

1 **Comment to the editor:**

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3 We want to thank the two reviewers for their very useful comments.

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5 We have added in the legend of Figure 9: “All the WALI profiles obtained between -30 min.
6 and + 30 min. of the given times are plotted.”, and we have rewritten the legend of Figure 14, which
7 was unclear: “Each pair of graphs represents the time series of flight altitude (top) and LOAC-derived
8 aerosol concentration for the 19 size classes (bottom), for BLPB flights from Minorca towards French
9 coast. Colour coding is as in Fig. 7. Day-night transitions are indicated by dashed lines when
10 appropriate.”

11 Please note a change in the address of M. Mallet (^bnow at Centre National de Recherches
12 Météorologiques (CNRM), UMR Météo-France-CNRS, OMP, Météo-France, Toulouse, France)

13
14 We have added several references in the introduction of our revised version to complete our
15 literature review on the transport of large particles:

16 Ansmann, A., Petzold, A., Kandler, K., Tegen, I., Wendisch, M., Müller, D., Weinzierl, B., Müller, T. and
17 Heintzenberg, J.: Saharan Mineral Dust Experiments SAMUM-1 and SAMUM-2: what have we
18 learned?, *Tellus B*, 63: 403–429. doi:10.1111/j.1600-0889.2011.00555.x, 2011.

19 Chen, G., Ziemba, L. D., Chu, D. A., Thornhill, K. L., Schuster, G. L., Winstead, E. L., Diskin, G. S., Ferrare,
20 R. A., Burton, S. P., Ismail, S., Kooi, S. A., Omar, A. H., Slusher, D. L., Kleb, M. M., Reid, J. S., Twohy,
21 C. H., Zhang, H., and Anderson, B. E.: Observations of Saharan dust microphysical and optical
22 properties from the Eastern Atlantic during NAMMA airborne field campaign, *Atmos. Chem. Phys.*,
23 11, 723-740, <https://doi.org/10.5194/acp-11-723-2011>, 2011.

24 Haywood, J., Francis, P., Osborne, S., Glew, M., Loeb, N., Highwood, E., Tanré, D., Myhre, G., Formenti,
25 P., and Hirst, E.: Radiative properties and direct radiative effect of Saharan dust measured by the
26 C-130 aircraft during SHADE: 1. Solar spectrum, *J. Geophys. Res.*, 108, 8577,
27 doi:10.1029/2002JD002687, 2003.

28 Maring, H., Savoie, D. L., Izaguirre, M. A., Custals, L., and Reid, J. S.: Mineral dust aerosol size
29 distribution change during atmospheric transport, *J. Geophys. Res.*, 108, 8592,
30 doi:10.1029/2002JD002536, 2003.

31 McConnell, C. L., Highwood, E. J., Coe, H., Formenti, P., Anderson, B., Osborne, S., Nava, S., Desboeufs,
32 K., Chen, G., and Harrison, M. A. J.: Seasonal variations of the physical and optical characteristics of
33 Saharan dust: Results from the Dust Outflow and Deposition to the Ocean (DODO) experiment, *J.*
34 *Geophys. Res.*, 113, D14S05, doi:10.1029/2007JD009606, 2008.

35 Prospero, J. M., and Carlson, T. N.: Saharan dust outbreaks over the tropical North Atlantic, *Pure Appl.*
36 *Geophys.*, 119, 677-691, doi:10.1007/BF00878167, 1981.

37 Ryder, C. L., Highwood, E. J., Lai, T. M., Sodemann, H. and Marsham, J. H.: Impact of atmospheric
38 transport on the evolution of microphysical and optical properties of Saharan dust, *Geophys. Res.*
39 *Lett.*, 40, 2433–2438, doi:10.1002/grl.50482, 2013a.

40 Weinzierl, B., Sauer, D., Esselborn, M., Petzold, A., Veira, A., Rose, M., Mund, S., Wirth, M., Ansmann,
41 A., Tesche, M., Gross, S., and Freudenthaler, V.: Microphysical and optical properties of dust and
42 tropical biomass burning aerosol layers in the Cape Verde region—an overview of the airborne in
43 situ and lidar measurements during SAMUM-2, *Tellus B*, 63, 589-618, doi:10.1111/j.1600-
44 0889.2011.00566.x, 2011.

45
46 We have also added in Figure 6 maps of the aerosol optical depth for other dust events
47 documented with LOAC than the mid-June event shown following the same format in Figure 4.

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49 Our detailed response to the two reviewers' comments is following.

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Answer to Reviewer 1:

Reviewer: The technical descriptions of measurements are scattered throughout the manuscript (ie LIDAR, airborne, satellite). It is strongly recommended that all technical details are given in a separate section described as material and methods, preferably before 2.Experimental strategy that could be a subsection. This will allow the reader to focus on the observations rather the technical details.

Answer: Following the reviewer comments, we have gathered the technical descriptions. Section 2 is now subdivided in 3 sub-sections, the second one being devoted to the other instruments and measurements used for the cross-comparisons.

Reviewer: Line 213: "July 2.

Answer: Correction done.

Reviewer: Line 244: Refer to the corresponding Figure 5.

Answer: Correction done.

Reviewer: Line 327: In this paragraph comparison to AERONET is briefly discussed and in Figure 12 a single measurement is presented to support the statement that LOAC and AERONET are in very good agreement. More data need to be presented, there must be several AERONET profiles available to compare. These data will be useful to the AERONET community as well, since these measurements may provide validation data for the inversion algorithms. Otherwise, that statement should be limited to a single day that good agreement was observed.

Answer: We have now added in Figure 12 the whole set of comparisons available between AERONET and LOAC. We have added in the text: "The LOAC volume size distribution is compared to that derived from the AERONET remote-sensing photometer during the 15-30 June 2013 dust events. On average, the AERONET and LOAC data are in good agreement regarding both the overall amplitude of the concentrations, and the position and the concentration of the coarse mode at about 3 micrometers in radius. The better agreement is on the 16 June morning; the discrepancies for the other dates could be due to the local variability of the plume content since the LOAC and AERONET measurements are not conducted at the same time. Nevertheless, strong discrepancies sometimes occur for the smallest sizes (below 0.4 micrometers in radius) and for the largest sizes (above 10 micrometers in radius). The small-radius discrepancies could be due to local variability in the dust content, like on the 27 June when AERONET retrieves a concentration increase centred on 0.25 micrometers in radius, and to respective uncertainties of both methods. On the other end of the particle size range, AERONET retrieval is not very sensitive to the particles larger than 7 micrometers in radius and the largest size class considered in the algorithm (15 micrometers in radius) is limited to particles smaller than about 19.7micrometers in radius (Dubovik and King, 2000; Hashimoto et al., 2012). Thus, LOAC could have detected large particles that were not retrievable from AERONET observations."

Reviewer: Line 344: This paragraph should be incorporated in Experimental strategy section, you are describing once again the flight patterns here.

Answer: We have incorporated some parts of the paragraph in the new section 2.3 and we have rewritten the beginning of the section 4: "Figure 13 presents results from the BLPB flights performed inside dust plumes. In particular, the 27-28 June and the 2-3 July BLPB flights were the longest ones, with duration of about 1 day. Day-night transitions were thus encountered, leading to a decrease in float altitude during the night of more than 100 m due to the cooling of the balloon gas and associated loss in buoyancy, so that the night-time and daytime measurements were not conducted in exactly the same air mass."

103 *Reviewer: Line 454: Evidence has to be given that this correlation exists otherwise this is rather*
104 *a speculation and the sentence has either to be rephrased as a hypothesis or removed.*

105 *Answer:* We have changes the text to: "In contrast, an offset increase coincident with the
106 increase in dust particle concentration was detected for 5 flights when crossing a dust plume, as shown
107 in Figure 16 . Such an offset increase was never observed outside the plumes.

108 Laboratory tests have shown that the LOAC electronics is indeed very sensitive to
109 electromagnetic fields, with an increase of the offset."

110

111 *Reviewer: Figure 5. The same height resolution should be used, it is easier for the reader to*
112 *compare the two plots.*

113 *Answer:* Done.

114

115 *Reviewer: Figure 11: Although the logarithmic scale shows good agreement between various*
116 *instruments, it would be better to present concentrations in linear scale.*

117 *Answer:* Log-scale is necessary to see both high and low concentrations and the whole size
118 distribution (which is always presented in log-scale in the literature). Such representation will be
119 impossible in a linear scale. We prefer to maintain the figure as it is.

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122 **Answer to Reviewer 2:**

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124 *Reviewer: My main concern is the counting statistics of the LOAC at sizes greater than 40*
125 *micrometers. The authors report $1e-4/ccm$ as the maximum observed concentration. For 1 minute*
126 *sampling (averaged over 6×10 sec measurements) in 1.7 Lpm means that 0.17 particles were sampled.*
127 *Renard et al. (2016) reports that for the smaller size classes at least 400 particles must be detected to*
128 *have a proper signal, which translates to 1 mV accuracy in the case of 20 mV noise. However, for higher*
129 *channels it is not mentioned how many particles are required for a proper signal. Furthermore, Renard*
130 *et al. (2016) reports a good match with a fog monitor over the size range in question, but for*
131 *concentrations up to $0.1/ccm$ (ie 3 orders of magnitude higher). As a result I encourage the authors to*
132 *discuss the detection limit of LOAC at sizes greater than 40 micrometers. Additionally Re[n]ard et al.*
133 *(2016) reports ± 60 % uncertainty for concentrations smaller than $1e-2/ccm$. Since the uncertainty*
134 *increases with decreasing concentration, can it be that in the $1e-4$ range the uncertainty reaches ± 100*
135 *%?*

136 *Answer:* In case of large particle, the proper signal translate is of about 100 mV or more, thus
137 well above the noise. The statistical constraints for the detection of the smallest particles do not apply
138 to the largest ones. The number of large particles necessary for their detection is just one per size class.

139 In fact, the accuracy is depending on the integration time. The given value in the Renard et al.
140 paper is for the basic integration time of 10s. For the results presented here, the integration time is
141 one minute (LDB flight) and 20 minutes (BPCL flight). Thus, the uncertainties are reduced by about 2.4
142 and 11, respectively. It is why the detection of the largest particle is more accurate during the BLBP
143 flights than during LDB flight. For a concentration of 10^{-4} particles cm^{-3} , the uncertainties can be up to
144 200% in case of a LDB flight but down to 25% in case of a BLBP flight.

145 We have modified the text in part 2.1: "In contrast, the uncertainty is up to about 60% for
146 concentration values smaller than 10^{-2} particle per cm^3 for a 10-s integration time."

147 We have added at the end of part 2.1:" The concentrations uncertainties are depending on the
148 integration time. Higher is the integration time, more accurate are the measured concentrations; this
149 is a strong constraint for the detection of the largest particles in low concentration. Typically, for
150 concentration lower than 10^{-4} particles cm^{-3} , the uncertainties can be high as 200% during a LDB flight,
151 and down to 25% for the BLBP flights with an integration time of 20 min."

152 We have also modified the end of part 3 to: "Since the concentration of these large particles is
153 low and subject to large uncertainties, the analysis of this mode from measurements during LDB flights

154 is limited. Long duration measurements performed at constant altitude using the LOAC instrument on
155 BLPB gondola with much longer measurement integration time are better adapted to evaluate the
156 concentration of these large particles (with an accuracy down to 25%) and to discuss this third, giant
157 size mode.”

158

159 *Reviewer: My second major point has to do with the sampling. Two sampling methods were*
160 *implemented, LDB and BLDB. From reading the article, I am left with the impression that both methods*
161 *apply a vertical (to the ground) inlet. For LDB this is understood, but for the BLDB method, this would*
162 *be devastating taking into account that inside dust plumes high wind speeds are frequently*
163 *encountered. Can you please clarify?*

164 *Answer: The inlet was horizontal for the BLDB flight. Also, the BLDB balloon is just carried by*
165 *the wind, so that the relative velocity between the air and the inlet is close to zero. We have changed*
166 *the text in part 2.1: “The horizontal speed of a drifting balloon relatively to ambient air is supposedly*
167 *close to zero and the LOAC sampling the inlet was oriented horizontally, so that the particle sampling*
168 *efficiency should be close to 100%.”*

169

170 *Reviewer: Fig 14 is very puzzling to me. If I understand correctly diamonds (left graph) are the*
171 *measurements and the vertical line on these diamonds the uncertainty (1 std? it is not mentioned). If*
172 *this is the case the uncertainty line should overlap with 68% of the lines in the graph on the right. In*
173 *other words the measurement uncertainty should somewhat match the range of fitted distributions. It*
174 *does not and it is problematic. If the vertical lines on the left graph are not the uncertainty, please add*
175 *it. It is important.*

176 *Answer: Indeed, the legend was not clear. The left figure is just one example. The right figure*
177 *contains all measurements. We have added the underlined words to the legend: “Left: Example of*
178 *particle volume size distribution within the desert dust plume from the BLPB flight of 19 June 2013 at*
179 *an altitude of 3.3 km, from one measurement at 12:30 UT. The black diamonds are the LOAC*
180 *measurements (with 1- σ error bars), the coloured curves represent the lognormal functions for each*
181 *of the observed modes, and the black curve represents the overall fit (sum of the 3 modes). The*
182 *geometric mean diameters (D_m) of the 3 modes are of 0.27, 4.6 and 34 micrometers, respectively,*
183 *with respective geometric standard deviations (σ) of 1.79, 2.14 and 1.35. Right: The 41 fitted size*
184 *distributions when the third mode was detected, retrieved from all measurements during the 19 June*
185 *BLPB flight at float altitude.”*

186

187 *Reviewer: Line 207: The back trajectory model flexpart should be accompanied with proper*
188 *references.*

189 *Answer: We have referenced the Flexpart model with Stohl et al. (2002): Stohl, A., Eckhardt,*
190 *S., Forster, C., James, P., Spichtinger, N., and Seibert, P.: A replacement for simple back trajectory*
191 *calculations in the interpretation of atmospheric trace substance measurements, Atmos. Environ., 36,*
192 *4635–4648, doi:10.1016/S1352-2310(02)00416-8, 2002.*

193

194 *Reviewer: Line 262-263: Please state the magnitude of the uncertainty and do so to the rest of*
195 *the article.*

196 *Answer: The LOAC uncertainties for extinction are already given and discussed in lines 249-259*
197 *(now lines 195-205). The Lidar uncertainty is represented by the scatter of the profiles in Figure 8*

198

199 *Reviewer: Line 268: Please mention what do you mean by not very intense, intense etc*

200 *Answer: We have changed the text to: “The 28 June-2 July event was not intense in terms of*
201 *aerosol load.”*

202

203 *Reviewer: Line 296 and elsewhere: When an agreement is mentioned it is proper to be followed*
204 *by an indication of its robustness. Typically Pearson’s R is used (R^2 is certainly encouraged).*

205 Answer: We understand the reviewer concern. On the other hand, the vertical sampling of the
206 instruments is different, thus it is necessary to interpolate the profile before calculating the correlation
207 coefficients. We are not in favor of such approach, since the correlation could be dependent on how
208 the interpolation is performed.

