

1 **Effects of model resolution and parameterizations on the simulations of clouds, precipitation,**
2 **and their interactions with aerosols**

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24 **Abstract**

25

26 This study investigates the effects of model resolution and microphysics parameterizations on the
27 uncertainties or errors in the simulations of clouds, precipitation, and their interactions with aerosols
28 using the Global Forecast System (GFS) model as one of the representative numerical weather
29 prediction (NWP) models. For this investigation, we used the GFS model results and compare them
30 with those from the cloud-system resolving model (CSRМ) simulations as benchmark simulations that
31 adopt a high resolution and full-fledged microphysical processes. These simulations were evaluated
32 against observations and this evaluation demonstrated that the CSRМ simulations can function as
33 benchmark simulations. Substantially lower updrafts and associated cloud variables (e.g., cloud mass
34 and condensation) were simulated by the GFS model compared to those simulated by the CSRМ. This
35 is mainly due to coarse resolution in the GFS model. This indicates that the parameterizations that
36 represent sub-grid processes in the GFS model do not work well and thus need to be improved. Results
37 here also indicate that the use of coarse resolution in the GFS model lowers the sensitivity of updrafts
38 and cloud variables to increasing aerosol concentrations compared to the CSRМ simulations. The
39 parameterization of the saturation process plays an important role in the sensitivity of cloud variables to
40 aerosol concentrations while the parameterization of the sedimentation process has a substantial impact
41 on how cloud variables are distributed vertically. The variation in cloud variables with resolution is
42 much greater and contributes to the discrepancy between the GFS and CSRМ simulations to a much
43 greater degree than what happens with varying microphysics parameterizations.

44 **1. Introduction**

45

46 The treatment of clouds and precipitation and their interactions with aerosols in the NWP models is
47 likely a major source of errors in the simulations of the water and energy cycles (Sundqvist et al., 1989;
48 Randall et al., 2006; Seifert et al., 2012). The NWP community has recognized that the accurate
49 representation of clouds, precipitation, and cloud-aerosol-precipitation interactions (CAPI) is important
50 for the improvement of the NWP models and thus, some of these models have started to improve the
51 representation by considering CAPI (Morcrette et al., 2011; Sudhakar et al., 2016).

52 CAPI may not have a substantial impact on the total precipitation amount but they do affect the
53 temporal and spatial variabilities of precipitation (Li et al., 2011; van den Heever et al., 2011; Seifert et
54 al., 2012; Lee and Feingold, 2013; Fan et al., 2013; Lee et al., 2014), whose importance increases as the
55 temporal/spatial scales of forecast decrease. The distribution of extreme precipitation events such as
56 droughts and floods, closely linked to the spatiotemporal variability, has important social and economic
57 implications.

58 In recent years, resolution in the NWP models has increased to the point that the traditional
59 cumulus parameterization schemes may no longer work properly. Motivated by this, scale-aware
60 cumulus parameterization schemes (e.g., Bogenschutz and Krueger, 2013; Thayer-Calder et al., 2015;
61 Griffin and Larson, 2016) are being implemented into these models of different resolutions for better
62 representation of clouds and precipitation. These scale-aware schemes, which represent sub-grid-scale
63 dynamic processes (e.g., cloud-scale updrafts and downdrafts) that are associated with cloud convection

64 as the traditional cumulus parameterizations do, are designed to be applied to the increased resolution
65 in the NWP models.

66 The uncertainties or the errors in the simulations of clouds, precipitation, and CAPI in the NWP
67 models may be incurred both from microphysics parameterizations and from model resolution. The
68 implementation of the cloud microphysics such as the two-moment (e.g. Morrison and Gettelman, 2008;
69 Morrison et al., 2009) and scale-aware schemes are intended to reduce these uncertainties. It is
70 important to first understand and quantify the uncertainties associated with the two-moment scheme and
71 how model resolution creates the uncertainties, as well as the relative significance between the
72 uncertainties associated with the two-moment scheme and those created by resolution. This
73 understanding and quantification can provide us with a guideline on how to represent microphysics in
74 the two-moment schemes and sub-grid processes in the scale-aware schemes for the efficient reduction
75 of the uncertainties in the NWP models. Note that the representation of sub-grid processes requires
76 information on the contribution of resolution to the uncertainties and, in this study, we focus on the two-
77 moment scheme developed by Morrison and Gettelman (2008) and Morrison et al. (2009), which is
78 referred to as the MG scheme, henceforth.

79 Fan et al. (2012) and Khain et al. (2015) have shown that the parameterizations of three key
80 microphysical processes (i.e., saturation, collection, and sedimentation) in microphysical schemes act as
81 a main source of errors in the simulation of clouds, precipitation, and CAPI. We try to identify and
82 quantify the errors or the uncertainties through comparisons between simulations with
83 parameterizations of the three key processes in the MG scheme and the CSRM simulations with full-

84 fledged microphysical processes. Regarding the understanding of the uncertainties arising from the
85 choice of resolution, we also perform comparisons between the high-resolution CSRM simulations and
86 the low-resolution simulations, and do additional comparisons with the GFS simulations. This helps
87 gain an understanding of how the microphysical representation and coarse resolution in the GFS model
88 (as compared to those in the CSRM) contribute to the uncertainties in the GFS simulations of clouds
89 and precipitation by accounting for CAPI. Here, the CSRM simulations act as benchmark simulations
90 by representing microphysical processes with high-level sophistication and by resolving cloud-scale
91 physical and dynamic processes with a high resolution.

92

93 **2. Models**

94

95 **2.1 The CSRM**

96

97 The Advanced Research Weather Research and Forecasting (ARW) model, a non-hydrostatic
98 compressible model, is the CSRM selected for use in this study. A fifth-order monotonic advection
99 scheme is used for the advection of cloud variables (Wang et al., 2009). The ARW model considers
100 radiation processes by adopting the Rapid Radiation Transfer Model for General Circulation Models
101 (RRTMG) (Fouquart and Bonnel, 1980; Mlawer et al., 1997). The effective sizes of hydrometeors,
102 which vary with varying aerosol properties, are calculated in a microphysics scheme that is adopted by
103 this study and described below and the calculated sizes are transferred to the RRTMG. Then, the effects

104 of the effective sizes of hydrometeors on radiation are calculated in the RRTMG. The ARW model
105 considers the sub-grid-scale turbulence by adopting 1.5-order turbulence kinetic energy closure (Basu et
106 al., 1998).

107 For an assessment of the uncertainties in the MG scheme, which is a type of a bulk scheme, we
108 need to use microphysics schemes that are much more sophisticated than the MG scheme. Through
109 extensive comparisons between various types of bin schemes and bulk schemes, Fan et al. (2012) and
110 Khain et al. (2015) have concluded that the use of bin schemes or bin-bulk schemes is desirable for
111 reasonable simulations of clouds, precipitation, and their interactions with aerosols. This is because
112 these schemes do not use a saturation adjustment, a mass-weight mean terminal velocity, or constant
113 collection efficiencies that have been used in bulk schemes. Instead, bin schemes use predicted
114 supersaturation levels, and terminal velocities and collection efficiencies that vary with the sizes of
115 hydrometeors. Based on the work by Fan et al. (2012) and Khain et al. (2015), this study considers bin
116 schemes to be a full-fledged microphysics schemes against which the uncertainties in the MG scheme
117 can be assessed. Hence, a bin scheme is adopted in the CSRM used here.

118 The bin scheme adopted by the CSRM is based on the Hebrew University Cloud Model described
119 by Khain and Lynn (2009). The bin scheme solves a system of kinetic equations for the size distribution
120 functions of water drops, ice crystals (plate, columnar and branch types), snow aggregates, graupel and
121 hail, as well as cloud condensation nuclei. Each size distribution is represented by 33 mass-doubling
122 bins, i.e., the mass of a particle m_k in the k^{th} bin is $m_k = 2m_{k-1}$.

123 As stated in introduction, this study focuses on the uncertainties or errors in the simulations of
124 clouds, precipitation, and CAPI themselves. This means that the examination of the uncertainties in the
125 simulations of aerosol physics and chemistry is out of scope of this study. Hence, in this study, instead
126 of simulating aerosol physics and chemistry explicitly, initial aerosol physical and chemical properties
127 (i.e., aerosol chemical composition and size distribution) are prescribed. Then, aerosol size distribution
128 (or aerosol number concentration in each size bin) evolves only through cloud processes (as described
129 below) but not through aerosol physical and chemical processes. During the evolution, the prescribed
130 aerosol composition is assumed not to vary.

131 In this study, it is assumed that aerosol particles are composed of ammonium sulfate. The aerosol
132 size distribution evolves prognostically with sinks and sources, which include advection, droplet
133 nucleation, and aerosol regeneration from droplet evaporation (Fan et al., 2009). Aerosol activation is
134 calculated according to the Köhler theory, i.e., aerosol particles with radii exceeding the critical value at
135 a grid point are activated to become droplets based on predicted supersaturation, and the corresponding
136 bins of the aerosol spectra are emptied. After activation, the aerosol mass is transported within
137 hydrometeors by collision-coalescence and removed from the atmosphere once hydrometeors that
138 contain aerosols reach the surface. Aerosol particles return to the atmosphere upon evaporation or the
139 sublimation of hydrometeors that contain them.

140

141 **2.2 The GFS model**

142

143 The GFS model is a global NWP model that is run by the National Oceanic and Atmospheric
144 Administration (NOAA). The GFS model has 64 vertical sigma-pressure hybrid layers and a T382 (~ 35
145 km) horizontal resolution. Output fields for a forecast generated at 3-hour intervals (i.e. at 03, 06, 09, 12,
146 15, 18, 21, 24 universal coordinated time, or Z), starting from the control time of 00Z, are used for this
147 study.

148 The GFS model posts parameters for 21 vertically different layers. From the surface (1000 hPa) to
149 the 900-hPa level, the vertical resolution is 25 hPa. At pressures less than 900 hPa, there are 16 levels at
150 a 50-hPa resolution up to 100 hPa. The cloud phase is determined by the mean temperature (T_c) of a
151 cloud layer which is defined as the average of temperatures at the top and bottom of a cloud layer. If T_c
152 is less than 258.16 K, the cloud layer is an ice cloud; otherwise, it is a water cloud.

153 A prognostic condensate scheme by Moorthi et al. (2001) has been used to parameterize clouds in
154 the GFS model. In this scheme, cloud mass, one of the representative cloud variables, evolves by
155 considering the cloud-mass advection, diffusion and conversion to precipitation, and the diagnosed sub-
156 grid and grid-scale phase-transition processes (e.g., condensation and evaporation). Here, cloud mass is
157 represented by cloud liquid content (CLC) or cloud ice content (CIC), depending on temperature, and
158 cloud liquid (cloud ice) represents droplets (ice crystals). The grid-scale phase-transition processes are
159 calculated based on Sundqvist et al. (1989) and Zhao and Carr (1997), while the sub-grid transition
160 processes are calculated based on a cumulus parameterization that adopts the mass-flux approach. This
161 cumulus parameterization was developed by Moorthi et al. (2001) based on a simplified Arakawa-
162 Schubert scheme (Pan and Wu, 1995).

3. The cases

3.1 The Seoul case

A mesoscale convective system (MCS) was observed over Seoul, Korea (37.57°N, 126.97°E; 0900 local solar time (LST) 26 July 2011–0900 LST 27 July 2011). This case, referred to as the Seoul case, involved heavy rainfall with a maximum precipitation rate of $\sim 150 \text{ mm h}^{-1}$. This heavy rainfall caused flash floods and landslides on a mountain at the southern flank of the city, leading to the deaths of 60 people.

At 0900 LST July 26th 2011, favorable synoptic-scale features for the development of heavy rainfall over Seoul were observed. The western Pacific subtropical high (WPSH) was located over the southeast of Korea and Japan, and there was a low-pressure trough over north China (Figure 1a). Low-level jets between the flank of the WPSH and the low-pressure system brought warm, moist air from the Yellow Sea to the Korean Peninsula (Figure 1b). Transport of warm and moist air by the southwesterly low-level jet is an important condition for the development of heavy rainfall events over Seoul (Hwang and Lee, 1993; Sun and Lee, 2002).

3.2 The Houston case

183 An MCS was observed over Houston, Texas (29.42°N, 94.45°W; 0700 LST 18 July 2013–0400 LST
184 19 July 2013). The Houston case involved moderate rainfall with a maximum precipitation rate of ~50
185 mm h⁻¹.

186 At 0500 LST, two hours before the initiation of convection, the low-level wind in and around
187 Houston was southerly (Figure 1c), favoring the transport of water vapor from the Gulf of Mexico to
188 the Houston area. Associated with this, the environmental convective available potential energy (CAPE)
189 (Figure 1d) in and around Houston along the coastline was high (as represented by red areas in Figure
190 1d). The high CAPE provided a favorable condition for the development of the MCS.

191

192 **4. Simulations**

193

194 **4.1 The CSRM simulations**

195

196 Using the ARW model and its bin scheme, a three-dimensional CSRM simulation of the observed MCS
197 was performed over the MCS period for each of the cases.

198 Initial and boundary conditions, which represent the synoptic features, for the control run are
199 derived from the National Centers for Environmental Prediction GFS final (FNL) analysis. Since the
200 FNL analysis is based on environmental conditions that are produced by the GFS model and thus for
201 each of the cases, there are basically no differences in the synoptic condition between the CSRM
202 simulations and the GFS simulations that are described in the following Section 4.2. The open lateral

203 boundary condition is adopted in the control run. This enables the advection of the synoptic condition
204 into and out of a domain in the CSRMs simulations to occur through the boundary of the domain, which
205 emulates the advection in the GFS simulations. All experiments employ a prognostic surface skin
206 temperature scheme (Zeng and Beljaars, 2005) and a revised roughness length formulation (Donelan et
207 al., 2004).

208 The control run for each of the cases consists of a domain with a Lambert conformal map
209 projection. The domain is marked by the rectangle for the Seoul case in Figure 2a and the domain for
210 the Houston case is shown in Figure 2b. While the control run for the Seoul case is referred to as “the
211 control-Seoul run”, the control run for the Houston case is referred to as “the control-Houston run”,
212 henceforth. The domain for the Seoul (Houston) case covers the Seoul (Houston) area and to resolve
213 cloud-scale processes, a 500-m horizontal resolution is applied to the domain. The domain has 41
214 vertical layers with the vertical resolution ranging from 70 m near the surface to 800 m at the model top
215 (~50 hPa). Note that the cumulus parameterization scheme is not used in this domain where cloud-scale
216 convection and associated convective rainfall generation are assumed to be explicitly resolved. Based
217 on observations, the aerosol concentration at the surface at the first time step is set at 5500 (1500) cm^{-3}
218 for the Seoul (Houston) case. Above the top of the planetary boundary layer (PBL) around 2 km, the
219 aerosol concentration reduces exponentially.

