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Regional Simulation of Indian summer Monsoon Intraseasonal Oscillations at Gray Zone Resolution

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Abstract. Simulations of the Indian summer monsoon by the cloud-permitting WRF model at gray zone resolution are described in this study, with a particular emphasis on the model ability to capture the Monsoon Intraseasonal Oscillations (MISOs). Five boreal summers are simulated from 2007 to 2011 using the ERA-Interim reanalysis as the lateral boundary forcing data. Our experimental set-up relies on a horizontal grid spacing of 9 km to explicitly simulate deep convection without the use of cumulus parameterizations. When compared to simulations with coarser grid spacing (27 km) and using a cumulus scheme, the 9-km simulations reduce the biases in mean precipitation and produce more realistic low frequency variability associated with MISOs. Results show that the model at the 9-km gray zone resolution captures the salient features of the summer monsoon. The spatial distributions and temporal evolutions of monsoon rainfall in the WRF simulations verify qualitatively well against observations from the Tropical Rainfall Measurement Mission (TRMM), with regional maxima located over West Ghats, central India, Himalaya foothills and the west coast of Myanmar. The onset, breaks and withdrawal of the summer monsoon in each year are also realistically captured by the model. The MISO phase composites of monsoon rainfall, low-level wind and precipitable water anomalies in the simulations also agree qualitatively with the observations. Both the simulations and observations show a northeastward propagation of the MISOs, with the intensification and weakening of Somali Jet over the Arabian Sea during the active and break phases of the Indian summer monsoon.

31 1. Introduction

32 The Indian summer monsoon (ISM) is the most vigorous weather phenomena affecting the Indian subcontinent
33 every year from June through September (JJAS). It contributes about 80% of the total annual precipitation over the
34 region (Jain and Kumar, 2012; Bollasina, 2014) and has substantial influences on the agricultural and industrial
35 productions in India. The ISM exhibits strong low frequency variability in the form of “active” and “break” spells of
36 monsoon rainfall (Goswami and Ajayamohan, 2001), with two dominant modes on timescales of 30-60 days
37 (Yasunari, 1981; Sikka and Gadgil, 1980) and 10-20 days (Krishnamurti and Bhalme, 1976; Chatterjee and
38 Goswami, 2004). The low-frequency mode is generally known as the Monsoon Intraseasonal Oscillation (MISO),
39 which is closely related to the Boreal Summer Intraseasonal Oscillations (BSISO, Krishnamurthy and Shukla, 2007;
40 Suhas et al., 2013; Sabeerali et al., 2017; Kikuchi et al., 2012; Lee et al., 2013) and is characterized by a
41 northeastward propagation of the precipitation from the Indian Ocean to the Himalayan foothills (Jiang et al., 2004).
42 The MISO not only affects the seasonal mean strength of the ISM, but also plays a fundamental role in the
43 interannual variability and predictability of the ISM (Goswami and Ajayamohan, 2001; Ajayamohan and Goswami,
44 2003). The MISO phases occurring at the early and late stages of the ISM also have a considerable influence on the
45 onset and withdrawal of the ISM. In other words, these MISO phases determine the length of the rainy season
46 (Sabeerali et al., 2012). Hence, a more accurate forecast of the MISO is of critical significance. The MISO is
47 influenced by a number of physical processes (Goswami, 1994). Its interactions with the mean monsoon circulation
48 and other tropical oscillations make its propagating characteristics very complex (Krishnamurthy and Shukla, 2007).

49 General circulation models (GCMs) are broadly used to simulate the large-scale circulation and seasonal rainfall
50 climatology of the ISM. Results show that GCMs are able to capture some key features of the monsoon circulation
51 reasonably well. However, the monsoon precipitation is still a rigorous test for most GCMs (e.g., Bhaskaran et al.,
52 1995; Lau and Ploshay, 2009; Chen et al., 2011). Also, the skill of the current generations of GCMs in simulating
53 and predicting the MISO remains poor (Ajayamohan et al., 2014; Lau and Waliser, 2011). The computer power
54 available nowadays constrains most GCMs to perform long-term global simulations with a horizontal spacing larger
55 than 100 km (Lucas-Picher et al., 2011, though a few GCMs can go down to 25 km for tropical cyclone forecasts,
56 like GFDL HiRAM). As a result, the GCMs cannot well capture the high frequency atmospheric variance and
57 regional dynamics associated with the MSIO, which also leading to systematic biases in simulating the ISM rainfall
58 (Goswami and Goswami, 2016; Srinivas et al., 2013). Increasing the spatial resolution therefore is one way for
59 GCMs (of course not the only way) to improve the MISO simulation and to reduce systematic model biases (e.g.,
60 Ramu et al., 2016; Rajendran and Kitoh, 2008; Oouchi et al., 2009). However, high resolution global simulations
61 usually require significant computational resources that cannot be afforded by many modelers.

62 An alternative approach to improve the ISM and MISO simulations is the use of regional climate models (RCMs).
63 RCMs dynamically downscale the GCM simulations (or reanalysis) and perform a climate simulation over a certain
64 region of the globe (Prein et al., 2015; Giorgi, 2006). Using similar limited computing resources, RCMs are able to
65 perform climate simulations at much higher spatial resolutions and are expected to better capture higher-frequency
66 atmospheric variance and better resolve important regional forcings associated with topography, land-sea contrast
67 and land cover (Bhaskaran et al., 1996; Dash et al., 2006). Many previous studies found that better ISM and MISO

68 simulations can be achieved in the high resolution RCMs (typically with grid spacings 50 km or less) than those in
69 the GCMs with coarser grid spacings (e.g., Bhaskaran et al., 1998; Kolusu et al., 2014; Lucas-Picher et al., 2011;
70 Srinivas et al., 2013; Raju et al., 2015; Samala et al., 2013; Vernekar and Ji, 1999; Mukhopadhyay et al., 2010;
71 Saeed et al., 2012). Nonetheless, apparent biases of the MISO simulations can still be found in the most previous
72 RCM studies. One principle reason is, to reduce the computational requirements, the spatial resolutions used in the
73 previous RCM studies are still not sufficient to simulate convective activity explicitly, and thus convection has to be
74 parameterized by cumulus schemes in the simulations. However, since the organization of convection is the primary
75 mechanism for simulating the realistic MISO (Ajayamohan et al., 2014), using cumulus schemes may introduce
76 systematic biases in simulating the MISO and the monsoon rainfall climatology (Mukhopadhyay et al., 2010; Das et
77 al., 2001; Ratnam and Kumar, 2005). In addition, the cumulus parameterizations can also interact with other
78 parameterization schemes, such as the planetary boundary layer, radiation and microphysical schemes, which may
79 induce far-reaching consequences through nonlinearities that affect the MISO simulations (Prein et al., 2015).

80 The alternative to the use of a convective parameterization is to rely on the internal dynamics to resolve
81 convective motion. A consensus view is that Cloud Resolving Models (CRMs) must have a horizontal resolution of
82 at least 2 km to resolve the dynamics of deep convection, albeit even finer resolution are necessary in order to
83 adequately resolve the turbulent motions in convective systems (Bryan et al., 2003). However, Pauluis and Garner
84 (2006) have shown that cloud-permitting model with horizontal grid spacing as coarse as 12 km can accurately
85 reproduce the statistical behavior of convection simulated at much finer resolution. This implies that a coarse
86 resolution CRM, one in which convective motion is under resolved, can nevertheless capture adequately the impacts
87 of convective motions on the large scale atmospheric flows.

88 Recently, Wang et al. (2015, W15 hereafter) simulated two MJO events observed during the CINDY/DYNAMO
89 campaign using a convection-permitting regional model with 9-km grid spacing. The authors compared the
90 simulations with multiple observational datasets and found that the RCM at this resolution can successfully capture
91 the intraseasonal oscillations over the tropical oceans. The horizontal grid spacing of 9 km used in W15 is not
92 adequate for individual convective cells, but enough to resolve the organized mesoscale convective systems and
93 their upscale impacts and coupling with large-scale dynamics. Hence, they regarded the 9-km grid spacing as a gray
94 zone resolution for regional convection-permitting climate simulations. The convection-permitting RCMs at the gray
95 zone resolution have the twin advantages of (1) using much less computational resources than that required by the
96 typical cloud-resolving simulations (requiring grid spacing smaller than 2 km) and (2) avoiding the use of cumulus
97 parameterization schemes. The primary objective of the present study is to evaluate the ISM and MISO simulations
98 in the RCM at the gray zone resolution, which could be an affordable and efficient way for most climate modeling
99 groups to achieve a cloud-permitting MISO simulation. The paper is constructed as follows. Section 2 provides a
100 brief description of the model and the data used. Section 3 presents the model simulated mean ISM features and
101 seasonal evolutions of the rainfall over the monsoon region. The simulated MISO are described and compared with
102 the observations and reanalysis in section 4. Section 5 gives the concluding remarks of the study.

2. Experimental setup and observational datasets

The model configuration here is similar to the one used in W15. The Advance Research WRF model (Skamarock et al., 2008), version 3.4.1, is used to simulate the ISMs over the Indian subcontinent from 2007 to 2011. Simulations are performed over a single domain that covers most of South Asia with 777×444 grid points and 9-km grid spacing (Fig. 1). There are 45 vertical levels with a nominal top at 20 hPa and 9 levels in the lowest 1 km. Vertically propagating gravity waves have been suppressed in the top 5 km of the model with the implicit damping scheme (Klemp et al., 2008). The high model top (~ 27 km) allows the development of deep convection, especially over mountainous areas. The sponge layer above the tropopause can damp the gravity waves produced by deep convective activity or steep terrains and prevents upward propagating gravity-wave energy from being reflected back to the troposphere. The simulation employs the unified Noah land surface physical scheme (Chen and Dudhia, 2001), the GCM version of the Rapid Radiative Transfer Model (RRTMG) longwave radiation scheme (Iacono et al., 2008), the updated Goddard shortwave scheme (Shi et al., 2010) and the WRF Double-Moment (WDM) microphysics scheme (Lim and Hong, 2010) from WRF V3.5.1 with an update on the limit of the shape parameters and terminal speed of snow. In W15, the authors used the Yonsei University (YSU) boundary layer scheme (Hong et al., 2006) to simulate the subgrid-scale meteorological processes within the planetary boundary layer. However, we find that there exists an apparent dry bias in simulating the ISM precipitation after a long-term integration when YSU boundary layer scheme is used. In order to improve the simulation, the boundary layer scheme used for this study has been changed to the new version of the asymmetric convective model (ACM2, Pleim, 2007). Hu et al. (2010) evaluated the different boundary schemes used in the WRF model and found that the ACM2 scheme can better simulate the boundary meteorological conditions over the Texas region during summer than the YSU scheme. Nevertheless, the sensitivity of ISM simulations to the boundary-layer schemes still deserve closer analysis and quantification in the future, which is beyond the scope of the present study. Our model configuration does not use any parameterization for deep convection, but rather relies on the internal dynamics to capture the impact of convective activity.

Five boreal summers are simulated from 2007 to 2011 in this study. The 6-hourly ERA-Interim reanalysis (Dee et al., 2011) is used as the initial and boundary conditions for the simulations, and sea surface temperature (SST) is updated every 6 hours using the ERA-Interim SST data. The ERA-Interim reanalysis is produced with a sequential data assimilation scheme, advancing forward in time using 12-hourly analysis cycles. The zonal and meridional winds in the reanalysis are directly assimilated from observational data, thus the large scale monsoon circulation is well captured in the reanalysis. The Indian summer monsoon precipitation climatology in ERA-Interim has also been compared with that in other reanalysis datasets, and the results show that ERA-Interim has the highest skill to reproduce the Indian summer monsoon rainfall though obvious biases can still be found (Kishore et al., 2016; Lin et al., 2014). The model integrations start from 0000 UTC 20 April in each year. For the first 3 days, a spectral nudging is applied to relax the horizontal wind with a meridional wave number 0-2 and a zonal wavenumber 0-4, which constrains the large-scale flow and convergence in the domain and allows the mesoscale to saturate in the spectral space (W15). The simulations are integrated until October 30 for each year in order to capture the withdrawal of the ISM in different years. The simulated spatial distributions and temporal variations of surface

141 rainfall are verified against the 3-hourly 0.25° TRMM 3B42 rainfall product version 7A, while the large-scale
142 circulations and atmospheric conditions in the simulations are verified against the ERA-interim reanalysis.

