

2nd of February 2018: Response to the reviewers comment's:

Dear editor, dear referees,

We would like to thank you for all your comments. This input has allowed us to refine the manuscript by adding more thorough detailed explanations, to correct some minor points and to improve in a large sense the manuscript. This response comprises three sections, first the answers to the main comments of both referees, then the answers to the specific comments of the first and second referees. The authors are conscious that the methodology and topic of this study are to some extent new concepts and that they consequently raise a number of comments. We hope however that in this document we have addressed the referees questions fully and clarified the aspects that needed further elucidation. The co-authors are unanimous that this manuscript presents a valuable methodology for interpreting atmospheric measurements at mountain sites across the globe. This manuscript presents a new technique and extensive data analysis applicable to many of your readers. Based on our extensive efforts in addressing each comment of the reviewers, we ask you to accept for publication the revised version of the manuscript in ACP.

First we want to mention that the values of the ABL-TopoIndex and of the correlation coefficients presented in figures 9 and 10 have changed from those presented in the first version of the manuscript. The differences in the ABL-TopoIndex values are due to the modification of the domain size to 500 km x 500 km. The correlation coefficients changes are due to the modification of the domain size, to the exclusion of SUM due to its outlier status similar to NCOS and to the inclusion of the middle altitude stations (HBP and MSY) in the correlation analysis. Further explanations are given in the following answers to the referee's comments.

1. Answers to main comments of both referees

- **GIS and Topotoolbox:** TopoToolbox is a set of matlab functions that offers analytical GIS utilities in a non-GIS environment. In that sense it is possible to apply GIS-specific methods and to analyse aerosol parameters and cycles in the same environment as the topographic analysis. The TopoToolbox enables the analysis of relief and flow pathways in a DEM as well as the calculation of standard terrain attributes (slope, curvature, flow accumulation,...). The basic functionality of TopoToolbox was therefore used, but further programming was necessary in order to calculate all the necessary parameters constituting the ABL-TopoIndex. As suggested by the referees, the authors added some further clarifications to describe these parameters with sufficient details in the paper, so that the ABL-TopoIndex could be reproduced in any other programming language.
- **Domain size for the calculation of the ABL-TopoIndex:** The ABL influence at high altitude sites can be divided into a local phenomenon bringing polluted air masses from the adjacent valleys to the measuring station and a broader impact including the whole mountainous massif and a possible influence of nearby plateaus and plains. Poltera et al. (2017) clearly demonstrate that convection above the adjacent valleys rarely influences the high altitude sites but, when it is the case, this local convection does lift air masses with a certain aerosol load. The aerosol layer that comes from a much broader region has a lower aerosol concentration but influences the high altitude stations over a long period of time. An airborne Lidar measurement of the ABL top over the whole alpine massif (Nyeki et al., 2002) clearly stated that the convective boundary layer is formed over a large-scale and leads to an elevated and extended layer. They also quantified that this "large-scale" extends more than 200 km from the mountainous massif. The rectangular domain size of 750 km x750

km centered on each site corresponds to a distance of at least 375 km in each direction and was initially chosen to ensure the inclusion of the entire massif and a further portion of the adjacent plains. To address the concerns of the reviewer we have restricted the domain to 500 km per side, but think that a domain size smaller than that would no longer correspond to the reality of the aerosol layer formation. The authors also agree with the second referee that CBL flow will not advect air masses from a distance as large as 375 km. Without precipitation, the residual layer or aerosol layer will however expand over several days. The distances of 375 km and 250 km are covered in 21 and 14 hours, respectively, at an average advection velocity of 5 m/s. The chosen domain size corresponds therefore largely to the development of the CBL and its merging into the residual layer.

- **Methodology and set of quantitative parameters:** The authors are aware that this study consists mostly of a new methodological approach with concepts probably unfamiliar to many atmospheric scientists. The goal was to try to statistically quantify the role of the topography in the ABL influence at high altitude sites. The authors intentionally did not include dynamical parameters such as wind fields that would have required the use of atmospheric models such as ECMWF. While the applied methodology was described with some detail in the original submission we have expanded, and to some extent reorganized the description based on the reviewers comments. First we define a number of topographic criteria that should determine the ABL influence at a high altitude site; second, quantitative parameters are found for each topographic criteria; finally, statistical methods that are valid for environmental studies are applied to the quantitative parameters. Tested qualitative parameters that were finally not selected are briefly described in the supplement to the manuscript. The reasons for not keeping these criteria to calculate the ABL-TopoIndex are now extensively described in the revised manuscript supplement, so that the reader can now better understand the final choice of parameters. As already mentioned in the paper (section 4.3), the ABL-TopoIndex could probably be improved by adding some further parameters and its validity can also be assessed by other pollutants measurements at high altitude sites.
- **Weak correlations between topography and diurnal and seasonal cycles:** As mentioned by the first referee, the correlations between the topography parameters and the aerosol diurnal cycles are surprisingly weak. This is due to three main reasons: For most of the stations, there are a lot of days where the diurnal cycles are obviously visible. It is however quite difficult to extract the diurnal amplitude as a statistical value due to several factors including: non-regularity in diurnal cycle time of occurrence (e.g., due to different synoptic weather type, cloud presence, advectations, long range transport); in the strength of the diurnal cycle (insolation amount, cloud presence); in the absolute level of aerosol present (e.g., due to presence of residual layer, superposition of long range transport); and to the superposition of both seasonal and diurnal cycles. The only possible methodology is to remove the first lag autocorrelation in the data, before extracting the diurnal cycle amplitude from the autocorrelation at 24h (see the supplement to the paper). The removing of the first-lag autocorrelation is a necessary step that introduces noise in the data. Additionally, as explained in the manuscript, only stations partly influenced by the ABL will show a clear diurnal cycle. Stations that remain the whole day in the FT should exhibit no diurnal cycle, whereas stations always in the ABL will have different diurnal cycles due to other periodicity in the sources and to the mixing conditions. As the location of the station with relationship to the ABL can change with season this further complicates the identification of diurnal cycles. Another factor is the presence of the residual layer during the night in summer, which

drastically decreases the amplitude of the diurnal cycle. In terms of relating topography and seasonal cycles. Additionally an important thing to consider is that many of the datasets used here are shorter than 5-6 years leading to difficulties in the determination of the seasonal cycles. This is probably a primary cause for the lack of correlation between seasonal cycles and topography parameters. We have revised the manuscript to make this point more clearly as described in our response to referee#1 below.

2. Answers to referee #1 comments:

This paper presents five metrics that can help quantify the boundary layer impact at high altitude stations. The metrics are based on topographic data and provide information on topographic characteristics including steepness, height difference between station and adjacent valley, and size of the drainage basin. The metrics are calculated for large number of stations. The focus in this paper is on a subset of these stations where aerosol measurements are made.

Overall, I think that the paper is a decent contribution to the scientific literature. The novel part is the quantification of the topographic characteristics surrounding a high altitude stations. The contribution of certain topographic characteristic to trace gas measurements at these stations is often speculated and discussed and it is nice to see a paper where an attempt is made to quantify the characteristics. I am not sure though how useful the characterization of the topography as done in the current study will be for future studies/site planning.

I found it rather surprising that correlations between topography parameters and the diurnal cycle are weak.

Some further explanation are given in the answers to the main comments on page 2 of this document and the manuscript was revised in order to better explain the reasons for weak correlations. The manuscript was changed at § 3.5 : *“The ABL-TopoIndex is s.s. correlated with the diurnal cycle minimal and maximal strengths of the absorption coefficient. This correlation is once again principally due to the hypso% and G8, and to a lower extent, the LocSlope. The correlation with the diurnal cycle minimal amplitude occurs because the stations that remain in the FT during the whole day should not present any systematic diurnal cycles. The maximal amplitude of the diurnal cycles occurs when the site is in the FT during the night (without any influence of the RL) and influenced by the ABL during the day. The only s.s. correlation with station altitude is found for the scattering coefficient seasonal cycle. Similar to the correlation with the percentiles, there is a high anticorrelation between the particle number concentration diurnal cycles and G8 suggesting that the slope steepness in the vicinity of the stations inhibited both the transport of polluted air masses and NPF. Apart from a correlation at 90% confidence level between DBinv and the absorption coefficient, the lack of further s.s. correlations with the seasonal cycles can be attributed first to the relatively small time period (2-5 years) covered by most of the datasets leading to difficulties in the statistical determination of a yearly periodicity due to inter-annual variability, second to the low aerosol concentration at high altitude sites inducing measurements part of the time near the detection limits of the instruments (see for example the problem with the absorption coefficient at § 2.4) and third to the necessary whitening procedure (see supplement) increasing the dataset noise . ”*

And also at § 4.1, the following sentence was added: *“The impact of the RL on the aerosol concentration is probably one of the most important reason to the low correlation between the topographical parameters and the aerosol cycles.”*

1) the choice of the five metrics appears somewhat subjective. At some point in the manuscript (section 4.3) it is stated that "Several other parameters such as the topographical wetness index, the catchment area, the accumulation, dispersion and transit percentages, the hypsometric index and the prominence were tested but were finally eliminated as being not relevant for various reasons." . It remains rather vague why these parameters were eliminated. It would be good if the authors could make a list (e.g, in a table) of all the relevant parameters that the "TopoToolBox" produces and then also clarify what exactly was done to come up with the final five parameters.

- As explained in the answers to the main comments (p. 1 of this document), the tested parameters are only partly provided by the TopoToolbox, some of them were developed or modified for this study. TopoToolbox provides a set of Matlab functions to analyze the relief and the flow pathways in the digital elevation model, some of them having absolutely no direct relation with the ABL-TopoIndex. In that sense, it is not possible to list all the TopoToolbox functions. We have now included more discussion of the reasons to choose the 5 used parameters (§ 2.3) and we have also added Table S2 in the supplement to describe some other topography and hydrology parameters and the motivation of their rejection as relevant parameter to calculate the ABL-TopoIndex: not to use some parameters have to be explicit and the manuscript was accordingly changed:

Parameter	Definition	Reason for rejection
Upstream catchment area= flow accumulation	Upstream area contributing to the flow accumulation at the grid cell	1) It has no direct effect on the ABL influence since it lies at higher altitude than the station 2) It is a partial measurement of the area higher than the station elevation, but only on the mountain side where the station is situated
Topographical wetness index = compound topographic index	$=\ln(A/\tan(B))$, where A= upstream catchment area and B= slope gradient. It is a measure of the extent of flow accumulation at the given point; it increase as A increases and B decreases.	The wetness index is a ratio of two parameters. The slope gradient is already used (G8) in the ABL-TopoIndex and A was not considered as useful to describe the ABL influence (see previous point). The authors prefer single to combined parameters
Drainage basin = dispersive area	Downslope area potentially exposed by flows passing through the given point on the topographic surface	Air convection flow paths cannot be directly assimilated to water flow. The drainage basin in the inverse topography was consequently used as describing the size of the "reservoir" for air convection.
Efremov-Krcho landform classification scheme, Dispersion and transit percentages	It is a landform classification scheme (Florinsky, 2012) attributing a characteristic (dissipation, transit or accumulation) to each grid cell.	This classification scheme depends on the curvature of the terrain and, contrary to water flow, it has no relevance for air masses transport. It was however tested on some stations but failed to give a clear characteristic for the station region.
Hypsometric curve (HC), hypsometric integral (HI) and	The shape of the HC and HI values provide vital information about erosional stages of the relief and tectonic, climatic and lithological	Both HC and HI characterize the shape of the whole mountainous range and are therefore not defined for the station location.

	factors controlling landforms development. Convex-up curves are typical for youthful stage and concave-up curves of old stage. (Siddiqui and Soldati, 2014)	They cannot be used to characterize the station location.
hypsothetic index (HI)	HI= (mean elevation-minimum elevation)/(maximum elevation-minimum elevation) allows different watersheds to be compared regardless on scale. It could reflect both tectonic activity and lithological control. (Siddiqui and Soldati, 2014)	HI also concerns a domain and not the station location. It cannot be used to characterize the station location.
Topographic prominence	It is the vertical distance between a summit and the lowest contour line encircling it but containing to higher summits within it. It is a measure of the independence of a summit.	It is not applicable to stations that are not situated at a summit. Moreover, since it restricts the area to a domain without higher summits, it corresponds to domains with very different sizes depending on the station.

2) How are the parameters produced by TopoToolBox similar to or different from the more widely used ArcGis software packages? many people who would like to apply the concept of a topographic index may be familiar with ArcGis software packages so a way to make the concept more widely used is to explain how these parameters could be calculated using ArcGis software.

- TopoToolbox just offers GIS utilities in a Matlab environment. The parameters used to calculate the ABL-TopoIndex are hopefully clearly enough described to allow any user to find or to write their equivalent in any GIS software packages, including ArcGis. For example, catchments or watersheds are probably calculated in a similar way. Since we have not used ArcGis, it is difficult to estimate exactly the potential of ArcGis compared to TopoToolbox.

3) page 3, line 30/31: Free convection cannot be driven by forced mechanical convection. This sentence is technically incorrect.

- The referee is correct that free convection cannot be due to any forced mechanism. The sentence was modified: *"In the case of cloudy or rainy conditions as well as in the case of advective weather situations, free convection is no longer driven primarily by solar heating, but by ground thermal inertia, cold air advection and/or cloud top radiative cooling."*

4) section 2.3, line 13: It should be explained here why a domain size of 750x750 km was chosen. The authors discuss somewhat later in the manuscript the sensitivity to domain size but a justification for the chosen domain size should be provided here. The domain size currently sounds rather arbitrary.

- The answer to this question is given in the answers to the main comments (p. 1 of this document). A large domain size has to be chosen in order to take into account the whole mountainous range and part of the adjacent plains/plateau contributing to the formation of the aerosol layer. In that sense a domain of 500x500 km² could also be justified and was consequently used in the revised version of the manuscript, leading to small variation of the ABL-TopoIndex for some stations. We have also clarified our reason for the size of domain in the revised manuscript at § 2.3: *"A quantitative estimation of these criteria depends clearly on the domain considered. The minimal size requirement for such a topographical analysis is that the domain should contain the whole mountainous massif. An airborne Lidar measurement of the ABL over the Alps (Nyeki et al., 2002) clearly stated that the convective boundary layer is formed over a large-scale and leads to an elevated and extended layer. It*

also quantifies this "large-scale" to extend more than 200 km from the mountainous massif. A rectangular domain size of 500 km x 500 km centered on each site was then chosen (see § 3.2 for a discussion of the effect of the domain size)."

5) page 7, line 6: "with the size of the local scale depending on latitude". Please explain/expand.

- The gradient is applied between 2 grid cells and the length of the domain covered by 2 grid cells depends on latitude (see § 2.2) and correspond to 2-4 km. The manuscript was changed: " *This parameter takes into account the slopes towards lower and higher elevations over a local scale (2-4 km that is the distance covered by two grid cells, with the size of the grid depending on latitude)*"

6) page 8, line 18: use plural "autocorrelations". Also on next line, "auto-correlations" is hyphenated. Find out how it needs to be written and be consistent.

- OK, the text was changed and the hyphenation was removed. The supplement was also corrected.

7) page 17, line 6/7: "Usually the spring leads to higher concentration of ABL species than the autumn". Why?

- At most sites (and not only at high elevated sites) the CBL height is found to be higher in spring and summer than in autumn and winter. The correlation with the down welling solar radiation at the surface clearly explains the summer high ABL height and hence the summertime peaks. Some other authors found an anti-correlation with the surface pressure and the lower tropospheric stability and a correlation with the near surface wind speed and temperature. A cumulative effect of all these parameters leads to a usually higher CBL height in spring (Guo et al., 2016, Pal and Haeffelin, 2015). However, since I do not find a clear referenced explanation for the often observed difference in ABL height between spring and autumn, I prefer not to insert any further explanation in the manuscript. The sentence was however changed to: "*Usually the spring leads to higher aerosol species than the autumn probably bounded to higher ABL height.*"

8) page 18, line 14/15: Please explain why absorption coefficient is "the best tracer for anthropogenic pollution and biomass burning and consequently of ABL influence.". Unclear to me.

- The GAW-recommended basic aerosol measurement program consists of the particle number concentration, the scattering and absorption coefficients. All three of these parameters are higher in ABL than in FT. As stipulated on page 18 (in originally submitted manuscript), the aerosol absorption coefficient (or black carbon (BC) concentration) is the best tracer for ABL influence among the three aerosol parameters discussed. This is because the main sources of BC (anthropogenic pollution due to combustion processes and biomass burning) are in the ABL but are scarce near the high altitude sites. Additionally, BC aerosol is not produced by any secondary processes. In contrast, the particle number concentration and, to a lesser extent, the scattering coefficient are also influenced by gas-to-particle conversion mechanisms such new particle formation and condensational growth, which are secondary processes depending on the ABL influence in a more complex way and also on other parameters such as the solar insolation, the temperature and other thermodynamic processes. In that sense and among the basic aerosol parameters measured at most stations, the absorption coefficient is the best tracer for ABL influence. § 4.2 was changed accordingly: "*The absorption coefficient is primarily due to the presence of black carbon emitted from combustion processes occurring mostly in the ABL and rarely near the high altitude stations; additionally, BC aerosol is not produced by any secondary processes. Among the aerosol*"

parameters studied here, the absorption coefficient is consequently the best tracer for anthropogenic pollution and biomass burning and consequently of ABL influence."

9) page 19, line 8: "by the smoother pressure decrease". I don't understand that explanation. Please clarify.

- An anti-correlation between the slopes around the station and the number concentration can be explained by new particle formation that is enhanced if the pressure difference experienced during the upslope transport is not too large. The sentence was clarified: *"The greater correlation of slope with the number concentration rather than with the absorption coefficient can be explained both by the very scarce sources of black carbon in the near vicinity of most of the high altitude stations and by the smoother pressure decrease experienced by the precursors during their upslope transport along gentle slopes leading to more condensation processes and nucleation."*

10) page 20, line 1: "and at all altitudes" awkward phrase. Rephrase sentence.

- *This was just a mistake and was removed.*

11) page 19, line 11: "There are consequently few correlations between topography parameters and the diurnal cycles". This is an important finding that should be explained better in this section. Does this imply that investigators trying to discuss diurnal cycles at high altitude locations waste their time by trying to find any correlation with topography?
Please discuss this better.

See also the answers to the main comment on page 2 and 3 of this document. The study of the diurnal cycles at high altitude sites can really bring important results if specific cases are analyzed and compared. In this study, a statistical approach has to be used to obtain a reliable estimate of the diurnal cycle amplitude and leads consequently to weaker correlations. This paragraph was changed: *"The aerosol diurnal cycles are influenced by numerous phenomena (see Sect. 4.1) leading to a non-trivial relationship with the ABL influence. If the study of the diurnal cycles can bring valuable results if specific cases are analyzed and compared, the statistical approach is less obvious due to the noise in the data (low aerosol concentration and whitening process), to the inter-annual variability of the meteorological processes and to cloud, precipitation and long-range advection involving a large day to day variability. There are consequently few statistical correlations between topography parameters and the diurnal cycles. The clearest correlation is the influence of the insolation on the aerosol diurnal cycles amplitudes. This dependence between the latitude and the aerosol concentration was already mentioned by Kleissl et al. (Kleissl et al., 2007) and is easily understandable, the convection and the new particle formation being directly dependent on the solar radiation intensity. The other correlations are found between some topography parameters (ABL-TopoIndex, hypso%, G8 and LocSlope) and the absorption coefficient, which is the best tracer for ABL influence among the aerosol parameters."*

12) Figure 3 caption, line 5: "horizontal" should be "vertical" here, I think.

- Yes, it was changed

13) Figure 11, caption: "Calculations corresponding to the various domain sizes can be identified by the various flow paths lengths.". I don't understand how the calculations can be identified. Please clarify.

- The plotted colored lines have various lengths that are for example visible around SBO station. This section was deleted following suggestions by the second referee.

14) Figure 12 caption. "similar to Fig. 8". I don't see how this is similar to Fig. 8.

- You're right, it should be changed to Fig. 11. This section was deleted following suggestions by the second referee.

3. Answers to referee #2 comments:

The manuscript "The topography contribution to the influence of the atmospheric boundary layer at high altitude stations" by Collaud Coen and co-authors investigates the role of the local to regional topography on aerosol observations made at high altitude sites. They derive parameters that are supposed to reflect the average influence of the atmospheric boundary layer on each site and rank the sites by these parameters. A comparison with different observed aerosol parameters is presented and supposed to show the validity and usefulness of the approach. However, I see several major problems with the suggested approach comprising all aspects of the presented work: the methods used to derive topographic parameters, their selection for a final index, and the choice of aerosol parameters that should reflect ABL influence. Although the manuscript touches on an important question of atmospheric monitoring and could be valuable for future network planning, it cannot be published in the current form and has to undergo major revisions.

Specific concerns

1) The analysis is only focusing on the influence of thermally induced wind systems on the aerosol observations at high altitude stations. Other vertical lifting mechanisms like foehn, deep convection, and frontal passages are completely neglected, although they can be as important depending on location of the site and the season (e.g. tropical vs. high latitude stations, summer vs. winter). The relative contribution by other lifting mechanisms to local "ABL" events will vary strongly between sites (e.g. volcanic island in the subtropics (rare) vs. coastal range mountain in mid-latitude west wind drift (frequent)). The methods presented here need to consider these differences, for example by limiting the observed aerosol observations to cases where vertical lifting mechanisms other than thermally induced flow can be ruled out.

- First, the authors agree with the referee that convection and thermally induced wind systems are not the only mechanisms that bring polluted air masses to high altitudes. The other vertical lifting mechanisms described by the referee contribute to indeed enhance the pollutant concentrations at high altitudes up to the free troposphere and it is also correct that these effects will vary depending on site, season, latitude, etc. However, as we explained in the introduction of the manuscript, we restricted this study solely to the influence of the topography on the thermally induced wind systems and the CBL growth. This study considers neither the dynamics of the atmosphere nor the soil properties. Such detailed and specific analysis is best left to the scientists responsible for the individual stations but is too complex when evaluating multiple sites with disparate data sets. To take into account the atmosphere dynamics, 3D models (and not only a 2D model of the earth surface) are necessary, which is clearly not the goal of this study and definitely outside its scope. Due to computational constraints, most current global models doesn't do a good job of representing the actual topography, the model grid spacing tends to be too large (on order of 1-2 degrees of latitude and longitude) most global models provide low frequency output – typically monthly

(although sometime daily). This means that targeted regional models would need to be used to describe each of the 46 sites here, again – this is a topic best left to the local experts responsible for each observatory.

- Second, our approach is to do a global and statistical analysis to understand the role of the topography in the ABL influence across an array of 46 mountain sites. Our hope was to begin to develop common rules that can be applied to all stations. It was never meant to analyze specific cases for clear thermally driven transport at individual stations. Doing so would also greatly reduce the usable time series and result in statistically small data sets. As stated in the introduction, there is presently no single method to screen ABL-influenced from FT air masses at high altitude sites. It is therefore quite difficult to sort the cases where the ABL influence is only due to thermally driven transport. Even if possible for all types of environment, the limitation of the aerosol dataset to cases where vertical lifting mechanisms other than thermally induced flow can be ruled out would need further complex data sets for each station (for example: pressure, humidity, wind measurements at each side of the stations, 3D back-trajectories, synoptic classification scheme and probably some gaseous species concentrations).
- Finally, Zellweger et al. (2003) concluded that, in contrast to the NO_y mixing ratio, the major process for upward transport of aerosol is the thermally induced vertical transport. The choice of aerosol parameters to validate the ABL-TopoIndex can therefore be considered as the best one to study the thermally driven air mass transport.
- For all these reasons, the authors consider that the inclusion of the atmosphere dynamics and of the wind systems is beyond the scope of this study.

2) Furthermore, the method completely neglects the role of local to regional emissions. Emissions within the region of interest will be very different for the various sites and they will largely determine the amplitude of "ABL" events observed at the sites and also influence the larger scale tropospheric background. At least qualitatively emissions need to be considered and there is no lack of fairly high resolved, global emission inventories (e.g. for BC).

