



1 Sources and oxidative potential of water-soluble humic-like substances 2 (HULIS_{WS}) in fine particulate matter (PM_{2.5}) in Beijing

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16 **Abstract.** Water-soluble humic-like substances (HULIS_{WS}) are a major redox-active component of ambient fine particulate matter
17 (PM_{2.5}); however, information on their sources and associated redox activity is limited. In this study, total HULIS_{WS}, various
18 HULIS_{WS} species, and HULIS_{WS}-associated dithiothreitol (DTT) activity were quantified in PM_{2.5} samples collected during a 1-
19 year period in Beijing. Strong correlation was observed between HULIS_{WS} and DTT activity; both exhibited higher levels during
20 the heating season than during the non-heating season. Positive matrix factorization analysis of both HULIS_{WS} and DTT activity
21 was performed. Four combustion-related sources, namely coal combustion, biomass burning, waste incineration, and vehicle
22 exhaust, and one secondary factor were resolved. In particular, waste incineration was identified as a source of HULIS_{WS} for the
23 first time. Biomass burning and secondary aerosol formation were the major contributors (>59%) to both HULIS_{WS} and associated
24 DTT activity throughout the year. During the non-heating season, secondary aerosol formation was the most important source,
25 whereas during the heating season, the predominant contributor was biomass burning. The four combustion-related sources
26 accounted for >70% of HULIS_{WS} and DTT activity, implying that future reduction in PM_{2.5} emissions from combustion activities
27 can substantially reduce the HULIS_{WS} burden and their potential health impact in Beijing.

28 1 Introduction

29 Air pollution caused by ambient fine particulate matter (PM_{2.5}) is a significant environmental problem worldwide. PM_{2.5} that carries
30 various pollutants may be transported into the human respiratory and thus lead to myriad health effects (Becker et al., 2005; Nel,
31 2005). Mechanism of these health effects isn't fully understood up to date; however, perturbing the redox equilibrium through the
32 generation of excessive reactive oxygen species (ROS) is considered as a fundamental way, which has been proposed to be related
33 with the high redox-active components in PM_{2.5}. Transition metals and quinones are two such components with high oxidative
34 potential (Charrier and Anastasio, 2012; Chung et al., 2006). More recently, another abundant water-soluble organic component,
35 i.e. humic-like substances (HULIS_{WS}), have also been recognized to be highly redox-active (Dou et al., 2015; Lin and Yu, 2011;
36 Verma et al., 2015a).

37 HULIS_{WS} are a mixture of compounds that contain polycyclic ring structures with aliphatic side chains and multiple functional
38 groups, and a significant proportion (30%–80%) of the water-soluble organic matter (WSOM) in PM_{2.5} (Graber and Rudich, 2006;



39 Kuang et al., 2015; Lin et al., 2010a). The reversible redox sites in HULIS_{WS} fraction could serve as electron transfer intermediary
40 and lead to continuous production of ROS (Lin and Yu, 2011). Actually, many recent studies have reported the significant role of
41 HULIS_{WS} in driving PM-associated ROS formation (Dou et al., 2015; Lin and Yu, 2011; Verma et al., 2015a). Dithiothreitol (DTT)
42 assay is frequently applied to evaluate the oxidative potential of PM_{2.5} components, especially for organic compounds (Xiong et
43 al., 2017). By adopting this method, Verma et al. (2015b) found that HULIS_{WS} caused approximately 45% of DTT activity of the
44 water extract from PM_{2.5} sampled in Atlanta, USA. This was 5% higher than that induced by water-soluble metals (Verma et al.,
45 2015b). Furthermore, the DTT activity of HULIS_{WS} is about 79% of the whole WSOM fraction in PM_{2.5} samples (Lin and Yu,
46 2011), suggesting a substantial health threat induced by HULIS_{WS}. Thus, given the considerable amount of HULIS_{WS} in PM_{2.5} and
47 their high ROS generation ability, both field measurements and smog chamber experiments have been conducted to determine
48 their formation mechanisms and atmospheric origins (Kautzman et al., 2010; Lin et al., 2010b; Sato et al., 2012); biomass burning
49 and secondary formation have been suggested to be the major sources (Kautzman et al., 2010; Lin et al., 2010b). However, until
50 now, studies on the quantitative source apportionment of HULIS_{WS} remain relatively rare (Kuang et al., 2015), and information
51 on the source-specific contribution to their redox activity is lacking.

52 Beijing, the capital of China located in the North China Plain, is a political and cultural center with densely population. On the
53 other hand, it has become one of the most polluted cities in the world, with an annual PM_{2.5} concentration of up to 89.5 $\mu\text{g m}^{-3}$ in
54 2013 (Li et al., 2017). Therefore, it presents an ideal location to study the chemical characteristics of HULIS_{WS} as well as their
55 sources and potential redox activity.

56 In this study, our major objective is to investigate the ROS-forming ability of HULIS_{WS} in relation to different sources and
57 meteorological conditions. Thus, a total of 66 PM_{2.5} samples collected in Beijing during a 1-year period were analyzed.
58 Concentrations of total HULIS_{WS} were quantified, together with some characteristic individual HULIS_{WS} species and the major
59 aerosol components. HULIS_{WS}-associated redox activity was also determined using a DTT assay. Positive matrix factorization
60 (PMF) analysis was applied to determine the sources of both HULIS_{WS} and their associated redox activity. Such a comprehensive
61 source apportionment study of HULIS_{WS}-related ROS-generation potential has not been previously reported. Results from this
62 study could provide not only quantitative information regarding the sources and toxicity of HULIS_{WS}, but also a deeper
63 understanding of the source-specific oxidative potential of Chinese urban organic aerosols in general. This may be useful for the
64 future development of source-targeted air pollution control policies in Beijing and may provide public-health benefits.