143 Besides the control simulations at the 9-km gray-zone resolution (WRF-gray hereafter), another set of numerical
144 simulations with a coarser grid spacing (27km, WRF-27km hereafter) are also conducted in this study to evaluate
145 the extent to which the cloud-permitting simulations at gray zone resolution can improve the simulation of the ISM
146 and MISO. The configuration of the coarse simulations is similar to that of the WRF-gray configuration, except that
147 a cumulus parameterization scheme is used to represent the subgrid-scale convective activity. Mukhopadhyay et al.
148 (2010) investigated the impacts of different cumulus schemes on the systematic biases of ISM rainfall simulation in
149 the WRF RCM. They compared the simulations conducted with three different convective schemes, namely the
150 Grell–Devenyi (GD, Grell and Dévényi, 2002), the Betts–Miller–Janjić (BMJ, Janjić, 1994; Betts and Miller, 1986),
151 and the Kain–Fritsch (KF, Kain, 2004) schemes. Results show that KF has a high moist bias while GD shows a high
152 dry bias in simulating the monsoonal rainfall climatology. Among these three schemes, BMJ can produce the most
153 reasonable monsoonal precipitation over the Indian subcontinent with the least bias. Similar results can also be
154 found in Srinivas et al.(2013). Hence, the BMJ scheme has been used in the WRF-27km simulations.

155 Figure 2 shows the daily surface precipitation averaged over the Indian subcontinent (shown by the blue polygon
156 in Fig. 1) from TRMM observation, WRF-gray and WRF-27km during the monsoon seasons (JJAS). An apparent
157 moist bias of surface precipitation can be found for all 5 years (2007 to 2011) in WRF-27km, while this systematic
158 bias is reduced considerably in WRF-gray. One reason of the moist bias reduction is that topography is better
159 resolved in WRF-gray than that in WRF-27km (the spatial resolution of topography is 3-times higher in WRF-gray).
160 Thus, local convective activity is better simulated and the total surface precipitation is less over the Himalaya
161 foothills and West Ghats in WRF-gray (not shown here). Besides mountainous areas, surface rainfall simulation
162 over the plains and oceanic regions also shows a high moist bias in WRF-27km, which improved dramatically in
163 WRF-gray. These results show that higher model resolution and a better simulation of the large-scale atmospheric
164 circulation contributes to the improvement of the monsoon rainfall simulation in WRF-gray, a finding that will be
165 discussed in detail in the following sections. In addition, we also find that the simulations at gray zone resolution
166 (WRF-gray) can better capture the interannual variability of the monsoon rainfall amount than WRF-27km. The rest
167 of this paper will focus on the assessment of the ISM and MISO simulations in WRF-gray while both the MISO
168 simulations in WRF-gray and WRF-27km will be compared to the observations in section 4.

170 3. Mean features of Indian Summer Monsoon

171 The large-scale atmospheric circulation and temporal-spatial patterns of the monsoon rainfall in WRF-gray are
172 first assessed in this section. Fig. 3a and 3b show the 5-yr JJAS climatological mean 200-hPa winds and
173 geopotential heights extracted from ERA-Interim and WRF-gray. During the summer monsoon, the upper
174 troposphere (200 hPa) is characterized by a strong anti-cyclone over the Tibetan plateau and easterly winds over the
175 Indian subcontinent. The model captures well the wind and geopotential height patterns in the upper troposphere,
176 though the Tibetan high-pressure and easterly winds in WRF-gray are slightly stronger than that in ERA-Interim
177 (Fig. 3b). At lower level (850-hPa), the model realistically simulates the geographical position and strength of the

178 Somali Jet over the Arabian Sea, with a slight overestimation of the wind speed (Fig. 3c and 3d). Moisture is
179 transported by the strong low-level winds from the Arabian Sea to the Indian subcontinent. As a result, a
180 precipitable water maximum can be found over West Ghats and the Eastern coast of the Arabian Sea in both in
181 ERA-Interim and WRF-gray, though the precipitable water over the mountainous ranges of West Ghats in WRF-gray
182 is slightly higher than that in ERA-Interim. In addition, WRF-gray also captures well the rain shadow downwind of
183 the mountainous areas of central and southern India where a slight dry bias can be noticed (Fig. 3d). The low-level
184 southwesterly winds over the Bay of Bengal in WRF-gray are stronger than that in ERA-Interim, which leads to an
185 overestimation in the precipitable water over the north tip of the Bay of Bengal, the west coast of Myanmar and the
186 foothills of Himalaya (Fig. 3d). A comparison of JJAS-averaged daily rainfall distribution observed by TRMM with
187 that simulated by WRF-gray is shown in Figs. 3e and 3f. Overall, WRF-gray realistically captures the spatial pattern
188 of the monsoon rainfall with the regional rainfall maximums over West Ghats, central India, Himalaya foothills and
189 the west coast of Myanmar. Consistent with the biases shown in the low-level wind and precipitable water fields
190 (Fig. 3d), the simulated surface rainfall shows a dry bias over central India and a moist bias over West Ghats,
191 Himalaya foothills and the west coast of Myanmar (the Bay of Bengal). Similar features can also be found in
192 earlier RCM studies (e.g., Lucas-Picher et al., 2011; Rockel and Geyer, 2008), which have shown that these biases
193 can be explained by the way that surface schemes cannot well simulate the land-sea pressure and temperature
194 contrasts that drive the monsoon dynamics and induce an overestimation of surface wind speed over oceans. This
195 may explain an overestimation of the surface evaporation over the tropical oceans and excess precipitation
196 downstream over the mountain ranges of South-East Asia.

197 The Somali Jet over the Arabian Sea is a central feature of the Indian Summer Monsoon. Its emergence is crucial
198 in determining the onset precipitation over the Indian subcontinent (Ji and Vernekar, 1997; Joseph and Sijikumar,
199 2004). Ajayamohan (2007) proposed an index to represent the Kinetic Energy (KE) of Somali Jet (KELLJ), which is
200 defined as the mean KE of winds at 850 hPa averaged over 50°-65°E and 5°-15°N (shown by the black box in Fig. 1).
201 The same index is applied here to assess the strength of Somali Jet. The 5-yr temporal evolutions of KELLJ
202 calculated from WRF-gray and WRF-27km are compared with that calculated from ERA-Interim in Fig. 4. In
203 general, the simulations well capture the evolution of KELLJ in different years. Sudden increases in KE of Somali
204 Jet in late May associated with the monsoon onsets are well reproduced in both WRF-gray and WRF-27km. The
205 Somali Jet is stronger during the monsoon (JJAS) than in May and October, which leads to a stronger precipitation
206 over the Indian subcontinent during the ISM. WRF-gray also well simulates the intraseasonal variation of KELLJ
207 and the decrease of KE associated with the withdrawal of the monsoon in each year. Overall, the strength of Somali
208 Jet in WRF-gray is slightly stronger than that in ERA-Interim, which is similar to the above analysis of Figs. 3c and
209 3d. However, the strength of Somali Jet in WRF-27km is much stronger when compared to WRF-gray and
210 ERA-Interim. This is one of the reasons why there is a high moist bias of monsoon rainfall in WRF-27km. In
211 general, the simulation at gray zone resolution can better capture the large scale atmospheric circulation of Indian
212 summer monsoon than the coarser resolution simulation with cumulus scheme.

213 The evolution of surface rainfall averaged over the Indian subcontinent (shown by the blue polygon) from
214 WRF-gray and WRF-27km is compared with that from TRMM observations (Fig. 5). The seasonal mean Indian

215 monsoon rainfall for each year in TRMM, WRF-gray and WRF-27km has been given in each panel. Generally
216 speaking, WRF-gray well captures the mean strength and intraseasonal variations of the monsoon rainfall. In these 5
217 years, the accumulated monsoonal rainfall amount over the Indian subcontinent is largest in 2010 (9.1 mm/day) and
218 smallest in 2009 (7.1 mm/day). Year 2009 is also one of the driest years during the past 3 decades. Corresponding to
219 the evolution of the Somali Jet, rainfall over the Indian subcontinent begins to increase from late May, reaches its
220 maximum during JJAS and decreases again in late September or early October, changes of which are associated
221 with the onsets and withdrawals of the ISM. The onset and withdrawal of the ISM are well captured by WRF-gray in
222 most years except that the onset of the 2007 ISM in WRF-gray is later than that in TRMM observations. The main
223 reason of the 2007 ISM later onset in WRF-gray is that the super cyclonic storm Gonu, which induced strong
224 precipitation over the west India and had considerable influence on the onset of the 2007 ISM (Najar and Salvekar,
225 2010), was not well captured in the WRF simulation (the position of Gonu has a southwest shift in WRF-gray, not
226 shown here). The ISM also shows a strong ISO in each year in the form of “active” and “break” spells of monsoon
227 rainfall over the Indian subcontinent. These “active” and “break” phases of ISMs are closely related to the
228 strengthening and weakening of the Somali Jet (Fig. 4). Despite the biases of the monsoon rainfall intensity, we can
229 find that WRF-gray well captures most “active” and “break” spells, which gives us further confidence that MISOs
230 can be qualitatively simulated in the RCMs at gray zone resolution. Compared to WRF-gray, the surface rainfall in
231 WRF-27km shows a high moist bias over the Indian subcontinent, consistent with the overprediction of the Somali
232 Jet strength shown in Fig. 4 and the analysis of seasonal mean rainfall in Fig. 2. Also, the intraseasonal variation of
233 monsoon rainfall is more poorly simulated in WRF-27km when compared with that in WRF-gray. For example, the
234 “active” and “break” spells from August to September in 2007 are well captured by WRF-gray while not simulated
235 in WRF-27km. The 5-years averaged correlation coefficient of precipitation between WRF-gray (WRF-27km) and
236 TRMM observations is 0.786 (0.714). These results show that the simulation at gray zone resolution can better
237 capture both the mean intensity and the intraseasonal variation of Indian summer monsoon than the coarser
238 resolution simulation using cumulus scheme.

239 The spatial distributions of monthly mean precipitation from TRMM and WRF-gray in 2007, 2009 and 2011 are
240 compared in Figs 6, 7 and 8. Similar to the analysis of Fig.3, the model well captures the rainfall centers over West
241 Ghats, central Indian, Himalaya foothills and the west coast of Myanmar during the summer monsoon seasons, with
242 an overestimation of precipitation over the west coast of Myanmar and Himalaya foothills due to the overprediction
243 of low-level wind over the Bay of Bengal. With high spatial resolution, WRF-gray is able to capture finer details of
244 orographic precipitation over the mountainous ranges (for example, along the west coastline of the Indian
245 subcontinent). In addition, the interannual variability of monsoon rainfall is also well simulated in WRF-gray (Figs.
246 6, 7 and 8). In 2007, rainfall is very weak over the Indian subcontinent in May though orographic precipitation can
247 still be found over the mountainous ranges along the western coastlines (Figs. 6a and 6g). Accompanying with the
248 onset of the ISM and the enhancement of low-level winds over the Arabian Sea, precipitation over the west coast of
249 Indian subcontinent and its adjacent oceans increases dramatically in June (Figs. 6b and 6h). In July, the
250 precipitation center along the west coast of the Indian subcontinent is still apparent and the precipitation over central
251 India increases considerably (Figs. 6c and 6i). Rainfall over the Himalaya foothills and the west coast of Myanmar

252 also reaches its strongest stage during this month. In August, rainfall over central India and the west coast of
253 Myanmar are still strong while the precipitation near the Himalaya foothills decreases (Figs. 6d and 6j). The rainfall
254 intensity over the entire monsoon region decreases continually in September (Figs. 6e and 6k) and the precipitation
255 over the Indian subcontinent becomes very weak in October (Figs. 6f and 6l), which signals the end of the monsoon
256 season. When compared to 2007, the ISM in 2009 is dryer, especially over the Indian subcontinent (Fig. 7). The
257 onset and withdrawal of the 2009 ISM over the Indian subcontinent are in June and September. The significant
258 “break” spells of the 2009 ISM in June, August and September are well captured by WRF-gray (Figs. 5c, 7h, 7j and
259 7k). The evolution of monthly mean precipitation in 2011 (Fig. 8) is similar to that in 2007 (Fig. 6) with the rainfall
260 over the central India reaching its strongest stage in August (Figs. 8d and 8j). In May 2011, an apparent moist bias
261 of precipitation can be found over the Arabian Sea in WRF-gray, which is induced by the formation of a spurious
262 tropical cyclone in the simulation. Generally speaking, WRF-gray is able to capture the spatial and temporal features
263 of the ISM rainfall. Though apparent biases can still be found, the intensity and spatial pattern of monsoon rainfall in
264 WRF-gray verify well against the observations, especially over the Indian subcontinent.