209

210 Reviewer: *Line 769: There seems to a typo on that line.*

211 Answer: Correction done.

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214 **Revised version of the manuscript, changes in red**

215

216 **In situ measurements of desert dust particles above the western**
217 **Mediterranean Sea with the balloon-borne Light Optical Aerosol**
218 **Counter/sizer (LOAC) during the ChArMEx campaign of summer 2013**

219

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235

236 **Abstract.** Mineral dust from arid areas is a major component of the global aerosol and has strong
237 interactions with climate and biogeochemistry. As part of the Chemistry-Aerosol Mediterranean
238 Experiment (ChArMEx) to investigate atmospheric chemistry and its impacts in the Mediterranean
239 region, an intensive field campaign was performed from mid-June to early August 2013 in the western
240 basin including in situ balloon-borne aerosol measurements with the Light Optical Aerosol Counter
241 (LOAC). LOAC is a counter/sizer that provides the aerosol concentrations in 19 size classes between 0.2
242 and 100 μm , and an indication of the nature of the particles based on dual angle scattering
243 measurements. A total of 27 LOAC flights were conducted mainly from Minorca Island (Balearic Islands,
244 Spain) but also from Ile du Levant off Hyères city (SE France) under 17 Light Dilatable Balloons
245 (meteorological sounding balloons) and 10 Boundary Layer Pressurized Balloons (quasi-Lagrangian
246 balloons). The purpose was to document the vertical extent of the plume and the time-evolution of
247 the concentrations at constant altitude (air density) by in situ observations. LOAC measurements are
248 in agreement with ground-based measurements (lidar, photometer), aircraft measurements
249 (counters), and satellite measurements (CALIOP) in case of fair spatial and temporal coincidences.
250 LOAC has often detected 3 modes in the dust particle volume size distributions fitted by lognormal
251 laws at roughly 0.2, 4 and 30 μm in modal diameter. Thanks to the high sensitivity of LOAC, particles
252 larger than 40 μm were observed, with concentrations up to about 10^{-4} cm^{-3} . Such large particles were
253 lifted several days before and their persistence after transport over long distances is in conflict with
254 calculations of dust sedimentation. We did not observe any significant evolution of the size distribution
255 during the transport from quasi-Lagrangian flights, even for the longest ones (~ 1 day). Finally, the
256 presence of charged particles is inferred from the LOAC measurements and we speculate that electrical
257 forces might counteract gravitational settling of the coarse particles.

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260 **1. Introduction**

261

262 Mineral dust from arid and semi-arid areas is a major component of the global aerosol and has long
263 been recognized to have strong interactions with climate and biogeochemistry (e.g., Buat-Ménard and

264 Chesselet, 1979; Martin et al., 1991; Swap et al., 1992; Duce, 1995; Alpert et al., 1998; Mahowald et
265 al., 2009; Maher et al., 2010; Liu et al., 2011; Mahowald et al., 2011; Choobari et al., 2014; Li et al.,
266 2016). Desert dust aerosol is of particular interest in the Mediterranean region where it is frequently
267 observed in high concentrations in the troposphere, being a major component of surface PM₁₀ (Pey et
268 al., 2013; Rea et al., 2015), aerosol optical depth (Moulin et al., 1998; Gkikkas et al., 2013; Nabat et al.,
269 2013), atmospheric deposition (Pye, 1992; Vincent et al., 2016), affecting the regional air quality
270 (Querol et al., 2009), atmospheric thermodynamics (e.g. Alpert et al., 1998; Chaboureau et al., 2011),
271 radiative budget and climate (e.g., Nabat et al., 2012, 2015a, 2015b), precipitation chemistry (Chester
272 et al., 1996; Loÿe-Pilot et al., 1986; Avila and Rodà, 2002), soil formation (Nihlén et al., 1995), and
273 biogeochemistry of forest ecosystems (Avila and Peñuelas, 1999), oligotrophic lakes (Morales-Baquero
274 et al., 2006; Reche et al., 2009) and marine surface waters (Guerzoni et al., 1999; Herut et al., 1999;
275 Guieu et al., 2014).

276 Most studies to characterize airborne dust particles transported long-range were performed
277 with satellite remote sensing and/or surface in-situ and remote sensing instruments (counters,
278 particles samplers, lidars, photometers...). Some aircraft observations were also conducted in situ
279 inside dust plumes, but they are expensive and scarce (e.g., Schmid et al., 2000; Dulac and Chazette,
280 2003; Haywood et al., 2003; Reid et al., 2003a; Formenti et al., 2008; Weinzierl et al., 2009; Weinzierl
281 et al., 2011; Chen et al., 2011; Denjean et al., 2016), were often limited to several μm in terms of the
282 particle size range covered and did not explore the same dust plume along its transport. One of the
283 incompletely resolved issues is the evolution of the dust particle size distribution during long-range
284 transport (Ansmann et al., 2011). It has been reported that an upward velocity counteracts
285 gravitational sedimentation across the western Mediterranean (Dulac et al., 1992a) and North tropical
286 Atlantic (Maring et al., 2003). Suggested causes include solar heating of dust layers (Prospero and
287 Carlson, 1981), upward synoptic air mass movements (Dulac et al., 1992a), and turbulence (Ryder et
288 al., 2013).

289 There is some debate in the literature on the very-long distance transport of coarse soil dust
290 particles ($>10 \mu\text{m}$ in diameter). It has been shown that a coarse mode at about $14 \mu\text{m}$ in diameter is
291 produced by sandblasting of arid soils by saltating sand grains (Alfaro et al., 1998; Alfaro and Gomes,
292 2001). Furthermore, d'Almeida and Schütz (1983) report that African dust storm conditions produce a
293 dust particle volume size distribution extending up to several tens of μm with a highly variable 'giant'
294 mode around $60 \mu\text{m}$ in diameter. The modified Stokes-Einstein law indicates that steady state
295 gravitational settling velocities (V_g) of particles in air are proportional to the squared particle diameter
296 (Stokes, 1851). For a particle density of 2.5 g cm^{-3} typical of soil dust, V_g reaches 1 cm s^{-1} between 11
297 and $12 \mu\text{m}$ and 10 cm s^{-1} between 36 and $37 \mu\text{m}$, i.e. 860 and 8600 m d^{-1} , respectively (Foret et al.,
298 2006). Those giant particles are therefore expected to fall and control the dust deposition flux within
299 the first 1000 km of transport from their source (Schütz et al., 1981). Maring et al. (2003) indicate that
300 all Saharan dust particles larger than $12 \mu\text{m}$ in diameter are scavenged between Canary Islands and
301 Puerto Rico. However, there are evidences of Aeolian dust transport and sedimentation of giant dust
302 particles in the ocean up to $10\,000 \text{ km}$ away from source regions in the tropical Atlantic (Prospero et
303 al., 1970; Carder et al., 1986) and Pacific (e.g. Betzer et al., 1988; Middleton et al., 2001; Jeong et al.,
304 2014). Airborne observations also confirmed that the coarsest particles ($>20 \mu\text{m}$ in diameter) are far
305 from completely depleted over the North Atlantic (McConnell et al., 2008; Weinzierl et al., 2011; Ryder
306 et al., 2013). Therefore, we still need observations of dust particle properties evolution over an
307 extensive particle size range during their long-range transport; thus, there was a need of a new
308 strategy for multiplying in-situ measurements of the dust particle size distribution. This was done for
309 the first time in this study during African dust transport events above the western Mediterranean,
310 deploying optical particle counters both below sounding balloons that crossed vertically the dust
311 plume, and aboard drifting balloons that remained at constant altitude for quasi-Lagrangian
312 measurements within the atmospheric dust layer.

313 The Chemistry-Aerosol Mediterranean Experiment (ChArMEx; <http://charmex.lsce.ipsl.fr>) is an
314 international research initiative to investigate atmospheric chemistry in the Mediterranean region and
315 its impacts on air quality, marine biogeochemistry and the regional climate. Within the project, a large

316 regional field campaign was performed from mid-June to early August 2013 with intensive airborne
317 measurements including in situ balloon-borne aerosol (Mallet et al., 2016) and ozone (Gheusi et al.,
318 2016) measurements. The observations were conducted during the dry season over the western and
319 central Mediterranean basins. During the first special observation period (SOP) entitled Aerosol Direct
320 Radiative Forcing on the Mediterranean Climate (ChArMEx/ADRIMED SOP-1A) from mid-June to early
321 July, the focus was on aerosol-radiation measurements and their modelling (Mallet et al., 2016). During
322 the second SOP entitled Secondary Aerosol Formation in the Mediterranean (ChArMEx/SAFMED SOP-
323 1B) from mid-July to early August, the focus was on atmospheric chemistry (Zannoni et al., 2017).

324 The present paper focuses on balloon-borne measurements conducted over the western
325 Mediterranean during desert dust episodes encountered during this summer campaign with the new
326 Light Optical Aerosol Counter (LOAC), an optical particle counter/sizer (OPC). Renard et al. (2016a and
327 b) present the LOAC instrument and preliminary results from some flights analysed here with more
328 details. In the following, we first briefly summarize the instrument principle and performances and we
329 describe the different sounding and drifting balloon flights performed in summer 2013 (section 2).
330 Results on the particle size-segregated dust concentration are then presented, first in terms of vertical
331 distribution (section 3), and secondly in terms of temporal evolution at constant altitudes (section 4).
332 We then discuss dust particle sedimentation aspects (section 5) and speculations about electrically
333 charged dust particles (section 6), and finally conclude (section 7).

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336 2. Experimental strategy

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338 2.1 Balloons-borne instruments

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340 This study is based on the LOAC instrument (Figure 1), a light OPC described and characterized by
341 Renard et al. (2016a). Briefly, the instrument provides aerosol particle concentration measurements
342 within 19 size classes in the 0.2–100 μm diameter size range, and an estimate of the typology of
343 aerosols based on dual angle measurements. LOAC can be carried by all kinds of balloons (Renard et
344 al., 2016b). The gondola weight, including the instrument, the batteries (alkaline or lithium) and the
345 telemetry system, is of about 1.0 kg, for an electric consumption of 3 W. Aerosols are sucked in by a
346 small pump in order to pass through a red laser diode beam. In general, the light scattered by the
347 particles depends on both the size and refractive index of the particles. To separate these two
348 parameters, LOAC uses an original concept described in Renard et al. (2016a). Measurements are
349 performed at 2 scattering angles: the first one is close to forward scattering at around 12° where the
350 light scattered (diffracted) by non-spherical particles is controlled by the size of the particles (Lurton
351 et al., 2014); the second one is around 60° , where the scattered light is strongly dependent on the
352 refractive index of the particles (e.g., Weiss-Wrana, 1983; Renard et al., 2010; Francis et al., 2011). The
353 12° channel is used to retrieve the size distribution independently of the nature of the particles, and
354 the combination of the 12° and 60° channels is used to derive the “LOAC speciation index” that informs
355 on the typology or dominant nature of aerosol particles in each size range, based on a laboratory
356 calibration conducted with particles of well-known nature. Figure 2 presents the reference “speciation
357 zones” obtained in laboratory and an example of LOAC speciation index obtained during ambient air
358 measurements inside a Saharan dust plume on 18 June above Minorca (Spain) at an altitude of 3.1 km.

359 As described by Renard et al. (2016a), the measurement uncertainty on the total aerosol
360 concentration is $\pm 20\%$ for concentration values greater than 1 particle per cm^3 (for a 10-min integration
361 time). In contrast, the uncertainty is up to about 60% for concentration values smaller than 10^{-2} particle
362 per cm^3 for a 10-s integration time. In addition, the uncertainty in size calibration is $\pm 0.025 \mu\text{m}$ for
363 particles smaller than $0.6 \mu\text{m}$, 5% for particles in the $0.7\text{--}2 \mu\text{m}$ range, and 10% for particles larger than
364 $2 \mu\text{m}$. Following coincidences, the measurement accuracy for submicronic particles could be reduced
365 in a strongly turbid case when the concentration of particles larger than $3 \mu\text{m}$ exceeds a few particles
366 per cm^3 .

367 During the ChArMEx summer 2013 campaign, the LOAC gondolas were carried by two types of
368 balloon: the Light Dilatable Balloon (LDB), a meteorological sounding balloon of about 1 kg, and the
369 Boundary Layer Pressurized Balloon (BLPB), a drifting balloon of about 2.5 m in diameter. Pictures of
370 the respective gondolas can be found in Renard et al. (2016b).

371 The LDB allows many flights from various places, and the gondola may generally be retrieved
372 after landing (if not at sea). Measurements were conducted during the ascending phase of the balloon,
373 at a speed of 3-6 m s⁻¹. The inlet that collects aerosols was oriented toward the sky. The low flow rate
374 (~1.7 L min⁻¹) of the sampling pump yields sub-isokinetic sampling conditions that could tend to
375 oversample large particles (Renard et al., 2016a). The highest altitude reached by LOAC was 37 km,
376 although in this study we will only consider the tropospheric part below 8 km in altitude (see Chane
377 Ming et al. (2016) for an analysis of upper troposphere and stratosphere observations). The LOAC
378 measurements, integrated every 10 s, are sent to ground in real-time by the on-board telemetry. To
379 increase the measurement accuracy during the LDB ascent, the 10-s concentration values are averaged
380 over a 1-min period, which provides a vertical resolution of about 300 m.

381 The BLPB, after its ascending phase, follows a near-Lagrangian trajectory, remaining in the
382 same air mass during its trajectory in the lower atmosphere (Ethé et al. 2002; Gheusi et al., 2016;
383 Doerenbecher et al., 2016). Its float altitude was prescribed before the flight (in the 400-3500 m range)
384 by adjusting the balloon density with the appropriate mixture of air and helium. The altitude was
385 chosen to fly within dust layers, based on a LDB flight and/or aerosol lidar measurements performed
386 just before the launch. **The horizontal speed of a drifting balloon relatively to ambient air is supposedly**
387 **close to zero and the LOAC sampling the inlet was oriented horizontally, so that the particle sampling**
388 **efficiency should be close to 100%.** The integration time was chosen between 1 and 20 min, due to the
389 low telemetry rate for the downlink through the Iridium satellite communication system. The duration
390 of the flights varied from several hours to more than one day. Also, LOAC was sometimes temporarily
391 shut down after a session of measurements to save up on-board energy. For safety reasons, the
392 authorized flight area was restricted to the sea (including islands).