220 To examine and isolate CAPI, i.e., the effect of increasing the loading of aerosols on clouds and
221 precipitation, the control run is repeated with the aerosol concentration at the first time step reduced by
222 a factor of 10. This factor is based on observations showing that that reduction in aerosol loading

223 between polluted days and clean days is generally tenfold over Seoul and Houston(Lance et al., 2009;
224 Kim et al., 2014). This simulation is referred to as the low-aerosol-Seoul run for the Seoul case and the
225 low-aerosol-Houston run for the Houston case. Since the control-Seoul run and the control-Houston run
226 involve higher aerosol concentrations than the low-aerosol-Seoul run and the low-aerosol-Houston run,
227 respectively, for naming purposes, the control-Seoul run and the control-Houston run are also referred
228 to as the high-aerosol-Seoul run and the high-aerosol-Houston run, respectively.

229 In addition to the simulations described above, more simulations were performed to fulfill the goals
230 of this study (Table 1). Details of those simulations are given in the following sections.

231

232 **4.2 The GFS simulations**

233

234 Note that the GFS produces the forecast data over the globe and for this study, we use the data
235 only during the MCS period and only at grid points in the domain for each case. Stated differently, the
236 spatial scale or the extent of the analysis area is identical between the CSRM simulations and the GFS
237 simulations, although the number of grid points in the area or the domain is different between the
238 CSRM simulations and the GFS simulations due to differences in resolution between those simulations.
239 We collect GFS data in the domain and then average the data over those grid points at each of the GFS
240 time steps for each of the cases. For the comparison between the GFS and CSRM simulations at
241 specific time steps over the MCS period, these averaged data are compared to the CSRM simulations
242 for each of those steps. In case the time and domain-averaged GFS data are compared to the CSRM

243 counterparts, these averaged data are averaged again over the MCS period and compared to their
244 CSRM counterparts.

246 **5. Results**

247 248 **5.1 Test on the effects of resolution on the simulations of clouds, 249 precipitation, and CAPI**

250 251 **5.1.1 CLC and CIC**

252
253 To test the effects of resolution on the simulations of clouds, precipitation, and their interactions with
254 aerosols, we repeat the standard CSRM runs at the 500-m resolution (i.e., the high-aerosol-Seoul run,
255 the low-aerosol-Seoul run, the high-aerosol-Houston run, and the low-aerosol-Houston run) by using
256 15- and 35-km resolutions instead. These resolutions are similar to those generally adopted by current
257 NWP models (e.g., the GFS model). To isolate the effects of resolution on the simulations of clouds,
258 precipitation, and their interactions with aerosols, only resolution varies among the CSRM runs at the
259 fine resolution and the repeated runs at the coarse resolutions here and these runs have an identical
260 model setup except for resolution. For the identical setup, as an example, we do not apply the
261 convection parameterizations (e.g., cumulus parameterizations) to the repeated runs, since the
262 convection parameterizations are not applied to the CSRM runs. Hence, cloud variables (e.g., the

263 updraft speed) are not diagnosed by convection parameterizations but predicted in both the CSR
264 runs and the repeated runs. With the identical setup except for resolution, the comparisons between the
265 CSR simulations and the repeated simulations can isolate the pure effects of the use of coarse
266 resolution on clouds, precipitation, and their interactions with aerosol.

267 The repeated simulations at the 15-km resolution are referred to as the high-aerosol-15-Seoul run,
268 the low-aerosol-15-Seoul run, the high-aerosol-15-Houston run, and the low-aerosol-15-Houston run,
269 while the repeated simulations at the 35-km resolution are referred to as the high-aerosol-35-Seoul run,
270 the low-aerosol-35-Seoul run, the high-aerosol-35-Houston run, and the low-aerosol-35-Houston run. In
271 this study, simulations whose name includes “high-aerosol” represent the polluted scenario, while those
272 whose name includes “low-aerosol” represent the clean scenario. In the following, we describe results
273 from the standard and repeated simulations. For the Houston case, no clouds form at the 35-km
274 resolution, so the description of results is only done for results at the 15-km resolution.

275 Figures 3a and 3b show the vertical distributions of the time- and domain-averaged CLC in the
276 simulations for the Seoul case and the Houston case, respectively. Figures 4a and 4b show the vertical
277 distributions of the time- and domain-averaged CIC in the simulations for the Seoul case and the
278 Houston case, respectively. There are increases in the cloud mass (represented by CLC and CIC) with
279 increasing aerosol concentration between the polluted scenario and the clean scenario not only for both
280 the Seoul and Houston cases but also at all resolutions considered. The cloud mass is substantially less
281 at the 15- and 35-km resolutions compared to that in the simulations at the 500-m resolution. In
282 addition, increases in the cloud mass with increasing aerosol concentration reduce substantially as

283 resolution coarsens. At the 500-m resolution, on average, there is about a ~30–50% increase in cloud
284 mass, while at the 15- or 35-km resolutions, there is only a ~2–5% increase in cloud mass in both cases.

285 For both the Seoul and Houston cases, comparisons between the cloud mass produced by the
286 GFS simulations and that produced by the ARW simulations show that the GFS-simulated cloud mass
287 is similar to that in the ARW simulations at the 15- and 35-km resolutions. However, the GFS-
288 simulated cloud mass is much smaller than that in the ARW simulations at the 500-m resolution, i.e.,
289 the CSRMs simulations. This suggests that coarse resolution used in the GFS simulations is an important
290 cause of the differences in cloud mass between the CSRMs simulations and the GFS simulations.

292 **5.1.2 Liquid water path (LWP) and ice water path (IWP)**

293
294 Figures 5a and 5b show the time series of the domain-averaged LWP and IWP for the Seoul case while
295 Figures 6a and 6b show the same for the Houston case. Note that LWP and IWP are the vertical
296 integrals of CLC and CIC, respectively. Consequently, the same behavior as that of CLC and CIC is
297 seen, namely, there are increases in LWP and IWP with increasing aerosol concentrations between the
298 polluted and clean scenarios at all resolutions, while there are less LWP and IWP with the use of the 15-
299 and 35-km resolutions compared to using the 500-m resolution. Also, the sensitivity of LWP and IWP
300 to increasing aerosol concentrations reduces significantly as resolution coarsens.

301 In Figures 5 and 6, satellite-observed LWP and IWP for both cases follow reasonably well their
302 CSRMs-simulated counterparts for the polluted scenario. This shows that the CSRMs simulations, which

303 are performed with the 500-m resolution, perform well and can thus represent benchmark
304 simulations. The GFS-produced LWP and IWP are similar to those in the ARW simulations at the 15-
305 and 35-km resolutions and are much smaller in magnitude than those from the CSRMs and
306 observations. Hence, the discrepancy in LWP and IWP between the GFS simulations and the CSRMs
307 simulations or that between the GFS simulations and observations is closely linked to coarse resolution
308 adopted by the GFS simulations. Taking the CSRMs as benchmark simulations, we see that
309 the GFS simulations underestimate the cloud mass compared to observations mainly due to coarse
310 resolution adopted by the GFS model.

311 Among the ARW simulations, the sensitivity of the cloud mass to increasing aerosol
312 concentrations reduces considerably with coarsening resolution. CSRMs are benchmark
313 simulations so the sensitivity in the CSRMs is the benchmark sensitivity. Note that the GFS
314 simulation results and the ARW simulations at the coarse resolutions of 15 and 35 km are similar. Their
315 sensitivities are thus also likely similar, i.e., the sensitivity of the cloud mass to increasing aerosol
316 concentrations in the GFS simulation is likely to be underestimated compared to the benchmark
317 sensitivity of the CSRMs.

318

319 **5.1.3 Updrafts, condensation, and deposition**

320

321 To understand the response of the cloud mass to increasing aerosol concentrations, and the variation in
322 the cloud mass and its response to increasing aerosol concentrations with varying resolution as shown in

323 Figures 3, 4, 5, and 6, we calculate updraft mass fluxes since these fluxes control supersaturation that
324 in turn controls condensation and deposition as key determination factors for the cloud mass. Updraft
325 mass fluxes are obtained by multiplying the predicted updraft speed by air density. Since there are
326 negligible differences in air density among the ARW simulations, most of differences in updraft mass
327 fluxes among the simulations are caused by differences in the updraft speed or updrafts. Those
328 differences in air density are in general \sim two orders of magnitude smaller than those in the updraft
329 speed or updrafts. We also obtain condensation and deposition rates. The vertical distributions of the
330 time- and domain-averaged updraft mass fluxes, condensation rates, and deposition rates for the Seoul
331 and Houston cases are shown in Figures 7, 8, and 9, respectively. Here, condensation and deposition
332 rates are defined as the rates of changes in liquid mass and ice mass in a unit volume of air and for a
333 unit time due to condensation and deposition on the surface of hydrometeors, respectively.

334 As seen for the cloud mass, updraft mass fluxes, and condensation and deposition rates increase
335 with increasing aerosol concentrations between the polluted scenario and the clean scenario at all
336 resolutions and for all cases considered. Increasing aerosol concentrations alter cloud microphysical
337 properties such as drop size and autoconversion. Aerosol-induced changes in autoconversion in turn
338 increase cloud-liquid mass as a source of evaporation and freezing. Numerous studies (e.g., Khain et al.,
339 2005; Seifert and Beheng, 2006; Tao et al., 2007, 2012; van den Heever and Cotton, 2007; Storer et al.,
340 2010; Lee et al., 2013, 2017) have shown that aerosol-induced increases in cloud-liquid mass and
341 associated increases in freezing of cloud liquid enhance the freezing-related latent heating and thus
342 parcel buoyancy, and this invigorates convection or increases updraft mass fluxes. Those studies have

343 also shown that the aerosol-induced increases in cloud-liquid mass and associated increases in the
344 evaporation of cloud liquid enhance the evaporation-related latent cooling and thus negative buoyancy.
345 This intensifies downdrafts and after reaching the surface, the intensified downdrafts spread out toward
346 the surrounding warm air to form intensified gust fronts and then, to uplift the warm air more strongly.
347 More strongly uplifted warm air leads to invigorated convection or increased updraft mass fluxes. These
348 freezing- and evaporation-related invigoration mechanisms are operative to induce the aerosol-induced
349 enhancement of updraft mass fluxes, condensation, and deposition in this study.

350 Aerosol-induced percentage increases in updraft mass fluxes, and deposition and condensation
351 rates at the 500-m resolution between the polluted scenario and the clean scenario are approximately
352 one order of magnitude greater than those at the 15- and 35-km resolutions. Stated differently, the
353 sensitivity of updraft mass fluxes to increasing aerosol concentrations reduces substantially with
354 coarsening resolution and due to this, the sensitivity of deposition and condensation rates, and thus the
355 cloud mass, to increasing aerosol concentrations also reduces substantially with coarsening resolution.
356 Similar to the situation with the cloud mass, the GFS-produced updraft mass fluxes are much smaller
357 than those produced by the ARW simulations at the 500-m resolution (or the CSRMs simulations) and
358 similar to those produced by the ARW simulations at the 15- and 35-km resolutions (Figure 7). Hence,
359 taking the CSRMs simulations as benchmark simulations, the updraft mass fluxes (and thus the cloud
360 mass) are underestimated in the GFS simulations and the ARW simulations at the 15- and 35-km
361 resolutions. This underestimation is closely linked to the discrepancy in resolution between the GFS
362 simulations and the CSRMs simulations or between the ARW simulations at the 15- and 35-km

363 resolutions and the CSRSM simulations. Taking the sensitivity of updraft mass fluxes to increasing
364 aerosol concentrations in the CSRSM simulations as the benchmark sensitivity, the GFS simulations
365 likely also underestimate the sensitivity, considering the similarity in results between the ARW
366 simulations at the 15- and 35-km resolutions and the GFS simulations. Since the current GFS model
367 does not consider pathways through which increasing aerosol concentrations interact with updraft mass
368 fluxes, this probable underestimation of the sensitivity is even more likely. Note that the ARW
369 simulations which are at the 15- and 35-km resolutions and underestimate updrafts themselves, even
370 with the consideration of those pathways, result in the much weaker sensitivity at the coarse resolutions
371 as compared to that in the CSRSM simulations. Hence, even though those pathways are implemented
372 into the GFS model, the underestimated updrafts in the GFS simulations are likely to result in the weak
373 sensitivity, unless the cumulus parameterization which represents updrafts in the GFS model is further
374 developed to prevent the underestimation of updrafts.

375 Figure 10 shows the frequency distribution of updrafts over the updraft speed, which is normalized
376 over the domain and the simulation period. We first calculate the frequency over the domain at each
377 time step and in each discretized updraft bin. The frequency in each bin and at each time step is then
378 divided by the total number of grid points in the whole domain. The normalized frequency at each time
379 step is summed over all of the time steps in each updraft bin. This sum is divided by the total number of
380 time steps as the final step in the normalization process. With coarsening resolution, the normalized
381 frequency of weak updrafts with speeds less than $\sim 2 \text{ m s}^{-1}$ increases for both scenarios in both cases.
382 However, the normalized frequency of strong updrafts with speeds greater than $\sim 2 \text{ m s}^{-1}$ reduces with

383 coarsening resolution. The frequency shift from high-level updraft speeds to low-level speeds leads
384 to a reduction in the mean updrafts with coarsening resolution for both scenarios in both cases.

385 The updraft frequency is greater in the polluted scenario than in the clean scenario at all
386 resolutions and for all cases. The overall difference in the frequency between the scenarios reduces with
387 coarsening resolution. This is associated with the reduction in the sensitivity of the averaged updrafts to
388 increasing aerosol concentrations with coarsening resolution. In particular, the difference in the
389 frequency for weak updrafts (speeds less than $\sim 2 \text{ m s}^{-1}$) between the scenarios does not vary much with
390 coarsening resolution. On average, the percentage difference for weak updrafts is less than 2–3% at all
391 resolutions. However, the difference for strong updrafts varies significantly with varying resolution.
392 The mean difference for strong updrafts varies from ~ 30 – 60% for the 500-m resolution to less than ~ 5 –
393 6% for the 15- and 35-km resolutions. Analyses of the updraft frequency here suggest that strong
394 updrafts are more sensitive to aerosol-induced invigoration of convection than weak updrafts. The
395 variation in the sensitivity of the averaged updrafts to increasing aerosol concentrations at varying
396 resolution is associated more with the variation of the response of strong updrafts to aerosol-induced
397 invigoration at varying resolution than with that of weak updrafts. Another point to make here is that
398 the frequency of weak updrafts is overestimated while that of strong updrafts is underestimated at
399 coarse resolution compared to the frequencies in the fine-resolution CSRM simulations.