265

266 **4. Monsoon Intraseasonal Oscillations (MISO)**

267 As mentioned in the Introduction, the MISO has fundamental influences on the seasonal mean, predictability and
268 interannual variability of the ISM. Hence, the simulation of the MISO is very important for the credibility of the
269 model in simulating the ISM. The section evaluates the ability of WRF-gray in simulating the MISO. MISO Phase
270 composites of the surface rainfall and large-scale flows from WRF-gray are compared with that from the
271 observations

272

273 **4.1 Indices for the MISO**

274 Using the nonlinear Laplacian spectral analysis (NLSA) technique (Giannakis and Majda, 2012b, a), Sabeerali et
275 al. (2017) developed improved indices for real-time monitoring of the MISO. NLSA is a nonlinear data analysis
276 technique that combines ideas from kernel methods for harmonic analysis, delay embedding of dynamical systems
277 and machine learning (Belkin and Niyogi, 2003; Packard et al., 1980; Sauer et al., 1991; Coifman and Lafon, 2006).
278 Compared to the classical covariance-based approaches (for example Suhas et al., 2013; Krishnamurthy and Shukla,
279 2007), a key advantage of NLSA is that it is able to extract the spatiotemporal modes of variability spanning
280 multiple timescales without requiring bandpass filtering or seasonal partitioning of the input data. Compared to the
281 MISO indices based on the extend EOF (EEOF) and multichannel singular spectral analysis (MSSA), the
282 NLSA-based MISO indices have improved timescale separation, higher memory and higher predictability. The
283 hindcasts of these indices using operational extended-range output show that NLSA-based MISO indices remain
284 predictable out to ~ 3 weeks while other indices only have ~2 weeks predictability. The MISO indices constructed
285 by NLSA can better resolve the temporal and spatial characteristics of MISOs. For example, the NLSA-based MISO
286 indices have better temporal phase coherence while maintaining the isolating ability of MISOs from the broad band
287 dataset. It can better resolve the tilted structure of MISO convection and the associated atmospheric circulation

288 pattern through phase composites and also explain more fractional variance over the ocean regions (Sabeerali et al.,
289 2017).

290 In order to evaluate the MISO simulation in WRF-gray, the NLSA MISO indices are applied in this study to
291 construct the phase composites of rainfall and atmospheric circulation from WRF-gray and the observations. Fig. 9
292 shows the daily evolution of the MISO in each year monitored by the two-dimensional phase space diagram
293 constructed from the NLSA MISO indices. All indices are extracted from the TRMM observations. The 2D phase
294 space of the NLSA MISO indices is divided into 8 phases to represent different phases of the MISO. The significant
295 MISO event is defined as the instantaneous MISO whose amplitude is greater than 1.5 (shown by the black circle in
296 Fig. 9). From Fig. 9, we can find that the MISO activity in 2007, 2008 and 2009 are much more significant than that
297 in 2010 and 2011. The accumulated monsoon rainfall amount over the Indian subcontinent is high in 2007 (8.8
298 mm/day), which also features the strongest MISO activity (Fig. 9a). The following year, 2008, is also a moist year
299 with strong MISO activity from the end of June to the end of September (Fig. 9b). In 2009 (Fig. 9c), a severe
300 drought year, the MISO is weak during the early and late stages of the monsoon season (June and September), but
301 stronger in the midst of the monsoon season (July and August). The amplitudes of the MISO indices in 2010 and
302 2011 are much smaller, while significant MISO events can still be found in most monsoon months (July, August and
303 September) in 2011 (Fig. 9e).

304

305 **4.2 Phase composites of surface rainfall**

306 Fig. 10 shows the phase composites of daily surface rainfall anomalies obtained from TRMM observations based
307 on the NLSA MISO indices (the 5-yr daily climatology is used to separate the anomalies). The phase composites are
308 computed by averaging the significant MISO anomalies. An apparent northeastward propagation of the MISO can
309 be found in the phase composites (from the phase 1 to the phase 8), which corresponds to the anticlockwise rotation
310 in the 2D phase space of the MISO indices (Fig. 9). Phase 1 shows the formation of enhanced rainfall anomalies
311 over the tropic Indian Ocean (Fig. 10a). During this phase, rainfall over the Indian subcontinent is suppressed. The
312 enhanced rainfall anomalies over the tropical ocean become stronger and move toward the Indian subcontinent in
313 Phase 2 (Fig. 10b) and reach the Western Ghats and its adjoining oceans in Phase 3 and Phase 4 (Figs. 10c and 10d).
314 In Phase 3, precipitation over the Indian subcontinent is enhanced while rainfall over the Bay of Bengal is
315 suppressed (Fig. 10c). Rainfall over central India is enhanced considerably in Phase 4 (Fig. 10d) and forms into a
316 northwest-southeast enhanced rainfall line that stretches from the west coast of the Indian subcontinent to the south
317 of the Indochina in Phase 5 (Fig. 10e). This enhanced rainfall line continually propagates to the northeast in Phase 6
318 (Fig. 10f). In Phase 7, the enhanced rainfall anomalies can still be found over northwest India and the west coast of
319 Myanmar while the rainfall in south India is suppressed by the MISO. The total rainfall over the entire basin is
320 weakest during Phase 8 with the rainfall anomalies are mostly negative over the inland regions of India (Fig. 10h).
321 However, rainfall near Himalaya foothills begins to increase in this phase and reaches its maximum in Phase 1 (Fig.
322 10a). The phase composites of daily surface rainfall anomalies obtained from 5-yr TRMM observations in this study
323 are similar to the 26-yr phase composites in Sabeerali et al. (2017), showing that the 5-yr rainfall statistics reflect the
324 climatological characteristics of the MISO.

325 Fig.11 presents the phase composites of daily surface rainfall anomalies obtained from WRF-gray. Despite
326 differences in the intensity and location of rainfall anomalies, the MISO simulation in WRF-gray verified well
327 against the TRMM observations. The fundamental features of rainfall anomalies in all 8 phases of the MISO are
328 well captured by WRF-gray: for example, the northeastward propagation of the enhanced rainfall anomalies, the
329 “active” and “break” phases of the monsoon rainfall over the Indian subcontinent, the northwest-southeast enhanced
330 rainfall line in Phases 5 and 6, the increase of rainfall over Himalaya foothills in Phase 8 and Phase 1 and so on.
331 Nonetheless, we also notice that the amplitude of the rainfall anomalies in WRF-gray is slightly larger than that in
332 the TRMM observations, which reflects that the model simulated MISO is stronger than that in the satellite
333 observations.

334 In order to evaluate to what extent the RCM at gray zone resolution can improve the simulation of the MISO, the
335 phase composites of daily surface rainfall anomalies obtained from WRF-27km (Fig. 12) are also compared with
336 that from the TRMM observations (Fig. 10) and WRF-gray (Fig. 11) in this section. We can find that the amplitude
337 of rainfall anomalies in WRF-27km is much larger than that in WRF-gray and TRMM observations, which shows
338 the WRF-27km has larger systematic biases than WRF-gray in simulating the MISO intensity. Though WRF-27km
339 can also basically capture the “active” (Figs 12d, 12e and 12f) and “break” (Figs. 12h, 12a and 12b) phases of the
340 ISM, it shows a larger bias in the spatial-temporal distributions of the rainfall anomalies during the different phases
341 of the MISO than WRF-gray. For example, the rainfall anomalies in Phase 1 and 2 (Figs. 12a and 12b) are shifted
342 northward, consistent with a faster development of the MISO cycle in the coarse resolution model. The
343 northwest-southeast enhanced rainfall line shown in TRMM observations and WRF-gray is not clear in WRF-27km.
344 This could be possibly due to deficiencies in how WRF-27km capture stratiform rainfall, which would create a bias
345 toward more patchy, deep convective events. The increase of rainfall over Himalaya foothills from Phase 8 to Phase
346 1 has not been well simulated in WRF-27km. Generally speaking, WRF-gray better simulates the MISO than
347 WRF-27km, both in the aspects of intensity and the spatial-temporal evolution.

348 Besides the phase composite, the evolution of 10-day averaged daily surface rainfall anomalies in WRF-gray and
349 TRMM observations are also compared with each other to further assess the credibility of WRF-gray in simulating
350 the intraseasonal variability of the ISMs. The evolution of rainfall anomalies from 1 July to 10 August, 2009 in
351 TRMM observations and WRF-gray are shown in Fig. 13. During this period, the monsoon rainfall over the Indian
352 subcontinent turns from a strong “active” phase to a strong “break” phase (Fig. 5c). The rainfall is enhanced over the
353 west coast of the Indian subcontinent, central India and the Bay of Bengal in the first ten days of July (Fig. 13a),
354 which is similar to the combined features of Phases 4 and 5 (Figs. 10d and 10e). The enhanced rainfall anomalies
355 form into a northwest-southeast line in mid-July (Fig. 13b), which corresponds to Phases 6. In the end of July,
356 rainfall over most areas of the Indian subcontinent is suppressed while the rainfall anomalies over northwest India
357 and west coast of Myanmar are still positive (Fig. 13c). In early August, rainfall anomalies over the entire Indian
358 subcontinent turn to negative while rainfall over Himalaya foothills is enhanced (Fig. 13d), which is similar to the
359 combined features of Phases 8 and 1 (Figs. 10h and 10a). Though small biases can be found in the simulated rainfall
360 intensity and location, the 10-day evolutions of daily rainfall anomalies in WRF-gray verify well against the TRMM

361 observations (Figs. 13e-h), which again proves that the cloud-permitting RCM at gray zone resolution is credible in
362 simulating the MISO.

363

364 **4.3 Phase composites of atmospheric circulation**

365 During the different phases of the MISO, the large-scale flows and atmospheric conditions also exhibit different
366 behaviors (Raju et al., 2015; Goswami et al., 2003; Mukhopadhyay et al., 2010). Fig. 14 shows the phase composites
367 of the 850-hPa wind and precipitable water anomalies obtained from ERA-Interim. Consistent with the phase
368 evolution of the enhanced daily rainfall anomalies (Fig. 10), the precipitable water anomalies also show an apparent
369 northeastward propagation from Phase 1 (Fig. 14a) to Phase 8 (Fig. 14h), which corresponds to the anticlockwise
370 rotation in the 2D phase space of the MISO indices (Fig. 9). The major features of the MISO active phase (Figs. 14f)
371 are the formation of low pressure anomalies over northwest and central India which is associated with the southward
372 shifting of monsoon trough (Raju et al., 2015). As a result, the strong westerly wind over the Arabian Sea and the
373 Bay of Bengal also enhanced dramatically during the active phase of the MISO, transporting more water vapor from
374 the oceans to the inland regions and leads to enhanced precipitable water anomalies over the land. The strength of
375 the Somali Jet is also enhanced during the MISO active phase (Fig. 14f). During the break phase of the MISO, on
376 the other hand, high pressure anomalies can be found over northwest and central India, which is associated with the
377 northward shifting of the monsoon trough. The westerly wind over the Arabian Sea and the Somali Jet are weakened
378 during the break phase (Figs. 14a), which leads to the negative precipitable water and surface rainfall anomalies over
379 the Indian subcontinent. Fig. 15 shows the phase composites of 850-hPa wind anomalies and precipitable water
380 anomalies obtained from WRF-gray. We can find that WRF-gray well produces the large-scale features and
381 precipitable water anomalies in different phases of the MISO (Fig. 15), which shows that the cloud-permitting RCM
382 at gray zone resolution can also well capture the large-scale circulation patterns of the MISO. We should notice that,
383 as the rainfall anomalies shown in Fig. 11, the amplitudes of low-level wind and precipitable water anomalies in
384 WRF-gray (Fig. 15) are larger than that in ERA-Interim (Fig. 14), which implies that the simulated MISO in
385 WRF-gray is stronger than observations.

