



**Theoretical analysis of mixing in liquid clouds. Part IV: DSD evolution
and mixing diagrams**

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1 **Abstract**

2 Evolution of droplet size distribution (DSD) due to mixing between cloudy and dry
3 volumes is investigated for different values of the cloud fraction and different initial DSD
4 shapes. The analysis is performed using a diffusion-evaporation model which describes time-
5 dependent processes of turbulent diffusion and droplet evaporation within a mixing volume.
6 Time evolution of the DSD characteristics such as droplet concentration, liquid water content,
7 mean volume and the effective radii is analyzed. The mixing diagrams are plotted for the final
8 mixing stages. It is shown that the difference between the mixing diagrams for homogeneous
9 and inhomogeneous mixing is insignificant and decreases with an increase in the DSD width.
10 The dependencies of normalized cube of the effective radius on the cloud fraction were
11 compared with those on normalized droplet concentration and found to be quite different. In
12 case the normalized droplet concentration is used, mixing diagrams do not show any
13 significant dependency on relative humidity in the dry volume.

14 The main conclusion of the study is that traditional mixing diagrams cannot serve as a
15 reliable tool in analysis of mixing type.

16 **Keywords:** turbulent mixing, droplet evaporation, DSD evolution, mixing diagram

17



1. Introduction

This study is Part 4 of series of papers dedicated to investigation of turbulent mixing between cloud and environmental volumes. Korolev et al. (2016) (hereafter, Pt1) presented analysis of conventional (classical) concept of mixing and introduced the main parameters characterizing homogeneous and extremely inhomogeneous mixing. According to the classical concept, the final equilibrium state with $RH=100\%$ is reached either by a partial evaporation of all droplets (homogeneous mixing) or a total evaporation of a certain portion of droplets that does not affect the remaining droplets (extremely inhomogeneous mixing) (Lehmann et al., 2009; Pt1). According to this concept, at a monodisperse initial droplet size distribution (DSD), homogeneous mixing leads to a decrease in droplet size and droplet mass content, while droplet concentration remains unchanged. Extremely inhomogeneous mixing is characterized by decreasing droplet concentration due to full evaporation of droplets penetrating the initially dry air volume while the DSD shape in the cloud volume remains unchanged. As a result of extremely inhomogeneous mixing, droplet concentration decreases while the mean volume radii remain unchanged. At a polydisperse DSDs, the extreme homogeneous mixing is characterized by proportional changes in DSD for all droplet radii (Pt1). Since widely used mixing diagrams describe the final equilibrium stage of mixing they do not contain information about changes in microphysical quantities in the course of mixing.

Pinsky et al. (2016a, hereafter Pt2) analyzed the time evolution of monodisperse and polydisperse DSD during homogeneous mixing. It was shown that result of mixing strongly depends on the shape of the initial DSD. At a wide DSD, evaporation of droplets (first of all, the smallest ones) is not accompanied by a decrease in the effective radius. Moreover, this radius may even increase over time. This result indicates that the widely used criterion of separation of mixing types based on the behavior of the effective radius during mixing is not generally relevant and may be wrong in application to real clouds.



Pinsky et.al. (2016b, hereafter Pt3) introduced a diffusion-evaporation model which describes evolution DSDs and all the microphysical variables due to two simultaneously occurring processes: turbulent diffusion and droplet evaporation. Mixing between two equal volumes of subsaturated and cloudy air was analyzed, i.e. it was assumed that the cloud volume fraction $\mu = 1/2$. The initial DSD in the cloudy volume was assumed monodisperse. These simplified assumptions allowed to reduce the turbulent mixing equations to two-parametric ones. The first parameter is the Damköhler number, Da , which is the ratio of the characteristic mixing time to the characteristic phase relaxation time. The second parameter is the potential evaporation parameter R characterizing the ratio between the amount of water vapor needed to saturate the initially dry volume and the amount of available liquid water in the cloudy volume.

Within the $Da - R$ space, in addition to the two extreme mixing types defined in the classical concept, two more mixing regimes were distinguished, namely, intermediate and inhomogeneous mixing. It was shown that any type of mixing leads to formation of a tail of small droplets, i.e. to DSD broadening. It was also shown that the relative humidity in the initially dry volume rapidly increases due to both water vapor diffusion and evaporation of penetrating droplets. As a result, the effective radii in the initially dry volume rapidly approach the values typical of cloudy volume. At the same time, the liquid water content remains significantly lower than that in the cloudy volume during much longer time than required for the effective droplet radius to grow.

In the present study (Pt4) we continue investigating the turbulent mixing between an initially dry volume and a cloudy volume. The focus of the study is investigation of DSD temporal evolution and analysis of the final equilibrium DSD. In comparison to Pt3, the problem analyzed in this study is more sophisticated in several aspects:



67 • The dependences of different mixing characteristics on cloud volume fraction $0 \leq \mu \leq 1$
68 are analyzed. In this case the equations of turbulent mixing cannot be reduced to the two-
69 parametric problem as it was done in Pt3.

70 • The initial DSDs in cloud volume are polydisperse. We use both narrow and wide
71 initial DSD described by Gamma distributions with different sets of parameters. The DSD are
72 the same as those used in Pt2.

73 • The equation for supersaturation, used in this study, is valid at low humidity in the
74 initially dry volume and is more general and compared with that used in Pt3, which makes the
75 DSD calculations more accurate.

76 At the same time, some simplifications used in Pt3 are retained in this study. The vertical
77 movement of the entire mixing volume is neglected; collisions between droplets and droplet
78 sedimentation are not allowed. Also, we consider a 1D diffusion-evaporation problem. We
79 neglect the changes of temperature in the course of mixing, which is possibly a less significant
80 simplification. All these simplifications allow to reveal the effects of turbulent mixing and
81 evaporation on DSD evolution.

82

83 2. Formulation of the problem and model design

84 In this study, the process of mixing is investigated basing on the solution of 1D diffusion-
85 evaporation equation (see also Pt3). According to this equation, evaporation of droplets due to
86 negative supersaturation in the mixing volume takes place simultaneously with turbulent
87 mixing. Since droplets within the volume are under different negative supersaturation values
88 until the final equilibrium is reached, the modeled mixing is inhomogeneous. The droplets can
89 evaporate either partially or totally. The evaporation leads to a decrease in droplet sizes and in
90 droplet concentration.

91 Like in Pt3, the process of turbulent diffusion is described by a 1D equation of turbulent
92 diffusion with a turbulent coefficient K . The mixing is assumed to be driven by isotropic



93 turbulence at scales within the inertial sub-range where Richardson's law is valid. In this case,
 94 turbulent coefficient is evaluated as in Monin and Yaglom (1975).

$$95 \quad K(L) = C\varepsilon^{1/3}L^{4/3} \quad (1)$$

96 In Eq. (1) ε is the turbulent kinetic energy dissipation rate and $C = 0.2$ is a constant (Monin
 97 and Yaglom, 1975), Boffetta and Sokolov (2002). Eq. (1) means that we consider the effects
 98 of turbulent diffusion at scales much larger than the Kolmogorov microscale, i.e. the effects of
 99 molecular diffusion are neglected. In the simulations, we use $L = 40 \text{ m}$ and $\varepsilon = 20 \text{ cm}^2 \text{ s}^{-3}$.

100

101 *Geometry of mixing and the initial conditions*

102 The conceptual scheme presenting mixing geometry and the initial conditions used in the
 103 following analysis are shown in **Figure 1**.

104

105 **Fig 1 here**

106

107 At $t = 0$ the mixing volume of length L is divided into two volumes: the cloud volume of
 108 length μL (Fig.1, left) and the dry volume of length $(1 - \mu)L$ (Fig.1, right), where $0 \leq \mu \leq 1$
 109 is the cloud volume fraction. The entire volume is assumed closed, i.e. adiabatic. At $t = 0$ the
 110 cloud volume is assumed saturated, so the supersaturation $S_1 = 0$. This volume is also
 111 characterized by the initial distribution of the square of the droplet radii $g_1(\sigma)$, where $\sigma = r^2$.

