

1 **Global-scale combustion sources of organic aerosols: Sensitivity to**
2 **formation and removal mechanisms**

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12

13 **Abstract**

14 Organic compounds from combustion sources such as biomass burning and fossil
15 fuel use are major contributors to the global atmospheric load of aerosols. We
16 analyzed the sensitivity of model-predicted global-scale organic aerosols (OA) to
17 parameters that control primary emissions, photochemical aging and the scavenging
18 efficiency of organic vapors. We used a computationally efficient module for the
19 description of OA composition and evolution in the atmosphere (ORACLE) of the
20 global chemistry-climate model EMAC. A global dataset of aerosol mass
21 spectrometer measurements was used to evaluate simulated primary (POA) and
22 secondary OA (SOA) concentrations. Model results are sensitive to the emission rates
23 of intermediate volatility organic compounds (IVOCs) and POA. Assuming enhanced
24 reactivity of semi-volatile organic compounds (SVOCs) and IVOCs with OH
25 substantially improved the model performance for SOA. Use of a hybrid approach for
26 the parameterization of the aging of IVOCs had a small effect on predicted SOA
27 levels. The model performance improved by assuming that freshly emitted organic
28 compounds are relatively hydrophobic and become increasingly hygroscopic due to
29 oxidation.

30

31 **1 Introduction**

32 Organic aerosol (OA) is an important constituent of the atmosphere, contributing
33 about 50% of the total submicron dry aerosol mass (Zhang et al., 2011) with major
34 impacts on human health and climate (IPCC, 2013; Lelieveld et al., 2015). OA
35 comprises a large number of compounds with a wide range in volatility and oxidation
36 states. The material that is in the particulate phase upon emission is called primary
37 organic aerosol (POA). The co-emitted organic vapors can undergo one or more
38 chemical transformations, which can alter their volatility due to functionalization
39 (reducing their volatility) or fragmentation (increasing their volatility). The oxidation
40 products with lower volatility can be transferred to the particulate phase forming
41 secondary organic aerosol (SOA).

42 Several regional-scale modeling studies have accounted for the semi-volatile
43 nature and chemical aging of organic compounds by using the volatility based set
44 (VBS) approach (Donahue et al., 2006), demonstrating improvements in the accuracy
45 of the predicted concentrations of organic aerosols (OA) and their chemical properties

46 (Robinson et al., 2007; Shrivastava et al., 2008; Murphy and Pandis, 2009; Hodzic et
47 al., 2010; Tsimpidi et al., 2010; Fountoukis et al., 2011; Li et al., 2011; Tsimpidi et
48 al., 2011; Bergstrom et al., 2012; Athanasopoulou et al., 2013; Zhang et al., 2013;
49 Fountoukis et al., 2014). However, only few global modeling studies have adopted the
50 VBS approach (Pye and Seinfeld, 2010; Jathar et al., 2011; Jo et al., 2013; Tsimpidi et
51 al., 2014). According to these studies, the modeled global tropospheric burden of
52 POA is 0.03-0.23 Tg and of SOA 1.61-2.77 Tg, with SVOCs and IVOCs contributing
53 0.71-1.57 Tg to the total.

54 The VBS approach is a flexible framework for simulating OA formation and
55 removal; however, there are several uncertainties in the parameters used. The first
56 source of uncertainty is related to the emissions of organic particles and vapors
57 (Kanakidou et al., 2005). The volatility distribution of the fresh POA is important in
58 the VBS as it determines the initial evaporation of POA. Part of the IVOC emissions
59 is not included in conventional inventories, even if it is important for the predicted
60 SOA (Shrivastava et al., 2008; Grieshop et al., 2009; Tsimpidi et al., 2010). Several
61 studies have assumed a 50% addition to the traditional emission inventory (e.g.,
62 Shrivastava et al., 2008; Jathar et al., 2011; Tsimpidi et al., 2014) for IVOC emissions
63 but enhancements up to a factor 6.5 have been used in the literature (e.g., Shrivastava
64 et al., 2011). Furthermore, most previous modeling studies typically assumed the
65 same volatility distributions of all emissions independent of their source (e.g.
66 Robinson et al., 2007). However, recent investigations reported significant differences
67 in the volatility distribution of particles emitted from biomass burning, diesel and
68 gasoline vehicle exhausts (May et al., 2013a; May et al., 2013c, b).

69 The second source of uncertainty is related to the oxidation of the emitted SVOCs
70 and IVOCs. The parameters used by the VBS to simulate this process are the
71 oxidation rate constant, the volatility distribution of the products, and the oxygen
72 mass added per generation of oxidation. The VBS volatility resolution used to
73 represent the SVOC/IVOC volatility range ($3.2 \times 10^{-1} \mu\text{g m}^{-3} < C^* < 3.2 \times 10^6 \mu\text{g m}^{-3}$)
74 affects these parameters as well. A coarse volatility resolution requires a lower
75 effective oxidation rate constant and a more rapid addition of oxygen and reduction in
76 volatility than a finer volatility resolution. A common representation for the oxidation
77 of SVOCs and IVOCs, mainly used by regional models (e.g. Murphy and Pandis,
78 2009; Tsimpidi et al., 2010; Fountoukis et al., 2011; Tsimpidi et al., 2011; Bergstrom

79 et al., 2012; Athanasopoulou et al., 2013; Fountoukis et al., 2014), is based on the
80 work of Robinson et al. (2007) and Shrivastava et al. (2008) and includes 9 volatility
81 bins with saturation concentrations ranging from 10^{-2} to 10^6 $\mu\text{g m}^{-3}$, an oxidation rate
82 constant of 4×10^{-11} $\text{cm}^3 \text{ molec}^{-1} \text{ s}^{-1}$ based on Atkinson and Arey (2003), a reduction in
83 volatility by one order of magnitude after each reaction, and a 7.5% net increase in
84 mass to account for the added oxygen. This formulation is rather conservative
85 compared to other studies which have assumed higher reduction in volatility and/or
86 increase in mass. Shrivastava et al. (2011) assumed a 15% increase in mass due to the
87 added oxygen, while Grieshop et al. (2009) and Hodzic et al. (2010) assumed a 40%
88 increase in mass and two orders of magnitude reduction in volatility in each reaction
89 step. Pye and Seinfeld (2010) simulated the POA emissions using two SVOCs (with
90 C^* equal to 20 and 1646 $\mu\text{g m}^{-3}$) and one IVOC (10^5 $\mu\text{g m}^{-3}$) and used an oxidation
91 rate constant of 2×10^{-11} $\text{cm}^3 \text{ molec}^{-1} \text{ s}^{-1}$, two orders of magnitude reduction in
92 volatility in each reaction, and 50% increase in mass per reaction. Shrivastava et al.
93 (2011) used only two surrogate species (C^* equal to 10^{-2} and 10^5 $\mu\text{g m}^{-3}$), an oxidation
94 rate constant of 0.57×10^{-11} $\text{cm}^3 \text{ molec}^{-1} \text{ s}^{-1}$, seven orders of magnitude reduction in
95 volatility, and 50% increase in mass per reaction. Tsimpidi et al. (2014) used a lower
96 resolution VBS scheme with 4 surrogate species (with C^* 10^{-1} , 10^1 , 10^3 , and 10^5 μg
97 m^{-3}), an oxidation rate constant of 2×10^{-11} $\text{cm}^3 \text{ molec}^{-1} \text{ s}^{-1}$, two orders of magnitude
98 reduction in volatility, and 15% increase in mass per reaction. All of the above
99 schemes should be viewed as parameterizations of the complex reactions that actually
100 take place; the oxidation products can be up to four orders of magnitude lower in
101 volatility than the precursor (Kroll and Seinfeld, 2008). To address this limitation,
102 Jathar et al. (2012) developed a hybrid method to represent the formation of SOA
103 from non-specified SVOC and IVOC vapors. According to this framework, the first
104 generation of oxidation of SVOC and IVOC is parameterized by fitting to SOA data
105 from smog chamber experiments. Subsequently, the generic multi-generational
106 oxidation scheme of Robinson et al. (2007) was used for the subsequent generation
107 steps.

108 The third source of uncertainty is related to the scavenging efficiency of gas-phase
109 oxidized SVOCs and IVOCs. The water solubility of these organic vapors is largely
110 unknown and in most OA modeling studies a fixed effective Henry's law constant
111 (e.g., $H = 10^5 \text{ M atm}^{-1}$) is used for all organic compounds. However, organic vapors

112 become increasingly more hydrophilic during their atmospheric lifetime. Pye and
113 Seinfeld (2010) treated the freshly emitted gas-phase SVOCs as relatively
114 hydrophobic ($H= 9.5 \text{ M atm}^{-1}$) and their oxidation products as moderately hydrophilic
115 ($H= 10^5 \text{ M atm}^{-1}$). Hodzic et al. (2014) argued that Henry's law constants have a
116 strong negative correlation with the saturation vapor pressures and depend on the
117 precursor species, the extent of photochemical processing, and the NO_x levels during
118 the formation.

119 In this work we use ORACLE, a computationally efficient module for the
120 description of OA composition and evolution in the atmosphere (Tsimpidi et al.,
121 2014), to quantify the impact of the main VBS parameters on the model OA
122 predictions. Our main focus is the formation of OA from anthropogenic combustion
123 and open biomass burning sources. We conducted different tests to study the
124 sensitivity of the model predictions to emissions, photochemical aging and scavenging
125 efficiency of LVOCs, SVOCs and IVOCs. The results are compared to the reference
126 simulation and aerosol mass spectrometer (AMS) measurements at multiple locations
127 worldwide following Tsimpidi et al. (2016a). Results from these sensitivity tests help
128 identify the major uncertainties of the VBS formulations and give rise to suggestions
129 about potential model improvements.

130

131 **2 Reference model description and application**

132 **2.1 EMAC Model**

133 The ECHAM/MESSy Atmospheric Chemistry (EMAC) model is a numerical
134 chemistry and climate simulation system that includes sub-models describing lower
135 and middle atmosphere processes and their interaction with oceans, land and human
136 influences (Jöckel et al., 2006). EMAC includes submodels that describe gas-phase
137 chemistry (MECCA; Sander et al., 2011), inorganic aerosol microphysics (GMXe;
138 Pringle et al., 2010), cloud microphysics (CLOUD; Jöckel et al., 2006), aerosol
139 optical properties (AEROPT; Lauer et al., 2007), dry deposition and sedimentation
140 (DRYDEP and SEDI; Kerckweg et al., 2006a), cloud scavenging (SCAV; Tost et al.,
141 2006), emissions (ONLEM and OFFLEM; Kerckweg et al., 2006b), and organic
142 aerosol formation and growth (ORACLE; Tsimpidi et al., 2014). EMAC model has
143 been extensively described and evaluated against in situ observations and satellite
144 retrievals (Pozzer et al., 2012; Karydis et al., 2016a; Karydis et al., 2016b; Tsimpidi et

145 al., 2016b). The spectral resolution used in this study is T63L31, corresponding to a
146 horizontal grid spacing of $1.875^\circ \times 1.875^\circ$ and 31 vertical layers extending to 25 km
147 altitude. The thickness of the first vertical layer is 68 m. The 11-year period between
148 2000 and 2010 is simulated, with the first year used as spin-up.

