

1 **Technical Note: Evaluation of simultaneous measurements of mesospheric OH, HO₂, and O₃**
2 **under photochemical equilibrium assumption: Statistical approach**

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11
12 **Abstract**

13
14 The Technical Note presents a statistical approach to evaluating simultaneous measurements of
15 several atmospheric components under the assumption of photochemical equilibrium. We consider
16 simultaneous measurements of OH, HO₂, and O₃ at the altitudes of the mesosphere as a specific
17 example and their daytime photochemical equilibrium as an evaluating relationship. A simplified
18 algebraic equation relating local concentrations of these components in the 50-100 km altitude
19 range has been derived. The parameters of the equation are temperature, neutral density, local
20 zenith angle, and the rates of 8 reactions. We have performed a one-year simulation of the
21 mesosphere and lower thermosphere using a 3D chemical-transport model. The simulation shows
22 that the discrepancy between the calculated evolution of the components and the equilibrium value
23 given by the equation does not exceed 3-4% in the full range of altitudes independent of season or
24 latitude. We have developed the technique of statistic Bayesian evaluation of simultaneous
25 measurements of OH, HO₂ and O₃ based on the equilibrium equation taking into account the
26 measurement error. The first results of application of the technique to MLS/Aura data are
27 presented in this Technical Note. It has been found that the satellite data of HO₂ distribution
28 regularly demonstrates essentially lower altitudes of mesospheric maximum of this component.
29 This has also been confirmed by model HO₂ distributions and comparison with offline retrieval of
30 HO₂ from the daily zonal means MLS radiance.

1. Introduction

A prominent feature of the atmospheric photochemical systems is the presence of a large number of chemical components with short lifetime and concentrations close to stable photochemical equilibrium at every instant. The condition of balance between their sources and sinks is described by a system of algebraic equations. This system can be used to determine characteristics of hard to measure atmospheric species through other measurable components, validate results of remote or *in situ* measurements, estimate reaction rates usually known with significant uncertainty, and to understand processes and chemical reactions that influence variability of the most important atmospheric components, e.g. ozone, in the geographical region of interest.

This approach has found wide application:

(1) in 3D chemical transport models that include a large set of physical and chemical processes with a broad spectrum of spatio-temporal scales. In particular, the chemical family concept is widely used for simulating gas phase photochemistry of the lower and middle atmosphere (e.g., Douglass et al., 1989; Kaye and Rood, 1989; Rasch et al., 1995), when transport is taken into account only for the concentration of a chemical family, while relative concentrations of the constituent fast components are calculated from the instantaneous stable equilibrium condition. Complemented with the Henry law (e.g., Djouad et al., 2003; Tulet et al., 2006) in multiphase models, this approach markedly saves calculation time and increases the overall stability of the numerical scheme. Moreover, the use of the photochemical equilibrium condition to simulate fast components dynamics reduces the phase space dimension of box models significantly (e.g., Kulikov and Feigin, 2014), allowing a comprehensive analysis of nontrivial nonlinear dynamic properties of various atmospheric photochemical systems (e.g., Feigin and Konovalov, 1996; Feigin et al., 1998; Konovalov et al., 1999; Konovalov and Feigin, 2000; Kulikov et al., 2012).

(2) in investigations of the chemistry of the surface layer and free troposphere in different regions (over megalopolises, in rural areas, in the mountains, over the seas) based on measurements of nitrogen species, peroxy radicals, ozone, aerosols, and other components aimed at understanding processes impacting the surface ozone formation and air quality. The equilibrium condition is most frequently used for nitrogen species. For example, Chameides (1975) proposed a model for determining the vertical distribution of odd nitrogen, in which the HNO_3 profile could be

63 deployed to retrieve profiles of five other components (NO, NO₂, NO₃, N₂O₅, and HNO₂) from their
64 photochemical equilibrium condition. In the paper by Stedman et al. (1975) the equation for NO₂
65 equilibrium that accounted only for the main source and sink of this component was applied to
66 determine the photodissociation constant J(NO₂). A more accurate equation for the NO₂ equilibrium
67 was used by Crawford et al. (1996) and Kondo et al. (1996) to determine the NO₂/NO partitioning
68 and NO_x, allowing, in particular, investigating the spatial distribution of NO_x/NO_y over the Pacific.

69 Night-time equilibrium in the NO₂-NO₃-N₂O₅ system is used to determine surface layer N₂O₅
70 concentration, equilibrium constant of this system, equilibrium partitioning between NO₃ and N₂O₅,
71 and loss coefficients of NO₃, N₂O₅ and NO_x (Martinez et al., 2000; Brown et al., 2003; Crowley et
72 al., 2010; McLaren et al., 2010; Benton et al., 2010; Sobanski et al., 2016).

73 Platt et al. (1979) used the CH₂O photochemical equilibrium condition to analyse results of
74 simultaneous measurement of CH₂O, O₃ and NO₂ and to identify mechanisms of CH₂O formation
75 over rural areas and in maritime air. In the papers by Ko et al. (2003), Cantrell et al. (2003),
76 Penkett et al. (1997), Penkett et al. (1998) algebraic expressions derived from equilibrium
77 conditions for H₂O₂, peroxy radicals and nitrogen species were used to determine equilibrium
78 values of peroxide concentration, total peroxy radical level, and NO/NO₂ ratio, and to diagnose the
79 ozone production and loss levels in clean or polluted troposphere.

80 (3) in stratospheric chemistry studies, including determination of a critical parameter in
81 catalytic cycles of ozone destruction in the polar stratosphere. In particular, the equilibrium
82 condition for ClO and Cl₂O₂ along with the measurement data of daytime and night-time
83 concentrations of these components in the polar stratosphere are used to evaluate the temperature
84 dependence of the ClO concentration, reaction constants determining the
85 ClO + ClO + M ↔ Cl₂O₂ + M equilibrium, and the photolysis rate of Cl₂O₂ (Ghosh et al., 1997;
86 Avallone et al., 2001, Solomon et al., 2002; Stimpfle et al., 2004; von Hobe et al., 2005; Berthet et
87 al., 2005; Butz et al., 2007; von Hobe et al., 2007; Kremser et al., 2011; Sumińska-Ebersoldt et al.,
88 2012; Wetzel et al., 2012).

89 Pyle et al. (1983) proposed a method for derivation of the OH concentration from satellite
90 infrared measurements of NO₂ and HNO₃ using a simple algebraic relation following from the
91 equilibrium condition for HNO₃. Algorithms for retrieving distributions of OH and HO₂ from the
92 satellite measurement data of O₃, NO₂, H₂O, HNO₃ by LIMS/Nimbus 7 and UARS with the help of
93 algebraic models following from the photochemical equilibrium of O_x, HO_x and HNO₃ components

94 were proposed by Pyle and Zavody (1985), Pickett and Peterson (1996). It is also worthy of note
95 that similar models are widely used for calculating concentrations of components with a short
96 lifetime (e.g. O(¹D) and OH) and subsequent evaluating vertical distributions of eddy diffusivity from
97 measurements of trace gas concentration profiles (see, e.g., Massie and Hunten, 1981).

98 Kondo et al. (1988) made use of the photochemical equilibrium between NO and NO₂ for
99 understanding diurnal variations of NO concentration measured during aircraft flights. In the paper
100 by Webster et al. (1990) simultaneous *in situ* balloon-borne measurements of NO, NO₂, HNO₃, O₃
101 and N₂O and the photochemical equilibrium condition for various nitrogen components were used
102 to determine OH, N₂O₅ and NO_y concentrations. A similar approach was employed by Kawa et al.
103 (1990), who obtained NO₂, N₂O₅, ClNO₃, HNO₃ and OH concentrations from aircraft measurements
104 of NO, ClO and O₃ concentrations. Hauchecorne et al. (2010) found that NO₃ concentration
105 measured by GOMOS/ENVISAT positively correlates with temperature at altitudes up to 45 km in
106 the region where NO₃ is in chemical equilibrium with O₃. Funke et al. (2005) used NO and NO₂
107 stable-state photochemistry to verify correctness of the new approach of retrieving distributions of
108 those component from MIPAS/ENVISAT measurement data. Marchland et al. (2007) proposed a
109 method to retrieve the temperature distribution in the stratosphere between 30 km and 40 km from
110 O₃ and NO₃ measurements by GOMOS with the help of a simple equation derived from the night-
111 time NO₃ chemical equilibrium.

112 (4) in investigations of the chemistry of O_x-HO_x components and atmospheric glows in the
113 mesosphere and MLT area. In particular, Kulikov et al. (2006, 2009) proposed algorithms for the
114 simultaneous retrieval of O, H, HO₂ and H₂O from joint OH and O₃ satellite measurement, in which
115 the assumption of photochemical equilibrium of O₃, OH, and HO₂ was utilized. For several decades
116 the assumption of the photochemical equilibrium of ozone (PEO) was widely used to determine
117 distributions of atomic oxygen and atomic hydrogen at altitudes of the MLT via satellite and rocket
118 measurement of ozone concentration and airglow emissions (e.g., Evans and Llewellyn, 1973;
119 Good, 1976; Pendleton et al., 1983; McDade et al., 1985; McDade and Llewellyn, 1988; Evans et
120 al., 1988; Thomas, 1990; Llewellyn et al., 1993; Llewellyn and McDade, 1996; Mlynczak et al.,
121 2007, 2013a, 2013b, 2014; Smith et al., 2010; Siskind et al., 2008, 2015). Russell and Lowe (2003)
122 applied PEO to infer the seasonal and global climatology of atomic oxygen using WINDII/UARS.
123 PEO was deployed to investigate hydroxyl emission mechanisms, morphology, and variability in
124 the upper mesosphere – lower thermosphere region (Marsh et al., 2006; Xu et al., 2010, 2012;

125 Kowalewski et al., 2014). Mlynczak and Solomon (1991, 1993) and Mlynczak et al. (2013b) used
126 the equilibrium assumption to derive exothermic chemical heat. The PEO assumption employed for
127 studying the mesospheric OH* layer response to gravity waves (Swenson and Gardner, 1998). In
128 ultimately theoretical works, e.g. Grygalashvyly et al. (2014), Grygalashvyly (2015), PEO was used
129 to derive the dependence of excited hydroxyl layer concentration and altitude on atomic oxygen
130 and temperature. In the paper by Sonnemann et al. (2015) it was used to analyze annual variations
131 of OH* layer. Moreover, PEO is frequently applied implicitly, when authors are equating the night-
132 time loss of ozone in the reaction with atomic hydrogen and production of ozone by a 3-body
133 reaction of molecular and atomic oxygen (e.g., Nikoukar et al., 2007).

