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21 September 2017

Dear Dr. Brown,

In response to the very helpful reviewer comments, we have made extensive changes to the manuscript. We believe that we have addressed all of the reviewers concerns.

We provide below our: (i) point-by-point response, (ii) the revised manuscript with changes tracked and (iii) the revised supplemental material with changes tracked.

Please let me know if you have any questions.

Regards,

Christopher D. Cappa Professor and Vice Chair

Civil and Environmental Engineering

University of California, Davis

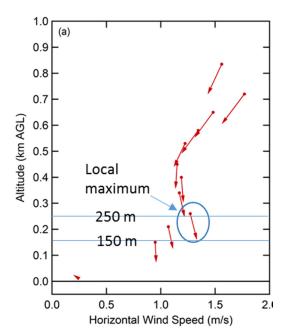
## **Response to Reviewer Comments:**

We thank the reviewer for the suggestions on how to improve our paper. Our responses follow below, with reviewer comments in **black** and our responses in **blue**. Alterations to the manuscript text are in quotes with new additions in *italics*.

#### Reviewer #1

1. The authors suggest in Page 8 line 25 ".. the expected local nocturnal chemical production of nitrate in the RL should exhibit relatively minor vertical variation (due to variations in temperature and RH) (Figure S5). In other words, without loss or dilution processes, it is expected that the NO3-(p) concentration would increase to a similar extent at all RL altitudes." and attribute the observed vertical distribution of NO3-(p), which shows enhancements ~ 100 -150 m agl at  $\sim$  9:30 AM, to the differential horizontal advection in the RL. I am not 100% convinced that the horizontal differential advection is the main driver of the observed morning profiles of NO3-(p). Figure 3a does NOT show maximum wind speed at ~ 250 m, which is also the altitude at which morning NO3-(p) is minimum. Figure 3 suggests to me the strongest winds appear to be at 150 m very frequently, whereas on average they (b2 and b3) are basically the same through a deep layer and very light winds (~1.5 m/s). This is consistent with the idea that the horizontal transport aloft is responsible for the valley-wide distribution of pollutants/precursor gases, but it does not necessarily suggests its direct role in the vertical distribution of NO3-(p). Also the average vertical profiles shown in Figure S3 and Figure 2A look very similar to the average nocturnal profiles of NO2, O3 and N2O5 reported by Brown et al. 1, indicating the altitude dependent chemical composition due to stable conditions could account for the observed NO3-(p) profile if heterogeneous uptake of N2O5 is the key source of NO3-(p). Consider re-phrasing some of the statements.

While we appreciate the reviewer's concern, we disagree with at least the first part of the reviewer's argument. Figure 3A (now Figure 4A) very clearly shows a maximum in horizontal wind speed at 250 m. This is apparent in the Figure, redrawn on the next page to explicitly show lines for 150 m and 250 m. The mean horizontal wind speed at 250 m is clearly larger than at 150 m. We suspect there was some confusion as to what altitude is associated with each arrow. The altitude is indicated by the little circles at the start of the arrow, not the arrow head. Given that both reviewers had some difficulty with this figure, we have modified the caption to explicitly indicate that the little circles are the altitude, not the arrow heads: "The length of the arrows corresponds to the wind speed and the direction to the average wind direction, with the measurement height indicated by the small circle on the tail of the arrow." Our hope is that this change in the caption clarifies the altitude at which each measurement was made.



**Figure 1.** Annotated reproduction of Figure 3A from the original manuscript, showing the maximum horizontal wind speed is observed at 250 m.

Regarding the Brown et al. (2007) measurements, it should first be made clear that those measurements were made in the fall in a rural area of Colorado, not in wintertime CA over a medium-sized city such as Fresno. Regardless, they observed notable vertical structure in  $NO_3$  and  $N_2O_5$ , indicative of "distinct chemical regimes as a function of altitude." One potentially important difference is that, unlike in Fresno, they observed substantial differences in the relative humidity with altitude. This could contribute to some of the vertical variation, although not likely the highly resolved structure that was occasionally seen by them in their series of overnight profiles.

To relate this to the Fresno measurements here, we emphasize that the chemical regime is not fundamentally separable from advection. This is especially so since both  $O_3$  and  $NO_2$  will start in the residual layer with approximately altitude-independent concentrations. If advection serves to bring in cleaner air (with respect to  $NO_x$  and  $O_3$ ) from outside the city then the  $N_2O_5$  production would be reduced. Conversely, if  $O_3$  and  $NO_2$  are higher outside the city then  $N_2O_5$  production might actually be enhanced. Brown et al. (2007) does state that "periodic advection of air masses containing large amounts of NO from nearby sources would be consistent with..., and is likely to be a large contribution to the observed gradients." Further, the role of advection is implicit in serving to create vertical variability in concentrations. We also note that the surface and SO m nocturnal wind speeds reported by Brown et al. tended to be considerably higher than the wind speeds observed during DISCOVER-AQ. This could potentially explain some of the greater variability observed by them, especially since higher wind speeds would also tend to produce comparably higher nocturnal boundary layers, consistent with their

observations of potential temperature. For Fresno, NO<sub>2</sub> is clearly lower outside the city region (Pusede et al., 2014; Pusede et al., 2016). Measurements of O₃ and NO₂ made over the DISCOVER-AQ period are available for Madera (located NW of Fresno), Fresno and Parlier (located SE of Fresno) from the California Air Resources Board. (These cities were selected for comparison, based on the comprehensive summertime analysis of Pusede et al. (2014).) O<sub>3</sub> concentrations are slightly higher in the more rural cities around Fresno (Figure 2; added to the supplemental as Figure S6). The particle nitrate production efficiency scales approximately as [NO<sub>2</sub>][O<sub>3</sub>] (all other factors being equal). This product is substantially higher in Fresno than in Madera and Parlier in the late afternoon, when boundary layer decoupling occurs (Figure 2; added to the supplemental as Figure S6). This suggests that advection from surrounding areas within the residual layer is likely to decrease the overall overnight particulate nitrate production over Fresno, as experienced the next morning when the residual layer air is entrained to the surface. However, we note that this assumes otherwise equivalent conditions (in particular, the loss rate of N<sub>2</sub>O<sub>5</sub> with respect to uptake on particles). We also point to Figure S6 (now S7), which explicitly shows an inverse relationship between the nitrate concentration in the early-morning vertical profile and the overnight average wind speed at that altitude.

The above is all to say that we believe it is fully consistent with the observations of Brown et al. (2007) to make an argument that advection is a driving force in shaping the overnight the local vertical profile, since here the calculations of nitrate production based on observationally constrained initial conditions indicate that chemical or physical (T & RH) differences alone are unlikely to explain the observations. Thus, advection can have a secondary impact of changing the chemical conditions in addition to the direct impact of importing air with a lower particulate nitrate concentration. This was originally discussed on P8,L25 through P9,L11. We have revised this section to try and make these points more clearly, as:

"Box model calculations indicate that the expected local nocturnal chemical production of nitrate in the RL should exhibit relatively minor vertical variation due to variations in temperature and RH *alone* (Figure S5). In other words, without *advective* loss or dilution processes *of either*  $NO_{3^{-}(p)}$  *or the precursor gases* it is expected that the  $NO_{3^{-}(p)}$  concentration would increase to a similar extent at all RL altitudes.

The substantial changes observed in the shape of the vertical profile overnight indicate that night time differential advection in the RL is a major factor in determining the shape of the morning  $NO_3^-(p)$  vertical profile during this pollution episode. Differential horizontal advection serves to *directly* export  $NO_3^-(p)$  from the urban area and import cleaner air from surrounding areas. *Secondarily, as*  $NO_x$  concentrations are also lower outside of the Fresno urban area (*Pusede et al., 2014*), this differential advection will also influence the over-city concentrations of precursors gases ( $NO_x$  and  $O_3$ ; Figure S3-S4) and consequently the *altitude-specific* nitrate production, with decreases likely. *This is supported by surface-level measurements of* 

NOx and O3 made in Fresno and in the nearby and much more rural cities of Parlier (located 35 km SE of Fresno) and Madera (located 40 km NW of Fresno). The NOx and NO2 concentrations are higher and the O3 lower in Fresno compared to the surrounding cities throughout the day, and the instantaneous nitrate production rate ([NO2][O3]) is substantially higher in Fresno in the late afternoon, when decoupling occurs (Figure S6). The important implication is that overnight advection both directly and indirectly alters the vertical  $NO_{3\bar{\ }(p)}$  profile and decreases the over-city  $NO_{3\bar{\ }(p)}$  concentrations in the morning, which will consequently serve to limit the extent of localized pollution build-up during events."

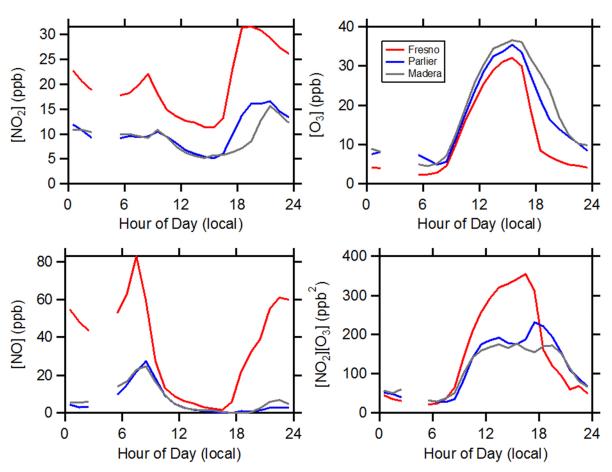


Figure 2. Diurnal profiles in  $NO_2$ ,  $O_3$ , NO and  $NO_2$  x  $O_3$  concentrations for Fresno, Parlier and Madera.

2a. The authors assume morning chemistry due to CINO2 and/or HONO is negligible even though the vertical profiling takes place 9:30 AM, after  $^{\sim}1-2$  after sunrise and Brown et al. 2 reports enhancements in NO3-(p) during the night and early morning. More justification or comment on morning chemistry is needed.

As stated by (Brown et al., 2006), "Surface aerosol nitrate data were not of sufficient quality and are not used." Thus, they only report on measurements of particulate nitrate made at 90 m AGL, not at the surface. This is an important distinction since we find that the nocturnal boundary layer height was << 90 m AGL for the DISCOVER-AQ measurements and we are reporting on diurnal variation in surface concentrations. This key difference makes it difficult to compare the Brown et al. (2006) observations with our observations. Their comparison between O<sub>3</sub> and NO concentrations measured at 90 m and 7 m AGL certainly suggests that they were sampling particles above the surface layer when sampling at 90 m. As such, we would fully expect to observe different diurnal behavior between their study and ours. Their observing of overnight "enhancements" in particulate nitrate are fully consistent with the mechanism that we are proposing. We also note that Brown et al. (2006) do not report diurnal profiles for their particulate nitrate measurements, despite reporting them for many other parameters (e.g. particle number, black carbon, O<sub>3</sub>, NO). It is thus quite difficult to discern any specific timing with respect to time of day from their measurements, although there does seem to be a midmorning peak on many days in their time series.

Regarding HONO, (also relevant to 2b below), we note that the estimated peak OH includes contributions of HONO photolysis, which can actually dominate the OH production (Kim et al., 2014b). We now indicate this specifically as:

"Photochemical production of HNO3 is calculated based on the oxidation of NO2 by hydroxyl radicals, with wintertime concentrations estimated to peak around [OH] =  $10^6$  molecules cm 3 at noon in the region, with contributions from  $O(^1D) + H_2O$  (from  $O_3$  photolysis), HONO photolysis and  $CH_2O$  photolysis (Pusede et al., 2016)."

2b. In the same vein, the authors derive [OH] by using peak [OH] of 1x106 molecules/cm3 at noon, a relatively large number under wintertime conditions (although conditions in California might be different than other areas with typical winter season) and by scaling it with the observed solar radiation and estimate the photochemical contribution to be large,  $\sim 60\%$  at 3 PM. Discussion on the effects of snow cover on the ground, cloud cover and fog during these episodes will be helpful since typical cold pool conditions often include those, which in turn limit the photochemical production.

The assumption of [OH] = 1e6 molecules cm<sup>-3</sup> was based on the more detailed chemical calculations of Pusede et al. (2016), and includes contributions from  $O(^1D) + H_2O$  (from  $O_3$  photolysis), HONO photolysis and CH2O photolysis. For broader context, we are aware of three observational studies reporting midday [OH], with: 1.4e6 molecules cm<sup>-3</sup> in New York City (Ren et al., 2006), and 1.7e6 molecules cm<sup>-3</sup> in Birmingham, UK (Heard et al., 2004), 2.7e6 molecules cm<sup>-3</sup> in Colorado (Kim et al., 2014a).

We note that there was no snow on the floor of the SJV during the campaign (and almost never is). There was little to no fog during DISCOVER-AQ, a contrast from some other years and a result of the regional climatology (e.g. el Nino vs. la Nina) (Young et al., 2016). Cloud cover was minimal. We have added the following to the "Materials and Methods" section of the manuscript: "Local conditions during DISCOVER-AQ were relatively cool (Tavg = 7.9 °C) and dry (RHavg = 69%) with frequent sunshine and no visible fog."

2c. In general, more extended discussion contrasting pollution episodes in other areas will improve the overall quality of this manuscript.

We have added a new section "3.4 Linking to other regions." Page 20, Lines 1-5 have been moved to this section and additional discussion is now provided. The new section is provided here:

## 3.4 Linking to other regions

"Production of  $NO_3^-(p)$  in the RL can vary widely based on initial concentrations of its precursor gases, as well as the rate of heterogeneous uptake of  $N_2O_5$  by particles. It may be that production of  $NO_3^-(p)$  via the  $N_2O_5$  hydrolysis pathway may be significant in the aloft RL in other regions with similar geographical and meteorological conditions, such as Salt Lake Valley, Utah (Kuprov et al., 2014; Baasandorj et al., 2017). However, in valley regions with lower  $NO_x$  or  $O_3$  the nocturnal  $PNO_3^-$  may be lower, thus limiting the importance of this pathway (Akira et al., 2005; Bigi et al., 2012). Among other factors, the extent to which nocturnal  $NO_3^-(p)$  formation occurs more-so in the surface layer versus in layers aloft will depend importantly on the extent of  $NO_x$  emissions at the surface (which titrate  $O_3$ , suppressing particulate nitrate formation), the absolute and relative height of the nocturnal boundary layer (which affects the rate  $HNO_3$  deposition and the air volumes in which nitrate production occurs), and gradients in RH, T and  $NH_3$  (Kim et al., 2014c).

For example, Baasandorj et al. (2017) observe at their valley wall and valley floor sites in wintertime Utah that  $O_3$  concentrations near the surface remain well-above zero even during pollution episodes, thus allowing for surface-level  $NO_3^-(p)$  formation overnight, substantiated by direct measurements of  $N_2O_5$ , in addition to formation aloft. Nitrate-specific diurnal profiles were not reported. In Shanghai, China, Wang et al. (2009) observed in fall 2007 that both  $O_3$  and  $NO_2$  remain elevated at night at the surface, with a concomitant increase in surface  $NO_3^-(p)$ . And in wintertime Seoul, Korea, Kim et al. (2017) observed relatively limited diurnal variability in  $O_3$  and  $NO_2$  concentrations measured at 60 m, with both remaining elevated throughout the night. However, they did not observe any notable build up in  $NO_3^-(p)$  overnight, but did observe  $NO_3^-(p)$  to increase and peak in the morning, as here. In contrast, in Fresno here the night time surface  $O_3$  levels during

Episode 1 were nearly zero, suppressing surface  $NO_{3^-(p)}$  formation. This near-zero nocturnal  $O_3$  is similar to observations by Kuprov et al. (2014) made a few years before Baasandorj et al. (2017) at one of the same valley floor sites in Utah, reflecting year-to-year differences. Such differences can influence the extent to which a notable increase in  $NO_{3^-(p)}$  is observed to occur in the early morning as air is entrained from the residual layer to the surface. This is because if surface production and production in the residual layer are similar in magnitude the contrast between the two will be reduced and entrainment will appear to have a less apparent impact on the diurnal profile. However, because the effective volume of the residual layer is typically much larger than the nocturnal boundary layer (as is the case here), even without an observed increase in  $NO_{3^-(p)}$  at the surface in the morning the  $NO_{3^-(p)}$  produced in the residual layer can still dominate the overall  $NO_{3^-(p)}$  burden during the day.

Additionally, comparison between the Baasandorj et al. (2017) observations of late afternoon surface  $NO_2$  and  $O_3$  (which reflect the initial conditions within the residual layer) with the Fresno observations indicates differences can exist in how nocturnal production in layers aloft influences the build-up and sustaining of  $PM_{2.5}$  in pollution episodes. They observed during a strong  $PM_{2.5}$  episode a slow build-up of  $PM_{2.5}$  followed by a plateau lasting multiple days. During this period, late-afternoon  $O_3$  concentrations decreased over time while late-afternoon  $NO_2$  was approximately constant (in the daily average). Consequently, the nitrate radical production rate in the residual layer, and thus the  $N_2O_5$  and  $NO_3^-(p)$  production rates, decreased over time in their study. In contrast, for Episode 1 here, the late-afternoon nitrate radical production rate increased over time across the episode (by  $0.25~\mu g$  m<sup>-3</sup> day<sup>-1</sup>), with only a moderate decrease in the daytime  $O_3$  over time (Figure S9). These differences reflect the different photochemical conditions between the regions, and illustrate the coupling between the daytime photochemical conditions (i.e.  $O_3$  production) and night time  $NO_3^-(p)$  formation above the surface."

3. Transport out or entrainment from free troposphere in the afternoon leads to the loss of PM2.5, but it also introduces O3 and dilutes NOx, impacting the PNO3 formation at night. What about the later effects? Figure S3c indicates significant differences in O3 above and below the inversion cap during this episode.

Indeed, entrainment of FT air alters concentrations of  $O_3$  and  $NO_x$  in the mixed boundary layer, which then becomes the residual layer. However, as we use the observations of  $O_3$  and  $NO_2$  to constrain the formation model, and since the daytime boundary layer is well-mixed, the influence of daytime entrainment on at least the initial conditions in the residual layer is accounted for. To the extent that there is any entrainment of FT air into the residual layer at night this would impact chemical production in the residual layer. Given the strong atmospheric stability at night, nighttime entrainment of FT air into the residual layer is not expected to be

substantial, just as mixing between the residual layer and the nighttime boundary layer is strongly limited. (This point was already noted on P8 when we stated that "In the absence of a strong jet aloft and no convective mixing, night time entrainment of cleaner FT air into the RL is expected to be considerably slower than horizontal advection.") To make this point further, we have now added the following to the main text description of the box model: "While entrainment of FT air also alters the NO2 and O3 concentrations in the mixed layer, since these are constrained by the surface observations (within the mixed layer) this is accounted for."

4. Page 13 line 17: "The peak and turnover in surface-level NO3-(p) occurs when higher RL layers, where [NO3-(p)]RL < [NO3-(p)]ML, are entrained.". Shouldn't it be "[NO3-(p)]RL > [NO3-(p)]ML"?

