



1 Environmentally dependent dust chemistry of a super Asian dust storm in
2 March 2010: observation and simulation

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17

18 **Abstract**

19 Near surface and vertical *in situ* measurements of atmospheric aerosols were
20 conducted in Shanghai during March 19-27, 2010 to explore the transport and
21 chemical evolution of dust aerosols in a super dust storm. An air quality model with
22 optimized physical dust emission scheme and newly implemented dust chemistry was
23 utilized to study the impact of dust chemistry on regional air quality. Two
24 discontinuous dust periods were observed with one travelling over Northern China
25 (DS1) and the other passing over the coastal regions of Eastern China (DS2). Stronger
26 mixing extents between dust and anthropogenic emissions were found in DS2,
27 reflecting by the higher SO₂/PM₁₀ and NO₂/PM₁₀ ratios as well as typical pollution
28 elemental species such as As, Cd, Pb, and Zn. As a result, the concentrations of SO₄²⁻



29 and NO_3^- and the ratio of Ca^{2+}/Ca were more elevated in DS2 than in DS1 but
30 opposite for the $[\text{NH}_4^+]/[\text{SO}_4^{2-}+\text{NO}_3^-]$ ratio, suggesting the heterogeneous reactions
31 between calcites and acid gases were significantly promoted in DS2 due to the higher
32 level of relative humidity and gaseous pollution precursors. Lidar observation showed
33 a columnar effect on the vertical structure of aerosol optical properties in DS1 that
34 dust dominantly accounted for ~80-90% of the total aerosol extinction from near the
35 ground to ~700m. In contrast, the dust plumes in DS2 were refrained within lower
36 altitudes while the extinction from spheric particles exhibited maximum at a high
37 altitude of ~800m. The model simulation reproduced relatively consistent results with
38 observations that strong impacts of dust heterogeneous reactions on secondary aerosol
39 formation occurred in areas where the anthropogenic emissions were intensive.
40 Compared to the sulfate simulation, the nitrate formation on dust is suggested to be
41 improved in the future modeling efforts.

42

43 **1. Introduction**

44 Asian dust originating from the arid and semiarid areas in Mongolia and China can
45 be transported for long distances, reaching Beijing (Sun et al., 2010), Shanghai (Fu et
46 al., 2010), Xiamen (Zhao et al., 2011), Taiwan (Tsai et al., 2012; Tsai et al., 2014), and
47 even as far as North America (Uno et al., 2009; Wu et al., 2015), exerting significant
48 impacts on the air quality of both densely populated habitations and remote regions.
49 Dust aerosols can significantly influence the regional/global climate directly by
50 absorbing and scattering solar radiation (Bi et al., 2016) and also indirectly by
51 influencing the formation of ice nuclei, cloud, and precipitation (Creamean et al.,
52 2013; Li and Min, 2010; Wang et al., 2010). In addition, deposition of transported
53 dust aerosols into the ocean can enhance phytoplankton blooms due to the existence
54 of bioavailable iron (Wang et al., 2012; Zhuang et al., 1992), which indirectly impacts
55 on global climate change.

56 The effects of dust aerosols on climate change depend critically on their physical



57 and chemical properties. Natural dust aerosols with limited contamination have low
58 light-absorption, with single-scattering albedo of 0.91-0.97 at 500nm and 550nm (Bi
59 et al., 2014; Uchiyama et al., 2005). During the long-range transport, dust aerosols are
60 often modified by their mixing with anthropogenic emissions over the downwind
61 areas (Fischer et al., 2011; Formenti et al., 2011; Huang et al., 2010b; Tobo et al.,
62 2010), resulting in high uncertainties in evaluating the climatic effects of dust aerosols.
63 It was estimated that mineral dust had a radiative forcing of $-0.1 \pm 0.2 \text{ Wm}^{-2}$ (IPCC,
64 2013), of which the uncertainty was as high as 200%. Obviously, the characteristics of
65 dust aerosols and their evolution during the transport are not well understood.

66 In March 2010, a super dust storm swept China, invading extensive areas from
67 Northern China to Southern China including Fujian and Guangdong provinces, and
68 lasting for ~4 days from March 19 to 23 (Li et al., 2011). The dust plumes further
69 extended to the South China Sea (Wang et al., 2011), Taiwan (Tsai et al., 2013), Korea
70 (Tatarov et al., 2012), Japan (Zaizen et al., 2014), and even to North America (Wu et
71 al., 2015). This dust storm was as strong as the one in March 20-21, 2002 and
72 attracted considerable attentions. Lidar observations revealed that this super dust
73 storm was transported within a low altitude (Tatarov et al., 2012; Wang et al., 2011),
74 which could benefit the mixing and interaction between dust aerosols and
75 anthropogenic pollutants. Indeed, modifications of dust aerosols during the transport
76 of this dust storm were suggested based on in situ measurements. Zhao et al. (2011)
77 displayed substantial increases of sulfate and nitrate in aerosols when the dust plumes
78 arrived at Xiamen city of Fujian province, implying the mixing and interaction
79 between dust aerosols and anthropogenic pollutants. Wang et al. (2011) indicated that
80 the dust aerosols detected at the Dongshan Island over the South China Sea were
81 mixed with anthropogenic and marine aerosols. Observations of this dust storm at
82 Tsukuba and Mt. Haruna, Japan showed that most of the transported dust aerosols in
83 lower altitudes were internally mixed with sulfate or seasalt (Zaizen et al., 2014).

84 Most of the studies on this super dust storm focused on investigating the dust



85 aerosols reaching Southeastern China and the South China Sea, and relied on single
86 method, e.g. aerosol chemistry measurement, optical property inversion, or model
87 simulation. In this study, we investigated this super dust storm in Shanghai, a coastal
88 city in Eastern China. A synergy of measurement techniques was applied, including in
89 situ measurements of pollutant gaseous precursors, aerosol with its major chemical
90 components, and Lidar observation of aerosol optical properties. To corroborate the
91 observational evidence, a regional numerical model was used to simulate the impact
92 of dust chemistry on the perturbation of regional air quality. What interested us is that
93 there were two discontinuous dust periods observed in Shanghai with distinctly
94 different transport pathways, providing a great opportunity to study the chemical
95 evolution of transported dust particles under different environmental conditions.

96

97 **2. Methodology**

98 **2.1. Field measurement**

99 **2.1.1. Lidar observation**

100 A dual-wavelength depolarization Lidar (Model:L2S-SMII) developed by the
101 National Institute for Environmental Studies (NIES) of Japan was installed on the roof
102 (~20m above ground level) of a teaching building on the campus of Fudan University
103 in the Yangpu District of Shanghai (Fig. 1b). The Lidar measurement was performed
104 every 15 min (at 00, 15, 30, and 45 minutes every hour) with a height resolution of 6
105 m. Attenuated backscattering coefficient (BSC) and depolarization ratio (DR) of
106 particles at the wavelength of 532 nm were obtained by the measurement. Aerosol
107 extinction coefficients were derived by the Fernald inversion method (Fernald, 1984)
108 with the lidar ratio (extinction-to-backscatter ratio) set as 50 sr (Liu et al., 2002) in the
109 inversion process. The total aerosol extinction coefficient can be split to non-spherical
110 particle (dust particle) and spherical particle (mostly pollution particle) fractions
111 based on the DR of particles. The splitting method was described in detail by
112 Sugimoto et al. (2002) and Shimizu et al. (2004). More details about the Lidar system



113 have been described in Huang et al. (2012).

114

115 **2.1.2. Online particle and gases monitoring**

116 Continuous PM₁₀ concentrations were measured by a TEOM (Tapered Element
117 Oscillating Microbalance) 1405D monitor (Thermo Scientific, USA). Trace gases SO₂
118 and NO₂ were measured by a 43i SO₂ analyzer (Thermo Scientific, USA) and a 42i
119 NO-NO₂-NO_x analyzer (Thermo Scientific, USA), respectively. All the measured
120 PM₁₀ and gases concentrations were averaged and used at intervals of 1hr in this
121 study.

122

123 **2.1.3. Aerosol sampling**

124 TSP (Total Suspended Particles) samples were collected during March 19-27, 2010
125 at the Fudan observational site co-located with all the other instruments. The aerosol
126 samples were collected for 12 hours (normally from 8:00 to 20:00LST (Local
127 Standard Time) in daytime and from 20:00 to 8:00 LST of the next day in nighttime)
128 or 24 hours (normally from 8:00 to 8:00 LST of the next day) on Whatman 41 filters
129 (Whatman Inc., Maidstone, UK) by a medium-volume sampler (Beijing Geological
130 Instrument-Dickel Co., Ltd.; model: TSP/PM₁₀/PM_{2.5-2}; flow rate: 77.59 L min⁻¹). All
131 the samples were put in polyethylene plastic bags immediately after sampling and
132 then reserved in a refrigerator. The filters were weighed before and after sampling
133 using an analytical balance (Model: Sartorius 2004MP; reading precision: 10μg) after
134 stabilizing in constant temperature (20±1°C) and humidity (40±2%) for 48 hours. All
135 the procedures were strictly quality controlled to avoid the possible contamination of
136 the samples.

137

138 **2.2. Chemical analysis**

139 **2.2.1. Ion analysis**

140 One fourth of each aerosol sample and blank filters were extracted ultrasonically by



141 10 ml deionized water ($18 \text{ M}\Omega \text{ cm}^{-1}$). Inorganic ions of SO_4^{2-} , NO_3^- , Cl^- , Na^+ , NH_4^+ ,
142 K^+ , Mg^{2+} , and Ca^{2+} were analyzed by an Ion Chromatography (Dionex ICS 3000,
143 USA) with a separation column of Dionex Ionpac AS 11, a guard column of Dionex
144 Ionpac AG 11, a self-regenerating suppressed conductivity detector of Dionex Ionpac
145 ED50, and a gradient pump of Dionex Ionpac GP50. The detailed analytical
146 procedures can be found in Yuan et al. (2003).