401 **5.1.4 Evaporation and precipitation distributions**

402

403 Aerosol-induced increases in evaporation and associated cooling affect downdrafts, and changes in
404 downdrafts in turn affect gust fronts. Aerosol-induced changes in the intensity of gust fronts affect the
405 organization of cloud systems, which is characterized by cloud-cell spatiotemporal distributions. In
406 general, aerosol-induced greater increases in evaporation result in aerosol-induced greater changes in
407 the intensity of gust fronts and in cloud system organization (Tao et al., 2007, 2012; van den Heever
408 and Cotton, 2007; Storer et al., 2010; Lee et al., 2013, 2017).

409 Considering that individual cloud cells act as individual sources of precipitation, aerosol-induced
410 changes in the cloud system organization can alter precipitation spatiotemporal distributions, which
411 play an important role in hydrological circulations. It is thus important to examine how the response of
412 evaporation to increasing aerosol concentrations varies with varying resolution, i.e., to see how coarse
413 resolution affects the quality of simulations of aerosol effects on hydrological circulations. Motivated
414 by this, evaporation rates are obtained and are shown in Figure 11. Here, evaporation rate is defined as
415 the rate of changes in liquid mass in a unit volume of air and for a unit time due to evaporation on the
416 surface of hydrometeors.

417 As seen in the above-described variables, evaporation rates increase as the aerosol concentration
418 increases and the sensitivity of the evaporation rate to increasing aerosol concentrations reduces with
419 coarsening resolution among the ARW simulations. This suggests that the sensitivities of the cloud
420 system organization and precipitation distributions to increasing aerosol concentrations likely also
421 reduce with coarsening resolution, as reported in the previous studies (e.g., Tao et al., 2007, 2012; van
422 den Heever and Cotton, 2007; Storer et al., 2010; Lee et al., 2013, 2017). This is confirmed by the

423 distribution of normalized precipitation frequency over precipitation rates shown in Figure 12.
424 Similar to the normalization for the updraft frequency, we first calculate the frequency of surface
425 precipitation rates at each time step and in each discretized precipitation rate bin. The frequency in each
426 bin and at each time step is then divided by the total number of grid points at the surface. The
427 normalized frequency at each time step is summed over all of the time steps. This sum is divided by the
428 total number of time steps as the final step in the normalization process. Figure 12 shows that due to the
429 reduction in the sensitivity of evaporative cooling to increasing the aerosol concentration as resolution
430 coarsens, differences in the distribution of precipitation frequency between the polluted scenario and the
431 clean scenario reduce substantially as resolution coarsens. Taking the 500-m resolution CSRMs
432 simulations as benchmark simulations, this suggests that the coarse-resolution GFS simulations likely
433 underestimate the sensitivity of evaporative cooling, cloud system organization, and precipitation
434 distributions to increasing aerosol concentrations.

435

436 **5.2 Test on the effects of microphysics parameterizations on the simulations of clouds,** 437 **precipitation, and CAPI**

438

439 As mentioned previously, among microphysical processes, saturation, sedimentation, and collection
440 processes are those whose parameterizations are a main cause of errors in the simulation of clouds,
441 precipitation, and CAPI. Motivated by this, we focus on these three microphysical processes for testing
442 the effects of microphysics parameterizations on the simulations of clouds, precipitation, and CAPI. As

443 a preliminary step to this test, we first focus on the effects of microphysics parameterizations on the
444 simulation of the cloud mass, which plays a key role in cloud radiative properties and precipitation.
445 Based on Figures 3 and 4, we focus on the CLC, which accounts for the bulk of the total cloud mass.

446 Figure 13 shows the vertical distributions of the time- and domain-averaged CLC. In Figure 13a,
447 solid red and black lines represent the high-aerosol-Seoul run and the low-aerosol-Seoul run,
448 respectively, while in Figure 13b, those lines represent the high-aerosol-Houston run and the low-
449 aerosol-Houston run, respectively. Note that these runs shown in the figure are performed using the bin
450 scheme and the 500-m resolution. These simulations were repeated with the Morrison two-moment
451 scheme. These repeated simulations using the MG scheme, referred to as the high-aerosol-MG-Seoul
452 run, the low-aerosol-MG-Seoul run, the high-aerosol-MG-Houston run and the low-aerosol-MG-
453 Houston run, are represented by solid yellow and green lines in Figure 13. Between the high-aerosol
454 and low-aerosol runs using the MG scheme for the two cases, there is an increase in CLC with
455 increasing aerosol concentration. However, this increase is much smaller than that between the high-
456 aerosol and low-aerosol runs using the bin scheme for the two cases. In addition, there is a significant
457 difference in the shape of the vertical profile of CLC between the simulations with the MG scheme and
458 those with the bin scheme for both cases. Here, the shape is represented by the peak value of CLC and
459 the altitude of the peak value in the vertical profile. The peak value is higher in the simulations with the
460 bin scheme than in the simulations with the MG scheme for each of the polluted and clean scenarios.
461 The altitude of the peak value is lower in the simulations with the bin scheme than in the simulations

462 with the MG scheme. For the Seoul (Houston) case, the altitude is ~2 (3) km in the simulations with
463 the bin scheme, while it is ~5 km in those with the MG scheme.

464 We next test how the parameterization of saturation processes affects the simulations by
465 comparing the supersaturation prediction in the bin scheme to the saturation adjustment in the MG
466 scheme. To do this, the simulations with the bin scheme are repeated after replacing the supersaturation
467 prediction in the bin scheme with the saturation adjustment in the MG scheme. These repeated
468 simulations are referred to as the high-aerosol-sat-Seoul run, the low-aerosol-sat-Seoul run, the high-
469 aerosol-sat-Houston run, and the low-aerosol-sat-Houston run. The high-aerosol-sat-Seoul run and the
470 low-aerosol-sat-Seoul run for the Seoul case and the high-aerosol-sat-Houston run and the low-aerosol-
471 sat-Houston run for the Houston case are represented by dashed lines in Figure 13. As in the other
472 simulations, there is an increase in CLC with increasing aerosol concentrations between the high-
473 aerosol-sat and the low-aerosol-sat runs for the two cases. However, this increase is much smaller than
474 that between the high-aerosol and low-aerosol runs for the two cases, but is similar to that between the
475 high-aerosol-MG and low-aerosol-MG runs for the two cases. This suggests that the sensitivity of the
476 CLC to increasing aerosol concentrations is affected by the parameterization of the saturation process
477 and that the use of the saturation adjustment reduces the sensitivity compared to using the
478 supersaturation prediction.

479 The high-aerosol-sat-Seoul run, the low-aerosol-sat-Seoul run, the high-aerosol-sat-Houston run,
480 and the low-aerosol-sat-Houston run are repeated by replacing the bin-scheme sedimentation with the
481 sedimentation from the MG scheme as a way of testing the effects of the parameterization of

482 sedimentation on the simulations. These repeated runs are referred to as the high-aerosol-sed-Seoul
483 run, the low-aerosol-sed-Seoul run, the high-aerosol-sed-Houston run, and the low-aerosol-sed-Houston
484 run. These runs are identical to the high-aerosol-Seoul run, the low-aerosol-Seoul run, the high-aerosol-
485 Houston run and the low-aerosol-Houston run, respectively, except for the parameterization of the
486 saturation and sedimentation processes. As mentioned previously, terminal velocities vary as
487 hydrometeor sizes vary in the bin scheme, while the MG scheme adopts mass-weight mean terminal
488 velocities for the calculation of the sedimentation process.

489 The vertical distributions of the CLC in the high-aerosol-sed-Seoul run, the low-aerosol-sed-Seoul
490 run, the high-aerosol-sed-Houston run, and the low-aerosol-sed-Houston run are represented by dashed
491 lines in Figure 14. Comparisons between the pair of high-aerosol-sed and low-aerosol-sed runs for the
492 two cases and the pair of high-aerosol-MG and low-aerosol-MG runs for the two cases show that not
493 only the increases in the CLC with increasing aerosol concentrations but also the shapes of the vertical
494 distribution of the CLC in the high-aerosol-sed and low-aerosol-sed runs for the two cases are similar to
495 those in the high-aerosol-MG and low-aerosol-MG runs for the two cases. This demonstrates that
496 differences in the shape of the vertical profile of CLC between the bin-scheme simulations and the MG-
497 scheme simulations are not explained by differences in the representation of the saturation process
498 alone. This also demonstrates that the representation of the sedimentation process plays an important
499 role in generating the differences in the shape of the vertical profile of CLC.

500 In Figure 14, we still see differences in the vertical profiles of CLC between the high-aerosol-sed-
501 Seoul and high-aerosol-MG-Seoul runs, and between the low-aerosol-sed-Seoul and low-aerosol-MG-

502 Seoul runs, as well as between the high-aerosol-sed-Houston and high-aerosol-MG-Houston runs,
503 and between the low-aerosol-sed-Houston and low-aerosol-MG-Houston runs. To understand the cause
504 of these differences, the high-aerosol-sed-Seoul run, the low-aerosol-sed-Seoul run, the high-aerosol-
505 sed-Houston run, and the low-aerosol-sed-Houston run are repeated again with the MG-scheme
506 collection process. These repeated runs are referred to as the high-aerosol-col-Seoul run, the low-
507 aerosol-col-Seoul run, the high-aerosol-col-Houston run, and the low-aerosol-col-Houston run. These
508 runs are identical to the high-aerosol-Seoul run, the low-aerosol run-Seoul, the high-aerosol-Houston
509 run, and the low-aerosol-Houston run, respectively, except for the parameterization of the saturation,
510 sedimentation, and collection processes. As mentioned previously, collection efficiencies vary as
511 hydrometeor sizes vary in the bin scheme, while the MG scheme uses constant collection efficiencies.

512 As seen in Figure 15, the remaining differences between the high-aerosol-col-Seoul and high-
513 aerosol-MG-Seoul runs and between the low-aerosol-col-Seoul and low-aerosol-MG-Seoul runs, as
514 well as between the high-aerosol-col-Houston and high-aerosol-MG-Houston runs, and between the
515 low-aerosol-col-Houston and low-aerosol-MG-Houston runs nearly disappear. This demonstrates with
516 fairly good confidence that differences between the high-aerosol-Seoul run (the high-aerosol-Houston
517 run) and the high-aerosol-MG-Seoul run (the high-aerosol-MG-Houston run) or between the low-
518 aerosol-Seoul run (the low-aerosol-Houston run) and the low-aerosol-MG-Seoul run (the low-aerosol-
519 MG-Houston run) are explained by differences in the parameterizations of the saturation,
520 sedimentation, and collection processes between the bin scheme and the MG scheme.

521

5.3 Relative importance of resolution and parameterizations

Comparisons between ARW simulations with different resolutions and those with different microphysics parameterizations as shown in Figures 3 and 13 demonstrate that the variation in cloud variables is much greater with respect to the variation in resolution than with the variation in microphysics parameterizations. For example, comparisons between Figures 3 and 13 show that the variation in the time- and domain-averaged cloud mass is $\sim 2\text{--}4$ times greater as resolution varies than when the microphysics parameterizations varies. These comparisons also show that the variation in cloud variables with varying resolution explains the discrepancy between the GFS simulations and the CSRMs simulations and between the GFS simulations and observations much better than the variation in microphysics parameterizations. As a first step toward reducing the first-order errors in the GFS simulations, we first need to focus on the reduction in errors that are associated with the use of coarse resolution in the GFS model.

6. Summary and Discussion

This study examines the uncertainties in the simulations of clouds, precipitation, and CAPI in the NWP models. Here, we focus on those uncertainties that are created by the microphysics parameterizations and by the model resolution chosen. In particular, for the examination of the uncertainties associated

541 with microphysics parameterizations, we investigate the contributions of the parameterizations of
542 three key microphysical processes, i.e., saturation, collection, and sedimentation, to the uncertainties.

543 As a way of examining the uncertainties created by the microphysics parameterizations, we
544 compare the MG scheme (a representative bulk scheme) to the bin scheme, which acts as a benchmark
545 scheme. The vertical distribution of the cloud mass simulated by the MG scheme deviates substantially
546 from that simulated by the bin scheme. In particular, there is a substantial discrepancy in the peak value
547 of the distribution and the altitude of the peak value between the schemes. Also, there is a substantial
548 discrepancy between the schemes in the sensitivity of the cloud mass to increasing aerosol
549 concentrations.

550 The discrepancy in the sensitivity is closely linked to the discrepancy in the parameterization of the
551 saturation processes between the schemes. The use of the saturation adjustment in the bulk scheme
552 reduces the sensitivity by a factor of ~ 2 compared to the use of the supersaturation prediction in the bin
553 scheme. The discrepancy in the peak value and its altitude between the schemes is strongly linked to the
554 parameterization of sedimentation in the schemes. The use of identical parameterizations of saturation
555 and sedimentation makes the sensitivity and the peak value and its altitude similar between the schemes,
556 although there still remains a slight difference in the magnitude of the cloud mass. This remaining
557 difference is explained by the discrepancy in the parameterization of the collection process. When the
558 two schemes use identical parameterizations of the saturation, sedimentation, and collection processes,
559 the sensitivity and the peak value and its altitude become nearly identical between the two schemes.
560 This confirms that differences in the parameterizations of the three key processes (i.e., saturation,

561 sedimentation, and collection) are the main cause of the differences in the simulations of clouds
562 between the schemes as indicated by Fan et al. (2012) and Khain et al. (2015).

563 By selecting the simulations with the bin scheme as benchmark simulations, we see that the use
564 of the saturation adjustment, as done in most current NWP models, can lead to an underestimation of
565 the sensitivity of the cloud mass to increasing aerosol concentrations. Fan et al. (2012) and Khain et al.
566 (2015) have also shown that the sensitivity of the cloud mass to increasing aerosol concentrations is
567 lower in the bulk scheme than in the bin scheme. This study shows that the lower sensitivity in the bulk
568 scheme is closely linked to the use of the saturation adjustment in the bulk scheme.

569 It is well known that the shape of the vertical profile of the cloud mass (i.e., the peak value of the
570 cloud mass and its altitude) or how cloud mass is distributed in the vertical domain has substantial
571 implications for cloud radiative forcing and precipitation processes. This study demonstrates that the
572 different parameterizations of the sedimentation process between the schemes lead to different shapes
573 of the cloud-mass profiles and thus different cloud radiative forcings and precipitation processes. The
574 use of a mass-weight mean terminal velocity for sedimentation as done in the bulk schemes can lead to
575 misleading shapes, cloud radiative forcings, and precipitation processes compared to those in the
576 benchmark bin-scheme simulations where terminal velocities vary as hydrometeor sizes vary.