No. The increase up to the peak occurs due to entrainment of air with [NO3-(p)]RL >[NO3-(p)]ML. However, the actual peak occurs when air above the especially nitrate rich layer is entrained to the surface and where this higher-level air has [NO3-(p)]RL < [NO3-(p)]ML. The help clarify this, we now indicate that this "occurs when even higher RL layers" are entrained.

5. Using increase rate in 24-h PM2.5 running average and % nitrate contribution might be more suitable for estimating the nocturnal nitrate production as it already take into consideration the transport term.

We assume this comment is in reference to using the early-morning peak values (Figure 6B) to characterize the increase. Since we have direct measurements of particulate nitrate concentrations at the surface, it seems more straightforward to use those measurements, rather than PM2.5 and the nitrate contribution (since these are all related). As an alternative to the peak analysis, we have fit the 24-h average particulate nitrate concentrations over the episode. This gives a slope of 0.66 ug m<sup>-3</sup> d<sup>-1</sup>, as opposed to 1.32 ug m<sup>-3</sup> d<sup>-1</sup> for the peak analysis. This is now stated on P18 as: "For comparison, the 24-h average surface-level NO3 (p) increases by 0.66  $\mu$ g m<sup>-3</sup> day<sup>-1</sup>."

## Reviewer #2:

We thank the reviewer for their helpful comments. Upon comparing the line numbers referenced by the reviewer with the line numbers in the ACPD paper, it appears that the reviewer may have incidentally been reading the initial submission that did not include changes in response to the Quick Review process. We note this simply to avoid confusion in terms of line numbers/figure numbers. We will refer to the published ACPD version in our response.

1. It would be helpful to have a better discussion related to how this paper fits into previous studies from Idaho, Washington, and Utah. The authors state in the abstract (page 2, lines 17-19) that the results from their paper "provide general insights into the evolution of pollution

episodes in wintertime environments". However, the discussion that relates their findings to previous research in other areas is limited to two sentences (page 20, lines 1-5).

We have added a new section "3.4 Linking to other regions." Page 20, Lines 1-5 have been moved to this section and additional discussion is now provided.

2. The surface heat flux and friction velocity are mentioned in the manuscript and appendix related to the calculation of mixing layer height, it is not clear what instrument this data come from, or if they came from a model. (page 12 line 10, page 25, lines 16-19)

We accidentally failed to state that the surface heat flux and friction velocity were both constrained by sonic anemometer measurements made at the Huron site, in addition to the radiosonde measurements. This information has now been added.

3. The dataset might be too limited, but can the overnight advection be quantified and related to local sources? This would benefit the discussion on page 9 (lines 5-8) and the discussion about the advection from a nearby source on page 17 (lines 9-11).

It is difficult to be much more quantitative regarding the particular role of advection from this dataset. The wind profiler data available from nearby Huron provide guidance, but as they are not co-located with the ground site it is difficult to be more explicitly quantitative. We do note here that we found that our back-calculated N<sub>2</sub>O<sub>5</sub> uptake coefficient was lower than the expected uptake coefficient based on composition, which is consistent with advection having decreased local concentrations relative to the total potential nitrate formation overnight in the absence of advection. Regarding the discussion on P17, we have worked to understand the particular source of this evening "bump" in greater detail, for example using HYSPLIT back trajectories to identify likely source locations, but no clear answer has developed from this. One of the challenges here is that we do not know, for example, whether this late evening increase is likely the result of (for example) some source located close and transported a short distance over a short period of time or located further away and transported over a longer distance and time. Assessment is further complicated by the strong shift in wind direction between the surface (10 m) and higher altitudes (Figure 3 in the initial manuscript, now Figure 4). Since the focus of the manuscript is on the early morning and afternoon behavior, rather than this late evening phenomenon, we have limited the discussion regarding the nature or location of the nearby source.

4. Page 6, lines 15-16: "differential horizontal transport in the RL" sounds a bit awkward; the term advection might be suitable here.

We have changed this to "altitude-dependent advection" so as to continue to emphasize the idea that the influence of advection is not the same at all layers.

5. Page 7, lines 22-24: "The derived, observationally constrained..." Referring to the estimated versus observed profiles of nitrate. While in general there is good agreement, there is not good agreement in the morning profiles close to the surface (<75m), especially in regard to the shape of the profiles. It would be worth mentioning that here.

We have clarified the comparison to be more precise as follows:

"The derived, observationally constrained NO3 (p) profiles based on the estimated NO3 (p) exhibit generally good correspondence with the sparser direct measurements of NO3-(g+p), although on one of the two days available for comparison the total  $NO_3^-$  somewhat exceeds the estimated  $NO_3^-$  (p) below ~75 m (Figure 2)."

6. Figure 4 is referenced in the text before Figure 3, these two figures should be switched.

We have switched the order of these figures.

7. Page 9, line 17-18: The maximum wind speed occurring at 250m is not clear based on the data shown in Figure 3a.

We have added the word "local" to indicate that there is a local, not global, maximum at ~250 m height. Also, in Figure 1 above in this response we point out the local maximum. We have also modified the caption as follows: "The length of the arrows corresponds to the wind speed and the direction to the average wind direction, with the measurement height indicated by the small circle on the tail of the arrow."

8. Page 12, lines 24-26: "good agreement" while the timing of the morning peak between the model and observations is captured the model does not capture increases in the surface nitrate concentrations after the ML decreases. While this is discussed later in the paper, it should be mentioned here that the model does not have good agreement with observations during the evening.

We now state: "The model predictions for the individual flight days also exhibit generally good agreement with the NO3 (p) observations except in the late evening, discussed further below (Figure S7)."

9. Page 17, line 9: Should this be referencing Figure S6 instead of Figure 8?

This should have been Figure S7, not Figure 8. This has been corrected.

10. Page 19, lines 18-19: "vertical mixing has a particularly large impact on the ..." Is this vertical mixing really entrainment and dry deposition? The paper does not quantify or discuss modeling results for vertical mixing throughout the boundary layer; the box model focus is dry deposition and entrainment.

We have clarified this statement in the revised manuscript. What was intended here is an indication that the very shallow nocturnal boundary layer means that vertical mixing in the morning, which entrains air from the residual layer, can strongly control the surface concentrations. We now state:

"vertical mixing in the early morning, which entrains air from the residual layer into the surface mixed layer, has a particularly large impact on the surface concentrations here due to the nocturnal boundary layer being exceptionally shallow."

11. The sampling times for the instruments might be beneficial to the reader (Table A1), for example "Fast measurements: : " (page 7, line 16) does not really have a context.

We have revised this to say: "Fast measurements of total  $NO_3^-$  (gas + particle,  $NO_3^-$  (g+p)) were only available for a subset of flights (Pusede et al., 2016), and particulate-only NO3 measurements were not made with sufficient time resolution, less than about a minute, to allow for robust characterization of the  $NO_3^-$ (p) vertical profile."

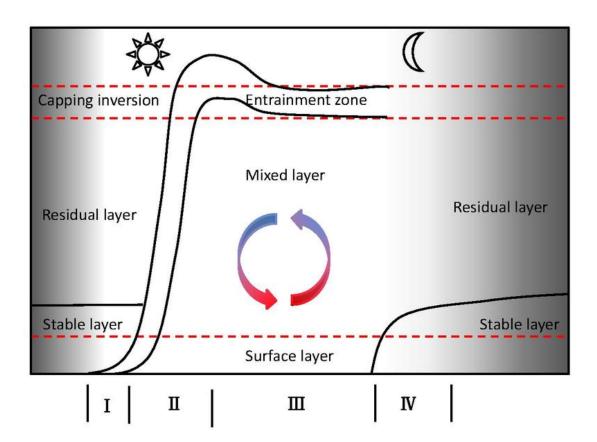
12. Page 25, lines 25-27: Is the assumption for the boundary layer to linearly drop over a 1-hour period reasonable, it seems too quick, and how does this assumption impact the results? This is vaguely referred to on page 17 (lines 19-22) where the decoupling in the model occurs very rapidly while the temperature and RH changes from observations appear to be more gradual. Is this, or could it potentially, have an impact on the evening increase in nitrate concentrations?

The fall in the mixed layer height occurs much faster than the temperature and RH changes, as it is strongly related to the input of solar radiation, although the rapid fall in the mixed layer height is apparent in the rapid rise in NO<sub>x</sub> and fall in O<sub>3</sub> at this time. The decrease in the mixed layer height can occur very rapidly as the solar flux decreases since there is then a distinct lack of thermal forcing. Thus, despite the temperature remaining elevated for many hours after the sun goes down, there is not an energy input to sustain a high boundary layer height. This general phenomenon is evident in the classic figure from Stull (redrawn on Wikipedia, pasted below for reference). More specific to the SJV, Bianco et al. (2011) observed diurnal profiles of boundary layer heights across summer-winter seasons for one year using radio acoustic sounding system (RASS) profiles at various sites in the SJV. At the site located nearest to Fresno, Chowchilla, they observed that the daytime BLH was approximately constant during the winter, with perhaps a slight drop ~2 h before sunset followed by a rapid drop in the hour right around sunset. This behavior is generally consistent with our model framework. It is also consistent with turbulent kinetic energy decay rates in the late afternoon/evening transition as reported in

Lothon et al. (2014) and Nadeau et al. (2011), with TKE falling by an octave or more in an hour. Certainly the linear decline is an approximation to the true behavior. We have added the following to Appendix B to indicate this: "A relatively rapid (~1 h) decline in the mixed layer height is consistent with wintertime observations of diurnal BLH profiles (Bianco et al., 2011)."

In terms of the implications of this approximation/assumption, this would, however, not have an impact on the late evening increase in particulate nitrate. But, if we assumed a slower decline (such that the BLH remained higher for longer) then the calculated late afternoon decrease in surface concentrations of particulate nitrate due to the "gas-phase pump" and HNO<sub>3</sub> deposition would be decreased (c.f. equation C9, which has BLH in the denominator). Alternatively, if the BLH fell more rapidly, then the calculated late afternoon decrease in particulate nitrate would have been greater. We aimed to make this point in the original manuscript with the parenthetical that stated (P17,L4-7):

"(In the model here, the decoupling is assumed to occur very rapidly while the temperature and RH changes are from observations and occur more gradually. If the decoupling was actually slower the influence of the gas-phase pump at this point in time would be reduced and the modelled decrease in NO3 (p) that occurs around 3-5 pm would be less than shown.)"



**Figure 3.** Evolution of the near surface atmosphere over time, taken from Wikipedia and after Stull. Period I = sunrise, Period II = growth of the daytime boundary layer, Period III = sustaining of the daytime boundary layer, Period IV = decoupling of the surface layer and residual layer near sunset.

13. There are a few (~20-30) minor typos or grammatical errors.

We have read through and done our best to identify all of these and fix them.

## References:

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# Observational assessment of the role of nocturnal residual-layer chemistry in determining daytime surface particulate nitrate concentrations

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Abstract. This study discusses an analysis of combined airborne and ground observations of particulate nitrate (NO<sub>3</sub>-(p)) concentrations made during the wintertime DISCOVER-AQ study at one of the most polluted cities in the United States, Fresno, CA in the San Joaquin Valley (SJV) and focuses on development of understanding of the various processes that impact surface nitrate concentrations during pollution events. The results provide an explicit case-study illustration of how nighttime chemistry can influence daytime surface-level NO<sub>3</sub>-(p) concentrations, complementing previous studies in the SJV. The observations exemplify the critical role that nocturnal chemical production of NO<sub>3</sub>-(p) aloft in the residual layer (RL) can play in determining daytime surface-level NO<sub>3</sub>-(p) concentrations. Further, they indicate that nocturnal production of NO<sub>3</sub>-(p) in the RL, along with daytime photochemical production, can contribute substantially to the build-up and sustaining of severe pollution episodes. The exceptionally shallow nocturnal boundary layer heights characteristic of wintertime pollution events in the SJV intensifies the importance of nocturnal production aloft in the residual layer to daytime surface concentrations. The observations also demonstrate that dynamics within the RL can influence the early-morning vertical distribution of NO<sub>3</sub>-(p), despite low wintertime wind speeds. This

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overnight reshaping of the vertical distribution above the city plays an important role in determining the net impact of nocturnal chemical production on local and regional surface-level NO<sub>3</sub> (p) concentrations. Entrainment of clean free tropospheric air into the boundary layer in the afternoon is identified as an important process that reduces surface-level NO3-(p) and limits build-up during pollution episodes. The influence of dry deposition of HNO3 gas to the surface on daytime particulate nitrate concentrations is important but limited by an excess of ammonia in the region, which leads to only a small fraction of nitrate existing in the gas-phase even during the warmer daytime. However, in late afternoon, when diminishing solar heating leads to a rapid fall in the mixed boundary layer height, the impact of surface deposition is temporarily enhanced and can lead to a substantial decline in surface-level particulate nitrate concentrations; this enhanced deposition is quickly arrested by a decrease in surface temperature, which drops the gas-phase fraction to near zero. The overall importance of enhanced late afternoon gas-phase loss to the multiday build-up of pollution events is limited by the very shallow nocturnal boundary layer. The case study here demonstrates that mixing down of NO<sub>3 (p)</sub> from the RL can contribute a majority of the surface-level NO<sub>3 (p)</sub> in the morning (here, ~80%), and a strong influence can persist into the afternoon even when photochemical production is maximum. The particular day-to-day contribution of aloft nocturnal NO<sub>3</sub>-(p) production to surface concentrations will depend on prevailing chemical and meteorological conditions. Although specific to the SJV, the observations and conceptual framework further developed here provide general insights into the evolution of pollution episodes in wintertime environments.

#### 20 1 Introduction

Nocturnal processing of nitrogen oxides,  $NO_x$  (=  $NO + NO_2$ ) can strongly influence daytime air quality (Dentener and Crutzen, 1993; Brown et al., 2006c). At night, once photochemical reactions shutdown,  $NO_x$  reacts with ozone ( $O_3$ ) to form nitrate radical ( $NO_3$ ) and dinitrogen pentoxide ( $N_2O_5$ ) (Reactions 1 through 3a).  $N_2O_5$  can react heterogeneously with airborne particles to form either nitric acid ( $HNO_3$ ) (Reaction 4a) or, in the presence of particulate chloride, nitryl chloride ( $CINO_2$ ) (Reaction 4b, where  $Y_{CINO_2}$  represents the molar yield of  $CINO_2$  with respect to the  $N_2O_5$  reacted). In the presence of basic species like ammonia ( $NH_3$ ),  $HNO_3$  can be neutralized to form particulate nitrate ( $NO_3^{-1}(p)$ ).  $NO_3$  radicals can alternatively react with volatile organic compounds ( $VOC_3$ ), which suppresses  $HNO_3$  formation (Reaction 3b). Much research has focused on the influence of nocturnal  $NO_x$  processing on

the regional budgets of  $NO_x$  and  $O_3$  and on the oxidative capacity of the atmosphere during subsequent mornings (e.g. Brown et al., 2006b; Thornton et al., 2010; Wild et al., 2016). The corresponding impact of nighttime production of  $NO_3^-(g+p)$ , a key nocturnal sink for  $NO_x$ , on local and regional air quality can be considerable (Lowe et al., 2015; Pusede et al., 2016) but is less often considered in detail.

5 NO + O<sub>3</sub> 
$$\rightarrow$$
 NO<sub>2</sub> + O<sub>2</sub> (R1)

$$NO_2 + O_3 \rightarrow NO_3 + O_2 \tag{R2}$$

$$NO_2 + NO_3 \leftrightarrow N_2O_5$$
 (R3a)

$$NO_3 + VOC \rightarrow products$$
 (R3b)

$$N_2O_5 + H_2O(het) \rightarrow 2HNO_3$$
 (R4a)

10 
$$N_2O_5 + Cl^-(het) \rightarrow Y_{CINO2} + (2 - Y_{CINO2})NO_3^-$$
 (R4b)

The importance of nocturnal NO<sub>x</sub> chemistry to NO<sub>3</sub>-(p) production can be especially important in the winter. Relative to summer, nights in winter are longer, colder and more humid, and biogenic VOC emissions tend to be smaller. This allows for a larger fraction of NO<sub>2</sub> to be oxidized to HNO<sub>3</sub> via the N<sub>2</sub>O<sub>5</sub> hydrolysis pathway (Cabañas et al., 2001; Wagner et al., 2013) and colder temperatures favor partitioning of nitrate to the particle-phase (Stelson and Seinfeld, 1982). In winter, night\_time HNO<sub>3</sub> production can more efficiently compete with daytime photochemically driven production due to the low photolysis rates and hydroxyl radical concentrations (Wagner et al., 2013; Pusede et al., 2016). Multiday pollution events (i.e. periods with elevated particulate matter concentrations) can occur when meteorological conditions inhibit dispersion, as is the case with persistent cold air pool formation often found in valley regions (Whiteman et al., 2014; Baasandorj et al., 2017). During the daytime, sunlight driven convection leads to an evolution of the near-surface temperature profile and causes the atmosphere to be reasonably well mixed up to some height (typically less than 1 km; c.f. Figure S1). Radiative cooling in the late afternoon leads this mixed layer (ML) to decouple and separate into a

shallow, near surface-level nocturnal boundary layer (NBL) and a residual layer (RL) aloft, the behavior of which can be further modified by valley flows.

Nocturnal conversion of NO<sub>x</sub> to NO<sub>3</sub><sup>-</sup>(p) can occur either in the NBL or the RL. Surface NO emissions can substantially limit direct production of NO<sub>3</sub><sup>-</sup>(p) in the NBL by titrating O<sub>3</sub>, depending on the initial conditions. Nocturnal surface NO emissions do not directly influence the decoupled RL, with chemical production of NO<sub>3</sub><sup>-</sup>(p) dependent on the NO<sub>x</sub>, O<sub>3</sub> and particulate matter in the mixed layer at the time of decoupling. Box and 3D models have been previously used to assess the contribution of nocturnal processes in the RL to the daytime surface concentrations of particulate matter (PM), especially NO<sub>3</sub><sup>-</sup>(p) (Riemer et al., 2003; Curci et al., 2015). Yet, computational models often have difficulty in accurately predicting surface NO<sub>3</sub><sup>-</sup>(p) in many regions, particularly in the winter season, despite good estimations of NO<sub>x</sub> emissions (Walker et al., 2012; Terrenoire et al., 2015), although this is not always the case (e.g. Schiferl et al., 2014). Here, airborne and ground measurements made over Fresno, CA in the San Joaquin Valley (SJV) during the wintertime 2013 DISCOVER-AQ (Deriving Information on Surface Conditions from COlumn and VER tically resolved observations relevant to Air Quality; Appendix A) (Crawford and Pickering, 2014) study are used to further develop our understanding of the role that different factors play in determining surface-level NO<sub>3</sub>-(p) concentrations.

