



# 1 **Cloud climatologies from the InfraRed Sounders AIRS and IASI:** 2 **Strengths, Weaknesses and Applications**

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## 11 **Abstract**

12 The cloud retrieval scheme developed at the Laboratoire de Météorologie Dynamique (LMD) can now  
13 be easily adapted to any Infrared (IR) sounder: the CIRS (Clouds from IR Sounders) retrieval applies  
14 improved radiative transfer, as well as an original method accounting for atmospheric spectral  
15 transmissivity changes associated with CO<sub>2</sub> concentration. The latter is essential when considering long-  
16 term time series of cloud properties. For the 13-year and 8-year global climatologies of cloud properties  
17 from observations of the Atmospheric IR Sounder (AIRS) and of the IR Atmospheric Interferometer  
18 (IASI), respectively, we used the latest ancillary data (atmospheric profiles, surface emissivities and  
19 atmospheric spectral transmissivities). The A-Train active instruments, lidar and radar of the CALIPSO  
20 and CloudSat missions, provide a unique opportunity to evaluate the retrieved AIRS cloud properties  
21 such as cloud amount and height as well as to explore the vertical structure of different cloud types.  
22 CIRS cloud detection agreement with CALIPSO-CloudSat is about 84% - 85% over ocean, 79% - 82%  
23 over land and 70% - 73% over ice / snow, depending on atmospheric ancillary data. Global cloud  
24 amount has been estimated to 67% - 70%. CIRS cloud height coincides with the middle between the  
25 cloud top and the apparent cloud base (real base for optically thin clouds or height at which the cloud  
26 reaches opacity) independent of cloud emissivity, which is about 1 km below cloud top for low-level  
27 clouds and about 1.5 km to 2.5 km below cloud top for high-level clouds, slightly increasing because the  
28 apparent vertical cloud extent is slightly larger for large cloud emissivity. IR sounders are in particular  
29 advantageous for the retrieval of upper tropospheric cloud properties, with a reliable cirrus identification  
30 down to an IR optical depth of 0.1, day and night. Total cloud amount consists of about 40% high-level



1 clouds and about 40% low-level clouds and 20% mid-level clouds, the latter two only detected when not  
2 hidden by upper clouds. Upper tropospheric clouds are most abundant in the tropics, where high opaque  
3 clouds make out 7.5%, thick cirrus 27.5% and thin cirrus about 21.5% of all clouds. The asymmetry in  
4 upper tropospheric cloud amount between Northern and Southern hemisphere with annual mean of 5%  
5 has a pronounced seasonal cycle with a maximum of 25% in boreal summer, which can be linked to the  
6 shift of the ITCZ peak latitude. Comparing tropical geographical change patterns of high opaque clouds  
7 with that of thin cirrus as a function of changing tropical mean surface temperature indicates that their  
8 response to climate change may be quite different, with potential consequences on the atmospheric  
9 circulation.

10

## 11 **1 Introduction**

12 Clouds cover about 70% of the Earth's surface and play a key role in the energy and water cycle of our  
13 planet. The Global Energy and Water Exchanges (GEWEX) Cloud Assessment (Stubenrauch *et al.*,  
14 2013) has highlighted the value of cloud properties derived from space observations for climate studies  
15 and model evaluation and has identified reasons for discrepancies in the retrieval of specific scenes  
16 (especially thin cirrus, alone or with underlying low-level clouds). Compared to other passive remote  
17 sensing instruments, the high spectral resolution of IR vertical sounders leads to especially reliable  
18 properties of cirrus with IR optical depth as low as 0.1, day and night. CO<sub>2</sub> sensitive channels of IR  
19 vertical sounders allow the determination of height and emissivity of a single cloud layer, which  
20 corresponds to the uppermost cloud layer in the case of multiple cloud layers. While measured radiances  
21 near the center of the CO<sub>2</sub> absorption band are only sensitive to the upper atmosphere, radiances from the  
22 wing of the band are emitted from successively lower levels in the atmosphere. Spaceborne instruments  
23 have been observing our planet since the 1980s: the High Resolution Infrared Radiation Sounders  
24 (HIRS) aboard the National Oceanic and Atmospheric Administration (NOAA) polar satellites provide  
25 data since 1979, the Atmospheric InfraRed Sounder (AIRS) aboard the National Aeronautics and Space  
26 Administration (NASA) Earth Observation Satellite Aqua since 2002, the IR Atmospheric Sounding  
27 Interferometers (IASI) aboard the European Organisation for the Exploitation of Meteorological  
28 Satellites (EUMETSAT) Meteorological Operation (MetOp) since 2006 and the Cross-track Infrared  
29 Sounder (CrIS) aboard the Suomi National Polar-orbiting Partnership (NPP) satellite since 2011, while a  
30 next generation of IR sounders (IASI-NG) is foreseen as part of the EUMETSAT Polar System 6  
31 Second Generation (EPS-SG) program for 2021 (Crevoisier *et al.*, 2014).



Active sensors are part of the A-Train satellite formation (Stephens *et al.*, 2002), synchronous with Aqua, since 2006: The CALIPSO lidar and CloudSat radar, together, determine the cloud vertical structure (Stephens *et al.*, 2008). Whereas the lidar is highly sensitive and can detect sub-visible cirrus, its beam only reaches the cloud base of clouds with an optical depth less than 3. For larger optical depth the radar is providing a cloud base location.

Our goal to establish a coherent long-term cloud climatology from different IR sounders has led to the evolution of the cloud retrieval method developed at the Laboratoire de Météorologie Dynamique (Stubenrauch *et al.*, 1999, 2006, 2008, 2010) towards an operational and modular cloud retrieval algorithm suite (CIRS, Feofilov and Stubenrauch, 2017) which has so far been applied to AIRS and IASI data as well as to HIRS data (Hanschmann *et al.*, 2017). The cloud property retrieval employs radiative transfer and atmospheric and surface ancillary data (atmospheric temperature and water vapour profiles, surface temperature and surface emissivity, identification of snow and ice). Compared to the initial method, the CIRS retrieval applies an improved radiative transfer and an original calibration method to adjust the atmospheric spectral transmissivities from look-up tables, computed once for a fixed atmospheric gaseous composition, according to latitudinal, seasonal and interannual atmospheric CO<sub>2</sub> variations.

Compared to the 6-year AIRS-LMD cloud climatology (Stubenrauch *et al.*, 2010), which participated in the GEWEX Cloud Assessment, results of i) an updated and extended 13-year AIRS cloud climatology (2003 ó 2015), using two different sets of the latest ancillary data (originating from retrievals and from meteorological reanalyses), and ii) a new 8-year IASI cloud climatology (2008 ó 2015) are presented in this article. After the description of data and methods in section 2, section 3 is dedicated to the evaluation of cloud detection and cloud height using the unique A-Train synergy of synchronous passive and active measurements. Section 4 presents average cloud properties and their regional, seasonal, inter-annual and long-term variability, in comparison with other datasets, as well as uncertainty estimates with respect to the used ancillary data. Section 5 concentrates on the variability of the upper tropospheric clouds with respect to changes in atmospheric conditions in order to illustrate how these data may be used for climate studies. Conclusions and an outlook are given in section 6.

## 2 Data and methods

### 2.1 AIRS Data

The AIRS instrument (Chahine *et al.*, 2006) provides very high spectral resolution measurements of Earth emitted radiation in 2378 spectral bands in the thermal infrared (3.74–15.40 µm). The spatial



1 resolution of these measurements varies from 13.5 km x 13.5 km at nadir to 41 km x 21 km at the scan  
2 extremes. The polar orbiting Aqua satellite provides observations at 1:30 and 13:30 local time (LT).  
3 Nine AIRS measurements (3 x 3) correspond to one footprint of the Advanced Microwave Sounder Unit  
4 (AMSU) and are grouped as a golf ball. The CIRS cloud retrieval uses measured radiances around the  
5 15  $\mu\text{m}$  CO<sub>2</sub> absorption band. We have chosen AIRS channels closely corresponding to the five channels  
6 used in the TIROS-N Operational Vertical Sounder (TOVS) Path-B cloud retrieval, at wavelengths of  
7 14.19, 14.00, 13.93, 13.28 and 10.90  $\mu\text{m}$ , and three additional channels at 14.30, 14.09 and 13.24  $\mu\text{m}$   
8 (with peaks in the weighting function at 235, 255, 375, 565, 415, 755, 885 hPa and surface, respectively).  
9 The cloud property retrieval (section 2.4) is applied to all data, after which an a posteriori cloud  
10 detection based on the spectral coherence of cloud emissivities, obtained by using the retrieved cloud  
11 pressure, decides whether the AIRS footprint is cloudy or mostly clear (section 2.5). For the latter,  
12 radiances in the atmospheric window between 9 and 12  $\mu\text{m}$  are used, at six wavelengths of  
13 11.85, 10.90, 10.69, 10.40, 10.16, 9.12  $\mu\text{m}$ . The NASA Science Team provides L2 standard products  
14 (Version 6 (V6); Olsen *et al.*, 2016), which include atmospheric temperature and water vapour profiles  
15 as well as surface skin temperature. These are necessary ancillary data for the CIRS cloud retrieval. They  
16 were retrieved from cloud-cleared AIRS radiances within each AMSU footprint. The methodology is  
17 essentially unchanged from that described in (Susskind *et al.*, 2003). Compared to Version 5, the most  
18 significant changes are: i) V6 uses an IR-microwave neural network solution (Blackwell *et al.*, 2014) as  
19 a first guess for the retrieval of atmospheric temperature and water vapour profiles as well as for surface  
20 skin temperature, instead of the previously used regression approach (Susskind *et al.*, 2014). This leads  
21 to physical solutions for many more cases than in Version 5 (V5). ii) The retrieval of surface skin  
22 temperature only uses shortwave window channels (Susskind *et al.*, 2014). These modifications have  
23 resulted in significant improvement of accurate temperature profiles and surface skin temperatures under  
24 partial cloud cover conditions (Van T. Dang *et al.*, 2012). Compared to V5, the surface skin temperature  
25 is larger over land in the afternoon (especially over desert) and over maritime stratocumulus regions.

26 We also use the microwave identification of snow or ice covered surface from the NASA L2 data.

27 Since the retrieved cloud pressure should be within the troposphere to lower stratosphere, we have  
28 determined the tropopause pressure from the atmospheric profiles, using the ideology described in  
29 (Reichler *et al.*, 2003, Feofilov and Stubenrauch, 2017) and allow clouds to be up to 30 hPa above the  
30 tropopause.

## 31 2.2 IASI data



IASI, developed by CNES in collaboration with EUMETSAT, is a Fourier Transform Spectrometer based on a Michelson interferometer covering the IR spectral domain from 3.62 to 15.5  $\mu\text{m}$ . As a cross-track scanner, the swath corresponds to 30 ground fields per scan, each of these measures a  $2 \times 2$  array of footprints (12-km diameter at nadir). IASI raw measurements are interferograms that are processed to radiometrically calibrated spectra on board the satellite. Two instruments were launched so far onboard the European Platforms Metop-A and Metop-B (in October 2006 and September 2012, respectively), with measurements of at 9:30 / 21:30 LT and 10:30 / 22:30 LT (local equator crossing time). IASI has been providing water vapor and temperature sounding profiles for operational meteorology (accuracy requirements respectively of 1 K and 10% in the troposphere), while observing simultaneously a whole suite of trace gases, surface and atmospheric properties, including aerosols and clouds (Hilton *et al.*, 2012).

At the time we started incorporating IASI data to the cloud retrieval, two data sets of retrieved atmospheric profiles and surface temperature were available: one provided by EUMETSAT (Version 5) and one by NOAA. EUMETSAT L2 temperature and water vapour Version 5 products were only available for clear and partly cloudy scenes, leaving atmospheric and surface retrievals in only 9% of all cases, while the recent Version 6 has extended the retrieval of thermodynamical parameters (such as temperature and water vapor) to cloudy scenes.

Therefore we first used IASI L2 ancillary data provided by NOAA. However, a comparison with cloud amounts deduced from AIRS via CIRS has demonstrated that the amount of low-level clouds over ocean was underestimated (Feofilov *et al.*, 2015a), probably due to underestimated sea surface temperature (SST) linked to cloud contamination. In addition, the comparison with collocated temperature profiles of the Analyzed RadioSoundings Archive (ARSA, available at the French data centre AERIS) has revealed that the AIRS-NASA and IASI-NOAA L2 atmospheric profiles were quite different. This brought us to the conclusion, that one needs ancillary data from the same source, if one wants to make use of the AIRS & IASI synergy to explore the cloud diurnal cycle. Therefore, we also implemented ancillary data from the European Centre for Medium-Range Weather Forecasts (ECMWF) meteorological reanalyses.

### 2.3 ERA-Interim meteorological reanalyses

ECMWF provides the meteorological reanalyses ERA-Interim, covering the period from 1989 until now. Dee *et al.* (2011) give a detailed description of the model approach and the assimilation of data. The data assimilation scheme is sequential: at each time step, it assimilates available observations to constrain the model built with forecast information obtained in the previous step. The analyses are then



1 used to make a short-range model forecast for the next assimilation time step. Gridded data products (at a  
2 spatial resolution of  $0.75^\circ$  latitude  $\times$   $0.75^\circ$  longitude) include 6-hourly surface temperature, atmospheric  
3 temperature and water vapor profiles, as well as dynamical parameters such as horizontal and vertical  
4 large-scale winds. A common proxy for the intensity of the vertical motions in the atmosphere is the  
5 vertical pressure velocity at 500 hPa level,  $\omega_{500}$  (e.g. Bony and Dufresne, 2005; Martins *et al.*, 2011).  
6 To match these data, given at universal time of 0:00, 6:00, 12:00 and 18:00, to the AIRS and IASI  
7 observations, we interpolate them to the corresponding local time, using a cubic spline function, as in  
8 (Aires *et al.*, 2004).

9 To avoid uncertainties in atmospheric and surface ancillary data in the analysis of the diurnal cycle of  
10 upper tropospheric clouds from AIRS and IASI retrievals, we use ERA-Interim as ancillary data  
11 (Feofilov *et al.*, 2015a). By using different sets of ancillary data in the cloud retrieval we are also able to  
12 estimate uncertainties in cloud amounts (sections 3 and 4).

## 13 2.4 CIRS cloud property retrieval

14 The cloud property retrieval is based on a weighted  $\chi^2$  method using channels around the 15  
15  $\mu\text{m}$   $\text{CO}_2$  absorption band (Stubenrauch *et al.*, 1999). Cloud pressure and effective emissivity  
16 are determined by minimizing  $\chi^2(p_k)$ , computed at different atmospheric pressure levels by  
17 summation over  $N$  wavelengths  $\lambda_i$  within the  $\text{CO}_2$  absorption band and atmospheric window:

$$18 \quad \chi^2(\mathbf{p}_k) = \sum_{i=1}^N \left[ (I_{\text{cld}}(\mathbf{p}_k, \lambda_i) - I_{\text{clr}}(\lambda_i)) \cdot \varepsilon_{\text{cld}}(\mathbf{p}_k) - (I_m(\lambda_i) - I_{\text{clr}}(\lambda_i)) \right]^2 * W^2(\mathbf{p}_k, \lambda_i) \quad (1)$$

19 where  $I_m$  corresponds to the measured radiance.  $I_{\text{clr}}$  is the simulated radiance the IR Sounder would  
20 measure in the case of clear sky, and  $I_{\text{cld}}(\mathbf{p}_k)$  is the radiance emitted by a homogeneous opaque single  
21 cloud layer, calculated for 42 levels  $p_k$  above surface (from 984 hPa to 86 hPa) and for the corresponding  
22 viewing zenith angle. In general, five (for HIRS) to eight channels (AIRS and IASI) around the  $15\mu\text{m}$   
23  $\text{CO}_2$  band (regularly spaced) are sufficient, as a sensitivity study has shown. Doubling the number of  
24 channels in the retrieval did not change the results.

