



1 **Regional severe particle pollution and its association with**
2 **synoptic weather patterns in the Yangtze River Delta region,**
3 **China**

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13

14 **Abstract:** Regional air pollution is significantly associated with the dominant weather systems. In
15 this study, the relationship between the particle pollution over the Yangtze River Delta (YRD)
16 region and the weather patterns is investigated. Firstly, the pollution characteristics of particles
17 (PM_{2.5} and PM₁₀) in YRD are studied by using the in situ monitoring data in 16 cities from
18 December 2013 to November 2014. The results show that the annual average concentrations in the
19 cities of Jiangsu Province all exceed the national air quality standard. The pollution level is higher
20 in the inland areas. Highest values can be found in Nanjing, with the concentrations of PM_{2.5} and
21 PM₁₀ being 79 μg·m⁻³ and 130 μg·m⁻³, respectively. The PM_{2.5}/PM₁₀ ratios are usually high in
22 YRD, indicating that PM_{2.5} is the overwhelmingly dominant particle pollutant. The wintertime
23 peak of particle concentrations is tightly linked to the increased emissions in the heating season
24 and the poor meteorological condition. Secondly, based on NCEP reanalysis data, synoptic
25 weather classification is conducted to reveal that the weather patterns are easy to cause heavy
26 pollution in YRD. Five typical synoptic patterns are objectively identified, including the East
27 Asian trough rear pattern, the depression inverted trough pattern, the transversal trough pattern,
28 the high-pressure controlled pattern, and the northeast cold vortex pattern. Finally, synthetic
29 analysis of meteorological fields and backward trajectory calculation are used to further clarify



30 how these patterns impact particle concentrations. It is clarified that YRD is largely influenced by
31 polluted air masses from the northern and the southern inland areas when it is at the rear of the
32 East Asian major trough. In this case, the strong northwest wind hinders the vertical outward
33 transport of pollutants. Thus, the East Asian trough rear pattern is quite favorable for the
34 accumulation of pollutants in YRD, and respectively contributes 70.4% and 78.3% to the
35 occurrence of large-scale regional PM_{2.5} and PM₁₀ pollution episodes. While under the weather
36 systems for other patterns, the clean marine air masses may play great roles in the mitigation of
37 particle pollution in YRD. The correlation between weather patterns and particle pollution can
38 provide valuable views in the decision-making on pollution control and mitigation strategies.

39 **Keywords:** PM_{2.5}; PM₁₀; air pollution meteorology; synoptic weather pattern; the Yangtze River
40 Delta region

41

42 1. Introduction

43 The high occurrence of regional particle pollution is acquired worldwide attention in the
44 scientific community (Malm et al., 1994; Putaud et al., 2004; Chan and Yao, 2008) due to its
45 adverse impacts on visibility (Singh and Dey, 2012; Green et al., 2012) and public health (Kappos
46 et al., 2004; Brook et al., 2010). The causes for this kind of pollution involve diverse aspects.
47 Among them, the emission of pollutants and weather conditions are two major contributors (Oanh
48 and Leelasakultum, 2011; Young et al., 2016). Particle pollution in urban agglomerations is
49 primarily attributed to the huge amounts of anthropogenic emission of primary particles and other
50 precursors (SO₂, NO_x, and VOCs, etc.). However, the emission source groups are normally
51 quasi-stable within a certain period of time (Kurokawa et al., 2013). Thus, the pollution level in a
52 certain region generally depends on the regional weather conditions (weather patterns), which are
53 strongly correlated with the synoptic-scale atmospheric circulation (Buchanan et al., 2002;
54 Chuang et al., 2008; Flocas et al., 2009; Zhang et al., 2012; Zhao et al., 2013; Russo et al., 2014;
55 Grundstrom et al., 2015; Zheng et al., 2015a; 2015b; Li et al., 2016).

56 Until now, researchers have gained improved knowledge of the relationship between weather
57 patterns and particle pollution. For example, Buchanan et al. (2002) observed the significantly
58 elevated concentrations of Black Smoke and PM₁₀ under the anti-cyclonic, southerly and



59 southeasterly weather types in the city of Edinburgh in UK between 1981 and 1996. Russo et al.
60 (2014) showed an objective classification scheme of the atmospheric circulation affecting Portugal
61 between 2002 and 2010, and revealed that higher concentrations of PM₁₀, O₃ and NO₂ are
62 predominantly associated with synoptic circulation characterized by an eastern component and
63 advection of dry air masses. Previous studies have confirmed that the levels of air pollution have
64 close relations with weather patterns, and also showed great spatial variability ascribed to that the
65 dominant weather pattern differs among different regions (Flocas et al., 2009; Grundstrom et al.,
66 2015).

67 In recent decades, the air pollution caused by PM₁₀ and PM_{2.5} has become the extremely
68 prominent air quality problem in urban areas of China (Deng et al., 2011; Huang et al., 2012; Ji et
69 al., 2012; Cheng et al., 2013; Kang et al., 2013; Huang et., 2014; Zhang et al., 2014; Xie et al.,
70 2016a; 2016c; Zhu et al., 2017). Many studies tried to reveal the contribution of meteorology to
71 the severe particle pollution episodes as well. Chuang et al. (2008) identified seven weather
72 patterns for aerosol events from March 2002 to February 2005 in the Taipei basin, and suggested
73 that weather systems and the associated terrain blocking played important roles in the PM_{2.5}
74 accumulation during events days. Niu et al. (2010) revealed the potential impacts of weakening of
75 the Eastern Asian monsoon circulation and increasing aerosol loading on the increase of
76 wintertime fog in China. Zhao et al. (2013) analyzed a regional haze episode in the North China
77 Plain from 16 to 19 January 2010, and pointed out that the strong temperature inversion, weak
78 surface wind speed and descending air motions in the boundary layer were responsible for the
79 accumulation of pollutants in a shallow layer and produced high pollutant concentrations within
80 the source region. Zheng et al. (2015a) found that the favorable atmospheric circulation conditions
81 are responsible for the severe winter haze over northeastern China. Li et al. (2016) pointed out that
82 the fog-haze days over central and eastern China shows a clear feature of inter-annual variation,
83 and the strong (weak) East Asian winter monsoon may result in less (more) fog-haze days across
84 the region.

85 Located in the southeast coastal area of East China, the Yangtze River Delta (YRD) region is
86 one of the most developed urban economic circles in the world, generally includes Shanghai,
87 Jiangsu Province and Zhejiang Province, and occupies over 20% of China's total gross domestic
88 product (GDP) (Shu et al., 2016; Xie et al., 2016a; 2017). In recent years, like other megacity



89 clusters in China, such as the Beijing-Tianjin-Hebei (BTH) region (He et al., 2001; Chan and Yao,
90 2008; Ji et al., 2012; Zhang et al., 2012; 2014; Zhao et al., 2013; Zheng et al., 2015a) and the Pearl
91 River Delta (PRD) region (Ho et al., 2003; Chan and Yao, 2008; Xie et al., 2016c; Zhu et al.,
92 2017), YRD also has been suffering severe air pollution problems brought by accelerated
93 population, urban expansion, and industrialization (Chan and Yao, 2008; Fu et al., 2008; 2010;
94 2014; Deng et al., 2011; Li et al., 2011; Huang et al., 2012; Kang et al., 2013; Wang et al., 2013;
95 2014; 2015; Xie et al., 2014; 2016a, 2016b, 2017; Feng et al., 2015; Zheng et al., 2015b; Shu et al.,
96 2016; Xu et al., 2016; Ming et al., 2017). Especially, the severe particle pollution episodes are
97 widely recognized as one of the major air pollution issues in YRD (Fu et al., 2008; 2010; Deng et
98 al., 2011; Huang et al., 2012; Kang et al., 2013; Kong et al., 2013; Wang et al., 2013; 2014; 2015;
99 Fu et al., 2014; Feng et al., 2015; Zheng et al., 2015b; Xu et al., 2016; Ming et al., 2017). Thus, a
100 great deal of researches have been conducted to figure out the contamination status (Fu et al., 2010;
101 Kang et al., 2013; Wang et al., 2013; 2015; Feng et al., 2015; Ming et al., 2017), possible source
102 (Fu et al., 2010; 2014; Kong et al., 2013; Wang et al., 2013; 2014; Xu et al., 2016), or causes and
103 features (Fu et al., 2008; 2010; Huang et al., 2012; Wang et al., 2015; Zheng et al., 2015a) of these
104 episodes. However, among these studies, the work trying to figure out how particle pollution in
105 YRD is associated with synoptic weather patterns is still quite limited. Zheng et al. (2015b) once
106 summarized the synoptic-scale atmospheric circulations influencing the distribution of particles
107 over eastern China in autumn from 2001 to 2010. They found that there are six polluted weather
108 types and three clean ones, and revealed that heavy pollution events particularly occur when the
109 study areas are at the rear of the anticyclone. This study considers the influence in a region larger
110 than YRD, only focuses the pollution in October, and is mainly on basis of satellite aerosol optical
111 depth (AOD) data. Ground-based monitoring particle concentration data can better represent the
112 status of particle pollution in the urban atmosphere of YRD. Thus, to better understand the
113 relationship between the pollution in planetary boundary layer and the synoptic weather patterns
114 over YRD, further study should be conducted based on the data at least over a year from the
115 surface monitoring in YRD.

