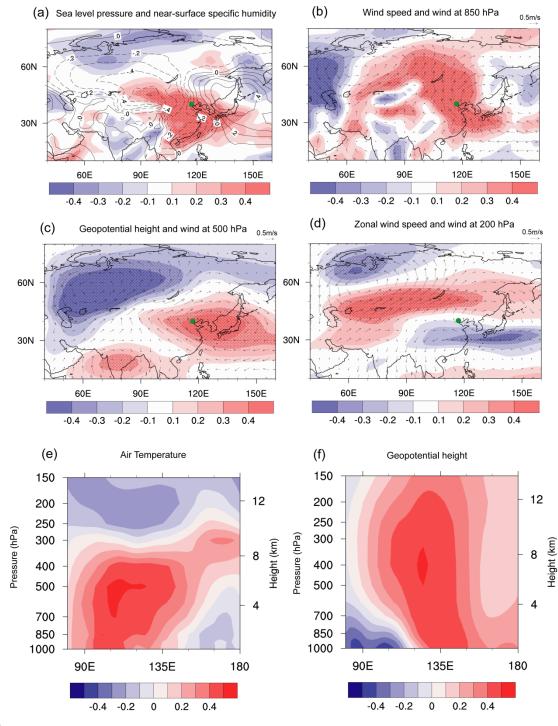




Fig. 3. The correlation coefficients between the number of persistent haze days and (a) specific humidity at 1000 hPa (shading) and sea level pressure (contour); (b) composite wind speed (shading) and in addition the composite wind (vectors) at 850 hPa; (c) geopotential height (shading) and in addition the composite wind (vectors) at 500 hPa; (d) zonal wind speed (shading) and in addition the composite wind (vectors) at 200 hPa; (e) vertical profile of air temperature at 40 °N; and (f) vertical profile of geopotential height at 40 °N in winter

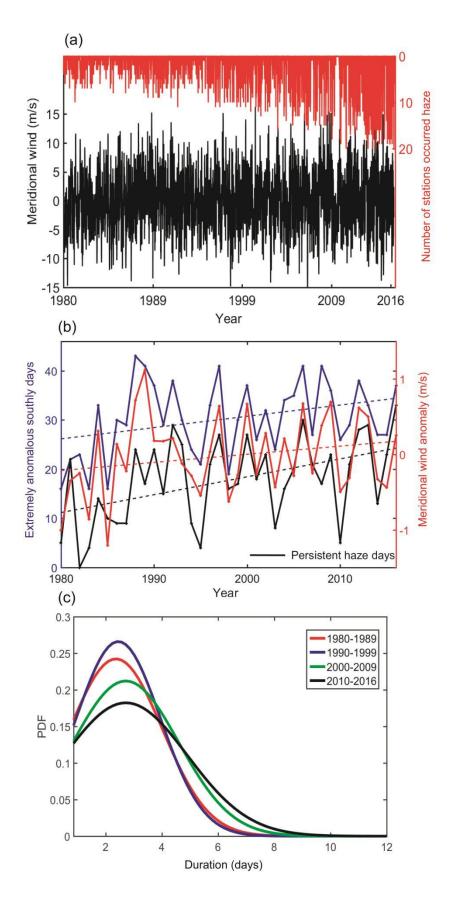
# from 1980 to 2016 based on the monthly NCEP/NCAR reanalysis data. The linear trend is removed before calculating the correlation. The black dots indicate significant changes at the 0.05 level using the t-test. The green dot denotes the location of Beijing (40 °N, 117 °E).