25 By introducing empirical weights  $W(p_k, \lambda_i)$ , the method takes into account the vertical  
26 weighting of the different channels, the growing uncertainty in the computation of  $\varepsilon_{\text{cld}}$  with  
27 increasing  $p_k$  and uncertainties in atmospheric profiles. These weights are determined for each  
28 of five typical air mass classes (tropical, midlatitude summer and winter, polar summer and  
29 winter) as in (Stubenrauch *et al.*, 1999; Feofilov and Stubenrauch, 2017), using the spread of  
30 clear sky radiances within these air mass classes obtained from the Thermodynamic Initial  
31 Guess Retrieval (TIGR) data base (Chédin *et al.*, 1985; Chevallier *et al.*, 1998; Chédin *et al.*,



2003). Minimizing  $\chi^2$  in Eq. 1 is equivalent to  $d\chi^2/d\varepsilon_{cld} = 0$ , from which one can extract  $\varepsilon_{cld}$  as:

$$\varepsilon_{cld}(\mathbf{p}_k) = \frac{\sum_{i=1}^N [\mathbf{I}_m(\lambda_i) - \mathbf{I}_{clr}(\lambda_i)] \cdot [\mathbf{I}_{cld}(\mathbf{p}_k, \lambda_i) - \mathbf{I}_{clr}(\lambda_i)] \cdot \mathbf{W}^2(\mathbf{p}_k, \lambda_i)}{\sum_{i=1}^N [\mathbf{I}_{cld}(\mathbf{p}_k, \lambda_i) - \mathbf{I}_{clr}(\lambda_i)]^2 \cdot \mathbf{W}^2(\mathbf{p}_k, \lambda_i)} \quad (2)$$

In general, the  $\chi^2(p)$  profiles have a more pronounced minimum for high-level clouds than for low-level clouds. We stress here that for the identification of low-level clouds it is important to allow values larger than 1 for  $\varepsilon_{cld}$ , because at larger pressure  $\mathbf{I}_{clr}$  and  $\mathbf{I}_{cld}$  become very similar and their uncertainties may lead to values larger than 1 (Stubenrauch *et al.*, 1999). Therefore, only pressure levels leading to  $\varepsilon_{cld} > 1.5$  are excluded from the solution. Typical  $p_{cld}$  uncertainties have been estimated from a statistical analysis of the  $\chi^2(p)$  profiles: they range from 30 hPa for high-level clouds to 120 hPa for low-level clouds, corresponding to about 1.2 km in altitude,  $z_{cld}$ .

In the case of atmospheric temperature inversions in the lower troposphere, for which temperature first increases with height before decreasing, with  $T(z_{inv}) > T_{surf}$ , the cloud is moved to the inversion layer  $z_{inv}$ . To detect these cases, the inversion strength, defined by  $T(z_{inv}) - T_{surf}$ , has to be larger than 2 K. Depending on the ancillary data, these cases arise about 7 to 15 %.  $\varepsilon_{cld}$  as defined in Eq. (2) does not have a physical meaning in the case of an inversion, since  $\mathbf{I}_{cld}(p_{cld})$  will be greater than  $\mathbf{I}_{clr}$ . Therefore, we scale  $\varepsilon_{cld}$  and the spectral emissivities in accordance with the ratio  $p_{inv} / p_{cld}$ .

Cloud temperature,  $T_{cld}$ , is determined from  $p_{cld}$ , using the ancillary temperature profile similar to the observed situation (see section 2.4.1). Cloud types are distinguished according to  $p_{cld}$  and  $\varepsilon_{cld}$ . High-level clouds are defined by  $p_{cld} < 440$  hPa, midlevel clouds by  $440 \text{ hPa} < p_{cld} < 680$  hPa and low-level clouds by  $p_{cld} > 680$  hPa. High-level clouds may be further distinguished into opaque ( $\varepsilon_{cld} > 0.95$ ), cirrus ( $0.95 > \varepsilon_{cld} > 0.50$ ) and thin cirrus ( $\varepsilon_{cld} < 0.50$ ).  $p_{cld}$  is transformed to cloud altitude,  $z_{cld}$ , using a hydrostatic conversion, with the virtual temperature profile accounting for humidity, again from ancillary data similar to the observed situation.

The retrieval is applied to all footprints. In a second step, an a posteriori cloud detection is applied (section 2.5). When sufficient channels are available in the atmospheric window, as for the high spectral resolution IR sounders like AIRS, CrIS and IASI, a test based on the spectral coherence of retrieved cloud emissivities decides whether the footprint is cloudy (overcast or mostly cloudy) or clear (or not cloudy enough to determine reliable cloud properties). Thresholds have been established using the A-





1 Train synergy (section 3). In the case of HIRS, other methods have been developed to decide if the scene  
2 is cloudy (e. g. Stubenrauch *et al.*, 2006; Hanschmann *et al.*, 2017).

3 For the computation of  $I_{clr}$  and  $I_{cld}$  in Eq. (1), we need surface skin temperature and spectral surface  
4 emissivities as well as atmospheric temperature and spectral transmissivity profiles for the atmospheric  
5 situation of the measurements. The atmospheric spectral transmissivity profiles were calculated using the  
6 4A radiative transfer model (Scott and Chédin, 1981), separately for each satellite viewing zenith angle  
7 (up to 50°) and for about 2300 representative clear sky atmospheric temperature and humidity profiles of  
8 the TIGR data base.

9 In the cloud retrieval, the TIGR data base is searched for the atmospheric profile corresponding best to  
10 the observational conditions by applying a proximity recognition which compares the atmospheric  
11 temperature and water vapour profiles from the ancillary data with those from TIGR as in (Stubenrauch  
12 *et al.*, 2008). The preparation and evaluation of these ancillary data is presented in 2.4.1.

#### 13 2.4.1 Preparation and comparison of atmospheric / surface ancillary data

14 Over land we use monthly spectral surface emissivity climatological values at a spatial resolution of  
15 0.25° x 0.25°, retrieved from IASI measurements (Paul *et al.*, 2012) and spectrally interpolated to the  
16 AIRS channels. Over ocean the surface emissivity is set to 0.99 for wavelengths less than and 0.98 for  
17 wavelengths larger than 10 µm (Wu and Smith, 1997). Over snow and ice, the spectral surface  
18 emissivities are taken from (Hori *et al.*, 2006), and since these depend in this case on the viewing zenith  
19 angle, they are corrected as in (Smith *et al.*, 1996).

20 Since IR sounders, in combination with microwave sounders, were originally designed for the retrieval  
21 of atmospheric temperature and humidity profiles, the atmospheric clear sky situation can then be  
22 directly described by simultaneous L2 atmospheric profiles of good quality (when the situation is not too  
23 cloudy). When these are not available, we use atmospheric profiles, surface skin temperature and  
24 tropopause of good quality, averaged over 1° latitude x 1° longitude, and if there are still no data  
25 available we interpolate these averages in time (inversely proportional to distance within maximal ±15  
26 days) and then in space (inversely proportional to distance within maximal 3° longitude, considering the  
27 same surface type).

28 To define atmospheric temperature and humidity profiles as well as surface temperature of good quality,  
29 one has to find a compromise between an acceptable quality and enough statistics.

30 This led to the following quality criteria in the case of ancillary data from AIRS-NASA (V6) :





- 1 • Surface temperature is of good quality, if the provided retrieval error is smaller than 3 K / 6 K / 7 K for  
2 ocean / land / ice or snow, respectively. It should also be larger than 180 K and smaller than 400 K.
- 3 • Atmospheric temperature profiles are of bad quality, when three consecutive layers have large retrieval  
4 errors, with thresholds in the upper part (70 hPa to 500 hPa) / lower part of the troposphere (500 hPa to  
5 surface) / near surface of 2 K / 2K / 2K over ocean, 2.5 K / 2.5 K / 3 K over land and 2.5 K / 2.5 K / 5  
6 K over ice or snow, respectively.
- 7 • For atmospheric water vapour profiles the quality criteria of NASA were kept.

8 Nevertheless, when comparing SST of good quality from AIRS with SST from ERA-Interim, AIRS  
9 values were slightly colder. Since this effect is most probably due to a slight underestimation of the  
10 AIRS SST linked to cloud contamination, we applied a small correction to SST by adding the minimum  
11 between 0.5 K and the retrieval error. Since the behaviour over land is more complex, we left the surface  
12 temperature ( $T_{surf}$ ) values as they are.

13 When we use time interpolated ERA-Interim atmospheric profiles and surface temperature as ancillary  
14 data in the cloud retrieval, these data are always available. However, since the time interpolated ERA-  
15 Interim SST did not show a diurnal cycle (most of the amplitudes are less than 0.2 K), which is not  
16 consistent with observations (e.g. Webster *et al.*, 1996), we applied a simple parameterized correction,  
17 based on Fig. 11 of (Webster *et al.*, 1996). This parameterization links the SST diurnal cycle to peak  
18 insolation. The coefficient between the maximal solar flux at given latitude, longitude, solar zenith angle  
19 and local time and the SST diurnal amplitude was adjusted to 0.005 K/Wm<sup>2</sup> to make the latter consistent  
20 with that of recent observations (e.g. Seo *et al.*, 2014). Without this correction, cloud amount over ocean  
21 was larger during night (78%) than in the early afternoon (71%), while now cloud amount is more  
22 similar (76% / 73%), in better agreement with results using AIRS ancillary data (71% / 71%). The  
23 behaviour over land is more complex, so we left the  $T_{surf}$  values as they are, leading to CA of 62% / 56%,  
24 with ERA-Interim, and 56% / 58%, with AIRS-NASA, at 1:30AM / 1:30PM.

25 Figure 1 presents comparisons of  $T_{surf}$ , as used in the cloud retrieval, deduced from NASA AIRS  
26 retrievals and from ERA-Interim, with collocated surface air temperature,  $T_{surf}^{air}$ , from the ARSA data  
27 base. One would expect that over land  $T_{surf}$  is colder than  $T_{surf}^{air}$  during night and warmer than  $T_{surf}^{air}$  in  
28 the afternoon; this effect should be stronger for temperate and warmer temperatures, especially if the  
29 climate is dry. SST should be similar to  $T_{surf}^{air}$  in the tropics, slightly warmer in midlatitudes and colder  
30 in polar regions. Considering Figure 1, the distributions reflect the expectations, with peaks for AIRS-  
31 NASA and ERA-Interim corresponding to similar differences with ARSA. When looking more in



detail, the land distributions are slightly larger for AIRS-NASA than for ERA-Interim, and they are shifted towards colder values for colder  $T_{surf}$  and at night for warmer  $T_{surf}$ . For warmer  $T_{surf}$  in the afternoon, AIRS-NASA  $T_{surf}$  is slightly larger than ERA-Interim  $T_{surf}$ . Colder AIRS-NASA values might still indicate some cloud contamination, whereas the colder values of ERA-Interim over warm land in the afternoon might indicate an underestimation, especially over desert, as has already been pointed out by Trigo *et al.* (2015). The effect of  $T_{surf}$  on cloud amount will be further investigated in section 3.2.

#### 2.4.2 Calibration for changes in atmospheric CO<sub>2</sub> concentration

The TIGR data base of atmospheric spectral transmissivities was created for an atmosphere with a fixed CO<sub>2</sub> volume mixing ratio of 372 ppmv. However, the atmospheric CO<sub>2</sub> concentration varies latitudinally, seasonally and with time. While both the increase during the last ten years and the seasonal variability in the Northern hemisphere (NH) are of the order of ~20 ppmv, the latitudinal gradient in the NH varies from 0.1 ppmv / ° to +0.1 ppmv / °. Seasonal variability in the NH is related to the vegetation and fossil fuel burning seasonality. The difference between an averaged value and actual CO<sub>2</sub> volume mixing ratio can easily reach 10%, which is a noticeable change since the concentration enters the power of the exponent in calculating the transmissivity,  $\tau$ . To avoid errors in the radiative transfer associated with CO<sub>2</sub> changes, we rescale the transmissivity according to the following rule:

$$\tau = \exp(-\beta \alpha \text{CO}_2^{\text{current}}) \quad (3)$$

with  $\alpha = -k \log(\tau^{\text{ref}} / \text{CO}_2^{\text{ref}})$  and  $\beta = \alpha \text{CO}_2^{\text{ref}} (1-k)/k$ , where  $k$  is the relative CO<sub>2</sub> contribution to the opacity of the channel. Details are described in (Feofilov and Stubenrauch, 2017). The CO<sub>2</sub> concentrations are taken from (GLOBALVIEW-CO<sub>2</sub>, 2013).

This correction also removes long-term biases due to increasing CO<sub>2</sub> in the atmosphere from anthropogenic CO<sub>2</sub> emissions, which introduced an artefact in the time series of cloud amount. Applying the correction of equation (3) has eliminated this bias (see section 4).

#### 2.4.3 Summary of changes compared to the previous version of the AIRS-LMD cloud climatology

Compared to the six-year AIRS-LMD cloud climatology (Stubenrauch *et al.*, 2010), the following changes have been implemented into the CIRS algorithm:

- Minimum cloud pressure has been extended from 106 hPa to 86 hPa.
- Ancillary atmospheric and surface data have been updated from NASA V5 to NASA V6.



- 1 • To fill gaps in atmospheric and surface ancillary data of good quality, the interpolation method has
  - 2 slightly changed.
  - 3 • In the case of atmospheric temperature inversions, the cloud is moved to the inversion layer and  $\varepsilon_{cld}$  is
  - 4 scaled accordingly.
  - 5 • The radiative transfer to determine the TIGR atmospheric spectral transmissivities has been improved.
  - 6 • The atmospheric spectral transmissivity of the TIGR data base near the surface was adjusted to the
  - 7 surface pressure of the observed situation.
  - 8 • The improved radiative transfer computations of clear sky radiances led to a decreased threshold on
  - 9 the variability of the cloud spectral emissivities between 9 and 12  $\mu\text{m}$ , used in the cloud detection, (see
  - 10 section 2.5).
  - 11 • Only one cloud detection test, based on the coherence of cloud spectral emissivity, is applied.
  - 12 • Simulated clear sky atmospheric spectral transmissivities have been corrected for variability in
  - 13 atmospheric  $\text{CO}_2$  concentration.
- 14 The impact of these changes, however, is in general small, as can be seen in the latitudinal averages of
- 15 total, high, midlevel and low-level cloud amounts presented in section 4.

## 16 2.5 A posteriori cloud detection

17 Once the cloud properties are retrieved, we use the same cloud detection strategy as in (Stubenrauch *et*

18 *al.*, 2010), based on the spectral coherence of retrieved cloud emissivities between 9 and 12  $\mu\text{m}$ ,

19 wavelengths in the IR atmospheric window. For each footprint, cloud emissivities  $\varepsilon_{cld}$  are

20 determined at six wavelengths,  $\lambda_i$ , as:

$$21 \quad \varepsilon_{cld}(\lambda_i) = \frac{I_m(\lambda_i) - I_{clr}(\lambda_i)}{I_{cld}(p_{cld}, \lambda_i) - I_{clr}(\lambda_i)} \quad (4)$$

22 where  $I_{cld}$  is now determined for  $p_{cld}$  which has been retrieved by the  $\chi^2$  method (see above). When  $p_{cld}$

23 is well determined, these spectral cloud emissivities should only slightly differ. The variability should be

24 larger, when the footprint is partly cloudy or clear and hence  $p_{cld}$  is not well determined. In that case, the

25 footprint is declared as not cloudy.

26 To determine thresholds, we make use of the A-Train synergy: by comparing distributions of the

27 standard deviation ( $\varepsilon$ ) over these wavelengths divided by the retrieved  $\varepsilon_{cld}$ , separately for cloudy scenes

28 and for clear sky scenes as determined by CALIPSO (see section 3.1). Overcast / clear sky scenes are



1 situations for which all three CALIPSO samples within the AIRS golf ball are cloudy / clear,  
2 respectively, and partly cloudy scenes include a mix of cloudy and clear sky within the three samples.  
3 Figure 2 presents these distributions, separately over ocean, land and ice / snow, when AIRS ancillary  
4 data and when ERA-Interim ancillary data are used in the AIRS cloud retrieval. First of all, we observe  
5 that the distributions are in general narrower for cloudy scenes than for clear sky, as expected. The large  
6 tails of the clear sky distributions are presented as a large peak at  $(\varepsilon)/\varepsilon_{\text{cld}} = 0.59$ , the maximum value to  
7 which  $(\varepsilon)/\varepsilon_{\text{cld}}$  was set. The separation between cloudy and clear is best over ocean, followed by land  
8 and then ice / snow. Distributions are similar over ocean and land between both ancillary data, whereas  
9 the distinction between cloudy and clear sky over ice / snow is slightly better when ERA-Interim is used.  
10 This might be explainable by the fact that the retrieval of atmospheric profiles with good quality is  
11 challenging over ice / snow. According to these figures and by experimenting with thresholds to obtain a  
12 good agreement in the identification of cloudy and clear sky scenes with CALIPSO-CloudSat (see  
13 section 3.2), we perform the following tests for the AIRS-CIRS cloud detection.

