## **To editor**

We have rerun simulations with actual number concentration of water-friendly aerosols, instead of using a background aerosol number concentration of 1/L for Koop's parameterization scheme. In revised manuscript, water-friendly aerosols were included in both CTRL and DUST simulations. The number concentration of water-friendly aerosols was read from the pre-given climatological aerosol profile derived from long-term simulations of global climate models. The aerosol profile was provided by Dr. Thompson and Dr. Eidhammer and used in their paper for evaluating the performance of the Thompson-Eidhammer microphysics scheme (Thompson and Eidhammer, 2014). The mean number density of water-friendly aerosols during the simulation period is shown in Figure R1.

The figures and the results have been updated according to the outputs of the new simulations.

## To the anonymous referee

#### Comments:

I rated the scientific quality as poor based on my comments below. I might be wrong in my understanding of the implementation of the model (in which case I would rate the scientific quality as good), but if I am correct, I believe the work needs some major revisions with new model runs.

I need some more information about the implementation of this scheme before I can give any recommendations regarding this paper, and I have to admit that I have not read the entire manuscript again because I have a major concern:

In the Thompson-Eidhammer scheme it is found that an important contributor to in-situ cirrus clouds is the homogeneous freezing of deliquescent aerosols (Koop et al parameterization). This process can contribute to ice concentration of several hundreds L-1, because this freezing comes from sulfate aerosols which can have concentrations of 1-100 cm-3 (i.e. 1000-100,000 L-1). According to Su et al., homogeneous freezing of deliquescent aerosols is calculated based on aerosol concentration of 1 L-1. This means that ice crystal concentration from homogeneous freezing of deliquescent aerosols can maximum be 1 L-1, much less than what is typical (hundreds L-1).

In the conclusion, Su et al states that they find that dust has a large impact in the upper troposphere and increase in cloud ice is mainly concentrated to the mid to upper troposphere. I can see that this is the case if the background ice concentration is only 1 L-1, instead of for example 200 L-1, which you can find, due to homogeneous freezing.

Su et al also found that they had to reduce the limit for when ice freeze to 100 % RHi, due to too low ice water content. I can also see that this is the case if homogeneous freezing is not effective in their setup.

In effect, I have major concern about the findings in their paper regarding dust, if homogeneous freezing of deliquescent aerosols is not effective. I believe the dust to be found too effective compared to what is

## actually happening in the atmosphere.

## Response:

We deeply thank the referee for pointing out the problem. We agree that the exclusion of water-friendly aerosols for this process in the simulations is unreasonable and could lead to an exaggeration of the effect of dust on the IWP. To address this concern, we have rerun simulations with actual number concentration of water-friendly aerosols, instead of using a background aerosol number concentration of 1/L for Koop's parameterization scheme. In revised manuscript, water-friendly aerosols were included in both CTRL and DUST simulations. The number concentration of water-friendly aerosols was read from the pre-given climatological aerosol profile derived from long-term simulations of global climate models. The aerosol profile was provided by Dr. Thompson and Dr. Eidhammer and used in their paper for evaluating the performance of the Thompson-Eidhammer microphysics scheme (Thompson and Eidhammer, 2014). The mean number density of water-friendly aerosols during the simulation period is shown in Figure R1.

The figures and the results have been updated according to the outputs of the new simulations.

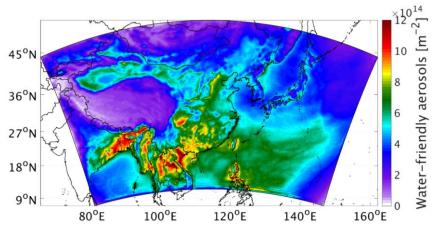


Figure R1. Mean number density of water-friendly aerosols over the simulation period.

# **I** Investigating the role of dust in ice nucleation within clouds and

<sup>2</sup> further effects on the regional weather system over East Asia

# **3** Part II: modification of the weather system

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- 8 Correspondence to: Lin Su (lsu@connect.ust.hk)
- 9
- 10 Keywords: East Asian dust; radiative forcing; clouds; precipitation; regional modeling
- 11
- 12 Highlights:
- 13 The semi-direct and indirect direct radiative effects of dust are more pronounced than the cloud radiative direct effect
- 14 <u>enhanced by dust on the regional weather systemaltering the radiative budget.</u>
- 15 The semi-direct and indirect effects of dust result in an increase in mid-to highice clouds at mid-to upper
- 16 troposphere, and a reduction in low clouds of liquid clouds at low to mid-troposphere.
- The total precipitation amount over East Asia is reduced over most of Chinaremains unchanged, with the locations
   of the precipitation are shifted, but increased over South China by up to 20% or more.

19 Abstract. An updated version of the Weather Research and Forecast model coupled with Chemistry (WRF-Chem) 20 was applied to quantify and discuss-investigate the full effects of dust on the meteorological field over East Asia 21 during March and April 2012. The performances of the model in simulating the short-wave and long-wave radiation, 22 surface temperature, and precipitation over East Asia are improved by incorporating the effects of dust in the 23 simulations. The radiative forcing induced by the dust enhanced clouddirect radiative effect of dust is over one order 24 of magnitude largergreater than that induced by the dust-enhanced direct effectcloud radiative effect of dust. The 25 semi-direct and indirect effects of dust result in a substantial increase in mid-to high-ice\_clouds\_at mid- to upper 26 troposphere, and a significant reduction in low-liquid clouds at low to mid-troposphere. The radiative forcing 27 combined with the re-distribution of atmospheric water vapor -results in leading to an overall decrease of near-surface 28 temperature and an increase of temperature at the mid- to upper troposphere over East Asia. The spatial redistribution of atmospheric water vapor and modification of the vertical temperature profile over East Asia lead to, leading to an 29 30 inhibition of atmospheric instability over most land areas, but an enhancement of atmospheric instability over South 31 China and the ocean., Upon considering the effects of dust, the convective precipitation exhibits resulting in an 32 significant-inhibition of convective precipitation overin areas from central to East China, and an substantial 33 enhancement of convective precipitation over South China. Meanwhile, the locations of non-convective precipitation 34 is also reduced significantly over East Asiaare shifted due to the perturbation of cloud water path, as cloud droplets 35 are hindered from growing large enough to form rain droplets, due to the semi-direct and indirect effects of dust. The 36 total precipitation can be reduced or increased by up to 20% or more. The total amount of precipitation over East Asia 37 remains unchanged, however, the precipitation locations are shifted. The precipitation can be enhanced or inhibited 38 by up to 20% at particular areas.

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39

#### 40 1 Introduction

41 Dust is recognized as an "essential climate variable" because it is a major component of atmospheric aerosols and has 42 significant impacts on the weather and climate system (Solomon, 2007). East Asian dust is an important contributor 43 to global dust emissions (Ginoux et al., 2001), and thus play a significant role in affecting the regional weather system 44 through direct effect, semi-direct and indirect effects.

45 Dust particles affect the radiation budget directly by absorbing, reflecting and scattering short-wave and long-wave 46 radiation (Satheesh et al., 2006; Seinfeld et al., 2004; Lacis, 1995). The cloud radiative effect induced by dust is referred 47 to as the semi-direct effect of dust. Dust particles within clouds can absorb radiation and heat up the surrounding 48 environment, leading to faster evaporation rate of cloud droplets and thus a reduction of cloud cover. The indirect effects of dust are related to dust-cloud-interaction. (Hansen et al., 1997; Perlwitz and Miller, 2010). Dust particles 49 50 are recognized as effective ice nuclei (IN) and considered to play an important role in cold cloud processes (Broadley et al., 2012; Connolly et al., 2009; Sassen, 2002), leading to the variation of the ice water content in mixed-phase and 51 52 ice clouds, which further affects the formation and development of clouds, as well as precipitations (Sassen et al., 53 2003; Targino et al., 2006; Teller and Levin, 2006; Lohmann and Feichter, 2005).

54 In light of the significance of dust for the weather and climate system, assessing the effects of dust has become 55 increasingly important. On one hand, the direct (Mallet et al., 2009;Nabat et al., 2015a;Ge et al., 2010;Hartmann et al., 2013;Huang et al., 2009;Bi et al., 2013;Liu et al., 2011a;Liu et al., 2011b;Palacios et al., 2015;Huang, 2017) and 56 57 semi-direct (Tesfaye et al., 2015;Nabat et al., 2015b;Seigel et al., 2013) effects of dust has being extensively studied 58 worldwide by applying numerical methods. On the other hand, various ice nucleation parameterizations have been 59 implemented into global models to estimate the importance of dust in atmospheric ice nucleation (Lohmann and Diehl, 60 2006;Karydis et al., 2011;Hoose et al., 2008;Zhang et al., 2014), revealing that the effect of dust as IN should not be 61 neglected in numerical models, especially in the simulations over arid regions during strong wind events (DeMott et 62 al., 2003;Koehler et al., 2010;DeMott et al., 2015;Lohmann and Diehl, 2006;Atkinson et al., 2013). Unfortunately, , 63 only limited work has been carried out to investigate the indirect effects of dust on the regional weather system, 64 especially over East Asia, which is one of the major contributors to the global dust emission in the world (Ginoux et 65 al., 2001).

66 This series of study aimed to investigate the role of East Asian dust in affecting the regional weather system. In the 67 first part of the study, The Goddard Chemistry Aerosol Radiation and Transport (GOCART) model has been coupled 68 with the aerosol-aware Thompson-Eidhammer microphysics scheme (Thompson and Eidhammer, 2014), enabling the model to estimate the indirect effect of dust along with the direct and semi-direct effects, which improved the 69 70 simulation of the ice nucleation process involving dust particles (Su and Fung, 2017). In this work, by applying an 71 updated version of WRF-Chem, we aim to investigate the full effects of dust, including direct, semi-direct, and indirect 72 effects, on the regional weather system over East Asia during a dust-intensive period. As the semi-direct effect and 73 indirect effect of dust cannot be separated in our simulation, these two effects are merged and discussed as a part of 74 the effects of dust apart from the direct effect, and represented by "indirect effects" in the rest of the paper. This is the 75 first study to document the full effects of dust during a typical dust-intensive period over East Asia by applying an 76 online-coupled regional numerical model.