- The authors agree that the regional emission sources have an influence on the pollutant concentration measured at high altitude sites. However, the timing and relative magnitude of temporal cycles (as determined by auto-correlation) with ABL influence does not depend on the pollutant concentration in the ABL. To use the emission inventories, the atmosphere dynamic and particularly the wind components should also be taken into account in order to assess which sources on the 500 km x 500 km influence the high altitude sites (see answer to previous referee comment). Moreover, while the absorption coefficient could perhaps be "normalized" by the BC emission inventories, the scattering coefficient and the number concentration depend also on gas to particle conversion (e.g., new particle formation and condensation). The modeling of the gas-to-particle conversion from the emissions inventories and meteorological data is however rather complex. Moreover, the highest aerosol concentrations at the high altitude sites often depend much more on long range transport of mineral dust or biomass burning than on the regional sources. The authors are therefore of the opinion that, first, these large uncertainties would annihilate the potential benefits of the inclusion of the emission inventories and, second, that the inclusion of the atmosphere dynamics is beyond the scope of the paper. Additionally the amplitude of the diurnal cycle which is discussed in section 3.5 should be independent of the regional sources; this is therefore another way to "normalize" the aerosol concentration without reference to emissions information.

3) A similar problem is the selection of the observed aerosol parameters. Absolute aerosol parameters will depend on more factors than just the local to regional ABL input and are therefore not useful to access the question of FT vs. ABL influenced air mass. It would be more promising to

identify pollution or "ABL" events in each data series and correlate the frequency of these with any set of topographic parameters. Why would the 5th percentile of the absorption coefficient be a good indicator of ABL influence? The 5th percentile only reflects the lowest concentrations and not the frequency of pollution. Looking at the skewness of the distribution could be another indicator. Larger skewness would also indicate more frequent pollution events.

- To our knowledge the only method to detect local CBL development as well as the top of the aerosol layer is to use a ceilometer (or a lidar). There are however very few high altitude station around the world with a ceilometer time series from a lower altitude adjacent station thus limiting any statistical analysis.
- The 5th percentile clearly reflects the lowest concentrations and therefore the ability to sample clean FT air masses at the high altitude stations. The lower the ABL influence is (through the CBL and the aerosol layer heights), the lower the 5th percentile will be. The authors do agree that the median of the aerosol parameters is much more dependent on regional and local sources, whereas the 95% depends on rare high aerosol concentrations probably due to long-range transport of mineral dust or biomass burning. A normalization of the aerosol parameter with the 95% has consequently also not much sense.
- The aerosol parameters discussed in the manuscript (number concentration, absorption and scattering coefficient) are approximately lognormally distributed variables. The skewness toward the lower values is therefore not defined. The skewness toward the higher values reflects the occurrences of very high aerosol concentration that generally relates to long range transport of mineral dust and biomass burning. The skewness is consequently not the right parameter to detect ABL-influence.
- Apart from the 5th percentile, the best parameters are clearly the diurnal and seasonal cycles. These are however much more difficult to statistically extract from the time series (see the answers to the main comments on p. 1 in this document) and exhibit few correlations with the topography parameters.

4) The selection and methods to derive the topographic parameters seem to be very arbitrary and no methodological way was followed to present a set of parameters that explains the observed inter-site variability. The final results seem to suggest that mainly one of the parameters is able to predict this variability (hypso%) showing even higher correlation coefficients than the final combined topographic parameter. It also remains unclear why a region as large as 750 km times 750 km was chosen for the analysis. Clearly the flow during one diurnal cycle (and that's what a thermally induced flow system spans) cannot advect air masses from a location as distant as 325 km. Assume an average advection velocity of 5 m/s, which is already a fair value for the kind of fair-weather, low pressure gradient situation required for thermally induced flow, then it would take 18 hours to cover the 325 km. Also plain to mountain winds are known not to extend from the mountains by more than around 100 km. Hence, the use of a smaller region or the use of several sets of parameters for smaller regions should have been considered. These larger sets of topographic parameters and/or any combination of them could than have been fed into a statistical model of the observed aerosol parameters using parameter selection techniques to derive the most important topographic parameters.

- The methodology applied in this study consists first in identifying topographical criteria that would tend to increase the ABL influence and then finding parameters that can be quantitatively estimated and related to the topographic criteria. The authors do agree that some choices were not sufficiently motivated in the first version of the manuscript so that now both the used parameters (section 2.3: ABL-TopoIndex) and the rejected parameters (Table S2) are now better described. (see also the answer to the specific comment "p.6 L12" in this document)

- The reasons to choose a large size of the domain are given in p. 1 of this document (answers to the main comments) and also now discussed in the revised paper. The authors also have now restricted the size of the domain to 500km x 500km. This restriction has a very low impact on the results. : *“A quantitative estimation of these criteria depends clearly on the domain considered. The minimal size requirement for such a topographical analysis is that the domain should contain the whole mountainous massif. An airborne Lidar measurement of the ABL over the Alps (Nyeki et al., 2002) clearly stated that the convective boundary layer is formed over a large-scale and leads to an elevated and extended layer. It also quantifies this “large-scale” to extend more than 200 km from the mountainous massif. A rectangular domain size of 500 km x 500 km centered on each site was then chosen (see § 3.2 for a discussion of the effect of the domain size).”*

- The third specific concern of the referee clearly supports our contention that there are no parameters that can act as an indubitable sign of ABL influence. The best statistical parameter would be the annual cycle of the diurnal cycle amplitude which should be the greatest for stations sampling the FT part of the year. It was however not statistically possible to extract this parameter from the available time series for the following two reasons: 1) a lot of the time series were too short (< 2-5 years), as explained in the answers to the main comments (p. 2 of this document), and 2) the low aerosol concentration measured at high altitude combined with the pre-whitening process lead to a large uncertainty in the statistical determination of the cycle amplitude. In that sense, there is, to our knowledge, no reference measurement that would definitively identify the ABL influence and allow selection through a statistical model the most important topographic parameters.

- Apart from the used and the rejected parameters (now more clearly described in the revised manuscript), the authors do not see any other “direct” parameters that can be possibly used. There are other more sophisticated parameters that are linked to the valley’s topography that could be added to the ABL-TopoIndex in a further study (see § 4.3 describing possible future work), but the authors found it necessary to validate the present study by a publication before investing further time in exploring more complicated parameters.

5) This continues from 4 but deserves its own point. The analogy between water flowing down a mountain and thermally induced flows rising up a mountain, which is used to derive the parameter DBinv and is used in the discussion of section 3.6, is not valid. It is simply not correct to assume that a large air catchment will result in large upward flow at the highest point of a mountain massif. Air does not flow up to the highest point as water flows down to the lowest point. The upward flow on a fairweather day with small pressure gradients happens along individual slopes all along individual valleys and results in many convergence lines but not a single convergence point as suggested here. The presented parameter probably has some value on the very local scale but may just be very similar to hypso% in the end. This parameter and its justification as well as the whole discussion of flow paths will need to be removed from the manuscript. It simply does not reflect the ongoing physics of thermally induced flow systems correctly.

- The authors do agree with the referee that the analogy between water flowing down and thermally driven air flow has very well defined limitations. In that sense we have removed the whole discussion about flow paths (§ 3.6 and figures 11 and 12) that involve a direct analogy between the water and the air mass flow paths.
- The DBinv used in the ABL-TopoIndex has a completely different motivation and impact. DBinv is a quantitative parameter for the size of the reservoir for air convection (criterion number 4). The authors do agree that upward flows do not result in a single convergence point at the station. However DBinv is a measure of the territory that can directly influence the station air masses by upslope winds. It is true that the considered domain represented by DBinv is too large to represent the direct influence of the CBL at the station, but it is of reasonable size to describe the influence of the aerosol layer (AL) (or residual layer (RL) during the night). It was clearly shown that the AL (or RL) have a clear impact on the aerosol

concentration at high altitude stations (Collaud Coen et al., 2011, Poltera et al., 2017, Andrews et al., 2011 and references therein). Due to these reasons and to the influence of DBinv on the correlation of the ABL-TopoIndex with the aerosol parameters, the authors have chosen to keep DBinv in the ABL-TopoIndex definition.

Specific comments

Abstract: Clarify what is the scientific question at hand and what is your contribution to this problem. For example starting from line 21, start the sentence with something like "Here we ..."

- The abstract was modified and the following sentence was added at line 21: *"In this study, a topography analysis is performed allowing calculation of a newly defined index called ABL-TopoIndex. The ABL-TopoIndex is constructed in order to correlate with the ABL influence at the high altitude stations and long-term aerosol time series are used to assess its validity."*

Page 8: How comparable are the aerosol parameters between sites? Besides the detection limit adjustment what kind of common quality assurance, quality control was applied to assure that these parameters can really be used for a ranking between sites.

- 23 of the 28 aerosol datasets are provided by GAW stations and the data were obtained from the EBAS data center. GAW stations have to follow the measuring rules and quality assessment edited by the WMO/GAW aerosol advisory board. These measurement principles are extensively described in GAW report Nr 200 (WMO/GAW standard operating procedures for in-situ measurements of aerosol number concentration, light scattering and light absorption). As required by the GAW aerosol advisory board, all measurements were performed at low humidity (RH<40%). Moreover the data owners also follow the quality control procedures of the EBAS data center. Four of the datasets (MUK, NWR, PEV and OMP) are not GAW stations but the measurements were performed by research groups operating at other GAW stations. Individual exchanges with the data providers from those four sites indicated that they collected those datasets using methods similar to their operations at GAW stations so that the quality and traceability are assured. The GAW stations are now given in bold in Table S3. The umbrella provided by the WMO/GAW program is, to our point of view, sufficient so that a further description of the quality assurance of the aerosol measurements is not needed in this paper.
- Other procedures such as the STP correction, the truncation correction of Nephelometer data, the negative data of the absorption coefficient were controlled and handled similarly for all datasets. Small time series breakpoints are not important since no trends were calculated.
- All the time series were visually inspected and any doubtful data were removed after discussions with data providers.
- All the times series but 2 were done on TSP or PM10 inlets, so that similar aerosol size distributions were measured.

P2,L34: The whole terminology is confusing "flow paths for air convection". Convection does not happen along flow paths. Convection is a vertical transport and mixing mechanism at small scales and as such defined as mostly unorganised. See: <http://glossary.ametsoc.org/wiki/Convection>. Why not talk about "thermally induced flow paths" instead.

- The authors agree that "thermally induced flow paths" is a much better terminology. Since § 3.6 on "Flow paths as a function of ABL heights" was removed, the expression "flow paths for air convection" no longer appears in the manuscript.

P3,L20: Commercial airline programs such as IAGOS CARIBIC (<http://www.caribicatmospheric.com/>) would be worth mentioning in this context as well.

- The following text was added to the manuscript: *“Instrumented airplanes can make detailed measurements of the vertical and spatial distribution of atmospheric constituents and are used either during limited measurement campaigns or on regular civil aircraft (see for example the IAGOS CARIBIC project), but, because of the limited temporal scope of most measurement campaigns, cannot provide long-term, continuous context for the measurements.”*

P4,L26: Mention that this is the picture for a continental ABL not for a marine ABL.

- Ok , done (@P3 L26): *“. In the case of fair-weather days, the continental ABL has a well-defined structure and diurnal cycle leading to the development of a Convective Boundary Layer (CBL), also called a mixing or mixed layer, during the day and a Stable Boundary Layer (SBL) which is capped by a Residual Layer (RL) during the night (Stull, 1988).”*

P4,L29: This is not necessarily correct. In regions with emissions the nighttime accumulation of the emitted species in the shallow SBL usually leads to nighttime concentration maximum of these species.

- As noted by the referee, it is completely correct that the emitted species accumulate during nighttime in the SBL, leading in some cases to high concentrations. This was now specified in the text at P3 L30.
“During daytime, the aerosol concentration is maximum in the CBL and remains high in the RL. During nighttime, the surface-emitted species accumulate in the SBL.”

P4,L17: The authors should mention other vertical lifting processes. Generally frontal lifting (synoptic systems), deep convection and, in mountainous terrain, foehn. The importance of these processes was nicely illustrated by Zellweger et al. (2003).

- The text was changed to *“Finally, ABL air masses can also be dynamically lifted by frontal systems, deep convections or foehn as well as be advected from mesoscale or wider regions and influence high altitude measurements by all these atmospheric processes.”*

p4,L25: Zellweger et al. (2002) not in list of references. Probably meant Zellweger et al. 2003, but that does not include a discussion on CO₂. Please correct.

- This was indeed incorrect and was changed: *“Many methods have been used to separate FT from ABL influenced measurements, including those based on time of day and time of year approach (Baltensperger et al., 1997; Gallagher et al., 2011), wind sectors (Bodhaine et al., 1980), the vertical component of the wind (García et al., 2014), wind variability (Rose et al., 2016), NO_x/NO_y, NO_y/CO ratios or radon concentrations (Griffiths et al., 2014; Herrmann et al., 2015a, 2015b; Zellweger et al., 2003) and water vapor concentrations (Ambrose et al., 2011; Obrist et al., 2008), although none of these methods leads to an absolute screening procedure to ensure the measurement of pure FT atmosphere.”*

p4,L34 to p4,L2: Here it is stated that there are other important influence factors other than thermally induced flow. But it is not explained why one should be able to neglect them. See major remark 1.

- Please see our response to main comments p.1 of this document. Further, to our knowledge, most of the meteorological models are not able to solve all the dynamic processes in

complex topography. This study therefore concentrates on one question and tries to identify some relations between the topography and the thermally induced ABL influence. This restricted, but nevertheless ambitious objective (as well as the factors that are not taken into account), are clearly specified in the manuscript.

p5,L3: The term topographic index or topographic wetness index is already defined in hydrology (the authors used it as well). Therefore, the choice of this name for the parameter introduced here might be confusing, especially since some hydrological methods are applied to derive part of this parameter. Maybe just use ABL-index instead.

- P6L3: The referee is, of course, right in saying that the terminology of “topography” and “index” are already widely used in several scientific domains. The use of only “ABL-Index” however seems too vague to the authors, since it does not specify that only the effects of the topography are taken into account. For example, an ABL-Index could represent any number of ways of assessing ABL influence. The authors chose therefore a name (ABL-TopoIndex) where the three main underlying concepts explored in the manuscript are cited. The word “topography” was also abbreviated in order to minimize possible confusion with existing hydrological terms. Moreover the manuscript was carefully checked so that the word “TopoIndex” was never used alone. The authors prefer to keep it as written.

p5,L7: Unclear what is meant here by lakes. Again a wrong picture is drawn that suggests that there is a certain amount of air that can be transported by thermally induced flow systems. Lakes or cold air pools are more a phenomenon of the nighttime SBL but not an established concept for daytime flow.

- The authors do agree that, even if used in quotation marks, the word “lakes” is misleading. It is now replaced by the expression “*air mass reservoirs*” in the revised version.

p5,L19: Why was the relatively coarse dataset GTopo30 used? There are global DEMs with higher resolution. 1 km seems a bit coarse for the kind of sites in extremely steep terrain targeted in this study. Some of the local topography will be missed. In this context it would be interesting to see how the height of GTopo30 at the station locations actually compares to the real altitudes. I would encourage the authors to have a look at a higher resolution DEM like <https://asterweb.jpl.nasa.gov/gdem.asp> for any further analysis.

- The authors thank the reviewer for giving the suggestions of another high resolution DEM that they will use in case of further studies. A higher resolution model will clearly be of interest. It has however to be noted that the ABL influence is not really a very local phenomena so that the mean over 9 grid cells was used to obtain the ABL-TopoIndex. As expected the GTopo30 altitude at the station grid cell differs by more than 20% for 3 of 28 stations used for the correlation analysis, the GTopo30 altitude being always lower than the station altitude. These differences do not correspond to the real altitude difference between the real and the GTopo30 mean altitude over each grid cell. Corresponding to the methodology applied to the ABL-TopoIndex, the correlations were also done with the mean altitude of the 9 grid cells. It has however to be noted that the use of the station altitude or of the 9 grid cells mean altitude does not change the correlation results. The GTopo30 manual gives a minimal vertical accuracy of 250 m at 90% confidence level and a RMSE of 152 m (the Peru map, which has a lower accuracy (see GTOPO30 manual), is not used). The altitude of the grid cell containing the station as well as the mean altitude of the 9 grid cells used to calculate the ABL-TopoIndex are now given for all stations in Table S1 with the following comments: “*The real altitude of the station, the mean altitude of the grid cell containing the station and the mean altitude of the grid cell containing the station and of its 8 adjacent grid cells are given in Table S1, the last 2 altitudes are calculated from the DEM after its projection in UTM coordinates. Since the stations are usually at high altitude, the*”

altitude of the DEM grid cell is usually lower than the station altitude. The mean and median of the differences between the station altitude and the one of the grid cell are 190 m (8.6%) and 140 m (5.8%), whereas the mean and median of the differences between the station altitude and the one of the 9 grid cells are 270 m (11.7%) and 220 m (10.3%), respectively. The maximal altitude differences is found for SZZ (1153 m) that corresponds to 3% of the station altitude. Due to its peculiar situation (see paper), NCOS altitude is 1110 m lower than its DEM grid cell altitude (2.8%) and this can perhaps explain NCOS outlier status. ZEP is only 306 higher than its grid cell altitude, but this corresponds to 65% of its altitude and also explain its very high ABL-TopoIndex and its outlier status. It has however to be noted that The GTopo30 manual gives a minimal vertical accuracy of 250 m at 90% confidence level and a RMSE of 152 m (the Peru map being anyhow not used)."

p6,L11: Very questionable that these parameters are quantitative

- The parameters described under 2.3 are quantitative parameters that can be calculated for each point of the earth using a DEM. In that sense we think that the adjective "quantitative" is not misleading.

p6,L12 cont: Lots of arbitrary choices here. 750 km domain, median altitude vs. station altitude (could be any percentile; lower percentile would avoid negative values), slope between 1 and 10 km, 2-4 km mean gradients ... As mentioned above sets of parameters for different distances, etc. should have been derived and a statistical model with parameter selection been applied. It would also be nice to see all values for the calculated parameters as part of table 1.

- We agree that all the choices should be explained in this section and rather than later on in the paper:
 - 1) Concerning the size of the domain, please see the answers to the main comments on p.1 of this document. § 2.3 was also modified: *"A quantitative estimation of these criteria depends clearly on the domain considered. The minimal size requirement for such a topographical analysis is that the domain should contain the whole mountainous massif. An airborne Lidar measurement of the ABL over the Alps (Nyeki et al., 2002) clearly stated that the convective boundary layer is formed over a large-scale and leads to an elevated and extended layer. It also quantifies this "large-scale" to extend more than 200 km from the mountainous massif. A domain size of 500 km x 500 km centered on each site was then chosen (see § 3.2 for a discussion of the effect of the domain size)."*
 - 2) for the hypsD50, the referee is correct that any percentiles could be chosen. The median was taken first because it is a common averaging tool and second because presumably it would be lower than the location of each "high altitude station". The authors tried to summarize this more clearly in the manuscript by adding the following sentence: *"The median of the hypsometric curve was chosen first because a station claiming to be a high altitude site should typically be at higher altitude than half of its geographical environment."* Moreover, the station with hypsD50 can be found in Table S1.
 - 3) LocSlope is defined on a radius of 10 km since the minimal distance between the station and the nearest plateau is usually equal to or larger than 10 km. This is now stated in the manuscript: *"The distance of 10 km to calculate the LocSlope was then chosen as representative of the maximal distance to the next adjacent plateau for almost all stations."*
 - 4) the G8 is always calculated from one grid cell to the next, so that the distance of 2-4 km is given by GTopo30 and varies with latitude.

Moreover all values for the calculated parameters are now in Table S4

P7,L9: Confusing wording and concept. Drainage is a nighttime process, convection a daytime process???

- Yes, drainage winds are a nighttime process, but the manuscript discusses a “drainage basin”. Drainage basin is a hydrologic term without time connotation and can be used for daytime processes. As defined by the dictionary, “a drainage basin is the area drained by a river and all its tributaries”. It is also called [catchment area](#), drainage area, [watershed](#) or river basin.

p7,L25f: It is true that the geometric mean will change in the same way for any percentage change in any of its parameters. However, it does not normalise the variability in the parameters in the desired way. If parameter a has a 10 times larger relative variability than parameter b, the variability of the geometric mean will be dominated by a. If this is an issue in the current case could be easily tested by the authors by analysing the relationship of the original parameters and the derived geometric mean. Better than the geometric mean would be the use of parameters that were normalized for example by their variance.

- The referee is correct that the geometric mean reports similarly any percentage change in any included parameters whatever the absolute value of the parameter is. This is the reason to apply the geometric mean for environmental indices that are built with very different parameters. The use of other types of averaging with any kind of normalization does not allow us to obtain this necessary (for this analysis) mathematical property. A normalization with either the maximum or with the variance will change the value of the ABL-TopoIndex but not the ranking of the stations. Moreover the authors checked that none of the included parameters dominates the results. To further develop this critical technical point, the manuscript was changed: *“Further, a given percentage change in any of the parameters will yield an identical change in the calculated geometric mean value. In that sense the variability of each parameter is also normalized, leading to similar modifications of the ABL-TopoIndex for similar parameter’s variations.”*

p8,L17ff: It should be mentioned again when presenting the results that the seasonal and diurnal cycle that is looked at is actually the auto-correlation function. As such the amplitudes of the cycles is already normalised, which helps for the inter-comparability between sites.

- Yes, it is a good idea to highlight this fact in the results section. The following sentence was therefore added to § 3.5: *“Both the diurnal and the seasonal cycles were calculated as the strength of the autocorrelation function (see § 2.4 and supplement) so that the underlying parameters are de facto normalized and that the cycles between the stations can be directly compared.”*

p9,L15f: These changes are rather large. Especially considering that the ranking between sites changes with domain size. It should be possible to solve the transformation problem in such a way that G8 and LocSlope are really constant with domain size. Why would the domain size change the local transformation/interpolation anyway? This needs to be redone.

- The authors looked again at the problem of non-constant values of LocSlope and G8 for various domain sizes. Both these values are constant in the traditional latitude longitude coordinates. The UTM projection leads to minor changes in the LocSlope and G8 that can be explained by two reasons: 1) if the analyzed domain extends beyond 2 UTM zones, map distortion problems occurs. This is, for example, the case for BEO plotted in cyan on Fig. 6 and having large G8 modification as a function of the domain size. 2) the interpolations needed to do the UTM projection can also lead to variation and G8 is very sensitive to these

variations. The UTM projection is however necessary to ensure a similar handling of stations at very different latitudes.

Section 4: The name of the section is misleading. The section does not present a ranking of the sites by TopoIndex but more a discussion along their geographic location.

- 3.4: The title was changed to "*Relation between the ABL-TopoIndex and the station location*"

p12,L5: The more correct name would be "Rocky Mountains".

- "Rockies" was changed "*Rocky Mountains*".

p12,L15f: Why was MWO not discussed in this context as well?

- It is right that MWO is the North America station with the lowest ABL-TopoIndex and needs some comments. The following text is now added: "*Mount Washington Observatory is located in the Presidential Range of the White Mountains. It is the highest peak in the Northeastern United States and the most prominent mountain east of the Mississippi River. MWO is consequently the North American station with the lowest ABL-TopoIndex due to very low hypso% and relatively high G8 and low DBinv.*"

p13,L13f: Looks like the authors themselves are surprised that there is any relationship between their TopoIndex and the chosen aerosol parameters ...

- The authors just wanted to state that their hypothesis was verified. If wrong criteria or parameters (see § 2.3) had been chosen, the correlation with aerosol parameters would have shown it. The word "happily" is however inappropriate in a scientific context and is (sadly) removed in the revised version.

p13,L26f: But hypso% is an even better predictor than TopoIndex. I guess that means that all other parameters only partly destroy this relationship but do not add any useful information. Especially the suspicious parameter based on water flow analogy, DBinv, seems to show very bad predictive skills (worse than altitude alone in some cases).

