



## Meteorological controls on atmospheric particulate pollution during hazard reduction burns

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1 **Abstract.** Internationally, severe wildfires are an escalating problem likely to worsen given  
2 projected changes to climate. Hazard reduction burns (HRB) are used to suppress wildfire  
3 occurrences, but they generate considerable emissions of atmospheric fine particulate  
4 matter, which depending upon prevailing atmospheric conditions, can degrade air quality.  
5 Our objectives are to improve understanding of the relationships between meteorological  
6 conditions and air quality during HRBs in Sydney, Australia. We identify the primary  
7 meteorological covariates linked to high PM<sub>2.5</sub> pollution (particulates < 2.5 µm diameter)  
8 and quantify differences in their behaviours between HRB days when PM<sub>2.5</sub> remained low,  
9 versus HRB days when PM<sub>2.5</sub> was high. Generalised additive mixed models were applied to  
10 continuous meteorological and PM<sub>2.5</sub> observations for 2011-2016 at four sites across  
11 Sydney. The results show that planetary boundary layer height (PBLH) and total cloud cover  
12 were the most consistent predictors of elevated PM<sub>2.5</sub> during HRBs. During HRB days with  
13 low pollution, the PBLH between 00:00 and 07:00 h (local time) was 100-200 m higher than  
14 days with high pollution. The PBLH was similar during 10:00-17:00 h for both low and high  
15 pollution days, but higher after 18:00 h for HRB days with low pollution. Cloud cover,  
16 temperature and wind speed reflected the above pattern, e.g. mean temperatures and wind  
17 speeds were 2 °C cooler and 0.5 m s<sup>-1</sup> lower during mornings and evenings of HRB days  
18 when air quality was poor. These cooler, more stable morning and evening conditions  
19 coincide with nocturnal westerly cold air drainage flows in Sydney, which is associated with  
20 reduced mixing height and vertical dispersion, leading to the build-up of PM<sub>2.5</sub>. These  
21 findings indicate that air pollution impacts may be reduced by altering the timing of HRBs by  
22 conducting them later in the morning (by a matter of hours). Our findings support location-  
23 specific forecasts of the air quality impacts of HRBs in Sydney and similar regions elsewhere.



## 24 **1 Introduction**

25 Many regions experience regular wildfires with the potential to damage property, human  
26 health, and natural resources (Attiwill and Adams, 2013). Internationally, the frequency and  
27 duration of wildfires are predicted to increase by the end of the century (e.g. Westerling et  
28 al., 2006; Flannigan et al., 2013). Wildfire frequency and duration have increased in western  
29 North America since the 1980s (Westerling, 2016). Their frequencies have also increased in  
30 south-eastern Australia over the last decade (Dutta et al., 2016), with a predicted 5-25 %  
31 increase in fire risk by 2050 relative to 1974-2003 (Hennessy et al., 2005), a risk  
32 compounded by climate change (Luo et al., 2013). In an effort to mitigate the escalating  
33 wildfire risk, fire agencies in Australia, as is the case internationally, conduct planned hazard  
34 reduction burns (HRBs; also known as prescribed or controlled burns). HRBs reduce the  
35 vegetative fuel load in a controlled manner and aim to lower the severity or occurrence of  
36 wildfires (Fernandes and Botelho, 2003).

37 Both wildfires and HRBs generate significant amounts of atmospheric emissions such  
38 as particulate matter (PM), which can impact urban air quality (Keywood et al., 2013;  
39 Naeher et al., 2007; Weise et al., 2015), and consequently public health (Morgan et al.,  
40 2010; Johnston et al., 2011). Of particular concern are fine particulates with a diameter of  
41 2.5  $\mu\text{m}$  or less, 'PM<sub>2.5</sub>'. Increased PM<sub>2.5</sub> concentrations are related to health effects including  
42 lung cancer (Raaschou-Nielsen et al., 2013) and cardiopulmonary mortality (Cohen et al.,  
43 2005). These impacts can be more severe for vulnerable groups, like the young (Jalaludin et  
44 al., 2008), elderly (Jalaludin et al., 2006) and individuals with respiratory conditions  
45 (Haikerwal et al., 2016).

46 Sydney, located in the south-eastern Australian state of New South Wales (NSW), is  
47 the focus of this study because HRBs make a significant contribution to PM pollution in this  
48 city and the surrounding metropolitan region (Office of Environment and Heritage, 2016).  
49 Sydney is Australia's largest city with 4.9M inhabitants (ABS, 2016). Approximately 130,911  
50 ha in NSW was treated by HRBs during 2014-15 (RFS, 2015) and this figure is projected to  
51 increase annually (NSW Government, 2016). Smoke events between 1996 and 2007 in  
52 Sydney attributed to wildfires or HRBs were associated with an increase in emergency  
53 department attendances for respiratory conditions (Johnston et al., 2014). Hence, a  
54 potential consequence of HRBs is that Sydney's population experiences poor air quality and



55 its associated health impacts (Broome et al., 2016). Furthermore, the eastern Australian fire  
56 season is projected to start earlier by 2030 under future climate change (Office of  
57 Environment and Heritage, 2014). This could restrict the period within which HRBs can  
58 occur, potentially exposing populations to particulates over more concentrated time-  
59 frames.

60 Sydney is located in a subtropical, coastal basin bordered by the Pacific Ocean to the  
61 east and the Blue Mountains 50 km to the north-west (elevation 1189 m, Australian Height  
62 Datum). Its air quality is influenced by mesoscale circulations, such as terrain-related  
63 westerly drainage flows in the evening, and early morning, easterly sea breezes in the  
64 afternoon (Hyde et al., 1980). These processes interact with synoptic-scale high-pressure  
65 systems (Hart et al., 2006). A recent study by Jiang et al. (2016b) further examined how  
66 synoptic circulations influence mesoscale meteorology and subsequently air quality in  
67 Sydney. The results showed that smoke generated by wildfires and HRBs makes a significant  
68 contribution to elevated PM levels in Sydney, in particular, under a combined effect of  
69 typical synoptic and mesoscale conditions conducive to high air pollution. However, analysis  
70 of the local (i.e. city-scale) meteorological processes that influence air quality during HRBs  
71 is still sparse. Previous research focusing on a single site in Sydney found that  $PM_{2.5}$   
72 concentrations were higher during stable atmospheric conditions and on-shore (easterly)  
73 winds (Price et al., 2012). Elsewhere,  $PM_{2.5}$  concentration was mainly influenced by the  
74 receptor-to-burn distance and wind hits during HRBs (Pearce et al., 2012). We therefore  
75 have three aims: 1. summarise the temporal variation in  $PM_{2.5}$  concentrations in Sydney and  
76 how this relates to HRB occurrences; 2. characterise  $PM_{2.5}$  pollution sensitivities to  
77 meteorological and HRB variables to identify the primary covariates connected to high  
78 pollution; 3. identify the differences in covariate behaviours between HRB days when  $PM_{2.5}$   
79 pollution is low, versus burn days when pollution is high. Achieving these aims will help  
80 efforts to forecast the air pollution impacts of HRBs in Sydney, and more broadly, in  
81 Australia or elsewhere in the world.



## 82 **2 Data**

### 83 **2.2 Meteorological, air quality and temporal variables**

84 Continuous time series of hourly meteorology and  $\text{PM}_{2.5}$  ( $\mu\text{g m}^{-3}$ ) observations between  
85 January 2005 and August 2016 inclusive were obtained from four air quality monitoring  
86 stations (Chullora, Earlwood, Liverpool and Richmond) in the NSW Office of Environment  
87 and Heritage (OEH) network in Sydney (Fig. 1). Monitoring stations are located at varying  
88 elevations and in semi-rural, residential and commercial areas (Table 1). These four  
89 locations were chosen because they have the longest, uninterrupted record of  $\text{PM}_{2.5}$   
90 measurements in Sydney. Prior to 2012  $\text{PM}_{2.5}$  was measured using tapered element  
91 oscillating microbalance (TEOM) systems. Since 2012 beta attenuation monitors (BAM) have  
92 been used to measure  $\text{PM}_{2.5}$ . Although there appear to be effects from instrument change,  
93 such effects are generally small if compared to the daily-to-day or hourly fluctuations in  
94  $\text{PM}_{2.5}$  levels.

95 To compare how  $\text{PM}_{2.5}$  concentrations varied over daily and monthly timescales, we  
96 also obtained hourly measurements of  $\text{PM}_{10}$  ( $\mu\text{g m}^{-3}$ ), nitrogen dioxide ( $\text{NO}_2$ ) (parts per  
97 hundred million - pphm) and oxides of nitrogen ( $\text{NO}_x$ ) (pphm) from these stations.  
98 Meteorological variables included in our analyses were: surface wind speed ( $\text{m s}^{-1}$ ), wind  
99 direction ( $^\circ$ ), surface air temperature ( $^\circ\text{C}$ ) and relative humidity (%). Hourly global solar  
100 radiation ( $\text{W m}^{-2}$ ) data were available at the Chullora station only, but were subsequently  
101 omitted as a predictive variable (see: 3.3.1 Model selection).

102 Hourly total cloud cover (okta) and mean sea level pressure (MSLP; hPa) were  
103 obtained from the Australian Bureau of Meteorology (BoM) Sydney Airport weather station  
104 (WMO station number 94767). These are included as covariates in models for the four  
105 monitoring sites. Twenty-four hour rainfall totals (mm) were approximated for each OEH  
106 station from the BoM weather station that is nearest (Fig. 1).

