



1 Tropical Continental Downdraft Characteristics: Mesoscale Systems versus Unorganized
2 Convection

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10 **Abstract**

11 Downdrafts and cold pool characteristics for mesoscale convective systems (MCSs) and
12 isolated, unorganized deep precipitating convection are analyzed using multi-instrument data
13 from the GOAmazon campaign. For both MCSs and isolated cells, there are increases in column
14 water vapor (CWV) observed in the two hours leading the convection and an increase in wind
15 speed, decrease in surface moisture and temperature, and increase in relative humidity coincident
16 with system passage. Composites of vertical velocity data and radar reflectivity from a radar
17 wind profiler show that the downdrafts associated with the sharpest decreases in surface
18 equivalent potential temperature (θ_e) have a probability that increases towards lower levels
19 below the freezing level. Both MCSs and unorganized convection show similar mean downdraft
20 magnitudes and probabilities with height. This is consistent with thermodynamic arguments: if
21 θ_e were approximately conserved following descent, it would imply that a large fraction of the
22 air reaching the surface originates at altitudes in the lowest 2 km, with probability of lower θ_e
23 dropping exponentially. Mixing computations suggest that, on average, air originating at heights
24 greater than 3 km must undergo substantial mixing, particularly in the case of isolated cells, to
25 match the observed cold pool θ_e , likewise implying a low typical origin level. Precipitation
26 conditionally averaged on decreases in surface equivalent potential temperature ($\Delta\theta_e$) exhibits a
27 strong relationship because the largest $\Delta\theta_e$ values are associated with high probability of
28 precipitation. The more physically motivated conditional average of $\Delta\theta_e$ on precipitation levels



29 off with increasing precipitation rate, bounded by the maximum difference between surface θ_e
30 and its minimum in the profile aloft. Precipitation values greater than about 10 mm h^{-1} are
31 associated with high probability of $\Delta\theta_e$ decreases. Robustness of these statistics observed across
32 scales and regions suggests their potential use as model diagnostic tools for the improvement of
33 downdraft parameterizations in climate models.

34 **1 Introduction**

35 Convective downdrafts involve complex interactions between dynamics,
36 thermodynamics, and microphysics across scales. They form cold pools, which are evaporatively
37 cooled areas of downdraft air that spread horizontally and can initiate convection at their leading
38 edge (Byers and Braham 1949; Purdom 1976; Wilson and Schreiber 1986; Rotunno et al. 1988;
39 Fovell and Tan 1998; Tompkins 2001; Khairoutdinov and Randall 2006; Lima and Wilson 2008;
40 Khairoutdinov et al. 2009; Boing et al. 2012; Rowe and Houze 2015). The boundary between the
41 cold pool and the surrounding environmental air, known as the outflow boundary or gust front, is
42 the primary mechanism for sustaining multi-cellular deep convection (e.g. Weisman and Klemp
43 1986). It has also been shown to trigger new convective cells in marine stratocumulus clouds
44 (Wang and Feingold 2009; Terai and Wood 2013) and in trade-wind cumulus (Zuidema et al.
45 2011; Li et al. 2014). Downdrafts also have implications for new particle formation in the
46 outflow regions, which contribute to maintaining boundary layer CCN concentrations in
47 unpolluted environments (Wang et al. 2016).

48 Precipitation-driven downdrafts are primarily a result of condensate loading and the
49 evaporation of hydrometeors in unsaturated air below cloud base (e.g. Houze 1993), with
50 evaporation thought to be the main driver (Knupp and Cotton 1985; Srivastava 1987). It was
51 originally suggested by Zipser (1977) that the downdrafts in the convective part of a system,
52 referred to in the literature as convective-scale downdrafts, are saturated and the downdrafts in
53 the trailing stratiform region (referred to as mesoscale downdrafts) are unsaturated. Studies with
54 large-eddy simulations (LES; Hohenegger and Bretherton 2011; Torri and Kuang 2016) indicate,
55 however, that most convective downdrafts are unsaturated, consistent with evidence that the
56 evaporation of raindrops within the downdraft likely does not occur at a sufficient rate to
57 maintain saturation (Kamburova and Ludlam 1966).



58 More recently, studies have shown the importance of downdraft parameters in
59 maintaining an accurate simulation of tropical climate in global climate models (GCMs;
60 Maloney and Hartmann 2001; Sahany and Nanjundiah 2008; Del Genio et al. 2012;
61 Langenbrunner and Neelin 2017). Accurate simulation of MCSs in continental regions (Pritchard
62 et al. 2011) was also shown to be sensitive to downdraft–boundary layer interactions, with
63 significantly improved representation of MCS propagation in the central US once such
64 interactions were resolved. Additionally, representing the effects of downdrafts and cold pools in
65 models has been shown to have positive effects on the representation of the diurnal cycle of
66 precipitation (Rio et al. 2009; Schlemmer and Hohenegger 2014).

67 This study aims to characterize downdrafts in a comprehensive way in the Amazon for
68 both isolated and mesoscale convective systems, and to provide useful guidance for downdraft
69 parameterization in GCMs. Data from the DOE–Brazil Green Ocean Amazon (GOAmazon)
70 campaign (2014–2015; Martin et al. 2016) provides an unprecedented opportunity to evaluate
71 downdraft characteristics in the Amazon with sufficiently large datasets for quantifying robust
72 statistical relationships describing leading order processes for the first time. Relationships
73 explored previously, primarily in tropical oceanic or mid-latitude regions, such as time
74 composites of wind and thermodynamic quantities relative to downdraft precipitation, are also
75 revisited and compared to our findings over the Amazon. Downdrafts in MCSs and isolated cells
76 are compared to inform decisions concerning their unified or separate treatment in next
77 generation models. The effect of downdrafts on surface thermodynamics and boundary layer
78 recovery are examined, and the origin height of the downdrafts explored, combining inferences
79 from radar wind profiler data for vertical velocity and thermodynamic arguments from simple
80 plume models. Lastly, statistics describing cold pool characteristics at the surface are presented
81 and discussed for possible use as model diagnostics.

82 **2 Data and Methods**

83 Surface meteorological values (humidity, temperature, wind speed, precipitation) were
84 obtained from the Aerosol Observing meteorological station (AOSMET) at the DOE ARM site
85 in Manacapuru, Brazil, established as part of the GOAmazon campaign (site T3; ARM Climate
86 Research Facility 2013a). The record used in this study spans 10 Jan 2014–20 Oct 2015. Values
87 in this study are averaged at 30-min intervals. Equivalent potential temperature is computed
88 following Bolton (1980). Sensible and latent heat fluxes (30-min) are derived from eddy



89 correlation flux measurements obtained with the eddy covariance technique involving correlation
90 of the vertical wind component with the horizontal winds, temperature, water vapor density, and
91 carbon dioxide concentration (ARM Climate Research Facility 2014). A fast-response, three-
92 dimensional sonic anemometer provides the wind components and speed of sound, while water
93 vapor density is from an open-path infrared gas analyzer. Surface flux data from 03 Apr 2014–20
94 Oct 2015 are used here, with periods of missing and unreliable data excluded, as flagged by
95 ARM.

