

1 **Aerosol optical properties and radiative forcing based on synchronous**
2 **measurements of China Aerosol Remote Sensing Network (CARSNET)**
3 **over eastern China**

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32

33 **Abstract**

34 Variations in the optical properties of aerosols and their radiative forcing were investigated
35 based on long-term synchronous observations made at three-minute intervals from 2011 to
36 2015 over seven adjacent CARSNET (China Aerosol Remote Sensing NETwork) urban
37 (Hangzhou), suburban (Xiaoshan, Fuyang, LinAn, Tonglu, Jiande) and rural (ChunAn) stations
38 in the Yangtze River Delta region, eastern China. The fine-mode radii in the Yangtze River
39 Delta region were $\sim 0.2\text{--}0.3\ \mu\text{m}$ with a volume fraction of $0.10\text{--}0.12\ \mu\text{m}^3$ and the coarse-mode
40 radii were $\sim 2.0\ \mu\text{m}$ with a volume fraction close to $0.07\ \mu\text{m}^3$. The fine-mode aerosols were
41 obviously larger in June and September than in other months at almost the sites. The aerosol
42 optical depth (AOD at 440nm) varied from 0.68 to 0.76, with two peaks in June and September,
43 and decreased from the eastern coast to western inland areas. The fine mode fraction to the
44 total AOD was >0.90 and the extinction Angström exponent was >1.20 throughout the year at
45 all seven sites. The AOD at 500nm has also been studied because of the wavelength
46 dependent of optical properties to show the monthly and diurnal cycle. The MODIS/Terra C6
47 retrieval AOD values was generally more stable in the YRD region compared with the
48 MODIS/Aqua product with the two Deep Blue (10km) and Dark Target (3km and 10km)
49 methods against ground-based observations. The single-scattering albedo varied from 0.91 to
50 0.94, indicating that scattering aerosol particles are dominant in this region. Large imaginary
51 parts of the refractive index were seen in August at all urban, suburban and rural sites. The
52 absorption AOD was low in the winter. The absorption Angström exponent and the extinction
53 Angström exponent shows that the “mostly dust” category was very low in the suburban and
54 rural sites ($<0.01\%$) and also less in the urban site ($\sim 0.24\%$). The aerosols caused negative
55 radiative forcing both at the Earth’s surface and at the top of the atmosphere all year round in
56 the Yangtze River Delta region with the lower surface albedo in a unique geographical climate
57 condition of better vegetation in the YRD region than in north/northeast China.

58 **1. Introduction**

59 Aerosols have important effects on the Earth’s climate at both global and regional scales,
60 although there are still great uncertainties in assessing their impact (Hansen et al.2000;
61 Solomon et al., 2007; Schwartz and Andreae, 1996). Aerosols affect not only the radiative

62 balance of the Earth–atmosphere system by directly scattering and absorbing solar radiation
63 (Charlson et al., 1992; Ackerman and Toon, 1981), but also indirectly affect the climate through
64 aerosol – cloud interactions (Twomey et al., 1984; Albrecht et al., 1989; Li et al., 2016).

65 The optical properties of aerosols influence the aerosol radiative balance and can be
66 used to predict and assess global and regional changes in the Earth’s climate (Eck et al., 2005;
67 Myhre et al., 2009; IPCC, 2013; Panicker et al., 2013). Long-term, ground-based observations
68 are crucial to our understanding of the global and regional variations in the optical properties of
69 aerosols and their effects on the Earth’s climate (Holben et al., 2001; Kaufman et al., 2002;
70 Sanap and Pandithurai, 2014; Li et al., 2016). Ground-based monitoring networks have been
71 established worldwide—for instance, AERONET (Aerosol Robotic Network) (Holben et
72 al.,1998; Goloub et al., 2007), SKYNET (SKYrad Network) (Takamura et al., 2004), EARLINET
73 (European aerosol Lidar network) (Pappalardo et al.,2014) and the GAW-PFR Network
74 (Global Atmosphere Watch Programmer-Precision Filter Radiometers) (Wehrli, 2002; Estelles
75 et al., 2012).The above networks exclude EARLINET include several automated sites in China.
76 CARSNET (the China Aerosol Remote Sensing NETwork) (Che et al., 2009a, 2015b) and
77 CSHNET (the Chinese Sun Hazemeter Network) were established to obtain data on aerosol
78 optical characteristics in China (Xin et al., 2007).

79 Most of the ground-based studies of the optical properties of aerosols in China have been
80 concentrated in urban regions undergoing rapid economic development, which have high
81 aerosol loadings and serious environmental problems (Cheng et al., 2015; Pan et al., 2010;
82 Xia et al., 2013; Wang et al., 2015; Che et al., 2015a). Analyses of the aerosol optical depth
83 (AOD), the types of aerosols and the classification of ambient aerosol populations based on
84 their size and absorption properties(Giles et al., 2011) are needed to understand their effects
85 on the Earth’s climate and environment (Che et al., 2009b; Wang et al., 2010; Zhu et al.,
86 2014).

87 The Yangtze River Delta (YRD) region in eastern China has undergone rapid economic
88 growth and has high emissions of aerosols (Fu et al., 2008; Zhang et al., 2009).There have
89 been many studies of the optical properties of aerosols in eastern China and these are

90 important in our understanding of both the local air quality and regional climate change (Duan
91 and Mao, 2007; Pan et al., 2010; Ding et al., 2016). Basic investigations of the variation in the
92 optical characteristics of aerosols over the YRD region have been carried out at Nanjing, Hefei,
93 Shanghai, Shouxian and Taihu (Zhuang et al. 2014; Li et al., 2015; Wang et al., 2015; He et al.,
94 2012; Lee et al., 2010; Cheng et al., 2015; Xia et al., 2007). These studies in the YRD region
95 have mostly been single-site and/or short-period investigations. The study sites are ~100 km
96 apart from each other, which makes high spatial resolution satellite and modeling validations
97 difficult. Thus there is still a lack of long-term, continuous and synchronous observations of the
98 optical characteristics of aerosols, especially over adjacent urban, suburban and rural areas in
99 the YRD region.

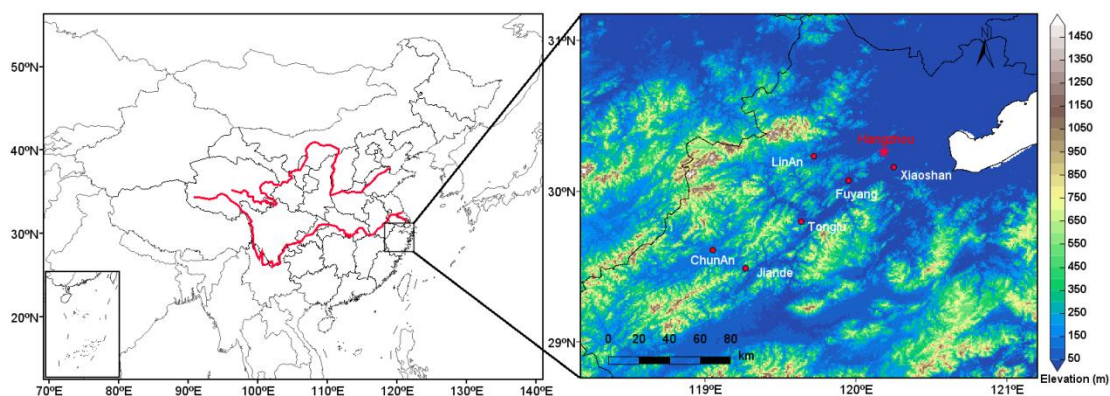
100 High-frequency ground-based observations of the variations in the optical characteristics
101 of aerosols are necessary to our understanding of the processes involved in air pollution (e.g.
102 the source, transport and diurnal variations of the pollution) and their effect on the regional
103 climate. Ground-based observations are also important in the validation and improvement of
104 satellite retrieval data (Holben et al., 2017; Xie et al., 2011). A high density of ground-based
105 sun-and sky-scanning spectral radiometers within a local or meso-scale region is required to
106 capture small-scale variations in aerosols for the accurate validation of satellite observations
107 and to compare in situ versus remote sensing observations (Xiao et al., 2016; Holben et al.,
108 2017). The MODIS (Moderate Resolution Imaging Spectroradiometer) retrieval AOD has a
109 high accuracy with a wide spectral coverage (Tanré et al., 1997; Kaufman, et al. 1997) and the
110 algorithm has been validated and improved based on AERONET data (Chu et al., 2002;
111 Ichoku et al., 2002; Remer et al., 2005; Levy et al., 2010). Levy et al. (2013) refined the MODIS
112 Collection 6 (C6) aerosol retrieval process to provide more accurate AOD retrievals. Some
113 validations of satellite aerosol retrievals have been carried out in China with ground-based
114 observations from CSHNET (Li, et al., 2007; Wang, et al., 2007; Xin, et al., 2007) and
115 CARSNET (Che et al., 2009a, 2011a; Tao et al., 2015).

116 We investigated the variation in the optical properties of aerosols and aerosol radiative
117 forcing (ARF) using three-minute intervals of sunphotometer measurements from 2011 to 2015

118 at seven adjacent CARSNET (~10–40 km) urban, suburban and rural sites over eastern China.
119 The aims of this study were: (1) to investigate the synchronous variations and differences in
120 the optical properties of aerosols over urban, suburban and rural areas of the YRD megacity,
121 eastern China; (2) to analyze the type and dominant distribution pattern of aerosols in the YRD
122 via the extinction and absorption properties of aerosols; (3) to understand the difference in the
123 ARF calculated from ground-based measurements of the optical properties of aerosols over
124 urban, suburban and rural areas in eastern China; and (4) to evaluate the MODIS AOD
125 retrieval data using the CARSNET AOD for the YRD. The results of this study will help the
126 satellite and modeling communities to improve future aerosol retrieval data and simulations.

127 2. Site descriptions, measurements and data

128 Fig. 1 shows the geographical locations of the seven CARSNET sites in the YRD; these
129 locations are described in Table 1.



131 Fig.1. Geographical location and elevation map for the seven CARSNET sites in the YRD.

132 The rural site of ChunAn can be regarded as a representative clean site less affected by
133 local and regional pollution. The site has a small population and a good ecological
134 environment, although there is some agricultural activity and burning of biomass from crop
135 residues. Hangzhou is a densely populated urban site with a large volume of vehicular traffic
136 and is therefore more affected by anthropogenic activity. LinAn, Fuyang, Jiande, Xiaoshan and
137 Tonglu are suburban sites and are all affected by both anthropogenic activity and pollution
138 from industrial and agricultural production.

139 CE-318 sun photometers (Cimel Electronique, Paris, France) were installed at these
140 seven sites in the YRD from 2011 to 2015. The instruments were standardized and calibrated
141 annually according to the protocols reported by Che et al. (2009a). The instruments in this
142 study were made inter-comparison calibration by the CARSNET reference instruments, which
143 were periodically calibrated at Izaña in Spain. The cloud-screened AOD at different
144 wavelengths was obtained using ASTPwin software (Cimel Electronique) (Smirnov et al., 2000).
145 Instantaneous AOD measurements more than ten times at each day were selected for daily
146 average calculation and statistical analysis to increase the representability of the aerosol
147 optical characteristics (Che et al., 2015). The large AOD were checked by
148 MODerate-resolution Imaging Spectroradiometer (MODIS) images
149 (<http://modis-atmos.gsfc.nasa.gov/IMAGES/>) to further determine the cloud contamination.
150 The corresponding values of Angström exponent (α) were calculated by instantaneous AOD
151 values at 440 and 870 nm. The aerosol microphysical properties of the volume size distribution
152 and aerosol optical properties—including the single-scattering albedo (SSA), the complex
153 refractive index, the absorption AOD (AAOD), the absorption Angström exponent (AAE) and
154 the fraction of spherical particles—were retrieved from the almucantar irradiance
155 measurements according to the methods of Dubovik and King (2000) and Dubovik et al. (2002,
156 2006). The inversion algorithm is under an assumption of homogeneous nonsphericity aerosol
157 particles distribution according to Dubovik (2006) and has been applied in many different types
158 of areas world widely. The accuracies of SSA is ~ 0.03 , and the errors are about 30%–50%/0.04
159 for the imaginary/real part of the complex refractive index under the conditions of AOD at
160 440nm larger than 0.4 with the solar zenith angle more than 50°. The SSA was retrieved using
161 only $AOD_{440nm} > 0.40$ measurements to avoid the large uncertainties inherent in a low AOD
162 (Dubovik et al. 2002, 2006). Real and imaginary parts of refractive index at 4 wavelengths (440,
163 675, 870, and 1020 nm) were retrieved from sky radiance and were confined in the range of
164 1.33–1.60 and 0.0005–0.50, respectively (Dubovik and King, 2000; Che et al., 2015b). Also
165 retrieved were aerosol volumes of 22 size bins within the 0.05 - 15 μm radius range. The
166 EAOD in this study has been defined as extinction aerosol optical depth, and the AAOD and
167 the AAE were calculated as described in equations (1) and (2):

168
$$AAOD(\lambda) = [1 - SSA(\lambda)] \times EAOD(\lambda) \quad (1)$$

169
$$AAE = -d\ln[AAOD(\lambda)]/d\ln(\lambda) \quad (2)$$

170 The ARF (aerosol radiative forcing) data were calculated by the radiative transfer module
171 used by the AERONET inversion (García et al., 2012) under the assumption of cloud-free
172 consideration. In this code, the aerosol vertical properties have been considered into a
173 homogeneous atmosphere layers because of the weak dependent of ground radiances on the
174 whole atmospheric column with minor uncertainties (Dubovik et al., 2000). The fluxes from
175 0.20 to 4.0 μ m were calculated according to the radiative transfer model GAME (Global
176 Atmospheric ModEl) (Dubuisson et al., 1996, 2006; Roger et al., 2006). While the broadband
177 radiation was calculated based on the aerosol optical depth, single scattering albedo and
178 asymmetry factor based on those properties at four distinct wavelengths (440, 670, 870, 1020)
179 which were linearly interpolated and extrapolated from the retrieval of the sun/sky-radiometer
180 measurements. The uncertainties have been found to about 30% including the influence of
181 spectral and solar zenith angle in the aerosol radiative effect (Myhre et al., 2003; Zhou et al.,
182 2005). The size distribution, complex refractive index, and spherical particles fraction has been
183 retrieved from the almucantar plane in the measurements. The SA (surface albedo) is obtained
184 from the MODIS albedo product (MCD43C3) with the interpolation value of 440, 670, 870, and
185 1020 nm. The water vapor at 940 nm has been retrieved by the sun photometer. The ozone
186 content was obtained from NASA Total Ozone Mapping Spectrometer measurements from
187 1978 to 2004. And other atmospheric gaseous data came from the US standard 1976
188 atmosphere model. In this study, the two parameters of ARF at the surface (ARF-BOA) and at
189 the top of the atmosphere (ARF-TOA) have been calculated to describe the aerosol direct
190 radiation effect to account for the changes of the solar radiation by calculating the difference
191 energy between the aerosols presentation and absentation.