107 Given its role in the turbulent transport of air pollutants (Seidel et al., 2010; Pal et  
108 al., 2014; Sun et al., 2015; Miao et al., 2015), we included planetary boundary layer height  
109 (PBLH) as an explanatory variable. PBLH has previously been derived from observational  
110 meteorological data by Du et al. (2013) and Lai (2015), using a method which they found  
111 was an effective estimate of the PBLH and its relationship with PM concentrations. Although  
112 direct PBLH measurements would be ideal, these are unavailable for the study domain at



113 appropriate spatial and temporal resolutions. Hence, we derived PBLH estimates at the  
114 location of each monitoring station from a subset of the meteorological data following the  
115 method used by the above authors (Eq. (1) and Eq. (2)).

$$PBLH = \frac{121}{6} (6 - s)(t - td) + \frac{0.169s(ws + 0.257)}{12f \ln\left(\frac{h}{l}\right)} \quad (1)$$

116

$$f = 2\Omega \sin \theta \quad (2)$$

117

118 where  $s$  is a stability class that estimates lateral and vertical dispersion;  $t$  is surface air  
119 temperature and  $td$  is surface dew point temperature (approximated for the location of  
120 each station using the method proposed by Lawrence (2005));  $ws$  is wind speed;  $h$  is wind  
121 speed altitude in m for a given monitoring station;  $l$  is the station's estimated surface  
122 roughness index,  $f$  is the Coriolis parameter in  $s^{-1}$ ;  $\Omega$  is the earth's rotational speed ( $rad\ s^{-1}$ )  
123 and  $\theta$  is the station latitude. The stability typing scheme was based on the Pasquill-Gifford  
124 (P-G) stability categories (Turner, 1964), via a turbulence-based method using the standard  
125 deviation of the azimuth angle of the wind vector and scalar wind speed.

126 We calculated the 24-hour mean for hourly meteorological and  $PM_{2.5}$   
127 measurements, where wind direction was vector-averaged (i.e. averaging the  $u$  and  $v$  wind  
128 components). Log-transformations were applied to  $PM_{2.5}$  and rainfall. Applying  
129 transformations to the remaining explanatory variables did not greatly reduce  
130 heterogeneity.

131 Temporal variables trialled for inclusion in analyses included day of the year,  
132 weekday, week, month (all representing different seasonal terms) and year (because air  
133 quality varies from year to year). A Julian date variable was incorporated to represent the  
134 longer-term trend in  $PM_{2.5}$  concentrations.

### 135 2.3 Burns

136 Historical records of HRBs conducted between January 2005 and August 2016 in NSW were  
137 obtained from the NSW Rural Fire Service (RFS), the firefighting agency responsible for the  
138 general administration of HRBs. There were a total of 9200 fire polygons in this data set  
139 prior to data conditioning (see: 3 Methods). HRBs are conducted predominantly in Autumn  
140 and Spring, and often at weekends, typically, with burns lit in the early morning. Most



141 historical HRBs have occurred to the west and north-west of Sydney (Fig. 2). Additional  
142 predictive variables derived from the HRB data (all daily values) were: total number of  
143 burns, total burn surface area (ha), median burn elevation (m), median fire duration (days)  
144 and median fire distance from the geographic centre of the monitoring stations (km).

145 It is important to note that other potential sources of  $PM_{2.5}$  emissions in Sydney  
146 include motor vehicles, soil erosion and occasional dust storms. Use of domestic wood-fired  
147 heaters can also make a substantial contribution to  $PM_{2.5}$  concentrations during Winter  
148 months (when HRBs are generally not conducted). However, between 2011 and 2016,  
149 average  $PM_{2.5}$  air quality index (AQI) values were higher on days when either HRBs or  
150 wildfires occurred relative to days when there were no fires (Fig. 3).

## 151 **3 Methods**

### 152 **3.1 Statistical approach: generalised additive mixed models**

153 Generalized additive models (GAMs) (Hastie and Tibshirani, 1990) offer an appropriate  
154 approach with respect to air quality research because relationships between covariates are  
155 often non-linear, an issue which can be addressed within the GAM framework. In addition  
156 to the seasonal pattern of hazard reduction burning,  $PM_{2.5}$  concentrations in Sydney also  
157 show daily, monthly, seasonal and annual variation. Adding terms to a GAM to account for  
158 these temporal variations fails to deal with residual autocorrelation completely, as is  
159 evident in the autocorrelation function (ACF) of the residuals (Fig. S1, Supplementary  
160 Material). Given the residual autocorrelation and non-independence of the data, we used a  
161 generalised additive mixed modelling (GAMM) approach to take account of the seasonal  
162 variation and trends in the data. GAMMs can combine fixed and random effects and enable  
163 temporal autocorrelation to be modelled explicitly (Wood, 2006). We assumed a Gaussian  
164 distribution and used an identity link function. Cubic regression splines were used for all  
165 predictors except wind direction and day of year which used cyclic cubic regression splines,  
166 because there should be no discontinuity between values at their end points. Experimenting  
167 with alternative smooth classes did not drastically affect model results or diagnostics.  
168 Smoothing parameters were chosen via restricted maximum likelihood (REML). We  
169 implemented GAMMs with a temporal residual auto-correlation structure of order 1 (AR-1).  
170 More complex structures (e.g. auto-regressive moving average models; ARMA) of varying



171 order or moving average parameters produced marginally higher Akaike information criteria  
172 (AICs) (e.g. mean = 259.6) than models with AR-1 auto-correlation (mean AIC = 259.02).  
173 Omitting a correlation structure entirely produced the largest AICs (mean AIC = 279.5). In all  
174 cases, the AR models for the residuals were nested within month (nesting within week and  
175 year was also trialled, but produced higher AICs). Auto-correlation plots obtained by  
176 applying the GAMMs using the AR-1 structure showed that short-term residual  
177 autocorrelation in the residuals had been removed relative to using GAMs (Fig. S1-2 in  
178 Supplementary Material).

### 179 **3.2 PM<sub>2.5</sub> trend estimates, monthly and daily means**

180 We first used the GAMM framework to estimate the annual trend in the weekly mean  
181 concentrations of PM<sub>2.5</sub> for 2005–2015, split by season, with Julian day as the only predictor.  
182 Monthly and daily mean PM<sub>2.5</sub>, PM<sub>10</sub>, NO<sub>2</sub> and NO<sub>x</sub> concentrations for all years were also  
183 compared to assess how concentrations of each pollutant varied with these timescales. The  
184 latter analyses were performed using *R* software for statistical computing (R Development  
185 Core Team, 2015) and the *openair* package (Carslaw and Ropkins, 2012). The annual trend  
186 and subsequent statistical analyses described below were performed using *R* software and  
187 packages *mgcv* (Wood, 2011) and *nlme* (Pinheiro et al., 2017).

### 188 **3.3 Identifying the meteorological and burn variables related to elevated PM<sub>2.5</sub>**

189 To assess how PM<sub>2.5</sub> concentrations vary in relation to the meteorological, burn and  
190 temporal variables, the GAMMs were applied to each monitoring site separately and  
191 focused on the period January 2011-August 2016. There were comparatively fewer HRBs  
192 conducted prior to 2011, hence the choice of this timeframe. For each station, we split the  
193 data into two subsets: 1) for all days when HRBs were conducted and the PM<sub>2.5</sub>  
194 concentration was less than the median PM<sub>2.5</sub> concentration for the location in question,  
195 ‘low pollution days’; 2) for all HRB days when the PM<sub>2.5</sub> concentration was greater than the  
196 median value for the location in question, ‘high pollution days’ (the minimum/maximum  
197 number of observations in each low/high subset was in the range 179-189). The time series  
198 were conditioned in this manner to better characterise the differences in covariate  
199 behaviours between burn days when pollution remains low versus burn days and elevated  
200 PM<sub>2.5</sub>. Since our focus is specifically on PM<sub>2.5</sub> concentrations during HRBs, days when  
201 wildfires had occurred were excluded.



### 202 3.3.1 Model selection

203 Using the GAMM framework described above, we started with a model where the fixed  
204 component included all predictive variables. We used variance inflation factors (VIF) to test  
205 variables for collinearity (Zuur et al., 2010). We sequentially dropped covariates with the  
206 highest VIF and recalculated the VIFs, repeating this process until all VIFs were smaller than  
207 a threshold of 3.5. Following this process, explanatory variables were dropped from the  
208 initial model if they were not statistically significant in any case. As a result, global solar  
209 radiation, relative humidity, burn elevation, burn duration, weekday, week and year were  
210 excluded.

211 An intermediate model included HRB distance as a covariate. This revealed that  
212 beyond a maximum distance of approximately 300 km from monitoring sites, the influence  
213 of HRBs on air quality appears negligible (Fig. S3, Supplementary Material). Subsequent  
214 models excluded burn distance and burns > 300 km from the geographic mean centre of the  
215 monitoring stations. Hence, the fixed component of our optimal model used the following  
216 predictors: PBLH, MSLP, temperature, total cloud cover, rainfall, wind speed, wind direction,  
217 number of burns per day, total area burnt per day, day of year and Julian day.

### 218 3.4 Diurnal variation in relation to elevated PM<sub>2.5</sub>

219 Meteorological covariates relevant to high PM<sub>2.5</sub> concentrations were identified via the  
220 GAMMs based on criteria of statistical significance at more than one location, or where the  
221 influence of covariates on PM<sub>2.5</sub> showed a marked distinction between pollution conditions.  
222 We then used the hourly meteorological data for these select covariates to compare their  
223 mean diurnal variation on burn days with low versus high pollution. The 95 % confidence  
224 intervals of these diurnal means were calculated using bootstrap re-sampling.

## 225 4 Results

### 226 4.1 Temporal variation in PM<sub>2.5</sub> concentrations

227 There is an increasing inter-annual trend in weekly mean PM<sub>2.5</sub> concentrations in all seasons  
228 during 2011 to 2015, especially in summer and winter (Fig. 4). Mean PM<sub>2.5</sub> concentrations  
229 range from 6 - 10 µg m<sup>-3</sup>. Mean monthly PM<sub>2.5</sub> averaged over all years shows increasing  
230 concentrations from early autumn (March), peaking in May, then decreasing towards the



231 end of winter, before increasing again from early spring (Fig. 5a). Notably, mean daily  $PM_{2.5}$   
232 concentrations (averaged over all years) are higher at weekends relative to other pollutants  
233 ( $PM_{10}$ ,  $NO_2$  and  $NO_x$ ; Fig. 5b).