14 The footprint is identified as cloudy if the following conditions are fulfilled:

15  $(\varepsilon)/\varepsilon_{\text{cld}} < 0.17 / 0.20 / 0.30$  for ocean / land / snow or ice and AIRS ancillary data

16  $(\varepsilon)/\varepsilon_{\text{cld}} < 0.17 / 0.20 / 0.20$  for ocean / land / snow or ice and ERA-Interim ancillary data

17 For IASI we do not have the possibility to test these distributions with CALIPSO-CloudSat. However,  
18 the overall distributions of  $(\varepsilon)/\varepsilon_{\text{cld}}$  are similar for AIRS and IASI, comparing retrievals both based on  
19 ERA-Interim ancillary data. Therefore we use the same thresholds for the IASI cloud detection.

20 To reduce noise, we declare footprints with a cloud of  $\varepsilon_{\text{cld}} < 0.10$ , corresponding to a visible (VIS) optical  
21 depth of about 0.2, as not cloudy.

### 22 3 Evaluation of cloud properties using the A-Train synergy

23 The A-Train active instruments, lidar and radar of the CALIPSO and CloudSat missions, provide a  
24 unique opportunity to evaluate the retrieved AIRS cloud properties such as cloud amount and cloud  
25 height, as well as to explore the vertical structure of the AIRS cloud types (Stubenrauch *et al.*, 2010).  
26 These results can then be transposed to cloud types determined by CIRS retrieval method using other IR  
27 sounders.

#### 28 3.1 Collocated AIRS – CALIPSO – CloudSat data

29 We use the same collocation procedure as in (Feofilov *et al.*, 2015b): all satellites of the A-Train follow  
30 each other within a few minutes. First, each AIRS footprint is collocated with NASA CALIPSO L2



1 cloud data averaged over 5 km (version 3, Winker *et al.*, 2009) in such a way that for each AIRS golf  
2 ball, three CALIPSO samples closest to the centres of each AIRS footprint are kept. These data are then  
3 collocated with the vertical profiling of the NASA L2 Lidar CloudSat geometrical profiling  
4 (GEOPROF) data (version P1\_R04; Mace and Zhang, 2014). Each AIRS footprint includes thus  
5 information on the vertical structure (cloud top and cloud base for each of the cloud layers) at the spatial  
6 resolution of the radar footprints (1.4 km x 2.3 km) and in addition to cloud detection, cloud optical  
7 depth, cloud top and apparent cloud base (corresponding to the real cloud base or to the height at which  
8 the cloud reaches opacity) at the spatial resolution of the CALIPSO cloud data (5 km x 0.09 km). A  
9 cloud feature flag indicates whether the cloud is opaque. The CALIPSO L2 cloud data also indicate at  
10 which horizontal averaging the cloud was detected (1 km, 5 km or 20 km), which is a measure of the  
11 optical thickness of the cloud. For a direct comparison with AIRS cloud data, we use clouds detected at  
12 horizontal averaging over 5 km or less, corresponding to minimum particle backscatter coefficient of  
13 about  $0.0008 \text{ km}^{-1} \text{sr}^{-1}$  at night and about  $0.0015 \text{ km}^{-1} \text{sr}^{-1}$  during day, for a cirrus with an altitude of  
14 about 12 km (Fig. 4 of Winker *et al.*, 2009). This corresponds to clouds with VIS optical depth larger  
15 than about 0.05 to 0.1 (Winker *et al.*, 2008). The scene over each AIRS footprint is estimated by using  
16 the cloud detection of all three CALIPSO samples per AIRS golf ball as: clear sky, partly cloudy and  
17 overcast.

18 For the evaluation of cloud height we determine the lidar CloudSat GEOPROF cloud layer which is  
19 closest to  $z_{cld}$  from AIRS. From the 5 km averaged CALIPSO data we also determine the height at which  
20 the cloud reaches a certain optical depth, in particular 0.5,  $z_{COD0.5}$ . We then require that this height is  
21 located within the corresponding cloud layer of the lidar CloudSat GEOPROF data.

22 Cloud optical depth (COD) determined from lidar backscatter depends on a correction for multiple  
23 scattering which itself depends on COD and microphysics (e. g. Comstock and Sassen, 2001; Chen *et al.*,  
24 2002; Lamquin *et al.*, 2008). As CALIPSO assumes a constant multiple scattering coefficient of 0.6  
25 in the retrieval (Winker, 2003), COD might be slightly underestimated, especially for larger COD. We  
26 therefore estimate from Figure 3 in (Lamquin *et al.*, 2008) a correction factor and deduce that a COD of  
27 0.50 should correspond to a COD given by CALIPSO of about 0.37. To determine the height within the  
28 cloud at which COD reaches 0.5 we also use an assumption on the shape of the ice water content vertical  
29 profile between cloud top and cloud base (Feofilov *et al.*, 2015b).

30 In the following, we analyze three years (2007-2009) of collocated AIRS-CALIPSO-CloudSat data,  
31 separately for three latitude bands: tropical / subtropical latitudes (30°N-30°S), midlatitudes (30°N-60°N  
32 and 30°S-60°S) and polar latitudes (60°N-90°N and 60°S-90°S).



1

2

### 3 3.2 Cloud detection

4 The  $\alpha$ -posteriori cloud detection leads to an agreement with the CALIPSO-CloudSat cloud detection in  
5 about 85% (84%) over ocean, 82% (79%) over land and 70% (73%) over ice / snow, using atmospheric  
6 and surface ancillary data, deduced from AIRS-NASA (ERA-Interim). Table 1 presents these  
7 agreements separately for the three latitude bands. In general, these agreements are quite high,  
8 considering that CALIPSO and GEOPROF data only sample a small area of the AIRS footprint. They  
9 are slightly higher over ocean than over land. Compared to the AIRS-LMD cloud retrieval presented in  
10 (Stubenrauch *et al.*, 2010), the agreement with CALIPSO-CloudSat has improved both over ocean and  
11 land, but slightly decreased over sea ice. The latter can be explained by applying now only one test over  
12 all surface types. In the earlier version we used an additional brightness temperature difference test  
13 related to temperature inversions. A detailed analysis (not shown) indicated that it also introduced noise.

14 To further illustrate cloud amount (CA) uncertainties due to ancillary data, geographical maps of CA  
15 differences between AIRS-CIRS based on ancillary data from AIRS-NASA and from ERA-Interim,  
16 together with  $T_{surf}$  differences, are shown in Figure 3. When using AIRS-NASA ancillary data, CA is  
17 mostly smaller over land during night and larger over land in the afternoon. One might observe a positive  
18 correlation with differences in  $T_{surf}$ .  $T_{surf}$  of the ancillary data deduced from AIRS-NASA is slightly  
19 smaller during night and larger during daytime over large parts of the continents. From the  $T_{surf}$   
20 comparison with ARSA in section 2.4, we deduced that over land AIRS-CIRS CA is slightly  
21 underestimated during night when using AIRS-NASA ancillary data, while slightly underestimated in  
22 the afternoon when using ERA-Interim ancillary data. Patterns of differences in atmospheric water  
23 vapour are less reflected in those of CA (not shown), but slightly more atmospheric water vapour in the  
24 ancillary data (as in the tropics for AIRS-NASA compared to ARSA and ERA-Interim) might lead to a  
25 slight underestimation of CA.

### 26 3.3 Cloud height

27 Figure 4 presents normalized distributions of the difference between the height at which COD reaches a  
28 value of about 0.5,  $z_{COD0.5}$ , determined from CALIPSO, and the retrieved cloud height from AIRS,  $z_{cld}$ ,  
29 as well as normalized distributions of the difference between the cloud top height from CALIPSO,  $z_{top}$ ,  
30 and  $z_{cld}$ . We compare results of the CIRS cloud retrieval, using ancillary data from AIRS-NASA and  
31 ERA-Interim, separately for high-level clouds ( $p_{cld} < 440$  hPa) and lower-level clouds ( $p_{cld} \times 440$  hPa).



1 The AIRS cloud height is compared to the CALIPSO-CloudSat cloud layer, which is the closest to  $z_{cld}$ .  
2 This is justified, because CALIPSO and CloudSat sample only sparsely the AIRS footprint, and AIRS  
3 could observe a mixture of several clouds. In general, all distributions of differences between  $z_{COD0.5}$  and  
4  $z_{cld}$  peak around 0 km and are slightly narrower for low-level clouds than for high-level clouds. Results  
5 are similar for both ancillary data, with a slight cloud height overestimation for lower level clouds in the  
6 tropics over ocean (not shown), when using ERA-Interim, and a height overestimation of some clouds in  
7 polar regions over ocean (not shown), when using AIRS-NASA ancillary data. The latter might be  
8 explained by the fact that in some of these regions surface temperature and atmospheric profiles of good  
9 quality are only available in 10% of the situations. When comparing distributions of  $z_{top} - z_{cld}$ , the peaks  
10 for lower clouds are still around 0 km, whereas for high-level clouds  $z_{cld}$  lies on average 1.5 km below  
11 the cloud top, meaning that  $T_{cld}$  is about 10 K warmer than the cloud top (Figure S1). The CIRS retrieved  
12 cloud height coincides with the height of maximum lidar backscatter (Stubenrauch *et al.*, 2010), with  
13 mid-height between cloud top and apparent cloud base (real cloud base for optically thin clouds or  
14 cloud height at which the cloud reaches opacity), as shown in Figure S1, or with  $z_{COD0.5}$ , as shown in  
15 Figure 4.

16 To investigate more in detail how the CIRS retrieved cloud height relates to the height of COD of about  
17 0.5 and to cloud top ( $z_{top}$ ), we analyze in Figure 5 their average difference as a function of AIRS cloud  
18 emissivity, separately for high-level and lower level clouds. For this analysis we have selected cases for  
19 which AIRS cloud height lies within the cloud borders from CALIPSO-CloudSat GEOPROF, leaving  
20 about 82% / 73% / 57% and about 55% / 59% / 58% of the statistics of high-level and lower level clouds  
21 over the tropics / midlatitudes / polar regions, respectively. In general, for low-level clouds, the AIRS  
22 cloud height lies about 250 m  $\pm$  500 m below the height at which the cloud reaches an optical depth of  
23 about 0.5, independently of  $\varepsilon_{cld}$ , while  $z_{cld}$  lies about 1 km below the cloud top. For high-level clouds the  
24  $z_{cld}$  varies from 1 km above for  $\varepsilon_{cld} = 0.1$  to 1 km below the height corresponding to COD of 0.5 for  $\varepsilon_{cld}$   
25  $= 1$ , assuming that COD is accurately determined for all  $\varepsilon_{cld}$ . This means that for thin cirrus  $z_{cld}$  from  
26 AIRS corresponds to a height of COD  $< 0.5$ , while for opaque high clouds to a height of COD  $> 0.5$ . On  
27 the other hand,  $z_{cld}$  lies about 1.5 km to 2.5 km below the cloud top, the difference to cloud top increasing  
28 with  $\varepsilon_{cld}$ . Since the apparent vertical extent also increases with  $\varepsilon_{cld}$ , the difference between  $z_{top}$  and  $z_{cld}$   
29 scaled by apparent vertical extent does not depend on  $\varepsilon_{cld}$ , and it is about 0.5 for high-level and for low-  
30 level clouds. Considering the normalized frequency distributions of  $z_{top} \pm z_{COD0.5}$  and  $z_{top} - z_{cld}$ , as well as  
31 these differences scaled by apparent cloud vertical extent, presented in Figure 6, we deduce that it needs  
32 less geometrical thickness for opaque clouds than for semi-transparent clouds to reach COD of 0.5,





1 while the  $\chi^2$  method determines a height within the cloud, which corresponds well to the middle between  
2 cloud top and apparent cloud base, in dependent of  $\varepsilon_{cld}$ . This is important to take into account for the  
3 determination of radiative fluxes and heating rates of upper tropospheric clouds, when using the cloud  
4 height retrieved from IR sounder measurements. The broader distributions for high-level clouds  
5 compared to low-level clouds in Figures 4 and 6 may be explained by the fact that high-level clouds  
6 often have diffuse cloud tops (e. g. Liao *et al.*, 1995), especially in the tropics ( $z_{top} - z_{cld}$  is slightly larger  
7 for the same  $\varepsilon_{cld}$ ).

8 In order to see how well the distribution of clouds is represented within the atmosphere, we compare in  
9 Figure 7 the normalized distributions of  $z_{cld}$  from AIRS, using both sets of ancillary data, and of  $z_{COD0.5}$   
10 from CALIPSO, whenever clouds are detected (excluding subvisible cirrus, see section 3.1), separately  
11 over land and over ocean in the three latitude bands. AIRS  $z_{cld}$  distributions are very similar, with slightly  
12 more low-level clouds over land using ERA-Interim and slightly more higher clouds over polar ocean  
13 (which are mostly misidentifications as pointed out earlier). The  $z_{COD0.5}$  distributions from CALIPSO  
14 have a slightly larger part of high-level clouds in the tropics and AIRS  $z_{cld}$  distributions show a slightly  
15 larger part of low-level clouds in the tropics. The latter disappear if one considers only cases with all  
16 three CALIPSO samples cloudy within an AIRS golf ball, so these low-level clouds are part of partly  
17 cloudy fields for which it is difficult to compare results from samples of very different spatial resolution.  
18 Thus the distributions look more similar when only mostly covered cloud fields are considered (three  
19 CALIPSO samples cloudy within an AIRS golf ball). In the tropics, the peak of the AIRS  $z_{cld}$   
20 distributions for high-level clouds is still slightly broader towards lower heights than for CALIPSO (not  
21 shown). Additional filtering out of multi-layer clouds ultimately leads to very similar distributions, as  
22 also presented in Figure 7. A plausible interpretation is that in cases of multiple cloud layers the 15 km  
23 footprints of AIRS often mix radiation from different cloud layers, when the upper cloud layer does not  
24 fully cover the footprint, and thus determines a cloud height which might be slightly lower than the one  
25 of the uppermost cloud layer. The distributions in the midlatitudes still peak at slightly lower heights, due  
26 to the fact that high-level clouds in these latitudes are on average optically thicker (storm tracks) than in  
27 the tropics, and as we have seen in Figure 5, in these cases  $z_{cld}$  lies below  $z_{COD0.5}$ .

28 To summarize, the evaluation of cloud height has shown that IR sounders capture quite well the vertical  
29 distribution of uppermost clouds in the atmosphere. The retrieval provides a cloud height of about 1 km  
30 below cloud top in the case of low-level clouds and of about 1.5 km to 2.5 km below cloud top height in  
31 the case of high-level clouds. In the latter case, the retrieved cloud height corresponds to a height of COD  
32  $< 0.5$  for optically thin clouds and to a height of COD  $> 0.5$  for optically thick clouds. On the other hand,



multiple scattering within optically thicker clouds is in general larger so that the correction we have applied above, which was meant for clouds with a total COD of 0.5, was probably not enough. As already shown by Stubenrauch *et al.* (2010), the CIRS retrieved cloud height coincides with the middle between cloud top and apparent cloud base, and this for all cloud heights. Even though the spatial resolution of 15 km may mix clear sky and cumulus clouds, or thin cirrus with optical thicker high clouds, the cloud height is in general well determined within 1.5 km.

#### 4 Average Cloud properties and variability

In this section we give a short overview of cloud properties obtained from the AIRS-CIRS and IASI-CIRS cloud climatologies. Monthly L3 data, gridded at a spatial resolution of 1° latitude x 1° longitude, have been produced in the same manner as for the GEWEX Cloud Assessment data base (Stubenrauch *et al.*, 2013): in a first step, averages were determined per observation time over 1° latitude x 1° longitude, and in a second step these cloud properties were averaged per month. In addition to monthly averages, the data base also includes time variability and histograms. We have also added uncertainties on  $p_{cld}$  and  $\varepsilon_{cld}$  deduced from the  $\chi^2$  method.

Figure 8 compares normalized frequency distributions of  $p_{cld}$  (CP) over 30° wide latitude bands during boreal winter and boreal summer, separately over land and over ocean. The AIRS and IASI CP distributions are very similar. The contribution of high-level clouds is slightly larger over land than over ocean, especially in the tropics, and the contribution of low-level clouds is larger over ocean. Considering seasonality, the strongest signature is the shift of the Intertropical Convergence Zone (ITCZ) towards the summer hemisphere, especially over land.

