Anonymous Referee #1

For clarity, the referee's comments are copied in black and our responses are offset in blue.

We thank the reviewer for their helpful comments and recommendations which we address below.

Important contribution, worthy of publication.

1. Calibration and classification of bio-particles is required (minimum theoretically, but possible practically) 'Bio-particles' misleading as measuring fluorescence, most of which may be down to biological origin, but by no means all Title: Real time detection of fluorescent particles in Antarctica

We do not feel that the title is misleading. We clearly state our conservative estimates of bioparticle concentrations and how they were classified.

P4 L22 Needs calibrating for different bio-particles as 2.35 L min-1 is a low flow rate

The sheath flow is filtered using a HEPA filter which will remove all particles at this flow rate.

P4 L30 What about non fluorescent bio-particles?

PBAP of interest (e.g., pollen, bacteria & fungal spores) have been demonstrated to show a detectable autofluorescent response with the WIBS (Hernandez et al., 2016, Savage et al., 2017). Non-fluorescent particles will exhibit fluorescent signal below the instrument fluorescence threshold, thus the fluorescent signal will be clipped at zero in the processed data as described in Crawford et al., (2015), however, this information and the particle size is still recorded and used to define the non-fluorescent particle population.

P5 L16-17 This sentence strongly suggests that UV-LIF needs proper calibration for bio-particles

We assume that the referee is referring to the requirement for a training library of autofluorescent signatures for comparative attribution, rather than a calibration for fluorescent intensity in our answer.

The laboratory categorisation of bioaerosols of interest is an ongoing area of research. To date there have been two significant systematic laboratory characterisation studies published using a similar instrument (WIBS-4A); Hernandez et al., (2016) and Savage et al., (2017). We have also performed our own characterisation for the purpose of validating machine learning algorithms experiments (e.g., Ruske et al., 2017 & Crawford et al., 2015).

The Hernandez et al., (2016) study characterised the autofluorescence of 14 bacterial, 13 pollen and 29 fungal spore samples. The Savage et al., (2017) study characterised 3 bacterial, 5 fungal, 14 pollen, 12 pure biofluorophore, 13 mineral dust, 6 HULIS, 3 PAH, 7 combustion soot and smoke, 3 brown carbon and 3 miscellaneous non-biological particle samples. These studies showed that each particle type demonstrated a broad characteristic autofluorescence, size and asymmetry factor that can be used to interpret and classify ambient measurements. We use such libraries to aide interpretation of our results, along with our own laboratory measurements, such as those provided in the supplementary material.

2. P4 L1 'near-sterile' is not appropriate as it cannot be substantiated, use 'low biomass'

We will revise this as suggested.

3. Further methodological detail required. P4 L16 'The instrument was designed to identify common fluorophores' detail needed here as fundamental to what is being measured

This is elucidated on P4 L34, briefly; FL1 is optimal for the detection of tryptophan and proteins; FL3 is optimal for NADH detection as described in Kaye et al. (2005).

P4 L22 Filtered – how, what proportion of bio-particles is removed by filtration?

This refers to the filtration of the sheath flow. This is filtered with a HEPA filter to remove all particles, such that the 0.23 L/min sample flow is sheathed in particle free air to constrain the aerosol into a controlled jet and to minimise contamination of the optics. As such, none of the sample flow aerosol is removed.

P5 L3 Many more bacteria are common aerosols, a diverse range of examples could be tested

The laboratory categorisation of bioaerosols of interest is an ongoing area of research. To date there have been two significant systematic laboratory characterisation studies published using a similar instrument (WIBS-4A); Hernandez et al., (2016) and Savage et al., (2017, under review). These studies cumulatively sampled 16 different bacterial samples and found that each predominantly fluoresces in channel FL1 and were generally under 2.5 μ m in diameter. While these studies are not exhaustive, the authors note that the fluorescent spectra observed should hold as a broad trend for each particle type.

P5 L1 This needs more detail in order for the reader to be able to repeat the approach

The details are provided in Gabey (2011) and Toprak & Schnaiter (2013). We will include a reference to the latter and update the text to the following:

"Whilst there have been no previous measurements of bioaerosol in the Antarctic using the UV-LIF technique, expected bacteria, such as the common Pseudomonas spp. (Antarctica), have been shown to fluoresce strongly in these wavebands, e.g. the laboratory studies reported by Gabey (2011) as part of the BIO-05 series of experiments where PBAP samples were wet sprayed into the 3.7 m³ NAUA aerosol chamber to be characterised prior to their injection into the 84 m³ AIDA cloud simulation chamber to assess their efficiency as atmospheric ice nuclei (Toprak & Schnaiter, 2013)."

P16 L1 What was the rationale for these pollen types?

The pollens selected are common allergens in the UK are readily available from commercial suppliers.

4. Further contextual detail helpful P5 L16 Specify what these 'many advantages' are?

We will update the sentence to the following to include the requested details:

UV-LIF spectrometers such as the WIBS have many advantages over traditional bioaerosol sampling methods (e.g., on-line single particle detection & high time resolution)...

5. Minor issues and typos P5 L3 Genus and species names in italics P5 L3 Capital A for Antarctica

We will correct this in the revised manuscript.

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M. T. Könemann (Referee #2)

For clarity, the referee's comments are copied in black and our responses are offset in blue.

Synopsis (Crawford et al.)

-Accept, minor revision-

The manuscript by Crawford et al. entitled "Real Time Detection of Airborne Bioparticles in Antarctica" presents the results of short-term measurements with a Wideband Integrated Bioaerosol Sensor (WIBS, Model 3D) at the Halley Base Clean Air Sector Laboratory (CASLab) during Antarctic Summer in 2015. Data were collected within a three-week period and subsequently analysed using a proven pre-processing- and data clustering approach specified in Crawford et al., 2015, 2016. Additionally, geospatial and meteorological analyses were performed for back- and source-tracking of potential primary biological aerosol particles (PBAPs) and non-biological particles like dust. The authors state the following major findings:

I. On average, fluorescent particles comprise 1.9 % out of the total aerosol concentration (in a size range between 0.8 and 20 μ m).

II. Two clusters were classified as dust particles (Cl3) and pollen (Cl4). Cluster Cl1 and Cl2 remain unclassified.

III. For some events, the fluorescent particle concentration seems to be strongly correlated to wind speed and/or wind direction.

IV. Pollen may undergo long-range transport from the coast of Southern America.

Even if commercially available instruments for laser/light-induced fluorescence detection (e.g. WIBS, UV-APS) are commonly used in the bioaerosol community for over 10 years, assessment of physical and technical instrument properties, data analyses and interpretation are still quite challenging. The current manuscript is well written and represents a useful data set out of a unique environment and, therefore, contributes an additional "piece in the puzzle" for a better understanding of aerosol dynamics and data analyses in the future. However, I have some comments/suggestions regarding data acquisition and interpretation which I will explain in detail in the following sections.

We thank the reviewer for their helpful comments and recommendations which we address below.

Specific Comments:

I. Short-term measurements with a single instrument in a complex environment with rather unknown atmospheric Dynamics As stated above, the use of LIF instruments is highly challenging and we're currently not even able to clearly explain (bio)aerosol dynamics in environmental systems right on our own doorstep. Especially therefore, measurements over a duration of roughly one month in Antarctica, with it's very low particle concentrations, will most likely lack statistical relevance to some extent. Additionally, only a single instrument was used for data acquisition without a point of reference in the form of other on- (e.g. an Optical Particle Sizer, OPS) or off-line (e.g. impactor) techniques to countercheck derived data from the WIBS-3D to i.) verify data accuracy and ii.) support results out of the cluster classification approach. Even if the authors refer to measurements with the same device prior to the campaign in Antarctica (page 15, line 24), the reader has to "trust" the measurement accuracy of the WIBS-3D used in this study. A simple, e.g.,

glass slide impactor for some quick microscopic analyses would have had improved the overall quality, especially by supporting cluster classifications.

This was an opportunistic pilot study in the region to assess the utility of the technique as part of a larger airborne experimental campaign which had very different scientific goals and objectives (Microphysics of Antarctic Clouds, MAC). As such, while other online aerosol instrumentation was running at the site, they were configured to detect nucleation burst events at much smaller sizes to support the cloud microphysics measurements. We agree that glass slide/impactor samples would have been of great benefit to the analysis and filter samples were taken during airborne operations from 01/12/15 onwards, however, no such samples were taken during wind event A where the majority of PBAP/pollen was observed.

II. Wind speed and inlet kinetics

Wind speeds on site ranging from 8.62 to 14.12 ms-1 (table 2, page 8). At such high rates, inlet kinetics becomes serious business. However, the flow rate of the bypass used (flow fan) is not stated, which becomes a critical factor for concentration- and size cutoffs. In general, the whole inlet system may need to be described a bit more in detail (e.g. was a diffusion or Nafion dryer used in between?). To me, figure 4, page 10 serves as an indicator for a potential sampling cutoff, where particle concentrations are decreasing above $\sim 14 \text{ ms-1}$. Therefore, it seems to me that the flow rate of the bypass was too low to force particles onto a bow-trajectory at such high wind speeds. Long story short: I think that particles at such wind speeds just flew over the inlet horizontally, not reaching the WIBS.