192 The MODIS C6 aerosol optical thickness products refined by Levy et al. (2013) were
193 evaluated against our ground-based observations by the Deep Blue (at 10km) and Dark
194 Target (at 3km and 10km) methods separately. This version of MODIS includes some
195 important changes from earlier versions—such as the central wavelength assumptions,

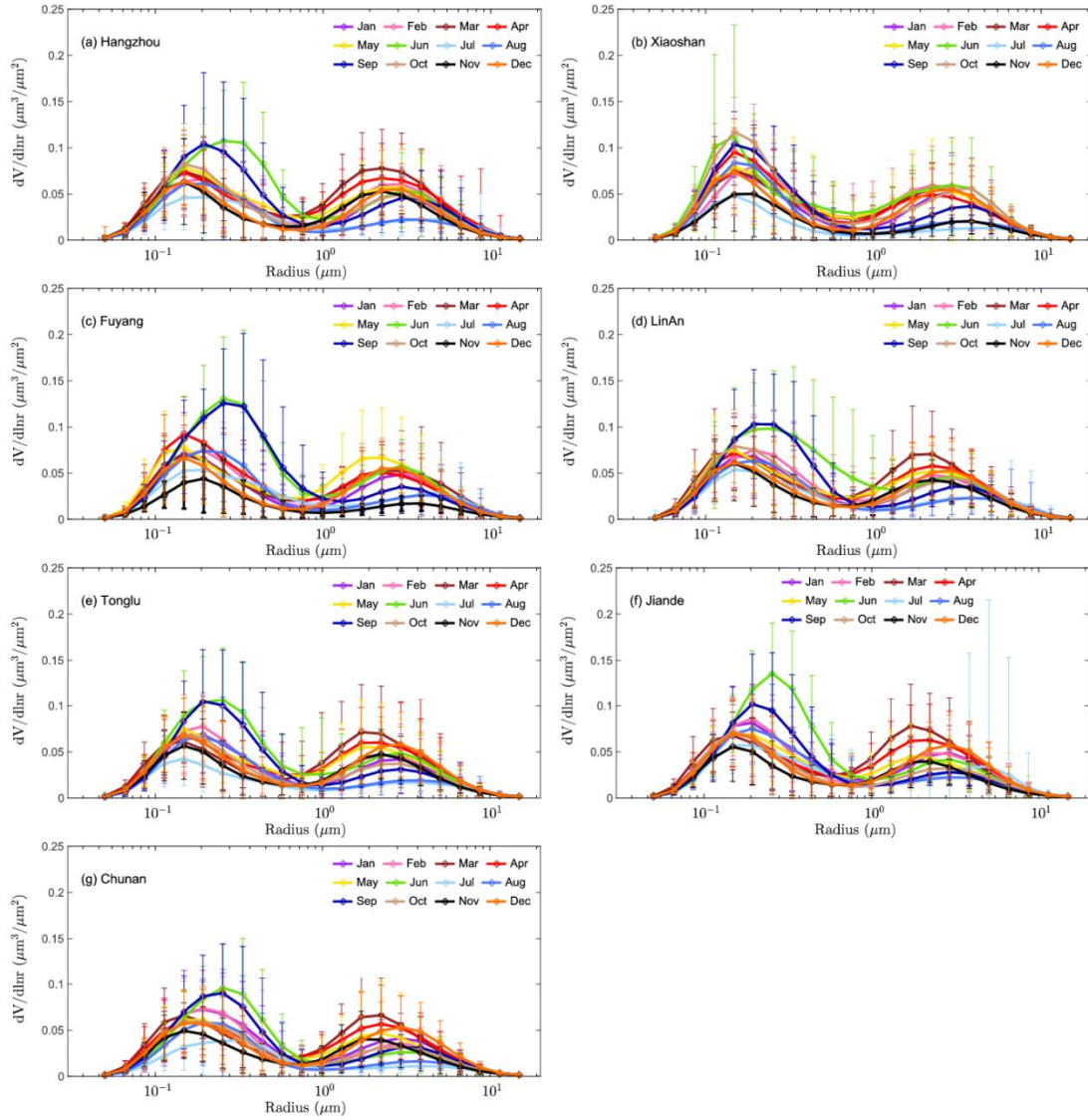
196 Rayleigh scattering and the gas absorption performance (Levy et al., 2013)—and
197 improvements in the radiometric calibration (Lyapustin et al., 2014). All cloud- and snow-free
198 land surfaces have been expanded in the MODIS C6 aerosol products (Hsu et al., 2013). The
199 AOD averaged data from Terra-MODIS and Aqua-MODIS were validated by matching the
200 averaged CARSNET AODs within 30 minutes of the MODIS overpass within the 5×5 pixels
201 surrounding the CARSNET site (Tao et al., 2015). The AOD at 550 nm was interpolated
202 between two wavelengths of the ground-based AOD measurements at 440 and 675 nm.

203 **3. Results and discussion**

204 **3.1 Aerosol microphysical properties of radius and volume size distributions**

205 Fig.2 shows the monthly aerosol size distribution ($dV/d\ln r$) in the YRD for all sites. The
206 volumes of fine-mode aerosols were obviously higher than those of coarse-mode aerosols
207 over all sites. The fine-mode radii were $\sim 0.2\text{--}0.3\ \mu\text{m}$ in the YRD with a volume fraction of
208 $0.10\text{--}0.12\ \mu\text{m}^3$ and the coarse-mode radii were $\sim 2.0\ \mu\text{m}$ with a volume fraction close to 0.07
209 μm^3 . The amount of fine-mode aerosols was higher in June and September than in other
210 months at almost sites, except for Xiaoshan. This could be caused by aerosol humidification
211 (Eck et al., 2012; Li et al., 2010, 2014; Huang et al., 2016). This phenomenon is also found
212 over Beijing and Shenyang in north/northeast China, suggesting that hygroscopic growth
213 occurs over many regions of China (Li et al., 2011; Che et al., 2015c).

214 The coarse-mode radius in spring at all sites was smaller than in other cities in north and
215 northeast China affected by frequent dust transport events in spring (Kong et al., 2011; Zhao et
216 al., 2015). The coarse-mode particles showed a larger effective radius at all seven urban,
217 suburban and rural sites in the summer, which may due to the adhesion of new particles onto
218 larger particles (such as fly ash).



219

220 Fig.2.Variation in the annual volume size distribution over (a) Hangzhou, (b) Xiaoshan, (c)
 221 Fuyang, (d) LinAn, (e) Tonglu, (f) Jiande and (g) ChunAn.

222 **3.2 Aerosol optical properties of Aerosol optical depth and Angström exponent**

223 The annual mean of AOD at 440nm over the seven urban, suburban and rural sites in this
 224 study ranges from 0.68 to 0.76 (Table 1). Smaller observation sample has been found in
 225 Xiaoshan and Fuyang with 180 and 217 available observation days, respectively. The number
 226 of 180 observation days in Xiaoshan is less than half of the year may have less representative
 227 and need further data accumulation, while the observation days of 217 in Fuyang was more
 228 than half of the year may not affect the comparability between the other sites. The annual

229 values of the AOD_{440nm} at Hangzhou, Xiaoshan, Fuyang, LinAn, Tonglu, Jiande and ChunAn
 230 were about 0.76±0.42, 0.76±0.43, 0.76±0.45, 0.73±0.44, 0.71±0.41, 0.73±0.40 and 0.68±0.38,
 231 respectively, which suggests that column aerosol loading is at a high level at all seven urban,
 232 suburban and rural sites in the YRD on the regional rather than the local scale. The AOD_{440nm}
 233 decreased from the eastern coast to the inland areas towards the west (from ~0.76±0.42 at
 234 Hangzhou to ~0.68±0.38 at ChunAn) due to the high aerosol loading from economic
 235 development and anthropogenic influences. The annual AOD_{440nm} shows that the aerosol
 236 loading has similar level in Hangzhou, Xiaoshan and Fuyang, and with the 4%-10% decrease
 237 in LinAn, Tonglu, Jiande and ChunAn, respectively. The AOD_{440nm} at the urban site of
 238 Hangzhou was higher as a result of the more industrial activity and high resident density in the
 239 eastern part metropolis region resulting in larger aerosol emissions compared with the other
 240 suburban and rural sites.

241 Table1. Geographical location and annual mean optical parameters of aerosols at the seven
 242 observation sites in the YRD.

	Hangzhou	Xiaoshan	Fuyang	LinAn	Tonglu	Jiande	ChunAn
Site type	Urban	Suburban	Suburban	Suburban	Suburban	Suburban	Rural
Longitude (°E)	120.19	120.25	119.95	119.72	119.64	119.27	119.05
Latitude (°N)	30.26	30.16	30.07	30.23	29.80	29.49	29.61
Altitude (m)	41.9	14.0	17.0	139	46.1	88.9	171.4
^a N _{day}	485	180	217	562	498	480	439
^b N _{inst.}	2052	752	906	2410	2255	1952	1731
^c AOD _{500nm}	0.68±0.46	0.67±0.43	0.66±0.43	0.60±0.42	0.60±0.41	0.63±0.38	0.53±0.35
^d AOD _{440nm}	0.76±0.42	0.76±0.43	0.76±0.45	0.73±0.44	0.71±0.41	0.73±0.40	0.68±0.38
^d AOD _{fine(440nm)}	0.68±0.42	0.69±0.41	0.69±0.44	0.66±0.43	0.64±0.41	0.66±0.40	0.61±0.38
^d AOD _{coarse(440nm)}	0.08±0.06	0.07±0.06	0.07±0.06	0.07±0.07	0.07±0.06	0.07±0.07	0.06±0.05
^e EAE	1.29±0.26	1.37±0.24	1.32±0.24	1.29±0.27	1.30±0.26	1.32±0.28	1.22±0.25
^d SSA _{440nm}	0.91±0.06	0.93±0.04	0.94±0.04	0.93±0.05	0.92±0.04	0.92±0.05	0.94±0.03
^f SSA _{670nm}	0.92±0.06	0.91±0.06	0.93±0.06	0.92±0.05	0.93±0.05	0.92±0.07	0.94±0.03
^g SSA _{870nm}	0.90±0.07	0.90±0.07	0.91±0.08	0.91±0.06	0.91±0.06	0.90±0.08	0.93±0.04
^h SSA _{1020nm}	0.89±0.08	0.89±0.08	0.89±0.09	0.90±0.07	0.90±0.07	0.90±0.09	0.92±0.05
^d Real	1.43±0.07	1.41±0.06	1.41±0.06	1.42±0.06	1.43±0.06	1.41±0.05	1.41±0.05
^d Imaginary	0.011±0.010	0.008±0.006	0.007±0.006	0.009±0.007	0.009±0.007	0.010±0.009	0.007±0.004
^d AAOD	0.06±0.05	0.05±0.04	0.04±0.04	0.05±0.04	0.05±0.04	0.06±0.04	0.04±0.03
^e AAE	1.13±0.46	0.88±0.42	0.85±0.43	0.98±0.35	1.11±0.49	1.16±0.44	0.93±0.31
^d Rmeas _i (μm)	0.70±0.34	0.65±0.31	0.66±0.33	0.66±0.33	0.65±0.33	0.62±0.24	0.65±0.30
^d Rmea _{fine} (μm)	0.18±0.05	0.18±0.04	0.19±0.05	0.19±0.05	0.19±0.05	0.19±0.05	0.20±0.05

^d Rmea _{coarse} (μm)	2.67±0.47	2.73±0.42	2.75±0.45	2.71±0.52	2.66±0.48	2.63±0.47	2.74±0.49
^d Reff(μm)	0.30±0.10	0.29±0.09	0.30±0.09	0.29±0.10	0.29±0.10	0.29±0.09	0.30±0.10
^d Reff _{fine} (μm)	0.16±0.04	0.16±0.03	0.17±0.04	0.16±0.04	0.16±0.04	0.17±0.04	0.17±0.04
^d Reff _{coarse} (μm)	2.21±0.40	2.26±0.35	2.30±0.39	2.24±0.44	2.19±0.41	2.16±0.39	2.27±0.42
^d Volume(μm^3)	0.19±0.09	0.19±0.09	0.19±0.09	0.18±0.09	0.17±0.09	0.18±0.09	0.17±0.07
^d Volume _{fine} (μm^3)	0.10±0.06	0.11±0.06	0.11±0.07	0.10±0.06	0.10±0.06	0.10±0.06	0.10±0.06
^d Volume _{coarse} (μm^3)	0.09±0.06	0.08±0.05	0.08±0.06	0.08±0.05	0.08±0.06	0.08±0.07	0.07±0.05
^d ARF-BOT(W/m^2)	-93±44	-84±41	-80±40	-81±39	-79±39	-82±40	-74±34
^d ARF-TOA(W/m^2)	-35±20	-36±21	-37±21	-36±21	-35±20	-35±21	-40±19

243 ^a Number of available observation days.