#### 234 **4.2 Meteorological and burn variables related to $PM_{2.5}$**

235 Adjusted  $R^2$  values for high pollution models were between 0.40 and 0.56, and between  
236 0.35 and 0.50 for the low pollution models (Table 2). PBLH and total cloud cover were the  
237 most consistent predictors of elevated  $PM_{2.5}$  during HRBs (Table 2). On high pollution days,  
238 PBLH had a statistically significant, negative influence on predicted  $PM_{2.5}$  concentrations at  
239 all locations (Fig. 6). This influence was generally more linear on high pollution days, relative  
240 to low pollution days. Notably, fitted curves for  $PM_{2.5}$  – PBLH were steeper at lower altitudes  
241 (< 800 m) in the high pollution condition. Cloud cover had a negative influence on predicted  
242  $PM_{2.5}$  concentrations that was significant in all but one case (Table 2), though fitted curves  
243 do not appear to differ noticeably between pollution conditions (Fig. 7). Although  
244 temperature and wind speed showed a more variable pattern of statistical significance  
245 (Table 2), they exhibited marked differences in behaviour between low and high pollution  
246 days. During high pollution at Richmond and Chullora, temperature had a negative,  
247 curvilinear influence on fitted  $PM_{2.5}$  values (Fig. 8). This negative influence reverses at  
248 temperatures > 20 °C. In contrast, the  $PM_{2.5}$  – temperature relationship was weak and linear  
249 during low pollution days. Wind speed had a significant influence on  $PM_{2.5}$  only at Earlwood  
250 (Table 2). During low pollution days, this association is negative, whereas on high pollution  
251 days there is a positive influence on  $PM_{2.5}$  at low wind speeds which reverses at speeds  
252 above 2 m s<sup>-1</sup> (Fig. 9). During HRBs and high pollution, wind direction curves show peaks at  
253 approximately 150 degrees at Liverpool (south-easterly flows), and also increase between  
254 ca. 230 and 300 degrees at Liverpool and Earlwood (south-westerly to north-westerly flows)  
255 (Fig. 10). Earlwood frequently experiences north-westerly flows during Spring, Autumn and  
256 Winter, whilst south-westerly flows are common during the same seasons at Liverpool (Fig.  
257 S4, Supplementary Material).

258 The remaining meteorological predictors either did not show marked differences  
259 between pollution conditions or were statistically significant in only one instance. Rainfall  
260 generally had a negative influence on  $PM_{2.5}$  during HRBs (Fig. S5, Supplementary Material).  
261 MSLP had a positive association with higher  $PM_{2.5}$  concentrations during low and high



262 pollution (Fig. S6, Supplementary Material), though this association was only significant  
263 during high pollution at Richmond (Table 2).

264 HRB frequency had a significant and positive influence on  $PM_{2.5}$  only for the high  
265 pollution condition (Table 2 and Fig. 11), whereas the influence of burn area was negligible  
266 in all cases. Curve gradients for day of year start increasing at day ninety (autumn) during  
267 high pollution days, however, this predictor was significant in only one instance (Fig. S7,  
268 Supplementary Material). The influence of Julian day on  $PM_{2.5}$  showed significant non-linear,  
269 increasing trends in all instances.

#### 270 **4.3 Differences in covariate behaviours on HRB days with low versus high $PM_{2.5}$**

271 Having identified the most informative and consistent meteorological predictors using the  
272 GAMMs, we compared their mean diurnal variation during the occurrence of HRBs and low  
273 versus high  $PM_{2.5}$  pollution:

##### 274 *4.3.1 PBLH*

275 Taking Liverpool as an example, between 00:00 and 07:00 h during low pollution days when  
276 HRBs have occurred, the PBLH is on average 100-200 m higher than during high pollution  
277 days (Fig. 12; see Fig. S8-10 in the Supplementary Material for the other monitoring  
278 stations). From late morning (ca. 10:00 h) until early evening (c. 19:00 h), the PBLH altitudes  
279 of both  $PM_{2.5}$  conditions are very similar, but after 19:00 h the PBLH is again higher during  
280 low pollution.

##### 281 *4.3.2 Total cloud cover*

282 During HRBs, mean diurnal variation of cloud cover is between 2 and 7 % greater during the  
283 mornings and evenings of low pollution, compared to high pollution days (Fig. 12). In  
284 contrast, there is minimal difference in cloud cover during the early afternoon of both  
285 conditions.

##### 286 *4.3.3 Temperature*

287 The temperature is 1 to 6 °C warmer between 00:00-08:00 h and 20:00-23:00 h during HRBs  
288 and low  $PM_{2.5}$ , in comparison to burns coinciding with high pollution (Fig. 12). However,  
289 there is a clear reversal in this trend from mid-morning to late afternoon during burns and



290 high  $\text{PM}_{2.5}$  when mean temperature is several degrees warmer than during HRBs and low  
291 pollution.

#### 292 4.3.4 *Wind speed*

293 Mean diurnal wind speed is approximately  $0.5 \text{ m s}^{-1}$  higher in the mornings and after 18:00 h  
294 during burns and low air pollution in comparison to speeds during high  $\text{PM}_{2.5}$  (Fig. 12). In  
295 contrast, there is a minimal difference in wind speeds between 12:00 and 18:00 h.



## 296 **5 Discussion**

297 Air quality in Sydney is generally good. On the occasions when it is poor, atmospheric  
298 particulates are the principal cause, and HRBs are potentially one source of high particulate  
299 emissions. Sydney's population is projected to increase (~63 %) to over 8 million by 2061  
300 (ABS, 2013), with much of the expansion occurring at the urban-bushland transition. Even if  
301 air quality remains stable, these demographic changes will increase exposure to particulate  
302 pollution. However, we observed increasing annual trends in  $PM_{2.5}$  concentrations. In  
303 addition, projected decreases in future rainfall (Dai, 2013) and increases in fire danger  
304 weather are likely to increase fire activity and lengthen the fire season (Bradstock et al.,  
305 2014), thus amplifying fire-related particulate emissions. Changes in measurement  
306 instrumentation have a potential to introduce systematic biases in these annual  $PM_{2.5}$   
307 trends. However, the instrumentation changes that occurred in 2012 are likely to have a  
308 minimal impact on the trends identified in this analysis, as is consistent with the increasing  
309  $PM_{2.5}$  trends shown in two EPA analyses (NSW Government, 2016; 2017). Moreover, the  
310 trends start increasing from 2011 during spring and winter, which precedes the  
311 instrumentation change.

312 Relative to other pollutants such as  $NO_x$  and  $NO_2$ ,  $PM_{2.5}$  concentrations are higher at  
313 weekends.  $PM_{2.5}$  concentrations also start increasing in autumn with peaks in winter and  
314 spring. These patterns may reflect the timing of HRB occurrences, which occur mainly in  
315 autumn, spring and at weekends, though there is also increased domestic wood-fired  
316 heating during winter. Consequently, conducting multiple, concurrent HRBs during these  
317 periods might exacerbate  $PM_{2.5}$  concentrations that are already high relative to baseline.

318  $PM_{2.5}$  concentrations tend to be dominated by organic matter (57%) during peak HRB  
319 periods in autumn. There is also contribution, in order of apportion, from elemental carbon,  
320 inorganic aerosol, and sea salt. This compares to summer months when sea salt plays a  
321 larger role, with organic matter making up just 34% (Cope et al. 2014). Other days where  
322 national  $PM_{2.5}$  concentration standards have been exceeded have been attributed to  
323 wildfires and dust storms.  $PM_{2.5}$  concentrations also tend to be higher across the Sydney  
324 basin during winter due to smoke from wood fire heaters used for residential heating,  
325 however, exceedances of standards due to these emissions are rare (EPA, 2015).



326 **5.1 Primary covariates affecting PM<sub>2.5</sub> and how they differ during low and high pollution**

327 PBLH was the most consistent meteorological predictor of PM<sub>2.5</sub>. It had a significant,  
328 negative influence on PM<sub>2.5</sub> at all locations during HRBs and ‘high pollution days’. There was  
329 a marked difference in mean diurnal mixed layer heights between low and high pollution  
330 conditions in the early morning (00:00-07:00 h) and from 20:00 to 23:00 h, with the PBLH  
331 being approximately 100-200 m lower at these times during HRBs and high PM<sub>2.5</sub>. During  
332 these two time periods whilst the PBLH is low, mean cloud cover, temperature and wind  
333 speeds are also lower relative to their magnitudes at corresponding times during low  
334 pollution. Essentially, these early hours of cold, stable conditions with minimal turbulence  
335 (i.e. conditions that are conducive to temperature inversions) prevent the dilution of PM<sub>2.5</sub>.  
336 These subdued conditions often coincide with the night time/early morning westerly cold  
337 drainage flows and low mixing heights (inhibiting vertical dispersion), leading to the build-up  
338 of PM<sub>2.5</sub> during mornings (Lu and Turco, 1995; Hart et al., 2006; Jiang et al., 2016b). These  
339 pollution-conducive conditions are similar to those identified in Jiang et al. (2016a) as being  
340 related to a ridge of high pressure extending across eastern Australia, resulting in light  
341 north-westerly winds. These synoptically driven flows, although light, tend to enhance  
342 nocturnal drainage flows, inhibit afternoon sea breeze formation, and allow the  
343 transportation of pollutants across the Sydney basin to the coast. There is also a large  
344 difference in mean diurnal temperatures between low and high pollution conditions from  
345 late morning to early evening, with temperatures 3-4 °C warmer during high pollution.  
346 During warmer daytime conditions, PM<sub>2.5</sub> can be potentially higher without fire events, for  
347 instance, because these conditions tend to be coincident with increased precursor  
348 emissions and generation of secondary organic aerosols in the air. Furthermore, the fact  
349 that early morning and late evening temperatures tend to be lower during high pollution  
350 conditions may indicate the presence of temperature inversions which hinder atmospheric  
351 convection, leading to the collection of particulates that cannot be lifted from the surface.  
352 Cold morning temperatures can also result in stronger drainage flows into the Sydney basin.  
353 Consequently, if HRBs are being conducted during early mornings in the hills and mountains  
354 to the west of Sydney, this could result in the dispersion of particles from such sources,  
355 possibly into populated areas.

