



1 **Investigating the role of dust in ice nucleation within clouds and**
2 **further effects on the regional weather system over East Asia**

3 **Part II: modification of the weather system**

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10 **Keywords:** East Asian dust; radiative forcing; clouds; precipitation; regional modeling

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12 **Highlights:**

13 The semi-direct and indirect effects of dust are more pronounced than the direct effect on the regional weather
14 system.

15 The semi-direct and indirect effects of dust result in an increase in mid- to high clouds, and a reduction in low
16 clouds.

17 The total precipitation is reduced over most of China, but increased over South China by up to 20% or more.



18 **Abstract.** An updated version of the Weather Research and Forecast model coupled with Chemistry (WRF-Chem)
19 was applied to quantify and discuss the full effects of dust on the meteorological field over East Asia during March
20 and April 2012. The performances of the model in simulating the short-wave and long-wave radiation, surface
21 temperature, and precipitation over East Asia are improved by incorporating the effects of dust in the simulations. The
22 radiative forcing induced by the dust-enhanced cloud radiative effect is over one order of magnitude larger than that
23 induced by the direct effect of dust. The semi-direct and indirect effects of dust result in a substantial increase in mid-
24 to high clouds, and a significant reduction in low clouds, leading to a decrease of near-surface temperature and an
25 increase of temperature at the mid- to upper troposphere over East Asia. The spatial redistribution of atmospheric
26 water vapor and modification of the vertical temperature profile over East Asia lead to an inhibition of atmospheric
27 instability over most land areas, but an enhancement of atmospheric instability over South China and the ocean,
28 resulting in a significant inhibition of convective precipitation in areas from central to East China, and a substantial
29 enhancement of convective precipitation over South China. Meanwhile, non-convective precipitation is also reduced
30 significantly over East Asia, as cloud droplets are hindered from growing large enough to form rain droplets, due to
31 the semi-direct and indirect effects of dust. The total precipitation can be reduced or increased by up to 20% or more.

32



33 **1 Introduction**

34 Dust is recognized as an “essential climate variable” because it is a major component of atmospheric aerosols and has
35 significant impacts on the weather and climate system (Solomon, 2007). East Asian dust is an important contributor
36 to global dust emissions (Ginoux et al., 2001), and thus play a significant role in affecting the regional weather system
37 through direct effect, semi-direct and indirect effects.

38 Dust particles affect the radiation budget directly by absorbing, reflecting and scattering short-wave and long-wave
39 radiation (Satheesh et al., 2006; Seinfeld et al., 2004; Laci, 1995). The cloud radiative effect induced by dust is referred
40 to as the semi-direct effect of dust. Dust particles within clouds can absorb radiation and heat up the surrounding
41 environment, leading to faster evaporation rate of cloud droplets and thus a reduction of cloud cover. The indirect
42 effects of dust are related to dust–cloud–interaction. (Hansen et al., 1997; Perlwitz and Miller, 2010). Dust particles
43 are recognized as effective ice nuclei and considered to play an important role in cold cloud processes. Dust particles
44 can significantly influence the ice water content in mixed-phase and ice clouds, and affect the formation and
45 development of clouds, as well as precipitations (Sassen et al., 2003; Targino et al., 2006; Teller and Levin,
46 2006; Lohmann and Feichter, 2005).

47 In light of the significance of dust for the weather and climate system, assessing its effects of dust has become
48 increasingly important. Many observational and modeling studies have aimed to quantify the various effects of dust.
49 Due to the limited spatial and temporal availability of observational data, numerical modeling has proven an effective
50 way to assess the effects of dust on the weather and climate system. The direct radiative effect of dust worldwide has
51 being extensively studied using numerical methods (Mallet et al., 2009; Nabat et al., 2015a; Ge et al., 2010; Hartmann
52 et al., 2013; Huang et al., 2009; Bi et al., 2013; Liu et al., 2011a; Liu et al., 2011b; Palacios et al., 2015; Huang, 2017).
53 Recently, several studies have investigated the semi-direct effect of dust over different regions using various global
54 and regional models (Tesfaye et al., 2015; Nabat et al., 2015b; Seigel et al., 2013). Unfortunately, due to the poor
55 understanding of the dust–cloud–interaction in microphysical processes, quantifying the microphysical effect of dust
56 remains as a difficult problem. Various ice nucleation parameterizations have been implemented into global models
57 to estimate the importance of dust in atmospheric ice nucleation (Lohmann and Diehl, 2006; Karydis et al., 2011; Hoose
58 et al., 2008; Zhang et al., 2014), revealing that the effect of dust as ice nuclei should not be neglected in numerical
59 models, especially in the simulations over arid regions during strong wind events (DeMott et al., 2003; Koehler et al.,



60 2010;DeMott et al., 2015;Lohmann and Diehl, 2006;Atkinson et al., 2013). However, very rare work has been done
61 to investigate the indirect effects of dust on the regional weather system, especially over East Asia, which is one of
62 the major contributors to the global dust emission in the world (Ginoux et al., 2001).

63 In this work, by applying an updated version of WRF-Chem, we aim to investigate the full effects of dust, including
64 the direct, the semi-direct, and the indirect effect, on the regional weather system over East Asia during a dust-intensive
65 period. In the updated WRF-Chem, The Goddard Chemistry Aerosol Radiation and Transport (GOCART) model has
66 been coupled with the aerosol-aware Thompson microphysics scheme, enabling the model to estimate the indirect
67 effect of dust along with the direct and semi-direct effects. This is the second part of a series of studies on the role of
68 East Asian dust in affecting the regional weather system, and also the first study to document the full effects of dust
69 during a typical dust-intensive period over East Asia by applying an online-coupled regional numerical model.

70 The remainder of the manuscript is organized as follows. The model configurations is described in Section 2, followed
71 by the model validation in Section 3. The results along with the discussion will be presented in section 4, followed by
72 the concluding remarks in Section 5.

73

74 **2 Model configurations**

75 The simulations were performed using an updated version of WRF-Chem based on version 3.8.1 (Grell et al., 2016).
76 The GOCART-Thompson, which is the coupling of the GOCART aerosol model and the aerosol-aware Thompson
77 microphysics scheme, has been implemented in the updated WRF-Chem, to evaluate the indirect effect of dust on the
78 atmospheric ice nucleation process by serving as ice nuclei. The implementation of GOCART-Thompson
79 microphysics scheme was described in detail in the first part of this study. According to the results of the sensitivity
80 experiments in Part I, the calibration factor cf is set to be 4, and the threshold relative humidity is set to be 100% in
81 the ice nucleation parameterization for the simulations run with dust emissions in this study.

82 Four numerical simulations were carried out to evaluate the separate effects of dust over East Asia. The configurations
83 for the four simulations are summarized in Table 1. The first simulation was termed NO-AER/NO-CLOUD, and was
84 conducted without dust, with both the aerosol radiative feedback and cloud radiative feedback turned off. The second
85 simulation, NO-AER/CLOUD, was also conducted without dust, with the aerosol radiative feedback turned off, but
86 the cloud radiative feedback turned on to estimate the intrinsic radiative effect of cloud. The third simulation,



87 AER/NO-CLOUD, was conducted with the presence of dust, with the aerosol radiative feedback turned on, while the
88 cloud radiative feedback still turned off. The difference between NO-AER/NO-CLOUD and AER/NO-CLOUD
89 therefore represented the direct effect of dust on the radiation budget and other meteorological parameters. The last
90 simulation, AER/CLOUD, was conducted with the presence of dust, and with both aerosol radiative feedback and
91 cloud radiative feedback turned on, to estimate the full effect of dust on the meteorological field over East Asia
92 The important physical and chemical parameterization schemes applied for the simulations are summarized and shown
93 in Table 1. The GOCART aerosol model was applied to simulate the aerosol processes (Ginoux et al., 2001; Ginoux
94 et al., 2004). For the dust emission simulation in AER/NO-CLOUD and AER/CLOUD, the Shao's dust emission
95 scheme was applied, which had been demonstrated to closely reproduced the dust emissions over East Asia. The
96 Mellor–Yamada–Janjic (MYJ) turbulent kinetic energy scheme was used for the planetary boundary layer
97 parameterization (Janjić, 2002, 1994); the moisture convective processes were parameterized by the Grell–Freitas
98 scheme (Grell and Freitas, 2014); the short-wave (SW) and long-wave (LW) radiation budgets were calculated by the
99 Rapid Radiative Transfer Model for General Circulation (RRTMG) SW and LW radiation schemes (Mlawer et al.,
100 1997; Iacono et al., 2008); gravitational settling and surface deposition were combined for aerosol dry deposition
101 (Wesely, 1989); a simple washout method was used for the below-cloud wet deposition of aerosols; and the aerosol
102 optical properties were calculated based on the volume-averaging method. The newly-implemented wet scavenging
103 scheme described in Part I of this study was used for the in-cloud wet scavenging of dust particles caused by the
104 microphysical processes. The configurations for NO-AER/NO-CLOUD and NO-AER/CLOUD were mostly the same
105 as for the other two simulations, but without a dust emission scheme, aerosol dry and wet deposition schemes, and
106 aerosol optical schemes, as no dust is produced in these two simulations. Note that in the two NO-CLOUD simulations,
107 a default ice nuclei concentration of 1 per Liter is used for the heterogeneous ice nucleation process.
108 As described in the first part of this manuscript, two nested domains were used for all four simulations, the outer
109 domain had a horizontal resolution of 27 km, covering the entire East Asia region, and the inner domain had a
110 horizontal resolution of 9 km, covering the entire central to East China. Both domains have 40 layers, with the top
111 layer at 50 hPa. The simulation period was March 9 to April 30, 2012, with the first eight days as “spin-up” time. Only
112 the results from March 17 to April 30, 2012 were used for further analysis. Final reanalysis data provided by the
113 United States National Center of Environmental Prediction, with a horizontal resolution of 1°, were used for generating
114 the initial and boundary conditions for the meteorological field. The simulations were re-initialized every 4 days, with



115 the aerosol field being recycled, i.e., the output of the aerosol field from the previous 4-day run was used as the initial
116 aerosol state for the next 4-day run.

117

118 **3 Model validation**

119 The simulation for dust emission was validated in Part I of this study, and the model was demonstrated to closely
120 reproduce dust emissions over East Asia during the investigated period by comparison with comprehensive
121 observational data. As this study focused on the modification of the meteorological field by the effects of dust over
122 East Asia, the capability of the model in simulating the meteorological field itself over this region requires further
123 validation.

124 The China meteorological forcing dataset (Yang et al., 2010; Chen et al., 2011) was used to assess the performance of
125 the model in reproducing the spatial distribution of the meteorological field over China. The dataset was developed
126 by the hydrometeorological research group at the Institute of Tibetan Plateau Research, Chinese Academy of Science,
127 by merging the Princeton meteorological forcing data (Sheffield et al., 2006), the Global Energy and Water Cycle
128 Experiment–Surface Radiation Budget (GEWEX-SRB) forcing data (Pinker and Laszlo, 1992), and the Global Land
129 Data Assimilation System forcing dataset (Rodell et al., 2004). The dataset contains gridded observations of the near-
130 surface temperature, precipitation rate, surface downward SW and LW radiation across China, with a spatial resolution
131 of 0.25°, dating from 1996.