244 ^b Number of instantaneous observations.

245 ^c Optical parameters at a wavelength of 500nm.

246 ^d Optical parameters at a wavelength of 440 nm.

247 ^e Angström exponents between 440 and 870 nm.

248 ^f Optical parameters at a wavelength of 670 nm.

249 ^g Optical parameters at a wavelength of 870 nm.

250 ^h Optical parameters at a wavelength of 1020 nm.

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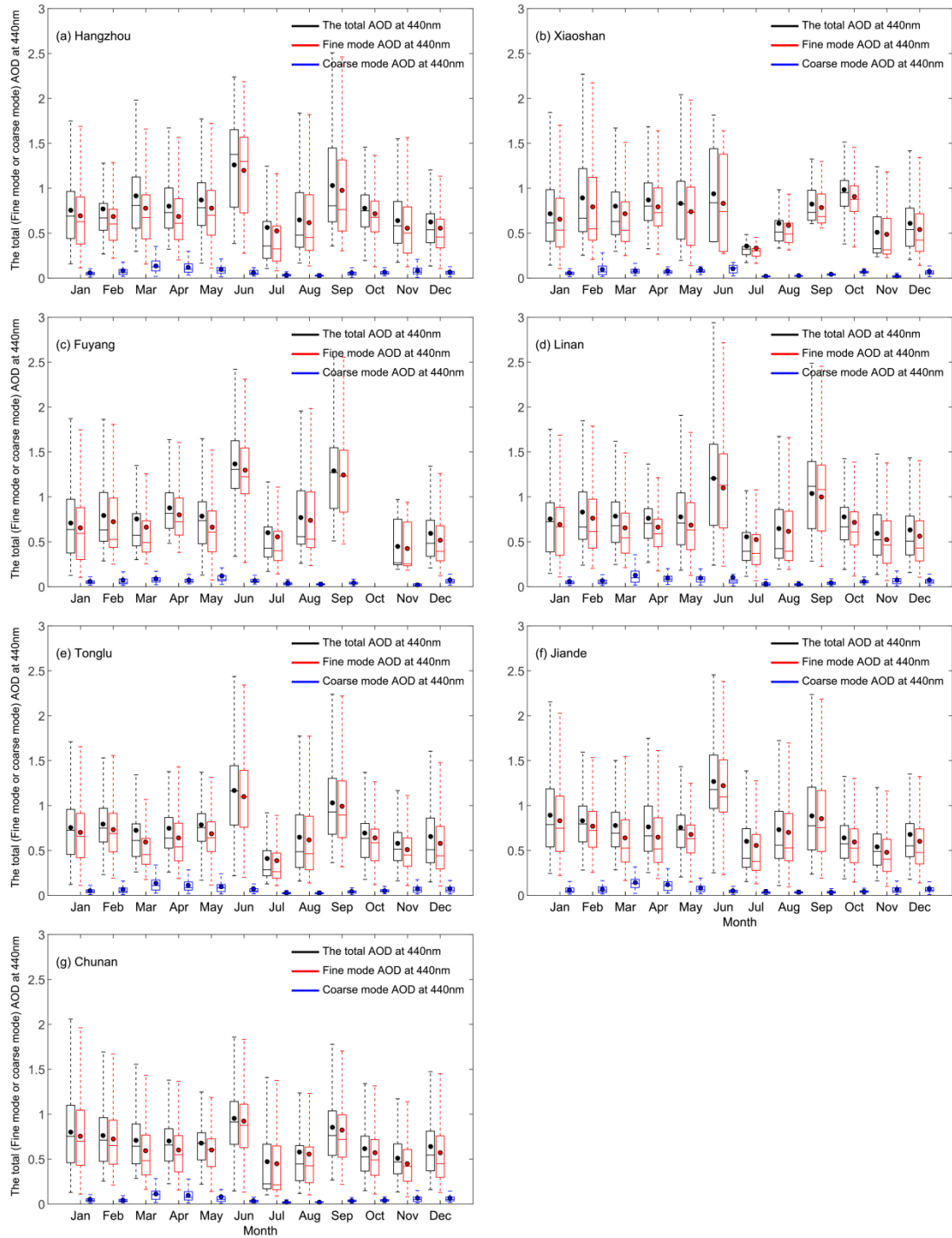
252 Ding et al. (2013a,b) showed that plumes from agricultural burning in June may
253 significantly and seriously affect the radiation balance and air quality of the YRD region. In this
254 study, the monthly averaged AODs at most sites showed two peaks in June and September
255 (Fig.3) with values of $\sim 1.26 \pm 0.50$ and $\sim 1.03 \pm 0.57$, respectively. This may be attributed to the
256 accumulation of fine-mode particles via hygroscopic growth in the summer season and the
257 burning of crop residue biomass under a continental high-pressure system with good
258 atmospheric stability and frequent temperature inversions. These conditions lead to the poor
259 diffusion of pollutants (Xia et al., 2007). As Fig.3 shown, the monthly average value of the
260 extinction Angström exponent (EAE, $-\frac{d \ln[\text{EAOD}(\lambda)]}{d \ln(\lambda)}$) in Hangzhou was higher in
261 January ($\sim 1.40 \pm 0.23$) and September ($\sim 1.43 \pm 0.24$). This conclusion is also indicated the
262 dominance of small particles from anthropogenic emissions and agricultural activity in autumn
263 and winter (Tan et al., 2009).

264 The annual fine-mode AOD values at Hangzhou, Xiaoshan, Fuyang, LinAn, Tonglu,
265 Jiande and ChunAn were about 0.68 ± 0.42 , 0.69 ± 0.41 , 0.69 ± 0.44 , 0.66 ± 0.43 , 0.64 ± 0.41 ,
266 0.66 ± 0.40 and 0.61 ± 0.38 , respectively (Fig.3). The seasonal variation in the AOD was similar
267 to the total AOD at these urban, suburban and rural sites. The fine-mode fraction of AOD
268 consistently exceeded 0.90 which indicates a major contribution of fine mode fraction to the

269 total AOD in the YRD. Moreover, the figure 3 shows that the EAE at Hangzhou, Xiaoshan,
270 Fuyang, LinAn, Tonglu, Jiande and ChunAn was about 1.29 ± 0.26 , 1.37 ± 0.24 , 1.32 ± 0.24 ,
271 1.29 ± 0.27 , 1.30 ± 0.26 , 1.32 ± 0.28 and 1.22 ± 0.25 , respectively. Values of EAE >1.20 were found
272 in all months throughout the year, indicating that small particle size distributions were favored
273 in the YRD region. The annual coarse-mode AOD values at Hangzhou, Xiaoshan, Fuyang,
274 LinAn, Tonglu, Jiande and ChunAn were between about 0.06 and 0.08 with the coarse mode
275 fraction of AOD about 0.10 which indicates the 10% contribution of coarse mode fraction to the
276 AOD in the YRD. The less coarse mode fraction indicated that there is no obvious effect of the
277 coarse particles in the YRD region than that contributed to the higher aerosol loading in other
278 north/northeast China (Zhang et al., 2012). Some dusts cases can be observed in YRD region
279 that transported from north/northwest China during 2012-2015 reflect the effect of mineral dust
280 aerosols (Gong et al., 2003). The fugitive dust from road traffic and construction activity is
281 another more persistent and significant source for China's cities as well as these eastern
282 megacities.

283

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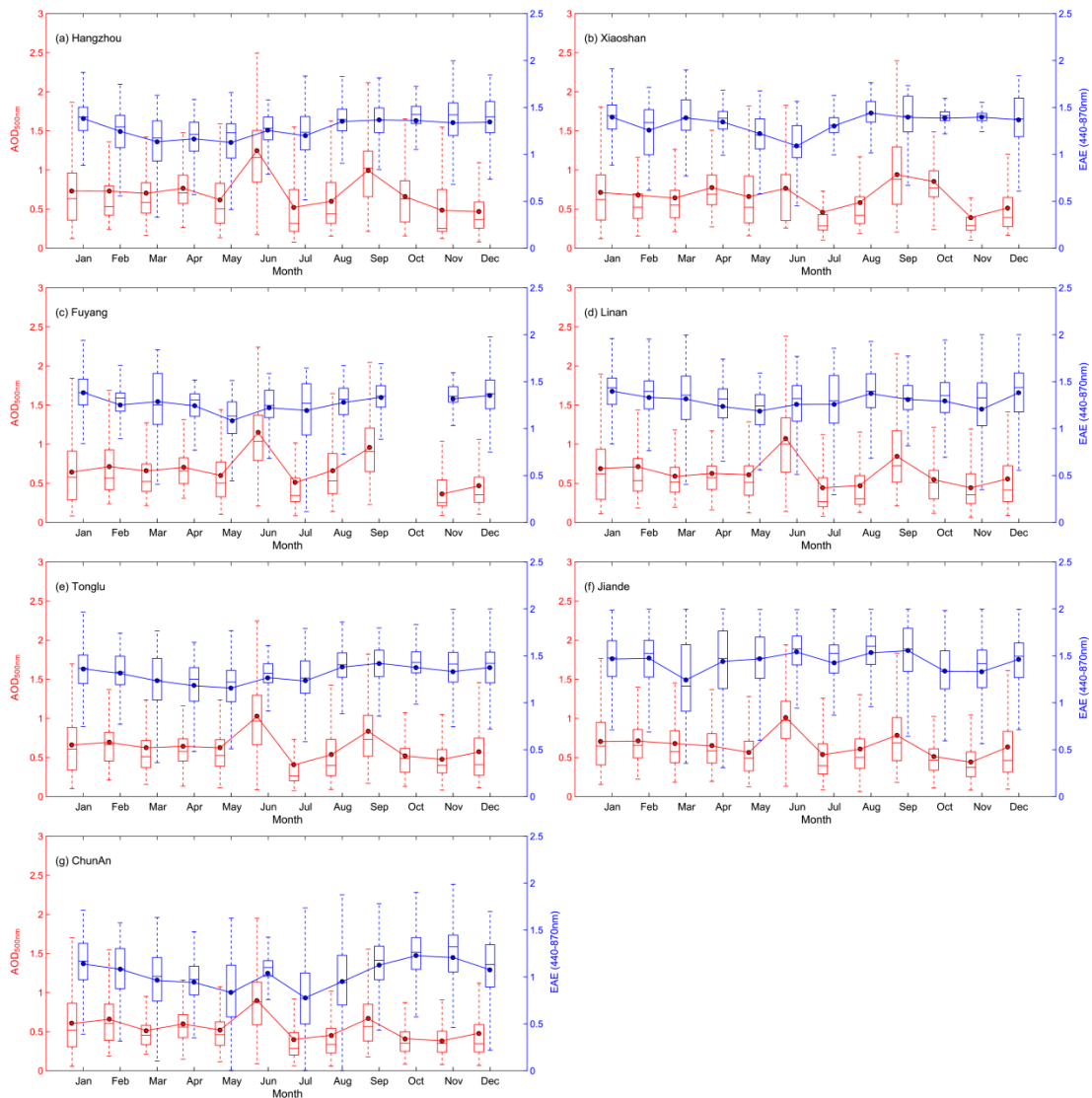


285

286 Fig. 3. Variation in the total, fine- and coarse-mode AOD_{440 nm} over (a) Hangzhou, (b) Xiaoshan,
 287 (c) Fuyang, (d) LinAn, (e) Tonglu, (f) Jiande and (g) ChunAn. The boxes represent the 25th to
 288 75th percentile distribution, while the dots and solid lines within each box represent the mean
 289 and median, respectively.

