This reply and reply-figs, and the track-changed paper are all in this PDF file.

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I do ask the authors, however, to consider the following general and specific comments. My main concern is that the analysis is based on several arbitrary assumptions and it is not clear to me how robust the obtained results (e.g., the derived spectral exponents) really are, and how they can/should be compared to similar studies based on different assumptions.

Specific questions will be responded at the corresponding locations but in this answer some of the seemingly arbitrary aspects are explained as follows:

1. An elliptical region with a specific axial ratio of 0.65 and along-axial radius of 400km.

400km radius is chosen empirically because we try to avoid including different nature of the waves within one elliptical region and yet the region should be large enough to include the main fraction of a given wave packet. We do however understand that gravity waves in the PMCs are highly complex and there is not a strict criterion to separate different events.

2. The scales are limited to 20-60km.

Please see the next reply for the choice of 20-60 scale range.

3. Robustness of the results:

(a) The roughly -3.0 spectral slope or exponential is robust.

(b) The slightly weakening slope with the decreasing wave power is also robust. However, using a different mother wavelet the slopes show minor differences.

Nevertheless, we consider Morlet wavelet be the most reasonable mother wavelet compared to Mexican hat. We will later show the results of Mexican hat and explain why.

In summary, for a complex entity of waves we made a choice to attack the problem to extract a common law as much as we can, which is the rationale of this study. But the reviewer's concern is fully legitimate because if the results vary strongly with the choice of the wavelet or any other given condition the goal of this research will not be served.

Here are some more general comments:

- The focus on wave signatures with wavelengths between about 20 and 60 km seems an unnecessary restriction in several parts of the manuscript, because many of the observed wave signatures have longer wavelengths (e.g. page 6, line 8 and the following lines).

There are several reasons that 20-60km is chosen:

- 1. CIPS does not effectively detect waves with wavelength<20km. See the track-changed page 14.
- 2. The short wavelength gravity waves are the most readily observed in the CIPS PMCs. As for the threshold at 60km, it happens to fall onto a specific scale in the CWT spectrum, which is why it is 60km rather than 50km or 70km. See the last paragraph of the track-changed page 4.
- 3. Larger scales (i.e., >60km) have higher power and it will substantially change the spatial power distribution. In this case we will not see the good correspondence between the wave features in the albedo maps and the wave power maps as is shown in our presented results.

We have done an experiment including larger components in the spatial power maps and found that the power spatial distribution features are flat and broad because the region is too small to comfortably including the larger scales (not shown in this reply).

4. The Fig.11 of this paper included the larger scales and also confirmed a roughly -3.0 slope.

- Wavelet power spectra are determined and analyzed in this study. In many studies on related subjects Fourier power spectra are analyzed. I'm wondering, whether the spectral exponents for wavelet and Fourier spectral are (necessarily) identical? The exponent will certainly depend on whether the wavelet power spectra are plotted as a function of wavelet scale or Fourier equivalent scale (see specific comment below). And perhaps the exponent depends somewhat on the mother wavelet used?

This is a very important concern that must be addressed. Wavelet transform rather than FFT is used because the FFT basis vectors are not the best ruler for the localized yet quasi-period features. Please look at <u>Reply-Fig-1</u>. Morlet is the best wavelet because it resembles FFT locally. For example, Mexican hat wavelet has broader FFT spectrum and show fuzzier features spatially but smoother features spectrally compared to Morlet. As we have seen from <u>Reply-fig-1</u>, FFT possesses a highly fluctuating power spectrum because its basis vectors are over the full space and therefore do not reflect the local variability well.

However, given the differences between the different basis vectors, FFT, Morlet, and Mexican hat convey the main message consistently (Reply-Fig-1 bottom panel).

The redone results using the Mexican hat wavelet are shown in <u>Reply-Fig-2</u>. The albedo power spectra for Morlet and Mexican hat both roughly follow the same -3.0 law, and meanwhile the slope also weakens with decreasing wave power levels for the Mexican hat results.

There are minor differences between the two results but from Reply-Fig-1 we learned that Mexican hat wavelet is not as good a choice as the Morlet wavelet in terms of naturally reflecting the gravity wave features because the Mexican hat does not reflect the periodicity well.

- "Wave tracking" and identification of gravity waves: The term "wave tracking" is used several times in the paper, but it seems no "tracking" of gravity waves is actually done. Spectral power in a certain scale range is used as a proxy for gravity wave activity, right? I'm wondering, whether enhanced spectral power is always an indicator for gravity waves? One can easily produce synthetic time series with similar scaling laws that have little to do with gravity waves. Perhaps some comments can be added along these lines of thought.

The term "wave tracking" is a general term, meaning tracking all existing gravity wave displays in the CIPS PMCs. <u>Please see the track-changed page 4 lower paragraph for a text revision.</u>

In addition, in my opinion, tracking some of the waves, even not all scales are included, can be called wave tracking. The 20-60km wavelength wave structures are the most readily observed wave features in CIPS. I personally consider wave tracking is a fairly broad term that has less specific meaning than the term "wave detection".

The reviewer has a good point that high variance or power does not necessarily mean that there is a wave structure. True, we can purposely form a synthetic series that bears high lower. But we took advantage of the fact that in the Earth atmosphere summer mesopause region there is a high population of quasi-periodic gravity wave structures. It could be a turbulent structure although it is relatively rare. In our case it cannot be anything else. For example, it cannot be anything like vortex filaments that regularly appear in the polar winter stratosphere.

Strictly speaking, the coherency, or phase relation, is the indicator of the wave presence. We put this into the future work plan. <u>Some text is inserted at the end of conclusion on the track-changed page 16 to address this intent.</u>

Given the theoretical importance of the phase relation, we found that in CIPS most high albedo power is characterized by the quasi-periodic gravity wave features. For example, in <u>Reply-Fig-4</u> it shows that the albedo map that corresponds to the largest albedo power (over 2007 and 2010) exhibits a set of neat straight wave pattern.

Specific comments:

Page 1, line 24: I suggest replacing "have played" by "play" in this sentence.

It is revised as suggested on the track-changed page 1.

Page 2, line 21: "which is" -> "which are"?

It is reworded on track-changed page 2.

Page 3, section 2: Please mention what version of the CIPS data was used here.

CIPS version "4.20" is now included on track-changed pages 3-4.

There may be different versions for the Level 0/1 and Level 2 data. All versions should be mentioned.

A small paragraph is inserted at the end of data section on track-changed page 4 with Scott Bailey et al.'s 2009 paper cited as another reference.

Page 4, line 9: "The relevant scales for this study ARE"

It is reworded on track-changed page 4.

Page 4, line 14: "These calculations will be carried out along all 360deg radial direction when being applied to CIPS PMCs" Please mention explicitly for how many radial directions the analysis was carried out. Somewhere later you mention that this was done in 1 deg steps (page 10, line 2). I wonder how this is done specifically, and I think this should be described in more detail.

It is a 3° step. A few sentences describing the rationale of this choice are inserted on the track-changed page 6 to better describe the resampling process.

You probably had to do a resampling (or projection) of the data onto each individual direction, which will also affect the spatial scales covered. I assume all of these effects were taken into account properly?

Please look at the same revision mentioned right above. Yes, resampling is done to project the original CIPS data onto the radial and angular directions, and it is properly done to not lose details yet produce sufficiently smooth images.

Page 4, line 19: "In a 6th-order Morlet wavelet (k=6) the scale s is almost precisely the period of the sinusoidal signal" This is correct, but please mention whether you used the wavelet scale or the Fourier equivalent scale for the following analysis and discussions. This choice may/will affect the spectral exponents derived later.

This has been addressed in the general comments part. Please check on <u>Reply-Fig-1</u>. I copy the answer in the previous part as follows:

"Morlet is the best wavelet because it resembles FFT locally. For example, Mexican hat wavelet has broader FFT spectrum and show fuzzier features spatially but smoother features spectrally compared to

Morlet. As we have seen from <u>Reply-fig-1</u>, FFT possesses a highly fluctuating power spectrum because its basis vectors are over the full space and therefore do not reflect the local variability well.

However, given the differences between the different basis vectors, FFT, Morlet, and Mexican hat convey the main message consistently (Reply-Fig-1 bottom panel).

The redone results using the Mexican hat wavelet are shown in <u>Reply-Fig-2</u>. The albedo power spectra for Morlet and Mexican hat both roughly follow the same -3.0 law, and meanwhile the slope also weakens with decreasing wave power levels for the Mexican hat results.

There are minor differences between the two results. From what we've learned from Reply-Fig-1, Mexican hat wavelet is not as good a choice as the Morlet wavelet in terms of naturally reflecting the gravity wave features because the Mexican hat does not reflect the periodic nature well."

Page 5, line 5: "Over the seven relevant .." I suggest keeping the sign of the slope, i.e., writing "-0.54".

Minus sign is included and the sentence is reworded. See track-changed page 5.

Page 5, line 6: "later" -> "latter" ?

It is corrected on track-changed page 5.

Same sentence: Looking at panel a) of Fig. 1, one observes that the dominant (apparent) period changes from about 5 grid units between 20 and 40 to about 10 grid units at 50 to 60, i.e. one would not expect to observe a clear spectral peak in the averaged power spectrum. In the original power spectrum (not averaged over the grid points), one would be able to identify this shift in the dominant period, i.e., you may also show the full power spectrum (but this is not really necessary in my opinion).

True. The spectra are averaged over space because the wavelet spectra also are function of geometric space. In this research we do not go into the shorter spatial ranges to search the local wavelet spectral peaks. Such peaks always exist as is shown in the spectra map of a random series (see <u>Reply-Fig-1 third</u> row).

Fig. 1 has another fold of meaning which is, several equal-amplitude FFT components combined produce a quasi-periodic signal but among these scales not a single scale stands out.

But, 20-60 km is after all a narrow wavelength range and if put into a very wide scale range such as 10-300km there will be a broad peak that covers 20-60km.

Page 5, line 13: ".. along all radial directions" As above, it would be good to mention for how many directions this was done.

The resampling is now detailed on the track-changed page 6.

Page 5, line 15: "In addition, performing the CWT along all radial directions will efficiently capture the waves of all orientations without having to perform systematic CWT calculations along-orbit and cross-orbit."