- As explained at the beginning of this document, the various modifications required by the referee's (smaller domain size, inclusion of the middle altitude stations) as well as the removing of SUM time series from the correlation analysis lead to a somewhat different values of the Spearman rank correlation coefficients, even if the statistical significances remain similar for most of the case. In case of the correlation with the absorption coefficient, the importance of hypso% with regard to the other parameters constituting the ABL-TopoIndex decreases. LocSlope and G8 are now equally important parameters, whereas hypsoD50 has usually a lower statistical significance. We also checked that the statistical significance of the correlation between the ABL-TopoIndex and the aerosol cycles is clearly decreased if DBinv is removed from the ABL-TopoIndex definition. This is effectively the case, even if DBinv has globally bad predictive skills. Sections 3.5 and 4.2 were consequently modified.

p14,L18: Wasn't the point in Bianchi et al that the ABL influence is not a direct one, like you focus on here, but an indirect one of ABL air picked up a few days before arriving at the measurement site and therefore not being lifted by thermally induced flow but by convection or frontal systems.

- Thank you for this comment. It is correct that the greater ABL influence due to longer daytimes and stronger insolation does not relate to Bianchi et al., 2016. At this point, the

authors just wanted to mention that stronger insolation usually also promotes NPF formation. The manuscript was modified consequently: *“The high correlation between the maximal diurnal cycle and the number concentration can also be explained by the promotion of NPF by the stronger insolation at low latitude.”*

p14,L30: Isn't the failure of the ABL-TopoIndex to identify these lower altitude sites a clear indication that the suggested method does not work at all? Otherwise these clear cases of larger ABL influence should be detected and the correlation should actually improve.

- De facto, the concept of the ABL-TopoIndex is really developed for high altitude stations with complex topography and cannot be applied to low altitude sites. NCOS was already identified as an outlier in the first version of the manuscript, and we found during the revision of the manuscript that SUM should also be removed from the correlation analysis because it is located on a high altitude plateau with a very smooth relief due to the ice sheet formation.
- The aerosol parameters used for the correlation analysis are also chosen to reflect the ABL influence at stations that are at least occasionally located in the FT. The causes of the aerosol concentration minima and maxima as well as the diurnal and seasonal cycles are completely different for sites that remain in the ABL during the whole day. In that sense, neglecting stations situated at too low altitudes (like ZEP) is absolutely reasonable. In our study, HPB and MSY, two middle altitude stations, decrease the correlation coefficient values without destroying the correlation. They are now included into the correlation analysis and the related section (mostly section 3.5 and 4.2) were modified.

p15,L29: All of a sudden back-trajectories appear. It seems clear that these are not the hydrological flow paths. But from which model do these trajectories come from and why were they not used for all sites to also characterise the thermal flow systems (even if not fully represented in the model).

- Back-trajectories were calculated by the CHC data owners and used in other studies. They are used in this study just as a comparison with the main flow paths as a function of the ABL altitude. Anyhow, the section 3.6 was removed in the revised manuscript as recommended by the second referee, so that this point does not need a more detailed discussion.

p16,L16-17: This argument is going round in circles. The absorption coefficient is supposed to be an indicator of ABL influence because it correlates with topoIndex. But I thought it needs to be shown that the topoIndex actually represents ABL influence ... Very confusing.

- This sentence is actually mixing some statements from both the results and discussion sections. It was therefore modified: *“Our results showed that of the three aerosol parameters tested in this study (number concentration, absorption coefficient and scattering coefficient), absorption coefficient has the greatest correlation with the ABL-TopoIndex values.”*

p16,L26: NO₃ being NO₃_aq or ions?

- This corresponds to particulate nitrate (NO_3^-) (Zellweger et al., 2003) and this is included in the revised version of the manuscript.

p19,L17f: These parameters are mostly known to the hydrological community but need additional introduction for the more atmospheric readership of the current journal. As mentioned before, it would have been better to provide such parameters to a statistical model with parameter selection in order to get an objective selection of parameters that may explain ABL influence. However, most of these parameters would also follow the misleading assumption that thermally induced flow works just opposite to water flowing downhill and, therefore, should possibly not be considered at all.

- The authors did not consider at all that thermally induced flow can be considered as the opposite of water flow and most of these parameters were actually not used because of such discrepancies. However, as explained in the answers to the main comments (p.1 of this document), these parameters and the reasons for their rejection are now detailed as a table in the supplement (see Table S2 on p. 3)

Table1: Add the GTopo30 altitude of the grid cell containing each site, along with all the parameters derived for the site (potentially as supplement).

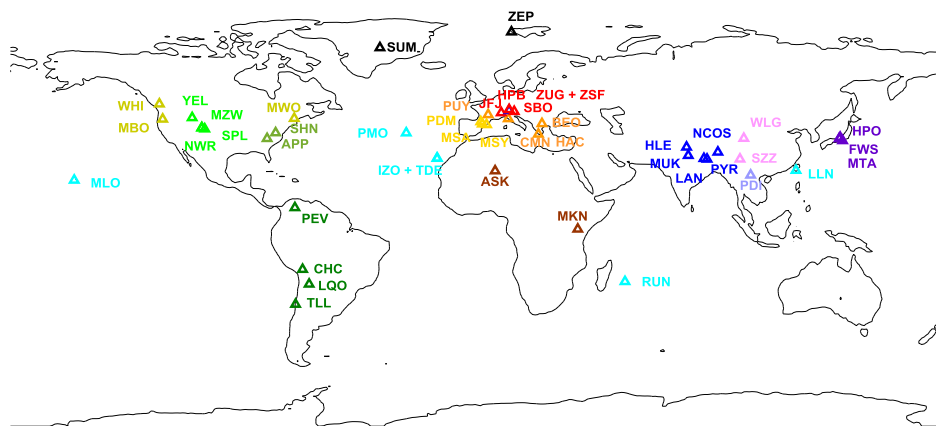
- The GTopo30 altitude of the grid cell as well as the mean for the 9 considered grid cells were added in the supplement with some comments. The altitude of the DEM grid cell as well as the mean altitude on the 9 used grid cells are given in the supplement Table S1.

Table2: The units for LocSlope should be m m⁻¹ not Mm⁻¹.

- Thanks for catching this! LocSlope has no units but there is a factor of 10⁻³ because the altitude is given in m and the horizontal distance in km. The values and units in Tab. 2 are corrected in the revised version.

Figure1: The figure quality is not state of the art. I suggest to use a topographic image as background. Larger station labels or symbols. Legend for mountain ranges.

- You will find thereafter Fig. 1 similar to the first version but with the right color scheme and a second version with the continental topography beyond the station location. If the first version allows to clearly visualize all stations, the second version also gives some information about the highest massifs around the world. The authors put the second version in the revised manuscript, but let the editor chose which figure should be finally used in the manuscript.



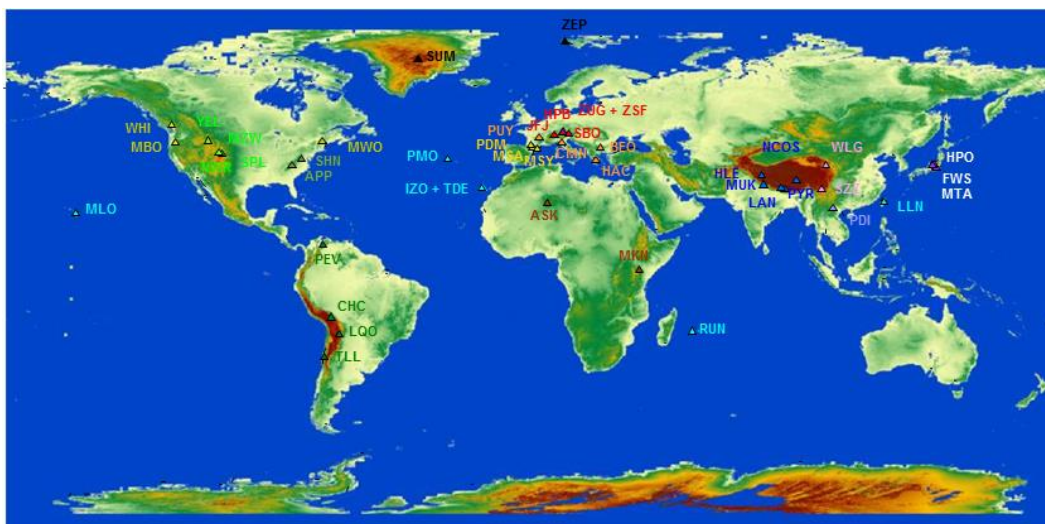


Figure2: The schematic is confusing. If you want to underline that there is a higher ABL influence on the right, why not show a visible, partially terrain following ABL in the mountainous area and an aerosol layer resulting from lift over processes. The schematic on the left is a very poor image of a mountain shape. Looks more like a life buoy with a signal post but not like the profile of a volcano.

-The referee is right, the schematic view was somewhat crude. The left schema is now changed. Since section 3.6 was deleted following the referee's comments, the added ABL was removed from Figure 2.

Figure4: The thick cyan line is not mentioned in the caption.

- OK, this now mentioned in the figure caption: *"The main flow paths from the station grid cell are given by the cyan lines."*

Figure6: Sub-panel labels are missing in the figure but are used in the caption.

- OK, the sub-panel labels are now written in the figure.

Figure8: What are the different shades of colours? Neither explained in caption nor text.

- Some colors were changed in both Fig. 1 and 8 so that the color scheme of both figures are now similar. This is now mentioned in the figure caption of Fig. 8: *"The color scheme corresponds to that in Fig. 1."*

Figure9: Very difficult to comprehend. Too many colours and symbols in one plot. Why not display negative correlation coefficients as such on the negative part of the y axis. Instead of circles, different sized symbols should be used for different significance levels.

- As suggested by the referee, the statistical significance is now given by different symbol sizes and this clearly increases the readability of the figure. We keep however the negative correlation as downward triangles to keep the direct comparison between the absolute value of the correlation coefficients. Since the anti-correlated topography

parameters are used as 1/parameter in the ABL-TopoIndex, the absolute correlation value is more important than its sign.

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4th of May 2018: Response to the second reviewer comment's:

Dear Editor, dear referees,

I thank the first referee for the acceptance of the manuscript and the second referee for their very conscientious reading of the whole paper pointing out some final inconsistencies introduced during the first phase of the reviewing process. The first 4 main remarks of the second referee are answered in the first part of this response, whereas the minor points are then clarified. The new version of the manuscript including track changes is added after the answers, so that the reviewer can rapidly catch the modifications.

1) Neglecting lifting processes other than thermally induced, convective transport.

- The authors do agree that, since the ABL-TopoIndex is presently only verified with aerosol measurements, it is mostly representative of the aerosol layer. Consequently we changed the title to *“The topography contribution to the influence of the aerosol layer at high altitude stations”*. The authors however still hope that the ABL-TopoIndex will be correlated with gaseous species measurements in future studies, allowing to enlarge its validity as representative of the ABL influence.
- Moreover, considering the referee’s completely correct comment about the lifting mechanism with precipitation, the authors modified the discussion by adding more detailed explanations in § 4.1 and 4.3:
 1. P. 16-17: *“Zellweger et al. (2003) concluded that, in contrast to NO_y, the major process for upward transport of aerosol is the thermally induced vertical transport, confirming that the aerosol parameters used in this study should be in most cases good tracers for ABL influence. However, one has to remain conscious that many lifting processes co-occur with precipitation involving hence aerosol washout. The present validation of the ABL-TopoIndex by aerosol measurements at high altitude stations is consequently valid only for thermally induced processes without precipitation. ABL events involving air masses with low aerosol concentrations –for example synoptic lifting or foehn- were not directly analyzed.”*
 2. P. 20: *“However, the aerosol parameters and, particularly, the absorption coefficient cannot be considered as unique tracers of the ABL, particularly in case of lifting processes with precipitation. Analysis of other ABL marker (gaseous species, radon, wind turbulences, etc.) can provide information on additional transport mechanisms which would allow for refinement of this topographic analysis by adding further parameters”*

2) Emissions

- Our first answer was somewhat imprecise on this subject. The authors do agree that the amplitude of the diurnal cycle will be influenced by the aerosol concentration emitted into the ABL and consequently by the emission sources in the case of a high altitude site situated part of the time in the FT. In case of a large influence of the residual layer during the night, the dependence of the diurnal cycle amplitude on the emission sources will be largely decreased or even suppressed. The timing of the diurnal cycle will however not be affected by local pollutant sources.
- The reason why ZEP, SUM and NCOS were considered as outliers is not at all due to the low aerosol concentration or the low emissions near the station. As explained in the paper (see 3.5 first §) the topographical environments of SUM and NCOS (high plains that cannot be considered as a complex topography) lead to very high ABL-TopoIndex that are considered as outliers. The exclusion of ZEP is due to its very bad representation in GTOPO30: ZEP is only 306 higher than its grid cell altitude, but this corresponds to 65% of its altitude and also explains its very high ABL-TopoIndex and its outlier status.
- The referee completely identifies the problem of the pollutant sources when he says that the source inventory should include a factor depending on the altitude and on the horizontal distance. These altitude and horizontal distance factors would however also depend on the time of the year and/or the surface temperature in order to take into

account the seasonal variability of the ABL height. For example in the alps, wood heating leads to very high aerosol concentration during winter when the ABL influence to high altitude sites are so low that they can be neglected. This is a great subject for a future study. The authors have consequently developed the part of the discussion about the emission problem on p. 16 :

“Because there are variable pollution levels in the vicinity of the stations, a single absolute value of a pollutant cannot be used to evaluate the ABL-TopoIndex (or ABL influence in general) when considering multiple high altitude stations. An inventory of the proximate pollution sources bounded to a 3D thermodynamic model adapted to complex topographies would be required before using absolute pollutant concentrations as indicators of ABL influence at high altitude sites. Another possibility would be to weight the pollution source inventories by factors depending on their vertical and horizontal distances to the high altitude stations, as well as on a seasonal parameter representing the potential ABL height. A further use of DBinv to restrict the area of potential pollution sources could also be envisaged since this parameter describes the domain from which pollutants can reach the high altitude station by convection and without crossing topographical barriers. This delicate issue can however be avoided by instead considering dynamical parameters such as the various temporal cycles.”

3) **ABL events:**

- **Skewness:** The aerosol parameters used here have a distribution that is neither normal nor lognormal but a Johnson distribution, even if it can be considered as lognormal for some applications (but not all). The skewness is also defined for lognormally distributed data. The skewness of the data and of the logarithm of the data were calculated for the JFJ station for which data of different instruments measuring the same quantity are available. For all aerosol parameters and all instruments, the skewness of the logarithm of each parameter is always negative, leading to the conclusion that the low concentration events are more frequent than the high concentration events. The data skewness (without taking the logarithm) clearly depends on the instrument and on the wavelength. For example, the absorption coefficient is measured at the JFJ by 3 different instruments, two Aethalometers (AE31 and AE33) measuring at 7 wavelengths and the MAAP at 637 nm. The absorption coefficient skewness at similar wavelengths (between 630 and 660 nm) varies from -7.9 to 22.8 among the three instruments. For the Aethalometers, the skewness varies between 5.1 to 6.7 (AE31) and from 9.8 to -7.9 (AE33) as a function of the wavelength. In conclusion, the skewness results depend mostly on the resolution (and artifact) of the instruments and cannot be used to make any assessments about the frequency of pollution events.
- **The diurnal cycles** are not averaged over a whole year (see §2.4 and supplement 1), but for each month. This allows us to find the minimal and maximal amplitude of the diurnal cycles over the entire year. These minima and maxima of the amplitude of the diurnal cycles are used in Fig. 9, as well as the amplitude of the seasonal cycle. The seasonal variation of the energy available for convection is consequently taken into account and is not the reason for the difficulties in statistically extracting the cycles from the time series. This was not so clearly described in the previous version of the results section so that the following sentence was added to p. 15:

“The diurnal cycles were calculated for the 12 months, so that D_{min} and D_{max} correspond to the lowest and the greatest monthly amplitudes, respectively. “

- The authors do agree that the difficulties in evaluating the diurnal cycle are not fully described in the discussion so that they add further comments in § 4.1:

“However, the statistical determination of the diurnal and seasonal cycle amplitudes suffer from several difficulties: 1) the low aerosol concentration at high altitude often results in measurements near the detection limit leading to high uncertainties, 2) the high hourly autocorrelation of the data require a pre-whitening procedure (see supplement) in order to be able to detect the diurnal and seasonal cycle, 3) meteorological conditions (e.g., cloud coverage, precipitation or seasonal fluctuations) modify the theoretical cycles and lead to a broadening of the time of the extrema. These difficulties make obtaining clear statistical cycles another reason contributing to the observed low correlations.”

4) **Topographic parameters and their selection:**

- **DBinv:** The referee is still not convinced that DBinv is a necessary parameter of the ABL-TopoIndex. The authors allow themselves to argue once again in favor of DBinv:
 - a. One of the referee’s arguments is that “there is no reason what so-ever why a hydrological divide should also hinder atmospheric flow” and the authors do agree with this sentence. The main idea to introduce the DBinv is to determine from where air masses can reach the high altitude station only due to convection, that is without crossing topographical barriers. In that sense, DBinv is a kind of “reservoir” not for air convection (that does not exist, as explicitly mentioned by the referee), but for pollutants that could reach the high altitude sites during long fair-weather periods without precipitation. Let’s take the unrealistic example of a summit encircled by a continuous ridge at higher altitude than this isolated summit. The potential influence of ground emitted pollutants will be lower than if the ridge is not continuous but is lowered at several places by valleys. DBinv will take this difference into account much better than Hypso% or hypsoD50.
 - b. As explained in the discussion (§ 4.3), the present study uses only aerosol measurements to validate the ABL-TopoIndex. The authors however expect that the ABL-TopoIndex will be further validated with gaseous species or radon measurements as well as used with other studies involving, for example, new particle formation. DBinv presents an only marginal correlation with the aerosol parameters and cycles, but could be an important parameter in other contexts, so that the authors still wish to include DBinv as a ABL-TopoIndex parameter. Moreover, the use of DBinv does not decrease the significance of the correlation between the ABL-TopoIndex and the aerosol parameters. In summary, DBinv has a potential for explaining other variables and does not disturb the present analysis.
 - c. The very accurate remarks of the referee concerning the use of source inventories clearly assess the difficulties of using these data in a meaningful way. The authors think that DBinv could be used to define the domain whose sources will contribute to the pollutant concentrations at the high altitude sites. This problem could be solved either by using NWP models to calculate a probability

density for a region to affect high altitude sites with the disadvantage of using a lot of computer time to obtain a wind climatology for each site and the not always accurate topography. One could also consider only all the sources in the DBinv or, as suggested by the referee, use DBinv as a probability weight for sources to influence the high altitude site, taking into account that transport through advection is not considered.

In order to replace the misleading/incorrect description of CBin_v as “a reservoir for air convection” and to better explain this concept, its description was modified and it is now referred in the paper as a “drainage basin for thermally lifted pollutants”:

“Parameter 5 – DBinv: Since the air masses have to be thermally lifted from the valleys and plains towards the summit to influence the station measurements, the size of the drainage basin (DBinv) for thermally lifted pollutants can be calculated with standard hydrology tools using an inverse topography, where the altitude Z is changed to -Z allowing the summit to become a hole. It represents the region from which pollutants such as aerosols can be thermally lifted without crossing any topographical barrier. Figures 4d and 5d are examples of the DBinv calculation for BEO and PYR. The DBinv is related to criterion 4. The ABL influence should increase with increasing size of the convection drainage basin.”

The authors hope that these modifications associated with the developed arguments will convince the referee as well as the editor to accept that DBinv should be kept in the determination of the ABL-TopoIndex. The authors remain however open to further discussion on this particular point.

- **The PYR example** : The phenomena described by the referee corresponds to an advection, which is not taken into account in our paper dealing with convection. The authors do agree that the convection from low altitude places in the DBinv for BEO is not a usual phenomenon, but it still corresponds to a region from which pollution is more likely to influence the high altitude station, particularly in case of long summer periods with fair weather days when the pollutants stay in the atmosphere for a long time.
- **Latitude and altitude**: The referee suggested to eventually add the latitude and the altitude as ABL-TopoIndex parameters. One aim of this study is to show that the altitude is not the right parameter to quantify the ABL influence, so that the altitude has not been included into the ABL-TopoIndex. However the correlation with the altitude was also calculated and results in poor correlations. The latitude is really a predictive parameter, but it does not relate to the topography of the high altitude stations. In that sense, it has to be considered as another independent parameter.
- **G8 and LocSlope**: The authors do agree with the referee that G8 and LocSlope are the two parameters having the greatest correlation since the morphology of mountainous ranges are usually similar at several scale ranges. G8 describes however the slope steepness in the direct vicinity (about 1km or less depending on the latitude) of the station whereas LocSlope characterizes the steepness in a 10 km range. The authors find that it is worth analyzing the steepness locally as well as at a regional scale.

- **Regression model** : First, the authors are unaware of a non-parametric regression model allowing to estimate the better proxy variables to explain, for example, the aerosol measurements. Regression models are constructed for normally distributed data or can be applied on the logarithm of the data. In our case, the aerosol parameters have a Johnson distribution that can be approximated by a lognormal distribution in some cases, but this approximation can also lead to clear errors. Second, the authors hope that the ABL-TopoIndex will be tested with other measured parameters and not only with aerosol optical properties and number concentration. For example, new particle formation, radon and gaseous compounds could be also used. In that sense, the ABL-TopoIndex should not be restricted to the parameters explaining the aerosol measurements, so that a restriction of the ABL-TopoIndex parameters to the those presenting a high correlation with the aerosol measurements is not desirable.

The type of the aerosol distribution was added to the manuscript on p. 14:

“In order to have a robust estimate of the correlation between the aerosol measurements (following a Johnson distribution) and the topographical parameters (following a normal or a log-normal distribution depending on the parameters) the Spearman’s rank correlation was calculated.”

5) Further minor comments:

P2, L2: “drainage basin for air convection”. Once more there is no such thing and the analogy is not valid. Since I suggest dropping parameter DBinv this term and concept can also be removed from the manuscript altogether. See the discussion above.

- As developed under §4) of this document, the authors do agree that the expression “drainage basin for air convection” is not adequate and have changed it to “drainage basin for thermally lifted pollutants”. The explanation of this parameter was also modified in the manuscript (p. 7-8). While remaining open for a further discussion, the authors do consider DBinv as a potentially important parameter for further correlation studies.

P6, L5f: Not clear what the accuracy refers to: the altitude differences between sites and GTOPO or the specification of GTOPO? However, what you should be worried about is not the accuracy of GTOPO which refers to the average altitude in each grid cell but the representativeness of GTOPO for the whole grid cell and the resulting mismatch with your station altitudes. So the results in Table S1 are the more important numbers.

- The accuracy refers to the specification of GTOPO. The referee is right in the sense that the important point is really the representativeness of GTOPO. As already commented in the first review round, further studies should use topographical models with lower grid size in order to better represent the reality.

I am also confused about the new text inserted in the revised manuscript. It is not the same as given in the replies (see page 14 of replies), which discusses the altitude differences in much more detail. Just there it is said that the altitude difference for NCOS is 1100 m, which is not true (see Table S1). However, some of the other large altitude differences should be mentioned in the manuscript not just in the reply.

- Table S1: Thank you for pointing this out. It is the station LAN and not NCOS (also called NAM) that has the greatest negative altitude difference with the GTOPO altitude. The text was consequently modified:

“Due to its location at 1.2 km horizontal distance from the Yala peak (5500 m), LAN’s altitude (3920 m) is 1110 m lower than its DEM grid point altitude (by 28%) and this corresponds to the largest overestimation of the station altitude.”

- The text given on page 14 of the answer was inserted into the supplement. The authors think that it is too much detailed to be part of the manuscript, which was however complemented (in this version of the manuscript) with the following information (p. 6):
“Due to the altitude averaging over each grid cell, there is typically an altitude difference between the true station altitude and its corresponding grid location. The mean and median of the differences between the station altitude and its representative grid point are 190 m (8.6%) and 140 m (5.8%). For stations situated near the summits, the difference can be significant: five stations have an underestimation of their altitude greater than 500 m corresponding to 15-32 % (see the supplement and particularly Table S1 for further details), despite, according to the manual, the high reported GTOPO30 accuracy (minimal accuracy of 250 m at 90% confidence level with a RMSE of 152 m).”