96 Thermodynamic profiles are obtained from radiosonde measurements within 6 h of a
97 convective event (ARM Climate Research Facility 2013b). Radiosondes are launched at
98 approximately 01:30, 7:30, 13:30, and 19:30 LT each day, with occasional radiosondes at 10:30
99 LT in the wet season. Profiles of vertical velocity and radar reflectivity are obtained from a 1290
100 MHz radar wind profiler (RWP) reconfigured for precipitation modes. It has a beam width of 6°
101 (~ 1 km at 10 km AGL), a vertical resolution of 200 m, and a temporal resolution of 5 seconds
102 (Giangrande et al. 2016).

103 Precipitation data at 25 km and 100 km, as well as convection classifications, are derived
104 from an S-Band radar located approximately 67 km to the northeast of T3 at the Manaus Airport.
105 Composite constant altitude low-level gridded reflectivity maps (constant altitude plan position
106 indicators, CAPPis) were generated, and the radar data were gridded to a Cartesian coordinate
107 grid with horizontal and vertical resolution of 2 km and 0.5 km, respectively (ARM Climate
108 Research Campaign Data, C. Schumacher, 2015). Rain rates were obtained from the 2.5 km
109 reflectivity using the reflectivity-rain rate (Z - R) relation $Z=174.8R^{1.56}$ derived from disdrometer
110 data (ARM Climate Research Campaign Data, C. Schumacher, 2015). The spatially averaged
111 rainfall rate over a 25 km and 100 km grid box were used in this study. The center of the 100 km
112 grid box is shifted slightly to the right of center with respect to the T3 site due to reduced data
113 quality beyond a 110 km radius.

114 All convective events used in this study meet the following criteria: producing
115 downdrafts that create a subsequent drop in θ_e at the surface of less than -5°C in a 30-min period
116 and having precipitation rates exceeding 10 mm h^{-1} in that same period. These criteria were
117 chosen to examine the most intense downdraft events with the most well-defined vertical
118 velocity signatures in the RWP data. Only data for events with complete vertical velocity data



119 coverage over the 1 h period spanning the passage of the convective cells and centered around
120 the maximum precipitation were composited and evaluated.

121 Isolated convective cells were identified by S-Band composite reflectivity, as in Fig. 1,
122 and are defined as being less than 50 km in any horizontal dimension (contiguous pixels with
123 reflectivity > 30 dBZ) with a maximum composite reflectivity of greater than or equal to 45 dBZ.
124 Following the criteria defined above, this resulted in the selection of 11 events, all of which were
125 in the late morning or afternoon hours between 11:00 and 18:00 LT. Mesoscale convective
126 systems follow the traditional definition of regions of contiguous precipitation at scales of 100
127 km or greater (contiguous pixels with reflectivity > 30 dBZ) in any horizontal dimension (e.g.
128 Houze 1993; Houze 2004). All of the events sampled are characterized by a leading edge of
129 convective cells with a trailing stratiform region (Fig. 1), which is the most common MCS type
130 (Houze et al. 1990). The above criteria yielded 17 events: 11 in the late morning and early
131 afternoon hours (11:00-18:00 LT) and 6 in the late evening/early morning hours (22:00-11:00
132 LT).

133 In Sect. 6, statistics are presented using nearly the entire two-year timeseries of
134 meteorological variables at the GOAmazon site, as well as 15 years of data (1996–2010) from
135 the DOE ARM site at Manus Island in the tropical western Pacific. One-hour averages are
136 computed in $\Delta\theta_e$ and precipitation.

137 **3 Surface Thermodynamics**

138 Composites of surface meteorological variables are displayed in Fig. 2 for the 11 isolated
139 cellular deep convective events coinciding with drops in equivalent potential temperature of -5°C
140 or less and precipitation rates greater than 10 mm h^{-1} (see Sect. 2). The composites are centered 3
141 h before and after the time marking the beginning of the sharpest decrease in surface θ_e . All
142 differences quoted are the differences in values between the maximum and minimum values
143 within the 1 h timeframe of convective cell passage, unless noted otherwise. All timeseries
144 averaged in the composites are shifted to the mean value at 0.5 h, the timestep immediately
145 following the minimum $\Delta\theta_e$, and error bars on the composites are ± 1 standard deviation with
146 respect to 0.5 h.

147 In the two hours leading the convection, the CWV increases by 4.3 mm. Values of θ_e are
148 353.6 K on average before passage of the cell. An hour after the passage, the θ_e value drops by



149 an average 8.9° to an average value of 344.7 K. Since the isolated convective cells observed
150 occur in the daytime hours, the relative humidity is seen to drop steadily throughout the 3 h
151 period leading the convection following the rise in temperatures with the diurnal cycle. Once the
152 cell passes, RH values rise to 81.6%, which indicates that the downdrafts are sub-saturated when
153 they reach the surface. Temperatures drop by 4.4° C to 24.9° C, which is less of a drop in
154 temperature than observed over mid-latitude sites (see Table 2 in Engerer et al. 2008 for a review
155 of mid-latitude case studies) and specific humidity drops by 1.1 g kg^{-1} to 16.0 g kg^{-1} . Wind
156 speeds reach 5.5 m s^{-1} on average, consistent with previous studies that document strong
157 horizontal winds associated with the leading edges of cold pools (e.g. Fujita 1963; Wakimoto
158 1982), but are lower than the observed values for mid-latitude storms (Engerer et al. 2008).
159 Additionally, surface pressure often increases with the existence of a cold pool and is referred to
160 as the meso-high (Wakimoto 1982). Here, it increases marginally by 0.8 hPa, but this value is
161 much less than the typical values observed in mid-latitudes (e.g. Goff 1976; Engerer et al. 2008).
162 Lastly, 63% of the temperature and moisture depleted by the downdraft recovers within two
163 hours of cell passage, with moisture recovering more quickly and by a greater percentage than
164 temperature.

165 Complementary to those in Fig. 2, composites of surface meteorological variables are
166 shown in Fig. 3 for the 17 MCSs with surface θ_e depressions of -5° C or less and coincident
167 precipitation rates of 10 mm h^{-1} or greater. On average, the environment is more humid, as is
168 seen in the CWV composite. Values of θ_e leading the passage of MCSs are a few degrees lower
169 than the θ_e values leading the isolated cells. This is mostly due to lower surface temperatures.
170 The precipitation occurs over a longer period than in the cases of isolated cells, as there is
171 stratiform rain trailing the leading convective cells. The stratiform rain and associated
172 downdrafts also sustain the cooling and drying of the near surface layers for many hours lagging
173 the precipitation maximum. Column water vapor values leading the MCSs are slightly higher on
174 average than observed leading the isolated cells, with an average maximum value of 59.8 mm.
175 The relative humidity maximum in the cold pool is 90.2% ($\Delta RH = 14.2\%$), the specific humidity
176 minimum is 15.5 g kg^{-1} ($\Delta q = 1.7 \text{ g kg}^{-1}$), and the temperature minimum is 22.9° C ($\Delta T = 4.7^\circ$ C),
177 with winds gusting to an average of 6.3 m s^{-1} with the passage of the leading convective cells.
178 The cold pools are thus cooler, drier, and nearer to saturation for the MCSs than for the isolated



179 cells. It is worth noting that these statistics for MCSs are not greatly affected by the inclusion of
180 nighttime events; composites for afternoon only MCSs yield similar results.