149

150 **2.2 ORACLE Module**

151 ORACLE is a computationally efficient submodel for the description of organic
152 aerosol composition and evolution in the atmosphere (Tsimpidi et al., 2014). ORACLE
153 simulates a wide variety of semi-volatile organic products separating them into bins of
154 logarithmically spaced effective saturation concentrations. In this study, primary
155 organic emissions from biomass burning and fuel combustion sources are taken into
156 account using separate surrogate species for each source category. These surrogates are
157 subdivided into three groups of organic compounds: LVOCs ($C^*=10^{-2} \mu\text{g m}^{-3}$), SVOCs
158 ($C^*=10^0$ and $10^2 \mu\text{g m}^{-3}$) and IVOCs ($C^*=10^4$ and $10^6 \mu\text{g m}^{-3}$). These organic
159 compounds are allowed to partition between the gas and aerosol phases resulting in the
160 formation of POA. Anthropogenic and biogenic VOCs are simulated separately, and
161 their oxidation results in products distributed in four volatility bins with effective
162 saturation concentrations 10^0 , 10^1 , 10^2 , and $10^3 \mu\text{g m}^{-3}$. Gas-phase photochemical
163 reactions that modify the volatility of the organics are taken into account and the
164 oxidation products (SOA-sv, SOA-iv, and SOA-v) of each group of precursors
165 (SVOCs, IVOCs, and VOCs) are simulated separately in the module to keep track of
166 their origin. We have assumed that functionalization and fragmentation processes
167 result in a net average decrease of volatility for SOA produced by SVOC/IVOC and
168 anthropogenic VOC, without a net average change of volatility for SOA produced by
169 biogenic VOC (Murphy et al., 2012). The volatilities of SVOCs and IVOCs are
170 reduced by a factor of 10^2 as a result of the OH reaction with a rate constant of 2×10^{-11}
171 $\text{cm}^3 \text{ molecule}^{-1} \text{ s}^{-1}$ and a 15% increase in mass to account for two added oxygen atoms
172 (Tsimpidi et al., 2014). LVOCs are not allowed to participate in photochemical
173 reactions since they are already in the lowest volatility bin. In total 52 organic
174 compounds are simulated explicitly (26 in each of the gas and aerosol phases). The
175 model set-up and the different aerosol types and chemical processes that simulated by
176 ORACLE in this study are illustrated in Figure 1a. More details about ORACLE can
177 be found in Tsimpidi et al. (2014).

178

179 **2.3 Emission inventory**

180 The CMIP5 RCP4.5 emission inventory (Clarke et al., 2007) is used for the
181 anthropogenic primary organic aerosol emissions from fuel combustion and biomass
182 burning. The open biomass burning emissions from savanna and forest fires are based
183 on the Global Fire Emissions Database (GFED v3.1; van der Werf et al., 2010). In
184 order to convert the emitted organic carbon (OC) to organic mass (OM), OM/OC
185 factors of 1.3 and 1.6 have been used for the anthropogenic and biomass burning
186 emissions, respectively (Aiken et al., 2008; Canagaratna et al., 2015). Furthermore,
187 emission fractions are used to distribute the OM to the volatility bins used by
188 ORACLE. The sum of the emission fractions used for the volatility bins with $C^* \leq$
189 10^4 is unity since current emission inventories are based on samples collected at
190 aerosol concentrations up to $10^4 \mu\text{g m}^{-3}$ (Shrivastava et al., 2008; Robinson et al.,
191 2010). Additional emission fractions can be assigned to the volatility bins with $C^* >$
192 10^4 based on dilution experiments (Robinson et al., 2007).

193 In this study we assume that anthropogenic fuel (fossil and biofuel) combustion
194 emissions cover a range of volatilities from 10^{-2} to $10^6 \mu\text{g m}^{-3}$ and the additional
195 IVOC emissions are 1.5 times the traditional POA emissions (Robinson et al., 2007);
196 therefore, the sum of the emission fractions for the fuel combustion emissions is 2.5
197 (Figure 2a). Biomass burning emissions are assumed to cover a range of volatilities
198 from 10^{-2} to 10^4 (May et al., 2013a) and no additional IVOC emissions are assumed
199 from biomass burning sources. Therefore, the sum of their emission factors is unity
200 (Figure 2a). Overall, the decadal average global emission flux of primary organic
201 emissions is 44 Tg yr^{-1} from anthropogenic combustion sources and 28 Tg yr^{-1} from
202 open biomass burning sources.

203

204 **3 Sensitivity Simulations**

205 All sensitivity calculations are conducted for the same 11-year period as the
206 reference simulation, the results of which have been analyzed by (Tsimpidi et al.,
207 2016a). Table 1 summarizes the general characteristics of the sensitivity simulations.
208 A detailed description is provided below.

209

210 **3.1 Sensitivities to emissions**

211 The emissions of LVOCs, SVOCs and IVOCs are a key input for the accurate
212 description of atmospheric OA. To quantify the sensitivity of the reference case
213 results to the LVOC, SVOC and IVOC emissions, three simulation tests have been
214 designed. Figure 2 summarizes the emission factors used for the volatility distribution
215 of the emissions and the emission rate of each volatility bin for the reference and the
216 sensitivity tests. More specifically:

217

218 **Low volatility:** In this sensitivity simulation, we assume zero emissions of IVOCs to
219 quantify their contribution to the formation of global SOA. Therefore, the fuel
220 combustion and biomass burning emissions are distributed only in the LVOCs (10^{-2}
221 $\mu\text{g m}^{-3}$) and SVOCs (10^0 and $10^2 \mu\text{g m}^{-3}$) volatility bins and the sum of their emission
222 fractions is equal to unity (Figure 2b). The decadal average global emission flux of
223 primary organic emissions in this test is 18 Tg yr^{-1} from anthropogenic combustion
224 sources and 28 Tg yr^{-1} from open biomass burning sources (Table 1).

225

226 **High IVOCs:** To estimate an upper limit of the IVOC contribution to the formation
227 of SOA, a sensitivity simulation is conducted in which the emissions of IVOCs are
228 increased by 1.5 times the original POA emissions. These emissions are distributed in
229 the volatility bins with C^* of 10^4 and $10^6 \mu\text{g m}^{-3}$ (Figure 2c) by applying an additional
230 emission factor of 0.5 and 1 respectively. The LVOC and SVOC emissions are the
231 same as in the reference simulation. Overall, the total anthropogenic and biomass
232 burning emissions are 4 and 2.5 times higher respectively than the original POA
233 emission inventory. The decadal average global emission flux of primary organic
234 emissions in this sensitivity test is 71 Tg yr^{-1} for both anthropogenic and open
235 biomass burning sources (Table 1).

236

237 **Alternative POA emissions:** To investigate the sensitivity of the model results to the
238 magnitude of the POA emissions, we have utilized the AEROCOM database for the
239 POA emissions from anthropogenic combustion sources (Dentener et al., 2006) and
240 the CMIP5 RCP4.5 emission inventory for the POA emissions from open biomass
241 burning sources. These emission inventories include 36% lower POA emissions from
242 anthropogenic combustion sources and 33% higher POA emissions from open
243 biomass burning sources on average over the decade 2000-2010 compared to the

244 reference simulation. The assumed volatility distributions are the same as in the
245 reference simulation. The decadal average global emission flux of primary organic
246 emissions in this case is 29 Tg yr⁻¹ from anthropogenic combustion sources and 38 Tg
247 yr⁻¹ from open biomass burning sources (Table 1).

248

249 **3.2 Sensitivity to chemistry**

250 The photooxidation of SVOCs and IVOCs emitted from fuel combustion and
251 biomass-burning sources can lead to the formation of substantial SOA mass on a
252 global scale (Jathar et al., 2011; Tsimpidi et al., 2014). To evaluate the sensitivity of
253 the model to the parameters used to describe the aging process we have conducted
254 three sensitivity simulations described below.

255

256 **High reaction rate constant:** In this simulation we investigate the sensitivity of the
257 results to the rate constant used for the gas-phase photooxidation of SVOCs and
258 IVOCs with OH. We assume that the corresponding oxidation rate constant is twice
259 that of the reference simulation and equal to $4 \times 10^{-11} \text{ cm}^3 \text{ molecules}^{-1} \text{ s}^{-1}$. All other
260 parameters remained the same as in the reference simulation (Table 1).

261

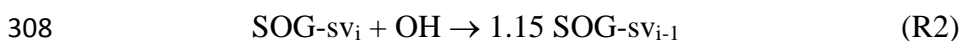
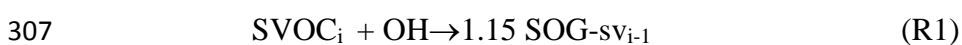
262 **Alternative aging scheme:** To quantify the sensitivity of the results to the aging
263 scheme, we designed a sensitivity case in which the aging scheme of Robinson et al.
264 (2007) is used (Figure 1b). Based on this implementation, we are using nine volatility
265 bins (compared to 5 in the reference simulation) to distribute the primary emissions
266 into LVOCs (10^{-2} and $10^{-1} \mu\text{g m}^{-3}$), SVOCs (10^0 , 10^1 , and $10^2 \mu\text{g m}^{-3}$), and IVOCs
267 (10^3 , 10^4 , 10^5 , and $10^6 \mu\text{g m}^{-3}$). This model set up is based on the formulation
268 proposed by (Shrivastava et al., 2008). The volatility distribution of anthropogenic
269 combustion and open biomass burning emissions is shown in Figure 2d. The sum of
270 these emission factors is the same as in the reference simulation (2.5 for fuel
271 combustion and 1 for biomass burning). However, the relative importance of SVOC
272 and IVOC to total OA emissions is changed compared to the reference simulation. In
273 the sensitivity simulation the fraction of SVOCs to the total emissions is 20% for fOA
274 and 60% for bbOA (Figure 2d), compared to 32% and 70%, respectively, in the
275 reference simulation (Figure 2a). Furthermore, the saturation concentration of the
276 organic vapors reacting with OH is reduced by a factor of 10 (instead of 100 in the

277 reference simulation) with a rate constant of $4 \times 10^{-11} \text{ cm}^3 \text{ molec}^{-1}$ (double the value
278 used in the reference simulation) and a 7.5% increase in mass to account for one
279 added oxygen (half the value used in the reference simulation). The formation of SOA
280 from LVOCs is possible in this configuration (contrary to the reference simulation)
281 due to the presence of two species in the LVOC volatility range ($C^* < 3.2 \times 10^{-1} \mu\text{g}$
282 m^{-3}). Overall, in this simulation, 46 surrogate organic aerosol species are used to track
283 the source- and volatility-resolved OA components compared to 26 aerosol species in
284 the reference simulation.

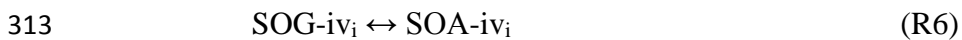
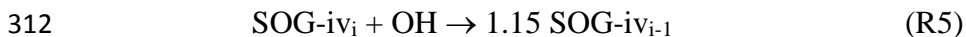
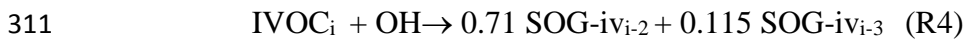
285

286 **Hybrid aging scheme:** The reference and alternative aging scheme simulations
287 assume that the volatility of the organic vapor precursors is reduced by two and one
288 orders of magnitude, respectively, after each oxidation step. However, photooxidation
289 reactions of IVOCs can create products with a volatility 1 to 4 orders of magnitude
290 lower (Kroll and Seinfeld, 2008). Furthermore, recent experiments indicate that the
291 reduction in volatility due to oxidation reactions changes as the organic molecules
292 become more oxygenated and fragmentation becomes important (Chacon-Madrid et
293 al., 2013). To investigate the effect of these assumptions on the predicted global SOA
294 burden, we have modified the OA chemistry mechanism to include a hybrid method
295 to calculate the SOA formation from the oxidation of IVOCs based on the approach of
296 (Jathar et al., 2012). The SVOC oxidation scheme remains the same as in the
297 reference. The hybrid scheme distributes the IVOC first generation oxidation products
298 over a range of volatilities, with larger reductions in volatility compared to the
299 reference simulation. The oxidation of each IVOC is assumed to result in the
300 formation of two condensable organic gases with four and six orders of magnitude
301 lower volatility and aerosol yields equal to 0.71 and 0.115, respectively (Jathar et al.,
302 2014) (Figure 1c). Then, the reference oxidation scheme is used for subsequent
303 oxidation of these products assuming a factor of 100 reduction in volatility with 15%
304 increase in mass. The photo-oxidation of SVOCs and IVOCs in the hybrid aging
305 scheme is described by the following reactions:

306



310



314

315 This representation is more consistent with SOA formation from VOCs and provides
316 in principle at least a more realistic representation of SOA formation from IVOCs.

