



1 Investigating the role of dust in ice nucleation within clouds and 2 further effects on regional weather system over East Asia

3 Part I: model development and validation

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10 **Keywords:** dust; ice nucleation; microphysics scheme implementation; numerical modeling

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12 **Highlights:**

13 An aerosol model has been coupled with a microphysics scheme for evaluating the role of dust particles in atmospheric
14 ice nucleation.

15 The effect of dust on atmospheric ice water content over East Asia during a dust-intensive period is simulated.

16 The simulation of atmospheric ice water content during dust events is substantially improved upon the effect of dust
17 being considered.

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Abstract. The GOCART–Thompson microphysics scheme, which couples the Goddard Chemistry Aerosol Radiation and Transport (GOCART) model and aerosol-aware Thompson microphysics scheme, has been implemented in the Weather Research and Forecast model coupled with Chemistry (WRF-Chem), to quantify and evaluate the effect of dust on the ice nucleation process in the atmosphere by serving as ice nuclei. The performance of the GOCART–Thompson microphysics scheme in simulating the effect of dust in atmospheric ice nucleation is then evaluated over East Asia during spring in 2012, a typical dust-intensive season. Based upon the dust emission reasonably reproduced by WRF-Chem, the effect of dust on atmospheric cloud ice water content is well reproduced. With abundant dust particles serving as ice nuclei, the simulated ice water mixing ratio and ice crystal number concentration increases by one order of magnitude over the dust source region and downwind areas during the investigated period. The comparison with ice water path from satellite observations demonstrated that the simulation of cloud ice profile is substantially improved by applying the GOCART–Thompson microphysics scheme in the simulations. Additional sensitivity experiments are carried out to optimize the parameters in the ice nucleation parameterization in the GOCART–Thompson microphysics scheme, and the results suggest that the calibration factor in the ice nucleation scheme should be set to 3 or 4. Lowering the threshold relative humidity with respect to ice to 100% for the ice nucleation parameterization leads to further improvement in cloud ice simulation.

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35



36 1 Introduction

37 As one of the largest natural aerosol sources, dust aerosol contributes considerably to the global aerosol burden (Textor
38 et al., 2006). The Intergovernmental Panel on Climate Change (IPCC) has recognized dust as a major component of
39 atmospheric aerosols, which are an “essential climate variable.” East Asia is a major contributor to the Earth’s dust
40 emission. It has been reported in previous studies that East Asian dust contributes 25–50% of global emission,
41 depending on the climate of the particular year (Ginoux et al., 2001).

42 Dust in the atmospheric can alter the Earth’s radiation budget through certain ways. By reflecting, absorbing and
43 scattering the incoming solar radiation, dust can cause a warming effect within the atmosphere, and a cooling effect
44 at the surface layer (Lacis, 1995). Dust within clouds can absorb short-wave and long-wave radiation and heat up the
45 surrounding environment, causing a shrinking of cloud, and a lower cloud albedo (Perlwitz and Miller, 2010; Hansen
46 et al., 1997). Moreover, dust particles are recognized as effective ice nuclei, and play an important role in the ice
47 nucleation process in the atmosphere, directly affecting the dynamics in ice and mixed-phase clouds, such as the
48 formation and development of clouds and precipitation (Koehler et al., 2010; Twohy et al., 2009).

49 To date, Many studies have been conducted to evaluate the direct radiative effect of dust aerosol using radiation
50 schemes implemented in numerical models all over the world (Mallet et al., 2009; Nabat et al., 2015a; Ge et al.,
51 2010; Hartmann et al., 2013; Huang et al., 2009; Bi et al., 2013; Liu et al., 2011a; Liu et al., 2011b; Huang, 2017).
52 Recently, semi-direct effect of dust has been investigated in a few studies over different regions by applying various
53 global and regional models (Tesfaye et al., 2015; Nabat et al., 2015b; Seigel et al., 2013). Unfortunately, due to the
54 poor understanding on the dust-cloud-interaction in microphysics processes, quantifying the microphysical effect of
55 dust remains as a difficult problem. Various ice nucleation parameterizations have been implemented into global
56 models to estimate the importance of dust in atmospheric ice nucleation (Lohmann and Diehl, 2006; Karydis et al.,
57 2011; Hoose et al., 2008; Zhang et al., 2014). However, most regional models are not capable of estimating the indirect
58 effect of dust, and vary rare work has been done to assess the indirect effects of dust on the weather system, especially
59 over East Asia, which is a major contributor to the global dust emission. Currently, only a few microphysics schemes
60 considering aerosol-cloud-interaction are implemented in regional, and in most of these microphysics schemes, only
61 the cloud condensation nuclei served by aerosols are considered (Perlwitz and Miller, 2010; Solomos et al., 2011; Miller
62 et al., 2004), with the ice nuclei not treated, or represented by a prescribed ice nuclei distribution (Chapman et al.,



2009;Baró et al., 2015), and the number of predicted ice crystals is a function of temperature or ice saturation. In reality, however, the number of ice crystals that can form in the atmosphere is highly dependent on the number of particles that can act as ice nuclei, and dust is the most abundant aerosols that can effectively serve as ice nuclei and affect the formation and development of mixed-phase and ice clouds in the atmosphere. This effect should not be neglected in numerical models, especially in the simulations over arid regions during strong wind events (DeMott et al., 2003;Koehler et al., 2010;DeMott et al., 2015;Lohmann and Diehl, 2006;Atkinson et al., 2013).

The GOCART aerosol model, which has been implemented in WRF-Chem, is one of the most widely-used aerosol models for global and regional dust simulation (Chen et al., 2014;Ashrafi et al., 2017;Chiao et al., 2016;Rizza et al., 2017;Flaounas et al., 2017;Kumar et al., 2014). It is coupled with various radiation schemes for evaluating the radiative effects induced by dust in WRF-Chem. However, it is not linked with any microphysics scheme, therefore, the indirect effect of dust cannot be calculated in the model.

Since 2014, the aerosol-aware Thompson microphysics scheme, which takes into account the aerosols serving as ice nuclei, has been implemented into WRF, enabling the model to explicitly predict the droplet number concentration for cloud droplets through the number concentrations of cloud condensation nuclei and ice nuclei (Thompson and Eidhammer, 2014). Therefore, the aerosol-aware Thompson scheme is an ideal microphysics scheme for evaluating the effect of dust in atmospheric ice nucleation processes. However, this scheme is not coupled with any aerosol model in WRF-Chem. When the aerosol-aware Thompson microphysics scheme is activated, the model reads in pre-given monthly-averaged climatological aerosol data derived from the output of other global climate model, which introduces large errors into the estimation of the effects of dust in microphysical processes, especially in real-time simulations.

In light of the above, we aimed to fully couple the aerosol-aware Thompson microphysics scheme with an aerosol model in the WRF-Chem modeling system in this study, enabling the model to simulate the effect of dust aerosol in ice nucleation processes during online simulations, for investigating the role that East Asian dust plays in the ice nucleation process in the atmosphere.

The remainder of the manuscript is presented as follows. Section 2 provides a description of the model including the implementation work for coupling the aerosol-aware Thompson microphysics scheme and the GOCART aerosol model in WRF-Chem, followed by the model configurations for numerical simulations in section 3. Section 4 presents



the observational data used to validate the performance of the newly-implemented GOCART-Thompson microphysics scheme. Section 5 is the results and discussion, followed by the conclusions section 6.

91

92 **2 Model description**

WRF-Chem is an online-coupled regional modeling system, which means that it can simultaneously simulate the meteorological field, the chemical field, and the interaction in between (Grell et al., 2013). The chemical model contains several gas- and aerosol-phase chemical schemes. In this study, we focus on the GOCART model, a simple aerosol model that will be used for dust simulation.

97

98 **2.1 GOCART aerosol model**

GOCART is an aerosol model for simulating major tropospheric aerosol components, such as sulfate, dust, black carbon, organic carbon, and sea-salt aerosols (Ginoux et al., 2001; Chin et al., 2000). It has been implemented into WRF-Chem as a bulk aerosol scheme. GOCART is a simple aerosol scheme that can predict the mass of aerosol components, but does not account for complex chemical reactions. Therefore, it is numerically efficient in simulating aerosol transport, and thus applicable to cases without many chemical processes, especially dust events.

Shao's dust emission scheme is one of the three dust emission schemes in the GOCART aerosol model (Kang et al., 2011; Shao, 2004, 2001; Shao et al., 2011), and has been demonstrated to exhibit superior performance in reproducing the dust cycle over East Asia compared to other emission schemes (Su and Fung, 2015). The Shao's emission scheme was updated in WRF-Chem since version 3.8 released in 2016, to produce five size bins for dust emission, with diameters of $< 2 \mu\text{m}$, $2\text{--}3.6 \mu\text{m}$, $3.6\text{--}6.0 \mu\text{m}$, $6.0\text{--}12.0 \mu\text{m}$, and $12.0\text{--}20.0 \mu\text{m}$, and mean effective radii of $0.73 \mu\text{m}$, $1.4 \mu\text{m}$, $2.4 \mu\text{m}$, $4.5 \mu\text{m}$, and $8.0 \mu\text{m}$.

110

111 **2.2 Aerosol-aware Thompson microphysics scheme**

The Thompson scheme is a bulk two-moment microphysics scheme that considers the mixing ratios and number concentrations for five water species: cloud water, cloud ice, rain, snow and a hybrid graupel/hail category. The



114 aerosol-aware version of the Thompson scheme incorporates the activation of aerosols serving as cloud condensation
 115 nuclei and ice nuclei, and therefore it explicitly predicts the droplet number concentration of cloud water as well as
 116 the number concentrations of cloud condensation nuclei and ice nuclei. Hygroscopic aerosols that serve as cloud
 117 condensation nuclei are referred to as water-friendly aerosols, and those non-hygroscopic ice-nucleating aerosols are
 118 referred to as ice-friendly aerosols. The cloud droplets nucleate from explicit aerosol number concentration using a
 119 look-up table for the activated fraction as determined by the predicted temperature, vertical velocity, number of
 120 available aerosols, and pre-determined values of the hygroscopicity parameter and aerosol mean radius, while the
 121 nucleation of ice crystals by dust particles follows the parameterization of DeMott et al. (DeMott et al., 2010) to
 122 account for condensation and immersion freezing, and the parameterization of Phillips et al. (Phillips et al., 2008) to
 123 account for deposition nucleation. Freezing of super-cooled water droplet is determined following the Bigg's
 124 parameterization (Bigg, 1953), but with a temperature adjustment of a few degrees depending on dust concentration
 125 (Thompson and Eidhammer, 2014). In the current version of WRF-Chem, the number concentrations of both water-
 126 friendly aerosols and ice-friendly aerosols are pre-given in the initialization of the simulations, and are derived from
 127 the climatological data produced by global model simulations in which particles and their precursors are emitted by
 128 natural and anthropogenic sources and explicitly modeled with multiple size bins for multiple species of aerosols by
 129 the GOCART model. In the consequent simulations, a fake aerosol emission is implemented by giving a variable
 130 lower boundary condition based on the initial near-surface aerosol concentration and a simple mean surface wind for
 131 calculating a constant aerosol flux at the lowest level in the model. The number concentrations of both water-friendly
 132 aerosols and ice-friendly aerosols are then updated at every time step by summing up the fake aerosol emission fluxes
 133 and tendencies induced by aerosol-cloud-interaction. The limitation of the current aerosol-aware Thompson scheme
 134 is that the aerosol profile generated from a fake emission can hardly represent the realistic aerosol level, leading to
 135 great errors in quantifying the indirect effects of aerosols.

