

## Response to the anonymous reviewer #2

We thank the anonymous reviewer for the suggestions and comments. The responses to each comment are addressed as below in the revised manuscript.

Comment #1: Page 5, line 47: The semi-direct effect does not only have to be for aerosols (here dust) within clouds. This is the definition used in IPCC: Absorption of solar radiation by absorbing aerosols affects static stability and the surface energy budget, and may lead to an evaporation of cloud particles. I suggest rewording the definition in the paper. The semi-direct effect has an impact on cloud formation and lifetime, but the aerosols does not have to be located interstitial within the clouds.

Response: the description of semi-direct effect of dust has been revised in the revised manuscript according to the definition in IPCC.

Comment #2: Page 7, line 97: This is the first time hygroscopic aerosol concentration is mentioned. Below is the description of where these aerosols come from (the water friendly aerosols from Thompson-Eidhammer scheme). Instead of using “aerosol using the hygroscopic aerosol concentration” I removing “the” in front of hygroscopic.

Response: Revised.

Comment #3: Page 11, line 225. I suggest replacing “format” with “properties”

Response: Revised as suggested.

Comment #4: Page 13, line 262: I suggest replacing “fined” with “small”

Response: Revised as suggested.

Comment #5: Page 14, line 290: How is “dust induced” SW, LW and TOA determined. Is the SW and LW from dust an output, or do you take the difference between DUST and NO-DUST runs to determine the “dust induced” forcings?

Response: the radiative effect of dust is represented by the difference between the results from NO-DUST/CLOUD and DUST/CLOUD, both of which output clear-sky/all-sky SW/LW radiation budget. We have clarified this in subsection 4.2.

Comment #6: Page 15, line 342: What is meant by “relative slight” ?

Response: We have deleted “relatively slight” and just report the actual modification of radiative forcing in the revised manuscript.

Comment #7: Page 17, lines 378-382. This sentence is long. I suggest breaking it up into two sentences.

Response: Revised as suggested.

Comment #8: Page 21, line 499: This sentence is difficult to understand. Please rephrase.

Response: The sentence has been rephrased as suggested.

Comment #9: Page 23, line 542: Should this line be deleted?

Response: This sentence was incomplete in the original manuscript, we have revised it in the updated version.

Comment #10: Page 24, line 577: Please rephrase sentence.

Response: The sentence has been rephrased.

Comment #11: age 24, line 583: As mentioned earlier, the semi direct-effect does not only act on particles within cloud, but in the entire atmosphere.

Response: “dust particles within clouds” has been replaced by “dust particles in the atmosphere” in this sentence.

Comment #12: Page 25, line 598: Do you ever really show a redistribution of water vapor? You show changes in LWC and IWC and the K-index. I would add a sentence or two on how you infer the changes in water vapor based on the other parameters you have discussed.

Response: We have revised the sentence to avoid causing confusion. “The modification of atmospheric water vapor” has been replaced by “The modification of clouds, including ice and liquid clouds”.

# Investigating the role of dust in ice nucleation within clouds and further effects on the regional weather system over East Asia

## Part II: modification of the weather system

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### Highlights:

The direct radiative effect of dust are more pronounced than the cloud radiative effect enhanced by dust on altering the radiative budget.

The indirect effects of dust result in an increase ice clouds at mid- to upper troposphere, and a reduction of liquid clouds at low to mid-troposphere.

The total precipitation amount over East Asia remains unchanged, with the locations of the precipitation are shifted.

**Abstract.** An updated version of the Weather Research and Forecast model coupled with Chemistry (WRF-Chem) was applied to quantify and investigate the full effects of dust on the meteorological field over East Asia during March and April 2012. The performances of the model in simulating the short-wave and long-wave radiation, surface temperature, and precipitation over East Asia are improved by incorporating the effects of dust in the simulations. The radiative forcing induced by the direct radiative effect of dust is greater than that by the dust-enhanced cloud radiative effect. The indirect effects of dust result in a substantial increase ice clouds at mid- to upper troposphere, and a reduction in liquid clouds at low to mid-troposphere. The radiative forcing combined with the re-distribution of atmospheric water vapor results in an overall decrease of near-surface temperature and an increase of temperature at the mid- to upper troposphere over East Asia, leading to an inhibition of atmospheric instability over most land areas, but an enhancement of atmospheric instability over South China. Upon considering the effects of dust, the convective precipitation exhibits an inhibition over areas from central to East China, and an enhancement over South China. Meanwhile, the locations of non-convective precipitation are shifted due to the perturbation of cloud water path. The total amount of precipitation over East Asia remains unchanged, however, the precipitation locations are shifted. The precipitation can be enhanced or inhibited by up to 20% at particular areas.

## 1 Introduction

Dust is recognized as an “essential climate variable” because it is a major component of atmospheric aerosols and has significant impacts on the weather and climate system (Solomon, 2007). East Asian dust is an important contributor to global dust emissions (Ginoux et al., 2001), and thus play a significant role in affecting the regional weather system through direct effect, semi-direct and indirect effects.

Dust particles affect the radiation budget directly by absorbing, reflecting and scattering short-wave and long-wave radiation (Satheesh et al., 2006; Seinfeld et al., 2004; Lacis, 1995). The ~~cloud radiative effect induced by dust is referred to as the semi-direct effect of dust~~ absorption of radiation by dust can further affect atmospheric static stability and surface energy budget, which may lead to an evaporation of cloud droplets. This effect is referred as semi-direct effect of dust. (Solomon, 2007). ~~Dust particles within clouds can absorb radiation and heat up the surrounding environment, leading to faster evaporation rate of cloud droplets and thus a reduction of cloud cover.~~ The indirect effects of dust are related to dust–cloud–interaction. (Hansen et al., 1997; Perlwitz and Miller, 2010). Dust particles are recognized as effective ice nuclei (IN) and considered to play an important role in cold cloud processes (Broadley et al., 2012; Connolly et al., 2009; Sassen, 2002), leading to the variation of the ice water content in mixed-phase and ice clouds, which further affects the formation and development of clouds, as well as precipitations (Sassen et al., 2003; Targino et al., 2006; Teller and Levin, 2006; Lohmann and Feichter, 2005).

In light of the significance of dust for the weather and climate system, assessing the effects of dust has become increasingly important. On one hand, the direct (Mallet et al., 2009; Nabat et al., 2015a; Ge et al., 2010; Hartmann et al., 2013; Huang et al., 2009; Bi et al., 2013; Liu et al., 2011a; Liu et al., 2011b; Palacios et al., 2015; Huang, 2017) and semi-direct (Tesfaye et al., 2015; Nabat et al., 2015b; Seigel et al., 2013) effects of dust has being extensively studied worldwide by applying numerical methods. On the other hand, various ice nucleation parameterizations have been implemented into global models to estimate the importance of dust in atmospheric ice nucleation (Lohmann and Diehl, 2006; Karydis et al., 2011; Hoose et al., 2008; Zhang et al., 2014), revealing that the effect of dust as IN should not be neglected in numerical models, especially in the simulations over arid regions during strong wind events (DeMott et al., 2003; Koehler et al., 2010; DeMott et al., 2015; Lohmann and Diehl, 2006; Atkinson et al., 2013). Unfortunately, , only limited work has been carried out to investigate the indirect effects of dust on the regional weather system,

especially over East Asia, which is one of the major contributors to the global dust emission in the world (Ginoux et al., 2001).

This series of study aimed to investigate the role of East Asian dust in affecting the regional weather system. In the first part of the study, The Goddard Chemistry Aerosol Radiation and Transport (GOCART) model has been coupled with the aerosol-aware Thompson-Eidhammer microphysics scheme (Thompson and Eidhammer, 2014), enabling the model to estimate the indirect effect of dust along with the direct and semi-direct effects, which improved the simulation of the ice nucleation process involving dust particles (Su and Fung, 2017). In this work, by applying an updated version of WRF-Chem, we aim to investigate the full effects of dust, including direct, semi-direct, and indirect effects, on the regional weather system over East Asia during a dust-intensive period. As the semi-direct effect and indirect effect of dust cannot be separated in our simulation, these two effects are merged and discussed as a part of the effects of dust apart from the direct effect, and represented by “indirect effects” in the rest of the paper. This is the first study to document the full effects of dust during a typical dust-intensive period over East Asia by applying an online-coupled regional numerical model.

The remainder of the manuscript is organized as follows. The model configurations is described in Section 2, followed by the model validation in Section 3. The results along with the discussion will be presented in section 4, followed by the concluding remarks in Section 5.

## **2 Model configurations**

The simulations were performed using an updated version of WRF-Chem based on version 3.8.1 (Grell et al., 2016). The GOCART-Thompson, which is the coupling of the GOCART aerosol model and the aerosol-aware Thompson-Eidhammer microphysics scheme, has been implemented in the updated WRF-Chem, to evaluate the indirect effects of dust on the atmospheric ice nucleation process by serving as IN. In the GOCART-Thompson microphysics scheme, the condensation and immersion freezing is parameterized by the DeMott2015 ice nucleation scheme, and two factors of the DeMott2015 scheme were tuned through sensitivity experiments in the first part of this study.  $c_f$  is a calibration factor in the DeMott2015 ice nucleation parameterization scheme, which was used for the nucleation of the heterogeneous nucleation of ice crystals by dust particles in the GOCART-Thompson scheme, and it ranges from 1 to 6. According to the results of the sensitivity experiments in Part I, the calibration factor  $c_f$  was set to be 4 for the

simulations in this study. Furthermore, it was also demonstrated that the ice water content was still underestimated by using the GOCART-Thompson scheme. To improve the simulation of the ice nucleation by dust particles, the threshold relative humidity with respect to ice ( $RH_i$ ) was lowered from 105% to 100% in the ice nucleation parameterization, to allow the heterogeneous nucleation of ice crystals by dust particles to occur at a lower  $RH_i$  (Su and Fung, 2017). Therefore, a threshold  $RH_i$  of 100% was for the simulations run with dust emissions in this study. In addition, the deposition nucleation is determined by the Phillip's parameterization scheme (Phillips et al., 2008), and the freezing of deliquesced aerosols using the hygroscopic aerosol concentration is parameterized following Koop's parameterization scheme (Koop et al., 2000). The GOCART aerosol model was applied to simulate aerosol processes (Ginoux et al., 2001; Ginoux et al., 2004) and produce ice nuclei that served by dust particles in DUST. Shao's dust emission (Kang et al., 2011; Shao et al., 2011) with soil data from the United states Geological Survey (Soil Survey Staff, 1993), which have been demonstrated to have good performance in reproducing dust emissions over East Asia, was used to generate dust emission in the simulations of TEST. The ice nuclei were then fed into the GOCART-Thompson microphysics scheme for calculating the indirect effects of dust by DeMott2015 parameterization scheme. In addition, the pre-given climatological profiles applied in Thompson-Eidhammer scheme (Thompson and Eidhammer, 2014) were used to provide the number concentration of water-friendly aerosols for the freezing of deliquesced aerosols to consider the background indirect effects of aerosols on ice nucleation for all the simulations in this study.