Figure 9 presents global averages of total cloud amount (CA) and relative contributions of high-level, mid-level and low-level clouds, determined by dividing these cloud amounts (CAH, CAM, CAL) by CA. The sum of the relative contributions, CAHR, CAMR and CALR is equal to 1. Pressure limits for high-level/mid-level and mid-level/low-level cloud classification are 440 hPa and 680 hPa, corresponding to altitudes of about 6 km and 3 km, respectively. Relative cloud amount values give an indication of how the detected clouds are vertically distributed in the atmosphere, when observed from above. Compared to the absolute values, they are less influenced by differences in cloud detection sensitivity and should be more useful for comparison with climate models (Stubenrauch *et al.*, 2013). Global averages of AIRS-CIRS and IASI-CIRS are compared with those from selected cloud climatologies of the GEWEX Cloud Assessment data base: the International Satellite Cloud Climatology Project (ISCCP; Rossow and Schiffer, 1999), two cloud climatologies derived from observations of the Moderate Resolution Imaging Spectroradiometer (MODIS) aboard the Aqua satellite



1 by the MODIS Science Team (MODIS-ST; Frey *et al.*, 2008) and by the MODIS CERES Science  
2 Team (MODIS-CE; Minnis *et al.*, 2011) and two cloud climatologies derived from CALIPSO  
3 observations by the CALIPSO Science Team (CALIPSO-ST; Winker *et al.*, 2009) and the GCM-  
4 Oriented CALIPSO Cloud Products (CALIPSO-GOCCP; Chepfer *et al.*, 2010). The latter two use  
5 vertical averaging (CALIPSO-GOCCP) and horizontal averaging (CALIPSO-ST) to reduce the noise of  
6 the relatively small samples. The latter is more sensitive to thin layers of subvisible cirrus. ISCCP is  
7 essentially using two atmospheric window channels (IR and VIS, the latter only during daytime). For the  
8 GEWEX Cloud Assessment data base the eight-times-daily ISCCP results have been averaged to four  
9 specific local observation times: 3:00 AM, 9:00 AM, 3:00 PM and 9:00 PM, and a day-night adjustment  
10 on CA, which is included in the original data, has not been included to better illustrate the differences  
11 between VIS-IR and IR-only results. We separately examine observations mostly during day,  
12 corresponding to 1:30PM (3:00PM for ISCCP, 9:30AM for IASI), and mostly during night,  
13 corresponding to 1:30AM (3:00AM for ISCCP and 9:30PM for IASI). Total cloud amount from the  
14 GEWEX Cloud Assessment data base is about  $0.68 \pm 0.03$  (Stubenrauch *et al.*, 2013), while CALIPSO-  
15 ST provides a cloud amount of 0.73, because it includes subvisible cirrus.

16 While all data agree quite well on the total cloud amount, with ISCCP and MODIS-CE providing  
17 smaller CA during night (both including VIS information for cloud detection during daytime), CAHR  
18 exhibits a large spread, essentially due to different sensitivity to thin cirrus : active lidar is the most  
19 sensitive, followed by IR sounders, as confirmed in Figure 9. The CIRS results are very similar to the  
20 results from the AIRS-LMD cloud climatology (Stubenrauch *et al.*, 2010). AIRS-CIRS results based on  
21 different ancillary data are also very similar as well as IASI-CIRS and AIRS-CIRS results, day and  
22 night. They present global averages of CA around  $0.67 \pm 0.70$ , formed by 40% high-level clouds, 20%  
23 midlevel clouds and 40% low-level clouds as seen from above. This is in excellent agreement with the  
24 results from CALIPSO. A slightly higher value in CAMR (20% instead of 14%) can be explained by the  
25 fact that the distinction between high-level and mid-level clouds of CALIPSO is according to cloud top  
26 height, whereas AIRS and IASI provide a cloud height which is about 1.5 km lower (see section 3.3).  
27 When combining VIS and IR information, thin cirrus above low-level clouds tend to be misidentified as  
28 mid-level clouds (ISCCP) or as low-level clouds (MODIS), leading to a not negligible underestimation  
29 of CAHR (30% instead of 40%). During nighttime, for which only one IR channel is available, ISCCP  
30 underestimates the height of all semi-transparent high-level clouds, so that CAHR drops to 15%. When  
31 IR spectral information is available, as for MODIS, results are similar to those during daytime.



1 Differences between ocean and land, also presented in Figure 9, correspond to about 15% for total CA,  
2 with about 20% more low-level clouds over ocean and about 10% more high-level and mid-level clouds  
3 over land. The CIRS retrievals provide similar values during day and night. It is interesting to note that  
4 during daytime the difference in CA shows a larger spread between the datasets, while during nighttime  
5 the spread is larger for CALR. During nighttime, low-level clouds are more difficult to detect, especially  
6 over land.

7 Table 2 summarizes averages of these cloud amounts over the whole globe, over ocean and over land,  
8 also contrasting NH and Southern hemisphere (SH) midlatitudes (30°-60°) and tropics (15°N-15°S). The  
9 largest fraction of high-level clouds is situated in the tropics and the largest fraction of single layer low-  
10 level clouds in the SH midlatitudes. Only about 10% of all clouds in the tropics are single layer midlevel  
11 clouds, compared to about 22% in the midlatitudes. As already discussed in sections 2.4 and 3.2,  
12 uncertainty in CA as well as in CALR due to ancillary data is largest over land (about 5% and 10%,  
13 respectively), linked to underestimation of low-level clouds during night with AIRS-NASA, and in the  
14 afternoon with ERA-Interim. Uncertainties due to ancillary data are much smaller for high-level clouds.  
15 When separating them into three distinct classes of opaque, thick cirrus and thin cirrus according to  $\varepsilon_{cl}$   
16 (see section 2.4), uncertainties are less than 5% at low latitudes, increasing to 10% at midlatitudes for  
17 opaque clouds, while those for cirrus do not exceed 5%. This can be explained by the fact that in the case  
18 of opaque clouds the ancillary data often have to be interpolated in time, and atmospheric profiles and  
19  $T_{surf}$  have a larger variability in the midlatitudes than in the tropics. While high-level opaque clouds only  
20 make out about 5.2% of all clouds, relative cloud amounts of thick cirrus and thin cirrus are about 21.5%  
21 and 13%, respectively, with maximum appearance in the tropics, of 7.5%, 27.5% and 21.5%,  
22 respectively. Their relative amounts are summarized in Table 3. The independent use of  $p_{cld}$  and  $\varepsilon_{cld}$   
23 made it possible to construct a climatology of upper tropospheric cloud systems by i) applying a spatial  
24 composite technique on adjacent  $p_{cld}$  and ii) using  $\varepsilon_{cld}$  to distinguish convective core, cirrus anvil and thin  
25 cirrus of these systems. These data have revealed for the first time that the  $\varepsilon_{cld}$  structure within tropical  
26 anvils is related to the convective depth (Protopapadaki *et al.*, 2017), which might have important  
27 consequences on radiative feedbacks.

28 Figure 10 presents zonal averages of CA, CAH and CAL as well as effective cloud amount for total  
29 (CAE) high-level (CAEH) and low-level (CAEL) clouds. The annual zonal averages are presented from  
30 the three CIRS and the prior LMD cloud climatology. In addition, boreal winter and boreal summer  
31 zonal averages are shown for AIRS-CIRS alone, but separately for each of the thirteen years to illustrate  
32 the inter-annual spread. Effective cloud amount corresponds to the cloud amount weighted by cloud



1 emissivity and includes the IR radiative effect of the detected clouds. In general, CAE is about 0.2  
2 smaller than CA. Maximum CAH and CAEH appear in the ITCZ, while maximum CAL and CAEL is  
3 found in the SH midlatitudes. Interannual variability is largest in CA and CAL (CAE and CAEL) in the  
4 NH polar region. One also observes that the midlatitude interannual variability of CAH is larger in  
5 winter than in summer, most probably linked to storm track variability. When comparing the different  
6 CIRS retrievals, all agree in general very well, with AIRS-CIRS and IASI-CIRS with ERA-Interim  
7 being very close, while AIRS-CIRS with AIRS-NASA presents slightly more high-level clouds and less  
8 low-level clouds around 60S and slightly less CA and CAL in the NH polar region.

9 Figures 11 and 12 present geographical maps of annual CAH and CAL, respectively, as well as seasonal  
10 differences. Compared are AIRS-CIRS, ISCCP and CALIPSO-GOCCP, the latter two from the  
11 GEWEX Cloud Assessment data base. In all datasets the most prominent feature in CAH is the ITCZ  
12 and its shift towards the summer hemisphere. However, due to the better sensitivity to cirrus, the absolute  
13 values and seasonal variations are more pronounced for AIRS-CIRS (IASI-CIRS, not shown) and  
14 CALIPSO-GOCCP than for ISCCP. Due to the narrow nadir track of CALIPSO and the reduced  
15 statistics of CALIPSO-GOCCP in the present GEWEX Cloud Assessment data base, these data look  
16 noisier than AIRS-CIRS and ISCCP. In addition, jet streams and midlatitude storm tracks in winter, as  
17 well as continental cirrus in summer can be distinguished. Considering CAL, AIRS-CIRS well captures  
18 the stratocumulus regions off the West coasts of the continents and stratus decks in the subtropical  
19 subsidence regions in winter, even if this type of cloud is easier to detect by using instruments including  
20 VIS channels (during daytime, ISCCP) or active instruments (CALIPSO-GOCCP).

21 Time series of deseasonalized anomalies in global monthly mean CA, CAEH and CAEL of the three  
22 CIRS data sets are shown in Figure 13 over the time period of 2004 to 2016 for AIRS and 2008 to 2016  
23 for IASI. To illustrate the effect of the calibration for changes in atmospheric CO<sub>2</sub> concentration (section  
24 2.4.2), a time series of AIRS-CIRS deseasonalized CA anomalies, without having applied this  
25 correction, is added. Whereas the uncorrected CA anomalies increase by about 0.040 within a decade,  
26 the magnitude of the calibrated CA and CAEL variations lie within 0.010 and of CAEH within 0.005,  
27 being mostly stable within the uncertainty range. Indeed, global surface temperature did not increase  
28 much over this period (not shown).

29 The seasonal cycle of different cloud properties is presented in Figure 14 for six 30° wide latitude bands  
30 ranging from SH polar to NH polar, comparing results from CIRS data and those from the GEWEX  
31 Cloud Assessment data base. As already acknowledged during the GEWEX Cloud Assessment  
32 (Stubenrauch *et al.*, 2013), the seasonal cycles agree quite well between the different data sets, with



1 exception of the polar regions where passive remote sensing does not perform well and the CALIPSO  
2 data are not conform with the other data sets in the GEWEX Cloud Assessment data base, because they  
3 exclude measurements from 1:30PM during polar night (polar winter) and from 1:30AM during polar  
4 day (polar summer). The most prominent features of the latitudinal seasonal cycles are i) the shift of the  
5 ITCZ towards the summer hemisphere, seen as an amplitudinal signal of 0.1 in CA, 0.3 in CAH and 16  
6 K in CT in the SH and NH tropical bands (mostly over land, not shown) and ii) less clouds in late  
7 summer in the midlatitudes (mostly over ocean and stronger in NH, not shown). The seasonal cycle of  
8 cloud temperature is largest in the polar regions (coherent for all data sets), followed by SH sub-tropical  
9 band, NH midlatitudes, NH sub-tropical band and SH midlatitudes, with amplitudes ranging from 20 K  
10 to 10 K. However, while the CT amplitude is linked to change in cloud height in the low latitudes, it is  
11 more related to change in atmospheric temperature (and corresponding cloud temperature) at higher  
12 latitudes.

## 13 **5 Applications**

14 After the comparisons to other datasets in sections 3 and 4, which have proven the reliability of the CIRS  
15 upper tropospheric clouds, we present in the following two analyses on upper tropospheric cloud  
16 variability with respect to changes in atmospheric conditions to illustrate the usefulness of the CIRS  
17 cloud data for climate studies.

### 18 **5.1 Studying hemispheric differences in clouds**

19 While the NH and the SH reflect the same amount of sunlight within  $0.2 \text{ Wm}^{-2}$  (Stephens *et al.*, 2015),  
20 there is a small energy imbalance between both hemispheres of our planet, with slightly more energy  
21 absorbed by the SH ( $0.9 \text{ Wm}^{-2}$ ), yielding more frequent precipitation in the SH and more intense  
22 precipitation in the NH (Stephens *et al.*, 2016). The symmetry in planetary albedo is achieved by  
23 increased reflection of SH midlatitude clouds offsetting the greater reflection of the NH land masses  
24 (Stephens *et al.*, 2015).

25 The more intense precipitation in the NH is probably linked to the fact that on annual average the ITCZ  
26 peak latitude is about  $5^{\circ}\text{N}$ , shown in Figure 15. On average, total CA is about 10% (0.06) smaller in the  
27 NH than in the SH (excluding the polar regions), without a pronounced seasonal cycle (not shown). This  
28 is linked to more clouds over ocean than over land, producing the increased reflection in the SH  
29 midlatitudes as discussed in (Stephens *et al.*, 2015). From Figure 15 we deduce that the annual  
30 difference in CAH between NH and SH is 0.05, with a pronounced seasonal cycle of about 0.3 in  
31 amplitude. Results from the three CIRS cloud climatologies, AIRS-LMD, CALIPSO-GOCCP, ISCCP  
32 and MODIS-CE are similar. This seasonal cycle corresponds to the one of the ITCZ peak latitude, which



1 moves up to 12°N in July. It is also interesting to note that the width of the ITCZ is smaller in July /  
2 August (10.5° to 12.5°) than in January (17°) and CAH is about 10% larger in August than in January,  
3 which would suggest even more intense precipitation in the NH in boreal summer. For this analysis, the  
4 properties of the ITCZ have been determined by fitting the tropical peak of the latitudinal CAH  
5 distributions per month and year (as in Figure 10). While all datasets agree on the ITCZ peak latitude and  
6 mostly on the ITCZ width (with the Gaussian fit on the ITCZ maximum producing falsely a smaller  
7 width for CALIPSO-GOCCP, because due to ubiquitous thin cirrus, the minima in the subtropics are not  
8 as well pronounced as in the other data sets), MODIS-CE and ISCCP produce smaller absolute values of  
9 maximum CAH because of smaller sensitivity to thin cirrus. The seasonal cycle of maximum CAH is  
10 reduced for CALIPSO-GOCCP and AIRS-LMD due to the inclusion of thinner cirrus (for AIRS-LMD  
11 clouds down to  $\tau_{\text{cdl}} > 0.05$ , compared to a threshold for CIRS clouds of 0.10). Figure 15 confirms and  
12 extends the interpretation of the results of (Stephens *et al.*, 2016), by linking the difference in  
13 hemispheric CAH to the shifting of the ITCZ and its stronger intensity in the NH during boreal summer  
14 (smaller width and larger maximum).

## 15 5.2 Studying El Niño-Southern Oscillation (ENSO) effects

16 ENSO is the most dominant mode of interannual variability in the Earth's climate system (e.g. Bjerknes,  
17 1969). The trade winds, blowing from east to west, warm the water as they push it, which leaves warm  
18 water in the West Pacific Maritime Continent (WPMC) and cool water in the tropical East Pacific.  
19 While warm air is rising, building up convection and upper tropospheric clouds, air dries over the cooler  
20 water in the east, thus this SST gradient is responsible for the Walker circulation. ENSO events, El Niño  
21 (warm phase) and La Niña (cold phase), are characterized by large-scale SST anomalies in the tropical  
22 Pacific, compared to the normal situation described above. El Niño events are initiated by a positive SST  
23 anomaly in the equatorial eastern and central Pacific which reduces the east-west SST gradient and  
24 hence the strength of the Walker circulation (Gill, 1980), resulting in weaker trade winds. The weaker  
25 trade winds in turn drive the ocean circulation changes that further reinforce the SST anomaly. The  
26 positive ocean-atmosphere feedback leads to the warm phase of ENSO, which is characterized by strong  
27 rising motion in the central Pacific and a descending branch over the initially strong convective area over  
28 the WPMC. After an El Niño reaches its mature phase, negative feedbacks are required to terminate  
29 growth. According to Lloyd *et al.* (2012), the major source of this negative feedback stems from the  
30 reduction in solar energy at the ocean surface by increased cloud cover over the warm water. Depending  
31 on the location of maximum SST anomalies and associated atmospheric heating, El Niño events may be  
32 distinguished as eastern and central Pacific warming events. A review is given by Wang *et al.* (2016).





1 The cold phase of ENSO (El Niño) starts with a cold SST anomaly in the tropical Pacific, increasing the  
2 SST gradient and amplifying the Walker circulation, leading to stronger convection and more upper  
3 tropospheric clouds over the WPMC.