134 In the present Technical note we demonstrate how the photochemical equilibrium condition
135 of several atmospheric components may be employed to statistically validate data of their
136 simultaneous measurements, particularly in the case when measurement error is large.

137 We consider the simultaneous photochemical daytime equilibrium of OH, HO₂, and O₃ at the
138 altitudes of the mesosphere. We have derived a simplified algebraic equation

$$139 \quad F(OH, HO_2, O_3) = 1,$$

140 describing the relationship between local concentrations of the components at the altitudes of 50–
141 100 km. The only parameters of the equation are temperature, neutral density, local zenith angle,
142 and constants of 8 reactions. One-year simulation of the mesosphere and lower thermosphere
143 based on a 3D chemical-transport model shows that the discrepancy between the calculated
144 evolution of the components and the equilibrium value given by the equation does not exceed 3–
145 4 % in the full range of altitudes independent of season or latitude.

146 We have developed a technique of statistical Bayesian evaluation of simultaneous
147 measurement of OH, HO₂ and O₃ based on the mentioned equilibrium equation taking into account
148 the measurement error. The first results of its application to MLS/Aura data (Wang et al., 2015a,b;
149 Schwartz et al., 2015) are presented. It is found that the satellite data of HO₂ distribution regularly
150 demonstrates essentially lower altitudes of this component's mesospheric maximum. These results
151 confirm the ones obtained via the offline retrieval of HO₂ from the MLS primary data (Millán et al.,
152 2015).

153 The Technical Note is structured as follows. A 3D chemical transport model is briefly
154 described in Sect. 2. In Sect. 3 a simplified algebraic relationship between the equilibrium
155 concentrations of OH, HO₂ and O₃ is derived and verified by 3D simulations. Section 4 presents the

156 method of statistical evaluation of simultaneous data of OH, HO₂ and O₃. The results of applying
157 the method to MLS/Aura data are presented in Sect. 5. The last Section contains discussion of the
158 results followed by concluding remarks.

160 **2. Model and calculations**

161
162 For our calculations we used the global 3D chemical transport model (CTM) of the middle
163 atmosphere developed by the Leibniz Institute of Atmospheric Physics (IAP) (e.g., Sonnemann et
164 al., 1998). It was designed particularly for investigation of the spatio-temporal structure of
165 phenomena in the MLT region and specifically in the extended mesopause region. The grid-point
166 model extends from the ground up to the middle thermosphere (0–150 km; 118 pressure-height
167 levels). The horizontal resolution amounts to 5.625° latitudinally and 5.625° longitudinally. The
168 chemical module described in numerous papers (e.g., Sonnemann et al., 1998; Körner and
169 Sonnemann, 2001; Grygalashvily et al., 2009, 2011, 2012) consists of 19 constituents, 49
170 chemical reactions, and 14 photo-dissociation reactions (see Table 1). The reaction rates used in
171 the model are taken from Burkholder et al. (2015). The temperature-dependent reaction rates are
172 calculated on-line, thus, they are sensitive to small temperature fluctuations. We make use of the
173 pre-calculated dissociation rates (Kremp et al., 1999).

174 The evolution of the components of HO_x (H, OH, HO₂, H₂O₂) and NO_x (N, NO, NO₂, NO₃)
175 families is calculated using the chemical family concept proposed by Shimazaki (Shimazaki, 1985).
176 This is done because of the presence of short-lived components among these families, with
177 lifetimes much shorter than those of the families themselves, which imposes significant restrictions
178 on the value of the CTM's integration step. For example, the daytime lifetimes of OH and HO₂
179 above 70 km are about 1 s or less, while the lifetime of the HO_x family is about 10⁴ s or more.
180 Therefore, when calculating these components individually it is necessary to set the CTM's
181 integration step to be much less than 1 s. In our work, the Shimazaki technique is applied for
182 calculating the evolution of each component of the HO_x and NO_x families. We emphasize that this
183 technique does not explicitly use the steady-state approximation for the components, instead it
184 utilizes the approach based on an implicit Euler scheme (see Shimazaki, 1985). This allows
185 increasing the integration step of CTM significantly without loss of accuracy of calculating the short-
186 lived components. In our work the integration time is chosen to be 9 s.

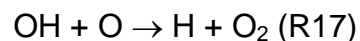
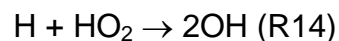
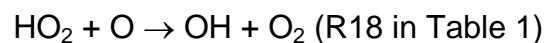
187 The model includes 3D advective and vertical diffusive transport (turbulent and molecular).
188 Three-dimensional fields of temperature and winds are taken from the Canadian Middle
189 Atmosphere Model (CMAM) for the year 2000 (de Grandpre et al., 2000; Scinocca et al., 2008).
190 We use the Walcek-scheme (Walcek and Aleksic, 1998; Walcek, 2000) for advective transport and
191 the implicit Thomas algorithm as described in Morton and Mayers (1994) for diffusive transport.
192 The vertical eddy diffusion coefficient is based on the results by Lübken (1997).

193 The CTM driven by COMMA-IAP middle atmosphere dynamics (Berger, 1994; Ebel et al.,
194 1995; Kremp et al., 1999; Berger and von Zahn, 1999) was verified by measurements, particularly
195 for ozone, in a number of papers (Hartogh et al., 2004, 2011; Sonnemann et al., 2006, 2007).

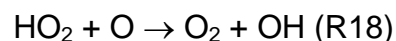
196 We calculate the annual variation of spatio-temporal distributions of OH, HO₂, and O₃ and
197 constructed distributions of the $F(OH, HO_2, O_3)$ function introduced in Sect. 1. To remove transitional
198 regions that correspond to sunset and sunrise, we take into account only periods of local time with
199 the solar zenith angle $\chi < 85^\circ$. The obtained results are presented in the model coordinates, so the
200 pressure-height levels are used for the vertical axes. In addition, the approximate altitudes are
201 shown in the figures of Sec. 1, calculated for a given month utilizing averaged temperature profiles
202 of the model and hydrostatic equilibrium.

203 204 **3. Daytime photochemical equilibrium of OH, HO₂, and O₃ at the altitudes of the mesosphere** 205

206 The daytime balance of OH concentration at mesospheric altitudes is determined by the following
207 primary reactions (Brasseur and Solomon, 2005):



213 The daytime balance of HO₂ concentration:



217 The daytime balance of O₃ concentration:

218 $O + O_2 + M \rightarrow O_3 + M$ (R12)

219 $O_3 + h\nu \rightarrow O_2 + O$ (R52)

220 $O_3 + h\nu \rightarrow O_2 + O(^1D)$ (R53)

221 $O_3 + H \rightarrow OH + O_2$ (R21)

222 Expressions for local concentrations of OH, HO₂, and O₃ in the photochemical equilibrium
223 are written in the form

224
$$OH = \frac{k_{18} \cdot HO_2 \cdot O + 2k_{14} \cdot HO_2 \cdot H + k_{21} \cdot O_3 \cdot H}{k_{17} \cdot O + k_{22} \cdot O_3}, \quad (1)$$

225
$$HO_2 = \frac{k_{20} \cdot M \cdot O_2 \cdot H + k_{22} \cdot O_3 \cdot OH}{k_{18} \cdot O}, \quad (2)$$

226
$$O_3 = \frac{k_{12} \cdot M \cdot O_2 \cdot O}{k_{52} + k_{53} + k_{21} \cdot H}, \quad (3)$$

227 where k_i are the corresponding reaction constants from Burkholder et al. (2015).

228 We eliminate O and H from Eqs. (1)-(3) and derive an expression depending only on OH, HO₂, O₃.

229 Almost everywhere in the mesosphere and lower thermosphere (with the exception of 85-95
230 km, see Kulikov et al., 2017) the photodissociation is the main ozone sink, i.e. $k_{52} + k_{53} \gg k_{21} \cdot H$.

231 Therefore, in the zero order approximation Eq. (3) can be simplified and the concentration of
232 atomic oxygen can be defined in terms of ozone concentration:

233
$$O = \frac{k_{52} + k_{53}}{k_{12} \cdot M \cdot O_2} O_3 \quad (4)$$

234 Making use of Eq. (4) we can derive from Eq. (2) an expression for the concentration of H in terms
235 of concentrations of OH, HO₂ and O₃:

236
$$H = \frac{k_{18} \cdot (k_{52} + k_{53}) / (k_{12} \cdot M \cdot O_2) \cdot HO_2 - k_{22} \cdot OH}{k_{20} \cdot M \cdot O_2} O_3 \quad (5)$$

237 By substituting this equation and Eq. (4) into Eq. (1) we obtain an expression relating OH, HO₂, and
238 O₃:

239
$$F(OH, HO_2, O_3) = \left(\frac{k_{20} \cdot M \cdot O_2}{k_{20} \cdot M \cdot O_2 + k_{21} \cdot O_3 + 2 \cdot k_{14} \cdot HO_2} + \frac{k_{12} \cdot M \cdot O_2 \cdot k_{22}}{(k_{52} + k_{53}) \cdot k_{17}} \right) \cdot \frac{k_{17} \cdot OH}{k_{18} \cdot HO_2} = 1 \quad (6)$$

240 Figure 1 shows height–latitude cross-sections of $\langle F(OH, HO_2, O_3) \rangle$ for each month (in this
241 Section angle brackets denote monthly averaged zonal mean values). The dashed area
242 corresponds to $\chi > 85^\circ$. One can see that eq. (15) is most accurate within the 50–76 km range and

243 above 86 km, where $|\langle F \rangle - 1| \leq 1\%$. The difference reaches 3–4 % in the region between 76 km
 244 and 86 km. The altitude of this region has an annual variation with a maximum deviation in the
 245 winter hemisphere. Below 50 km the value of $\langle F \rangle$ increases up to 1.2 at 40 km, thus below the
 246 stratopause Eq. (6) no longer describes the simultaneous photochemical equilibrium of OH, HO₂
 247 and O₃. Note that these components remain short-lived below 50 km (with the lifetimes of about
 248 10^2 - 10^3 s (Brasseur and Solomon, 2005)) depending on height and duration of daylight. However,
 249 for quantitative description of their daytime equilibrium it is necessary to include additional
 250 reactions involving, in particular, the components of the NO_x family.

