1 Concentrations and source regions of light absorbing particles

² in snow/ice in northern Pakistan and their impact on snow

3 **albedo**

- 4 Chaman Gul^{1,2,5}, Siva Praveen Puppala², Shichang Kang^{1,3,5}, Bhupesh Adhikary², Yulan Zhang¹,
- 5 Shaukat Ali⁴, Yang Li³, Xiaofei Li¹

¹State Key Laboratory of Cryosphere Science, Northwest Institute of Eco-Environment and Resources, Chinese
 Academy of Sciences, Lanzhou 73000, China

8 ²International Centre for Integrated Mountain Development (ICIMOD), G.P.O. Box 3226, Kathmandu, Nepal

⁹ ³CAS Center for Excellence in Tibetan Plateau Earth Sciences, Beijing, 100101, China

⁴Global Change Impact Studies Centre (GCISC), Ministry of Climate Change, Islamabad, Pakistan

⁵University of Chinese Academy of Sciences, Beijing, China

12 *Correspondence to*: Chaman Gul (chaman.gul@icimod.org; chaman@lzb.ac.cn), Siva Praveen Puppala 13 (SivaPraveen.Puppala@icimod.org).

- 14 Abstract. Black carbon (BC), water-insoluble organic carbon (OC), and mineral dust are important particles in snow
- and ice, which significantly reduce albedo and accelerate melting. Surface snow and ice samples were collected
- 16 from the Karakoram-Himalayan region of northern Pakistan during 2015 and 2016 in summer (six glaciers), autumn
- 17 (two glaciers), and winter (six mountain valleys). The average BC concentration overall was 2130 ± 1560 ng g⁻¹ in
- summer samples, 2883 ± 3439 ng g⁻¹ in autumn samples, and 992 ± 883 ng g⁻¹ in winter samples. The average water
- insoluble OC concentration overall was 1839 ± 1108 ng g⁻¹ in summer samples, 1423 ± 208 ng g⁻¹ in autumn
- samples, and 1342 ± 672 ng g⁻¹ in winter samples. The overall concentration of BC, OC, and dust in aged snow
- 21 samples collected during the summer campaign was higher than the concentration in ice samples. The values are
- 22 relatively high compared to report by others for the Himalayas and Tibetan Plateau. This is probably the result of
- taking more representative samples at lower elevation where deposition is higher and the effects of ageing and
- enrichment more marked. A reduction in snow albedo of 0.1–8.3% for fresh snow and 0.9–32.5% for aged snow was
- 25 calculated for selected solar zenith angles during daytime using the Snow, Ice, and Aerosol Radiation (SNICAR)
- 26 model. Daily mean albedo was reduced by 0.07–12.0%. The calculated radiative forcing ranged from 0.16 to 43.45
- 27 Wm⁻² depending on snow type, solar zenith angle, and location. The potential source regions of the deposited
- 28 pollutants were identified using spatial variance in wind vector maps, emission inventories coupled with backward
- 29 air trajectories, and simple region tagged chemical transport modelling. Central, South, and West Asia were the
- 30 major sources of pollutants during the sampling months, with only a small contribution from East Asia. Analysis
- 31 based on the Weather Research and Forecasting (WRF-STEM) chemical transport model identified a significant
- 32 contribution (more than 70%) from South Asia at selected sites. Research into the presence and effect of pollutants
- in the glaciated areas of Pakistan is economically significant because the surface water resources in the country
- 34 mainly depend on the rivers (the Indus and its tributaries) that flow from this glaciated area.

35 1 Introduction

36 Carbon is an essential component of atmospheric aerosols, where it appears in the form of black carbon (BC, also known as elemental carbon, EC), and organic carbon (OC). BC is emitted into the atmosphere from incomplete 37 combustion of carbon-based fuels (mainly fossil fuels and biomass) (Jacobson, 2004), while OC can be directly 38 39 emitted into or formed in the atmosphere. After deposition on snow and ice surfaces, BC particles significantly 40 reduce the snow albedo (hemispheric reflectance) in the visible part of the electromagnetic spectrum, cause snow 41 albedo feedback (Doherty et al., 2013), enhance solar radiation absorption (Warren and Wiscombe, 1980), and accelerate snow melting (Hansen and Nazarenko, 2004). BC, both in air and deposited on snow, is important in net 42 43 positive forcing of the climate. Clean snow is one of the most reflective natural surfaces on Earth at the ultraviolet 44 and visible wavelengths, while BC is the most efficient light-absorbing species in the visible spectral range (Horvarth, 1993). One ng g⁻¹ of BC has almost the same effect on albedo reduction as 100 ng g⁻¹ mineral dust at 500 45 nm wavelength (Warren et al., 1982). However, the exact amount of albedo reduction also depends on the refractive 46 47 index, grain size, solar zenith angle (SZA), snow density, dust particle size and concentration, particle morphology, 48 surface roughness, snow depth, liquid water content, snow shape, and topography (Wiscombe and Warren 1985). 49 Albedo reduction usually results in amplification of the energy absorbed by dirty snow (Painter et al., 2010). An albedo feedback is triggered and amplified by deposition of impurities on the snow surface which reduces snow 50 51 albedo thus accelerating melting and further reducing albedo (Doherty et al., 2013; Flanner et al., 2009). Albedo feedback is amplified by the presence of light-absorbing particles (Doherty et al., 2013). Studies conducted in 52 53 Greenland showed that at visible wavelengths 10 ng g^{-1} coarse-grained BC particles in aged snow and 40 ng g^{-1} BC particles in new snow can reduce snow albedo by around 1 to 3% (Warren and Wiscombe, 1985). 54 55 Increased BC mass concentration and deposition on the Tibetan glaciers over the last 20 years (Xu et al., 2009a) 56 have played a significant role in rapid glacier melting in the region (Xu et al., 2012; Yao et al., 2012). A high 57 concentration of aerosol has deposited on the snow surface and increased the BC content in snow over the southern 58 edge of the Tibetan Plateau to the north of the Himalayas (Gertler et al., 2016). The southern slope of the Himalayas 59 is relatively even more exposed to BC due to emissions from India and transport through southwesterly and westerly 60 winds (Xu et al., 2009; Yasunari et al., 2010). BC deposited on snow in the Himalayan region induces an increase in 61 net shortwave radiation at the snow surface with an annual mean of about 1 to 3 Wm⁻², producing an estimated 0.05-0.3°C warming (Ménégoz et al., 2014). Deposition of anthropogenic BC has been observed to contribute 62 significantly to the decrease in snow cover extent over recent decades (Dery et al., 2007), and shortening of the 63 64 duration of the snow cover season by several days (Ménégoz et al., 2013a). The climate warming efficiency of BC in snow is greater than the warming efficiency of other anthropogenic pollutants, including carbon dioxide (Hansen et 65 al., 2005). Another important characteristic of BC is its higher snowmelt efficiency. The snowmelt efficiency of BC 66 67 in terms of snow cover fraction and snow water equivalent is larger than that induced by increase in carbon dioxide 68 (Qian et al., 2011). The annual snow albedo reduction effect due to BC outweighs the aerosol dimming effect

69 (reduction in solar radiation reaching the surface) by a factor of about six over the global snow cover (Flanner et al.,

70 2009).

At present, South and East Asia are considered to be the two largest BC emission regions in the world and likely

to remain so (Menon et al., 2010). BC transported from East Asia can be lifted high and moved towards the

northeast during the summer monsoon season (Zhang et al., 2015; Cong et al., 2015; Lüthi et al., 2015), affecting the

74 life of glaciers and snow-covered areas.

Research into the glaciers of the extended Himalayan region and Tibetan Plateau has prime importance because
 these glaciers act as a water storage tower for South and East Asia, and shrinking could affect water resources for up

to a billion people (Immerzeel et al., 2010). The glaciated area in northern Pakistan may be more exposed to BC

effects than that in other regions because potentially it can receive emissions generated from both South and Central

Asia as well as from the Middle East. Meltwater coming from these glaciers flows into the river Indus, which has

80 major economic importance for the people of Pakistan.

A number of authors have described the concentration and impacts of light absorbing particles in the Tibetan glaciers (for example Qian et al., 2015; Wang et al., 2015; Zhang et al., 2017; Li et al., 2017; Niu et al., 2017). However, until now, no studies have been published related to the concentration of light absorbing aerosols in the surface snow and ice of northern Pakistan, and although several authors have investigated transport pathways over the Himalayan region (e.g. Babu et al., 2011 for the western trans-Himalayas; Lu et al., 2012 for the Tibetan Plateau and Himalayas) little is known about the potential sources and transport pathways of pollutants affecting the Pakistan area.