The remainder of the manuscript is organized as follows. The model configurations is described in Section 2, followed
by the model validation in Section 3. The results along with the discussion will be presented in section 4, followed by
the concluding remarks in Section 5.

80

#### 81 2 Model configurations

82 The simulations were performed using an updated version of WRF-Chem based on version 3.8.1 (Grell et al., 2016). 83 The GOCART-Thompson, which is the coupling of the GOCART aerosol model and the aerosol-aware Thompson-84 Eidhammer microphysics scheme, has been implemented in the updated WRF-Chem, to evaluate the indirect effects 85 of dust on the atmospheric ice nucleation process by serving as IN. In the GOCART-Thompson microphysics scheme, 86 the condensation and immersion freezing is parameterized by the DeMott2015 ice nucleation scheme, and two factors 87 of the DeMott2015 scheme were tuned through sensitivity experiments in the first part of this study. cf is a calibration 88 factor in the DeMott2015 ice nucleation parameterization scheme, which was used for the nucleation of the heterogeneous nucleation of ice crystals by dust particles in the GOCART-Thompson scheme, and it ranges from 1 to 89 90 6. According to the results of the sensitivity experiments in Part I, the calibration factor  $c_f$  was set to be 4 for the 91 simulations in this study. Furthermore, it was also demonstrated that the ice water content was still underestimated by 92 using the GOCART-Thompson scheme. To improve the simulation of the ice nucleation by dust particles, the

| 93  | threshold relative humidity with respect to ice (RH <sub>i</sub> ) was lowered from 105% to 100% in the ice nucleation  |
|---|---|
| 94  | parameterization, to allow the heterogeneous nucleation of ice crystals by dust particles to occur at a lower RH <sub>i</sub> (Su   |
| 95  | and Fung, 2017). Therefore, a threshold RH <sub>1</sub> of 100% was for the simulations run with dust emissions in this study.  |
| 96  | In addition, the deposition nucleation is determined by the Phillip's parameterization scheme of Phillips et al. (Phillips  |
| 97  | et al., 2008), and the freezing of deliquesced aerosols using the hygroscopic aerosol concentration is parameterized  |
| 98  | following Koop's parameterization scheme-et al. (Koop et al., 2000), with the background aerosol concentration set  |
| 99  | to be 1/L. The GOCART aerosol model was applied to simulate aerosol processes (Ginoux et al., 2001;Ginoux et al.,   |
| 100   | 2004) and produce ice nuclei that served by dust particles in DUST. Shao's dust emission (Kang et al., 2011; Shao et  |
| 101   | al., 2011) with soil data from the United states Geological Survey (Soil Survey Staff, 1993), which have been   |
| 102   | demonstrated to have good performance in reproducing dust emissions over East Asia, was used to generate dust   |
| 103   | emission in the simulations of TEST. The ice nuclei were then fed into the GOCART-Thompson microphysics scheme  |
| 104   | for calculating the indirect effects of dust by DeMott2015 parameterization scheme. In addition, the pre-given  |
| 105   | climatological profiles applied in Thompson-Eidhammer scheme (Thompson and Eidhammer, 2014) were used to  |
| 106   | provide the number concentration of water-friendly aerosols for the freezing of deliquesced aerosols to consider the  |
| 107   | background indirect effects of aerosols on ice nucleation for all the simulations in this study. In addition, the   |
|   |   |
| 108   | condensation and immersion freezing is parameterized by the DeMott2015 ice nucleation scheme, and two factors of  |
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| 109<br>110<br>111<br>112<br>113                             | condensation and immersion freezing is parameterized by the DeMott2015 ice nucleation scheme, and two factors of<br>the DeMott2015 scheme were tuned through sensitivity experiments in the first part of this study. e, is a calibration<br>factor in the DeMott2015 ice nucleation parameterization scheme, which was used for the nucleation of the<br>heterogeneous nucleation of ice crystals by dust particles in the GOCART Thompson scheme, and it ranges from 1 to<br>6. According to the results of the sensitivity experiments in Part I, the calibration factor e, was set to be 4 for the<br>simulations in this study. Furthermore, it was also demonstrated that the ice water content was still underestimated by   |
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121 second simulation, NO-DUST/CLOUD, was also conducted without dust, with the aerosol radiative feedback turned 122 off, but the cloud radiative feedback turned on to estimate the intrinsic radiative effect of cloud. The third simulation, 123 DUST/NO-CLOUD, was conducted with the presence of dust, with the aerosol radiative feedback turned on, while the cloud radiative feedback still turned off. The difference between NO-DUST/NO-CLOUD and DUST/NO-CLOUD 124 125 therefore represented the direct effect of dust on the radiation budget and other meteorological parameters. The last 126 simulation, DUST/CLOUD, was conducted with the presence of dust, and with both aerosol radiative feedback and 127 cloud radiative feedback turned on, to estimate the full effect of dust on the meteorological field over East Asia. 128 The important physical and chemical parameterization schemes applied for the four simulations are as follows. The 129 GOCART aerosol model was applied to simulate the aerosol processes (Ginoux et al., 2001;Ginoux et al., 2004). For 130 the dust emission simulation in DUST/NO-CLOUD and DUST/CLOUD, the Shao dust emission scheme (Shao, 131 2004;Shao et al., 2011) was applied, which had been demonstrated to closely reproduced the dust emissions over East 132 Asia (Su and Fung, 2015). Note that no aerosol emissions were considered in the simulations other than dust. The 133 Mellor-Yamada-Janjic (MYJ) turbulent kinetic energy scheme was used for the planetary boundary layer parameterization (Janjić, 2002, 1994); the moisture convective processes were parameterized by the Grell-Freitas 134 135 scheme (Grell and Freitas, 2014); the short-wave (SW) and long-wave (LW) radiation budgets were calculated by the Rapid Radiative Transfer Model for General Circulation (RRTMG) SW and LW radiation schemes (Mlawer et al., 136 137 1997; Jacono et al., 2008); gravitational settling and surface deposition were combined for aerosol dry deposition 138 (Wesely, 1989); a simple washout method was used for the below-cloud wet deposition of aerosols; and the aerosol 139 optical properties were calculated based on the volume-averaging method. The newly-implemented wet scavenging 140 scheme described in Part I of this study was used for the in-cloud wet scavenging of dust particles caused by the 141 microphysical processes. As no dust was simulated in NO-DUST/NO-CLOUD and NO-DUST/CLOUD, these two 142 simulations did not included a dust emission scheme, aerosol dry and wet deposition schemes, and aerosol optical 143 schemes. Note that in the two NO CLOUD simulations, a default IN concentration of 1 per Liter is used for the 144 heterogeneous ice nucleation process. 145 As described in the first part of this manuscript, two nested domains were used for all four simulations, the outer

domain had a horizontal resolution of 27 km, covering the entire East Asia region, and the inner domain had a
horizontal resolution of 9 km, covering the entire central to East China. Both domains have 40 layers, with the top
layer at 50 hPa. The simulation period was March 9 to April 30, 2012, with the first eight days as "spin-up" time. Only

the results from March 17 to April 30, 2012 were used for further analysis. Final reanalysis data provided by the United States National Centre of Environmental Prediction, with a horizontal resolution of 1°, were used for generating the initial and boundary conditions for the meteorological field. The simulations were re-initialized every 4 days, with the aerosol field being recycled, i.e., the output of the aerosol field from the previous 4-day run was used as the initial aerosol state for the next 4-day run.

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#### 155 3 Model validation

The simulation for dust emission was validated in Part I of this study, and the model was demonstrated to closely reproduce dust emissions over East Asia during the investigated period by comparison with comprehensive observational data. As this study focused on the modification of the meteorological field by the effects of dust over East Asia, the capability of the model in simulating the meteorological field itself over this region requires further validation.

The China meteorological forcing dataset (Yang et al., 2010; Chen et al., 2011) was used to assess the performance of 161 162 the model in reproducing the spatial distribution of the meteorological field over China. The dataset was developed 163 by the hydrometeorological research group at the Institute of Tibetan Plateau Research, Chinese Academy of Science, by merging the Princeton meteorological forcing data (Sheffield et al., 2006), the Global Energy and Water Cycle 164 Experiment-Surface Radiation Budget (GEWEX-SRB) forcing data (Pinker and Laszlo, 1992), and the Global Land 165 166 Data Assimilation System forcing dataset (Rodell et al., 2004). The dataset contains gridded observations of the near-167 surface temperature, precipitation rate, surface downward SW and LW radiation across China, with a spatial resolution 168 of 0.25°, dating from 1996. Note that only simulation results from NO-DUST/CLOUD and DUST/CLOUD are shown, as they represent the 169 170 intrinsic meteorological field and the meteorological field modified by the effects of dust, respectively. For March, 171 the comparison is restricted to the observational data from March 17 to March 31, 2012, to ensure temporal overlay 172 with the corresponding simulation period. No observational data over the ocean were available, so the simulated results 173 over the ocean are also omitted to simplify the comparison.

174 The spatial distributions for the monthly average observational downward surface SW radiation for March and April

175 2012 are shown in Figure 1a and b. Overall, the SW radiation was stronger in April than in March. The SW radiation

176 was significantly higher over the West and Northwest China, due to the higher elevation of terrain over these regions,

177 and lower over East and South China, due to the lower elevation and greater cloud coverage over those regions. The 178 model closely reproduced the spatial distributions of the SW radiation in both months and accurately captured the trend from March to April in the simulation results from both NO-DUST/CLOUD and DUST/CLOUD, despite a 179 180 certain overestimation, especially over coastal areas of East and South China. This overestimation was likely due to 181 the underestimation of clouds by the model over these areas. Compared with inland areas, cloud coverage is always 182 greater over the coastal areas of East and South China due to the abundant water vapor. Therefore, the SW radiation 183 budget over coastal areas was more sensitive to the underestimation of clouds by the model. Nevertheless, an 184 improvement in the simulation of the SW radiation budget over East Asia can be seen in the results from 185 DUST/CLOUD compared with those from NO-DUST/CLOUD. Specifically, the SW radiation produced in 186 DUST/CLOUD (Figure 1e and f) was substantially lower than that produced in NO-DUST/CLOUD (Figure 1c and d) 187 over China, especially at the dust sources and surrounding areas over the north and northwest of the country, which is 188 clearly more consistent with the observations.

