

Dear Reviewer,

Thank you for giving us the opportunity of a reply. We hope that we have satisfactorily addressed all queries.

Best regards

Pierre Sicard

## **Anonymous Referee #2**

*The specific methodological queries have largely been addressed, although in the revised text the authors have now introduced new lack of clarity in how they assigned particular IO3 values to each model grid square.*

*In L160 it is stated that vegetation was grouped into the three categories of 'conifer', 'crops' and 'deciduous trees.' What are tropical rain forests classified as? What are grasslands and dry shrub classified as? These don't seem to map readily onto the three specified vegetation classifications.*

**R** - In this study, we have considered tropical forests and shrubs as deciduous trees while grassland was classified as cropland, similar to Sitch et al. (2007), as we have clarified this point in the text.

Even, Dynamic Global Vegetation Models make use of plant functional type rather than complex and specific vegetation to simulate shifts in potential vegetation as a response to shifts in climate. In Sitch et al (2007), data from field observation were used to calibrate plant-ozone effects for the five plant functional types described by MOSES land-surface scheme (Broadleaf trees, Needleleaf trees, C3 Grass, C4 Grass & Shrub).

*I am also not clear how a % change in IO3 can be derived if the AOT40 value in a particular grid square was initially zero (L425). An IO3 value may become non-zero in a new scenario, where previously it was zero, but it is not possible to assign a % change to a change from zero.*

**R** – The percentage of change is computed as following:  $[(RCPx - hist) / hist] * 100$

Clearly if the AOT40 during the historical period is 0 then the percentage of change is undefined and therefore we have considered and set these grid points as missing values, as we will now state in the text. For example, readers can see there is no color in Figure 3 for the CESM-CAM model in South America (Venezuela coast) because we have an abrupt change in AOT40 between the historical run (AOT40 was 0) and RCP8.5 projections (Figure 2).

*Whilst the authors may have presented a lot of careful and numerically-correct calculations, I still wonder whether the use of various 'globally-wide' approximations means that one has to be very cautious about taking the results, particularly for changes in IO3, too literally. Example of these 'global' approximations include the calculation of AOT40 values from 08.00 to 20.00 everywhere globally regardless of latitude and time of year, the calculation of AOT40 for a full-year, and the assignment of all global vegetation to just 3 vegetation classes. The authors have responded that because they are interested in examining relative changes in impacts on vegetation these issues are not so important, but surely if growing season length (and extent of daylight hours) at a particular location is relevant for ozone-induced injury*

*then how the annual distributions of ozone concentrations change in the future under different scenarios (and also how growing seasons change under different scenarios) will have a quantitative impact on the extent of ozone-induced injury in the real world compared to these modelled worlds.*

**R** – The current chemistry models cannot predict changes in phenology, thus the growing season length is the same between the historical period and different RCPs. Here, we applied the same approximation of ACCMIP models. However, a note of caution including a citation to the phenological issue (Anav et al., 2017) will be included in the discussion.

Plant phenology plays a pivotal role in the climate system as it regulates the gas exchange between the biosphere and the atmosphere. Currently, in many risk assessment studies, the phenology function is based on a simple latitude and topography model. The Chemistry Models do not take into account the shifts in plant phenology and in start and end date of the growing season, however a first attempt to study the role of phenology on stomatal ozone uptake is shown by Anav et al (2017).

*Much of the conclusions section remains more like general discussion (particularly in its extensive reference to prior literature) than ‘take home’ conclusions for the reader.*

**R** – The section “conclusions” was shortened and a few references were moved towards the section “discussion” or removed.

*There are also a few issues with some of the text in this section: (i) the sentence in L529-530 is not a complete sentence; (ii) the statement in L548-549 that AOT40-based critical levels “will be exceeded over many areas...” is not clear: to which RCP scenario(s) is this sentence referring? It is also potentially a bit misleading because even though AOT40 may be exceeded in certain areas, actually for two of the three future scenarios investigated AOT40 values will be less exceeded in the future than in recent history; (iii) L569 refers to sensitivity of grasslands to ozone injury but yet the authors’ methodology does not refer to how IO3 is calculated for grasslands.*

**R** – All these issues were addressed.

*Please explicitly state somewhere in the text that tabulated and quoted values of global, NH and SH mean surface ozone, AOT40, and IO3 are derived from averaging values over the global/NH/SH land areas only, not over their full respective geographic domains.*

**R** – We agree to add an explicit statement in the reviewed version for tables 3. Lines 184-186, we have addressed this point by adding this statement.

## 1 Introduction

2 Tropospheric ozone (O<sub>3</sub>) is a secondary air pollutant, i.e. it is not emitted as such in the air but  
3 it is formed by reactions among precursors (e.g. CH<sub>4</sub>, VOCs, NO<sub>x</sub>). Ozone is an important  
4 greenhouse gas resulting in a direct radiative forcing of 0.35-0.37 W m<sup>-2</sup> on climate (Shindell  
5 et al., 2009; Ainsworth et al., 2012). Despite significant control efforts and legislation to  
6 reduce O<sub>3</sub> precursor emissions, tropospheric O<sub>3</sub> pollution is still a major air quality issue over  
7 large regions of the globe (Lefohn et al., 2010; Langner et al., 2012; Young et al., 2013;  
8 Cooper et al., 2014; EEA, 2015; Sicard et al., 2016a,b; Ochoa-Hueso et al., 2017). Long-range  
9 transport of O<sub>3</sub> and its precursors can elevate the local and regional O<sub>3</sub> background  
10 concentrations (Ellingsen et al., 2008; Wilson et al., 2012; Paoletti et al., 2014; Derwent et al.,  
11 2015; Xing et al., 2015; Sicard et al., 2016a). Therefore, remote areas such as the Arctic  
12 region can be affected (Langner et al., 2012). The current surface O<sub>3</sub> levels (35-50 ppb in the  
13 northern hemisphere, NH) are high enough to damage both forests and crops by reducing  
14 growth rates and productivity (Wittig et al., 2009; Anav et al., 2011; Mills et al., 2011;  
15 Ashworth et al., 2013; Proietti et al., 2016).

16  
17 Increasing atmospheric CO<sub>2</sub>, nitrogen deposition and temperatures enhance plant growth, and  
18 increase primary production and greening of plants (Nemani et al., 2003; Zhu et al., 2016). At  
19 the global scale, a widespread increase of greening and net primary production (NPP) is  
20 observed over 25-50% of the vegetated area, while a decrease is observed over only 7% of the  
21 globe (Nemani et al., 2003; Zhu et al., 2016). In contrast, a previous modeling study over  
22 Europe shows how surface O<sub>3</sub> reduces the mean annual gross primary production (GPP) by  
23 about 22% and the leaf area index by 15-20% (Anav et al., 2011). Similarly, Proietti et al.  
24 (2016), using different *in-situ* measurements collected over 37 European forest sites, found a  
25 GPP decrease (up to 30%) caused by O<sub>3</sub> during the time period 2000-2010. At global scale,  
26 over the time period 1901-2100, GPP is projected to decrease by 14-23% (Sitch et al., 2007).  
27 As a consequence of reduced photosynthetic assimilation, the total biomass of trees is  
28 estimated to be decreased by 7% under the current ground-level O<sub>3</sub> mean concentrations (40  
29 ppb on average) and by 17% at mean O<sub>3</sub> concentrations expected in 2100 (97 ppb based on a  
30 meta-analysis) compared to preindustrial O<sub>3</sub> levels in NH (about 10 ppb, Wittig et al., 2009).  
31 From experiments, Wittig et al. (2009) also reported that the total tree biomass of  
32 angiosperms was reduced by 23% at O<sub>3</sub> mean concentrations of 74 ppb, and by 7% at 92 ppb  
33 for gymnosperms. High surface O<sub>3</sub> levels, exceeding 40 ppb, do occur in many regions of the  
34 globe with associated economic costs of several billion dollars per year (Wang and Mauzerall,

2004; Ashmore, 2005). Ashworth et al. (2013) reported an annual loss of 3.5% for wheat (very O<sub>3</sub>-sensitive) and 1.0% for maize (more O<sub>3</sub>-tolerant) for Europe in 2010 relative to 2000, while Holland et al. (2006) estimated a €4.5 billion loss in the production of 23 common crop species, due to surface O<sub>3</sub> exposure by 2020 relative to 2000.

The international Tropospheric Ozone Assessment Report (TOAR) establishes a state-of-the-art of global O<sub>3</sub> metrics for climate change, human health and crop/ecosystem research (Lefohn et al., 2017). To assess the potential O<sub>3</sub> risk and protect vegetation from O<sub>3</sub>, different metrics are used: the European and US standard (AOT40 and W126, respectively) are based on exposure-based metrics, while flux-based metrics have been introduced only recently (UNECE, 2010; Klingberg et al., 2014; EEA, 2015). Unlike the exposure-based metrics, which only rely on the surface O<sub>3</sub> concentration, the flux-based metrics were developed to quantify the accumulation of damaging O<sub>3</sub> taken up by vegetation through the stomata over a species-specific phenological time-window. These metrics also provide an information-rich tool in assessing the relative effectiveness of air pollution control strategies in lowering surface O<sub>3</sub> levels worldwide (Monks et al., 2015). By reducing plant photosynthesis and growth, high surface O<sub>3</sub> levels will result in reduction in carbon storage by vegetation, and finally an indirect radiative forcing as a consequence of the CO<sub>2</sub> rising in the atmosphere (Sitch et al., 2007; Ainsworth et al., 2012). This rising CO<sub>2</sub> reduces stomatal conductance which decreases O<sub>3</sub> flux into plants leading to increased O<sub>3</sub> levels in the air of 3-4 ppb during the growing season over the NH by doubling of CO<sub>2</sub> concentration (Fiscus et al., 2005; Sanderson et al., 2007).