147

148 2.2.2. Element analysis

149 Half of each aerosol sample and blank filters were digested at $170 \text{ }^\circ\text{C}$ for 4 hours in
150 a high pressure Teflon digestion vessel with 3ml concentrated HNO_3 , 1ml
151 concentrated HClO_4 , and 1 ml concentrated HF. The solutions were dried, and then
152 diluted to 10 ml with deionized water ($18 \text{ M}\Omega \text{ cm}^{-1}$). Fifteen elements (Al, As, Ca, Cd,
153 Cu, Fe, Mg, Mn, Na, Ni, Pb, Sr, Ti, V, and Zn) were measured by an inductively
154 coupled plasma optical emission spectroscopy (ICP-OES; SPECTRO, Germany). The
155 detailed analytical procedures were described by Sun et al. (2004a) and Zhuang et al.
156 (2001).

157

158 2.3. Nation-wide daily PM_{10} data

159 Air pollution index (API) data in 86 major cities (locations shown in Fig. 1a) over
160 China were obtained from the data center of Ministry of Environmental Protection of
161 China (<http://datacenter.mep.gov.cn/>). API was converted to PM_{10} concentration
162 according to the following formula:

$$163 \quad C = C_{\text{low}} + [(I - I_{\text{low}})/(I_{\text{high}} - I_{\text{low}})] \times (C_{\text{high}} - C_{\text{low}}),$$

164 Where C is the concentration of PM_{10} and I is the API value of PM_{10} . I_{high} and I_{low} ,
165 the two values that the most approaching to value I in the API grading limited value
166 table, stand for the value larger and lower than I , respectively; C_{high} and C_{low} represent
167 the PM_{10} concentration corresponding to I_{high} and I_{low} , respectively. It should be noted
168 that API was recorded with a maximum value of 500, which corresponded to the PM_{10}



169 concentration of $600 \mu\text{g m}^{-3}$.

170

171 **2.4. Backward trajectory analysis**

172 48 hours back trajectories of the air masses at both 250 m and 1000 m AGL (Above
173 Ground Level) during dust days starting at Shanghai were computed by the HYSPLIT
174 model (<http://ready.arl.noaa.gov/hypub-bin/trajtype.pl?runtype=archive>), using the
175 meteorological data of GDAS (1 degree, global, 2006-present). Four trajectories
176 ending at 00, 06, 12, and 18 LST were calculated for each day.

177

178 **2.5. Model simulation**

179 The WRF/CMAQ modeling system was applied to simulate the dust chemistry in
180 this study. The Weather Research and Forecasting model (WRFv3.4) was used to
181 produce the meteorology fields by digesting the reanalysis data from National Centers
182 for Environmental Prediction (NCEP). The Community Multiscale Air Quality
183 Modeling System (CMAQv5.0.1) was configured with the 2005 carbon bond
184 gas-phase mechanism (CB05) and aerosol module AE6. The default CMAQ model
185 doesn't include dust chemistry reactions but only the dust emission module. We have
186 implemented dust chemistry in the CMAQ model and corrected the dust emission
187 module in our earlier work (Dong et al., 2015), which was used to simulate the
188 heterogeneous reactions on dust during the long-range transport in this study. Briefly,
189 the major developments included: (1) The default dust emission module in CMAQ
190 was found to strongly underestimate the dust emissions. By removing the double
191 counting of soil moisture in the default dust emission module and conducting a
192 reanalysis of field data, the threshold friction velocities over various land covers were
193 re-adjusted. (2) The source-dependent speciation profiles of dust particles from the
194 Taklimakan and Gobi Desert were implemented based on field measurement data. (3)
195 Thirteen dust heterogeneous reactions were implemented, including dust reactions
196 with O_3 , OH , H_2O_2 , CH_3COOH , CH_3OH , CH_2O , HNO_3 , N_2O_5 , NO_2 , NO_3 , HO_2 , and



197 SO₂. The uptake coefficients of gases onto the surface of dust particles were taken
198 from previous published studies in deserts of China. More details of the technical
199 development of this dust emission and chemistry module in CMAQ and the
200 evaluation of model performance can be found in Dong et al. (2015).

201 The modeling domain includes whole China with a horizontal grid resolution of 36
202 km×36 km and 34 vertical layers with a model top at 50 hPa. The chemical initial and
203 boundary conditions for CMAQ were downscaled from the GEOS-Chem global
204 model. Emissions inputs included anthropogenic emissions from Zhao et al. (2013)
205 over China, biogenic emissions from MEGAN2.1 (Guenther et al., 2006), and
206 biomass burning emissions from FLAMBE (Reid et al., 2009).

207

208 **3. Results and Discussion**

209 **3.1. Spatiotemporal evolution of the 2010 spring dust storm over China**

210 On March 19, 2010, a super dust storm outbreak over the Gobi Desert of Inner
211 Mongolia, China and southern Mongolia. It was driven by a strong cold front and
212 revealed evidently by the OMI (Ozone Monitoring Instrument) aerosol index (AI)
213 from space detection (Tatarov et al., 2012). Along with the cold front, the dust plumes
214 moved southeastward, invading extensive areas from Northern China to Southern
215 China. Fig. 2 shows the daily PM₁₀ concentrations over 86 cities of China from March
216 19 to 23, 2010, displaying the spatiotemporal evolution of this dust storm event. On
217 March 20, daily PM₁₀ concentrations of higher than 200 μg m⁻³ were observed over
218 widespread areas of Northern China, close to the dust source regions. The PM₁₀
219 concentrations of those heavily polluted cities, including Yinchuan (YC), Datong
220 (DT), Hohhot (HHT), and Beijing (BJ) (red circles in Fig. 1a.) reached the threshold of
221 600 μg m⁻³, the maximum value recorded by API (Fig. 2a). As the dust plumes
222 transported, high levels of PM₁₀ started to emerge over Central and Eastern China on
223 March 21, when heavy pollution with daily PM₁₀ concentrations higher than
224 420 μg m⁻³ were observed over the Yangtze River Delta region as well as in Jiangxi,



225 Hunan, and Hubei provinces. Specifically, the PM₁₀ concentrations in Shanghai,
226 Nanjing (NJ), Hefei (HF), Wuhan (WH), Hangzhou (HZ), and so on (blue circles in
227 Fig. 1a) all reached the threshold of 600 μg m⁻³ (Fig. 2c). On March 22 and 23, the
228 dust plumes drifted towards Fujian and Guangdong provinces in Southern China,
229 where PM₁₀ concentrations of over 600 μg m⁻³ were even observed in coastal cities
230 such as Fuzhou (FZ), Xiamen (XM), and Shantou (ST) (pink circles in Fig. 1a). The
231 severe air pollution over China caused by this super dust storm lasted for ~4 days
232 until March 23, resulting in significant impacts on the regional air quality and possible
233 perturbation on regional meteorology.

234

235 **3.2. Identification of two dust plumes with distinct transport pathways**

236 Fig. 3a shows the time-height cross-section of aerosol depolarization ratios (DR)
237 measured at the wavelength of 532 nm from March 19 to 23 in Shanghai. DR of dust
238 particles is higher than pollution particles due to the non-sphericity of dust particles.
239 Thus, aerosol DR is a useful parameter to identify dust events and a threshold value of
240 10% is usually used to distinguish dust from other types of particles (Shimizu et al.,
241 2004). As shown in Fig. 3a, there were evidently two discontinuous periods with
242 aerosol DR higher than 10%, consistent with the two peaks of PM₁₀ concentrations
243 measured near the ground (Fig. 4c). The first dust episode (DS1) started from ~16:00
244 LST, March 20 to ~10:00 LST, March 21 and the second dust episode (DS2) started
245 from ~6:00 LST, March 22 to ~0:00 LST, March 23.

246 The 48-hours backward trajectories of the air masses during March 20-23 in
247 Shanghai are shown in Fig. 2. It is interesting to note that the transport pathways of
248 the dust plumes in DS1 and DS2 were distinctly different. In DS1, the dust plumes at
249 both low altitudes (i.e. 250m denoted by the black lines in Fig. 2c-d) and high
250 altitudes (i.e. 1000m denoted by the red lines in Fig. 2c-d) were mostly transported
251 from the dust source regions in the Gobi Desert. This is one of the typical inland
252 transport pathways of Asian dust, which passed over Northern China that is



253 characterized of intense anthropogenic emission rates, e.g. from Shanxi, Hebei, and
254 Shandong provinces.

255 In DS2, the air masses reaching Shanghai are shown in Fig. 2e – h. From the
256 starting of DS2 to the midday of March 22 (Fig. 2e-f), the backward trajectories were
257 still mainly from the north but we noticed that the low altitude trajectories which
258 originated from the Gobi Desert travelled over the Yellow Sea and East China Sea
259 and then circled back to Shanghai. After the midday of March 22 (Fig. 2g-h), the
260 trajectories became much shorter and restricted within the costal and offshore areas.
261 The low-altitude trajectories were mainly from the ocean and the high-altitude
262 trajectories shifted from the south. As indicated by the surface observation (Fig. 4a),
263 the southeasterly winds prevailed until ~18:00 LST, March 22 when PM_{10} climbed to
264 reach its peak value in DS2, quite different from DS1 when the northerly winds
265 dominated. The CALIPSO (Cloud-Aerosol Lidar and Infrared Pathfinder Satellite
266 Observations) transect at around 13:00 – 13:30 LST, March 21 specified that the
267 major aerosol type was dust over the East China Sea and South China Sea (Fig. S1).
268 Therefore, it was evident that DS2 passed over the coastal regions of Eastern China
269 and the ocean and it should be a humid dust plume.