356           These findings indicate how the timing of HRBs can be altered to reduce their air  
357 pollution impacts in Sydney. Conducting HRBs when the PBLH is forecast to be higher ought



358 to help reduce their air quality impacts in Sydney. More specifically, conducting HRBs later  
359 in the morning (for example by a matter of hours) is one way of potentially reducing HRB air  
360 quality impacts, because the PBLH generally starts increasing rapidly in height from 07:00  
361 until 12:00 h. Fires conducted early in the morning when the PBLH is at its lowest, and  
362 temperatures are cool will promote effects such as fire smoke residing near ground-level.  
363 One constraint concerning later burn times is that wind speed typically increases as the day  
364 progresses. However, the maximum mean diurnal wind speed was approximately  $3 \text{ m s}^{-1}$   
365 and occurred at 15:00 h. This is considerably lower than the RFS' upper-limit of  $5.56 \text{ m s}^{-1}$  for  
366 conducting safe HRBs (Plucinski and Cruz, 2015). An additional caution for conducting burns  
367 later in the afternoon is that onshore coastal breezes can develop during afternoons. The  
368 optimal timing of burns will also be dependent on other factors such as burn intensity,  
369 lighting method, fuel/soil moisture and geographic location.

370 Although there were similarities in the influence of covariates between locations,  
371 these associations often varied spatially. For example, mean diurnal PBLH and temperature  
372 were lower at Richmond in the early morning and at night in comparison to the other  
373 locations (Fig. S10, Supplementary Material). Richmond is further inland than the other  
374 monitoring sites and is thus closer to the mountain range to the west of Sydney. The insights  
375 gained into the spatial variation in the behaviour of covariates can support efforts to create  
376 location-specific particulate pollution forecasts.

377 The north-westerly signal apparent for several locations during HRBs and high  
378 pollution may reflect the fact that, overall, the majority of burns are conducted to the west,  
379 north and north-west of Sydney (Fig. 2). From a management perspective, comparatively  
380 greater attention might be devoted to adapting burn operations in these regions. However,  
381 the daily vector-averaging applied to the wind data will smooth out the signal associated  
382 with diurnal changes in wind directions (and speeds), e.g. between drainage flow and sea  
383 breezes. Thus, to some degree, the signal of wind influence may be suppressed, which is  
384 potentially one explanation why wind does not emerge as a more important factor from the  
385 GAMM models.

386 Using a different analysis approach, Price et al. (2012) found that the optimum  
387 radius of influence of landscape fires on  $\text{PM}_{2.5}$  was 100 km for Sydney. We found that whilst  
388 close-proximity fires influenced air quality, fires up to approximately 300 km from  
389 monitoring stations also potentially influenced  $\text{PM}_{2.5}$ . Longer-range exposures on regional



390 scales, particularly from multiple HRBs in an air-shed can impact communities at  
391 considerable distance under certain atmospheric transport conditions (e.g. Liu et al., 2009).

392 Multiple concurrent burns are more likely to adversely affect air quality in Sydney, as  
393 indicated by the statistically significant, positive influence of the number of concurrent HRBs  
394 on  $PM_{2.5}$  during high pollution days. In general, greater numbers of concurrent burns within  
395 a given air shed are likely to result in greater quantities of particulate emissions. The area of  
396 these burns would also determine the amount of particulate emissions generated. However,  
397 HRB total area per day was not an effective predictor. This finding ought to be interpreted  
398 cautiously because of uncertainties about how accurately the area actually burnt was  
399 recorded within the polygons representing HRBs. In particular, to date it can be difficult to  
400 obtain timely and accurate estimates of the actual area burnt daily.

## 401 **6. Conclusions**

402 Fine particulate concentrations are increasing in Sydney, and given projected increases in  
403 fire danger weather, intensification in fire activity is expected to further amplify fire-related  
404  $PM_{2.5}$  emissions. We identified the key meteorological factors linked to elevated  $PM_{2.5}$   
405 during HRBs. In particular, diurnal variation of the PBLH, cloud cover, temperature and wind  
406 speed have a pervasive influence on  $PM_{2.5}$  concentrations, with these factors being more  
407 variable and higher in magnitude during the mornings and evenings of HRB days when  $PM_{2.5}$   
408 remains low. These findings indicate how the timing of HRBs can be altered to minimise  
409 pollution impacts. They can also support locality-specific forecasts of the air quality impacts  
410 of burns in Sydney and potentially other locations globally. In addition to mitigating wildfire  
411 risk, globally HRBs are used for forest management, farming, prairie restoration and  
412 greenhouse gas abatement. Future research should incorporate more sophisticated fire  
413 characteristics such as plume height and fuel moisture into analyses, and also consider the  
414 influence of climatic phenomena on particulate pollution. Synoptic features can also be  
415 incorporated into a future GAMM analysis, as well as modelling the diurnal evolution of  
416  $PM_{2.5}$  pollution due to HRB occurrences.



417 **Author contribution**

418 G. Di Virgilio, M. A. Hart and N. Jiang conceived the research questions and aims. G. Di  
419 Virgilio designed and performed the analyses with contributions from all co-authors. G. Di  
420 Virgilio prepared the manuscript with contributions from all co-authors.

421 **Competing interests**

422 The authors declare that they have no conflict of interest.

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## Tables

**Table 1.** The area type, elevation, location, inter-annual (2005-2016) mean and standard deviation (SD) PM<sub>2.5</sub> concentration ( $\mu\text{g m}^{-3}$ ) of each monitoring site.

| Site      | Area Type                    | Elevation<br>(m) | Lat    | Lon    | PM <sub>2.5</sub> |      |
|-----------|------------------------------|------------------|--------|--------|-------------------|------|
|           |                              |                  |        |        | Mean              | SD   |
| Chullora  | Mixed residential/commercial | 10               | -33.89 | 151.05 | 7.56              | 4.13 |
| Earlwood  | Residential                  | 7                | -33.92 | 151.13 | 7.26              | 4.34 |
| Liverpool | Mixed residential/commercial | 22               | -33.93 | 150.91 | 8.27              | 4.85 |
| Richmond  | Residential/semi-rural       | 21               | -33.62 | 150.75 | 6.85              | 6.29 |

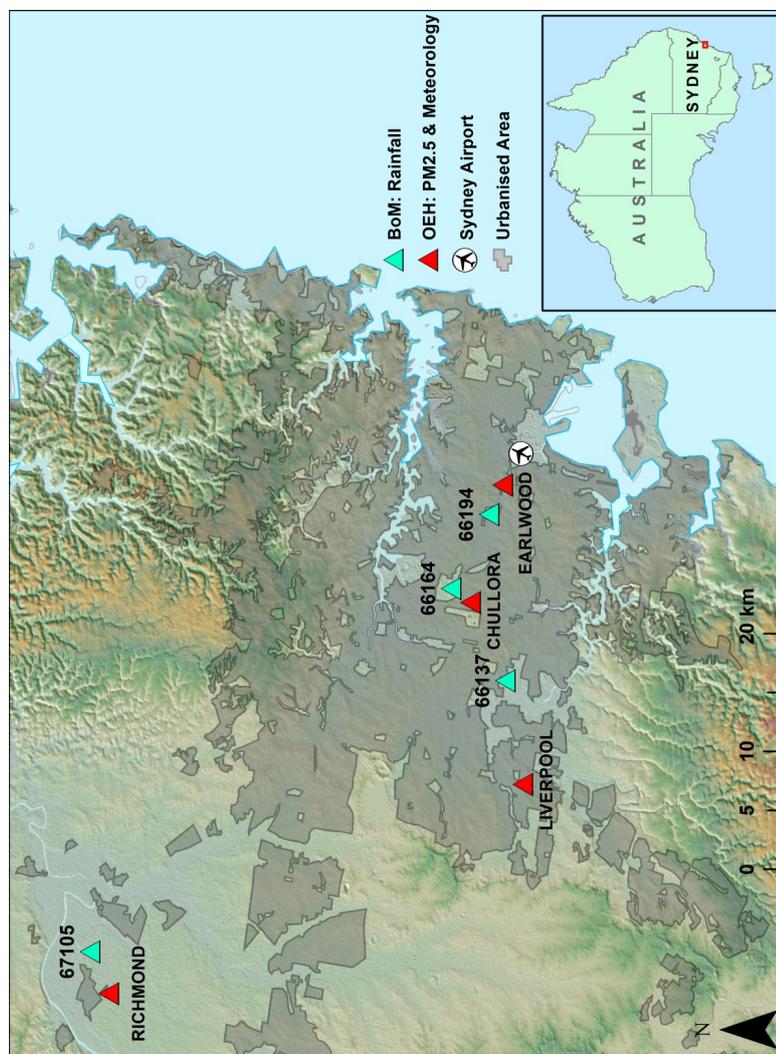


**Table 2.** Adjusted  $R^2$ ,  $F$  and  $p$ -values for the smoothers of the optimal generalised additive mixed models (GAMM) applied to each monitoring site on days when hazard reduction burns occurred and with the data split into low and high air pollution conditions. Asterisks denote statistical significance: \*\*\* =  $p < 0.001$ ; \*\* =  $p < 0.01$ ; \* =  $p < 0.05$ .