Projected changes in ground-level O<sub>3</sub> vary considerably among models (Stevenson et al., 2006; Wild, 2007) and emission scenarios. In earlier studies, the emissions of O<sub>3</sub> precursors were based on a high population growth, leading to very high projected surface O<sub>3</sub> concentrations by 2100 (Stevenson et al., 2000; Zeng and Pyle, 2003; Shindell et al., 2006). The last emission scenarios, i.e. the Representative Concentration Pathways (RCPs) were developed as part of the Fifth Assessment Report of the Intergovernmental Panel on Climate Change (Meinshausen et al., 2011; van Vuuren et al., 2011; Cubasch et al., 2013; Myhre et al., 2013). These scenarios include e.g. different assumptions on climate, energy access policies, and land cover and land use changes (Arneth et al., 2008; Kawase et al., 2011; Kirtman et al., 2013). Until now, studies on O<sub>3</sub> pollution impacts on terrestrial ecosystems are either limited to a single model or to particular regions (e.g. Clifton et al., 2014; Rieder et al.,

2015) and only a few applications of global or regional models under the new RCPs scenarios were carried out (Kelly et al., 2012). In the framework of the Atmospheric Chemistry and Climate Model Intercomparison Project (ACCMIP), different simulations were performed by Lamarque et al. (2013) and Young et al. (2013) from 16 global chemistry models.

A few issues about surface O<sub>3</sub>, such as a better understanding of spatial changes and a better assessment of O<sub>3</sub> impacts worldwide, are still challenging. To overcome these issues, the aim of this study is to quantify, for the first time, the spatial and temporal changes in the projected potential O<sub>3</sub> impacts on photosynthetic carbon assimilation of vegetation at global scale, by comparing the O<sub>3</sub> potential injury at present with that expected at the end of the 21<sup>st</sup> century from different global chemistry models. The purpose of this study is not to provide a quantitative estimation of the ecosystem injury due to O<sub>3</sub> but to highlight the world areas at higher risk and changes by 2100.

## Materials and Methods

### *ACCMIP models and RCP scenarios*

The global chemistry models used in this work were developed under the ACCMIP project. A detailed description of the selected models and of the emission scenarios (i.e. RCPs) is included in Supplementary Information (SI). ACCMIP models were widely validated and used to evaluate projected changes in atmospheric chemistry and air quality under different emission and climate assumptions (e.g. Lamarque et al., 2010; Fiore et al., 2012; Bowman et al., 2013; Lee et al., 2013; Voulgarakis et al., 2013). Lamarque et al. (2013) and Young et al. (2013) provided the main characteristics of 16 models and details for the ACCMIP simulations. Although within the ACCMIP project 16 models are available, due to the lack of hourly O<sub>3</sub> concentration here we only focus on 6 global chemistry models with different configurations (Table 1).

The length of historical and RCP simulations vary between models, but for all models the historical runs cover a period centered around 2000, while the time-slice of RCPs is centered around 2100 (Table 1). As for each model we compare the relative mean change between the historical and RCP simulations, a different length in the number of years used in the analysis does not affect the results.

### *Potential ozone injury on vegetation*

The O<sub>3</sub> exposure-based index, i.e. AOT40 (ppb h), is a metric used to assess the potential O<sub>3</sub> risk to vegetation from local to global scales (Emberson et al., 2014). In literature, AOT40 is computed as sum of the hourly exceedances above 40 ppb, for hours between 8:00 hours and 20:00 hours or for hours with a solar radiation exceeding 50 Wm<sup>-2</sup> over species-specific growing seasons (UNECE, 2010). Conventionally, two major growing-season time windows are used, i.e. six months (April to September) for temperate climates, e.g. in Europe and all-year round for Mediterranean, subtropical and tropical-type climates where vegetation is physiologically active all along the year (Paoletti et al., 2007).

UNECE (2010) supports the use of a growing season, but a fixed time-window does not allow incorporating the changes in the growing season due to climate change and would thus not be well suited when investigating changes over time. A recent study over Europe showed how computing AOT40 only over the growing season (i.e. April-September) would lead to an underestimation of AOT40 up to 50% for conifer trees, while in case of deciduous trees the underestimation is much smaller (< 5%, Anav et al., 2016). Besides, it should be noted that in Anav et al. (2016) the AOT40 is computed year-round. We computed the AOT40 for a model grid for hours between 8:00 hours and 20:00 hours (local time) for all days of the year. Therefore, we computed AOT40 as follows:

$$\text{AOT40} = \int_{01\text{jan}}^{31\text{dec}} \int_{8\text{am}}^{8\text{pm}} \max([O_3] - 40, 0) dt \quad (1)$$

where [O<sub>3</sub>] is hourly O<sub>3</sub> concentration (ppb) simulated by the models at the lower model layer and  $dt$  is time step (1h). The function "maximum" ensures that only values exceeding 40 ppb are taken into account. For the protection of forests, a critical level of 5 ppm.h calculated over the growing season is recommended by UNECE (2010). Within the 2008/50/CE Directive, the critical level for agricultural crops (3ppm.h) is adopted as the long-term objective value for the protection of vegetation by 2020.

The current chemistry models cannot predict changes in phenology over time, thus the growing season length is the same between the historical period and different RCPs. The use of a common fixed time-window (8-20h) all year-round at global level allows skipping the use of a latitude model, which would increase the level of complexity and uncertainties.

Because the growing season is highly variable across the latitude, rather than introducing further uncertainties by using a latitude model to simulate the growing season, we applied here a simplified approach with a year-long growing season which should be considered as a worst case study. This approach is valuable and can be easily applied at global scale to compare the historical and projected potential risk to vegetation.

The O<sub>3</sub> concentration to be used in AOT40 calculation should be at the top of the canopy; however, most of models used here provide O<sub>3</sub> concentrations at 90-120 m. Nevertheless, even if the O<sub>3</sub> concentration is simulated at different elevations above the sea level, as for each model we compare the variation between present and future, the change is consistent because the elevation is the same. In case of risk assessment, by calculating AOT40 year-round, an overestimation can be observed over polluted region of NH. Since the aim of this study is to compare how O<sub>3</sub> stress to vegetation changes between historical period and future, even if the AOT40 is misestimated at a given model grid point, as we compared the changes in AOT40 at the same model grid point, the relative mean change is consistent.

From the AOT40, a factor of risk for forests and crops can be computed (Anav et al., 2011; Proietti et al., 2016). Thus, the potential O<sub>3</sub> impact on photosynthetic carbon assimilation (IO<sub>3</sub>), in the worst-case scenario, is expressed through a dimensionless value as following:

$$IO_3 = \alpha \times AOT40 \quad (2)$$

where  $\alpha$  is an empirically derived O<sub>3</sub> response coefficient representing the proportional change in net photosynthesis per unit of AOT40 (Anav et al., 2011). From the Global Land Cover Facility (GLCF) data at 1° of spatial resolution, we grouped the vegetation in three categories: conifers, crops (including grassland) and deciduous (including tropical forests and shrubs) trees. Even, Dynamic Global Vegetation Models make use of plant functional types rather than complex and specific vegetation to simulate shifts in potential vegetation as a response to shifts in climate (Sitch et al., 2007). The relationships between cumulative ozone exposure and reductions in net photosynthesis vary among and even within species (Reich, 1987; Ollinger et al., 1997). Differences in response per unit uptake tend to be greater in magnitude between functional groups (e.g., hardwoods vs. conifers) where leaf structure and plant growth strategy differ most widely (Reich, 1987). The dimensionless coefficient for coniferous trees ( $0.7 \times 10^{-6}$ ) and crops ( $3.9 \times 10^{-6}$ ) are based on the regressions of the photosynthesis response to O<sub>3</sub> (Reich, 1987), while the coefficient for deciduous trees

( $2.6 \times 10^{-6}$ ) is based on Ollinger et al. (1997). From simulated changes in the risk factor, we can highlight potential risk areas for vegetation.

## Results and Discussion

Although differences in the simulated global  $O_3$  spatial pattern were previously discussed and analyzed (e.g. Lamarque et al., 2013), we show the mean annual  $O_3$  concentration at the lower model layer in Figure 1 because  $O_3$  concentration explains AOT40 patterns. Then, in Figure 2 we show and discuss the AOT40 spatial and temporal distribution from the ACCMIP models for the historical and RCPs simulations, and finally in Figure 3 we show the percentage of variation of IO3, i.e. the change in the potential impact of  $O_3$  on photosynthetic carbon assimilation for the ACCMIP models computed comparing the RCPs simulations with historical runs. All spatial averages were calculated over land surfaces. A detailed description of each figure, model by model, is included in Supplementary Information (SI).

### Spatial pattern of historical ozone concentration and AOT40

The highest surface  $O_3$  concentrations (Fig. 1) and potential  $O_3$  impacts (Fig. 2) are found in the NH, highlighting a hemispheric asymmetry. The averaged values of global, NH and SH mean surface  $O_3$ , AOT40 and IO3 are derived from averaging values over the global/NH/SH land areas only (Tables 3). AOT40 was used widely during the last two decades, not only in Europe but also in South America (Moura et al., 2014) and Asia (Hoshika et al., 2011) when environmental factors are not limiting, e.g. water availability, air temperature, solar radiation affecting stomata opening (Anav et al., 2016; De Marco et al., 2016).

The multi-models  $O_3$  mean concentration, averaged over the land points of the domain, is  $37.9 \pm 4.3$  ppb in NH and  $22.9 \pm 3.8$  ppb in SH (Table 3a). Over land surfaces, the NH extratropics (i.e. mid-latitudes beyond the tropics) has 65% more  $O_3$  than the SH extratropics (data not shown). Similarly, the highest AOT40 values are found in the NH, with an averaged AOT40 of  $24.8 \pm 10.1$  ppm.h in NH and  $2.5 \pm 1.7$  ppm.h in SH (Table 3a).

According to previous studies, the annual mean background  $O_3$  concentrations at NH mid-latitudes range between 35 and 50 ppb during the end of the 20<sup>th</sup> century (e.g. Cooper et al., 2012; IPCC, 2014; Lefohn et al., 2014). Similarly, we found historical surface  $O_3$  mean concentrations ranging between 35 and 50 ppb and 35-50 ppm.h for AOT40 in the NH, with



the highest values occurring over Greenland and in the latitude band 15-45°N, particularly around the Mediterranean basin, Near East, Northern America and over the Tibetan plateau (> 50 ppb and 70 ppm.h) while the lowest O<sub>3</sub> burden (15-30 ppb, < 20 ppm.h) was recorded in SH, particularly over Amazon, African and Indonesian rainforests where the O<sub>3</sub> dry deposition rate is maximum, up to 1.80 cm s<sup>-1</sup> for mixed wood forests (Wesely and Hicks, 2000). Tropospheric O<sub>3</sub> has a significant source from stratospheric O<sub>3</sub> (Parrish et al., 2012) and it can be transported by the large-scale Brewer-Dobson overturning circulation, i.e. an upward motion from the tropics and downward at higher latitudes, resulting in higher O<sub>3</sub> concentrations in the extratropics (Hudson et al., 2006; Seidel et al., 2008; Parrish et al., 2012). The six models are able to reproduce the spatial pattern of O<sub>3</sub> concentration and thus AOT40 worldwide.