We thank the referee for their useful comments. We will include more detail on the sampling arrangement used and we will include a short discussion on the potential for reduced sampling efficiencies at high wind speeds in the revised manuscript.

III. Wind speed and snow/ice Crystals

Temperatures mostly below zero and high wind speed rates lead me to the thought in how far ice crystals from local sources may contribute to the measured data set. To me, it seems to be reasonable that, at least, a minor portion of particle concentrations counted, may be ice crystals. Furthermore, crystal structures on particle surfaces may also affect the asymmetry factor (and also sizing) by changing light scattering patterns detected by the Quad-PMT. However, the occurrence of ice crystals depends on the overall inlet system which needs, as stated above, a more detailed description.

While the aerosol inlet stack is not heated, CASLab is heated to a regular room temperature (~20 to 25 °C), thus we feel it is unlikely that an ice particle would make it to the sensing region of the instrument without melting or evaporating in the sample line between the inlet stack and the instrument. Furthermore the majority of the air sampled by the WIBS is used as a filtered sheath flow which has a longer residence time in the instrument, effectively heating and drying the air, which would further act to melt/evaporate any ice crystals before they could be detected. As such we believe the influence of ice crystals on the measurements to be negligible.

IV. Vessels as potential emission sources

Even if the marine traffic in this particular area is considered to be rather low, vessels as a potential particle emission source has to be kept in mind though. Attached is a link showing a traffic density map from 2015 (Click on density map button on left):

https://www.marinetraffic.com/en/ais/home/centerx:-59.2/centery:-64.6/zoom:4

As you can see in here, there is a main traffic route in NW direction including mostly tankers, cargoand fishing vessels. Compared to the back-trajectory analyses in figure 7 (page 14), all wind events (except for E) crossed or brushed the main traffic route for which I think that it has to be considered as a potential emission source to some extent.

We thank the referee for the useful suggestion and we will include a short discussion of marine vessels as a potential source in the revised manuscript.

V. Geospatial analyses

The data processing of figure 6 (page 12) is unclear to me and needs some further explanation. How were the land class types in combination with back-trajectories processed? Was the trajectory length used? Or was the trajectory "footprint" put onto a, e.g., raster map and blanked out?

The method to determine time spent over each land class followed three procedural steps:

1) The land class types were obtained from the sea ice fractional coverage (at 25 km resolution) maps, obtained from the product *Near-Real-Time DMSP SSMIS Daily Polar Gridded Sea Ice Concentrations* (Maslanik, J. and J. Stroeve. 1999), Available from the National Snow and Ice Data Center. In this dataset, sea ice, and land (continent/coast) was marked. Open water was deduced from areas where sea ice <5%. In practice this upper limit could be set to 1% or 10% without impacting the conclusions.

2) Back trajectory analysis performed using HYSPLIT (Stein et al., 2015); five-day back trajectories (one hour time step) were calculated using the National Centers for Environmental Prediction (NCEP) reanalysis meteorological field. (Stein et al., 2015).

3) At each time-step (hour) we determine the type of land for the lat/lon point of the back trajectory. We do this for all (hourly) back trajectories. Then the ratio of the occurrences of lat/lon over each type of land divided by the total number of points is derived for the last 12h, 48, 72h, etc.

Technical corrections: Single trajectory plots in figure 7 (page 14) need captions for better allocation.

We include text in each plot describing the period/wind event it covers to improve clarity in the revised manuscript.

Final comment: The current manuscript provides an interesting data set and will be useful for the whole bioaerosol community and should, therefore, be published. However, the authors need to state the general "case study-nature" of the manuscript more clearly and discuss effects and potential interferences which might occur in this complex environment (e.g. snow and ice, vessels)

more detailed. Furthermore, the inlet system used in this study needs some further description.

We will reiterate the case study nature of the work presented in the final paragraph of the manuscript and we will suggest that further long term studies with accompanying supporting measurements are needed to build up a climatology of bioaerosol events. The other suggestions are dealt with in previous responses to this review.

References

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Anonymous Referee #3

For clarity, the referee's comments are copied in black and our responses are offset in blue.

This manuscript presents measurements of fluorescent aerosol made in Antarctica over a period of weeks using a WIBS. Although the authors find that fluorescent particles are a minor component (a few %) of total aerosol, there are some interesting features in the data worthy of publication. WIBS data is analyzed using a clustering method previously published by this group and 4 component populations are identified. Two of these clusters (together accounting for >97% of the fluorescent aerosol) are only weakly fluorescent and are hypothesized to be non-biological fluorescent aerosol, possibly dust. The other two clusters have more fluorescent intensity, are hypothesized to be biological and one of these is very similar to a cluster identified from laboratory samples of various pollen. Fluorescent loadings are analyzed as a function of wind speed for specific periods of interest and the authors state that high levels of fluorescent aerosols were primarily (though not always) associated with flow from the NE.Back trajectories are also analyzed and the authors posit that fluorescent aerosol (and thus pollen) arrives at the site as a result of long range transport from as far away as South America.

We thank the reviewer for their helpful comments and recommendations which we address below.

Comments:

This paper presents the first fluorescent aerosol observations reported for Antarctica and, as such, it is a worthy contribution to the literature. However I found portions confusing and also recommend including more information in certain places. Much of my discomfort arises from the fact that the 5 periods of interest seem rather arbitrary, at least given the information presented. As such, I don't know how to interpret observed differences between these periods or what they mean for fluorescent aerosol in Antarctica more generally. Specific suggestions for improvement are included below.

1. With any discussion of intensity of fluorescent signals the question of calibration arises. I appreciate that there is, as yet, no widely-accepted calibration for fluorescence in the WIBS and it seems that this instrument has been used in numerous laboratory and field studies without significant intentional modification. There is some discussion of this in the discussion of pollen identification (i.e. that the same instrument was used to look at pollen samples and they look very similar in intensity to Cl4) however it would be appropriate to include a more thorough related discussion in the methods section. Do the authors have any information regarding the stability of fluorescent intensity measurements over time? Are the instrument gains used here the same as those used in previously published work from this group? Can you comment on whether or how changes or instability in fluorescent sensitivity would affect the clustering algorithm? Can the authors comment on what kinds of laboratory-generated particles they have observed to fall into the weakly, moderately, medium and highly fluorescent populations? Those categories seem arbitrary and are used only minimally in the subsequent analysis.

Details of the sampling methodology are provided in Ruske et al. (2016). We will include a short description of the methodology in Appendix A where this is discussed.

The instrument used here is periodically sent back to the manufacturer for servicing, where the PMT voltages and xenon powers are noted. No significant changes in these values have been recorded between servicing. In the field prior to the start of measurement, instrument response was checked with fluorescent doped PSLs to verify the instrument is responding sensibly, however, absolute comparison between calibrations is not possible due to variation in fluorescent intensity between batches and the degradation of the doping material with time.

The version of the WIBS used here does not feature multiple gain modes and the detector gain is expected to be similar to that of previous studies.

It is not anticipated that the unsupervised clustering algorithm used here would be sensitive to differences in detector gain/fluorescent instability since absolute values are not referenced to a training dataset as would be the case with supervised methods.

The use of weakly/moderately/highly fluorescent is used as a descriptor to aid the discussion of classification. Generally from our laboratory characterisation we observe pollens to be highly fluorescent; fungal spores to be medium to highly fluorescent; bacteria to be moderate to medium fluorescent and mineral dusts to be weakly fluorescent. Savage et al. (2017) have recently performed a series of systematic laboratory characterisations which demonstrate that these particle types display a comparable broad trend in fluorescent spectra intensities.

2. On a related note, it would be good to include the numbers of particles sampled that fell in each cluster and also the number of particles that saturated the detector. Do the detectors for this WIBS saturate around 2000 counts? If so, given that the stated average intensity in the pollen population is ~1800+/-300 after exclusion of saturating particles, it seems that a substantial fraction of pollen particles would saturate and you might be underestimating the contribution of that population.

We will include the number of particles attributed to each cluster in the revised manuscript.

There is an error in the technical description of the data analysis methods. In this analysis we retain any saturating particles to maximise the PBAP populations. We will correct this error in the revised manuscript and add a short discussion about why we have chosen to retain the saturating particles to maximise PBAP count.

3. A relatively minor point but, in your discussion of asymmetry factor, I believe dust is typically quite fractal (e.g. Bi, Huang et al, ACP 2016 or Yu, Zhu, et al, ACP 2015) yet your dust cluster AF indicates relatively sphere-like. Can the authors provide information to bolster confidence in the retrieved AF from the WIBS? (i.e. any data from calibrations with known aspherical particles or any corroborating reports of relatively spherical dust?)

The simple quadrant detector used here is incapable of detecting such fine detail, which may be captured by a more sophisticated detector such as the dual CMOS array used in the MBS for example. From our own laboratory characterisation experiments we have found that mineral dusts exhibit asymmetry factors of around 10, however, this work has not been published. Savage at al., (2017) performed a systematic characterisation of many particle types of interest using a WIBS-4A, which features a similar quadrant detector to the instrument used in this study. They characterised 13 mineral dusts of which many had an AF of approximately 10.