65 2 Material and methods

66 2.1 Sample collection

67 PM_{2.5} samples were collected at the Peking University Atmosphere Environment Monitoring Station (PKUERS) on the campus of
68 Peking University (39°59'21"N, 116°18'25"E, approximately 30 m above the ground), Beijing, China. A high-volume air sampler
69 coupled with a $\leq 2.5 \mu\text{m}$ inlet (HIVOL-CABLD, ThermoFisher Scientific, Waltham, MA, USA) was used to conduct sampling at
70 a flow rate of 1.13 $\text{m}^3 \text{min}^{-1}$. Samples were collected on quartz fiber filters (20.3 \times 25.4 cm^2 , prebaked at 550 °C for 5 h; Whatman,
71 Hillsboro, OR, USA) for 24 h every 6 days from 3 March 2012 to 1 March 2013. In addition, a four-channel mid-volume sampler
72 was operated synchronously (16.7 L min^{-1} , TH-16A, Wuhan Tianhong Instruments Co. Ltd, China) to collect PM_{2.5} onto three 47-
73 mm Teflon filters and one quartz fiber filter for the determination of PM_{2.5} mass, elemental carbon (EC) and organic carbon (OC),
74 and inorganic ionic species.



75 2.2 Chemical analysis

76 HULIS_{WS} was isolated from PM_{2.5} samples following the procedure described by Lin et al. (2010b). Briefly, a portion of sample
77 filters (17.5 cm² for individual HULIS_{WS} species and 3 cm² for total HULIS_{WS}) was cut into small pieces and pollutants were
78 extracted through sonication with distilled deionized (DDI) water for 30 min. The extracts were filtered with
79 polytetrafluoroethylene (PTFE) filters (0.45- μ m pore size; Grace, Houston TX, USA) and acidified to a pH of 2 with 2.4 M HCl.
80 A solid phase extraction (SPE) cartridge (Oasis HLB, 3 mL/30 μ m, 60 mg; Waters, Milford, MA, USA) was used to isolate
81 HULIS_{WS}. The SPE cartridge was first activated using 1.0 mL of methanol and equilibrated using 1.0 mL of 0.01 M HCl. The
82 extracts were then loaded onto an HLB cartridge. Because the majority of inorganic ions, low molecular weight organic acids, and
83 sugar compounds could not be retained by the HLB cartridge, they were removed from the final effluent. For the analysis of
84 individual HULIS_{WS} species, the HLB cartridge was rinsed with two 1.0-mL portions of DDI water and then eluted with three 0.5-
85 mL portions of basic methanol (2% ammonia, w/w). The effluent was dried with a gentle flow of ultrapure nitrogen at 40 °C, and
86 then derivatized with 100 μ L of N,O-bis(trimethylsilyl)trifluoroacetamide (BSTFA; with 1% trimethylchlorosilane; Sigma Aldrich,
87 St. Louis, MO, USA) and 50 μ L of pyridine (>99.5%; International Laboratory USA, CA, USA) at 70 °C for 2 h. When the mixture
88 had cooled to room temperature, it was spiked with 30 μ L of tetracosane-d₅₀ (50 μ g mL⁻¹ in n-hexane; Sigma Aldrich, St. Louis,
89 MO, USA) as the internal standard for gas chromatography-mass spectroscopy (GC-MS; 5975-7890A, Agilent, Santa Clara, CA,
90 USA) analysis. Detailed information on this analysis is provided in the Supplementary Material.

91 For the analysis of total HULIS_{WS}, 1.5 mL of basic methanol (2% ammonia, w/w) was replaced by 6.0 mL of pure methanol in
92 SPE step to avoid possible influence of ammonia in the following DTT experiments (Lin and Yu, 2011). The larger amount of
93 solvent was to maintain the elution efficiency (Lin and Yu, 2011). Detailed information for the GC-MS quantification intensity of
94 individual HULIS_{WS} species eluted by the two protocol was provided in the Supplementary Material. The effluent was dried with
95 nitrogen, and restored in 1 mL of DDI water for quantification. An aliquot of 20 μ L of aqueous solution was injected into a high-
96 performance liquid chromatography system (HPLC, ThermoFisher Scientific, Waltham, MA, USA) coupled with an evaporative
97 light scattering detector (Alltech ELSD 3300, Grace, Houston, TX, USA). Since ELSD is mass sensitive, the mass of HULIS_{WS}
98 instead of HULIS_{WS}-carbon was reported in this study. Detailed information on the HPLC-ELSD conditions is provided in the
99 Supplementary Material.

100 Major water-soluble ions were identified and quantified using ion-chromatography (DIONEX, ICS-2500 for cations and ICS-2000
101 for anions, ThermoFisher Scientific, Waltham, MA, USA, Tang et al., 2011). EC and OC were analyzed by a thermal-optical
102 carbon analyzer (Sunset Laboratory-Based Instrument, Tigard, OR, USA, Tang et al., 2011). Hopanes were measured by in-
103 injection thermal desorption-gas chromatography mass spectrometry (GC-MS, Agilent 6890N-5975C, Santa Clara, CA, USA, Ho
104 and Yu, 2004), while levoglucosan was measured using an Agilent 7890A- 5975C GC-MS (Hu et al., 2008).

