

Important changes in the manuscript

Following the comments of the reviewers, quite some changes in the manuscript have been made.

We have made the relevance of this study clearer and changed the presentation of the results.

We now show the effect of taking away the gravity waves on the EP flux divergence and residual circulation in Figure 1 and 2.

Suggestions for revision or reasons for rejection (will be published if the paper is accepted for final publication)

Review of manuscript acp-2017-647: “The role of the winter residual circulation in the summer mesopause regions in WACCM”, by Maartje S. Kuilman and Bodil Karlsson.

The authors have substantially reduced the length of the manuscript, and modified part of the text, in response to my comments. I think the paper goes more to the point, and thus has improved. However, I am not fully convinced about the novelty of the results; the response of the authors to this concern has been a bit vague.

I am somewhat torn on what to recommend for this paper. I think there is some interest in these results, but I believe the paper needs some new figures and a clarification of certain aspects of the presentation of the results, before it is ready for publication.

First of all, we would like to thank the reviewer for constructive criticism, and time spent to analyze our manuscript again. We are grateful for the valuable suggestions provided. Responses to each of the comments are listed below.

Major concerns:

- What is the motivation for trying to reproduce KB16 results with WACCM? Are there processes included in WACCM and not in KMCM that justify the study?

I am not entirely satisfied with the response given to this question. WACCM includes a chemistry module in the middle atmosphere, and has different GW parameterizations and dynamical cores than those in KMCM. But this is generally true for any pair of general circulation models. What have we learned from this paper that we did not know from the previous papers, particularly KB16?

With this comment I would like to encourage the authors to find an attractive way to present the results and highlight their relevance. The way the paper is motivated in the Introduction section (and the way the results are summarized in the Conclusions section), gives me the impression that the paper is an exercise of reproducibility of previous results – which is always good news, but perhaps not enough for an article to be published in ACP.

As the reviewer states, WACCM contains interactive chemistry and has a more sophisticated dynamical core, so in that sense it does contain processes that are not in KMCM.

An important complement to the study of KB16 is that we investigate also the role of the *stratosphere* in shaping the conditions of the summer polar. Using composite analyses, we show that in the absence of an anomalous summer mesospheric temperature gradient between the equator and the polar region, weak planetary wave forcing in the winter would lead to a warming of the summer polar mesosphere region instead of a cooling, and vice versa. This is opposing the temperature signal of the interhemispheric coupling in the mesosphere, in which a cold and calm winter stratosphere goes together with a cold summer mesopause.

We also show how the EP flux divergence and residual circulation are affected by removing the GWs in the winter hemisphere, which was not done in KB16.

- Figures 1 and 2. These figures show two things: 1) the IHC mechanism controls the mean T of the summer polar mesopause (Fig. 1); and 2) that T in the summer polar mesopause does not covary statistically significantly with T in the winter stratosphere (Fig. 2). The authors seem to use interchangeably “summer mesopause” and “summer polar mesopause” throughout the paper; but Fig. 2 shows that the summer mesopause

away from the pole has statistically significant anomalies of T, while this is not the case for the polar region.

We agree that we used the terms 'summer mesopause' and 'summer polar mesopause' not very precise, this has now been changed.

It is true that the temperature anomalies in the summer polar mesosphere not in all the cases reaching a confidence level of 95% all the way to the poles. We comment on this in line 382-387:

" This is in agreement with the results presented in Karlsson et al. (2009) although the WACCM temperature response does not reach statistical significance at a 95% level all the way to the polar region. This could be due to time lags between the response in the summer mesopause and the dynamic activity in the winter: Karlsson et al. (2009) found a lag between the winter and the summer hemisphere of up to 15 days. In the monthly-mean approach that we use for this study, lags in time are not accounted for."

So what controls the summer polar mesopause T in a climatological (average) sense? IHC is clearly shown to play a role. It would also be nice to mention that the presence of GW in the summer hemisphere is a crucial factor, as shown in the response figures. Without them, the summer polar mesopause will be very high (or even absent).

It is atmospheric gravity waves that are responsible for the low temperatures in the summer polar mesosphere.

- Atmospheric gravity waves drive the circulation in the middle atmosphere. When they break, they deposit their momentum into the background flow, creating a drag on the zonal winds in the mesosphere, which establishes the pole-to-pole circulation. This circulation drives the temperatures far away from the state of radiative balance, by adiabatically heating the winter mesopause and adiabatically cooling the summertime mesopause. The adiabatic cooling in the summer leads to temperatures sometimes lower than 130 K in the summer polar mesopause. (Introduction)

- "In a radiation-driven atmosphere the temperature in the summer polar mesosphere is about 210-220 K, which is much higher than the temperature both with and without the GWs in the SH." (Discussion of Fig.1)

As for the interannual variability, the IHC only seem to reach 50N, but not further north (line 376-383).

See earlier comment.

- I really think the paper would benefit from including plots of the EP flux divergence and the Transformed Eulerian Mean velocities (either v^* or w^*). Those are more direct measures of the wave forcing and the BDC than stratospheric T, and they should not be difficult to compute from monthly mean output (WACCM does provide output of zonal mean flux diagnostics $v'T'$, $u'v'$ etc that speeds up the process). And this way I believe it would add value to the results as compared to KB16.

The fields of EP flux divergence and the TEM velocities are now included in figure 1 and 2 giving insight on how the EP flux divergence and the residual circulation velocities are changing due to the removal of GWs in the winter hemisphere.

Specific comments:

- Line 133. Analogically → Analogously?

This has been changed.

- Line 176. Simply WACCM since it has already been defined.

This has been changed.

- Line 272: degrees → K (Kelvin).

This has been changed.

- Line 280. They attributed that the warming → They attributed the warming.

This has been changed.

- Line 288: turning of → turning off

This has been changed.

- Lines 289-290. I may have misunderstood it, but if T in the equatorial mesosphere has a different sign in both models, there is no qualitative agreement.

I agree that this part wasn't explained clearly.

The temperature response in the equatorial mesosphere region of our interest doesn't have a different sign. Note that in our Fig. 1 we show the results for the run without the winter GWs minus the control run, whereas KB16 show them the other way around.

The temperature response in the upper part of the mesosphere is different, however this region is not of interest for our discussion. The text has now been rewritten.

"When we compare our results with the results in Karlsson and Becker (2016, their figure 3), we observe there are some quantitative discrepancies in the structure of the responses. For example, Karlsson and Becker (2016) found that removing the winter GWs resulted in a warming of the upper mesosphere globally, although the response was strongest in the polar mesopause region. They attributed the warming over the equatorial and winter mesosphere to the effect that GWs have on tides: when GWs are absent, the tidal response is enhanced. The same behavior is not found in WACCM - in fact, the equatorial upper mesosphere is anomalously cooler when the GWs are removed. These differences could perhaps be explained by for example the different gravity wave parameterization of non-orographic GWs, the different dynamical cores between the models and the presence of interactive chemistry in the middle atmosphere in WACCM.

However, the upper mesospheric response is not affecting the mechanism, we are discussing in this study. We don't consider the upper mesosphere region in the rest of the paper. The qualitative response of the temperature and zonal wind change in the stratosphere and lower parts of mesosphere due to turning of the GWs in the SH corresponds well with the results from the KMCM as well as with our hypothesis."

- Line 343: less effect → weaker effect.

This has been changed.

- Lines 358. It seems not quite conventional to use T in the extratropics as a proxy for the strength of the BDC, when the model provides with all the variables needed to calculate it. Please comment on this choice.

We use the wintertime stratospheric temperature to look at the difference between the two cases. We could use the meridional component of the EP flux or the EP flux divergence, however there is always a delay in the wave forcing and the response in the temperature, therefore the patterns become more clear using the temperature. Note that the same is done in KB16.

- Line 386. I do not understand these sentences. Does it mean that the BDC modifies the summer stratospheric meridional gradient of T?

This is the case, this sentence has now been rewritten.

“The summer stratospheric meridional temperature gradient is affected by the strength of Brewer-Dobson circulation.”

- Lines 395-398. But in Fig. 2 we see that the anomalies are not statistically significant.

The temperature anomalies show the pattern of IHC extending further northwards, but it is true that the temperature anomalies in the summer polar mesosphere not in all the cases reaching a confidence level of 95% all the way to the poles.

- Lines 468-471. “... it is the equatorial mesosphere that is governing the temperature in the summer mesopause regions...”. I agree, but the equatorial T is ultimately driven by the BDC changes forced by the winter planetary wave forcing, according with your results.

This formulation has now been changed.

“This confirms the idea that the net effect of the IHC mechanism, with the equatorial mesosphere playing a crucial role, on the temperatures in the summer mesopause regions is larger than the effect of processes in the summer stratosphere.”

- The authors may consider to include the first part of section 3 in a new section 3.1, and rename the old section 3.1 as section 3.2 (for a matter of symmetry in the presentation).

This has been done.

Second Review of “The role of the winter residual circulation in the summer mesopause regions in WACCM” by Maartje Kuilman and Bodil Karlsson (acp-2017-647).

The authors have implemented the reviewer comments well and thus increased the readability and plausibility of their arguments. Therefore, I recommend publication after processing of the following minor and mostly technical comments.

First of all, we would like to thank the reviewer for their positive assessment, their constructive criticism, and time spent to analyze our manuscript again. We are grateful for the valuable suggestions provided. Responses to each of the comments are listed below.

Minor comments:

o Line 654: ...relatively freely up into the mesosphere...

This has been changed.

o Line 752 – 754: Please remove this sentence, since NLC are not a part of the paper anymore

This has been removed.

o Line 791: is based on ...