Four numerical simulations were carried out to evaluate the separate effects of dust over East Asia. The configurations for the four simulations are summarized in Table 1. The first simulation was termed NO-DUST/NO-CLOUD, and was conducted without dust, with both the aerosol radiative feedback and cloud radiative feedback turned off. The second simulation, NO-DUST/CLOUD, was also conducted without dust, with the aerosol radiative feedback turned off, but the cloud radiative feedback turned on to estimate the intrinsic radiative effect of cloud. The third simulation, DUST/NO-CLOUD, was conducted with the presence of dust, with the aerosol radiative feedback turned on, while the cloud radiative feedback still turned off. The difference between NO-DUST/NO-CLOUD and DUST/NO-CLOUD therefore represented the direct effect of dust on the radiation budget and other meteorological parameters. The last simulation, DUST/CLOUD, was conducted with the presence of dust, and with both aerosol radiative feedback and cloud radiative feedback turned on, to estimate the full effect of dust on the meteorological field over East Asia.

The important physical and chemical parameterization schemes applied for the four simulations are as follows. The

GOCART aerosol model was applied to simulate the aerosol processes (Ginoux et al., 2001; Ginoux et al., 2004). For the dust emission simulation in DUST/NO-CLOUD and DUST/CLOUD, the Shao dust emission scheme (Shao, 2004; Shao et al., 2011) was applied, which had been demonstrated to closely reproduced the dust emissions over East Asia (Su and Fung, 2015). Note that no aerosol emissions were considered in the simulations other than dust. The Mellor–Yamada–Janjic (MYJ) turbulent kinetic energy scheme was used for the planetary boundary layer parameterization (Janjić, 2002, 1994); the moisture convective processes were parameterized by the Grell–Freitas scheme (Grell and Freitas, 2014); the short-wave (SW) and long-wave (LW) radiation budgets were calculated by the Rapid Radiative Transfer Model for General Circulation (RRTMG) SW and LW radiation schemes (Mlawer et al., 1997; Iacono et al., 2008); gravitational settling and surface deposition were combined for aerosol dry deposition (Wesely, 1989); a simple washout method was used for the below-cloud wet deposition of aerosols; and the aerosol optical properties were calculated based on the volume-averaging method. The newly-implemented wet scavenging scheme described in Part I of this study was used for the in-cloud wet scavenging of dust particles caused by the microphysical processes. As no dust was simulated in NO-DUST/NO-CLOUD and NO-DUST/CLOUD, these two simulations did not included a dust emission scheme, aerosol dry and wet deposition schemes, and aerosol optical schemes.

As described in the first part of this manuscript, two nested domains were used for all four simulations, the outer domain had a horizontal resolution of 27 km, covering the entire East Asia region, and the inner domain had a horizontal resolution of 9 km, covering the entire central to East China. Both domains have 40 layers, with the top layer at 50 hPa. The simulation period was March 9 to April 30, 2012, with the first eight days as “spin-up” time. Only the results from March 17 to April 30, 2012 were used for further analysis. Final reanalysis data provided by the United States National Centre of Environmental Prediction, with a horizontal resolution of 1°, were used for generating the initial and boundary conditions for the meteorological field. The simulations were re-initialized every 4 days, with the aerosol field being recycled, i.e., the output of the aerosol field from the previous 4-day run was used as the initial aerosol state for the next 4-day run.

### **3 Model validation**

The simulation for dust emission was validated in Part I of this study, and the model was demonstrated to closely reproduce dust emissions over East Asia during the investigated period by comparison with comprehensive



observational data. As this study focused on the modification of the meteorological field by the effects of dust over East Asia, the capability of the model in simulating the meteorological field itself over this region requires further validation.

The China meteorological forcing dataset (Yang et al., 2010; Chen et al., 2011) was used to assess the performance of the model in reproducing the spatial distribution of the meteorological field over China. The dataset was developed by the hydrometeorological research group at the Institute of Tibetan Plateau Research, Chinese Academy of Science, by merging the Princeton meteorological forcing data (Sheffield et al., 2006), the Global Energy and Water Cycle Experiment–Surface Radiation Budget (GEWEX-SRB) forcing data (Pinker and Laszlo, 1992), and the Global Land Data Assimilation System forcing dataset (Rodell et al., 2004). The dataset contains gridded observations of the near-surface temperature, precipitation rate, surface downward SW and LW radiation across China, with a spatial resolution of 0.25°, dating from 1996.

Note that only simulation results from NO-DUST/CLOUD and DUST/CLOUD are shown, as they represent the intrinsic meteorological field and the meteorological field modified by the effects of dust, respectively. For March, the comparison is restricted to the observational data from March 17 to March 31, 2012, to ensure temporal overlay with the corresponding simulation period. No observational data over the ocean were available, so the simulated results over the ocean are also omitted to simplify the comparison.

The spatial distributions for the monthly average observational downward surface SW radiation for March and April 2012 are shown in Figure 1a and b. Overall, the SW radiation was stronger in April than in March. The SW radiation was significantly higher over the West and Northwest China, due to the higher elevation of terrain over these regions, and lower over East and South China, due to the lower elevation and greater cloud coverage over those regions. The model closely reproduced the spatial distributions of the SW radiation in both months and accurately captured the trend from March to April in the simulation results from both NO-DUST/CLOUD and DUST/CLOUD, despite a certain overestimation, especially over coastal areas of East and South China. This overestimation was likely due to the underestimation of clouds by the model over these areas. Compared with inland areas, cloud coverage is always greater over the coastal areas of East and South China due to the abundant water vapor. Therefore, the SW radiation budget over coastal areas was more sensitive to the underestimation of clouds by the model. Nevertheless, an improvement in the simulation of the SW radiation budget over East Asia can be seen in the results from DUST/CLOUD compared with those from NO-DUST/CLOUD. Specifically, the SW radiation produced in

DUST/CLOUD (Figure 1e and f) was substantially lower than that produced in NO-DUST/CLOUD (Figure 1c and d) over China, especially at the dust sources and surrounding areas over the north and northwest of the country, which is clearly more consistent with the observations.

For downward surface LW radiation, two high-value areas can be observed (Figure 2a and b). One is over Northwest China, where the Taklimakan Desert is located. The strong downward LW radiation over this region was likely due to the abundance of dust particles in the local atmosphere. The other area of strong LW radiation was located over South China, which is warmer and contains more atmospheric water vapor. Water vapor is a potent greenhouse gas, which efficiently absorbs LW radiation emitted by the Earth and heat the surrounding area, and thus increases the emission of LW radiation downward (and upward) by the heated atmosphere. The model accurately simulated the spatial distributions of the LW radiation over this region for both March and April in both NO-DUST/CLOUD (Figure 2c and d) and DUST/CLOUD (Figure 2e and f), and indeed closely captured the spatial pattern of the LW radiation over China. The LW radiation over the Gobi Desert produced by DUST/CLOUD (Figure 2e and f) is slightly higher than that produced by NO-DUST/CLOUD, indicating that the model reproduced the LW radiation budget more accurately upon taking the effects of dust into account.

Similarly to the spatial distributions for the LW radiation, higher near-surface temperatures were observed over Northwest China, which is a dry, arid area, and South China, which is closer to the equator (Figure 3a and b). The spatial distributions of the near-surface temperature over this region were well reproduced by the model for both March and April in both NO-DUST/CLOUD (Figure 3c and d) and DUST/CLOUD (Figure 3e and f). The model accurately captured the spatial pattern of the surface temperature, and the two simulations did not show remarkable difference in their results.

During the simulation period, the precipitation increased from North to South China in both months, and increased from March to April over the entire region (Figure 4a and b). The spatial patterns of precipitation in March and April were mostly reproduced by the model in both NO-DUST/CLOUD (Figure 4c and d) and DUST/CLOUD (Figure 4e and f), but the model underestimated the precipitation in March in both simulations, especially over central and North China. In April, the observed precipitation center was located over South China. Apart from underestimating the precipitation over Central and North China, the NO-DUST/CLOUD simulation predicted the precipitation center to be located in an area to the north of the observed center (Figure 4d), and it also underestimated the precipitation over South China. In contrast, in the results of DUST/CLOUD (Figure 4f), the precipitation band to the north of South

China was weaker, and the precipitation over South China was slightly stronger than that produced by NO-DUST/CLOUD, which was more consistent with the observations.

The foregoing comparison of the simulation results with the observational data demonstrated that the model reasonably reproduced the meteorological field over East Asia. Moreover, the meteorological field was produced more accurately when the effects of dust were considered in the simulations, which consequently allows the dust-induced modification of the meteorological field to be investigated.

## 4 Results and discussion

### 4.1 Atmospheric water vapor

#### 4.1.1 Spatial distribution

The indirect effects of dust particles lead to modifications of cloud ~~format~~ properties and cloud lifetime, and a redistribution of atmospheric water vapor. The spatial distributions of the simulated ice water path (IWP) and cloud water path (CWP) from NO-DUST/CLOUD and DUST/CLOUD, as well as the difference between the two simulations (DUST/CLOUD – NO-DUST/CLOUD), are presented in Figure 5. The atmospheric IWP and CWP are the column sums of cloud ice water content, and cloud water content in the atmosphere per unit area. Note that the difference between NO-DUST/CLOUD and DUST/CLOUD is entirely due to the full effects of dust, i.e., the direct effect of dust, the cloud radiative effect enhanced by dust, and the microphysical effect of dust serving as IN in the atmosphere. The spatial distributions shown in Figure 5 are the mean IWP and CWP averaged over the whole simulation period.

Figure 5a and b show the spatial distributions of the mean atmospheric IWP over East Asia produced from NO-DUST/CLOUD and DUST/CLOUD. The IWP is concentrated over mid-latitude areas (30-40°N) in the results of NO-DUST/CLOUD (Figure 5a), with values of 10-20 g/m<sup>2</sup>. These ice crystals are mostly formed through the freezing of the deliquesced water-friendly aerosols. By contrast, the IWP produced by DUST/CLOUD is substantially higher than that produced by NO-DUST/CLOUD over mid-latitude areas in the simulation domain, which are the dust sources and their downstream areas (Figure 5b). This corresponds to an increase by 25% to 50% over vast areas, from dust source regions to the Northwest Pacific (Figure 5c), due to the dust particles serving as IN, and leading to a substantial enhancement of ice crystals in the atmosphere. Dust nuclei in the atmosphere enable the super-cooled water droplets to freeze into ice crystals at a much higher temperature and lower relative humidity.

The spatial distributions for the mean CWP over East Asia produced from NO-DUST/CLOUD and DUST/CLOUD are shown in Figure 5d and e, in both of which the CWPs are concentrated over South China and the West Pacific Ocean, with comparable values to each other. However, the comparison of CWPs produced from DUST/CLOUD and NO-DUST/CLOUD in Figure 5f shows the existence of dust leads to a slight reduction of CWP over West, North and central China, where the IWP increases substantially. Moreover, there are perturbations of CWP over East and South China, as well as the West Pacific Ocean. Particularly, the CWP is generally reduced by up to  $10 \text{ g/m}^2$  over West, North and central China, and increased by up to  $10 \text{ g/m}^2$  over South China, which account for over 10% or more of the total cloud water vapor at these regions.

#### 4.1.2 Vertical profile

As the spatial distributions of IWP and CWP over East Asia are altered by the effects of dust, the cloud ice mixing ratio and cloud water mixing ratio are also modified vertically. Figure 6 shows the modifications on vertical profiles of the cloud ice and cloud water mixing ratios induced by the full effects of dust. Note that the vertical profiles over land, over the ocean, and over the entire simulation domain for East Asia are averaged across the whole simulation period.