4 To illustrate maximum climate variability patterns in the tropics, we contrast the strongest El Niño and  
5 La Niña events during the AIRS observation period, with multivariate ENSO index of 2.1 in Dec. 2015  
6 and -1.6 in Dec. 2010, respectively. Figure 16 presents geographical difference patterns between these  
7 two ENSO modes in surface temperature and resulting atmospheric parameters, using AIRS-CIRS  
8 cloud data, collocated ERA-Interim data and outgoing longwave radiation (OLR) from NASA-AIRS  
9 (Susskind *et al.*, 2012). As described in the literature, and summarized in the paragraph above, Figure 16  
10 confirms that during an El Niño event East and central Pacific strongly warm, while temperatures are  
11 slightly cooler over the WPMC. The latter is warmer during La Niña. Higher SSTs lead to more water  
12 vapour in the atmosphere, while the WPMC with its lower SSTs is drier. The vertical updraft (negative  
13 difference in vertical wind) intensifies in a narrow band just north of the equator over the Pacific west of  
14 the WPMC and a short branch to the South-East, in a typical pattern. The pattern differences in fraction  
15 of opaque high clouds represents the ones of convection, very similar to the updraft pattern, while high-  
16 level clouds increase over a wider part as outflowing anvils, in coherence with increasing water vapour,  
17 while they decrease over the drier WPMC. Thin cirrus increase as parts of anvils in the two branches, but  
18 also in the drier WPMC and North-west of the convective band. The OLR pattern is very similar to the  
19 one of CAH, increasing over WPMC and decreasing where CAH increases over the Pacific. The pattern  
20 of changes in high-level cloud temperature (CTH) shows some differences from the patterns of the other  
21 variables. In general, CTH warms where there are also less high-level clouds and it is lower where the  
22 updraft increases.

23 So far, the observational period of AIRS and IASI is too short to directly obtain long-term cloud  
24 feedbacks. An alternative approach is to assess cloud feedback in response to interannual climate  
25 variability like ENSO. Dessler (2010) demonstrated that as the surface warms, cloud changes lead to  
26 trapping additional energy, i.e. the longwave cloud feedback is positive. Zelinka and Hartmann (2011)  
27 investigated the response of tropical mean cloud parameters to the ENSO cycle and their effect on top of  
28 atmosphere radiative fluxes. They found during El Niño periods a decrease of high-level cloud amount  
29 as well as an increase in their height which would have opposite effects on the OLR, with a dominating  
30 effect coming from the first. Susskind *et al.* (2012) have shown that global mean and tropical mean OLR  
31 anomaly time series are strongly correlated with ENSO variability, with OLR change resulting primarily  
32 from changes in mid-tropospheric water vapour and cloud amount over the WPMC and the East Pacific.



1 Observed variability in cloud, atmospheric and surface patterns due to ENSO variability can be used to  
2 constrain climate modelling and to understand the processes behind these changes (e. g. Stephens *et al.*,  
3 2017). Though the ENSO related SST anomalies might not correspond to patterns of anthropogenic  
4 climate warming, Zhou *et al.* (2015) have shown that interannual cloud feedback may be used to directly  
5 constrain the long-term cloud feedback. Changes in the geographical pattern and amount of high-level  
6 tropical clouds leads to variations in cloud radiative heating and cooling which then may influence the  
7 large-scale circulation (e.g. Slingo and Slingo 1991, Tian and Ramanathan, 2003).

8 Since the radiative effects of high opaque clouds and thin cirrus are quite different, we investigate the  
9 geographical patterns of cloud amount changes with respect to tropical mean surface temperature  
10 changes, separately for high opaque, thick cirrus and thin cirrus ( $p_{cld} < 330$  hPa,  $\varepsilon_{cld} > 0.95$ ,  $\varepsilon_{cld}$  between  
11 0.5 and 0.95 and  $\varepsilon_{cld} < 0.5$ , respectively). By making use of the whole period between 2003 and 2015  
12 (covering 156 months), we determine a change in upper tropospheric cloud amount as a function of  
13 change in tropical mean surface temperature by a linear regression of their monthly time anomalies, at a  
14 spatial resolution of  $1^\circ$  latitude  $\times$   $1^\circ$  longitude. Similar techniques were already used in other studies  
15 related to ENSO and cloud feedback (e.g. Lloyd *et al.*, 2012; Zhou *et al.*, 2014, Yue *et al.*, 2017). Figure  
16 17 presents the change in amount of high opaque cloud (mostly of convective origin), in thick cirrus  
17 (often formed from convective outflow as anvils) and in thin cirrus (which might be formed as anvil or  
18 via in situ freezing) per  $^\circ\text{C}$  of warming in the tropics ( $20^\circ\text{N}$  to  $20^\circ\text{S}$ ), obtained as the linear slopes of these  
19 monthly time anomaly relationships. The cloud amounts are from AIRS-CIRS, while the surface  
20 temperatures are from the ERA-Interim ancillary data. Results are very similar when using surface  
21 temperatures from AIRS-NASA (Figure S2). Figure 17 also presents geographical patterns of relative  
22 slope uncertainty. In general, large changes in cloud amount per  $^\circ\text{C}$  of warming have smaller uncertainty  
23 than small ones, indicating robust patterns. Even though the change in tropical mean temperature is  
24 mostly linked to ENSO variability over the studied period and it is still uncertain how to relate these to  
25 long-term patterns due to anthropogenic climate warming, it is very interesting to note that high opaque  
26 clouds and thin cirrus show very different change patterns. While the high opaque clouds, linked to  
27 strong precipitation (Protopapadaki *et al.*, 2017), increase in a narrow band in the tropics, there is a large  
28 increase in thin cirrus around these regions, the latter hypothesized to affect directly the atmospheric  
29 circulation through their radiative heating (e.g. Sohn, 1999; Lebsock *et al.*, 2010). To get a better  
30 understanding on these feedback processes one has to consider the heating rates of these upper  
31 tropospheric cloud systems and link them to the dynamics.



## 6 Conclusions

Two global climatologies of cloud properties have been presented, obtained from AIRS and IASI observations by the CIRS cloud retrieval. This retrieval software package, developed at LMD, can be easily adapted to any IR sounder. The retrieval method itself, based on a weighted  $\chi^2$  method on radiances around the 15  $\mu\text{m}$   $\text{CO}_2$  absorption band, and the  $\alpha$  posteriori cloud detection, based on the spectral coherence of retrieved cloud emissivities, have already been evaluated in previous publications. IR sounders are especially advantageous for the retrieval of upper tropospheric cloud properties. Their good spectral resolution allows a reliable cirrus identification down to an IR optical depth of 0.1, day and night. The CIRS retrieval applies improved radiative transfer and an original calibration method to adjust simulated atmospheric spectral transmissivity profiles according to latitudinal, seasonal and interannual atmospheric  $\text{CO}_2$  variations. This  $\text{CO}_2$  calibration method has removed an artificial CA trend of about 4% over the observation period 2004 to 2016, which was directly related to not having taken into account the anthropogenic  $\text{CO}_2$  increase in the spectral transmissivities simulated for a specific atmospheric  $\text{CO}_2$  concentration. The magnitude of calibrated cloud amount and effective low-level cloud amount deseasonalized variations lie within 1% and of effective high-level cloud amount within 0.5% over this period.

Common ancillary data (surface temperature, atmospheric profiles) come from the meteorological reanalyses ERA-Interim, which have been interpolated to the observation times of AIRS and IASI. Additional application of retrieved AIRS-NASA ancillary data allowed to iteratively make adjustments to both sets of ancillary data for optimal results in cloud properties and also to estimate uncertainties in cloud amounts. Since the cloud detection depends on the coherence of spectral cloud emissivity, the surface temperature influences only slightly the cloud amount (in particular the one of low-level clouds). AIRS total cloud amount is 67% / 70%, high-level cloud amount 27% / 27% and low-level cloud amount 27% / 29%, using AIRS-NASA / ERA-Interim, giving an estimate of 5% / 10% uncertainty on global averages for CA / CAL, respectively. Uncertainties are larger over land and ice / snow than over ocean, in particular because  $T_{\text{surf}}$  of ERA-Interim is underestimated in the afternoon and  $T_{\text{surf}}$  of AIRS-NASA is underestimated during night due to cloud contamination. In the future, the CIRS cloud retrieval might use ancillary data from ECMWF meteorological analyses or from the new reanalysis ERA5, both also having a better temporal resolution.

Cloud / clear sky detection agrees with the one of CALIPSO in 85% / 84% over ocean, 79% / 82% over land and 73% / 70% over ice and snow, for AIRS-NASA / ERA-Interim ancillary data, respectively. Typical uncertainties in cloud pressure range from 30 hPa for high-level clouds to 120 hPa for low-level



1 clouds, coinciding with about 1.2 km in altitude. A comparison with CALIPSO-CloudSat has shown,  
2 that the CIRS retrieved cloud height lies only about 1 km below cloud top in the case of low-level clouds  
3 and about 1.5 km to 2.5 km below cloud top in the case of high-level clouds. The latter leads to retrieved  
4 cloud temperatures which are about 10 K warmer than the cloud top. This has to be considered when  
5 determining radiative effects or when evaluating climate models. The CIRS retrieved cloud height  
6 coincides with the middle between cloud top and apparent cloud base (real cloud base for optically thin  
7 clouds or cloud height at which the cloud reaches opacity), independently of  $\varepsilon_{\text{cld}}$ . When comparing to the  
8 height at which the cloud reaches a VIS optical depth of about 0.5, the CIRS retrieved cloud height, in  
9 the case of high-level clouds, lies about 1 km above for optically thin clouds and about 1 km below for  
10 optically thick clouds. While for low-level clouds the apparent cloud vertical extent is about 1 km, for  
11 high-level clouds it slightly increases with  $\varepsilon_{\text{cld}}$ , from 3 km to 4 km, with slightly higher values in the  
12 tropics than in the midlatitudes, linked to diffusive cloud tops.

13 Total cloud amount consists of about 40% high-level clouds and about 40% low-level clouds and 20%  
14 mid-level clouds, the latter two only detected when not hidden by upper clouds. Upper tropospheric  
15 clouds are most abundant in the tropics, where high opaque clouds make out 7.5%, thick cirrus 27.5%  
16 and thin cirrus 21.5% of all clouds. IASI values are very similar. The most prominent features of  
17 latitudinal seasonal cycles are the shift of the ITCZ towards the summer hemisphere, with an amplitude  
18 of 0.1 in CA, 0.3 in CAH and 16 K in CT in the SH and NH tropical bands, even stronger over land.

19 The asymmetry in CAH between Northern and Southern hemisphere with annual mean of 5% has a  
20 pronounced seasonal cycle with a maximum of 25% in boreal summer, which can be linked to the shift  
21 of the ITCZ peak latitude. The latter has an annual mean of 5°N, moving to 12°N with a slightly more  
22 intense ITCZ (smaller width and larger maximum) in boreal summer.

23 To illustrate further the usefulness of the CIRS cloud data for climate studies, we have finally presented  
24 ENSO effects and tropical geographical change patterns in high opaque clouds and thin cirrus with  
25 respect to tropical mean surface temperature changes. Even though the change in tropical mean  
26 temperature is mostly linked to ENSO variability over the studied period and it is still uncertain how to  
27 relate these to long-term patterns due to anthropogenic climate warming, the large difference in  
28 geographical change patterns of high opaque clouds and thin cirrus indicates that their response to  
29 climate change may be different, which then has consequences on the atmospheric circulation.  
30 To get a better understanding on these feedback processes one has to consider the heating rates of these  
31 upper tropospheric cloud systems and link them to the dynamics. Therefore the AIRS-CIRS and IASI-  
32 CIRS cloud data have been further used to build upper tropospheric cloud systems (based on  $p_{\text{cld}}$ ) and



1 then to distinguish convective cores, cirrus anvil and thin cirrus according to *et al.* (Protopapadaki *et al.*,  
2 2017). These data are being further exploited, together with other data and modelling at different scales,  
3 within the framework of the GEWEX PROcess Evaluation Study on Upper Tropospheric Clouds and  
4 Convection (UTCC PROES, Stubenrauch and Stephens, 2017) to advance our understanding on upper  
5 tropospheric cloud feedbacks.

6 The AIRS-CIRS and IASI-CIRS cloud climatologies will be made available at the French data centre  
7 AERIS, which also will continue their production.

8

## 9 **7 Data availability**

10 AIRS L1 data are available at <https://mirador.gsfc.nasa.gov/>. The NASA Science Team L2 standard  
11 products (Version 6; Olsen *et al.*, 2016) are available at <https://mirador.gsfc.nasa.gov/>. IASI L1 data are  
12 available at the French Data centre IASI L2 data provided by NOAA, are available at the  
13 Comprehensive Large Array-data Stewardship System (CLASS) center (<https://www.class.ncdc.noaa.gov>). The ARSA database is available at : [http://climserv.ipsl.polytechnique.fr/fr/les-](http://climserv.ipsl.polytechnique.fr/fr/les-donnees/arsa-analyzed-radiosoundingsarchive.html)  
14 [donnees/arsa-analyzed-radiosoundingsarchive.html](http://climserv.ipsl.polytechnique.fr/fr/les-donnees/arsa-analyzed-radiosoundingsarchive.html). The operational version of the 4A radiative transfer  
15 model (Scott and Chédin, 1981) is available at <http://www.4aop.noveltis.com>. The cloud climatologies  
16 of the GEWEX Cloud Assessment data base are available at: <http://ipsl.polytechnique.fr/gewexca>. THE  
17 AIRS-CIRS and IASI-CIRS cloud climatologies will be made available by the French Data Centre  
18 AERIS.

## 20 **Acknowledgements**

21 This work has been financially supported by CNRS, by the ESA Cloud\_cci project and by CNES. The  
22 authors thank the members of the IASI, AIRS, CALIPSO and CloudSat science teams for their efforts  
23 and cooperation in providing the data as well as the engineers and space agencies who control the quality  
24 of the data. We thank the AERIS data infrastructure for providing access to the data used in this study and  
25 for the continuation of the data production.

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- 13  
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16



1 Table 1. Agreement in cloudy and clear sky scenes between CALIPSO and the AIRS-CIRS -a  
2 posteriori cloud detection. Statistics include three years (2007-2009) collocated observations at 1:30 LT.

surface \ latitude	tropics		mid- latitudes		polar	
ancillary data	AIRS	ERA	AIRS	ERA	AIRS	ERA
ocean	86.5%	84.2%	90.2%	91.5%	93.0%	95.0%
land	86.4%	83.2%	80.7%	77.6%	77.3%	79.7%
sea ice			71.5%	82.0%	71.2%	81.2%
snow	73.5%	71.9%	74.9%	68.5%	65.5%	66.7%

3  
4 Table 2. Averages of a) CA, b) CAHR, c) CAMR and d) CALR (in %) from AIRS-LMD (2003-2009),  
5 AIRS-CIRS (2003-2015, using AIRS-NASA / ERA-Interim ancillary data) and IASI-CIRS (2008-2015,  
6 using ERA-Interim ancillary data).