This has been changed.

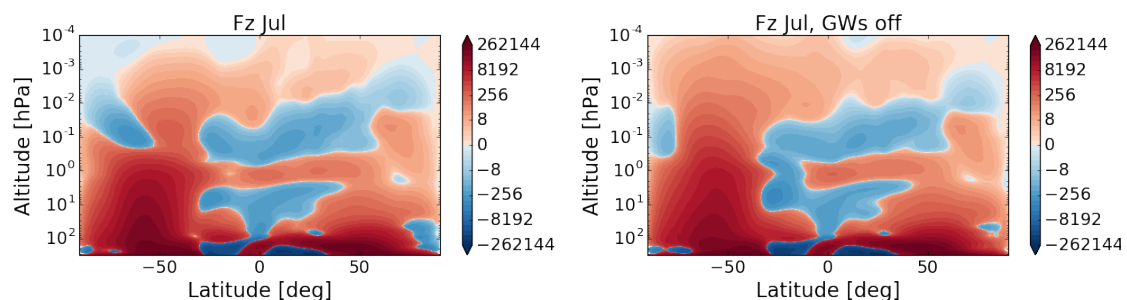
o Line 794: In this study, the F_200_WACCM ...

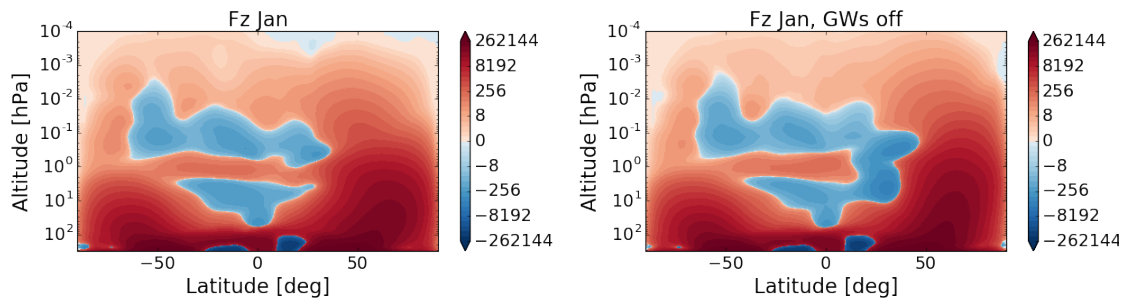
This has been changed.

o Line 829 – 833: Here the assumption is made that the altitude of the zonal wind reversal is correct in WACCM. However, it is not \cite. Please mention that fact.

We are interested in the difference between the cases with and without GWs in the winter hemisphere. The exact values of the wind reversal might not be captured in WACCM exactly right, but that wouldn't change our arguments. For the zonal wind in WACCM see Figure 5-7. Lines 829-833 have now been rewritten.

The figure below shows that the positive meridional heat flux in the midlatitude winter hemisphere is indeed distributed over a wider altitude range.





o Line 845 – 847: Just a curious question: What is about the meridional temperature gradient when the summer polar mesopause warms as well?

I am not quite sure what the reviewer is asking for: the meridional temperature gradient in which region? I include the figures that should answer the question.

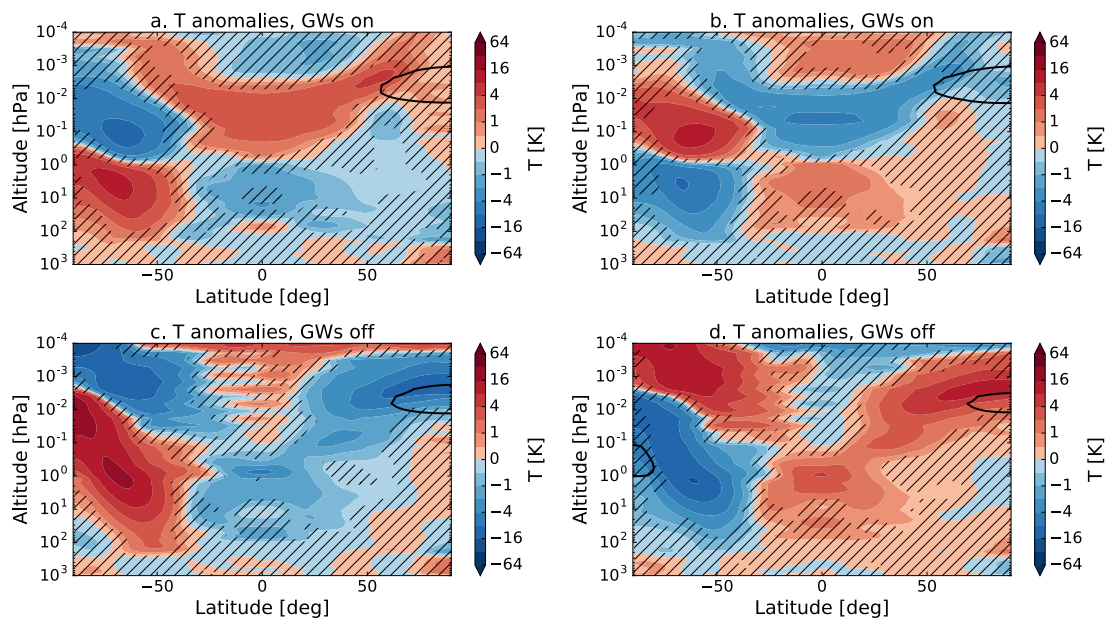
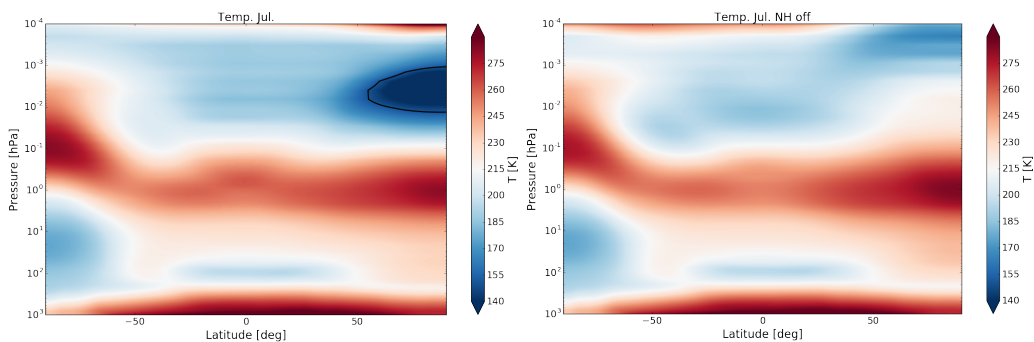


Fig. 3 shows the temperature anomalies for high (left) and low (right) planetary wave activity, as measured by the temperature in the winter stratosphere (1-10 hPa, 60°S-40°S) in July for the control run (first row) and run without GWs in the winter hemisphere (second row). The dotted areas are regions where the correlation has a p-value < 0.05. The black 150 K-contour indicates the polar mesopause region.

These were the anomaly fields, for the absolute temperatures, see the following figure:



Temperature July (left) and temperature July when the GWs in the NH off (right).

o Line 851: ... NLC region is about 210-22K, which is much higher ...
This has been changed.

o Line 858: They attributed that the warming ...
This has been changed.

o Line 890: ...and in the summer mesopause region outside the polar region. -> The correlation is weak and not significant in the polar mesosphere (see Fig. 2 and 4).

It is true that the temperature anomalies in the summer polar mesosphere not in all the cases reaching a confidence level of 95% all the way to the poles. We comment on this in line 382-387:

“ This is in agreement with the results presented in Karlsson et al. (2009) although the WACCM temperature response does not reach statistical significance at a 95% level all the way to the polar region. This could be due to time lags between the response in the summer mesopause and the dynamic activity in the winter: Karlsson et al. (2009) found a lag between the winter and the summer hemisphere of up to 15 days. In the monthly-mean approach that we use for this study, lags in time are not accounted for.”

o Line 939: ... change due to turning off the winter GWs ...
This has been changed.

o Line 971: In Fig. 2, it is seen ...
This has been changed.

o Line 973: ... in the NH summer polar mesosphere. -> the correlation in the polar mesosphere is weak and not significant

It is true that the temperature anomalies in the summer polar mesosphere not in all the cases reaching a confidence level of 95% all the way to the poles. We now speak about the summer mesosphere.

o Line 976: ...temperature in the summer polar mesosphere. -> Same as above.
See earlier comment.

o Line 981: ... is a change in the stratospheric meridional temperature gradient...
This has been changed.

o Line 987: This can be shown clearly ...
This has been changed.

o Line 1020 -2024: The statement of this sentence is not clear.

I assume the reviewer means line 1020-1024. This sentence has now been rewritten.

“ We conclude that for both hemispheres, the effect of PW activity on the summer polar mesosphere temperatures would be the opposite, if changes in the summer stratosphere were acting alone. Hence, the IHC as described by e.g. Karlsson et al. (2009) still holds as the dominant mechanism governing the monthly mean temperatures variability in the summer polar mesosphere, at least for July.”

o Line 1033: ... has a net cooling effect on the summer polar mesosphere differing in magnitude between the two hemispheres.

This has been changed.

o Line 1046: ...: in this case a weak BDC leads to cooling of the summer mesosphere region.

This has been changed.

o Line 1047 – 1049: please rewrite this sentence to make it clearer that the net effect of the IHC is probably larger than that of the intrahemispheric coupling from the stratosphere of the summer hemisphere.

The conclusion has now been rewritten to make our points more clear.

Additionally, it should be mentioned in the conclusion that the positive correlation between the winter stratosphere and summer mesosphere only barely reaches the polar mesopause region

This has now been mentioned.

