



1 **Technical Note: Evaluation of simultaneous measurements of mesospheric OH, HO<sub>2</sub>, and O<sub>3</sub>**  
2 **under photochemical equilibrium assumption: Statistical approach**

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11

12 **Abstract**

13

14 The Technical Note presents a statistically correct approach to evaluating simultaneous  
15 measurements of several atmospheric components under the assumption of photochemical  
16 equilibrium. We consider simultaneous measurements of OH, HO<sub>2</sub>, and O<sub>3</sub> at the altitudes of the  
17 mesosphere as a specific example and their daytime photochemical equilibrium as an evaluating  
18 relationship. A simplified algebraic equation relating local concentrations of these components in  
19 the 50-100 km altitude range has been derived. The parameters of the equation are air  
20 temperature, air concentration, local zenith angle, and the rates of 9 reactions. We have performed  
21 one-year simulation of the mesosphere and lower thermosphere using a 3D chemical-transport  
22 model. The simulation shows that the discrepancy between the calculated evolution of the  
23 components and the equilibrium value given by the equation does not exceed 3-4% in the full  
24 range of altitudes independent of season or latitude. We have developed the technique of statistic  
25 Bayesian evaluation of simultaneous measurements of OH, HO<sub>2</sub> and O<sub>3</sub> based on the equilibrium  
26 equation taking into account the measurement error. The first results of application of the technique  
27 to MLS/Aura data are presented in this Technical Note. It has been found that the satellite data of  
28 HO<sub>2</sub> distribution regularly demonstrates essentially lower altitudes of mesospheric maximum of this  
29 component. This has also been confirmed by offline retrieval of HO<sub>2</sub> from the MLS primary data.

30



## 31 1. Introduction

32

33 A prominent feature of the atmospheric photochemical systems is the presence of a large number  
34 of chemical components with short lifetime and concentrations close to stable photochemical  
35 equilibrium at every instant. The condition of balance between their sources and sinks is described  
36 by a system of algebraic equations. This system can be used to determine characteristics of hard  
37 to measure atmospheric species through other measurable components, validate results of remote  
38 or *in situ* measurements, estimate reaction rates usually known with significant uncertainty, and to  
39 understand processes and chemical reactions that influence variability of the most important  
40 atmospheric components, e.g. ozone, in the geographical region of interest.

41 This approach has found wide application:

42 (1) in 3D chemical transport models that include a large set of physical and chemical  
43 processes with a broad spectrum of spatio-temporal scales. In particular, the chemical family  
44 concept is widely used for simulating gas phase photochemistry of the lower and middle  
45 atmosphere (e.g., Douglass et al., 1989; Kaye and Rood, 1989; Rasch et al., 1995), when transport  
46 is taken into account only for the concentration of a chemical family, while relative concentrations  
47 of the constituent fast components are calculated from the instantaneous stable equilibrium  
48 condition. Complemented with the Henry law (e.g., Djouad et al., 2003; Tulet et al., 2006) in  
49 multiphase models, this approach markedly saves calculation time and increases the overall  
50 stability of the numerical scheme. Moreover, the use of the photochemical equilibrium condition to  
51 simulate fast components dynamics reduces the phase space dimension of box models  
52 significantly (e.g., Kulikov and Feigin, 2014), allowing a comprehensive analysis of nontrivial  
53 nonlinear dynamic properties of various atmospheric photochemical systems (e.g., Feigin and  
54 Konovalov, 1996; Feigin et al., 1998; Konovalov et al., 1999; Konovalov and Feigin, 2000; Kulikov  
55 et al., 2012).

56 (2) in investigations of the chemistry of the surface layer and free troposphere in different  
57 regions (over megalopolises, in rural areas, in the mountains, over the seas) based on  
58 measurements of nitrogen species, peroxy radicals, ozone, aerosols, and other components aimed  
59 at understanding processes impacting the surface ozone formation and air quality. The equilibrium  
60 condition is most frequently used for nitrogen species. For example, Chameides (1975) proposed a  
61 model for determining the vertical distribution of odd nitrogen, in which the  $\text{HNO}_3$  profile could be



62 deployed to retrieve profiles of five other components (NO, NO<sub>2</sub>, NO<sub>3</sub>, N<sub>2</sub>O<sub>5</sub>, and HNO<sub>2</sub>) from their  
63 photochemical equilibrium condition. In the paper by Stedman et al. (1975) the equation for NO<sub>2</sub>  
64 equilibrium that accounted only for the main source and sink of this component was applied to  
65 determine the photodissociation constant J(NO<sub>2</sub>). A more accurate equation for the NO<sub>2</sub> equilibrium  
66 was used by Crawford et al. (1996) and Kondo et al. (1996) to determine the NO<sub>2</sub>/NO partitioning  
67 and NO<sub>x</sub>, allowing, in particular, investigating the spatial distribution of NO<sub>x</sub>/NO<sub>y</sub> over the Pacific.

68 Night-time equilibrium in the NO<sub>2</sub>-NO<sub>3</sub>-N<sub>2</sub>O<sub>5</sub> system is used to determine surface layer N<sub>2</sub>O<sub>5</sub>  
69 concentration, equilibrium constant of this system, equilibrium partitioning between NO<sub>3</sub> and N<sub>2</sub>O<sub>5</sub>,  
70 and loss coefficients of NO<sub>3</sub>, N<sub>2</sub>O<sub>5</sub> and NO<sub>x</sub> (Martinez et al., 2000; Brown et al., 2003; Crowley et  
71 al., 2010; McLaren et al., 2010; Benton et al., 2010; Sobanski et al., 2016).

72 Platt et al. (1979) used the CH<sub>2</sub>O photochemical equilibrium condition to analyse results of  
73 simultaneous measurement of CH<sub>2</sub>O, O<sub>3</sub> and NO<sub>2</sub> and to identify mechanisms of CH<sub>2</sub>O formation  
74 over rural areas and in maritime air. In the papers by Ko et al. (2003), Cantrell et al. (2003),  
75 Penkett et al. (1997), Penkett et al. (1998) algebraic expressions derived from equilibrium  
76 conditions for H<sub>2</sub>O<sub>2</sub>, peroxy radicals and nitrogen species were used to determine equilibrium  
77 values of peroxide concentration, total peroxy radical level, and NO/NO<sub>2</sub> ratio, and to diagnose the  
78 ozone production and loss levels in clean or polluted troposphere.

79 (3) in stratospheric chemistry studies, including determination of a critical parameter in  
80 catalytic cycles of ozone destruction in the polar stratosphere. In particular, the equilibrium  
81 condition for ClO and Cl<sub>2</sub>O<sub>2</sub> along with the measurement data of daytime and night-time  
82 concentrations of these components in the polar stratosphere are used to evaluate the temperature  
83 dependence of the ClO concentration, reaction constants determining the  
84 ClO + ClO + M ↔ Cl<sub>2</sub>O<sub>2</sub> + M equilibrium, and the photolysis rate of Cl<sub>2</sub>O<sub>2</sub> (Ghosh et al., 1997;  
85 Avallone et al., 2001, Solomon et al., 2002; Stimpfle et al., 2004; von Hobe et al., 2005; Berthet et  
86 al., 2005; Butz et al., 2007; von Hobe et al., 2007; Kremser et al., 2011; Sumińska-Ebersoldt et al.,  
87 2012; Wetzal et al., 2012).

