

1 **Air Quality and Climate Change, Topic 3 of the Model Inter-Comparison**
2 **Study for Asia Phase III (MICS-Asia III), Part I: overview and model**
3 **evaluation**

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29 **Abstract**

30 Topic 3 of the Model Inter-Comparison Study for Asia (MICS-Asia) Phase III examines how
31 online coupled air quality models perform in simulating high aerosol pollution in the North
32 China Plain region during wintertime haze events and evaluates the importance of aerosol
33 radiative and microphysical feedbacks. A comprehensive overview of the MICS-ASIA III Topic
34 3 study design, including descriptions of participating models and model inputs, the experimental
35 designs, and results of model evaluation, are presented. Six modeling groups from China, Korea
36 and the United States submitted results from seven applications of online coupled chemistry-
37 meteorology models. Results are compared to meteorology and air quality measurements,
38 including data from the Campaign on Atmospheric Aerosol Research Network of China (CARE-
39 China) network and the Acid Deposition Monitoring Network in East Asia (EANET). The
40 correlation coefficients between multi-model ensemble mean and the CARE-China observed
41 near-surface air pollutants range from 0.51 to 0.94 (0.51 for ozone and 0.94 for PM_{2.5}) for
42 January 2010. However, large discrepancies exist between simulated aerosol chemical
43 compositions from different models. The coefficient of variation (standard deviation divided by

44 the mean) can reach above 1.3 for sulfate in Beijing, and above 1.6 for nitrate and organic
45 aerosols in coastal regions, indicating these compositions are less consistent from different
46 models. During clean periods, simulated Aerosol Optical Depths (AOD) from different models
47 are similar, but peak values differ during severe haze events, which can be explained by the
48 differences in simulated inorganic aerosol concentrations and the hygroscopic growth efficiency
49 (affected by varied relative humidity). These differences in composition and AOD suggest that
50 future models can be improved by including new heterogeneous or aqueous pathways for sulfate
51 and nitrate formation under hazy conditions, secondary organic aerosol (SOA) formation
52 chemical mechanism with new volatile organic compounds (VOCs) precursors, yield data and
53 approaches, and more detailed evaluation of the dependence of aerosol optical properties on size
54 distribution and mixing state. It was also found that using the ensemble mean of the models
55 produced the best prediction skill. While this has been shown for other conditions (for example
56 prediction of high ozone events in the US (Mckeen et al., 2004), this is to our knowledge the first
57 time it has been shown for heavy haze events.

58

59 **1 Introduction**

60 Air pollution in Asia, particularly in China and India, has been an increasingly important research
61 topic, and has attracted enormous media coverage since about 60% of the world population live
62 and are exposed to extremely unhealthy air in this region. It is estimated that outdoor air
63 pollution brings about 3.3 million premature deaths per year worldwide with most deaths occur
64 primarily in Asia (Lelieveld et al., 2015). In addition, the impacts of regional and intercontinental
65 transport of Asian pollutants on air quality and climate change have been frequently reported

66 (Akimoto, 2003; Menon et al., 2002, Ramanathan and Carmichael, 2008). Chemical transport
67 models have been developed and applied to study various air pollution issues in Asia. For
68 example, an Eulerian regional scale acid deposition and photochemical oxidant model was
69 developed in the United States (Carmichael and Peters, 1984; Carmichael et al., 1986;
70 Carmichael et al., 1991) and applied to study long-range transport of sulfur oxides (SO_x), dust
71 and ozone production in East Asia (Carmichael et al., 1998; Xiao et al., 1997). A nested urban
72 and regional scale air quality prediction modeling system was developed and applied to
73 investigate ozone pollution in Taiwan (Wang et al., 2001). Although important advances have
74 taken place in air quality modeling, large uncertainties still remain, which are related to
75 inaccurate and/or incomplete emission inventories, poorly represented initial and boundary
76 conditions and missing or poorly parameterized physical and chemical processes (Carmichael et
77 al., 2008a).

78 Furthermore, many models used to study air quality in Asia were developed in other regions
79 (e.g., USA and Europe), and the assumptions and parameterizations included in these models
80 may not be applicable to the Asian environment. In order to develop a common understanding of
81 model performance and uncertainties in Asia, and to further develop the models for Asian
82 applications, a model inter-comparison study was initiated, i.e., Model Inter-Comparison Study
83 for Asia Phase I (MICS-Asia I), in 1998 during a workshop on Transport of Air Pollutants in
84 Asia in Austria. The focus of MICS-Asia Phase I was to study long-range transport and
85 deposition of sulfur within Asia in support of on-going acid deposition studies. Eight long-range
86 transport models from six institutes in Korea, Japan, Denmark, the USA, and Sweden
87 participated in MICS-Asia I. Multi-model results of sulfur dioxide (SO_2) and sulfate
88 concentrations, and wet deposition amounts in January and May 1993 were compared with

89 surface observations in East Asia (Carmichael et al., 2002). Source-receptor relationships and
90 how model structure and parameters affect model performance were also discussed during this
91 phase (Carmichael et al., 2002). In 2003, MICS-Asia Phase II was initiated to include more
92 species, including nitrogen compounds, ozone and aerosols. The study period was expanded to
93 cover two different years and three different seasons, and global inflow to the study domain was
94 also considered (Carmichael et al., 2008b). Nine modeling groups from Korea, Hong Kong,
95 Japan, the USA, Sweden, and France participated in this phase. Seven topics (i.e., ozone and
96 related precursors, aerosols, acid deposition, global inflow of pollutants and precursors to Asia,
97 model sensitivities to aerosol parameterization, analysis of emission fields, and detailed analyses
98 of individual models) were discussed and published in a special issue of Atmospheric
99 Environment (Carmichael et al., 2008b).

100 In 2010, MICS-Asia phase III was launched and three topics for this phase were decided during
101 the first and second Workshop on Atmospheric Modeling in East Asia. Phase III aims to evaluate
102 strengths and weaknesses of current air quality models and provide techniques to reduce
103 uncertainty in Asia (Topic 1), to develop a reliable anthropogenic emission inventory in Asia
104 (Topic 2), and to evaluate aerosol-weather-climate interactions (Topic 3). Various multi-scale
105 models participated in this phase, and the study periods range from year to month depending on
106 study topics. This phase benefits from the Acid Deposition Monitoring Network in East Asia
107 (EANET) measurements, in addition to new observations related to atmospheric chemistry in
108 this region. A detailed overview of the MICS-Asia Phase III, including the descriptions of
109 different research topics and participating models, will be published in a companion paper. An
110 important advance to this phase is the inclusion of multiple online-coupled chemistry-
111 meteorology models to investigate aerosol-weather-climate interactions, which is the target of

112 topic 3. Online coupled models are playing important roles in air quality, meteorology and
113 climate applications, but many important research questions remain (Baklanov et al., 2017).

114 The influences of aerosols on meteorology, e.g., radiation, temperature, boundary layer heights,
115 winds, etc. and $PM_{2.5}$ concentrations have been examined in previous studies using different
116 online coupled models (Forkel et al., 2015; Gao et al., 2016a, 2016b, 2017a, 2017b; Han et al.,
117 2012, 2013; Makar et al., 2015a, 2015b; San Jose et al., 2015; Tao et al., 2015, 2016; Wang et
118 al., 2014; Zhang et al., 2010). In general, there are two ways of online coupling: online integrated
119 coupling (meteorology and chemistry are simulated using the same model grid, and one main
120 time step is used to integrate) and online access coupling (meteorology and chemistry are
121 independent but data are exchanged on a regular basis) (Baklanov et al., 2014). These two
122 different coupling ways can lead to uncertainties in the results of aerosol-weather-climate
123 interactions. Even using the same coupling way, different parameterizations in different online
124 models causes uncertainties as well. Thus, it is important to inter-compare how different online
125 models simulate aerosol-weather-climate interactions, particularly in heavily polluted Asian
126 region. Other ongoing related modeling frameworks include the Task Force on Hemispheric
127 Transport of Air Pollution (TF HTAP) and the Air Quality Model Evaluation International
128 Initiative (AQMEII). The TF HTAP was initiated to improve knowledge of the intercontinental
129 or hemispheric transport and formation of air pollution, and its impacts on climate, ecosystems
130 and human health (Galmarini et al., 2017; Huang et al., 2017). The AQMEII project specifically
131 focuses on regional modeling domains over Europe and North America (Galmarini et al., 2017),
132 within which aerosol meteorology interactions were studied (Forkel et al., 2015; Makar et al.,
133 2015a, 2015b; San Jose et al., 2015) over Europe and North America.

134 This paper overviews the MICS-ASIA III Topic 3, serving as the main repository of the
135 information linked to Topic 3 simulations and comparisons. Specifically, this paper aims to
136 archive the information of the participating models, how the experiments are designed, and the
137 results of model evaluation. The results of the MICS-Asia Topic 3 experiments looking at the
138 direct and indirect effects during heavy haze events will be published in a companion paper, part
139 II. In Section 2, we provide the inter-comparison framework of Topic 3, including the
140 participating models, emissions, boundary conditions, observational data, and analysis
141 methodology. Section 3 presents comparisons and discussions focused on the results related to
142 the meteorological and air pollution conditions during the January 2010 heavy haze episode.

143 **2 Inter-comparison framework**

144 In North China, severe aerosol pollution frequently happens and attracts enormous interests from
145 both the public and the scientific community (Cheng et al., 2016; Gao et al., 2015, 2016a, 2016b,
146 2016c). Two winter months in which severe haze episodes happened in North China were
147 selected as the study periods for Topic 3. During these two months, maximum hourly $PM_{2.5}$
148 concentration in urban Beijing reached $\sim 500 \mu\text{g}/\text{m}^3$ and $1000 \mu\text{g}/\text{m}^3$, respectively. Compared to
149 the China Grade 1 24-h $PM_{2.5}$ standard ($35 \mu\text{g}/\text{m}^3$), daily mean $PM_{2.5}$ concentrations in urban
150 Beijing exceeded this standard on 20 days and 27 days within these two months, respectively.
151 The dramatically high aerosol loadings during these two hazy months substantially affected
152 radiation transfer, and provide a good opportunity to study the aerosol effects on weather, air
153 quality and climate. In this study, the participants were required to use common emissions to
154 simulate air quality during these two months and submit requested model variables. The
155 emissions were placed on a publicly accessible website. Six modeling groups submitted results

156 for Topic 3. In this section, we briefly describe these models and their configurations, introduce
157 the emission inventories (including anthropogenic, biogenic, biomass burning, air and ship, and
158 volcano emissions) and the observational datasets, and present the analysis methodology.

159 **2.1 Participating models**

160 Table 1 summarizes the characteristics of the participating models. These models include: one
161 application of the Weather Research Forecasting model coupled with Chemistry (WRF-Chem,
162 Fast et al., 2006; Grell et al., 2005) by Pusan National University (PNU) (M1), one application of
163 the WRF-Chem model by the University of Iowa (UIOWA) (M2), two applications (two
164 domains: 45km and 15km horizontal resolutions) of the National Aeronautics and Space
165 Administration (NASA) Unified WRF (NU-WRF, Peters-Lidard et al., 2015; Tao et al., 2013)
166 model by the Universities Space Research Association (USRA) and NASA's Goddard Space
167 Flight Center (M3 and M4), one application of the Regional Integrated Environment Modeling
168 System with Chemistry (RIEMS-Chem, Han et al., 2010) by the Institute of Atmospheric Physics
169 (IAP), Chinese Academy of Sciences (M5), one application of the coupled Regional Climate
170 Chemistry Modeling System (RegCCMS, Wang et al., 2010) from Nanjing University (M6), and
171 one application of the coupled WRF-CMAQ (Community Multiscale Air Quality) model by the
172 University of Tennessee at Knoxville (UTK) (M7). These models are all online coupled, which
173 enable aerosol-weather-climate interactions. Domain setting of each model application is shown
174 in Figure 1. The domains of M2, M5, and M6 (UIOWA, IAP, and NJU in Figure 1) cover most
175 areas of East Asia, including China, North Korea, South Korea, Japan, Mongolia, and northern
176 region of Southeast Asia. M1, M3 and M7 domains (PNU, NASA D01 and UTK) include more
177 countries in Southeast and South Asia. M4 (NASA D02) covers east China, Korea and Japan.

178 The descriptions of major model settings are listed below. More descriptions including
179 microphysics, radiation, and boundary layer, are listed in Table 1.

180 (1) Model grids: The horizontal model resolutions of these applications range from 15km to
181 60km (Table 1). Model vertical resolutions vary from 16 to 60 layers (Table 1) and the set model
182 top pressures range from 100mb to 20mb (Table 1).

183 (2) Gas phase chemistry: At PNU (M1), the RACM-ESRL (Regional Atmospheric Chemistry
184 Mechanism, Stockwell et al., 1997, Earth System Research Laboratory, Kim et al., 2009) gas
185 phase chemistry was used. RACM was developed based on the Regional Acid Deposition Model
186 (RADM2) to simulate regional atmospheric chemistry (Stockwell et al., 1990) (including 237
187 reactions) and the rate coefficients were updated in the RACM ESRL version (Kim et al., 2009).
188 At the University of Iowa (M2), CBMZ (Carbon-Bond Mechanism version Z) gas phase
189 chemistry was used. CBMZ (Zaveri and Peters, 1999) extends the original CBM4 mechanism to
190 function properly at larger spatial and longer timescales. The augmented CBMZ scheme includes
191 67 species and 164 reactions. The NU-WRF model (M3 and M4) used RADM2 for gas phase
192 chemistry. Both the RIEMS-Chem model from IAP (M5) and the RegCCMS model from NJU
193 (M6) used CBM4 to calculate gas phase chemistry (Gery et al., 1989). The CBM4 version
194 incorporated in RIEMS-Chem (M5) includes 37 species and 91 reactions. The version of CBM4
195 implemented in RegCCMS (M6) consists of 36 reactions (4 photolysis reactions) and 20 species
196 (Wang et al., 2010). M7 applied the SAPRC99 mechanism to simulate gas phase chemistry. The
197 SAPRC99 mechanism implanted within the CMAQ model has 88 species and 213 chemical
198 reactions (Carter, 2000a,b).