112 The initial liquid water mixing ratio in the cloudy volume is equal to

$$113 \quad q_{w1} = \frac{4\pi\rho_w}{3\rho_a} \int_0^\infty \sigma^{3/2} g_1(\sigma) d\sigma. \text{ The integral of } g_1(\sigma) \text{ over } \sigma \text{ is equal to the initial droplet}$$

$$114 \quad \text{concentration in the cloud volume } N_1 = \int_0^\infty g_1(\sigma) d\sigma. \text{ The initial droplet concentration in the}$$

115 dry volume is $N_2 = 0$, the initial negative supersaturation in this volume is $S_2 < 0$ and the



116 initial liquid water mixing ratio $q_{w2} = 0$. Therefore, the initial profiles of these quantities
 117 along the x -axis are step functions:

118

$$119 \quad N(x, 0) = \begin{cases} N_1 & \text{if } 0 \leq x < \mu L \\ 0 & \text{if } \mu L \leq x < L \end{cases} \quad (2a)$$

$$120 \quad S(x, 0) = \begin{cases} 0 & \text{if } 0 \leq x < \mu L \\ S_2 & \text{if } \mu L \leq x < L \end{cases} \quad (2b)$$

$$121 \quad q_w(x, 0) = \begin{cases} q_{w1} & \text{if } 0 \leq x < \mu L \\ 0 & \text{if } \mu L \leq x < L \end{cases} \quad (2c)$$

122

123 The initial profile of droplet concentration is shown in Fig. 1b. This is the simplest
 124 inhomogeneous mixing scheme, wherein mixing takes place only in the x -direction, and the
 125 vertical velocity is neglected.

126 Since the total volume is adiabatic, the fluxes of different quantities through the left and
 127 right boundaries at any time instance are equal to zero, i.e.

128

$$129 \quad \frac{\partial N(0, t)}{\partial x} = \frac{\partial N(L, t)}{\partial x} = 0; \quad \frac{\partial q_w(0, t)}{\partial x} = \frac{\partial q_w(L, t)}{\partial x} = 0; \quad \frac{\partial q_v(0, t)}{\partial x} = \frac{\partial q_v(L, t)}{\partial x} = 0 \quad (3)$$

130 where q_v is the water vapor mixing ratio.

131 To investigate of mixing process for different initial DSD, we assume that DSD in the cloud
 132 volume can be represented by a Gamma distribution:

$$133 \quad f(r, t = 0) = \frac{N_0}{\Gamma(\alpha)\beta} \left(\frac{r}{\beta}\right)^{\alpha-1} \exp\left(-\frac{r}{\beta}\right) \quad (4)$$

134 where N_0 is an intercept parameter, α is a shape parameter and β is a slope parameter of
 135 distribution. The DSD $f(r)$ relates to distribution $g_1(\sigma)$ as $f(r) = 2rg_1(\sigma)$. We performed
 136 simulations with both initially wide and narrow DSDs. The width of DSD is determined by a
 137 set of parameters. The parameters of the initial Gamma distributions used in this study are



presented in **Table 1**. Parameters of the distributions are chosen in such a way that the modal radii of DSD and the values of LWC are the same for both distributions. These distributions were used in Pt2 for analysis of homogeneous mixing.

141

142 **Table 1 here**

143

144

145 *Conservative quantity* $\Gamma(x, t)$

146 The supersaturation equation for an adiabatic immovable volume can be written in the

147 form $\frac{1}{S+1} \frac{dS}{dt} = -A_2 \frac{dq_w}{dt}$, where S is supersaturation over water, and the coefficient

148 $A_2 = \frac{1}{q_v} + \frac{L_w^2}{c_p R_v T^2}$ is slightly dependent on temperature (Korolev and Mazin, 2003) (notations

149 of other variables are presented in **Appendix**). In our analysis we consider A_2 to be a

150 constant. As follows from the supersaturation equation, the quantity

151

$$152 \quad \Gamma(x, t) = \ln[S(x, t) + 1] + A_2 q_w(x, t) \quad (5)$$

153

154 is a conservative quantity, i.e. invariant with respect to phase transitions. In Eq. (5), $|S(x, t)|$

155 can be comparable with unity by the order of magnitude. The conservative quantity $\Gamma(x, t)$

156 obeys the following equation for turbulent diffusion

157

$$158 \quad \frac{\partial \Gamma(x, t)}{\partial t} = K \frac{\partial^2 \Gamma(x, t)}{\partial x^2} \quad (6)$$

159



160 with the adiabatic (no flux) condition at the left and right boundaries $\frac{\partial \Gamma(0,t)}{\partial x} = \frac{\partial \Gamma(L,t)}{\partial x} = 0$

161 and the initial profile at $t = 0$

162

$$163 \quad \Gamma(x,0) = \begin{cases} A_2 q_{wl} & \text{if } 0 \leq x < \mu L \\ \ln[S_2 + 1] & \text{if } \mu L \leq x < L \end{cases} \quad (7)$$

164

165 From Eq. (7) it follows that $\Gamma(x,0)$ is positive in the cloud volume and negative in the

166 initially dry volume. The mean value of function $\Gamma(x,0)$ can be written as follows:

167

$$168 \quad \bar{\Gamma} = \frac{1}{L} \int_0^L \Gamma(x,0) dx = \frac{A_2 q_{wl}}{L} \int_0^{\mu L} dx + \frac{\ln[S_2 + 1]}{L} \int_{\mu L}^L dx = \mu A_2 q_{wl} + (1 - \mu) \ln[S_2 + 1] \quad (8)$$

169

170 $\bar{\Gamma}$ can be either positive or negative. In the latter case a complete evaporation of droplets in the

171 course of mixing takes place.

172 The solution of Eq. (6) with the initial condition (7) is (Polyanin et al., 2004):

$$173 \quad \Gamma(x,t) = \sum_{n=0}^{\infty} a_n \exp\left(-\frac{Kn^2 \pi^2 t}{L^2}\right) \cos\left(\frac{n\pi x}{L}\right) =$$

$$\mu A_2 q_{wl} + (1 - \mu) \ln[S_2 + 1] -$$

$$2(\ln[S_2 + 1] - A_2 q_{wl}) \sum_{n=1}^{\infty} \frac{\sin(n\pi\mu)}{n\pi} \exp\left(-\frac{Kn^2 \pi^2 t}{L^2}\right) \cos\left(\frac{n\pi x}{L}\right) \quad (9)$$

174 One can see that function $\Gamma(x,t)$ depends on three independent parameters $A_2 q_{wl}$, S_2 and μ .

175 This function does not depend on the shape of the initial DSD in the cloud volume. In the final

176 state when $t \rightarrow \infty$, $\Gamma(x,t)$ is :

$$177 \quad \Gamma(t = \infty) = \mu A_2 q_{wl} + (1 - \mu) \ln[S_2 + 1] \quad (10)$$

178 Therefore, $\Gamma(t = \infty)$ depends on the cloud fraction and the initial values of liquid water

179 mixing ratio in the cloud volume and the relative humidity in initially dry volume.



180 The final equilibrium values of supersaturation $S(x, \infty)$ and liquid water mixing ratio
 181 $q_w(x, \infty)$ can be calculated using Eq. (5). The case $\Gamma(t = \infty) > 0$ corresponds to the
 182 equilibrium state with $S(x, \infty) = 0$ and $q_w(x, \infty) = \mu q_{w1} + (1 - \mu) \frac{\ln[S_2 + 1]}{A_2}$. The case
 183 $\Gamma(t = \infty) < 0$ corresponds to the equilibrium state with $q_w(x, \infty) = 0$ and
 184 $S(x, \infty) = (1 + S_2)^{1-\mu} \exp(\mu A_2 q_{w1}) - 1$. At given q_{w1} and S_2 , there is a critical value of the
 185 cloud fraction μ_{cr} which separates these two possible final equilibrium states. This critical
 186 value corresponds to $\Gamma(t = \infty) = 0$ and can be calculated from Eq. (10) as:

$$188 \quad \mu_{cr} = \frac{\ln[S_2 + 1]}{\ln[S_2 + 1] - A_2 q_{w1}} \quad (11)$$

189
 190 Another expression for μ_{cr} was formulated in Pt1.

