Response to reviewer comments: Reviewer #1

The authors thank the reviewer for their comments that improve the quality of the paper. The reviewer comments are shown in italic fonts, the responses are in regular fonts, and revised text in bold fonts.

[The numbers in some of the reviewer's comments are added by the authors to help address the comments more clearly.]

Review of "Decadal change of summertime 1 reactive nitrogen species and surface ozone over the Southeast United States" by Li et al.

This manuscript investigates the ozone and reactive nitrogen changes over the southeastern US (SE) using a high-resolution global model (AM3), applied, apparently, to July and August of 2004 and 2013. They also look to see what a further 40% reduction in NOx emissions would do. (The reason I use "apparently" is that they sometimes use "summer" to say their application period, but do not specify exactly what summer entails: they should make this more clear.) As part of this study, they evaluate the model using both aircraft and ground-based, routine monitors. They find that reactive nitro- gen and ozone have both decreased in the SE, and further decreases are expected in response to a 40% NO_x reduction.

This study is both of interest to the community and, for the most part, well executed, though there are aspects that need to be corrected before it should be accepted for publication in ACP. The strength is the focus on the oxidized nitrogen species and associated chemistry. The weaknesses include an inadequate evaluation for the analysis conducted, a short application period (2 months), a potentially poor choice of years, lack of consideration of condensed phase species in their assessment and evaluation.

Specific Comments

Comment 1

(1) Evaluation of the model is particularly important in such applications where one is trying to explain the reasons behind the observed (both in the model as well as in the ambient) changes, and, further, when using the model to extrapolate to further changes. Currently, the article relies on presenting plots with no quantitative statistical analysis. This needs to be corrected for further consideration of the article. Such an evaluation should be summarized in the main article with details in the supplemental.

(2) Looking at Figure 7, one sees rather considerable differences. How does this relate to other studies? If one is to assess how well the model may be relied upon to provide details of why the model may be capturing observed changes, and to what degree one can rely on the model to simulate future air quality, a more rigorous evaluation is required. One can look at the recent work done at EPA (e.g., [Simon et al., 2012]), or as part of AQMEII (e.g., [Appel et al., 2012;

Dennis et al., 2010] [Solazzo and Galmarini, 2015]) or Environ [Emery et al., 2017] to provide the types of metrics that should be considered.

(3) Along those lines, there are ways to adjust deposition results to account for differences in precipitation rates other than the way they have chosen, and those should be considered. They should use total deposition fields from their modeling with total deposition fields estimated by NADP (<u>http://nadp.sws.uiuc.edu/committees/tdep/tdepmaps/</u>).

Response 1

(1) We now include statistical analysis of ozone and major reactive oxidized nitrogen following this reviewer's suggestion. Results are listed in the following Table 1 and included in the revised supplemental material (Table S4). Discussion of these statistics are also included in the revised manuscript in lines 331-335 as

"Performance statistics of O₃ in the boundary layer listed in Table S4 also indicate positive biases in the model, with the fractional bias (FB) of 9.4 - 17%, fractional error (FE) of 16 - 19%, normalized mean bias (NMB) of 9.4 - 16% and normalized mean error (NME) of 16 - 19%."

and in lines 368-369 as

"Performance metrics in Table S4 also indicate better agreement of the model with observations if hydrolysis of ISOPNB assumed."

(2) We performed similar statistical analysis for surface MDA8 ozone in figure 7. Results are listed in the following table and included in the revised supplemental material (Table S4). Discussion of these statistics are also included in the revised manuscript in lines 580-582 as

"In general, AM3 overestimates surface MDA8 ozone in both years by about 16 ppb on average, with the NMB of 33 - 45 % and NME of 35 - 46 % respectively."

(3) We agree with the reviewer that there are other ways to account for the bias in modeled precipitation rates. However, these are beyond the scope of this manuscript. We think the direct way to minimize the bias of precipitation in the model is to compare the observed monthly average concentration of NO_3^- , with model estimates using modeled wet deposition flux of NO_3^- divided by the observed precipitation. For a better evaluation of model's performances, we performed statistical analysis of the wet deposition of NO_3^- . The text has been revised in lines 404-406 as:

"This reduction in monthly averaged NO_3^- wet deposition flux is well captured by our model (-29 %), despite a low relative bias of 40 % in both years and NMB of - 39 – - 43 % (Table S4)."

Total deposition fields use wet deposition measurements from the NADP NTN (used in our model evaluation) and dry deposition combined of model estimates and ambient air monitoring data. The data might introduce biases from the model. Therefore, we didn't use the total deposition estimates.

	2004								2013								
Tracers ^b	base				no_hydro				base				no_hydro				
	FB	FE	NMB	NME	FB	FE	NMB	NME	FB	FE	NMB	NME	FB	FE	NMB	NME	
Ozone	9.4×10 ⁻²	0.16	9.4×10 ⁻²	0.16	0.11	0.16	0.11	0.16	0.17	0.19	0.16	0.19	0.17	0.20	0.16	0.20	
NOx	0.19	0.55	8.2×10 ⁻²	0.59	0.25	0.56	0.14	0.61	-3.6×10 ⁻²	0.42	-5.3×10 ⁻²	0.44	-1.3×10 ⁻ 2	0.43	-3.2×10 ⁻ 2	0.45	
HNO3 ^c	-1.4 ×10 ⁻² (7.4×10 ⁻²)	0.32 (0.41)	5.1×10 ⁻³ (2.6×10 ⁻²)	0.32 (0.41)	-4.8×10 ⁻² (3.5×10 ⁻²)	0.33 (0.39)	-2.2×10 ⁻² (6.0×10 ⁻³)	0.32 (0.38)	0.15	0.45	5.4×10 ⁻⁴	0.41	0.015	0.50	-0.11	0.44	
PAN	0.25	0.49	0.17	0.42	0.31	0.49	0.23	0.43	5.4×10 ⁻²	0.36	5.6×10 ⁻²	0.35	5.2×10 ⁻²	0.38	6.2×10 ⁻²	0.37	
∑ANs ^d	-0.19	0.37	8.9×10 ⁻²	0.65	0.16	0.58	0.29	0.75	-5.9×10 ⁻²	0.57	-0.16	0.45	0.29	0.79	-7.3×10 ⁻ 3	0.46	
NOy ^c	6.4 ×10 ⁻² (6.5×10 ⁻²)	0.33 (0.40)	6.3×10 ⁻² (3.8×10 ⁻²)	0.32 (0.36)	0.11 (0.12)	0.34 (0.39)	0.11 (0.10)	0.34 (0.38)	-5.7×10 ⁻³	0.27	-4.2×10 ⁻²	0.27	-2.9×10 ⁻ 2	0.29	-6.0×10 ⁻ 2	0.28	
Wet depositi on of <i>NO</i> ⁻ ₃	-0.40	0.50	-0.39	0.46			_		-0.51	0.56	-0.43	0.45					

Table 1. Statistical analysis of ozone and major RON species from the base case and no_hydro case^a.

	MDA8 ozone	0.30	0.32	0.33	0.35	—				0.39	0.40	0.45	0.46	 	 	
-	^a Description of the two cases can be found in Table 2. $\text{FB} = \frac{2}{N} \sum_{i=1}^{N} (P_i - O_i) / (P_i + O_i)$, $\text{FE} = \frac{2}{N} \sum_{i=1}^{N} P_i - O_i / (P_i + O_i)$,															
	$\text{NMB} = \sum_{i=1}^{N} (P_i - O_i) / \sum_{i=1}^{N} O_i, \text{MNE} = \frac{1}{N} \sum_{i=1}^{N} P_i - O_i / \sum_{i=1}^{N} O_i, \text{ where } P_i, O_i, \text{ and } N \text{ are modeled and observed data and } N \text{ is number of valid}$															
		data.														

^b For ozone, NO_x, HNO₃, PAN, Σ ANs and NO_y, observations are from ICARTT, SENEX and SEAC⁴RS within the boundary layer (< 1.5 km); For wet deposition of *NO*₃⁻, observations are from NADP; For MDA8 ozone, observations are from EPA AQS data during July-August of 2004 and 2013 at monitoring stations in Figure S3.

^c Statistical results of HNO₃ and NO_y in 2004 (ICARTT) outside of and within the brackets used observed HNO₃ measured by mist chamber/IC by University of New Hampshire and Chemical Ionization Mass Spectrometer (CIMS) by California Institute of Technology, respectively.

^d Statistical results of Σ ANs in 2013 used observations during SEAC⁴RS.

Comment 2

I was a bit surprised that they focus on just two months (July and August) for their analysis (and that this was not more clearly stated, if that is, indeed, the case). This, along with focusing on just one historical and one semi-current year, makes the results very sensitive to the choice of time period. Along those lines, the summer of 2013 was cold and wet in the Southeast, and the meteorological adjustment determined by EPA was relatively large (in the Southeast data available at <u>https://www.epa.gov/airtrends/</u>trends-ozone-adjusted-weather-conditions). This was also the case for 2004, but the concern here is the timing as the adjustments are for the season, while the modeling conducted is just two months. More analysis is needed to tell how much impact is just from the meteorology of these two years specific to the two months.

Response 2

We choose July-August for analysis is mainly because our model is evaluated in this time window by three aircraft campaigns. We make this clear in the revised manuscript in lines 300-302 as

"We choose July – August as our 'summer' since this is the common period of all the measurements used in model evaluation."

We agree with the reviewer that meteorology plays an important role in ozone formation. Such impact has been discussed in the original manuscript in lines 642 - 657. Specifically, the observed changes of temperature and RH between summer of 2004 and 2013 are marginal, same with model estimates. Moreover, Camalier et al. (2007) showed that summertime surface ozone over SEUS was more impacted by RH than temperature. The relative change of RH from our model (less than 1%) and observations (+ 2.7%) are very small. Therefore, influence of meteorology on decadal changes of ozone is expected to be very small. We have emphasized this in the revised manuscript in lines 608-620 as:

"Our model shows marginal differences in RH (less than 1 %) and temperature (+ 2.4 K) within the PBL over the Southeast U.S. between the summers of 2004 and 2013, consistent with observed changes of RH (+ 2.7 %) and temperature (+ 2.6 K) during ICARTT and SENEX. This small variation in the model is also consistent with climatology data (Hidy et al., 2014). Camalier et al. (2007) showed that RH has a much bigger impact on summertime ozone than temperature over the Southeast U.S., suggesting little influence of meteorology on ozone trend. Using the same model but with the standard AM3 chemical mechanism, Lin et al. (2017) found that meteorology changes would have caused high surface ozone over the eastern U.S. to increase by 0.2 - 0.4 ppb yr⁻¹ in the absence of emission controls from 1988 to 2014. Therefore, we conclude that the impact of climate variability and change on O₃ is relatively small compared to NO_x emission reductions over the Southeast U.S., consistent with previous studies (Lam et al., 2011; Hidy et al., 2014; Lin et al., 2017; Rieder et al., 2015)."

Comment 3

Such an analysis, particularly when considering reactive nitrogen species, should provide additional focus on aerosol nitrate, including in the regional model evaluation. When they use the term "reactive nitrogen" are they including ammonia and ammonium? If not, they should add "oxidized".

Response 3

We did include aerosol organic nitrate in Σ ANs and stated in several places (i.e. lines 287-288, lines 308-309, and lines 340-341) in the original manuscript. There are high uncertainties in the kinetics of organic nitrate aerosol formation such as the hydrolysis rate of different organic nitrates. Evaluation of this mechanism is beyond the scope of our work. For model evaluation of inorganic nitrate aerosols, unfortunately, this cannot be accomplished since their formation is not included in the current version of AM3. Moreover, Ng et al. (2017) has reviewed the global distribution of particulate nitrates, finding that the major component over the Southeast U.S. is organic nitrates. Therefore, inorganic nitrate aerosols were ignored in this work. We didn't include ammonia or ammonium in our analysis either. For clarification, we have revised the terminology 'reactive nitrogen species' to "**reactive oxidized nitrogen**" in all the places mentioned in the manuscript. We also add following sentences in lines 319-322:

"We do not consider inorganic nitrates in particle phase in this analysis, due to lack of thermodynamic model for inorganic aerosols in current version of AM3. This simplification is expected to have minimal effects, as they only account for a small fraction of aerosol nitrates in the Southeast U.S. (Ng et al., 2017)."

Comment 4

There is a logical mismatch in the current paper. They state that there is a linear relationship between ozone and NOx emissions (line 627). This indicates a constant OPE. However, they also state that there is a transition from low to high OPE (line 633), though, admittedly, they do not say that after transitioning to a high OPE, it does not become constant. However, the discussion of OPE suffers from their not actually calculating an OPE. I might suggest removing much, if not all, of the OPE discussion unless they can bolster it further. If they do not remove this section, line 639: stating that OPE has increased very little and had little impact on net ozone production needs more definitive evidence.

Response 4

We have removed this discussion as the reviewer suggested.

Comment 5

I might suggest they integrate some of their findings with those in Blanchard et al., "ACP (2016) "Effects of emission reductions on organic aerosol in the southeastern United States". While this article is focused on organic aerosol, it relates to NO_x controls in the SE.

Response 5

We add discussion in lines 534-536 as:

"As an important source of organic aerosols (OA), Σ ANs may contribute to the decrease of OA over the Southeast U.S. in the past decade (Blanchard et al., 2016)."

Comment 6

Line 66: EPA still targets VOC emissions. (Look at the reductions in mobile VOCs over the period of interest!). Over the 2004 to 2013 period, how much of the ozone reduction is due to NO_x vs. VOC controls? Do mobile emission reductions have a big impact in the rural areas under investigation here?

Response 6

We find that modeled ozone in summer in the Southeast U.S. is insensitive to VOC emissions from mobile sources, because VOCs in the Southeast U.S. is predominantly of biogenic origin.

Comment 7

There should be more discussion about the potential reasons for model bias following the work by Travis et al., (2016), and how this paper fits into that discussion.

Response 7

We now add in lines 386 – 395:

"Given the good agreement between observed and modeled RON in both 2004 and 2013, we find that the ozone bias, shown in Figure 1, cannot be completely explained by an overestimate of anthropogenic NO_x emissions. A recent GEOS-Chem study (Travis et al., 2016) shows that the ozone bias in their model can be largely reduced by scaling down anthropogenic NO_x emissions. We find that a similar reduction of anthropogenic NO_x emissions in 2013, from 0.25 Tg N mon⁻¹ to 0.15 Tg N mon⁻¹, would lead to an underestimate of NO_y, HNO₃ and PAN by 30 %, 33 % and 30 %, respectively. Such a reduction would be also inconsistent with the relative changes in EPA estimates of NO_x emissions shown above. Indeed, other processes, such as ozone dry deposition, may also contribute to this bias and warrant further investigation."

Comment 8

(1) Abstract: The final sentence states that 'further reductions of NOx emissions will lead to...less frequent extreme ozone events', however, the paper does not address extreme ozone events, just averages. This should be removed.

(2) Some reorganization of the paper could help improve its interpretation. A few suggestions: 1. The operational evaluation of the model and discussion of trends over time overlap (e.g., lines 343-363 and 488-499 discuss changes over time). I recommend splitting the evaluation section into 'operational' and 'dynamic' subsections (see Dennis et al. 2010 for an example). The dynamic

evaluation section can address observed/modeled changes as related to emissions reductions, but the bulk of the discussion on this point should be reserved for its own section (currently section 5). (3) 2. Define metrics used for comparison. 'Bias' is used here in both absolute (e.g., line 352) and relative (e.g., line 401) In the paragraph from lines 488-499, for example, the authors combine discussion of operational and dynamic evaluation, observed changes in response to emissions, and comparisons with previous modeling efforts.

Response 8

(1) We have revised 'extreme' ozone events to 'high' ozone events in all the places mentioned in the manuscript.

(2) We thank the reviewer for introducing these two evaluation types. Since our work starts with model evaluation in both 2004 and 2013 for aircraft and surface datasets, operational and dynamic evaluation are closely coupled in section 4. We find it difficult to split into operational and dynamic evaluations.

(3) We have revised 'bias' all mentioned in the manuscript to 'absolute bias' or 'relative bias' correspondingly, if needed.

Comment 9

Lines 567-575: *why does the response of NO_y concentration change from linear (from 2004-2013) to nonlinear with further emissions reductions?*

Response 9

We now clarify this in lines 539-540 of the revised manuscript as:

"The slower decrease of NO_y is likely due to ΣANs , which decrease at a slower rate and becomes a larger fraction of NO_y ."

Comment 10

Change all mentions of 'future' 40% reduction in NO_x emissions to 'hypothetical' reduction (e.g., line 661). This analysis was performed partly to investigate the hypothesis that NOx emissions are overestimated, and there's no proof that the future will bring continued reductions. Also, I believe this model run was performed with 2013 meteorology, but this should be made clear.

Response 10

We have replaced all the 'future' 40% reduction in NO_x emissions to 'hypothetical' reduction in the revised text. We also include the following sentence in lines 520-522:

"We also investigate the impacts of further decreases in NO_x emissions by applying a hypothetical 40 % reduction of anthropogenic NO_x emissions of 2013 but keeping other emissions and meteorology the same ("hypo" case in Table 2)."

A table with descriptions of all the cases performed is added in the revised manuscript (shown below).

Case name	Heterogeneous Loss of organic nitrates	NO _x emissions	Meteorology
base	ISOPNB with a γ of 0.005 and followed by a hydrolysis rate of 9.26×10^{-5} s ⁻¹	2004 and 2013	2004 and 2013
no_hydro		2004 and 2013	2004 and 2013
hydro_full	ISOPNB and DHDN with a γ of 0.005 and followed by a hydrolysis rate of $9.26 \times 10^{-5} \text{ s}^{-1}$; TERPN1 with a γ of 0.01 and followed by a hydrolysis rate of $9.26 \times 10^{-5} \text{ s}^{-1}$	2004 and 2013	2004 and 2013
hypo	Same with the base case	40 % reduction of NO _x emissions of 2013	2013

Comment 11

In the discussion or Data sections, add some mention of reliability/consistency of measurements as a basis for model evaluation across the decade

Response 11

We have added a few sentences about the reliability of measurements in lines 120-124:

"These data have been widely used to evaluate model estimates of RON and ozone (Singh et al., 2007; Pierce et al., 2007; Pierring et al., 2009; Fischer et al., 2014; Hudman et al., 2007; Henderson et al., 2011; Hudman et al., 2009; Edwards et al., 2017; Baker and Woody, 2017; Travis et al., 2016; Mao et al., 2013; Fisher et al., 2016; Yu et al., 2016; Liu et al., 2016)."

