

1 **Response to Reviewer #1**

3 **Comments:**

4 1. More discussion should be given to the role of the boundary conditions (here from
5 ERA-Interim). These boundary conditions constrain the planetary scale flow to be close to
6 analyses. Can the authors speculate how well a global model of this resolution would in
7 simulating the Indian Monsoon, even in the mean?

8 **Thank you for your suggestion. The ERA-Interim reanalysis used as the boundary conditions**
9 **in this manuscript is one of the latest global atmospheric reanalysis produced by the European**
10 **Center for Medium-Range Weather Forecast (ECMWF). The reanalysis is produced with a**
11 **sequential data assimilation scheme, advancing forward in time using 12-hourly analysis cycles.**
12 **The zonal and meridional winds in the reanalysis are directly assimilated from observational**
13 **data and extrapolate from locally observed parameters to unobserved parameters through**
14 **4D-Var scheme. Though the planetary scale flow in the reanalysis is not exactly the observation,**
15 **it is verified well with the radiosondes and aircraft observations (Dee et al. 2011). So, the**
16 **reanalysis dataset is the best estimate of spatially complete monsoon large scale flow we have**
17 **and also be regarded as equivalent to observations by many users (Lin et al. 2014). Kishore et al.**
18 **(2016) compared the Indian summer monsoon precipitation simulation in four different**
19 **reanalysis datasets with the observations. The results show that the ERA-Interim has the**
20 **highest skill to reproduce the Indian summer monsoon rainfall though obvious biases can still**
21 **be found. Similar conclusions can also be found in Lin et al. (2014).**

22 **We have added the related discussion on Lines 174-180: The ERA-Interim reanalysis is**
23 **produced with a sequential data assimilation scheme, advancing forward in time using**
24 **12-hourly analysis cycles. The zonal and meridional winds in the reanalysis are directly**
25 **assimilated from observational data, thus the large scale monsoon circulation is well captured**
26 **in the reanalysis. The Indian summer monsoon precipitation climatology in ERA-Interim has**
27 **also been compared with that in other reanalysis datasets, and the results show that**
28 **ERA-Interim has the highest skill to reproduce the Indian summer monsoon rainfall though**
29 **obvious biases can still be found (Kishore et al., 2016; Lin et al., 2014).**

31 *Dee, D. P., and Coauthors, 2011: The Era-Interim Reanalysis: Configuration and Performance of the*
32 *Data Assimilation System. Q. J. R. Meteorol. Soc., 137, 553-597.*

33 *Kishore, P., S. Jyothi, G. Basha, S. V. B. Rao, M. Rajeevan, I. Velicogna, and T. C. Sutterley, 2016:*
34 *Precipitation Climatology over India: Validation with Observations and Reanalysis Datasets and Spatial*
35 *Trends. Climate Dynam., 46, 541-556.*

36 *Lin, R., T. Zhou, and Y. Qian, 2014: Evaluation of Global Monsoon Precipitation Changes Based on Five*
37 *Reanalysis Datasets. J. Climate, 27, 1271-1289.*

38
39 2. Related to (1), on lines 80-81 the authors state that: "Results show that GCMs are able to
40 capture the fundamental features of the monsoon circulation reasonably well and also show

41 some skills in reproducing the seasonal-averaged distributions of the monsoonal rainfall...” This
42 may not be a valid generalization – this reviewer has the impression that some very high
43 resolutions global GCMs still have significant errors in the simulation of the mean Indian
44 monsoon rainfall.

45 **We agree with the reviewer that the monsoon precipitation simulation is still a rigorous test**
46 **for most GCMs, even for the seasonal rainfall climatology. While, some studies show that the**
47 **spatial distribution of monsoon can be captured reasonably by some GCMs. We have improved**
48 **the related statement on lines 78-80 to “Results show that GCMs are able to capture the**
49 **fundamental features of the monsoon circulation reasonably well. However, the monsoon**
50 **precipitation is still a rigorous test for most GCMs (e.g., Bhaskaran et al., 1995; Lau and Ploshay,**
51 **2009; Chen et al., 2011).”**

52

53 3. Greater physical explanation of the MISO indices, based on “nonlinear Laplacian spectral
54 analysis technique” should be given. One way in which this appears to differ from the
55 multichannel singular spectral analysis (applied by, for example, Krishnamurthy and Shukla, 2007)
56 is that the latter gives two distinct periods for MISO oscillations (45 and 20 days), while the
57 method applied by the authors gives a single oscillation. Why the difference.

58 **Thank you for your suggestion. As mentioned by the reviewer, there are two dominant**
59 **intraseasonal oscillations in Krishnamurthy and Shukla (2007). The 45-day intraseasonal**
60 **oscillation reflects the intraseasonal variation of large-scale monsoonal flows, like the**
61 **movement of the monsoon trough. It is closely related to the Boreal Summer Intraseasonal**
62 **Oscillation and generally known as the Monsoon Intraseasonal Oscillation (MISO, Suhas et al.,**
63 **2013; Ajayamohan and Goswami, 2003). MISO is a northeastward propagating mode. It is still a**
64 **big challenge for most current global model to well simulate this northeastward propagating**
65 **mode (Ajayamohan et al., 2014). However, the 20-day intraseasonal oscillation is a westward**
66 **propagating mode, which represents the propagation and life cycle of monsoon lows and**
67 **depressions embedded in the monsoon trough. The MISO indices we used in the manuscript**
68 **based on the developed nonlinear Laplacian spectral analysis (Sabeerali et al. 2017)**
69 **representing the full life cycle of the northeastward propagating mode with 30-60 days**
70 **periodicity (MISO), which is similar with the 45-day oscillation in Krishnamurthy and Shukla**
71 **(2007).**

72 **Per the reviewer’s suggestion, we have improved the statement related to the MISO indices**
73 **and added more discussions on the differences between NLSA and the classical**
74 **covariance-based approaches (EEOF or MSSA) on line 348-362: “NLSA is a nonlinear data**
75 **analysis technique that combines ideas from kernel methods for harmonic analysis, delay**
76 **embedding of dynamical systems and machine learning (Belkin and Niyogi, 2003; Packard et al.,**
77 **1980; Sauer et al., 1991; Coifman and Lafon, 2006). Compared to the classical covariance-based**
78 **approaches (for example Suhas et al., 2013; Krishnamurthy and Shukla, 2007), a key advantage**
79 **of NLSA is that it is able to extract the spatiotemporal modes of variability spanning multiple**
80 **timescales without requiring bandpass filtering or seasonal partitioning of the input data.**
81 **Compared to the MISO indices based on the extend EOF (EEOF) and multichannel singular**
82 **spectral analysis (MSSA), the NLSA-based MISO indices have improved timescale separation,**
83 **higher memory and higher predictability. The MISO indices constructed by NLSA can better**
84 **resolve the temporal and spatial characteristics of the MISO. For example, the NLSA-based**

85 **MISO indices have better temporal phase coherence while maintaining the isolating ability of**
86 **MISO from broad band dataset. It can better resolve the tilted structure of MISO convection**
87 **and the associated atmospheric circulation pattern through phase composites and also explain**
88 **more fractional variance over the ocean regions (Sabeerali et al., 2017)."**

89

90 4. What is the effect of the model top at 20 hPa and suppression of vertically propagating gravity
91 waves?

92 **We have added the related statement on lines 150-153: "The high model top (~ 27 km)**
93 **allows the development of deep convection, especially over the mountainous area. While the**
94 **sponge layer above the tropopause can damp the gravity waves produced by the deep**
95 **convective activity or steep terrain and prevent the upward propagating gravity-wave energy**
96 **be bounced back to the troposphere."**

97

98 5. Figure 5 should show the seasonal mean Indian monsoon rainfall for each year, a single
99 number for each year. This is referred to often, but not shown.

100 **Thank you for the suggestion. The seasonal mean Indian monsoon rainfall in TRMM**
101 **observations, WRF-gray and WRF-27km have been shown in Figure 5 the related statement has**
102 **also been added on lines 276-280.**

103

104 6. The grammar of the article needs to be thoroughly checked and improved.

105 **Thanks. We have thoroughly checked and corrected the grammar mistake in this manuscript.**

106

107 **Response to Reviewer #2**

108 **Comments:**

109 1. The main message of this study is that the gray resolution simulations have some advantages
110 over coarse resolution simulations with cumulus parameterization. The manuscript attributes
111 most of the difference to the use of the cumulus parameterization in the 27 km simulations.
112 However, another potentially important factor is that topography is much better resolved in the 9
113 km simulations, which is potentially crucial for producing rain in the Himalaya foothills and Indian
114 subcontinent (discussed several times in the manuscript). From reading the current manuscript it
115 is unclear whether or not the 9-km simulations use the same topography as the 27 km
116 simulations, and to what extent fine topography could have contributed to improvement. The
117 revision may include some discussion on this issue.

118
119 **Thank you for your suggestion. The original input topography data of the WRF simulations is**
120 **at 30s (~0.9 km) resolution. After interpolated to the model grid, the topography is better**
121 **resolved in the 9 km simulations than in the 27 km simulations (9km versus 27km). We have**
122 **added the related discussions on lines 206-216: “An apparent moist bias of surface**
123 **precipitation can be found for all 5 years (2007 to 2011) in WRF-27km, while this systematic**
124 **bias is reduced considerably in WRF-gray. One reason of the moist bias reduction is the**
125 **topography is better resolved in WRF-gray than that in WRF-27km (the spatial resolution of**
126 **topography is 3-times higher in WRF-gray). Thus, the local convective activity is better**
127 **simulated and the total surface precipitation is less over the Himalaya foothills and West Ghats**
128 **in WRF-gray (not shown here). Besides the mountainous area, surface rainfall simulation over**
129 **the plain and oceanic regions also shows strong moist bias in WRF-27km and it is improved**
130 **dramatically in WRF-gray. It shows that the higher model resolution and better simulation of**
131 **large-scale atmospheric circulation also have considerable contributes to the improvement of**
132 **monsoon rainfall simulation in WRF-gray, which will be discussed in details in the following**
133 **sections.”**

134
135 2. The manuscript uses the MISO index constructed from the nonlinear Laplacian spectral
136 analysis technique to evaluate model simulated MISOs. I suggest compute the MISO index from
137 the model simulations (both the 27 km and 9 km simulations). This seems to be straightforward
138 using projection of model data to the MISO mode. The benefit is that this would significantly
139 simplify model evaluation and comparison between model simulations.

140 **Thank you. Per the MISO indices definition in Sabeerali et al. (2017), in order to identify the**
141 **modes northward propagating boreal summer MISO, the nonlinear Laplacian spectral analysis**
142 **technique need to be applied to the long-term continuous rainfall data (19980101-20141231),**
143 **yields a hierarchy of Laplace-Beltrami Eigen functions capturing coherent patterns of rainfall**
144 **variability, then extract the MISO purely from annual and Semiannual cycles and higher**
145 **frequency oscillations. While, for our current study, only 5 boreal summers are simulated from**

146 2007 to 2011, which cannot be used as a continuous dataset to identify the MISO indices same
147 as that in Sabeerali et al. (2017). To keep the consistency with the MISO indices definition in
148 Sabeerali et al. (2017), we decide still use the long-term rainfall observational dataset to define
149 the MISO indices in the current study.

150 Per your suggestion, we used the TRMM observations instead of GPCP data in the revision to
151 calculate the NLSA-based MISO indices to keep the consistency of the discussion. All related
152 figures are changed (Figs 09, 10, 11, 12, 14 and 15) and the related statements are also
153 improved. Though the values of the MISO indices have little changes because of the rainfall
154 intensities in GPCP and TEMM data are different, the phases and interannual variations of the
155 MISO indices derived from two datasets are almost same. The phase composites of daily
156 surface rainfall anomalies are also similar, which shows the results are robust to the choice of
157 rainfall data to produce the MISO indices.

158

159 **Specific comments:**

160 1. Lines 74-77: This discussion is out of context. Why it is more complex than the MJO? Is there a
161 reference for this?

162 We have reworded the statement to: "The MISO is influenced by a number of physical
163 processes (Goswami, 1994). Its interactions with the mean monsoon circulation and other
164 tropical oscillations make its propagating characteristics very complex (Krishnamurthy and
165 Shukla, 2007)."

166

167 2. Lines 84-85: a few GCMs can go down to 25 kilometers for TC (e.g., GFDL HiRAM)

168 Thank you for your comment. We have added the related statement on lines 84-85: "though
169 a few GCMs can go down to 25 km for tropical cyclones forecast, like GFDL HiRAM".

170

171 3. Figures 4,5: The same quantities from the WRF-27 may also be included to show that the
172 WRF-gray also improve temporal variations of circulation and rain in addition to the mean.
173 Correlation coefficient may be computed to quantify the performance of simulations.

174 Thank you for your suggestion. We have included WRF-27km in Figures 4 and 5. The related
175 statements are also added on lines 269-273: "However, the strength of Somali Jet in WRF-27km
176 is much stronger when compared to WRF-gray and ERA-Interim. It is one of the reasons why
177 there is a high moist bias of monsoon rainfall in WRF-27km. In general, the simulation at gray
178 zone resolution can better capture the large scale atmospheric circulation of Indian summer
179 monsoon than the coarser resolution simulation with cumulus scheme." and on lines 295-304:
180 "Compared to WRF-gray, the surface rainfall in WRF-27km shows a high moist bias over the
181 Indian subcontinent, which is consistent with the overprediction of the Somali Jet strength
182 shown in Fig. 4 and the analysis of seasonal mean rainfall in Fig. 2. Also, the intraseasonal
183 variation of monsoon rainfall is poorer simulated in WRF-27km when compared with that in
184 WRF-gray. For example, the "active" and "break" spells from August to September in 2007 are
185 well captured by WRF-gray while not simulated in WRF-27km. The 5-years averaged correlation
186 coefficient of precipitation between WRF-gray (WRF-27km) and TRMM observations is 0.786
187 (0.714). These results show that the simulation at gray zone resolution can better capture both
188 the mean intensity and the intraseasonal variation of Indian summer monsoon than the

189 **coarser resolution simulation using cumulus scheme.”**

190

191 4. Figure 9: Year for each panel is missing in either title or caption.

192 **Thank you. The related statement has been added in the caption of Figure 9.**

193

194 5. Section 4.2 & Figure 10: The MISO index is computed with the GPCP precipitation, but the
195 phase composite is constructed with TRMM. There seems to be an inconsistency. Shouldn't the
196 same rainfall observation data be used consistently?

197 **We have calculated the new MISO indices using TRMM observations. Figures 09, 10, 11, 12,**
198 **14 and 15 are changed based on the new MISO indices and related statements in sections 4.1**
199 **and 4.2 are also improved.**

200

201 6. Lines 120: 12 km is not considered as CRM resolution. Suggest change “CRM” to
202 “cloud-permitting modeling”

203 **Changed to “cloud-permitting model”**

204

205 7. Line 330: here GPCP is used, but the rest paper use TRMM. There seems to be some
206 discrepancy.

207 **We have calculated the new MISO indices using TRMM observations. Figures 09, 10, 11, 12,**
208 **14 and 15 are changed based on the new MISO indices and related statements in sections 4.1**
209 **and 4.2 are also improved.**

210

211 **Other technical comments:**

212 1. Line 67: foothills

213 **Done**

214

215 2. Line 72: in another world?