251 Note also that Eq. (1) and Eq. (6) take into account only the main daytime source of OH
 252 (P_{OH}) specified by reactions R18, R14, and R21:

$$253 \quad P_{OH} = k_{18} \cdot HO_2 \cdot O + 2k_{14} \cdot HO_2 \cdot H + k_{21} \cdot O_3 \cdot H$$

254 These reactions run “inside” the HO_x (H, OH, HO₂, H₂O₂) family and do not perturb its total
 255 concentration. The height–latitude cross-sections of $\langle P_{OH} \rangle$ for each month are presented in
 256 Fig. 2.

257 The next important daytime source of OH is specified by reactions R59 and R7 involving H₂O, the
 258 main source for the HO_x family:

$$259 \quad P_{OH}^{H_2O} = (k_{59} + 2 \cdot k_7 \cdot O(^1D)) \cdot H_2O$$

260 Figure 3 shows height–latitude cross-sections of $\langle P_{OH}^{H_2O} / P_{OH} \rangle$ for each month. Comparing Fig. 1
 261 and Fig. 3, we conclude that the previously indicated 3–4 % deviation of $\langle F \rangle$ from 1 in the region
 262 between 76 km and 86 km is largely due to the neglect of these reactions.

263 Another source of OH is sporadically activated during charged particle precipitation events
 264 and exists for a relatively short time (several days). Solar proton events (SPE) perturb the ionic
 265 composition in the mesosphere and the upper stratosphere considerably and trigger a whole
 266 cascade of reactions involving ions, neutral components and their clusters (e.g., O₂⁺·H₂O). This
 267 leads to an additional (to reactions R59 and R7) conversion of H₂O molecules into OH and H
 268 (Solomon et al., 1981). The maximum of the OH production rate (P_{OH}^{SPE}) induced by SPE is
 269 located in the polar latitudes in the region of 60–80 km and, as a rule, does not exceed $2 \cdot 10^3$ cm⁻³
 270 s⁻¹ (Jackman et al., 2011, 2014). It can be seen from Fig. 2 that at these latitudes and altitudes the
 271 P_{OH}^{SPE} / P_{OH} ratio does not exceed 1-2%, even for the maximum values of P_{OH}^{SPE} . This means that

272 the impact of P_{OH}^{SPE} on Eq. (6) is of the same order of smallness as in the case of reactions R59
 273 and R7, hence, it may be neglected. A similar conclusion can be made for other reactions from
 274 Table 1, not accounted for by Eq. (6), including the ones involving NO_x in both quiet and perturbed
 275 conditions in the mesosphere.

276

277 4. Method of statistical evaluation of simultaneous measurement of OH, HO₂ and O₃

278

279 The proposed method is based on the statistical Bayesian procedure described in the works by
 280 Kulikov et al. (2009) and Nechaev et al. (2016). It was originally developed for retrieving trace gas
 281 concentrations in the mesosphere from ground-based and satellite measurements of other
 282 mesospheric components. With respect to the considered evaluation problem this procedure
 283 consists of three steps: (1) constructing conditional probability density function (PDF) of OH, HO₂
 284 and O₃ concentration values at each altitude z in the selected interval assuming that there is
 285 certain measurement data of these components and the algebraic relationship (6) is valid; (2)
 286 calculating the first moments of this distribution, i.e. expected value and dispersion of each
 287 component using the Metropolis-Hastings algorithm (Chib and Greenberg, 1995) for
 288 multidimensional integration; (3) comparing the obtained results with the initial measurement data.

289 For constructing posterior PDF it is convenient to introduce vector $\vec{u}\{HO_2^{ret}, O_3^{ret}, OH^{ret}\}$,
 290 whose components are the retrieved values of chemical species concentrations at a certain altitude
 291 z , and vector $\vec{x}\{HO_2^m, O_3^m, OH^m\}$ composed of experimentally measured values of the components
 292 of vector \vec{u} , $x_j = u_j + \xi_j$, $j=1,3$, where ξ_j is a random error of measuring the j -th component of
 293 vector \vec{u} at the altitude z . It is assumed that

294 (1) random variables ξ_j are distributed normally with densities

$$295 w_j(\xi_j) = \frac{1}{\sigma_j \sqrt{2\pi}} \exp\left(-\frac{\xi_j^2}{2\sigma_j^2}\right); \quad (7)$$

296 (2) ξ_j are mutually independent:

$$297 \vec{\xi}\{\xi_1, \xi_2, \xi_3\} \sim W_\xi(\vec{\xi}) = \prod_j w_j(\xi_j), \quad (8)$$

298 where $W_\xi(\vec{\xi})$ is the total PDF of all ξ_j ;

299 (3) dispersions σ_j in Eq. (7), that are expected error values, are assumed to be known a priori (in
 300 our case they are provided by the MLS retrieval algorithm along with measured data).

301 Then the probability to observe vector \bar{x} is given by the conditional PDF

$$302 P_x(\bar{x} | \bar{u}) = \int \delta(\bar{x} - \bar{u}) W_\xi(\bar{\xi}') d^3 \bar{\xi}' = W_\xi(\bar{x} - \bar{u}), \quad (9)$$

303 where $\delta(\dots)$ is delta function.

304 The prior relationship of HO_2^{ret} , O_3^{ret} and OH^{ret} concentrations (Eq. (6)) can be written as

305 $u_3 = G(u_1, u_2)$. Integrating the left-hand side of Eq. (17) with conditional PDF of the variable u_3 :

$$306 P_{u_3}(u_3 | u_1, u_2) = \delta(u_3 - G(u_1, u_2)),$$

307 yields a likelihood function of the model

$$308 P_x(\bar{x} | u_1, u_2) = w_3(x_3 - G(u_1, u_2)) \cdot w_1(x_1 - u_1) w_2(x_2 - u_2). \quad (10)$$

309 According to Bayes' theorem, the posterior function, i.e. the probability density of latent variables u_1

310 and u_2 , under the condition that \bar{x} is observed, is defined by the expression

$$311 P(u_1, u_2 | \bar{x}) \propto P_x(\bar{x} | u_1, u_2) \cdot P_{apr}(u_1, u_2) \\ \propto \exp\left(-\frac{(x_1 - u_1)^2}{2\sigma_1^2}\right) \cdot \exp\left(-\frac{(x_2 - u_2)^2}{2\sigma_2^2}\right) \cdot \exp\left(-\frac{(x_3 - G(u_1, u_2))^2}{2\sigma_3^2}\right) \cdot P_{apr}(u_1, u_2) \quad (11)$$

312 in which $P_{apr}(u_1, u_2)$ defines prior PDF of u_1 and u_2 .

313 The retrieved value of the latent variable $u_{1,2,3}$ is hereinafter understood as the mean value

314 of the function in Eq. (11):

$$315 \langle u_{1,2} \rangle = \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} u_{1,2} \cdot P(u_1, u_2 | \bar{x}) du_1 du_2 \\ \langle u_3 \rangle = \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} G(u_1, u_2) \cdot P(u_1, u_2 | \bar{x}) du_1 du_2. \quad (12)$$

316 Its dispersion defines the uncertainty of the retrieval:

$$317 \sigma_{u_j} = \sqrt{\langle u_j^2 \rangle - \langle u_j \rangle^2}, \quad j = 1..3, \quad (13)$$

318 where the angle brackets denote averaging in the sense of Eq. (12).

319

320 5. MLS/Aura data evaluation and results

321

322 We used the latest version (v4.2) of the MLS “standard” product (Livesey et al., 2017) for trace gas
323 concentrations and temperature T within the 1 – 0.046 mbar pressure interval where all data are
324 suitable for scientific applications (Wang et al., 2015a,b; Schwartz et al., 2015). We took the
325 daytime data when the solar zenith angle $\chi < 80^\circ$ for January, May, and September 2005. All data
326 were appropriately screened. “Pressure”, “estimated precision”, “status flag”, “quality”,
327 “convergence” and “clouds” fields were taken into account. HO_2 data were seen as the day-minus-
328 night difference as prescribed by the MLS data guidelines (Livesey et al., 2017). Following Pickett
329 et al. (2008), each daytime profile of this component measured on a given day at a latitude Lat , a
330 profile resulting from averaging the nighttime profiles of HO_2 , measured on the same day in the
331 latitude range of $\text{Lat} \pm 5^\circ$, was subtracted. This operation eliminates systematic biases affecting HO_2
332 retrievals, but limits the studied latitude range to the one where MLS observes both daytime and
333 nighttime data.

334 The integrals in Eq. (12)–(13) were calculated at every pressure level p for each set of
335 simultaneously measured vertical profiles $\text{OH}^{MLS}(p)$, $\text{HO}_2^{MLS}(p)$, $\text{O}_3^{MLS}(p)$, $T^{MLS}(p)$, $\sigma_{\text{OH}^{MLS}}(p)$,
336 $\sigma_{\text{HO}_2^{MLS}}(p)$, $\sigma_{\text{O}_3^{MLS}}(p)$. The vertical profiles $\langle \text{OH}^{ret} \rangle(p)$, $\langle \text{HO}_2^{ret} \rangle(p)$, $\langle \text{O}_3^{ret} \rangle(p)$, $\sigma_{\text{OH}^{ret}}(p)$,
337 $\sigma_{\text{HO}_2^{ret}}(p)$, $\sigma_{\text{O}_3^{ret}}(p)$ were found at each point of the globe along the satellite track. Numerical
338 integration was performed by a Monte Carlo method. For each pressure level, a sample of about
339 $5 \cdot 10^5$ pairs of random variable values $\{u_1, u_2\} = \{\text{HO}_2^{ret}, \text{O}_3^{ret}\}$ distributed with normalized probability
340 density given by Eq. (11) with $P_{apr}(u_1, u_2) \equiv 1$ was generated with the help of the Metropolis-
341 Hastings algorithm (Chib and Greenberg, 1995). In this case, the statistical moments in Eq. (12)–
342 (13) were determined by summation over the sample.