P7, L5: Why are these two sites discussed when there are sites like WLG and MUK also showing larger hypso%? There are many more sites with large values as seen in Table S1. So the statement that most sites have hypso% smaller 5 % is not true! Actually 22 of 46 sites have values larger 5 %. Please be more precise in all your discussion! Don't let the reviewers do your work!

- The stations mentioned at this place in the text are the ones taken as examples on the plot and were not intended at all as a description of all station hypso% values. The limit of 5% was taken from the analysis on the larger domain size (750km x 750 km) and was unfortunately not changed in the revised manuscript. The manuscript was consequently modified (p. 7):
“Figure 3a presents several normalized hypsometric curves with dots indicating the station hypsometric value. While most of the high altitude stations (65%) have hypso% values less than 10%, some stations are situated on wide inflection points of the hypsometric curve (see for example PYR and NCOS on Figure 3a). Six stations (see Table S1) have hypso% larger than 50%.”

P7, L14: 6 out of 46 sites are situated under hypso50. What is the consequence? Should they even be discussed? The new sentences just before suggest that these sites cannot claim to be high altitude stations!

- As explained in the paper, a very small value of 10 was attributed to the 6 stations with negative hypsoD50. The main consequence is that these 6 stations have the same hypsoD50 even if the negative values are fall between -120 m and -872 m. In order to take into account the difference in hypsoD50 negative values, we introduce a new parametrization in the new version of the manuscript: instead of replacing the negative hypsoD50 by a constant value of 10, the value of $1000/abs(hypsoD50)$ is taken. The only consequence is to increase, to some extent, the ABL-TopoIndex of these stations that are already the stations with the highest ABL-TopoIndex values. This new parametrization induces no change in the correlation analysis because a method based on rank is used to determine that.
- If we consider the real altitude of the station instead of the GTOPO altitude, there is only 3 stations having a negative hypsoD50 (HLE: -392, LQO: -68 m and TLL: -97 m). Due to our decision to average over 9 grid cells for this parameter, it is not possible to use the real station altitude since that is not clearly defined for the adjacent grid cells.

P7, L16f: This is a hypothesis so far not a fact. Please rephrase.

- The sentence was changed to *“The ABL influence should decrease with increasing values of hypsoD50”*.

P7, L23: A “plateau” is a high plain (<https://en.wikipedia.org/wiki/Plateau>). So here the word valley or plain should be used instead.

- The word “plateau” was changed with “high plain” in the whole text, but for “the Tibetan plateau” that is a tradition expression used in geography.

P9, L30f: This argumentation is confusing and misleading. First of all it is not the hydrological drainage basin that is used in the ABL index but the inverse drainage basin (5d rather than 5c). Then the size of the basin is made responsible for the high ABL index. However, just above we have seen that a large inverse drainage basin does not lead to a high ABL index (inverse drainage basin for BEO is actually larger than the one for PYR). So I would say that one of the other parameters is the more important one in terms of this discussion, most likely hypso% which differs by 3 orders of magnitude between the two sites. However, the discussion of DBinv will need to be removed from the manuscript anyway.

- The authors wanted to say that PYR has a high ABL-TopoIndex not only due to the large DB but also due to its position at the foot of Mount Everest. The authors really referred to the hydrological drainage basin of Fig. 5c, since high altitude stations are frequently at the edges of several drainage basin due to their dominant position. The sentences were misleading and was changed:

“PYR (5079 m) is the second highest station considered here, but the station is located at the foot of Mount Everest (8848 m) at a confluence point of several valleys (Fig. 5a and b). Figure 5c shows that PYR is situated in the middle of a very large hydrological drainage basin enhancing the fact that PYR station isn’t placed at a dominant position. The PYR ABL-TopoIndex is consequently quite high (3.43) and supports the observation of a large ABL influence in the Himalaya region (Bonasoni et al., 2008).”

- In that §, no mention of DBinv are made.

P10, L6: This should be 50 x 50 to 1000 x 1000 km².

- If the mentioned page and line are correct, the size given in the manuscript corresponds to the sizes on which G8 and LocSlope are computed and not to the various sizes on which the ABL-TopoIndex was tested.

P13, L6: MWO supposed to have a “low DBinv”, but a value of 127457 for DBinv at MWO means that the site has a DBinv larger than the mean, larger than the median and even larger than the 75% per-centile of all sites (see Table S1).

- The referee is right, this is a mistake. MWO has one the lowest hypso% and the greatest LocSlope and hypsoD50 of North America as well as the second greatest G8 of North America. The manuscript was modified:

“MWO is consequently the North American station with the lowest ABL-TopoIndex due to very low hypso% and relatively high G8, LocSlope and hypsoD50.”

P14, L12ff: Once more, I can only say that it is surprising that the ABL-Index does not work for such sites that are clearly not high altitude sites. How can we then trust the method to rank sites at different degrees of high altitude? One common factor at all three sites is the absence of local to region emissions! Hence, aerosols no longer are a good estimator of FT vs ABL conditions.

- The reason why the SUM and NCOS stations are discarded is that the topography at these places is not a “complex topography”. Both stations are really situated on high plains and the ABL-TopoIndex is usable only in a “mountain” context and not in a “plain” context. This is clearly explained in the manuscript (§ 3.5).

- ZEP was not included at all at the beginning of the study. ZEP is also usually not presented at all as a “high altitude” station. In Gawsis (<https://gawsis.meteoswiss.ch/GAWSIS//index.html#/search/station/stationReportDetails/459>), the ZEP station is described as “land”. It was just my own wish to test the limits of the ABL-TopoIndex by introducing some middle altitude stations for comparison purpose. I tried to clearly describe the peculiar status of these sites in the manuscript (§ 2.1 and 3.5).

P15, L9ff: One should mention that these two parameters are actually strongly correlated ($r=0.76$, spearman)! The highest correlation between all parameter pairs! So it is no surprise that they both show similar characteristics and actually one could be sufficient for this study.

- As described on p.2 of this document, G8 and LocSlope both correspond to the steepness of the slope but at different ranges (about 1 and 10 km, respectively). The authors think that keeping both parameters could be important for various phenomena not yet studied, such as air lifting improving the new particle formation (G8) or valley winds (LocSlope).

The topography contribution to the influence of the aerosol layer at high altitude stations

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Abstract. High altitude stations are often emphasized as free tropospheric measuring sites but they remain influenced by atmospheric boundary layer (ABL) air masses due to convective transport processes. The local and meso-scale topographical features around the station are involved in the convective boundary layer development and in the formation of thermally induced winds leading to ABL air lifting. The station altitude alone is not a sufficient parameter to characterize the ABL influence. In this study, a topography analysis is performed allowing calculation of a newly defined index called ABL-TopoIndex. The ABL-TopoIndex is constructed in order to correlate with the ABL influence at the high altitude stations and long-term aerosol time series are used to assess its validity. Topography data from the global digital elevation model GTopo30 were used to calculate 5 parameters for 43 high and 3 middle altitude stations situated in five continents. The geometric mean of these 5 parameters determines a topography based index called ABL-TopoIndex which can be used to rank the high altitude stations as a function of the ABL influence. To construct the ABL-TopoIndex, we rely on the criteria that the ABL influence will be low if the station is one of the highest points in the mountainous massif, if there is a large altitude difference between the station and the valleys or high plains, if the slopes around the station are steep, and finally if the drainage basin for thermally lifted pollutants is small. All stations on volcanic islands exhibit a low ABL-TopoIndex whereas stations in the Himalaya and the Tibetan Plateau have high ABL-TopoIndex values. Spearman's rank correlation between aerosol optical properties and number concentration

from 28 stations and the ABL-TopoIndex, the altitude and the latitude are used to validate this topographical approach. Statistically significant (s.s.) correlations are found between the 5 and 50 percentiles of all aerosol parameters and the ABL-TopoIndex whereas no s.s. correlation is found with the station altitude. The diurnal cycles of aerosol parameters seem to be best explained by the station latitude although a s.s. correlation is found between the amplitude of the diurnal cycles of the absorption coefficient and the ABL-TopoIndex.

1. Introduction

Climate monitoring programs aim to measure climatically relevant parameters at remote sites and to monitor rural, arctic, coastal and mountainous environments. The majority of these programs consist of in-situ instruments probing the Atmospheric Boundary Layer (ABL). The high altitude stations provide a unique opportunity to make long-term, continuous in-situ observations of the free troposphere (FT) with high time and space resolution. It is however well-known that, even if located at high altitudes, the stations designed to measure the FT may be influenced by the transport of boundary layer air masses. Remote sensing instruments can be used to complement in-situ measurements in order to provide more information about the FT. For example, sun photometers measure aerosol optical depth of the integrated atmospheric column including the FT although they don't provide vertical information to enable separation of FT and ABL conditions. Light Detection and Ranging (LIDAR) type instruments measure the profile of various atmospheric parameters (meteorological, aerosol, gas-phase) and thus can provide information not only on the ABL but also on the FT. They can be used to detect the ABL and Residual Layer (RL) heights at high altitude stations from a convenient site at lower elevation (Haeffelin et al., 2012; Ketterer et al., 2014; Poltera et al., 2017). These instruments are however limited in the presence of fog and low clouds and they don't measure above the cloud cover. Further, the use of LIDAR to attribute the various aerosol gradients to ABL layers remains a delicate problem. Lastly, few LIDAR instruments are currently installed in regions of complex topography. Instrumented airplanes can make detailed measurements of the vertical and spatial distribution of atmospheric constituents and are used either during limited measurement campaigns or on regular civil aircraft (see for example the IAGOS CARIBIC project, <http://www.caribic-atmospheric.com/>), but, because of the limited temporal scope of most measurement campaigns, cannot provide long-term, continuous context for the measurements. Ideally, to make FT measurements, a combination of these techniques would be used, but due to limited resources that is rarely possible. Thus, it is important to evaluate the constraints of each technique. The high altitude time series from surface measurements remain the most numerous and the longest data sets to characterize the FT and its evolution during the last decades. Here we focus on identifying factors controlling the influence of ABL air on high altitude surface stations hoping to sample FT air.

The ABL is the lowest part of the atmosphere that directly interacts with the Earth's surface and is most of the time structured into several sub layers. In the case of fair-weather days, the continental ABL has a well-defined structure and diurnal cycle leading to the development of a Convective Boundary Layer (CBL), also called a mixing or mixed layer, during the day and a Stable Boundary Layer (SBL) which is capped by a Residual Layer (RL) during the night (Stull, 1988). During daytime, the aerosol concentration is maximum in the CBL and remains high in the RL. During nighttime, the surface-emitted species accumulate in the SBL. In the case of cloudy or rainy conditions as well as in the case of advective weather situations, free convection is no longer driven primarily by solar

heating, but by ground thermal inertia, cold air advection and/or cloud top radiative cooling. In those cloudy cases the CBL development remains weaker than in the case of clear sky conditions. Long range or RL advection can however lead to a high aerosol concentration above the CBL during daytime, leading to high altitude aerosol layers (AL) that can be decoupled from the CBL and the SBL.

There are several rather complex mechanisms able to bring ABL air up to high altitude (Rotach et al., 2015; Stull, 1988; De Wekker and Kossmann, 2015). An important factor in many of these mechanisms is how the CBL develops over mountainous massifs. In their extensive review of concepts, De Wekker and Kossmann (2015) studied the CBL development over slope, valley, basin, high plain as well as over complex mountainous massifs and concluded that the CBL height behavior can be categorized into four distinct patterns describing their spatial extent as a function of the surface topography: the hyper-terrain following, the terrain following, the level and the contra-terrain following. The type of CBL height behavior depends on several factors such as the atmospheric stability, synoptic wind speed and vertical and horizontal scale of the orography. Stull (1992) concluded that the CBL height tends to become more horizontal (level behavior) at the end of the day, that deeper CBLs are less terrain following than shallower ones, and that the CBL top is less level over orographic features with a large horizontal extent. Even if the CBL height remains lower than the mountainous ridges, thermally driven winds develop along slopes, or in valleys or basins and these winds are able to bring ABL air masses up to mountainous ridges and summits. These phenomena were extensively modeled (Gantner et al., 2003; Zardi and Whiteman, 2012) and also measured (Gantner et al., 2003; Rotach and Zardi, 2007; Rucker et al., 2008; Venzac et al., 2008; Whiteman et al., 2009) and are part of the active mountainous effects allowing a vertical transport of polluted air masses to the FT. For example, a Continuous Aerosol Layer (CAL) is often measured above the CBL during dry, clear-sky and convective synoptic situations (Poltera et al., 2017). Finally, ABL air masses can also be dynamically lifted by frontal systems, deep convections or foehn as well as be advected from mesoscale or wider regions and influence high altitude measurements by all these atmospheric processes.

The ABL influence of the mesoscale regions at high altitude sites were directly shown by airborne LIDAR measurements over the Alps and the Apennine (Nyeki et al., 2000, 2002; De Wekker et al., 2003) and more indirectly by the seasonal and diurnal cycles of aerosol parameters at high altitude stations (Andrews et al., 2011). Many methods have been used to separate FT from ABL influenced measurements, including those based on time of day and time of year approach (Baltensperger et al., 1997; Gallagher et al., 2011), wind sectors (Bodhaine et al., 1980), the vertical component of the wind (García et al., 2014), wind variability (Rose et al., 2016), NO_x/NO_y, NO_y/CO ratios or radon concentrations (Griffiths et al., 2014; Herrmann et al., 2015a, 2015b; Zellweger et al., 2003) and water vapor concentrations (Ambrose et al., 2011; Obrist et al., 2008), although none of these methods leads to an absolute screening procedure to ensure the measurement of pure FT atmosphere.

The altitude range of stations which claim they sample in the FT (at least some of the time) spans from about 1000 to more than 5320 m a.s.l., but a simple analysis of the aerosol parameters (for example, the black carbon concentration) as a function of altitude suggests that higher altitude stations are not necessarily less influenced by anthropogenic pollution. While station altitude may not be the main parameter explaining the ABL influence, topographical features around the station are nevertheless involved in the CBL development and in the formation of thermally induced winds leading to ABL air lifting (Andrews et al., 2011; Kleissl et al., 2007). In addition to topography there

are other important parameters determining the ABL influence at mountainous stations such as the wind velocity and direction, soil moisture and albedo, synoptic weather conditions, pollution sources as well as sea surface temperature for islands, but none of these parameters will be considered in this study, which is solely restricted to the analysis of the topographic influence.

The aim of this paper is twofold: (i) to define a topography based index called ABL-TopoIndex that can be utilized to rank the high altitude stations as a function of the ABL influence and (ii) to compare the potential ABL influence of several locations in a mountainous range in order, for example, to choose the best sampling location. Some concepts tested or used in this study are taken from the hydrology analysis field, since both air and water flow along defined, though (often) different, flow paths. The ABL air masses flow towards high altitudes and can extend into a three dimensional space, in contrast to the downward flow of water that can be restricted to a two dimensional space. However, similar to hydrological concepts, the ABL air mass reservoirs are found in the plains and valleys.

2. Experimental

2.1. Stations

Forty-three high altitude stations (Table 1 and Fig. 1) were selected based on various criteria, such as the presence of aerosol or gaseous measurements, their representativeness of the mountainous massif and/or the possibility to compare several stations from the same mountainous massif. They are representative of 5 continents and their altitudes range between 1074 (SHN) and 5352 m a.s.l (CHC). Even if clearly situated within the ABL, some stations like HPB, MSY or ZEP were added to this analysis to verify the results of the ABL-TopoIndex at lower altitude sites. Several mountainous massifs such as the Alps, the Himalaya, the Rocky Mountains and the Andes Cordillera are well represented with three to five stations. Some other stations such as BEO in the Balkan Peninsula, HAC in the Peloponnese, WLG in China, PDI in Vietnam, MKN in Kenya and the high plain of ASK in the Hoggar Mountains of southern Algeria are the only representative of their massif. The volcanic islands form a category in themselves, despite being located in different oceans and at various latitudes.

2.2. Topography data and analysis

The topography data were taken from the global digital elevation model GTopo30 (<https://lta.cr.usgs.gov/GTOPO30>). GTopo30 has a horizontal grid spacing of 30 arc seconds corresponding to a spatial resolution between 928 m in the East/West direction at the equator, 598 m at WHI (50° N) and 373 m at the SUM polar station (72.6° N). In the North/South direction, 30 arc seconds are almost constant with latitude and correspond to 921 m at the equator and 931 m at the poles. The geographical coordinate system WGS84 (World Geodetic System revised in 1984) from GTopo30 was projected in the Universal Transverse Mercator (UTM) conformal projection to ensure homogeneity in vertical and horizontal coordinates. Due to the altitude averaging over each grid cell, there is typically an altitude difference between the true station altitude and its corresponding grid location. The mean and median of the differences between the station altitude and its representative grid point are 190 m (8.6%) and 140 m (5.8%). For stations situated near the summits, the difference can be significant: five stations have an underestimation of their altitude greater than 500 m corresponding to 15-32 % (see the supplement and particularly Table S1 for further details), despite,

according to the manual, the high reported GTOPO30 accuracy (minimal accuracy of 250 m at 90% confidence level with a RMSE of 152 m). If not specified, the given altitudes correspond to altitude above sea level (a.s.l.).

The TopoToolbox-master version of the free shareware TopoToolbox (<https://topotoolbox.wordpress.com/>), which is a set of matlab functions offering analytical GIS utilities in a non-GIS environment (Schwanghart and Scherler, 2014), was used as a principal tool for the topographic relief and flow pathways analysis in the Digital Elevation Model (DEM) analysis. The DEM were preprocessed by filling holes with a carving process prior to calculate the flow directions and the water flow paths were calculated with the single flow direction representation.

2.3. ABL-TopoIndex

To construct the ABL-TopoIndex, we rely on the following four criteria to indicate that the ABL influence will be low if:

- 1) the station is one of the highest points in the mountainous massif,
- 2) there is a large altitude difference between the station and the valleys, high plains or the average domain elevation,
- 3) the slopes around the station are steep, and
- 4) the «drainage basin» for thermally lifted pollutants is small.

Based on these criteria, the red station on Fig. 2 will be less influenced by the ABL than the blue station, despite being situated at lower altitude. A quantitative estimation of these criteria depends clearly on the domain considered. The minimal size requirement for such a topographical analysis is that the domain should contain the whole mountainous massif. An airborne Lidar measurement of the ABL over the Alps (Nyeki et al., 2002) clearly stated that the convective boundary layer is formed over a large-scale and leads to an elevated and extended layer. Nyeki et al. (2002) also quantified this “large-scale” to extend more than 200 km from the mountainous massif. A rectangular domain size of 500 km x 500 km centered on each site was thus chosen for this analysis (see § 3.2 for a discussion of the effect of the domain size). These four criteria listed above are then represented using five parameters (Table S2 lists topographical and hydrological parameters considered but rejected for this analysis):

1. **Parameter 1 – hypso%:** A hypsometric curve is the cumulative distribution function of elevation on the considered domain. The frequency percentage of the hypsometric curve at the station altitude (hypso%) provides a representation of criterion 1 for a large spatial scale. Figure 3a presents several normalized hypsometric curves with dots indicating the station hypsometric value. While most of the high altitude stations (65%) have hypso% values less than 10%, some stations are situated on wide inflection points of the hypsometric curve (see for example PYR and NCOS on Figure 3a). Six stations (see Table S1) have hypso% larger than 50%. BEO and FWS are found at less than 0.1% of the curve

indicating they are located at one of the highest points of their respective mountainous massifs. The ABL influence should increase with increasing value of hypso%.

2. **Parameter 2 – hypsoD50:** The second parameter (hypsoD50) is the difference between the station altitude and the altitude at 50% of the hypsometric curve. The median of the hypsometric curve was chosen first because a station claiming to be a high altitude site should typically be at higher altitude than half of its geographical environment and, second, because the median is a commonly used statistical concept to determine the central value of a sample. The parameter hypsoD50 corresponds to criterion 2 for a large spatial scale. In some cases (see Fig. 3a and Table S1), the station is situated under the 50% of the hypsometric curve leading to a negative hypsoD50. For these sites the hypsoD50 is set to the very small value of $1000/abs(hypsoD50)$ to allow the geometric mean to be applied (see equation 1). The ABL influence **should** decrease with increasing values of hypsoD50.
3. **Parameter 3 – LocSlope:** The altitude difference between the station and the minima in a circular domain centered at the station is plotted as a function of the domain radius on Fig. 3b. The slope of this curve between 1 km and 10 km is then calculated (LocSlope) and corresponds to criteria 2 and 3 for a small spatial scale. The steepness of the slopes (criterion 3) around the station is only evaluated from the station toward the lowest elevations. The distance of 10 km to calculate the LocSlope was then chosen as representative of the maximal distance to the next adjacent high plain for almost all stations. Figure 3b shows that the change in the altitude difference as a function of domain radius can be very different from station to station. For example, there is a rapid decrease of the elevation minima with increasing distance that gradually levels off for radius greater than 7 km for JFJ and for radius greater than 4 km for MBO; there is a continuous decrease of the minima elevation for PYR and ASK up to radius larger than 30 km; and there are some steps for CHC and BEO. NCOS appears very different than the other sites plotted since the NCOS station is near the vast Nam Lake (surface area 1950 km²) situated at 4718 m. The ABL influence should increase with decreasing LocSlope.
4. **Parameter 4 – G8:** The mean gradient in elevation in the eight directions (N, NE, E, SE, S, SW, W, NW) at the station is called G8. This parameter takes into account the slopes towards lower and higher elevations over a local scale (0.5-1.1 km, which is the distance between by two grid cells, with the size of the grid depending on latitude) and corresponds to criterion 3. The ABL influence should decrease for increasing G8 gradient.
5. **Parameter 5 – DBinv:** Since the air masses have to be thermally lifted from the valleys and plains towards the summit to influence the station measurements, the size of the

drainage basin (DBinv) for thermally lifted pollutants can be calculated with standard hydrology tools using an inverse topography, where the altitude Z is changed to $-Z$ allowing the summit to become a hole. It represents the region from which pollutants such as aerosols can be thermally lifted without crossing any topographical barrier. Figures 4d and 5d are examples of the DBinv calculation for BEO and PYR. The DBinv is related to criterion 4. The ABL influence should increase with increasing size of the convection drainage basin.

To summarize, the ABL influence should increase with decreasing values of hypsoD50, LocSlope and G8 and with increasing values of hypso% and DBinv. Thus, to determine the ABL-TopoIndex, the geometric mean is calculated on the inverse of hypsoD50, LocSlope and G8 along with the values of hypso% and DBinv. To avoid any particularities of the station site and due to the fact that the ABL influence is a regional factor, the mean of the values at the grid cell containing the station and at the eight neighboring grid cells (recall that grid spacing is 30 arc seconds) are used to calculate the ABL-TopoIndex. The ABL-TopoIndex is then taken as the geometric mean of the five parameters:

$$ABL-TopoIndex = \sqrt[5]{hypso\% \times \frac{1}{hypsoD50} \times \frac{1}{LocSlope} \times \frac{1}{G8} \times DBinv}$$

(1)

The geometric mean is used here on strictly positive parameters that have widely different numeric ranges (e.g., Table 2). The geometric mean is used instead of the arithmetic mean because it effectively "normalizes" the various parameter ranges, so that no parameter dominates the weighting. Further, a given percentage change in any of the parameters will yield an identical change in the calculated geometric mean value. In that sense the variability of each parameter is also normalized, leading to similar modifications of the ABL-TopoIndex for similar parameter's variations. Because of these properties, the geometric mean is the recommended method to determine a meaningful indices from multiple parameters (Ebert and Welsch, 2004). The extrema, median and mean of the parameters constituting the ABL-TopoIndex are reported in Table 2. The value of the ABL-TopoIndex has no significance in itself, so that the units are not important, but it allows ranking of the stations as a function of the ABL influence due to convection.