88 In this study, we looked at the concentration of light absorbing particles (BC, OC, dust) in snow and ice in 89 northern Pakistan, their impact on snow albedo and radiative forcing, and the likely source regions. Albedo was 90 estimated from the BC and dust concentrations identified in collected samples of snow and ice using the online snow 91 albedo simulation SNICAR model (Flanner et al., 2009). Radiative forcing was calculated from the albedo reduction 92 obtained from the SNICAR model together with the incident short-wave solar radiation obtained from the SBDART 93 (Santa Barbara DISORT Atmospheric Radiative Transfer) model. The frequency distribution of aerosol subtypes 94 (smoke, polluted continental, dust, and others) in the atmosphere over the study area was calculated for the snow and 95 ice sampling periods using CALIPSO (Cloud-Aerosol Lidar and Infrared Pathfinder Satellite Observations) satellite data from 2006 to 2014 as a further indication of the types of aerosol contributing to the observed deposition. The 96 97 potential source regions of pollutants were identified using spatial variance in wind vector maps prepared using 98 MERRA-2 reanalysis data, calculation of back air trajectories using the HYSPLIT-4 (Hybrid Single Particle 99 Lagrangian Integrated Trajectory) model, and a simple region tagged chemical transport model (WRF-STEM). The 100 back air trajectories approach has been used in many studies to identify possible source regions for atmospheric and 101 deposited BC (Zhang et al., 2013). Pollutant source regions identified using the different approaches were compared

and the most likely source regions of the pollutants identified.

103 2 Methodology

104 **2.1 Study area**

105 The study area was located around 35.40°N 74.38°E in the mountains and adjacent mountain valleys of the 106 Karakoram and Himalayan region in northern Pakistan (Figure 1). Snow and ice samples were collected in summer from six glaciers - Passu, Gulkin, Barpu, Mear, Sachin, and Henarche - and in autumn from Gulkin and Sachin 107 108 (Figure 1). The Passu and Gulkin glaciers are located very near to the Karakoram highway connecting Pakistan with China, and there are a number of small villages (Passu, Hussaini, Gulmit, and others) close by. The Barpu and Mear 109 glaciers are located very close to each other and around 3 km away from the residential area of the Hopar and Nagar 110 111 valleys. There is a small city (Astore) near the Sachin glacier and some restaurants near its terminus. Winter snow samples were collected from mountain valleys near the Passu, Barpu, and Sachin glaciers, and three other areas to 112 113 the west with a number of small villages (Figure 1). The average elevation of the selected glaciers was quite low compared to the elevation of the glaciers studied for BC, OC, and dust on the Tibetan plateau by previous 114 researchers. The mountains around the selected glaciers are mostly dry and rocky. The 10-year records (1999-2008) 115 of the two nearby climate stations at Khunjerab (36.83°N, 75.40°E, 4,730 masl) and Naltar (36.29°N, 74.12°E, 116 117 2,858 masl) show mean total annual precipitation values of 170 mm and 680 mm, respectively. The daily average 118 temperature during winter and pre-monsoon showed an increasing trend between 1980 and 2014 (Gul et al., 2017). 119 The study area is mostly exposed to the westerlies and emissions from South Asia. Most of the people in the region

120 use wood for cooking and heating.

121 **2.2 Sample collection**

A total of 50 surface ice and 49 snow samples were collected from the glaciers in summer 2015 and 2016 (Passu 122 123 15, Gulkin 31, Barpu 6, Mear 8, Sachin 35, Henarche 4), and 13 in autumn 2016 (Gulkin 7, Sachin 6) at elevations ranging from 2,569 to 3,895 masl (Figure 1). Eighteen snow samples were collected in winter 2015 and 2016 from 124 125 nearby mountain valleys at elevations of 1,958 to 2,698 masl; the winter sampling region was divided into six sites 126 (S1 to S6) based on geographical location and elevation (Figure 1). Samples were collected using the "clean hands – 127 dirty hands" principle (Fitzgerald, 1999). Ice samples were collected from the surface (5 cm depth) at different 128 points on the glaciers. The elevation difference between collection points on the same glacier ranged from 30 to 100 129 meters.

130 The samples were preserved in ultra clean plastic bags, allowed to melt in a temporary laboratory near the

131 sampling location, and filtered through quartz-filters immediately after melting. An electric vacuum pump was used

132 to accelerate filtration. The melted snow/ice volume of the samples was measured using a graduated cylinder.

133 Sampled filters were carefully packed inside petri-slides marked with a unique code representing the sample.

134 The snow density of winter snow samples was measured using a balance; snow/ice grain sizes were observed

with an accuracy of 0.02 mm using a hand lens (25×) (Aoki et al., 2011); and snow shape was estimated using a

- snow card. In the models, we assumed external mixing of snow and aerosol particles and spherical snow grains.
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- 137 Snow grain size and snow texture were the largest sources of uncertainty in albedo reduction (Section 3.3). Qian et
- al. (2015) have summarized the sampling methods available for light absorbing particles in snow and ice from
- 139 different regions including the Arctic, Tibetan Plateau, and mid-latitude.

140 2.3 Dust, OC, and BC analysis

Before analysis, sampled filters were allowed to dry in an oven for 24 hours and then weighed using a microbalance. The dust mass on the filters was calculated from the mass difference in weight before and after sampling (Kaspari et al., 2014; Li et al., 2017).

144 There are many methods available for analyzing BC and OC. The three methods considered most effective for measuring BC and water insoluble OC concentrations in snow are thermal optical analysis, filter-based analysis, and 145 single particle soot photometer analysis (Ming et al., 2008). The thermal optical (filter-based) analysis method has 146 147 been used by many researchers (e.g., Li et al., 2017) and was chosen for the study. This is an indirect method for measuring BC and OC on sampled filters; it follows Beer's law and uses stepwise combustion of the particles 148 149 deposited on quartz filters (Boparai et al., 2008), followed by measurement of light transmission and/or reflectance 150 of the filters. The BC and OC content in the collected samples was measured using a thermal optical DRI carbon analyzer, similar to the IMPROVE protocol (Cao et al., 2003). The temperature threshold applied to separate the two 151 152 species is described in Wang et al. (2012). A few (<10) filters had higher dust loads; for these the method was 153 slightly modified using a 100% helium atmosphere and temperature plateau (550°C). A very few (<5) samples with 154 very dense dust concentrations were not properly analyzed by the instrument and were excluded from the results. The extremely high dust value of one sample from Passu (15 times the level in the next highest sample) which had 155 156 low values of other pollutants was excluded as a probable error. In some cases, a single sample was analyzed two or 157 three times to ensure accurate results were obtained. 158 The CALIPSO models also define multiple aerosol sub-types – clean continental, clean marine, dust, polluted 159 continental, polluted dust, smoke, and other – using the 532-nm (1064-nm) extinction-to-backscatter ratio. The frequency of these different aerosol subtypes in the atmosphere over the study region was investigated using 160 161 CALIPSO data for the same months in which ice and snow samples were collected, i.e. January, May, June, and December, over the period June 2006 to December 2014. The CALIPSO Level 2 lidar vertical feature mask data 162 product describes the vertical and horizontal distribution of clouds and aerosol layers (downloaded from 163 164 <https://eosweb.larc.nasa.gov/project/calipso/aerosol profile table>). The aerosol subtypes were classified in the 165 downloaded data using the observed backscatter strength and depolarization values. The details of the algorithm used for classification are given in Ali H. Omar et al. (2009). The percentage contributions of individual aerosol 166 subtypes were plotted using MATLAB (MathWorks, Inc.). 167

168 The frequencies of different subtypes were calculated along the specific paths followed by CALIPSO over the 169 study region.

170 2.4 Albedo simulations and estimation of radiative forcing

171 Snow albedo was estimated for each of the 18 winter samples and the average calculated for samples at each of the sites (1 to 6). Albedo from two sites -S1 (Sost), which had the highest average concentration of BC and dust, and 172 S6 (Kalam), which had the lowest average concentration of BC and dust – were further explored using the SNICAR 173 174 model (Flanner et al., 2007). The aim was to quantify the effect of BC, dust, and mass absorption cross-section (MAC) on albedo reduction. Sensitivity model experiments were carried out using various combinations of BC, dust, and 175 176 MAC values, while other parameters were kept constant (parameters for sites 1 and 6 shown in supplementary materials, Table S1). Snow albedo was simulated for different daylight times, with the SZA set in the range 57.0-88.9° 177 178 based on the position of the sun in the sky for the sampling date and locations. The daily mean was calculated from 179 the mean of the albedo values simulated for 24 different SZA values (one per hour), and the daytime mean from the mean of the albedo values simulated for 10 SZA values (one per hour during daylight). The mid-latitude winter clear-180 181 sky option was selected for surface spectral distribution. The parameters used for sensitivity analysis are shown in Table S1. MAC values of 7.5, 11, and 15 m²/g were selected based on a literature review (Que et al., 2014; Pandolfi 182 183 et al., 2014). In order to reduce the uncertainty, the dust concentration in the samples was divided into four diameter 184 classes (as per the model requirements): size 1 (0.1–1.0 μ m) was taken to be 2%, size 2 (1–2.5 μ m) to be 13%, size 3 (2.5-5 µm) to be 31%, and size 4 (5-10 µm) to be 54% of total dust mass present in the sample, based on results 185 published by others (Gillette et al., 1974; Mahowald et al., 2014). Radiative forcing (RF) was estimated for the same 186 samples following Eq. (1): 187

188 $RF_x = R_{in-short} * \Delta \boldsymbol{\alpha}_x$

189 where R_{in-short} denotes incident short-wave solar radiation (daily mean), as measured by the SBDART (Santa Barbara

190 DISORT Atmospheric Radiative Transfer) model, and $\Delta \alpha_x$ denotes the daily mean reduction in albedo, as simulated

(1)

191 by the SNICAR model.