199 (3) Aerosol modules: MADE/SORGAM (Modal Aerosol Dynamics Model for
200 Europe/Secondary Organic Aerosol Model, Ackermann et al., 1998) aerosol module coupled by
201 Schell et al. (2001) was used in M1. MADE uses 3 log-normal modes (Aitken, accumulation,
202 coarse) and simulates major aerosol compositions, including sulfate, ammonium, nitrate, sea-salt,
203 black carbon (BC), and organic carbon (OC). M2 used an 8 bin MOSAIC (Model for Simulating
204 Aerosol Interactions and Chemistry) aerosol module. MOSAIC considers major aerosol species
205 at urban, regional and global scales, including sulfate, nitrate, ammonium, sodium, chloride, BC,
206 and other unspecified inorganic species (such as inert minerals, trace metals, and silica) (Zaveri
207 et al. 2008). The MOSAIC version used in M2 includes some aqueous reactions but no SOA
208 formation. At NASA, the GOCART aerosol model (Chin et al., 2002) was coupled to RADM2
209 gas phase chemistry, and incorporated into the NU-WRF model (M3 and M4) to simulate major
210 tropospheric aerosol species, including sulfate, BC, OC, dust, and sea-salt. In this aerosol model,
211 10% of organic compounds from the volatile organic compounds (VOCs) emission inventory
212 were assumed to be converted to SOA (Chin et al., 2002). Aerosols in RIEMS-Chem include
213 sulfate, nitrate, ammonium, BC, OC, SOA, 5 bins of soil dust, and 5 bins of sea salt (Han et al.,
214 2012). ISORROPIA (Nenes et al., 1998) was coupled to RIEMS-Chem to treat thermodynamic
215 equilibrium process and to simulate inorganic aerosols. SOA production from primary
216 anthropogenic and biogenic VOCs is calculated using a bulk aerosol yield method according to
217 Lack et al. (2004). RegCCMS also used ISORROPIA to calculate inorganic aerosols (Wang et
218 al., 2010). For implementation of aerosol effects, sulfate radiative properties were treated
219 following Kiehl and Briegleb (1993), OC aerosols are assumed to have the same properties as
220 sulfate, and the wavelength-dependent radiative properties of BC follows Jacobson (2001). AE6
221 aerosol (the sixth-generation CMAQ aerosol module, Carlton et al., 2010) mechanism was

222 coupled with WRF. Compared to previous version of CMAQ aerosol modules, AE6 improves
223 SOA treatments, adds a new heterogeneous N_2O_5 hydrolysis parameterization and adds a new
224 gas-to-particle mass transfer for coarse aerosols in sea-salt emissions (Yu et al., 2014). There are
225 seven components, including water soluble mass, water insoluble mass, elemental carbon, sea
226 salt, water, diameters and standard deviations, passed to WRF to directly change radiation
227 calculations.

228 (4) Meteorological boundary and initial conditions: M1, M2, M5 and M7 used the National
229 Centers for Environmental Prediction (NCEP) final analysis (FNL) data to drive the model; M3
230 and M4 used NASA MERRA reanalysis data and M6 used NCEP-NCAR reanalysis 1 dataset
231 (Kalnay et al., 1996).

232 (5) Soil dust: M1, M6 and M7 did not include soil dust calculation. M3 and M4 used GOCART
233 dust module (Ginoux et al., 2001), and M2 used a GOCART version that modified by AFWA
234 (Air Force Weather Agency). M5 used a dust module that described in Han et al. (2004).

235 (6) Mixing state: M6 assumes external mixing, while other models use internal mixing
236 treatments for major aerosol compositions.

237 Many previous studies have underscored that the choice of gas phase mechanism and aerosol
238 models are of great importance for simulating air pollutants (Knote et al., 2015). The different
239 gas phase chemistry and aerosol modules used in the participating models are expected to yield
240 notable differences in performances, which are shown later in section 3.

241 **2.2 Emissions**

242 The accuracy of air quality modeling results highly depends on the quality and reliability of
243 emission inventory. Accordingly, a new Asian emission inventory was developed for MICS-III
244 by integrating state-of-the-art national/regional inventories to support this model inter-
245 comparison study (Li et al., 2017). This is the major theme of MICS-ASIA III Topic 2. These
246 emissions, along with biogenic emissions, biomass burning emissions, emissions from air and
247 ship transport, volcano emissions, and dust emissions were used. This section provides some
248 basic descriptions of these emissions.

249 **2.2.1 Anthropogenic emissions**

250 The state-of-the-art anthropogenic emission inventory for Asia (MIX) was developed by
251 incorporating five inventories, including the REAS inventory for Asia developed at the Japan
252 National Institute for Environmental Studies (NIES), the MEIC inventory for China developed at
253 Tsinghua University, the high resolution ammonia (NH₃) emission inventory in China developed
254 at Peking University, the Indian emission inventory developed at Argonne National Laboratory
255 in the United States, and the CAPSS Korean emission inventory developed at Konkuk University
256 (Li et al., 2017). This MIX inventory includes emissions for ten species, namely SO₂, nitrogen
257 oxides (NO_x), carbon monoxide (CO), non-methane volatile organic compounds (NMVOC),
258 NH₃, PM₁₀, PM_{2.5}, BC, OC, and carbon dioxide (CO₂). NMVOC were provided with CB-05 and
259 SAPRC-99 speciation datasets. Speciation mapping of NMVOC emissions for groups using
260 other gas-phase chemical mechanisms, such as CBMZ, RADM2 and CBM4, used the speciation
261 framework documented in Li et al. (2014). Emissions of these species were prepared for years
262 2008 and 2010 with a monthly temporal resolution and 0.25 degree spatial resolution.
263 Weekly/diurnal profiles were also provided. Five sectors were considered, namely industry,
264 power generation, residential sources, transportation and agriculture. Figure 2 shows the spatial

265 maps of these ten species for January 2010. Emissions of most of these species exhibit similar
266 spatial patterns, with enhanced values in east China and lower values in north and south India.
267 Emissions of NH₃ display a different spatial distribution, with pronounced values in India and
268 lower values in north China (Figure 2). More detailed description of this emission inventory is
269 documented in Li et al. (2017).

270 **2.2.2 Biogenic emissions**

271 Terrestrial ecosystems generate various chemical species, including volatile and semi-volatile
272 compounds, which play important roles in atmospheric chemistry and are the largest contributor
273 to global annual flux of reactive volatile organic compounds (VOCs) (Guenther et al., 2006). For
274 MICS-ASIA III, hourly biogenic emissions were provided for the entire year of 2010 using the
275 Model of Emissions of Gases and Aerosols from Nature (MEGAN) version 2.04 (Guenther et al.,
276 2006). The variables that drive MEGAN include land cover information (plant function type, leaf
277 area index) and weather conditions, which include solar transmission, air temperature, humidity,
278 wind speed, and soil moisture. In the preparation of MEGAN biogenic emissions, land cover
279 information was taken from the NASA MODIS products, and weather conditions were
280 calculated using the WRF simulations. Figure S1 shows biogenic emissions of some selected
281 species (isoprene and HCHO) for January 2010. High biogenic emissions are found in south Asia
282 during winter, including India, south China, and Southeast Asia, where solar radiation, air
283 temperature and vegetation covers are relatively higher than in northern regions. As shown in
284 Table 1, M1 and M5 used prescribed biogenic VOCs emissions, other models except M6 used
285 internal calculation.

286 **2.2.3 Biomass burning emissions**

287 Biomass burning is a strong contributor to air pollutants, and extensive biomass burning in Asia,
288 particularly Southeast Asia, exerts a great influence on air quality (Streets et al., 2003). For
289 MICS-ASIA III, biomass burning emissions were processed by re-gridding the Global Fire
290 Emissions Database version 3 (GFEDv3, Randerson et al., 2015) (0.5 by 0.5 degree). GFED fire
291 emissions are estimated through combining satellite-detected fire activity and vegetation
292 productivity information. Carbon, dry matter, CO₂, CO, CH₄, hydrogen, nitrous oxide, NO_x,
293 NMHC, OC, BC, PM_{2.5}, total particulate matter and SO₂ emissions are estimated with monthly
294 temporal resolution. Figure S2 shows the gridded biomass burning emissions for January 2010.
295 Biomass burning activity was highest in Cambodia and some areas of Myanmar and north of
296 Thailand (Figure S2), and the peak emission season is spring. Although it has been concluded
297 that biomass burning could significantly contribute to aerosol concentrations in China, the
298 contribution is limited for Topic 3 study since the focused region is North China where biomass
299 burning emissions are negligible during winter (Gao et al., 2016a).

300 **2.2.4 Volcanic SO₂ emissions**

301 Volcanoes are important sources of various sulfur and halogen compounds, which play crucial
302 roles in tropospheric and stratospheric chemistry. It is estimated that SO₂ emitted from volcanoes
303 account for about 9% of the total worldwide annual SO₂ flux (Stoiber et al., 1987). The Asia-
304 Pacific region is one of the most geologically unstable regions in the world where many active
305 volcanoes are located. During MICS-ASIA Phase II, the volcano SO₂ emissions had already
306 been provided for chemical transport models (Carmichael et al. 2008b). Volcano SO₂ emissions
307 were provided, with a daily temporal resolution. In January, some volcanoes in Japan are very
308 active, such as Miyakejima (139.53°E, 34.08°N, and 775m above sea level) and Sakurajima
309 (130.65°E, 31.59°N, 1117m above sea level).

310 **2.2.5 Air and Ship emissions**

311 Fuel burning in aircraft and ship engines produces greenhouse gases and air pollutants. The
312 shipping and aircraft emissions used are based on the HTAPv2 emission inventory (0.1 by 0.1
313 degree) for year 2010 (Janssens-Maenhout et al., 2015), provided on an annual basis. Aircraft
314 emissions include three parts: landing and takeoff (LTO), climbing and descent (CDS), and
315 cruise (CRS). Aircraft emission hot spots are mostly located in Japan, and Beijing, Yangtze
316 River Delta (YRD) and Pearl River Delta (PRD) in China (Figure S3). East China Sea, sea
317 around Japan and Singapore exhibit high shipping emissions due to active shipping
318 transportation (Figure S3). It is estimated that international shipping contributed about 10% to
319 the global SO₂ emissions, and together with aviation contribute more than 10% to global NO_x
320 emissions (Janssens-Maenhout et al., 2015).

321 **2.2.6 Dust emissions**

322 In M2, the Air Force Weather Agency (AFWA) version of the GOCART dust model was used. It
323 calculates the saltation flux as a function of friction velocity (u_*) and threshold friction velocity
324 (u_{*t}):

$$325 \quad Q = C \frac{\rho_0}{g} u_*^3 \left(1 + \frac{u_{*t}}{u_*}\right) \left(1 - \frac{u_{*t}^2}{u_*^2}\right) \text{ when } u_* \geq u_{*t}$$

326 where C is a tunable empirical constant, ρ_0 is air density, and g is gravitational acceleration. The
327 bulk vertical dust flux is estimated by $F = \alpha QE$ (Marticorena and Bergametti, 1995), in which
328 α is the sandblasting efficiency and E is the dust erodibility factor. The erodibility factor data is
329 included in the model geography dataset.

330 In M3 and M4, the dust emissions are estimated using the GOCART dust model (Ginoux et al.,
331 2001), which determined by soil texture, moisture and surface wind speed. The drier the soil and
332 the stronger the wind, the higher dust emissions over the regions where the erodibility factor is
333 not zero. In M5, soil dust emissions were estimated by the approach from Han et al. (2004):

$$334 \quad F = C_0 u_*^4 \left(1 - \frac{u_{*t}}{u_*}\right) (1 - f_i R_i) \text{ when } u_* \geq u_{*t}, RH \leq RH_t$$

335 C_0 is a constant (1.4×10^{-15}), R_i is the reduction factor and f_i is the fractional coverage of i type of
336 vegetation in a model grid (considering that vegetation cover can reduce dust emissions). u_* and
337 u_{*t} are the friction and threshold friction velocities. RH and RH_t are the relative humidity and
338 threshold relative humidity near the surface. The total dust emission flux is apportioned to each
339 size bin based on field measurements of vertical dust flux size distribution s in Chinese deserts.

340

341 **2.3 Boundary conditions**

342 To predict more realistic spatial and temporal variations of air pollutants, boundary conditions
343 from global chemical transport models are necessary to drive regional chemical transport models
344 (Carmichael et al., 2008b). Simulations of two global chemical transport models (i.g., GEOS-
345 Chem and MOZART) were used as boundary conditions for MICS-ASIA III. GEOS-Chem was
346 developed in the USA to simulate tropospheric chemistry driven by assimilated meteorology
347 (Bey et al., 2001). The National Center for Atmospheric Research (NCAR) also provides global
348 simulations of atmospheric chemistry (MOZART model) and an interface to convert them to
349 WRF-Chem boundary conditions (Emmons et al., 2010), and NASA provides global aerosol
350 distributions using the global GOCART chemistry model (Chin et al., 2002). GEOS-Chem was

351 run with 2.5°x2° resolution and 47 vertical layers. The MOZART-4 simulations were configured
352 at the horizontal resolution of 2.8°x2.8°, and with 28 vertical levels. NASA GOCART was
353 configured at the same resolution as GEOS-5 meteorology (1.25°x1°). As listed in Table 1, M1
354 used climatological data from the NOAA Aeronomy Lab Regional Oxidant Model (NALROM),
355 while M2 used boundary conditions from the MOZART-4 (provided from the NCAR website).
356 M3 and M4 used MOZART-4 as boundary conditions for gases and used GOCART as boundary
357 conditions for aerosols. M6 also used fixed climatology boundary conditions, and M5 and M7
358 used GEOS-Chem outputs as boundary conditions. The spatial distribution of near surface
359 concentrations of major gases and aerosols from both GEOS-Chem and MOZART are shown in
360 Figure S4. Even if the same global chemistry model is used as boundary conditions, the
361 treatments of inputs might differ in details, which might lead to dissimilarities. In MICS-ASIA
362 II, Holloway et al. (2008) discussed the impacts of uncertainties in global models on regional air
363 quality simulations.