132 As this study aimed to evaluate the radiative forcing induced by dust, it was necessary to verify the performance of
133 the model in reproducing the radiative budget. The spatial distributions for the monthly average observational SW and
134 LW radiation from the China meteorological forcing dataset, and the simulated SW and LW radiation over East Asia
135 from NO-AER/CLOUD and AER/CLOUD, are shown in Figures 1 and 2. Note that only simulation results from NO-
136 AER/CLOUD and AER/CLOUD are shown, as they represent the intrinsic meteorological field and the
137 meteorological field modified by the effects of dust, respectively. For March, the comparison is restricted to the
138 observational data from March 17 to March 31, 2012, to ensure temporal overlay with the corresponding simulation
139 period. No observational data over the ocean were available, so the simulated results over the ocean are also omitted
140 to simplify the comparison.

141 The spatial distributions for the monthly average observational downward surface SW radiation for March and April
142 2012 are shown in Figure 1a and b. Overall, the SW radiation was stronger in April than in March. The SW radiation



143 was significantly higher over the West and Northwest China, due to the higher elevation of terrain over these regions,
144 and lower over East and South China, due to the lower elevation and greater cloud coverage over those regions. The
145 model closely reproduced the spatial distributions of the SW radiation in both months and accurately captured the
146 trend from March to April in the simulation results from both NO-AER/CLOUD and AER/CLOUD, despite a certain
147 overestimation, especially over coastal areas of East and South China. This overestimation was likely due to the
148 underestimation of clouds by the model over these areas. Compared with inland areas, cloud coverage is always greater
149 over the coastal areas of East and South China due to the abundant water vapor. Therefore, the SW radiation budget
150 over coastal areas was more sensitive to the underestimation of clouds by the model. Nevertheless, a significant
151 improvement in the simulation of the SW radiation budget over East Asia can be seen in the results from AER/CLOUD
152 compared with those from NO-AER/CLOUD. Specifically, the SW radiation produced in AER/CLOUD (Figure 1e
153 and f) was significantly lower than that produced in NO-AER/CLOUD (Figure 1c and d) over China as a whole,
154 especially at the dust sources and surrounding areas over the north and northwest of the country, which is clearly more
155 consistent with the observations.

156 The spatial distributions for the monthly average observational downward surface LW radiation over China for March
157 and April 2012 are shown in Figure 2a and b. Two high-value areas can be observed. One is over Northwest China,
158 where the Taklimakan Desert is located. The strong downward LW radiation over this region was likely due to the
159 abundance of dust particles in the local atmosphere. Dust particles suspended in the atmosphere during dust events
160 can absorb SW radiation and heat the surrounding atmospheric layer, causing more LW radiation to be emitted
161 downward (and upward) by this layer. The other area of strong LW radiation was located over South China, which is
162 warmer and contains more atmospheric water vapor. Water vapor is a potent greenhouse gas, which efficiently absorbs
163 LW radiation emitted by the Earth and to heat the surrounding area, and thus increases the emission of LW radiation
164 downward (and upward) by the heated atmosphere. The model accurately simulated the spatial distributions of the
165 LW radiation over this region for both March and April in both NO-AER/CLOUD (Figure 2c and d) and AER/CLOUD
166 (Figure 2e and f), and indeed closely captured the spatial pattern of the LW radiation over China as a whole. The
167 difference between the results of NO-AER/CLOUD and AER/CLOUD in terms of LW radiation was not so significant
168 as that for SW radiation. However, the slightly higher LW radiation over the Gobi Desert produced by AER/CLOUD
169 (Figure 2e and f) was more consistent with the observations, indicating that the model reproduced the LW radiation
170 budget more accurately upon taking the effects of dust into account.



171 The spatial distributions for the monthly average near-surface temperature over China from observed for March and
172 April 2012 are shown in Figure 3a and b. Similarly to the spatial distributions for the LW radiation, higher near-
173 surface temperatures were observed over Northwest China, which is a dry, arid area, and South China, which is closer
174 to the equator. The spatial distributions of the near-surface temperature over this region were well reproduced by the
175 model for both March and April in both NO-AER/CLOUD (Figure 3c and d) and AER/CLOUD (Figure 3e and f).
176 The model accurately captured the spatial pattern of the surface temperature, and the two simulations did not differ
177 significantly in their results.

178 The spatial distributions for the monthly average observational precipitation over China for March and April in 2012
179 are shown in Figure 4a and b. The precipitation increased from North to South China in both months, and increased
180 from March to April over the entire region. The spatial patterns of precipitation in March and April were mostly
181 reproduced by the model in both NO-AER/CLOUD (Figure 4c and d) and AER/CLOUD (Figure 4e and f), but the
182 model underestimated the precipitation in March in both simulations, especially over central and North China. In April,
183 the observed precipitation center was located over South China. Apart from underestimating the precipitation over
184 Central and North China, the NO-AER/CLOUD simulation predicted the precipitation center to be located in an area
185 spanning Hunan and Jiangxi (Figure 4d), which was to the north of the observed center, and it also significantly
186 underestimated the precipitation over South China compared with the observed values. In contrast, in the results of
187 AER/CLOUD (Figure 4f), the precipitation band from Hunan to Jiangxi was markedly weaker, while the precipitation
188 over South China was enhanced, which was clearly much more consistent with the observations.

189 The foregoing comparison of the simulation results with the observational data demonstrated that the model reasonably
190 reproducing the meteorological field over East Asia. Moreover, the meteorological field was produced more accurately
191 when the effects of dust were considered in the simulations, which consequently allows the dust-induced modification
192 of the meteorological field to be investigated.

193

194 **4 Results and discussion**

195 **4.1 Radiative effect**

196 The spatial distributions for the mean radiative forcing induced by dust at the top of the atmosphere, at the bottom of
197 the atmosphere, and within the atmosphere over East Asia during the simulation period are shown in Figures 6 and 7.

198 Note that all of the spatial distributions for radiative forcing shown in the two figures are the temporal mean over the



199 entire simulation period. The SW radiative forcing was calculated as follows.

$$200 \quad SW_{TOA} = SWDOWN_{TOA} - SWUP_{TOA} \quad (1)$$

$$201 \quad SW_{BOT} = SWDOWN_{BOT} - SWUP_{BOT} \quad (2)$$

$$202 \quad SW_{ATM} = SW_{TOA} + SW_{BOT} \quad (3)$$

203 where SW_{TOA} is the SW radiative forcing at the top of the atmosphere, and SW_{BOT} is the SW radiative forcing at the
 204 bottom of the atmosphere, both with positive values representing downwelling radiation; SW_{ATM} is the radiative
 205 forcing within the atmosphere, which is the sum of SW_{TOA} and SW_{BOT} , with positive values representing a net
 206 warming effect within the atmosphere; $SWDOWN_{TOA}$ and $SWUP_{TOA}$ are the downwelling and upwelling SW
 207 radiation at the top of the atmosphere, respectively; $SWUP_{BOT}$ and $SWDOWN_{BOT}$ are the upwelling and downwelling
 208 SW radiation at the bottom of the atmosphere, respectively.

209 The LW radiative forcing was calculated as follows.

$$210 \quad LW_{TOA} = -LWUP_{TOA} \quad (4)$$

$$211 \quad LW_{BOT} = LWDOWN_{BOT} - LWUP_{BOT} \quad (5)$$

$$212 \quad LW_{ATM} = LW_{TOA} + LW_{BOT} \quad (6)$$

213 Where LW_{TOA} is the LW radiative forcing at the top of the atmosphere, and LW_{BOT} is the LW radiative forcing at the
 214 bottom of the atmosphere, both with positive values representing downwelling radiation; LW_{ATM} is the radiative
 215 forcing within the atmosphere, which is the sum of LW_{TOA} and LW_{BOT} , with positive values representing warming
 216 effect within the atmosphere; $LWUP_{TOA}$ is the upwelling LW radiation at the top of the atmosphere; $LWUP_{BOT}$ and
 217 $LWDOWN_{BOT}$ are the upwelling and downwelling LW radiation at the bottom of the atmosphere.

218 The net radiative forcing is the sum of SW and LW radiative forcing.

$$219 \quad Ra_{TOA} = SW_{TOA} + LW_{TOA} \quad (7)$$

$$220 \quad Ra_{BOT} = SW_{BOT} + LW_{BOT} \quad (8)$$

$$221 \quad Ra_{ATM} = SW_{ATM} + LW_{ATM} \quad (9)$$

222

223 4.1.1 Clear-sky radiative forcing

224 The direct radiative forcing induced by dust shown in Figure 5 is also referred to as clear-sky radiative forcing, and is
 225 due to the reflection, absorption and emission of radiation by dust particles suspended in the atmosphere.

226 The clear-sky downwelling SW radiative forcing at the top of the atmosphere is slightly negative over most of East



227 Asia (Figure 5a), indicating that the upwelling SW radiation at the top of the atmosphere increases due to the reflection
228 and scattering of SW radiation by dust particles. The clear-sky SW radiative forcing at the bottom of the atmosphere
229 is negative over most of East Asia (Figure 5g), especially over dust source regions, which suggests that the
230 downwelling SW radiation is significantly reduced through the absorption by dust particles suspended in the
231 atmosphere, leading to a significant net warming effect within the atmosphere (Figure 5d). Averaged over the entire
232 simulation domain, the SW radiative forcing over East Asia is -1.22 W/m^2 at the top of the atmosphere, -2.44 W/m^2
233 at the bottom of the atmosphere, and 1.23 W/m^2 within the atmosphere, accounting for 0.37%, 0.97%, and 1.58% of
234 the total clear-sky radiation budget in these three zones, respectively, as shown in Table 2.

235 In Figure 5b, the clear-sky downwelling LW radiation at the top of the atmosphere is slightly increased over dust
236 source regions and downwind areas, due to the absorption of LW radiation by the thick dust layer in the atmosphere.
237 In comparison, it is slightly reduced over other areas of East Asia, indicating an increase of the upwelling LW radiation,
238 which might be attributable to the greater emission of LW radiation by the dust layer, which in turn is due to the
239 heating of the atmosphere caused by the absorption of SW radiation by dust particles (Figure 5d). The clear-sky
240 downwelling LW radiation forcing is reduced at the bottom of the atmosphere (Figure 5h), which is attributed to the
241 Earth's surface being cooler as it receives less solar radiation (Figure 5g). Combining the LW radiative forcing at the
242 top of the atmosphere and at the bottom of the atmosphere, there is a net negative LW radiative forcing within the
243 atmosphere (Figure 5e). Overall, the mean LW radiative forcing averaged over the entire East Asia is relatively slight,
244 being -0.02 W/m^2 at the top of the atmosphere, 1.09 W/m^2 at the bottom of the atmosphere, and -1.07 W/m^2 within
245 the atmosphere, accounting for 0.01%, 1.18%, and 0.63% of the total clear-sky radiation budget in those three zones,
246 respectively.

247 Combining the SW and LW radiative forcing, the net downwelling clear-sky radiation at the top of the atmosphere is
248 reduced over most of East Asia (Figure 5c). The downwelling clear-sky net radiation at the bottom of the atmosphere
249 is reduced over most part of East Asia, especially over dust source regions and downstream areas (Figure 5i), leading
250 to a net warming effect within the atmosphere (Figure 5f), which is slightly smaller than the warming caused by SW
251 radiative forcing (Figure 5d). The net radiative forcing is -1.20 W/m^2 at the top of the atmosphere, -1.36 W/m^2 at the
252 bottom of the atmosphere, and 0.15 W/m^2 within the atmosphere, accounting for 1.78%, 0.85%, and 0.16% of the total
253 clear-sky radiation budget in those three zones.

