On the Freezing Time of Supercooled Drops in Developing

2 Convective Clouds

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12 Abstract

- 14 <u>model. Aircraft measurements during the Ice in Clouds-Tropical (ICE-T) project suggest that the</u>
- 15 observed ice particles in intense convective clouds are primarily small at relatively warm
- 16 temperature (between -7 °C and -10 °C), and Jarge frozen drops are detected at a colder
- 17 temperature than -10 °C. However, the ice particle size distributions (PSDs) between -7 °C and -
- 18 10°C modelled using a parcel model containing a spectral bin microphysics scheme are much

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40	broader than the observations. To interpret the difference in the temperature-dependent ice FSD
41	evolution between the model simulations and the observations, the freezing times and
42	temperatures of supercooled drops are modeled and interpreted. The results indicate that the
43	freezing time (from the initial nucleation to fully frozen) must be considered; it is not
44	instantaneous, and is longer for large drops than for small drops. In strong updrafts, such as that
45	sampled by the Learjet during ICE-T, large freezing drops can be carried upwards to a lower
46	temperature than their nucleation temperature before they are fully frozen. This offers a feasible
47	explanation for the temperature-dependent ice particle size evolution in strong updrafts observed
48	during JCE-T. In models, drop freezing is normally assumed to be instantaneous, which is not
49	realistic; the models yields broader ice PSDs between -7 °C and -10 °C than is observed. The
50	results highlight the importance to consider the freezing time of supercooled drops in interpreting
51	the observed and modelled ice PSDs in fresh developing convective clouds and in modelling ice
52	generation in cloud resolving models. To better understand the mechanisms of drop freezing and
53	ice initiation in convective clouds, more laboratory experiments and in situ measurements are
54	needed

1. Introduction

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- Ice initiation in convective clouds is still not well understood (Cantrell and Heymsfield, 2005; 56
- 57 Lawson et al., 2015; Yang et al., 2016), and it remains one of the main sources of uncertainties in
- 58 numerical models (Khain et al., 2015). Observations suggest that ice initiation in convective
- 59 clouds is strongly related to the freezing of supercooled drops and the size of the freezing drops
- 60 (Rangno and Hobbs, 2005; Lawson et al., 2015; Yang et al., 2016; Field et al., 2017).
- 61 Supercooled drops do not fully freeze instantaneously, and drops at the early stage of freezing
- 62 usually have little if any deformation (Johnson and Hallett, 1968; Murray and List, 1972;

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96 Hindmarsh et al., 2003). The freezing rate of supercooled drops depends on the rate of heat 97 transfer between the drop and ambient air (Pruppacher and Klett, 2010). Typically, the freezing 98 process comprises four stages (Hindmarch et al., 2003): 1) the supercooling stage, during which 99 a drop is supercooled to its nucleation temperature; 2) the recalescence stage, during which rapid 100 kinetic ice nucleation occurs, which results in a rapid rise in temperature that is terminated when 101 the drop temperature reaches 0 °C; 3) the freezing stage, during which the liquid part of a drop 102 continuously freezes and the drop temperature remains at 0 °C; and 4) the cooling stage, during 103 which the frozen drop cools to the ambient temperature. 104 A number of laboratory experiments have been performed to study the freezing of supercooled 105 drops. For example, Johnson and Hallett (1968) showed that the freezing time of supercooled 106 drops decreases with decreasing ambient temperature. They also showed that the freezing rate of 107 supercooled drops is related to the composition of the air and that the freezing time of a 108 millimeter-sized drop in helium and hydrogen is only one-fifth of that in air. Hindmarsh et al. 109 (2003) showed that the freezing time increases with increasing drop size. In addition, Hindmarsh 110 et al. (2003) used experimental results to discuss the accuracy of three drop freezing models: the 111 uniform temperature model, the inward freezing model and the outward freezing model. All 112 three of these models have fairly good accuracy in modeling drop temperatures and freezing 113 times, and there are only minor differences between them. 114 In most numerical weather prediction models (NWPMs) and global climate models (GCMs), the 115 freezing of supercooled drops is assumed to be instantaneous, because it is difficult to track the 116 freezing stage of every particle in models and because there are no good observations with which 117 to evaluate the modeled ice microphysics in detail. Phillips et al. (2015) implemented time-118 dependent freezing for raindrops in a cloud model using spectral bin microphysics (SBM). Their

Deleted: during airborne measurements, freezing drops cannot be observed until they have experienced obvious deformation

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127	sensitivity tests showed that time-dependent drop freezing delays the formation of hail in		
128	convective clouds; however, their model was unable to track the freezing stage of every particle.		
129	Using a simplified cloud parcel model and an electromagnetic scattering model, Kumjian et al.		
130	(2012) showed that the modeled radar polarimetric variables for convective clouds are more		
131	consistent with observations if time-dependent drop freezing is considered. However, drop		
132	freezing in fresh developing convective clouds, such as the freezing time and the temperature of		
133	supercooled drops, has rarely been discussed.		Deleted: in fresh developing convective clouds
134 135	Aircraft in situ measurements are <u>vital for improving</u> our current understanding of ice <u>generation</u> in convective clouds and to evaluate model simulations. Traditional in situ measurements can		Deleted: Thus, to better understand ice initiation in convective clouds and to evaluate the modeled microphysics, more observations are needed. Deleted: necessary to improve Deleted: initiation
136	rarely identify ice that is smaller than 100 µm in diameter. The 3-View Cloud Particle Imager		Deleted: 200
137	(3V-CPI) is a good tool with which to record <u>images of</u> small particles, and it can be used to		Deleted: image
138	identify the shapes of the small ice (Lawson et al., 2015). During the Ice in Clouds-Topical (ICE-		
139	T) project, the 3V-CPI that was operated on the SPEC Learjet yielded high-resolution particle		
140	images and particle size distributions (PSDs). The 3V-CPI measurements suggest that the		
141	observed ice at relatively warm temperature (about -8 °C) in fresh developing convective clouds		Deleted: "first ice"
142	are primarily small (Lawson et al., 2015); however, the results of some other studies have		Deleted: all
143	suggested that larger supercooled drops may freeze before smaller drops (Bigg, 1953;	******	Deleted: ice
144	Heymsfield, 2013). This raises the question: are models able to capture the characteristics of the		Deleted: why is the observed observed ice at relatively warm temperature "first ice" in convective updrafts small?
145	ice PSDs observed in developing convective clouds? Understanding the freezing time of		Deleted: Is
146	supercooled drops is helpful for interpreting the <u>difference between the</u> observed <u>and the</u>		Deleted: A
147	modeled ice PSDs in developing convective clouds. In addition, the aircraft observations are		Deleted: is
148	useful for evaluating the impact of instantaneous drop freezing on the modeled PSDs.		Deleted: to evaluate