88 Pyle et al. (1983) proposed a method for derivation of the OH concentration from satellite  
89 infrared measurements of NO<sub>2</sub> and HNO<sub>3</sub> using a simple algebraic relation following from the  
90 equilibrium condition for HNO<sub>3</sub>. Algorithms for retrieving distributions of OH and HO<sub>2</sub> from the  
91 satellite measurement data of O<sub>3</sub>, NO<sub>2</sub>, H<sub>2</sub>O, HNO<sub>3</sub> by LIMS/Nimbus 7 and UARS with the help of  
92 algebraic models following from the photochemical equilibrium of O<sub>x</sub>, HO<sub>x</sub> and HNO<sub>3</sub> components



93 were proposed by Pyle and Zavody (1985), Pickett and Peterson (1996). It is also worthy of note  
94 that similar models are widely used for calculating concentrations of components with a short  
95 lifetime (e.g. O(<sup>1</sup>D) and OH) and subsequent evaluating vertical distributions of eddy diffusivity from  
96 measurements of trace gas concentration profiles (see, e.g., Massie and Hunten, 1981).

97 Kondo et al. (1988) made use of the photochemical equilibrium between NO and NO<sub>2</sub> for  
98 understanding diurnal variations of NO concentration measured during aircraft flights. In the paper  
99 by Webster et al. (1990) simultaneous *in situ* balloon-borne measurements of NO, NO<sub>2</sub>, HNO<sub>3</sub>, O<sub>3</sub>  
100 and N<sub>2</sub>O and the photochemical equilibrium condition for various nitrogen components were used  
101 to determine OH, N<sub>2</sub>O<sub>5</sub> and NO<sub>y</sub> concentrations. A similar approach was employed by Kawa et al.  
102 (1990), who obtained NO<sub>2</sub>, N<sub>2</sub>O<sub>5</sub>, ClNO<sub>3</sub>, HNO<sub>3</sub> and OH concentrations from aircraft measurements  
103 of NO, ClO and O<sub>3</sub> concentrations. Hauchecorne et al. (2010) found that NO<sub>3</sub> concentration  
104 measured by GOMOS/ENVISAT positively correlates with temperature at altitudes up to 45 km in  
105 the region where NO<sub>3</sub> is in chemical equilibrium with O<sub>3</sub>. Funke et al. (2005) used NO and NO<sub>2</sub>  
106 stable-state photochemistry to verify correctness of the new approach of retrieving distributions of  
107 those component from MIPAS/ENVISAT measurement data. Marchland et al. (2007) proposed a  
108 method to retrieve the temperature distribution in the stratosphere between 30 km and 40 km from  
109 O<sub>3</sub> and NO<sub>3</sub> measurements by GOMOS with the help of a simple equation derived from the night-  
110 time NO<sub>3</sub> chemical equilibrium.

111 (4) in investigations of the chemistry of O<sub>x</sub>–HO<sub>x</sub> components and atmospheric glows in the  
112 mesosphere and MLT area. In particular, Kulikov et al. (2006, 2009) proposed algorithms for the  
113 simultaneous retrieval of O, H, HO<sub>2</sub> and H<sub>2</sub>O from joint OH and O<sub>3</sub> satellite measurement, in which  
114 the assumption of photochemical equilibrium of O<sub>3</sub>, OH, and HO<sub>2</sub> was utilized. For several decades  
115 the assumption of the photochemical equilibrium of ozone (PEO) was widely used to determine  
116 distributions of atomic oxygen and atomic hydrogen at altitudes of the MLT via satellite and rocket  
117 measurement of ozone concentration and airglow emissions (e.g., Evans and Llewellyn, 1973;  
118 Good, 1976; Pendleton et al., 1983; McDade et al., 1985; McDade and Llewellyn, 1988; Evans et  
119 al., 1988; Thomas, 1990; Llewellyn et al., 1993; Llewellyn and McDade, 1996; Mlynczak et al.,  
120 2007, 2013a, 2013b, 2014; Smith et al., 2010; Siskind et al., 2008, 2015). Russell and Lowe (2003)  
121 applied PEO to infer the seasonal and global climatology of atomic oxygen using WINDII/UARS.  
122 PEO was deployed to investigate hydroxyl emission mechanisms, morphology, and variability in  
123 the upper mesosphere – lower thermosphere region (Marsh et al., 2006; Xu et al., 2010, 2012;



124 Kowalewski et al., 2014). Mlynczak and Solomon (1991, 1993) and Mlynczak et al. (2013b) used  
125 the equilibrium assumption to derive exothermic chemical heat. The PEO assumption employed for  
126 studying the mesospheric OH\* layer response to gravity waves (Swenson and Gardner, 1998). In  
127 ultimately theoretical works, e.g. Grygalashvyly et al. (2014), Grygalashvyly (2015), PEO was used  
128 to derive the dependence of excited hydroxyl layer concentration and altitude on atomic oxygen  
129 and temperature. In the paper by Sonnemann et al. (2015) it was used to analyze annual variations  
130 of OH\* layer. Moreover, PEO is frequently applied implicitly, when authors are equating the night-  
131 time loss of ozone in the reaction with atomic hydrogen and production of ozone by a 3-body  
132 reaction of molecular and atomic oxygen (e.g., Nikoukar et al., 2007).

133 In the present Technical note we demonstrate how the photochemical equilibrium condition  
134 of several atmospheric components may be employed to statistically correctly validate data of their  
135 simultaneous measurements, particularly in the case when measurement error is large.

136 We consider the simultaneous photochemical daytime equilibrium of OH, HO<sub>2</sub>, and O<sub>3</sub> at the  
137 altitudes of the mesosphere. We have derived a simplified algebraic equation

$$138 \quad F(\text{OH}, \text{HO}_2, \text{O}_3) = 1,$$

139 describing the relationship between local concentrations of the components at the altitudes of 50–  
140 100 km. The only parameters of the equation are air temperature, air concentration, local zenith  
141 angle, and constants of 9 reactions. One-year simulation of the mesosphere and lower  
142 thermosphere based on a 3D chemical-transport model shows that the discrepancy between the  
143 calculated evolution of the components and the equilibrium value given by the equation does not  
144 exceed 3–4 % in the full range of altitudes independent of season or latitude.

145 We have developed a technique of statistical Bayesian evaluation of simultaneous  
146 measurement of OH, HO<sub>2</sub> and O<sub>3</sub> based on the mentioned equilibrium equation taking into account  
147 the measurement error. The first results of its application to MLS/Aura data (Wang et al., 2015a,b;  
148 Schwartz et al., 2015) are presented. It is found that the satellite data of HO<sub>2</sub> distribution regularly  
149 demonstrates essentially lower altitudes of this component's mesospheric maximum. These results  
150 confirm the ones obtained via the offline retrieval of HO<sub>2</sub> from the MLS primary data (Millán et al.,  
151 2015).

152 The Technical Note is structured as follows. A 3D chemical transport model is briefly  
153 described in Sect. 2. In Sect. 3 a simplified algebraic relationship between the equilibrium  
154 concentrations of OH, HO<sub>2</sub> and O<sub>3</sub> is derived and verified by 3D simulations. Section 4 presents the



155 method of statistical evaluation of simultaneous data of OH, HO<sub>2</sub> and O<sub>3</sub>. The results of applying  
156 the method to MLS/Aura data are presented in Sect. 5. The last Section contains discussion of the  
157 results followed by concluding remarks.