577 NWP models (e.g., the GFS model) adopt coarse resolution. This study shows that the use of
578 coarse resolution can cause an underestimation of the updraft intensity and thus condensation and
579 deposition, which leads to an underestimation of the cloud mass. Also, the use of coarse resolution
580 likely results in the underestimation of the sensitivity of updrafts and cloud mass and that of

581 evaporation, cloud system organization, and precipitation distributions to increasing aerosol
582 concentrations.

583 Through the examination of the sensitivity of the results to resolution chosen, we find that
584 updrafts, associated other cloud variables, and their sensitivity to increasing aerosol concentrations are
585 strongly controlled by small-scale updrafts. When they are resolved with the use of high-resolution
586 models, there are high-value averaged updrafts and associated variables, and their strong sensitivity but
587 when they are not resolved in low-resolution models, there are low-value averaged updrafts and
588 associated variables, and their weak sensitivity. This means that small-scale updrafts not resolved with
589 coarse resolution play an important role in the simulation of the correct magnitude of updrafts,
590 associated variables, and their sensitivity to increasing aerosol concentrations.

591 The frequency distributions of updrafts simulated in this study show that the frequency of weak
592 updrafts is overestimated while that of strong updrafts is underestimated in the simulations with coarse
593 resolution compared to those in the CSRM simulations. Hence, the updraft speed shifts toward lower
594 values with coarsening resolution. The difference in the frequency between the polluted and clean
595 scenarios reduces substantially, particularly for strong updrafts, with coarsening resolution. This is why
596 the sensitivity of updrafts and associated cloud variables to increasing aerosol concentrations reduces
597 with coarsening resolution. We see that not resolving small-scale updrafts results in the underestimation
598 of strong updrafts and the overestimation of weak updrafts for both scenarios and in the reduced
599 difference in strong updrafts between the scenarios.

600 The GFS simulations use the so-called sub-grid parameterizations (e.g., cumulus
601 parameterizations) that represent sub-grid updrafts and associated variables, while the ARW
602 simulations at the 500-m resolution (i.e., the CSRMs simulations) do not use these sub-grid
603 parameterizations based on consideration that the CSRMs simulations resolve sub-grid processes. Thus,
604 the CSRMs simulations (that prove to act as benchmark simulations through comparisons to
605 observations) are able to evaluate the sub-grid parameterizations in the GFS model. The sub-grid
606 parameterizations are designed to correct errors that are caused by the use of coarse resolution in the
607 GFS model. However, comparisons between the GFS simulations and the ARW simulations at different
608 resolutions indicate that despite the presence of sub-grid parameterizations in the GFS model, the errors
609 or differences in the updraft intensity and associated cloud variables between the GFS simulations and
610 the CSRMs simulations exist due to resolution. Hence, sub-grid parameterizations need to be improved
611 to better represent sub-grid processes. To this end, results here indicate that sub-grid parameterizations
612 (e.g., scale-aware cumulus schemes) which are being implemented into the NWP models (e.g., the GFS
613 model) should be able to compensate for the over- and under-estimation of weak updrafts and strong
614 updrafts, respectively, due to coarse resolution.

615 Comparisons between the GFS simulations and the ARW simulations also indicate that it is
616 likely that the GFS model underestimates the sensitivity of updrafts and associated cloud variables to
617 increasing aerosol concentrations. In general, parameterizations that represent sub-grid updrafts and
618 other associated variables do not have pathways through which increasing aerosol concentrations affect
619 updrafts and associated cloud variables. However, recent studies by Lim et al. (2014), Thayer-Calder et

620 al. (2015), and Griffin and Larson (2016) have attempted to consider interactions among
621 microphysical processes, their variations with varying aerosol concentrations, and sub-grid dynamic
622 (e.g., updrafts and downdrafts) and thermodynamic (e.g., temperature) variables in those
623 parameterizations. These efforts should focus on countering the variation in the sensitivity of updrafts,
624 in particular strong updrafts and thus that of cloud variables, cloud system organization, and
625 precipitation distributions to increasing aerosol concentrations with coarsening resolution. While the
626 pattern of the sensitivity and its variation shown in this study provides valuable information useful for
627 aiding these efforts, results may be different for different cloud types and environments, given the
628 strong dependence of aerosol-cloud interactions on cloud type and environmental conditions. So to aid
629 the efforts in a generalized way, future studies with more cases that involve various types of aerosol-
630 cloud interactions are needed.

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778 **Tables**

779

780 Table 1. Description of the simulations.

Simulations	Case	Aerosol number concentration at the surface (cm^{-3})	Microphysics scheme	Resolution	Saturation	Sedimentation	Collection
High-aerosol- Seoul run	Seoul	5500	Bin	500 m	Supersaturation prediction	Bin-scheme sedimentation	Bin-scheme collection
Low-aerosol- Seoul run	Seoul	550	Bin	500 m	Supersaturation prediction	Bin-scheme sedimentation	Bin-scheme collection
High-aerosol- Houston run	Houston	1500	Bin	500 m	Supersaturation prediction	Bin-scheme sedimentation	Bin-scheme collection
Low-aerosol- Houston run	Houston	150	Bin	500 m	Supersaturation prediction	Bin-scheme sedimentation	Bin-scheme collection
High-aerosol- 15-Seoul run	Seoul	5500	Bin	15 km	Supersaturation prediction	Bin-scheme sedimentation	Bin-scheme collection
Low-aerosol- 15-Seoul run	Seoul	550	Bin	15 km	Supersaturation prediction	Bin-scheme sedimentation	Bin-scheme collection
High-aerosol- 15-Houston run	Houston	1500	Bin	15 km	Supersaturation prediction	Bin-scheme sedimentation	Bin-scheme collection
Low-aerosol- 15-Houston	Houston	150	Bin	15 km	Supersaturation prediction	Bin-scheme sedimentation	Bin-scheme collection

run							
High-aerosol-35-Seoul run	Seoul	5500	Bin	35 km	Supersaturation prediction	Bin-scheme sedimentation	Bin-scheme collection
Low-aerosol-35-Seoul run	Seoul	550	Bin	35 km	Supersaturation prediction	Bin-scheme sedimentation	Bin-scheme collection
High-aerosol-35-Houston run	Houston	1500	Bin	35 km	Supersaturation prediction	Bin-scheme sedimentation	Bin-scheme collection
Low-aerosol-35-Houston run	Houston	150	Bin	35 km	Supersaturation prediction	MG-scheme sedimentation	MG-scheme collection
High-aerosol-MG-Seoul run	Seoul	5500	MG	500 m	Saturation adjustment	MG-scheme sedimentation	MG-scheme collection
Low-aerosol-MG-Seoul run	Seoul	550	MG	500 m	Saturation adjustment	MG-scheme sedimentation	MG-scheme collection
High-aerosol-MG-Houston run	Houston	1500	MG	500 m	Saturation adjustment	MG-scheme sedimentation	MG-scheme collection
Low-aerosol-MG-Houston run	Houston	150	MG	500 m	Saturation adjustment	MG-scheme sedimentation	MG-scheme collection
High-aerosol-sat-Seoul run	Seoul	5500	Bin	500 m	Saturation adjustment	Bin-scheme sedimentation	Bin-scheme collection
Low-aerosol-sat-Seoul run	Seoul	550	Bin	500 m	Saturation adjustment	Bin-scheme sedimentation	Bin-scheme collection
High-aerosol-	Houston	1500	Bin	500 m	Saturation	Bin-scheme	Bin-scheme

sat-Houston run					adjustment	sedimentation	collection
Low-aerosol- sat-Houston run	Houston	150	Bin	500 m	Saturation adjustment	Bin-scheme sedimentation	Bin-scheme collection
High-aerosol- sed-Seoul run	Seoul	5500	Bin	500 m	Saturation adjustment	MG-scheme sedimentation	Bin-scheme collection
Low-aerosol- sed-Seoul run	Seoul	550	Bin	500 m	Saturation adjustment	MG-scheme sedimentation	Bin-scheme collection
High-aerosol- sed-Houston run	Houston	1500	Bin	500 m	Saturation adjustment	MG-scheme sedimentation	Bin-scheme collection
Low-aerosol- sed-Houston run	Houston	150	Bin	500 m	Saturation adjustment	MG-scheme sedimentation	Bin-scheme collection
High-aerosol- col-Seoul run	Seoul	5500	Bin	500 m	Saturation adjustment	MG-scheme sedimentation	MG-scheme collection
Low-aerosol- col-Seoul run	Seoul	550	Bin	500 m	Saturation adjustment	MG-scheme sedimentation	MG-scheme collection
High-aerosol- col-Houston run	Houston	1500	Bin	500 m	Saturation adjustment	MG-scheme sedimentation	MG-scheme collection
Low-aerosol- col-Houston run	Houston	150	Bin	500 m	Saturation adjustment	MG-scheme sedimentation	MG-scheme collection

FIGURE CAPTIONS

Figure 1. (a) Sea-level pressure (hPa) and (b) 850 hPa wind (m s^{-1} ; arrows), geopotential height (m; contours) and equivalent potential temperature (K; shaded) at 0900 LST July 26th 2011 over the northeast Asia. The rectangles in the Korean Peninsula in panels (a) and (b) mark the center of Seoul. (c) Sea-level pressure (hPa;shaded) and wind at 10 m above sea level (m s^{-1} ; barbs) and (d) convective available potential energy (J kg^{-1}) at 0500 LST 18 July 2013 in and around Houston. The rectangles in panels (c) and (d) mark the center of Houston.

Figure 2. (a) The domain (marked by the rectangle) used in simulations for the Seoul case. The small white circle marks the center of Seoul. (b) The domain used in simulations for the Houston case. The small white circle marks the center of Houston.

Figure 3. Vertical distributions of the time- and domain-averaged cloud liquid content (CLC) for (a) the Seoul case and (b) the Houston case. Solid lines represent simulations at the 500-m resolution, while dashed lines represent those at the 15-km resolution. Dotted lines represent simulations at the 35-km resolution and blue lines represent GFS-simulated CLC.

Figure 4. Same as Figure 3, but for cloud ice content (CIC).

803 Figure 5. Time series of the domain-averaged (a) liquid water path (LWP) and (b) ice water path
804 (IWP) for the Seoul case. Solid lines represent simulations at the 500-m resolution, while dashed and
805 dotted lines represent those at 15- and 35-km resolutions, respectively. Blue lines represent GFS-
806 simulated LWP and IWP and green lines represent observed LWP and IWP.

807

808 Figure 6. Same as Figure 5, but for the Houston case.

809

810 Figure 7. Vertical distributions of the time- and domain-averaged updraft mass fluxes for (a) the Seoul
811 case and (b) the Houston case. Solid lines represent simulations at the 500-m resolution, while dashed
812 lines represent those at the 15-km resolution. Dotted lines represent simulations at the 35-km resolution
813 and blue lines represent GFS-simulated updraft mass fluxes.

814

815 Figure 8. Vertical distributions of the time- and domain-averaged condensation rates for (a) the Seoul
816 case and (b) the Houston case. Solid lines represent simulations at the 500-m resolution, while dashed
817 lines represent those at the 15-km resolution. Dotted lines represent simulations at the 35-km resolution.

818

819 Figure 9. Same as Figure 8, but for deposition rates.

820

821 Figure 10. Distributions of normalized updraft frequency over updraft speeds for (a) the Seoul case
822 and (b) the Houston case. Solid lines represent simulations at the 500-m resolution, while dashed lines
823 represent those at the 15-km resolution. Dotted lines represent simulations at the 35-km resolution.

824
825 Figure 11. Same as Figure 8, but for evaporation rates.

826
827 Figure 12. Distributions of normalized precipitation frequency over precipitation rates for (a) the Seoul
828 case and (b) the Houston case. Solid lines represent simulations at the 500-m resolution, while dashed
829 lines represent those at the 15-km resolution. Dotted lines represent simulations at the 35-km resolution.

830
831 Figure 13. Vertical distributions of the time- and domain-averaged cloud liquid content (CLC) for (a)
832 the Seoul case and (b) the Houston case. Solid red and black lines represent simulations with the bin
833 scheme and at the 500-m resolution, while dashed red and black lines represent the bin-scheme
834 simulations with the saturation adjustment. Solid yellow and green lines represent simulations with the
835 MG scheme.

836
837 Figure 14. Vertical distributions of the time- and domain-averaged cloud liquid content (CLC) for (a)
838 the Seoul case and (b) the Houston case. Solid red and black lines represent simulations with the bin
839 scheme and at the 500-m resolution, while dashed red and black lines represent the bin-scheme

840 simulations with the saturation adjustment and the MG scheme sedimentation process. Solid yellow
841 and green lines represent simulations with the MG scheme.

842

843 Figure 15. Vertical distributions of the time- and domain-averaged cloud liquid content (CLC) for (a)
844 the Seoul case and (b) the Houston case. Solid red and black lines represent simulations with the bin
845 scheme and at the 500-m resolution, while dashed red and black lines represent the bin-scheme
846 simulations with the saturation adjustment and the MG scheme sedimentation and collection processes.
847 Solid yellow and green lines represent simulations with the MG scheme.