166 This study aims to better understand the impact of the freezing time and temperature of large 167 supercooled drops on ice PSDs evolution in developing convective clouds. Observed PSDs in 168 developing convective clouds during the ICE-T project are used to evaluate the PSDs modelled 169 by a parcel model, and the deficiency of instantaneous drop freezing in the model simulations are 170 discussed based on the aircraft observation and quantitative calculations of the freezing time and 171 temperature of supercooled drops. This paper is organized as follows: Section 2 introduces the 172 dataset and the analytical method; Section 3 discusses the results; and a summary is given in 173 Section 4.

2. Dataset and Analysis Method

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2.1 Calculation of the freezing time of supercooled drops

177 series of heat transfer and phase change equations. These detailed equations have been described 178 in previous studies (e.g., Dye and Hobbs et al., 1968; Heymsfield, 1982; Hindmarsh et al., 2003). 179 The drop temperature <u>increase</u> is balanced by convective heat transfer (i.e., ventilation), radiation 180 and latent heat terms. In this calculation, a supercooled drop is assumed to be carried upward by 181 an updraft, which ascends adiabatically. The terminal velocity of the drop follows that defined by 182 Foote and Du Toit (1969). Diffusional growth is included but coalescence is neglected. 183 Coalescence is the key process by which large supercooled drops develop in convective clouds. 184 However, it is not necessary to include the coalescence process during the freezing of the drop in 185 the calculation of the freezing time of a single supercooled drop, because the freezing time is the 186 shortest assuming that drop size doesn't increase due to coalescence after freezing begins. The

drop size increase due to coalescence process results in a longer freezing time. In this study, we

The calculation of the freezing time and temperature of supercooled drops is governed by a

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201 prefer to examine the shortest freezing time of supercooled drop for a given size. The initial drop 202 temperature is the same as the ambient air temperature. The temperature inside the drop is 203 assumed to be uniform; this is a reasonable assumption because water and ice have a larger 204 thermal conductivity than air and because of the internal mixing of liquid within the drop (Yao 205 and Schrock, 1976). Hindmarsh et al. (2003) showed that including temperature variations inside 206 the drop has a minor impact on the results. The freezing time is defined as the time period from 207 the start to the end of the drop freezing. 208 2.2 Aircraft measurements during ICE-T 209 The ICE-T project was conducted in July 2011 over the Caribbean Sea, near the U.S. Virgin 210 Islands; its goal was to study ice generation in tropical maritime convective clouds. Both the 211 National Center for Atmospheric Research (NCAR) C-130 aircraft and the SPEC Incorporated 212 Learjet were deployed during ICE-T. 213 The SPEC Learjet was equipped with the state-of-art instruments that were used to study the microphysics in convective clouds during ICE-T. The primary objective of the Learjet was to 214 215 make rapid, repeated penetrations in the updrafts of growing turrets. The instruments included a fast forward-scattering spectrometer probe (FFSSP); a CPI; a two-dimensional stereo (2D-S) 216 217 probe; a high-volume precipitation spectrometer (HVPS-3), and a Rosemount temperature probe. 218 The measurements obtained using the FFSSP, CPI, 2D-S, and HVPS were combined to generate 219 the PSDs. CPI images were used to identify liquid drops and ice particles that were smaller than 220 500 µm in diameter, and these percentages of drops and ice particles were applied to the 2D-S 221 PSDs. The 2D-S and HVPS images were used to identify drops and ice particles that were larger

than 500 µm in diameter. More information about the processing of the Learjet data can be found

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227	in Lawson et al. (2015).	
228	The NCAR C-130 was not used to repeatedly penetrate fresh developing convective clouds	
229	during ICE-T; instead, it penetrated convective clouds at different stages of their development.	
230	Most of these penetrations occurred far below the cloud top, although some were near the cloud	
231	top (Heymsfield et al., 2014; Yang et al., 2016). The C-130 instruments included a Forward	Deleted: used here
232	Scattering Spectrometer Probe (FSSP), a two-dimensional cloud (2D-C) probe, a two-	Deleted: an FFSSP
233	dimensional precipitation (2D-P) probe, and a Rosemount temperature probe. The Wyoming	
234	Cloud Radar (WCR; Wang et al. 2012) was operated on the C-130 to obtain 2D reflectivity	
235	structures, and the Wyoming Cloud Lidar (WCL; Wang et al. 2009) was used to identify liquid-	
236	dominated and ice-dominated clouds.	
237	2.3 Parcel model simulation	
238	In this study, we compare the PSDs modeled using a parcel model containing SBM to those	
239	observed by the aircraft. The SBM was developed by Hebrew University (Khain et al., 2000) and	
240	has been implemented in the Weather Forecast and Research model (WRF; Lynn et al., 2005).	
241	Time-dependent drop freezing is not included in this scheme. The purpose of this simulation is	
242	not to evaluate the modeled jce concentration using observations, but instead to jdentify the	Deleted: results
		Deleted: reveal
243	extent of the deficiency of instantaneous drop freezing in SBM, The modeled parcel has a depth	Deleted: and its inability to capture the observed rapid ice generation
244	of 500 m. The observed drop size distribution at -6 °C is used as an input. The observed mean	Deleted: The vertical air velocity is 10 m/s, which is a typical
245	vertical velocity in the updrafts sampled by the Learjet is used in the simulation. The	mean updraft strength in the convective clouds sampled during ICE-T Deleted: , which is temperature-dependent,
246	hydrometeor types include cloud drop/rain, ice/snow, and graupel; the PSD of each hydrometeor	Deleteu: , which is temperature-dependent,
247		
24/	type has 33 mass bins. The ice nucleation mechanisms include immersion freezing using the	
248	Bigg parameterization (1953), deposition/condensation nucleation (Meyer et al., 1992), contact	Deleted: 's