393 **The concentrations uncertainties are depending on the integration time. Higher is the**
394 **integration time, more accurate are the measured concentrations; this is a strong constraint for the**
395 **detection of the largest particles in low concentration. Typically, for concentration lower than 10⁻⁴**
396 **particles cm⁻³, the uncertainties can be as high as 200% during a LDB flight, but down to 25% for the**
397 **BLBP flights with an integration time of 20 min.**

398
399

400 **2.2 Other measurements**

401

402 **The dust events were identified by near-real time (NRT) model and remote sensing products collected**
403 **operationally by the ChArMEx Operation Centre web server (<http://choc.sedoo.fr>) where different**
404 **quick-looks were made available. The main NRT remote sensing aerosol products were provided by 4-**
405 **hourly observations from MSG/SEVIRI. The aerosol optical depth (AOD) at 550 nm (AOD₅₅₀) product is**
406 **based on Thieuleux et al. (2005).**

407 **In addition, we operated a calibrated ground-based CIMEL AERONET sun-photometer that**
408 **provided AOD at 7 wavelengths from 340 to 1020 nm during daytime at the nearby station of Cap d'en**
409 **Font on Minorca Island (39.826°N, 4.208°E; <http://aeronet.gsfc.nasa.gov>) where an aerosol and water**
410 **vapour Raman lidar (WALI) with polarisation measurements was also in continuous operation**
411 **(Chazette et al., 2016). The WALI lidar provides the vertical extent, the time-evolution and an estimate**
412 **of the nature of the particles. The balloon launch site and the lidar and photometer station were**
413 **distant by about 10 km.**

414 **The LOAC aerosol number concentration in the 0.2-100 μm range was converted to aerosol**
415 **extinction using the Mie scattering theory, assuming spherical dust particles, to be compared to the**
416 **lidar extinction data at 350 nm. The refractive index was set to 1.53-i0.0025, which corresponds to the**
417 **mean value determined by Denjean et al. (2016) for the Saharan dust plume events documented**
418 **during the summer 2013 ChArMEx campaign. This approach suffers from four approximations: i) all**

419 counted particles are assumed to be mineral dust; ii) the contribution of the smallest particles is
420 unknown, leading to some underestimation of the calculated aerosol extinctions; iii) the grains are
421 considered as spherical while they are not; and iv) the refractive index of the grains is not always well
422 known. In fact, the grains are irregular in shape and their refractive index can vary, depending on their
423 composition and their origin, which potentially increases the uncertainty on our calculation on the
424 aerosol extinction. Also, extinction calculations are highly sensitive to the size of the particles: the
425 uncertainty in the LOAC particle size determination can produce a 50% uncertainty on the derived
426 extinctions. The error bars on the LOAC-derived extinctions are calculated considering both
427 concentration and size uncertainties. Weinzierl et al. (2009) report that accounting for the non-
428 sphericity of dust particles might yield a small reduction of up to 5% in extinction computations based
429 on the dust particle size distribution.

430 We used also the 532 nm aerosol extinction data in the troposphere obtained by the Cloud-
431 Aerosol Lidar with Orthogonal Polarization (CALIOP on board the CALIPSO satellite) instrument, version
432 4.10 level-2, (e.g., Winker et al., 2009). The data have a horizontal resolution of 5 km and a vertical
433 resolution of 60 m. Aerosol extinction values have a detection threshold of about 0.01 km^{-1} . The nature
434 of aerosol particles and cloud droplets retrieved in CALIOP observations is given by the CALIOP vertical
435 feature mask algorithm (Omar et al., 2009). To perform the comparison with CALIOP aerosol extinction
436 data, the LOAC aerosol extinctions are calculated at 532 nm from the measured size distribution using
437 the mineral dust refractive index as presented above

438 Finally, we use the aerosols measurements obtained during an ATR-42 aircraft flight close to
439 Minorca. The instrumentation installed on board the aircraft is described in detail in Denjean et al.
440 (2016). The aerosol size distribution was determined from an optical particle counter GRIMM 1.129
441 (nominal size range $0.25\text{-}32 \mu\text{m}$), an Ultra High Sensitivity Aerosol Spectrometer (UHSAS; $0.04\text{-}1 \mu\text{m}$)
442 and a Forward Scattering Spectrometer Probe FSSP-300 ($0.28\text{-}20 \mu\text{m}$). The UHSAS and FSSP are wing-
443 mounted instruments, whereas the GRIMM installed inside the cabin received ambient air collected
444 through the AVIRAD isokinetic inlet and tubing with a cut-off diameter of $12 \mu\text{m}$ (Denjean et al., 2016).

445

446

447

2.3 Conditions of measurements

448

449 Table 1 and Table 2 provide the conditions of measurements for LDB and BLPB flights
450 performed during the ChArMEx campaign, respectively. Seventeen LDB flights and 10 BLPB flights were
451 successfully performed during desert dust transport events, most of them launched from Minorca, the
452 easternmost Balearic Island, Spain (latitude 39.88°N , longitude 4.25°E) from 15 June to 2 July, and a
453 few from Ile du Levant, off Hyères city near the coast of south eastern France (latitude 43.02°N ,
454 longitude 6.46°E) from 27 July to 4 August (Figure 3). The confirmation of the occurrence of mineral
455 dust plumes was possible from the LOAC-derived dominant typology of aerosol particles with the LOAC
456 speciation index falling inside the “mineral zone” (Renard et al., 2016a,b).

457 In case of a Saharan dust event, the measurement strategy was to perform two LDB flights per
458 day, and two simultaneous BLPB flights drifting at different altitudes within the dust plume (twin
459 flights). The flight altitudes were chosen following real-time indications from the nearby lidar. This
460 strategy was conducted during a relatively long dust event from 15 to 19 June, with 9 LDB flights and
461 3 twin BLPB flights on June 15, 16, and 19. In terms of altitude, the twin flights were performed on 16
462 June at the lower edge and in the middle of the dust layer, on 17 June both well inside the maximum
463 concentration of the plume, and on 19 June at levels of minimum and maximum concentrations in the
464 plume. The MODIS satellite observations indicate that the mean AOD was of about 0.25 during this
465 period. The dust started to appear over the Alboran Sea on June 12. The daily average AOD derived
466 from MSG/SEVIRI over the western Mediterranean basin from June 15 to 18 is mapped in Figure 4. It
467 shows the arrival of the plume from the South-West with a low AOD over Minorca on June 15, its
468 extension to the North and North-East on June 16 and 17 with a maximum extent of the plume over
469 the basin on June 17, its reinforcement along a North-South axis on June 18 with the largest AOD values

470 around the Balearic Islands. On June 19 (not shown) Minorca was on the western edge of the plume
471 that had shifted eastward.

472 Figure 5 shows times series of products from the WALI lidar from late June 15 to the end of
473 June 17. The high extinction areas below 2.5 km until June 16, 13:00 are not or weakly depolarizing.
474 Chazette et al. (2016; see their Figure 7) could infer from those data that the dominant aerosol was of
475 marine nature around 500 m in altitude within the atmospheric boundary layer, dust above 2.5 km,
476 and pollution-related in between during the night of 15 to 16 June.

477 Five LDB flights were also conducted from Minorca Island during the 28 June-2 July period.
478 **Figure 6 shows maps of the daytime mean AOD over the western Mediterranean on 29 June and 2 July.**
479 On 27-29 June, the Minorca region was impacted by turbid air masses arriving from the North-West
480 (Chazette et al., 2016). Ancellet et al. (2016) identified long-range transport of forest fire smoke from
481 different areas in North America (Canada and Colorado) and of African dust back from the western
482 tropical Atlantic. Their Flexpart model simulations (Stohl et al., 2002) indicate that over Minorca,
483 Canadian smoke aerosols dominated below 3 km on June 28 late afternoon, when dust dominated
484 above 4 km and Colorado smoke aerosols were abundant above 5 km. Satellite-derived AOD shows
485 that starting on June 29, a new dust plume from northwestern Africa with high AOD emerged from the
486 Atlantic and Mediterranean coasts of Morocco. The plume extended a bit to the North and further East
487 over the sea during the following days but remained confined to the southernmost part of the basin,
488 with moderate AODs and some dust over Minorca on 2 July (AOD at 550 nm up to 0.22, Chazette et
489 al., 2016). A BLPB flight was conducted on 2 July; the mean MODIS AOD was of 0.15 during this period.
490 Lidar data indicate that dust dominated between about 2 and 4.8 km in altitude (Chazette et al., 2016).
491 The aerosol in lower layers could not be typified.

492 **Two other LDB flights were conducted from the Ile du Levant during a dust event on 27-28 July.**
493 **Twin BLPB flights were also performed in the upper edge of the plume. Finally, 2 LDB flights and one**
494 **BLPB flight were conducted during a last dust event on 3-4 August. For those two events, daytime**
495 **mean MSG-SEVIRI-derived AOD at 550 nm are up to about 0.30-0.35 off Levant Island (Figure 6).**

496 From all those drifting balloon flights, it is possible to study the vertical extent of dust plumes
497 and the temporal evolution of the dust particle size-segregated concentration at a given altitude during
498 transport. In particular, LOAC data can be used to determine the concentration of large particles
499 dominating the mass of desert dust transported and their deposition flux (e.g. Arimoto et al., 1985;
500 Dulac et al., 1987, 1992a and b; Foret et al., 2006).

501
502

503 **3. Vertical profiles and particle size distributions of the observed dust plumes**

504

505 The main desert dust event observed during the ChArMEx/ADRIMED campaign lasted five days from
506 15 to 19 June as presented above (Figure 4). Figure 7 presents the vertical distribution of the 19 size
507 class number concentrations, from the 9 LDB flights performed during that period. It shows that the
508 dust plume was heterogeneously distributed in the free troposphere allowing for several local
509 concentration maxima along the vertical and extended up to 7 km on the evening of 18 June. For
510 comparison, Figure 8 presents measurements during a dust-free flight from Aire sur l'Adour, France
511 (43.706°N, -0.251°E) on 14 August 2014, with no significant local concentration enhancement and the
512 absence of large particles.

513 All these flights, including a BLPB flight on 19 June morning when LOAC performed
514 measurements during the balloon ascent, were conducted concurrently to the nearby aerosol lidar
515 measurements (Chazette et al., 2016). The time of the first 5 LDB flights are presented on the WALI
516 lidar time-height cross-sections on June 16-17 with arrows marking (Figure 5). Figure 9 presents the
517 tropospheric vertical profiles of the LOAC and WALI aerosol extinction observed during the 15-19 June
518 dust event over Minorca. Taking into account the uncertainties associated to the different instruments,
519 the overall aerosol extinction values can be regarded as in the same order of magnitude, and even
520 often in good agreement. LOAC and WALI have captured similar vertical structures around half the

521 time. The remaining discrepancies could be due to inaccurate size determination by LOAC, and to the
522 distance between different observations of inhomogeneous dust plumes.

523 Three other dust events were documented with the LOAC instrument as illustrated by vertical
524 profiles in Figure 10. The 28 June-2 July event **was not intense in terms of aerosol concentration**
525 **increase**, while the 27-28 July and 3-4 August events were stronger. Similarly to the mid-June event,
526 the dust plumes extended up to an altitude of 6 km and were not homogeneous in the vertical.

527 Good spatial and temporal coincidences occurred between LOAC measurements and CALIOP
528 remote sensing measurements for two events: on 29-30 June above Minorca (Spain) and on 3 August
529 above Ile du Levant (France). The LOAC measurements on 29-30 June were between 23:45 and 01:50
530 UTC while the CALIOP measurements were at 01:56 UTC on 30 June. The LOAC measurements on 3
531 August were between 11:15 UTC and 12:15 UTC while the CALIOP measurements were at 12:49 UTC
532 Lidar WALI extinctions are also available for the 29 June at around 22:30 UTC.

533 Figure 11 presents the comparison between LOAC, CALIOP and WALI aerosol extinctions.
534 During the 29-30 June night, the 3 instruments show that the plume extended from the ground to an
535 altitude of 2.5 km. Although the general trend is in good agreement for the 3 instruments, local
536 discrepancies are present in the vertical extinction profiles, possibly due to the temporal and spatial
537 variability of the plume. LOAC seems to indicate a mixture of mineral dust and carbonaceous particles
538 whereas CALIOP reports polluted continental/smoke particles (but the identification by CALIOP is
539 difficult due to the weakness of the signal). On 30 June at mid-day, the plume had almost disappeared
540 and the LOAC aerosol extinction values are below the detection threshold of CALIOP.

541 During the 3 August dust event, LOAC observations reveal that the plume extended from 2 to
542 6.5 km. CALIOP captured all the dust plume in very good agreement with LOAC, and the two
543 instruments identified the same nature of mineral dust particles. Another LOAC profile was obtained
544 in the morning of 3 August at about 06:30 UTC, during a BLPB ascent up to its float altitude at 3 km (in
545 blue in Figure 11. The two LOAC measurements are in very good agreement in the 2-3 km altitude
546 range. Below 2 km, the two flight measurements show that the detected typologies are dominated by
547 carbonaceous particles (likely anthropogenic aerosols). The strong temporal variability in particle
548 concentrations below 2.2 km is therefore not related to the dust plume.

549 The ATR-42 aircraft flight was conducted close to Minorca (50 km apart) during dusty
550 conditions in the morning of 16 June, at the same time of two LOAC balloon flights (LBD and BLBP).
551 The aircraft probed the dust layer in the 2.5-4 km altitude range. Figure 12 presents the comparison of
552 the size distributions measured by the 2 LOACs and the 3 aircraft counters at the maximum
553 concentration level of the dust plume (2.5-4 km; see Figures 5 and 7). The integration from 2.5 to 4 km
554 of the LDB LOAC signal provides a better signal to noise ratio and a better sensitivity to the less
555 numerous large particles ($>15 \mu\text{m}$) that are hardly detected with short integration times. Globally, all
556 the instruments are in good agreement for the submicronic particles and for the coarse mode at 2-
557 $3 \mu\text{m}$; the small discrepancies can be due to the difference in the respective measurement locations
558 and to the different measurement methods of the various instruments, although they were all
559 calibrated. The FSSP shows larger concentrations for particles larger than $2 \mu\text{m}$ in diameter than other
560 instruments (Figure 12). Reid et al. (2003b) discuss that the FSSP measurement principle tends to
561 produce some oversizing of coarse particles and also shows particle concentrations as high as twice
562 those measured by a Passive Cavity Aerosol Spectrometer Probe (PCASP) in their overlapping particle
563 diameter range ($1.5\text{-}3 \mu\text{m}$). This could explain such a shift in our dataset. It is worth noting that the two
564 LOACs, the FSSP and the GRIMM (despite the $12 \mu\text{m}$ cut-off of its sampling inlet) all report particle
565 concentrations larger than 10^{-3}cm^{-3} around $20 \mu\text{m}$ in diameter. Both LOAC flights have detected similar
566 concentrations of particles in the channels larger than $22 \mu\text{m}$ in diameter. Although the GRIMM
567 counter on board the ATR42 aircraft could sense particles up to $32 \mu\text{m}$, it did not report such larger
568 grains, most probably because of the difficulty to collect and carry them up to the instrument inside
569 the aircraft cabin.