The quadrant detector AF proxy was calibrated for rod like particles using elliptical haematite as described in Kaye et al., (2007)

4. I am confused by the discussion surrounding the wind events. First, the authors define a level above which they consider fluorescent concentrations elevated and imply that they are going to look at periods where that happened. Then, however, two of the five periods in table 2 don't have elevated fluorescent concentrations (the 2nd and the 4th) while there are periods that seem to have elevated fluorescent concentrations that are not included in the analysis (i.e. early on in the project and on 11/29). Is the selection driven mainly by wind speed and direction? Why include the 5th period and not periods from 20-21 and 29 Nov? Are these just meant to be case studies of the different combinations of wind and aerosol loadings observed? Please clarify how these 5 periods of interest were chosen. It would also be helpful if these periods were marked in Figure 2 so that the reader doesn't have to mentally combine the table and the figure.

First we chose wind event A, based on its high concentrations of fluorescent material and PBAP cluster as a period of significant interest. This period featured high wind speeds from the NE, which is characteristic of the site (e.g., Renfrew & Anderson, 2002; Van Lipzig, et al., 2004) and confirmed by our own meteorological measurements during the experiment, as shown below:



Figure 1. Polar histogram of wind speed and direction during MAC measurement period. Frequency indicates the number of 5 minute integrations. Rings indicate 5ms⁻¹ wind speed intervals.

Wind events B, C & D were chosen for comparison to event A as they have similar speeds and directions, yet the fluorescent and PBAP concentrations were significantly less than for event A. Event E was chosen as a case study demonstrating the much less frequent SW. We will clarify the selection criteria in the revised manuscript and include a shaded area highlighting the events in the middle and bottom panels of figure 2 as requested.

5. In the text, the authors state that high levels of fluorescent aerosols were primarily associated with flow from the NE but I don't think this statement is supported by the data presented. To me it seems that there was one period of fluorescent enhancement from the NE and one from the W. There are possibly even two instances of high loadings with westerly flow if you consider the noisy but relatively elevated concentrations at the beginning of the project in addition to what was seen in the 5th highlighted period. Other instances of flow from either direction don't necessarily bring

elevated concentrations and I don't know what the explanation is for this behavior but I don't think it's as clean as currently presented.

We will rephrase this to state that while there are both wind events featuring high fluorescent concentrations from the NE and SW, only the NE wind event A features any significant PBAP cluster concentrations.

6. The authors also state that they see enhancements in the ratio of fluorescent to total aerosol at particular times. It is nearly impossible to assess this ratio from the graph presented. I recommend adding a panel or a figure to show a time series of the fluorescent fraction, possibly showing two traces where one shows the "dust-like" fluorescent fraction and one shows the PBAP fluorescent fraction.

We agree that is difficult to determine the fluorescent and PBAP to total aerosol concentration ratio from the figures presented in the manuscript. Showing the ratio time series as a panel in figure 2 made the figure too busy so it was omitted. We feel the best way to show the ratios are as a polar plot to demonstrate the influence of wind speed and direction, which we provide below and will include in the revised manuscript.



Figure 2. Polar plot of the ratio of fluorescent (left panel) and PBAP (right panel) to total aerosol concentration. Polar plots are a function of wind speed and wind direction, with concentric rings representing 5 ms⁻¹ increments.

7. I don't fully understand Figure 3. Was this made from the average of all periods when the wind was from the NE and, if so, how was this average calculated? How is it that the plot for total fluorescent particles has a component in the SW quadrant but the other two do not? In panel b, it is labelled as dust but also as Cl1. I thought dust was Cl3 and Cl1 was unclassified. Either way, why show the plot for one but not the other?

The presented figures are for the time period specified for wind event A to examine the influence of wind speed and direction, demonstrating a "hot spot" ENE at wind speeds > 10 ms⁻¹. We accept that the period being examined is not clear and we will clarify this in the revised manuscript. We will also revise the labelling of Cl1 to unclassified in the text to be consistent with table 1. We chose to show Cl1 due to it similar wind response to PBAP.

8. In the caption of Fig 4 it is stated that these plots are only for the NE wind event with the highest fluorescent loadings however the text on lines 1-3 of the same page implies that it is for all of the selected events. Please make these consistent. If the graphs are really only for a single event, it would be interesting to know whether similar behaviour was observed during other periods. What does it look like if similar graphs are made for the westerly event that had relatively high fluorescent loadings?

The figure caption displays this correct period (wind event A). We will correct the text to state this.

The SW wind event (E) does display an increase in the total fluorescent concentration with increasing wind speed, however, very little of Cl1 is observed and virtually no PBAP. The fluorescent ratio is also constant with increasing wind speed during this event.



Figure 3. Fluorescent particle concentrations as a function of wind speed for the period 14/12/2015 - 16/12/2015 for: (a) Total fluorescent particles, N_{FL} ; (b) Moderately fluorescent particles, N_{Cl1} ; (c) Ratio of total fluorescent particles to total particle concentration N_{FL} : N_{TOT} and (d) $N_{PBAP}(N_{Cl2}+N_{Cl4})$

9. I am not well-versed in calculations of flux, and I cannot speak to the validity of the method used here. In any case, I don't really see the point of calculating a flux under the present circumstances. If the elevated concentrations are episodic and not systematically associated with a particular flow direction or meteorological context, then it doesn't seem that this is likely to represent flux from sea ice or the ocean or any other dispersed source but, rather, will represent flux from a particular but unidentified bioaerosol source at an unknown location and I don't see the utility. Flux from the local environment might be better assessed by looking at wind events without elevated fluorescent concentrations but, again, I don't know enough about flux to know if this would be robust or even possible.

Previous studies have used simple concentration enhancements as a function of wind speed to imply local emissions and emission fluxes from surfaces (e.g., Sesartic and Dallafior, 2011, and references therein). We show that such approaches are overly simplistic and more robust micrometeorological methods will be needed for bioparticle flux estimates, particularly in these ice dominated ecosystems.

10. With regard to the airmass trajectory analysis, it would be nice to see maps for all of the events discussed. Was event A the only time that flow arrived from S. America or was there a time with similar back trajectories but little fluorescent aerosol enhancement?

We selected the airmass trajectories to display events of interest for comparison. We will amend figure 7 to include a representative trajectory from each event. There was only one other period displaying significant flow from S. America (27/11/15, shown below), however, this coincided with some of the lowest fluorescent concentrations observed, with no PBAP detected.



Figure 4. 5-day back trajectory analysis using the NAME particle dispersion model for 27/11/15.

11. As stated above, much of my discomfort with this paper arises from the fact that so much of the discussion centers on analysis of 5 events (and of those 5, only one or two get much attention) and the selection of these events is unclear to me. It is therefore difficult to develop a sense for how representative they might be, how to interpret the variability between them or what they mean in a larger context. The text is often written as though systematic relationships have been found which I find a bit misleading given that the study duration was relatively short and these "relationships" are extrapolated from single events. I recommend rephrasing these statements and revisiting the data analysis to more clearly delineate the observations themselves, the generalizations made based on the observations and the limitations to these generalizations imposed by the short duration of the study and the episodic nature of the environment.

It is not our intention to present the measurements from this short, opportunistic pilot study to be generally representative of Antarctic bioaerosol. As we replied to referee #2, we will reiterate the case study nature of the work presented in the final paragraph of the manuscript and we will suggest that further long term studies with accompanying supporting measurements are needed to build up a climatology of bioaerosol events to assess the influence of long range transport of PBAP/pollen from South America.

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Real Time Detection of Airborne Bioparticles in Antarctica

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Abstract. We demonstrate for the first time, continuous real-time observations of airborne bio-fluorescent aerosols recorded at the British Antarctic Survey's Halley VI Research Station, located on the Brunt ice shelf close to the Weddell Sea coast (Lat. 75°34'59"S, Long. 26°10'0"W) during Antarctic Summer, 2015. As part of the NERC MAC (Microphysics of Antarctic Clouds) aircraft aerosol cloud interaction project, observations with a real-time Ultraviolet Light Induced Fluorescence (UV-

5 LIF) spectrometer were conducted to quantify airborne biological containing particle concentrations along with dust particles as a function of wind speed and direction over a three week period.

Significant, intermittent enhancements of both non- and bio-fluorescent particles were observed to varying degrees in very specific wind directions and during strong wind events. Analysis of the particle UV induced emission spectra, particle sizes and shapes recorded during these events suggest the majority of particles were likely a subset of dust with weak fluorescence

10 emission responses. A minor fraction, however, were clearly primary biological particles that were very strongly fluorescent, with a subset identified as likely being pollen based on comparison with laboratory data obtained using the same instrument.

