



1 **Projected global tropospheric ozone impacts on vegetation under different**  
2 **emission and climate scenarios**

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9  
10 **Abstract**

11 The impact of ground-level ozone (O<sub>3</sub>) on vegetation is largely under-investigated at global  
12 scale despite worldwide large areas are exposed to high surface O<sub>3</sub> levels and concentrations  
13 are expected to increase in the next future. To explore future potential impacts of O<sub>3</sub> on  
14 vegetation, we compared historical and projected O<sub>3</sub> concentrations simulated by six global  
15 atmospheric chemistry transport models on the basis of three representative concentration  
16 pathways emission scenarios (i.e. RCP 2.6, 4.5, 8.5). To assess changes in the potential O<sub>3</sub>  
17 threat to vegetation, we used the AOT40 metric. Results point out a significant overrun of  
18 AOT40 in comparison with the recommendations of UNECE for the protection of vegetation.  
19 In fact, many areas of the northern hemisphere show that AOT40-based critical levels will be  
20 exceeded by a factor of at least 10 under RCP8.5. Changes in surface O<sub>3</sub> by 2100 range from  
21 about + 4-5 ppb worldwide in RCP8.5 scenario to reductions of about 2-10 ppb in the RCP2.6  
22 scenario. The risk of O<sub>3</sub> injury for vegetation decreased by 61% and 47% under RCP2.6 and  
23 RCP4.5, respectively and increased by 70% under RCP8.5. Key biodiversity areas in South  
24 and North Asia, central Africa and Northern America were identified as being at risk from  
25 high O<sub>3</sub> concentrations. To better evaluate the regional exposure of ecosystems to O<sub>3</sub>  
26 pollution, we recommend the use of improved chemistry-climate modelling system, fully  
27 coupled with dynamic vegetation models.

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31 **Keywords:** AOT40, Ozone, Representative Concentration Pathways, O<sub>3</sub> injury on vegetation

32



### 33 Introduction

34 Tropospheric ozone (O<sub>3</sub>) is a secondary air pollutant, i.e. it is not emitted as such in the air but  
35 it is formed by reactions among precursors (e.g. CH<sub>4</sub>, VOCs, NO<sub>x</sub>). Ozone is an important  
36 greenhouse gas resulting in a direct radiative forcing of 0.35-0.37 W m<sup>-2</sup> on climate (Shindell  
37 et al., 2009; Ainsworth et al., 2012). Despite significant control efforts and legislation to  
38 reduce O<sub>3</sub> precursor emissions, tropospheric O<sub>3</sub> pollution is still a major air quality issue over  
39 large regions of the Globe (Lefohn et al., 2010; Langner et al., 2012; Young et al., 2013;  
40 Cooper et al., 2014; EEA, 2015; Sicard et al., 2016a,b). Long-range transport of O<sub>3</sub> and its  
41 precursors can elevate the local and regional O<sub>3</sub> background concentrations (Ellingsen et al.,  
42 2008; Wilson et al., 2012; Paoletti et al., 2014; Derwent et al., 2015; Xing et al., 2015; Sicard  
43 et al., 2016a). Therefore, remote areas such as the Arctic region, can be affected (Langner et  
44 al., 2012). The current tropospheric O<sub>3</sub> levels (35-50 ppb in the northern hemisphere, NH) are  
45 high enough to damage both forests and crops by reducing growth rates and productivity  
46 (Paoletti et al., 2009; Wittig et al., 2009; Anav et al., 2011; Mills et al., 2011; Ashworth et al.,  
47 2013; Proietti et al., 2016).

48

49 Increasing atmospheric CO<sub>2</sub>, nitrogen deposition and temperatures enhance plant growth, and  
50 increase primary production and greening of plants (Nemani et al., 2003; Zhu et al., 2016). At  
51 the global scale, a widespread increase of greening and net primary production (NPP) is  
52 observed over 25-50% of the vegetated area, while a decrease is observed over only 7% of the  
53 Globe (Nemani et al., 2003; Zhu et al., 2016). In contrast, a previous modeling study over  
54 Europe shows how O<sub>3</sub> reduces the mean annual gross primary production (GPP) by about  
55 22% and the leaf area index by 15-20% (Anav et al., 2011). Similarly, Proietti et al. (2016),  
56 using different *in-situ* measurements collected over 37 European forest sites, found a GPP  
57 decrease of 30% caused by O<sub>3</sub>. At global scale, over the time period 1901-2100, GPP is  
58 projected to decrease by 14-23% (Sitch et al., 2007). As a consequence of reduced  
59 photosynthetic assimilation, the total biomass of trees is estimated to be decreased by 7%  
60 under the current O<sub>3</sub> mean concentrations (40 ppb) and by 17% under the O<sub>3</sub> mean  
61 concentrations expected in 2100 (97 ppb) compared to preindustrial O<sub>3</sub> levels (about 10 ppb,  
62 Wittig et al., 2009). Wittig et al. (2009) also reported that the total tree biomass of  
63 angiosperms was reduced by 23% at O<sub>3</sub> mean concentrations of 74 ppb, and by 7% at 92 ppb  
64 for gymnosperms. High surface O<sub>3</sub> levels, exceeding 40 ppb, do occur in many regions of the  
65 Globe with associated economic costs of several billion dollars per year (Wang and  
66 Mauzerall, 2004; Ashmore, 2005). Ashworth et al. (2013) reported an annual loss of 3.5% for



67 wheat (very O<sub>3</sub>-sensitive) and 1% for maize (more O<sub>3</sub>-tolerant) for Europe in 2010 relative to  
68 2000, while Holland et al. (2006) estimated a €4.5 billion loss in the production of 23  
69 common crop species, due to surface O<sub>3</sub> exposure by 2020 relative to 2000.

70

71 The international Tropospheric Ozone Assessment Report (TOAR) establishes a state-of-the-  
72 art and an up-to-date scientific assessment of global O<sub>3</sub> metrics for climate change, human  
73 health and crop/ecosystem research (Lefohn et al. 2017). To assess the potential O<sub>3</sub> risk and  
74 protect vegetation from O<sub>3</sub>, different metrics are used: the European and US standard (AOT40  
75 and W126, respectively) are based on exposure-based metrics, while flux-based metrics have  
76 been introduced only recently (UNECE, 2010; Klingberg et al., 2014; EEA, 2015). Unlike the  
77 exposure-based metrics, which only rely on the surface O<sub>3</sub> concentration, the flux-based  
78 metrics were developed to quantify the accumulation of damaging O<sub>3</sub> taken up by vegetation  
79 through the stomata over a species-specific phenological time-window. These metrics also  
80 provide an information-rich tool in assessing the relative effectiveness of air pollution control  
81 strategies in lowering surface O<sub>3</sub> levels worldwide (Monks et al., 2015). By reducing plant  
82 photosynthesis and growth, high tropospheric O<sub>3</sub> levels will result in reduction in carbon  
83 storage by vegetation and, *in fine* an indirect radiative forcing as a consequence of the CO<sub>2</sub>  
84 rising in the atmosphere (Sitch et al., 2007; Ainsworth et al., 2012). This CO<sub>2</sub> rising reduces  
85 stomatal conductance which decreases O<sub>3</sub> flux into plants leading to increased O<sub>3</sub> levels in the  
86 air of 3-4 ppb during the growing season over the NH by doubling of CO<sub>2</sub> concentration  
87 (Fiscus et al., 2005; Sanderson et al., 2007).

88

89 Projected changes in tropospheric O<sub>3</sub> vary considerably among models (Stevenson et al.,  
90 2006; Wild, 2007) and emission scenarios. In earlier studies, the emissions of O<sub>3</sub> precursors  
91 were based on a high population growth, leading to very high projected surface O<sub>3</sub>  
92 concentrations by 2100 (Stevenson et al., 2000; Zeng and Pyle, 2003; Shindell et al., 2006).  
93 The last emission scenarios, i.e. the Representative Concentration Pathways (RCPs) were  
94 developed as part of the Fifth Assessment Report of the Intergovernmental Panel on Climate  
95 Change (Meinshausen et al., 2011; van Vuuren et al., 2011; Cubasch et al., 2013; Myhre et  
96 al., 2013). These scenarios include e.g. different assumptions on climate, energy access  
97 policies, and land cover and land use changes (Arneth et al., 2008; Kawase et al., 2011;  
98 Kirtman et al., 2013). Until now, studies on O<sub>3</sub> pollution impacts on terrestrial ecosystems are  
99 either limited to a single model or to particular regions (e.g. Clifton et al., 2014; Rieder et al.,  
100 2015) and only a few applications of global or regional models under the new RCPs scenarios



101 were carried out (Kelly et al., 2012). In the framework of the Atmospheric Chemistry and  
102 Climate Model Intercomparison Project (ACCMIP), different simulations were performed by  
103 Lamarque et al. (2013) and Young et al. (2013) from 16 global chemistry models.

104

105 A few issues about surface O<sub>3</sub>, such as a better understanding of spatial changes and a better  
106 assessment of O<sub>3</sub> impacts worldwide, are still challenging. To overcome these issues, the aim  
107 of this study is to quantify, for the first time, the spatial and temporal changes in the projected  
108 potential O<sub>3</sub> impacts on carbon assimilation of vegetation at global scale, by comparing the O<sub>3</sub>  
109 potential injury at present with that expected at the end of the 21<sup>st</sup> century from different  
110 global chemistry models.