136

137 **2.3 Implementation of GOCART-Thompson microphysics scheme**

138 To investigate the real-time indirect effects of dust aerosol over East Asia, modifications have been made to couple
 139 the GOCART model with the aerosol-aware Thompson microphysics scheme. The modifications were based on WRF-



Chem version 3.8.1, and consisted of three parts, modification of the GOCART aerosol scheme, modification of the aerosol-aware Thompson microphysics scheme, and the introduction of a new wet removal scheme.

142

2.3.1 Upgraded GOCART aerosol model

Currently, the GOCART aerosol model generates only the mass concentration for aerosols but no number concentrations. However, the number concentration of aerosols are required for a microphysics scheme to evaluating the indirect effects of aerosols. Therefore, modification was needed to provide information about the number concentrations of aerosols from the mass concentration produced in GOCART aerosol model.

The aerosol mass concentration was converted into number concentration using the aerosol density and effective radius for each size bin. Assuming that dust particles are spherical, the mass per dust particle (m_p , $\mu\text{g}/\#$) for a size bin can be approximated through the mean effective radius and density for that size bin.

$$m_p = \rho_{\text{dust}} \times \frac{4}{3} \times \pi r_{\text{dust}}^3 \quad (1)$$

where ρ_{dust} and r_{dust} are the dust density and mean effective radius, respectively, for a particular size bin.

The aerosol number concentration N ($\#/\text{kg}$) for size bin n at a grid point (i, j, k) is then calculated by the following equation:

$$N(i, j, k, n) = C(i, j, k, n) / m_p \quad (2)$$

where $C(i, j, k, n)$ is the dust mass mixing ratio ($\mu\text{g}/\text{kg}$) for size bin n at grid point (i, j, k) . Summing up the aerosol number concentrations through all of the size bins gives a total dust number concentration, which will be passed into the Thompson microphysics scheme. Note that all of the dust particles are treated as ice-friendly aerosols in this study, and represented by a newly-introduction variable, ice-friendly aerosol produced by GOCART aerosol model (*GNIFA*).

$$GNIFA(i, j, k) = \sum_{n=1}^N N(i, j, k, n) \quad (3)$$

161

2.3.2 Ice nucleation parameterization



In the aerosol-aware Thompson scheme, the condensation and immersion freezing above water saturation in the nucleation process is determined following the DeMott's parameterization proposed in 2010 (hereafter DeMott2010 scheme) based on combined data from field experiments at a variety of locations over 14 years (DeMott et al., 2010), to account for condensation and immersion freezing. In the DeMott2010 scheme, the relationship between ice nuclei number concentration and ice crystal number concentration is as follows:

$$n_{ice,T_k} = a(273.16 - T_k)^b n_{aero}^{(c(273.16 - T_k) + d)} \quad (4)$$

where n_{ice,T_k} is the ice crystal number concentration (std L⁻¹) at temperature of T_k ; n_{aero} is the number concentration of ice-friendly aerosols (std cm⁻³), and a, b, c, and d are constant coefficients equal to 5.94×10^{-5} , 3.33, 2.64×10^{-2} , and 3.33×10^{-3} , respectively. The parameterization was tested with various temperatures and number concentration of ice-friendly aerosols, yielding a good performance in reproducing ice crystal number concentration under conditions of relatively low mixing ratio of water vapor or low concentration of ice crystals compared with field-experimental data. The relationship between simulated ice nuclei number concentration and ice crystal number concentration is basically linear for concentrations of both of under 1,000 std cm⁻³ (DeMott et al., 2010).

The above parameterization was further developed in 2015 (hereafter the DeMott2015 scheme) for conditions of higher mixing ratio of water vapor or higher concentrations of ice crystals based on the latest data from field and laboratory experiments. According to the latest observational data, ice crystal number concentration increases exponentially with ice-friendly aerosol number concentration, and existing aerosols with relatively low concentrations (less than 1,000 std cm⁻³) can produce a large number of ice crystals (more than 100,000 std L⁻¹). The updated relationship between ice nuclei number concentration and ice crystal number concentration in the DeMott2015 parameterization scheme is as follows.

$$n_{ice,T_k} = c_f n_{aero}^{\alpha(273.16 - T_k) + \beta} \exp(\gamma(273.16 - T_k) + \delta) \quad (5)$$

where α , β , γ , and δ are constant coefficients equal to 0, 1.25, 0.46, and -11.6, respectively. The calibration factor c_f ranges from 1 to 6, and is recommended to be 3. The updated parameterization shows a good performance in reproducing ice crystal with relatively high concentration in ice nucleation involving ice-friendly aerosols under varying temperature and aerosol number concentration.



188 The number concentrations of ice crystals that produced by the DeMott2015 scheme is much higher than that produced
 189 by the DeMott2010 scheme when applied to the same value of n_{aero} , and the difference grows larger with decreasing
 190 temperature and increasing number concentration of ice-friendly aerosols (DeMott et al., 2015). As the DeMott2015
 191 scheme has been examined using more comprehensive field- and laboratory-experimental data, we apply the
 192 DeMott2015 ice nucleation scheme in the GOCART–Thompson microphysics scheme, instead of the DeMott2010
 193 scheme in the default aerosol-aware Thompson microphysics scheme to simulate the ice nucleation involving dust.

194 Originally, the calibration factor c_f is set to be 3; the threshold temperature is set to be -20 °C. The ice nucleation
 195 process is triggered once the relative humidity with respect to ice (RH_i) exceeds 105%. Furthermore, when the relative
 196 humidity with respect to water (RH_w) is above 98.5%, it is counted as condensation and immersion freezing, and
 197 calculated by DeMott2015 scheme; when RH_w is below 98.5%, it is treated as deposition nucleation, and determined
 198 by the Phillips parameterization (Phillips et al., 2008).

199

200 **2.3.3 GOCART–Thompson microphysics scheme**

201 Firstly, the initialization module for the aerosols in the aerosol-aware Thompson scheme was modified. The
 202 initialization module used to read in pre-given climatological aerosol data at the first time step of the simulation, which
 203 provided an annual mean of the global distribution of the number concentrations of the water-friendly and ice-friendly
 204 aerosols in the aerosol-aware Thompson microphysics scheme. In the GOCART–Thompson microphysics scheme,
 205 the initialization module was removed, instead, the scheme was modified to read in the bulk number concentration of
 206 aerosols produced by the GOCART aerosol model updated at every time step.

207 Secondly, the bulk number concentration of ice-friendly aerosols read in from the GOCART aerosol model is passed
 208 into the GOCART–Thompson microphysics scheme for the calculation of the number concentration of ice nucleating
 209 particles.

210 Based on the modification above, the deposition rate of the ice-friendly aerosols at grid point (i, j, k) , which is the
 211 tendency of ice-friendly aerosols in terms of number concentration due to the ice nucleation process at this grid point,
 212 is calculated.



After the microphysical processes are finished for a particular time step, the tendency term (ten_{dust} , #/kg/s) for the bulk aerosol number concentration produced by the microphysics scheme is then passed into a wet scavenging scheme to calculate the loss of aerosol mass due to the ice nucleation process, as well as the collision-collection by precipitations within clouds. Finally, the aerosol mass field can be updated.

217

2.3.4 In-cloud wet scavenging

As no in-cloud scavenging is considered for dust aerosol in WRF-Chem, a new wet scavenging process was then introduced into WRF-Chem to calculate the loss of aerosol mass due to the microphysical processes within clouds using the tendency of aerosol number concentration produced by the microphysics scheme. Assuming that the collection of dust particles is proportional to the number concentration, the fraction of dust particle for each size bin can be calculated in the GOCART aerosol model:

$$\varphi(i, j, k, n) = \frac{N(i, j, k, n)}{GNIFA(i, j, k)} \quad (6)$$

The tendency of ice-friendly aerosol is then distributed into each size bin and the loss of dust mass due to the microphysical processes for a particular size bin n is calculated by the following equation:

$$wetscav(i, j, k, n) = ten_{dust}(i, j, k) \times \varphi(i, j, k, n) \times m_p \times dt \quad (7)$$

where dt is the time step for the simulation.

The mass mixing ratio for dust aerosol in a particular size bin n is then updated for the following simulation at the next time step:

$$C(i, j, k, n) = C(i, j, k, n) - wetscav(i, j, k, n) \quad (8)$$

Apart from the in-cloud scavenging, the below-cloud wet removal is calculated by the default wet deposition scheme in the GOCART aerosol model, in which the wet removal of dust is proportional to concentration.

234

3 Model configurations



A numerical experiment was conducted to examine the performance of the newly-implemented GOCART–Thompson microphysics scheme in simulating the ice nucleation process induced by dust in the atmosphere. According to the observations, the dust events in 2012 were concentrated in mid-March to late-April, and the satellite observations from mid-March to the end of April were available for model validation; therefore, the simulation period for this numerical test was from March 9 to April 30, 2012, with the first eight days as “spin-up” time. Only the results from March 17 to April 30, 2012 were used for further analysis. The final reanalysis data provided by the United States National Center of Environmental Prediction with a horizontal resolution of one degree was used for generating the initial and boundary conditions for the meteorological fields, and the simulations were re-initialized every four days, with the aerosol field being re-cycled, which means that the output of the aerosol field from the previous four-day run was used as the initial aerosol state for the subsequent four-day run.

Two nested domains were used for the simulation, as shown in Figure 1. The outer domain (domain 1) is in a horizontal resolution of 27 km, and covers the entire East Asia region. The inner domain (domain 2) is in a horizontal resolution of 9 km, and covers the entire central to East China. Both domains have 40 vertical layers, with the top layer at 50 hPa. The locations of the two major dust sources, the Taklimakan Desert and the Gobi Desert, are marked in Figure 1.

Two simulations were conducted for the numerical test. One control run (CTRL) was conducted without dust and one test run (DUST) was conducted with dust, both using the newly-implemented GOCART–Thompson microphysics scheme. The GOCART aerosol model was applied to simulate aerosol processes (Ginoux et al., 2001; Ginoux et al., 2004). Shao’s dust emission (Kang et al., 2011; Shao et al., 2011) with soil data from the United States Geological Survey (Soil Survey Staff, 1993), which have been demonstrated to have good performance in reproducing dust emissions over East Asia were used to generate dust emission in the test run. The new wet scavenging scheme was used for in-cloud wet scavenging of aerosols due to microphysical processes. Other important physical and chemical parameterizations applied for the simulations are as follows. The Mellor–Yamada–Janjic (MYJ) turbulent kinetic energy scheme was used for the planetary boundary layer parameterization (Janjić, 2002, 1994); the moisture convective processes were parameterized by the Grell–Freitas scheme (Grell and Freitas, 2014); the short-wave (SW) and long-wave (LW) radiation budgets were calculated by the Rapid Radiative Transfer Model for General Circulation (RRTMG) SW and LW radiation schemes (Mlawer et al., 1997; Iacono et al., 2008), the gravitational settling and



surface deposition were combined for aerosol dry deposition calculation (Wesely, 1989); a simple washout method was used for the below-cloud wet deposition of aerosols; and the aerosol optical properties were calculated based on the volume-averaging method. As no dust emission is produced in CTRL, the setting for ice nucleation process in CTRL followed the dust-free case in the original aerosol-aware Thompson scheme. The background concentration of ice nuclei was set to be $1/L$ for the freezing of super-cooled water droplets into cloud ice, which was accounted by the Bigg's parameterization (Bigg, 1953); the initiation of ice nucleation was determined by a temperature-dependent function following Cooper (Cooper, 1986) when the temperature was below $-20\text{ }^{\circ}\text{C}$, and RH_i exceeded 105% (Thompson and Eidhammer, 2014).