343 A typical example of retrieved profiles HO_2^{ret} , O_3^{ret} and OH^{ret} (black curves) in comparison
344 with the measured HO_2^{MLS} , O_3^{MLS} and OH^{MLS} (red curves) is given in Fig. 4. First of all, note that
345 statistics of the retrieved data is in satisfactory agreement with the initial measurement of OH and
346 O_3 concentrations, but not of HO_2 . The error of satellite measurement, $\sigma_{\text{HO}_2^{MLS}}$, greatly exceeds the
347 uncertainty of retrieval, $\sigma_{\text{HO}_2^{ret}}$, so at some altitudes the values of $\langle \text{HO}_2^{MLS} \rangle$ (red dashed curves)
348 do not fall within the corresponding intervals $\langle \text{HO}_2^{ret} \rangle \pm \sigma_{\text{HO}_2^{ret}}$. Second, the results of a single

349 measurement of all three components and their retrieved values have considerable uncertainties
 350 relative to their means within the whole interval of altitudes. Therefore, the observed and retrieved
 351 data should be compared using the commonly accepted approach (e.g., Pickett et al., 2008) of
 352 averaging large ensembles of profiles within certain latitude and time ranges, or zones. It is
 353 supposed that the noise of satellite measurement instruments is delta-correlated, so that random
 354 values corresponding to each single measured or retrieved profile are statistically independent. In
 355 this case the dispersion of a measured or retrieved zonal mean profile is determined by summation

$$356 \quad \sigma_{\Sigma}^2 = \frac{1}{N^2} \sum_{k=1}^N \sigma_k^2,$$

357 where N is the number of measured or retrieved profiles within the zone and σ_k^2 is the dispersion
 358 of the k -th measured or retrieved profile.

359 The range of latitudes covered by the satellite trajectory was divided into 17 bins 10° each.
 360 About 3000 single profiles of each chemical component fall into one bin during a month of
 361 MLS/Aura observations. Therefore, the resulting uncertainties due to measurement noise of OH,
 362 HO₂ and O₃ concentration profiles (both measured and retrieved) averaged over such ensembles
 363 are significantly (about one and a half order of magnitude) lower than the uncertainties of individual
 364 profiles. Examples of such profiles for January, May and September 2005 are presented in Fig. 5.
 365 One can see that the indicated uncertainties are now small enough to make clear conclusions
 366 about the extent to which the observed and retrieved profiles agree by comparing their averaged
 367 values only, i.e. $\langle OH^{MLS} \rangle$, $\langle HO_2^{MLS} \rangle$, $\langle O_3^{MLS} \rangle$ and $\langle OH^{ret} \rangle$, $\langle HO_2^{ret} \rangle$, $\langle O_3^{ret} \rangle$.

368 Figures 4–6 show monthly averaged zonal mean pressure–latitude cross-sections of
 369 $\langle HO_2^{ret} \rangle$, $\langle HO_2^{MLS} \rangle$, $\Delta HO_2 = (\langle HO_2^{ret} \rangle - \langle HO_2^{MLS} \rangle) / \langle HO_2^{MLS} \rangle$ and similar characteristics
 370 for OH and O₃ concentration profiles for three months of the year 2005. First, clearly, the
 371 distributions of $\langle OH^{ret} \rangle$ and $\langle O_3^{ret} \rangle$ are in good qualitative and quantitative agreement with the
 372 initial MLS/Aura measurement data at lower altitudes, below ~ 0.07 mbar and 0.1 mbar,
 373 correspondingly. At higher altitudes, the distributions of $\langle OH^{ret} \rangle$ reproduce all the main structural
 374 features of $\langle OH^{MLS} \rangle$, but the retrieved OH concentration has lower values than the observed one
 375 with a relative difference ΔOH reaching $\sim 15\%$ at the top. The distribution of $\langle O_3^{ret} \rangle$ above
 376 0.1 mbar, in turn, differs considerably from $\langle O_3^{MLS} \rangle$, both in quantity and quality, and ΔO_3 locally

377 reaches 50-60% and more. Second, for all months there are significant qualitative and quantitative
378 differences between $\langle HO_2^{ret} \rangle$ and $\langle HO_2^{MLS} \rangle$, the most noticeable one being location of the
379 mesospheric maximum of this component's concentration. According to the observations it is close
380 to 0.1 mbar, while the retrieved data demonstrate the altitudes of about ~0.046 mbar or higher. Our
381 analysis of the applied method of statistical evaluation demonstrates that the higher position of this
382 maximum in the distributions of $\langle HO_2^{ret} \rangle$ is influenced by the OH^{MLS} data in which the
383 mesospheric maximum (see Figs. 6-8) is also located notably higher than 0.1 mbar.

384 385 **6. Discussion and conclusion**

386
387 On the basis of the data presented in Section 5 we can conclude that, upon the whole,
388 simultaneous OH, HO₂ and O₃ satellite measurements poorly satisfy the photochemical equilibrium
389 condition. The HO₂ component biases from this condition most prominently. We can conjecture that
390 a possible explanation for the bias is the significant systematic error in HO₂ measurements, in
391 particular, in the height of the mesospheric maximum. This assumption is supported by the
392 calculation of the HO₂ distributions with the use of our 3D chemical transport model (see Fig. 9). It
393 can be seen that the mesospheric maximum of HO₂ in these months, as well as of the $\langle HO_2^{ret} \rangle$
394 distributions, lies above 0.046 mbar.

395 Moreover, new data on the HO₂ distributions were recently obtained from the MLS
396 measurements. Millán et al. (2015) performed the offline retrieval of daily zonal means of HO₂
397 profiles using averaged MLS radiances measured in 10° latitude bins. Averaged spectra have a
398 better signal to noise ratio, which removes many of the limitations of the MLS standard product for
399 HO₂. In particular, the upper boundary of the altitude region in which daytime data is suitable for
400 scientific use has reached 0.0032 mbar, and the "day-minus-night" correction is not needed at
401 altitudes above 1 mbar. Comparison with various experimental and model data has shown that the
402 offline retrieval reproduces the basic properties of the HO₂ distribution in the mesosphere relatively
403 well (at least qualitatively) (Millán et al. 2015).

404 The offline retrieval product, the alternative dataset of daytime HO₂, has recently become
405 publicly available at <https://mls.jpl.nasa.gov>. Figure 10 shows the monthly averaged zonal means
406 of offline retrieval data ($\langle HO_2^{MLS}_{offline} \rangle$) and relative differences with retrieved and MLS standard

407 product data $(\langle HO_2^{MLS} \rangle - \langle HO_2^{MLS}_{offline} \rangle) / \langle HO_2^{MLS}_{offline} \rangle$ and
408 $(\langle HO_2^{ret} \rangle - \langle HO_2^{MLS}_{offline} \rangle) / \langle HO_2^{MLS}_{offline} \rangle$, correspondingly. Figure 10 represents the same time
409 periods as Figs. 6-8. It is worth noting that the distributions $\langle HO_2^{MLS}_{offline} \rangle$ depicted in Fig. 10
410 represent significantly different amounts of data. The data sets for May and September include 31
411 and 27 days of measurements, respectively, whereas the January dataset encompasses only 4
412 days. The latter makes the graphs in the first row in Fig. 10 noisier than the others. One can see
413 that the results of the offline HO_2 retrieval show the same features as the results of our evaluation
414 technique in comparison to the standard MLS retrieval, i.e. the height of mesospheric HO_2
415 maximum is notably higher. We can conclude that the distributions of $\langle HO_2^{ret} \rangle$ better match
416 $\langle HO_2^{MLS}_{offline} \rangle$ than $\langle HO_2^{MLS} \rangle$, although some quantitative discrepancy between $\langle HO_2^{ret} \rangle$ and
417 $\langle HO_2^{MLS}_{offline} \rangle$ also exists. Note that this may be due to systematic errors in the HO_2^{MLS}
418 distributions, which cannot be excluded within the framework of the introduced technique. For a
419 detailed qualitative and quantitative comparison of $\langle HO_2^{ret} \rangle$ and $\langle HO_2^{MLS}_{offline} \rangle$ one should
420 modify the method, so that a statistical evaluation of the OH^{MLS} and O_3^{MLS} standard products, and
421 the data of the offline HO_2 retrieval could be conducted within the framework of a single procedure
422 with no account for the HO_2^{MLS} distributions. This modification is under way and will be presented
423 elsewhere.

424 The proposed method for statistical evaluation of mesospheric species measurements can
425 be readily generalized to other atmospheric photochemical systems that contain short-lived
426 components (see Introduction). It may also be modified for assessing hard to measure chemical
427 components, characteristics of atmospheric processes (like wind speed or turbulent diffusion rate),
428 or poorly known reaction rates.