192 **2.5 Source regions of pollutants**

Three methods were used to identify the potential source regions of pollutants found at the study site: wind maps, emissions inventory coupled with back trajectories, and a region-tagged chemical transport modeling analysis. Wind vector maps were prepared using MERRA-2 reanalysis data (available from the National Aeronautics and Space Administration [NASA] https://gmao.gsfc.nasa.gov/reanalysis/MERRA-2/docs/). The U and V wind components were combined into a matrix around the study area for each individual month and then plotted against latitude/longitude values to show the spatial variance of monthly wind stress at 850 mb using arrows to indicate the direction and intensity of wind.

Air trajectories were calculated backwards from the sampling sites (S1: 36.40°N 74.50°E; S6: 35.46°N 72.54°E) to identify potential source regions for the pollutants using the web version of the Hybrid Single Particle Lagrangian Integrated Trajectory (HYSPLIT-4) model (Draxler and Hess, 1998). The HYSPLIT-4 model has been used by others to compute air mass trajectories to identify possible source regions (Ming et al., 2009; Zhang et al., 2013). Reanalysis

204 meteorological data from the same source as the wind data (https://www.esrl.noaa.gov/psd/data) were used as input data in the HYSPLIT model for May, June, and December 2015, and January 2016. HYSPLIT was run in a seven-day 205 206 backward trajectory mode with trajectories initiating every six hours (0, 6, 12, and 18) on a daily basis from 4 May to 19 June 2015 (77 days during summer) and from 1 December 2015 to 31 January 2016 (62 during winter). The 207 HYSPLIT model results were combined with Representative Concentration Pathways (RCPs) emission data for 2010 208 209 (available from http://sedac.ipcc-data.org/ddc/ar5 scenario process/RCPs.html; data file <RCPs anthro BC 2005-210 2100 95371.nc>) to identify the source location. This comprises emission pathways starting from an identical base 211 year (2000) for multiple pollutants, including BC and OC; the file description indicates that the inventory includes 212 biomass burning sources. The RCP inventory has the same emissions sectors as the Hemispheric Transport Air 213 Pollution (HTAP) emission inventory used in the modelling approach for identifying source regions (see below), 214 including fuel combustion, industry, agriculture, and livestock, but the HTAP inventory has a higher resolution (0.1 x)0.1 degree) than the RCP inventory (0.5 x 0.5 degree). Lamarque et al. (2010) give a more detailed discussion of the 215 216 inventory and sectors (12) used in the base year calibration of the RCP. Monthly CALIPSO satellite-based extinction 217 data from 2006 to 2014 were used to calculate the vertical profile for aerosol extinction over the study region. The CALIPSO extinction profile was constructed for selected months in 2006 to 2014 - May and June for summer and 218 219 December and January for winter (Figure S1). The exponential equation $X = (\log(10.46) - \log(Y))/10.29$, where 220 Y is the vertical height of individual trajectories in kilometers and X indicates the extinction against the height of 221 trajectories, was used to calculate the extinction profile for the trajectory heights. The normalized extinction profile 222 was obtained by assuming that surface extinction = 1 (Figure S1).

223 The WRF-STEM model was used as a third approach for identifying the origin (source regions) of air masses 224 carrying pollutants. The WRF-STEM model uses region-tagged carbon monoxide (CO) tracers for many regions in 225 the world to identify geographical areas contributing to observed pollutants (Adhikary et al., 2010). Region tagged 226 CO tracers are used as a standard air quality modeling tool in various regional and global chemical transport models 227 to identify pollution source regions (Chen et al., 2009; Park et al., 2009; Lamarque and Hess, 2003). The WRF-STEM 228 model domain was centered on 50.377° E longitude and 29.917° N latitude, with a model horizontal grid resolution 229 of 45 x 45 km with 200 grids in the east-west direction and 125 north-south. The meteorological variables needed for 230 the chemical transport were derived from the Weather Research and Forecast (WRF) meteorological model (Grell et 231 al., 2005) using FNL data (ds083.2) available from the UCAR website as input data. The main aim of the simulation 232 was to identify the geographic locations contributing to the observed pollutants at the field sites. The HTAP version 2 233 emission inventory, which comprises multiple pollutants including BC and OC, was used for the WRF-STEM modeling (available from <http://edgar.jrc.ec.europa.eu/htap v2/>). This emission inventory includes major sectors 234 235 such as energy, industry, transport, and residential, but not large scale open agricultural and open forest burning. The 236 simulations applied in our study used the anthropogenic emissions from the HTAP inventory. Thus the results indicate 237 the amount of pollutants reaching the study area from day-to-day planned and recurring activities in domestic, 238 transport, industrial, and other sectors. The model was run for a month prior to the field campaign dates to allow for

model spin up (normal practice for a regional chemical transport model), and then for the months of December, January,and June, to match the field campaign dates.

241 **3. Results and discussion**

242 **3.1 BC, OC and dust concentrations**

243 The minimum, maximum, and average concentrations of BC, OC (water insoluble organic carbon), and dust in the ice and snow samples are given in Table 1. The OC, and BC concentration values were blank corrected by 244 245 subtracting the average value of the field blanks. Blank concentrations were used to calculate detection limits as mean \pm standard deviation. The average BC concentration overall was 2130 ± 1560 ng g⁻¹ in summer samples, 2883 246 \pm 3439 ng g⁻¹ in autumn samples (both from glaciers), and 992 \pm 883 ng g⁻¹ in winter samples. The average OC 247 concentration overall was 1839 ± 1108 ng g⁻¹ in summer samples, 1423 ± 208 ng g⁻¹ in autumn samples, and $1342 \pm$ 248 249 672 ng g⁻¹ in winter samples. There was considerable variation in individual samples, with summer values of BC 250 ranging from 82 ng g⁻¹ (Gulkin glacier) to 10,502 ng g⁻¹ (Henarche glacier), autumn values from 125 ng g⁻¹ (Gulkin glacier) to 6481 ng g⁻¹ (Sachin glacier), and winter samples from 79 ng g⁻¹ (Kalam) to 5957 ng g⁻¹ (Sost). 251 252 The lowest BC (82 ng g⁻¹) and OC (128 ng g⁻¹) concentrations were observed in summer samples collected from 253 the Gulkin and Sachin glaciers, respectively. The average values of BC and OC were low in all samples from the 254 Passu glacier, even though it lies close to the Karakoram highway which links Pakistan with China. The low 255 concentrations of BC may have been due to the east facing aspect of the glacier shielding it from pollutants

transported from west to east. Slope aspect of a glacier is known to be important for snow cover dynamics (Gul et
 al., 2017); dust concentrations are known to vary with slope aspect due to the effects of wind direction on

258 deposition.

259 The highest average concentration of BC was found in autumn samples from the Sachin glacier, and the highest 260 average concentration of OC in summer samples from the same glacier. The average concentration of BC was much 261 greater in autumn than in summer on the Sachin glacier, but somewhat greater in summer than in autumn on the 262 Gulkin glacier, indicating highly spatiotemporal patterns in the deposition of particles. The marked difference on the 263 Sachin glacier may have reflected the difference in the direction of air, which comes from Iran and Afghanistan in 264 summer and the Bay of Bengal via India in autumn, with the generally lower deposition on the Gulkin glacier more 265 affected by other factors (such as slope aspect of the glacier and status of local emissions near the glacier). There was no clear correlation between the average BC concentration in glacier samples and glacier elevation. However, 266 winter snow samples showed a weak increasing trend in average BC with site elevation (Table 1, Figure S3). 267

Most summer samples were collected from surface ice (Figure S2 a), but a few samples for Gulkin and Sachin were collected from aged snow on the glacier surface (Figure S2 b,c). Dust was visible on the relatively aged snow, and the BC and OC concentrations in these snow samples were much higher than those in ice. The highest average

271 BC values in winter were also observed in aged snow (from Sost) and the lowest in fresh snow (from Kalam) (Table

272 1). Generally, snow samples collected within 24 hours of a snowfall event were considered as a fresh snow. We analyzed the ratios of OC to BC in the different samples as in atmospheric fractions this can be used as an 273 274 indicator of the emission source, although apportionment is not simple and only indicative. The BC fraction is 275 emitted during combustion of fossil fuels, especially biomass burning in rural areas in winter, and urban emissions 276 from road transport. The OC fraction can be directly emitted to the atmosphere as particulate matter (primary OC) 277 from fossil fuel emissions, biomass burning, or in the form of biological particles or plant debris; it can also be 278 generated in the atmosphere as gases are converted to particles (secondary OC). In general, lower OC/BC ratios are 279 associated with fossil fuel emissions and higher OC/BC ratios with biomass burning. Overall, there was no clear 280 correlation between BC and OC concentrations in our samples. In most cases, the concentration of OC was greater 281 than the concentration of BC, which might indicate a greater contribution from biomass burning in the emissions, 282 but in a few the concentration of BC was greater than that of OC, which might indicate a greater contribution from 283 coal combustion. The lowest OC/BC ratio of 0.041 was observed in a summer sample from Henarche glacier, and 284 the highest ratio of 5 in a winter sample from Kalam. The higher value at Kalam may indicate greater contributions 285 from biomass burning than from fossil fuel combustion in the region. In summer samples, the average concentration of OC was greater than the average concentration of BC in samples from four of the six glaciers, but it was much 286 287 lower in Barpu and Henarche. In winter, individual snow samples indicated that concentration of OC was greater 288 than BC at low elevation sites and vice versa; the average OC was greater than average BC at all except the highest

elevation site (Table 1).