271

272 4 Observations

273 4.1 Surface PM_{10} observations

The hourly observations of surface PM_{10} concentration at ten environmental monitoring stations located in or surrounding the dust source areas in East Asia were used to examine the capability of the model in reproducing the trend and magnitude of dust levels at the ground surface during the simulation period. The ten stations were located in Jinchang, Gansu Province, Yinchuan, Qinghai Province, Shizuishan, Ningxia Province, Baotou, Inner Mongolia, and Yan'an, Shaanxi Province. The location of the ten stations are indicated by the blue dots in Figure 1.

279

280 4.2 AERONET AOD observations

The AERONET program is a ground-based aerosol remote sensing network for measuring aerosol optical properties at sites distributed around the globe. This program provides a long-term database of aerosol optical properties such as aerosol extinction coefficient, single-scattering albedo, and aerosol optical depth (AOD) measured at various wavelength. The AOD represents the total amount of aerosols within the atmospheric column. The observational data from two sites were available for comparison with the simulation results during the simulation period in this study. One was Dalanzadgad located to the north of the Gobi Desert in Mongolia, and the other was the Semi-Arid Climate and Environment Observatory of Lanzhou University (SACOL) located at Lanzhou, Gansu Province, China. The exact



288 locations of the two AERONET sites are depicted by the red triangles in Figure 1. All of the measured data had passed
289 the quality control standard level 2, with an uncertainty of ± 0.01 (Holben et al., 2001).

290

291 **4.3 Satellite data**

292 **4.3.1 Multi-angle Imaging SpectroRadiometer (MISR)**

293 The MISR instrument aboard the Terra platform of the United State National Aeronautics and Space Administration
294 (NASA) has been monitoring aerosol properties globally since 2000. It observes the aerosol properties in four narrow
295 spectral centered at 443 nm, 555 nm, 670 nm, and 865 nm, due to which the aerosol properties even over highly bright
296 surfaces, such as deserts, can be retrieved (Martonchik et al., 2004; Diner et al., 1998). In this study, the AOD data at
297 555 nm retrieved from the MISR level 3 products with a spatial resolution of 0.5° were used for comparison with the
298 spatial distribution of simulated AOD over East Asia during the investigated period.

299

300 **4.3.1 Moderate Resolution Imaging Spectroradiometer (MODIS)**

301 The MODIS instruments aboard Terra and Aqua platforms of NASA monitor Earth's changes and provide global
302 high-resolution cloud and aerosol optical properties at a near-daily interval (Kaufman et al., 1997).

303 To retrieve aerosol information over bright surfaces, such as deserts, the Deep Blue algorithm was developed to
304 employ retrievals from the blue channels of the MODIS instruments, at which wavelength the surface reflectance is
305 very low, such that the presence of aerosol can be detected by increasing total reflectance and enhanced spectral
306 contrast (Hsu et al., 2006). By applying this algorithm, the AOD values at wavelengths of 214 nm, 470 nm, 550 nm,
307 and 670 nm over bright surfaces can be retrieved. In this study, the MODIS level 2 AOD data at a 550 nm with a
308 spatial resolution of 10 km were used for comparison with the simulated AOD during the simulation period.

309 Furthermore, MODIS combines infrared and visible techniques to detect physical and radiative cloud properties, and
310 a near-infrared algorithm was applied to retrieve the precipitable water vapor, including liquid and ice water content,
311 in the atmosphere (Gao and Kaufman, 1998). The thermal column water vapor path was then derived by integrating
312 the moisture profile throughout the atmospheric column. In this study, the ice water path retrieved from the MODIS



level 3 cloud products with a spatial resolution of one degree was used for comparison with simulated ice water path during the simulation period.

4.3.2 Cloud-Aerosol Lidar and Infrared Pathfinder Satellite Observation (CALIPSO)

The Cloud-Aerosol Lidar and Infrared Pathfinder Satellite, which is aboard the Aqua platform of NASA, combines an active Light Detection and Ranging (LIDAR) instrument with passive infrared and visible imagers to probe the vertical structure and properties of thin clouds and aerosols around the globe (Vaughan et al., 2004). It aims to fill existing gaps in the ability to measure the global distribution of aerosols and cloud properties, and provides three-dimensional perspectives of how clouds and aerosols form, evolve, and affect weather and climate. It observes high-resolution vertical profiles of aerosol and cloud extinction coefficient globally at wavelengths of 532nm and 1064 nm. The atmospheric ice water content (IWC) is derived from the observational cloud extinction coefficients at 532 nm (Winker et al., 2009). In this study, the vertical profiles of CALIPSO IWC with a horizontal resolution of 5 km and vertical resolution of 60 m were applied to verify the performance of the model in simulating the vertical distribution of atmospheric ice water content.

5 Results and model validation

5.1 Dust over East Asia

The time series of daily average dust load over the entire East Asia region (domain 1) during the simulation period is shown in Figure 2a. In total four dust events occurred during the simulation period, lasting from March 18 to 25, March 30 to April 7, April 9 to 19, and April 22 to 29, 2012. The case from April 22 to 29 was the most significant one, with daily dust mass load of double the other cases. The fraction of daily dust load for each size bin is also shown in Figure 2a. The dust particles in the third, fourth and fifth bins with effective diameters ranging from 3.6 to 20 μm account for the major part of the total mass of dust aerosols, and dust particles with diameters smaller than 3.6 μm account for a minor fraction of the total mass of dust aerosols.



337 The time series of the daily average number density of dust particles over East Asia during the simulation period
 338 shown in Figure 2b shows a similar distribution as that for dust load; the noteworthy distinction between the time
 339 series for dust load and number density lies in the fraction of each size bin. The two size bins with the smallest
 340 diameters (no larger than $3.6\ \mu\text{m}$) account for over 80% of the total number of dust particles, and the particles with
 341 diameters smaller than $6\ \mu\text{m}$ account for over 95% of the total number of dust particles, indicating that the smallest
 342 dust particles are the main source of ice-friendly aerosol to serve as ice nuclei in the atmosphere.

343

344 **5.1.1 Surface PM_{10} concentration**

345 To evaluate the performance of WRF-Chem in reproducing dust emission over East Asia, the simulated surface PM_{10}
 346 concentration were compared with observations from ten environmental monitoring stations located near dust sources
 347 and downwind areas. The time series of the observed and simulated surface PM_{10} concentrations at the ten stations
 348 during the simulation period are shown in Figure 3. Overall, the model shows a good performance in simulating the
 349 dust cycle at different stations, with the trend and magnitude of the daily mean PM_{10} concentration well captured at
 350 most of the stations, although the surface PM_{10} concentration was overestimated at one station in Jinchang during the
 351 simulation period (Figure 3e), and the dust event on April 26 was also overestimated at the stations in Shizuishan
 352 (Figure 3c and d) and Yinchuan (Figure 3i and j).

353 The performance statistics for the simulated results are shown in Table 1. The model tends to produce lower surface
 354 PM_{10} concentrations than those observed, as no other emissions were considered in the simulations. The mean bias
 355 (MB) ranged from $-108.73\ \mu\text{g}/\text{m}^3$ to $72.46\ \mu\text{g}/\text{m}^3$, with a mean over all of the stations of $-18.84\ \mu\text{g}/\text{m}^3$. The mean
 356 error (ME) ranged from $46.07\ \mu\text{g}/\text{m}^3$ to $155.83\ \mu\text{g}/\text{m}^3$, with a mean over all of the stations of $107.24\ \mu\text{g}/\text{m}^3$. The root
 357 mean squared error (RMSE) ranged from $64.78\ \mu\text{g}/\text{m}^3$ to $317.73\ \mu\text{g}/\text{m}^3$, with a mean over all of the stations of 181.28
 358 $\mu\text{g}/\text{m}^3$. The relatively large values of the MB, ME and RMSE are mainly attributed to the fact that no other aerosol
 359 emissions were considered in the simulations other than dust, while the surface PM_{10} concentration at the monitoring
 360 stations is influenced by aerosols emitted from other sources, such as anthropogenic emissions. The correlation
 361 coefficient (r) ranged from 0.59 to 0.87, with an average for all of the stations of 0.70. The comparisons between the
 362 observed and simulated surface PM_{10} concentration indicates that the model is capable of reproducing the surface dust
 363 concentration reasonably during dust events over East Asia.



364

365 **5.1.2 AOD time series**

366 To examine the performance of the model in reproducing the column sum of dust in the atmosphere, the simulated
367 AOD values were compared with observations measured at two AERONET sites during the simulation period, as
368 shown in Figure 4.

369 The site at Dalanzadgad (Figure 4a) is located in Mongolia to the north of the Gobi Desert. Despite the fact that the
370 simulated AOD was overestimated at the end of March and in mid-April compared to the observed values, the trend
371 and magnitude of the AOD time series at Dalanzadgad was reasonably reproduced by the model during the simulation
372 period.

373 SACOL (Figure 4b) is a site located in Lanzhou, Gansu Province, which is a typical downwind area for dust in China.
374 Apart from the overestimation on April 23, the model showed a good performance in reproducing the time series of
375 AOD at SACOL during the entire simulation period, with the trend and magnitude of AOD well captured.

376

377 **5.1.3 Satellite-observational AOD**

378 The spatial distribution of mean simulated AOD during the simulation period was also compared with observed values
379 from MODIS and MISR products. The AOD observed by MODIS showed high values at the dust source region in
380 both March and April of 2012, as shown in Figures 5a and b. The region with high AOD values in the west part of the
381 circled area is the Taklimakan Desert, and the region with relatively lower AOD in the east part of the circled area is
382 the Gobi Desert. The mean observed AOD over the Gobi Desert was lower than that over the Taklimakan Desert in
383 both March and April, and the mean observed AOD was higher in April than in March over both dust source areas.
384 The spatial patterns of AOD observed by MISR are similar to MODIS, with comparable values over the Gobi Desert,
385 but significantly lower values over the Taklimakan Desert in both March and April (Figure 5c and d).

386 The spatial patterns for the mean simulated AOD were similar to the observed values for the observations in both
387 months, as shown in Figures 5e and f. The model shows a good capability in capturing the spatial characteristics of
388 the AOD, as well as the trend in AOD from March to April over the dust source areas. For example, the mean AOD



in the southern part of the Taklimakan Desert was higher than that in the northern part in March, and the mean AOD showed an increase from March to April over the Gobi Desert, both of which were captured by the model. The values of the mean simulated AOD over the Gobi Desert are comparable to the observational values from both MODIS and MISR, but the mean simulated AOD over the Taklimakan Desert are between the values of the MISR observations and the MODIS observations.

In summary, it was demonstrated that the dust emissions simulated by WRF-Chem are reliable for further analysis by the comparison between the simulation results and the observations for surface PM_{10} concentrations, as well as the temporal and spatial distributions of AOD values.