216 **Changed to “in another word”**

217

218 3. Line 337: delete “it”

219 **Done**

220

221 4. Line 351: Phase -> phase

222 **Done**

223

224 5. Line 371: Phase -> phase

225 **Done**

226

227 6. Line 375: delete “should”

228 **Done**

229

230 7. Line 377: what is “real one”? Need to be reworded.

231 **Reworded to “which reflects that the model simulated MISO is stronger than that in the**
232 **satellite observations.”**

233

234 8. Line 397: access -> assess

235 **Done**

236

237 9. Line 443-447: this sentence is repetitive and unclear. Suggest rewrite this.

238 **Reworded to: "These biases may induced by various reasons such as the choices of surface**
239 **scheme, the model domain size and the initial conditions which the dynamical systems are**
240 **highly sensitive to."**

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Regional Simulation of Indian summer Monsoon Intraseasonal Oscillations at Gray Zone Resolution

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34 **Abstract**

35

36 Simulations of the Indian summer monsoon by cloud-permitting WRF model at gray zone
37 resolution are described in this study, with a particular emphasis on the model ability to capture
38 the Monsoon Intraseasonal Oscillations (MISO). Five boreal summers are simulated from 2007
39 to 2011 using the ERA-Interim reanalysis as lateral boundary forcing data. Our experimental
40 set-up relies on a high horizontal resolution of 9km to capture deep convection without the use of
41 a cumulus parameterization. When compared to simulations with coarser grid spacing (27-km)
42 and using the cumulus scheme, our approach results in a reduction of the biases in mean
43 precipitation and in more realistic reproduction of the low frequency variability associated with
44 MISO. Results show that the model at gray zone resolution captures the fundamental features of
45 the summer monsoon. The spatial distributions and temporal evolutions of monsoon rainfall in
46 WRF simulations are verified qualitatively well against observations from the Tropical Rainfall
47 Measurement Mission (TRMM), with regional maxima located over West Ghats, central India,
48 Himalaya foothills and the west coast of Myanmar. The onset, breaks and withdrawal of the
49 summer monsoon in each year are also realistically captured by the model. MISO phase
50 composites of monsoon rainfall, low-level wind and precipitable water anomalies in the
51 simulations are compared qualitatively with the observations. Both the simulations and
52 observations show a northeastward propagation of the MISO, with the intensification and
53 weakening of Somali Jet over the Arabian Sea during the active and break phases of the Indian
54 summer monsoon.

55 **1. Introduction**

56 The Indian summer monsoon (ISM) is the most vigorous weather phenomena affecting the
57 Indian subcontinent every year from June through September (JJAS). It contributes about 80% of
58 the total annual precipitation over the region (Jain and Kumar, 2012; Bollasina, 2014) and has
59 substantial influences to the agricultural and industrial productions in India. The ISM exhibits
60 strong low frequency variability in the form of “active” and “break” spells of monsoon rainfall
61 (Goswami and Ajayamohan, 2001), with two dominant modes on timescales of 30-60 days
62 (Yasunari, 1981; Sikka and Gadgil, 1980) and 10-20 days (Krishnamurti and Bhalme, 1976;
63 Chatterjee and Goswami, 2004). The low-frequency mode is generally known as the Monsoon
64 Intraseasonal Oscillation (MISO), which is closely related to the Boreal Summer Intraseasonal
65 Oscillations (BSISO, Krishnamurthy and Shukla, 2007; Suhas et al., 2013; Sabeerali et al., 2017;
66 Kikuchi et al., 2012; Lee et al., 2013) and characterized by a northeastward propagation of the
67 precipitation from the Indian Ocean to the Himalayan foothills (Jiang et al., 2004). The MISO
68 not only affects the seasonal mean strength of the ISM, but also plays a fundamental role in the
69 interannual variability and predictability of the ISM (Goswami and Ajayamohan, 2001;
70 Ajayamohan and Goswami, 2003). The MISO phases occurring at the early and late stages of the
71 ISM also has considerable influences on the onset and withdrawal of the ISM, which, **in another**
72 **word**, determining the length of the rainy season (Sabeerali et al., 2012). Hence, a more accurate
73 forecast of the MISO assumes significance. The MISO is influenced by a number of physical
74 processes (Goswami, 1994). **Its interactions with the mean monsoon circulation and other**
75 **tropical oscillations make its propagating characteristics very complex (Krishnamurthy and**
76 **Shukla, 2007).**

77 General circulation models (GCMs) are broadly used to simulate the large-scale circulation
78 and seasonal rainfall climatology of the ISM. Results show that GCMs are able to capture the
79 fundamental features of the monsoon circulation reasonably well. However, the monsoon
80 precipitation is still a rigorous test for most GCMs (e.g., Bhaskaran et al., 1995; Lau and Ploshay,
81 2009; Chen et al., 2011). Also, the skill of the current generations of GCMs in simulating and
82 predicting the MISO remains poor (Ajayamohan et al., 2014; Lau and Waliser, 2011). The
83 computer power available nowadays constrains most GCMs to perform long-term global
84 simulations with a horizontal spacing larger than 100 km (Lucas-Picher et al., 2011, though a few
85 GCMs can go down to 25 km for tropical cyclones forecast, like GFDL HiRAM). As a result, the
86 GCMs cannot well capture the high frequency atmospheric variance and regional dynamics
87 associated with the MSIO, which also leads to a systematic bias in simulating the ISM rainfall
88 (Goswami and Goswami, 2016; Srinivas et al., 2013). Increasing the spatial resolution therefore
89 is the way for GCMs (of course not the only way) to improve the MISO simulation and to reduce
90 the systematic model biases (e.g., Ramu et al., 2016; Rajendran and Kitoh, 2008; Oouchi et al.,
91 2009). However, the high resolution global simulations usually require significant computational
92 resources that most climate modeling groups cannot afford.

93 An alternative approach to improve the ISM and MISO simulations is the use of regional
94 climate models (RCMs). RCMs dynamically downscale the GCM simulations or reanalysis and
95 perform a climate simulation over a certain region of the globe (Prein et al., 2015; Giorgi, 2006).
96 Using same computer resources, RCMs are able to perform the climate simulation with much
97 higher spatial resolution and are expected to better capture the high pass atmospheric variance
98 and resolve the important regional forcings associated with topography, land-sea contrast and
99 land cover (Bhaskaran et al., 1996; Dash et al., 2006). Many previous studies found that better

100 ISM and MISO simulations can be achieved in the high resolution (typically 50km or less)
101 RCMs than that in the GCMs with coarser grid spacing (e.g., Bhaskaran et al., 1998; Kolusu et
102 al., 2014; Lucas-Picher et al., 2011; Srinivas et al., 2013; Raju et al., 2015; Samala et al., 2013;
103 Vernekar and Ji, 1999; Mukhopadhyay et al., 2010; Saeed et al., 2012). Nonetheless, apparent
104 biases of the MISO simulations can still be found in the most previous RCM studies. One
105 principle reason is, to reduce the computational requirements, the spatial resolutions used in the
106 previous RCM studies are still not high enough to resolve the convection explicitly, and
107 convective activity is represented by the cumulus parameterization schemes in the simulations.
108 However, the organization of convection is the primary mechanism for simulating the realistic
109 MISO (Ajayamohan et al., 2014). Hence, using cumulus schemes may introduce a systematic
110 bias in simulating the MISO and the monsoon rainfall climatology (Mukhopadhyay et al., 2010;
111 Das et al., 2001; Ratnam and Kumar, 2005). In addition, the cumulus parameterization schemes
112 can also interact with other parameterization schemes, such as the planetary boundary layer,
113 radiation and microphysical schemes, which may imply far-reaching consequences through
114 nonlinearities and affects the simulation of the MISO (Prein et al., 2015).

115 The alternative to the use of a convective parameterization is to rely on the internal dynamics
116 to resolve convective motion. A consensus view is that Cloud Resolving Models (CRMs) must
117 have a horizontal resolution of at least 2km to resolve the dynamics of deep convection, albeit
118 even finer resolution are necessary in order to adequately resolve the turbulent motions in
119 convective systems (Bryan et al., 2003). However, Pauluis and Garner (2006) have shown that
120 **cloud-permitting model** with horizontal resolution as coarse as 12km can accurately reproduce
121 the statistical behavior of convection simulated at much finer resolution. This implies that a

122 coarse resolution CRM, one in which convective motion is under resolved, can nevertheless
123 capture adequately the impacts of convective motions on large scale atmospheric flows.

124 Recently, Wang et al. (2015, W15 hereafter) simulated two MJO events observed during the
125 CINDY/DYNAMO campaign using a convection-permitting regional model with 9-km grid
126 spacing. The authors compared the simulations with multiple observational datasets and found
127 that the RCM at this resolution can successfully capture the intraseasonal oscillations over the
128 tropical oceans. The horizontal grid spacing of 9 km used in W15 is not adequate for individual
129 convective cells, but enough to resolve the organized mesoscale convective systems and their
130 upscale impacts and coupling with large-scale dynamics. Hence, they called the 9-km grid
131 spacing as gray zone resolution in regional convection-permitting climate simulation. The
132 convection-permitting RCMs at the gray zone resolution have the twin advantages of (1) using
133 much less computational resources than that required by the typical cloud-resolving simulations
134 (usually, grid spacing should be smaller than 2 km) and (2) avoid using the cumulus
135 parameterization schemes. The primary objective of the present study is to evaluate the ISM and
136 MISO simulations in the RCM at the gray zone resolution, which could be an affordable and
137 efficient way for most climate model groups to achieve a cloud-permitting MISO simulation.
138 The paper is constructed as follows. Section 2 provides a brief description of the model and the
139 data used. Section 3 presents the model simulated mean ISM features and seasonal evolutions of
140 the rainfall over the monsoon region. The simulated MISO are described and compared with the
141 observations and reanalysis in section 4. Section 5 gives the concluding remarks of the study.

142

143 2. Experimental setup and observational datasets

144 The model configuration here is similar with the one used in W15. The Advance Research
145 WRF model (Skamarock et al., 2008), version 3.4.1, is used to simulate the ISMs over the Indian
146 subcontinent from 2007 to 2011. Simulations are performed over a single domain that covers the
147 most of South Asia with 777×444 grid points and 9-km grid spacing (Fig. 1). There are 45
148 vertical levels with a nominal top at 20 hPa and 9 levels in the lowest 1 km. Vertically
149 propagating gravity waves have been suppressed in the top 5 km of the model with the implicit
150 damping scheme (Klemp et al., 2008). The high model top (~ 27 km) allows the development of
151 deep convection, especially over the mountainous area. While the sponge layer above the
152 tropopause can damp the gravity waves produced by the deep convective activity or steep terrain
153 and prevent the upward propagating gravity-wave energy be bounced back to the troposphere.
154 The simulation employs the unified Noah land surface physical scheme (Chen and Dudhia, 2001),
155 the GCM version of the Rapid Radiative Transfer Model (RRTMG) longwave radiation scheme
156 (Iacono et al., 2008), the updated Goddard shortwave scheme (Shi et al., 2010) and the WRF
157 Double-Moment (WDM) microphysics scheme (Lim and Hong, 2010) from WRF V3.5.1 with
158 an update on the limit of the shape parameters and terminal speed of snow. In W15, the authors
159 used the Yonsei University (YSU) boundary layer scheme (Hong et al., 2006) to simulate the
160 subgrid-scale meteorological processes within the planetary boundary layer. However, we find
161 that there exists an apparent dry bias in simulating the ISM precipitation after a long-term
162 integration when YSU boundary layer scheme is used. In order to improve the simulation,
163 boundary layer scheme used for this study has been changed to the new version of the
164 asymmetric convective model (ACM2, Pleim, 2007). Hu et al. (2010) evaluated the different
165 boundary schemes used in the WRF model and found that ACM2 scheme can better simulate the

166 boundary meteorological conditions of the Texas region during summer than YSU scheme.
167 Nevertheless, the sensitivity of ISM simulations to the boundary-layer schemes is still deserve
168 closer analysis and quantifications in the future, which is out of the scope of the present study.
169 Our model configuration does not use any parameterization for deep convection, but rather relies
170 on the internal dynamics to capture the impact of convective activity.

171 Five boreal summers are simulated from 2007 to 2011 in this study. The 6-hourly
172 ERA-Interim reanalysis (Dee et al., 2011) is used as the initial and boundary conditions for the
173 simulations, and sea surface temperature (SST) is updated every 6 hours using the ERA-Interim
174 SST data. The ERA-Interim reanalysis is produced with a sequential data assimilation scheme,
175 advancing forward in time using 12-hourly analysis cycles. The zonal and meridional winds in
176 the reanalysis are directly assimilated from observational data, thus the large scale monsoon
177 circulation is well captured in the reanalysis. The Indian summer monsoon precipitation
178 climatology in ERA-Interim has also been compared with that in other reanalysis datasets, and
179 the results show that ERA-Interim has the highest skill to reproduce the Indian summer monsoon
180 rainfall though obvious biases can still be found (Kishore et al., 2016; Lin et al., 2014). The
181 model integrations start from 0000 UTC 20 April in each year. For the first 3 days, a spectral
182 nudging is applied to relax the horizontal wind with a meridional wave number 0-2 and a zonal
183 wavenumber 0-4, which constrains the large-scale flow and convergence in the domain and
184 allows the mesoscale to saturate in the spectral space (W15). The simulations are integrated until
185 October 30 for each year in order to capture the withdrawal of the ISM in different years. The
186 simulated spatial distributions and temporal variations of surface rainfall are verified against the
187 3-hourly 0.25° TRMM 3B42 rainfall product version 7A, while the large-scale circulations and
188 atmospheric conditions in the simulations are verified against the ERA-interim reanalysis.

189 Besides the control simulations at 9 km resolution (WRF-gray hereafter), another set of
190 numerical simulations with coarser grid spacing (27km, WRF-27km hereafter) are also
191 conducted in this study to evaluate the extent to which the cloud-permitting simulations at gray
192 zone resolution can improve the simulation of the ISM and MISO. The configuration of the
193 coarse simulations is similar with WRF-gray except cumulus parameterization scheme is used to
194 represent the subgrid-scale convective activity. Mukhopadhyay et al. (2010) investigated the
195 impacts of different cumulus schemes on the systematic biases of ISM rainfall simulation in the
196 WRF RCM. They compared the simulations conducted with three different convective schemes,
197 namely the Grell–Devenyi (GD, Grell and Dévényi, 2002), the Betts–Miller–Janjić (BMJ, Janjić,
198 1994; Betts and Miller, 1986), and the Kain–Fritsch (KF, Kain, 2004) schemes. Results show
199 that KF has a high moist bias while GD shows a high dry bias in simulating the monsoonal
200 rainfall climatology. Among these three schemes, BMJ can produce the most reasonable
201 monsoonal precipitation over the Indian subcontinent with the least bias. Similar results can also
202 be found in Srinivas et al.(2013). Hence, the BMJ scheme has been used in the WRF-27km
203 simulations.

