# 1 **Response to reviews**

2 We want to thank the referees for appreciating our work and for the thoughtful comments and

3 suggestions. Most of them have been taken into account to improve the manuscript. We apologize for

4 the difficulties associated with the length of the manuscript and excessively long sentences. We have re-

- 5 worked the manuscript and addressed each comment. In the text below, the reviewerøs comments are
- 6 marked in italics blue and our answers are given in normal font.
- 7 As the referee has correctly pointed out, the method itself is not new (the first author developed it in the
- 8 1990¢ for TOVS), and there exist several publications, which are referenced in the article. Indeed it was
- 9 a difficult task to select what should be presented and what left out, which is reflected in differing
- 10 opinions of the 2 referees.
- 11 Since both referees suggested to shorten the manuscript, we have done our best to do it without losing 12 the message we wanted to deliver to the community. Here is the list of actions performed
- 13 1) shortening section 2 -Data and methodsø and moving a shortened version of section 3.1 -Collocated
- 14 AIRS-CALIPSO-CloudSat dataøto this section
- 15 2) simplifying Table 2, taking out 5 figures / 22 figure panels (3 figures moved to supplement)
- 16 3) taking out the ENSO discussion in section 5 (together with Fig. 16) and
- 17 4) revising the remaining applications in section 5
- 18
- 19 We do not agree with the suggestion of a complete removal of section 5 Applicationsø as the presented
- method is not new and one of the goals of this article was to present scientific applications (as indicatedin the title).
- Since the results similar to those presented in new Fig. 12 have recently been published for other data sets, it would be difficult to use the presented material in a separate publication. We compare our results to one of them and point out an interesting extension. We plan to work on a more complex analysis to pursue this subject further, but we think it is important to present already these results in the current
- 26 publication.
- 27

## 28 Response to Referee#1

- 29
- Title: the authors should consider a better title that is punchier and emphasizes the great aspects of using
   sounders for cloud properties (and not have -weaknesses@in the title)
- 32 the title was changed to: Cloud climatologies from the InfraRed Sounders AIRS and IASI:
- 33 Strengths and Applications
- 34 Abstract: it is pretty long and not very specific. For instance, lines 24-28 has a single long sentence
- 35 making multiple points about the apparent cloud top/base. Is the correction for co2 really that original
- 36 and worth advertising in the abstract? On lines 23-24, the -global cloud amount@is detected clouds, not
- 37 *effective emissivity?*
- 38 We have substantially re-worked the abstract, to be more specific.
- 39 Rewritten to: The global cloud amount is estimated to 0.67 ó 0.70, for clouds with IR optical depth larger
- 40 than about 0.1. The spread of 0.03 is associated with ancillary data.

- 1
- It is really the amount of detected clouds; it is interesting to mention that global effective cloud emissivity
   of detected clouds is very similar: 0.65-0.66;

This leaves global effective cloud amount (detected clouds weighted by cloud emissivity) to about 0.46 0.48.

- 6
- p. 5, lines 20-21: did the authors try (or consider) using a SST data set independent of the IR sounders,
  say, RTG-SST or the optimal approach using microwave made available at <u>www.remss.com</u>?
- 9 There are two philosophies in creating cloud climatologies : 1) ancillary data are also taken from 10 observations, and 2) ancillary data are taken from model forecast or meteorological reanalyses. The 11 advantage of the first is that these climatologies are independent of model input, however the problem is 12 that the ancillary data might have biases due to faults in clear sky detection and due to interpolation when 13 no good quality data are available.
- In this article we compare these approaches; for the first one, we preferred to stay with data which include the same instrument (the ancillary data come from a combined IR sounder ó microwave retrieval). For IASI, at the time of the development, the available ancillary data did not have the quality needed. Therefore we switched to the second approach.
- 18 A separate SST data set would not help, as we also need surface temperature over land, and both are 19 needed at the satellite observation times. I addition they also should be coherent with the retrieved 20 atmospheric profiles.
- 21

# p. 5, lines 23-24: -quite differentøis not quantitative and not useful in the context of this discussion. How different were they?

- 24 Rewritten to: The comparison with collocated temperature profiles of the Analyzed RadioSoundings
- 25 Archive (ARSA, available at the French data centre AERIS) has shown that, while AIRS-NASA and
- 26 ERA-Interim (section 2.3) temperature profiles do agree in general with the ARSA profiles within 1 K,
- 27 differences between IASI-NOAA and ARSA profiles were often larger than 1 K in the lower
- troposphere (not shown).
- 29
- 30 In the following plots we present differences in T profiles between NASA AIRS V6 and ARSA, ERA
- 31 Interim and ARSA and NOAA IASI and ARSA, separately for different latitude bands over land
- 32 (above) and ocean (below). Whereas AIRS and ERA agree in general within 1K, NOAA IASI differs
- from ARSA often more than 1 K in the lower troposphere.





- 1 We agree that the diurnal cycle is difficult to resolve with data given in temporal intervals with 4h ó 8h ó
- 2 4h ó 8h, but as one can see in the cited conference proceeding (publication is under preparation), by
- 3 using appropriate analysis techniques, both the amplitude and phase of the diurnal cycle of upper
- 4 tropospheric clouds can be obtained, especially due to the fact that IR sounders provide unbiased day-
- 5 night results.
- 6 Rewritten to :
- 7 This brought us to the conclusion, that ancillary data from the same source are necessary to make use of
- 8 the AIRS ó IASI synergy for exploring cloud diurnal variability in a coherent way.
- 9
- 10 *Lines 26-27: if it is of any help, there is a paper that describes cloud type comparisons between AIRS* 11 *and ECMWF T/q:*
- 12 Yue, Q. et al. (2013), Cloud-state dependent sampling in AIRS observations based on
- 13 CloudSat cloud classification, J. Climate, 26, 8357ó8377.
- 14 Unfortunately this very interesting article refers to NASA AIRS L2 data of Version 5;
- 15 We have used in our revised cloud climatology NASA AIRS L2 data of Version 6
- 16
- 17 *p.* 6, lines 9-12: the variables should be listed here (e.g., *T*, *q*, emissivity, sfc *T*, etc.)
- 18 the whole paragraph was taken out, as this issue was already partly discussed in 2.2.
- 19
- 20 p. 7, lines 11-12: here is a good example of over explaining. Why should for which temperature first 21 increases with height before decreasing be included? This is technically
- only true if ascending in the atmosphere. Line 12: -moved to the inversion layeras not clear. Is the cloud
   placed at the base of the inversion? Hopefully not the top because that would be impossible in reality.
- 24 Line 14:  $\div$  . .about 7 to 15% of the time. $\phi$
- 25 Text improvements taken into account.
- In the case of an inversion, the cloud height is set to the level at which the temperature starts to decreasewith height.
- 28
- 29 p. 8, lines 20-23: this statement is unclear. How can *iclear skyøbe inot too cloudyø*?
- 30 text in parentheses taken out; the synergy of IR sounder and microwave also leads to retrievals for party 31 cloudy scenes. In that case, a -cloud clearingøis performed before the retrieval.
- 32
- p. 9, line 7: there is a specific QC approach that filters based on a PBest or PGood pressure level. Was
  this done on a per profile basis? Or were the Level 3 gridded AIRS Team products used?
- 35 We used the quality criteria on a per profile basis, as we work with L2 data ; reference added
- 36
- p. 9, lines 8-9: there is a paper that describes AIRS surface temperature biases with respect to ship
  observations:

- 1 Dong, S., S. T. Gille, J. Sprintall, and E. J. Fetzer (2010), Assessing the potential of the Atmospheric 2 Infrared Sounder (AIRS) surface temperature and specific humidity in turbulent heat flux estimates in the
- 3 Southern Ocean, J. Geophys. Res., 115, C05013, doi:10.1029/2009JC005542.
- 4 Again, the problem is that this paper refers to AIRS V5 ; we had problems to find published results for
- 5 the AIRS V6 version, apart from the V6 L2 Performance and Test Report.
- 6
- 7 *p. 9, line 11: with respect to what is the land more complex?*
- 8 We meant that there was not a clear bias found as over ocean ; clarified in the text to :
- 9 Since differences over land might be positive or negative (Fig. 2), we left the AIRS-NASA surface
- 10 temperature  $(T_{surf})$  values as they are.
- 11
- *p. 10, line 23: is the artifact in cloud amount causing more clouds? Less clouds? Higher clouds? Lower clouds?*
- 14 Global cloud amount is increasing, when the CO2 increase is not taken into account in the computation 15 of atmospheric spectral transmissivities (new Fig. 10);
- 16 When splitting into low-level and high-level cloud amounts, the artefact led to increasing CAL and 17 slightly decreasing CAH.
- 18
- 19 p. 11, line 3: base of the inversion?
- In the case of atmospheric temperature inversions, the cloud height is moved to the level at which the temperature starts to decrease with height, and  $\varepsilon_{cld}$  is scaled accordingly.
- 22
- 23 p. 11, line 25: is *not cloudyøthe same as ÷learø*? *or something else*?
- As the IR sounder footprint size is large, it is difficult to distinguish between completely clear sky and cloudy. Even the evaluation with CALIPSO-CloudSat stays approximate as the sampling is only about
- 26 1.5 km x 2.5 km, which corresponds to a sampling of about 2 %.
- 27
- p. 12, line 10: *explainableø should be explainedø The paper could use a good thorough editing for clarity of English.*
- 30 Unfortunately, all authors are non-native English speakers; we tried however to improve the readability 31 of the present version to the best of our abilities.
- 32

# p. 12, lines 14-16: are three different sigmas for the three different emissivity\_i values? It appears that some of the clear will be selected as cloudy, and vice-versa. Is this correct?

- The thresholds were chosen separately for 1) ocean, 2) land and 3) snow/ice, as the distributions in new Fig S1 (original Fig. 2 moved to the supplement) showed slightly different distributions. Indeed, all methods using thresholds include misidentifications. These are difficult to estimate because of the
- 38 sampling (2% of CALIPSO-CloudSat per AIRS footprint). The cloud detection includes 80 (over ice) to
- 39 92% (over ocean) cases for which CloudSat-lidar GEOPROF and CALIPSO at 5 km resolution
- 40 (excluding subvisible cirrus) have identified at least one cloud layer, and 30% cases for which the
- 41 samples did not include a cloud layer. The latter might look at first as a large misidentification of clear

- sky as cloudy, but the very small coverage of the CloudSat-CALIPSO samples (2%) certainly includes
   partly cloudy fields.
- Results in section 3 show that by using these thresholds the overall agreement with CloudSat-CALIPSO
   is 70% (over ice) to 85% (over ocean), given as hit rates.
- 5
- 6 *p. 12, lines 20-21: the emis < 0.1 threshold is very conservative. The IR sounders will capture a lot of*
- 7 optically thinner clouds than that. Are the authors arguing the point that below that threshold some clear
- 8 values could leak in? The paper by Kahn et al. (2008) seems to argue that the emis threshold could be
- 9 *lower than that:*
- 10 Kahn, B. H. et al. (2008), Cloud-type comparisons of AIRS, CloudSat, and CALIPSO
- 11 cloud height and amount, Atmos. Chem. Phys., 8, 123161248.
- 12 Indeed, the AIRS-LMD climatology (Stubenrauch et al. 2010) went down to an  $\varepsilon_{cld}$  of 0.05.
- 13 Considering the large footprint and a comparison of  $\varepsilon_{cld}$  distributions for cloudy and clear sky CloudSat-
- 14 CALIPSO scenes (see below), we decided to exclude scenes with  $\varepsilon_{cld} < 0.1$ .
- 15 We made the sentence more explicit : To reduce misidentification of clear sky as high-level clouds, only
- 16 clouds with  $\epsilon_{cld} \times 0.10$  are considered.
- 17 Indeed, this came out of a study with CALIPSO-CloudSat :



19 The above figures present normalized  $\varepsilon_{cld}$  distributions of high-level clouds, after multi-spectral cloud 20 detection, but leaving clouds with  $0.05 < \varepsilon_{cld} < 0.10$  as clouds, separately for cloudy scenes defined by 21 GEOPROF and CALIPSO (full line) and for all scenes (dotted line). The first bin includes scenes with 22  $0.05 < \varepsilon_{cld} < 0.10$ ; in the tropics this bin has more clear sky than high-level clouds. Therefore we have 23 moved the threshold to 0.1. As the contribution of the first bin is small compared to the integral, this 24 seemed a reasonable choice.

25

- p. 12, section 3.1: this is where the paper starts to be a real grind. Wasn# the methodology of the AIRS
  and C/C comparison described in a previous paper(s) by the lead author? There must be a way to
  tighten this up and make it more concise, but I am lacking any good suggestions for that.
- 29 Indeed, part of the description of the collocated dataset was already published before, though not the
- 30 computation of the cloud height corresponding to a specific optical depth. Referee #2 finds that this
- 31 section is not detailed enough.
- 32 We have rewritten this section and moved it to section 2.4, hoping that in this way the paper gains clarity.
- 33 It also allows the reader who is only interested in the results, directly to go to sections 3-5.
- 34

# p. 14, start of Section 3.2: it is really nice to see that the level of agreement is very similar to the AIRS Team cloud retrievals in Kahn et al. (2008) with a finer breakdown of surface type and ancillary data.

- 3 We dong completely agree with the statement about the level of agreement with the AIRS cloud data 4 from NASA V5: one important difference is that while the AIRS NASA V5 cloud data agree well for
- 5 high-level clouds, they have a very large height bias for low-level clouds. This is stated by the Kahn
- 6 paper: a bias which reaches about 5 km ! Actually, this was the reason for adapting the  $\chi^2$  retrieval
- 7 method to AIRS. Our comparison with the NASA V5 AIRS cloud height was published (Fig. 12) in
- 8 2008. Our goal was to build a cloud climatology which is reliable for all clouds. If this is not the case,
- 9 there will be many cloud type misidentifications. Though the retrieved properties of low-level clouds 10 might be noisier, it was important that their height is not biased, so that they are not confounded with
- 11 higher level clouds.
- 12 Kahn et al. have published a new version of the NASA AIRS cloud climatology, but as unfortunately the
- 13 team does not yet participate in the GEWEX cloud assessment (though invited), a direct comparison is
- 14 difficult.
- 15
- 16 Is the fact that the percentage is slightly higher over ice/snow indicative of a loss of skill at sounding T/q
- 17 over these surfaces, and Era-Interim is superior? What is different about these profiles over ice/snow?
- 18 Better detection of inversions and isothermal layers in ERA-Interim?
- 19 The frequency of retrievals with good quality decreases over ice/snow, probably also because clouds
- 20 over these surfaces are more difficult to detect. In addition, polar regions might off be covered by clouds
- 21 (especially in SH ocean). We show a map of relative frequency of good quality retrievals of T<sub>surf</sub> for



December 2007, at 1:30AM LT (criteria described in 2.5.1). When only 10% of the time during a month, data are available and the meteorological situation is very variable during the month, the interpolation gets to its limits, whereas ERA-Interim data are always available. ERA-Interim also detects twice more inversions than AIRS (though we do not know which of the dataset is closer to the reality).

32 Rel. frequency of good quality Tsurf, Dec 2007, 1:30AM

33

p. 14-16: section 3.3: this section is extremely long and detailed. A lot of it seems consistent with
previous paper by the first author. Around lines 31-32 on p. 15 there is one quite interesting point about
opaque clouds and a reduced geometrical thickness. Could this be because the IWC is larger in these

- 37 clouds and thus leads to a smaller difference between the sounders and CALIOP?
- 38 This reminds me of a paper by Sherwood et al. discussing these types of discrepancies:
- 39 Sherwood, S. C., J.-H. Chae, P. Minnis, and M. McGill (2004), Underestimation of
- 40 deep convective cloud tops by thermal imagery, Geophys. Res. Lett., 31, L11102,
- 41 doi:10.1029/2004GL019699.

42 We have substantially shortened this section, also by taking out Fig. 6 and taking out 3 panels of Fig 4 43 and moving 3 panels of Fig 5 to the supplement. We also tried to be more concise. Compared to

- 44 Stubenrauch et al. 2010, the estimation of the height at which the cloud reaches a COD of 0.5 is new,
- 45 though one has to keep in mind that it depends on several assumptions (section 2.4). Concerning the

- 1 slight drop in difference between  $z_{cld}$  and  $z_{top}$  for  $\varepsilon_{cld}$  close to 1, it probably means that for these clouds 2 opacity is reached within a smaller vertical extent, as for those clouds  $z_{cld}$  also corresponds to the mean 3 between top and height at which clouds gets opaque. We cited the Sherwood paper in Stubenrauch et al.
- 4 2010, where we had already shown that  $z_{top}$   $z_{cld}$  increases with  $z_{top}$  - $z_{(app base)}$ , reaching up to 3 km.
- 5
- p. 17-21, Section 4: another really long section with figures 8-14 that have a combined total of over 80
  sub-panels. A lot of these figures are known from previous papers or are common knowledge. Some of
  these panels appear to show some redundant information. I would suggest trying to trim this down as
  much as possible and try and keep the information to the most interesting and novel bits.
- 10 We took out 16 panels of Fig. 10-13 and 6 panels of Fig. 14, which we also moved to the supplement.
- 11 This leaves 5 Figs, and we shortened the discussion. On the other hand, we want to show the quality of
- 12 the new climatologies, so we have to show some comparisons, even if they might not be novel.
- 13
- p. 19, lines 25-27: I dongt see why -which might have important consequences on radiative feedbacksø
  should be there. Since the SW and LW budgets are not shown with respect to the different cloud types
  described in the paper, this is speculative. I would further emphasize that there are many other
  interesting things about these particular clouds, including the hydrological cycle, not just radiation and
- 18 its feedbacks.
- 19 We agree with this suggestion so we took this part out and shortened the sentences to:
- 20 The independent use of  $p_{cld}$  and  $\varepsilon_{cld}$  made it possible to build a climatology of upper tropospheric cloud
- systems, using  $\varepsilon_{cld}$  to distinguish convective core, cirrus anvil and thin cirrus of these systems. These data
- 22 have revealed for the first time that the  $\varepsilon_{dd}$  structure of tropical anvils is related to the convective depth
- 23 (Protopapadaki *et al.*, 2017).
- 24
- p. 20, lines 27-28: Are the authors suggesting that the global cloud amount should be related to the global surface temperature? Is there a previous reference that argues for this? Most studies show a relationship of the patterns of global cloud distributions, height, types, etc. can change with respect to global averaged surface temperature, but I we never seen an argument for an average global cloud amount. Also, another point here regarding surface temperature that it did not increase much. If the authors are referring to the alleged -hiatus *I* think that is basically proven that there was no hiatus (a
- 31 recent paper by T. Karl at NOAA).
- 32 http://science.sciencemag.org/content/348/6242/1469
- Thank you for the interesting article. We just wanted to make the point that global cloud amount stays stable during this period; we have removed the sentence about surface temperature.
- 35
- p. 21, lines 28-29: what is the justification to relate infrared derived cloud amount to SW reflected
  radiation? Are there any previous papers that have shown a correlation? The infrared derived cloud
  amount saturates around an optical depth of 5 or so, but the SW does not. How can the infrared derived
  cloud products be used to infer consistency with SW results?
- 40 We talk here about total CA, which we have shown in section 4 to be consistent with all other 41 climatologies. Also CAH, CAM and CAL are reliably identified, as all discussions in section 4 have
- 42 shown ! Indeed the effective cloud emissivity saturates at 1 (corresponding to visible COD of about 10),
- 43 while VIS COD continues to increase. However, the paper of Stephens et al. 2015 is relating the
- 44 planetary albedo to cloud amount.

# 1 5 particular issues that need further explanation:

2

# 3 - the role of CALIPSO-CALIOP data for tuning the method

The cloud property retrieval was originally developed for TOVS data (Stubenrauch et al. 1996, 1999, 2006); at that time the cloud detection, which indeed was applied before the cloud retrieval, was essentially based on interchannel regression tests using a combination of IR sounder and microwave (MSU) brightness temperatures.

8 When we adapted the cloud retrieval to AIRS, channel 7 of AMSU did not work, so we could not adapt 9 the cloud detection. However the retrieval itself provides cloud pressure and emissivity for each 10 measurement (only about 5% of the data do not give a solution, these are declared immedeately as clear sky). We then considered it more interesting to develop a cloud detection which could be applied after 11 12 the retrieval. The idea was to test the reliability of the results to decide if a footprint is cloudy. By 13 comparing clear sky and cloudy scenes determined within time synchroneous samples from CALIPSO 14 L2 5km cloud data, provided by NASA, we found that the relative spectral spread of cloud emissivities 15 determined at atmospheric window wavelengths is small if the footprint contains a cloud for which the 16 cloud height and emissivity are well determined (both are used in the computation of the spectral 17 emissivities), while most clear sky scenes lead to very large values. These distributions have been 18 published in Stubenrauch et al. 2010, and for the retrievals with new ancillary data in Fig. S1. These 19 distributions show a nice distinction between clear and cloudy, but the thresholds themselves have been 20 determined by examining many different aspects, like maps and comparison with other datatsets, 21 distributions separately over tropics, midlatitudes and polar regions. One important aspect was also to 22 test that AIRS, using two different ancillary data sets, together with IASI gave coherent answers, day and 23 night.

# So, the CALIPSO-CloudSat data have been essential to guide us in the cloud detection, but they were not used to tune it.

26

## 27 - the exact description of the used CALIPSO dataset for tuning and for evaluation of cloud properties

Again we want to stress that we did not use CALIPSO for tuning.

29 We have moved the section of the collocated AIRS-CALIPSO-CloudSat data forward, so that the 30 description is placed before the description of the cloud detection. It was well written that we used version 3 of the NASA CALIPSO L2 cloud data averaged over 5 km (Winker et al. 2009); and we 31 32 explained the procedures how we used the data (for example excluding subvisible cirrus). By the way, 33 we published comparisons with lidar already in 2005, when we compared TOVS Path B cloud 34 properties with LITE (Stubenrauch et al. 2005) where we also investigated subvisible cirrus. In this paper 35 we just wanted to show that the CIRS cloud data are of slightly better quality than the AIRS-LMD cloud 36 climatology, and the effect of ancillary data, which in our opinion has not been stressed with other cloud 37 climatologies.

38

## 39 - the consequence of using some unphysical assumptions in the retrieval

40 We accept cloud emissivities up to a value of 1.5, due to noise. This is explained in the reference 41 Stubenrauch *et al.* 1999, which is cited :

- 42 As in Eq 2 the denominator includes two terms (Icld and Iclr) which get very close to each other in the
- 43 case of low-level clouds, the cloud emissivity can get larger than 1 when taking into account
- 44 uncertainties. In Stubenrauch et al. (1999), it was shown that the original method, which excluded values
- 45 larger than 1, underestimated the amount of low-level clouds considerably.