5.2 Atmospheric ice water content

Dust particles are effective ice nuclei and play an important role in ice nucleation in the atmosphere under appropriate conditions. With the large number of ice nuclei served by dust particles emitted into the atmosphere, an increase in the number of ice crystals is expected in the results from DUST compared with those from CTRL, after taking into account the effects of dust particles in the GOCART–Thompson microphysics scheme, as the ice nucleation process is triggered by dust particles at appropriate temperature and relative humidity, as shown by the overall comparison between the simulated cloud ice mixing ratio and ice crystal number concentration at each simulated data point (at all model grids at hourly intervals) from CTRL and DUST during the entire simulation period in Figure 6.

As expected, the model produces a much higher cloud ice mixing ratio (Figure 6a) and ice crystal number concentration (Figure 6b) in DUST. The simulated cloud ice mixing ratio produced in CTRL is lower than $2 \mu\text{g/kg}$ at most data points during the simulation period, whereas the data points with simulated ice mixing ratio higher than $2 \mu\text{g/kg}$ are substantially increased in the output of DUST. Similarly, the simulated ice crystal number concentration produced in CTRL is lower than $0.5 \times 10^6 \text{ \#kg}^{-1}$ at most data points during the simulation period, by contrast, the simulated ice crystal number concentration is higher than $0.5 \times 10^6 \text{ \#kg}^{-1}$ at over a half of total data points in DUST. The substantial increase of simulated cloud ice mixing ratio and ice crystal number concentration indicates that the enhancement of ice nucleation process induced by dust is successfully reproduced by the newly-implemented GOCART–Thompson microphysics scheme during the simulation period.



415 The spatial distributions of the simulated ice water path and ice crystal number density from CTRL and DUST in
416 Figure 7 further demonstrate the spatial pattern of the enhancement in cloud ice due to dust over East Asia. The ice
417 water path produced by CTRL was lower than 1 g/m^2 over the entire East Asia Region (Figure 7a). After considering
418 the effect of dust in the ice nucleation process, the ice water path produced by DUST increased substantially over the
419 entire region, especially over dust sources and downwind areas, with values as high as 10 g/m^2 (Figure 7b and c). The
420 ice water path was increased by one order of magnitude as a result of the effect of dust particles serving as ice nuclei
421 in the atmosphere. As shown in Figures 7d–f, the spatial pattern for the enhancement of ice crystal number density
422 over East Asia was similar with that for the ice water path. The ice crystal number density was increased by one order
423 of magnitude over vast areas of East Asia upon considering the effect of dust in the ice nucleation process in the
424 simulation.

425

426 5.2.1 Ice water path

427 The mean simulated ice water path during the simulation period was compared to the observed ice water path retrieved
428 from the MODIS products, as shown in Figure 8. MODIS observed ice water content in the atmosphere including
429 precipitating ice, such as snow and graupel, and cloud ice, which remains suspended in the upper troposphere and
430 lower stratosphere (Eliasson et al., 2011). Therefore, the simulated ice water path for comparison with the observations
431 also contained all of the precipitable ice variables output by the model, including snow, graupel, and cloud ice.

432 In Figure 8a, high ice water paths were observed at three areas over East Asia, as indicated by the red rectangles: in
433 the west part of the simulation domain containing the Taklimakan Desert and the Tibetan Plateau, South China, and
434 the area from Northeast China to Japan, with the highest values located at the south side of the Himalayas, and in
435 South China.

436 The simulated ice water paths produced by CTRL and DUST, as shown in Figures 7b and c, have nearly identical
437 spatial patterns and magnitudes. Typically, the ratio of cloud ice to the total column of ice particles in model outputs
438 is on the order of 0.1 to 0.3 (Waliser et al., 2009), and the effect of dust in ice nucleation leads to a direct enhancement
439 in cloud ice in the simulation, but not precipitable ice; therefore, the enhancement in cloud ice induced by dust is
440 concealed in the ice water path containing all atmospheric ice water, including precipitating ice and cloud ice.



441 Nevertheless, in the results of both CTRL and DUST, the model produced a high ice water path over West China, and
442 the concentrated ice water path from Northeast China to Japan was also captured, albeit with a different pattern.
443 However, the high ice water path over South China was missed in the results of both CTRL and DUST. This might
444 be attributable to the following reasons. Firstly, the temperature in the upper troposphere produced by the model might
445 be too high over this area for super-cooled water droplets freezing into ice particles. Secondly, the high ice water path
446 observed over South China is mainly due to strong convection motions that occur frequently over this area during
447 spring. The moisture convection produced by the model might be too weak to transport sufficient water vapor into the
448 upper troposphere, leading to an overestimation of the ice water path over this area.

449 The ice water path over areas other than the aforementioned regions in the simulation domain was underestimated by
450 the model; however, it can be seen that the ice water path produced in DUST was higher than that produced in CTRL
451 over the dust source region and Southwest Pacific, which is more consistent with the observed results, suggesting that
452 the model exhibits a better performance in reproducing ice water path over East Asia when the effect of dust is taken
453 into account in the simulation.

454

455 **5.2.2 Ice water content during dust events**

456 The vertical profile of the simulated ice water content was also compared with the observation from CALIPSO during
457 dust events. As mentioned in section 5.1, a total of four dust events occurred during the simulation period, lasting
458 from March 18 to 25, March 30 to April 7, April 9 to 19, and April 22 to 28, 2012. As shown in Figures 9 and 11, the
459 simulated vertical profiles of the ice water content during each dust events were compared with observations measured
460 at 06 UTC on March 21, 18 UTC on April 1, 18 UTC on April 9, and 05 UTC on April 23, 2012, when the orbit of
461 the satellite passed over East Asia.

462 Unlike the MODIS ice water path, CALIPSO measures the global distribution of aerosol and cloud properties by
463 LIDAR, which uses a laser to generate visible light with a wavelength of 1 μm or less to detect small particles or
464 droplets in the atmosphere. Therefore, CALIPSO instruments are more sensitive to tenuous ice clouds and liquid
465 clouds composed of small particles or droplets, which are invisible to instruments using signals of near-infrared or
466 infrared wavelength to detect clouds. Moreover, the LIDAR signal is attenuated rapidly in optically dense clouds that



the infrared or near-infrared signals can easily penetrate (Winker et al., 2010). As a result, the CALIPSO observations of ice water content are mostly points where the temperatures is lower than -40°C and the altitude is greater than 6 km poleward to 12 km equatorward, and mostly those without precipitating ice. Given the above considerations, the simulated ice water content profiles compared with the CALIPSO observations are referred to as only cloud ice in this section.

The simulated dust load over East Asia at 06 UTC on March 21, 2012 is shown in Figure 9a, in which the dust covered vast areas from West to East China between 35°N and 45°N , and the orbit of the satellite passed through the area with heavy dust load at around 100°E . Along the satellite orbit, the abundant dust particles were transported to as high as 10 km aloft (Figure 9c). At this time, a high concentration of ice water content was observed along the satellite orbit at an altitude of around 10 km between 30°N and 45°N (Figure 9e). The simulation result from CTRL (Figure 9g) shows that the model produces some ice cloud at altitude of 9–10 km between 35°N and 45°N , but with much lower ice water content compared to the observations. Nevertheless, by applying the GOCART–Thompson microphysics scheme, the effect of dust in ice nucleation process was considered in DUST, leading to a much higher ice water content at altitude of 9–10 km between 35°N and 45°N (Figure 9i), which is much more consistent with the observations. The comparison between the simulation results from CTRL and DUST indicates that the high ice water content observed by the satellite between 30°N and 35°N might be unrelated to microphysical processes, but instead due to strong convective motions over South China.

On April 1, 2012, Central to East China was covered by a thick dust plume, and the orbit of the satellite passed through areas with heavy dust load between 25°N and 43°N along 120°E at 18 UTC (Figure 9b). Dust particles were distributed vertically from the surface to over 8 km along the satellite orbit (Figure 9d). A band of high ice water content was observed by the satellite at altitude of 5 km to 10 km between 33°N and 44°N (Figure 9f), which was barely reproduced in the results of the CTRL run without dust. In contrast, the observed band of high ice water content was reproduced by the model in DUST with much more consistent location and magnitude (Figure 9j).

At 18 UTC on April 9, 2012, the satellite was scanning the east coast of China, which was covered by a thick dust plume between 35°N and 45°N (Figure 10a), with dust particles lifted up to 10 km above the surface (Figure 10c). High concentration of ice water content was observed by the satellite at altitude from 5 km to 11 km between 30°N and 45°N (Figure 10e). In this case, the model reproduced the high concentration of ice water content at the observed



location in the results from both CTRL and DUST, although the ice water content was significantly underestimated in the results from CTRL (Figure 10g), while it was well reproduced in the results from DUST (Figure 10j).

Similar to the previous cases, the satellite was scanning along east coast of China at 05 UTC on April 23, 2012, when a dust plume was arriving in East China and affecting areas between 35°N and 45°N (Figure 10b), and dust particles were distributed vertically from the surface to 10 km along the scanning track of the satellite (Figure 10d). Along the orbit of the satellite, two bands with high ice water content were observed at altitudes between 5 km and 12 km, one is located between 30°N and 37°N, and the other is located between 40°N and 45°N (Figure 10f). In the results from CTRL, the model reproduced the bands of high ice water content at the correct locations, but with substantially lower values (Figure 10h); however, upon taking into account the effect of dust in the GOCART-Thompson microphysics scheme, the bands of high ice water content were well reproduced by the model, with much more consistent values (Figure 10-j).

By comparing the satellite-observational and simulated vertical profiles of ice water content during the various dust events, it was demonstrated that the newly-implemented GOCART-Thompson microphysics scheme reproduces the enhancement of ice water content clouds in the mid- to upper troposphere by taking in to account the effect of dust in the ice nucleation process, which substantially improves the simulation of cloud ice.

5.2.3 Mean vertical profiles of ice water content

The mean profiles of the observed ice water content, as well as the simulated ice water content from CTRL and DUST for the four dust events discussed in Section 5.2.2, are shown in Figure 11. Note that the “mean profile” of ice water content is the average over the available data points for the ice water content along the orbit of the satellite between 30°N to 45°N for each of the dust events shown in Figures 9 and 11.

The black lines in Figure 11 represent the mean profile of the observed ice water content, and the blue and red lines represent the mean profiles of the simulated ice water content from CTRL and DUST, respectively.

Compared with the results from CTRL, the simulation for the vertical profile of the ice water content was substantially improved in DUST for each dust event, with the enhancement of the ice nucleation process well captured by the



519 GOCART-Thompson microphysics scheme, although there were still discrepancies between observations and the
 520 simulation results from DUST.

521 For the cases on March 21 and April 1, the peaks of ice water content were observed at 9.5 km and 8 km, respectively,
 522 whereas the simulated peak of ice water content were located at 8 km and 7.5 km, respectively, with lower peak values.
 523 The lower peak value for the case on March 21 was due to the missing of the high ice water content observed between
 524 30°N to 45°N in the simulation results (Figure 9e and i), while the lower peak value for the case on April 1 was due
 525 to the underestimation of the ice water content around 35°N (Figure 9f and j). The locations of the peaks of simulated
 526 ice water content for the cases on April 9 and April 23 are more consistent with the observed peaks, but still possessed
 527 lower values due to the missing or underestimation of high ice water content in the observations.