Due to the effects of dust, the cloud ice mixing ratio is increased at all altitudes from the near-surface layer to higher than 10 km over the whole of East Asia, as shown in Figure 6a. The cloud ice mixing ratio is uniformly increased between surface to 10 km over land with a peak located at around 7km (Figure 6b), which results from the increase of IN served by the abundant dust particles in the atmosphere. In contrast, the increase of the cloud ice mixing ratio over the ocean is much less significant, with a higher peak located at 8-9 km (Figure 6c). A possible cause of the higher peak over the ocean is that only those particles ~~small~~<sup>fine</sup> enough to be lifted to high altitudes can be transported as far as the open ocean of the West Pacific, whereas over land, more dust particles with larger sizes are suspended in lower layers before settling down to the surface.

The vertical modification of the cloud water mixing ratio due to the effects of dust is fundamentally different from that of cloud ice mixing ratio. Due to the effects of dust, the cloud water mixing ratio shows a decrease in average over East Asia from surface to 7 km (Figure 6d). The overall decrease is dominated by the reduction in the cloud water mixing ratio over land (Figure 6e). The cloud water mixing ratio is also decreased over the ocean near surface and above 4 km, but slightly increase between 1 and 4 km (Figure 6f). The vertical modification of the cloud water mixing

ratio suggests that the effects of dust reduce liquid clouds at low to mid-troposphere over East Asia, especially over land.

To summarize, the effects of dust result in a general increase of ice clouds and a slight decrease of liquid clouds over East Asia as a whole, whereby the increase in cloud ice is mainly concentrated at the mid- to upper troposphere, while the decrease of cloud water mostly occurs in low and mid-altitude clouds.

The increase in ice clouds at the mid- to upper troposphere is attributed to the indirect effects of dust. The abundant IN in the atmosphere served by dust particles substantially increase the amount of ice crystals in mixed-phase and ice clouds at these altitudes. In contrast, the decrease of liquid clouds at low to mid- troposphere is the result of two factors. One is the warming within the atmosphere induced by the dust, leading to a much higher saturation pressure required for atmospheric water vapor to form clouds, and a much faster evaporation rate of cloud droplets, which is due to the cloud burning effect of dust. The other factor is that the super-cooled cloud droplets in the upper layers of the troposphere freeze into ice crystals at a much higher temperature and lower relative humidity when dust particles serve as IN in the atmosphere, leading to an increase of atmospheric IWP. Combined with the direct radiative effect of dust, the modifications of the ice and liquid clouds induced by dust will alter the radiation budget over the region. Compared to that of the increase for the ice clouds, the magnitude of the decrease for liquid clouds is smaller. However, the radiative effect of liquid clouds, especially those low clouds, is much greater than that of ice clouds, therefore, the decrease of liquid clouds might have a greater impact on the radiative budget over East Asia, which will be discussed in the following section.

## 4.2 Radiative effect

The radiative effect of dust particles is demonstrated by dust-induced SW, LW, and net radiative forcing at the top of the atmosphere (TOA), at the bottom of the atmosphere (BOT), and within the atmosphere (ATM) ~~in this study. In this study, the dust-induced radiative effect is represented by the difference between the SW, LW and net radiative budget produced from NO-DUST/CLOUD and DUST/CLOUD, both of which output clear-sky/all-sky SW/LW radiation budget.~~

The spatial distributions for the mean radiative forcing induced by dust at the top of the atmosphere, at the bottom of the atmosphere, and within the atmosphere over East Asia during the simulation period are shown in Figures 7 and 8. Note that all of the spatial distributions for radiative forcing shown in the two figures are the temporal mean over the

entire simulation period. The SW radiative forcing was calculated as follows.

$$SW_{TOA} = SWDOWN_{TOA} - SWUP_{TOA} \quad (1)$$

$$SW_{BOT} = SWDOWN_{BOT} - SWUP_{BOT} \quad (2)$$

$$SW_{ATM} = SW_{TOA} + SW_{BOT} \quad (3)$$

where  $SW_{TOA}$  is the SW radiative forcing at the top of the atmosphere, and  $SW_{BOT}$  is the SW radiative forcing at the bottom of the atmosphere, both with positive values representing downwelling radiation;  $SW_{ATM}$  is the radiative forcing within the atmosphere, which is the sum of  $SW_{TOA}$  and  $SW_{BOT}$ , with positive values representing a net warming effect within the atmosphere;  $SWDOWN_{TOA}$  and  $SWUP_{TOA}$  are the downwelling and upwelling SW radiation at the top of the atmosphere, respectively;  $SWUP_{BOT}$  and  $SWDOWN_{BOT}$  are the upwelling and downwelling SW radiation at the bottom of the atmosphere, respectively.

The LW radiative forcing was calculated as follows.

$$LW_{TOA} = -LWUP_{TOA} \quad (4)$$

$$LW_{BOT} = LWDOWN_{BOT} - LWUP_{BOT} \quad (5)$$

$$LW_{ATM} = LW_{TOA} + LW_{BOT} \quad (6)$$

Where  $LW_{TOA}$  is the LW radiative forcing at the top of the atmosphere, and  $LW_{BOT}$  is the LW radiative forcing at the bottom of the atmosphere, both with positive values representing downwelling radiation;  $LW_{ATM}$  is the radiative forcing within the atmosphere, which is the sum of  $LW_{TOA}$  and  $LW_{BOT}$ , with positive values representing warming effect within the atmosphere;  $LWUP_{TOA}$  is the upwelling LW radiation at the top of the atmosphere;  $LWUP_{BOT}$  and  $LWDOWN_{BOT}$  are the upwelling and downwelling LW radiation at the bottom of the atmosphere.

The net radiative forcing is the sum of SW and LW radiative forcing.

$$Ra_{TOA} = SW_{TOA} + LW_{TOA} \quad (7)$$

$$Ra_{BOT} = SW_{BOT} + LW_{BOT} \quad (8)$$

$$Ra_{ATM} = SW_{ATM} + LW_{ATM} \quad (9)$$

#### 4.2.1 Clear-sky radiative forcing

The radiative forcing induced by dust shown in Figure 7 is also referred to as clear-sky radiative forcing, and is due to the reflection, absorption and emission of radiation by dust particles suspended in the atmosphere.

The clear-sky downwelling SW radiation at the top of the atmosphere is slightly reduced over most land areas of East

Asia (Figure 7a), indicating that the upwelling SW radiation at the top of the atmosphere increases due to the reflection and scattering of SW radiation by dust particles. The clear-sky SW radiative forcing at the bottom of the atmosphere is negative over most of East Asia (Figure 7g), especially over dust source regions, which suggests that the solar radiation that reaches the Earth's surface is substantially reduced through the absorption by dust particles suspended in the atmosphere. The absorption of solar radiation by dust particles heats up the dust layers, leading to a significant net warming effect within the atmosphere (Figure 7d). Averaged over the entire simulation domain, the SW radiative forcing over East Asia is  $-0.63 \text{ W/m}^2$  at the top of the atmosphere,  $-2.19 \text{ W/m}^2$  at the bottom of the atmosphere, and  $1.56 \text{ W/m}^2$  within the atmosphere, accounting for 0.19%, 0.87%, and 1.98% of the total clear-sky radiation budget in these three zones, respectively, as shown in Table 2.

In Figure 7b, the clear-sky downwelling LW radiation at the top of the atmosphere is slightly increased over dust source regions and downstream areas, due to the absorption of LW radiation by the thick dust layer with large fraction of coarse particles in the atmosphere. In comparison, the clear-sky downwelling LW radiation is reduced at the bottom of the atmosphere (Figure 7h), which is attributed to the Earth's surface being cooled as it receives less solar radiation (Figure 7g). Combining the LW radiative forcing at the top of the atmosphere and at the bottom of the atmosphere, there is a net negative LW radiative forcing within the atmosphere (Figure 7e). Overall, the mean LW radiative forcing averaged over the entire East Asia is ~~relatively slight, being~~  $0.18 \text{ W/m}^2$  at the top of the atmosphere,  $1.44 \text{ W/m}^2$  at the bottom of the atmosphere, and  $-1.94 \text{ W/m}^2$  within the atmosphere, accounting for 0.07%, 1.57%, and 0.74% of the total clear-sky radiation budget in those three zones, respectively.

Combining the SW and LW radiative forcing, the net downwelling clear-sky radiation at the top of the atmosphere is reduced over most of East Asia (Figure 7c). The downwelling clear-sky net radiation at the bottom of the atmosphere is also reduced over most part of East Asia, especially over dust source regions and downstream areas (Figure 7i), leading to a net warming effect within the atmosphere (Figure 7f), which is slightly smaller than the warming caused by SW radiative forcing (Figure 7d). The net radiative forcing is  $-0.45 \text{ W/m}^2$  at the top of the atmosphere,  $-0.75 \text{ W/m}^2$  at the bottom of the atmosphere, and  $0.30 \text{ W/m}^2$  within the atmosphere, accounting for 0.67%, 0.47%, and 0.33% of the total clear-sky radiation budget in those three zones.

#### 4.2.2 All-sky radiative forcing

The all-sky radiative forcing induced by dust shown in Figure 8 is the total radiative forcing, including the radiative

forcing directly induced by dust displayed in Figure 7, and that induced by the cloud radiative effect enhanced by dust. As SW radiation is not sensitive to ice crystals in the atmosphere, the all-sky downwelling SW radiation shows a smaller reduction at the top (Figure 8a) and the bottom (Figure 8g) of the atmosphere over dust sources and downstream areas compared to the clear-sky case. The perturbations of all-sky SW radiation over southern part of the simulation domain and the Pacific Ocean in Figure 8a and g are likely due to the fluctuation of cloud cover. Similarly, the all-sky SW radiative radiation shows a warming effect within the atmosphere (Figure 8d), with an identical magnitude and spatial distribution to the clear-sky case, as the warming is mostly attributed to the absorption of SW radiation by dust particles. Averaged over the entire simulation domain, the mean SW radiative forcing is  $-0.49 \text{ W/m}^2$  at the top of the atmosphere, and  $-1.94 \text{ W/m}^2$  at the bottom of the atmosphere, and  $1.44 \text{ W/m}^2$  within the atmosphere (Table 2), accounting for 0.17%, 0.93%, and 1.81% of the total all-sky radiation budget in the three zones, respectively. Compared to the clear-sky case, the positive LW radiative forcing at the top of the atmosphere is slightly increased over dust sources and downstream land areas over East Asia (Figure 8b), indicating less upwelling LW radiation at the top of the atmosphere. This is likely caused by the combination of the lower surface temperature due to less solar radiation reaching the Earth's surface, and the absorption of LW radiation by more ice clouds induced by dust plumes over these areas. The absorption of the LW radiation by more ice clouds also leads to less cooling within the atmosphere (Figure 8e) compared to the clear-sky case. Moreover, a greater cloud amount results in an increase of surface temperature, leading to more upwelling LW radiation emitted by the surface, and thus a smaller positive LW radiative forcing at the bottom of the atmosphere (Figure 8h). As shown in Table 2, the mean all-sky LW radiative forcing over the entire simulation domain is  $0.31 \text{ W/m}^2$  at the top of the atmosphere,  $1.19 \text{ W/m}^2$  at the bottom of the atmosphere, and  $-1.26 \text{ W/m}^2$  within the atmosphere, accounting for 0.07%, 1.57%, and 0.74% of the total all-sky radiation budget in the three zones, respectively. Summing the SW and LW radiative forcing, the net downwelling all-sky radiation at the top of the atmosphere is generally increased over dust sources, whereas reduced over the downstream land areas (Figure 8c). By contrast, the net downwelling all-sky net radiation at the bottom of the atmosphere is reduced significantly over most land areas over East Asia (Figure 8i). Radiative forcing results in pronounced warming within the atmosphere over East Asia as a whole (Figure 8f). Averaged over the simulation domain, the net all-sky radiative forcing is  $-0.18 \text{ W/m}^2$ ,  $-0.75 \text{ W/m}^2$ , and  $0.57 \text{ W/m}^2$  at the top of the atmosphere, at the bottom of the atmosphere, and within the atmosphere during the simulation period, accounting for 0.38%, 0.56%, and 0.66% of the total net radiation budget in those three zones,



respectively.