570 In Figure 13, the LOAC-derived size distributions were converted to volume concentrations
571 assuming spherical particles, using the mean volume diameter of each size class (Renard et al., 2016a),
572 and integrated over the whole vertical. **The LOAC volume size distribution is compared to that derived**

573 from the AERONET remote-sensing photometer during the 15-30 June 2013 dust events. On average,
574 the AERONET and LOAC data are in good agreement regarding both the overall amplitude of the
575 concentrations, and the position and the concentration of the coarse mode at about 3 μm in radius.
576 The better agreement is on the 16 June morning; the discrepancies for the other dates could be due
577 to the local variability of the plume content since the LOAC and AERONET measurements are not
578 conducted at the same time. Nevertheless, strong discrepancies sometimes occur for the smallest sizes
579 (below 0.4 μm in radius) and for the largest sizes (above 10 μm in radius). The small-radius
580 discrepancies could be due to local variability in the dust content, like on the 27 June when AERONET
581 retrieves a concentration increase centred on 0.25 μm in radius, and to respective uncertainties of
582 both methods. On the other end of the particle size range, AERONET retrieval is not very sensitive to
583 the particles larger than 7 μm in radius and the largest size class considered in the algorithm (15 μm in
584 radius) is limited to particles smaller than about 19.7 μm in radius (Dubovik and King, 2000; Hashimoto
585 et al., 2012). Thus, LOAC could have detected large particles that were not retrievable from AERONET
586 observations.

587 Since the concentration of these large particles is low and subject to large uncertainties, the
588 analysis of this mode from measurements during LBD flights is limited. Long duration measurements
589 performed at constant altitude using the LOAC instrument on BLPB gondola with much longer
590 integration time are better adapted to evaluate the concentration of these large particles (with an
591 accuracy as good as 25%) and to discuss this third, giant size mode.

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593

594 **4. Temporal evolution of the dust aerosol concentration and particle size distribution at** 595 **constant altitudes**

596

597 Figure 14 presents results from the BLPB flights performed inside dust plumes. In particular,
598 the 27-28 June and the 2-3 July BLPB flights were the longest ones, with duration of about 1 day. Day-
599 night transitions were thus encountered, leading to a decrease in float altitude during the night of
600 more than 100 m due to the cooling of the balloon gas and associated loss in buoyancy, so that the
601 night-time and daytime measurements were not conducted in exactly the same air mass.

602 The slow speed ascent of the 19 June and 3 August BLPBs allowed us to obtain two additional
603 fine-resolution vertical profiles in the lower troposphere. The LOAC aerosol concentration values
604 obtained during the BLPB ascent on 19 June are in good agreement with the LBD ascent measurements
605 conducted at the same time (Figure 9, lower right panel). In particular, LOAC has well captured the
606 vertical variation of the dust plume concentrations, with a local minimum at an altitude of 2 km.

607 During most of the flights, particles larger than 40 μm in diameter (last LOAC channel) were
608 detected. The concentration of these particles depends mainly on the intensity of the event, but the
609 highest concentration was detected in the free troposphere on 19 June, with about 10^{-4} particles per
610 cm^3 at an altitude of 3.3 km. It can be noticed that concentrations of 10^{-3} particles per cm^3 were
611 detected at ground at the same date, as shown in Figure 7.

612 The dust particle volume size distributions were computed by integrating data over more than
613 a minute at a constant altitude. The mean diameter of the last channel was assumed to be 50 μm ,
614 although the size range is 40-100 μm , because the concentrations strongly decrease with size and most
615 of the particles thus have a diameter close to the lower limit of the size class. Those volume
616 distributions were then fitted with a 3-mode log-normal model using a least-square procedure. The
617 three-fitted volume modal diameters (D_m) have been found at about 0.2, 4 and 30 μm as illustrated
618 in Figure 15. Note, however, that only the decreasing part of the first (small) mode is captured by LOAC
619 and the corresponding modal diameter could therefore be misestimated. There is also some
620 uncertainty on the third (large) mode related to the assumed upper limit of the measurement size
621 range and to the possible under-sampling of the upper tail of the size distribution; thus this mode value
622 may be a lower limit.

623 Figure 16 shows the evolution of D_m for the three modes fitted from the 3 pairs of BPCL LOAC
624 data obtained during the 15-19 June dust event. BLPB flights lasted between 6 and 11 hours. No
625 significant temporal trend can be pointed out for D_m , meaning that the size distribution remains
626 almost constant over hours. Thus, it seems that no significant sedimentation has been detected during
627 the flights at quasi-constant altitude even for the very coarse mode at about 30 μm in diameter.

628 Table 3 gives the values of D_m for the 3 modes at float altitude for the 6 BPCL flights in dust
629 layers during the 16-19 June event; the average values are 0.26, 3.7 and 30.4 μm , respectively. Values
630 for the 3 modes are very comparable from one balloon to the other with a small variability of about
631 15%, likely not significant given the uncertainties of the fitting. The flights inside the other dust events
632 confirm the presence of large particles in a giant mode at about 30 μm in diameter. Nevertheless, some
633 variations in aerosol concentrations often occurred. They are due to changes in the balloon altitude
634 during the day-night transition for the 27-28 June and the 2-3 July flights, to a non-constant altitude
635 for the 28 June flight, and to a slow ascent during the 3 July flight. These variations of concentration
636 are thus probably related to vertical variations of the dust plume layer. Indeed, lidar profiles from
637 Minorca show a strong vertical structuration of aerosol layers (Chazette et al., 2016) that could be
638 associated with significant differences in aerosol composition, concentration and size distribution.
639 Hamonou et al. (1999) first documented the multi-layered African dust transport over the
640 Mediterranean basin with variable source regions of mineral dust particles found in different layers of
641 the plume.

642 The presence of the third, very coarse mode with D_m of the order of 30 μm may be related to
643 the existence of a mode in desert dust aerosols: a value of the same order ($D_m = 42.3 \mu\text{m}$) is assumed
644 in the model of background desert dust aerosol of Jaenicke (1987). Observations of a very coarse mode
645 are also reported by Weinzierl et al. (2009): particles larger than 20 μm were detected in nine out ten
646 cases of 49 pure dust layers observed in altitude over southern Morocco with wing-mounted airborne
647 optical particle counters. In 20% of the cases, particle sizes equal or larger than 40 μm and up to 80
648 μm were detected (with a detection limit of 10^{-2} cm^{-3}). They report an average volume median
649 diameter of the coarse mode of $15.5 \pm 10.9 \mu\text{m}$ near dust source region and a maximum value larger
650 than 60 μm in a case of strong convection. **Weinzierl et al. (2011) also report that particles more than
651 20 μm in diameter (but <30 μm) are still found at concentrations $>10^{-2} \text{ cm}^{-3}$ in 1/3 of 24 dust transport
652 cases documented over the eastern tropical North Atlantic.** The better sensitivity of LOAC may explain
653 why we report more systematically a coarse mode of dust particles above 20 μm in diameter. However,
654 the persistence of such large particles, lifted several days ago, and their transport above the
655 Mediterranean basin is not well understood given their large theoretical settling velocity.

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657

658 5. Discussion related to dust sedimentation

659

660 According to the Stoke's approximation that equates the effective weight of spherical particles
661 and the viscous resistance of the fluid through which it moves (Stokes, 1851), the gravitational settling
662 velocity V_g of dust particles is proportional to the square of their diameter. Assuming a classical density
663 value of 2.5 g cm^{-3} for spherical dust particles (Dulac et al., 1989; Zender et al., 2003; Linke et al., 2006),
664 V_g is thus about 0.0076, 0.19, 0.76, 3.0, 6.8, and 19 cm s^{-1} for particles of 1, 5, 10, 20, 30 and 50 μm in
665 diameter, respectively, implying a downward transport ranging from about 6.6 to 16 400 m d^{-1} .
666 Particles larger than 12.3 μm have a sedimentation velocity larger than 1 000 m d^{-1} . This is supposed
667 to yield a quick segregation and a rapid evolution of the dust particle size distribution in the first days
668 (and even hours) of transport after lifting from the dust source region (e.g., Schütz et al., 1981; see
669 also Figure 1 in Foret et al., 2006). Dust-loaded air masses transported northward from Africa above
670 the marine atmospheric boundary layer in the western Mediterranean are known to be associated
671 with warm fronts and to experience a significant upward synoptic movement (Prodi and Fea, 1979;
672 Reiff et al., 1986). Dulac et al. (1992a and b) report that during a typical summer dust episode the
673 turbid air mass ascending velocity was on average of the order of 1.5 to 1.8 cm s^{-1} for 4 days, i.e. was
674 more than compensating the average deposition velocity of the bimodal dust particle size distribution

675 observed in Corsica during this event, with 2 modes at 2 and 13 μm . This is not enough, however, to
676 explain the relatively constant dust particle size distribution observed during BPCL flights: accounting
677 for an average upward air mass vertical velocity of 1.5 cm s^{-1} that would counteract gravitation, a 4-
678 km thick dust layer should anyway lose by sedimentation all particles larger than 30 μm in about 1 day.

679 According to Slinn (1983; eq. 160), the flux-mean deposition velocity ($\langle V_d \rangle$) of a lognormal
680 distribution of particles of modal diameter D_m and geometric standard deviation σ_g can be derived
681 from $\langle V_d \rangle = V_d(D_m) \sigma_g^{2\text{Ln}(\sigma_g)}$. Using this formula, we can derive that the 3-fitted dust particle size modes
682 shown in Figure 15 have a respective gravitational settling velocity of about 0.0011, 0.50, and
683 8.1 cm s^{-1} , corresponding to a negligible downward transport by sedimentation of about 1 m d^{-1} for the
684 finest mode, but to 430 m d^{-1} for the intermediate mode, and as much as 7 000 m d^{-1} for the largest
685 one. Figure 14 does not show any significant systematic evolution of the concentration of the different
686 modes. New particles sedimenting from turbid layers above the balloon might compensate for the
687 sedimentation of particles from the intermediate coarse mode with D_m of about 4 μm during our 1-d
688 or less balloon flight times. However, we should definitely observe a significant decrease in the
689 concentration and median size of the very coarse mode with $D_m \approx 30 \mu\text{m}$. Figure 16 does not show any
690 evidence of a decrease in the very coarse mode median diameter.

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693 **6. Indirect detection of possible charged particles**

694

695 Laboratory tests have shown that the LOAC photodiode and electronics are sensitive to
696 electromagnetic fields, as those generated by radio telemetry, by strong atmospheric electric activity
697 (e.g., during thunderstorms), and even by an electrical bay. In these cases, the electronic noise and the
698 electronic offset both increase. The offset also increases with increasing ambient temperature.

699 LOAC performs measurements of the electronic noise and offset every 15 min when the light
700 source is switched off (Renard et al., 2016a). During a typical LDB flight, the LOAC electronic offset
701 slightly decreased with altitude due to the decreasing temperature encountered during the balloon
702 ascent. **In contrast, an offset increase coincident with the increase in dust particle concentration was**
703 **detected for 5 flights when crossing a dust plume, as shown in Figure 17. Such an offset increase was**
704 **never observed outside the plumes.**

705 **Laboratory tests have shown that indeed the LOAC electronics is very sensitive to**
706 **electromagnetic fields, with an increase of the offset.** These offset increases may be related to the
707 presence of local strong electromagnetic fields inside the plume, although it is not possible to retrieve
708 their strength with such kinds of measurements. It is known that the aerosol generation from both
709 mineral dust powders (e.g., Johnston et al., 1987; Forsyth et al., 1998) and arid soils (e.g. Ette, 1971;
710 Farrell et al., 2004; Sow et al., 2011) produces charged particles, and that electrical charges in
711 sandstorms perturb telecommunication transmissions (e.g. Li et al., 2010 and references therein). The
712 presence of electric field in dust aerosol layers was indeed proposed by Ulanowski et al. (2007) to
713 explain the alignment of non-spherical particles and polarization effects in a dust plume over the
714 Canary Islands. Nicoll et al. (2011) also report charged particles within Saharan dust layers with two
715 balloon soundings performed above Cape Verde Islands.

716 We suggest that electric forces within the dust layers could contribute maintaining in levitation
717 coarse particles that would otherwise expected to sediment down. Future balloon campaigns with
718 LOAC measurement in parallel with an adequate instrument retrieving accurately the atmospheric
719 electric field could consolidate these previous studies. This looks as an important perspective to
720 consider since the local electric field in dust plume might be at least partly responsible for the non-
721 sedimentation of large particles resulting in much longer transport than expected.

722
723

724 **7. Conclusions**

725

726 The in-situ LOAC balloon-borne measurements above the Mediterranean basin in summer 2013 have
727 allowed us to document both the vertical extent of the dust plumes and, for the first time to our
728 knowledge, the time-evolution of dust concentrations from several hours to one day in a quasi-
729 Lagrangian way at constant altitude. Whenever possible, LOAC observations were compared to the
730 measurements done by other platforms, like the ATR-42 aircraft which embarked various aerosol
731 counters, and a backscattering lidar located close to the balloon launching area. Given the limits and
732 uncertainties associated with each measurement system, the agreement was satisfactory, which gave
733 us confidence in the LOAC aerosol distributions. LOAC has often detected the presence of particles
734 larger than 40 μm , with concentrations up to 10^{-4} particles per cm^3 , and the fitting of volume size
735 distribution ended up in a coarse mode at 3-4 μm in diameter and a giant mode at about 30 μm . Such
736 large particles should have been lifted several days before, and at least 1 000 km far from our
737 measurements. Their transport over such long distances, not expected from calculations of dust
738 particle sedimentation, is yet not well understood. Indeed, the gravitational settling velocity of dust
739 particles between 12 and 40 μm in diameter spans from almost 1 to more than 10 km per day. An
740 indirect evidence of the presence of charged particles has been derived from the LOAC measurements
741 and we therefore hypothesize that electric forces within the dust plume might limit the sedimentation
742 of the coarse dust fraction.

743 ChArMEx was a unique experiment involving a large set of ground-based and airborne
744 instruments. Since 2014, regular LDBs with LOAC are launched twice a month from Aire-sur-l'Adour
745 (South-West of France, 43.71°N, 0.25°W) to monitor the aerosol content from the troposphere to the
746 stratosphere. Dust events were already occasionally detected; they will be used to document other
747 dust events than those of summer 2013 with the same instrument, and to confirm the presence of
748 both the large particles and the charged particles thanks to new developments of the instrumental
749 payload.

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Date (2013)	Time (UTC)		Altitude range (km)	Launch site
	start	end		
15 June	22:12	22:48	0.9 – 6.9	
16 June	10:37	11:14	2.0 – 12.1	
16 June	21:17	21:59	0.2 – 10.1	
17 June	10:02	10:41	0.1 – 11.4	
17 June	18:29	20:33	0.9 – 33.3	Cap d'en Font, Minorca Isl., Spain (39.88°N, 4.25°E)
18 June	16:35	18:41	0.2 – 35.4	
18 June	21:19	22:39	0.4 – 21.5	
19 June	10:15	12:03	0.8 – 30.7	
19 June	13:50	15:03	0.3 – 20.7	
28 June	05:38	07:54	0.6 – 36.0	
29-30 June	23:31	01:49	0.2 – 35.9	
30 June	14:03	15:46	0.1 – 26.8	
2 July	10:30	12:24	0.7 – 32.8	
27-28 July	23:13	01:17	0.3 – 33.5	Levant Isl., France (43.02°N, 6.46°E)
28 July	15:31	18:06	0.3 – 33.3	
3 August	11:04	12:35	0.3 – 21.7	
4 August	15:32	17:36	0.2 – 32.2	

Table 1. List of the 17 LOAC flights under Light Dilatable Balloons (LDB) flown during African dust plume events of the ChArMEx summer 2013 campaign.