254



255 4.1.2 All-sky radiative forcing

256 The all-sky radiative forcing induced by dust shown in Figure 6 is the total radiative forcing, including the radiative
257 forcing directly induced by dust displayed in Figure 5, and that induced by the cloud radiative effect enhanced by dust.
258 In Figure 6a, the all-sky downwelling SW radiation at the top of the atmosphere is markedly reduced over most of
259 China compared with the clear-sky case, due to greater reflection from dust and enhanced cloud cover induced by dust
260 over the continent. However, it is increased over the southern part of northwest Pacific, indicating less SW radiation
261 is reflected back into space due to the cloud radiative effect, which implies less cloud cover induced by dust over this
262 area. Compared with the clear-sky case, the all-sky upwelling SW radiation at the bottom of the atmosphere in Figure
263 6g is increased significantly over the continent, as more solar radiation is blocked due to the enhanced cloud cover
264 induced by dust; however, the downwelling all-sky SW radiation at the bottom of the atmosphere is reduced over most
265 of the West Pacific, indicating that more solar radiation that reaches the Earth's surface due to the cloud radiative
266 effect, which also implies less cloud cover over this area. The cloud radiative effect strengthens the warming within
267 the atmosphere over land in the all-sky case compared with the clear-sky case, while there is a slight cooling over the
268 ocean in the all-sky case, as shown in Figure 6d, in contrast to the slight warming in the clear-sky case. Averaged over
269 the entire simulation domain, the mean SW radiative forcing is -7.81 W/m^2 at the top of the atmosphere, and -7.87
270 W/m^2 at the bottom of the atmosphere (Table 2), accounting for 2.62% and 3.60% of the total all-sky radiation budget
271 in those two zones, respectively. Within the atmosphere, the positive SW radiative forcing over land and negative SW
272 radiative forcing over the ocean balance each other out.

273 Compared with the clear-sky case, the all-sky downwelling LW radiation at the top of the atmosphere is significantly
274 increased over almost the whole of East Asia (Figure 6b), indicating much less upwelling LW radiation at the top of
275 the atmosphere. The increase of downwelling all-sky LW radiation at the bottom of the atmosphere over land in Figure
276 6h is due to the greater emission of LW radiation by the warmer atmosphere, and the larger radiative forcing than that
277 in the clear-sky case implies that the cloud cover is significantly increased over land due to dust. Conversely, there is
278 no warming effect at the surface of the ocean, and the reduction in downwelling LW radiation over the ocean implies
279 less cloud cover over the ocean. The combination of the direct radiative effect of dust and the cloud radiative effect
280 enhanced by dust causes an overall increase of LW radiation within the atmosphere, leading to a warming effect,
281 which is more pronounced over the ocean, as shown in Figure 6e. The mean all-sky LW radiative forcing over the
282 entire simulation domain is 9.52 W/m^2 at the top of the atmosphere, accounting for 3.79% of the total all-sky LW



283 radiation budget in that zone. The increase of the all-sky LW radiation at the bottom of the atmosphere over land
284 and its reduction over the ocean almost cancel each other out, leaving a mean all-sky LW radiation over the entire
285 simulation domain of 0.25W/m^2 , accounting for 0.34% of the total LW radiation budget at the bottom of the
286 atmosphere. The mean all-sky LW radiative forcing within the atmosphere over the simulation domain is 9.26W/m^2 ,
287 accounting for 5.25% of the total all-sky LW radiation budget within the atmosphere.

288 Summing the SW and LW radiative forcing, the net downwelling all-sky radiation at the top of the atmosphere is
289 reduced to the north of Central China, Korea, and Japan, and increased significantly over most of the ocean (Figure
290 6c). By contrast, the net downwelling all-sky net radiation at the bottom of the atmosphere is reduced significantly
291 over the same land areas, and increased over most of the ocean (Figure 6i). Radiative forcing results in pronounced
292 warming within the atmosphere over East Asia as a whole (Figure 6f). Averaged over the simulation domain, the
293 net all-sky radiative forcing is 1.70W/m^2 , -7.62W/m^2 , and 9.33W/m^2 at the top of the atmosphere, at the bottom of
294 the atmosphere, and within the atmosphere, accounting for 3.61%, 5.28%, and 9.61% of the total net radiation budget
295 in those three zones, respectively.

296 In summary, the direct radiative effect of dust combined with the cloud radiative effect enhanced by dust causes a net
297 loss of radiation at the Earth's surface, but a net gain of radiation within the atmosphere, leading to a cooling at the
298 surface and lower troposphere, and a warming in mid- to upper troposphere. The radiative forcing caused by the dust-
299 enhanced cloud radiative effect is much greater than that caused by the direct radiative effect of dust, especially for
300 LW radiative forcing, which is highly affected by cloud cover. The LW radiative forcing caused by the dust-enhanced
301 cloud radiative effect is one order stronger than that caused by the direct radiative effect of dust at the top of the
302 atmosphere and within the atmosphere. The spatial distribution of radiative forcing further implies a shift of the spatial
303 distribution of cloud cover, such that the cloud cover is likely increased over land, but reduced over the ocean due to
304 the presence of dust, indicating a re-distribution of atmospheric water vapor over East Asia. The shift of the vertical
305 distribution of the radiation budget, the re-distribution of atmospheric water vapor, and the modification of
306 atmospheric stability resulting from those two processes will be discussed in more detail in later sections.

307

308 **4.2 Atmospheric water vapor**

309 **4.2.1 Spatial distribution**

310 The Semi-direct and indirect effects of dust particles lead to a modification of cloud format and cloud lifetime, and a



311 re-distribution of atmospheric water vapor. The spatial distributions of the simulated atmospheric water vapor path,
312 ice water path, and cloud water path from NO-AER/CLOUD and AER/CLOUD, as well as the difference
313 (AER/CLOUD – NO-AER/CLOUD) between the two simulations, are presented in Figure 7. The atmospheric water
314 vapor path, ice water path, and cloud water path are the column sums of water vapor, ice water vapor, and cloud water
315 vapor in the atmosphere per unit area. Note that the difference between NO-AER/CLOUD and AER/CLOUD is
316 entirely due to the combined effects of dust, i.e., the direct effect of dust, the cloud radiative effect enhanced by dust,
317 and the microphysical effect of dust serving as ice nuclei in the atmosphere. The spatial distributions shown in Figure
318 7 are the mean atmospheric water vapor path, ice water path, and cloud water path averaged over the whole simulation
319 period.

320 Figure 7a and b show the spatial distributions of precipitable water vapor in the atmosphere, which is equal to the total
321 volume of the atmospheric water path in the atmospheric column, over East Asia produced from NO-AER/CLOUD
322 and AER/CLOUD. The results from the two simulations are similar, with the same spatial pattern. The differences
323 between the two are shown in Figure 7c, in which the precipitable water vapor is slightly reduced by less than 1 mm
324 over most of East Asia, except for the dust source regions, over where the precipitable water vapor in the atmosphere
325 is slightly increased. However, the increase or reduction of precipitable water vapor induced by dust, which accounts
326 for less than 1% of the total amount of the precipitable water vapor in the atmosphere, is negligible.

327 The situation is different for the atmospheric ice water path. The atmospheric ice water path is lower than 1 g/m^2 over
328 most of East Asia in the results of NO-AER/CLOUD (Figure 7d), indicating that the production of ice crystals, or ice
329 clouds, in the atmosphere is rare in the simulation without dust. By contrast, the atmospheric ice water path produced
330 by AER/CLOUD is substantially higher than that produced by NO-AER/CLOUD over the entire simulation domain,
331 with values higher than 20 g/m^2 over much of East Asia (Figure 7e). This corresponding to an increase of one order
332 over vast areas, from dust source regions to the Northwest Pacific (Figure 7f), due to the dust particles serving as ice
333 nuclei in the atmosphere. Dust nuclei in the atmosphere enable the super-cooled water droplets to freeze into ice
334 crystals at a much higher temperature and lower relative humidity.

335 The spatial distribution for the mean atmospheric cloud water path over the entire simulation period from NO-
336 AER/CLOUD is shown in Figure 7g, in which the cloud water path is concentrated over South China and the West
337 Pacific, with values as high as 100 g/m^2 . The spatial pattern of the atmospheric cloud water path from AER/CLOUD
338 in Figure 7h is qualitatively similar to that from NO-AER/CLOUD, but with much lower values. The comparison of



339 atmospheric cloud water paths between AER/CLOUD and NO-AER/CLOUD in Figure 7i shows that the atmospheric
340 cloud water path is reduced by more than 30 g/m^2 over south China, which accounts for one third of the total
341 atmospheric cloud water vapor over this region in the results of NO-AER/CLOUD.

342 Figure 8 shows the spatial distributions for the mean simulated ice crystal number density and cloud droplet number
343 density produced by NO-AER/CLOUD and AER/CLOUD over East Asia during the entire simulation period, as well
344 as the difference between the results from the two simulations (AER/CLOUD – NO-AER/CLOUD). Similar to the
345 case in Figure 8, the simulated ice crystal number density increases substantially when the ice nucleation process is
346 enhanced by dust particles serving as ice nuclei. Compared with that produced by NO-AER/CLOUD (Figure 8a), the
347 ice crystal number density produced by AER/CLOUD (Figure 8b) is one order higher over the simulation domain as
348 a whole, and increased by as much as $6 \times 10^8 /\text{m}^2$ over north China and the south part of the ocean area. By contrast,
349 the simulated cloud droplet number density produced by AER/CLOUD (Figure 8e) is much lower than that produced
350 by NO-AER/CLOUD (Figure 8d). In AER/CLOUD, the effects of dust reduce the cloud droplet number density by
351 around one third over this region compared with NO-AER/CLOUD (Figure 8f).

352

353 **4.2.2 Vertical profile**

354 As the spatial distributions of the ice water path and cloud water path over East Asia are altered by the effects of dust,
355 the cloud ice mixing ratio and cloud water mixing ratio are also modified vertically. Figure 9 shows the vertical profiles
356 of the cloud ice and cloud water mixing ratios under the combined effects of dust. Note that the vertical profiles over
357 land, over the ocean, and over the entire simulation domain for East Asia are averaged across the whole simulation
358 period.

359 Due to the effects of dust, the cloud ice mixing ratio is increased at all altitudes from the near-surface layer to higher
360 than 15 km over the whole of East Asia, with two peaks located at 12 km and 6 km, as shown in Figure 9a. The smaller
361 peak at 6 km is due to the enhanced cloud ice mixing ratio over land. The cloud ice mixing ratio is uniformly increased
362 between 4 km and 13 km over land (Figure 9b), which results from the increase of ice nuclei served by the abundant
363 dust particles in the atmosphere. In contrast, the increase of the cloud ice mixing ratio over the ocean from 7 km to 15
364 km, with a significant peak located at 12 km (Figure 9c). The enhancement of cloud ice mixing ratio over the ocean
365 is much greater than over land, likely due to more water vapor over the ocean. As noted above, the enhancement of
366 the cloud ice mixing ratio over the ocean also occurs at a higher altitude (7 km to 15 km) than that over land. A



367 possible cause of this difference is that only those particles fine enough to be lifted to high altitudes can be transported
368 as far as the open ocean of the West Pacific, whereas over land, more dust particles with larger sizes are suspended in
369 lower layers before settling down to the surface.