116 This work attempts to enhance the understanding of particle pollution in YRD, and provides
117 the scientific knowledge for the association of regional severe particle pollution and synoptic
118 weather patterns. Firstly, we analyze the spatial and temporal distribution of PM₁₀ and PM_{2.5} in



119 YRD from December 2013 to November 2014, aimed to illustrate the characteristics of particle
120 pollution over the region. Secondly, synoptic weather classification is conducted to reveal the
121 weather patterns related with heavy pollution. Finally, synthetic analysis of meteorological fields
122 and backward trajectory calculation are used to further clarify the impact mechanism. In this paper,
123 Section 2 describes the observed data, synoptic weather classification method and the trajectory
124 model. Section 3 presents our main findings, including the detailed analysis of the characteristics
125 of particle pollution in YRD, the synoptic weather patterns affecting the pollution, and the
126 mechanism how weather systems impact the pollution. In the end, a brief summary is addressed in
127 Section 4.

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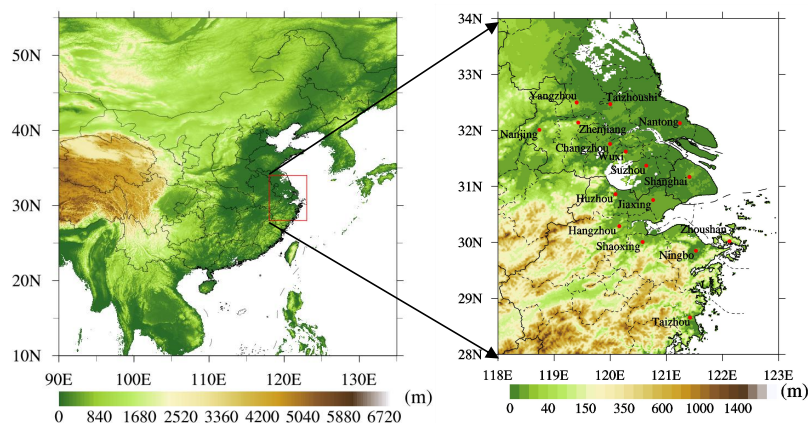
129 **2. Data and methods**

130 **2.1 Observed data**

131 The observed air quality data ($PM_{2.5}$ and PM_{10}) used in this study are from the National
132 Environmental Monitoring Center (NEMC) of China. The in situ monitoring data for the hourly
133 concentrations of AQI, $PM_{2.5}$, PM_{10} , CO, NO_2 , SO_2 and O_3 can be acquired from the national air
134 quality real-time publishing platform (<http://106.37.208.233:20035>). Sixteen cities are selected as
135 the representative research objects to better reflect the status of particle pollution over the YRD
136 region. They are Shanghai, Changzhou, Nanjing, Nantong, Suzhou, Taizhoushi, Wuxi, Yangzhou,
137 Zhenjiang, Hangzhou, Huzhou, Jiaxing, Ningbo, Shaoxing, Taizhou, and Zhoushan. Here we
138 rename Taizhou in Jiangsu Province as Taizhoushi to distinguish it from the city Taizhou in
139 Zhejiang Province. Fig. 1 shows the location of the 16 cities over YRD. The hourly pollutant
140 concentration for a city is calculated as the average of the pollutant concentrations from several
141 national monitoring sites in that city, which can better characterize the pollution levels of the city.
142 The sampling methods and the quality assurance and quality control (QA/QC) procedures at each
143 site act in accordance with the Chinese national standard HJ/T193-2005 (State Environmental
144 Protection Administration of China, 2006; Xie et al., 2016b). Furthermore, manual inspection is
145 conducted in data processing, including the removal of the absent and the abnormal values (such
146 as $PM_{2.5}$ higher than PM_{10}). The period of this study starts from December 2013 to November
147 2014. In the following analysis, winter refers to the period from December 2013 to February 2014.
148 Accordingly, spring, summer and fall represent the period from March to May, June to August,



149 and September to November in 2014, respectively.
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Figure 1. The location of YRD in China and the 16 typical cities over the YRD region, with the terrain elevations data. The terrain elevations data are obtained from the website (https://www.ngdc.noaa.gov/mgg/global/relief/ETOPO1/data/bedrock/cell_registered/).

157 2.2 Synoptic weather classification

158 Synoptic weather classification refers to the analysis of historical weather charts and
159 characterization of weather systems. It has widespread applications in the weather forecast, and is
160 more effective for the disastrous weather forecast due to its intense atmospheric circulation
161 situation. With the gradual popularization of computer and greater sharing of data, synoptic
162 weather classification has great practical value in many other research fields, for example, the
163 field of analyzing the weather patterns related with air pollution (Mcgregor and Bamzels, 1995;
164 Zhang et al., 2012; Santurtún et al., 2015).

165 Methods of synoptic weather classification can be generally divided into the objective and
166 the subjective methods (El-Kadi and Simithson, 1992). In this study, we apply the sums-of-squares
167 technique, which is one of the objective classification methods and established in 1973 by
168 Kirchhofer (Kirchhofer, 1973). The sums-of-squares technique can effectively categorize more
169 than 90% of the analyzed weather maps, which is an improvement over the correlation techniques
170 (Yarnal, 1984). The steps of applying this technique are threefold. Firstly, the daily pressure data
171 at grid points are normalized as follows:



$$Z_i = \frac{(X_i - \bar{X})}{s} \quad (1)$$

172
173 where Z_i is the normalized value of the grid point i , X_i is the value at grid point i , \bar{X} is the mean
174 of the study domain, and s is the standard deviation. Data normalization removes the effects of
175 pressure magnitude and improves the seasonal comparability of weather types. Secondly, each
176 normalized grid is compared to all other grids on the basis of the Kirchofer score (S) for each
177 grid:

$$S = \sum_{i=1}^N (Z_{ai} - Z_{bi}) \quad (2)$$

178
179 where Z_{ai} is the normalized value in grid i on the day a , Z_{bi} is the normalized value in grid i on the
180 day b , and N is the number of grid points. The Kirchofer score (S) is calculated for each row
181 (denoted as S_R), each column (S_C) and the entire study domain (S_T) to ensure the pattern similarity
182 between any pair of patterns for all grid points. Finally, all days are separated into one of the
183 identified synoptic weather patterns according to the three values and empirically derived
184 thresholds. Thereinto, the values of S_R , S_C and S_T must be lower than their respective threshold
185 values so that the patterns can be accepted as similar (Barry et al., 1981). For each daily grid, the
186 lowest significant Kirchofer score (S) is recorded with the associated key day denoting the
187 synoptic type of the day. Remaining days are considered as ‘unclassified’.

188 The dataset of meteorological field used in the sums-of-squares technique is from
189 NCEP–DOE AMIP-II Reanalysis 2 data (Kanamitsu et al., 2002), which are collected at 00:00,
190 06:00, 12:00, and 18:00 UTC (universal time coordinated)
191 (<https://www.esrl.noaa.gov/psd/data/gridded/data.ncep.reanalysis2.pressure.html>). The data have
192 horizontal grids of 144×73 , with a grid spacing of 2.5° . From the ground level to 10 hPa, there are
193 17 pressure levels in the vertical direction. The classification of synoptic weather maps is
194 conducted by using the gridded data at the geopotential height of 850 hPa during the same time
195 period when the air quality data are recorded. The domain of interest is centered over the YRD
196 region, covering an area of $25\text{--}40^\circ$ N in latitude and $110\text{--}128^\circ$ E in longitude.