270 As visualized in Fig. 3, the two dust plumes were mostly transported at low
271 altitudes below 1km. It is expected that this type of transport would benefit for the
272 mixing and interactions between dust particles and anthropogenic pollutants, as the
273 anthropogenic emissions were mainly trapped within the boundary layer. Transport
274 pathway is one of the most important factors accounting for the evolution of dust
275 particles and the resulting environmental effects (Zaizen et al., 2014; Zhang et al.,
276 2010). In this super dust storm, the two discontinuous dust plumes reaching Shanghai
277 were distinctly different in their transport pathways, providing a great opportunity to
278 study the chemical evolution of dust particles under different transport conditions.

279

280 3.3. Distinct behaviors of gaseous pollutants between two dust episodes



281 Fig. 4 illustrates the different behaviors of the two identified dust episodes by
282 plotting the temporal variations of emission precursors (i.e. SO₂ and NO₂) as well as
283 PM₁₀ and crucial meteorological parameters. As shown in Fig. 4a, the winds prevailed
284 from the south before the onset of DS1 at ~10:00LST, March 20. During this period,
285 SO₂ and NO₂ concentrations were relatively high with mean concentrations of 39 ± 19
286 and $70 \pm 25 \mu\text{g m}^{-3}$, respectively. In the meantime, PM₁₀ was at a moderate level of 112
287 $\pm 54 \mu\text{g m}^{-3}$, suggesting the dominance by anthropogenic emissions. It was observed
288 that the peak hourly concentrations of SO₂ and NO₂ up to $97 \mu\text{g m}^{-3}$ and $116 \mu\text{g m}^{-3}$
289 occurred just a few hours before the sharp increase of PM₁₀. This was due to that air
290 pollutants north of Shanghai were pushed by the cold front and accumulated before
291 the dust plumes invaded (Guo et al., 2004), which was also evident from the time
292 difference between aerosol backscattering coefficients and depolarization ratios as
293 shown in Fig. 3.

294 From 10:00 LST, March 20 to 11:00 LST, March 21, the winds shifted from the
295 north and northwest accompanied with a quick drop of relative humidity (RH) with a
296 minimum of 25% at 21:00 LST, March 20, indicating the invasion of a strong cold
297 front from Northern China. Correspondingly, PM₁₀ abruptly climbed since 16:00 LST
298 and reached $1000 \mu\text{g m}^{-3}$ (a maximum value of $1000 \mu\text{g m}^{-3}$ was set in the TEOM
299 1405D monitor) within four hours. This high level of PM₁₀ lasted for ~11 hours till
300 04:00 LST, March 21. During this dust episode, the concentrations of SO₂ and NO₂
301 decreased substantially and the lowest values of $17 \mu\text{g m}^{-3}$ and $27 \mu\text{g m}^{-3}$ (Fig. 4c) were
302 recorded at 04:00 LST, March 21, due to the strong dilution effect of dust plumes. The
303 ratios of SO₂/PM₁₀ and NO₂/PM₁₀ were as low as 0.04 ± 0.02 and 0.06 ± 0.03 in DS1
304 compared to that of 0.30 ± 0.05 and 0.57 ± 0.28 before DS1. After 12:00 LST, March
305 21, the wind direction started to shift again from the southeast with an increase of RH.
306 The PM₁₀ concentrations quickly decreased below $200 \mu\text{g m}^{-3}$ within five hours,
307 indicating the pass of the first dust episode over Shanghai.

308 The second wave of dust (DS2) commenced after about half a day as indicated by



309 the temporal variation of PM_{10} climbing quickly since 06:00 LST, March 22. PM_{10}
310 reached its peak of $530 \mu\text{g m}^{-3}$ observed at $\sim 18:00$ LST, March 22, much lower than the
311 peak values during DS1. It should be noted that during the growth of DS2 (i.e. from
312 06:00 to 18:00 LST), southerly winds sustained until 18:00 LST, March 22, opposite
313 to DS1. As discussed above, DS2 had gone through the maritime environment during
314 most of its transport trajectory. This can be corroborated by the moderately high RH
315 (Fig. 4b). After 18:00 LST, March 22, PM_{10} underwent a quick decline followed by
316 the prevailing northeast winds and continuously elevated RH. This suggested the sea
317 breezes after 18:00 LST were almost free of dust particles and acted as cleaner for the
318 dust pollution that was previously accumulated. Compared to the mean RH of $46\% \pm$
319 18% in DS1, RH in DS2 was much higher of $69\% \pm 8\%$. This was mainly attributed
320 to the different transport pathways of the two dust episodes.

321 One interesting phenomenon that has been rarely observed was that the temporal
322 variations of SO_2 and NO_2 varied fairly consistent with that of PM_{10} in DS2 (Fig. 4c),
323 quite different from DS1 and previous studies (Fu et al., 2010; Guo et al., 2004) that
324 dust usually had a clean effect on the local gaseous pollutants, causing inverse
325 relationship between SO_2/NO_2 and PM_{10} . In this case, NO_2 reached its maximum
326 hourly concentration of $131 \mu\text{g m}^{-3}$ along with the maximum PM_{10} in DS2, the highest
327 during the whole study period. This probably indicated that the dust particles in DS2
328 were externally mixed or “coated” with abundant gaseous pollutants. As a result, the
329 SO_2/PM_{10} and NO_2/PM_{10} ratios reached 0.11 ± 0.03 and 0.20 ± 0.04 in DS2,
330 respectively, much higher than those in DS1 and in a super dust day of April 2, 2007
331 in Shanghai (Fu et al., 2010), revealing that the dust plumes in DS2 were much more
332 polluted.

333 In the following sections, we will investigate deeply into the chemical
334 characteristics and evolution of the two dust episodes.

335

336 3.4. Chemical evolution of dust aerosols



337 3.4.1. Pollution elements significantly enhanced in dust periods

338 Table 1 lists the concentrations of measured elements in TSP before, during, and
339 after the two dust episodes in Shanghai. D and N represent the samples that collected
340 in daytime (~8:00 to ~20:00 LST) and nighttime (~20:00 to 8:00 LST in the next day),
341 respectively. NDS represents the non-dust days from March 25 to 27 and the mean
342 concentrations are shown in Table 1. To identify whether these elements were mainly
343 derived from the crustal source or anthropogenic sources, enrichment factors of
344 elements were calculated. Enrichment factor (EF) is defined as
345 $EF = (X/X_{Ref})_{aerosol} / (X/X_{Ref})_{crust}$, where $(X/X_{Ref})_{aerosol}$ is the mass ratio of a given
346 element X to the reference element in aerosol and $(X/X_{Ref})_{crust}$ is the ratio in the crust
347 (Lida, 2006), and Al is the reference element used in this study. EFs of Al, Cu, Ca, Fe,
348 Mg, Na, Mn, Ti, Sr, Ni, and V were calculated to be lower than 5, indicating these
349 eleven elements were mostly from the crustal source, while EFs of As, Cd, Pb, and Zn
350 were higher than 10 even during the dust periods (Fig.5c), indicating these four
351 elements were significantly influenced by anthropogenic sources. Hence, Al, Cu, Ca,
352 Fe, Mg, Na, Mn, Ti, Sr, Ni, and V were classified as “crustal elements” while As, Cd,
353 Pb, and Zn were classified as “pollution elements”. Due to the dilution effect of dust
354 plumes, EFs of the four pollution elements decreased from ~100-150 in NDS to
355 ~10-50 in DS1 and ~20-115 in DS2.

356 As shown in Table 1, the concentrations of the crustal elements before the dust
357 event (19N) were as low as those in NDS, but substantially increased in DS1 and DS2,
358 about 3-13 and 1-7 folds of those in NDS, respectively. The highest concentration of
359 Al, widely used as a tracer for dust, reached $67.5 \mu\text{g m}^{-3}$ in DS1 (20N), comparable to
360 the Al concentration in the super dust day of April 2, 2007 in Shanghai (Fu et al.,
361 2010). The highest concentrations of crustal elements in DS1 (20N) were ~2 folds of
362 those in DS2 (22D), corroborating that the intensity of DS1 was stronger than in DS2.

363 As for the pollution elements, the non-crustal part (nc-) of As, Cd, Pb, and Zn were
364 estimated as $nc-X = X - Al \times (X/Al)_{crust}$. The concentrations of nc-As, nc-Cd, nc-Pb,



365 and nc-Zn increased in both DS1 and DS2, about 1-4folds of those in NDS (Fig. 5a).
366 As these elements were mainly derived from anthropogenic sources such as coal
367 combustion, industrial processing, vehicle emissions, etc., their enhancement
368 indicated that abundant pollutants had been transported to the downwind regions
369 along with the dust plumes. The mean concentrations of nc-As, nc-Cd, nc-Pb, and
370 nc-Zn were 23.5, 3.6, 154.2, and 580.1 ngm^{-3} in DS2, higher than those of 18.3, 3.1,
371 119.7, and 447.4 ngm^{-3} in DS1, indicating DS2 was more polluted. Consistently, the
372 mass ratios of nc-As, nc-Cd, nc-Pb, and nc-Zn in TSP were $5.1 \times 10^{-3}\%$, $7.2 \times 10^{-4}\%$,
373 $3.1 \times 10^{-2}\%$, and $1.2 \times 10^{-1}\%$ in DS2, higher than those of $1.9 \times 10^{-3}\%$, $3.7 \times 10^{-4}\%$,
374 $1.5 \times 10^{-2}\%$, and $6.2 \times 10^{-2}\%$ in DS1 (Fig. 5b).