191 The examples of spatial-temporal variations of function $\Gamma(x, t)$ for different cloud
 192 fractions and initial RH=80% are shown in **Figure 2**.

193
 194 **Fig 2 here**

195
 196 Upper panels $\mu = 0.1$ correspond to the case of final total droplet evaporation and negative
 197 final function Γ , whereas the middle and bottom rows $\mu = 0.5$ and $\mu = 0.9$ illustrate partial
 198 evaporation cases when the total mixing volume reaches saturation. It is interesting that the
 199 time required for the final equilibrium state to be reached practically does not depend on the
 200 cloud fraction, being ~180 seconds for the illustrated cases. The cases $\mu = 0.1$ and $\mu = 0.9$
 201 demonstrate a strong non-symmetric spatial variability of $\Gamma(x)$ function during the first 50
 202 seconds. At $\mu = 0.5$, a nearly full compensation between saturation deficit in the dry



203 volume and available liquid water in the cloud volume takes place if at the equilibrium state
 204 $S(x, \infty) = q_w(x, \infty) = \Gamma(x, \infty) = 0$. However, the compensation at $\mu = 0.5$ is not full because of
 205 the nonlinearity of Γ in Eq. (5).

206

207 *Diffusion-evaporation equation for DSD*

208 To formulate the diffusion-evaporation equation we use a simplified equation for droplet
 209 evaporation (Pruppacher and Klett, 1997), in which the curvature term and the chemical
 210 composition term are omitted

$$211 \quad \frac{d\sigma}{dt} = \frac{2S}{F} \quad (12)$$

212 where $F = \frac{\rho_w L_w^2}{k_a R_v T^2} + \frac{\rho_w R_v T}{e_w(T) D} = \text{const}$ (Notations of other variables are presented in Appendix.)

213 The solution of Eq. (12) is

$$214 \quad \sigma(t) = \frac{2}{F} \int_0^t S(t') dt' + \sigma_0 \quad (13)$$

215 Eq. (13) means that in the course of evaporation, distribution $g(\sigma)$ shifts to the left without
 216 changing its shape. The diffusion-evaporation equation for function $g(x, t, \sigma)$ can be written
 217 in the form

218

$$219 \quad \frac{\partial g}{\partial t} = K \frac{\partial^2 g}{\partial x^2} + \frac{\partial}{\partial \sigma} \left(\frac{d\sigma}{dt} g \right) \quad (14)$$

220 Combining Eqs. (12) and (14) yields

$$221 \quad \frac{\partial g(x, t, \sigma)}{\partial t} = K \frac{\partial^2 g(x, t, \sigma)}{\partial x^2} + \frac{2S}{F} \frac{\partial g(x, t, \sigma)}{\partial \sigma} \quad (15)$$

222

223 Eq. (15) is similar to the diffusion-evaporation equation for size distribution function used in
 224 Pt 3. The first term on the right hand side of Eq. (15) describes the effect of turbulent



diffusion, while the second term describes the changes of size distribution due to droplet evaporation. To close this equation, one can use Eq. (5) written as

227

$$S(x, t) = \exp[\Gamma(x, t) - A_2 q_w(x, t)] - 1, \quad (16)$$

229

and the equation for liquid water mixing ratio

231

$$q_w(x, t) = \frac{4\pi\rho_w}{3\rho_a} \int_0^\infty \sigma^{3/2} g(x, t, \sigma) d\sigma \quad (17)$$

The equation system (15-17) for distribution $g(x, t, \sigma)$ should be solved under the following

initial condition

$$g(x, 0, \sigma) = \begin{cases} g_1(\sigma) & \text{if } 0 \leq x < \mu L \\ 0 & \text{if } \mu L \leq x < L \end{cases} \quad (18)$$

and using the Neumann boundary conditions

237

$$\frac{\partial g(0, t, \sigma)}{\partial x} = \frac{\partial g(L, t, \sigma)}{\partial x} = 0 \quad (19)$$

239

These equations were solved numerically on a linear grid of droplet radii r_j being within

the range 0-50 μm , where $j = 1 \dots 50$ are the bin numbers. The number of grid points along the

x -axis was set equal to 81. In numerical calculations, the “evaporation term” in Eq. (15) was

approximated as

$$\frac{2S}{F} \frac{\partial g(x, t, \sigma)}{\partial \sigma} \approx \frac{g\left(x, t, \sigma + \frac{2S}{F} \Delta t\right) - g(x, t, \sigma)}{\Delta t}. \quad (20)$$

245



246 A shift and subsequent remapping of DSD using the method proposed by Kovetz and Olund's
 247 (1969) were implemented to solve Eq. (20) with the help of MATLAB solver PDEPE. After
 248 calculation of $g(x, t, \sigma_j)$ function, DSD $f(x, t, r_j)$ was calculated using the relationship
 249 $f(x, t, r_j) = 2r_j g(x, t, \sigma_j)$.

250

251 3. Spatial-temporal variations of DSD and of DSD parameters

252 Mixing may take a significant time. Cloud microphysical parameters measured in *in-situ*
 253 observations correspond to different stages of this transient mixing process. During mixing,
 254 DSDs and its parameters change substantially, which makes it reasonable to analyze these
 255 time changes.

256 **Figure 3** shows time evolution of initially narrow DSD in the centers of the cloudy volume
 257 and of the initially dry volume. The values of DSD in the initially cloudy volume decrease
 258 while there are no significant changes in the DSD shape. At $\mu = 0.7$, the droplet radius
 259 corresponding to the DSD maximum remains unchanged during mixing staying equal to 10
 260 μm . At $\mu = 0.3$ the effect of droplet diffusion on DSD is stronger, and mixing leads not
 261 only to a decrease in the DSD values, but also to a decrease in the peak droplet radius in the
 262 cloudy volume. Both at $\mu = 0.3$ and $\mu = 0.7$, mixing leads to broadening of the initial DSD
 263 due to the appearance of the tail of small droplets.

264 In the center of the initially dry volume, the rate of the DSD growth depends on the value
 265 of the cloud fraction. At a low cloud fraction, DSD maximum remains substantially lower for
 266 the most period of mixing than that in the cloudy volume. At the same time, the radius
 267 corresponding to the DSD maximum increases reaching 80% of its maximum value already
 268 within the first 5 s. This is due to the fast increase in the relative humidity during mixing, so
 269 large droplets penetrating the initially dry volume do not decrease in size anyhow significantly
 270 determining the values of modal, mean volume and effective radii.

271



272 **Figure 3 here**

273

274 At the initially wide DSD (**Figure 4**), the radii of the DSD maximum do not change. It
275 means that at the initial RH= 80%, mixing and evaporation leads to a fast saturation of the
276 initially dry volume, after which the peak radius remains unchanged.

277

278

279 **Figure 4 here**

280

281

282 It is interesting that at $\mu=0.3$ in the initially dry volume, DSD reaches its maximum during
283 the transition period (Fig.4, at $t=80s$), and then decreases toward the equilibrium state. This
284 behavior is caused by the competition between the diffusion and droplet evaporation.

285 **Figure 5** shows spatial dependences of droplet concentration, LWC and the effective
286 radius within the mixing volume at different time instances at narrow initial DSD. At small
287 values of the cloud fraction, diffusion of water vapor and droplets, as well as droplet
288 evaporation lead to a fast decrease in droplet concentration and in LWC in the initially cloud
289 volume. The effective radius in this volume decreases by about 12% in the course of mixing.
290 It is natural that at large cloud fraction, droplet concentration and LWC in the initially cloudy
291 volume decrease slowly, while these quantities in the initially dry volume increase rapidly At
292 both small and large cloud fractions, the effective radius in the initially dry volume grows
293 rapidly during the mixing toward its values in the initially cloudy volumes, even if droplet
294 concentration and LWC remain much lower than in the cloud volume.