189 For downward surface LW radiation, two high-value areas can be observed (Figure 2a and b). One is over Northwest 190 China, where the Taklimakan Desert is located. The strong downward LW radiation over this region was likely due 191 to the abundance of dust particles in the local atmosphere. The other area of strong LW radiation was located over 192 South China, which is warmer and contains more atmospheric water vapor. Water vapor is a potent greenhouse gas, 193 which efficiently absorbs LW radiation emitted by the Earth and heat the surrounding area, and thus increases the 194 emission of LW radiation downward (and upward) by the heated atmosphere. The model accurately simulated the 195 spatial distributions of the LW radiation over this region for both March and April in both NO-DUST/CLOUD (Figure 196 2c and d) and DUST/CLOUD (Figure 2e and f), and indeed closely captured the spatial pattern of the LW radiation over China. The LW radiation over the Gobi Desert produced by DUST/CLOUD (Figure 2e and f) is slightly higher 197 198 than that produced by NO-DUST/CLOUD, indicating that the model reproduced the LW radiation budget more 199 accurately upon taking the effects of dust into account.

Similarly to the spatial distributions for the LW radiation, higher near-surface temperatures were observed over Northwest China, which is a dry, arid area, and South China, which is closer to the equator (Figure 3a and b). The spatial distributions of the near-surface temperature over this region were well reproduced by the model for both March and April in both NO-DUST/CLOUD (Figure 3c and d) and DUST/CLOUD (Figure 3e and f). The model accurately captured the spatial pattern of the surface temperature, and the two simulations did not show remarkable 205 difference in their results.

| 206   | During the simulation period, the precipitation increased from North to South China in both months, and increased   |
|---|---|
| 207   | from March to April over the entire region (Figure 4a and b). The spatial patterns of precipitation in March and April  |
| 208   | were mostly reproduced by the model in both NO-DUST/CLOUD (Figure 4c and d) and DUST/CLOUD (Figure 4e   |
| 209   | and f), but the model underestimated the precipitation in March in both simulations, especially over central and North  |
| 210   | China. In April, the observed precipitation center was located over South China. Apart from underestimating the   |
| 211   | precipitation over Central and North China, the NO-DUST/CLOUD simulation predicted the precipitation center to  |
| 212   | be located in an area to the north of the observed center (Figure 4d), and it also underestimated the precipitation over  |
| 213   | South China. In contrast, in the results of DUST/CLOUD (Figure 4f), the precipitation band from Hunan-to the north  |
| 214   | of Ssouth China was markedly weaker, while and the precipitation over South China was slightly stronger that  |
| 215   | produced by NO-DUST/CLOUD, which was more consistent with the observations was enhanced, which was clearly  |
| 216   | much more consistent with the observations.   |
| 217   | The foregoing comparison of the simulation results with the observational data demonstrated that the model reasonably   |
| 218   | reproduced the meteorological field over East Asia. Moreover, the meteorological field was produced more accurately   |
| 219   | when the effects of dust were considered in the simulations, which consequently allows the dust-induced modification  |
| 220   | of the meteorological field to be investigated.   |
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| 221<br>222  | 4 Results and discussion  |
|   | 4 Results and discussion<br><u>4.1 Atmospheric water vapor</u>  |
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| 222<br>223  | 4.1 Atmospheric water vapor   |
| 222<br>223<br>224   | 4.1 Atmospheric water vapor         4.1.1 Spatial distribution  |
| 222<br>223<br>224<br>225                                    | 4.1 Atmospheric water vapor         4.1.1 Spatial distribution         The indirect effects of dust particles lead to modifications of cloud format and cloud lifetime, and a re-distribution of  |
| 222<br>223<br>224<br>225<br>226                             | 4.1 Atmospheric water vapor         4.1.1 Spatial distribution         The indirect effects of dust particles lead to modifications of cloud format and cloud lifetime, and a re-distribution of atmospheric water vapor. The spatial distributions of the simulated ice water path (IWP) and cloud water path (CWP)  |
| 222<br>223<br>224<br>225<br>226<br>227                      | 4.1 Atmospheric water vapor         4.1.1 Spatial distribution         The indirect effects of dust particles lead to modifications of cloud format and cloud lifetime, and a re-distribution of         atmospheric water vapor. The spatial distributions of the simulated ice water path (IWP) and cloud water path (CWP)         from NO-DUST/CLOUD and DUST/CLOUD, as well as the difference between the two simulations (DUST/CLOUD)  |
| 222<br>223<br>224<br>225<br>226<br>227<br>228               | 4.1 Atmospheric water vapor         4.1.1 Spatial distribution         The indirect effects of dust particles lead to modifications of cloud format and cloud lifetime, and a re-distribution of         atmospheric water vapor. The spatial distributions of the simulated ice water path (IWP) and cloud water path (CWP)         from NO-DUST/CLOUD and DUST/CLOUD, as well as the difference between the two simulations (DUST/CLOUD)         - NO-DUST/CLOUD), are presented in Figure 5. The atmospheric IWP and CWP are the column sums of cloud ice  |
| 222<br>223<br>224<br>225<br>226<br>227<br>228<br>229        | 4.1 Atmospheric water vapor         4.1.1 Spatial distribution         The indirect effects of dust particles lead to modifications of cloud format and cloud lifetime, and a re-distribution of         atmospheric water vapor. The spatial distributions of the simulated ice water path (IWP) and cloud water path (CWP)         from NO-DUST/CLOUD and DUST/CLOUD, as well as the difference between the two simulations (DUST/CLOUD)         - NO-DUST/CLOUD), are presented in Figure 5. The atmospheric IWP and CWP are the column sums of cloud ice         water content, and cloud water content in the atmosphere per unit area. Note that the difference between NO-   |
| 222<br>223<br>224<br>225<br>226<br>227<br>228<br>229<br>230 | 4.1 Atmospheric water vapor         4.1.1 Spatial distribution         The indirect effects of dust particles lead to modifications of cloud format and cloud lifetime, and a re-distribution of         atmospheric water vapor. The spatial distributions of the simulated ice water path (IWP) and cloud water path (CWP)         from NO-DUST/CLOUD and DUST/CLOUD, as well as the difference between the two simulations (DUST/CLOUD)         - NO-DUST/CLOUD), are presented in Figure 5. The atmospheric IWP and CWP are the column sums of cloud ice         water content, and cloud water content in the atmosphere per unit area. Note that the difference between NO-         DUST/CLOUD and DUST/CLOUD is entirely due to the full effects of dust, i.e., the direct effect of dust, the cloud |

| 233 | Figure 5a and b show the spatial distributions of the mean atmospheric IWP over East Asia produced from NO-                  |
|-----|--|
| 234 | DUST/CLOUD and DUST/CLOUD. The IWP is concentrated over mid-latitude areas (30-40°N) in the results of NO-                   |
| 235 | DUST/CLOUD (Figure 5a), with values of 10-20 g/m <sup>2</sup> . These ice crystals are mostly formed through the freezing of |
| 236 | the deliquesced water-friendly aerosols. By contrast, the IWP produced by DUST/CLOUD is substantially higher than            |
| 237 | that produced by NO-DUST/CLOUD over mid-latitude areas in the simulation domain, which are the dust sources                  |
| 238 | and their downstream areas (Figure 5b). This corresponds to an increase by 25% to 50% over vast areas, from dust             |
| 239 | source regions to the Northwest Pacific (Figure 5c), due to the dust particles serving as IN, and leading to a substantial   |
| 240 | enhancement of ice crystals in the atmosphere. Dust nuclei in the atmosphere enable the super-cooled water droplets          |
| 241 | to freeze into ice crystals at a much higher temperature and lower relative humidity.  |
| 242 | The spatial distributions for the mean CWP over East Asia produced from NO-DUST/CLOUD and DUST/CLOUD                         |
| 243 | are shown in Figure 5d and e, in both of which the CWPs are concentrated over South China and the West Pacific               |
| 244 | Ocean, with comparable values to each other. However, the comparison of CWPs produced from DUST/CLOUD and                    |
| 245 | NO-DUST/CLOUD in Figure 5f shows the existence of dust leads to a slight reduction of CWP over West, North and               |
| 246 | central China, where the IWP increases substantially. Moreover, there are perturbations of CWP over East and South           |
| 247 | China, as well as the West Pacific Ocean. Particularly, the CWP is generally reduced by up to 10 g/m <sup>2</sup> over West, |
| 248 | North and central China, and increased by up to 10 g/m2 over South China, which account for over 10% or more of              |
| 249 | the total cloud water vapor at these regions.  |
| 250 |  |
| 251 | 4.1.2 Vertical profile   |
| 252 | As the spatial distributions of IWP and CWP over East Asia are altered by the effects of dust, the cloud ice mixing          |
| 253 | ratio and cloud water mixing ratio are also modified vertically. Figure 6 shows the modifications on vertical profiles       |
| 254 | of the cloud ice and cloud water mixing ratios induced by the full effects of dust. Note that the vertical profiles over     |
| 255 | land, over the ocean, and over the entire simulation domain for East Asia are averaged across the whole simulation           |
| 256 | period.  |
| 257 | Due to the effects of dust, the cloud ice mixing ratio is increased at all altitudes from the near-surface layer to higher   |
| 258 | than 10 km over the whole of East Asia, as shown in Figure 6a. The cloud ice mixing ratio is uniformly increased             |