364 **2.4 Observation data**

365 Historically, the lack of reliable air quality measurements in Asia has been an obstacle in
366 understanding air quality and constraining air quality modeling in Asia. Beginning with MICS-
367 ASIA II, observational data from the Acid Deposition Monitoring Network in East Asia
368 (EANET) has been used to evaluate model performance. EANET was launched in 1998 to
369 address acid deposition problems in East Asia, following the model of the Cooperative Program
370 for Monitoring and Evaluation of the Long-range Transmission of Air pollutants in Europe
371 (EMEP). As of 2010, there were 54 wet deposition sites and 46 dry deposition sites in the 13
372 participating countries. Quality assurance and quality control measures were implemented at the
373 national levels and in the Inter-laboratory Comparison Project schemes to guarantee high quality

374 dataset. EANET supported current activities of MICS-ASIA III, and provided measurements in
375 2010 to all modeling groups. More information about the EANET dataset can be found in
376 <http://www.eanet.asia/>.

377 In addition to the EANET data, measurements of air pollutants and aerosol optical depth (AOD)
378 collected at the Campaign on Atmospheric Aerosol Research network of China (CARE-China)
379 (Xin et al., 2015) network were also used. Previous successful networks in Europe and the
380 United States underscored the importance of building comprehensive observational networks of
381 aerosols in China to get better understanding of the physical, chemical and optical properties of
382 atmospheric aerosols across China. As the first comprehensive attempt in China, CARE-China
383 was launched in 2011 by Chinese Academy of Sciences (CAS) (Xin et al., 2015). Before
384 launching this campaign, CAS had already been measuring air pollutants and AOD at some
385 CARE-China sites. Table 2 summaries the locations and characteristics of the CARE-China
386 measurements for January 2010. Air quality measurements include concentrations of PM_{2.5},
387 PM₁₀, SO₂, NO₂, NO, CO, O₃.

388 In addition, AOD from the Aerosol Robotic Network (AERONET)
389 (<https://aeronet.gsfc.nasa.gov/>) and the operational meteorological measurements (near surface
390 temperature, humidity, wind speed and downward shortwave radiation) in China and
391 atmospheric sounding data in Beijing were used. AERONET provides long-term, continuous,
392 readily accessible and globally distributed database of spectral AOD, inversion products and
393 precipitable water. AOD data are calculated for three quality levels: Level 1.0 (unscreened),
394 Level 1.5 (cloud screened), and Level 2.0 (cloud screened and quality assured) (Holben et al.,
395 1998). The locations and characteristics of the AERONET measurements are also summarized in
396 Table 2. In-situ measurements of meteorological data from standard stations in China are

397 operated by China Meteorological Administration (CMA) and different levels of data, including
398 daily, monthly, and annually, are open to the public (<http://data.cma.cn/en>). The locations of all
399 used observational sites are marked in Figure S5, Figure S6 and Figure S7.

400 The meteorology measurements (locations are shown in Figure S5) were averaged and compared
401 with model results in pairs. The radiation measurements were averaged and compared against
402 model results in North China and South China (locations are shown in Figure S6), separately.

403 The CARE-China, AERONET and EANET measurements (locations are shown in Figure S6 and
404 S7) were compared against model results site by site, and model ensemble mean values were
405 calculated by averaging all model results.

406 **2.5 Analysis methodology**

407 All groups participating in Topic 3 were requested to simulate meteorology, air quality, radiative
408 forcing and effects of aerosols over the Beijing-Tianjin-Hebei region of east China during two
409 periods: January 2010 and January 2013. Each group was requested to submit the following
410 fields from their simulations.

411 (1) hourly mean meteorology:

412 (a) air temperature and water vapor mixing ratio at 2 meters above ground (T2, Q2), wind speed
413 at 10 meters above ground (WS10), and shortwave radiation flux (Wm^{-2}) at the surface;

414 (b) above variables (except shortwave radiation flux) at 1km and 3km above ground.

415 (2) hourly mean concentrations:

416 (a) SO_2 , NO_x , CO, O_3 , $\text{PM}_{2.5}$, PM_{10} and sulfate, nitrate, ammonium, BC, OC and dust in $\text{PM}_{2.5}$;

417 (b) above variables at 1km and 3km above ground.

418 (3) hourly mean aerosol optical depth (AOD), aerosol direct radiative forcings at the surface, top
419 of the atmosphere (TOA) and inside the atmosphere (single scattering albedo is an option for
420 participants).

421 (4) hourly mean integrated liquid water, cloud optical depth.

422 (5) Changes in T2, Q2, WS10 and PM_{2.5} concentrations at the surface due to both direct and
423 indirect aerosol's effects.

424 We calculated multiple model evaluation metrics, including correlation coefficient (r), root mean
425 square error (RMSE), mean bias error (MBE), normalized mean bias (NMB), mean fractional
426 bias (MFB) and mean fractional error (MFE). The equations for these metrics are presented in
427 supplemental information.

428

429

430 **3 Results and discussions**

431 Winter haze events frequently happen in east China, which is partially due to the stagnant
432 weather conditions in winter. Here we present general descriptions of the meteorological
433 conditions during January 2010 using the NCEP/NCAR reanalysis products. Figure S8 displays
434 the monthly mean T2 (temperature at 2 meters), WS10 (wind speeds at 10 meters) and total
435 precipitation. Near surface wind speeds were very weak in eastern and central China regions, and
436 there was no significant precipitation in North China (Figure S8). During winters, northern China

437 burns coal for heating, generating more emissions of air pollutants. Under stagnant weather
438 conditions, haze episodes are easily triggered. High concentrations of aerosols during this month
439 provide great opportunity to study aerosol-radiation-weather interactions.

440 In this section, we present some major features of model performances in meteorological and
441 chemical variables for the January 2010 period. Detailed analyses of aerosol feedbacks and
442 radiative forcing are presented in MICS-ASIA III companion papers. Heavy haze occurred over
443 broad regions of East China in January 2010. The plots of observed meteorological variables and
444 $PM_{2.5}$ in Beijing show the general situation (Figure 3). Elevated $PM_{2.5}$ occurred during three
445 periods separated in time by roughly one week (January 8, 16 and 26). The major event occurred
446 during January 15-21. The events occurred during periods of low wind speeds, and increasing
447 temperature and relative humidity. The high $PM_{2.5}$ concentrations during January 15-21 also
448 greatly reduce the downward shortwave radiation. Below we evaluate how well the models
449 predict these features.

450 **3.1 Evaluation of meteorological variables**

451 Air quality is affected by not only emissions, but also meteorological conditions. Meteorology
452 affects air quality through altering emissions, chemical reactions, transport, turbulent mixing,
453 and deposition processes (Gao et al., 2016c). Thus, it is important to assess how well these
454 participating models reproduced meteorological variables. The predicted temperature at 2 meters
455 (T2), water vapor mixing ratio at 2 meters (Q2), wind speed at 10 meters (WS10) and daily
456 maximum downward shortwave radiation (SWDOWN) were evaluated against near surface
457 observations at the CMA sites.

458 Figure 4 (a-c) shows the comparisons between simulated and observed daily mean T2, Q2 and
459 WS10 averaged over stations in East China (locations are shown in Figure S5) during January
460 2010, along with multi-model ensemble mean and observational standard deviation. The
461 calculated correlation coefficients between models and observations are also shown in Figure 4
462 and other calculated model evaluation metrics are summarized in Table 3. In general, the
463 simulated magnitudes and temporal variations of T2 and Q2 show high order of consistencies
464 with observations, with correlation coefficients ranging from 0.88 to 1. For T2, models tend to
465 have a cool bias; M1 and M2 have the lowest RMSE (0.64 and 0.68), lowest MBE (-0.19 and -
466 0.60) and lowest NMB (-0.07% and -0.22%) values (Table 3). For Q2, most models tend to
467 slightly overestimate; M1 and M2 have the best performance, with the lowest RMSE (0.14 and
468 0.10), lowest MBE (0.02 and -0.01), and lowest NMB (0.84% and -0.55%) values (Table 3).

469 Simulated wind speeds exhibit larger diversity of results. All models tend to overestimate WS10,
470 with MBE ranging from 0.15m/s to 2.37m/s. Overestimating wind speeds under low wind
471 conditions is a common problem of current weather forecasting models, and many factors,
472 including errors in terrain data and reanalysis data, relatively low horizontal and vertical model
473 resolutions, as well as poorly parameterized urban surface effect, contribute to these
474 overestimations. From the calculated RMSE, MBE, and NMB listed in Table 3, M2, M5 and M7
475 show better skills in capturing WS10. In addition, the multi-model ensemble mean show the
476 lowest RMSE for Q2, and also better skills than most models for T2 and WS10. The correlation
477 coefficients between multi-model ensemble mean and observations are 0.99, 0.99 and 0.98 for
478 T2, Q2 and WS10, respectively.

479 The accuracy of radiation predictions is of great significance in evaluating aerosol-radiation-
480 weather interactions. We evaluated simulated daily maximum SWDOWN averaged over sites in

481 northern China and southern China separately in January 2010 against observations. The
482 locations of the radiation sites are shown in Figure S6. As shown in Figure 4 (d), over stations in
483 northern China, all models except M6 and M7 reproduce daily maximum SWDOWN well, with
484 correlation coefficients ranging from 0.72 to 0.94. The poor performance of M6 in North China
485 is caused by largely overpredicted liquid water path (LWP) over North China (Figure S9). The
486 slightly higher daily maximum SWDOWN from M7 than other models is due to the deactivation
487 of aerosol-radiation interactions in the presented M7 simulation.

488 SWDOWN decreases under conditions of high PM, as shown for example on January 9 and 15-
489 21. This is one of the important reasons for coupled air quality and meteorology modeling. It is
490 worth noting that most models predict higher daily maximum SWDOWN compared to
491 observations when severe haze happened in the North China Plain (16-19 January 2010),
492 indicating aerosol effects on radiation might be underestimated. Besides, clouds are also
493 important to alter radiation. To exclude clouds' impacts on the radiation shown here, we
494 calculated the radiation reduction ratio due to clouds using radiation prediction for clear sky and
495 for cloudy conditions from M2 (shown in Figure S10). During the severe haze period (16-19
496 January 2010), the averaged reduction fraction is 5.9% in north China and 4.2% in south China.
497 Thus, the relatively lower radiation during this period (Figure 4(d)) is mainly caused by aerosols,
498 but the lowest radiation on 20 January was caused by clouds (Figure 4(d) and Figure S10). Over
499 southern China sites (Figure 4e), M6 and M7 show a better consistence with observations than
500 over northern China sites. According to the calculated RMSE listed in Table 3, M3 and multi-
501 model ensemble mean exhibit relatively better performance in capturing the observed time series
502 of daily maximum SWDOWN in both northern China and southern China.

503 The above comparisons show that T2 and Q2 were reproduced well by the participating models,
504 but wind speeds were overestimated by all models. Emery et al. (2001) proposed that excellent
505 model performance would be classified as wind speed RMSE smaller than 2 m/s, and wind speed
506 bias smaller than 0.5 m/s. Based on the calculated RMSE and MBE of WS10 shown in Table 3,
507 RMSE values from all models match the proposed RMSE threshold but MBE values are higher
508 than 0.5 m/s. The vertical distributions of temperature, water vapor mixing ratio and wind speeds
509 were also validated against atmospheric sounding data in Beijing at 1km and 3km (Figure S11,
510 averaged at 00:00 and 12:00 UTC) (<http://weather.uwyo.edu/upperair/sounding.html>). The
511 magnitudes of temperature, water vapor mixing ratio and wind speeds from different models are
512 generally consistent with each other at 1km and 3km, but variations are larger near the surface.

513 **3.2 Evaluation of air pollutants**

514 Figure 5 displays the daily averaged predicted and observed SO₂, NO_x, CO, O₃, PM_{2.5}, and PM₁₀
515 concentrations at the Beijing station, along with the observational standard deviation (locations
516 are shown in Figure S7). Comparisons for the Tianjin, Shijiazhuang and Xianghe sites are shown
517 in Figure S12-S14. M6 only provided SO₂, NO_x concentrations, so it is not shown in the plots of
518 CO, O₃, PM_{2.5}, and PM₁₀. The observed and predicted primary gaseous pollutants, PM_{2.5} and
519 PM₁₀ show the same monthly variations with elevated values at roughly weekly intervals, with
520 the largest event occurring during January 15-21. For example, as shown in the comparisons of
521 SO₂ concentration, the temporal variations are reproduced well by all the models, but peak
522 values are overestimated or underestimated by some models. Based on the calculated MBE
523 values shown in Table 4, all models except M2 tend to underestimate SO₂ in the CARE-China
524 sites. M1 shows the highest correlation (0.90) with SO₂ observations in the Beijing site, and most
525 other models show similar good correlations. The multi-model ensemble mean shows a better

526 agreement with observations with a higher correlation of 0.92, and it falls within the range
527 shown with standard deviation error bar. In general, the predictions for NO_x capture the main
528 features in the observations, with slightly less skills than for the SO₂ prediction. The calculated
529 correlation coefficients for NO_x from different models are close to each other, ranging from 0.63
530 to 0.88. M2 and M5 predict higher NO_x concentrations than observations and other models
531 (MBE in Table 4). All models overestimate NO_x concentration in Shijiazhuang (Figure S14),
532 suggesting NO_x emissions in Shijiazhuang might be overestimated in the MIX emission
533 inventory. All models produce similar CO predictions.

534 PM_{2.5} concentrations are well modelled, with high correlation coefficients ranging from 0.87 to
535 0.90 in Beijing, from 0.83 to 0.93 in Tianjin, and from 0.74 to 0.91 in Xianghe. The correlation
536 coefficient of the multi-model ensemble mean for PM_{2.5} reaches 0.94 (Table 4), better than any
537 individual model. The performances of all participating models in reproducing PM₁₀ variations
538 are not as good as reproducing PM_{2.5}. M1 and M2 overestimate PM₁₀ concentrations, and other
539 models underestimate PM₁₀ concentrations (MBE in Table 4). These biases are probably related
540 to different treatments of primary aerosols and anthropogenic dust in the models. In winter of the
541 North China Plain, soil dust generally contributes about 10% to PM_{2.5} concentrations (He et al.,
542 2014), but there is also primary PM from anthropogenic activity, such as power plant, traffic, and
543 construction etc. The primary particles are mostly in coarse mode, which might contribute to
544 PM₁₀ concentrations, but are highly uncertain compared with other anthropogenic emission
545 sectors.