295

296 **Figure 5 here**

297



298 **Figure 6** shows the spatial dependences of droplet concentration, LWC and the effective
 299 radius within the mixing volume at different time instances at wide initial DSD.

300

301

302 **Figure 6 here**

303

304

305 A specific feature of mixing at a wide DSD is the increase in the effective radius, so the ratio

306 $\frac{r_e}{r_{e0}} > 1$. In the course of mixing, the effective radius maximum is reached in the initially dry

307 volumes. This result can be attributed to the fact that in this volume smaller droplets fully

308 evaporate, so the concentration of large droplets increases with respect to concentration of

309 smaller droplets (Fig. 4, right column). Scattering diagrams plotted using *in-situ* observations

310 often contain points or groups of points with $\frac{r_e}{r_{e0}} > 1$ (e.g. , Burnet and Brenguier, 2007;

311 Krueger et al., 2006, Gerber et al., 2008). In these observations, the effective radius was

312 measured within the cloud volume with maximum liquid water content (i.e. less diluted). The

313 result obtained in the present study shows that the behavior of $\frac{r_e}{r_{e0}}$ with time in the course of

314 mixing may depend of the DSD shape in the initially cloud volume.

315 We see that the transition to the final equilibrium state within the volume with the spatial

316 scale of 40 m is about 5 min (Fig. 8), which is a comparatively long period of time compared

317 to the characteristic times of other microphysical processes, including droplet evaporation.

318 During this time the DSD changes substantially, especially at a small cloud fraction. The

319 effective radius in the initially dry volume increases much faster than LWC, reaching the

320 values typical of cloudy air at the time instance when LWC is still substantially lower than in

321 the cloudy volume. Despite some DSD broadening, the final DSD in the mixing volume



resemble those in the initially cloud volume. The main effect of mixing is lowering the DSD values as the cloud fraction decreases.

4. Equilibrium state and mixing diagram

Mixing considered in the present study always leads to the equilibrium state. As was explained above, two equilibrium states are possible. The first one is characterized by the total evaporation of cloud droplets $q_w(x, \infty) = 0$, whereas the second one occurs at saturation of the total volume, i.e. $S(x, \infty) = 0$. At the given initial values of q_{wl} in the cloud volume and of S_2 in the initially dry volume, there always exists the cloud fraction μ_{cr} (Eq. 11) separating these two regimes.

4.1. The process of achieving the equilibrium state

Figure 7 shows the dependences of the time required to reach the equilibrium on the cloud fraction, at different initial relative humidity values in the dry volume and two initial DSDs (the parameters are presented in Tab.1). The characteristic time is defined here as the time from the beginning of mixing to the time instance when inequality $\delta = \frac{\bar{N}(t) - \bar{N}(\infty)}{\bar{N}(0) - \bar{N}(\infty)} < 0.01$

becomes valid. The mean droplet concentration is calculated by averaging along x -axes

$(\bar{N}(t) = \frac{1}{L} \int_0^L N(x, t) dx)$. In case of a total evaporation, $\bar{N}(\infty) = 0$.

Figure 7 here

Each curve in Fig. 7 consists of two branches. The left branches correspond to the total evaporation regime, while the right branches correspond to the partial evaporation at equilibrium. The maximum time corresponds to the situation when the available amount of



liquid water is approximately equal to the saturation deficit. A similar result was obtained in Pt1 and Pt2 for homogeneous mixing. The maximum values of the characteristic time are about 4 min for a mixing volume of 40 m in length. The right branches show that the characteristic time decreases with increasing cloud fraction. Despite some differences in the curve slopes, the characteristic times for wide and narrow DSD are quite similar.

Figure 8 shows dependences of normalized cube of the effective radius on the cloud fraction at different time instances for two values of x : $x = 0$ (solid lines) corresponds to the initially cloudy volume, and $x = L$ (dashed line) corresponds to the initially dry volume. The figure is plotted for the narrow DSD for two values of RH_2 : 60% and 95%.

355

Figure 8 here

357

The curve plotted for the time instance of 300 s corresponds to the equilibrium state (hereafter the equilibrium curve). The curves above the equilibrium curve correspond to the initially cloudy volume, and the curves below the equilibrium curve correspond to the initially dry volume. One can see how curves of both types approach the same final state. During the mixing the curves

move over the $\left(\frac{r_e}{r_{e0}}\right)^3 - \mu$ plane toward the equilibrium curve. As a result, the curves plotted in

Fig.8, corresponding to different time instances of the mixing, together cover the entire area of the panels.

. During this movement the distance from the curves to the horizontal line $\left(\frac{r_e}{r_{e0}}\right)^3 = 1$

changes, and the curves slopes increase. In our case of $L = 40$ m, the mixing remains inhomogeneous the during entire mixing process, so the change in the distance from the



curves to the horizontal line $\left(\frac{r_e}{r_{e0}}\right)^3 = 1$ characterizes the temporal changes over the mixing process, but not a change in mixing type.

It is noteworthy in this relation that scattering diagrams plotted using *in-situ* observations reflect mixing between different multiple volumes at different stages of the mixing process. Accordingly, points in the scattering diagrams can be far from the equilibrium location. Fig. 8 indicates, therefore, that scattering diagrams show snapshots of transient mixing process when the distance from points in the diagrams to line $\left(\frac{r_e}{r_{e0}}\right)^3 = 1$ characterize the stage of the mixing process, but not the mixing type.

The dependences of normalized cube of the effective radius on the cloud fraction at different time instances at wide DSD also indicate approaching to the equilibrium curve, while all the curves correspond to $\left(\frac{r_e}{r_{e0}}\right)^3 > 1$ (not shown).

4.2. Mixing diagrams

Using the diffusion-evaporation equations (15-17) we calculated the equilibrium DSD for different initial relative humidity values and different cloud fractions. Each calculation was performed for both narrow and wide initial DSD (parameters shown in Tab.1). These equilibrium DSD were used to calculate mixing diagrams showing dependences of normalized cube of the effective radius on the cloud fraction.

The corresponding mixing diagrams for homogeneous mixing case were also calculated for comparison. To this effect, the supersaturation and DSD in both the cloud and the dry volumes were aligned, taking into account the cloud fraction value μ . The alignment led to the following initial values of supersaturation and DSD within the mixing volume:

$$S_0 = (1 - \mu)S_2; \quad g_0(\sigma) = \mu g_1(\sigma) \quad (21)$$



391

392 Upon the alignment, time evolution values of DSD under homogeneous evaporation in an
 393 adiabatic immovable parcel were calculated until the equilibrium state was reached. These
 394 equilibrium DSD were used to calculate mixing diagrams for homogeneous mixing. To do
 395 this, we used the parcel model proposed by Korolev (1995) that describes evaporation by
 396 means of equations with temperature-dependent parameters. **Figure 9** shows the mixing
 397 diagrams plotted for initial narrow and wide DSD cases.

398

399 **Figure 9 here**

400 While all the curves in the mixing diagram for narrow DSD are below the straight line

401 $\left(\frac{r_e}{r_{e0}}\right)^3 = 1$, the curves for wide DSD are above this line. The explanation of this effect is given

402 in Section 3 (Fig. 6). The curves plotted for homogeneous and inhomogeneous mixing

403 demonstrate an important feature. Namely, at given values of RH and q_{w1} in the initially dry

404 volume, the values μ_{cr} of the cloud fraction at which all the droplets evaporate are

405 approximately the same for any type of mixing. This condition is the consequence of the mass

406 conservation law determined by Eq. (11) and does not depend of the initial DSD shape. In

407 standard mixing diagrams (e.g. Lehmann et al., 2009; Gerber et al., 2008; Freud et al., 2011),

408 the horizontal straight line $\left(\frac{r_e}{r_{e0}}\right)^3 = 1$ is typically plotted for the entire range of the cloud

409 fraction [0...1], while the curves corresponding to homogeneous mixing are plotted for

410 different RH within the range $[\mu_{cr}(RH_2)...1]$. As a result, the high difference between

411 extremely inhomogeneous and homogeneous mixing types is clearly seen at low RH and at

412 small cloud fractions. The condition that μ_{cr} is the same for different mixing types indicates

413 that the mixing diagrams may look nearly similar for $\mu > \mu_{cr}$. It means that the range of the



cloud fractions required for comparison of diagrams aimed at determination of a mixing type shortens as RH_2 values in the surrounding air decrease.