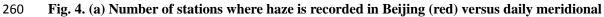
227 Figure 3 depicts correlation coefficients between anomalous variables of atmospheric circulation 228 from the near-surface to upper troposphere, and the number of persistent haze days in Beijing in 229 winter from 1980–2016. In the lower troposphere (Fig. 3a), most of China is covered by an 230 anomalous low-pressure system adjacent to an anomalous high over the northwestern Pacific, 231 suggesting weaker-than-usual northerly winds from the mid-high latitudes. Consequently, North 232 China is covered by widespread anomalous southerlies, implying significant weakening of the 233 northerly winds, or even reversal to a southerly flow in the region (Fig. 3b). The southerly 234 anomalies over eastern China are favorable for the transport of warm, moist air from the southern 235 to the northern part of eastern China, creating favorable humidity conditions for the occurrence of 236 haze (Fig. 3a). At 500 hPa, East Asia is mainly dominated by an anomalous high (Fig. 3c), 237 representing a shallow East Asian trough. The associated northwesterly wind exists to the north rather than the south of Beijing, limiting the cold and dry northwesterly flow to Beijing, as well as 238 239 reducing wind speeds in Beijing, unfavorable for the clearance of pollutant. At the upper 240 troposphere at 200 hPa, the East Asian jet stream shifts northwards (Fig. 3d) with enhanced zonal 241 circulation in the high latitudes (north of 45 ° N) and weakened meridional circulation over East 242 Asia. This pattern indicates weak cold-air activities around Beijing. The decreased zonal wind 243 speed in the middle latitudes favors the maintenance and enhancement of the pollutant convergence needed for the occurrence of haze. The weakened EAWM system (e.g. Niu et al., 244 245 2010; Wang and He, 2013; Chen and Wang, 2015) was responsible for these changes favorable for 246 the formation of PHE in Beijing. As shown in Fig. 3e and f, a system with an anomalously warm 247 temperature and high geopotential height from 850 hPa to 300 hPa is located over the 248 northwestern Pacific (40 °N, 120 °-150 °E). The anomalous warm air in the mid-lower troposphere facilitates a crucial thermodynamic mechanism limiting the vertical transport of aerosol particles 249 250 within the boundary layer because of increased stability, which is favorable for the trapping of 251 pollution and moisture in the atmospheric boundary layer in the region around Beijing. Such an 252 anomalous vertical structure also causes descending motion in the mid-lower troposphere, giving 253 rise to a reduction in the height of the atmospheric boundary layer, and enhancement of the pollutant convergence in the region. In short, all of these related atmospheric circulation anomalies 254 255 are favorable for the maintenance and development of PHE in Beijing.

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**3.3** Variations of meridional wind anomaly at 850 hPa and the relationship with persistent

258 haze events



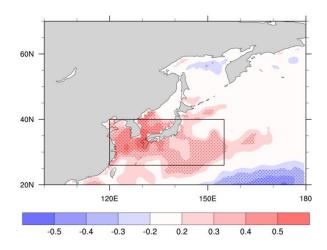


wind at 850 hPa over the study region (30 °-50 ° N, 105 °-125 ° E) (black) in winter from
1980-2016. (b) Meridional wind anomaly (red), number of extremely anomalous southerly
days (blue) and persistent haze days (black) in winter from 1980-2016. Dashed lines show
the least-squares trends. (c) The PDF of the duration (days) of extremely anomalous
southerly episodes for each decade from 1980-2016.

A weakening EAWM system is anticipated regarding the changes of meteorological conditions in Fig. 3 as partly discussed in previous studies (e.g., Niu et al., 2010; He et al., 2013; Wang and He, 2013; Yin and Wang, 2016). During PHE, the northerly winter monsoon weakens and brings less cold, dry air to the region, which is favorable for both the formation and maintenance of PHE. According to our analysis, meridional wind anomaly at 850 hPa in North China may be one of the most effective meteorological conditions for the occurrence of PHE.