A strong correlation of biofluorescent particles with wind speed was observed in some, but not all, periods. Interestingly the fraction of fluorescent particles to total particle concentration also increased significantly with wind speed during these events. The enhancement in concentrations of these particles could be interpreted as due to re-suspension from the local ice

- surface but more likely due to emissions from distal sources within Antarctica as well as intercontinental transport. Likely distal sources identified by back trajectory analyses and dispersion modelling were the coastal ice margin zones in Halley Bay consisting of bird colonies with likely associated high bacterial activity together with contributions from exposed ice margin bacterial colonies but also long range transport from the southern coasts of Argentina and Chile. Average total concentrations of total fluorescent aerosols were found to be $1.9 \pm 2.6 L^{-1}$ over a 3 week period crossing over from November into December,
- 20 but peak concentrations during intermittent enhancement events could be up to several 10's L^{-1} . The usefulness of the measurement technique for quantification of airborne bioaerosol concentrations, and to understand their dispersion and potential importance for microbial colonisation of Antarctica is highlighted.

1 Introduction

Incursion of biological aerosols (bacteria, fungal spores and pollen) by intermittent inter-continental transport has long been considered an important pathway for potential re-colonisation of the Antarctic biome (Pearce et al., 2016, 2009) in this climate-change sensitive continent. However, the airborne transport and dispersal of biological particles to or within the continent has

- 5 not been rigorously documented, except from a paleoclimatological perspective. Pearce et al. (2009) suggested that due to the prevailing wind patterns there, it is likely that a proportion of the observed aerobiota will have originated locally. To date, no experiments have attempted to quantify bioaerosol surface emission and deposition fluxes to verify this. Understanding the sources of Antarctic bioaerosols and their dispersion is important for assessing future climate change impacts on the continent's biodiversity. Bioaerosol sources and redistribution mechanisms are also of interest in understanding the contribution to the
- 10 possible enhancement of climate aerosol-cloud feedback processes in this pristine environment, influencing the evolution of the ice-liquid phase in polar clouds via efficient ice nucleation (Wilson et al., 2015a; DeMott et al., 1999) and subsequently impacting radiative feedback responses, e.g. Tan et al. (2016).

1.1 Aerobiology of Antarctica

The Antarctic continent is host to a range of active microbial communities which are discussed below. Studies in this region of Antarctica examining the influence of inter-continental transport of biologiocal aerosols were conducted as part of short 2-week studies to catalogue airborne microbial diversity; one in the Austral summer of 2004 and a second in winter of 2005, at the Halley V station. Air masses during these short studies had mostly traversed open sea and land ice near Dronning Maud

- Land before arriving at the station, but had still spent significant time over Antarctic continental landmasses, especially during easterly winds (Pearce et al., 2009).
- Psychrophilic bacteria have been observed in high concentrations in ice samples collected from the Weddell Sea ice edge (Delille, 1992; Helmke and Weyland, 1995). These generally present as rod-like structures approximately 2-3 µm in length; gas vacuole bacteria have also been observed in samples from Antarctic ice-seawater interfaces (IRGENS et al., 1996). It has been suggested that sea-ice melting may alter bacterial availability and hence influence the flux cycle to the atmosphere although this may in turn be reduced by increased bacterial grazing populations (Boras et al., 2010). Individual or aggregates of wind-borne bacteria are generally only transported relatively short distances from their source, however, aeolian dust particles are commonly observed to act as transporters of bacteria, with the potential for their global migration (Yamaguchi et al., 2012; Hallar et al., 2011; Prospero et al., 2005; Griffin et al., 2003). This potential has been highlighted by recent aircraft studies

(e.g., Liu et al., 2015).

Diatoms have been observed to be lofted into the atmosphere by bubble bursting and wave breaking processes as the proposed emission mechanism (Cipriano and Blanchard, 1981) and they have been observed in atmospheric samples above sea level (Harper and McKay, 2010). Diatoms have been observed to act as efficient ice nuclei (Wilson et al., 2015b; Knopf et al., 2011; Schnell and Vali, 1976) and elevated ice nucleus concentrations have been reported over subpolar oceanic waters during phytoplankton blooms (Bigg, 1973), suggesting they may play a significant role in modifying cloud microphysical processes at warmer temperatures and in low aerosol concentration environments. Diatom phytoplankton communities are found in cryoconite holes on glaciers (Stanish et al., 2013; Yallop and Anesio, 2010). The holes are formed when wind-blown debris is deposited on the surface of the glacier, causing the surface to melt and form a water filled depression. The debris may contain microorganisms, such as diatoms, and organic material, allowing microbial communities to develop (Stanish et al., 2013). It

5 has recently been demonstrated by Musilova et al. (2016) that biological activity in cryoconite holes may lead to a significant decrease in glacier surface albedo, resulting in enhanced melting and subsequently increasing mass loss.

Penguin guano may also provide a potentially large coastal source of bacteria for airborne redistribution; Zdanowski et al. (2004) identified three major phylogenetic groups (Pseudomonadaceae, Flavobacteriaceae and MicrococcaceaePseudomonadaceae, Flavobacteriaceae and Micrococcaceae) of bacteria found in bird guano at King George Island, however, little is known about

10 the airborne concentrations and dispersion rates of these microorganisms in this region.

Airborne fungal particles have not been investigated at Halley station to our knowledge. Seasonal airborne spores have been monitored at Signy island, 700 km from the continental Antarctic, in the South Orkney Islands, (60°43'S, 45°36'W) (Marshall, 1996). This work highlighted the possible importance of episodic inter-continental transport of spores as a potentially important contributor to Antarctic biome re-colonisation and ecosystem diversity. The commonest spores found were Ascospores

15 (*Cladosporium conidia*) with daily mean counts ranging from 2.6 to $9.4 \ge 10^{-6} \ L^{-1}$. Maximum concentrations were recorded during episodic events likely associated with air-masses from South America. Concentrations could be between 13 to 24 times those of background levels.

Whilst identification of some extremophile microbial populations has been carried out in Antarctica and shown to be dependent on specific air mass trajectory conditions, there has been little in the way of mechanistic studies that quantify concentrations, fluxes or dispersion patterns of these particles once introduced into the continental region (Pearce et al., 2016).

2 Methods

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2.1 Site Description

Aerosol sampling was conducted at the Halley Base Clean Air Sector Laboratory (CASLab) over the period 18 November to 16 December 2015. CASLab was located close to the coast on the Brunt Ice shelf, (Lat. 75°34'59"S, Long. 26°10'0"W), and
was approximately 1.1 km SSE of the actual Halley VI research station, approximately 30 m above sea-ice level. It is exposed to the Weddell Sea from the north and west. Winds blow predominantly from the East to West with stronger winds commonly causing re-suspension of dry surface material, with peak winds of ~20 ms⁻¹ being observed on several occasions. Average temperature for the sampling period was -6.8°C, with the period from 18-23 November, however, being significantly colder (-11.5°C), than the remaining period average (-5.8°C). The warmest temperature, -1.2°C, was recorded on the 7 December and the coldest, -19°C, recorded on the 19 November.

Pollution from the Halley station diesel generators rarely impacts CASLab due to it being south of the station (off the prevailing wind direction). Furthermore, access is strictly limited to it by foot or by ski. Vehicle access for equipment supply is particularly restricted, and occurs very infrequently (two to three times per year). Any such periods have been excluded from

the analysis presented here to minimise contamination artefacts. Due to its isolation, surrounded as it is by "near-sterilelow biomass" snow and ice, most airborne biota are generally considered to have been transported many hundreds of kilometres before reaching the station. However, resuspension of coastal biological containing particles associated with e.g. guano related to bird colonies, Fretwell et al. (2012), as well as from local ice surface sources must also be considered. The nearest ice-free

5 surfaces are the Heimefrontfjella mountain range in East Antarctica, 400 km inland of the Weddell Sea's eastern margin in Western Dronning Maud Land (Jacobs et al., 1996). These extend to over 2000 m above sea level inland and are characterised by very low biomass and biodiversity with no terrestrial vegetation and virtually no birdlife.

The CASLab consists of a stack of three standard 20 foot shipping containers mounted on a steel platform which is raised every 2 years to compensate for snow accumulation and to maintain a constant height above the snow surface. The laboratory

10 is equipped with a stainless steel aerosol inlet comprising a vertical 200 mm i.d. sample stack fitted with a protective snow cowl. Sample air is drawn through the stack by a variable flow fan so as to maintain isokinetic sampling at approximately 240 L min⁻¹. Individual instruments are connected to the base of the stack by stainless steel sample lines and these extend well into the main aerosol duct(Jones et al., 2008). Further details of the aerosol inlet used in this study are provided in Jones et al. (2008). The effective sampling height for the aerosol measurements in this study was approximately 8 m.

15 2.2 Instrumentation

Fluorescent aerosol number-size distributions were continuously measured using a Wideband Integrated Bioaerosol Spectrometer (WIBS-3D; University of Hertfordshire) on a particle by particle basis. This instrument was designed to identify common bio-fluorophores and discriminate potentially harmful pathogenic bioaerosols from the background population. A full technical description of earlier and later versions of the instrument can be found in Kaye et al. (2005), Foot et al. (2008) and Stanley

20 et al. (2011), while results from monitoring bioaerosols and analysis tools for identification of bioaerosols, mainly at remote sites, can be found in Crawford et al. (2016, 2014), Whitehead et al. (2016), Robinson et al. (2013), O'Connor et al. (2014), Stanley et al. (2011), and Gabey et al. (2013, 2011, 2010).