260	nucleation (Meyer et al., 1992), and the Hallett-Mossop process (Hallett and Mossop, 1974).	
261	Other ice microphysics processes include riming, coalescence and diffusional growth. During	
262	every time step, 1% of the aerosols in the ambient air are assumed to become entrained into the	
263	cloud parcel. The ambient aerosol size distribution is observed using a high-flow dual-channel	
264	differential mobility analyzer (HDDMA; DeMott et al., 2016) and a Passive Cavity Aerosol	
265	Spectrometer Probe (PCASP; Baumgardner et al., 2011) operated on the C-130.	
266	3. Results and Discussion	
267	3.1 Comparison of observed and modeled particle size distributions	
268	Fig. 1 shows the <u>PSDs</u> measured by the Learjet and those modeled using a parcel model with	Deleted: size distributions
269	SBM. The observed mean vertical velocity and averaged maximum vertical velocity are shown	
270	in Fig. 1a-e. The averaged maximum vertical velocity is the mean value of the maximum vertical	
271	velocities in all penetrations for a given temperature range; each penetration has one value of	Deleted: ,
272	maximum vertical velocity. The simulation data on the left panels include all of the ice physics	
273	implemented in the SBM, while drop-ice collision is turned off for the right panels. The	Deleted: liquid
274	modelled ice concentration is much lower than that observed by the Learjet, this may be because	
275	there are ice generation mechanisms not implemented in the model, such as ice multiplication	
276	due to large drop freezing (Lawson et al., 2015). Here we do not focus on the ice concentration	
277	but the size of ice. The Learjet measurements suggest that the ice particles observed in fresh	
1 278	developing convective clouds are relatively small (20-300 μm in diameter) between -7 $^{\circ}C$ and -	
279	10 °C and that the <u>ice PSD</u> e broadens as the temperature decreases. <u>If drop-ice collisions are</u>	Deleted: of ic
280	excluded, most of the modeled ice is small at warm temperatures, consistent with observation	Deleted: is Deleted: are
281	(Fig. 1h and i), only a very low concentration of large ice is found, which is from immersion	

288	freezing. The deposition/condensation nucleation exhibits the largest contribution to the
289	modelled ice (Fig. 1f-i). Immersion freezing and contact freezing contribute less to the modeled
290	ice PSDs. If drop-ice collision is implemented, the concentration of large ice suddenly increases
291	by 2 orders of magnitude at temperature between -7 °C and -10 °C (Fig. 1c and d), results in
292	much broader ice PSDs than observed, indicating these large ice are from the drop-ice collision
293	process, and are added to the ice PSDs as soon as the large drops collide with small ice.
294	assuming instantaneous freezing.
295	In real convective clouds, large drops do not freeze instantaneously after they are nucleated. At
296	the early stage of freezing, large drops remain spherical or quasi-spherical (Johnson and Hallett,
297	1968; Murray and List, 1972; Hindmarsh et al., 2003), and probably contain more liquid mass
298	than ice mass, so they may be regarded as Jiquid in the observations. Previous studies suggest it
299	may take tens of seconds for a millimeter supercooled drop to complete freezing (Hindmarsh et
300	al., 2003). Therefore, in strong updrafts, such as those sampled by the Learjet during ICE-T,
301	large drops that start to freeze at warm temperatures may be fully frozen at temperatures colder
302	than the initial nucleation temperature. However, in models, drop freezing is assumed
303	instantaneous, so the modeled ice PSDs are much broader than observed between -7 °C and -
304	10 °C (Fig. 1) _▼
305	Examples of ice (and freezing drop) images collected by the 2D-C on the C-130 and the CPI on
306	the Learjet are shown in Fig. 2. Both the 2D-C and CPI images were measured near the cloud top
307	in the updraft cores of developing convective clouds. As noted in the figure, the observed
308	particles <u>are mostly small</u> between -8 °C and -10 °C (Fig. 2c). Some particles may be small drops
309	at the early stage of freezing because they exhibit slight shape deformation, as shown by the
310	particle images in the red box in Fig. 2c; however, we have no other evidence with which to

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Deleted: The modeled ice PSD is much broader than that observed between -7 °C and -10 °C. The deposition/condensation nucleation exhibits the largest contribution to the modeled ice PSDs (Fig. 1d). Immersion freezing, contact freezing and the Hallett-Mossop process contribute less to the modeled ice PSDs. Small ice particles are mostly formed by deposition/condensation nucleation, whereas large ice is produced by immersion freezing and drop-ice collision (Fig. 1d and h).