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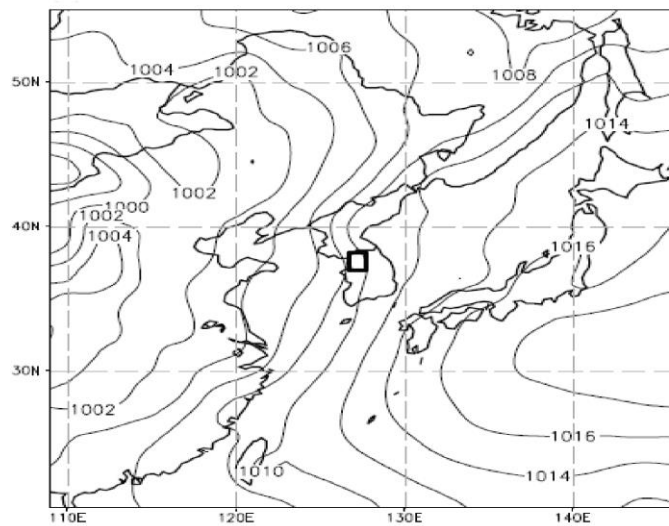
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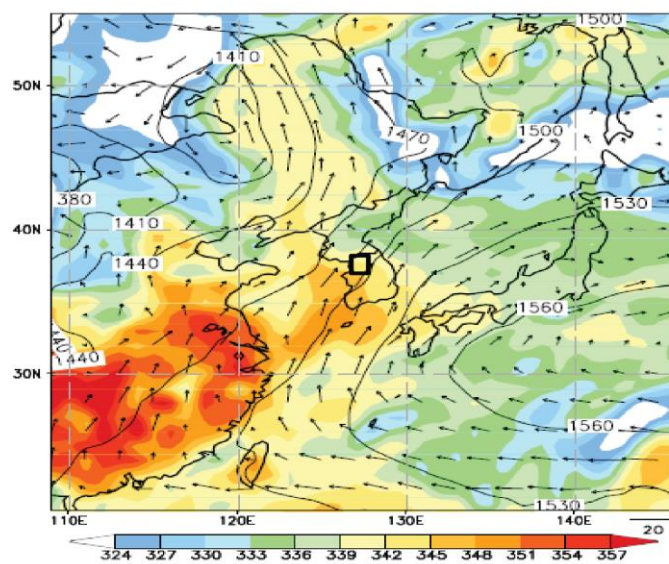
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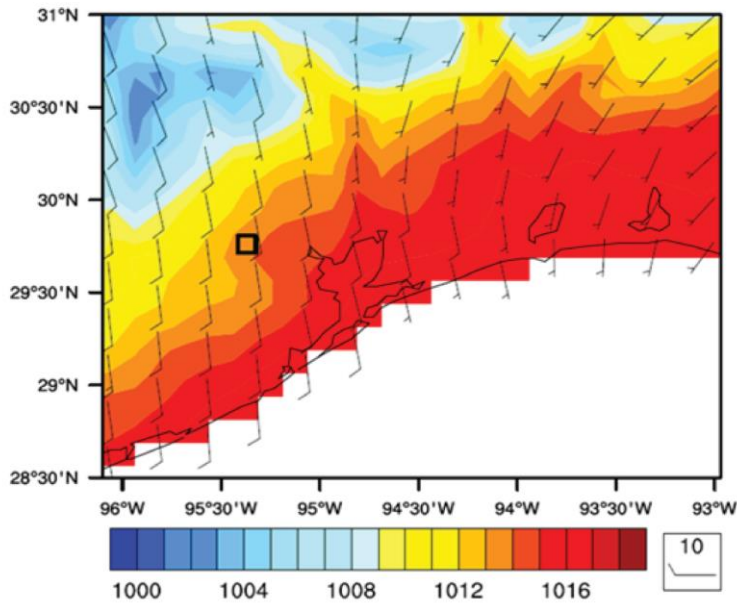
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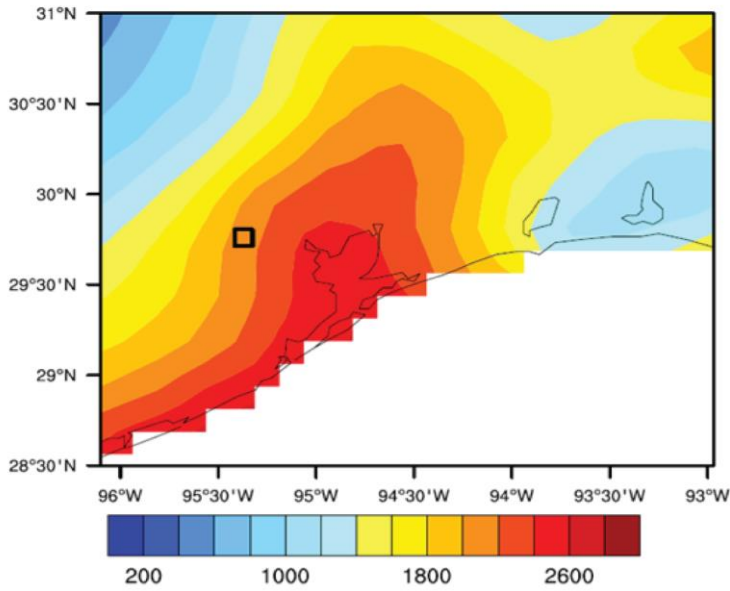
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Figure 1

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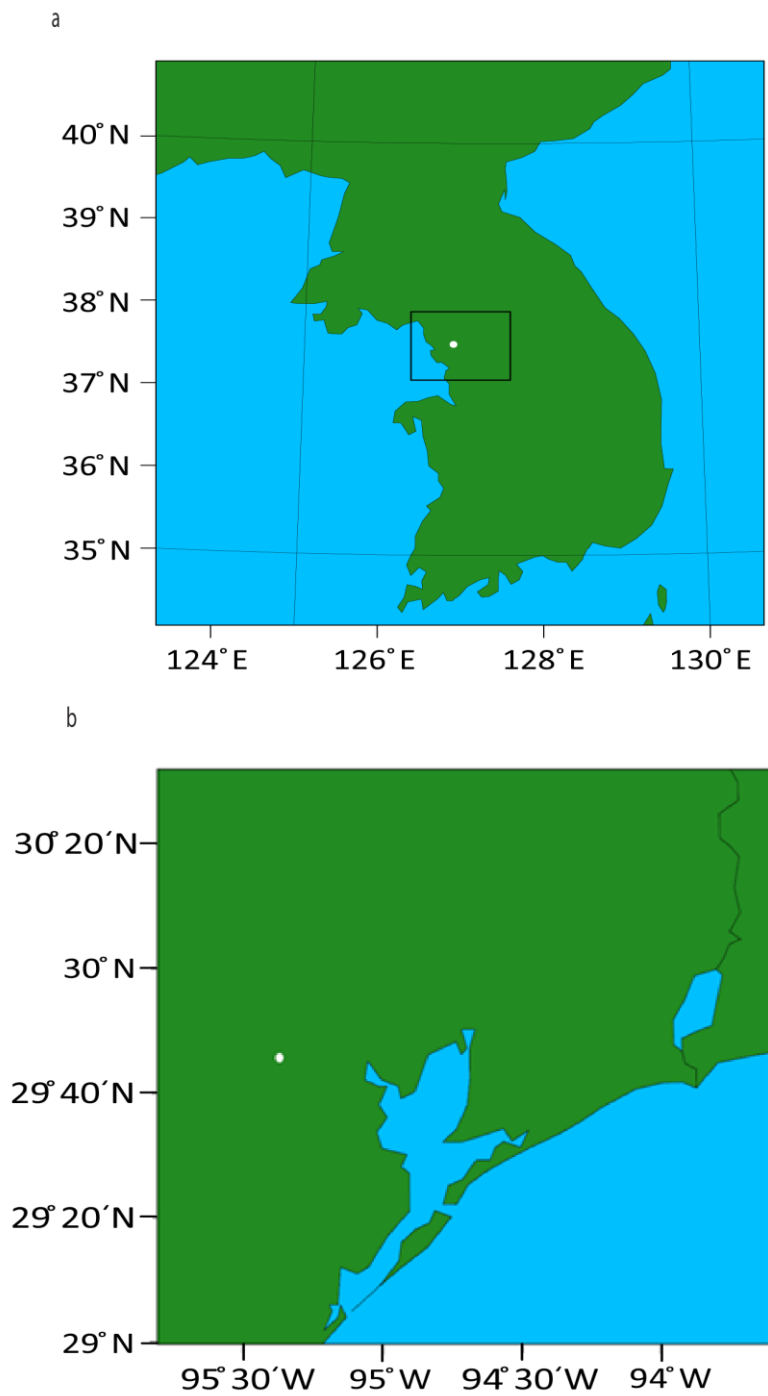
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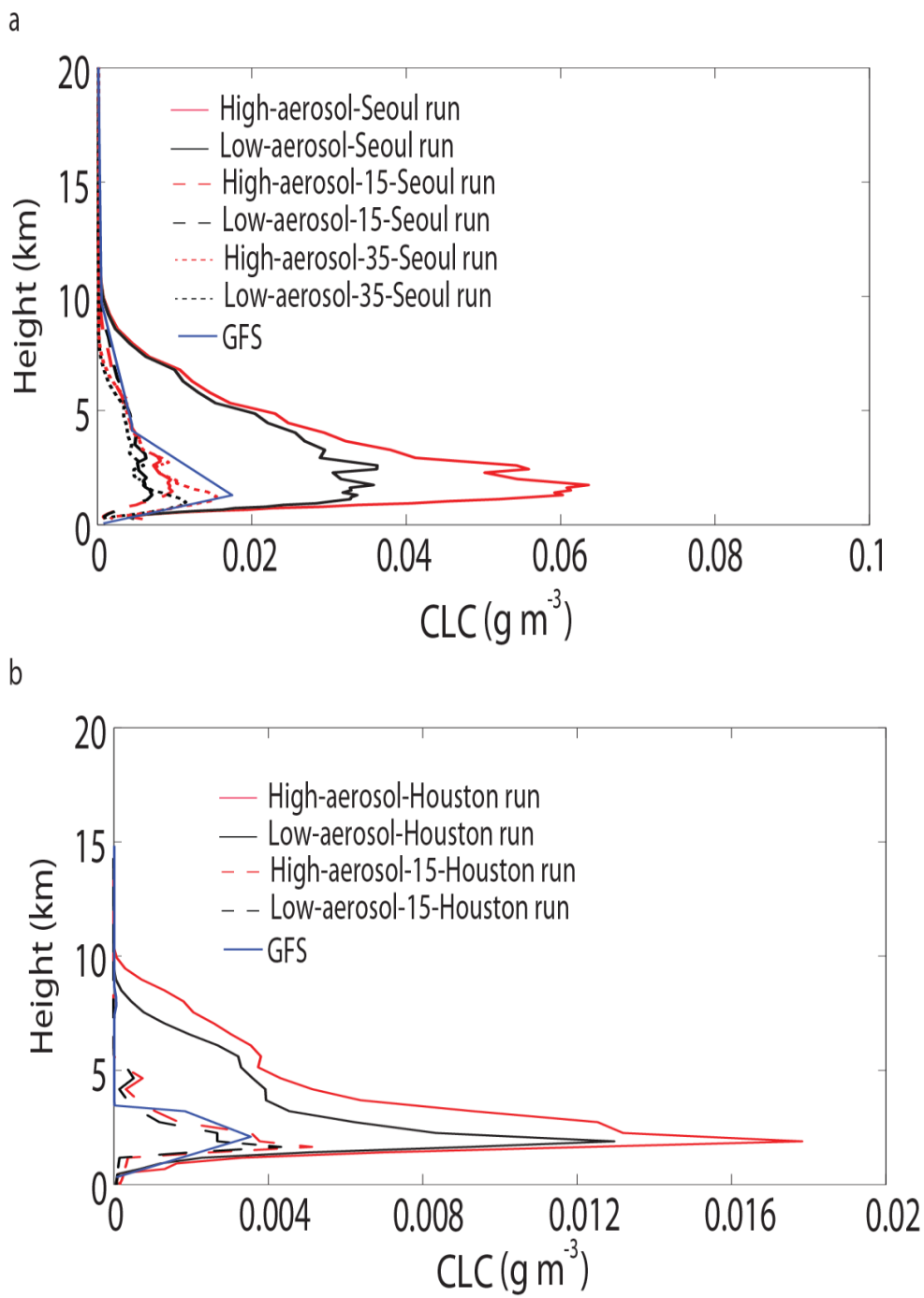