375

376 **3.4.2. Crustal vs. secondary water-soluble ions**

377 Fig. 6 shows the evolution of major water-soluble ions in TSP during the whole
378 dust event. The concentrations of Na^+ , K^+ , Mg^{2+} , and Ca^{2+} increased the most among
379 all the ions in both DS1 and DS2 due to their crustal origin, ~ 2 -5 and ~ 2 -3 folds of
380 those in NDS. However, the mass ratios of these ions in TSP generally decreased in
381 the dust periods (Fig. 6d), as the masses of dust particles were dominated by
382 water-insoluble matters. Different from NDS, the concentrations of Ca^{2+} exceeded
383 NH_4^+ and ranked as the most abundant cation in the dust periods. This was because
384 Asian dust particles are rich of calcium carbonate (Wang et al., 2005), which could
385 react with acidic nitrogen and sulfur compounds to form $\text{Ca}(\text{NO}_3)_2$ and CaSO_4 . It has
386 been found Ca-rich particles in Asian dust transported to Japan were mostly in the
387 spherical shape (Zaizen et al., 2014), and many of the spherical Ca-rich particles
388 contained sulfur and nitrogen compounds (Matsuki et al., 2006; Zaizen et al., 2014)
389 due to the heterogeneous reactions. It is noted that the concentrations of Ca^{2+} in DS2
390 were comparable to that in DS1 (Fig. 6b), although the intensity of DS1 was much
391 stronger than DS2. In addition, the mass ratios of Ca^{2+} in TSP were even higher in
392 DS2 than in DS1 (Fig. 6d). Collectively, these results indicated that more calcium in



393 its soluble form was produced via the reactions between calcium carbonate and acids.
394 This could be also revealed by the ratio of Ca^{2+}/Ca that was higher in DS2 (0.2-0.5)
395 than in DS1 (0.1-0.2) (Fig.7a). In DS2, the dust plumes travelled over the ocean and
396 carried higher amount of water vapor as well as SO_2 and NO_2 than DS1 as discussed
397 earlier. In this regard, the heterogeneous reaction between calcium carbonate and
398 acidic gases was enhanced more in DS2, resulting in a higher fraction of calcium
399 carbonate from dust particles that could be transformed to soluble calcium.

400 Cl^- during the dust periods was moderately enhanced by ~1-2 folds compared to
401 NDS, indicating that Cl^- was also impacted by the invasion of dust plumes. As shown
402 in Fig. 6a & 6c, both the concentrations of Cl^- and its mass ratios in TSP were higher
403 in DS2 than in DS1. As the air masses of DS2 passed over the ocean, part of the high
404 Cl^- concentrations should be attributed to the contribution from seasalts. The average
405 equivalent ratio of Cl^- to Na^+ ($[\text{Cl}^-]/[\text{Na}^+]$) was 1.65 in NDS and 2.17 in 19N, higher
406 than the ratio in seawater (1.17), indicating that anthropogenic sources such as coal
407 combustion (Sun et al., 2014; Yao et al., 2002) contributed significantly to Cl^- in the
408 non-dust days. The $[\text{Cl}^-]/[\text{Na}^+]$ ratio dropped to ~1.0 in DS1 as massive continental
409 aerosols invaded Shanghai, while in DS2 the ratio was elevated to 1.5. Thus, both
410 seasalts and anthropogenic sources should contribute to the particulate Cl^- in DS2.

411 As for the secondary inorganic ions (i.e. SO_4^{2-} , NO_3^- , and NH_4^+), their
412 concentrations in TSP were elevated to be 16.2, 15.4, and $7.2\mu\text{gm}^{-3}$ in the daytime of
413 March 20 (20D) in DS1. The sampling time of 20D was from ~8:00 to 20:00 LST,
414 including the short period of pollutants accumulation before the arrival of DS1. As a
415 result, the high concentrations of SO_4^{2-} and NO_3^- measured in 20D were contributed
416 from both local and transported sulfate and nitrate, as well as from the heterogeneous
417 reactions on dust particles. In the nighttime of March 20 (20N), the concentrations of
418 NO_3^- and NH_4^+ sharply decreased by 57.8% and 43.2%, respectively. Although SO_4^{2-}
419 also decreased, its reduction extent was much weaker of 27.8% and was still ~2 folds
420 of that in NDS (Fig. 6a). Nitrate formation on dust is strongly dependent on the



421 ambient conditions. Low temperature, low relative humidity, strong wind and low
422 concentrations of pollution gases did not favor the heterogeneous reaction (Huang et
423 al., 2010a; Yuan et al., 2008). Hence, strong dust events usually diluted the
424 concentrations of nitrate (Duvall et al., 2008; Huang et al., 2010a; Wang et al., 2006).
425 As for the sulfate formation on dust, SO₂ could interact with various mineral
426 components of dust particles to produce sulfate, and sulfate became mixed with the
427 dust first competing with nitrate (Dupart et al., 2012; Sullivan et al., 2007). Hence,
428 although the secondary aerosol components were diluted by the invasion of dust, the
429 formation of sulfate could still be compensated by the heterogeneous reaction on dust
430 to some extent.

431 In the daytime of March 22 (22D) during DS2, the concentrations of SO₄²⁻ and
432 NO₃⁻ reached the highest during the study period with values of 16.6 and 19.3 μgm⁻³,
433 respectively. Although SO₄²⁻ and NO₃⁻ decreased in the nighttime of March 22 (22N),
434 their levels were still higher than the non-dust days (Fig. 6a). Also, the mass ratios of
435 SO₄²⁻ and NO₃⁻ in TSP were higher in DS2 than DS1 (Fig. 6c). These results indicated
436 that SO₄²⁻ and NO₃⁻ were more favorably formed in DS2. It was observed that the
437 concentration of NO₃⁻ was even higher than that of SO₄²⁻ in both daytime and
438 nighttime samples during DS2, showing a contrary behavior to DS1. As discussed
439 above, the formation of nitrate on dust strongly depends on the ambient conditions.
440 During DS2, RH was at a moderately high level, enhancing the efficiency of aqueous
441 processing on the particles. In addition, the abnormally high concentrations of NO₂
442 (Fig. 4c) suggested the emission precursors were sufficient for the production of
443 nitrate. Finally, high concentrations of nitrate during DS2 may be partially attributed
444 to the reaction between sea salts and nitric acid (Hsu et al., 2014; Huang et al., 2010a)
445 as the dust plumes travelled over the ocean. The formation of secondary aerosol
446 during DS2 should have involved the complex interactions between pollutant
447 precursors, dust, and sea salts.

448 Fig. 7b shows the equivalent ratio of the total anions to the total cations (A/C) in



449 TSP. The A/C ratio dropped to ~ 0.5 in DS1 from ~ 0.9 in 19N, which was attributed to
450 the existence of abundant CO_3^{2-} in dust particles that can't be detected by Ion
451 Chromatography (Huang et al., 2010a). The A/C ratio reached 0.85 and 0.80 in 22D
452 and 22N in DS2, respectively, much higher than those in DS1, suggesting that more
453 fraction of CaCO_3 in the dust particles in DS2 was transformed to CaSO_4 and
454 $\text{Ca}(\text{NO}_3)_2$ than in DS1, consistent with the higher Ca^{2+}/Ca ratio in DS2 than in DS1
455 (Fig. 7a). The equivalent ratio of NH_4^+ to the sum of SO_4^{2-} and NO_3^- ($[\text{NH}_4^+]/$
456 $[\text{SO}_4^{2-}+\text{NO}_3^-]$) in TSP also indicated that the heterogeneous reactions between
457 carbonate and acid gases were more promoted in DS2 compared to DS1. As shown in
458 Fig. 7c, the $[\text{NH}_4^+]/[\text{SO}_4^{2-}+\text{NO}_3^-]$ ratio was slightly higher than one in the non-dust
459 days, indicating that SO_4^{2-} and NO_3^- could be completely neutralized by NH_4^+ . In DS1,
460 the $[\text{NH}_4^+]/[\text{SO}_4^{2-}+\text{NO}_3^-]$ ratio was 0.68 and 0.65 in 20D and 20N, while in DS2 it was
461 even lower of 0.45 and 0.55 in 22D and 22N, respectively. Apparently, NH_4^+ was
462 insufficient for completely neutralizing SO_4^{2-} and NO_3^- in both dust episodes,
463 particularly in DS2. The ammonium deficiency was also observed in Kinmen and
464 Zhuhai (Hsu et al., 2014), an island site and a coastal site, respectively, during this
465 super dust storm. We further investigated the $[\text{NH}_4^++\text{Ca}^{2+}]/[\text{SO}_4^{2-}+\text{NO}_3^-]$ ratio. As
466 shown in Fig. 7d, with the addition of Ca^{2+} , sulfate and nitrate had been completely
467 neutralized, implying the important role of alkaline calcium as the medium of dust
468 heterogeneous reactions. By estimating the neutralization efficiency of Ca^{2+} (NE_{Ca}) as
469 $\text{NE}_{\text{Ca}} = 1 - [\text{NH}_4^+]/[\text{SO}_4^{2-}+\text{NO}_3^-]$, the average value of NE_{Ca} in DS1 and DS2 was 0.34
470 and 0.50, respectively. The higher NE_{Ca} in DS2 also suggested the chemical
471 processing via dust was efficient under the environmental conditions such as DS2 in
472 this study.