197

198 2.3 HYSPLIT model

199 Backward trajectories can be adopted to help understand transport paths and identify source



200 regions of air masses (Shan et al., 2009). The Hybrid Single-Particle Lagrangian Integrated
201 Trajectory (HYSPLIT) Model (Version 4) is developed by National Oceanic and Atmospheric
202 Administration (NOAA) Air Resources Laboratory (ARL). It is one of the most extensively used
203 atmospheric transport and dispersion models for the study of air parcel trajectories (Draxler and
204 Rolph, 2013; Rolph, 2013; Stein et al., 2016), and has been well applied in complex transport,
205 diffusion, chemical transformation and deposition processes simulations of atmospheric pollutants
206 (Mcgowan and Clark, 2008; Wang et al., 2011; Huang et al., 2015; Xie et al., 2016b).

207 In this study, HYSPLIT is used to compute the air parcel backward trajectories, determine the
208 source region of air masses, and establish the source-receptor relationships for each synoptic
209 weather pattern. The 72-h backward trajectories are calculated and clustered. The ending point is
210 set at 1500 m above sea level. The NCEP reanalysis data (<http://ready.arl.noaa.gov/archives.php>)
211 are used to drive the backward trajectory calculation. The NCEP data contain 6-hourly basic
212 meteorological fields on pressure surfaces with the spatial resolution of 2.5°. In this study, the data
213 are also converted to hemispheric 144 by 73 polar stereographic grids, which is the same grid
214 configuration as the dataset applied in synoptic weather classification. For each synoptic weather
215 pattern, the terminus of the trajectories is considered to be located at the observation site in
216 Nanjing (32°N, 118.8°E).

217

218 **3. Results and discussion**

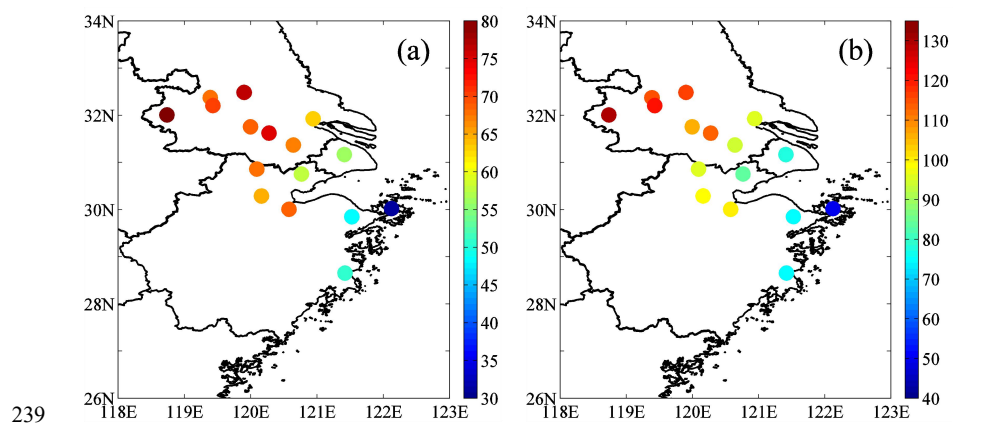
219 **3.1 Characteristics of particle pollution in the YRD region**

220 **3.1.1 Spatial distributions of particle pollution**

221 With the development of modern industrialization and urbanization, the contrasts of
222 atmospheric pollution levels between each city decrease gradually, and the heavy air pollution
223 episodes tend to exhibit significant regional pollution characteristics. Fig. 2 respectively shows the
224 spatial distributions of the annual mean concentrations of PM_{2.5} (Fig. 2a) and PM₁₀ (Fig. 2b) in the
225 16 typical cities over YRD from December 2013 to November 2014. The spatial distributions
226 present the similar pattern as a whole. Taken together, the annual mean PM_{2.5} and PM₁₀
227 concentrations decrease progressively along the northwest-southeast direction, which means
228 particle concentrations are comparatively high in the northwest inland areas and low in the
229 southeast coastal areas. The pollution levels in most cities have a positive correlation with the

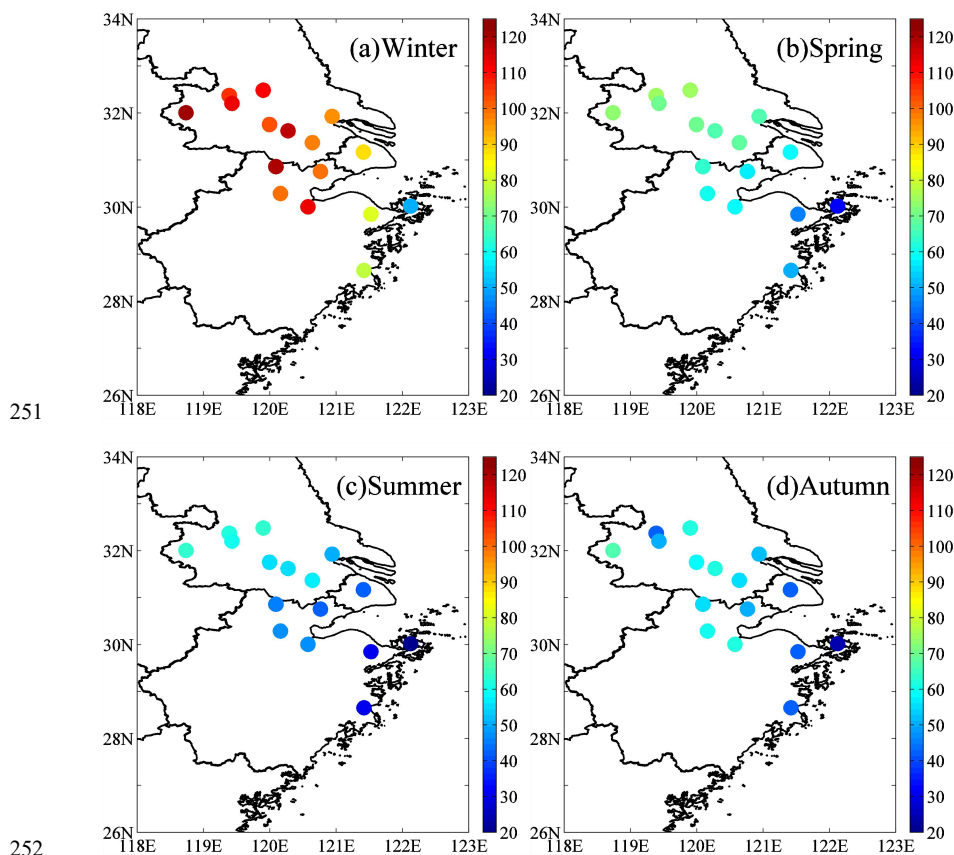


230 proximity from the city to the sea. The farther the city is from the sea, the higher the
231 concentrations are. Thereinto, the maximum concentrations of $PM_{2.5}$ and PM_{10} occur in Nanjing,
232 with the value of 79 and $130 \mu\text{g}\cdot\text{m}^{-3}$, respectively. Given the previous researches on major climatic
233 features in YRD, the southeast coastal area is dramatically affected by the land-sea breeze and
234 marine air masses. The clean marine air masses are advantageous to the dilution and the diffusion
235 of atmospheric pollutants, thus leading to lighter air pollution. Differently, in the inland region, the
236 clustered cities and the industrial districts tend to emit more pollutants and thereby result in more
237 accumulated air pollutants around cities.
238



239
240 **Figure 2.** The spatial distributions of the annual mean concentrations of $PM_{2.5}$ (a) and PM_{10} (b) (unit: $\mu\text{g}\cdot\text{m}^{-3}$)
241 over the YRD region from December 2013 to November 2014.
242

243 Fig. 3 illustrates the spatial distribution of the seasonal mean concentrations of $PM_{2.5}$ in 16
244 cities over the YRD region. The pattern in each season is similar to the annual mean pattern (Fig.
245 2a). The $PM_{2.5}$ pollution levels are much higher in inland cities, and decrease along the
246 northwest-southeast direction. From the perspective of seasonal variation, $PM_{2.5}$ concentrations
247 are highest in winter with the maximum value being up to $120 \mu\text{g}\cdot\text{m}^{-3}$, decrease through the spring,
248 and show the lowest values in summer and autumn. The difference between the $PM_{2.5}$
249 concentration in summer and that in autumn is relatively small, with the maximum value lower
250 than $60 \mu\text{g}\cdot\text{m}^{-3}$ and the minimum close to $20 \mu\text{g}\cdot\text{m}^{-3}$.