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Figure 1

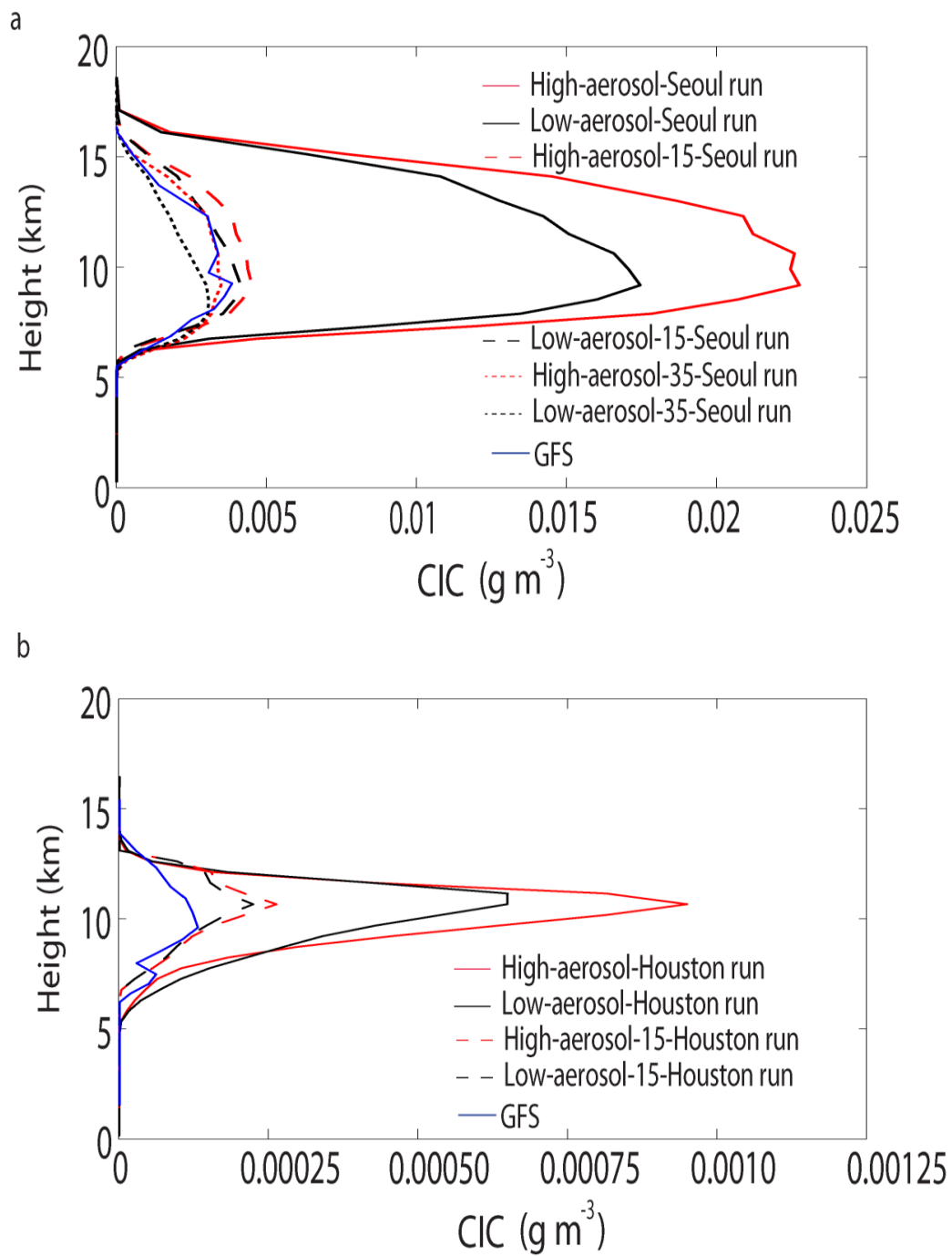
**Figure 2**



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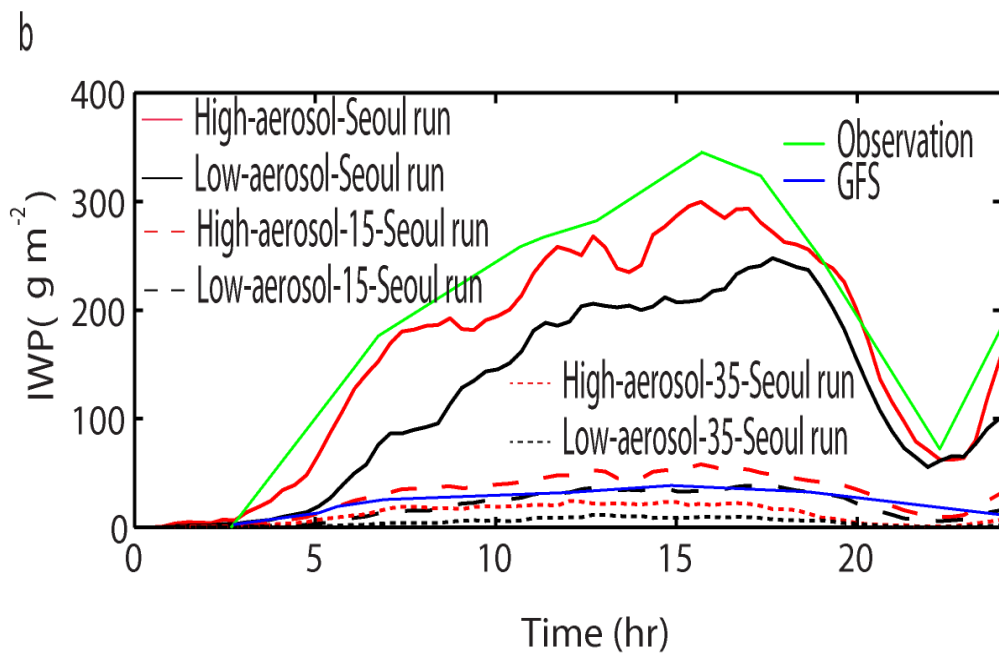
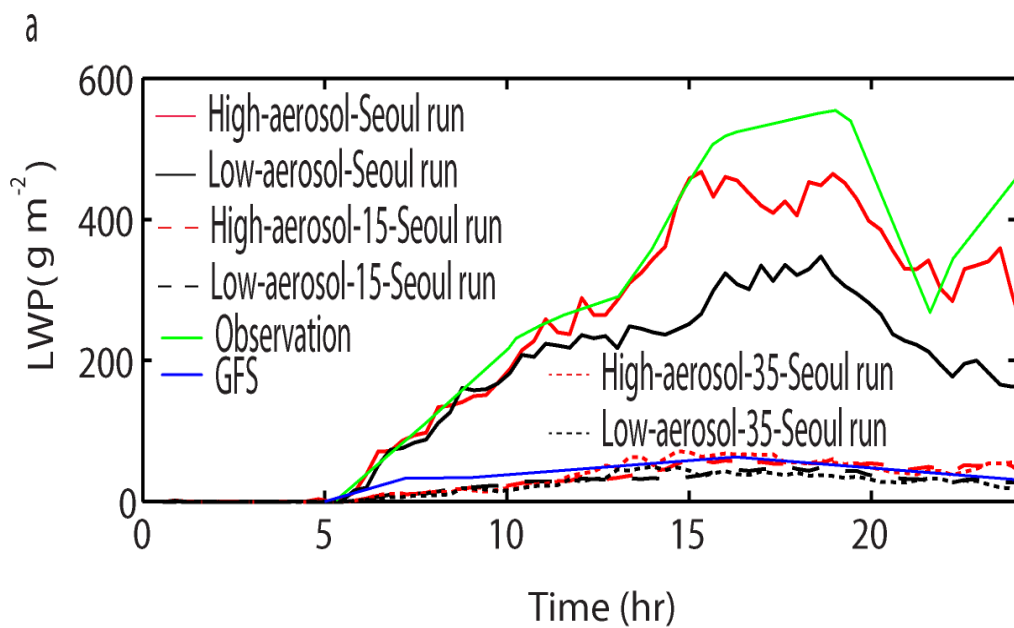
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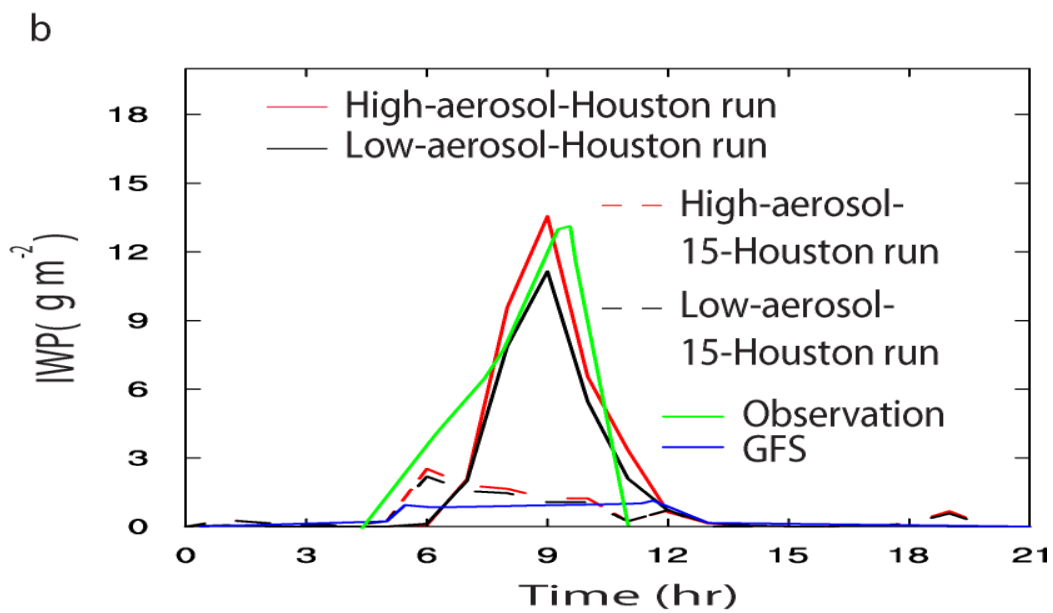
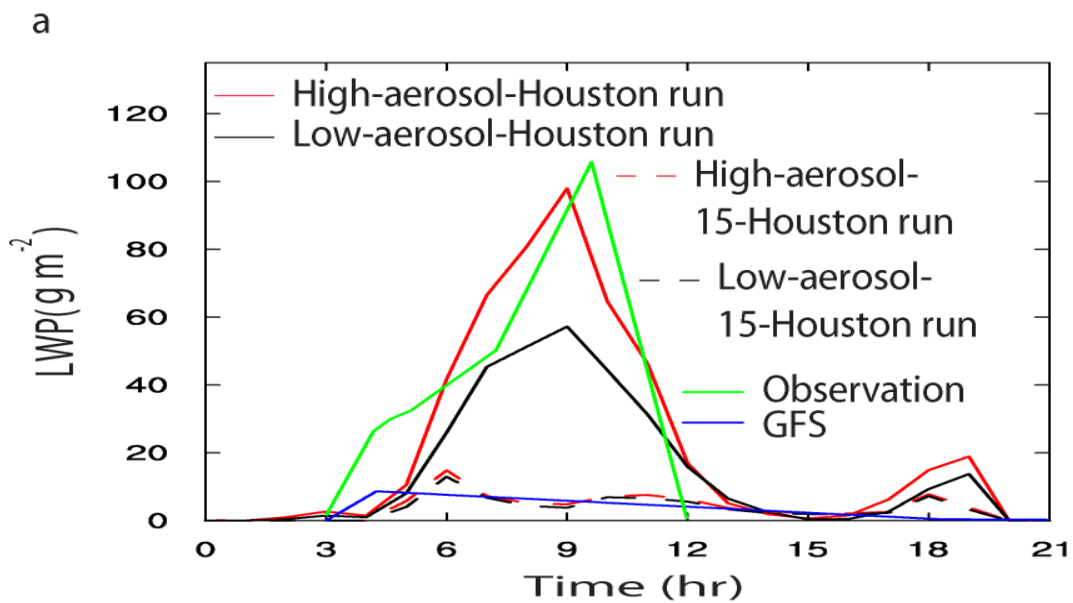
Figure 4



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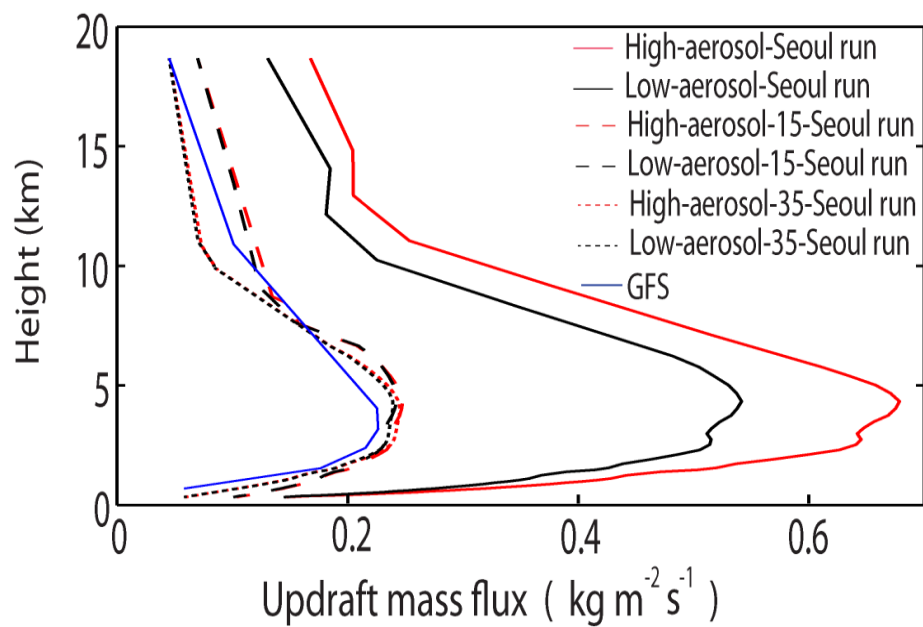
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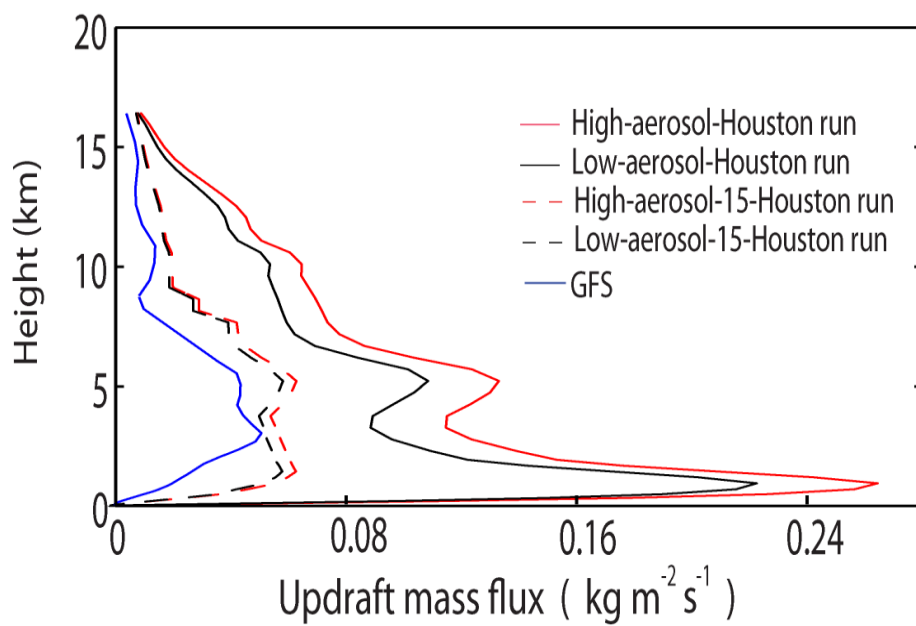
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Figure 6