204 Fig. 2 shows the daily surface precipitation averaged over the Indian subcontinent (shown by
205 the blue polygon in Fig. 1) from TRMM observation, WRF-gray and WRF-27km during the
206 monsoon seasons (JJAS). An apparent moist bias of surface precipitation can be found for all 5
207 years (2007 to 2011) in WRF-27km, while this systematic bias is reduced considerably in
208 WRF-gray. One reason of the moist bias reduction is the topography is better resolved in
209 WRF-gray than that in WRF-27km (the spatial resolution of topography is 3-times higher in
210 WRF-gray). Thus, the local convective activity is better simulated and the total surface
211 precipitation is less over the Himalaya foothills and West Ghats in WRF-gray (not shown here).

212 Besides the mountainous area, surface rainfall simulation over the plain and oceanic regions also
213 shows high moist bias in WRF-27km, which improved dramatically in WRF-gray. It shows that
214 higher model resolution and better simulation of large-scale atmospheric circulation also have
215 considerable contributes to the improvement of monsoon rainfall simulation in WRF-gray, which
216 will be discussed in details in the following sections. In addition, we can also find that the
217 simulations at gray zone resolution (WRF-gray) can better capture the interannual variability of
218 the monsoon rainfall amount than the coarse WRF simulations (WRF-27km). The rest of this
219 paper will focus on the assessment of the ISM and MISO simulations in WRF-gray while both
220 the MISO simulations in WRF-gray and WRF-27km will be compared to the observations in
221 section 4.

222

223 **3. Mean features of Indian Summer Monsoon**

224 The large-scale atmospheric circulation and temporal-spatial patterns of the monsoon rainfall
225 in WRF-gray are first assessed in this section. Fig. 3a and 3b show the 5-yr JJAS climatological
226 mean 200-hPa winds and geopotential heights extracted from ERA-Interim and WRF-gray.
227 During the summer monsoon, the upper troposphere (200 hPa) is characterized by a strong
228 anti-cyclone over the Tibetan plateau and easterly winds over the Indian subcontinent. The
229 model well captures the wind pattern and geopotential height in the upper troposphere, though
230 the Tibetan high-pressure and easterly winds in WRF-gray are slightly stronger than that in
231 ERA-Interim (Fig. 3b). At lower level (850-hPa), the model realistically simulates the
232 geographical position and strength of Somali Jet over the Arabian Sea, with a slight
233 overestimation of the wind speed (Fig. 3c and 3d). Moisture is transported by the strong
234 low-level winds from the Arabian Sea to the Indian subcontinent. As a result, a precipitable

235 water maximum can be found over West Ghats and the Eastern coast of the Arabian Sea in both
236 in ERA-Interim and WRF-gray, though the precipitable water over the mountainous ranges of
237 West Ghats in WRF-gray is a little higher than that in ERA-Interim. In addition, WRF-gray also
238 well captures the rain shadow downwind of the mountainous areas of central and southern India
239 where a slight dry bias can be noticed (Fig. 3d). The low-level southwesterly winds over the Bay
240 of Bengal in WRF-gray are stronger than that in ERA-Interim, which leads to an overestimation
241 in the precipitable water over the north tip of the Bay of Bengal, the west coast of Myanmar and
242 the foothills of Himalaya (Fig. 3d). A comparison of JJAS-averaged daily rainfall distribution
243 observed by TRMM with that simulated by WRF-gray is shown in Figs 3e and 3f. In general,
244 WRF-gray realistically captures the spatial pattern of the monsoon rainfall with the regional
245 rainfall maximums over West Ghats, central India, Himalaya foothills and the west coast of
246 Myanmar. Consistent with the biases shown in the low-level wind and precipitable water fields
247 (Fig. 3d), the simulated surface rainfall shows a dry bias over central India and a moist bias over
248 West Ghats, Himalaya foothills and the west coast of Myanmar (the Bay of Bengal). Similar
249 features can also be found in earlier RCM studies (e.g., Lucas-Picher et al., 2011; Rockel and
250 Geyer, 2008), which have shown that these biases can be explained by the way that surface
251 schemes cannot well simulate the land-sea pressure and temperature contrasts that driving the
252 monsoon dynamics and induce an overestimation of surface wind speed over oceans. This results
253 in an overestimation of the surface evaporation over the tropical oceans and excess precipitation
254 downstream over the mountain ranges of South-East Asia.

255 The Somali Jet over the Arabian Sea is a central figure of the Indian Summer Monsoon. Its
256 emergence is crucial in determining the onset precipitation over the Indian subcontinent (Ji and
257 Vernekar, 1997; Joseph and Sijikumar, 2004). Ajayamohan (2007) proposed an index to

258 represent the Kinetic Energy (KE) of Somali Jet (KELLJ), which is defined as the mean KE of
259 winds at 850 hPa averaged over 50°-65°E and 5°-15°N (shown by the black box in Fig. 1). The
260 same index is applied here to assess the strength of Somali Jet. The 5-yr temporal evolutions of
261 KELLJ calculated from WRF-gray and WRF-27km are compared with that calculated from
262 ERA-Interim in Fig. 4. In general, the simulations well capture the evolution of KELLJ in
263 different years. Sudden increases in KE of Somali Jet in late May associated with the monsoon
264 onsets are well reproduced in both WRF-gray and WRF-27km. The Somali Jet is stronger during
265 the monsoon (JJAS) than in May and October, which leads to a stronger precipitation over the
266 Indian subcontinent during the ISM. WRF-gray also well simulates the intraseasonal variation of
267 KELLJ and the decrease of KE associated with the withdrawal of the monsoon in each year.
268 Overall, the strength of Somali Jet in WRF-gray is slightly stronger than that in ERA-Interim,
269 which is similar with the above analysis of Figs. 3c and 3d. However, the strength of Somali Jet
270 in WRF-27km is much stronger when compared to WRF-gray and ERA-Interim. It is one of the
271 reasons why there is a high moist bias of monsoon rainfall in WRF-27km. In general, the
272 simulation at gray zone resolution can better capture the large scale atmospheric circulation of
273 Indian summer monsoon than the coarser resolution simulation with cumulus scheme.

274 The evolution of surface rainfall averaged over the Indian subcontinent (shown by the blue
275 polygon) from WRF-gray and WRF-27km is compared with that from TRMM observations (Fig.
276 5). The seasonal mean Indian monsoon rainfall for each year in TRMM, WRF-gray and
277 WRF-27km has been given in each panel. Generally speaking, WRF-gray well captures the mean
278 strength and intraseasonal variation of the monsoon rainfall. In these 5 years, the accumulated
279 monsoonal rainfall amount over the Indian subcontinent is largest in 2010 (9.1 mm/day) and
280 smallest in 2009 (7.1 mm/day). 2009 is also one of the most drought years in the past 3 decades.

281 Corresponding to the evolution of Somali Jet, rainfall over the Indian subcontinent begins to
282 increase from late May, reaches its maximum during JJAS and decreases again in late September
283 or early October, which are associated with the onsets and withdrawals of the ISM. The onset
284 and withdrawal of the ISM are well captured by WRF-gray in most years except the onset of the
285 2007 ISM in WRF-gray is later than that in TRMM observations. The main reason of the 2007
286 ISM later onset in WRF-gray is that the super cyclonic storm Gonu which induced strong
287 precipitation over the west India and had considerable influence on the onset of the 2007 ISM
288 (Najar and Salvekar, 2010) has not been well captured in the WRF simulation (the position of
289 Gonu has a southwest shift in WRF-gray, not shown here). The ISM also shows a strong ISO in
290 each year in the form of “active” and “break” spells of monsoon rainfall over the Indian
291 subcontinent. These “active” and “break” phases of ISM are closely related to the strengthening
292 and weakening of Somali Jet (Fig. 4). Despite the biases of the monsoon rainfall intensity, we
293 can find that WRF-gray well captures most “active” and “break” spells of 5-yr ISMs, which
294 gives us confidence that the MISO can be qualitatively simulated in the RCMs at gray zone
295 resolution. Compared to WRF-gray, the surface rainfall in WRF-27km shows a high moist bias
296 over the Indian subcontinent, which is consistent with the overprediction of the Somali Jet
297 strength shown in Fig. 4 and the analysis of seasonal mean rainfall in Fig. 2. Also, the
298 intraseasonal variation of monsoon rainfall is poorer simulated in WRF-27km when compared
299 with that in WRF-gray. For example, the “active” and “break” spells from August to September
300 in 2007 are well captured by WRF-gray while not simulated in WRF-27km. The 5-years
301 averaged correlation coefficient of precipitation between WRF-gray (WRF-27km) and TRMM
302 observations is 0.786 (0.714). These results show that the simulation at gray zone resolution can

303 better capture both the mean intensity and the intraseasonal variation of Indian summer monsoon
304 than the coarser resolution simulation using cumulus scheme.

305 The spatial distributions of monthly mean precipitation from TRMM and WRF-gray in 2007,
306 2009 and 2011 are compared in Figs 6, 7 and 8. Similar with the analysis of Fig.3, the model
307 well captures the rainfall centers over West Ghats, central Indian, Himalaya foothills and the
308 west coast of Myanmar during the summer monsoon seasons, with an overestimation of
309 precipitation over the west coast of Myanmar and Himalaya foothills due to the overprediction of
310 low-level wind over the Bay of Bangle. With high spatial resolution, WRF-gray is able to
311 capture the finer details of orographic precipitation over the mountainous rages (for example,
312 along the west coastline of the Indian subcontinent). In addition, the interannual variability of
313 monsoon rainfall is also well simulated in WRF-gray (Figs. 6, 7 and 8). In 2007, rainfall is very
314 weak over the Indian subcontinent in May though orographic precipitation can still be found over
315 the mountainous rages along the west coastline (Figs. 6a and 6g). Accompany with the onset of
316 the ISM and the enhancement of low-level winds over the Arabian Sea, precipitation over the
317 west coast of Indian subcontinent and its adjacent oceans increases dramatically in June (Figs. 6b
318 and 6h). In July, the precipitation center along the west coast of Indian subcontinent is still
319 apparent and the precipitation over central India is increased considerably (Figs. 6c and 6i).
320 Rainfall over Himalaya foothills and the west coast of Myanmar also reaches its strongest stage
321 in this month. In August, rainfall over central India and the west coast of Myanmar are still
322 strong while the precipitation near the Himalaya foothills is decreased (Figs. 6d and 6j). The
323 rainfall intensity over the entire monsoon region decreases continually in September (Figs. 6e
324 and 6k) and the precipitation over the Indian subcontinent becomes very weak in October (Figs.
325 6f and 6l), which represents the end of the monsoon season. When compared to 2007, the ISM in

326 2009 is dryer, especially over the Indian subcontinent (Fig. 7). The onset and withdrawal of the
327 2009 ISM over the Indian subcontinent are in June and September. The significant “break” spells
328 of the 2009 ISM in June, August and September are well captured by WRF-gray (Figs. 5c, 7h, 7j
329 and 7k). The evolution of monthly mean precipitation in 2011 (Fig. 8) is similar with that in
330 2007 (Fig. 6) with the rainfall over the central India reaches its strongest stage in August (Figs.
331 8d and 8j). In May 2011, an apparent moist bias of precipitation can be found over the Arabian
332 Sea in WRF-gray, which is induced by the formation of an unreal tropical cyclone in the
333 simulation. Generally speaking, WRF-gray is able to capture the spatial and temporal features of
334 the ISM rainfall. Though apparent biases can still be found, the intensity and spatial pattern of
335 monsoon rainfall in WRF-gray are verified well against the observations, especially over the
336 Indian subcontinent.

337

338 **4. Monsoon Intraseasonal Oscillations (MISO)**

339 As mentioned in the Introduction, the MISO has fundamental influences on the seasonal
340 mean, predictability and interannual variability of the ISM. Hence, the simulation of the MISO is
341 very important for the credibility of the model in simulating the ISM. The section evaluates the
342 ability of WRF-gray in simulating the MISO. MISO Phase composites of the surface rainfall and
343 large-scale flows from WRF-gray are compared with that from the observations

344

345 **4.1 Indices for the MISO**

346 Using the developed nonlinear Laplacian spectral analysis (NLSA) technique (Giannakis and
347 Majda, 2012b, a), Sabeerali et al. (2017) developed improved indices for real-time monitoring of
348 the MISO. **NLSA is a nonlinear data analysis technique that combines ideas from kernel methods**

349 for harmonic analysis, delay embedding of dynamical systems and machine learning (Belkin and
350 Niyogi, 2003; Packard et al., 1980; Sauer et al., 1991; Coifman and Lafon, 2006). Compared to
351 the classical covariance-based approaches (for example Suhas et al., 2013; Krishnamurthy and
352 Shukla, 2007), a key advantage of NLSA is that it is able to extract the spatiotemporal modes of
353 variability spanning multiple timescales without requiring bandpass filtering or seasonal
354 partitioning of the input data. Compared to the MISO indices based on the extend EOF (EEOF)
355 and multichannel singular spectral analysis (MSSA), the NLSA-based MISO indices have
356 improved timescale separation, higher memory and higher predictability. The MISO indices
357 constructed by NLSA can better resolve the temporal and spatial characteristics of the MISO.
358 For example, the NLSA-based MISO indices have better temporal phase coherence while
359 maintaining the isolating ability of MISO from broad band dataset. It can better resolve the tilted
360 structure of MISO convection and the associated atmospheric circulation pattern through phase
361 composites and also explain more fractional variance over the ocean regions (Sabeerali et al.,
362 2017).

363 In order to evaluate the MISO simulation in WRF-gray, the NLSA MISO indices are applied
364 in this study to construct the phase composites of rainfall and atmospheric circulation from
365 WRF-gray and the observations. Fig. 9 shows the daily evolution of the MISO in each year
366 monitored by the two-dimensional phase space diagram constructed from the NLSA MISO
367 indices. All indices are extracted from the TRMM observations. The 2D phase space of the
368 NLSA MISO indices is divided into 8 phases to represent different phases of the MISO. The
369 significant MISO event is defined as the instantaneous MISO whose amplitude is greater than
370 1.5 (shown by the black circle in Fig. 9). From Fig. 9, we can find that the MISO activity in 2007,
371 2008 and 2009 are much more significant than that in 2010 and 2011. The accumulated monsoon

372 rainfall amount over the Indian subcontinent is high in 2007 (8.8 mm/day), which also features
373 the strongest MISO activity (Fig. 9a). The following year, 2008, is also a moist year with strong
374 MISO activity which is from the end of June to the end of September (Fig. 9b). In 2009 (Fig. 9c),
375 a severe drought year, the MISO is weak during the early and late stages of the monsoon season
376 (June and September), but stronger in the midst of the monsoon season (July and August). The
377 amplitude of the MISO indices in 2010 and 2011 are much smaller, while significant MISO
378 events can still be found in most monsoon months (July, August and September) in 2011 (Fig.
379 9e).