The comparison of the left and the right panels in Fig. 9 shows that the differences between the diagrams for homogeneous and inhomogeneous mixing types are more pronounced for initially narrow DSD. The maximum difference should take place for monodisperse DSD considered in Pt1, Pt2 and Pt3. Within the range of $\mu > \mu_{cr}$, the distance between the curves corresponding to different mixing regimes is small even for narrow DSD and low RH_2 . The lower difference is related to the fact that at high RH_2 the curves in the mixing diagrams are close to the horizontal straight line in both regimes, while at low RH_2 , μ_{cr} is small and both curves should drop to zero in the vicinity of $\mu = \mu_{cr}$.

As regards the wide DSD case, the difference between the curves corresponding to different mixing type is negligible (Fig. 9, right)

4.3. Effect of the relative humidity on mixing diagram pattern

In measurements carried out at cloud boundaries and in cloud simulations, the cloud fraction is not known, therefore it is widely accepted to use normalized droplet concentration instead of the cloud fraction (Burnet and Brenguier, 2007; Gerber et al., 2008; Lehmann et al., 2009). Droplet concentration is normalized by the maximum value along the airplane traverse. The difference between the cloud fraction and normalized droplet concentration is obvious: the cloud fraction is a parameter given as the initial condition. At the same time, normalized droplet concentration changes with time and space due to complete evaporation of some droplet fraction. **Figure 10** shows dependencies of normalized droplet concentration on the cloud fraction at the equilibrium final state of mixing. One can see a substantial deviation from 1:1 linear dependence, especially at low RH. As we know, droplet concentration decreases in the course of both homogeneous and inhomogeneous mixing if the initial DSD are polydisperse. The fraction of totally evaporating droplets increases with decreasing RH_2 .



As expected, droplet concentration in homogeneous mixing is higher than that in inhomogeneous mixing. The difference between droplet concentrations at wide DSD is lower than at narrow DSD.

Fig. 10 here

Figure 11 shows the dependencies $\left(\frac{r_e}{r_{e0}}\right)^3$ on normalized droplet concentration for narrow and wide DSD in inhomogeneous mixing. The normalization by droplet concentration in the initially cloud volume at $t=0$ was used. Taking into account the dependences of normalized droplet concentration on the cloud fraction μ (Fig. 10), one can get the curves shown in Fig. 11 which actually coincide at different RH_2 . The lack of the sensitivity to RH_2 can be attributed to the fact that a decrease in RH leads to a decrease in normalized droplet concentration, so the curves corresponding to low RH in Fig. 9 shift to the left when the normalized droplet concentration is used instead of μ . The shape of the dependences in Fig. 11 (right) is explained by an increase in the effective radius with decreasing droplet concentration.

Fig 11 here

Thus, the mixing diagrams plotted in the plane $\left(\frac{r_e}{r_{e0}}\right)^3$ vs normalized droplet concentration do not depend on the relative humidity of the surrounding dry air. This result indicates an additional difficulty in distinguishing between mixing types based on scattering diagrams plotted using *in-situ* data in these axes. The concentration of observed points in these scattering diagrams close to the line $\left(\frac{r_e}{r_{e0}}\right)^3 = 1$ is often interpreted as an indication of



homogeneous mixing, but at high RH in the surrounding air (Gerber et al., 2008; Lehmann et al., 2009). High values of RH in the penetrating air volumes are usually explained by formation of a layer of moist air around the cloud boundary (Gerber et al., 2008, Knight and Miller, 1998).

The reference values of droplet concentration and the effective radius used for normalization in the present study are taken as the initial values in the cloud volume before it mixes with the neighbouring dry volume. In real *in-situ* measurements the reference values of these quantities are typically chosen in a less diluted cloud volume along the airplane traverse.

This reference volume may be quite remote from the particular mixing volume. It can lead to

a shift of the mixing diagram with respect to the $\left(\frac{r_e}{r_{e0}}\right)^3 = 1$ line, as well as to a large variation

in mixing diagram shapes, unrelated, however, to the mixing type (e.g., Lehmann et al., 2009).

5. Discussion and conclusion

This study extends the analysis of mixing performed in Pt3 where the diffusion-evaporation equation served as the basis, the initial DSD were assumed monodisperse and the cloud fraction was chosen as $\mu = 1/2$. In the present study, the analysis focuses on the temporal and spatial evolution of initially polydisperse DSD and investigates mixing diagrams obtained for narrow and wide initial DSD within a wide range of the cloud fraction values (0.1 - 0.95). It is shown that results of mixing and the structure of mixing diagrams depend on the initial DSD shape. This finding indicates that mixing is a multi-parametrical problem that cannot be determined by a single parameter (e.g. the Damköhler number as often assumed) or even by two parameters (the Damköhler number and the potential evaporation parameters as assumed in Pt3). The temporal changes of DSD and their moments during mixing are calculated. Although DSD broaden, they tend to remain similar to the original DSD. The main



changes come from the cloud air dilution by the dry air, which leads to a decrease in droplet concentration for all droplet sizes. The changes of DSD and its shape are minimum in the initially cloud volumes, especially at significant cloud fractions. The droplet radii corresponding to the DSD peak do not change anyhow significantly. In the initially dry volumes, mixing leads to a rapid increase in RH. Consequently, large droplets penetrating these volumes do not change their sizes significantly. As a result, the effective radius in these volumes rapidly increases and reaches the values typical of cloud volumes, while LWC remains lower than in the cloud volume for most of the mixing time. At narrow DSD, the effective radius remains smaller than that in the initially cloud volume. At wide DSD, the effective radius may become larger than that in the initial DSD. This increase in the effective radius is attributed to the fact that evaporation of smaller droplets leads to the increase in the fraction of larger droplets in the DSD.

Dependences of normalized cube of the effective radius on the cloud fraction $(r_e / r_{e0})^3$ as a function of μ at different time instances form the set of curves filling the entire $(r_e / r_{e0})^3 - \mu$ plane. Therefore, both the slope and the distance of these curves in respect to the horizontal line $(r_e / r_{e0})^3 = 1$ change with time. It means that this distance characterizes the temporal changes in the course of mixing, but not the mixing type (which remains inhomogeneous during the entire mixing time). The mixing process is comparatively long (several minutes), so the final equilibrium stage is hardly achievable in real clouds.

It is highly significant that the critical values of the cloud fraction μ_{cr} corresponding to total droplet evaporation are the same for any mixing type. It means that the curves in a mixing diagram corresponding to homogeneous and inhomogeneous mixing types should be compared only within the range of $\mu > \mu_{cr}$. The range width of $\mu > \mu_{cr}$ decreases with decreasing relative humidity in the initially dry volume. Taking into account significant



512 scattering of observed points, this condition greatly hampers the problem of how to
513 distinguish between mixing types,

514 Another important result of the study is that mixing diagrams for homogeneous and
515 inhomogeneous mixing plotted for *polydisperse* DSD do not differ much. The largest
516 difference takes place for initially narrow DSD (the maximum difference should take place for
517 initial monodisperse DSD), but even in this case the difference is not large enough to reliably
518 distinguish mixing type due to the significant scatter of observed data. At wide DSD, this
519 difference becomes negligibly small.

520 The cloud fraction μ is a predefined parameter and is not determined from observations.
521 Consequently, in the analysis of *in-situ* measurements the normalized droplet concentration is
522 typically used instead of the cloud fraction. However, there is a significant difference between
523 the cloud fraction prescribed a priori and the normalized droplet concentration that changes
524 due to total evaporation of some fraction of droplets. We have shown that utilization of
525 normalized droplet concentration in mixing diagrams is not equivalent to utilization of the
526 cloud fraction. The important conclusion is that when mixing diagrams are plotted using the
527 normalized concentration, the dependence on the RH disappears. This conclusion is valid even
528 when the RH in the initially dry volume is as low as 60%. This conclusion clearly contradicts
529 the wide-spread assumption that mixing types can be easily distinguished in mixing diagrams
530 in case of low relative humidity of the surrounding air.