7 a) CA (%)

latitude band	AIRS-LMD V1	AIRS-CIRS	IASI-CIRS
globe	67	67 / 70	67
ocean	72	71 / 74	72
land	56	57 / 59	56
60°N ó 30°N	69	69 / 72	69
15°N ó 15°S	67	63 / 66	62
30°S ó 60°S	80	84 / 85	85

8 b) CAHR (%)

latitude band	AIRS-LMD V1	AIRS-CIRS	IASI-CIRS
globe	41	41 / 40	40
ocean	38	38 / 37	37
land	48	49 / 47	47
60°N ó 30°N	40	40 / 40	40
15°N ó 15°S	59	58 / 57	58
30°S ó 60°S	28	30 / 30	29



## 1 c) CAMR (%)

latitude band	AIRS-LMD V1	AIRS-CIRS	IASI-CIRS
globe	18	19 / 19	20
ocean	16	16 / 17	18
land	23	25 / 23	23
60°N ó 30°N	22	23 / 22	22
15°N ó 15°S	11	10 / 10	11
30°S ó 60°S	21	23 / 22	23

## 2 d) CALR (%)

latitude band	AIRS-LMD V1	AIRS-CIRS	IASI-CIRS
globe	41	40 / 41	40
ocean	47	45 / 46	44
land	29	27 / 30	30
60°N ó 30°N	38	37 / 38	38
15°N ó 15°S	30	32 / 33	31
30°S ó 60°S	51	47 / 48	48

3

4

5 Table 3. Averages of relative amount (in %) of opaque ( $\epsilon_{\text{cid}} > 0.95$ ), cirrus ( $0.95 > \epsilon_{\text{cid}} > 0.5$ ) and thin  
6 cirrus ( $\epsilon_{\text{cid}} < 0.5$ ) from AIRS-CIRS (2003-2015, using AIRS-NASA / ERA-Interim ancillary data) /  
7 IASI-CIRS (2008-2015, using ERA-Interim ancillary data).

latitude band	opaque / tot CA	cirrus / tot CA	thin Cirrus / tot CA
globe	5.3 / 5.0 / 5.4	21.7 / 21.5 / 20.9	13.4 / 13.0 / 12.9
ocean	5.0 / 4.5 / 4.9	20.0 / 19.9 / 19.2	12.5 / 12.0 / 12.1
land	6.1 / 5.9 / 6.6	25.8 / 25.3 / 24.9	15.6 / 15.2 / 14.7
60°N ó 30°N	5.4 / 4.8 / 5.4	22.9 / 23.5 / 22.8	11.1 / 11.0 / 10.9
15°N ó 15°S	7.3 / 7.0 / 7.7	28.2 / 27.5 / 26.8	21.6 / 21.3 / 22.1
30°S ó 60°S	4.8 / 4.2 / 4.4	17.5 / 18.9 / 18.1	6.9 / 6.6 / 5.9

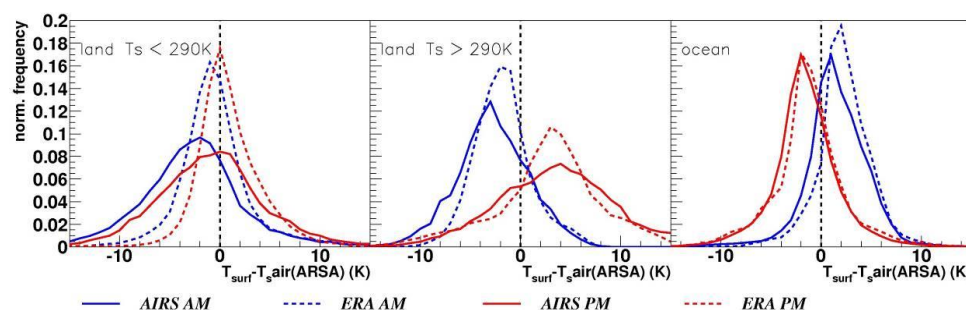
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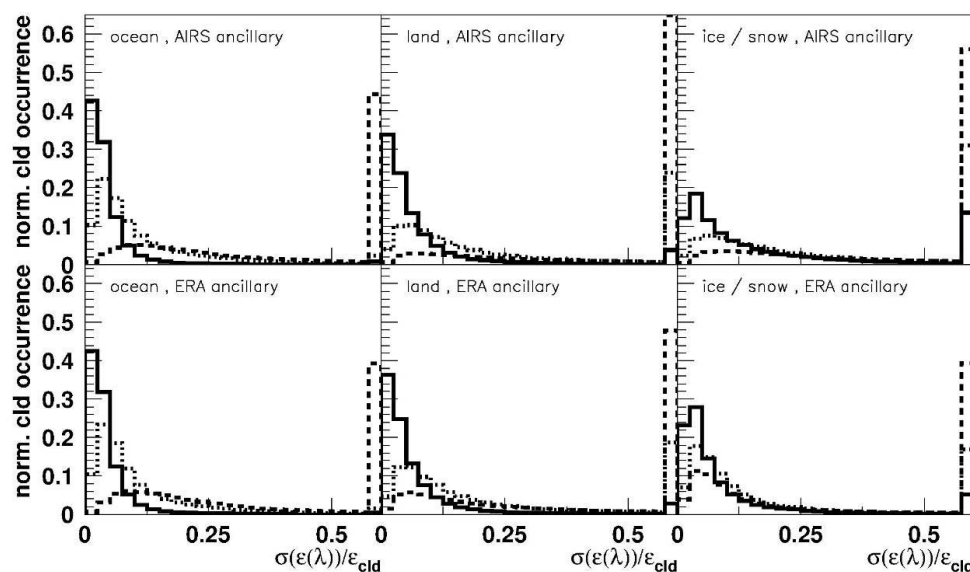
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4 Figure 1. Normalized distributions of the difference between surface skin temperature, as used in the  
5 cloud retrieval, deduced from AIRS-NASA of good quality and from ERA-Interim, and collocated  
6 surface air temperature of the ARSA data base. Statistics includes January and July from 2003 to 2015,  
7 separately over land for colder temperatures ( $T_{surf} < 290$  K), over land for warmer temperatures ( $T_{surf} >$   
8 290 K) and over ocean.

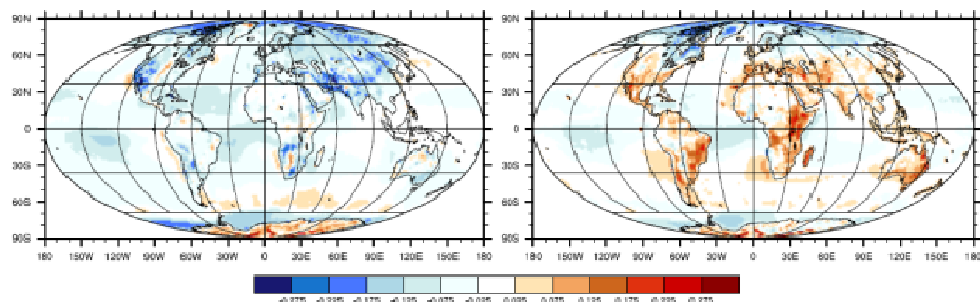
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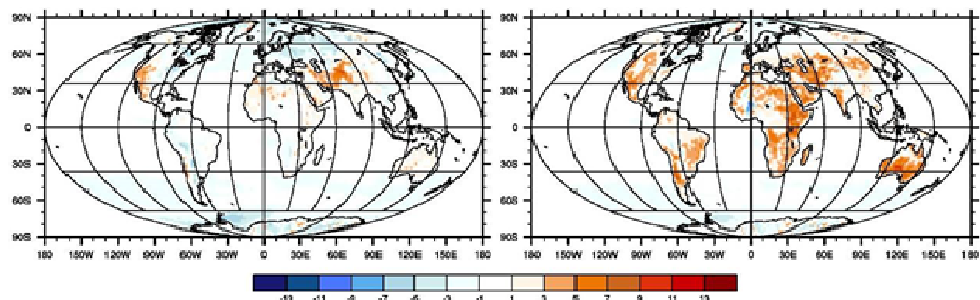
1  
 2 Figure 2. Normalized distributions of spectral variability of effective cloud emissivity over six  
 3 wavelengths between 9 and 12  $\mu\text{m}$  divided by cloud effective emissivity retrieved by the  $\chi^2$  method,  
 4 separately for scenes declared as cloudy (full line), as partly cloudy (dotted) and as clear sky (broken  
 5 line) by the CALIPSO samples. Statistics includes three years (2007-2009) of observations at 1:30 LT,  
 6 separately over ocean (left), over land (middle) and over ice / snow (right); top: with ancillary data  
 7 deduced from AIRS-NASA; bottom: with ancillary data from ERA-Interim.  
 8



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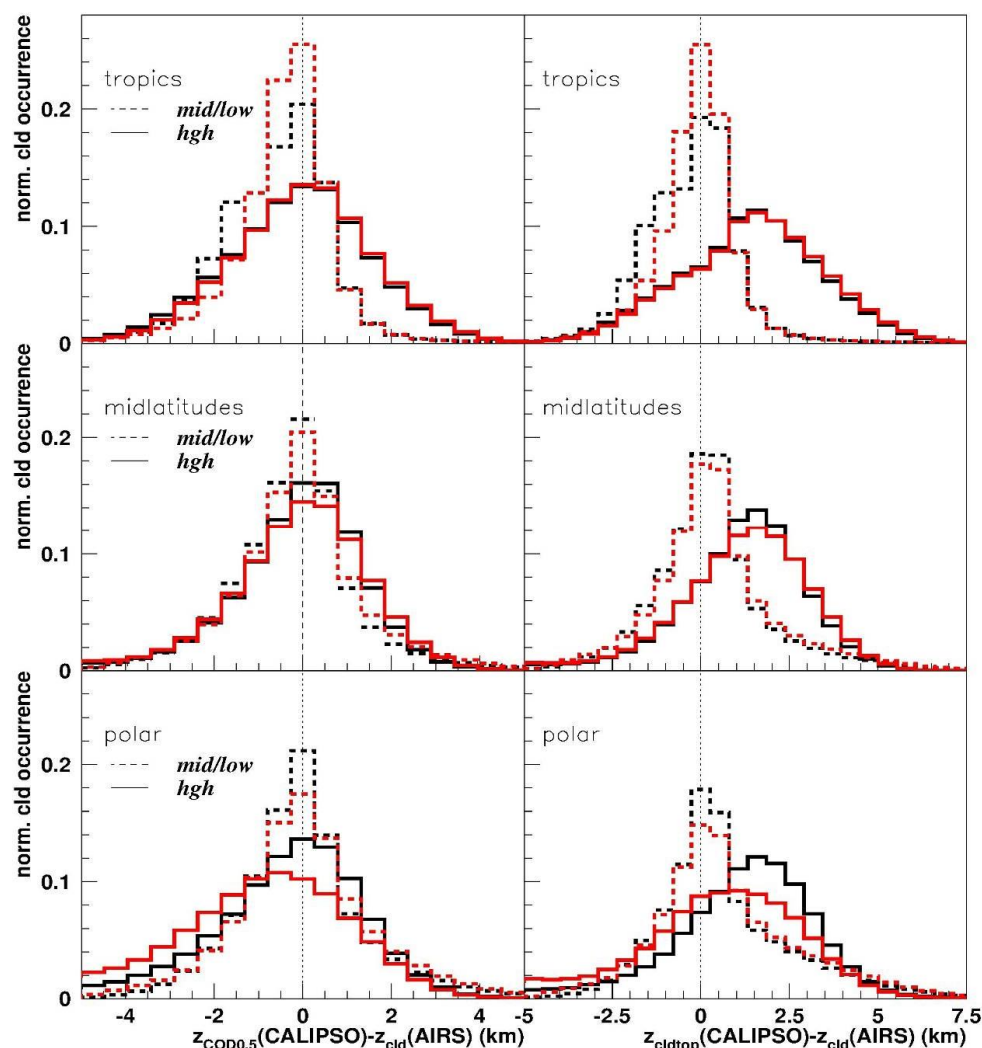
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4 Figure 3. Geographical maps of difference in total CA (above) between the two AIRS-CIRS data sets,  
 5 based on ancillary data from AIRS-NASA and from ERA-Interim, and in  $T_{surf}$  (below) between AIRS-  
 6 NASA and ERA-Interim as used in the retrieval, separately at 1:30AM (left) and at 1:30PM (right)

7



1  
2 Figure 4. Normalized frequency distributions of the difference between the cloud height at which the  
3 optical depth reaches a value of 0.5 from CALIPSO and  $z_{cld}$  from AIRS (left) and between the cloud top  
4 height from CALIPSO and  $z_{cld}$  from AIRS (right);  $z_{cld}$  from AIRS is compared to the cloud layer of  
5 CALIPSO, coherent with CALIPSO-CloudSat GEOPROF, which is the closest to  $z_{cld}$ . Statistics  
6 includes three years (2007–2009) of observations at 1:30 LT. AIRS-CIRS cloud retrievals using ancillary  
7 data from AIRS-NASA in red and from ERA-Interim in black, separately for high-level clouds (full  
8 line) and for clouds with  $p_{cld} > 440$  hPa (broken line). Analysis over three latitude bands: 30°N–30°S  
9 (upper panel), 30°–60° (middle panel) and 60°–85° (lower panel).

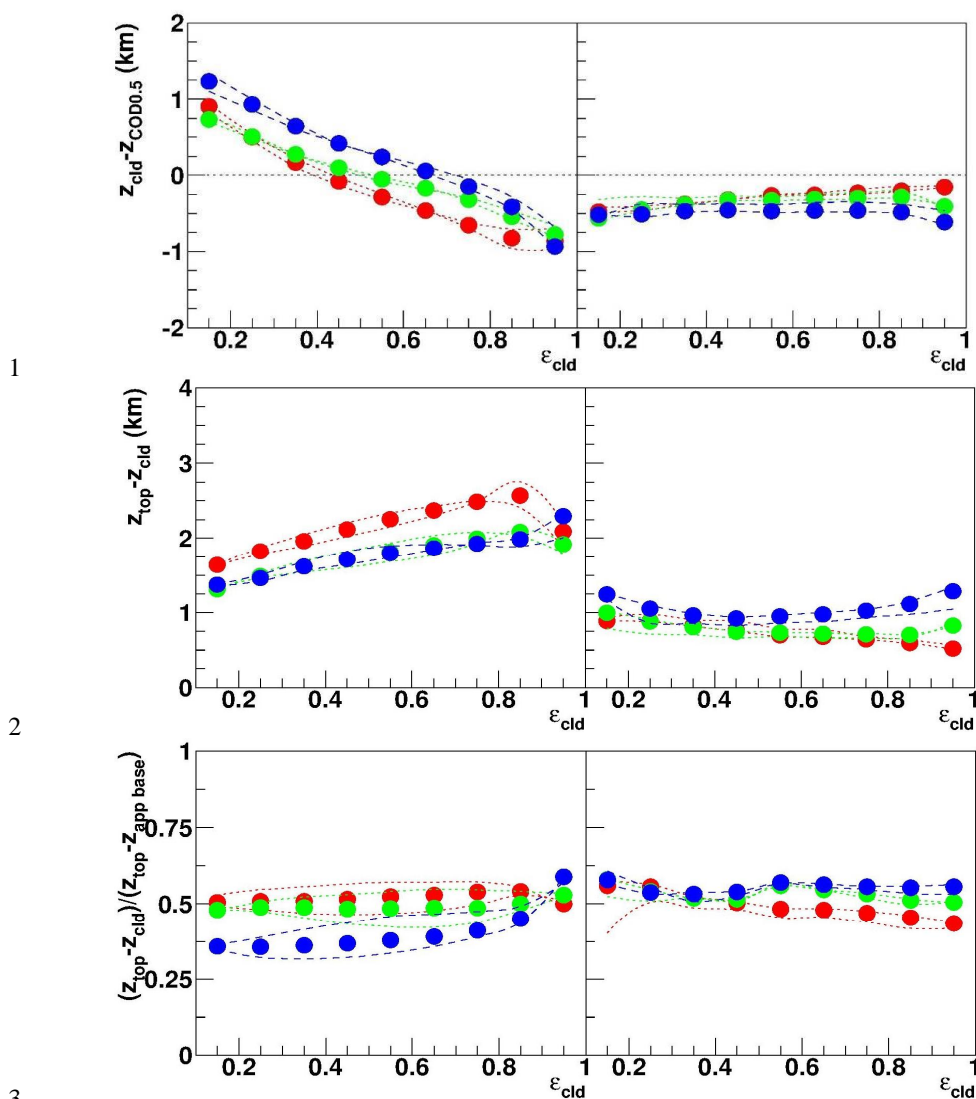


Figure 5. Average difference between  $z_{cld}$  from AIRS-CIRS and CALIPSO height at which the COD reaches about 0.5 (top), between  $z_{top}$  from CALIPSO and  $z_{cld}$  (middle) and between  $z_{top}$  and  $z_{cld}$  scaled by apparent cloud vertical extent (bottom) as function of AIRS-CIRS cloud emissivity for clouds in the tropics (red), midlatitudes (green) and polar latitudes (blue), separately for high-level clouds (left) and for low-level (right) clouds. Three years of statistics for cases where  $z_{cld}$  from AIRS and CALIPSO height lie within vertical cloud borders determined from CloudSat-CALIPSO GEOPROF. Observations at 1:30 LT. Statistical errors are negligible and the broken lines indicate a range between single layer clouds and multi-layer clouds.