429

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435

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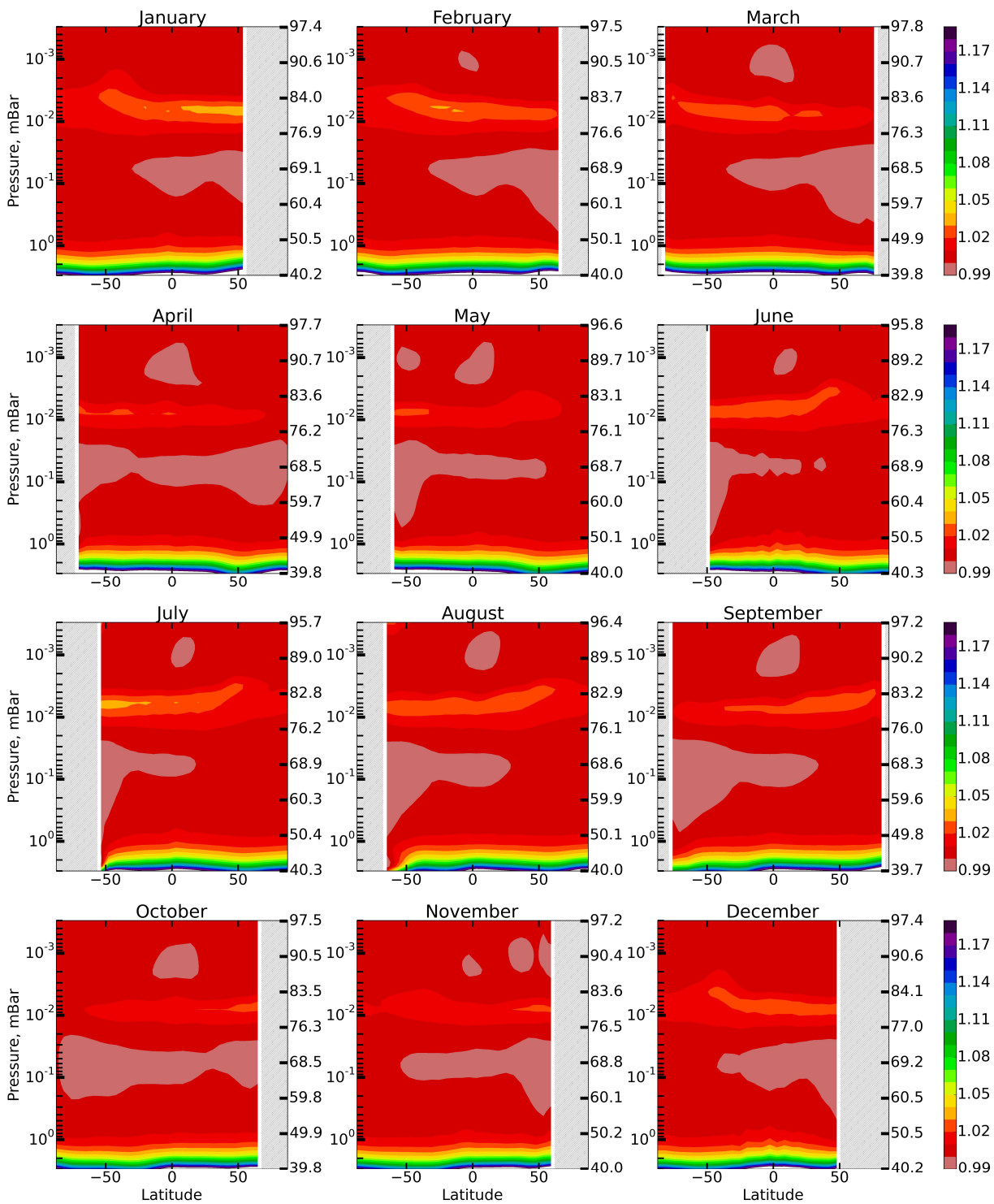
Table 1. List of reactions with corresponding reaction rates from Burkholder et al. (2015).

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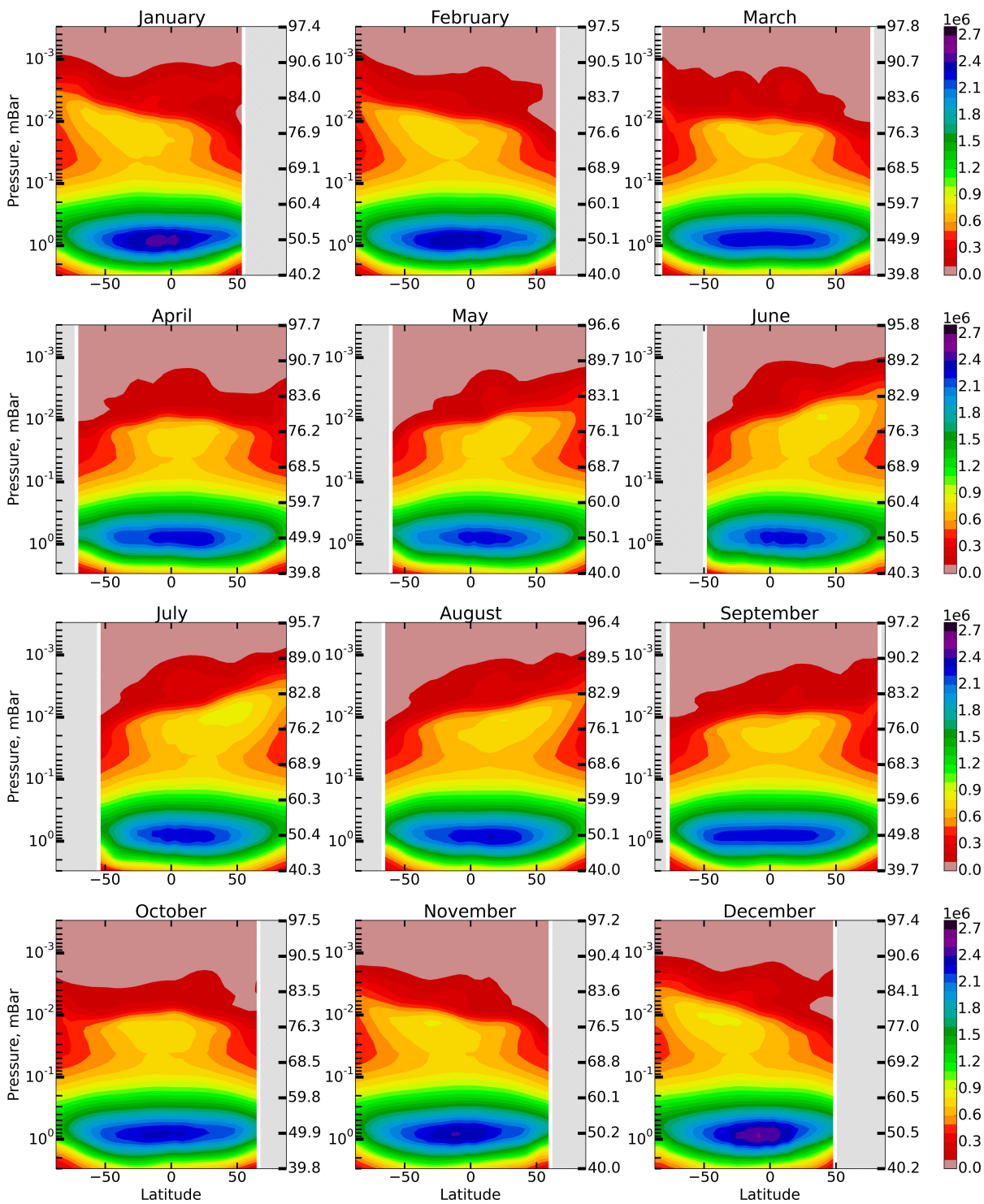
1	$O(^1D)+O_2 \rightarrow O+O_2$	22	$OH+O_3 \rightarrow O_2+HO_2$	43	$NO_2+O_3 \rightarrow NO_3+O_2$
2	$O(^1D)+N_2 \rightarrow O+N_2$	23	$HO_2+O_3 \rightarrow OH+2O_2$	44	$N+OH \rightarrow NO+H$
3	$O(^1D)+O_3 \rightarrow O_2+2O$	24	$H+OH+N_2 \rightarrow H_2O+N_2$	45	$NO+HO_2 \rightarrow NO_2+OH$
4	$O(^1D)+O_3 \rightarrow 2O_2$	25	$OH+H_2 \rightarrow H_2O+H$	46	$H+NO_2 \rightarrow OH+NO$
5	$O(^1D)+N_2O \rightarrow 2NO$	26	$OH+OH \rightarrow H_2O+O$	47	$NO_3+NO \rightarrow 2NO_2$
6	$O(^1D)+N_2O \rightarrow N_2+O_2$	27	$OH+OH+M \rightarrow H_2O_2+M$	48	$N+NO \rightarrow N_2+O$
7	$O(^1D)+H_2O \rightarrow 2OH$	28	$OH+HO_2 \rightarrow H_2O+O_2$	49	$N+NO_2 \rightarrow N_2O+O$
8	$O(^1D)+H_2 \rightarrow H+OH$	29	$H_2O_2+OH \rightarrow H_2O+HO_2$	50	$O_2+h\nu \rightarrow 2O$
9	$O(^1D)+CH_4 \rightarrow CH_3+OH$	30	$HO_2+HO_2 \rightarrow H_2O_2+O_2$	51	$O_2+h\nu \rightarrow O+O(^1D)$
10	$O(^1D)+CH_4 \rightarrow H_2+CH_2O$	31	$HO_2+HO_2+M \rightarrow H_2O_2+O_2+M$	52	$O_3+h\nu \rightarrow O_2+O$
11	$O+O+M \rightarrow O_2+M$	32	$CH_3+O \rightarrow CH_2O+H$	53	$O_3+h\nu \rightarrow O_2+O(^1D)$
12	$O+O_2+M \rightarrow O_3+M$	33	$OH+CO \rightarrow H+CO_2$	54	$N_2+h\nu \rightarrow 2N$
13	$O+O_3 \rightarrow O_2+O_2$	34	$CH_4+OH \rightarrow CH_3+H_2O$	55	$NO+h\nu \rightarrow N+O$
14	$H+HO_2 \rightarrow 2OH$	35	$CH_3+O_2+M \rightarrow CH_3O_2+M$	56	$NO_2+h\nu \rightarrow NO+O$
15	$H+HO_2 \rightarrow H_2O+O$	36	$O_3+N \rightarrow NO+O_2$	57	$N_2O+h\nu \rightarrow N_2+O(^1D)$
15	$H+HO_2 \rightarrow H_2+O_2$	37	$NO_3+O \rightarrow NO_2+O_2$	58	$N_2O+h\nu \rightarrow N+NO$
17	$OH+O \rightarrow H+O_2$	38	$O+NO+M \rightarrow NO_2+M$	59	$H_2O+h\nu \rightarrow H+OH$
18	$HO_2+O \rightarrow OH+O_2$	39	$NO_2+O \rightarrow NO+O_2$	60	$CH_4+h\nu \rightarrow CH_2+H_2$
19	$H_2O_2+O \rightarrow OH+HO_2$	40	$NO_2+O+M \rightarrow NO_3+M$	61	$H_2O_2+h\nu \rightarrow 2OH$
20	$H+O_2+M \rightarrow HO_2+M$	41	$N+O_2 \rightarrow NO+O$	62	$NO_3+h\nu \rightarrow NO_2+O$
21	$H+O_3 \rightarrow OH+O_2$	42	$NO+O_3 \rightarrow NO_2+O_2$	63	$CO_2+h\nu \rightarrow CO+O$