473

474 3.5. Vertical evolution of dust particles

475 In both DS1 and DS2, dust aerosols were mostly refrained below the altitude of
476 1km. Strong vertical gradients of DR and BSC were observed (Fig. 3). To



477 quantitatively investigate the evolution of vertical dust profiles, we selected four
478 typical episodes as follows: 1. 9:00-15:00 LST of March 20: an episode right before
479 the arrival of DS1; 2. 16:00 - 17:45 LST of March 20: an episode before the onset of
480 maximum hourly PM_{10} concentrations in DS1; 3. 18:00 LST of March 20 - 04:45 LST
481 of March 21, an episode that covers the highest PM_{10} concentrations hours
482 ($>1000\mu\text{g}\text{m}^{-3}$) in DS1; 4. 6:00 - 18:00 LST of March 22: an episode in DS2.

483 As shown in Fig. 8a, the mean BSC between 9:00 - 15:00LST, March 20 ranged
484 from around 0.005 to $0.02\text{ sr}^{-1}\text{km}^{-1}$ from near ground level to 1km. During this period,
485 the mean DR was relatively low of $\sim 0.02 - 0.08$, suggesting spheric particles, i.e.
486 pollution particles dominated. Accordingly, the contribution of dust to the total
487 extinction was less than 20% (Fig. 8e). As discussed earlier, the high values of light
488 extinction caused by pollution aerosol before the onset of DS1 were due to the
489 pre-accumulation of local and transported pollutants brought by the invaded cold front.
490 On the pathway of DS1 (Fig. 2c), the air masses travelled over large areas with
491 intense anthropogenic emissions and thus a large quantity of pollutants could be
492 pushed to the downstream areas and accumulated before the dust plume arrived.

493 As DS1 invaded, BSC further increased and reached $0.025\text{ sr}^{-1}\text{km}^{-1}$ near the surface
494 level (Fig. 8b-c). In the meantime, the values of DR were elevated to be higher than
495 10% from near the ground to $\sim 1\text{km}$ (Fig.8f-g). When PM_{10} reached its highest
496 concentrations ($>1000\mu\text{g}\text{m}^{-3}$), the mean DR was $\sim 20\text{-}25\%$ extending from near the
497 ground to $\sim 700\text{m}$ (Fig.8g). However, compared to the DR value of $\sim 30\text{-}35\%$ for the
498 relatively pure Asian dust (Murayama et al., 2003; Sakai et al., 2003), DR of DS1 was
499 still lower, suggesting the mixing of dust with pollution at a certain extent. As shown
500 in Fig. 8b-c, the extinction coefficient of dust particles obviously overwhelmed that of
501 pollution particles and could be up to 0.82 km^{-1} near the surface level, while that of
502 pollution particles dropped to less than 0.1 km^{-1} . From near the ground to $\sim 700\text{m}$, no
503 significant vertical gradients of DR and dust ratio (the ratio of the dust extinction in
504 the total extinction of aerosols) were observed. This indicated DS1 had a “top-down”



505 effect on modifying the bulk aerosol optical properties in the lower troposphere in this
506 case. Within this altitude range, dust could account for ~ 80 - 90% of the total aerosol
507 extinction coefficients while only a minor fraction of ~10 - 20% was attributed to the
508 pollution aerosols. Above 700m, DR and the dust ratio quickly decreased, suggesting
509 the impact of dust on the light extinction was much weakened.

510 In DS2, the maximum aerosol BSC and DR averaged between 6:00 and 18:00 LST,
511 March 22 was $\sim 0.015 \text{ sr}^{-1}\text{km}^{-1}$ and 18%, respectively, observed at an altitude of
512 $\sim 300\text{m}$. Different from DS1, both DR and the dust ratio had a significant vertical
513 gradient in DS2. As showed in Fig. 8h, DR decreased quickly from its maximum
514 value at $\sim 250\text{m}$ to 5% at $\sim 750\text{m}$. Correspondingly, the dust ratios decreased from
515 88% to 25%. Moreover, the vertical profiles of dust and pollution extinction
516 coefficients showed distinctly different behaviors during this period. As shown in Fig.
517 8d, the maximum extinction coefficient of dust particles ($\sim 0.55 \text{ km}^{-1}$) showed at the
518 altitude of $\sim 250\text{m}$, while that of pollution particles ($\sim 0.25 \text{ km}^{-1}$) appeared at a higher
519 altitude of $\sim 800\text{m}$. As compared to DS1 (Fig. 8b-c), aerosol extinction caused by
520 spheric particles in DS2 showed similar magnitudes below $\sim 270\text{m}$. Above this
521 altitude, aerosol extinction caused by spheric particles in DS2 gradually increased
522 while that in DS1 varied relatively stable with the altitudes. The enhancement of
523 pollution particles in the middle layer during DS2 should be mainly attributed to its
524 unique transport pathway. As shown in Fig. 2e, substantial air masses in the upper
525 layer transported from the south and may bring more moisture. The sounding data at a
526 meteorology station (31.40°N , 121.46°E) in Shanghai supported this statement as
527 shown in Fig. S2.

528 Opposite to the low relative humidity (RH) and its decreasing trend with altitude in
529 DS1, RH in DS2 (measured at 8:00 and 20:00 LST of March 22, respectively) showed
530 much higher values and an increasing trend with altitude (Fig. S2). This phenomenon
531 corroborated our discussions above that the meteorological conditions were more
532 favorable for promoting the dust chemistry in DS2. As a result, the secondary aerosol



533 formation via heterogeneous reaction yielded stronger aerosol extinction in DS2,
534 especially in the middle and upper layers through 270m till the top (2km) where the
535 aerosol extinctions caused by spheric particles were still significant. On the other
536 hand, due to the higher humidity in DS2, the soluble aerosol components should
537 undergo stronger hygroscopic growth and thus partly explain the structure of vertical
538 profile of spheric particles as shown in Fig. 8d. It is commonly regarded that in a dry
539 and less oxidative environment that dust storms are usually associated with, the
540 formation and growth of secondary aerosols are often depressed. However, under
541 certain favorable conditions, new particle formation during dust events could be still
542 discernible (Nie et al., 2014). In this study, vertical profiles of crucial meteorological
543 parameters, pollutant precursors and particle numbers were not available for
544 diagnosing the new particle formation. Tethered balloon-based measurement (Li et al.,
545 2015) could be a good platform for investigating the particle formation during dust
546 events at different altitudes in the future research.

547

548 **3.6 Impact of dust chemistry on regional air quality**

549 Chemical transport modeling (Methods in Section 2.5) was utilized to assess the
550 impact of dust chemistry on the perturbation of air quality at the regional scale. The
551 model performance of CMAQ with improved dust module has been evaluated against
552 various observational datasets and it was demonstrated that the model has relatively
553 good capability in capturing both magnitudes and temporal variability of bulk aerosol
554 (e.g. PM₁₀, AOD) during the spring season over China (Dong et al., 2016). It has to be
555 noted that the model only simulated the aerosol size up to 10 μm while the
556 observation of aerosol chemistry included all the sizes (i.e. TSP), hence the mismatch
557 of size distribution between the model and observation precluded the evaluation of the
558 simulated aerosol chemical species in this study. In the following discussions, we
559 focused on the qualitative assessment of the impact of dust chemistry on the regional
560 air quality.



561 Fig. 9 shows the spatial distribution of simulated mineral aerosols from March 20 –
562 22, 2010, respectively. Accordingly, we show the spatial distribution of Ultraviolet
563 Aerosol Index (UVAI) retrieved from OMI during the same period. UVAI is sensitive
564 to absorbing aerosols, i.e. black carbon and mineral dust (Torres et al., 2007). Hence,
565 the comparison between simulated mineral aerosols and observed UVAI could
566 illustrate how the transport of dust was reproduced. As seen from Fig. 9, high values
567 of UVAI were always observed over the Indo-China Peninsula and this was ascribed
568 to the black carbon aerosols emitted from strong biomass burning over this region
569 during the spring season (Fu et al., 2012; Huang et al., 2013; Tsay et al., 2013). What
570 we concern are the dust and its downwind regions over East Asia. On March 20, high
571 UVAI values stretched from the Gobi Desert to the North China Plain and the Yangtze
572 River Delta. This transport pathway was relatively well reproduced by the model as
573 we can see high concentrations of mineral aerosols over similar areas as well. On the
574 following day of March 21, the satellite observation illustrated the movement of the
575 high UVAI zones further southward and the drifting of dust plumes off the coastline
576 of East China (Fig. 9c). Accordingly, the simulation showed similar behavior with
577 strengthened concentrations of mineral aerosols over the Gobi Desert and downwind
578 areas of the Yangtze River Delta (Fig. 9d). On March 22, although UVAI signals were
579 absent over most areas of East China due to the satellite swath, high UVAI values
580 could still be observed over the tip of the Yangtze River Delta and the East China Sea.
581 This is also relatively consistent with the model simulation that high concentrations of
582 mineral aerosols hovered over the coastlines from YRD to the Taiwan Strait. Overall,
583 we demonstrated that the model is capable of capturing the spatial distribution of dust
584 during the long-range transport.

585 Since we specifically focus on the interaction between dust and anthropogenic
586 pollutants in this study, we have performed two simulations, i.e. one with dust
587 emissions but without dust chemistry and the other one with dust chemistry. The
588 impact of dust chemistry on aerosol chemical components could thus be quantified via



589 the difference between these two simulations. Fig. 10a&c shows the spatial
590 distribution of sulfate via the formation pathway of dust heterogeneous reactions, as
591 well as for nitrate in Fig. 10b&d. Different from the spatial distribution of mineral
592 aerosols (Fig. 9a-c), the formation of sulfate and nitrate via dust chemistry mainly
593 occurred over Northeastern China. This is expected that although the major source
594 region of mineral dust is from the Gobi Desert in Northern China, less anthropogenic
595 emission sources existed there, hence relatively weak atmospheric chemical
596 processing was simulated over the dust source region. On the other hand, drier climate
597 in Northern China also suppressed the extent of heterogeneous reactions on the
598 surface of dust. Hence, the strongest impact from dust heterogeneous reactions on the
599 formation of secondary aerosols occurred in those populous areas where the
600 interaction of anthropogenic precursors and dust was the strongest.