105 2.3 DTT assay

106 The procedure of the DTT assay follows that used by Li et al. (2009) and Lin and Yu (2011). A 120- μ L portion of HULIS_{WS}
107 solution was transferred into an eppendorf tube. Then 920 μ L of potassium phosphate buffer (pH = 7.4) containing 1 mM diethylene
108 triamine pentaacetic acid (DTPA) and 50 μ L of 0.5 mM DTT (both >99%; Sigma Aldrich, St. Louis, MO, USA) were added and
109 mixed thoroughly. The samples were subsequently placed in a dry bath at 37 °C for 90 min and spiked with 100 μ L of 1.0 mM
110 5,5'-dithiobis-2-nitrobenzoic acid (DTNB, 98%; Sigma Aldrich, USA) containing 1 mM DTPA. Finally, the absorbances of the
111 reacted sample solutions were measured at 412 nm within 30 min using an ultraviolet-visible (UV-Vis) spectrophotometer (8453,
112 Hewlett Packard, Palo Alto, CA, USA). Considering that some transition metals may still remained in the HULIS_{WS} fraction even
113 after HLB purification, sufficient amount of DTPA was added in the procedure to chelate all the remaining transition metals, such



114 as Cu, Mn, and Fe, to eliminate the redox-activity induced by these metals (Lin and Yu, 2011). For the control samples, blank
115 filters were used instead of real samples.

116 Based on previous experiments, the time-dependent consumption of DTT catalysed by HULIS_{WS} is linear when DTT consumption
117 is less than 90% (Lin and Yu, 2011). In this study, verification experiments were also performed with a similar result. Give that
118 the DTT consumption rates for all the 66 samples in this study were between 3.6% and 77.0%, the DTT activity is proportionally
119 related to HULIS_{WS} concentration.

120 2.4 Source apportionment

121 In this study, United States Environmental Protection Agency PMF 5.0 was applied to identify and apportion the sources of both
122 HULIS_{WS} and HULIS_{WS}-associated redox activity. As suggested by Henry et al. (1984), the minimum sample size of N for PMF
123 analysis was $30 + (V + 3)/2$, where V is the number of input species. A total of 66 samples and 13 species were included in PMF
124 analysis, which was an adequate sample size to obtain a statistically reliable PMF result. Details of PMF parameter settings are
125 provided in the Supplementary Material.

126 3 Results and discussion

127 3.1 Total HULIS_{WS} and HULIS_{WS}-associated DTT activity

128 In this study, the concentrations of total HULIS_{WS} and HULIS_{WS}-associated DTT activity in 66 PM_{2.5} samples were quantified.
129 The annual average concentration of total HULIS_{WS} in Beijing measured in this study was $5.66 \mu\text{g m}^{-3}$ (median: 4.30, range: 1.08–
130 $22.36 \mu\text{g m}^{-3}$). This was approximately 20% higher than those measured in three other Chinese cities: $4.83 \mu\text{g m}^{-3}$ in Guangzhou
131 (Kuang et al., 2015), $4.71 \mu\text{g m}^{-3}$ in Nansha (Kuang et al., 2015), and $4.69 \mu\text{g m}^{-3}$ in Lanzhou (Tan et al., 2016). A clear temporal
132 variation of total HULIS_{WS} was observed (Figures 1, 2), with significantly higher levels ($p < 0.05$, Mann–Whitney test) in the
133 heating season (November through March; average 7.93, median $6.15 \mu\text{g m}^{-3}$) than in the non-heating season (April through
134 October; average 3.72, median $2.86 \mu\text{g m}^{-3}$). This could be mostly attributed to the intensive coal and biomass burning activities
135 performed for residential heating during the heating season. In addition, lower temperatures and mixing heights during the heating
136 season could also favor the formation of particle-bound HULIS_{WS} species. However, the contributions of total HULIS_{WS} to organic
137 matter (OM, calculated by OC multiply the ratio of 1.98 and 1.50 for the heating and non-heating seasons, respectively, Xing et
138 al., 2013) in PM_{2.5} are slightly lower during the heating season ($21.8\% \pm 13.5\%$) than that during the non-heating season ($27.4\% \pm$
139 12.0% , Figure 1), indicating higher levels of other combustion-generated organic compounds were emitted in the heating seasons
140 other than HULIS_{WS}.

141 For HULIS_{WS}-associated DTT activity, they exhibited similar temporal variation as HULIS_{WS} (Figure 2), with significantly higher
142 levels in the heating season (average 0.073, median $0.063 \text{ nmol min}^{-1} \text{ m}^{-3}$) than in the non-heating season (average 0.031, median
143 $0.029 \text{ nmol min}^{-1} \text{ m}^{-3}$). Because most of the inorganic ions were not retained by the HLB cartridge and the remaining metals in
144 the HULIS_{WS} effluent were chelated by DTPA, the DTT activity measured here could be attributed entirely to HULIS_{WS}. In fact,
145 a strong correlation between total HULIS_{WS} and HULIS_{WS}-associated DTT activity was observed ($R^2 = 0.78$).