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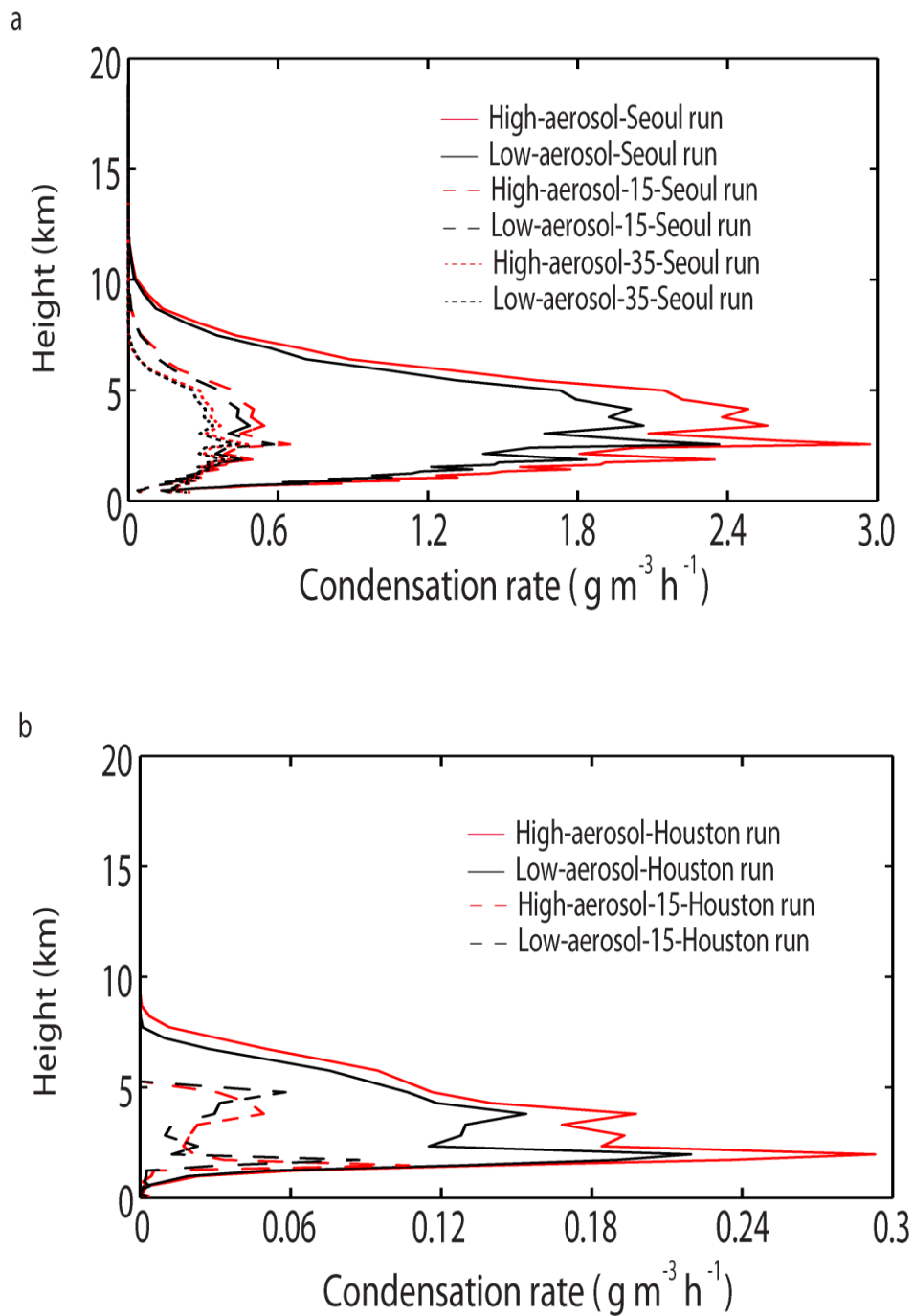
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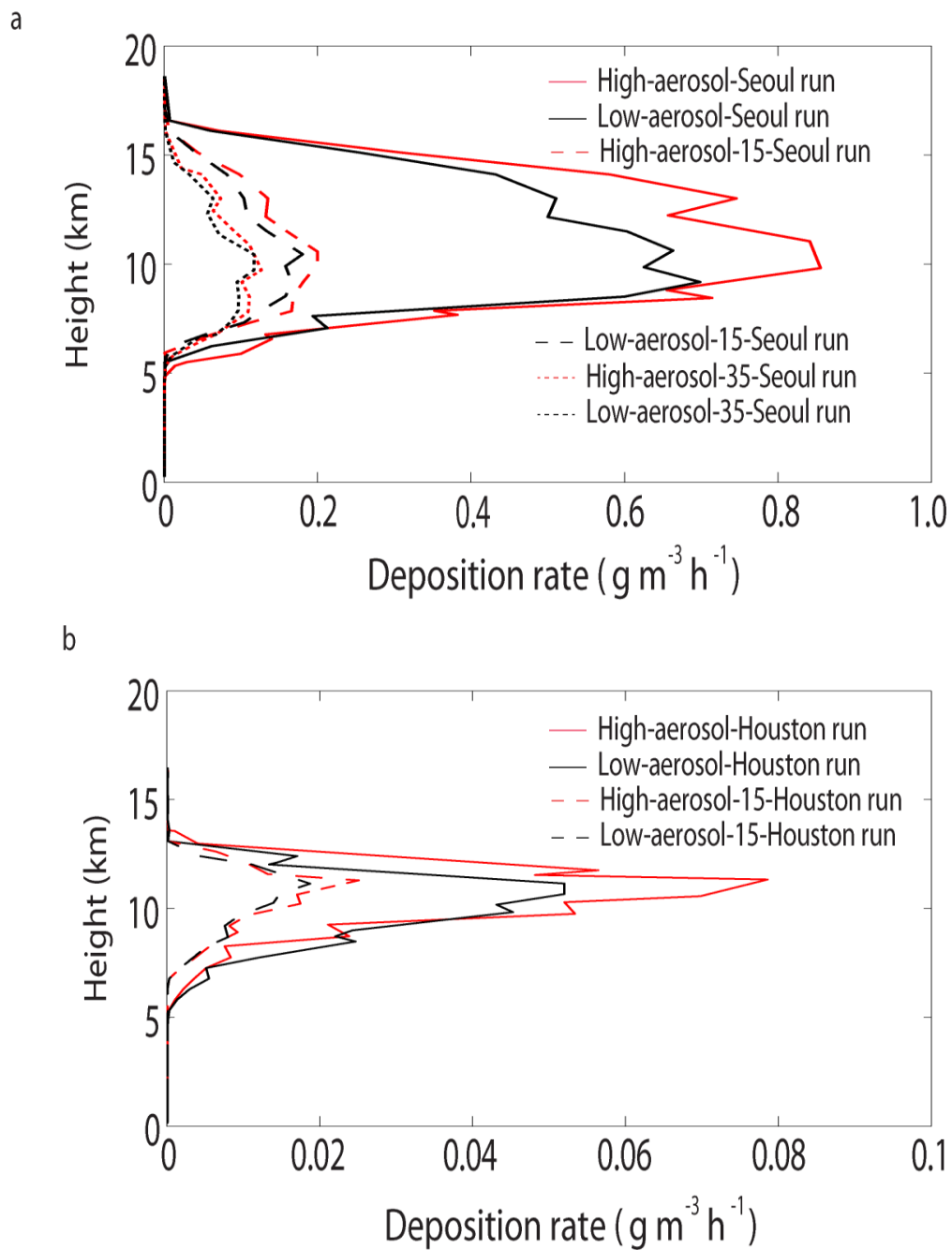
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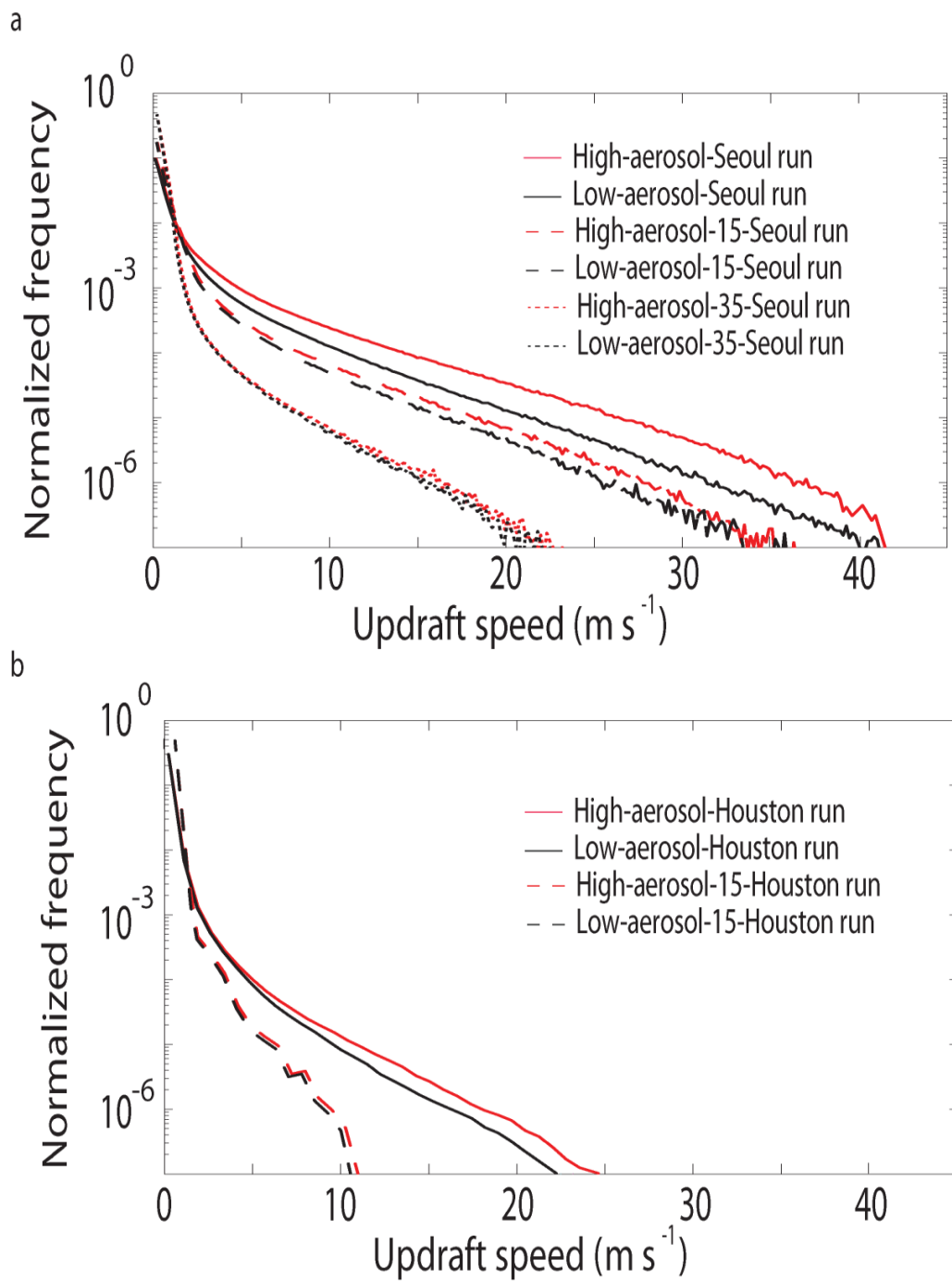
Figure 8



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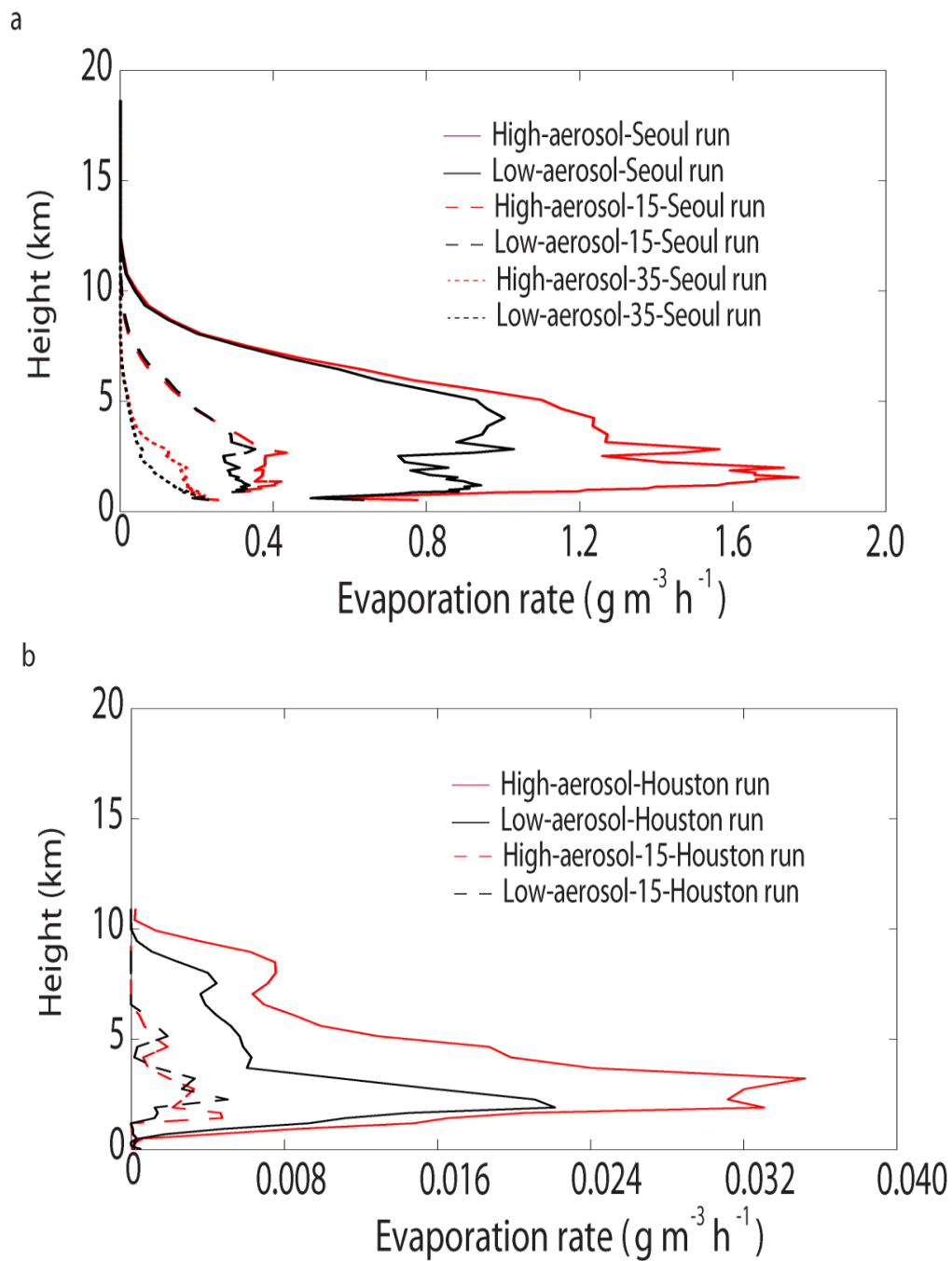
Figure 9