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Deleted: Previous studies have suggested that during immersion freezing, large drops have a higher probability of freezing than small drops at the same temperature (Bigg, 1953). In addition, small ice that is generated by other mechanisms (e.g., deposition/condensation nucleation, secondary ice) can be quickly collected by large drops in convective clouds, which results in the freezing of large drops. An obvious difference between the observed and modeled ice PSDs is that large ice is not observed between -7 °C and -10 °C but is found in the modeled results (Fig. 1d). There are three possible explanations for this: first, large freezing (or frozen) drops cannot be identified from the images taken by the probes, or the sampling volume of the probes is too small; second, the modeled results are not realistic; third, there could be a combination of the first and second possibilities. There is no evidence that large drops do not freeze between -7 °C and -10 °C. In the observations, only nonspherical particles are regarded as ice, but freezing drops exhibit no (obvious) shape deformation during the early stage of freezing (Johnson and Hallett, 1968; Hindmarsh et al., 2003). Due to the limitations of the instruments, freezing drops that do not exhibit obvious shape deformation cannot be identified; thus, the first possibility may apply. On the other hand, in the model simulations, drop freezing is assumed to be instantaneous, which could result in a broad ice PSD at warm temperatures; because this is not true in natural clouds, the second possibility may also apply. Therefore, the large difference between the measured and simulated ice PSDs is probably both observation- and model-related.

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365	confirm this. Between -10 °C and -13 °C, we observe more ice particles, including both large		
366	frozen drops and small ice, as well as rimed graupel (Fig. 2a and b). Columns and plates were		Deleted: frozen drops
367	also observed. Considering the time that is needed for columns and plates to grow and the	**********	Deleted: s
368	freezing time of large frozen drops, they were probably nucleated at a warmer temperature than		Deleted: generated
369	is observed. Due to the relatively low resolution of the 2D-S, 2D-C, HVPS and 2D-P images,		
370	large drops at the early stage of freezing that exhibit no obvious shape deformation are regarded	:	Deleted: freezing (or frozen)
271	as deeps without them is a		Deleted: cannot be identified, and they
371	as drops rather than ice		Deleted: thus Deleted: In some spherical CPI particle images, it is also difficult
372	3.2 Freezing time of supercooled drops		to determine whether the particles have begun freezing or not, because freezing drops exhibit no (or no obvious) shape deformation during the early stages of freezing (e.g., Johnson and Hallett, 1968; Hindmarsh et al., 2003).
373	To better interpret the freezing of large supercooled drops in strong updrafts, we analyze the		Deleted: understand
27.4			Deleted: impact of
374	freezing time and temperature of supercooled drops in this section. Fig. 3 shows the changes in		Deleted: time
375	drop temperature and ice mass fraction as functions of time and ambient temperature. The	///	Deleted: On the modelled ice PSDs
25.6		/ ///	Deleted: the observed PSDs,
376	updraft velocity used in the calculation is the observed temperature-dependent mean vertical	//	Deleted: , nucleation temperature
377	velocity that shown in Fig. 1a-e. Drops and air parcels ascend from -6 °C (~520 mb, ~5600 m).	//	Deleted: frozen Deleted: with changes in
378	The nucleation temperature, which is the temperature at which drops begin to freeze, is assumed	1	Deleted: assumed to be 10 m/s
379	to be -8 °C. The figure demonstrates that a drop with a radius of 100 μm cools from -6 °C to -		
380	8 °C and begins to freeze at approximately 22 s. The latent heat released due to freezing leads to		Deleted: 23
381	a sudden rise in temperature from -8 °C to 0 °C (Fig. 3a), and the ice mass fraction increases		Deleted: drop
382	from 0 to 0.1 (Fig. 3b). It takes approximately 5 seconds for the drop to fully freeze; during		Deleted: 4
383	freezing, the drop temperature remains at 0 °C (Fig. 3a), and the ice mass fraction continuously		
384	increases (Fig. 3b). After completely freezing, the frozen drop rapidly cools due to the large		
385	difference between the ambient temperature and the drop surface temperature. The cooling rate		
386	slows down when the frozen drop temperature approaches the ambient temperature. According		