146 3.2 Individual species of HULIS_{WS}

147 Because the main objective of this study was to identify the sources of HULIS_{WS} and their associated redox activity, we mainly
148 focused on the identification of organic markers in the chemical analysis. A total of 25 species were identified and quantified in
149 the HULIS_{WS} fraction of PM_{2.5} through GC-MS, including 12 aromatic acids, five nitrophenol analogues, three aliphatic acids, and
150 five biogenic secondary organic aerosol (SOA) tracers (Table S1 in the Supplementary Material, Hu et al., 2008)



151 All 12 aromatic acids, including three hydroxyl benzoic acids, three benzenedicarboxylic acids, three benzenetricarboxylic acids,
152 2-hydroxy-5-nitrobenzoic acid, vanillic acid, and syringic acid, exhibited higher levels during the heating season than during the
153 non-heating season (Figure S2 in the Supplementary Material). Among these acids, terephthalic acid (TPha) was the most abundant
154 (average 150.2 ng m^{-3} in the heating season, and 98.1 ng m^{-3} in the non-heating season), accounting for approximately 2% of the
155 total HULIS_{WS}. Compared with other Chinese cities, the concentration of TPha in Beijing was substantially higher than those in
156 the southern cities such as Hong Kong (19.9 ng m^{-3} in winter, Ho et al., 2011) and similar to those in the northern cities such as
157 Xi'an (54 ng m^{-3} in summer and 250 ng m^{-3} in winter, Cheng et al., 2013). TPha is mainly used to produce
158 polyethyleneterephthalate (PET) plastics, which are widely used for bottles and containers; therefore, it has been suggested as a
159 tracer for the pyrolysis of domestic waste (Kawamura and Pavuluri, 2010; Simoneit et al., 2005). Meanwhile, benzenetricarboxylic
160 acids were considered to be secondarily formed from the photodegradation of organic precursors such as polycyclic aromatic
161 hydrocarbons (PAHs) (Kautzman et al., 2010). Therefore, 1,2,3-benzenetricarboxylic acid (123Ben) and 1,2,4-
162 benzenetricarboxylic acid (124Ben) were also included in the PMF analysis.

163 Similar to the aromatic acids, all five nitrophenol analogues, namely 4-nitrophenol, 2-nitrocatechol, 2-methyl-4-nitrophenol
164 (2M4NP), 4-methyl-5-nitrocatechol (4M5NC), and 3-methyl-6-nitrocatechol (3M6NC), exhibited 8–14 times higher
165 concentrations during the heating season than during the non-heating season (Table S1 in the Supplementary Material). In
166 particular, 4M5NC and 3M6NC not only showed similar temporal variations but also were strongly correlated ($R^2 = 0.87$), implying
167 that they may have similar sources. These two compounds have been suggested as tracers for the aging process of biomass burning
168 (Iinuma et al., 2010; Kahnt et al., 2013). However, Iinuma et al. (2010) pointed out that the photo-oxidation of vehicle exhaust
169 may be a more significant source for these two compounds in urban areas. Given that both 4M5NC and 3M6NC are good
170 anthropogenic SOA markers, they were also included in the PMF analysis.

171 Five biogenic SOA tracers including 3-hydroxyglutaric acid, 3-hydroxy-4,4-dimethylglutaric acid, 3-methyl-1,2,3-
172 butanetricarboxylic acid, 3-isopropylglutaric acid, and 3-acetylglutaric acid were identified and quantified. Because they were all
173 formed from the atmospheric oxidation of monoterpenes and had similar temporal variations, they were grouped as SOA markers
174 of monoterpene (MonoT) in the PMF analysis (Hu et al., 2010). Briefly, MonoT showed higher concentrations during the non-
175 heating season (average 16.9 , median 15.2 ng m^{-3}) than during the heating season (average 12.5 , median 10.2 ng m^{-3}), which was
176 opposite to that of total HULIS_{WS}. Because of the higher biogenic volatile organic compounds (VOCs) emissions, more intense
177 solar radiation, and higher temperature and humidity in the non-heating season, more active secondary formation could lead to
178 higher concentrations of biogenic SOA (Guo et al., 2012).

179 3.3 Source apportionment of total HULIS_{WS} and their ROS activity

180 The optimal PMF solution was determined with five factors (A–E; Figure 3). The Q_{robust} obtained was 62.9, which was exactly
181 equal to Q_{true} , and the scaled residues for all species were between -2 and $+2$, indicating no outliers for this solution. Constrained
182 model operation was adopted for a more reasonable interpretation ($dQ_{\text{robust}}\% = 0.32\%$) (Norris et al., 2014). The optimized solution
183 was bootstrapped 100 times, with 100% of the runs producing the same factors. A strong linear correlation between the measured
184 and PMF-predicted HULIS_{WS} concentrations ($R^2 = 0.76$) also suggested a reliable PMF solution (Figure S4 in the Supplementary
185 Material).

186 As shown in Figure 3, factor A had a high percentage of non-sea salt Cl^- (nss-Cl^- , $[\text{nss-Cl}^-] = [\text{Cl}^-] - 1.17 \times [\text{Na}^+]$), and was
187 attributed to coal combustion (Tan et al., 2016; Tao et al., 2016; Zhang et al., 2013). Factor B had a high loading of levoglucosan
188 and was determined as biomass burning (Hu et al., 2010; Tao et al., 2016). Factor C was considered to be waste incineration, due
189 to the high level of TPha. Factor D was dominated by hopanes, tracers for fuel combustion, suggesting traffic related activities (Hu



190 et al., 2010). In particular, the two anthropogenic markers, 4M5NC and 3M6NC, were mostly assigned to this factor (4M5NC
191 46%, and 3M6NC 33%) instead of factor C (4M5NC 14%, and 3M6NC 25%). These two species were mainly formed through the
192 photo-oxidation of cresols, which were directly emitted through wood combustion or produced from toluene through its reaction
193 with OH radicals in the presence of NO_x (Iinuma et al., 2010). Traffic emissions were a significant source for single-ring aromatics,
194 especially toluene, in Chinese megacities (Huang et al., 2015). In this study, the sampling site was located in an urban area
195 influenced by considerable vehicular emissions of NO_x and toluene, which may have led to subsequent secondary formation of
196 4M5NC and 3M6NC. Therefore, the fourth factor was considered as a mixed source including both primary emission and the aging
197 process of traffic exhaust. The fifth factor was characterized by a predominant loading of MonoT, SO₄²⁻, and NH₄⁺; thus, it was
198 considered as a secondary aerosol formation source.