1 **The role of the winter residual circulation in the summer mesopause regions in**
2 **WACCM**

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6

7 **Abstract**

8

9 High winter planetary wave activity warms the summer polar mesopause via a link
10 between the two hemispheres. Complex wave – mean flow interactions take place on
11 a global scale, involving sharpening and weakening of the summer zonal flow.
12 Changes in the wind shear occasionally generate flow instabilities. Additionally, an
13 altering zonal wind modifies the breaking of vertically propagating gravity waves. A
14 crucial component for changes in the summer zonal flow is the equatorial
15 temperature, as it modifies latitudinal gradients. Since several mechanisms drive
16 variability in the summer zonal flow, it can be hard to distinguish which one that is the
17 dominant. In the mechanism coined interhemispheric coupling, the mesospheric zonal
18 flow is suggested to be a key player for how the summer polar mesosphere responds
19 to planetary wave activity in the winter hemisphere. We here use the Whole
20 Atmosphere Community Climate Model (WACCM) to investigate the role of the
21 summer stratosphere in shaping the conditions of the summer polar. Using composite
22 analyses, we show that in the absence of an anomalous summer mesospheric
23 temperature gradient between the equator and the polar region, weak planetary wave
24 forcing in the winter would lead to a warming of the summer mesosphere region
25 instead of a cooling, and vice versa. This is opposing the temperature signal of the
26 interhemispheric coupling that takes place in the mesosphere, in which a cold and
27 calm winter stratosphere goes together with a cold summer mesopause. We hereby
28 strengthen the evidence that the variability in the summer mesopause region is mainly
29 driven by changes in the summer mesosphere rather than in the summer
30 stratosphere.

31

32 **1 Introduction**

33

34 The circulation in the mesosphere is driven by atmospheric gravity waves (GWs).
35 These waves originate from the lower atmosphere and as they propagate upwards,
36 they are filtered by the zonal wind in the stratosphere (e.g., Fritts and Alexander,
37 2003). Because of the decreasing density with altitude and as a result of energy

38 conservation, the waves grow in amplitude. At certain altitudes, the waves –
39 depending on their phase speeds relative to the background wind - become unstable
40 and break. At the level of breaking, the waves deposit their momentum into the
41 background flow, creating a drag on the zonal winds in the mesosphere, which
42 establishes the pole-to-pole circulation (e.g. Lindzen, 1981; Holton, 1982,1983; Garcia
43 and Solomon, 1985). This circulation drives the temperatures far away from the state
44 of radiative balance, by adiabatically heating the winter mesopause and adiabatically
45 cooling the summertime mesopause (Andrews et al., 1987; Haurwitz, 1961; Garcia
46 and Solomon, 1985; Fritts and Alexander, 2003). The adiabatic cooling in the summer
47 leads to temperatures sometimes lower than 130 K in the summer polar mesopause
48 (Lübken et al.,1990). These low temperatures allow for the formation of thin ice
49 clouds, the so-called noctilucent clouds (NLCs).

50
51 Previous studies have shown that the summer polar mesosphere is influenced by the
52 winter stratosphere via a chain of wave-mean flow interactions (e.g. Becker and
53 Schmitz, 2003; Becker et al., 2004; Karlsson et al., 2009). This phenomenon, termed
54 interhemispheric coupling (IHC), manifests itself as an anomaly of the zonal mean
55 temperatures. Its pattern consists of a quadrupole structure in the winter hemisphere
56 with a warming (cooling) of the polar stratosphere and an associated cooling
57 (warming) in the equatorial stratosphere. In the mesosphere, these anomalies are
58 reversed: there is a cooling (warming) in the polar mesosphere, and an associated
59 warming (cooling) in the equatorial region. The mesospheric warming (cooling) in the
60 tropical region extends to the summer mesopause (see e.g. Körnich and Becker,
61 2010).

62
63 These anomalies are responses to different wave forcing in the winter hemisphere. In
64 order to explain how these anomalies come about we here briefly summarize the
65 interhemispheric coupling mechanism for the case when the winter stratosphere is
66 dynamically active, i.e. for a stratospheric meridional flow that is anomalously strong.
67 The mechanism works in reverse when the meridional circulation in the stratosphere
68 is anomalously weak A stronger planetary wave (PW) forcing in the winter
69 stratosphere yields a stronger stratospheric Brewer-Dobson circulation (BDC). This
70 anomalously strong flow yields an anomalously cold stratospheric tropical region and
71 a warm stratospheric winter pole, due to the downward control principle (Karlsson et
72 al., 2009).

73

74 Due to the eastward zonal flow in the winter stratosphere, GWs carrying westward

75 momentum propagate relatively freely up into the mesosphere where they break.
76 Therefore, in the winter mesosphere, the net drag from GW momentum deposition is
77 westward. When vertically propagating planetary waves break – also carrying
78 westward momentum – in the stratosphere, the momentum deposited onto the mean
79 flow decelerates the stratospheric westerly winter flow. To put it short, a weaker zonal
80 stratospheric winter flow allows for the upward propagation of more GWs with an
81 eastward phase speed, which, as they break reduces the westward wave drag (see
82 Becker and Schmitz, 2003, for a more rigorous description).

83

84 This filtering effect of the zonal background flow on the GW propagation results in a
85 reduction in strength of the winter-side mesospheric residual circulation when the BDC
86 is stronger. This weakened meridional flow causes the mesospheric polar winter region
87 to be anomalously cold and the tropical mesosphere to be anomalously warm (Becker
88 and Schmitz, 2003, Becker et al., 2004 and K ornich and Becker, 2009).

89

90 The critical step for IHC is the crossing of the temperature signal over the equator.
91 The essential region is here the equatorial mesosphere. Central in the hypothesis of
92 IHC is that the increase (or decrease) of the temperature in the tropical mesosphere
93 modifies the temperature gradient between high and low latitudes in the summer
94 mesosphere, which influences the zonal wind in the summer mesosphere, due to
95 thermal wind balance (see e.g. Karlsson et al., 2009 and Karlsson and Becker, 2016).

96

97 The zonal wind change in the summer mesosphere modifies the breaking level of the
98 summer side GWs. In the case of a warming of the equatorial mesosphere - when the
99 BDC is strong - the zonal wind is modified in such a way that the intrinsic wave
100 speeds are reduced (e.g. Becker and Schmitz, 2003; K ornich and Becker, 2009).

101 Consequently, the GWs break at a lower altitude and over a broader altitude range
102 (see Becker and Schmitz, 2003), thereby shifting down the GW drag per unit mass.
103 Hence, the strength of the meridional flow is reduced, and the adiabatic cooling of the
104 summer polar mesopause region decreases, resulting in a positive anomalous
105 temperature response (Karlsson et al., 2009; K ornich and Becker, 2009; Karlsson and
106 Becker, 2016). In the case of an equatorial mesospheric cooling, the response is the
107 opposite: the relative difference between the zonal flow and the phase speeds of the
108 gravity waves increase to that they break at slightly higher altitudes, with an
109 anomalous cooling of the summer polar mesopause as a result.

110

111 The IHC pattern was first found using mechanistic models (Becker and Schmitz, 2003)

112 underpinned by observations of mesospheric conditions (Becker et al., 2004; Becker
113 and Fritts, 2006). The pattern was then found in observational data (e.g. Karlsson et
114 al., 2007; Gumbel and Karlsson, 2011; Espy et al., 2011; de Wit et al., 2016), in the
115 Whole Atmosphere Community Climate Model (WACCM: Sassi et al. 2004, Tan et al.,
116 2012), in the Canadian Middle Atmosphere Model (CMAM: Karlsson et al. 2009), and
117 in the high altitude analysis from the Navy Operational Global Atmospheric Prediction
118 System - Advanced Level Physics High Altitude (NOGAPS-ALPHA)
119 forecast/assimilating system (Siskind et al., 2011).

120

121 As described above, the temperature in the equatorial mesosphere is modified by the
122 strength of the residual circulation in the winter mesosphere. Karlsson and Becker
123 (2016) showed that the equatorial mesosphere is substantially colder in July than it is
124 in January, while the winter mesosphere is significantly warmer (see their Fig. 1).

125 They proposed that this cooling of the equatorial region - cause by the strong
126 mesospheric winter flow - modifies the breaking levels of the summer GWs throughout
127 the July season, leading to additional cooling of the summer polar mesopause region.

128 If - as hypothesized by Karlsson and Becker (2016) - the fundamental effect of the
129 IHC is a cooling of the summer polar mesopauses, it would mean that the mechanism
130 plays a more important role affecting the temperatures in the summer mesopause in
131 the NH compared to that in the SH, since the weaker planetary wave activity in the SH
132 results in an increased gravity wave drag and a strengthening of mesospheric
133 poleward flow in the winter mesosphere: The equatorial mesosphere is adiabatically
134 cooled more efficiently than when the winter mesospheric circulation is weak.

135 Karlsson and Becker (2016) further hypothesized that in the absence of the equator-
136 to-pole flow in the SH winter, the summer mesopause in the NH would be
137 considerably warmer. To test the hypothesis, they used the KMCM to compare control
138 simulations to runs without GWs in the winter mesosphere. The predicted responses
139 were confirmed, and the results were also backed up by correlation studies using the
140 Canadian Middle Atmosphere Model (CMAM30).