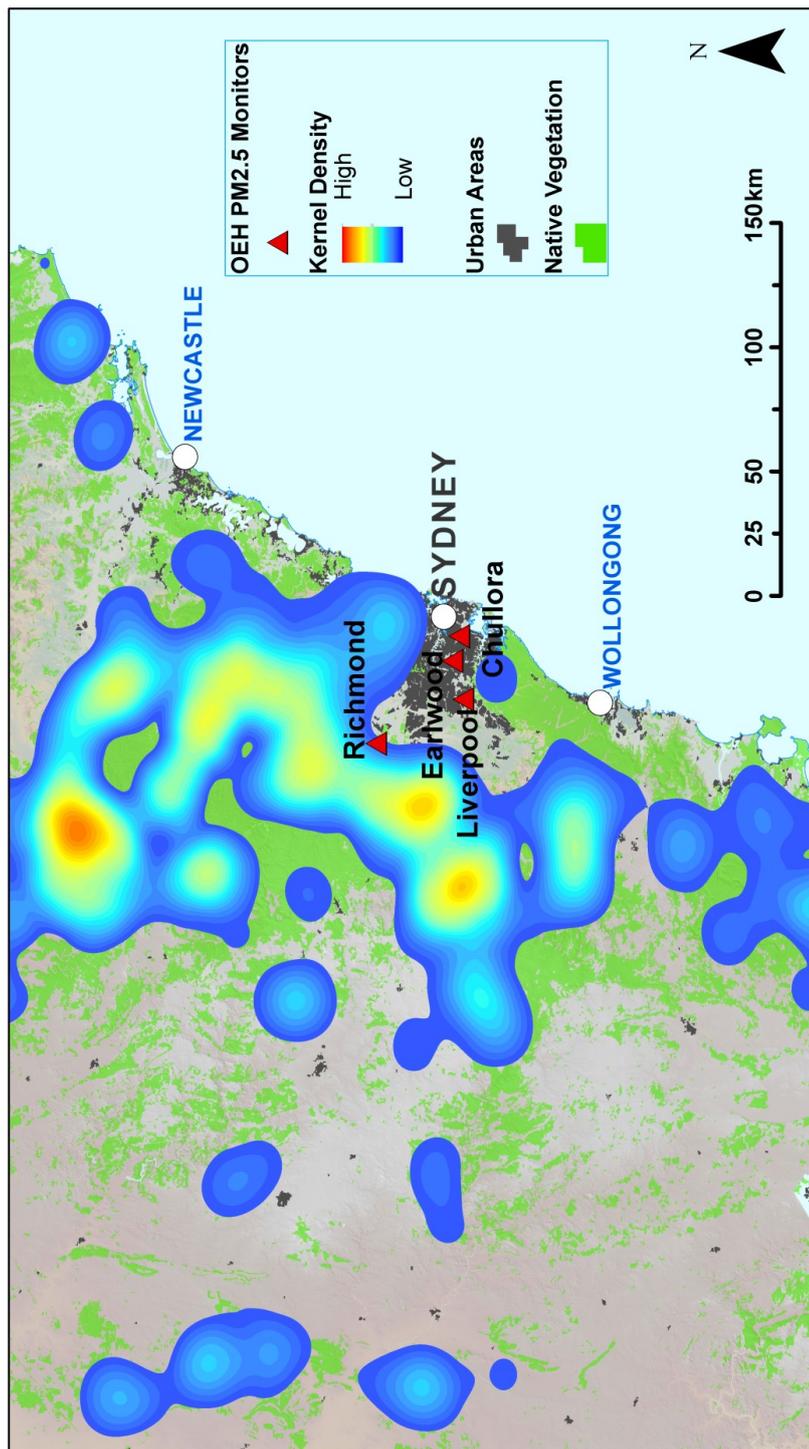
| Pollution Condition   | Chullora     |               |         | Earlwood     |               |          | Liverpool    |               |          | Richmond     |               |     |
|-----------------------|--------------|---------------|---------|--------------|---------------|----------|--------------|---------------|----------|--------------|---------------|-----|
|                       | Low<br>$R^2$ | High<br>$R^2$ | $F$     | Low<br>$R^2$ | High<br>$R^2$ | $F$      | Low<br>$R^2$ | High<br>$R^2$ | $F$      | Low<br>$R^2$ | High<br>$R^2$ | $F$ |
|                       | 0.44         | 0.43          | 0.43    | 0.43         | 0.56          | 0.50     | 0.50         | 0.50          | 0.50     | 0.35         | 0.40          |     |
| <b>Variable</b>       | $F$          | $F$           | $F$     | $F$          | $F$           | $F$      | $F$          | $F$           | $F$      | $F$          | $F$           | $F$ |
| PBLH                  | 6.0 ***      | 9.5 **        | 2.1     | 2.1          | 5.8 **        | 2.9 *    | 2.9 *        | 14.1 ***      | 0.9      | 0.9          | 7.7 ***       |     |
| MSLP                  | 1.1          | 0.0           | 0.3     | 0.3          | 1.7           | 0.3      | 0.3          | 0.8           | 0.5      | 0.5          | 4.8 *         |     |
| Temperature           | 1.1          | 1.5           | 0.1     | 0.1          | 3.1           | 1.5      | 1.5          | 8.8 ***       | 0.0      | 0.0          | 4.1 *         |     |
| Cloud cover           | 10.5 **      | 5.9 ***       | 4.4 *   | 4.4 *        | 5.2 **        | 9.3 ***  | 9.3 ***      | 17.7 ***      | 1.4      | 1.4          | 5.9 *         |     |
| Rainfall              | 0.2          | 0.7           | 5.1 *   | 5.1 *        | 3.5 *         | 5.7 **   | 5.7 **       | 0.7           | 2.4      | 2.4          | 4.0 *         |     |
| Wind direction        | 2.2 *        | 1.2           | 3.3 **  | 3.3 **       | 6.4 ***       | 0.6      | 0.6          | 4.9 ***       | 3.0 **   | 3.0 **       | 0.6           |     |
| Wind speed            | 0.8          | 2.0           | 3.3 *   | 3.3 *        | 3.7 **        | 2.1      | 2.1          | 0.0           | 0.7      | 0.7          | 1.5           |     |
| HRBs daily frequency  | 0.4          | 6.1 **        | 0.4     | 0.4          | 4.1 *         | 0.7      | 0.7          | 3.7 *         | 0.2      | 0.2          | 14.0 ***      |     |
| HRBs area burnt daily | 8.2 ***      | 1.3           | 4.0 **  | 4.0 **       | 0.1           | 1.8      | 1.8          | 2.9           | 3.1      | 3.1          | 0.2           |     |
| Day of Year           | 0.0          | 1.1           | 0.0     | 0.0          | 0.0           | 0.0      | 0.0          | 0.0           | 0.0      | 0.0          | 0.9 *         |     |
| Julian Day            | 11.7 ***     | 4.9 **        | 9.5 *** | 9.5 ***      | 6.2 ***       | 16.7 *** | 16.7 ***     | 6.1 *         | 15.7 *** | 15.7 ***     | 0.7           |     |