272 As shown in Fig. 4a, the daily meridional wind anomaly is notably correlated with the number of 273 haze stations in winter during 1980–2016, with a correlation coefficient of 0.43 significant at the 274  $\alpha = 0.01$  level. It suggests the strong likelihood of haze in Beijing during anomalous southerlies in North China. The seasonal meridional wind anomaly series in winter exhibits a strong interannual 275 variability with a non-significant positive trend (red lines in Fig. 4b). However, the number of 276 277 extremely anomalous southerly days exhibits a significant positive trend (at the 0.05 level) and a 278 significant positive correlation coefficient of 0.70 with the number of persistent haze days in 279 Beijing. This coefficient remains as large as 0.66 between the de-trended series, and is significant 280 at the  $\alpha = 0.01$  level. As shown in Fig. 4c, the duration of extreme anomalous southerly events has 281 changed in the recent decades, with most of these events lasting for 2–3 days. From the 1980s to 282 the 1990s, the maximum of the wind PDF increases with more 3-4-day events, but without much 283 change toward the longer duration end, indicating mainly an increasing probability of extreme 284 southerly events lasting for 2–4 days. Since then, the maximum of the PDF has been decreasing 285 with increasing probability of extreme southerly episodes of longer duration. In comparison with 286 Fig. 2b, the changes in the PDF of the anomalous southerly wind episodes mostly explain the 287 occurrence of PHE in Beijing over the period from 1980–2016. However, the relationship between 288 the two is not simply linear. The striking shift of the PDF of haze events from the 1980s to the 289 1990s is notable, indicating a rapid increasing probability of longer duration haze events, with the 290 rapid increase of pollution in the region during the 1990s likely responsible. As pointed out by 291 Guo et al. (2011), there was a significant increase of the aerosol optical depth from 1980 to the 292 1990s in most of China, especially in North China, corresponding to a rapid development of both 293 urbanization and industrial activities in the region in that time.

3.4 Connections between the meridional wind anomaly and sea surface temperature
 anomaly over the northwestern Pacific since 1900



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Fig. 5. The correlation coefficients between the SSTA in the northwestern Pacific and the number of extreme anomalous southerly days in winter in North China from 1980–2016. The linear trend is removed before calculating the correlation. The black dots indicate a significant correlation at the 90% confidence level using the t-test.

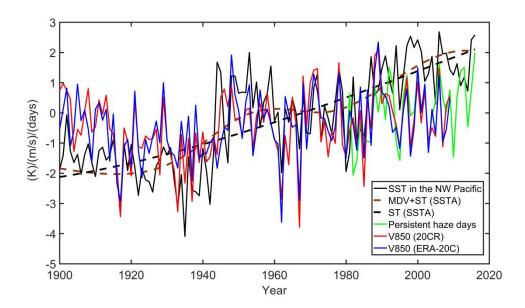


Fig. 6. Time series of the normalized SSTA in the northwestern Pacific (black), meridional
wind anomaly at 850 hPa in North China from the 20CR (red) and ERA-20C (blue) datasets,
and persistent haze days (green) from 1900–2016. The climatic mean is calculated for the

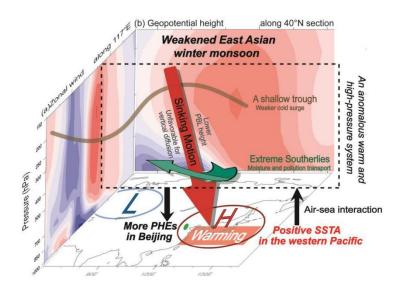
period 1961–1990. The black dotted curve is the secular trend (ST) of SSTA series; the
brown dotted curve is the combination of the secular trend and the multidecadal variability
(MDV) of SSTA series, obtained via the EEMD method.