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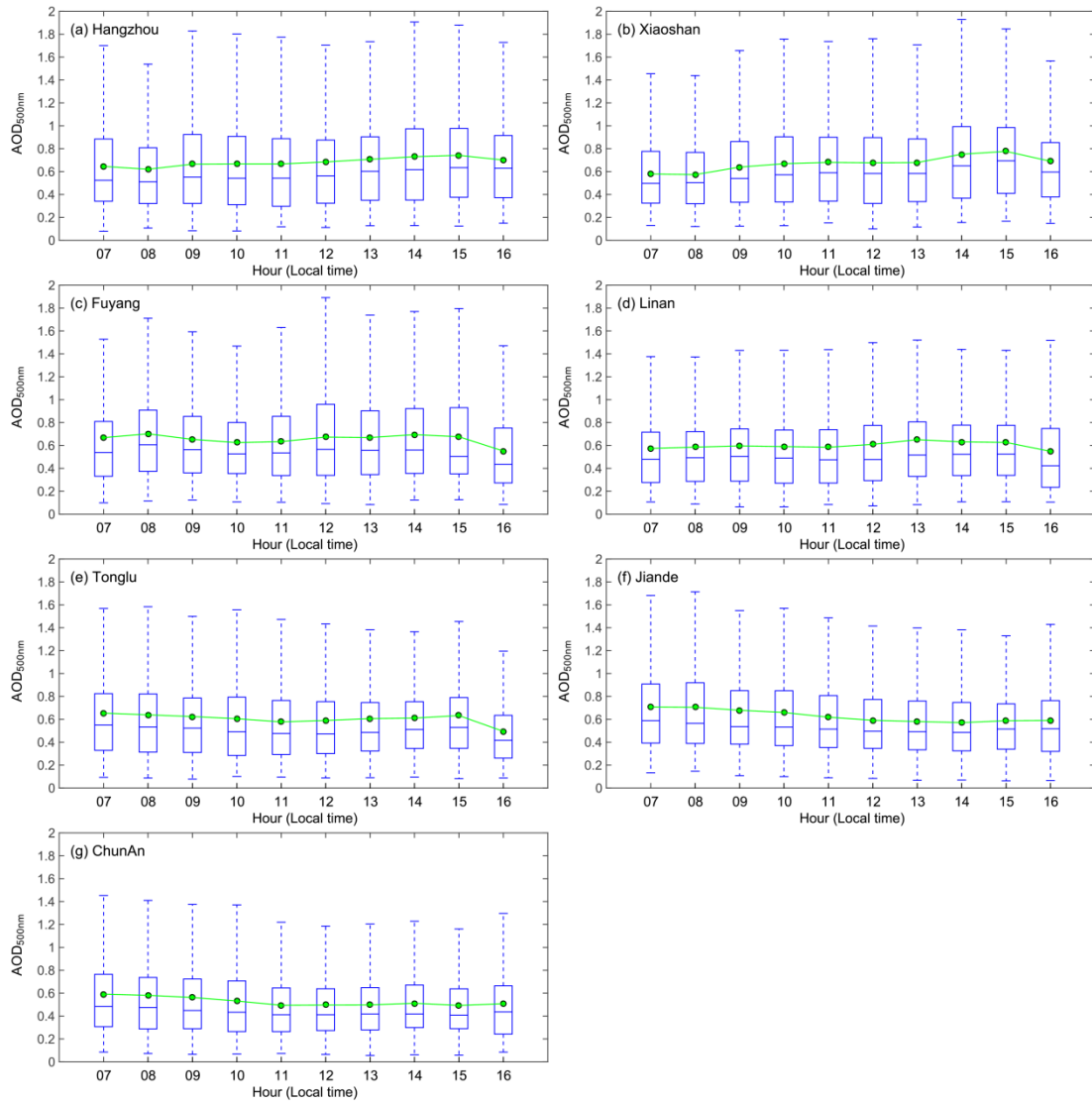
291 The monthly and diurnal cycle of AOD at 500nm has also been discussed in Fig.4 and
292 Fig.5. The annual values of AOD_{500nm} over the seven urban, suburban and rural sites in this
293 study varied from 0.53 (ChunAn) to 0.68 (Hangzhou).The results show that two peaks of AOD
294 at 500nm occurs in June and September with values of 1.25 ± 0.59 and 1.00 ± 0.42 in the urban
295 site of Hangzhou, respectively which has the similar pattern as the other sites. The increase of
296 AOD at 500nm in June is not corresponding to the same increase pattern of EAE (about 1.5)
297 which indicates the aerosols types may be relatively constant in this region. The Fig.5 depicts
298 the diurnal patterns of AOD at 500nm in this megacity area of eastern China. We can see that
299 there are two types of diurnal patterns in this region. The daily AOD has been found increased
300 in early morning (08:00 hr to 09:00 hr) and afternoon (12:00 hr to 14:00 hr) about the value of
301 0.60 to 0.70 in Hangzhou, Xiaoshan, Fuyang and Linan, while the decreasing of daily AOD has
302 been observed from 0.70 to 0.50 during the daytime (from 07:00 hr to 16:00 hr) in Tonglu,
303 Jiande and ChunAn. The high AOD during 07:00~09:00 in the urban area may be due to the
304 anthropogenic activities and aerosol emissions from the morning rush hour. The decreased
305 AOD with the value of 0.37 ± 0.36 occurred in the suburban cities of Tonglu, Jiande and
306 ChunAn may be due to the meteorological conditions more than anthropogenic effects. During
307 the day, the aerosols in the near-surface may spread into vertical as a result of turbulence due
308 to the more and more unstable atmosphere by the continuous strengthening of solar radiation.



309

310 Fig.4. Variation in the AOD at 500nm & EAE at 440–870 nm over (a) Hangzhou, (b) Xiaoshan,
 311 (c) Fuyang, (d) LinAn, (e) Tonglu, (f) Jiande and (g) ChunAn. The boxes represent the 25th to
 312 75th percentile distribution, while the dots and solid lines within each box represent the mean
 313 and median, respectively.

314



315

316 Fig.5. Variation of diurnal cycle in the AOD at 500 nm over (a) Hangzhou, (b) Xiaoshan, (c)
 317 Fuyang, (d) LinAn, (e) Tonglu, (f) Jiande and (g) ChunAn. The boxes represent the 25th to 75th
 318 percentile distribution, while the dots and solid lines within each box represent the mean and
 319 median, respectively.

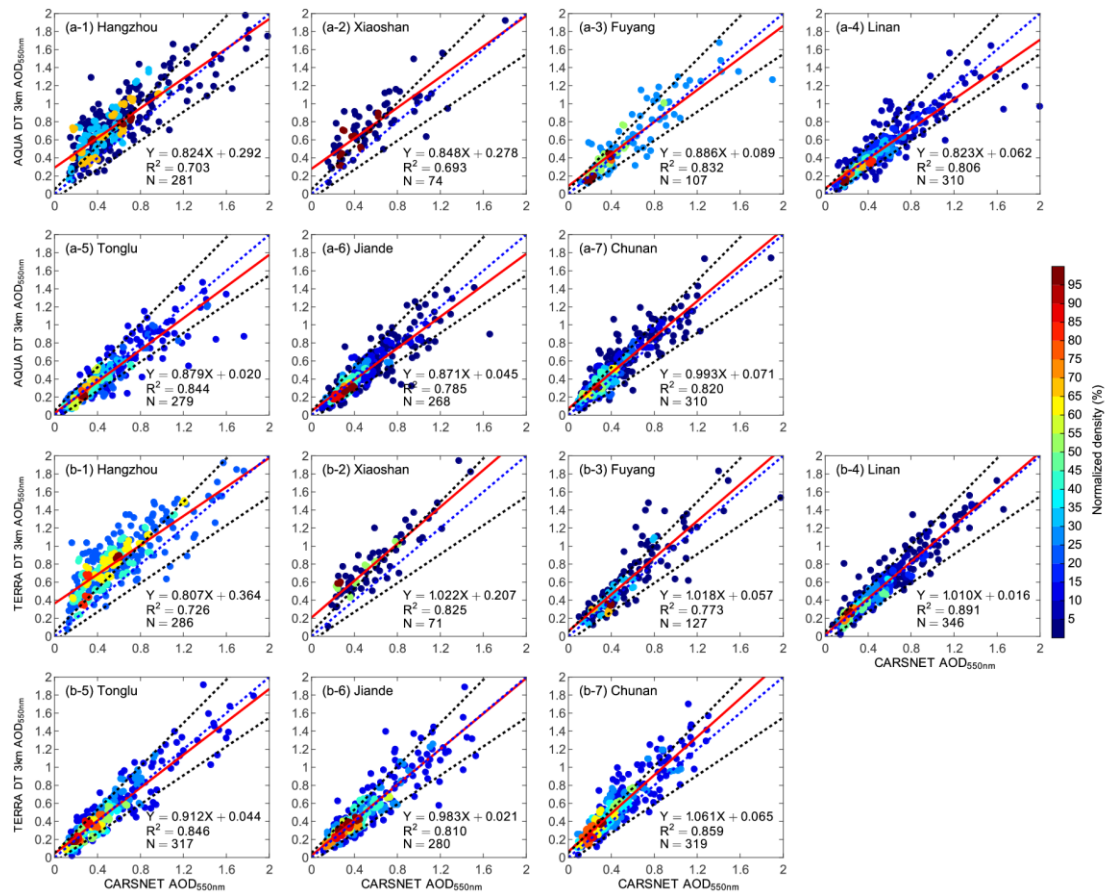
320 The product of MODIS/Terra and MODIS/Aqua with Deep Blue (at 10km) and Dark Target
 321 (at 3km and 10km) methods has been evaluated against by ground-based observations
 322 separately in Fig. 6-8. We use the better estimated data of Quality flag = 3 and Quality flag=2,
 323 3 for DT and TB methods, respectively. The systematic performance of the MODIS/Terra C6
 324 retrieval AOD values was generally more stable in the YRD region compared with the
 325 MODIS/Aqua product with the two Deep Blue and Dark Target methods, which most of the

326 plots scattered around the 1:1 regression line.

327 The correlation coefficients (R) between the MODIS/Aqua and MODIS/Terra between by
328 the Dark Target methods at 3km and sun photometer AOD (550 nm) values were about 0.84 to
329 0.92 and 0.85 to 0.94 in the YRD region, respectively. The linear regression fitting performed
330 better at the suburban sites of LinAn and Jiande according to the product of MODIS/Terra by
331 the Dark Target methods at 3km. The fitting curve was almost consistent with the 1:1 reference
332 line, which suggests that the aerosol properties were well defined for the MODIS C6 products.
333 A large part of the MODIS retrieval AOD value was outside the expected error envelope of \pm
334 $(0.05 + 20\%T_{\text{CARSNET}})$, especially for AOD values < 0.80 in Hangzhou and Xiaoshan. This
335 indicates that the MODIS retrieval algorithm could still be improved, especially in urban areas.
336 The MODIS retrieval AOD performed better at the other five sites (Fuyang, LinAn, Tonglu,
337 Jiande and ChunAn) in the YRD; most of the retrieved AOD values for these sites fell within
338 the expected error envelope. The MODIS/Aqua retrievals with Dark Target methods at 3km
339 were underestimated while the MODIS/Terra retrievals with Dark Target methods at 3km were
340 overestimated except Hangzhou, Tonglu and Jiande. The small deviation at the suburban sites
341 suggested that the MODIS C6 retrieval using the DT method was suitable for capturing the
342 optical properties of aerosols in suburban areas with dense vegetation coverage of the YRD.
343 However, this method may have larger difference in the urban areas with less vegetation such
344 as Hangzhou. The correlation coefficients (R) of the MODIS/Aqua and MODIS/Terra between
345 sun photometer AOD (550 nm) values by the Deep Blue and Dark Target methods at 10km
346 were about 0.81 to 0.90, 0.85 to 0.90, 0.69 to 0.91 and 0.85 to 0.93 in the YRD region,
347 respectively. The MODIS/Aqua and MODIS/Terra retrievals with Deep Blue and Dark Target
348 methods at 10km were underestimated except Hangzhou and Xiaoshan. In particular, the
349 biases of the correlation coefficients (R) occurred in LinAn and Jiande has decreased from
350 0.94 and 0.90 to 0.87 and 0.88. The validation results indicate a good MODIS/Terra matching
351 with better fitting correlation at 3km rather than 10km products.

352 The AOD overestimation retrieved using Dark Target (DT) and Deep Blue (DB) methods are
353 more influenced by the SSA and the phase function of aerosol in eastern China with AOD > 0.4

354 (Tao et al. 2015). Therefore, the detailed ground-based observation in this work is more helpful
 355 to the calibration of MODIS retrievals in eastern China.



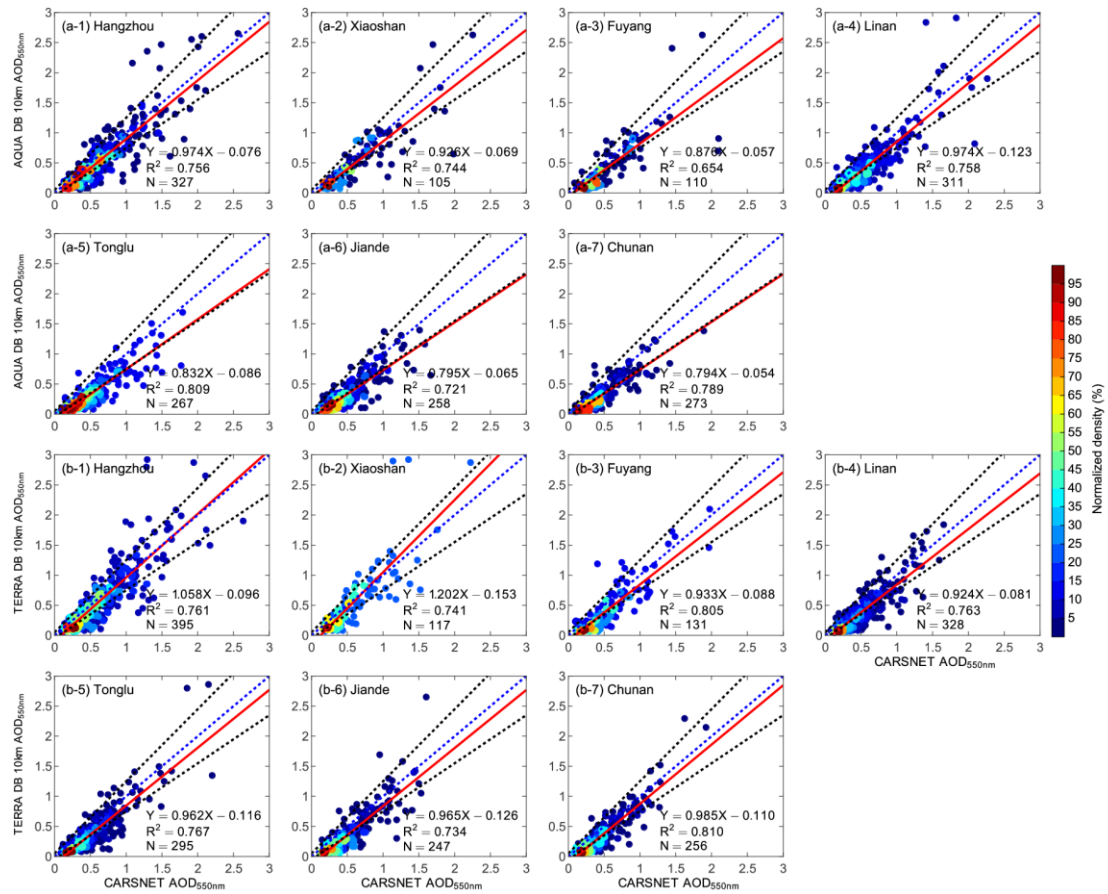
356

357 Fig.6. Comparison of MODIS/Aqua Dark Target (DT) AOD at 550 nm with the CARSNET
 358 AOD at 3km in (a-1) Hangzhou, (a-2) Xiaoshan, (a-3) Fuyang, (a-4) LinAn, (a-5) Tonglu, (a-6)
 359 Jiande, (a-7) ChunAn and MODIS/Terra DT AOD at 550 nm with the CARSNET AOD at 3km in
 360 (b-1) Hangzhou, (b-2) Xiaoshan, (b-3) Fuyang, (b-4) LinAn, (b-5) Tonglu, (b-6) Jiande, (b-7)
 361 ChunAn. The red solid line represents the linear regression. The two black dotted lines
 362 represent the expected errors in the MODIS retrievals.