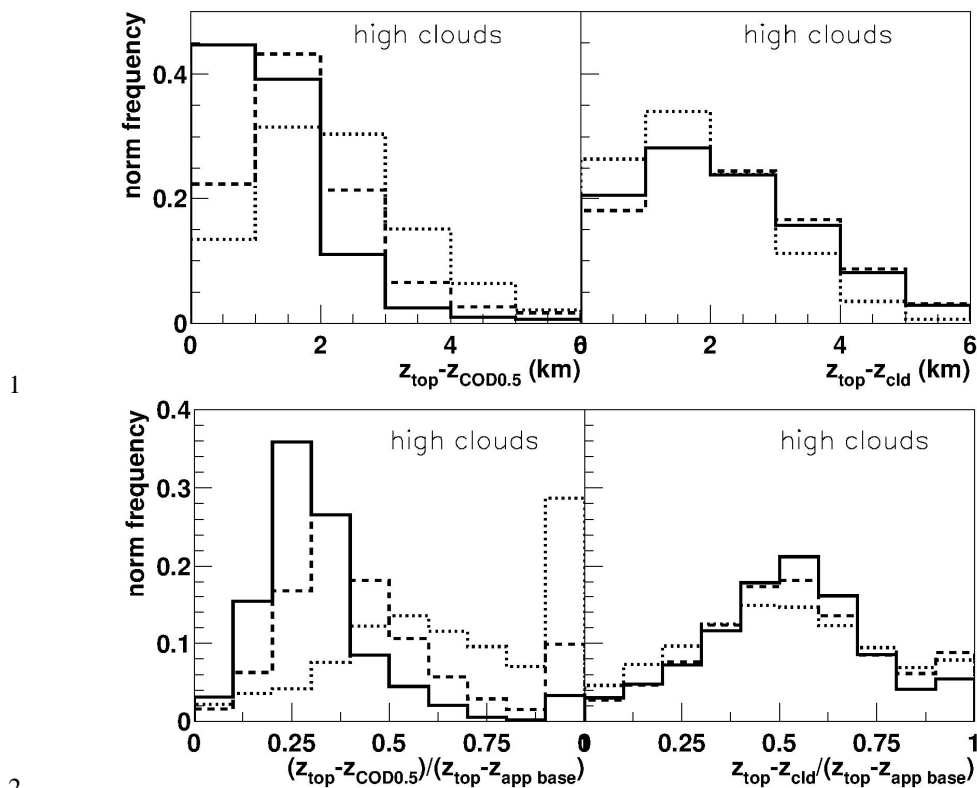
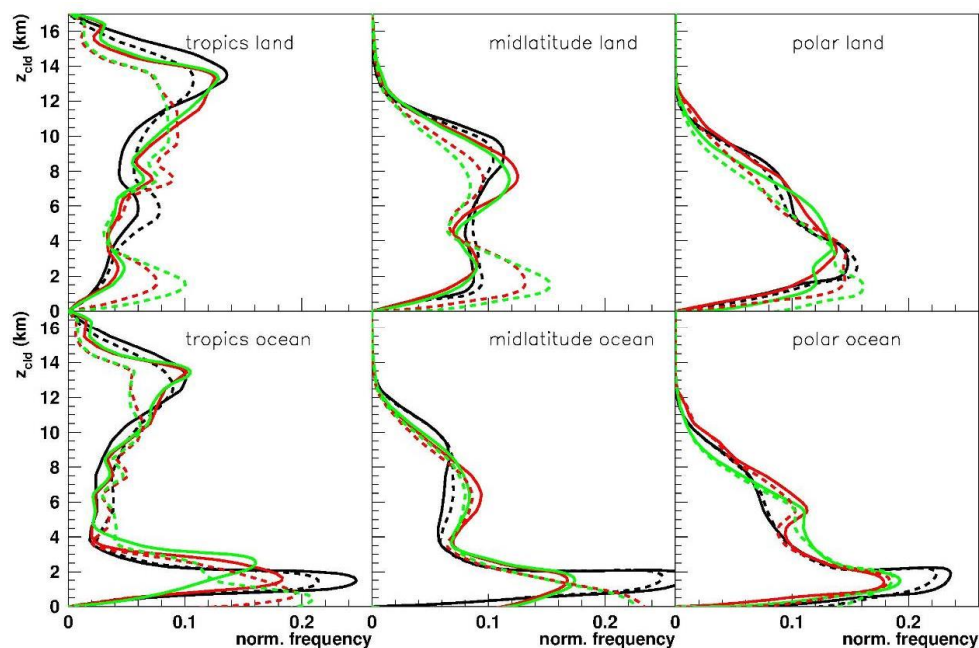
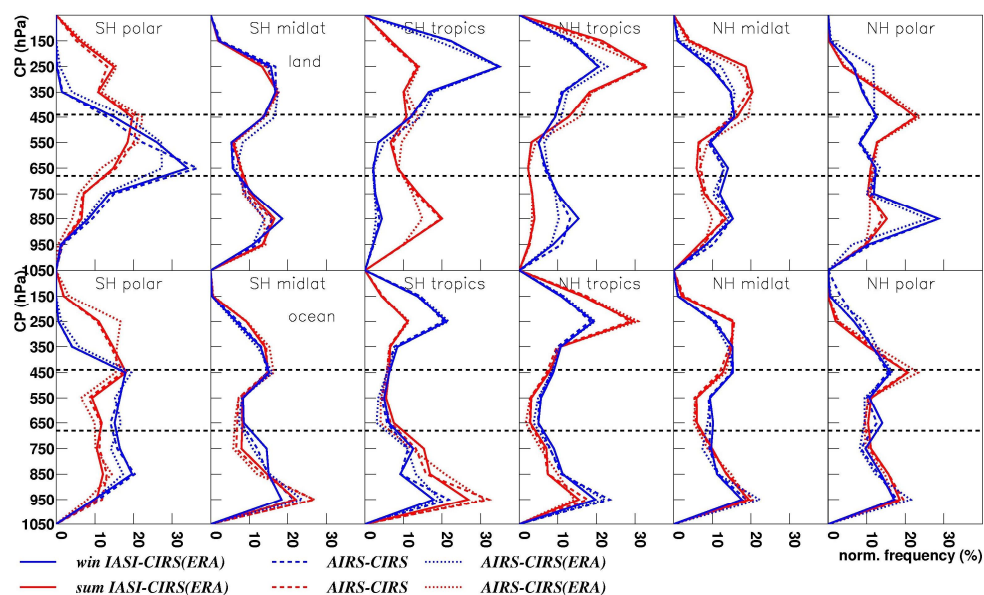


Figure 6. Normalized frequency distributions of differences between CALIPSO cloud top and height at which the COD reaches about 0.5 (left) and between CALIPSO cloud top and  $z_{cld}$  from AIRS (right) for high-level clouds, in absolute values (top) and scaled by apparent vertical cloud extent (bottom). Distributions are compared for clouds with  $\epsilon_{cld} > 0.8$  (full line),  $0.8 > \epsilon_{cld} > 0.4$  (broken line) and  $0.4 > \epsilon_{cld} > 0.1$  (dotted line). Three years of statistics for cases where  $z_{cld}$  from AIRS and CALIPSO height lie within vertical cloud borders determined from CloudSat-CALIPSO GEOPROF. Observations at 1:30 LT.

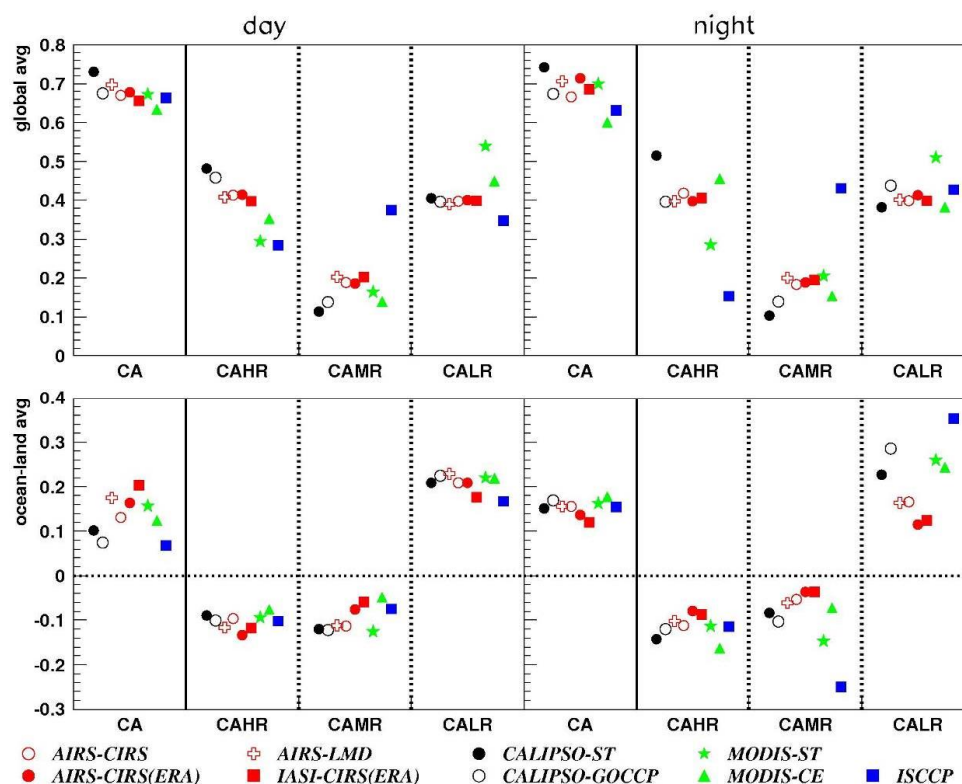


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2 Figure 7. Normalized frequency distributions of  $z_{cld}$  from AIRS, using ancillary data from AIRS-NASA  
3 (red) and from ERA-Interim (green) and  $z_{COD0.5}$  from CALIPSO (black), separately over land (top) and  
4 over ocean (bottom), in the tropics (left), midlatitudes (middle) and polar latitudes (right). For each data  
5 set two distributions are shown: for all detected clouds, except subvisible cirrus (broken line) and for  
6 mostly cloudy fields of single layer clouds (full line).



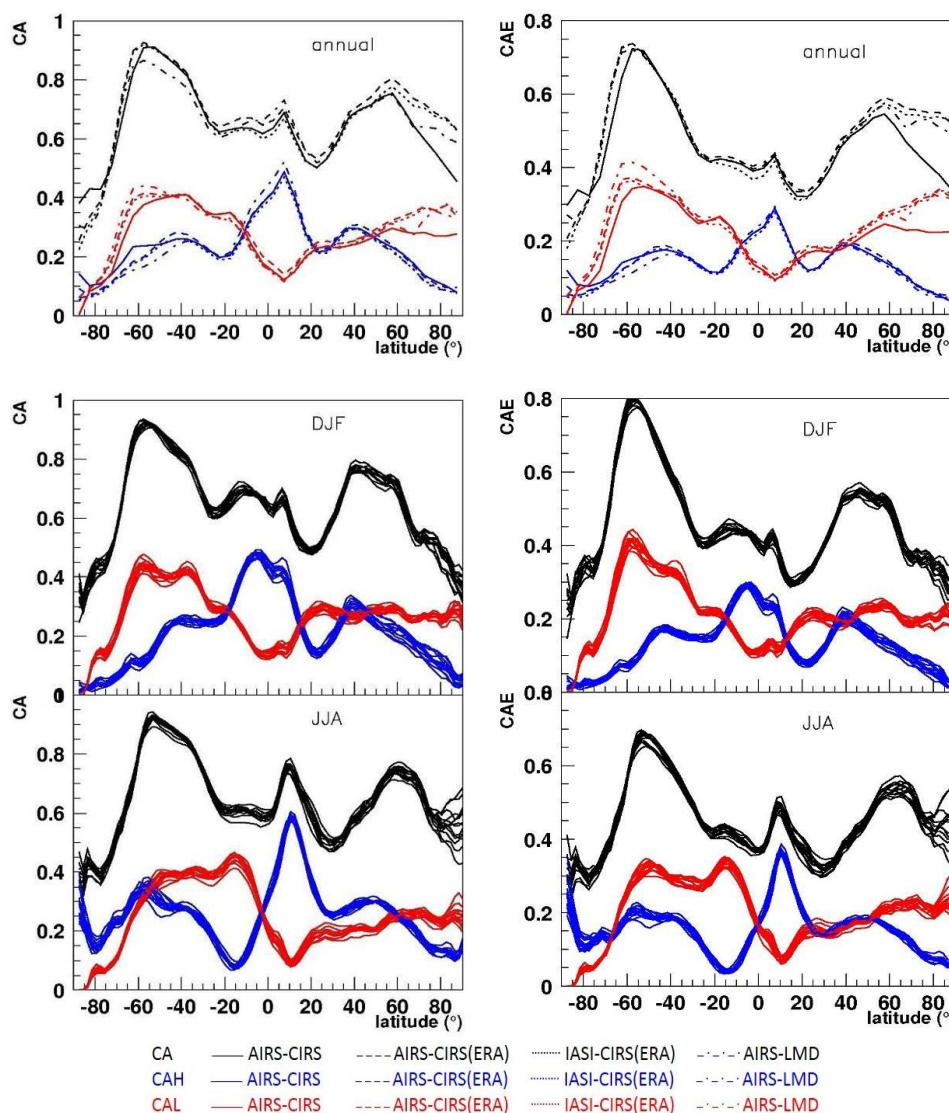


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 2 Figure 8. Normalized frequency distributions of  $p_{cld}$  from AIRS-CIRS, using ancillary data from AIRS-  
 3 NASA (dashed line) and from ERA-Interim (dotted line), as well as from IASI-CIRS (full line),  
 4 separately over land (top) and over ocean (bottom) in six latitude bands of 30° from Southern  
 5 hemisphere polar (left) to Northern hemisphere polar latitudes (right), in boreal winter (December,  
 6 January, February; blue) and in boreal summer (June, July, August; red). Statistics from 2008.



1  
2 Figure 9. Top: Global averages of total cloud amount (CA), as well as of fraction of high-level, mid-level  
3 and low-level cloud amount relative to total cloud amount ( $CAHR + CAMR + CALR = 1$ ).  
4 Comparisons of IR sounder cloud data (AIRS, IASI) with L3 data from the GEWEX Cloud Assessment  
5 data base, separately for observations mostly during day (left), corresponding to 1:30PM (3:00PM for  
6 ISCCP and 9:30AM for IASI), and mostly during night (right), corresponding to 1:30AM (3:00AM for  
7 ISCCP and 9:30PM for IASI). Bottom: Averages of ocean-land differences for the same parameters.

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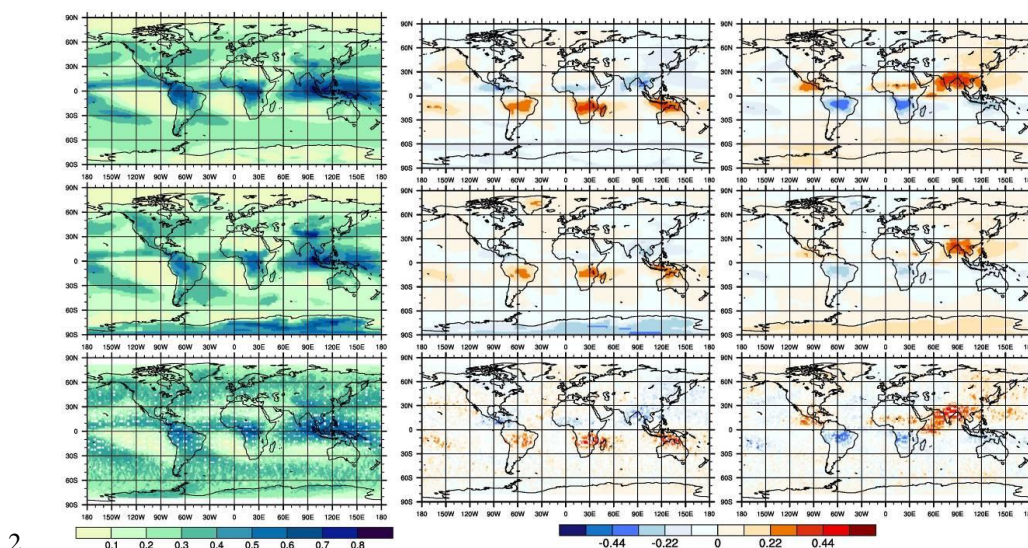
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3 Figure 10. Zonal distributions of CA, CAH and CAL (left) and CAE, CAEH and CAEL (right),  
 4 separately as annual mean (top), in boreal winter (December, January, February; middle) and in boreal  
 5 summer (June, July, August; bottom). For the annual mean, cloud amounts are compared between  
 6 AIRS-CIRS, using ancillary data from AIRS-NASA (full line) and from ERA-Interim (broken line),  
 7 IASI-CIRS (dotted line) and AIRS-LMD (dash-dotted line). For boreal winter and boreal summer,  
 8 AIRS-CIRS (using AIRS-NASA ancillary data) is shown separately for each year between 2003 to  
 9 2015, illustrating inter-annual variability.