141

142 The IHC mechanism - as described above - is not the only driver of variability in the
143 summer polar mesopause region. Another common feature in the summer
144 mesosphere is the quasi 2-day wave (Q2DW; see e.g. Pendlebury, 2012), which is
145 generated by baroclinic instability linked to the shear of the easterly flow in the
146 summer stratosphere (Wu et al., 1996). Since variability in the summer stratospheric
147 zonal flow also is related to the IHC mechanism, the two phenomena should be
148 closely coupled, as suggested by Gu et al. (2016). An indication of their

149 interconnection is given by the following studies: a) Karlsson et al. (2007) found a
150 strong anticorrelation between the noctilucent cloud occurrence and high latitude
151 winter stratospheric temperatures, and b) Siskind and McCormack (2014) showed that
152 enhanced Q2DW activity corresponded well in time with noctilucent cloud
153 disappearance. Both studies covered the same years. Siskind and McCormack (2014)
154 sought revision of the theory behind the IHC since they could not find indications of
155 the conventional temperature and wind patterns associated with the proposed IHC
156 mechanism. In the light of these findings, we hypothesize that while the Q2DW is
157 associated with an enhanced PW activity in the winter hemisphere as suggested by
158 e.g. Salby and Challenghan (2001) and shown by Gu et al. (2016) - and could plausibly
159 be one of the main drivers of warming events in the summer mesosphere, particularly
160 the SH summer (see e.g. Gu et al., 2015) - it cannot completely replace the
161 conventional IHC. The two main arguments are:

162

163 i) The Q2DW does not explain why calm conditions in the winter stratosphere
164 generate anomalously cold conditions in the summer mesosphere (e.g.
165 Karlsson et al., 2009; Karlsson and Becker, 2016).

166

167 ii) If it were only the Q2DW that generated warming events in the summer
168 mesosphere, these events would be insensitive to the residual circulation in
169 the mesosphere. Strong PW activity leading to acceleration of the summer
170 stratospheric jet – via a sharpened summer stratospheric temperature gradient
171 - would generate baroclinic instability independently of the circumstances in
172 the winter mesosphere. Therefore, removing GWs in the winter would not
173 influence the summer mesospheric response. We test this hypothesis in this
174 study by compositing monthly mean winters of high and low PW activity and
175 comparing the outcomes with and without winter GWs. These results are
176 presented in Section 3.2.

177

178 Since IHC is controversial, we find it important to use as many tools as possible to test
179 - and to underpin - our arguments. In this study, the well-established WACCM,
180 described in section 2.1 below, is used to endorse the results obtained with the not as
181 widely-used - yet high-performing - KMCM. WACCM is in some aspects a more
182 comprehensive model than KMCM. For example, a major difference is that WACCM
183 contains interactive chemistry in the middle atmosphere, while KMCM does not.
184 WACCM also uses a different parameterization for non-orographic GWs than KMCM.
185 KMCM uses a simplified dynamical core and convection scheme as compared to

186 WACCM. For details about the KMCM see e.g. Becker et al., 2015. The WACCM is
187 described in section 2. In section 3, we present the results from removing the gravity
188 waves in the winter hemisphere on the summer mesosphere region in WACCM.
189 Comparisons to the Karlsson and Becker (2016) study are discussed in section 3.1. In
190 section 3.2 we examine the role of the summer stratosphere in shaping the conditions
191 of the polar mesosphere when the winter mesospheric flow is absent.
192 Our conclusions are summarized in Section 4.

193

194 Since the IHC mechanism has a more robust signal in the SH winter – NH summer,
195 we choose to focus particularly on this period, namely July. Nevertheless, results from
196 January are also shown for comparisons and for further discussion.

197

198 **2 Method**

199

200 **2.1 Model**

201

202 The Whole Atmosphere Community Climate Model (WACCM) is a so-called “high-top”
203 chemistry-climate model, which spans the range of altitude from the Earth’s surface to
204 an altitude of about 140 km. WACCM has 66 vertical levels of a resolution of ~1.1 km
205 in the troposphere above the boundary layer, 1.1-1.4 km in the lower stratosphere,
206 1.75 km at the stratosphere and 3.5 km above 65 km. The horizontal resolution is 1.9°
207 latitude by 2.5° longitude (Marsh et al., 2013).

208

209 The model is a component of the Community Earth System Model (CESM), which is a
210 group of model components at the National Center for Atmospheric Research
211 (NCAR). WACCM is a superset of the Community Atmospheric Model version 4
212 (CAM4) and as such it includes all the physical parameterizations of CAM4 (Neale et
213 al., 2013).

214

215 WACCM includes parameterized non-orographic gravity waves, which are generated
216 by frontal systems and convection (Richter et al., 2010). The orographic GW
217 parameterization is based on McFarlane (1987), while the nonorographic GW
218 propagation parameterization is based on the formulation by Lindzen (1981).

219

220 In this study, the F_2000_WACCM (FW) compset of the model is used, i.e. the model
221 assumes present day conditions. There is no forcing applied: the model runs a
222 perpetual year 2000. Our results are based on a control run and perturbation runs. In

223 the control run, the winter side residual circulation is included. In the perturbation runs,
224 the equator-to-pole flow is removed by turning off both the orographic and the non-
225 orographic gravity waves. It should however be noted that even though the GWs are
226 turned off, there are still some resolved waves, such as inertial gravity waves and
227 planetary waves that drive a weak meridional circulation. The model is run for 30
228 years.
229

230 **3 Results and discussion**

231 **3.1 The effect of the winter residual circulation on the summer mesopause**

232 To investigate the effect of the winter residual circulation on the summer mesopause,
233 we compare the control run, which includes winter GWs, with the perturbation runs. In
234 the perturbation runs, the residual flow is removed by turning off the parameterized
235 GWs in the winter hemisphere. The resolved waves, such as tides, inertial gravity
236 waves and planetary waves are still there and drive a weak poleward flow, as already
237 described in section 2.1.

238 We start by investigating the case for the NH summer (July) with the GWs turned off
239 for the SH, where it is winter. Figure 1 shows the difference in zonal-mean
240 temperature, zonal wind and gravity wave drag for July as a function of latitude and
241 altitude, between the control run and the perturbation run: the run without the GWs in
242 the winter minus the run with the GWs in the SH.

243 Figure 1.

244 From Fig. 1a, it is clear that there is a considerable increase in temperature in the NH
245 summer mesopause region in the case for which there is no equator-to-pole flow in
246 the SH winter. This change in temperature in the summer polar mesosphere can be
247 understood as a result of changes in the wave-mean flow interactions. Without the
248 GWs in the SH winter, the winter stratosphere and lower mesosphere are colder. This
249 is because GWs in the winter hemisphere drive downwelling, adiabatically heating
250 these regions (e.g. Shepherd, 2000).

251 Turning off the gravity waves in winter hemisphere changes the meridional
252 temperature gradient in the summer hemisphere, as the equatorial mesosphere will be
253 warmer. Thereby - via thermal wind balance - the zonal mesospheric winds are
254 modulated. It is also clear that the zonal flow at high latitudes accelerates for the case

255 where there is no meridional flow in the SH winter. These findings correspond with
256 what is found in Karlsson and Becker (2016).

257 Fig. 1a shows a significant warming in the equatorial mesosphere as well as in the
258 stratosphere in the case where there are no GWs in the winter hemisphere, indicating
259 a weakening of the BDC. We suggest that the warming of the tropical stratosphere
260 could be due to a redistribution of PW momentum drag in the winter stratosphere:
261 without GWs in the mesosphere, breaking levels of the westward propagating
262 planetary waves are shifted upwards. Hence, the PW drag will be distributed over a
263 wider altitude range. Our results show that this is indeed the case for the positive
264 meridional heat flux (not shown). Another contributor to a decrease in the BDC is the
265 removal of the orographic GWs, which act as PWs on the zonal flow in the winter
266 stratosphere (see e.g. Karlsson and Becker, 2016; their figure 7).

267 The anomalously eastward flow in the summer upper stratosphere/lower mesosphere
268 leads to lower GW levels and weaker GW drag over 45°N-70°N above a pressure
269 level of 0.02 hPa as can be seen in Fig. 1b and c. This causes the summer polar
270 mesopause to be considerably warmer. The temperature increase in the summer
271 polar mesopause region, which is now loosely defined to be between 61°N - 90°N and
272 0.01 - 0.002 hPa, is approximately 16 K. In a solely radiation-driven atmosphere, the
273 temperature in the summer polar mesosphere is about 210-220 K, which is much
274 higher than the temperature both with and without the GWs in the SH.

275 When comparing our results with the results in Karlsson and Becker (2016, their figure
276 3), we observe there are some quantitative discrepancies in the structure of the
277 responses. For example, Karlsson and Becker (2016) found that removing the winter
278 GWs resulted in a warming of the upper mesosphere globally, although the response
279 was strongest in the polar mesopause region. They attributed the warming over the
280 upper equatorial and winter mesosphere to the effect that GWs have on tides: when
281 GWs are absent, the tidal response is enhanced. The same behavior is not found in
282 WACCM - in fact, the equatorial upper mesosphere is anomalously cooler when the
283 GWs are removed. These differences could perhaps be explained by for example the
284 different gravity wave parameterization of non-orographic GWs, the different
285 dynamical cores between the models and the presence of interactive chemistry in the
286 middle atmosphere in WACCM.

287 However, the upper mesospheric response is not affecting the mechanism we are
288 discussing in this study. We do not consider the upper mesosphere region in the rest

289 of the paper. The qualitative response of the temperature and zonal wind change in
290 the stratosphere and lower parts of mesosphere due to turning off the GWs in the SH
291 corresponds well with the results from the KMCM as well as with the hypothesis.