- 259 between surface to 10 km over land with a peak located at around 7km (Figure 6b), which results from the increase of
- 260 IN served by the abundant dust particles in the atmosphere. In contrast, the increase of the cloud ice mixing ratio over

| 261 | the ocean is much less significant, with a higher peak located at 8-9 km (Figure 6c). A possible cause of the higher          |
|-----|---|
| 262 | peak over the ocean is that only those particles fined enough to be lifted to high altitudes can be transported as far as     |
| 263 | the open ocean of the West Pacific, whereas over land, more dust particles with larger sizes are suspended in lower           |
| 264 | layers before settling down to the surface.   |
| 265 | The vertical modification of the cloud water mixing ratio due to the effects of dust is fundamentally different from          |
| 266 | that of cloud ice mixing ratio. Due to the effects of dust, the cloud water mixing ratio shows a decrease in average          |
| 267 | over East Asia from surface to 7 km (Figure 6d). The overall decrease is dominated by the reduction in the cloud water        |
| 268 | mixing ratio over land (Figure 6e). The cloud water mixing ratio is also decreased over the ocean near surface and            |
| 269 | above 4 km, but slightly increase between 1 and 4 km (Figure 6f). The vertical modification of the cloud water mixing         |
| 270 | ratio suggests that the effects of dust reduce liquid clouds at low to mid-troposphere over East Asia, especially over        |
| 271 | land.   |
| 272 | To summarize, the effects of dust result in a general increase of ice clouds and a slight decrease of liquid clouds over      |
| 273 | East Asia as a whole, whereby the increase in cloud ice is mainly concentrated at the mid- to upper troposphere, while        |
| 274 | the decrease of cloud water mostly occurs in low and mid-altitude clouds.   |
| 275 | The increase in ice clouds at the mid- to upper troposphere is attributed to the indirect effects of dust. The abundant       |
| 276 | IN in the atmosphere served by dust particles substantially increase the amount of ice crystals in mixed-phase and ice        |
| 277 | clouds at these altitudes. In contrast, the decrease of liquid clouds at low to mid-troposphere is the result of two factors. |
| 278 | One is the warming within the atmosphere induced by the dust, leading to a much higher saturation pressure required           |
| 279 | for atmospheric water vapor to form clouds, and a much faster evaporation rate of cloud droplets, which is due to the         |
| 280 | cloud burning effect of dust. The other factor is that the super-cooled cloud droplets in the upper layers of the             |
| 281 | troposphere freeze into ice crystals at a much higher temperature and lower relative humidity when dust particles serve       |
| 282 | as IN in the atmosphere, leading to an increase of atmospheric IWP. Combined with the direct radiative effect of dust,        |
| 283 | the modifications of the ice and liquid clouds induced by dust will alter the radiation budget over the region. Compared      |
| 284 | to that of the increase for the ice clouds, the magnitude of the decrease for liquid clouds is smaller. However, the          |
| 285 | radiative effect of liquid clouds, especially those low clouds, is much greater than that of ice clouds, therefore, the       |
| 286 | decrease of liquid clouds might have a greater impact on the radiative budget over East Asia, which will be discussed         |
| 287 | in the following section.   |

288

#### 4.21 Radiative effect

| 205 |  |  |
|-----|--|--|
| 290 | The radiative effect of dust particles is demonstrated by dust-induced SW, LW, and net radiative forcing at the top of       |  |
| 291 | the atmosphere (TOA), at the bottom of the atmosphere (BOT), and within the atmosphere (ATM) in this study.                  |  |
| 292 | The spatial distributions for the mean radiative forcing induced by dust at the top of the atmosphere, at the bottom of      |  |
| 293 | the atmosphere, and within the atmosphere over East Asia during the simulation period are shown in Figures 75 and            |  |
| 294 | 86. Note that all of the spatial distributions for radiative forcing shown in the two figures are the temporal mean over     |  |
| 295 | the entire simulation period. The SW radiative forcing was calculated as follows.  |  |
| 296 | $SW_{TOA} = SWDOWN_{TOA} - SWUP_{TOA} \tag{1}$   |  |
| 297 | $SW_{BOT} = SWDOWN_{BOT} - SWUP_{BOT} $ (2)  |  |
| 298 | $SW_{ATM} = SW_{TOA} + SW_{BOT} \tag{3}$   |  |
| 299 | where $SW_{TOA}$ is the SW radiative forcing at the top of the atmosphere, and $SW_{BOT}$ is the SW radiative forcing at the |  |
| 300 | bottom of the atmosphere, both with positive values representing downwelling radiation; $SW_{ATM}$ is the radiative          |  |
| 301 | forcing within the atmosphere, which is the sum of $SW_{TOA}$ and $SW_{BOT}$ , with positive values representing a net       |  |
| 302 | warming effect within the atmosphere; $SWDOWN_{TOA}$ and $SWUP_{TOA}$ are the downwelling and upwelling SW                   |  |
| 303 | radiation at the top of the atmosphere, respectively; $SWUP_{BOT}$ and $SWDOWN_{BOT}$ are the upwelling and downwelling      |  |
| 304 | SW radiation at the bottom of the atmosphere, respectively.  |  |
| 305 | The LW radiative forcing was calculated as follows.  |  |
| 306 | $LW_{TOA} = -LWUP_{TOA} \tag{4}$   |  |
| 307 | $LW_{BOT} = LWDOWN_{BOT} - LWUP_{BOT} $ (5)  |  |
| 308 | $LW_{ATM} = LW_{TOA} + LW_{BOT} \tag{6}$   |  |
| 309 | Where $LW_{TOA}$ is the LW radiative forcing at the top of the atmosphere, and $LW_{BOT}$ is the LW radiative forcing at the |  |
| 310 | bottom of the atmosphere, both with positive values representing downwelling radiation; $LW_{ATM}$ is the radiative          |  |
| 311 | forcing within the atmosphere, which is the sum of $LW_{TOA}$ and $LW_{BOT}$ , with positive values representing warming     |  |
| 312 | effect within the atmosphere; $LWUP_{TOA}$ is the upwelling LW radiation at the top of the atmosphere; $LWUP_{BOT}$ and      |  |
| 313 | $LWDOWN_{BOT}$ are the upwelling and downwelling LW radiation at the bottom of the atmosphere.                               |  |
| 314 | The net radiative forcing is the sum of SW and LW radiative forcing.   |  |
| 315 | $Ra_{TOA} = SW_{TOA} + LW_{TOA} \tag{7}$   |  |
| 316 | $Ra_{BOT} = SW_{BOT} + LW_{BOT} \tag{8}$   |  |
|     |  |  |

$$Ra_{BOT} = SW_{BOT} + LW_{BOT}$$

## $Ra_{ATM} = SW_{ATM} + LW_{ATM}$

| 3 | 1 | 7 |
|---|---|---|
| 3 | 1 | 8 |

#### 319 4.21.1 Clear-sky radiative forcing 320 The direct radiative forcing induced by dust shown in Figure 75 is also referred to as clear-sky radiative forcing, and 321 is due to the reflection, absorption and emission of radiation by dust particles suspended in the atmosphere. 322 The clear-sky downwelling SW radiation ve forcing at the top of the atmosphere is slightly negative reduced over 323 most land areas of East Asia (Figure 25a), indicating that the upwelling SW radiation at the top of the atmosphere 324 increases due to the reflection and scattering of SW radiation by dust particles. The clear-sky SW radiative forcing at 325 the bottom of the atmosphere is negative over most of East Asia (Figure 75g), especially over dust source regions, 326 which suggests that the downwelling SW radiation solar radiation that reaches the Earth's surface is significantly 327 substantially reduced through the absorption by dust particles suspended in the atmosphere. The absorption of solar 328 radiation by dust particles heats up the dust layers, leadinging to a significant net warming effect within the 329 atmosphere (Figure 75dd). Averaged over the entire simulation domain, the SW radiative forcing over East Asia is \_ 330 0.63-1.22 W/m<sup>2</sup> at the top of the atmosphere, -2.1944 W/m<sup>2</sup> at the bottom of the atmosphere, and 1.5623 W/m<sup>2</sup> within 331 the atmosphere, accounting for 0.1937%, 0.870.97%, and 1.9858% of the total clear-sky radiation budget in these 332 three zones, respectively, as shown in Table 2. 333 In Figure 75b, the clear-sky downwelling LW radiation at the top of the atmosphere is slightly increased over dust 334 source regions and downstreamwind areas, due to the absorption of LW radiation by the thick dust layer with large 335 fraction of coarse particles in the atmosphere. In comparison,, it is slightly reduced over other areas of East Asia, 336 indicating an increase of the upwelling LW radiation, which might be attributable to the greater emission of LW 337 radiation by the dust layer, which in turn is due to the heating of the atmosphere caused by the absorption of SW-338 radiation by dust particles (Figure 5d). tThe clear-sky downwelling LW radiation forcing is reduced at the bottom of 339 the atmosphere (Figure 75h), which is attributed to the Earth's surface being cooledr as it receives less solar radiation 340 (Figure 75g). Combining the LW radiative forcing at the top of the atmosphere and at the bottom of the atmosphere, 341 there is a net negative LW radiative forcing within the atmosphere (Figure 75e). Overall, the mean LW radiative 342 forcing averaged over the entire East Asia is relatively slight, being 0.18-0.02 W/m<sup>2</sup> at the top of the atmosphere, 343 1.4409 W/m<sup>2</sup> at the bottom of the atmosphere, and -1.9407 W/m<sup>2</sup> within the atmosphere, accounting for 0.071%,

1.<u>5718</u>%, and <u>0.740.63</u>% of the total clear-sky radiation budget in those three zones, respectively.

(9)

Combining the SW and LW radiative forcing, the net downwelling clear-sky radiation at the top of the atmosphere is reduced over most of East Asia (Figure <u>7</u>5c). The downwelling clear-sky net radiation at the bottom of the atmosphere is <u>also</u> reduced over most part of East Asia, especially over dust source regions and downstream areas (Figure <u>7</u>5i), leading to a net warming effect within the atmosphere (Figure <u>7</u>5f), which is slightly smaller than the warming caused by SW radiative forcing (Figure <u>7</u>5d). The net radiative forcing is -<u>0.451.20</u> W/m<sup>2</sup> at the top of the atmosphere, -<u>0.751.36</u> W/m<sup>2</sup> at the bottom of the atmosphere, and 0.<u>3015</u> W/m<sup>2</sup> within the atmosphere, accounting for <u>0.671.78</u>%, 0.<u>4785</u>%, and 0.<u>3316</u>% of the total clear-sky radiation budget in those three zones.

352

#### 353 4.21.2 All-sky radiative forcing

The all-sky radiative forcing induced by dust shown in Figure <u>86</u> is the total radiative forcing, including the radiative forcing directly induced by dust displayed in Figure <u>75</u>, and that induced by the cloud radiative effect enhanced by dust.