2.4 Aerosol parameters

Aerosol datasets from 25 high altitude and 3 mid-altitude stations (Table 1) were available for this study, 21 of them coming from GAW (Global Atmospheric Watch) stations. The datasets comprise absorption coefficient, scattering coefficient and/or number concentration and cover time periods ranging from at least one year up to more than one decade of measurement (see supplement Table S3). Stations with time series shorter than one year were not used, since they are not representative of a complete seasonal cycle. Due to the non-normal distribution of the aerosol parameters, the 5, 50 and 95 percentiles were taken as representative of the minimal, central position and maximal concentrations.

No correction for standard pressure and temperature was applied in order to use the measured aerosol properties and concentration at high altitude. For consistency, the measured hourly absorption and scattering coefficients were adjusted to a wavelength of 550 nm if reported at a

different wavelength using an Ångström exponent of 1. Additionally, the scattering coefficients were corrected for truncation error. Because of their measurement technique and the low aerosol concentrations at many high altitudes, filter-based photometers regularly measure negative absorption coefficients at some of these sites. Some datasets contain up to 20-30% of negative absorption values. Depending on the data owner's policy, these negative values were either left in the dataset, set to zero (or to a minimal value) or considered as missing values. To ensure a similar treatment for all datasets, negatives values, zeros or minimal values attributed to negatives were therefore set to missing values.

The diurnal and seasonal cycles were only analyzed on datasets longer than 2 years. To be able to statistically calculate the diurnal and seasonal cycles, the autocorrelations at one hour (first lag) were first removed from the dataset by a whitening procedure (Wang and Swail, 2001). The autocorrelations at each lag time were then calculated on the whitened dataset taking into account missing data (see supplement for further explanations). Only autocorrelation values statistically significant at 95% confidence level were kept. Since the diurnal (24 h) and annual cycles (365 days) were not well defined due to variable meteorological conditions and some shorter datasets, the autocorrelation at lags 22 to 26 h and at lags 350 to 380 days were summed to obtain the strength (i.e., the cycle amplitude) of the diurnal and seasonal cycles, respectively. Noise in the aerosol measurements makes the strength of the cycle a somewhat qualitative value. The diurnal cycles were calculated for each month of the year in order to observe the seasonal change of the diurnal cycles.

3. Results

3.1. Case studies

Mount Moussala (BEO) is the highest summit not only of Bulgaria but of the whole Balkan massif. The regional GAW station is located at the summit (2925 m). The topographic dominance of BEO can be visualized on the topography map (Fig. 4a). Figure 4a also shows the main hydrological flow paths which follow the Iskar, Martisa and Metsa rivers. Figure 4b shows the water flow accumulations, which are the accumulated flows of all cells flowing into each downslope cell in the output raster, allowing visualization of the greatest features of the Bulgaria hydrographic network. BEO is at the junction of four drainage basins corresponding to the four main rivers (Fig. 4c). Figure 4d shows that when the convection drainage basin is calculated with the inverse topography, BEO is in the center of a large convection drainage basin that covers most of the plotted domain. Even though BEO's altitude is under 3000 m, BEO's ABL-TopoIndex of 0.52 is one of the lowest due to an almost zero hypso% (0.034 %), a high hypsoD50 of 2136 m and a small DBinv of $1.15 \cdot 10^5$ (Table 2 and S2). HAC is a very similar case to BEO since it is situated almost at the top of Mount Helmos, the third highest mountain of the Peloponnese (Greece).

PYR (5079 m) is the second highest station considered here, but the station is located at the foot of Mount Everest (8848 m) at a confluence point of several valleys (Fig. 5a and b). Figure 5c shows that PYR is situated in the middle of a very large hydrological drainage basin enhancing the fact that PYR station isn't placed at a dominant position. The PYR ABL-TopoIndex is consequently quite high (3.43) and supports the observation of a large ABL influence in the Himalaya region (Bonasoni et al., 2008). The daily arrival of polluted air masses from the Indo-Gangetic plain is frequently reported in PYR data analyses (Bonasoni et al., 2010, 2012; Marinoni et al., 2010).

3.2. Relation between ABL-TopoIndex and domain size

The ABL-TopoIndex depends on the size of the chosen domain (Fig. 6a) so that the various algorithms were tested to several domain sizes ranging from 50 to 1000 km². The gradient G8 and the local slope LocSlope are calculated on small fixed horizontal scales (0.5-1 and 10 km, respectively) and are consequently constant with domain size (Fig 6e,f), although there are small fluctuations due to some distortions occurring during the projection of GTOPO30 in the UTM WGS84 coordinate system, mostly when the analyzed domain extends beyond two UTM zones (see for example BEO). The other three parameters do change with domain size which is the reason that the ABL-TopoIndex also is a function of the domain area. DBinv tends to increase with the domain size for all stations (Fig. 6b), since the low altitude area potentially contributing to increase the thermally lifted pollutants concentration increases with domain size. The hypso% decreases continuously for stations situated in a dominant position in their mountainous massif such as JFJ, SBO or BEO (Fig. 6c). For stations located at a lower position in their massif (see for example HPB), the hypso% first increases before decreasing once the domain contains all the highest peaks of the massif. Finally, stations situated atop a high local mountain but surrounded by higher mountains such as MUK (not shown in Fig. 6) have a continuously increasing hypso% up to very large domain sizes (10⁶ km² for MUK). HypsoD50, the difference between the station elevation and the minimum of elevation in the domain, always increases (or at least stays constant) with domain size but changes more or less rapidly depending on the domain topography (Fig. 6d). In general, the ABL-TopoIndex usually increases with domain size (i.e., more ABL influence). The greatest increases are usually found for the stations with the highest ABL-TopoIndex at small domain sizes and are due to an increase in DBinv overcoming the decrease in hypso% and the increase in hypsoD50.

3.3. Relation between ABL-TopoIndex and altitude

As stated in the introduction, the development of the ABL-TopoIndex relies on the assumption that the station position in the mountain massif is a better criterion for determining the ABL influence than the station altitude alone. To compare these two parameters, the ABL-TopoIndex is reported as a function of the altitude for all grid cells in a 5km x 5km domain around some stations on Fig. 7. For the grid cells at the highest altitudes, there is a clear dependence between the ABL-TopoIndex and the altitude, with ABL-TopoIndex decreasing (less ABL influence) as altitude increases; Fig. 7 shows that the OMP and PYR regions have a very steep and ASK a very flatt ABL-TopoIndex decrease with altitude. At lower altitudes for each massif, the valleys, high plains, various mountainous slopes and networks lead to a wide range of the altitudes corresponding to the same ABL-TopoIndex value. For example, the altitude range corresponding to an ABL-TopoIndex of 3 varies between 3000 m and 6000 m at PYR, while at OMP an ABL-TopoIndex of 2 is achieved at an altitude range between 250-350 m. At PYR and CHC, there are discrete groupings of points likely corresponding to the basins of different valleys around the site. The ABL-TopoIndex values of the stations are indicated by the square markers, allowing visualization of their relative situation in their respective mountain massifs: OMP, HAC and CHC and to some extent SBO were constructed at places with the lowest ABL-TopoIndex of their regions thus minimizing potential ABL influence. In contrast the region around PYR (and to a lesser extent ASK) shows locations with much lower ABL-TopoIndex (less ABL influence) at similar altitudes to the stations.

3.4. Relation between the ABL-TopoIndex and the station location

The ABL-TopoIndex values for the forty-six stations are grouped on Fig. 8 by continents and mountainous massifs or regions (see Table 1) that can correspond to various geomorphologies. The first obvious observation is that all islands have very low ABL-TopoIndex (note the logarithmic scale for the ABL-TopoIndex), whereas the stations in the Himalaya massif have the greatest ABL-TopoIndex. The values of the ABL-TopoIndex and of all its constituting parameters are given in Table S1. Further conclusions that can be derived include:

- **Islands:** the islands with sites included in this study have a small area, are delimited by the large flat ocean (though most of them are grouped in archipelagos) and their summits were formed by volcanic activities leading to steep slopes. All these factors lead to very low ABL-TopoIndex values. The Teide Observatory in Izaña, an island of the Canary Islands archipelago (TDE) and Pico Mountain Observatory (OMP) in the Portuguese Azores archipelago ranks as the monitoring stations with the lowest ABL influence. The low ABL-TopoIndex of both stations is caused by the following reasons: 1) both mountains are the only summit of the island and the highest mountain (3715 m and 2350 m, respectively) of their archipelago, 2) both islands have small surface area (2034 km² and 447 km²) and 3) both research stations are just below the mountain summits (177 m and 126 m from the summit). The effect of the proximity to the summit can be clearly seen by the difference between TDE (ABL-TopoIndex of 0.22) and IZO (ABL-TopoIndex of 0.57). These two sites, both located on the island of Tenerife, are separated by only 15 km in horizontal distance but a vertical distance of 1165 m, with TDE being the higher station. Taiwan, where LLN is located, has the greatest surface area (36193 km²) of the islands considered here and, additionally, is in close proximity to a continent (China is 130 km to the West). Both of these facts explain LLN's high ABL-TopoIndex in the island category. MLO in Hawaii is at high altitude (3397 m), but the island of Hawaii has a second summit, Mauna Kea (4205 m). Further, the MLO research station is 870 m beneath the volcano top and has a relatively low G8, explaining why it has a higher ABL-TopoIndex than most of the islands. This difference in ABL-TopoIndex between OMP and MLO is confirmed by an almost daily occurrence of buoyant upslope flow at MLO while such flow patterns are much less frequent (<20% of the time) at OMP (Kleissl et al., 2007).
- **Alps:** The European Alps consist of a broad mountainous massif with the highest summits between 4500 and 4800 m. The four high research stations (JFJ, SBO and ZUG/ZSF) are located between 2900 m and 3600 m (ZSF being only some 300 m below ZUG). HPB (985 m) was added to this study as a low elevation station in the Alps. All the three high elevation stations have low ABL-TopoIndex: JFJ (0.64), SBO (1.24) and ZUG (1.35). Their ABL-TopoIndex values are generally a little higher than those determined for the islands. As expected, the

ABL influence at HPB is much stronger (ABL-TopoIndex is 5.38) due to both its lower altitude and position near the bottom of the Zugspitze massif.

- **Pyrenees:** the Pyrenees are a natural border between France and Spain and peak at 3400 m. PDM is a high altitude station (2877 m) with an ABL-TopoIndex similar to the European alpine high altitude stations. MSA is located at a mid-altitude range of the massif and has a median ABL-TopoIndex, while the low altitude MSY station, added for comparison purposes, has a high ABL-TopoIndex.
- **Other European stations:** BEO and HAC are situated at the highest points of their massifs and have therefore very low ABL-TopoIndex values, comparable to those of the island high elevation sites. The lower altitudes of CMN and PUY, their middle position in mountainous massifs containing several higher summits and, to a lesser extent, their proximity other massifs such as the Alps and the Pyrenees result in higher ABL-TopoIndex values for these two sites.
- **Himalaya and Tibetan Plateau:** The Himalaya is the highest mountainous massif on Earth with 14 summits peaking at more than 8000 m. The altitude of the research stations between 2200 and 5100 m are therefore at relatively low elevation in comparison to the summits. This is clearly reflected in their high ABL-TopoIndex values (between 3 and 30). MUK and SZZ are both situated in the foothills of the Himalaya in India (Uttarakhand region) and in south China (Yunnan region), respectively, and both have an ABL-TopoIndex value in the 3-10 range. Although MUK is at a lower altitude than SZZ, it is located at a higher position than SZZ relative to the mean altitude of its meso-scale environment. The high ABL-TopoIndex values for HLE and NCOS are due to their position in a large valley and on the edge of a vast lake, respectively, that largely decreases all the parameters related to criteria 1, 2 and 3 (see Sect. 2.3). WLG is constructed within some ten's of meter of Mount Waliguan's summit at the northeastern part of the Tibetan plateau, so that its dominant position in its meso-scale domain leads to a middle range ABL-TopoIndex value.
- **Japan :** Mount Fuji is the highest peak of Japan and the research station is located at the top of the symmetric volcano located near the coast. The second highest peak in Japan is some 500 m lower than Mount Fuji. This particular topography leads to an ABL-TopoIndex similar to the volcanic islands. The two other Japanese stations are at much lower altitudes and have mid-range ABL-TopoIndex values.
- **North America:** Mount Washington Observatory is located in the Presidential Range of the White Mountains. It is the highest peak in the Northeastern United States and the most prominent mountain east of the Mississippi River. MWO is consequently the North American station with the lowest ABL-TopoIndex due to very low hypso% and relatively high

G8, LocSlope and hypsoD50. Four stations (MZW, NWR, SPL and YEL) are situated in the Rocky Mountains, whose summits peak at 4400 m. The three stations higher than 3000 m have lower ABL-TopoIndex values similar to some of the European mountains, whereas YEL is situated on the large Yellowstone high plain at an average elevation of 2400 m resulting in a high ABL-TopoIndex (7.2) that is similar to the values for NCOS and HLE. Mount Bachelor (MBO) is located near the top of an isolated volcano from the Cascade volcanic arc that dominates the plain surrounding it, explaining its low ABL-TopoIndex. WHI is located in the Pacific Coast Mountains, the mountain range name referring to the vicinity between the high altitude massif and the ocean coast. The highest peaks in the Pacific Coast Mountains have summits between 3000 and 4000 m (WHI is at 2182 m). WHI has middle range ABL-TopoIndex (1.4) despite its low altitude due to the proximity of the ocean and to the rather narrow width of the massif (300 km). Both APP and SHN are situated at the same altitude in the Blue Ridge mountains of the Appalachian range. At the latitude of SHN, the width of the Blue Ridge mountain range is much narrower than at APP's latitude. Moreover SHN is almost on the top of the ridge whereas APP is on a high plain. SHN therefore has higher G8 and LocSlope and lower hypso% and DBinv leading to much lower ABL-TopoIndex than found for APP.

- **Andes:** CHC (5320 m), the highest station in this study, is located in the Cordillera Oriental, itself a sub-range of the Bolivian Andes massif, and is part of the mountain bell surrounding the Altiplano (literal translation high plain) with an average height of 3750 m. This position explains its mid-range ABL-TopoIndex of about 1.3 due to relatively high hypso% (1.03%) and low hypsoD50 (1311 m). PEV (4765 m), the South America station with the lowest ABL-TopoIndex, is located at the extreme northeastern extension of the South America's Andes mountain range that peaks at about 5000 m. Its high position in its mountain range is characterized by a very low hypso% (0.28 %) and the highest hypsoD50 of 4019 m. TLL is situated in the foothills of the Andes in Chile near to the Pacific ocean and has a similar ABL-TopoIndex to SZZ due similarities in topography. LQO is at higher altitude than TLL but located in the middle of the Altiplano leading to an ABL-TopoIndex larger than 20.
- **Africa:** Mount Kenya (5199 m), the second highest peak of Africa and the highest in Kenya, is an isolated volcanic massif with several peaks. MKN observatory is located some 1500 m under Mount Kenya's summit resulting in a mid-range ABL-TopoIndex of about 1. Assekrem (ASK, 2710 m) is located on a small (about 2.5 km²) high plain in the Hoggar Mountains located in central Sahara. The highest summit in the Hoggar range peaks at 2908 m. Despite being situated on a flat area, ASK has quite low ABL-TopoIndex value because of its relatively high elevation in the Hoggar Mountains.

- **Arctic:** SUM is located high atop the Greenland ice sheet in the central Arctic. The ice sheet has a very smooth topography due to its build up by glaciation and precipitation. While SUM has a high hypso%, its hypsoD50, G8 and LocSlope are very low and its DBinv is large leading to high ABL-TopoIndex. The Zeppelin Observatory (475 m) in Svalbard is located near the top of Zeppelinfjellet (556 m) above Ny-Ålesund but cannot be considered as a high altitude site and was added to the study for comparison purpose. Its ABL-TopoIndex is consequently very high since the highest summit on the Spizbergen island is at 1717 m.

3.5. Correlation between aerosol parameters and the ABL-TopoIndex

While Fig. 8 shows that there are some clear patterns in the ABL-TopoIndex, it is also instructive to see how the ABL-TopoIndex relates to measurements at mountain sites. The NCOS and SUM stations have a very high ABL-TopoIndex due to their situation on a high altitude plain near a vast lake and on the smooth shape of the Greenland inland ice sheet, respectively. Since they are not situated in a complex topography, they were excluded from this analysis due to their clear outlier status. ZEP, situated at very low altitude (475 m), also has a very high ABL-TopoIndex values. It was also not included in the correlation analysis since its seasonal and diurnal cycles exhibit different features than the high altitude stations (see Sect. 4.1). In order to have a robust estimate of the correlation between the aerosol measurements (following a Johnson distribution) and the topographical parameters (following a normal or a log-normal distribution depending on the parameters) the Spearman's rank correlation was calculated. It should be noted that the Kendall's tau correlation analysis leads to the same conclusions (see Table S4). The Spearman's rank correlation measures the strength and direction between two ranked variables without the requirement that the variables be normally distributed. Here it is also used to verify that the assumed relationships between topographical and aerosol parameters correspond to those proposed in section 2.3 (e.g., that a positive correlation with aerosol loading as a surrogate for ABL influence in case of lifting processes without precipitation is found for the ABL-TopoIndex, hypso%, DBinv and station altitude and an anti-correlation for hypsoD50, LocSlope and G8). That is the case for all used topographical parameters. The Spearman's rank correlation coefficients of the 5th, 50th and 95th percentiles of the measured aerosol parameters with the altitude (mean the altitude over the 9 grid cells, similarly to the ABL-TopoIndex calculation), the latitude, the ABL-TopoIndex as well as all the individual parameters constituting the ABL-TopoIndex are presented on Fig. 9.

The ABL-TopoIndex has statistically significant (s.s.) correlation for the all the percentiles of all aerosol parameters except for the 95 percentile of the scattering coefficient. The highest correlation and s.s. are found for the 5 percentile of the absorption and scattering coefficient, whereas the 50 percentile has the highest correlation for the number concentration. The correlation coefficient with the maxima of the aerosol parameters (95th percentile) is always lower than with the minima (5% percentile) and is s.s. at 95% of confidence level only for the absorption coefficient. The minima of the aerosol parameters - particularly of the absorption coefficient- correspond to the measurement of air masses with the lowest aerosol concentration, namely FT air masses with the lowest ABL influence and no advection of polluted air masses. In contrast, the maxima correspond to the advection or convection of air masses with high aerosol loads and can, to some extent, be caused by special events such as dust or biomass burning events. In contrast to the absorption coefficient, the

particle number concentration (and, to a far lower extent, the scattering coefficient) depend not only on the ABL influence but also on the new particle formation (NPF) that can be enhanced at high altitudes (Boulon et al., 2011; Rose et al., 2015). Thus, the high correlations of the ABL-TopoIndex with the minima of the aerosol absorption coefficient as well as its lower correlation with the absorption coefficient maxima, with the number concentration minima and with the scattering coefficient suggest the ABL-TopoIndex is indeed a promising indicator for ABL influence based on station topography.

The hypso%, a large scale parameter, has s.s correlations with all the percentages of all the aerosol optical properties, whereas LocSlope and G8, two small scale parameters, have s.s anticorrelations except for the 95 percentile of the scattering coefficient. The hypsoD50 is s.s. for the 5% and 50% of the absorption and scattering coefficients and for the 50% and 95% of the number concentration. Only the DBinv exhibits no s.s. correlation with any of the aerosol parameters.

There are no s.s. correlations between the station altitude and the percentiles of any of the aerosol parameters. The station elevation alone is therefore not a good predictor of the ABL influence (at least as it relates to particle concentration and aerosol optical properties). The latitude has s.s. anticorrelation with 5% and 50% of the scattering coefficient.

The correlations of the topographical parameters with the diurnal and seasonal cycles of the aerosol measurements exhibit a clearly different pattern. Fig. 10 shows the Spearman's rank correlation coefficients of the topographical parameters with the minimum (Dmin) and maximum (Dmax) of the monthly diurnal cycle strength as well as with the seasonal cycle (Season) of the aerosol parameters. The diurnal cycles were calculated for the 12 months, so that Dmin and Dmax correspond to the lowest and the greatest monthly amplitudes, respectively. Both the diurnal and the seasonal cycles were calculated as the strength of the autocorrelation function (see § 2.4 and supplement) so that the underlying parameters are de facto normalized and that the cycles of each stations can be directly compared. The greatest correlation is found between the amplitudes of the diurnal cycles and the latitude for all three aerosol parameters. This anticorrelation is particularly marked for the number concentration diurnal cycles. At low latitudes, the stronger insolation enhances the surface temperature and the thermal convection leading to stronger diurnal cycles, particularly in summer, and the convective flow is less likely to be inhibited during the winter due to longer daylight hours. Together these effects result in a greater ABL influence year round and explain the high correlations with the diurnal cycle amplitude. The high correlation between the maximal diurnal cycle and the number concentration can also be explained by the promotion of NPF by the stronger insolation at low latitude.

The ABL-TopoIndex is s.s. correlated with the diurnal cycle minimal and maximal strengths of the absorption coefficient. This correlation is once again principally due to the hypso% and G8, and to a lower extent, the LocSlope. The correlation with the diurnal cycle minimal amplitude occurs because the stations that remain in the FT during the whole day should not exhibit any systematic diurnal cycles. The maximal amplitude of the diurnal cycles occurs when the site is in the FT during the night (without any influence of the RL) and influenced by the ABL during the day. Once again, the greatest correlation is found for the absorption coefficient which directly depends on ABL air masses uplift, where the number concentration and scattering coefficient cycles are also influenced by gas-to-particle conversion processes such as NPF that can be enhanced at low temperature (that is in a opposite seasonal cycle than the CBL height). The only s.s. correlation with station altitude is found for the scattering coefficient seasonal cycle. Similar to the correlation with the percentiles, there is a high anticorrelation between the particle number concentration diurnal cycles and G8 suggesting that the slope steepness in the vicinity of the stations inhibited both the transport of polluted air

masses and NPF. Apart from a correlation at 90% confidence level between DBinv and the absorption coefficient, the lack of further s.s. correlations with the seasonal cycles can be attributed to several factors: (i) the relatively small time period (2-5 years) covered by most of the datasets leading to difficulties in the statistical determination of a yearly periodicity due to inter-annual variability, (ii) the low aerosol concentration at high altitude sites inducing measurements part of the time near the detection limits of the instruments (see for example the problem with the absorption coefficient at § 2.4) and (iii) the necessary whitening procedure (see supplement) increasing the dataset noise .

4. Discussion

In this section the assessments, improvements and applications of the ABL-TopoIndex are discussed. First the possible species and phenomena enabling the estimation of the ABL influence are summarized and the occurrence of diurnal and seasonal cycles as a function of the station elevation are discussed. Second, the significance of the correlations between the topographical and the aerosol parameters are further interpreted. Finally, possible additional parameters that could increase the significance and the application of the ABL-TopoIndex are mentioned, in addition to the criteria relevant for choosing future sites to sample FT air masses.

4.1. Using measurements to assess the ABL influence

In order to test the relevance of the ABL-TopoIndex, it is first necessary to find a parameter commonly measured at high altitude stations that can be used as an ABL tracer. Pollutants emitted at the Earth's surface and having a (typically) minimal concentration in the FT could act as potential tracers of the ABL influence. Our results showed that of the three aerosol parameters tested in this study (number concentration, absorption coefficient and scattering coefficient), absorption coefficient has the greatest correlation with the ABL-TopoIndex values. Other possible candidates for testing the ABL-TopoIndex include the aerosol mass concentration, size distribution and chemical composition, the water vapor and the trace gases concentrations (e.g., CO₂, PAN, NO_x, NO_y, O₃, SO₂, isotopologue ratio of water vapor) and the radon²²² concentration. These parameters have been used in different studies to provide information about the seasonal and diurnal cycles (e.g., Collaud Coen et al., 2011; Griffiths et al., 2014; Marinoni et al., 2010; McClure et al., 2016; Okamoto and Tanimoto, 2016; Pandolfi et al., 2014; Ripoll et al., 2015; Zellweger et al., 2009), the sources and transport of aerosol to the site (e.g., Cuevas et al., 2013; García et al., 2017; Pandey Deolal et al., 2014; Ripoll et al., 2014), the local orographic flows and the effect of the synoptic- and meso-scale weather types (e.g., Bonasoni et al., 2010; Gallagher et al., 2011; González et al., 2016; Henne et al., 2005; Kleissl et al., 2007; Tsamalis et al., 2014; Zellweger et al., 2002). All of the extensive aerosol parameters, the radon²²², water vapor concentration, particulate nitrate (NO₃⁻) and organics have been shown to be correlated with ABL transport whereas CO/NO_y and NO_x/NO_y ratios are anticorrelated (Legreid et al., 2008; Zellweger et al., 2003). Zellweger et al. (2003) concluded that, in contrast to NO_y, the major process for upward transport of aerosol is the thermally induced vertical transport, confirming that the aerosol parameters used in this study should be in most cases good tracers for ABL influence. However, one has to remain conscious that many lifting processes co-occur with precipitation involving hence aerosol washout. The present validation of the ABL-TopoIndex by aerosol measurements at high altitude stations is consequently valid only for thermally induced processes without precipitation. ABL events involving air masses with low aerosol concentrations due to washout –for exemple synoptic lifting or foehn- were not directly analyzed.