Watson and Chow, 2002), when PM<sub>2.5</sub> (PM with aerodynamic diameter < 2.5 μm) mass concentrations exceed the 24-hour National Ambient Air Quality Standard (NAAQS) of 35 μg m<sup>-3</sup> (Figure 1). Fresno is one of the largest cities in the San Joaquin Valley (SJV), which is largely an agricultural area and suffers from some of the worst air pollution in the United States (American Lung Association, 2014). Shallow daytime mixed layer heights and low wind speeds in winter lead to the accumulation of pollutants across the valley (San Joaquin Valley Air Pollution Control District, 2003). Previous observations in the SJV region have found a build-up of NH<sub>4</sub>NO<sub>3</sub> during pollution episodes (e.g. Chow et al., 2008). Approximately 30 – 80% of the wintertime PM<sub>2.5</sub> mass in this region is ammonium nitrate (NH<sub>4</sub>NO<sub>3</sub>), with a strong diurnal variability, and most other PM<sub>2.5</sub> being organic matter (Chow et al.,

Winters in Fresno are characterized by frequent multiday pollution episodes (Chow et al., 1999;

2006; Ge, 2012; Young et al., 2016; Parworth et al., 2017). During DISCOVER-AQ specifically, NO $_{\frac{1}{2}(p)}$  was found to represent 28% of non-refractory PM<sub>1.0</sub> (PM with aerodynamic diameter  $\leq 1$  µm) mass on average (Young et al., 2016).

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An important role for nocturnal NO<sub>3</sub> (p) production in this region has been previously identified based on observations of long-term trends, the spatial and diurnal variability in NO<sub>3</sub>-(p), and the chemical environment in and around Fresno. For example, Watson and Chow (2002) reported a sharp, early morning (~9 am) increase in surface NO<sub>3</sub>-(p) concentrations on many days of a severe pollution episode in 2000 and suggested that this behavior was consistent with mixing down of nitrate-rich air from the RL aloft. Young et al. (2016) and Parworth et al. (2017) observed similar behavior more than a decade later during DISCOVER-AQ in 2013. Pusede et al. (2016) characterized the relationship between longterm (multi-year) surface measurements of wintertime NO<sub>3</sub>-(p), and NO<sub>2</sub> in Fresno and Bakersfield and showed that the decline in NO<sub>3</sub> (p) in SJV over time (2001-2012) was predominately driven by reduced nocturnal NO<sub>3</sub>-(p) production in the residual layer. The balance between production, especially night time production, and daytime losses was identified by them as critical to understanding the multiday build-up during pollution events. Further, they concluded from DISCOVER-AQ aircraft measurements that much of the NO<sub>3</sub>-(p) production was localized over the cities given the sharp urbanrural gradients in NO<sub>3</sub>-(p); the spatial gradients in 2013 (from (Pusede et al., 2016)) seem to be sharper than gradients in 2000 (from (Chow et al., 2006)), likely reflecting the increasing localization of the NO<sub>3</sub>-(p) pollution to the urban centers as overall NO<sub>3</sub>-(p) concentrations in the region have decreased. Brown et al. (2006a) observed that the number concentration of accumulation mode particles (0.32-1.07 µm) often increased above the surface at 90 m AGL compared to surface (7 m AGL) measurements during night, and suggested that this was due to growth of smaller particles into the accumulation mode via NO<sub>3 (p)</sub> formation. They also observed that the concentration of NO<sub>3 (p)</sub> at 90 m AGL often increased at night, suggestive of in situ production.

The present study builds on this literature by examining the role that aloft nocturnal nitrate production, in concert with other processes, has in determining surface NO<sub>3</sub>-(p) concentrations during the

combines aircraft and surface observations from DISCOVER-AQ (Fig. S1). During DISCOVER-AQ, two pollution episodes were observed during which PM2.5 concentrations were elevated (Young et al., 2016). The analysis here focuses on quantitative assessment of NO<sub>3</sub>-(p) concentrations during this first episode (14 - 22 January) in terms of the processes that govern the NO<sub>3 (p)</sub> diurnal behavior; the observed behavior during this first episode is qualitatively compared with that during the second episode (30 January - 6 February) to examine the factors that contribute to episode-to-episode variability. On flight days, in situ measurements of the vertical profiles of particulate and gas concentrations above Fresno (and other SJV cities) were made three times: in the mid-morning (~9:30 am), around noon and in the mid-afternoon (~2 pm). These measurements allow for assessment of the daytime evolution of the vertical distribution of PM and gases as well as characterization of the timevarying boundary layer height. They also allow for determination of the overnight evolution of the PM vertical distribution, which can be used to characterize the factors that control NO<sub>3</sub> (p) concentrations in the RL. The influence of processes occurring aloft on the temporal evolution of NO<sub>3</sub> (p) surface concentrations is quantitatively evaluated for this case-study using an observationally constrained 1D box model. The box model accounts for both vertical mixing (entrainment) of air to the surface and for photochemical NO<sub>3</sub>-(p) production, as well as NO<sub>3</sub>-(p) loss processes. Ultimately, the observations and analysis further illustrate how daytime surface-level NO3-(p) concentrations depend on a combination of both nocturnal and daytime production of NO<sub>3 (p)</sub>, vertical mixing, altitude-dependent advection in the RL overnight, daytime entrainment of clean air from the free troposphere (FT) and evaporation-driven dry deposition. The model and observations are used to examine the relative importance of these different pathways during the case-study episode considered. This work adds to the existing literature by providing an observationally based, case-study demonstration of how nocturnal processes occurring aloft—in concert with other processes—exert a major control over the evolution of pollution episodes within the SJV specifically, and likely in other regions as well.

DISCOVER-AQ campaign that took place in January and February 2013 in the SJV. Our study

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#### 2 Materials and Method

Airborne *in-situ* measurements (such as particle scattering, gas-phase concentrations, RH and temperature) during the DISCOVER-AQ campaign were made by a suite of instruments on board the P3-B NASA aircraft. The flight path flown during each of the three legs for each flight day is shown in Figure S2. The aircraft measurements were complemented by a network of ground measurement sites, of which Fresno was one. At Fresno, continuous, *in situ* measurements of the chemical composition and physical properties of particulate matter were performed along with measurement of NAAQS regulated pollutants (Young et al., 2016; Zhang et al., 2016; Parworth et al., 2017). Local conditions during DISCOVER-AQ were relatively cool ( $T_{avg} = 7.9 \,^{\circ}$ C) and dry ( $RH_{avg} = 69\%$ ) with frequent sunshine and no visible fog. All data are archived at the DISCOVER-AQ website (NASA Atmospheric Science Data Center). Details of all measurements made are provided in Appendix A and summarized in Table A1.

#### 3 Results and Discussion

#### 3.1 Vertical distribution of NO<sub>3-(p)</sub>

The concentration and vertical distribution of NO<sub>3</sub>-(p) in the RL ([NO<sub>3</sub>-(p)]<sub>RL</sub>) in the morning serves as the initial condition constraint on what is mixed down to the surface as the day advances and the ML rises. Thus, knowledge of the vertical distribution of NO<sub>3</sub>-(p) in the RL near sunrise is needed to predict the temporal evolution of surface-level NO<sub>3</sub>-(p) during the daytime, as will be done below. Night\_time flights were not made during DISCOVER-AQ to allow for characterization of the overnight evolution of the RL. However, the early morning (~09:30 local time) vertical profiles over Fresno allow for characterization of the vertical structure of most of the RL near sunrise (~07:10 local time), as the surface boundary layer height at this point is still quite shallow (~50 m; see Appendix B for a description of the mixed boundary layer height determination method, Figures B1-B2). Fast measurements of total NO<sub>3</sub>- (gas + particle, NO<sub>3</sub>-(g+p)) were only available for a subset of flights (Pusede et al., 2016), and particulate-only NO<sub>3</sub>- measurements were not made with sufficient time resolution, less than about a minute, to allow for robust characterization of the NO<sub>3</sub>-(p) vertical profile.

Therefore, NO<sub>3</sub>-(p) vertical profiles for each flight during Episode 1 are estimated from in situ measurements of dry particle scattering and the influence of water uptake on scattering, i.e. from the particle hygroscopicity, and calibrated against the slower PILS measurements (Appendix A, Figure A1). The derived, observationally constrained NO<sub>3 (p)</sub> profiles based on the estimated NO<sub>3 (p)</sub> exhibit generally good correspondence with the sparser direct measurements of NO<sub>3</sub> (g+p), although on one of the two days available for comparison the total NO<sub>3</sub> somewhat exceeds the estimated NO<sub>3</sub>-(p) below ~75 m (Figure 2). This indicates that the estimation method is reasonable, especially since most nitrate is expected to be in the particle-phase (Parworth et al., 2017) given the high relative total ammonium (NH<sub>3</sub> + NH<sub>4</sub><sup>+</sup>) concentrations (Figure 3). Only four out of five flight days during Episode 1 have been included in this analysis due to insufficient data on 16 January.

Over Fresno, the observed afternoon (~2:30 pm) NO<sub>3 (p)</sub> concentrations are nearly constant with altitude up to ~400 m (the daytime boundary layer height) (Figure 2B) whereas the early-morning NO<sub>3 (p)</sub> concentrations decrease steeply with altitude up to ~350 m (Figure 2A). Corresponding vertical profiles for NO, NO<sub>2</sub>, O<sub>3</sub>, relative humidity, temperature and total particle scattering are shown in Figures S2 (early morning) and S3 (afternoon). Like NO<sub>3 (p)</sub>, all indicate substantial differences between the early morning and afternoon profile shapes. This provides a strong indication that altitudespecific processes occur overnight that lead to a reshaping of the NO<sub>3 (p)</sub> vertical profile. At some altitudes the NO<sub>3</sub>-(p) in the early-morning RL is greater than the NO<sub>3</sub>-(p) measured in the previous afternoon, indicating net production, while at other altitudes the early-morning RL NO<sub>3</sub> (p) is less than the previous afternoon, indicating net loss (Figure 2). As noted by Pusede et al. (2016), there tend to be sharp concentration gradients in NO<sub>3</sub>-(p) and NO<sub>x</sub> between the city and surrounding areas, with lower concentrations outside the city. Thus, whether NO37(p) at a given altitude increases or decreases overnight results from the competing effects of chemical production versus horizontal advection bringing in this (typically) cleaner air from outside the city. (In the absence of a strong jet aloft and no convective mixing, night time entrainment of cleaner FT air into the RL is expected to be considerably slower than horizontal advection.) Like NO<sub>3 (p)</sub>, the boundary layer is reasonably well mixed with respect to NOx, O3 and particles at the time when decoupling of the RL occurs, around 3 pm the Deleted: Figure 2

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previous day (Figure S4). Box model calculations indicate that the expected local nocturnal chemical production of nitrate in the RL should exhibit relatively minor vertical variation due to variations in temperature and RH alone (Figure S5). In other words, without advective loss or dilution processes of either NO<sub>3</sub>-(p) or the precursor gases, it is expected that the NO<sub>3</sub>-(p) concentration would increase to a

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similar extent at all RL altitudes.

The substantial changes observed in the shape of the vertical profile overnight indicate that night time differential advection in the RL is a major factor in determining the shape of the morning  $NO_{3^{-}(p)}$  vertical profile during this pollution episode. Differential horizontal advection serves to <u>directly</u> export  $NO_{3^{-}(p)}$  from the urban area and import cleaner air from surrounding areas. <u>Secondarily</u>, as  $NO_{x}$  concentrations are also lower outside of the Fresno urban area (Pusede et al., 2014), this differential

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concentrations are also lower outside of the Fresno urban area\_(Pusede et al., 2014), this differential advection will also influence the over-city concentrations of precursors gases (NO<sub>x</sub> and O<sub>3</sub>; Figure S3-S4) and consequently the <u>altitude-specific</u> nitrate production, with decreases likely. <u>This is supported by surface-level measurements of NO<sub>x</sub> and O<sub>3</sub> made in Fresno and in the nearby and much more rural cities of Parlier (located 35 km SE of Fresno) and Madera (located 40 km NW of Fresno). The NO<sub>x</sub> and NO<sub>2</sub> concentrations are higher and the O<sub>3</sub> lower in Fresno compared to the surrounding cities throughout the day, and the instantaneous nitrate production rate ([NO<sub>2</sub>][O<sub>3</sub>]) is substantially higher in Fresno in the late afternoon, when decoupling occurs (Figure S6). The important implication is that overnight advection both directly and indirectly alters the vertical NO<sub>3</sub>-(p) profile and decreases the over-city NO<sub>3</sub>-(p) concentrations in the morning, which will consequently serve to limit the extent of localized pollution build-up during events. The impact of overnight differential advection on reshaping</u>

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the vertical distribution of  $NO_{3^{-}(p)}$  has likely increased over the last 15 years as the sharpness of the urban-rural concentration gradients has increased (Chow et al., 2006; Pusede et al., 2016). Nonetheless, the  $NO_{3^{-}(p)}$  advected from urban areas in the RL will contribute to the regional SJV background and serve to sustain  $NO_{3^{-}(p)}$  levels across the valley during pollution episodes.

In the summer, transport and dispersion of pollutants has been attributed to low-level winds (less than 500 m AGL) in the SJV (Bao et al., 2008). We suggest that a similar, but weaker, circulation may exist

even in the winter, just at much slower wind speeds, and that this advection overnight is what leads to differential wash out and the establishment of the particular vertical NO<sub>3</sub> (p) concentration profiles in the RL. The concentration of NO<sub>3</sub>-(p) will likely be lowest in the early-morning RL at altitudes where horizontal advection has the greatest impact. Wind profiler measurements made in nearby Visalia, CA (65 km SE of Fresno) indicate that during the night (19:00 – 07:00) there was local maximum in the mean wind speed at ~250 m, which is around the altitude at which the early-morning NO<sub>3</sub>-(p) concentration is minimum (a). Below 250 m there was a monotonic increase in the night time mean wind speed with altitude, with very slow speeds observed at the surface. Above 250 m the mean wind speed was relatively constant to ~450 m, above which it increased with altitude. Explicit comparison between the vertical profiles of night time mean wind speed and the estimated early-morning NO<sub>3</sub>-(p) concentration indicates an inverse relationship (r = -0.98) between the two (Figure S.). This is consistent with the idea that differential advection as a function of altitude overnight serves to shape the early-morning concentration profiles. The wind direction at lower altitudes (~150 m) was generally more variable than those at higher altitudes (285 m or 450 m), and with a general shift from more westerly at lower altitudes (but above the surface) to more northerly near the top of the RL (b). (Note: vector average wind speeds for each individual night were calculated and then a scalar average of these night-specific vector averages was calculated to give the episode-average mean wind speeds. This averaging process emphasizes directional consistency of the winds on a given night, but not between nights.) The increase in NO<sub>3</sub>-(p) concentration at ~400 m AGL in the early-morning profile, especially noticeable on Jan 21 (Figure S&), could result from a slowing of the winds near the top of the RL or from enhanced recirculation of pollutants at higher altitudes. Regardless of reason, this work indicates that the gradient between the local (above city) and regional NO<sub>3</sub>-(p) and precursor gases, evident in Pusede et al. (2016), is an important factor in determining the night time evolution of the RL vertical profile. Explicit characterization of the temporal evolution of the vertical structure of NO<sub>3 (p)</sub> within the night\_time RL would provide further insights into the altitude-specific processes that control the shape of the early-morning profile (and thus the concentration of NO<sub>3</sub> (p) aloft that can be mixed to the surface in daytime).

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The difference between the concentration of NO<sub>3</sub>-(p) at each altitude of the early morning vertical profile and that at 3 pm on the preceding afternoon (Δ[NO<sub>3</sub>-(p)]<sub>RL</sub>) yields the net overnight NO<sub>3</sub> production or loss in the RL. If it is assumed that the layer with the highest NO<sub>3</sub> is not influenced by advection, then the  $\Delta[NO_{3}^{-}(p)]_{RL}$  in this layer provides an estimate of the maximum chemical production (PNO<sub>3</sub>). This estimate of PNO<sub>3</sub> is certainly a lower bound on actual nitrate formation given the assumption of no influence of horizontal advection, and this also does not account for produced nitrate that remains in the gas-phase (although this is likely to be small). On average, the observations indicate that chemical production overnight in the RL leads to an approximate doubling over the initial NO<sub>3</sub>-(p) concentration, or 10-25 μg m<sup>-3</sup> of NO<sub>3</sub>-(p) produced over the course of the night for this episode (Table S1). Observed day-to-day variability in PNO<sub>3</sub>- likely results from day-to-day variations in precursor (NO<sub>x</sub> and O<sub>3</sub>) concentrations and N<sub>2</sub>O<sub>5</sub> reactivity, as well as limitations of the assumption of no advection in this layer. To assess the reasonableness of this estimate of PNO<sub>3</sub> as a maximum production rate, values of the night-specific average rate coefficients for N<sub>2</sub>O<sub>5</sub> heterogeneous hydrolysis ( $k_{N2O5}$ ) and associated uptake coefficients ( $\gamma_{N2O5}$ ) needed to reproduce the observed PNO3 are back-calculated based on the initial NOx, O3, and wet particle surface area and assuming ClNO<sub>2</sub> formation is negligible (see Appendix C and Table S1). The derived  $k_{\rm N2O5}$  values range from  $1.3 - 5.1 \times 10^{-5} \text{ s}^{-1}$  with corresponding  $\gamma_{\text{N}205}$  from  $2.5 \times 10^{-4}$  to  $4.8 \times 10^{-4}$ . These are smaller than values observed under water-limited conditions in other field studies (Brown et al., 2006c; Bertram et al., 2009) and lower than expected based on lab experiments (Bertram et al., 2009). γ<sub>N2O5</sub> values separately calculated from the particle composition measurements, following Bertram et al. (2009), are larger than the above back-calculated values, with  $\gamma_{N2O5} \sim 10^{-3}$ , and more consistent with the literature. This suggests that the PNO<sub>3</sub> is, in fact, a lower estimate and that the NO<sub>3</sub> (p) concentration in even the lower layers of the RL is influenced by advection. Box model calculations using the (too low) back-calculated  $k_{\rm N2O5}$  and  $\gamma_{\rm N2O5}$  yield ~15-42% NO<sub>x</sub> conversion to HNO<sub>3</sub> overnight during this episode. If instead  $\gamma_{N2O5} = 10^{-3}$  is used, the calculated overnight conversion is somewhat larger,  $\sim$ 52%. Also, if  $k_{\rm N205}$  and  $\gamma_{\rm N205}$  were assumed sufficiently large such that they are not rate limiting the overnight conversion increases further to ~63%. It should be noted that during this episode the surface  $O_3$  overnight is essentially completely titrated away by 6 pm (Figure 5). The reaction between  $NO_2$  and  $O_3$  (R1) is thus very slow and night time chemical production of  $NO_3^-(p)$  at the surface in the NBL is comparably small.