363

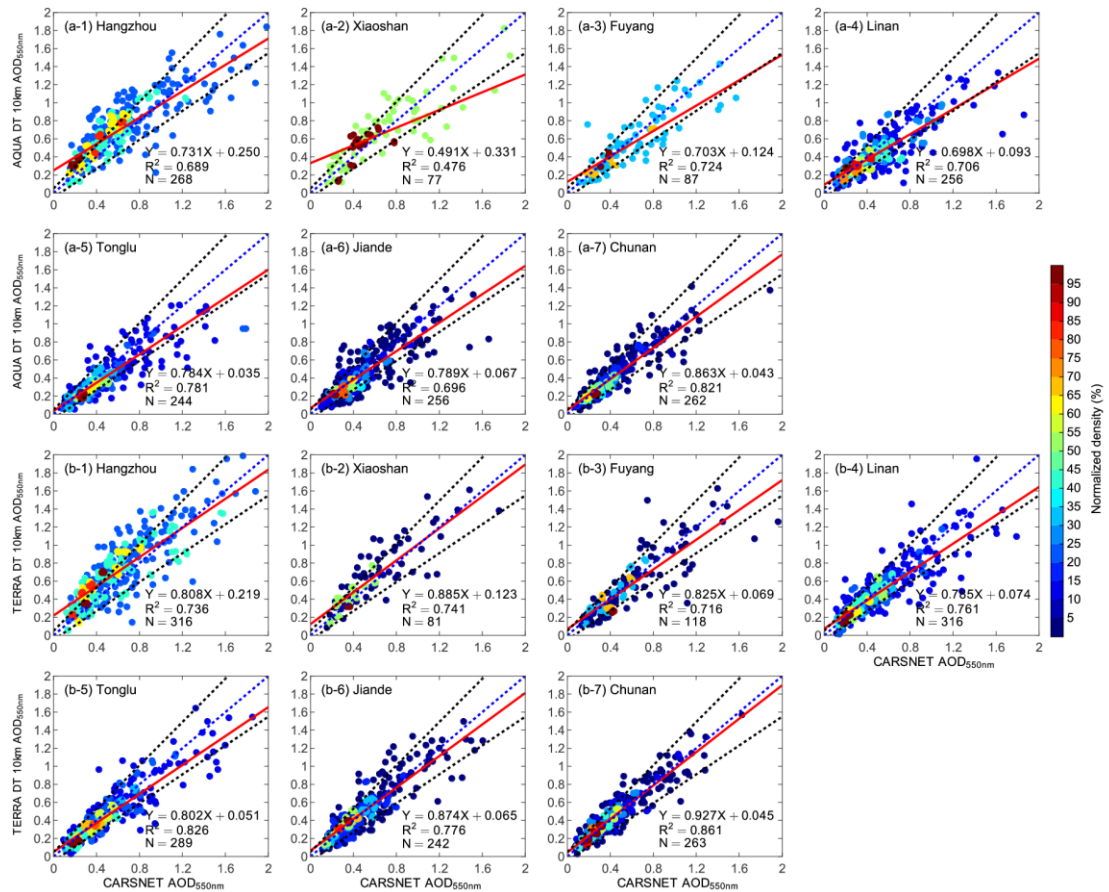
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366

367 Fig.7. Comparison of MODIS/Aqua Deep Blue (DB) AOD at 550 nm with the CARSNET
 368 AOD at 10km in (a-1) Hangzhou, (a-2) Xiaoshan, (a-3) Fuyang, (a-4) LinAn, (a-5) Tonglu, (a-6)
 369 Jiande, (a-7) ChunAn and MODIS/Terra AOD DB at 550 nm with the CARSNET AOD at 10km
 370 in (b-1) Hangzhou, (b-2) Xiaoshan, (b-3) Fuyang, (b-4) LinAn, (b-5) Tonglu, (b-6) Jiande, (b-7)
 371 ChunAn. The red solid line represents the linear regression. The two black dotted lines
 372 represent the expected errors in the MODIS retrievals.



373

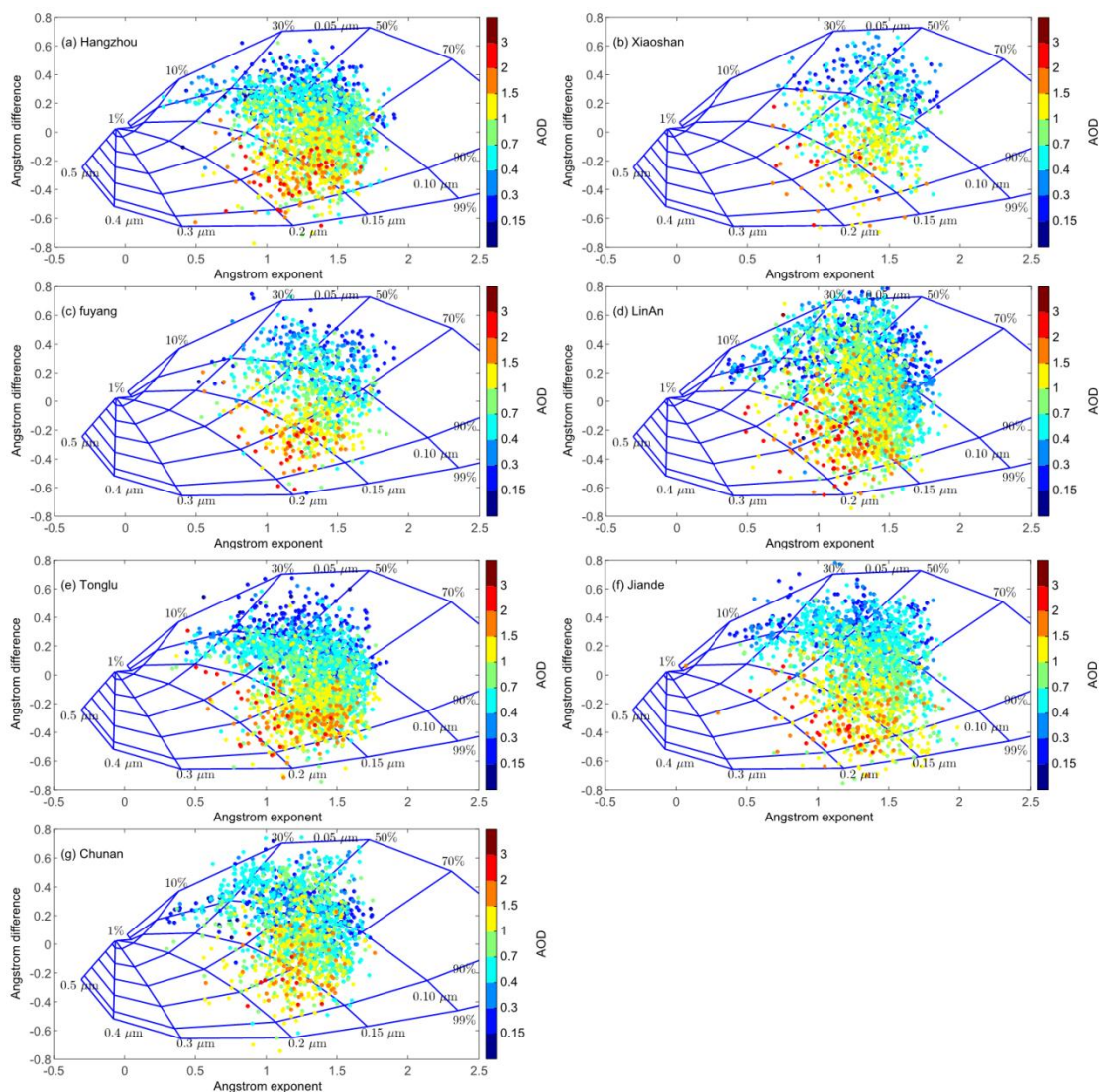
374 Fig.8. Comparison of MODIS/Aqua AOD DT at 550 nm with the CARSNET AOD at 10km
 375 in (a-1) Hangzhou, (a-2) Xiaoshan, (a-3) Fuyang, (a-4) LinAn, (a-5) Tonglu, (a-6)
 376 ChunAn and MODIS/Terra DT AOD at 550 nm with the CARSNET AOD at 10km in (b-1)
 377 Hangzhou, (b-2) Xiaoshan, (b-3) Fuyang, (b-4) LinAn, (b-5) Tonglu, (b-6) Jiande, (b-7) ChunAn.
 378 The red solid line represents the linear regression. The two black dotted lines represent the
 379 expected errors in the MODIS retrievals.

380 The relationship between the EAE and the spectral difference in the EAE
 381 ($\delta EAE = EAE_{440-675nm} - EAE_{675-870nm}$) was analyzed to investigate the contribution of fine
 382 particles (R_f) and their fraction (η) to the total extinction (EAOD) at 440 nm (Gobbi et al., 2007).
 383 In this framework, values of $AOD > 0.15$ are represented by different colors to avoid errors in
 384 the δEAE . The lines indicate contribution of the fixed radius (R_f) and fraction (η) of the
 385 fine-mode particles to the total extinction. Gobbi et al. (2007) used the difference in the EAE
 386 and AOD data to determine the growth of fine-mode particles or contamination by
 387 coarse-mode particles at eight AERONET stations: Beijing (China), Rome (Italy), Kanpur

388 (India), Ispra (Italy), Mexico City (Mexico), NASA Goddard Space Flight Center (GSFC, USA),
389 Mongu (Zambia) and Alta Floresta (Brazil).

390 Fig.9 shows that the high EAOD values (>1.00) cluster in the plots for all seven urban,
391 suburban and rural sites, which is attributed to fine-mode particles with $\delta EAE < 0$ and $\eta \sim 50$ –
392 90%. This variation in the fine-mode particles is similar to the results from Beijing and Kanpur
393 ($\eta \sim 70$ –90%). However, there were very few coarse-mode particles ($\delta EAE \sim 0$, $\eta \sim 0$ –10%) in
394 this study, suggesting that the dominance of dust is not significant in eastern China. These
395 results showed a different pattern from that of other regions in north/northeast China (Wang et
396 al., 2010; Zhu et al., 2014). For $\delta EAE \sim 0$ and $10\% < \eta < 30\%$, high extinction was associated with
397 a mixture dominated by fine-mode particles and less persistent coarse-mode particles.
398 Clustering concentrated in the region $\alpha \sim 1.5$, $\delta \alpha \sim -0.5$ with high AOD values at all sites, which
399 may be linked to an increase in size of the fine-mode particles by coagulation as the aged and
400 hygroscopic events, as seen at other locations (e.g. Ispra, Italy; Mexico City, Mexico; GSFC,
401 USA).

402



403

404 Fig.9. Angström exponent difference as a function of $\alpha_{440-870 \text{ nm}}$ and the $\text{AOD}_{440 \text{ nm}}$ over (a)
 405 Hangzhou, (b) Xiaoshan, (c) Fuyang, (d), LinAn, (e) Tonglu, (f) Jiande and (g) ChunAn.

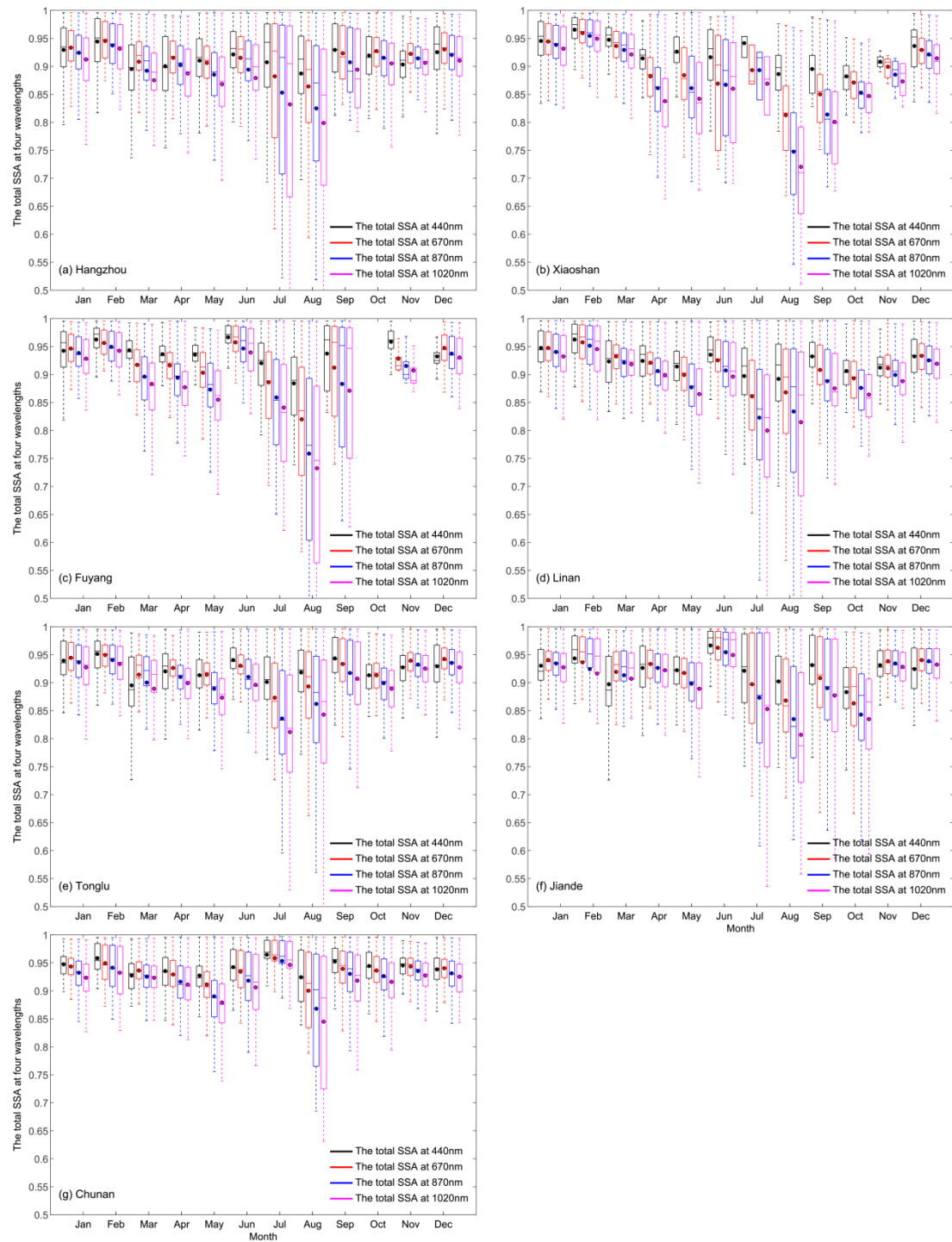
406 **3.3 Aerosol optical properties of single-scattering albedo and aerosol complex**
 407 **refractive index**