The instrument has an inlet flow of 2.35 Lmin^{-1} , the majority of which is filtered to provide a clean sheath flow for the 0.23 Lmin^{-1} sample flow aerosol jet. Aerosol in the sample flow is illuminated by a 635 nm laser and the resultant scattered

- 25 light is used to determine the particle size and shape using a quadrant detector, where the shape factor (AF) is intepreted as follows: AF < 10-15 is indicative of near spherical particles, AF > 20 aspherical particles, and AF > 30 fibre or rod like particles (Kaye et al., 2005). The scattering signal is used to sequentially trigger two xenon flash lamps, filtered to output light at 280 and 370 nm, to excite the sample aerosol. Any resultant autofluorescent emissions are collected and filtered into two detection bands (300-400 nm & 420-650 nm) and measured by photomultiplier tubes. This process takes approximately
- 30 25 μ s and the instrument has a maximum detection rate of 125 particles s⁻¹ due to the maximum strobe rate of the flash lamps. This provides three measurements of particle autofluorescence over two excitation wavelengths, particle size and an approximation of particle shape on a single particle basis. The autofluorescence measurements are often referred to as: FL1 (Excitation at 280 nm, Detection at 300-400 nm), FL2 (Excitation at 280 nm, Detection at 420-650 nm), and FL3 (Excitation at 370 nm, Detection at 420-650 nm. The excitation bands of 280 and 370 nm are optimal for excitation of the more common

bio-fluorophores, Tryptophan and NADH respectively. The detection wavebands, 300-400 nm and 420-650 nm, also cover the expected bio-fluorophore emission bands of a wide range of other bio-molecular markers (Pöhlker et al., 2012). Whilst there have been no previous measurements of bioaerosol in the Antarctic using the UV-LIF technique, expected bacteria, such as the common Pseudomonas spp. (antarctica)Pseudomonas spp. (Antarctica), have been shown to fluoresce strongly

- 5 in these wavebands, e.g. the laboratory studies reported by Gabey (2011) as part of the BIO-05 series of experiments where PBAP samples were wet sprayed into the 3.7 m³ NAUA aerosol chamber to be characterised prior to their injection into the 84 m³ AIDA cloud simulation chamber to assess their efficiency as atmospheric ice nuclei (Toprak and Schnaiter, 2013). In addition, laboratory cultures of marine bacteria and algae, that might be expected in this region, also demonstrate tryptophanlike fluorescence (Dalterio et al., 1986; Petersen, 1989), suggesting that the technique is capable of detecting such particles if
- 10 they are present.

In the WIBS instruments in general a particle is considered to be fluorescent in a given channel (FL1-3), if a threshold fluorescence based on the chamber background mean fluorescence plus 3σ is exceeded. The WIBS-3D can detect particles with optical diameters between 0.5 to 20 µm, however, due to detector sensitivity and background fluorescence within the optical chamber, the fluorescence of aerosol with diameters $D_p < 0.8$ µm cannot be accurately determined and the counting

- 15 efficiency decreases at smaller sizes (Gabey et al., 2011). As such all analysis presented here will be limited to aerosols with diameters $D_p \ge 0.8 \ \mu\text{m}$. We define a particle to be weakly fluorescent if the maximum detector signal in any channel is marginally greater than the applied threshold, e.g., < 20; a moderately fluorescent particle is defined as displaying a maximum fluorescence in any channel in the range of 20-100; similarly, medium fluorescence is defined over a detector range of 100-500 and highly fluorescent as > 500.
- 20 UV-LIF spectrometers such as the WIBS have many advantages over traditional bioaerosol sampling methods, <u>e.g., on-line</u> single particle detection & high time resolution, however, some non-biological fluorescent interferent particles can also show weak auto-fluorescence and so can be a source of false-positives resulting in potential artefacts when interpreting biological materials. This means there can be difficulties discriminating some classes of biological particles unambiguously. Generally the majority of identified interferent non-biological fluorescent aerosols have fluorescence levels similar to the detection limit of
- 25 the instrument; for example polycyclic aromatic hydrocarbons (PAHs) and PAH containing soot particles of small diameter (< 1 μm) have been demonstrated to fluoresce only weakly in FL1 (Toprak and Schnaiter, 2013; Pöhlker et al., 2012), however we would not expect to see significant concentrations of PAHs or soot particles at this remote site outside of long range transport events. Mineral dusts also contain a small subset of very weakly fluorescent particles due to the presence of luminescence centers within the minerals. These are often associated with rare earth elements, but their observed fluorescent intensity is</p>
- 30 considerably weaker than observed for primary biofluorophores, Pöhlker et al. (2012). Given the ubiquitous nature of mineral dusts, these weakly fluorescent dust sub-categories may present a significant, even dominant, fraction of recorded fluorescent material, therefore, at the measurement site, particularly during long-range transport events. As such they would likely form their own population clusters, as demonstrated in (Crawford et al., 2016).

Cluster	% of N_{FL}	FL1 (a.u.)	FL2 (a.u.)	FL3 (a.u.)	$D_p \ \mu \mathrm{m}$	AF (a.u.)	Class
C11	15.6	$5.7{\pm}20.5$	4.2 ± 12.8	54.9±77.7	5.3 ± 3.0	$24.5 {\pm} 8.1$	Unclassified
Cl2	2.1	$135.8 {\pm} 227.4$	172.1 ± 185.1	$765.6 {\pm} 535.9$	$7.7 {\pm} 4.0$	$19.9 {\pm} 9.2$	Unclassified
C13	82.1	1.9 ± 7.7	3.7 ± 8.0	$6.0{\pm}22.5$	$1.3 {\pm} 0.9$	$10.7 {\pm} 4.0$	Dust
Cl4	0.2	678.4±776.8	1810.6 ± 222.7	1831.3 ± 318.1	$8.1 {\pm} 5.2$	$18.8{\pm}7.7$	Pollen

Table 1. Ward linkage cluster analysis results for the period 18 November to 6 December 2015, showing; the % contribution of the cluster concentration to N_{FL} ; mean fluorescent intensities in channels FL1, FL2 and FL3; the average optical size, D_p (µm); the average shape factor, (AF - see text); and particle classification, for particles in each cluster.

2.3 Data Analysis Methods

In this study we use the approach of Crawford et al. (2016, 2015) for data pre-processing and subsequent cluster analysis. This method has successfully been used to differentiate and identify fungal spores, bacteria and mineral dust classes at remote forests and mountain top sites (Crawford et al., 2016; Gosselin et al., 2016; Whitehead et al., 2016; Crawford et al., 2015).

- 5 First the data to be clustered were filtered to remove particles with diameters smaller than 0.8 µm, and all non-fluorescent and saturating particles were also separated from this analysis particles to leave only fluorescent, non-saturating particles, particles. Due to the paucity of highly fluorescent particles we elected to retain saturating particles for clustering to maximise the populations of particles types of interest, e.g., pollens. The fluorescence, size and asymmetry factor single particle data were then normalised using the z-score method prior to clustering, using the Ward linkage. The optimum cluster solution was
- 10 validated using the Calinski-Harabasz criterion and then integrated time series products were generated for each cluster at 5 minute time resolution. The method used here is described in full in Crawford et al. (2015) and has been compared with other cluster and machine learning techniques by Ruske et al. (2017).

3 Results

The single particle data were collected at CASLab during the period 18 November to 6 December 2015. A subset of approximately 17,000 fluorescent particles were identified, which comprised 1.9% of the total number of particles recorded by WIBS, based on particle sizes $D_p \ge 0.8 \mu m$. The Calinski-Harabasz criterion returned a 4-cluster solution for the Ward linkage. A summary of the resultant cluster centroids is given in Table 1 and their relative contributions to the total aerosol population are presented in Fig. 1.