The highest historical O<sub>3</sub> mean concentrations are observed in GFDL-AM3 and the lowest are found in MIROC-CHEM. In the early 2000s, the maximum global O<sub>3</sub> mean concentration (39 ppb) in GFDL-AM3 is associated to the lowest annual total NO<sub>x</sub> emissions (46.2 Tg, Table 2a) and low LNO<sub>x</sub> (4.4 Tg) while the minimum global O<sub>3</sub> mean concentration (28 ppb) in MIROC-CHEM is related to the highest emissions of total NO<sub>x</sub> per year (57.3 Tg) and erroneously high LNO<sub>x</sub> (9.7 Tg per year, Lamarque et al., 2013). MIROC-CHEM simulates 58 gaseous species in the chemical scheme with constant present-day biogenic VOCs emissions while GFDL-AM3 simulates 81 species (Stevenson et al., 2012; Lamarque et al., 2013). In GISS-E2-R, the hemispheric asymmetry in O<sub>3</sub> is more important with e.g. a mean concentration of 22 ppb in SH and 42 ppb in NH. A stronger global AOT40 mean (26 ppm.h) is observed in GISS-E2-R and the lowest (7 ppm.h) in MIROC-CHEM for historical simulations. Model-to-model differences are observed due to different natural emissions of O<sub>3</sub> precursors (e.g. lightning NO<sub>x</sub>) and the different chemical schemes used.

Higher O<sub>3</sub> burdens (mean concentration > 50 ppb, AOT40 >70 ppm.h) are simulated at high-elevation areas, e.g. at Rocky and Appalachian Mountains and over the Tibetan plateau (Fig. 1, Fig. 2). At high-elevation, solar radiation, biogenic VOC emission, exchange between free troposphere and boundary layer, and stratospheric O<sub>3</sub> intrusion within the troposphere are more important than at the surface layer (Steinbacher et al., 2004; Kulkarni et al., 2011; Lefohn et al., 2012). Altitude reduces the O<sub>3</sub> destruction by deposition and NO (Chevalier et al., 2007). In addition, due to the high elevation, ambient air remains colder and dryer in summer, leading to lower summertime O<sub>3</sub> losses from photolysis (Helmig et al., 2007). The

high-elevation areas, characterized by higher O<sub>3</sub> burdens, are well simulated in GISS-E2-R and MOCAGE models.

The Tibetan plateau, so-called “ozone valley”, is the highest plateau in the world, with a mean height of 4000 m a.s.l. (Tian et al., 2008) with strong thermal and dynamic influences on regional and global climate (Chen et al., 2011). High surface O<sub>3</sub> mean concentrations (40-60 ppb) were reported in previous studies (e.g. Zhang et al., 2004; Bian et al., 2011; Guo et al., 2015; Wang et al., 2015). Although this region is remote, road traffic, biofuel energy source, coalmines and trash burning are prevalent. These pollution sources contribute to significant amount of NO<sub>x</sub>, CO and VOCs (Wang et al., 2015). The high O<sub>3</sub> levels are attributed to the combined effects of high-elevation surface, thermal and dynamical forcing of the Tibetan plateau and *in-situ* photochemical production in the air trapped in the plateau by surrounding mountains (Guo et al., 2015; Wang et al., 2015). The dynamic effect, associated with the large-scale circulation, is more important than the chemical effect (Tian et al., 2008; Liu et al., 2010) and responsible for the high O<sub>3</sub> levels over the Tibetan plateau. The six models are able to well reproduce the high surface O<sub>3</sub> mean concentrations (> 50 ppb) over the Tibetan plateau.

Higher O<sub>3</sub> mean concentrations (> 60 ppb) are also observed in Southwestern U.S., at the stations inland close to Los Angeles, in Northeastern U.S. and East Asia (e.g. Beijing) (Fig. 1). The American Southwest is an O<sub>3</sub> precursor hotspot where the industrial sources emit CH<sub>4</sub> and VOCs into the air (Jeričević et al., 2013) and the eastern and northern desert areas have higher ambient O<sub>3</sub> than urban areas of southern California due to four factors: on-shore winds, gasoline reformulation, eastward population expansion and nighttime air chemistry (Arbaugh and Bytnerowicz, 2003). The surface concentrations show higher O<sub>3</sub> levels in areas downwind of O<sub>3</sub> precursor sources, i.e. urban and well-industrialized areas, at distances of hundreds or even thousands of kilometers due to transport of O<sub>3</sub> and precursors, including “reservoir” species such as PAN, lower O<sub>3</sub> titration by NO and higher biogenic VOC emission (Wilson et al., 2012; Paoletti et al., 2014; Monks et al., 2015; Sicard et al., 2016a). The higher O<sub>3</sub> levels in areas downwind of O<sub>3</sub> precursor sources are well simulated in GISS-E2-R and MOCAGE models.

Over Greenland, mean O<sub>3</sub> concentrations during the historical runs, ranged from 40 to 55 ppb (Fig. 1) except in MIROC-CHEM (20-25 ppb). Similarly, Helmig et al. (2007) reported

annual mean of surface O<sub>3</sub> concentrations of 47 ppb over Greenland between 2000 and 2005, particularly at the high-elevation Summit station (3200 m a.s.l.). Several investigations of snow photochemical and oxidation processes over Greenland concluded that photochemical O<sub>3</sub> production can be attributed to high levels of reactive compounds (e.g. oxidized nitrogen species) present in the surface layer during the sunlit periods due to local sources e.g. NO<sub>x</sub> enhancement from snowpack emissions, peroxyacetyl nitrate (PAN) decomposition, boreal forest fires or ship emissions (Granier et al., 2006; Stohl et al., 2007; Legrand et al., 2009; Walker et al., 2012). PAN to NO<sub>x</sub> ratio increases with increasing altitude and latitude (Singh et al., 1992). The PAN reservoir for NO<sub>x</sub> may be responsible for the increase in surface O<sub>3</sub> concentrations at high latitudes (Singh et al., 1992). Local O<sub>3</sub> production does not appear to have an important contribution to the ambient high O<sub>3</sub> levels (Helmig et al., 2007), however the long-range O<sub>3</sub> transport can elevate the background concentrations measured at remote sites, e.g. Greenland (Ellingsen et al., 2008; Derwent et al., 2010). Low dry deposition rates for O<sub>3</sub>, from 0.01-0.05 cm s<sup>-1</sup> over oceans and snow, the downward transport of stratospheric O<sub>3</sub>, the photochemical local production and the large-scale transport (Zhang et al., 2003; Legrand et al., 2009; Walker et al., 2012; Hess and Zbinden, 2013) are known factors to explain higher O<sub>3</sub> pollution over Greenland.

The surface O<sub>3</sub> concentrations (> 40 ppb) and AOT40 (> 60 ppm.h) are higher over deserts, downwind of O<sub>3</sub> precursor sources (e.g. Near East, Sierra Nevada, Colorado Desert), due to lower O<sub>3</sub> dry deposition fluxes (Wesely and Hicks, 2000), O<sub>3</sub> precursors long-range transport from urbanized areas and high insolation. Around the Mediterranean basin, elevated AOT40 values (> 60 ppm.h) are recorded, mainly due to the industrial development, road traffic increment, high insolation, sea/land breeze recirculation and O<sub>3</sub> transport (Sicard et al., 2013). All models, except MIROC-CHEM, are able to well reproduce the high surface O<sub>3</sub> mean concentrations over Greenland and over deserts.

### **Projected changes in ozone concentration and AOT40**

Recent studies display a mean global increase in background O<sub>3</sub> concentration from a current level of 35-50 ppb (e.g. IPCC, 2014; Lefohn et al., 2014) to 55-65 ppb (e.g. Wittig et al., 2007) and up to 85 ppb at NH mid-latitudes by 2100 (IPCC, 2014). During the latter half of the 20<sup>th</sup> century surface O<sub>3</sub> concentrations have increased markedly at NH mid-latitudes (e.g. Oltmans et al., 2006; Parrish et al., 2012; Paoletti et al., 2014), mainly related to increasing anthropogenic precursor emissions related to economic growth of industrialized countries

(e.g. Lamarque et al., 2005). Our results indicate that the future projections of the mean surface O<sub>3</sub> concentrations and AOT40 vary considerably with the different scenarios and models (Fig. 1 and 2). The six models simulate a decrease of O<sub>3</sub> concentration by 2100 under the RCP2.6 and RCP4.5 scenarios, and an increase under the RCP8.5 scenario (Lamarque et al., 2011). In our study, the averaged relative changes in surface O<sub>3</sub> concentration means (and AOT40) for the different RCPs are: -21% (-75%) for RCP2.6, - 10% (-50%) for RCP4.5 and + 14% (+69%) for RCP8.5 with a strong disparity between both hemispheres, e.g. - 8% in SH and - 25% in NH for RCP2.6 (Tables 3b-c). RCP8.5 is the only scenario to show an increase in global background O<sub>3</sub> levels by 2100 (+ 23% in SH and + 11% in NH).

Under the RCP2.6 scenario, all models predict that surface O<sub>3</sub> will strongly decrease worldwide, except in Equatorial Africa where higher O<sub>3</sub> levels are observed in GFDL-AM3, GISS-E2-R and MOCAGE. In CESM-CAM, GFDL-AM3 and MIROC-CHEM, a homogeneous decrease in O<sub>3</sub> burden is simulated worldwide while in GISS-E2-R, MOCAGE and UM-CAM, the strongest decrease in surface O<sub>3</sub> mean concentrations are found where high historical O<sub>3</sub> concentrations were reported. Under RCP4.5 scenario, the surface O<sub>3</sub> mean concentrations and AOT40 values are lower than historical runs worldwide for all models except in MOCAGE where deterioration is observed over Canada, Greenland and East Asia. For all models, the surface O<sub>3</sub> levels and AOT40 are higher for RCP8.5 as compared to historical runs and the highest increases occur in the North-western America, Greenland, Mediterranean basin, Near East and East Asia. The AOT40 values, exceeding 70 ppm.h, are found over the Tibetan plateau and in Near East and over Greenland. For RCP8.5, GFDL-AM3 is the most pessimistic model and MIROC-CHEM the most optimistic. By the end of the 21<sup>st</sup> century, similar patterns are evident for RCP4.5 compared to RCP2.6 and RCP4.5 simulation is intermediate between RCP2.6 and RCP8.5 ones.