In summary, the direct radiative effect of dust combined with the cloud radiative effect enhanced by dust generally causes a net loss of radiation at the Earth's surface, but a net gain within the atmosphere, leading to a cooling at the surface and lower troposphere, and a warming in mid- to upper troposphere. Nevertheless, as ice clouds enhanced by dust are thin clouds, which contributes little to the modifications of both LW and SW radiations, the radiative forcing induced by the indirect effects of dust is much less significant than that by the direct radiative effect of dust, therefore, the dust-induced radiative forcing over East Asia is dominated by the direct radiative effect of dust. However, the perturbation of the radiation budget, especially over south part of the simulation domain and the Pacific Ocean, is likely due to the fluctuation of the liquid cloud amount. On average, the radiative effect caused by the increase of ice clouds compensates with that resulted from the decrease of liquid clouds, leaving comparable modifications of the net radiative forcing in the clear-sky case and the all-sky case.

#### **4.3 Vertical temperature profile**

Due to the radiative forcing induced by the effects of dust, the vertical temperature profile is modified. Figure 9 shows the modifications of the vertical temperature profiles resulted from the direct radiative effect of dust, the cloud radiative effect enhanced by dust, and the full radiative effect of dust over East Asia, over land, and over the ocean during the investigated period.

On average, the temperature over the simulation domain as a whole is decreased below 2 km, increased from 2 km to 7 km, and then decreased above 7 km (Figure 9a). The contributions of the direct radiative effect of dust and dust-enhanced cloud radiative effect to the vertical temperature modification are shown in Figure 9b and c. The direct radiative effect of dust (Figure 9b) results in a decrease of temperature below 3 km, and an increase from 3 km to 14 km. In contrast, the pattern of the vertical temperature modification caused by dust-enhanced cloud radiative effect (Figure 9c) is opposite to that caused by the direct effect of dust. The temperature is increased from surface to 3 km, and then decreased from 3 km to 15 km.

As the radiative forcing induced by dust over land differs from that over the ocean, the effects on the vertical temperature profile requires further discussion. The modifications of vertical temperature profile over land induced by the full effects (Figure 6d), direct effect (Figure 6e) and indirect effects (Figure 6f) of dust exhibit similar distributions to those over the entire domain, but with larger magnitudes. The decrease in temperature at the lower

level is composed of roughly equal contributions from the direct radiative effect and the dust-enhanced cloud radiative effect. The decrease in temperature at lower layers is mainly attributable to the negative SW radiative forcing at the surface induced by dust, and the increase of temperature at the mid troposphere is due to the absorption of SW radiation by dust plumes, and the decrease of temperature at the upper troposphere might be due to that the enhancement of ice clouds in mid- to upper troposphere prevents the upwelling LW radiation being absorbed by those ice clouds at higher altitudes.

The modification of the vertical temperature profile over the ocean is different from that over land. The temperature is increased from surface to mid-troposphere (Figure 9g), which is contributed by two factors. One is the increase of temperature at lower layers (Figure 9i) attributed to more absorption of LW radiation and latent heat released by the enhancement of low clouds. The other is the increase of temperature at mid-troposphere (Figure 9h) caused by the absorption of SW radiation by dust plumes.

#### 4.4 Atmospheric stability

As discussed above, the radiative forcing and the re-distribution of atmospheric water content induced by dust result in a modification of the vertical temperature profile over East Asia. The corresponding shift of the thermal energy in the atmosphere eventually lead to a modification of the atmospheric stability over this region.

The K-index ( $KI$ ) is a metric widely used in meteorology to evaluate atmospheric stability, and is calculated with the following equation (George, 2014):

$$KI = T_{850} - T_{500} + Td_{850} - (T_{700} - Td_{700}) \quad (10)$$

where  $T_{850}$ ,  $T_{700}$ , and  $T_{500}$  are the respective temperatures at 850 hPa, 700 hPa, and 500 hPa, and  $Td_{850}$  and  $Td_{700}$  are the dew points at 850 hPa and 700 hPa. The calculation of  $KI$  considers the atmospheric stability as a function of the vertical temperature lapse rate, the moisture content of the lower atmosphere, and the vertical extent of the moist layer. The larger the value of  $KI$ , the more unstable the atmosphere. To evaluate the effect of dust on atmospheric stability,  $KI$  was calculated from the simulation outputs.

Figure 10 shows the spatial distributions for the mean  $KI$  from NO-DUST/CLOUD over East Asia during the simulation period, which represents the intrinsic average atmospheric stability free from the effects of dust, and Figure 11 shows the spatial distributions for the mean difference in  $KI$  between DUST/NO-CLOUD and NO-DUST/NO-CLOUD (Figure 11a), between DUST/CLOUD and NO-DUST/CLOUD (Figure 11b), and between DUST/CLOUD

and NO-DUST/ CLOUD (Figure 11c). The differences represent the modification in  $KI$  induced by the direct radiative effect of dust in Figure 11a; the indirect effects, including cloud radiative effects and re-distribution of atmospheric water content enhanced by dust, in Figure 11b; as well as the combined effects of the previous two in Figure 11c. As shown in Figure 10, the mean  $KI$  over East Asia is lower in the north and increases gradually from north to south, with the highest values located over the South China Sea and Southeast Asia, and the lowest values over the Central to North Pacific.

The contributions of the direct radiative effect of dust and the indirect effects of dust on the modification of the mean  $KI$  are shown in Figure 11a and b. The direct radiative effect of dust is to inhibit the atmospheric instability ~~is inhibited~~ over most land areas, indicated by a decrease of the mean  $KI$ , as shown in Figure 11a. However, the indirect effects of dust result in an opposite modification of mean  $KI$ , with a slight enhancement of mean  $KI$  over most areas of the investigated domain. Upon considering the full effects of dust, the mean modification of  $KI$  over most land areas in East Asia is a general decrease (Figure 11c). The largest decrease occurs over the dust source regions and central to East China, and results from the vertical modification over land. In contrast,  $KI$  increases over South China and most Southern part of the, due to the different effects of dust on the vertical temperature over these areas.

Overall, the atmosphere is stabilized over the dust source regions and central to East China, but destabilized over South China and most ocean areas, due to the effects of dust.

#### 4.5 Precipitation

The modification of atmospheric stability and the re-distribution of atmospheric water content induced by dust eventually alter the precipitation over East Asia. The spatial distributions for the mean precipitation rate, including total precipitation, convective precipitation, and non-convective precipitation from NO-DUST/CLOUD and DUST/CLOUD, as well as the difference between the two simulations, are shown in Figure 12. Note that the precipitation rate shown in Figure 12 is the mean daily precipitation rate averaged over the simulation period.

The spatial pattern of the mean total precipitation rate from NO-DUST/CLOUD shown in Figure 12a is generally similar to that from DUST/CLOUD shown in Figure 12b. However, Figure 12c shows clearly that the precipitation is modified due to the effects of dust, leading to an overall reduction of the total precipitation being reduced by as much as 1 mm/day or more to the east of Central to South China, where the main precipitation area is located, while an increase by up to 1 mm/day to the west. Meanwhile, the precipitation rate over South China is slightly enhanced. The

modifications of precipitation account for up to 20% of the total simulated precipitation rate over land areas in the simulation domain.

The simulated convective precipitation mostly occurs over the southern part of the simulation domain, with precipitation centers located over East and South China, the South China Sea, and Southeast Asia (Figure 12d and e). Due to the effects of dust, convective precipitation is enhanced over South China, but inhibited over East China (Figure 12f). The inhibition of convective precipitation over Central to East China is likely due to the general enhancement of atmospheric stability, which reduces the convective motion over this region. The greater convective precipitation over South China is attributed to the more unstable atmosphere, which promotes convective motions.

The Non-convective precipitation mainly occurs at the western rim of the Taklimakan Desert, northeast China, Japan, and the areas between 27°N and 36°N over East Asia during the simulation period. The spatial distribution of the non-convective precipitation rate produced in DUST/CLOUD (Figure 12h) is similar to that produced in NO-DUST/CLOUD (Figure 12g). However, Figure 12i shows that the non-convective precipitation rate is modified upon considering the effects of dust. The non-convective precipitation band over Central China exhibits a shift of location, with less precipitation to the east and west, while more in the middle. This is likely due to the modification of liquid clouds over the same area, with less clouds to the east and west, while more in the middle (Figure 5f). Similarly, the enhancement or inhibition of the non-convective precipitation over South to East China is also related with the perturbation of the cloud amount over these areas. On average, the amount of non-convective precipitation is increased or decreased by up to 20% at the main precipitation regions.

To summarize, the total amount of the precipitation over the entire investigated domain remains the same by taking the effects of dust into account, however, the locations of the precipitation might be shifted, the precipitation can be enhanced or inhibited by up to 20% over regions with relatively abundant precipitation during the investigated period, such as Central to East China, as well as South China.

## 5 Conclusions

By applying the updated WRF-Chem, which is capable of evaluating indirect effects of dust along with the direct effect in dust simulations, the full effects of dust, including direct radiative, cloud radiative, and indirect microphysical effects, on the meteorological field over East Asia during March and April 2012 were quantified and discussed.

By considering the effects of dust in the simulation, the atmospheric IWP is substantially increased from West China

to Northwest Pacific Ocean, which are the dust sources and their downstream areas with abundant dust particles available to serve as IN. By contrast, the atmospheric CWP is generally reduced over the same areas, while shows perturbations over the rest of areas in East Asia. Vertically, the effects of dust result in a general increase of cloud ice and decrease of cloud water over East Asia as a whole, ~~whereby as~~ the increase of ice clouds is mainly concentrated at the mid- to upper troposphere. ~~However, while~~ the decrease of liquid clouds mostly occurs at low- to mid-troposphere. The increase of ice clouds is due to the enhancement of ice nucleation process with abundant dust particles serving as IN. The reduction in liquid clouds is attributed to two factors. One is the burning effect of dust. Dust particles ~~in the atmosphere within clouds~~ absorb radiation and warm up the surrounding environment, leading to a much greater saturation pressure required for atmospheric water vapor to form clouds, and a much faster evaporation rate of cloud droplets. The other factor is that the ice nucleation process enhanced by dust facilitates the freezing of atmospheric super-cooled water droplets into ice crystals.