292 It can also be seen that in accordance with the results from the KMCM model, the
293 zonal wind and temperature in summer stratosphere region change only slightly in the
294 perturbation runs as compared to the control runs. We deem that anomalous GW
295 filtering effects from lower down in the summer stratosphere, which could affect the
296 results, are unlikely to contribute substantially to the temperature change in the
297 summer mesosphere. We come back to this question in the next section 3.2.

298 Removing the gravity waves in the winter hemisphere leads to changes in the
299 Eliassen-Palm (EP) flux divergence and in the residual circulation velocities \bar{v}^* and \bar{w}^* .
300 Fig. 1d shows that the EP flux divergence is changed mostly in the winter hemisphere,
301 as expected, because the removal of GWs. The EP flux divergence increases in the
302 stratosphere and decreases at higher altitudes. This could, as mentioned previously,
303 be a result of the change in the zonal wind, which modifies the propagation and
304 breaking of PWs in the winter stratosphere.

305 Fig. 1e and f show the changes in the residual circulation velocities. Again it is the
306 winter hemisphere, which is mostly affected. As expected, for the case without GWs in
307 the winter hemisphere, there is less southward flow as seen in Fig. 1e. At the same
308 time \bar{w}^* changes throughout the winter stratosphere and mesosphere, as seen in Fig.
309 1f. There is a significantly stronger upwelling in the summer polar mesopause region
310 as well as in the tropical mesosphere for the case when the GWs are included as
311 compared to when they are absent (manifested by the negative anomalous response).

312 As pointed out before, the effect on the summer polar mesopause of removing winter
313 GWs will be smaller in January than in July since the SH winter residual circulation is
314 stronger than the NH summer mesosphere in July. Figure 3 shows the difference in
315 zonal-mean temperature, zonal wind and gravity wave drag for January as a function
316 of latitude and altitude, between the control run and the perturbation run: the run
317 without the GWs in the NH winter hemisphere minus the run with the GWs in the NH
318 winter hemisphere (similar to Fig. 1).

319 Figure 2.

320 From Fig. 2a, it can be observed that, in WACCM, there is no statistically significant
321 temperature change in the SH summer polar mesopause region in the case for which

322 there is no equator-to-pole flow in the NH winter. Without the GWs in the winter
323 hemisphere, the winter stratosphere and lower mesosphere are colder, as in the July
324 case. There is a change in zonal wind at high southern latitudes, but there is no clear
325 statistical significant increase. These findings correspond with what is hypothesized in
326 the introduction: taking away the GWs in the NH winter will have a weaker effect on
327 the SH summer mesopause than taking away the GWs in the SH winter on the NH
328 summer mesopause. This is plausibly partly due to the variable nature of the winter
329 stratosphere zonal flow in the NH, which oscillates between being weak and strong.
330 As a result, the January equatorial mesosphere is modified continuously: it varies
331 between being adiabatically cooled and heated by the winter mesospheric residual
332 flow. In July, on the other hand, the equatorial region is continuously cooled by the
333 strong mesospheric residual flow in the SH winter. Hence, as already proposed by
334 Karlsson and Becker (2016) the interhemispheric coupling mechanism gives one
335 plausible explanation to why the July summer mesosphere region is considerably
336 colder than the one in January.

337 We again show the effect of removing the gravity waves in the winter hemisphere on
338 the Eliassen-Palm (EP) flux divergence and on the residual circulation velocities \bar{v}^*
339 and \bar{w}^* . Fig. 3d shows the difference in EP flux divergence, the pattern in the
340 mesospheric response is similar to the response in July. Also the general patterns of
341 the changes in residual circulation velocities (see Fig. 3e and f) look similar but are in
342 general a bit smaller than in the July case, which we expected. Note the change of
343 sign in \bar{v}^* , this is because the mesospheric flow in January is northwards as opposed
344 to the flow in July.

345 Comparison between the responses found using WACCM with those found with
346 KMCM (Karlsson and Becker, 2016, their Fig. 3), shows that the temperature change
347 is larger and extends all the way to the summer pole in KMCM, while this is not the
348 case in WACCM. However, the change in temperature in this region is not statically
349 significant in WACCM. The differences in temperature and zonal wind responses are
350 larger in January than in July when comparing the results of WACCM with that of
351 KMCM. Nevertheless, the qualitative structure of the temperature and zonal wind
352 change due to turning off the winter GWs corresponds convincingly well.

353 IHC has hitherto primarily been seen as a mode of internal variability giving rise to a
354 warming of the summer mesopause region. These results presented here and in
355 Karlsson and Becker (2016) show the more fundamental role of interhemispheric

356 coupling; the mechanism has a net cooling effect on the summer mesosphere.

357

358 **3.2 The effect of the summer stratosphere region on the summer mesopause**

359 The summer stratospheric meridional temperature gradient is affected by the strength
360 of Brewer-Dobson circulation. Hence, filtering effects taking place below the
361 mesosphere could be an additional - or alternative - mechanism to the response
362 observed in the summer mesopause. Moreover, the Q2DW is amplified as a result of
363 baroclinic instability associated with a strengthening of the easterly jet in the summer
364 stratosphere (e.g. Gu et al., 2016). If Q2DWs were the sole reason for summer polar
365 mesospheric warming events at dynamically active winters, the response would still
366 hold after removing winter GWs. In this section, we will discuss why the variability in
367 the summer stratosphere is unlikely to be the main driver to year-to-year temperature
368 responses in the summer polar mesosphere. We focus again mostly on the NH
369 summer polar mesosphere region.

370

371 In Fig. 3, the results from compositing years of high (a) and years of low (b)
372 temperature anomalies, indicating high and low PW activity, in the winter stratosphere
373 in July (1-10 hPa, 60°S-40°S) are shown for cases when GWs are present (upper
374 panels) and absent (lower panels) in the winter hemisphere. Thresholds for the
375 temperature anomalies are set as lower than half a standard deviation under the
376 mean for the low temperature anomalies, and higher than half a standard deviation
377 above the mean for the high temperature anomalies. As can be seen in the
378 temperature responses associated with PW activity, the NH summer polar
379 mesosphere is responding with the same anomalous sign as the high latitude winter
380 stratosphere when winter GWs are included (Fig 3 a and b). This is in agreement with
381 the results presented in Karlsson et al. (2009) although the WACCM temperature
382 response does not reach statistical significance at a 95% level all the way to the polar
383 region. This could be due to time lags between the response in the summer
384 mesopause and the dynamic activity in the winter: Karlsson et al. (2009) found a lag
385 between the winter and the summer hemisphere of up to 15 days. In the monthly-
386 mean approach that we use for this study, lags in time are not accounted for.
387 Nevertheless, as seen in the figure, when winter GWs are absent (lower panels) the
388 anomalous temperature responses in the summer polar mesosphere and in the winter
389 polar stratosphere are opposing each other (Fig. 3 c and d).

390

391 In terms of summer GW filtering and breaking, this opposing change in temperature

392 response (Fig. 3c and d) can be understood by considering the anomalous response
393 in the zonal flow. In Fig 4a - c, we show the absolute vertical profiles of the summer
394 zonal wind, the summer GW drag between 45°N-70°N and the summer temperatures
395 between 60°N-70°N for high (dashed black) and low (red) PW activity in the winter
396 stratosphere for July when winter GWs are included. Figure 4 d-f show the difference
397 between the profiles: the case without GWs minus the control case. The anomalous
398 responses, i.e. deviations about the 30-year mean, are show in Fig. 4 g-i. As can be
399 seen in Fig 4 a, d and g, the westward stratospheric flow is slightly enhanced during
400 high PW activity. An anomalous easterly flow will increase the intrinsic phase speed of
401 the summer GWs carrying eastward momentum, which would result in an increase of
402 the GWs breaking levels. However, at high PW activity, the mesospheric wind shear
403 (from westward towards eastward) is stronger than at low PW activity, as illustrated in
404 Fig. a, d and g., and results in a lowering of the GW breaking level in the mesosphere
405 compared to calm winter stratospheric conditions (Fig. 4b, e and h). As the GWs
406 break lower, the adiabatic cooling of the summer polar mesopause is reduced, as
407 seen in Fig. 4 c, f and i. Additionally, it is worth pointing out that an intensification of
408 the zonal wind shear would naturally lead to baroclinic instability and generation of
409 Q2DWs.

410 Fig. 5 shows profiles that are analogous to the ones illustrated in Fig. 4, but for the
411 cases when winter GWs are absent. Note the differences in the wind profiles shown in
412 4 and 5. As described above, when the anomalous temperature response in the
413 equatorial mesosphere is absent, the summer GWs carrying eastward momentum
414 break slightly higher at high PW activity in the winter, as illustrated in Fig. 5 b, f and h
415 leading to an anomalously cooler mesosphere (Fig. 5 c, f and i). Analogously, from
416 Fig. 5, it is clear for a weak BDC (i.e. low PW activity), and therefore anomalously low
417 temperatures in the SH winter stratosphere, the zonal winds in the stratosphere are
418 less strongly westward. This leads to a weaker GW drag and a warmer NH summer
419 mesopause region.

420

421 Our results show that without GWs in the SH winter hemisphere, the NH summer
422 stratospheric variability - caused by the winter-side PW activity - has the major
423 influence on the temperatures in the NH summer polar mesopause region. In the
424 absence of the winter GWs, a dynamically active winter stratosphere leads to a
425 cooling of the summer polar mesosphere instead of the warming associated with the
426 conventional interhemispheric coupling mechanism. Moreover, our study indicates
427 that if Q2DWs are solely generated by the strengthening of the easterly stratospheric

428 summer jet, they are not likely to be the major contributor for warming the summer
429 polar mesopause region during high PW events in the winter: if they were, a warming
430 of this region in the absence of winter GWs would still occur. However, we suggest
431 that also the Q2DWs are related to conventional IHC since the anomalous quadruple
432 temperature response in the winter middle atmosphere at high PW wave activity (e.g.
433 Fig. 3 a) sharpens the wind shear between the stratosphere and the mesosphere in
434 the summer hemisphere.