531 To sum up, our general conclusion is that the simplifications underlying the classical
532 concept of mixing are too crude, making it impossible to use mixing diagrams for
533 comprehensive analysis of mixing and especially for determination of the mixing type. At the
534 same time, mixing diagrams may contain useful information on DSD width.

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 539 US-Israel Science Foundation (grant 2010446).

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542 **Appendix. List of symbols**

symbol	Description	Units
A_2	$\frac{1}{q_v} + \frac{L_w^2}{c_p R_v T^2}$, coefficient	-
a_n	Fourier series coefficients	-
C	Richardson's law constant	-
c_p	specific heat capacity of moist air at constant pressure	$\text{J kg}^{-1}\text{K}^{-1}$
D	coefficient of water vapor diffusion in air	$\text{m}^2 \text{s}^{-1}$
Da	<i>Damköhler</i> number	-
e	water vapor pressure	N m^{-2}
e_w	saturation vapor pressure above flat surface of water	N m^{-2}
F	$\left(\frac{\rho_w L_w^2}{k_a R_v T^2} + \frac{\rho_w R_v T}{e_w(T) D} \right)$, coefficient	$\text{m}^{-2} \text{s}$
$f(r)$	droplet size distribution	m^{-4}
$g(r)$	droplet size distribution	m^{-5}
$g_0(\sigma)$	initial distribution of square radius in homogeneous mixing	m^{-5}
$g_1(\sigma)$	initial distribution of square radius	m^{-5}
k_a	coefficient of air heat conductivity	$\text{J m}^{-1}\text{s}^{-1}\text{K}^{-1}$
K	turbulent diffusion coefficient	m^2s^{-1}
L	characteristic spatial scale of mixing	m



L_w	latent heat for liquid water	J kg^{-1}
N	droplet concentration	m^{-3}
N_0	Parameter of Gamma distribution	m^{-3}
\bar{N}	mean droplet concentration	m^{-3}
N_1	initial droplet concentration in cloud volume	m^{-3}
P	pressure of moist air	N m^{-2}
q_v	water vapor mixing ratio (mass of water vapor per 1 kg of dry air)	-
q_w	liquid water mixing ratio (mass of liquid water per 1 kg of dry air)	-
q_{w1}	liquid water mixing ratio in cloud volume	-
R	$\frac{S_2}{A_2 q_{w1}}$, non-dimensional parameter	-
R_a	specific gas constant of moist air	$\text{J kg}^{-1} \text{K}^{-1}$
R_v	specific gas constant of water vapor	$\text{J kg}^{-1} \text{K}^{-1}$
r	droplet radius	m
r_1	initial droplet radius	m
r_e	effective radius	m
r_{e0}	initial effective radius	m
S	$e/e_w - 1$, supersaturation over water	-
S_2	initial supersaturation in the dry volume	-
S_0	initial supersaturation in homogeneous mixing	-
T	temperature	K
t	time	s
x	distance	m
α	parameter of Gamma distribution	-



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β	parameter of Gamma distribution	m^{-1}
Δt	time step	s
μ	cloud fraction	-
μ_{cr}	critical cloud fraction	-
ε	turbulent dissipation rate	m^2s^{-3}
$\Gamma(x, t)$	conservative function	-
ρ_a	air density	kg m^{-3}
ρ_w	liquid water density	kg m^{-3}
σ	square of droplet radius	m^2

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616 **Tab.1** Parameters of the initial Gamma distributions

DSD	N_0 , cm ³	α	β , μm	Modal radius, μm	LWC, g/m ³
Narrow	264.2	101.0	0.1	10.0	1.178
Wide	71.0	4.3	3.1	10.0	1.178

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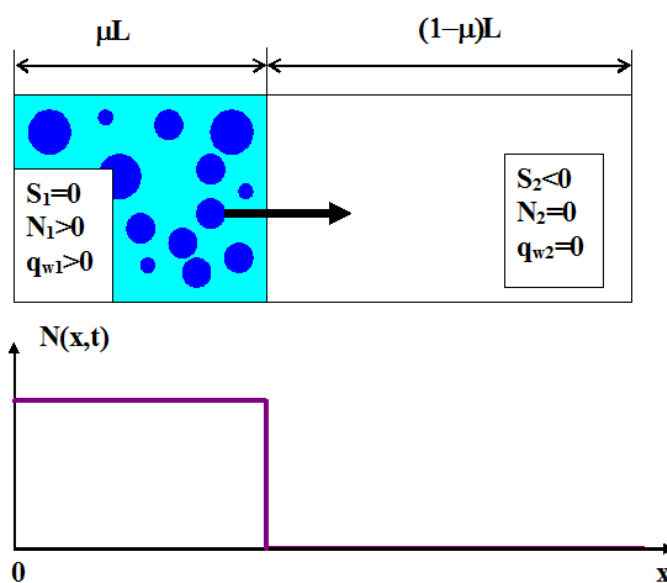


Fig.1. The initial state at $t=0$. The left volume is a saturated cloudy volume; the right volume is an under-saturated dry air volume.

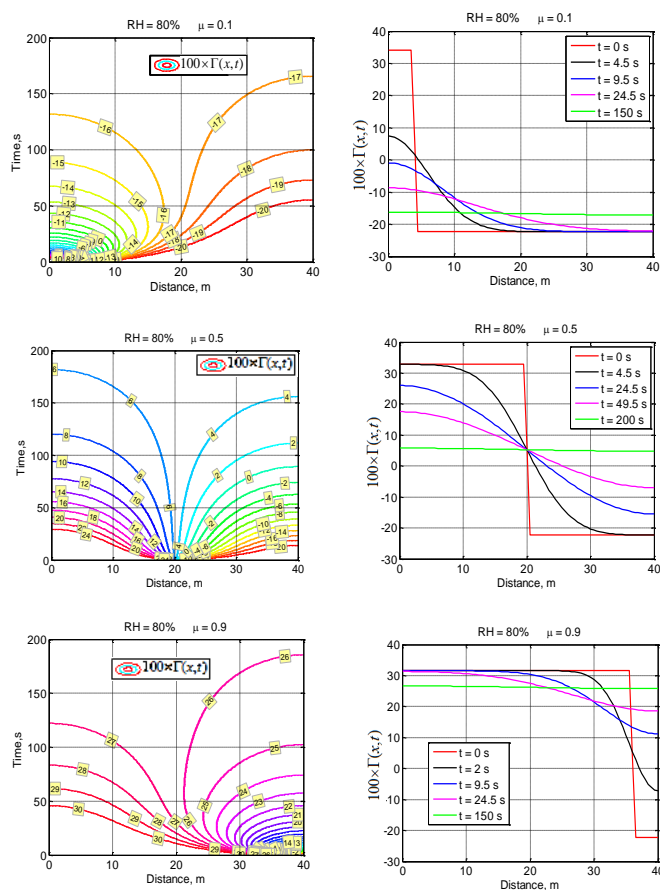


Fig. 2. Spatial-temporal variations of conservative function $100 \times \Gamma(x, t)$ for different cloud

fractions μ and initial $RH_2 = 80\%$.