Comment 12 *Line 715: Change upto to 'up to'*

Response 12

All the typos have been corrected.

References:

Appel, K. W., S. Roselle, G. Pouliot, B. Eder, T. Pierce, R. Mathur, K. Schere, S. Galmarini, and S. T. Rao (2012), Performance Summary of the 2006 Community Multiscale Air Quality (CMAQ) Simulation for the AQMEII Project: North American Application, in Air Pollution Modeling and Its Application XXI, edited by D. G. Steyn and S. T. Castelli, pp. 505-511, doi:10.1007/978-94-007-1359-8_84.

Camalier, L., Cox, W., and Dolwick, P.: The effects of meteorology on ozone in urban areas and their use in assessing ozone trends, Atmos. Environ., 41, 33, 7127-7137, 2007.

Dennis, R., et al. (2010), A framework for evaluating regional-scale numerical photochemical modeling systems, Environ. Fluid Mech., 10(4), 471-489, doi:10.1007/s10652-009-9163-2.

Emery, C., Z. Liu, A. G. Russell, M. T. Odman, G. Yarwood, and N. Kumar (2017), Recommendations on statistics and benchmarks to assess photochemical model performance, J. Air Waste Manage. Assoc., 67(5), 582-598, doi:10.1080/10962247.2016.1265027.

Ng, N. L., Brown, S. S., Archibald, A. T., Atlas, E., Cohen, R. C., Crowley, J. N., Day, D. A., Donahue, N. M., Fry, J. L., Fuchs, H., Griffin, R. J., Guzman, M. I., Herrmann, H., Hodzic, A., Iinuma, Y., Jimenez, J. L., Kiendler-Scharr, A., Lee, B. H., Luecken, D. J., Mao, J., McLaren, R., Mutzel, A., Osthoff, H. D., Ouyang, B., Picquet-Varrault, B., Platt, U., Pye, H. O. T., Rudich, Y., Schwantes, R. H., Shiraiwa, M., Stutz, J., Thornton, J. A., Tilgner, A., Williams, B. J., and Zaveri, R. A.: Nitrate radicals and biogenic volatile organic compounds: oxidation, mechanisms, and organic aerosol, Atmos. Chem. Phys., 17, 3, 2103-2162, 2017.

Simon, H., K. R. Baker, and S. Phillips (2012), Compilation and interpretation of photochemical model performance statistics published between 2006 and 2012, Atmos. Environ., 61, 124-139, doi:10.1016/j.atmosenv.2012.07.012.

Solazzo, E., and S. Galmarini (2015), Comparing apples with apples: Using spatially distributed time series of monitoring data for model evaluation, Atmos. Environ., 112, 234-245, doi:10.1016/j.atmosenv.2015.04.037.

Response to reviewer comments: Reviewer #2

The authors thank the reviewer for their comments that improve the quality of the paper. The reviewer comments are shown in italic fonts, the responses are in regular fonts, and revised text in bold fonts.

[The numbers in some of the reviewer's comments are added by the authors to help address the comments more clearly.]

Title: Decadal change of summertime reactive nitrogen species and surface ozone over the Southeast United States Authors: Jingyi Li et al.

Summary: This article examines observations and modeling for two years with extensive field campaigns and examines decadal changes between the years. The article uses a somewhat coarse resolution (when applied to a region) and evaluates NO_y species. The evaluation is mostly qualitative and the explanations for mean biases (the quantitative metric) are somewhat speculative. Overall the manuscript provides interesting insights into the decadal changes despite using short snapshots no inter-annual variation.

Response Overview: The manuscript provides interesting insights in reactive nitrogen speciation and trends. There are several places that need clarification or further discussion. There is at least one methodological issue (time step) that has been show to affect speciation and is not discussed.

Specific Comments

Overall:

Comment 1

* The article uses "as shown below." and the like frequently. I recommend being more specific so that the reader can connect subsequent discussion.

Response 1

We have clarified the phrase 'as shown below' all mentioned in the manuscript.

Comment 2

* The nomenclature for the 40% hypothetical reduction from 2013 is confusing, particularly because the 40% number is also relevant for the 2004-2013 change.

Response 2

The reason we reduced NO_x by 40% of 2013 as a hypothetical scenario in future (in a decade) is based on the change rate of NO_x from 2004 to 2013.

Comment 3

* I found the introduction and subsequent discussion of AM3h confusing. Consider separating paragraphs at 248 and more clearly lay out the paragraph.

Response 3

The text has been revised in lines 257-261 as:

"Besides the base case that only includes ISOPNB for heterogeneous loss (Jacobs et al., 2014), we include two additional sensitivity tests to evaluate the potential impact of organic nitrate hydrolysis. One is "hydro_full" case including heterogeneous loss of a C5 dihydroxy dinitrate (DHDN) and monoterpene nitrates from OH oxidation (TERPN1), and the other one is "no_hydro" case assuming no heterogeneous loss for any organic nitrates."

Comment 4

* DAM3 introduced in Figure 4 is an unclear nomenclature. If I understand it correctly, DAM3 is replaying the Y-axis with a subset of ANs. It is not a separate model. Why prepend the D to DAM3 and DObs?

Response 4

Figure 4 has been revised as following:

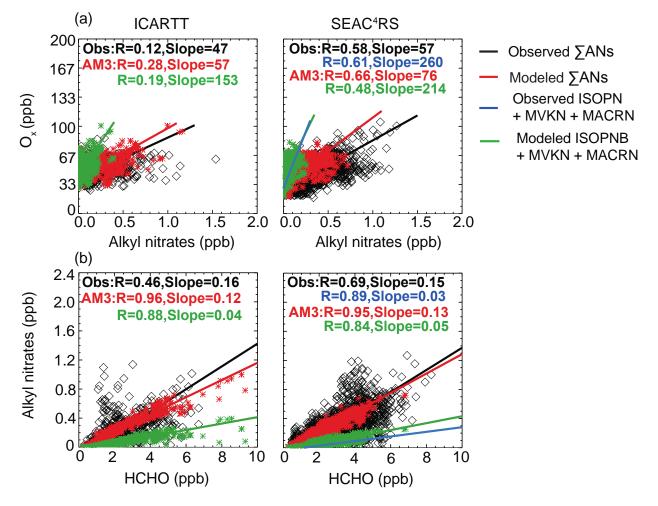


Figure 1. Figure 4 in the manuscript.

Comment 5

* Emissions are reported for the CONUS and average annual rates (1/mo), but spatial allocation and temporal allocation may be important to understand how they affect the region/time being reported.

Response 5

We show in the following Figure 2 (Figure S1 in the original supplement) that NO_x emissions in our model exhibit a similar spatial pattern to the one in NEI11v1 inventory. We apply a diurnal variation to anthropogenic NO_x emissions in the model. This has been clarified in the revised text in lines 180-181:

"We also apply a diurnal variation to anthropogenic NO_x emissions following Mao et al. (2013b)."

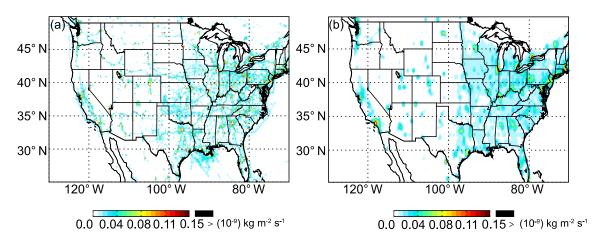


Figure 2. Anthropogenic NO_x emission rate during July-August 2013 of (a) NEI11v1 inventory and (b) RCP8.5.

Comment 6

* The "discrepancy" introduced on lines 413-415 and explained in Figure S5 seems like a major point. Consider moving Figure S5 into the main text. Even if the figure stays in the supplement, describe the "discrepancy" and make a citation to clarify.

Response 6

Our focus is the comparison of major RON species and the total (NO_y). As \sum ANs only accounts for a small fraction of NO_y and there are high uncertainties in RONO₂ chemistry, we intend to show the figure in supplement and explain the 'discrepancy' in the caption of the figure as:

"The discrepancy between Σ ANs and sum of ISOPN, MVKN and MACRN is attributed to monoterpene nitrates and a C5 dihydroxy dinitrate (DHDN) and nighttime NO₃ oxidation products from isoprene."

Comment 7

* The OPE discussion covers a long time-range (1993 to near present day), and ultimately is suggested to be "small and to have little impact". Consider moving this discussion to the conclusions and tightening the language.

Response 7

We have removed the OPE discussion in the text following Reviewer #1's suggestion (Comment 4) to avoid confusion.

Comment 8

* The balance between NO_x and products has been shown to be sensitive to computational time step at the surface[1]. How has this been treated in AM3?

Response 8

We agree with the reviewer on the impact of computational time on NO_y speciation. The current computational time step is 20 minutes. With this setting, our model can well reproduce the vertical profiles of all the major reactive oxidized nitrogen. We have emphasized this in lines 155-158 of the revised manuscript as

"The current time step for chemistry and transport in our model is 20 mins. We show below in section 4.1 that, with the current setting, our model can well reproduce the vertical profiles of RON. Sensitivity of RON to operator duration should refer to Philip et al. (2016)."

Line by line:

Comment 9

140 - I did not see transport/chemistry time steps. Time steps have been shown to affect chemical partitioning[1], and likely export form. Please report this information and consider the implications on the export speciation outcome.

Response 9

Please refer to Response 8.

Comment 10

359 - I do not think you show how reactive nitrogen oxides would change with a 53% reduction. see below... where?

Response 10

This has been explained as "We find that if we reduce anthropogenic NO_x emission in our model by another 40 %, from 0.25 Tg N mon⁻¹ to 0.15 Tg N mon⁻¹ as suggested by Travis et al. (2016), simulated NO_y , HNO₃ and PAN decrease by 30 %, 33 % and 30 % respectively, leading to a noticeable underestimate for these nitrogen reservoirs." in lines 393-397 of the original manuscript. This sentence has been moved and revised in lines 390-392 of the current version of manuscript as:

"We find that a similar reduction of anthropogenic NO_x emissions in 2013, from 0.25 Tg N mon⁻¹ to 0.15 Tg N mon⁻¹, would lead to an underestimate of NO_y , HNO₃ and PAN by 30 %, 33 % and 30 %, respectively."

Comment 11

387 - If lightning N had "likely ... insufficient production", why is it also too uncertain to change? Is there a similar reference for 2013?

Response 11

High uncertainties associated with the production rate of NO_x by lightning is partially due to lightning NO_x production per flash, altitude of lightning NO_x in models and impacts of temperature. Therefore, it is difficult to adjust lightning NO_x in our model. We have revised the text in lines 362-365 as:

"This underestimate can be improved by scaling up lightning emission by a factor of 5-10 (Fang et al., 2010). We do not adjust the lightning NO_x emissions in this work due to its high uncertainty (Ott et al., 2010; Pickering et al., 1998)."

Comment 12

402 - The low bias may be good for SEAC⁴S, but it would also be bad for ICARTT. This sentence reads as though there is a tidy explanation.

Response 12 We have modified the text in lines 371-373 as:

"This low bias can be partially due to neglecting small alkyl nitrates, which could contribute 20 - 30 ppt to Σ ANs (less than 10% near the surface) during SEAC⁴RS (Fisher et al., 2016). Including small alkyl nitrates will increase modeled Σ ANs a bit in ICARTT as well."

Comment 13

446 - The discussion of implemented chemistry seems to belong in section 2.

Response 13

We have shortened the discussion in Section 2 as the reviewer suggested, particularly on heterogeneous chemistry.

Comment 14

459 - *R5* is not the exclusive fate of NO2. This should be more clear and consistent in the narrative. Perhaps using Ox would simplify and correct the narrative.

Response 14

We have explained O_x to connect R5 to the narrative in lines 432-433 as

"We show that the model can roughly reproduce the correlation of O_x (= $O_3 + NO_2$) vs. ΣANs during both ICARTT and SEAC⁴RS (Figure 4),"

Comment 15

508,510 - Not clear here if you are referencing simulated or observed abundances.

Response 15

This is simulated abundances. We have stated in line 508-509 of the original manuscript as "Figure 5 shows the mean vertical profiles of modeled monoterpene nitrates (MNs) and isoprene nitrates (INs) during ICARTT and SEAC⁴RS."

Comment 16

542 - "prompt production" is often used as a technical term in chemical mechanisms to mean implemented without the intermediate, perhaps rate limiting, reaction. If this is implemented as "prompt production", then it seems inappropriate to say that you "In our model, we see prompt production." please clarify.

Response 16

The text in lines 505-506 has been revised as:

"In our model, we see a rapid increase of PROPNN after sunrise in the boundary layer (Figure S7), ..."

Comment 17

562 - This sentence and what precisely it references is unclear. I'm assuming 561,562 is observations. The differences from the model are calculable from Figure 6, but not immediately available. Clarify and or add numbers to the text.

Response 17

This sentence has been explained as "**Based on model estimates in Figure 6, most RON are reduced proportionally ...**" in lines 530-531 of the revised manuscript.

Comment 18

702,705 and elsewhere - The Pollack study is compared to this study several times. The differences. I am not sure why this is important to the conclusions.

Response 18

The trend of RON, specifically PAN, is not only dependent on changes of NO_x but also on that of VOC precursors. Pollack study exhibited a different conclusion from our study on PAN trend, likely due to different VOC precursors in the two studied regions.

Reference:

[1] Philip, S., Martin, R. V., and Keller, C. A.: Sensitivity of chemistry-transport model simulations to the duration of chemical and transport operators: a case study with GEOS-Chem v10-01, Geosci. Model Dev., 9, 5, 1683-1695, 2016.

[2] Mao, J., Paulot, F., Jacob, D. J., Cohen, R. C., Crounse, J. D., Wennberg, P. O., Keller, C. A., Hudman, R. C., Barkley, M. P., and Horowitz, L. W.: Ozone and organic nitrates over the eastern United States: Sensitivity to isoprene chemistry, J. Geophys. Res., 118, 19, 11,256-211,268, 2013.

Response to reviewer comments: Reviewer #3

The authors thank the reviewer for their comments that improve the quality of the paper. The reviewer comments are shown in italic fonts, the responses are in regular fonts, and revised text in bold fonts.

[The numbers in some of the reviewer's comments are added by the authors to help address the comments more clearly.]

General comments:

This manuscript examines "decadal changes in summertime reactive nitrogen species and ozone over the Southeast U.S.", and finds they "decline proportionally with decreasing NO_x emissions in this region" and concludes that "this linear response is in part due to the nearly constant summertime supply of biogenic VOC emissions in this region". There are several concerns with the overall quality of the current manuscript.

Comment 1

In the manuscript, some critical definition/terminology used are not accurate or ambiguous. For example, (1) NO_y refers to reactive oxidized nitrogen not reactive nitrogen, the latter includes NH₃.

(2) It seems summertime is defined in the manuscript as July-August, but the three aircraft measuring campaigns, whose observations were extensively used to evaluate the modeling results and derive the changes in observed concentrations, were conducted at, respectively, July-August, 2004, June-July, 2013, and August-September, 2013. For regulatory purpose, surface ozone is studied for a period in a year defined as ozone season, which is usually defined as April-October in the Southeast of United States.

Response 1

The authors thank the reviewer for this important comment. We have read the manuscript thoroughly and improved any ambiguous definition/terminology that might cause confusion. Specifically, for the two examples the reviewer mentioned:

(1) We didn't include ammonium or ammonia in the analysis. We have revised the terminology 'reactive nitrogen species' to '**reactive oxidized nitrogen**' in all the places mentioned in the manuscript.

(2) We defined summertime as July-August since this is the common period covered in the three aircraft campaigns used for model evaluation. The analysis of decadal change of ozone and reactive oxidized nitrogen were based on the evaluated model results. We make this clear in the revised manuscript as "We choose July – August as our 'summer' since this is the common period of all the measurements used in model evaluation." in lines 300-302.

Comment 2

The decadal changes in both observation and simulations are not elucidated by using a welldesigned comparison method. Reduction in NO_x emissions are one of the major reasons that can cause the resulting reduced surface ozone and NO_y concentrations, but it is not the only one. The method used in the manuscript is not convincing by removing other impacting factors such as meteorology and emissions reduction on other pollutants, which confounds the conclusions this manuscript makes. For example, (1) the aircraft measurements were collected at different locations and different days/months, how exactly such measurements can reveal the real changes of NO_y between the two years a decade apart. (2) The model simulations were conducted for the same months for 2004 and 2013, a decade apart, but in what quantity are the impacts on species concentrations resulting from the differences in meteorology between the two years?

Response 2

(1) The authors thank the reviewer for this excellent comment. Our strategy is that if our model can well reproduce vertical profiles of RON and related species from aircraft measurements, we assume model is representative of this chemical environment and then use the model to derive the real changes between the two years a decade apart. We now add in the text (lines 517-520):

"As RON and related species from aircraft and surface measurements are well reproduced in our model for both 2004 and 2013, we assume that the model is representative of this chemical environment, and then use the model to derive monthly mean changes between 2004 and 2013."