254 **Figure 3.** The spatial distribution of the seasonal mean $PM_{2.5}$ concentrations (unit: $\mu\text{g}\cdot\text{m}^{-3}$) over the YRD
255 region: (a) winter, (b) spring, (c) summer, and (d) autumn.

256

257 Table 1 demonstrates the annual mean concentrations of $PM_{2.5}$ and PM_{10} in 16 cities over the
258 YRD region. It shows that the concentrations in inland cities are relatively higher. The
259 concentrations of $PM_{2.5}$ and PM_{10} in 8 cities of Jiangsu province are higher than $60 \mu\text{g}\cdot\text{m}^{-3}$ and 80
260 $\mu\text{g}\cdot\text{m}^{-3}$, respectively. However, the concentrations in the cities located in the coastal area (such as
261 Ningbo, Taizhou and Zhoushan) are comparatively lower. Only the air quality of Zhoushan meets
262 the national standard, which may be attributed to the fact that it is located on the island where the
263 air is more likely influenced by the clean marine air masses.

264 To reveal the important role of $PM_{2.5}$ in particle pollution, the ratios of $PM_{2.5}$ concentration to
265 PM_{10} concentration ($PM_{2.5}/PM_{10}$) are calculated over YRD. As listed in Table 1, the maximum
266 annual mean value of the $PM_{2.5}/PM_{10}$ ratio is 0.72 in Shanghai, followed by Huzhou and Suzhou



267 (with the value of 0.71), implying that $PM_{2.5}$ fraction is overwhelmingly dominant of the PM_{10}
 268 mass in these cities. The $PM_{2.5}/PM_{10}$ ratios in other cities are between 0.60 and 0.69, with the
 269 minimum value of 0.58 in Zhenjiang. These values are comparable to those in other cities like
 270 Beijing (He et al., 2001), Shanghai (Wang et al., 2013), Taiwan (Chen et al., 1999), and Hong
 271 Kong (Ho et al., 2003), suggesting that the formation of $PM_{2.5}$ from gases is the most importance
 272 source of particles in the cities of China. Table 1 also presents that the $PM_{2.5}/PM_{10}$ ratios in all
 273 cities show a distinct seasonal variation. It is remarkable that the values of $PM_{2.5}/PM_{10}$ in winter
 274 are much higher than those in other seasons, with the maximum value reaching 0.85 in Shanghai
 275 and followed by 0.82 in Suzhou. The highest concentrations of $PM_{2.5}$ usually occur in winter (Fig.
 276 3a) and high values of $PM_{2.5}/PM_{10}$ ratio also appear in the same season (Table 1), suggesting that
 277 $PM_{2.5}$ poses a greater threat to human health in cold seasons that may be related to the heating
 278 activities. In summer, the values of $PM_{2.5}/PM_{10}$ in 16 cities the ratios are medium, with the mean
 279 value of 0.67. The lowest ratios usually occur in spring and autumn, with the mean ratios of all
 280 cities being 0.61 (spring) and 0.63 (autumn). The minimum value occurs in the autumn of
 281 Yangzhou with the value of 0.51, followed by 0.52 in the spring of Nanjing and the autumn of
 282 Zhenjiang. The above discussion about the spatial and temporal variations of $PM_{2.5}/PM_{10}$ ratios
 283 also implies that particles originate from various kinds of sources and are variedly emitted.

284

285 **Table 1. Annual mean concentrations of $PM_{2.5}$ and PM_{10} , and the annual and seasonal mean values of $PM_{2.5}/$
 286 PM_{10} ratio in 16 cities over the YRD region.**

Cities	$PM_{2.5}$ ($\mu\text{g}\cdot\text{m}^{-3}$)	PM_{10} ($\mu\text{g}\cdot\text{m}^{-3}$)	$PM_{2.5}/PM_{10}$					
			Annual	Winter	Spring	Summer	Autumn	
Shanghai	56	78	0.72	0.85	0.68	0.72	0.66	
Nanjing	79	130	0.61	0.64	0.52	0.70	0.60	
Changzhou	69	106	0.65	0.73	0.60	0.67	0.62	
Nantong	63	95	0.66	0.72	0.62	0.71	0.64	
Jiangsu Province	Suzhou	67	94	0.71	0.82	0.68	0.71	0.67
	Taizhoushi	76	117	0.65	0.66	0.58	0.72	0.66
	Wuxi	75	114	0.66	0.73	0.59	0.67	0.62
	Yangzhou	68	114	0.60	0.69	0.58	0.59	0.51
	Zhenjiang	70	121	0.58	0.71	0.54	0.58	0.52
Zhejiang Province	Hangzhou	65	99	0.66	0.74	0.59	0.63	0.66
	Huzhou	68	96	0.71	0.78	0.66	0.68	0.69
	Jiaxing	58	84	0.69	0.75	0.65	0.68	0.69



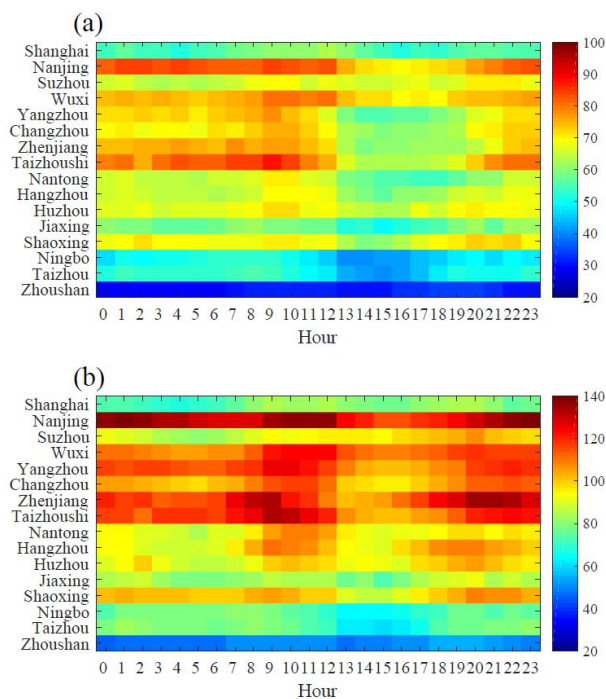
Ningbo	48	75	0.64	0.69	0.62	0.63	0.62
Shaoxing	68	100	0.68	0.72	0.62	0.71	0.68
Taizhou	50	75	0.67	0.69	0.66	0.66	0.65
Zhoushan	31	50	0.63	0.66	0.62	0.66	0.55

287

288 **3.1.2 Temporal variations of particle pollution**

289 Fig. 4 shows the annual mean diurnal variation of PM_{2.5} (Fig. 4a) and PM₁₀ (Fig. 4b) in 16
290 cities over YRD. Obviously, the diurnal cycles of particle concentrations in most cities follow the
291 similar pattern. The PM_{2.5} concentrations maintain comparably high values from 0:00 to 8:00.
292 From then on, coinciding with more vehicle emission in rush hours, the concentrations go up
293 rapidly from 8:00 to 12:00. After reaching the peak, PM_{2.5} concentrations decrease and keep the
294 low values until the sunset. During the nighttime, the pollutants get accumulated until the
295 midnight, which might be attributed to the more stable atmospheric stratification in the boundary
296 layer. In comparison, there are two peaks in the diurnal cycles of PM₁₀ concentration in several
297 cities. The broad morning peak of PM₁₀ concentrations is more evident from 8:00 to 12:00, and
298 the evening one occurs around 20:00. Besides, the diurnal change of particle concentrations in the
299 southeast coastal area like Zhoushan is much smaller. As discussed in Section 3.1.1, the difference
300 might be related to its special geographic location, low pollution level and less emission of
301 precursors.