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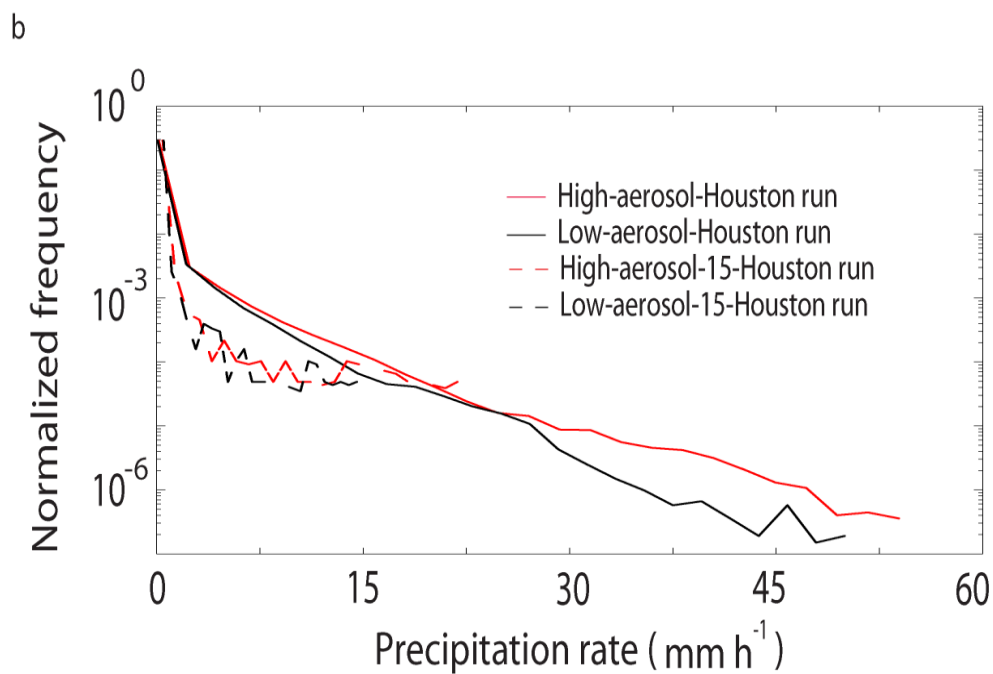
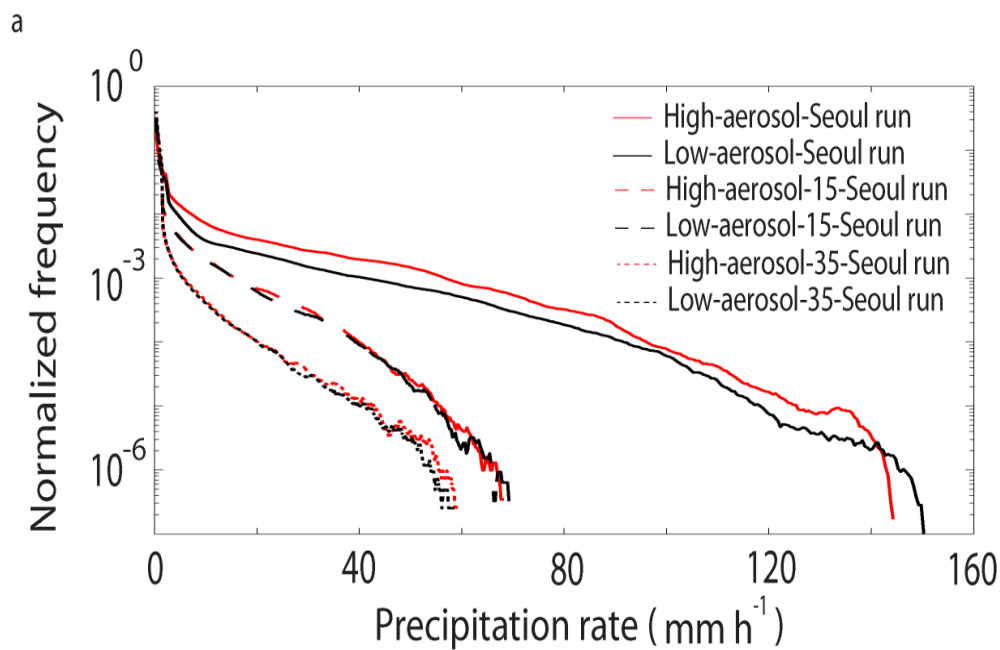
Figure 10



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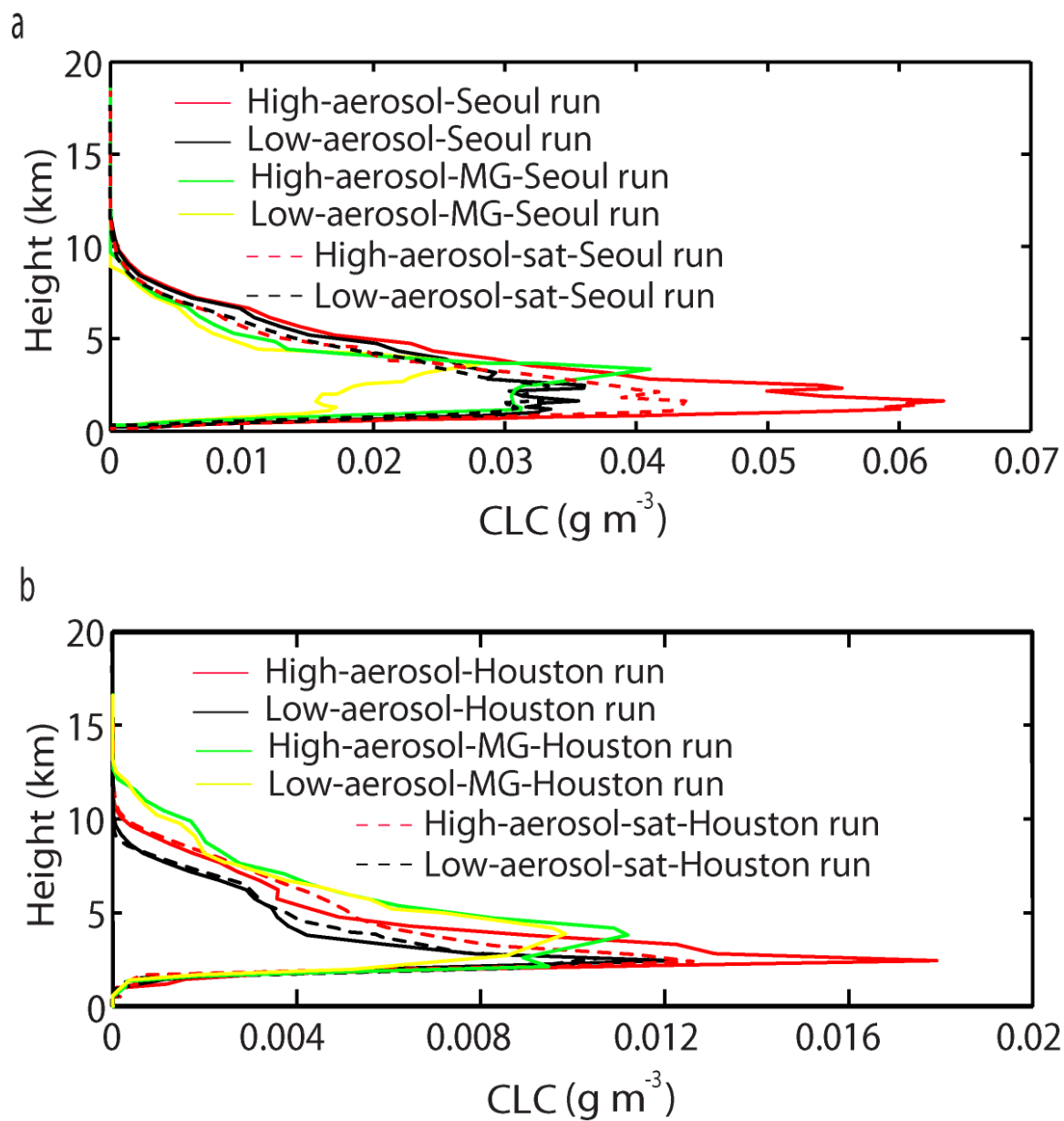
Figure 11



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Figure 12

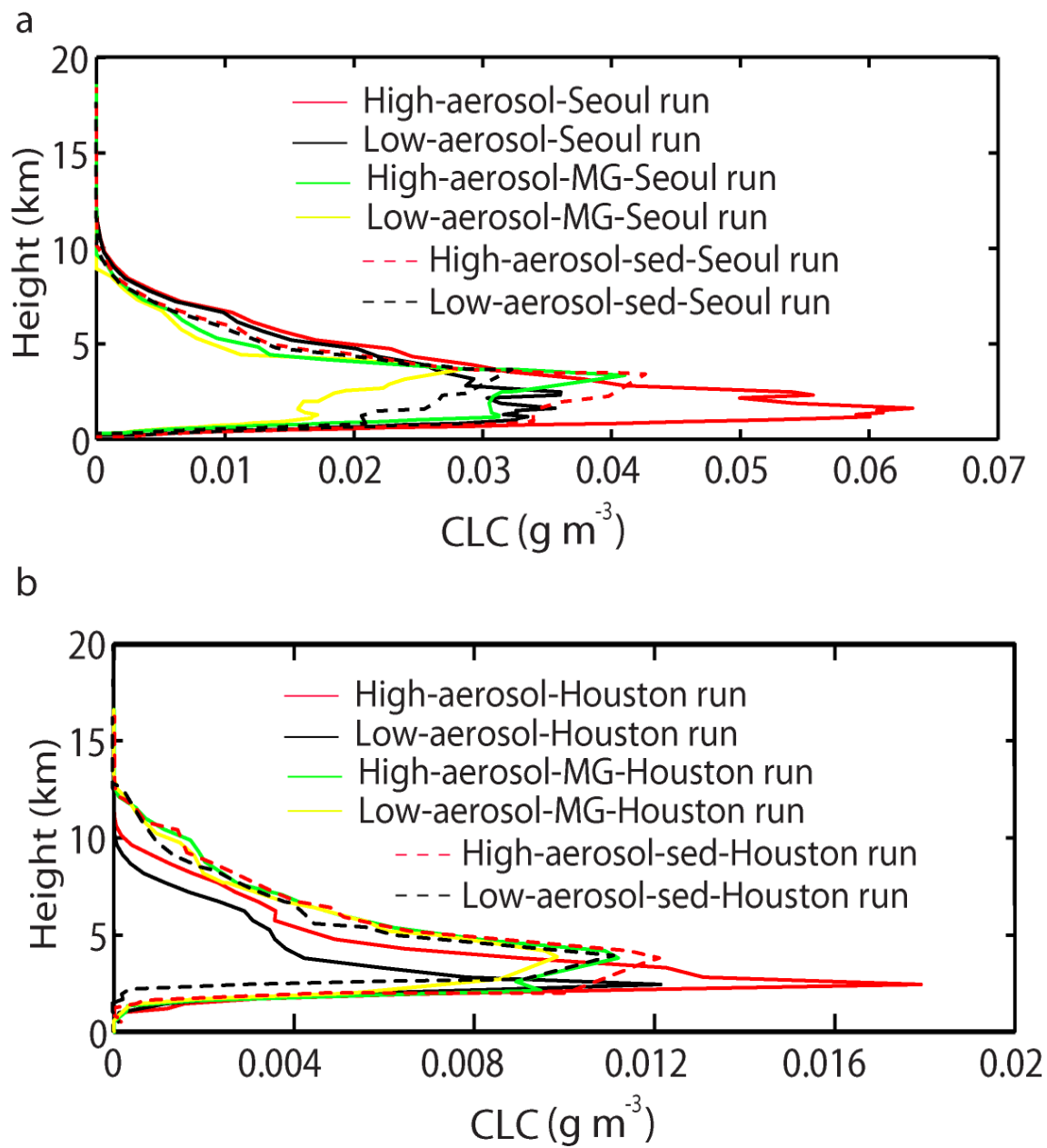


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Figure 13

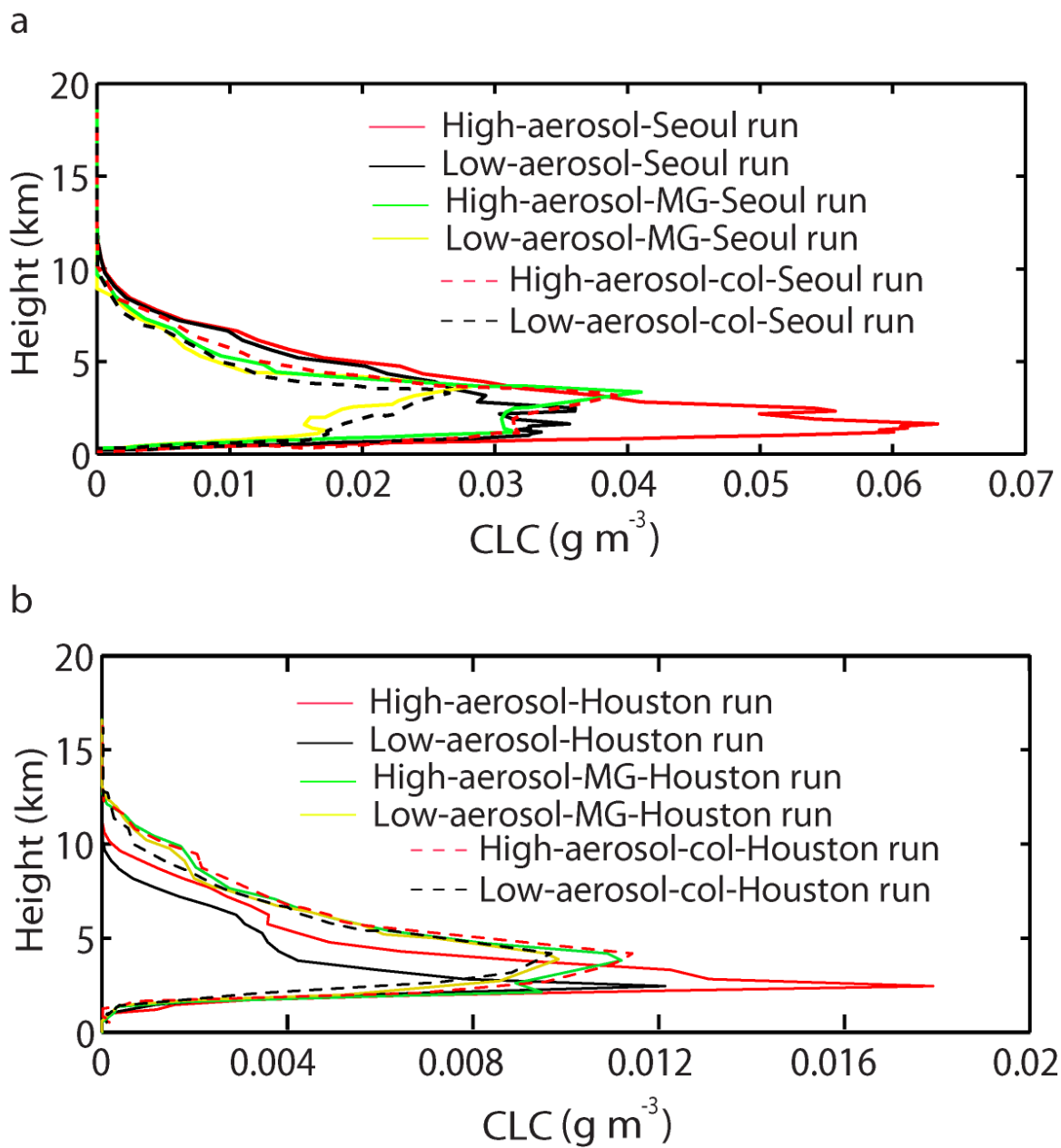


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Figure 14



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Figure 15