For all models and RCPs, the O<sub>3</sub> hot-spots (mean concentrations > 50 ppb and AOT40 > 70 ppm.h) are over Greenland and South Asia, in particular over the Tibetan plateau. The highest increases are observed in NH, in particular in North-western America, Greenland, Near East and South Asia (> 65 ppb). For the three RCPs, no significant change in ground-level O<sub>3</sub> is observed in SH and the SH extratropics makes a small contribution to the overall change.

A recent global study showed the geographical patterns of surface air temperature differences for late 21<sup>st</sup> century relative to the historical run (1986-2005) in all RCP scenarios (Nazarenko

et al., 2015). The global warming in the RCP2.6 scenario is 2-3 times smaller than RCP4.5 scenario and 4-5 times smaller than RCP8.5 scenario (Nazarenko et al., 2015). For the three RCPs, the greatest change is observed over the Arctic, above latitude 60°N, and in the latitude band 15-45°N (IPCC, 2014; Nazarenko et al., 2015). The least warming is simulated over the large area of the Southern Ocean. For RCP8.5 scenario, the global pattern of surface O<sub>3</sub> levels and AOT40 (Fig. 1-2) is similar to surface air temperature increase distribution. For RCP8.5, significant increases in air temperature are simulated over latitude 60°N and over the Tibetan plateau (more than 5°C). An increase of 4-5°C over the Near East, East and South Asia, North and South Africa and Canada are simulated as well as + 1-3°C for the rest of the world (Nazarenko et al., 2015). The tropospheric warming is stronger in the latitude band 15-45°N (Seidel et al., 2008) and Hudson et al. (2006) have demonstrated that O<sub>3</sub> trends over a 24-year period in the NH are due to trends in the relative area of the tropics and mid-latitudes and Polar Regions. All models are able to reproduce the global pattern of air temperature changes distribution in agreement with surface O<sub>3</sub> concentrations changes.

The spread in precursor emissions (e.g. VOCs, NO<sub>x</sub>, CO) is due to the range of representation of biogenic emissions (NO<sub>x</sub> from soils and lightning, CO from oceans and vegetation) as well as the complexity of chemical schemes in particular for NMVOCs simulations (e.g. isoprene) from explicitly specified to fully interactive with climate. RCP2.6 scenario has the lowest O<sub>3</sub> precursor concentrations, and RCP8.5 has relatively low NO<sub>x</sub>, CO and VOCs emissions, but very high CH<sub>4</sub> (Table 2b). The global emissions of NO<sub>x</sub> (-44%), VOCs (-5%) CO (-40%) and CH<sub>4</sub> burden (-27%) decline, while LNO<sub>x</sub> increase by e.g. 7% under RCP2.6 (Table 2b). The CO (-32%) and NO<sub>x</sub> (-20%) emissions have decreased while LNO<sub>x</sub> (+33%), VOCs (+1%) and CH<sub>4</sub> burden have increased (+120%) under RCP8.5 scenario (Table 2b). The GISS-E2-R model shows a greater degree of variation than other models, with a stronger increase in CH<sub>4</sub> burden (+ 153%) and in VOCs emissions (+ 20%) for RCP8.5 (Table 2b).

Excluding CH<sub>4</sub> burden and VOCs emissions, all the RCP scenarios include reductions and redistributions of O<sub>3</sub> precursor emissions throughout the 21<sup>st</sup> century, due to the air pollution control strategies worldwide. The changes in CH<sub>4</sub> burden are due to the different climate policies in model assumptions. In RCP2.6, CH<sub>4</sub> emissions decrease steadily throughout the century, in RCP4.5 it remain steady until 2050 and then decrease (Voulgarakis et al., 2013) and in RCP8.5 (no climate policy) it rapidly increase compared to 2000. Methane burdens are fixed in the models with no sources, except for the GISS-E2-R simulations in which surface

CH<sub>4</sub> emissions are prescribed for future rather than concentrations (Shindell et al., 2012). The model chemical schemes vary greatly in their complexity, mainly due to the NMVOCs simulations (Young et al., 2013). Isoprene dominates the total NMVOCs emissions (Guenther et al., 1995). In contrast to other models with constant present-day isoprene emissions, the GISS-ES2-R simulations incorporate climate-driven isoprene emissions, with greater BVOC emissions by 2100 and a positive change in total VOCs emissions across RCPs, related to the positive correlation between air temperature and isoprene emission (e.g. Guenther et al., 2006; Arneth et al., 2011; Young et al., 2013).

For RCP2.6 and RCP4.5 scenarios, there is a widespread decrease in O<sub>3</sub> in NH by 2100. The overall decrease in O<sub>3</sub> concentration and AOT40 means for RCP4.5 are about half of that between RCP2.6 and the historical simulation. For both scenarios, the changes are dominated by the decrease in O<sub>3</sub> precursor emissions in the NH extratropics compared to historical simulations (Table 2b). In NO<sub>x</sub> saturated areas, annual mean O<sub>3</sub> will slightly increase as a result of a less efficient titration by NO, but the overall O<sub>3</sub> burden will decrease substantially at hemispheric scale over time (Gao et al., 2013; Querol et al., 2014; Sicard et al., 2016a). In RCP4.5, Gao et al. (2013) showed that the largest decrease in O<sub>3</sub> (4-10 ppb) occurs in summer at mid-latitudes in the lower troposphere while the O<sub>3</sub> concentrations undergo an increase in winter. During the warm period, the photochemistry plays a major role in the O<sub>3</sub> production, suggesting that the reduction in surface O<sub>3</sub> concentrations is in agreement with the large reduction in anthropogenic O<sub>3</sub> precursor emissions (Sicard et al., 2016a) reducing the extent of regional photochemical O<sub>3</sub> formation (e.g. Derwent et al., 2013; Simpson et al., 2014). Titration effect was also reported by Collette et al. (2012) over Europe by using six chemistry transport models.

The O<sub>3</sub> increase can be also driven by the net impacts of climate change, i.e. increase in stratospheric O<sub>3</sub> intrusion, changing LNO<sub>x</sub> and impacting reaction rates, through sea surface temperatures and relative humidity changes (Lau et al., 2006; Voulgarakis et al., 2013; Young et al., 2013).

Under the RCP8.5 scenario, the increase in surface O<sub>3</sub> concentrations, by 14% on average, can be attributed to the higher CH<sub>4</sub> emissions coupled with a strong global warming, exceeding 2°C, and a weakened NO titration by reducing NO<sub>x</sub> emissions (Stevenson et al., 2013; Young et al., 2013). The global CH<sub>4</sub> burden are 27% and 5% lower than 2000, for the RCP2.6 and

RCP4.5 scenarios respectively while for RCP8.5, the total CH<sub>4</sub> burden has more than doubled compared to early 2000s and LNO<sub>x</sub> emissions increased by 33% (Table 2b). In addition, stronger increases are found over the high-elevation Himalayan Plateau reflecting increased exchange with the free troposphere or stratosphere (Lefohn et al., 2012; Schnell et al., 2016). Several studies reported an increase in the stratospheric O<sub>3</sub> influx and higher stratospheric O<sub>3</sub> levels in response to a warming climate (e.g. Hegglin and Shepherd, 2009; Zeng et al., 2010). The downwards O<sub>3</sub> transport from the stratosphere is an important source of tropospheric O<sub>3</sub> (Hsu and Prather, 2009; Tang et al., 2011), therefore, stratospheric O<sub>3</sub> recovery also plays a partial role (e.g. + 11% for RCP8.5) in surface O<sub>3</sub> burden pattern. As an example, in MOCAGE, smaller reduction in global O<sub>3</sub> mean concentrations (-13%) and higher increase in stratospheric O<sub>3</sub> inputs (+20%) are observed for RCP2.6 (Table 3b). Similarly, for RCP8.5, the highest increase in O<sub>3</sub> mean concentrations (+23%) and stratospheric O<sub>3</sub> (+24%) are recorded in MOCAGE. In addition, lightning NO<sub>x</sub> emissions show significant upward trend from 2000 to 2100, in particular for the strongest warming scenario (RCP8.5) with greater convective and lightning activity (e.g. Williams, 2009; Lamarque et al., 2013). For RCP8.5, a reduction in surface O<sub>3</sub> concentrations is also simulated over the equatorial region, where the increased relative humidity, in a warmer climate, increases the O<sub>3</sub> loss rate (e.g. Johnson et al., 1999; Zeng and Pyle, 2003).

For RCP2.6 and RCP4.5, absolute decreases are observed for the Mediterranean basin and the Western U.S. due to less precursor emissions in the NH extratropics (e.g. reduction of 5-7 ppb over Europe). Smaller reduction in surface O<sub>3</sub> levels in South and East Asia highlight the smaller changes in O<sub>3</sub> precursor emissions due to the recent emission growth in this region (e.g. Zhang et al., 2009; Xing et al., 2015). For RCP 8.5, the high O<sub>3</sub> increase (up to 10 ppb) in South Asia can be attributed to substantial increase in CH<sub>4</sub> emissions coupled with a strong global warming, exceeding 2°C, and a weakened NO titration and a greater stratospheric O<sub>3</sub> influx (Kawase et al., 2011; Wild et al., 2012; Young et al., 2013).

### **Risk areas for vegetation under RCP scenarios**

Figure 3 shows the changes in the potential O<sub>3</sub> impact on photosynthetic carbon assimilation between present and future. It should be noted that a zero percentage of change (i.e. no change) for IO<sub>3</sub>, is simulated in sparsely vegetated regions (e.g. Gobi, Sahara, Near East, Western plateau and Greenland), while the change can be higher than 100% when the historical O<sub>3</sub> concentrations are lower than 40 ppb (i.e. AOT40 = 0 and IO<sub>3</sub> = 0) and the O<sub>3</sub>

concentrations exceed 40 ppb under RCPs (i.e.  $AOT_{40} > 0$ ,  $IO_3 > 0$ ). If the  $AOT_{40}$  during the historical period is 0 then the percentage of change is undefined and we have considered and set these grid points as missing values.