199 3.4 Source-specific contributions to HULIS_{WS}

200 Source-specific contributions to HULIS_{WS} during both non-heating and heating seasons were calculated based on PMF results.
201 The four combustion-related sources contributed >80% of HULIS_{WS} in the heating season and 50% in the non-heating season
202 (Figure 4A), of which biomass burning was the most predominant. A strong correlation ($R^2 = 0.51$, Figure S5 in the Supplementary
203 Material) was observed between HULIS_{WS} and levoglucosan, a marker of biomass burning, and this was consistent with previous
204 studies (Lin et al., 2010b). Approximately 33% of total HULIS_{WS} was attributed to biomass burning during the 1-year sampling
205 period in Beijing, higher than that observed in the Pearl River Delta region (8%–28%, Kuang et al., 2015). The intensive wood
206 and crop residue burning activities in the Beijing–Tianjin–Hebei region during autumn and winter could emit a large amount of
207 aerosols into the atmosphere (Zhang et al., 2013). Thus, as shown in Figure 4A, the contribution of biomass burning to HULIS_{WS}
208 in the heating season ($2.96 \mu\text{g m}^{-3}$) was 3.5 times that in the non-heating season ($0.84 \mu\text{g m}^{-3}$).

209 A previous study reported that refuse burning may contribute 1%–24% of organic particles in Asia (Simoneit et al., 2004). In this
210 study, waste incineration was found for the first time as an important source of HULIS_{WS} in Beijing, with a considerable and stable
211 contribution to total HULIS_{WS} throughout the year (18.7% in the non-heating season and 17.1% in the heating season). According
212 to the China Statistic Yearbook (2013), 6.33 million tons of domestic waste were produced in Beijing during 2012 (National Bureau
213 of Statistics of China, 2013), among which 0.95 million tons were disposed of through incineration. Given that nearly 24% of the
214 urban waste was plastic (Wang and Wang, 2013), the incineration of such large amounts of domestic waste may explain the high
215 levels of TPha and other HULIS_{WS} compounds in Beijing.

216 Coal has occupied the predominant position in China's energy consumption for a long time (Zhang and Yang, 2013). Therefore,
217 coal combustion is an important source of PM_{2.5} pollution in China, especially in northern Chinese cities. Tan et al. (2016) identified
218 a strong correlation between HULIS_{WS} and Cl⁻ ($R^2 = 0.89$) in Lanzhou and suggested that coal burning was probably the major
219 contributor to HULIS_{WS} in winter. However, the contribution of coal combustion to HULIS_{WS} was found to be minor (5.8%) in
220 the present study. Similarly, a source apportionment analysis of PM_{2.5}-bound water-soluble organic carbon (WSOC) in Beijing
221 found that less than 5% of WSOC was from coal combustion (Tao et al., 2016). This was because less oxidized compounds
222 including polycyclic aromatic compounds were favorably produced from the aromatic fragments of coal under the fuel-rich
223 incomplete combustion conditions; these less oxidized compounds are generally hydrophobic substances and not extracted into the
224 HULIS_{WS} fraction.

225 A correlation between total HULIS_{WS} and hopanes ($R^2 = 0.46$, Figure S5 in the Supplementary Material) might suggest direct
226 emissions of HULIS_{WS} from vehicle exhaust. As shown in Figure 4A, vehicle emissions are responsible for 13.7% of PM_{2.5}-bound
227 HULIS_{WS}. Interestingly, the amount of HULIS_{WS} assigned to vehicle exhaust was approximately three times higher in the heating
228 season than in the non-heating season (Figure 4A). This could be attributed to the low temperature in winter, which favors the



229 partition of semivolatile HULIS_{WS} species into particle phases. Another explanation could be that more HULIS_{WS} were formed
230 from the aging process of traffic exhaust in the heating season. To evaluate this hypothesis, multilinear regression (MLR) analysis
231 was conducted to assess the effects of NO_X, O₃, SO₄²⁻, particle acidity (H_p⁺), and particle-phase liquid water content (LWC_p) on
232 the HULIS_{WS} resolved in the vehicle emissions factor (HULIS_{WS_VE}; the calculation of H_p⁺ and LWC_p, and the MLR analysis
233 results are provided in the Supplementary Material). NO_X was found as the only statistically significant factor that was positively
234 correlated to HULIS_{WS_VE} with a regression coefficient of 0.012 ($p < 0.001$; Table S2 in the Supplementary Material), suggesting
235 that a 1 $\mu\text{g m}^{-3}$ increase in NO_X was associated with a 0.012 $\mu\text{g m}^{-3}$ increase in HULIS_{WS_VE}, when holding other covariates
236 unchanged. In fact, vehicle exhaust was the major source of ground level NO_X (>60%) in Beijing, even in the heating season (Lin
237 et al., 2011). A higher level of NO_X was observed during the heating season than during the non-heating season due to a lower
238 boundary layer and weaker vertical mixing (Figure S6 in the Supplementary Material). Kautzman et al. (2010) found that ring-
239 opening oxygenated products with one benzyl group, which could be retained by the HLB cartridge and were considered as
240 HULIS_{WS} components, were predominantly formed from the photo-oxidation of PAHs under high NO_X conditions. Thus, the
241 higher levels of NO_X in the heating season led to higher levels of secondarily produced HULIS_{WS_VE}, indicating a synergistic effect
242 of primary emission and the secondary aging process from vehicle exhaust. Furthermore, the presence of 4M5NC and 3M6NC,
243 SOA markers of cresol, in this factor confirmed that a certain fraction of HULIS_{WS_VE} was secondarily formed.