(2) We discussed about meteorology impacts on ozone changes during 2004-2013 in lines 642-657 of the original manuscript. In short, the changes of temperature and relative humidity in summers of the two years over SEUS are small according to our model, consistent with climatology data reported by Hidy et al. (2014). Decreases of ozone is mostly attributed to NO_x emission reduction other than meteorology changes. The text has been revised in lines 608-620 as:

"Our model shows marginal differences in RH (less than 1 %) and temperature (+ 2.4 K) within the PBL over the Southeast U.S. between the summers of 2004 and 2013, consistent with observed changes of RH (+ 2.7 %) and temperature (+ 2.6 K) during ICARTT and SENEX. This small variation in the model is also consistent with climatology data (Hidy et al., 2014). Camalier et al. (2007) showed that RH has a much bigger impact on summertime ozone than temperature over the Southeast U.S., suggesting little influence of meteorology on ozone trend. Using the same model but with the standard AM3 chemical mechanism, Lin et al. (2017) found that meteorology changes would have caused high surface ozone over the eastern U.S. to increase by 0.2 - 0.4 ppb yr⁻¹ in the absence of emission controls from 1988 to 2014. Therefore, we conclude that the impact of climate variability and change on O3 is relatively small compared to NO_x emission reductions over the Southeast U.S., consistent with previous studies (Lam et al., 2011; Hidy et al., 2014; Lin et al., 2017; Rieder et al., 2015)."

Comment 3

There is no quantitative evaluation results presented for the model simulation on surface ozone. But according to the description from the manuscript: "AM3 overestimates surface MDA8 ozone in both years by about 16ppb on average", and "MDA8 ozone averaged ... is observed to decrease by 11 ppb (23% of observed mean MDA8 ozone in July-August of 2004)", one can deduce that the overestimation of surface MDA8 ozone in July-August of 2004 and 2013 are roughly 33% and 43%, respectively. Note that the USEPA recommends a better than 30% of mean normalized error for surface ozone performance for regulatory modeling. With worse than the EPA recommended performance, the modeling results from this study are not that meaningful for surface ozone regulation purposes.

Response 3

We have added statistical analysis of RON and surface ozone in Table 1 shown below (Table S4 in the revised supplement). We now add (lines 681-683):

"Care should be exercised in applying the modeling results for surface ozone regulation purposes, given the high ozone bias shown in our model".

	2004								2013								
Tracers ^b	base				no_hydro				base				no_hydro				
	FB	FE	NMB	NME	FB	FE	NMB	NME	FB	FE	NMB	NME	FB	FE	NMB	NME	
Ozone	9.4×10 ⁻²	0.16	9.4×10 ⁻²	0.16	0.11	0.16	0.11	0.16	0.17	0.19	0.16	0.19	0.17	0.20	0.16	0.20	
NOx	0.19	0.55	8.2×10 ⁻²	0.59	0.25	0.56	0.14	0.61	-3.6×10 ⁻²	0.42	-5.3×10 ⁻²	0.44	-1.3×10 ⁻ 2	0.43	-3.2×10 ⁻ 2	0.45	
HNO3 ^c	-1.4 ×10 ⁻² (7.4×10 ⁻²)	0.32 (0.41)	5.1×10 ⁻³ (2.6×10 ⁻²)	0.32 (0.41)	-4.8×10 ⁻² (3.5×10 ⁻²)	0.33 (0.39)	-2.2×10 ⁻² (6.0×10 ⁻³)	0.32 (0.38)	0.15	0.45	5.4×10 ⁻⁴	0.41	0.015	0.50	-0.11	0.44	
PAN	0.25	0.49	0.17	0.42	0.31	0.49	0.23	0.43	5.4×10 ⁻²	0.36	5.6×10 ⁻²	0.35	5.2×10 ⁻²	0.38	6.2×10 ⁻²	0.37	
∑ANs ^d	-0.19	0.37	8.9×10 ⁻²	0.65	0.16	0.58	0.29	0.75	-5.9×10 ⁻²	0.57	-0.16	0.45	0.29	0.79	-7.3×10 ⁻ 3	0.46	
NOy ^c	6.4 ×10 ⁻² (6.5×10 ⁻²)	0.33 (0.40)	6.3×10 ⁻² (3.8×10 ⁻²)	0.32 (0.36)	0.11 (0.12)	0.34 (0.39)	0.11 (0.10)	0.34 (0.38)	-5.7×10 ⁻³	0.27	-4.2×10 ⁻²	0.27	-2.9×10 ⁻ 2	0.29	-6.0×10 ⁻ 2	0.28	
Wet depositi on of <i>NO</i> ₃ ⁻	-0.40	0.50	-0.39	0.46					-0.51	0.56	-0.43	0.45					

Table 1. Statistical analysis of ozone and major RON species from the base case and no_hydro case^a.

	MDA8 ozone	0.30	0.32	0.33	0.35	—			_	0.39	0.40	0.45	0.46	 		
-	^a Description of the two cases can be found in Table 2. $FB = \frac{2}{N} \sum_{i=1}^{N} (P_i - O_i) / (P_i + O_i)$, $FE = \frac{2}{N} \sum_{i=1}^{N} P_i - O_i / (P_i + O_i)$,															
	$\text{NMB} = \sum_{i=1}^{N} (P_i - O_i) / \sum_{i=1}^{N} O_i, \text{MNE} = \frac{1}{N} \sum_{i=1}^{N} P_i - O_i / \sum_{i=1}^{N} O_i, \text{ where } P_i, O_i, \text{ and } N \text{ are modeled and observed data and } N \text{ is number of valid}$															
		data.														

^b For ozone, NO_x, HNO₃, PAN, Σ ANs and NO_y, observations are from ICARTT, SENEX and SEAC⁴RS within the boundary layer (< 1.5 km); For wet deposition of NO_3^- , observations are from NADP; For MDA8 ozone, observations are from EPA AQS data during July-August of 2004 and 2013 at monitoring stations in Figure S3.

^c Statistical results of HNO₃ and NO_y in 2004 (ICARTT) outside of and within the brackets used observed HNO₃ measured by mist chamber/IC by University of New Hampshire and Chemical Ionization Mass Spectrometer (CIMS) by California Institute of Technology, respectively.

^d Statistical results of Σ ANs in 2013 used observations during SEAC⁴RS.

Comment 4

The organization and presentation of the manuscript cause a lot of confusions. (1) The authors constantly blends trends found in observations and trends found in simulations next to each other without distinguishing them clearly. (2) The purpose of the aircraft measurements and the surface observation, and the purpose of the simulations are not clearly presented. (3) A lot of qualitative statements, only supported with citations of ambiguous supporting meanings.

Response 4

For (1) and (3), we have revised the text carefully to improve understanding of the manuscript. For (2), we now add (lines 124-128):

"Together with measurements from networks, including the National Atmospheric Deposition Program (NADP) and EPA Air Quality System (AQS), these datasets enable a close examination of responses of RON and surface ozone to NO_x emissions reduction in this region."

We have added descriptions of each simulation in Table 2 shown below (Table 2 in the revised manuscript), and in the text in lines 257-261 as:

"Besides the base case that only includes ISOPNB for heterogeneous loss (Jacobs et al., 2014), we include two additional sensitivity tests to evaluate the potential impact of organic nitrate hydrolcase including heterogeneous loss of a C₅ dihydroxy dinitrate (DHDN) and monoterpene nitrates from OH oxidation (TERPN1), and the other one is "no_hydro" case assuming no heterogeneous loss for any organic nitrates."

and in lines 520-522 as:

"We also investigate the impacts of further decreases in NO_x emissions by applying a hypothetical 40 % reduction of anthropogenic NO_x emissions of 2013 but keeping other emissions and meteorology the same ("hypo" case in Table 2)

Case name	Heterogeneous Loss of organic nitrates	NO _x emissions	Meteorology
base	ISOPNB with a γ of 0.005 and followed by a hydrolysis rate of 9.26×10^{-5} s ⁻¹	2004 and 2013	2004 and 2013
no_hydro		2004 and 2013	2004 and 2013
hydro_full	ISOPNB and DHDN with a γ of 0.005 and followed by a hydrolysis rate of 9.26×10 ⁻⁵ s ⁻¹ ; TERPN1 with a γ of 0.01 and followed by a hydrolysis rate of 9.26×10 ⁻⁵ s ⁻¹	2004 and 2013	2004 and 2013
hypo	Same with the base case	40 % reduction of NO _x emissions of 2013	2013

 Table 2. Case descriptions

Specific comments:

Comment 5

(1) Page 4, "high-resolution ($50x50 \text{ km}^2$)". When conducting chemical transport modeling at regional scale, this is no way a high-resolution.

Response 5

We use a global chemistry-climate model in this work. We now revise the text in lines 144-148 as:

"We apply a high-resolution (50 x 50 km²) version of the GFDL AM3 global chemistryclimate model to study decadal changes of RON and O₃ over the Southeast U.S. Chemistryclimate models provide a unique capability to both evaluate model representation of these observed changes and use that to improve future projections of air quality in the same region."

Comment 6

(2) Page 5 "... both inventories have a similar spatial distribution (Figure S1). Compare the two panels in Figure S1, apparently, the local maximum levels in the Southeast of RCP8.5 are somewhat 30% lower than the NEI2011 (no red spots are seen in the Southeast in the RCP8.5 panel). Also, why compared to NEI2011 version 1, why not the NEI2011 final version? More importantly, why don't just use NEI2011?

Response 6

We have compared NEI2011 version 1 with the final version NEI2011 version 2. NO_x emissions from this two versions are very similar. We now reflect this in the text in lines 176-179:

"The resulting anthropogenic NO_x emissions (0.25 Tg N mon⁻¹) are 14 % lower than NEI11v1 emission inventory estimate of 0.29 Tg N mon⁻¹ (0.28 Tg N mon⁻¹ from the updated NEI11v2 emission inventory),..."

As this work focuses on the relative change of RON and related species from 2004 to 2013 in a global climate-chemistry model, we find it difficult to interpret model results in 2004 using NEI2011. We now state in the text in lines 167-170:

"Anthropogenic emissions follow the Representative Concentration Pathway 8.5 (RCP 8.5) projection (Lamarque et al., 2011) for both 2004 and 2013, to compare the model to observations in a consistent fashion and also enable future projection of air quality in this region."

Comment 7

(3) Figure S3, why Florida surface ozone data were not included? This study is for the Southeast, which should include Florida.

Response 7

We didn't include ozone data in Florida to avoid influences of ocean impacts (such as emissions, circulation, etc.).

Comment 8

(4) Page 9, lines 329-331, why aircraft measurements for biomass burning and urban plumes are excluded for the model evaluation?

Response 8

This is because these cannot be well represented on the scale of model. We have explained this in the revised text in lines 306-309 as

"Data from biomass burning (CH₃CN \geq 225 ppt or HCN \geq 500 ppt), urban plumes (NO₂ \geq 4 ppb or NO_x/NO_y \geq 0.4 (if NO_y is available)), and stratospheric air (O₃/CO >1.25 mol mol⁻¹) are excluded (Hudman et al., 2007) in all the analyses, as these subgrid processes may not be well represented in our model."

Comment 9

(5) 1. Page 9, lines 334-335, ". . . use model output sampled along the flight track with 1-min resolution". How exactly this has been done?

- 2. What are the time-steps of the model?
- 3. What are the time intervals of the model outputs?
- 4. Is this necessary since all the presented comparisons are for monthly averaged values anyway?
- 5. Is there any statistical metrics calculated for the comparisons at the 1-min resolution?

Response 9

1. We mapped model outputs with observations at different locations (i.e. latitude, longitude and pressure) and local sampling time (for example, 8:12 CST sampling time corresponds to model estimate during 8:00-9:00 CST).

2. The chemistry and transport time step of the model is 20 mins. We now add "**The current time step for chemistry and transport in our model is 20 mins.**" in lines 155-156.

3. The output time interval of the model in this study is 1 hour for chemical tracers and 1 month for depositions of these tracers.

4. We used 1-min time resolution average of measurements to sample model output to better capture the locations of aircraft as well as atmospheric composition. This is the best way to compare the model with aircraft measurements.

5. We have calculated NMB, NME, FB, and FE using aircraft measurements and model estimates and included the results in Table S4 in the revised supplement.

Comment 10

(6) 1. Table 1 and table 2, "Monthly averaged", or two month (July-August) averaged?

2. Table 1, Why not present the NOx emissions for the Southeastern US too, instead of for only North America totals?

3. Are they still 40% reduction for the Southeast only?

4. Also, how about those numbers of emissions amounts for the Southeast only used in the model compared to the NEI 2011 final version inventory?

5. Also, what about anthropogenic emissions pollutants other than NOx, such as VOC, CO etc.?

Response 10

1. 'Monthly averaged' in the original Table 1 and Table 2 are two-month averaged for July and August.

2. We calculated national total NO_x emissions for better comparison with NEI11 inventory. We also have included NO_x emissions over the Southeast U.S. in Table 1 in the revised manuscript as well.

3. 40% reduction was applied to eastern U.S. that contributes 74% of the national total NO_x emissions.

4. The comparison between NEI 2011 and RCP 8.5 shows similar relative differences in both national and Southeast region. We now add this in the text in lines 188-190

"Compared to the NEI11v1 inventory, RCP 8.5 used in our model shows similar relative differences in both national and Southeast region."

5. The dominate VOC precursor of summertime ozone in the Southeast U.S. is biogenic isoprene. Contributions of anthropogenic VOCs and CO are quite small compare to isoprene on summertime ozone in this region. Therefore, we didn't compare anthropogenic VOCs and CO from NEI2011 inventory and that from our model.

Comment 11

(7) Figure 7, there are bumps at around 30ppb in the 2013 simulations, but not seen from the 2004 simulation and any observations. Why those bumps?

Response 11

We are unclear about the cause of those bumps. We find that those values appear to be related to urban and suburban areas where NO_x sources are concentrated.

Comment 12

(8) Page 10, lines 370-372, what is this "regionally-averaged NO_y"? It seems jumped from the observations to simulations here?

Response 12

This sentence has been removed to avoid confusion.

Comment 13

(9) Page 10, line 369, "This is likely due to the different sampling regions (Figure S4) from the two campaigns". If this is the case, then why you can trust the other derived reduction numbers from comparing the observations form the two campaigns? And why you can trust the changes derived from these observations to represent the real changes in the Southeastern US as a region?

Response 13

All the analysis exhibited in section 4.1 is mainly for evaluation of our model by comparison with measurements during the three aircraft campaigns. As the flight tracks, although were not exactly identical, were all within the Southeast U.S. region, reduction numbers derived from these sampling data represent the general trend in this region to some extent.

Our strategy is that if our model can well reproduce vertical profiles of RON and related species from aircraft measurements, we assume model is representative of this chemical environment and then use model to derive the real changes between the two years a decade apart. This is showed in section 5. We have clarified this in the revised manuscript in lines 517-520 as:

"As RON and related species from aircraft and surface measurements are well reproduced in our model for both 2004 and 2013, we assume that the model is representative of this

chemical environment, and then use the model to derive monthly mean changes between 2004 and 2013."

Comment 14

(10) 1. Page 18, lines 649-651. What are the quantitative differences in both simulated and observed RH and temperature between 2004 and 2013 in July-August?

2. What about the differences in cloud cover, precipitation etc. that also impact on ozone formation? 3. Lines 654-657, this statement, for changes between 2004 and 2013, is not supported by convincing evidence. How exactly the citation in lines 651-654 supports this statement? Since this is also the base for deriving the major finding: "reactive nitrogen species and ozone over the Southeast U.S.", "decline proportionally with decreasing NO_x emissions in this region", solid demonstration of this statement is needed.

Response 14

1. Based on observations (ICARTT and SENEX), changes of temperature and RH are + 2.6 K (291.5 - 294.1 K) and + 2.7 % (68.9 - 71.6 %), respectively. Our model predicts + 2.4 K (290.0 - 292.4 K) and - 0.88 % (77.3 - 76.4 %) of changes for temperature and RH. Therefore, our model can well capture this trend of meteorology. It also proves that decreases of ozone over the Southeast U.S. from 2004 to 2013 is not attributed to meteorology. We reflect this in the revised text in lines 608-611 as:

"Our model shows marginal differences in RH (less than 1 %) and temperature (+ 2.4 K) within the PBL over the Southeast U.S. between the summers of 2004 and 2013, consistent with observed changes of RH (+ 2.7 %) and temperature (+ 2.6 K) during ICARTT and SENEX."

2. There are no observations of cloud cover and precipitation from aircraft measurements. Based on measurements from NADP, there are no significant changes of observed precipitation between 2004 and 2013 in July-August (total precipitation of 14.6 m in two months). Our model showed good agreement with observations, with 13.1 m for 2004 and 15.3 m 2013.

3. Lin et al. (2017) found 0.2 - 0.4 ppb/yr increase of ozone due to meteorology changes. this is not significant compared to observed 1.1 ppb/yr decrease of ozone. Camalier et al. (2007) also showed that summertime ozone over the Southeast U.S. is more affected by RH that mostly varied little during 2004-2013, according to both observations and model estimates. We have revised the text in lines 612-620 as:

"Camalier et al. (2007) showed that RH has a much bigger impact on summertime ozone than temperature over the Southeast U.S., suggesting little influence of meteorology on ozone trend. Using the same model but with the standard AM3 chemical mechanism, Lin et al. (2017) found that meteorology changes would have caused high surface ozone over the eastern U.S. to increase by 0.2 - 0.4 ppb yr⁻¹ in the absence of emission controls from 1988 to 2014. Therefore, we conclude that the impact of climate variability and change on O₃ is relatively small compared to NO_x emission reductions over the Southeast U.S., consistent with previous studies (Lam et al., 2011; Hidy et al., 2014; Lin et al., 2017; Rieder et al., 2015)"

Reference:

Camalier, L., Cox, W., and Dolwick, P.: The effects of meteorology on ozone in urban areas and their use in assessing ozone trends, Atmos. Environ., 41, 33, 7127-7137, 2007.