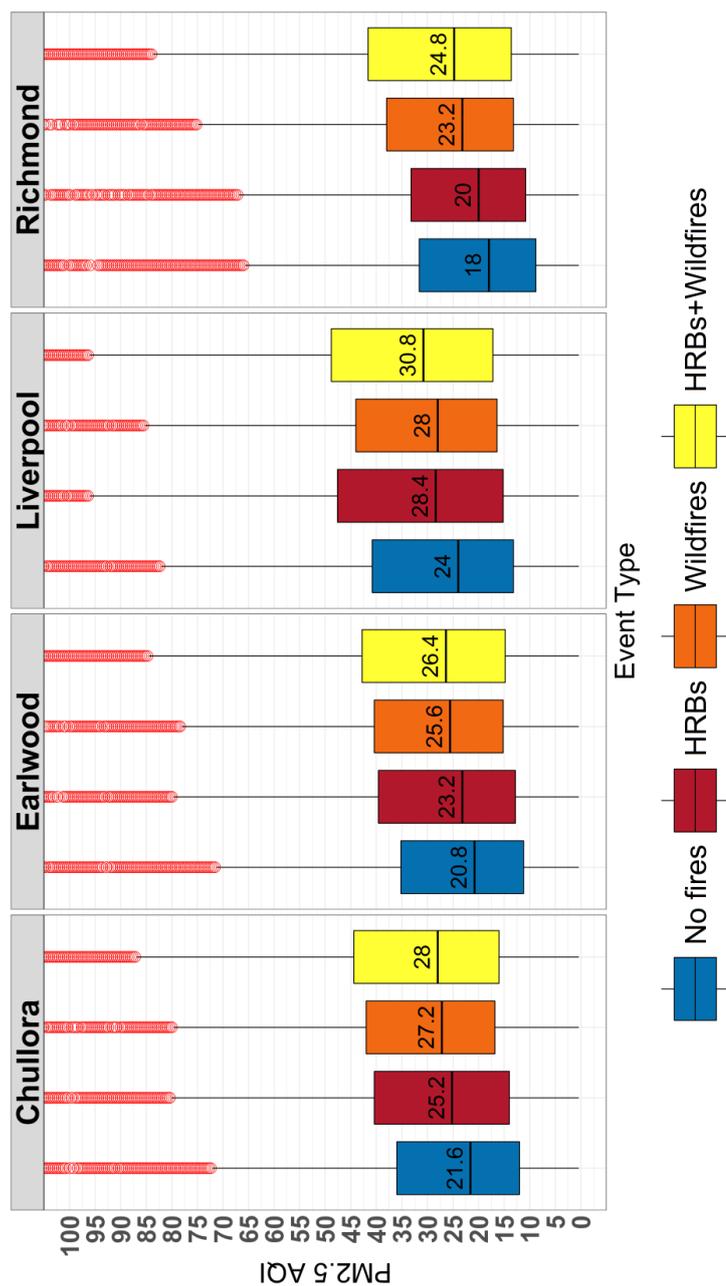
## Figures



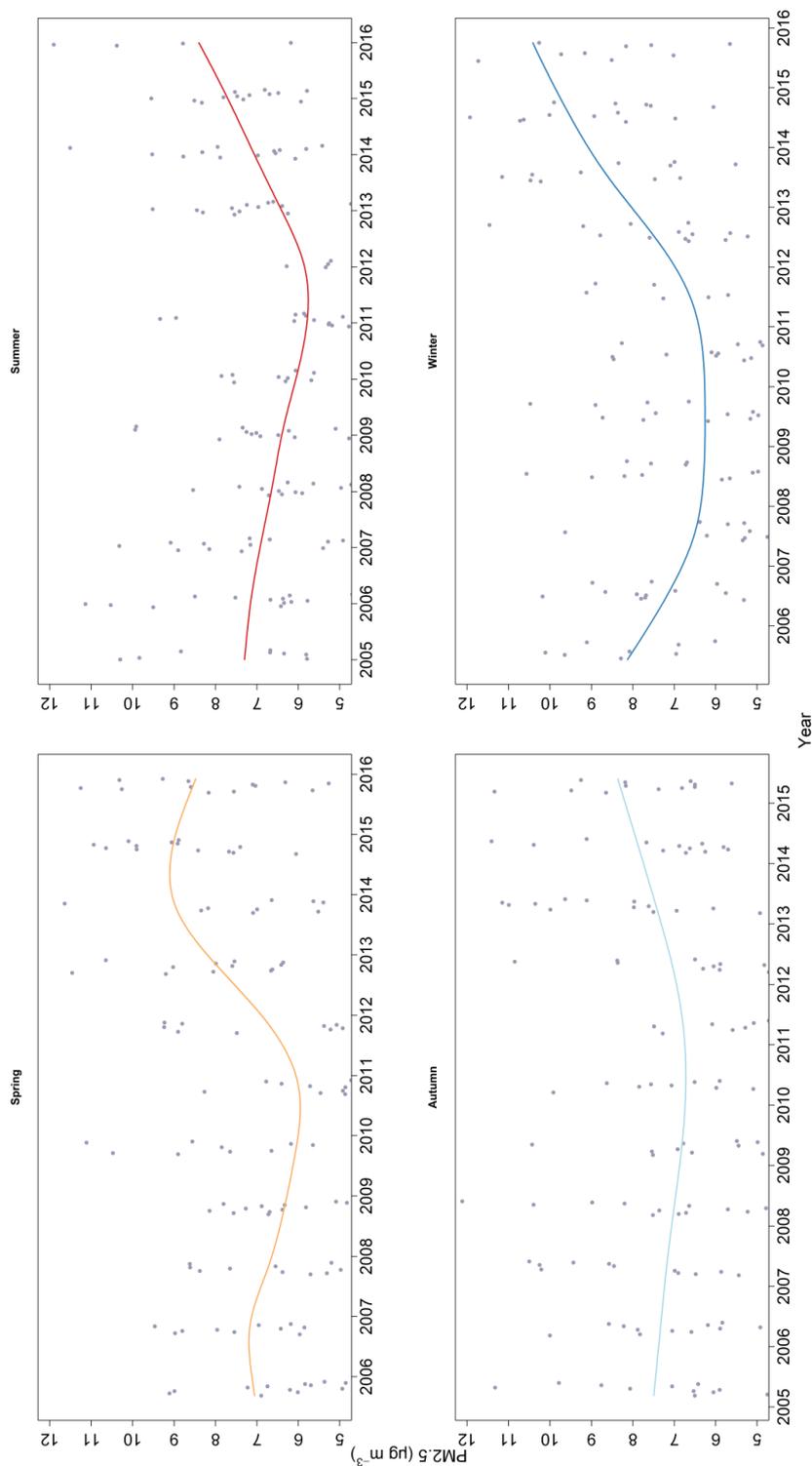
**Figure 1.** Locations of meteorological/PM<sub>2.5</sub> monitoring stations in the New South Wales Office of Environment and Heritage network in Sydney, Sydney Airport meteorological station, and Bureau of Meteorology (BoM) stations (with station numbers) from which rainfall data were obtained.



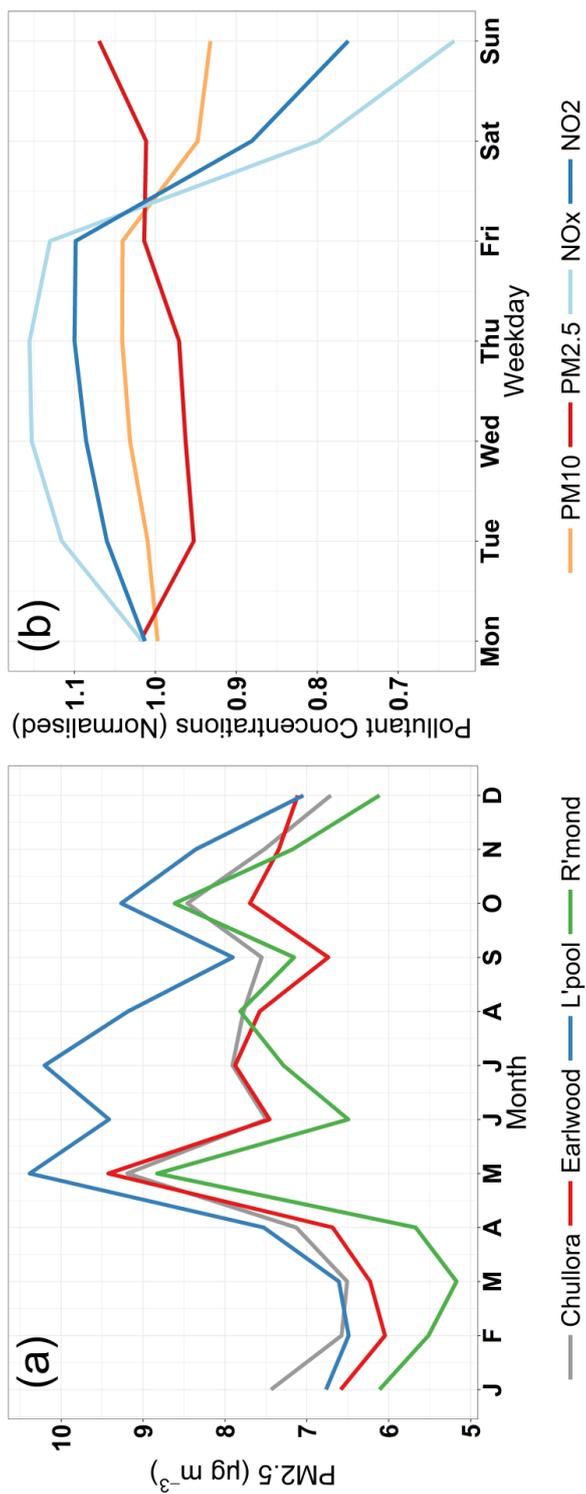
**Figure 2.** Kernel density function (magnitude-per-unit area) for hazard reduction burns (HRB) conducted in the vicinity of Greater Sydney (2004-2016). The warmer the colour of the kernel density surface, the more/larger HRBs that have occurred in that area. The kernel density calculation is weighted according to fire surface area.



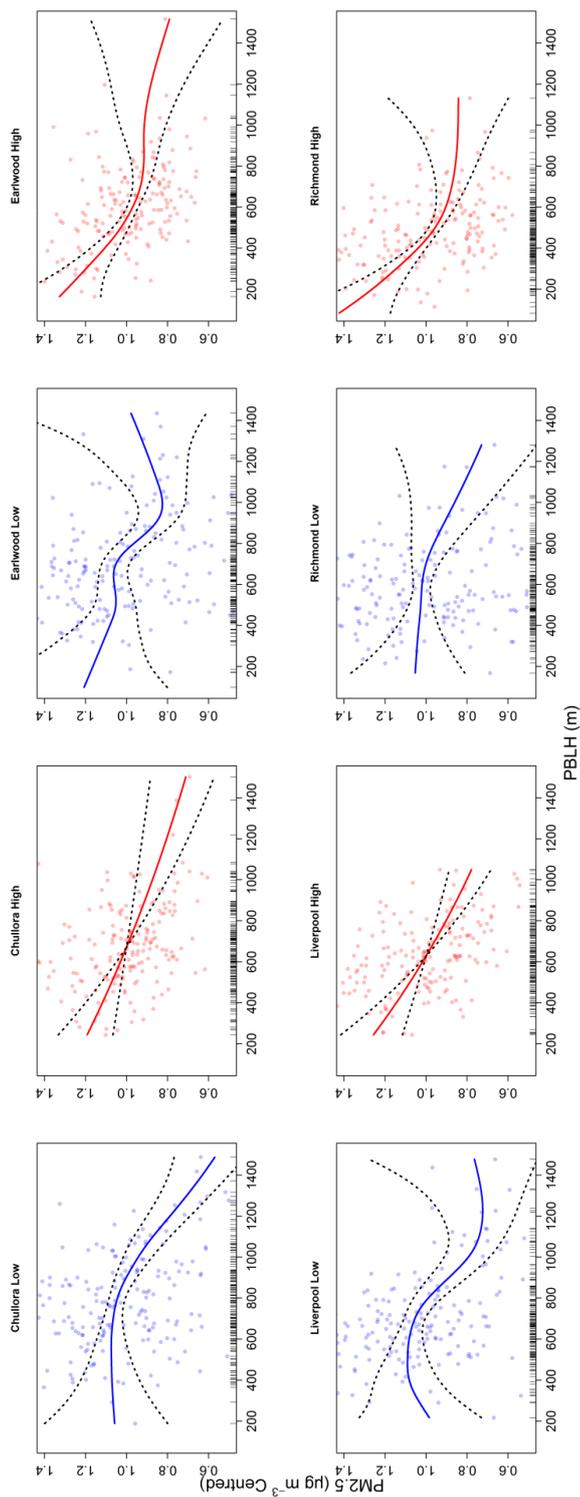
**Figure 3.** Boxplots showing the variation in PM<sub>2.5</sub> air quality index values (AQI) at four measurement sites in Sydney between 2011 and 2016 during days when there were no fires (neither hazard reduction burns (HRBs) or wildfires), days when only HRBs occurred without coincident wildfires, days when wildfires occurred without coincident HRBs, and days with concurrent HRBs and wildfires. Horizontal black lines on boxplots are median PM<sub>2.5</sub> AQIs and their corresponding values are shown above these lines. Red circles are outliers.