380

381 **4.2 Phase composites of surface rainfall**

382 Fig. 10 shows the phase composites of daily surface rainfall anomalies (subtracted the mean
383 daily rainfall of 5-yr monsoon seasons) obtained from TRMM observation based on the NLSA
384 MISO indices. The phase composites are computed by averaging the significant MISO activities
385 in each phase space occurred in the 5-yr monsoon seasons. An apparent northeastward
386 propagation of the MISO can be found in the phase composites (from the phase 1 to the phase 8),
387 which corresponds to the anticlockwise rotation in the 2D phase space of the MISO indices (Fig.
388 9). Phase 1 shows the formation of enhanced rainfall anomalies over the tropic Indian Ocean (Fig.
389 10a). During this phase, rainfall over the Indian subcontinent is suppressed. The enhanced
390 rainfall anomalies over the tropic ocean become stronger and move toward the Indian
391 subcontinent in Phase 2 (Fig. 10b) and reach West Ghats and its adjoining oceans in Phase 3 and
392 Phase 4 (Figs. 10c and 10d). In Phase 3, precipitation over the Indian subcontinent is enhanced
393 while rainfall over the Bay of Bengal is suppressed (Fig. 10c). Rainfall over central India is
394 enhanced considerably in Phase 4 (Fig. 10d) and form into a northwest-southeast enhanced

395 rainfall line that stretches from the west coast of the Indian subcontinent to the south of the
396 Indochina in Phase 5 (Fig. 10e). This enhanced rainfall line continually propagates to northeast
397 in Phase 6 (Fig. 10f). In Phase 7, the enhanced rainfall anomalies can still be found over
398 northwest India and west coast of Myanmar while the rainfall in south India is suppressed by the
399 MISO. The total rainfall over the entire basin is weakest during Phase 8 with the rainfall
400 anomalies are mostly negative over the inland regions of India (Fig. 10h). However, rainfall near
401 Himalaya foothills begins to increase in this phase and reaches its maximum in Phase 1 (Fig.
402 10a). The phase composites of daily surface rainfall anomalies obtained from 5-yr TRMM
403 observations in this study are similar to the 26-yr phase composites in Sabeerali et al. (2017),
404 which shows that the 5-yr rainfall statistic reflects the climatological characteristics of the MISO.

405 Fig.11 presents the phase composites of daily surface rainfall anomalies obtained from
406 WRF-gray. Despite differences in the intensity and location of rainfall anomalies, the MISO
407 simulation in WRF-gray verified well against the TRMM observations. The fundamental features
408 of rainfall anomalies in all 8 phases of the MISO are well captured by WRF-gray: for example,
409 the northeastward propagation of the enhanced rainfall anomalies, the “active” and “break”
410 phases of the monsoon rainfall over the Indian subcontinent, the northwest-southeast enhanced
411 rainfall line in Phases 5 and 6, the increase of rainfall over Himalaya foothills in Phase 8 and
412 Phase 1 and so on. Nonetheless, we also notice that the amplitude of the rainfall anomalies in
413 WRF-gray is slightly larger than that in the TRMM observations, which reflects that the model
414 simulated MISO is stronger than that in the satellite observations.

415 In order to evaluate to what extent the RCM at gray zone resolution can improve the
416 simulation of the MISO, the phase composites of daily surface rainfall anomalies obtained from
417 WRF-27km (Fig. 12) are also compared with that from the TRMM observations (Fig. 10) and

418 WRF-gray (Fig. 11) in this section. We can find that the amplitude of rainfall anomalies in
419 WRF-27km is much larger than that in WRF-gray and TRMM observations, which shows the
420 WRF-27km has larger systematic biases than WRF-gray in simulating the MISO intensity.
421 Though WRF-27km can also basically capture the “active” (Figs 12d, 12e and 12f) and “break”
422 (Figs. 12h, 12a and 12b) phases of the ISM, it shows a larger bias in the spatial-temporal
423 distributions of the rainfall anomalies during the different phases of the MISO than WRF-gray.
424 For example, the rainfall anomalies in Phase 1 and 2 (Figs. 12a and 12b) are shifted northward,
425 consistent with a faster development of the MISO cycle in the coarse resolution model. The
426 northwest-southeast enhanced rainfall line shown in TRMM observations and WRF-gray is not
427 clear in WRF-27km. This could be possibly due to deficiencies in how WRF-27km capture
428 stratiform rainfall, which would create a bias toward more patchy, deep convective events. The
429 increase of rainfall over Himalaya foothills from Phase 8 to Phase 1 has not been well simulated
430 in WRF-27km. Generally speaking, WRF-gray better simulates the MISO than WRF-27km, both
431 in the aspects of intensity and the spatial-temporal evolution.

432 Besides the phase composite, the evolutions of 10-day averaged daily surface rainfall
433 anomalies in WRF-gray and TRMM observations are also compared with each other to further
434 assess the credibility of WRF-gray in simulating the intraseasonal variability of the ISM. 10-day
435 evolutions of rainfall anomalies from 1 July to 10 August, 2009 in TRMM observations and
436 WRF-gray are shown in Fig. 13. During this period, the monsoon rainfall over the Indian
437 subcontinent turns from a strong “active” phase to a strong “break” phase (Fig. 5c). The rainfall
438 is enhanced over the west coast of the Indian subcontinent, central India and the Bay of Bengal
439 in the first ten days of July (Fig. 13a), which is similar with the combined features of Phases 4
440 and 5 (Figs. 10d and 10e). The enhanced rainfall anomalies form into a northwest-southeast line

441 in the middle of July (Fig. 13b), which corresponds to Phases 6. In the end of July, rainfall over
442 most area of the Indian subcontinent is suppressed while the rainfall anomalies **over northwest**
443 **India and west coast of Myanmar** are still positive (Fig. 13c). In early August, rainfall anomalies
444 over the entire Indian subcontinent turn to negative with rainfall over Himalaya foothills is
445 enhanced (Fig. 13d), which is similar to the combined features of Phases 8 and 1(Figs. 10h and
446 10a). Though small biases can be found in the simulated rainfall intensity and location, the
447 10-day evolutions of daily rainfall anomalies in WRF-gray verified well against the TRMM
448 observations (Figs. 13e-h), which again proves that the cloud-permitting RCM at gray zone
449 resolution is credible in simulating the MISO.

450

451 **4.3 Phase composites of atmospheric circulation**

452 During the different phases of the MISO, the large-scale flows and atmospheric conditions
453 also exhibit different behaviors (Raju et al., 2015; Goswami et al., 2003; Mukhopadhyay et al.,
454 2010). Fig. 14 shows the phase composites of 850-hPa wind and precipitable water anomalies
455 obtained from ERA-Interim. Consistent with the phase evolution of the enhanced daily rainfall
456 anomalies (Fig. 10), the precipitable water anomalies also show an apparent northeastward
457 propagation from Phase 1 (Fig. 14a) to Phase 8 (Fig. 14h), which corresponds to the
458 anticlockwise rotation in the 2D phase space of the MISO indices (Fig. 9). The major features of
459 the MISO active phase (Figs. 14f) are the formation of low pressure anomalies over northwest
460 and central India which is associated with the southward shifting of monsoon trough (Raju et al.,
461 2015). As a result, the strong westerly wind over the Arabian Sea and the Bay of Bengal also
462 enhanced dramatically during the active phase of the MISO, which transports more water vapor
463 from the oceans to the inland regions and leads to enhanced precipitable water anomalies over

464 the land. The strength of Somali Jet is also enhanced during the MISO active phase (Fig. 14f).
465 During the break phase of the MISO, on the other hand, high pressure anomalies can be found
466 over northwest and central India, which is associated with the northward shifting of monsoon
467 trough. The westerly wind over the Arabian Sea and Somali Jet are weakened during the break
468 phase (Figs. 14a), which lead to negative precipitation water and surface rainfall anomalies over
469 the Indian subcontinent. Fig. 15 shows the phase composites of 850-hPa wind anomalies and
470 precipitable water anomalies obtained from WRF-gray. We can find that WRF-gray well
471 produces the features of large-scale flow and precipitable water anomalies in different phases of
472 the MISO (Fig. 15), which shows that the cloud-permitting RCM at gray zone resolution can also
473 well capture the large-scale circulation features of the MISO. We should notice that, as the
474 rainfall anomalies shown in Fig. 11, the amplitudes of low-level wind and precipitable water
475 anomalies in WRF-gray (Fig. 15) are larger than that in ERA-Interim (Fig. 14), which implies
476 that the simulated MISO in WRF-gray is stronger than the real one.

477

478 **4.4 Sensitivity to initial dates**

479 While WRF-gray captures many aspects of the ISM and MISO qualitatively, quantitative
480 model biases are still apparent. These biases may induced by various reasons such as the choices
481 of surface scheme, the model domain size and the initial conditions which the dynamical systems
482 are highly sensitive to. The sensitivity of WRF-gray simulation to initial dates is further
483 investigated in this section. Fig. 16 shows the temporal evolutions of Somali Jet Strength (Fig.
484 16a), precipitation water (Fig. 16b) and precipitation (Fig. 16c) averaged over the Indian
485 subcontinent in the WRF simulations at gray zone resolution started from three different days
486 (WRF0420: blue lines, started from 0000 UTC 20 April; WRF0419: red lines, started from 0000

487 UTC 19 April; WRF0421: green lines, started from 0000 UTC 21 April) in 2007. Though all
488 three WRF simulations are forced by the same lateral boundary conditions and the initial times
489 are also close to each other, we can still find apparent differences of the simulated monsoon
490 atmospheric circulation (Fig. 16a), humidity (Fig. 16b) and precipitation (Fig. 16c) in three
491 experiments. In particular, in May, there exist apparent rainfall biases in WRF0419. However the
492 onset of the ISM is better captured by WRF0419 than WRF0420 and WRF0421. The
493 overprediction of monsoon rainfall from 15 September to 01 October in WRF0420 is
494 considerably reduced in WRF0419 and WRF0421. Results show that the ISM and MISO
495 simulations in RCM at gray zone resolution are sensitive to the initial conditions.

497 **5. Summary and discussion**

498 Simulations of the ISM by cloud-permitting WRF model at gray resolution (9 km) are
499 evaluated in this study, with a particular emphasis on the credibility of the MISO simulation. The
500 model is forced by ERA-Interim reanalysis for every year from 20 April to 30 October during
501 2007-2011. Model domain covers the entire Indian monsoon region which allows the systematic
502 evolution of the ISM internal dynamics. Compared with the RCM at coarse resolution and using
503 the cumulus parameterization scheme (WRF-27km), the systematic biases of monsoon rainfall
504 climatology in the cloud-permitting RCM at gray zone resolution (WRF-gray) are reduced
505 considerably. The interannual variability of the accumulated monsoon rainfall over the Indian
506 subcontinent is also better captured in WRF-gray.

507 Results from WRF-gray are compared quantitatively with the reanalysis and long-term TRMM
508 observations. In general, WRF-gray could reproduce the fundamental features of ISM reasonably
509 well. The Tibetan high-pressure and easterly winds at 200 hPa in WRF-gray are slightly stronger

510 than that in ERA-Interim. The low-level southwesterly winds over the Bay of Bengal in
511 WRF-gray is also stronger when compared to that in the reanalysis, which leads to an
512 overprediction of precipitable water and surface rainfall over the west coast of Myanmar and
513 Himalaya foothills in WRF-gray. The temporal evolutions of Somali jet and surface rainfall
514 averaged over the Indian subcontinent are also well simulated in WRF-gray. The model captures
515 most onsets, breaks and withdrawals of the ISMs, while the ISM onset in 2007 is later in
516 WRF-gray than that in TRMM observation. Spatial distributions of monthly mean precipitation
517 from TRMM and WRF-gray are further compared in the current study. Results show that
518 WRF-gray could reproduce the spatial patterns of the monthly rainfall in each year and well
519 capture the monsoon rainfall centers over West Ghats, central India, Himalaya foothills and the
520 west coast of Myanmar. However, biases of rainfall intensity and position can still be found in
521 WRF-gray, for example, the model simulates an unreal tropical cyclone over the Arabian Sea in
522 May 2011.

523 Because the MISO has fundamental influences on the simulation and prediction of the ISM,
524 the skill of WRF-gray in simulating the MISO is quantitatively assessed in this study. The NLSA
525 MISO indices developed by Sabeerali et al. (2017) are applied in this study to construct the
526 MISO phase composites of surface rainfall and atmospheric circulations from WRF-gray and
527 observations. The enhanced rainfall anomalies show a clear northeastward propagation from the
528 MISO Phases 1 to 8. WRF-gray well captures this northeastward propagation and also simulates
529 the spatial distribution of rainfall anomalies during different phases of the MISO. The low-level
530 westerly wind over the Arabian Sea and Somali jet are strengthened (weakened) during the
531 active (break) phase of the MISO, which induces higher (lower) precipitable water and stronger
532 (weaker) precipitation over the Indian subcontinent. These features can also be well reproduced

533 in WRF-gray, though the amplitude of rainfall, precipitable water and wind anomalies in
534 WRF-gray are larger than that in observations. When compared with WRF-27km, the systematic
535 biases in simulating the MISO have been reduced considerably in WRF-gray, which shows that
536 the cloud-permitting RCM is able to improve the simulations of the MISO associated with the
537 ISM.

538 While WRF-gray captures many aspects of the ISM and MISO qualitatively, quantitative
539 model biases are still apparent. These biases may be induced by various reasons such as the
540 initial conditions. More comprehensive investigation of the predictability of the ISO and MISO
541 in RCM at gray zone resolution is deserved future studies.

542

543

544

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552 xzc55@psu.edu.

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Figures

733 Figure 1. Model domain used in the WRF simulations with topography (gray scales) and
734 coastlines (red lines). The black box shows the climatic zone used for the calculation of KELLF
735 index and the blue polygon shows the Indian subcontinent.

736 Figure 2. Averaged daily rainfall over the Indian subcontinent for JJAS in different years from
737 TRMM observation (blue bars), WRF-gray (green bars) and WRF-27km (yellow bars).

738 Figure 3. 5-yr mean monsoon (JJAS) winds (vectors) and geopotential heights (red contours)
739 at 200-hPa from (a) ERA-Interim and (b) WRF-gray; winds (vectors) and precipitable water
740 (color shadings) at 850-hPa from (c) ERA-Interim and (d) WRF-gray; daily surface precipitation
741 (color shadings) from (e) TRMM and (f) WRF-gray. Topography is shown by the black contours
742 starts at 500m with a 1000-m interval.

743 Figure 4. Temporal evolution of KELLF indices in (a) 2007; (b) 2008; (c) 2009; (d) 2010 and
744 (e) 2011 from ERA-Interim (black lines), WRF-gray (blue lines) and WRF-27km (red lines). A
745 5-day moving average is applied to the time series.

746 Figure 5. Temporal evolution of daily surface rainfall averaged over the Indian subcontinent in
747 (a) 2007; (b) 2008; (c) 2009; (d) 2010 and (e) 2011 from TRMM (black lines), WRF-gray (blue
748 lines) and WRF-27km (red lines). A 5-day moving average is applied to the time series. The
749 seasonal mean (June to September) of daily surface rainfall amounts averaged over the Indian
750 subcontinent from TRMM (black), WRF-gray (blue) and WRF-27km (red) are also shown in the
751 figure.

752 Figure 6. Spatial distributions of averaged daily surface precipitation from May to October in
753 year 2007 derived from (a-f) TRMM and (g-l) WRF-gray.

754 Figure 7. Spatial distributions of averaged daily surface precipitation from May to October in

755 year 2009 derived from (a-f) TRMM and (g-l) WRF-gray.

756 Figure 8. Spatial distributions of averaged daily surface precipitation from May to October in
757 year 2011 derived from (a-f) TRMM and (g-l) WRF-gray.