317

318 **3.3 Sensitivities to scavenging**

319 The wet and dry removal the organic vapours from the atmosphere depends on
320 their ability to partition into water which is commonly expressed by their Henry's law
321 constant (H). Two sensitivity simulations were performed to investigate the effect of
322 this uncertain parameter.

323

324 **Low solubility:** To test the sensitivity of the results to the solubility of the SVOC and
325 IVOC vapors, we have conducted a simulation using a Henry's law constant two
326 orders of magnitude lower than the reference and equal to 10^3 M atm^{-1} for both
327 primary and secondary SVOCs/IVOCs.

328

329 **Variable solubility:** The photochemical aging of organic vapors results on average in
330 less volatile and more hydrophilic products (Jimenez et al., 2009). To quantify the
331 effect of this change on the model results we have conducted a sensitivity simulation
332 in which the fresh SVOCs and IVOCs are hydrophobic with $H = 10 \text{ M atm}^{-1}$ and
333 become more hydrophilic after their photochemical oxidation with an $H = 10^5 \text{ M}$
334 atm^{-1} .

335

336 **4 Reference simulation results and evaluation**

337 The predicted decadal average surface concentrations of total OA, POA, SOA-sv,
338 and SOA-iv for the reference simulation are shown in Figure 3. High POA
339 concentrations are predicted over regions affected by biomass burning (i.e., the
340 tropical and boreal forests) as well as over the industrialized regions of the Northern
341 Hemisphere where strong fossil and biofuel combustion sources are located (i.e.,
342 Eastern and Southern Asia, Central and Eastern Europe, Western and Eastern US).

343 Further downwind of the sources, the POA concentration decreases substantially due
344 to dilution and evaporation (Figure 3b). On the other hand, the predicted SOA-sv and
345 SOA-iv concentrations are high over a wide area downwind of the polluted urban
346 areas and the major rainforests (Figure 3c and 3dc) due to the transport of IVOCs and
347 SVOCs and their continued chemical transformations. Since IVOC emissions from
348 anthropogenic sources are assumed to be two times higher than SVOC emissions
349 (Figure 1a), predicted SOA-iv is higher than SOA-sv over populated areas (Figure 3c
350 and 3d). On the other hand, over the tropical rainforests, SOA-sv and SOA-iv
351 concentrations are similar due to the low fraction of IVOCs assumed for the open
352 biomass burning OA emissions. Overall, the reference simulation yields a
353 tropospheric OA burden of 1.98 Tg consisting of 12% POA, 18% SOA-sv, 32% SOA-
354 iv, and 38% SOA-v. More details about the reference case results can be found in
355 Tsimpidi et al. (2016).

356 A comprehensive AMS dataset from field campaigns performed in the Northern
357 Hemisphere during 2001-2010 (Tsimpidi et al., 2016) has been used to evaluate the
358 model performance for each simulation. The mean bias (MB), mean absolute gross
359 error (MAGE), normalized mean bias (NMB), normalized mean error (NME), and the
360 root mean square error (RMSE) are used to assess the model performance for POA
361 (versus AMS hydrocarbon-like aerosol (HOA); Table 2) and SOA (versus AMS
362 oxygenated organic aerosol (OOA); Table 3). Tsimpidi et al. (2016) have shown that,
363 as expected the model underestimates the concentrations of POA and SOA over urban
364 locations due to its coarse resolution and missing sources in the emission database
365 (e.g., cold vehicle start and wood burning emissions in winter). Therefore, urban
366 locations are excluded from our analysis in order to avoid misinterpretation of the
367 sensitivity results and their effects on OA model performance. A comprehensive
368 analysis of the model evaluation based on the reference scenario results can be found
369 in Tsimpidi et al. (2016) and will be used here as a reference for analysing the effect
370 of each sensitivity scenario on the performance of the model. EMAC reproduces POA
371 levels with very little bias (NMB= -3%; Table 2). On the other hand, OOA
372 concentrations are underpredicted (-31%; Table 3) indicating that the model may be
373 missing an important source or formation pathway of SOA especially in winter
374 (Tsimpidi et al., 2016) or may be removing the corresponding pollutants faster.
375 Another possible reason for the underprediction of OOA is the uncertainty in SOA

376 yields due to wall losses in laboratory chambers. Zhang et al. (2014) demonstrated
377 that while the particle losses are routinely accounted for, losses of semivolatile vapors
378 are not well evaluated and can lead to substantial underestimations of the SOA
379 formation.

380

381 **5 Sensitivity to emission factors**

382 **5.1 Low volatility**

383 In the first sensitivity test, the IVOC emissions are set to zero and only semi-
384 volatile organic compounds are emitted. This is accompanied by an increase of SVOC
385 emissions from anthropogenic and open biomass burning sources by 100% and 40%,
386 respectively. This initial partitioning of the emissions favors the particulate phase,
387 resulting in an increase of POA compared to the reference scenario (Figure 4a). The
388 largest fPOA and bbPOA increases are predicted over Eastern China ($4.3 \mu\text{g m}^{-3}$) and
389 the Congo Basin ($3.9 \mu\text{g m}^{-3}$), respectively. The higher SVOC emissions in the
390 sensitivity simulation result in an increase of the simulated SOA-sv concentrations as
391 well (Figure 5a). However, since a large fraction of the emitted SVOCs remains in the
392 particle phase, the SOA-sv concentration increase is smaller than the corresponding
393 changes in POA. Relatively strong fSOA-sv and bbSOA-sv increases are found over
394 the Indo-Gangetic Plane (IGP) ($0.4 \mu\text{g m}^{-3}$) and the Congo Basin ($1.3 \mu\text{g m}^{-3}$),
395 respectively. The “low volatility” simulation does not predict any SOA-iv as it
396 assumes zero IVOC emissions. Therefore, SOA-iv concentrations are zero around the
397 globe, resulting in substantial decreases in areas where the reference simulation
398 predicts high SOA-iv levels (Figures 3dc and 6a).

399 The significant decrease of organic emissions from anthropogenic sources (Table
400 1) due to the lack of IVOC emissions results in an overall decrease of total OA
401 concentrations by up to $5 \mu\text{g m}^{-3}$ over anthropogenically polluted regions (Figure 7a).
402 On the other hand, organic emissions from open biomass burning sources remain at
403 the same level as the reference simulation (Table 1), however, they are assumed to
404 have lower volatility. This results in an increase of total OA concentrations in the
405 sensitivity simulation by up to $2 \mu\text{g m}^{-3}$ over the tropical and boreal forests. Overall,
406 the calculated tropospheric burden of POA in the sensitivity simulation increases by
407 around 50% due to the increase of the SVOC emissions (Table 2). For the same
408 reason, the tropospheric fSOA-sv and bbSOA-sv burdens increase by 14% and 39%,

409 respectively. Nevertheless, the absence of IVOC emissions, and thus the significant
410 decrease of anthropogenic organic compound emissions, results in a decrease of the
411 total OA tropospheric burden by 23%. This result emphasizes the importance of the
412 volatility distributions used in the simulation and the contribution of IVOC emissions
413 to SOA formation on a global scale.

414 The simulated POA in the reference model configuration is very close to the
415 average HOA concentrations derived from the AMS measurements (Table 3).
416 Therefore, assuming lower volatility of the organic emissions results in overprediction
417 (NMB=43%). However, the performance of the model is significantly improved
418 during winter (Figure 8) since POA concentrations during that season were
419 underpredicted (NMB=-37%; Tsimpidi et al., 2016). On the other hand, during spring
420 the overestimate of POA increases in the sensitivity simulation (NMB=86%)
421 compared to the reference (NMB=26%). For summer and autumn, the performance of
422 the model changes from a slight underestimation of POA in the reference (NMB=-
423 15%) to a slight overprediction in the sensitivity test (NMB=30%). The performance
424 of the model in reproducing the OOA concentrations worsens in this sensitivity
425 simulation (Table 4). OOA was underpredicted by the model reference simulation
426 (NMB=-31%), therefore, by neglecting SOA formation from IVOC emissions in the
427 sensitivity run results in an even larger OOA underestimation (NMB=-52%). The
428 performance of the model does not change significantly during winter (Figure 8) since
429 the simulated SOA formation during this season is low (Tsimpidi et al., 2016). The
430 highest change in model performance occurs during spring when SOA is predicted to
431 reach the annual maximum (Tsimpidi et al., 2016); the predicted underestimation of
432 OOA increases from 20% in the reference to 50% in the sensitivity simulation. These
433 results indicate that the omission of IVOCs as a source of SOA in atmospheric models
434 can result in a significant underestimation of OA concentrations, especially during
435 periods where formation of SOA is strong.

436

437 **5.2 High IVOCs**

438 In the second sensitivity simulation, the increased IVOC emissions result in an
439 increase of total organics by 60%, and 150% from anthropogenic and open biomass
440 burning sources, respectively (Table 1). These additional organic emissions are
441 distributed only in the intermediate volatility bins, therefore, their impact on the

442 simulated POA and SOA-sv levels is marginal (Figures 4b and 5b, respectively). POA
443 increases, up to $0.6 \mu\text{g m}^{-3}$ over Eastern China, while SOA-sv decreases, up to $0.3 \mu\text{g}$
444 m^{-3} over the Congo Basin. This effect can be explained by the assumption that SOA-
445 sv and SOA-iv form a pseudo-ideal solution. As a result, the increased SOA-iv
446 concentrations calculated in the sensitivity simulation favor the partitioning of the
447 fresh SVOCs into the aerosol phase, forming additional POA. At the same time,
448 SVOCs decrease in the gas-phase and therefore the formation of SOA-sv is reduced in
449 the sensitivity simulation. As expected, the largest effect is found for SOA-iv (Figure
450 6b). The significant increase of IVOC emissions results in large changes of SOA-iv
451 over areas close to anthropogenic sources (up to $5.7 \mu\text{g m}^{-3}$ over the IGP) and biomass
452 burning regions (up to $5.3 \mu\text{g m}^{-3}$ over the Congo Basin). The increase of SOA-iv
453 dominates the effect on total OA concentrations that increase up to $6 \mu\text{g m}^{-3}$ (Figure
454 7b). Overall, the predicted changes of the tropospheric burden of POA and SOA-sv
455 are small (Table 2). However, the tropospheric burdens of fSOA-iv and bbSOA-iv
456 increase by 88% and 115%, respectively, resulting in an increase of the total OA
457 burden by 38%.

458 The additional IVOC emissions assumed in this sensitivity test do not affect the
459 performance of the model for POA. On the other hand, these additional emissions
460 bring the predicted SOA concentrations closer to the measured OOA levels (Table 4;
461 Figure 8). The NMB improves from -31% in the reference simulation to -10%. With
462 the exception of winter, where the model still underpredicts OOA levels (MB= $2.2 \mu\text{g}$
463 m^{-3} , Figure 8), the performance of the model for SOA improves with seasonal NMB
464 ranging from -16% (during summer) to 11% (during spring); compared to -33% and
465 -20% for the reference model, respectively. The improved performance of the model
466 due to the increase of IVOC emissions supports the hypothesis that the IVOC
467 emissions may have been underestimated in previous modeling studies that assumed
468 IVOC/POA = 1.5 (Ots et al., 2016).