244 In addition to the four combustion-related sources, one secondary source was apportioned by PMF, contributing 30.1% of
245 HULIS_{WS} throughout the year. MLR analysis was conducted to evaluate the effects of O₃, NO_X, SO₄²⁻, H_p⁺, and LWC_p on the
246 secondary formation of HULIS_{WS} (HULIS_{WS_SEC}). Sulfate was found to be the most significant factor with a regression coefficient
247 of 0.066 (Table S3 in the Supplementary Material). This may be due to the predominant role of sulfate in the particle-phase
248 formation of organosulfates, one important HULIS_{WS} component (Xu et al., 2015), through both nucleophilic addition reactions
249 and the salting-in effect (Lin et al., 2012; Riva et al., 2015). Results from the MLR analysis also indicated that an increase of 1 μg
250 m^{-3} O₃ led to an increase of 0.028 $\mu\text{g m}^{-3}$ HULIS_{WS_SEC}. Gaseous highly oxidized multifunctional organic compounds (HOMs)
251 were characterized in the ozonolysis of α -pinene in smog chamber experiments (Zhang et al., 2015). It was suggested that, after
252 partitioning to the particle phase, these HOMs could undergo rapid accretion reactions to form oligomers containing multiple
253 carboxylic acid and ester groups, which served as good HULIS_{WS} candidates. Considering the higher concentrations of O₃ in the
254 non-heating season (Figure S7 in the Supplementary Material), together with higher biogenic VOCs emissions and temperature as
255 well as more intense solar radiation, a larger amount of HULIS_{WS_SEC} was produced in the non-heating season (2.01 $\mu\text{g m}^{-3}$) than
256 in the heating season (1.41 $\mu\text{g m}^{-3}$).

257 3.5 Source-specific contributions to DTT activity

258 To gain quantitative insights into the potential health impacts of different HULIS_{WS} sources, source-specific contributions to
259 HULIS_{WS}-associated DTT activity were assessed using PMF result. The strong correlation ($R^2 = 0.78$; Figure S4 in the
260 Supplementary Material) between measured and predicted DTT activity suggested reliable predictions.

261 Similar to the source apportionment results of HULIS_{WS}, biomass burning was identified as the major contributor to HULIS_{WS}-
262 associated DTT activity in the heating season, and secondary formation was the most important source in the non-heating season
263 (Figure 4B). The four combustion-related sources accounted for 75% of HULIS_{WS}-associated redox activity throughout the year,
264 of which biomass burning contributed 33.6%, followed by vehicle emissions (18.5%), waste incineration (18.5%), and coal
265 combustion (4.1%). During biomass burning, highly oxidized organic compounds with quinone, hydroxyl, and carboxyl groups
266 were directly produced (Fan et al., 2016). Moreover, some of the VOCs emitted from biomass burning could undergo further
267 reactions and generate high redox-active products, for example, hydroxyquinones formed through $\bullet\text{OH}$ radical oxidation



268 (McWhinney et al., 2013). Those compounds, such as quinones and hydroxyquinones, could be extracted in HULIS_{WS} fraction and
269 lead to DTT consumption (Chung et al., 2006; Verma et al., 2015a). Additionally, as reported by Dou et al. (2015) the nitrogen-
270 containing alkaloids emitted from biomass burning could also enhance the ROS-generation ability of HULIS_{WS}.

271 To further investigate the intrinsic ROS-generation ability of HULIS_{WS}, the DTT consumption rate was normalized for HULIS_{WS}
272 mass (DTT_m, expressed in units of pmol min⁻¹ per µg HULIS_{WS} (Verma et al. 2014). The average intrinsic DTT activity of
273 HULIS_{WS} in Beijing was 9.91 pmol min⁻¹ per µg HULIS_{WS} (median 9.02, range 2.74–25.8 pmol min⁻¹ per µg HULIS_{WS}), which
274 was higher than the reported average DTT_m activity (6.4 ± 1.2 pmol min⁻¹ per µg HULIS_{WS}) of six PM_{2.5} samples collected during
275 winter in Guangdong, China (Dou et al., 2015). This difference might be attributed to the different chemical components and
276 sources of HULIS_{WS} in these two regions.

277 Furthermore, the intrinsic DTT activities of the HULIS_{WS} from the five sources were derived. HULIS_{WS} from vehicle emissions
278 constituted the most ROS-active HULIS_{WS}, with a maximum activity of 12.0 pmol min⁻¹ per µg HULIS_{WS_VE}, followed by waste
279 incineration (9.25 pmol min⁻¹ per µg HULIS_{WS_WI}), biomass burning (9.10 pmol min⁻¹ per µg HULIS_{WS_BB}), secondary formation
280 (7.45 pmol min⁻¹ per µg HULIS_{WS_SEC}), and coal combustion (6.22 pmol min⁻¹ per µg HULIS_{WS_CC}). Similarly, Bates et al. (2015)
281 revealed that the water-soluble PM_{2.5} (WS-PM_{2.5}) from gasoline vehicle emissions had the highest intrinsic DTT activity, probably
282 due to the oxygenated OC and metals on gasoline particles. Verma et al. (2009) also observed a higher aerosol oxidative potential
283 from the aged particles of traffic exhaust than those directly emitted, and a strong correlation was observed between oxygenated
284 organic acids and vehicle-related redox activity. In the present study, vehicle emission was found to be the highest redox-active
285 source for HULIS_{WS}, a large fraction of WS-PM_{2.5}. However, because the remaining water-soluble metals in HULIS_{WS} were
286 chelated through DPTA, the high intrinsic ROS activity of HULIS_{WS_VE} is believed to be mostly due to the highly oxygenated OC
287 content in HULIS_{WS_VE}.