111

## 112 **Materials and Methods**

113

### 114 *ACCMIP models and RCP scenarios*

115

116 The global chemistry models used in this work have been developed under the ACCMIP  
117 project. A detailed description of the selected models and of the emission scenarios (i.e.  
118 RCPs) is included in Supplementary Information (SI). ACCMIP models have been widely  
119 validated and used to evaluate projected changes in atmospheric chemistry and air quality  
120 under different emission and climate assumptions (e.g. Lamarque et al., 2010; Fiore et al.,  
121 2012; Bowman et al., 2013; Lee et al., 2013; Voulgarakis et al., 2013). Lamarque et al. (2013)  
122 and Young et al. (2013) provided the main characteristics of 16 models and details for the  
123 ACCMIP simulations. Although within the ACCMIP project 16 models are available, due to  
124 the lack of hourly O<sub>3</sub> concentration here we only focus on 6 global chemistry models with  
125 different configurations (Table 1).

126

127 The length of historical and RCP simulations vary between models, but for all models the  
128 historical runs cover a period centered around 2000, while the time-slice of RCPs is centered  
129 around 2100 (Table 1). As for each model we compare the mean change between the  
130 historical and RCP simulations, a different length in the number of years used in the analysis  
131 does not affect the results.

132

### 133 *Potential ozone injury on vegetation*

134

135 The O<sub>3</sub> exposure-based index, i.e. AOT40 (ppb h), is a metric used to assess the potential O<sub>3</sub>  
136 risk to vegetation from local to global scales (Emberson et al., 2014). It is computed as sum



137 of the hourly exceedances above 40 ppb, for daylight hours (8am-8pm) over species-specific  
138 growing seasons (UNECE, 2010). A recent study over Europe showed how computing  
139 AOT40 only over the growing season (i.e. April-September) would lead to an underestimation  
140 of AOT40 up to 50% for conifer trees, while in case of deciduous trees the underestimation is  
141 much smaller (< 5%, Anav et al., 2016). Besides, it should be noted that in Anav et al. (2016)  
142 the AOT40 is computed year-round when the stomatal conductance is greater than 0. Here,  
143 because of the lack of hourly meteorological data, we can only compute the AOT40 year-  
144 round and during the daylight hours. In case of risk assessment, this approach would lead to a  
145 relevant overestimation of AOT40, mainly over polluted area of NH. Nevertheless, since the  
146 aim of this study is to compare how O<sub>3</sub> stress to vegetation changes between historical period  
147 and future, the overestimation of AOT40 does not affect our results. Therefore, we computed  
148 AOT40 as follows:

149

$$150 \text{ AOT40} = \int_{01\text{jan}}^{31\text{dec}} \max([\text{O}_3] - 40, 0) dt \quad (1)$$

151

152 where [O<sub>3</sub>] is hourly O<sub>3</sub> concentration (ppb) simulated by the models at the lower model layer  
153 and *dt* is time step (1h). The function "maximum" ensures that only values exceeding 40 ppb  
154 are taken into account. The O<sub>3</sub> concentration to be used in AOT40 calculation should be at the  
155 top of the canopy; however, most of models used here provide O<sub>3</sub> concentrations at 90-120 m.  
156 Nevertheless, even if the O<sub>3</sub> concentration is simulated at different elevations above the sea  
157 level, as for each model we compare the variation between present and future, the change is  
158 consistent because the elevation is the same. For the protection of forests, a critical level of  
159 5,000 ppb.h (or 5 ppm.h) is recommended by UNECE (2010). Within the 2008/50/CE  
160 Directive, the critical level for agricultural crops (3 ppm.h) is adopted as the long-term  
161 objective value for the protection of vegetation by 2020.

162

163 From the AOT40, a factor of risk for forests and crops can be computed (Anav et al. 2011;  
164 Proietti et al. 2016). Thus, the potential O<sub>3</sub> impact on photosynthetic assimilation (IO<sub>3</sub>) is  
165 expressed as following:

166

$$167 \text{ IO}_3 = \alpha \times \text{AOT40} \quad (2)$$

168

169 where  $\alpha$  is an empirically derived O<sub>3</sub> response coefficient representing the proportional  
170 change in photosynthesis per unit of ozone-uptake (Anav et al., 2011). The coefficient for  
171 coniferous trees ( $0.7 \times 10^{-6} \text{ mm}^{-1} \text{ ppb}^{-1}$ ) and crops ( $3.9 \times 10^{-6} \text{ mm}^{-1} \text{ ppb}^{-1}$ ) are based on the



172 regressions of the ozone-uptake response curves (Reich, 1987), while the coefficient for  
173 deciduous trees and other vegetation types ( $2.6 \times 10^{-6} \text{ mm}^{-1} \text{ ppb}^{-1}$ ) is based on Ollinger et al.  
174 (1997). From changes in the risk factor, we can highlight potential risk areas for vegetation.

175

## 176 **Results and Discussion**

177 Although differences in the simulated global  $\text{O}_3$  spatial pattern were previously discussed and  
178 analyzed (e.g. Lamarque et al., 2013), we show the mean annual  $\text{O}_3$  concentration at the lower  
179 model layer in Figure 1 because  $\text{O}_3$  concentration explains AOT40 patterns. Then, in Figure 2  
180 we show and discuss the AOT40 spatial and temporal distribution from the ACCMIP models  
181 for the historical and RCPs simulations, and finally in Figure 3 we show the percentage of  
182 variation of IO3, i.e. the change in the potential impact of  $\text{O}_3$  on vegetation for the ACCMIP  
183 models computed comparing the RCPs simulations with historical runs. A detailed description  
184 of each figure, model by model, is included in Supplementary Information (SI).

185

### 186 **Spatial pattern of historical ozone concentration and AOT40**

187 The highest surface  $\text{O}_3$  concentrations (Fig. 1) and potential  $\text{O}_3$  injury (Fig. 2) are found in the  
188 NH, highlighting a hemispheric asymmetry. The multi-models  $\text{O}_3$  mean concentration,  
189 averaged over the land points of the domain, is  $37.9 \pm 4.3$  ppb in NH and  $22.9 \pm 3.8$  ppb in  
190 SH (Table 3a). The NH extratropics (i.e. mid-latitudes beyond the tropics) has 65% more  $\text{O}_3$   
191 than the SH extratropics (data not shown). The highest AOT40 values are found in the NH,  
192 with an averaged AOT40 of  $24.8 \pm 10.1$  ppm.h in NH and  $2.5 \pm 1.7$  ppm.h in SH (Table 3a).

193

194 According to previous studies, the annual mean background  $\text{O}_3$  concentrations at NH mid-  
195 latitudes range between 35 and 50 ppb during the end of the 20<sup>th</sup> century (e.g. Cooper et al.,  
196 2012; IPCC, 2014; Lefohn et al. 2014). Similarly, we found historical surface  $\text{O}_3$  mean  
197 concentrations ranging between 35 and 50 ppb and 35-50 ppm.h for AOT40 in the NH, with  
198 the highest values occurring over Greenland and in the latitude band 15-45°N, particularly  
199 around the Mediterranean basin, Near East, Northern America and over the Tibetan plateau (>  
200 50 ppb and 70 ppm.h) while the lowest  $\text{O}_3$  burden (15-30 ppb, < 20 ppm.h) was recorded in  
201 SH, particularly over Amazon, African and Indonesian rainforests. Tropospheric  $\text{O}_3$  has a  
202 significant source from stratospheric  $\text{O}_3$  (Parrish et al., 2012) and it can be transported by the  
203 large-scale Brewer-Dobson overturning circulation, i.e. an upward motion from the tropics  
204 and downward at higher latitudes, resulting in higher  $\text{O}_3$  concentrations in the extratropics



205 (Hudson et al., 2006; Seidel et al., 2008; Parrish et al., 2012). The six models are able to  
206 reproduce the spatial pattern of O<sub>3</sub> concentration and thus AOT40 worldwide.

207

208 The highest historical O<sub>3</sub> mean concentrations are observed in GFDL-AM3 and the lowest are  
209 found in MIROC-CHEM. In the early 2000s, the maximum global O<sub>3</sub> mean concentration (39  
210 ppb) in GFDL-AM3 is associated to the lowest annual total NO<sub>x</sub> emissions (46.2 Tg, Table  
211 2a) and low LNO<sub>x</sub> (4.4 Tg) while the minimum global O<sub>3</sub> mean concentration (28 ppb) in  
212 MIROC-CHEM is related to the highest emissions of total NO<sub>x</sub> per year (57.3 Tg) and  
213 erroneously high LNO<sub>x</sub> (9.7 Tg per year, Lamarque et al., 2013). MIROC-CHEM simulates  
214 58 gaseous species in the chemical scheme with constant present-day biogenic VOCs  
215 emissions while GFDL-AM3 simulates 81 species (Stevenson et al., 2012; Lamarque et al.,  
216 2013). In GISS-E2-R, the hemispheric asymmetry in O<sub>3</sub> is more important with e.g. a mean  
217 concentration of 22 ppb in SH and 42 ppb in NH. A stronger global AOT40 mean (26 ppm.h)  
218 is observed in GISS-E2-R and the lowest (7 ppm.h) in MIROC-CHEM for historical  
219 simulations. Model-to-model differences are observed due to different natural emissions of O<sub>3</sub>  
220 precursors (e.g. lightning NO<sub>x</sub>) and the used chemical schemes.