**Figure 4.** Annual trends in the weekly mean concentrations of PM<sub>2.5</sub> in Sydney, split by season for 2005 - 2015.



**Figure 5.** Mean monthly  $PM_{2.5}$  concentrations for the period 2011 to August 2016 at four air quality monitoring sites in Greater Sydney (a). Mean daily normalised concentrations of  $PM_{10}$ ,  $NO_2$  and  $NO_x$  (b).



**Figure 6.** The contribution by the planetary boundary layer height (PBLH) component of the generalised additive mixed model (GAMM) linear predictor to fitted  $\text{PM}_{2.5}$  values ( $\mu\text{g m}^{-3}$ , centred). The solid lines are the fitted curves. Dotted lines are 95% confidence bands. Dots are partial residuals.

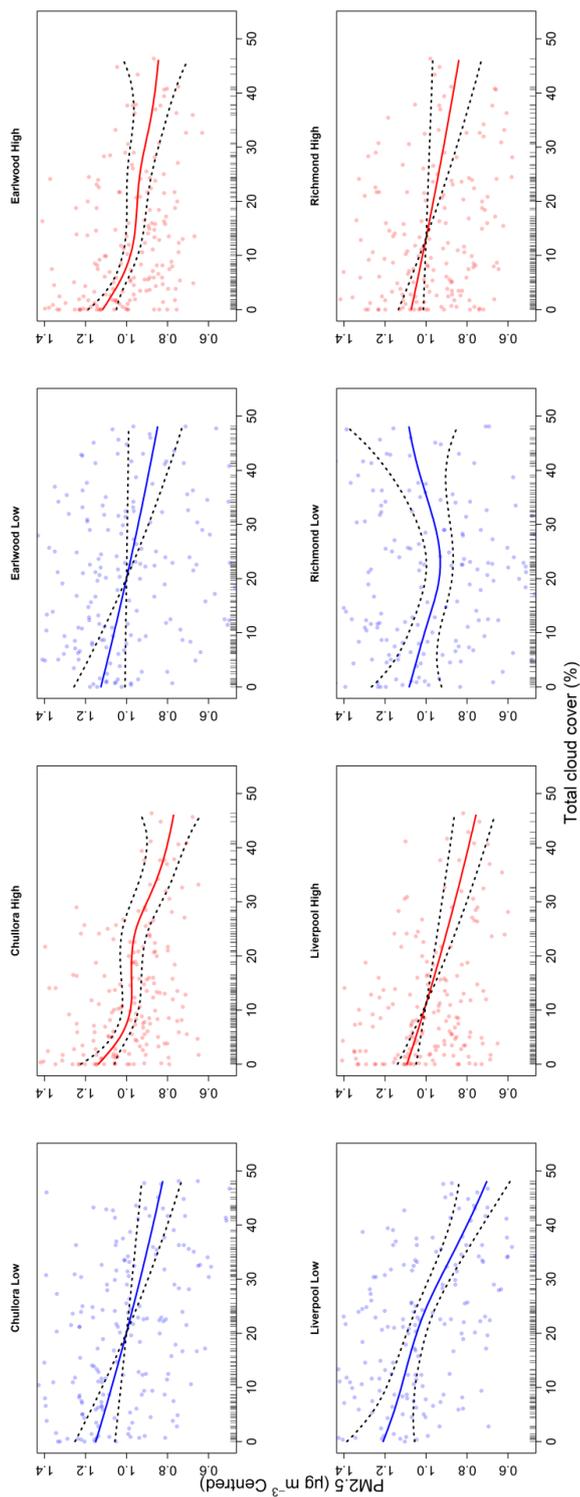
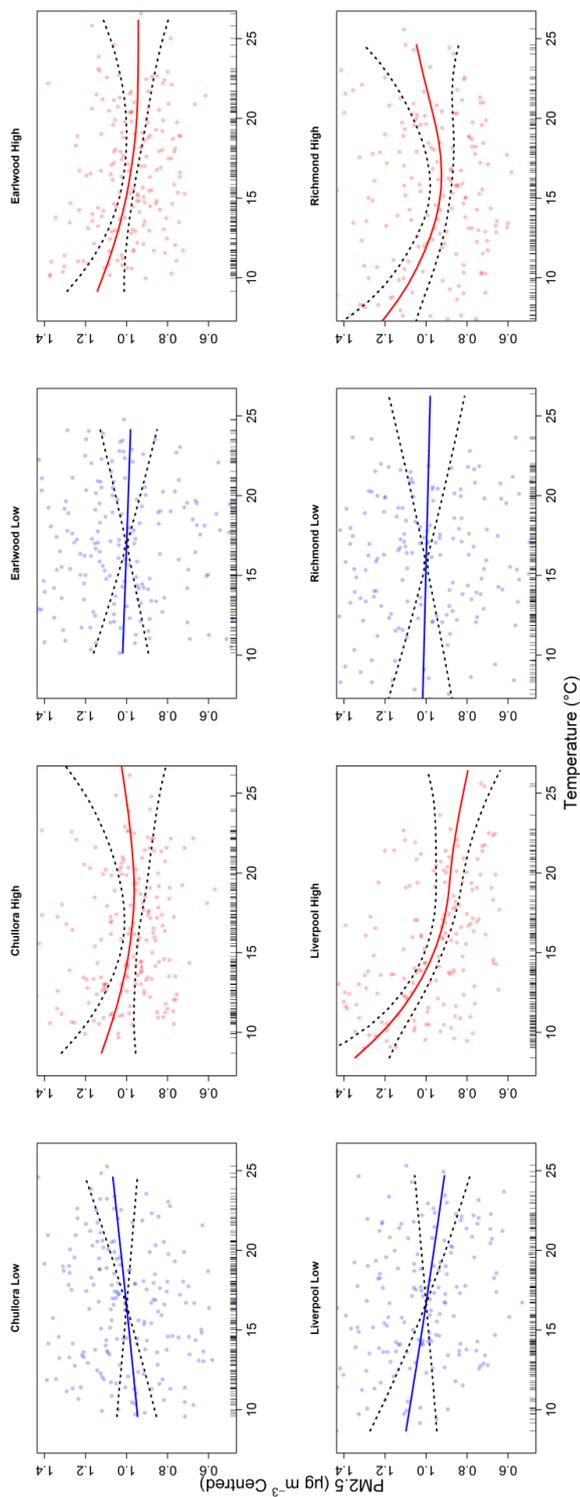
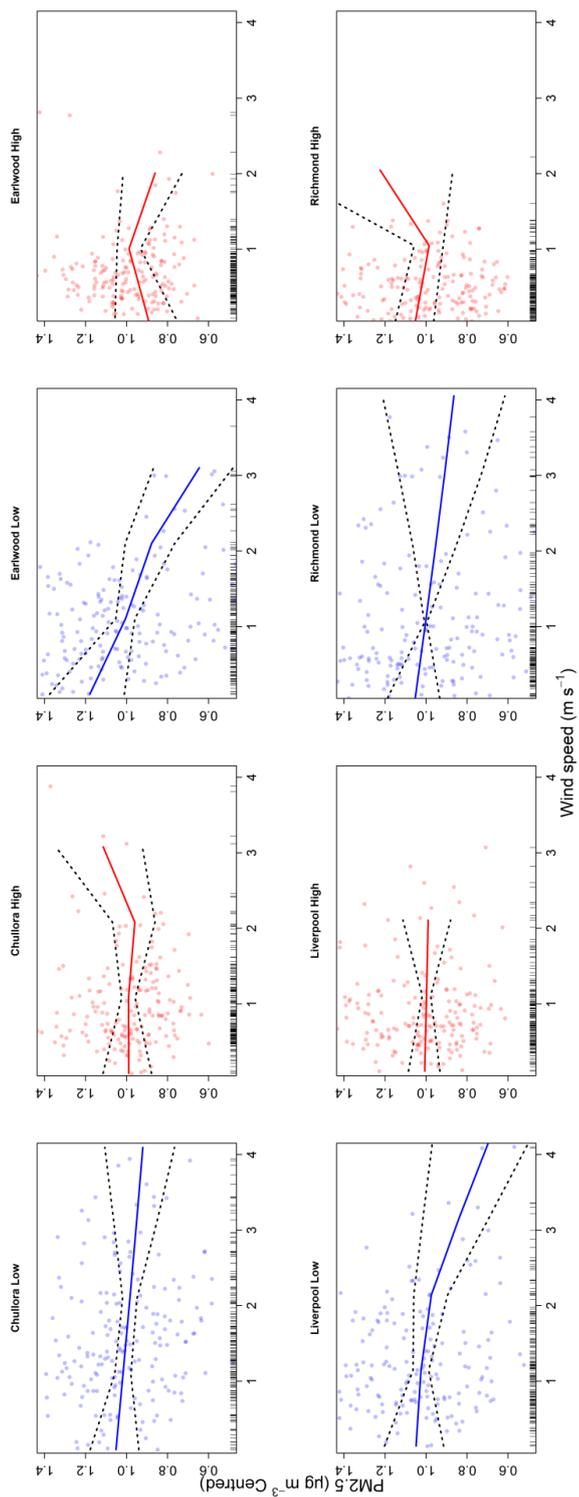