290 However, these results should be considered with care. There are a number of factors that can affect the OC/BC 291 ratio in snow and ice samples apart from the concentrations in the atmosphere. Spatio-temporal variability of the 292 OC/BC ratio may indicate the contribution of different sources, seasonal variation, and frequent change in wind 293 direction. In deposited samples, low OC/BC ratios can result from a reduction in OC (Niu et al., 2017), greater 294 contributions from BC enrichment and OC scavenging, and/or the contribution of different emission sectors 295 (including quantity, combustion conditions, and fuel type). Post deposition processes of scavenging and enrichment, which are influenced by snow melt rate, can cause water soluble OC to be under-represented as meltwater removes 296 297 OC but not BC, with OC and BC being redistributed primarily by meltwater rather than by sublimation and/or 298 dry/wet deposition. Thus the OC/BC ratio often reflects the impact of dilution of dissolved organic carbon and 299 enrichment of primary organic carbon during snow/ice melting, with differences in OC/BC ratios reflecting 300 differences in the enrichment process. The low OC/BC ratio in the samples from Henarche, the glacier at the lowest elevation, could, for example, be due to preferential washing out of OC particles with meltwater. Overall, there was 301 302 a higher positive correlation between BC and dust than with OC, suggesting that for BC and dust, particle precipitation and enrichment processes were similar. The method used for analysis and the amount of dust loading 303 304 on the sample can also affect the OC/BC ratio, as can the presence of metal oxides and calcium carbonate. High iron 305 oxide concentrations can cause BC to pre-oxidize or drop off the filter, while calcium carbonate can be wrongly identified as BC. Laboratory studies have shown that the presence of metal oxides in aerosol samples can alter the 306

- 307 OC/BC ratio either by enhancing OC charring or by lowering the BC oxidation temperature (Wang et al., 2010),
- 308 while higher fractions of metal oxide can increase BC divergence across the thermal optical protocols (Wu et al.,
- 309 2016). Dust can lead to a greater decrease in optical reflectance during the 250°C heating stage in the thermal/optical
- 310 method, and thus an incorrect OC/BC ratio (Wang et al., 2012). Carbon detected by the flame ionization detector
- 311 (FID) before the optical signal attains the initial value is defined as OC and that detected after is defined as BC; dust
- on the filter results in the FID division being postponed or inefficient, and thus OC being overestimated and BC
- underestimated or even negative (Wang et al., 2012). Wang et al. (2012) provides a more detailed discussion of
- 314 OC/BC ratios derived using the thermal optical method.

315 A wide range of values has been reported by different authors for BC concentrations in snow and ice samples from 316 different regions (Table S2). The concentrations of BC in our samples were higher than those reported by many authors 317 (Table S2), but were comparable with the results reported by Xu et al. (2012) in the Tien Shan Mountains, Li et al. (2016) in the northeast of the Tibetan plateau, Wang et al. (2016) in northern China, and Zhang et al. (2017) in western 318 319 Tien Shan, Central Asia. High concentrations indicate high deposition rates on the snow and ice surface, but there are 320 several possible reasons for a wide variation in values apart from differences in deposition rates, including differences in sampling protocols, geographical/sampling location and elevation of sampling site (Qu et al., 2014), and year/season 321 322 of sampling. The majority of samples were from the ablation zone of the glaciers. Strong melting of surface snow and ice in the ablation zone could lead to BC enrichment and high BC concentrations, as observed by Li et al. (2017) for 323 324 glaciers on the Southern Tibetan Plateau. The sampling season (May to September in our study) is an important factor 325 because rapid enrichment occurs as snow melts during the melting season. The peak melting period is May to August/September, thus the concentration of BC, OC, and dust in our samples would have been increased as melting 326 327 progressed due to the enrichment in melting snow and scavenging by the melting water. In most cases, snow and ice 328 samples were collected quite a long time after snow fall, and the concentration of pollutants would also have increased 329 in the surface snow and ice due to dry deposition. It seems likely that the pollutants in surface samples would be 330 affected by sublimation and deposition until the next melt season (Yang et al., 2015). In some of the cases in our study, 331 the average concentration of BC, OC, and/or dust for a particular glacier/site was increased as a result of a single 332 highly concentrated sample, reflecting the wide variation that results from the interplay of many factors.

Enrichment is more marked at lower elevations as the temperatures are higher which enhances melting and ageing of surface snow, while deposition also tends to be higher because the pollutant concentrations in the air are

- higher (Wang et al., 2012; Nair et al., 2013). Previous studies have tended to focus on the accumulation area of
- 336 glaciers (e.g. ice cores and snow pits) where enrichment influences are less marked, and on high elevation areas,
- 337 where deposition is expected to be lower, in both cases leading to lower values. In our study, the majority of samples
- 338 collected in summer and autumn were collected from the ablation area of debris-covered glaciers where enrichment
- influences are marked due to the relatively high temperature, and this is reflected in the relatively high values of BC,
- 340 OC, and dust. Li et al. (2017) showed a strong negative relationship between the elevation of glacier sampling
- 341 locations and the concentration of light absorbing particles. Stronger melt at lower elevations leads to higher

- pollutant concentrations in the exposed snow. Equally, BC may be enriched in the lower elevation areas of glaciers
- as a result of the proximity to source areas, as well as by the higher temperatures causing greater melting. Thus the
- main reason for the high concentrations of BC, OC, and dust in our samples may have been that the samples were
- taken from relatively low elevation sites. Human activities near the sampling sites in association with the summer
- 346 pilgrimage season probably also contributed to an increase in pollutant concentrations. Our results do not necessarily
- 347 indicate that all the glaciers in the Karakoram region are substantially darkened by BC. The ablation zones of debris
- 348 covered glaciers which are at relatively low elevations and near to pollution sources may be more polluted than
- 349 other glacier areas.

350 **3.2 Frequency distribution of aerosol sub types in the atmosphere**

- 351 The analysis of aerosol types using the CALIPSO data identified smoke as the most frequent aerosol type over
- the study region in both summer and winter, indicating that biomass burning may be the dominant source of
- emissions. Figure 2 shows the average frequency of different aerosol types in May/June (summer) and
- 354 December/January (winter) over the period 2006 to 2014 in the form of a box plot. The frequency of different
- aerosol subtypes in June from 2006 to 2014 is shown in Figure S4; smoke had the highest frequency (39%),
- followed by dust (21%), polluted dust (12%), and other (20%). This type of aerosol measurement in the atmosphere
- 357 was useful for our current study because it provides observation based data over the study region, whereas the other
- approaches used (such as modeling) were based on interpolation not observation. Pollutant deposition depends on
- the concentration of pollutants in the atmosphere and the results are consistent with the high concentration of BC
- 360 (from smoke) and dust particles in the glacier and snow surface samples.

361 **3.3 Snow albedo reduction**

- The albedo of individual winter snow samples was calculated using the SNICAR model and then averaged for each site (S1 to S6). Figure 3a shows the average for each site across the visible and infrared spectrum. Two sites were chosen for further analysis: S1 (Sost) which had the highest average concentration of BC, and S6 (Kalam) which had the lowest average concentration of BC. The albedo was simulated for different MAC values and SZA for samples at the two sites as described in the methods. The values for average albedo of samples from the two sites simulated for MAC values of 7.5, 11, and 15 m²/g and SZA of 57.0–88.9° (daytime) under a clear sky ranged from
- 368 0.39 (site S1, BC only, midday, MAC 15 m^2/g) to 0.85 (site S6, dust only, early evening, MAC 7.5–15 m^2/g). The
- detailed values are shown in Table S3.
- The percentage change in albedo was calculated in absolute terms as the change between albedo values with a
- 371 pollutant (BC or dust or both) and a reference albedo value with zero pollutants (zero BC and dust concentration).
- Table 2 shows the calculated percentage reductions in daily minimum, maximum, and mean broadband snow albedo
- at different MAC values (7.5, 11, 15 m²/g) resulting from the average BC, dust, and combined BC and dust
- 374 concentrations found in samples at each of the sites. The reduction was strongly dependent on BC concentration and