The potential  $O_3$  impact for vegetation strongly decreases in NH for RCP2.6, except in MOCAGE where a slight increase in the risk factor (+ 15 %) is simulated at high latitudes and in South Asia. Conversely, the areas where the risk for vegetation increases (> 60 %) occur over Africa (+ 15% to + 60%) for all models, except in CESM-CAM where no change is observed across Africa. Under RCP4.5 scenario, the strongest increase in potential risk for vegetation (> + 60 %) is simulated by MOCAGE, markedly different from the other models, above the latitude 50°N. For all models, the potential  $O_3$  impact for vegetation increases across Africa, from - 15% to + 60% while slight decreases or no change occur worldwide. Under RCP8.5 scenario, an increase of average  $O_3$  over a significant part of the domain is simulated, therefore the exposure to  $O_3$  pollution and impacts on vegetation will increase worldwide by 2100. An increase of the  $O_3$  impacts on vegetation is simulated in Northern U.S., South America, Asia and Africa while a reduction in particular over Eastern U.S. and Southeastern China, and a slight increase (+ 15%) or decrease (- 15%) over Europe depending on the model, are simulated.

In summary, compared to the historical simulations, the averaged relative changes in the  $O_3$  risk factor for the different RCPs are: - 61% for RCP2.6, - 47% for RCP4.5 and + 70% for RCP8.5 (Table 3d). We thus find a significant reduction in risk for vegetation for both RCP2.6 and RCP4.5 scenarios, except in South Africa and at high-latitudes in MOCAGE simulations, and a strong increase in global risk under RCP8.5. Under RCP2.6 and RCP4.5 scenarios,  $IO_3$  slightly increases in Africa and over North America and Asia (> latitude 60°N) in MOCAGE. The risk increases over the few areas where the  $O_3$  concentrations increased between the historical period and 2100. Under both scenarios, the strongest reductions in risk are observed over Amazon, Central Africa and South Asia, i.e. where the  $O_3$  concentrations have strongly declined between historical period and 2100. Under the RCP8.5, the areas where the highest projected  $O_3$  mean concentrations are simulated (e.g. Greenland, deserts) are not associated with an increase in  $IO_3$  due to the absence of vegetation. Under RCP8.5,  $IO_3$  increases worldwide while a reduction is simulated over Southeast North America, northern Amazon, Central Africa and Southeast Asia, and a slighter reduction or a slight increase is simulated over Western Europe (depending on the model).



479  
 480 The spatial pattern of IO<sub>3</sub> is consistent with previous analyses on global environmental  
 481 changes (climate, land-cover, nitrogen deposition, CO<sub>2</sub> fertilization) impacts on vegetation  
 482 (Nemani et al., 2003; Zhu et al., 2016), i.e. the highest reduction in risk for vegetation, in  
 483 particular under RCP8.5, occurs over areas where a strong increase in greening, LAI and NPP  
 484 is observed due to global changes and where a reduction in surface O<sub>3</sub> mean concentrations is  
 485 found by 2100 (Fig. 1). The regions with the largest greening trends are in Southeast North  
 486 America, northern Amazon, Europe, Central Africa and Southeast Asia with an average  
 487 increase of the observed LAI exceeding 0.25 m<sup>2</sup> m<sup>-2</sup> per year (Zhu et al., 2016). The CO<sub>2</sub>  
 488 fertilization effects (70%), nitrogen deposition (9%) and climate change (8%) explain the  
 489 observed greening trend (Zhu et al., 2016). The changing climate alone produces persistent  
 490 NPP increases and the regions with the highest increase in NPP, ranging from 1.0-1.5% per  
 491 year, are in Southeast North America, northern Amazon, Western Europe, Central Africa and  
 492 South Asia (Nemani et al., 2003). From 1982 to 1999, the highest increases are observed in  
 493 tropical regions, with more than 1.5% per year over Amazon rainforest which accounts for  
 494 42% of the global NPP increase (Nemani et al., 2003). Amazon rainforest is one region where  
 495 the effects are statistically significant. This is particularly important owing to the role of the  
 496 Amazon rainforests in the global carbon cycle (Zhu et al., 2016). In these areas, we observed a  
 497 strong increase in NPP and LAI due to warming climate while a reduction in GPP (from - 10  
 498 to - 20%) due to O<sub>3</sub> is observed (Sitch et al., 2007). Inversely, the risk for vegetation IO<sub>3</sub>  
 499 increases in particular in Africa, e.g. western Africa along the Gulf of Guinea, in South Brazil  
 500 and over high-latitudes regions (> 60°N) in North America and Asia where a reduction or a  
 501 slight increase in LAI (from - 0.05 to + 0.03 m<sup>2</sup> m<sup>-2</sup> per year) and strong decreases in NPP  
 502 (1.0-1.5% per year) are simulated (Nemani et al., 2003; Zhu et al., 2016).

503  
 504 Sitch et al. (2007) reported a high GPP reduction due to O<sub>3</sub> effects, between 1901 and 2100  
 505 under the *Special Report on Emissions Scenarios A2 emissions* scenario, exceeding 30% in  
 506 summer over Western Europe, Eastern North America, Amazon, central Africa and South  
 507 Asia. Previous studies reported that the reductions in GPP simulated by Sitch et al. (2007) are  
 508 overestimated up to six times due to i) the lack of empirical data about the response of  
 509 different species to O<sub>3</sub>, Sitch et al. (2007) focused on broad-leaved tree, needle-leaved tree, C3  
 510 crops, C4 crops and shrubs; ii) the fact that a few experiments have shown no response, e.g.  
 511 grasslands (Bassin et al., 2013) and iii) the non-inclusion of the nitrogen limitation of growth  
 512 (Ren et al., 2011; Zak et al., 2011; Kvaleveg and Myhre 2013). In addition, the simulated O<sub>3</sub>

concentrations over Amazon forest exceed 90 ppb in summer in Sitch et al. (2007) while the annual O<sub>3</sub> mean is around 15-20 ppb by 2100 in our study.

The projected land covers widely vary under RCPs (Betts et al., 2015). In RCP2.6 scenario, the ground surface covered by croplands increases as a result of bio-energy production, with a more-or-less constant use of grassland. The RCP4.5 scenario focuses on global reforestation programs as part of global climate policy, as a result, the use of cropland and grassland decreases. Under RCP8.5, an increase in croplands and grasslands is applied mostly driven by an increasing global population (van Vuuren et al., 2011). About 50% of forests, grasslands and croplands might be exposed to high O<sub>3</sub> levels by the end of the 21<sup>st</sup> century (Sitch et al., 2007; Wittig et al., 2009).

Generally, deciduous broadleaf are highly O<sub>3</sub>-sensitive risk areas and needleleaf forests are moderately O<sub>3</sub>-sensitive risk areas. Crops and grasslands are more sensitive to O<sub>3</sub> exposure than trees and deciduous trees are more sensitive than coniferous trees with lower stomatal conductance (Felzer et al., 2004; Ren et al., 2007; Wittig et al., 2009; Anav et al., 2011).

Based on a comparison between Figure 2 and the Global Land Cover Facility maps, we can observe that generally the AOT40, i.e. the potential O<sub>3</sub> risk to vegetation is high over shrublands (e.g. high-latitude region), broadleaf forests (e.g. central Africa), needleleaf forests (e.g. North America) and crops (e.g. South Asia). Under RCP2.6 and RCP4.5, the risk decreases over areas covered by shrublands, savannas and slightly decreases over areas with needleleaf forests in Northern America and Northern Asia. The risk strongly increases over broadleaf forest in Africa and the risk slightly decreases or slightly increases over grasslands (Central Asia and central Africa and U.S.). Under RCP8.5, the largest decreases in risks occur in Eastern U.S., Europe and South-eastern China, where the ground is mainly dominated by croplands, in all models except CESM-CAM.

## Conclusions

From six global atmospheric chemistry transport models, we illustrate the changes, i.e. differences for late 21<sup>st</sup> century relative to the historical run, in ground-level O<sub>3</sub> concentrations and vegetation impact metric (AOT40). Finally, the potential O<sub>3</sub> impacts on photosynthetic carbon assimilation worldwide are investigated to define potential risk areas

for vegetation at global scale by 2100. A major advantage of this study is a comparison between models and scenarios to explore future potential O<sub>3</sub> impacts.

The six models are able to well reproduce the spatial pattern of historical O<sub>3</sub> concentration and AOT40 at global scale, in particular GISS-E2-R and MOCAGE are able to simulate the higher O<sub>3</sub> levels in areas downwind of precursor sources and at the high-elevation areas. The model outputs emphasize the strong asymmetry in the tropospheric O<sub>3</sub> distribution between NH and SH; ~~substantially higher O<sub>3</sub> mean concentrations are observed in the NH (ca. 38 ppb), particularly in the latitude band 15–45°N, than in the SH (ca. 23 ppb).~~ The natural emissions of O<sub>3</sub> precursors (e.g. lightning NO<sub>x</sub>, CO from oceans, isoprene) as well as the complexity of chemical schemes are significant sources of model-to-model differences.