181 Overall, the environments in which MCSs live are moister, they have colder, drier cold
182 pools that are nearer to saturation, the winds at their leading edges are gustier, and their boundary
183 layers recover more slowly than for isolated cells.

184 **4 Downdraft Origin and the Effects of Mixing**

185 Many previous studies of moist convective processes use θ_e as a tracer since it is
186 conserved in the condensation and evaporation of water and for dry and moist adiabatic
187 processes (e.g., Emanuel 1994). Tracing surface θ_e to its equivalent value aloft has been used in
188 many studies of tropical convection to examine potential downdraft origin heights (e.g. Zipser
189 1969; Betts 1973, 1976; Betts and Silva Dias 1979; Betts et al. 2002). This assumes that
190 downdraft air conserves θ_e to a good approximation and that downdraft air originates at one
191 height above ground level. Neither of these assumptions is likely to be true, as mixing is likely
192 occurring between the descending air and the environmental air and thus originating from
193 various levels. However, it can provide a useful reference point for further considerations.

194 We examine the mean θ_e profiles for MCSs and isolated cells, conditioned on the
195 existence of a substantial drop in θ_e and precipitation rates above a threshold value, to place
196 bounds on mixing and downdraft origin with simple plume computations. Matching the
197 minimum θ_e value observed at the surface following the passage of convection to the minimum
198 altitude at which those values are observed yields 1.3 km for isolated cells (left panel, Fig. 4) and
199 2.0 km for MCSs (right panel, Fig. 4). Again, this assumes that θ_e is conserved and that the air
200 originates at one altitude. If instead we assume that substantial mixing occurs with the
201 surrounding environment and that air originates at multiple levels in the lower troposphere, it
202 would be plausible for more of the air reaching the surface to originate at altitudes greater than
203 1.3 and 2 km for isolated cells and MCSs, respectively. This has been alluded to in previous
204 studies (e.g. Zipser, 1969; Gerken et al. 2016), which provide evidence that air originates in the
205 middle troposphere.

206 To examine this, we mix air from above the altitude where the θ_e matched the surface
207 value (shown in the composites in Figs. 2 and 3) downward towards the surface, varying the
208 entrainment rate (constant with pressure). To start, we use a mixing of 0.001 hPa^{-1} , as this is the



209 constant entrainment value used in Holloway and Neelin (2009) and Sahany et al. (2012), which
210 produced realistic updraft buoyancy profiles over tropical oceans. For the MCS case, it is
211 plausible that a downdraft could originate at a height of 2.3 km given this rate of mixing to reach
212 the surface with characteristics given by Fig. 3. (Note that there is a spread in surface values and
213 profile characteristics, but for simplicity we use mean values.) If instead the air were coming
214 from the level of minimum θ_e , an assumption similar to that made by many downdraft
215 parameterizations (e.g. Zhang and McFarlane 1995; Tiedke 1989; Kain and Fritsch 1990),
216 mixing would need be 2.5 times greater. For the isolated cells, mixing rates appear to need to be
217 much greater in order to produce results consistent with those seen at the surface. If we start out
218 at 0.0025 hPa^{-1} , the rate sufficient for a minimum θ_e origin for the MCSs, this only yields an
219 origin height of 1.5 km. If instead we assume the air originates near the level of minimum θ_e ,
220 mixing would need to be at least 0.006 hPa^{-1} . For reference, in the Tiedke and Zhang-McFarlane
221 schemes, downdrafts mix with environmental air at a rate nearly double the rate of mixing in
222 updrafts, which in the Tiedke scheme is $2 \times 10^{-4} \text{ m}^{-1}$. This is similar to 0.0025 hPa^{-1} in pressure
223 coordinates in the lower troposphere.

224 To summarize, this analysis is suggestive of bounds on mixing coefficients for downdraft
225 parameterizations. Downdrafts would need to mix less substantially through the lower
226 troposphere for MCSs than isolated cells to draw down air that matched the observed
227 characteristics at the surface, and the rate of mixing needed to bring air down from the level of
228 minimum θ_e would be 2.5 times greater for isolated cells than for the MCSs. In Sections 5 and 6,
229 we provide a complementary probabilistic perspective on levels of origin.

230 **5 Vertical Velocity and Downdraft Probability**

231 Figure 5 composites reflectivity (Z), vertical velocity (w), and the probability of
232 observing downdrafts ($w < 0 \text{ m s}^{-1}$) for the 11 cases of isolated cellular convection meeting the
233 minimum $\Delta\theta_e$ criteria of -5° C and minimum precipitation criteria of 10 mm h^{-1} . Time 0 is the
234 time right before the sharpest decrease in θ_e , repeated from Fig. 2 in the top panel, and maximum
235 precipitation. A 3 h window is composited for reference, but the interval of primary interest is
236 the 1 h window within which the minimum $\Delta\theta_e$ and maximum precipitation are observed. To
237 highlight the interval of interest, the 1 h intervals leading and lagging this period are masked out.



238 The drop in θ_e is coincident with the passage of the isolated cell and its main updraft and
239 precipitation-driven downdraft. Mean reflectivity exceeding 40 dBZ is observed during this
240 period, as are strong updrafts in the middle-upper troposphere. The cell then dissipates and/or
241 moves past the site within an hour. A downdraft is observed directly below and slightly trailing
242 the updraft core. This is the downdraft that is associated with the largest drop in surface θ_e . As is
243 suggested in the literature, these are mainly driven by condensate loading and evaporation of
244 precipitation and are negatively buoyant. The probability of observing negative vertical velocity
245 (threshold $< 0 \text{ m s}^{-1}$) within the 30 minutes of observed maxima in the absolute value of $\Delta\theta_e$ and
246 precipitation is highest in the lower troposphere (0-2 km), consistent with precipitation-driven
247 downdrafts observed in other studies (Sun et al. 1993; Cifelli and Rutledge 1994).

248 There is also a high probability of downdrafts in air near the freezing level (masked out in
249 the vertical velocity retrievals, as there is large error associated with retrievals near the freezing
250 level; Giangrande et al. 2016). It appears likely, however, that these downdrafts are
251 discontinuous in height more often than not, as high probabilities are not observed coincidentally
252 in the lowest levels beneath these downdrafts. These mid-upper level downdrafts are documented
253 in previous studies of MCSs, suggesting that they form in response to the pressure field (e.g.
254 Biggerstaff and Houze 1991), can occur quite close to the updraft (Lily 1960; Fritsch 1975), and
255 are positively buoyant (Fovell and Ogura 1988; Jorgensen and LeMone 1989; Sun et al. 1993).
256 These motions produce gravity waves in upper levels, as is discussed in Fovell et al. (1992).