1 The limit larger than 1 has been chosen to compensate for radiation noise and ancillary data uncertainties

- 2 and this leads to a better identification of low-level clouds.
- 3

4 - the balance between finding spectral coherence in the solutions and still maintain physically
 5 reasonable emissivity differences

6 The multi-spectral cloud detection is indeed based on wavelengths in an interval which is sensitive to 7 thermodynamical phase and ice crystal sizes. As can be seen in Fig. 3 of Guignard et al. (2012), the 8 relative cloud emissivity difference between 9 µm and 12 µm can go up to 0.3 for small IWP and ice 9 crystal size. However, instead of using a spectral difference, we use a standard deviation between 6 10 wavelengths, divided by retrieved cloud emissivity. This should be always smaller than 0.15, even in the 11 case of small IWP and ice crystal sizes which produce the largest slope (we have studied that in detail 12 when developing the method in 2010). In this empirical method, the error one makes, if the used cloud pressure does not correspond to the real pressure, is larger, and Fig. S1 (of the supplement) illustrates 13 14 nicely, that this relative standard deviation is larger than 0.3 for clear sky scenes, while for cloudy scenes 15 distributions the distributions are really narrow, using CALIPSO-GEOPROF to separate cloudy and 16 clear sky scenes.

17

*iustification of the statement of achieving successful cloud detection down to IR cloud optical thicknesses of 0.1*

- 20 optical thickness can be deduced from cloud emissivity as  $COD = -\ln(1-\varepsilon_{cld})$
- 21 As we present clouds with  $\epsilon_{cld} > 0.1$ , this corresponds to clouds with IR COD > 0.1 (or with VIS COD >
- 22 0.2 as VIS COD =  $-2\ln(1-\epsilon_{cld})$ .
- 23 To reduce misidentification of clear sky as high-level clouds, only clouds with  $\varepsilon_{cld} \times 0.10$  are considered.
- 24 Indeed, this came out of a study with CALIPSO-CloudSat :



25

The above figures present normalized  $\varepsilon_{cld}$  distributions of high-level clouds, after multi-spectral cloud detection, but leaving clouds with  $0.05 < \varepsilon_{cld} < 0.10$  as clouds, separately for cloudy scenes defined by GEOPROF and CALIPSO (full line) and for all scenes (dotted line). The first bin includes scenes with  $0.05 < \varepsilon_{cld} < 0.10$ ; in the tropics this bin has more clear sky than high-level clouds. Therefore we have moved the threshold to 0.1. As the contribution of the first bin is small compared to the integral, this seemed a reasonable choice.

32

#### 33 Specific comments

34 1. Page 1, Abstract, line 19, õto evaluateö:

- 1 The term õto evaluateö should be changed to õto design and evaluateö. You used A-train data to find
- 2 your +a posterioriø cloud masking thresholds, right? Then you should be clear in your description that A-
- 3 train data is not completely independent from your data/method. This is important for the reader to 4 know.
- 5 We do not quite agree with this comment; the cloud retrieval was originally developed for TOVS
- 6 data (Stubenrauch et al. 1996, 1999, 2006); at that time the cloud detection, which indeed was applied
- 7 before the cloud retrieval, was essentially based on interchannel regression tests using a combination of
- 8 IR sounder and microwave (MSU) brightness temperatures.
- 9 When we adapted the cloud retrieval to AIRS, channel 7 of AMSU did not work, so we could not adapt 10 the cloud detection. However the retrieval itself provides cloud pressure and emissivity for each 11 measurement (only about 5% of the data do not give a solution, these are declared immedeately as clear
- 11 measurement (only about 5% of the data do not give a solution, these are declared immedeately as clear 12 sky). We then considered it more interesting to develop a cloud detection which could be applied after
- 13 the retrieval. The idea was to test the reliability of the results to decide if a footprint is cloudy. By
- 14 comparing clear sky and cloudy scenes determined within time synchroneous samples from CALIPSO
- 15 L2 5km cloud data, provided by NASA, we found that the relative spectral spread of cloud emissivities
- 16 determined at atmospheric window wavelengths is small if the footprint contains a cloud for which the
- 17 cloud height and emissivity are well determined (as both are used in the computation), while most clear
- 18 sky scenes lead to very large values. These distributions have been published in Stubenrauch et al. 2010,
- 19 and for the retrievals with new ancillary data in Fig. S1. These distributions show a nice distinction 20 between clear and cloudy, but the thresholds themselves have been determined by examining many
- 20 between clear and cloudy, but the thresholds themselves have been determined by examining many 21 different aspects, like maps and comparison with other datasets, distributions separately over tropics,
- 22 midlatitudes and polar regions. One important aspect was also to test that AIRS, using two different
- 23 ancillary data sets, together with IASI gave coherent answers, day and night.

# So, the CALIPSO-CloudSat data have been essential to guide us in the cloud detection, but they were not used to tune it.

- 26
- 27 2. Page 1, Abstract, line 23, õcoincidesö:
- 28 To use the term õcoincidesö here is a too strong conclusion from your results. Figure 6 (lower right
- 29 panel) clearly shows a rather broad distribution of results where frequencies at the two extremes (0 and
  30 1) are still about 20-25 % of the frequency for the value 0.5 (representing the middle of the defined
- 30 1) are still about 20-25 % of the frequency for the value 0.5 (representing the middle of the defined 31 layer). Therefore you can possibly only state that the cloud height can be õapproximatedö by the middle
- 31 alger). Therefore you can possibly only state that the cloud height can be dapproximated by the mature 32 of the defined layer. Also õmiddleö could possibly be replaced by õthe mean layer heightö to make the
- 33 *description scientifically stricter.*
- 34 3. Page 1, Abstract, line 27, õapparent vertical cloud extentö:
- 35 The explanation here is confusing, indicating that upper level clouds generally have higher cloud
- *emissivities than lower level clouds. This cannot be true. I guess the authors mean something else. Please clarify!*
- 38 Rewritten as :
- 39 CIRS cloud height can be approximated by the mean layer height (for optically thin clouds) or the mean
- 40 between cloud top and the height at which the cloud reaches opacity. For high-level clouds, especially in
- 41 the tropics, this height lies on average 1 km to 3 km below cloud top.
- 42
- 43 4. Page 2, Abstract, lines 5-8, õresponse to climate changeö + Page 3, Section 1, lines 23- 25 and the 44 entire section 5: The last sentence in the abstract, the sentence about Section 5 in Section 1 and the entire
- 45 section 5 could possibly be removed for shortening the paper (see also comment 25!).

- 1 We have considerable shortened section 5, but have left two main studies, which have been described in
- 2 a more concise manner. The latter study is also compared to recent results using other data.
- 3 Changed last part of abstract to :

The 5% annual mean excess in high-level cloud amount in the Northern compared to the Southern hemisphere has a pronounced seasonal cycle with a maximum of 25% in boreal summer, in accordance with the moving of the ITCZ peak latitude, with annual mean of 4°N, to a maximum of 12°N. This suggests that this excess is mainly determined by the position of the ITCZ. Considering interannual variability, tropical cirrus are more frequent relative to all clouds when the global (or tropical) mean surface gets warmer. Changes in relative amount of tropical high opaque and thin cirrus with respect to

- 10 mean surface temperature show different geographical patterns, suggesting that their response to climate
- 11 change might differ.
- 12
- 13 5. Page 2, Section 1, line 11, õ70 % cloud coverö:
- 14 Although this is a widely used and accepted figure for global cloudiness, I would like to point out that a
- 15 value of global cloud cover cannot be stated without first defining what you mean by a cloud. The figure
- 16 70 % is kind of representing clouds which have a significant impact on radiation budgets and it could
- 17 possibly be relevant if you define that clouds should have at least a cloud optical thickness of
- 18 approximately 0.2. But if including also the thinnest clouds (often called sub-visible clouds and so far 19 only observed by high sensitive instruments like CALIPSO-CALIOP) the figure may increase to values
- well above 80 %. I think it would be appropriate to at least make a short statement on what clouds are
- 20 well above 60 %. I mink it would be appropriate to at least make a si 21 considered when stating that global cloudiness is about 70 %.
- Indeed, in the GEWEX Cloud Assessment we found out that global cloud amount is about 0.68±0.03
- 23 when considering clouds with VIS optical depth of larger than 0.2, and additional 0.06 arise from
- subvisible clouds detected by CALIPSO (Stubenrauch et al. 2013), which brings it to 0.74. This is
- 25 written in Section 4.
- 26 It seems for us appropriate to leave the about 70%, as this sentence is the first in the introduction and is
- 27 just meant to bring up the importance of clouds because of their large coverage. 7 lines further the reader
- 28 finds more detail on the threshold (IR optical depth > 0.1).
- 29
- 30 6. Page 3, Section 1, line 3: õoptical depth less than 3ö
- 31 My impression is that the capability is better than that, i.e., the capability of having reasonable cloud
- 32 optical depth estimations from CALIOP data covers the interval 0-5. Please check that the value of 3 is 33 really justified.
- The optical depth at which clouds are opaque is difficult to determine. In an earlier publication (Lamquin *et al.* 2008), we wrote that the upper limit lies between 3 and 5. One should not forget that the uncertainty is easily 20% due to uncertainty in multiple scattering contributions (Lamquin *et al.* 2008).
- 37 We have rewritten this in accordance :
- 38 Whereas the lidar can detect sub-visible cirrus, its beam can only penetrate the cloud down to optical
- 39 depth of about 3 to 5 (in visible range). For optically thicker clouds, the radar provides the cloud base.
- 40
- 41 7. Page 7, Section 2.4, line 4, õemissivities larger than 1ö:
- 42 I must say that it is quite disturbing to õbe forcedö to use unphysical values in the retrieval. I understand
- 43 that uncertainties can lead to this but I am not sure that this is then the best way of handling these

- 1 uncertainties. Why not restrict emissivities to 1 in the optimization/minimization process when knowing
- 2 that this is physically correct? I cand see why your present method gives better uncertainty descriptions
- of the retrieved cloud pressures than when using a restricted emissivity value. Dongt inconsistencies give
   rise to new inconsistencies? Please explain and motivate.
- 5 The reason is explained in the reference Stubenrauch *et al.* 1999 which is cited:
- 6 As in Eq 2 the denominator includes two terms (Icld and Iclr) which get very close to each other in the
- 7 case of low-level clouds, the cloud emissivity can easily get unphysical when taking into account
- 8 uncertainties. In Stubenrauch et al. (1999), it was shown that the original method, which excluded values
- 9 larger than 1, underestimated the amount of low-level clouds considerably.
- 10 The limit larger than 1 has been chosen to compensate for radiation noise and ancillary data uncertainties
- 11 and this leads then to a better identification of low-level clouds.
- 12
- 13 8. Page 7, Section 2.4, lines 22-28, õa posteriori cloud detectionö:
- 14 The õa posteriori cloud detectionö has already been briefly introduced (page 4, lines 7-11). Why
- 15 repeating this information here? Delete these lines or move part of this to the relevant section 2.5.
- 16 deleted
- 17
- 18 9. Page 9, Section 2.4.1, lines 18-20, õocean cloud amounts larger during nightö:
- 19 To find larger ocean cloud amounts at night than during day is found in many regions (e.g. over marine
- 20 stratocumulus areas). What made you think this was a problem specifically for ERA-Interim? Please
- 21 explain.
- 22 The problem is not that the cloud amount is larger during night than during day, but that results are
- 23 different when using two different sets of ancillary data ; we had to find out which dataset had a problem,
- and after some time we found that the amplitude of the ERA-Interim SST diurnal cycle is not in
- agreement with observations. It is reassuring that after applying a correction, this had a positive effect on
- the cloud amounts, as now the diurnal variation of cloud amount is more similar.
- 27 Rewritten to : Without this correction, the cloud amount (CA) at night / early afternoon was 78% / 71%,
- compared to 71% / 71% when using AIRS ancillary data. The correction led to 76% / 73%, closer to the
   results using AIRS ancillary data.
- 30
- 31 *10. Page 10, Section 2.4.2:*
- 32 The CO2 correction appears to be a very relevant change (also visualized nicely in Figure 13. This
- appears to be one of the most important improvements of the methodology. Should become mandatory
   in all sounding-based retrievals for climate datasets, in my opinion.
- Thank you for the compliment <sup>(c)</sup> In our case this was necessary, as the spectral transmissivities came
   from look-up tables computed for a fixed CO2 concentration.
- Actually, Menzel *et al.* (2016) also use a varying CO2 concentration adjustment, for a 35-year HIRS
   cloud climatology.
- 39
- 40 11. Page 11, Section 2.5, general comment on the õa posteriori cloud detectionö:
- 41 The methodology appears a bit awkward compared to many other cloud retrieval methods in that cloud
- 42 properties are first derived and then a determination whether a FOV is cloudy is carried out as a second

step. Most common otherwise is that a cloud screening is done first and then followed by a cloud
property retrieval. So, could you confirm that after having performed the cloud property retrieval, all
FOVs are still assumed to be cloudy? Does it mean that you will always find a solution to Equation 2?

4 You have already mentioned some problems in finding a distinct minimum for lowlevel clouds (page 7,

5 *lines 2-3) but what happens in obviously cloud-free situations?* 

6 Actually, we see this method as an advantage, because the method tests if the retrieved values are 7 coherent, whereas most cloud detection methods use many different threshold tests, mostly based on 8 brightness temperatures. We would have liked to adapt the cloud detection which was based on the 9 comparison of temperatures (after correction for water vapour effects) obtained from HIRS to those of 10 the microwave sounding unit MSU (developed for TOVS) to AIRS. Unfortunately, the AMSU channel which sounded closest to the surface did not work from the beginning. Therefore we have developed this 11 method. Indeed, the  $\chi^2$  method provides in most cases (95%) a solution. The cloud detection is based on 12 the coherence of spectral emissivities which are calculated using the retrieved cloud pressure. If the 13 14 retrieved cloud pressure does not correspond to reality (as for clear sky or partly cloudy situations), the 15 spectral variability gets large, as illustrated in Fig. S1.

16 We have now moved section 2.5 to section 2.4.3 and have rewritten part of the text.

17

18 12. Page 11, Section 2.5, line 16 + lines 20-21, õmeaning of spectral coherenceö:

19 I am a bit concerned about the concept indicating that, for a cloud to be identified, the differences 20 between emissivities in the six infrared channels should be small. In this wavelength region we know that 21 the refractive indices of water and ice, respectively, varies considerably. For example, this is one of the

fundamental properties that allows separating water clouds from ice clouds in passive imagery (e.g. as

23 introduced by Pavolonis et al., 2005, J. Appl. Meteorol.). This fact would also certainly introduce

24 considerable differences in cloud emissivities depending on if it is a water or ice cloud in addition to

25 variations in optical thickness or partial coverage within each FOV. So, isnøt there a risk that the

26 demand on spectral coherence is in conflict with reality? Or are you able to find a balanced and

27 optimized method based on reference observations from CALIPSO-CALIOP data and still retain

28 reasonable resulting emissivity differences? I guess that the access to CALIPSO-CALIOP data here is

29 essential since it would be difficult otherwise (e.g. through detailed cloud model simulations) to find an

30 optimal way here. Please comment.

31 The multi-spectral cloud detection is indeed based on wavelengths in an interval which is sensitiv to 32 thermodynamical phase and ice crystal sizes. As can be seen in Fig. 3 of Guignard et al. (2012), the 33 relative cloud emissivity difference between 9 µm and 12 µm can go up to 0.3 for small IWP and ice 34 crystal size. However, instead of using a spectral difference, we use a standard deviation between 6 wavelengths, divided by retrieved cloud emissivity. This should be always smaller than 0.15, even in the 35 case of small IWP and ice crystal sizes which produce the largest slope (we have studied that in detail 36 37 when developing the method in 2010). In this empirical method, the error one makes, if the used cloud 38 pressure does not correspond to the real pressure, is larger, and Fig. S1 (of the supplement) illustrates 39 nicely, that this relative standard deviation is larger than 0.3 for clear sky scenes, while for cloudy scenes 40 distributions the distributions are really narrow, using CALIPSO-GEOPROF to separate cloudy and 41 clear sky scenes.

42

43 13. Page 11, Section 2.5, line 25, õstandard deviationö:

How do you calculate the standard deviation here? Do you use all values in the õAIRS golf ballö (i.e., 9
values) for the calculation for each wavelength? The current description is not clear enough on this.

46 It is a standard deviation over all 6 emissivities per AIRS footprint.

- 1
- 2 14. Page 11, Section 2.5, line 27, õCALIPSO samplesö:
- 3 Unfortunately, here you introduce the use of CALIPSO data without having described what data you
- 4 actually used (this description comes later in Section 3.1). More clearly, it is not obvious to the reader
- 5 that you will get three CALIPSO samples in the AIRS golf ball. For this, you need to know that you use 5
- 6 km CALIPSO data. Because of the importance of A-train data for your method and study, I am of the
- 7 opinion that you should have introduced them already in Section 2 on õData and Methodsö. Can you
- 8 consider changing this?
- 9 Section 3.1 now moved to section 2.4
- 10

#### 11 15. Page 12, Section 2.5, lines 18-19, õminimum optical depthö:

- 12 In the introduction section you mention that with IR vertical sounding data õreliable detection of cirrus
- 13 with IR optical depths as low as 0.1ö is possible indicating that this is much better than what can be
- 14 achieved from other sensors (except from active sensors). I wonder what this restriction in order õto
- 15 reduce noiseö means in this context? Have you estimated further the minimum cloud optical depths
- 16 being detected after introducing this restriction? CALIPSO-CALIOP offers the possibility to do such in-
- 17 *depth studies*.
- 18 We made this sentence more explicit : To reduce misidentification of clear sky as high-level clouds, only
- 19 clouds with  $\varepsilon_{cld} \times 0.10$  are considered.
- 20 Indeed, this came out of a study with CALIPSO-CloudSat :





The above figures present normalized  $\varepsilon_{cld}$  distributions of high-level clouds, after multi-spectral cloud detection, but leaving clouds with  $0.05 < \varepsilon_{cld} < 0.10$  as clouds, separately for cloudy scenes defined by GEOPROF and CALIPSO (full line) and for all scenes (dotted line). The first bin includes scenes with  $0.05 < \varepsilon_{cld} < 0.10$ ; in the tropics this bin has more clear sky than high-level clouds. Therefore we have moved the threshold to 0.1. As the contribution of the first bin is small compared to the integral, this seemed a reasonable choice.

28

### 29 16. Page 13, Section 3.1, lines 16-19, õCALIPSO and CloudSat dataö:

30 This requirement should mean (?) that you require that both CloudSat and CALIPSO say it is cloudy.

31 But what about the fact that CALIPSO sees much more of the very thin cirrus clouds being available?

- 32 Does it mean that these cirrus cases are not included in your evaluation study despite the fact that you
- 33 several times have emphasized the capability of your method to detect very thin cirrus? Or is it different
- 34 for studies of cloud amount (as indicated by description in lines 7-15) and cloud top height? Please
- 35 comment!

1 We use CloudSat-lidar GEOPROF data, which detect a cloud layer when either CALIPSO or CloudSat 2 detect a cloud layer (footprint 2.5 km x 1.5 km), and to add a different sampling (and because we needed

3 a few other variables like COD) we use the CALIPSO 5km cloud data. In the latter we exclude

- 4 subvisible cirrus (admitting only clouds detected with horizontal averaging < =5 km) for the evaluation,
- 5 as we know that IR sounders are not sensitive to those. This corresponds to clouds with COD > 0.05 to
- 6 0.1, according to Winker *et al.* (2008).
- 7 Then, we require that both samplings detect a cloud, just to be sure that the sampling is coherent. These 8 data are then used for all studies in this paper. We have tried to explain it better in the new section 2.4 :

9 í .The CALIPSO cloud data also indicate at which horizontal averaging along the track the cloud was

10 detected (1 km, 5 km or 20 km), which is a measure of the COD. As in Stubenrauch et al. (2010), for a

direct comparison with AIRS cloud data, we use clouds detected at **horizontal averaging over 5 km or** 

12 less. This corresponds to clouds with visible COD larger than about 0.05 to 0.1 (Winker *et al.*, 2008). The scene type of an AIRS footprint is estimated as cloudy when the CALIPSO sample as well as

13 2008). The scene type of an AIRS footprint is estimated as cloudy when the CALIPSO sample as well as 14 the GEOPROF sample include at least one cloud laver. Clear sky is defined by cloud-free CALIPSO

- 15 and GEOPROF samples within the AIRS footprint.
- 16
- 17 17. Page 13, Section 3.1, line 23, õunderestimated CODö:

18 Just for your information: The latest version of the CALIPSO-CALIOP dataset (version 4.1) gives

19 indeed higher CODs. This change can possibly be connected to what you write here (currently I do not

- 20 know the details behind this change).
- 21 Thanks for this information!
- 22
- 23 18. Page 14, Section 3.2, lines 2-3, õagreementö:

24 I have to ask you to specify better what you mean by õagreementö. There are so many skill scores

around so you¢d better be strict in describing exactly the measure you use. I guess you refer to what is

26 normally called õHit Rateö which is the number of correct cloudy AND clear cases divided by the total

- 27 *number of cases.*
- 28 Indeed, it is the hit rate which we have calculated. We have changed this in the text :
- 29 The hit rates between the -a posterioriø cloud detection and the CALIPSO-CloudSat cloud detection are
- 30 85% (84%) over ocean, 82% (79%) over land and 70% (73%) over ice / snow.
- 31
- 32 19. Page 14, Section 3.3, generally on results in Figure 4 (Page 40):
- 33 *First, please revise the wording of the caption of this figure. The first sentence here is too complicated*
- 34 and the description should possibly be made more clear (the same is actually true for Figure 5). Also

35 make clear (in all figures) what you mean by õ1:30 LTö (AM or PM??). The question raised in the

- 36 previous comment 16 remains: Are thin cirrus detected by CALIPSO but not by CloudSat part of this 37 study or not?
- If not, what can be said about the quality of these retrieved cloud heights (as compared to CALIPSOdata alone)?
- 40 1:30 is 1:30AM, as defined in section 2.1 (1:30 and 13:30); however, as this leads to confusion with
- 41 American readers, we will change this in the whole paper to 1:30AM and 1:30PM etcí
- 42 As explained before, for this comparison CALIPSO cloud data with COD > 0.05 to 0.1 are used.