## 3.2 Vertical mixing, photochemical production and NO<sub>3-(p)</sub> sinks

The observed episode average surface-level NO<sub>3</sub>-(p) concentration exhibits a distinct, rapid increase starting at ~ 8 am, then peaks around 10 – 11 am local time (LT) and decreases fairly continuously after the peak, especially between 1 – 4 pm (Figure 6A). For reference, time series of NO<sub>3</sub>-(p) during the pollution episode, along with CO, NO, NO<sub>2</sub>, O<sub>3</sub>, temperature, surface radiation, and PM<sub>1</sub> are shown in Figure S<sub>2</sub>. Both Young et al. (2016) and Pusede et al. (2016) noted this increase, arguing it is a

signature of nocturnal nitrate production. Here, we provide a more detailed examination of the specific influence of vertical mixing and nocturnal NO<sub>3</sub>-(p) production in the RL on the observed daytime variability in surface-level NO<sub>3</sub>-(p) using an observationally constrained one dimensional box model (see Appendix D for details). In brief, the model accounts for time-dependent mixing between air in the mixed boundary layer and the RL, daytime photochemical production of nitrate, gas-particle partitioning of nitrate, entrainment of clean air from the free troposphere into the ML and loss of nitrate via dry deposition to calculate the time-dependent evolution of the surface-level NO<sub>3</sub>-(p) concentration. The observed vertical profiles of NO<sub>3</sub>-(p) concentrations in the RL (referred to as [NO<sub>3</sub>-(p)]<sub>RL</sub> and taken as the observed early-morning and noon profiles) provide a unique constraint for understanding and quantifying the influence of vertical mixing specifically, allowing us to expand on previous studies.

The model is additionally constrained by the surface-level concentrations of NO<sub>2</sub> and O<sub>3</sub>, and temporally varying ML height. The evolution of the daytime ML height and rate of entrainment are determined using the Chemistry Land-surface Atmosphere Soil Slab (CLASS) model (https://classmodel.github.io/; Ouwersloot and Vilà-Guerau de Arellano, 2013). The CLASS model is constrained by observations of the time-dependent vertical profile measurements of temperature, RH and other gas-phase species over Fresno and by T and RH profiles and surface sensible heat flux measurements at nearby Huron, CA (~83 km SSW of Fresno) (Appendix B). Starting at around 8 am, the ML begins to grow vertically by entraining air from the RL. It is assumed that air within the ML

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is instantaneously mixed throughout the volume. Within the (shrinking) RL the  $NO_3^-(p)$  is assumed to retain the initial profile shape until it reaches the maximum ML height observed in the afternoon (~12:30 pm). After this point entrainment of free tropospheric air (FT) begins. The concentration of  $NO_3^-(p)$  in FT air is determined from the vertical profile observed around noon. While entrainment of FT air also alters the  $NO_2$  and  $O_3$  concentrations in the mixed layer, since these are constrained by the surface observations (within the mixed layer) this is accounted for. Photochemical production of  $HNO_3$  is calculated based on the oxidation of  $NO_2$  by hydroxyl radicals, with wintertime concentrations estimated to peak around  $[OH] = 10^6$  molecules cm<sup>-3</sup> at noon in the region, with contributions from  $O(^1D) + H_2O$  (from  $O_3$  photolysis), HONO photolysis and  $CH_2O$  photolysis (Pusede et al., 2016). The OH concentration is assumed to scale linearly with the observed solar radiation (Figure \$10).

The average calculated daytime temporal evolution of surface NO<sub>3</sub>-(p) from the observationally constrained box model agrees reasonably well with the average of the surface observations from the four Episode 1 flight days considered (Figure 7A). (The observed diurnal average in Figure 6 uses all of the days from Episode 1 whereas in Figure 7 only four flight days are included. This is because the initial early-morning NO<sub>3</sub>-(p) vertical profile is required as input to the model.) The model predictions for the individual flight days also exhibit generally good agreement with the NO<sub>3</sub>-(p) observations except in the late evening, discussed further below (Figure S8). Specifically, the observationally constrained model also shows a rapid increase in NO<sub>3</sub>-(p) beginning at 8 am, a peak around 10-11 am and a gradual, time-varying decrease through the afternoon.

Consideration of the individual processes occurring in the model demonstrates that vertical mixing down of [NO<sub>3</sub>-(p)]<sub>RL</sub> and the shape of the [NO<sub>3</sub>-(p)]<sub>RL</sub> vertical profile predominately control the morning-time evolution of the surface NO<sub>3</sub>-(p) during this episode (Figure 7 and Figure 8). The particularly steep rise in the surface-level NO<sub>3</sub>-(p) in the morning results from the combination of the NBL height being exceptionally shallow (only ~20 m) and the NO<sub>3</sub>-(p) in the low-altitude region of the RL being greater than the NO<sub>3</sub>-(p) in the early-morning NBL. The peak and turnover in surface-level NO<sub>3</sub>-(p) occurs when even higher RL layers, where [NO<sub>3</sub>-(p)]<sub>RL</sub> < [NO<sub>3</sub>-(p)]<sub>ML</sub>, are entrained. In other words, the temporal

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evolution of the surface-level NO<sub>3</sub>-(p) is linked to the shape of the early-morning vertical NO<sub>3</sub>- profile. Further, it should be noted that the exact model behavior is dependent on the timing of the CLASS-predicted boundary layer height increase, with the initial increase and timing of the surface-level NO<sub>3</sub>-(p) peak being particularly sensitive to the shape of the rise between 8 and 10 am. Nonetheless, because the NBL is so shallow here, only ~3-12% of the daytime ML height, the surface concentration is strongly impacted by the concentrations in the RL and the initial (pre-8 am) surface-level nitrate has control over daytime concentrations. Thus, the model results demonstrate that the observation of the large 10 am peak in NO<sub>3</sub>-(p) is a clear indication of the strong influence of nocturnal processes occurring aloft—both chemical production and advection-driven local loss—on daytime surface concentrations.

As an extreme counter-example, if there were no NO<sub>3</sub>-(p) in the RL, mixing would have led to an initial decline in the early morning surface NO<sub>3 (p)</sub> (Figure &A). Alternatively, if the aloft NO<sub>3 (p)</sub> concentration were assumed to be equal to that from the previous day at 3 pm (and with no vertical variability), there would not have been a sharp increase in the morning surface NO<sub>3</sub> (p) (Figure &B). Instead, there would have been a more gradual increase from the morning into the afternoon due largely to the increasing influence of photochemical production. This is representative of a case in which there was neither aloft production of NO3-(p) nor losses from advection, such that the early-morning RL concentration was determined entirely by carry-over from the prior day; in this case the difference between the early-morning surface concentration and that in the RL is small compared to the observations. If, instead, the RL NO<sub>3 (p)</sub> concentration at all altitudes had been equal to the maximum NO<sub>3</sub>-(p) observed in the RL (no vertical gradient in the RL), then the morning peak in surface-level NO3-(p) would have occurred later and the NO3-(p) concentration would be substantially higher throughout a greater fraction of the day (Figure &C). This is representative of a case in which nocturnal production in the RL occurred, but where advection did not serve to reshape the NO<sub>3</sub> (p) vertical profile in the RL. Clearly, export of pollution from the relatively compact Fresno urban area to the broader region (and import of cleaner air) plays an important role in determining the daytime surface-level concentration of NO<sub>3</sub> (p), multi-day build up and the population exposure in this urban area. While it has previously been suggested that the morning increase in surface-level NO3<sup>-(p)</sup> is indicative of mixing

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down of NO<sub>3</sub> (p) in the RL (Watson and Chow, 2002; Pusede et al., 2016; Young et al., 2016), the current study provides an explicit, observationally constrained demonstration of this effect and highlights the dual roles of chemical production and advective loss in the RL.

The time-evolving relative contributions of surface-level NO37(p) from the NBL, the RL and photochemical production are individually quantifiable from the model for this episode (Figure 7B). As the ML rises, the relative contribution of NO<sub>3</sub>-(p) from the RL rapidly increases reaching ~80% at the 10-11 am peak. After this point, the relative contribution of NO<sub>3 (p)</sub> from photochemical production increases continuously. By the time that decoupling of the NBL occurs (~3 pm), photochemically produced NO<sub>3-(p)</sub> comprises 58% of surface-level NO<sub>3-(p)</sub> while NO<sub>3-(p)</sub> from the previous nights' RL still comprises 40%; the contribution of NO<sub>3</sub> (p) that was in the NBL is negligible (<2%). Pusede et al. (2016) showed that future decreases in NO<sub>x</sub> emissions are more likely to decrease night\_time than daytime NO<sub>3</sub> (p) production. The results here therefore suggest that decreases in NO<sub>3</sub> (p) may be more apparent, on average, in the morning than the afternoon since the fractional contributions of night timeproduced versus daytime-produced NO<sub>3 (p)</sub> shift throughout the day. However, care must be taken when interpreting observations from individual days since the meteorological conditions that favor observation of an early morning increase will not always occur (discussed further below). Since it is assumed here that OH scales with solar radiation, the potential for enhanced production of OH (and subsequently NO<sub>3</sub>-(p)) in the early morning via e.g. HONO photolysis is not accounted for in the model (Pusede et al., 2016). If this process were included, the increase in morning surface-level NO<sub>3</sub> (p) would be even greater than is already calculated from mixing down of NO<sub>3</sub>-(p) in the RL. Since the observationally constrained model already predicts a somewhat larger peak at 10 am for surface-level NO<sub>3</sub>-(p) concentrations compared to the observations, early-morning photochemical production appears to have had a relatively limited influence on the morning surface-level NO3-(p) compared to mixing down of nocturnal NO<sub>3</sub>-(p) during this episode.

While vertical mixing and the shape of the NO<sub>3</sub>-(p) vertical profile are what predominately drive the morning temporal evolution in the surface-level NO<sub>3</sub>-(p) (especially the peak) for this episode, the

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afternoon behavior, especially between  $\sim$ 1 pm and 4 pm, is shaped by the balance between photochemical production and loss via (i) dilution by entrainment of FT air and (ii) evaporation of  $NO_{3^{-}(p)}$  and subsequent dry deposition of  $HNO_{3}$  gas, i.e. a gas-phase pump for  $NO_{3^{-}(p)}$  loss. Here, the relative importance of these loss pathways is considered. The latter process (gas-phase pump) has been previously considered by Pusede et al. (2016) while the former (FT entrainment) was not. Loss through dry deposition of  $NO_{3^{-}(p)}$  is negligible since deposition velocities for  $HNO_{3}$  ( $\nu_{d} = 1 - 10$  cm s<sup>-1</sup>) are much larger than for particles ( $\nu_{d} = 0.001 - 0.1$  cm s<sup>-1</sup>) (Meyers et al., 1989; Horii et al., 2005; Farmer et al., 2013; Pusede et al., 2016). These loss mechanisms ultimately limit the extent of the pollution episode build up. Once the daytime model ML reaches maximum height entrainment into the ML of typically cleaner air from just above the ML (i.e. from the FT) occurs. The time-evolving entrainment rates are estimated from the CLASS model (Appendix C).

Considering the gas-phase pump, the warm (typically 290 K) and dry (RH = 40% or less during the campaign) afternoon conditions enhance evaporation of  $NO_{3^{-}(p)}$  relative to night time and early morning conditions, thereby increasing loss through dry deposition of HNO<sub>3</sub> gas in the afternoon (Pusede et al., 2016). However, total ammonia is in substantial excess (3.8 – 8.9 times  $NO_{3^{-}(g+p)}$  on a molar basis), with thermodynamic calculations indicating that the gas-phase fraction of  $NO_{3^{-}}$  is <0.15 during the daytime and near zero at night when it is colder and RH is higher (Figure 3). These estimates of the gas-phase fraction of  $NO_{3^{-}}$  are similar to the observational measurements of Parworth et al. (2017), who determined the daytime and night time averages during the first episode were  $0.08 \pm 0.03$  (1 $\sigma$ ) and  $0.04 \pm 0.05$  (1 $\sigma$ ), respectively. Importantly, the gas-phase fraction here is substantially smaller than that estimated in Pusede et al. (2016) who found a daytime gas-phase fraction of 0.4 (median) and a 24-h average of 0.15. Consequently, loss of nitrate via the gas-phase pump is less than in their analysis and suggests that the role of this pathway was likely overestimated. The general influence of the gas-phase fraction on loss via dry deposition is shown in Figure S11. In general, the results indicate that the gas-phase fraction has a strong influence on the loss of  $NO_{3^{-}(p)}$  due to  $HNO_{3^{-}}$ 

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deposition.

Including both FT entrainment and dry deposition, the box model reasonably reproduces the observed afternoon decrease in surface-level NO<sub>3</sub>-(p). This allows assessment of the relative importance of these two loss processes by turning them off one at a time (Figure 7C). The calculations indicate that entrainment of clean FT air plays an important role in the afternoon surface concentration decline. Without entrainment, the model predicts that the afternoon NO<sub>3</sub> (p) would be ~18% higher, leading to a double-humped daytime profile. Despite the relatively low gas-phase fraction, the gas-phase pump also contributes to the afternoon decline. The model results indicate that these two loss processes contribute approximately equally to the afternoon decline. There are, however, a few hours when the gas-phase pump is potentially of extreme importance. When the RL decouples and the surface mixed layer becomes quite shallow the rate of loss due to dry deposition is enhanced. This leads to a rapid decrease in surface-level NO<sub>3 (p)</sub>. Yet, the concurrent decrease in the NBL temperature and increase in RH and NH<sub>3</sub> enhances partitioning of nitrate to the particle-phase, thereby limiting the impact of this rapid decline over time. (In the model here, the decoupling is assumed to occur very rapidly while the temperature and RH changes are from observations and occur more gradually. If the decoupling was actually slower the influence of the gas-phase pump at this point in time would be reduced and the modelled decrease in NO<sub>3</sub>-(p) that occurs around 3-5 pm would be less than shown.)

The model predicts that after decoupling and cooling occur the surface-level NO<sub>3</sub>-(p) will continue to decrease at ~2% h<sup>-1</sup> overnight via the gas-phase pump, which is similar to the loss rate observed between midnight and 7 am (Figure 7A). If the gas-phase pump is turned off completely (i.e. the nitric acid deposition velocity is set to zero) there is an increase in the modelled NO<sub>3</sub>-(p) that begins at ~3 pm (when decoupling occurs) and continues until 6 pm (Figure 7C). This is a result of the continual decrease in temperature and increase in RH enhancing partitioning to the particle-phase. Although not a focus of this study, on some days, there is a sharp increase in surface-level NO<sub>3</sub>-(p) observed in the evening, starting around 8 pm (LT). While this could theoretically result from enhanced partitioning to the particle-phase at night, the timing does not match the observed temperature and RH variations. Surface-level chemical production of nitrate via N<sub>2</sub>O<sub>5</sub> hydrolysis could alternatively be the source of this increase, but given the near-zero surface-level O<sub>3</sub> concentration due to titration by NO the

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production via this pathway would be insufficient. This evening increase is observed on many days, although with somewhat variable timing and magnitude (Figure 8). Thus, it may be that the evening increase results from advection to the measurement site of air from a not-to-distant location (given low wind speeds) that has higher surface concentrations. Regardless, while the reason for this night time increase in surface NO<sub>3</sub>-(p) remains unclear, the occurrence does not impact the analysis of the early-morning and daytime NO<sub>3</sub>-(p) behavior.

The cumulative impact of the nocturnal production in the RL, daytime photochemical production and afternoon loss processes is that the  $NO_3^{\tau}(p)$  concentration at ~3 pm, the point when decoupling of the RL occurs, is slightly higher than that at 8 am during the episode. Therefore, there is a gradual net increase (average of 1.32  $\mu$ g m<sup>-3</sup> day<sup>-1</sup>) in surface-level  $NO_3^{\tau}(p)$  as the episode progresses, albeit with day-to-day variability (Figure 6B). For comparison, the 24-h average surface-level  $NO_3^{\tau}(p)$  increases by 0.66  $\mu$ g m<sup>-3</sup> day<sup>-1</sup>. While decreasing  $NO_x$  emissions and  $NO_3^{\tau}(p)$  production, especially nocturnal production (Pusede et al., 2016), is the most direct and reliable route towards decreasing surface  $NO_3^{\tau}(p)$  concentrations (Kleeman et al., 2005), decreases in  $NH_3$  could theoretically also have some influence on  $NO_3^{\tau}(p)$  by increasing the efficiency of the gas-phase pump. However, this will only be the case if  $NH_3$  decreases exceed decreases in  $NO_x$  by at least a factor of five such that the ratio between the two is changed substantially and the gas-phase fraction is increased (Figure 3). Such preferential targeting of  $NH_3$  sources is therefore highly unlikely to be an efficient control strategy, at least for the SJV where the total ammonia-to-nitrate ratio is large. In regions where the  $NH_4^+$  (g+p): $NO_3^-$  (g+p) molar ratio is closer to unity, the nitrate partitioning is more sensitive to changes in this ratio and thus ammonia control could potentially prove effective.

#### 3.3 Comparison between episodes

The above analysis focuses on observations made during one pollution episode, but there was a second pollution episode observed during DISCOVER-AQ (Jan. 30-Feb. 5, 2013). The episode-averaged diurnal behavior of the surface NO<sub>3</sub>-(p) concentration for this second episode showed evidence of an early morning increase, but the increase is not as sharp as the first episode (Figure 9). Additionally,

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the day-to-day variability in the surface NO<sub>3</sub>-(p) was much greater during the second episode; on some days, there was minimal evidence of an early-morning increase but on others there was a substantial increase. The shapes of the early morning vertical NO<sub>3</sub>-(p) profiles (around 9:30 am) were notably different during Episode 2 on two of the flight days as well, as was the evolution of the profiles from morning to afternoon (Figure S12). The afternoon mixed layer heights were much higher during Episode 2 than Episode 1, ranging from 600-700 m AGL compared to 300-400 m AGL, respectively. The early-morning mixed layer heights were also higher during Episode 2 (~170 m) compared to Episode 1 (around 70 m). During Episode 1, the surface-level winds exhibit a consistent shift in direction from easterly in the early morning (5-8 am) to southerly in the later morning (9 am-12 pm), and the mean surface-level wind speed increased over this same period, from 0.31 m s<sup>-1</sup> to 0.82 m s<sup>-1</sup> (Figure 9). In contrast, during Episode 2 there was a lack of day-to-day consistency in the surface wind direction, especially during the early morning (5-8 am), and there was a more substantial change in the mean surface-level wind speed from early morning to later morning, from 0.32 m s<sup>-1</sup> to 1.12 m s<sup>-1</sup> (Figure 9). The Episode 2 mean night time aloft wind speeds were also overall lower and more constant with altitude, with little variability from 150 m to 400 m, although still with a substantial increase from the surface (Figure \$13). The aloft nocturnal winds during Episode 2 were somewhat more variable than Episode 1 winds in terms of the wind direction (Figure 4 versus Figure S13).