Balloon #	Date (2013)	Time slot of LOAC data (UTC)		Drift altitude (km)	Latitude, longitude at end of flight	Flight length (km)	Ceiling duration (h)
		start	end				
B74	16 June	10:00	21:28	2.1	42.892°N, 05.229°E	361	11.3
B70	16 June	09:51	23:01	3.1	40.182°N, 06.128°E	164	12.6
B75	17 June	09:31	16:23	2.0	42.815°N, 03.811°E	362	6.4
B72	17 June	17:11	18:59	2.75	43.179°N, 04.800°E	377	7.0
B77	19 June	10:25	16:54	2.55	43.042°N, 04.833°E	369	6.0
B71	19 June	10:29	15:58	3.3	43.041°N, 05.151°E	363	3.6
B80	27-28 June	09:50	12:31	3.0	37.916°N, 12.145°E	719	25.3
B73	28 June	05:25	16:42	2.7	37.523°N, 08.830°E	512	11.2
B76	2-3 July	13:04	09:14	3.2	37.880°N, 12.109°E	717	19.3
B82	3 August	06:12	08:12	3.0	43.077°N, 06.662°E	45	1.4

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1143 **Table 2.** List of the 10 LOAC flights aboard drifting Boundary Layer Pressurized Balloons (BLPBs) flown
1144 during African dust plume events of the ChArMEx summer 2013 campaign. All balloons were launched
1145 from Minorca Isl. (Spain; 39.864°N, 4.255°N) except B82 that was launched from the Levant Isl. (France;
1146 43.022°N, 6.460°E).

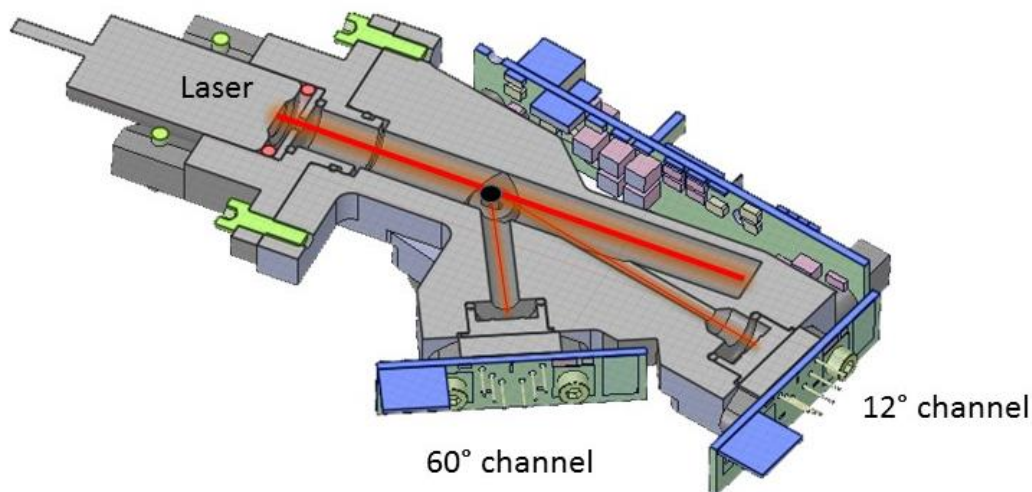
Date (2013)	Altitude (km)	Average volume median diameter (D_m , μm) \pm standard deviation (number of measurements)		
		Mode 1	Mode 2	Mode 3
16 June	2.1	0.22 \pm 0.02 (32)	3.6 \pm 0.8 (32)	30.6 \pm 3.4 (32)
	3.1	0.30 \pm 0.07 (31)	3.3 \pm 0.3 (31)	28.5 \pm 1.7 (30)
17 June	2.0	0.26 \pm 0.02 (17)	4.1 \pm 0.6 (17)	27.4 \pm 4.1 (17)
	2.8	0.24 \pm 0.02 (16)	3.3 \pm 0.5 (16)	30.9 \pm 5.9 (16)
19 June	2.6	0.25 \pm 0.01 (28)	3.5 \pm 0.6 (28)	32.8 \pm 4.7 (27)
	3.3	0.26 \pm 0.01 (48)	4.5 \pm 0.5 (48)	32.4 \pm 4.2 (41)
Average		0.26 \pm 0.04	3.7 \pm 0.4	30.4 \pm 2.8

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1149 **Table 3.** Average volume median diameter (D_m) of the three fitted aerosol particle modes and
 1150 respective standard deviation along BPCL flights at float altitude within dust layers, for the 6 BPCLs
 1151 launched from Minorca during the 16-19 June 2013 dust event. The time evolution for the three pairs
 1152 of BPCL flights during this period is shown in Figure 16. The average and standard deviation in the
 1153 bottom line are obtained by averaging the 6 above values.

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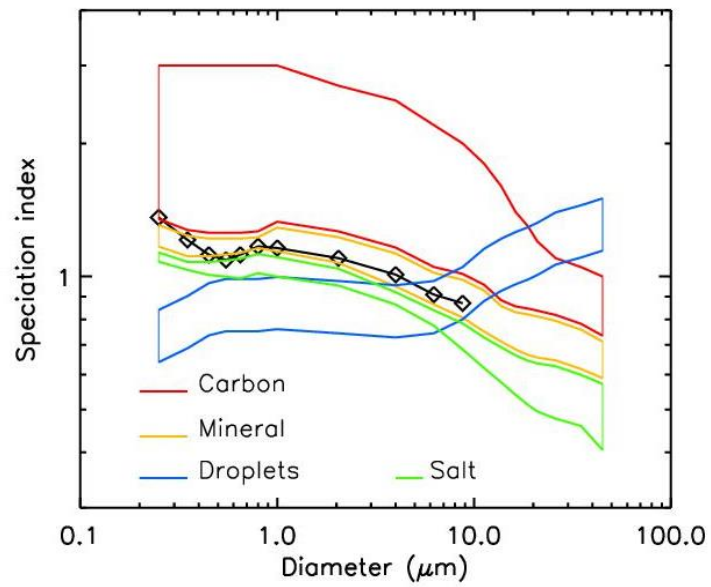
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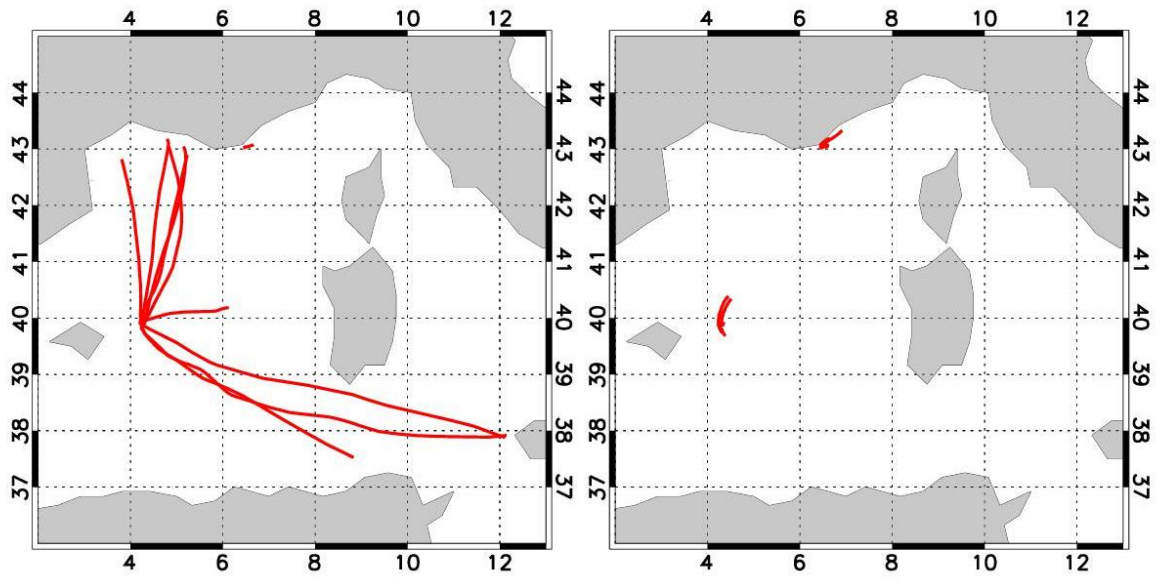
Figure 1. The LOAC instrument and principle of scattering measurements at two angles.

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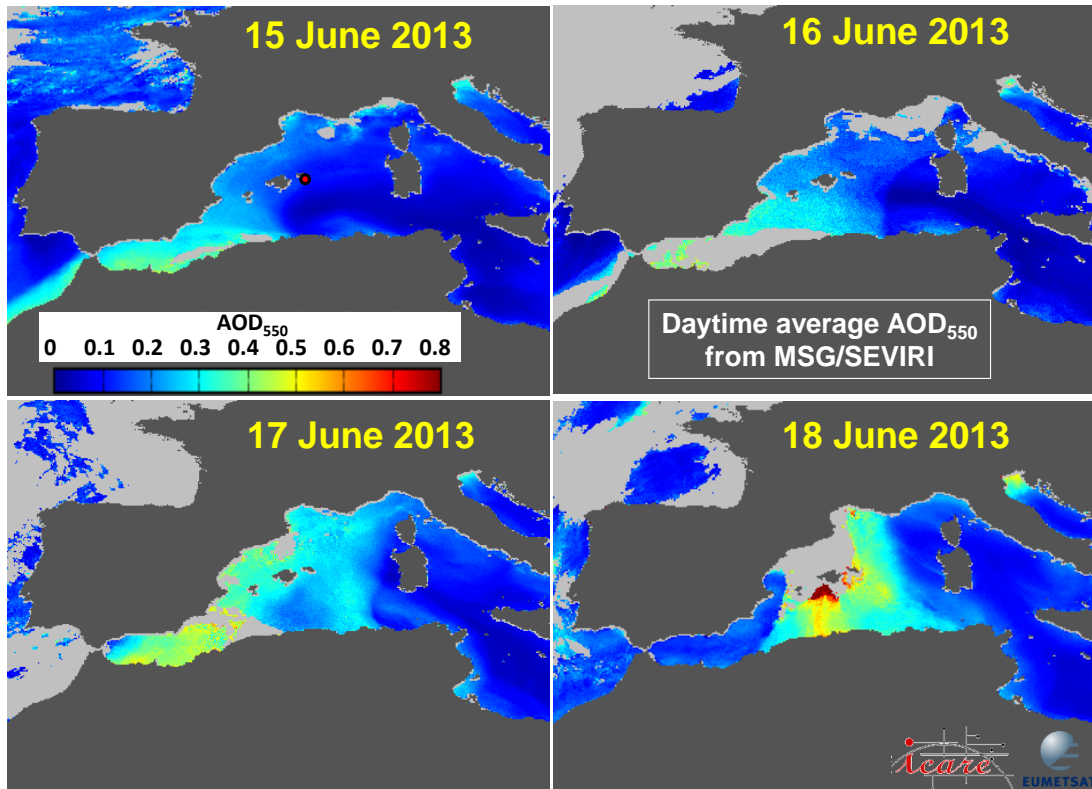
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Figure 2. “Speciation zones” obtained in laboratory for several types of particles (color lines) and an example of LOAC speciation index obtained during ambient air measurements inside a Saharan dust plume at an altitude of 3.1 km (18 June 2013, 18:15 UT) above Minorca, Spain (diamonds).



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Figure 3. Trajectories of the flights for the 10 BLPBs (left) and 17 LDBs (right).



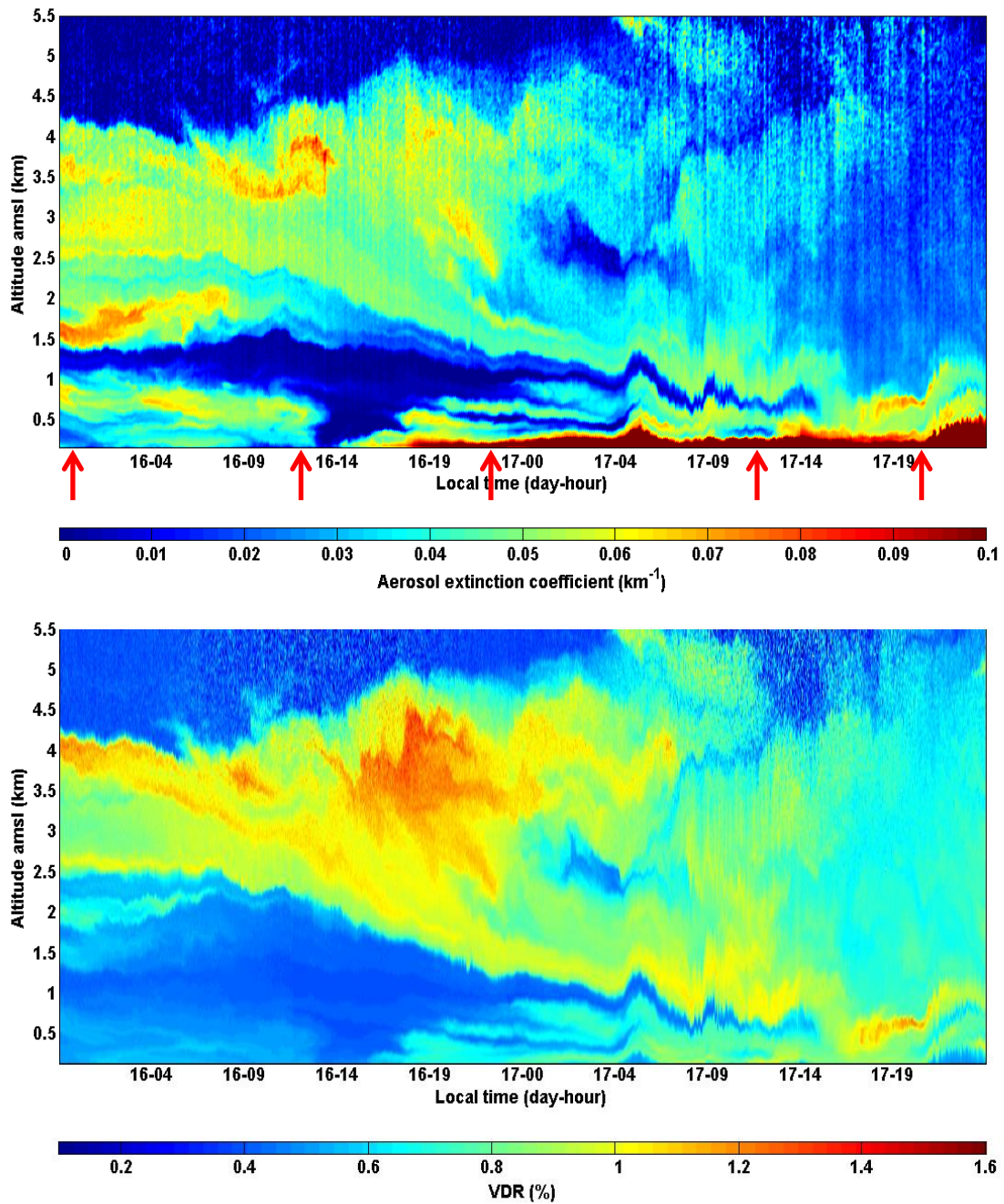
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1175 **Figure 4.** Daytime averaged MSG/SEVIRI-derived AOD at 550 nm over seawater from June 15 to 18,
 1176 2013, showing the synoptic development of the dust event. The product is computed by the ICARE
 1177 data and services center (<http://www.icare.univ-lille1.fr>) based on the algorithm of Thieuleux et al.
 1178 (2005). Lands are masked in dark grey and clouds over ocean in light grey. The red dot on the 15 June
 1179 image indicates the balloon launching site and remote sensing station on Minorca Island.