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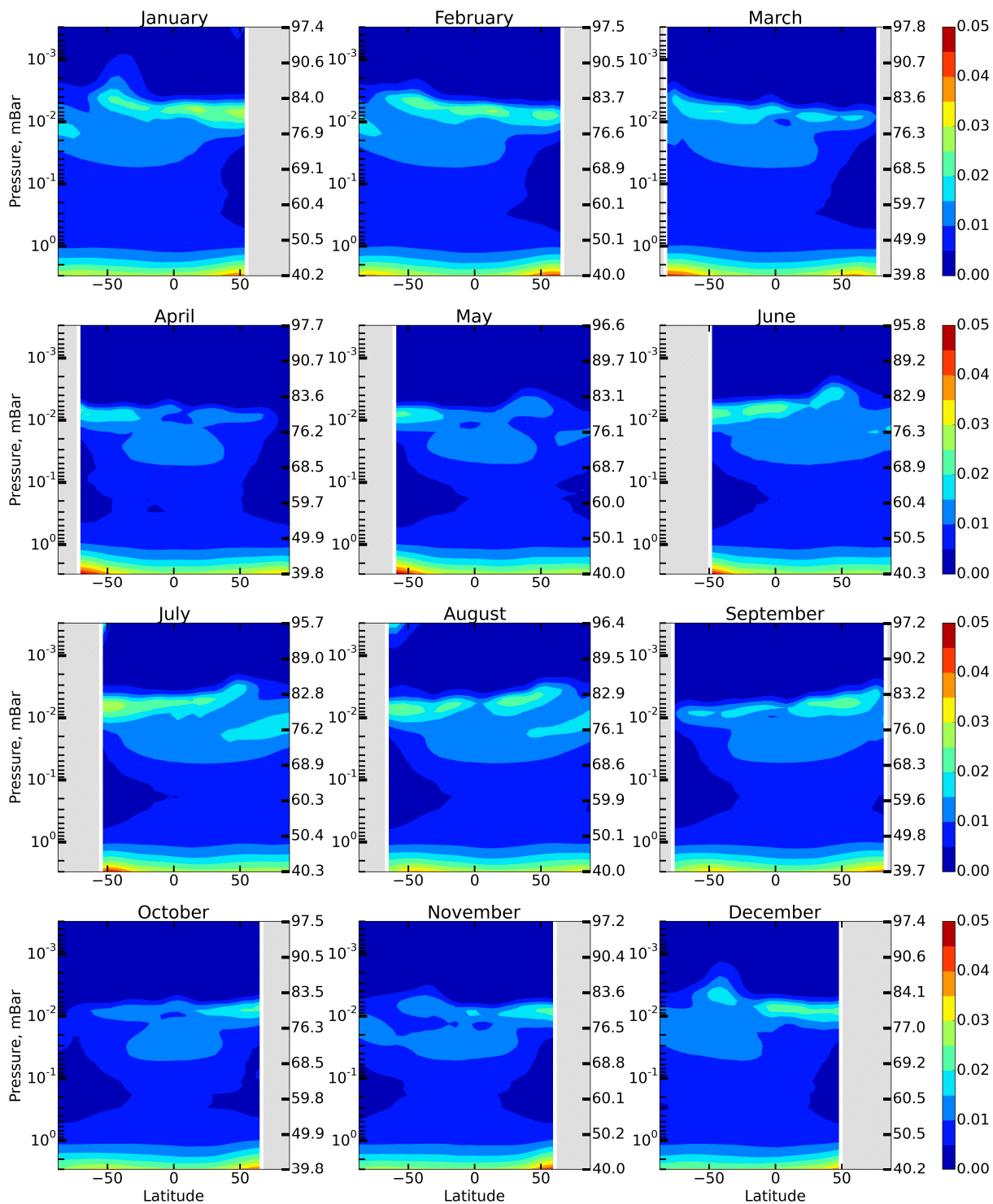


805 Figure 1. Daytime monthly averaged zonal mean F distributions.



809 Figure 2. Daytime monthly averaged zonal mean P_{OH} distributions (in $\text{cm}^{-3} \text{ s}^{-1}$).

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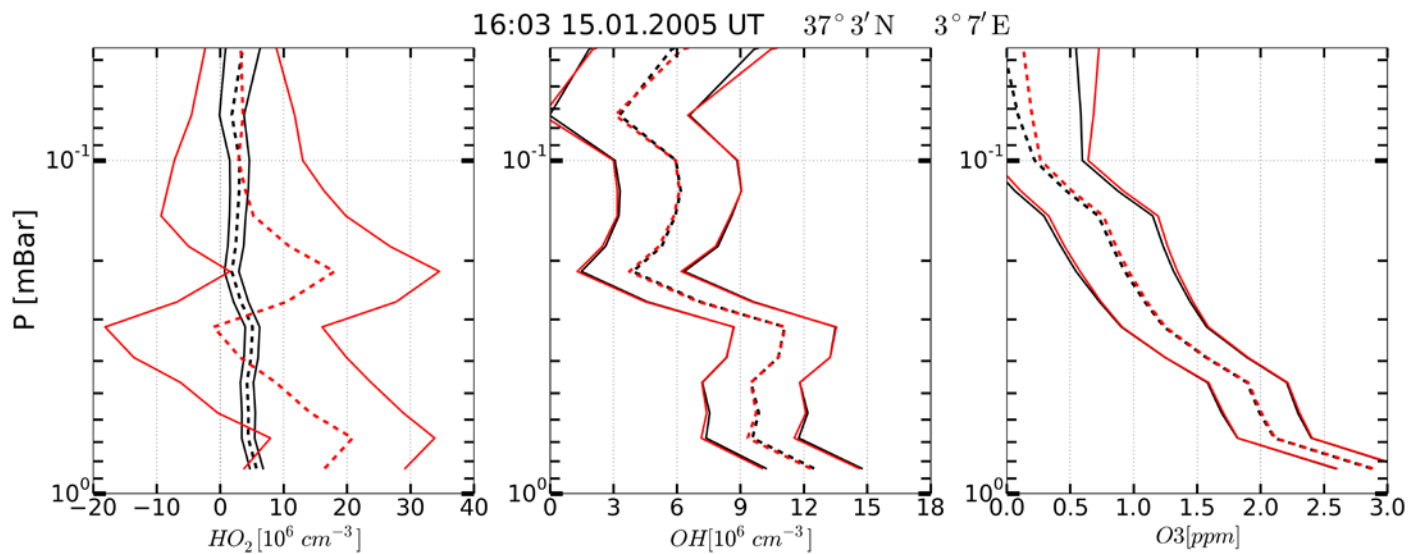
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814 Figure 3. Daytime monthly averaged zonal mean $P_{OH}^{H_2O} / P_{OH}$ distributions.

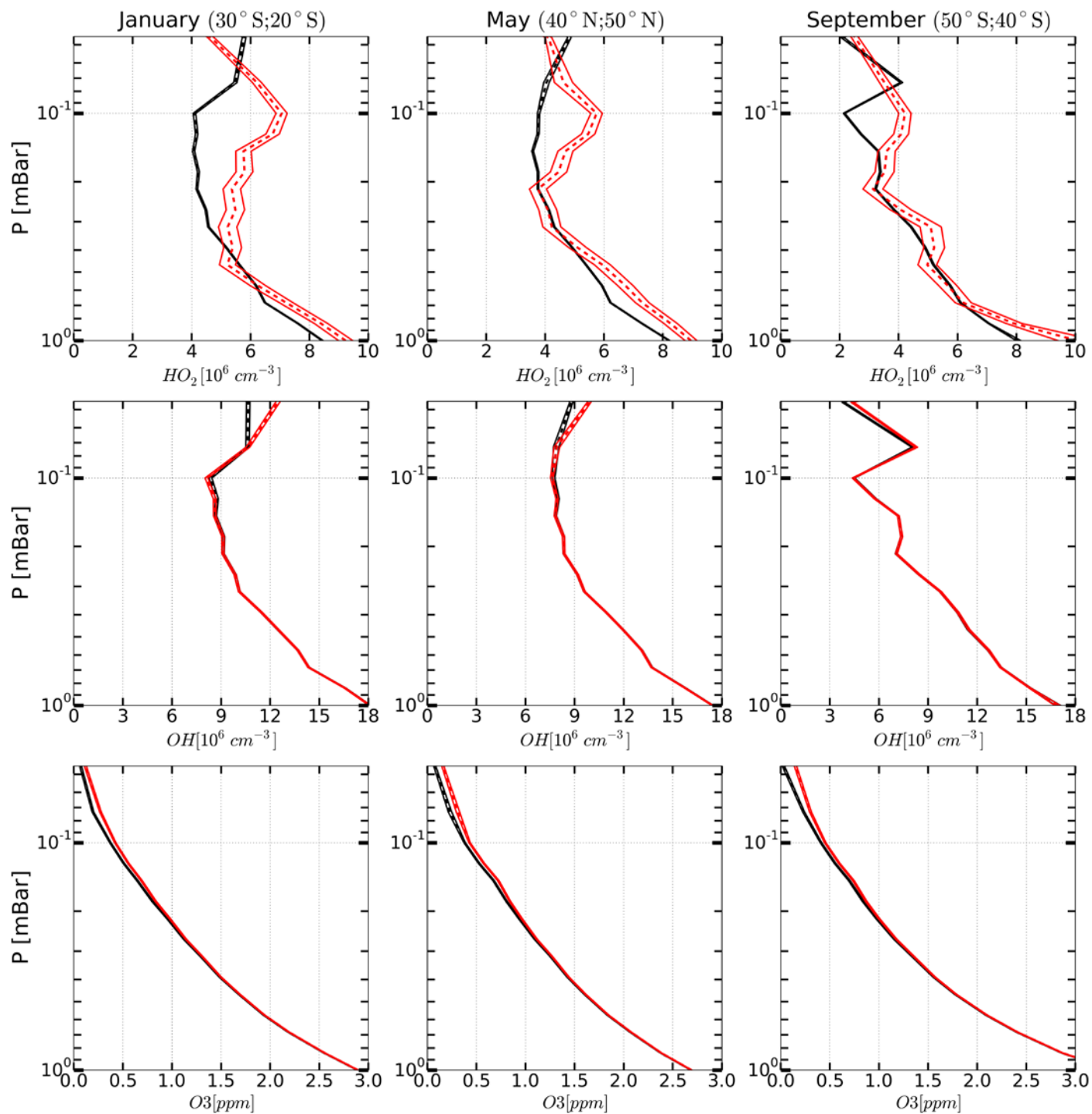
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819 Figure 4. Example of OH, HO₂ and O₃ vertical profiles measured (red curves) on 15 January 2005
820 at 16.03 UT, 37°3'N, 3°7'E and corresponding retrieved profiles (black curves). Solid curves:
821 boundaries of the 65% confident intervals, dashed curves: medians.