546 The models showed the poorest skills in predicting ozone. All models exhibit different
547 performances in simulating ozone concentrations, and the correlation coefficients between
548 models and observations can reach negative values (Figure S12). M3 and M4 tend to

549 overestimate ozone concentrations, M2 slightly overestimates it, and M1, M5, and M7 slightly
550 underestimate it (MBE in Table 4). According to the calculated RMSE in Table 4, M1 and M7
551 show relatively better performance in modeling ozone variations. Although WRF-Chem and NU-
552 WRF models were applied at three institutions, different gas phase chemistry schemes were
553 used, which leads to these diversities among predicted ozone concentrations. The impacts of gas
554 phase chemical mechanisms on ozone simulations have been investigated in Knote et al. (2015).
555 The overestimations of ozone concentrations from M3 and M4 primarily occur during nighttime,
556 implying the underestimated titration of ozone by NO_x. Forkel et al. (2015) reported that the
557 RADM2 solver in WRF-Chem has the problem of underestimating ozone titration in areas with
558 high NO emissions, which is the version that applied in M3 and M4.

559 Figure 6 shows the comparisons between modeled and observed ground level daily averaged
560 concentrations of SO₂, NO_x, O₃ and PM₁₀ during January 2010 at the Rishiri site in Japan from
561 EANET. The locations of EANET sites are marked in Figure S7. Comparisons at other EANET
562 sites are shown in Figure S15-S18. The models are able to predict the major features in the
563 observations. For example, low values of most pollutants are observed (and predicted) during the
564 first half of the month, followed by elevated values, which peak on January 21. For SO₂, most
565 models show similar capability in producing the temporal variations in observations with slight
566 underestimation (MBE in Table 5). According to the calculated RMSE averaged over all the
567 EANET sites, M2 and the multi-model ensemble mean performed the best. For NO_x, the multi-
568 model ensemble mean shows lower RMSE than any individual model (Table 5). Similar to the
569 comparisons over CARE-China sites, large discrepancies exist in ozone predictions, but the
570 model ensemble mean still shows the lowest RMSE for ozone predictions. PM₁₀ concentrations
571 are largely underestimated by M1 (largest negative MBE: -21.03ug/m³) and overestimated by

572 M5 (highest positive MBE: $3.77\mu\text{g}/\text{m}^3$) (Table 5), which could be related to the differences in
573 sea-salt treatments. Spatial distributions of the monthly near surface concentrations of SO_2 , NO_x ,
574 O_3 and CO for January 2010 from all participating models are shown in Figure S19. The aerosol
575 spatial distributions are discussed in the following section.

576

577 **3.3 $\text{PM}_{2.5}$ and $\text{PM}_{2.5}$ chemical composition distribution**

578 Due to different implementations of chemical reactions in the models, predicted $\text{PM}_{2.5}$ chemical
579 compositions from participating models differ largely. Figure 7 and Figure 8 show the predicted
580 monthly mean concentrations of sulfate, nitrate, ammonium, BC and OC in $\text{PM}_{2.5}$ from all the
581 participating models for January 2010.

582 M1, M2, M3, M4 and M7 all predict quite low sulfate concentrations in east China, but with
583 considerably enhanced sulfate in southwestern China and western India. M5 and M6 show similar
584 spatial patterns of sulfate except that M6 produces higher concentrations. The chemical production
585 of sulfate is mainly from gas-phase oxidation of SO_2 by OH radicals and aqueous-phase pathways
586 in cloud water. In cloud water, dissolved SO_2 can be oxidized by O_3 , H_2O_2 , Fe(III), Mn(II), and
587 NO_2 (Seinfeld and Pandis, 2016). Most chemical transport models have included the above gas
588 phase oxidation of SO_2 by OH, and oxidation of dissolved SO_2 by O_3 and H_2O_2 in aqueous phase.
589 Under hazy conditions, radiation is largely reduced due to aerosol dimming effects, and sulfate
590 formation from gas phase and aqueous phase oxidation processes are slowed down, which tend to
591 reduce sulfate concentration. However, field observations exhibit an increase in sulfate
592 concentration during haze episode (Zheng et al., 2015). Cheng et al. (2016) proposed that the
593 reactive nitrogen chemistry in aerosol water could contribute significantly to the sulfate increase

594 due to enhanced sulfate production rates of NO_2 reaction pathway under high aerosol pH and
595 elevated NO_2 concentrations in the North China Plain (NCP). Wang et al. (2016) also pointed out
596 the aqueous oxidation of SO_2 by NO_2 is key to efficient sulfate formation on fine aerosols with
597 high relative humidity and NH_3 neutralization, or under cloudy conditions. Besides, Zheng et al.
598 (2015) suggested that heterogeneous chemistry on primary aerosols could play an important role
599 in sulfate production and lead to increasing sulfate simulation during haze episodes. X. Huang et
600 al. (2014) found including natural and anthropogenic mineral aerosols can enhance sulfate
601 production through aqueous-phase oxidation of dissolved SO_2 by O_3 , NO_2 , H_2O_2 and transition
602 metal. Gao et al. (2016b), Wang et al. (2014), and Zhang et al. (2015) also emphasized the
603 importance of multiphase oxidation in winter sulfate production. However, these processes are
604 currently not incorporated in the participating models for this study, which might be responsible
605 for the apparent under-predictions of sulfate concentrations (Figure 9). M5 incorporated
606 heterogeneous chemical reactions on aerosol surface (Li and Han, 2010), which enhances total
607 sulfate production.

608 M1 and M5 predict relatively small nitrate and ammonium concentrations; while M2, M6 and
609 M7 produce similar magnitudes and spatial patterns of nitrate. Nitrate formation involves both
610 daytime and nighttime chemistry. During daytime, NO_2 can be oxidized by OH to form nitric
611 acid (HNO_3), and by ozone to form NO_3 . HNO_3 is easily removed by dry or wet deposition, but
612 NO_3 is easily photolyzed back to NO_2 . During nighttime, NO_3 is the major oxidant, which oxidizes
613 NO_2 to form dinitrogen pentoxide (N_2O_5). Homogenous reaction of N_2O_5 with water vapor is
614 possible but very slow while heterogeneous uptake of N_2O_5 onto aerosol particles has been
615 identified as a major sink of N_2O_5 and an important contributor to particulate nitrate (Kim et al.,
616 2014). The MOSAIC aerosol module (Zaveri et al., 2008) coupled with CBMZ gas phase

617 chemistry in WRF-Chem already includes heterogeneous uptake of N_2O_5 since version v3.5.1
618 (Archer-Nicholls et al., 2014), which is the version used by M2, leading to the high production of
619 nitrate. An et al. (2013) incorporated photoexcited nitrogen dioxide molecules, heterogeneous
620 reactions on aerosol surfaces, and direct nitrous acid (HONO) emissions into the WRF-Chem
621 model and found these additional HONO sources could improve simulations of HONO and
622 nitrate in north China. M7 also predicts high nitrate concentrations (N_2O_5 and NO_2 gases react
623 with liquid water, Zheng et al., 2015), and the predicted lower nitrate concentrations from other
624 models are probably due to missing aqueous phase and heterogeneous chemistry, or the
625 implementations of different gas phase oxidation in these models. Many studies have been
626 conducted regarding sulfate formation issues. Nitrate also account for a large mass fraction in
627 $\text{PM}_{2.5}$ during winter haze events in north China, yet less attention was attracted to fully
628 understand its formation. It is worth furtherly digging into the details about how different
629 processes contribute to high nitrate concentrations in future studies. M3 and M4 do not include
630 the explicit nitrate and ammonium treatment but ammonium is implicitly considered in total
631 $\text{PM}_{2.5}$ mass estimate.

632 The predicted ammonium concentrations are associated with the amounts of sulfate and nitrate,
633 as shown by its similar spatial distribution to sulfate and nitrate. NH_3 neutralizes H_2SO_4 and
634 HNO_3 to form aerosol, so its amount can affect the formation of sulfate, nitrate and ammonium.
635 Since the same emission inventory was used, the amount of ammonia available for neutralizing
636 will not vary greatly among these models. Thus, the rates of H_2SO_4 and HNO_3 production
637 determines the amounts of ammonium. For example, the produced ammonium concentrations are
638 small in M1, similar to its predicted sulfate and nitrate concentrations. High ammonium
639 concentrations are predicted from M6, due to high productions of nitrate and sulfate (Figure 7).

640 The spatial distributions and magnitudes of predicted BC from all participating models are
641 similar to each other as BC is a primary pollutant and not impacted by chemical reactions. The
642 concentrations of BC in the atmosphere are mainly influenced by PBL mixing and diffusion,
643 aging, deposition (dry deposition and wet scavenging) and advection processes. Predicted BC
644 concentrations from M2 and M7 are higher than those from other models, which might be caused
645 by the treatment of aging and deposition (dry deposition and wet scavenging) processes. For
646 example, in the GOCART aerosol model (M3 and M4), 80% of BC are assumed to be
647 hydrophobic and then undergo aging to become hydrophilic in an e-folding time of 1.2 days.
648 Hydrophilic aerosols will go through wet deposition. But in other models like M2 and M7, BC is
649 assumed to be hydrophobic and thus less wet removal.

650 The disparity among predicted OC concentrations is mainly associated with the different
651 treatments of SOA production, given the POC prediction is generally consistent among models
652 using the same emission inventory. The predicted OC concentrations from M1, M2, and M7 are
653 close to each other. M1 uses SORGAM (Secondary Organic Aerosol Model) to simulate SOA, but
654 M2 and M6 did not include any SOA formation mechanism. The similar magnitudes of OC from
655 M1 suggest that SORGAM in M1 does not produce appreciable amounts of SOA, which is
656 consistent with the findings in Gao et al. (2016a). Although SOA formation was implemented in
657 M5, the production is relatively weak compared to M3 and M4. In the atmosphere, SOA is mainly
658 formed from the condensation of semi-volatile VOCs, which are the products of the oxidation of
659 primary VOCs. An empirical 2-product model (Odum et al., 1996) is often used to simulate SOA
660 formation, but this method was reported to significantly underestimate measured SOA mass
661 concentrations (Heald et al., 2008). Later, the volatility basis-set (VBS) approach (Donahue et al.,
662 2006) was developed to represent the wide range of volatility of organic compounds and complex

663 processes. It was found that the VBS approach was able to increase SOA production, and able to
664 reduce observation-simulation biases in many regions with high emissions (Tsimpidi et al., 2010)
665 including east China (Han et al., 2016). It was also suggested that primary organic aerosols (POA)
666 are semi-volatile and can evaporate to become SOA precursors (Kanakidou et al., 2005). In M5,
667 the SOA production is calculated using a bulk yield method (Lack et al., 2004), which uses yields
668 that represent the maximum amount of SOA able to be produced from a unit of reacted VOCs.
669 However, the SOA concentration is highly dependent on the yields data. During haze episodes,
670 photochemistry is reduced due to the aerosol dimming effect, thus aqueous reaction processes on
671 aerosol water and cloud/fog water could become much more important in producing SOA. R.
672 Huang et al. (2014) also suggested that low temperature does not significantly reduce SOA
673 formation rates of biomass burning emissions.

674 However, most models over-simplified SOA formation. In M3 and M4, SOA was treated by
675 assuming that 10% of VOCs from terrestrial source are converted to OC (Chin et al., 2002), and
676 these models produced high OC concentrations, with a major contribution from SOA. The 10%
677 yield rate could be unrealistically high during hazy days because solar radiation was much reduced.
678 Zhao et al. (2015) comprehensively assessed the effect of organic aerosol aging and intermediate-
679 volatile emissions on OA formation and confirmed their significant roles. All these results suggest
680 more complicated SOA scheme are needed to improve organic aerosol simulations during haze
681 events.

682 The different predictions of PM_{2.5} chemical components lead to differences in PM_{2.5} and PM₁₀
683 concentrations for January 2010, which are shown in the last row of Figure 8. Although spatial
684 distributions of PM_{2.5} from these models are similar, the underlying causes are different. M2, M3
685 and M5 simulated higher PM_{2.5} levels in deserts of west China, which are contributed by wind-

686 blown dust. M1 and M7 failed to produce high $PM_{2.5}$ concentrations in the deserts of west China,
687 due to omission of dust emissions. The spatial distributions of predicted wind-blown dust from
688 M5 are slightly different from M2 and M3, with lower concentrations over the Gobi desert (in
689 west Inner Mongolia) (PM_{10} in Figure 8). M2 and M3 used similar GOCART dust emission
690 schemes based on wind speeds and erodible areas, while M5 furtherly considered the dust
691 reduction by vegetation cover, which could partially explain the relatively lower wind-blown dust
692 predictions from M5. The enhanced $PM_{2.5}$ concentrations in Central China from M2 and M7 are
693 caused by large nitrate production, as shown in Figure 7.

694 The differences in the predictions of aerosols composition discussed above can be seen clearly in
695 the comparisons at the Beijing site during the 13-23 January period when a haze event occurred
696 in the NCP (Figure 9). Most models failed to produce the observed high sulfate concentrations.
697 Only the sulfate predictions from M5 are close to the observed high values. M2 and M7 predict
698 reasonable nitrate concentrations. M3 and M4 overpredict OC during the haze period, but other
699 models underpredict OC concentrations.

700 Figure 10 and 11 show the ensemble mean monthly averaged near-surface $PM_{2.5}$, $PM_{2.5}$
701 composition, along with the spatial distribution of the coefficient of variation. The coefficient of
702 variation (CV) is defined as the standard deviation divided by the average (Carmichael et al.,
703 2008b), and larger values indicate lower consistency among models. The mean concentrations of
704 $PM_{2.5}$ and the mean concentrations of $PM_{2.5}$ chemical compositions are high in Sichuan Basin and
705 east China. High CV values are shown in North China for sulfate, and in most areas for nitrate and
706 OC. The diversity in predictions of these species is caused by complexity of secondary formation
707 and different model treatments, which have been discussed above. Higher consistency is shown
708 for model BC with CV values less than 0.3 in most areas (Figure 10(h)). The CV values for $PM_{2.5}$

709 are also low in the North China region, which is consistent with the good performance of PM_{2.5}
710 predictions shown in above comparisons. However, the CV values can reach above 1.6 in
711 northwestern China regions, partially due to discrepancies in dust predictions.