386

387 **4.4 Sensitivity to initial dates**

388 While WRF-gray captures many aspects of the ISM and MISO qualitatively, quantitative model biases are still
389 apparent. These biases may induced by various reasons such as the choices of surface scheme, the model domain
390 size and the initial conditions which the dynamical systems are highly sensitive to. The sensitivity of the WRF-gray
391 simulation to initial dates is further investigated in this section. Fig. 16 shows the temporal evolutions of the Somali
392 Jet Strength (Fig. 16a), precipitation water (Fig. 16b) and precipitation (Fig. 16c) averaged over the Indian
393 subcontinent in the WRF simulations at gray zone resolution started from three different days (WRF0420: blue lines,
394 started from 0000 UTC 20 April; WRF0419: red lines, started from 0000 UTC 19 April; WRF0421: green lines,
395 started from 0000 UTC 21 April) in 2007. Though all three WRF simulations are forced by the same lateral
396 boundary conditions and the initial times are also close to each other, we can still find apparent differences of the
397 simulated monsoon atmospheric circulation (Fig. 16a), humidity (Fig. 16b) and precipitation (Fig. 16c) in three

398 experiments. In particular, in May, there exist apparent rainfall biases in WRF0419. However the onset of the ISM is
399 better captured by WRF0419 than WRF0420 and WRF0421. The overprediction of monsoon rainfall from 15
400 September to 01 October in WRF0420 is considerably reduced in WRF0419 and WRF0421. Results show that the
401 ISM and MISO simulations in RCM at gray zone resolution are sensitive to the initial conditions.
402

403 **5. Summary and discussion**

404 Simulations of the ISM by cloud-permitting WRF model at gray resolution (9 km) are evaluated in this study,
405 with a particular emphasis on the credibility of the MISO simulation. The model is forced by the ERA-Interim
406 reanalysis for every year from 20 April to 30 October during 2007-2011. The model domain covers the entire Indian
407 monsoon region which allows the systematic evolution of the ISM internal dynamics. Compared with the RCM at a
408 coarser resolution and using the cumulus parameterization scheme (WRF-27km), the systematic biases of monsoon
409 rainfall climatology in the cloud-permitting RCM at gray zone resolution (WRF-gray) are reduced considerably. The
410 interannual variability of the accumulated monsoon rainfall over the Indian subcontinent is also better captured in
411 WRF-gray.

412 Results from WRF-gray are compared quantitatively with the reanalysis and long-term TRMM observations. In
413 general, WRF-gray could reproduce the fundamental features of ISM reasonably well. The Tibetan high-pressure
414 and easterly winds at 200 hPa in WRF-gray are slightly stronger than that in ERA-Interim. The low-level
415 southwesterly winds over the Bay of Bengal in WRF-gray are also stronger when compared to that in the reanalysis,
416 which leads to an overestimation of precipitable water and surface rainfall over the west coast of Myanmar and
417 Himalaya foothills in WRF-gray. The temporal evolutions of the Somali jet and surface rainfall averaged over the
418 Indian subcontinent are also well simulated in WRF-gray. The model captures most onsets, breaks and withdrawals
419 of the ISMs, while the ISM onset in 2007 is later in WRF-gray than that in TRMM observation. Spatial distributions
420 of monthly mean precipitation from TRMM and WRF-gray are further compared in the current study. Results show
421 that WRF-gray could reproduce the spatial patterns of the monthly rainfall in each year and well capture the
422 monsoon rainfall centers over West Ghats, central India, Himalaya foothills and the west coast of Myanmar.
423 However, biases of rainfall intensity and position can still be found in WRF-gray.

424 Because the MISO has fundamental influences on the simulation and prediction of the ISM, the skill of
425 WRF-gray in simulating the MISO is quantitatively assessed in this study. The NLSA MISO indices developed by
426 Sabeerali et al. (2017) are applied in this study to construct the MISO phase composites of surface rainfall and
427 atmospheric circulations from WRF-gray and observations. The enhanced rainfall anomalies show a clear
428 northeastward propagation from the MISO Phases 1 to 8. WRF-gray well captures the northeastward propagation
429 and also simulates the spatial distribution of rainfall anomalies during different phases of the MISO. The low-level
430 westerly wind over the Arabian Sea and the Somali jet are strengthened (weakened) during the active (break) phase
431 of the MISO, which induces higher (lower) precipitable water and stronger (weaker) precipitation over the Indian
432 subcontinent. These features can also be well reproduced in WRF-gray, though the amplitude of rainfall,
433 precipitable water and wind anomalies in WRF-gray are larger than that in observations. When compared with

434 WRF-27km, the systematic biases in simulating the MISO have been reduced considerably in WRF-gray, which
435 shows that the cloud-permitting RCM is able to improve the simulations of the MISO associated with the ISM.

436 While WRF-gray captures many aspects of the ISM and MISO qualitatively, quantitative model biases are still
437 apparent. The ISM simulation at gray zone resolution is also sensitive to its initial conditions. More comprehensive
438 investigation on the predictability of the ISO and MISO in RCM at gray zone resolution is deserved future studies.

439
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Figures

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Figure 1. Model domain used in the WRF simulations with topography (gray scales) and coastlines (red lines). The black box shows the climatic zone used for the calculation of KELLF index and the blue polygon shows the Indian subcontinent.

Figure 2. Averaged daily rainfall over the Indian subcontinent for JJAS in different years from TRMM observation (blue bars), WRF-gray (green bars) and WRF-27km (yellow bars).

Figure 3. 5-yr mean monsoon (JJAS) winds (vectors) and geopotential heights (red contours) at 200-hPa from (a) ERA-Interim and (b) WRF-gray; winds (vectors) and precipitable water (color shadings) at 850-hPa from (c) ERA-Interim and (d) WRF-gray; daily surface precipitation (color shadings) from (e) TRMM and (f) WRF-gray. Topography is shown by the black contours starts at 500m with a 1000-m interval.

Figure 4. Temporal evolution of KELLF indices in (a) 2007; (b) 2008; (c) 2009; (d) 2010 and (e) 2011 from ERA-Interim (black lines), WRF-gray (blue lines) and WRF-27km (red lines). A 5-day moving average is applied to the time series.

Figure 5. Temporal evolution of daily surface rainfall averaged over the Indian subcontinent in (a) 2007; (b) 2008; (c) 2009; (d) 2010 and (e) 2011 from TRMM (black lines), WRF-gray (blue lines) and WRF-27km (red lines). A 5-day moving average is applied to the time series. The seasonal mean (June to September) of daily surface rainfall amounts averaged over the Indian subcontinent from TRMM (black), WRF-gray (blue) and WRF-27km (red) are also shown in the figure.

Figure 6. Spatial distributions of averaged daily surface precipitation from May to October in year 2007 derived from (a-f) TRMM and (g-l) WRF-gray.

Figure 7. Spatial distributions of averaged daily surface precipitation from May to October in year 2009 derived from (a-f) TRMM and (g-l) WRF-gray.

Figure 8. Spatial distributions of averaged daily surface precipitation from May to October in year 2011 derived from (a-f) TRMM and (g-l) WRF-gray.

Figure 9. 2D phase space diagrams for the NLSA MISO indices in years: (a) 2007, (b) 2008, (c) 2009, (d) 2010 and (e) 2011. An anticlockwise propagation from the phase 1 represents MISO's northward propagation. The circle centered at the origin has radius equal to 1.5, which is the threshold for identification of significant MISO events.

Figure 10. Phase composites of daily surface rainfall anomalies obtained from TRMM (Figure a-h: phase 1 to 8).

651 Figure 11. Phase composites of daily surface rainfall anomalies obtained from WRF-gray (Figure a-h: phase 1 to
652 8).

653 Figure 12. Phase composites of daily surface rainfall anomalies obtained from WRF-27km (Figure a-h: phase 1 to
654 8).

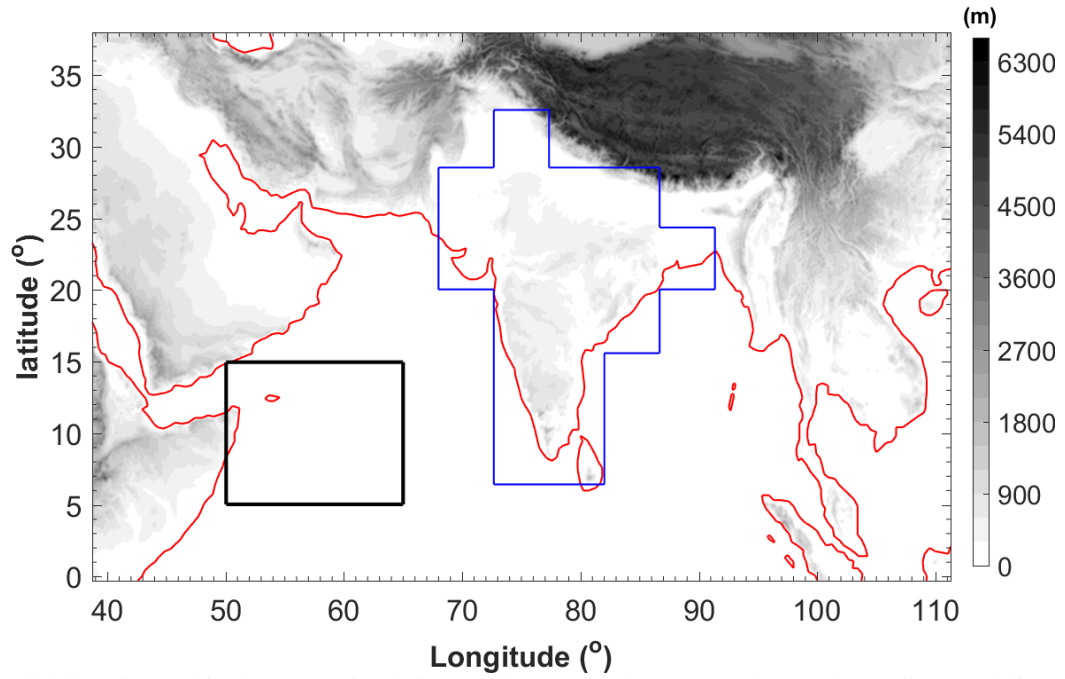
655 Figure 13. Spatial distributions of 10-day averaged daily surface rainfall anomalies in (a, e) 1-10 July, (d, f) 11-20
656 July, (c, g) 21-31 July and (d, h) 01-10 August, 2009 derived from TRMM (left panels) and WRF-gray (right
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658 Figure 14. Phase composites of 850-hPa wind and precipitable water anomalies obtained from ERA-Interim
659 (Figure a-h: phase 1 to 8).

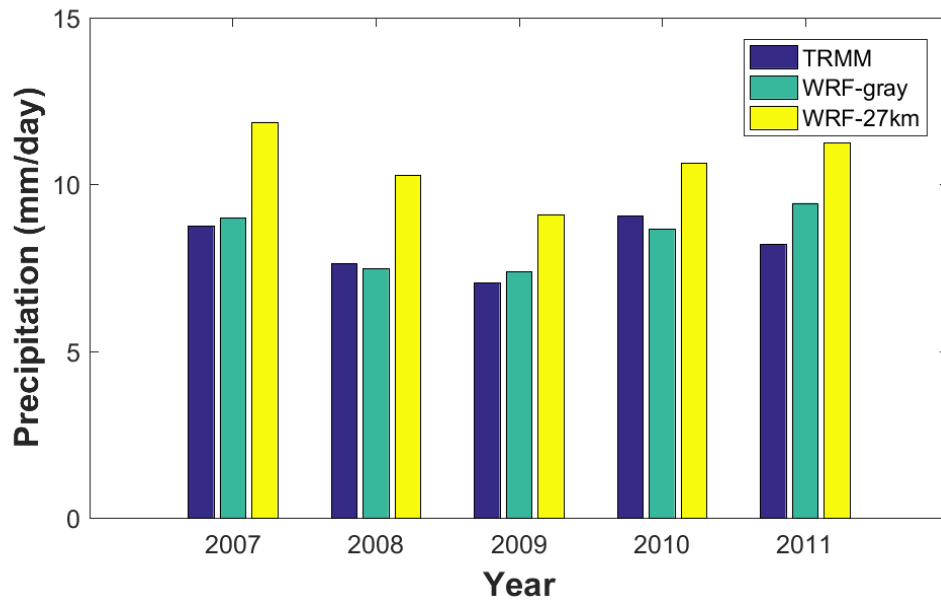
660 Figure 15. Phase composites of 850-hPa wind and precipitable water anomalies obtained from WRF-gray (Figure
661 a-h: phase 1 to 8).