I don't really understand the logic behind this statement (and I'm probably missing something here). Doing the analysis along all radial directions requires many more calculations than doing it only along-orbit and across-orbit, right?

Doing calculations along-orbit and cross-orbit requires two sets of calculations (therefore two fields) but along all radial directions by our design only gives us one set of calculation (therefore one field).

We wish to see a one-to-one correspondence between the albedo map and the albedo power map. Our calculation is straightforward and contains the main information of the wave power. A full 2D FFT

spectrum would give us many sets of spectra. Please see <u>Reply-Fig-3</u> for several selected sets of 2D FFT basis vectors.

Page 5, lines 17/18: It is not fully clear to me why it is necessary to use a 2-D FFT routine to carry out a 1-D wavelet transform?

What it says is that doing our 1-D CWT along all radial directions is a short version of what the 2D FFT would convey. FFT routine is not used in this research.

Page 6, line 19: I suggest deleting "that" in "some physical process THAT yet to be unraveled"

It is corrected on the track-changed page 7.

Page 7, line 4: ".. carried out throughout the two northern summers in 2007 and 2010"

Was there a specific reason to limit the analysis to these two summers? If yes, it would be good to mention it.

A short answer, no (specific reason). But we do have a few considerations to do so. We wish to choose two years rather than just one in case there is any strong inter-annual variability. But overall, the current stage of the study is not operational therefore not all years are performed. It is time consuming to do them all. If any reason at all, 2007 has been used by many previous researchers and we add 2010 as another year that is some time apart from 2007 just in case retrievals in the early years have shared some common issues.

Page 7, line 6: "We split the cloud population into two subsets .."

I'm sorry, I read this and the following sentences several times, but I don't fully understand the exact difference between the two groups. Can you please make this distinction more transparent. Also, it is not entirely clear to me, what freq_25 means.

It seems, these sentences mix different definitions in a non-trivial way which makes it difficult to understand them.

The two groups are very different. One is the dimmer group and the other is the brighter group. We are particularly interested in the wave manifestation in the brighter group because most previous case studies are from the dimmer cloud environment. freq₂₅ is a parameter empirically defined to separate the dimmer and brighter cloud groups. freq₂₅ is defined as the fraction of cloud measurements with albedo>25x10⁻⁶sr⁻¹. The larger freq₂₅ is, the stronger the overall brightness level is within a given elliptical region.

Bright cloud frequency is a better index than the mean albedo within the elliptical region in terms of separating the bright and dim clouds.

Page 8, line 7: "We next adopt an analytic form to parameterize the relationship between the albedo power and freq_25 in order to resample the wave detections into a consistent normal distribution." This is certainly something one can do, but this step (and the sectioning performed) seems quite arbitrary to me. Why is a normal distribution really needed?

freq₂₅ has no effect on the power slopes and it wasn't used in the power spectrum calculation.

Normal or Gaussian distribution indicates that it is free of the apparent impact of the brightness so that we are able to study the cloud gravity power spectra as an independent statistical variable.

My concern is that the analysis is based on several arbitrary choices, which raises the question how robust the obtained results (e.g., spectral slopes) are and how they can be compared to other studies that are based on different assumptions.

So far all the seemingly arbitrary aspects have been addressed as I believe. Also the power exponents (slopes) have been calculated using a different mother wavelet as is shown in <u>Reply-Fig-2</u> (see above).

As for other studies, as far as we know there aren't similar attacking points yet to investigate the PMC gravity waves. Most previous studies focused on detecting wave peaks for particular cases or study the wave propagation or driving mechanisms.

Page 9, lines 3 – 7: As far as I can tell it is not mentioned how the line sectioning is done. Is it based on an analytic expression?

It was described in the paragraph started with "A set of square root sectioning curves" on the trackchanged page 9.

Page 10, line 28 and line 29: "noises" -> "noise"?

Yes. Thank you. It is corrected on track-changed page 12.

Page 11, line 17: "mildly turbulent-like"

Can you express this in a more objective way. Looking at the Figures I'm not sure, what exactly is meant here. I also wonder, whether a conclusion like that can be drawn based on 3 cases only?

This expression would raise concern strictly speaking since it is descriptive and we did not go deeper. But after looking at many cases in the brighter PMC environment we considered this a likely true statement, much more than it was perceived based on what is shown here. I believe this is worth mentioning and being brought to attention. In the dimmer environment the waves are more distinctly clear than those in the brighter background.

Page 12, line 7: "three-colored" -> "three colored"

It is reworded on the track-changed page 13.

Page 12, line 12: "it plays a minor importance." -> "it is of minor importance." or "it plays a minor role."?

That sentence is reworded and please see the track-changed page 13.

Page 12, line 29: "wave lengths" -> "wavelengths"

All the spaces between the two words are deleted in the paper.

Page 13, line 21: Suggest writing "fractal perimeter dimension" rather than just "fractal dimension", because there are different fractal dimensions.

It is corrected on the track-changed page 14.

Page 15, line 13: "wave length" -> "wavelength"

It is corrected as is mentioned above.

Page 15, line 29: spaces before "Randall" and "von Savigny" missing

It is corrected.

Page 16, line 6: delete extra space after last author

It is corrected.

Page 16, line 8: add space before paper title.

It is corrected.

Figure 1, caption, line 2: add space in "and11.3"

It is corrected.

Figure 2, caption, line 5: "x5 km" -> "x 5 km"?

It is corrected.

Figure 3, ordinate label: "num of detections / bin" It's not clear (at least to me) what "bin" refers to here.

 $1.0 \times 10^{-12} \text{ sr}^{-2}$ is the bin size used as is stated in the caption.

Figure 4, caption, line 3: "The dashed thick black lines roughly follow"

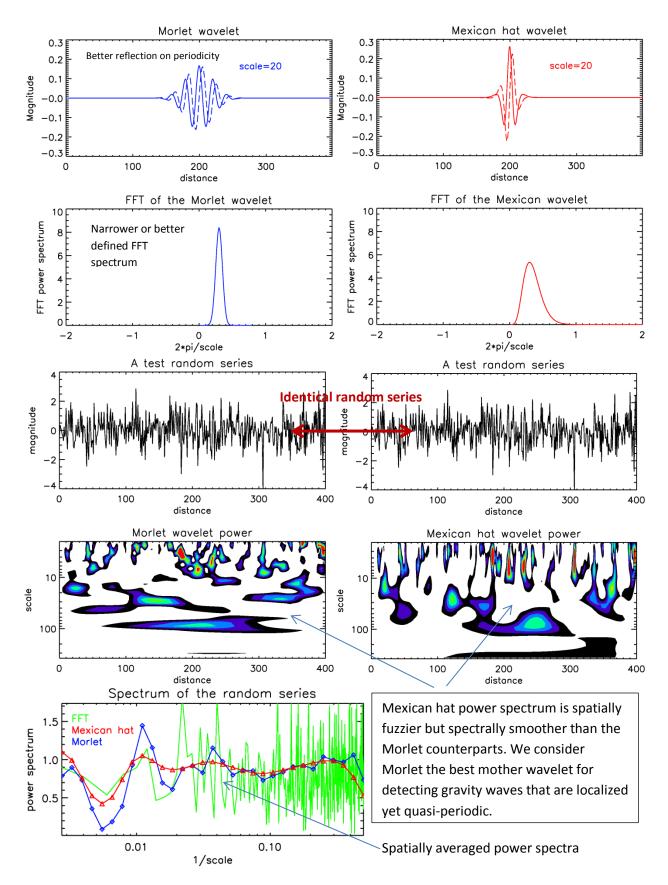
There only seems to be a single thick black line, not multiple lines.

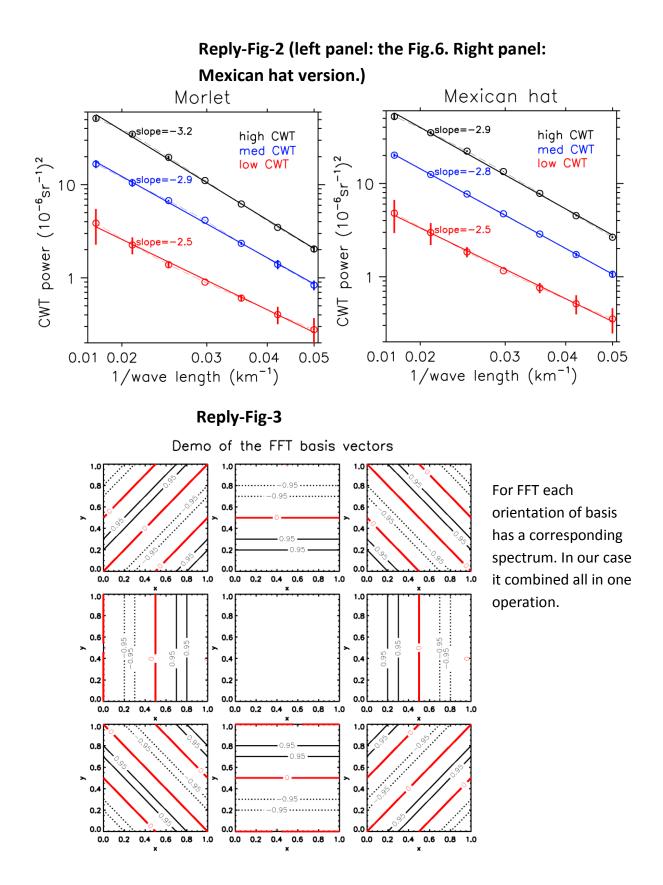
There are dashed magenta lines, just being pale to prevent them from blocking the view of the rainbow colored region.

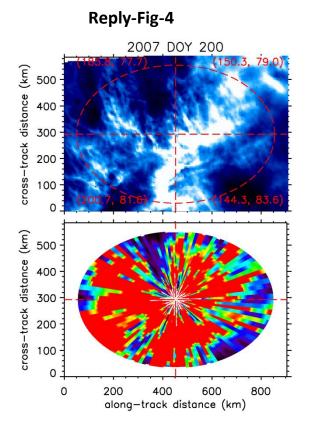
Figure 6: It would be good to determine and present uncertainty estimates for the spectral slopes.