257 Figure 6 shows the same composites for the 17 MCSs observed. They, too, have high
258 reflectivity (mean $> 40 \text{ dBZ}$) in the 30 minutes coincident with the minimum θ_e and a defined
259 updraft extending up to the upper troposphere. Downdrafts occurring coincident with the
260 minimum θ_e are observed directly below the updraft signature in the mean vertical velocity
261 panel, and the probabilities are greatest below the freezing level. There is also evidence of
262 mesoscale downdrafts in the trailing stratiform region of the MCSs, which Miller and Betts
263 (1977) suggest are more dynamically driven than the precipitation-driven downdrafts associated
264 with the leading-edge convection. These sustain the low θ_e air in the boundary layer for hours
265 after the initial drop, observed in Fig. 3. Vertical motions in the stratiform region are weaker than
266 in the convective region, and on average, as in Cifelli and Rutledge (1994), rarely exceed 1 m s^{-1} .

267 Figure 7 is a concise summary of the results presented in Figs. 5 and 6, showing the mean
268 vertical velocity within the 30-min of sharpest $\Delta\theta_e$ for MCSs and isolated cells. Previous studies



269 using radar wind profilers have shown mean updraft and downdraft strength increases with
270 height (May and Rajopadhyaya 1999; Kumar et al. 2015; Giangrande et al. 2016), consistent
271 with our results here for both isolated and organized deep convection. The corresponding mean
272 probability is shown in the right panel. The probability of downdrafts for both isolated cells and
273 MCSs increases nearly linearly towards the surface below the freezing level. Thus, the behavior
274 in the lowest 3 km summarizes our results from the previous two figures and suggests that
275 downdrafts accumulate air along their descent, analogous to mixing. Probabilities, which can be
276 interpreted loosely as convective area fractions (Kumar et al. 2015; Giangrande et al. 2016), are
277 also largest below the freezing level for downdrafts and in the 3-7 km region for updrafts. The
278 probability and vertical velocity for both MCSs and isolated cells correspond to mass flux
279 profiles that increase nearly linearly throughout the lower troposphere for updrafts and that
280 decrease nearly linearly throughout the lower troposphere for downdrafts, as seen in Giangrande
281 et al. (2016) over a broader range of convective conditions.

282 These results suggest that in most downdrafts, a substantial fraction of the air reaching
283 the surface originates in the lowest 3 km within both organized and unorganized convective
284 systems. Several observational studies corroborate the evidence presented here that a majority of
285 the air reaching the surface in deep convective downdrafts originates at low-levels (Betts 1976;
286 Barnes and Garstang 1982; Betts et al. 2002). Betts 1976 concluded that the downdraft air
287 descends approximately only the depth of the subcloud layer (~150 mb). Betts et al. (2002) cited
288 a range of 765-864 hPa for the first levels at which the surface θ_e values matched those of the air
289 aloft. Additionally, there are many modeling studies that provide evidence of these low-level
290 origins (Moncrieff and Miller, 1976; Torri and Kuang, 2016). Recently, Torri and Kuang (2016)
291 used a Lagrangian particle dispersion model to show that precipitation-driven downdrafts
292 originate at very low levels, citing an altitude of 1.5 km from the surface. These conclusions are
293 consistent with our results here, suggesting that downdraft parameterizations substantially weight
294 the contribution of air from the lower troposphere (e.g. with substantial mixing, modifying height
295 of downdraft origin).

296 **6 Relating Cold Pool Thermodynamics to Precipitation**

297 As seen in previous sections, the passage of both organized and unorganized convective
298 cells can lead to substantial decreases in θ_e resulting mainly from precipitation-driven



299 downdrafts formed from the leading convective cells. In this section, we search for robust
300 statistical relationships between key thermodynamic variables for potential use in improving
301 downdraft parameterizations in GCMs. These statistics differ from those presented in Figs. 2-7,
302 as these statistics are not conditioned on convection type and sample both precipitating and non-
303 precipitating points within the timeseries analyzed. All data available at the surface
304 meteorological station during the GOAmazon campaign from 10 Jan 2014–20 Oct 2015 are
305 included in these statistics.

306 The first of these statistics conditionally averages precipitation rate by $\Delta\theta_e$ (Fig. 8),
307 variants of which have been discussed in previous studies (Barnes and Garstang 1982; Wang et
308 al. 2016). Our statistics mimic those shown in previous work relating column-integrated moisture
309 to deep convection over tropical land (Schiro et al. 2016) and ocean (Neelin et al. 2009;
310 Holloway and Neelin 2009). The direction of causality in the CWV-precipitation statistics,
311 however, is the opposite of what is presented here. CWV is thought to primarily be the cause of
312 intense precipitation and deep convection, while here the $\Delta\theta_e$ observed is a direct result of the
313 precipitation processes and associated downdraft. Nevertheless, examining the distribution of
314 $\Delta\theta_e$ observed at the surface and magnitudes of the rain rates associated with the highest drops in
315 $\Delta\theta_e$ across different regions in the tropics can place bounds on downdraft behavior. We will also
316 conditionally average $\Delta\theta_e$ by precipitation rate, a more physically consistent direction of
317 causality.

318 Figure 8 shows precipitation rate binned by $\Delta\theta_e$ for in-situ precipitation and radar
319 precipitation. Bins are 1°C in width and precipitating events are defined as having rain rates
320 greater than 1 mm h^{-1} . These statistics mainly suggest that any substantial decrease in θ_e at the
321 surface occurs coincidentally with heavy precipitation, which is particularly evident from the sharp
322 increase in probability of precipitation (middle panel). The width of the distribution of
323 precipitating points is of greatest interest here. The distribution of precipitating points peaks just
324 shy of a $\Delta\theta_e$ of 0°C , indicating that most precipitation events have low rain rates and do not
325 occur coincidentally with an appreciable drop in $\Delta\theta_e$. The frequency of precipitation drops off
326 roughly exponentially towards lower $\Delta\theta_e$. An interesting feature is the lower bound observed in
327 the $\Delta\theta_e$ near -15°C . Examining mean profiles in Fig. 5 show that, on average, this value of -15°
328 C would be consistent with air originating from the level of minimum θ_e and descending
329 undiluted to the surface. The frequency of observing these values suggests that air very rarely



330 reaches the surface from these altitudes (3 km or higher) undiluted. The θ_e probability
331 distribution is consistent with the results of Sect. 5, indicating that the probability of air from a
332 given level of origin reaching the surface increases toward the surface through the lowest 3 km.