158

## 159 2. Model and calculations

160

161 For our calculations we used the global 3D chemical transport model (CTM) of the middle  
162 atmosphere developed by the Leibniz Institute of Atmospheric Physics (IAP). It was designed  
163 particularly for investigation of the spatio-temporal structure of phenomena in the MLT region and  
164 specifically in the extended mesopause region. The model includes 3D advective and vertical  
165 diffusive transport (turbulent and molecular). The grid-point model extends from the ground up to  
166 the lower thermosphere (0–150 km; 118 pressure-height levels). The horizontal resolution amounts  
167 to 5.625° latitudinally and 5.625° longitudinally. The chemistry module consists of 19 constituents,  
168 49 chemical reactions, and 14 photo-dissociation reactions. CTM was described in numerous  
169 papers (e.g., Sonnemann et al., 1998; Körner and Sonnemann, 2001; Grygalashvyly et al., 2009,  
170 2011, 2012). It was validated, particularly for ozone, with measurement in a number of papers  
171 (Hartogh et al., 2004, 2011; Sonnemann et al., 2006a, 2006b, 2007). Three-dimensional fields of  
172 temperature and winds are used from the Canadian Middle Atmosphere Model (CMAM) for the  
173 year 2000 (de Grandpre et al., 2000; Scinocca et al., 2008).

174 We calculated the annual variation of spatio-temporal distributions of OH, HO<sub>2</sub>, and O<sub>3</sub> and  
175 constructed distributions of the  $F(OH, HO_2, O_3)$  function introduced in Sect. 1. To remove  
176 transitional regions that correspond to sunset and sunrise, we took into account only periods of  
177 local time with the solar zenith angle  $\chi < 85^\circ$ . The previous research based on satellite  
178 measurements had often showed results on pressure heights, or “pseudo altitudes”. Following  
179 them, in the present paper we present our results on the pressure heights  $z^* = -H \ln(p/p_0)$ ,  
180 where  $H = 7$  km is the scale height,  $p$  is the pressure, and  $p_0 = 1013$  hPa is the pressure at the  
181 surface.

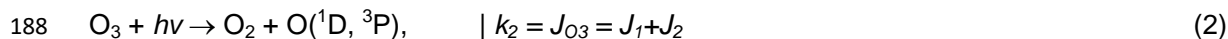
182

## 183 3. Daytime photochemical equilibrium of OH, HO<sub>2</sub>, and O<sub>3</sub> at the altitudes of the mesosphere

184



185 The daytime balance of OH, HO<sub>2</sub>, and O<sub>3</sub> concentrations at mesospheric altitudes is determined by  
 186 the following primary reactions:



196 where  $k_1$ – $k_9$  are the corresponding reaction constants,  $J_{\text{O}_3}$  is the total dissociation rate of ozone,  
 197 which is the sum of two branches,  $J_1$  and  $J_2$ , and M is air concentration. Expressions for local  
 198 concentrations of OH, HO<sub>2</sub>, and O<sub>3</sub> in the photochemical equilibrium are written in the form

$$199 \quad \text{OH} = (k_3 \cdot \text{O}_3 \cdot \text{H} + k_5 \cdot \text{HO}_2 \cdot \text{O} + 2k_8 \cdot \text{HO}_2 \cdot \text{H}) / (k_4 \cdot \text{O} + k_7 \cdot \text{O}_3 + k_9 \cdot \text{HO}_2) \quad (10)$$

$$200 \quad \text{HO}_2 = (k_6 \cdot \text{M} \cdot \text{O}_2 \cdot \text{H} + k_7 \cdot \text{O}_3 \cdot \text{OH}) / (k_5 \cdot \text{O} + k_8 \cdot \text{H} + k_9 \cdot \text{OH}) \quad (11)$$

$$201 \quad \text{O}_3 = \frac{k_1 \cdot \text{M} \cdot \text{O}_2 \cdot \text{O}}{k_2 + k_3 \cdot \text{H} + k_7 \cdot \text{OH}} \quad (12)$$

202 Almost everywhere in the mesosphere and lower thermosphere (with the exception of 85-95 km,  
 203 see Kulikov et al., 2017) the photodissociation reaction Eq. (2) is the main ozone sink, i.e.  
 204  $k_2 \gg k_3 \cdot \text{H} + k_7 \cdot \text{OH}$ . Therefore, in the zero order approximation Eq. (12) can be simplified and the  
 205 concentration of atomic oxygen can be defined in terms of ozone concentration:

$$206 \quad \text{O} = \frac{k_2 \cdot \text{O}_3}{k_1 \cdot \text{M} \cdot \text{O}_2} \quad (13)$$

207 Making use of Eq. (13) we can derive from Eq. (11) an expression for the concentration of H in  
 208 terms of concentrations of HO<sub>2</sub> and O<sub>3</sub>. By substituting this equation and Eq. (13) into Eq. (10) we  
 209 obtain an expression relating OH, HO<sub>2</sub>, and O<sub>3</sub>:

$$210 \quad F(\text{OH}, \text{HO}_2, \text{O}_3) = \alpha \cdot \frac{k_4 \cdot \text{OH}}{k_5 \cdot \text{HO}_2} = 1, \quad (14)$$

211 where



$$212 \quad \alpha = \left(1 + \frac{(k_7 + k_9 \cdot HO_2 / O_3) \cdot k_6 \cdot k_1 \cdot M \cdot O_2 + k_1 \cdot k_3 \cdot k_7 \cdot O_3 + 2 \cdot k_1 \cdot k_7 \cdot k_8 \cdot HO_2}{k_4 \cdot k_6 \cdot k_2}\right) / \left(1 + \frac{k_3 \cdot O_3 + 2 \cdot k_8 \cdot HO_2}{k_6 \cdot M \cdot O_2}\right).$$

213 Figure 1 shows height–latitude cross-sections of  $\langle F(OH, HO_2, O_3) \rangle$  for each month (in this  
 214 Section angle brackets denote monthly averaged zonal mean values). The dashed area  
 215 corresponds to  $\chi > 85^\circ$ . One can see that eq. (14) is most accurate within the 50–80 km range and  
 216 above 90 km, where  $|\langle F \rangle - 1| \leq 1\%$ . The difference reaches 3–4 % in the region between 80 km  
 217 and 90 km. The altitude of this region has an annual variation with a maximum deviation in the  
 218 winter hemisphere. Below 50 km the value of  $\langle F \rangle$  sharply drops down to 0.25–0.30 at 40 km (not  
 219 shown in Fig. 1), thus below the stratopause Eq. (14) no longer describes simultaneous  
 220 photochemical equilibrium of OH, HO<sub>2</sub> and O<sub>3</sub>.

221

#### 222 4. Method of statistical evaluation of simultaneous measurement of OH, HO<sub>2</sub> and O<sub>3</sub>

223

224 The proposed method is based on the statistical Bayesian procedure described in the works by  
 225 Kulikov et al. (2009) and Nechaev et al. (2016). It was originally developed for retrieving trace gas  
 226 concentrations in the mesosphere from ground-based and satellite measurements of other  
 227 mesospheric components. With respect to the considered evaluation problem this procedure  
 228 consists of three steps: (1) constructing conditional probability density function (PDF) of OH, HO<sub>2</sub>  
 229 and O<sub>3</sub> concentration values at each altitude  $z$  in the selected interval assuming that there is  
 230 certain measurement data of these components and the algebraic relationship (14) is valid; (2)  
 231 calculating the first moments of this distribution, i.e. expected value and dispersion of each  
 232 component using the Metropolis-Hastings algorithm (Chib and Greenberg, 1995) for  
 233 multidimensional integration; (3) comparing the obtained results with the initial measurement data.