The uncertainty ranges were included as the vertical lines. They are just small. They are pretty obvious for the red lines.

Reply-Fig-1







Reply-Fig-4: The largest power achieved in our calculations over 2007 and 2010 summer seasons. The original albedo map (top) and the corresponding power map (bottom). This wave packet is quite typical straight waves. Large albedo power usually correspond to pretty well organized wave structures although we have said that theoretically speaking phase coherency needs to be obtained to determine their nature.

Universal power law of the gravity wave manifestation in the AIM CIPS polar mesospheric cloud images

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Abstract:

We aim to extract a universal law that governs the gravity wave manifestation in the polar mesospheric clouds (PMCs). Gravity wave morphology and the clarity level of display vary throughout the wave population manifested by the PMC albedo data. Higher clarity refers to more distinct exhibition of the features which often correspond to larger variances and better organized nature. A gravity wave tracking algorithm is applied to the PMC albedo data <u>at 83km altitude</u> taken by the AIM Cloud Imaging and Particle Size (CIPS) instrument to obtain a large ensemble of the gravity wave detections. The horizontal wavelengths in the range of ~20-60km are the focus of the study. It shows that the albedo (wave) power

15 statistically increases as the background gets brighter. We resample the wave detections to conform to a normal distribution to examine the wave morphology <u>and display clarity</u> beyond the cloud brightness impact. Sample cases are selected at the two tails and the peak of the normal distribution to represent the full set of wave detections. For these cases the albedo power spectra follow exponential decay toward smaller scales. The high albedo power category has the most rapid decay (i.e., exponent=-3.2) and corresponds to the most distinct wave display. The wave display becomes increasingly more blurry for

20 the medium and low power categories that hold the spectral exponents of -2.9 and -2.5, respectively. The majority of waves are straight waves whose clarity levels can collapse <u>between</u> the <u>different</u> brightness levels but in the brighter background the wave signatures seem to exhibit mildly turbulent-like behavior.

1. Introduction

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Atmospheric gravity waves play important roles in the atmospheric circulation, structure, and variability. The influence of breaking gravity waves on the dynamics and chemical composition of the 60 to 110 km region has been the most significant. The momentum deposited by breaking waves at mesospheric altitudes reverses the zonal winds, drives a strong mean meridional circulation, and produces a very cold summer and warm winter polar mesopause, which enables the polar mesospheric clouds (PMCs) to form in the summer mesopause region (Fritts and Alexander, 2003; Garcia and Solomon, 1985). Aside from causing these indirect but fundamental effects on the global circulation, gravity waves also have widespread displays in PMCs (e.g., Fogle and Haurwitz, 1966; Fritts et al., 1993; Dalin et al., 2010; Taylor et al., 2011; Thuraraijah et al, 2012; Yue et al., 2014) serving as a visible manifestation of the polar summer mesospheric dynamics.

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Semi-organized wave-like structures have been the most characteristic and widespread features in PMCs. PMCs are also referred to as noctilucent clouds (NLCs) when observed from the ground. In an extensive review given by Fogle and Haurwitz (1966), four types of NLCs are categorized at a descriptive level: bands and long streaks, billows, whirls, and veils. Most of these features resembled semi-organized wave signatures. These NLC features are reflections of gravity waves, gravity wave breaking, or wave breaking induced turbulence (e.g., Fritts et al., 1993; Dalin et al., 2010; Baumgarten and

Fritts, 2014; Miller et al., 2015). Gravity wave horizontal scales encompass an extremely broad range of ~10-1000 km (e.g., Fritts and Alexander, 2003), but the most widespread and readily observed displays in the NLCs had wavelengths shorter than 100 km (e.g., Fogle and Haurwitz, 1966). The near-infrared hydroxyl (OH) airglow images also revealed similar wave patterns. For example, Taylor and Edwards (1991) observed several ~15-20km wavelength linear wave patterns over Hawaii 10 in March and Yue et al. (2009) reported capturing the mesospheric concentric waves with wavelengths in the range of ~40-

80km over Colorado and a few neighboring states.

The Aeronomy of Ice in the Mesosphere (AIM) satellite was launched in April 2007 becoming the first satellite mission dedicated to the study of PMCs (Russell et al., 2009). One of the primary research goals of the AIM mission is to explore how PMCs form and vary. In pursuing this goal, gravity waves have become an increasingly important topic in AIM

- 15 science investigations. The Cloud Imaging and Particle Size (CIPS) instrument (McClintock et al., 2009) aboard the AIM satellite provides PMC images that cover the polar region daily throughout the summer season in both hemispheres, and has collected almost 10 years of data to date. These data have enabled extensive studies of gravity wave signatures in PMCs and of mesospheric dynamics more generally (e.g., Thurairajah et al., 2013; Yue et al., 2014). Thurairajah et al. (2013) presented a host of characteristic cloud structures in the CIPS PMC images, among which the noteworthy ones include the "void"
- 20 feature with a clean edge and a core region of sharply reduced cloud brightness, and concentric waves (see also Taylor et al., 2011; Yue et al., 2014). These are fairly unique signatures with low occurrence frequency and are not the focus of the current study <u>although</u> we do also discuss some examples of the concentric waves in <u>a</u> later part of this paper. Yue et al. (2014) correlated concentric wave patterns in the CIPS PMC data with similar patterns in the stratosphere observed by the Atmospheric Infrared Sounder (AIRS). Concentric waves are the most evident proof that gravity waves excited by tropospheric storm systems have propagated into the mesosphere.
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Quantitatively characterizing gravity waves in PMC images is generally difficult because the wave patterns are complex although several NLC morphology types have been successfully interpreted in the previous modeling studies (e.g., Fritts et al., 1993; Baumgarten and Fritts, 2014). Furthermore, PMCs are characterized by a hierarchy of larger scale features (~100-1000 km) that often obscure the smaller scale (<100 km) gravity wave signatures. It is worth noting that these large scale features may not be exclusively gravity wave structures because tides and planetary waves also play important roles in

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modulating the PMC spatial variability [e.g., Merkel et al., 2009].

In this study we developed an algorithm to quantify the occurrence and manifestation of gravity waves with horizontal wavelengths of ~20-60 km in the CIPS level-2 albedo data (Lumpe et al., 2013). Such a scale range is chosen because the full display of these waves can well fit into one CIPS orbital strip and also because these short wavelength

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waves are proven to be the most commonly observed. We aim at obtaining a universal law that governs the wave display for a large ensemble of semi-organized wave structures via sorting their albedo disturbance power and examining their relationship with the background cloud brightness. The law obtained will go beyond the apparent dependence of the albedo wave power on the background cloud brightness because the real effect of the gravity waves on the PMC brightness is

- 5 through dynamical or microphysical control that are related to the variability in winds, temperature, and water vapor, as is shown in the model studies carried out by Jensen and Thomas (1994) and Chandran et al. (2012) for instance. These studies suggested that both long and short gravity waves eventually reduce the cloud brightness locally. Generally speaking, the fundamental relationship between the gravity waves and the PMC brightness is not yet fully understood. In this study we do not pursue such fundamental relationship or attempt to characterize specific wave events in a strict sense.
- 10 This algorithm we designed for CIPS differs from the wave tracking approach proposed in other research papers (e.g., Chandran et al., 2010; Gong et al., 2015) in that it confines the scale range first, rather than searching for spectral peaks. This is because the wave patterns in PMCs can be obscured by larger scale variability. As a result, spectral peaks, for example in wave numbers, do not stand out easily unless a distinct wave structure was first visually detected and then a spectral analysis is carried out along its most optimum orientation. This is also true for airglow image processing that aims at
- 15 identifying the mesospheric gravity waves (e.g., Matsuda et al., 2014). In the current study we do not adopt low passfiltering (e.g., Chandran et al., 2010) because the measure needs to be applied with caution and will induce artificial features in the 2D imagery if large and small scales are not separated optimally. <u>Another important technique to detect gravity waves</u> and to resolve their characteristics is via applying a spatio-temporal analysis to either the ground based or satellite measurements (e.g., Wachter et al., 2015; Ern et al., 2011). For example, Wachter et al. (2015) applied such a technique to
- 20 the OH airglow time series measured at the chosen triangular equilateral ground sites to yield a consistent set of wave parameters. In these analyses however a full display of the waves is not captured because only a few locations are used. CIPS on the other hand has extended spatial coverage and therefore in the current study we aim to only detect the spatial wave patterns.
- The planning of the paper is given as follows. A brief description of the CIPS data is given in Sect. 2. In Sect. 3 we describe the wave tracking approach, provide an analytical demonstration, and then apply the algorithm to the few concentric waves found in the CIPS images. In Sect. 4 the statistics of the wave power is obtained and its dependence on the background cloud brightness is quantified. A resampling process is applied to reach a normal distribution of all the wave power values. Resampling serves as the first step to further examine the gravity wave display beyond the apparent impact of the cloud brightness. In Sect. 5 representative cases are chosen to extract a universal law that controls the wave display. As a
- 30 step further, demonstrations of these cases are shown to verify. Conclusions are given in Sect. 6.
 - 2. CIPS dataset

CIPS <u>version 4.20</u> level-2 orbital strips of <u>PMC</u> albedo <u>at 83km altitude</u> are used in this study (Lumpe et al., 2013). CIPS is one of the two instruments that are currently operating aboard the AIM satellite. <u>The CIPS instrument (McClintock</u> et al., 2009) is a panoramic imager viewing nadir and off-nadir directions to measure ultraviolet radiation (centered at 265nm) scattered by the clouds and atmosphere. In the spectral region near 260 nm, absorption of ozone in the lower atmosphere renders the earth nearly dark, maximizing the contrast of PMC scattering relative to the atmospheric background. It is worth noting that aside from the PMC data used in this study, the CIPS Rayleigh Albedo Anomaly (RAA) data are also made available to the public. The RAA data characterize the gravity waves at altitudes of 50-55km (Randall et al., 2017)

- 5 serving as the only existing imaging dataset that can reveal the gravity wave horizontal structures near the stratopause. CIPS consists of four wide angle cameras arranged in a "bowtie" shape that covers a 120° (along orbit track) × 80° (cross orbit track) field of view (FOV). It measures scattered radiances from PMCs near 83km altitude to eventually derive cloud morphology and particle size information. Each individual cloud had a stack of maximum seven exposures from different view angles from which we derive PMC scattering phase functions and eventually retrieve the nadir horizontal spatial
- 10 features. CIPS horizontal resolution is approximately 2-7 km depending on how far a given pixel is from the center of "bowtie", with the center of the "bowtie" possessing the finest resolution. CIPS version 4.20 retrieval algorithms and data products are described in detail by Lumpe et al. (2013). In level-1a the camera flat-fielding is applied to remove the pixel-to-pixel variation induced by each camera, and then normalization between the cameras is applied. In level-1b all cameras are merged to create a consistent set of CIPS measurements. In this stage the measurements are adjusted onto a common grids
- system of 25km² resolution. In the level-2 processing the cloud scattering signal is further distinguished from the background Rayleigh signal based on their different scattering angle dependence. The level-2 retrieval is operated on the level-1b data, so that the level-2 data product is registered on the same 25km² resolution grids. <u>Throughout the 10 years of AIM mission the CIPS retrieval has experienced earlier versions and the theoretical framework of these retrievals was described in Bailey et al. [2009]. In the previous CIPS data versions the Rayleigh background was retrieved pixel-by-pixel rather than over the entire orbital strip like in version 4.20. This earlier approach will result in increased retrieval noise in the cloud parameters,
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requiring additional smoothing procedure to increase signal-to-noise at the expense of retrieval resolution.