Overall, this increased day-to-day variability in both the surface NO<sub>3</sub>-(p) and wind behavior, and a difference in the evolution of the NO<sub>3</sub>-(p) vertical profiles from early morning to late morning/early afternoon in Episode 2 compared to Episode 1, suggests that the meteorological conditions during the second episode were generally less conducive to simple interpretation using the mixing model discussed above. Instead, it seems that advection and export from the urban area were of increased importance during Episode 2, both overnight and especially in the early-to-mid morning. The contrasting behavior between the two episodes suggests that while the observation of a sharp, early-morning rise and peak in surface-level NO<sub>3</sub>-(p) (such as during the first episode) might be generally considered a strong indicator of the production of NO<sub>3</sub>-(p) in the RL, the absence of such a feature does not preclude an important role for nocturnal production aloft.

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# 3.4 Linking to other regions

Production of NO<sub>3</sub>-τ<sub>(p)</sub> in the RL can vary widely based on initial concentrations of its precursor gases, as well as the rate of heterogeneous uptake of N<sub>2</sub>O<sub>5</sub> by particles. It may be that production of NO<sub>3</sub>-τ̄<sub>(p)</sub> via the N<sub>2</sub>O<sub>5</sub> hydrolysis pathway may be significant in the aloft RL in other regions with similar geographical and meteorological conditions, such as Salt Lake Valley, Utah (Kuprov et al., 2014; Baasandorj et al., 2017). However, in valley regions with lower NO<sub>3</sub> or O<sub>3</sub> the nocturnal PNO<sub>3</sub>- may be lower, thus limiting the importance of this pathway (Akira et al., 2005; Bigi et al., 2012). Among other factors, the extent to which nocturnal NO<sub>3</sub>-τ̄<sub>(p)</sub> formation occurs more-so in the surface layer versus in layers aloft will depend importantly on the extent of NO<sub>3</sub> emissions at the surface (which titrate O<sub>3</sub>, suppressing particulate nitrate formation), the absolute and relative height of the nocturnal boundary layer (which affects the rate HNO<sub>3</sub> deposition and the air volumes in which nitrate production occurs), and gradients in RH, T and NH<sub>3</sub> (Kim et al., 2014).

For example, Baasandorj et al. (2017) observe at their valley wall and valley floor sites in wintertime Utah that O<sub>3</sub> concentrations near the surface remain well-above zero even during pollution episodes, thus allowing for surface-level NO<sub>3</sub>-7<sub>(p)</sub> formation overnight, substantiated by direct measurements of N<sub>2</sub>O<sub>5</sub>, in addition to formation aloft. Nitrate-specific diurnal profiles were not reported. In Shanghai, China, Wang et al. (2009) observed in fall 2007 that both O<sub>3</sub> and NO<sub>2</sub> remain elevated at night at the surface, with a concomitant increase in surface NO<sub>3</sub>-7<sub>(p)</sub>. And in wintertime Seoul, Korea, Kim et al. (2017) observed relatively limited diurnal variability in O<sub>3</sub> and NO<sub>2</sub> concentrations measured at 60 m, with both remaining elevated throughout the night. However, they did not observe any notable build up in NO<sub>3</sub>-7<sub>(p)</sub> overnight, but did observe NO<sub>3</sub>-7<sub>(p)</sub> to increase and peak in the morning, as here. In contrast, in Fresno here the night time surface O<sub>3</sub> levels during Episode 1 were nearly zero, suppressing surface NO<sub>3</sub>-7<sub>(p)</sub> formation. This near-zero nocturnal O<sub>3</sub> is similar to observations by Kuprov et al. (2014) made a few years before Baasandorj et al. (2017) at one of the same valley floor sites in Utah, reflecting year-to-year differences. Such differences can influence the extent to which a notable increase in NO<sub>3</sub>-7<sub>(p)</sub> is observed to occur in the early morning as air is entrained from the residual layer to the

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surface. This is because if surface production and production in the residual layer are similar in

magnitude the contrast between the two will be reduced and entrainment will appear to have a less apparent impact on the diurnal profile. However, because the effective volume of the residual layer is typically much larger than the nocturnal boundary layer (as is the case here), even without an observed increase in  $NO_3\bar{\gamma}_{(p)}$  at the surface in the morning the  $NO_3\bar{\gamma}_{(p)}$  produced in the residual layer can still dominate the overall  $NO_3\bar{\gamma}_{(p)}$  burden during the day.

Additionally, comparison between the Baasandorj et al. (2017) observations of late afternoon surface NO<sub>2</sub> and O<sub>3</sub> (which reflect the initial conditions within the residual layer) with the Fresno observations indicates differences can exist in how nocturnal production in layers aloft influences the build-up and sustaining of PM<sub>2.5</sub> in pollution episodes. They observed during a strong PM<sub>2.5</sub> episode a slow build-up of PM<sub>2.5</sub> followed by a plateau lasting multiple days. During this period, late-afternoon O<sub>3</sub> concentrations decreased over time while late-afternoon NO<sub>2</sub> was approximately constant (in the daily average). Consequently, the nitrate radical production rate in the residual layer, and thus the N<sub>2</sub>O<sub>5</sub> and NO<sub>3</sub>-(p) production rates, decreased over time in their study. In contrast, for Episode 1 here, the late-afternoon nitrate radical production rate increased over time across the episode (by 0.25 µg m<sup>-3</sup> day<sup>-1</sup>), with only a moderate degrees in the deuting O<sub>2</sub> over time (Figure SO). These differences reflect the

with only a moderate decrease in the daytime O<sub>3</sub> over time (Figure S9). These differences reflect the different photochemical conditions between the regions, and illustrate the coupling between the daytime photochemical conditions (i.e. O<sub>3</sub> production) and night time NO<sub>3</sub>-[p) formation above the surface.

4 Conclusion

This work combines surface and aircraft observations made during a pollution episode in 2013 to demonstrate that in the San Joaquin Valley (specifically Fresno, CA) production of NO<sub>3</sub>-(g+p) in the nocturnal residual layer can play a crucial role in determining daytime surface concentrations of particulate NO<sub>3</sub>- in winter, when photochemical production is relatively slow and morning boundary layers are extremely shallow. The influence of processes occurring in the aloft RL on NO<sub>3</sub>-(p) surface concentrations is evident in the NO<sub>3</sub>-(p) diurnal variability, specifically the occurrence of a mid-

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morning peak in surface-level NO<sub>3</sub>-(p). While the mid-morning peak has been previously suggested as a signature of nocturnal nitrate production aloft (Watson and Chow, 2002; Brown et al., 2006a; Lurmann et al., 2006; Pusede et al., 2016; Young et al., 2016), the current study makes novel use of vertical profiles of NO<sub>3</sub>-(p) concentrations measured multiple times on individual days to quantitatively illustrate the importance of nocturnal processes on surface concentrations. The analysis shows that the NO<sub>3</sub>-(p) concentration in the morning-time mixed boundary layer can be dominated by nocturnally produced NO<sub>3</sub>-(p); vertical mixing in the early morning, which entrains air from the residual layer into the surface mixed layer, has a particularly large impact on the surface concentrations here due to the nocturnal boundary layer being exceptionally shallow. In the afternoon, photochemically produced nitrate contributes the majority of the total NO<sub>3</sub>-(p) burden for the episode examined, but still with a substantial contribution from nocturnal production. The case-study here illustrates that nocturnal NO<sub>3</sub>-(p) production can play a critically important role in the build-up and sustaining of pollution episodes in the SJV, supporting previous suggestions made, in part, on the basis of calculated chemical production values and an assessment of multi-year trends in the relationship between NO<sub>3</sub>-(p) and NO<sub>2</sub> (Pusede et al., 2016).

The current work also demonstrates that a difference exists between the shape of the typical vertical profiles of NO<sub>3</sub>-(p) in afternoon and early-morning over Fresno. This difference is shown to very likely result from altitude-specific horizontal advection in the nocturnal RL leading to differential wash-out of NO<sub>3</sub>-(p) and precursor gases, rather than from differences in chemical production rates. Consequently, there is a steep vertical gradient in NO<sub>3</sub>-(p) in the early-morning RL that, in turn, influences the temporal evolution of surface-level NO<sub>3</sub>-(p) during the day, especially in early morning. Ultimately, differential advection is shown to have an important role in limiting the maximum surface-level concentration of NO<sub>3</sub>-(p) observed within the urban area during the day, a result of the urban-rural gradients being particularly steep (Pusede et al., 2016). Absent this overnight export of pollution from the city, nitrate pollution would build up during pollution events to a much greater extent. However, advection likely contributes to the build-up of NO<sub>3</sub>-(p) throughout the valley, outside of the cities. Daytime loss processes are also shown to help limit the multi-day build-up of surface-level NO<sub>3</sub>-(p).

**Deleted:** Production of  $NO_{3\lceil p)}$  in the RL can vary widely based on initial concentrations of its precursor gases, as well as the rate of heterogeneous uptake of  $NO_3$  by particles. It may be that production of  $NO_{3\lceil p)}$  via the  $N_2O_3$  hydrolysis pathway may be significant in the aloft RL in other regions with similar geographical and meteorological conditions, such as Salt Lake Valley, Utah (Kuprov et al., 2014; Baasandorj et al., 2017). However, in valley regions with lower  $NO_3$  or  $O_3$  the  $PNO_3$  may be lower, thus limiting the importance of this pathway (Akira et al., 2005; Bigi et al., 2012). (Kim et al., 2014)(Baasandorj et al., 2017)

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Afternoon entrainment of air from the cleaner free troposphere into the ML (and export of mixed-layer air to the FT) is shown to be an important loss process for particulate nitrate. Janssen et al. (2012; 2013) have similarly identified afternoon loss via FT entrainment as an important process shaping the diurnal variability of surface-level organic aerosol concentrations in forested areas that are dominated by organic aerosol. Loss of NO<sub>3</sub>-(p) via dry deposition of HNO<sub>3</sub> and subsequent evaporation of NH<sub>4</sub>NO<sub>3</sub> is found to contribute to afternoon particulate nitrate loss, but the effect is limited by the (relatively) high afternoon boundary layer and the small gas-phase fraction of nitrate (<0.15). However, this gas-phase pump may have a substantial influence on the surface concentrations in the few hours just after decoupling of the RL occurs, when the boundary layer height is low and it is still sufficiently warm. Consistent with previous suggestions (Kleeman et al., 2005; Pusede et al., 2016), we conclude that control strategies for the region should focus on reduction of concentrations of NO<sub>x</sub> and O<sub>3</sub> (the latter of which might require VOC controls) in the mid-afternoon, specifically around the time that the RL decouples from the surface layer, as this largely determines the production rate of nitrate in the aloft RL.

## 5 Appendix A: Measurements

# **A1 Airborne Measurements**

Airborne measurements used in this paper were made from the P3-B NASA aircraft during the DISCOVER-AQ field campaign in January-February, 2013 in San Joaquin Valley (SJV), California. All data are available from the publicly accessible DISCOVER-AQ website (NASA Atmospheric Science Data Center).

The P3-B was equipped with an array of instruments to measure both gas and particle-phase properties. A TSI-3563 nephelometer provided total scattering from dry particles at 450, 550 and 700 nm and scattering at 550 nm by particles at 80% RH (Beyersdorf et al., 2016). Gas-phase NH<sub>3</sub> was measured using a cavity ringdown spectroscopy with a Picarro G2103 (von Bobrutzki et al., 2010), using the NOAA aircraft NH<sub>3</sub> inlet and calibration scheme as in Nowak et al. (2010). Measurements of NO,

NO<sub>2</sub>, NO<sub>x</sub>, and O<sub>3</sub> were obtained through a 4-channel chemiluminiscence instrument (Brent et al., 2015). CO and CH<sub>4</sub> were measured with a differential absorption CO measurement spectrometer (Sachse et al., 1987). Total gas (HNO<sub>3</sub>) + particle (NH<sub>4</sub>NO<sub>3</sub>) nitrate were measured using thermal dissociation – laser induced fluorescence (TD-LIF), where HNO<sub>3</sub> and volatilizable particulate nitrate are converted into NO<sub>2</sub> for detection (Day et al., 2002). While the TD-LIF instrument is not optimized for particle sampling, most of the particulate mass was in the submicron size range and thus inertial losses will likely only lead to a small (if any) negative bias in the measured particulate nitrate (Pusede et al., 2016). Aerosol size distributions for  $0.06 - 1.0 \mu m$  diameter particles were measured with an ultra-high sensitivity aerosol spectrometer (UHSAS). The UHSAS uses an optical sizing method, but is calibrated relative to mobility diameter. The P3-B flew throughout the SJV on 10 days and performed vertical spirals over six sites across the valley, including Fresno. The location of these sites and the flight path are shown in Figure S2. This same flight path was repeated three times every day between approximately 8:30 am and 3:00 pm, with vertical profiles over Fresno at approximately 9:30-10:00 am, 12-12:30 pm and 2:30-3:00 pm. This enables assessment of the evolution of the speciesspecific vertical profile during the day across the valley. Out of the ten research flights during the campaign, only eight of them have been used here due to gaps in the dataset. Four of these days are during the first pollution episode (Jan. 18, 20, 21 and 22) and four are during the second pollution episode (Jan. 30 and 31, and Feb. 1 and 4).

Observations of the light scattering coefficient at 550 nm ( $\sigma_{sca}$ ) for dry and humidified particles (no size cut-off) made from the P3-B (Beyersdorf et al., 2016) have been used to estimate the vertical distribution of PM mass and NO<sub>3</sub>-(p) concentrations. Scattering is linearly related to the total mass concentration of PM. The observed hygroscopicity is dependent on particle composition, with higher hygroscopicity indicative of a higher particulate inorganic fraction and lower hygroscopicity indicative of a higher particulate organic fraction; the relationship between hygroscopicity and the inorganic fraction (or the organic fraction) is reasonably linear when the inorganic species are primarily ammonium sulfate and ammonium nitrate (Zhang et al., 2014), as these have similar hygroscopicities (Petters and Kreidenweis, 2007). The particulate nitrate concentration is much larger than the

particulate sulfate concentration, as determined from both the surface and aircraft measurements (< 600 m AGL), with nitrate-to-sulfate mass ratios of 8 and 16, respectively (both determined from PILS measurements). Thus, the observed hygroscopicity is primarily reflective of the particulate nitrate fraction (Parworth et al., 2017). More specifically, a linear relationship was observed between surfacelevel measurements of dry  $\sigma_{scat}$  and PM<sub>1.0</sub> (= black carbon (BC) + non-refractory PM<sub>1.0</sub>, (NR-PM<sub>1</sub>)) mass concentrations in Fresno (slope = 2.83 m<sup>2</sup> g<sup>-1</sup> with intercept forced through zero; Figure A1a). Only data points between 8 am and 4 pm were included in determining this relationship to reflect the time period during which the airborne measurements were obtained. The observed relationship for dry, surface-level  $\sigma_{sca}$  and NR-PM<sub>1</sub> is used to estimate the NR-PM<sub>1</sub> concentration during the vertical profiles from the aircraft dry  $\sigma_{scat}$  measurements. The hygroscopicity (water uptake) of a particle depends on its chemical composition. Inorganic components, predominantly NO3 and ammonium in the wintertime SJV region (Young et al., 2016), are highly hygroscopic while organic components of PM<sub>1</sub> tend to have much lower hygroscopicity (Petters and Kreidenweis, 2007). Thus, measurements of the particle hygroscopicity can be used to estimate the ratio of inorganic to organic mass in the sampled PM (Massoli et al., 2009; Parworth et al., 2017). The average particle hygroscopicity was characterized by the optical hygroscopicity parameter, y defined by Equation A1.

$$\gamma = \frac{\ln\left[\frac{\sigma_{SCa,wet}}{\sigma_{SCa,dry}}\right]}{\ln\left[\frac{100-RH_{dry}}{100-RH_{wet}}\right]} \tag{A1}$$

where  $\sigma_{scat,wet}$  and  $\sigma_{scat,dry}$  are the scattering coefficients (in Mm<sup>-1</sup>) measured under wet (RH<sub>wet</sub> = 80%) and dry (RH<sub>dry</sub> = 20%) conditions respectively. The parameter  $\gamma$  varies reasonably linearly with the particle inorganic mass fraction (Massoli et al., 2009). Therefore, an initial estimate of NO<sub>3</sub>-(p) concentrations at high time resolution, and thus as a function of altitude, is obtained from the equation [NO<sub>3</sub>-(p)] =  $\gamma$ - $\sigma_{sca,dry}$ /2.83. The factor of 2.83 has units of m<sup>2</sup> g<sup>-1</sup> and comes from the  $\sigma_{scat}$  versus NR-PM<sub>1</sub> relationship determined above. However, previous studies show some variability in the linear relationship between  $\gamma$  and inorganic mass fraction and, importantly, typically have slopes somewhat less than unity and non-zero intercepts, as is assumed in the above conversion (e.g. Massoli et al.,

2009). Therefore, the low-time-resolution aircraft PILS  $NO_{3^{-}(p)}$  measurements (which are not appropriate for vertical profiles) were used to calibrate the above high-time-resolution  $NO_{3^{-}(p)}$  estimates. There was a strong, linear correlation between the  $NO_{3^{-}(p)}$  observed by the PILS and the initially estimated  $NO_{3^{-}(p)}$  (Figure A1b). This demonstrates the general validity of the estimation approach. However, the PILS  $NO_{3^{-}(p)}$  concentrations were, on average, 22% lower than the initially estimated  $NO_{3^{-}(p)}$ . Therefore, the initially estimated  $NO_{3^{-}(p)}$  concentrations were adjusted downwards by 22%, and the final expression relating  $\sigma_{sca,dry}$  (in Mm<sup>-1</sup>) and  $\gamma$  to  $NO_{3^{-}(p)}$  concentrations (in  $\mu g$  m<sup>-3</sup>) is:

$$[NO_{3(p)}^-] = \frac{\gamma \cdot \sigma_{sca,dry}}{3.63} \tag{A2}$$

The uncertainty in the estimated [NO<sub>3 (p)</sub>] is approximately 20%, based on the scatter around the bestfit line in Figure A1.

### **A2 Ground Measurements**

Fresno (36.745 °N, 119.77 °W) was a "supersite" where comprehensive, continuous measurements of the chemical and physical properties of particulate matter were made. Chemical composition of non-refractory PM<sub>1.0</sub> was measured by a High Resolution Time-of-Flight-Aerosol Mass Spectrometer (HR-ToF-AMS) (Young et al., 2016). The soluble fraction of PM<sub>3.0</sub> was characterized using a Particle-Into-Liquid Sampler (PILS) coupled to an ion chromatograph (Parworth et al., 2017). Gas-phase water-soluble species were collected at 5 - 7 hr time resolution using an automatic-switching annular denuder system placed in front of the PILS and were analyzed offline with ion chromatography after extraction (Parworth et al., 2017). The combination of the denuder measurements and the particle measurements allowed for determination of the gas-phase fraction of nitrate. Light extinction and light absorption coefficients were measured using the UC Davis cavity ringdown-photoacoustic spectrometer, and scattering coefficients were determined by difference (Cappa et al., 2012; Lack et al., 2012). Refractory black carbon concentrations were measured using a single particle soot photometer (Schwarz et al., 2006). *In situ* gas-phase measurements of NO, NO<sub>2</sub> and O<sub>3</sub>, along with environmental factors (T and

RH) were made by the California Air Resources Board. Particle size distributions were measured using a Scanning Mobility Particle Sizer (SMPS; size range: 10 - 800 nm), and an Aerodynamic Particle Sizer (APS;  $700 \text{ nm} - 6 \mu\text{m}$ ). Measurements included in the current study are listed in Table A.