411	to the equations, the cooling rate for a drop in the updraft is largely controlled by convective heat		Deleted: its
412	transfer, rather than radiation or diffusional growth. If significant riming occurs on the freezing		
413	(frozen) drop surface, the cooling rate could be slower, and the freezing time could thus be		
414	longer due to the latent heat release that occurs during riming (Heymsfield, 1982; Phillips et al.,		
415	2015). The drop temperature changes in a similar way for larger drops as it does for small drops.		
416	However, due to their higher terminal velocity, it takes longer for larger drops to reach their		
417	nucleation temperature (-8 °C). Drops with radii of 250 μm and 500 μm begin to freeze at 28 s		Deleted: μ
418	and 41 s, respectively (Fig. 3a), and the ambient temperatures are approximately -8.1 °C and -		Deleted: 43
419	8.2 °C (Fig. 3c), respectively, which is slightly colder than the drop temperature because of the		Deleted: their Deleted: 8.15
420	gradual heat transfer from drop to air. In addition, it takes longer for larger drops to completely	*******	Deleted: are
421	freeze. Drops with radii of 250 μm and 500 μm require approximately 15 s and 34 s,		Deleted: 35
422	respectively, to fully freeze (Fig. 3a); these frozen drops are found at temperatures of -9.4 °C and		Deleted: 9.2
422	-10.18 °C, respectively (Fig. 3c).	اسد	Deleted: 9
423	-10.14 C, respectively (11g. 3C).		
423	-10.10, C, respectively (Fig. 3C).	\leq	Deleted: 2
424	Fig. 4 shows the freezing time and frozen temperature as functions of the drop radius for		·
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424	Fig. 4 shows the freezing time and frozen temperature as functions of the drop radius for		Deleted: 2
424 425	Fig. 4 shows the freezing time and frozen temperature as functions of the drop radius for different vertical air velocities and nucleation temperatures. The freezing time represents the		Deleted: 2
424 425 426	Fig. 4 shows the freezing time and frozen temperature as functions of the drop radius for different vertical air velocities and nucleation temperatures. The freezing time represents the time period from the start of drop freezing to the end of drop freezing. The figure shows that the		Deleted: 2
424 425 426 427	Fig. 4 shows the freezing time and frozen temperature as functions of the drop radius for different vertical air velocities and nucleation temperatures. The freezing time represents the time period from the start of drop freezing to the end of drop freezing. The figure shows that the freezing time increases as the radius increases. For the same nucleation temperature, drops freeze		Deleted: 2 Deleted: 95
424 425 426 427 428	Fig. 4 shows the freezing time and frozen temperature as functions of the drop radius for different vertical air velocities and nucleation temperatures. The freezing time represents the time period from the start of drop freezing to the end of drop freezing. The figure shows that the freezing time increases as the radius increases. For the same nucleation temperature, drops freeze faster in stronger updrafts than they do in weaker ones because of the greater difference between		Deleted: 2 Deleted: 95
424 425 426 427 428 429	Fig. 4 shows the freezing time and frozen temperature as functions of the drop radius for different vertical air velocities and nucleation temperatures. The freezing time represents the time period from the start of drop freezing to the end of drop freezing. The figure shows that the freezing time increases as the radius increases. For the same nucleation temperature, drops freeze faster in stronger updrafts than they do in weaker ones because of the greater difference between the particle and air temperatures (Fig. 4a); however, their frozen temperatures are colder in		Deleted: 2 Deleted: 95
424 425 426 427 428 429 430	Fig. 4 shows the freezing time and frozen temperature as functions of the drop radius for different vertical air velocities and nucleation temperatures. The freezing time represents the time period from the start of drop freezing to the end of drop freezing. The figure shows that the freezing time increases as the radius increases. For the same nucleation temperature, drops freeze faster in stronger updrafts than they do in weaker ones because of the greater difference between the particle and air temperatures (Fig. 4a); however, their frozen temperatures are colder in stronger updrafts (Fig. 4b). In addition, for the same updraft strength, a drop freezes faster when		Deleted: 2 Deleted: 95
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424 425 426 427 428 429 430 431 432	Fig. 4 shows the freezing time and frozen temperature as functions of the drop radius for different vertical air velocities and nucleation temperatures. The freezing time represents the time period from the start of drop freezing to the end of drop freezing. The figure shows that the freezing time increases as the radius increases. For the same nucleation temperature, drops freeze faster in stronger updrafts than they do in weaker ones because of the greater difference between the particle and air temperatures (Fig. 4a); however, their frozen temperatures are colder in stronger updrafts (Fig. 4b). In addition, for the same updraft strength, a drop freezes faster when its nucleation temperature is lower, and it fully freezes at colder temperatures. Moreover, for the same drop radius, the effect of the updraft strength on the freezing time is smaller if a drop		Deleted: 2 Deleted: 95
424 425 426 427 428 429 430 431 432	Fig. 4 shows the freezing time and frozen temperature as functions of the drop radius for different vertical air velocities and nucleation temperatures. The freezing time represents the time period from the start of drop freezing to the end of drop freezing. The figure shows that the freezing time increases as the radius increases. For the same nucleation temperature, drops freeze faster in stronger updrafts than they do in weaker ones because of the greater difference between the particle and air temperatures (Fig. 4a); however, their frozen temperatures are colder in stronger updrafts (Fig. 4b). In addition, for the same updraft strength, a drop freezes faster when its nucleation temperature is lower, and it fully freezes at colder temperatures. Moreover, for the same drop radius, the effect of the updraft strength on the freezing time is smaller if a drop		Deleted: 2 Deleted: 95