- almost independent of dust concentration, and increased with increasing MAC value. The results suggest that BC
- was the dominant forcing factor, rather than dust, influencing glacial surface albedo and accelerating glacier melt.
- 377 BC was found to play an important role in forcing in the northern Tibetan plateau (Li et al., 2016), whereas in the
- central Tibetan plateau and Himalayas, dust played a more important role (Qu et al., 2014; Kaspari et al., 2014). The
- 379 MAC value affected the albedo more in the visible range than at 1.2 µm (near infrared) wavelength (Fig 3c,d). The
- 380 combined concentration of BC and dust, or BC alone, strongly reduced the snow albedo for a given combination of
- other input parameters. The effect at the low pollutant site (S6) was small: the values for daytime snow albedo at
- 382 0.975 μm due to BC, or BC plus dust with different MAC and SZA, ranged from 0.70 to 0.83, with a reduction in
- daily mean albedo of 1.8 to 2.9%, and those for dust alone from 0.79 to 0.85, with a reduction in daily mean albedo
- of less than 0.1%. The effect at the high pollutant site (S1) was much more marked: BC or BC and dust reduced
- daytime snow albedo to values ranging from 0.39 to 0.64, a reduction in daily mean albedo of 8.8 to 12.0%, but the
- effect of dust alone was still low with values of 0.70 to 0.78, again a reduction in daily mean albedo of less than
 0.1%.
- Both the snow albedo and the impact of light absorbing particles depend on a range of factors including the SZA, snow depth, snow grain size, and snow density. For example, the snow albedo reduction due to BC is known to be less in the presence of other light absorbing particles as these will absorb some of the available solar radiation
- 391 (Kaspari et al., 2011). The snow albedo calculated for our samples was strongly dependent on the SZA with albedo
- increasing with decreasing SZA, especially at near infrared wavelengths (Table S3).
- 393 The impact of snow ageing was also investigated. The winter samples from S1 (Sost) were aged snow, whereas 394 those from S6 (Kalam) were fresh snow (Table 1, Figure S5 b,c). Not only was dust clearly visible on the surface of 395 the aged snow, the grain size was large and the snow was dense. The aged snow had a much higher concentration of 396 BC and dust, which reduced the albedo, but the extent of reduction is also affected by other factors. Albedo 397 reduction by BC and dust particles is known to be greater for aged snow than for fresh snow (Warren and 398 Wiscombe, 1985). In our samples, the calculated reduction in snow albedo for high MAC values (15) compared to 399 low MAC values (7.5) was greater in aged snow than in fresh snow (Figure 3b). The effective grain size of snow 400 increases with time as water surrounds the grains. Snow with larger grain size absorbs more radiation because the light can penetrate deeper into the snowpack, thus decreasing surface albedo (Flanner et al., 2006). In the melting 401 402 season, the snowpack becomes optically thin and more particles are concentrated near the surface layer, which
- 403 further increases the effect on albedo.
- The estimated reduction in snow albedo by dust and BC (up to 29% of daytime maximum value, Table 2) was higher than that reported by others for High Asia based on farmers' recordings (e.g 1.5 to 4.6% reported by Nair et al., 2013) and in the Himalayas (Ming et al., 2008; Kaspari et al., 2014; Gertler et al., 2016). However, although the values were relatively high, they were at the same level or lower than the estimates for albedo reduction of 28% by
- values were relatively light, they were at the same rever of rower than the estimates for abede relation of 20% by
- BC and 56% by dust in clean ice samples, and of 36% by BC and 29% by dust in aged snow samples, reported by
- 409 Qu et al. (2014) for surface samples from the Zhadang glacier, China. Simulation results by Ming et al. (2013a)

410 showed BC, dust, and grain growth to reduce broadband albedo by 11%, 28%, and 61%, respectively, in a snowpack

in central Tibet. Dust was the most significant contributor to albedo reduction when mixed inside the snow and ice, 411

412 or when the glacier was covered in bare ice. In our case BC was a more influential factor than dust during a similar

413 study period to that reported by Li et al. (2017), indicating that BC plays a major role in albedo reduction.

414 The possible reasons for the relatively high values for albedo reduction in our samples include the lower

415 elevation of the sampling locations, relatively high concentrations of BC and dust, high MAC values, low snow

416 thickness, underlying ground quality, presence of small and large towns near the sampling sites, and predominance

417 of aged snow samples. Most of the samples collected in winter were from places with a snow depth less than 50 cm

(Figure S5a), thus mud, stones, and clay below the snow layer would be expected to increase the absorption of solar 418 419 radiation and reduce the albedo.

420 The high albedo reduction in the visible range of the electromagnetic spectrum could be due to the relatively 421 high concentration of surface (1 cm) snow impurities. The total amount of deposited particles in the surface layer of 422 aged snow was relatively high, indicating a high deposition rate of atmospheric pollutants.

423 Flanner et al. (2007) reported that BC emission and snow ageing are the two largest sources of uncertainty in albedo estimates. The uncertainties in our estimated albedo reduction include the BC type (uncoated or sulfate coated), 424 425 the size distribution of dust concentration, the accuracy of snow grain size, snow texture, snow density, and albedo of 426 the underlying ground. Sulfate-coated particles have an absorbing sulfate shell surrounding the carbon; recent studies 427 confirm that coated BC has a larger absorbing power than non-coated BC (Naoe et al., 2009). We used uncoated black 428 carbon concentration in the SNICAR model, but the pollutants at the remote site are presumed to be mainly from long 429 range transport, thus the BC may have gained some coating. The albedo reduction for sulfate-coated black carbon was 430 calculated to be 3-8.5% higher, depending on the MAC and SZA values, than for uncoated black carbon at the low 431 concentration site S6 (Figure S6). The snow grain size (snow aging) and snow texture are also large sources of 432 uncertainty. The effect of snow grain size is generally larger than the uncertainty in light absorbing particles and varies with the snow type (Schmale et al., 2017). The albedo reduction caused by 100 ng g^{-1} of BC for an effective snow 433 grain radius of 80 µm, 100 µm, or 120 µm was calculated to be 0.017, 0.019, or 0.021, respectively. The snow grain 434 435 size was measured with a hand lens with an accuracy of 20 μ m, thus the associated uncertainty in the albedo results 436 was at least 0.002. The snow grain shape was measured with the help of a snow card, but a spherical shape was 437 assumed for snow grains in the (online) SNICAR albedo simulation model. The albedo of non-spherical grains is 438 higher than the albedo of spherical grains (Dang et al., 2016), and the shape of snow grains and/or ice crystals changes 439 significantly with snow age and meteorological conditions during and after snowfall (LaChapelle 1969). A number of 440 recent studies (e.g., Flanner et al., 2012; Liou et al., 2014; He et al., 2014, 2017) have shown that both snow grain 441 shape and aerosol-snow internal mixing play an important role in snow albedo calculations.

442 3.4 Radiative forcing (RF)

443 Radiative forcing (RF) is a measure of the capacity of a forcing agent to affect the energy balance in the 444 atmosphere – the difference between sunlight absorbed by the Earth and energy radiated back to space – thereby

445 contributing to climate change. Changes in albedo contribute directly to radiative forcing: a decrease in albedo

means that more radiation will be absorbed and the temperature will rise. In snow and ice, the additional energy

absorbed by any pollutants present also increases and accelerates the melting rate.

Various authors have described the impact of albedo change in snow and ice on radiative forcing. Zhang et al. (2017) reported that a reduction in albedo by 9% to 64% can increase the instantaneous radiative forcing by as much as 24.05–323.18 Wm⁻². Nair et al. (2013) estimated that in aged snow a BC concentration of 10–200 ng g⁻¹ can increase radiative forcing by 2.6 to 28.1 Wm⁻²; while Yang et al. (2015) reported radiative forcing of 18–21 Wm⁻² for aged snow in samples from the westernmost Tibetan Plateau. The authors used different atmospheric conditions in the forcing estimates: Zhang et al. (2017) used mid-latitude winter with clear sky and a cloudy environment, Nair et al. (2013) mid-latitude winter, and Yang et al. (2015) clear-sky and cloudy conditions .

We calculated the radiative forcing in the samples assessed for daytime albedo and daily (24h) mean albedo. The 455 456 radiative forcing at different daylight times caused by BC deposition varied from 3.93 to 43.44 Wm⁻² (3.93–11.54 Wm⁻² at the low BC site and 20.88–43.45 Wm⁻² at the high BC site), and that by dust from 0.16 to 2.08 Wm⁻² (0.16– 457 0.30 Wm⁻² at the low BC site and 1.38–2.08 Wm⁻² at the high BC site) (detailed values given in Table S4), indicating 458 459 that BC was the dominant factor. The radiative forcing due to combined BC and dust was very similar to that for BC 460 alone. In contrast, studies by others have shown higher forcing by dust than by BC based on the optical properties 461 and size distribution of dust particles (Qu et al., 2014). In our study, the increase in daily mean radiative forcing 462 ranged from 0.1% for dust only at the low pollutant site to 14.9% for BC at the high pollutant site. However, dust 463 forcing varies strongly with dust optical properties, source material, and particle size distribution. The properties for 464 dust are unique in each of the four size bins used in the SNICAR online model. These size bins represent partitions 465 of a lognormal size distribution. We used an estimated size of dust particles and generic dust properties in the model, 466 but some dust particles can have a larger impact on snow albedo than the dust applied here (e.g., Painter et al., 2007). 467

Both radiative forcing and albedo reduction increased with decreasing daytime SZA, indicating higher melting at midday compared to morning and evening. Figure 4 shows the daily mean albedo reduction and corresponding radiative forcing caused by BC for fresh (low BC) and aged (high BC) snow with different MAC values. Snow aging (snow grain size) plays an important role in albedo reduction and radiative forcing. According to Schmale et al. (2017) the effect of snow grain size is generally larger than the uncertainty in light absorbing particles, which varies with snow type. Snow aging reduces snow albedo and accelerates snow melt, but the impact of snow aging on BC in snow and the induced forcing is complex and includes spatial and seasonal variation (Qian et al., 2014).