234 For constructing posterior PDF it is convenient to introduce vector  $\bar{u}\{HO_2^r, O_3^r, OH^r\}$ , whose  
 235 components are the retrieved values of chemical species concentrations at a certain  $z$ , and vector  
 236  $\bar{x}\{HO_2^m, O_3^m, OH^m\}$  composed of experimentally measured values of the components of vector  $\bar{u}$ ,  
 237  $x_j = u_j + \xi_j$ ,  $j = 1.3$ , where  $\xi_j$  is a random error of measuring the  $j$ -th component of vector  $\bar{u}$  at the  
 238 altitude  $z$ . It is assumed that

239 (1) random variables  $\xi_j$  are distributed normally with densities





$$w_j(\xi_j) = \frac{1}{\sqrt{2\pi}\sigma_j} \exp\left(-\frac{\xi_j^2}{2\sigma_j^2}\right); \quad (15)$$

(2)  $\xi_j$  are mutually independent:

$$\vec{\xi} \{ \xi_1, \xi_2, \xi_3 \} \sim W_{\vec{\xi}}(\vec{\xi}) = \prod_j w_j(\xi_j), \quad (16)$$

where  $W_{\vec{\xi}}(\vec{\xi})$  is the total PDF of all  $\xi_j$ ;

(3) dispersions  $\sigma_j$  in Eq. (15), that are expected error values, are assumed to be known a priori (in our case they are provided by the MLS retrieval algorithm along with measured data).

Then the probability to observe vector  $\vec{x}$  is given by the conditional PDF

$$P_x(\vec{x} | \vec{u}) = \int \delta(\vec{x} - \vec{u}) W_{\vec{\xi}}(\vec{\xi}') d^3 \xi' = W_{\vec{\xi}}(\vec{x} - \vec{u}), \quad (17)$$

where  $\delta(\dots)$  is delta function.

The prior relationship of  $HO_2^r$ ,  $O_3^r$  and  $OH^r$  concentrations (Eq. (14)) can be written as

$u_3 = G(u_1, u_2)$ . Integrating the left-hand side of Eq. (17) with conditional PDF of the variable  $u_3$ :

$$P_{u_3}(u_3 | u_1, u_2) = \delta(u_3 - G(u_1, u_2)),$$

yields a likelihood function of the model

$$P_x(\vec{x} | u_1, u_2) = w_3(x_3 - G(u_1, u_2)) \cdot w_1(x_1 - u_1) w_2(x_2 - u_2). \quad (18)$$

According to Bayes' theorem, the posterior function, i.e. the probability density of latent variables  $u_1$  and  $u_2$ , under the condition that  $\vec{x}$  is observed, is defined by the expression

$$P(u_1, u_2 | \vec{x}) \propto P_x(\vec{x} | u_1, u_2) \cdot P_{apr}(u_1, u_2) \\ \propto \exp\left(-\frac{(x_1 - u_1)^2}{2\sigma_1^2}\right) \cdot \exp\left(-\frac{(x_2 - u_2)^2}{2\sigma_2^2}\right) \cdot \exp\left(-\frac{(x_3 - G(u_1, u_2))^2}{2\sigma_3^2}\right) \cdot P_{apr}(u_1, u_2) \quad (19)$$

in which  $P_{apr}(u_1, u_2)$  defines prior PDF of  $u_1$  and  $u_2$ .

The retrieved value of the latent variable  $u_{1,2,3}$  is hereinafter understood as the mean value of the function in Eq. (19):



$$\langle u_{1,2} \rangle = \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} u_{1,2} \cdot P(u_1, u_2 | \bar{x}) du_1 du_2 \quad (20)$$

$$\langle u_3 \rangle = \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} G(u_1, u_2) \cdot P(u_1, u_2 | \bar{x}) du_1 du_2.$$

Its dispersion defines the uncertainty of the retrieval:

$$\sigma_{u_j} = \sqrt{\langle u_j^2 \rangle - \langle u_j \rangle^2}, \quad j = 1, 3, \quad (21)$$

where the angle brackets denote averaging in the sense of Eq. (20).

264

## 265 5. MLS/Aura data evaluation and results

266

267 We used the results of simultaneous measurement of trace gas concentrations and air temperature  
 268  $T^{MLS}(p)$  obtained with MLS/ Aura (Wang et al., 2015a,b; Schwartz et al., 2015) within the  $10^0 - 10^2$   
 269 hPa air pressure interval. We took the daytime data when the solar zenith angle  $\chi < 80^\circ$  for  
 270 January, May, and September 2005. The integrals in Eq. (20)–(21) were calculated at every  
 271 pressure level  $p$  for each set of simultaneously measured vertical profiles  $OH^{MLS}(p)$ ,  $HO_2^{MLS}(p)$ ,  
 272  $O_3^{MLS}(p)$ ,  $T^{MLS}(p)$ ,  $\sigma_{OH^{MLS}}(p)$ ,  $\sigma_{HO_2^{MLS}}(p)$ ,  $\sigma_{O_3^{MLS}}(p)$ . The vertical profiles  $\langle OH^r \rangle(p)$ ,  
 273  $\langle HO_2^r \rangle(p)$ ,  $\langle O_3^r \rangle(p)$ ,  $\sigma_{OH^r}(p)$ ,  $\sigma_{HO_2^r}(p)$ ,  $\sigma_{O_3^r}(p)$  were found at each point of the globe along  
 274 the satellite track. Numerical integration was performed by a Monte Carlo method. For each  
 275 pressure level, a sample of about  $5 \cdot 10^5$  pairs of random variable values  $\{u_1, u_2\} = \{HO_2^r, O_3^r\}$   
 276 distributed with normalized probability density given by Eq. (19) with  $P_{apr}(u_1, u_2) \equiv 1$  was generated  
 277 with the help of the Metropolis-Hastings algorithm (Chib and Greenberg, 1995). In this case, the  
 278 statistical moments in Eq. (20)–(21) were determined by summation over the sample.

279 A typical example of retrieved profiles  $HO_2^r$ ,  $O_3^r$  and  $OH^r$  (black curves) in comparison with  
 280 the measured  $HO_2^{MLS}$ ,  $O_3^{MLS}$  and  $OH^{MLS}$  (red curves) is given in Fig. 2. First of all, note that  
 281 statistics of the retrieved data is in satisfactory agreement with the initial measurement of OH and  
 282  $O_3$  concentrations, but not of  $HO_2$ . The error of satellite measurement,  $\sigma_{HO_2^{MLS}}$ , greatly exceeds the  
 283 uncertainty of retrieval,  $\sigma_{HO_2^r}$ , so at some altitudes the values of  $\langle HO_2^{MLS} \rangle$  (red dashed curves) do



284 not fall within the corresponding intervals  $\langle HO_2^r \rangle \pm \sigma_{HO_2^r}$ . Second, the results of a single  
285 measurement of all three components and their retrieved values have considerable uncertainties  
286 relative to their means within the whole interval of altitudes. Therefore, the observed and retrieved  
287 data should be compared using the commonly accepted approach (e.g., Pickett et al., 2008) of  
288 averaging large ensembles of profiles within certain latitude and time ranges, or zones. It is  
289 supposed that the noise of satellite measurement instruments is delta-correlated, so that random  
290 values corresponding to each single measured or retrieved profile are statistically independent. In  
291 this case the dispersion of a measured or retrieved zonal mean profile is determined by summation

$$292 \quad \sigma_{\Sigma}^2 = \frac{1}{N^2} \sum_{k=1}^N \sigma_k^2,$$

293 where  $N$  is the number of measured or retrieved profiles within the zone and  $\sigma_k^2$  is the dispersion  
294 of the  $k$ -th measured or retrieved profile.