Decadal change of summertime reactive oxidized nitrogen species and 1 surface ozone over the Southeast United States 2

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47 Abstract

48 Widespread efforts to abate ozone (O_3) smog have significantly reduced nitrogen oxides (NO_x) emissions over the past two decades in the Southeast U.S. (SEUS), a place heavily 49 influenced by both anthropogenic and biogenic emissions. How reactive nitrogen 50 speciation responds to the reduction in NO_x emissions in this region remains to be 51 elucidated. Here we exploit aircraft measurements from ICARTT (July-August, 2004), 52 SENEX (June-July, 2013), and SEAC⁴RS (August-September, 2013) and long-term 53 ground measurement networks alongside a global chemistry-climate model to examine 54 decadal changes in summertime reactive oxidized nitrogen (RON)species and ozone over 55 56 the Southeast U.S. We show that our model can well reproduce the mean vertical profiles of major RON species and the total (NO_y) in both 2004 and 2013. Among the major RON species, 57 58 nitric acid (HNO₃) is dominated (~ 42 - 45 %), followed by NO_x (31 %), total peroxy nitrates (Σ PNs; 14 %), and total alkyl nitrates (Σ ANs; 9 – 12 %) on a regional scale. We find that 59 60 most RON, reactive nitrogen species, including NO_x, Σ PNsperoxyacetyl nitrate (PAN) and nitric acid (HNO_3) decline proportionally with decreasing NO_x emissions in this 61 region, leading to a similar decline in $\frac{\text{exported}}{\text{NO}_{y}}$. This linear response might beis in 62 63 part due to the nearly constant summertime supply of biogenic VOC emissions in this region. Our model captures the observed relative change of RONreactive nitrogen species 64 65 and surface ozone from 2004 to 2013. Model sensitivity tests indicate that further reductions of NO_x emissions will lead to a continued decline in surface ozone and less 66 67 frequent highextreme ozone events.

68 **1 Introduction**

Since the 1990s, the U.S.A. Environmental Protection Agency (U.S. EPA) has targeted 69 70 emissions of nitrogen oxides (NO_x) to improve air quality by lowering regional photochemical smog (The 1990 Clean Air Amendment). Satellite- and ground-based 71 observations imply significant declines in U.S. NO_x emissions, with a decreasing rate of 72 roughly - 4 % yr⁻¹ after 2005 (Krotkov et al., 2016; Russell et al., 2012; Tong et al., 2015; 73 Miyazaki et al., 2017; Lu et al., 2015; Lamsal et al., 2015). This has proven effective at 74 75 lowering near-surface ozone (O₃) in the past few decades (Cooper et al., 2012; Simon et al., 2015; Hidy and Blanchard, 2015; Stoeckenius et al., 2015; Xing et al., 2015; Yahya et 76 al., 2016). The .- According to U.S. EPA data, the average of the annual 4th highest 77 maximum daily averaged maximum 8-h averagehr (MDA8) ozone over 206212 sites has 78 79 decreased by 3133 % from 101 ppb in 1980 to 7068 ppb in 20162014 across the continental U.S., with more significant reductions in rural areas of the eastern U.S. in 80 81 summer (Simon et al., 2015; Cooper et al., 2012). Here we use bothcombine aircraft and 82 ground-based datasets, combined with a high resolution for both ozone and reactive nitrogen to evaluate a chemistry-climate model, used to evaluate project future responses 83 of reactive oxidized nitrogen (RON) and surface ozone to the to changes in NO_x emission 84 85 reductions in the Southeast U.Semissions.

In the troposphere, ozone is produced through photochemical reactions involving NO_x 86 and volatile organic compounds (VOCs) in the presence of sunlight. During 87 photooxidation, a large fraction of NO_x is transformed into its reservoirs, including nitric 88 acid (HNO₃), peroxy nitrates (RO₂NO_{2;7} dominated by peroxyacetyl nitrate (PAN)), and 89 90 alkyl nitrates (RONO₂). These species, together with NO_x, are known as total reactive 91 <u>oxidized</u> nitrogen (NO_y = NO_x + HNO₃ + HONO + $2 \times N_2O_5$ + total peroxy nitrates (ΣPNs) + total alkyl nitrates (ΣANs)). Some of these reservoir species, particularly those 92 with an organic component, tend to be less soluble and longer lived. They may carry 93 94 reactive nitrogen far from the NO_x source region (Stohl et al., 2002; Parrish et al., 2004; Li et al., 2004) and thereby affect NO_x concentrations and O₃ formation on a regional to 95 96 global scale (Liang et al., 1998; Horowitz et al., 1998; Perring et al., 2013; Paulot et al., 2016; Hudman et al., 2004). 97

98 RONO₂ originating from biogenic VOCs (BVOCs) represents a major uncertainty in the NO_v budget, as BVOC emissions account for more than 80 % of global VOC emissions 99 (Millet et al., 2008). To a large extent, this is due to the uncertainties in current 100 understanding of BVOC oxidation chemistry. Biogenic RONO₂ species are mainly 101 102 produced from the oxidation of BVOCs by OH in the presence of NO_x during daytime and by nitrate radical (NO₃) during nighttime. Laboratory and field studies show a wide 103 range of RONO₂ yields from their BVOC precursors (Browne et al., 2014; Fry et al., 104 2014; Lockwood et al., 2010; Paulot et al., 2009; Rindelaub et al., 2015; Rollins et al., 105 106 2009; Lee et al., 2014; Xiong et al., 2015; Xiong et al., 2016; Teng et al., 2015). Another uncertainty lies in the fate of RONO₂, i.e. recycling RONO₂ into NO_x orversus converting 107 it to HNO₃ as a NO_x sink have important implications for the NO_y budget and thus O₃ 108 production (Fiore et al., 2005; Horowitz et al., 2007; Ito et al., 2009; Perring et al., 2013; 109 110 Paulot et al., 2012). This is further complicated by particle-phase In addition, recent field studies have shown that RONO₂, an important component is a potential source of 111 secondary organic aerosol (SOA) over the Southeast U.S. (Xu et al., 2015; Lee et al., 112 2016). The fate of particle-phase RONO₂ is unclear, with the possibility for removal by 113 hydrolysis to form HNO₃ (Jacobs et al., 2014; Hu et al., 2011; Darer et al., 2011; 114 115 Rindelaub et al., 2015; Szmigielski et al., 2010; Sato, 2008; Romer et al., 2016; Wolfe et al., 2015), photochemical aging (Nah et al., 2016; Boyd et al., 2015), -and deposition 116 (Nguyen et al., 2015). To what extent RONO₂ affect the partitioning of RON and surface 117 ozone remains to be elucidated. 118

Extensive datasets in Over the Southeast U.S. offer a great opportunity to study the
 decadal change of RON., photochemistry in summer is strongly influenced by both
 biogenic and surface ozone, resulting from NO_x emission decline.anthropogenic
 emissions, implying strong sensitivity of O₃ production to changes in anthropogenic NO_x
 emissions (Simon et al., 2015). Aircraft campaigns during the summers of 2004 and 2013,
 including (i.e. the International Consortium for Atmospheric Research on Transport and

125 Transformation (ICARTT) (Fehsenfeld et al., 2006; Singh et al., 2006), the Southeast Nexus (SENEX) (Warneke et al., 2016), and the Studies of Emissions and, Atmospheric 126 Composition, Clouds and Climate Coupling by Regional Surveys (SEAC⁴RS) (Toon et 127 al., 2016), provide detailed characterization of tropospheric composition in this region 128 129 separated by nearly a decade. These data have been widely used to evaluate model estimates of RON and ozone (Singh et al., 2007; Pierce et al., 2007; Perring et al., 2009; 130 Fischer et al., 2014; Hudman et al., 2007; Henderson et al., 2011; Hudman et al., 2009; 131 Edwards et al., 2017; Baker and Woody, 2017; Travis et al., 2016; Mao et al., 2013b; 132 Fisher et al., 2016; Yu et al., 2016; Liu et al., 2016). Together with measurements from 133 networks, including the wet deposition flux of nitrate (NO_{x}) from the National 134 Atmospheric Deposition Program (NADP) and NO₂ and O₃ measurements from EPA Air 135 Quality System (AQS), surface network, these datasets enableaircraft datasets provide 136 insights into changes in O₃ pollution and NO₄ speciation over a close examination of 137 responses of RON and surface ozone todecadal period when NO_x emissions reduction in 138 this region.were declining rapidly as pollution control programs were implemented. 139

Here we use a high-resolution global 3D climate-chemistry model, the Geophysical Fluid 140 Dynamics Laboratory (GFDL) AM3 model, with updated isoprene and organic nitrate 141 chemistry to investigate decadal changes of $RONNO_{x}$ (and its specific constituents) and 142 surface O₃ during summer between 2004 and 2013 over the Southeast U.S. We first 143 evaluate the model with comprehensive measurements from three aircraft campaigns in 144 the summer of 2004 (ICARTT) and 2013 (SENEX and SEAC⁴RS). ModelThe model 145 146 estimates of nitrate wet deposition flux are also evaluated against measurements from the NADP; model estimates offor NO_v are compared withagainst measurements from EPA 147 AQS to provide an additional constraint on the fate of <u>RONNO_v species</u> in the model. We 148 then investigate the repartitioning of $RONNO_{y}$ -species in response to NO_{x} emission 149 reductions from 2004 to 2013 on a regional scale.- From there, we examine the model 150 estimate of decadal changes of summertime surface O₃ at 157 EPA AQS monitoring sites 151 over the Southeast U.S. We alsoby comparing to the measurements from EPA AQS. Last, 152 we demonstrate the sensitivity of <u>RON and MDA8</u> O₃ to a hypothetical and NO_y 153 154 speciation to projected NO_x emission reduction decreases over the next decade. (to 2022).

155 **2 Methodology**

156 **2.1 AM3 Model**

157 We apply a high-resolution (50 x 50 km²) version of the GFDL AM3 <u>global chemistry-</u> 158 <u>climate</u> model to study decadal changes of <u>RONO₃</u> and <u>O₃</u> organic nitrates over the 159 Southeast U.S. <u>Chemistry-climate models provide a unique capability to both evaluate</u> 160 <u>model representation of these observed changes and use that to improve future</u> 161 <u>projections of air quality in the same region</u>. The model configuration is to a large extent 162 similar to that used in anothera companion paper (Li et al., 2016); and a short summary is provided below. The dynamical core, physical parameterizations, cloud and precipitation 163 processes, and cloud-aerosol interactions mainly follow Donner et al. (2011), except that 164 convective plumes are computed on a vertical grid with finer resolution (Paulot et al., 165 166 2016). Dry deposition in the model has been updated to use dry deposition velocities calculated in the GEOS-Chem model (Paulot et al., 2016), to reflect rapid deposition of 167 organic nitrates and oxidized volatile organic compounds (OVOCs) (Nguyen et al., 2015). 168 The current time step for chemistry and transport in our model is 20 mins. We show 169 below in section 4.1 that, with the current setting, our model can well reproduce the 170 171 vertical profiles of RON. Sensitivity of RON to operator duration should refer to Philip et <u>al. (201</u>6). . 172

Isoprene emissions are computed in the model using the Model of Emissions of Gases 173 174 and Aerosols from Nature (MEGAN). In 2004, isoprene emissions over the continental 175 U.S. (25-50° N, 130-70° W) are computed to be 8.0 Tg C in July and August together, with a previous model estimate of 7.5 Tg C by Mao et al. (2013b). In 2013, model 176 estimates of isoprene emissions were scaled down by 20% following Li et al. Isoprene 177 emissions are computed in the model using the Model of Emissions of Gases and 178 Aerosols from Nature (MEGAN). In July August of 2004, the computed isoprene 179 emissions over the continental U.S. (25-50° N, 130-70° W) are 8.0 Tg C and appear to be 180 consistent with a previous model estimate of 7.5 Tg C by Mao et al. (2013) in the same 181 region during this period. For 2013, we applied a 20 % reduction of MEGAN for 182 isoprene emissions as described in Li et al. (2016). The resulting isoprene emissions 183 areemission is 7.7 Tg C in July-August inover this region, with little difference compared 184 to 2004.- Monoterpene emissions follow Naik et al. (2013) and do not vary interannually, 185 with a total of 4.0 Tg C in July and August. 186

Anthropogenic emissions follow the Representative Concentration Pathway 8.5 (RCP 8.5) 187 188 projection (Lamarque et al., 2011) for both 2004 and 2013, to compare the model to observations in a consistent fashion and also enable future projection of air quality in this 189 region. As shown in Table 1, anthropogenic NO_x emissions over the continental U.S. 190 during July-August of 2004 amount to 0.42 Tg N mon⁻¹, consistent with Hudman et al. 191 (2007) but 11 % lower than EPA estimates of 0.47 Tg N mon⁻¹ (Granier et al., 2011). For 192 193 the year of 2013, we apply a 25 % reduction to the anthropogenic NO_x emissions from the RCP 8.5 projection (from base year of 2010), to best reproduce the vertical profiles of 194 RONNO_x species during SENEX as shown below in section 4.1.. This adjustment is also 195 consistent with recent estimates of NO_x emissions over the Southeast U.S. (Anderson et 196 al., 2014). The resulting anthropogenic NO_x emissions (0.25 Tg N mon⁻¹) are 14 % lower 197 than NEI11v1 emission inventory estimate of 0.29 Tg N mon⁻¹ (0.28 Tg N mon⁻¹ from the 198 updated NEI11v2 emission inventory), although both inventories have a similar spatial 199 distribution (Figure S1). We also apply a diurnal variation to anthropogenic NO_x 200

emissions following Mao et al. (2013b). Soil NO_x emissions in our model, 3.6 Tg N yr⁻¹ 201 202 globally (Naik et al., 2013), are considerably lower than other model estimates, including 5.5 Tg N yr⁻¹ in Yienger and Levy (1995) and 9.0 Tg N yr⁻¹ in Hudman et al. (2012). As a 203 result, the anthropogenic NO_x emissions over the continental U.S. are 0.84 Tg N for July-204 August of 2004, and 0.50 Tg N in July-August of 2013, with 40 % reduction from 2004 205 to 2013 (Table 1). This relative change in anthropogenic NO_x emissions is consistent with 206 EPA estimates (https://www.epa.gov/air-emissions-inventories/air-pollutant-emissions-207 trends-data) and satellite observations . The resulting anthropogenic NO_{*} emissions (0.25) 208 Tg N mon⁻¹) are 14 % lower than NEI11v1 emission inventory estimate (0.29 Tg N mon⁻ 209 4), although both inventories have a similar spatial distribution (Figure S1). Soil NO_{*} 210 emissions in our model, 3.6 Tg N yr⁻¹ globally (Naik et al., 2013), are considerably lower 211 than other model estimates, including 5.5 Tg N yr⁻¹ in Yienger and Levy (1995) and 9.0 212 Tg N yr⁴ in Hudman et al. (2012). Lightning NO_x, calculated as a function of 213 214 parameterized convection in the model following Price et al. (1997), is also lower than that of Hudman et al. (2007). Compared to the NEI11v1 inventory, RCP 8.5 used in our 215 model shows similar relative differences in both national and Southeast region. by a 216 factor of 8 over the U.S. As a result, the total NO_x emissions over the continental U.S. are 217 0.98 Tg N for July-August of 2004, and 0.64 Tg N in July-August of 2013 (Table 1). The 218 40 % reduction of anthropogenic NO_x emissions from 2004 to 2013 over the eastern U.S 219 is consistent with EPA Air Pollutant Emissions Trends Data (https://www.epa.gov/air-220 emissions-inventories/air-pollutant-emissions-trends-data) and satellite observations 221 (Krotkov et al., 2016; Lu et al., 2015). 222

223 2.2 Gas-phase chemistry

We apply the same isoprene mechanism as described by Li et al. (2016). A full list of the 224 reactions can be found in Table S1. This mechanism is based on Mao et al. (2013b), but 225 226 has been significantly revised to incorporate recent laboratory updates on isoprene oxidation by OH and O₃. A full list of the reactions can be found in Table S1. This 227 mechanism is based on Mao et al. (2013), but has been significantly revised to 228 incorporate recent laboratory updates on isoprene oxidation by OH and O₃ (Schwantes et 229 al., 2015; Bates et al., 2016; Peeters et al., 2014; St. Clair et al., 2016; Bates et al., 2014; 230 Praske et al., 2015; Müller et al., 2014; Lee et al., 2014; Crounse et al., 2011). One major 231 feature is the suppression of δ -isoprene hydroxyl peroxy radical (δ -ISOPO₂) and 232 subsequent reaction pathways in the model, as these channels are considered to be of 233 minor importance under ambient conditions (Peeters et al., 2014; Bates et al., 2014). The 234 fraction of ISOPO₂ undergoing isomerization is calculated using bulk isomerization 235 estimates (Crounse et al., 2011). As a result, the first-generation isoprene alkyl nitrate is 236 237 assumed to be β -hydroxy nitrate (ISOPNB) in the model with a yield of 10 % from the ISOPO₂ + NO pathway. This differs from a recent GEOS-Chem study of organic nitrates 238 over the Southeast U.S. that assumed 9 % yield of the first-generation isoprene alkyl 239

nitrate comprised of 90 % ISOPNB and 10 % δ -hydroxy nitrate (ISOPND) (Fisher et al., 240 2016). The treatment of β - and δ -ISOPO₂ will not only affect the speciation of organic 241 nitrates but also the production of O_3 due to different NO_x recycling efficiency in their 242 secondary products. We also include updated chemistry for methylvinyl ketone (MVK) 243 (Praske et al., 2015), an updated yield of hydroxy hydroperoxides (ISOPOOH) (Bates et 244 al., 2016; St. Clair et al., 2016), fast photolysis of carbonyl organic nitrates (Müller et al., 245 246 2014), and an updated ozonolysis rate of ISOPNB (Lee et al., 2014). In addition, we reduce the yield of organic nitrates (MACRN) from methacrolein (MACR) oxidation 247 248 from 15 % to 3 %, which is estimated from the measured yield of nitrate from MVK oxidation (Praske et al., 2015). 249

Another major model revision involves the treatment of the nighttime oxidation of 250 isoprene. Instead of following Mao et al. (2013b), we revised (2013), we revised the 251 252 nighttime oxidation of isoprene largely based on the Leeds Master Chemical Mechanism v3.2 (MCM v3.2), allowing a more complete description of isoprene oxidation by NO₃. 253 In particular, MCM v3.2 suggests significant production of propanone nitrate (PROPNN) 254 255 from the photooxidation of the C_5 carbonyl nitrate, consistent with recent laboratory 256 experiments (Schwantes et al., 2015). We also updated the products of the reaction of nitrooxy alkylperoxy radical (INO_2), the peroxy radical from isoprene oxidation by NO_3 , 257 with HO₂ to reflect a lower molar yield (0.77) of C₅ nitrooxy hydroperoxide (INPN) 258 (Schwantes et al., 2015). The differences between MCM v3.2 and the most updated 259 260 version, MCM v3.3.1, in isoprene nighttime chemistry appears to be small (Jenkin et al., 2015). We therefore use MCM v3.2 as the reference in this work. 261

We include a highly simplified chemistry for the oxidation of monoterpenes in this work, mainly to quantify their contribution to organic nitrates. Monoterpenes are lumped into one chemical species ($C_{10}H_{16}$) in our model. The organic nitrate yield is set to 26 % from OH-initiated oxidation (Rindelaub et al., 2015) and to 10 % from NO₃-initiated oxidation (Browne et al., 2014). Details of the monoterpene chemistry can be found in Table S2.