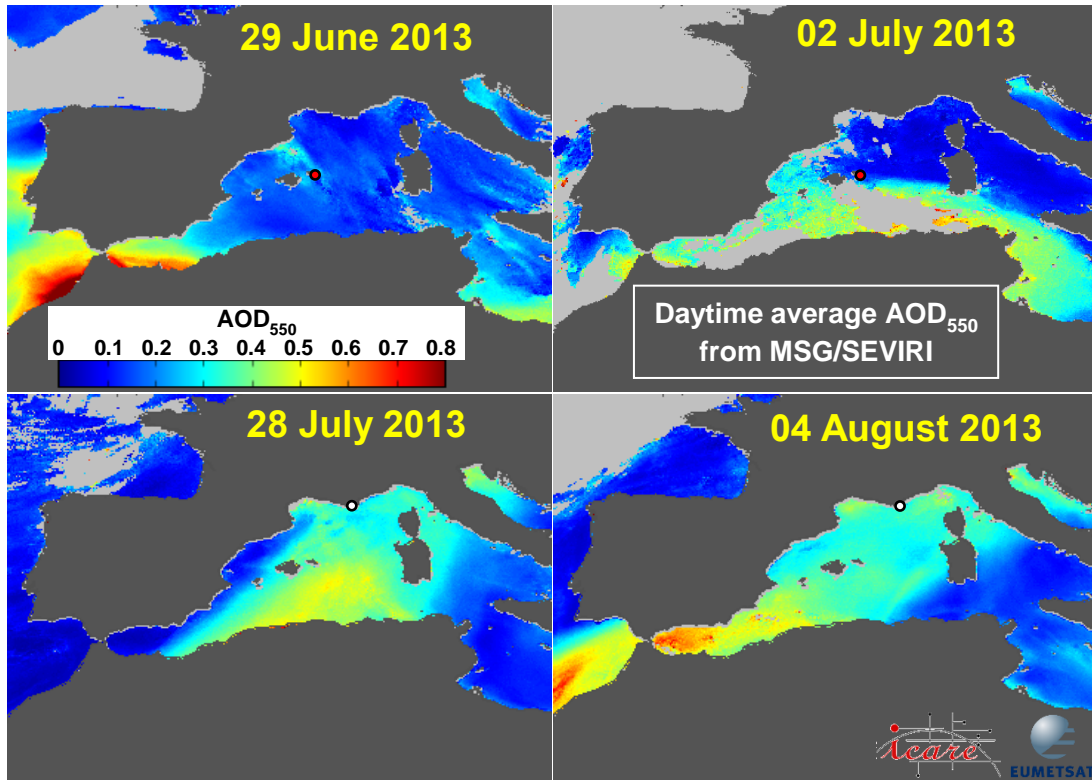
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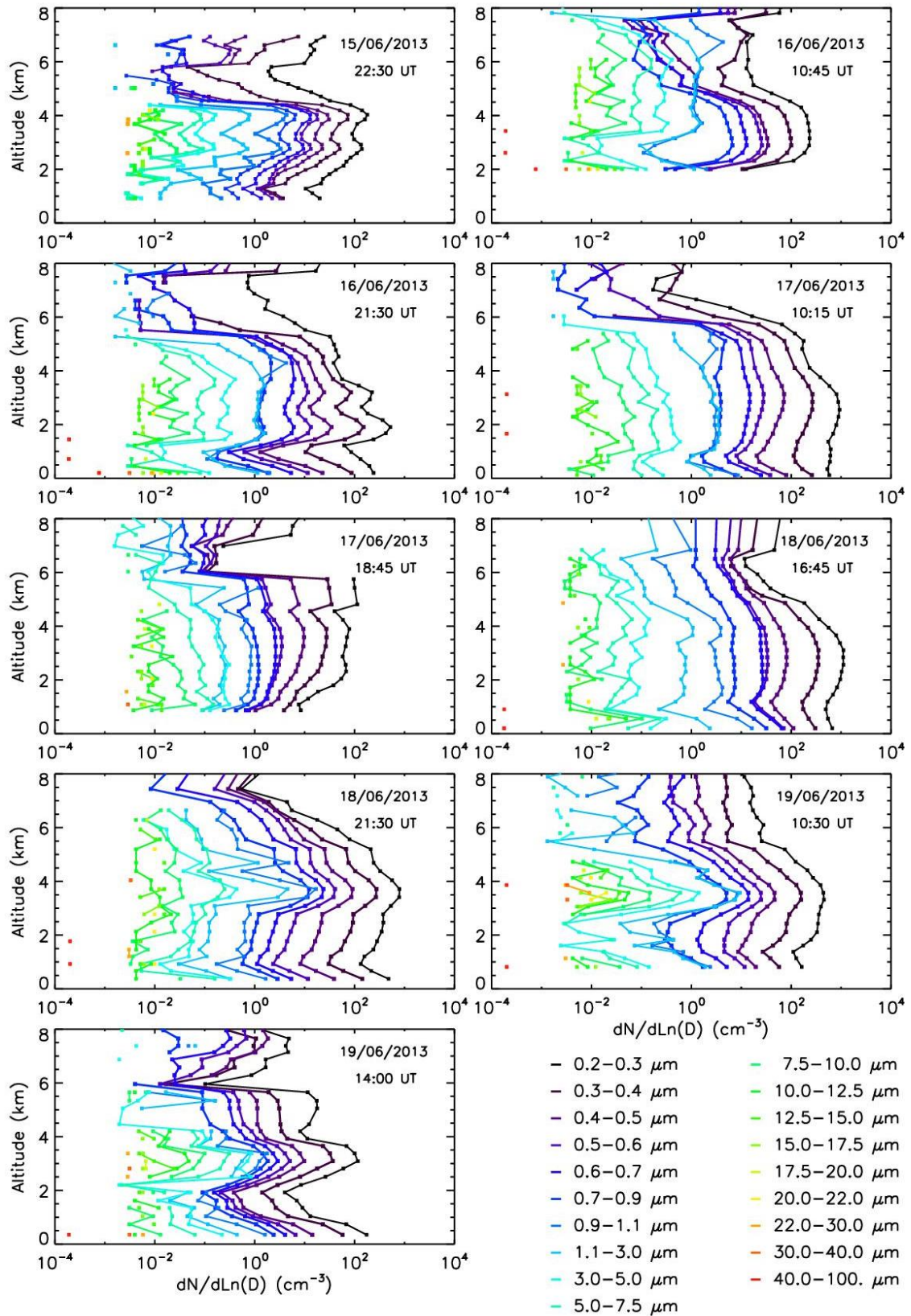
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Figure 5. Lidar-derived time-height cross-sections of the aerosol extinction (top) and volume depolarization ratio (bottom) at Minorca from June 15, 22:00 to 17, 24:00 UT. The red arrows indicate the time of the 5 LDB launches. High depolarization ratio indicates desert dust. Courtesy of P. Chazette and J. Totems, after Chazette et al. (2016).



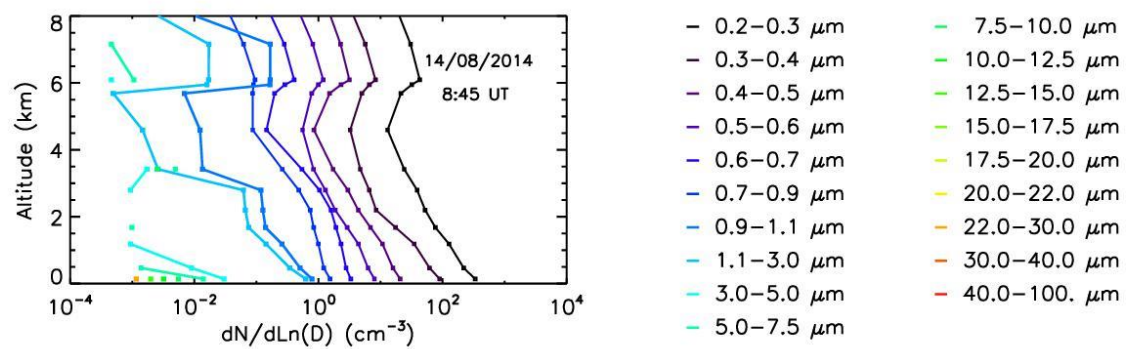
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Figure 6. Daytime averaged MSG/SEVIRI-derived AOD at 550 nm over seawater on 29 June, 2 and 28 July, and 2 August 2013. The product is computed by the ICARE data and services center (<http://www.icare.univ-lille1.fr>) based on the algorithm of Thieuleux et al. (2005). Lands are masked in dark grey and clouds over ocean in light grey. The red dot on the 29 June and 2 July (top) images indicates the balloon launching site and remote sensing station on Minorca Island, and the white dot on the 28 July and 2 August (bottom) images indicates the balloon launching site on the Levant Island.



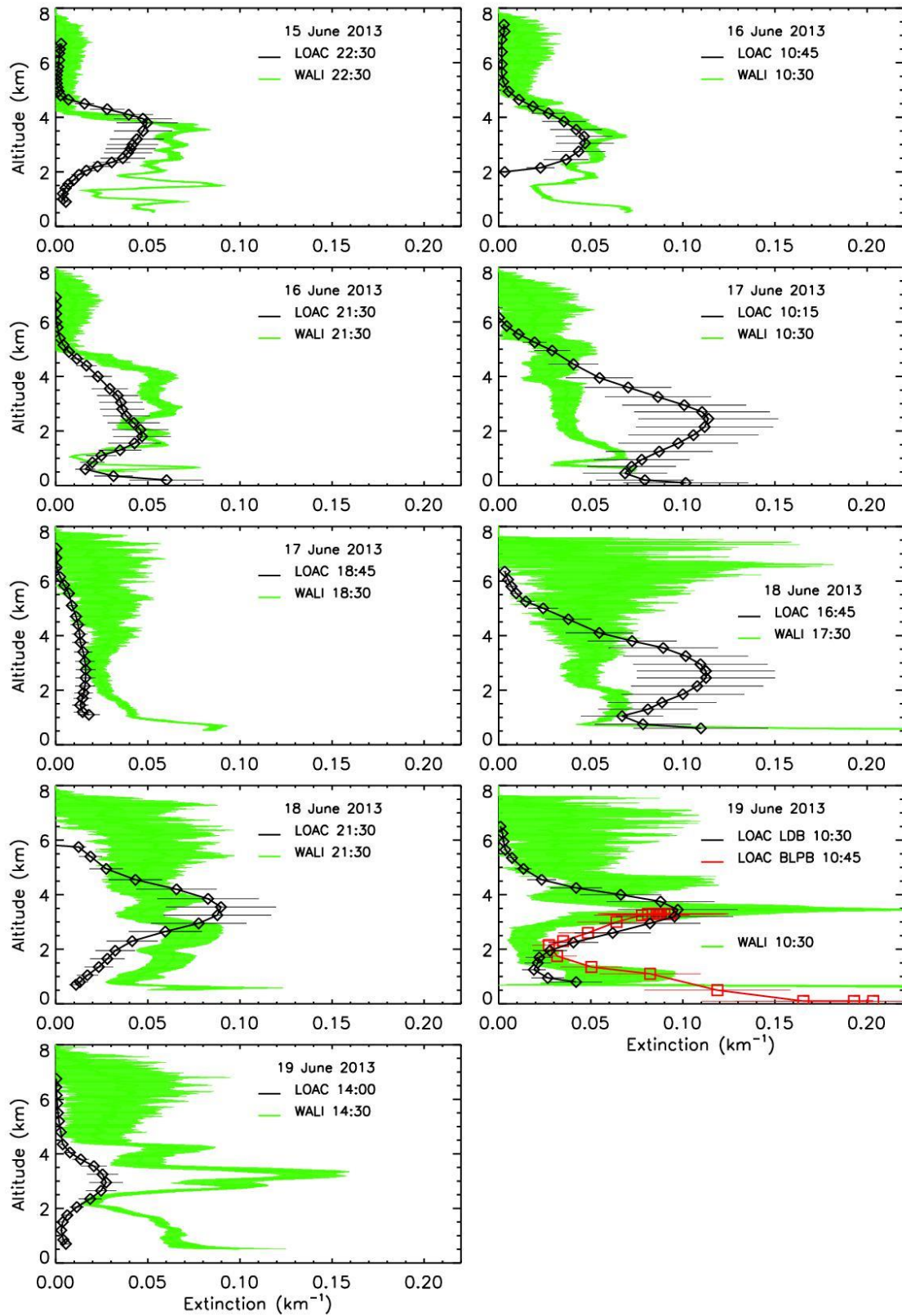
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Figure 7. Evolution of the dust plume from LOAC balloon measurements over Minorca, Spain, from 15 to 19 June. The ascent from 0 to 8 km takes about 30 min and the reported times of measurement are taken at the middle of the profile.