469

470 **5.3 Alternative POA emissions**

471 The final emission sensitivity test is used to estimate the uncertainty introduced by
472 the choice of emission database. The inventories used in the sensitivity simulation
473 assume 36% lower fuel combustion OA emissions and 33% higher biomass burning
474 OA emissions compared to the reference simulation, while the total OA emissions are

475 only reduced by 9%. Since the volatility distribution of the emissions is identical to
476 the reference simulation, the fractional changes of the calculated POA, SOA-sv, SOA-
477 iv are also similar (Table 4). The tropospheric burden of fOA (the sum of fPOA,
478 fSOA-sv, and fSOA-iv) decreases by 34%. On the other hand, bbOA (the sum of
479 bbPOA, bbSOA-sv, and bbSOA-iv) increases by 11%. Overall, the total tropospheric
480 OA burden increases by only 4%. The changes in fOA and bbOA concentrations,
481 however, are not spatially uniform. Over Europe, fOA decreases everywhere, up to
482 $3.3 \mu\text{g m}^{-3}$, except in Paris where fOA increases by $0.24 \mu\text{g m}^{-3}$. Over the US fOA
483 slightly increases (mostly over the northeast by up to $0.6 \mu\text{g m}^{-3}$), while it decreases
484 over Mexico by as much as $1.7 \mu\text{g m}^{-3}$. The largest fOA change is predicted over Asia
485 where fOA decreases significantly, up to $8.3 \mu\text{g m}^{-3}$, mostly over East Asia and the
486 IGP. bbOA decreases over the boreal forests (up to $3.6 \mu\text{g m}^{-3}$), while it increases
487 significantly over the Southeast Asian tropical forests by up to $14 \mu\text{g m}^{-3}$. Over the
488 Amazon and Congo forests, bbOA concentrations change significantly (the bbOA
489 changes vary from -2.4 to $3.3 \mu\text{g m}^{-3}$ in the Amazon, and from -5.3 to $7.8 \mu\text{g m}^{-3}$ in
490 Congo) but the average bbOA concentration over both regions remains the same.
491 Overall, the fOA and bbOA emission changes lead to total OA increases over the
492 tropical and boreal forests and decreases over anthropogenic areas (Figure 7c).

493 The lower OA emissions used in the sensitivity simulation (especially over China
494 and Europe) result in a reduction of both total POA and SOA concentrations (Tables 2
495 and 3). Consequently, the model now underestimates POA with NMB=-25% and
496 SOA with NMB=-40%. These results suggest that the use of the CMIP5 RCP4.5
497 emission inventory in EMAC results in OA concentrations that agree more closely to
498 the measurements compared to the AEROCOM database. It also underscores the large
499 uncertainty associated with primary OA emissions.

500

501 **6 Sensitivity to aging reactions**

502 **6.1 Higher aging reaction rate**

503 In this sensitivity simulation, the photochemical reaction rate constant for SVOCs
504 and IVOCs has been doubled compared to the reference. This results in an increase of
505 SOA-sv and SOA-iv concentrations worldwide (Figures 5d and 6d). SOA-sv
506 increases, up to $0.65 \mu\text{g m}^{-3}$, mostly over the tropics and the polluted regions of
507 Eastern China and the IGP (Figure 5d). The effect on SOA-iv concentrations is even

508 more significant since IVOCs undergo more oxidation steps before forming SOA than
509 SVOCs. SOA-iv increased by up to $2.4 \mu\text{g m}^{-3}$ mostly over the IGP and Eastern China
510 (Figure 6d). The SOA-iv increase over the tropics is smaller (up to $0.8 \mu\text{g m}^{-3}$) due to
511 the assumed low fraction of IVOCs in biomass burning emissions. Overall, the
512 tropospheric burdens of SOA-sv and SOA-iv both increase by 0.04 Tg (or 11% and
513 7%, respectively). POA is not expected to be affected directly by the change of the
514 reaction rate constant. However, the substantial reduction of gas-phase SVOCs (due to
515 their increased reactivity) results in the re-evaporation of POA to achieve equilibrium,
516 reducing its concentration (Figure 4d) mainly over the tropics (up to $0.21 \mu\text{g m}^{-3}$).
517 This results in an overall decrease of the tropospheric POA burden by 8%. Following
518 the significant increase of both SOA-sv and SOA-iv, total OA increases worldwide by
519 up to $3 \mu\text{g m}^{-3}$ (Figure 7d). Overall, the tropospheric burden of total OA increases by
520 4%.

521 The model performance for POA is not affected by the change of the reaction rate
522 constant (Table 2) since POA remains largely unchanged over the Northern
523 Hemisphere (Figure 4d). On the other hand, the performance of the model regarding
524 SOA is significantly improved (Table 3). The underestimation of SOA by the model
525 is reduced (NMB=-22%) compared to the reference (NMB=-31%). The best
526 performance is found during spring (NMB=-7%) when the calculated SOA is almost
527 unbiased. However, during winter, the model still severely underestimates SOA
528 (NMB=-77%), which indicates that the gas-phase oxidation of SVOCs and IVOCs
529 does not suffice to explain the underprediction of SOA in winter.

530

531 **6.2 Alternative aging scheme**

532 In this sensitivity simulation we used the chemical aging scheme of Robinson et al.
533 (2007) which is currently the most commonly used in VBS models. This aging
534 scheme is accompanied by changes in the number of volatility bins used and the
535 assigned emission factors, the oxidation rate constant, the volatility reductions after
536 each oxidation step, and the increase in mass due to added oxygen (as discussed in
537 Sect. 3.2). The changes in the number of volatility bins and the emission factors used
538 for the SVOCs (Figure 2d) result in reduced condensation of SVOCs into the
539 particulate phase during the initial partitioning and therefore to a significant decrease
540 of POA (Figure 4e). The decrease of POA is global and most prominent over Eastern

541 China (up to $9.3 \mu\text{g m}^{-3}$). This reflects a significant change in the tropospheric burdens
542 of both fPOA and bbPOA by 65% and 38%, respectively.

543 Furthermore, the reduced fraction of SVOCs to total OA emissions (see Section
544 3.2) results in a worldwide decrease of SOA-sv (Figure 5e) and an increase of SOA-iv
545 (Figure 6e). SOA-sv decreases up to $1.8 \mu\text{g m}^{-3}$ over the Congo Basin and the IGP.
546 Similar to POA, the tropospheric burden of fSOA-sv and bbSOA-sv decreases by
547 68% and 47%, respectively. On the other hand, the increase in SOA-iv, due to the
548 increase in the IVOC fraction of the emissions, is not as strong as the decrease of
549 SOA-sv (Table 4). This is due to the slower aging in the sensitivity simulation (Figure
550 1b), compared to the reference (Figure 1a), which limits the formation of SOA from
551 IVOCs. SOA-iv increases up to $0.9 \mu\text{g m}^{-3}$ over the Congo Basin and the IGP, while it
552 locally decreases by $0.1 \mu\text{g m}^{-3}$ over Beijing, for example. The tropospheric burden of
553 fSOA-iv and bbSOA-iv increases by 14% and 30%, respectively. Overall, the sum of
554 SOA-sv and SOA-iv decreases by 7% due to the slower aging in this sensitivity
555 simulation. Following the simultaneous decrease of both POA and SOA, total OA
556 decreases worldwide by up to $11 \mu\text{g m}^{-3}$ (Figure 7e) and its tropospheric burden is
557 reduced by 0.2 Tg (or 10%).

558 The reduction of both modelled POA and SOA results in reduced agreement of the
559 model with AMS measurements. Especially for POA, the modeled concentrations
560 decrease by 67% in the sensitivity simulation, resulting in a significant
561 underprediction of AMS-HOA (NMB=-67%). Modelled SOA also decreases (by
562 10%) in the sensitivity simulation, which degrades the model agreement with AMS-
563 OOA measurements (NMB=-38%). This sensitivity test underscores the significance
564 of the volatility distribution of the organic emissions and the associated aging scheme.

565

566 **6.3 Hybrid aging scheme**

567 The final chemistry sensitivity simulation focuses on the photochemical aging of
568 IVOCs and assumptions regarding the first oxidation step. The approach used here is
569 similar to the oxidation of the traditional VOCs, in contrast with the reference where
570 the oxidation of IVOCs produces only one product with two orders of magnitude
571 reduced volatility. However, the stoichiometric coefficient used in the reference
572 (equal to 1.15) is higher than the aerosol yields used in the sensitivity simulation
573 (Section 3.2). This results in a reduction of SOA-iv concentrations by up to $2.2 \mu\text{g m}^{-3}$

574 (Figure 6f). Since the chemical scheme for SVOCs is identical in both the reference
575 and the sensitivity simulations, no significant change is found in either SOA-sv or
576 POA (Figure 5f and 4f, respectively). The decrease of SOA-iv concentrations has a
577 marginal effect on the initial partitioning of SVOC emissions resulting in slightly less
578 POA and more SOA-sv (by up to $0.1 \mu\text{g m}^{-3}$ in either case). Therefore, total OA
579 concentrations are reduced worldwide following the decrease of SOA-iv. Overall, the
580 tropospheric burden of SOA-iv decreases by 37% in the sensitivity simulation
581 resulting in a decrease of total OA by 13% (Table 4).

582 The simulated POA concentrations remain almost unchanged in the sensitivity
583 simulation; therefore, similar to the reference, the calculated POA is unbiased
584 compared to measurements (Table 2). On the other hand, the lower SOA-iv
585 concentrations calculated by the model in this sensitivity test aggravate the
586 underestimation of OOA by the model (NMB=-39%). The decrease of modelled
587 SOA-iv concentrations is larger during spring (13%) and the calculated NMB for
588 SOA deteriorates from -20% in the reference to -30% in the sensitivity simulation.

589

590 **7 Sensitivity to wet/dry removal of organic vapors**

591 **7.1 Reduced Henry's law constant**

592 In this sensitivity test we used a Henry's law constant that is two orders of
593 magnitude lower than in the reference simulation (see Section 3.3) for the gas-phase
594 SVOCs and IVOCs. This change decreases their removal rate, thus increasing their
595 lifetime and the concentrations of both POA (due to the condensation of the fresh
596 SVOCs) and SOA (due to the condensation of the chemically aged SVOCs and
597 IVOCs). POA increases up to $0.7 \mu\text{g m}^{-3}$ over Eastern China (Figure 4g) where POA
598 concentrations are relatively high (Figure 3b), however, the increase of POA in the
599 rest of the world is less than $0.2 \mu\text{g m}^{-3}$ (Figure 4g). SOA-sv increases up to $0.2 \mu\text{g}$
600 m^{-3} mostly over the Congo Basin and the IGP (Figure 5g). The most significant
601 change is calculated for SOA-iv. SOA-iv is formed from gases (i.e., IVOCs) that need
602 to go through more than two oxidation steps to be able to condense to the aerosol
603 phase (in comparison to only one oxidation step for SVOCs). Therefore, by lowering
604 the Henry's law constant of IVOCs we prolong the lifetime of SOA-iv precursors, and
605 their ability to undergo multiple oxidation steps and produce aerosols. This results in a
606 significant increase of SOA-iv by up to $1.2 \mu\text{g m}^{-3}$ (Figure 6g). Total OA increases by

607 up to $2 \mu\text{g m}^{-3}$ due to the simultaneous increase of both POA and SOA (Figure 7g).
608 Overall, the tropospheric burden of SOA-iv increases by 17% and of total OA by 8%.
609 It is also worth noticing that the tropospheric burden of fOA (sum of fPOA, fSOA-sv,
610 and fSOA-iv) increases by 18% compared to an increase of 5% of the bbOA (sum of
611 bbPOA, bbSOA-sv, and bbSOA-iv). The above results emphasize the significance of
612 the removal of organic vapors for the calculated OA concentrations, and corroborate
613 the importance of constraining the Henry's law constants of SVOCs and more
614 importantly of IVOCs.