333 S-Band radar data are averaged in 25 km and 100 km grid boxes surrounding the
334 GOAmazon site to examine the precipitation- $\Delta\theta_e$ relation with model diagnostics in mind (Fig.
335 8). Out to 25 km, the statistics are very similar to those observed using in situ precipitation.
336 Theoretical (Romps and Jevanjee 2015), modeling (Tompkins 2001; Feng et al. 2015), and
337 observational (Feng et al. 2015) studies have all examined typical sizes of cold pools, which are
338 on the order of 25 km in diameter for any one cell. Cold pools can combine, however, to form a
339 larger, coherent mesoscale-sized cold pool (radius of 50 km or greater), as is commonly
340 associated with mesoscale convective systems (Fujita 1959; Johnson and Hamilton 1988).
341 Therefore, it is likely that our use of the in situ $\Delta\theta_e$, assuming cold pool properties are somewhat
342 homogeneous in space, is appropriate for scales up to 25 km. Beyond this scale, it is likely that
343 the $\Delta\theta_e$ would be smoothed by averaging, particularly for the smaller isolated cells, as would
344 precipitation. For 100 km, the precipitation is smoothed by averaging, which would likely
345 degrade further if information of 100 km mean surface thermodynamics were available. This
346 suggests that comparing these statistics to those produced with model output for diagnostic
347 purposes would yield a narrower range of $\Delta\theta_e$ and lower conditionally averaged rain rates.

348 Figure 9 shows remarkable similarity in these statistics when comparing across regions to
349 a DOE ARM site at Manus Island in the tropical western Pacific. As $\Delta\theta_e$ decreases, in situ
350 precipitation rates sharply increase. The distributions, as well as the steepness and locations of
351 the pickups, are remarkably consistent. Again, the sharpness of these curves is a result of the
352 strongest precipitation events coinciding with the strongest decreases in θ_e , shown in the middle
353 panels in Fig. 9, where the probability of observing precipitation is greatest at lower $\Delta\theta_e$.

354 It is then of interest to see if for a given precipitation rate we can expect a particular $\Delta\theta_e$,
355 as this is the proper direction of causality. Figure 10 conditionally averages $\Delta\theta_e$ by precipitation
356 rate (1-h averages). The maximum $\Delta\theta_e$ within a 3-h window of a given precipitation rate is
357 averaged to minimize the effects of local precipitation maxima occurring slightly before or after
358 the minimum in $\Delta\theta_e$. Comparing Fig. 9 and Fig. 10 shows that there can be strong precipitation
359 events without large, corresponding decreases in surface θ_e , but that large decreases in surface θ_e
360 are almost always associated with heavy precipitation.



361 Beyond about 10 mm h^{-1} there is high probability of observing large, negative $\Delta\theta_e$ and an
362 apparent limit in mean θ_e decreases with rain rate. This makes physical sense, as discussed
363 above (see also Barnes and Garstang 1982), since cooling is limited by the maximum difference
364 between the surface θ_e and the θ_e minimum aloft. The average $\Delta\theta_e$ for rain rates exceeding 10
365 mm h^{-1} is about -5°C for the Amazon and -4°C for Manus Island. This statistic could be of use in
366 constraining downdraft parameters to be consistent with surface cooling and drying observed in
367 nature. There are still, however, open questions about scale dependence and how much cooling
368 or drying should be observed for varying space and time scales. This result is likely applicable to
369 GCM grid scales of 0.25° or less, as is suggested from the results in Fig. 9, but would be of lesser
370 magnitude at scales more comparable to typical GCM grids (100 km or greater). Overall, if
371 convective precipitation is present in a GCM grid, a corresponding $\Delta\theta_e$ should result within a
372 range consistent to those observed here, subject to scale dependence.

373 7 Conclusions

374 Convective events sampled during the GOAmazon campaign compare downdraft
375 characteristics between MCSs and isolated cells and examine their respective effects on surface
376 thermodynamics. All events included in the analysis passed directly over the GOAmazon site
377 with minimum precipitation rates of 10 mm h^{-1} and $\Delta\theta_e$ less than or equal to -5°C . The isolated
378 events sampled occurred in the afternoon hours only and were characterized by average
379 decreases of 1.1 g kg^{-1} in specific humidity, 3.9°C in temperature, and 8.0°C in θ_e , with an
380 increase of 5.5 m s^{-1} in wind speed at the surface. More than half of the deficit in θ_e observed
381 with the passage of the cells recovers within 2 h, on average, with the moisture recovering faster
382 than temperature and a larger fraction of the total θ_e recovered. MCSs show similar decreases in
383 temperature (3.7°C) but larger decreases in moisture (1.5 g kg^{-1}) and thus θ_e (9.1°C) at the
384 surface. The θ_e recovers more slowly for MCSs due to the mesoscale downdrafts and associated
385 precipitation in their trailing stratiform regions.

386 Vertical velocity profiles from a radar wind profiler show that the probability of
387 observing downdraft air during the 30 minutes of observed minimum $\Delta\theta_e$ increases with
388 decreasing height in the lowest 3 km for both isolated cells and MCSs. This vertical structure of
389 the downdraft probability is consistent with negative vertical velocities originating at various
390 levels within this layer and continuing to the surface. Considering complementary



391 thermodynamic arguments, without mixing, profiles of θ_e suggest that origin levels at average
392 altitudes of 1.3 and 2 km for isolated cells and MCSs, respectively, would be consistent with
393 average cold pool θ_e for these cases. A minimum in θ_e is observed between 3 and 7 km, on
394 average, so for air to originate above 3 km, simple plume calculations suggest that downdrafts in
395 MCSs would have to be mixing with environmental air at an approximate rate of 0.0025 hPa^{-1}
396 along descent and at a rate roughly 2.5 times greater (0.006 hPa^{-1}) for isolated cells. This would
397 imply mass entering the downdraft throughout the lowest few kilometers. Overall the vertical
398 velocity and thermodynamic constraints are consistent in suggesting a spectrum of downdraft
399 mass origin levels throughout the lowest few kilometers.

400 Robust statistical relationships between $\Delta\theta_e$ and precipitation are examined from nearly
401 two years of data at the GOAmazon site and 15 years of data at the DOE ARM site at Manus
402 Island in the tropical western Pacific. We conditionally average precipitation by $\Delta\theta_e$, similar to
403 the statistics of precipitation conditioned on a thermodynamic quantity we consider for
404 convective onset statistics. Here, however, the most likely direction of causality differs in that
405 the θ_e drop is caused by the downdraft that delivers the precipitation (as opposed to the
406 thermodynamic profile providing convective available potential energy for an updraft). For in
407 situ precipitation, the conditional average precipitation exhibits a sharp increase with decreasing
408 $\Delta\theta_e$, which is similar in magnitude over land and ocean, reaching roughly 10 mm hour^{-1} at a $\Delta\theta_e$
409 of -10° C . For area-averaged precipitation on scales typical of GCM grids, precipitation
410 magnitude is lower for strong, negative $\Delta\theta_e$, consistent with the points with large $\Delta\theta_e$ occurring
411 at localized downdraft locations within a larger system with smaller area-average precipitation.
412 The probability distributions of $\Delta\theta_e$ (for precipitating and non-precipitating points) over land and
413 ocean are also remarkably similar. Distributions show exponentially decreasing probability with
414 decreasing $\Delta\theta_e$, providing additional evidence that downdraft plumes originating in the lowest
415 levels are orders of magnitude more likely than plumes descending with little mixing from the
416 height of minimum θ_e . Conditionally averaging $\Delta\theta_e$ by precipitation (the most likely direction of
417 causality) suggests an average limit in $\Delta\theta_e$ of -4° C to -5° C given high precipitation typical of
418 downdraft conditions. The corresponding 90th percentile yields $\Delta\theta_e$ of roughly -10° C , consistent
419 with results obtained from compositing strong downdrafts. The robustness of these statistics over
420 land and ocean, and to averaging in space at scales appropriate to a typical GCM resolution,



421 suggests possible use of these statistics as model diagnostic tools and observational constraints
422 for downdraft parameterizations.