3. Wave tracking algorithm

3.1. Analytical demonstration

One-dimensional (1-D) continuous wavelet transform (CWT) calculations constitute the basic elements of the proposed CIPS wave tracking algorithm. The term "wave tracking" in the context of this paper refers to the operation of tracking all existing quasi-periodic wave displays in the CIPS PMCs over the scale range of ~20-60km rather than tracking a specific wave event. We first demonstrate the effectiveness of the approach using an analytically composed series. When the algorithm is applied to CIPS (see Sect. 3.2 and later), each individual CWT calculation will be carried out along a presumably ~400km, or 80 grids length of CIPS PMC albedo segment, and will deliver a total number of 22 components that

30 spans the scales 2.0-76.0 in grids (5km/per grid). The relevant scale range for this study is ~4.0-12.0 grids (~20-60km), and the total power of the relevant CWT components is termed as CWT power, or albedo power in the following CIPS related discussion. It is worth pointing out that a ~20-60km scale range is focused in the study because their total albedo power spatial distribution corresponds well with the readily observed wave signatures in the albedo maps (see the following Fig. 2, and Figs. 7-9 and 11). The 60km threshold appears particular but it is chosen simply because it is among the sequence of

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individual scales for the CWT calculations, which are 4.0, 4.7, 5.6, 6.7, 9.5, and 11.3 grid units respectively. A radius of roughly ~400km is chosen because it is able to include many repeats (~10) of the wave trough and ridge to provide the full extent of wave display. Yet the spatial range of the wave display should not be overly extended because we do not wish to go across several wave events or different types of variability along the path of CWT calculation. These calculations will be carried out along all 360° radial directions (<u>3° increment</u>) when being applied to CIPS PMCs.

A 6th order Morlet wavelet is adopted in this study. Morlet wavelet (Gabor, 1946) is a complex exponential (plane sinusoidal waves) windowed by Gaussian so that both periodicity and localization can be realized, defined as $e^{ikx/s}e^{-x^2/(2s^2)}$, where k is the (non-dimensional) order and s is the scale. Scale s determines both the width of the Gaussian and the period of the sinusoidal signal. In a 6th-order Morlet wavelet (k=6) the scale s is almost precisely the period of the sinusoidal signal.

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The artificially created series, which is shown as the red thick curve in Fig. 1a, is composed of seven plane sinusoidal waves that have the wavelengths from 4.0 to 12.0 grids and zero initial phases at the start point of the series. These wavelengths are the 5th-11th scales delivered in a CWT calculation mentioned above. The shortest and longest of these plane waves are shown by the gray and green dashed lines in Fig. 1a. The total CWT power over the scales of 4.0-12.0 grids is shown as the black curve in Fig. 1b, whereas the red thick curve in Fig. 1b is the reconstructed series using the Morlet

- wavelets and the corresponding CWT coefficients. It is notable that the black curve is smooth and exactly follows the magnitude change of the localized shorter scale signals. The basis vectors of CWT are not orthogonal and therefore a reverse CWT does not exist in a strict sense, but we do find that the reconstructed series greatly resemble the original series <u>although</u> their magnitudes slightly differ. The CWT works poorly when a single sinusoidal component is the target series, but for a series consisting of several sinusoidal components, as is shown in Fig. 1a, the reconstructed series using the CWT coefficients and components captures the variability very well. So far we have learned that the CWT and reverse CWT works
 - coefficients and components captures the variability very well. So far <u>we have learned</u> that the CWT and reverse CWT work efficiently on a quasi-periodic signal series <u>suggesting that CWT will be an effective approach to detect waves in the CIPS</u> <u>PMCs</u>.

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Fig. 1c shows the CWT spectrum of the created series. We just mentioned that the created series is the sum of only seven FFT components, but since FFT and CWT have different basis vectors the CWT will project onto all the scales from 2.0 to 76.0 grids. Over the seven relevant scales from 4.0 grids to 12 grids the slope on the double-logarithm diagram is as <u>weak as -0.54</u> reflecting the fact that we have adopted identical amplitudes for all the plane sinusoidal waves used to create the series. For scales < 4.0 grids there is an extremely rapid decrease of the CWT power density with a slope of -12, indicating that these scales are almost non-existent. It is noteworthy that the created signal exhibits quasi-periodic nature

30 even though there are no spectral peaks. However, due to the involvement of multiple scales, the fluctuation washes out at certain portion of the series (i.e., in the range of 3.0-23.0). In this latter case, quasi-periodicity is impaired by the involvement of multiple components.

3.2. Demonstrations on the concentric wave patterns

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In terms of applying the algorithm to the CIPS PMC images, a direct 2-D CWT routine would be preferred but does not exist in the standard numerical recipe. In addition, there is an ambiguity on determining the phases in the CWT algorithm because there is always a tradeoff between the localization of the signal and a clear phase determination.

In this study we used an algorithm based on expediency as well as efficiency consideration. The 1-D CWT calculations are carried out along the full 360° radial directions centered at a given location within the CIPS albedo orbital strip. The resampling of the CIPS data is performed on the radial and angular directions centered at such a location. Along the radial direction the step of increment is 5 km which is the same as the CIPS level-2 resolution, while in the angular direction a step of 3° is chosen based on the consideration of yielding a sufficiently detailed yet smooth spatial map of the albedo wavelet power. This approach was inspired by the intent of detecting ideal concentric waves because such a design will result in the maximum CWT albedo power within a given radius. In addition, performing the CWT along all radial directions will efficiently capture the waves of all orientations without having to perform systematic CWT calculations

- along-orbit and cross-orbit which will be two sets of calculations. Since the basis vectors of 2-D FFT are straight (linear) waves of all orientations, the current algorithm is more or less a short version of the 2-D FFT in a localized area but has the merit of being straightforward in reflecting the local albedo wave power.
- 15 The specifics of the algorithm are described as follows. An elliptical region of 80 grids along orbit and 80×0.65 grids cross-orbit is used to carry out the CWT calculations. The factor 0.65 is empirically chosen based on a few fitted examples of the concentric waves found in CIPS. The rationale of such a factor will be revisited in a following paragraph. Concentric waves found in CIPS appeared to be mostly elongated in the along-orbit direction. This factor has no qualitative effect on the results except that when the elliptical region fits an actually existing concentric wave pattern, it achieves the
- 20 largest albedo CWT power, which is a desired condition for the wave tracking operation. The elliptical region is moved around to fully cover the orbital strips. The steps of the movement are the half axial lengths in both along-orbit and crossorbit directions. Except for the intent to achieve the full coverage of the orbital strip, the "move-around" scheme will also ensure the capture of the albedo CWT power from varying orientations in the same region. As is mentioned above, the albedo CWT power, or albedo power for simplicity, measures the total albedo fluctuation intensity in the scale range of ~20-25 60km.

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Five examples of concentric waves and the corresponding albedo power maps are presented in Fig. 2 to demonstrate how the wave tracking algorithm works for CIPS. Concentric waves are chosen because they are well documented as possessing a unique morphology and meanwhile serving as a proof of the connection between the lower and higher atmosphere. These waves are extremely rare and were detected only a few times for a given PMC season. The percentage of detection is less than 5% in terms of days per season, and by spatial coverage the fraction is even smaller. All examples have shown only partial rings. The modeling results by Vadas et al. (2009) have shown that including realistic zonal winds can substantially disrupt the completeness of rings in the mesosphere, with about 50% of the wave structure being disrupted. In the CIPS PMCs the rings are more severely disrupted. In some cases only a 20 degree section of the full 360 degree circle has survived (not shown). It is especially noteworthy that Vadas et al. (2009) also found that if a July zonal wind is adopted Deleted: all

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in the model simulations the rings will be elongated to an axial ratio of about 0.67, which agrees closely with the findings in CIPS. Although the results by Vadas et al. (2009) are at the northern middle latitude region, this would suggest that in summer the concentric waves will likely be substantially elongated compared to those in winter or spring.