408 The distribution of the SSA at the wavelengths of 440nm, 670nm, 870nm and 1020nm
 409 over the seven sites in the YRD are shown in Fig.10. The SSA varied from 0.91 to 0.94, which
 410 is similar to the range seen in other regions of China, such as Wuhan (0.92), Beijing (0.89) and
 411 Xinglong (0.92) (Wang et al., 2015; Xin et al., 2014; Zhu et al., 2014). This indicated that
 412 scattering aerosol particles in eastern China resulting from high levels of industrial and
 413 anthropogenic activity were dominant. The characteristics of the SSA at these seven sites

414 gradually increased from the east coast (0.91 ± 0.06 at Hangzhou) inland toward the west
415 (0.94 ± 0.03 at ChunAn). The seven observation sites may always controlled by the same
416 weather system that indicates a weak effect of meteorological elements in each site to the
417 change of aerosol optical characteristics. These results indicate the emissions caused by
418 human activity affect the absorption of aerosols in urban areas. The SSA was higher at LinAn
419 and ChunAn than at the other sites, which may reflect the presence of a larger number of
420 scattering aerosols (e.g. particles from urban/industrial activities) over the clean rural sites
421 than over urban or suburban sites.

422 The SSA over urban and suburban sites showed the largest monthly variation. The
423 monthly average values of SSAT were high in February ($\sim 0.94\pm 0.05$) and June ($\sim 0.92\pm 0.06$),
424 but low in March ($\sim 0.90\pm 0.06$) and August ($\sim 0.89\pm 0.09$) in Hangzhou. However, the monthly
425 SSA values at the rural site of ChunAn only varied from 0.92 to 0.95. We concluded that the
426 type of aerosol at urban/suburban sites was more complex than at rural sites. The increased
427 level of scattering aerosols with higher SSA in June may be influenced by the hygroscopic
428 growth in favor of the interaction between aerosols from different emissions sources (Xia et al.,
429 2007). The existence of light-absorbing dust aerosols may contribute to the weaker lower SSA
430 in spring while the aerosols from biomass burning were probably due to the strong decreased
431 in SSA values in August (Yang et al., 2009).

432 The wavelength dependence of SSA present specific absorption/scattering properties of
433 different type aerosol (Sokolik and Toon, 1999; Eck et al., 2010). The SSA of dust in spring
434 shown a dependence on the spectrum from 440nm to 1020nm in general (Cheng et al., 2006;
435 Dubovik et al., 2002). Especially in March, the SSA at 440nm in Hangzhou, LinAn, Jiande and
436 ChunAn was obviously lower at short wavelength than that in the longer wavelength. This
437 result has shown a strong absorption of dust in the short wavelength in the YRD region over
438 eastern China. It's worth noting that there is an obvious and strong decreasing of SSA in the
439 longer wavelength of aerosol from biomass burning or industrial emissions in August. The
440 wavelength dependence of SSA in YRD could be used to simply describe the aerosol types
441 including dust or the biomass burning smoke.



442

443 Fig.10. Variation in the SSA at 440nm, 670nm 870nm and 1020nm over (a) Hangzhou, (b)
 444 Xiaoshan, (c) Fuyang, (d) LinAn, (e) Tonglu, (f) Jiande and (g) ChunAn. The boxes represent
 445 the 25th to 75th percentile distribution, while the dots and solid lines within each box represent
 446 the mean and median, respectively.

447 The real and imaginary parts of the refractive index represent the scattering and

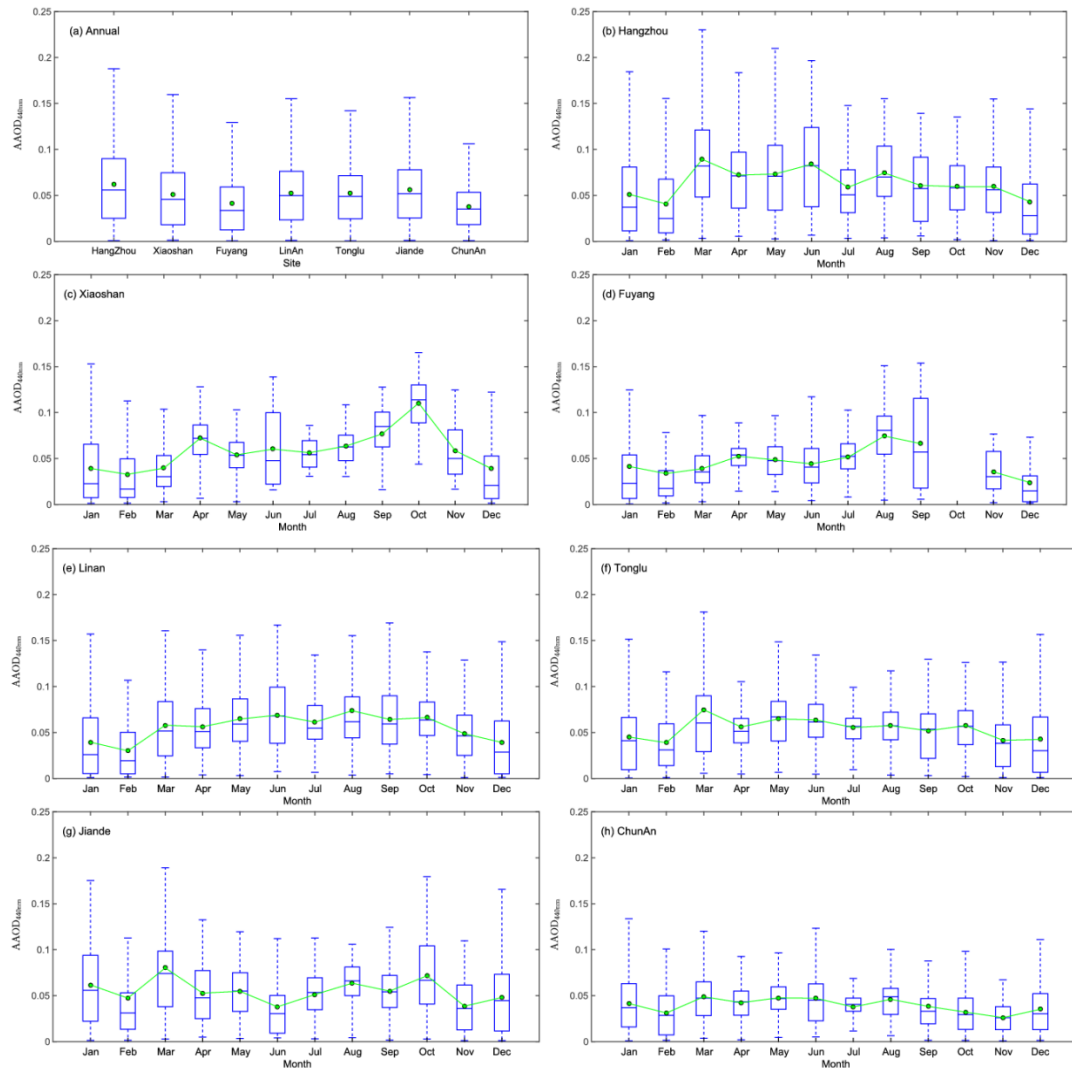
448 absorption capacity of particles, respectively. The refractive index is determined by the
449 hygroscopic conditions and the chemical composition of the aerosols (Dubovik and King, 2000).
450 There was no significant difference between the real parts of the refractive index among the
451 seven urban, suburban and rural sites in this study (range 1.41–1.43). The real parts of the
452 refractive index in this study were smaller than the real parts of ammonium sulfate and
453 ammonium nitrate (1.55), which may be due to the hygroscopic conditions or the mixture of
454 dust particles. The real part of the refractive index was highest in March ($\sim 1.46 \pm 0.06$) and
455 November ($\sim 1.45 \pm 0.06$) and lowest in July ($\sim 1.42 \pm 0.06$) and August ($\sim 1.41 \pm 0.07$) at the urban
456 sites.

457 The imaginary part of the refractive index was higher at the urban site of Hangzhou ($\sim 0.0112 \pm$
458 0.0104) as a result of the high loading of absorption aerosols in this region and was consistent
459 with the lower SSA. High imaginary parts of the refractive index occurred in August at all urban,
460 suburban and rural sites in the YRD, which may be due to the higher emission of absorptive
461 particles by the post-harvest burning of crop residues with more spectral dependence. The
462 burning of crop residues may cause a large deterioration in the regional air quality in the YRD
463 region. A higher level of spring dust aerosols with absorption could contribute to a higher value
464 of the imaginary part of the refractive index.**3.4 Aerosol optical properties of absorption**
465 **aerosol optical depth and absorption Angström exponent**

466 The annual AODs at Hangzhou, Xiaoshan, Fuyang, LinAn, Tonglu, Jiande and ChunAn
467 were about 0.06 ± 0.05 , 0.05 ± 0.04 , 0.04 ± 0.04 , 0.05 ± 0.04 , 0.05 ± 0.04 , 0.06 ± 0.04 and 0.04 ± 0.03 ,
468 respectively (Fig.11). The similar AOD level at the seven sites (0.04-0.06) suggests that
469 absorbing aerosols are distributed homogeneously in the YRD region. The AOD values may
470 have very large an uncertainty because of the dataset is including all the values in one month.
471 Nevertheless, there is also some varies in AOD according to the changes of the SSA in
472 section 3.3. These differences in the AOD were mostly dependent on the type of aerosol and
473 the ratio of absorbing and non-absorbing components in the aerosols.

474 The monthly AOD at the urban site of Hangzhou was 0.09 ± 0.06 in March as a result of
475 the presence of absorbing dust particles. The AOD of about 0.07 ± 0.04 in August is related to

476 the burning of crop residues. The AAODs in the winter season at all the sites in the YRD region
477 were <0.05 , which suggests that absorbing aerosol emissions did not frequently occur at these
478 sites, unlike in the northern regions of China. As fig.12 shown, the AAE was <1.00 in June and
479 August at all urban, suburban and rural sites of the YRD, which suggested the presence
480 aerosols coated with absorbing or non-absorbing material in summer season. This process is
481 favored by high temperatures and high humidity under conditions of strong solar radiation
482 (Shen et al., 2015, Zhang et al., 2015). The particles coagulate and grow rapidly in the
483 presence of sufficient water vapor (Li et al., 2016). The AAE became increasingly close to, or
484 larger than, 1.00 at all seven sites from September, which is consistent with decreasing
485 amounts of precipitation. This increase in the AAE was related to the emission of black carbon
486 from biomass burning (Soni et al., 2010; Russell et al., 2010). According to the corresponding
487 annual mean values for the AAE at Hangzhou, Xiaoshan, Fuyang, LinAn, Tonglu, Jiande and
488 ChunAn (1.13 ± 0.46 , 0.88 ± 0.42 , 0.85 ± 0.43 , 0.98 ± 0.35 , 1.11 ± 0.49 , 1.16 ± 0.44 and 0.93 ± 0.31) in
489 Fig. 12, the seven sites has been attributed to three categories with AAE levels. The mean
490 values of the AAE at Xiaoshan and Fuyang were <1.00 , suggesting the presence of absorbing
491 or non-absorbing materials coating black carbon at these suburban and rural sites (Bergstrom
492 et al., 2007; Lack and Cappa et al., 2010; Gyawali et al., 2009). The AAE values were close to
493 1.00 at LinAn and ChunAn, indicating that the absorptive aerosols were dominated by particles
494 of black carbon (Zhang et al., 2012; Li et al., 2016). By contrast, the AAE values at Hangzhou,
495 Tonglu and Jiande were >1.00 , indicating the presence of absorptive aerosols from the burning
496 of biomass. This difference in the AAE distribution indicates the absorbing aerosols have
497 different characteristics resulting from the different emission sources at urban, suburban and
498 rural sites in the YRD.