435 Fig. 6 – 8 illustrate the same as Fig. 3 – 5, but for January conditions. Even though the
436 statistical significance of the results is not as high as for July, the same chain of
437 arguments apply.

438 We conclude that for both hemispheres, the effect of PW activity on the summer polar
439 mesosphere temperatures would be the opposite, if changes in the summer
440 stratosphere were acting alone. Hence, the IHC as described by e.g. Karlsson et al.
441 (2009) still holds as the dominant mechanism governing the monthly mean
442 temperatures variability in the summer polar mesosphere, at least for July.

443 **4 Conclusive summary**

444 In this study, the interhemispheric coupling mechanism and the role of the summer
445 stratosphere in shaping the conditions of the summer polar mesosphere have been
446 investigated. For the purpose, we have utilized the widely used WACCM model to
447 carry out sensitivity experiments in the same manner as Karlsson and Becker (2016):
448 the mesospheric residual flow in the winter hemisphere was dramatically diminished
449 by removing winter GWs. This setting allows for studying the effect of summer
450 stratospheric variability alone, i.e. without considering any influences from the winter
451 mesospheric flow.

452

453 In accordance with Karlsson and Becker (2016), we find that the summer polar
454 mesopause region would be substantially warmer without the gravity wave-driven
455 residual circulation in the winter. Additionally, as for the KMCM experiment, we find
456 using WACCM that the interhemispheric coupling mechanism has a net cooling effect
457 on the summer mesospheres differing in magnitude between the two hemispheres,
458 although signal in WACCM doesn't reach statistical significance all the way to the
459 poles. The mechanism plays a more important role affecting the temperatures in the
460 NH summer mesopause compared to the SH.

461

462 In the absence of winter GWs - hence without the winter mesospheric residual
463 circulation - the variability in the summer polar mesosphere is determined by the
464 temperature gradient in the summer stratosphere below. However, the response
465 opposes that of the conventional interhemispheric coupling: it is found that in the
466 absence of winter gravity waves, low planetary wave activity in the winter hemisphere
467 leads to a warming of the summer polar mesosphere region for both the northern and
468 the southern hemispheres. Our results again confirm the idea that the IHC mechanism
469 - with the equatorial mesosphere playing a crucial role - has a significant influence on
470 the temperatures in the summer mesopause regions.

471

472 The Q2DW, a common feature in the summer mesosphere, is associated with an
473 enhancement of the easterly flow in the summer stratosphere. The influence by these
474 waves on the summer polar mesosphere can be rather dramatic. Nevertheless, our
475 study shows that in a statistical sense, these events are of less importance for the
476 summer polar mesosphere, at least if generated by the stratospheric flow alone. This
477 conclusion is drawn from noting that anomalous easterly flow in the stratosphere gives
478 rise to a cooling of the summer polar mesosphere if the mesospheric winter residual
479 flow is absent. From this finding we suggest that the generation of the Q2DW is
480 facilitated not only by an increase of the easterly summer stratospheric jet, but also by
481 the conventional IHC mechanism, which increases the zonal wind shear between the
482 summer stratosphere and mesosphere.

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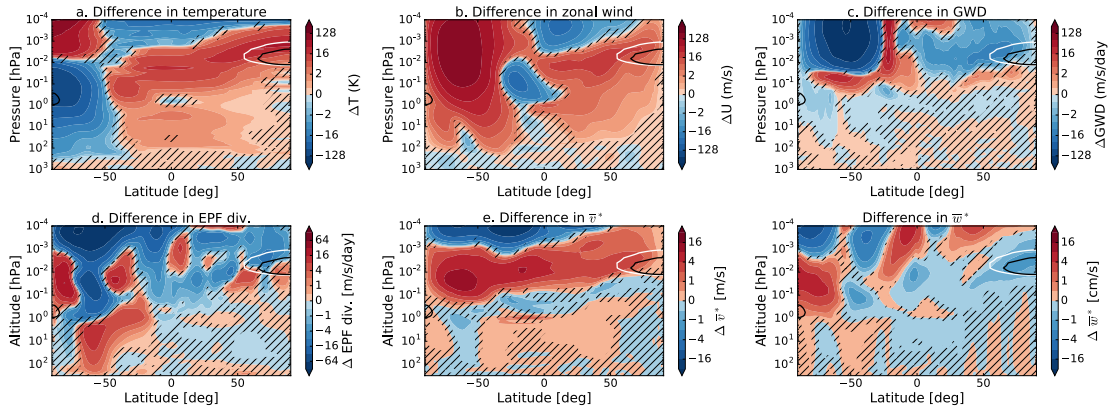
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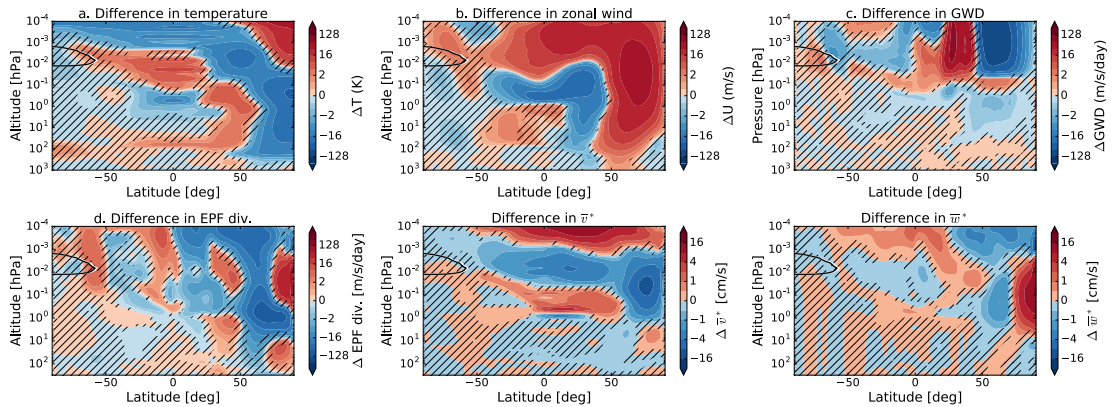
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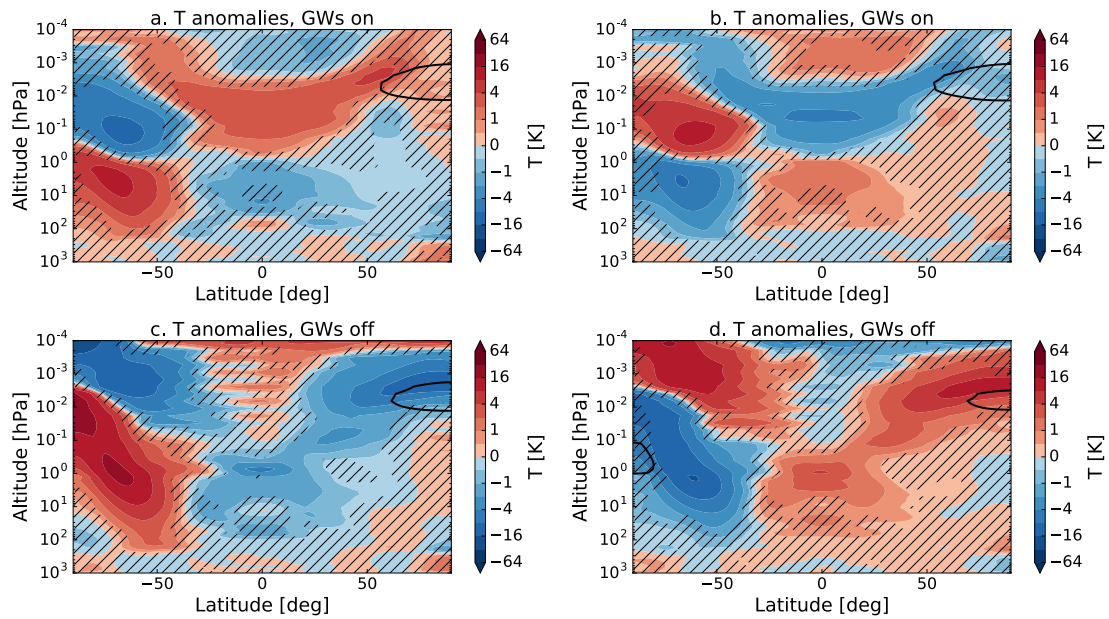
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 646 Fig. 1. The difference in zonal-mean temperature (a), zonal-mean zonal wind (b),
 647 gravity wave drag (c), EP flux divergence (d) and the transformed Eulerian-mean
 648 residual circulation velocity \bar{v}^* (e) and \bar{w}^* (f) for July: [run without winter GWs] minus
 649 [control run]. The white contour indicates the summer polar mesopause region where
 650 the temperatures are below 150 K for the control run. The black contour indicates the
 651 region where the temperature is below 150 K for the run without the GWs in winter.
 652 The shaded areas are regions where the data doesn't reach a confidence level of
 653 95%.



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 655 Fig. 2. Same as Figure 1, but for January.