758 Figure 9. 2D phase space diagrams for the NLSA MISO indices in years: (a) 2007, (b) 2008,
759 (c) 2009, (d) 2010 and (e) 2011. An anticlockwise propagation from the phase 1 represents
760 MISO's northward propagation. The circle centered at the origin has radius equal to 1.5, which is
761 the threshold for identification of significant MISO events.

762 Figure 10. Phase composites of daily surface rainfall anomalies obtained from TRMM (Figure
763 a-h: phase 1 to 8).

764 Figure 11. Phase composites of daily surface rainfall anomalies obtained from WRF-gray
765 (Figure a-h: phase 1 to 8).

766 Figure 12. Phase composites of daily surface rainfall anomalies obtained from WRF-27km
767 (Figure a-h: phase 1 to 8).

768 Figure 13. Spatial distributions of 10-day averaged daily surface rainfall anomalies in (a, e)
769 1-10 July, (d, f) 11-20 July, (c, g) 21-31 July and (d, h) 01-10 August, 2009 derived from TRMM
770 (left panels) and WRF-gray (right panels).

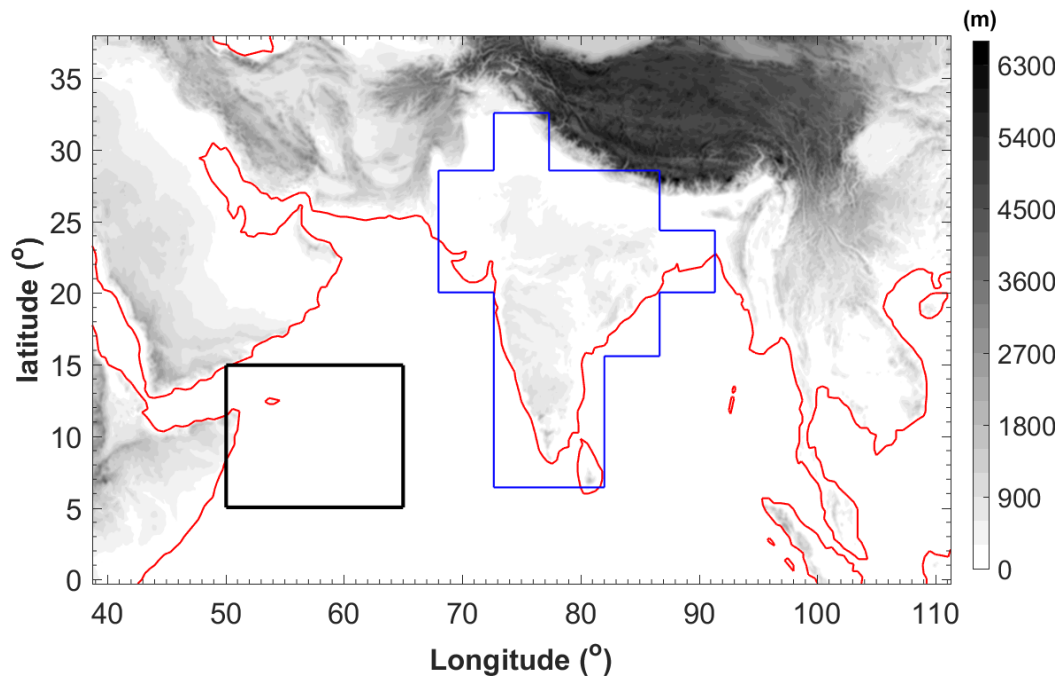
771 Figure 14. Phase composites of 850-hPa wind and precipitable water anomalies obtained from
772 ERA-Interim (Figure a-h: phase 1 to 8).

773 Figure 15. Phase composites of 850-hPa wind and precipitable water anomalies obtained from
774 WRF-gray (Figure a-h: phase 1 to 8).

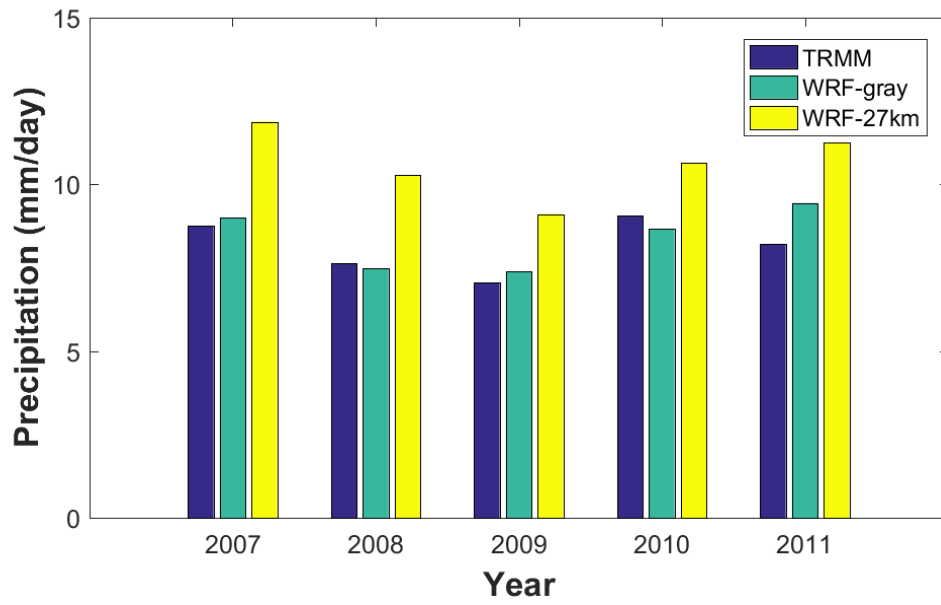
775 Figure 16. Temporal evolutions of (a) KELLF indices, (b) precipitable water averaged over
776 the Indian subcontinent and (c) daily surface precipitation averaged over the Indian subcontinent
777 in year 2007 from ERA-Interim/TRMM (black lines), WRF-gray simulation starts from April 20

778 (blue lines, control run), WRF-gray simulation starts from April 19 (red lines) and WRF-gray
779 simulation starts from April 21 (green lines).

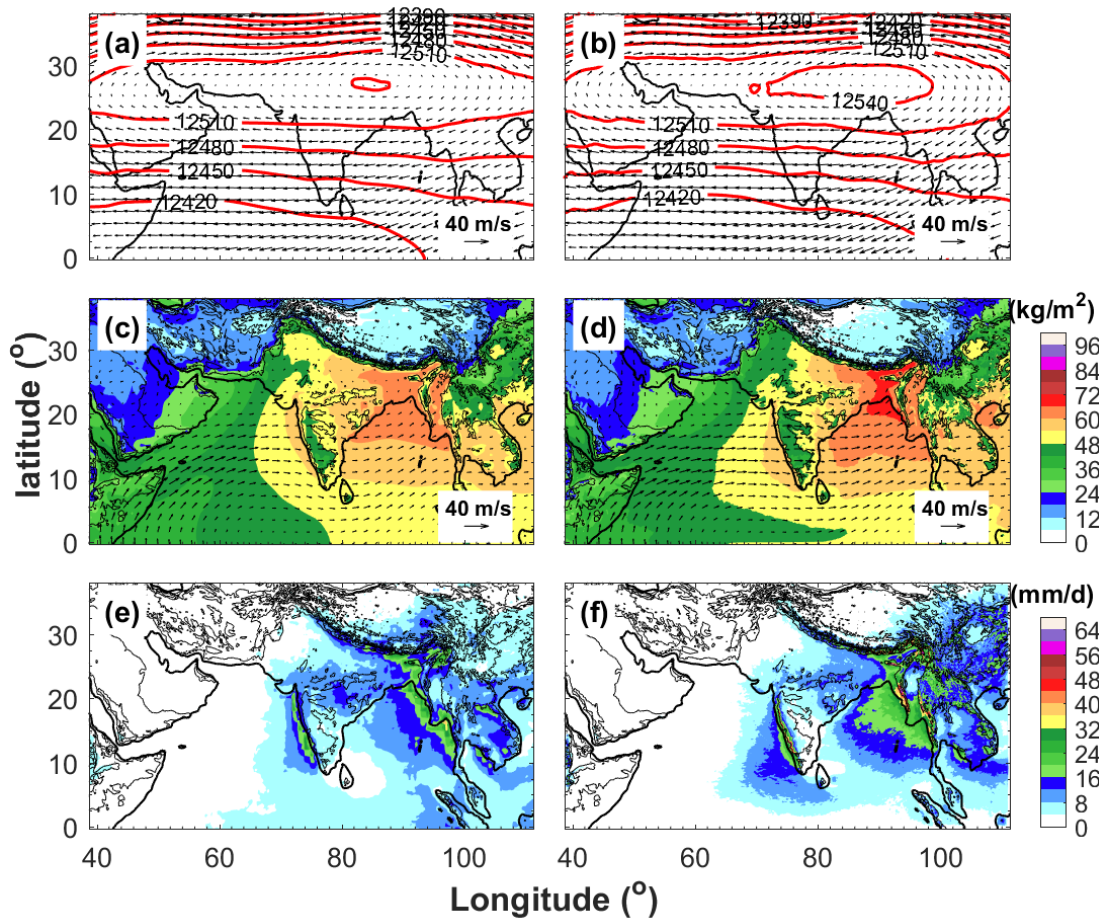
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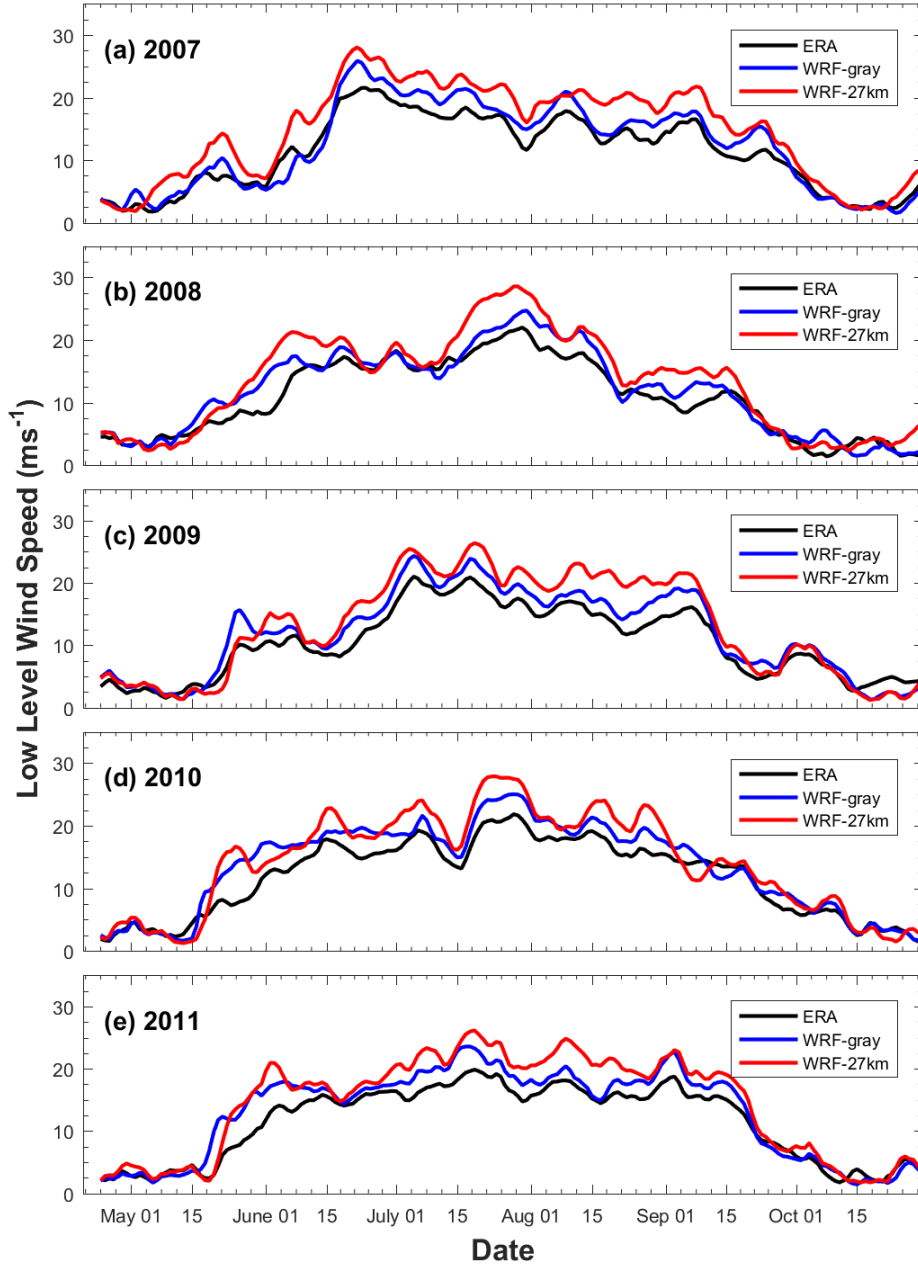
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 783 The black box shows the climatic zone used for the calculation of KELLF index and the blue polygon shows the
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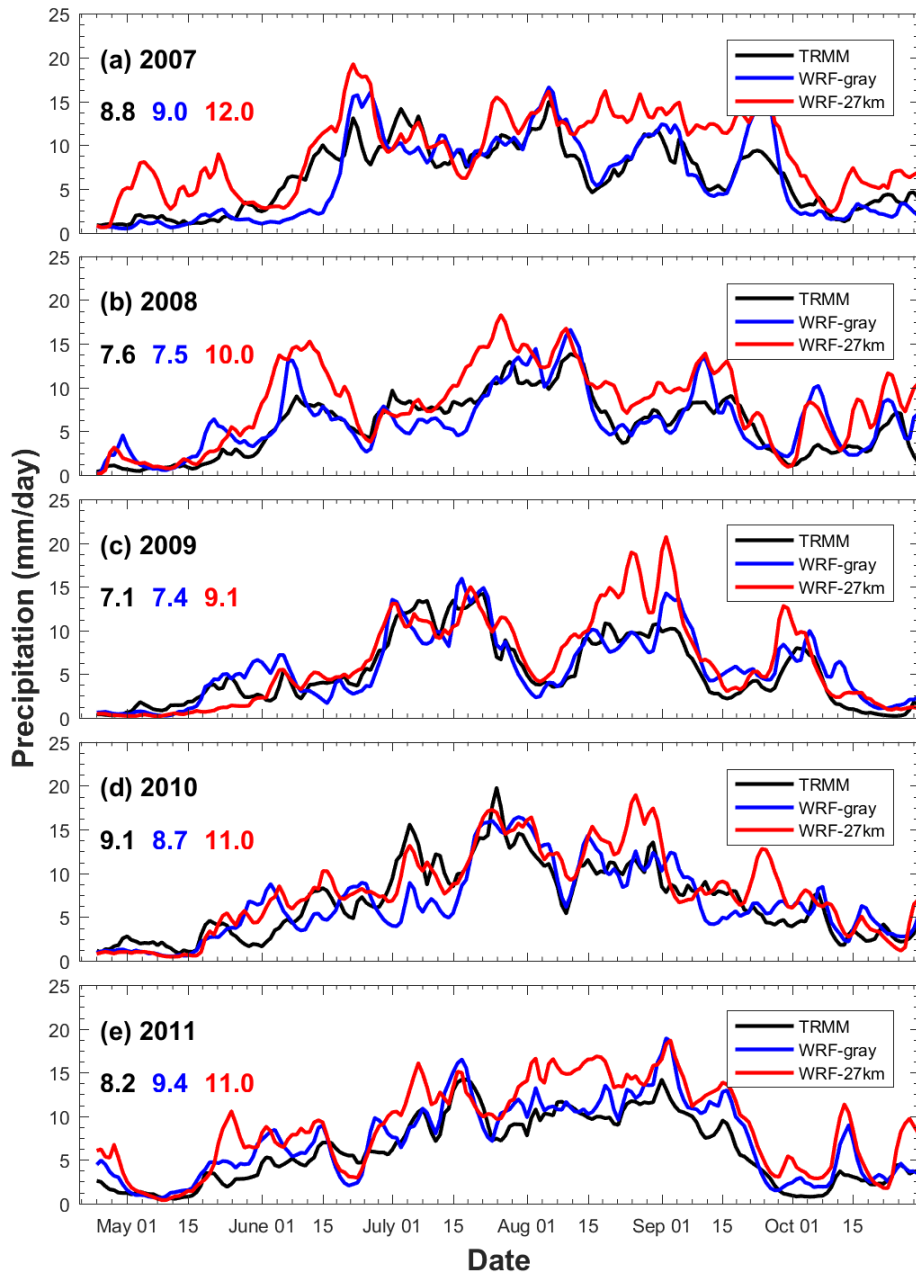
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 787 Figure 2. Averaged daily rainfall over the Indian subcontinent for JJAS in different years from TRMM
 788 observation (blue bars), WRF-gray (green bars) and WRF-27km (yellow bars).
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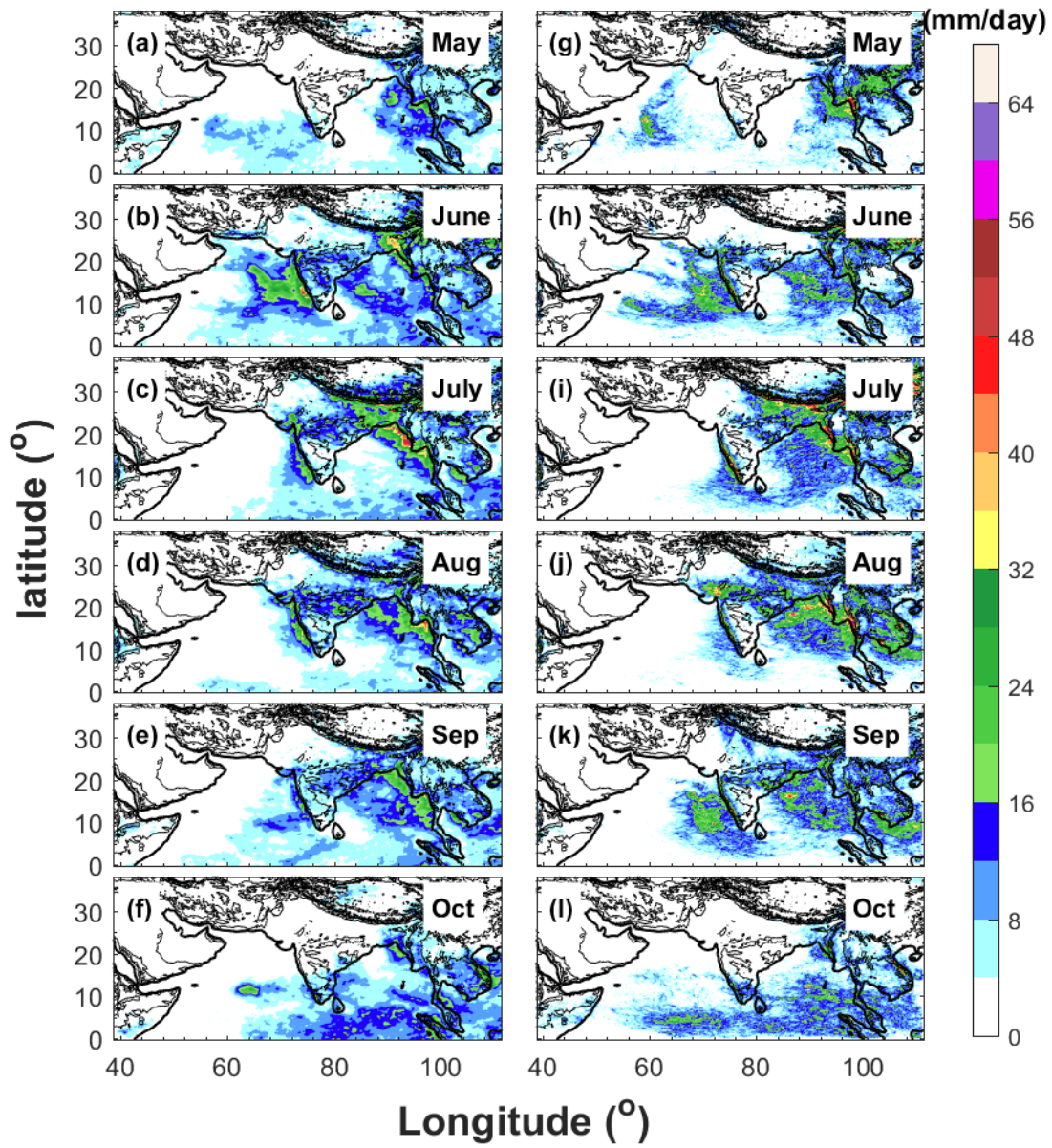
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 791 Figure 3. 5-yr mean monsoon (JJAS) winds (vectors) and geopotential heights (red contours) at 200-hPa from
 792 (a) ERA-Interim and (b) WRF-gray; winds (vectors) and precipitable water (color shadings) at 850-hPa from (c)
 793 ERA-Interim and (d) WRF-gray; daily surface precipitation (color shadings) from (e) TRMM and (f) WRF-gray.
 794 Topography is shown by the black contours starts at 500m with a 1000-m interval.
 795