- 1 The other referee suggested to take out the right panels of Figure 4 (which look very similar to the results 2 published in Subenrauch et al. 2010). We have worked on all figure captions ;
- 3 Compared to the publication of Kahn et al. 2008 about the NASA AIRS Science team results of cloud
- 4 height from Version 5, we show that in both cases, high-level clouds as well as mid- and low-level
- 5 clouds the height is determined without bias, if one consideres the cloud height given by AIRS as the
- 6 height of maximum lidar backscatter (Stubenrauch et al., 2010), by the mean layer height (for optically
- 7 thin clouds) or the mean between cloud top and the height at which the cloud reaches opacity, as shown
- 8 in Figure S2 (considering mid- $p_{cld}$ ), or by  $z_{COD0.5}$  (Figure 3).
- 9
- 10 21. Page 16, Section 3.3, lines 5-24, Figure 7:
- 11 Very interesting and impressive results shown here! Results for medium and high clouds are probably
- 12 quite superior to those being presented from passive imagery in other CDRs. Only for low-level clouds
- 13 we still see quite some discrepancies which is understandable for several reasons. This indicates that the
- 14 best representation of the true vertical distribution of cloudiness in a climate sense could be a
- 15 combination of sounding and passive imagery data. Do you agree? Maybe you should mention this.
- 16 Interesting is that problems for low clouds for sounding applications is not showing up very clearly later
- 17 in Figure 9, except possibly during night for the land-ocean difference. Maybe you should explain why?
- 18 Indeed, a combination of IR sounder and passive imagery would increase the quality during day. During
- night, sounding provides better results, though the large footprints are a handicap for the identification of
- 20 low-level cloud fields (as shown in the analysis of new Fig. 5). The concept of the CIRS retrieval was
- 21 guided by the goal to create a cloud climatology with small biases, also for low-level clouds. Indeed, the
- 22 noise is much larger for low-level clouds than for high-level clouds, but the biases are small compared to
- 23 other IR sounder cloud climatologies. The comparison with CALIPSO-CloudSat comes to its limit in
- 24 the analysis of new Fig 5, as the size of the footprints is very different.
- 25
- 26 20. Page 15, Section 3.3, line 9, õcoincidesö:
- 27 See previous comment 2.
- 28 22. Page 16, Section 3.3, line 32, õcoincidesö:
- 29 See previous comment 2.
- 30 26. Page 26, Section 6, line 1, õcoincidesö:
- 31 See previous comment 2.
- 32 Replaced by -can be approximatedø
- 33
- 34 23. Page 18, Section 4, lines 15-16, õsensitivity of lidarsö:
- 35 You write that õactive lidar is the most sensitiveö. Quite true but you haven¢t explained whether
- 36 CALIPSO results in Figure 9 are already õfilteredö (so that the thinnest clouds as given by the original
- CALIOP CLAY product are removed) or not. Has there been any filtering of -sub-visible cloudsø (I
   assume there has)? This is a relevant question to ask also for the statement in the Conclusions section on
- 39 page 25, line 25. We need to know exactly what is the used CALIPSO dataset used as reference!
- 40 In section 4, the CALIPSO L3 data of the GEWEX Cloud Assessment data base are used ; two teams
- 41 have provided their data, with the main difference by vertical (CALIPSO-GOCCP) or horizontal
- 42 averaging (CALIPSO-ST), as mentioned in the text. The details of the GEWEX Cloud Assessment data 42 have are found in (Stubaumuch et al. 2012) and accessibility in the WCDD monet (Stubaumuch et al. 2012)
- 43 base are found in (Stubenrauch et al. 2013) and especially in the WCRP report (Stubenrauch et al. 2012),

- 1 where each team gave details how they created the L3 data. As I remember, CALIPSO-ST includes 2 subvisible cirrus, which explains the larger CA, compared to all other datasets.
- 3 In section 3, L2 products have been used, as described in the new section 2.4.
- 4
- 5 24. Page 21, Section 4, line 4, Figure 14, õSeasonal cycle of cloud temperaturesö:
- 6 How come there is a rather large consensus between different methods when studying cloud
- 7 temperatures for the polar areas (leftmost and rightmost columns) when the spread is very large when it
- 8 comes to cloud amount (top row of the same columns)? I suspect it is an indication of that cloud
- 9 temperatures and surface temperatures are very similar here. This implies (in my opinion) that the
- separation of cloudy and cloud-free areas is indeed not very accurate. So, where is really the truth as
- 11 regards polar cloudiness? Apart from this reflection, I consider Figure 14 as a very nice compilation of 12 alobal cloudiness and its variation
- 12 global cloudiness and its variation.
- 13 This actually shows that cloud amount, depending on thesholds, might be different by 10%, while the
- 14 averages of retrieved cloud properties, which only can be given when a cloud is detected, are more 15 similar. (Missing 10% does not mean that the average properties of the clouds are completely different).
- 16 In addition the polar regions are to be considered with care, as written in the discussions : the CALIPSO
- 17 data does not conform with the other data sets in the GEWEX Cloud Assessment data base, because
- they exclude measurements from 1:30PM during polar night (polar winter) and from 1:30AM during
- 19 polar day (polar summer).
- 20 As a similar figure was already published in Stubenrauch et al. (2013) (though not CT), we moved this
- Fig. to the supplement, in order to shorten the paper, and as suggested by referee#1.
- 22
- 23 25. Pages 21-24, Section 5, õbeyond scope??ö
- In my opinion, Section 5 feels like out of scope of this study. Although introducing highly interesting topics (especially section 5.2), this work would benefit from being presented as a separate (or
- companion) publication. This manuscript is very, very long and it will put the readers (as it truly has for
   reviewers!) to a real test when digesting it. I would say that especially section 5.2 on the ENSO effects
- and its coupling to cloud/radiation feedbacks also requires a different category of expertize for
- reviewing it with more focus on modelling and studies of climate change and climate feedback effects.
- 30 Consequently, I have not provided specific comments on this section and I suggest that it is removed for
- 31 *the shortening of this paper.*
- We do not agree with the suggestion of a complete removal of section 5 -Applicationsø as the presented method is not new and one of the goals of this article was to present scientific applications (as indicated in the title).
- However, we have considerably shortened the section by removing the introduction on ENSO and the discussion about Fig. 16 as well as Fig. 16 itself.
- 37 Since the results similar to those presented in new Fig. 12 have recently been published using other data
- 38 sets, it would be difficult to use the presented material in a separate publication. We plan to work on a
- 39 more complex analysis to pursue this subject further, but we think it is important to present these results
- 40 in the current publication.
- 41
- 42 27. Page 24-27, Section 6, general comment:
- A very comprehensive and good summary of the content of the paper. However, it could be shortened
  (page 26, lines 14-32) as a consequence of comment 25 above.

- 1 Thank you ! We have revised the part considering section 5.
- 2

#### **3** Technical corrections

4 *1. Page 1, Abstract, line 11-14:* 

5 The current introductory sentences assumes that the reader already knows about the LMD cloud 6 retrieval scheme. I suggest a slight reformulation to make it less unclear, e.g. like the following

7 õThe Laboratoire de Météorologie Dynamique (LMD) cloud retrieval scheme CIRS (Clouds from IR

8 Sounders) has been adapted to cope with any Infrared (IR) sounding instrument. This has been

9 accomplished by applying improved radiative transfer calculations as well as by introducing an original

10 method accounting for atmospheric spectral transmissivity changes associated with varying CO2 11 concentrationsö.

- 12 This is not fully correct, as the cloud retrieval developed in the 1990s did not have the name -CIRSs;
- 13 this name corresponds to the adapted version.
- 14 We have rewritten the beginning as:
- 15 Global cloud climatologies have been built from 13 years of Atmospheric IR Sounder (AIRS) and 8

16 years of IR Atmospheric Interferometer (IASI) observations, using an updated Clouds from IR Sounders

17 (CIRS) retrieval. The CIRS software can handle any Infrared (IR) sounder data. Compared to the 18 original retrieval, it uses improved radiative transfer modelling, accounts for atmospheric spectral

13 transmissivity changes associated with CO<sub>2</sub> concentration and incorporates the latest ancillary data

20 (atmospheric profiles, surface temperature and emissivities).

21 2. Page 2, Abstract, line 3, õ5 % asymmetryö:

22 Please clarify better what you mean with asymmetry. Does it mean that there is generally 5 % more high

- 23 clouds in the Northern Hemisphere? I assume this is what you mean (supported also by Figure 10) but
- 24 you should make it crystal clear for the reader in the Abstract!
- 25 Rewritten as :

26 The 5% annual mean excess in upper tropospheric cloud amount in the Northern compared to the

- 27 Southern hemisphere has a pronounced seasonal cycle with a maximum of 25% in boreal summer, in
- accordance with the moving of the ITCZ peak latitude to a maximum of 10°N.
- 29
- 30 3. Page 2, Section 1, line 17, õpropertiesö:
- 31 Do you really mean õpropertiesö? I would rather say õcloud detectionö.

32 Yes : we meant here that in addition to identification (which means detection), also their properties 33 (height and emissivity) are well determined (even better than those for low-level clouds)

- 34
- 35 4. Page 2, Section 1, line 32, õdetermineö:
- 36 *Like the previous comment, I am not sure about the correct wording here. The word õdetermineö is very*
- 37 strong and almost indicates that the CALIPSO and CloudSat satellites together are creating/defining the
- 38 clouds. Rather, you should express that they õare capable of observing the cloud vertical structureö.
- 39 Changed according to suggestion

1	5. Page 3, Section 1, line 5, õthe cloud retrieval methodö:
2	Be a bit more specific, e.g. write õthe evolution of the original cloud retrieval methodö.
3	changed
4	
5	6. Page 3, Section 1, line 9, õradiative transferö:
6 7	I think you should write õradiative transfer calculationsö or õradiative transfer modellingö. To only write õradiative transferö is too general and (I guess) just a shortening of more correct terms.
8	changed
9	
10	7. Page 3, Section 1, line 11, õinitialö: See 5 above (consider using same notation).
11	Changed to original
12	
13	8. Page 3, Section 1, line 11, õradiative transferö: See 6 above (consider using same notation).
14	changed
15	
16	9. Page 4, Section 2.1, line 11, õThe NASA Science teamí .ö:
17	I would recommend to start a new paragraph here to increase the readability.
18	done
19	
20	10. Page 4, Section 2.1, line 15, õSusskind et al, 2003ö:
21 22 23 24 25 26 27	I see inconsequent reference formulations on several places in the manuscript. When you make a direct reference to other publications directly in the text (like here) you should (according to my experience) preferably write: õThe methodology is essentially unchanged from that described in Susskind et al. (2003).ö You have done this correctly in other places (e.g., Page 5, line 27). I think you should be consistent here. Use the formulation above when specifically discussing a publication and use reference in parenthesis when not making a direct statement of the referred publication (a õsofterö reference). Check also the following references for the same reason:
28	- Page 4, line 27
29	- Page 6, line 5
30	Thanks, all changed
31	
32	11. Page 4, Section 2.1, line 20, õshortwave window channelsö:
33 34	Please write õshortwave infrared window channelsö since õshortwaveö most often is reserved to define visible channels.
35	changed
36	
37	12. Page 4, Section 2.1, line 22, õpartial cloud coverö:
38	A better formulation is probably õunder partially cloudy conditionsö.
	21

1	changed
2	
3	13. Page 4, Section 2.1, line 24, õsnow or iceö:
4	Maybe a better formulation is õi snow or ice covered surfaces also provided by NASA L2 dataö.
5	changed
6	
7	14. Page 4, Section 2.1, line 26, õideologyö:
8	I would suggest using the term õconceptö rather than õideologyö.
9	changed
10	
11	15. Page 4, Section 2.1, line 27, õand allowö:
12	I suggest replacing this with õ which allowsö.
13	Rewritten to : The CIRS cloud retrieval allows cloud levels up to 30 hPa above the tropopause.
14	
15	16. Page 5, Section 2.2, line 1, õ12 kmö:
16	Is the 12 km valid for each individual footprint or the 2x2 array?
17	For each individual footprint, clarified in text
18	
19	17. Page 5, Section 2.2, line 9, õthe cloud retrievalö:
20	You should write õthe CIRS cloud retrievalö.
21	changed
22	
23	18. Page 5, Section 2.2, lines 9-10, õretrieved atmospheric profilesö:
24	Be more specific. You should write õIASI-retrieved atmospheric profilesö.
25	changed
26	
27	19. Page 5, Section 2.2, line 15, õThereforeö:
28 29 30	You should not start a new paragraph here if you refer directly to what was written in the previous sentences. Make it also very clear that you never (well, not in time for your development) got access to EUMETSAT Version 6 data otherwise this statement appears rather strange.
31 32 33 34	We could have gotten access after the development and evaluation of the cloud climatologies were nearly at the end. Since it would have taken another year to build the ancillary data from this data set and evaluate again the IASI cloud climatology (also in combination with AIRS), we opted for ERA-Interim ancillary data to build the combined AIRS-IASI cloud climatologies.

- 35 As the sentence about V6 EUMETSAT retrievals seems to cut the flow, we took it out.
- 36
- 37 20. Page 5, Section 2.2, line 21, õsame sourceö:

1 I guess you rather mean a õless instrument-dependent sourceö?

We think it is more *-*retrieval quality-dependent sourceø but this would be difficult to write, as the different Science Teams are doing the best with the fundings they have available. (In the case of NOAA for example, the team had to move working on CrIS).

- 5
- 6 21. Page 6, Section 2.3, line 1, õproxyö:

7 I dongt like the word õproxyö in this context. It indicates that it is a kind of simulation or approximation

8 of the real vertical velocity. The vertical pressure velocity is just another formulation of the vertical 9 velocity which arises when you use pressure as your vertical coordinate instead of the standard

- 10 geometrical height in meters. So, to my knowledge, it as the õreal thingö and not a õproxyö.
- 11 But I guess you refer to the fact that the direct calculation of is difficult without making 12 approximations. The most common here is the geostrophic assumption leading to the so-called  $\tilde{o}$  -13 equation  $\tilde{o}$ . In this sense, I guess you may be correct in interpreting it as an approximation. But still,

14 present day NWP models are capable of calculating so I just wonder what value you are using here?

15 On the other hand, the approximated value at the 500 hPa level is probably quite accurate anyway

16 (conditions here are largely quasi-geostrophic on the large scale) so perhaps this discussion is less

17 *important. Anyway, give it a thought.* 

18 We needed the vertical velocity for the interpretation in the ENSO analysis. Since Fig. 16 and its

19 interpretation is taken out according to the referees suggestion, this sentence is also taken out.

- 20
- 21 22. Page 7, Section 2.4, line 12, õariseö:
- 22 Maybe reformulate to õthese cases occur in about 7 to 15 % of all casesö?
- 23 Changed to : these cases occur in about 7 to 15 % of all cloudy cases
- 24
- 25 23. Page 8, Section 2.4.1, line 14, õless than ..?..ö:
- Strange formulation. You¢d better write õ0.99 for wavelengths less than 10 m and 0.98 for wavelengths
   larger than 10 mö.
- 28 Changed to : the surface emissivity is set to 0.99 for  $\lambda_i < 10 \ \mu m$  and 0.98 for  $\lambda_i \times 10 \ \mu m$
- 29
- 30 24. Page 13, Section 3.1, line 6, õspatial resolution CALIPSOö:
- 31 Shouldnøt it be õ5 km x 0.3 kmö? I thought the basic FOV of CALIOP was 300 meter.
- 32 I have understood that the diameter of the spots is 90m, and the sampling along track is 333 m.
- 33 For example : https://calipso.cnes.fr/en/CALIPSO/lidar.htm or Winker *et al.* (2009), p. 2312

- 35 25. Page 15, Section 3.3, Figure 5 (Page 41):
- 36 I suggest that you try to include some additional explanatory features or legends in the figure (e.g.,
- 37 legend with the three coloured dots explained). To look for all explanations in the caption is not very
- 38 reader-friendly. Try to speed up the correct interpretation of figures with the use of more graphical
- 39 *legends or marks. This remark is probably valid for many other figures in the manuscript.*
- 40 We have taken into account the refereeøs suggestion and revised all figures accordingly.

- 1
- 2 26. Page 15, Section 3.3, line 27, õConsideringí ö:
- *I suggest starting a new paragraph here in order to avoid too long chunks of text (unnecessary tiring for the reader).*
- 5 This whole paragraph has been rewritten (as Fig. 6 has been taken out, and Fig. 5 has been rebuilt with
- 6 medians and interquartiles to show the width of the distributions within the same figure). We hope that it7 is now much easier to read.
- 8
- 9 27. Page 15, Section 3.3, line 28; Figure 6 (Page 42):
- 10 In the caption you describe one of the curves as *obroken lineo*. I am not sure whether this is the most
- 11 common way of describing such a curve. More often the term õdashed lineö is used. Consider changing
- 12 to õdashedö. This suggestion is valid for many other figures in the manuscript.
- 13 Thanks ; changed everywhere ; though dashed lines seems also to exist, at least according to google ;)
- 14
- 15 28. Page 16, Section 3.3, lines 28-29, õheight of CODö:
- 16 Semantically, it sounds strange (or even incorrect) to express COD as representing a height. Of course, I
- 17 understand what you mean but it can actually be misinterpreted. Since you have already defined
- 18 zCOD0.5 why not use this terminology here, e.g. õthe retrieved cloud height exceeds zCOD0.5 for
- 19 optically thin clouds while it is lower than zCOD0.5 for optically thick cloudsö.
- 20 This is obvious from the figure, but we want to stress the following :
- 21 In that case,  $z_{cld}$  of thin cirrus should be approximated to a height at which COD reaches a value < 0.5
- and  $z_{cld}$  of opaque high clouds to a height at which COD reaches a value > 0.5.
- 23
- 24 29. Page 20, Section 4, line 17, õthree CIRS datasets?ö
- 25 It is not obvious what three datasets you mean (not explained in text)! Please clarify.
- 26 three CIRS climatologies (AIRS, using AIRS-NASA and ERA-Interim ancillary data, as well as IASI,
- 27 using ERA-Interim ancillary data)
- 28

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# 1 Cloud climatologies from the InfraRed Sounders AIRS and IASI:

2 Strengths, Weaknesses and Applications

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#### 11 Abstract

12 Global cloud climatologies have been built from 13 years of Atmospheric IR Sounder (AIRS) and 8 13 years of IR Atmospheric Interferometer (IASI) observations, using an updated Clouds from IR Sounders (CIRS) retrieval. Thee CIRS software -scheme developed at the Laboratoire de Météorologie 14 15 Dynamique (LMD) can now be easily been adapted tocan handle any Infrared (IR) sounder data. The CIRS (Clouds from IR Sounders) retrieval Compared to the original retrieval, it uses improved radiative 16 17 transfer modelling, accounts for atmospheric spectral transmissivity changes associated with CO<sub>2</sub> 18 concentration and incorporates the latest ancillary data (atmospheric profiles, surface temperature and 19 emissivities). applies improved radiative transfer, as well as an original method accounting for 20 atmospheric spectral transmissivity changes associated with CO<sub>2</sub> concentration. The latter is essential 21 when considering long term time series of cloud properties. For the 13 year and 8 year global cloud 22 climatologies of cloud properties from observations of the Atmospheric IR Sounder (AIRS) and of the 23 IR Atmospheric Interferometer (IASI), respectively., we used the The global cloud amount is estimated 24 to 0.67 6 0.70, for clouds with IR optical depth larger than about 0.1. The spread of 0.03 is associated 25 with ancillary data. Cloud amount is partitioned into about 40% high-level clouds, 40% low-level clouds and 20% mid-level clouds., Tthe latter two categories only are only detected able onlyed when not hidden 26 27 by in the absence of upper clouds. latest ancillary data (atmospheric profiles, surface emissivities and 28 atmospheric spectral transmissivities). The A-Train active instruments, lidar and radar of the CALIPSO 29 and CloudSat missions, provide a unique opportunity to evaluate the retrieved AIRS cloud properties 30 such as cloud amount and height as well as to explore the vertical structure of different cloud types.

1 CIRS cloud detection agreementsment with CALIPSO-CloudSat is byis about 85% over ocean, 80% 2 over land and 70% over ice / snow84% - 85% over ocean, 79% - 82% over land and 70% - 73% over 3 ice / snow, depending on atmospheric ancillary data. Global cloud amount has been is estimated to 67% -4 70%. CIRS cloud height coincides can be approximated either by with the mean layer height (for 5 optically thin clouds) or by the mean middle between the cloud top and the the apparentø cloud base 6 (real base for optically thin clouds or height at which the cloud reaches opacity.) independent of cloud 7 emissivity., This is valid for high-level as well as for low-level clouds identified by CIRS. For high-level 8 clouds, especially in the tropics, which tThis height is lies on average about 1 km and 1.5 km to 32.5 km 9 below cloud top for low level clouds and about 1.5 km to 2.5 km below cloud top for high level clouds, 10 respectively. For the latter the slight increase relates positively slightly increaswith cloud emissivity, ing because as the apparent vertical cloud extent to reach opacity seems to increase with cloud emissivity is 11 12 slightly larger for large cloud emissivity. IR sounders are in particularly advantageous for theto retrieveal 13 of upper tropospheric cloud properties, with a reliable cirrus identification down to an IR optical depth of 14 about 0.1, day and night. Total cloud amount consists of about 40% high-level clouds and about 40% 15 low-level clouds and 20% mid-level clouds, the latter two only detected when not hidden by upper 16 elouds. Upper tropospheric These clouds are most abundant in the tropics, where high opaque clouds 17 make out 7.5%, thick cirrus 27.5% and thin cirrus about 21.5% of all clouds. The asymmetry 5% annual 18 mean excess in upper tropospheric high-level cloud amount between in the Northern and compared to the 19 Southern hemisphere with annual mean of 5% has a pronounced seasonal cycle with a maximum of 20 25% in boreal summer, in accordance with the moving of the a maximum-ITCZ peak latitude, with 21 annual mean of 4°N, to a maximum of shift to 120°N, which can be linked to the shift of the ITCZ peak 22 latitude. This suggests that this excess is mainly determined by the position of the ITCZ. Comparing 23 Considering interannual variability, tropical geographical change patterns- tropical of high opaque clouds 24 with that of thin cirrus and thin cirrus are more frequent among relative to all clouds when the global (or 25 tropical) mean surface temperature gets warmer. Changes in relative amount of tropical high opaque and 26 thin cirrus with respect to mean surface temperature show different geographical patterns, suggestias a 27 function of changing tropical mean surface temperature indicatnges that their response to climate change 28 mightay be quite different, with potential consequences on the atmospheric circulation.

29

#### 30 1 Introduction

Clouds cover about 70% of the Earthøs surface and play a key role in the energy and water cycle of our
planet. The Global Energy and Water Exchanges (GEWEX) Cloud Assessment (Stubenrauch *et al.*,
2013) has highlighted the value of cloud properties derived from space observations for climate studies

1 and model evaluation and has identified reasons for discrepancies in the retrieval of specific scenes, 2 (especially in particular thin cirrus, alone or with underlying low-level clouds). Compared to other 3 passive remote sensing instruments, the high spectral resolution of IR vertical sounders leads to 4 especially reliable properties of cirrus, with IR optical depth as low as 0.1, day and night. CO<sub>2</sub> sensitive 5 Cehannels varying in CO2 absorption of IR vertical sounders allow are used the to determination 6 determine of height and emissivity of a single cloud layer, which corresponds to the uppermost cloud 7 layer in the case of multiple cloud layers. While measured radiances near the center of the CO2 8 absorption band are only sensitive to the upper atmosphere, radiances from the wing of the band are 9 emitted from successively lower levels in the atmosphere.