The example shown in Fig. 2a has a wavelength of about 60 - 80km which is longer than most other concentric waves in the CIPS PMCs, but since the (bright) ridges are much narrower than the (dim) troughs the albedo power still reaches notable magnitudes. Remember that in this study only the CWT power within the wavelength range of ~20-60km is calculated, and what is shown in Fig. 2a again reminds us that wave patterns in PMCs only achieve quasi-periodicity. The example in Fig. 2b is a set of highly distinct concentric waves. The albedo power reaches notably larger values when the waves are the most distinct in the upper-right quadrant of the albedo map (see Fig. 2b upper panel). In the upper-left quadrant of the albedo map the waves become blurry but one can still tell that they are concentric. In the blurry part of the

- map the albedo power decreases sharply, as is clearly seen from the corresponding lower panel. Given the confined scale range of ~20-60km, being visually blurry can be interpreted as possessing lower variance level which may have been a result of diffusive processes. In <u>the spectral space</u>, if the leading scales stand out poorly from the neighboring smaller scales then the structure will be less organized which also makes it less distinct. This topic will be further addressed in the following
- 15 Sect. 5. Within the same wave display, varying from being distinct to blurry must be controlled by some physical process vet to be unraveled. In the lower-right quadrant of the albedo map there are some straight waves that have cross-interfered with each other but are much less distinct than the main part of the concentric waves. This suggests that multiple wave packets of different morphology often coexist right next to each other in the PMCs. Fig. 2c shows an example of extremely faint concentric waves which are characterized by much lower albedo power than those in the rest of the examples. Fig. 2d shows
- 20 a slightly weaker but also fairly distinct partial concentric wave pattern that also coexists with some blurry straight wave patterns. Fig. 2e is an example of concentric wave in a bright background that occurred in 2009. In 2009 CIPS orbits appear twisted due to the turning of the camera pointing.

At the end we must also point out an inherent drawback of the CWT wave tracking algorithm. It is apparent that in all the examples shown in Fig. 2 the clouds (e.g., $>5.0\times10^{-6}$ sr⁻¹) do not fill up the entire elliptical region and furthermore the wave signatures are mostly partial rings. If such an inhomogeneity is strong, the mean albedo power or background brightness can misrepresent the characteristics of the region. We therefore have chosen a relatively small radius (~400 km) to carry out the calculations to minimize the effect of inhomogeneity. Due to the high complexity of the PMC signatures it is unlikely to simply eliminate such an effect. Nevertheless, we so far did not run into any noteworthy problem due to such effect when analyzing the wave tracking results.

30 4. Statistics of the gravity wave albedo power

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4.1. Brighter PMC background threshold

The statistical ensemble consists of the albedo power values averaged within all elliptical regions used to carry out the wave tracking. We need to emphasize here that the albedo power refers to the total power within the scale range of ~20-60km. The wave tracking procedure has been carried out throughout the two northern summers in 2007 and 2010 from June

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 1^{st} to August 31^{st} . We take a particular interest in the wave display in the brighter PMCs because the previously identified waves are mostly residing in the relatively dim cloud environment. We split the cloud population into two subsets, one with only 0-2% fraction of brighter clouds (with the threshold being $25 \times 10^{-6} \text{sr}^{-1}$, denoted by freq₂₅ hereinafter) in the elliptical region, which is the overall dimmer cloud group, and the remaining set that contains the brighter cloud group. The $25 \times 10^{-6} \text{sr}^{-1}$ threshold is chosen empirically. The brighter cloud presence frequency (i.e., freq_{>25}) is a better index than the mean cloud albedo in characterizing a systematically brighter cloud background.

4.2. Histograms of the albedo power values

Fig. 3 shows the histograms of the albedo power values for the full set of wave detections (in red) and those residing in the brighter background (in black), and both show a peak close to zero and a rapid decrease as the albedo power
10 increases. Peaks approaching zero indicate that the majority of the waves are in the range of low albedo power. It is especially worth noting that the full set and the brighter set collapse as the albedo power is greater than ~20×10⁻¹²sr⁻² whereas before this point they separate substantially. This indicates that brighter clouds mostly coincide with the higher albedo power and the waves that have caused the difference in the two curves reside in the dimmer group. In addition, the collapsing part of the curves forms a straight line under a logarithm vertical axis, suggesting an exponential decrease of the

- 15 wave detection number density as the albedo power exceeds the value where the peak number density occurs. For the full set of the wave detections the straight line proceeds to much smaller albedo power value while for the brighter set it shows a peak at a higher albedo power. Removal of the dimmer clouds by a given threshold caused this. In general, the exponential decrease of the wave detection number density toward increasingly higher albedo power is a robust result but how rapidly the number density decreases may show inter-annual variability (not shown). It is worth mentioning that the analysis of the
- 20 PMC ice water content measured by the Solar Backscatter Ultraviolet (SBUV) instruments (DeLand and Thomas, 2015) yielded the same type of distribution. These authors further investigated the apparent inter-annual variability of the distribution slope and concluded that population ratios between the hierarchy of particle sizes may have been different for individual years to cause this variability. Later in this paper we will find that PMC albedo and the corresponding wave power hold a statistically linear relationship and therefore it is within expectation that both the PMC intensity and the wave power
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<u>follow similar distributions. Fig. 3 also shows that when the albedo power reaches its upper limit the curve flattens out, but</u> <u>such a behavior is simply</u> caused by the low sample number which is of no significance.

4.3. Relationship between the albedo power and freq₂₅

Although the dimmer subset takes up a major fraction of the cloud population, which exceeds 65%, we take just as much interest in the wave display in the brighter cloud background in this paper. Fig. 4 shows the scatter plot of the wave detections in the brighter cloud background on the plane of albedo power versus freq₂₅. In Fig. 4a, within equally spaced bins of freq₂₅ and the albedo power, the rainbow colored squares represent the number density of the wave detections. The dimmer group <u>of PMCs are collapsed into the first bin of freq₂₅=0-0.02 and is not shown in this scatter plot to avoid any discontinuity induced by the artificially chosen threshold (i.e., 25×10⁻⁶sr⁻¹).</u>

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Fig. 4a shows that the wave detections (see rainbow colors) are grouped more densely in the low albedo power as well as the low brightness region. This generally agrees with what Fig. 3 just showed but we should note that the wording "low" is in a relative sense because we have taken away the dimmer set in this analysis. For any given freq₂₅ the wave detection number density distribution resembles a normal distribution but the outliers are strongly asymmetric showing much further-reaching albedo power value at the upper limits. In addition, we find that as freq₂₅ increases the peak number density moves toward an increasingly larger albedo power, shown by the black dashed curve. Both suggest that in a statistical sense larger albedo power corresponds to brighter cloud background. At the dimmer end where $freq_{25}=0.02$ the albedo power is within $50 \times 10^{-12} \text{sr}^{-2}$ (amplitude $\sim 7.0 \times 10^{-6} \text{sr}^{-1}$) while for freq₂₅=1.0 it reaches values greater than $150 \times 10^{-12} \text{sr}^{-2}$ (corresponding to an amplitude of $\sim 12.0 \times 10^{-6} \text{sr}^{-1}$).

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We next adopt an analytic form to parameterize the relationship between the albedo power and freq₂₅ in order to resample the wave detections into a consistent normal distribution. This is a preliminary step taken for the future removal of the apparent dependence of the wave power on the background cloud brightness. PMCs serve to manifest the gravity waves and we tend to examine the wave display after removing the apparent impact of the background cloud brightness (also see Sect. 5). Although the gravity wave forcing does cause variability in winds, temperature and water vapor and therefore eventually results in changes in the local PMC brightness (e.g., Jensen and Thomas 1994; Chandran et al., 2012), the known

theory does not serve to interpret the apparent relationship we just found. In this paper we do not further pursue what mechanism drives such a relationship. A set of square root sectioning curves (albedo power=factor×\freq25) are used to split the wave detections into subsets to achieve the normal distribution. Such an analytic form is chosen because it roughly coincides with the black dashed curve in Fig. 4a that shows how peak number density of wave detections varies with freq25. The interval of the

- 20 sectioning curves is by factor= $1/2^{i/4}$ with index i varying from 0 to 21, shown in Fig. 4a. Totally twenty-three sections are used to produce a smooth probability distribution, with the first index i=-1 being at the very beginning being close to the albedo power axis. The 2^{i/4} rather than a linear sequencing is chosen to account for the fact that the data points become increasingly denser from being close to the albedo power axis toward the freq25 axis. Fig. 4b confirms that the resampling 25 produces almost a precise normal distribution, and at the 11th interval it reaches the peak which splits the wave detections in

half.

4.4. Relationship between the albedo power and mean albedo

In this subsection we reexamine the albedo power dependence on the background cloud brightness using the mean albedo within the elliptical region as the horizontal axis. This angle of investigation provides a smoother picture because it 30 does not use any imposed threshold $(25 \times 10^{-6} \text{sr}^{-1})$. Two purposes are served by doing so. First, we tend to include the wave detections with dimmer background since they take a major fraction of the cloud population as is mentioned above. Second, we tend to examine whether the full set of wave detections and those residing in the brighter cloud background follow a consistent statistical relationship between the albedo power and the background cloud brightness.

Fig. 5a shows a similar scatter plot except using the mean albedo (within the elliptical region) as the horizontal axis. The isopleths of the wave detection number density suggest a linear relationship between the albedo (fluctuation) power and the background albedo and we also note a strong asymmetry of the albedo power distribution between the lower and upper limits suggesting an apparent dependence of the albedo power on the background mean albedo. This confirms that albedo power monotonically increases with the background cloud brightness except that a different analytic form will be used to carry out the sectioning procedure.

Linear sectioning lines are applied to the plane of the albedo power versus the mean background albedo to yield a normal distribution. The sectioning lines are emitted from (0, 0) point and the angular interval gradually increases from the lower-right to upper-left corner to achieve symmetry of the distribution. Totally 27 intervals are used. Such a sectioning and
resample procedure makes both the full set and the dimmer subset achieve the normal distribution, shown in Fig. 5b, which confirms a consistent behavior between the dimmer set and the full set. The peak of the normal distribution is reached at the 12th sectioning interval. Both the current Fig. 5 and the previous Fig. 3 (i.e., the albedo power histograms) indicate that dimmer subset and the full set follow a similar behavior.

4.5. Representative cases on the scatter plot

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The dots of three different colors in Fig. 4a are the sample selections of the wave detections for future demonstration purpose. Wave tracking yields a large number of detections and we aim at obtaining a universal law that governs the full set of wave display. The selections are made roughly at the two tails, and the peak of the normal distribution (Fig. 4b), labeled as the high, medium, and low albedo power categories. Note that these categories are chosen based on the combination of both albedo power and the background cloud brightness. Three brightness levels, freq₂=0, 0.4, and 0.8, are

- 20 used and therefore totally nine selections are made. The cases at $freq_{25}=0.4$ and 0.8 almost precisely follow the sectioning curves. While at $freq_{25}=0$ the three selections are made based on a linear relationship with the two selections at $freq_{25}=0.4$ and 0.8 respectively. This is because the dimmer subset ($freq_{25}<0.02$) is not included in Fig. 4a. We make it clear here that the sectioning curves serve only as the guidance to select the cases that reasonably cover the full set of detections but our conclusions are not sensitive to what exact cases are chosen.
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We place the same nine selected cases in Fig. 5a and find that the three albedo power categories also roughly follow the linear sectioning lines and are also located approximately at the lower and higher tails, and the peak of the normal distribution.