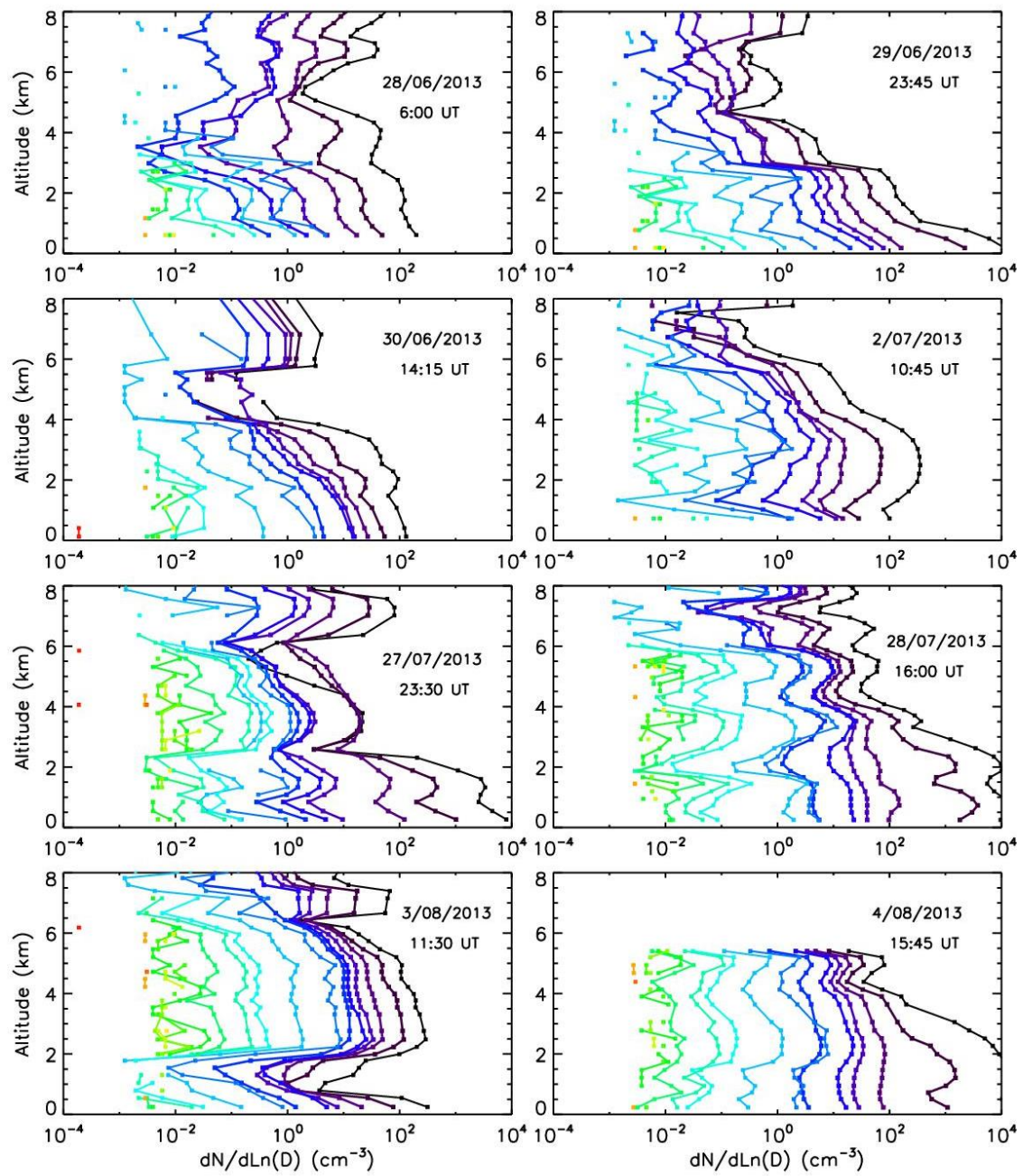
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Figure 8. Typical vertical profile when no dust is present; flight from Aire sur l'Adour (South West of France), on 14 August 2014.



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Figure 9. Comparison between LOAC extinctions and WALI lidar extinction at 350 nm. All the WALI profiles obtained between -30 min. and +30 min. of the given times are plotted. The LOAC error bars consider the uncertainty on the LOAC measurements and on the counting-extinction conversion; the WALI error bars are calculated from the individual measurement scatter.



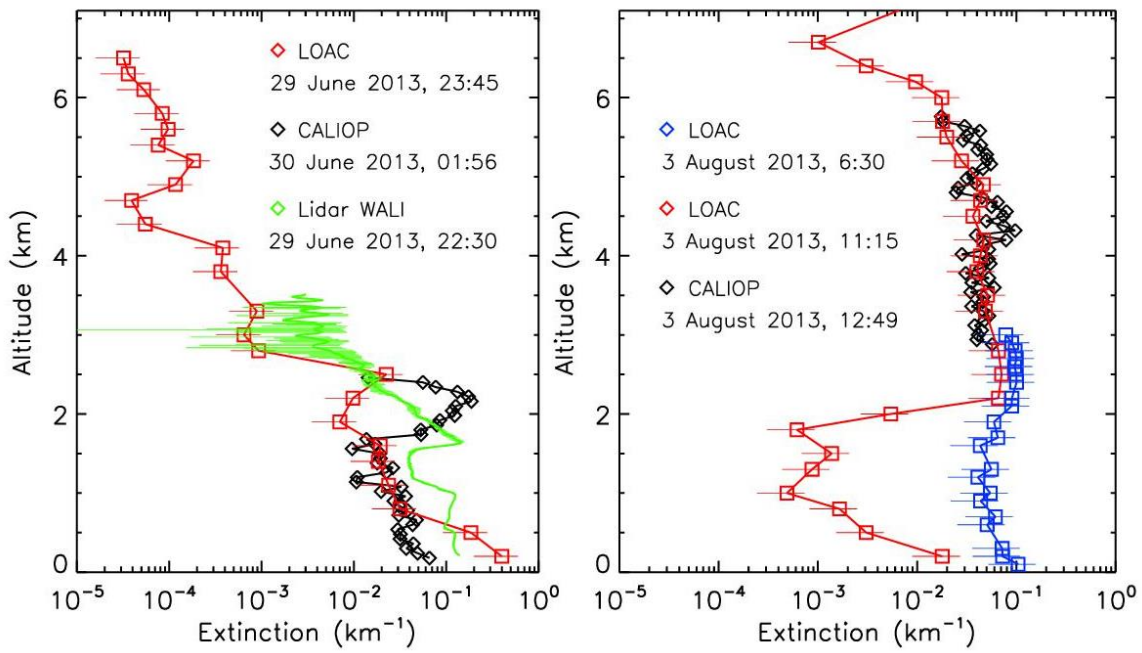
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Figure 10. Same as Fig. 7 but for other sand plume events observed over Minorca (27 June – 2 July) and Ile du Levant (27 July - 4 August).



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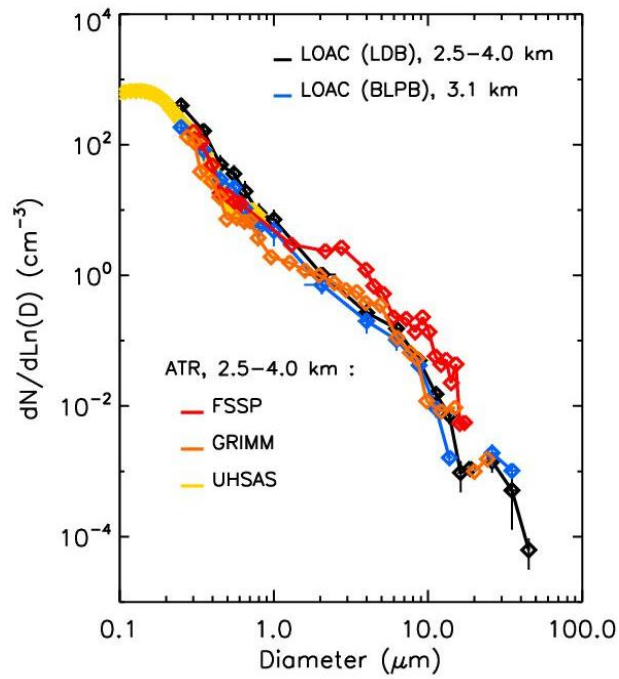
1225 **Figure 11.** Left, vertical profiles of aerosol extinction from LOAC, CALIOP and WALI for the 29-30 June

1226 event, above Minorca; right, vertical profiles of aerosol extinction from LOAC (LDB and BLPB flights)

1227 and CALIOP for the 3 August event above Ile du Levant.

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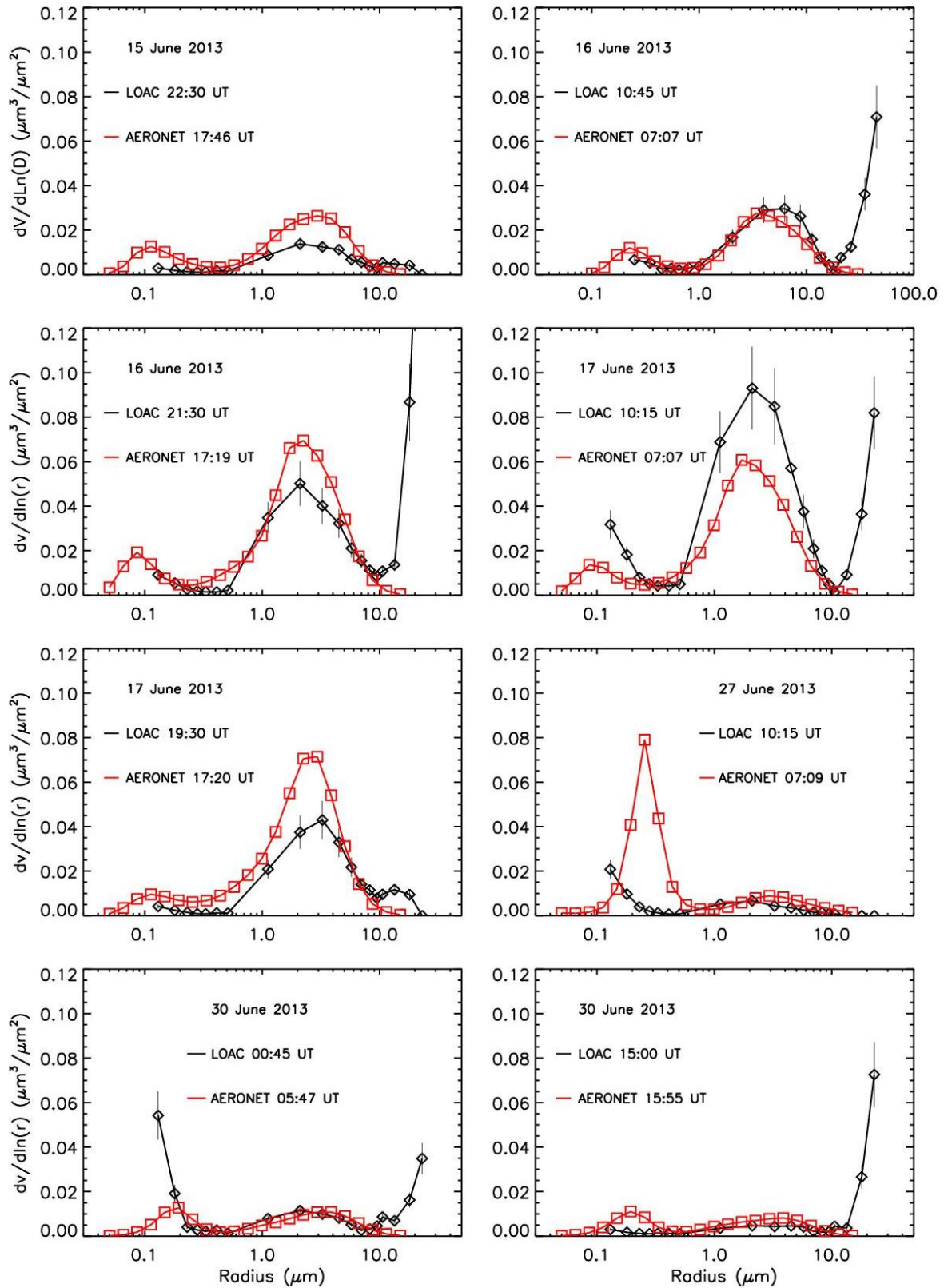
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1232 **Figure 12.** Comparison of the particle size distributions during the 16 June dust plume event over
 1233 Minorca, obtained with LOAC instruments under balloons and particle counters on board the ATR-42
 1234 aircraft; measurement altitudes are given.