295 The range of latitudes  $\pm 82^\circ$  N covered by the satellite trajectory was divided into 16 equal  
296 zones. About 3000 single profiles of each chemical component fall into one zone during each  
297 month of MLS/Aura observations. Therefore, the resulting uncertainties of OH, HO<sub>2</sub> and O<sub>3</sub>  
298 concentration profiles (both measured and retrieved) averaged over such ensembles are  
299 significantly (about one and a half order of magnitude) lower than the uncertainties of individual  
300 profiles. Examples of such profiles for January, May and September 2005 are presented in Fig. 3.  
301 One can see that the indicated uncertainties are now small enough to make clear conclusions  
302 about the extent to which the observed and retrieved profiles agree by comparing their averaged  
303 values only, i.e.  $\langle OH^{MLS} \rangle$ ,  $\langle HO_2^{MLS} \rangle$ ,  $\langle O_3^{MLS} \rangle$  and  $\langle OH^r \rangle$ ,  $\langle HO_2^r \rangle$ ,  $\langle O_3^r \rangle$ .

304 Figures 4–6 show monthly averaged zonal mean pressure–latitude cross-sections of  
305  $\langle HO_2^r \rangle$ ,  $\langle HO_2^{MLS} \rangle$ ,  $\Delta HO_2 = (\langle HO_2^r \rangle - \langle HO_2^{MLS} \rangle) / \langle HO_2^{MLS} \rangle$  and similar characteristics for  
306 OH and O<sub>3</sub> concentration profiles for three months of the year 2005. First, clearly, the distributions  
307 of  $\langle OH^r \rangle$  and  $\langle O_3^r \rangle$  are in good qualitative and quantitative agreement with the initial MLS/Aura  
308 measurement data at lower altitudes, below  $\sim 0.1$  hPa. At higher altitudes, the distributions of  
309  $\langle OH^r \rangle$  reproduce all the main structural features of  $\langle OH^{MLS} \rangle$  except for a small 2–3 km  
310 downward displacement of the OH layer. Moreover, at these altitudes the retrieved OH  
311 concentration has lower values than the observed one with a relative difference  $\Delta OH$  reaching



312 several tens of percent at altitudes above  $\sim 0.05$  hPa. The distribution of  $\langle O_3^r \rangle$  above 0.1 hPa, in  
313 turn, differs considerably from  $\langle O_3^{MLS} \rangle$ , both in quantity and quality, especially within the 0.003–  
314 0.05 hPa altitude interval, where  $\Delta O_3$  locally reaches several times. Second, for all months there  
315 are significant qualitative and quantitative differences between  $\langle HO_2^r \rangle$  and  $\langle HO_2^{MLS} \rangle$ , the most  
316 noticeable one being location of the mesospheric maximum of this component's concentration.  
317 According to the observations it is close to 0.1 hPa, while the retrieved data demonstrates the  
318 altitude of about 0.05 hPa.

319

## 320 6. Discussion and conclusion

321

322 On the basis of the data presented in Section 5 we can conclude that, upon the whole,  
323 simultaneous OH, HO<sub>2</sub> and O<sub>3</sub> satellite measurements poorly satisfy the photochemical equilibrium  
324 condition. The HO<sub>2</sub> component biases from this condition most prominently. We can conjecture that  
325 a possible explanation for the bias is significant systematic error in HO<sub>2</sub> measurements, in  
326 particular, in the height of mesospheric maximum. The discrepancy between the measured and  
327 real HO<sub>2</sub>, in turn, impacts the retrieved data for OH and O<sub>3</sub> and, above 0.1 hPa, leads to a  
328 significant mismatch between the averaged retrieved values ( $\langle OH^r \rangle$ ,  $\langle O_3^r \rangle$ ) and averaged  
329 measured values ( $\langle OH^{MLS} \rangle$ ,  $\langle O_3^{MLS} \rangle$ ).

330 EOS MLS data quality and description document (Livesey et al., 2017) states that  
331 scientifically useful pressure range for HO<sub>2</sub> measurements is 22 – 0.046 hPa. Exclusion from  
332 Figs. 4-6 of the range above 0.046 hPa diminishes the mismatch for OH and O<sub>3</sub> but not for HO<sub>2</sub>. An  
333 algorithm for offline retrieval of daily zonal means of HO<sub>2</sub> using averaged MLS radiances was  
334 performed by Millán et al. (2015). The product of the algorithm, the alternative dataset of night-time  
335 and daytime HO<sub>2</sub>, recently became available at <https://mls.jpl.nasa.gov>. Figure 7 shows the  
336 monthly averaged zonal means from the dataset ( $\langle HO_2^{MLS}_{offline} \rangle$ ) corresponding to Figs. 4-6.  
337 Figure 7 depicts the same monthly means as Figures 4-6. One can see that the results of the  
338 offline HO<sub>2</sub> retrieval show the same features as the results of our evaluation technique as  
339 compared to standard MLS retrieval: the height of mesospheric HO<sub>2</sub> maximum is higher. It is worth  
340 noting that the positions of the maximum in  $\langle HO_2^r \rangle$  and  $\langle HO_2^{MLS}_{offline} \rangle$  distributions are close.



341 For detailed qualitative and quantitative comparison of  $\langle HO_2^r \rangle$  and  $\langle HO_2^{MLS}_{offline} \rangle$  one should  
342 use the evaluation procedure technique for zonally averaged all three MLS components, which  
343 requires significant modification of the procedure and is beyond the scope of this technical Note.

344 The proposed method for evaluation of mesospheric species measurements can be readily  
345 generalized to other atmospheric photochemical systems that contain short lifetime components  
346 (see the Introduction). It may also be modified for assessing hard to measure chemical  
347 components, characteristics of atmospheric processes (like wind speed or turbulent diffusion rate),  
348 or poorly known reaction rates. Such evaluation will be correct from the statistical point of view.