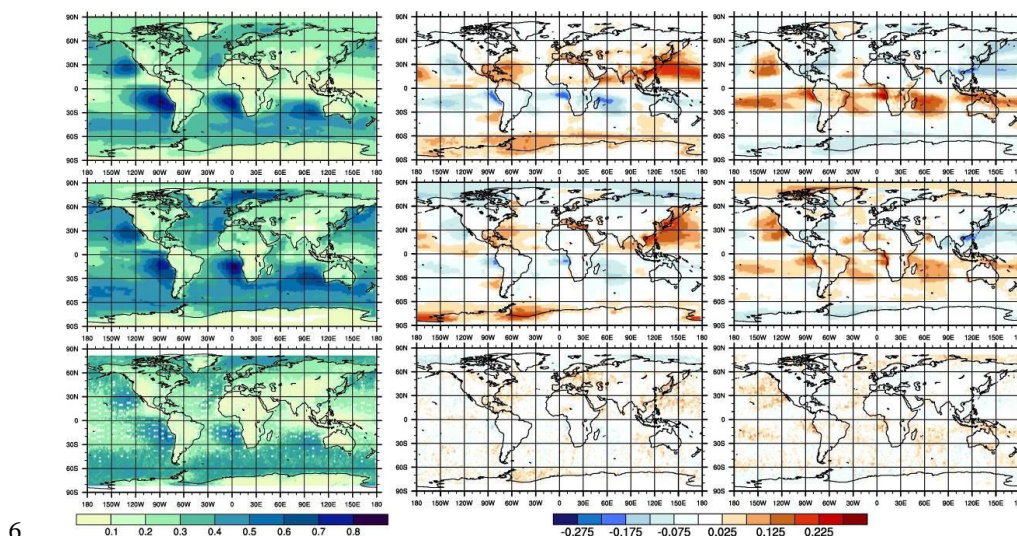


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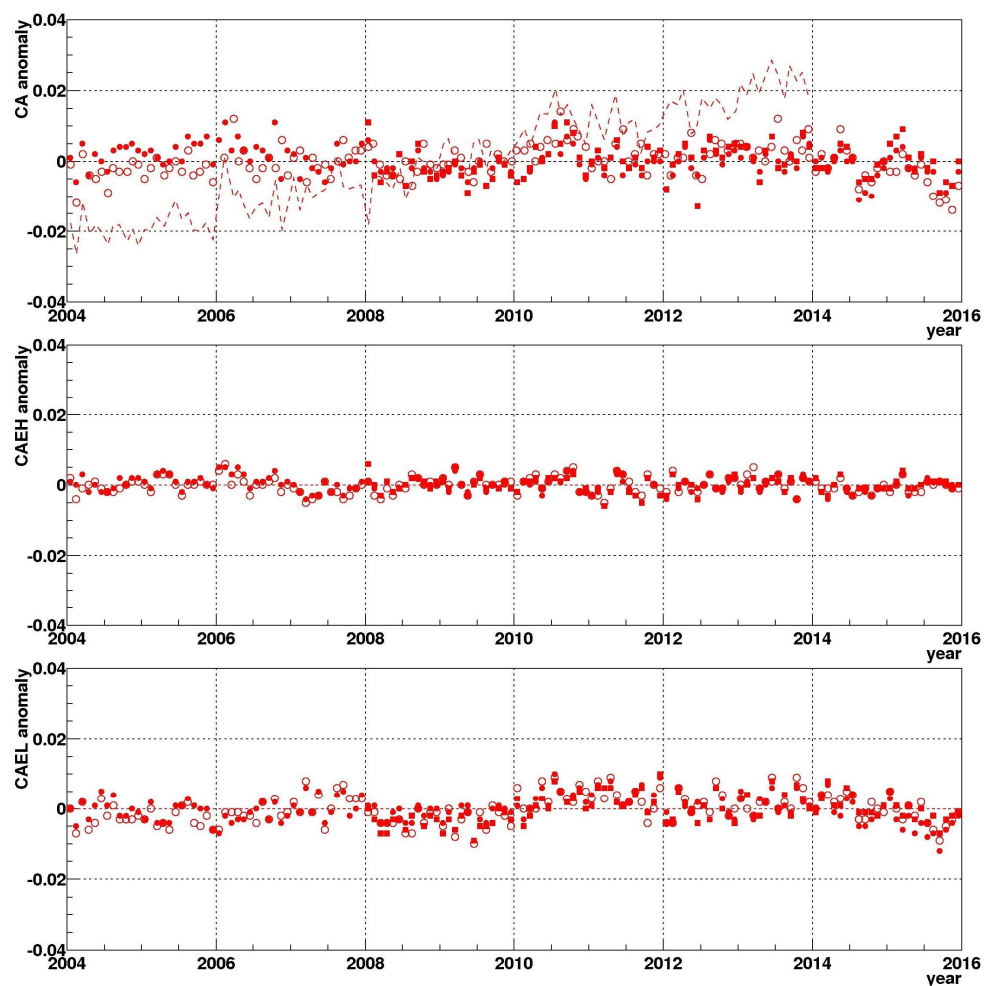
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3 Figure 11. Geographical maps of annual CAH (left) of AIRS-CIRS (2003-2015, top) compared to  
 4 ISCCP (1984-2007, middle) and CALIPSO-GOCCP (2007-2008, bottom) from the GEWEX Cloud  
 5 Assessment data base, as well as seasonal anomalies of DJF (middle) and of JJA (right).



6

7 Figure 12. Geographical maps of annual CAL (left) of AIRS-CIRS (2003-2015, top) compared to  
 8 ISCCP (1984-2007, middle) and CALIPSO-GOCCP (2007-2008, bottom) from the GEWEX Cloud  
 9 Assessment data base, as well as seasonal anomalies of DJF (middle) and of JJA (right).



1

2 ○ *AIRS-CIRS* ● *AIRS-CIRS(ERA)* ■ *IASI-CIRS(ERA)* --- *AIRS-CIRS no CO<sub>2</sub> corr.*

3 Figure 13. Time anomalies of deseasonalized CA, CAEH and CAEL over the globe. In the case of CA,  
4 additional values are shown without calibration of spectral atmospheric transmissivities for changes in  
5 atmospheric CO<sub>2</sub> concentration.

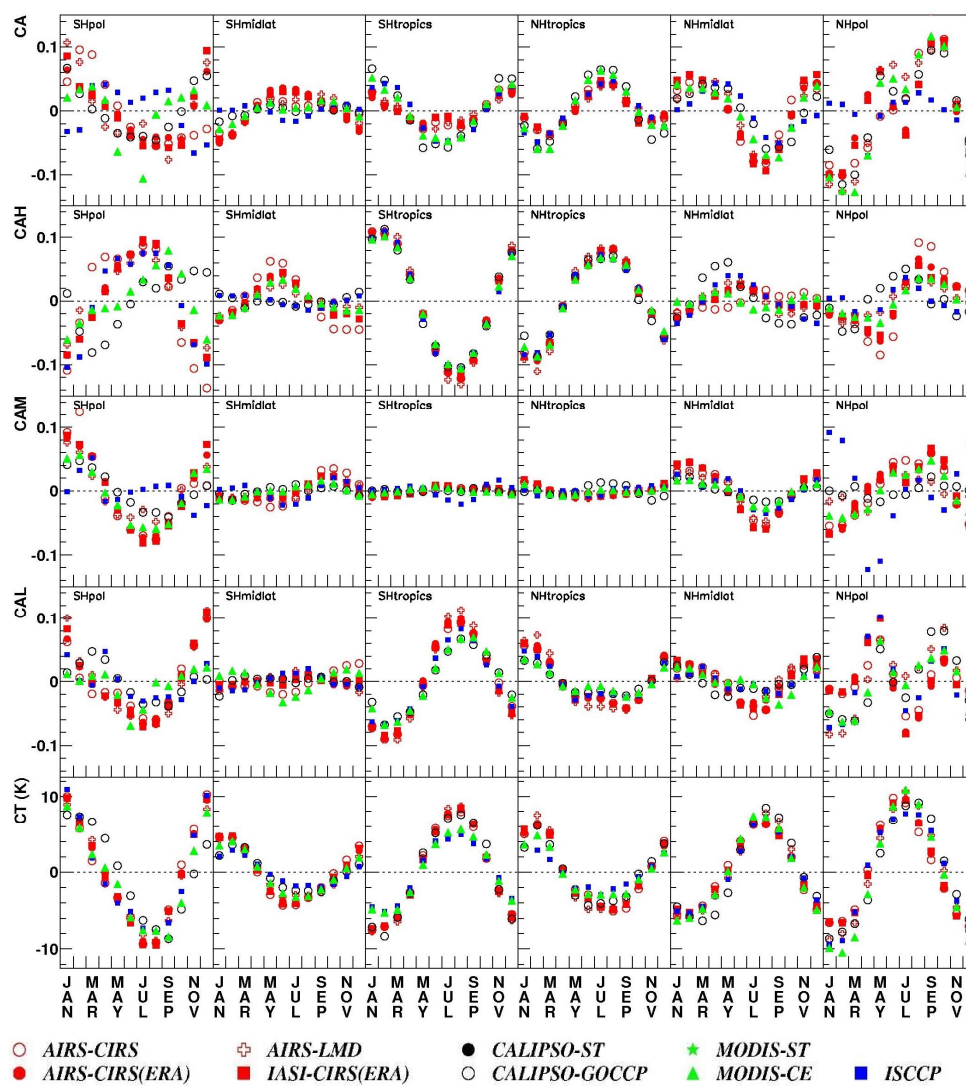
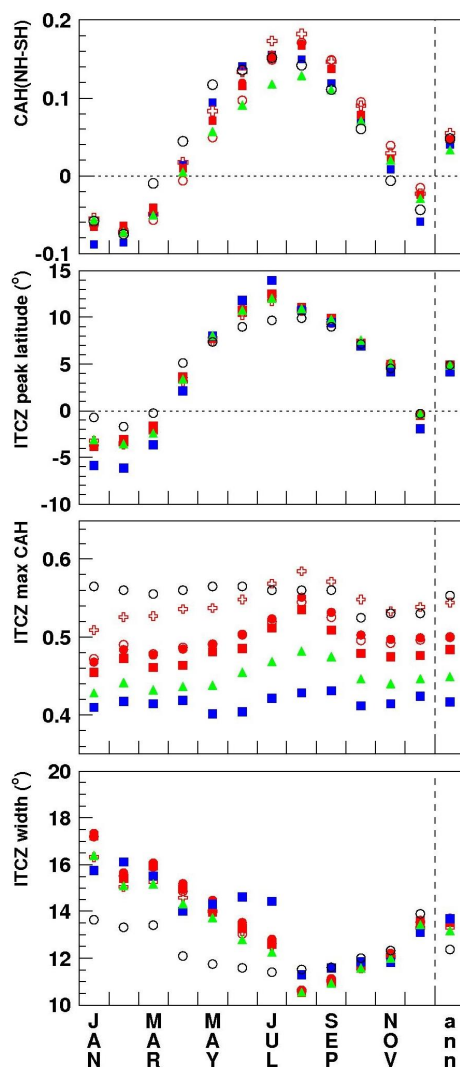


Figure 14. Seasonal cycle of CA, CAH, CAM, CAL and CT over 30° wide latitude bands from SH polar to NH polar.



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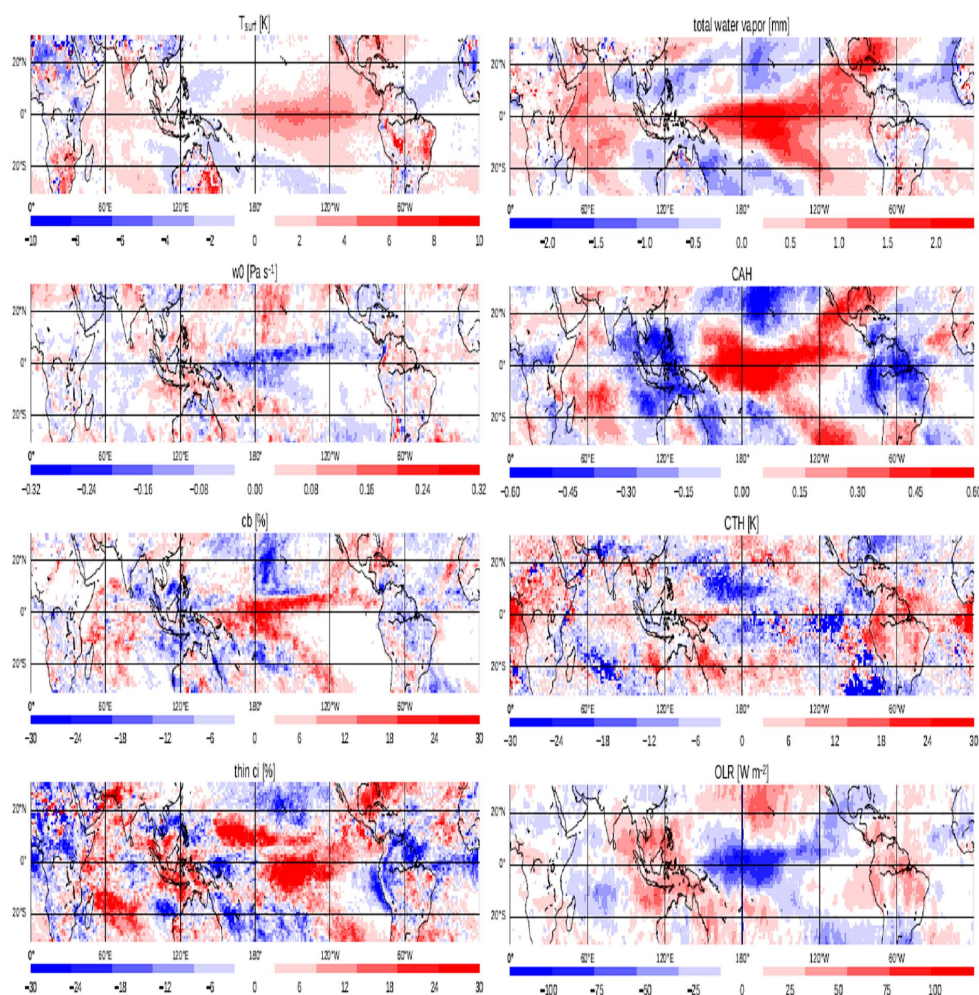
3 Figure 15 Seasonal cycle / annual average of CAH differences between NH hemisphere and SH  
 4 hemisphere (60N-60S); seasonal cycle / annual average of ITCZ peak latitude, maximum CAH within  
 5 ITCZ and ITCZ width.

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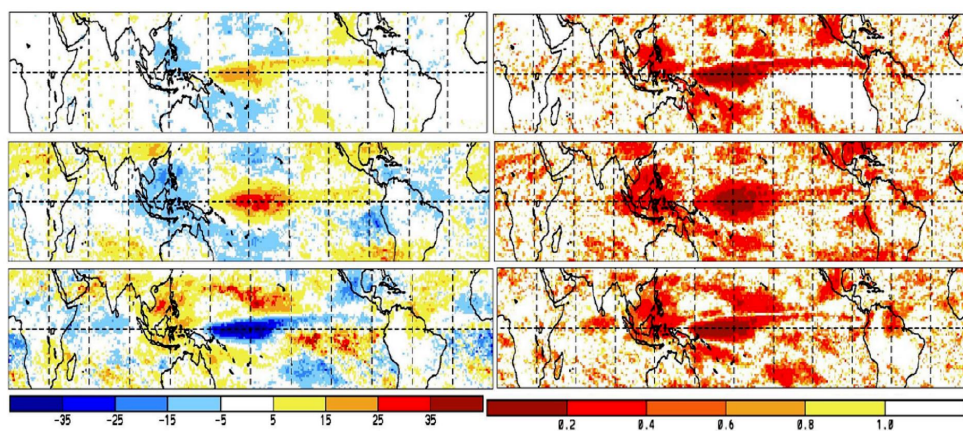
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2 Figure 16. Differences between December 2015 and 2010, corresponding to El Niño and La Niña,  
3 respectively, in  $T_{surf}$  (1. Panel, left), total atmospheric water vapour (1. Panel, right) and vertical wind at  
4 500 hPa (2. Panel, left) from ERA-Interim, in CAH (2. Panel, right), fraction of Cb (3. Panel, left), cloud  
5 temperature of high-level clouds (3. Panel, right) and fraction of thin cirrus (4. Panel, left) from AIRS-  
6 CIRS, and OLR (4. Panel, right) from AIRS- NASA.

7



1  
2 Figure 17. Left: Slopes of change in Cb (top), cirrus (middle) and thin cirrus (bottom) amount in % per  
3 °C of tropical warming (20°N to 20°S); right: relative slope uncertainty for Cb (top), cirrus (middle) and  
4 thin cirrus (bottom) amount change per °C tropical warming. Results using upper tropospheric ( $p_{cld} <$   
5 330 hPa) cloud type anomalies from AIRS-CIRS and surface temperature anomalies from ERA-Interim  
6 of 156 months during the period 2003-2015.

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