528

529 **5.3 Sensitivity test and discussion**

530 As discussed in Section 5.2.3, the simulation of cloud ice is greatly improved by considering the enhancement of ice
 531 nucleation process induced by dust, which is well captured by the GOCART–Thompson microphysics scheme.
 532 However, the ice water content is still underestimated by the model during dust events. To determine the reason for
 533 this limitation, numerical experiments were performed to investigate the sensitivity of simulated ice water content to
 534 the parameters for the ice nucleation parameterization in the GOCART–Thompson microphysics scheme.

535

536 **5.3.1 Calibration factor c_f**

537 The calibration factor c_f is an empirical tuning coefficient derived from observational data from field and laboratory
 538 experiments. It ranges from 1 to 6, and recommended to be 3 (DeMott et al., 2015), which was applied in the previous
 539 simulations. An experiment was conducted to investigate the sensitivity of the simulated ice water content to c_f values
 540 ranging from 3 to 6.

541 The mean profiles of ice water content from simulation results were compared with the CALIPSO observations for
 542 the dust events discussed in Section 5.2.2 and 5.2.3, as shown in Figure 12. For the cases on March 21 and April 1,
 543 changing c_f did not result in an increase of ice water content; instead, the simulated ice water content remained



544 consistent for c_f values varying from 3 to 6. As ice nucleation occurs only in a super-saturated atmosphere with respect
545 to water vapor, an upper limit was set in the Thompson microphysics scheme, in that once the coagulation makes the
546 relative humidity in the atmosphere lower than the threshold relative humidity, which was set to 105% in the
547 simulations, the ice nucleation process is terminated. The consistency in simulated ice water content with increasing
548 c_f indicates that the ice water content reaches the upper limit with all the available water vapor coagulated into ice
549 crystals when c_f is equal to 3, lowering the relative humidity in the atmospheric column to below 105% for these two
550 cases.

551 For the case on April 9, the simulated ice water content increased between 6 km and 9 km and matched the observed
552 profile better when c_f was equal to 4 and 5; however, when c_f was set to 6, the simulated ice water content was lower
553 than that obtained with c_f values of 4 or 5, although it matched the observed profile better than that produced with a
554 c_f of 3.

555 For the case on April 23, two peaks were observed in the profile of simulated ice water content, located at 7 km and
556 10 km. The simulated ice water content remained unchanged with c_f values varying from 3 to 6 for the peak at 10 km,
557 but increased upon changing the c_f from 3 to 4, and remained the same upon changing the c_f from 5 and 6 for the
558 peak at 7 km. In this case, the peak of the simulated ice water content at 7 km should correspond to the observed peak
559 between 6 km to 8 km, which was slightly overestimated by the model, and increasing the c_f resulted in even larger
560 overestimation of this peak.

561 Given the above discussion, increasing the calibration factor c_f from 3 to 6 does not necessarily lead to a significant
562 variation in the simulated ice water content during dust events, and the model achieves a relatively better performance
563 in reproducing the profile of ice water content when the c_f is set to 3 or 4.

564

565 5.3.2 Threshold RH_i

566 In this study, the threshold RH_i that triggers the ice nucleation process in the simulation was set to be 105%. Since the
567 ice water content is underestimated in the simulations, a sensitivity experiment was carried out to investigate the
568 response of simulated ice water content to a lower threshold RH_i (100%).



569 The mean profiles of ice water content from the simulation results were compared with the CALIPSO observations
570 for the aforementioned dust events, as shown in Figure 13. With the threshold RH_i lowered to 100%, the simulated
571 ice water content showed an increase throughout the vertical profile, with the most significant increase at the peak,
572 suggesting more consistency with the observations for all of the dust events, except the one on April 1. In the case on
573 April 1, the simulated ice water content increased at lower layers than the peak, but slightly decreased right at the peak
574 upon lowering the threshold relative humidity with respect to ice to 100% for the case. Overall, the simulation of ice
575 water content during dust events was significantly improved by lowering the threshold RH_i from 105% to 100%.

576

577 **6 Conclusions**

578 The GOCART–Thompson scheme was implemented into WRF–Chem to couple the GOCART dust model and the
579 aerosol-aware Thompson microphysics scheme. By applying this microphysics scheme, the effect of dust on the ice
580 nucleation process by serving as ice nuclei in the atmosphere can be quantified and evaluated by the model
581 simultaneously with dust simulation. Numerical experiments, including a control run without dust and a test run with
582 dust, were then carried out to evaluate the performance of the GOCART–Thompson microphysics scheme in
583 simulating the effect of dust on the content of cloud ice over East Asia during a typical dust-intensive period, by
584 comparing the simulation results with various observations.

585 Based on the GOCART aerosol model the model reproduces dust emission reasonably well, by capturing the trend
586 and magnitude of surface PM_{10} concentration at various environmental monitoring stations and the AOD at two
587 AERONET sites. The spatial patterns of the mean AOD over East Asia during the simulation period were also
588 consistent with satellite observations.

589 The effect of dust on the ice nucleation process by serving as ice nuclei was then quantified and evaluated in the
590 GOCART–Thompson microphysics scheme. Upon considering the effect of dust in the simulation, the simulated ice
591 water mixing ratio and ice crystal number concentration over East Asia were one order of magnitude higher than those
592 simulated without dust, with the most significant enhancements located over dust source regions and downwind areas.

593 By comparing the mean simulated ice water path over East Asia during the simulation period with MODIS
594 observations, it was demonstrated that the ice water path including cloud ice and precipitating ice is reasonably



595 reproduced by the model over most areas of East Asia, with the results from the simulation run with dust more
596 consistent with the observations.

597 Comparison between the vertical profiles of the satellite-observed and simulated ice water content during various dust
598 events and the entire simulation period further indicated that the enhancement of cloud ice induced by abundant dust
599 particles serving as ice nuclei is well captured by the GOCART–Thompson microphysics scheme, with the results
600 from the simulation with dust much more consistent with the satellite–observations.

601 Sensitivity experiments revealed that the simulated ice water content is not very sensitive to the calibration factor in
602 the DeMott2015 ice nucleation scheme, but the model delivered a slight better performance in reproducing the ice
603 water content when the calibration factor was set to 3 or 4. However, the simulated ice water content is sensitive to
604 the threshold RH_i to trigger the ice nucleation process in the model, and the simulation of ice water content is
605 significantly improved upon lowering the threshold RH_i from 105% to 100%.

606

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618



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Table 1: Performance statistics for the model in simulating surface PM_{10} concentrations at environmental monitoring stations during the simulation period.

STATION	LOCATION	MB	ME	RMSE	r
XCNAQ77	BAOTOU	-36.18	80.43	94.88	0.59
XCNAQ79		-10.05	75.83	106.58	0.62
XCNAQ346	SHIZUISHAN	72.46	121.18	317.73	0.79
XCNAQ347		17.64	147.95	294.71	0.75
XCNAQ340	JINCHANG	-108.73	109.09	128.56	0.77
XCNAQ342		-18.65	46.07	64.78	0.70
XCNAQ335	YAN'AN	-38.93	99.05	149.44	0.68
XCNAQ336		-60.15	124.74	166.89	0.60
XCNAQ344	YINCHUAN	33.97	112.26	240.27	0.87
CN_1487		-39.62	155.83	249.00	0.62
AVERAGE		-18.84	107.24	181.28	0.70

MB: mean bias; ME: mean error; RMSE: root mean squared error; r: correlation coefficient.

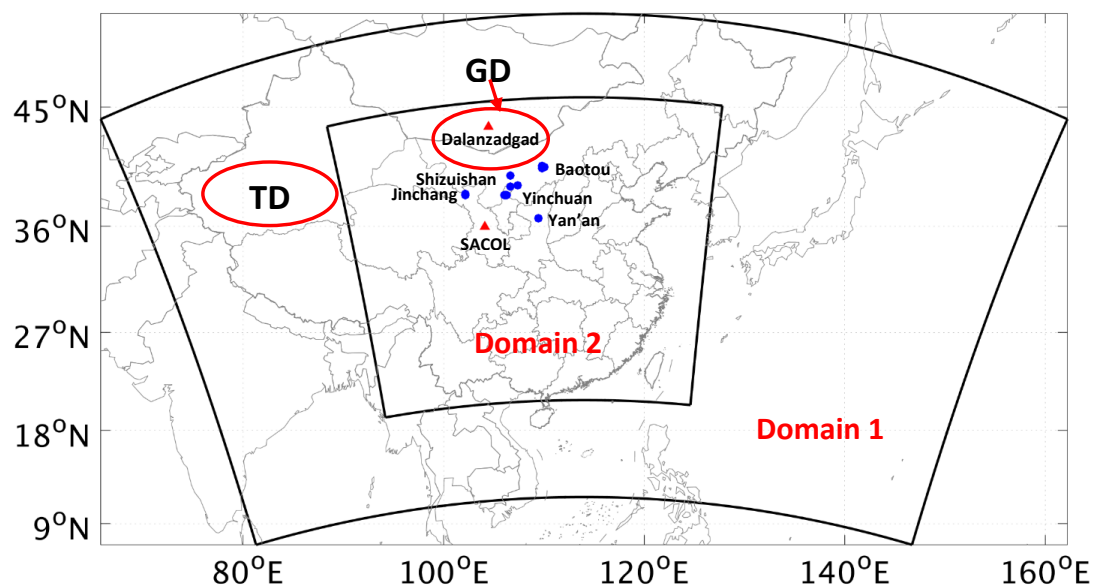


Figure 1: Nested domain set for the simulations. Blue dots represent the weather stations used for model validation. TD: the Taklimakan Desert; GD: The Gobi Desert.