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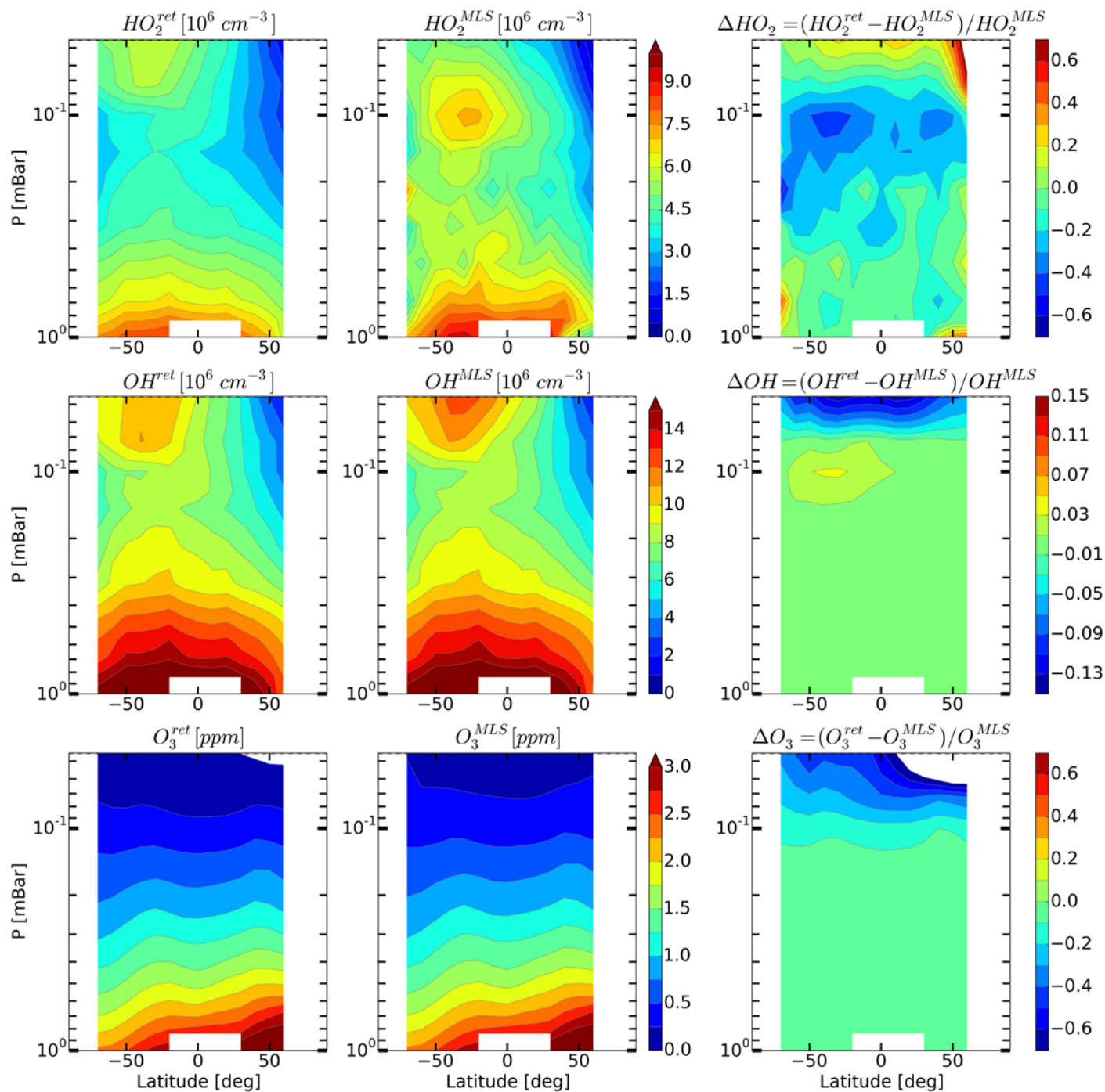


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826 Figure 5. Examples of monthly averaged zonal mean vertical profiles of OH, HO₂ and O₃ measured
 827 (red curves) in January, May and March 2005 and corresponding retrieved profiles (black curves).

828 Solid curves: boundaries of the 65% confident intervals, dashed curves: medians.

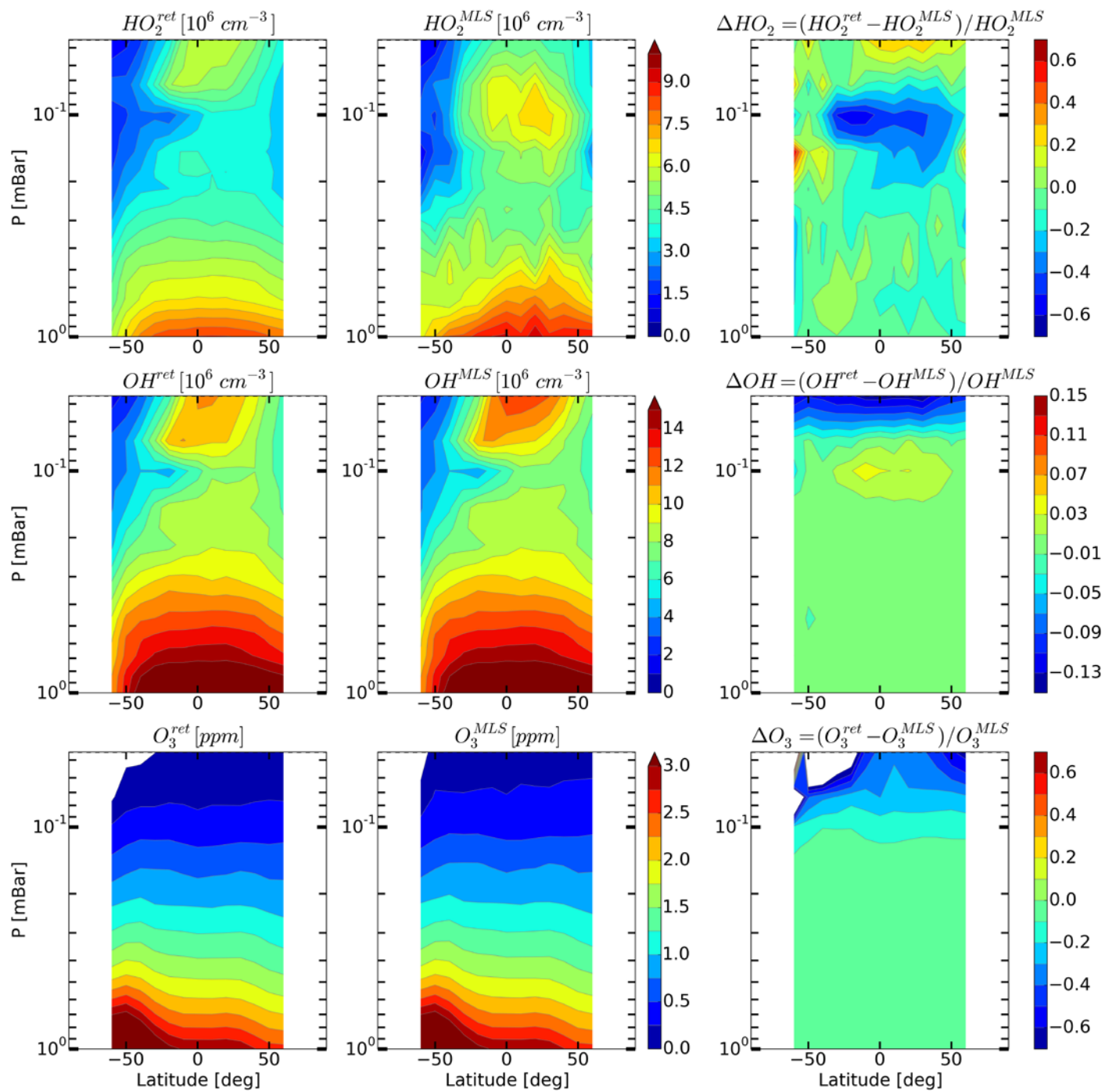


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832 Figure 6. Daytime monthly averaged zonal mean retrieved (left column) and measured (middle
 833 column) distributions of HO_2 , OH , and O_3 and their relative difference (right column) in January
 834 2005.