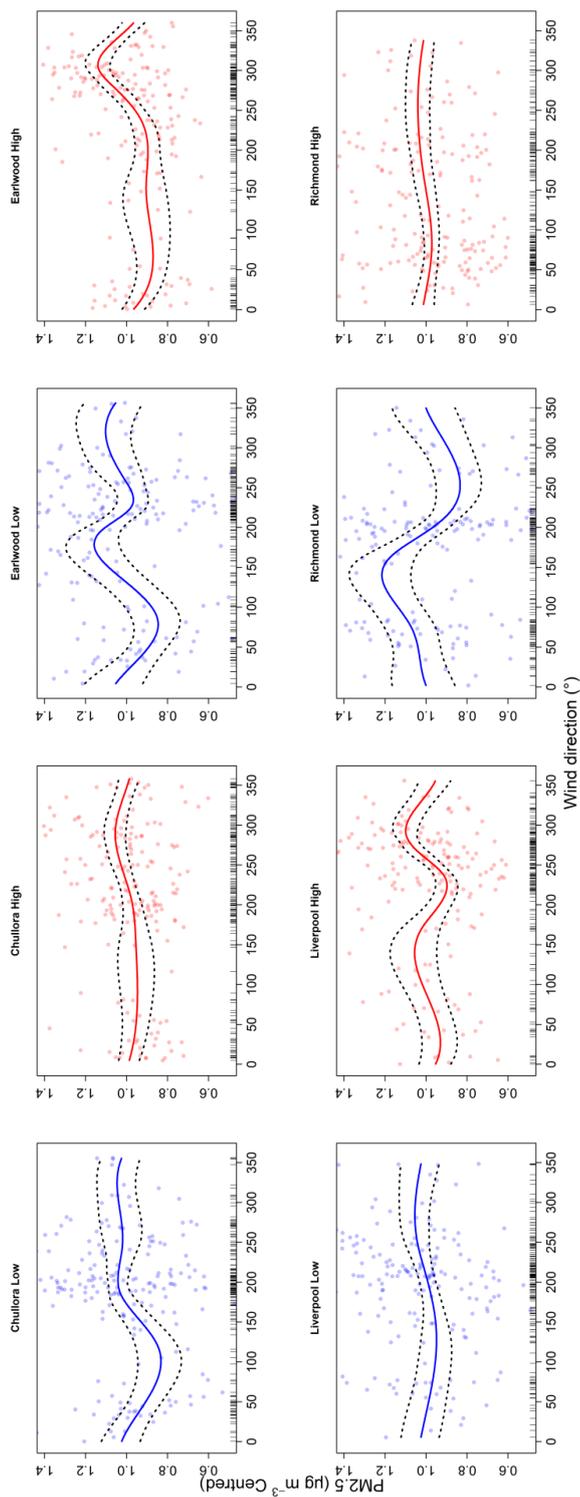
Figure 7. The contribution by the cloud cover component of the GAMM linear predictor to fitted  $\text{PM}_{2.5}$  values ( $\mu\text{g m}^{-3}$ , centred).



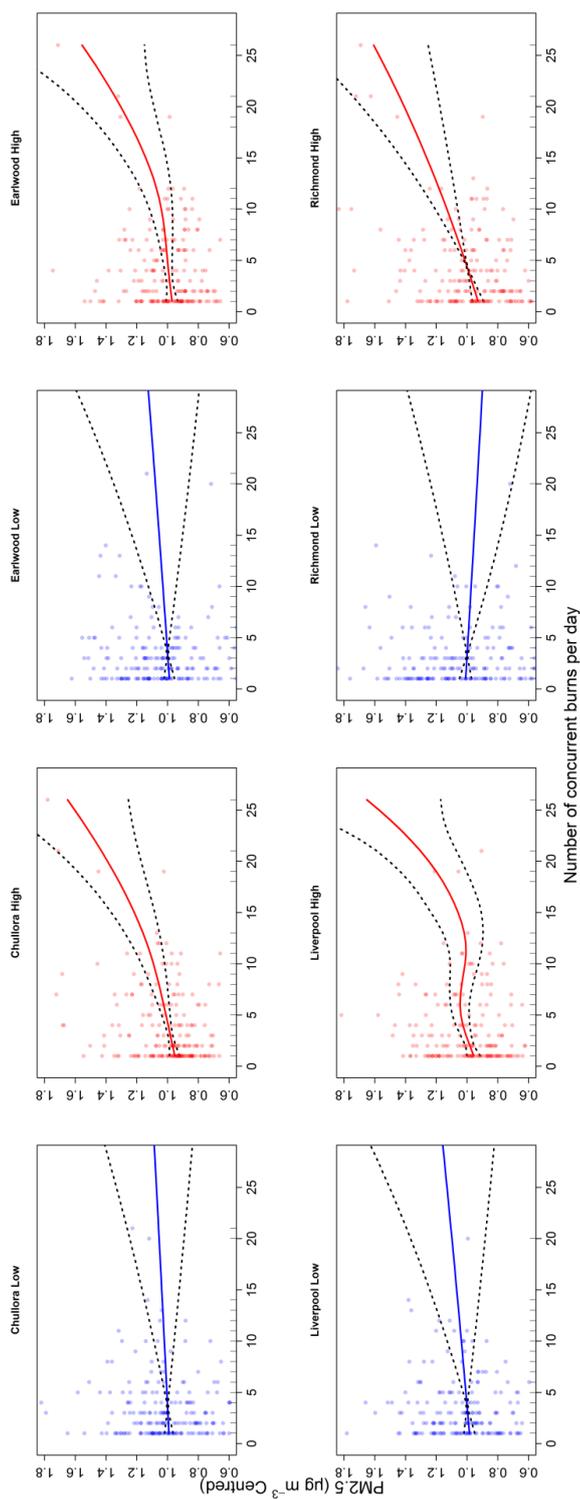
**Figure 8.** The contribution by the temperature component of the GAMM linear predictor to fitted  $PM_{2.5}$  values ( $\mu\text{g m}^{-3}$ , centred).



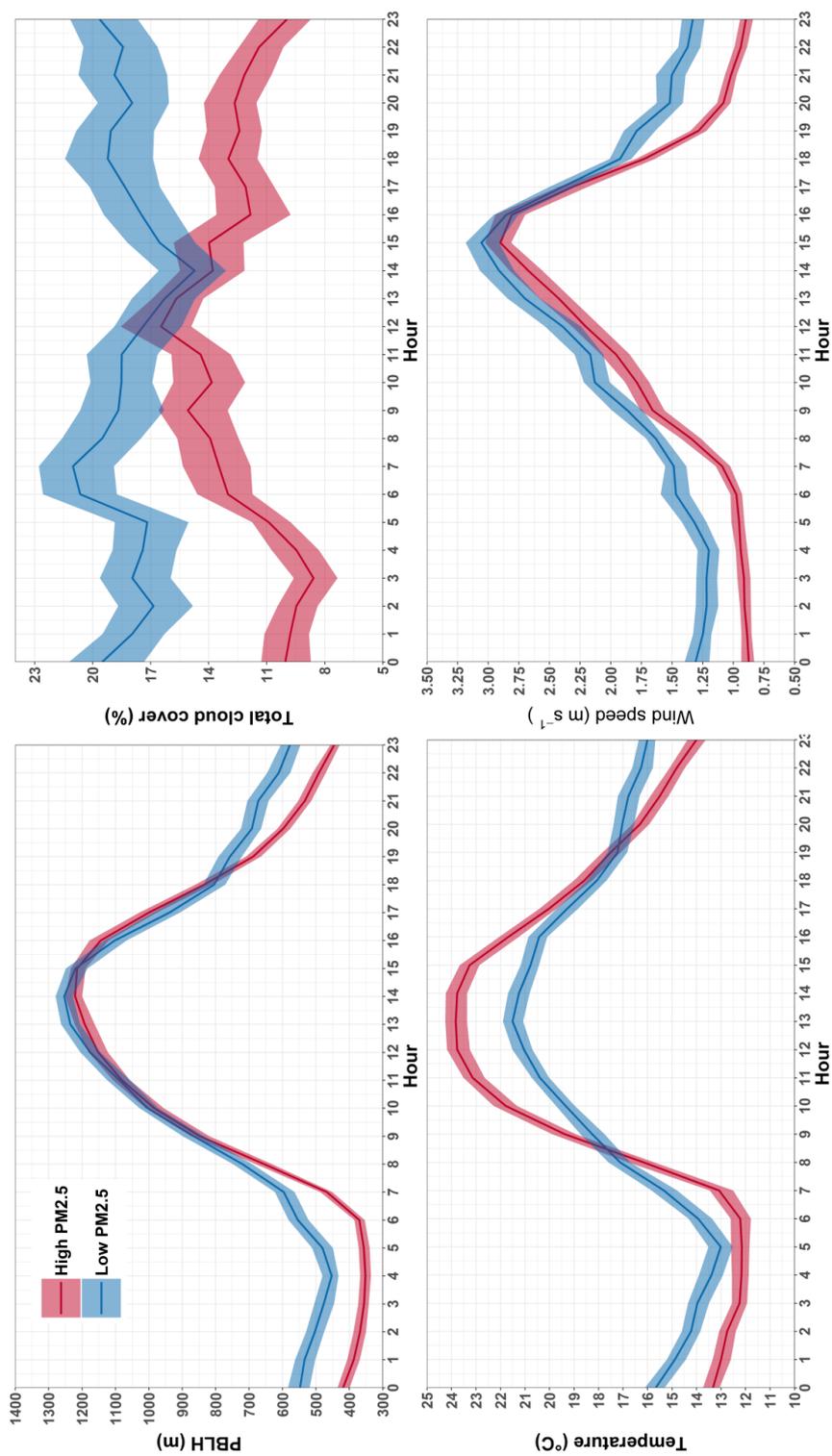
**Figure 9.** The contribution by the wind speed component of the GAMM linear predictor to fitted  $\text{PM}_{2.5}$  values ( $\mu\text{g m}^{-3}$ , centred).



**Figure 10.** The contribution by the wind direction component of the GAMM linear predictor to fitted  $PM_{2.5}$  values ( $\mu g m^{-3}$ , centred).



**Figure 11.** The contribution by the hazard reduction burn (HRB) daily frequency (number of concurrent burns per day) component of the GAMM linear predictor to fitted PM<sub>2.5</sub> values (µg m<sup>-3</sup>, centred).



**Figure 12.** Mean diurnal variation of hourly PBLH, total cloud cover, temperature and wind speed for low versus high PM<sub>2.5</sub> pollution during HRBs at Liverpool, Sydney (see Figures S8-S10 for other stations). Shading represents the 95 % confidence intervals of the means.