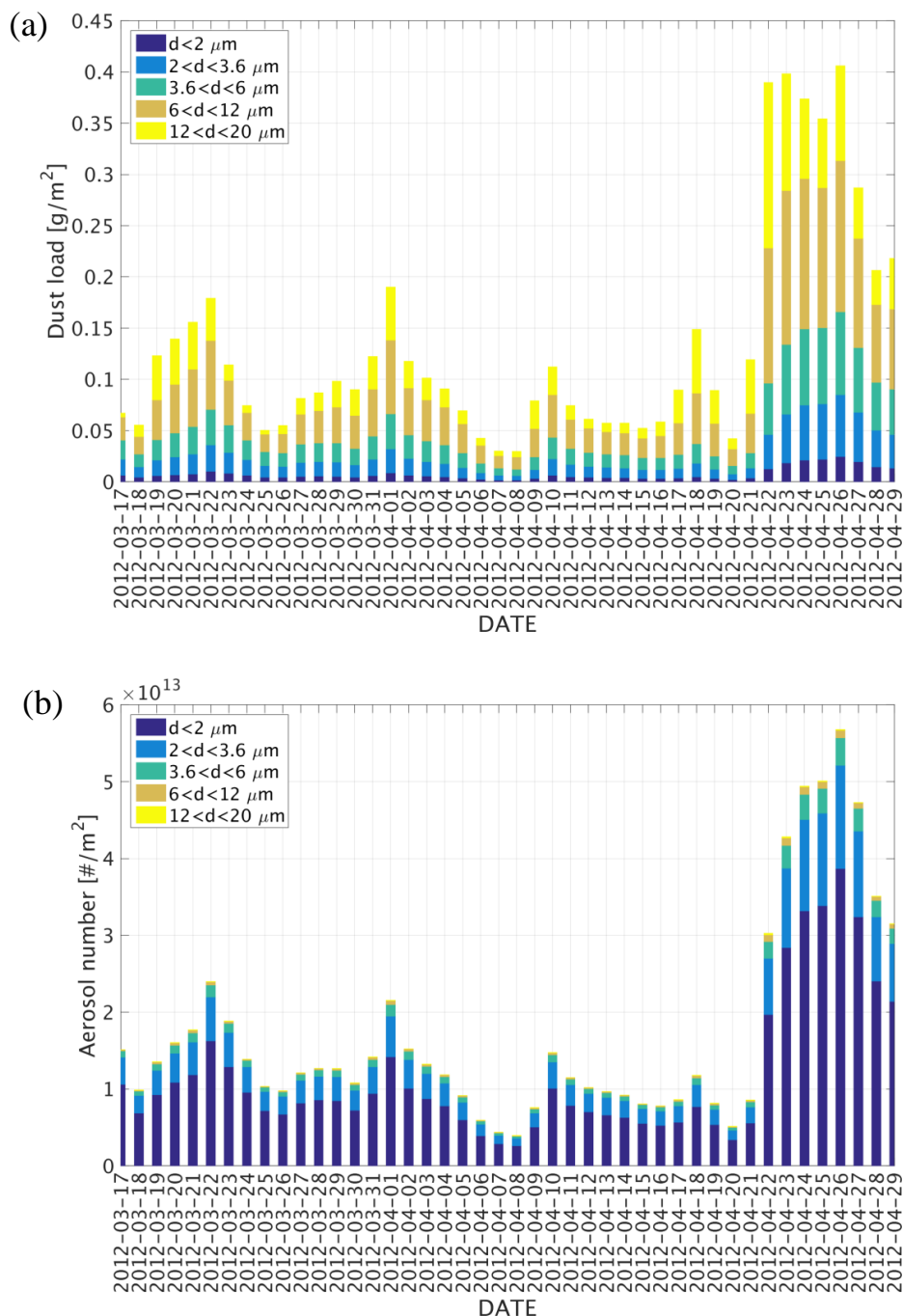


Figure 2: Time series of spatially averaged daily dust mass load (a) and daily number density of ice-friendly aerosol (b) over East Asia (domain 1) during the simulation period.

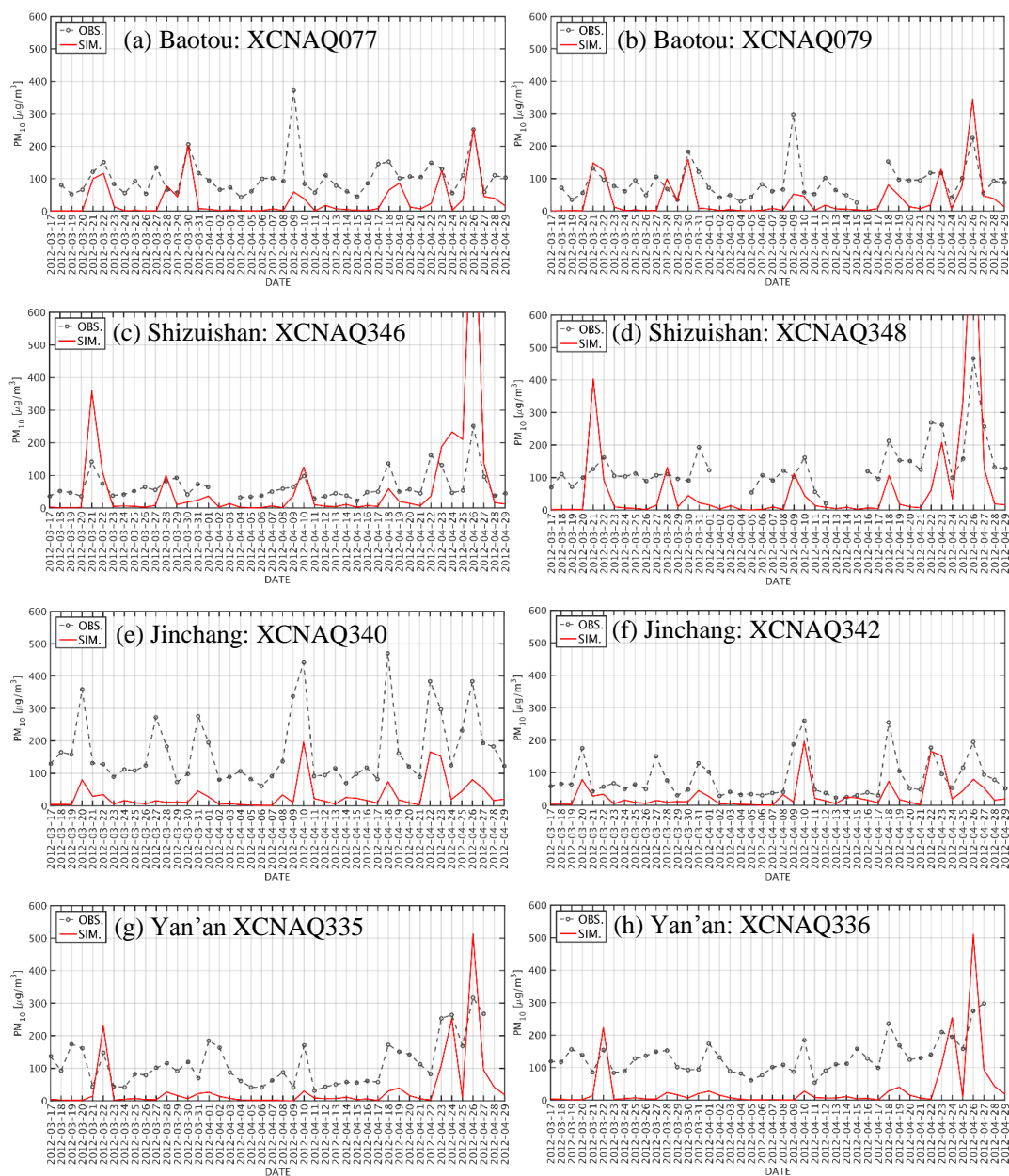


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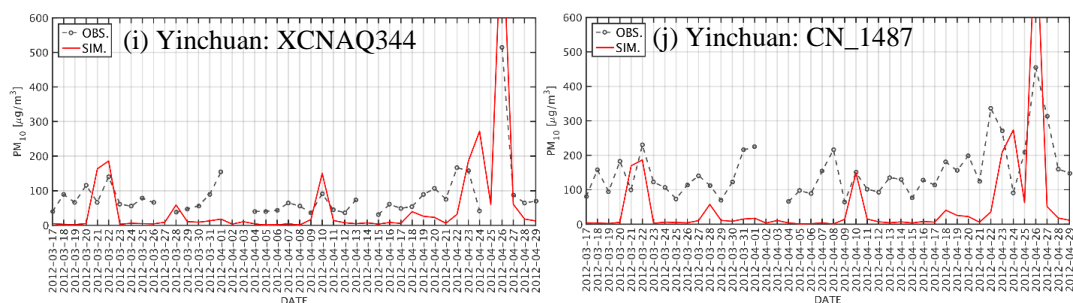


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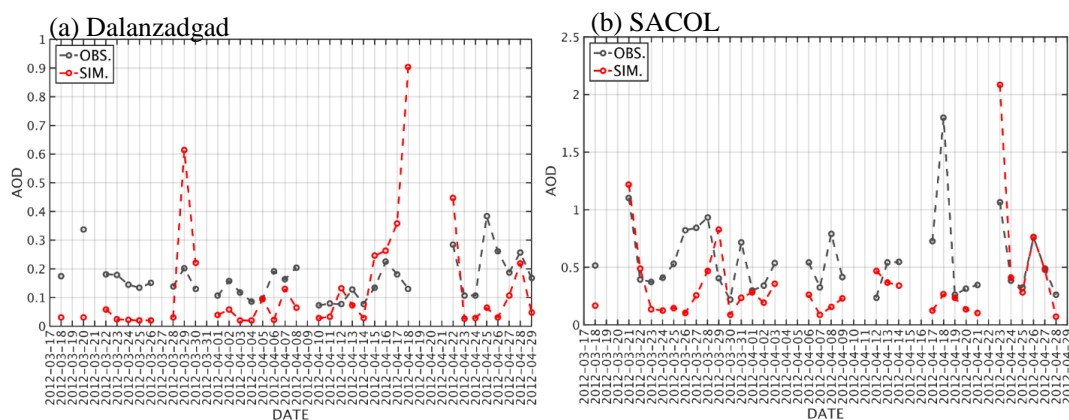


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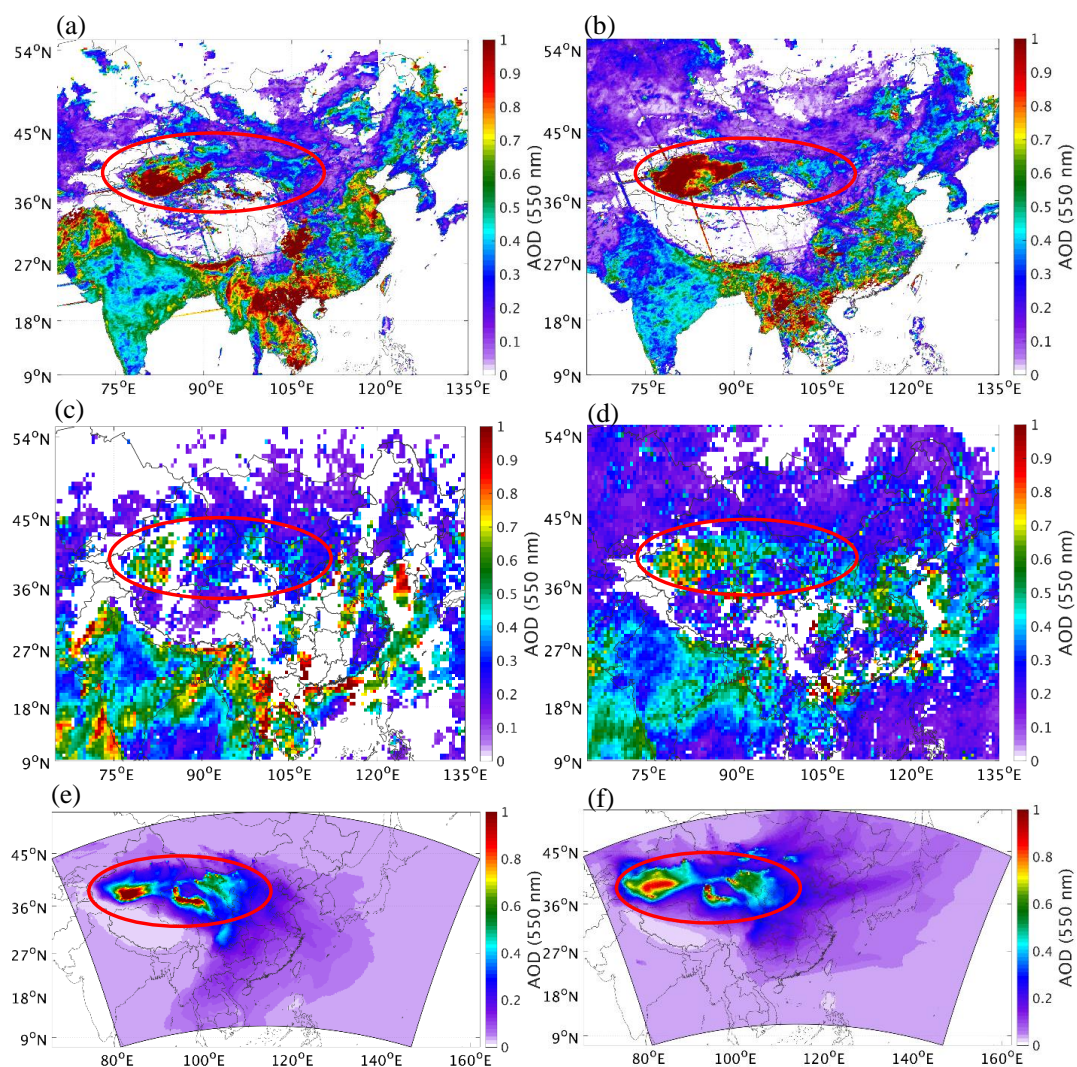