267 **2.3 Heterogeneous loss of organic nitrates**

268 Field and laboratory studies have indicated a potential contribution to aerosol formation 269 of organic nitrates from BVOC oxidation (Ayres et al., 2015; Fry et al., 2014; Nah et al., 2016; Rollins et al., 2009; Rindelaub et al., 2015; Boyd et al., 2015; Lee et al., 2016; Ng 270 et al., 2008; Fry et al., 2009; Xu et al., 2015; Lee et al., 2014; Bean and Hildebrandt Ruiz, 271 272 2016; Spittler et al., 2006); Error! Hyperlink reference not valid. Fry et al., 2014; Error! 273 Hyperlink reference not valid. Nah et al., 2016; Error! Hyperlink reference not valid. Rollins et al., 2009; Error! Hyperlink reference not valid. Rindelaub et al., 2015; Error! Hyperlink 274 reference not valid. Boyd et al., 2015; Error! Hyperlink reference not valid. Lee et al., 2016; 275 276 Error! Hyperlink reference not valid. Ng et al., 2008; Error! Hyperlink reference not valid. Fry et al., 2009; Error! Hyperlink reference not valid.Xu et al., 2015; Error! Hyperlink reference 277

not valid.Lee et al., 2014; Error! Hyperlink reference not valid.Bean and Hildebrandt Ruiz, 278 2016; Error! Hyperlink reference not valid. Spittler et al., 2006). Aerosol yield depends on 279 both the VOC precursor and the oxidant. For example, Δ -3-carene oxidation by NO₃ can 280 produce a 38-65 % yield of organic aerosols in a smog chamber (Fry et al., 2014), which 281 is much higher than the 1-24 % yield from NO₃-initiated isoprene oxidation (Ng et al., 282 2008; Rollins et al., 2009; (Error! Hyperlink reference not valid. Ng et al., 2008; Error! 283 284 Hyperlink reference not valid. Rollins et al., 2009; Ayres et al., 2015). Recent chamber studies indicate a very low aerosol yield from α -pinene oxidation by NO₃ (Nah et al., 285 2016; Fry et al., 2014), the aerosol yield increases to ~ 18 % when α -pinene is oxidized 286 by OH (Rollins et al., 2010; Rindelaub et al., 2015). It should be noted that these results 287 288 from laboratory experiments might not be representative ofto atmospheric conditions in 289 terms of the RO₂ reaction partner or RO₂ lifetime.

290 In the condensed phase, organic nitrates can undergo hydrolysis reactions producingto 291 produce HNO₃ (Darer et al., 2011; Hu et al., 2011; Rindelaub et al., 2015; Boyd et al., 2015; Szmigielski et al., 2010; Sato, 2008; Jacobs et al., 2014; Bean and Hildebrandt 292 Ruiz, 2016). However, the hydrolysis rate varies greatly with the structure of nitrate, and 293 for most species remains highly uncertain, though certain trends have been elucidated, e.g. 294 tertiary nitrates favor hydrolysis while primary and secondary nitrates are more stable 295 (Bean and Hildebrandt Ruiz, 2016; Darer et al., 2011; Hu et al., 2011; Boyd et al., 2015). 296 297 Here we assume a first-order irreversible reactive uptake for the heterogeneous loss of 298 organic nitrates onto aerosols (R1), followed by its hydrolysis reaction returning HNO_3 299 and alcohols (R2) (Fisher et al., 2016):

$$\text{RONO}_2(g) \xrightarrow{k_1} \text{AONJ(aq)}$$
 (R1)

$$AONJ(aq) + H_2O \xrightarrow{k_2} ROH + HNO_3(g)$$
 (R2)

300	where RONO ₂ , AONJ and ROH represent gas- and particle-phase organic nitrates and
301	alcohols respectively. We allow heterogeneous loss of organic nitrates to sulfate, black
302	carbon, primary organic carbon, sea salt, mineral dust and SOA following Mao et al.
303	(2013a). Besides the base case that only includes ISOPNB for heterogeneous loss (Jacobs
304	et al., 2014), we include two additional sensitivity tests to evaluate the potential impact of
305	organic nitrate hydrolysis. One is "hydro_full" case including heterogeneous loss of a C5
306	dihydroxy dinitrate (DHDN) and monoterpene nitrates from OH oxidation (TERPN1),
307	and the other one is "no_hydro" case assuming no heterogeneous loss for any organic
308	nitrates. We adopt an effective uptake coefficient 0.005 for ISOPNB and DHDN, and
309	0.01 for TERPN1, following Fisher et al. (2016), with a 3-h bulk lifetime in particle
310	phase where RONO2, AONJ and ROH represent gas and particle phase organic nitrates
311	and alcohols respectively. For the daytime isoprene organic nitrates (mainly from the
312	ISOPO ₂ + NO pathway), we only consider heterogeneous loss of ISOPNB to aerosols,
313	since this has been detected in field and laboratory studies (Jacobs et al., 2014). Lee et al.

- 314 (2014) hypothesized a significant amount of C_{5} dinitrate (DHDN, with a molar yield of 21 % via the ISOPNB peroxy radical + NO pathway) produced from OH oxidation of 315 ISOPNB. Since DHDN is highly functionalized and might partition to the condensed 316 phase forming SOA, we test the impact of this process on the estimate of NO₄ speciation 317 and O₃-concentration in a sensitivity study (AM3h, Figure S2). Aerosol formation from 318 nighttime isoprene organic nitrates (from isoprene oxidation by NO₃) are neglected due to 319 the low mass yields. Information about hydrolysis of daytime monoterpene nitrates (from 320 OH oxidation of monoterpenes) is quite sparse. Two chamber studies to date have 321 322 examined the hydrolysis of nitrates from α -pinene oxidation by OH (Rindelaub et al., 2015; Bean and Hildebrandt Ruiz, 2016). They found that the hydrolysis rate is 323 dependent on relative humidity (RH) and aerosol acidity. We also examine the hydrolysis 324 of daytime monoterpene nitrates (TERPN1) in the above sensitivity study (AM3h, Figure 325 326 S2). We do not consider heterogeneous loss of nighttime monoterpene nitrates in this work due to inadequate information. 327
- We allow heterogeneous loss of organic nitrates to sulfate, black carbon, primary organic
 carbon, sea salt, mineral dust and SOA following Li et al. (2016) (Table S3). Details of
 each case are listed in Table 2.
- . The first-order rate constant k₁ is determined using an effective uptake coefficient 0.005 331 for isoprene nitrates (ISOPNB in all hydrolysis cases and DHDN in AM3h, equivalent to 332 333 1.8-h lifetime in the atmosphere) and 0.01 for daytime monoterpene nitrates (TERPN1, in 334 AM3h) following Fisher et al. (2016), which are consistent with other estimates (Wolfe et al., 2015; Marais et al., 2016). Due to the large uncertainties of lifetimes against 335 hydrolysis, we assume a 3-h bulk lifetime for the three particle-phase nitrates following 336 337 Pye et al. (2015), consistent with recent estimate of organic nitrate aerosol lifetime at a ground monitoring site in Centreville, Alabama (CTL) (Lee et al., 2016). We also 338 conduct another sensitivity test (AM3 w/o hydro in Figure 1 and Figure 2) without 339 accounting for the heterogeneous loss of organic nitrates. 340
- 341 **3 Observational datasets**
- We use measurements from a series of field campaigns (2004 ICARTT, 2013 SENEX, and 2013 SEAC⁴RS) to evaluate <u>model</u>the performance <u>onof the model in estimating</u> O_3 , NO_x, HNO₃, PAN, Σ ANs and NO_y over the Southeast U.S. <u>induring</u> summer.

The ICARTT aircraft campaign provided a detailed characterization of tropospheric chemistry over the eastern U.S. in the summer of 2004 (July 1 <u>-</u> August 15, 2004). Two <u>aircraftsaircraft</u>, the NASA DC-8 and the NOAA WP-3D, were deployed to collect measurements of ozone, <u>RONNO_y species</u>, isoprene and its oxidation products. Here we focus on data including O₃, NO_x, HCHO (Tunable Diode Laser (TDL) absorption spectrometry), HNO₃ (mist chamber/IC by University of New Hampshire and Chemical

Ionization Mass Spectrometer (CIMS) by California Institute of Technology), PAN and \sum ANs (including gas and aerosol RONO₂) <u>collectedfrom 11 science flights</u> on the NASA DC-8 aircraft over the Southeast U.S. Details of the instrument operation and accuracy are summarized in Singh et al. (2006) and references therein.

355 Two SENEX was a NOAA aircraft campaigns were conducted in the summer of 2013 over the Southeast U.S. The first one is NOAA SENEX campaign, using NOAA WP-3D 356 aircraft <u>aimed</u> to investigate the interaction between biogenic and anthropogenic 357 emissions and the formation of secondary pollutants over the Southeast U.S. in summer 358 2013 (May 27 - July 10, 2013). We focus on daytime The NOAA WP-3D aircraft was 359 360 launched to collect measurements of a variety of chemical compounds during 18 scientific flights. Here we focus on data, including O₃, NO_x, HNO₃, PAN, speciated 361 RONO₂ -and NO_y in this work.from 15 daytime flights only. We also used measurements 362 of ISOPN, methylvinylketone nitrates (MVKN) and methacrolein nitrates (MACRN) 363 364 taken by University of Washington from 10 daytime flights during June 3-July 3 of the campaign. Details of the instrument operation and accuracy are summarized in Warneke 365 et al. (2016) and references therein. The second one is NASA SEAC⁴RS campaign. 366 which took place in August - September of 2013, with a focus on 367

Because ΣANs and several speciated RONO₂ were not measured on the NOAA WP-3D 368 aircrafts during SENEX, we include another aircraft campaign for model evaluation 369 despite that this campaign has a major coverage in September of 2013. SEAC⁴RS was a 370 NASA aircraft campaign with the field mission based in Houston, Texas during August-371 September 2013, designed to examine vertical transport of atmospheric pollutants from 372 373 the surface to the stratosphere. Here we focus on It provides comprehensive measurements of atmospheric composition in the lower troposphere over North America. 374 Here we use observations of O_3 , NO_2 , HCHO (laser-induced fluorescence, LIF), ΣAN_5 375 (including gas and aerosol RONO₂) and speciated RONO₂ collected onfrom 20 NASA 376 DC-8 aircraft science flights to evaluate model representation the correlations of Σ ANs 377 and several major RONO₂ originating from isoprene oxidation. during daytime with O_* (= 378 379 $O_3 + NO_2$) and with HCHO, respectively, as additional constraints to the model. Details of the instrument operation and accuracy are summarized in Toon et al. (2016) and 380 references therein. We mainly focus on RONO₂ and related species from SEAC⁴RS in 381 this work. 382

Besides these aircraft campaigns, we also use surface observations for model evaluation, 383 including nitrate (NO_3) wet deposition flux and concentration from the National 384 Trends Trend Network (NTN) of NADP (accessible at http://nadp.sws.uiuc.edu/data/) and 385 surface O_3 from **EPA** AQS (accessible 386 and NO_v at

388 <u>monthly NO₃ concentration and precipitation so that the monthly mean concentration of</u>

387

https://aqs.epa.gov/aqsweb/documents/data_mart_welcome.html). NTN provides the

389 NO_3^- and wet deposition flux could be derived for model evaluation. The AQS database provides measurements of important ambient air pollutants (O₃, NO_x, SO₂, PM_{2.5} species, 390 etc.) collected at monitoring sites across the continental U.S. We focus on NO_3^{-1} wet 391 deposition fluxes at 53 NADP sites (Figure 3) and MDA8 O₃ at 157 EPA AQS sites 392 393 (Figure S3) located in the Southeast U.S. during July -- August of 2004 and 2013. NO_v measurements at 10 out of the 157 AQS sites in the same episodes are compared with 394 model estimates as an additional constraint on the decadal change of NO_v. We choose 395 July – August as our 'summer' since this is the common period of all the measurements 396 used in model evaluation. 397

398 **4 Model evaluation of ozone and reactive nitrogen species**

We evaluate our model against observations from aircraft campaigns in 2004 and 2013. 399 For each of the three field campaigns, all measurements are averaged to a 1-min time 400 resolution. Data from biomass burning (CH₃CN \ge 225 ppt or HCN \ge 500 ppt), urban 401 plumes (NO₂ \ge 4 ppb or NO_x/NO_y \ge 0.4 (if NO_y is available)), and stratospheric air 402 $(O_3/CO > 1.25 \text{ mol mol}^{-1})$ are excluded (Hudman et al., 2007) in all the analyses, as these 403 subgrid processes may not be well represented in our model.- We focus on the Southeast 404 U.S. region, using only data within the domain of 25 - -40° N latitude and 100 - -75° W 405 longitude for our analyses. A map of all the flight tracks of each campaign is shown in 406 407 Figure S4. All model results arefor comparison with observations use model output sampled along the flight track with 1-min time resolution. 408

409 **4.1 Mean vertical profiles of O₃ and <u>RON</u>reactive nitrogen species**

410 Figure 1 shows the observed and modeled mean vertical profiles of O₃, NO_x, HNO₃, PAN, Σ ANs and NO_v during ICARTT and SENEX. We use Σ ANs measurements from 411 SEAC⁴RS to evaluate model performance during summer 2013, due to the lack of Σ ANs 412 413 measurements from SENEX. Our model results include both gas and aerosol RONO₂ in Σ ANs, although aerosol RONO₂ accounts for 7~11% of Σ ANs in the planetary boundary 414 layer (PBL_{BL}, < 1.5 km). We do not consider inorganic nitrates in particle phase in this 415 analysis, due to lack of thermodynamic model for inorganic aerosols in current version of 416 AM3. This simplification is expected to have minimal effects, as they only account for a 417 small fraction of aerosol nitrates in the Southeast U.S. (Ng et al., 2017). To investigate 418 the impact of RONO₂ hydrolysis, we include two model simulations, the base case with 419 420 heterogeneous loss of ISOPNB, and a sensitivity run 'no hydro' without heterogeneous loss of organic nitrates. 421

422 Mean observed O₃ in the surface layer decreased from 50 ppb during ICARTT to 35 ppb
 423 during SENEX, consistent with the declining trend in surface MDA8 ozone at AQS
 424 monitoring sites (section 5.2). As we show in section 5.2, this decline in ozone is mainly

425 driven by NO_x emission reduction, with little influence by meteorology in the two years. Our model can reproduce the vertical gradient and the relative change of O_3 from 2004 to 426 2013, except for a positive absolute bias of 6 - 12 ppb in the boundary layer. Performance 427 statistics of O₃ in the boundary layer listed in Table S4 also indicate positive biases in the 428 model, with the fractional bias (FB) of 9.4 - 17%, fractional error (FE) of 16 - 19%, 429 normalized mean bias (NMB) of 9.4 - 16% and normalized mean error (NME) of 16 -430 19 %. This overestimate of O_3 is higher than that reported (3 - 5 ppb) by Mao et al. 431 (2013b) for their simulation of the ICARTT dataset, likely due to faster photolysis of 432 carbonyl nitrates that increases the NO_x recycling efficiency from isoprene oxidation. 433

We further examine mean vertical profiles of NO_x and its reservoirs in 2004 and 2013 434 (Figure 1). Mean observed O₃ in the surface layer decreased from 50 ppb during ICARTT 435 436 to 35 ppb during SENEX, consistent with the decline in surface MDA8 ozone at AQS monitoring sites during July-August from 2004 to 2013 over the Southeast U.S. as shown 437 below. Differences in meteorology may account for some of this decline as this region 438 was cool and wet in Summer 2013 (Hidy et al., 2014), conditions that suppress ozone 439 formation. We show below that this impact is relatively small compared to that from NO* 440 emission reductions in this region. Our model can reproduce the vertical gradient of O_{3} as 441 well as the relative change from 2004 to 2013, but the model has a positive bias of upto 6 442 ppb and 12 ppb in the boundary layer during ICARTT and SENEX respectively. This 443 444 overestimate of O_3 appears to be higher than that reported (3-5 ppb) by Mao et al. (2013) for their simulation of the ICARTT dataset, likely due to faster photolysis of carbonyl 445 nitrates that increases the NO_x recycling efficiency from isoprene oxidation. A recent 446 study using the GEOS Chem model (Travis et al., 2016) shows that this regional bias can 447 448 be reduced (though not eliminated) with a 53 % reduction of anthropogenic NO_{*} emissions from the 2011 EPA national emission inventory (NEI11v1). Despite some 449 evidence of overestimated NO_{*} emissions in NEI11v1 (Souri et al., 2016; Ahmadov et al., 450 2015), we find that such a reduction of NO_{*} emissions would lead to a significant 451 underestimate of the simulated vertical profiles of reactive nitrogen oxides in our model, 452 453 as we show below. Therefore, we conclude that the bias in the vertical profile of O₃ in our model, even though the cause is not entirely understood so far, may not be solely due 454 to biases in NO_{*} emissions. 455