446	dashed and dotted lines for colder nucleation temperatures (Fig. 4a); however, its impact on		
447	frozen temperature does not vary substantially with different nucleation temperatures (Fig. 4b).		
448	Calculations of the freezing time and frozen temperature based on the observed temperature-		
449	dependent mean vertical velocity and the averaged maximum vertical velocity provide similar		
450	information (Fig. 4c and d). Between -7 °C and -10 °C, the observed vertical velocity is strong,		
451	so for drops larger than 400 μm in radius which start freezing at -6 °C or -8 °C, the frozen		
452	temperature is 2–3 degrees colder than the nucleation temperature. The mean vertical velocity at		
453	temperature colder than -10 °C is weaker than that between -7 °C and -10 °C, so for drops that		Deleted:
454	start freezing at -10 °C, the freezing temperature is similar to the nucleation temperature (solid		
455	green line in Fig. 4d). This suggests the large frozen drops observed at -10 °C may start freezing		
456	at a temperature either warmer than or similar to -10 °C. While for the averaged maximum		Deleted: t
457	vertical velocity, the frozen temperature is about 1-2 degrees colder than the nucleation	l	Deleted:
458	temperature (-10 °C) for drops with radius of 500–1000 μm.		
150	According to Rigg (1053) large drops may begin to freeze at warmer temperatures than small	{	Deletedy I
459 460	According to Bigg (1953), Jarge drops may begin to freeze at warmer temperatures than small		Deleted: Large
460	drops_because large drops have higher probability of containing immersion ice nuclei for a given		
460 461	drops because large drops have higher probability of containing immersion ice nuclei for a given temperature (Khain et al., 2000). Fig. 5 shows the nucleation temperature and frozen temperature	(Deleted: Large Deleted: due to (Bigg, 1953).
460 461 462	drops because large drops have higher probability of containing immersion ice nuclei for a given temperature (Khain et al., 2000). Fig. 5 shows the nucleation temperature and frozen temperature as functions of the drop radius. The nucleation temperature is the temperature at which drops	(
460 461 462 463	drops because large drops have higher probability of containing immersion ice nuclei for a given temperature (Khain et al., 2000). Fig. 5 shows the nucleation temperature and frozen temperature as functions of the drop radius. The nucleation temperature is the temperature at which drops have a 10 ⁴ % probability of freezing, as determined based on Bigg's parametrization for	(
460 461 462 463 464	drops because large drops have higher probability of containing immersion ice nuclei for a given temperature (Khain et al., 2000). Fig. 5 shows the nucleation temperature and frozen temperature as functions of the drop radius. The nucleation temperature is the temperature at which drops have a 10 ⁴ % probability of freezing, as determined based on Bigg's parametrization for immersion freezing. This probability is low because of the low concentration of immersion ice	(
460 461 462 463 464 465	drops because large drops have higher probability of containing immersion ice nuclei for a given temperature (Khain et al., 2000). Fig. 5 shows the nucleation temperature and frozen temperature as functions of the drop radius. The nucleation temperature is the temperature at which drops have a 10 ⁴ % probability of freezing, as determined based on Bigg's parametrization for immersion freezing. This probability is low because of the low concentration of immersion ice nuclei that are present at warm temperatures. The figure shows that large drops may begin to	(
460 461 462 463 464 465 466	drops because large drops have higher probability of containing immersion ice nuclei for a given temperature (Khain et al., 2000). Fig. 5 shows the nucleation temperature and frozen temperature as functions of the drop radius. The nucleation temperature is the temperature at which drops have a 10 ⁴ % probability of freezing, as determined based on Bigg's parametrization for immersion freezing. This probability is low because of the low concentration of immersion ice nuclei that are present at warm temperatures. The figure shows that large drops may begin to freeze at warmer temperatures than small drops; however, due to their longer freezing times,	_{	
460 461 462 463 464 465 466 467	drops because large drops have higher probability of containing immersion ice nuclei for a given temperature (Khain et al., 2000). Fig. 5 shows the nucleation temperature and frozen temperature as functions of the drop radius. The nucleation temperature is the temperature at which drops have a 10 ⁴ % probability of freezing, as determined based on Bigg's parametrization for immersion freezing. This probability is low because of the low concentration of immersion ice nuclei that are present at warm temperatures. The figure shows that large drops may begin to freeze at warmer temperatures than small drops; however, due to their longer freezing times, large drops may fully freeze at colder temperatures than small drops if the updraft is strong	_{(
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474 small ice can be generated at warmer temperatures by other mechanisms (e.g., 475 condensation/deposition nucleation). The ice PSDs measured by the Learjet indicates that ice observed between -7 °C and -10 °C are small, and larger frozen drops were observed at 476 477 temperatures colder than -10 °C, but it is not known whether these large drops started to freeze **Deleted:** The ice PSD measured by the Learjet indicates that large frozen drops were observed at colder temperatures than small ice 478 before or after the small droplets, and the mechanisms that lead to drop freezing are not well 479 understood. In models, drop freezing is assumed instantaneous, which is not realistic, would 480 result in warmer frozen temperatures than in real clouds, as indicated by the large temperature Deleted: big 481 difference between the dashed and solid lines shown in Fig. 5. 482 3.3 Discussion 483 The above analysis indicates that in strong updrafts, such as that sampled by the Learjet during 484 the ICE-T project, large drops may be fully frozen at temperatures colder than their nucleation Deleted: frozen Deleted: are 485 temperature. However, if the vertical air velocity is not strong enough, large drops may descend Deleted: observed Deleted: relatively colder 486 or remain at the same level for long periods of time, and they may freeze if their temperature Deleted: small ice in strong updrafts of convective clouds but that they may begin to freeze at warmer temperatures 487 reaches the nucleation temperature. To illustrate this, data from a C-130 penetration is shown in Deleted: I Deleted: a 488 Fig. 6. In this case, the penetration occurred approximately 500 m below the cloud top, as is Deleted: A Deleted: n example of 489 indicated by the WCR reflectivity (Fig. 6a). The WCL power (Fig. 6c) quickly attenuated and the Deleted: this 490 WCL depolarization ratio (Fig. 6d) is relatively low, which indicates that this cloud was 491 dominated by liquid drops. At the flight level, the temperature (Fig. 6e) ranges from -4 °C to -492 4.5 °C in the updraft and is approximately -5 °C near the cloud edge. The maximum updraft 493 velocity is 7 m/s, and the mean updraft velocity is approximately 3 m/s. The Doppler velocity 494 (Fig. 6b) is negative in most areas of the clouds, indicating Jarge particles falling through the Deleted: falling 495 updrafts in most areas, and its maximum value is approximately 4 m/s, indicating ascending 496 large particles in the strongest updraft core. The 2D-C images clearly show the existence of ice

512 (Fig. 6f). Most of the ice particles are frozen drops and graupel, and some are needles and 513 columns. Considering the time that is needed for the drops to freeze and for the needles and 514 columns to grow through vapor diffusion, the observed ice (graupel, needles and columns) may have been nucleated when the cloud top was lower than observed. A previous statistical study 515 516 also support that large ice present at warm temperatures in developing convective clouds with 517 relatively weak updraft (Yang et al., 2016). 518 The freezing of supercooled drops may be associated with some corresponding processes. For 519 example, drops may break up or shatter during freezing, which can produce multiple ice 520 fragments and splinters (Lawson et al., 2015). Mason and Maybank (1960) showed that the 521 freezing of a millimeter-sized drop may produce more than a hundred splinters. These ice 522 splinters can enhance ice initiation in convective clouds. The Hallett-Mossop process appears to 523 be inefficient in the strong ICE-T convective updrafts (Lawson et al., 2015), but is evidently 524 more important in mature convective clouds with relatively weak updrafts (Heymsfield and 525 Willis, 2014). In addition, time-dependent freezing can have an impact on the dynamics in 526 developing clouds. The instantaneous freezing of a supercooled drop results in the sudden release 527 of a large amount of latent heat, which may lead to an overestimation of the vertical velocity in 528 modeled convective clouds (Fan et al., 2015). In contrast, time-dependent drop freezing can 529 affect the cloud dynamics in a different way because its latent heat is gradually released. This 530 study points out the need to understand drop freezing in convective clouds and allows us to 531 interpret the deficiency of instantaneous drop freezing in cloud model up to now; however, it 532 also raises some specific questions about ice initiation and the possible consequences of time-533 dependent drop freezing on cloud evolution. Answering these questions requires a better 534 understanding of the drop freezing mechanisms in convective clouds, which in turn requires

Deleted: The graupel may fall from above; thus, they may start freezing at a colder temperature than the flight level temperature.