662 Figure 16. Temporal evolutions of (a) KELLF indices, (b) precipitable water averaged over the Indian
663 subcontinent and (c) daily surface precipitation averaged over the Indian subcontinent in year 2007 from
664 ERA-Interim/TRMM (black lines), WRF-gray simulation starts from April 20 (blue lines, control run), WRF-gray
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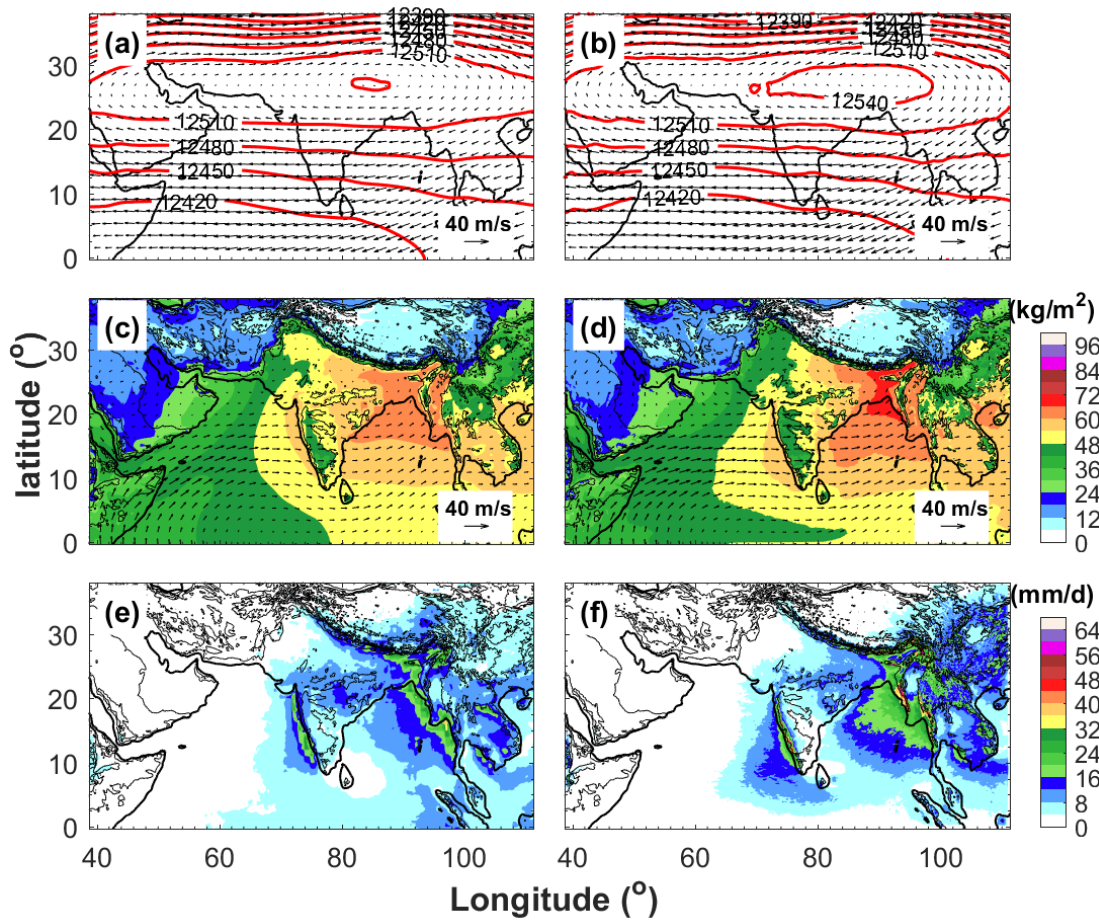
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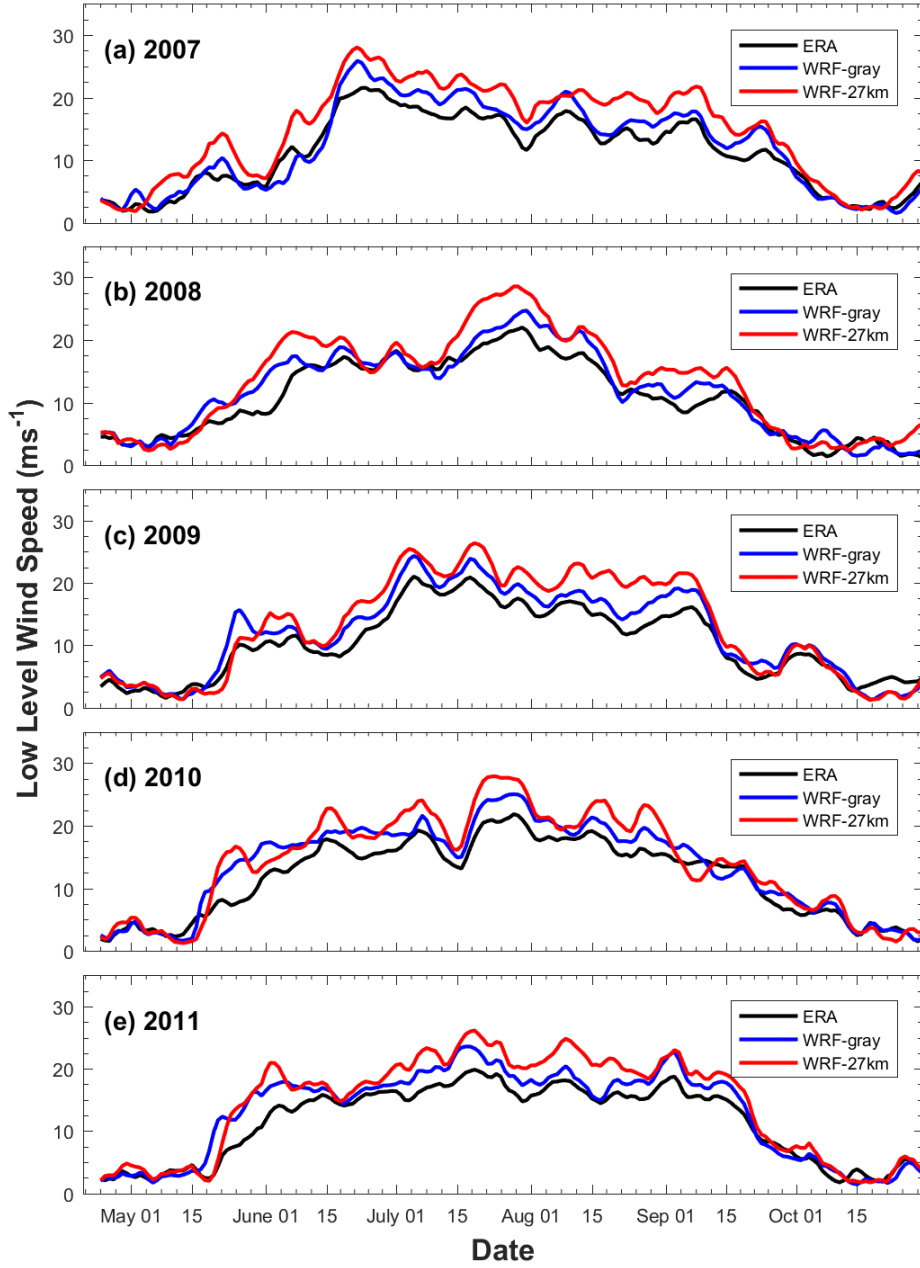
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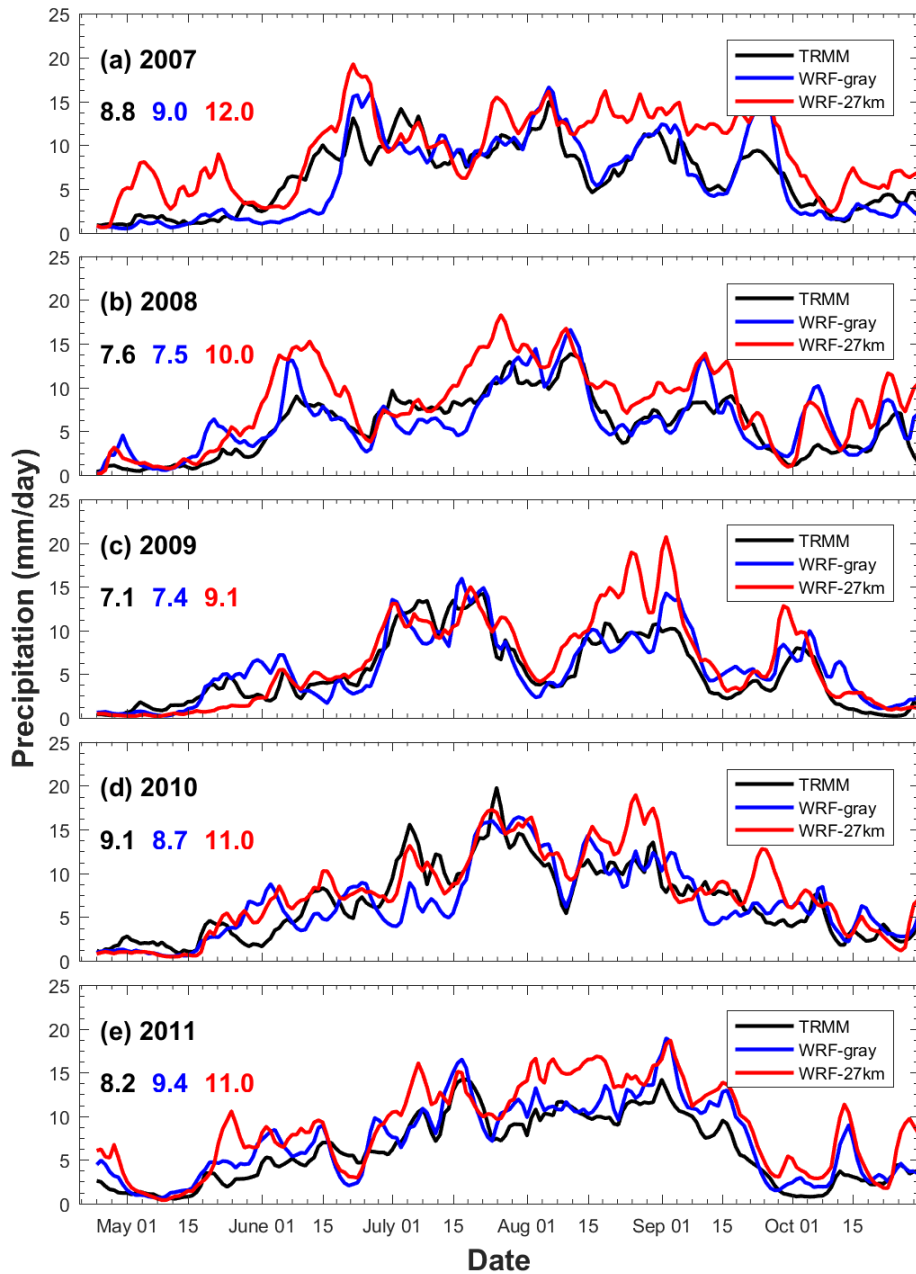
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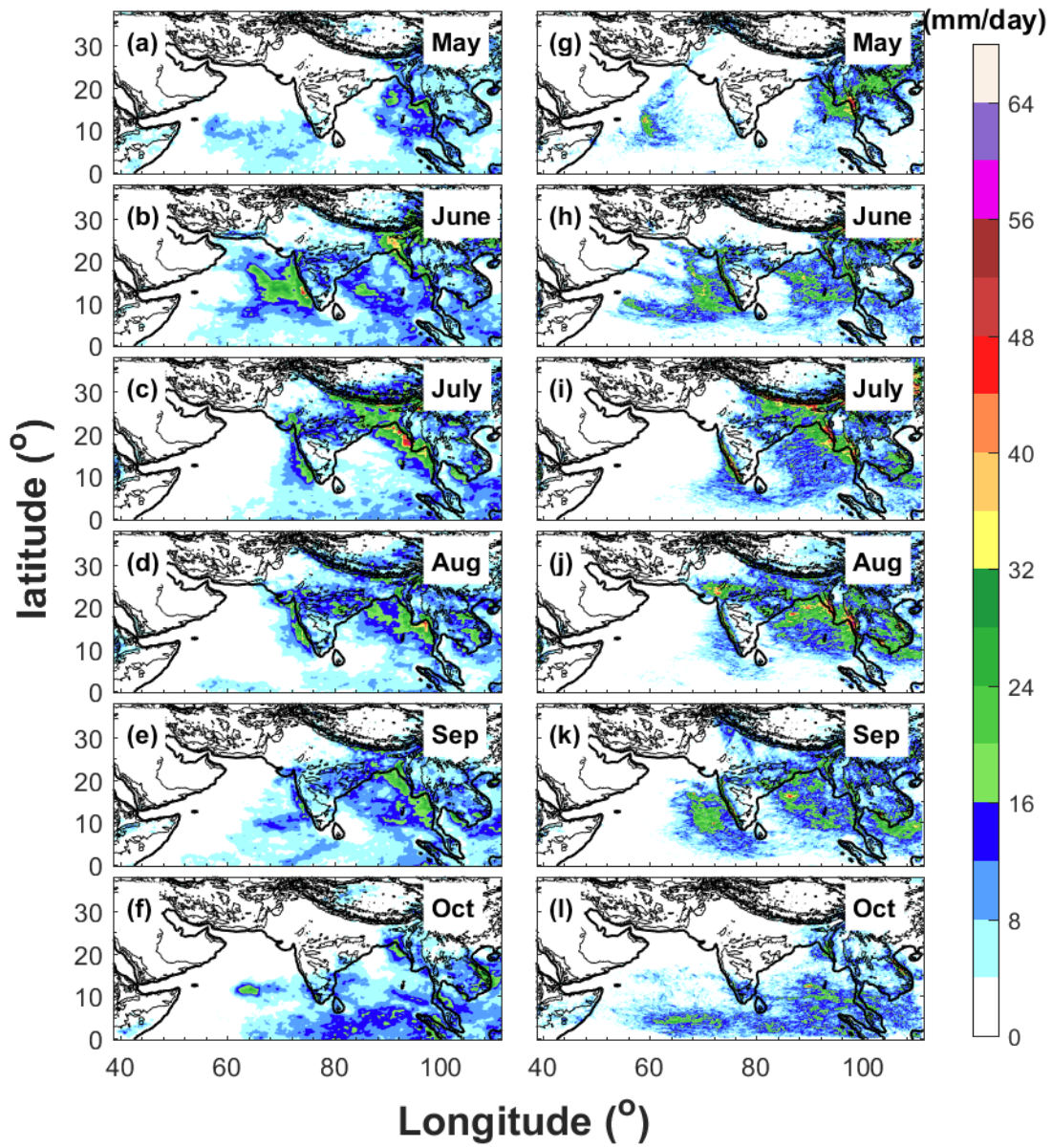
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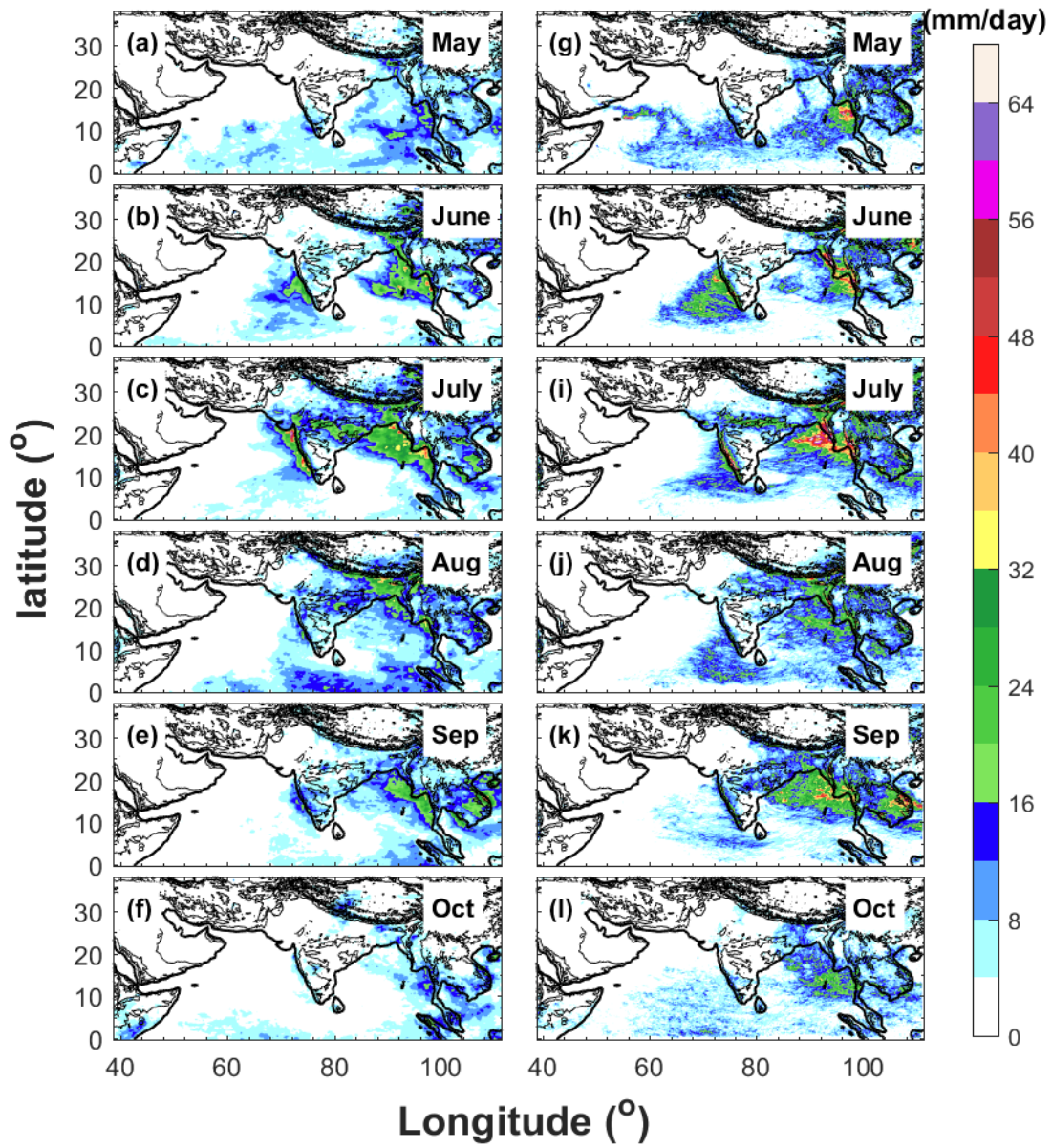
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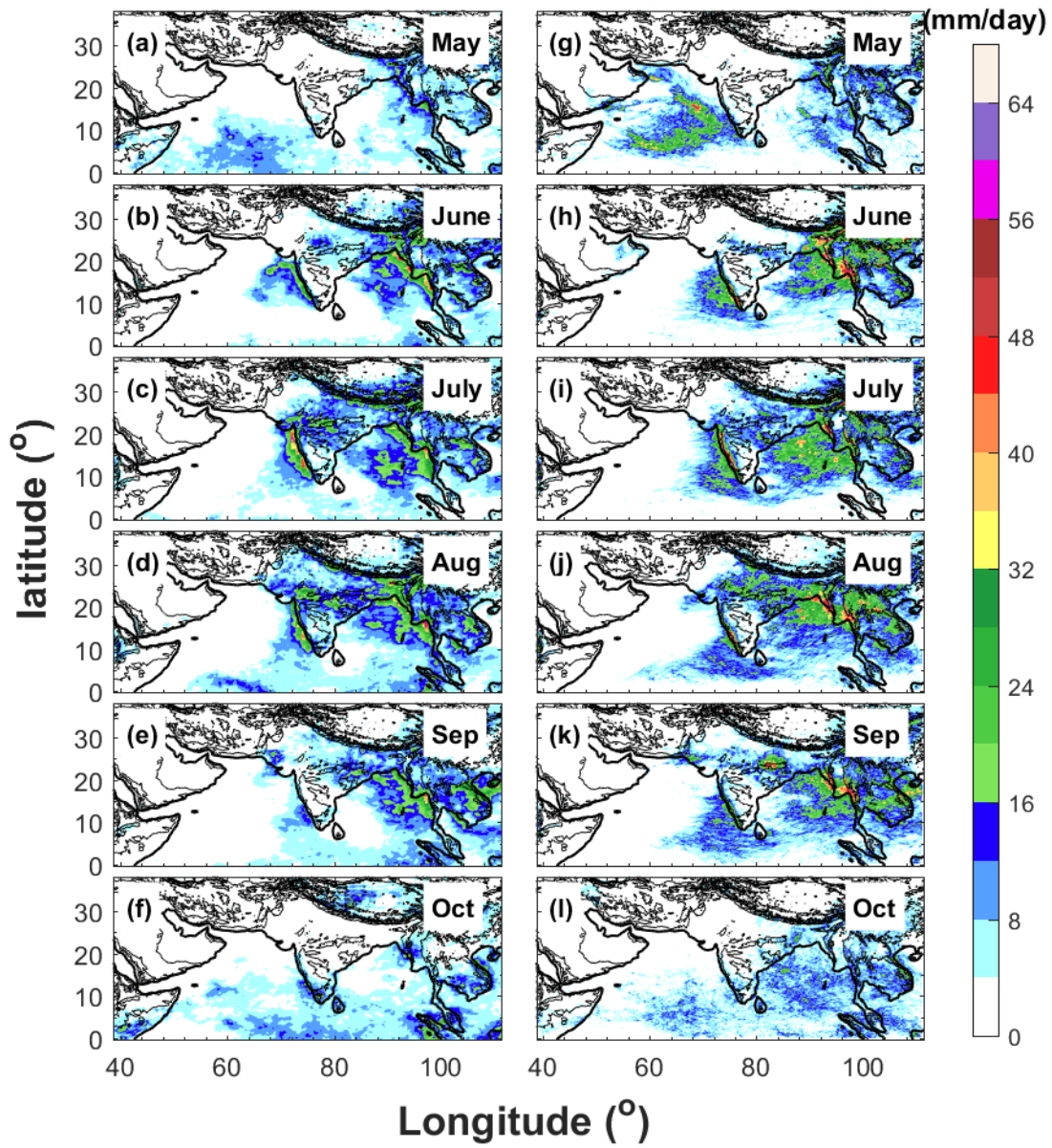
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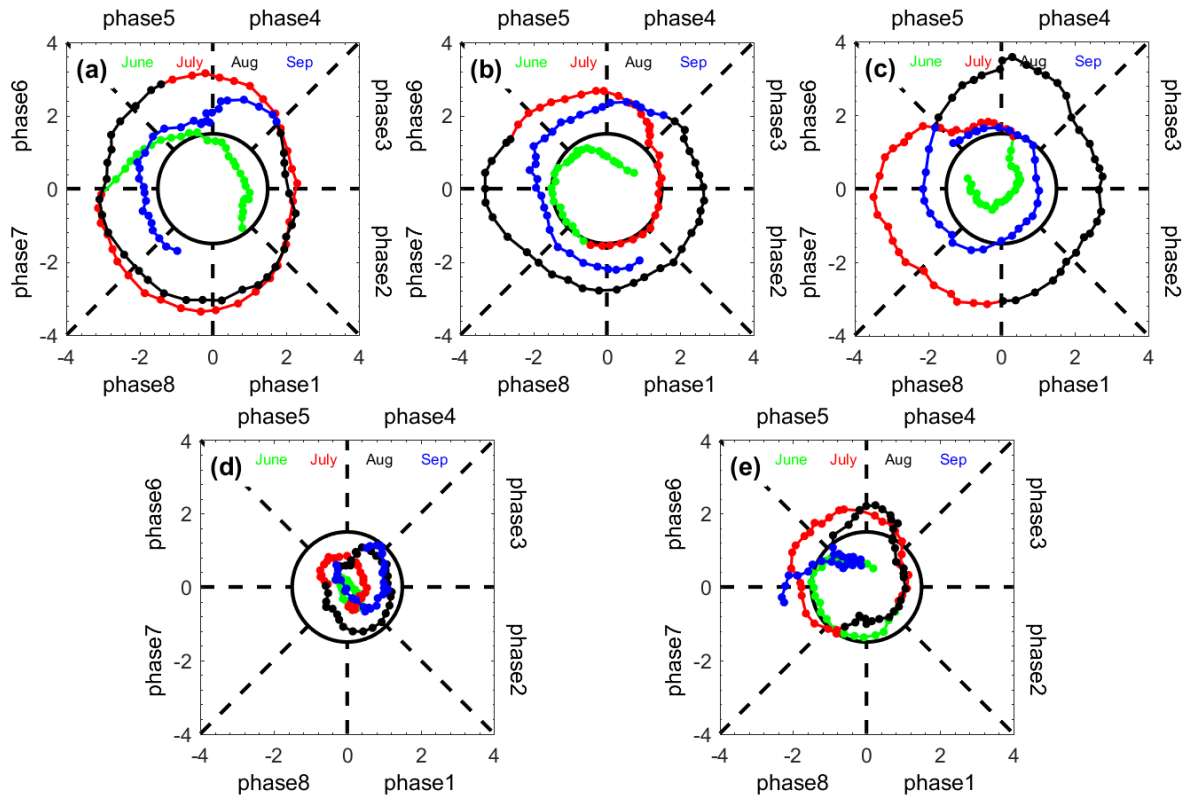
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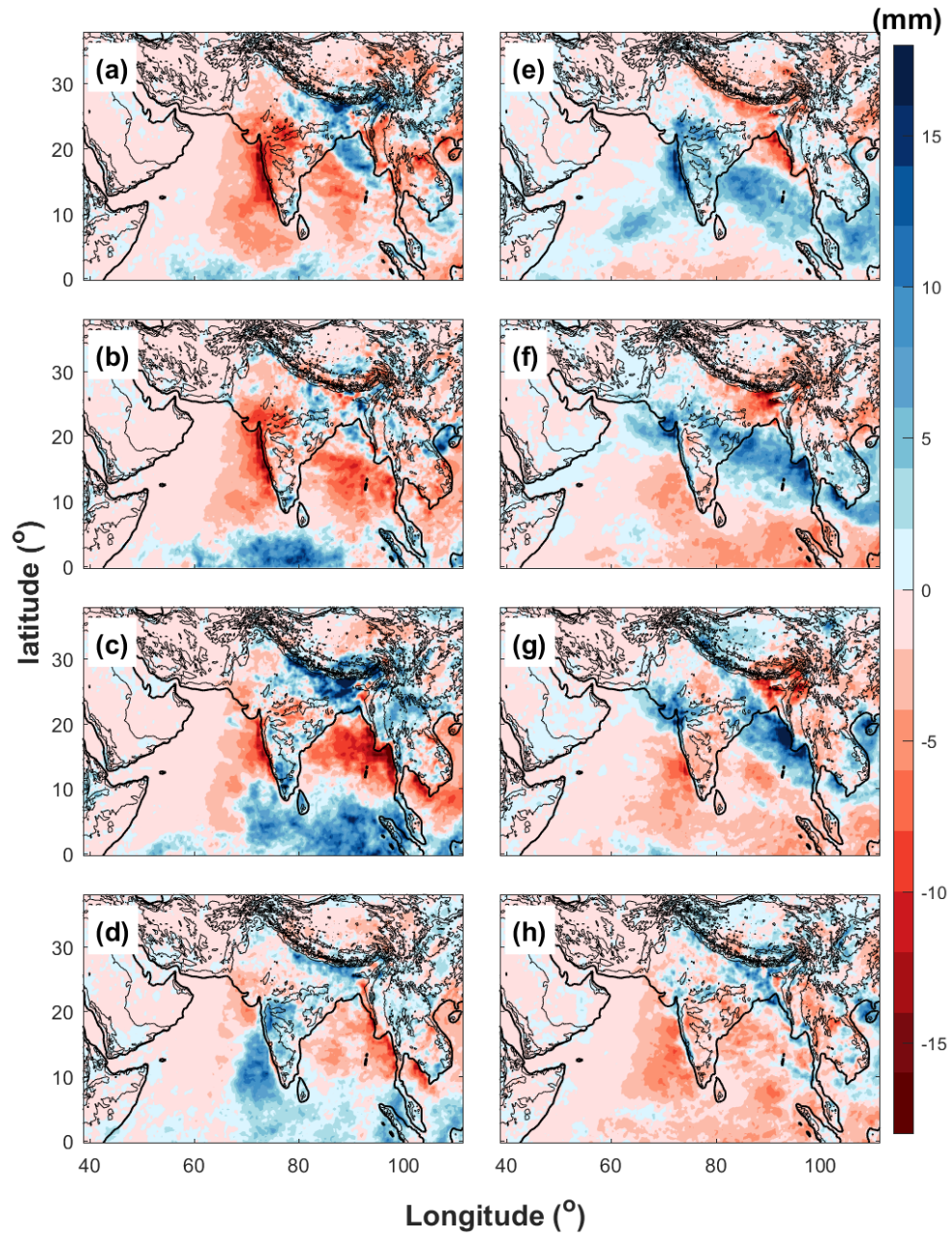
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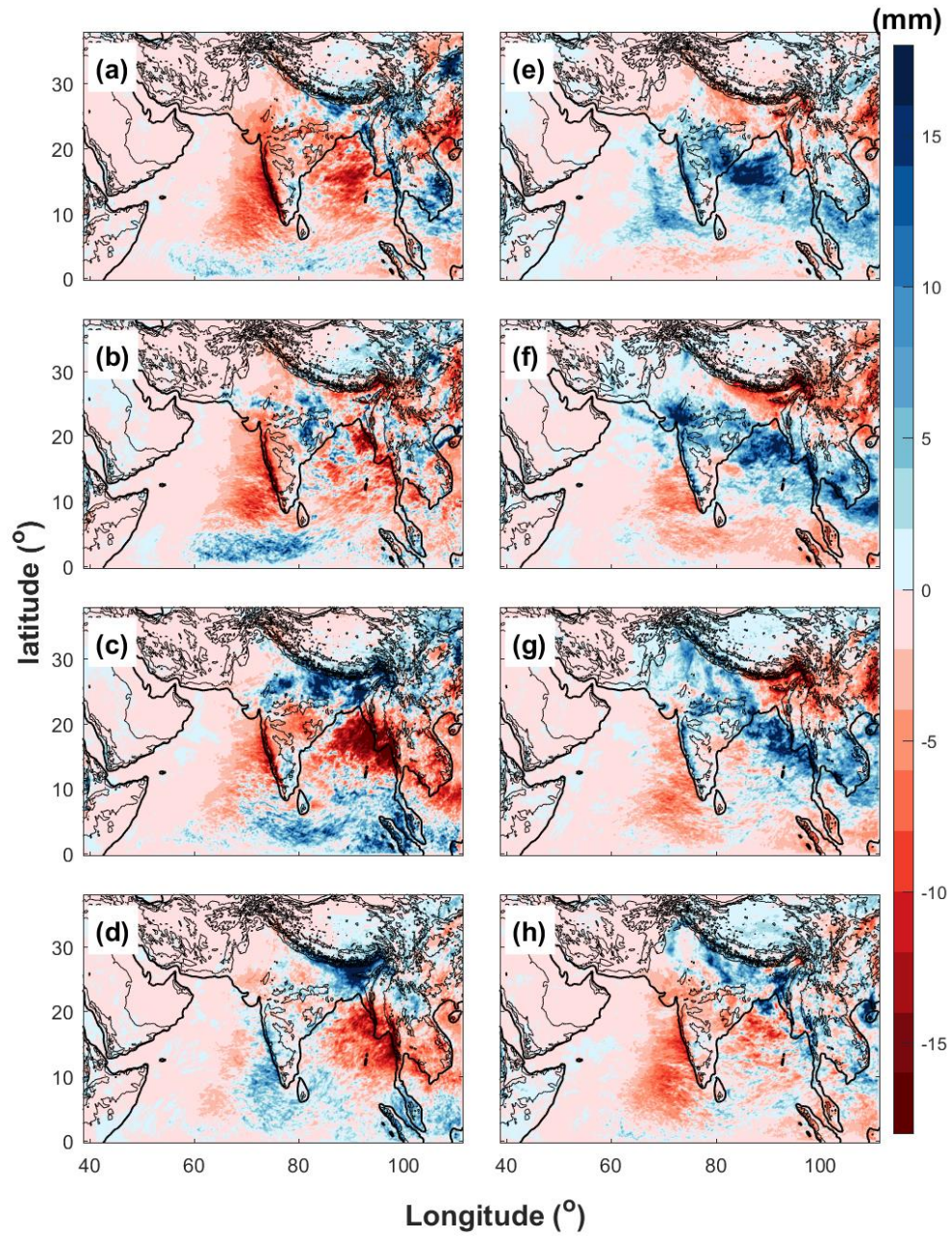