357 As SW radiation is not sensitive to ice crystals in the atmosphere, In Figure 6a, the all-sky downwelling SW radiation 358 shows a smaller reduction at the top (Figure 8a) and the bottom (Figure 8g) of the atmosphere over dust sources and 359 downstream areas compared to the clear-sky case is markedly reduced over most of China compared with the clear-360 sky case. The perturbations of all-sky SW radiation over southern part of the simulation domain and the Pacific Ocean 361 in Figure 8a and g are likely due to the fluctuation of cloud cover, due to greater reflection from dust and enhanced 362 cloud cover induced by dust over the continent. Similarly, However, it is increased over the southern part of northwest 363 Pacific, indicating less SW radiation is reflected back into space due to the cloud radiative effect, which implies less 364 cloud cover induced by dust over this area. the all-sky SW radiative radiation shows a warming effect within the 365 atmosphere (Figure 8d), with an identical magnitude and spatial distribution to the ckear-sky case, as the warming is 366 mostly attributed to the absorption of SW radiation by dust particles Compared with the clear sky case, the all sky 367 upwelling SW radiation at the bottom of the atmosphere in Figure 6g is increased significantly over the continent, as 368 more solar radiation is blocked due to the enhanced cloud cover induced by dust; however, the downwelling all sky 369 SW radiation at the bottom of the atmosphere is reduced over most of the West Pacific, indicating that more solar 370 radiation that reaches the Earth's surface due to the cloud radiative effect, which also implies less cloud cover over 371 this area. The cloud radiative effect strengthens the warming within the atmosphere over land in the all sky case 372 compared with the clear sky case, while there is a slight cooling over the ocean in the all-sky case, as shown in Figure

6d, in contrast to the slight warming in the clear sky case. Averaged over the entire simulation domain, the mean SW
radiative forcing is -7.810.49 W/m<sup>2</sup> at the top of the atmosphere, and -7.87–1.94 W/m<sup>2</sup> at the bottom of the atmosphere,
and 1.44 W/m<sup>2</sup> within the atmosphere (Table 2), accounting for 0.172.62%, 0.93%, and 1.813.60% of the total all-sky
radiation budget in theose three wo zones, respectively. Within the atmosphere, the positive SW radiative forcing over
land and negative SW radiative forcing over the ocean balance each other out.

378 Compared towith the clear-sky case, the all sky downwellingpositive LW radiative forcingtion at the top of the 379 atmosphere \_-is slightlyignificantly increased over almost the whole of East Asiadust sources and downstream land 380 areas over East Asia (Figure 86b), indicating much less upwelling LW radiation at the top of the atmosphere, which 381 is likely caused by the combination of the lower surface temperature due to less solar radiation reaching the Earth's 382 surface, and the absorption of LW radiation by more ice clouds induced by dust plumes over these areas. The 383 absorption of the LW radiation by more ice clouds also leads to less coolinginerease of downwelling all sky LW 384 radiation at the bottom of the atmosphere-within the atmosphere (Figure 8e) compared to the clear-sky case over land 385 in Figure 6h is due to the greater emission of LW radiation by the warmer atmosphere, and the larger radiative forcing 386 than that in the clear sky case implies that the cloud cover is significantly increased over land due to dust. Conversely, 387 there is no warming effect at the surface of the ocean, and the reduction in downwelling LW radiation over the ocean 388 implies less cloud cover over the ocean. The combination of the direct radiative effect of dust and the cloud radiative 389 effect enhanced by dust causes an overall increase of LW radiation within the atmosphere, leading to a warming effect, 390 which is more pronounced over the ocean, as shown in Figure 6e. Moreover, a greater cloud amount results in an 391 increase of surface temperature, leading to more upwelling LW radiation emitted by the surface, and thus a smaller 392 positive LW radiative forcing at the bottom of the atmosphere (Figure 8h). As shown in Table 2, tThe mean all-sky 393 LW radiative forcing over the entire simulation domain is 0.319.52 W/m<sup>2</sup> at the top of the atmosphere, 1.19 W/m<sup>2</sup> at 394 the bottom of the atmosphere, and -1.26 W/m<sup>2</sup> within the atmosphere, accounting for 0.07%, 1.57%, and 0.74% of the 395 total all-sky radiation budget in the three zones, respectivelyaccounting for 3.79% of the total all sky LW radiation 396 budget in that zone. The increase of the all sky LW radiation at the bottom of the at atmosphere over land and its 397 reduction over the ocean almost cancel each other out, leaving a mean all-sky LW radiation over the entire simulation 398 domain of 0.25W/m<sup>2</sup>, accounting for 0.34% of the total LW radiation budget at the bottom of the atmosphere. The 399 mean all sky LW radiative forcing within the atmosphere over the simulation domain is 9.26 W/m<sup>2</sup>, accounting for 400 5.25% of the total all sky LW radiation budget within the atmosphere.

401 Summing the SW and LW radiative forcing, the net downwelling all-sky radiation at the top of the atmosphere is 402 generally increased over dust sources, whereas reduced to the north of Central China, Korea, and Japan, and increased 403 significantly over most of the oceanover the downstream land areas (Figure <u>86</u>c). By contrast, the net downwelling 404 all-sky net radiation at the bottom of the atmosphere is reduced significantly over the same land areas, and increased 405 over most of the oceanmost land areas over East Asia (Figure 86i). Radiative forcing results in pronounced warming 406 within the atmosphere over East Asia as a whole (Figure 36). Averaged over the simulation domain, the net all-sky 407 radiative forcing is 1.70-0.18 W/m<sup>2</sup>, -7.620.75 W/m<sup>2</sup>, and 0.579.33 W/m<sup>2</sup> at the top of the atmosphere, at the bottom 408 of the atmosphere, and within the atmosphere during the simulation period, accounting for 3.610.38%, 0.565.28%, 409 and 0.669.61% of the total net radiation budget in those three zones, respectively. 410 In summary, the direct radiative effect of dust combined with the cloud radiative effect enhanced by dust generally 411 causes a net loss of radiation at the Earth's surface, but a net gain of radiation within the atmosphere, leading to a 412 cooling at the surface and lower troposphere, and a warming in mid- to upper troposphere. Nevertheless, as ice clouds 413 enhanced by dust are thin clouds, which contributes little to the modifications of both LW and SW radiations, the 414 radiative forcing induced by the indirect effects of dust is much less significant than that by the direct radiative effect 415 of dust, therefore, the dust-induced radiative forcing over East Asia is dominated by the direct radiative effect of

416 dust. The radiative forcing caused by the dust enhanced cloud radiative effect is much greater than that caused by the 417 direct radiative effect of dust, especially for LW radiative forcing, which is highly affected by cloud cover . The LW 418 radiative forcing caused by the dust enhanced cloud radiative effect is one order stronger than that cased by the direct 419 radiative effect of dust at the top of the atmosphere and within the atmosphere. The spatial distribution of radiative 420 forcing further implies a shift of the spatial distribution of cloud cover, such that the cloud cover is likely increased 421 over land, but reduced over the ocean due to the presence of dust, indicating a re-distribution of atmospheric water 422 vapor over East Asia. The shift of the vertical distribution of the radiation budget, the re-distribution of atmospheric 423 water vapor, and the modification of atmospheric stability resulting from those two processes will be discussed in 424 more detail in later sections However, the perturbation of the radiation budget, especially over south part of the 425 simulation domain and the Pacific Ocean, is likely due to the fluctuation of the liquid cloud amount. On average, the 426 radiative effect caused by the increase of ice clouds compensates with that resulted from the decrease of liquid clouds, 427 leaving comparable modifications of the net radiative forcing in the clear-sky case and the all-sky case.

428

#### 429 4.3 Vertical temperature profile

Due to the radiative forcing directly induced by the effects of dust discussed in Section 4.1.1, and the cloud radiative effect enhanced by dust discussed in Section 4.1.2, the vertical temperature profile is modified. Figure 910 shows the modifications of the vertical temperature profiles induced resulted from by the direct radiative effect of dust, the cloud radiative effect enhanced by dust, and the full radiative effect of dust over the whole of East Asia, over land, and over the ocean during the investigated period.

435 On average, the temperature over the simulation domain as a whole is slightly increaseddecreased in the near surface 436 atmospherebelow 2 km, increased decreased from 1-2 km to 73 km, increased significantly from 1 km up to 13 km, 437 and then decreased above 713 km (Figure 910a). The contributions of the direct radiative effect of dust and dust-438 enhanced cloud radiative effect to the vertical temperature modification are shown in Figure 240b and c. The direct 439 radiative effect of dust (Figure 940) results in a decrease of temperature at the near surface layerbelow 3 km, and an 440 increase above-from 31 km to 14 km. In contrast, the pattern of the vertical temperature modification caused by dust-441 enhanced cloud radiative effect (Figure 940c) is similar opposite to that caused by the full-direct\_effect of dust, and is 442 one order of magnitude larger magnitude than that caused by the direct radiative effect of dust. The temperature is 443 increased from surface to 3 km, and then decreased from 3 km to 15 km.