For the radiative forcing induced by dust, the direct radiative effect of dust combined with the dust-enhanced cloud radiative effect causes a net loss of radiation at the Earth's surface, but a net gain of radiation within the atmosphere, leading to cooling at the surface and lower troposphere, and warming in the mid- to upper troposphere. The radiative forcing caused by the direct radiative effect of dust is greater than that induced by the dust-enhanced cloud radiative effect over land areas, as the thin ice clouds enhanced by dust particles have limited impacts on altering the radiation budget.

The ~~re-distribution of atmospheric water vapor~~ modification of clouds, including ice and liquid clouds, as well as the radiative forcing induced by dust lead to ~~an altering-modification~~ of the vertical temperature profile. Consequently, the atmosphere is stabilized over most land areas, but destabilized over South China and most oceanic areas.

Convective precipitation is inhibited over South China due the enhanced atmospheric stability, while enhanced over Central China, resulted from the more unstable atmosphere. The modification of cloud amount results in a shift of locations of the non-convective precipitation over China. On average, the total amount of the precipitation over the entire investigated domain remains the same by taking the effects of dust into account, however, the locations of the precipitation might be shifted, the precipitation can be enhanced or inhibited by up to 20% over particular regions with relatively abundant precipitation during the investigated period.

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685 **Table 1:** Model configurations for the numerical simulations.

<b>Experiment Scheme</b>	<b>NO-DUST/NO- CLOUD</b>	<b>NO- DUST/CLOUD</b>	<b>DUST/NO- CLOUD</b>	<b>DUST/CLOUD</b>
<b>Dust emission scheme</b>	----	----	Shao	Shao
<b>Dry deposition</b>	----	----	Gravitational settling/surface deposition	Gravitational settling/surface deposition
<b>Wet deposition</b>	----	----	In-cloud and below- cloud	In-cloud and below- cloud
<b>Aerosol optical scheme</b>	----	----	Maxwell-Garnett	Maxwell-Garnett
<b>Aerosol radiative feedback</b>	off	off	on	on
<b>Cloud radiative feedback</b>	off	on	off	on

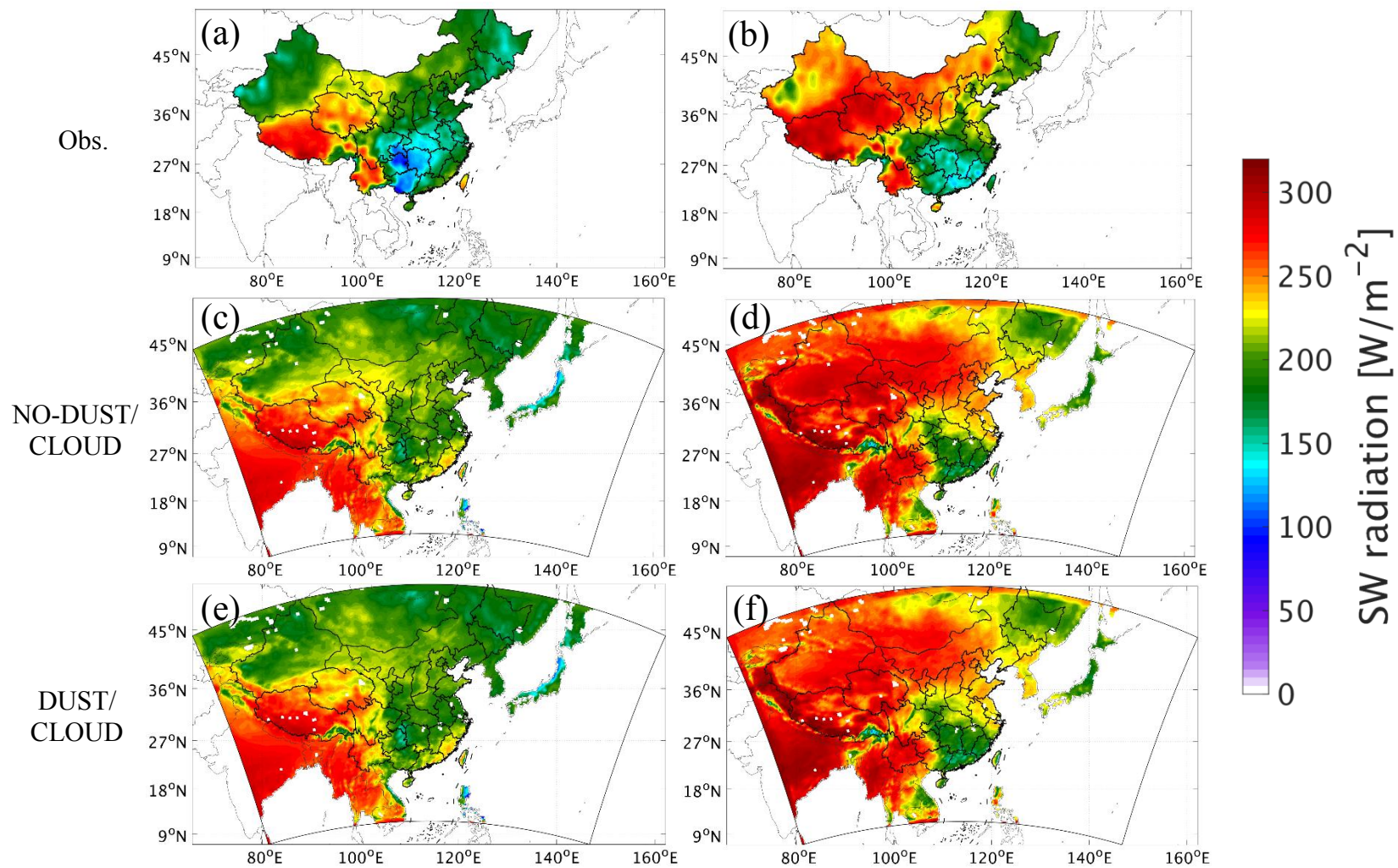
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**Table 2:** WRF-Chem-simulated SW, LW, and net radiative forcing ( $\text{W/m}^2$ ) induced by dust over East Asia at TOA, BOT, and ATM.

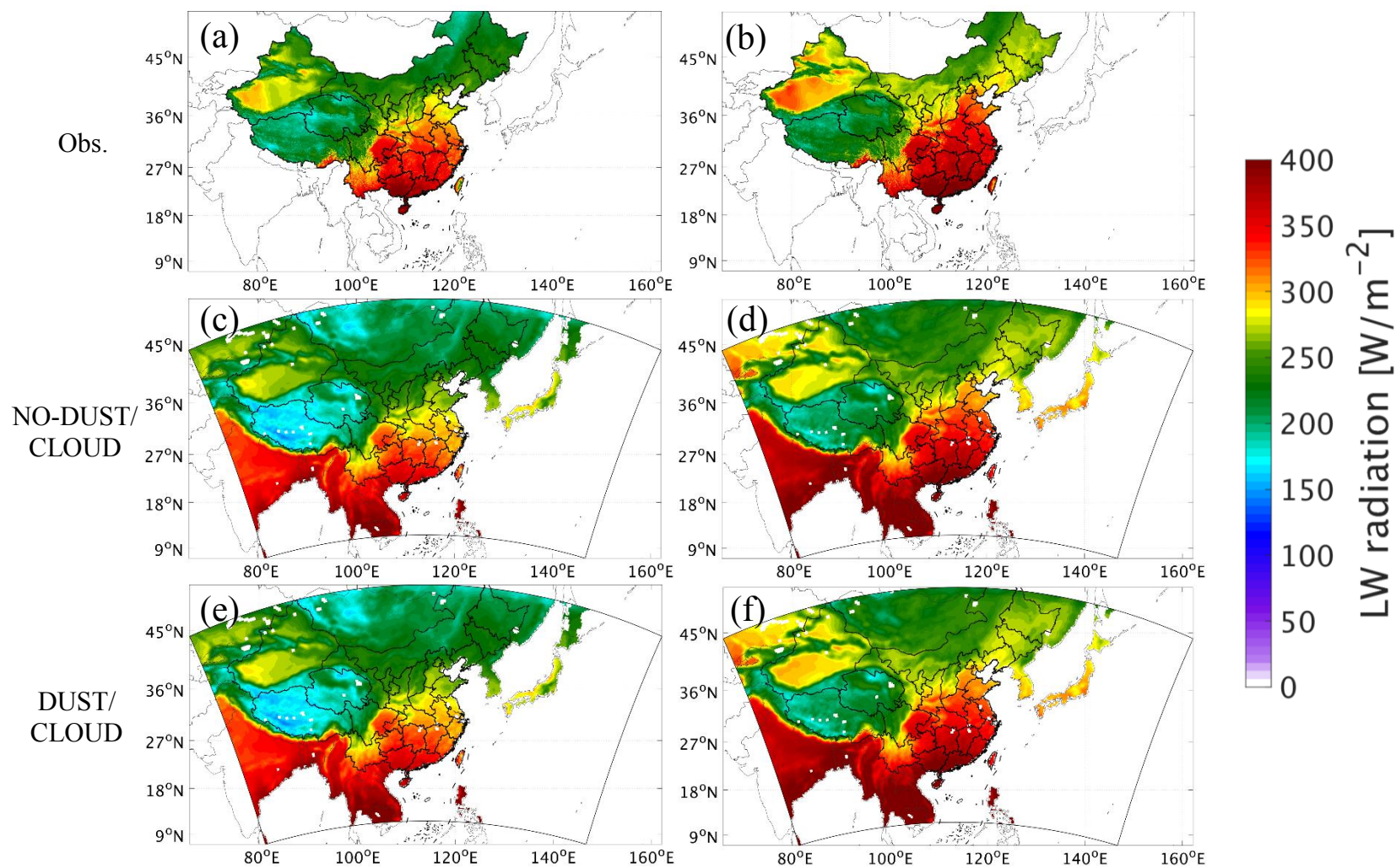
	<b>Clear-sky</b>			<b>All-sky</b>		
	SW	LW	Net	SW	LW	Net
<b>TOA (+down)</b>	-0.63	0.18	-0.45	-0.49	0.31	-0.18
<b>ATM (+warm)</b>	1.56	-1.26	0.30	1.44	-0.88	0.57
<b>BOT (+down)</b>	-2.19	1.44	-0.75	-1.94	1.19	-0.75

SW: short-wave radiative forcing; LW: long-wave radiative forcing; Net: net radiative forcing.

TOA: radiative forcing at the top of the atmosphere; ATM: radiative effect within the atmosphere; BOT: radiative effect at the bottom of the atmosphere.