370 The vertical modification of the cloud water mixing ratio due to the effects of dust is fundamentally different from
371 that of cloud ice mixing ratio. Due to the effects of dust, the cloud water mixing ratio at low layers from the near-
372 surface to 3 km decreases over the whole of East Asia, with the average decrease peaking at around 1.5 km (Figure
373 9d). The overall decrease is dominated by the reduction in the cloud water mixing ratio over the ocean (Figure 9f).
374 The cloud water mixing ratio is also decreased over land at the same layers (Figure 9e), but by smaller magnitudes
375 compared with the decrease over the ocean. The vertical modification of the cloud water mixing ratio suggests that
376 the effects of dust is the significantly reduce low clouds over East Asia, especially over the ocean, where there is much
377 more abundant water vapor for cloud formation.

378 To summarize Section 4.2, the effects of dust result in a general increase of cloud ice and decrease of cloud water over
379 East Asia as a whole, whereby the increase in cloud ice is mainly concentrated at the mid- to upper troposphere, while
380 the decrease of cloud water mostly occurs in low clouds.

381 The increase in cloud formation at the mid- to upper troposphere is attributed to the indirect effect of dust. The
382 abundant ice nuclei in the atmosphere served by dust particles substantially increase the amount of ice crystals in
383 mixed-phase and ice clouds at these altitudes. In contrast, the decrease of low clouds is the result of two factors. One
384 is the warming within the atmosphere induced by the dust, leading to a much higher saturation pressure required for
385 atmospheric water vapor to form clouds, and a much faster evaporation rate of cloud droplets, which is due to the
386 cloud burning effect of dust. The other factor is that the super-cooled cloud droplets in the upper layers of the
387 troposphere freeze into ice crystals at a much higher temperature and lower relative humidity when dust particles serve
388 as ice nuclei in the atmosphere, leading to an increase of atmospheric ice water path. Given an approximately constant
389 total amount of water vapor in the atmosphere, the increased formation of ice crystals by the freezing of cloud droplets
390 results in a reduction in the amount of liquid cloud, and thus the atmospheric cloud water path, over East Asia as a
391 whole. The redistribution of atmospheric water vapor further strengthens the cloud radiative effect and modifies the
392 radiation budget over East Asia, as discussed in Section 4.1.2.

393

394 **4.3 Vertical temperature profile**



395 Due to the radiative forcing directly induced by dust discussed in Section 4.1.1, and the cloud radiative effect enhanced
396 by dust discussed in Section 4.1.2, the vertical temperature profile is modified. Figure 10 shows the modifications of
397 the vertical temperature profiles induced by the direct radiative effect of dust, the cloud radiative effect enhanced by
398 dust, and the full radiative effect of dust over the whole of East Asia, over land, and over the ocean during the
399 investigated period.

400 On average, the temperature over the simulation domain as a whole is slightly increased in the near-surface atmosphere,
401 decreased from 1 km to 3 km, increased significantly from 1 km up to 13 km, and then decreased above 13 km (Figure
402 10a). The contributions of the direct radiative effect of dust and dust-enhanced cloud radiative effect to the vertical
403 temperature modification are shown in Figure 10b and c. The direct radiative effect of dust (Figure 10b) results in a
404 decrease of temperature at the near-surface layer, and an increase above 1 km. In contrast, the pattern of the vertical
405 temperature modification caused by dust-enhanced cloud radiative effect (Figure 10c) is similar to that caused by the
406 full effect of dust, and is one order of magnitude larger magnitude than that caused by the direct radiative effect of
407 dust.

408 As the radiative forcing induced by dust over land differs from that over the ocean, the effects on the vertical
409 temperature profile requires further discussion. The decrease in temperature at the lower level of the troposphere
410 mainly occurs over land. The temperature over land decreases significantly below 2 km, then increases gradually from
411 2 km to 12 km, and decreases again over 12 km (Figure 10d). The decrease in temperature at the lower level is
412 composed of roughly equal contributions from the direct radiative effect and the dust-enhanced cloud radiative effect.
413 However, the increase in the temperature between 2 km and 12 km is mainly attributable to the dust-enhanced cloud
414 radiative effect (Figure 10f), the contribution of which is one order larger than that of the direct radiative effect of dust
415 (Figure 10e). The decrease in temperature at lower layers is mainly attributable to the negative SW radiative forcing
416 at the surface induced by dust, and the increase of temperature at the mid- to upper troposphere is due to the absorption
417 of LW radiation by dust-enhanced ice clouds.

418 The modification of the vertical temperature profile over the ocean is similar to that over East Asia as a whole,
419 especially at lower layers from the surface to 3 km, with an increase in temperature at the near-surface below 2 km,
420 and a decrease in temperature from 1 km to 3 km (Figure 10g). The direct radiative effect of dust results in a slight
421 decrease in temperature at the surface and at altitudes from 7 km to 9 km, but a slight increase from 1 km to 7 km and
422 above 10 km (Figure 10h). The dust-enhanced cloud radiative effect causes an overall increase in temperature from



423 the surface to 13 km, with a minor peak at an altitude of 1 km and a major peak at an altitude of 11 km (Figure 10i).
424 The modification of the vertical temperature profile over the ocean is mostly contributed by the dust-enhanced cloud
425 radiative effect over the ocean, with an almost identical pattern and magnitude to the temperature variation over East
426 Asia as a whole (Figure 10i).
427 The patterns of vertical temperature modification over land and ocean are due to different mechanisms. The vertical
428 temperature profile over land is chiefly modified by the direct radiative effect of dust at lower layers, and by the
429 enhanced cloud radiative effect due to the greater amount of ice clouds at the mid- to upper troposphere. With a heavier
430 dust burden over land, the SW radiation is blocked more effectively from reaching the land surface than the ocean
431 surface. Furthermore, the temperature at the near-surface layer over land responds to the SW radiation much faster
432 than that over the ocean, leading to a greater temperature decrease at lower levels over land. In the mid- to upper
433 troposphere, the greater amount of ice cloud leads to greater absorption of LW radiation emitted by the Earth, and the
434 enhanced freezing of cloud droplets into ice crystals promotes the release of latent heat, leading to a significant
435 increase of temperature in the surrounding atmosphere. The decrease in temperature below 2 km and the increase in
436 temperature above 2 km are likely to reduce atmospheric instability, which in turn will weakens the convective
437 motions over land.
438 The mechanism for the modification of the vertical temperature profile over the ocean is more complicated. As
439 discussed in Section 4.2, the effects of dust result in a substantial reduction of clouds, especially low clouds, and a
440 marked increase of ice clouds at the mid- to upper troposphere over the ocean. As ice clouds are much less efficient
441 in blocking solar radiation than low clouds, the reduction of low clouds leads to an increase of SW radiation over the
442 ocean, which heats up the ocean surface and near-surface layer of the atmosphere. Conversely, the reduction of low
443 clouds between 1 km and 3 km results in less LW radiation being absorbed by low clouds, and also less latent heat
444 being released by the condensation of water vapor into cloud droplets, both of which lead to a significant decrease of
445 temperature at layers between 1 km and 3 km. The increase in temperature above 3 km has the same cause as that over
446 land. The greater amount of ice clouds in the mid- to upper troposphere is able to absorb more of the LW radiation
447 emitted by the Earth, and the enhanced freezing of cloud droplets into ice crystals promotes the release of latent heat
448 into the surrounding atmosphere, leading to a significant increase in temperature in these layers. The cooling below 1
449 km and warming between 1 km and 3 km are likely to enhance atmospheric instability, causing stronger convective
450 motions over the ocean.



451

452 **4.4 Atmospheric stability**

453 As discussed above, the radiative forcing and the re-distribution of atmospheric water content induced by dust result
454 in a modification of the vertical temperature profile over East Asia. The corresponding shift of the thermal energy in
455 the atmosphere eventually lead to a modification of the atmospheric stability over this region.

456 The K-index (KI) is a metric widely used in meteorology to evaluate atmospheric stability, and is calculated with the
457 following equation (George, 2014):

$$458 \quad KI = T_{850} - T_{500} + Td_{850} - (T_{700} - Td_{700}) \quad (10)$$

459 where T_{850} , T_{700} , and T_{500} are the respective temperatures at 850 hPa, 700 hPa, and 500 hPa, and Td_{850} and Td_{700}
460 are the dew points at 850 hPa and 700 hPa. The calculation of KI considers the atmospheric stability as a function of
461 the vertical temperature lapse rate, the moisture content of the lower atmosphere, and the vertical extent of the moist
462 layer. The larger the value of KI, the more unstable the atmosphere. To evaluate the effect of dust on atmospheric
463 stability, KI was calculated from the simulation outputs.

464 Figure 11 shows the spatial distributions for the mean KI from NO-AER/CLOUD over East Asia during the simulation
465 period, which represents the intrinsic average atmospheric stability free from the effects of dust, and Figure 12 shows
466 the spatial distributions for the mean difference in KI between AER/NO-CLOUD and NO-AER/NO-CLOUD (Figure
467 12a), between AER/CLOUD and NO-AER/CLOUD (Figure 12b), and between AER/CLOUD and NO-AER/ CLOUD
468 (Figure 12c). The differences represent the modification in KI induced by the direct radiative effect of dust in Figure
469 12a, semi-direct and indirect effects, including cloud radiative effects and re-distribution of atmospheric water content
470 enhanced by dust, in Figure 12b, and the combined effects of the previous two in Figure 12c.

471 As shown in Figure 11, the mean KI over East Asia is lower in the north and increases gradually from north to south,
472 with the highest values located over the South China Sea and Southeast Asia, and the lowest values over the Central
473 to North Pacific.

474 Under the full effects of dust, the mean modification of KI over most land areas in East Asia is a significant decrease.

475 The largest decrease occurs over the dust source regions and central to East China (Figure 12c), and results from the
476 vertical modification over land. In contrast, KI significantly increases over most areas of the ocean and South China,
477 due to the different effects of dust on the vertical temperature over these areas, as discussed in Section 4.3. The
478 contributions of the direct radiative effect of dust and the indirect effects of dust on the modification of the mean KI



479 are shown in Figure 12a and b. The direct radiative effect of dust is to inhibit the atmospheric instability is inhibited
480 over most land areas, indicated by a significant decrease of the mean KI, as shown in Figure 12a. However, the overall
481 modification of mean KI is even greater over the ocean when the semi-direct and indirect effects of dust are taken into
482 account. Upon considering the semi-direct and indirect effects of dust, the modification of KI is much greater over
483 areas with more water vapor in the simulation domain, such as South China and most ocean areas, as shown in Figure
484 12b.

485 Overall, the atmosphere is significantly stabilized over the dust source regions and central to East China, but
486 significantly destabilized over South China and most ocean areas, due to the effects of dust. The dust-enhanced cloud
487 radiative forcing and the re-distribution of atmospheric water content due to dust contribute much more to the
488 modification of atmospheric stability than the direct radiative effect of dust does, especially over areas with abundant
489 water vapor.

490

491 **4.5 Precipitation**

492 The modification of atmospheric stability and re-distribution of atmospheric water content induced by dust eventually
493 alter the precipitation over East Asia. The spatial distributions for the mean precipitation rate, including total
494 precipitation, convective precipitation, and non-convective precipitation from NO-AER/CLOUD and AER/CLOUD,
495 as well as the difference between the two simulations, are shown in Figure 13. Note that the precipitation rate shown
496 in Figure 14 is the mean daily precipitation rate averaged over the simulation period.