221

222 Higher O<sub>3</sub> burdens (mean concentration > 50 ppb, AOT40 >70 ppm.h) are simulated at high-  
223 elevation areas, e.g. at Rocky and Appalachian Mountains and over the Tibetan plateau (Fig.  
224 1, Fig. 2). At high-elevation, solar radiation, biogenic VOC emission, exchange between free  
225 troposphere and boundary layer, and stratospheric O<sub>3</sub> intrusion within the troposphere are  
226 more important than at the surface layer (Steinbacher et al. 2004; Kulkarni et al., 2011; Lefohn  
227 et al., 2012). Altitude reduces the O<sub>3</sub> destruction by deposition and NO (Chevalier et al.,  
228 2007). In addition, due to the high elevation, ambient air remains colder and dryer in summer,  
229 leading to lower summertime O<sub>3</sub> losses from photolysis (Helmig et al., 2007). The high-  
230 elevation areas, characterized by higher O<sub>3</sub> burdens, are well simulated in GISS-E2-R and  
231 MOCAGE models.

232

233 The Tibetan plateau, so-called “ozone valley”, is the highest plateau in the world, with a mean  
234 height of 4000 m a.s.l. (Tian et al., 2008) with strong thermal and dynamic influences on  
235 regional and global climate (Chen et al. 2011). High surface O<sub>3</sub> mean concentrations (40-60  
236 ppb) were reported in previous studies (e.g. Zhang et al., 2004; Bian et al., 2011; Guo et al.,  
237 2015; Wang et al., 2015). Although this region is remote, road traffic, biofuel energy source,  
238 coalmines and trash burning are prevalent. These pollution sources contribute to significant



239 amount of NO<sub>x</sub>, CO and VOCs (Wang et al., 2015). The high O<sub>3</sub> levels are attributed to the  
240 combined effects of high-elevation surface, thermal and dynamical forcing of the Tibetan  
241 plateau and *in-situ* photochemical production in the air trapped in the plateau by surrounding  
242 mountains (Guo et al., 2015; Wang et al., 2015). The dynamic effect, associated with the  
243 large-scale circulation, is more important than the chemical effect (Tian et al., 2008; Liu et al.,  
244 2010) and responsible for the high O<sub>3</sub> levels over the Tibetan plateau. The six models are able  
245 to well reproduce the high surface O<sub>3</sub> mean concentrations (> 50 ppb) over the Tibetan  
246 plateau.

247

248 Higher O<sub>3</sub> mean concentrations (> 60 ppb) are also observed in Southwestern U.S., at the  
249 stations inland close to Los Angeles, in Northeastern U.S. and East Asia (e.g. Beijing) (Fig.  
250 1). The American Southwest is an O<sub>3</sub> precursor hotspot where the industrial sources emit CH<sub>4</sub>  
251 and VOCs into the air (Jeričević et al., 2013) and the eastern and northern desert areas have  
252 higher ambient O<sub>3</sub> than urban areas of southern California due to four factors: on-shore winds,  
253 gasoline reformulation, eastward population expansion and nighttime air chemistry (Arbaugh  
254 and Bytnerowicz, 2003). The surface concentrations show higher O<sub>3</sub> levels in areas downwind  
255 of O<sub>3</sub> precursor sources, i.e. urban and well-industrialized areas, at distances of hundreds or  
256 even thousands of kilometers due to transport of O<sub>3</sub> and precursors, including “reservoir”  
257 species such as PAN, lower O<sub>3</sub> titration by NO and higher biogenic VOC emission (Wilson et  
258 al., 2012; Paoletti et al., 2014; Monks et al., 2015; Sicard et al., 2016a). The higher O<sub>3</sub> levels  
259 in areas downwind of O<sub>3</sub> precursor sources are well simulated in GISS-E2-R and MOCAGE  
260 models.

261

262 In the lower troposphere, O<sub>3</sub> can be removed by a large number of chemical reactions and by  
263 dry deposition (Sicard et al., 2016c). The O<sub>3</sub> dry deposition rates range from 0.01-0.05 cm s<sup>-1</sup>  
264 (oceans and snow) to 0.15-1.80 cm s<sup>-1</sup> for mixed wood forests (Wesely and Hicks, 2000;  
265 Zhang et al., 2003). The model performance is also related to the parameterization of the dry  
266 deposition rates.

267

268 Over Greenland, mean O<sub>3</sub> concentrations during the historical runs, ranged from 40 to 55 ppb  
269 (Fig. 1) except in MIROC-CHEM (20-25 ppb). Similarly, Helmig et al. (2007) reported  
270 annual mean of surface O<sub>3</sub> concentrations of 47 ppb over Greenland between 2000 and 2005,  
271 particularly at the high-elevation Summit station (3200 m a.s.l.). Several investigations, about  
272 snow photochemical and oxidation processes over Greenland, concluded that photochemical





273 O<sub>3</sub> production can be attributed to high levels of reactive compounds (e.g. oxidized nitrogen  
274 species) present in the surface layer during the sunlit periods due to local sources e.g. NO<sub>x</sub>  
275 enhancement from snowpack emissions, Peroxyacetyl nitrate (PAN) decomposition, boreal  
276 forest fires or ship emissions (Granier et al., 2006; Stohl et al., 2007; Legrand et al., 2009;  
277 Walker et al., 2012). PAN to NO<sub>x</sub> ratio increases with increasing altitude and latitude (Singh  
278 et al., 1992). The PAN reservoir for NO<sub>x</sub> may be responsible for the increase in surface  
279 O<sub>3</sub> concentrations at high latitudes (Singh et al., 1992). Local O<sub>3</sub> production does not appear to  
280 have an important contribution to the ambient high O<sub>3</sub> levels (Helmig et al., 2007), however  
281 the long-range O<sub>3</sub> transport can elevate the background concentrations measured at remote  
282 sites, e.g. Greenland (Ellingsen et al., 2008; Derwent et al., 2010). Low dry deposition rates  
283 for O<sub>3</sub>, the downward transport of stratospheric O<sub>3</sub>, the photochemical local production and  
284 the large-scale transport (Legrand et al., 2009; Walker et al., 2012; Hess and Zbinden, 2013)  
285 are known factors to explain higher O<sub>3</sub> pollution over Greenland.

286

287 The surface O<sub>3</sub> concentrations (> 40 ppb) and AOT40 (> 60 ppm.h) are higher over deserts,  
288 downwind of O<sub>3</sub> precursor sources (e.g. Near East, Sierra Nevada, Colorado Desert), due to  
289 lower O<sub>3</sub> dry deposition fluxes, O<sub>3</sub> precursors long-range transport from urbanized areas and  
290 high insolation. Around the Mediterranean basin, elevated AOT40 values (> 60 ppm.h) are  
291 recorded, mainly due to the industrial development, road traffic increment, high insolation,  
292 sea/land breeze recirculation and O<sub>3</sub> transport (Sicard et al., 2013). All models, except  
293 MIROC-CHEM, are able to well reproduce the high surface O<sub>3</sub> mean concentrations over  
294 Greenland and over deserts.

295

#### 296 **Projected changes in ozone concentration and AOT40**

297

298 Recent studies display a mean global increase in background O<sub>3</sub> concentration from a current  
299 level of 35-50 ppb (e.g. IPCC, 2014; Lefohn et al. 2014) to 55-65 ppb (e.g. Wittig et al., 2007)  
300 and up to 85 ppb at NH mid-latitudes by 2100 (IPCC, 2014). During the latter half of the 20<sup>th</sup>  
301 century surface O<sub>3</sub> concentrations have increased markedly at NH mid-latitudes (e.g. Oltmans  
302 et al., 2006; Parrish et al., 2012; Paoletti et al., 2014), mainly related to increasing  
303 anthropogenic precursor emissions related to economic growth of industrialized countries  
304 (e.g. Lamarque et al., 2005). Our results indicate that the future projections of the mean  
305 tropospheric O<sub>3</sub> concentrations and AOT40 vary considerably with the different scenarios and  
306 models (Fig. 1 and 2). The six models simulate a decrease of O<sub>3</sub> concentration by 2100 under  
307 the RCP2.6 and RCP4.5 scenarios, and an increase under the RCP8.5 scenario (Lamarque et



308 al., 2011). In our study, the averaged relative changes in surface O<sub>3</sub> concentration means (and  
309 AOT40) for the different RCPs are: -21% (-75%) for RCP2.6, - 10% (-50%) for RCP4.5 and  
310 + 14% (+69%) for RCP8.5 with a strong disparity between both hemispheres, e.g. - 8% in SH  
311 and - 25% in NH for RCP2.6 (Tables 3b-c). RCP8.5 is the only scenario to show an increase  
312 in global background O<sub>3</sub> levels by 2100 (+ 23% in SH and + 11% in NH).

313  
314 Under the RCP2.6 scenario, all models predict that tropospheric O<sub>3</sub> will strongly decrease  
315 worldwide, except in Equatorial Africa where higher O<sub>3</sub> levels are observed in GFDL-AM3,  
316 GISS-E2-R and MOCAGE. In CESM-CAM, GFDL-AM3 and MIROC-CHEM, a  
317 homogeneous decrease in O<sub>3</sub> burden is simulated worldwide while in GISS-E2-R, MOCAGE  
318 and UM-CAM, the strongest decrease in surface O<sub>3</sub> mean concentrations are found where  
319 high historical O<sub>3</sub> concentrations were reported. Under RCP4.5 scenario, the surface O<sub>3</sub> mean  
320 concentrations and AOT40 values are lower than historical runs worldwide for all models  
321 except in MOCAGE where deterioration is observed over Canada, Greenland and East Asia.  
322 For all models, the surface O<sub>3</sub> levels and AOT40 are higher for RCP8.5 as compared to  
323 historical runs and the highest increases occur in the Northwestern America, Greenland,  
324 Mediterranean basin, Near East and East Asia. The AOT40 values, exceeding 70 ppm.h, are  
325 found over the Tibetan plateau and in Near East and over Greenland. For RCP8.5, GFDL-  
326 AM3 is the most pessimistic model and MIROC-CHEM the most optimistic. By the end of  
327 the 21<sup>st</sup> century, similar patterns are evident for RCP4.5 compared to RCP2.6 and RCP4.5  
328 simulation is intermediate between RCP2.6 and RCP8.5 ones.