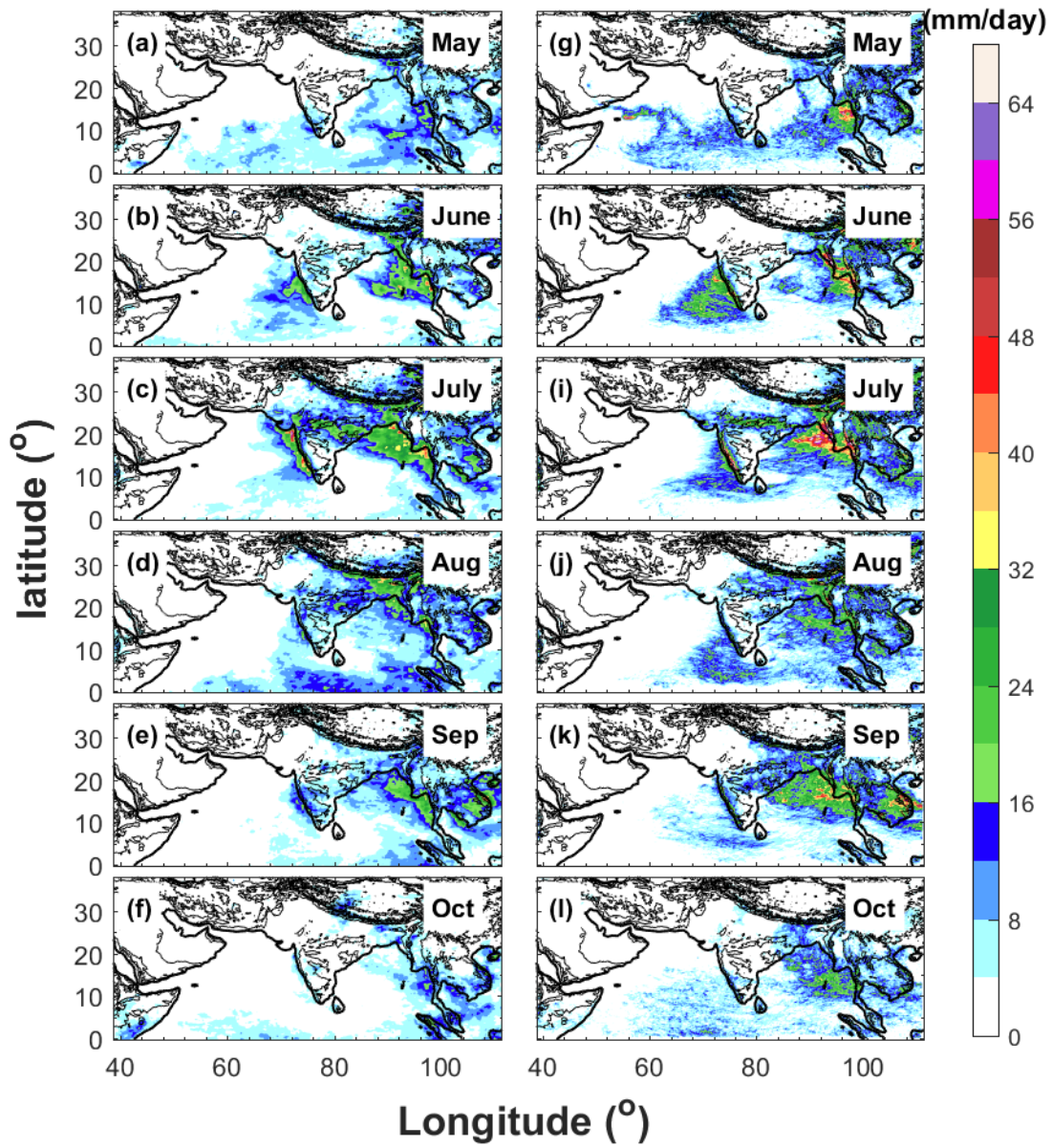
796
 797 Figure 4. Temporal evolution of KELLF indices in (a) 2007; (b) 2008; (c) 2009; (d) 2010 and (e) 2011 from
 798 ERA-Interim (black lines), WRF-gray (blue lines) and WRF-27km (red lines). A 5-day moving average is applied
 799 to the time series.
 800
 801



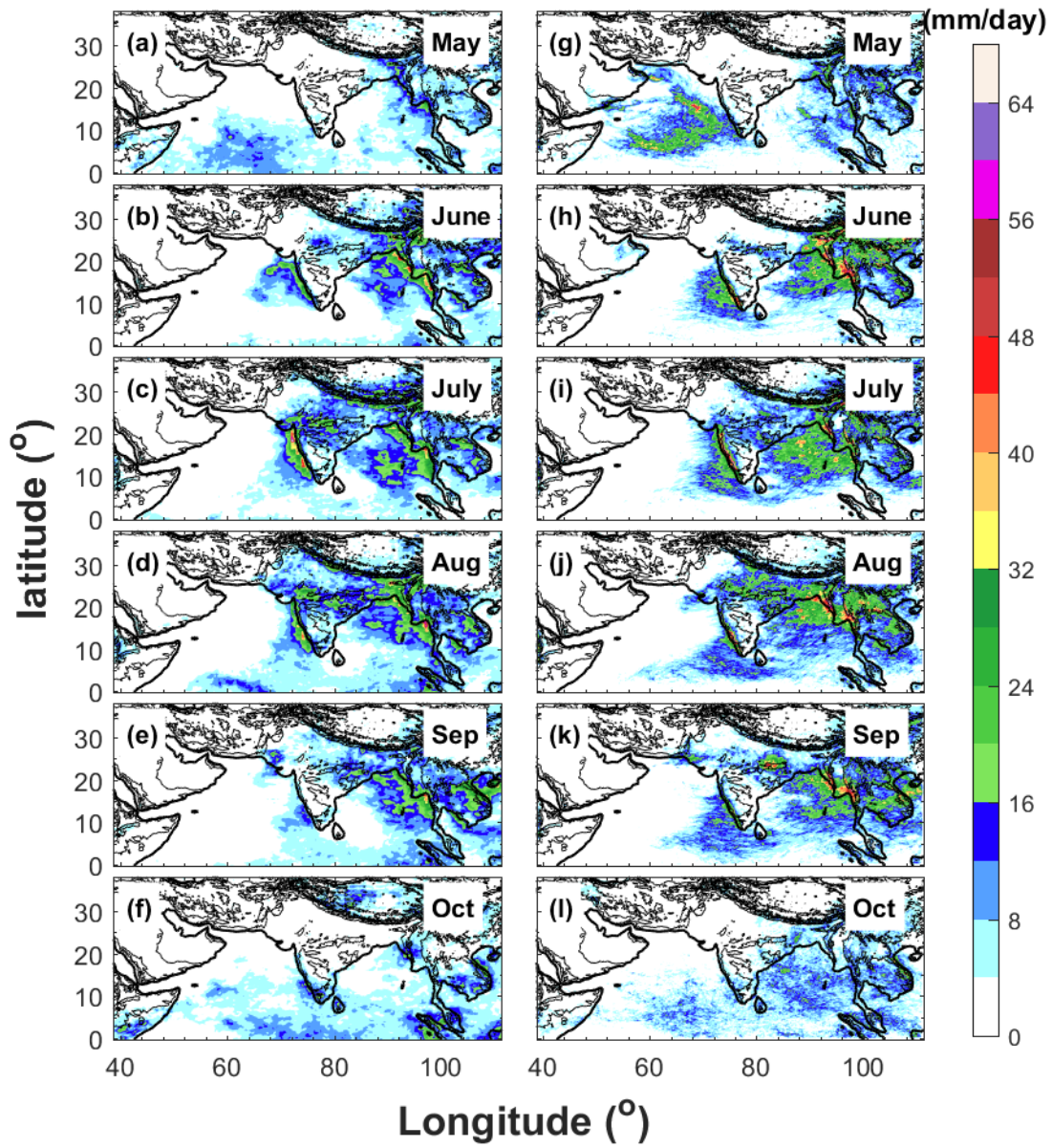
802
 803 Figure 5. Temporal evolution of daily surface rainfall averaged over the Indian subcontinent in (a) 2007; (b)
 804 2008; (c) 2009; (d) 2010 and (e) 2011 from TRMM (black lines), WRF-gray (blue lines) and WRF-27km (red
 805 lines). A 5-day moving average is applied to the time series. The seasonal mean (June to September) of daily
 806 surface rainfall amounts averaged over the Indian subcontinent from TRMM (black), WRF-gray (blue) and
 807 WRF-27km (red) are also shown in the figure.
 808