~~In this study, the projected mean surface O<sub>3</sub> concentrations and AOT40 dependent on global and regional emission pathways.~~ Compared to early 2000s, the results suggest changes in surface O<sub>3</sub> of  $-9.5 \pm 2.0$  ppb (NH) and  $-1.8 \pm 2.1$  ppb (SH) in the cleaner RCP2.6 scenario and of  $+4.4 \pm 2.8$  ppb (NH) and  $+5.1 \pm 2.1$  ppb (SH) in RCP8.5 scenario. For RCP2.6 and RCP4.5, absolute decreases are observed for the Mediterranean basin and the Western U.S. due to less precursor emissions in the NH extratropics, ~~(e.g. reduction of 5–7 ppb over Europe). Smaller reduction in surface O<sub>3</sub> levels in South and East Asia highlight the smaller changes in O<sub>3</sub> precursor emissions due to the recent emission growth in this region (e.g. Zhang et al., 2009; Xing et al., 2015).~~ For RCP8.5, all models show climate-driven increases in ground-level O<sub>3</sub> in particular over the Western U.S, Greenland, South Asia and Northeast China ~~and . The changes ranged from +1–5 ppb in surface O<sub>3</sub> over North America and Europe ranged from +1–5 ppb under RCP8.5. South Asia sees the greatest increase, up to more than 10 ppb for RCP 8.5.~~ This O<sub>3</sub> increase can be mainly attributed to substantial increase in CH<sub>4</sub> emissions coupled with a ~~strong~~ global warming, ~~exceeding 2°C,~~ and a weakened NO titration ~~and a greater stratospheric O<sub>3</sub> influx (Kawase et al., 2011; Wild et al., 2012; Young et al., 2013). A decline in CH<sub>4</sub> emissions will undoubtedly benefit future O<sub>3</sub> control.~~

Most important results from the study are the spatial patterns and projected changes in global AOT40 and risk areas for vegetation under the RCP scenarios. Even if AOT40 was computed year-round, the global models suggest that despite an improvement under RCP2.6 and RCP4.5, the AOT40-based critical levels for the protection of forests and crops will be

exceeded over many areas of the NH and they may be much more exceeded under RCP8.5 up to a factor exceeding 10 by 2100.

~~Even if AOT40 was computed year round, the global models suggest that AOT40-based critical levels for the protection of forests and crops will be exceeded over many areas of the NH, and in parts of North America, East and South Asia, and they may be exceeded by a factor exceeding 10 under RCP8.5. AOT40 was used widely during the last two decades, not only in Europe but also in South America (Moura et al., 2014) and Asia (Hoshika et al., 2011) when environmental factors are not limiting, e.g. water availability, air temperature, solar radiation affecting stomata opening (Anav et al., 2016; De Marco et al., 2016).~~

~~As a result, the flux-based metric is introduced as new standard for vegetation protection against effects of  $O_3$ , taking into account the modifying effects of multiple climatic and phenological factors on  $O_3$ -uptake (Paoletti and Manning, 2007; Sicard et al., 2016b,c).~~

Ozone may be a major threat to biodiversity over large regions of the world ~~(Sicard et al., 2016b)~~, however the size of these areas remains uncertain. The potential  $O_3$  impact on carbon assimilation, IO<sub>3</sub>, provides a clear indicator of the potential risk to vegetation. By 2100, the potential  $O_3$  impact on photosynthetic carbon assimilation decreases by 61% and 47% under RCP2.6 and RCP4.5, respectively and increases by 70% under RCP8.5, compared to early 2000s over the whole domain. ~~The potential risk areas for vegetation vary worldwide according to the dominant vegetation cover.~~ The strongest increase of the  $O_3$  impacts on vegetation is simulated in Northern America and Asia and central Africa. The highest reduction in risk for vegetation (i.e. Southeast North America, the northern Amazon, Central Africa and Southeast Asia) occurs over areas where a strong increase in greening, LAI and NPP is observed and where a reduction in  $O_3$  mean concentrations is found by 2100. ~~enerally, deciduous broadleaf are highly  $O_3$ -sensitive risk areas, grasslands and needleleaf forests are moderately  $O_3$ -sensitive risk areas. Crops are more sensitive to  $O_3$ -exposure than trees and deciduous trees are more sensitive than coniferous trees with lower stomatal conductance (Felzer et al., 2004; Ren et al., 2007; Wittig et al., 2009; Anav et al., 2011).~~

Many ecosystems worldwide are unprotected from  $O_3$  due to the lack of international efforts (Emberson et al., 2014). An efficient reduction in overall  $O_3$  levels is expected over North America and Europe in all RCP scenarios and worldwide if  $CH_4$  emissions are reduced (e.g. Kirtman et al., 2013; Pfister et al., 2014; Schnell et al., 2016). To efficiently protect vegetation against  $O_3$  pollution, suitable standards ~~taking into account the detoxification processes (e.g.~~

~~flux-based metric~~—are urgently needed and the mitigation actions must be as part of international emission reduction programmes. The flux-based metric is introduced as new standard for vegetation protection against effects of O<sub>3</sub>, taking into account the detoxification processes and the modifying effects of multiple climatic and phenological factors on O<sub>3</sub> uptake (Paoletti and Manning, 2007; Sicard et al., 2016b,c). Plant phenology plays a pivotal role in the climate system as it regulates the gas exchange between the biosphere and the atmosphere. Currently, in many O<sub>3</sub> risk assessment studies, the phenology function is based on a simple latitude and topography model and the chemistry models do not take into account the shifts in plant phenology and in start and end date of the growing season; however a first attempt to study the role of phenology on stomatal ozone uptake is shown by Anav et al (2017).

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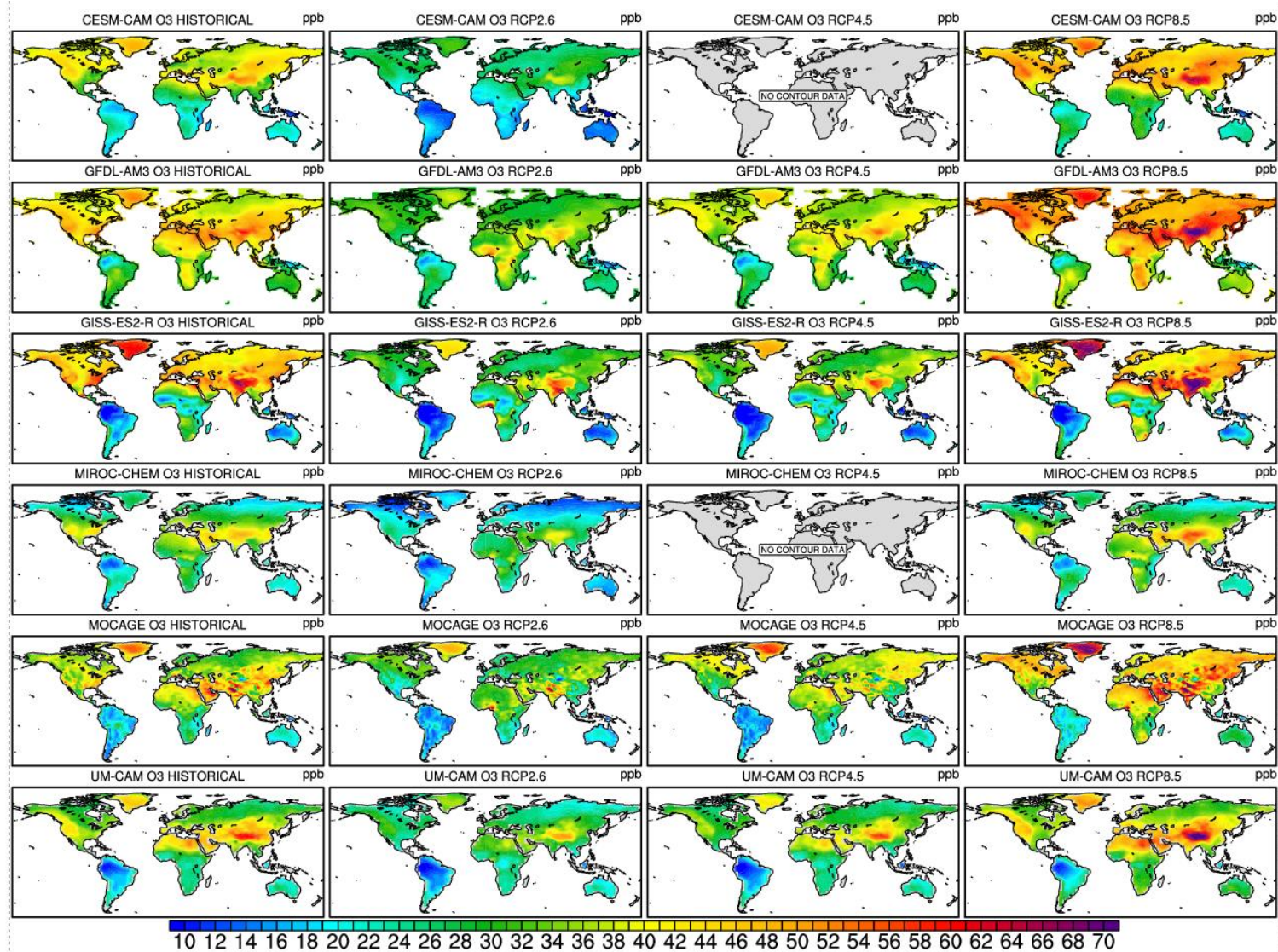
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1034 **Figure 1:** Surface ozone average concentrations (in ppb) at the lower model layer for each ACCMIP model over the historical  
1035 period and for RCP2.6, RCP4.5 and RCP8.5 simulations by 2100. The data are missing for 2 models under RCP4.5 ("No contour  
1036 data").