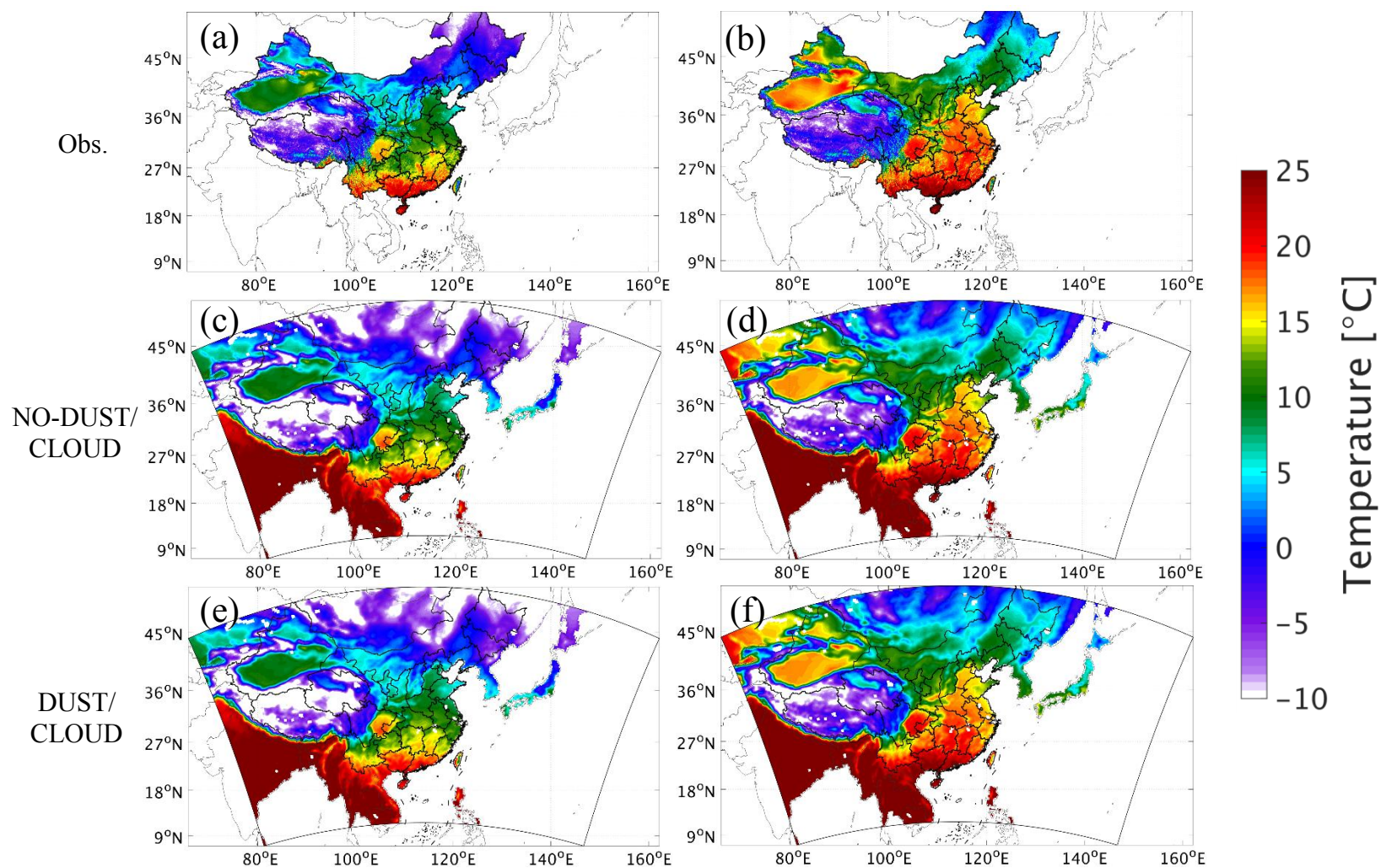


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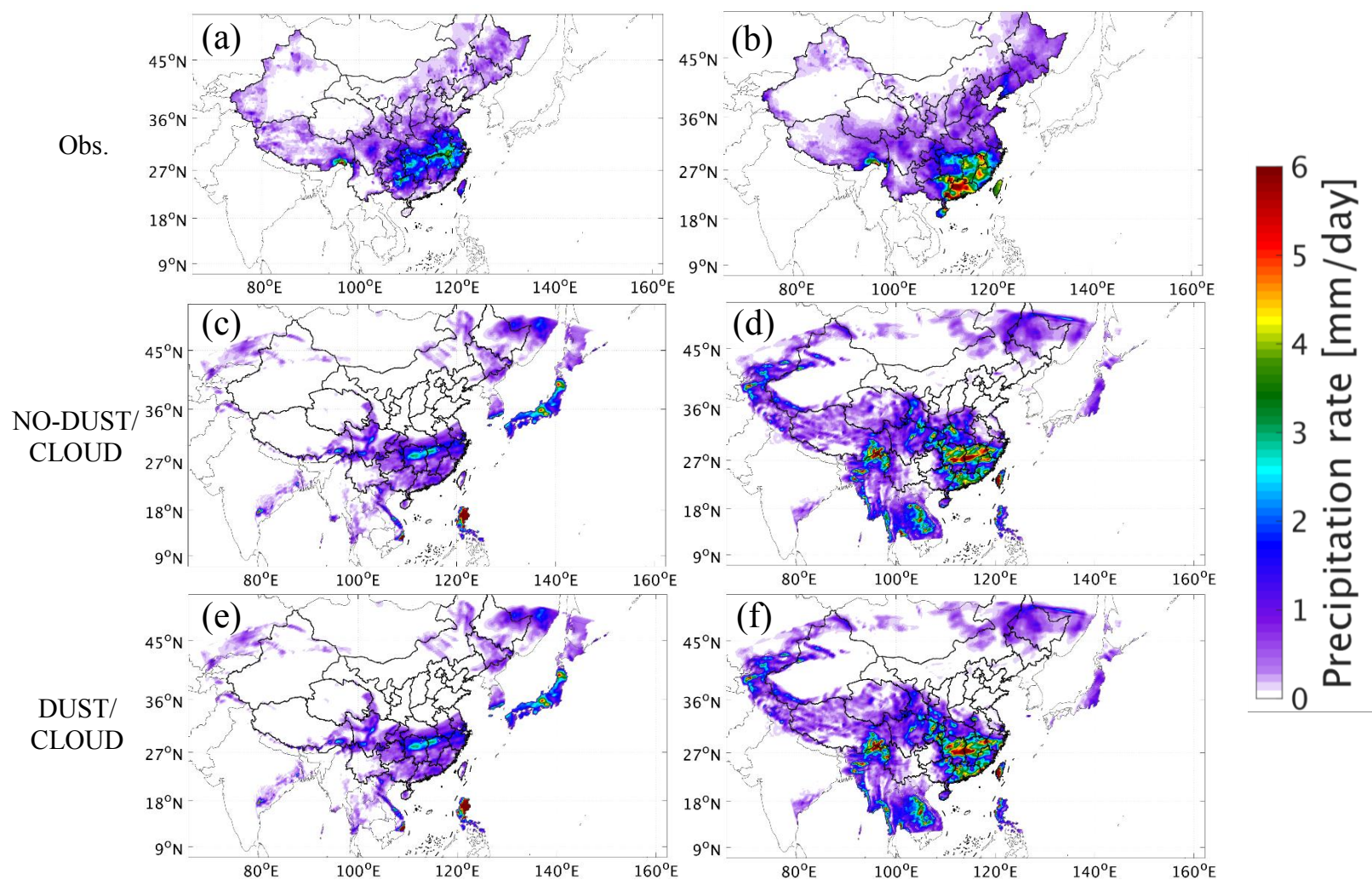
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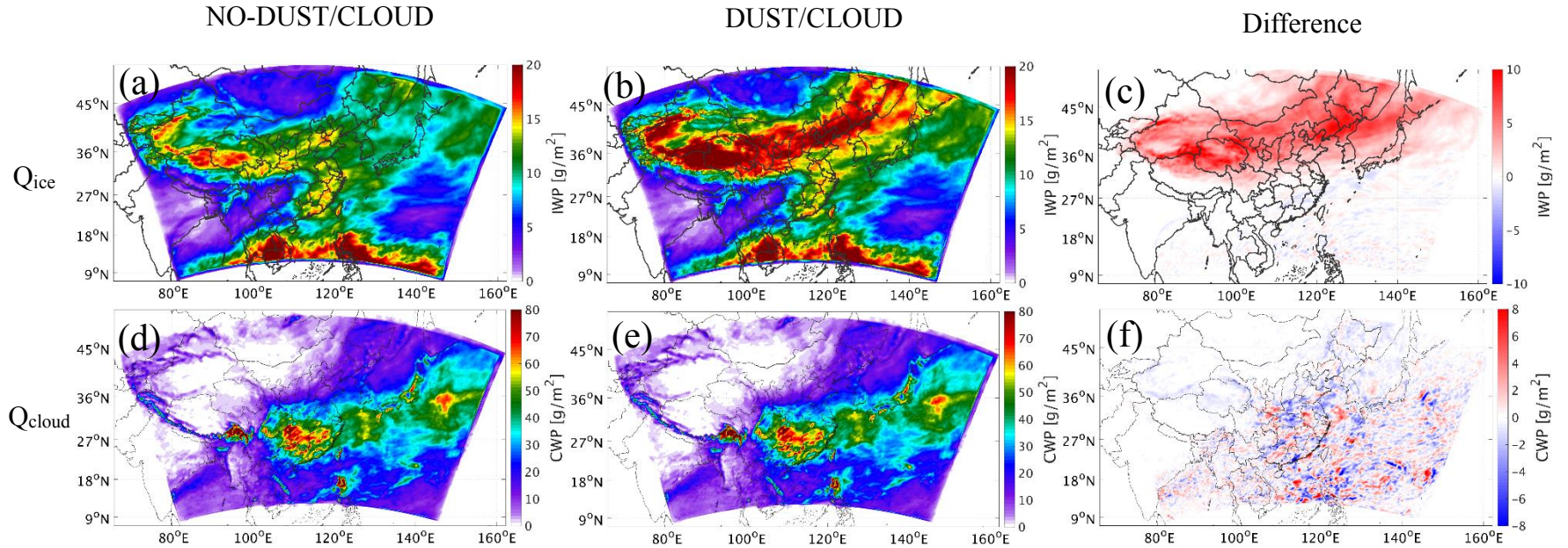


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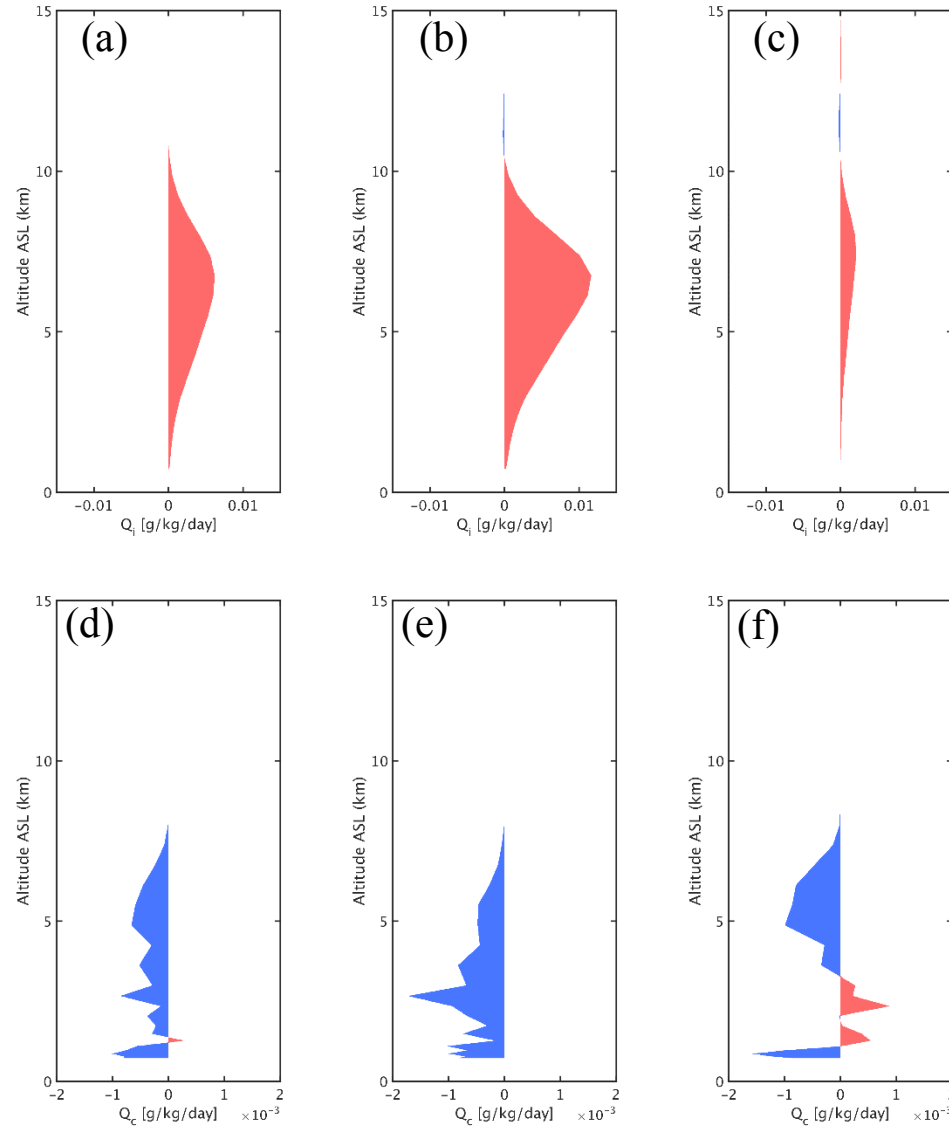