349

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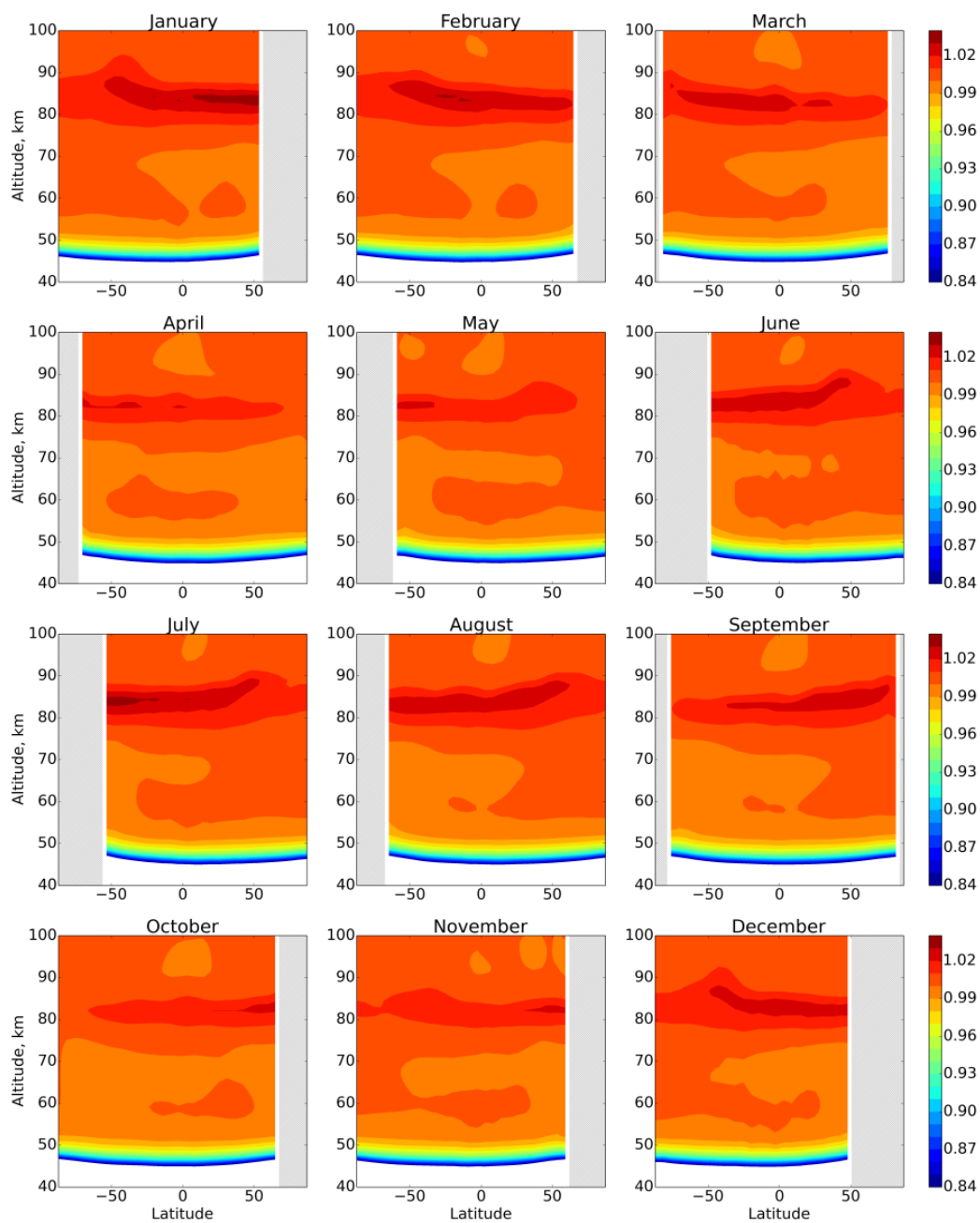
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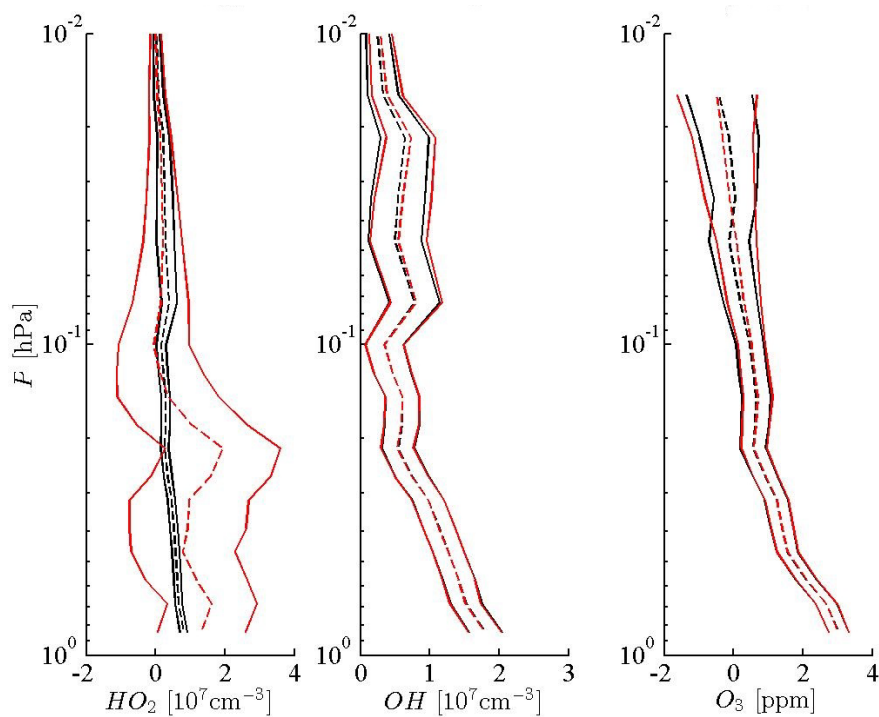
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679 Figure 1. Daytime monthly averaged zonal mean  $\langle F \rangle$  distributions.

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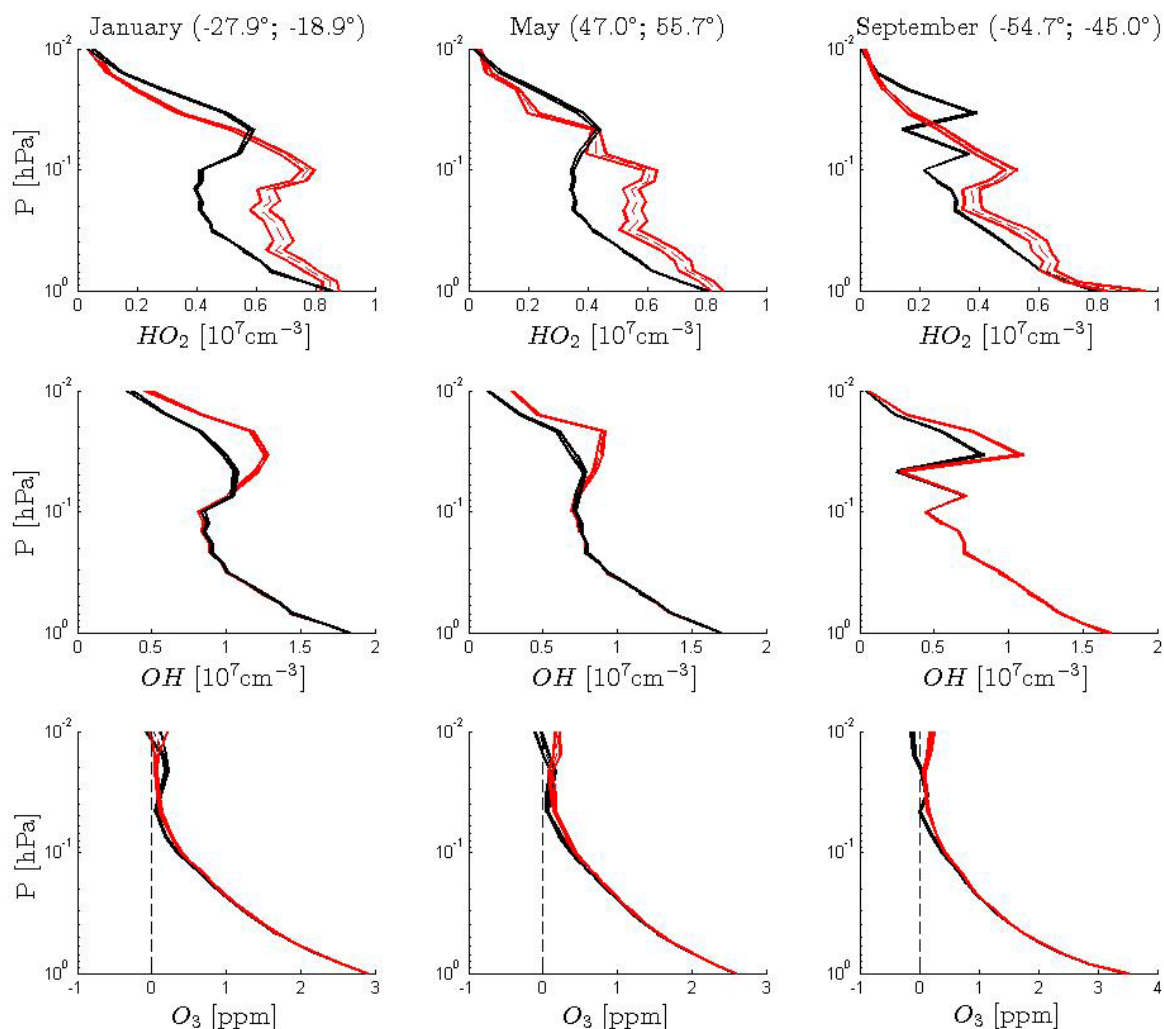
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684 Figure 2. Example of OH, HO<sub>2</sub> and O<sub>3</sub> vertical profiles measured (red curves) on 15 January 2005  
685 at 13.07 UT, 38.53°N, 357.33°W and corresponding retrieved profiles (black curves). Solid curves:  
686 boundaries of the 65% confident intervals, dashed curves: medians.