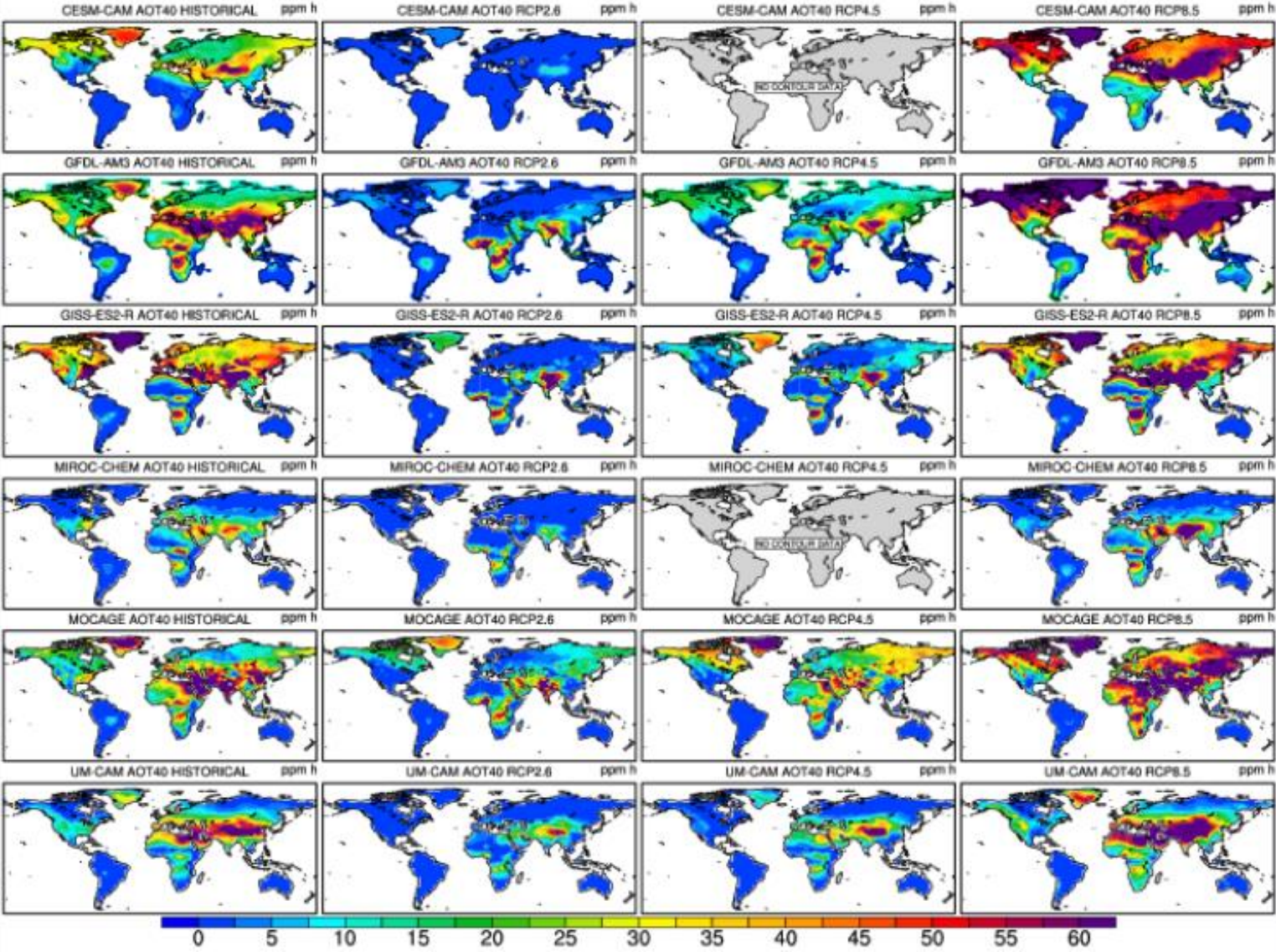




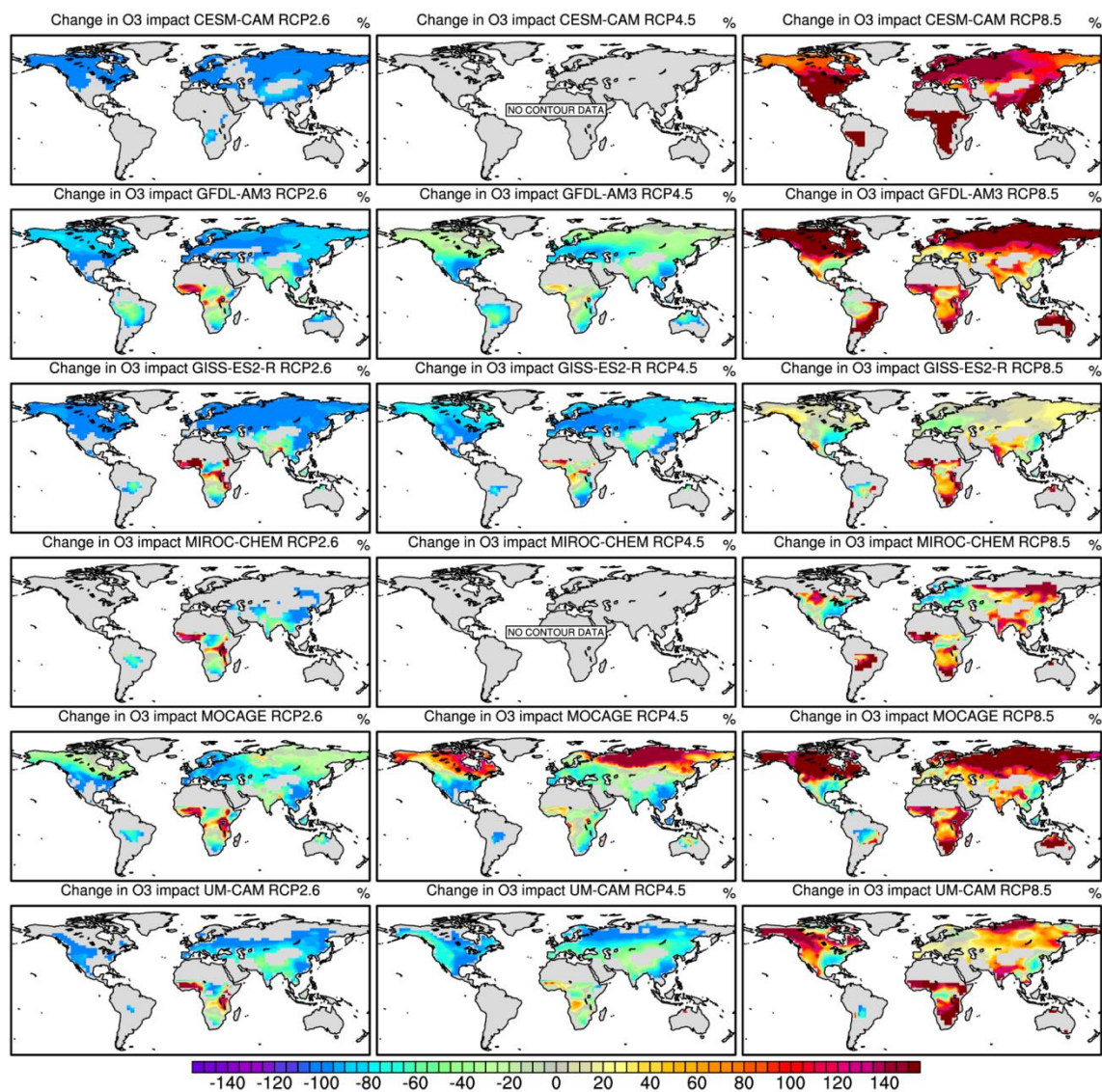
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1039 **Figure 2:** Surface mean AOT40 (in ppm.h) at the lower model layer for each ACCMIP model over the historical period and for  
 1040 RCP2.6, RCP4.5 and RCP8.5 simulations by 2100. The data are missing for 2 models under RCP4.5 ("No contour data").



1042 **Figure 3:** Simulated percentage changes (%) in the potential ozone impact on photosynthetic carbon assimilation (IO3) for each  
1043 ACCMIP model between RCP2.6, RCP4.5 and RCP8.5 simulations and the historical run. The data are missing for 2 models under  
1044 RCP4.5 ("No contour data").



1046 **Table 1:** Characteristics of the models, including simulation time slice, spatial resolution, simulated gas species and associated  
1047 bibliographic references (from Lamarque et al., 2013 and Young et al. 2013). Black carbon (BC), Organic carbon (OC), Secondary  
1048 Organic Aerosols (SOA), Dimethylsulfide (DMS), Chemistry Climate Model (CCM), Chemistry Transport Model (CTM),  
1049 Chemistry-General Circulation Model (CGCM).  
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Models	Type	Simulation length	Resolution (lat/lon)	Number of vertical pressure levels & top level	Species simulated	References
CESM-CAM	CCM	2000-2009 and 2100-2109	1.875/2.5	26 levels 3.5 hPa	<b>16 gas species;</b> constant present-day isoprene, soil NO <sub>x</sub> , DMS and volcanic sulfur, oceanic CO.	Lamarque et al., 2012
GFDL-AM3	CCM	2001-2010 and 2101-2110	2.0/2.5	48 levels 0.017 hPa	<b>81 gas species;</b> SO <sub>x</sub> , BC, OC, SOA, NH <sub>3</sub> , NO <sub>3</sub> ; constant pre-industrial soil NO <sub>x</sub> ; constant present-day soil and oceanic CO, and biogenic VOC; climate-sensitive dust, sea salt, and DMS.	Donner et al., 2011 Naik et al., 2012
GISS-E2-R	CCM	2000-2004 and 2101-2105	2.0/2.5	40 levels 0.14 hPa	<b>51 gas species;</b> interactive sulfate, BC, OC, sea salt, dust, NO <sub>3</sub> , SOA, alkenes; constant present-day soil NO <sub>x</sub> ; climate-sensitive dust, sea salt, and DMS; climate-sensitive isoprene based on present-day vegetation.	Lee and Adams, 2011 Shindell et al., 2012
MIROC-CHEM	CCM	2000-2010 and 2100-2104	2.8/2.8	80 levels 0.003 hPa	<b>58 gas species;</b> SO <sub>4</sub> , BC, OC; constant present-day VOCs, soil-NO <sub>x</sub> , oceanic-CO; climate-sensitive dust, sea salt and DMS.	Watanabe et al., 2011
MOCAGE	CTM	2000-2003 and 2100-2103	2.0/2.0	47 levels 6.9 hPa	<b>110 gas species;</b> constant present-day isoprene, other VOCs, oceanic CO and soil NO <sub>x</sub> .	Josse et al., 2004 Krinner et al., 2005 Teyss��dre et al., 2007
UM-CAM	CGCM	2000-2005 and 2094-2099	2.50/3.75	19 levels 4.6 hPa	<b>60 gas species;</b> constant present-day biogenic isoprene, soil NO <sub>x</sub> , biogenic and oceanic CO.	Zeng et al., 2008, 2010

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1052 **Table 2a:** Annual total emissions of CO (Tg CO/year), NMVOCs (Tg C/year), NO<sub>x</sub> (Tg N/year, including lightning and soil NO<sub>x</sub>),  
1053 total lightning NO<sub>x</sub> emissions (LNO<sub>x</sub>) and global atmospheric methane (CH<sub>4</sub>) burden (Tg) for the historical simulations in each  
1054 model (from Young et al., 2013 and \* from Voulgarakis et al., 2013).