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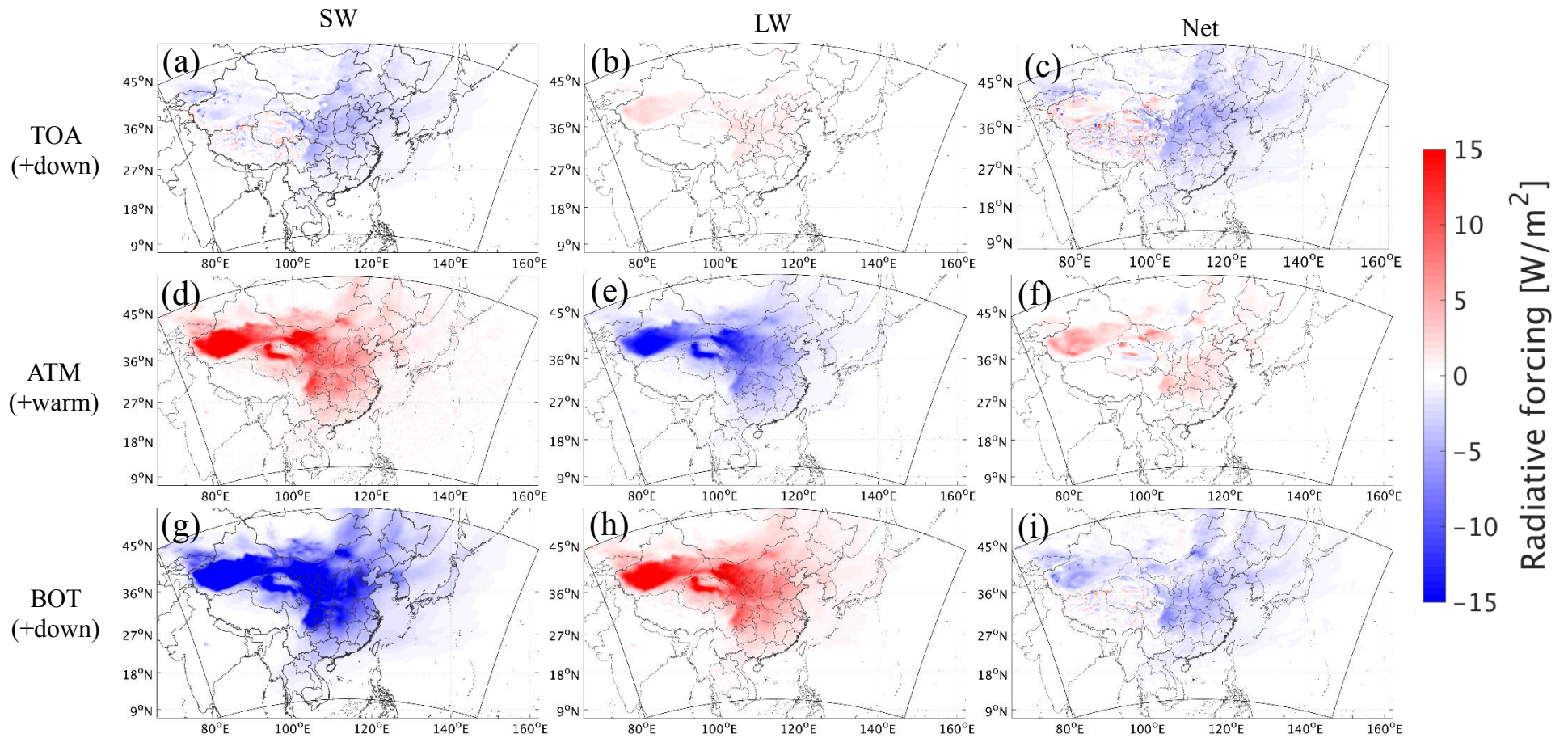


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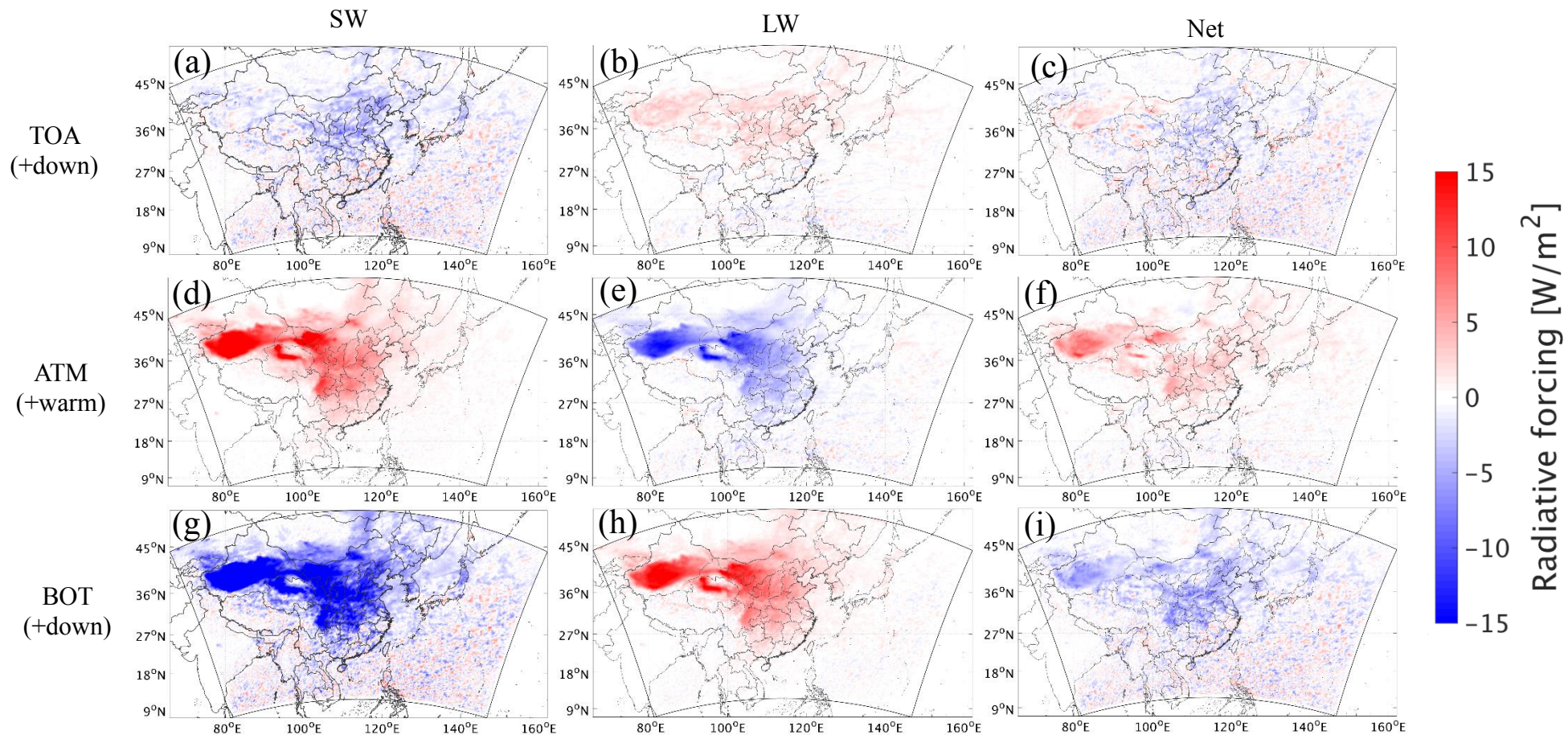


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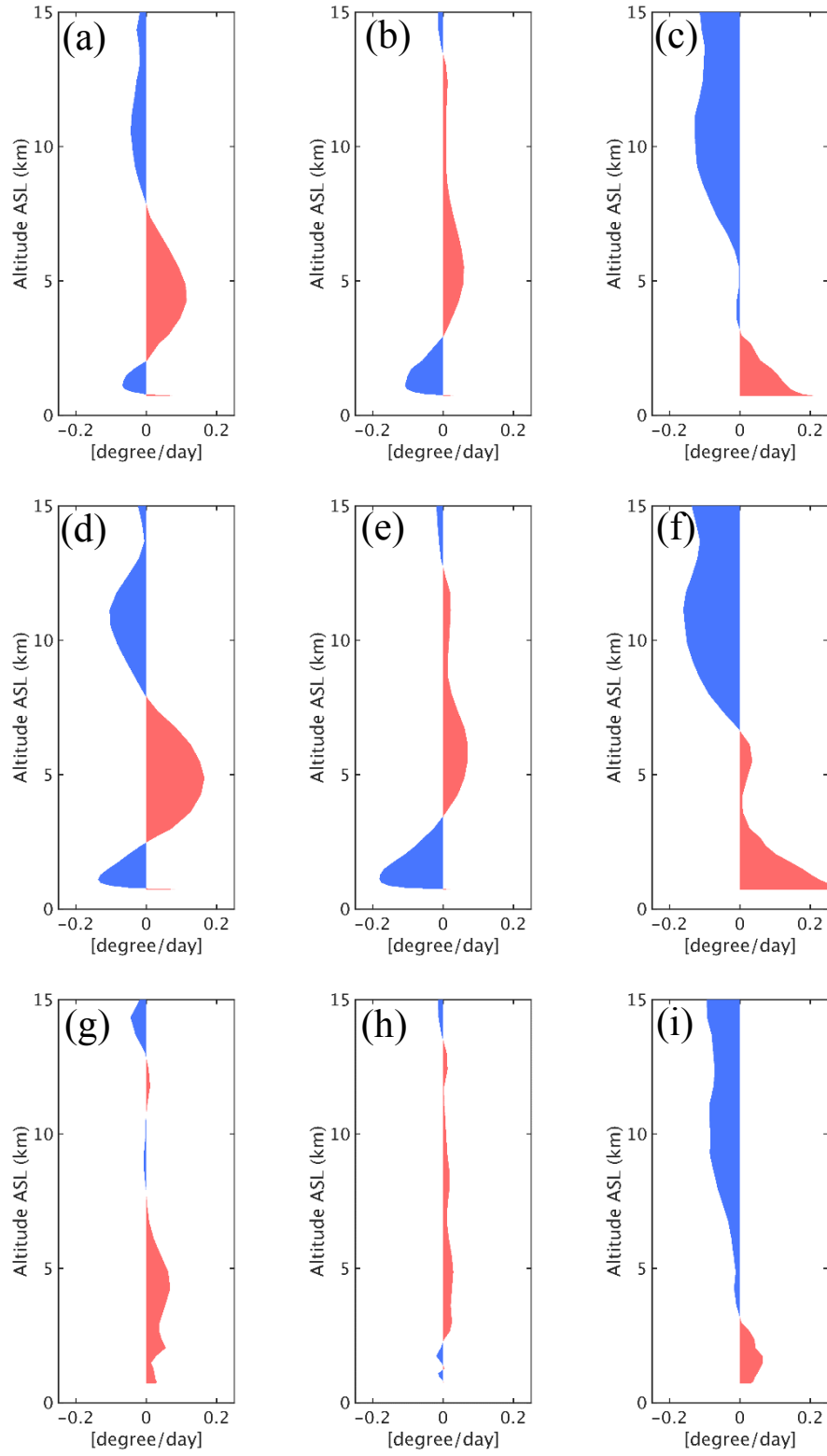