Because there are variable pollution levels in the vicinity of the stations, a single absolute value of a pollutant cannot be used to evaluate the ABL-TopoIndex (or ABL influence in general) when considering multiple high altitude stations. An inventory of the proximate pollution sources bounded to a 3D thermodynamic model adapted to complex topographies would be required before using absolute pollutant concentrations as indicators of ABL influence at high altitude sites. Another possibility would be to weight the pollution source inventories by factors depending on their vertical and horizontal distances to the high altitude stations, as well as on a seasonal parameter representing the potential ABL height. A further use of DBinv to restrict the area of potential pollution sources could also be envisaged since this parameter describes the domain from which pollutants can reach the high altitude station by convection without crossing topographical barriers. This delicate issue can however be avoided by instead considering dynamical parameters such as the various temporal cycles.

At most of the high altitude stations, a seasonal cycle in ABL-indicator species is de facto observed. The maximum values of the seasonal cycles are correlated with ABL transport and typically occur in summer or in the pre-monsoon season while the minimum of the seasonal cycle occurs in winter or monsoon seasons. Usually the spring leads to higher aerosol loading than the autumn probably related to higher ABL height in the spring. These seasonal cycles are explained by the stronger thermal heating of the soil which induces convection and buoyancy in summer and by the atmospheric cleaning effect of precipitation during the monsoon. It would be expected that stations continuously situated in the ABL throughout the year could exhibit different seasonal cycles than high altitude sites due to the seasonal modification of the sources and/or of the synoptic and meso-scale meteorological conditions (see for example the difference between HPB and JFJ on Fig. S2). In contrast, a station located such that it stayed continuously in the FT would have a seasonal cycle that depends only on long-range, high altitude transport climatology (e.g., long-range transport of Asian dust and pollution at MLO in spring (Collaud Coen et al., 2013), North-America ABL transport to IZO through westerlies in spring (García et al., 2017), and dust events in EU spring and autumn (Collaud Coen et al., 2004)). Since seasonally changing parameters (e.g., temperatures, cloud cover, solar radiation, wind speeds, surface albedo, precipitation) were not studied and since the length of most of the time series are too short to smooth these effects, the ABL-TopoIndex will probably not represent an overall picture of ABL influence except at seasonally invariant sites (e.g., very low latitude sites).

The typical diurnal cycle of ABL pollutants at high altitude stations that are partially influenced by the ABL consists of a minimum in the early morning (4h-6h LTC) followed by an increase of the compound with a maximum in the late afternoon (15-17h LTC) and a decrease during the night. If the ABL influence is mostly due to orographic winds, upslope/valley winds begin to flow some hours after sunrise and downslope/mountain winds initiate after the occurrence of negative vertical heat flux. Stations always situated in the FT should exhibit no systematic diurnal cycles whereas the stations always situated in the ABL often show various diurnal cycles that can be explained by the behavior of local sources, the diurnal cycle of the ABL height and/or local meteorological conditions. At high elevation and high latitude stations the diurnal cycle typically vanishes during winter but is clearly present during summer, spring and, to a lesser extent, autumn. For stations at lower altitude that stay in the ABL (or CBL, SBL or RL) during the whole day in summer (e.g., MSA (Pandolfi et al., 2013), HPB and PUY (Hervo et al., 2014)), the diurnal cycle may also vanish during that period.

Testing the ABL-TopoIndex using pollutant diurnal cycles is further complicated by the presence of the residual layer (RL) that keeps the pollutants brought to high altitudes during the previous days at those elevated levels during the nighttime. The climatology of the RL height usually exhibits a similar

seasonality as the ABL height, with a maxima in summer (or pre-monsoon) season and a minima in winter (Birmili et al., 2009, 2010; Collaud Coen et al., 2014; Wang et al., 2016). Further, the RL also has similar dependency as the ABL as a function of latitude. The RL's maximum height also depends, therefore, on the duration of the incoming radiation. The RL pollutant concentrations are much higher than nighttime FT concentrations, leading to less marked diurnal cycles in summer than in spring (Blay-Carreras et al., 2014; Collaud Coen et al., 2011; Hallar et al., 2016; Hervo et al., 2014). The impact of the RL on the aerosol concentration is probably one of the most important reasons for the low correlation between the topographical parameters and the aerosol temporal cycles. However, the statistical determination of the diurnal and seasonal cycle amplitudes suffer from several difficulties: 1) the low aerosol concentration at high altitude often results in measurements near the detection limit leading to high uncertainties, 2) the high hourly autocorrelation of the data require a pre-whitening procedure (see supplement) in order to be able to detect the diurnal and seasonal cycle, 3) meteorological conditions (e.g., cloud coverage, precipitation or seasonal fluctuations) modify the theoretical cycles and lead to a broadening of the time of the extrema. These difficulties make obtaining clear statistical cycles another reason contributing to the observed low correlations.

Recently, the influences of the local and of the more regional or meso-scale ABL at the JFJ were separated by differentiating the Local Convective Boundary Layer (LCBL) height from the high altitude aerosol layer (Poltera et al., 2017). The LCBL was found to rarely influence the JFJ research station (never in winter, 4% of the time which corresponds to 22% of the days in summer), whereas the continuous aerosol layer has a large influence on the JFJ pollutant concentrations (21% of the time in winter and 41% of the time corresponding to 77% of the days in summer). This suggests that the mechanisms explaining the heights of the LCBL and the more horizontally extended aerosol layer have different causes and do not follow the same diurnal pattern. This phenomenon will be more pronounced at continental high altitude stations than at marine isolated island stations since the marine ABL is less prone to strong diurnal cycles.

4.2 Correlation between the topography and the aerosol parameters

The correlations between topographical and aerosol parameters presented under Sect. 3.5 can now be further discussed in light of the pollutant temporal cycles. The absorption coefficient is primarily due to the presence of black carbon emitted from combustion processes occurring mostly in the ABL and rarely near the high altitude stations; additionally, BC aerosol is not produced by any secondary processes. Among the aerosol parameters studied here, the absorption coefficient is therefore the best tracer for anthropogenic pollution and biomass burning and consequently for ABL influence. It is then expected that a better correlation will be obtained between the topography parameters increasing the ABL influence and the absorption coefficient. The ABL-TopoIndex reflects this correspondence, particularly through the contribution of the hypso% parameter (recall that hypso% represents the relative altitude of a station in its mountain range), the LocSlope and G8. The best correlation for both ABL-TopoIndex, hypso%, Locslope and hypsoD50 are found for the 5th percentile of the absorption coefficient, since the minima of the aerosol loading is a better tracer of the lowest ABL influence, whereas the maxima is much more dependent on source intensity and special events. Similar to this result, a clear correlation was also found between the continuous aerosol layer maximum height and the absorption coefficient measured in-situ at the JFJ (Fig. 8 in (Poltera et al., 2017)). The absorption coefficient amplitudes of the diurnal cycle are also the only aerosol cycles having a s.s. correlation with the ABL-TopoIndex.

It is more difficult to directly tie scattering and number concentration to the ABL incursions. This is because the formation of new particles and their subsequent growth are well-known to be very efficient processes at high altitudes due to the high insolation and the low temperature. Moreover, the NPF is also enhanced by local thermal winds and forced convection due to favorable changes in thermodynamic conditions (Boulon et al., 2011; Rose et al., 2015). It was found at the JFJ and confirmed at other stations that new particle formation, and particularly strong nucleation events, occur mostly when the air masses were in contact with the ABL within 2 days before arriving at high altitudes (Bianchi et al., 2016). NPF and subsequent growth of the particles have a large impact on the number concentration and its temporal cycles and a smaller influence on the scattering coefficient. The parameters describing the local topography (G8 and LocSlope) have the greatest correlation with the number concentration and are probably more relevant to the local CBL transport than to the longer range continuous aerosol layer as defined in Poltera et al. (2017). The number concentration and, to a lesser extent, the absorption coefficient percentiles and diurnal cycles are anti-correlated with the local (G8: 0.5-1 km) and regional (LocSlope and hypsD50: 10 km) slopes, suggesting there is an increase of particle number concentration when there are small altitude differences and gentle slopes around the station. This dependence on the ease of local transport can be explained by transport to the station not only of aerosol, but also of gaseous precursors for NPF and of newly formed particles at lower elevations. Globally, NPF is the reason why the greatest correlations are found with the 50 percentile of the number concentration, instead of with the 5 percentile found for the absorption and scattering coefficients. The greater correlation of local slope (G8 and LocSlope) with the number concentration rather than with the absorption coefficient can be explained both by the very scarce sources of black carbon in the near vicinity of most of the high altitude stations and by the smooth pressure decrease experienced by the precursors during their upslope transport along gentle slopes leading to more condensation processes and nucleation. The aerosol diurnal cycles are influenced by numerous phenomena (see Sect. 4.1) leading to a non-trivial relationship with the ABL influence. The study of the diurnal cycles can bring valuable results if specific cases are analyzed and compared, the statistical approach used here leads to less obvious results due to the noise in the data (low aerosol concentration and whitening process), to the inter-annual variability of the meteorological processes and to cloud, precipitation and long-range advection involving a large day to day variability. There are consequently few statistical correlations between topography parameters and the diurnal cycles. The clearest correlation is the influence of the insolation on the aerosol diurnal cycles amplitudes. This dependence between the latitude and the aerosol concentration was already mentioned by Kleissl et al. (Kleissl et al., 2007) and is easily understandable, the convection and the new particle formation being directly dependent on the solar radiation intensity. The other correlations found between some topography parameters (ABL-TopoIndex, hypso%, G8 and LocSlope) and the absorption coefficient are however directly bounded to the ABL influence.

4.3 Improving and applying the ABL-TopoIndex

The choice of the 5 parameters included in the ABL-TopoIndex was initially based on several assumptions relating the topography to the ABL influence (see Sect. 2.3). Several other parameters such as the topographical wetness index, the upstream catchment area, the Efremov-Krcho classification, the hypsometric curve, integral and index as well as the topographic prominence were tested but were finally eliminated as being not relevant for various reasons (Table S2). Indeed, most of the parameters comprising the ABL-TopoIndex exhibit some correlations with aerosol parameters.

The hypso%, LocSlope and G8 are the parameters explaining the greatest variance in the aerosol optical properties, with the hypsoD50 having a lower influence than the other three parameters. It also seems evident that the topographical parameters linked to the steepness and the altitude differences (G8 and LocSlope) are clear indicators for NPF. The DBinv seems to be the least explanatory parameter in terms of ABL influence and this large scale parameter should probably be bounded with a source inventory to increase its relevance for identifying boundary layer influence (see § 4.19. DBinv has however a clear influence on the statistical significance of the correlations between the ABL-TopoIndex and the aerosol cycles (not shown in the paper) and has the greatest correlation with aerosol seasonal cycles. However, the aerosol parameters and, particularly, the absorption coefficient cannot be considered as unique tracers of the ABL, particularly in case of lifting processes with precipitation. Analysis of other ABL marker (gaseous species, radon, wind turbulences, etc.) can provide information on additional transport mechanisms which would allow for refinement of this topographic analysis by adding further parameters. East-oriented slopes are heated early during the day and have therefore a greater contribution to the thermal convection and the associated valley winds. A parameter weighting the east slope area could therefore be added to the ABL-TopoIndex. The various geomorphologies of the mountainous ranges included in this study also raise the question of whether the stations should all be combined together for analysis as was done here, or if a morphological parameter should instead be found for each massif. The mountain steepness (at a larger scale than LocSlope and G8) also determines the necessary velocity for the wind to cross the mountains and could be an additional parameter. Finally, future studies should attempt to build a direction dependent ABL-TopoIndex that also takes into account the topography of each valley up to the meso-scale range.

It is important to understand the FT versus ABL influence on historical data sets from established high altitude observatories. The ABL-TopoIndex is one tool that can help elucidate the different influences. A further improvement could include an angular dependency of the ABL-TopoIndex allowing quantifying the potential direction of the maximal ABL influence and the most influencing pollutant sources. The ABL-TopoIndex may also be useful *a priori* in locating measurements for a field campaign or identifying potential sites for long-term observatories if FT measurements are the goal, particularly when no previous measurements exist. For example, in-situ aerosol measurements are done at IZO at an altitude of 2373 m whereas aerosol optical depth and water vapor isotopologues measurements are done at TDE at 3538 m on the same volcano. TDE has a much lower ABL-TopoIndex than IZO and consequently TDE's measurements are more likely to represent the FT. The topography around the PYR station suffers from several inconveniences (see Fig. 7 and Sect. 3.1) leading to a high ABL influence. Even if the choice of the actual site is driven by compelling and practical logistical arguments, other emplacements at similar altitudes would have ensured lower pollution impact. Finally, some stations such as BEO (2925 m), HAC (2314 m) and MWO (1916 m) are not situated at very high altitudes but present excellent locations for FT sampling. Obviously there are other issues to consider when deploying instruments as well (e.g., ease of access, power availability, presence of local pollution sources, etc.), but the ABL-TopoIndex is one factor that could be considered to maximize the potential for FT sampling.

Conclusion

The ABL-TopoIndex is a topographical index based on the hypsometric curve, the slope of the terrain around the station and the drainage basin for thermally lifted pollutants. It allows one to rank the high altitude stations as a function of their ABL influence or to optimize choice of site location for FT

sampling. High altitude stations situated on volcanic islands, the highest stations in the Alps, in the Andes and in the Pyrenees have low ABL-TopoIndex values. Stations situated at or near the summit of their mountainous ranges such as BEO, HAC and MWO also have low ABL-TopoIndex values. Stations situated at altitudes between 4000 and 5500 m in the Himalaya and the Tibetan Plateau have high ABL-TopoIndex values due to their relatively low position compared to the summits. Statistically significant correlations between the ABL-TopoIndex and the aerosol parameters measured at high altitude sites allow validation of the methodological approach. The greatest correlations are found with the minima of the aerosol parameters that represent the minimal ABL influence or, in other words, the most likely FT air masses. The maxima of aerosol parameters are more representative of the intensity of aerosol sources and of advection of air masses with high aerosol concentrations. There are also strong anticorrelations between the local steepness of the slope and the particle number concentration, suggesting that new particle formation could be largely influenced by this topographical parameter. If high altitude stations undergo daytime ABL air influence due to convection, a pronounced diurnal cycle of aerosol parameters is usually measured. The amplitude of the diurnal cycle of the absorption coefficient is s.s. correlated with the ABL-TopoIndex and is, thus, likely to be representative of ABL influence. The strength of the diurnal cycles of the scattering coefficient and the number concentration is however mostly explained by the latitude of the station, leading to the conclusion that the sun radiation intensity and duration drive the aerosol diurnal cycle.

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Tables

Table 1: List of station names, acronyms, latitude [°], longitude [°], altitude [m], their mountain range or region and continent. If aerosol time series were used, the station name is given in bold. The references principally describe the station measurement program and, particularly, the aerosol parameters measured.

Station	Latitude	Longitude	Altitude	Massif	Continent	References	
HPB Hohenpeissenberg, Germany	47.8015	11.0096	985	Alps	Europe	(Flentje et al., 2015)	
JFJ Jungfrauoch, Switzerland	46.5477	7.985	3580			(Bukowiecki et al., 2016)	
SBO Sonnblick, Austria	47.0539	12.951	3106			(Schauer et al., 2016)	
ZSF Schneefernhaus, Germany	47.4165	10.9796	2671			(Birmili et al., 2009)	
ZUG Zugspitze, Germany	47.4211	10.9859	2962			--	
MSA Montsec, Spain	42.05	0.7333	1570	Pyrenees	Europe	(Ealo et al., 2016; Pandolfi et al., 2014; Ripoll et al., 2014)	
MSY Montseny, Spain	41.7795	2.3579	700			(Pandolfi et al., 2011)	
PDM Pic du Midi, France	42.9372	0.1411	2877			(Gheusi et al., 2011, Hulin et al., 2017)	
BEO Moussala, Bulgaria	42.1792	23.5856	2925	Balkan	Europe	(Angelov et al., 2016)	
CMN Monte Cimone, Italy	44.1667	10.6833	2165	Apennines		(Cristofanelli et al., 2016; Marinoni et al., 2008)	
HAC Mount Helmos, Greece	37.9843	22.1963	2314	Peloponnese			
PUY Puy de Dôme, France	45.7723	2.9658	1465	Central massif	Europe	(Venzac et al., 2009)	
CHC Chacaltaya, Bolivia	-16.200	-68.100	5320	Andes		South America	(Andrade et al., 2015)
LQO La Quiaca Observatorio, Argentina	-22.100	-65.599	3459				
PEV Pico Espeje, Venezuela	8.5167	-71.05	4765		(Hamburger et al., 2013; Schmeissner et al., 2011)		
TLL Cerro Tololo, Chile	-30.1725	-70.7992	2220		Velasquez, 2016		
MZW Mount Zirkel Wildness, USA	40.5433	-106.6844	3243	Rocky Mountains	North America		
NWR Niwot Ridge, USA	40.04	-105.54	3035				
SPL Steamboat, USA	40.455	-106.744	3220			(Hallar et al., 2015)	
YEL Yellowstone NP, USA	44.5654	-110.4003	2430			YEL Yellowstone NP, USA	

APP Appalachian State University, USA	36.2130	-81.6920	1076	Appalachian	
SHN Shenandoah National Park, USA	38.5226	-78.4358	1074		
MBO Mount Bachelor, USA	43.979	-121.687	2743		
MWO Mount Washington	44.2703	-71.3033	1916		
WHI Whistler, Canada	50.0593	-122.9576	2182		(Gallagher et al., 2011)
HLE Henle, India	32.7794	78.9642	4517	Himalaya	
LAN Langtang, Nepal	28.2200	85.6200	3920		
MUK Mukteshwar, India	29.4371	79.6194	2180		(Hyvärinen et al., 2009; Panwar et al., 2013)
NCOS Nam Co, China	30.7728	90.9621	4730		(Zhang et al., 2017)
PYR ABC Pyramid, Nepal	27.9578	86.8149	5079		(Bonasoni et al., 2010; Marcq et al., 2010; Marinoni et al., 2010)
SZZ Shangrimla ZhuZhang, China	27.9998	99.4266	3583	Tibetan Plateau	
WLG Mount Waligan, China	36.2875	100.8963	3810		(Andrews et al., 2011)
PDI Pha Din, Vietnam	21.5728	103.5160	1466	--	--
FWS Mount Fuji, Japan	35.3606	138.7273	3776	Japan Alps	
HPO Mount Happo, Japan	36.6972	137.7989	1850		
MTA Mount Takayama, Japan	36.1461	137.4230	1420		
IZO Izaña, Spain	28.309	-16.4994	2373	Atlantic	(Rodríguez et al., 2012)
LLN Mount Lulin, Taiwan	23.4686	120.8736	2862	Pacific	(Hsiao et al., 2017)
MLO Mauna Loa, USA	19.5362	-155.576	3397	Pacific	(Bodhaine, 1995)
OMP (previously PICO-NARE) Pico Mountain, Azores, Portugal	38.4704	-28.4039	2225	Atlantic	(Fialho et al., 2004)
RUN Ile de la Réunion, France	-21.0795	55.3831	2160	Indian	
TDE Izaña, Spain	28.2702	-16.6385	3538	Atlantic	
ASK Assekrem, Algeria	23.2667	5.6333	2710		Africa
MKN Mount Kenia	-0.0622	37.2972	3678		
SUM Summit, Arctic	72.58	-38.48	3238		Arctic
ZEP Zeppelin Observatory, Norway	78.9067	11.8893	475		

Table 2: Extrema, median and mean of the topographical parameters for the 46 stations studied.

Parameter	min	median	mean	max
ABL-TopoIndex	0.22	1.72	4.11	30.12
Hypso% [%]	0.005	4.8	16.4	79.1
HypsoD50 [m]	-872	1192	1160	4019
LocSlope (*10 ⁻³)	1.7	86	93	259
G8 [tangent]	0.0024	0.1743	0.2053	0.4982
DBinv [km ²]	423	86426	93287	249464
Altitude [m]	475	2771	2802	5320
Latitude [°]	0.06	37.3	36.2	78.9

Figures:

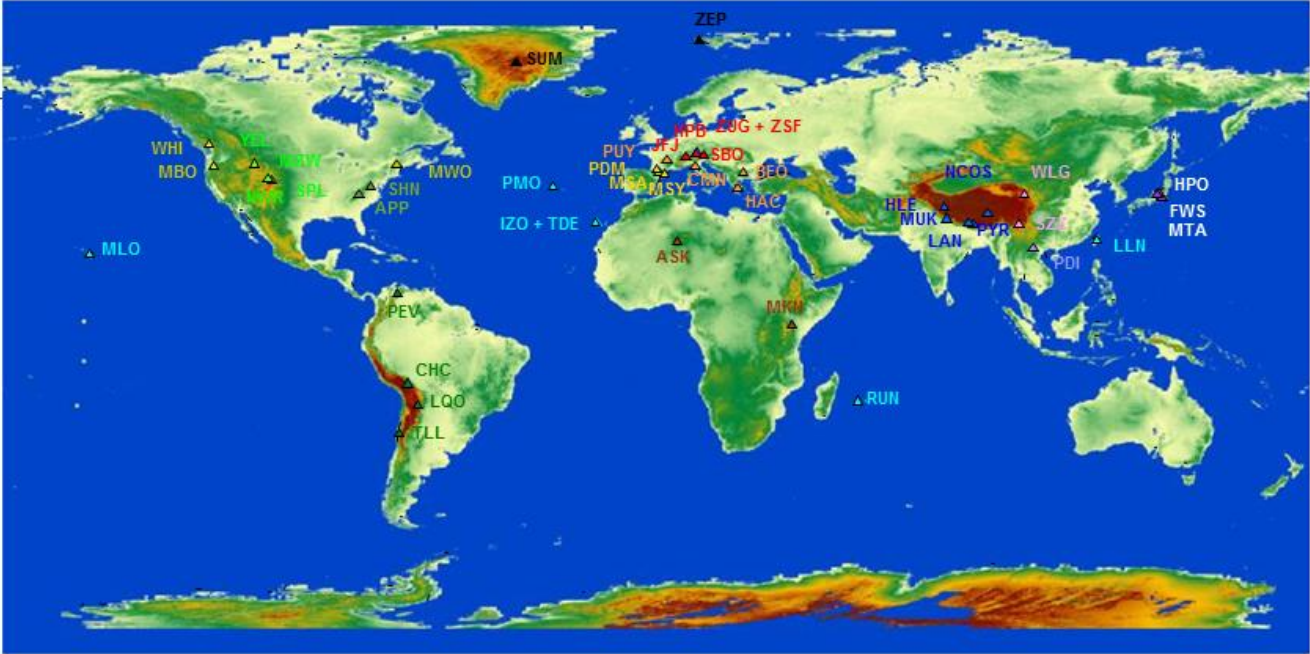


Figure 1: Map of the stations colored by their mountain ranges or region.