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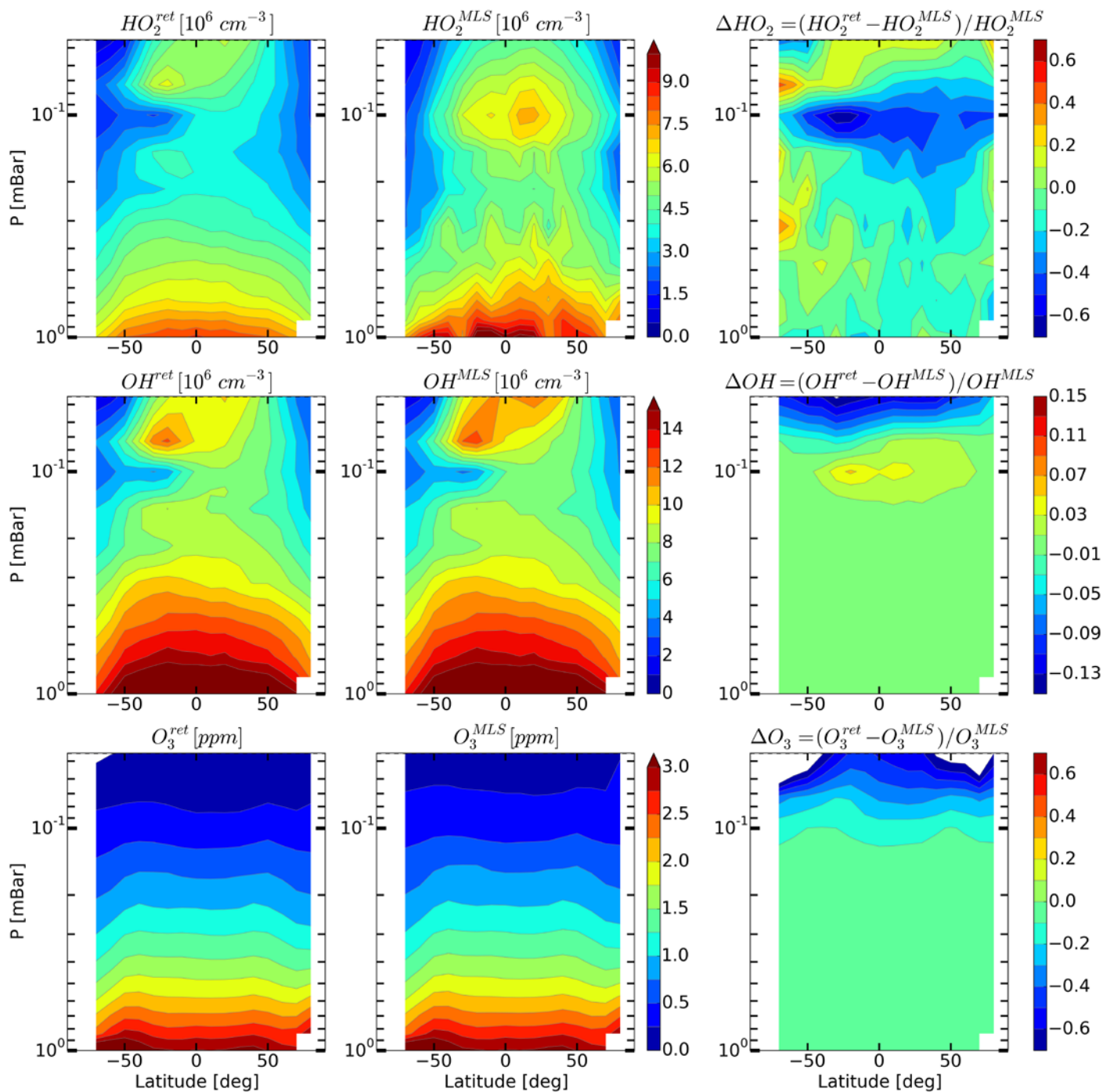
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839 Figure 7. Daytime monthly averaged zonal mean retrieved (left column) and measured (middle
 840 column) distributions of HO_2 , OH , and O_3 and their relative difference (right column) for May 2005.

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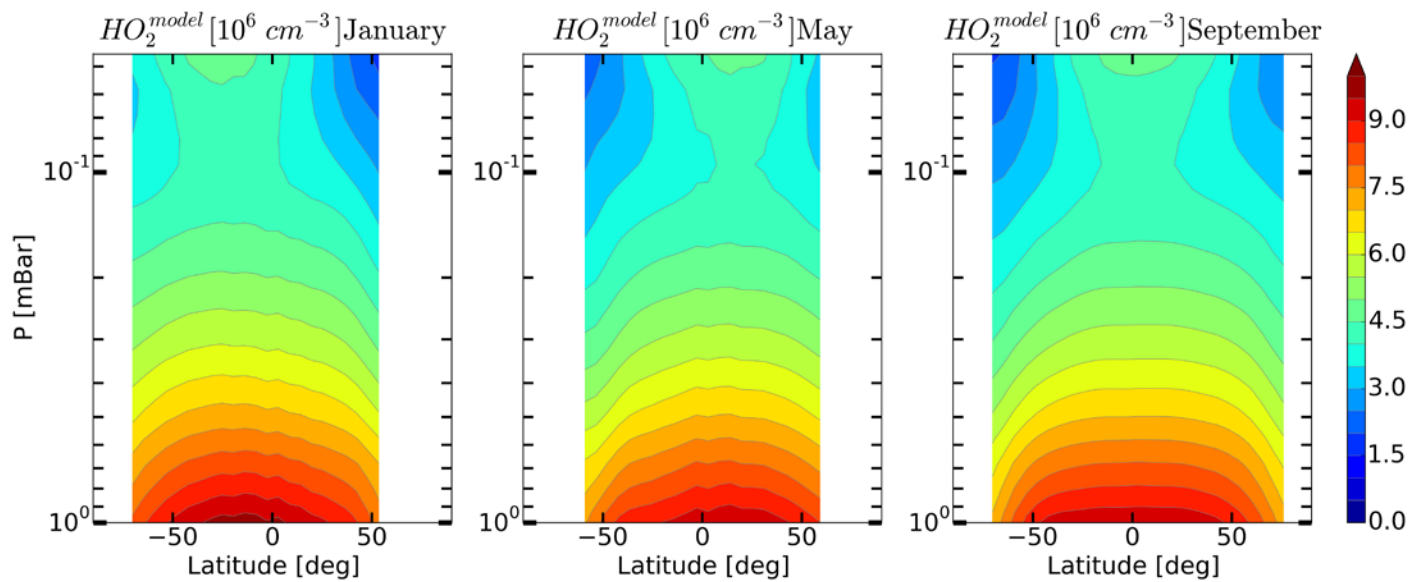


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846 Figure 8. Daytime monthly averaged zonal mean retrieved (left column) and measured (middle
 847 column) distributions of HO_2 , OH , and O_3 and their relative difference (right column) for September
 848 2005.

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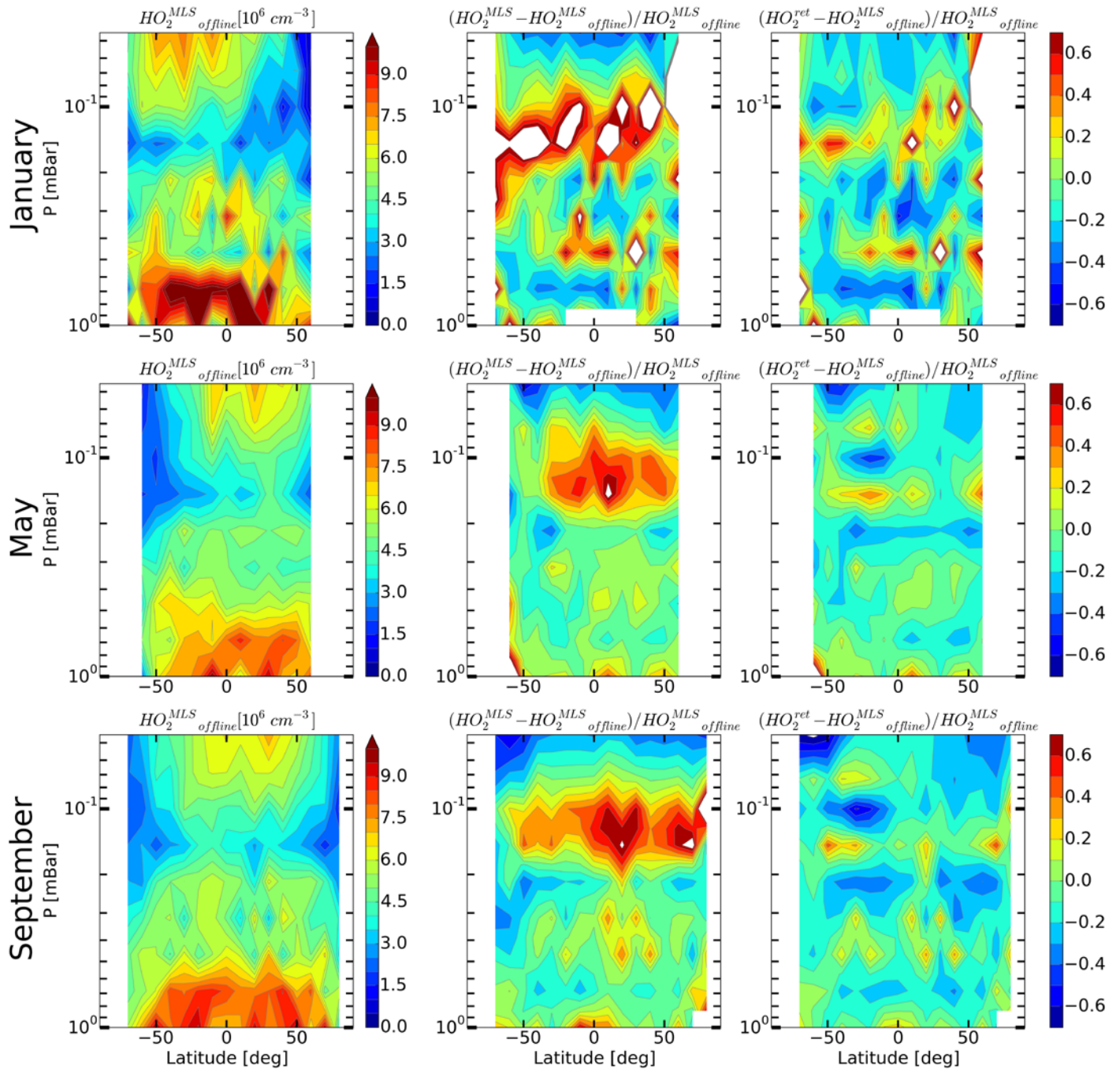


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851 Figure 9. Daytime monthly averaged zonal mean model distributions of HO_2 for January, May, and
 852 September.

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858 Figure 10. Daytime mean monthly averaged distributions of HO_2 retrieved by Millán et al. (2015)
 859 and relative differences $(\langle \text{HO}_2^{\text{MLS}} \rangle - \langle \text{HO}_2^{\text{MLS}_{\text{offline}}} \rangle) / \langle \text{HO}_2^{\text{MLS}_{\text{offline}}} \rangle$ and
 860 $(\langle \text{HO}_2^{\text{ret}} \rangle - \langle \text{HO}_2^{\text{MLS}_{\text{offline}}} \rangle) / \langle \text{HO}_2^{\text{MLS}_{\text{offline}}} \rangle$.