601 As for sulfate, the simulated concentration over Shanghai averaged 8.1, 3.1, and 8.5
602 $\mu\text{g m}^{-3}$ from March 20 – 22, respectively. This temporal variation corresponded to that
603 from observation as discussed in Section 3.4.2. While it has to be noted again that the
604 simulated aerosol species contained particulate sizes less than 10 μm , close match
605 between the simulation and observation was not expected. As we compare DS1 (Fig.
606 10a) and DS2 (Fig. 10c), the simulated sulfate during DS2 was evidently more intense
607 than that during DS1 at a larger geographic region, which was fairly consistent with
608 the observation. Since the daily emission rates digested by the model were almost
609 constant during this period, meteorological conditions should be the determining
610 factor, of which elevated humidity during DS2 has been diagnosed as the most
611 important factor responsible for stronger dust chemistry.

612 As for nitrate, its spatial distribution pattern was as similar as that of sulfate at a
613 certain extent. The simulated concentration of nitrate averaged 3.1, 2.3, and 5.2 $\mu\text{g m}^{-3}$
614 over Shanghai from March 20 – 22, respectively. Although the simulation results and
615 observational data couldn't be statistically inter-compared due to the size difference as
616 stated above, we think the simulated nitrate should be largely underestimated. The



617 ratio of simulated nitrate between DS2 and DS1 was 1.7, similar to that of ~1.6 from
618 observation. This suggested the favorable meteorological conditions (e.g. higher
619 humidity) on facilitating the dust heterogeneous reaction during DS2 have been
620 accounted by the model. In this regard, we ascribe the low- biased nitrate mainly to
621 several aspects. First, the NO_x emissions could have been underestimated. As
622 indicated in Dong et al. (2015) which used the same anthropogenic emission
623 inventory as this study, the model showed some underestimation of the total NO₂
624 columns as compared to the OMI observation, especially over Northeastern China
625 (See Fig. 8 in Dong et al.(2015)). Secondly, HNO₃, N₂O₅, NO₂ and NO₃ were the
626 major precursors of nitrate as implemented in the dust module. Of which, reactions
627 via HNO₃ and N₂O₅ were the dominant pathways of nitrate formation due to their
628 relatively high uptake coefficients on the dust. It was possible that the formation of
629 HNO₃ was underestimated, thus lowering the production of nitrate. However, this is
630 just a guess as observation of gaseous HNO₃ was not available in this study. Lastly,
631 we think the underestimation of nitrate may be due to the omission of nitrate
632 processing on the surface of sea salt, which was especially important for costal cities
633 such as Shanghai (Buseck and Posfai, 1999).

634
635

636 4. Conclusions

637 During March 19-23, 2010, a super dust storm swept extensive areas over China
638 from Northern China to Southern China. Two separate dust periods were observed in
639 Shanghai, one from ~16:00 LST, March 20 to ~10:00 LST March 21 (DS1) and the
640 other from ~6:00 LST, March 22 to ~0:00 LST, March 23 (DS2). In DS1, the dust
641 plumes mostly transported over those areas characterized of high pollution emissions
642 in Northern China, while the dust plumes in DS2 transported over the coastal regions
643 of Eastern China with higher RH. The ratios of SO₂/PM₁₀ and NO₂/PM₁₀ were up to
644 0.11 ± 0.03 and 0.20 ± 0.04 in DS2, much higher than the values in DS1. In addition,
645 the concentrations of typical elemental species such as As, Cd, Pb, and Zn were also



646 more enriched in DS2 than in DS1, indicating the stronger mixing extent between dust
647 and primary anthropogenic emissions in DS2. Due to the higher level of gaseous
648 pollutant precursors associated with moderate relative humidity, SO_4^{2-} and NO_3^-
649 exhibited higher concentrations in DS2 than in DS1. The higher Ca^{2+}/Ca ratio and
650 lower $[\text{NH}_4^+]/[\text{SO}_4^{2-}+\text{NO}_3^-]$ ratio in DS2 suggested the heterogeneous reactions
651 between calcites and acid gases were significantly promoted in DS2. Particularly,
652 more NO_3^- than SO_4^{2-} was formed in DS2, probably due to the high concentrations of
653 NO_2 and the complex reaction among dust, seasalts, and nitric acid. Vertical profiles
654 of aerosol optical properties from Lidar measurement retrieved high depolarization
655 ratios of 20-25% extending from near the ground to ~700m in DS1 and it was
656 estimated that dust dominantly accounted for ~80-90% of the total aerosol extinction.
657 In DS2, the vertical structure of aerosol changed dramatically from that of DS1. The
658 maximum extinction coefficient of dust particles emerged at the altitude of ~250m in
659 DS2, while that of pollution particles showed at a higher altitude of ~800m. The
660 abnormal increase of humidity as a function of altitude from the southerly winds
661 explained this phenomenon due to promoted heterogeneous reactions on dust particles
662 and the subsequent aerosol hygroscopic growth.

663 Simulated mineral aerosols were compared to the remote sensing UVAI from
664 satellite, showing consistent spatial patterns between model and observation. By
665 applying the dust scheme with explicit chemistry mechanisms, evident impacts of dust
666 heterogeneous reactions on secondary aerosol formation were reproduced over
667 widespread areas of Northeastern China where the anthropogenic emissions were
668 intensive. The sulfate formation was relatively well simulated while the nitrate
669 formation on dust was believed to be largely underestimated. More research on
670 laboratory kinetic studies of nitrate dust chemistry is suggested. Also, the feedback
671 between dust chemistry and regional climate change needs to be investigated in the
672 future.

673



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885 Table 1 Concentrations of the measured elements ($\mu\text{g}/\text{m}^3$) in TSP before, during, and
 886 after the dust periods from March 19 to 22 and in non-dust days (NDS) in Shanghai.
 887 N, D, and NDS represent the nighttime samples collected from 20:00 to 8:00 in the
 888 next day, the daytime samples collected from 8:00 to 20:00, and the average of the
 889 non-dust samples collected from March 25 to 27, respectively.
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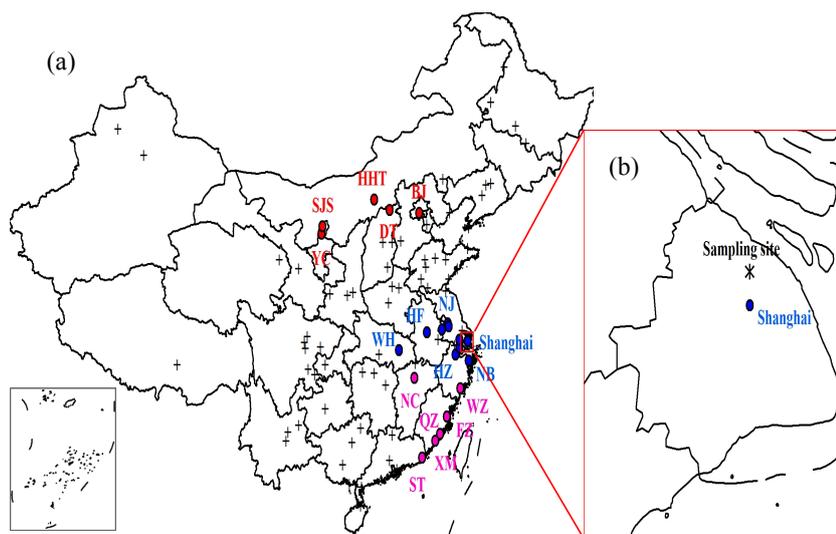
	DS1				DS2			NDS
	19 N	20 D	20 N	21 D	21 N	22 D	22 N	
TSP	168.5	605.0	1306.7	155.1	194.3	683.3	348.2	160.5
Al	6.2	36.4	67.5	9.6	9.3	35.8	12.8	5.2
Ca	8.5	37.3	56.9	9.4	8.6	33.2	12.0	8.0
Fe	3.2	23.3	42.1	6.8	6.0	23.7	11.6	4.2
Mg	1.4	9.5	14.4	2.2	2.3	8.1	3.4	1.4
Na	1.3	7.9	19.1	2.8	3.9	7.9	3.5	2.4
Mn	0.2	1.0	2.2	0.4	0.3	1.1	0.6	0.2
Ti	0.2	1.9	4.3	0.6	0.5	1.9	0.7	0.3
Sr	4.3×10^{-2}	1.6×10^{-1}	3.3×10^{-1}	4.8×10^{-2}	4.3×10^{-2}	1.9×10^{-1}	6.4×10^{-2}	3.8×10^{-2}
V	1.5×10^{-2}	5.2×10^{-2}	1.0×10^{-1}	1.7×10^{-2}	1.5×10^{-2}	4.7×10^{-2}	2.7×10^{-2}	1.3×10^{-2}
Ni	6.7×10^{-3}	2.5×10^{-2}	4.6×10^{-2}	1.1×10^{-2}	3.0×10^{-3}	2.8×10^{-2}	1.6×10^{-2}	6.5×10^{-3}
Zn	7.5×10^{-1}	6.5×10^{-1}	3.4×10^{-1}	1.9×10^{-1}	2.3×10^{-1}	6.3×10^{-1}	5.7×10^{-1}	4.0×10^{-1}
Pb	1.3×10^{-1}	1.5×10^{-1}	1.1×10^{-1}	4.0×10^{-2}	3.7×10^{-2}	2.0×10^{-1}	1.2×10^{-1}	8.6×10^{-2}
Cu	1.3×10^{-2}	6.3×10^{-2}	7.2×10^{-2}	4.0×10^{-2}	2.4×10^{-2}	6.1×10^{-2}	4.7×10^{-2}	3.7×10^{-2}
As	1.2×10^{-2}	1.3×10^{-2}	2.6×10^{-2}	9.2×10^{-3}	1.3×10^{-2}	2.5×10^{-2}	2.4×10^{-2}	1.1×10^{-2}
Cd	2.8×10^{-3}	3.1×10^{-3}	3.4×10^{-3}	7.4×10^{-4}	1.2×10^{-3}	4.7×10^{-3}	2.7×10^{-3}	1.3×10^{-3}

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900 Fig.1 (a) Locations of the 86 major cities over China. The cities with PM_{10}

901 concentrations up to $600\mu g m^{-3}$ on March 20, 21, and 22 were marked by red, blue,

902 and pink circles, respectively. (b) The site location of the ground-based measurement

903 in Shanghai.