An increase in MAC value from 7.5 to 15 led to an increase in radiative forcing by 1.48 Wm⁻² in fresh snow and

476 4.04 Wm⁻² in aged snow. This suggests that when the surface of snow, ice, and glaciers experience strong melting,

enrichment with BC and dust could cause more forcing. Previous studies of ice cores and snow pits probably

underestimated the albedo reduction and radiative forcing in glacier regions as samples were taken from high

- 479 elevation areas where there is less ageing and melting and thus lower surface enrichment of BC and dust than at
- 480 lower elevation. Our results are higher than those reported in other studies on the northern slope of the Himalayas
- 481 (Ming et al., 2012), western Tibetan Plateau (Yang et al., 2015b), and Tien Shan mountains (Ming et al., 2016).
- 482 However, they are comparable to values for radiative forcing reported more recently by others, for example for the
- 483 Muji glacier (Yang et al., 2015), Zhadang glacier (Qu et al., 2014), in high Asia (Flanner et al., 2007; Nair et al.,
- 484 2013), and in the Arctic (Wang et al., 2011; Flanner, 2013). The results suggest that enrichment of black carbon (in
- 485 our case) and mineral dust (other authors) can lead to increased absorption of solar radiation, exerting a stronger
- 486 effect on climate and accelerating glacier melt.

487 **3.5 Potential source regions**

488 **3.5.1 Wind vector maps**

Figure 5 shows the spatial variance of wind vector maps (U and V) at 850 hPa in May, June, January, and

490 December prepared using MERRA-2 reanalysis data for the year 2015/2016. The wind blows primarily from west to

east but there were variations over the year. Central Asia contributed some part of the air in May and June. In May,

492 the prevailing air masses were from Syria, Turkey, Turkmenistan, Iraq, Azerbaijan, northwest Iran, Afghanistan,

Nepal, southwest China, and southern Pakistan; the trend was similar in June with but with a smaller contribution

- from Nepal and southwest China. In December and January (winter), the western trade winds were stronger than the
- 495 easterlies and the wind blew from Azerbaijan and northwest Iran, reaching the study site via Syria, Iraq,
- 496 Turkmenistan, and Afghanistan.

497 **3.5.2** Coupled emissions inventory with back air trajectory

498 Trajectory analysis using the HYSPLIT model showed that in May and June 2015 air parcels reached the study site along three different pathways: one from north Asia (Russia) via Central Asia (Kazakhstan), one from western 499 500 Asia (Cyprus and Syria) via Central and Southern Asia (Georgia), and one via India, which was more local (Figure 501 6). The trajectories in summer had distinct pathways, while those in winter were dispersed in all directions, partially 502 covering West, East, and South Asia, and completely covering Central Asia. Figure 6 shows the product of 503 extinction and emission calculated along the pathways of trajectories calculated using the vertical profile for aerosol extinction over the study region obtained from the monthly CALIPSO satellite-based extinction data. Scattering and 504 505 absorption decreased exponentially with increasing elevation (Figure S1) but was still visible at elevations above 5 506 km in summer.

- 507 The RCP emission data combined with back trajectories and extinction data showed that the hotspot regions of 508 pollution that affected the study sites during winter were mainly to the southwest rather than very distant (Figure 509 6b). Iran, Turkmenistan, Azerbaijan, Georgia, the eastern part of Turkey, and the southwestern part of Russia all 510 showed comparatively high pollutant emissions in winter which moved towards northern Pakistan. The western part
- 511 of Kazakhstan, Uzbekistan, and northeastern Turkey emitted particularly high concentrations of pollutants.
 - 15

- 512 Combination of the back-trajectory results and surface-wind direction analysis indicated that during the sampling
- 513 months, aerosols were significantly influenced by the long-range transport of pollutants coming from Central and
- 514 South Asia, with a small contribution from West and East Asia. This differs somewhat from previous reports which

suggested that the Tibetan Plateau and Himalayan region are mainly affected by pollutants from East and South Asia

516 (Zhang et al., 2015). An increasing trend has been reported for black carbon emissions in Central and South Asia

517 over the past 150 years (Bond et al., 2007), and a significant increase has been found in black carbon concentrations

- 518 in glacier snow in west China in the last 20 years, especially during the summer and monsoon seasons (Ming et al.,
- 519 2008). In South Asia, the largest source of atmospheric black carbon is emission from biomass and biofuels used for

520 cooking and heating (dung, crop residues, wood) (Venkataraman et al., 2005).

- 521 The results indicate that only a low level of pollutants (minor contribution) reached the study area from 522 northwest China. BC particles emitted from distant low latitude source regions such as tropical Africa barely reach 523 the Tibetan Plateau and Himalayan regions because their emissions are removed along the transport pathways during
- the summer monsoon season (Zhang et al., 2015).

525 **3.5.3 Chemical transport modelling**

- The contribution of pollutants from potential source regions was also investigated using the WRF-STEM model 526 527 with tagged carbon monoxide tracers and source regions of East Asia, South Asia, Central Asia, the Middle East, Europe, the Russian Federation, and West Asia. (The individual countries in the regions are listed in Table S5). 528 529 Figure 7 shows the results of the model simulations for summer (1 June to 4 July 2015) and winter (15 530 December 2015 to 17 January 2016) at two glacier sites (Sachin and Shangla) where the model terrain elevation was 531 close to the observation terrain elevation. The model simulations showed Pakistan to be the major contributor of 532 pollutants in summer (77% at Shangla and 43% at Sachin) followed by the South Asian countries; and the south Asian countries in winter (47% at Shangla and 71% at Sachin) followed by Pakistan, which is in line with the 533 534 findings by Lu et al. (2012) that South Asia contributed 67% black carbon in the Himalayas. There were minor 535 contributions of 2–7% of pollutants from Afghanistan, Iran, Central Asia, and the Middle East, and extremely small 536 amounts from East Asia, Europe, Africa, West Asia, and China. The contribution from Iran, the Middle East, and 537 Europe was greater in winter than in summer, while the contribution from Central Asia and China was greater in summer than in winter. The proportion of daily contributions fluctuated considerably: with higher contributions from 538 539 Iran, the Middle East, and Europe on individual days in winter, ranging for example from 2–30% for the Middle 540 East. The concentration of hydrophobic BC (BC1), hydrophilic BC (BC2), and total black carbon (BC = BC1 + BC2) 541 542 given by the model for the Sachin glacier grid point in the summer and winter seasons is shown in the supplemental
- 543 material (Figure S7). In the model, freshly emitted BC particles are hydrophobic and gradually acquire a
- 544 hygroscopic coating over time. A time series analysis of BC1 and BC2 concentrations shows the influence of both
- 545 freshly emitted BC and aged BC reaching the observation location. The highest concentration of BC1 was observed

- on 20th December 2015 and the second highest on 25th June 2015, indicating an influence from freshly emitted air
- 547 masses in both the summer and winter months. Future studies (BC tracer) will evaluate the details of the different
- source regions of the BC reaching the glaciers compared to region-tagged CO tracers.

549 **3.5.4** Comparison of the different approaches used to identify potential source regions

550 The high BC concentration in the atmosphere over the study region was attributed to long-range transport from 551 urban source regions. Potential source regions of the pollutants deposited on glaciers and snow were identified using wind vector mapping with MERRA-2 reanalyzed data, calculation of back air trajectories using the HYSPLIT-4 552 553 model, and chemical transport pathways using the WRF-STEM tagged chemical transport model. The back trajectory results indicated that the majority of pollutants in summer were from Central and South Asia, and in 554 555 winter from Iran, Pakistan, Iraq, Turkmenistan, Azerbaijan, Georgia, Jordan, Syria, Tunisia, Ukraine, Libya and 556 Egypt. The WRF-STEM model indicated that most anthropogenic pollutants were from Pakistan and South Asia during both summer and winter. However, both approaches showed a reasonable contribution from Central Asian 557 558 countries and limited contribution from East Asian countries in summer. The wind vector maps also indicated that the study site was mostly affected by westerly winds. All three approaches showed a reasonable contribution from 559 neighboring countries such as Afghanistan, Pakistan, Iran, and India in specific months. Overall, the results indicate 560 that South, Central, and West Asia were the major sources of the pollutants detected at the sampling sites. 561

There was some mismatching in source regions among the three approaches. The WRF-STEM model and wind 562 563 vector maps both identified a small contribution from East Asia, but this was not identified in the back trajectories approach. Similarly, the wind vector maps and back air trajectories showed a dominant contribution from the west, 564 while the WRF-STEM model showed a major contribution from Pakistan and South Asia. The differences in the 565 566 results obtained by the different methods may be due in part to the complex topography of the region and the different altitudes used by the methods; the coarse resolution of the WRF-STEM model; and differences in the 567 568 emission source inventories and meteorological parameters used by the WRF-STEM and HYSPLIT-4 models. The limitations of using back trajectories to identify source region is discussed further in a paper by Jaffe et al. (1999). 569 570 Furthermore, the atmospheric BC concentration over the Himalayas has significant temporal variations associated with synoptic and meso scale changes in the advection pattern (Babu et al., 2011) which can affect 571 572 pollutant transport and deposition. The large uncertainty among different emission inventories can also affect the 573 results, especially in the Himalayan region.