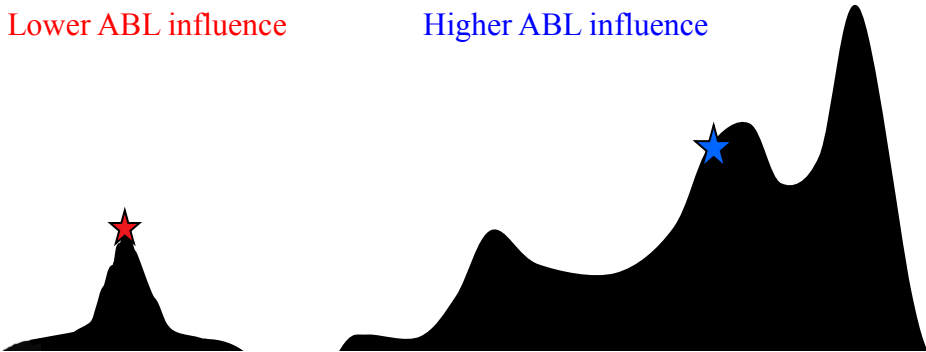


Figure 2: Schematic view of the topographical features underlying the ABL-TopoIndex.

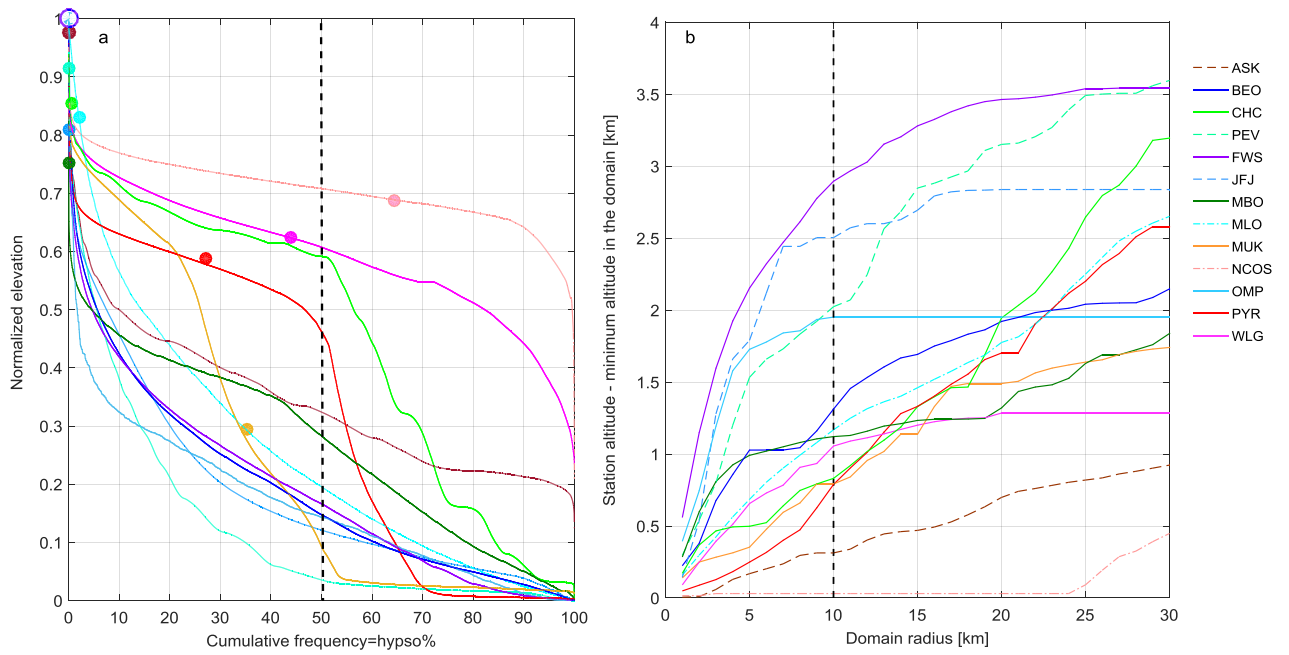


Figure 3: a) Normalized hypsocurves for some selected high altitude stations for a 500 km x 500 km domain centered on the station. The filled and open circles correspond to the normalized station elevations within the domain and indicate the value of hypso% (e.g., PYR hypso% is 26). The vertical dashed line corresponds to 50% of the hypsometric curve b) Difference between the station altitude and the elevation minimum in a domain of radius R around the station as a function of R. The vertical dashed line indicates the part of the curve selected to calculate LocSlope.

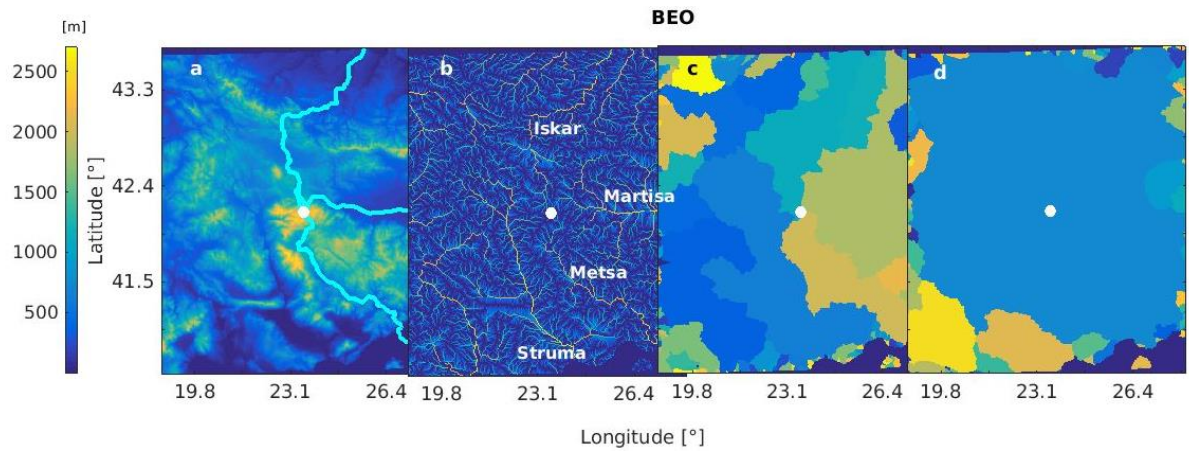


Figure 4: a) Topography on a 750x750 km² domain around BEO (Moussala, white dot) in Bulgaria. The main hydrologic flow paths from the station grid cell are given by the cyan lines. The color scale on the left only applies to Fig. 4a. b) hydrographical network, c) hydrologic drainage basins calculated from the real topography, the different drainage basins are defined by various colors and d) “convective drainage basin” calculated from the inverse topography (DBinv).

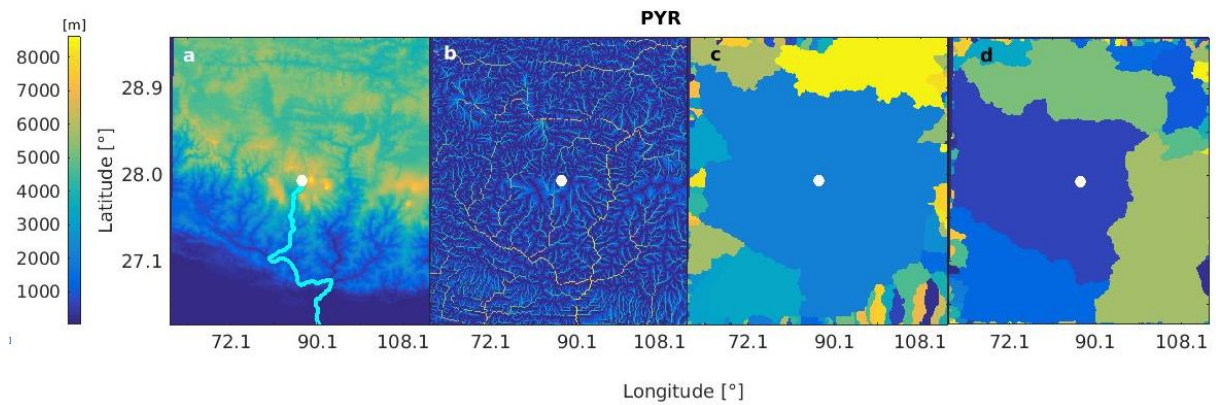


Figure 5: Idem Fig. 4 for PYR (Nepal Climate Observatory - Pyramid) station in the Himalaya, Nepal.

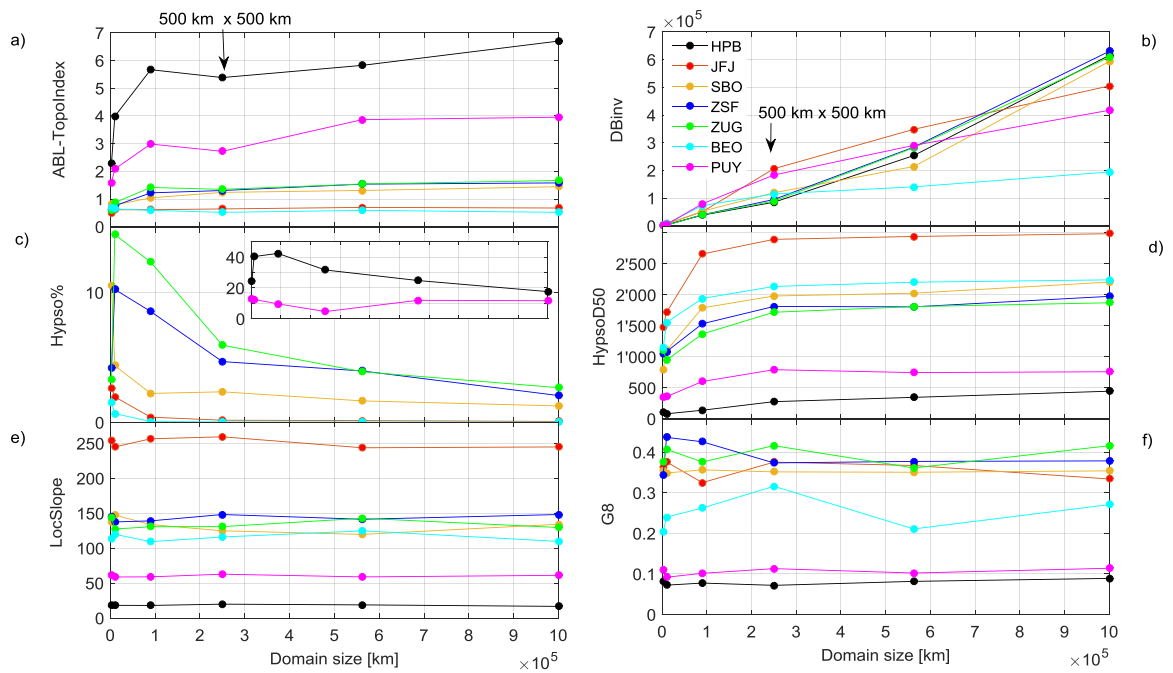


Figure 6: a) ABL-TopoIndex, b) drainage basin for convection, c) hypsometric percentage of the station elevation, d) hypsometric percentage of the station elevation minus the 50% hypsometry, e) local slope in a circle of 10 km radius centered on the station, f) gradient in elevation as a function of the domain size for some European high altitude stations.

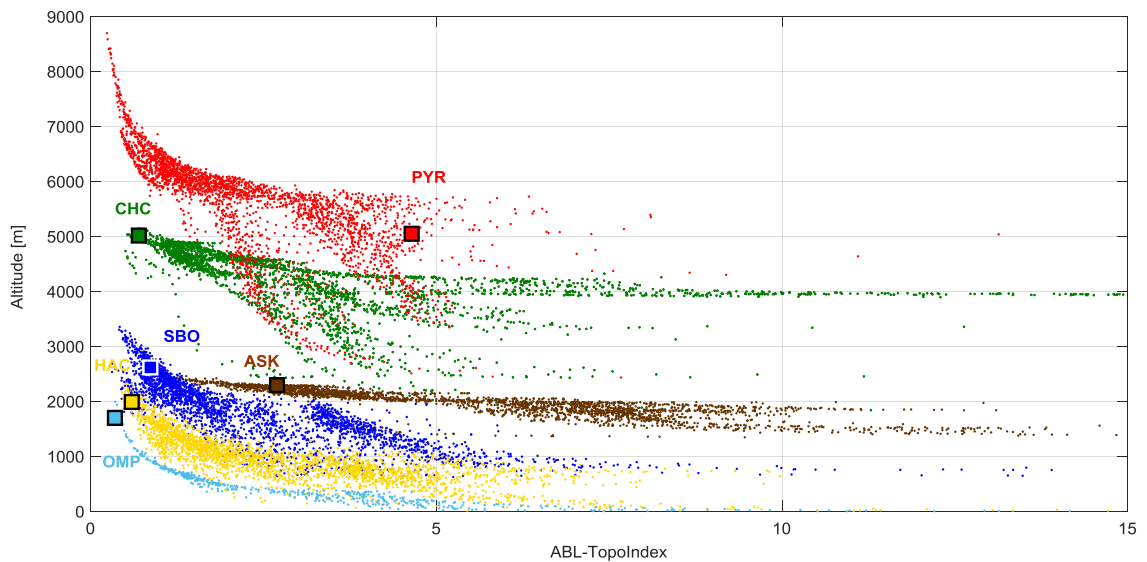


Figure 7: ABL-TopoIndex as a function of elevation of all grid cells of a 625 km² domain centered on the ASK, CHC, HAC, OMP, PYR and SBO stations. The squares indicate the ABL-TopoIndex values and the altitudes of the stations.

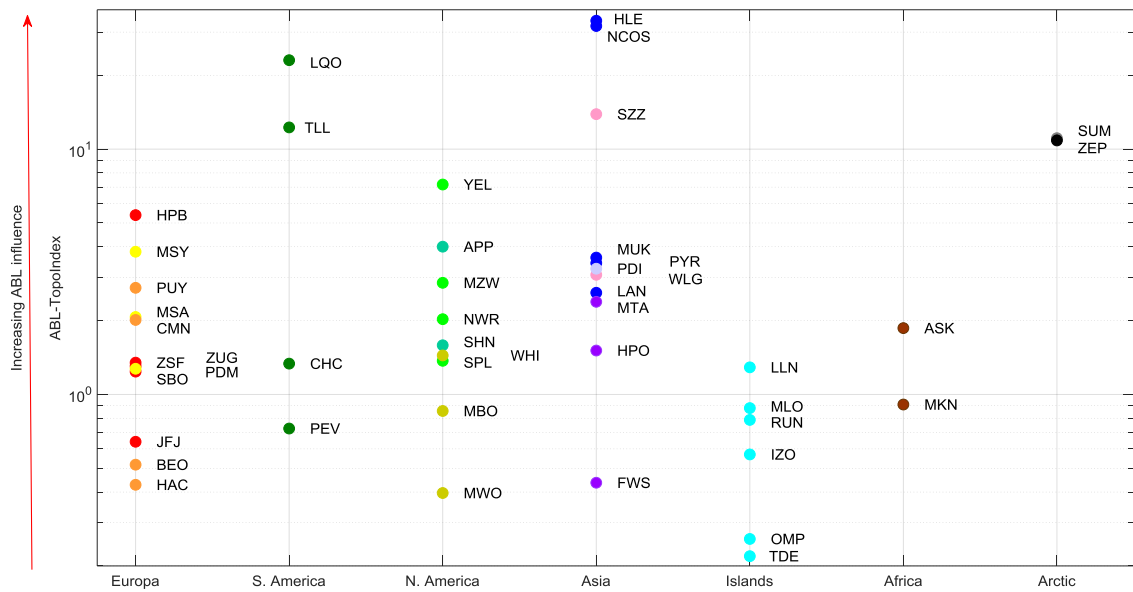


Figure 8: ABL-TopoIndex for all stations as a function of continents and mountainous ranges. The color scheme corresponds to that in Fig. 1.

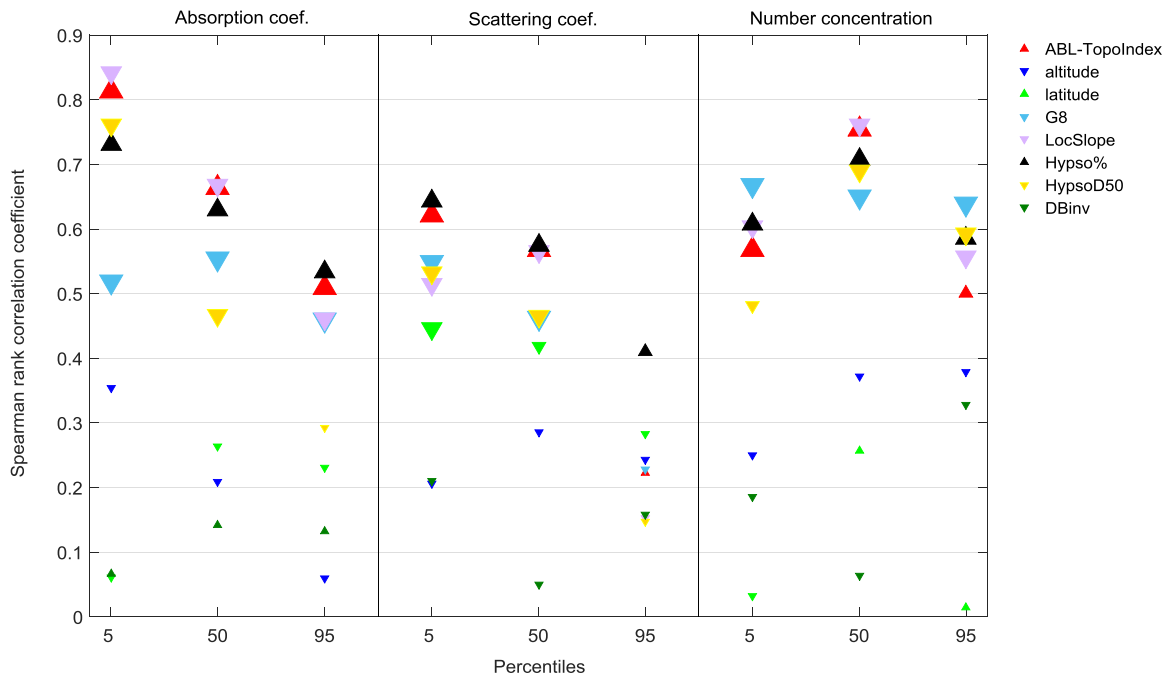


Figure 9: Spearman's rank correlation coefficient characterizing the correlation between aerosol parameters (absorption coefficient, scattering coefficient, number concentration) and various topographic parameters (the ABL-TopoIndex, mean altitude over the 9 grid cells, station latitude and the 5 parameters constituting the ABL-TopoIndex (G8, DB, LocSlope, hypso% and hypsoD50)). Correlations were calculated for the 5th, 50th and 95th percentiles of the aerosol parameters. Statistically significant correlation values at 95% and 90% confidence levels are marked by large and medium symbol sizes and the positive and negative correlations are plotted with upward and

downward triangles, respectively. The correlations were performed with 21, 23 and 17 stations for the absorption coefficient, the scattering coefficient and the number concentration, respectively.

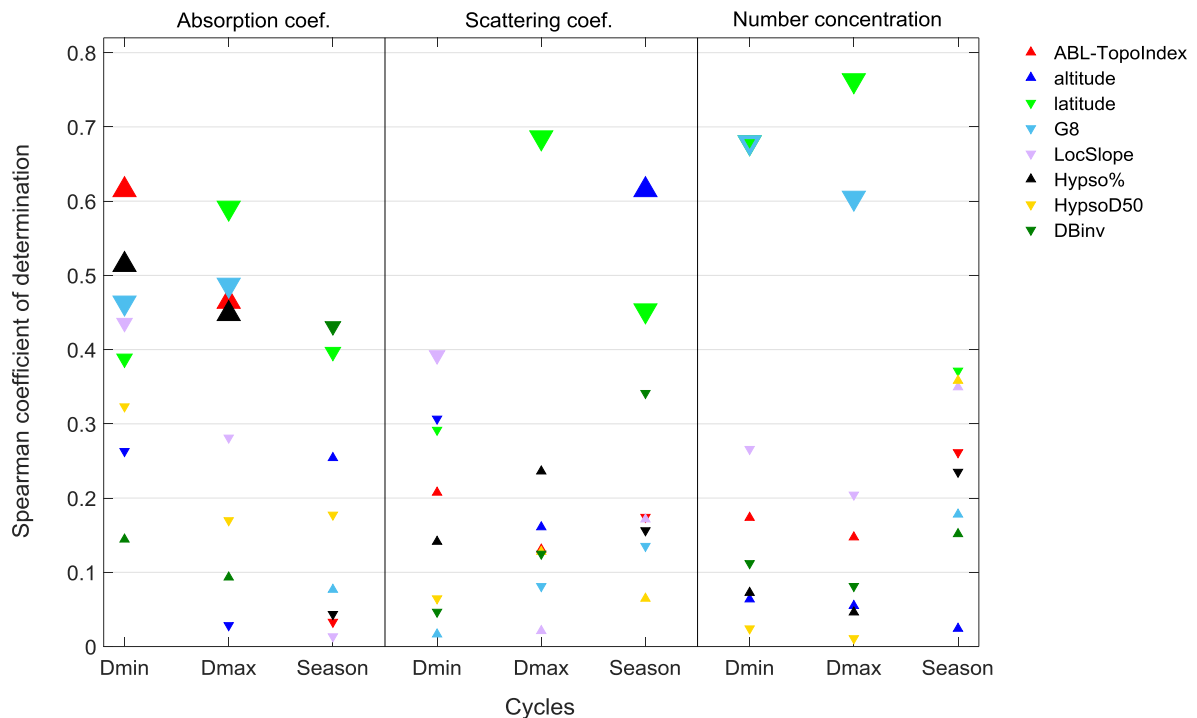


Figure 10: Spearman's rank correlation coefficient characterizing the correlation between all the topographic parameters (see Fig. 9) and the minimum and the maximum of the monthly diurnal cycles, as well as the seasonal cycle of the aerosol parameters. The correlations are performed with 21, 22 and 15 stations for the absorption coefficient, the scattering coefficient and the number concentration, respectively.

17th of July 2018: Response to the co-editor comment's:

The authors thank the co-editor for his comments; these are addressed in what follows:

- We are now at the stage that the one of the reviewers is satisfied with your responses and the revisions that have been made in the manuscripts in some iterations. However, the second reviewer still had some significant criticism and comments regarding your last revision and the response to the provided reviews. I have been going through all the files including the last review and your last response and the revised document. Based on this I invited the reviewer to indicate if this last round of revisions and your response has properly addressed the concerns/issues of the earlier version of the manuscript. In addition, reading over again the ms I still came across quite some statements, sentences that I had to read over again also not always being convinced that these were correct regarding grammar but also not always optimally expressing that what you would like to express. Below, you can find these points that came across and that also need to be further addressed in another revision. I recommend you to ask one of the native English speaking co-authors to carefully check once more again the whole documents for the text to remove these flaws.

Elisabeth Andrews is the second author of this paper. She is a native English speaker with more than 60 publications in peer-reviewed journals. She has reviewed each version of the manuscript as well as all the responses to the referees' comments. She has also helped in addressing the language usage comments of the co-editor noted below. As usual, she will check the final version of the manuscript.

Major comments:

- Title; you have changed the title also considering the changes introduced in the ms based on the reviewers comments. However, this changed title is also according to me still not optimally covering the actual contents of the paper; especially the use of the term aerosol layer is not reflecting the contents. The suggested change in title by one of the reviewers seems to cover much better the actual content. Your study focuses on identifying the influence of topography on aerosol measurements at high-altitude stations.

The authors agree to change the title to: "Identification of topographic features influencing aerosol observations at high altitude stations".