329  
330 For all models and RCPs, the O<sub>3</sub> hot-spots (mean concentrations > 50 ppb and AOT40 > 70  
331 ppm.h) are over Greenland and South Asia, in particular over the Tibetan plateau. The highest  
332 increases are observed in NH, in particular in Northwestern America, Greenland, Near East  
333 and South Asia (> 65 ppb). For the three RCPs, no significant change in tropospheric O<sub>3</sub> is  
334 observed in SH and the SH extratropics makes a small contribution to the overall change.

335  
336 A recent global study showed the geographical patterns of surface air temperature differences  
337 for late 21<sup>st</sup> century relative to the historical run (1986-2005) in all RCP scenarios (Nazarenko  
338 et al., 2015). The global warming in the RCP2.6 scenario is 2-3 times smaller than RCP4.5  
339 scenario and 4-5 times smaller than RCP8.5 scenario (Nazarenko et al., 2015). For the three  
340 RCPs, the greatest change is observed over the Arctic, above latitude 60°N, and in the latitude  
341 band 15-45°N (IPCC, 2014; Nazarenko et al., 2015). The least warming is simulated over the



342 large area of the Southern Ocean. For RCP8.5 scenario, the global pattern of surface O<sub>3</sub> levels  
343 and AOT40 (Fig. 1-2) is similar to surface air temperature increase distribution. For RCP8.5,  
344 significant increases in air temperature are simulated over latitude 60°N and over the Tibetan  
345 plateau (more than 5°C). An increase of 4-5°C over the Near East, East and South Asia, North  
346 and South Africa and Canada are simulated as well as + 1-3°C for the rest of the world  
347 (Nazarenko et al., 2015). The tropospheric warming is stronger in the latitude band 15-45°N  
348 (Seidel et al., 2008) and Hudson et al. (2006) have demonstrated that O<sub>3</sub> trends over a 24-year  
349 period in the NH are due to trends in the relative area of the tropics and mid-latitudes and  
350 Polar Regions. All models are able to reproduce the global pattern of air temperature changes  
351 distribution in agreement with surface O<sub>3</sub> concentrations changes.

352

353 The spread in precursor emissions (e.g. VOCs, NO<sub>x</sub>, CO) is due to the range of representation  
354 of biogenic emissions (NO<sub>x</sub> from soils and lightning, CO from oceans and vegetation) as well  
355 as the complexity of chemical schemes in particular for NMVOCs simulations (e.g. isoprene)  
356 from explicitly specified to fully interactive with climate. RCP2.6 scenario has the lowest O<sub>3</sub>  
357 precursor concentrations, and RCP8.5 has relatively low NO<sub>x</sub>, CO and VOCs emissions, but  
358 very high CH<sub>4</sub> (Table 2b). The global emissions of NO<sub>x</sub> (-44%), VOCs (-5%) CO (-40%) and  
359 CH<sub>4</sub> burden (-27%) decline, while LNO<sub>x</sub> increase by e.g. 7% under RCP2.6 (Table 2b). The  
360 CO (-32%) and NO<sub>x</sub> (-20%) emissions have decreased while LNO<sub>x</sub> (+33%), VOCS (+1%)  
361 and CH<sub>4</sub> burden have increased (+120%) under RCP8.5 scenario (Table 2b). The GISS-E2-R  
362 model shows a greater degree of variation than other models, with a stronger increase in CH<sub>4</sub>  
363 burden (+ 153%) and in VOCs emissions (+ 20%) for RCP8.5 (Table 2b).

364

365 Excluding CH<sub>4</sub> burden and VOCs emissions, all the RCPs include reductions and  
366 redistributions of O<sub>3</sub> precursor emissions throughout the 21<sup>st</sup> century, due to the air pollution  
367 control strategies worldwide. The changes in CH<sub>4</sub> burden are due to the different climate  
368 policies in model assumptions. In RCP2.6, CH<sub>4</sub> emissions decrease steadily throughout the  
369 century, in RCP4.5 it remain steady until 2050 and then decrease (Voulgarakis et al., 2013)  
370 and in RCP8.5 (no climate policy) it rapidly increase compared to 2000. Methane burdens are  
371 fixed in the models with no sources, except for the GISS-E2-R simulations in which surface  
372 CH<sub>4</sub> emissions are prescribed for future rather than concentrations (Shindell et al., 2012). The  
373 model chemical schemes vary greatly in their complexity, mainly due to the NMVOCs  
374 simulations (Young et al. 2013). Isoprene dominates the total NMVOCs emissions (Guenther  
375 et al., 1995). Inversely to other models with constant present-day isoprene emissions, the



376 GISS-ES2-R simulations incorporate climate-driven isoprene emissions, with greater BVOC  
377 emissions by 2100 and a positive change in total VOCs emissions across RCPs, related to the  
378 positive correlation between air temperature and isoprene emission (e.g. Guenther et al., 2006;  
379 Arneth et al., 2011; Young et al., 2013).

380

381 For RCP2.6 and RCP4.5 scenarios, there is a widespread decrease in O<sub>3</sub> in NH by 2100. The  
382 overall decrease in O<sub>3</sub> concentration and AOT40 means for RCP4.5 are about half of that  
383 between RCP2.6 and the historical simulation. For both scenarios, the changes are dominated  
384 by the decrease in O<sub>3</sub> precursor emissions in the NH extratropics compared to historical  
385 simulations (Table 2b). In NO<sub>x</sub> saturated areas, annual mean O<sub>3</sub> will slightly increase as a  
386 result of a less efficient titration by NO, but the overall O<sub>3</sub> burden will decrease substantially  
387 at hemispheric scale over time (Gao et al., 2013; Querol et al., 2014; Sicard et al., 2016a). In  
388 RCP4.5, Gao et al. (2013) showed that the largest decrease in O<sub>3</sub> (4-10 ppb) occurs in summer  
389 at mid-latitudes in the lower troposphere while the O<sub>3</sub> concentrations undergo an increase in  
390 winter. During the warm period, the photochemistry plays a major role in the O<sub>3</sub> production,  
391 suggesting that the reduction in surface O<sub>3</sub> concentrations is in agreement with the large  
392 reduction in anthropogenic O<sub>3</sub> precursor emissions (Sicard et al., 2016a) reducing the extent  
393 of regional photochemical O<sub>3</sub> formation (e.g. Derwent et al., 2013; Simpson et al., 2014).  
394 Titration effect was also reported by Collette et al. (2012) over Europe by using six chemistry  
395 transport models.

396

397 The O<sub>3</sub> increase can be also driven by the net impacts of climate change, i.e. increase in  
398 stratospheric O<sub>3</sub> intrusion, changing LNO<sub>x</sub> and impacting reaction rates, through sea surface  
399 temperatures and relative humidity changes (Lau et al., 2006; Voulgarakis et al., 2013; Young  
400 et al., 2013).

401

402 Under the RCP8.5 scenario, the increase in surface O<sub>3</sub> concentrations, by 14% on average, can  
403 be attributed to the higher CH<sub>4</sub> emissions coupled with a strong global warming, exceeding  
404 2°C, and a weakened NO titration by reducing NO<sub>x</sub> emissions (Stevenson et al., 2013; Young  
405 et al., 2013). The global CH<sub>4</sub> burden are 27% and 5% lower than 2000, for the RCP2.6 and  
406 RCP4.5 scenarios respectively while for RCP8.5, the total CH<sub>4</sub> burden has more than doubled  
407 compared to early 2000s and LNO<sub>x</sub> emissions increased by 33% (Table 2b). In addition,  
408 stronger increases are found over the high-elevation Himalayan Plateau reflecting increased  
409 exchange with the free troposphere or stratosphere (Lefohn et al., 2012; Schnell et al., 2016).



410 Several studies reported an increase in the stratospheric O<sub>3</sub> influx and higher stratospheric O<sub>3</sub>  
411 levels in response to a warming climate (e.g. Hegglin and Shepherd, 2009; Zeng et al., 2010).  
412 The downwards O<sub>3</sub> transport from the stratosphere is an important source of tropospheric O<sub>3</sub>  
413 (Hsu and Prather, 2009; Tang et al., 2011), therefore, stratospheric O<sub>3</sub> recovery also plays a  
414 partial role (e.g. + 11% for RCP8.5) in surface O<sub>3</sub> burden pattern. As an example, in  
415 MOCAGE, smaller reduction in global O<sub>3</sub> mean concentrations (-13%) and higher increase in  
416 stratospheric O<sub>3</sub> inputs (+20%) are observed for RCP2.6 (Table 3b). Similarly, for RCP8.5,  
417 the highest increase in O<sub>3</sub> mean concentrations (+23%) and stratospheric O<sub>3</sub> (+24%) are  
418 recorded in MOCAGE. In addition, lightning NO<sub>x</sub> emissions show significant upward trend  
419 from 2000 to 2100, in particular for the strongest warming scenario (RCP8.5) with greater  
420 convective and lightning activity (e.g. Williams, 2009; Lamarque et al., 2013). For RCP8.5, a  
421 reduction in surface O<sub>3</sub> concentrations is also simulated over the equatorial region, where the  
422 increased relative humidity, in a warmer climate, increases the O<sub>3</sub> loss rate (e.g. Johnson et  
423 al., 1999; Zeng and Pyle, 2003).