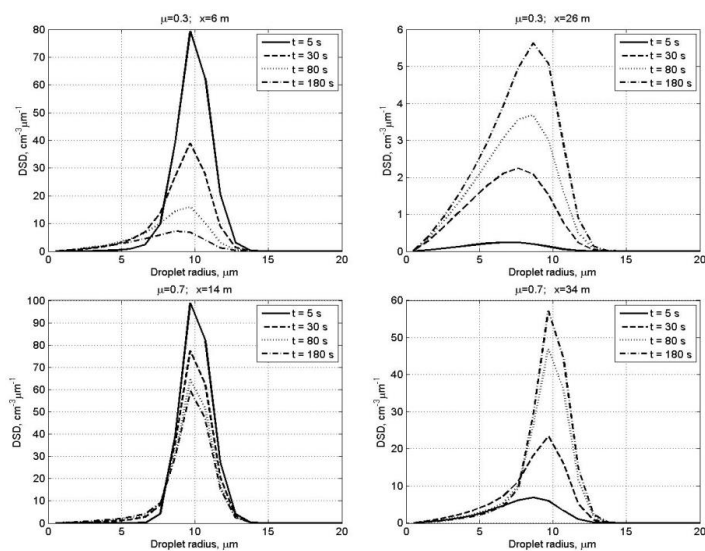


Fig. 3. Time evolution of DSD in the centers of the initially cloudy volume (left) and of the initially dry air volume (right) at initially narrow DSD. The initial mixing parameters are $RH_2 = 80\%$, $T = 10^\circ\text{C}$, $p = 8288\text{ mb}$ and $L = 40\text{ m}$.

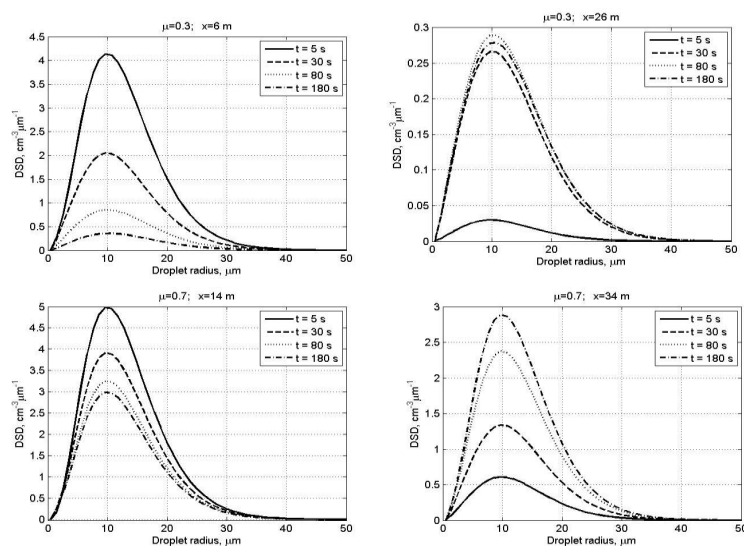


Fig. 4. The same as in Fig. 3, but for the initially wide DSD.

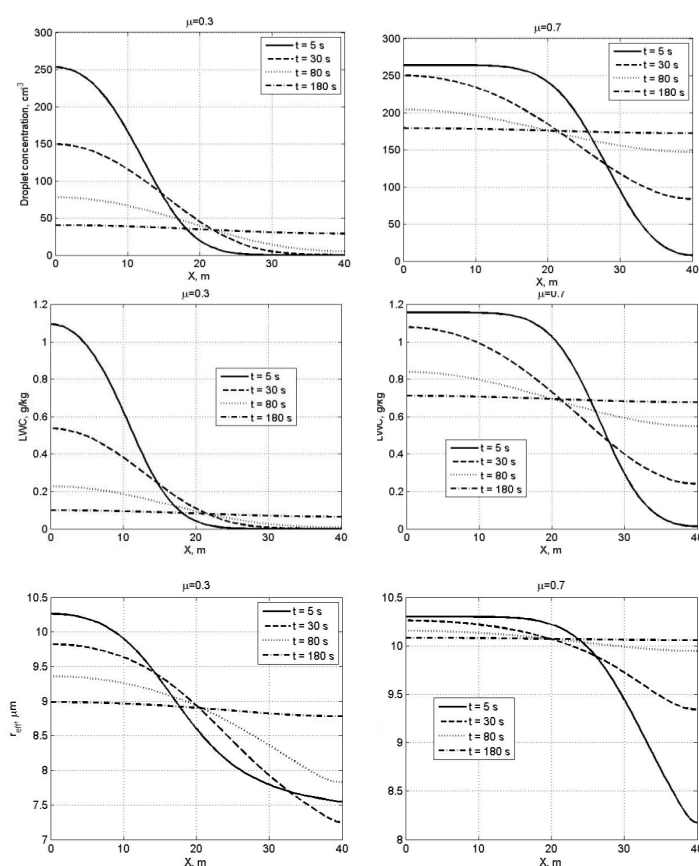


Fig. 5. Spatial dependences of droplet concentration, LWC and the effective radius within the mixing volume at different time instances at narrow initial DSD. The initial mixing parameters are $RH_2 = 80\%$, $T = 10^\circ\text{C}$, $p = 8288\text{ mb}$ and $L = 40\text{ m}$.

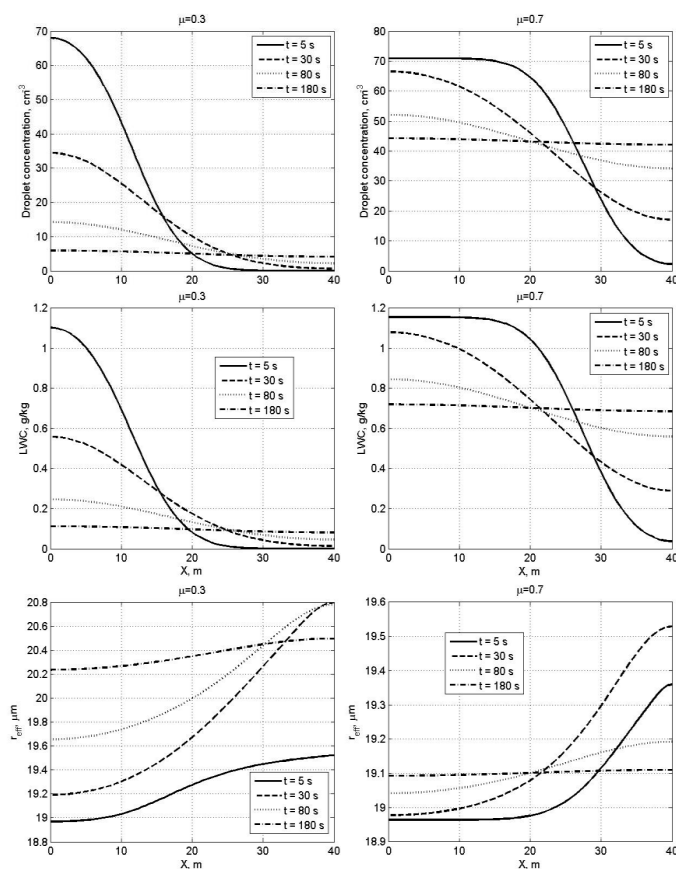


Fig. 6. The same as in Fig. 5, but for wide DSD

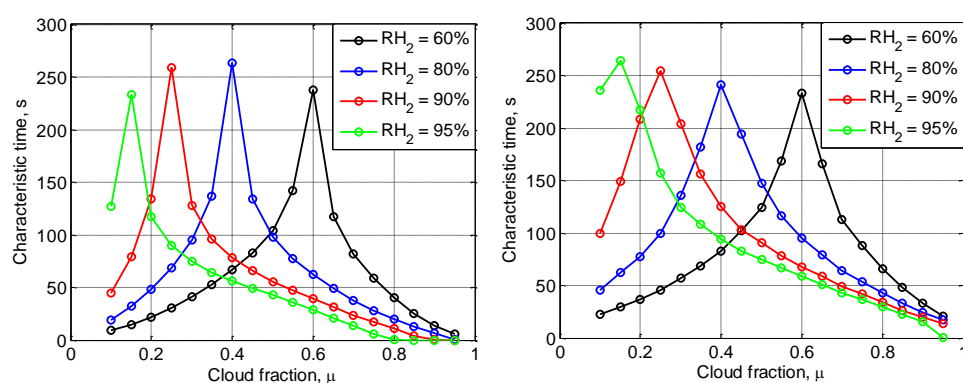


Fig. 7. Time required to reach the equilibrium state vs. the cloud fraction at different initial RH for the initially narrow DSD (left) and the initially wide DSD (right). Parameters of DSD are given in Tab. 1. The initial mixing parameters are $T = 10^\circ\text{C}$, $p = 8288$ mb and $L = 40$ m.