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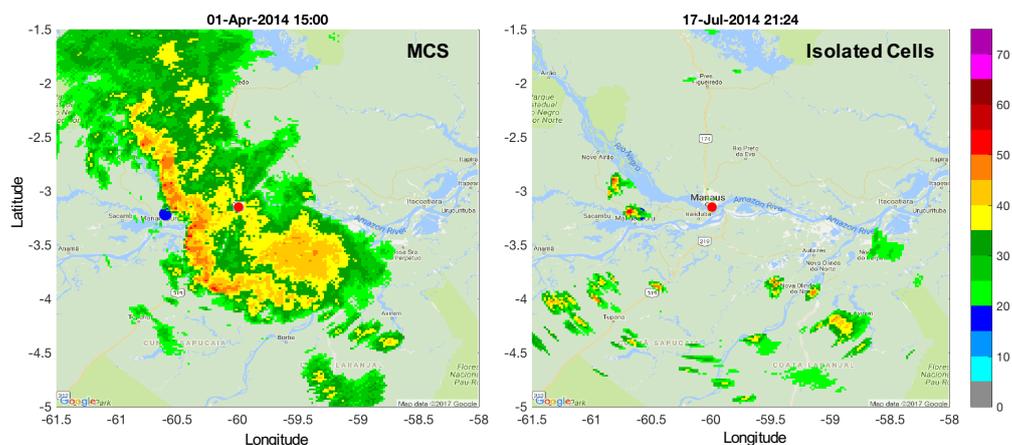
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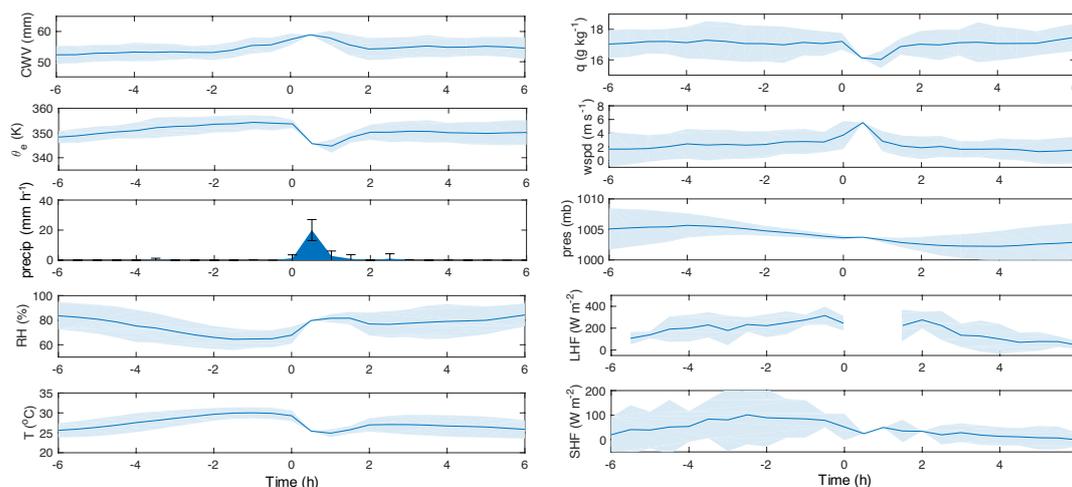


654 **Figures**



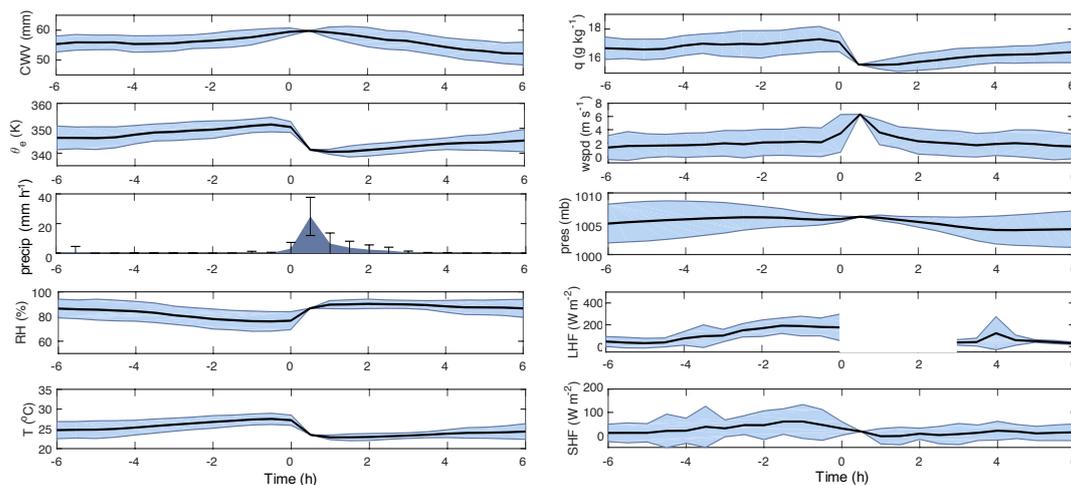
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656 **Figure 1: Examples from S-Band Radar on 01 Apr 2014 at 15:00 UTC (11:00 LT) before**
 657 **the passage of an MCS, and at 17 Jul 2017 at 21:24 UTC (17:24 LT) after the passage of an**
 658 **isolated cell. The red dot indicates the location of the S-Band radar, and the red dot**
 659 **indicates the location of the main GOAmazon site (T3).**



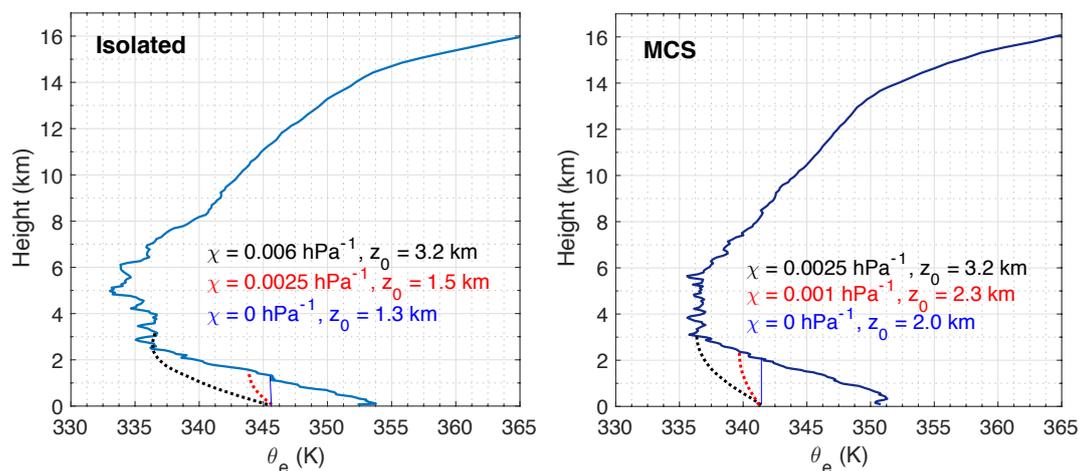
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661 **Figure 2: Composites of meteorological variables from the AOSMET station at site T3 6 h**
 662 **leading and 6 h lagging the 30-minute interval right before the drop in equivalent potential**
 663 **temperature (2nd panel) and precipitation maximum (3rd panel) coincident with the passage**
 664 **of isolated cells. Error bars are +/- 1 standard deviation with respect to 0.5 h.**