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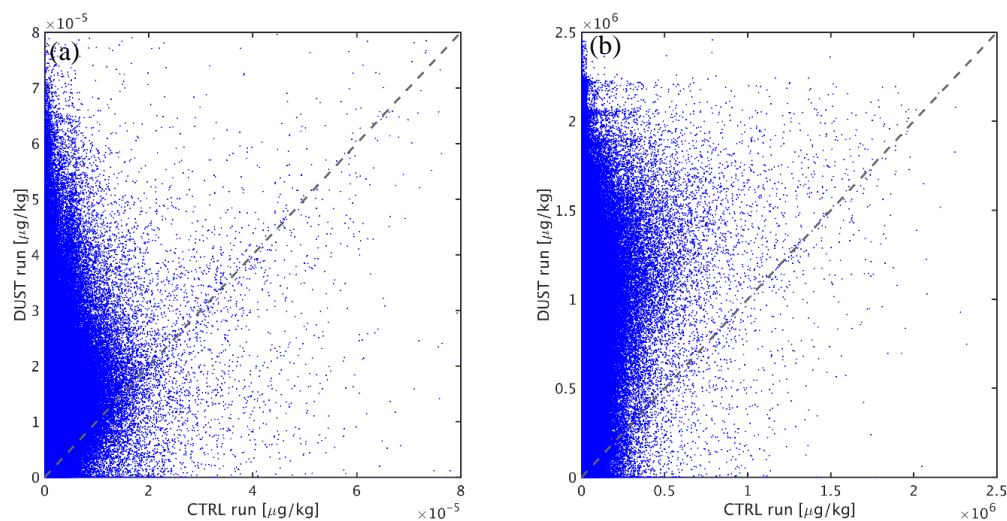


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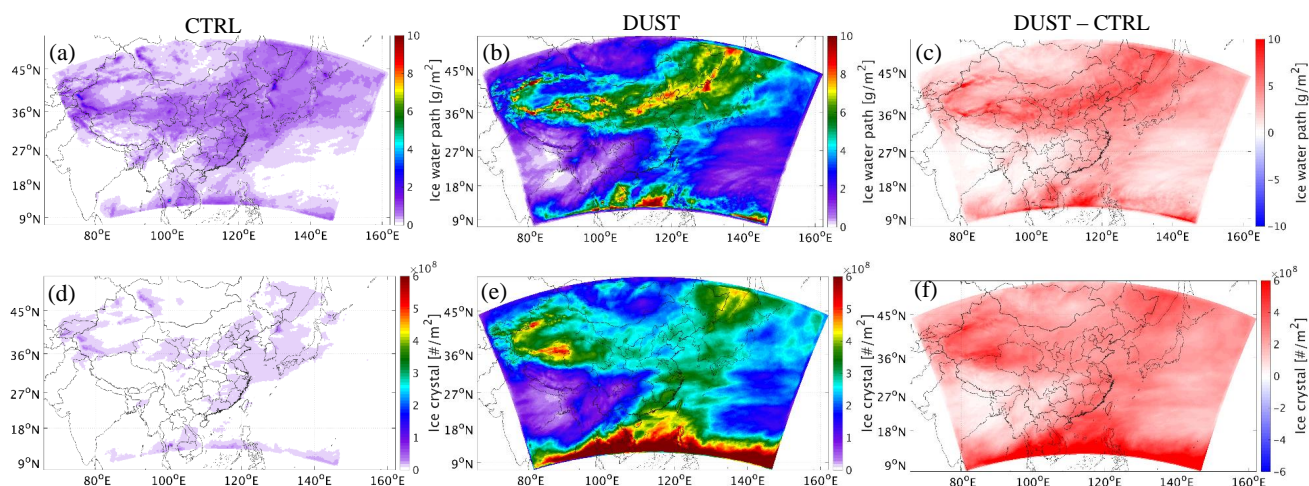


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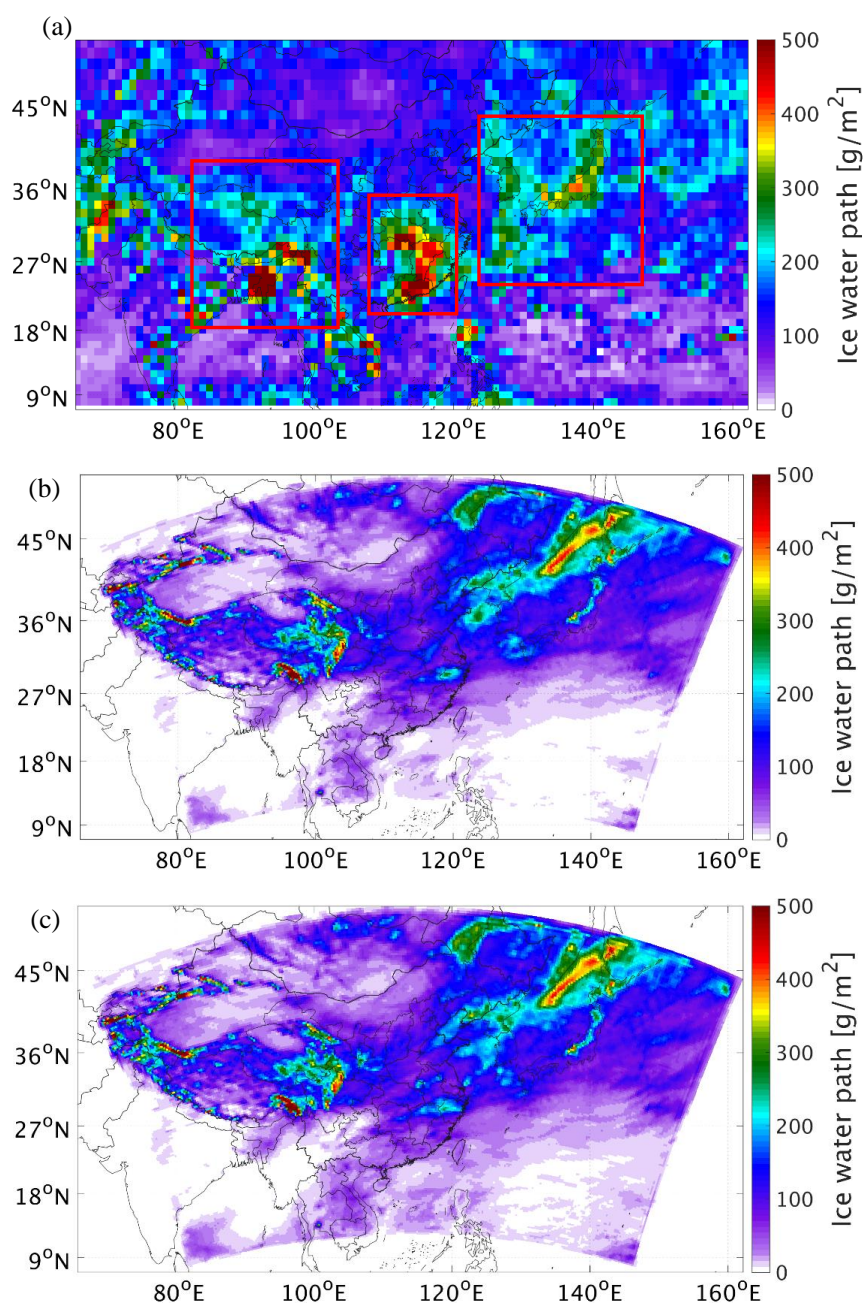


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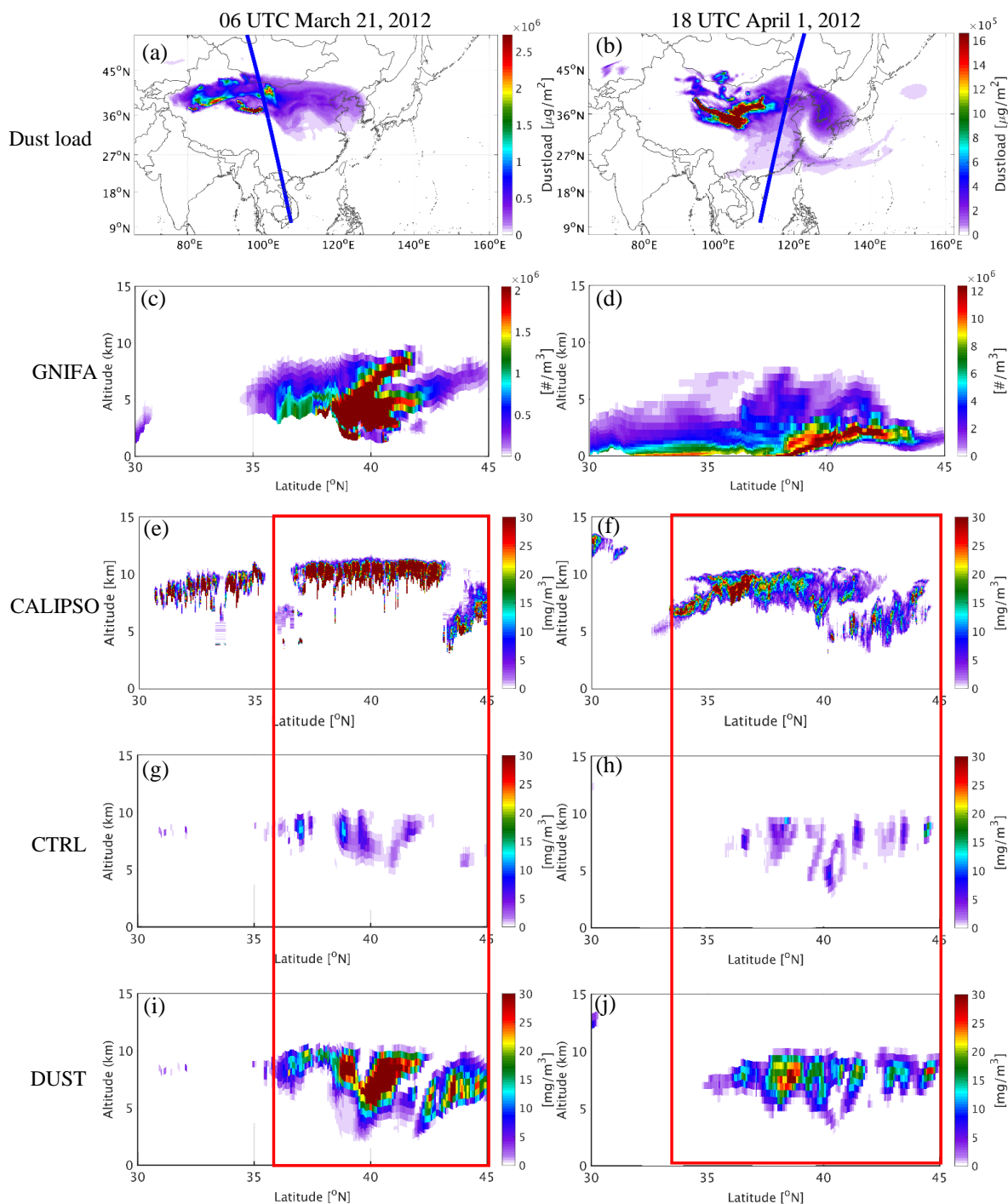