444 As the radiative forcing induced by dust over land differs from that over the ocean, the effects on the vertical 445 temperature profile requires further discussion. The decrease in temperature at the lower level of the troposphere 446 mainly occurs over land. The temperature over land decreases significantly below 2 km, then increases gradually from 447 2 km to 12 km, and decreases again over 12 km modifications of vertical temperature profile over land induced by the 448 full effects (Figure 6d), direct effect (Figure 6e) and indirect effects (Figure 6f) of dust exhibit similar distributions to 449 those over the entire domain, but with larger magnitudes (Figure 10d). The decrease in temperature at the lower level 450 is composed of roughly equal contributions from the direct radiative effect and the dust-enhanced cloud radiative 451 effect. However, the increase in the temperature between 2 km and 12 km is mainly attributable to the dust enhanced 452 cloud radiative effect (Figure 10f), the contribution of which is one order larger than that of the direct radiative effect 453 of dust (Figure 10e). The decrease in temperature at lower layers is mainly attributable to the negative SW radiative 454 forcing at the surface induced by dust, and the increase of temperature at the mid\_-to upper troposphere is due to the absorption of LW-SW radiation by dust enhanced ice cloudsdust plumes, and the decrease of temperature at the upper 455 456 troposphere might be due to that the enhancement of ice clouds in mid- to upper troposphere prevents the upwelling

## 457 <u>LW radiation being absorbed by those ice clouds at higher altitudes</u>.

458 The modification of the vertical temperature profile over the ocean is similar todifferent from that over East Asia as a 459 wholeland. The temperature is increased from surface to mid-troposphere, especially at lower layers from the surface 460 to 3 km, with an increase in temperature at the near surface below 2 km, and a decrease in temperature from 1 km to 461 3 km (Figure 940g), which is contributed by two factors. One is the increase of temperature at lower layers (Figure 9i) 462 attributed to more absorption of LW radiation and latent heat released by the enhancement of low clouds. The other 463 is the increase of temperature at mid-troposphere (Figure 9h) caused by the absorption of SW radiation by dust plumes-464 The direct radiative effect of dust results in a slight decrease in temperature at the surface and at altitudes from 7 km 465 to 9 km, but a slight increase from 1 km to 7 km and above 10 km (Figure 10h). The dust enhanced cloud radiative 466 effect causes an overall increase in temperature from the surface to 13 km, with a minor peak at an altitude of 1 km 467 and a major peak at an altitude of 11 km (Figure 10i). The modification of the vertical temperature profile over the 468 ocean is mostly contributed by the dust-enhanced cloud radiative effect over the ocean, with an almost identical pattern 469 and magnitude to the temperature variation over East Asia as a whole (Figure 10i).

470

#### 471 **4.4 Atmospheric stability**

As discussed above, the radiative forcing and the re-distribution of atmospheric water content induced by dust result
in a modification of the vertical temperature profile over East Asia. The corresponding shift of the thermal energy in
the atmosphere eventually lead to a modification of the atmospheric stability over this region.

The K-index (*KI*) is a metric widely used in meteorology to evaluate atmospheric stability, and is calculated with thefollowing equation (George, 2014):

477

$$KI = T_{850} - T_{500} + Td_{850} - (T_{700} - Td_{700})$$
(10)

where  $T_{850}$ ,  $T_{700}$ , and  $T_{500}$  are the respective temperatures at 850 hPa, 700 hPa, and 500 hPa, and  $Td_{850}$  and  $Td_{700}$ are the dew points at 850 hPa and 700 hPa. The calculation of *KI* considers the atmospheric stability as a function of the vertical temperature lapse rate, the moisture content of the lower atmosphere, and the vertical extent of the moist layer. The larger the value of *KI*, the more unstable the atmosphere. To evaluate the effect of dust on atmospheric stability, *KI* was calculated from the simulation outputs.

Figure 104 shows the spatial distributions for the mean *KI* from NO-DUST/CLOUD over East Asia during the
simulation period, which represents the intrinsic average at mospheric stability free from the effects of dust, and Figure

112 shows the spatial distributions for the mean difference in *KI* between DUST/NO-CLOUD and NO-DUST/NO-CLOUD (Figure 112a), between DUST/CLOUD and NO-DUST/CLOUD (Figure 112b), and between DUST/CLOUD and NO-DUST/CLOUD (Figure 112c). The differences represent the modification in *KI* induced by the direct radiative effect of dust in Figure 112a;, the semi-direct and indirect effects, including cloud radiative effects and re-distribution of atmospheric water content enhanced by dust, in Figure 112b;, and as well as the combined effects of the previous two in Figure 112c.

As shown in Figure 101, the mean *KI* over East Asia is lower in the north and increases gradually from north to south,
with the highest values located over the South China Sea and Southeast Asia, and the lowest values over the Central
to North Pacific.

494 Under the full effects of dust, the mean modification of KI over most land areas in East Asia is a significant decrease. 495 Tho lore occurs over the dust source regions and central to East China (Figure 12c), and results from the 496 vertical modification over land. In contrast, KI significantly increases over most areas of the ocean and South China, 497 due to the different effects of dust on the vertical temperature over these areas, as discussed in Section 4.3. The 498 contributions of the direct radiative effect of dust and the indirect effects of dust on the modification of the mean KI 499 are shown in Figure 11a12a and and b. The direct radiative effect of dust is to inhibit the atmospheric instability is 500 inhibited over most land areas, indicated by a significant decrease of the mean KI, as shown in Figure 112a. However, 501 the indirect effects of dust result in an opposite overall-modification of mean KI, with a slight enhancement of mean 502 KI over most areas of the investigated domain is even greater over the ocean when the semi-direct and indirect effects 503 of dust are taken into account. Upon considering the semi-direct and indirect full effects of dust, the mean modification 504 of KI over most land areas in East Asia is a general decrease (Figure 11c). The largest decrease occurs over the dust 505 source regions and central to East China, and results from the vertical modification over land. In contrast, KI increases 506 over South China and most Southern part of the, due to the different effects of dust on the vertical temperature over 507 these areas, the modification of KI is much greater over areas with more water vapor in the simulation domain, such 508 as South China and most ocean areas, as shown in Figure 12b. 509 Overall, the atmosphere is significantly stabilized over the dust source regions and central to East China, but 510 significantly destabilized over South China and most ocean areas, due to the effects of dust. The dust enhanced cloud

511 radiative forcing and the re-distribution of atmospheric water content due to dust contribute much more to the 512 modification of atmospheric stability than the direct radiative effect of dust does, especially over areas with abundant

| 513 | water | vapor. |
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|-----|-------|--------|

### 514

## 515 4.5 Precipitation

The modification of atmospheric stability and <u>the</u> re-distribution of atmospheric water content induced by dust eventually alter the precipitation over East Asia. The spatial distributions for the mean precipitation rate, including total precipitation, convective precipitation, and non-convective precipitation from NO-DUST/CLOUD and DUST/CLOUD, as well as the difference between the two simulations, are shown in Figure 123. Note that the precipitation rate shown in Figure 124 is the mean daily precipitation rate averaged over the simulation period. The spatial pattern of the mean total precipitation rate from NO-DUST/CLOUD shown in Figure 12a+3a is generally

522 similar to that from DUST/CLOUD shown in Figure 123b. However, Figure 12c shows clearly that the precipitation 523 is modified due to the effects of dust, leading to an overall reduction of the total precipitation being reduced by as 524 much as 1 mm/day or more to the east of Central to South China, where the main precipitation area is located, while 525 an increase by up to 1 mm/day to the west. as discussed in Section 3.2.2, the simulated precipitation center produced 526 in NO-DUST/NO-CLOUD spans an area from Hunan to Jiangxi (Figure 13a), to the north of the observed precipitation, 527 andMeanwhile, the simulated precipitation rate over South China is significantly lower than the observational values. 528 By contrast, in DUST/CLOUD (Figure 13b), the precipitation band from Hunan to Jiangxi is markedly inhibited, 529 while the precipitation rate over South China is slightly enhanced, which is clearly more consistent with the 530 observations. As shown in Figure 13c, the total precipitation is reduced by as much as 1 mm/day or more over most 531 land areas, but increased by up to 1 mm/day over South China, due to the effects of dust. The modifications of m 532 precipitation account for over-up to 20% of the total simulated precipitation rate over both land and the oceanland 533 areas in the simulation domain.

The simulated convective precipitation mostly occurs over the southern part of the simulation domain, with precipitation centers located over <u>East and central to East China</u>, South China, the South China Sea, and Southeast Asia (Figure 123d and e). The total precipitation over these areas is chiefly affected by the modification of convective precipitation. Due to the effects of dust, convective precipitation is significantly reducedenhanced at the precipitation center from central to East Chinaover South China, but substantially enhanced inhibited over East China over South China and the ocean (Figure 123f). The inhibition of convective precipitation over Central to East China has two reasons. One is theis likely due to the general enhancement of atmospheric stability, which reduces the convective

| 541 | motion over this region. The other is the decrease in low clouds over South China and the ocean, which reduces the       |
|-----|--|
| 542 | atmosphere, which promotes convective motions.   |
| 543 | The simulated non-convective precipitation is produced by the microphysics scheme. The Non-convective                    |
| 544 | precipitation mainly occurs at the western rim of the Taklimakan Desert, northeast China, Japan, and the areas between   |
| 545 | 27°N and 36°N over East Asia during the simulation period. The spatial distribution of the non-convective                |
| 546 | precipitation rate produced in DUST/CLOUD (Figure 123h) is markedly lower than similar to that produced in NO-           |
| 547 | DUST/CLOUD (Figure 123g) at the northern precipitation centers. However, FFigure 123i shows that the non-                |
| 548 | convective precipitation rate is reduced modified upon considering the effects of dust. The non-convective               |
| 549 | precipitation band over Central China exhibits a shift of location, with less precipitation to the east and west, while  |
| 550 | more in the middle. This is likely due to the modification of liquid clouds over the same area, with less clouds to the  |
| 551 | east and west, while more in the middle (Figure 5f). Similarly, the enhancement or inhibition of the non-convective      |
| 552 | precipitation over South to East China is also related with the perturbation of the cloud amount over these areas. On    |
| 553 | average, the amount of non-convective precipitation is increased or decreased by more thanup to 230% at the western      |
| 554 | of the Taklimakan Desert and in the rain band from East China to Japanmain precipitation regions.                        |
| 555 | To summarize, the total amount of the precipitation over the entire investigated domain remains the same by taking       |
| 556 | the effects of dust into account, however, the locations of the precipitation might be shifted, the precipitation can be |
| 557 | enhanced or inhibited by up to 20% over regions with relatively abundant precipitation during the investigated period,   |
| 558 | such as Central to East China, as well as South China. More super-cooled water droplets can freeze into ice crystals in  |
| 559 | the upper troposphere due to the abundant IN served by dust particles, leading to much lower atmospheric cloud water     |
| 560 | content and cloud droplet number concentration directly above the non-convective precipitation center. Furthermore,      |
| 561 | the warming within the atmosphere, which is caused by radiative forcing and latent heat released by the freezing of      |
| 562 | super cooled water droplets, results in a higher saturation pressure for water vapor and faster evaporation rate for     |
| 563 | cloud droplets. This, in turn, suppresses the growth of cloud droplets into rain droplets, leading to an inhibition of   |
| 564 | non-convective precipitation. Conversely, the increase in cloud ice in some cases leads to more precipitation. The ice   |
| 565 | crystals in mixed phase clouds can grow large enough to induce precipitation given sufficient water vapor in the         |
| 566 | atmosphere. An example is the enhancement of non-convective precipitation over the East China Sea.                       |
| 567 |  |

568 5 Conclusions

By applying the updated WRF-Chem, which is capable of evaluating indirect effects of dust along with the direct and
semi-direct-effect in dust simulations, the full effects of dust, including direct radiative, cloud radiative, and indirect
microphysical effects, on the meteorological field over East Asia during March and April 2012 were quantified and
discussed.