309 As shown in Fig. 5, there is a positive correlation zone in the subtropical to mid-latitude northwestern Pacific (120 °-155 °E, 26 °-40 °E), suggesting that northerly winter monsoons in East 310 311 Asia become weaker with more extreme anomalous southerly episodes when the subtropical 312 northwestern Pacific is warmer. It is interesting to investigate this relationship over a longer period. 313 As shown in Fig. 6, over the past centennial period 1900-2016, the SSTA in the subtropical 314 northwestern Pacific and the meridional wind anomalies at 850 hPa over North China are well 315 correlated, especially at a multidecadal timescale. The correlation coefficients are 0.46 (detrended: 316 0.42) and 0.51 (detrended: 0.53) based on the ERA-20C and 20CR datasets for the period 317 1900–2009, respectively, and significant at  $\alpha = 0.01$ . The results here are generally consistent with 318 the physical mechanism simulated by Sun et al., (2016), which demonstrates the role of the 319 northwestern Pacific SST on the EAWM. Furthermore, the correlation coefficient between 320 normalized persistent haze days and normalized SSTA series for the period 1980-2016 is 0.41, 321 significant at  $\alpha = 0.01$ . Thus, the linkages between persistent haze days, anomalous southerly 322 episodes and SSTA over the northwestern Pacific are significant, even over the past centennial 323 period for 1900-2016. From 1900-2016, the regional mean SSTA over the northwestern Pacific 324 showed a non-linear secular positive trend. The combination of multidecadal variability and the 325 secular trend of SSTA exhibit a sharp positive phase since the mid-1980s. As discussed above, the notable warming phase since the mid-1980s over the NW Pacific should correspond to a 326 327 weakened EAWM system, in particular with increasing extreme anomalous southerly episodes, 328 hence increasing PHEs in Beijing.

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330 4. Discussion and Summary

Here we investigate the climatology of PHE in Beijing for the winter monsoon season and explore the potential impacts of large-scale climate change on the positive trend of PHE. Based on updated daily observations, we have demonstrated the variations of haze days in winter with a significant increasing frequency of PHE in Beijing from 1980–2016. The associated changes in large-scale atmospheric circulation include weakened near-surface northerly winds in North China, a shallow 336 East Asian trough in the mid-troposphere, and a northward shift of the East Asia jet stream in the upper troposphere. These conditions indicate a weakened EAWM system, which was then found 337 338 to be associated with an anomalous warm, high-pressure system in the mid-lower troposphere over 339 the northwestern Pacific. One of the most direct factors for the occurrence of PHE in Beijing is the 340 persistent anomalous southerlies in the lower troposphere in North China. From 1980 to 2016, 341 changes of the regional extreme anomalous southerlies correspond well to those of the persistent hazes in Beijing. Therefore, the increasing frequency of longer-duration anomalous southerly 342 episodes in the past decades explains the increasing occurrences of PHE in Beijing. Furthermore, 343 344 we find that even for the past centennial period 1900-2012, the meridional wind anomaly at 850 345 hPa in North China is positively correlated with the SSTA over the northwestern Pacific.



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347 Fig. 7. Schematic diagram summarizing the dynamic connection between the increased 348 SSTA in the northwestern Pacific and the increasing PHE in Beijing through the weakening 349 East Asian winter monsoon system. The cross sections are correlation coefficients between 350 the meridional wind-speed anomaly and vertical profile of (a) zonal wind speed at 117  $^{\circ}$ E and (b) geopotential height at 40 °N and 500 hPa in winter from 1980 to 2016. The latitude 351 and longitude coordinates of Beijing (green dot in base map) correspond to 40 ° N, 117 ° E, 352 respectively. The letters "L" and "H" in the base map demonstrate the near-surface 353 354 anomalous low- and high-pressure systems, respectively.