We further examine mean vertical profiles of NO_{\star} and its reservoirs in 2004 and 2013. In 456 the boundary layer along the flight tracks, (Figure 1), HNO₃ is the most abundant 457 458 RONreactive nitrogen compound, accounting for 40 - -46 % of NO_v, followed by NO_x (18 - -23 %), PAN (2021 %), and Σ ANs (11 - -21 %). Between 2004 and 2013, mean 459 observed NO_v in the boundary layer decreased by 20 %, from 2.0 ppb to 1.6 ppb, a 460 weaker change than the 35 % reduction of total NO_x emissions (Table 1). This is likely 461 462 due to the different sampling regions (Figure S4) from the two campaigns. We show later 463 that regionally averaged NO_v indicates a 34 % reduction over the Southeast U.S. from

2004 to 2013, more consistent with the emission reductions (- 35 %). The responses of 464 major RONreactive nitrogen compounds are mostly proportional to the change in NO_x 465 emissions, with the notable exception of Σ ANs. We find significant decreases in NO_x (-466 35 %) and HNO₃ (- 29 %) as well as a slight decrease in PAN (- 13 %) from observations. 467 The relative trends of HNO₃ and PAN are opposite to those found in the Los Angeles 468 (LA) basin, where PAN decreased much faster than HNO₃ (Pollack et al., 2013). This 469 470 difference results mainly from the rapid decrease of anthropogenic VOC emissions in the 471 LA basin that also serves as major precursors of PAN. In contrast, isoprene is the major precursor of PAN over the Southeast U.S. ItsBVOC emissions show a constant supply 472 (within 5 % differences over the two summers) in this regionover the Southeast U.S. 473 Σ ANs shows a different trend from the above compounds, increasing from 0.23 ppb to 474 0.27 ppb (+ 17 %) near the surface. (Figure 1). As we show below in section 5.1, these 475 changes (except for ΣANs) are mostly consistent with model estimates on a regional 476 average. Discrepancy in their trends of vertical profiles and regional average might be 477 478 due to representative, despite possible representativeness errors from different sampling areas during the three aircraft campaigns on spatial (Figure S4) and temporal (different 479 episodes, referring to observation data description in section 3) scales.(Figure S4). 480

The model can well reproduce RONall the NO_x species in the boundary layer but 481 tendtends to underestimate them in the free troposphere. This is likely due to insufficient 482 483 production of NO_x from lightning in the free troposphere in our model, which is 0.048 Tg N in total over North America during July -- August of 2004, lower by almost a factor of 484 485 5 compared to the value (0.27 Tg N from July 1-August 15, 2004) reported by Hudman et al. (2007). This underestimate can be improved by scaling up lightning emission by a 486 factor of 5-10 (Fang et al., 2010). We do not adjust the lightning NO_x emissions in this 487 work due to its high uncertainty (Ott et al., 2010; Pickering et al., 1998). 488

Hydrolysis of organic nitrates affects RONO₂ significantly in the boundary layer. -489 Underestimate of NO_{*} in the free troposphere might also be due to rapid conversion of 490 491 NO_x to HNO₃ (Henderson et al., 2011) in the model. However, we did not adjust the model due to the high uncertainty in the estimate of lightning NO_x. We find that if we 492 reduce anthropogenic NO_{*} emission in our model by another 40 %, from 0.25 Tg N mon⁻ 493 ⁴ to 0.15 Tg N mon⁻¹ as suggested by Travis et al. (2016), simulated NO_x, HNO₃ and 494 PAN decrease by 30 %, 33 % and 30 % respectively, leading to a noticeable 495 underestimate for these nitrogen reservoirs. 496

497 Hydrolysis of organic nitrates affects $RONO_2$ significantly in the boundary layer. By 498 introducing hydrolysis of ISOPNB, we find that model <u>relative</u> bias of ΣANs in the 499 boundary layer is reduced from + 20 % to + 2 % during ICARTT (Figure 1). Performance 500 metrics in Table S4 also indicate better agreement of the model with observations if 501 hydrolysis of ISOPNB assumed. However, the relative However, the bias is increased in

magnitude from -9% to -24% during SEAC⁴RS. This low bias can be partially due to 502 neglecting small alkyl nitrates, which could contribute 20 - -30 ppt to ΣANs (less than 10%) 503 near the surface) during SEAC⁴RS (Fisher et al., 2016). Including small alkyl nitrates will 504 increase modeled Σ ANs a bit in ICARTT as well.- Hydrolysis of ISOPNB also leads to a 505 slight increase of HNO₃ (Table S4). The impacts its product HNO₃. Although the change 506 in HNO₃ is not as evident as that of RONO₂, model bias is slightly increased during 507 **ICARTT but improved during SENEX. We find that the** impact of hydrolysis of ISOPNB 508 509 on boundary layer O_3 appears to be small. This is mainly because without hydrolysis, the dominant loss of ISOPNB is oxidation by OH, which then leads to the formation of 510 secondary organic nitrates including MVKN, MACRN and a C5 dihydroxy dinitrate 511 512 (DHDN.). The majority of these organic nitrates (MVKN and DHDN) return NO_x slowly 513 due to their long lifetimes (Table S5),, resulting in a similar effect on ozone production as hydrolysis of ISOPNB. In addition to Besides the good agreement of Σ ANs, our model 514 shows good agreement with speciated RONO₂ measured during SENEX and SEAC⁴RS, 515 516 including ISOPN and the sum of MVKN and MACRN (Figure 2). We find that the large discrepancy between Σ ANs and speciated alkyl nitrates (Figure S5) can be explained by 517 a combination of monoterpeneterpene nitrates and DHDNthe C5 dihydroxy dinitrate and 518 519 nighttime NO₃ oxidation products from isoprene, accounting for 20 - -24 %, 14 - -17 % and 23 - -29 % of Σ ANs respectively in the boundary layer. in the boundary layer. 520 Contribution of the three types of alkyl nitrates also show different vertical trend. For 521 clarification, all model results discussed below are from AM3 with hydrolysis of 522 523 ISOPNB.

Given the good agreement between observed and modeled RON in both 2004 and 2013, 524 we find that the ozone bias, shown in Figure 1, cannot be completely explained by an 525 overestimate of anthropogenic NO_x emissions. A recent GEOS-Chem study (Travis et al., 526 2016) shows that the ozone bias in their model can be largely reduced by scaling down 527 anthropogenic NO_x emissions. We find that a similar reduction of anthropogenic NO_x 528 emissions in 2013, from 0.25 Tg N mon⁻¹ to 0.15 Tg N mon⁻¹, would lead to an 529 underestimate of NO_y, HNO₃ and PAN by 30 %, 33 % and 30 %, respectively. Such a 530 reduction would be also inconsistent with the relative changes in EPA estimates of NO_x 531 532 emissions shown above. Indeed, other processes, such as ozone dry deposition, may also contribute to this bias and warrant further investigation. 533

534

4 4.2 <u>NO3-NO3NO3-NO3-NO3-NO3-</u> wet deposition flux and concentration

539	is calculated by multiplying the measured
540	<u>NO3-NO3 NO3-NO3-NO3-NO3-NO3-</u> concentration and precipitation at each
541	monitoring site as
542	$F_{o,i}=C_{o,i}P_{o,i} \qquad F_{o,i}=C_{o,i}P_{o,i}=C_{o,i}P_{o,i}=C_{o,i}P_{o,i}=C_{o,i}P_{o,i}=C_{o,i}P_{o,i}=C_{o,i}P_{o,i}$
543	where $F_{o,i}$ is the monthly-mean <u>NO3-NO3-NO3-</u> wet deposition flux, $C_{o,i}$ and $P_{o,i}$
544	are the monthly-mean observed NO_3^- <u>NO3-NO3-</u> concentration precipitation at
545	monitoring site i. The modeled $NO3 - NO_3 NO3 - NO3 $
546	deposition flux includes HNO_3 and all the alkyl nitrates. Observations indicate a 24 $\%$
547	reduction of $\underline{NO3}$ - $\underline{NO3}$
548	from 2004 to 2013 over the Southeast U.S., likely due to NO_x emission reductions. This
549	reduction in monthly averaged $NO3 - NO_3 NO3 - NO3 -$
550	deposition flux is well captured by our model (-29 %), despite model has a low relative
551	bias of 40 % in both years and NMB of - 39 43 % (Table S4)
552	Since errors in modeled precipitation could strongly affect the modeled NO_3 wet

deposition flux (Appel et al., 2011; Grimm and Lynch, 2005; Metcalfe et al., 2005; 553 Paulot et al., 2014; Tost et al., 2007), we also evaluate the modeled NO_3 concentration 554 $(C_{p,i})$, which is calculated by using the modeled NO₃ wet deposition flux $(F_{p,i})$ and 555 observed precipitation ($P_{o,i}$; $C_{p,i} = F_{p,i}/P_{o,i}$), as a separate constraint. The model shows a 556 similar declining trend from the observations with a <u>relative</u> bias of - $\frac{2318}{3}$ % and - $\frac{4140}{3}$ % 557 on NO₃ concentration for 2004 and 2013 respectively. Our results are consistent with the 558 base case of Paulot et al. (2016), which showed that convective removal is likely 559 insufficient in AM3, leading to underestimates of both NO3 wet deposition flux and 560 concentrations<u>NO3-NO3-NO3-NO3-.NO3-</u> Our results are somewhat different from 561 a recent GEOS-Chem study (Travis et al., 2016). They found that reducing anthropogenic 562 NO_x emissions from NEI11v1 by <u>5360</u> % can significantly improve the overestimate of 563 564 71 % on <u>NO3-NO3NO3-NO3-NO3-NO3-NO3-NO3-</u> wet deposition flux in their model during August-September of 2013. A further reduction of anthropogenic NO_x 565 emissionsemission in our model (to 0.15 Tg N mon⁻¹), as suggested by Travis et al. 566 (2016), in the previous study, would lead to an even greater negative bias compared to 567 observations. 568

569

4.3 RONO₂ and related species Chemistry

570 We further RONO₂ - can serve as either a temporary NO_{*} reservoir by releasing NO₂ via
 571 photooxidation or a permanent NO_{*} sink via hydrolysis to produce HNO₃. The modeled
 572 fate of RONO₂ affects simulated O₃. Therefore, it is necessary to evaluate the RONO₂

- 573 chemistry and related species in this section, with a large focus on measurements from
 574 ICARTT and SEAC⁴RS. to understand its speciation.
- 575 The major pathway for the production of daytime RONO₂ is the reaction of NO with RO₂ 576 originating from VOC oxidation by OH:

$$RH + OH + O_2 \rightarrow RO_2 + H_2O \tag{R3}$$

$$NO + RO_2 \rightarrow (1 - \alpha) NO_2 + \alpha RONO_2 + HCHO + products$$
 (R4)

577 where α is the branching ratio for alkyl nitrate formation. NO₂ subsequently further 578 undergoes photolysis to produce O₃:

$$NO_2 + hv \xrightarrow{O_2} O_3 + NO$$
 (R5)

579 For isoprene, α is 9 ± 4 % (for ISOPN) according to a recent study (Xiong et al., 2015). For monoterpenes, specifically α -pinene, α ranges from 1 % to 26 % (Rindelaub et al., 580 2015; Nozière et al., 1999; Aschmann et al., 2002). Here, we use 10 % for isoprene and 581 26 % for monoterpenes. (Table S2), and take α as an average of RONO₂ from all the 582 precursors. As RONO₂ and O_3 are both produced from (R4), a correlation between them 583 584 is expected. We show that the model can roughly reproduce the correlation of $O_x (= O_3 + O_3)$ NO₂) vs. Σ ANs during both ICARTT and SEAC⁴RS (Figure 4), although the slope has a 585 positive relative bias of about 21 % and 33 % respectively, largely due to an overestimate 586 of O_3 in the model. In particular, we find that the model shows better agreement with the 587 observed correlation of O_x vs. daytime isoprene nitrates (the sum of ISOPN, MVKN and 588 MACRN) than O_x vs. ΣANs during SEAC⁴RS. This is expected since these three RONO₂ 589 species are directly coproduced with O₃ from (R4), but only account for a small fraction 590 of ΣANs (Figure S5). The good agreement between observed and modeled O_x vs. 591 daytime RONO₂ provides additional support for our treatment of the yields and fate of 592 these daytime isoprene nitrates. 593

Another metric to evaluate RONO₂ chemistry is the correlation between Σ ANs and 594 HCHO, as the latter is a coproduct from (R4). Since HCHO can be produced from other 595 pathways of isoprene hydroxyl peroxy radicals (ISOPO2) besides (R4) (such as 596 isomerization of ISOPO₂ and ISOPO₂ + HO₂), changes in the slope of Σ ANs vs. HCHO 597 may help to quantify decadal changes in isoprene oxidation pathways. We show in Figure 598 599 4 that the model can roughly capture the observed Σ ANs-HCHO slope, with an underestimate by 25 % and 13 % during ICARTT and SEAC⁴RS, respectively. The 600 601 underestimate is in part due to small alkyl nitrates that are neglected in the model, as 602 mentioned in section 4.1. above. During ICARTT, the slope estimated by AM3 is 0.12, similar to the value (0.15) from a previous GEOS-Chem study using a different isoprene 603 oxidation mechanism that assumed a higher α (of <u>411.7% from ISOPNB and 7.0</u>-% from 604

605 both ISOPNB and ISOPND vs. 10 % of ISOPNB and zero ISOPND in AM3) and a lower 606 yield of HCHO (66 % vs. 90 % in AM3) (Mao et al., 2013b).(Mao et al., 2013). The 607 reason for such similarity between the two models might be two-fold: (a) the additional 608 contribution of monoterpene nitrates to Σ ANs in AM3 compensates for the decrease in α 609 from isoprene nitrates compared to GEOS-Chem and (b) the coarse grid resolution of that 610 GEOS-Chem simulation (2 ° x 2.5 °) may lead to a higher estimate of HCHO compared to 611 the result from a finer grid resolution (Yu et al., 2016).

- 612 Since HCHO can be produced from other pathways of isoprene hydroxyl peroxy radicals
- (ISOPO₂) besides (R4) (such as isomerization of ISOPO₂ and ISOPO₂ + HO₂), changes 613 in the slope of Σ ANs vs. HCHO may help to quantify decadal changes in isoprene 614 <u>oxidation pathways.</u> We find in Figure 4 that the observed slope of Σ ANs-HCHO shows 615 very little change from 2004 to 2013. This is in part due to substantial HCHO production 616 from isoprene oxidation under low NO_x conditions (Li et al., 2016), and in part due to the 617 buffering of Σ ANs in response to decreasing NO_x, as shown below in section 5.1. Our 618 619 model is able to reproduce such behavior. We also find in our model that the branching ratios for the reactions of ISOPO₂ change marginally from 2004 to 2013 over the 620 Southeast U.S. (Figure S6). The fraction of $ISOPO_2 + NO$ has decreased from 81 % in 621
- 622 2004 to 66 % in 2013. The fraction of $ISOPO_2 + HO_2$ has increased from 15 % to 28 %, 623 and the fraction of $ISOPO_2$ isomerization has increased from 4 % to 6 %. Our result is 624 slightly different from the results of GEOS-Chem, which found a lower contribution from 625 the NO pathway (54 %) and higher from isomerization (15 %) during August_-626 September of 2013 (Travis et al., 2016).

We also compare the correlation between major daytime isoprene nitrates and HCHO 627 during 2013, which provides a constraint on the yield of these nitrates. Our model shows 628 629 a slight overestimate on the slope (Figure 4 (b)), consistent with comparison of mean 630 vertical profiles shown in Figure 2. The <u>computedestimated</u> slope (5 %) in this study is 631 different from that (2.5 %) of a recent GEOS-Chem simulation by Fisher et al. (2016). 632 This is partially due to the different treatment of β - and δ -ISOPO₂ between GEOS-Chem 633 and AM3. Another factor is that MVKN and MACRN are not allowed to hydrolyze in 634 AM3, leading to a higher abundanceburden of these two nitrates.

Figure 5 shows the mean vertical profiles of modeled monoterpene nitrates (MNs) and 635 isoprene nitrates (INs) during ICARTT and SEAC⁴RS. INs are the most abundant 636 RONO₂, accounting for 76-80 % below 3 km over the Southeast U.S., consistent with 637 638 the large isoprene emissions in this region in summer (Palmer et al., 2003). In the 639 measurements, ISOPN + MVKN + MACRN only contribute one third of the total INs 640 (Figure S5). We show below that the discrepancy of Σ ANs and speciated RONO₂ can be 641 explained by other daytime and nighttime INs as well as MNs in the model. More than 60 % of modeled INs originate from isoprene oxidation during daytime. The first-642

643 generation nitrate ISOPN contributes slightly more (31 %) than the second-generation nitrates MVKN + MACRN (28 %) to the total daytime INs during ICARTT. This is 644 645 different from Mao et al. (2013b)(2013) who showed a higher contribution of MVKN + MACRN than the first-generation INs, due to the different treatment of β - and δ -ISOPO₂. 646 647 We see more ISOPN (32 %) than MVKN + MACRN (26 %) from the daytime INs during SEAC⁴RS, consistent with Fisher et al. (2016). A large uncertainty in our model is 648 attributed to DHDN, which contributes 32 % to the daytime INs. Fisher et al. (2016) 649 showed less DHDN during SEAC⁴RS since it was removed rapidly by hydrolysis (1-h 650 lifetime) in their model. Our sensitivity test (hydro_fullAM3h, Figure S2) indicates that 651 AM3 would significantly underestimate Σ ANs if we assume a similar heterogeneous loss 652 of DHDN as ISOPN. In fact, DHDN was hypothesized originally in Lee et al. (2014) for 653 654 the imbalance of nitrogen in their lab experiments, and may serve as a proxy for a large number of unidentified daytime INs. It remains unclear what the dominant loss of DHDN 655 is. Daytime nitrates from monoterpene oxidation are another important source of ΣANs 656 657 in this region, accounting for 17 - -20 % (24 - -26 ppt) of the total. Fisher et al. (2016) estimate a smaller burden of MNs, of about 10 - -20 ppt due to a lower molar yield (18 % 658 659 vs. 26 % in AM3) and faster hydrolysis of MNs in their model.