10 Spaceborne instruments-IR sounders have been observing our planet since the 1980øs: the High 11 Resolution Infrared Radiation Sounders (HIRS) aboard the National Oceanic and Atmospheric Administration (NOAA) polar satellites provide data since 1979, the Atmospheric InfraRed Sounder 12 13 (AIRS) aboard the National Aeronautics and Space Administration (NASA) Earth Observation Satellite 14 Aqua since 2002, the IR Atmospheric Sounding Interferometers (IASI) aboard the European 15 Organisation for the Exploitation of Meteorological Satellites (EUMETSAT) Meteorological Operation 16 (MetOp) since 2006 and the Cross-track Infrared Sounder (CrIS) aboard the Suomi National Polar-17 orbiting Partnership (NPP) satellite since 2011., while aA next generation of IR sounders (IASI-NG) is 18 foreseen as part of the EUMETSAT Polar System ó Second Generation (EPS-SG) program for 2021 19 (Crevoisier et al., 2014).

Active sensors are part of the A-Train satellite formation (Stephens *et al.*, 2002), synchronous with Aqua, since 2006: The CALIPSO lidar and CloudSat radar, together, determine are capable of observing the cloud vertical structure (Stephens *et al.*, 2008e.g. Henderson *et al.*, 2013; Mace and Zhang, 2014). Whereas the lidar is highly sensitive and can detect sub-visible cirrus, its beam can only penetrate the cloud down to optical depth of about 3 to 5 (in visible range)only reaches the cloud base of clouds which are not opaque with an optical depth less than 3 to 5. For larger optical depth (COD) larger than about 5 optically thicker clouds, the radar is providesing a the cloud base location.

Our goal to establish a coherent long-term cloud climatology from different IR sounders has led to the evolution of the <u>original LMD</u> cloud retrieval method <u>developed at the Laboratoire de Météorologie</u> Dynamique (Stubenrauch *et al.*, 1999, 2006, 2008, 2010) towards an operational and modular cloud retrieval algorithm suite (CIRS, Feofilov and Stubenrauch, 2017). The CIRS retrieval which has so far been applied to AIRS and IASI data as well as to HIRS data (Hanschmann *et al.*, 2017). The cloud property retrieval employs radiative transfer <u>modelling</u> and atmospheric and surface ancillary data (atmospheric temperature and water vapour profiles, surface temperature and surface emissivity, identification of snow and ice). Compared to the <u>initial-original methodretrieval</u>, the CIRS retrieval
 applies <u>an-improved</u> radiative transfer <u>calculations</u> and <u>an original novel</u> calibration method, <u>accounting</u>
 for latitudinal, seasonal and interannual atmospheric CO<sub>2</sub> variations, which to-adjusts the atmospheric

4 spectral transmissivity look-up tablesies from look-up tables, computed once for a fixed atmospheric

5 gaseous composition, according to latitudinal, seasonal and interannual atmospheric CO<sub>2</sub> variations.

6 Compared to the AThe 6-year AIRS-LMD cloud climatology (Stubenrauch et al., 2010), which 7 participated in the GEWEX Cloud Assessment. In this article, we present, the results of i) an updated and 8 extended 13-year AIRS cloud climatology (2003 ó 2015), using two different sets of the latest ancillary 9 data (originating from retrievals and from meteorological reanalyses), and ii) a new 8-year IASI cloud 10 climatology (2008 ó 2015) are presented in this article. After the description of data and methods in 11 section 2, section 3 is dedicated to the evaluation of cloud detection and cloud height using the unique A-12 Train synergy of synchronous passive and active measurements. Section 4 presents average cloud 13 properties and their regional, seasonal, inter-annual and long-term variability, in comparison with other 14 datasets, as well as uncertainty estimates with respect to the used ancillary data. Section 5 concentrates 15 on the variability of the upper tropospheric clouds with respect to changes in atmospheric conditions in 16 order to illustrate how these data may be used for climate studies. Conclusions and an outlook are given 17 in section 6.

#### 18 2 Data and methods

#### 19 **2.1 AIRS Data**

The AIRS instrument (Chahine *et al.*, 2006) provides very high spectral resolution measurements of Earth emitted radiation in 2378 spectral bands in the thermal infrared (3.74-15.40  $\mu$ m). The spatial resolution of these measurements varies from 13.5 km x 13.5 km at nadir to 41 km x 21 km at the scan extremes. The polar orbiting Aqua satellite provides observations at 1:30<u>AM</u> and 13:30<u>PM</u> local time (LT). Nine AIRS measurements (3 x 3) correspond to one footprint of the Advanced Microwave Sounder Unit (AMSU), and are grouped as a -golf ballø

The CIRS cloud retrieval uses measured radiances around-along the the-wing of the 15  $\mu$ m CO<sub>2</sub> absorption band. We have chosen AIRS channels closely corresponding to the five channels used in the TIROS-N Operational Vertical Sounder (TOVS) Path-B cloud retrieval, at wavelengths of 14.19, 14.00, 13.93, 13.28 and 10.90  $\mu$ m, and three additional channels at 14.30, 14.09 and 13.24  $\mu$ m (with peaks in the weighting function at 235, 255, 375, 565, 415, 755, 885 hPa and surface, respectively). The cloud property retrieval (section 2.54) is applied to all data. In a second step,, after which an a posterioriøThe multi-spectral cloud detection, based on the spectral coherence of retrieved cloud emissivities, obtained

by using the retrieved cloud pressure, decides whether the AIRS footprint is cloudy (section 2.54.3) or
 mostly clear (section 2.5). For the latter, radiances in the atmospheric window between 9 and 12
 μm are used, at six wavelengths of 11.85, 10.90, 10.69, 10.40, 10.16, 9.12 μm.

4 Ancillary data necessary for the cloud retrieval, which include atmospheric temperature and water

5 <u>vapour profiles as well as surface skin temperature, are provided by t</u>The NASA Science Team provides

6 L2 standard products (Version 6 (V6); Olsen *et al.*, 201<u>7</u>6), which include atmospheric temperature and

- 7 water vapour profiles as well as surface skin temperature. These are necessary ancillary data for the
- 8 CIRS-cloud retrieval. They were retrieved from cloud-cleared AIRS radiances within each AMSU
- 9 footprint. The methodology is-remains essentially unchanged from that the same as described in

10 (Susskind *et al.*; (2003). Compared to Version 5\_(V5), the most significant changes are: i) V6 uses an

11 IRómicrowave neural network solution (Blackwell et al., 2014) as a first guess for the retrieval of

12 atmospheric temperature and water vapour profiles as well as for surface skin temperature, instead of the

13 previously used regression approach (Susskind et al., 2014). This leads to physical solutions for many

14 more cases than in Version 5 (V5). ii) The retrieval of surface skin temperature only uses shortwave IR

15 window channels (Susskind et al., 2014). These modifications have resulted in significant improvement

16 of accurate temperature profiles and surface skin temperatures under partially cloudy cover-conditions

17 (Van T. Dang *et al.*, 2012): Compared to V5, the surface skin temperature is larger over land in the
 18 afternoon (especially over desert) and over maritime stratocumulus regions.

19 In addition, wWe also-use the microwave identification of snow or ice covered surfaces, also provided

20 from by the NASA L2 data.

Since the retrieved cloud pressure should be within the troposphere to-/\_lower stratosphere, we have determined the tropopause pressure from the atmospheric profiles, using the <u>ideology\_concept</u> described in (Reichler *et al.*; (2003) and in ; Feofilov and Stubenrauch; (2017). and The CIRS cloud retrieval allows cloud levels to be up to 30 hPa above the tropopause.

25 **2.2 IASI data** 

IASI, developed by CNES in collaboration with EUMETSAT, is a Fourier Transform Spectrometer based on a Michelson interferometer, which cover<u>sing</u> the IR spectral domain from 3.62 to 15.5 m. As a cross-track scanner, the swath corresponds to 30 ground fields per scan, each of these measures a 2 × 2 array of footprints. The latter have a (12-km diameter at nadir). IASI raw measurements are interferograms that are processed to radiometrically calibrated spectra on board the satellite. Two instruments were launched so far onboard the European Platforms Metop-A and Metop-B (in October 2006 and September 2012, respectively), with measurements of-at 9:30<u>AM</u> / 219:30<u>PM</u> LT and 10:30<u>AM</u> / <u>1022</u>:30<u>PM</u> LT (local equator crossing time). IASI has been providing water vapour and
 temperature sounding profiles for operational meteorology (accuracy requirements respectively of 1 K
 and 10% in the troposphere), while observing simultaneously as well as whole suite of trace gas
 concentrationses, surface and atmospheric properties, including those of aerosols and clouds (Hilton *et al.*, 2012). For the cloud retrieval, we use radiances at the wavelengths 14.30, 14.20, 14.06, 14.00, 13.93,
 13.40, 13.24 and 10.90 µm, and for the multi-spectral cloud detection the radiances at 11.85, 10.90,
 10.70, 10.41, 10.16, and 9.13 µm.

At the time we started incorporating IASI data to the <u>CIRS</u> cloud retrieval, two data sets of <u>IASI</u> retrieved atmospheric profiles and surface temperature were available: one provided by EUMETSAT (Version 5) and one by NOAA. EUMETSAT L2 temperature and water vapour Version 5 products were only available for clear and partly cloudy scenes, leaving atmospheric and surface retrievals in only 9% of all cases<u></u>, while the recent Version 6 has extended the retrieval of thermodynamical parameters (such as temperature and water vapor) to cloudy scenes. Therefore we first used IASI L2 ancillary data provided by NOAA. The comparison with collocated

15 temperature profiles of the Analyzed RadioSoundings Archive (ARSA, available at the French data 16 centre AERIS) has shown that, while AIRS-NASA and ERA-Interim (section 2.3) temperature profiles 17 do agree in general with the ARSA profiles within 1 K, differences between IASI-NOAA and ARSA 18 profiles were often larger than 1 K in the lower troposphere (not shown). In additionHowever, a study of 19 the influence of the different ancillary data on the CIRS a-cloud amount has demonstrated that the 20 comparison with cloud amounts d of low-level clouds over ocean was underestimated, when using those 21 deduced from IASI-NOAA (Feofilov et al., 2015a). This might be most probably explained educed from 22 AIRS via CIRS has demonstrated that the amount of low-level clouds over ocean was underestimated 23 (Feofilov et al., 2015a), probably due toby an underestimation of theed sea surface temperature (SST) 24 linked to cloud contamination. In addition, the comparison with collocated temperature profiles of the 25 Analyzed RadioSoundings Archive (ARSA, available at the French data centre AERIS) has revealed 26 that the AIRS-NASA and IASI-NOAA L2 atmospheric profiles were quite different. This brought us to 27 the conclusion From this we concluded, that the AIRS 6 IASI synergy to explore cloud diurnal variability 28 in a coherent way needs one needs ancillary data from similar retrievals or from the same source are 29 necessary, if one wants to make use of the AIRS ó IASI synergy to for exploring e the cloud diurnal 30 eyclevariability in a coherent way. Therefore, Thus we also implemented ancillary data from the 31 European Centre for Medium-Range Weather Forecasts (ECMWF) meteorological reanalyses into the 32 CIRS cloud retrieval.

## 33 **2.3** ERA-Interim meteorological reanalyses

1 ECMWF provides the meteorological reanalyses ERA-Interim, covering the period from 1989 until 2 now. Dee et al. (2011) give a detailed description of the model approach and the assimilation of data. 3 The data assimilation scheme is sequential: at each time step, it assimilates available observations to 4 constrain the model, which then provides a short-range-built with forecast information obtained in the 5 previous step. The analyses are then used to make a short-range model forecast for the next assimilation time step. Gridded data products (at a spatial resolution of 0.75° latitude x 0.75° longitude) include 6-6 7 hourly surface temperature, atmospheric temperature and water vapour profiles, as well as dynamical 8 parameters such as horizontal and vertical large-scale winds. These data are given at universal time of 9 0:00, 6:00, 12:00 and 18:00. A common proxy for the intensity of the vertical motions in the atmosphere 10 is the vertical pressure velocity at 500 hPa level, @500 (e.g. Bony and Dufresne, 2005; Martins et al., 2011). To match these data, given at universal time of 0:00, 6:00, 12:00 and 18:00, to with the AIRS and 11 12 IASI observations, we interpolate them to the corresponding local time, using a cubic spline function, as

13 in (Aires *et al.*; (2004).

## 14 2.4 Collocated AIRS ËCALIPSO ËCloudSat data

15 All satellites of the A-Train follow each other within a few minutes. We use the same collocation 16 procedure as in Feofilov et al. (2015b): First, each AIRS footprint is collocated with NASA CALIPSO L2 cloud data averaged over 5 km (version 3, Winker et al., 2009) in such a way that for each AIRS golf 17 18 ball, three CALIPSO samples are matched to the centres of three AIRS footprints. These data are then 19 collocated with the NASA L2 CloudSat-lidar geometrical profiling (GEOPROF) data (version R04, 20 Mace and Zhang, 2014). Each of these AIRS footprints thus includes cloud top and cloud base for each of the cloud layers, detected by lidar or radar, at the spatial resolution of the radar footprints (1.4 km x 2.3 21 22 km), from the GEOPROF data. and eCloud optical depth (COD), cloud top, *z<sub>top</sub>*, and apparent cloud base 23 (corresponding to the real cloud base or to the height at which the cloud reaches opacity), zapp base, are 24 given at the spatial resolution of the CALIPSO cloud data (5 km x 0.09 km). A cloud feature flag 25 indicates whether the cloud is opaque. The CALIPSO L2-cloud data also indicate at which horizontal 26 averaging along the track the cloud was detected (1 km, 5 km or 20 km), which is a measure of the 27 optical thickness of the cloudCOD. As in Stubenrauch et al. (2010), for a direct comparison with AIRS 28 cloud data, we use clouds detected at horizontal averaging over 5 km or less. This corresponds to clouds 29 with VIS visible optical depthCOD larger than about 0.05 to 0.1 (Winker et al., 2008).

- 30 The scene type overof an AIRS footprint is estimated as cloudy when the CALIPSO sample as well as
- 31 the GEOPROF sample include at least one cloud layer. Clear sky is defined by a-cloud-free by using the
- 32 <u>eloud detection of all three-CALIPSO and GEOPROF sampless perwithin the AIRS footprint-golf ball.</u>
- 33 as: clear sky (all three samples clear sky), overcast (all three samples cloudy) and partly cloudy.

- 1 For the evaluation of cloud height, we identify the GEOPROF cloud layer which is closest to z<sub>cld</sub> from
- 2 AIRS and estimate the height at which the cloud reaches a COD of 0.5, *zcopo.5*, from CALIPSO. *zcopo.5*
- 3 is required to be located within the corresponding GEOPROF cloud layer.
- 4 <u>zcopos</u> is deduced from the CALIPSO L2 COD, assuming a constant increase of COD from cloud top
- 5 towards cloud base, except for high-level clouds, for which the shape of the ice water content profile as a
- 6 function of cloud emissivity is taken into account (Feofilov et al., 2015b). As the COD of CALIPSO
- 7 might be slightly underestimated (Lamquin et al., 2008), especially for larger COD, we reduce the ratio
- 8 0.5/COD to 0.4/COD, used in the estimation of z<sub>COD0.5</sub>.
- 9 To avoid uncertainties in atmospheric and surface ancillary data in the analysis of the diurnal cycle of
- 10 upper tropospheric clouds from AIRS and IASI retrievals, we use ERA-Interim as ancillary data
- 11 (Feofilov et al., 2015a). By using different sets of ancillary data in the cloud retrieval we are also able to
- 12 estimate uncertainties in cloud amounts (sections 3 and 4).
- 13 2.54 CIRS cloud property retrieval
- 14

15 The cloud property retrieval is based on a weighted  $\chi^2$  method using channels around along the wing of 16 the 15 µm CO<sub>2</sub> absorption band (Stubenrauch *et al.*, 1999). Cloud pressure and effective emissivity are 17 determined by minimizing  $\chi^2(p_k)$ , computed at different atmospheric pressure levels by summation over 18 *N* wavelengths  $\lambda_i$  within the CO<sub>2</sub> absorption band and atmospheric window:

$$19 \qquad \chi^{2}(\boldsymbol{p}_{k}) = \sum_{i=1}^{N} \left[ (\boldsymbol{I}_{cld}(\boldsymbol{p}_{k},\lambda_{i}) - \boldsymbol{I}_{clr}(\lambda_{i})) \cdot \boldsymbol{\varepsilon}_{cld}(\boldsymbol{p}_{k}) - (\boldsymbol{I}_{m}(\lambda_{i}) - \boldsymbol{I}_{clr}(\lambda_{i})) \right]^{2} * \boldsymbol{W}^{2}(\boldsymbol{p}_{k},\lambda_{i}) \qquad (1)$$

where  $I_m$  corresponds to the measured radiance.  $I_{clr}$  is the simulated radiance\_ the IR Sounder would measure in the case of clear sky, and  $I_{cld}(p_k)$  is the radiance emitted by a homogeneous opaque single cloud layer at pressure level  $p_k$ .  $I_{cld}$  is, calculated for 42  $p_k$  levels  $p_k$  above surface (from 984 hPa to 86 hPa), and for the corresponding viewing zenith angle of the observation. A sensitivity study has shown that In general, five (for HIRS) to eight channels (AIRS and IASI) around the 15µm CO<sub>2</sub> band (regularly spaced) are sufficient, as a sensitivity study has shown. dDoubling the number of channels in the retrieval did not change the results.

By introducing empirical weights  $W(p_k, \lambda_i)$ , the method takes into account <u>i</u>) the vertical weighting <u>contribution</u> of the different channels, <u>ii</u>) the growing uncertainty in the computation of  $\varepsilon_{cld}$  with increasing  $p_k$  and <u>iii</u>) uncertainties in atmospheric profiles. These weights are determined for each of five typical air mass classes (tropical, midlatitude summer

1 and winter, polar summer and winter) as in (Stubenrauch et al., (1999) and in Feofilov and 2 Stubenrauch (2017); Feofilov and Stubenrauch, 2017), using, using the spread of clear sky radiances within these air mass classes. The clear sky radiances have been simulated for each 3 of the atmospheric profiles of these five air mass classes, using the 4A radiative transfer 4 5 model (Scott and Chédin, 1981), and stored in within these air mass classes obtained from the Thermodynamic Initial Guess Retrieval (TIGR) data base (Chédin et al., 1985; Chevallier et 6 al., 1998; Chédin et al., 2003). Minimizing  $\chi^2$  in Eq. 1 is equivalent to  $d\chi^2/d\varepsilon_{cld} = 0$ , from 7 8 which one can extract  $\varepsilon_{cld}$  as:

9 
$$\mathcal{E}_{cld}(\boldsymbol{p}_{k}) = \frac{\sum_{i=1}^{N} \left[ \boldsymbol{I}_{m}(\lambda_{i}) - \boldsymbol{I}_{clr}(\lambda_{i}) \right] \cdot \left[ \boldsymbol{I}_{cld}(\boldsymbol{p}_{k},\lambda_{i}) - \boldsymbol{I}_{clr}(\lambda_{i}) \right] \cdot \boldsymbol{W}^{2}(\boldsymbol{p}_{k},\lambda_{i})}{\sum_{i=1}^{N} \left[ \boldsymbol{I}_{cld}(\boldsymbol{p}_{k},\lambda_{i}) - \boldsymbol{I}_{clr}(\lambda_{i}) \right]^{2} \cdot \boldsymbol{W}^{2}(\boldsymbol{p}_{k},\lambda_{i})}$$
(2)

In general, the  $\chi^2(p)$  profiles have a more pronounced minimum for high-level clouds than for low-level clouds. We stress here that for the identification of low-level clouds it is important to allow values larger than 1 for  $\varepsilon_{cld}$ , because at larger pressure  $I_{clr}$  and  $I_{cld}$  become very similar and their uncertainties may lead to values larger than 1 (Stubenrauch *et al.*, <u>-1996</u>, 1999). Therefore, Thus only pressure levels leading to  $\varepsilon_{cld} > 1.5$  are excluded from the solution. Typical  $p_{cld}$  uncertainties have been estimated from a statistical analysis of the  $\chi^2(p)$  profiles: they range from 30 hPa for high-level clouds to 120 hPa for low-level clouds, corresponding to about 1.2 km in altitude,  $z_{cld}$ .