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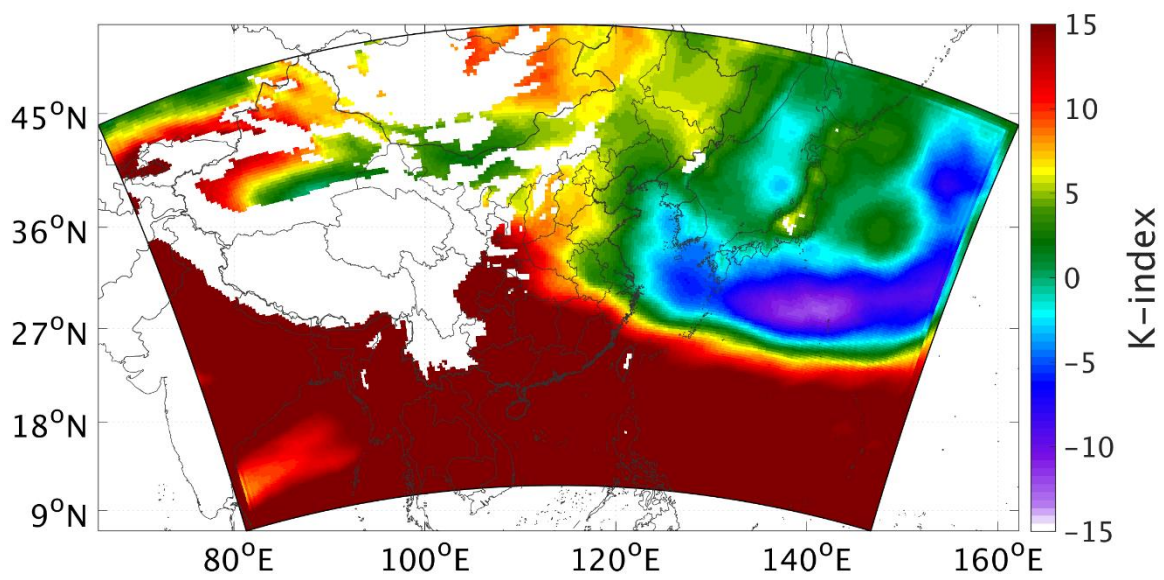


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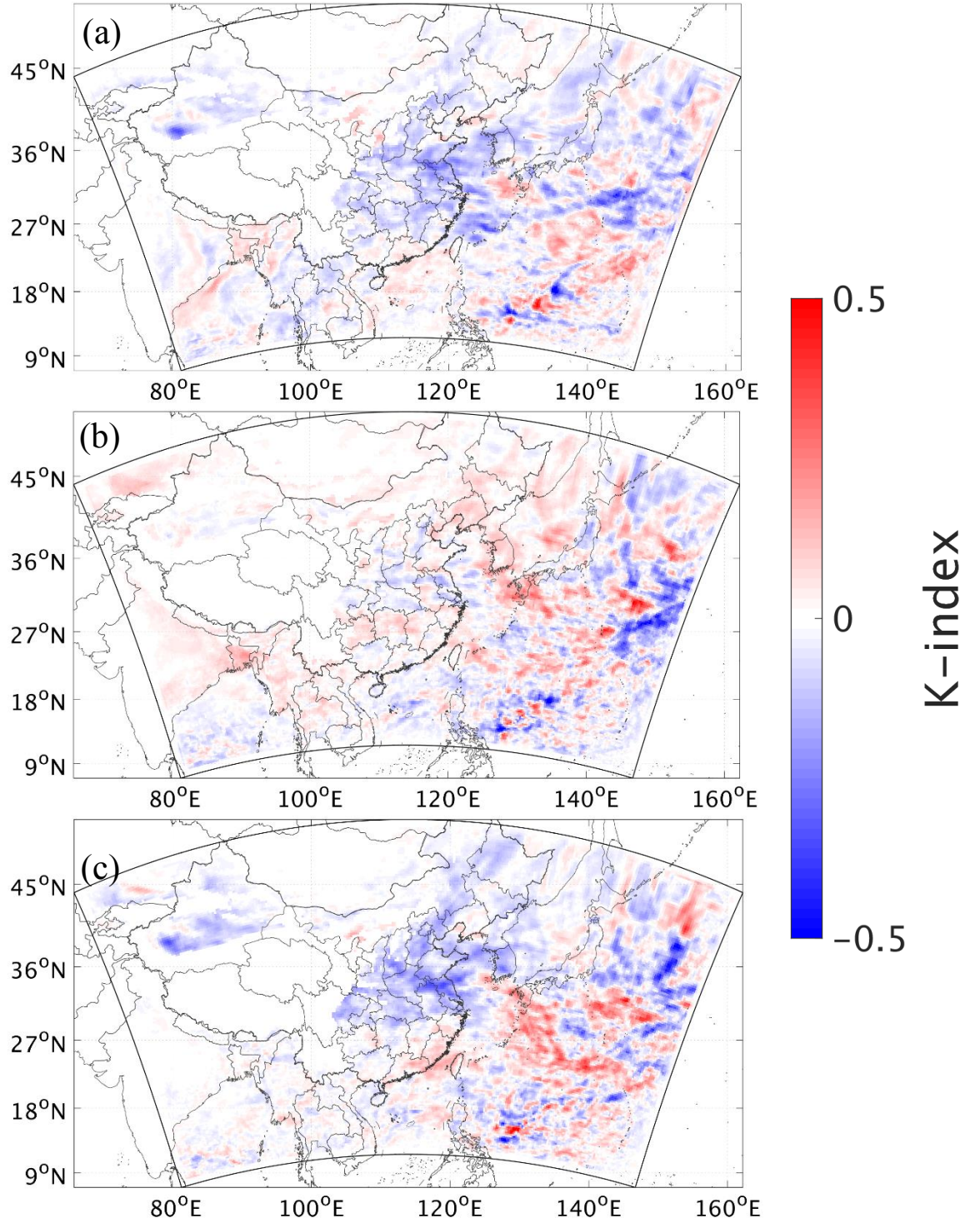


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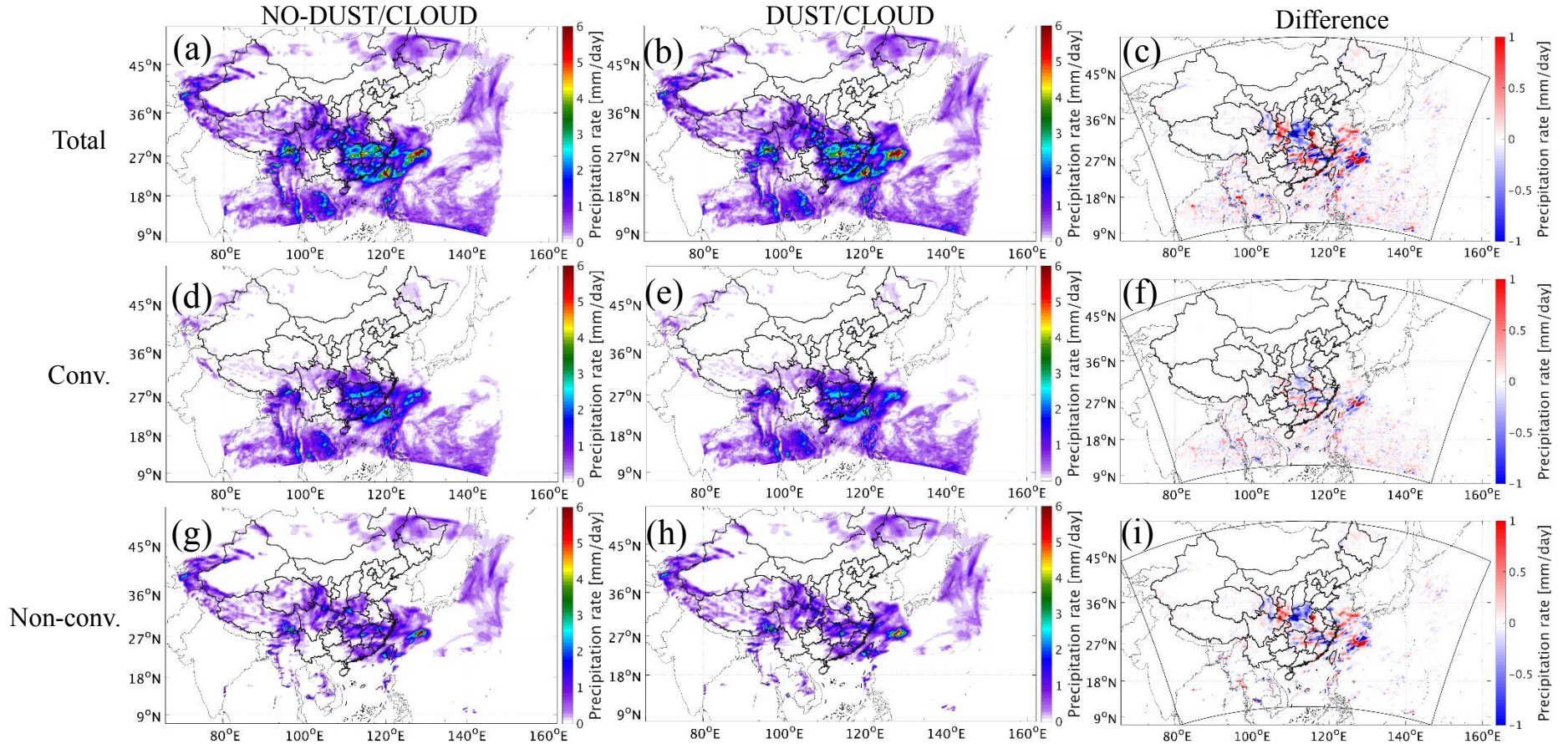


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