712 **3.4 Evaluation of AOD**

713 We used the AOD measurements from the AERONET and CARE-China networks to evaluate
714 how participating models perform in simulating AOD. The submitted AOD data from all models
715 except M6 were at 550nm, and AOD predictions from M6 were at 495nm. We used the
716 Angstrom exponent relation (Schuster et al., 2006) to convert AOD at 495nm to 550nm, and all
717 the used AERONET and CARE-China AOD data to 550nm. The locations of the AERONET
718 and CARE-China AOD measurement sites are marked in Figure S6. Daytime mean AOD are
719 calculated in pairwise manner and the comparisons and performance statistics are shown in
720 Figure 12, 13, and Table 6. On some days, data are missing because AOD cannot be retrieved
721 under serious pollution and cloudy conditions (Gao et al., 2016a). On days with data, the
722 variations of AOD are captured well by all models. However, large disparities exist among
723 models in the simulated peak AOD values (factor of 2) during the severe haze episode of 15-20
724 January 2010 (Figure 12 and Figure 13). M2 consistently simulated the highest AOD values
725 among models, followed by M5 and M7, while M6 simulated the lowest.

726 In M1, M5, and M7, particle size distribution is described by a lognormal function with a geometric
727 mean radius and a geometric standard deviation based on the OPAC (Optical properties of aerosols
728 and clouds) database (Hess et al. 1998). In M3 and M4, sulfate, BC and OC are parameterized in
729 bulk mode, and a sectional scheme is used for sea-salt and dust aerosols. M2 uses an 8 bins
730 sectional aerosol scheme with size sections ranging from 39nm to 10 μ m. The refractive index of

731 different aerosol components in the models are mainly taken from the d'Almeida et al. (1991)
732 literature or the OPAC database. All models except M6 use a kappa (κ) parameterization to
733 describe aerosol hygroscopic growth (Petters and Kreidenweis, 2007), in which the hygroscopicity
734 κ values largely vary among different aerosol chemical components. For example, $\kappa=0$ for black
735 carbon, and $\kappa>0.6$ for inorganic aerosols. M6 uses a different hygroscopic growth scheme
736 following Kiehl and Briegleb (1993). WRF-Chem models assume internally mixing among
737 aerosols within each mode (or size bin) and externally mixing between modes (or size bins), M5
738 assumes that inorganic and carbonaceous aerosols are internally mixed but externally mixed with
739 soil dust and sea-salt. M6 uses an external mixture assumption among aerosols except for
740 hydrophilic BC, which is internally mixed with other aerosols in a core-shell way.

741 As shown in Figure 9, the observed total inorganic aerosol concentration in Beijing on 19 January
742 2010 was about $130\mu\text{g}/\text{m}^3$ with sulfate concentrations higher than $50\mu\text{g}/\text{m}^3$ and nitrate
743 concentrations over $60\mu\text{g}/\text{m}^3$. However, all models except M5 largely underestimated sulfate
744 concentrations. Most models except M2 underpredicted nitrate concentrations. . The predicted
745 concentrations of inorganic aerosols (the sum of sulfate, nitrate and ammonium) from M2
746 ($175\mu\text{g}/\text{m}^3$) is higher than observations and other models (Figure 9), which can partially explain
747 the largest simulated AOD by M2. The largest simulated AOD by M2 could also be related to
748 different vertical distributions of aerosols. M6 simulated a similar level of inorganic aerosols as
749 M2, but the simulated AOD is lower than other models, which could be caused by weaker
750 hygroscopicity from a different scheme (Kiehl and Briegleb, 1993) and/or lower simulated RH
751 (see Figure S20). Although M3 and M4 largely overpredict OC concentrations, but the mass
752 extinction coefficient of OC is smaller than inorganic aerosols. M1 predicts about three times
753 larger BC concentrations than the observations. Although the mass extinction coefficient of BC is

754 larger than inorganic aerosols, the mass concentrations and hygroscopicity of BC are smaller than
755 those of inorganic aerosols, leading to relatively lower AOD from M1 simulation. M5 and M7
756 show high consistency in the simulated AOD due to similar levels of predicted inorganic aerosol
757 concentrations ($80\sim 90\mu\text{g}/\text{m}^3$) and similar hygroscopic growth assumptions.

758 As listed in Table 1, internal mixing is assumed by all the participating models except M6 for
759 major aerosol compositions. Curci et al. (2015) discussed the impacts of mixing state on simulated
760 AOD and found that external mixing state assumption significantly increase simulated AOD. M6
761 uses external mixing but shows relatively lower AOD mainly due to its ignorance of other aerosol
762 species such as dust, sea-salt, etc. In general, the magnitudes of simulated inorganic aerosol
763 concentrations and the hygroscopic growth efficiency (affected by varied RH) can explain the
764 simulated variations and magnitudes of AOD in Beijing during the severe haze event, given that
765 most models use lognormal size distributions and internal mixing assumptions.

766 Table 6 shows the statistics for AOD simulations at the North China sites and at all sites. In the
767 NCP region, R ranges from 0.36~0.74 for all the models. It is noteworthy that R values at the sites
768 in NCP are larger than those at all sites, indicating the larger reliability of model inputs (emissions)
769 and meteorological simulations in North China. In terms of magnitudes, all models tend to
770 underpredict AOD, with NMB of -2.7% to -71% in the NCP, and larger biases (NMB of -21% to
771 75%) at all sites. It is interesting to note that using finer grid size (M4) can produce slightly
772 smaller NMB compared with the same model using larger grid size (M3). The effect of grid
773 resolution will be a topic of a future paper.

774 **4 Summary**

775 The MICS-Asia Phase III Topic 3 examines how current online coupled air quality models
776 perform in reproducing extreme aerosol pollution episodes in North China, and how high aerosol
777 loadings during these episodes interact with radiation and weather. A new anthropogenic
778 emission inventory was developed for this phase (Li et al., 2017), and this inventory along with
779 biogenic, biomass burning, air and ship, volcano and dust emissions were used to all the
780 modeling groups. All modelling groups were required to submit results based on the analysis
781 methodology that documented in this paper.

782 This paper focused on the evaluation of the predictions of meteorological parameters and the
783 predictions of aerosol mass, composition and optical depth. These factors play important roles in
784 feedbacks impacting weather and climate through radiative and microphysical processes.
785 Comparisons against daily meteorological variables demonstrated that all models could capture
786 the observed near surface temperature and water vapor mixing ratio, but near surface wind
787 speeds were overestimated by all models to varying degrees. The observed daily maximum
788 downward shortwave radiation, particularly low values during haze days, were represented in the
789 participating models. Comparisons with measurements of air pollutants, including SO₂, NO_x,
790 CO, O₃, PM_{2.5}, and PM₁₀, from the CARE-China and EANET networks showed that the main
791 features of accumulations of air pollutants are generally represented in the current generation of
792 online coupled air quality models. The observed variations in AOD from both the CARE-China
793 and AERONET networks were also reproduced well by the participating models. Differences
794 were found between simulated air pollutants, particularly ozone. While winter time ozone levels
795 are typically low (below 40 ppb) as photochemical pathways are slow, the models captured the
796 synoptic variability but differed in the absolute magnitudes of near surface concentrations.

797 Large differences in the models were found in the predicted PM_{2.5} chemical compositions,
798 especially secondary inorganics and organic carbon. During winter haze events, the production
799 from gas phase chemistry is inhibited, and whether including other aerosol formation pathways
800 (such as aqueous phase chemistry) leads to the large differences between simulated
801 concentrations of secondary inorganic aerosols. In addition, different SOA treatments also lead
802 to large discrepancies between simulated OC concentrations. Differences in the simulated
803 variations and magnitudes of AOD in Beijing during the January 2010 haze episodes could be
804 explained by the differences in simulated inorganic aerosol concentrations and the hygroscopic
805 growth efficiency (affected by varied RH).

806 Results from this inter-comparison demonstrate that there remain important issues with current
807 coupled models in predicting winter haze episodes. Low wind speeds play an important role in
808 haze episodes. Current models can predict the low wind speed - high haze relationship, but
809 overestimate the low wind speeds. This contributed to the underestimation of PM_{2.5}. The models
810 also underestimate the production of secondary inorganic aerosols. There is currently a great deal
811 of research focused on inorganic aerosol production under winter haze conditions and new
812 pathways need to be included in the models to improve prediction skills. Furthermore, current
813 models have various treatments of SOA production, leading to large differences in SOA
814 predictions during winter haze episodes.

815 However, it was also found that using the ensemble mean of the models produced the best
816 prediction skill. While this has been shown for other conditions (for example prediction of high
817 ozone events in the US (McKeen et al., 2004), this is to our knowledge the first time it has been
818 shown for heavy haze events. The uncertainties in predictions of aerosol composition
819 concentrations and optical depth will impact estimates of the aerosol direct and indirect effects

820 during haze events (Gao et al., 2017a, 2017b, 2017c). The results of the MICS-Asia Topic 3
821 experiments looking at the direct and indirect effects during these heavy haze events are the
822 subjects of companion papers.

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Participating models in Topic 3

Models	M1: WRF-Chem	M2: WRF-Chem	M3: NU-WRF	M4: NU-WRF	M5: RIEMS-Chem	M6: RegCCMS	M7: WRF-CMAQ
Modelling Group	Pusan National University	University of Iowa	USRA/NASA A	USRA/NASA	Institute of Atmospheric Physics	Nanjing University	University of Tennessee
Grid Resolution	45km	50km	45km	15km	60km	50km	45km
Vertical Layers	40 layers to 50mb	27 layers to 50mb	60 layers to 20mb	60 layers to 20mb	16 layers to 100mb	18 layers to 50mb	34 layers to 50mb
Gas phase chemistry	RACM-ESRL	CBMZ	RADM2	RADM2	CBM4	CBM4	SAPRC99
Aerosols	MADE/SOR GAM; modal scheme	MOSAIC-8bin; sectional scheme	GOCART; bulk scheme	GOCART; bulk scheme	Sulfate, nitrate, ammonium, BC, OC, SOA, 5 bins of soil dust, and 5 bins of sea salt modal scheme;	Sulfate, nitrate, ammonium, BC and POC; bulk scheme	AE06 modal scheme
Chemical Boundary Conditions	Climatological data from NALROM	MOZART	MOZART GOCART	MOZART GOCART	GEOS-Chem	Climatological data	GEOS-Chem
Meteorological Boundary Conditions	NCEP FNL	NCEP FNL	NASA MERRA	NASA MERRA	NCEP FNL	NCEP-NCAR	NCEP FNL
BVOC emissions	prescribed	Internal calculation	Internal calculation	Internal calculation	prescribed	NA	Internal calculation
Dust	NA	GOCART AFWA	GOCART dust	GOCART dust	Han et al. (2004)	NA	NA
Microphysics	Lin scheme (Lin et al., 1983)	Morrison double-moment (Morrison et al., 2005)	GCE (Goddard Cumulus Ensemble)	GCE (Tao et al., 2003)	Reisner et al., 1998	Nogherotto et al., 2016	Lin scheme
Longwave radiation	RRTMG (Iacono et al., 2008)	RRTMG	Goddard (Chou et al., 1994)	Goddard	CCM3 (Maloney et al., 2001)	CCM3	RRTM (Mlawer et al. 1997)
Shortwave radiation	RRTMG	RRTMG	Goddard	Goddard	Revised CCM3	CCM3	Goddard
Boundary Layer	Yonsei University	Yonsei University	YSU	YSU	MRF (Hong and Pan, 1996)	Holtslag et al., 1993	Yonsei University

Table	Cu physics	Grell 3D (Grell, 1993)	Grell 3D	Grell 3D	Grell 3D	Grell 3D	Anthes et al. (1977)	Grell 3D
1	Surface physics	Thermal diffusion	Unified Noah (Ek et al., 2003)	Unified Noah	Unified Noah	BATS (Henderson-Sellers, 1993)	BATS	Unified Noah
NA	Aerosol-radiation	Yes	Yes	Yes	Yes	Yes	Yes	Yes ¹
	Aerosol-microphysics	Yes	Yes	Yes	Yes	Yes	Yes	No
	Mixing state	Internal mixing	Internal mixing	Internal mixing	Internal mixing	Internal mixing among inorganic aerosols and BC and OC, and external mixing between dust, sea-salt and other aerosols	External mixing	Internal mixing

represents not considered in the simulation; M1: WRF-Chem v3.7.1; M2: WRF-Chem v3.5.1; M3&M4: NU-WRF v7lis7-3.5.1-p3;

M5: RIMES-Chem; M6: RegCCMS; M7: WRFv3.4.1&CMAQv5.0.2

1 Online coupled WRF-CMAQ only considers aerosol-radiation interactions but no aerosol indirect effects. The WRF-CMAQ results shown in this paper are from an offline simulation (aerosol-radiation interaction was turned off).