Nighttime chemistry contributes about $30_{--}-36$ % of Σ ANs, which is dominated by 660 661 isoprene oxidation as well (Figure 5). 33 - -41 % of the INs are produced during night, similar to the value (44 %) reported by Mao et al. (2013b)(2013) but with different 662 speciation, due to the different treatment of chemistry. PROPNN contributes about 29-38 % 663 of the total INs. PROPNN in this work is mainly produced from the oxidation of C5 664 665 carbonyl nitrate (ISN1) and C5-nitrooxy hydroperoxide (INPN) and C5 carbonyl nitrate (ISN1; (dominantly by photolysis) that are generated from isoprene oxidation by NO_3 666 during the nighttime. This is different from Fisher et al. (2016), who showed that 667 **PROPNN** is partially from the δ -ISOPO₂ + NO pathway and partially from the oxidation 668 669 of ISN1 by NO₃and INPN. In our model, we see a rapid increase prompt production of PROPNN after sunrise in the boundary layer (Figure S7), consistent with observations at 670 the Southern Oxidants and Aerosols Study (SOAS) ground site CTL (Schwantes et al., 671 2015). Our model overestimates the mean vertical profile of PROPNN by a factor of 3 672 (not shown). As our model may largely underrepresent the chemical complexity of 673 nighttime isoprene oxidation as shown by Schwantes et al. (2015), we consider PROPNN 674 as a proxy for other unspecified isoprene nighttime nitrates. Over allAs a result, 675 **PROPNN** contributes a significant fraction of Σ ANs in the model, 23 - 29 % in the 676 boundary layer as shown in section 4.1. With monoterpene nitrates and isoprene derived 677 DHDN and nighttime NO₃ oxidation products taken into account, we find that model can 678 679 well reproduce both observed Σ ANs and speciated alkyl nitrates (Figure S5).-

680

5- Decadal Change of PBL <u>RONNO_v species</u> and surface ozone over SEUS

681 As RON and related species from aircraft and surface measurements are well reproduced 682 in our model for both 2004 and 2013, we assume that the model is representative of this 683 chemical environment, and then use the model to derive monthly mean changes between 684 2004 and 2013. We also investigate the impacts of further decreases in NO_x emissions by 685 applying a hypothetical 40 % reduction of anthropogenic NO_x emissions of 2013 but 686 keeping other emissions and meteorology the same ("hypo" case in Table 2).

687 <u>5.1 RON 5.1 NO_y species</u>

688 We first examine the simulated decadal change of RONNO_x-species in the boundary layer over the Southeast U.S. as shown in Figure 6. In summer of 2004, -shows-the model 689 suggests that mean modeled boundary layer concentrations of NO_x, HNO₃, Σ PNs, Σ ANs 690 and NO_{*} in the summer of 2004 and 2013 as well as a scenario with a further 40 % 691 reduction from 2013 anthropogenic NO_x emissions to simulate possible future emission 692 reductions. In summer 2004, modeled NO_v is mainly comprised of HNO₃ (45 %), NO_x 693 (31 %), ΣPNs (14 %) and ΣANs (9 %). In response to imposing a 40_% reduction in 694 anthropogenic NO_x emissions (35 % reduction in total NO_x emissions, Table 1) from 695 2004 to 2013, NO_v declined by 34 %. This modeled response is comparable to consistent 696 with-long-term NO_v measurements from the AQS surface networkdataset, which shows 697 on average a 4542 % decrease from 2004 to 2013 over the Southeast U.S. Based on 698 699 model estimates in Figure 6, most RONMost NO_x species are reduced proportionally, with decreases of 38 % for HNO₃, 32 % for NO_x and 34% for Σ PNs. The different 700 701 change in Σ PNs and PAN (the majority of Σ PNs) in Figure 1 might be due to the difference in sampling regions. The only exception is ΣANs , with a smaller decline of 702 703 19 %. As an important source of organic aerosols (OA), *SANs* may contribute to It should be noted that the decrease change of OA over Σ ANs discussed here is different 704 from the Southeast U.S. in the past decade (Blanchard et al., 2016). surface concentrations 705 706 discussed in Section 4.1.

707 We conducted a sensitivity test with an additional 40 % reduction of anthropogenic NO_x emissions from 2013. (Table 2). We find that NO_v decreases by 29 %, with a proportional 708 decrease in HNO₃, NO_x, and Σ PNs (Figure 6). The slower decrease of NO_y is likely due 709 710 to. Again, we find that Σ ANs, which decrease at a slower rate and becomes, becoming a larger fraction of total NO_v. The buffering of Σ ANs is consistent with previous studies 711 712 (Browne and Cohen, 2012; Fisher et al., 2016), mainly due to decreasing NO_x leading to lower OH resulting from decreased NO_x (Figure S8) and thus a prolonged 713 lifetimes $\frac{1}{1}$ of NO_x and \sum ANs (Browne and Cohen, 2012). As shown in Figure S8, 714 averaged noontime OH decreases by 11 % from 2004 to 2013 and by 29 % after we 715 impose an additional 40 % NO_x emission reduction from 2013 levels. 716

717 The historical NO_x emission reduction also affects reactive nitrogen export out of the boundary layer. Here we define exported nitrogen as the difference of the sources 718 (chemical production and emissions) and sinks (chemical loss, wet and dry deposition). 719 As shown in Table 32, total summertime NO_v export from the Southeast U.S. boundary 720 721 layer decreases proportionally, from 24.1 Gg N in 2004 to 16.6 Gg N in 2013. The NO_v export efficiency, calculated as net exported nitrogen divided by total 722 NO_x emissions, remains roughly the same (12 %) for 2004 and 2013, comparable 723 toconsistent with previous studies (Fang et al., 2010; Li et al., 2004; Parrish et al., 2004; 724 725 Mao et al., 2013; Sanderson et al., 2008; Hudman et al., 2007). Among all exported species, NO_x contributes most of net export from the PBL (6 % of total NO_x emissions), 726 727 followed by PAN (4 %) and ΣANs (2 %). We emphasize in Table 32 that a major fraction of NO_x is exported through the top of the boundary layer (convection). From a 728 729 budget calculation throughout the tropospheric column over the same region, we find that despite being the same NO_v export efficiency (12 %), HNO₃ becomes the major exporter, 730 731 accounting for half of NO_v export efficiency from the total column (6 %). The 732 contributions from PAN and Σ ANs are roughly the same as their export from the 733 boundary layer (4 % and 2 %). This suggests that surface NO_x ventilated through the boundary layer, converted to HNO₃ in the free troposphere and exported as HNO₃ is 734 likely the major NO_y export mechanism over the Southeast U.S. in our model, which is in 735 agreement with previous observations (Parrish et al., 2004; Neuman et al., 2006). PAN 736 737 and \sum ANs together account for another half of NO_v export efficiency. As PAN 738 and \sum ANs are of biogenic origin and longer lived than HNO₃, they may play a key role in influencing **RON**reactive nitrogen and ozone in downwind regions (Moxim et al., 1996; 739 740 Fischer et al., 2014).

741 **5.2 Surface ozone**

Understanding oxidation chemistry of NO_x and VOCs is essential to improve surface 742 ozone air quality by implementing effective control strategies. Since the mid-1990s, NO_x 743 emission controls have led to significant improvement on ozone air quality over the 744 eastern U.S. (Simon et al., 2015; Cooper et al., 2012). As NO_x emissions continue to 745 decrease, ozone production efficiency (OPE) may increase due to the lower NO_x removal 746 747 rate by OH and to some extent may compensate the ozone reduction (Sillman, 2000). 748 Meanwhile, surface ozone production may be further complicated by the increasing importance of RO_2ISOPO_2 isomerization and $RO_2 + HO_2$. (Peeters et al., 2014). Here we 749 750 first evaluate our model against surface ozone observations in 2004 and 2013, and then 751 project the future response of surface ozone to even lower NO_x emissions to examine the 752 efficacy of near-term NO_x emission controls at lowering near-surface ozone levels.

We first examine the modeled surface ozone against observations at 157 EPA AQS monitoring sites over the Southeast U.S. in July-August of 2004 and 2013 (Figure S9). In 755 general, AM3 overestimates surface MDA8 ozone in both years by about 16 ppb on 756 average, with the NMB of 33 - 45 % and NME of 35 - 46 % respectively.- This positive bias of summertime surface O₃ has been a common issue to a number of modeling studies 757 of this region (Fiore et al., 2009; Canty et al., 2015; Brown-Steiner et al., 2015; Strode et 758 759 al., 2015; Travis et al., 2016). This might be partially attributed to overestimated anthropogenic NO_x emissions from non-power plant sectors, excessive vertical mixing in 760 the boundary layer (Travis et al., 2016) or underestimates of O₃ dry deposition (Hardacre 761 762 et al., 2015; (Error! Hyperlink reference not valid. Hardacre et al., 2015; Error! Hyperlink 763 reference not valid. Val Martin et al., 2014). Further studies are warranted to investigate 764 the cause of this bias in AM3.

Surface O_3 concentrations over the Southeast U.S. decline substantially from 2004 to 765 2013 in response to the large NO_x emission reduction (Simon et al., 2015). MDA8 ozone 766 averaged across all the monitoring sites is observed to decrease by 11 ppb (23 % of 767 768 observed mean MDA8 ozone in July-August of 2004) resulting from approximately 40 % reductions of anthropogenic NO_x emissions (35 % reduction in total NO_x emissions).) 769 across the continental U.S. This strong sensitivity of surface ozone to NO_x emission 770 reflects the linear relationship between ozone production rate and NO_x concentrations 771 when NO_x is low (Trainer et al., 2000). In contrast, the sensitivity of surface ozone to 772 773 NO_x emissions appears to be weaker in the 1980s. Jacob et al. (1993) found that summertime afternoon ozone over the eastern U.S. is only reduced by 15 % with a 50 % 774 reduction of anthropogenic NO_x emissions from 1985 levels, suggesting a lower OPE 775 776 when NO_x concentrations were high. We attribute this transition from a low OPE in the 777 1980s to high OPE in 2000s to the extended lifetime of NO_x resulting from NO_x emission reductions. Our model is able to capture this strong NO_x - $-O_3$ sensitivity, with the mean 778 MDA8 ozone reduced by 10 ppb from 2004 to 2013. We find that a further 40 % 779 reduction of anthropogenic NO_x emissions with identical meteorological conditions and 780 non anthropogenic emissions in 2013 could lead to an additional 9 ppb decrease, a 781 782 similar magnitude to the change from 2004 to 2013. Meanwhile, any increase in OPE 783 over the past decade appears to be small and to have little impact on net ozone production, 784 leading to a continued high sensitivity of surface ozone to NO_x emission reductions in the 785 near future.

We further investigate the impact of temperature and moisture on surface O_3 from 2004 786 to 2013. While several studies suggest that surface O₃ increases with ambient temperature 787 (Jacob and Winner, 2009; Bloomer et al., 2010; Wu et al., 2008; Steiner et al., 2010), 788 Cooper et al. (2012) showed that this temperature related impact is weak during the 789 period of 1990-2010 across the U.S.AUSA. Recent studies suggest that relative humidity 790 (RH) or vapor pressure deficit (VPD) may play an important role in ozone variability 791 792 through soil-atmosphere or biosphere-atmosphere coupling (Kavassalis and Murphy, 793 2017; Camalier et al., 2007; Tawfik and Steiner, 2013). Our model shows marginal 794 differences in RH (less than 1 %) and temperature (+ 2.4 K) within the PBL over the Southeast U.S. between the summers of 2004 and 2013, consistent with observed changes 795 of RH (+ 2.7 %) and temperature (+ 2.6 K) during ICARTT and SENEX. This small 796 variation in the model is also consistent with climatology data (Hidy et al., 2014). 797 798 Camalier et al. (2007) showed that RH has a much bigger impact on summertime ozone than temperature over the Southeast U.S., suggesting little influence of meteorology on 799 ozone trend.(Hidy et al., 2014). Using the same model but with the standard AM3 800 chemical mechanism, Lin et al. (2017) found that meteorology changes would have 801 caused high surface ozone over the eastern U.S. to increase by 0.2 - 0.4 ppb yr⁻¹ in the 802 803 absence of emission controls from 19881999 to 2014. Therefore, we conclude that the impact of climate variability and change on O_3 is relatively small compared to NO_x 804 emission reductions over the Southeast U.S., consistent with previous studies (Lam et al., 805 2011; Hidy et al., 2014; Lin et al., 2017; Rieder et al., 2015). 806

807 Decreasing NO_x emissions emission also reduces the frequency of highextreme O_3 808 pollution events. Figure 7 shows the probability density function of observed and modeled MDA8 ozone at each monitoring site during July-August of 2004 and 2013, and 809 the probability density function of modeled MDA8 ozone under a hypothetical future 810 scenario with another 40 % reduction in anthropogenic NO_x emissions compared to 2013. 811 We show that the lowest O_3 , about 20 ppb in current model simulations, remains 812 invariant with NO_x emission changes over the Southeast U.S., consistent with 813 814 observations (Figure 7 (a)). Meanwhile, the high tail of MDA8 ozone events has shifted from more than 100 ppb in 2004 to about 85 ppb after the 40_% reduction of 815 anthropogenic NO_x emissions from 2013. A similar shift is found in observations. The 816 narrowing of the range of O₃ with decreasing NO_x is consistent with the observed trends 817 reported by Simon et al. (2015). We also find that further reductions of NO_x emissions 818 will reduce both median O₃ values and the high tail, suggesting that fewer highextreme 819 ozone events will occur under continued NO_x emission controls in the future. 820

821 6 Conclusions and Discussions

Near-surface ozone production over the Southeast U.S. is heavily influenced by both 822 anthropogenic and biogenic emissions. We investigate the response of NO_v speciation to 823 824 such NO_x reduction to the significant NO_x emission controls (about 40 %) reductionreductions) in this region over the past decade, in light of the fast-evolving 825 understanding of isoprene photooxidation. This knowledge is needed to predict nitrogen 826 and ozone budgets in this region and elsewhere in the world with similar photochemical 827 828 environments. Here we use extensive aircraft and ground observations, combined with a global chemistry-climate model (GFDL AM3), to examine decadal changes in NO_v 829 abundance and speciation as well as in surface O_3 mixing ratios over the Southeast U.S. 830 between the summers of 2004 and 2013. We then use the model to infer future NO_{y} 831

speciation and surface ozone abundances in response to further NO_x emission controls in this region.

We first evaluate the model with aircraft and surface observations. When we apply the 834 estimated 40 % reductions in anthropogenic NO_x emissions from 2004 to 2013, our 835 model reproduces the <u>major features of</u> vertical profiles of NO_x, HNO₃, PAN, Σ ANs and 836 NO_v observed during aircraft campaigns over the Southeast U.S. in the summers of 2004 837 and 2013. By including recent updates toon isoprene oxidation, our model can largely 838 reproduce the vertical profiles of Σ ANs and several speciated alkyl nitrates, as well as 839 their correlations with O_x and HCHO, (Figure 4), lending support to the model 840 representation of isoprene oxidation. On the other hand, we show that athe discrepancy 841 between measured Σ ANs and speciated RONO₂₅ can be explained by a combination of 842 monoterpeneterpene nitrates, dinitrates and nighttime NO₃ oxidation products from 843 isoprene. We also show that modeled ozone appears to be insensitive to hydrolysis of 844 ISOPNB, because its photooxidation, mainly by OH, also returns little NO_x. 845

846 Major <u>RONNO_x species</u> decline proportionally as a result of NO_x emission reductions in the Southeast U.S., except for that ΣANs decline at a slower rate in ΣANs . The slower 847 decline of Σ ANs reflects the prolonged lifetime of NO_x when it is decreasing.- Our model 848 suggests that summertime monthly averaged NO_x, HNO₃, PAN, and NO_y decline by 30_-849 850 -40 %, in response to 40 % reduction reductions in anthropogenic NO_x emissions from 2004 to 2013. The slower decline of Σ ANs reflects the prolonged lifetime of NO_x with 851 decreasing NO_x. This proportional decrease is likelyto a large extent driven by high 852 853 concentrations of biogenic <u>VOCs</u>, the major precursor of <u>PANVOC</u> in this region that change little in magnitude from 2004 to 2013. In contrast, Pollack et al. (2013) find a 854 faster PAN decrease than HNO₃ in the LA basin over the past several decades, partly due 855 to the decrease in anthropogenic VOC emissions that are major PAN precursors. 856

B57 Deposited and exported NO_y decline with NO_x emission reductions. The model also 858 shows a decrease of NO₃⁻ wet deposition flux by 29 % from 2004 to 2013, consistent with 859 observations from the NADP network (- 24 %). We find from model calculations that the 860 NO_y export efficiency remains at 12 % in both 2004 and 2013, leading to a proportional 861 decrease of exported NO_y. The dominant NO_y export terms include NO_x or HNO₃, each 862 accounting for 6% of the total exported NO_y, followed by Σ PNs (4 %) and Σ ANs (2 %).