- In the case of atmospheric temperature inversions in the lower troposphere, for which temperature first increases with height before decreasing, with  $T(z_{inv}) > T_{surf}$  the cloud height is moved to the inversion layer level,  $z_{inv_s}$  defined as the highest level with  $T(z_{inv}) > T_{surf}$ . To detect these cases, the inversion strength, defined by  $T(z_{inv}) - T_{surf_s}$  has to be larger than 2 K. Depending on the ancillary data, these cases arise-occur in about 7 to 15 % of all the timecloudy cases.-  $\varepsilon_{cld}$  as defined in Eq. (2) does not have a physical meaning in the case of an inversion, since  $I_{cld}(p_{cld})$  will be greater than  $I_{clr}$ . Therefore, we scale  $\varepsilon_{cld}$  and the spectral emissivities in accordance with the ratio  $p_{inv} / p_{cld}$ .
- Cloud temperature,  $T_{cld}$ , is determined from  $p_{cld}$ , using the ancillary temperature profile similar to the observed situation (see section 2.54.1). Cloud types are distinguished according to  $p_{cld}$  and  $\varepsilon_{cld}$ . Highlevel clouds are defined by  $p_{cld} < 440$  hPa, midlevel clouds by 440 hPa  $< p_{cld} < 680$  hPa and low-level clouds by  $p_{cld} > 680$  hPa. High-level clouds may be further distinguished into opaque ( $\varepsilon_{cld} > 0.95$ ), cirrus ( $0.95 > \varepsilon_{cld} > 0.50$ ) and thin cirrus ( $\varepsilon_{cld} < 0.50$ ).  $p_{cld}$  is transformed to cloud altitude,  $z_{cld}$ , using a standard

- hydrostatic conversion, with the virtual temperature profile accounting for humidity, again from ancillary
   data similar to the observed situation.
- 3 The retrieval is applied to all footprints. In a second step, an -a posterioriø cloud detection is applied
- 4 (section 2.5). When sufficient channels are available in the atmospheric window, as for the high spectral
- 5 resolution IR sounders like AIRS, CrIS and IASI, a test based on the spectral coherence of retrieved
- 6 cloud emissivities decides whether the footprint is cloudy (overcast or mostly cloudy) or clear (or not
- 7 cloudy enough to determine reliable cloud properties). Thresholds have been established using the A-
- 8 Train synergy (section 3). In the case of HIRS, other methods have been developed to decide if the scene
- 9 is cloudy (e. g. Stubenrauch et al., 2006; Hanschmann et al., 2017).
- 10 For the computation of  $I_{clr}$  and  $I_{cld}$  in Eq. (1), we need i) surface type (ocean, land, ice / snow), skin

11 <u>surface</u> temperature and spectral <u>surface</u> emissivities, <u>ii</u>) as well as atmospheric temperature <u>and water</u>

12 vapour profiles as well as and spectral transmissivity profiles for the atmospheric situation of the

- 13 measurements. The atmospheric spectral transmissivity profiles latter were have been calculated using
- 14 the 4A radiative transfer model (Scott and Chédin, 1981), separately for each satellite viewing zenith
- angle (up to 50°) and for about 2300 representative clear sky atmospheric temperature and humidity
  profiles of the TIGR data base.
- In the cloud retrieval, the TIGR data base is searched for the atmospheric profile corresponding best to the observational conditions by applying a proximity recognition which compares the atmospheric temperature and water vapour profiles from the ancillary data with those from TIGR as in (Stubenrauch *et al.*<sub>3</sub> (2008). The preparation and evaluation of these ancillary data is presented in 2.54.1.
- 21 2.54.1 Preparation and comparison of atmospheric / surface ancillary data
- 22 <u>Spectral surface emissivities</u>: Over land, we use monthly <u>mean spectral surface emissivity climatological</u> 23 values at a spatial resolution of  $0.25^{\circ} \times 0.25^{\circ}$ , retrieved from IASI measurements (Paul *et al.*, 2012). For 24 <u>AIRS, these spectral surface emissivities have been and spectrally</u> interpolated to the AIRS 25 <del>channelswavelengths</del>. Over ocean, the surface emissivity is set to 0.99 for <del>occochevyting head to the AIRS</del> 26  $\leq 10 \,\mu\text{m}$  and 0.98 for  $\lambda_i \times \text{wavelengths larger than 10} \,\mu\text{m}$  (Wu and Smith, 1997). Over snow and ice, the 27 spectral surface emissivities are taken from (Hori *et al.*, 2006), and <del>since as</del> theyse depend in this case on
- the viewing zenith angle, they are had to be corrected as like in (Smith et al., (1996).
- 29 Atmospheric profiles and surface temperature: Since AsSince IR sounders, in combination with
- 30 microwave sounders, were originally designed for the retrieval of atmospheric temperature and humidity
- 31 profiles, the atmospheric clear sky situation can then be directly described by simultaneous L2
- 32 atmospheric profiles of good quality (when the situation is not too cloudy).- When these are<u>In the case</u>

<u>that ancillary data of If good quality data are not not</u> available for a given measurement, we use we use atmospheric profiles, surface skin temperature and tropopause those of good quality are averaged within, averaged over 1° latitude x 1° longitude averages of good quality data., and iIf there are still no data are available, we interpolate these averages in time (inversely proportional to distance within maximal ±15 days) and then in space (inversely proportional to distance within maximal 3° longitude, considering the

- 6 same surface type).
- To define atmospheric temperature and humidity profiles as well as surface temperature of good quality,
  one has to find a compromise between an acceptable quality and enough statistics.
- 9 This led to the following quality criteria in the case of ancillary data from AIRS-NASA (V6):
- Surface temperature is of good quality, if the provided retrieval error is smaller than 3 K/6 K/7 K for
   ocean / land / ice or snow, respectively. It should also be larger than 180 K and smaller than 400 K.
- Atmospheric temperature profiles are of bad quality, when three consecutive layers have large-retrieval
- 13 errors larger than, 2 K/2K/2K over ocean, 2.5 K/2.5 K/3 K over land and 2.5 K/2.5 K/5 K over
- 14 ice or snow, with thresholds in the upper part (between 70 hPa to and 500 hPa) / lower part of the
- 15  $\frac{\text{troposphere (between 500 hPa to and surface)}}{\text{near surface of } 2 \text{ K}/2 \text{ K}/2 \text{ K}/2 \text{ K}/2 \text{ K}/2 \text{ S}}$
- 16 / 3 K over land and 2.5 K / 2.5 K / 5 K over ice or snow, respectively.
- For atmospheric water vapour profiles the <u>NASA L2</u> quality criteria of <u>NASA</u> were kept (<u>Olsen *et al.*</u>,
  <u>2013</u>).
- 19 Nevertheless, the when comparing SSTs of good quality from AIRS-NASA with awere still slightly 20 colder than those SST from of ERA-Interim, AIRS values were slightly colder. Since As this effect is 21 most probably due to a slight underestimation of the AIRS SST linked to AIRS-NASA residual cloud 22 contamination, we applied a smalladded to the AIRS-NASA SSTs the minimum between the retrieval 23 error and 0.5 K-correction to SST by adding the minimum between 0.5 K and the retrieval error. Since 24 differencesthe behaviour over land is- might be positive or negative (Figure 2)more complex, we left the AIRS-NASA surface temperature ( $T_{surf}$ ) values as they are unchanged. 25 26 For ERA-Interim, the When we use ttTime--interpolated ERA-Interim atmospheric profiles and surface
- 27 temperatures are always available as ancillary data in the cloud retrieval, these data are always available.
- 28 However, However, since the <u>An analysis revealed</u>, Wwe observed found that the time-time-interpolated
- 29 ERA-Interim SSTs dide did-not show a diurnal cycle, with (most of the amplitudes are less than 0.2
- 30 K)., which As this is not consistent with observations (e.e.g. Webster *et al.*, 1996), we applied a simple
- 31 parameterized correction<sub>37</sub> which linkings the SST diurnal cycle to peak insolation (based on Fig. 11 of
- 32 (Webster et al., -1996). This parameterization links the SST diurnal cycle to peak insolation. The
1 coefficient between the SST diurnal amplitude and the maximal solar flux at given latitude, longitude, solar zenith angle and local time and the SST diurnal amplitude-was adjusted to 0.005 K/Wm<sup>-2</sup>-, to-so 2 3 that the SST diurnal amplitude is make the latter consistent with that of recent observations (e.g. Seo et 4 al., 2014). Without this correction, the cloud amount (CA) difference between at over ocean was larger 5 during night (78%) than in the and/ early afternoon was 78% -/ (71%), while compared to 71% -/ 71% 6 when using AIRS ancillary data. The correction led to now cloud amount is more similar (76% - 73%), in better agreement withcloser to the results using AIRS ancillary data (71% / 71%). The behaviour 7 8 Over land, is more complex, so we left the without changes in  $T_{surf}$  values as they are, leading to CA of 9 duringat night / dayearly afternoon is 62% / 56%, with ERA-Interim, and 56% / 58%, with AIRS-NASA, at 1:30AM / 1:30PM respectively. 10 Figure 1 presents comparisons of between  $T_{surf}$ , as used in the cloud retrieval, deduced from NASA 11 12 AIRS-NASA retrievals- and from ERA-Interim, with and collocated surface air temperature,  $T_{surf}$  from the ARSA data base. One would expect that over land  $T_{surf}$  is colder than  $T_{surf}^{air}$  during night and warmer 13 14 than  $T_{surf}^{air}$  in the afternoon; this effect should be stronger for temperate and warmer temperatures,

16 midlatitudes and colder in polar regions. Considering Figure 1, tThe distributions in Figure 1 reflect the

especially if the climate is dry. SST should be similar to  $T_{suf}^{air}$  in the tropics, slightly warmer in

- 17 expectations, with <u>similar peak positions</u> for AIRS-NASA and ERA-Interim corresponding to similar
- 18 differences with ARSA. When looking more in detail, Though the land distributions over land are
- 19 slightly <u>larger broader</u> for AIRS-NASA than for ERA-Interim<u>\_</u>, and <u>T</u>they are <u>also</u> shifted towards colder
- 20 values for colder  $T_{surf}$  and at night for warmer  $T_{surf}$ . In the afternoon, For warmer  $T_{surf}$  in the afternoon,
- 21  $T_{surf}$  of AIRS-NASA  $T_{surf}$ -is slightly larger than  $T_{surf}$  of ERA-Interim  $T_{surf}$ . Cfor situations with warm 22  $T_{surf}$  Colder AIRS-NASA values might still indicate some cloud contamination, whereas the colder
- 22  $T_{surf.}$  Colder AIRS-NASA values might still indicate some cloud contamination, whereas the colder 23 values of ERA-Interim over warm land in the afternoon might indicate an underestimation, especially
- over desert, as has already been pointed out by Trigo *et al.* (2015). The effect of  $T_{surf}$  on cloud amount
- 25 will be further investigated in section 3.12.

15

26 2.<u>5</u>4.2 <u>Calibration Accounting</u> for changes in atmospheric CO<sub>2</sub> concentration

The TIGR data base of atmospheric spectral transmissivities was created for an atmosphere with a fixed CO<sub>2</sub> volume mixing ratio of 372 ppmv. However, the atmospheric CO<sub>2</sub> concentration varies latitudinally, seasonally and with time. While bBoth the increase during the last ten years and the seasonal variability in the Northern hemisphere (NH) are of the order of ~20 ppmv<sub>2</sub>; the latitudinal gradient in the NH varies from -0.1 ppmv/° to +0.1 ppmv/°. Seasonal variability in the NHThe latter is related to the vegetation and fossil fuel burning seasonality. The difference between an averaged value and actual CO<sub>2</sub> volume mixing ratio can easily reach 10%<sub>2</sub>; which This is a noticeable change, since as the concentration enters the power of the exponent in <u>the calculation of ing</u> the transmissivity, τ. To avoid
 errors <u>associated with CO<sub>2</sub> changes</u> in the radiative transfer <u>computations</u> associated with CO<sub>2</sub> changes,
 we rescale the transmissivity according to the following ruleas:

4

$$\tau = \exp(-\beta - \alpha \cdot CO_2^{\text{current}}) = \exp(-\beta \circ \alpha \cdot CO_2^{\text{current}})$$

5

(3)

6

with  $\alpha = -k \cdot \log(\tau^{ref})/CO_2^{ref} \alpha = -k \log(\tau^{ref})/CO_2^{ref}$  and  $\beta = \alpha \cdot CO_2^{ref} \cdot \log(1-k)/k \beta = \alpha \cdot CO_2^{ref}$ 

(1-k)/k, where k is the relative CO<sub>2</sub> contribution to the opacity of the channel. Details are described in
(Feofilov and Stubenrauch, (2017). The CO<sub>2</sub> concentrations are taken from (GLOBALVIEW-CO2,
2013).

10 This correction also removes long-term biases due to increasing  $CO_2$  in the atmosphere from 11 anthropogenic  $CO_2$  emissions, which introduced an ar<u>tificial increasetefact</u> in the <u>cloud amount</u> time 12 series of cloud amount. Applying the correction of equation (3) has eliminated this bias (see section 4).

# 13 <u>2.5.3 Multi-spectral -a posterioriøcloud detection</u>

14 Once the cloud properties are retrieved, to constrain cloud definition, we use the spectral standard 15 deviation ( $(\alpha(\lambda_i))$ ) of retrieved cloud emissivities between 9 and 12 µm, wavelengths in the IR 16 atmospheric window, as described in Stubenrauch *et al.* (2010). For each footprint, cloud emissivities  $\varepsilon_{cld}$ 17 are determined at six wavelengths,  $i_{\overline{r}}$  (section 2.1), as:

 $\mathcal{E}_{cld}(\lambda_i)$ 

$$) = \frac{I_m(\lambda_i) - I_{clr}(\lambda_i)}{I_{cld}(p_{cld}, \lambda_i) - I_{clr}(\lambda_i)}$$

(4)

19 <u>*I<sub>cld</sub>* is now determined for  $p_{cld}$ , retrieved by the  $\chi^2$  method (see above).</u>

20 The relative standard deviation of these cloud emissivities,  $(\alpha \lambda_i) - \frac{1}{\epsilon_i} \lambda_{cld}$ , is much larger when the footprint is partly cloudy or clear and hence  $p_{cld}$  is biased, than for cloudy cases, when  $p_{cld}$  and  $\varepsilon_{cld}$  are 21 22 well determined. This behaviour is illustrated in Figure 2 of Stubenrauch et al. (2010) and in Figure S1 23 of the supplement, contrasting distributions of the relative standard deviation of these cloud emissivities, 24  $(\alpha(\lambda_i))/\varepsilon_{cld}$ , of cloudy and clear sky scenes from CALIPSO samples. Guided by these figures and 25 experimenting with thresholds to obtain a good agreement in cloud amount compared to CALIPSO-CloudSat (section 3) and to other datasets (section 4), we define the AIRS footprint is identified as 26 cloudy if the following conditions are fulfilled:  $(\epsilon(\lambda_i))/\epsilon_{clds} < 0.17$  for ocean (both ancillary data), 27  $(\epsilon(\lambda_i))/\epsilon_{cld} < 0.20$  for land (both ancillary data) and  $(\epsilon(\lambda_i))/\epsilon_{cld} < 0.30 / 0.20$  for ice and snow (AIRS-28 29 NASA / ERA-Interim ancillary data).

- 1 For IASI we do not have the possibility to distinguish  $(\epsilon(\lambda_i))/\epsilon_{cld_s}$  distributions according to CALIPSO-
- 2 <u>CloudSat cloudy and clear sky scenes. However, the overall distributions of  $(\epsilon(\lambda_i))/\epsilon_{cld}$ , are similar for</u>
- 3 AIRS and IASI, comparing retrievals based on ERA-Interim ancillary data. Therefore we use the same
- 4 <u>thresholds for the IASI cloud detection.</u>
- 5 To reduce misidentification of clear sky as high-level clouds, only clouds with  $\varepsilon_{cld} \times 0.10$  are considered.
- 6 2.<u>5</u>4.<u>4</u>3 Summary of changes compared to the previous version of the AIRS-LMD cloud 7 <u>climatology</u>retrieval
- 8 Compared to the <u>retrieval used to produce the</u> six-year AIRS-LMD cloud climatology (Stubenrauch et
- 9 *al.*, 2010), the following changes have been implemented into the CIRS algorithm:
- 10 extension of mMinimum cloud pressure has been extended from 106 hPa to 86 hPa<sub>1</sub>-
- <u>update of aAncillary Aatmospheric and surface ancillary data have been updated</u> from NASA V5 to
   NASA V6<sub>2</sub>.
- improved <u>To fill gaps interpolation in of atmospheric and surface ancillary data of good quality, the</u>
   interpolation method has slightly changed.
- <u>moving In the case of atmospheric temperature inversions</u>, the cloud is moved to the inversion layer
- 16 <u>level and scaling</u>  $\varepsilon_{cld}$  is scaled accordingly in the case of atmospheric temperature inversions,-
- <u>The improved</u> radiative transfer <u>computations</u> to <u>determineof</u> the TIGR atmospheric spectral
   transmissivities\_
- 19 ha<u>ves been improved.</u>
- The adjusting the TIGR atmospheric near surface spectral transmissivityiesy for the lowermost layer of
- the TIGR data base near the surface wereas adjusted in accordance with the observed to the surface
   pressure of the observed situation.
- decreased cloud detection tThresholds in the cloud detection are decreased, thanksdue to The
- 24 improved radiative transfer computations of clear sky radiances led to a decreased thresholds on the
- variability of the cloud spectral emissivities between 9 and 12 μm, used in the cloud detection, (see
   section 2.<u>5.35)</u>.-
- Only reducing the number of one cloud detection tests to one, which is based on the coherence of
   cloud spectral emissivity, is applied.
- Considering Only-clouds with  $\varepsilon_{cld} \times 0.10$ , are considered (instead of  $\varepsilon_{cld} \times 0.05$ ,)

<u>Ttaking into account variable CO<sub>2</sub> concentration in Simulated clear sky atmospheric spectral</u>
 transmissivityies estimateshave been corrected for variability in atmospheric CO<sub>2</sub> concentration.

As we will see in section 4, tThe impact of these changes, however, is in general small, but taking into
 account variable CO<sub>2</sub> concentration is important for addressing the long-term variability of clouds., as
 can be seen in the latitudinal averages of total, high, midlevel and low-level cloud amounts presented in
 section 4.

#### 7 A posteriori cloud detection

8 Once the cloud properties are retrieved, we use the same cloud detection strategy as in (Stubenrauch *ct* 9 *al.*, 2010), based on the spectral coherence of retrieved cloud emissivities between 9 and 12  $\mu$ m, 10 wavelengths in the IR atmospheric window. For each footprint, cloud emissivities  $c_{eld}$  are 11 determined at six wavelengths,  $i_{\tau}$ , as:

12 
$$\mathcal{S}_{cld}(\lambda_i) = \frac{I_m(\lambda_i) - I_{clr}(\lambda_i)}{I_{cld}(p_{cld}, \lambda_i) - I_{clr}(\lambda_i)}$$
(4)

13 where  $I_{eld}$  is now determined for  $p_{eld}$  which has been retrieved by the  $\chi^2$ -method (see above). When  $p_{eld}$ 14 is well determined, these spectral cloud emissivities should only slightly differ. The variability should be 15 larger, when the footprint is partly cloudy or clear and hence  $p_{eld}$  is not well determined. In that case, the 16 footprint is declared as not cloudy.

17 To determine thresholds, we make use of the A-Train synergy: by comparing distributions of the standard deviation  $(\varepsilon)$  over these wavelengths divided by the retrieved  $\varepsilon_{ek}$ , separately for cloudy scenes 18 19 and for clear sky scenes as determined by CALIPSO (see section 3.1). Overcast / clear sky scenes are 20 situations for which all three CALIPSO samples within the AIRS golf ball are cloudy / clear, 21 respectively, and partly cloudy scenes include a mix of cloudy and clear sky within the three samples. 22 Figure 2 presents these distributions, separately over ocean, land and ice / snow, when AIRS ancillary 23 data and when ERA Interim ancillary data are used in the AIRS cloud retrieval. First of all, we observe 24 that the distributions are in general narrower for cloudy scenes than for clear sky, as expected. The large 25 tails of the clear sky distributions are presented as a large peak at  $(\varepsilon)/\varepsilon_{eld} = 0.59$ , the maximum value to 26 which  $(\varepsilon)/\varepsilon_{eld}$ , was set. The separation between cloudy and clear is best over ocean, followed by land 27 and then ice / snow. Distributions are similar over ocean and land between both ancillary data, whereas 28 the distinction between cloudy and clear sky over ice / snow is slightly better when ERA-Interim is used. 29 This might be explainable by the fact that the retrieval of atmospheric profiles with good quality is 30 challenging over ice / snow. According to these figures and by experimenting with thresholds to obtain a

1 good agreement in the identification of cloudy and clear sky scenes with CALIPSO-CloudSat (see

2 section 3.2), we perform the following tests for the AIRS-CIRS cloud detection.

- 3 The footprint is identified as cloudy if the following conditions are fulfilled:
- 4  $\frac{(\varepsilon)}{\varepsilon_{eld}} < 0.17/0.20/0.30$  for ocean / land / snow or ice and AIRS ancillary data
- 5  $\frac{(\varepsilon)}{\varepsilon_{eld}} < 0.17 / 0.20 / 0.20$  for ocean / land / snow or ice and ERA-Interim ancillary data
- 6 For IASI we do not have the possibility to test these distributions with CALIPSO CloudSat. However,
- 7 the overall distributions of  $(\varepsilon)/\varepsilon_{eld}$  are similar for AIRS and IASI, comparing retrievals both based on
- 8 ERA Interim ancillary data. Therefore we use the same thresholds for the IASI cloud detection.
- 9 To reduce noise, we declare footprints with a cloud of  $\varepsilon_{eld} < 0.10$ , corresponding to a visible (VIS) optical
- 10 depth of about 0.2, as not cloudy.

# 11 3 Evaluation of cloud properties using the A-Train synergy

The A Train active instruments, lidar and radar of the CALIPSO and CloudSat missions, provide a unique opportunity to evaluate the retrieved AIRS cloud properties such as cloud amount and cloud height, as well as to explore the vertical structure of the AIRS cloud types (Stubenrauch *et al.*, 2010). These results can then be transposed to cloud types determined by <u>the CIRS retrieval method</u>-using other IR sounders.

17 In the following, we analyse three years (2007-2009) of collocated AIRS-CALIPSO-CloudSat data,

18 separately for three latitude bands: tropical / subtropical latitudes (30°N-30°S), midlatitudes (30°N-60°N

19 and 30°S-60°S) and polar latitudes (60°N-90°N and 60°S-90°S).

# 20 3.1 Collocated AIRS Ë CALIPSO Ë CloudSat data

We use the same colocation procedure as in (Feofilov et al., 2015b): all satellites of the A-Train follow 21 22 each other within a few minutes. First, each AIRS footprint is collocated with NASA CALIPSO L2 eloud data averaged over 5 km (version 3, Winker et al., 2009) in such a way that for each AIRS golf 23 24 ball, three CALIPSO samples closest to the centres of each AIRS footprint are kept. These data are then 25 collocated with the vertical profiling of the NASA L2 Lidar CloudSat geometrical profiling (GEOPROF) data (version P1\_R04; Mace and Zhang, 2014). Each AIRS footprint includes thus 26 27 information on the vertical structure (cloud top and cloud base for each of the cloud layers) at the spatial 28 resolution of the radar footprints (1.4 km x 2.3 km) and in addition to cloud detection, cloud optical depth, cloud top and apparent cloud base (corresponding to the real cloud base or to the height at which 29 the cloud reaches opacity) at the spatial resolution of the CALIPSO cloud data (5 km x 0.09 km). A 30 31 cloud feature flag indicates whether the cloud is opaque. The CALIPSO L2 cloud data also indicate at

which horizontal averaging the cloud was detected (1 km, 5 km or 20 km), which is a measure of the 1 2 optical thickness of the cloud. For a direct comparison with AIRS cloud data, we use clouds detected at horizontal averaging over 5 km or less, corresponding to minimum particle backscatter coefficient of 3 about 0.0008 km<sup>-1</sup>sr<sup>-1</sup> at night and about 0.0015 km<sup>-1</sup>sr<sup>-1</sup> during day, for a cirrus with an altitude of 4 5 about 12 km (Fig. 4 of Winker et al., 2009). This corresponds to clouds with VIS optical depth larger 6 than about 0.05 to 0.1 (Winker et al., 2008). The scene over each AIRS footprint is estimated by using the cloud detection of all three CALIPSO samples per AIRS golf ball as: clear sky, partly cloudy and 7 8 overcast.

9 For the evaluation of cloud height we determine the lidar CloudSat GEOPROF cloud layer which is
10 closest to *z<sub>eld</sub>* from AIRS. From the 5 km averaged CALIPSO data we also determine the height at which
11 the cloud reaches a certain optical depth, in particular 0.5, *z<sub>coDo.5</sub>*. We then require that this height is

12 located within the corresponding cloud layer of the lidar CloudSat GEOPROF data.