424

#### 425 **Risk areas for vegetation under RCP scenarios**

426

427 Figure 3 shows the changes in the potential O<sub>3</sub> injury between present and future. It should be  
428 noted that a zero percentage of change (i.e. no change) for IO<sub>3</sub>, is simulated in sparsely  
429 vegetated regions (e.g. Gobi, Sahara, Near East, Western plateau and Greenland), while the  
430 change can be higher than 100% when the historical O<sub>3</sub> concentrations are lower than 40 ppb  
431 (i.e. AOT<sub>40</sub> = 0 and IO<sub>3</sub> = 0) and the O<sub>3</sub> concentrations exceed 40 ppb under RCPs (i.e.  
432 AOT<sub>40</sub> > 0, IO<sub>3</sub> > 0).

433

434 The potential O<sub>3</sub> impact for vegetation strongly decreases in NH for RCP2.6, except in  
435 MOCAGE where a slight increase in the risk factor (+ 15 %) is simulated at high latitudes and  
436 in South Asia. Conversely, the areas where the risk for vegetation increases (> 60 %) occur  
437 over Africa (+ 15% to + 60%) for all models, except in CESM-CAM where no change is  
438 observed across Africa. Under RCP4.5 scenario, the strongest increase in potential risk for  
439 vegetation (> + 60 %) is simulated by MOCAGE, markedly different from the other models,  
440 above the latitude 50°N. For all models, the potential O<sub>3</sub> impact for vegetation increases  
441 across Africa, from - 15% to + 60% while slight decreases or no change occur worldwide.  
442 Under RCP8.5 scenario, an increase of average O<sub>3</sub> over a significant part of the domain is  
443 simulated, therefore the exposure to O<sub>3</sub> pollution and impacts on vegetation will increase  
444 worldwide by 2100. An increase of the O<sub>3</sub> impacts on vegetation is simulated in Northern



445 U.S., South America, Asia and Africa while a reduction in particular over Eastern U.S. and  
446 Southeastern China, and a slight increase (+ 15%) or decrease (- 15%) over Europe depending  
447 on the model, are simulated.

448 In summary, compared to the historical simulations, the averaged relative changes in the O<sub>3</sub>  
449 risk factor for the different RCPs are: - 61% for RCP2.6, - 47% for RCP4.5 and + 70% for  
450 RCP8.5 (Table 3d). We thus find a significant reduction in risk for vegetation for both  
451 RCP2.6 and RCP4.5 scenarios, except in South Africa and at high-latitudes in MOCAGE  
452 simulations, and a strong increase in global risk under RCP8.5. Under RCP2.6 and RCP4.5  
453 scenarios, IO<sub>3</sub> slightly increases in Africa and over North America and Asia (> latitude 60°N)  
454 in MOCAGE. The risk increases over the few areas where the O<sub>3</sub> concentrations increased  
455 between the historical period and 2100. Under both scenarios, the strongest reductions in risk  
456 are observed over Amazon, Central Africa and South Asia, i.e. where the O<sub>3</sub> concentrations  
457 have strongly declined between historical period and 2100. Under the RCP8.5, the areas  
458 where the highest projected O<sub>3</sub> mean concentrations are simulated (e.g. Greenland, deserts)  
459 are not associated to an increase in IO<sub>3</sub> due to the absence of vegetation. Under RCP8.5, IO<sub>3</sub>  
460 increases worldwide while a reduction is simulated over Southeast North America, northern  
461 Amazon, Central Africa and Southeast Asia, and a slighter reduction or a slight increase is  
462 simulated over Western Europe (depending on the model).

463

464 The spatial pattern of IO<sub>3</sub> is consistent with previous analyses on climate change and O<sub>3</sub>  
465 impacts on vegetation (e.g. Nemani et al., 2003; Zhu et al., 2016), i.e. the highest reduction in  
466 risk for vegetation, in particular under RCP8.5, occurs over areas where a strong increase in  
467 greening, LAI and NPP is observed due to global change and where a reduction in O<sub>3</sub> mean  
468 concentrations is found by 2100 (Fig. 1). The regions with the largest greening trends are in  
469 Southeast North America, northern Amazon, Europe, Central Africa and Southeast Asia with  
470 an average increase of the observed LAI exceeding 0.25 m<sup>2</sup> m<sup>-2</sup> per year (Zhu et al., 2016).  
471 The CO<sub>2</sub> fertilization effects (70%), nitrogen deposition (9%) and climate change (8%)  
472 explain the observed greening trend (Zhu et al., 2016). The changing climate alone produces  
473 persistent NPP increases and the regions with the highest increase in NPP, ranging from 1.0-  
474 1.5% per year, are in Southeast North America, northern Amazon, Western Europe, Central  
475 Africa and South Asia (Nemani et al., 2003). NPP increased by 6% globally between 1982  
476 and 1999 and the highest increases are observed in tropical regions, with more than 1.5% per  
477 year over Amazon rainforest which accounts for 42% of the global NPP increase (Nemani et



478 al., 2003). Amazon rainforest is one region where the effects are statistically significant. This  
479 is particularly important owing to the role of the Amazon rainforests in the global carbon  
480 cycle (Zhu et al., 2016). In these areas, the increasing effect of a warming climate on forests  
481 (e.g. increase of greening, LAI) is higher than the reduction in GPP due to O<sub>3</sub>. Inversely, the  
482 risk for vegetation increases in particular in Africa, e.g. western Africa along the Gulf of  
483 Guinea, in South Brazil and over high-latitudes regions (> 60°N) in North America and Asia  
484 where a reduction or a slight increase in LAI (from - 0.05 to + 0.03 m<sup>2</sup> m<sup>-2</sup> per year) and  
485 strong decreases, by 1.0-1.5% per year, in NPP are simulated (Nemani et al., 2003; Zhu et al.,  
486 2016).

487

488 Our results are not in agreement with the high GPP reduction, due to O<sub>3</sub> effects, simulated by  
489 Sitch et al. (2007) between 1901 and 2100, with a projected GPP reduction exceeding 30%  
490 over Western Europe, eastern and western North America, Amazon, central Africa and East  
491 Asia where higher surface O<sub>3</sub> mean concentrations were projected. Previous studies reported  
492 that the reductions in GPP simulated by Sitch et al. (2007) are overestimated up to six times  
493 (Ren et al., 2011; Zak et al., 2011; Kvaleveg and Myhre 2013), mainly due to the lack of  
494 empirical data about the response of different species to O<sub>3</sub>, the fact that a few experiments  
495 have shown no response, e.g. grasslands (Bassin et al., 2013), and the non-inclusion of the  
496 nitrogen limitation of growth (Kvaleveg and Myhre, 2013).

497

498 The projected land covers widely vary under RCPs (Betts et al., 2015). In RCP2.6 scenario,  
499 the ground surface covered by croplands increases as a result of bio-energy production, with a  
500 more-or-less constant use of grassland. The RCP4.5 scenario focuses on global reforestation  
501 programs as part of global climate policy, as a result, the use of cropland and grassland  
502 decreases. Under RCP8.5, an increase in croplands and grasslands is applied mostly driven by  
503 an increasing global population (van Vuuren et al., 2011). Generally, the risk for vegetation  
504 strongly increases over shrublands (e.g. high-latitude region, Australia, South Africa) and  
505 savannas (e.g. South Brazil, Africa) and the risk decreases over forests, strongly over  
506 evergreen broadleaf forest and deciduous woodland over Africa and Amazon rainforests, and  
507 slighter over needleleaf forests in Northern America (Canada) and Northern Asia. The risk  
508 slightly decreases or slightly increases over grasslands (Central Asia and central Africa and  
509 U.S.). The largest decreases (50-80%) under RCP8.5 occur in Eastern U.S., Europe and  
510 Southeastern China, where the ground is mainly dominated by croplands, in all models except  
511 CESM-CAM.



## 512 **Conclusions**

513 From six global atmospheric chemistry transport models, we illustrate the changes, i.e.  
514 differences for late 21<sup>st</sup> century relative to the historical run, in ground-level O<sub>3</sub>  
515 concentrations and vegetation impact metric (AOT40). *In fine*, the potential O<sub>3</sub> impacts on  
516 vegetation worldwide are investigated to define potential risk areas for vegetation at global  
517 scale by 2100.

518

519 The six models are able to well reproduce the spatial pattern of historical O<sub>3</sub> concentration  
520 and AOT40 at global scale, in particular GISS-E2-R and MOCAGE are able to simulate the  
521 higher O<sub>3</sub> levels in areas downwind of precursor sources and at the high-elevation areas. The  
522 model outputs emphasize the strong asymmetry in the tropospheric O<sub>3</sub> distribution between  
523 NH and SH; substantially higher O<sub>3</sub> mean concentrations are observed in the NH (ca. 38 ppb),  
524 particularly in the latitude band 15-45°N, than in the SH (ca. 23 ppb). The natural emissions  
525 of O<sub>3</sub> precursors (e.g. lightning NO<sub>x</sub>, CO from oceans, isoprene) as well as the complexity of  
526 chemical schemes are significant sources of model-to-model differences.