288 Waste incineration was another important primary source of HULIS_{WS}-related DTT activity (20.5% in the non-heating season and
289 17.4% in the heating season), and its intrinsic HULIS_{WS} ROS activity was even slightly higher than that from biomass burning.
290 Mohr et al. (2009) examined the elemental ratio of aerosols emitted from different sources. They found that particles from plastic
291 burning had a higher O/C ratio (0.08) than those from diesel (0.03) and gasoline (0.04) combustion, indicating a more oxidized
292 feature of aerosols emitted through refuse burning (Mohr et al., 2009). Considering that incineration will play an increasingly
293 important role in waste treatment in Beijing in the following years (National Development and Reform Commission, 2016), concern
294 should be directed to the potential threat of trash burning to public health.

295 Although HULIS_{WS_SEC} was less DTT-active than HULIS_{WS_VE}, HULIS_{WS_WI}, or HULIS_{WS_BB}, secondary aerosol formation served
296 as the second largest contributor (25.3%) to HULIS_{WS}-associated redox activity throughout the year. Higher levels of DTT activity
297 mediated by HULIS_{WS_SEC} were observed in the non-heating season (0.015 nmol min⁻¹ m⁻³) than in the heating season (0.011 nmol
298 min⁻¹ m⁻³), accounting for 44.1% and 14.5% of HULIS_{WS} DTT activity in each season, respectively. The relatively low intrinsic
299 DTT activity of HULIS_{WS_SEC} may be mostly attributed to its abundance of biogenic SOA components such as organosulfates and
300 organonitrates (Chen et al., 2011), which were found to have negligible ROS-generating ability (Kramer et al., 2016). Although
301 chamber experiments reported the formation of ROS-active HOMs or organic peroxides through the ozonolysis of biogenic VOCs
302 (Docherty et al., 2005; Zhang et al., 2015), the production yields of these peroxides were generally low and thus could not have a
303 major influence on the DTT activity of HULIS_{WS_SEC}.

304 In summary, four combustion-related sources and one secondary source of PM_{2.5}-bound HULIS_{WS} and their associated ROS
305 potential were identified by PMF in this study. Biomass burning (32.7%) and secondary aerosol formation (30.1%) were the major



306 contributors to HULIS_{WS} in Beijing. For the first time, waste incineration was identified as an important source of HULIS_{WS}, with
307 a considerable and stable contribution to HULIS_{WS} throughout the year (17.7%). Regarding ROS-generation potential, HULIS_{WS}
308 from vehicle emissions was identified as the most ROS-active, and HULIS_{WS} from secondary aerosol formation showed a lower
309 intrinsic DTT ability than those of most primary sources except for coal combustion. Such variations in the ROS-generation ability
310 of HULIS_{WS} from different sources will be relevant for future inquiries into more detailed chemical speciation of HULIS_{WS}, their
311 roles in ROS generation, and the possible oxidation mechanisms involved.

312 **Supplementary Material.** Information on chemical analysis; PMF source apportionment; MLR analysis together with Table S1-
313 S3 and Figure S1-S7 are provided.