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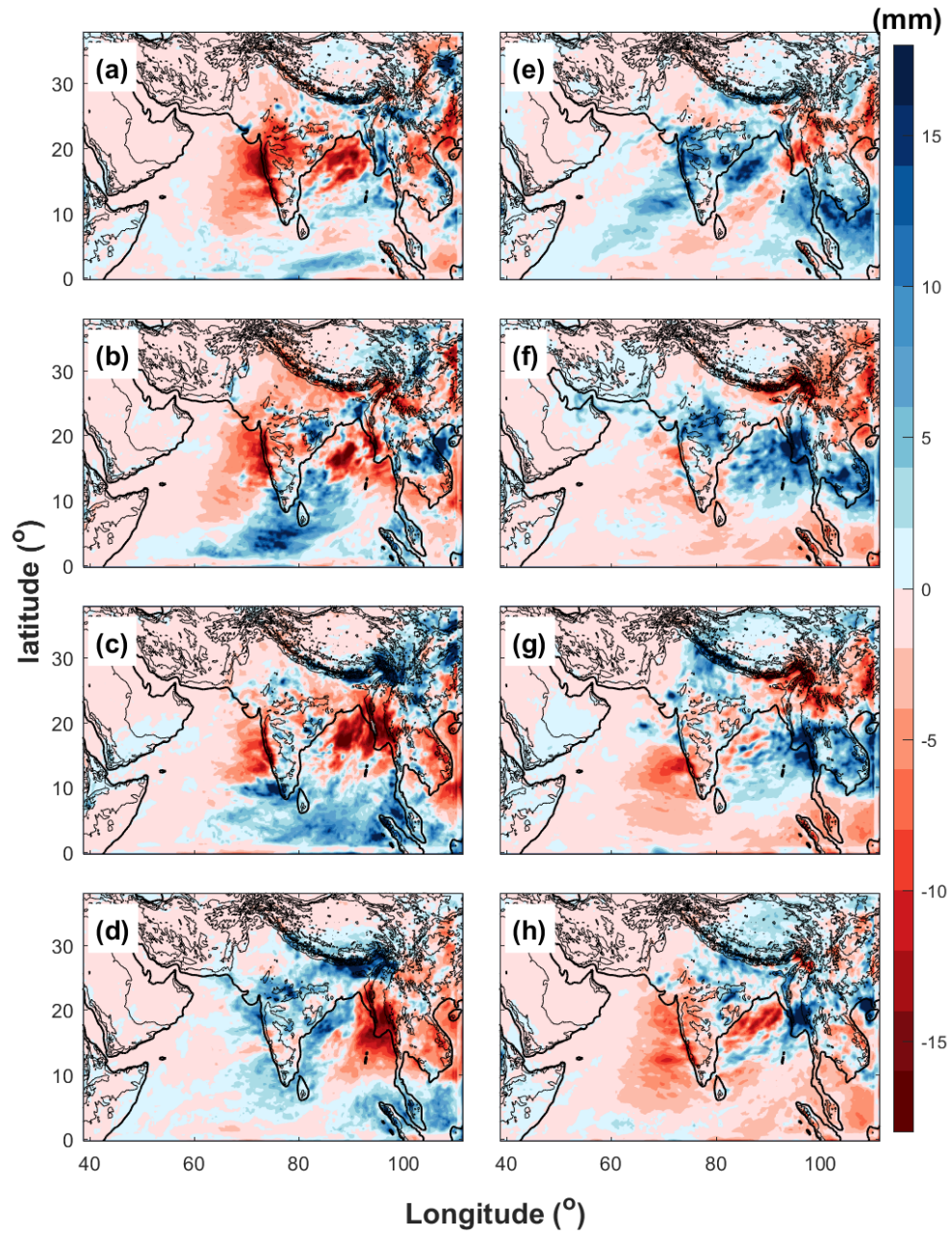
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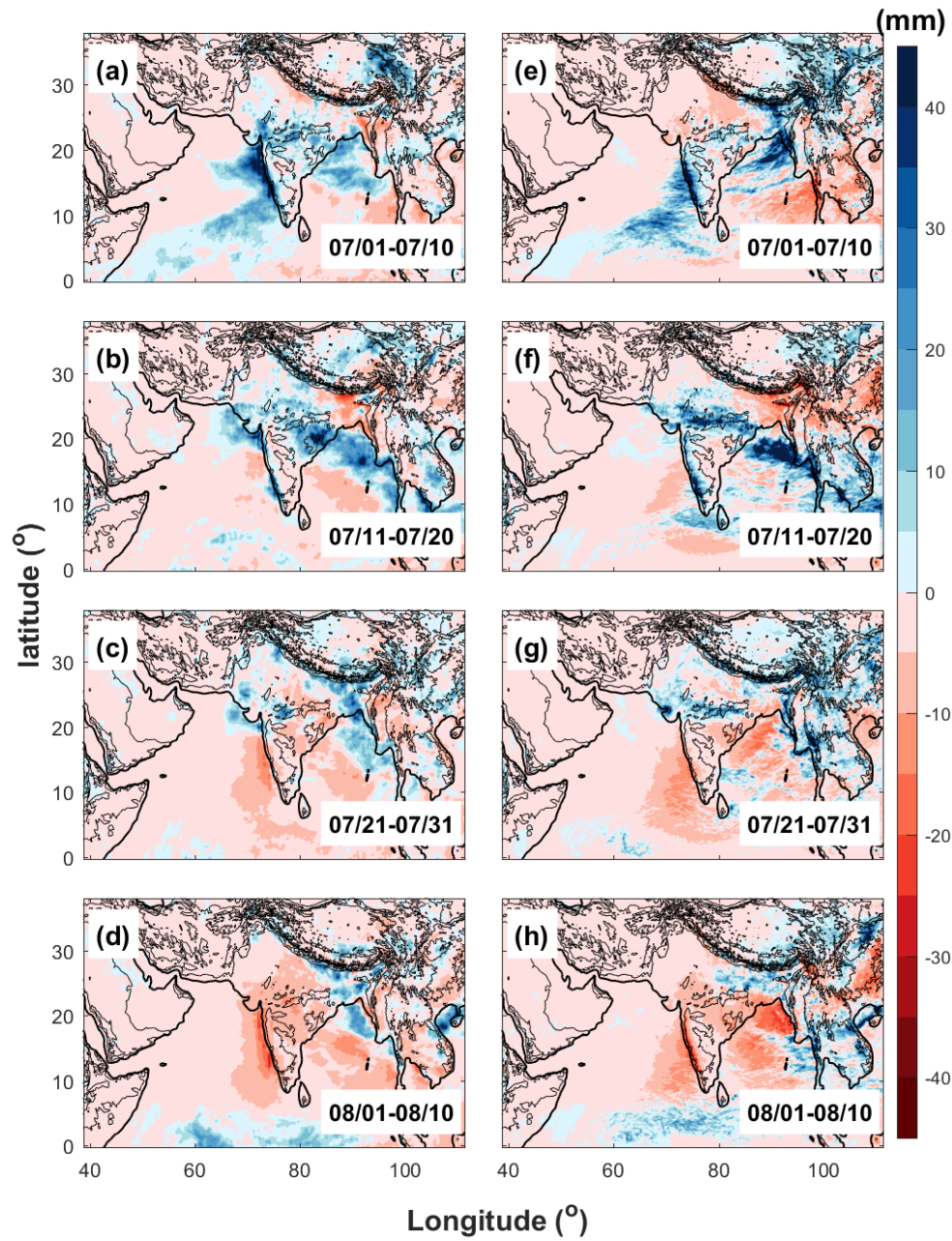
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Figure 11. Phase composites of daily surface rainfall anomalies obtained from WRF-gray (Figure a-h: phase 1 to 8).