Cluster 3 (Cl3) was found to be the most dominant based on concentration, representing $\sim 82.1\%$ of the total fluorescent 20 particle population (13,949 particles). Cl3 displays weak fluorescence in all channels. This is consistent with cluster results 21 obtained from previous laboratory and field studies where a subset of mineral dust was identified as the contributor (Crawford

- et al., 2016; Pöhlker et al., 2012; Gabey, 2011). Particles in this cluster were small, $D_p \sim 1.3 \,\mu\text{m}$, with an AF value of ~ 11 , suggesting near spheroidal particles. Cluster 1 (Cl1) is the second most populous cluster, accounting for approximately 15.6% of the total fluorescent particle concentration (2646 particles), but with a much larger average D_p of $\sim 5.3 \,\mu\text{m}$, and with AF
- values of ~ 25 . Cl1 particles are therefore significantly more aspherical. Cl1, interestingly, also shows a moderate fluorescence



Figure 1. The relative proportions of the non-fluorescent, N_{NonFL} , and fluorescent, N_{FL} , aerosol populations (left); the cluster solution concentrations, Cluster 1 (Cl1, moderately fluorescent), Cl3 (very weakly fluorescent), and PBAP (strongly fluorescent, middle); PBAP here consists of the two clusters, Cl2 and Cl4 (right).

in FL3, which is significantly different to particles seen in Cluster 3 (Cl3). Without further information it is not possible to identify the actual particles that this fluorescent cluster may represent. We therefore speculate that it is possibly a much larger sized, more fluorescent, sub-population of Cl3, which is segregated from it simply owing to its larger size and asphericity, and is therefore possibly dust. However, this cluster behaviour has not been seen in previous studies and the fluorescence levels are

- 5 significantly higher than expected. Alternatively we speculate that this cluster may either be an unidentified large and aspherical primary biological aerosol particle (PBAP), which is UV resistant, or perhaps small UV resistant PBAP attached to a larger dust particle, as described by Yamaguchi et al. (2012). Also, sea salt emitted from open ocean or sea ice (Legrand et al., 2016) could carry PBAP material since both types of region host biological activity, which is known to impact aerosol population (Burrows et al., 2013).
- The remaining clusters display significantly greater fluorescence than Cl1 and Cl3. These are more likely representative of larger primary biological aerosols (Hernandez et al., 2016; Crawford et al., 2014; Robinson et al., 2013; Gabey et al., 2010, 2011). Cluster 4 (Cl4, <u>31 particles</u>), is highly fluorescent in all channels, particularly FL2 & FL3, with mean sizes, D_p of 8.1 μ m, and with a mean AF value of 19. They are much larger and less aspherical than generally reported for bacteria containing particles at terrestrial or coastal marine locations, e.g. Harrison et al. (2005). We have conducted a laboratory characterisation
- 15 study of a small number of bioaerosols previously (at the Defence Science and Technology Laboratory, Porton Down, see Ruske et al. (2016) for details of the experimental arrangements) with the same instrument used in this study. A cluster analysis of these data revealed that a subset of pollens display very similar fluorescent spectra to those of Cl4 (See Appendix A). This is highly suggestive that Cl4 is representative of pollen, which has been advected to the measurement site via long-range transport, as there is virtually no plant life on the continent. Cl2 (355 particles) is also strongly fluorescent, particularly in FL3, but much
- 20 less, relatively so, in FL2 compared with Cl4. The Cl2 average D_p was 7.7 µm with an AF of 20, which is very similar to Cl4. We speculate that they may potentially represent either bacterial aggregates or larger dust particles containing uncharacterised bacteria. The fluorescence spectra do not generally follow those for bacteria or fungal spores observed in previous studies using the WIBS-3 instrument, which tend to fluoresce most strongly in FL1 (Gosselin et al., 2016; Hernandez et al., 2016; Crawford et al., 2015, 2016). However, in laboratory experiments (using a WIBS-4A) Hernandez et al. (2016) demonstrated
- 25 that a small subset of certain large fungal spores such as the necrotrophic fungus, *Botrytis*, can fluoresce in all three channels. As such, fungal spores cannot be completely ruled out. Together, these bio-fluorescent clusters contribute approximately 2.3%,



Figure 2. Hourly averaged time series of cluster product concentrations (Cl1, Cl2, Cl3 & Cl4 in table 1) to total fluorescent concentration (top); Non-fluorescent, N_{NonFL} , and fluorescent particle, N_{FL} , concentrations (middle); dashed line indicates overall mean fluorescent value + 1 standard deviation; the corresponding wind direction and speed (m s⁻¹) measured at CasLAB (bottom). Grey shaded areas highlight the wind events identified in Table 2.

by number, to the total fluorescent particle concentration, so hereafter will be combined into one overall cluster representative of primary biological aerosol particles in our subsequent analyses, and named PBAP (as in Fig. 1).

A time series of the fraction each cluster contributes to the total fluorescent concentration is shown in Fig. 2, along with the corresponding, non-fluorescent, and fluorescent aerosol concentrations and wind data. The average non-fluorescent and
5 fluorescent concentrations over the whole measurement period were 58.8 ± 66.2 L⁻¹ and 1.9 ± 2.6 L⁻¹ respectively, with 3.6 ± 2.9% of the total aerosol population being classified as fluorescent.

Periods of significant enhancement, described in detail below, in the fluorescent particle concentration, and clusters Cl1 and PBAP (Cl2+Cl4), were observed to occur during specific high wind events from the north east. These wind events were analysed to identify air mass history.

10 3.1 Wind Driven Fluorescent Enhancement

Significant enhancements in N_{FL} , and in particular the ratio of fluorescent particles to total particle concentration, $N_{FL}:N_{TOT}$, occurred mainly during strong NE wind events, which is the most common wind direction at Halley. However, this enhancement was intermittent and did not always occur in these wind sectors, as shown in fig. 3. This may be interpreted in a number of ways. It either suggests depletion of a local surface source due to wind-driven resuspension or more likely due to emission

15 changes in a distal source influencing the sampled air-mass. High fluorescent aerosol concentrations were also observed during SW wind events, however, PBAP cluster concentrations were low during these events.

In the following analysis we have defined an enhanced fluorescence particle concentration event as a period where the total fluorescent particle concentration, N_{FL} , is greater than 4.5 L⁻¹ (the campaign mean + 1 σ). This threshold was exceeded for



Figure 3. Polar plot of the campaign average of the ratio of fluorescent (left panel) and PBAP (right panel) to total aerosol concentration. Polar plots are a function of wind speed and wind direction, with concentric rings representing 5 ms^{-1} increments.

Wind Event	Period	Wind Speed (ms^{-1})	Wind Direction ($^{\circ}$)	$N_{FL} (\mathrm{L}^{-1})$	$N_{Cl1}(\mathrm{L}^{-1})$	$N_{PBAP} (\mathrm{L}^{-1})$
А	03:00 22/11/2015 - 12:00 24/11/2015	11.34 ± 3.73	69.19±9.79	$5.73 {\pm} 7.07$	$2.16 {\pm} 3.53$	$0.34{\pm}0.78$
В	03:00 25/11/2015 - 09:00 26/11 2015	14.12 ± 2.84	72.19 ± 3.01	$0.67 {\pm} 1.02$	$0.08 {\pm} 0.26$	$0.01 {\pm} 0.10$
С	18:00 29/11/2015 - 22:30 02/12/2015	13.43 ± 3.85	70.41 ± 4.36	1.14 ± 4.61	$0.06 {\pm} 0.24$	$0.01 {\pm} 0.11$
D	00:00 04/12/2015 - 19:00 06/12/2015	11.52 ± 2.07	$65.04 {\pm} 4.28$	1.61 ± 1.65	$0.03 {\pm} 0.16$	$0.01 {\pm} 0.10$
Е	12:00 14/12/2015 - 12:00 15/12/2015	8.62 ± 1.44	230.91 ± 6.06	$5.83 {\pm} 3.69$	$0.29 {\pm} 0.54$	$0.01 {\pm} 0.13$

Table 2. Summary of highlighted fluorescent particle concentration enhancement and wind event periods, A-E, showing average wind speed, wind direction, concentration of fluorescent particles, N_{FL} , concentration of weakly fluorescent particle cluster, Cl1, concentrations of strongly fluorescent particles, PBAP (Cl2+Cl4).

approximately 9% of the total measurement period, amounting to 59 hours. The main wind eventswhen this occurred We then used periods of enhanced fluorescence, or lack thereof, to define events of interest featuring stable meteorological conditions which we subsequently refer to as wind events, the rationale for each is now briefly described; wind event A is the primary event of interest and features the greatest fluorescent and PBAP concentrations, with high wind speeds from the NE; wind

- 5 event B features similar meteorological conditions to wind event A, but in contrast to wind event A displays few fluorescent particles; events C & D also feature high wind speeds from the NE and some short fluorescent enhancement events, but low PBAP concentrations; To contrast wind event A, wind event E was chosen to demonstrate flow from the SW and features enhanced fluorescence but low PBAP concentrations. These wind events are summarised in Table 2 along with the mean wind speeds, wind direction, mean fluorescent concentrations, N_{FL} , the concentration of the dominant, weakly fluorescent, Cl1
- 10 cluster (likely a dust sub-setunclassified) and the concentration of the highly fluorescent (likely biological) PBAP cluster. Peak concentrations of these could however be much higher on shorter timescales within these events which can be more readily detected and quantified by the single particle UV-LIF measurement technique. Whilst strong enhancements in the NE sector were common, these did not always occur, hence integrating across all these events for the NE sector can mask the intermittent behaviour seen and the changing relative contributions by the different particle types, e.g., the period 03:00 25/11 09:00
- 15 26/11 (wind event B) features high wind speeds from the same sector but little to no enhancement is observed suggesting no local sources. Any small changes are likely dominated by distal source variation. Similarly the period 18:00 29/11 22:30



Figure 4. Halley CASLab <u>NE sector</u> polar concentration plots of total fluorescent particle concentration, N_{FL} (a); weakly fluorescent dust cluster concentration, N_{cl1} (b); and primary biological particle (or biological containing) particle concentration, N_{PBAP} (c), <u>during wind</u> <u>event A.</u> Polar plots are a function of wind speed and wind direction, with concentric rings representing 5 m s⁻¹ increments. In each case a strong "hot spot" or possible local "source" might be inferred to the ENE at wind speeds > 10 m s⁻¹, with lesser hot spots seen in the WSW for N_{FL} .