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Deleted: In addition, the change in drop temperature during freezing may exert impacts on the Hallett-Mossop process. Heymsfield and Mossop (1984) showed that the Hallett-Mossop process is not only related to the ambient temperature but is also related to the graupel surface temperature. In the SBM used in this study, the Hallett-Mossop process is only parameterized for ambient temperatures between -3 °C and -8 °C. However, the Hallett-Mossop process may occur at colder ambient temperatures if the frozen drop (or graupel) surface temperature is appropriate (Heymsfield and Mossop, 1984). Fig. 3 shows that the drop temperature cools from $0\,^{\circ}\mathrm{C}$ to its ambient temperature after being fully frozen and that the cooling rate may be even slower if there is significant riming on the surface of the particle (Phillips et al. 2015). During this process, if the drop surface temperature and other ambient conditions are suitable, the Hallett-Mossop process may occur at an air temperature that is colder than -8 °C, which could also enhance the initiation of ice in developing convective clouds. For example, a millimeter-sized frozen drop can collect approximately 600 droplets in five seconds, assuming that the droplet concentration is $50~{\rm cm^3}$ and its diameter is $20~\mu{\rm m}$. Thus, two or three ice splinters may be produced if the ambient conditions are suitable. Moreover

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579	more laboratory experiments to be performed and more in situ measurements to be obtained in	
580	the future.	
581 582 583 584 585 586	This study focuses on relatively warm temperatures in tropical maritime developing convective clouds, with 14 penetrations between -7 °C and -10 °C, and 6 penetrations between -10 °C and -12 °C. The sample size is relatively small, but the observations are helpful for studying the ice PSDs in tropical maritime convective clouds, at least for the clouds sampled by the Learjet during the ICE-T project. Currently, there are not many measurements of PSDs in tropical maritime developing convective clouds with strong updraft cores using the-state-of-art cloud probes, especially for small ice PSDs, Clearly, more field measurements are needed in the future.	Deleted: ing Deleted: is Deleted: in Deleted: in Deleted: and with Deleted: .so
588	4. Summary	
589	In this study, the PSDs measured in fresh developing maritime convective clouds sampled during	
590	ICE-T are shown, and the deficiency of <u>assuming</u> instantaneous drop freezing in models is	
591	discussed. The observations in strong convective cores presented here suggest that the observed	 Deleted: "first ice" that is observed is small
592	ice is primarily small at relatively warm temperature (between -7 °C and -10 °C), but the	
593	modelled ice PSDs are much broader than observed. To interpret the difference between the	
594	modelled and the observed ice PSDs, the freezing times and temperatures of supercooled drops	
595	are calculated. This analysis indicates that the freezing time is longer for large drops than it is for	
596	small drops. In strong updrafts, such as that sampled by the Learjet during ICE-T, large freezing	 Deleted: Due to the limitations of airborne instruments, freezing drops cannot be identified until they exhibit obvious shape
597	drops may be transported to a colder temperature than their nucleation temperature before they	 deformation. If the updraft is strong enough Deleted: brought up
598	are fully frozen. However, in models, drop freezing is assumed instantaneous, which is not	 Deleted: begin to exhibit obvious shape deformation
599	realistic, and results in much broader ice PSDs at warm temperatures. Instantaneous freezing is a	
600	reasonable assumption in models if the freezing time of supercooled drops is shorter than the	

613	time step, but for simulations of convective clouds using models with relatively high temporal	
614	resolution, the time step may be shorter than the freezing time of supercooled drops, especially	
615	the large supercooled drops. This study aids in interpreting the modeled and observed ice PSDs	 Deleted: allows us to
616	in fresh developing convective clouds, drawing on the the perspective of the time required for	 Deleted: from
617	drops to freeze. However, the mechanisms of drop freezing and ice initiation are still not well	 Deleted: freezing
618	known. Future studies are required to evaluate model simulations using time-dependent drop	
619	freezing, to understand the impact of time-dependent drop freezing on the microphysics and	
620	dynamics of convective clouds, and to further explore the mechanisms of drop freezing and ice	
621	initiation.	
622	Acknowledgments	
623	This work is supported by the National Science Foundation (Awards AGS-1230203 and AGS-	
624	1034858), the National Basic Research Program of China under grant no. 2013CB955802 and	
625	the DOE Grant DE-SC0014239, as part of the ASR program. The authors acknowledge the crew	 Deleted: DE-SC0006974
626	of the NCAR C-130 and the SPEC Learjet for collecting these data and providing high-quality	
627	products. We thank Drs. Paul Lawson and Sarah Woods for processing and sharing the data of	 Deleted: T
628	particle size distributions measured by Learjet.	
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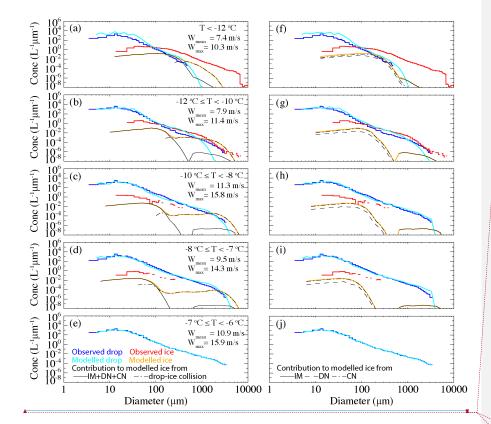
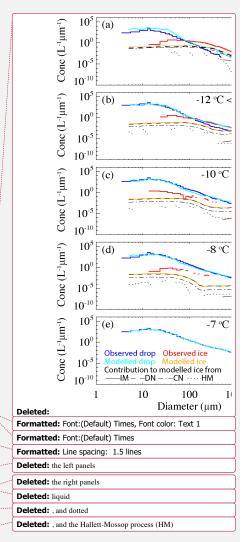


Figure 1. Particle size distributions in fresh developing convective clouds observed by the Learjet during ICE-T and those modeled using a parcel model with SBM. In (a)-(e), all of the ice physics implemented in the SBM are included; in (f)-(j), drop-ice collision is excluded. The black solid, dashed, and dashed-dotted lines in (f)-(j) represent the contributions from immersion freezing (IM), deposition/condensation nucleation (DN), and contact nucleation (CN), respectively, to the modeled ice size distributions. The black solid and dashed-dotted lines in (a)-(e) represent the contributions from primary ice nucleation (IM+DN+CN) and drop-ice collision, respectively, to the modeled ice size distributions. The observed mean vertical velocity (W_{max}) and averaged maximum vertical velocity (W_{max}) are shown in (a)-(e).