Table 2 CARE-Chine network sites

ID	Site name	Characteristics	Longitude	Latitude
1	Beijing	Air quality*, AOD	116.37	39.97
2	Tianjin	Air quality*	117.21	39.08
3	Shijiazhuang	Air quality	114.53	38.03
4	Xianghe	Air quality	116.96	39.75
5	Beijing Forest	AOD	115.43	39.97
6	Baoding	AOD	115.51	38.87
7	Cangzhou	AOD	116.80	38.28
8	Shenyang	AOD	123.63	41.52
9	Jiaozhou Bay	AOD	120.18	35.90

*Air quality: surface PM_{2.5}, PM₁₀, SO₂, NO_x, CO, O₃

Table 3 Performance Statistics of Meteorology Variables (RMSE and MBE units: degree for T2; g/kg for Q2; m/s for WS10; W/m² for SWDOWN)

Metrics	Models	T2	Q2	WS10	SWDOWN	
					South	North
RMSE	M1	0.64	0.14	2.04	86.32	69.39
	M2	0.68	0.10	0.95	96.71	72.76
	M3	2.34	0.16	1.16	60.34	59.56
	M4	2.90	0.43	1.44	100.34	74.89
	M5	2.97	0.46	0.91	91.06	65.27
	M6	3.57	0.76	2.48	85.63	222.00
	M7	2.05	0.17	0.22	158.10	218.67
	Ensemble	1.81	0.10	1.28	81.96	62.51
MBE	M1	-0.19	0.02	2.01	66.58	59.94
	M2	-0.60	-0.01	0.91	83.88	62.38
	M3	-2.18	-0.04	1.11	36.44	47.74
	M4	-2.09	0.11	1.40	26.78	33.59
	M5	-2.73	0.43	0.74	49.06	51.00
	M6	-3.06	-0.56	2.37	-0.49	-202.26
	M7	-2.02	-0.12	0.15	145.24	159.02
	Ensemble	-1.71	-0.02	1.25	65.54	36.37
NMB (%)	M1	-0.07%	0.19%	17.58%	14.61%	13.34%
	M2	-0.21%	-0.12%	7.94%	18.41%	13.88%
	M3	-0.79%	-0.34%	9.73%	8.00%	10.63%
	M4	-0.75%	0.95%	12.26%	5.88%	7.48%
	M5	-0.98%	3.65%	6.45%	10.77%	11.35%
	M6	-1.10%	-4.77%	20.73%	-0.11%	-45.02%
	M7	-0.72%	-1.05%	1.31%	31.88%	35.39%
	Ensemble	-0.61%	-0.14%	10.98%	14.38%	8.10%

Table 4 Performance Statistics of Air Pollutants at the CARE-China sites (RMSE and MBE units: ppbv for gases and $\mu\text{g}/\text{m}^3$ for PM)

Metrics	Models	SO ₂	NO _x	O ₃	PM _{2.5}	PM ₁₀		SO ₂	NO _x	O ₃	PM _{2.5}	PM ₁₀
r	M1	0.76	0.60	0.46	0.85	0.76	MBE	-17.14	-5.53	-1.54	55.69	30.70
	M2	0.77	0.65	0.48	0.90	0.85		2.10	33.41	2.53	48.44	12.94
	M3	0.69	0.66	0.39	0.85	0.68		-15.89	-8.00	23.93	8.13	-19.92
	M4	0.67	0.61	0.42	0.88	0.73		-9.98	0.28	24.49	23.12	-3.23
	M5	0.72	0.73	0.39	0.91	0.84		-9.69	64.29	-5.30	1.68	-52.49
	M6	0.62	0.48	-	-	-		-27.53	-29.98	-	-	-
	M7	0.57	0.58	0.48	0.82	0.77		-25.56	7.85	-3.09	43.59	-21.00
	Ensemble	0.79	0.71	0.51	0.94	0.87	-14.81	8.90	6.84	30.11	-8.83	
RMSE	M1	27.63	33.51	6.40	73.37	79.06	NMB (%)	-14.05	-5.41	7.37	63.57	18.93
	M2	21.00	66.30	8.15	72.44	80.72		12.13	69.58	39.87	54.07	6.38
	M3	29.50	36.87	24.76	47.20	78.21		-10.44	-6.26	306.33	9.67	-12.41
	M4	26.86	36.10	25.34	49.13	72.25		0.31	4.51	316.99	27.03	-1.78
	M5	32.17	87.48	7.90	45.32	81.00		6.83	127.45	-38.49	0.52	-32.94
	M6	33.95	48.62	-	-	-		-51.28	-48.59	-	-	-
	M7	34.75	35.88	6.89	64.25	70.19		-37.87	18.32	-7.78	48.92	-12.78
	Ensemble	24.10	29.12	8.86	45.25	56.65	-13.48	22.80	104.04	33.96	-5.77	
MFB (%)	M1	-17.32	5.26	-5.06	64.34	21.98	MFE (%)	53.73	43.79	54.54	69.92	41.95
	M2	9.09	32.82	19.88	51.18	3.44		43.18	73.39	60.79	59.87	39.35
	M3	-12.96	4.52	113.60	32.67	-4.62		57.87	46.69	113.60	50.10	36.83
	M4	1.53	15.34	114.35	45.27	6.07		46.30	48.13	114.35	55.03	34.72
	M5	-20.24	67.25	-62.65	16.88	-35.15		63.69	72.07	80.92	48.17	45.09
	M6	-77.13	-56.89	-	-	-		84.21	69.66	-	-	-
	M7	-46.67	21.80	-19.50	57.19	-7.02		72.35	49.18	60.64	66.27	35.83
	Ensemble	-14.17	26.41	62.86	50.61	3.12	43.13	42.94	71.14	55.86	28.05	

Table 5 Performance Statistics of Air Pollutants at the EANET sites (RMSE and MBE units: ppbv for gases and $\mu\text{g}/\text{m}^3$ for PM)

Metrics	Models	SO ₂	NO _x	O ₃	PM ₁₀		SO ₂	NO _x	O ₃	PM ₁₀
r	M1	0.57	0.64	0.14	0.59		-0.68	0.68	-6.16	-21.03
	M2	0.59	0.45	0.30	0.75		-0.45	-0.39	5.50	3.12
	M3	0.50	0.55	0.26	0.51		-0.37	-0.21	3.67	3.55
	M4	0.45	0.55	0.25	0.49		-0.57	-0.61	4.28	2.96
	M5	0.58	0.54	0.01	0.03		-0.57	1.28	4.67	3.77
	M6	0.33	0.24	-	-	MBE	0.32	-1.68	-	-
	M7	0.53	0.49	0.38	0.55		-0.03	0.64	-1.89	-15.75
	Ensemble	0.60	0.66	0.32	0.59		-0.34	-0.07	1.68	-3.89
NMB (%)	M1	-46.45	41.49	-15.03	-82.29		1.18	1.37	8.23	23.39
	M2	-29.64	-29.75	13.47	18.90		1.01	1.35	7.29	10.01
	M3	-25.42	-17.75	9.01	19.46		1.02	1.02	6.44	13.71
	M4	-39.63	-35.84	10.47	16.95	RMSE	1.14	0.97	6.35	13.78
	M5	-34.23	38.50	11.38	31.80		1.27	2.75	12.27	23.10
	M6	12.63	-93.57	-	-		1.38	1.85	-	-

M7	17.42	31.47	-4.71	-56.18	1.04	1.57	6.52	18.76
Ensemble	-20.76	-10.79	4.10	-8.56	0.96	0.79	4.98	11.69

Table 6 Performance Statistics of AOD

Metrics	Models	M1	M2	M3	M4	M5	M6	M7	Ensemble
R	North	0.63	0.74	0.57	0.51	0.68	0.36	0.71	0.77
	China								
	All	0.60	0.65	0.46	0.42	0.53	0.33	0.64	0.75
MBE	North	-0.25	-0.10	-0.09	-0.07	-0.13	-0.21	-0.05	-0.03
	China								
	All	-0.18	-0.02	-0.01	-0.01	-0.01	-0.11	0.00	-0.12
NMB (%)	North	-71.25	-23.28	-12.63	-9.59	-28.34	-59.19	-2.70	-30.17
	China								
	All	-74.94	-30.69	-25.68	-23.64	-28.24	-55.38	-21.12	-28.91
RMSE	North	0.35	0.20	0.26	0.28	0.24	0.36	0.22	0.22
	China								
	All	1.16	1.13	1.15	1.15	1.15	1.17	1.14	0.20

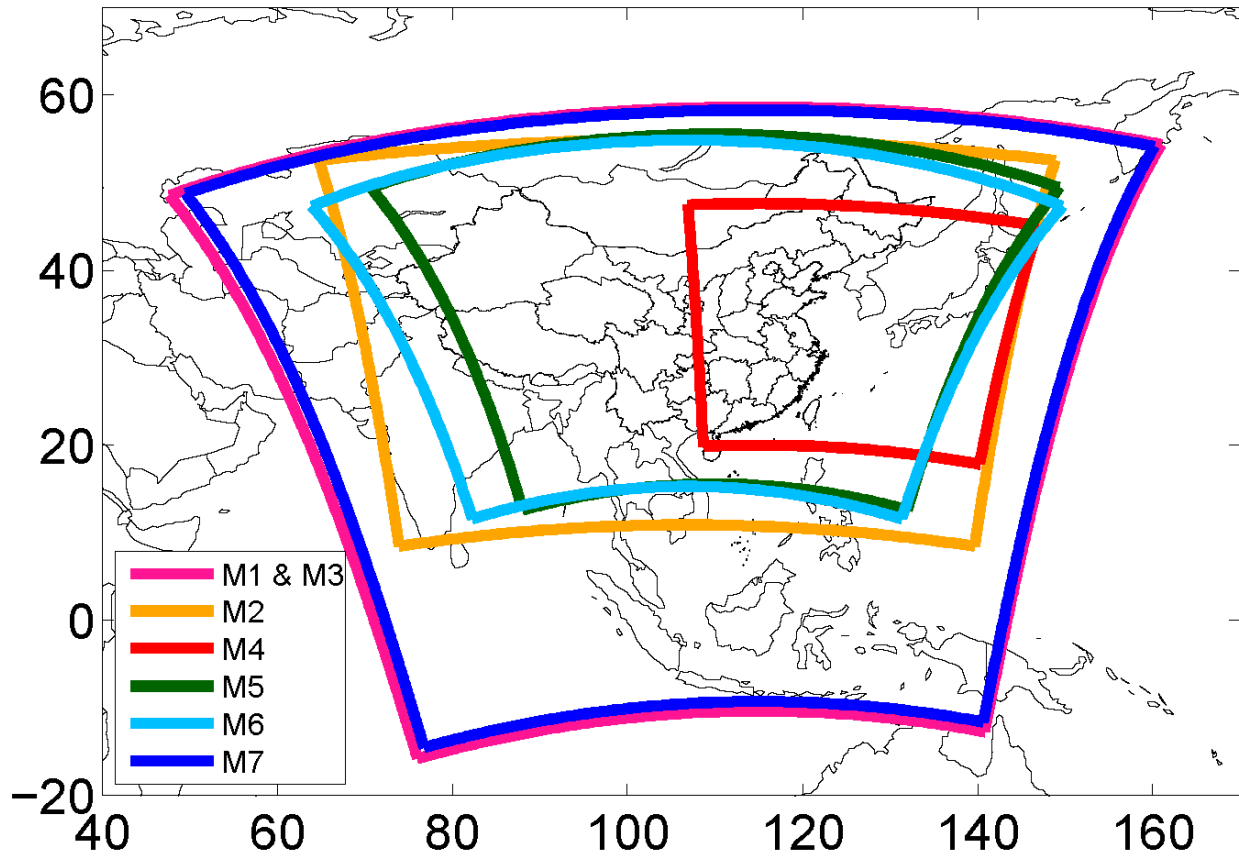


Figure 1. MICS-ASIA III Topic 3 modeling domains (descriptions of each model are documented in Table 1) M1: WRF-Chem 45km; M2: WRF-Chem 50km; M3: NU WRF 45km; M4: NU-WRF 15km; M5: RIEMS-IAP 60km; M6: RegCCMS 50km; M7: WRF-CMAQ 45km

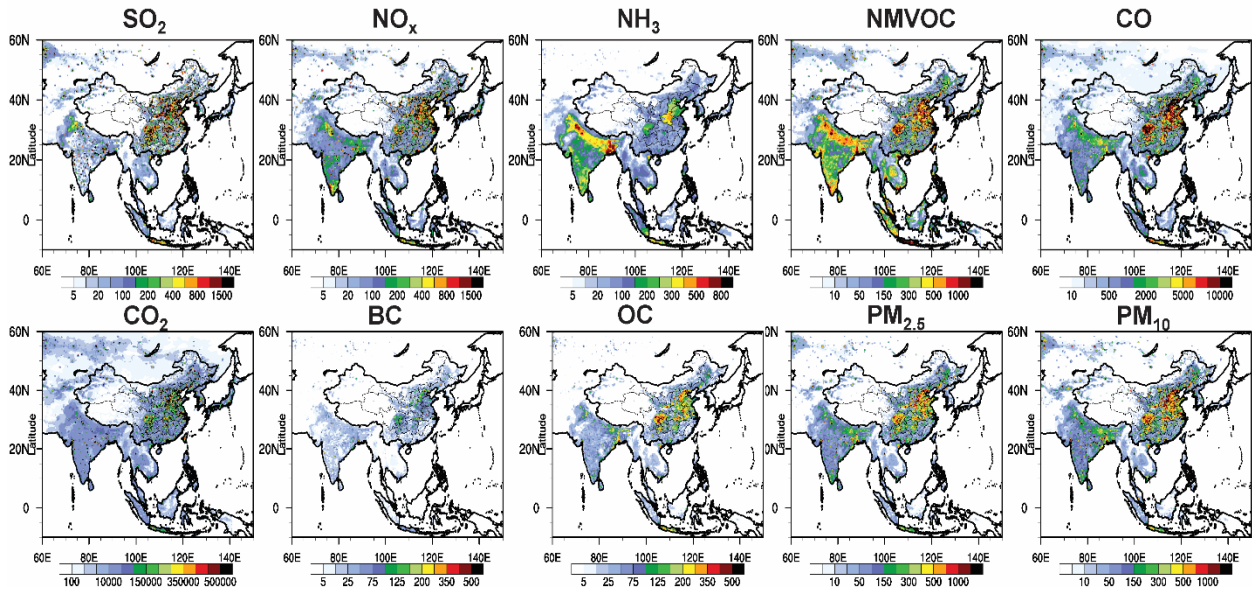


Figure 2. MIX emission inventory for January 2010 (Mg/month/grid)