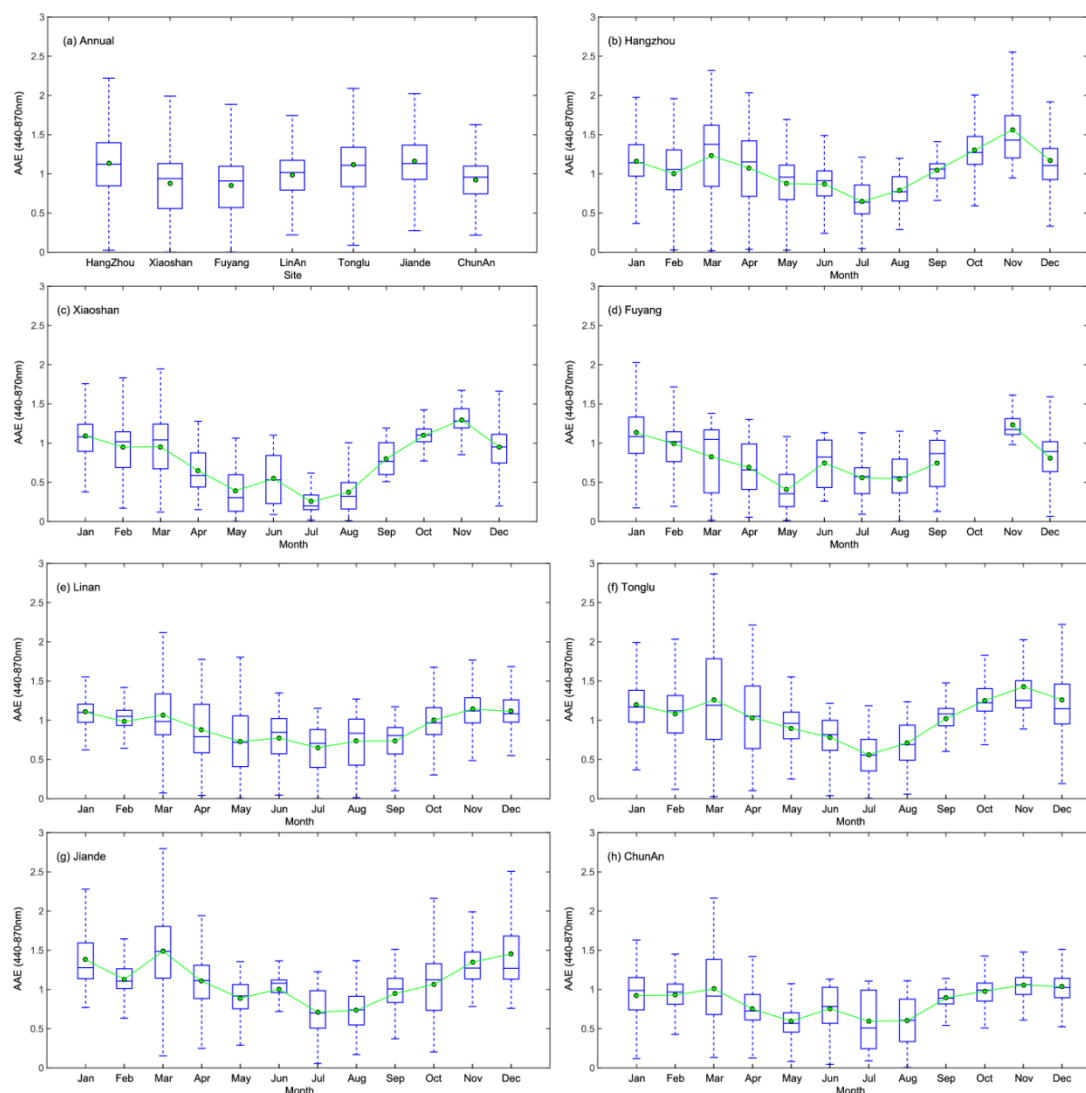


499

500 Fig.11. (a) Annual variation in the absorption aerosol optical depth at 440 nm ($AAOD_{440\text{ nm}}$)
 501 over (b) Hangzhou, (c) Xiaoshan, (d) Fuyang, (e) LinAn, (f) Tonglu, (g) Jiande and (h) ChunAn.
 502 The boxes represent the 25th to 75th percentile distribution, while the dots and solid lines
 503 within each box represent the mean and median, respectively.

504 The AAE can be used to indicate the major types (urban/industrial, biomass burning,
 505 dust/mixed dust) or optical mixtures of absorbing aerosol particles (Schnaiter et al., 2006;
 506 Russel et al., 2010; Giles et al., 2011; 2012; Mishra and Shibata, 2012). Giles et al., (2011)
 507 examined AAE/EAE data from Kanpur to classify the categories of absorbing aerosols. The
 508 “mostly dust” category has been defined as having an EAE value ≤ 0.50 and sphericity fraction
 509 < 0.20 with an AAE value > 2.00 . The “mostly black carbon” category has been defined as

510 having an EAE value >0.80 and a sphericity fraction ≥ 0.20 with $1.00 < AAE \leq 2.00$. Values of
 511 $EAE > 0.80$ and $AAE > 2.00$ indicate a concentration of organic carbon (Arola et al., 2011). The
 512 “mixed black carbon and dust” category was centered at $EAE \sim 0.50$ with $AAE \sim 1.50$ and used
 513 to represent an optical mixture with black carbon and mineral dust particles as the dominant
 514 absorbers.



515

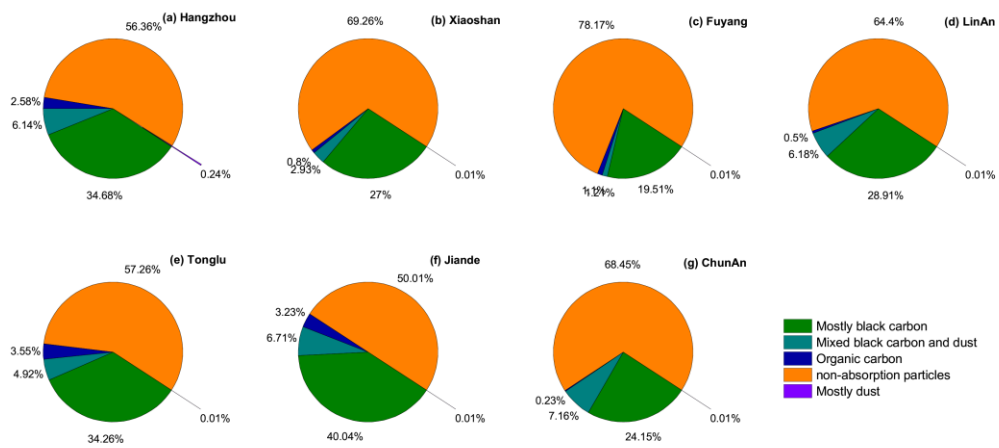
516 Fig.12. (a) Annual variation in the absorption Angström exponent at 440 nm (AAE_{440nm}) over (b)
 517 Hangzhou, (c) Xiaoshan, (d) Fuyang, (e) LinAn, (f) Tonglu, (g) Jiande and (h) ChunAn. The
 518 boxes represent the 25th to 75th percentile distribution, while the dots and solid lines within
 519 each box represent the mean and median, respectively.

520

We used the instantaneous AAE and EAE values to classify the dominant absorbing

521 aerosol types in urban, suburban and rural areas of the YRD (Fig. 13). Fig. 13 shows that the
522 “mostly dust” category was very low at both suburban and rural sites (<0.01%) and just ~0.24%
523 at the urban site of Hangzhou. This indicates the YRD region is completely different from other
524 north/northeast region in China where the dust particles could contribute to the aerosol loading
525 substantially. The “mostly black carbon” category dominates the absorbing aerosols in the
526 urban, suburban and rural areas in the YRD region. The percentage “mostly black carbon”
527 varied from ~20 to 40% depending on each site, indicating the mixing of black carbon as well
528 as brown and soot carbon species from biomass burning and urban/industrial activities.
529 Because of the long-distance transportation and local fugitive dust effect, the “mixed black
530 carbon and dust” category contributed ~5% of the absorbing aerosol particles in the YRD
531 region. There was also ~1-4% of the “organic carbon” category identified as absorbing aerosol
532 particles in this region. The non-absorption particles are account for ~50 to 80% in the YRD
533 region. There is higher contribution of non-absorption particles about 78.17% in Fuyang and
534 less non-absorption particles about 50.01% in Jiande. The result is consistent with the level of
535 total SSA at 440nm of Fuyang (0.94) with more scattering particles than Jiande (0.92).
536 Particles with EAE values of ~0.40 and ~1.25 could be regarded as “mixed large particles”
537 greater than microns in size and submicron “mixed small particles”, respectively (Giles et al.
538 2012). The frequency of “mixed large particles” was <0.5% at the urban, suburban and rural
539 sites. By contrast, the frequency of “mixed small particles” was ~18-36%.

540 The EAE (α_{ext}) and AAE (α_{abs}) values at all the urban, suburban and rural sites were
541 distributed mainly around 1.25 and 1.00–1.50, respectively (Fig.14). In contrast with the results
542 of Giles et al. (2011), the sphericity fraction did not show an obvious transition from
543 non-spherical to spherical particles from the urban, suburban and rural sites in YRD. The
544 sphericity fraction showed a dispersed distribution of spherical particles, indicating a mixture of
545 fine-mode particles derived from anthropogenic sources and coarse-mode particles, such as
546 dust events transported from north/northwest China or local fugitive dust emissions.

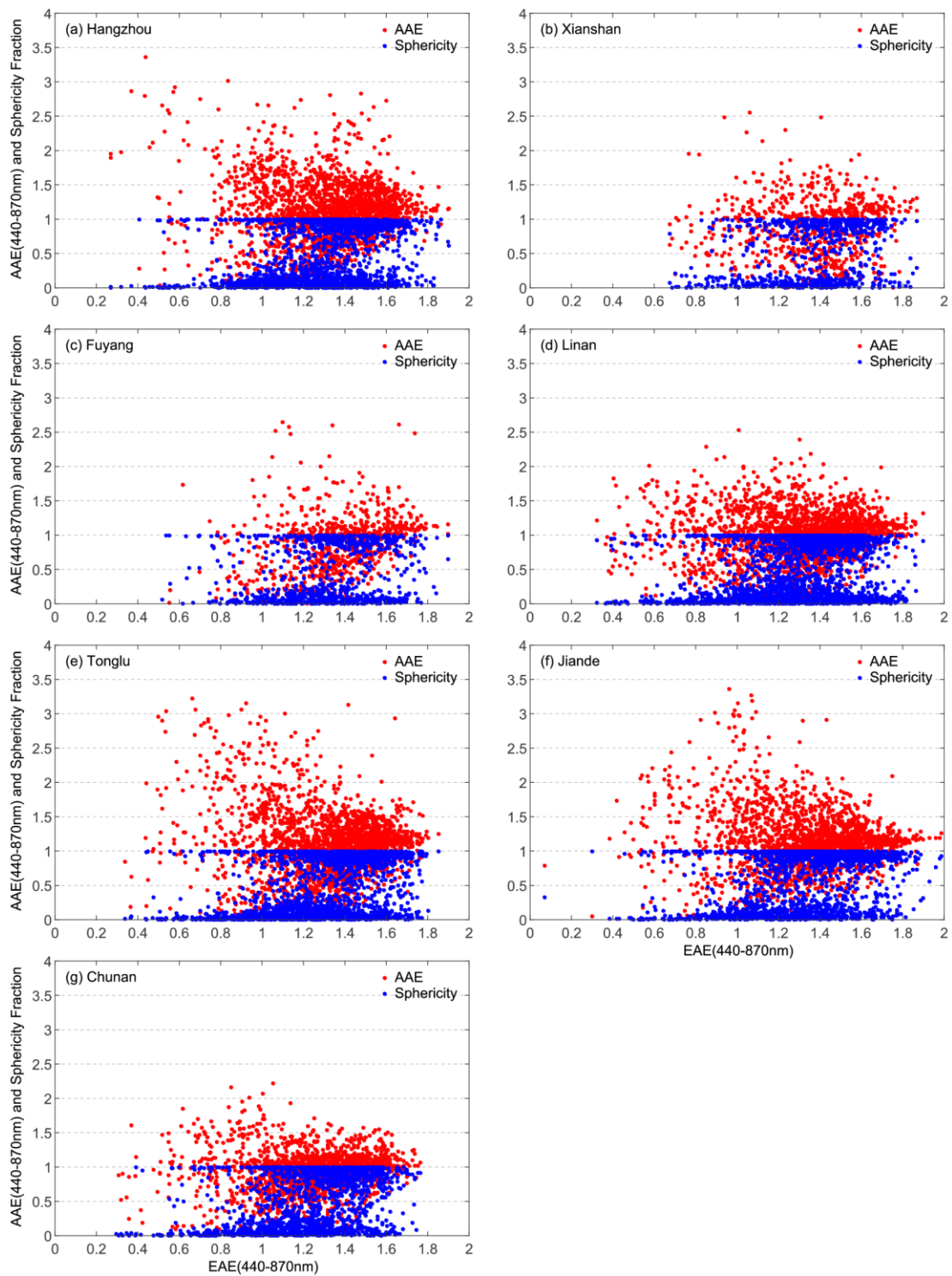


547

548 Fig.13.Types of aerosol over (a) Hangzhou, (b) Xiaoshan, (c) Fuyang, (d), LinAn, (e)

549 Tonglu, (f) Jiande and (g) ChunAn.

550



551

552 Fig.14.The AAE (red dot) and the sphericity fraction (blue dot) as a function of the EAE at
 553 440–870 nm over (a) Hangzhou, (b) Xiaoshan, (c) Fuyang, (d), LinAn, (e) Tonglu, (f) Jiande
 554 and (g) ChunAn.