573 By considering the effects of dust in the simulation, tThe atmospheric ice water pathIWP and ice crystal number 574 density areis substantiallyignificantly increased from West China to Northwest Pacific Oceanover East Asia, 575 when which are the dust sources and their downstream areas with abundant dust particles are available to serve as IN. 576 By contrast, the atmospheric cloud water pathCWP and cloud droplet number density-isare generally substantially 577 reduced over the same areas, while shows perturbations over the rest of areas in East Asia. Vertically, the effects of 578 dust result in a general increase of cloud ice and decrease of cloud water over East Asia as a whole, whereby the 579 increase of eloud ice ice clouds is mainly concentrated at the mid- to upper troposphere, while the decrease of m eloud 580 waterliquid clouds mostly occurs atin low- to mid-troposphere-clouds. The increase of icein clouds at the mid-to 581 upper troposphere is due to the indirect effect enhancement of ice nucleation process with abundantof dust by particles 582 serving as IN. The reduction in low liquid clouds is attributed to two factors. One is the semi-directburning effect of 583 dust. Dust particles within clouds absorb radiation and warm up the surrounding environment, leading to a much 584 greater saturation pressure required for atmospheric water vapor to form clouds, and a much faster evaporation rate of 585 cloud droplets. The other factor is that the ice nucleation process enhanced by dust facilitates the freezing of 586 atmospheric super-cooled water droplets into ice crystals. 587 For the radiative forcing induced by dust, the direct radiative effect of dust combined with the dust-enhanced cloud 588 radiative effect causes a net loss of radiation at the Earth's surface, but a net gain of radiation within the atmosphere, 589 leading to cooling at the surface and lower troposphere, and warming in the mid- to upper troposphere. The radiative 590 forcing caused by the direct radiative effect of dust is greater than that induced by the dust-enhanced cloud radiative 591 effect-over land areas, as the thin ice clouds enhanced by dust particles have limited impacts on altering the radiation 592 budgetis much greater than that caused by the direct radiative effect of dust, especially for LW radiative forcing, which 593 is highly affected by cloud cover. The LW radiative forcing caused by the dust enhanced cloud radiative effect is one 594 order stronger than that cased by the direct radiative effect of dust at the top of the atmosphere and within the 595 atmosphere. The spatial distribution of radiative forcing further implies a shift of the spatial distribution of cloud cover, 596 such that the cloud cover is likely increased over land, but reduced over the ocean, due to the presence of dust,

#### 597 indicating a re-distribution of atmospheric water vapor over East Asia.

The <u>radiative forcing and re-distribution</u> of atmospheric water vapor <u>and radiative forcing</u>\_induced by dust lead to a modification of the vertical temperature profile. Consequently, the atmosphere is stabilized over most land areas, but destabilized over <u>most of the oceanSouth China and most oceanic areas in East Asia</u>. The cloud radiative forcing enhanced by dust and the re-distribution of atmospheric water content due to dust contribute much more to the modification of atmospheric stability than the direct radiative effect of dust does. Convective precipitation is inhibited over <u>most land areas in East AsiaSouth China</u>, <u>because of due</u> the enhanced

604 atmospheric stability, and the reduction in cloud droplets capable of growing into rain droplets under atmospheric 605 conditions while enhanced over Central China, resulted from the more unstable atmosphere. -Conversely, convective 606 precipitation is enhanced over South China and the ocean due to the greater atmospheric instability over these areas. 607 The modification of cloud amount results in a shift of locations of the non-convective precipitation over China. On 608 average, the total amount of the precipitation over the entire investigated domain remains the same by taking the 609 effects of dust into account, however, the locations of the precipitation might be shifted, the precipitation can be 610 enhanced or inhibited by up to 20% over particular regions with relatively abundant precipitation during the 611 investigated period. The presence of much fewer cloud droplets in the atmosphere, combined with the atmospheric 612 warming caused by radiative forcing and the release of latent heat by the freezing of super cooled water droplets, 613 results in a higher saturation pressure for water vapor and faster evaporation rate for cloud droplets, which in turn 614 inhibit non convective precipitation. The decrease in convective and non convective precipitation results in a 615 reduction of total precipitation over East Asia. Nevertheless, the increase of cloud ice also leads to more precipitation 616 in some cases. The ice crystals in mixed phase clouds can grow large enough to induce a precipitation given sufficient 617 atmospheric water vapor.

618

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| 806 | eloud water content (e-f) induced by dust over the entire simulation domain (left panel), over land (middle panel), and         |
| 807 | over ocean (right panel).   |
| 808 | Figure 10: Spatial distributions of the monthly average K-index from NO-DUST/CLOUD. Figure 10: Modification of                  |
| 809 | Figure 11: Spatial distributions of the modification of K-index induced by the direct radiative effect of dust (a), the         |
| 810 | semi-direct and indirect effects of dust (b), and the full effects of dust (c). Figure 11: Spatial distributions of the monthly |
| 811 | average K-index from NO-DUST/CLOUD.   |
| 812 | Figure 12: Spatial distributions of the average simulated total precipitation rate (a-c), convective precipitation rate (d-     |
| 813 | f), and non-convective precipitation rate (g-i) from NO-DUST/CLOUD (left panel), DUST/CLOUD (middle panel),                     |
| 814 | and the difference between DUST/CLOUD and NO-DUST/CLOUD (right panel). Figure 12: Spatial distributions of                      |
| 815 | the modification of K-index induced by the direct radiative effect of dust (a), the semi-direct and indirect effects of         |
| 816 | dust (b), and the full effects of dust (c).   |
| 817 |   |

| Experiment<br>Scheme          | NO-DUST/NO-<br>CLOUD | NO-<br>DUST/CLOUD | DUST/NO-<br>CLOUD                               | DUST/CLOUD                                      |
|-------------------------------|----------------------|-------------------|---|---|
| Dust emission<br>scheme       |                      |                   | Shao  | Shao  |
| Dry deposition                |                      |                   | Gravitational<br>settling/surface<br>deposition | Gravitational<br>settling/surface<br>deposition |
| Wet deposition                |                      |                   | In-cloud and below-<br>cloud                    | In-cloud and below<br>cloud                     |
| Aerosol optical<br>scheme     |                      |                   | Maxwell-Garnett                                 | Maxwell-Garnet                                  |
| Aerosol radiative<br>feedback | off                  | off               | on  | on  |
| Cloud radiative<br>feedback   | off                  | on                | off   | on  |

**Table 1:** Model configurations for the numerical simulations.

|             | Clear-sky |       |       | All-sky |       |       |
|-------------|-----------|-------|-------|---------|-------|-------|
|             | SW        | LW    | Net   | SW      | LW    | Net   |
| TOA (+down) | -0.63     | 0.18  | -0.45 | -0.49   | 0.31  | -0.18 |
| ATM (+warm) | 1.56      | -1.26 | 0.30  | 1.44    | -0.88 | 0.57  |
| BOT (+down) | -2.19     | 1.44  | -0.75 | -1.94   | 1.19  | -0.75 |

Table 2: WRF-Chem-simulated SW, LW, and net radiative forcing (W/m<sup>2</sup>) induced by dust over East Asia at TOA, BOT, and ATM.

SW: short-wave radiative forcing; LW: long-wave radiative forcing; Net: net radiative forcing.

TOA: radiative forcing at the top of the atmosphere; ATM: radiative effect within the atmosphere; BOT: radiative effect at the bottom of the atmosphere.

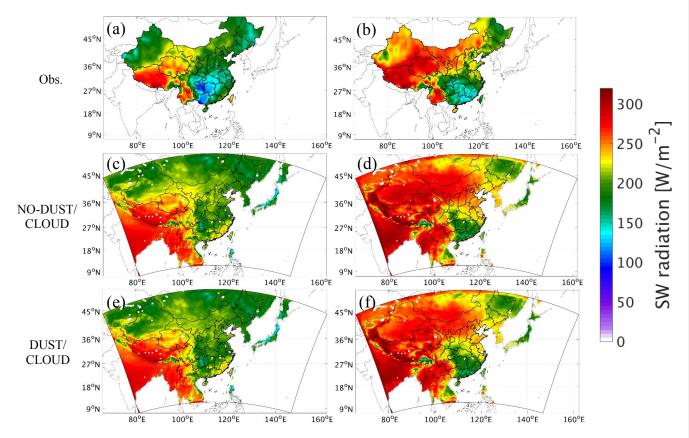
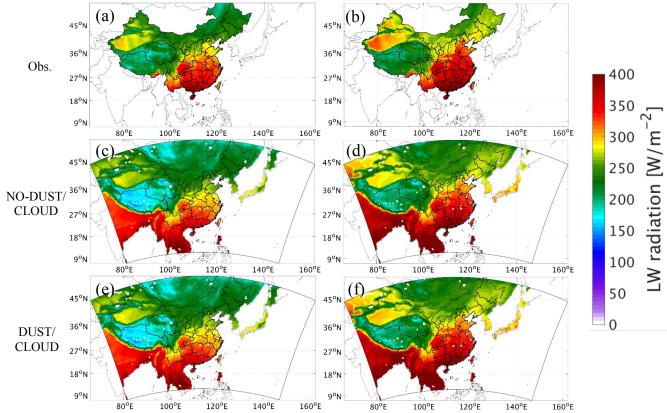
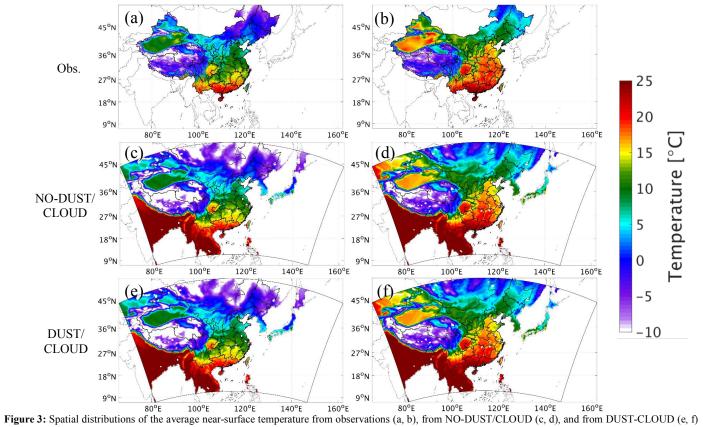