574 4 Summary and conclusion

575 Black carbon (BC) and organic carbon (OC) concentrations were measured using thermal optical analysis of

snow and ice surface samples collected from glacier and mountain valleys in northern Pakistan in summer, autumn,

and winter. The samples contained high concentrations of BC, OC, and dust in low elevation glaciers and surface

578 snow in mountain valleys. The samples from Sost contained the highest average concentration of BC in mountain 17

- valley snow (winter) and those from Kalam the lowest, probably due to the impact of snow age and increased
- concentration of black carbon and dust (the Sost samples were aged snow and Kalam samples fresh snow). The
- average concentration of BC in surface samples from the Sachin glacier was higher in autumn than in summer; the
- 582 BC values in summer snow samples collected from the Sachin and Gulkin glaciers (aged snow from the glacier
- 583 surface) were much higher than those in ice. The average BC concentration in summer samples collected from
- glaciers was 2130 ± 1560 ng g⁻¹ and that in autumn samples 2883 ± 3439 ng g⁻¹. The average concentration of OC
- 585 was 1839 ± 1108 ng g⁻¹ in summer samples, 1423 ± 208 ng g⁻¹ in autumn samples, and 1342 ± 672 ng g⁻¹ in winter
- samples, with the highest variability in summer samples. The individual lowest BC (82 ng g^{-1}) and OC (129 ng g^{-1})
- 587 concentrations were observed in summer samples collected from the Gulkin and Sachin glaciers, respectively. Dust
- and other pollutants were clearly visible on aged snow and ice surfaces; the results indicate considerable enrichment
- 589 during ageing. The pollutant concentrations in our samples were relatively higher than those reported by others in
- 590 earlier studies, which tended to focus on the accumulation area of glaciers (e.g. ice cores and snow pits), where
- 591 enrichment influences are less marked and measured values are likely to be lower, and high elevation areas, where
- deposition of pollutants is expected to be lower. It is likely that pollutant concentrations were underestimated in
- these earlier studies, particularly when there was strong surface melting.
- 594 Snow albedo was calculated for winter samples using the SNICAR model with various combinations of BC and dust concentrations, three values for MAC, and a range of values for SZA (57-88.89° during daytime), with other 595 596 parameters kept constant. BC was the major component responsible for albedo reduction, dust had little effect. The 597 reduction by BC ranged from 2.8 to 32.5% during daytime, which is quite high, with albedo reduced to below 0.6. 598 The reduction was greater for higher concentrations of BC and greater MAC. The reduction in 24 h average albedo 599 ranged from <0.07-2.9% for fresh snow samples and <0.05-12.0% for aged snow. Changes in albedo contribute 600 directly to radiative forcing: a decrease in albedo means that more radiation will be absorbed and the temperature 601 will rise. The radiative forcing by BC was also higher than that caused by dust, indicating that BC was the dominant factor. The daytime albedo values in winter snow samples ranged from 0.39 to 0.82 with BC alone or BC plus dust, 602 and from 0.70 to 0.85 with dust alone; the corresponding radiative forcing was 3.93-43.44 Wm⁻² for BC alone, 4.01-603 43.45 Wm⁻² for BC and dust, and 0.16–2.08 Wm⁻² with dust alone. The radiative forcing calculated from the daily 604 mean albedo reduction ranged from 0.1% for dust only at the low pollutant site to 14.9% for BC at the high pollutant 605 606 site. The potential source regions of the pollutants deposited on glaciers and snow were identified using spatial 607 variance in wind vector maps, emission inventories coupled with back air trajectories, and region tagged chemical 608 transport modelling. The wind vector maps identified Central Asian and South Asian countries (such as Azerbaijan, 609 Turkmenistan, Pakistan, Afghanistan, Syria, Iraq, Turkey) as more important. The trajectory analysis coupled with 610 emission inventories showed that air parcels reached northern Pakistan along three pathways, one from north Asia 611 (Russia) via Central Asia (Kazakhstan), one from western Asia (Cyprus and Syria) via Central and southern Asia 612 (Georgia), and one via India. Combination of the back-trajectory results and surface-wind direction analysis
- 613 indicated that aerosols were significantly influenced by the long-range transport of pollutants from Central and

614 South Asia. The region-tagged chemical transport model indicated that Pakistan and South Asia were the main

615 contributors of pollutants. Analysis based on the WRF-STEM model identified a significant contribution from

Pakistan (up to 77%) and South Asia (up to 71%) at selected sites. Overall, the results indicate that Central, South,

and West Asia were the major sources of the pollutants detected at the sampling sites, with only a small contribution

618 from East Asia.

619 The overall uncertainty of the BC, and OC concentrations was estimated taking into account the analytical

620 precision of concentration measurements and the mass contribution from field blanks. The uncertainty in the BC and

621 OC mass concentrations was calculated from the standard deviation of the field blanks, the experimentally

determined analytical uncertainty, and the projected uncertainty associated with filter extraction. The major source

of uncertainty was the effect of dust on the OC/BC measurements.

The albedo reduction from OC was not quantified. The contribution of OC to total visible absorption in the top

snow layer is relatively small compared to that of BC and dust but has been shown to be significant (~19% of the

total solar visible absorption) in several regions including northeastern East Asia, and western Canada (Yasunari et

al., 2015). Snow grain size (snow aging) and snow texture were probably the main sources of uncertainty in the

albedo reduction/radiative forcing calculations. The measured grain size was generally different from the effective

optical grain size used in the SNICAR modeling, and although snow grain shape was measured, the results were not

- 630 used in the online SNICAR albedo simulation model, which assumes a spherical shape for snow grains. This could
- 631 slightly affect the results, because the albedo of non-spherical grain is higher than the albedo of spherical grains
- 632 (Dang et al., 2016).

The possible uncertainties on the modeling side relate to the use of CO as a tracer for light absorbing particles to

634 identify the source region. Uncertainties are also attributed to errors in the emission inventories, simulated

meteorology, and removal processes built into the model. The physics and chemistry of removal of BC and -CO

differ, especially in the wet season. However, we analyzed the model during pre-monsoon and relatively dry periods

when there should be a relatively good correlation in the transport of CO and BC. The global emission inventories

used are unable to capture emissions at a local scale, and the contribution of local sources may also be

underestimated by coarse-resolution models. High resolution models and emission inventories at a local scale are

640 required to capture local emissions.

641 Better constrained measurements will be required to obtain more robust results. High resolution satellite imagery, 642 high resolution models, and continuous monitoring will help to reduce the present uncertainty.

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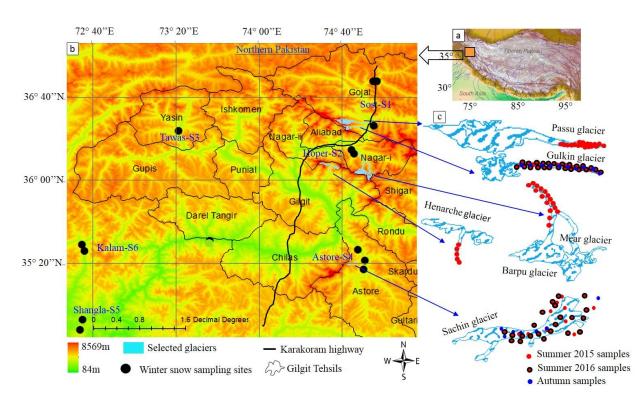
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- Figure 1. The study area and sampling sites: (a) Himalayan mountain range and Tibetan Plateau, (b) winter sampling sites (solid black circles), (c) glaciers selected for
- 885 summer and autumn sampling

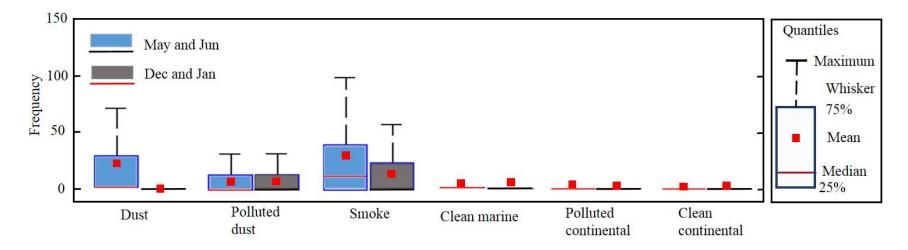


Figure 2. Frequency distribution of aerosol subtypes in the atmosphere over the study region calculated from CALIPSO data, average for the study months in 2006 to
 2014