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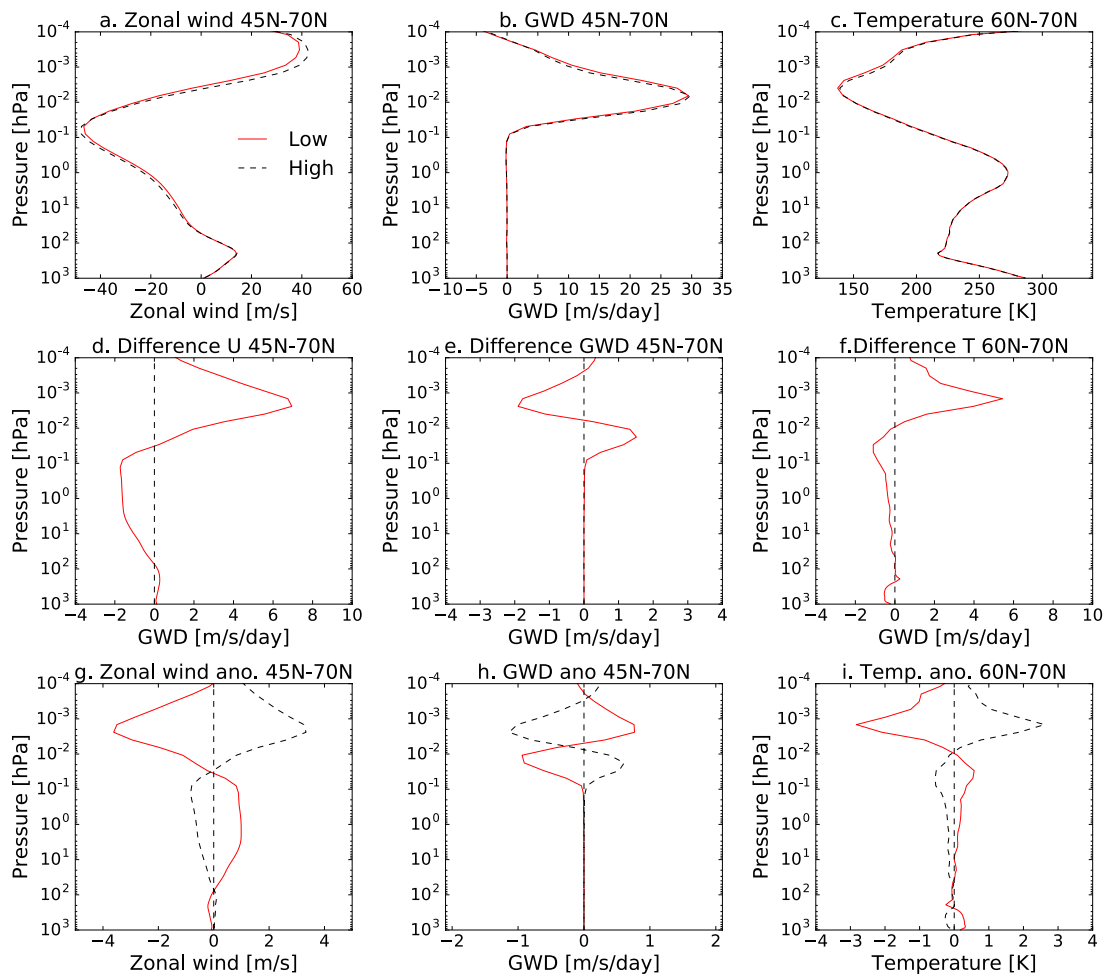
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Fig. 3. The temperature anomalies for high (left) and low (right) planetary wave activity, as measured by the temperature in the winter stratosphere (1-10 hPa, 60°S-40°S) in July for the control run (first row) and run without GWs in the winter hemisphere (second row). There are 10 years of data with high temperature anomalies and 9 with low temperature anomalies in the winter stratosphere, this is the case for both the runs with and without the GWs in the winter hemisphere. The dotted areas are regions where the correlation has a p-value < 0.05. The black 150 K-contour indicates the polar mesopause region.

Control case



665

666 Fig. 4. The July zonal wind (left) and the GW drag (middle) between 45°- 70°N and the
 667 temperature (right) between 70-90°N for anomalously low and high temperatures in
 668 the winter stratosphere (1-10 hPa, 60°S - 40°S) (first row) and the differences
 669 between them (second row) and their anomalies (third row), for the case where there
 670 are GWs in the winter hemisphere. The red continuous lines show the results for
 671 anomalously low temperatures, the black dotted lines show the results for the
 672 anomalously high temperatures.

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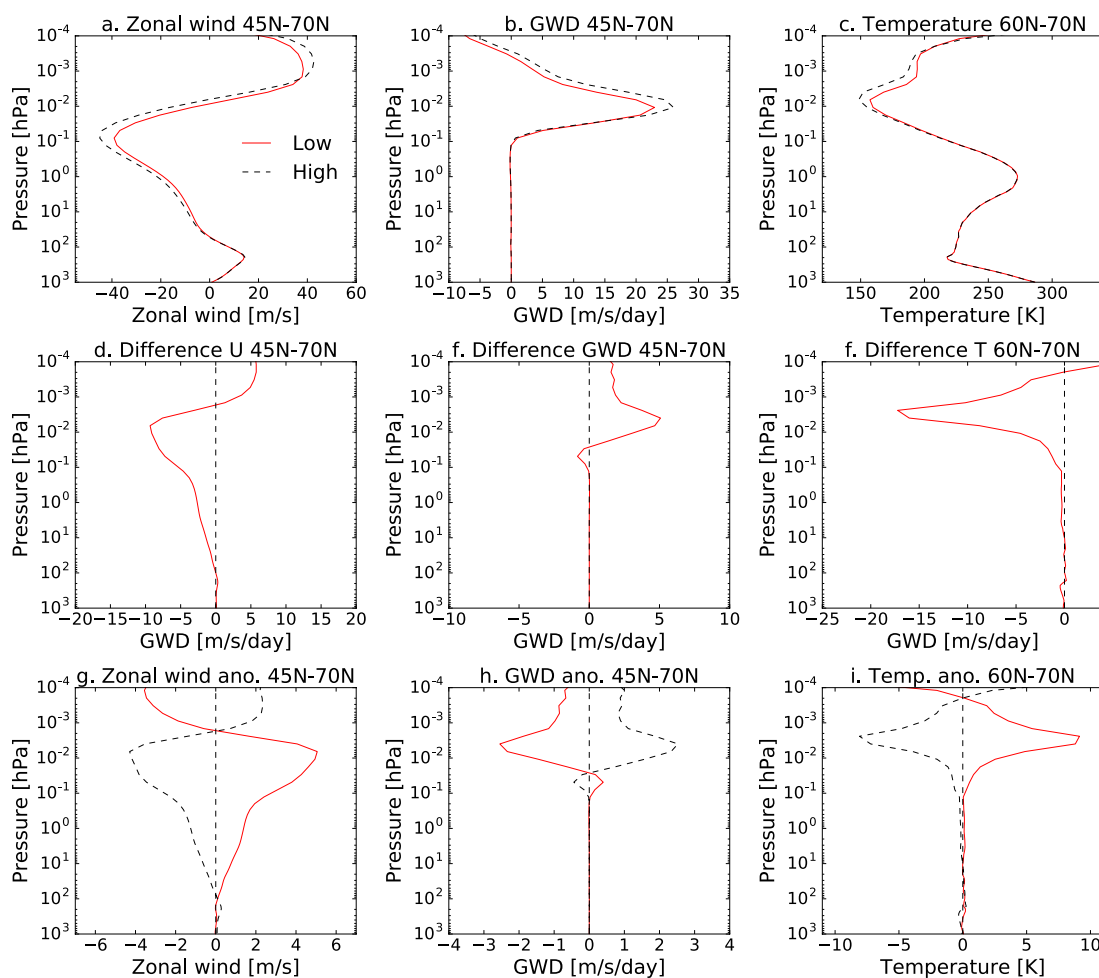
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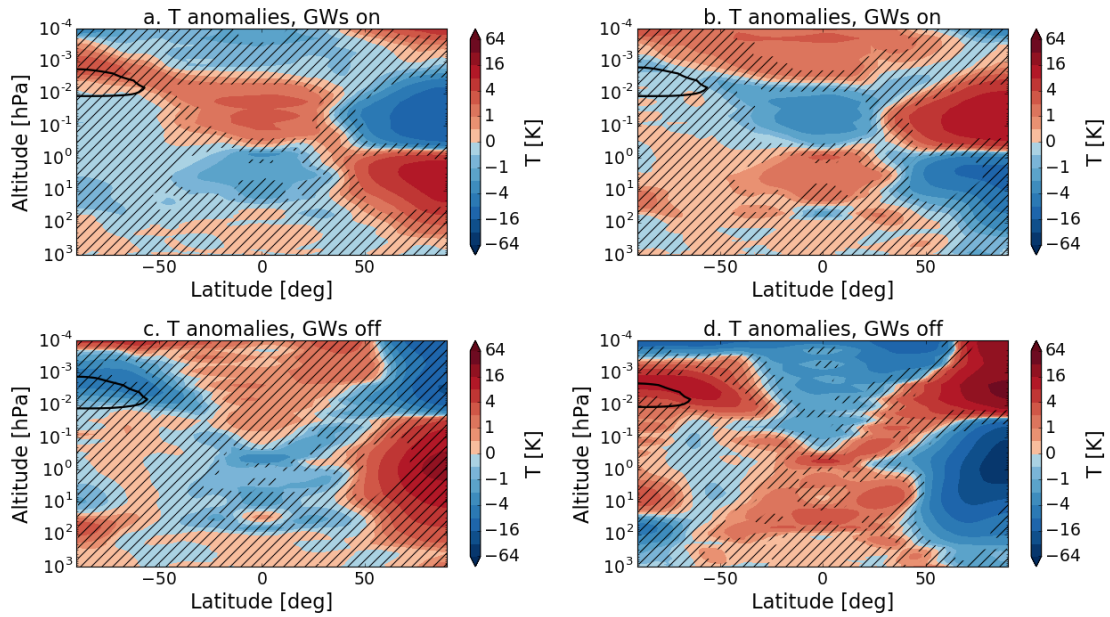
Run without GWs in the winter hemisphere