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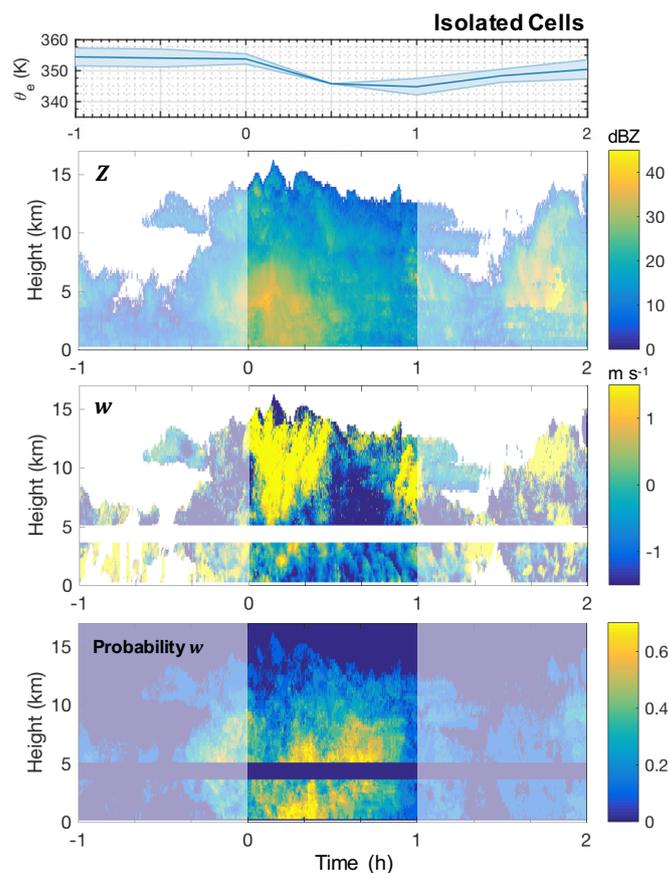
666 **Figure 3: Same as Fig. 2, except for MCSs.**



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668 **Figure 4: Mean profiles of θ_e for isolated cells (left) and MCSs (right) within 6 h leading**
 669 **the passage of a deep convective event. Dashed lines indicate the mean descent path for**
 670 **plumes originating at various altitudes and mixing with the environment at various rates;**
 671 **solid blue line shows mean descent without mixing.**

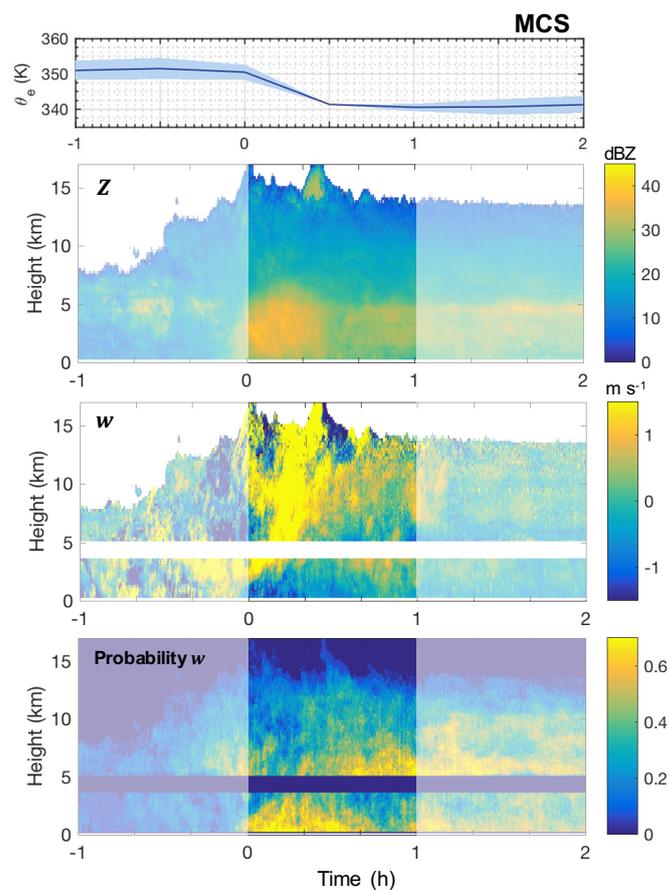
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674 **Figure 5:** The composite θ_e surrounding minimum $\Delta\theta_e$, as in Fig. 2 (top panel), mean
675 reflectivity (dBZ; second panel), mean vertical velocity (third panel; m s^{-1}), and probability
676 of $w < 0 \text{ m s}^{-1}$ (bottom panel) measured by the radar wind profiler at T3 leading and
677 lagging the passage of isolated cells.

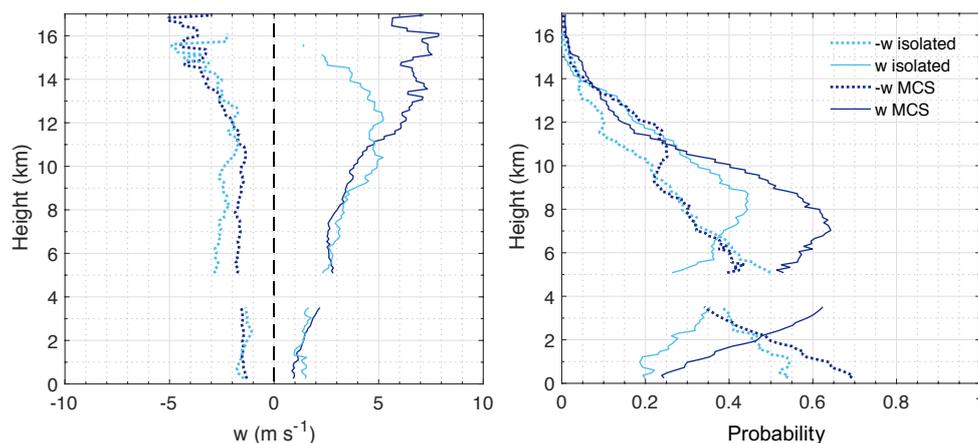
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680 **Figure 6: Same as Fig. 5, but leading and lagging the passage of MCSs.**