02/12 (wind event C) features extended, high wind speeds from the NE sector, but only 2 short periods of fluorescent particle enhancement were observed. Wind event D ($00:00\ 04/12$ - $19:00\ 06/12$) also only shows some minor enhancement. Another period of significant sustained fluorescent particle enhancement is observed between $12:00\ 14/12$ - $12:00\ 15/12$ during a moderately strong wind event, but this time from the west (wind event E). Interestingly the fluorescent characteristics of the

5 particles from this sector were significantly different to wind event A, featuring much lower concentrations of Cl1 and PBAP. The relationship of N_{FL}, N_{Cl1}, and N_{PBAP} to wind speed was examined for the NE sectorwind event A, and the results are shown in Fig. 4. The concentrations of Cl1 and PBAP (panels b and c) generally increase with increasing wind speed with a more isolated "hot-spot" for N_{FL} at wind speeds of 12-14 m s⁻¹. The highest concentrations of fluorescent aerosol, Cl1 and PBAP clusters occur at wind speeds above 10 m s⁻¹ and this persists up to 20 m s⁻¹. Weaker enhancements between 5-10 m s⁻¹ can be seen in the SW sector in Fig. 4a.

The wind speed dependence for these enhancement events the enhancement during wind event A can be seen more clearly in Fig. 5, where the relationship with wind speed for the selected wind events is shown, in this case is shown for N_{FL} , N_{Cl1} , $N_{FL}:N_{TOT}$ (the ratio of N_{FL} to the total particle concentration N_{TOT}) and N_{PBAP} . Interestingly N_{FL} , and in particular the ratio of $N_{FL}:N_{TOT}$, all start to show enhancement as wind speeds increase above a threshold of 4-6 m s⁻¹. This might be

- 15 interpreted as consistent with surface wind driven re-suspension mechanisms, previously seen in many studies, and therefore suggestive of contributions from more localised ice surface sources for these particles, as discussed above. This could be the case particularly for the larger particles in C11, C12 and Cl4. However, this may be fortuitous and the reduction in concentration above 14 m s⁻¹, for N_{FL} and N_{Cl1} , should be noted and may be caused by the reduction in inlet transmission efficiency at higher wind speeds. This could suggest a more distal source, supported by the observation that the fluorescence contribution
- 20 from one of the clusters (Cl4) is likely pollen. This reduction is less obvious for the N_{FL} : N_{TOT} ratio, Fig. 5(c), which is



Figure 5. Fluorescent particle concentrations as a function of wind speed for the period 22/11/2015 - 25/11/2015 for: (a) Total fluorescent particles, N_{FL} ; (b) Moderately fluorescent particles, N_{Cl1} ; (c) Ratio of total fluorescent particles to total particle concentration $N_{FL}:N_{TOT}$ and (d) N_{PBAP} ($N_{Cl2}+N_{Cl4}$)

dominated by the much smaller Cl3 particles. The relationship with wind speed for N_{PBAP} is less clear due to their low concentrations. There is, however, a clear increase in concentration of the larger fluorescent particles in wind event A, for both Cl1 and PBAP clusters. This can be seen in Fig. 6, which compares the campaign averaged particle size distributions for the various clusters for the whole experimental period to the average distributions recorded in each of the wind events, listed in Table 2. We also a list a particle size to be a list on the larger fluorescent particle size distributions for the various clusters for the whole experimental period to the average distributions recorded in each of the wind events, listed in

5 Table 2. Wind event periods A and C show the largest range in the PBAP size distrubutions, whilst events B (Easterly) and E, (Westerly), showed the smallest. However event E did show significant enhancement in Cl1 and Cl3 concentrations compared to events B, C and D from the Easterly wind sectors. This might suggest a larger source of Cl3 in both sectors but a limited, associated source of PBAP.

3.2 Flux Estimates

- 10 Deriving an aerosol flux from single height concentration measurements, other than by eddy covariance (requiring instruments with appropriate sample volumes and response times), can lead to highly uncertain results, Petelski and Piskozub (2006), Pryor et al. (2008). If however we assume that the majority of the larger moderate and highly fluorescent particles, represented by clusters Cl1 and PBAP, are locally re-suspended from the ice surface then a net flux for these clusters could be estimated assuming steady state conditions (i.e. constant flux layer and deposition and emission fluxes balance at the measurement
- 15 height). In this case the general resuspension flux approach could be adopted, e.g. Sesartic and Dallafior (2011), where a particle number flux, F, can be approximated by:



Figure 6. Particle size distributions comparing the campaign averaged data (top left panel) with those observed during wind event periods A, B, C, D & E (see Table 2). Black - non-fluorescent particles (NonFl), Red - Total fluorescent particles, Fl; Blue - Cluster 1, (weakly fluorescent particles), Cl1; Green - Cluster 3 very weakly fluorescent particles, Cl3; and Brown - Highly fluorescent particles, PBAP (Cl2+Cl4).

$$\mathbf{F} = \mathbf{N} \times \Delta z / \Delta t$$

where N is the particle concentration, Δz and Δt are the equilibrium measurement heights and vertical dispersion timescales respectively (for details concerning estimation of Δt, see Sesartic and Dallafior, 2011). This would result in number fluxes within event A for Cl1 and PBAP of $F_{Cl1} \sim 7.2$, and $F_{PBAP} \sim 1.1 \text{ m}^{-2} \text{ s}^{-1}$ respectively. However, without upwind measurements to fully constrain this approach such calculations based on these crude assumptions are very uncertain. There are unfortunately no direct measurements of net bioaerosol fluxes from ice surfaces to compare our study with. Sesartic and Dallafior (2011) used this simple approach to estimate typical number fluxes (for fungal spores only), from Arctic tundra (based on measurements by Pady and Kapica, 1953), of $8 \pm 7 \text{ m}^{-2} \text{ s}^{-1}$. Given the low potential for fungal spores contributing to PBAP in this region, Marshall (1996), and the general uncertainty with this approach, the lower values presented here for such aerobiota emissions are at least consistent with the few results published.

10 3.3 Air Mass Trajectory Analysis

Three-day back trajectory analyses were used for possible source attribution. This used the NOAA HYSPLIT tool, Stein et al. (2015), with 6-hourly averaged re-analysis meteorological data archived at the National Centers for Environmental Prediction-National Center for Atmospheric Research (NCEP- NCAR), with a 2.5° x 2.5° spatial resolution.

Fig. 7 summarises the fraction of time spent over different land classes for the back trajectories to CASLab for each period
for the prior 12-120 hours. The land class was specified as being one of three types: Continental (C: land-coastal ice); Open Water (OW: where the sea ice fraction was < 5%); and Sea Ice (SI: where the sea ice fraction was >5%). The fraction of time spent by the air masses below 500 m altitude is also shown. The periods where the highest concentrations of PBAP occured



Figure 7. Percentage of time spent by an air mass back trajectory, arriving at CASLab, during wind events A-E, as a function of transport time, over different land classes; C: Continental (Yellow: land-coastal ice); OW: Open Water (Green: sea ice fraction < 5%); SI: Sea Ice (Blue: sea ice fraction >5%). LOW shows the proportion of air masses in the history that were < 500 m altitude, (dashed red line).

correspond to those with the largest continental influence within the previous 48 hours. Periods B & E are dominated mainly by sea ice trajectories and show either much lower concentration of PBAP or, in the case of period E, fluorescent particles that exhibited rather different UV-LIF responses.

The UK Met Office Numerical Atmospheric-dispersion Modelling Environment (NAME) model, (Jones et al., 2007) was used to identify particle trajectories during key wind events. This inverse Gaussian plume model approach permits characterisation of emission footprints of air or receptor footprints or tropospheric volumetric flow (in a forward analysis). This provides a probablistic interpretation of where the sources of sampled bioaerosol are likely located and how far the particles have travelled. NAME model 5-day back trajectories for periods of interest are shown in Fig. 8.

The top left panel shows particle trajectories that are typical of the period just prior to wind event A, where the majority of particles have passed along the North Dronning Maud Land coastal ice-margin zone and over Neumayer station, prior to arriving at the CASLab via Easterly winds. This behaviour is common for continental circulation patterns here at this time of year. These trajectories pass North and East along the coast via the Lazarev Sea and Lutzow-Holm Bay and eventually from a source also to the South via the Prince Charles Mountains and Mac Robertson Land in East Antarctica.

Wind event A (top right) features the same sources as the prior period, but also displays a second cluster of trajectories from
over the northern Weddell Sea, the tip of the Antarctic peninsula, South Shetland Islands and South Orkney Islands, having previously mainly traversed the southern coasts of Argentina and Chile via the Drake passage. Particles consistent with pollen were predominantly observed during this event, suggesting that they have been transported from the coast of South America. This result is consistent with the hypothesis by Pearce et al. (2009), that a significant part of the observed aerobiota may have an external continental source. One conclusion therefore is that the wind driven enhancement of fluorescent aerosols may be

due to a combination of resuspension from surface sources, both locally and more distant e.g. likely from along the NE coastal zone, and also from long range transport.