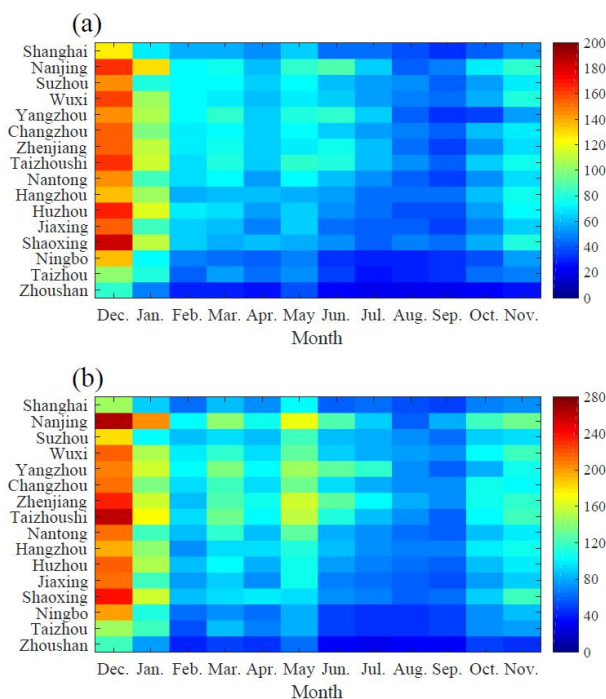
302



303
304 **Figure 4. Diurnal variations of (a) $PM_{2.5}$ and (b) PM_{10} concentrations (unit: $\mu\text{g}\cdot\text{m}^{-3}$) in 16 cities of the YRD**
305 **region.**

306

307 Fig. 5 demonstrates the monthly mean concentrations of $PM_{2.5}$ (Fig. 5a) and PM_{10} (Fig. 5b) in
308 16 cities of the YRD region. As illustrated in the figure, there are three peaks in the seasonal
309 variations of particles over YRD. The three peaks occur in December, March, and May/June,
310 which is more obvious in the monthly variation of PM_{10} . The causes result in the wintertime peak
311 of particle concentrations can be explained by two factors. One is the enhanced pollutants
312 emissions from residential heating. The other is the stable and poor meteorological conditions that
313 limit the dilution and diffusion of atmospheric pollutants. For the peak appearing in March, the
314 drivers may be associated with dust storms events in spring (Zhuang et al., 2001; Fu et al., 2010;
315 2014). As discussed in Section 3.1.1, the values of $PM_{2.5}/PM_{10}$ ratio in 16 cities are lowest in
316 spring with the mean ratios of 0.61. High PM_{10} concentrations during this period further prove that
317 dust storms bring more coarse dust particles. For the peak in May or June, it is probably caused by
318 field burning of crop residue in rural areas of China, which is regarded as an important source of
319 biomass burning (Yan et al., 2006; Yang et al., 2007; Zhu et al., 2012).



320

321 **Figure 5. Monthly variations of (a) PM_{2.5} and (b) PM₁₀ concentrations (unit: µg·m⁻³) in 16 cities of the YRD**
322 **region.**

323

324

3.1.3 Regional severe particle pollution in YRD

325

326 According to the National Ambient Air Quality Standard (NAAQS) of China, the urban air
327 quality needs to meet the second standard with the annual mean concentrations of PM_{2.5} and PM₁₀
328 lower than 35 µg·m⁻³ and 70 µg·m⁻³, respectively. In this study, when the daily mean PM_{2.5} (PM₁₀)
329 concentrations exceed the national air quality standard in most (8 or more) of the 16 YRD cities,
330 we define that there is a large-scale regional PM_{2.5} (PM₁₀) pollution. Consequently, from
331 December 2013 to November 2014, 98 (46) days when the large-scale regional PM_{2.5} (PM₁₀)
332 pollution episode occurred are identified. That is, YRD suffered from the regional PM_{2.5} (PM₁₀)
333 pollution in nearly 28.0% (13.1%) days of the year.

334

335 Table 2 shows the typical regional severe particle pollution episodes (no less than 3 days) in
336 YRD from December 2013 to November 2014. As illustrated in the table, there are dozens of
337 continuous large-scale particle pollution episodes. For example, PM_{2.5} concentrations exceeded
the national standard in 16 cities from December 1 to 5 in 2013, and there were more than 14
cities facing the PM₁₀ pollution at the same time. From May 26 to 30 in 2014, serious PM_{2.5} and



338 PM₁₀ pollution were found in more than 10 cities. It seems that high PM_{2.5} level pollution episodes
339 are remarkably associated with high PM₁₀ level pollution episodes. Moreover, the regional PM_{2.5}
340 pollution episodes occurred much more frequently than the PM₁₀ pollution episodes. It might be
341 owing to the fact that fine particles dominate the composition of particles in YRD (as discussed in
342 Section 3.1.2).

343

344 **Table 2. The typical regional severe particle pollution episodes (no less than 3 days) in YRD from December**
345 **2013 to November 2014.**

Episodes of PM _{2.5} pollution	Episodes of PM ₁₀ pollution
1-6 Dec.	1-6 Dec.
11-15 Dec.	12-15 Dec.
24-26 Dec.	24-26 Dec.
28 Dec. - 6 Jan.	29 Dec. - 5 Jan.
15-20 Jan.	17-20 Dec.
30 Jan. - 2 Feb.	26-30 May
20-24 Feb.	
16-18 Mar.	
8-10 Apr.	
20-22 May	
26-30 May	
5-7 Jun.	
28 Jun. - 1 Jul.	
10-12 Nov.	

346

347 3.2 Synoptic weather classification

348 To examine the relationship between the regional severe particle pollution in YRD and the
349 weather situations, the synoptic weather classification is carried out from December 2013 to
350 November 2014 in this work. Follow the method described in Section 2.2, we conduct the
351 classification of synoptic weather pattern by using the dataset of geopotential height at 850 hPa
352 collected from NCEP gridded data. As shown in Table 3, five weather patterns are identified,
353 including the East Asian trough rear pattern (Pattern 1), the depression inverted trough pattern
354 (Pattern 2), the transversal trough pattern (Pattern 3), the high-pressure controlled pattern (Pattern
355 4), and the northeast cold vortex pattern (Pattern 5). The unknown type is defined as the
356 unclassified pattern. During the study period, weather situation on 95.6% of the days is classified
357 as one of the five typical synoptic weather patterns.



358 Table 3 lists the typical date, the number of days, and seasonal occurrence frequencies of
 359 each synoptic weather pattern. As demonstrated in the table, Pattern 1 is the dominant weather
 360 pattern in YRD, which accounts for 47.6% of all days of the year (from December 2013 to
 361 November 2014). The occurrence frequencies of Pattern 2 and 3 are 20.0% and 18.1%,
 362 respectively. Pattern 4 and 5 are identified on the fewest number of days, with the occurrence
 363 frequencies of 4.1% and 5.8%, respectively.

364 Table 3 also shows the seasonal occurrence frequencies of each pattern from December 2013
 365 to November 2014. Obviously, they are distinctly different. Pattern 1 tends to occur in winter with
 366 the frequency of 30.5%, followed by spring (25.9%), summer (21.8%) and autumn (21.8%).
 367 Pattern 2 is the most popular weather pattern in summer with the occurrence frequency of 37.0%,
 368 followed by spring (30.1%), autumn (21.9%) and winter (11.0%). As for Pattern 3, the seasonal
 369 frequencies are in the order of winter (36.4%), spring (27.3%), autumn (19.7%) and summer
 370 (16.7%). For Pattern 4 and Pattern 5, they are both most likely to take place in autumn, with the
 371 occurrence frequencies being 53.3% and 42.9%, respectively. The occurrence frequencies of
 372 Pattern 4 and Pattern 5 in other seasons account for nearly 50%.

373

374 **Table 3. The typical date, the number of days, and the seasonal occurrence frequencies of each synoptic**
 375 **weather pattern.**

Synoptic weather patterns	Typical date	Number of days	Occurrence frequency (%)			
			Spring	Summer	Autumn	Winter
East Asian trough rear pattern (Pattern 1)	2014-05-12	174 (47.7%)	25.9	21.8	21.8	30.5
Depression inverted trough pattern (Pattern 2)	2014-05-09	73 (20.0%)	30.1	37.0	21.9	11.0
Transversal trough pattern (Pattern 3)	2014-02-18	66 (18.1%)	27.3	16.7	19.7	36.4
High-pressure controlled pattern (Pattern 4)	2014-10-07	15 (4.1%)	13.3	26.7	53.3	6.7
Northeast cold vortex pattern (Pattern 5)	2014-09-14	21 (5.8%)	19.0	23.8	42.9	14.3
Unclassified pattern	—	16 (4.4%)	—	—	—	—

376

377 3.3 Effects of synoptic weather patterns on particle pollution

378 3.3.1 Relationship between synoptic weather pattern and particle pollution

379 To figure out the relationship between synoptic weather pattern and particle pollution, the



380 occurrence frequencies of the five typical synoptic patterns during the regional severe particle
381 pollution episodes are calculated. As shown in Table 4, during the regional PM_{2.5} (PM₁₀) pollution
382 episode days, Pattern 1 is the dominant synoptic weather pattern, with the occurrence frequency of
383 70.4% (78.3%). For PM_{2.5} pollution, Pattern 2 and Pattern 3 both occur for 14.3% of the days. For
384 PM₁₀ pollution, Pattern 2 (6.5%) appears less frequently than Pattern 3 (15.2%). The occurrence
385 frequencies of Pattern 4 and Pattern 5 are less than 1%, and can almost be ignored on that account.