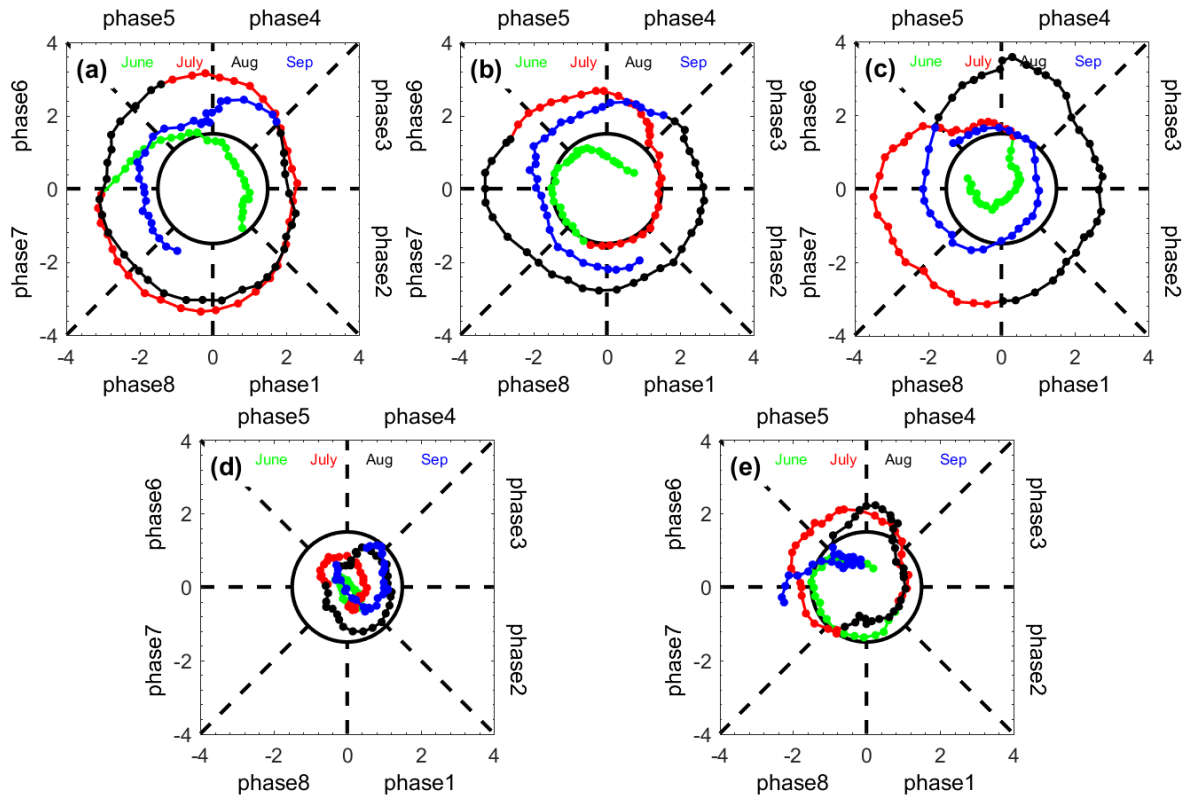
809
 810 Figure 6. Spatial distributions of averaged daily surface precipitation from May to October in year 2007
 811 derived from (a-f) TRMM and (g-l) WRF-gray.
 812



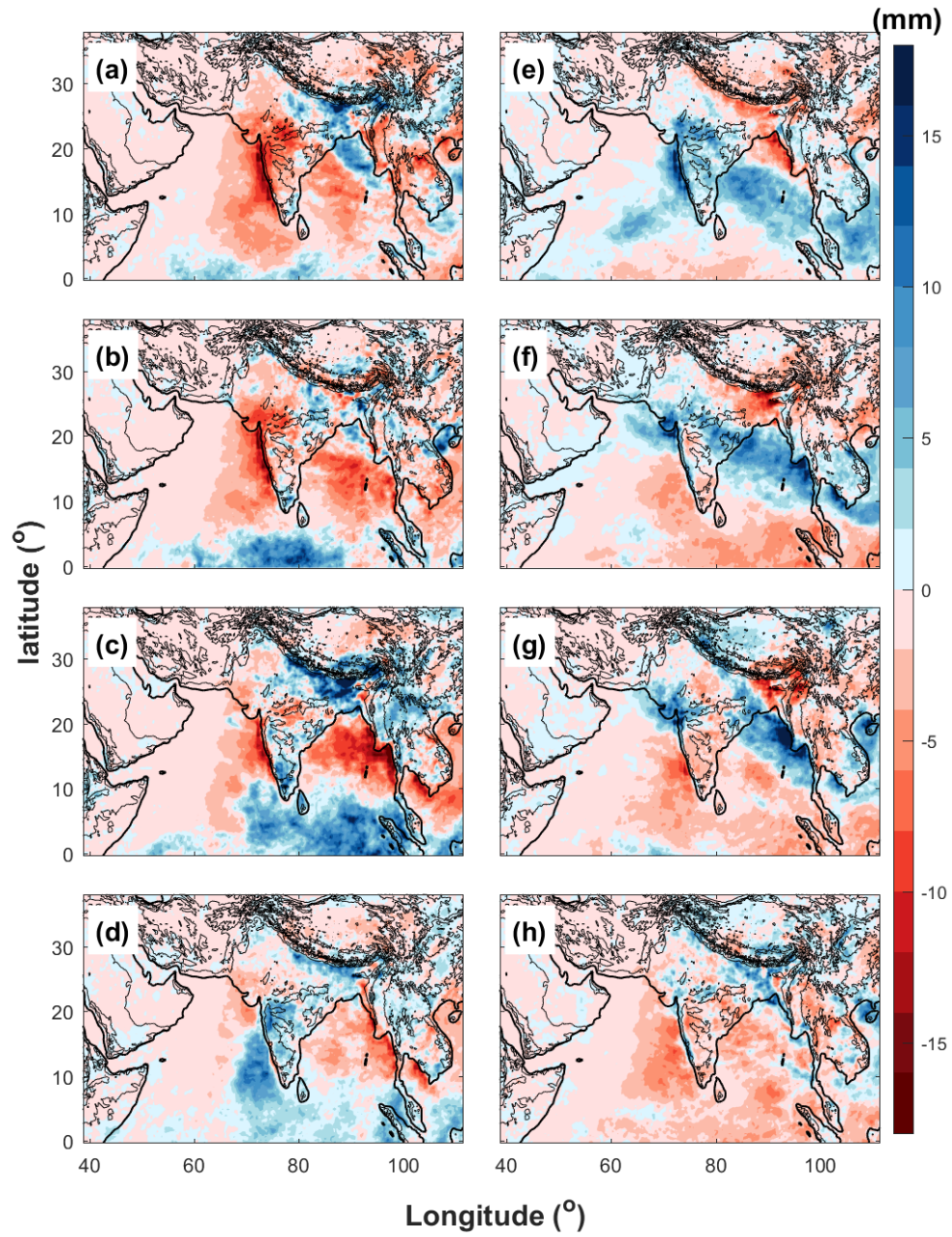
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 814 Figure 7. Spatial distributions of averaged daily surface precipitation from May to October in year 2009
 815 derived from (a-f) TRMM and (g-l) WRF-gray.
 816



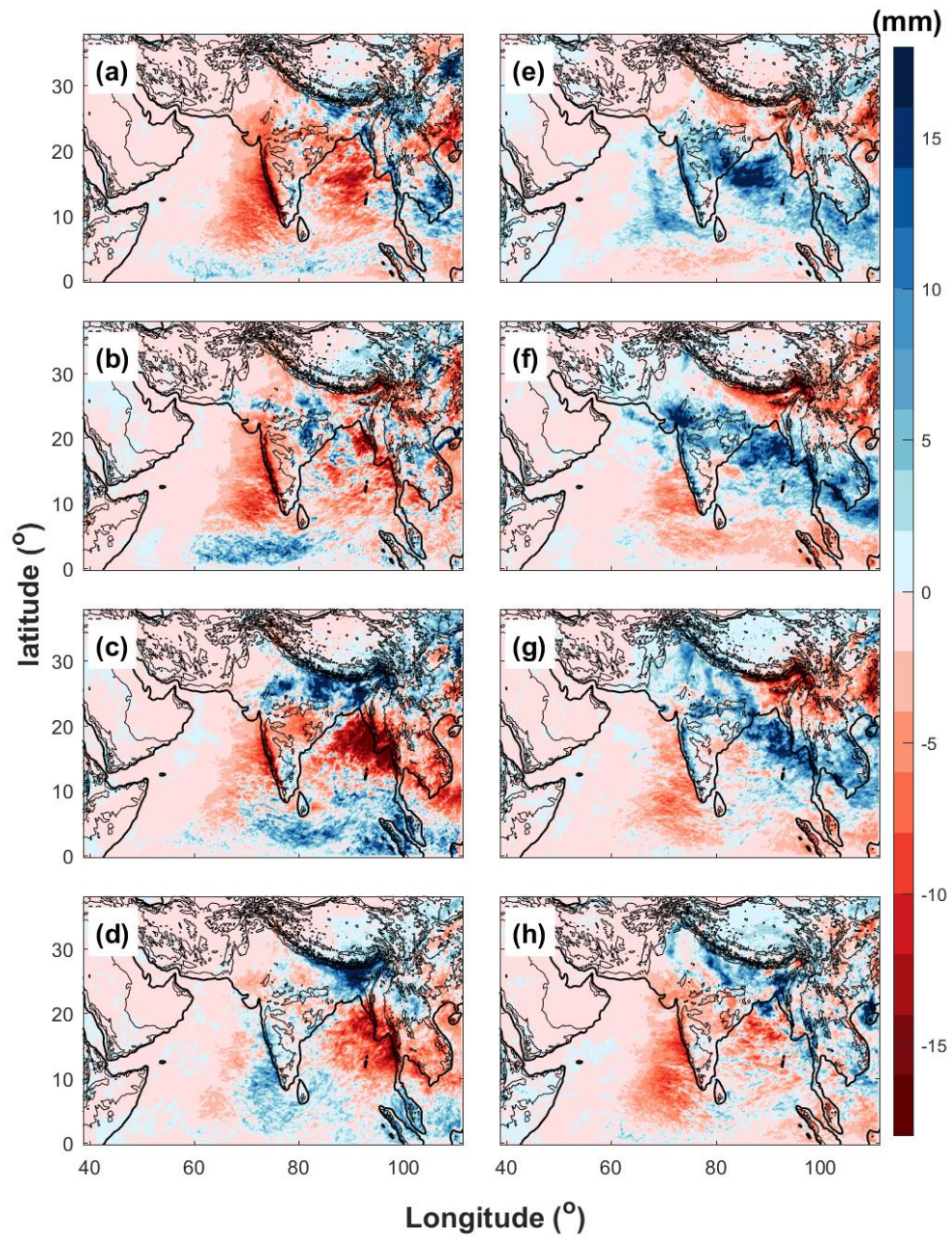
817
 818 Figure 8. Spatial distributions of averaged daily surface precipitation from May to October in year 2011
 819 derived from (a-f) TRMM and (g-l) WRF-gray.
 820



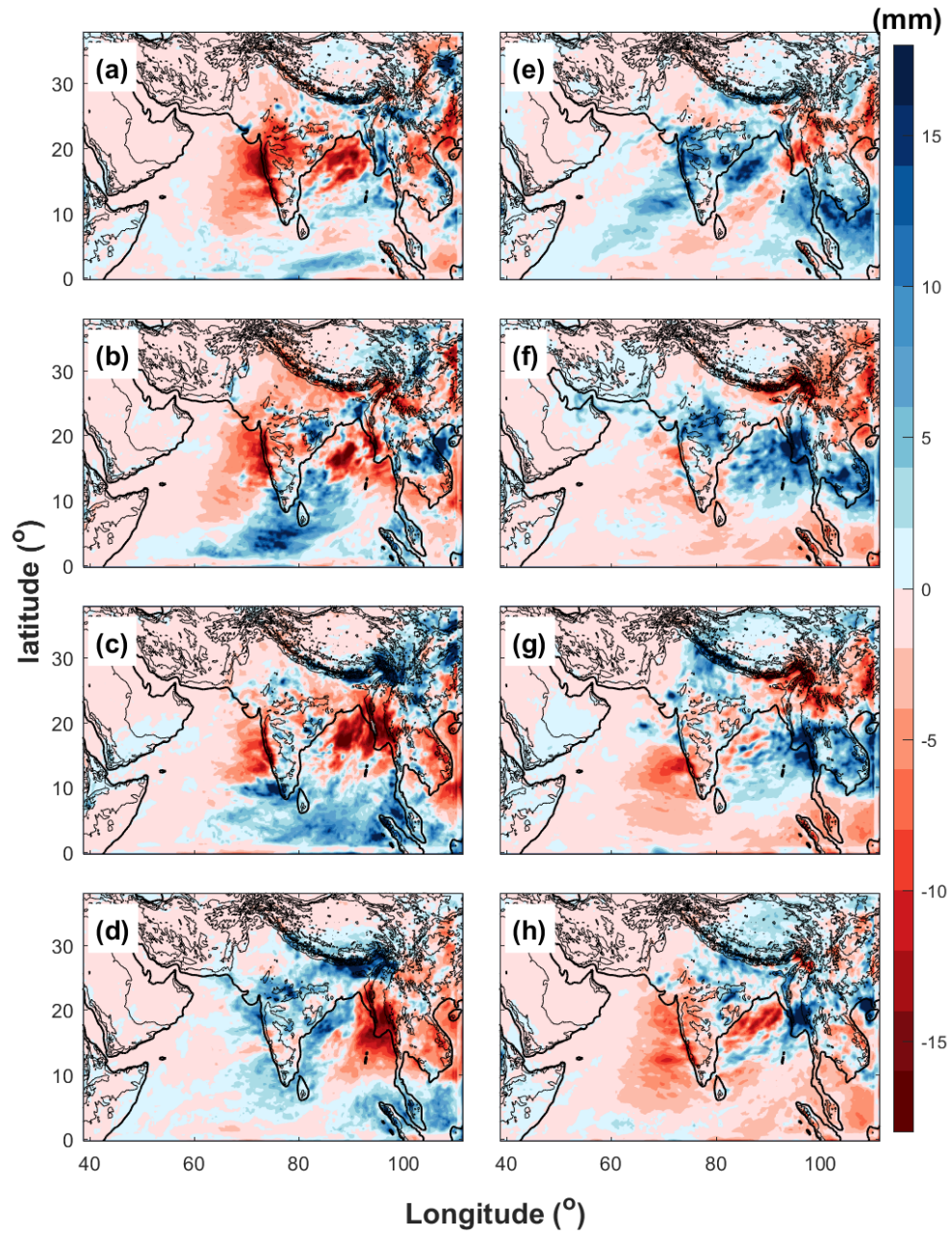
821
 822 Figure 9. 2D phase space diagrams for the NLSA MISO indices in years: (a) 2007, (b) 2008, (c) 2009, (d) 2010
 823 and (e) 2011. An anticlockwise propagation from the phase 1 represents MISO's northward propagation. The
 824 circle centered at the origin has radius equal to 1.5, which is the threshold for identification of significant
 825 MISO events.
 826