527

528 In this study, the projected mean tropospheric O<sub>3</sub> concentrations and AOT40 dependent on  
529 global and regional emission pathways. Compared to early 2000s, the results suggest changes  
530 in surface O<sub>3</sub> of  $-9.5 \pm 2.0$  ppb (NH) and  $-1.8 \pm 2.1$  ppb (SH) in the cleaner RCP2.6 scenario  
531 and of  $+4.4 \pm 2.8$  ppb (NH) and  $+5.1 \pm 2.1$  ppb (SH) in RCP8.5 scenario. For RCP2.6 and  
532 RCP4.5, absolute decreases are observed for the Mediterranean basin and the Western U.S.  
533 due to less precursor emissions in the NH extratropics (e.g. reduction of 5-7 ppb over  
534 Europe). Smaller reduction in surface O<sub>3</sub> levels in South and East Asia highlight the smaller  
535 changes in O<sub>3</sub> precursor emissions due to the recent emission growth in this region (e.g.  
536 Zhang et al., 2009; Xing et al., 2015). For RCP8.5, all models show climate-driven increases  
537 in ground-level O<sub>3</sub> in particular over the Western U.S, Greenland, South Asia and Northeast  
538 China. The changes in surface O<sub>3</sub> over North America and Europe ranged from + 1-5 ppb  
539 under RCP8.5. South Asia sees the greatest increase, up to more than 10 ppb for RCP 8.5. The  
540 O<sub>3</sub> increase can be attributed to substantial increase in CH<sub>4</sub> emissions coupled with a strong  
541 global warming, exceeding 2°C, and a weakened NO titration and a greater stratospheric O<sub>3</sub>  
542 influx (Kawase et al., 2011; Wild et al., 2012; Young et al., 2013). A decline in CH<sub>4</sub>  
543 emissions will undoubtedly benefit future O<sub>3</sub> control.

544





545 The current surface O<sub>3</sub> levels (35-50 ppb in NH) are high enough to damage both forests and  
546 crops. About 50% of forests, grasslands and croplands might be exposed to high O<sub>3</sub> levels by  
547 the end of the 21<sup>st</sup> century (Sitch et al., 2007; Wittig et al. 2009). Most important results from  
548 the study are the significant overrun of exposure metric (AOT40) in comparison with the  
549 AOT40-based critical level for the protection of forests (5 ppm.h) and crops (3 ppm.h). The  
550 global models suggest that exposure-based critical levels will be exceeded over many areas of  
551 the NH, and in parts of North America, East and South Asia they may be exceeded by a factor  
552 exceeding 10 under RCP8.5. The critical level were defined for boreal and temperate  
553 deciduous tree species, i.e. more consistent for regions in the latitude band 35-60°N. To  
554 protect vegetation, the current AOT40 index appears inadequate for a realistic quantification  
555 of O<sub>3</sub> impacts on vegetation (Paoletti and Manning, 2007; Mills et al., 2011; De Marco et al.,  
556 2015; Sicard et al., 2016b,c). As a result, in the last decade, the United Nations Convention on  
557 Long-Range Transboundary Air Pollution (CLRTAP) has introduced the flux-based metric  
558 for vegetation protection against effects of O<sub>3</sub>, taking into account the modifying effects of  
559 multiple climatic and phenological factors on O<sub>3</sub> uptake (Paoletti and Manning, 2007; Sicard  
560 et al., 2016b,c).

561 Ozone may be a major threat to biodiversity over large regions of the world (Sicard et al.,  
562 2016b), however the size of these areas remains uncertain. The potential O<sub>3</sub> impact on  
563 assimilation, IO<sub>3</sub>, provides a clear indicator of the potential risk to vegetation. The risk for  
564 vegetation decreases by about 61% and 47% under RCP2.6 and RCP4.5, respectively and  
565 increases by 70% under RCP8.5, compared to early 2000s over the whole domain by 2100  
566 and that the potential risk areas for vegetation vary worldwide according to the dominant  
567 vegetation cover. The strongest increase of the O<sub>3</sub> impacts on vegetation is simulated in  
568 Northern America and Asia and central Africa. The highest reduction in risk for vegetation  
569 (i.e. Southeast North America, the northern Amazon, Central Africa and Southeast Asia)  
570 occurs over areas where a strong increase in greening, LAI and NPP is observed and where a  
571 reduction in O<sub>3</sub> mean concentrations is found by 2100.

572

573 Trees possess a defence capacity, e.g. through antioxidant activity and a capacity of repairing  
574 injured tissues (Paoletti, 2007). The short-term response to O<sub>3</sub> is a reduction in productivity of  
575 crops and forests and long-term changes in community composition could be observed due to  
576 species-specific O<sub>3</sub>-sensitivity (Wittig et al., 2009). Generally, deciduous woodland are highly  
577 O<sub>3</sub>-sensitive risk areas, grasslands and needleleaf forests are moderately O<sub>3</sub>-sensitive risk



578 areas while the lower risk areas include evergreen broadleaf forests . However, crops are more  
579 sensitive to O<sub>3</sub> exposure than trees and deciduous trees are more sensitive than coniferous  
580 trees with lower stomatal conductance (Felzer et al., 2004; Ren et al., 2007; Wittig et al. 2009;  
581 Anav et al., 2011). To efficiently protect vegetation against O<sub>3</sub> pollution, suitable standards  
582 taking into account the detoxification processes (e.g. flux-based metric) are urgently needed.

583

584 As the vegetation atmosphere feedbacks are still under investigated, e.g. impacts of changes  
585 of vegetation on air chemistry, we recommend the use of improved chemistry-climate  
586 modelling system, fully coupled with dynamic vegetation models, to perform high resolution  
587 simulations and to better evaluate the regional exposure of ecosystems to air pollution.

588

589 The risk reduction is possible through climate-change mitigation, e.g. reductions in air  
590 pollution, and adaptation actions. An efficient reduction in overall O<sub>3</sub> levels is expected over  
591 North America and Europe in all RCP scenarios and worldwide if CH<sub>4</sub> emissions are reduced  
592 (e.g. Kirtman et al., 2013; Pfister et al., 2014; Schnell et al., 2016). However, the increasing  
593 effect of a warming climate on surface O<sub>3</sub> concentrations is higher than the reduction  
594 achieved by the decline in O<sub>3</sub> precursor emissions (Revell et al., 2015; Hendriks et al., 2016),  
595 therefore, climate change and the measures and policies in e.g. Asia will need to be factored  
596 into future O<sub>3</sub> policies (Wilson et al., 2012; Lefohn and Cooper, 2015). Many ecosystems  
597 worldwide are unprotected from O<sub>3</sub> due to the lack of international efforts (Emberson et al.,  
598 2014). To be efficient, the mitigation actions for O<sub>3</sub> impacts on biodiversity must be as part of  
599 international emission reduction programmes.