The modelled emissions from wind event B are shown in the bottom middle left panel of Fig. 8 where it can be seen that the majority of particles have originated from within the vicinity of Halley VI station, over the Antarctic peninsula and the Weddell

5 Sea. Notably there are no contributions from eastern continental Antarctica and virtually none from the South American coast. This result is consistent with the HYSPLIT back trajectories, which display a high sea ice land class fraction for wind event B. Emissions from wind event E (bottom right) show no contibutions from the Weddell sea or Peninsula, but show the majority of particles are local in origin. Coastal eastern Antarctica provides a more distal contribution.

Air mass and particle dispersion analysis has revealed that key periods of interest feature significantly different air mass 10 histories and particle origins. The observation that pollen coincides with particles from the coast of South America reaching the measurement site suggests that long range transport of PBAP may be a significant source of PBAP for the continent, as pollen is otherwise absent during emission events within the Antarctic Circle. Additionally, all wind events except event E display surface emissions from areas of marine traffic to and from the tip of the Antartic Penninsula, thus marine traffic may present a potential minor emission source.

15 4 Summary and Conclusions

We have shown the first results of airborne bio-fluorescent aerosol concentrations recorded by a real-time single particle UV-LIF spectrometer (WIBS) collected in Antarctica. Measurements were collected between 18 November to 6 December 2015 at the Halley Station Clean Air Sector Laboratory (CasLab) near the Halley VI station. Fluorescent particles comprised 1.9% on average of the total aerosol population for particle sizes in the range $0.8 < D_p < 20 \,\mu\text{m}$, with peak concentrations of up to 65 L⁻¹

20 observed. We adopted a proven cluster analysis approach to identify and discriminate between different UV-LIF fluorescent aerosol types specific to the instrument used. The resulting cluster concentrations were then analysed with respect to the local meteorological conditions of wind speed and direction and then with respect to air mass histories using HYSPLIT and NAME back trajectory analyses to identify probable sources of these particles.

Fluorescent particle concentration enhancements were observed in NE winds and a strong wind speed dependency for some fluorescent particle clusters was observed. The relationship was less strong for particle clusters that were representative of PBAP due to their much lower concentrations (2.3% of the fluorescent particle population) with one cluster being identified as pollen, and the other as yet unidentified.

A particularly striking feature in the data was the strong wind speed dependence found for the total fluorescent particle fraction. 97.7% of this fraction was dominated by two weakly fluorescent populations, Cl3 and Cl1, in decreasing relative

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concentrations, with mean sizes for Cl3 of $1.3 \pm 0.9 \,\mu\text{m}$ and for Cl1, $5.3 \pm 3.0 \,\mu\text{m}$. The range of sizes for these very weakly fluorescent clusters suggests they may be small, naturally fluorescent dust particles, as the fluorescence spectra were consistent with previous studies of long-range transported dust plumes, Crawford et al. (2016). The Cl1 cluster showed the largest asphericity factor which also supports this.



Figure 8. 5-day back trajectory analysis using the NAME particle dispersion model, with surface emissions for; one day prior to wind event A (top left); wind event A (top right); wind event B (<u>middle left</u>); wind event C (<u>middle right</u>); wind event D (bottom left); and wind event E (bottom right), for altitudes < 100 m. X marks the location of the Halley VI station.

The highly fluorescent particles represented by Cl2 and Cl4 are likely biological, based on laboratory studies. Specific identification remains tentative, however in case of Cl4 (the smallest contributor to fluorescent particle number concentration), we can suggest this was a pollen class (see appendix A). Cluster 2, however, remains unknown and has not been observed previously, either in laboratory studies or in ambient air experiments. We speculate that this population may represent moderately

- fluorescent primary biological particles (e.g. UV resistant or particles with low metabolic activity), bacterial aggregates or pos-5 sibly biological particles such as bacteria associated with larger dust particles during long range transport, given the relatively large size and asphericity factor of this cluster. While there are numerous sources of bacteria in the region (see section 1.1), no bacterial cluster signatures were observed, based on the laboratory samples currently available. This suggests that airborne concentraction of these bacteria are either well below the detection limit of the instrument or that they have significantly different
- autofluorescence signatures to the laboratory samples. 10

These different observations are likely the net result of the different air-mass sources identified. Whilst local resuspension fluxes can be estimated and were found to be consistent with modelling estimates based on filter sample collections in the Arctic (Sesartic and Dallafior, 2011), these are highly uncertain due to the methodology adopted in such studies for such environments.

- 15 The wind speed enhancements might suggest that a significant source of these fluorescent particles possibly exists on or in the local ice surface in the region ENE of the CASLab site, but are more likely to have been transported from distal sources, e.g., the South American continent, and the dispersion model supports this as the more likely scenario. The presence of particles characteristic of pollen is evidence towards the latter conclusion. Only a more detailed and robust micrometeorological flux closure approach coupled with multiple site measurements within the key source footprint regions can confirm this.
- 20 The real-time, single particle UV-LIF technique used in this case study has been demonstrated as a useful method for detecting aerobiota in the low concentration Antarctic environment. The continual improvement in detection capacity and sensitivity of UV-LIF instruments could eventually provide useful information as part of a long term monitoring strategy for understanding the biodiversity changes in these remote ice dependent refugia. We suggest that further long term studies with supporting offline measurements are needed to build up a climatology of bioaerosol events to better understand bioaerosol concentrations 25
- and long range transport in the general case.

Appendix A: Laboratory Characterisation of Fluorescent Particles

A small selection of bioaerosols and fluorescent material were sampled with the WIBS-3D in a series of laboratory characterisations at the Defence Science and Technology Laboratory Porton Down facility prior to its deployment in Antarctica. Particles

of interest were aerosolised into a large, clean HEPA filtered containment chamber (incorporating a recirculation fan), from 30 which the WIBS-3D drew measurement samples. Dry materials were aerosolised directly from small quantities of powder using a filtered compressed air jet (Ruske et al., 2017). Four typical pollens (birch, paper mulberry, ragweed and rye grass) were selected from the sample set and clustered using the HCA method described in section 2.3. This yielded a two cluster

Cluster	% of N_{FL}	FL1 (a.u.)	FL2 (a.u.)	FL3 (a.u.)	$D_p \ \mathrm{\mu m}$	AF (a.u.)
Cl1	31.7	$10.8{\pm}65$	157.1±212	315.2 ± 341.2	3.4±2.3	21.2±9.6
Cl2	68.3	322.3±417.1	$1741.8 {\pm} 350.8$	$1830.4{\pm}273.6$	$11.8 {\pm} 3.2$	15.4±7.4
Cl4 (ambient)	-	678.4±776.8	$1810.6 {\pm} 222.7$	1831.3±318.1	8.1±5.2	18.8±7.7

Table A1. Ward linkage cluster analysis results for pollen laboratory samples, showing; the % contribution of the cluster concentration to N_{FL} ; mean fluorescent intensities in channels FL1, FL2 and FL3; the average optical size, D_p (µm); and the average shape factor (arb. units), for particles in each cluster. Ambient cluster Cl4 from table 1 shown for comparison.

solution, as described in table A1. The major cluster, Cl2, accounts for \sim 70% of the fluorescent material, suggesting that this cluster is generally representative of the sampled pollens. This cluster features mean fluorescent intensities, size and shape factors which are very similar to that of ambient cluster 4 observed at Halley (see table 1), with high fluorescent intensities observed in FL2 and FL3 and mean particle sizes of approximately 10 μ m. This is highly suggestive that ambient cluster Cl4 is representative of pollen.

Author contributions. I. Crawford wrote the paper and performed analysis; M.W. Gallagher and T.W. Choularton were project managers and contributed towards data interpretation; K.N. Bower and M.J. Flynn conducted the field experiment; S. Ruske contributed towards data analysis; C. Listowski and N. Brough provided CASLab meteorological data and field support; Z. Flemming provided the NAME analysis; V.E. Foot provided supporting laboratory data; W.R. Stanley provided WIBS data support.

10 Competing interests. The authors declare that they have no conflict of interest.

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Acknowledgements. We would like to thank the University of Manchester and British Antarctic Survey teams conducting the "Microphysics of Antarctic Clouds" project, Dr. Tom Lachlan-Cope and Prof. Tom Choularton, supported by NERC grant NE/K0142X/1, for kindly including the WIBS measurements as part of their experiment. We would also like to thank the Dstl team for loan of the WIBS instrument and for access to their laboratory databases to support the UV-LIF aerosol interpretation. We thank Prof. Paul Kaye for his continued support

15 of the WIBS. We would finally like to thank the BAS team at Halley VI for all their support. The authors gratefully acknowledge use of the NOAA Air Resources Laboratory (ARL) for the provision of the HYSPLIT transport and dispersion model used in this publication. S. Ruske is in receipt of a NERC DTP studentship.

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