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At last we check on where the concentric waves shown in Fig. 2 turn out on the scatter plots. From looking at the turquoise colored triangles we find that they do not seem to preferably occur at any specific combinations of albedo power and background brightness. Rather, the five cases approximately evenly spread over the core region, and none has occurred in either high albedo power or high background brightness ranges. Also, it is worth mentioning that the case of the most distinct concentric waves shown in Fig. 2b has a combination of $freq_{25}=0.016$ and albedo power= $25.4 \times 10^{-12} sr^{-2}$, suggesting that the combination of relatively low background brightness and relatively high albedo power seem to correspond to the best clarity of wave display. Although rare in occurrence and possessing a known particular form of driving mechanism,

concentric waves seem to have shown a regular behavior in terms of the correspondence between the albedo power and the background cloud brightness.

5. Wave displays of the representative cases

5.1. Albedo power spectra

The albedo power spectra of the nine selected detections are shown in Fig. 6 with the three brightness levels for each albedo power category being collapsed to each other. These power spectra are calculated to extract a universal law that governs the wave display. The power spectra along all 360° orientations (with $\frac{2}{3}^{\circ}$ step) within a given elliptical region are averaged for each individual scale within the range of the ~20-60km. Although inhomogeneity exists within the elliptical region the spectra of the main wave signatures will dominate the mean spectra within the elliptical region. Unlike the FFT

10 power spectra that are prone to exhibiting spikes (not shown) the CWT power spectra have blunter features. Especially, due to the spatial average it is even less likely that spectral peaks will stand out unless a given scale within the ~20-60km range is consistently dominant throughout the gravity wave population, which is not the case.

Based on the nine representative cases, the general form of power spectra can be expressed as $A \times (1/wavelength)^{\alpha}$, where coefficient A=1.42×10⁻⁴, 1.45×10⁻⁴, and 1.49×10⁻⁴, and the spectral exponent α = -2.5, -2.9, and -3.2 for the low, medium, and high power categories respectively. Note that the three categories are defined in the previous Sect. 4.5. For a narrow range of wavelengths, i.e., ~20-60km, the higher wave power or larger variance level of the display will correspond to higher clarity of wave display, or sharper features. More rapid decay toward the smaller scales will also contribute to

higher display clarity because the leading scale will be more dominant over the smaller scales and therefore the wave signature will be better organized. The actual wave displays shown in the following Sects. 5.2 and 5.3 will verify these arguments.

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The black lines in Fig. 6 are for the high power category (see the black dots in Fig. 4a). The three brightness levels possess a consistent exponent α =-3.2 and therefore via simple adjustment of A (in the analytic form) a normalization or collapse between the three spectra is achieved. The factors of normalization toward the brightest level are defined as follows: $Ratio_{0.4/0.8} = albedo \ power_{at \ freq_{25}=0.4}/albedo \ power_{at \ freq_{25}=0.8}$, (1)

25 $Ratio_{0.0/0.8} = albedo \ power_{at \ freq_{25}=0.0}/albedo \ power_{at \ freq_{25}=0.8}$,

where albedo power refers to the total wave power over the scales of 4-12grids or 20-60km. As is argued above, the normalization is carried out to examine the wave morphology beyond the wave power dependence on the background brightness. After applying these factors the three brightness levels will achieve a nearly_fully collapsed power spectra and exhibit the same level of display clarity (see Sect. 5.2). The black line under the linear axes (Fig. 6b) indicates that the high power category possesses both the highest overall power level and the most rapid decay rate toward the smaller scales.

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The error bars in Fig. 6 are the uncertainty ranges over the three brightness levels for the different scales and the exact match occurs at the middle data point (i.e., ~33km of wavelength or 0.03 of spatial frequency). It is noteworthy that both the high and medium albedo power categories have very small error bars suggesting a strong collapse of spectra at the

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three brightness levels. The low albedo power category however has notably larger error bars. This means that when the albedo power reaches very low values the exponent α maintains poorer consistency between the three brightness levels. Measurement noise may play a role in causing this. For waves of small amplitudes and especially with very dim background, the noise will be strong enough to affect the determination of the wave amplitude.

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5.2. Wave display for the different albedo power categories

Before examining the wave displays we first set the rules of presentation. First, a white-blue color scheme with linear Red-Green-Blue (RGB) code system is used to generate the color bars. Second, the mean albedo within the elliptical region, or the background cloud brightness, is subtracted. Third, the maximum and minimum albedo deviation is set to be $\pm 20.0 \times 10^{-6} \text{sr}^{-1}$ for freq₂₅=0.8, and is then reduced by factors $\sqrt{\text{Ratio}_{0.40.8}}$ and $\sqrt{\text{Ratio}_{0.00.8}}$ for freq₂₅=0.4 and freq₂₅=0.0 respectively. As is argued above these factors are expected to unify the display clarity between different brightness levels.

5.2.1. The high albedo power category

Fig. 7 presents the CIPS albedo maps and the albedo power maps within the elliptical region for the high albedo power category (corresponding to the black dots in Fig. 4a). We observe generally wide spread and distinctly clear semiorganized structures at all three brightness levels. The three panels show very similar levels of display clarity because their

- 15 albedo power spectra are almost fully collapsed with the factors $\sqrt{\text{Ratio}_{0.40.8}}$ and $\sqrt{\text{Ratio}_{0.00.8}}$ being applied. The high power category obviously possesses the overall highest power level, and furthermore it also has the most rapid albedo power decay rate (Fig. 6b) toward the smaller scales, i.e., with $A=1.42\times10^4$ and $\alpha=-3.2$ respectively. Both conditions contribute to the fact that these displays are the most distinct among the three categories. In terms of morphology, the wave signatures resembled straight waves or interference of the straight waves but occasionally the straight wave features show curvatures at certain
- 20 portion of the display (in Figs. 7b and 7c). Overall speaking the wave morphology is qualitatively consistent regardless of the brightness levels. However, looking more closely we do perceive a minor difference between the low and high brightness display. That is, at the dimmest level (freq₂₅=0.0) the wave display appears to exhibit stronger linearity than those in the brighter backgrounds. From the previous Fig. 2b we did notice that a dimmer background has supported highly distinct wave structures that resembled linear waves. On the contrary, the displays at freq₂₅=0.4 and freq₂₅=0.8 seem mildly turbulent-like.

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5.2.2. The medium albedo power category

Fig. 8 presents the maps for the medium power category (corresponding to the yellow dots in Fig. 4a). Remember that these cases are the closest to the peak of the normal distribution and therefore is the most representative to the full set of wave detections. The maps shown in Fig. 8 are significantly more blurry than those in Fig. 7 but the wave signatures remain well organized. In the low brightness end (freq₂₅=0.0) there are interfering straight waves approximately oriented

30 perpendicularly to each other. At freq₂₅=0.4 the features resembled one-directional straight wave signatures. In the high brightness end (freq₂₅=0.8) there are knot-like signatures which are apparently deviated from the typical linear wave signatures. Again it is worth mentioning that the three brightness levels are considered having the same level of display clarity due to the collapse of their CWT power spectra.

5.2.3. The low albedo power category

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Wave displays at the low albedo power category (see Fig. 9) provide firm proof that the display clarity of the wave signatures becomes increasingly poorer as the albedo power decreases. All panels show highly blurry features, and yet the orientations of the wave signatures remain recognizable. Note that in this category normalizing the clarity level between different brightness levels has run into larger uncertainty because of the larger error bars (see Fig. 6a). It is noticeable that the wave display for freq₂₅=0 seems the most blurry because under this condition both the background mean albedo and the albedo disturbances are likely strongly affected by the measurement noise. Although display clarity does not hold any absolute physical meaning, we can conclude that the gravity wave signatures of ~20-60km wavelength become increasingly more diffusive as the corresponding albedo power decreases. Note that <u>in the previous Fig. 2b jt shows that even within the same elliptical region (~400km range) the wave display clarity differs drastically. This could be due to the unknown local forcing mechanisms that have exerted different levels of diffusion on the PMC albedo structures. So far we have come to an understanding that semi-organized structures seem extremely wide spread with a hierarchy of different albedo power levels.</u>

This is against the belief that <u>a</u> wave detection procedure <u>should</u> yield unequivocal results.

5.3. Artificially raise the medium toward high albedo power

The three Jines with different colors in the Fig. 6a above have a hierarchy of albedo power levels as well as different slopes characterized by varying A and α . They however appear parallel to each other because the standard deviation of the exponent α (-3.2 to -2.5) only reaches 0.3. We next systematically raise the medium power level to match the high power level by increasing the coefficient A but maintain the exponent α to check on how the display clarity improves. We have argued that parameters A and α both have a control over the display clarity. Carrying out this experiment is to test the role of the coefficient A in determining the display clarity. The previously determined A for the medium power category is $J.45 \times 10^{-1}$

⁴ and in this experiment a new parameter $A_{new}=2.778 \times A$ is used to draw closer the spectra of the medium toward the high power levels. The factor 2.778 is chosen to maximally match the high and medium power spectra over the scales of ~20-60km.