497 The spatial pattern of the mean total precipitation rate from NO-AER/CLOUD shown in Figure 13a is generally similar
498 to that from AER/CLOUD shown in Figure 13b. However, as discussed in Section 3.2.2, the simulated precipitation
499 center produced in NO-AER/NO-CLOUD spans an area from Hunan to Jiangxi (Figure 13a), to the north of the
500 observed precipitation, and the simulated precipitation rate over South China is significantly lower than the
501 observational values. By contrast, in AER/CLOUD (Figure 13b), the precipitation band from Hunan to Jiangxi is
502 markedly inhibited, while the precipitation rate over South China is enhanced, which is clearly more consistent with
503 the observations. As shown in Figure 13c, the total precipitation is reduced by as much as 1 mm/day or more over
504 most land areas, but increased by up to 1 mm/day over South China, due to the effects of dust. The modifications in
505 precipitation account for over 20% of the total simulated precipitation rate over both land and the ocean.

506 The simulated convective precipitation mostly occurs over the southern part of the simulation domain, with



507 precipitation centers located over central to East China, South China, the South China Sea, and Southeast Asia (Figure
508 13d and e). The total precipitation over these areas is chiefly affected by the modification of convective precipitation.
509 Due to the effects of dust, convective precipitation is significantly reduced at the precipitation center from central to
510 East China, but substantially enhanced over South China and the ocean (Figure 13f). The inhibition of convective
511 precipitation over Central to East China has two reasons. One is the general enhancement of atmospheric stability,
512 which reduces the convective motion over this region. The other is the decrease in low clouds over South China and
513 the ocean, which reduces the availability of cloud droplets that can grow into rain droplets under the same
514 meteorological conditions. The greater convective precipitation over South China is due to the greater atmospheric
515 instability, which promotes convective motions.

516 The simulated non-convective precipitation is produced by the microphysics scheme. The Non-convective
517 precipitation mainly occurs at the western rim of the Taklimakan Desert, northeast China, Japan, and the areas between
518 27°N and 36°N over East Asia during the simulation period. The non-convective precipitation rate produced in
519 AER/CLOUD (Figure 13h) is markedly lower than that produced in NO-AER/CLOUD (Figure 13g) at the northern
520 precipitation centers. Figure 13i shows that the non-convective precipitation rate is reduced by more than 30% at the
521 western of the Taklimakan Desert and in the rain band from East China to Japan. More super-cooled water droplets
522 can freeze into ice crystals in the upper troposphere due to the abundant ice nuclei served by dust particles, leading to
523 much lower atmospheric cloud water content and cloud droplet number concentration directly above the non-
524 convective precipitation center. Furthermore, the warming within the atmosphere, which is caused by radiative forcing
525 and latent heat released by the freezing of super-cooled water droplets, results in a higher saturation pressure for water
526 vapor and faster evaporation rate for cloud droplets. This, in turn, suppresses the growth of cloud droplets into rain
527 droplets, leading to an inhibition of non-convective precipitation. Conversely, the increase in cloud ice in some cases
528 leads to more precipitation. The ice crystals in mixed-phase clouds can grow large enough to induce precipitation
529 given sufficient water vapor in the atmosphere. An example is the enhancement of non-convective precipitation over
530 the East China Sea.

531

532 **5 Conclusions**

533 By applying the updated WRF-Chem, which is capable of evaluating indirect effect of dust along with the direct and
534 semi-direct effect in dust simulations, the full effects of dust, including direct radiative, cloud radiative, and indirect



535 microphysical effects, on the meteorological field over East Asia during March and April 2012 were quantified and
536 discussed.

537 For the radiative forcing induced by dust, the direct radiative effect of dust combined with the dust-enhanced cloud
538 radiative effect causes a net loss of radiation at the Earth's surface, but a net gain of radiation within the atmosphere,
539 leading to cooling at the surface and lower troposphere, and warming in the mid- to upper troposphere. The radiative
540 forcing caused by the dust-enhanced cloud radiative effect is much greater than that caused by the direct radiative
541 effect of dust, especially for LW radiative forcing, which is highly affected by cloud cover. The LW radiative forcing
542 caused by the dust-enhanced cloud radiative effect is one order stronger than that caused by the direct radiative effect
543 of dust at the top of the atmosphere and within the atmosphere. The spatial distribution of radiative forcing further
544 implies a shift of the spatial distribution of cloud cover, such that the cloud cover is likely increased over land, but
545 reduced over the ocean, due to the presence of dust, indicating a re-distribution of atmospheric water vapor over East
546 Asia.

547 The atmospheric ice water path and ice crystal number density are significantly increased over East Asia, when
548 abundant dust particles are available to serve as ice nuclei. By contrast, the atmospheric cloud water path and cloud
549 droplet number density are substantially reduced. Vertically, the effects of dust result in a general increase of cloud
550 ice and decrease of cloud water over East Asia as a whole, whereby the increase of cloud ice is mainly concentrated
551 at the mid- to upper troposphere, while the decrease in cloud water mostly occurs in low clouds. The increase in clouds
552 at the mid- to upper troposphere is due to the indirect effect of dust by serving as ice nuclei. The reduction in low
553 clouds is attributed to two factors. One is the semi-direct effect of dust. Dust particles within clouds absorb radiation
554 and warm up the surrounding environment, leading to a much greater saturation pressure required for atmospheric
555 water vapor to form clouds, and a much faster evaporation rate of cloud droplets. The other factor is that the ice
556 nucleation process enhanced by dust facilitates the freezing of atmospheric super-cooled water droplets into ice
557 crystals.

558 The radiative forcing and re-distribution of atmospheric water vapor induced by dust lead to a modification of the
559 vertical temperature profile. Consequently, the atmosphere is stabilized over most land areas, but destabilized over
560 most of the ocean in East Asia. The cloud radiative forcing enhanced by dust and the re-distribution of atmospheric
561 water content due to dust contribute much more to the modification of atmospheric stability than the direct radiative
562 effect of dust does.



563 Convective precipitation is inhibited over most land areas in East Asia, because of the enhanced atmospheric stability,
564 and the reduction in cloud droplets capable of growing into rain droplets under atmospheric conditions. Conversely,
565 convective precipitation is enhanced over South China and the ocean due to the greater atmospheric instability over
566 these areas. The presence of much fewer cloud droplets in the atmosphere, combined with the atmospheric warming
567 caused by radiative forcing and the release of latent heat by the freezing of super-cooled water droplets, results in a
568 higher saturation pressure for water vapor and faster evaporation rate for cloud droplets, which in turn inhibit non-
569 convective precipitation. The decrease in convective and non-convective precipitation results in a reduction of total
570 precipitation over East Asia. Nevertheless, the increase of cloud ice also leads to more precipitation in some cases.
571 The ice crystals in mixed-phase clouds can grow large enough to induce a precipitation given sufficient atmospheric
572 water vapor.

573

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582

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734

735 **Table 1:** Model configurations for the numerical simulations.

Experiment	NO-AER/NO-CLOUD	NO-AER/CLOUD	AER/NO-CLOUD	AER/CLOUD
Scheme				
Dust emission scheme	----	----	Shao	Shao
Soil dataset	USGS	USGS	USGS	USGS
Land surface model	Noah LSM	Noah LSM	Noah LSM	Noah LSM
Planetary boundary layer	MYJ	MYJ	MYJ	MYJ
Moisture convection	Grell–Freitas	Grell–Freitas	Grell–Freitas	Grell–Freitas
Long-wave radiation	RRTMG	RRTMG	RRTMG	RRTMG
Short-wave radiation	RRTMG	RRTMG	RRTMG	RRTMG
Microphysics	GOCART-Thompson	GOCART-Thompson	GOCART-Thompson	GOCART-Thompson
Chemistry mechanism	GOCART	GOCART	GOCART	GOCART
Dry deposition	----	----	Gravitational settling/surface deposition	Gravitational settling/surface deposition
Wet deposition	----	----	In-cloud and below-cloud	In-cloud and below-cloud
Aerosol optical scheme	----	----	Maxwell-Garnett	Maxwell-Garnett
Aerosol radiative feedback	off	off	on	on
Cloud radiative feedback	off	on	off	on

736

**Table 2:** WRF-Chem-simulated SW, LW, and net radiative forcing (W/m^2) induced by dust over East Asia at TOA, BOT, and ATM.

	Clear-sky			All-sky		
	SW	LW	Net	SW	LW	Net
TOA (+down)	-1.22	0.02	-1.20	-7.81	9.52	1.70
ATM (+warm)	1.23	-1.07	0.15	0.06	9.26	9.33
BOT (+down)	-2.44	1.09	-1.36	-7.87	0.25	-7.62

SW: short-wave radiative forcing; LW: long-wave radiative forcing; Net: net radiative forcing.

TOA: radiative forcing at the top of the atmosphere; ATM: radiative effect within the atmosphere; BOT: radiative effect at the bottom of the atmosphere.

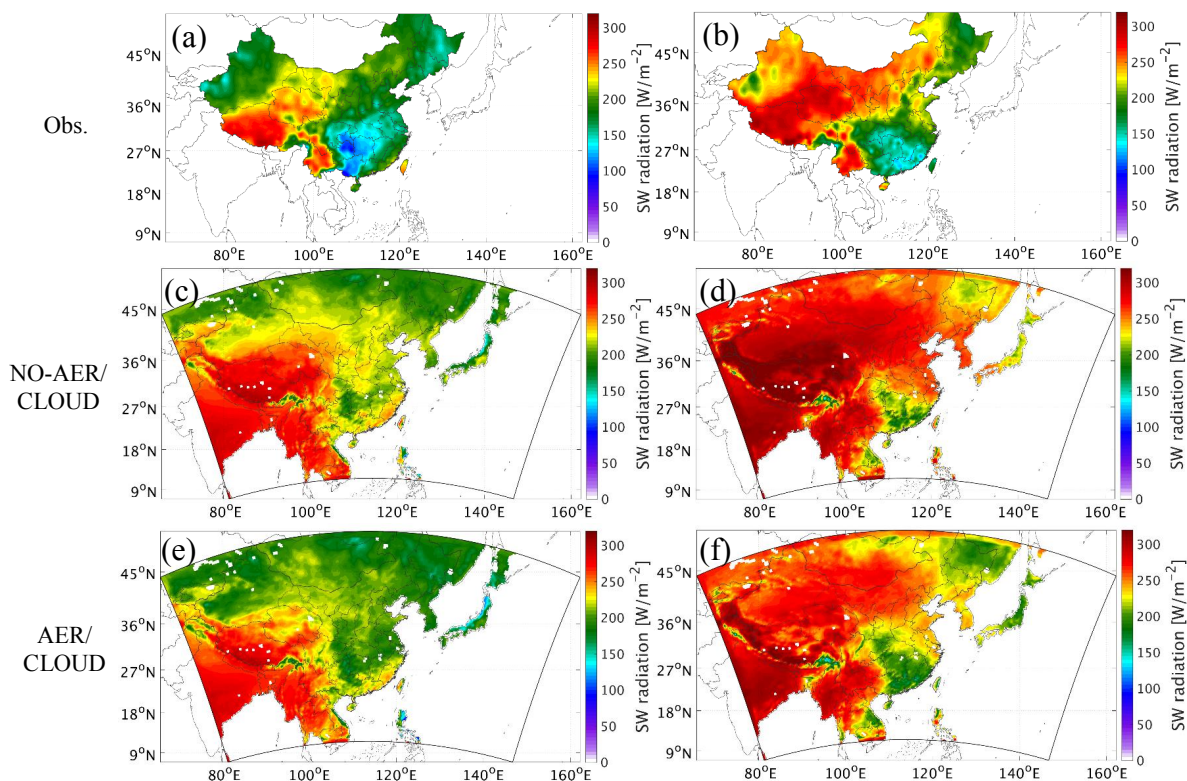


Figure 1: Spatial distributions of the average downward SW radiation at surface from observations (a, b), from NO-AER/CLOUD (c, d), and from AER-CLOUD (e, f) during March (left panel) and April (right panel) 2012.