615 The change of Henry's law constant of SVOCs does not affect the model
616 performance for POA significantly. POA slightly increases (by 4%), eliminating the
617 already low model bias (Table 2). The SOA increase (by 12%) in the sensitivity
618 simulation (mainly due to the increased SOA-iv) results in reduced SOA
619 underestimation (Table 2). In both POA and SOA cases the effect is more important
620 during winter, when wet removal is most efficient, and lower during summer. POA
621 increases during winter by 10% while during summer it remains unchanged. SOA
622 increases during winter by 26% and during summer by only 3%, with spring and
623 autumn in between (~12%). Despite the wintertime POA and SOA increase in this
624 sensitivity simulation, the model still underestimates POA (NMB=-31%) and SOA
625 (NMB=-78%) during this season (Figure 8).

626

627 **7.2 Different Henry's law constant for POA and SOA**

628 In the last sensitivity test we assume that the freshly emitted SVOCs and IVOCs
629 are hydrophobic (with the Henry's law constant H being 4 orders of magnitude lower
630 than the reference) while after photochemical aging H increases to match the value
631 used in the reference (see Section 3.3). POA increases up to $0.7 \mu\text{g m}^{-3}$, mostly over
632 Eastern China and to a lesser degree over Eastern Europe and Russia (Figure 4h).
633 SOA-sv increases up to $0.2 \mu\text{g m}^{-3}$, mostly over the tropical forests of Central Africa
634 and Southeastern Asia, as well as over Eastern China and the IGP (Figure 5h). SOA-
635 iv also increases by up to $1 \mu\text{g m}^{-3}$ (Figure 6h) because fresh IVOCs are more
636 hydrophobic in the sensitivity simulation, therefore, the time available to react with
637 OH is extended, forming additional SOA-iv. Total OA concentrations increase by up
638 to $2 \mu\text{g m}^{-3}$ over Eastern China (Figure 7h). The tropospheric burden of total OA

639 increases by 8% in this sensitivity test with the strongest increase coming from fSOA-
640 iv (21%).

641 Both the predicted POA and SOA increase in the sensitivity simulation by 6% and
642 12% respectively. This results in a small overprediction of POA (NMB=4%),
643 compared to a small underprediction in the reference (NMB=-3%). For SOA, NMB
644 improves in the sensitivity simulation (NMB=-23%) compared to the reference (-
645 31%). Similar to the previous sensitivity test (Section 7.1) the effect is more relevant
646 during winter (POA and SOA increase by 9% and 36%, respectively), followed by
647 spring (POA and SOA increase by 8% and 16%, respectively) and autumn (POA and
648 SOA increase by 7% and 10%, respectively), and is small during summer (POA and
649 SOA increase by 2% and 5%, respectively) (Figures 8). This results in an improved
650 model performance for both POA and SOA during all seasons. The highest
651 improvement is found for SOA during spring when the NMB is reduced to -6% from
652 -20% in the reference. Despite the significant increase of SOA concentrations during
653 winter (by 36%), the model still strongly underestimates SOA (NMB=-76%),
654 indicating that the model underprediction of OOA cannot be attributed solely to
655 errors in the simulation of removal processes. Therefore, we expect that the
656 discrepancy in this season is related to sources that are missing or underestimated in
657 emission inventories (e.g., residential wood combustion in winter (Denier van der
658 Gon et al., 2015)), to additional oxidation pathways (e.g., aqueous-phase and
659 heterogeneous oxidation reactions), and to uncertainties in SOA yields due to wall
660 losses in laboratory chambers.

661

662 **8 Summary and conclusions**

663 We investigated the effect of parameters and assumptions that control the
664 emissions, photochemical aging, and scavenging efficiency of LVOCs, SVOCs and
665 IVOCs on the simulated OA concentrations. We used the organic aerosol module
666 ORACLE, based on the VBS framework, in the EMAC global chemistry-climate
667 model. A global dataset of AMS measurements has been used to evaluate the
668 predicted POA and SOA concentrations, based on a number of sensitivity tests.

669 The results show that total OA concentrations are sensitive to the emissions of
670 IVOCs. By neglecting these emissions, the model produces unrealistically low SOA
671 concentrations resulting in the poorest model performance (NMB=-52%) compared to

672 the other eight simulations conducted (Table 3). Conversely, increasing the IVOC
673 emissions substantially improved the SOA model results, leading to the best model
674 performance (NMB=-10%). These results emphasize the need to accurately estimate
675 the IVOC emissions independently. The use of a more accurate POA emission
676 inventory is found to be of prime importance for the model performance, especially to
677 improve simulated POA concentrations in winter. In our tests, using an alternative
678 POA emission inventory led to a NMB of -25% compared to a low bias in the
679 performance of the reference model.

680 Sensitivity tests of the photochemical aging of SVOCs and IVOCs indicate the
681 importance of the OH-reaction rate. Assuming an increased reactivity of SVOC and
682 IVOC with OH improves the model results for SOA (NMB=-22%). This is even more
683 important for the IVOCs, which participate in a larger number of photochemical
684 reactions during atmospheric transport compared to the SVOCs. Another assumption
685 tested is that oxidation reactions of IVOCs are similar to many other VOCs, and
686 produce partly oxidized compounds with several orders of magnitude lower
687 volatilities. Despite the strong volatility reduction of the IVOC oxidation products, the
688 performance of the model was similar to the reference simulation since the IVOC
689 aerosol yields were lower compared to the stoichiometric coefficient used in the
690 reference. The use of an alternative aging scheme (based on Robinson et al., 2007)
691 resulted in lower SOA concentrations since the photochemical aging of SVOCs and
692 IVOCs was less effective. This led to a slight reduction in model performance for
693 SOA (Table 3). In this sensitivity test the fraction of SVOCs to total OA emissions
694 was lower compared to the reference, resulting in a significant reduction of POA and
695 a reduced model performance (NMB=-67%). This underscores the significance of the
696 assumed volatility distribution of OA emissions.

697 The calculated OA concentrations are highly sensitive to the scavenging
698 efficiency of the gas-phase SVOCs and IVOCs, expressed by the Henry's law
699 constant (H). Reducing H resulted in an increase of both POA and SOA
700 concentrations, especially from the oxidation of IVOCs. This increase yielded
701 improved model performance, particularly for SOA (Table 3). Assuming different
702 hygroscopicity for the freshly emitted and the photochemically processed SVOCs and
703 IVOCs resulted in similar improvement of the model results (Tables 2 and 3). In this
704 sensitivity test, the simulated POA improved substantially during winter (NMB=-

705 29%) during which the model has difficulties reproducing AMS observations
706 (Tsimpidi et al., 2016). Nevertheless, SOA was still underpredicted during winter
707 (NMB=-76%) indicating that other processes (e.g., seasonally dependent residential
708 wood combustion emissions, aqueous-phase oxidation paths, uncertainties in SOA
709 yields due to wall losses in chambers) are a main cause of the inadequate
710 performance.

711 Our results indicate that IVOCs can be major contributors to OA formation on a
712 global scale. However, their abundance and physicochemical properties are poorly
713 known, and more research is needed to determine the parameters that control their
714 emissions, chemistry, and atmospheric removal. According to the model results, a
715 combination of increased IVOC emissions, enhanced photochemical aging of IVOCs,
716 and decreased hygroscopicity of the freshly emitted IVOCs can help reduce
717 discrepancies between simulated SOA and observed OOA concentrations.

718

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723

724

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947 **Table 1.** Parameters used in the sensitivity simulations

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Simulation name	Emission factor		Emission rate (Tg yr ⁻¹)		Volatility bins	Reduction in volatility (µg m ⁻³)	Stoichiometric coefficient of aging reactions	Oxidation rate constant (cm ³ molec ⁻¹ s ⁻¹)	Henry's law constant (mol L ⁻¹ atm ⁻¹)	
	fPOA	bbPOA	fPOA	bbPOA					Freshly emitted	Aged
Reference	2.5	1	44.2	28.4	5	10 ²	1.15	2x10 ⁻¹¹	10 ⁵	10 ⁵
Low volatility	1	1	17.7	28.4	5	10 ²	1.15	2x10 ⁻¹¹	10 ⁵	10 ⁵
High IVOCs	4	2.5	70.7	71	5	10 ²	1.15	2x10 ⁻¹¹	10 ⁵	10 ⁵
Alternative POA emissions	2.5	1	28.5	37.8	5	10 ²	1.15	2x10 ⁻¹¹	10 ⁵	10 ⁵
High reaction rate constant	2.5	1	44.2	28.4	5	10 ²	1.15	4x10 ⁻¹¹	10 ⁵	10 ⁵
Alternative aging scheme	2.5	1	44.2	28.4	9	10	1.075	4x10 ⁻¹¹	10 ⁵	10 ⁵
Hybrid aging scheme	2.5	1	44.2	28.4	5	SVOCs:10 ² IVOCs:10 ⁴ -10 ⁶	SVOCs:1.15 IVOCs:1.115-0.71	SVOCs:2x10 ⁻¹¹ IVOCs:1.2x10 ⁻¹¹	10 ⁵	10 ⁵
Low solubility	2.5	1	44.2	28.4	5	10 ²	1.15	2x10 ⁻¹¹	10 ³	10 ³
Variable solubility	2.5	1	44.2	28.4	5	10 ²	1.15	2x10 ⁻¹¹	10	10 ⁵

949 **Table 2.** Statistical evaluation of EMAC POA (sum of fPOA and bbPOA) against
 950 AMS POA (sum of HOA and BBOA) using 61 data sets in urban downwind and rural
 951 areas during 2001-2010.
 952

Simulation name	Mean observed ($\mu\text{g m}^{-3}$)	Mean predicted ($\mu\text{g m}^{-3}$)	MAGE ($\mu\text{g m}^{-3}$)	MB ($\mu\text{g m}^{-3}$)	NME (%)	NMB (%)	RMSE ($\mu\text{g m}^{-3}$)
Reference	0.53	0.51	0.38	-0.02	71	-3	0.50
Low volatility		0.75	0.46	0.22	88	43	0.64
High IVOCs		0.52	0.38	-0.01	73	0	0.51
Alternative POA emissions		0.39	0.33	-0.14	63	-25	0.44
High reaction rate constant		0.50	0.37	-0.03	70	-5	0.49
Alternative aging scheme		0.17	0.42	-0.36	79	-67	0.60
Hybrid aging scheme		0.50	0.38	-0.03	72	-4	0.50
Low solubility		0.53	0.38	0	72	1	0.50
Variable solubility		0.54	0.38	0.01	73	4	0.51

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955 **Table 3.** Statistical evaluation of EMAC SOA against AMS OOA using 61 data sets
 956 in downwind urban and rural areas during 2001-2010.
 957