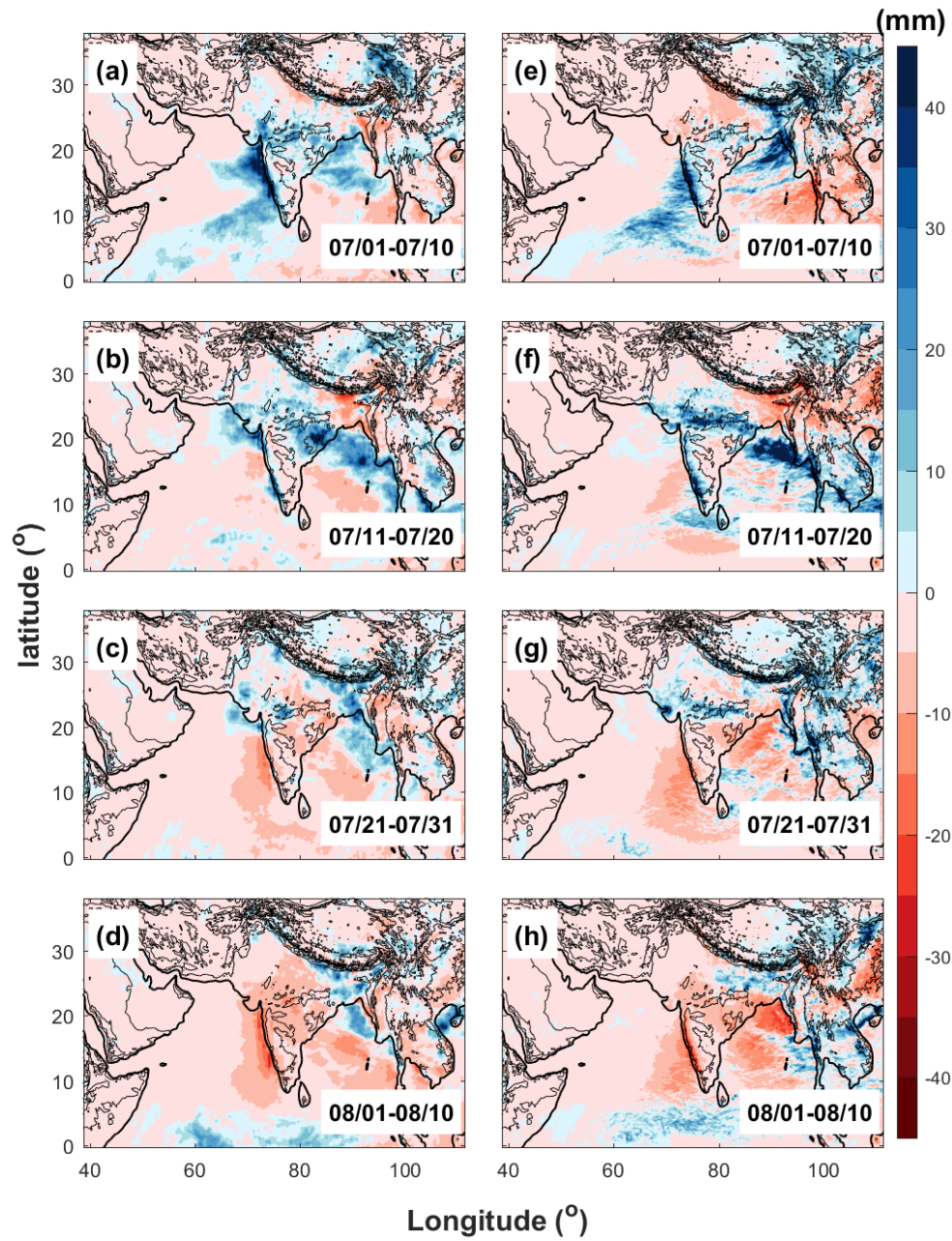
827
 828 Figure 10. Phase composites of daily surface rainfall anomalies obtained from TRMM (Figure a-h: phase 1 to
 829 8).
 830



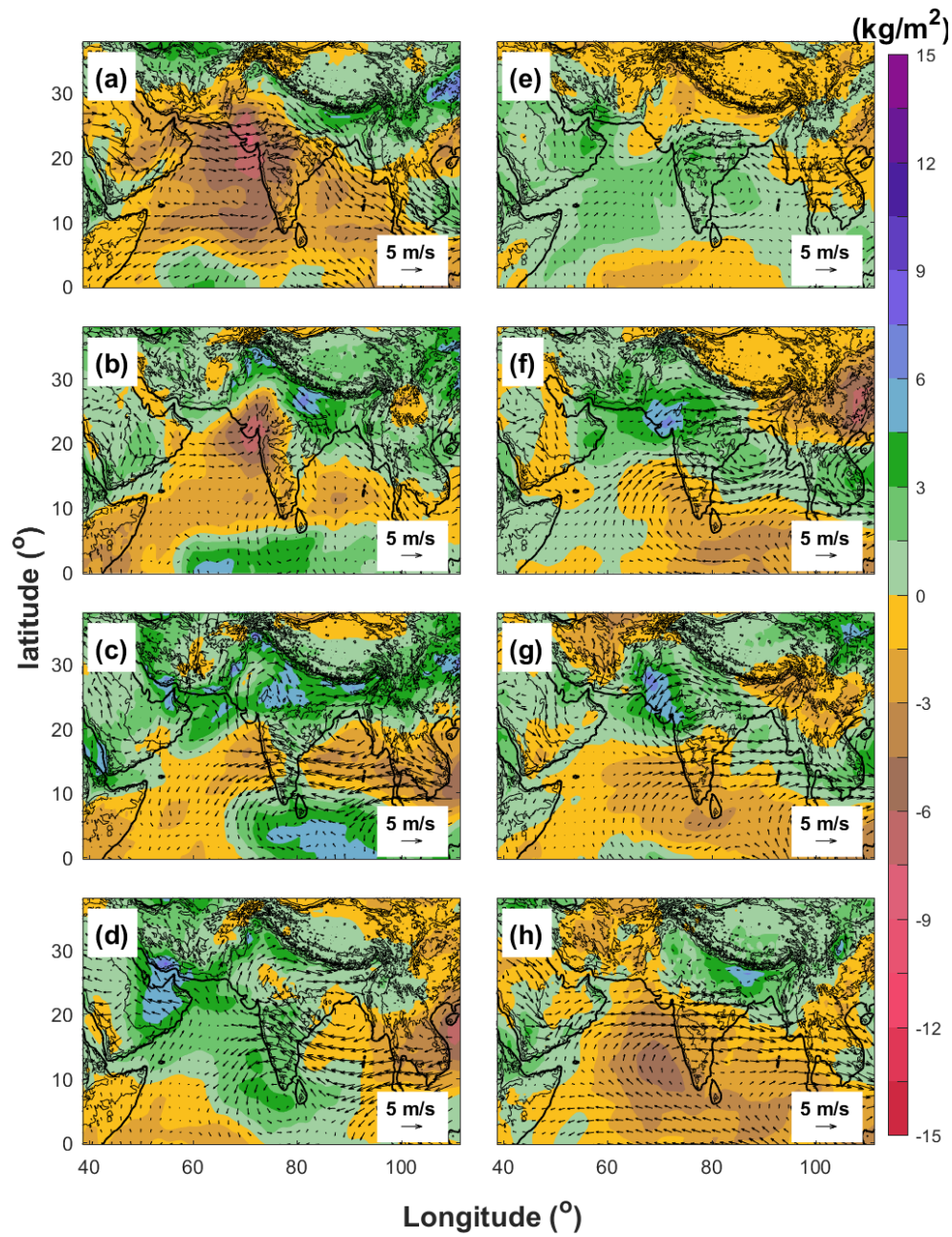
831
 832 Figure 11. Phase composites of daily surface rainfall anomalies obtained from WRF-gray (Figure a-h: phase 1
 833 to 8).
 834



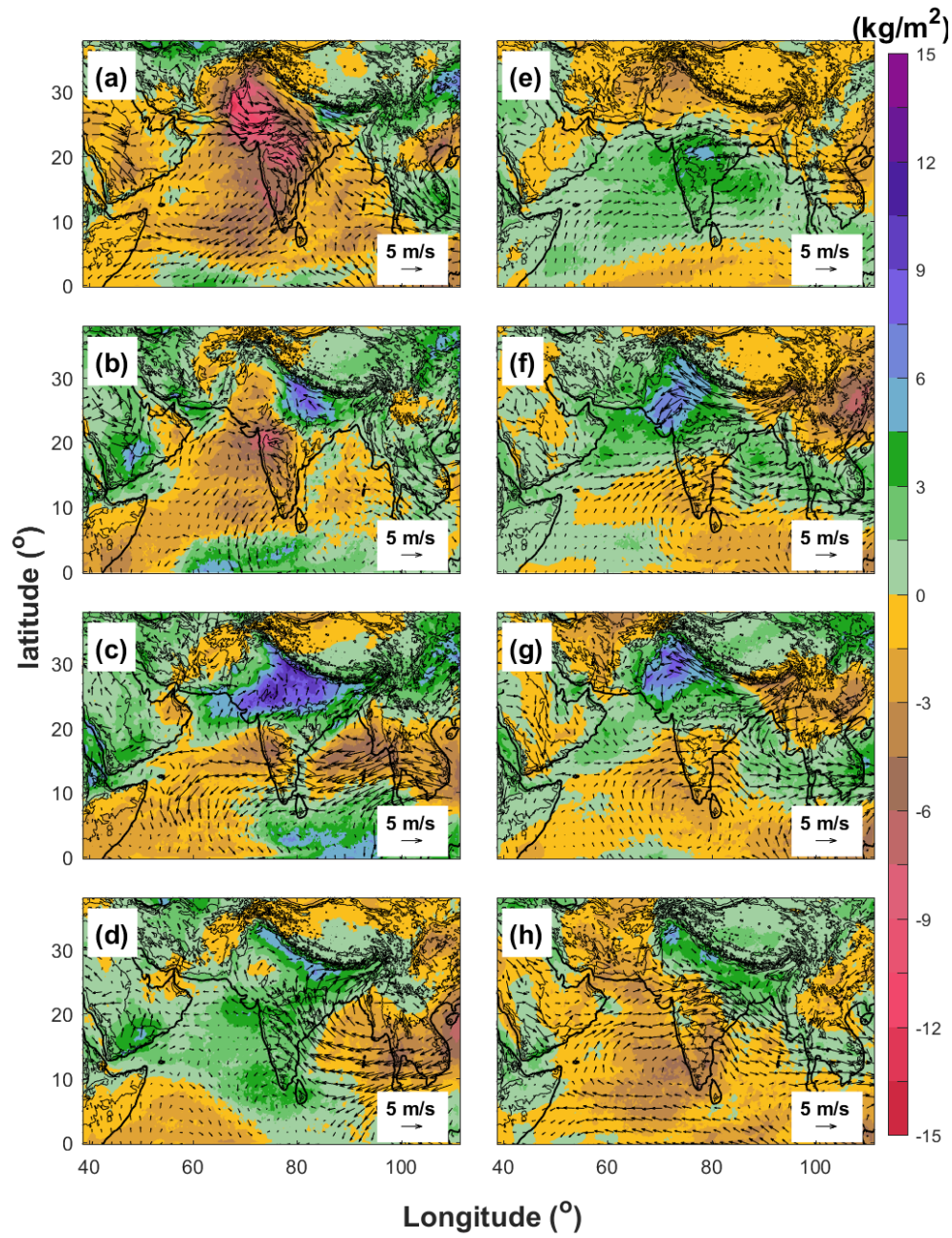
835
 836 Figure 12. Phase composites of daily surface rainfall anomalies obtained from WRF-27km (Figure a-h: phase 1
 837 to 8).
 838



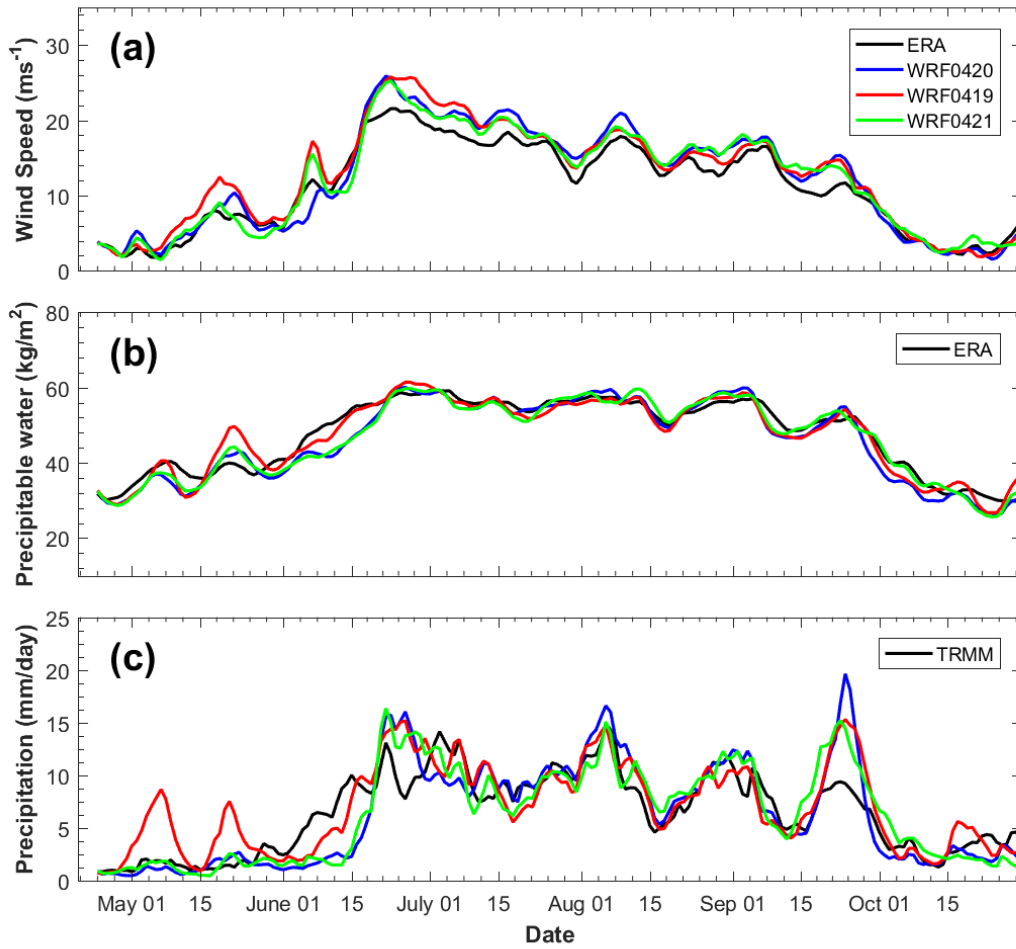
839
 840 Figure 13. Spatial distributions of 10-day averaged daily surface rainfall anomalies in (a, e) 1-10 July, (d, f)
 841 11-20 July, (c, g) 21-31 July and (d, h) 01-10 August, 2009 derived from TRMM (left panels) and WRF-gray
 842 (right panels).
 843



845
 846 Figure 14. Phase composites of 850-hPa wind and precipitable water anomalies obtained from ERA-Interim
 847 (Figure a-h: phase 1 to 8).
 848



849
 850 Figure 15. Phase composites of 850-hPa wind and precipitable water anomalies obtained from WRF-gray
 851 (Figure a-h: phase 1 to 8).
 852



853
 854 Figure 16. Temporal evolutions of (a) KELLF indices, (b) precipitable water averaged over the Indian
 855 subcontinent and (c) daily surface precipitation averaged over the Indian subcontinent in year 2007 from
 856 ERA-Interim/TRMM (black lines), WRF-gray simulation starts from April 20 (blue lines, control run), WRF-gray
 857 simulation starts from April 19 (red lines) and WRF-gray simulation starts from April 21 (green lines).
 858