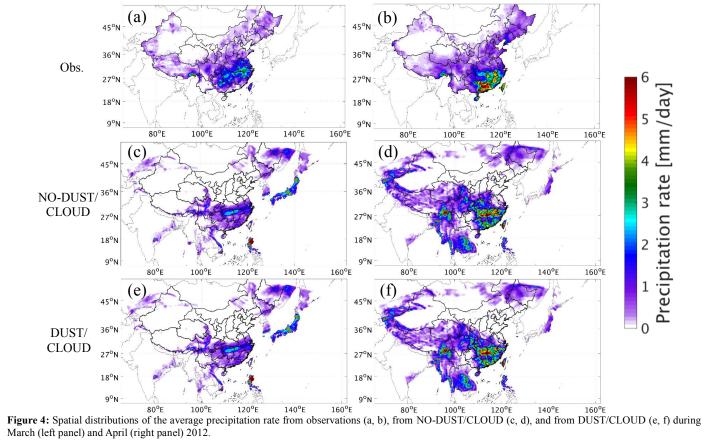
Figure 1: Spatial distributions of the average downward SW radiation at surface from observations (a, b), from NO-DUST/CLOUD (c, d), and from DUST-CLOUD (e, f) during March (left panel) and April (right panel) 2012.

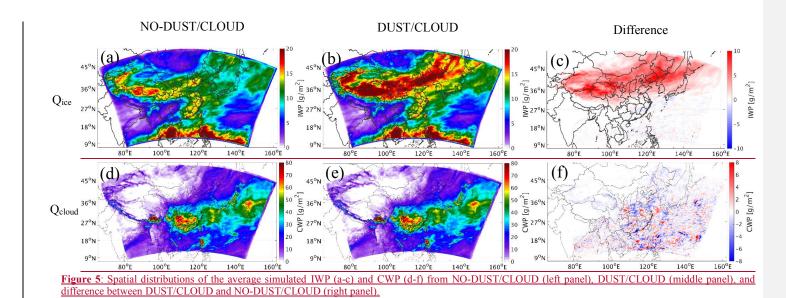


**Figure 2:** Spatial distributions of the average downward LW radiation at surface from observations (a, b), from NO-DUST/CLOUD (c, d), and from DUST-CLOUD (e, f) during March (left panel) and April (right panel) 2012.



during March (left panel) and April (right panel) 2012.





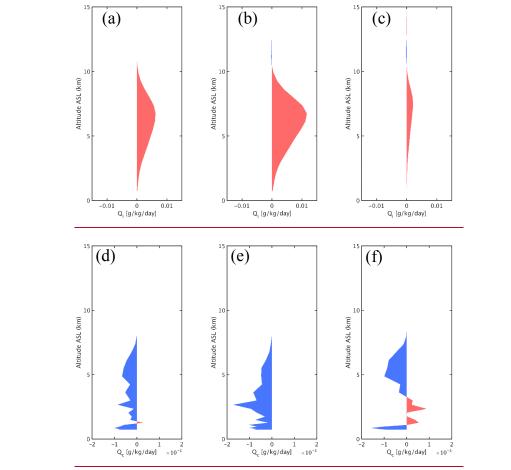


Figure 6: Vertical profile of the modification of cloud ice (a-c) and cloud water content (e-f) induced by dust over the entire simulation domain (left panel), over

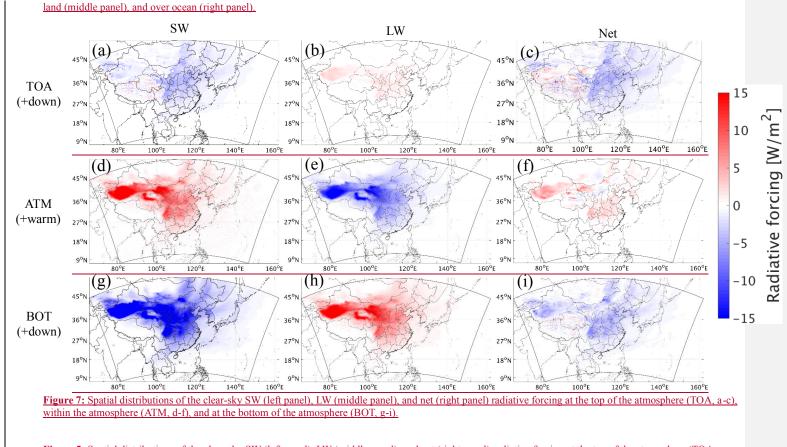
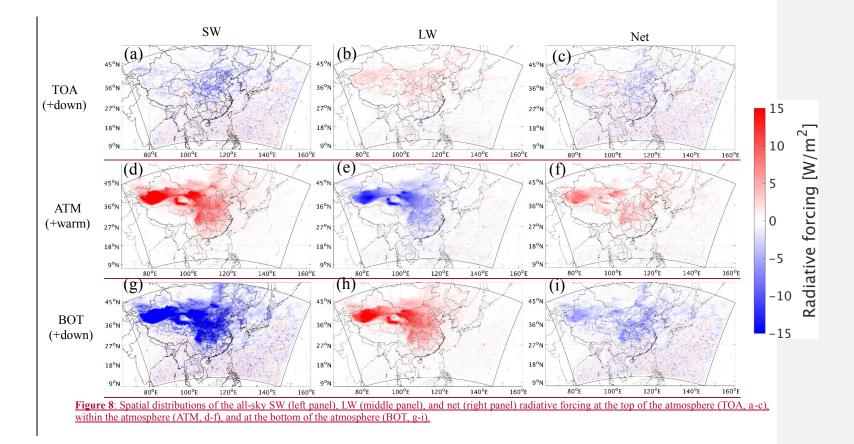
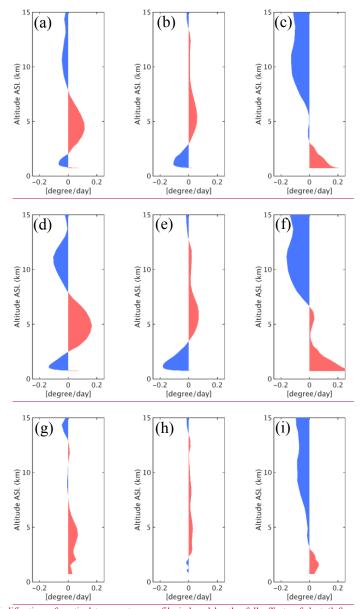
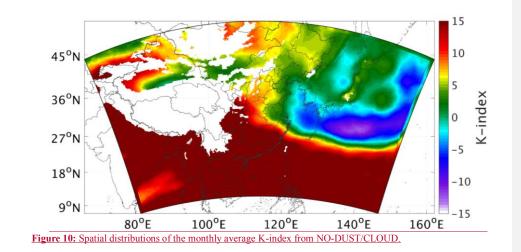


Figure 5: Spatial distributions of the clear sky SW (left panel), LW (middle panel), and net (right panel) radiative foreing at the top of the atmosphere (TOA, a e), within the atmosphere (ATM, d f), and at the bottom of the atmosphere (BOT, g i).





**Figure 9:** Modification of vertical temperature profile induced by the full effects of dust (left panel), the direct radiative effect of dust (middle panel), and the semi-direct and indirect effects of dust (right panel) over the entire simulation domain (a-c), over land (d-f), and over ocean (g-i).



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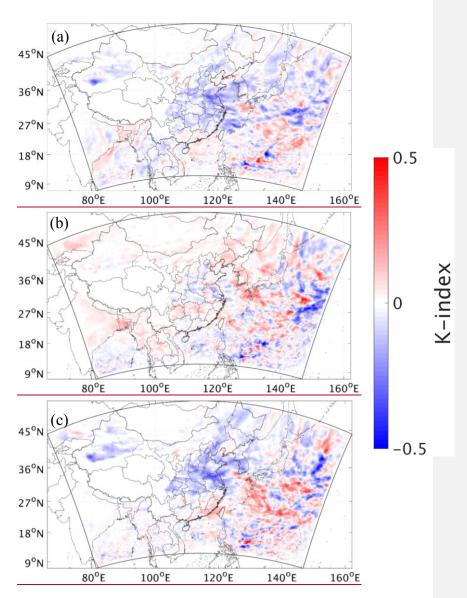
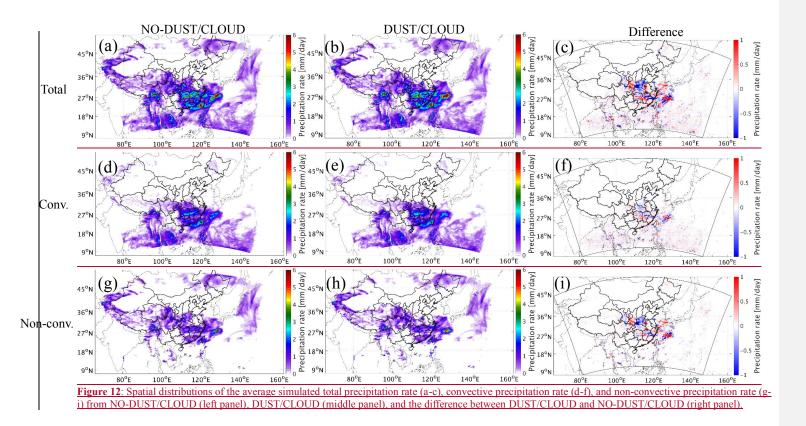


Figure 11: Spatial distributions of the modification of K-index induced by the direct radiative effect of dust (a), the semi-direct and indirect effects of dust (b), and the full effects of dust (c).

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