Simulation name	Mean observed ($\mu\text{g m}^{-3}$)	Mean predicted ($\mu\text{g m}^{-3}$)	MAGE ($\mu\text{g m}^{-3}$)	MB ($\mu\text{g m}^{-3}$)	NME (%)	NMB (%)	RMSE ($\mu\text{g m}^{-3}$)
Reference	2.78	1.91	1.39	-0.87	50	-31	2.02
Low volatility		1.32	1.69	-1.46	61	-52	2.30
High IVOCs		2.50	1.47	-0.28	53	-10	2.05
Alternative POA emissions		1.66	1.55	-1.12	56	-40	2.15
High reaction rate constant		2.16	1.32	-0.62	48	-22	1.97
Alternative aging scheme		1.73	1.49	-1.05	53	-38	2.09
Hybrid aging scheme		1.71	1.46	-1.08	53	-39	2.08
Low solubility		2.10	1.33	-0.68	48	-25	1.98
Variable solubility		2.14	1.32	-0.64	48	-23	1.97

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960 **Table 4.** Percentage change of the tropospheric burden of organic aerosol components for
 961 each sensitivity simulation relative to the reference simulation during the decade 2001-2010.
 962 Positive change corresponds to an increase. The predicted tropospheric burden in Tg of the
 963 reference simulation is also shown.

	fPOA	bbPOA	fSOA-sv	bbSOA-sv	fSOA-iv	bbSOA-iv	Total OA
Tropospheric burden of reference (Tg)	0.06	0.18	0.13	0.21	0.44	0.2	1.98
Percentage change (%) from reference							
Simulation name							
Low volatility	53	48	14	39	-100	-100	-23
High IVOCs	7	5	-3	-4	88	165	38
Alternative POA emissions	-39	10	-33	11	-34	11	-8
High reaction rate constant	-10	-7	11	11	8	6	4
Alternative aging scheme	-65	-38	-68	-47	14	30	-10
Hybrid aging scheme	-2	-1	2	2	-37	-36	-13
Low solubility	6	1	11	4	21	8	8
Variable solubility	9	2	14	5	22	7	8

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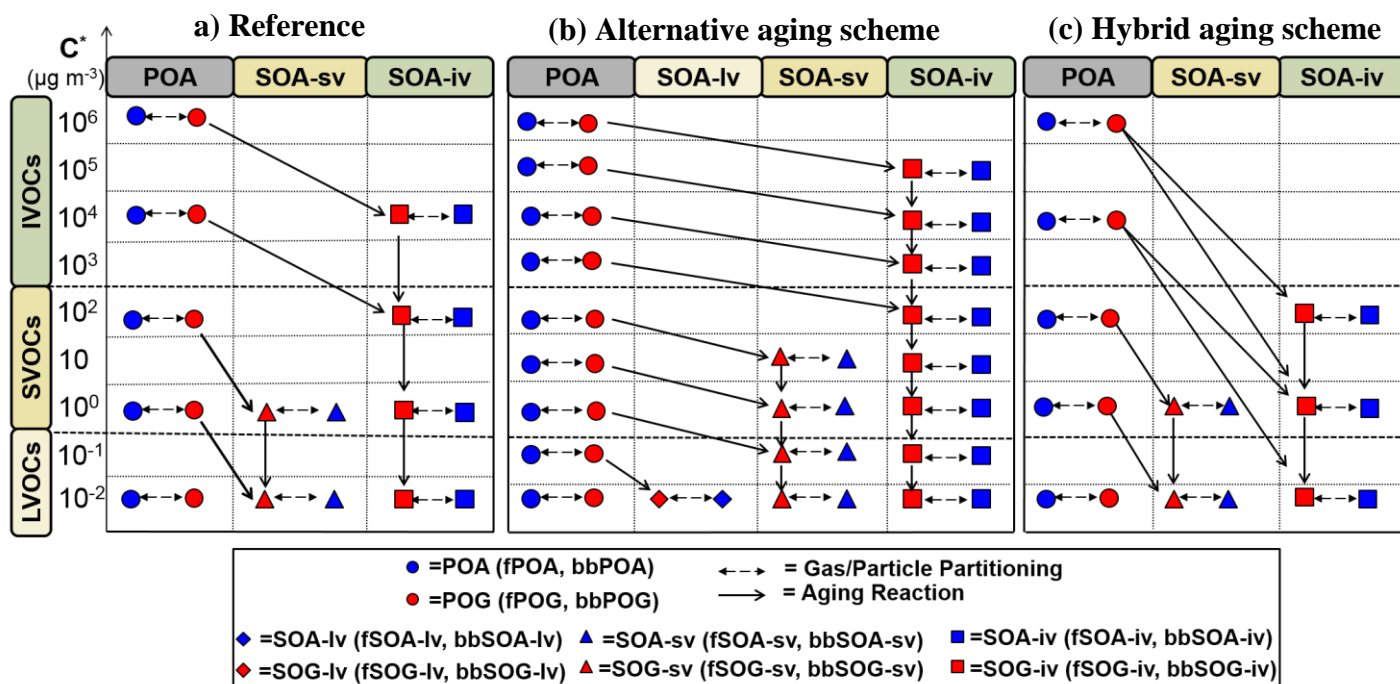


Figure 1: Schematic of the VBS resolution and the formation of SOA from SVOCs and IVOCs in the: (a) reference simulation, (b) alternative aging scheme and (c) hybrid case. SOA from LVOCs (SOA-iv) is only formed in the alternative aging scheme (b). Red indicates that the organic compound is in the vapor phase and blue in the particulate phase. The circles correspond to primary organics emitted as gases or particles. Diamonds symbolize the formation of SOA from LVOC emissions by fuel combustion and biomass burning. Triangles indicate SOA formation from SVOC emissions by fuel combustion and biomass burning, while the squares show SOA from IVOC by the same sources. Gas-aerosol partitioning, aging reactions, and names of species are also shown.

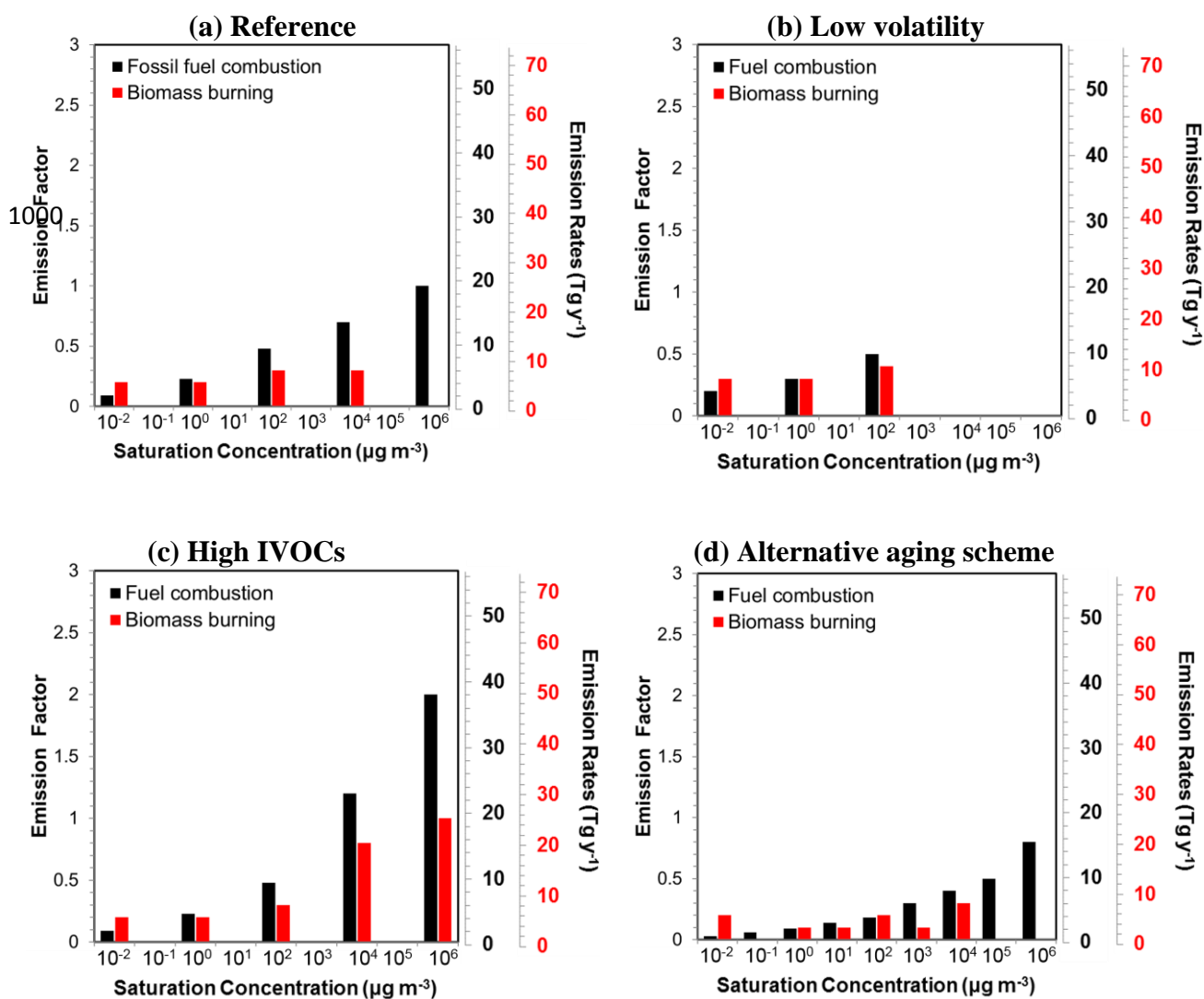


Figure 2. Volatility distribution for fuel combustion (black) and biomass burning OA (red) for the (a) reference, (b) low volatility, (c) high IVOCs and (d) alternative aging scheme simulations. The reference emission factors are from Robinson et al. (2007) for fPOA and May et al. (2013) for bbPOA emissions. The emission rates of fPOA and bbPOA are also shown on the right axis.