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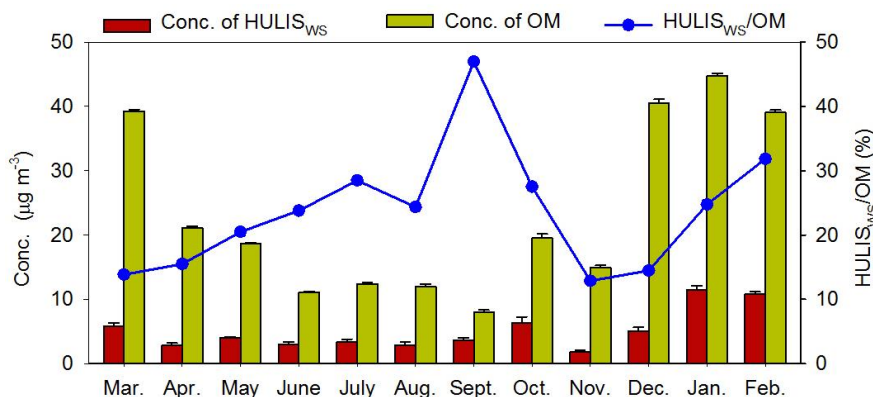
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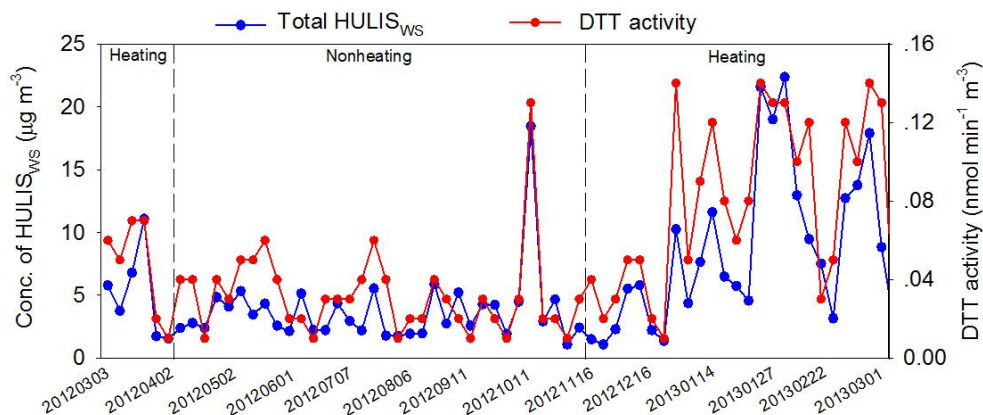
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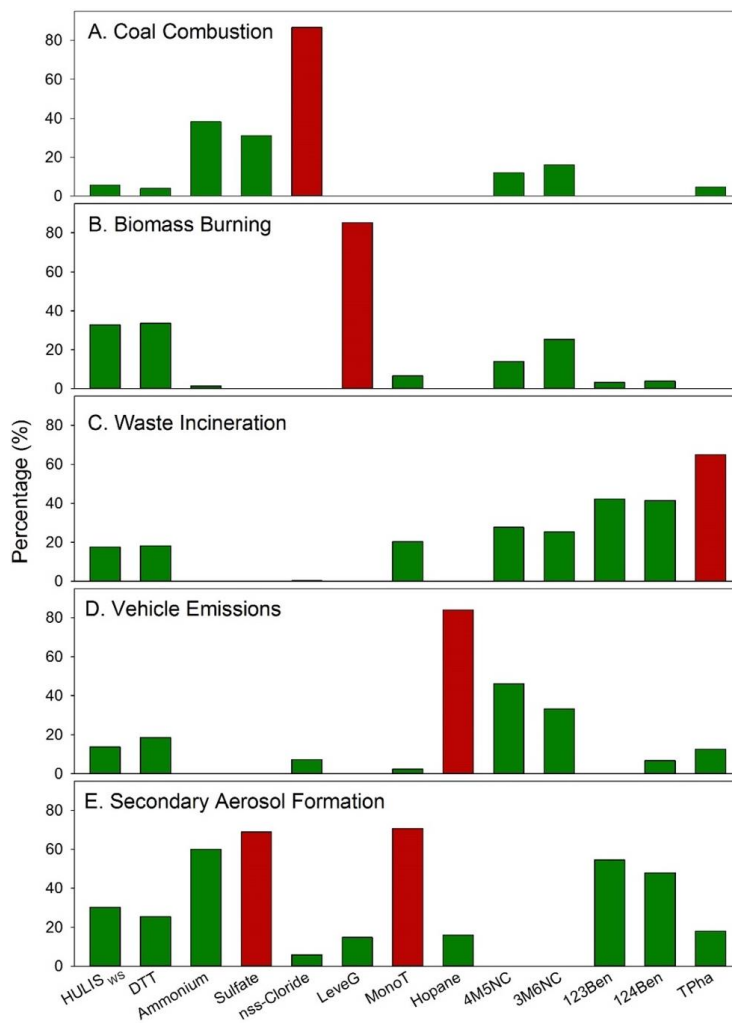
Figure 1: Monthly average concentrations (average ± standard error) of total HULIS_{WS} and organic matter (OM) in PM_{2.5} collected in Beijing. The monthly percentage contributions of HULIS_{WS} to OM are shown in the blue line.

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Figure 2: Temporal variation of total HULIS_{ws} and associated DTT activity in Beijing.

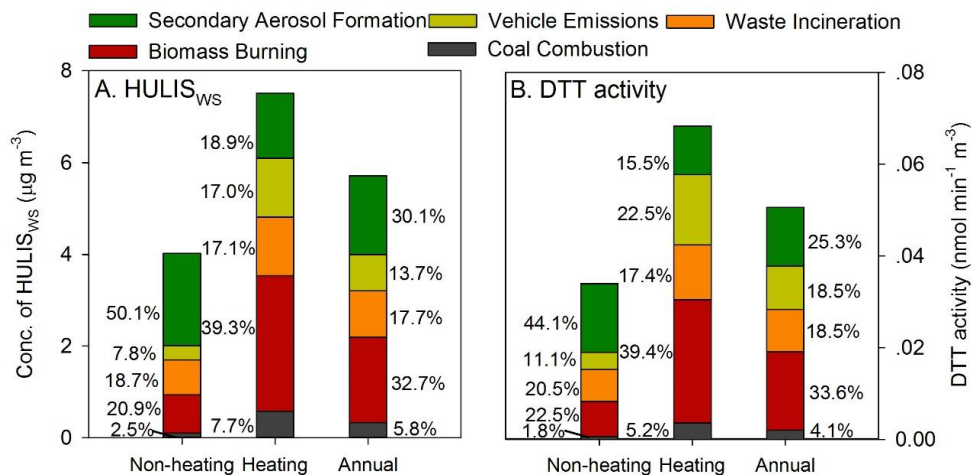


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Figure 3: Distribution of HULIS_{ws}, HULIS_{ws}-associated DTT activity and other measured species in the five sources resolved by PMF. Columns in dark red indicate characteristic tracers of each source.



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458 **Figure 4: Source-specific contributions to total HULISWS (panel A) and HULISWS-associated DTT activity (panel B).**

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