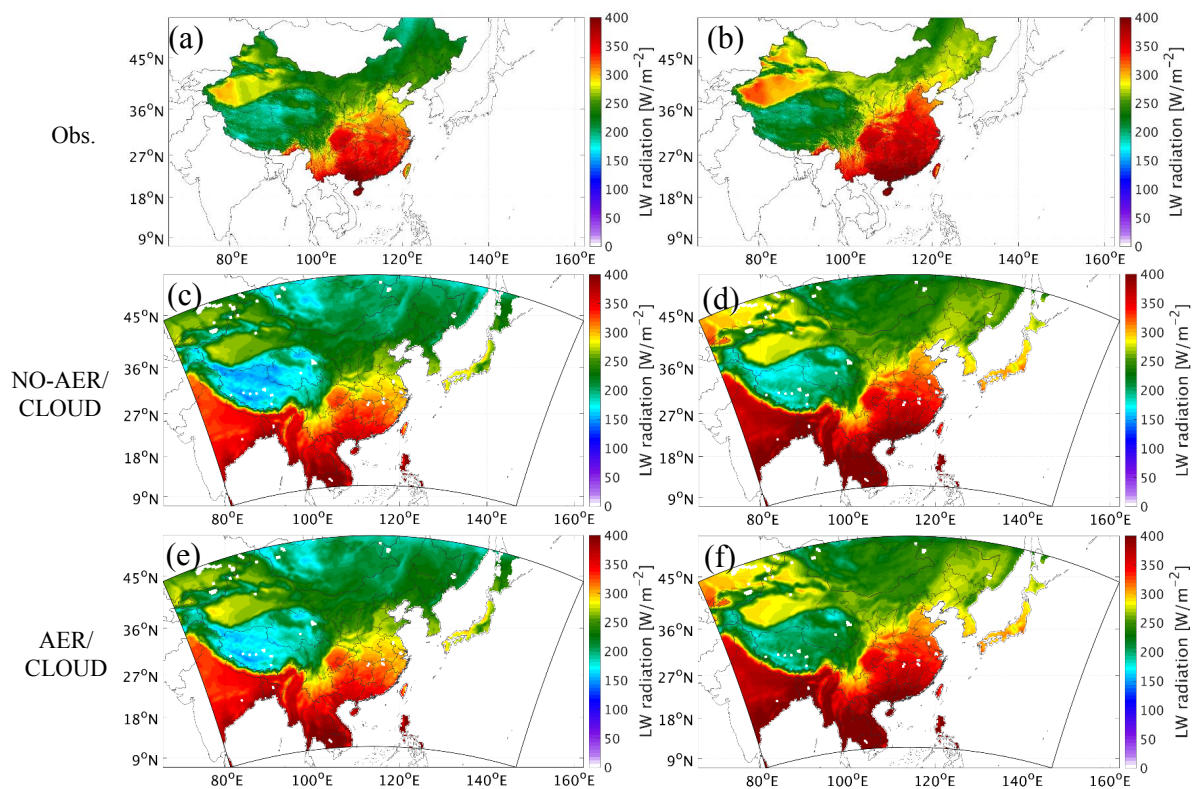


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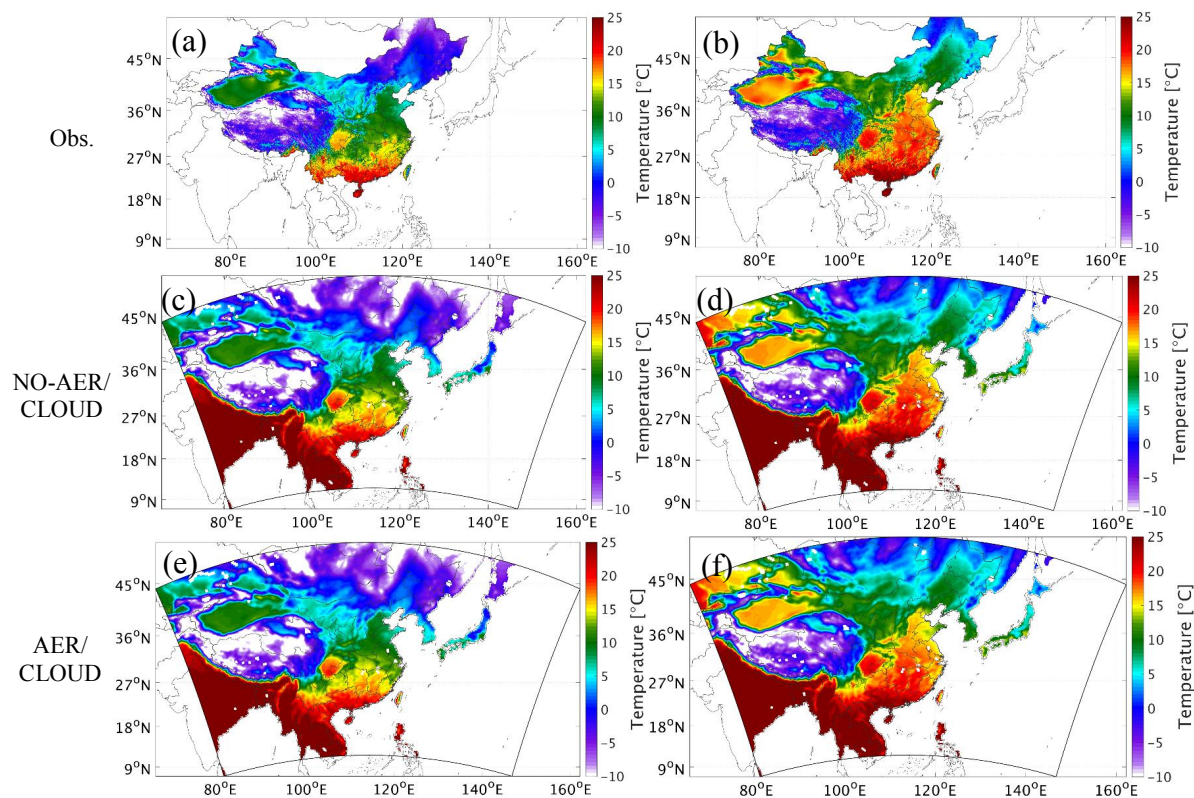


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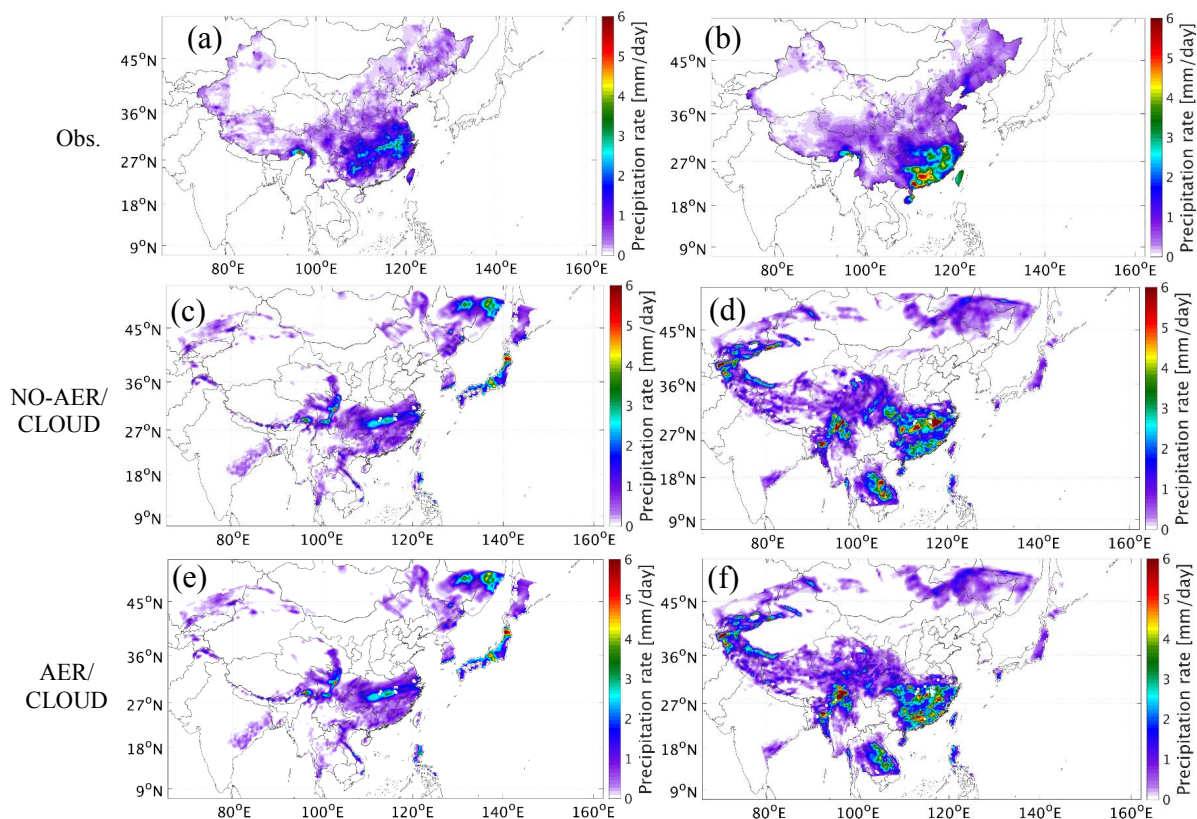