- 13 Cloud optical depth (COD) determined from lidar backscatter depends on a correction for multiple
- 14 scattering which itself depends on COD and microphysics (e. g. Comstock and Sassen, 2001; Chen *et al.*, 2002; Lamquin *et al.*, 2008). As CALIPSO assumes a constant multiple scattering coefficient of 0.6
  16 in the retrieval (Winker, 2003), COD might be slightly underestimated, especially for larger COD. We
  17 therefore estimate from Figure 3 in (Lamquin *et al.*, 2008) a correction factor and deduce that a COD of
  18 0.50 should correspond to a COD given by CALIPSO of about 0.37. To determine the height within the
  19 eloud at which COD reaches 0.5 we also use an assumption on the shape of the ice water content vertical
  20 profile between cloud top and cloud base (Feofilov *et al.*, 2015b).
- 21 In the following,-we analyze three years (2007-2009) of collocated AIRS-CALIPSO-CloudSat data,
- 22 separately for three latitude bands: tropical / subtropical latitudes (30°N-30°S), midlatitudes (30°N-60°N
- 23 and 30°S-60°S) and polar latitudes (60°N-90°N and 60°S-90°S).

# 24 3.12 Cloud detection

25 The hit rates (fraction of agreeing cloudy and clear cases) between the a posterioriøAIRS-CIRS cloud 26 detection leads to an agreesment withand the lidar-radar CALIPSO-CloudSat cloud detection (section 27 2.4) from GEOPROF and CALIPSO in aboutare 85% (84%) over ocean, 82% (79%) over land and 70% (73%) over ice / snow<sub>7</sub>. Values in parantheses correspond to <u>using atmospheric and surface</u> 28 29 ancillary data, deduced from AIRS-NASA (ERA-Interim) ancillary data. Table 1 presents separate these 30 agreements comparisons separately for the three latitude bands. CALIPSO-CloudSat cloud detection is 31 defined by at least one cloud layer from GEOPROF and from CALIPSO and clear sky is defined by 32 three CALIPSO clear sky samples within one golf ball (section 2.4). In general, these agreements hit

<u>rates</u> are quite high, considering that CALIPSO and GEOPROF data only sample a small area of the AIRS footprints. They are slightly higher over ocean than over land. Compared to the AIRS-LMD cloud retrieval presented in (Stubenrauch *et al.*; (2010), the agreement with CALIPSO-CloudSat has improved both over ocean and land, but slightly decreased over sea ice. The latter can be explained by applying now only one test over all surface types. In the earlier version we used an additional brightness temperature difference test related to temperature inversions. A detailed analysis (not shown) indicated that it also introduced noise.

8 To further illustrate cloud amount (CA) uncertainties due linked to ancillary data, we investigate, in 9 Figure 2, presents geographical maps of differences in CA differences and  $T_{surf}$  between, using AIRS-CIRS based on ancillary data from AIRS-NASA and from ERA-Interim, together with T<sub>surf</sub> differences, 10 11 are shown in Figure 3. When using With AIRS-NASA ancillary data, CA over land is mostly-often 12 smaller over land during night and larger over land in the afternoon, with. One might observe a positive 13 correlation with differences in T<sub>surf</sub>. T<sub>surf</sub> of the ancillary data deduced from AIRS NASA is slightly also smaller during night and larger in the afternoon during daytime over large parts of the continents. From 14 15 tTConsidering the  $T_{surf}$  comparison with ARSA in-(section 2.5), 4 leads then to the conclusion, this means 16 we deduced that over land AIRS-CIRS-CA is slightly underestimated during night when using with 17 AIRS-NASA ancillary data, while slightly underestimated in the afternoon when using with ERA-18 Interim ancillary data. Patterns of differences in atmospheric water vapour are less reflected in those of 19 CA (not shown), but slightly more atmospheric water vapour in the ancillary data (as in the tropics for 20 AIRS-NASA compared to ARSA and ERA-Interim) might lead to a slight underestimation of CA.

### 21 3.23 Cloud height

22 For the evaluation of cloud height we determine the lidar CloudSat GEOPROF cloud layer which is

23 closest to zeld from AIRS. From the 5 km averaged CALIPSO data we also determine the height at which

- 24 the cloud reaches a certain optical depth, in particular 0.5, *z<sub>copo,5</sub>*. We then require that this height is
- 25 <u>located within the corresponding cloud layer of the lidar CloudSat GEOPROF data.</u>
- 26 <u>Cloud optical depth (COD) determined from lidar backscatter depends on a correction for multiple</u>
- 27 scattering which itself depends on COD and microphysics (e. g. Comstock and Sassen, 2001; Chen et
- 28 al., 2002; Lamquin et al., 2008). As CALIPSO assumes a constant multiple scattering coefficient of 0.6
- 29 in the retrieval (Winker, 2003), COD might be slightly underestimated, especially for larger COD. We
- 30 therefore estimate from Figure 3 in (Lamquin et al., 2008) a correction factor and deduce that a COD of
- 31 <u>0.50 should correspond to a COD given by CALIPSO of about 0.37. To determine the height within the</u>
- 32 <u>cloud at which COD reaches 0.5 we also use an assumption on the shape of the ice water content vertical</u>
- 33 profile between cloud top and cloud base (Feofilov et al., 2015b).

1 Figure 34 presents normalized distributions of the difference between the height at which COD reaches a 2 value of about 0.5, zcop0.5, from CALIPSO (section 2.4) determined from CALIPSO, and the retrieved 3 cloud height from AIRS, z<sub>cld</sub>, from AIRS for the three latitude bands as well as normalized distributions 4 of the difference between the cloud top height from CALIPSO,  $z_{tot}$ , and  $z_{cld}$ . We compare results for  $p_{cld}$ 5 < 440 hPa and p<sub>cld</sub> × 440 hPa, of the CIRS cloud retrieval, using ancillary data from AIRS-NASA and 6 ERA-Interim, separately for AIRS-NASA and ERA-Interim ancillary data for high-level clouds (peld < 7 440 hPa) and lower lever clouds ( $p_{eld} \times 440$  hPa). The AIRS cloud height is compared to the CALIPSO-8 CloudSat cloud layer, which is the closest to zeld. This is justified, because CALIPSO and CloudSat 9 sample only sparsely the AIRS footprint, and AIRS could observe a mixture of several clouds. In general, all distributions of differences between zcopos and zeta peak around 0 km and are slightly 10 11 narrower for lower -level clouds than for high-level clouds. Results are similar for both ancillary data, 12 with a slight cloud height overestimation for of lower level clouds in the over tropicals over ocean (not shown), when using for ERA-Interim (not shown),, and a height overestimation of some clouds in over 13 14 polar regions over ocean (not shown), when using for AIRS-NASA ancillary data (not shown). The latter 15 might can be explained by the fact that in some of these regions  $\frac{surface temperature}{T_{surf}}$  and atmospheric 16 profiles of good quality are only available in 10% of the situationstime. When comparing distributions of 17  $z_{top}$  -  $z_{cld}$ , the peaks for lower clouds are still around 0 km, whereas for high-level clouds  $z_{cld}$  lies on 18 average 1.5 km below the cloud top (not shown), as-very similar to results in Stubenrauch et al. (2010)). 19 <u>This</u>, mean<u>sing</u> that  $T_{cld}$  is about 10 K warmer than the cloud top (Figure S<u>2 of the supplement</u>-1). The 20 broader distributions for high-level clouds compared to low-level clouds may be explained by the fact 21 that high-level clouds often have diffuse cloud tops (e. g. Liao et al., 1995), especially in the tropics (ztop $z_{cld}$  is slightly larger for the same  $\varepsilon_{cld}$ , as shown in Figure 5). To summarize,  $z_{cld}$ , The CIRS retrieved 22 23 eloud height coincidescan be approximated with by i) the height of maximum lidar backscatter 24 (Stubenrauch et al., 2010), with byii) z<sub>COD0.5</sub> (Figure 3), or iii) mid-height the mean between of cloud top 25 and apparent@cloud baselayer height (real cloud base for optically thin clouds) or the mean between 26 cloud top and eloud the height at which the cloud reaches opacity), as shown in Figure S2 (considering 27  $\underline{\text{mid}}-\underline{p_{cld}}$ , or with  $\underline{\text{by } z_{COD0.5}}$ , as shown in (Figure 3)4. 28 To-For a more detailed investigation of the different height approximationse more in detail how the 29 CIRS retrieved cloud height, relates to the height of COD of about 0.5 and to cloud top (Ztop), we analyze

- 30 in-Figure 45 compares median values of  $z_{cld}$   $z_{COD0.5}$ ,  $z_{top}$   $z_{cld}$  and  $(z_{top} z_{cld})/(z_{top} z_{app} -$
- 31 average difference as a function of  $AIP \Sigma \chi \lambda ov \delta c \mu \sigma \sigma t \overline{\sigma} t \overline{\tau} \psi \underline{c}_{cld}$ , separately for high-level clouds and
- 32 lower level clouds. For this analysis we have selected cases for which <u>zcld</u> AIRS cloud height lies within
- 33 the cloud borders between top and base from of the closest CALIPSO-CloudSat-GEOPROF cloud layer.

This, leavesing about 82% / 73% / 57% and about 55% / 59% / 58% of the statistics of high-level and 1 2 lower level clouds over thein tropics / midlatitudes / polar regions, respectively. In general, for low-level 3 clouds, the AIRS cloud height lies about 250 m ó 500 m below the height at which the cloud reaches an 4 optical depth of about 0.5, independently of *c*<sub>eld</sub>, while *z*<sub>eld</sub> lies about 1 km below the cloud top. For high-5 level clouds the z<sub>cld</sub> varies from 1 km above for  $\varepsilon_{cld} = 0.1$  to 1 km below z<sub>COD0.5</sub> the height corresponding 6 to COD of 0.5 for  $\varepsilon_{cld} = 1$ , assuming that  $\underline{z_{COD0.5}}$  COD is accurately determined estimated for all  $\varepsilon_{cld}$ 7 (section 2.4).- In that case, This means that for thin cirrus z<sub>cld</sub> from AIRS of thin cirrus should be 8 approximated corresponds to by a height of at which with COD reaches a value < 0.5, while for and  $z_{cld}$  of 9 opaque high clouds to by a height at which with of COD reaches a value > 0.5. On the other hand,  $z_{cld}$  lies about 1.5 km to 2.5 km below  $z_{top} z_{top} the cloud top$ , the difference to cloud top increasing with  $\varepsilon_{cld}$ 10 11 -(except for  $\varepsilon_{cld}$  close to 1). Since  $z_{top} = z_{app base}$  the apparent vertical extent also increases with  $\varepsilon_{cld}$ , (not 12 shown), the  $(z_{top} - z_{cld})/(z_{top} - z_{app} base)$  difference between  $z_{top}$  and  $z_{cld}$  scaled by apparent vertical extent 13 does not depend on  $\varepsilon_{cld}$ , and it is about 0.5 for high level and for low level clouds. Considering the normalized frequency distributions of  $z_{top}$  ó  $z_{COD0.5}$  and  $z_{top} - z_{eld}$ , as well as these differences scaled by 14 15 apparent cloud vertical extent, presented in Figure 6, Wwe deduce that it probably needs less geometrical 16 thickness vertical extent for opaque clouds than for semi-transparent clouds cirrus to reach a COD of 0.5, while the  $\chi^2$  method determines a height within the cloud, which corresponds well to the <u>middle mean</u> 17 18 between cloud top and apparent cloud base or the height at which the cloud reaches opacity, in 19 dependent of  $\varepsilon_{cld}$ . This is important to take into account for the determination of radiative fluxes and heating rates of upper tropospheric clouds, when using the CIRS cloud heights retrieved from IR 20 21 sounder measurements. We want to stress that also for low-level clouds  $(z_{top} - z_{cld})/(z_{top} - z_{app} - z_{app} - z_{app})$  is 22 about 0.5 (0.4 to 0.6), while The broader distributions for high-level clouds compared to low-level clouds 23 in Figures 4 and 6 may be explained by the fact that high-level clouds often have diffuse cloud tops (e. g. Liao *et al.*, 1995), especially in the tropics ( $z_{top} - z_{eld}$  is slightly larger for the same  $\varepsilon_{eld}$ ).  $-z_{cld}$  of low-level 24 25 elouds-lies only about 0.1400 tom 6 1000.4 km below zcope.5, while zeld lies and about 500-0.5 km below ztopztop and (ztop-zeld)/(ztop-zent base) varies between 0.4 and 0.6 (Figure S3 of the supplement). 26

Finally, In order to see how well the distribution of clouds is represented within the atmosphere, we compare in Figure 5 presents? the normalized frequency distributions of  $z_{cld}$  from AIRS, using both sets of ancillary data, and of  $z_{COD0.5}$  from CALIPSO, whenever clouds are detected (excluding subvisible cirrus, see section 3.12.4). The CALIPSO  $z_{COD0.5}$  distributions have a slightly larger part of high-level clouds, especially in the tropics, and the AIRS  $z_{cld}$  distributions show a slightly larger part of low-level clouds over land., separately over land and over ocean in the three latitude bands. AIRS  $z_{cld}$  distributions are very similar, with slightly more low level clouds over land using ERA Interim and slightly more

higher clouds over polar ocean (which are mostly misidentifications as pointed out earlier). The zcopas 1 distributions from CALIPSO have a slightly larger part of high-level clouds in the tropics and AIRS zeld 2 distributions show a slightly larger part of low level clouds in the tropics. The latter disappear if one 3 4 considers only cases with all three CALIPSO samples cloudy within an AIRS golf ball, so Thus these 5 low-level clouds are part of partly cloudy fields for which it is difficult to compare results from samples 6 of very different spatial resolution. Thus tThe distributions look more similar compare better when only 7 mostly covered cloud fields are considered (three CALIPSO samples cloudy within an AIRS golf ball). 8 In the tropics, the peak of the AIRS  $z_{cld}$  distributions for high-level clouds is still slightly broader towards 9 lower heights than for CALIPSO (not shown). Additional filtering, out of excluding multi-layer clouds, 10 ultimately leads to very similar distributions, as also presented in Figure 57. A plausible interpretation is, that in cases of multiple cloud layers and if with the upper cloud layer does not fully covering the large 11 12 AIRS footprint, instrument the 15 km footprintsreceived of AIRS often mix radiation is mixed from 13 different cloud layers, when the upper cloud layer does not fully cover the footprint, and thus determines 14 a cloud height<sub>zcld</sub> which might be slightly lower than the one of the uppermost cloud layer. The 15 distributions in the midlatitudes still peak at slightly lower heights, due to the fact that because high-level 16 clouds in these latitudes are on average optically thicker (storm tracks) than in the tropics. In these cases 17  $z_{cld}$  lies below  $z_{COD0.5}$ , and as we have seen in Figure 45., in these cases  $z_{cld}$  lies below  $z_{COD0.5}$ . The choice 18 of ancillary data influences only mildly the z<sub>cld</sub> distributions, with a slightly larger contribution of lowlevel clouds over land for ERA-Interim. This difference disappears when considering if we consider only 19 mostly covered cloud fields, as the contribution of low-level clouds in all data sets, strongly decreasees 20 21 over land., while oOver ocean, the effect is much smaller. This indicates that low-level clouds over ocean 22 appear more often as stratus decks whereas those over land appear more frequently as cumulus, as 23 expected.

24 To summarize, the evaluation of cloud height has shown that IR sounders capture quite well the vertical 25 distribution of uppermost clouds in the atmosphere. The retrieval provides a cloud height of about 1 km below cloud top in the case of low-level clouds and of about 1.5 km to 2.5 km below cloud top height in 26 27 the case of high-level clouds. In the latter case, the retrieved cloud height corresponds to a height of COD 28 <0.5 for optically thin clouds and to a height of COD > 0.5 for optically thick clouds. On the other hand, 29 multiple scattering within optically thicker clouds is in general larger so that the correction we have 30 applied above, which was meant for clouds with a total COD of 0.5, was probably not enough. As 31 already shown by Stubenrauch et al. (2010), the CIRS retrieved cloud height coincides with the middle 32 between cloud top and apparent cloud base, and this for all cloud heights. Even though the spatial 1 resolution of 15 km may mix clear sky and cumulus clouds, or thin cirrus with optical thicker high

2 clouds, the cloud height is in general well determined within 1.5 km.

## 3 4 Average Cloud cloud properties and variability

4 In this section we give a short overview of cloud properties obtained from of the AIRS-CIRS and IASI-5 CIRS cloud climatologies. Monthly L3 data, gridded at a spatial resolution of 1° latitude x 1° longitude, 6 have been produced in the same manner as for the GEWEX Cloud Assessment data base (Stubenrauch 7 et al., 2013): in a first step, averages were determined cloud properties and their uncertainties, deduced from the  $\chi^2$  method, were averaged per observation time over 1° latitude x 1° longitude, and in a second 8 9 step, these eloud properties werewere averaged per month. In addition to the monthly averages, - the data 10 base also includes time variability and histograms of the cloud properties. In addition, We we have also addedprovide  $p_{eld}$  and  $\varepsilon_{eld}$  uncertainties on  $p_{eld}$  and  $\varepsilon_{eld}$  deduced from the  $\chi^2$  method. 11 12 Figure <u>68</u> compares normalized frequency distributions of  $p_{cld}$  (CP) over 30° wide latitude bands during

boreal winter and boreal summer, separately over land and over ocean. As one can see, tThe AIRS and IASI CP distributions are very similar. Their relative contribution of high-level clouds is slightly larger over land than over ocean, especially in the tropics, and-while the contribution of low-level clouds is larger over ocean. Considering seasonality, the strongest signature is the shift of the Intertropical Convergence Zone (ITCZ) towards the summer hemisphere, linked-tomanifested by a large amount of high-level clouds (from cirrus anvils), especially over land.

19 Figure 79 presents global averages of total cloud amount (CA) and relative contributions of high-level, 20 mid-level and low-level clouds, determined by dividing these cloud amounts (CAH, CAM, CAL) by 21 CA. The sum of the relative contributions, CAHR, CAMR and CALR is equal to 1. Pressure limits for high-level/mid-level and mid-level/low-level cloud classification are 440 hPa and 680 hPa, 22 23 corresponding to altitudes of about 6 km and 3 km, respectively. Relative cloud amount values give an 24 indication of how the detected clouds are vertically distributed in the atmosphere, when observed from 25 above. Compared to the absolute values, they are less influenced by differences in cloud detection sensitivity and should be more useful for comparison with climate models (Stubenrauch et al., 2013). 26 27 Global averages of AIRS-CIRS and IASI-CIRS are compared with those from selected cloud 28 climatologies of the GEWEX Cloud Assessment data base: the International Satellite Cloud 29 Climatology Project (ISCCP; Rossow and Schiffer, 1999), two cloud climatologies derived from 30 observations of the Moderate Resolution Imaging Spectroradiometer (MODIS) aboard the Aqua 31 satellite, by the MODIS Science Team (MODIS-ST; Frey et al., 2008) and by the MODIS CERES 32 Science Team (MODIS-CE; Minnis et al., 2011), and two cloud climatologies derived from CALIPSO

1 observations, the one by of the CALIPSO Science Team (CALIPSO-ST; Winker et al., 2009) and the 2 GCM-Oriented CALIPSO Cloud Products (CALIPSO-GOCCP; Chepfer et al., 2010). The latter two 3 use vertical averaging (CALIPSO-GOCCP) and horizontal averaging (CALIPSO-ST) to reduce the 4 noise of the relatively small samples. The latter is more sensitive to thin layers of subvisible cirrus. 5 ISCCP is essentially using two atmospheric window channels (IR and VIS, the latter only during 6 daytime). Considering passive remote sensing, For the GEWEX Cloud Assessment data base the eight-7 times daily ISCCP results have been averaged to four specific local observation times: 3:00 AM, 9:00 8 AM, 3:00 PM and 9:00 PM, and a day night adjustment on CA, which is included in the original data, 9 has not been included to better illustrate the differences between VIS IR and IR only results. We separately examine daytime and nighttime observations mostly during day, corresponding to 1:30PM 10 (3:00PM for ISCCP, 9:30AM for IASI), and mostly during night, corresponding to 1:30AM (3:00AM 11 12 for ISCCP and 9:30PM for IASI) LT, respectively. tTotal cloud amount from the GEWEX Cloud 13 Assessment data base is about 0.68±0.03 (Stubenrauch et al., 2013), while CALIPSO-ST provides a 14 cloud amount of 0.73, because it includes subvisible cirrus.

15 We separately examine daytime and nighttime observations. While all data sets agree quite well on the 16 total cloud amountCA, with ISCCP and MODIS-CE providing smaller CA during night (both including 17 VIS information for cloud detection during daytime), CAHR exhibits a large spread, essentially due to 18 different sensitivity to thin cirrus : active lidar is the most sensitive, followed by IR sounders, as 19 confirmed in Figure 9. The CIRS results are very similar to the results from the AIRS-LMD cloud 20 climatology (Stubenrauch et al., 2010). The choice of ancillary data only slightly affects CA at night. 21 AIRS-CIRS results based on different ancillary data are also very similar as well as IASI-CIRS and 22 AIRS-CIRS results are also very similar, day and night. They present global averages of CA around 0.67 23 ó 0.70, formed by 40% high-level-clouds, 20% midlevel clouds and 40% low-level uppermost clouds as 24 seen from above. This is in excellent agreement with the results from CALIPSO. A The slightly higher 25 smaller value in CALIPSO CAMR (2014% instead of 1420%) can be explained is due by the fact to that 26 the different distinction between high-level and mid-level clouds: of CALIPSO is according to uses cloud 27 top height, whereas AIRS and IASI provide-use a cloud height which is about 1.5 km lower than the top 28 (see-section 3.23). When combining VIS and IR information, thin cirrus above low-level clouds tend to 29 be misidentified as mid-level clouds (ISCCP) or as low-level clouds (MODIS), leading to a not 30 negligible underestimation of CAHR (30% instead of 40%). During At nightime, for which when only 31 one-the IR channel is available, ISCCP underestimates the height of all semi-transparent high-level 32 clouds, so that CAHR drops to 15%. When IR spectral information is available, as for IR sounders and 33 MODIS, results are similar to those during daytime.

Differences between ocean and land, also presented in Figure 97, correspond to about 15% for 0.15 in total-CA, with about 20% more low-level clouds over ocean and about 10% more high-level and midlevel clouds over land. The CIRS retrievals provide similar values during day and night. It is interesting to note that during daytime the difference in CA shows a larger spread between the datasets, while during nighttimeat night the spread is larger for CALR. <u>During nighttimeAt night</u>, low-level clouds are more difficult to detect, especially over land.