Additionally, a radiosonde was used to obtain vertical profiles of pressure, temperature and humidity over nearby Huron (36.203 °N, 120.103 °W) twice a day, once in the morning around 8 AM and again in the evening 4 PM. <u>Diurnal measurements of the surface heat flux and friction velocity were determined from measurements made with a sonic anemometer at Huron.</u> Measurements of wind speed and wind direction as a function of altitude at nearby Visalia, CA are from the National Oceanic and Atmospheric Administration (NOAA) Profiler Network

10 (https://www.esrl.noaa.gov/psd/data/obs/instruments/WindProfilerDescription.html).

## Appendix B: Determining Mixed Boundary Layer Height

The mixed layer (ML) heights have been determined from each of the vertical profiles of potential temperature (θ), relative humidity (RH), CO and CH<sub>4</sub> measured from the P3-B aircraft. Example profiles for each of the three flight legs on 18 January 2013 are shown in Fig. B1. The altitude at which there is a strong change in the slope, from approximately altitude-independent to having a steep gradient, is determined to be the top of the ML. The vertical profile measurements allow for determination of the ML height over Fresno around 10:00 am, 12:30 pm and 2:30 pm. The ML height at 8 am is separately determined from the radiosonde measurements at nearby Huron (located 83 km SSW), as the flight data do not allow for characterization of ML height this early. It is assumed that the 8 am ML measurements at Huron are representative of the ML heights in Fresno. The observed ML height increases with time from 8 am until approximately noon or 1 pm, after which it is approximately constant. The rise in ML height with time is modelled using the Chemistry Land-surface Atmosphere Soil Slab (CLASS) model (Vilà-Guerau De Arellano, 2015). The CLASS model allows for estimation of ML heights with finer time resolution than the observations (i.e. in between flights; shown as black dots in Figure B2) and of the corresponding time-dependent entrainment velocities. The model input parameters are constrained by observations from nearby Huron of the nocturnal

boundary layer height, the morning inversion strength ( $\sim 8$  am), the sensible surface heat flux, the friction velocity, and the lapse rate through the residual layer, as well as by an estimate of the subsidence rate based on Trousdell et al. (2016). The model inputs are adjusted to ensure that the modelled ML growth agrees reasonably well with the observations from the P3-B over Fresno (Figure B2). The resulting average entrainment velocities in the afternoon (1 – 4 pm) from the CLASS model agree well with independently determined entrainment rates based on afternoon decline in  $SO_4^{2-}(p)$  for the Episode 1 days. Since  $SO_4^{2-}(p)$  is effectively non-volatile and since photochemical production via oxidation of  $SO_2$  is relatively slow, the decline in  $SO_4^{-}(p)$  in the afternoon can be attributed solely to dilution from entrainment of "clean" FT air since the influence of the gas-phase pump is small. After 3 pm the boundary layer is assumed to linearly drop over a 1-hour period to the NBL height observed at 8 am the same day. A relatively rapid ( $\sim$ 1 h) decline in the mixed layer height is consistent with wintertime observations of diurnal BLH profiles (Bianco et al., 2011).

The sensitivity of the box model to the boundary layer growth predicted by the (observationally constrained) CLASS model has been examined. An alternative boundary layer growth profile was estimated by fitting the observed P3-B ML heights using a sigmoidal function (Figure B3). The general shapes of the CLASS and sigmoidal profiles are similar, although the sigmoidal profile exhibits a somewhat faster rise. Entrainment of FT air in the afternoon for the sigmoidal growth profile was accounted for using the average entrainment rates estimated from the observed SO<sub>4</sub><sup>2-</sup>(p) loss rates and assuming that entrainment begins at noon, when the BL height was near the maximum. The same linear decrease in the BL height starting at 3 pm was assumed. The use of this alternative model yields a diurnal NO<sub>3</sub>-(p) profile for Episode 1 that is very similar to that obtained using the CLASS model (Figure B3). This indicates that the general behavior of the diurnal surface NO<sub>3</sub>-(p) profile is not particularly sensitive to the treatment of the BL rise and that the results obtained here are robust.

### Appendix C: Nocturnal Reactions in the RL

25 C1 N<sub>2</sub>O<sub>5</sub> production and heterogeneous reactivity

The gas-phase and heterogeneous chemistry occurring in the RL was assumed to follow the reaction scheme indicated by Reactions 1-4. Focusing first on the heterogeneous hydrolysis of  $N_2O_5$ , one estimate of the night-specific average rate coefficients for  $N_2O_5$  heterogeneous hydrolysis ( $k_{N2O5}$ ) is obtained through consideration of the initial concentrations of precursor gases and the observed maximum overnight increase in the RL  $NO_3^-(p)$ ,  $PNO_3^-$ . More specifically, a 1D box model including nocturnal gas-phase chemistry and heterogeneous reaction of  $N_2O_5$  with particles was run iteratively to determine an average  $k_{N2O5}$  for the night (19:00-08:00; 13 hours) such that it reproduced the observed  $PNO_3^-$ . The observed chloride at Fresno was small (1% of  $PM_{1.0}$ ) during the episode, and thus formation of nitryl chloride (CINO<sub>2</sub>) can be reasonably neglected (Young et al., 2016). Since, the boundary layer is fairly well-mixed in the afternoon, surface-level observations of  $NO_x$ ,  $O_3$ ,  $NO_3^-(p)$ , particle wet surface area and temperature at 3 pm on the preceding day were used as initial conditions. Based on back-calculated  $k_{N2O5}$  values, night-specific values of heterogeneous  $N_2O_5$  uptake coefficient ( $\gamma_{N2O5}$ ) were determined from:

$$k_{N205} = \frac{\omega \cdot S_a \cdot \gamma_{N205}}{4} \tag{C1}$$

where  $\omega$  is the mean molecular speed of N<sub>2</sub>O<sub>5</sub> (256 m s<sup>-1</sup>),  $S_a$  is wet particle surface area, and  $\gamma$  is the N<sub>2</sub>O<sub>5</sub> heterogeneous uptake coefficient (Brown et al., 2006c). The wet particle surface area was calculated from the observed dry particle size distributions, particle hygroscopicity and RH. The resulting back-calculated  $k_{\rm N2O5}$  values from Eqn. C1 were in the range  $1.3 - 5.1 \times 10^{-5} \, \rm s^{-1}$ . The corresponding back-calculated  $\gamma_{\rm N2O5}$  were in the range  $2.5 \times 10^{-4}$  to  $4.8 \times 10^{-4}$  (Table S1), which as noted in the main text are somewhat smaller than values observed under water-limited conditions in other field studies and lower than expected based on lab experiments (Bertram et al., 2009).

A second estimate of the  $\gamma_{N205}$  values is calculated from the particle composition following Bertram et al. (2009). The calculated  $\gamma_{N205}$  depend on the particle water content (specifically, the [H<sub>2</sub>O]/[NO<sub>3</sub> $\hat{}_{(p)}$ ] and thus RH) and the chloride fraction. The composition-calculated  $\gamma_{N205}$  ( $\sim 10^{-3}$ ) are larger than the above back-calculated values and more consistent with the literature although on the lower side of

previous measurements (Brown et al., 2006c; Bertram et al., 2009). That the back-calculated  $\gamma_{N205}$  are smaller than the  $\gamma_{N205}$  calculated from the composition is likely a consequence of the  $PNO_3^-$  being an under-estimate relative to the true overnight production in the RL. This is because the observed  $PNO_3^-$  is taken as the difference between the previous afternoon and early morning  $NO_3^-$  concentration in the aloft RL layer having the maximum morning concentration. This does not account for the influence of advection, which is most likely going to reduce the morning  $NO_3^-$  relative to if there were no advection.

#### C2 Reactions with VOCs

Not considered in the above is the reaction of the NO<sub>3</sub> radical with VOCs. NO<sub>3</sub> radicals react rapidly with alkenes and more slowly with alkanes and other species. NO<sub>3</sub> reaction with VOCs can lead to hydrogen abstraction and direct formation of HNO<sub>3</sub>, especially for reactions with alkanes. For alkenes and aromatics, NO<sub>3</sub> reaction typically proceeds via NO<sub>3</sub> addition and formation of organic nitrates. The latter would suppress formation of particulate inorganic nitrate but can serve as an important source of particulate organic nitrate (Kiendler-Scharr et al., 2016). Organic nitrate formation has been observed as an important source of summertime organic aerosol in Bakersfield, CA (also in the SJV) (Rollins et al., 2012). VOC concentrations and reactivity are likely much lower during the colder winter compared to the warmer summer (Pusede et al., 2014), and thus reaction of VOCs with NO<sub>3</sub> radicals is likely much suppressed.

Concentrations of a broad suite of VOCs were measured via whole air canister sampling during DISCOVER-AQ. These measurements can be used to assess the potential influence of NO<sub>3</sub> reaction with VOCs on HNO<sub>3</sub> and NO<sub>3</sub> (p) formation. The nitrate reactivity towards each VOC is calculated as  $k_{\text{VOC+NO3}}$  [VOC], where the  $k_{\text{VOC+NO3}}$  is the VOC-specific rate coefficient and [VOC] is the VOC concentration (Ng et al., 2017). Average afternoon VOC concentrations are used, which should be representative of the initial concentrations in the RL. The VOCs are ranked according to their reactivity with NO<sub>3</sub>. The top 20 VOCs are considered explicitly, and all other VOCs are lumped into a common VOC species with the average concentration and  $k_{\text{rxn}}$  of these species (Table S2). Reactions between

NO<sub>3</sub> and alkenes and aromatics are assumed to form (unreactive) organic nitrates while reactions between NO<sub>3</sub> and all other species are assumed to form HNO<sub>3</sub> and an organic product species. The influence of NO<sub>3</sub> reaction with VOCs on NO<sub>3</sub> (p) is assessed by calculating the overnight production of HNO<sub>3</sub> both with and without VOCs using typical afternoon NO (3 ppb), NO<sub>2</sub> (20 ppb) and O<sub>3</sub> (27 ppb) concentrations and for  $k_{N2O5}$  ranging from 1 x 10<sup>-5</sup> s<sup>-1</sup> to 3 x 10<sup>-4</sup> s<sup>-1</sup>. HNO<sub>3</sub> produced from N<sub>2</sub>O<sub>5</sub> hydrolysis is tracked separately from HNO<sub>3</sub> produced from NO<sub>3</sub> reaction with VOCs. The HNO<sub>3</sub> production via N<sub>2</sub>O<sub>5</sub> hydrolysis decreases marginally when VOC reactions are included. The HNO<sub>3</sub> suppression ranges from ~12% for  $k_{N2O5} = 10^{-5}$  s<sup>-1</sup> to 5% for  $k_{N2O5} = 10^{-4}$  s<sup>-1</sup> (Figure D1). However, the calculations indicate that much of this HNO<sub>3</sub> suppression is potentially offset by HNO<sub>3</sub> production from reaction of NO<sub>3</sub> with non-alkene or aromatic compounds. For larger  $k_{N2O5}$  the net suppression is only 5%, with the suppression decreasing as  $k_{N2O5}$  decreases. At the lowest  $k_{N2O5}$  (10<sup>-5</sup> s<sup>-1</sup>) the calculations indicate that the inclusion of the NO<sub>3</sub> + VOC reaction actually leads to an increase in the net HNO<sub>3</sub> production (Figure D1). Overall, these calculations suggest that reaction of NO<sub>3</sub> with VOCs has a relatively minor influence on the overnight local production of HNO<sub>3</sub> in the RL.

# 15 Appendix D: Box Model Details

The box model for calculating the time-varying surface concentrations of NO<sub>3</sub>-(p) accounts for: (i) mixing of air in the aloft RL with the surface air, including the time-dependent rise and fall of the boundary layer; (ii) daytime photochemical production of HNO<sub>3</sub> from the OH + NO<sub>2</sub> reaction; (iii) T- and RH-dependent gas-particle partitioning of ammonium nitrate; (iv) afternoon entrainment of air from the free troposphere; (iv) competition between condensation of HNO<sub>3</sub> onto existing suspended particles versus loss via dry deposition; (v) dry deposition of particulate NO<sub>3</sub>-(p). The kinetic equations were solved in the data analysis program Igor (Wavemetrics) and set up using the kinsim Igor package, developed by Harold Stark (http://www.igorexchange.com/node/1333). The model was initialized with the observed NO<sub>3</sub>-(p) measured by the AMS at surface-level at 12 am and run in 10 minute steps. For each time step, the photochemical production equations used the instantaneous observed NO<sub>2</sub> and temperature, and estimated OH concentration and ML height. The fraction of NO<sub>3</sub>- in gas-phase, *f*, for

each time step was determined based on the instantaneous conditions using the chemical thermodynamic model, ISORROPIA II in the forward mode, with the phase state set as metastable (Fountoukis and Nenes, 2007). ISORROPIA was initialized with the observed particulate composition, specifically NO<sub>3</sub><sup>-</sup> and SO<sub>4</sub><sup>2</sup>- (AMS), and Na<sup>+</sup>, K<sup>+</sup> and Cl<sup>-</sup> (PILS). (The PILS and AMS sampled particles of somewhat different size, with the PILS sampling PM<sub>3</sub> and the AMS PM<sub>1</sub>. The AMS observations are available at higher time-resolution, and thus preferable to use here. However, there are challenges in quantitative characterization of Na+, K+ and Cl- using the AMS, and thus the PILS was used instead for these species. Comparison of the AMS and PILS NO<sub>3</sub> and SO<sub>4</sub><sup>2</sup> indicates that the AMS-measured concentrations are ~10% lower than for the PILS, attributable to mass between 1 and 3 µm (Parworth et al., 2017). The Na<sup>+</sup>, K<sup>+</sup> and Cl<sup>-</sup> ions are minor components of the total PM<sub>3</sub>, and thus the AMS-PILS difference has minor influence on the calculations here.) Since the PILS was not functioning on 18th January, 2013, the ionic compositions of K<sup>+</sup> and Cl<sup>-</sup> were estimated from the linear relationship between PILS and AMS composition (Equations C1-C2). Since Na<sup>+</sup> measured by PILS was generally constant during the episode it was assumed to be the same on 18th. The diurnally varying concentrations of total NH<sub>3(g+p)</sub> for ISORROPIA were calculated as the sum of NH<sub>4</sub>+(p) measured by AMS and NH<sub>3(g)</sub> measured by the denuder at the surface in Fresno; since the denuder measurements were averages over 6-7 hours, the NH<sub>3(g)</sub> concentration was linearly interpolated between the individual measurements to allow for estimation with higher time resolution. The 6-7 hour average denuder-based NH3(g) measurements compare reasonably with the point NH3(g) measurements made on board the P3-b at the lowest altitude over Fresno. The fraction of NO<sub>3</sub> predicted to be in the gas-phase was also found to be in generally good agreement with the observations (Figure D1; (Parworth et al., 2017).

$$[Cl^{-}] = 1.24 * [Cl^{-}]_{AMS}$$
 (D1)

$$[K^+] = -0.036 * [Org]_{AMS}$$
 (D2)

As the boundary layer rises, starting around 8 am, and air from the RL is mixed into the surface air, the instantaneous NO<sub>3</sub>-(p) concentration at the surface ([NO<sub>3</sub>-(p)]<sub>surf</sub>) is calculated as:

$$\left[NO_{3\;(p)}^{-}\right]_{surf,t} = \left[NO_{3\;(p)}^{-}\right]_{surf,t-1} + \left\{\left[NO_{3\;(p)}^{-}\right]_{surf,t-1} - \left[NO_{3\;(p)}^{-}\right]_{RL,t}\right\} \times \left\{1 - \frac{w_e \cdot \Delta t}{BLH}\right\} \tag{D3}$$

where t and t-1 represent the current and previous time steps respectively, BLH is the boundary layer height (m AGL),  $w_e$  is the entrainment velocity and  $[NO_3^-(p)]_{RL,t}$  is the concentration of  $NO_3^-(p)$  in the layer of air that is entrained. Between 8 am and (approximately) noon, the vertical  $NO_3^-(p)$  profile within the remaining RL (above the instantaneous BLH) is assumed to remain unchanged from the early-morning observed profile. The vertical  $NO_3^-(p)$  profile is updated to that observed during the second flight once the BLH (from the CLASS model) reaches the ML height observed around noon.

The daytime photochemical production of HNO<sub>3</sub> was calculated from Reaction DR1 (Burkholder et al., 2015, http://jpldataeval.jpl.nasa.gov).

$$NO_2 + OH \rightarrow HNO_3$$
;  $k_{OH} = 2.8 \times 10^{-11} \text{ cm}^{-3} \text{ molecule}^{-1} \text{ s}^{-1}$  (DR1)

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The OH concentration at a given time step was assumed to scale with the solar radiation flux (SR) as:

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$$[OH]_t = \left(\frac{SR_t}{SR_{max}}\right)[OH]_{max}$$
 (D4)

where the maximum daytime OH concentration is assumed to be  $[OH]_{max} = 1 \times 10^6$  molecules cm<sup>-3</sup>, after (Pusede et al., 2016). The rate coefficient for condensation of  $HNO_{3(g)}$  onto suspended particulates,  $k_{cond}$ , was calculated based on collision theory (Seinfeld and Pandis, 2006) as:

$$k_{cond} = \sum_{i} \beta_{i} * 2 * D_{p,i} * D * 10^{-4} * dN_{i}$$
 (D5)

where the summation is over particle size,  $D_{p,i}$  is the mean particle diameter in the size bin i (m), and  $dN_i$  is the number concentration in the size bin i (m<sup>-3</sup>). The term  $\beta_i$  is the size-dependent Fuchs correction in the continuum regime, given by:

$$\beta_i = 0.75 * \frac{{}^{1+Kn}}{{}^{Kn^2+1.283*Kn+0.75}}$$
 (D6)

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where  $Kn = \lambda/D_{p,i}$ , and  $\lambda$  is the gas mean free path (65 nm). The parameter D is the diffusion coefficient of HNO<sub>3</sub> gas in air (cm<sup>2</sup> s<sup>-1</sup>) given by (De Andrade et al., 1992):

$$D = 10^{-4.7773} * T^{1.366}$$
 (D7)

where T is ambient temperature (K). The corresponding evaporation rate coefficient ( $k_{\text{evap}}$ ) is determined as:

$$k_{evap} = \frac{\kappa_{eqm}}{\kappa_{cond}} \tag{C8}$$

where  $K_{\text{eqm}}$  is the instantaneous (temperature and RH-dependent) equilibrium partitioning coefficient for ammonium nitrate. The rate coefficient for loss of gas-phase HNO<sub>3</sub> or NO<sub>3</sub>-(p) from dry deposition,  $k_{\text{dep}}$  (s<sup>-1</sup>) is:

$$k_{dep} = \frac{v_d}{RLH} \tag{C9}$$

where  $v_d$  is the deposition velocity (cm s<sup>-1</sup>) and BLH is the time-dependent boundary layer height. The HNO<sub>3(g)</sub> deposition velocity has been shown to vary linearly with wind speed (Ma and Daggupaty, 2000). Here, it was assumed that:

$$v_d = 1 + 9 * \left(\frac{w_{S-WS_{min}}}{w_{S_{max}} - w_{S_{min}}}\right) \tag{C10}$$

where ws is the observed wind speed, and  $ws_{min}$  and  $ws_{max}$  are the minimum and maximum values observed. The bounds of Eqn. C10 (lower limit  $v_d = 1 \text{ cm s}^{-1}$  and upper limit 10 cm s<sup>-1</sup>) were chosen to span previously observed ranges. While the accuracy of the empirical Eqn. C10 is not known, we note that use of a constant  $v_d$  of 0.07 cm s<sup>-1</sup> does not change the box model output substantially (Figure S14). Of course, if the actual  $v_d$  is lower than estimated here the influence of dry deposition on NO<sub>3</sub> (p) concentrations would be decreased. The NO<sub>3</sub> (p) deposition velocity was assumed to be 0.01 cm s<sup>-1</sup>, consistent with much slower deposition of particles than soluble gases such as HNO<sub>3</sub>. Dry deposition occurred both during the daytime and nighttime.