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SW

LW

Net

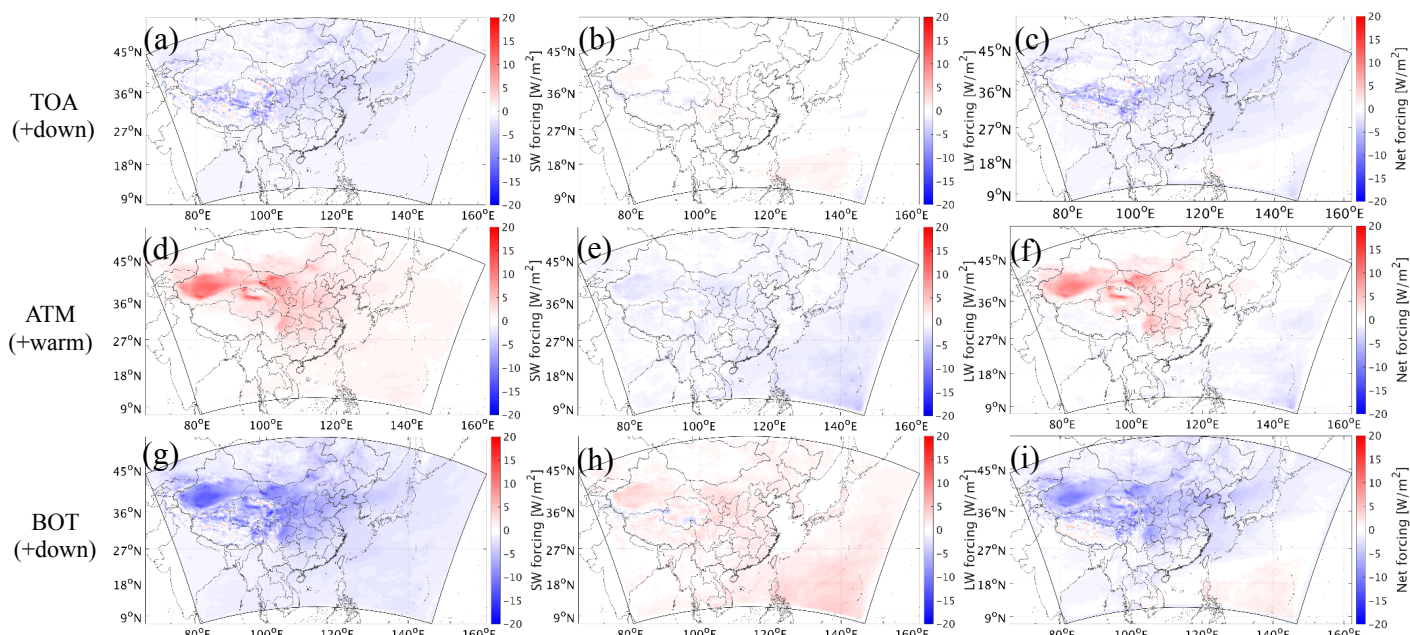


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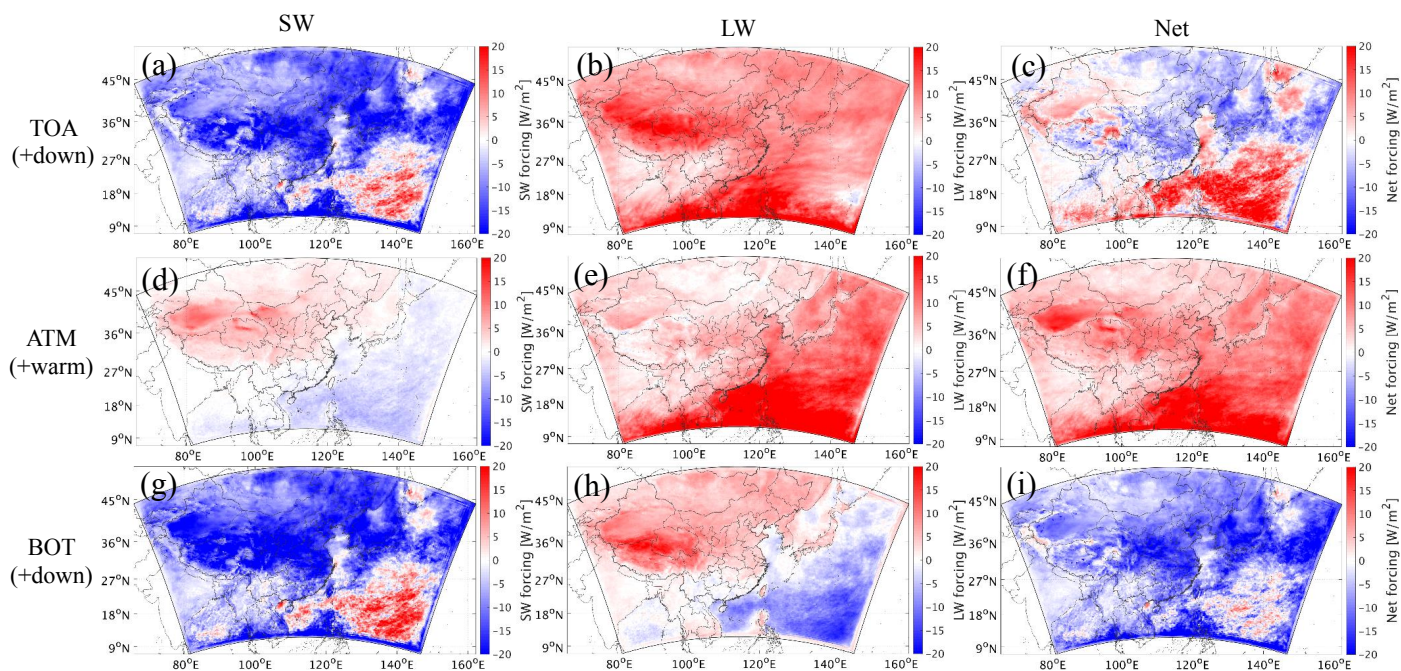


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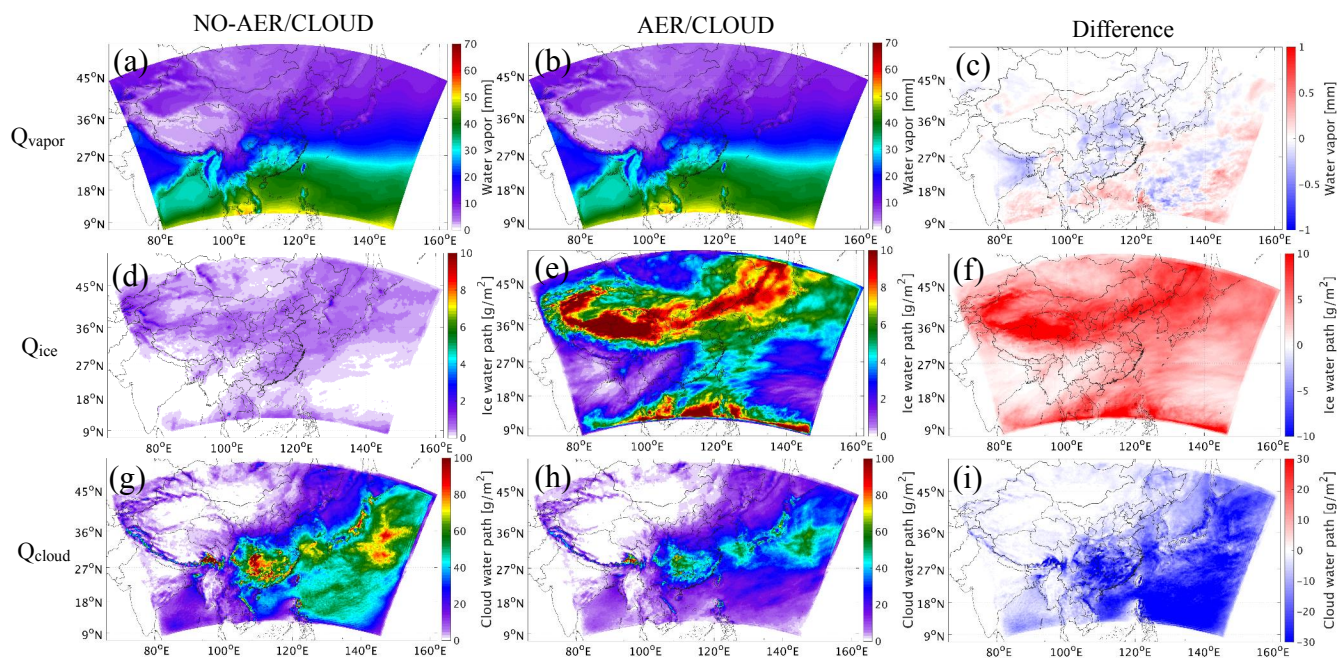


Figure 7: Spatial distributions of the average simulated precipitable water vapor (a-c), ice water path (d-f), and cloud water path (g-i) from NO-AER/CLOUD (left panel), AER/CLOUD (middle panel), and difference between AER/CLOUD and NO-AER/CLOUD (right panel).

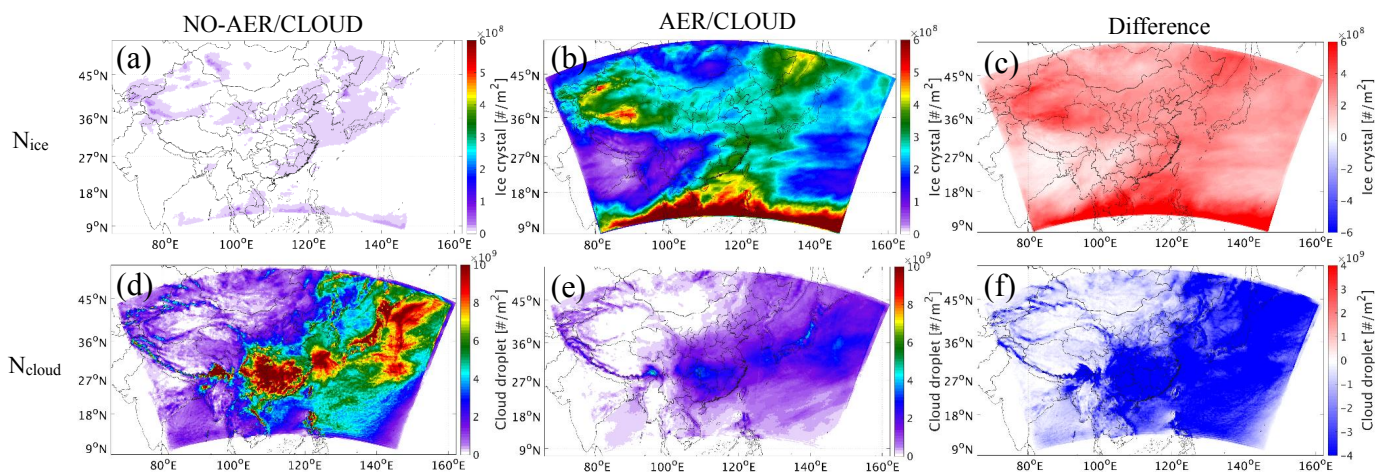


Figure 8: Spatial distributions of the average simulated ice crystal number density (a-c) and cloud droplet number density (d-f) from NO-AER/CLOUD (left panel), AER/CLOUD (middle panel), and difference between AER/CLOUD and NO-AER/NO-CLOUD (right panel).

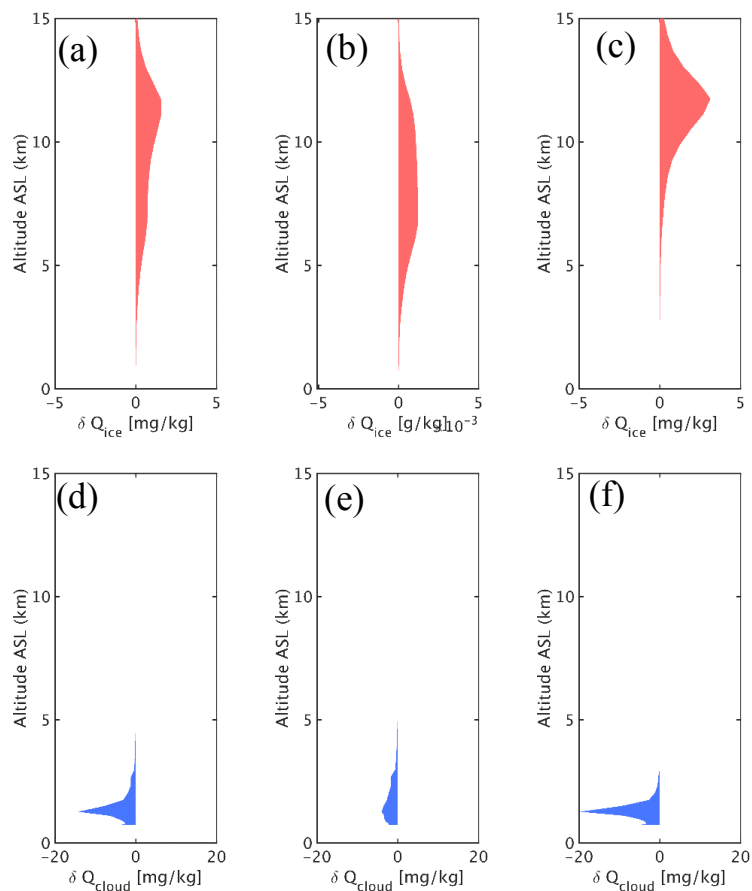


Figure 9: Vertical profile of the modification of cloud ice (a-c) and cloud water content (e-f) induced by dust over the entire simulation domain (left panel), over land (middle panel), and over ocean (right panel).