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691 Figure 3. Examples of monthly averaged zonal mean vertical profiles of OH, HO<sub>2</sub> and O<sub>3</sub> measured  
692 (red curves) in January, May and March 2005 and corresponding retrieved profiles (black curves).

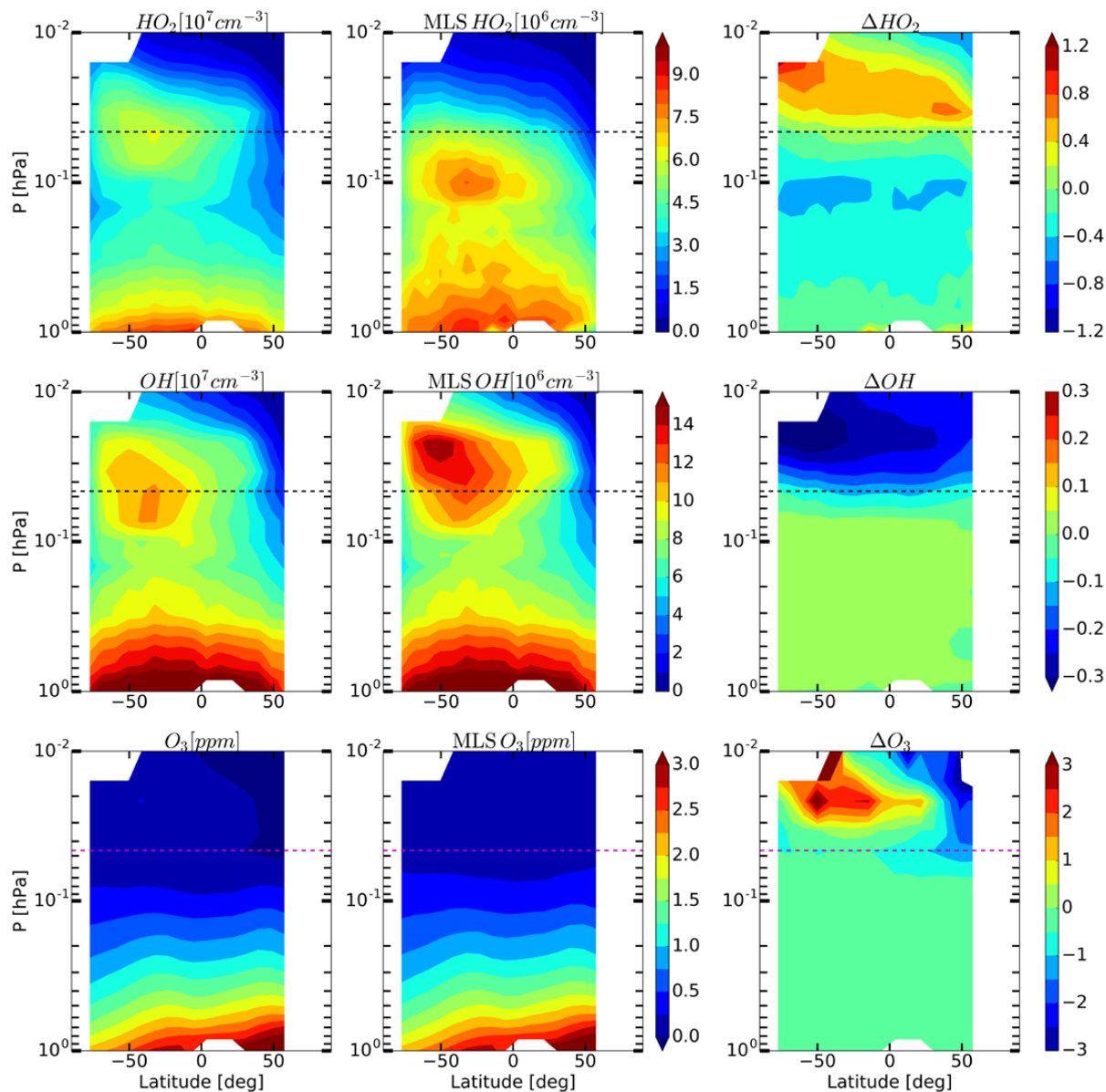
693 Solid curves: boundaries of the 65% confident intervals, dashed curves: medians.

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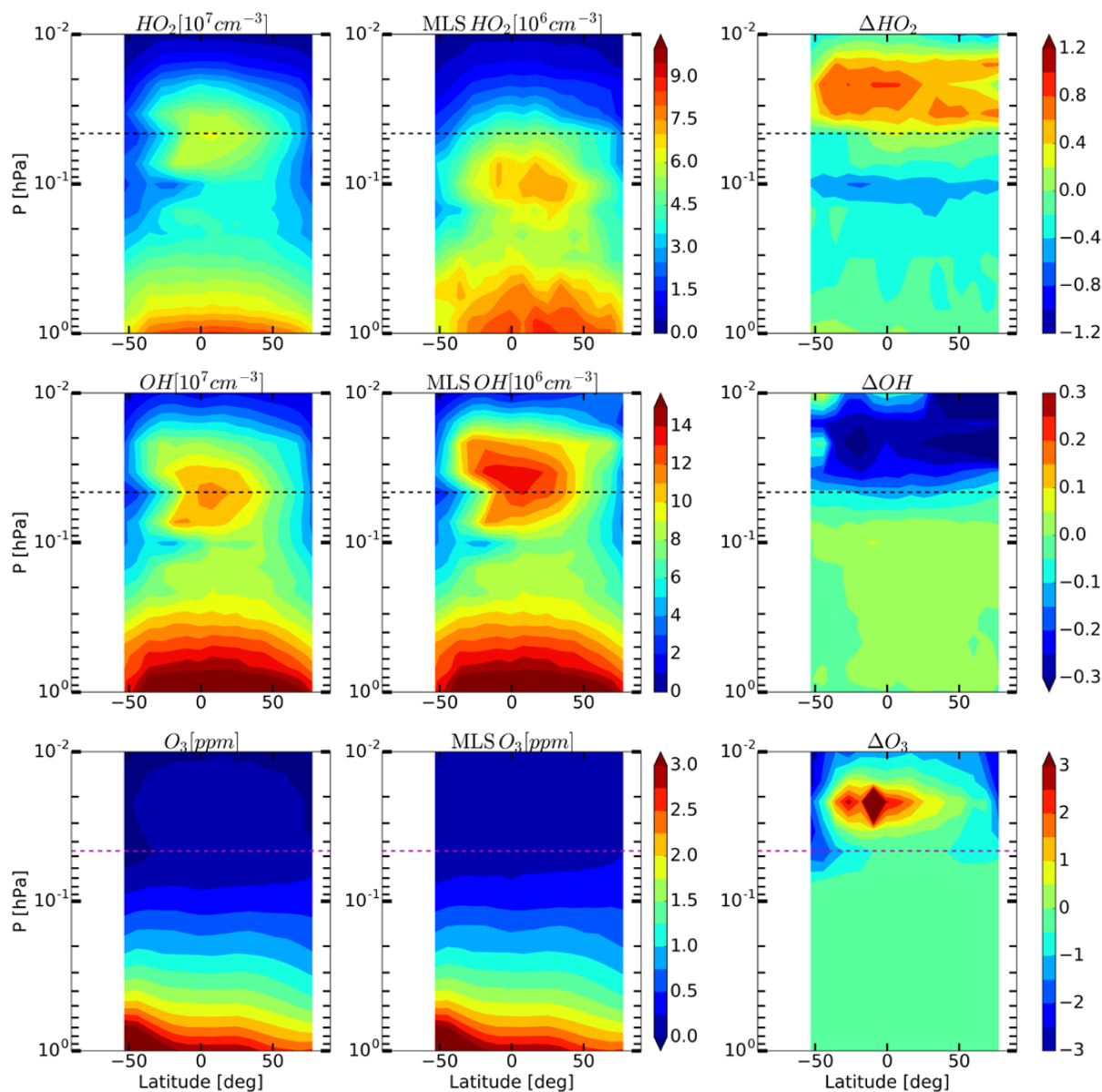
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699 Figure 4. Daytime monthly averaged zonal mean retrieved (left column) and measured (middle  
700 column) distributions of HO<sub>2</sub>, OH, and O<sub>3</sub> and their relative difference (right column) in January  
701 2005.