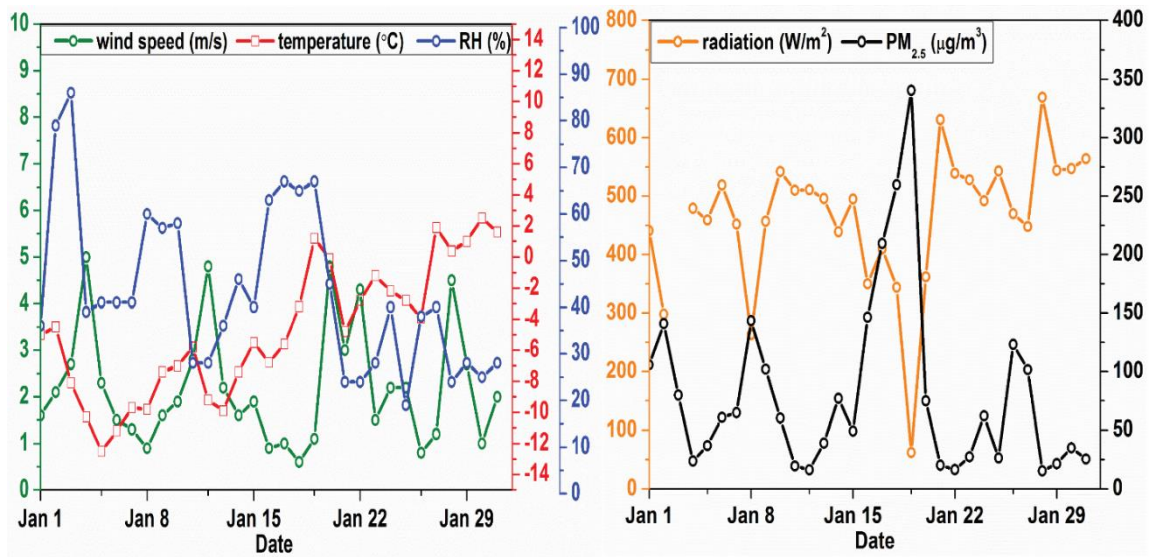


Figure 3. Observed near surface daily meteorological variables and $PM_{2.5}$ concentrations in Beijing for January 2010

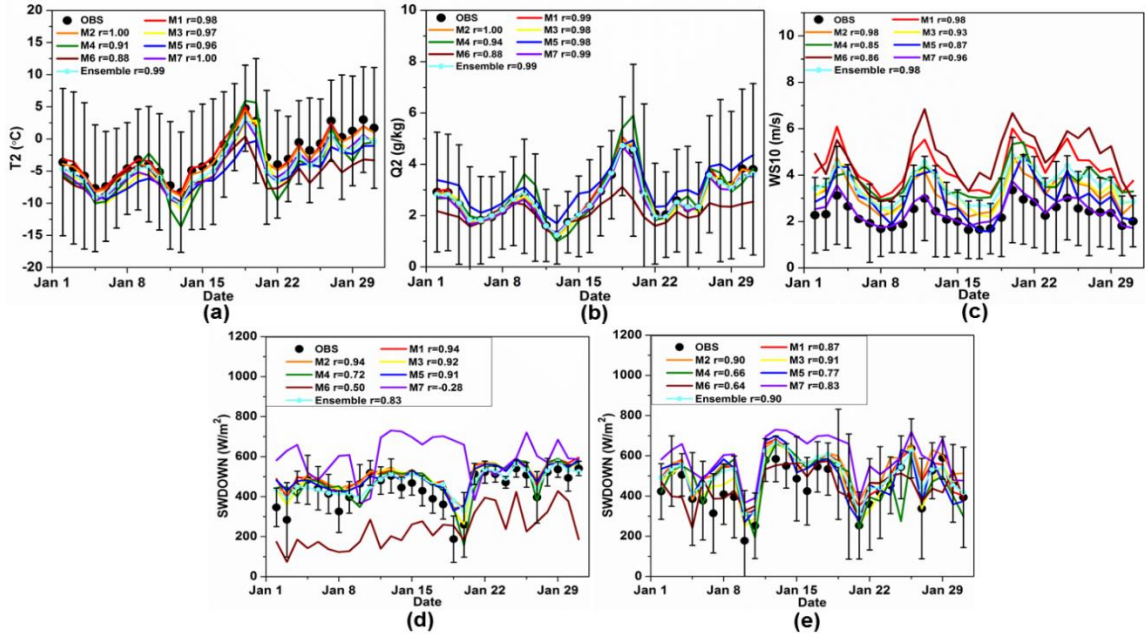


Figure 4. Comparisons between simulated and observed near surface temperature (a), water vapor mixing ratio (b), and wind speeds (c) (T2, Q2, and WS10), downward shortwave radiation in North China (d) and South China (e) (spatial daily values are averaged over measurements shown in S4 and S5; the error bars show the standard deviation of values over the measurement sites)

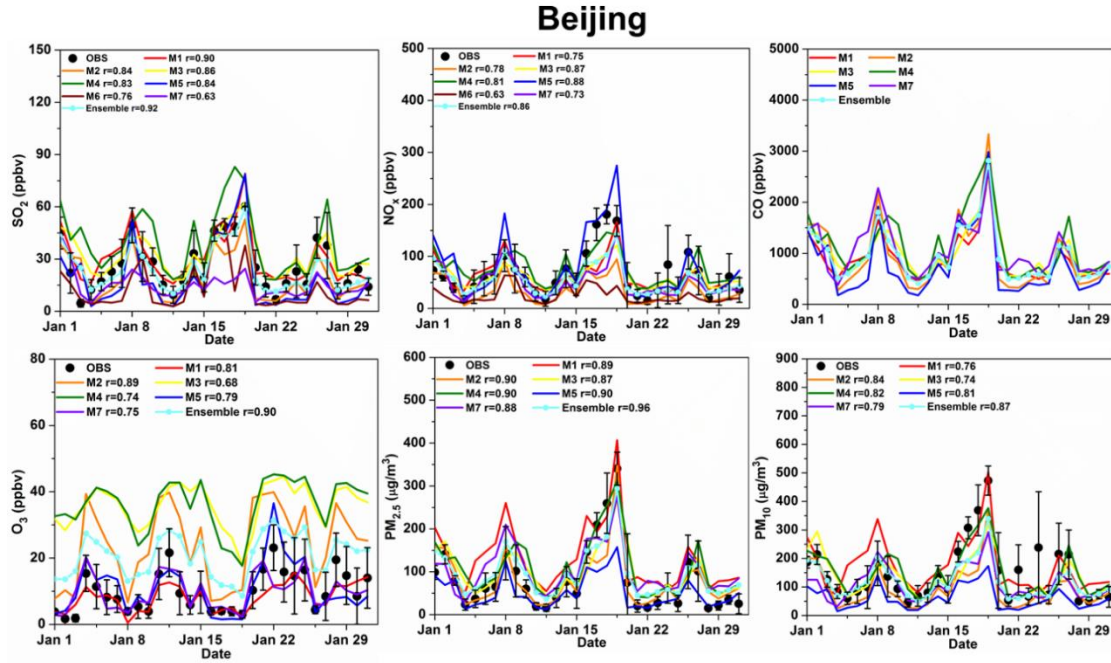


Figure 5. Comparisons between simulated and observed daily air pollutants (SO_2 , NO_x , CO , O_3 , $\text{PM}_{2.5}$ and PM_{10}) at the Beijing CARE-China site

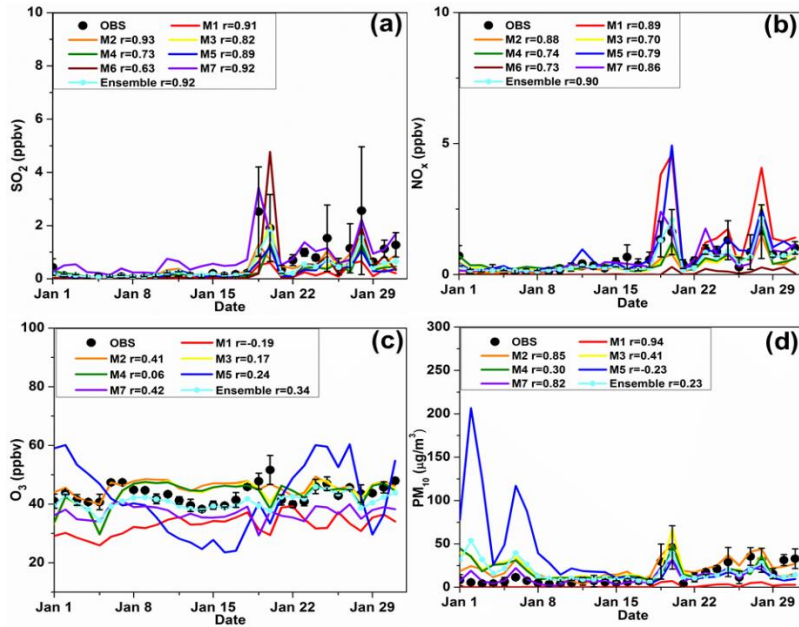


Figure 6. Comparisons between simulated and observed daily air pollutants (SO_2 , NO_x , O_3 , and PM_{10}) at the Rishiri EANET sites

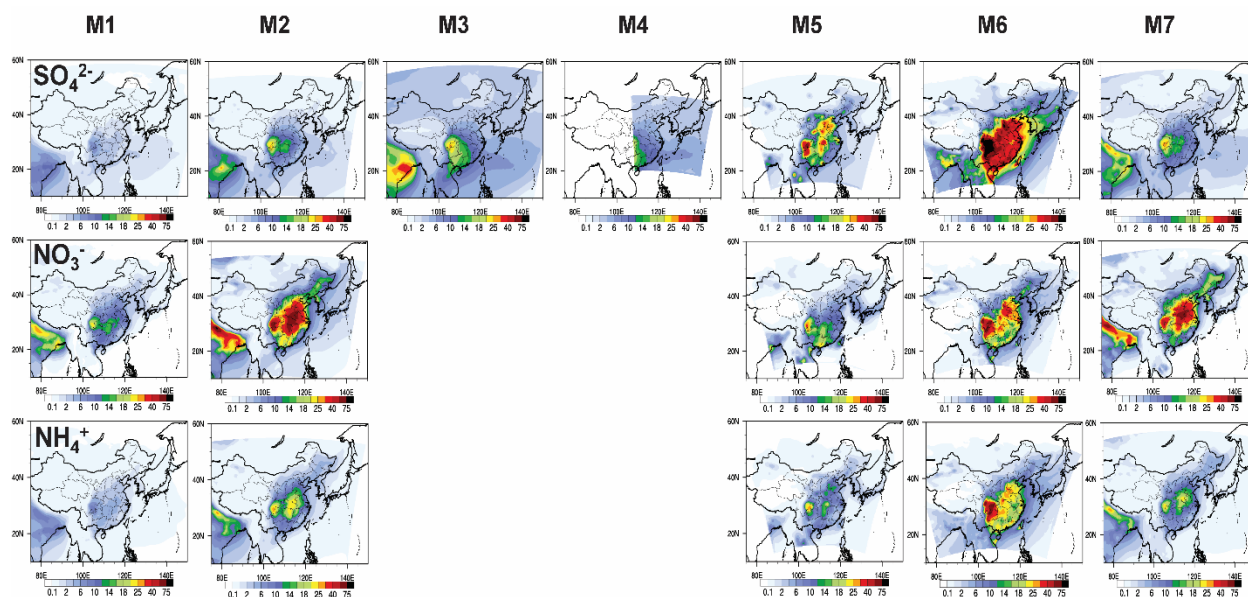


Figure 7. Simulated monthly concentrations of major $PM_{2.5}$ components ($\mu g/m^3$) for January 2010 from all participating models

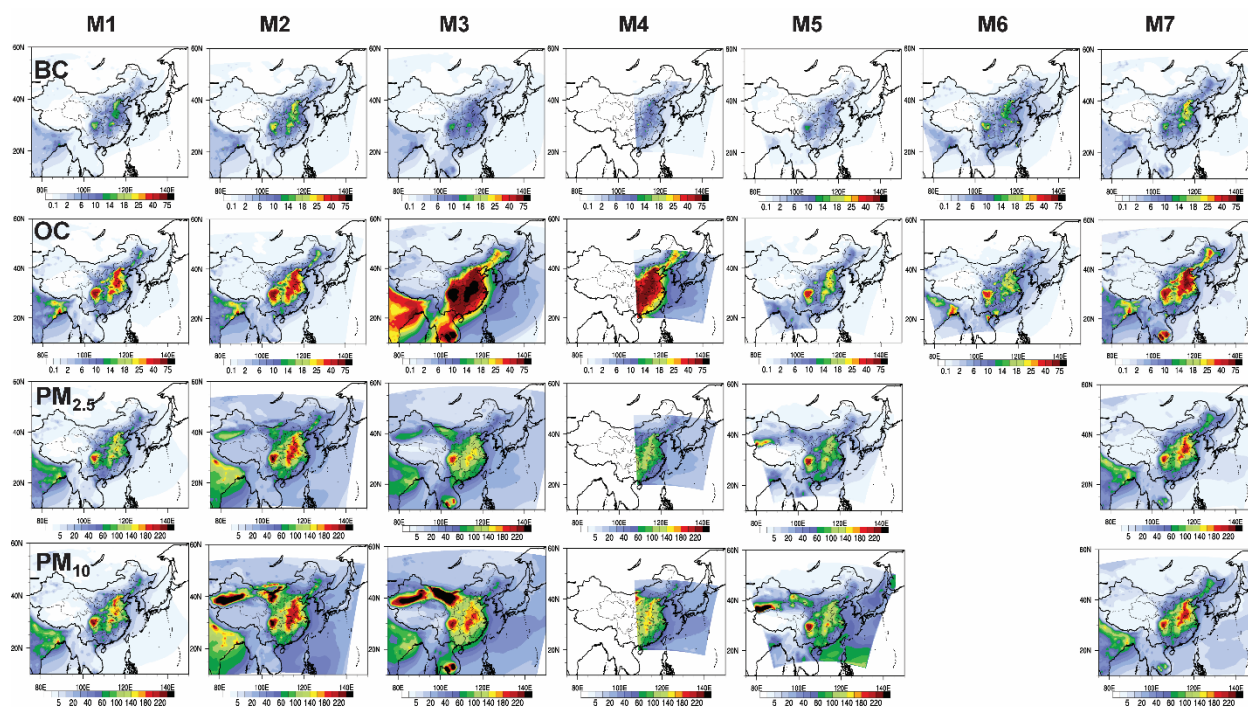


Figure 8. Simulated monthly concentrations of PM_{2.5} and major PM_{2.5} components ($\mu\text{g}/\text{m}^3$) for January 2010 from all participating models