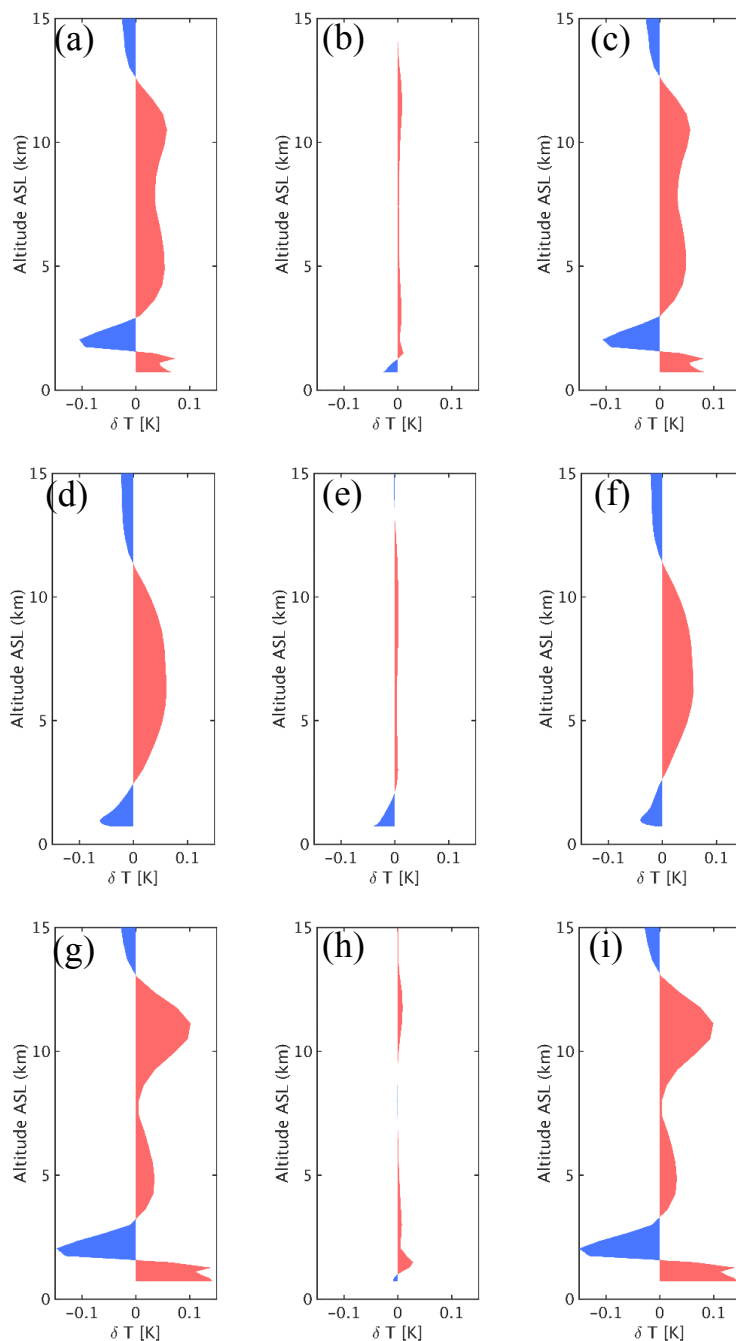


Figure 10: Modification of vertical temperature profile induced by the full effects of dust (left panel), the direct radiative effect of dust (middle panel), and the semi-direct and indirect effects of dust (right panel) over the entire simulation domain (a-c), over land (d-f), and over ocean (g-i).

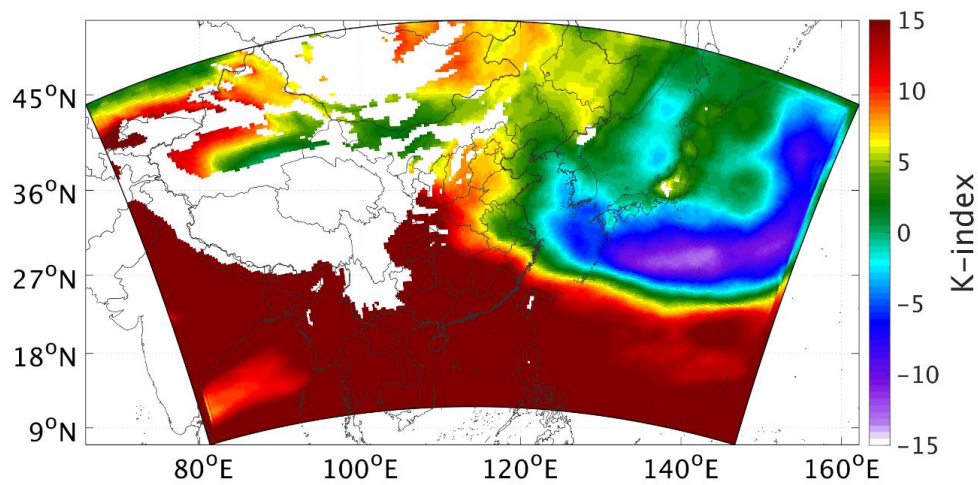


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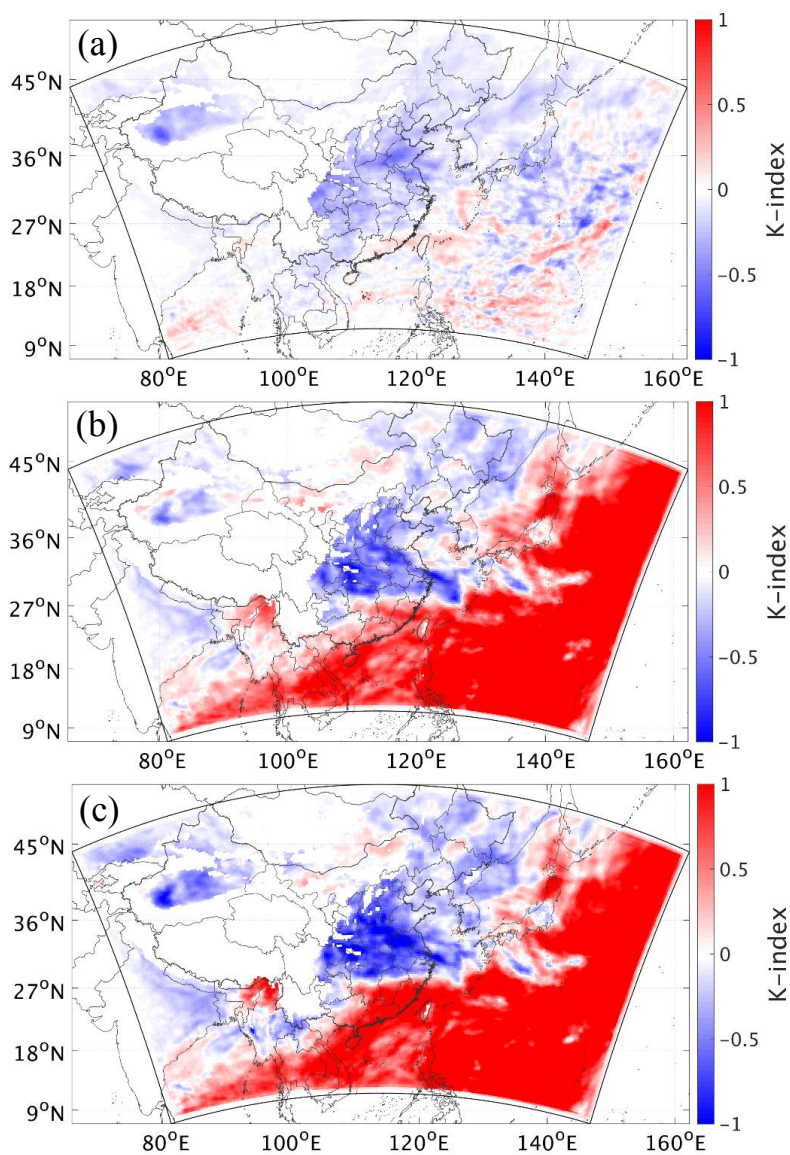


Figure 12: Spatial distributions of the modification of K-index induced by the direct radiative effect of dust (a), the semi-direct and indirect effects of dust (b), and the full effects of dust (c).

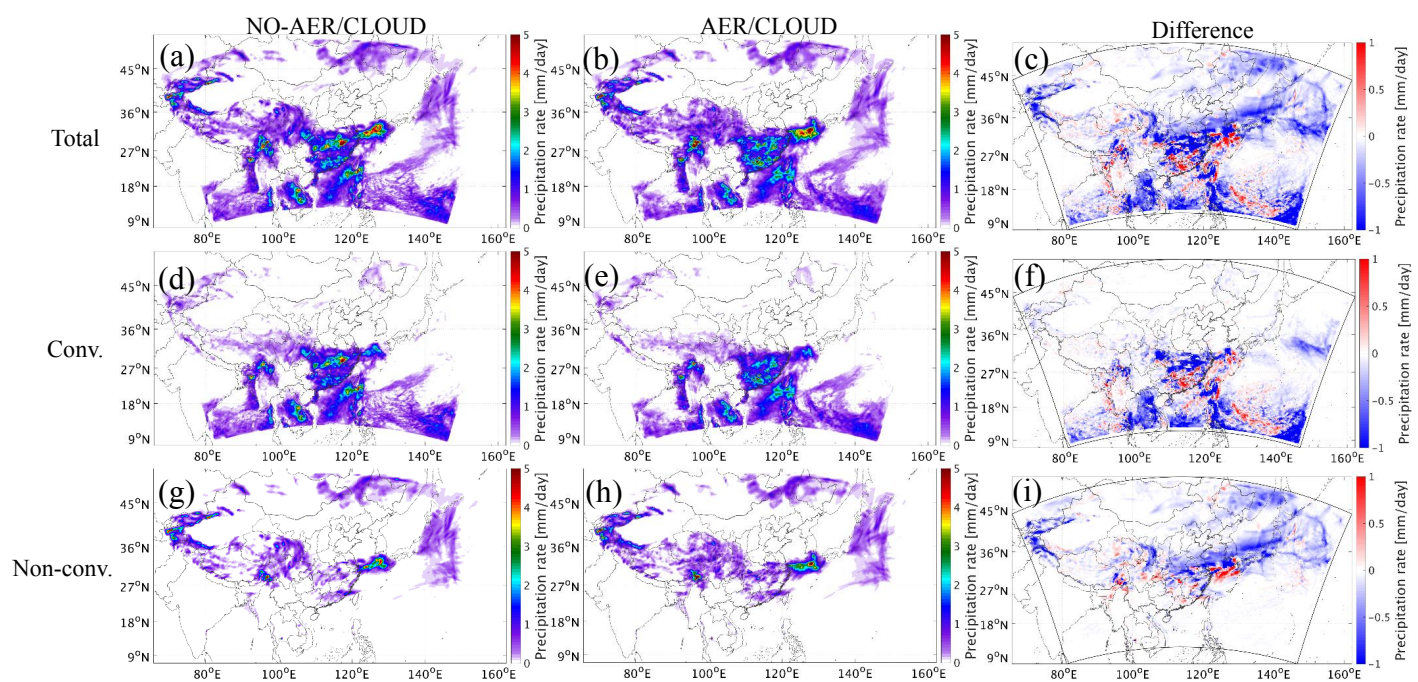


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