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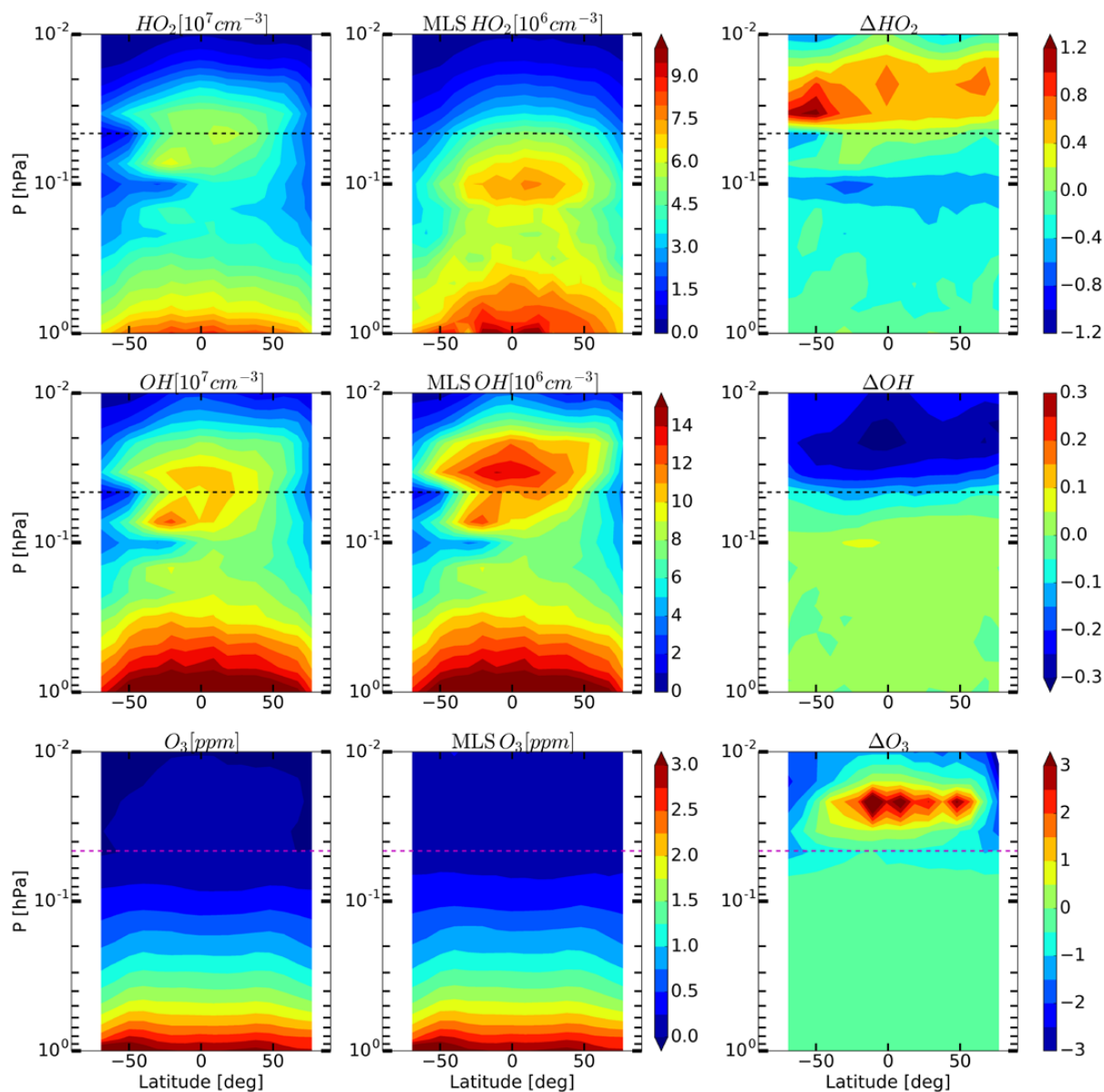
706 Figure 5. Daytime monthly averaged zonal mean retrieved (left column) and measured (middle  
707 column) distributions of HO<sub>2</sub>, OH, and O<sub>3</sub> and their relative difference (right column) for May 2005.

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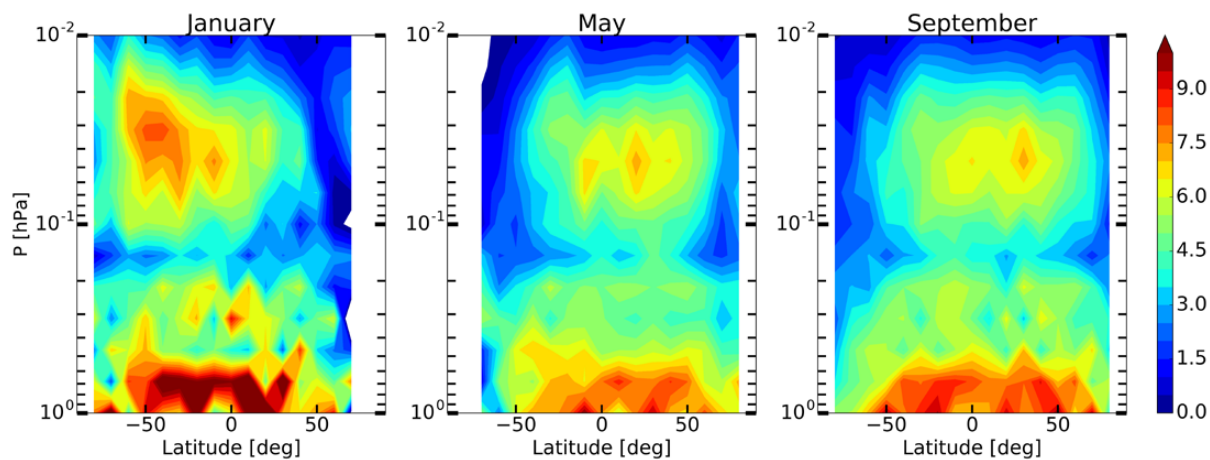
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713 Figure 6. Daytime monthly averaged zonal mean retrieved (left column) and measured (middle  
 714 column) distributions of  $\text{HO}_2$ ,  $\text{OH}$ , and  $\text{O}_3$  and their relative difference (right column) for September  
 715 2005.

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720 Figure 7. Daytime mean monthly averaged distributions of HO<sub>2</sub> retrieved by Millán et al. (2015)  
721 from MLS primary data.