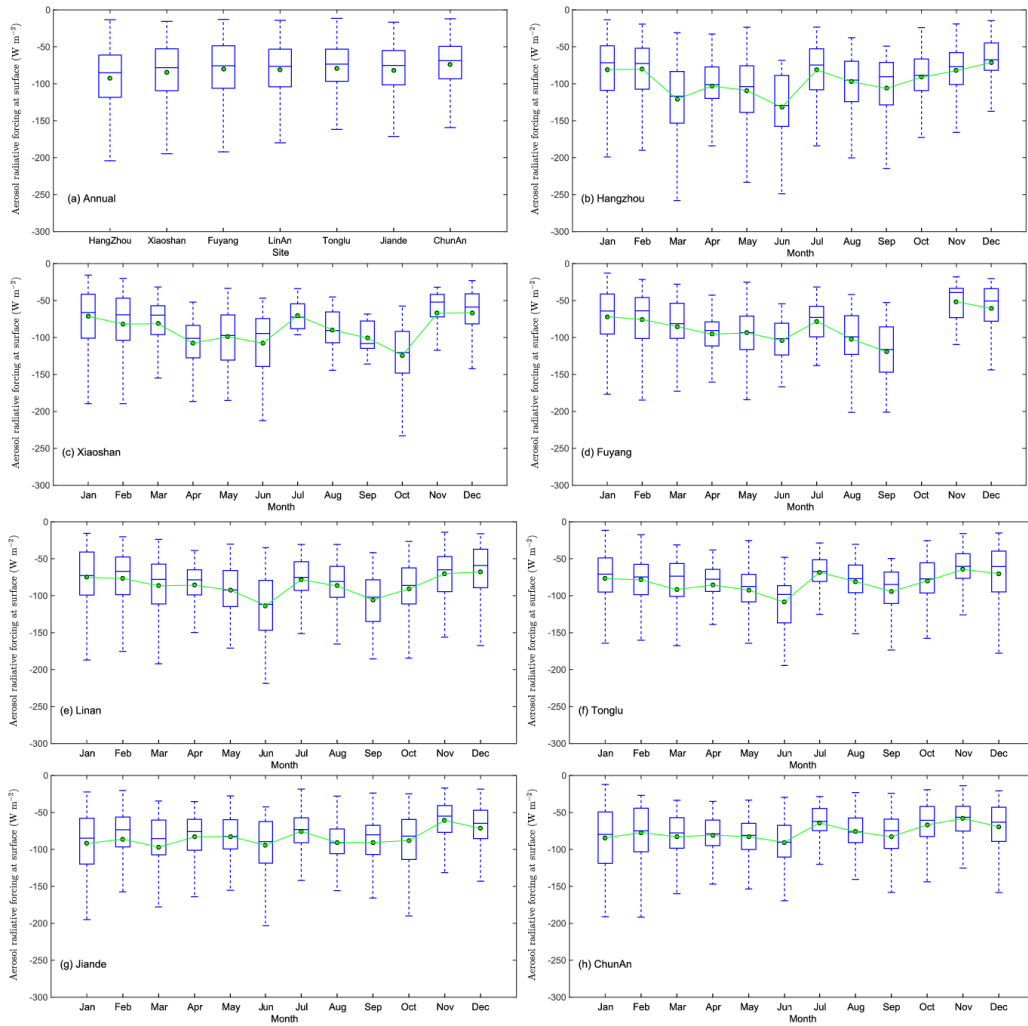
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556

557 **3.5 Aerosol optical properties of aerosol radiative forcing at the Earth's surface and top**
558 **of the atmosphere**

559 Figures 15 and 16 show the variations in ARF at the surface (ARF-BOA) and at the top of
560 the atmosphere (ARF-TOA) at the urban, suburban and rural sites in the YRD region.

561 The annual ARF-BOA values for Hangzhou, Xiaoshan, Fuyang, LinAn, Tonglu, Jiande and
562 ChunAn were about -93 ± 44 , -84 ± 40 , -80 ± 40 , -81 ± 39 , -79 ± 39 , -82 ± 40 and $-74\pm34\text{W/m}^2$,
563 respectively. The higher ARF-BOA values in Hangzhou indicate that there was high aerosol
564 loading at this site, which scattered and absorbed more radiation and caused a significant
565 cooling effect at the surface. The monthly value of the ARF-BOA in Hangzhou was higher in
566 June (about $-132\pm48\text{ W/m}^2$) and September (about $-106\pm48\text{ W/m}^2$), which is consistent with
567 the timing of burning biomass from crop residues. Ding et al. (2016) found that black carbon
568 emitted from biomass burning can modify the meteorology of the planetary boundary layer and
569 substantially decrease the surface heat flux. Hygroscopic growth at the same time enhances
570 the aerosol optical extinction (Yan et al., 2009; Zhang et al., 2015); this was also an important
571 factor in the large ARF-BOA values in June and September at the urban, suburban and rural
572 sites in the YRD.

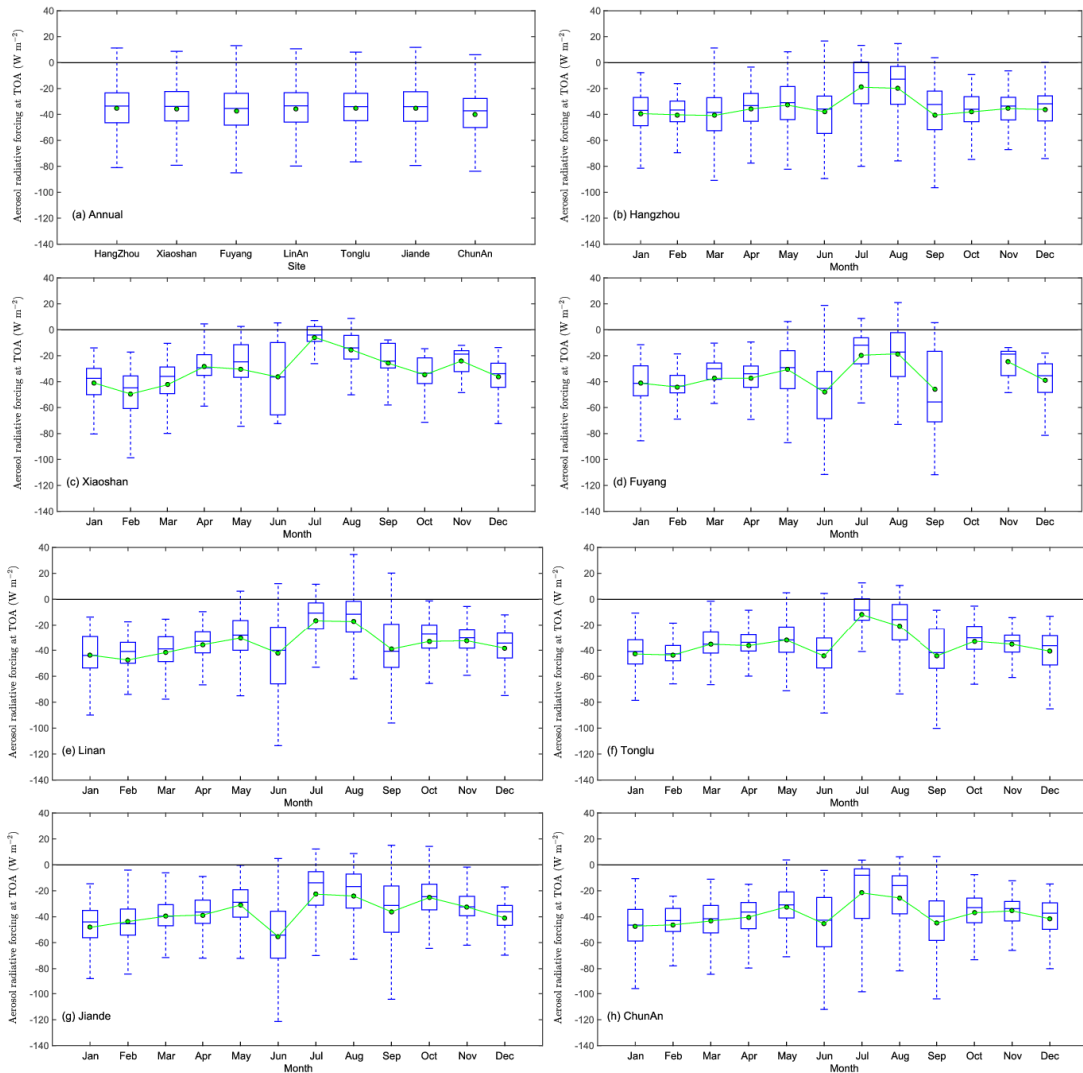


573

574 Fig.15.(a) Annual variation of the ARF at the surface over (b) Hangzhou, (c) Xiaoshan, (d)
 575 Fuyang, (e) LinAn, (f) Tonglu, (g) Jiande and (h) ChunAn. The boxes represent the 25th to 75th
 576 percentile distribution, while the dots and solid lines within each box represent the mean and
 577 median, respectively.

578 The ARF-TOA values were less than -40 W/m^2 at the urban, suburban and rural sites in
 579 the YRD. The AFR-TOA values were negative all year, which suggests that the aerosols
 580 caused a cooling effect at the TOA as well as at surface in the YRD. This is different from the
 581 north/northeast regions of China, where the instantaneous AFR-TOA value can be positive in
 582 the winter season as a result of the large surface reflectance on short wavelength radiation
 583 and heating caused by absorbing aerosols (Che et al., 2014). The surface albedo in the YRD
 584 region is lower than in north/northeast China as a result of better vegetation. At the same time,

585 there is also a low level of absorbing aerosol emissions in winter. This caused obvious
 586 negative AFR at the TOA at the urban, suburban and rural sites in the YRD.



587
 588 Fig.16. (a) Annual variation in the aerosol radiative forcing at the top of the atmosphere (TOA)
 589 in (b) Hangzhou, (c) Xiaoshan, (d) Fuyang, (e) LinAn, (f) Tonglu, (g) Jiande and (h) ChunAn.
 590 The boxes represent the 25th to 75th percentile distribution, while the dots and solid lines
 591 within each box represent the mean and median, respectively.

592 **4. Discussion and Summary**

593 In this paper, the aerosol optical properties, including the AOD, EAE,SSA, complex
 594 refractive index, volume size distribution, and the absorption properties of the AAOD and AAE
 595 were retrieved from ground-based measurements data over the YRD in eastern China for the

596 period 2011–2015. The AOD in Hangzhou in urban eastern China was similar to that in
597 Shenyang (0.75) in urban northeast China (Zhao et al., 2013), and in Beijing (0.76) and Tianjin
598 (0.74) in urban north China (Che et al., 2015b), indicating that the aerosol extinction is both
599 common and at a similar level throughout most urban areas of China. The AOD values at the
600 urban and suburban sites of Hangzhou were slightly higher than at Pudong (0.70) and Hefei
601 (0.69), other urban areas in eastern China, suggesting that higher aerosol extinction ability
602 were observed here (He et al., 2012; Liu et al., 2017). However, the AOD at all seven sites was
603 lower than that obtained at Wuhan (1.05), Nanjing (0.88), Dongtan (0.85), Taihu(0.77) and
604 Xuzhou (0.92) in previous studies in eastern China (Wang et al., 2015; Li et al., 2015; Pan
605 sphericity et al., 2010; Xia et al., 2007; Wu et al., 2016). This indicates that the aerosol loading
606 caused by anthropogenic activities is very high in both urban and suburban areas in eastern
607 China. The site at LinAn is regarded as the clean suburban site in eastern China with an
608 average AOD about 0.73 ± 0.44 , which is higher than that at the other regional background
609 stations of China, such as Longfengshan (0.35; northeastern China), Mt Waliguan (0.14,
610 inland Asia), Xinglong (0.28, northern China), Akedala (0.20, northwestern China) and
611 Shangri-La (0.11, southwestern China) (Wang et al., 2010; Che et al., 2011; Zhu et al., 2014;
612 Che et al., 2015b). The aerosol loading in eastern China (especially in the YRD region) is at
613 least twice as high as in other regions of China which indicate the strong aerosol extinction.
614 Moreover, aerosol extinction was at a high level over both urban and suburban sites and even
615 over the rural sites in the YRD which suggests large regional scale aerosol loading over
616 eastern China in recent years.

617 The fine mode fraction of AOD (>0.90) and coarse mode fraction of AOD (~ 0.10) as well
618 as the relationship between the EAE and the spectral difference in the EAE suggested the
619 dominance of fine mode fraction to the AOD and the subordinate position of coarse mode
620 fraction in the YRD. The validation results indicates a good Terra-MODIS matching with better
621 fitting correlation at 3km rather than 10km products with the retrievals performed better in
622 suburban than in urban and rural areas, but were systematically over estimated in rural and
623 urban areas and their immediate surroundings.

624 The range of SSA at 440nm was about 0.91–0.94 in the YRD region which suggesting the
625 presence of mainly scattering aerosol particles in eastern China as a result of high industrial
626 and anthropogenic activity. The SSA of dust was weakly lower at short wavelength while the
627 SSA of aerosol from biomass burning has the strong wavelength dependence in the longer
628 wavelength.

629 The similar AOD levels at the seven sites indicated that absorbing aerosols were
630 homogeneously distributed in the YRD region. The difference in the distribution of the AAE
631 suggests that the absorbing aerosols have different characteristics depending on the emission
632 source. The “mostly black carbon” category was the dominant contributor of absorbing
633 aerosols at the urban, suburban and rural sites in the YRD region. The submicron “mixed small
634 particle” category had a significant effect on the aerosol optical properties over the YRD region.
635 The sphericity fraction showed a dispersed distribution of spherical particles, indicating a
636 mixture of both fine- and coarse-mode particles from anthropogenic and natural sources.

637 The large ARF-BOA indicated a high aerosol loading that scattered and absorbed more
638 radiation with the stronger aerosol cooling effect at the surface in the YRD region. Both the
639 burning of biomass from crop residues and the hygroscopic growth of particles could make
640 important contributions to the ARF-BOA in summer over the YRD region. The AFR-TOA values
641 were negative all year with an aerosol cooling effect at the TOA while the instantaneous
642 positive in AFR-TOA value in the winter by the large surface reflectance of better vegetation
643 has been found different from the north/northeast China.

644 The column aerosol optical properties over urban, suburban and rural areas of YRD
645 region of China were investigated and the results will increase our understanding of the
646 characteristics and sources of aerosol emissions over eastern China. Future research should
647 consider the vertical distribution of aerosols by Lidar, the validation of the aerosol optical
648 results of other satellite products such as VIIRS and GOCI, and a comprehensive analysis of
649 the physical and chemical properties of aerosols and meteorological factors.

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