We note a particular positive phase of SSTA series over the northwestern Pacific since the mid-1980s. Consequently, an anomalously warm and high pressure or anti-cyclone system in the mid-lower troposphere maintained over the region via air-sea interaction. This would in turn induce anomalous southerly wind speeds from the near-surface to the mid-troposphere over the East Asian continent, resulting in the weakening of the EAWM system. Particularly in the lower 360 troposphere, the weakening monsoons are more likely than before to be interrupted by persistent 361 anomalous southerlies in North China, which facilitate the transportation of warm, moist air from the south to the north of eastern China, favorable for the occurrence of PHE in Beijing. In the 362 363 mid-troposphere, a shallow East Asian trough also helps prevent cold-air activities from 364 influencing Beijing, and is hence unfavorable for the clearance of pollutants around Beijing. These 365 anomalous circulation patterns not only result in sinking air motion in the mid-lower troposphere, leading to a lower atmospheric boundary-layer height, which is unfavorable for vertical diffusion, 366 but also give rise to stagnant weather conditions, and the collection of pollutants in the 367 368 atmospheric boundary layer. Therefore, the increasing SST over the northwestern Pacific and the 369 associated changes of atmospheric circulation related to a weakened EAWM system potentially 370 play a key role in the increasing occurrences of weather conditions conducive to PHE in Beijing. 371 So far we have discussed how the change of local pollution events in Beijing could be associated with large-scale climate warming via changes in EAWM and associated SSTA over the NW 372 373 Pacific, as schematically depicted in Fig. 7.

Owing to its large heat capacity, the ocean accumulates energy derived from human activities, 374 375 with more than 90% of the Earth's residual energy related to global warming absorbed by the 376 ocean (IPCC, Cheng et al. 2017). As such, the record of the global ocean heat content robustly 377 represents the signature of global warming, as it is less impacted by weather-related noise and 378 climate variability such as El Niño and La Niña events (Cheng et al. 2018). The IPCC (2013) has 379 concluded that it is very likely that anthropogenic forcings have made a substantial contribution to 380 the increase of global upper ocean heat content (0-700 m) since the 1970s. It is worth to be 381 mentioned that SST over the northwestern Pacific has been one of the most stable warming 382 regions since the 20th century (Zeng et al., 2001). Based on the results of 15 models from the 383 Coupled Model Intercomparison Project Phase 5 (CMIP5), Cai et al. (2017) projected some circulation changes induced by increasing atmospheric greenhouse gases likely contributing to the 384 increase of haze events in Beijing. Our study presents a more concrete observation-based 385 386 mechanism for explaining the extent to which climate change contributes to the increase of the occurrence of PHE in Beijing through changes in typical regional atmospheric circulation. 387

388 However, there are some caveats in the understanding of our results. In general, haze refers to an 389 atmospheric phenomenon caused by fine particulate pollutants from various sources under specific 390 meteorological conditions (Wang et al., 2013). The increased emissions of pollutants into the 391 atmosphere because of the rapid development in China undoubtedly serve as the most important 392 reason for increasing haze events in Beijing, as mentioned in many studies (e.g. Liu and Diamond, 393 2005; Wang et al., 2013; Wang et al., 2014). Nevertheless, haze events in Beijing, especially PHE, 394 have happened under specific persistent weather conditions, with our results revealing a novel 395 perspective in relating local pollution changes in Beijing to large-scale climate change. Future 396 work needs the quantification of the contributions of pollutant emissions and climate change to the 397 occurrence of PHE in Beijing.

#### **Data availability.**

Atmospheric circulation data are available from the NCEP/NCAR data archive
(http://www.esrl.noaa.gov/psd/data/gridded/data.ncep.reanalysis.html) and ECMWF data archive
(https://www.ecmwf.int/en/forecasts/datasets/browse-reanalysis-datasets).

402 Sea-surface-temperature data are available from Met Office Hadley Centre observation datasets

403 (https://www.metoffice.gov.uk/hadobs/hadisst/). The ground observations are from the National

404 Meteorological Information Center of China (http://data.cma.cn/). The atmospheric composition

data can be obtained from the authors.

406

- 407 **Competing interests:** The authors declare that they have no conflict of interest.
- 408 Acknowledgements: This study was supported by the National Key Research and Development
- 409 Program of China (2016YFA0600404), the Beijing Natural Science Foundation (8161004), the
- 410 Ministry of Science, Beijing Municipal Science and Technology Project (Z151100002115045),
- and the National Natural Science Foundation of China (41575010).
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