600

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607

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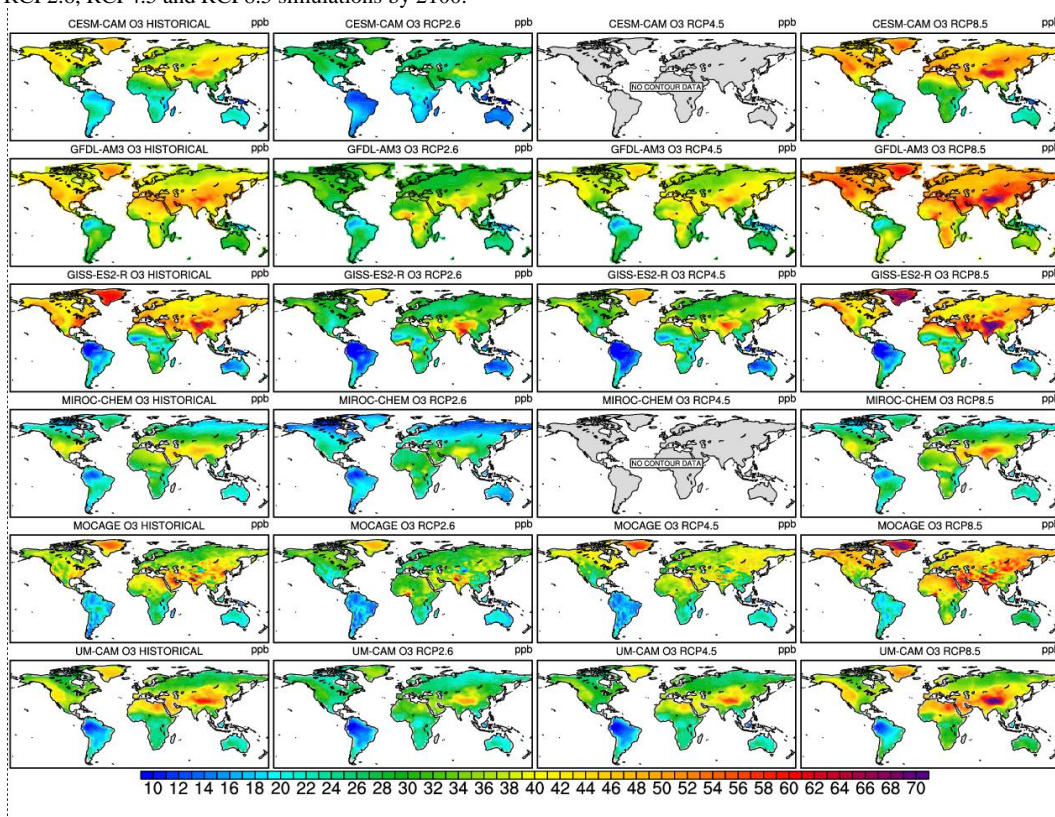
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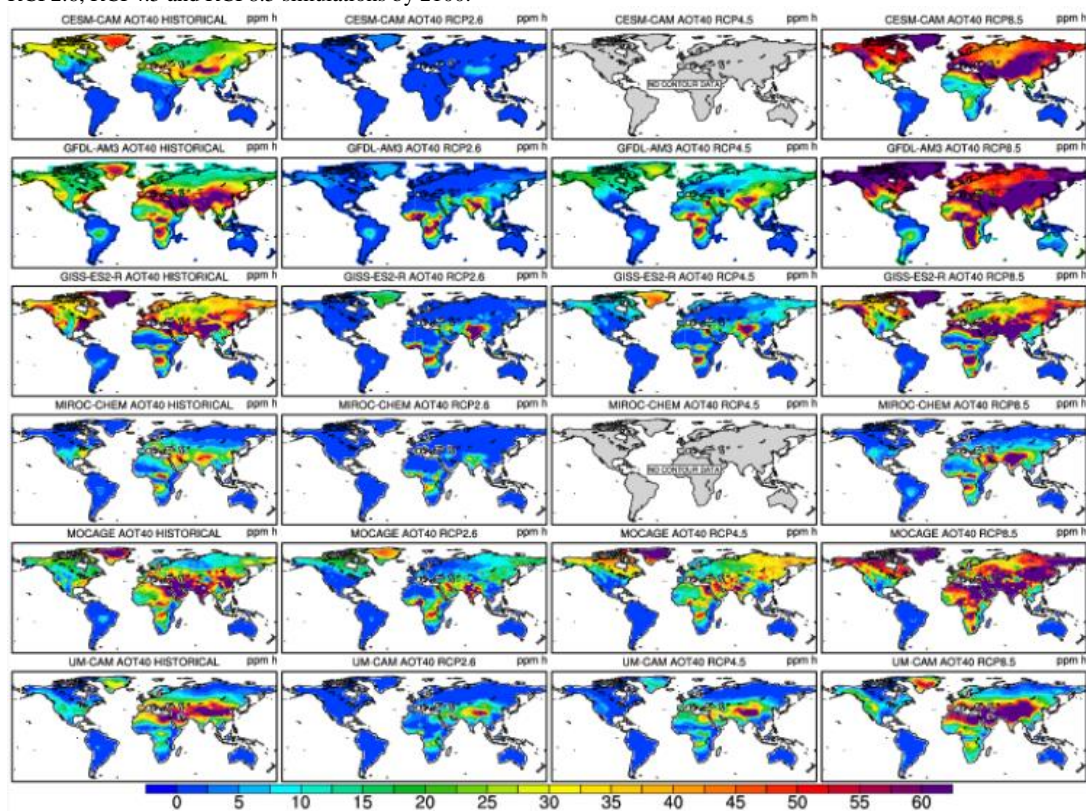
1009 **Figure 1:** Surface ozone mean concentrations (in ppb) at the lower model layer for each ACCMIP model for the historical run and  
1010 for RCP2.6, RCP4.5 and RCP8.5 simulations by 2100.



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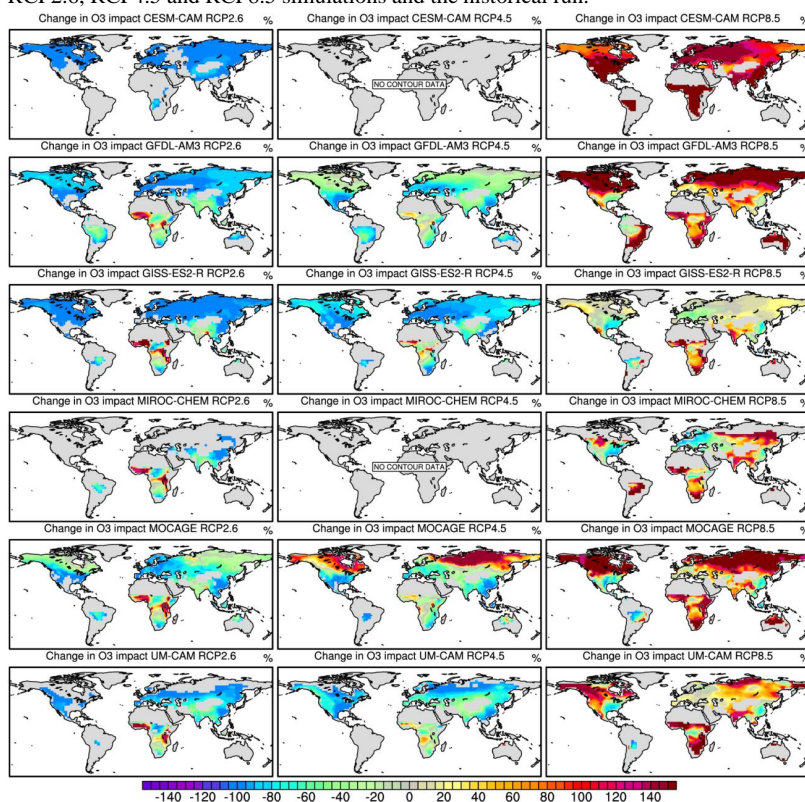
1012 **Figure 2:** Surface AOT40 means (in ppm.h) at the lower model layer for each ACCMIP model for the historical run and for  
1013 RCP2.6, RCP4.5 and RCP8.5 simulations by 2100.



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1015 **Figure 3:** Simulated percentage changes (%) in the potential ozone impact on vegetation (IO3) for each ACCMIP model between  
1016 RCP2.6, RCP4.5 and RCP8.5 simulations and the historical run.



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1018 **Table 1:** Characteristics of the models, including simulation time slice, spatial resolution, simulated gas species and associated  
 1019 bibliographic references (from Lamarque et al., 2013 and Young et al. 2013). Black carbon (BC), Organic carbon (OC), Secondary  
 1020 Organic Aerosols (SOA), Dimethylsulfide (DMS), Chemistry Climate Model (CCM), Chemistry Transport Model (CTM),  
 1021 Chemistry-General Circulation Model (CGCM).  
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Models	Type	Simulation length	Resolution (lat/lon)	Number of vertical pressure levels & top level	Species simulated	References
CESM-CAM	CCM	2000-2009 and 2100-2109	1.875/2.5	26 levels 3.5 hPa	<b>16 gas species;</b> constant present-day isoprene, soil NOx, DMS and volcanic sulfur, oceanic CO.	Lamarque et al., 2012
GFDL-AM3	CCM	2001-2010 and 2101-2110	2.0/2.5	48 levels 0.017 hPa	<b>81 gas species;</b> SOx, BC, OC, SOA, NH <sub>3</sub> , NO <sub>2</sub> ; constant pre-industrial soil NOx; constant present-day soil and oceanic CO, and biogenic VOC; climate-sensitive dust, sea salt, and DMS.	Donner et al., 2011 Naik et al., 2012
GISS-E2-R	CCM	2000-2004 and 2101-2105	2.0/2.5	40 levels 0.14 hPa	<b>51 gas species;</b> interactive sulfate, BC, OC, sea salt, dust, NO <sub>2</sub> , SOA, alkenes; constant present-day soil NOx; climate-sensitive dust, sea salt, and DMS; climate-sensitive isoprene based on present-day vegetation.	Lee and Adams, 2011 Shindell et al., 2012
MIROC-CHEM	CCM	2000-2010 and 2100-2104	2.8/2.8	80 levels 0.003 hPa	<b>58 gas species;</b> SO <sub>2</sub> , BC, OC; constant present-day VOCs, soil-NOx, oceanic-CO; climate-sensitive dust, sea salt and DMS.	Watanabe et al., 2011
MOCAGE	CTM	2000-2003 and 2100-2103	2.0/2.0	47 levels 6.9 hPa	<b>110 gas species;</b> constant present-day isoprene, other VOCs, oceanic CO and soil NOx.	Josse et al., 2004 Krinner et al., 2005 Teyssèdre et al., 2007
UM-CAM	CGCM	2000-2005 and 2094-2099	2.50/3.75	19 levels 4.6 hPa	<b>60 gas species;</b> constant present-day biogenic isoprene, soil NOx, biogenic and oceanic CO.	Zeng et al., 2008, 2010

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1024 **Table 2a:** Annual total emissions of CO (Tg CO/year), NMVOCs (Tg C/year), NO<sub>x</sub> (Tg N/year, including lightning and soil NO<sub>x</sub>),  
 1025 total lightning NO<sub>x</sub> emissions (LNO<sub>x</sub>) and global atmospheric methane (CH<sub>4</sub>) burden (Tg) for the historical simulations in each  
 1026 model (from Young et al., 2013 and \* from Voulgarakis et al., 2013).