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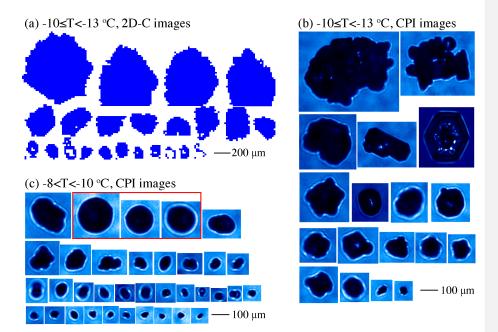


Figure 2. Examples of the 2D-C and CPI images measured in the developing convective clouds sampled during the ICE-T project.

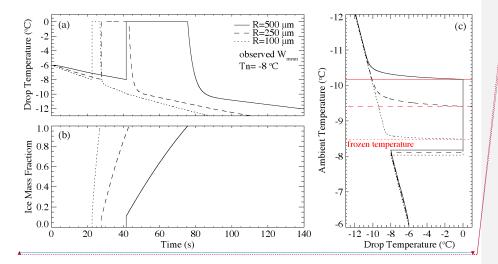
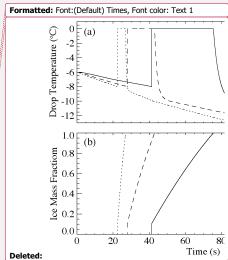


Figure 3. (a) Changes in drop temperature over time for drops with different radii based on the observed mean vertical velocity, which is temperature-dependent. Nucleation temperature (Tn) is -8 °C; (b) same as (a) but for ice mass fraction; (c) ambient temperature versus drop temperature for drops with different radii. The red solid, dashed and dotted lines indicate the frozen temperature for drops with radius of 500 μm, 250 μm and 100 μm, respectively.



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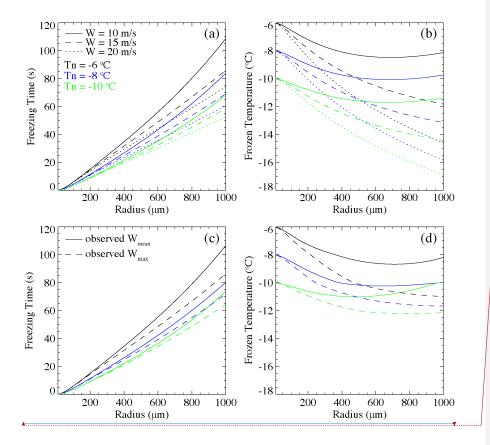
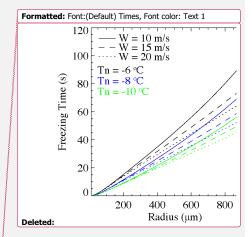


Figure 4. (a) Freezing time and (b) frozen temperature as functions of drop radius for different values of vertical air velocity (W) and nucleation temperature (Tn). (c) and (d) are the same as (a) and (b) but for the observed mean vertical velocity (W_{mean}) and averaged maximum vertical velocity (W_{max}), which are temperature-dependent.



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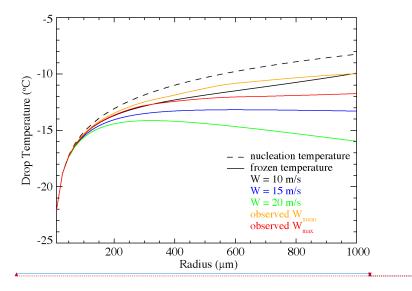
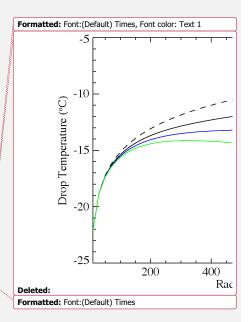


Figure 5. Drop temperature as a function of drop radius for different vertical air velocity (W) values, including the observed mean vertical velocity (W_{mean}) and averaged maximum vertical velocity (W_{max}), which are temperature-dependent. The nucleation temperature is the temperature at which drops have a $10^{-4}\%$ probability of freezing, as determined based on Bigg's parameterization for immersion freezing.



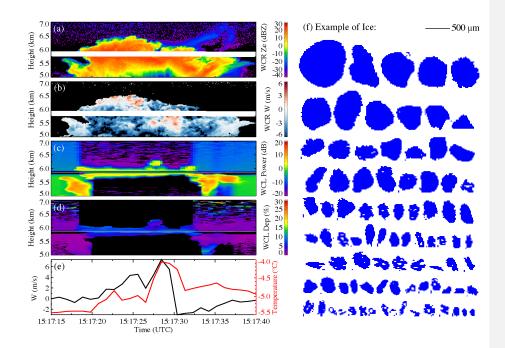


Figure 6. An example of the penetration of the C-130 in a developing cloud sampled on 23 July 2011: (a) WCR reflectivity; (b) WCR Doppler velocity; (c) WCL power; (d) WCL depolarization ratio; (e) ambient temperature and in situ vertical air velocity; and (f) examples of ice particles measured using 2D-C.