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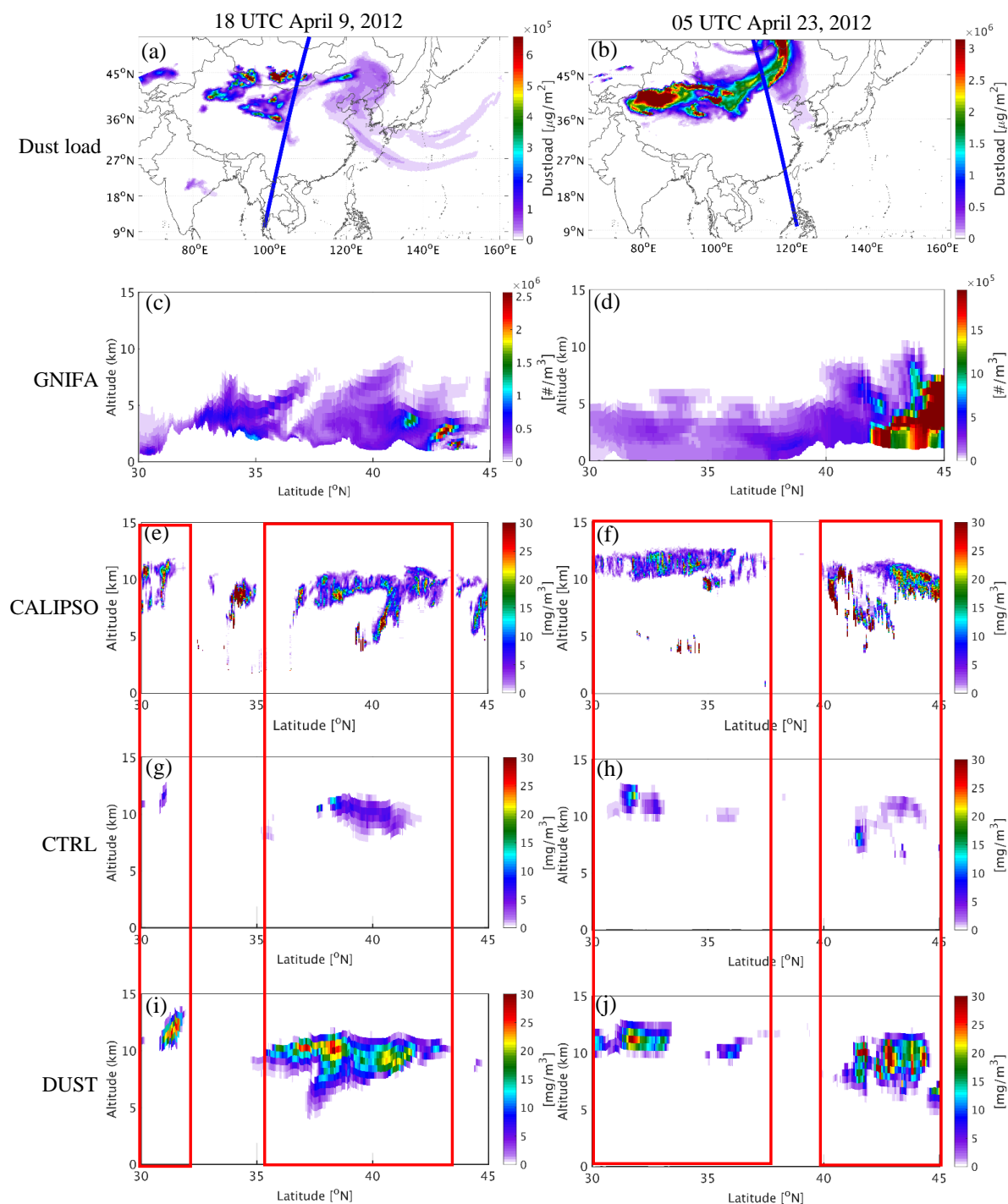


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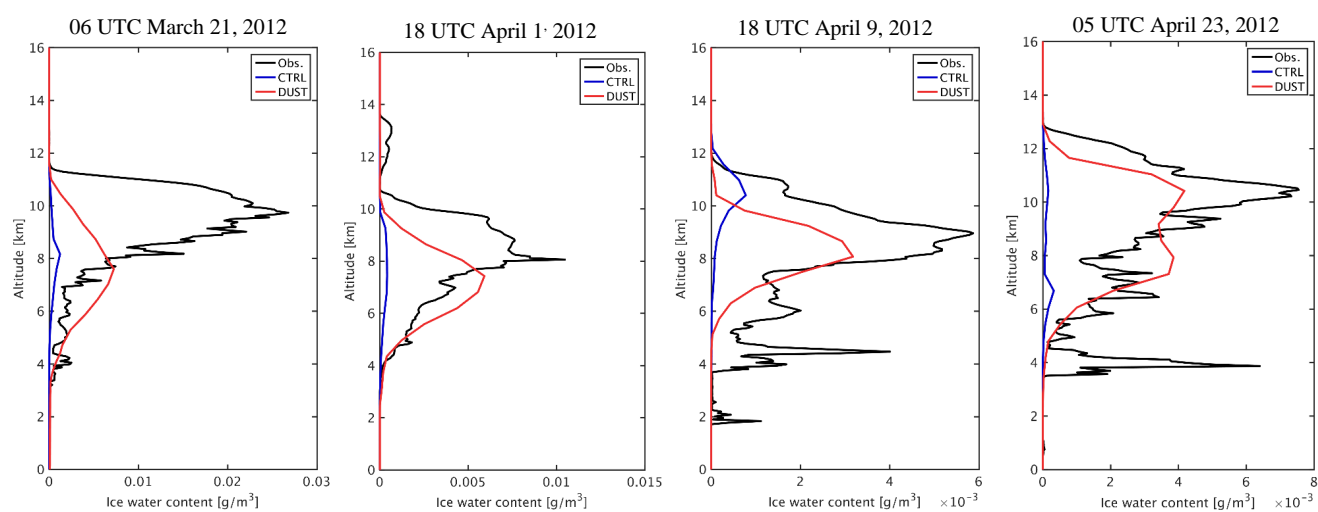


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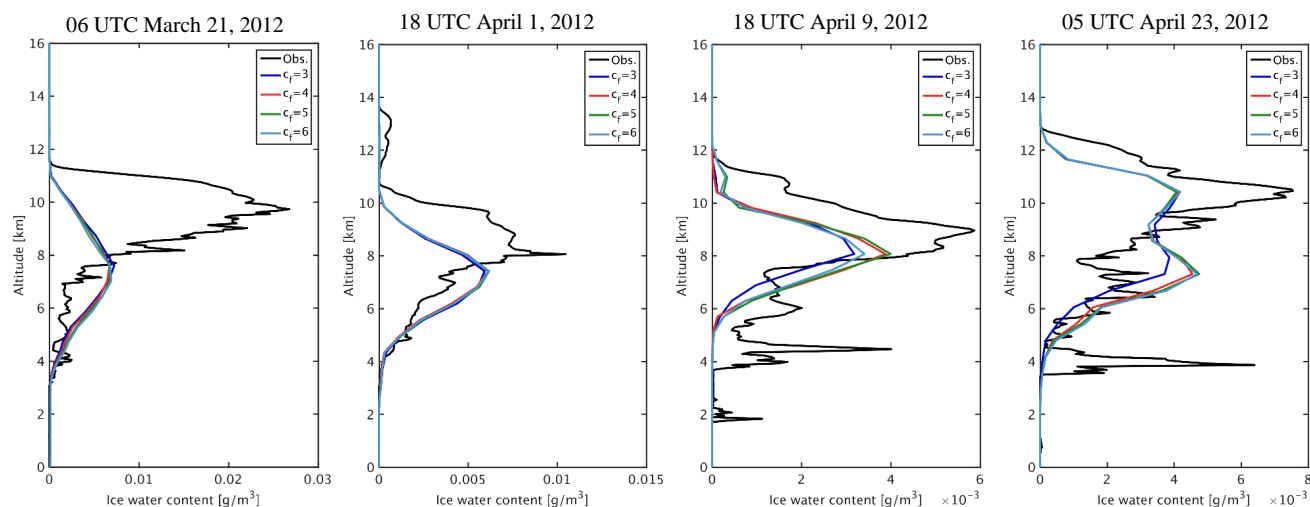


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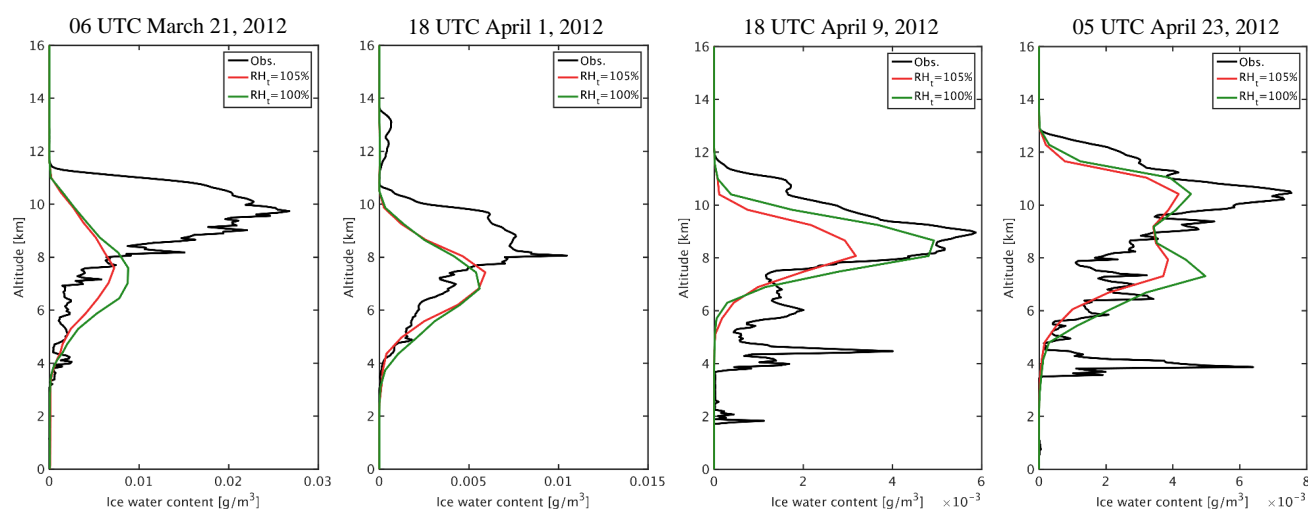


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