Models	Historical				
	CO	* CH <sub>4</sub>	NMVOCs	NO <sub>x</sub>	*LNO <sub>x</sub>
CESM-CAM	1248	4902	429	50.0	4.2
GFDL-AM3	1246	4809	830	46.2	4.4
GISS-E2-R	1070	4793	830	48.6	7.7
MIROC-CHEM	1064	4805	833	57.3	9.7
MOCAGE	1168	4678	1059	47.9	5.2
UM-CAM	1148	4879	535	49.2	5.1

1066 **Table 2b:** Simulated percentage (%) changes in total emissions of CO, NMVOCs, NO<sub>x</sub> (including lightning and soil NO<sub>x</sub>), total  
1067 lightning NO<sub>x</sub> emissions (LNO<sub>x</sub>) and global atmospheric CH<sub>4</sub> burden for each model between 2100 and historical simulation for  
1068 RCPs (from Young et al., 2013 and \*Voulgarakis et al., 2013). The last row shows means and standard deviations (SD). Missing or  
1069 not available data are identified (n.a).

Models	RCP2.6 scenario					RCP4.5 scenario					RCP8.5 scenario				
	CO	VOCs	NO <sub>x</sub>	*LNO <sub>x</sub>	*CH <sub>4</sub>	CO	VOCs	NO <sub>x</sub>	*LNO <sub>x</sub>	*CH <sub>4</sub>	CO	VOCs	NO <sub>x</sub>	*LNO <sub>x</sub>	*CH <sub>4</sub>
CESM-CAM	- 36.7	0	- 52.8	+ 7.1	- 27.1	n.a	n.a	n.a	n.a	n.a	- 30.1	0	- 33.0	+ 29.7	+ 112.1
GFDL-AM3	- 36.9	- 5.0	- 47.0	+ 12.6	- 27.9	- 47.4	- 3.6	- 41.5	+ 23.5	- 9.3	- 30.3	- 1.9	- 22.4	+ 38.2	+ 116.1
GISS-E2-R	- 42.8	+ 0.5	- 44.2	+ 3.8	- 21.0	- 54.9	+ 6.9	- 39.2	+ 12.2	+ 4.6	- 35.1	+ 19.8	- 20.0	+ 26.2	+ 152.7
MIROC-CHEM	- 43.1	- 7.1	- 36.0	+ 7.5	- 28.2	n.a	n.a	n.a	n.a	n.a	- 35.4	- 3.4	- 6.9	+ 38.0	+ 116.0
MOCAGE	- 39.4	- 6.5	- 45.7	+ 5.2	- 28.8	n.a	n.a	n.a	n.a	n.a	- 32.3	- 2.8	- 22.9	+ 19.9	+ 113.4
UM-CAM	- 39.0	- 11.3	- 40.6	+ 8.1	- 27.9	- 50.4	- 9.2	- 36.0	+ 17.5	- 8.7	- 32.0	- 4.2	- 17.2	+ 43.6	+ 112.1
Mean ± SD	- 39.7 ± 2.2	- 4.9 ± 4.9	- 44.4 ± 4.3	+ 7.4 ± 2.0	- 26.8 ± 3.7	- 50.9 ± 3.2	- 2.0 ± 11.4	- 38.9 ± 2.3	+ 17.7 ± 3.7	- 4.5 ± 9.4	- 32.5 ± 1.8	+ 1.3 ± 11.6	- 20.4 ± 7.0	+ 32.6 ± 10.8	+ 120.4 ± 19.5

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1073 | **Table 3a:** Global and hemispheric (averaged over the land points of over the domain) mean annual-average surface ozone  
1074 concentrations (in ppb) and mean AOT40 (in ppm.h) for the historical simulations in each model (North and South Hemisphere, i.e  
1075 NH and SH). The last row shows means and standard deviations (SD).  
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Models	Ozone conc. global	Ozone conc. SH	Ozone conc. NH	AOT40 global	AOT40 SH	AOT40 NH
CESM-CAM	31.3	20.9	36.4	12.8	0.2	18.9
GFDL-AM3	38.6	30.6	42.9	21.8	4.7	30.8
GISS-E2-R	35.8	22.3	42.3	26.0	3.6	36.8
MIROC-CHEM	27.9	20.4	31.4	7.3	1.9	9.8
MOCAGE	32.9	21.5	38.3	22.9	3.5	31.8
UM-CAM	31.3	21.4	36.0	14.4	1.3	20.6
Mean ± SD	33.0 ± 3.8	22.9 ± 3.8	37.9 ± 4.3	17.5 ± 7.2	2.5 ± 1.7	24.8 ± 10.1

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1080 | **Table 3b:** Simulated percentage (%) changes in global and hemispheric mean annual-average surface ozone concentrations- (over  
1081 the land points of the domain) and in global mean stratospheric ozone column (\* from Voulgarakis et al., 2013) for each model  
1082 between 2100 and historical simulation for RCPs (North and South Hemisphere, i.e NH and SH). The last row shows means and  
1083 standard deviations (SD). Missing or not available data are identified (n.a).  
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Models	Surface ozone mean concentrations									* Stratospheric ozone		
	RCP2.6 global	RCP2.6 SH	RCP2.6 NH	RCP4.5 global	RCP4.5 SH	RCP4.5 NH	RCP8.5 global	RCP8.5 SH	RCP8.5 NH	RCP2.6 global	RCP4.5 global	RCP8.5 global
CESM-CAM	- 29.1	- 20.6	- 31.3	n.a	n.a	n.a	+ 21.9	+ 22.5	+ 20.5	n.a	n.a	+ 5.3
GFDL-AM3	- 20.5	- 10.8	- 24.5	- 11.7	- 6.9	- 13.5	+ 15.5	+ 18.6	+ 14.5	+ 3.3	+ 3.9	+ 8.4
GISS-E2-R	- 23.5	- 5.8	- 27.9	- 20.4	- 6.3	- 23.9	+ 7.0	+ 19.3	+ 3.8	+ 8.0	+ 8.8	+ 15.1
MIROC-CHEM	- 23.3	- 12.3	- 26.8	n.a	n.a	n.a	+ 3.9	+ 10.3	+ 2.2	+ 2.6	n.a	+ 4.2
MOCAGE	- 12.8	+ 7.4	- 18.5	- 1.8	+ 17.7	- 7.0	+ 23.1	+ 40.4	+ 16.7	+ 19.9	n.a	+ 23.6
UM-CAM	- 17.3	- 4.7	- 21.1	- 8.3	+ 0.9	- 10.8	+ 14.4	+ 24.3	+ 11.4	+ 6.7	+ 6.9	+ 7.4
Mean ± SD	- 21.1 ± 5.6	- 7.8 ± 9.4	- 25.0 ± 4.7	- 10.5 ± 7.7	+ 1.4 ± 11.5	- 13.8 ± 7.2	+ 13.8 ± 7.1	+ 22.6 ± 10.0	+ 11.5 ± 7.3	+ 8.1 ± 7.0	+ 6.5 ± 2.5	+ 10.7 ± 7.4

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1087 | **Table 3c:** Simulated percentage (%) changes in global and hemispheric mean AOT40 (over the land points of the domain) for each  
1088 model between 2100 and historical simulation for RCPs (North and South Hemisphere, i.e NH and SH). Missing or not available  
1089 data are identified (n.a).  
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Models	AOT40								
	RCP2.6 global	RCP2.6 SH	RCP2.6 NH	RCP4.5 global	RCP4.5 SH	RCP4.5 NH	RCP8.5 global	RCP8.5 SH	RCP8.5 NH
CESM-CAM	- 96.9	- 99.9	- 96.8	n.a	n.a	n.a	+ 138.3	+ 150.0	+ 134.9
GFDL-AM3	- 75.2	- 25.5	- 78.9	- 53.2	- 36.2	- 54.5	+ 96.3	+ 242.5	+ 85.1
GISS-E2-R	- 78.1	- 13.9	- 81.2	- 75.0	- 27.8	- 77.2	+ 22.3	+ 83.3	+ 19.5
MIROC-CHEM	- 74.0	- 10.5	- 80.6	n.a	n.a	n.a	+ 20.5	+ 78.9	+ 16.3
MOCAGE	- 53.7	+ 68.6	- 59.7	- 17.5	+ 202.9	- 28.3	+ 85.1	+ 448.6	+ 67.0
UM-CAM	- 73.6	+ 92.3	- 76.7	- 52.8	+ 7.7	- 54.8	+ 49.3	+ 176.9	+ 45.1
Mean ± SD	- 75.2 ± 13.7	+ 1.9 ± 69.5	- 79.0 ± 11.8	- 49.6 ± 23.8	+ 36.6 ± 112.4	- 53.7 ± 20.0	+ 68.6 ± 46.3	+ 196.7 ± 137.7	+ 61.3 ± 44.8

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1093 | **Table 3d:** Simulated percentage (%) changes in potential O<sub>3</sub> impact on vegetation (IO3, over the land points of the domain) for each  
1094 model between 2100 and historical simulation for RCPs (North and South Hemisphere, i.e NH and SH).Missing or not available  
1095 data are identified (n.a).  
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Models	Risk factor IO3								
	RCP2.6 global	RCP2.6 SH	RCP2.6 NH	RCP4.5 global	RCP4.5 SH	RCP4.5 NH	RCP8.5 global	RCP8.5 SH	RCP8.5 NH
CESM-CAM	- 97.2	- 91.8	- 97.5	n.a	n.a	n.a	+ 129.6	+ 146.8	+ 127.5
GFDL-AM3	- 69.4	- 49.1	- 74.8	- 50.1	- 61.1	- 47.2	+ 91.9	+ 95.5	+ 90.4
GISS-E2-R	- 66.1	- 20.7	- 74.3	- 71.7	- 53.3	- 74.6	+ 21.5	+ 56.6	+ 14.2
MIROC-CHEM	- 41.4	- 18.9	- 51.9	n.a	n.a	n.a	+ 41.0	+ 103.8	+ 25.5
MOCAGE	- 46.6	- 22.8	- 51.4	- 7.0	- 38.0	- 1.0	+ 77.7	+ 68.2	+ 80.0
UM-CAM	- 45.8	- 9.2	- 71.3	- 59.5	+ 2.0	- 69.0	+ 61.3	+ 84.2	+ 56.0
Mean ± SD	- 61.1 ± 21.1	- 35.5 ± 30.7	- 70.2 ± 17.2	- 47.1 ± 28.1	- 37.6 ± 28.1	- 47.9 ± 33.4	+ 70.5 ± 38.4	+ 92.5 ± 31.7	+ 65.6 ± 42.4

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