386 According to Table 3 and Table 4, the occurrence frequency of Pattern 1 during the regional
387 particle pollution episodes is obviously higher than its occurrence in the whole year. In contrast,
388 the occurrences of Pattern 2 and Pattern 3 during the regional particle pollution episodes are less
389 frequently than those throughout the year. Moreover, Pattern 4 and Pattern 5 appear far less
390 frequently during the regional particle pollution episodes than their appearance within a year. To
391 sum up, it suggests that the weather situation of Pattern 1 is more beneficial for the formation of
392 large-scale regional particle pollution in YRD.

393

394 **Table 4. The occurrence frequencies of synoptic weather patterns during the regional severe PM_{2.5} and PM₁₀**
395 **pollution episodes**

Synoptic weather patterns	PM _{2.5}		PM ₁₀	
	Number of days	Frequency (%)	Number of days	Frequency (%)
Pattern 1	69	70.4	36	78.3
Pattern 2	14	14.3	3	6.5
Pattern 3	14	14.3	7	15.2
Pattern 4	0	0%	0	0
Pattern 5	1	1.0	0	0

396

397 3.3.2 The impact mechanism of synoptic weather patterns on heavy particle pollution

398 Fig. 6 to 10 present the meteorological fields and the backward trajectories under the weather
399 situations of the five synoptic weather patterns. The first graphs of Fig. 6 to 10, which are
400 identified as a, illustrate the 850 hPa geopotential height field and wind field on the typical date of
401 each pattern. The highlighted red boxes point out the essential area (YRD) that we focus on. The
402 second graphs identified as b demonstrate the height-latitude cross-sections of vertical velocity in
403 the latitude (25-40°N), which is averaged from 110-128°E in the longitude. The bold black lines
404 show the latitude range of 16 cities (28.6-32.5°N) over YRD. The positive wind speeds (10^2 Pa s^{-1})
405 indicate that there are vertical downward atmospheric motions, while the negative wind speeds



406 represent the upward motion. Besides, it is well known that the atmospheric pollutant transport
407 trajectories are deeply affected by synoptic systems. As shown in the third graphs marked with c
408 in Fig. 6 to 10, to reveal how the typical synoptic weather patterns influence the distribution of
409 particles in YRD, the 72-h backward trajectories are calculated and then clustered. Given that
410 Nanjing is the most polluted among the 16 cities in YRD as described in Section 3.1, the
411 observational site in Nanjing (32°N, 118.8°E) is chosen for the terminus of the trajectories for each
412 synoptic weather pattern.

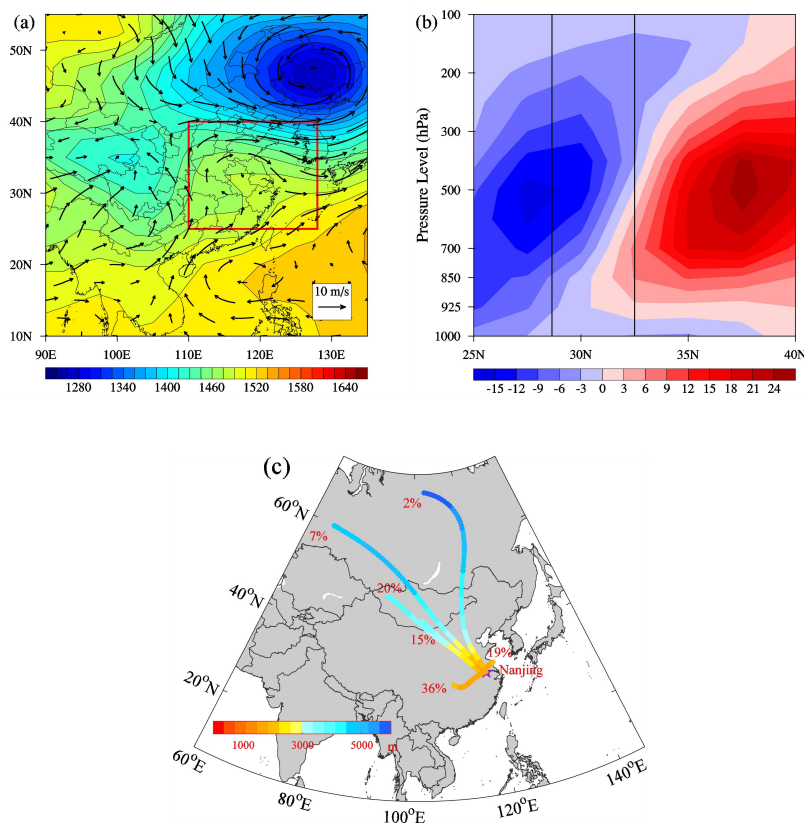
413 As illustrated in Fig. 6a, Pattern 1 usually occurs when northeastern China is entirely affected
414 by the Siberian high. East Asian major trough appears along the eastern coastline of China, and it
415 is nearly close and parallel to the right edge of the study domain (shown by the red box). At this
416 time, the YRD region is located at the rear of the East Asian major trough and the front edge of the
417 ridge. The strong horizontal northwest wind in the front of the East Asia major trough can
418 transport the pollutants from the Beijing-Tianjin-Hebei (BTH) region to YRD. At the same time, a
419 weak low-pressure center appears in central China. In the south of the low-pressure center, the
420 southwest wind can also transport the pollutants from the Sichuan Basin to YRD. The confluence
421 of air flows may contribute to the accumulation of pollutants in YRD. The above discussion can
422 be proved by the 72-h backward trajectories displayed in Fig. 6c. When YRD is under the control
423 of Pattern 1, the air masses are mainly from the north of China (44%), followed by the Sichuan
424 Basin (36%) and the north of YRD (19%). It suggests that the particle pollution is remarkably
425 affected by the polluted air masses from BTH and Cheng-Yu agglomeration.

426 In the vertical section (Fig. 6b), the upward air flows dominate in the south of 32°N, while
427 the downward air flows prevail in the north of 32°N. The largest ascending velocity ($< -15 \times 10^{-2}$ Pa
428 s^{-1}) and subsiding velocity ($> 24 \times 10^{-2}$ Pa s^{-1}) both appear at the altitude of 500 hPa. They
429 respectively occur in the latitude of 27.5°N and 37.5°N. It is convinced that there is a large-scale
430 vertical atmospheric circulation above the YRD cities. Particularly, weak upward motion
431 dominates below the altitude of 925 hPa. That means that local pollutants are transported upward
432 and then back to the YRD cities by the strong outward downdrafts in the higher latitude. The
433 strong horizontal northwest wind hinders the vertical transport. Overall, this weather situation is
434 disadvantageous to the diffusion of atmospheric pollutants. This result is consistent with the
435 finding of Zheng et al (2015b).



436

Pattern 1



437

438

439

440 **Figure 6.** East Asia major trough rear pattern (Pattern 1). (a) 850 hPa geopotential height field and wind
441 field, (b) height-latitude cross-sections of vertical velocity (unit: 10^{-2} Pa/s) averaged from longitude of
442 110-128°E. The black rectangular region represents the 16 cities in YRD (28.6-32.5°N), and (c) 72-h
443 backward trajectory ending at the height of 1500 m. The purple marker indicates the location of Nanjing
444 (32°N, 118.8°E).