7 Table 2 summarizes averages of these cloud amounts over the whole globe, over ocean and over land, 8 also contrasting NH and Southern hemisphere (SH) midlatitudes (30°-60°) and tropics (15°N-15°S). The 9 largest fraction of high-level clouds is situated in the tropics, and while the largest fraction of single layer 10 low-level clouds in the SH midlatitudes. Only about 10% of all clouds in the tropics are single layer midlevel clouds, compared to about 22% in the midlatitudes. As already discussed in sections 2.54 and 11 12 3.12, the uncertainty due to ancillary data in CA, as well as in CALR, due to ancillary data is largest over 13 land (about 5% and 10%, respectively), because linked to underestimation of low-level clouds are 14 underestimated during night with AIRS-NASA, during night and in the afternoon with ERA-Interim in 15 the afternoon. Uncertainties due to ancillary data are much smaller for high-level clouds. C. When 16 separating them into Considering further three distinct high-level cloud classes, of opaque, thick cirrus 17 and thin cirrus according to *e*<sub>eld</sub> (see section 2.54), uncertainties due to ancillary data are less than 5% at 18 low latitudes. In the midlatitudes,, increasing touncertainties for opaque clouds increase to 10% at 19 midlatitudes for opaque clouds, while those for cirrus do not exceed 5%. This can be explained by the 20 fact that might be due to interpolation of ancillary data in the case of opaque clouds the ancillary data 21 often have to be interpolated in time, with and atmospheric profiles and  $T_{surf}$  have having a larger 22 variability in the midlatitudes than in the tropics. While high-level opaque clouds only make 23 outrepresent about 5.2% of all clouds, while relative cloud amounts of thick cirrus and thin cirrus are 24 about 21.5% and 13%, Maximum values are observed in the tropics, respectively, with maximum 25 appearance in the tropics, of 7.5%, 27.5% and 21.5%, respectively (Table 3). Their relative amounts are 26 summarized in Table 3. The AnThe independent use of  $p_{cld}$  and  $\varepsilon_{cld}$  made it possible enabled us to 27 construct build a climatology of upper tropospheric cloud systems, by i) applying a spatial composite 28 technique on adjacent  $p_{eld}$  and ii) using  $\varepsilon_{cld}$  to distinguish convective core, cirrus anvil and thin cirrus of 29 these systems. These data have revealed for the first time that the  $\varepsilon_{cd}$  structure within of tropical anvils is related to the convective depth (Protopapadaki et al., 2017), which might have important consequences 30 31 on radiative feedbacks.

- 32 Figure <u>10-8</u> presents zonal averages of CA, CAH and CAL as well as effective cloud amount for total
- 33 (CAE) high-level (CAEH) and low-level (CAEL) clouds<sub>a</sub>. The annual zonal averages are presented

1 from for the three CIRS climatologies (AIRS, using AIRS-NASA and ERA-Interimtwo sets of ancillary 2 data, as well as and IASI, using ERA-Interim ancillary data) and the prior AIRS-LMD cloud 3 climatology. In addition, boreal winter and boreal summer zonal averages are shown for AIRS-CIRS 4 alone, but separately for each of the thirteen years to illustrate the inter-annual spread. Effective cloud 5 amount corresponds to the cloud amount weighted by cloud emissivity, and It therefore includes the IR 6 radiative effect of the detected clouds. In general, CAE is about 0.2 smaller than CA. Maximum CAH 7 and CAEH appear in the ITCZ, while maximum CAL and CAEL is found in the SH midlatitudes. 8 AllThe results of all CIRS climatologies are very similar, Interannual variability is largest in CA and 9 CAL (CAE and CAEL) in the NH polar region. One also observes that the midlatitude interannual variability of CAH is larger in winter than in summer, most probably linked to storm track variability. 10 When comparing the different CIRS retrievals, all agree in general very well, with AIRS CIRS and 11 12 IASI-CIRS with ERA-Interim being very close, whilewith AIRS-CIRS with-using AIRS-NASA 13 ancillary data presentings slightly more high-level clouds and less low-level clouds- around 60S and 14 slightly less CA and CAL in the NH polar region.

15 Figures <u>11 and 129</u> presents geographical maps of annual CAH and CAL, respectively., We as well as 16 seasonal differences. cCompared are AIRS-CIRS, ISCCP and CALIPSO-GOCCP, the latter two from 17 the GEWEX Cloud Assessment data base. In all datasets the most prominent feature in CAH is the 18 ITCZ and its shift towards the summer hemisphere. However, due to the better sensitivity to cirrus, the 19 absolute values and seasonal variations are more pronounced for AIRS-CIRS (IASI-CIRS, not shown) 20 and CALIPSO-GOCCP than for ISCCP. Due to the narrow nadir track of CALIPSO and the reduced 21 statistics of CALIPSO-GOCCP in the present GEWEX Cloud Assessment data base, these data look 22 noisier than AIRS-CIRS and ISCCP. In addition, jet streams and midlatitude storm tracks in winter, as 23 well as continental cirrus in summer can be distinguished. Considering CAL, AIRS-CIRS well-captures 24 well the stratocumulus regions off the West coasts of the continents and stratus decks in the subtropical 25 subsidence regions in winter, even if this type of cloud is easier to detect by using instruments including 26 VIS channels (during daytime, ISCCP) or active instruments (CALIPSO-GOCCP).

Time series of deseasonalized anomalies in global monthly mean CA, CAEH and CAEL of the three CIRS data sets are shown in Figure <u>13-10</u> over the time period of 2004 ó 2016 for AIRS and 2008 ó 2016 for IASI. To illustrate the effect of the calibration <u>accounting</u> for changes in atmospheric CO<sub>2</sub> concentration (section 2.<u>54</u>.2), <u>a-the</u> time series of <u>the</u> AIRS-CIRS <u>deseasonalized</u>-CA anomalies, without <u>having applied</u> this correction, is added. Whereas the uncorrected CA anomalies increase by about 0.040 within a decade, the magnitude of the calibrated CA and CAEL variations lie within 0.010 and of CAEH within 0.005, being mostly stable <u>withwithin</u> the uncertainty range. <u>Indeed, gGlobal</u>
 surface temperature did not increase much over this period (not shown).

3 The Latitudinal seasonal cycles of different cloud properties CA, CAH, CAL and  $T_{cld}$  (CT) from the 4 different data sets agree in general quite well (, is presented in Figure 11S4 of the supplement), 4 agree in 5 general quite well for six 30° wide latitude bands ranging from SH polar to NH polar, comparing results 6 from CIRS data and those from the GEWEX Cloud Assessment data base. As already acknowledged 7 during the GEWEX Cloud Assessment (Stubenrauch et al., 2013), the seasonal cycles agree quite well 8 between the different data sets, with exception of the polar regions where passive remote sensing does 9 not perform well and the CALIPSO data are not conform with the other data sets in the GEWEX Cloud 10 Assessment data base, because they exclude measurements from 1:30PM during polar night (polar 11 winter) and from 1:30AM during polar day (polar summer). The most prominent features of the 12 latitudinal seasonal cycles are i) the shift of the ITCZ towards the summer hemisphere, seen as an 13 amplitudinal signal of 0.1 in CA, 0.3 in CAH and 16 K in CT in the SH and NH tropical bands (mostly 14 over land, not shown) and ii) less clouds in late summer in the midlatitudes (mostly over ocean and stronger in NH, not shown). The seasonal cycle of cloud temperatureCT is largest in the polar regions 15 16 (coherent for all data sets), followed by SH sub-tropical band, NH midlatitudes, NH sub-tropical band 17 and smallest in SH midlatitudes, with amplitudes ranging from 20 K to 10 K. However, while the CT 18 amplitude is linked to change in cloud height in theat low latitudes, it is more related to change in 19 atmospheric temperature (and corresponding cloud temperatureCT) at higher latitudes.

#### 20 **5** Applications

After the comparisons to other datasetshaving demonstrated the reliability of the CIRS cloud climatologiesy in sections 3 and 4, which have proven the reliability of the CIRS upper tropospheric clouds, we present in the following two-analyses on upper tropospheric (UT) cloud variability with respect to changes in atmospheric conditions. to These illustrate the usefulness-added value of the CIRS cloud data for climate studies.

## 26 5.1 Studying hHemispheric differences in UT clouds

While the NH and the SH reflect the same amount of sunlight within 0.2 Wm<sup>2</sup> (Stephens *et al.*, 2015), there is a small energy imbalance between both hemispheres of our planet, with slightly more energy absorbed by the SH (0.9 Wm<sup>-2</sup>). This, yieldsing more frequent precipitation in the SH and-while more intense precipitation in the NH (Stephens *et al.*, 2016). The latter might be linked to the characteristics of the ITCZ, a zone of strong convection, which itself produces large cirrus anvils. As the size of these anvils is on average positively related to convective strength (e. g. Protopapadaki *et al.*, 2017), we

- 1 explore -- the annual mean and seasonal hemispheric difference of high cloud amount and try to relate it
- 2 to the characteristics of the ITCZ, such as its peak strength, the latitudinal position of the peak and its
- 3 <u>width.</u>
- 4 TFor this analysis, these ITCZ characteristics have been determined by fitting a Gaussian around the
- 5 tropical peak of the latitudinal CAH distributions (Figure 8), per month and year. This yields the latitude
- 6 of the peak position, the value of the peak itself, and the width of the tropical CAH distribution. The peak
- 7 <u>height might give an indication of the strength of the ITCZ.</u>
- 8 The more intense precipitation in the NH is probably linked to the fact that on annual average the ITCZ
- 9 peak latitude is about  $5^{\circ}$ N, shown in Figure 1<u>1</u>5. On average, total CA is about 10% (0.06) smaller in the
- 10 NH than in the SH (excluding the polar regions), without a pronounced seasonal cycle (not shown). This
- 11 is linked to more clouds over ocean than over land, producing the increased reflection in the SH
- 12 midlatitudes as discussed in (Stephens *et al.*, 2015). From Figure 15-11 we deduce that the annual NH-
- 13 SH difference in CAH between NH and SH is 0.05, with a pronounced seasonal cycle of about 0.3 in
- 14 amplitude. Results from the <u>three</u> CIRS cloud climatologies <u>(AIRS with two ancillary data sets and</u>
- 15 <u>IASI</u>, AIRS-LMD, CALIPSO-GOCCP, ISCCP and MODIS-CE are <u>very</u>-similar. This seasonal cycle
- 16 corresponds-is well related to the one of the ITCZ peak latitude, which moves up to 12°N in July. It is
- 17 also-interesting to note that the width of the ITCZ is smaller in July / August ( $10.5^{\circ}$  ó  $12.5^{\circ}$ ) than in
- 18 January (17°) and the CAH peak is about 10% larger in August than in January. which This would
- 19 <u>might suggest a more even more intense precipitation in the ITCZ (and hence more intense precipitation)</u>
- 20 when it is located in the NH in boreal summer than when it is located in the SH.
- 21 All datasets agree well on the ITCZ peak latitude. The smaller maximum CAH values of MODIS-CE
- 22 and ISCCP are due to smaller sensitivity to thin cirrus, and the reduced seasonal cycle of maximum
- 23 CAH and of ITCZ width for CALIPSO-GOCCP is due to the inclusion of ubiquitous thinner cirrus,
- 24 leading to less well pronounced CAH minima in the subtropics. The CIRS climatologies reveal the
- 25 seasonal behaviour of the ITCZ characteristics clearly. For this analysis, the properties of the ITCZ have
- 26 been determined by fitting the tropical peak of the latitudinal CAH distributions per month and year (as
- 27 in Figure 10). While all datasets agree on the ITCZ peak latitude and mostly on the ITCZ width (with the
- 28 Gaussian fit on the ITCZ maximum producing falsely a smaller width for CALIPSO-GOCCP, because
- 29 due to ubiquitous thin cirrus, the minima in the subtropics are not as well pronounced as in the other data
- 30 sets), MODIS-CE and ISCCP produce smaller absolute values of maximum CAH because of smaller
- 31 sensitivity to thin cirrus. The seasonal cycle of maximum CAH is reduced for CALIPSO-GOCCP and
- 32 AIRS-LMD due to the inclusion of thinner cirrus (for AIRS-LMD clouds down to  $\epsilon_{eld} > 0.05$ , compared
- 33 to a threshold for CIRS clouds of 0.10). Figure 115 confirms and extends the interpretation of the results

of (Stephens *et al.*, 2016), by <u>displaying a linking-relation between the hemispheric difference in hemispheric of CAH to the and shifting characteristics of the ITCZ, which seems to be more intense when its peak is situated -in the NH and its stronger intensity in the NH during boreal summer (smaller width and larger maximum).</u>

# 5 5.2 Studying El Niño-Southern Oscillation (ENSO) effects Relating surface temperature anomalies to changes in UT clouds

7 ENSO is the most dominant mode of interannual variability in the Earth& climate system (e.g. Bjerknes, 1969). The trade winds, blowing from east to west, warm the water as they push it, which leaves warm 8 9 water in the West Pacific Maritime Continent (WPMC) and cool water in the tropical East Pacific. 10 While warm air is rising, building up convection and upper tropospheric clouds, air dries over the cooler 11 water in the east, thus this SST gradient is responsible for the Walker circulation. ENSO events, El Niño 12 (warm phase) and La Niña (cold phase), are characterized by large scale SST anomalies in the tropical Pacific, compared to the normal situation described above. El Niño events are initiated by a positive SST 13 14 anomaly in the equatorial eastern and central Pacific which reduces the east west SST gradient and 15 hence the strength of the Walker circulation (Gill, 1980), resulting in weaker trade winds. The weaker 16 trade winds in turn drive the ocean circulation changes that further reinforce the SST anomaly. The 17 positive ocean atmosphere feedback leads to the warm phase of ENSO, which is characterized by strong 18 rising motion in the central Pacific and a descending branch over the initially strong convective area over 19 the WPMC. After an El Niño reaches its mature phase, negative feedbacks are required to terminate growth. According to Lloyd et al. (2012), the major source of this negative feedback stems from the 20 21 reduction in solar energy at the ocean surface by increased cloud cover over the warm water. Depending 22 on the location of maximum SST anomalies and associated atmospheric heating, El Niño events may be 23 distinguished as eastern and central Pacific warming events. A review is given by Wang et al. (2016). 24 The cold phase of ENSO (El Niña) starts with a cold SST anomaly in the tropical Pacific, increasing the 25 SST gradient and amplifying the Walker circulation, leading to stronger convection and more upper 26 tropospheric clouds over the WPMC.

To illustrate maximum climate variability patterns in the tropics, we contrast the strongest El Niño and La Niña events during the AIRS observation period, with multivariate ENSO index of 2.1 in Dec. 2015 and -1.6 in Dec. 2010, respectively. Figure 16 presents geographical difference patterns between these two ENSO modes in surface temperature and resulting atmospheric parameters, using AIRS-CIRS cloud data, collocated ERA-Interim data and outgoing longwave radiation (OLR) from NASA-AIRS (Susskind *et al.*, 2012). As described in the literature, and summarized in the paragraph above, Figure 16 confirms that during an El Niño event East and central Pacific strongly warm, while temperatures are

slightly cooler over the WPMC. The latter is warmer during La Niña. Higher SST@ lead to more water 1 2 vapour in the atmosphere, while the WPMC with its lower SST& is drier. The vertical updraft (negative 3 difference in vertical wind) intensifies in a narrow band just north of the equator over the Pacific west of 4 the WPMC and a short branch to the South-East, in a typical pattern. The pattern differences in fraction 5 of opaque high clouds represents the ones of convection, very similar to the updraft pattern, while high-6 level clouds increase over a wider part as outflowing anvils, in coherence with increasing water vapour, 7 while they decrease over the drier WPMC. Thin cirrus increase as parts of anvils in the two branches, but 8 also in the drier WPMC and North west of the convective band. The OLR pattern is very similar to the 9 one of CAH, increasing over WPMC and decreasing where CAH increases over the Pacific. The pattern 10 of changes in high-level cloud temperature (CTH) shows some differences from the patterns of the other variables. In general, CTH warms where there are also less high-level clouds and it is lower where the 11 12 updraft increases.

13 So far, thSince thee observational period of AIRS and IASI is too short to directly obtain study long-term 14 cloud feedbacksvariability related to climate warming, An-an alternative approach is to assess-analyse 15 cloud feedback-variability in response to interannual climate variability-like ENSO. Dessler (2010) 16 demonstrated that as the surface warms, cloud changes lead to trapping additional energy, i.e. the 17 longwave cloud feedback is positive. Zelinka and Hartmann (2011) investigated the response of tropical 18 mean cloud parameters to the ENSO cycle and their effect on top of atmosphere radiative fluxes. They 19 found during El Niño periods a decrease of high-level cloud amount as well as an increase in their height 20 which would have opposite effects on the OLR, with a dominating effect coming from the first. Susskind 21 et al. (2012) have shown that global mean and tropical mean OLR anomaly time series are strongly 22 correlated with ENSO variability, with OLR change resulting primarily from changes in mid-23 tropospheric water vapour and cloud amount over the WPMC and the East Pacific. Observed variability 24 in cloud, atmospheric and surface patterns due to ENSO variability can be used to constrain climate 25 modelling and to understand the processes behind these changes (e. g. Stephens et al., 2017). Though 26 interannual global tropical-mean the ENSO related SSTsurface temperature anomalies might not 27 correspond-directly relate to patterns of anthropogenic climate warming, Zhou et al. (2015) have shown 28 that interannual cloud feedback may be used to directly constrain the long-term cloud feedback. Changes 29 in the geographical pattern and amount of tropical high-levelUT tropical clouds s-leads to variations in 30 eloud radiativeatmospheric heating and cooling which then may influence the large-scale circulation, as 31 has already been shown by (e.g. Slingo and Slingo (1991, Tian and Ramanathan, 2003).

Since the radiative effects of high opaque clouds and thin cirrus are quite different, we investigate the geographical patterns of <u>UT</u> cloud amount changes anomalies ( $p_{eld} < 330$  hPa) with respect to tropical

1 and tropical global mean surface temperature changes anomalies, separately by separating them into for 2 high opaque, thick cirrus and thin cirrus ( $p_{eld} < 330$  hPa,  $\varepsilon_{cld} > 0.95$ ,  $\varepsilon_{eld}$  between 0.45 and - 0.95 and  $\varepsilon_{eld} < 0.95$ 3 0.45, corresponding to visible COD > 6, 1 - 6 and < 1, respectively). By making use of the whole period 4 between 2003 and 2015 (covering 156 months), we determine estimate a change in upper 5 troposphericUT cloud amount as a function of change in tropical-mean surface temperature by a linear 6 regression of their deseasonalized monthly time-anomalies, at a spatial resolution of 1° latitude x 1° longitude. Similar techniques were already utilissed in other studies related to El Niño ó Southern 7 8 Oscillation (ENSO) and cloud feedback (e.g. Lloyd et al., 2012; Zhou et al., 2013, Zhou et al., 2014, 9 Yue et al., 2017Liu et al., 2017). Figure 17-12 presents the change in amount of high opaque cloud 10 (mostly of convective origin), in thick cirrus (often formed from convective outflow as anvils) and in thin 11 cirrus (which might be formed as anvil or via in situ freezing) per  $K^{2}$  of global surface warming in the 12 tropics (20°N ó 20°S), obtained as the linear slopes of these deseasonalized monthly time-anomaly 13 relationships. The cloud amounts are from AIRS-CIRS, while the surface temperatures are from the 14 ERA-Interim ancillary data. Results are very similar when using  $T_{surf}$  anomalies surface temperatures 15 from AIRS-NASA (not shownFigure S5 of the supplement2). Zhou et al. (2013) have shown that ERA-16 Interim  $T_{surf}$  anomalies give similar results in their short-term cloud feedback analysis, compared to other  $T_{surf}$  data sets. In our study, we concentrate on the change of UT clouds of different height ( $p_{cld} < 440$  hPa 17 18 and  $p_{cld} < 330$  hPa), and we compare changes in absolute UT cloud amounts and in UT cloud amounts 19 relative to total cloud amount. Figure 127 also presents The geographical patterns of the relative slope 20 uncertainty are shown in Figure S5 in the supplementy. In general, large changes in cloud amount per 21  $^{\circ}CK$  of warming have smaller uncertainty than small ones, indicating robust patterns.

22 During this period, global mean  $T_{surf}$  anomalies and tropical mean  $T_{surf}$  anomalies are strongly correlated 23 (not shown), and the spatial patterns in Figure 12 are compatible with ENSO-like patterns. The left 24 panels of Figure 12 agree quite well with Figure 98 of Liu et al. (2017), based on MODIS cloud amount 25 and HadCRUT4  $T_{suf}$  anomalies, even though our cloud types categories differ slightly. In particular, we have separated thin cirrus. Therefore the analyses suggest that the change patterns address ENSO 26 27 variability rather than long-term trends. When considering relative cloud type changes (middle panels in 28 Figure 12), the signals are stronger. An interesting feature appears when considering changes in the 29 relative amounts of higher clouds ( $p_{cld} < 330$  hPa, left panels of Figure 12): Even though the change in tropical mean temperature is mostly linked to ENSO variability over the studied period and it is still 30 31 uncertain how to relate these to long-term patterns due to anthropogenic climate warming, it is very 32 interesting to note that high opaque clouds and thin cirrus show very different change patterns. While the 33 high opaque clouds, linked to strong precipitation (Protopapadaki et al., 2017), relative to all clouds, increase in a narrow band in the tropics, there is a large increase in <u>relative</u> thin cirrus <u>amount</u> around
these regions, the latter <u>mighthypothesized to directly</u> affect <u>directly</u> the atmospheric circulation through
their radiative heating (e.g. Sohn, 1999; Lebsock *et al.*, 2010).

4 As in Liu et al. (2017), we We have also examined linear regression slopes from anomaly averages over 5 the tropics and other latitudinal bands, as in Liu et al. (2017). Although in general the relationships are 6 very noisy, on the interannual scale tropical cirrus amount slightly decreases with warming  $(-0.76 \pm 0.21)$ 7 %/K), while thin cirrus amount seems not affected (-0.09  $\pm$  0.20 %/K),- in agreement with Liu *et al.* 8 (2017). However, when considering changes in tropical cirrus and thin cirrus amount relative to total 9 cloud amount, at higher altitude ( $p_{cld} < 330$  hPa), both increase with warming (1.87 ± 0.52 %/K and 1.70  $\pm 0.54$  %/K), which means that these clouds are more frequent among all clouds when T<sub>surf</sub> gets warmer. 10 11 Even though the changes in mean  $T_{surf}$  are mostly linked to interannual variability over the studied period 12 and it is still uncertain how to relate these to long-term patterns due to anthropogenic climate warming, it 13 is very interesting to note that changes in amounts of high opaque clouds and thin cirrus, relative to all 14 clouds, show very different geographical patterns. To get a better understanding on the these-underlying 15 feedback processes one has to consider the heating rates of these upper troposphericUT cloud systems 16 and link them to the dynamics, which is foreseen in future work.