The result of the amplified case is shown in Fig. 10 and we used the case shown in Fig. 8a to conduct this experiment. Fig. 10a shows the albedo map and the power map for the amplified case, and Figs. 10b and 10c are repeats of Figs. 8a and 7a, to make comparisons. It shows that the Fig. 10a exhibits <u>notably</u> improved clarity compared to its previous version, and <u>as a result</u> Figs. 10a and 10c show clarity levels drawn closer but the amplified case is still more blurry. This indicates that the difference between the two exponents (-3.2 versus -2.9) has a fundamental effect on the wave display clarity. At the end we point out that it is not straightforward why exponent α varies between different albedo power levels and why it remains roughly consistent along the sectioning curves used to resample the wave detections. The physical mechanism that governs such variability is worth a further investigation. It is probable, as we have argued above, that noise

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<u>contamination is one cause of the smaller slopes for lower albedo power.</u>5.4. Explore the longer wavelength wave display

Longer wave length (>100km) waves are not as visually detectable as the shorter waves because the CIPS orbital strip is not able to embrace many repeats of ridges and troughs of such waves. Chandran et al. (2010) used a wave detecting

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algorithm to yield a peak population of waves at scales of ~250 km from the cross-orbit traces. Zhao et al. (2015) yields a peak wavelength at ~400km from the along-orbit traces. Different traces may be the cause of the different peak wavelengths. Based on these studies, gravity waves of all scales are likely widespread in the PMCs. The waves at <100km wavelengths are more visually detectable because their full displays are well captured. But these small-scale waves possess a lower variance than the larger scale waves. Using a spectral analysis the larger scales often stand out as the dominant wave events. In addition, wave event counting is not a deterministic procedure. For example, in the current study, the wave detections are forcibly confined within the elliptical regions. Generally speaking we have to cope with a lot of challenge and uncertainty in the wave tracking study.

Fig. 11a presents an example of longer and shorter waves observed together. They are a set of bright and dim cloud 10 bands that suggest wavelengths of ~150-200km and shorter waves with wavelengths of ~20-60km, indicated by the pairs of magenta arrows with solid heads and thin heads respectively. In this case the CIPS orbital strip achieves pretty satisfying capture of the waves because ~150-200km is still a short wavelength relative to the cross-orbit span (~900km) of the CIPS orbital strip. The albedo map reveals that the longer and shorter waves are nested together and the longer waves appear to have large amplitudes. The albedo power map in the lower panel shows that the wave power is primarily distributed in the upper-right and lower-left quadrants, which reflects the orientation of the wave troughs and ridges that are perpendicular to

The albedo power spectra for this particular case are shown in Fig. 11b and it reveals a -3.0 slope over the scale range of ~20-150km. The correspondence of freq₂₅=0.34 and albedo power= $25.0 \times 10^{-12} \text{sr}^{-2}$ makes this case being the closest to the medium albedo power category shown above, and the -3.0 spectral slope is also close to the -2.9. The spectra are not 20 reliable in either the long wave limit (>150km) or the short wave limit (<20km). In the long wave limit CIPS does not capture enough repeats of troughs and ridges and as a result the power spectra in this range often readily change when the CWT is applied to much expanded region (>400km). In the short wave limit the measurement noise will contaminate the PMC signals. It is worth mentioning that the ~20-60km scale range focused in this study is for the shortest waves CIPS can resolve due to the \sim 5km spatial resolution and the signal noise levels (also see Randall et al., 2017). If 1.0×10^{-6} sr⁻¹ is taken 25 as the threshold of the noise level in the CIPS measurements, the wave signatures will be systematically contaminated for the low albedo power category based on approximate amplitudes shown in the Fig. 6b above.

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this.

Both the albedo map and the power spectra in the presumed valid scale range ($\sim 20-150$ km) indicate that longer and shorter waves are nested together with decreasing albedo power. This reminds us of the term "self-similarity" that is used to describe the possible fractal nature of the PMC albedo field with a fractal perimeter dimension of 1.3 (Brinkhoff et al., 2015; von Savigny et al., 2011). Self-similarity generally refers to the condition that the small and large scale structures resemble each other in morphology. We observed a hint of self-similarity in the gravity wave manifestation described by a -2.9±0.3 law of albedo power, but such an analogy is still preliminary and it requires a further investigation to confirm. It is also worth mentioning that the cascading power spectra from large to small scales (3000km-100km) of periodic PMC structures were also reported by Carbary et al. (2000) via analyzing the middle ultraviolet (210-252nm) images from the Mid-course

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Space Experiment (MSX) (Carbary et al., 1994). However in their study no structures of <100km wavelengths were detected and the authors attributed this to the small amplitudes of the shorter wavelength waves that are not able to rise above the noise level.

6. Conclusions

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- A large ensemble of gravity waves resides in the PMCs and we aim to extract the universal law that governs the wave display throughout the full set of wave population. More specifically, we examined how wave morphology and the clarity level of display yary throughout the wave population manifested through the PMC albedo data. Higher clarity refers to more distinct exhibition of the features which often correspond to larger variances and better organized nature. Later we found that an analytic form of the albedo wavelet power spectra, i.e., $A \times (1/wavelength)^{\alpha}$, precisely determines the level of
- 10 display clarity, where the coefficient A and exponent α vary with different sub-groups of wave detections. This form of albedo power spectra is yielded because the gravity wave signatures in the PMCs are mostly quasi-periodic rather than strictly periodic and also because a hierarchy of scale ranges possess monotonically decreasing power density. To be more specific, the wavelet power spectra of guasi-periodic signatures do not necessarily exhibit spectral peaks, especially when spatial average of the spectra has been conducted.
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A gravity wave tracking algorithm is designed and applied to the PMC albedo data taken by the AIM CIPS instrument to obtain the gravity wave detections throughout the two northern summers in 2007 and 2010. The horizontal wavelengths in the range of ~20-60km are the focus of the study because they are the most commonly observed and readily captured in the CIPS orbital strips. An individual detection is carried out along a given radial direction within an elliptical region of 400km along-orbit and 400×0.65km cross-orbit, and the center of the elliptical region is moved around within any

- 20 given CIPS level-2 orbital strip by steps of halved axial lengths in both along- and cross-orbit directions to capture wave signatures at different locations and orientations. The factor 0.65 in the cross-orbit direction is empirically chosen owing to the initial intent to better detect the concentric waves in the PMCs. This factor will not qualitatively affect the overall results of the wave tracking study. For a given location where the elliptical region is placed, a 1-D continuous wavelet transform (CWT) is carried out along all 360° directions with 3° of intervals.
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The histograms of the albedo CWT power indicate that a majority of gravity waves of ~20-60km wavelengths reside in the lower albedo power and lower background brightness regions, and the number density per bin of the albedo power shows an exponential decay toward high power values.

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The cloud population is split between the dimmer and brighter groups using a defined threshold frequency of the brighter clouds to examine the gravity wave manifestation in the dim and bright backgrounds respectively. Within the elliptical region, if the brighter clouds (> $25 \times 10^{-6} \text{sr}^{-1}$) frequency (freq₂₅) exceeds 2% then we will call it a brighter background. The threshold 25×10⁻⁶sr⁻¹ is empirically chosen. The dimmer backgrounds are elliptical regions within which freq₂₅<0.02. In this study we use both freq₂₅ and the mean albedo within the elliptical regions to describe the background PMC brightness.

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5 brightness. A sequence of sectioning curves is used to regroup the wave detections. Via the resampling procedure we aim at extracting the law that controls the wave display beyond the apparent dependence of the albedo power on the background cloud brightness. In each resampling bin all brightness levels are included. It is worth noting that the monotonic relationship between the wave amplitude and the background cloud brightness does not seem to reflect the previously discovered driving mechanism between the gravity waves and the local PMC brightness, such as by Jensen and Thomas (1994) and Chandran et al., (2012). These authors suggested that long or short gravity waves eventually reduce the local cloud brightness level.

Sample cases are selected at the two tails and the peak of the normal distribution and at three brightness levels (freq₂₅=0, 0.4, and 0.8) to represent the full set of wave detections. The selections are made following the resampling sectioning curves on the scatter plot and are categorized as possessing the high, low, and medium albedo power. As is mentioned above, the sampling approach is to examine the wave display beyond the apparent dependence of the albedo power on the background cloud brightness.

The albedo power spectra over scales ~20-60km for the representative cases follow a universal form of $A \times (1/\text{wavelength})^{\alpha}$, where α =-3.2, -2.9, and -2.5 and A=1.49×10⁻⁴, 1.45×10⁻⁴, and 1.42×10⁻⁴ for the high, medium, and low albedo power categories, respectively. The parameters A and α both determine the overall power magnitude and the decay rate toward the smaller scales. The overall larger power and the more rapid decay rate both lead to higher clarity of the wave

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- 20 display because the variance level will be higher and meanwhile the leading scale will be more dominant resulting in better organized nature. Each albedo power category possesses a consistent exponent (i.e., α). As a result, via a simple adjustment on the coefficient A the power spectra between different brightness levels precisely collapse to each other and therefore a consistent level of display clarity will be achieved. The display clarity degrades substantially from high to low albedo power categories, which seems to suggest that there are wide spread and variable diffusive processes at the PMC height. The
- 25 majority of the detected waves are straight waves or the interference of the straight waves regardless of the background brightness levels. Nevertheless, looking into more details we found that the wave signatures in the brighter background seem to exhibit mildly turbulent-like feature, suggesting that the wave patterns are less linear under this condition.

Exploration of longer wavelength gravity waves suggests that longer and shorter wavelength waves are likely nested together with decreasing albedo power. We may speculate that gravity waves of a hierarchy of scales from ~400-

30 500km to ~20-<u>60km</u> are likely equally widespread and possess exponentially decreasing albedo power density as the wavelength shortens.

<u>Future work includes characterizing the coherency of the wave structures so that the algorithm can work effectively</u> to identify specific wave events and wave morphology. After understanding the universal power law that governs the overall Deleted:

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manifestation of the gravity waves in the PMCs, such a step further would be vital to eventually understand the mechanism of the gravity wave upward propagation from the source region to the site of display.