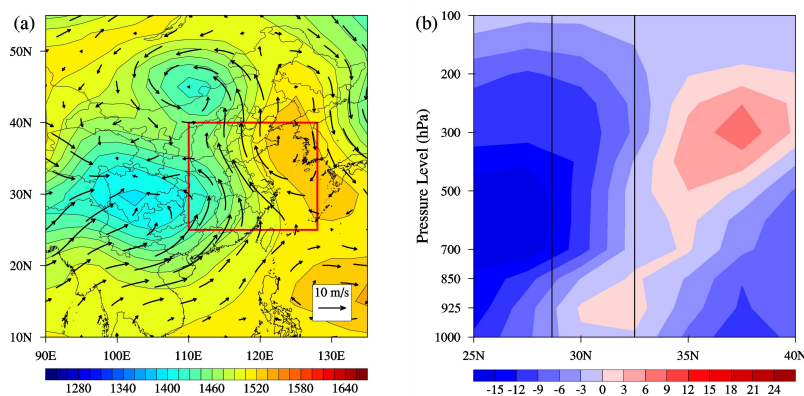
445

446 As for Pattern 2, two low-pressure centers are centered in the central China and the north of
447 Inner Mongolia region, the East China Sea is influenced by a high-pressure system, and a
448 depression inverted trough extends and covers the YRD region in latitude (Fig. 7a). Consequently,
449 in YRD, the strong southwest air flows from southern China meet with the southeast air flows
450 from the East China Sea. After the convergence of air masses, they jointly transport pollutants
451 northwestward. Fig. 7c also illustrates these air pollutant transport paths. For the days when
452 Pattern 2 dominates, about 42% of the air masses are from the southwest and the south of China,
453 and 15% are from the East China Sea. Besides, there are nearly 43% originating from the local



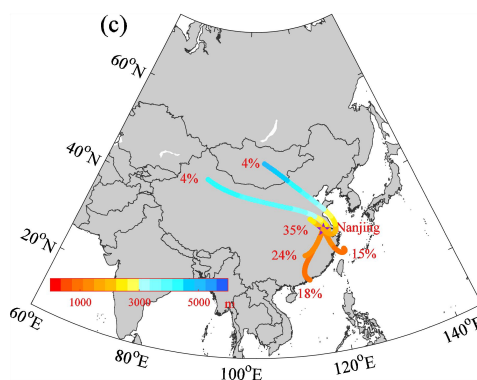
454 sources of YRD, which may be related with the short-range air masses transport. The air masses
455 from the East China Sea are very important, because the clean marine air masses may dilute the
456 particle concentrations in YRD. For the vertical structure (Fig. 7c), the upward air flows dominate
457 in the south of 34°N except for weak downward motion between 30-33°N below the 850 hPa layer.
458 The largest updrafts zone ($< -15 \times 10^{-2} \text{ Pa s}^{-1}$) appears in the north of 28°N and between the altitude
459 of 700 hPa and 500 hPa. Different from Pattern 1, there is weaker descending motion above the
460 500 hPa layer and stronger ascending motion below that level. This difference suggests that
461 pollutants in YRD are horizontally transported northwestward to higher latitude, and vertically
462 transport upward to high atmospheric levels. Thus, though Pattern 2 may cause the regional
463 particle pollution in YRD, it can also benefit the diffusion of pollutants to some extent.
464

Pattern 2



465

466



467

468

469

Figure 7. As in Fig. 6 but for depression inverted trough pattern (Pattern 2).



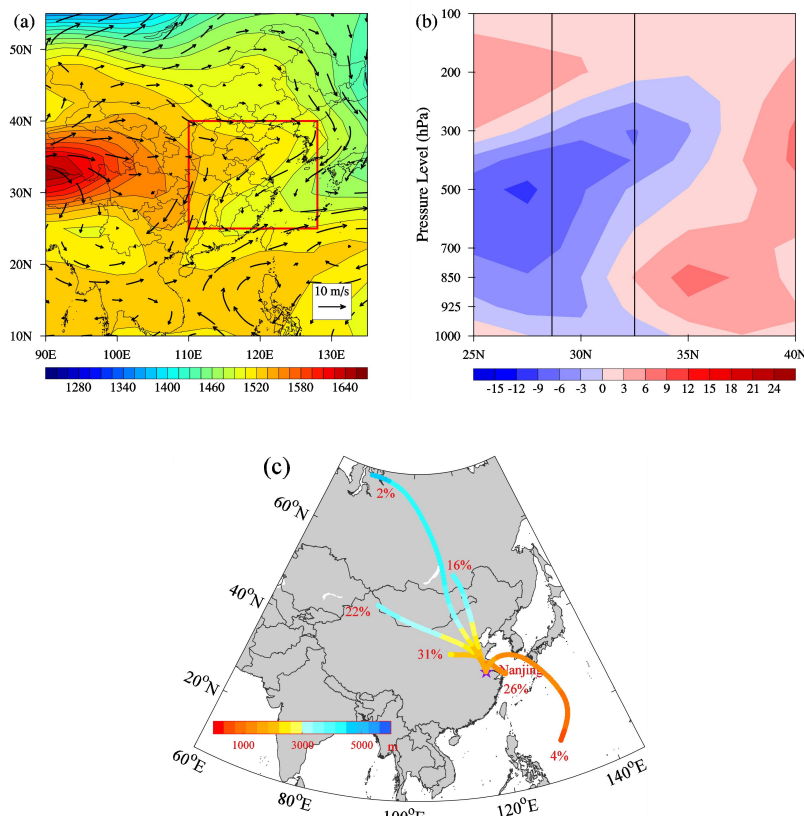
470 For Pattern 3, it tends to occur in winter (36.4%, as displayed in Table 3). Under this
471 circumstance, the Qinghai-Tibet Plateau is usually regarded as a cold source. A strong cold
472 high-pressure system is formed in the lower layer of the plateau, accompanied by an anti-cyclonic
473 circulation (Fig. 8a). Meanwhile, the northeastern China is under the steering influence of the
474 high-pressure ridge. A transversal trough covers the YRD region, and its axis orienting from the
475 northeastern sea areas to southwest inland areas. Affected by the strong northeast wind from the
476 Yellow Sea, the polluted northwest air flows in the north of transversal trough are slowed down.
477 The above discussion is further proved by the results from back trajectory calculations. As
478 suggested in Fig. 8c, most air masses in clusters are from the Loess Plateau, with the percentage of
479 31%. The transport path of this cluster is relatively short, which might be attributed to the
480 weakened northwest wind. The long-range transport of air masses from remote Mongolia also
481 accounts for 22% of all trajectories. Besides, the local transport of air masses from the southeast
482 coastal area in YRD accounts for 26%, which is associated with the northeast air flows. The
483 marine air masses cluster originates from western Pacific via the Yellow Sea accounts for 4%.
484 They both bring the clean marine air masses to YRD, which is somewhat beneficial to the
485 mitigation of particle pollution in YRD. For the vertical structure (Fig. 8b), the distribution of
486 vertical velocity below the altitude of 300 hPa is similar to that of Pattern 1, whereas the vertical
487 wind is slower for the weather systems in Pattern 3. Thus, influenced by the downdrafts in higher
488 latitudes and horizontal northeast air flows, more clean marine air masses may be transported to
489 YRD. In all, Pattern 3 may cause particle pollution in YRD when the north polluted air masses are
490 transported in, but it is also conducive to the diffusion of pollutants because of the clean marine air
491 masses.

492

493



Pattern 3



494
495

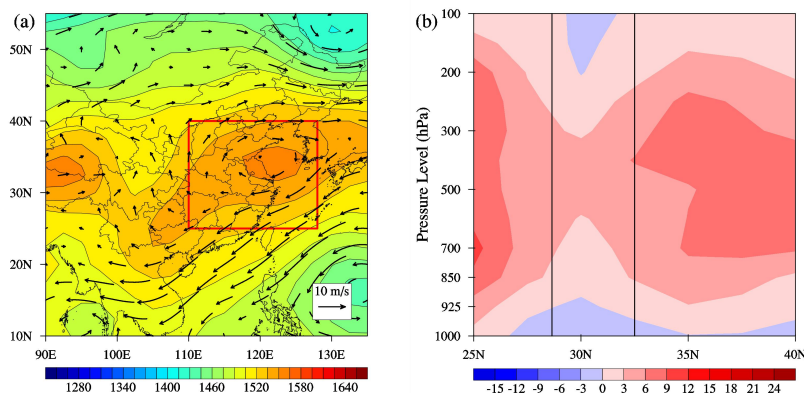
496
497 **Figure 8.** As in Fig. 6 but for transversal trough pattern (Pattern 3).