## 17 6 Conclusions

18 We have presented tTwo global climatologies of cloud properties have been presented, obtained built from AIRS and IASI observations by the CIRS cloud retrieval. This retrieval software package, 19 20 developed at LMD, can be easily adapted to any IR sounder. The retrieval method itself, based on a 21 weighted  $\chi^2$  method on radiances around along the wing of the 15 µm CO<sub>2</sub> absorption band, and the a 22 posterioria multi-spectral - a posteriori cloud detection, based on the spectral coherence of retrieved 23 cloud emissivities, have already been evaluated in previous publications. and In this study, we have 24 further demonstrated the reliability of these updated cloud climatologies-in this study. IR sounders are 25 especially advantageous for theto retrieveal of upper tropospheric cloud properties,. Their good spectral 26 resolution as they allows a reliably determinee cirrus identification properties down to an IR optical depth 27 of 0.1, day and night. The CIRS retrieval uses applies improved radiative transfer modelling, employs the 28 latest ancillary data (surface temperature, atmospheric profiles), and an original calibration method to 29 adjustaccounts simulated for atmospheric spectral transmissivity profiles changes according associated to 30 with latitudinal, seasonal and interannual atmospheric CO<sub>2</sub> concentration variations. This Taking into 31 account CO2 calibration method has removed variability The latter eliminates an artificial CA trend of 32 about 4% over the observation period 2004 to 2016;, which was directly related to not having taken into 1 account the anthropogenic CO<sub>2</sub> increase in the spectral transmissivities simulated for a specific 2 atmospheric CO<sub>2</sub> concentration. The magnitude of calibrated cloud amount and effective low-level 3 cloud amount deseasonalized variations lie within 1% and of effective high-level cloud amount within 4 0.5% over this period.

5 Common aAncillary data (surface temperature, atmospheric profiles) come from the meteorological 6 reanalyses ERA-Interim, which have been interpolated to the observation times of AIRS and IASI. 7 Additional ancillary data, established application offrom NASA AIRS retrievals, retrieved AIRS NASA 8 ancillary data allowedpermitted to iteratively make adjustments to both sets of ancillary data for optimal 9 results in cloud properties and also to estimate uncertainties in cloud amounts. Since the cloud detection 10 depends on the coherence of spectral cloud emissivity, the surface temperature influences only slightly the cloud amount (in particular the one of low-level clouds). AIRS total cloud amount is 6770% / 11 12 (7067%), high-level cloud amount 27% - (27%) and low-level cloud amount 297% - (279%), using 13 ERA-Interim (AIRS-NASA) / ERA Interimancillary data. , This giving ancorresponds to uncertainty 14 estimates of  $-5\% \vdash and 10\%$  uncertainty on global averages for <u>of</u> CA  $\vdash and$  CAL, respectively. Uncertainties are larger over land and ice  $-\underline{or}$  snow than over ocean, in particular because  $T_{surf}$  of ERA-15 16 Interim is underestimated in the afternoon and  $T_{surf}$  of AIRS-NASA is underestimated during night due 17 to cloud contamination. In the future, the CIRS cloud retrieval might use ancillary data from ECMWF 18 meteorological analyses or from the new ECMWF meteorological reanalysis ERA5, both also 19 having with a better temporal and spatial resolution.

20 Cloud / clear skyCloud detection hit rates between AIRS-CIRS and -detection agrees with the one of 21 CALIPSO-CloudSat are in-854%  $\neq$  (8485%) over ocean, 7982%  $\neq$  (8279%) over land and 7370%  $\neq$ 22 (7073%) over ice and snow, for ERA-Interim (AIRS-NASA) / ERA-Interim ancillary data, respectively. 23 Typical *p<sub>cld</sub>* uncertainties in *cloud pressure* range from 30 hPa for high-level clouds to 120 hPa for low-24 level clouds, coinciding with which corresponds to about 1.2 km in altitude. A comparison with 25 CALIPSO-CloudSat has showns, that on average the CIRS retrieved cloud height lies only about 1 km 26 below is close to cloud top in the case of low-level clouds and lies about 1.5 km to 2.5 km below cloud 27 top in the case of high-level clouds. The latter leads to retrieved cloud temperatures which are about 10 K 28 warmer than the cloud top. This has to be considered when determining radiative effects or when 29 evaluating climate models. The CIRS retrieved cloud height coincides can be approximated with by the 30 middle-mean layer height (for optically thin clouds) or the mean between cloud top and apparent cloud 31 base (real cloud base for optically thin clouds or the cloud height at which the cloud reaches opacity, for 32 both high-level and low-level clouds), independently of *e*<sub>etd</sub>. When comparing to the height at which the cloud reaches a VIS optical depth of about 0.5, the CIRS retrieved cloud height, in the case of high-level 33

1 clouds, lies about 1 km above for optically thin clouds and about 1 km below for optically thick clouds.

- 2 While for low-level clouds this e apparent cloud-vertical extent distance is about 1-0.5 km, for high-level
- 3 clouds it slightly increases with  $\mathcal{E}_{cld}$ , from 3-0.7 km to 1.54 km, with slightly higher-larger values in the
- 4 tropics than in the midlatitudes, linked to diffusive cloud tops.

5 Total cloud amount consists of is partitioned into about 40% high-level clouds, and about 40% low-level 6 clouds and 20% mid-level clouds.<sub>5</sub> Tthe latter two <u>categories only are only</u> detected ed when not hidden 7 byin the absence of upper clouds. Upper tropospheric clouds are most abundant in the tropics, where 8 high opaque clouds make out 7.5%, thick cirrus 27.5% and thin cirrus 21.5% of all clouds. IASI values 9 are very similar. The most prominent features of latitudinal seasonal cycles are is the shift of the ITCZ 10 towards the summer hemisphere, with seen as an an-amplitudinal signale of 0.1 in CA, 0.3 in CAH and 11 16 K in CT in the SH and NH tropical bands, (and even stronger over land).

12 The 5% annual mean excess in upper tropospheric cloud amount in the Northern compared to the

- 13 Southern hemisphere has a pronounced seasonal cycle with a maximum of 25% in boreal summer have
- 14 been related to the characteristics of the ITCZ. The annual mean ITCZ peak latitude lies about 5°N with
- 15 a maximum of 10°N in boreal summer. At that time the ITCZ width is also narrower and the peak
- 16 slightly larger. This suggests that the NH-SH excess in CAH is mostly determined by the position and
- 17 <u>moving of the ITCZ.</u>
- 18 The asymmetry in CAH between Northern and Southern hemisphere with annual mean of 5% has a 19 pronounced seasonal cycle with a maximum of 25% in boreal summer, which can be linked to the shift 20 of the ITCZ peak latitude. The latter has an annual mean of 5°N, moving to 12°N with a slightly more
- 21 intense ITCZ (smaller width and larger maximum) in boreal summer.

22 To illustrate further the usefulness added value of the CIRS cloud data for climate studies, we have 23 finally presented ENSO effects and tropical geographical change patterns in changes of amount of high 24 opaque, cirrus and clouds and thin cirrus with respect to tropical global mean T<sub>surf</sub> surface temperature 25 changes. These are in agreement with earlier studies, while an examination of changes in tropical high 26 cirrus and thin cirrus amounts relative to total cloud amount revealed that these are more frequent among 27 all clouds when  $T_{surf}$  gets warmer. Even though the change in tropical mean  $T_{surf}$  temperature is mostly 28 linked to ENSO variability over the studied period and it is still uncertain how to relate these to long-term 29 patterns due to anthropogenic climate warming, the large difference in geographical change patterns in 30 changes of amounts of high opaque clouds and thin cirrus, realtive to total cloud amount, indicates that 31 their response to climate change may be different. which This might then have have consequences on the atmospheric circulation. To get a better understanding on these-the underlying 32

1 feedback processes, one has to consider the heating rates of these upper tropospheric cloud systems and 2 link them to the dynamics. Therefore the AIRS-CIRS and IASI-CIRS cloud data have been further used 3 to build upper tropospheric cloud systems (based on  $p_{cld}$ ) and then to distinguish convective cores, cirrus 4 anvil and thin cirrus according to  $\varepsilon_{cld}$  (Protopapadaki et al., 2017). These data are being further exploited, 5 together with other data and modelling at different scales, within the framework of the GEWEX 6 PROcess Evaluation Study on Upper Tropospheric Clouds and Convection (UTCC PROES, 7 Stubenrauch and Stephens, 2017) to advance our understanding on upper troposphericUT cloud 8 feedbacks.

9 The AIRS-CIRS and IASI-CIRS cloud climatologies will be made available at the French data centre
10 AERIS, which also will continue their production.

11

#### 12 7 Data availability

13 AIRS L1 data are available at https://mirador.gsfc.nasa.gov/. The NASA Science Team L2 standard 14 products (Version 6; Olsen et al., 20176) are available at https://mirador.gsfc.nasa.gov/. IASI L1 data are 15 available at the French Data centre-Centre AEROS. IASI L2 data provided by NOAA, are available at 16 the Comprehensive Large Array-data Stewardship System (CLASS) center (https://www. 17 class.ncdc.noaa.gov). The ARSA database is available at : http://climserv.ipsl.polytechnique.fr/fr/les-18 donnees/arsa-analyzed-radiosoundingsarchive .html. The operational version of the 4A radiative transfer 19 model (Scott and Chédin, 1981) is available at http://www.4aop.noveltis.com. The cloud climatologies 20 of the GEWEX Cloud Assessment data base are available at: http://ipsl.polytechnique.fr/gewexca. THE 21 The AIRS-CIRS and IASI-CIRS cloud climatologies will be made available by the French Data Centre 22 AERIS.

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- 1 Table 1. Agreement in cloudy and clear sky scenesHit rates between CALIPSO and the AIRS-CIRS and
- 2 <u>CALIPSO-CloudSat</u> <u>a posterioriø</u> cloud detection. Statistics include three years (2007-2009) collocated
- 3 observations at 1:30<u>AM</u> LT.

surface \ latitude	tropics		mid-	latitudes	polar	
ancillary data	AIRS	ERA	AIRS	ERA	AIRS	ERA
ocean	86.5%	84.2%	90.2%	91.5%	93.0%	95.0%
land	86.4%	83.2%	80.7%	77.6%	77.3%	79.7%
sea ice			71.5%	82.0%	71.2%	81.2%
snow	73.5%	71.9%	74.9%	68.5%	65.5%	66.7%

- 4 5
- 6 Table 2. Averages of a)-CA, b)-CAHR, c)-CAMR and d)-CALR (in %) from AIRS-LMD (2003-2009)/
- 7 ,-AIRS-CIRS (2003-2015, using-with\_AIRS-NASA / ERA-Interim ancillary data) and-/\_IASI-CIRS
- 8 (2008-2015, <u>using-with</u> ERA-Interim ancillary data).
- 9

<del>a)</del>

latitude band	AIRS-LMD	AIRS-	HASI-	<u>CALR (%)</u>
	<del>V1<u>CA</u> (%)</del>	CIRSCAHR (%)	CIRSCAMR (%)	
globe	67 <u>/ 67 / 70 / 67</u>	<u>41/41/40/40</u> 67	<u>67-18/19/19/20</u>	41/40/41/40
		/-70		
ocean	72/71/74/72	<u>38/38/37/37</u> 71	<u>16/16/17/18</u> 72	47/45/46/44
		/74		
land	56/57/59/56	<u>48/49/47/47</u> 57	<u>56-23/25/23/23</u>	<u>29/27/30/30</u>
		<del>/ 59</del>		
60°N ó 30°N	69 <u>/69/72/69</u>	40/40/40/4069	<del>69</del> - <u>22 / 23 / 22 / 22</u>	<u>38/37/38/38</u>
		<del>/ 72</del>		
15°N ó 15°S	67 / 63 / 66 / 62	<u>59 / 58 / 57 / 58</u> 63	<u>62-11/10/10/11</u>	<u>30/32/33/31</u>
		<del>/ 66</del>		
30°S ó 60°S	80 / 84 / 85 / 85	<u>28/30/30/29</u> 84	<del>85</del> <u>21/23/22/23</u>	51/47/48/48
		<del>/ 85</del>		

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- 1 Table 3. Averages of relative amount (in %) of opaque ( $\epsilon_{cld} > 0.95$ ), cirrus ( $0.95 > \epsilon_{cld} > 0.5$ ) and thin
- 2 cirrus ( $0.5 > \epsilon_{cld} \iff 0.15$ ) from AIRS-CIRS (2003-2015, using AIRS-NASA / ERA-Interim ancillary

latitude band	opaque / tot CA	cirrus / tot CA	thin Cirrus / tot CA
globe	5.3/5.0/5.4	21.7/21.5/20.9	13.4/13.0/12.9
ocean	5.0/4.5/4.9	20.0/19.9/19.2	12.5/12.0/12.1
land	6.1/5.9/6.6	25.8/25.3/24.9	15.6/15.2/14.7
60°N ó 30°N	5.4/4.8/5.4	22.9/23.5/22.8	11.1 / 11.0 / 10.9
15°N ó 15°S	7.3/7.0/7.7	28.2/27.5/26.8	21.6/21.3/22.1
30°S ó 60°S	4.8/4.2/4.4	17.5/18.9/18.1	6.9/6.6/5.9

3 data) / IASI-CIRS (2008-2015, using ERA-Interim ancillary data).

4



Figure 1. Normalized distributions of the difference between surface skin temperature, as used in the cloud retrieval, deduced from AIRS-NASA of good quality and from ERA-Interim, and collocated surface air temperature of the ARSA data base. Statistics includes January and July from 2003 6 2015, separately over land for colder temperatures ( $T_{surf} < 290$  K), over land for warmer temperatures ( $T_{surf} >$ 290 K) and over ocean.

9



4 NASA and ERA-Interim as used in the retrieval, separately at 1:30AM (left) and at 1:30PM (right)


2 Figure <u>34</u>. Normalized frequency distributions of the difference between the cloud height at which the 3 optical depth reaches a value of 0.5 from CALIPSO and  $z_{cld}$  from AIRS (left) and between the cloud top 4 height from CALIPSO and zeld from AIRS (right): - zeld from AIRS is compared to the cloud cloud layer 5 of CALIPSO, coherent with which also-corresponds to the one of CALIPSO-CloudSat-lidar GEOPROF, 6 and which is the closest to z<sub>cld</sub>. Analysis over tropics (30°N-30°S), midlatitudes (30°-60°) and polar 7 latitudes (60°-85°), separately for high-level clouds and for clouds with  $p_{cld} > 440$  hPa. Statistics includes 8 three years (2007-2009) of observations at 1:30 LT. AIRS-CIRS cloud retrievals The effect of using 9 different ancillary data is also presented from AIRS-NASA in red and from ERA-Interim in black, 10 separately for high-level clouds (full line) and for clouds with  $p_{eld} > 440$  hPa (broken line). Analysis over three latitude bands: 30°N-30°S (upper panel), 30°-60° (middle panel) and 60°-85° (lower 11 12 panel). Statistics includes three years (2007-2009) of observations at 1:30AM LT. 13



2 Figure <u>45</u>. Average <u>dDifference between a)</u> z<sub>cld</sub> <u>ó</u> z<sub>COD0.5</sub> from AIRS CIRS and CALIPSO height at

3 which the COD reaches about 0.5 (top), between <u>b</u> z<sub>top</sub> from CALIPSO and <u>z<sub>cld</sub> (middle)</u> and between

4 <u>c)</u> (*z<sub>top</sub>* and - *z<sub>cld</sub>*-), / (*z<sub>top</sub>* 6 *z<sub>app</sub>* base)scaled by apparent¢ cloud vertical extent, (bottom) as function of

5 AIP $\Sigma$ -XIP $\Sigma$   $\chi\lambda\omega\omega\delta$  chicotatives (green) and a lightly clouds in the tropics (red), midlatitudes (green) and

6 polar latitudes (blue), separately for high level clouds (left) and for low level (right) clouds.- Presented

7 are median values and the interquartile ranges. Three years of statistics, for cases where which z<sub>cld</sub> from

8 AIRS and zcop0.5 CALIPSO height lie within vertical cloud borders determined from CloudSat-

9 CALIPSO lidar GEOPROF. Observations at 1:30AM LT.

1 Statistical errors are negligible and the broken lines indicate a range between single layer clouds and

## 2 multi-layer clouds.



5Figure 6. Normalized frequency distributions of differences between CALIPSO cloud top and height at6which the COD reaches about 0.5 (left) and between CALIPSO cloud top and  $z_{edd}$  from AIRS (right) for7high-level clouds, in absolute values (top) and scaled by apparent vertical cloud extent (bottom).8Distributions are compared for clouds with  $c_{edd} > 0.8$  (full line),  $0.8 > c_{edd} > 0.4$  (broken line) and 0.4 >9 $c_{edd} > 0.1$  (dotted line). Three years of statistics for cases where  $z_{edd}$  from AIRS and CALIPSO height lie10within vertical cloud borders determined from CloudSat CALIPSO GEOPROF. Observations at 1:3011LT.

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Figure <u>57</u>. Normalized frequency distributions of <u>z<sub>cOD0.5</sub></u> from CALIPSO (black) and of <u>z<sub>cld</sub></u> from AIRS, using ancillary data from AIRS-NASA (red) and from ERA-Interim (green) and <u>z<sub>cOD0.5</sub></u> from CALIPSO (black), separately over land (top) and over ocean (bottom), in the tropics (left), midlatitude<u>ss (middle)</u> and polar latitudes (right). For each data set, two distributions are <u>showncompared</u>: for <u>statistics of</u> all detected clouds, except subvisible cirrus, (broken-dashed line) and for <u>only of single layer clouds with a</u> mostly cloudy fields of coverage filling the AIRS golf ball single layer clouds (full line).



2 Figure <u>68</u>. Normalized frequency distributions of  $p_{cld}$ , separately over land and over ocean in six latitude

3 bands of 30° from SH polar (left) to NH polar latitudes (right), in boreal winter (December, January,

- 4 February; blue) and in boreal summer (June, July, August; red). Compared are results from AIRS-CIRS
- 5 using two sets of ancillary data from AIRS-CIRS, using ancillary data from(-AIRS-NASA, (dashed line)
- 6 and from (ERA-Interim, -(dotted line), as well as from IASI-CIRS (full line), separately over land (top)
- 7 and over ocean (bottom) in six latitude bands of 30° from Southern hemisphere polar (left) to Northern
- 8 hemisphere polar latitudes (right), in boreal winter (December, January, February; blue) and in boreal
- 9 summer (June, July, August; red). Statistics from 2008.



2 Figure 79. Top: Global averages of total cloud amount (CA), as well as of and fraction of high-level, 3 mid-level and low-level cloud amount, relative to total cloud amount, (CAHR + CAMR + CALR = 1). 4 Comparisons of IR sounder cloud data (AIRS, IASI) with L3 data from the GEWEX Cloud Assessment 5 data base, separately for observations mostly during day (left), corresponding to 1:30PM; (3:00PM for 6 ISCCP and 9:30AM for IASI, left), and mostly during night (right), corresponding to 1:30AM; 7 (3:00AM for ISCCP and 9:30PM for IASI). Compared to the original ISCCP data, the day-night 8 adjustment on CA has not been included to better illustrate the differences between VIS-IR and IR-only 9 results. Bottom: Averages of ocean-land differences for the same parameters and data sets.





Figure <u>108</u>. <u>Annual mean z</u>Zonal distributions of CA, CAH and CAL (left) and CAE, CAEH and CAEL (right), separately as annual mean (top), in boreal winter (December, January, February; middle) and in boreal summer (June, July, August; bottom). <u>Results For the annual mean, cloud amounts are</u> compared between AIRS-CIRS, using ancillary data from AIRS-NASA (<u>full line</u>) and from ERA-Interim (<u>broken dashed line</u>), IASI-CIRS (<u>dotted line</u>) and AIRS-LMD-(<u>dash dotted line</u>).

- 1 For boreal winter and boreal summer, AIRS-CIRS (using AIRS-NASA ancillary data) is shown
- 2 separately for each year between 2003 to 2015, illustrating inter-annual variability.
- 3
- 4



Figure <u>9</u>44. <u>Top:</u> Geographical maps of annual CAH (left) <u>and CAL (right), from of AIRS-CIRS (2003-2015, top). compared to ISCCP (20031984-2007, middle) and CALIPSO-GOCCP
(2007-2008, bottom), the latter two from the GEWEX Cloud Assessment data base, as well as seasonal
</u>

- 9 anomalies of DJF (middle) and of JJA (right).
- 10



- 4 ISCCP (1984-2007, middle) and CALIPSO-GOCCP (2007-2008, bottom) from the GEWEX Cloud
- 5 Assessment data base, as well as seasonal anomalies of DJF (middle) and of JJA (right).



Figure 103. Time anomalies of deseasonalized CA, CAEH and CAEL over the globe. In the case of CA,
additional values are shown without calibration of spectral atmospheric transmissivities for changes in
atmospheric CO<sub>2</sub> concentration.



Figure 1<u>12.5</u> Seasonal cycle / annual average of (1) CAH differences between NH hemisphere (<u>0°-60N</u>)
and SH hemisphere (<u>60N0°-60S</u>)<sub>a</sub>; <u>seasonal-cycle / annual average-of(2)</u> ITCZ peak latitude, (<u>3</u>)
maximum CAH within ITCZ and (<u>4</u>) width of ITCZ-width.



respectively, in T<sub>suf</sub> (1. Panel, left), total atmospheric water vapour (1. Panel, right) and vertical wind at 500 hPa (2. Panel, left) from ERA Interim, in CAH (2. Panel, right), fraction of Cb (3. Panel, left), cloud temperature of high-level clouds (3. Panel, right) and fraction of thin cirrus (4. Panel, left) from AIRS-CIRS, and OLR (4. Panel, right) from AIRS-NASA.



Figure 1237. Left: Geographical maps of linear regression sSlopes of between change monthly mean anomalies in amount of Cb ( $\varepsilon_{cld} > 0.95$ , top row), cirrus-Ci ( $0.95 > \varepsilon_{cld} > 0.4$ , middle row) and thin cirrus Ci ( $0.4 > \varepsilon_{cld} > 0.1$ , bottom row) amount from AIRS-CIRS in % per °C of tropical global mean surface temperature anomalies warming ( $20^{\circ}N \circ 20^{\circ}S$ ) from ERA-Interim; left:  $p_{cld} < 440$  hPa, middle: relative cloud amount,; right:  $p_{cld} < 330$  hPa and relative cloud amount. Results using slope uncertainty for Cb (top), cirrus (middle) and thin cirrus (bottom) amount change per °C of tropical warming. Results using upper tropospheric ( $p_{cld} < 330$  hPa) cloud type anomalies from AIRS-CIRS and surface temperature anomalies from ERA-Interim of 156 months during the period 2003-2015.

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