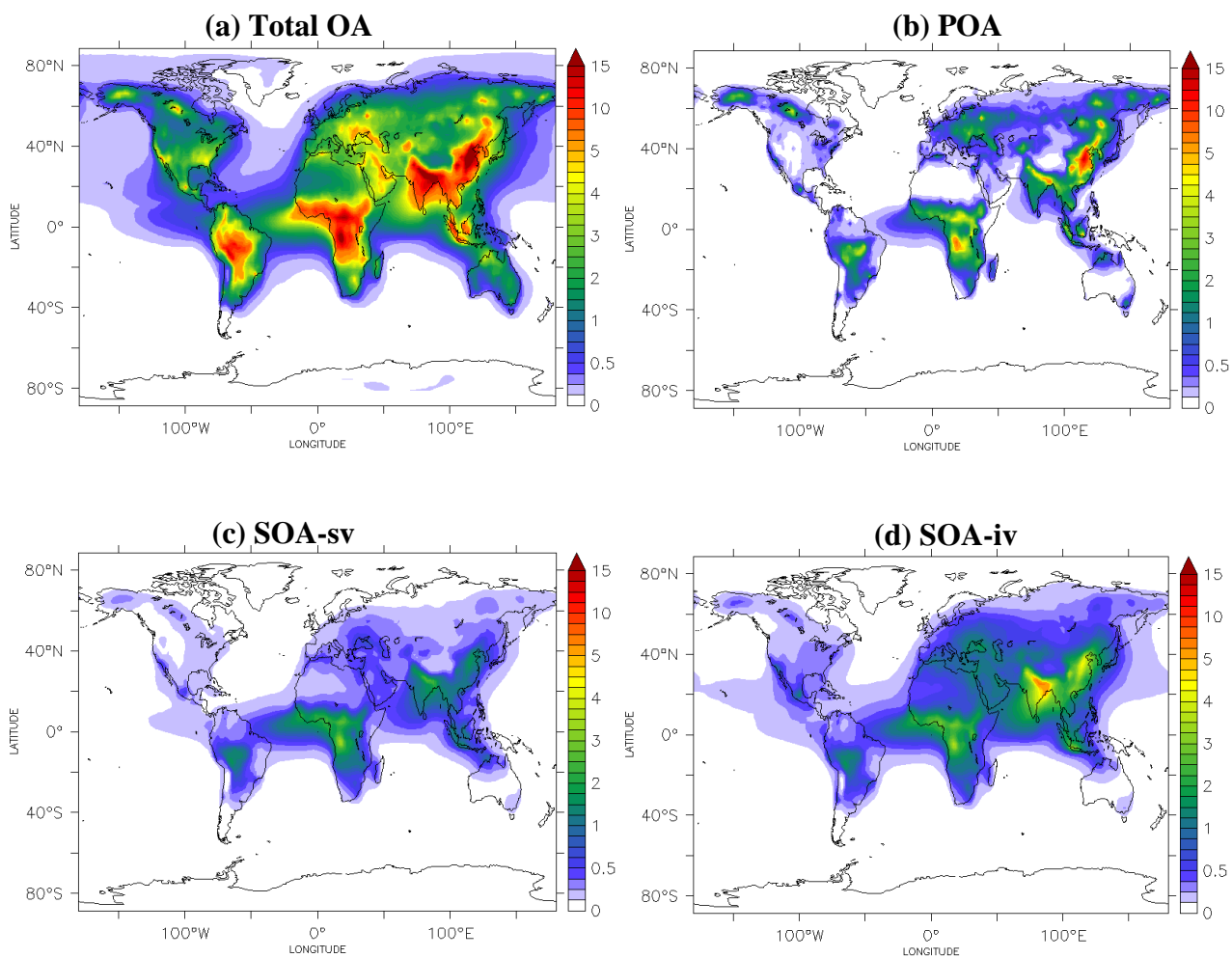


Figure 3: Predicted average surface concentrations (in $\mu\text{g m}^{-3}$) of: **(a)** Total OA (sum of POA, SOA-sv, SOA-iv and SOA-v), **(b)** POA and **(c)** SOA from the oxidation of SVOCs (SOA-sv) and **(d)** SOA from the oxidation of IVOCs (SOA-iv) for the reference simulation during the 2001-2010 period.

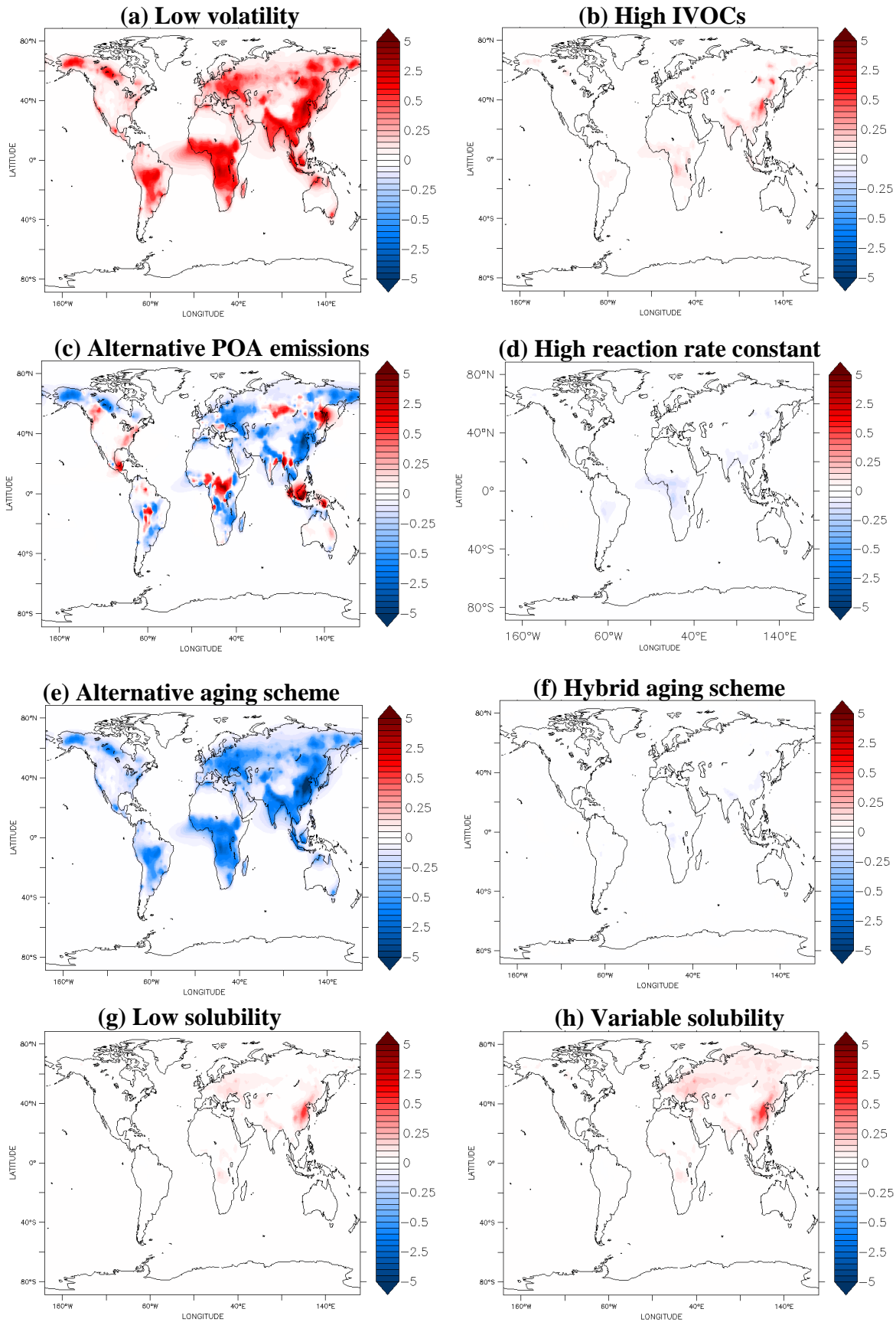


Figure 4: Absolute changes (in $\mu\text{g m}^{-3}$) of the average surface POA concentrations between the reference and the (a) low volatility, (b) high IVOCs, (c) alternative POA emissions, (d) high reaction rate constant, (e) alternative aging scheme, (f) hybrid aging scheme, (g) low solubility, and, (h) hybrid solubility simulations during the period 2001-2010. A positive change indicates an increase in the sensitivity test.

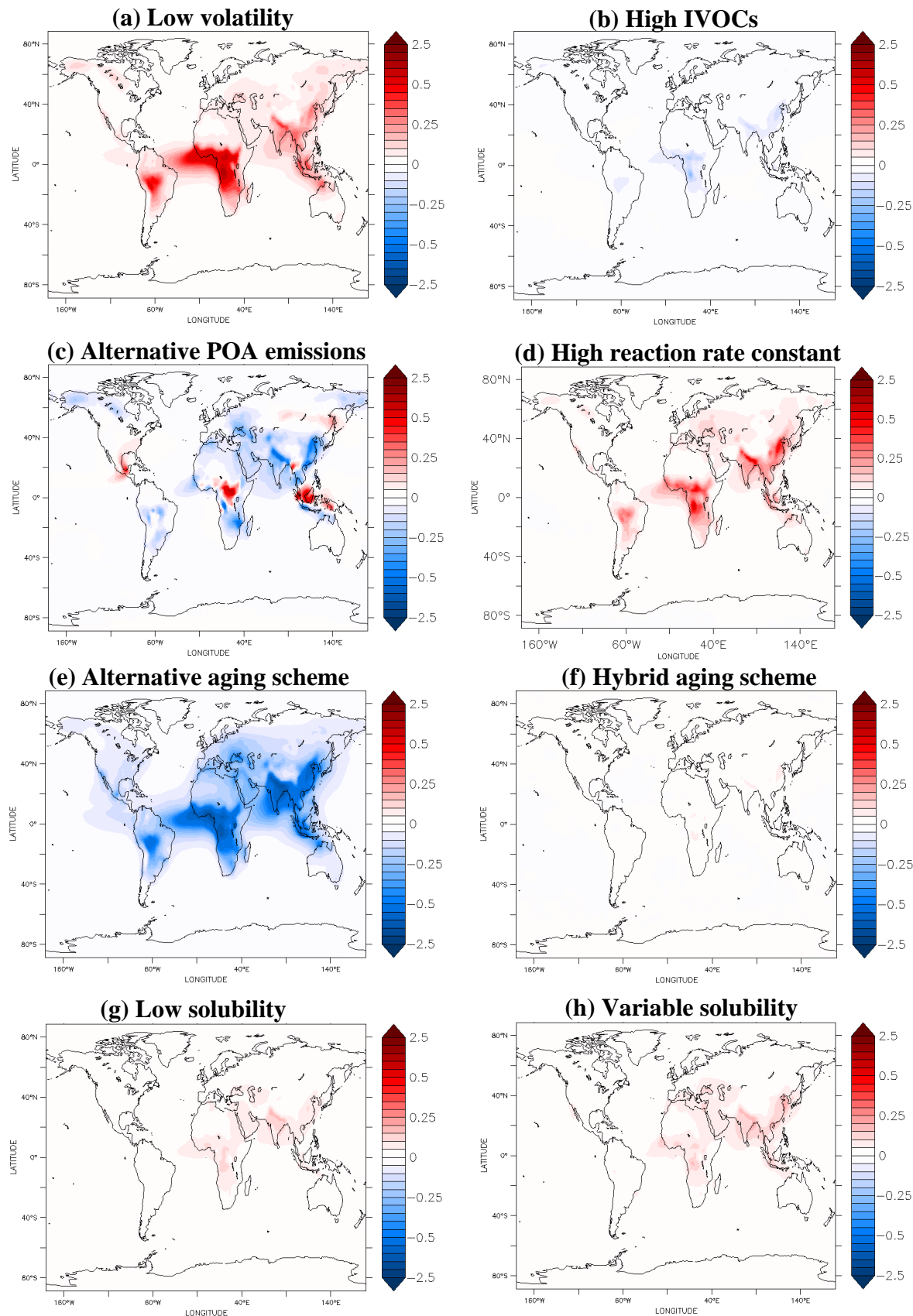


Figure 5: Absolute changes (in $\mu\text{g m}^{-3}$) of the average surface SOA concentrations from SVOCs (SOA-sv) between the reference and the (a) low volatility, (b) high IVOCs, (c) alternative POA emissions, (d) high reaction rate constant, (e) alternative aging scheme, (f) hybrid aging scheme, (g) low solubility, and, (h) hybrid solubility simulations during the period 2001-2010. A positive change indicates an increase in the sensitivity test.