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Models	Historical				
	CO	*CH <sub>4</sub>	NMVOCs	NO <sub>x</sub>	*LNO <sub>x</sub>
CESM-CAM	1248	4902	429	50.0	4.2
GFDL-AM3	1246	4809	830	46.2	4.4
GISS-E2-R	1070	4793	830	48.6	7.7
MIROC-CHEM	1064	4805	833	57.3	9.7
MOCAGE	1168	4678	1059	47.9	5.2
UM-CAM	1148	4879	535	49.2	5.1

1038 **Table 2b:** Simulated percentage (%) changes in total emissions of CO, NMVOCs, NO<sub>x</sub> (including lightning and soil NO<sub>x</sub>), total  
 1039 lightning NO<sub>x</sub> emissions (LNO<sub>x</sub>) and global atmospheric CH<sub>4</sub> burden for each model between 2100 and historical simulation for  
 1040 RCPs (from Young et al., 2013 and \*Voulgarakis et al., 2013). The last row shows means and standard deviations (SD). Missing or  
 1041 not available data are identified (n.a).  
 1042

Models	RCP2.6 scenario					RCP4.5 scenario					RCP8.5 scenario				
	CO	*CH <sub>4</sub>	VOCs	NO <sub>x</sub>	*LNO <sub>x</sub>	CO	*CH <sub>4</sub>	VOCs	NO <sub>x</sub>	*LNO <sub>x</sub>	CO	*CH <sub>4</sub>	VOCs	NO <sub>x</sub>	*LNO <sub>x</sub>
CESM-CAM	-36.7	-27.1	0	-52.8	+7.1	n.a	n.a	n.a	n.a	n.a	-30.1	+112.1	0	-33.0	+29.7
GFDL-AM3	-36.9	-27.9	-5.0	-47.0	+12.6	-47.4	-9.3	-3.6	-41.5	+23.5	-30.3	+116.1	-1.9	-22.4	+38.2
GISS-E2-R	-42.8	-21.0	+0.5	-44.2	+3.8	-54.9	+4.6	+6.9	-39.2	+12.2	-35.1	+152.7	+19.8	-20.0	+26.2
MIROC-CHEM	-43.1	-28.2	-7.1	-36.0	+7.5	n.a	n.a	n.a	n.a	n.a	-35.4	+116.0	-3.4	-6.9	+38.0
MOCAGE	-39.4	-28.8	-6.5	-45.7	+5.2	n.a	n.a	n.a	n.a	n.a	-32.3	+113.4	-2.8	-22.9	+19.9
UM-CAM	-39.0	-27.9	-11.3	-40.6	+8.1	-50.4	-8.7	-9.2	-36.0	+17.5	-32.0	+112.1	-4.2	-17.2	+43.6
Mean ± SD	-39.7 ± 2.2	-26.8 ± 3.7	-4.9 ± 4.9	-44.4 ± 4.3	+7.4 ± 2.0	-50.9 ± 3.2	-4.5 ± 9.4	-2.0 ± 11.4	-38.9 ± 2.3	+17.7 ± 3.7	-32.5 ± 1.8	+120.4 ± 19.5	+1.3 ± 11.6	-20.4 ± 7.0	+32.6 ± 10.8

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1045 **Table 3a:** Global and hemispheric (averaged over the domain) surface ozone mean concentrations (in ppb) and AOT40 means (in  
 1046 ppm.h) for the historical simulations in each model (North and South Hemisphere, i.e NH and SH). The last row shows means and  
 1047 standard deviations (SD).  
 1048

Models	Ozone conc. global	Ozone conc. SH	Ozone conc. NH	AOT40 global	AOT40 SH	AOT40 NH
CESM-CAM	31.3	20.9	36.4	12.8	0.2	18.9
GFDL-AM3	38.6	30.6	42.9	21.8	4.7	30.8
GISS-E2-R	35.8	22.3	42.3	26.0	3.6	36.8
MIROC-CHEM	27.9	20.4	31.4	7.3	1.9	9.8
MOCAGE	32.9	21.5	38.3	22.9	3.5	31.8
UM-CAM	31.3	21.4	36.0	14.4	1.3	20.6
Mean ± SD	33.0 ± 3.8	22.9 ± 3.8	37.9 ± 4.3	17.5 ± 7.2	2.5 ± 1.7	24.8 ± 10.1

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**Table 3b:** Simulated percentage (%) changes in global and hemispheric surface ozone mean concentrations and in global mean  
 stratospheric ozone column (\* from Voulgarakis et al., 2013) for each model between 2100 and historical simulation for RCPs  
 (North and South Hemisphere, i.e NH and SH). The last row shows means and standard deviations (SD). Missing or not available  
 data are identified (n.a).

Models	Surface ozone mean concentrations									* Stratospheric ozone		
	RCP2.6 global	RCP2.6 SH	RCP2.6 NH	RCP4.5 global	RCP4.5 SH	RCP4.5 NH	RCP8.5 global	RCP8.5 SH	RCP8.5 NH	RCP2.6 global	RCP4.5 global	RCP8.5 global
CESM-CAM	-29.1	-20.6	-31.3	n.a	n.a	n.a	+21.9	+22.5	+20.5	n.a	n.a	+5.3
GFDL-AM3	-20.5	-10.8	-24.5	-11.7	-6.9	-13.5	+15.5	+18.6	+14.5	+3.3	+3.9	+8.4
GISS-E2-R	-23.5	-5.8	-27.9	-20.4	-6.3	-23.9	+7.0	+19.3	+3.8	+8.0	+8.8	+15.1
MIROC-CHEM	-23.3	-12.3	-26.8	n.a	n.a	n.a	+3.9	+10.3	+2.2	+2.6	n.a	+4.2
MOCAGE	-12.8	+7.4	-18.5	-1.8	+17.7	-7.0	+23.1	+40.4	+16.7	+19.9	n.a	+23.6
UM-CAM	-17.3	-4.7	-21.1	-8.3	+0.9	-10.8	+14.4	+24.3	+11.4	+6.7	+6.9	+7.4
Mean± SD	-21.1 ± 5.6	-7.8 ± 9.4	-25.0 ± 4.7	-10.5 ± 7.7	+1.4 ± 11.5	-13.8 ± 7.2	+13.8 ± 7.1	+22.6 ± 10.0	+11.5 ± 7.3	+8.1 ± 7.0	+6.5 ± 2.5	+10.7 ± 7.4

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1059 **Table 3c:** Simulated percentage (%) changes in global and hemispheric AOT40 means for each model between 2100 and historical  
 1060 simulation for RCPs (North and South Hemisphere, i.e NH and SH). Missing or not available data are identified (n.a).  
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Models	AOT40								
	RCP2.6 global	RCP2.6 SH	RCP2.6 NH	RCP4.5 global	RCP4.5 SH	RCP4.5 NH	RCP8.5 global	RCP8.5 SH	RCP8.5 NH
CESM-CAM	- 96.9	- 99.9	- 96.8	n.a	n.a	n.a	+ 138.3	+ 150.0	+ 134.9
GFDL-AM3	- 75.2	- 25.5	- 78.9	- 53.2	- 36.2	- 54.5	+ 96.3	+ 242.5	+ 85.1
GISS-E2-R	- 78.1	- 13.9	- 81.2	- 75.0	- 27.8	- 77.2	+ 22.3	+ 83.3	+ 19.5
MIROC-CHEM	- 74.0	- 10.5	- 80.6	n.a	n.a	n.a	+ 20.5	+ 78.9	+ 16.3
MOCAGE	- 53.7	+ 68.6	- 59.7	- 17.5	+ 202.9	- 28.3	+ 85.1	+ 448.6	+ 67.0
UM-CAM	- 73.6	+ 92.3	- 76.7	- 52.8	+ 7.7	- 54.8	+ 49.3	+ 176.9	+ 45.1
Mean ± SD	- 75.2 ± 13.7	+ 1.9 ± 69.5	- 79.0 ± 11.8	- 49.6 ± 23.8	+ 36.6 ± 112.4	- 53.7 ± 20.0	+ 68.6 ± 46.3	+ 196.7 ± 137.7	+ 61.3 ± 44.8

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1064 **Table 3d:** Simulated percentage (%) changes in potential O<sub>3</sub> impact on vegetation (IO3) for each model between 2100 and historical  
 1065 simulation for RCPs (North and South Hemisphere, i.e NH and SH). Missing or not available data are identified (n.a).  
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Models	Risk factor IO3								
	RCP2.6 global	RCP2.6 SH	RCP2.6 NH	RCP4.5 global	RCP4.5 SH	RCP4.5 NH	RCP8.5 global	RCP8.5 SH	RCP8.5 NH
CESM-CAM	- 97.2	- 91.8	- 97.5	n.a	n.a	n.a	+ 129.6	+ 146.8	+ 127.5
GFDL-AM3	- 69.4	- 49.1	- 74.8	- 50.1	- 61.1	- 47.2	+ 91.9	+ 95.5	+ 90.4
GISS-E2-R	- 66.1	- 20.7	- 74.3	- 71.7	- 53.3	- 74.6	+ 21.5	+ 56.6	+ 14.2
MIROC-CHEM	- 41.4	- 18.9	- 51.9	n.a	n.a	n.a	+ 41.0	+ 103.8	+ 25.5
MOCAGE	- 46.6	- 22.8	- 51.4	- 7.0	- 38.0	- 1.0	+ 77.7	+ 68.2	+ 80.0
UM-CAM	- 45.8	- 9.2	- 71.3	- 59.5	+ 2.0	- 69.0	+ 61.3	+ 84.2	+ 56.0
Mean ± SD	- 61.1 ± 21.1	- 35.5 ± 30.7	- 70.2 ± 17.2	- 47.1 ± 28.1	- 37.6 ± 28.1	- 47.9 ± 33.4	+ 70.5 ± 38.4	+ 92.5 ± 31.7	+ 65.6 ± 42.4

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