### 15 Author Contributions

GP and CDC wrote the paper, with contributions from all authors. CP, XZ, HK, AJB, LDZ, JBN, QZ and CDC made the measurements. GP, THB, ICF and CDC contributed to the modelling efforts. CDC and QZ designed the project.

### **Author Contributions**

20 The authors declare that they have no conflict of interest.

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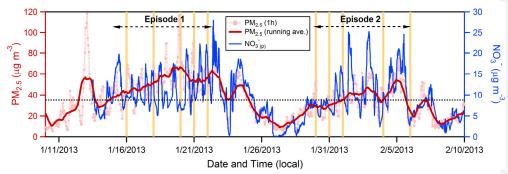
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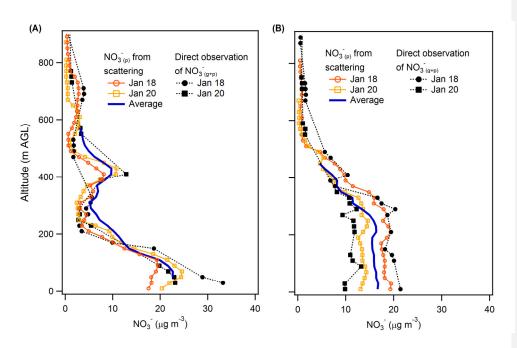
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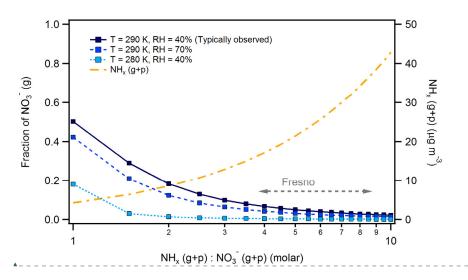
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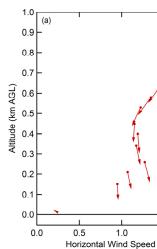
**Figure 1.** Time series of surface  $PM_{2.5}$  concentration ( $\mu g m^{-3}$ ) measured in Fresno during the DISCOVER-AQ campaign for 1 h averages (light red dotted line) and for a running average (red line; smoothed over 24 h), along with the 1 h average  $NO_3^{-}(p)$  concentration (blue line). The vertical orange lines indicate the days on which airborne measurements were made. The horizontal dashed black line indicates the NAAQS 24 h standard of 35  $\mu g m^{-3}$  for  $PM_{2.5}$ .



**Figure 2**. Vertical profiles for two individual flight days of particulate nitrate concentrations estimated from *in situ* total particle scattering measurements (open markers) and total nitrate (gas + particle) concentrations measured by the TD-LIF (solid black markers) for (A) the morning (~9:30 am) and (B) the afternoon ~2:30 pm. The solid blue lines indicate the average NO<sub>3</sub>-(p) vertical profiles for all four flight days of Episode 1 (Jan 18, 20, 21 and 22).



**Figure 3.** The gaseous fraction of total nitrate versus the molar ratio of total ammonia to total nitrate (ppb) under different environmental conditions (blue lines). The total ammonia is the sum of  $\overline{NH}_{3(g)}$  measured on P3-B close to ground (< 20 m AGL) and  $\overline{NH}_{4(p)}$  at ground-level measured by PILS at approximately same time. The total nitrate is the  $\overline{NO}_{3(g+p)}$  measured by TD-LIF close to ground (< 20 m AGL). The grey dashed arrow indicates the observed range of molar ratio values during the campaign period. The total (gas + particle) ammonia is shown for reference (orange line).



Horizontal Wind Speed Figure 3. (a) Vertical profile of the average night time (19:00-07:00) horizontal winds over Visalia, CA (65 km SE of Fresno) and the surface (10 m) wind in Fresno for flight days during Episode 1 (Jan. 18, 20, 21, and 22). The length of the arrows corresponds to the wind speed and the direction to the average wind direction. (b) Corresponding wind roses for (b1) the surface, (b2) 125-175 m, (b3) 225-345 m, and (b4) 400-500 m. The length of each arc corresponds to the normalized probability and the colors indicate the wind speed (m/s; see legend). Data are from the National Oceanic and Atmospheric Administration, Earth System Research Laboratory, Physical Sciences Division Data and Image Archive (https://www.esrl.noaa.gov/psd/data/obs/datadisplay/, accessed 3 June 2017).¶

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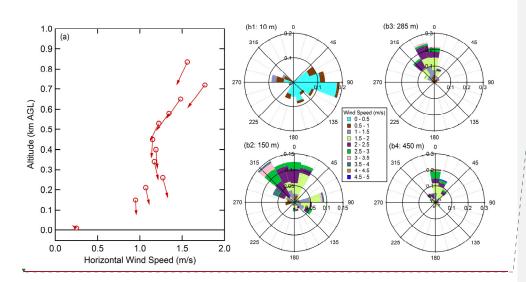


Figure 4, (a) Vertical profile of the average night time (19:00-07:00) horizontal winds over Visalia, CA (65 km SE of Fresno) and the surface (10 m) wind in Fresno for flight days during Episode 1 (Jan. 18, 20, 21, and 22). The length of the arrows corresponds to the wind speed and the direction to the average wind direction, with the measurement height indicated by the circle on the tail of the arrow. (b) Corresponding wind roses for (b1) the surface, (b2) 125-175 m, (b3) 225-345 m, and (b4) 400-500 m. The length of each arc corresponds to the normalized probability and the colors indicate the wind speed (m/s; see legend). Data are from the National Oceanic and Atmospheric Administration, Earth System Research Laboratory, Physical Sciences Division Data and Image Archive (https://www.esrl.noaa.gov/psd/data/obs/datadisplay/, accessed 3 June 2017).

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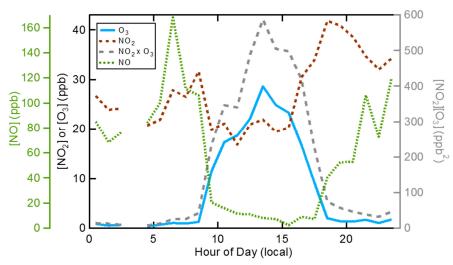
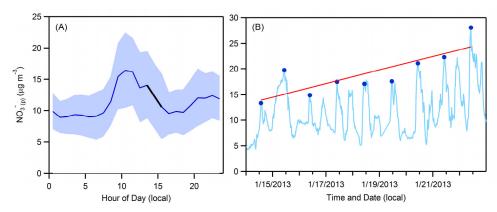
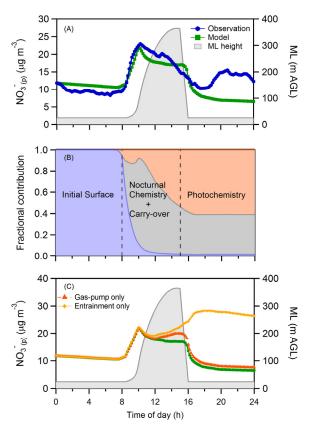


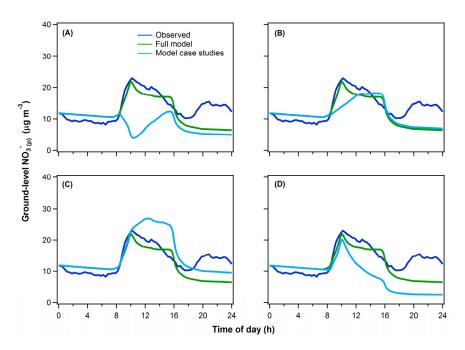
Figure 5. Diurnal profiles for ozone (blue),  $NO_2$  (brown), NO (green) and the product of  $O_3$  and  $NO_2$  (gray) for the first pollution episode.



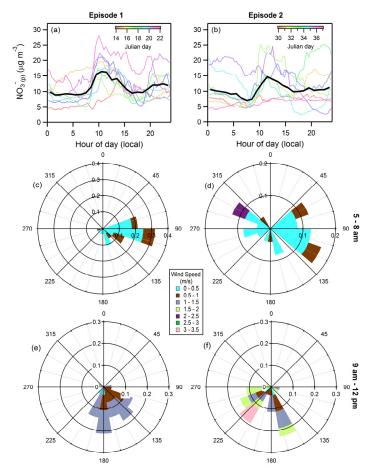
**Figure 6**. (A) Average diurnal profile (solid line) of surface  $NO_{3^-(p)}$  for all days of Episode 1. The shaded area indicates the  $1\sigma$  standard deviation. The solid black line is a linear fit ( $r^2 = 0.99$ ) to the data between 1:30 pm and 3:30 pm. (B) Time series (solid blue line) of surface-level  $NO_{3^-(p)}$  during Episode 1. The circles indicate the daytime peak values. The linear fit (red line) to the daytime  $NO_{3^-(p)}$  peaks suggest an increase of 1.32  $\mu$ g m<sup>-3</sup> day<sup>-1</sup>.



**Figure 7**. (A) Comparison between the observed (blue circles) and observationally constrained model predicted (green squares) diurnal profile of the surface NO<sub>3</sub> τ<sub>(p)</sub> concentration (μg m<sup>-3</sup>) for the four flight days (18<sup>th</sup>, 20<sup>th</sup>, 21<sup>st</sup> and 22<sup>nd</sup> January, 2013) during Episode 1. Also shown is the diurnal variation in the boundary layer height (gray), as constrained by daytime measurements. (B) The diurnal variation in the simulated fraction of the total surface-level NO<sub>3</sub> τ<sub>(p)</sub> contributed by the initial surface-level NO<sub>3</sub> τ<sub>(p)</sub> (i.e. that at surface-level at 12:00 am), the NO<sub>3</sub> τ<sub>(p)</sub> mixed down from the RL, and NO<sub>3</sub> τ<sub>(p)</sub> produced from daytime photochemical reactions. (C) Comparison between the simulated diurnal profile when all processes are included (green squares, same as Panel A) and when only one NO<sub>3</sub> τ<sub>(p)</sub> sink at a time is considered. The individual sinks considered are only entrainment of free troposphere air (yellow crosses) or only dry deposition of HNO<sub>3</sub> via the gas-phase pump (orange triangles).



**Figure 8**. Model predictions of the diurnal variation in surface-level  $NO_{3^-(p)}$  under (A-C) different assumptions regarding the  $NO_{3^-(p)}$  concentration and vertical variability in the early-morning RL, or (D) without daytime photochemical production of  $NO_{3^-(p)}$ . In all panels the blue curve shows the observations and the green curve shows the full observationally constrained model results (identical to Figure 6) for the average of the four flight days in Episode 1. For (A-C), the assumptions were: (A) The  $[NO_{3^-(p)}]_{RL}$  is equal to zero; (B) The  $[NO_{3^-(p)}]_{RL}$  is constant with altitude and equal to the  $NO_{3^-(p)}]_{RL}$  is constant with altitude and equal to the maximum observed  $[NO_{3^-(p)}]$  in the early-morning RL profile.



**Figure 9**. (Top panels) Diurnal variation in the surface-level particulate nitrate concentration during (a) the first episode and (b) the second episode. The solid black lines are the average profile over the episode and the colored lines are for individual days. (Middle/Bottom panels) Wind roses for surface-level (10 m) winds in Fresno for the early morning (5-8 am) during (c) episode 1 and (d) episode 2, and for the late morning (9 am - 12 pm) during (e) episode 1 and (f) episode 2.

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**Table A1.** Summary of instruments deployed and measurements on ground and on aircraft madeduring the DISCOVER-AQ campaign.

Platform	Measurement	Instrument	Uncertainty	Response time
NASA P3-B aircraft + Ground	Total and submicron scattering at 450, 550 and 700 nm	Integrating Nephelometer (TSI 3563)	5%	1 s
NASA P3-B Aircraft	Nitrate (gas+particle)	Thermal Dissociation - Laser Induced Fluorescence (TD-LIF)	15%	1 s
NASA P3-B Aircraft	Carbon monoxide (CO), Methane (CH <sub>4</sub> )	Differential Absorption CO Measurement (DACOM)	< 2%	1 s
NASA P3-B Aircraft	Nitrogen monoxide (NO), Nitrogen dioxide (NO <sub>2</sub> ), and Ozone (O <sub>3</sub> )	4-channel Chemiluminiscence	10% for NO, 15% for NO <sub>2</sub> , and 5% for O <sub>3</sub>	1 to 3 s
NASA P3-B Aircraft	Ammonia (NH <sub>3</sub> )	Picarro G2103	35%	10 s
NASA P3-B Aircraft	Aerosol size distribution $(0.06 - 1 \mu m)$	Ultra-High Sensitivity Aerosol Spectrometer (UHSAS)	20%	1 s
NASA P3-B Aircraft	Meteorological and navigational measurements onboard	P3-B Project Data System (PDS)	-	1 s
Ground	PM <sub>2.5</sub> mass concentration	Beta-Attenuation Mass (BAM) Monitor	16%	1 h
Ground	NO, NO <sub>2</sub>	Chemiluminiscence	20%	1 h
Ground	$O_3$	NIST Standard Reference Photometer (SRP)	2%	1 h
Ground	Speciated non-refractory PM <sub>1.0</sub>	High Resolution Time-of-Flight Aerosol Mass Spectrometer (HR- ToF-AMS)	25%	5 min
Ground	Water-soluble components of PM <sub>2.5</sub>	Particle-Into-Liquid Sampler (PILS) coupled with two Ion chromatography systems	10 - 20%	20 min
Ground	Aerosol Particle Size	Scanning Mobility Particle Sizer (SMPS)	10%	1 min
Ground	Aerosol Particle Size	Aerodynamic Particle Sizer (APS)	20%	1 s
Ground	Refractive black carbon mass concentration	DMT Single Particle Soot Photometer (SP2)	30%	5 min
Ground	Relative humidity and temperature		Temperature: ± 0.1 K RH: ± 2%	1 h

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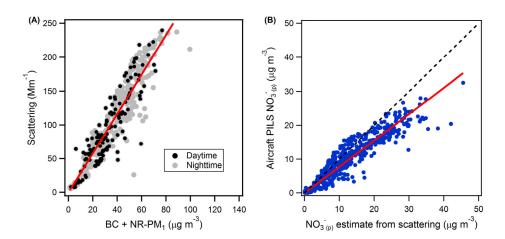


Figure A1. (A) Total particle scattering at 550 nm (Mm<sup>-1</sup>) versus PM<sub>1.0</sub> mass (submicron black carbon, BC + non-refractory PM<sub>1.0</sub>, NR-PM<sub>1</sub>) concentration (µg m<sup>-3</sup>) observed at ground-level in Fresno. The solid red line is the orthogonal distance regression fit including data only during the daytime (black circles) between 8 am and 4 pm; slope = 2.83 Mm<sup>2</sup>µg<sup>-1</sup>. (B) NO<sub>3</sub>-(p) concentration measured by PILS on P3-B aircraft versus that estimated from scattering using the relation NO<sub>3</sub>-(p) = γ-σ<sub>sca,dry</sub>/2.83. The solid red line is the linear fit to the data, with slope = 0.78. The dashed black line is the 1:1 line.

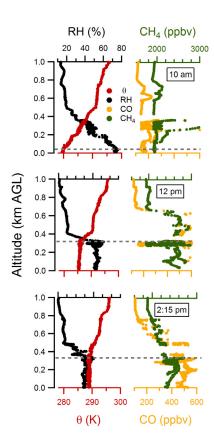
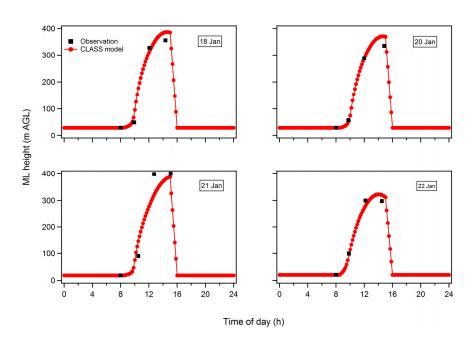
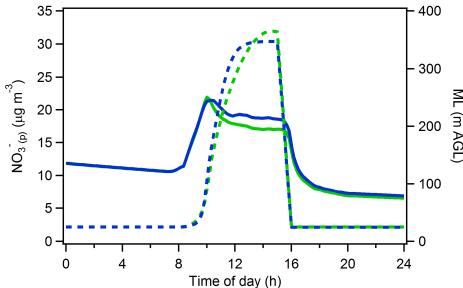


Figure B1. Vertical profiles of potential temperature, θ (K), relative humidity, RH (%), mixing ratios of
 carbon monoxide, CO (ppbv), and methane, CH<sub>4</sub> (ppbv) measured from the P3-B aircraft over Fresno on
 18<sup>th</sup> January, 2013. The horizontal dashed grey line indicates the mixed boundary layer heights.

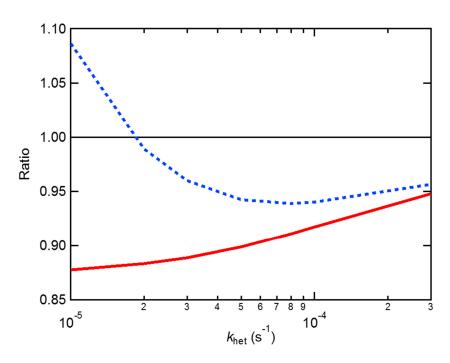


**Figure B2.** Evolution of the ML height with time (starting at 8 am) on the four flight days in Episode 1. The observational constraints are shown as black circles, where the first point comes from nearby balloon sonde measurements and the last three from the P3-B vertical profiles.



Time of day (h)

Figure B3. Average modelled surface NO<sub>3</sub>-(p) (solid lines) using the CLASS model output (green) and a sigmoid fit to the observed ML heights (blue). The ML heights used in the model are shown in dashed lines.