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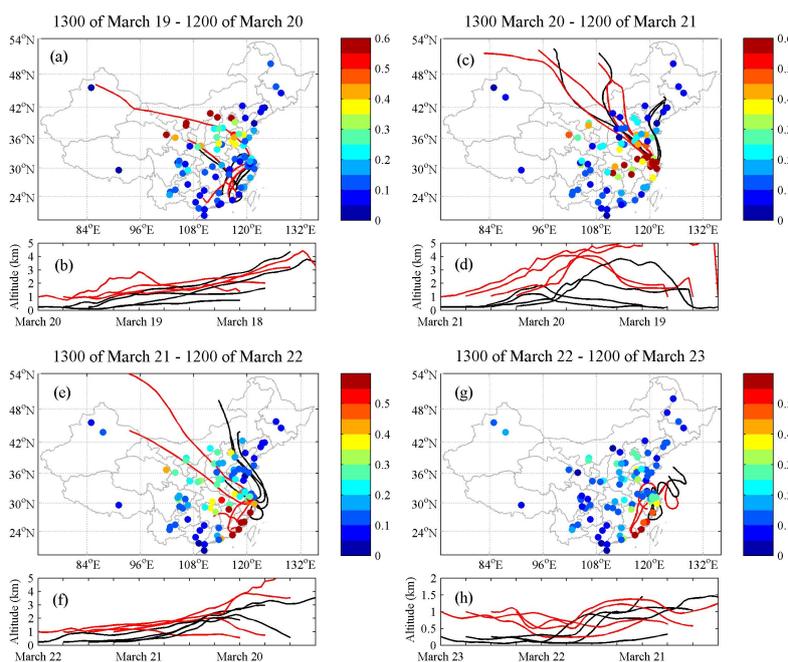
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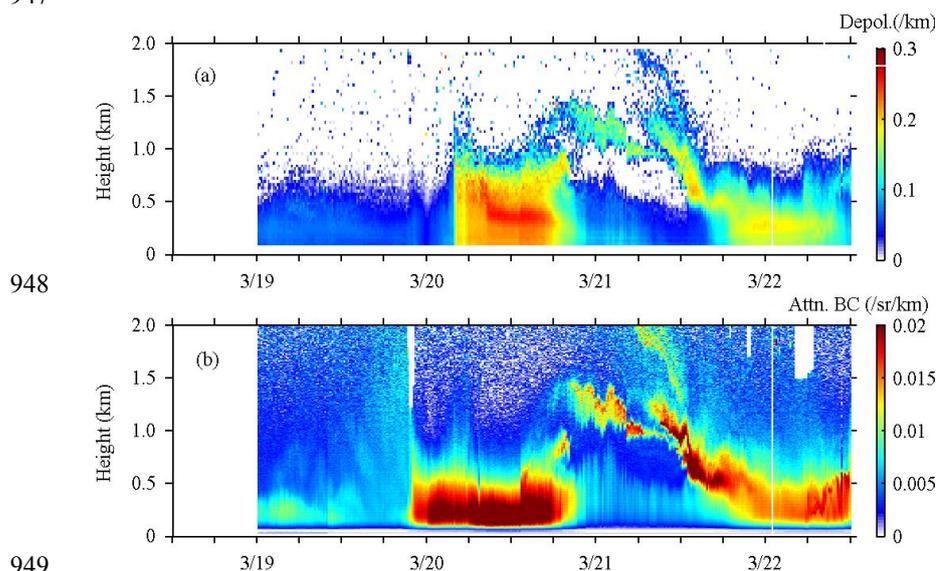
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925 Fig.2 Daily PM_{10} concentrations ($mg\ m^{-3}$) in 86 major cities over China and 48 hours
926 backward trajectories of the air masses at both 250m (black lines) and 1000m (red
927 lines) above ground level over Shanghai during March 19-23, 2010. Four trajectories
928 ending at 00, 06, 12, and 18 LST are computed for each day.

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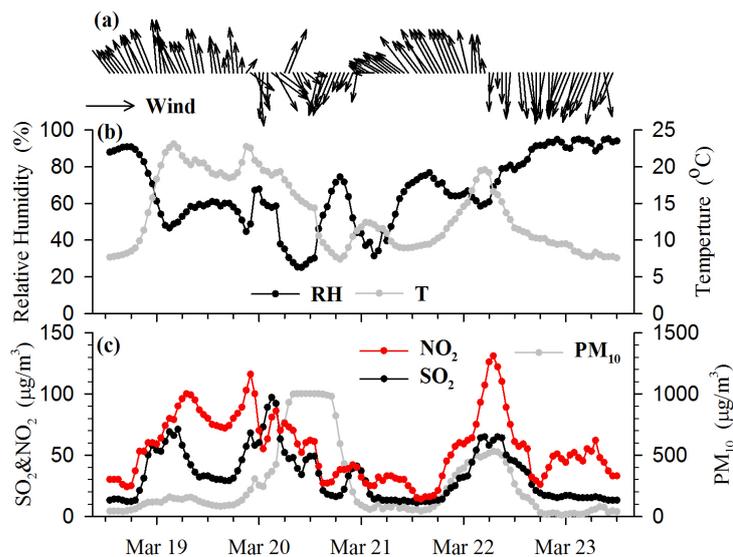
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951 Fig.3 Time-height cross-section of (a) depolarization ratios and (b) attenuated aerosol
952 backscattering coefficients measured by a Lidar at the wavelength of 532 nm during
953 March 19-22, 2010.

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971 Fig.4 Time-series of PM₁₀, SO₂, NO₂, and meteorological factors including wind

972 conditions, relative humidity (RH), and ambient temperature (T) in Shanghai during

973 March 19-23, 2010.

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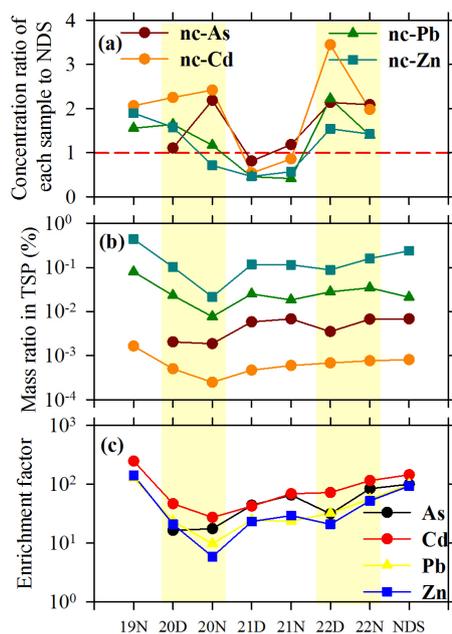
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996 Fig.5 Variations of (a) the mass concentration ratio of each sample to the NDS sample
997 for nc-As, nc-Cd, nc-Pb, and nc-Zn, (b) the mass ratios, and (c) enrichment factors of
998 pollution elements As, Cd, Pb, and Zn in TSP during March 19-22 and non-dust days
999 (NDS). D, N, and NDS represent the daytime samples collected from 8:00 to 20:00
1000 LST, the nighttime samples collected from 20:00 to 8:00 LST in the next day, and the
1001 average of the non-dust samples collected from March 25 to 27, respectively.

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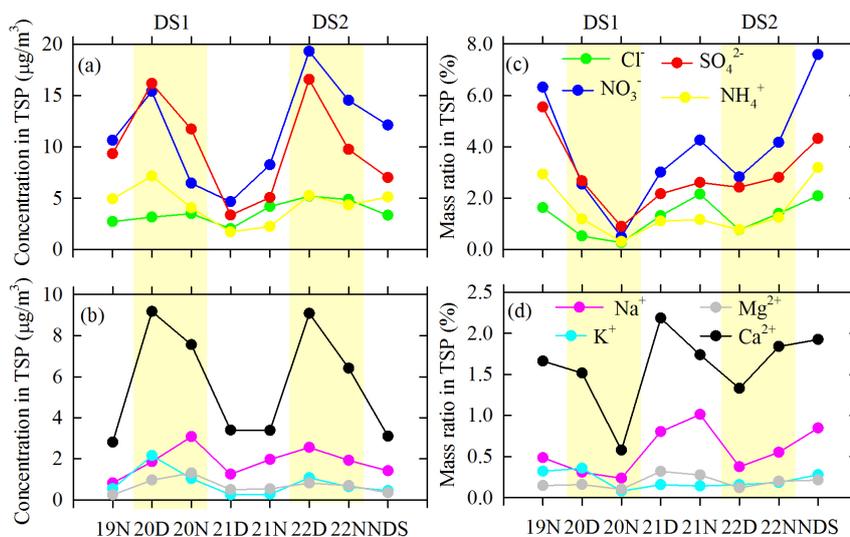
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1019 Fig.6 Variations of the concentrations of water-soluble ions and their mass ratios in
1020 TSP during March 19-22 and NDS, 2010.