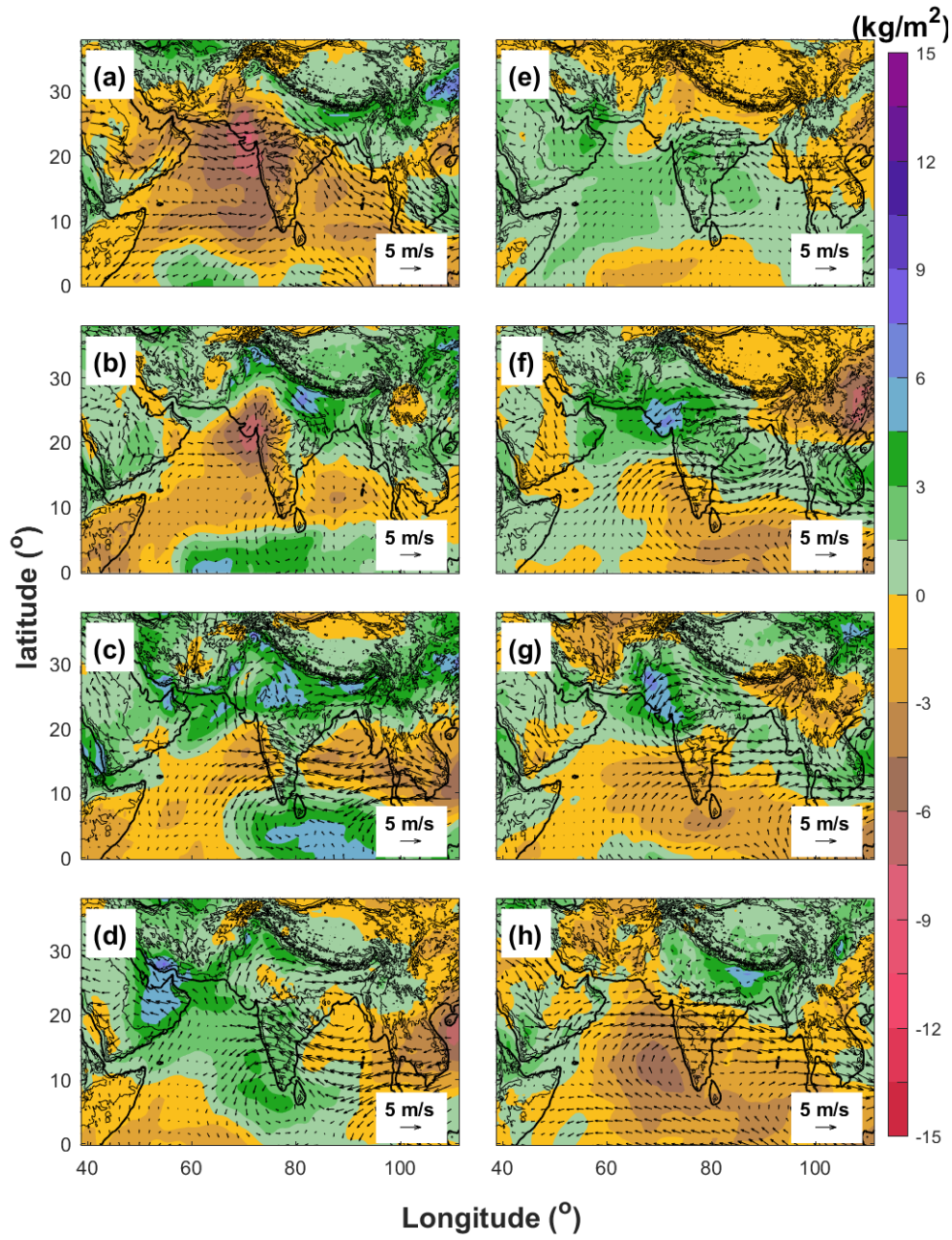
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Figure 12. Phase composites of daily surface rainfall anomalies obtained from WRF-27km (Figure a-h: phase 1 to 8).