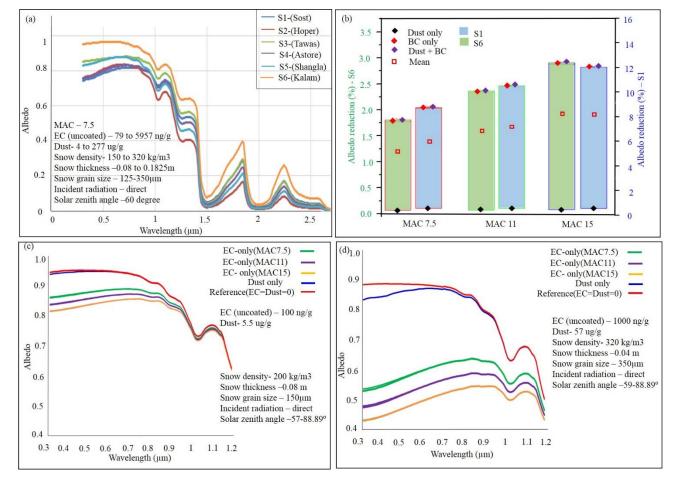




Figure 3. Spectral variation in albedo for winter sampling sites and selected mass absorption cross-section (MAC) values, (a) average albedo of samples at each of the sites
 (b) daily mean albedo reduction of fresh snow (site S6) and aged snow (site S1) snow, (note different scales of y axis) (c) albedo of fresh snow site S6, (d) albedo of aged
 snow site S1

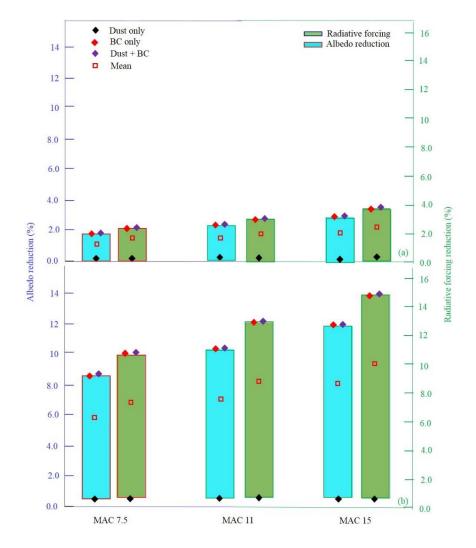


Figure 4. Daily mean radiative forcing reduction and albedo reduction (%) caused by black carbon and dust for different mass absorption cross-section values (MAC) in
 (a) fresh (low black carbon) and (b) aged (high black carbon) snow samples (note different scales of y axis)

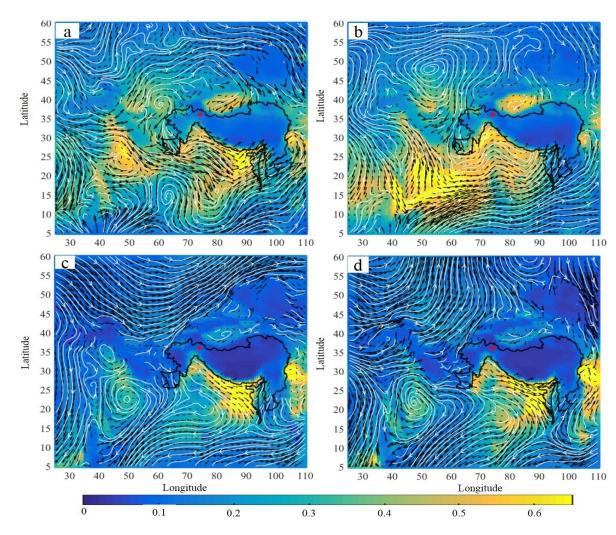
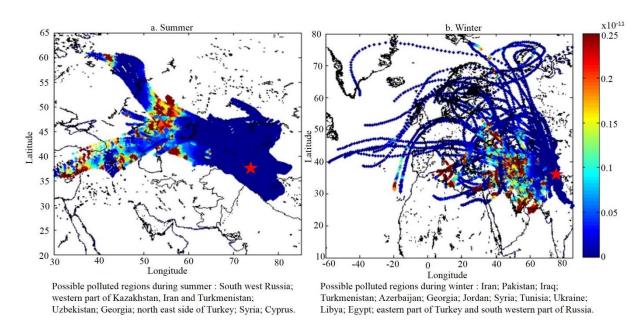
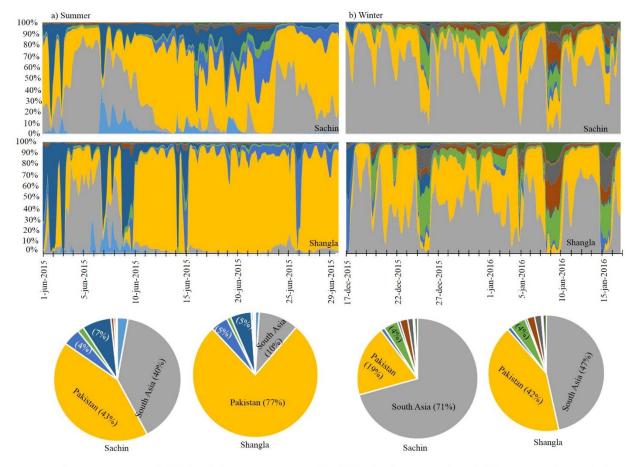


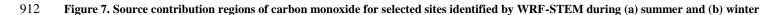
Figure 5. Monthly average horizontal wind patterns at 850 hPa during a) May, b) June, c) December, and d) January, corresponding to approximately 2,500 masl, from
 GES DISC. Red star indicates the position of the study area, and white lines indicate streamlines. The background colors show monthly mean aerosol optical depth.



908 Figure 6. Source contribution regions of pollutants identified using an emissions inventory (Representative Concentration Pathways) coupled with back trajectories: a) 77 909 simulated days, b) 63 simulated days. Red star indicates the position of the study area.







Glacier/	No.	Elevation (masl)	BC min-max (avg)	OC min-max (avg)	Dust min-max (avg)	Type ^a / snow	OC/BC ^b	Year
Site	min–max		(ng g ⁻¹)	(ng g ⁻¹)	(µgg ⁻¹)	age in days		
Summer (May 20	015/ Ma	ny 2016 <u>)</u>						
Barpu	6	2901-3405	877–5994 (2938)	244–1228 (691)	292–5250 (1998)	DCI	0.07-1.38	2015
Gulkin	31	2741-3319	82–5676 (1327)	238-8514 (1594)	31–2039 (648)	DCIS	0.169-3.76	2015/16
Henarche	4	2569–2989	778–10502 (4820)	275–4176 (1628)	225–2723 (993)	Ice	0.04-1.63	2015
Mear	8	2961-3539	222-3656 (1593)	703–6588 (2992)	33–656 (211)	DCI	0.72-4.88	2015
Passu	14	2663-3158	87–734 (346)	132–1810 (741)	28–524 (196)	DCI	1.85-4.80	2015
Sachin	35	3414–3895	257–4127 (1769)	128–7592 (3348)	5.6–2495 (314)	DCIS	0.08-0.53	2015/16
Total	98							
Autumn (Octobe	er 2016)							
Gulkin	7	2741-3319	125–1028 (451)	266–3574 (1276)	60–767 (253)	DCIS	1.29-3.59	2016
Sachin	6	3414–3895	4342–6481 (5314)	543-3478 (1571)	124–1348 (546)	DCIS	0.11-0.53	2016
Total	13							
Winter (Dec 201	5/ Jan 2	016)						
S1-Sost	6	2873-3092	482–5957 (2506)	378–2934 (1039)	29–311 (131)	2–17 d	0.25-0.78	2015
S2-Hopar	2	2602–2794	229–1064 (646)	330–1976 (1153)	23-129 (76)	1–15 d	1.4-1.8	2016
S3-Tawas	1	2437	650	1320	16	8–17 d	2.03	2016
S4-Astore	3	2132-2396	450–2640 (1305)	914–3645 (2161)	55–171 (97)	4–7 d	1.38-2.33	2016
S5-Shangla	2	2324–2373	367–1110 (739)	1302–2856 (2079)	13–49 (31)	8–9 d	2.5-3.5	2016
S6-Kalam	4	1933–2101	79–123 (107)	214–558 (347)	4-6 (5)	1 d	2.3–5	2016
Total	18							

914 Table 1. Concentration of black carbon, organic carbon, and dust in summer, autumn, and winter samples in 2015 and 2016

915 ^a type = snow or ice type; DCI = debris-covered ice; DCIS = debris-covered ice and aged snow

916 ^b range of OC/BC in individual samples

918	Table 2. Snow albedo reduction (%)by black carbon, dust, and black carbon plus dust at the site with the lowest average pollutant concentration (S6) and the site with the
919	highest average pollutant concentration (S1), under different mass absorption cross-section (MAC) values

Pollutant	MAC value	Low concentration site (S6)			High concentration site (S1)		
	(m²/g)	Daytime	Daytime	Daily	Daytime	Daytime	Daily
		min	max	mean	min	max	mean
	7.5	2.8	5.1	1.8	15.6	23.9	9.0
Black carbon	11	3.7	6.9	2.3	19.2	28.6	10.5
	15	4.6	8.3	2.9	22.3	32.5	12.0
	7.5	0.1	0.2	0.07	0.9	1.6	0.05
Dust	11	0.1	0.2	0.07	0.9	1.6	0.05
	15	0.1	0.2	0.07	0.9	1.6	0.05
	7.5	2.9	5.2	1.8	15.7	24.0	8.8
Black carbon	11	3.8	6.8	2.4	19.2	28.6	10.5
and dust	15	4.6	8.3	2.9	22.3	32.5	12.0