498

499 With respect to Pattern 4, the study domain is totally under the control of a high-pressure
500 system (Fig. 9a). The anti-cyclonic circulation prevails over YRD and horizontally brings the
501 clean marine air masses to the land. Accordingly, influenced by the high-pressure system, the
502 downward atmospheric motion dominates in the vertical direction obviously (Fig. 9b). The weak
503 updrafts near the surface may be related to the regional thermodynamic circulation. As shown in
504 Fig. 9c, the cluster with the largest frequency of 32% stands for the local transport of air masses
505 from southern adjacent areas in YRD. Additionally, the air masses from North China via Bohai
506 Bay (25%), from Japan via the Yellow Sea (23%), and from the Philippines via the East China Sea
507 (5%) are also representative. These clusters passing over the ocean areas totally account for more
508 than 50% of all trajectories. Therefore, under this weather situation, it is confirmed that the
509 dilution effects of clean marine air masses play great roles in the particle pollution over YRD.



Pattern 4



510
511

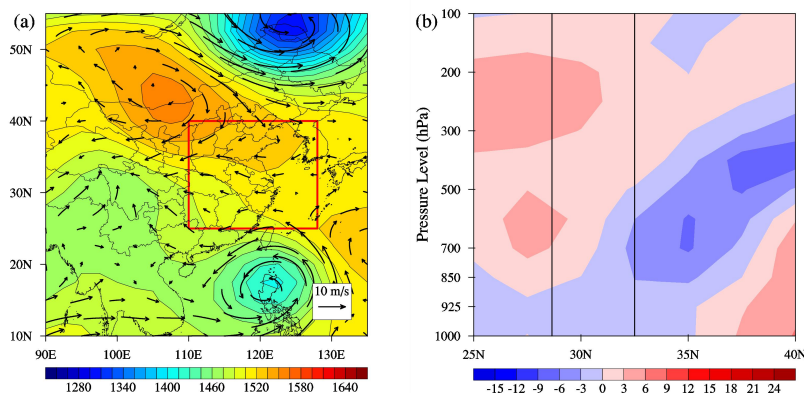
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513
514

Figure 9. As in Fig. 6 but for high-pressure controlled pattern (Pattern 4).

515 Finally, Pattern 5 features the most complex circulation situation (Fig. 10a). The northeastern
516 China is controlled by a cold eddy system. The central China is impacted by a high-pressure ridge.
517 A strong tropical low-pressure system is located around Luzon. At this time, YRD is located in the
518 south of the central high-pressure system and north of the strong tropical low-pressure system.
519 The horizontal southeast wind prevails and carries clean marine air masses from the East China
520 Sea to YRD. At the same time, upward air flows are dominant and comparatively weak ($>3 \times 10^{-2}$
521 Pa s^{-1}) in the lower troposphere (Fig. 10b). According to Fig. 10c, the cluster with the largest
522 frequency of 45% consists of the wet air parcels from Japan via the Yellow Sea. Only 5% of the
523 trajectories originates from the Philippines and pass over the East China Sea. On the whole, the
524 weather systems in Pattern 4 and 5 are both mainly influenced by the clean marine air masses, and
525 largely beneficial to the diffusion of the pollutants.

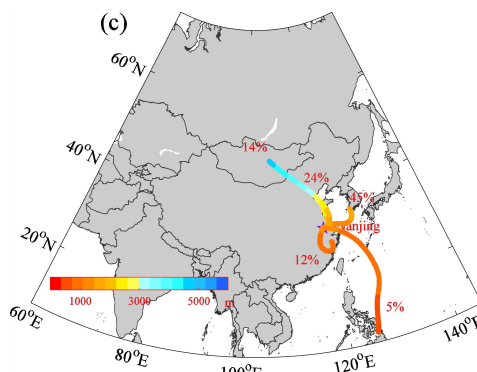


Pattern 5



526

527



528

529 **Figure 10.** As in Fig. 6 but for northeast cold vortex pattern (Pattern 5).

530

531 To sum up, under the influence of weather system of Pattern 1, the particle pollution in YRD
532 is largely affected by the transport of pollutants from the south and north inland regions of China.
533 This weather situation is extremely not favorable to the diffusion of air pollutants, and responsible
534 for the most large-scale particle pollution episodes over YRD. As for Pattern 2 and Pattern 3, the
535 polluted air masses mainly travel from inland areas, and synchronously meet with the clean
536 marine air masses in YRD. To some extent, this weather situation is helpful to the mitigation of
537 particle pollution in YRD. With respect to Pattern 4 and Pattern 5, YRD is directly influenced by
538 the air flows traveling from the ocean areas, and has little chance of being polluted. It suggests
539 that the clean marine air masses have great dilution impacts on the particle pollution over YRD.

540

541

542 **4. Conclusions**



543 In this study, the spatial and temporal distribution of particle pollution in 16 YRD cities are
544 characterized from December 2013 to November 2014. Meanwhile, the synoptic weather
545 classification is conducted to identify the dominant weather patterns over YRD. The
546 meteorological fields and 72-h backward trajectories are analyzed to reveal the potential impacts
547 of weather systems on the regional severe particle pollution.

548 From the observational records, it is shown that the concentrations of $PM_{2.5}$ and PM_{10}
549 decrease progressively along the northwest-southeast direction. The pollution levels are
550 comparatively high in the Jiangsu Province and much lower in the southeast coastal area (Ningbo,
551 Taizhou and Zhoushan). The highest particle concentration occurs in Nanjing, with the
552 concentrations of $PM_{2.5}$ and PM_{10} being $79 \mu\text{g}\cdot\text{m}^{-3}$ and $130 \mu\text{g}\cdot\text{m}^{-3}$, respectively. The $PM_{2.5}/PM_{10}$
553 ratios are high in YRD, especially in winter. The seasonal mean $PM_{2.5}/PM_{10}$ ratios are 0.73
554 (winter), 0.61 (spring), 0.67 (summer) and 0.63 (autumn), respectively. These high $PM_{2.5}/PM_{10}$
555 ratios suggest that the $PM_{2.5}$ fraction is extraordinarily dominant in the PM_{10} mass in YRD. The
556 diurnal cycles of particle concentrations in most cities follow the same pattern, with a morning
557 peak from 8:00 to 12:00. There are three peaks in seasonal variations (December, March, and May
558 or June). The wintertime peak is closely related to the enhanced emissions in the heating season
559 and poor meteorological conditions. YRD suffered from the $PM_{2.5}$ (PM_{10}) pollution in nearly
560 28.0% (13.1%) days of the year. The continuous large-scale regional $PM_{2.5}$ pollution episodes
561 occur much more frequently than the PM_{10} pollution episodes.

562 Based on the sums-of-squares technique, five typical synoptic weather patterns are
563 objectively classified in YRD, including the East Asia major trough rear pattern (47.7%), the
564 depression inverted trough pattern (20.0%), the transversal trough pattern (18.1%), the
565 high-pressure controlled pattern (4.1%) and the northeast cold vortex pattern (5.8%). When YRD
566 is located at the rear of the East Asian major trough (Pattern 1), it is primarily influenced by the
567 polluted air masses traveling from southern and northern inland regions. The analysis of
568 meteorological field also indicates that the strong horizontal northwest wind hinders the vertical
569 outward transport of pollutants. Thus, this weather situation is extremely unfavorable for the
570 diffusion of the pollutants, and contributes most to the occurrence of large-scale regional $PM_{2.5}$
571 (70.4%) and PM_{10} (78.3%) pollution episodes in YRD. In contrast, under the weather system of
572 other synoptic patterns, especially Pattern 4 and 5, the clean marine air masses play crucial roles in



573 the mitigation of pollution over YRD. Under these weather patterns, YRD has much less chance of
574 being polluted.

575 In summary, the above results reveal that the particle pollution in China is no longer a thorny
576 issue over a single city, but over a regional scale. This study can enhance the understanding of
577 features of particle pollution in East Asia. Meanwhile, it also confirmed that large-scale synoptic
578 weather systems have great impacts on region particle pollution episodes. Therefore, the
579 establishment of the potential links between different levels of particle pollution and predominant
580 synoptic patterns can provide an insightful view on formulating pollution control and mitigation
581 strategies.

582

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589

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