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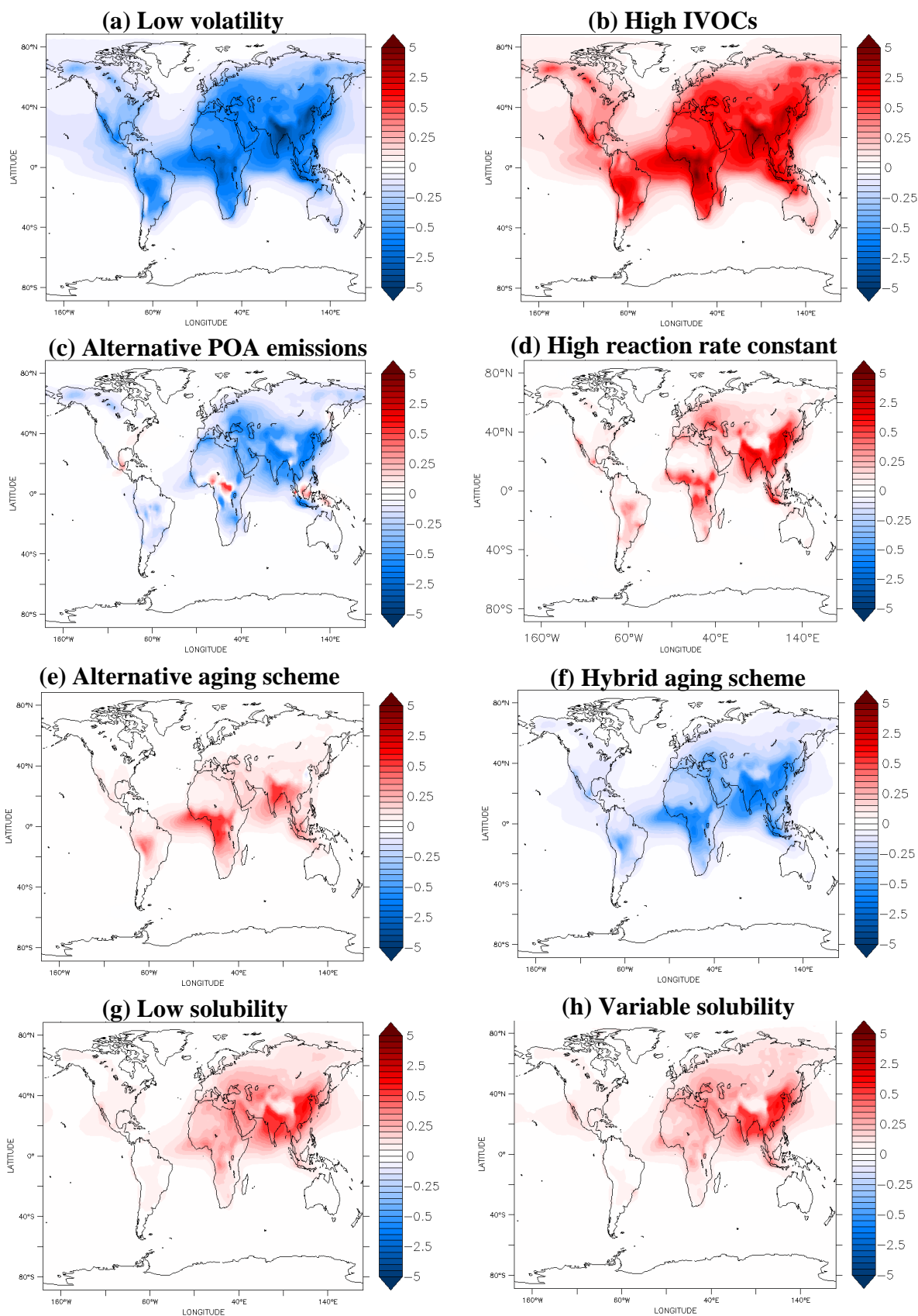


Figure 6: Absolute changes (in $\mu\text{g m}^{-3}$) of the average surface SOA concentrations from IVOCs (SOA-iv) between the reference and the (a) low volatility, (b) high IVOCs, (c) alternative POA emissions, (d) high reaction rate constant, (e) alternative aging scheme, (f) hybrid aging scheme, (g) low solubility, and, (h) hybrid solubility simulations during the period 2001-2010. A positive change indicates an increase in the sensitivity test.

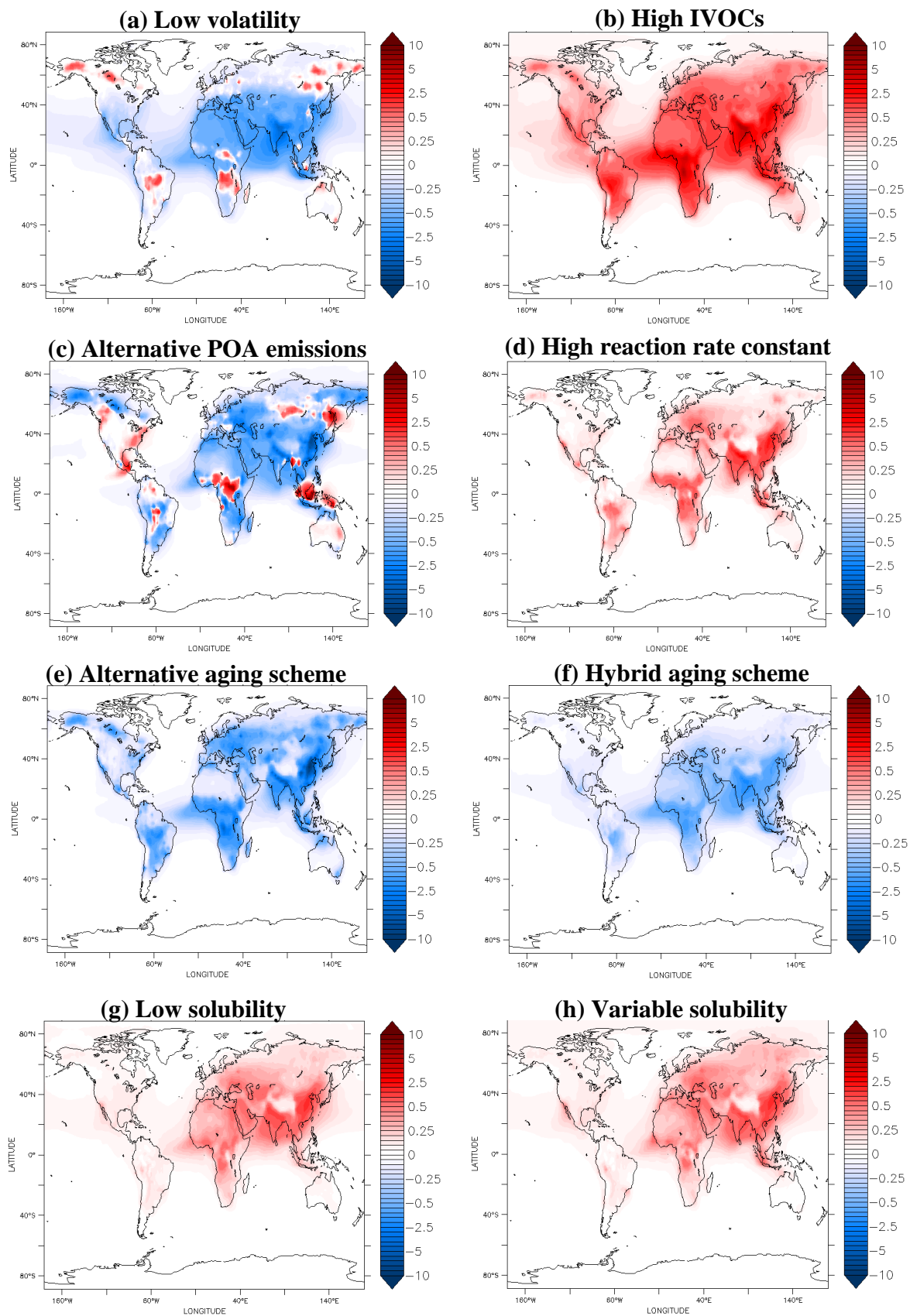


Figure 7: Absolute changes (in $\mu\text{g m}^{-3}$) of the average surface total OA concentrations between the reference and the (a) low volatility, (b) high IVOCs, (c) alternative POA emissions, (d) high reaction rate constant, (e) alternative aging scheme, (f) hybrid aging scheme, (g) low solubility, and, (h) hybrid solubility simulations during the period 2001-2010. A positive change indicates an increase in the sensitivity test.

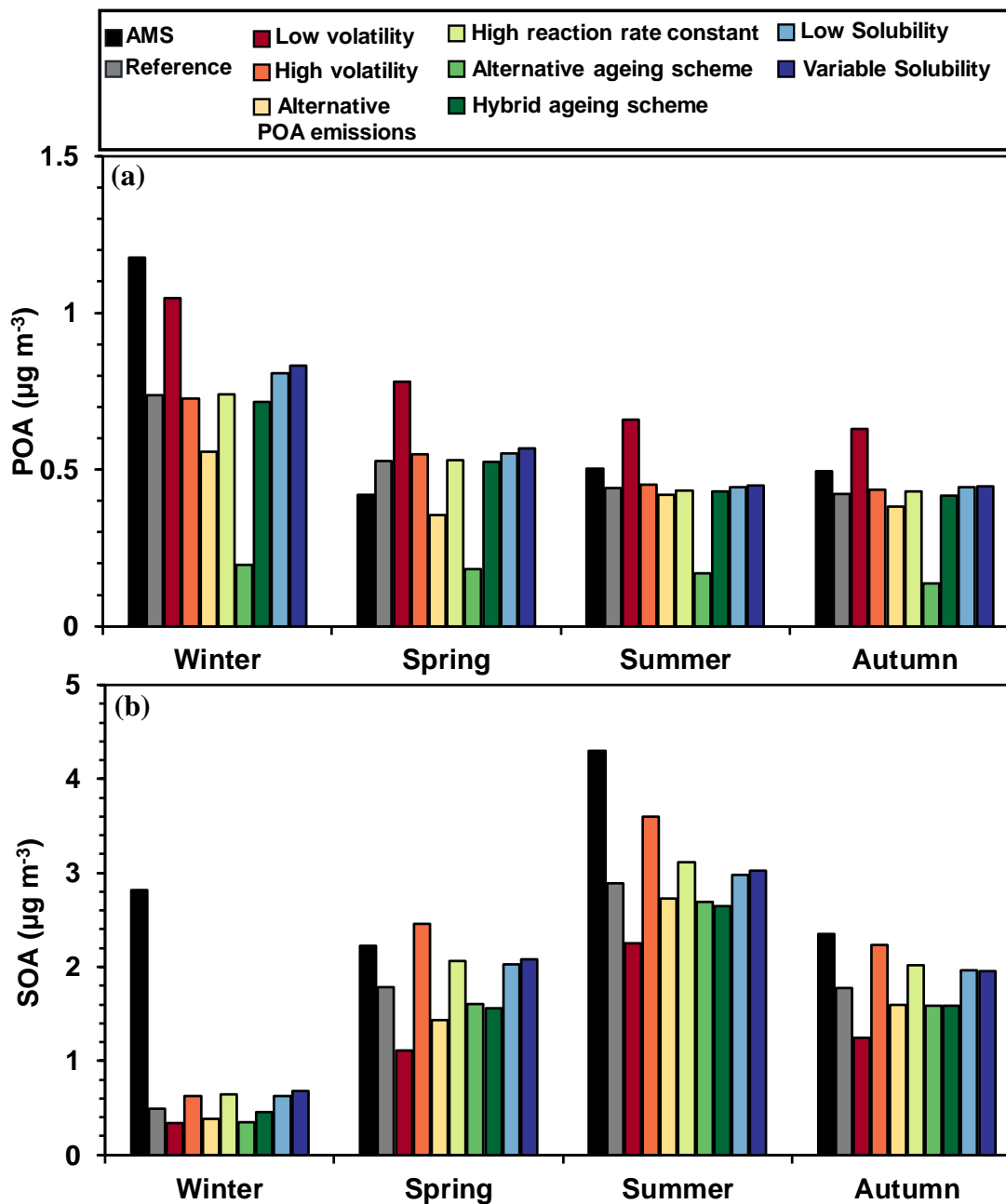


Figure 8: Average (a) POA and (b) SOA concentrations (in $\mu\text{g m}^{-3}$) measured and predicted in the reference and sensitivity simulations during winter, spring, summer, and autumn in urban-downwind and rural areas of the continental Northern Hemisphere.