863 The response Response of surface ozone to NO_x emission reductions reveals a strong Θ_3 -864 NO_x – O_3 _sensitivity in summertime over the Southeast U.S. Observations from EPA 865 AQS surface network suggest that mean MDA8 ozone during July-August has decreased 866 by 23%, from 48 ppb in 2004 to 37 ppb in 2013., a 23 % decrease. Despite a positive 867 absolute bias of up toupto 12 ppb in boundary layer ozone and a bias of 16 ppb in surface 868 MDA8 ozone, our model shows a 10 ppb decrease of surface MDA8 ozone from 2004 to 869 2013, very close to the observed 11 ppb decrease from the EPA data. The bias of ozone in our model is not entirely attributed to uncertainties in NO_x emissions, as the 870 overestimate suggested by earlier work would lead to an underestimate of NO_v (Travis et 871 al., 2016). Care should be exercised in applying the modeling results for surface ozone 872 873 regulation purposes, given the high ozone bias shown in our model. We. More importantly, we find from model calculations that modeled MDA8 O₃ will continue to 874 decrease by another 9 ppb assuming anthropogenic NO_x emissions are reduceddecreased 875 by 40 % from the 2013 levelslevel with meteorology and other emissions kept the 876 sameconstant. In addition, further NO_x emission reduction leads to less frequent 877 highextreme ozone events. (Figure 7). This continued strong sensitivity of surface O_3 to 878 NO_x emissions can guide the development of effective emission control strategies for 879 improving future air quality. 880

881 Data availability

882 Observational datasets and modeling results are available upon request to the 883 corresponding author (<u>jmao2@alaska.edu</u>).

884 **Author contributions**

885 This was largely a collaborative effort. R. C. Cohen, J. D. Crounse, A. P. Teng, P. O. Wennberg, B. H. Lee, F. D. Lopez-Hilfiker, J. A. Thornton, J. Peischl, I. B. Pollack, T. B. 886 Rverson, P. Veres, J. M. Roberts, A. Neuman, J. B. Nowak, G. M. Wolfe, T. F. Hanisco, 887 A. Fried, H. B. Singh, J. Dibb contributed to the collection of aircraft observations in 888 2004 and 2013; J.Mao and J.Li conceived the study; J.Li and J. Mao performed analysis 889 and modeling; J.Li, J.Mao, A. M. Fiore, R. C. Cohen, P. O. Wennberg, J. D. Crounse, J. 890 891 A. Thornton, A. Neuman, J. B. Nowak, J. Peischl, J. Dibb, F. Paulot, L. W. Horowitz and G. M. Wolfe wrote the paper with input from all coauthors. 892

893 Competing interests

894 The authors declare that they have no conflict of interest.

895 Acknowledgements

The authors thank Vaishali Naik (NOAA GFDL) for providing emission inventories in 896 897 the GFDL AM3 model, and Leo Donner (NOAA GFDL) and William Cooke (UCAR/NOAA) for the help with convection scheme of AM3. J.L., J.M. and L.W.H. 898 899 acknowledge support from the NOAA Climate Program Office grant # NA13OAR431007. J.M., L.W.H. and A.M.F. acknowledge support from NOAA Climate 900 901 Program Office grant #NA14OAR4310133. J.D.C. and P.O.W. acknowledge support from NASA grants (NNX12AC06G and NNX14AP46G). J.L acknowledge support from 902 the Startup Foundation for Introducing Talent of NUIST grant #2243141701014 and the 903 Priority Academic Program Development of Jiangsu Higher Education Institutions 904

905 (PAPD).

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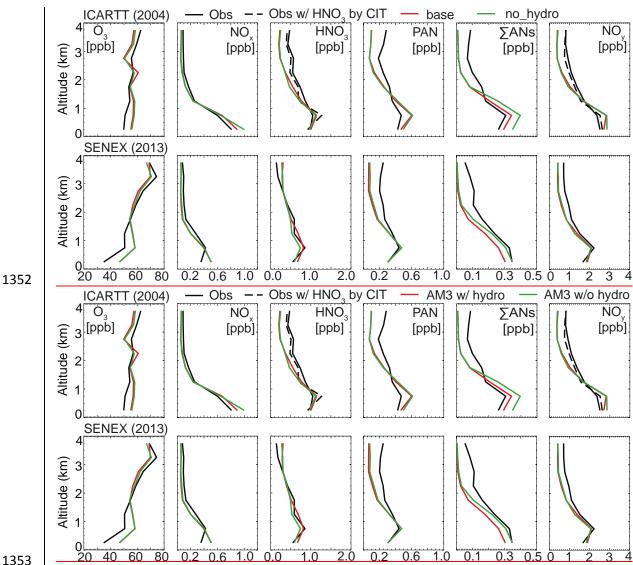
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1353

Figure 1. Mean vertical profiles of ozone and reactive <u>oxidized nitrogen species</u> from observations during ICARTT (top row) and SENEX (bottom row) over SEUS (25 - -40° N, 100 - -75° W) during daytime, and model estimates from AM3 with hydrolysis of 1356 **ISOPNBalkyl nitrates** (red) and AM3 without hydrolysis of alkyl nitrates (green). The 1357 soliddashed and dashedsolid black lines in the HNO₃ of ICARTT represent 1358 measurements collected using mist chamber/IC by University of New Hampshire (UNH) 1359 and Chemical Ionization Mass Spectrometer by California Institute of Technology (CIT), 1360 respectively. NO_v from ICARTT is calculated as the sum of NO_x, HNO₃ (w/ UNH in the 1361 solid line and w/ CIT in the dashed line), PAN and total alkyl nitrates (ΣANs). ΣANs in 1362 the bottom row are from SEAC⁴RS. 1363

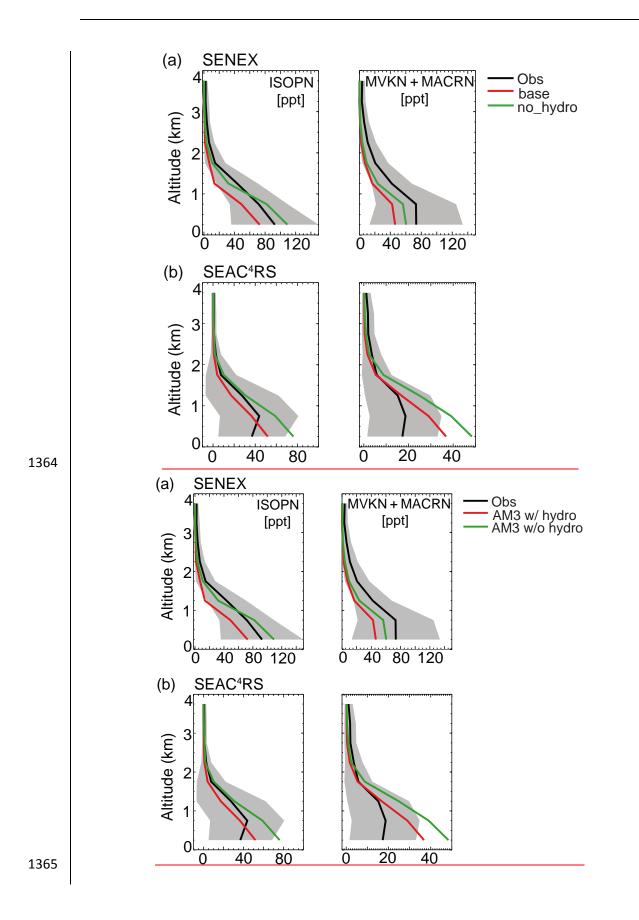


Figure 2. Mean vertical profiles of ISOPN and MVNK+MACRN during (a) SENEX and 1367 | (b) SEAC⁴RS over SEUS (25 - -40° N, 100 - -75° W). Black lines are the mean of 1368 observations. Red and green lines are the mean of modeled results with hydrolysis of 1369 ISOPNB and without hydrolysis of alkyl nitrates respectively. Grey shades are the one 1370 standard deviation ($\pm \sigma$) of averaged profiles of the measured tracers.

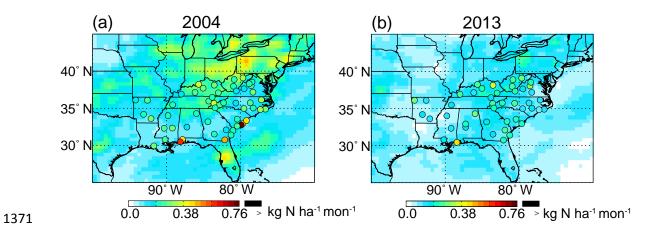
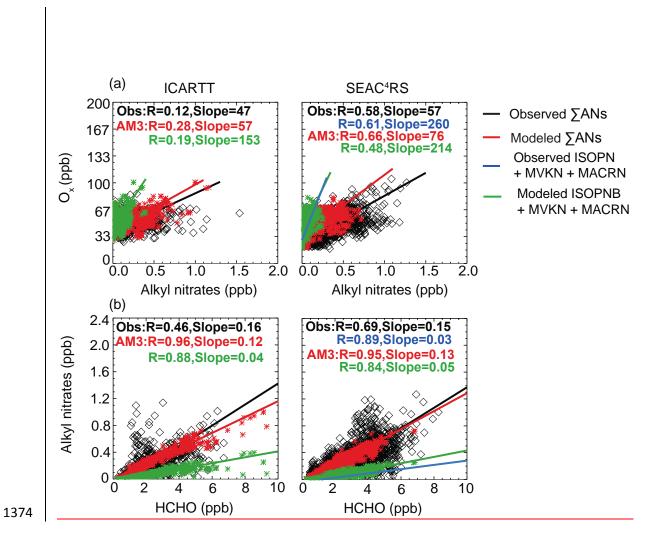
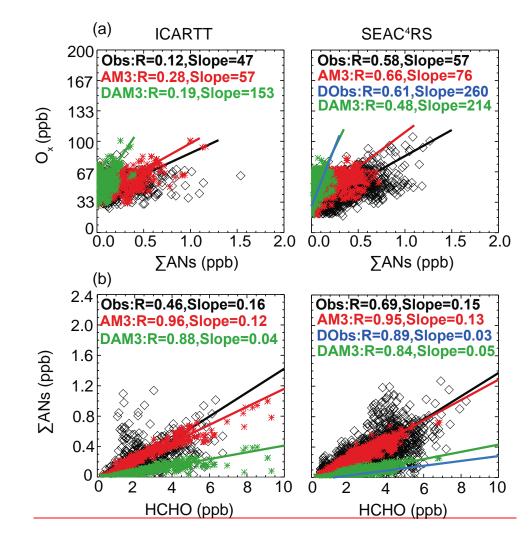


Figure 3. Nitrate wet deposition flux (kg N ha⁻¹ mon⁻¹) from NADP (circles) and AM3
(background) during July -- August of 2004 and 2013.





1375

Figure 4. O_x versus \sum ANs correlation (top; (a)) and \sum ANs versus formaldehyde correlation (bottom; (b)) within the boundary layer (< 1.5 km) during ICARTT (left) and SEAC⁴RS (right). Observations are in black diamonds; model estimates from AM3 with ISOPNB hydrolysis are in red symbols. Green symbols-(DAM3) represent the correlation using modeled ISOPN + MVKN + MACRN. Blue symbols (DObs) represent the correlation using observed ISOPN + MVKN + MACRN from SEAC⁴RS. Solid lines are the reduced major axis regression lines.

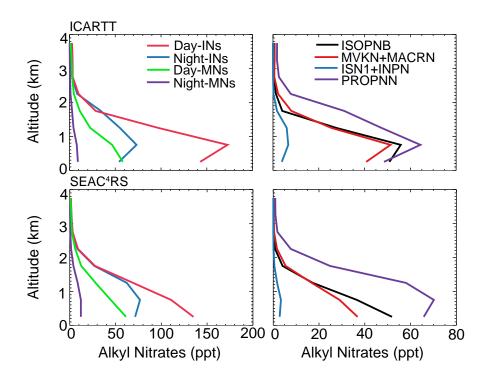




Figure 5. Mean vertical profiles of modeled alkyl nitrates from isoprene and
 monoterpene oxidation (left) and major isoprene nitrate species (right) during ICARTT
 (top row) and SEAC⁴RS (bottom row) from AM3 with hydrolysis of ISOPNB.

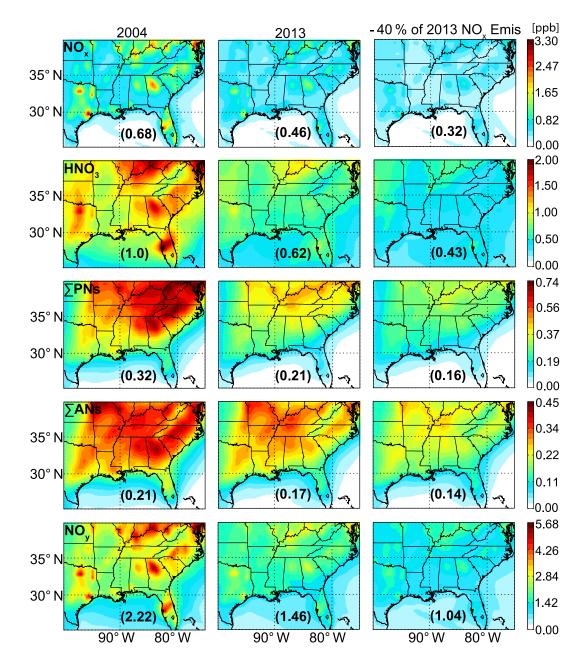
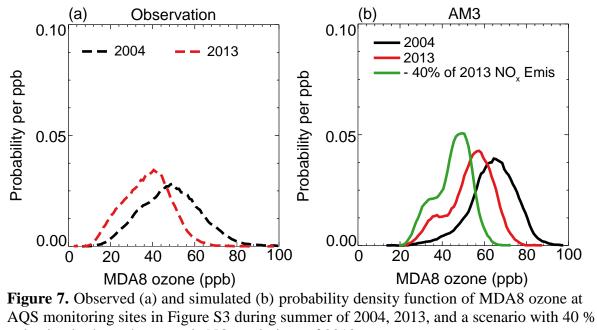


Figure 6. Modeled mean NO_x, HNO₃, total peroxy nitrates (Σ PNs), total alkyl nitrates (Σ ANs) and NO_y averaged over the boundary layer (< 1.5 km) of the Southeast U.S. during July -- August of 2004 (left), 2013 (middle), and a scenario assuming 40 % reduction of 2013 anthropogenic NO_x emissions (right). Numbers in parentheses indicate mean concentrations over the plotted region. Note different color scales represent the concentration of each species.



1398 | reduction in the anthropogenic NO_x emissions of 2013.

Table 1.1. Monthly averaged NO_x emissions in July-August of 2004 and 2013 over North America (25-50° N, 130-70° W) and over the Southeast US (25-40° N, 100-75° W) in brackets in AM3.in AM3.

Source Type	2004 (Tg N)	2013 (Tg N)		
Anthropogenic	0.42 <u>(0.19)</u>	0.25 <u>(0.11)</u>		
Biomass Burning	8.4×10 ⁻³ (2.8×10 ⁻³)	8.4×10 ⁻³ (2.8×10 ⁻³)		
Soils	2.9×10 ⁻² (9.5×10 ⁻³)	2.9×10^{-2} (9.5×10 ⁻³)		
Aircraft	8.8×10 ⁻³ (2.9×10 ⁻³)	8.0×10^{-3} (2.8×10 ⁻³)		
Lightning	0.02 <u>(0.01)</u>	0.02 <u>(0.01)</u>		
Total	0.49 <u>(0.22)</u>	0.32 <u>(0.14)</u>		

Table 2. Case descriptions

Cas	se name	Heterogeneous Loss of organic nitrates	<u>NO_x emissions</u>	<u>Meteorology</u> 2004 and 2013		
	<u>base</u>	ISOPNB with a γ of 0.005 and followed by a hydrolysis rate of 9.26×10 ⁻⁵ s ⁻¹	<u>2004 and 2013</u>			
no	<u>hydro</u>	=	2004 and 2013	2004 and 2013		
hyc	<u>lro full</u>	ISOPNB and DHDN with a γ of 0.005 and followed by a hydrolysis rate of 9.26×10 ⁻⁵ s ⁻¹ ; TERPN1 with a γ of 0.01 and followed by a hydrolysis rate of 9.26×10 ⁻⁵ s ⁻¹	<u>2004 and 2013</u>	<u>2004 and 2013</u>		
]	<u>hypo</u>	Same with the base case	$\frac{40 \% \text{ reduction of}}{\text{NO}_x \text{ emissions of}}$ $\frac{2013}{2013}$	<u>2013</u>		
;						

	2004				2013				- 40 % of 2013 Anthropogenic NO _x Emis						
Species	Emission	Chem (P-L)	Dry Dep	Wet Dep	Net Export	Emission	Chem (P-L)	Dry Dep	Wet Dep	Net Export	Emission	Chem (P-L)	Dry Dep	Wet Dep	Net Export
NO _x	208.7	-172.4	21.8	_	14.5	132.6	-105	14.2	_	13.4	88.3	-69.6	9.2	_	9.5
∑PNs ^b		15.2	5.7	-	9.5		10.3	3.9	_	6.4		7.7	3.0	_	4.7
∑ANs		24.3	14.3	6.2	3.8		19.4	11.4	4.7	3.3		15.4	9.1	3.9	2.4
day ^c		13.8	8.7	3.6	1.5		12.0	7.5	3.0	1.6		10.2	6.3	2.6	1.3
night ^d		10.5	5.6	2.6	2.4		7.4	4.0	1.7	1.7		5.3	2.8	1.3	1.1
HNO ₃		131.7	77.8	57.6	-3.7		74.2	45.6	35.1	-6.5		45.8	29.2	25.6	-9.0
NOy					24.1					16.6					7.6

1406Table 3.2. Monthly NO_y budget in the boundary layer (< 1.5 km) of the Southeast United States for July-August of 2004, 2013 and a</th>1407scenario with 40 % reduction of anthropogenic NO_x emissions of 2013^a .

^aWe define the boundary of Southeast US is 25-40° N, 100-75° W. All budget terms are in Gg N.

1409 | ^b∑PNs <u>includesinclude</u> PAN, peroxymethacryloyl nitrate (MPAN), and a C5 hydroxy peroxyacyl nitrate (C5PAN1) produced by

1410 oxidation of ISN1.

1411 ^cAlkyl nitrates produced from oxidation of isoprene and monoterpenes by OH.

1412 ^dAlkyl nitrates produced from oxidation of isoprene and monoterpenes by NO₃.