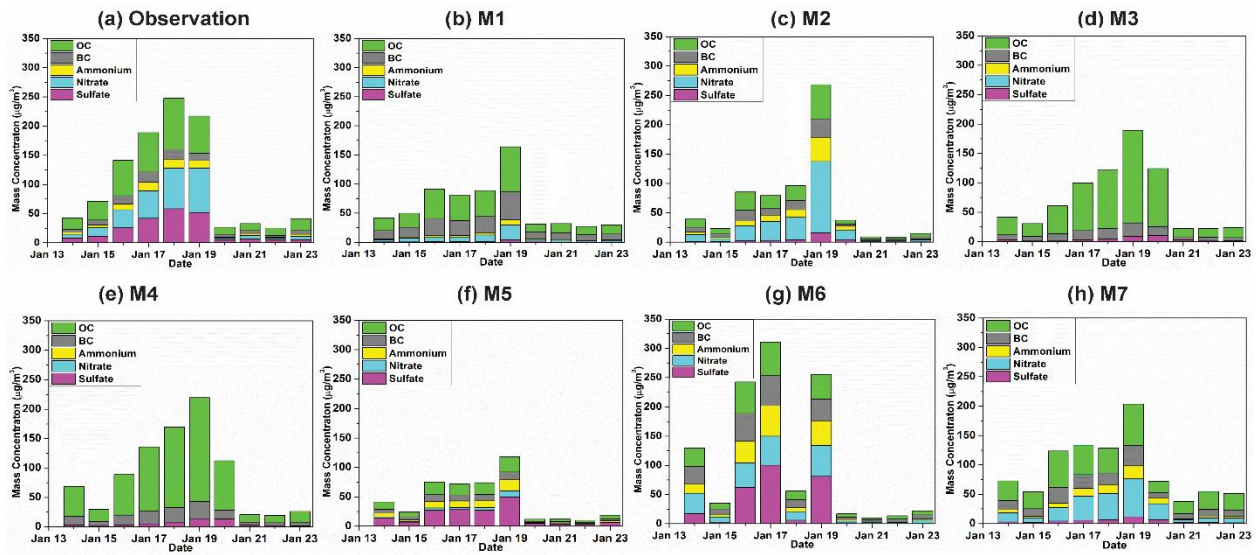


Figure 9. Observed and simulated daily mean concentrations of major PM_{2.5} chemical components in the urban Beijing site

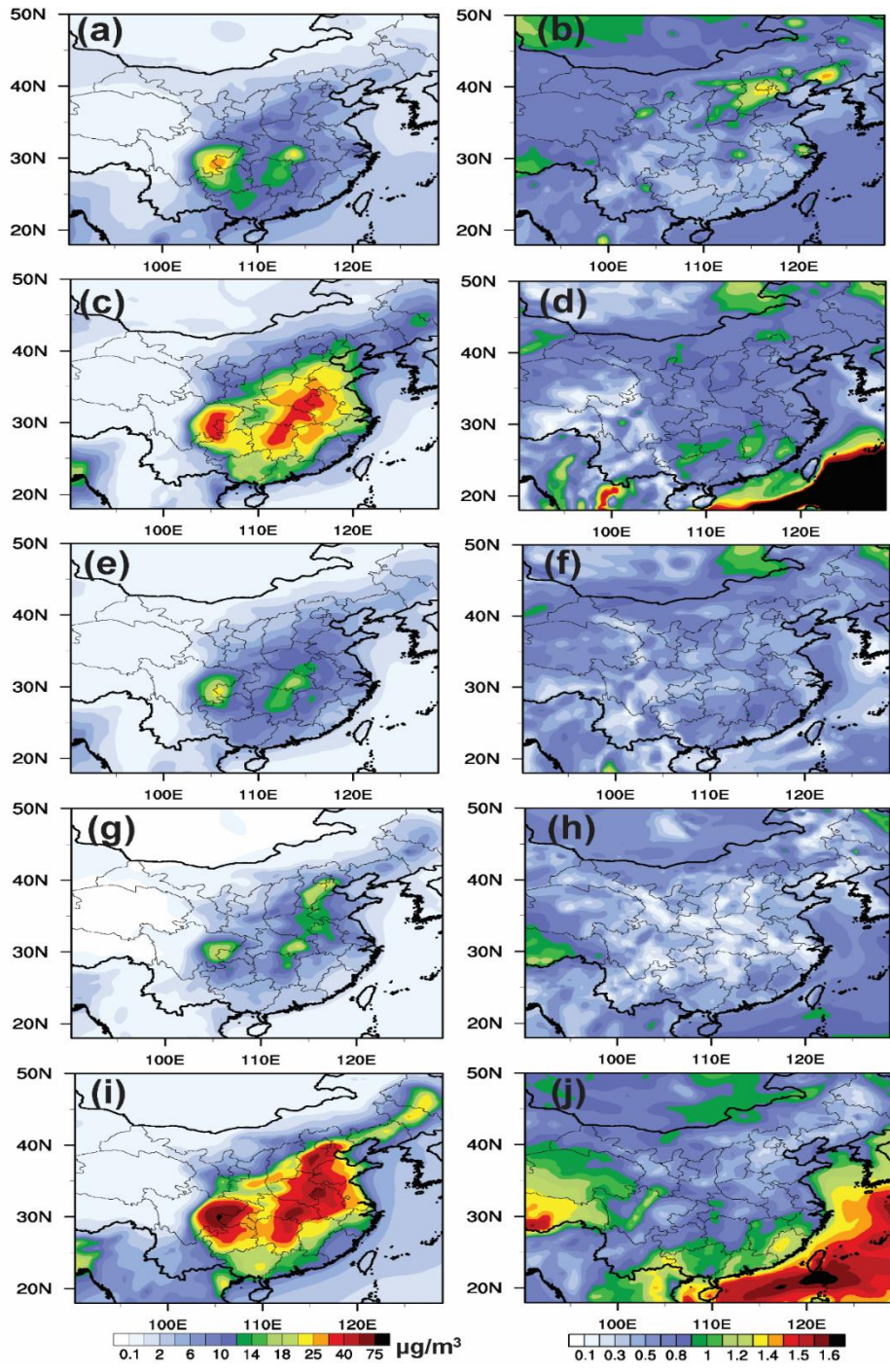


Figure 10. The ensemble mean monthly averaged near-surface distributions of $PM_{2.5}$ compositions for January 2010 (sulfate (a), nitrate (c), ammonium (e), BC (g), and OC (i)), along with the spatial distribution of the coefficient of variation ((b), (d), (f), (h), and (j), standard deviation divided by the average)

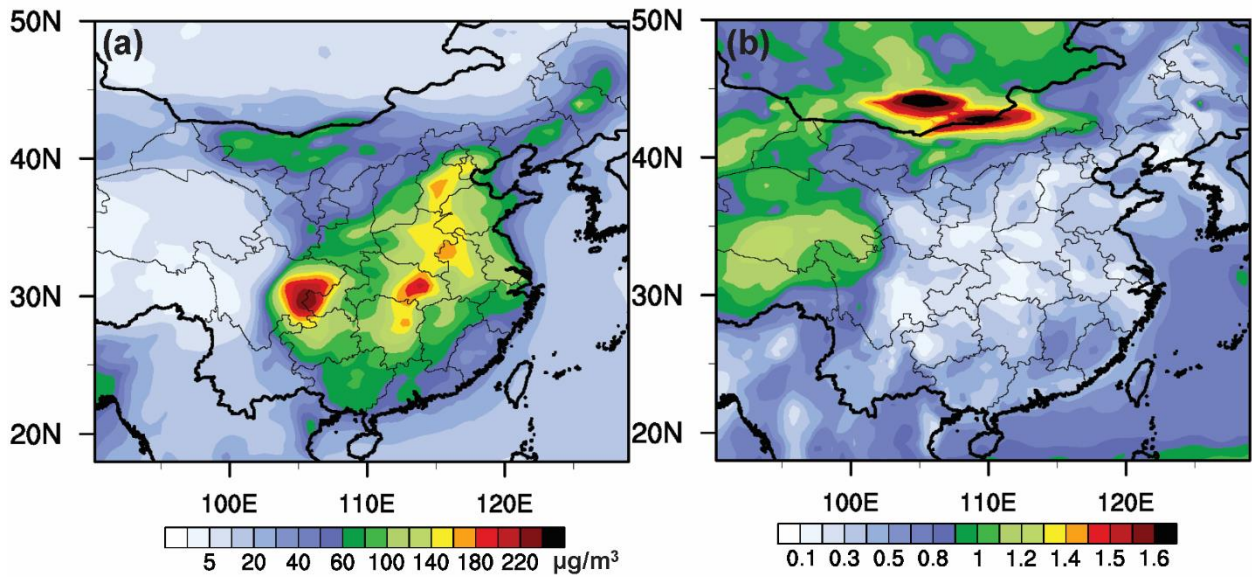


Figure 11. The ensemble mean monthly averaged near-surface distributions of $PM_{2.5}$ for January 2010 (a), along with the spatial distribution of the coefficient of variation (b, standard deviation divided by the average)

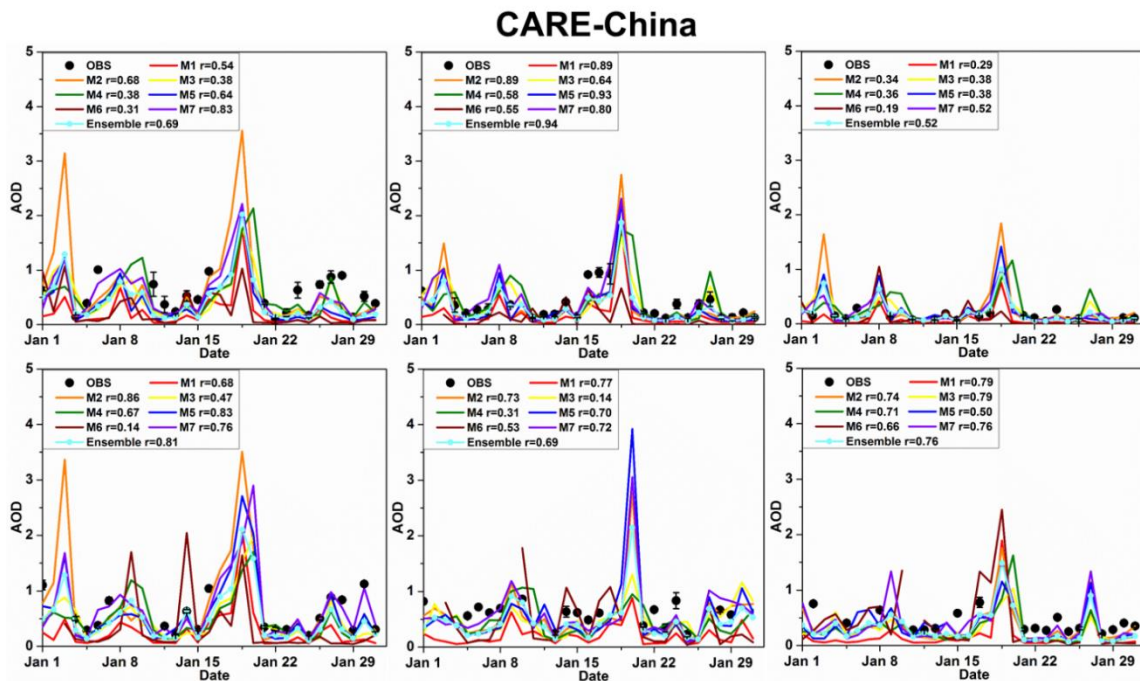


Figure 12. Comparisons between simulated and observed daily (daytime) mean AOD at the CARE-China sites (Baoding, Beijing City, Beijing Forest, Cangzhou, Jiaozhou, Shenyang,)

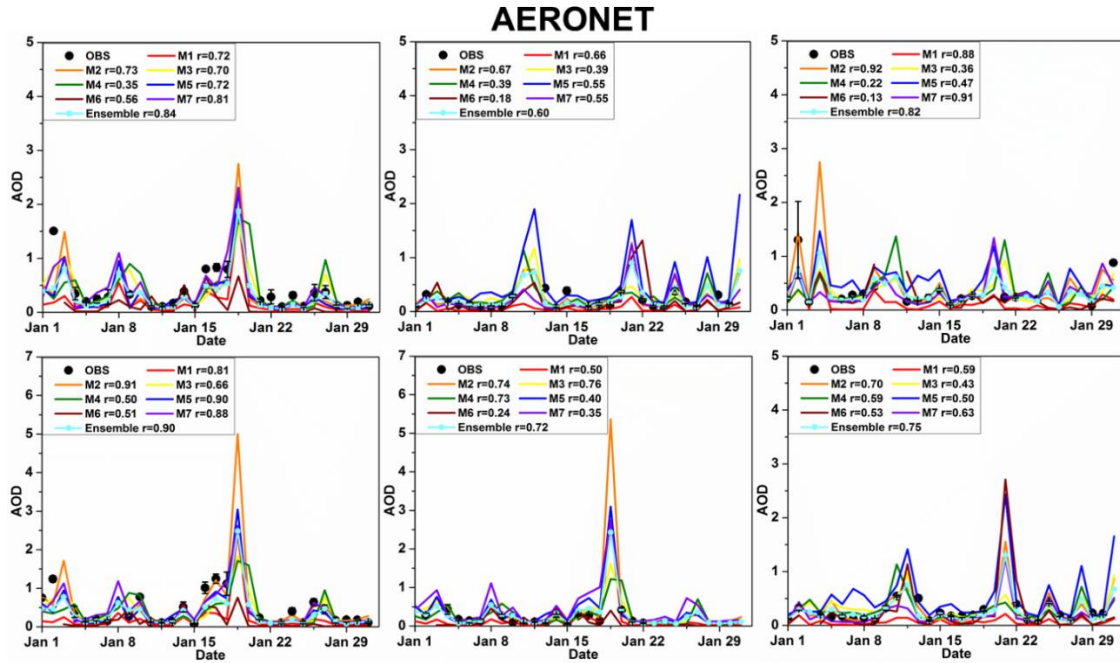


Figure 13. Comparisons between simulated and observed daily (daytime) mean AOD at the AERONET sites (Beijing, Shirahama, GIST, Xianghe, Xinglong, Osaka)