**Figure C1.** Model results showing the influence of including  $NO_3 + VOC$  reactions on  $HNO_3$  production via the heterogeneous hydrolysis of  $N_2O_5$ , as a function of the heterogeneous oxidation rate. The red line shows the ratio between the  $HNO_3$  produced via  $N_2O_5$  hydrolysis when reactions with VOCs are considered and when they are not. Reaction of  $NO_3$  with VOCs reduces the  $HNO_3$  formed via hydrolysis. The blue line shows the ratio between the total  $HNO_3$  produced from either  $N_2O_5$  hydrolysis or  $NO_3 + VOC$  reactions when reactions with VOCs are considered and when they are not.

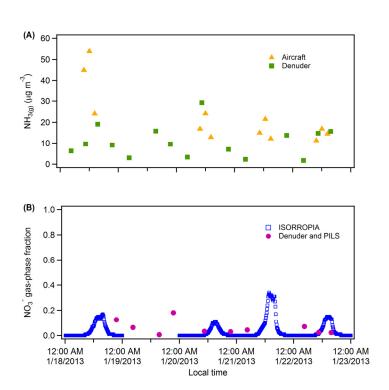


Figure D1. (A) Time series of NH<sub>3(g)</sub> (μg m<sup>-3</sup>) measured with the denuder at the surface (green squares) and at the lowest altitudes by CIMS onboard P3-B aircraft (yellow triangles). (B) The nitrate gas-phase fraction estimated by ISORROPIA (blue squares) and the observed fraction determined from the denuder
 HNO<sub>3(g)</sub> and PILS NO<sub>3<sup>-</sup>(p)</sub> measurements (pink circles) (Parworth et al., 2017).

## Supplemental Material for "Observational assessment of the role of nocturnal residual-layer chemistry in determining daytime surface particulate nitrate concentrations"

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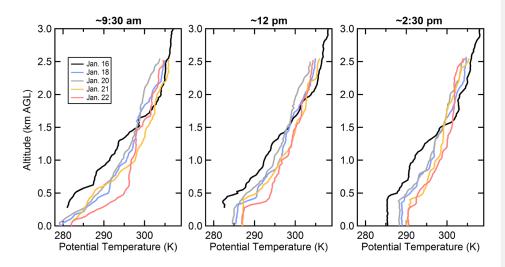
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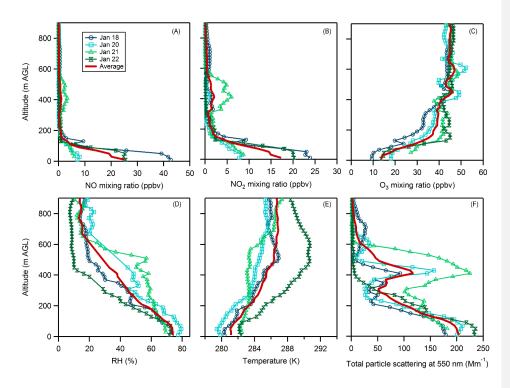
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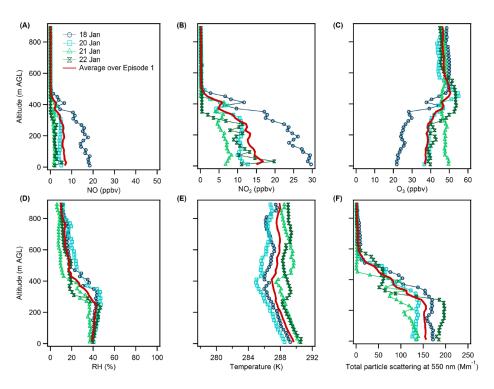
**Figure S1.** Vertical profiles of potential temperature over Fresno measured by the P-3B for pollution Episode 1 flight days for (left) the morning profile, (middle) the late morning/early afternoon profile and (right) the afternoon profile.



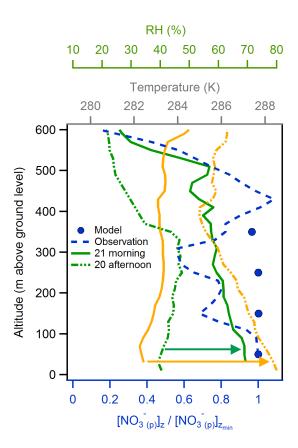
**Figure S2.** Map of San Joaquin Valley, California showing the flight paths of the P3-B and B200 aircrafts and the location of the six sites over which vertical spirals were done. Image from: <a href="http://discover-aq.larc.nasa.gov/multimedia.html">http://discover-aq.larc.nasa.gov/multimedia.html</a>. The Fresno site is indicated with a red box.



**Figure S3.** Vertical profiles on individual flight days of (A) NO mixing ratio (ppbv), (B)  $NO_2$  mixing ratio (ppbv), (C)  $O_3$  mixing ratio (ppbv), (D) RH (%), (E) ambient temperature, in K, and (F) total particle scattering at 550 nm in the morning (~9:30 am) over Fresno. Individual days are shown as green and blue and the average as the solid red line.



**Figure S4.** The observed afternoon (2:30 pm) vertical profiles over Fresno on individual flight days during the first episode of: (A) the NO mixing ratio (ppbv); (B) the  $NO_2$  mixing ratio (ppbv); (C) the  $O_3$  mixing ratio (ppbv); (D) relative humidity (%); (E) ambient temperature (K); and (F) the total particle scattering at 550 nm. Individual profiles are shown with symbols and the average profile is shown as a solid red line.



**Figure S5.** The vertical distribution of the observed normalized NO<sub>3</sub>- $_{(p)}$  (dashed blue line) for the first flight leg (early morning) on 21 Jan early morning along with box model prediction of the same (blue dots). (The normalized NO<sub>3</sub>- $_{(p)}$  = [NO<sub>3</sub>- $_{(p)}$ ]<sub>z</sub>/[NO<sub>3</sub>- $_{(p)}$ ]<sub>zmin</sub>, where z is altitude and  $z_{min}$  is lowest altitude.) Also shown are vertical profiles of temperature (yellow) and relative humidity (green) observed during the third flight leg (afternoon) on 20 Jan (dashed lines) and during the first flight leg (early morning) on 21 Jan morning (solid lines). The horizontal arrows indicate the overnight evolution of temperature and RH.

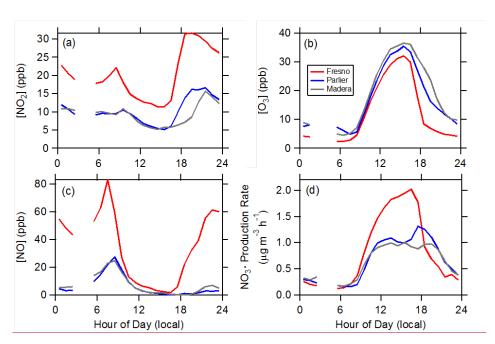
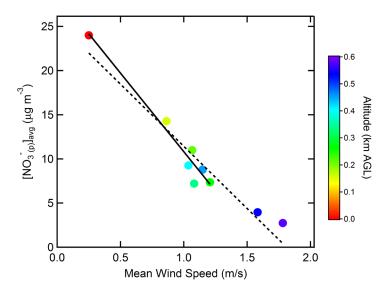
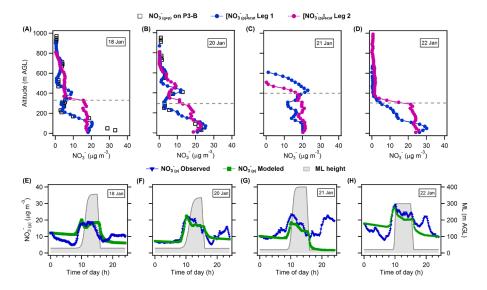


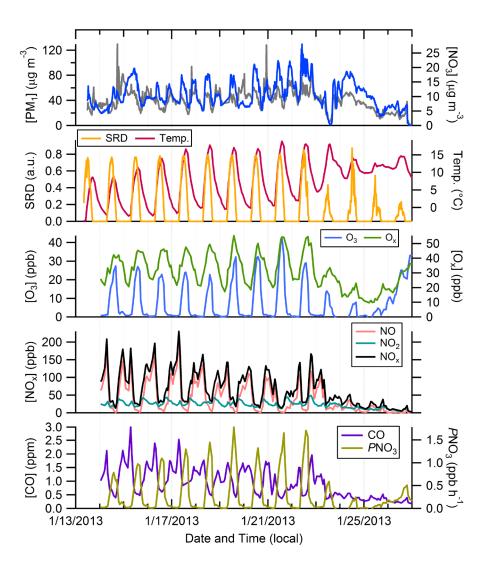
Figure S6. Diurnal profiles of (a) NO<sub>2</sub>, (b) O<sub>3</sub>, (c) NO and (d) the instantaneous NO<sub>3</sub>-production rate for (red) Fresno, (blue) Parlier and (gray) Madera.



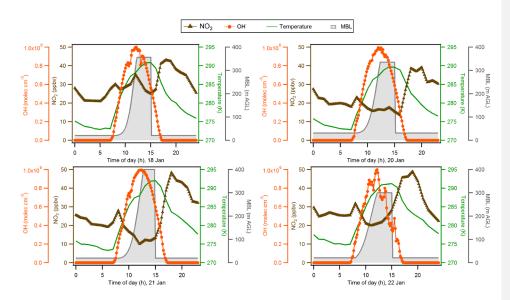
**Figure S7.** Relationship between the Episode 1 average vertical profiles of estimated NO<sub>3</sub>-(p) concentrations and the night time mean wind speed. The mean wind speed is for only the nights preceding flight days. Points are colored according to altitude above ground level. The solid black line is a linear fit for altitudes < 0.45 km, with slope = -17.8  $\mu$ g.s m<sup>-4</sup> and intercept 28.6  $\mu$ g m<sup>-3</sup> and r = -0.98. The dashed black line is a fit to all points below 1 km (r = -0.96).



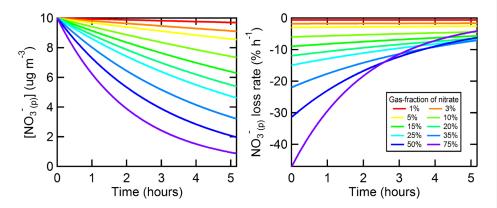
**Figure S8.** (A-D) The observed vertical profiles of  $NO_{3^-(g^+p)}$  (black squares) from the TD-LIF and  $NO_{3^-(p)}$  (blue circles) for the first flight leg, along with the  $NO_{3^-(p)}$  for the second flight leg (purple circles). The horizontal dashed grey lines indicate the ML height at the time of the Fresno profile during flight leg 2. (E-H) The diurnal variation in the observed (blue) and modeled (green) surface-level  $NO_{3^-(p)}$  for each flight day in Episode 1. The temporal variation in the BLH (grey shaded area) is shown for reference.



**Figure S9.** Time-series of observed (top-to-bottom)  $PM_1$  and particulate  $NO_3^-$  concentrations, solar radiation (SRD) and temperature,  $O_3$  and  $O_x$  concentrations,  $NO_x$  and  $NO_x$  concentrations, and  $CO_x$  concentrations with the instantaneous nitrate radical production rate, calculated as  $PNO_3 = k_{NO3}[NO_2][O_3]$ .



**Figure S10.** Ground observations of  $NO_2$  (brown triangles) and temperature (green line) and the estimated OH (orange circles) and boundary layer height (gray) that are used to as inputs to the mixing model for each of the four flight days in Episode 1.



**Figure S11.** (left) Example model results of the influence of gas-phase HNO<sub>3</sub> deposition on NO<sub>3</sub>- $_{(p)}$  concentrations for different assumed gas-phase nitrate fractions (indicated by color). Here, a constant  $\nu_d = 7$  cm s<sup>-1</sup> and mixed-layer height of 400 m are used, and the gas and particles are assumed to remain in equilibrium at all times. The initial NO<sub>3</sub>- $_{(p)}$  concentration is 10  $\mu$ g m<sup>-3</sup>. For Fresno, the observed daytime gas-phase nitrate fractions are <10%. (right) The corresponding instantaneous NO<sub>3</sub>- $_{(p)}$  loss rate, in percent. The loss rate is independent of the assumed initial NO<sub>3</sub>- $_{(p)}$  concentration.

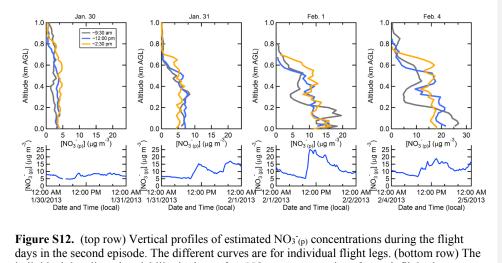
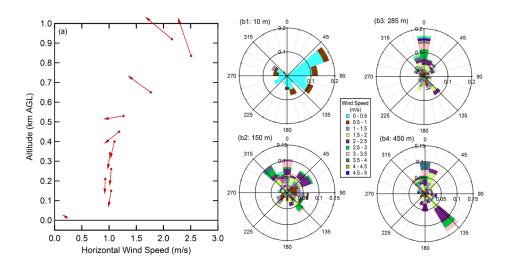
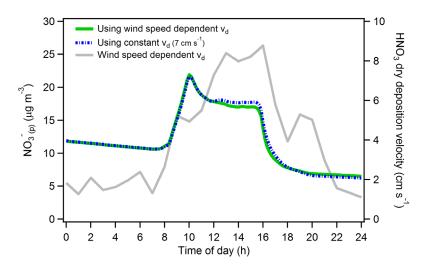


Figure S12. (top row) Vertical profiles of estimated NO<sub>3 (p)</sub> concentrations during the flight days in the second episode. The different curves are for individual flight legs. (bottom row) The individual day diurnal variability in the surface NO<sub>3</sub>-(p) concentrations for each flight day.



**Figure S13.** (a) Vertical profile of the average night time (19:00-07:00) horizontal winds over Visalia, CA (65 km SE of Fresno) and the surface (10 m) wind in Fresno during Episode 2 (Jan. 29-Feb. 4). The length of the arrows corresponds to the wind speed and the direction to the average wind direction. (b) Corresponding wind roses for (b1) the surface, (b2) 125-175 m, (b3) 225-345 m, and (b4) 400-500 m. The length of each arc corresponds to the normalized probability and the colors indicate the wind speed (m/s; see legend). Data are from the National Oceanic and Atmospheric Administration, Earth System Research Laboratory, Physical Sciences Division Data and Image Archive (https://www.esrl.noaa.gov/psd/data/obs/datadisplay/, accessed 3 June 2017).



**Figure S14.** Comparison of model simulations of the averaged diurnal variability in surface-level NO<sub>3</sub>-(p) between when the HNO<sub>3</sub> deposition velocity is assumed constant at 7 cm s<sup>-1</sup> (blue dashed line) and when the deposition velocity varies linearly with surface wind speed (solid green line). The wind-dependent HNO<sub>3</sub> deposition velocity is shown for reference (gray line).

Table S1. Summary of initial conditions measured at the surface-level (3 pm) used for calculation of  $k_{\rm N2O5}$  and  $\gamma_{\rm N2O5}$  for flight days during Episode 1.

Dates	NO (ppbv)	NO <sub>2</sub> (ppbv)	O <sub>3</sub> (ppbv)	T (K)	RH (%)	NO <sub>3</sub> -(p) (μg m <sup>-3</sup> )	$SO_4^{-2}_{(p)}$ (µg m <sup>-3</sup> )	Cl <sup>-</sup> <sub>(p)</sub> (μg m <sup>-3</sup> )	PNO <sub>3</sub> -(p) (μg m <sup>-3</sup> nt <sup>-1</sup> )	$\begin{array}{c} S_a \\ (\mu m^2 \\ cm^{\text{-}3}) \end{array}$	k <sub>N2O5</sub> 1E-5 (s <sup>-1</sup> )	γ <sub>N2O5</sub> 1E-4
17 <sup>th</sup> – 18 <sup>th</sup> Jan	6.3	23.8	23.7	290	31.8	8.5	0.70	0.12*	14.9	525.6	1.6	4.76
$19^{th}-20^{th}\;Jan$	3.7	21.3	31.5	290	36.4	14.3	0.93	0.35	14.4	826.5	1.3	2.46
$20^{th}-21^{st}\;Jan$	2.8	15.4	31.3	290	37.9	11.5	0.90	0.12	10.7	515.5	1.3	3.94
21st -22nd Jan	1.5	13.3	41.7	292	30.0	9.9	1.0	0.01	25.3	295.1	5.1	2.70

<sup>\*</sup> Equal to 1.24 x AMS Cl  $^{\circ}$  Overnight particulate nitrate production rate estimated from the difference in the maximum [NO<sub>5 (p)</sub>] in the early-morning vertical profile at  $^{\circ}$ 9:30 am and the ground-level [NO<sub>3 (p)</sub>] the previous day at 3 pm. The notation nt<sup>-1</sup> indicates per night.

Table S2. VOC concentrations and reactivity with the NO<sub>3</sub> radical.

VOC	Daytime concentration <sup>a</sup>	k <sub>rxn</sub> b	Reactivity
	(ppb)	(cm <sup>3</sup> molecules <sup>-1</sup> s <sup>-1</sup> )	(s <sup>-1</sup> )
α-Pinene	0.06	6.20E-12	9.34E-03
β-Pinene	0.02	2.60E-12	1.21E-03
i-Butene	0.11	3.50E-13	9.78E-04
Isoprene	0.05	7.00E-13	8.64E-04
DMS <sup>c</sup>	0.01	1.10E-12	2.86E-04
trans-2-Butene	0.03	3.50E-13	3.00E-04
cis-2-Butene	0.03	3.50E-13	2.89E-04
Ethanol <sup>c</sup>	2.45	2.00E-15	1.22E-04
Acetaldehyde <sup>c</sup>	5.14	2.60E-15	3.34E-04
1-3-Butadiene <sup>c</sup>	0.03	1.10E-13	9.17E-05
Propene	0.40	9.40E-15	9.29E-05
Methanol <sup>c</sup>	8.52	1.30E-16	2.77E-05
1-Butene	0.08	1.30E-14	2.54E-05
m-Xylene	0.28	2.30E-15	1.64E-05
o-Xylene	0.20	3.90E-15	1.90E-05
1-Pentene	0.03	1.50E-14	1.04E-05
Propane <sup>c</sup>	4.55	7.00E-17	7.97E-06
Ethene	1.73	2.00E-16	8.65E-06
1-2-4-Trimethylbenzene	0.09	1.72E-15	3.81E-06
Ethyne	1.81	1.00E-16	4.53E-06
Others <sup>c</sup>	0.29	2.1E-17	1.52E-07

aFrom canister samples, averaged for the afternoon period bFrom Calvert et al., *The Mechanisms of Reactions Influencing Atmospheric Ozone*, Oxford University Press, 2015, pp. 130-160. These VOCs are assumed to react with NO<sub>3</sub> radicals to form HNO<sub>3</sub>.