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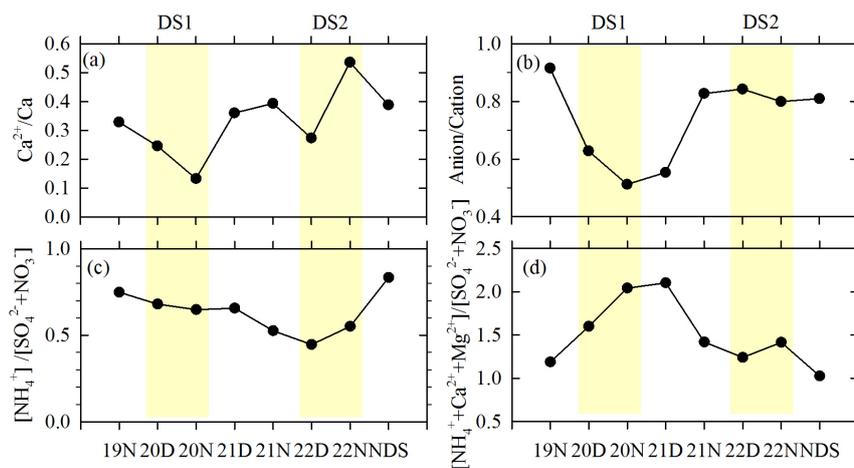
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1045 Fig.7 Variations of (a) the ratios of Ca^{2+}/Ca , (b) the equivalent concentrations of the
 1046 total anions to the total cations (Anion/Cation), (c) the equivalent concentrations of
 1047 NH_4^+ to the sum of SO_4^{2-} and NO_3^- ($[\text{NH}_4^+]/[\text{SO}_4^{2-}+\text{NO}_3^-]$), and (d) the equivalent
 1048 concentrations of the sum of NH_4^+ , Ca^{2+} , and Mg^{2+} to the sum of SO_4^{2-} and NO_3^-
 1049 ($[\text{NH}_4^++\text{Ca}^{2+}+\text{Mg}^{2+}]/[\text{SO}_4^{2-}+\text{NO}_3^-]$) in TSP during March 19-22 and NDS, 2010.

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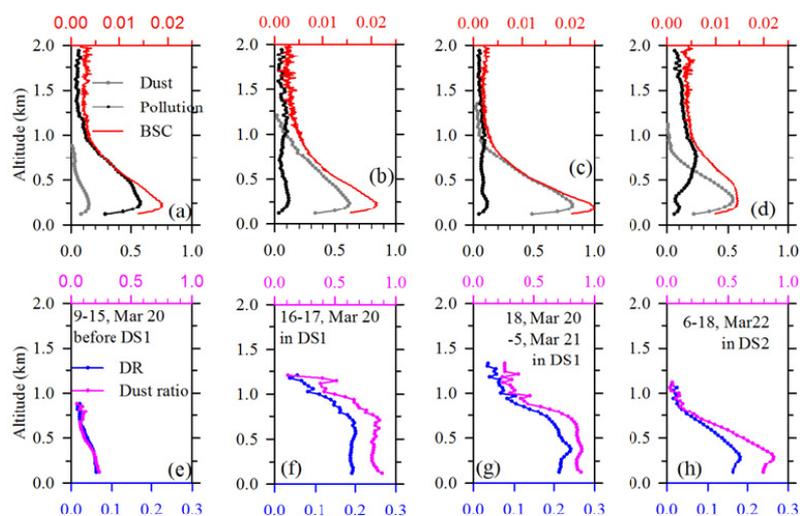
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1070 Fig.8 Vertical profiles of the average extinction coefficients of dust and pollution
1071 particles (km^{-1}), attenuated backscattering coefficient (BSC, $\text{sr}^{-1}\text{km}^{-1}$), depolarization
1072 ratios (DR, unitless), and the ratio of the dust extinction in the total extinction (Dust
1073 ratio, unitless) in four periods of 9:00 - 15:00 of March 20 (before DS1), 16:00 -
1074 17:45 of March 20 (before the highest PM_{10} concentration in DS1), 18:00 of March
1075 20 - 04:45 of March 21 (during the highest PM_{10} concentration in DS1), and 6:00 -
1076 18:00 of March 22 in DS2.

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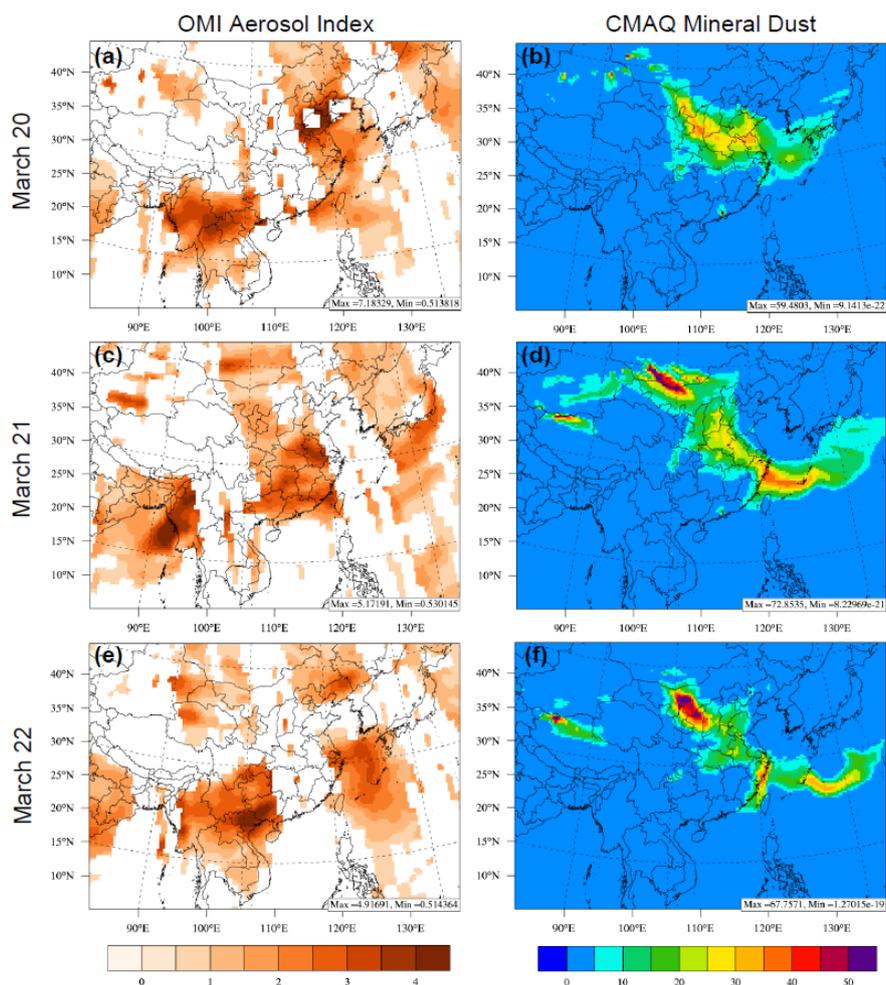


Fig. 9. The spatial distribution of OMI Ultraviolet Aerosol Index (unitless) and simulated mineral aerosols ($\mu\text{g m}^{-3}$) from March 20 – 22, 2010, respectively.

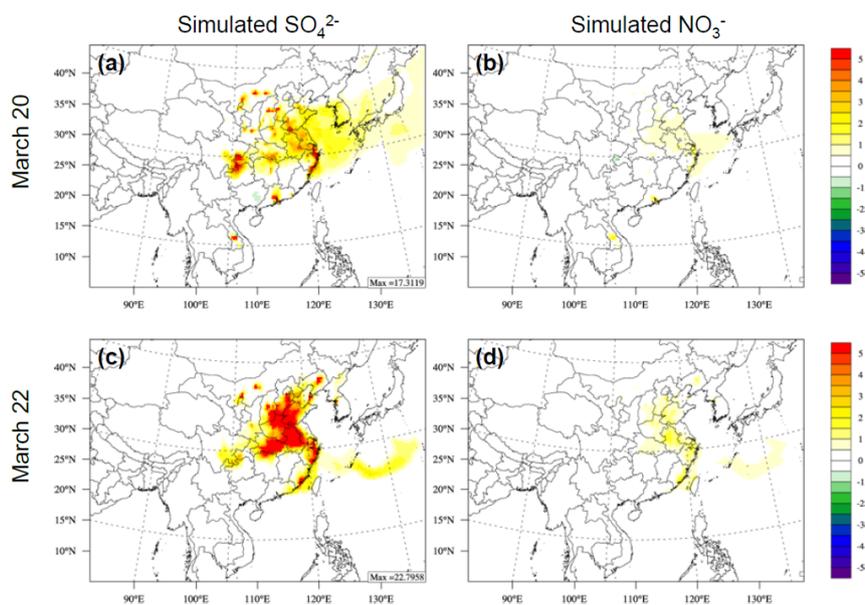


Fig. 10. Simulated SO_4^{2-} and NO_3^- ($\mu\text{g}/\text{m}^3$) from dust heterogeneous reactions during DS1 and DS2.