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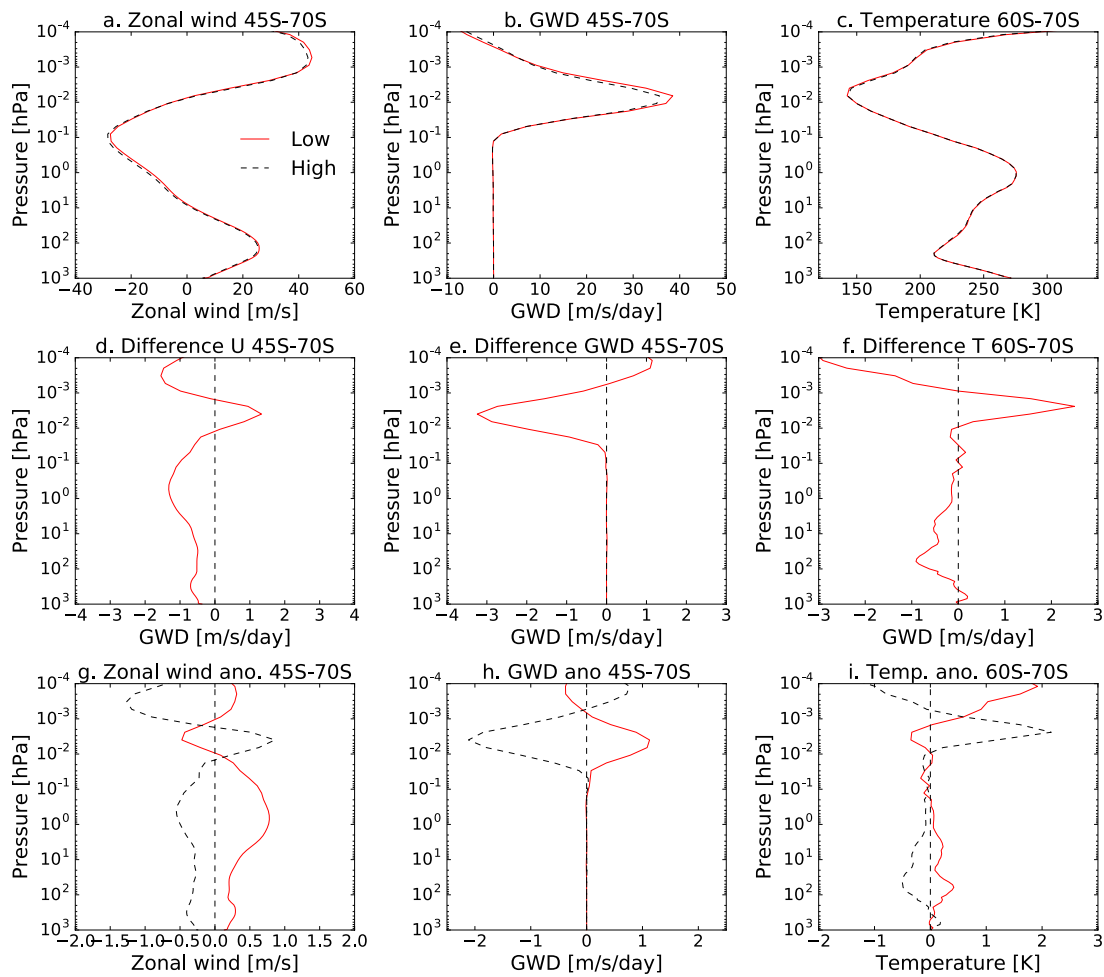
679 Fig. 5. The July zonal wind (left) and the GW drag (middle) between 45° - 70° N and the
 680 temperature (right) between 70° - 90° N for anomalously low and high temperatures in
 681 the winter stratosphere (1 - 10 hPa, 60° S - 40° S) (first row) and the differences
 682 between them (second row) and their anomalies (third row), for the case where there
 683 are no GWs in the winter hemisphere. The red continuous lines show the results for
 684 anomalously low temperatures, the black dotted lines show the results for the
 685 anomalously high temperatures.

686



687
 688 Fig. 6. The temperature anomalies for high (left) and low (right) planetary wave
 689 activity, as measured by the temperature in the winter stratosphere (1-10 hPa, 50°N-
 690 60°N) in January for the control run (first row) and run without GWs in the winter
 691 hemisphere (second row). There are 10 years of data with high temperature
 692 anomalies and 8 with low temperature anomalies in the winter stratosphere for the
 693 control run. For the run without the GWs in the winter hemisphere, there are 7 years
 694 with high temperature anomalies and 5 years with low temperature anomalies. The
 695 dotted areas are regions where the correlation has a p-value < 0.05. The black 150 K-
 696 contour indicates the polar mesopause region.

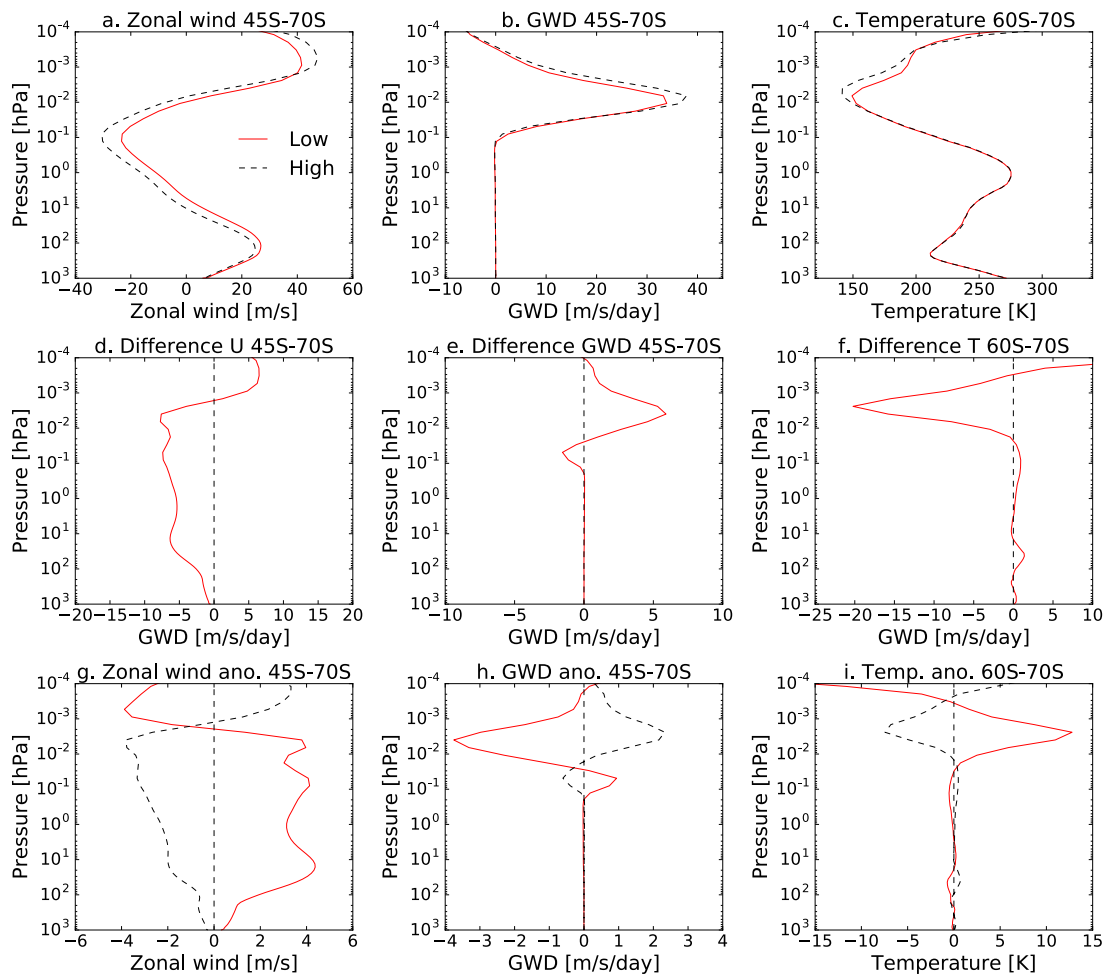
Control case



697

698 Fig. 7. The January zonal wind (left) and the GW drag (middle) between 45°- 70°S
 699 and the temperature (right) between 60°S-70°S for anomalously low and high
 700 temperatures in the winter stratosphere (1-10 hPa, 50°N - 60°N) (first row) and the
 701 differences between them (second row) and their anomalies (third row), for the case
 702 where there are GWs in the winter hemisphere. The red continuous lines show the
 703 results for anomalously low temperatures, the red dotted lines show the results for the
 704 anomalously high temperatures.

Run without GWs in the winter hemisphere



705

706 Fig. 8. The January zonal wind (left) and the GW drag (middle) between 45°- 70°S
 707 and the temperature (right) between 60°S-70°S for anomalously low and high
 708 temperatures in the winter stratosphere (1-10 hPa, 50°N - 60°N) (first row) and the
 709 differences between them (second row) and their anomalies (third row), for the case
 710 where there are no GWs in the winter hemisphere. The red continuous lines show the
 711 results for anomalously low temperatures, the red dotted lines show the results for the
 712 anomalously high temperatures.