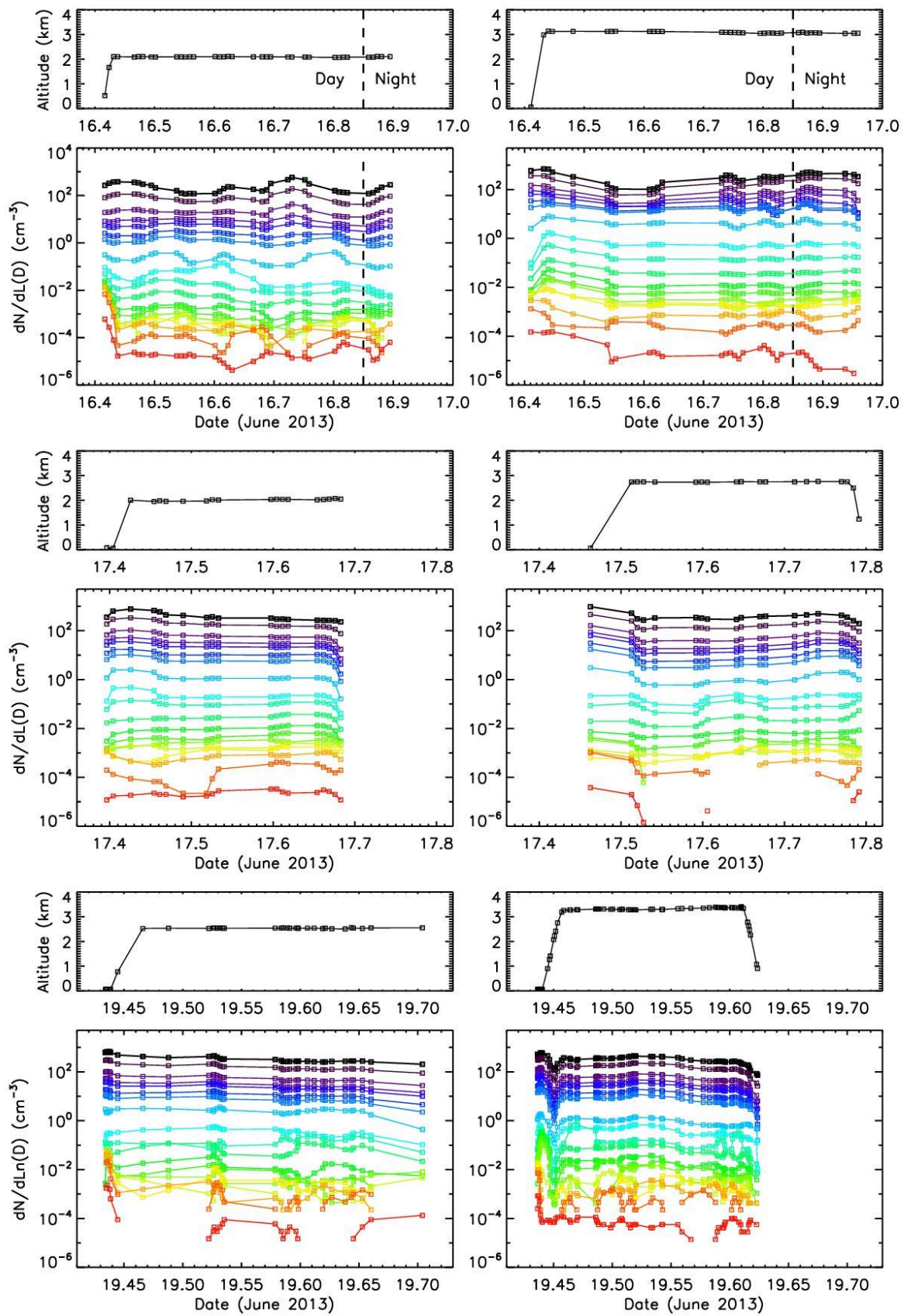
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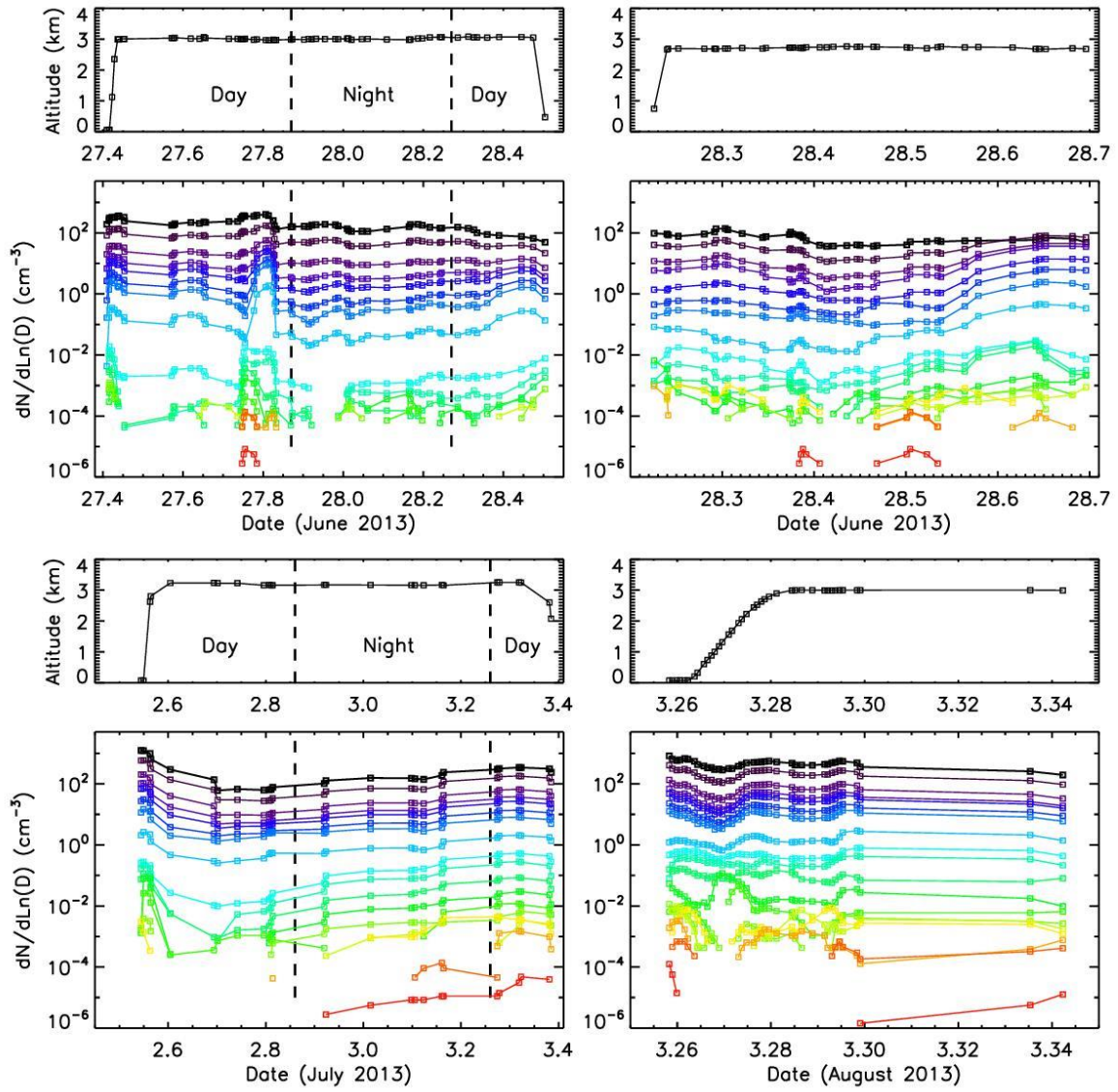
Figure 13. Volume size distribution retrieved from AERONET (<https://aeronet.gsfc.nasa.gov/>) and LOAC data on Minorca during the June 2013 plume events.



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Figure 14. Each pair of graphs represents the time series of flight altitude (top) and LOAC-derived aerosol concentration for the 19 size classes (bottom), for BLPB flights from Minorca towards French coast. Colour coding is as in Fig. 7. Day-night transitions are indicated by dashed lines when appropriate.

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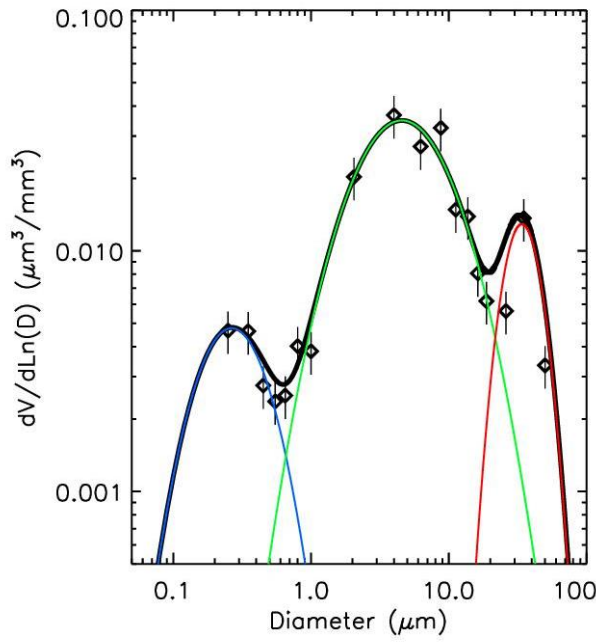
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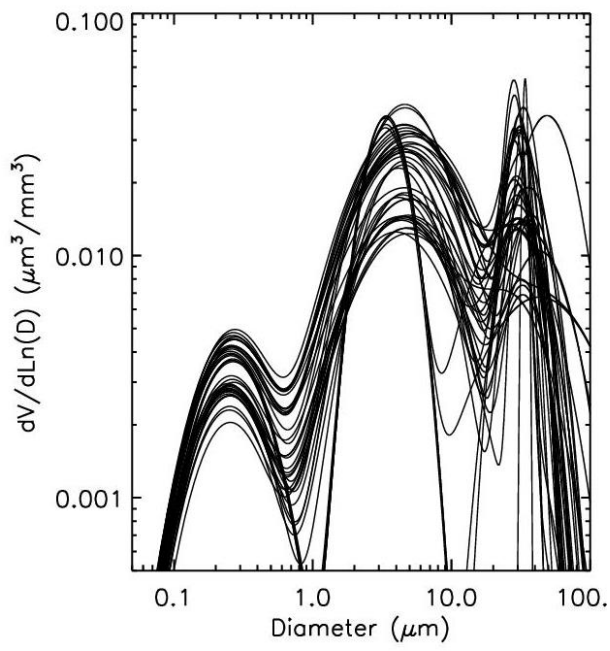
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Figure 14, continued.



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Figure 15. Left: Example of particle volume size distribution within the desert dust plume from the BLPB flight of 19 June 2013 at an altitude of 3.3 km, from one measurement at 12:30 UT. The black diamonds are the LOAC measurements (with $1\text{-}\sigma$ error bars), the coloured curves represent the lognormal functions for each of the observed modes, and the black curve represents the overall fit (sum of the 3 modes). The geometric mean diameters (D_m) of the 3 modes are of 0.27, 4.6 and 34 μm , with respective geometric standard deviations (σ) of 1.79, 2.14 and 1.35. Right: The 41 fitted size distributions when the third mode was detected, retrieved from all measurements during the 19 June BLPB flight at float altitude.

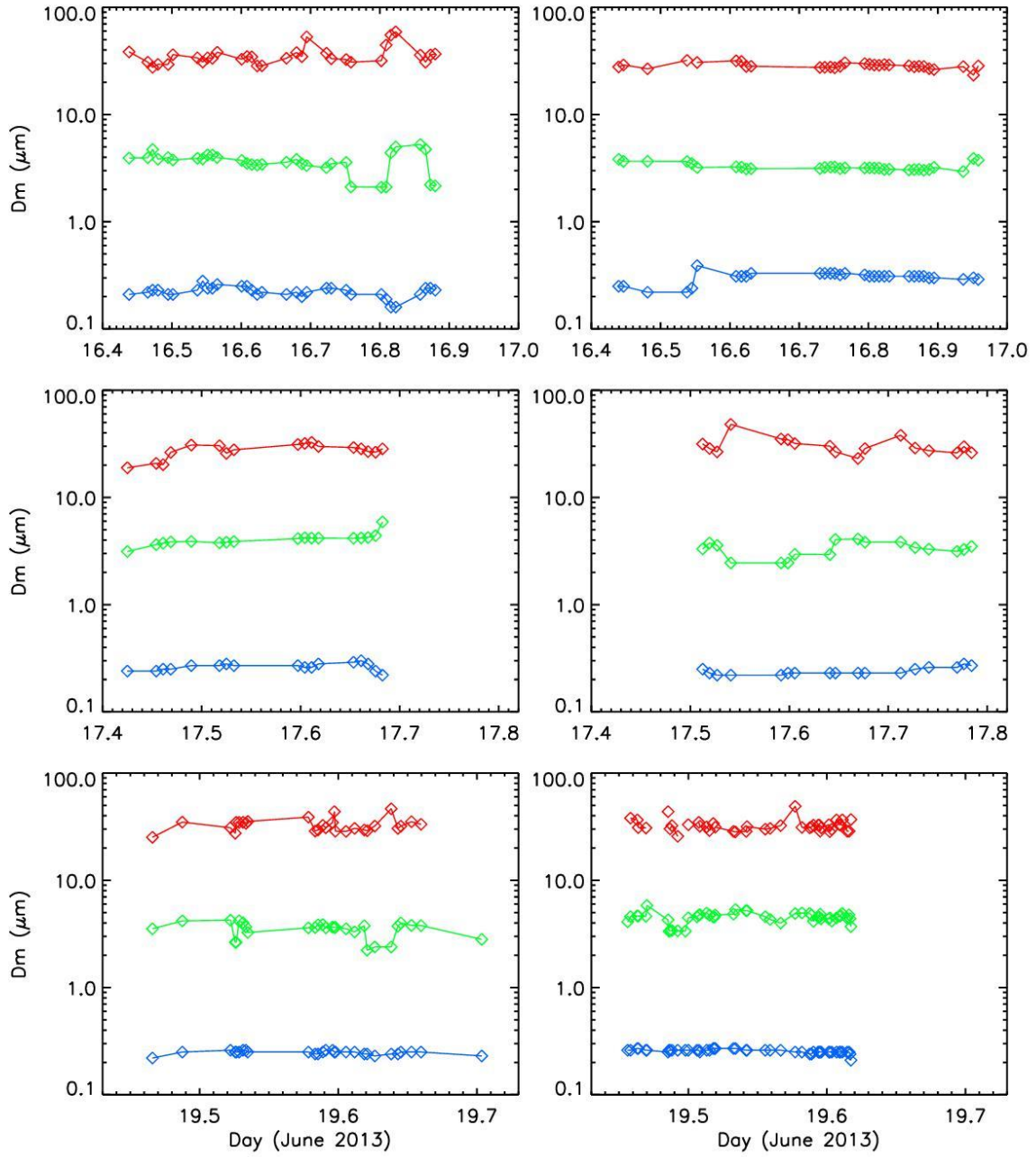


Figure 16. Time-evolution of the particle size at the maximum concentration for each mode (D_m) of the volume size distribution, at float altitude of BLPB flights from Minorca towards French coast. The altitudes are 2.1 and 3.1 km for the 16 June flights (top left and right, respectively), 2.0 and 2.7 km for the 17 June flights (middle left and right, resp.), and 2.5 and 3.3 km for the 19 June flights (bottom left and right, resp.). Average D_m values of the 3 modes during each flight are given in Table 3.

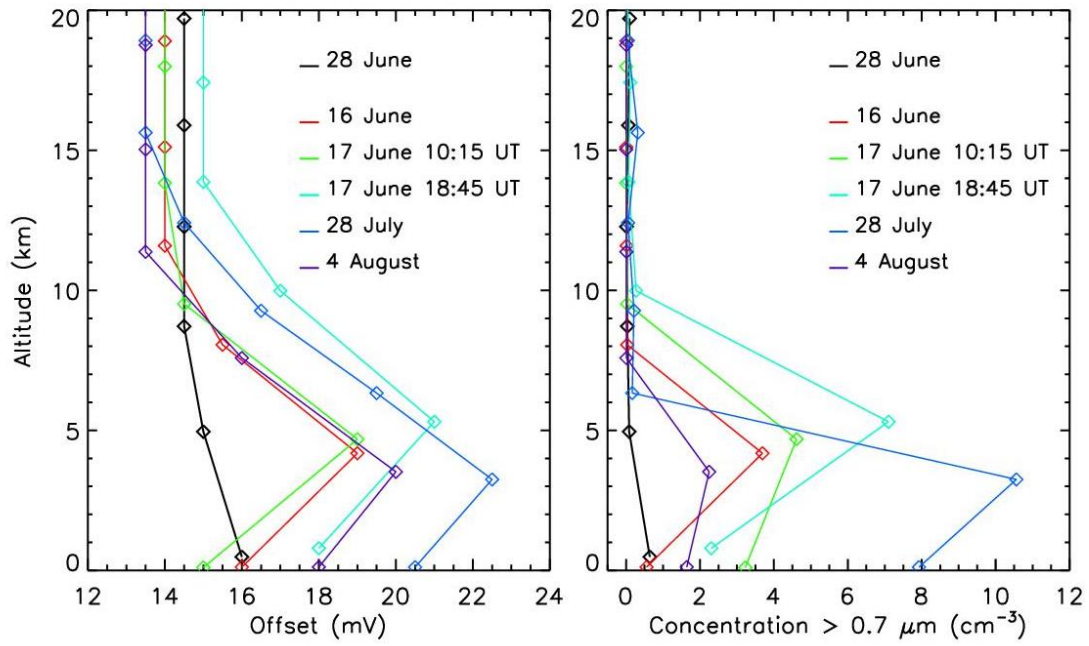


Figure 17. Left: Profiles of the LOAC electronic offset in case of crossing a strong dust plume (16 June, 17 June mid-time and evening, 28 July and 4 August) and in case of a weak dust plume just close to ground on 28 June. Right: Profiles of number concentrations of dust particles larger than $0.7 \mu\text{m}$ for the same flights.