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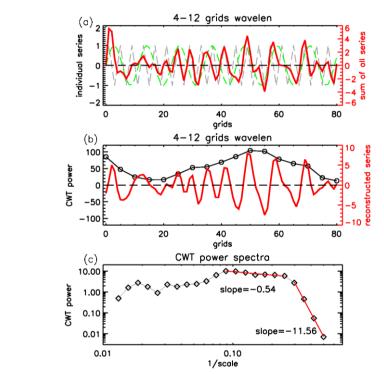


Figure 1: Demonstration of the continuous wavelet transform (CWT) applied to an artificially created series. (a) The created series (red line) consisting of seven sinusoidal components with wavelengths (or scales) of 4.0, 4.7, 5.6, 6.7, 9.5, and 11.3 grid units, and each of them has the same amplitude of 1.0. The gray and green dashed lines are for the scales of 4.0 and 11.3 grids
 respectively serving as demonstration of the individual series. Note that the red curve has used the scale on the right axis which corresponds to a three times larger magnitude. (b) The total CWT power series (black with circles) and the reconstructed series (red line) using the wavelet coefficients and components. (c) The CWT power spectra. The slopes (i.e., red lines) are calculated over the seven scales used to create the series and the smaller scales that are present due to the non-orthogonal basis in the CWT calculation.

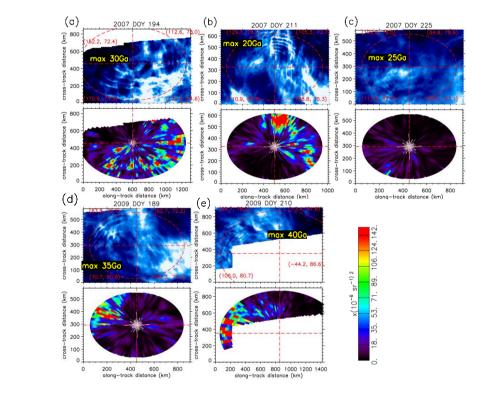


Figure 2: Wave tracking algorithm applied to the concentric waves (a)-(e) in the CIPS orbital strips. Wave tracking is carried out within the elliptical regions. For each pair, the upper panel is the albedo and the lower panel is the albedo CWT wave power field by 3° angular bin \times_5 km radial bin. Blue-white color scheme is used for the albedo maps with the white color representing the maximum albedo values indicated by the yellow legends, with 1 Ga=1.0×10⁻⁶sr⁻¹. The red numbers at the four corners are longitudes and latitudes. The rainbow color-bar for the CWT power is used universally in this paper.

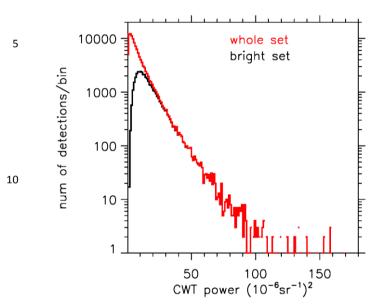


Figure 3: The histograms of the CWT power values obtained throughout the two northern summers in 2007 and 2010. Each CWT power value refers to an average within a given elliptical region. The black curve is for the brighter cloud group that corresponds to freq₂₅>2% within a given elliptical region and the red curve is for the full set of detections. The bin size within which we count the detection number is $1.0 \times 10^{-12} \text{sr}^2$.

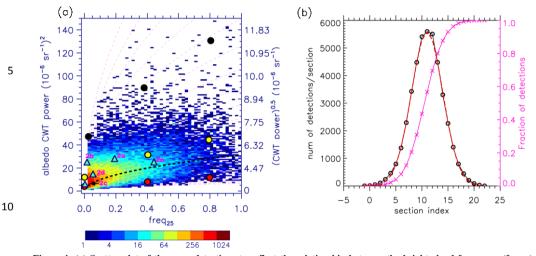


Figure 4: (a) Scatter plot of the wave detections to reflect the relationship between the bright cloud frequency (freq₂₅) and the albedo CWT power. Only detections corresponding to the brighter clouds (freq₂₅>0.02) are included. The rainbow colors are the detection number density within each bin [Δ freq₂₅=0.02 and Δ CWT= 1.0×10⁻¹²sr²]. The dashed thick black lines roughly follow the peaks of the detection number density isopleths, serving to derive an analytic relationship between the cloud brightness and the CWT power. The turquoise triangles correspond to the concentric waves shown in Figure 2. The colored dots are selected roughly at the two tails and the peak of the normal distribution and at three brightness levels of freq₂₅=0.0.4, and 0.8. These nine selections are representative to the full set of wave detections, to further demonstrate the wave exhibition in Figures 7-9. Jusing a sequence of

analytic curves (magenta dashed) to resample the wave detections we obtain a normal distribution as is shown in (b). In (b) the
 black curve symbolled with the circles represent the obtained distribution from the left panel while the red dashed curve is the
 Gaussian fit. The magenta curve symbolled with crosses are the accumulated fraction of the data points.

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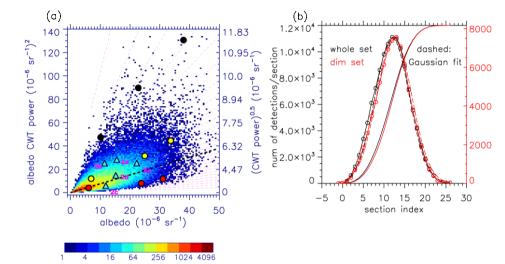
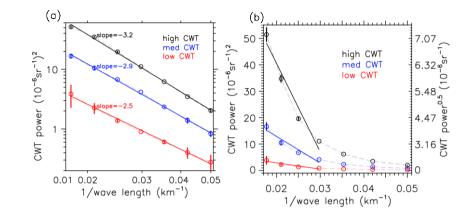


Figure 5: (a) Same as Figure 4 except for using both dimmer and brighter sets of detections and use the mean albedo within the elliptical region as the horizontal axis. The bin of albedo is $0.5 \times 10^{-6} \text{sr}^{-1}$. The turquoise triangles and colored dots are the same as in Figure 4. (b) The normal distributions for both the dimmer subset and the full set of wave detections. The curves without symbols are accumulated fraction of detections with a vertical axial range of 0-1.0 (not shown), which is the same as in Figure 4b.



- 10 Figure 6: Albedo CWT power spectra for the nine detections selected in Figure 4. The black, blue, and red colors correspond to the uppermost row (high albedo power), middle row (medium albedo power), and the lowermost row (low albedo power) of dots in Figure 4a respectively. For each albedo power category the CWT power spectra are normalized to collapse within the error bars as the 1-o standard deviation. The exact match occurs at the medium scale (~33km wavelength or 0.03 spatial frequency) to obtain the mean slope. The thin dashed lines are original curves and the thicker solid lines are linear fitting lines. (a) Exponents of decay
- 15 are -3.2, -2.9, and -2.5 for the three categories respectively. (b) Under linear axes it shows that higher albedo power and larger exponent correspond to more rapid decay of the albedo power toward smaller scales, reflected by the linear fitting lines for the first few dominant scales.

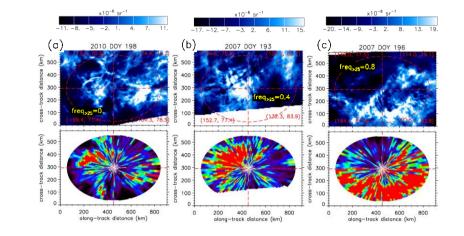




Figure 7: Albedo maps and the albedo power maps corresponding to the high power category at three different brightness levels, each with the mean background albedo subtracted. The blue-white color scheme used linear RGB color code distribution. The albedo power maps used the same rainbow color bar as in Figure 2. Based on the factors used to make the albedo power spectra collapse between the different brightness levels, which are Ratio_{0.4/0.8}=1.44 and Ratio_{0.00.8}=3.13 respectively in this case (see Eqs. (1) and (2) in Sect. 5.1), the corresponding color bar maxima are reduced by factors $\sqrt{1.44}$ =1.2 and $\sqrt{3.13}$ =1.77, to achieve the same level of display clarity.

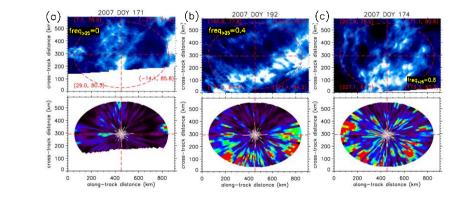


Figure 8: Same as Figure 7 except for corresponding to the medium albedo power category. They are systematically more blurry than those in Figure 7. Same set of color-bars as in Figure 7 are used.

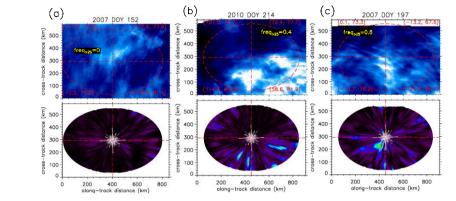


Figure 9: Same as Figure 7 except for corresponding to the low albedo power category. They are further more blurry than what is shown in Figure 8. Same set of color-bars as in Figure 7 are used.

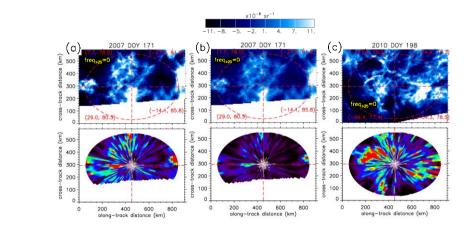


Figure 10: (a) Amplifying the waves shown in Figure 10b (used to be Figure 8a) by a constant factor √2.778 (see text in Sect. 5.3) enhances its display clarity significantly, which is drawn closer toward what Figure 10c (used to be Figure 7a) shows. Remember Figure 7a belongs to the high albedo power category and has the highest level of display clarity.

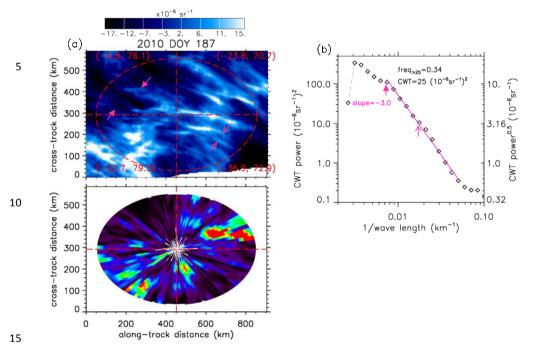


Figure 11: Demonstration of longer and shorter waves nested together. (a) The albedo map and the corresponding albedo power map. The longer waves are roughly at ~150km wavelength (between solid arrows) and the shorter waves are roughly at ~40km wavelengths (between thin arrows). (b) The albedo power spectra with an exponent of -3.0.