- In addition, it seems that have not tackled the issue of the reviewer on the use of global modelling products to also assess the role of topography in aerosol properties at higher elevations. I am myself not so convinced that the resolution is already sufficiently high to indeed use model products for this, at least not from global models. There are though meso-scale models that can resolve some of the fine-scale meteorological features at resolutions down to some km scale (e.g., WRF). My point is that you should at least address this remark by the reviewer even if at the end you decide not to include this aspect in your paper. *The authors do agree that the modelling approach could provide additional information and would be a useful direction for future studies. While the second referee clearly would like to see a modelling component added to this current investigation, even s/he acknowledges in his/her second review that this may be beyond the scope of this paper saying: "I still think it would be possible to create a meteorological criterion that would indicate situations with likely thermally induced flow from existing global scale model products. Don't forget that the latter have resolution down to 0.1 degree by now. Also it has been done successfully before with observational data, so why not check with model data. **However, I see that this may go well beyond the scope of the current analysis.**" Below we've expanded our response to this comment.*

Most global models are typically not of sufficiently high resolution to simulate complex terrain (e.g., Benedetti et al., 2018). A preliminary study at MeteoSwiss (trainee work, personal communication) with the COSMO model shows that a higher degree of detail in the topography and surface fields leads to a better estimation of the convergence/divergence of the mesoscale flow over the Alps during the day/night (Alpine pumping). However, this was for limited locations in the Swiss Alps and for limited meteorological conditions (i.e., 14 clear-sky summer days). In contrast, the analysis presented in the manuscript aims to define an index that can be applied to all high altitude stations around the world. A study by Wang et al (2016) shows that, in addition to model resolution, the spatial resolution and distribution of emissions sources is also an important factor in how well models are able to represent observations of aerosol. We expect that complex topography would make high spatial resolution of emissions even more critical. We feel that it is clearly beyond the scope of this paper to utilize output from one or more high resolution models (regional or global) to

evaluate the aerosol observations presented here. Doing so would involve evaluating the model assumptions and parameterizations of aerosol and aerosol precursor emission distributions, aerosol transformation and removal processes as well as the details about how the meteorology is modelled for each high altitude station. The effects of mountain topography is complex to model and a topic of ongoing research (see for example, Serafin et al., 2018, Arnold et al., 2012) while models also struggle with simulating observations of aerosol particles - even in less complex terrain (see for example, Mann et al., 2014; Tsigaridis et al., 2014; Eskes et al 2018.). In the future we hope to partner with members of the modelling community to further explore our initial findings on how topography influences aerosol particle observations at high elevation sites.

Arnold, D., et al.: "Issues in high-resolution atmospheric modeling in complex topography – the HiRCot Workshop ", *Croatian Meteorological Journal*, 47, 3–11, 2012.

Eskes, H.J. et al.: "Validation report of the CAMS near-real time global atmospheric composition service, December 2017 - February 2018", Copernicus atmosphere monitoring service (CAMS) report, CAMS84_2015SC3_D84.1.1.11_2018DJF_v1.pdf, 2018. Available at HTTP://ATMOSPHERE.COPERNICUS.EU/QUARTERLY_VALIDATION_REPORTS

Benedetti, A. et al., "Status and future of Numerical Atmospheric Aerosol Prediction with a focus on data requirements," *Atmos. Chem. Phys. Discuss.*, <https://doi.org/10.5194/acp-2018-42>. 2018.

Mann, G. et al., "Intercomparison and evaluation of global aerosol microphysical properties among AeroCom models of a range of complexity," *Atmos. Chem. Phys.*, 14, 4679–4713, 2014.

Serafin, S., et al. : "Exchange Processes in the Atmospheric Boundary layer Over Mountainous Terrain", *Atmosphere*, 9, 1–32. <https://doi.org/10.3390/atmos9030102>, 2018.

Tsigaridis, K. et al. "The AeroCom evaluation and intercomparison of organic aerosol in global models," *Atmos. Chem. Phys.*, 14, 10845–10895, 2014.

Wang, R. et al., "Estimation of global black carbon direct radiative forcing and its uncertainty constrained by observations." *J. Geophys. Res.: Atmospheres*, 121, 5948–5971, 2016.

Minor comments:

- Page 6, line "non-GIS environment(Schwanghart and Scherler, 2014)" put space there.
Done
- Page 6; line 20: "Based on these criteria, the red station on Fig. 2 will be less influenced by the ABL...", alternative; "Based on these criteria it can be inferred from Figure 2 that the "red" station will be less influenced by the ABL....
The sentence was corrected as proposed by the co-editor.
- Page 7, line 2: "have hypso% values larger than 50%."
The sentence was corrected as proposed by the co-editor
- Page 7, you refer in line 16 for example to small spatial scale and in the definition of the previous criteria to large spatial scale; here it is essential to indicate (again) what you deem being a small and a large spatial scale.

The size of the spatial scale is now mentioned explicitly for each parameter constituting the ABL-TopoIndex.

- Page 7; line 23, what do you mean with “and there are some steps for CHC and BEO”, what steps ? and steps of what size?
The nature and significance of the “steps” is now detailed in the text:” For example, there is a rapid decrease of the altitude difference with increasing distance that gradually levels off for radius larger than 7 km for JFJ and for radius larger than 4 km for MBO; there is a continuous decrease of the altitude difference for PYR and ASK up to radius larger than 30 km; and there are sites for which the altitude difference stays constant for a portion of the domain radius (see for example CHC and BEO) indicating the presence of flat terrain..”
- Page 9: line 26: “PYR (5079 m) is the second highest station considered here, but..”, reading this section and statement this line was confusing since the term “here” suggests that this is referring to the second highest station of this case study. You mean here that PYR is the second highest stations of all stations considered in the study and would then also state it this way for clarity.
The sentence was modified as proposed by the co-editor:” PYR (5079 m) is the second highest station of all stations considered in this study, but the station is located at the foot of Mount Everest (8848 m) at a confluence point of several valleys (Fig. 5a and b).”
- Page 10, line 2: “The ABL-TopoIndex depends on the size of the chosen domain (Fig. 6a) so that the various algorithms were tested to several domain sizes ranging from 50 to 1000 km². The gradient G8 and the local slope LocSlope are calculated on small fixed horizontal scales (0.5-1 and 10 km, respectively)”. I had to read these two sentences a couple of times also not being convinced that this is the most optimal way to express what you intent to say. There are actually more of these sentences in the ms and would anyhow propose to still have once a native speaking English co-author (I guess there is one given the large list of co-authors) to critically check the ms for such potential flaws. Alternative: “Since the ABL-TopoIndex depends on the size of the chosen domain (Fig. 6a) we have conducted an evaluation of the sensitivity of the various algorithms to the domain size using a range from 50 to 1000 km². The gradient criterion G8 and the local slope criterion LocSlope are calculated on small fixed horizontal scales (0.5-1 and 10 km, respectively)”
The sentence was replaced by the one proposed by the co-editor. The whole manuscript has been checked by a native English speaker and the last version of the manuscript will be once again carefully gone over.
- Page 10: line 9: “the concentration of thermally lifted pollutants”
The sentence was corrected as proposed by the co-editor.
- Page 10, line 9/10: “The hypso% decreases continuously for stations situated in a dominant position in their mountainous massif such as JFJ, SBO or BEO (Fig. 6c)”. This is another example of a sentence that should be read carefully and revised. “continuously” here expresses something like over time whereas you want to express here that this parameter increases with an increase in the domain size.
The sentence was modified: “The hypso% decreases continuously with domain size for stations situated in a dominant position in their mountainous massif such as JFJ, SBO or BEO (Fig. 6c)”
- Line 15: “with domain size”, change to “with an increase in domain size”
The sentence was corrected as proposed by the co-editor. The sentence on Line 16 was similarly modified.

- Page 10; line 23-24 “To compare these two parameters, we show in Fig. 7 the ABL-TopoIndex as a function of the altitude for all grid cells in a 5km x 5km domain around a selection of stations”
*The sentence was modified: To compare these two parameters, we show in Fig. 7 the ABL-TopoIndex as a function of the altitude for all grid cells in a 5km x 5km domain *for a subset* of stations.*
- Page 10; line 26: “..very steep and ASK a very flatt ABL-TopoIndex decrease with altitude”; first of all “flat”, then secondly, what is a flat decrease?? I guess you would like to say that there is a strong increase and a small decrease or?
The sentence was modified as proposed by the co-editor:” Fig. 7 shows that the OMP and PYR regions have a very large ABL-TopoIndex decrease with altitude while ASK exhibits a very small ABL-TopoIndex decrease with altitude.”
- Line 33: “were constructed”, alternative “are located”
The sentence was corrected as proposed by the co-editor.
- Page 11: line 6: “are grouped on Fig. 8”: are grouped as shown in Fig. 8 (change all this consistently in the text, e.g. “on Fig. 9”
This was corrected in the whole text.
- Page 12, line 10: “their proximity to other massifs such as the Alps”
The sentence was corrected as proposed by the co-editor.
- Page 14, line 14-15: “...ABL influence, in case of lifting processes without precipitation, is found for the ABL-TopoIndex....”
The sentence was changed: “...ABL influence in the case of lifting processes without precipitation...”
- Page 14; line 18-19: “(mean the altitude over the 9 grid cells, similarly to the ABL-TopoIndex calculation)”
The sentence was rearranged and changed to “The Spearman’s rank correlation coefficients of the 5th, 50th and 95th percentiles of the measured aerosol parameters with site altitude latitude, ABL-TopoIndex as well as all the individual parameters constituting the ABL-TopoIndex are presented in Fig. 9. (Similar to the ABL-TopoIndex calculation, the mean of the altitudes of the grid cell containing the station and its eight neighboring cells was used.)”
- Page 15, line 12, “lowest and the greatest monthly amplitudes”, should be according to me “smallest and largest monthly amplitudes”, also check further the ms for this: e.g. “the greatest ABL influence” should be “the largest ABL influence” (possibly a matter of taste). You use many times the term greater it should be larger/largest or higher/highest, e.g. “higher correlations”
The whole paper was checked and the antonyms low/high, big/small, least/greatest are now used. Moreover the “greatest ABL influence” was always replaced by “the largest ABL influence” and “greatest correlation” with “highest correlation”.
- Page 15, line 21: “diurnal cycle minimal and maximal strengths of the absorption coefficient”. This expression reads also not well. You figure caption text seems to express it in the proper way, modify this text.
The sentence was modified:” The ABL-TopoIndex is s.s. correlated with the minimum and the maximum of the monthly diurnal cycles of the absorption coefficient.”

- Page 16, line 6: “First the possible species and phenomena enabling the estimation of the ABL influence”, what do you mean here with species?? Do you refer here to compounds. I also think you generally want to refer here more generally to “parameters”
The word “species” was changed to “parameters.”
- Page 16, line 27, “NO_y” y lowercase
Done
- Page 16, line 28: “should be in most cases”; “could be in most cases”
The sentence was corrected as proposed by the co-editor.
- Page 16, line 29 “hence involving aerosol washout”
The sentence was modified:” However, one has to remain conscious that many lifting processes co-occur with precipitation and, hence, potential aerosol washout.”.
- Page 17: line 4-5: Your statement about using a model to further assess how pollutants can be used as a proxy for BL influence reads weird: what do you mean with a thermodynamic model? “...bounded to a 3D thermodynamic model adapted to complex topographies would be required before using absolute pollutant concentrations as indicators of ABL influence at high altitude sites”, rather “..constraining simulations with meteorological models able to explicitly resolve the role of fine resolution orography would be” (see also my previous comment on the title/introduction and first major comment of the reviewer).
The sentence proposed by the co-editor was inserted in the ms.
- In addition, this text is part of your modification of the ms responding another major comment provided by one of the reviewers. There is another part of this modification that raises quite some questions: “ A further use of DB_{inv} to restrict the area of potential pollution sources could also be envisaged since this parameter describes the domain from which pollutants can reach the high altitude station by convection and without crossing topographical barriers. This delicate issue can however be avoided by instead considering dynamical parameters such as the various temporal cycles”. What is delicate here? What are the dynamical parameters? You mention here the temporal cycles (diurnal and seasonal?) but in what parameters. I wonder what the reviewer will express about this modification. I am myself not very convinced and consequently suggest you to check this once more again carefully
The expression “delicate issue” was replaced by the following sentence: “The identification of pollution source areas potentially affecting the high altitude stations can be avoided by instead considering dynamic parameters such as the temporal cycles of various pollutants”.
- Page 17: line 14-15: “ Usually the spring leads to higher aerosol loading than the autumn probably related to higher ABL height in the spring”; do you refer here to a higher aerosol loading/concentrations at the high-altitude stations?? I don’t see how a deeper ABL would result in a higher aerosol loading in the ABL, actually the opposite would be expected not having any changes in the sources (more dilution)
The ambiguity was removed by changing the sentence:” Usually, at high altitude stations, the spring leads to higher aerosol loading than the autumn; this is probably related to higher ABL height in the spring”
- Page 18: line 7: “has similar dependency as the ABL as a function of latitude”, dependency on what? I know that in the following sentences you give examples but rephrase this sentence.

The sentence was modified: " Further, the RL has a similar dependency as the ABL on latitude, i.e., the RL's maximum height also depends on the duration of the incoming radiation."

- Page 18, line 16-17: "modify the theoretical cycles and lead to a broadening of the time of the extrema. These difficulties make obtaining clear statistical cycles another reason contributing to the observed low correlations", this text is another example of statements that need definitely to be rephrased. What are theoretical cycles: cycles that you would anticipate based on basic theory? What is meant with a broadening of the time? It would be broadening the time frame or increasing the duration, and which extrema?? And the second sentence needs complete revision.

Both sentences were modified: " However, the statistical determination of the diurnal and seasonal cycle amplitudes suffer from several difficulties: 1) the low aerosol concentrations observed at high altitude often result in measurements near the detection limit leading to large uncertainties, 2) the high hourly autocorrelation of the data requires a pre-whitening procedure (see supplement) in order to be able to detect the diurnal and seasonal cycle, 3) meteorological conditions (e.g., cloud coverage, precipitation, seasonal fluctuations, etc.) modify the clear-sky diurnal cycles. These factors constraining the observation of clear statistical temporal cycles in the measurement data also contribute to the observed low correlations between the diurnal and seasonal cycles of the aerosol parameters and the ABL-TopoIndex."

- Page 18, line 26- 27: Correlation between topography and aerosol parameters and "in Sect. 3.5"

Done

- Page 19, line 22-23: "Globally, NPF is the reason why the greatest correlations are found with the 50 percentile of the number concentration, instead of with the 5 percentile found for the absorption and scattering coefficients", also this sentence needs to be rewritten: correlations with?

The sentence was deleted.

- Page 20, line 7, when you mention these terms "the Efremov-Krcho classification, the hypsometric curve" you should shortly explain them but also indicate why you considered to include these terms in the analysis. On the other hand, the discussion is already now (way too) long.

The authors agree that description in the manuscript is very short. On the request of one referee, a complete description of these parameters were added in the supplement (see Table 2). In order to keep the discussion as short as possible, only the basic domains from which these eliminated parameters were taken are now added: " Several other parameters taken from the topography, morphology or hydrology fields such as the topographical wetness index, the upstream catchment area, the Efremov-Krcho landform classification, the integral and index of the hypsometric curve, and the topographic prominence were tested but eliminated as being not relevant for various reasons (Table S2)."

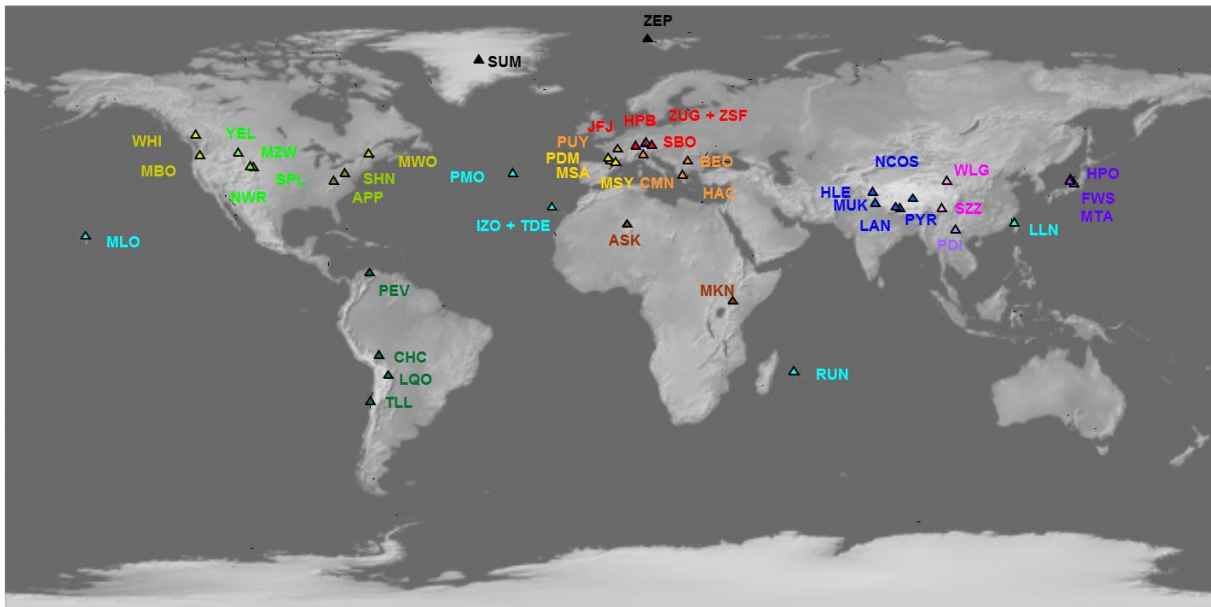
- Page 20, line 28: "It is ..."

Done

- References to "de Wekker" should be listed in the references under the "D" and not the "W" references.

Done

- Figure 1: the station names with the different colors come out sometimes quite poorly, like the stations in the US. Use only or white or black characters?
The authors propose to use the same color code for figures 1 and 8 and to add a grey scale topography as a background.



25th of July 2018: Response to the second reviewer comment's:

The authors thank the second reviewer for the fruitful discussions through all the three rounds of comments and responses. The manuscript ends up with a much more collective point of view taking into account not only the aerosol research domain but also that of atmospheric dynamics. The co-editor and the reviewer will find thereafter the response to the last comments. It has to be noted that the page and line numbers relate to the third version submitted to ACP that was sent to the co-editor and editorial board on the 19th of July 2018.

The authors also add at the end of the response to the second reviewer comment's the response to the co-editor comment's sent on the 19th of July 2018.

After reading through the authors' replies and the careful comments by the editor I only have a few additional remarks. Most of the replies and corrections help to clarify the paper but I think with a little additional work some more meaningful results could have been obtained. But I will leave this for future work.

Some remaining concerns:

- DBinv: Since the authors are really so insisting on keeping this parameter in their analysis I suggest at least to change the name of it throughout the manuscript. Instead of 'drainage

basin for thermally lifted pollutants', which I am still not convinced it represents, the authors should just stay with 'inverse drainage basin' and describe it as potentially reflecting the probability for thermally lifted pollutants to reach the site. Seems to be the same thing, but it really makes a difference if a confusing name is given or a topographic parameter is just tested. However, then in the conclusion it should also be made clear that the parameter was not a good predictor and, hence, the suggested analogy of inverse drainage flow and flow barriers did not work so well.

The authors do agree to change the name for DBinv. The following modifications were done:

- *p.2 line 29 : "To construct the ABL-TopoIndex, we rely on the criteria that the ABL influence will be low if the station is one of the highest points in the mountainous massif, if there is a large altitude difference between the station and the valleys or high plains, if the slopes around the station are steep, and finally if the inverse drainage basin potentially reflecting the source area for thermally lifted pollutants to reach the site is small."*
- *P. 6 line 19: "4) the inverse drainage basin, which potentially reflects the source area for thermally lifted pollutants, is small. "*
- *P. 7 line 33:" **Parameter 5 – DBinv:** Since the air masses have to be thermally lifted from the valleys and plains towards the summit to influence the station measurements, the size of the inverse drainage basin (DBinv) can be calculated with standard hydrology tools using an inverse topography, where the altitude Z is changed to $-Z$ allowing the summit to become a hole. It potentially represents the region from which pollutants such as aerosols can be thermally lifted without crossing any topographical barrier. DBinv is related to criterion 4 for a large spatial scale (500 km x 500 km). Figures 4d and 5d are examples of the DBinv calculation for BEO and PYR. The ABL influence should increase with increasing size of the inverse drainage basin."*
- *P. 9 line 23: "Figure 4d shows that when the inverse drainage basin is calculated with the inverse topography, BEO is in the center of a large inverse drainage basin that covers most of the plotted domain."*
- *P.21 line 21:" The ABL-TopoIndex is a topographical index based on the hypsometric curve, the slope of the terrain around the station and the inverse drainage basin that potentially reflects the source area for thermally lifted pollutants"*
- *P. 38 line 4: "Figure 4: a) Topography on a 750x750 km² domain around BEO (Moussala, white dot) in Bulgaria. The main hydrologic flow paths from the station grid cell are given by the cyan lines. The color scale on the left only applies to Fig. 4a. b) hydrographical network, c) hydrologic drainage basins calculated from the real topography, the different drainage basins are defined by various colors and d) "inverse drainage basin" calculated from the inverse topography (DBinv)."*
- *P. 39 line 3:" Figure 6: a) ABL-TopoIndex, b) inverse drainage basin, c) hypsometric percentage of the station elevation, d) hypsometric percentage of the station elevation minus the 50% hypsometry, e) local slope in a circle of 10 km radius centered on the station, f) gradient in elevation as a function of the domain size for some European high altitude stations."*

To clearly indicate that DBinv is not a good predictor, the following sentence was added to the conclusion (p. 22 line 5):" The inverse drainage basin seems to be the least explanatory parameter in terms of ABL influence and this large scale parameter should either be further

evaluated or be combined with a source inventory to increase its relevance for identifying boundary layer influence.”

- Latitude and thermally induced transport: Sure latitude is a good predictor. The differential heating that is necessary to produce thermal lifting obviously depends, among other factors, on latitude. Why else are the authors focusing on summer for mid-latitude sites. The absence of strong differential heating is another factor why the results of ZEP are so poor. There simply is no thermal lifting.

The authors do agree with this statement and add this information in p. 13 line 6:” ZEP, situated at very low altitude (475 m) and very high latitude (78.9°), also has a very high ABL-TopoIndex value. It was also not included in the correlation analysis since its seasonal and diurnal cycles exhibit different features than the high altitude or middle latitude stations (see Sect. 4.1).”

- Regression model: I was thinking about generalised additive models (GAM, Wood et al., 2006) and parameter selection like in Jackson et al. (2009). Certainly there is a way to deal with non-normal distributions if necessary. There are even more advanced methods coming up in the age of machine learning (random forests, etc.). But I can see that this goes beyond the scope of the current paper but it could have significantly improved the paper and removed some of the speculative aspects.

The authors thank the reviewer for these very interesting references. They think however that the context between the cited study and the manuscript presents some substantial differences. The parameters selection for GAM in Jackson et al. (2009) is based on (to a large extent) already known chemical reactions with a good overall agreement achieved between modelled and measured chemical species concentration. Our study is definitely a first step trying to understand the role of the topography in the ABL-influence at high altitude sites and the aerosol parameters used to validate the ABL-TopoIndex do not constitute the sole explanatory variables. Even though the authors do not have any experience with GAM, they expect at least two potential sources of problems:

1) an exponential family distribution has to be specified for the univariate response variable along with a link function (see https://en.wikipedia.org/wiki/Generalized_additive_model). As already specified in the second response to the referee comments, the only distribution describing the aerosol parameters is the Johnson distribution, which is not part of the exponential family distribution.

2) the number of aerosol time series available for high altitude stations remains sparse (between 15 and 23 for the analyzed parameters) and could be a clear source of large uncertainties.

Nonetheless, the approach is very interesting and could be included in future development of the ABL-TopoIndex.

Jackson, L. S., Carslaw, N., Carslaw, D. C., and Emmerson, K. M.: Modelling trends in OH radical concentrations using generalized additive models, *Atmos. Chem. Phys.*, 9, 2021–2033, doi:10.5194/acp-9-2021-2009, 2009.

Wood, S. N.: *Generalized Additive Models: An Introduction with R*. Chapman & Hall/CRC. Boca Raton, USA, ISBN 9781584884743, 2006.