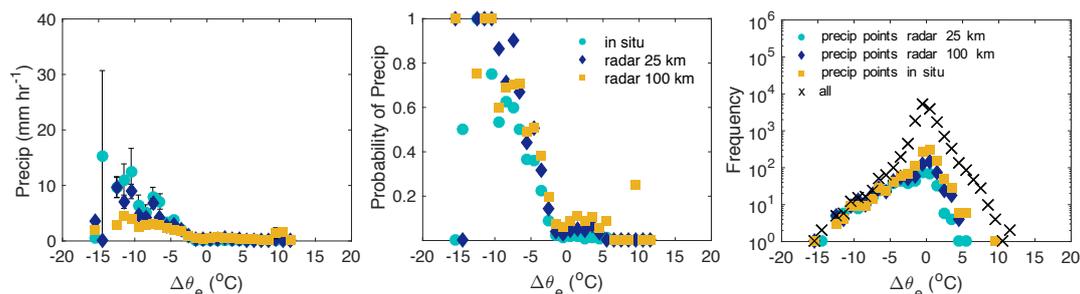
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683 **Figure 7: (left) Mean vertical velocity profiles for MCSs and isolated cells for downdrafts**
 684 **($w < 0$ m s⁻¹; dashed) and updrafts ($w > 0$ m s⁻¹; solid). (right) Mean probability of**
 685 **observing updrafts or downdrafts as a function of altitude. Means are composited from**
 686 **data in the 30 minutes of largest drop in $\Delta\theta_e$ (0-0.5 h in Figs. 2, 3, 5, and 6).**

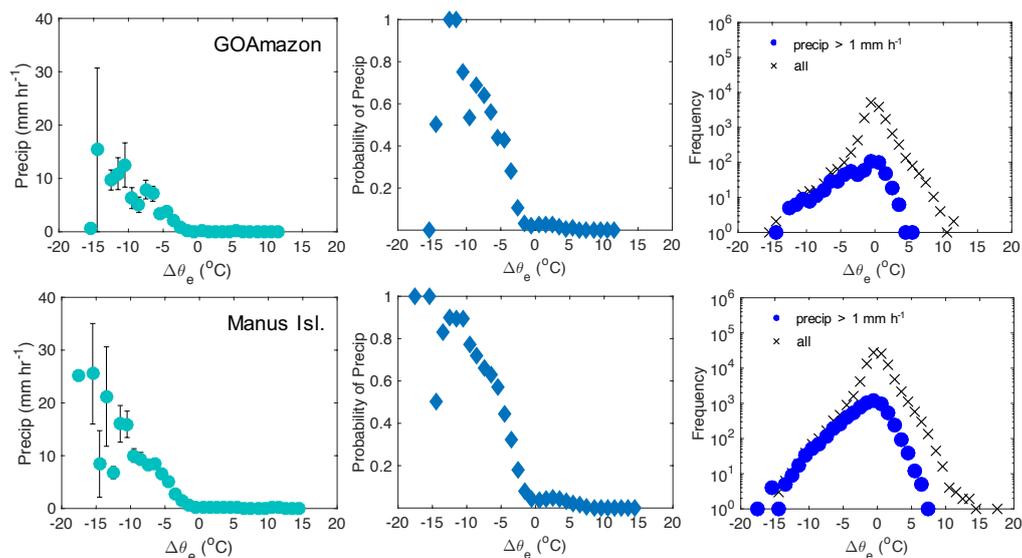
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689 **Figure 8: (left) Precipitation (30-min averages) conditionally averaged by coincident**
 690 **changes in equivalent potential temperature ($\Delta\theta_e$) at the GOAmazon site. Precipitation**
 691 **values corresponds to the θ_e values at the end of each differencing interval. Bins are a**
 692 **width of 1°. (middle) The probability of precipitation (> 1 mm h⁻¹) occurring for a given**
 693 **$\Delta\theta_e$. (right) The frequency of occurrence of $\Delta\theta_e$ and precipitation for a given $\Delta\theta_e$ (precip $>$**
 694 **1 mm h⁻¹). Precipitation derived from S-Band radar reflectivity at spatial averages over 25**
 695 **km and 100 km grid boxes surrounding the GOAmazon site are included for comparison to**
 696 **the in situ precipitation.**

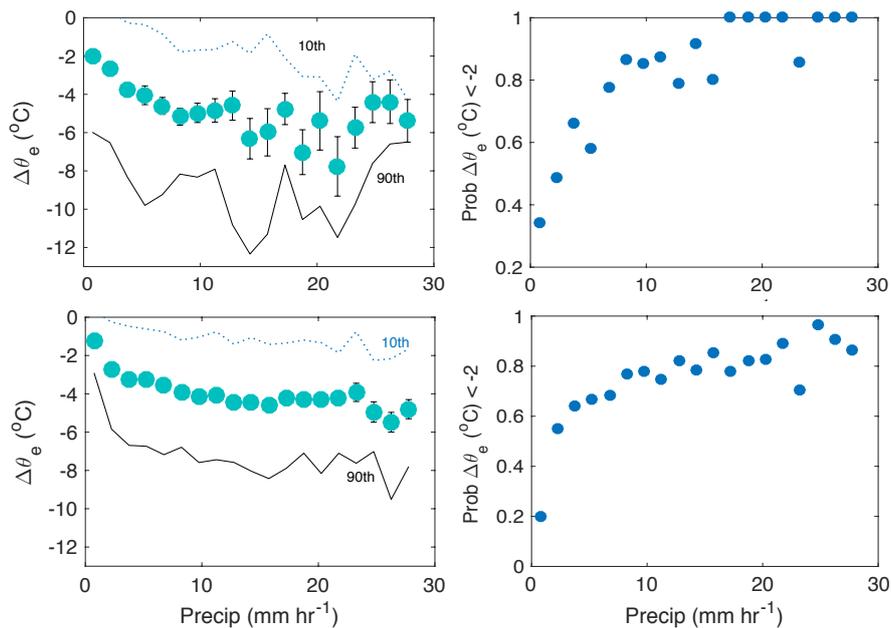
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699 **Figure 9: (left) Precipitation (30-min averages) conditionally averaged by coincident**
700 **changes in equivalent potential temperature ($\Delta\theta_e$) at the GOAmazon site (top) and Manus**
701 **Island (bottom). Precipitation values corresponds to the θ_e values at the end of each**
702 **differencing interval. Bins are a width of 1°. (middle) The probability of precipitation**
703 **occurring for a given $\Delta\theta_e$. (right) The frequency of occurrence of $\Delta\theta_e$ and precipitation for**
704 **a given $\Delta\theta_e$.**

705



706

707 **Figure 10:** $\Delta\theta_e$ conditionally averaged by coincident precipitation (1-h averages) at the
708 **GOAmazon site (top) and at Manus Island (bottom).** Precipitation values corresponds to
709 **the θ_e values at the end of each differencing interval. Bins are a width of 1°.** Error bars
710 **represent standard error. The 10th and 90th percentile values for each bin are drawn for**
711 **reference.**

712