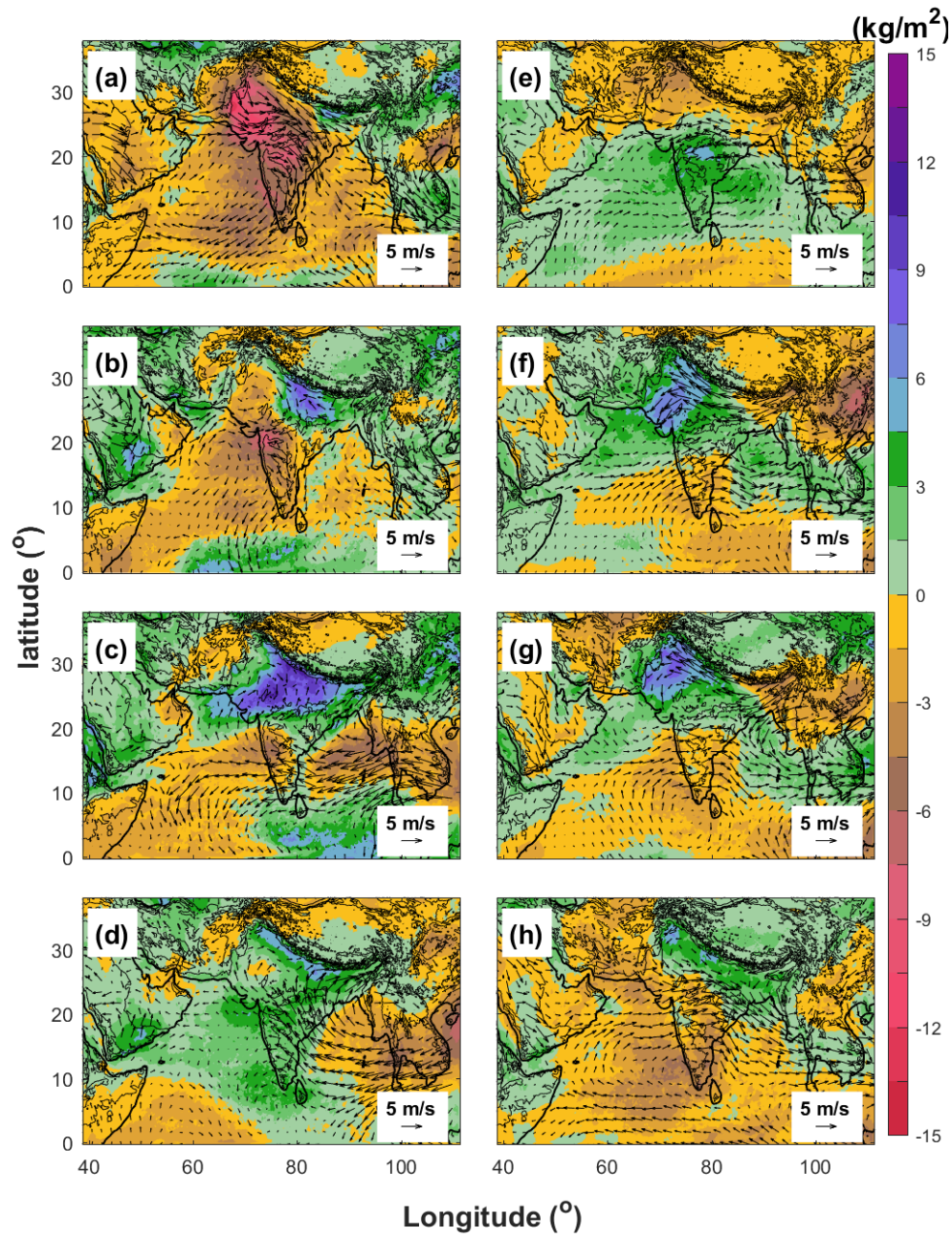
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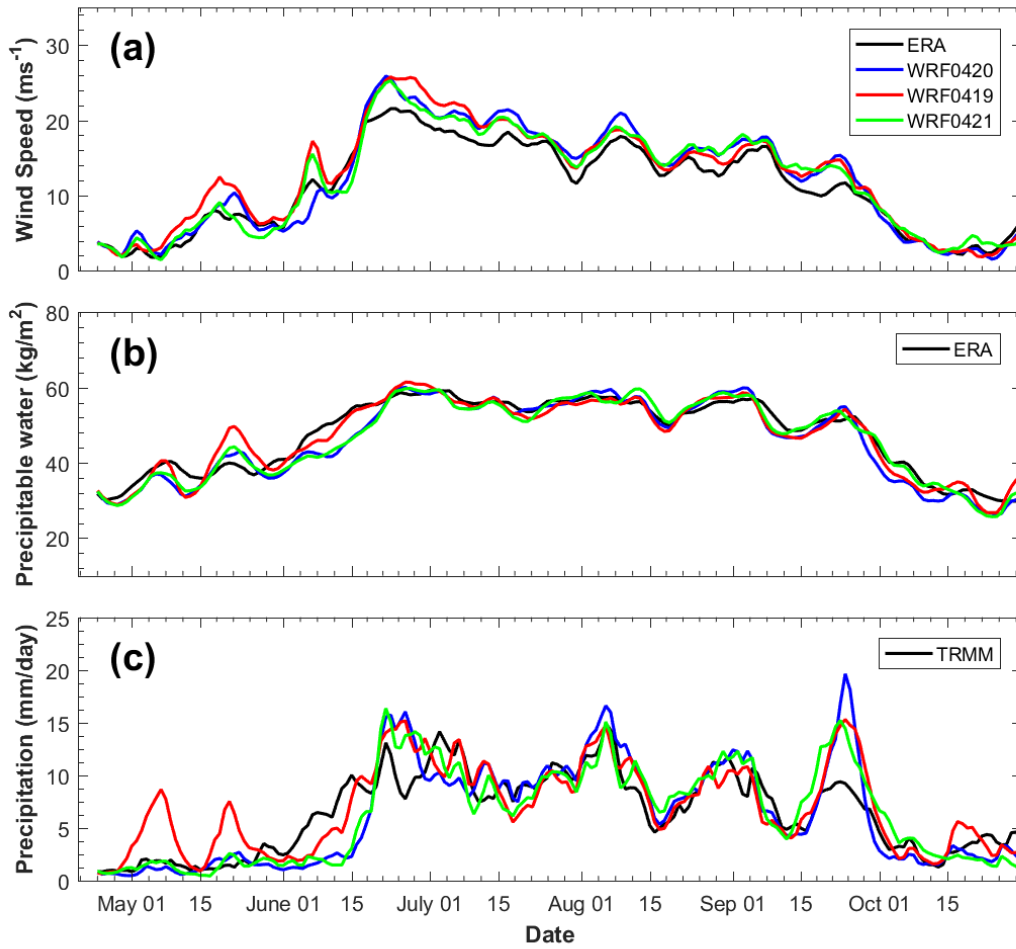


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Figure 14. Phase composites of 850-hPa wind and precipitable water anomalies obtained from ERA-Interim (Figure a-h: phase 1 to 8).



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