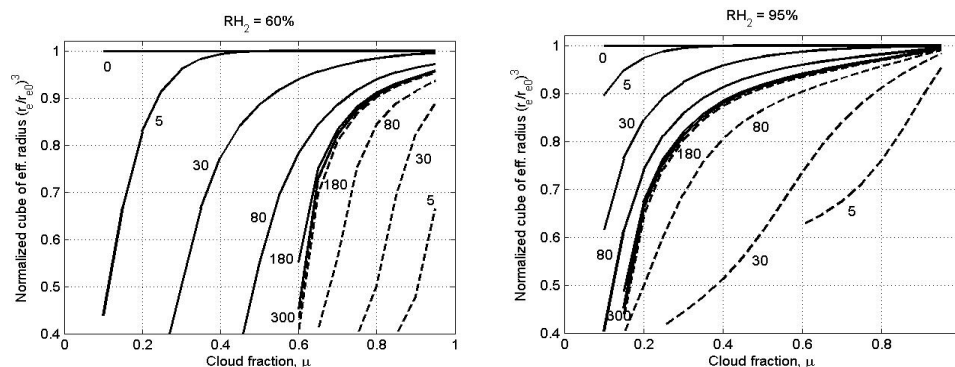


Fig. 8. Dependences of normalized cube of the effective radius on the cloud fraction at different time instances for $x=0$ (solid lines) corresponding to the initially cloud volume, and $x=L$ (dash line) corresponding to the initially dry volume. The time instances in seconds are marked by numbers. The figure is plotted for the narrow initial DSD for two values of RH_2 : 60% (left panel) and 95% (right panel). Parameters of DSD are given in Tab. 1. The initial mixing parameters are $T=10^\circ\text{C}$, $p=8288$ mb and $L=40$ m. Calculations performed within the range of $0.1 < \mu < 0.95$.



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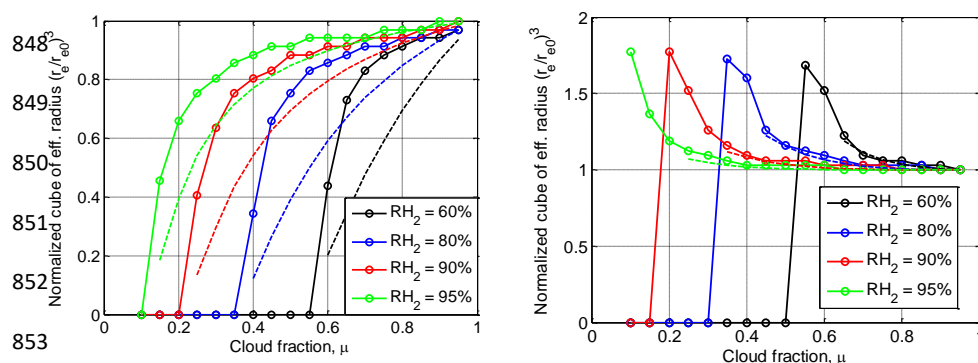
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855 **Fig. 9.** Mixing diagrams. Normalized cube of the effective radius vs. the cloud fraction for
 856 initial narrow DSD (left) and initial wide DSD (right). The dependencies correspond to the
 857 equilibrium state Parameters of initial DSD are presented in Tab. 1. Solid and dashed lines
 858 show the mixing diagrams for inhomogeneous and homogeneous mixing, respectively. The
 859 initial mixing parameters are $T = 10^{\circ}\text{C}$, $p = 8288$ mb and $L = 40$ m.

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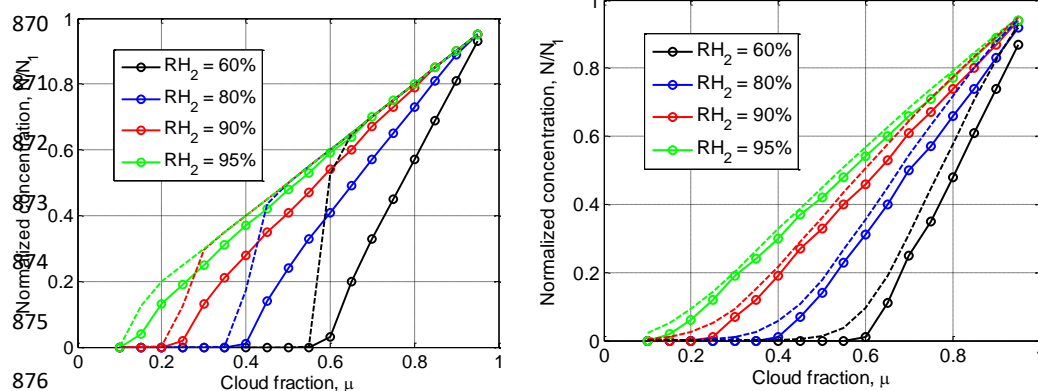
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Fig. 10. Final normalized droplet concentration vs. cloud fraction for initially narrow DSD (left) and initially wide DSD (right). Parameters of initial DSD are shown in Tab. 1. Dashed line shows the results of equivalent homogeneous mixing. The initial mixing parameters are $T = 10^{\circ}\text{C}$, $p = 8288$ mb and $L = 40$ m.

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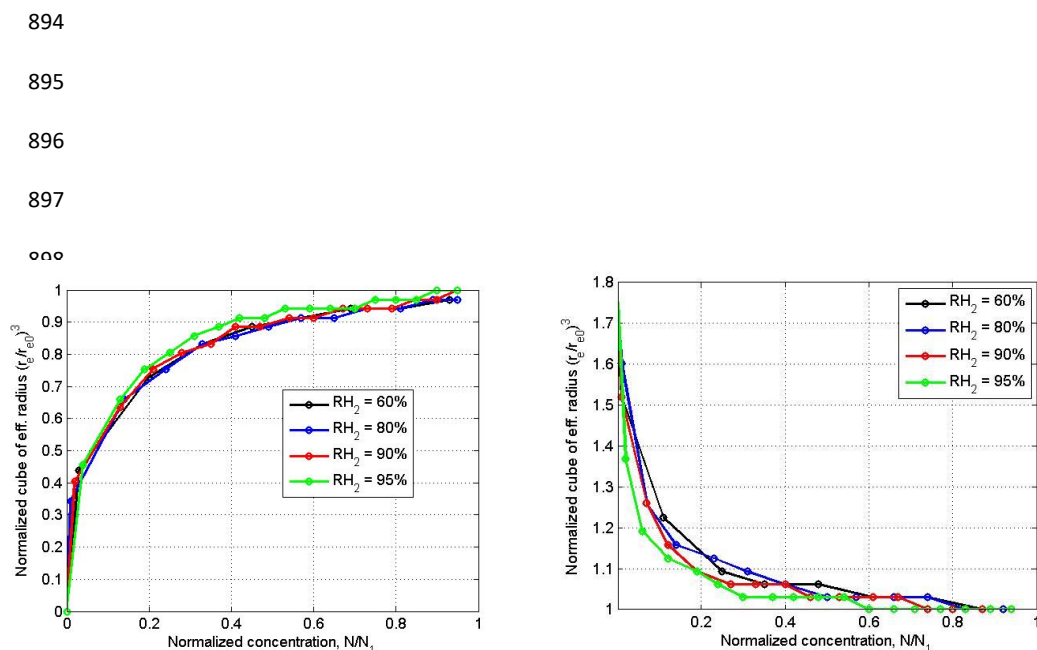


Fig. 11. Dependencies of normalized cube of the effective radius on normalized droplet concentration for different initial relative humidity values. Left panel: narrow initial DSD. Right panel: wide initial DSD. The initial mixing parameters are $T = 10^\circ\text{C}$, $p = 8288$ mb and $L = 40$ m.